

***Sound Classification and Localization  
Based on  
Biology Hearing Models and  
Multiscale Vector Quantization***

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# Report Documentation Page

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- **Algorithms motivated by similar processing in animals and humans:**
  - Hearing and sound classification
  - Vision and identification of objects
- **Text-independent robust speaker identification**
  - Identifying the speaker from the “music” of his voice
- **Speaker-independent speech recognition**
  - Identifying phonemes, vowels, words from their inherent sounds
- **Identification of musical instruments (“timbre”)**

## Applications to acoustic signal recognition

- Fault identification in tools and wear prediction
- Ground vehicle identification from array microphones

**NEXT CHALLENGE: Biology Inspired  
Sensor Network processing**

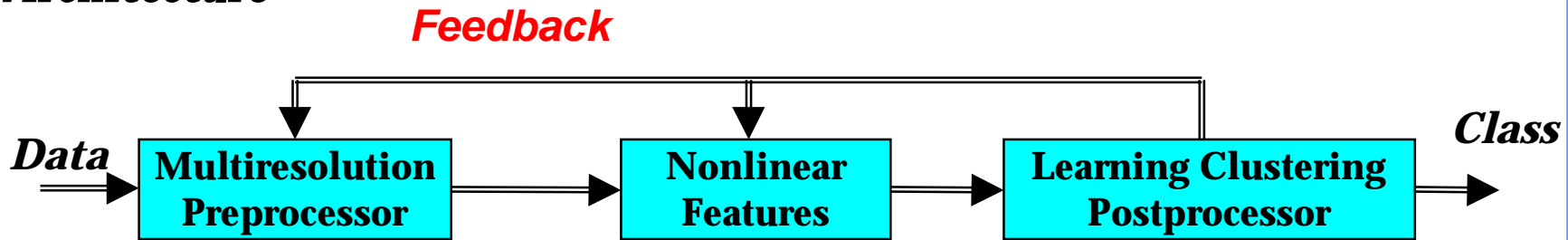


# **Acoustic Vehicle Classification Objectives and Challenges**



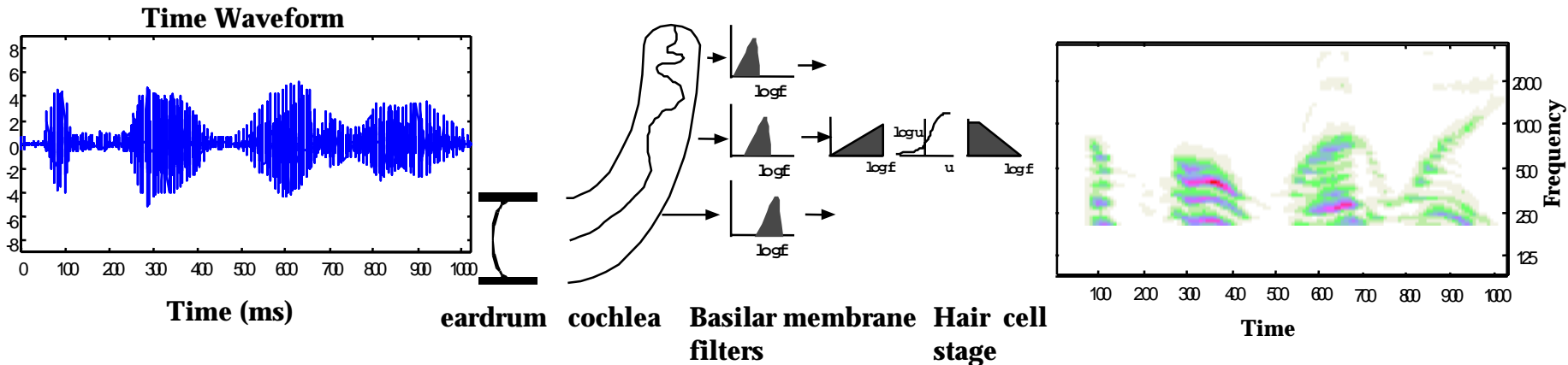
- **Develop systematic methodologies and algorithms; not *ad hoc***
- **Robust Target ID (wrt environment, terrain, speed)**
- **Algorithms for combined DOA (localization) and target ID**
  - Localization assisted ID
  - ID assisted localization
- **Multi-target detection, ID and DOA; separation of closely spaced targets**
- **Robust feature extraction from auditory models; dynamic DOA and ID**
- **Algorithm evaluation in the field and comparison against conventional algorithms for detection, DOA and ID**

- **Architecture**



- Architecture and formulation address two most important issues:
  - **Progressive classification; Which features to use and when**
  - **Efficient design of databases for reference signals and fast search**
- Trade-off between efficiency in features (compression) and accuracy in classification leads to
- Mathematical formulation of the problem:
  - **Combined compression and classification for general signals**
  - **Content-based feature extraction and use for classification**

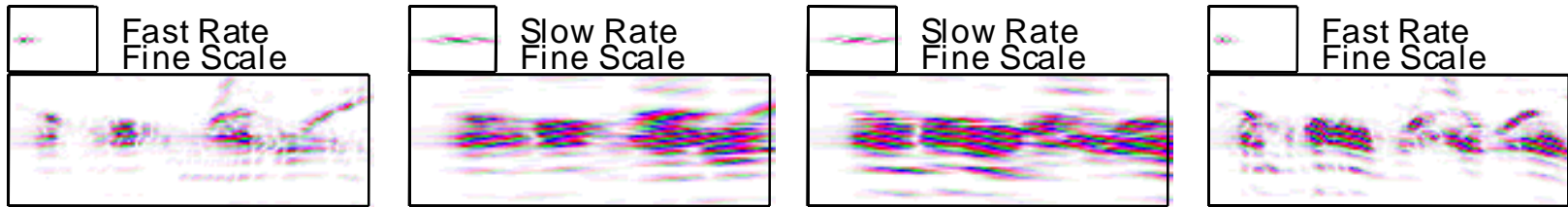
Two auditory filters, motivated and designed according to acoustic physiology and acoustic cortex models, were used to compute the timbre spectrogram of one particular subframe in each frame



- The first filter mimics the action of the inner ear
- Computes the spectrogram of the sound sample, and performs various nonlinear operations, which models the nonlinear fluid-cilia couplings and ionic channels of conduction

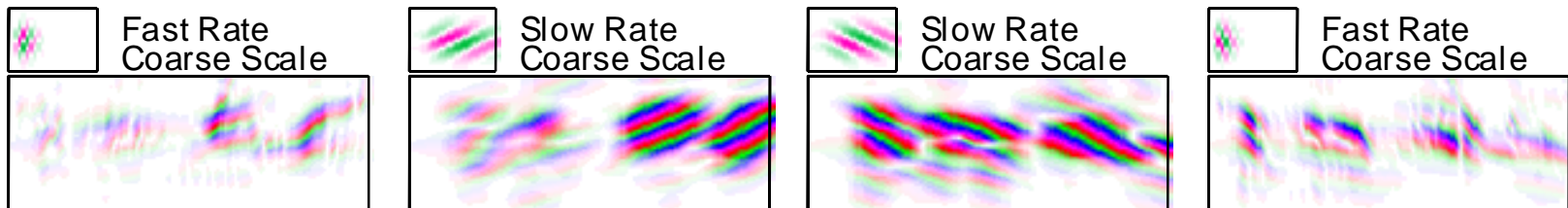
( Wavelet Transform )

## Multiresolution cortical filter outputs

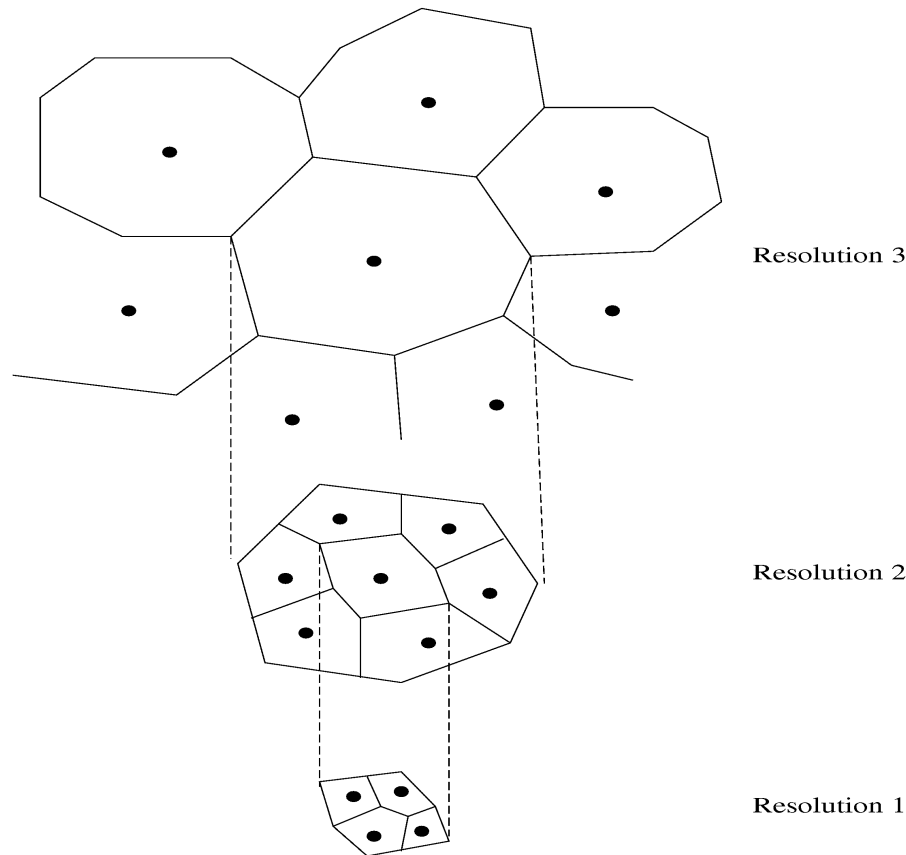


### Upward Moving

### Downward Moving



- The second filter models the multiscale processing of the signal that happens in the auditory cortex
- A Ripple Analysis Model, using a ripple filter bank, acts on the output of the inner ear to give multiscale spectra of the sound timbre (Wavelet Transform)

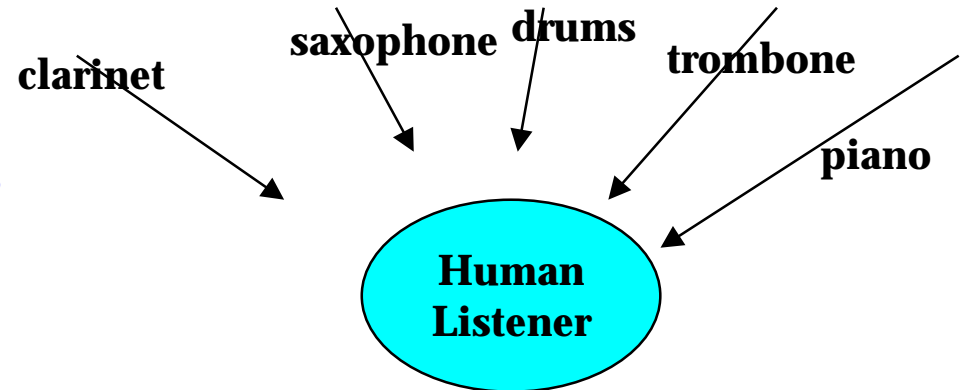


- First perform a multiresolution wavelet representation of the signals
- Consider each signal  $f$  at different resolutions  $S^0 f, S^1 f, \dots, S^{J^*} f$
- Proceed by partitioning the signal space at various resolutions in progressively finer cells
- **Greedy algorithm** works by splitting the cell with maximum distortion using finer resolution data

Layer in tree  $l = J^* - m$ ,  $m$  the scale ( top layer 0: coarsest)  
Cell labels: (layer, index) or (scale, index)



Can we mimic and understand the ability of humans to do partial recognition of musical instruments and DOA in a combined and mutually enhancing fashion?

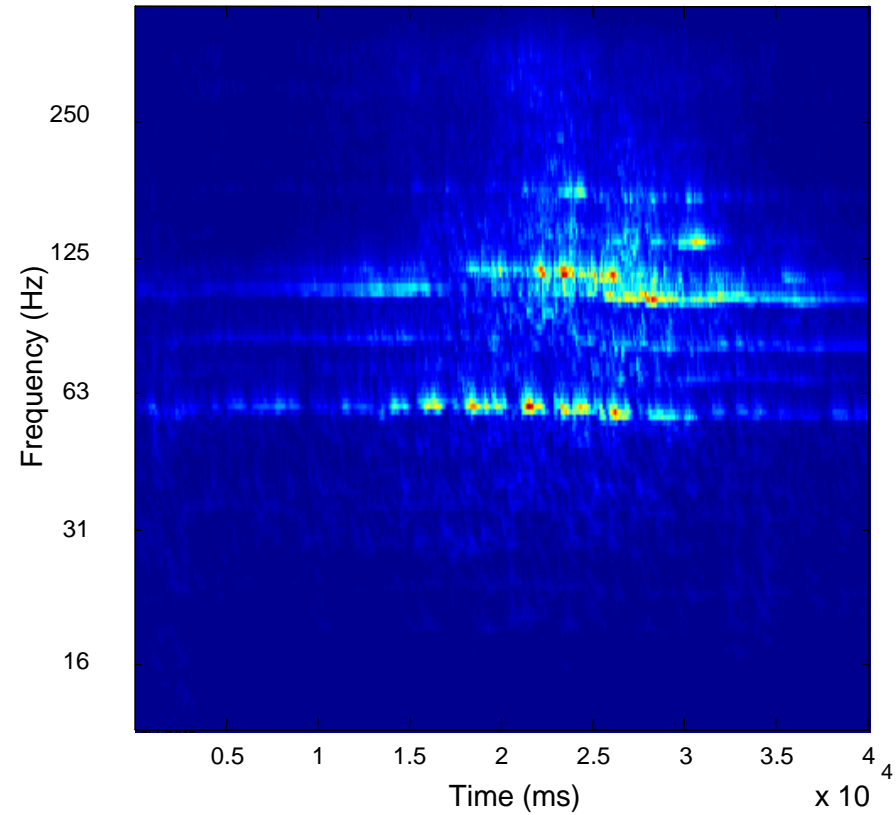
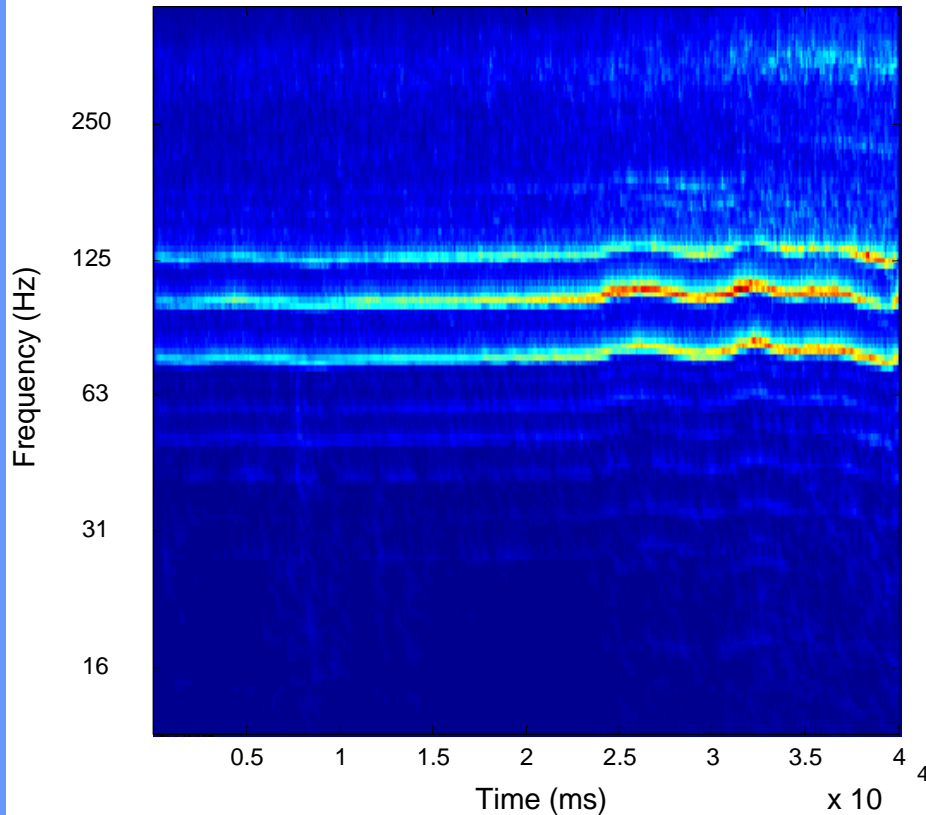


- Combine the Stereausis model and its derivatives , with the Auditory filtering multiscale VQ algorithms
- Using the cochlea, cortical, or combined spectra, perform DOA on a “per frequency band basis”
- Combine portions of spectra according to DOA
- Use the multiscale classifier to ID portions of spectra tagged by angle, as compared to stored vehicle spectra
- Repeat the cycle as the scenario evolves

# Auditory Processing of Vehicle Acoustic Signals: Cochlea

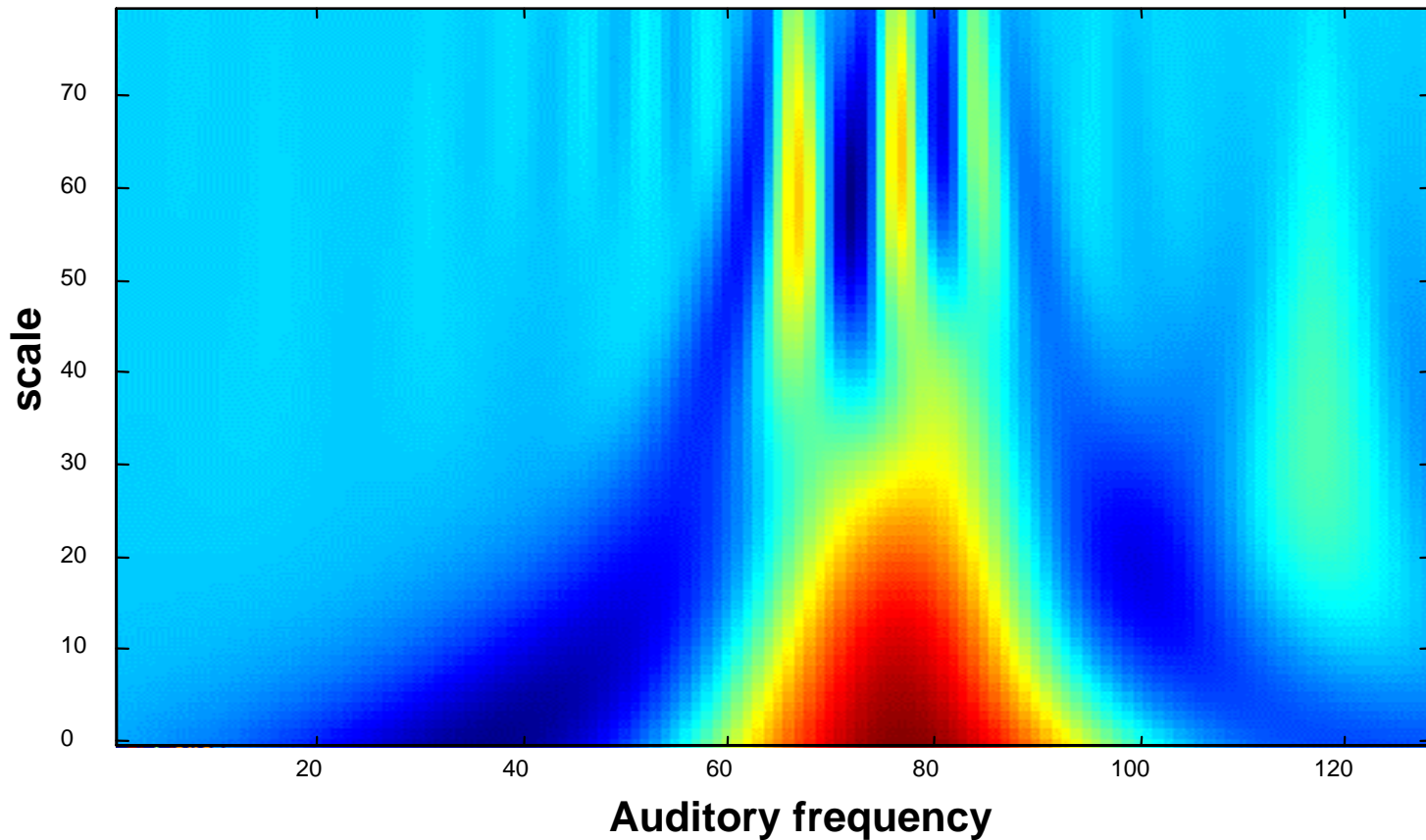
gv1a1012.mat: type 1 speed 5 desert

gv1b2021.mat: type 1 speed 10 arctic

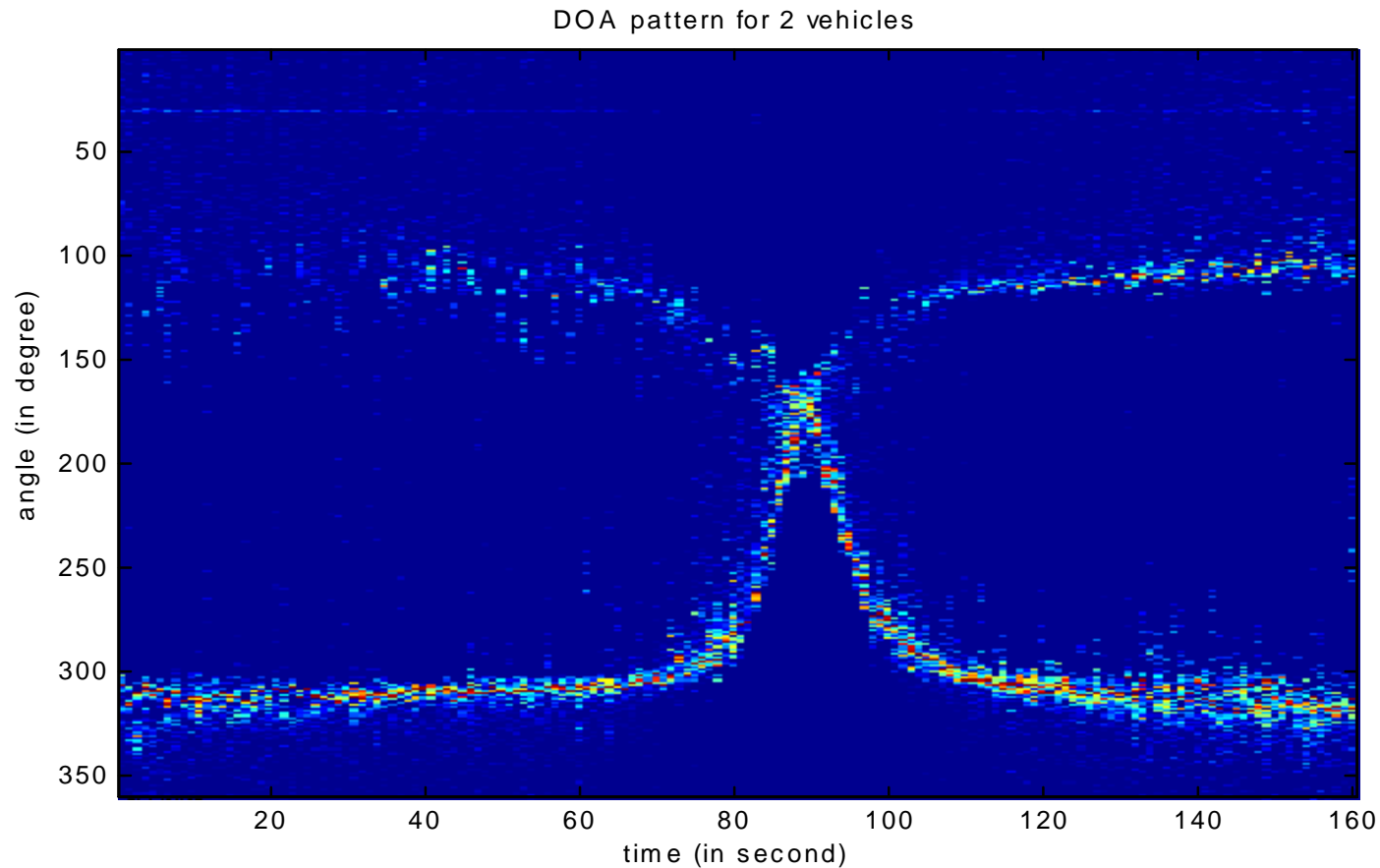


**Auditory processing for vehicle signals (cochlear filter banks)**

**Left: vehicle type 1, speed 5km/hr. Right: vehicle type 1, speed 10km/hr**



Example of multi-resolution representation from cortical module

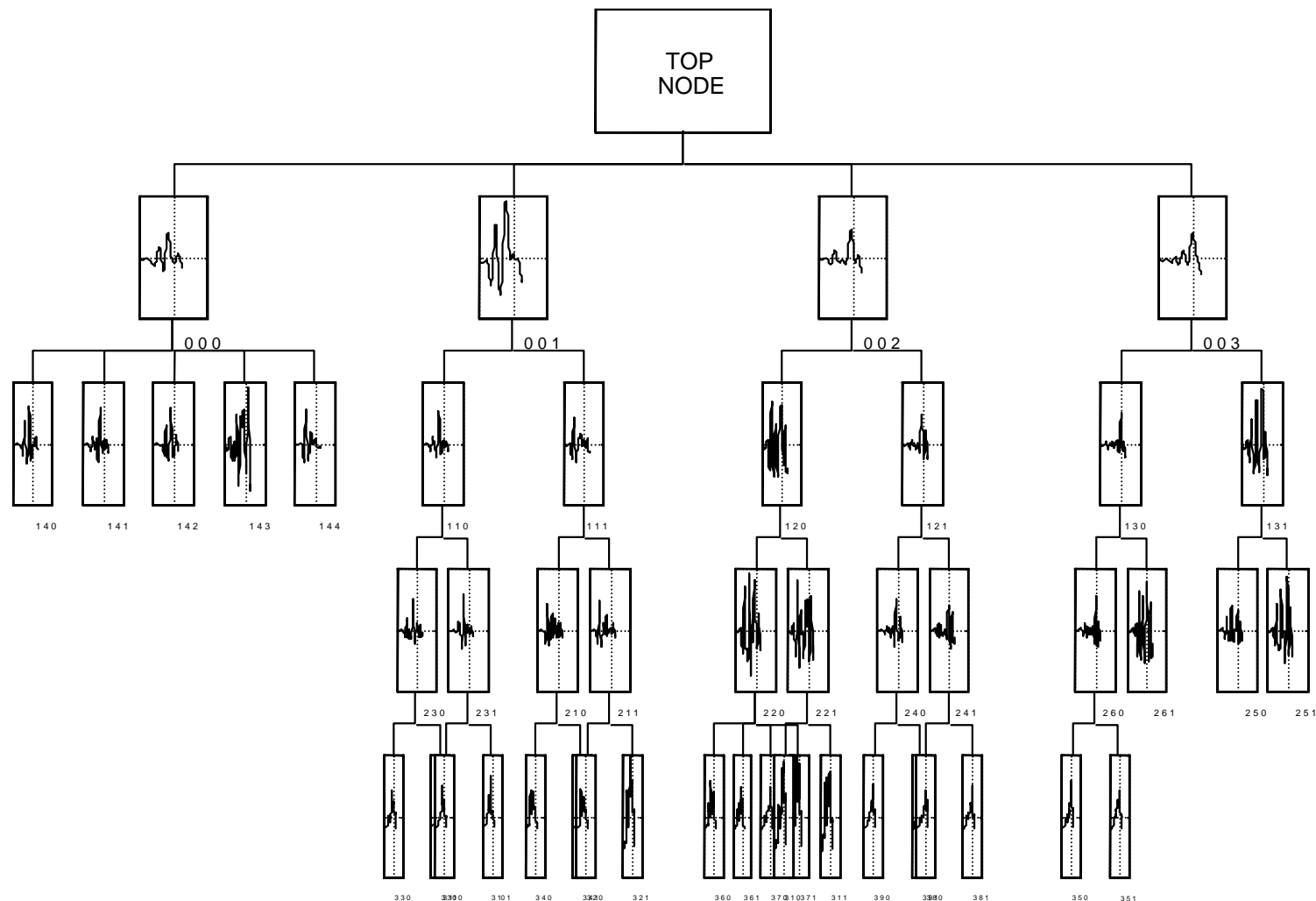


**Relatively easy case: Large angular separation between two vehicles**

# Leaf Node Entropies for PTSVQ Tree of Vehicle Type 8

## cell entropy

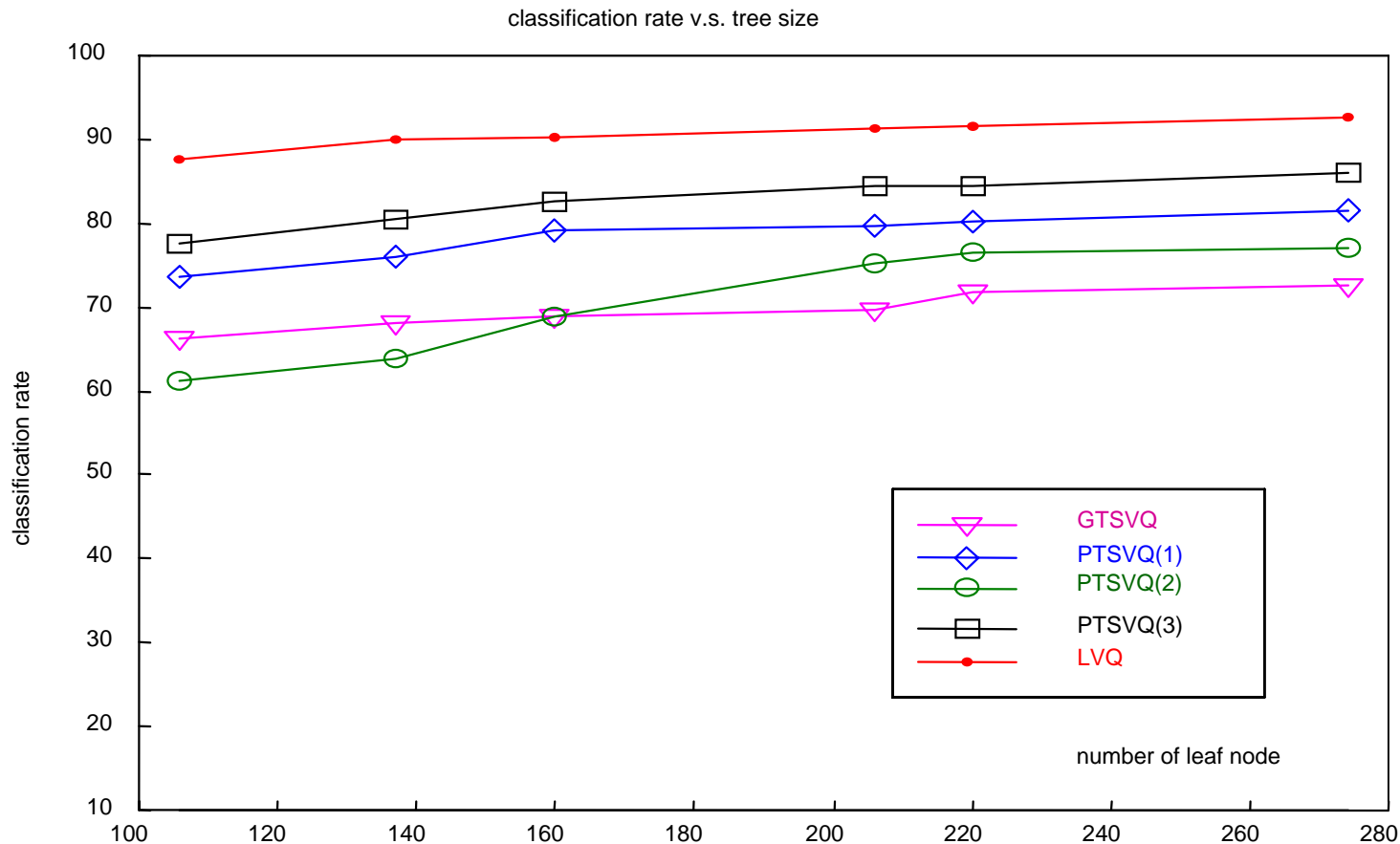
- 1 4 0 1.3570
- 1 4 1 0.9503
- 1 4 2 1.1779
- 1 4 3 1.0735
- 1 4 4 1.3022
- 2 5 0 0.6365
- 2 6 1 0
- 3 1 0 0.5765
- 3 1 1 0.2993
- 3 2 0 0.7516
- 3 2 1 0.4765
- 3 3 0 0.7633
- 3 3 1 0.5670
- 3 4 0 0.4540
- 3 4 1 0.4384
- 3 5 0 0.2728
- 3 5 1 0.4975
- 3 6 0 0.5313
- 3 6 1 0.3061
- 3 7 0 0.6054
- 3 7 1 0.6383
- 3 8 0 0.4824
- 3 8 1 0.5377
- 3 9 0 0.5044
- 3 9 1 1.2556
- 3 10 0 1.0144
- 3 10 1 1.1967



# Options in Applying WTSVQ to Acoustic Vehicle Classification

- **GTSVQ**: A global tree-structured multi-resolution clustering mechanism that mimics the aggressive and topological hearing capabilities of biological systems. Here a global tree is built on training data from all vehicles. **New vehicle insertion problem.**
- **LVQ**: A supervised learning neural network, LVQ achieves optimal classification in the Bayes sense. It has the disadvantages of a long search time and sensitivity to initial conditions.
- **Parallel TSVQ (PTSVQ)**: build one (or more) trees for each vehicle. It achieves a trade-off between GTSVQ and LVQ on classification performance and search time. **Easy new vehicle insertion.**
- The following node allocation schemes are examined for PTSVQ:
  - PTSVQ(1): Allocation based on sample a priori probability
  - PTSVQ(2): Allocation based on equal distortion
  - PTSVQ(3): Allocation according to vehicle speed

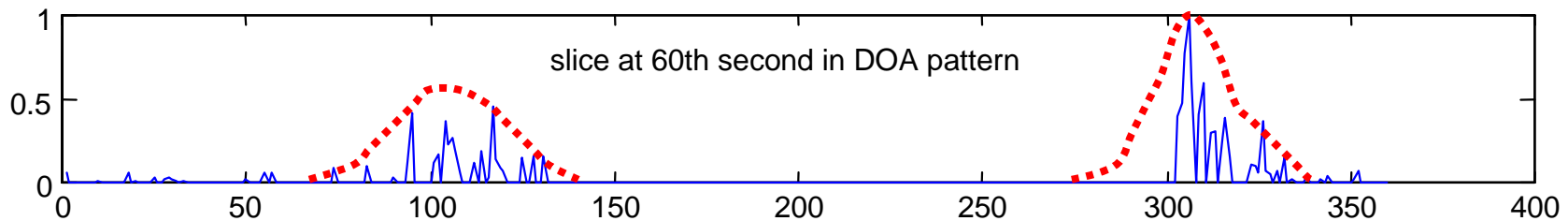
# Performance Comparisons among Options



**Classification Performance: 70% samples for training, 30% for testing (same microphone)**

# Tagging Portions of Spectra Based on “per Band” DOA Estimates

- Angular position of each peak corresponds to DOA estimate from each cochlea band
- Can use up to 128 bands
- Amplitude indicates signal energy in the band



- Low pass filtering is performed on groups of band amplitudes and the resulting peak is used as the DOA estimate for the vehicle
- Cluster according to angular position of peaks: spectral portions tagged by angle