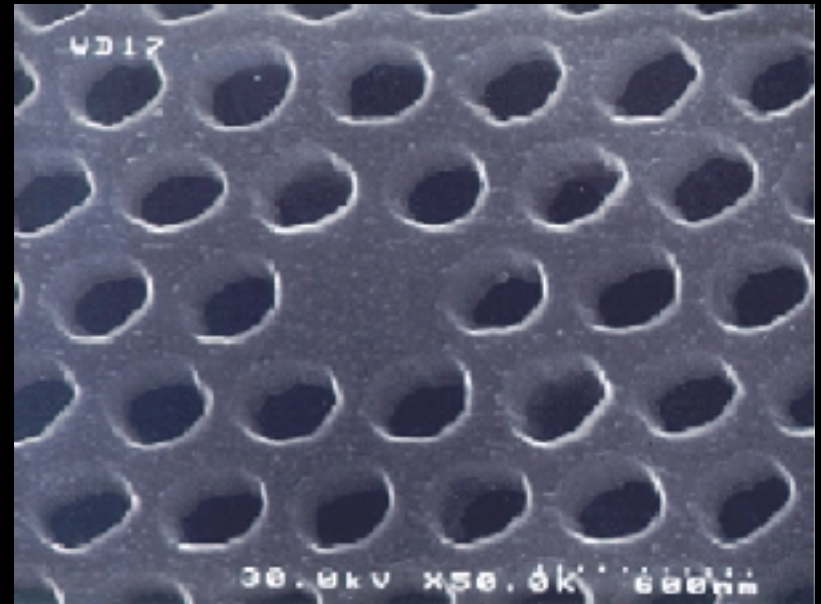


# Photonic Crystal Devices

Axel Scherer  
*California Institute of Technology*

## Research Opportunity:

- To construct compact, robust, monolithic and multi-functional nano-photonic integrated circuits.



# Report Documentation Page

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# *Collaborators on Photonic Crystal Devices: Design, Fabrication and Measurements*

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- **Dan Dapkus**      *U.S.C.*      *InGaAsP growth*
- **Tom Pearsall**      *Corning*      *Waveguides*
- **Amnon Yariv**      *Caltech*      *Device Integration*
- **Dennis Deppe**      *U. Texas*      *Quantum Dots*
- **Eli Yablonovitch**      *UCLA*      *PBG design*

Goal: To develop photonic crystal devices and connect them together to form compact integrated WDM systems.

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# *Planar Photonic Crystals in Chip-Scale WDM*

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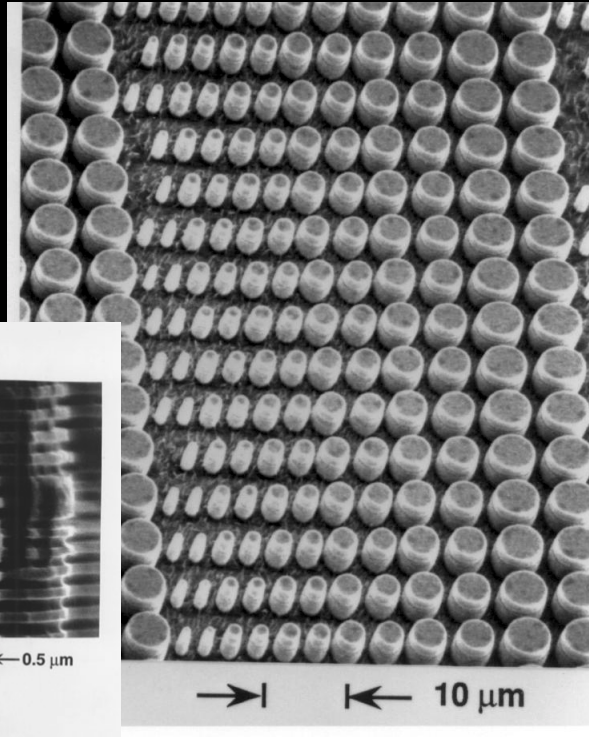
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- ⇒ Dense arrays of optical elements can be lithographically coupled together.
- ⇒ Low threshold lasers with ultra-small mode volumes can be constructed and tuned.
- ⇒ Photonic integrated circuits can be constructed with sources, modulators, filters and detectors.

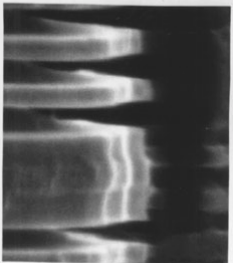
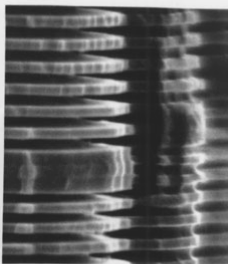
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# Vertical Cavity Surface Emitting Lasers (1989)



"LASER DISKS"



AIAs IS ETCHED  
PREFERENTIALLY,  
LEAVING THE GaAs  
DISKS SUPPORTED  
BY AIAs LEFT OVER  
IN THE CENTER

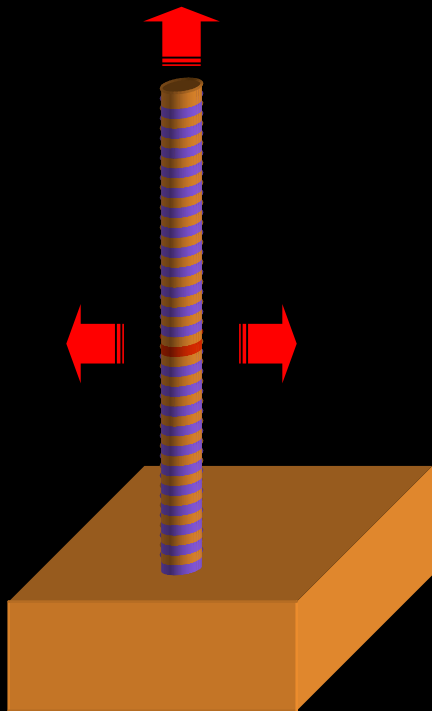
- Mirrors and active area are controlled by crystal growth
- Light emits perpendicular to the wafer surface
- Threshold currents as low as  $10 \mu\text{A}$  have been reported
- VCSELs are presently used for fast optical interconnects

*J.L. Jewell, A. Scherer,  
S. McCall, J. Harbison*

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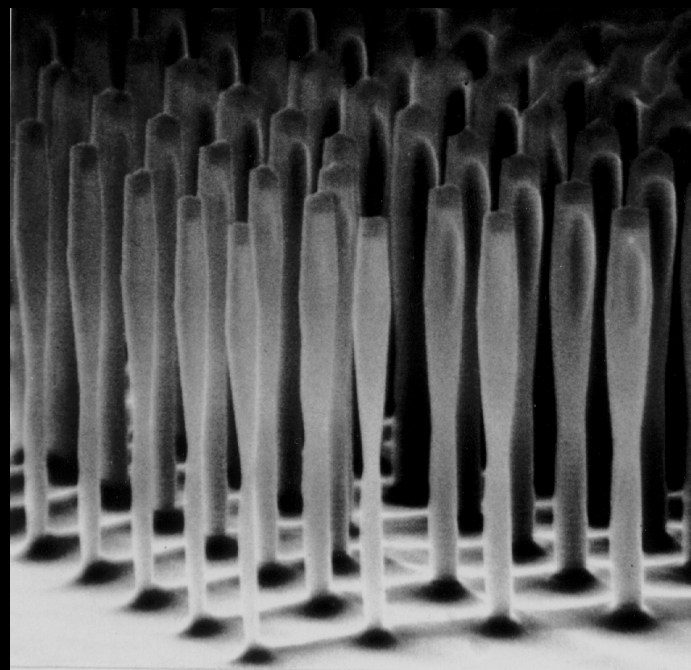
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# Ultra-small vertical cavity lasers



Jewell, Scherer,  
Harbison, (1991)

The mode volume of VCSELs could be reduced to one cubic wavelength



→ 5  $\mu\text{m}$  ←

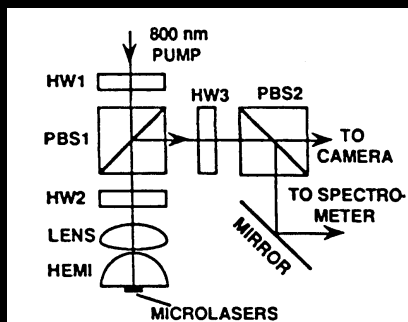


Fig. 4. Schematic of the experimental setup.

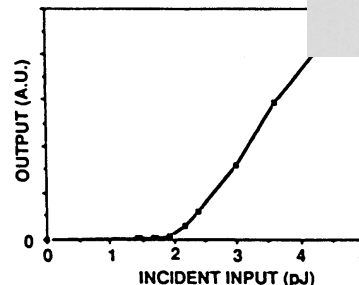
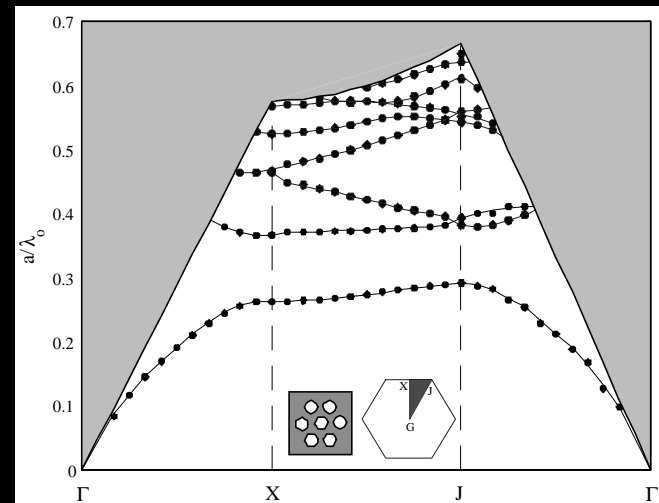
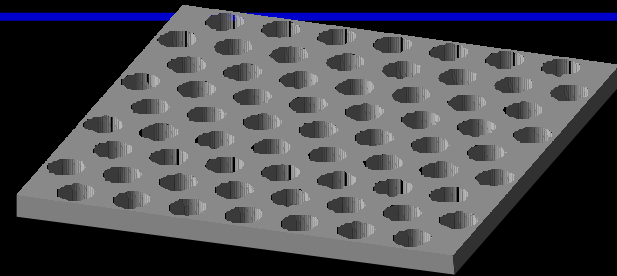


Fig. 5. Output at 865 nm vs. incident input energy for a  $0.4 \times 0.4 \mu\text{m}$  microlaser.

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# 2D Photonic Crystal Waveguide

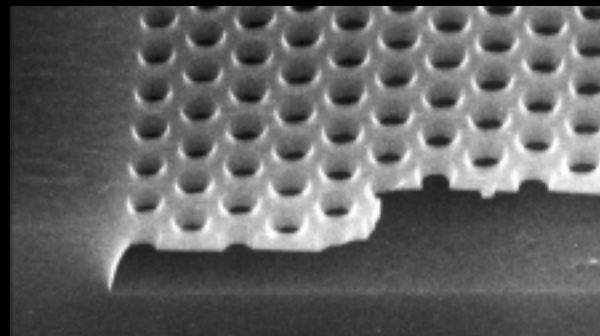
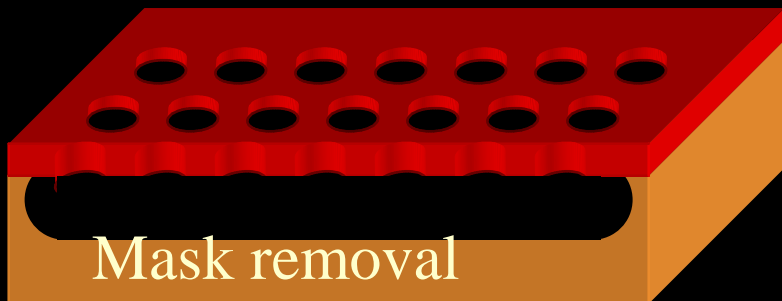
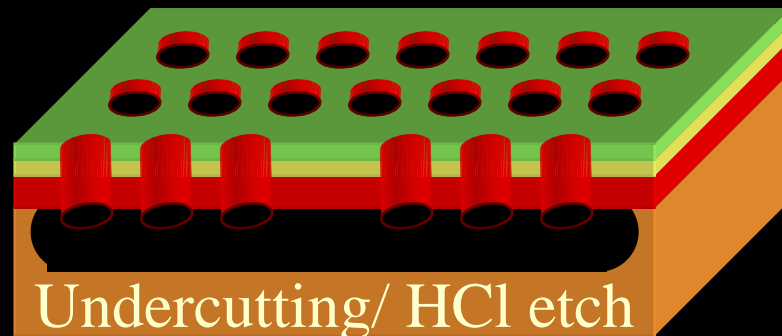
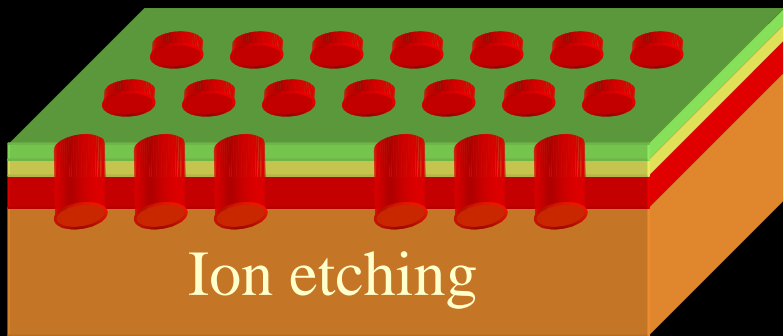
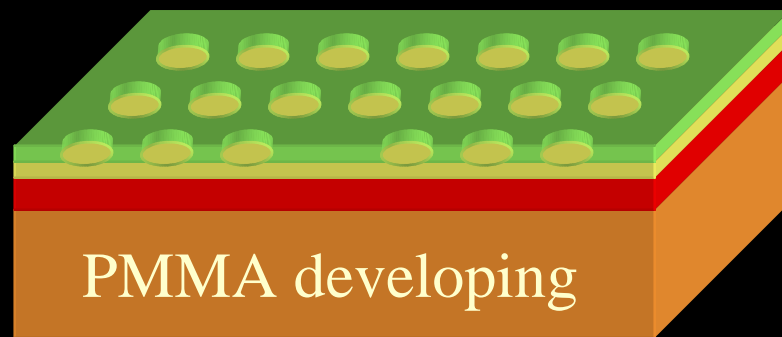
- **TIR** provides vertical guiding in an optically thin slab as in the microdisk.
- High index contrast periodic dielectric lattice provides strong dispersion   
 → photonic bandgap.



Note: 2-D photonic crystals were first proposed by Joannopolous et al. at MIT

# Fabrication Sequence

Photonic Crystal membranes are constructed by lithography, ion etching, and chemical etching

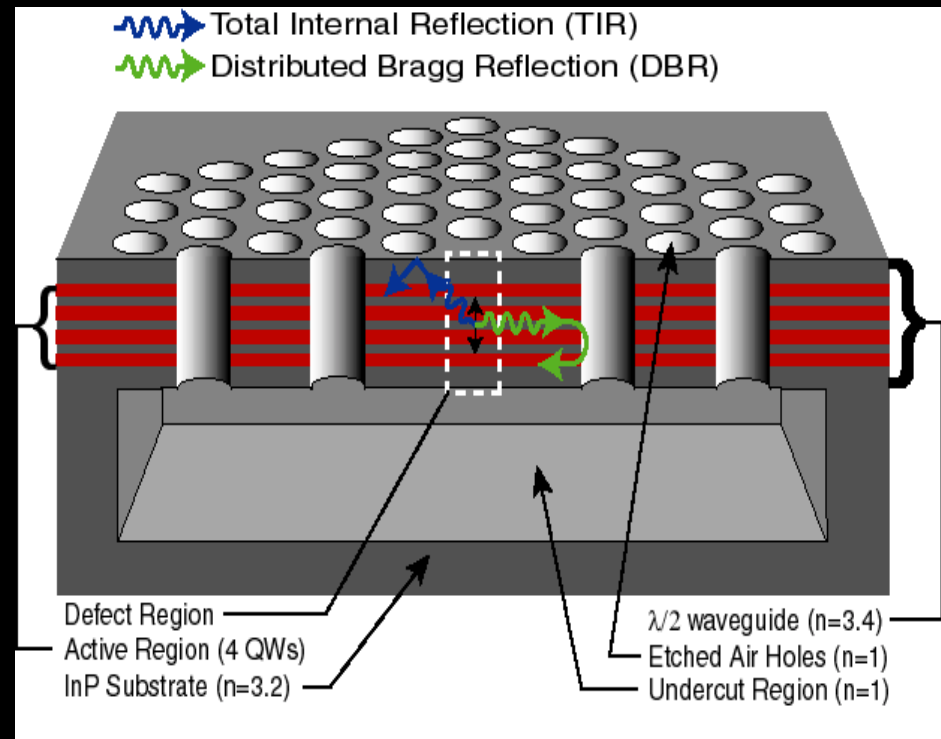




# Photonic Crystal Laser Schematic



- The defect cavity localizes light through total internal reflection at the air/slab interface and Bragg reflection from the 2D photonic crystal.
- The high-index slab ( $n=3.4$ ) contains 4 QWs for gain, and is only 200 nm in thickness.



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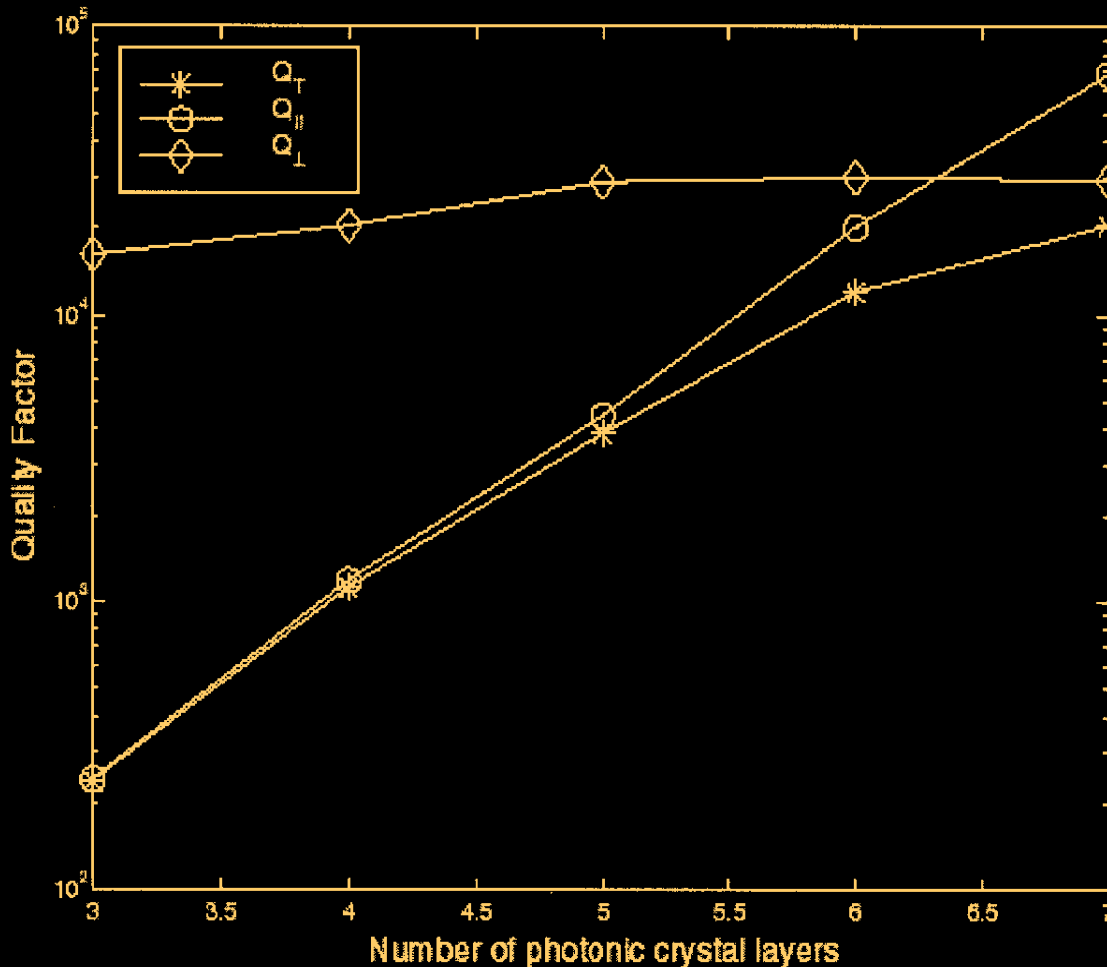
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# *Q dependence on number of PBG layers*

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$$\frac{1}{Q_T} = \left( \frac{1}{Q_{\perp}} + \frac{1}{Q_{\parallel}} \right)$$

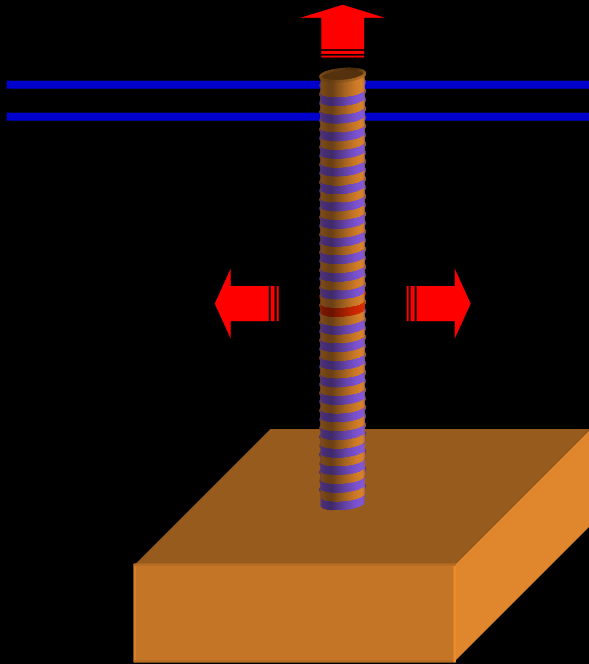


Single defect photonic crystal cavities can be useful high Q (>20,000) resonators.

Qs of 2-D Fabry-Perot resonators increase with number of PBG layers.

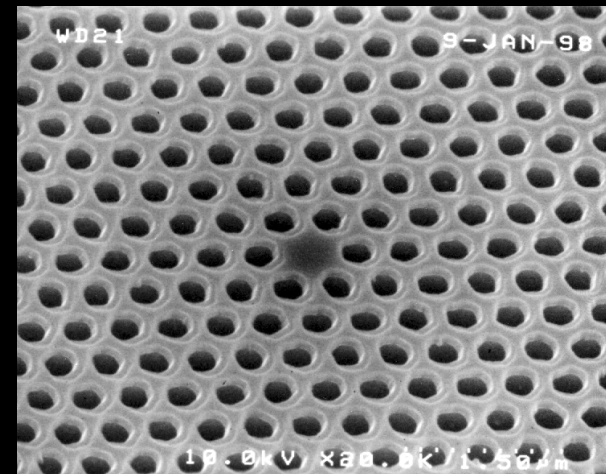
High quality cavities with 0.03 cubic micron volumes can be defined.

# VCSELs and Photonic Crystal Lasers

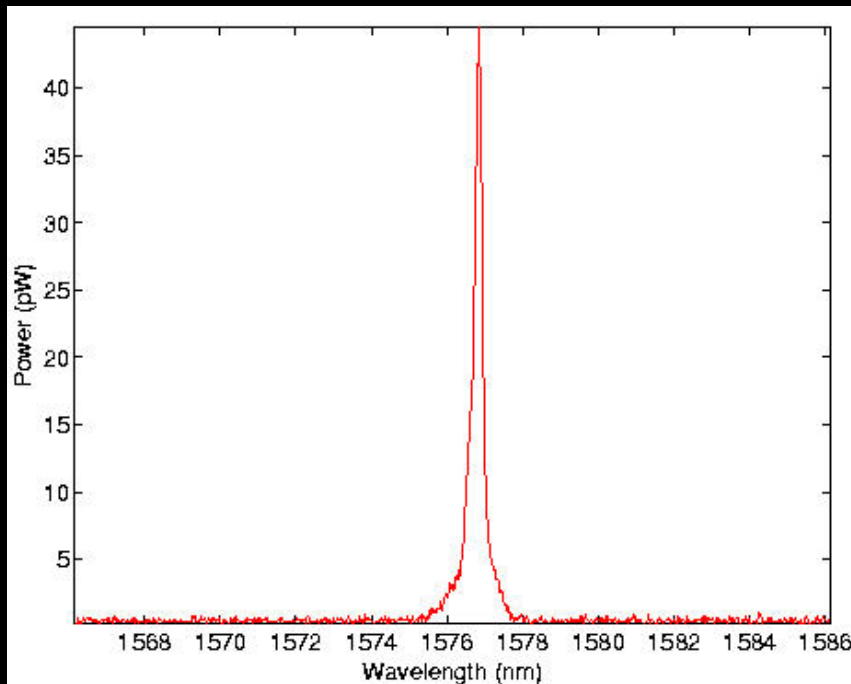


- Mirrors are defined by lithography and etching.
- Only one or two lasing modes are supported in the cavity.
- Lasers can easily be coupled together in-plane.

- Mirrors are defined by growth
- The cavity can support many lasing modes
- Devices are difficult to couple together

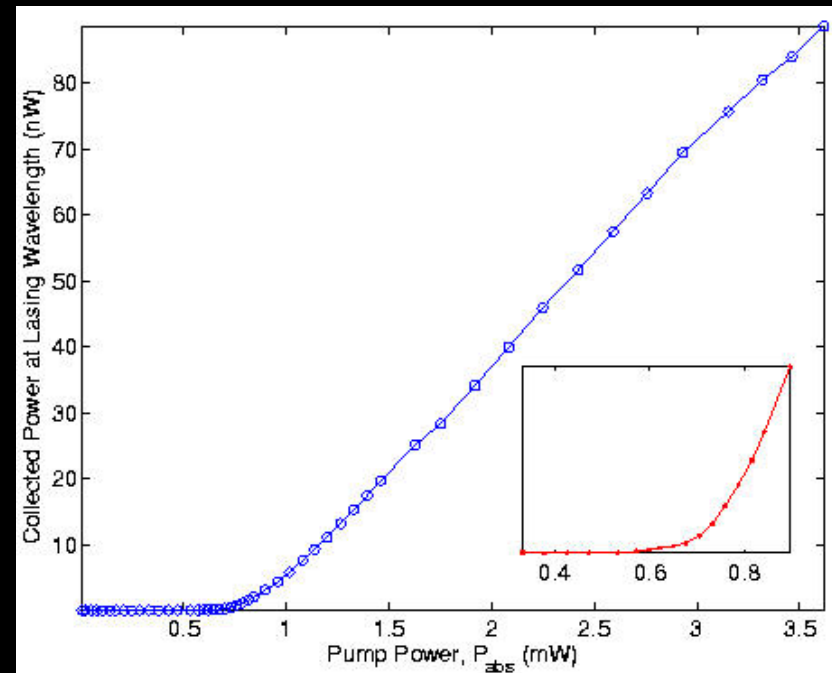


# Room Temperature Lasing

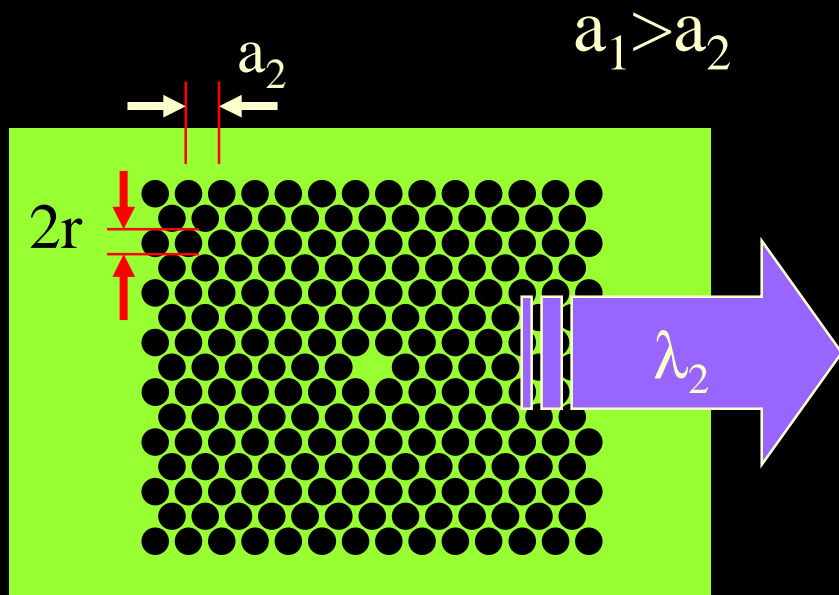
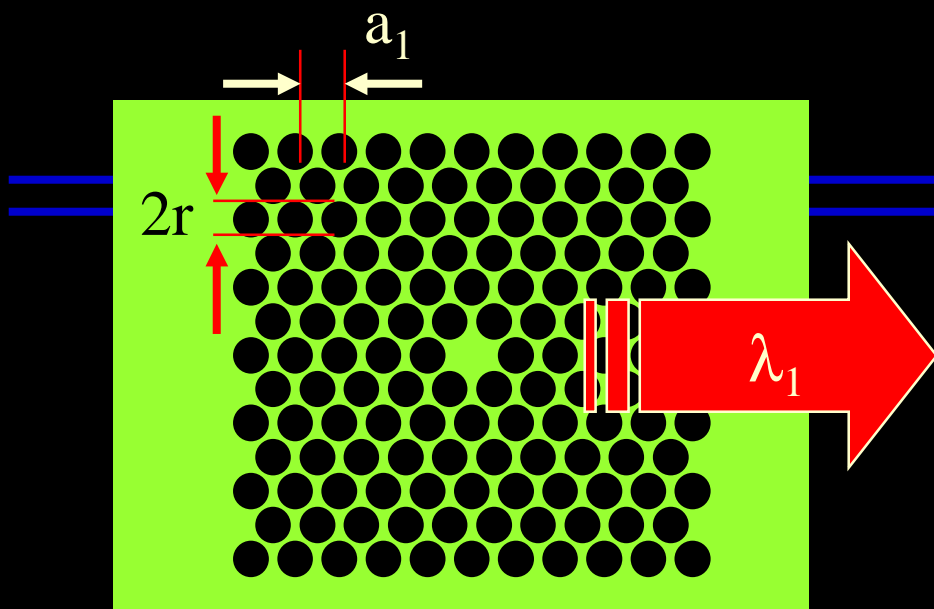


Laser Spectrum of single defect

L-L curve of PBG laser

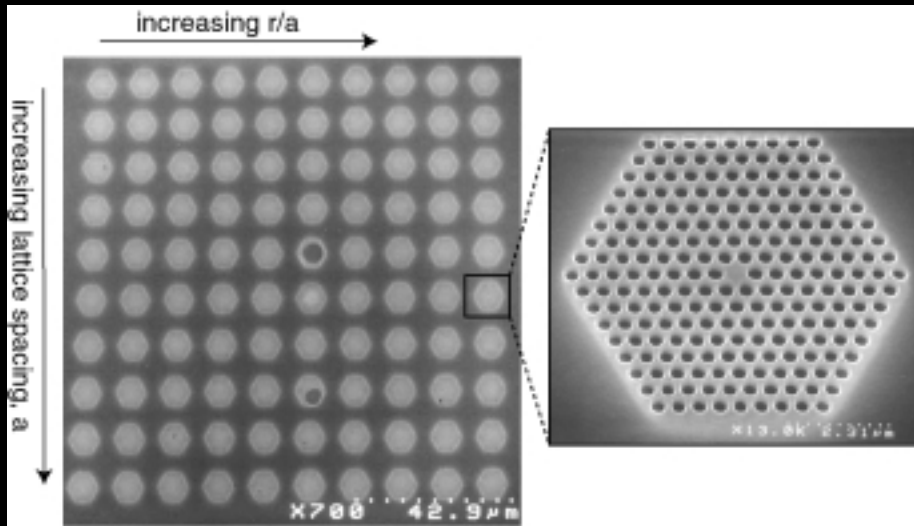


# Tuning of Photonic Crystal Lasers

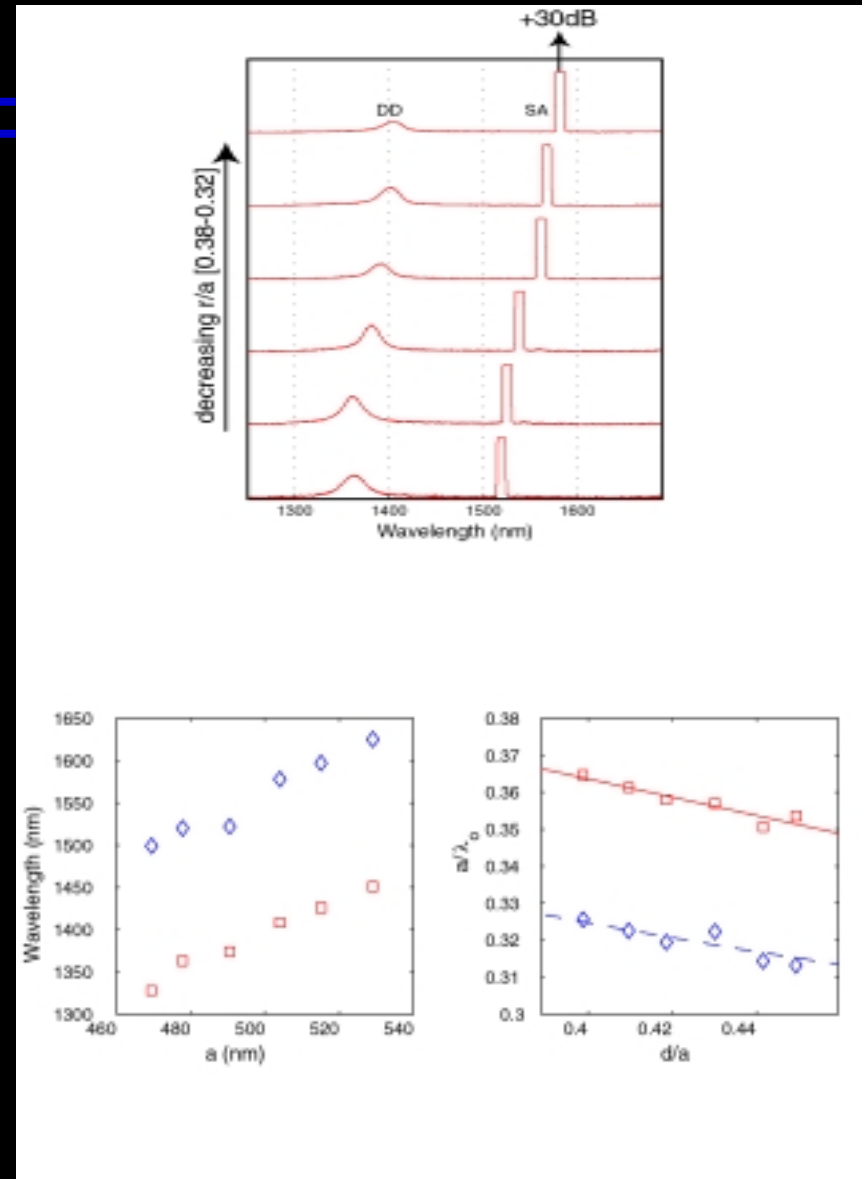


- The distances between holes determine the wavelength of emission from photonic crystal lasers.
- Multi-wavelength laser arrays can easily be constructed.

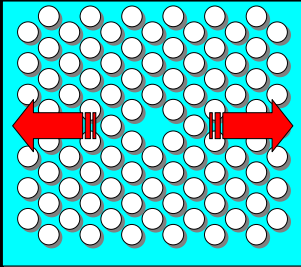
# Multi-Wavelength Laser Array



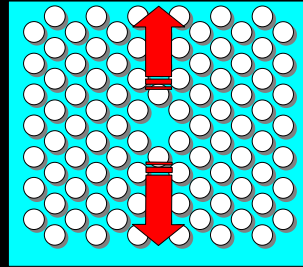
- Wavelength tuning from 1500 - 1620 nm.
- Wavelength resolution of 10 nm [limited by fabrication tolerances].



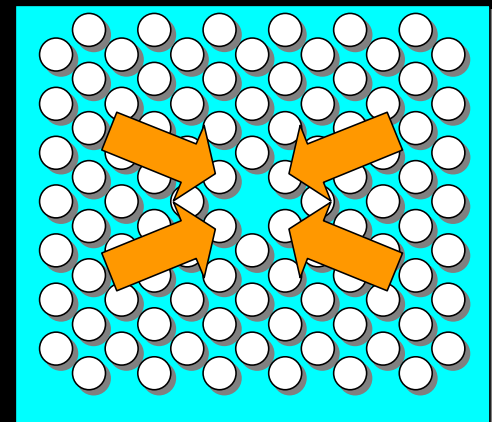
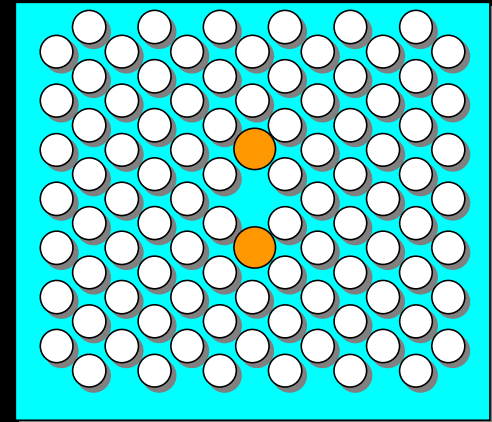
# Controlling the direction of laser emission



X dipole



Y dipole

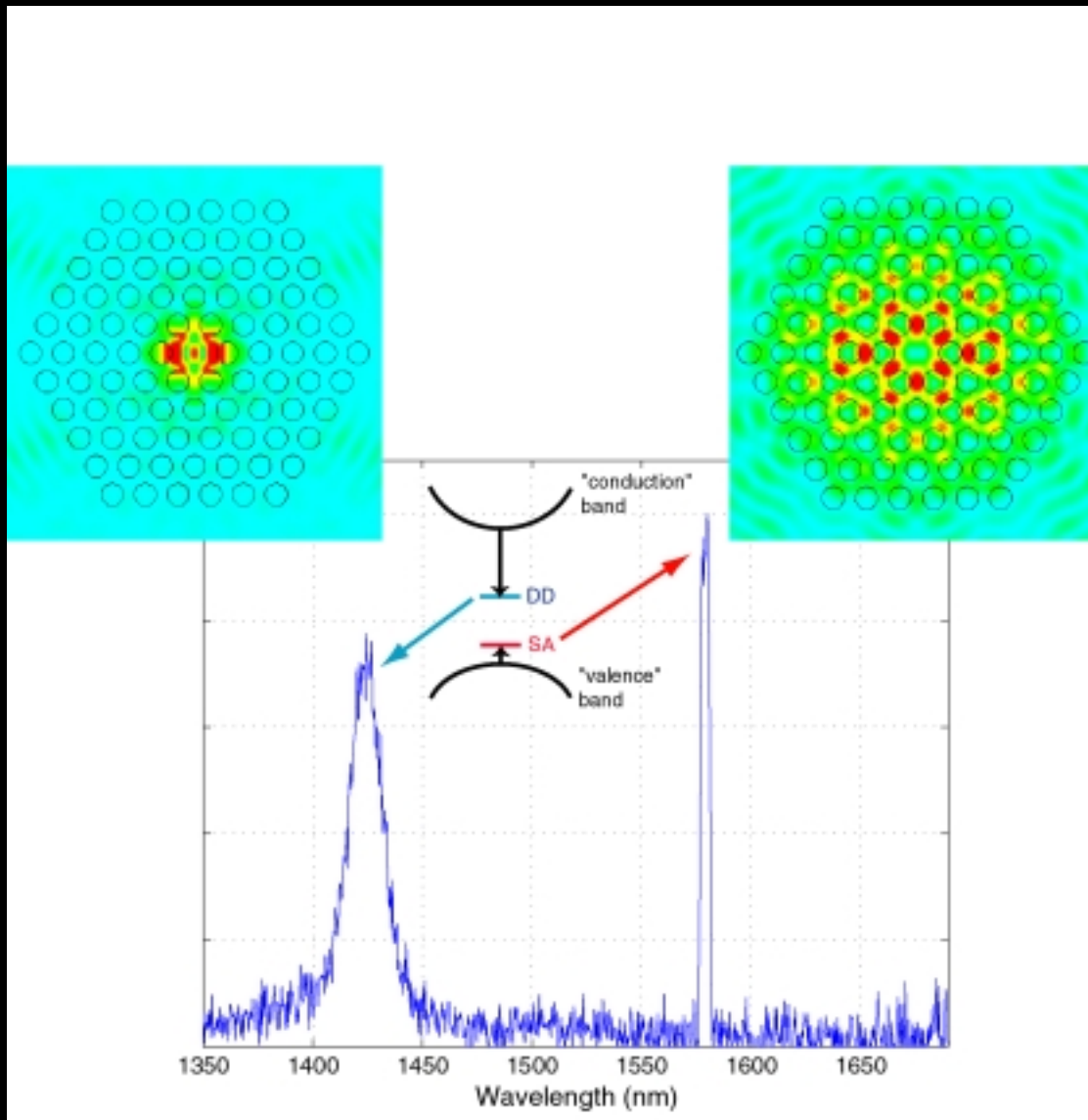


To control the direction and polarisation of the laser emission, we can:

(a) increase the radius of some of the holes next to the cavity, or

(b) move some of the holes closer or further away from the cavity.

# Near-Threshold Spectra

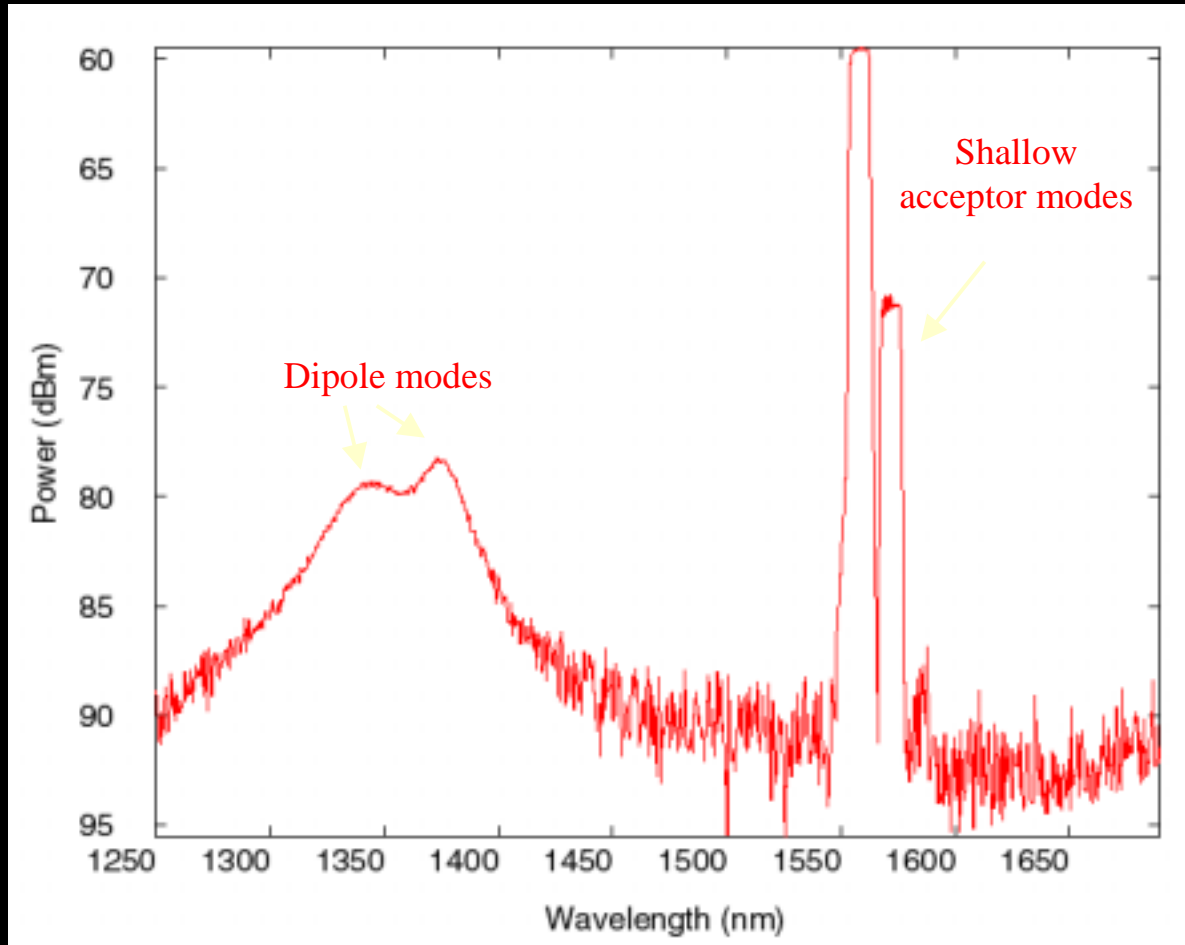
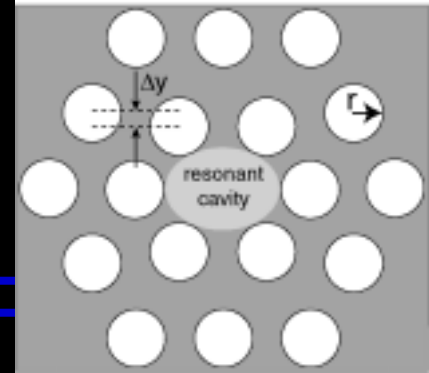


Both deep donor and shallow acceptor modes can be supported by the same cavity

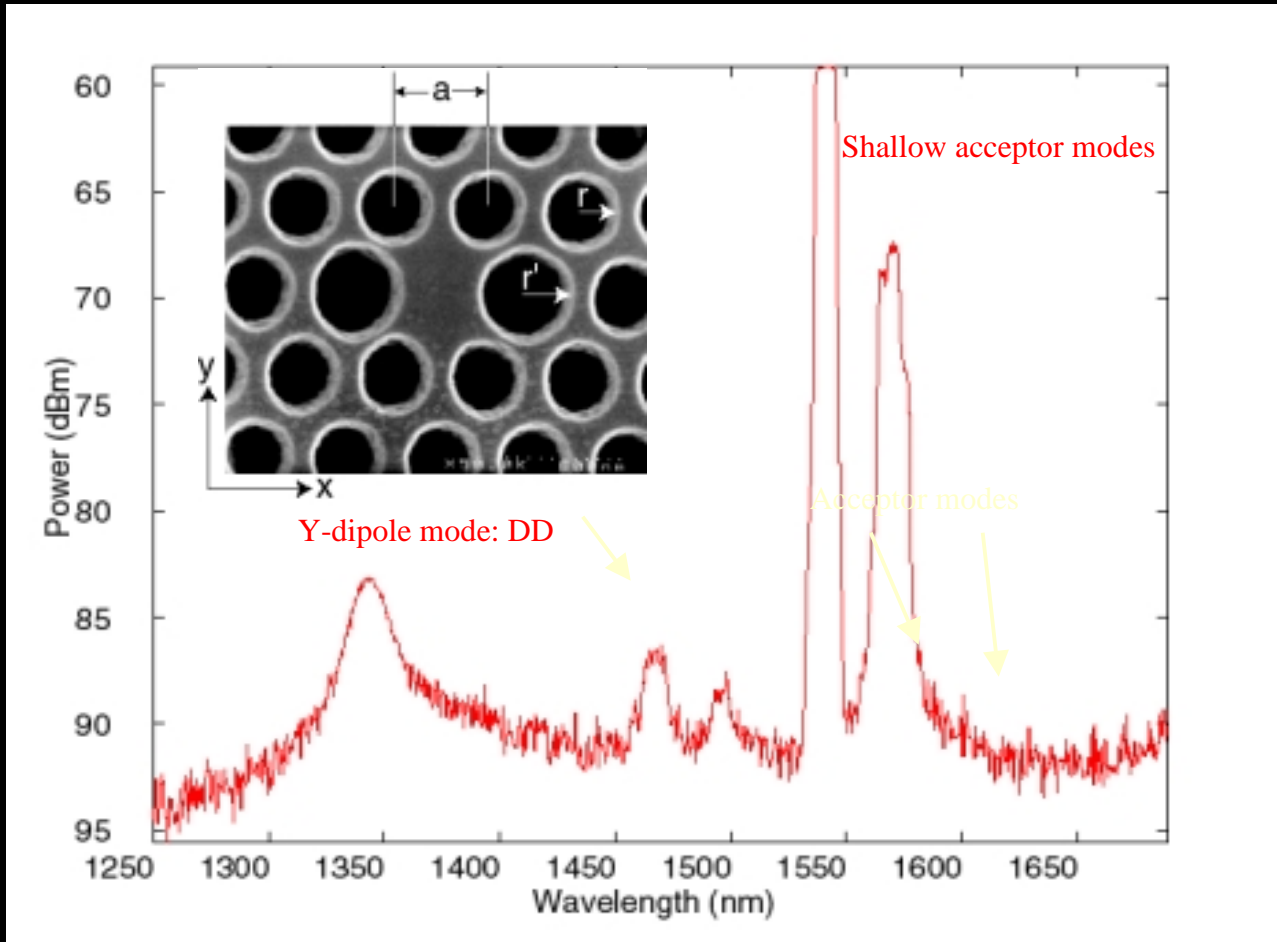
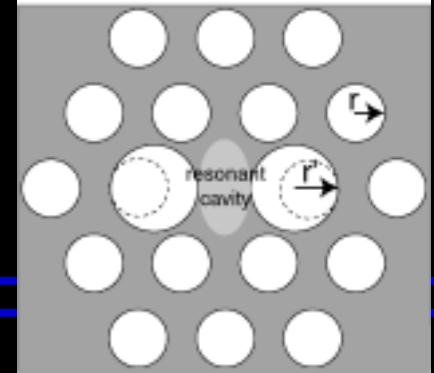
These modes can also be identified in the luminescence spectra



# Split-2 Spectra

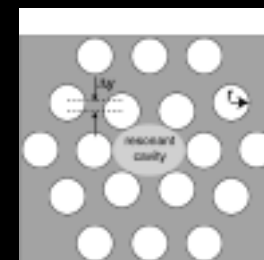
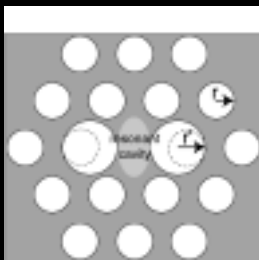
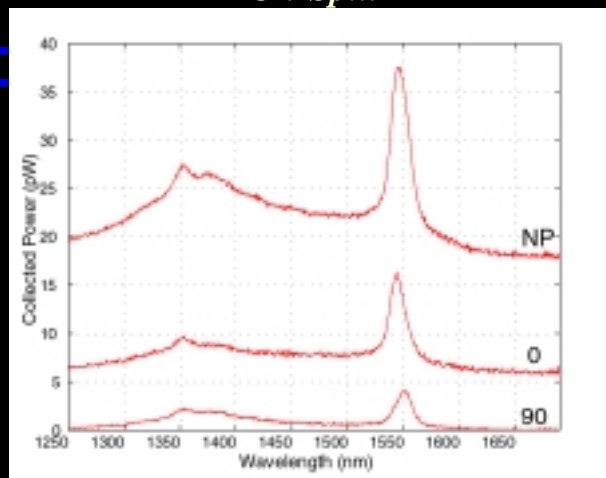


# Split-1 Spectra

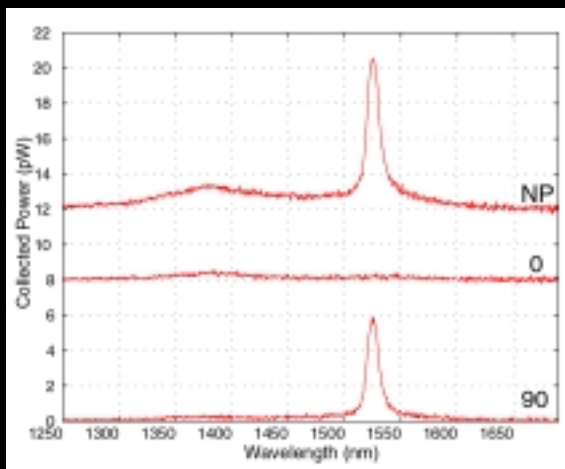


# Polarization Measurements

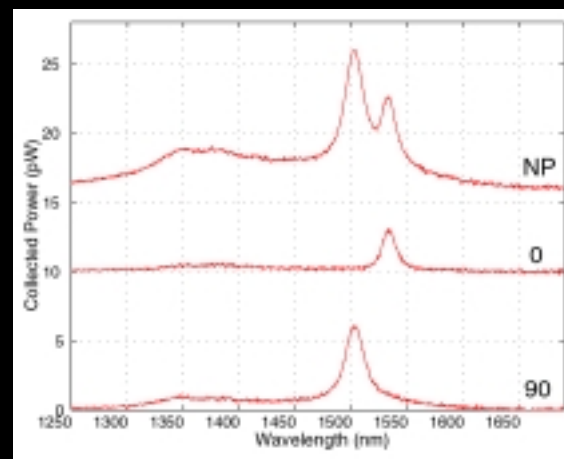
*Un-Split*



*Split-1*

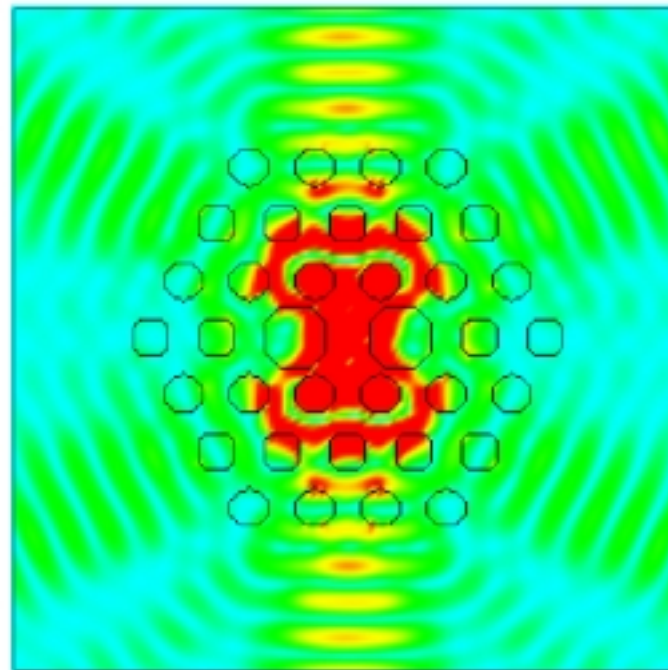


*Split-2*

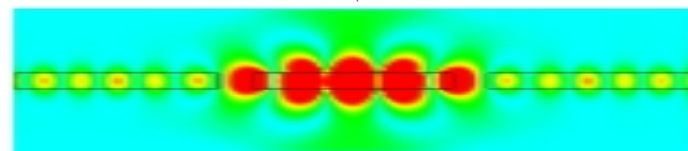


# Emission Pattern from Optimized Cavity

- Defect mode can be controlled through lithography to radiate vertically or in-plane.
- Two enlarged holes concentrate the in-plane emission along one-axis.



*In-Plane emission (FDTD simulation)*

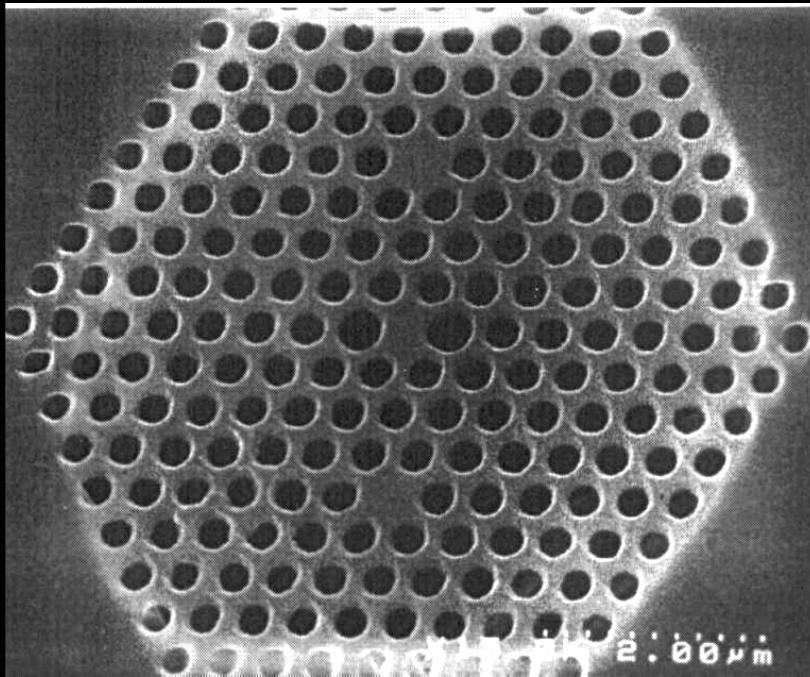


*Vertical Emission (cut through slab)*

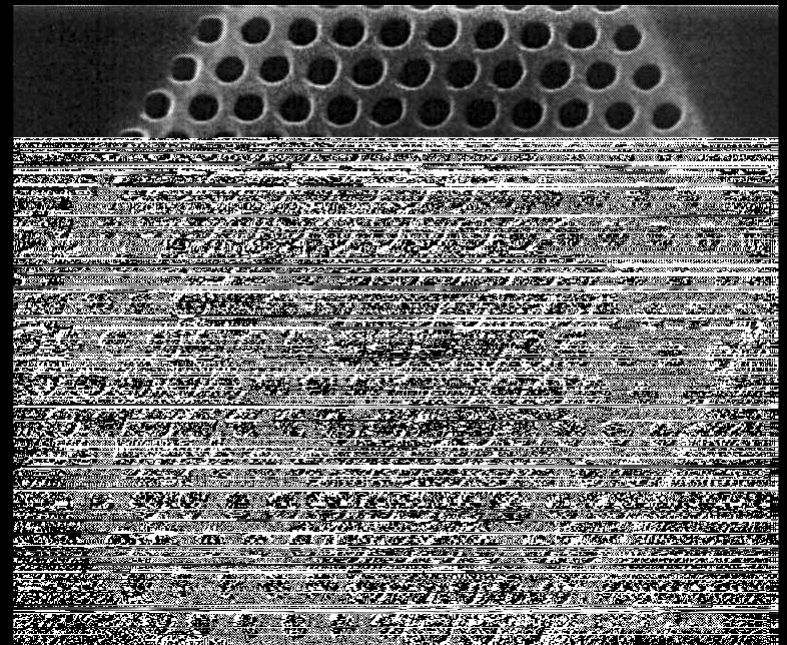
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# *Lithographically connected optical cavities*



Several cavities can be connected lithographically to form routers and switches

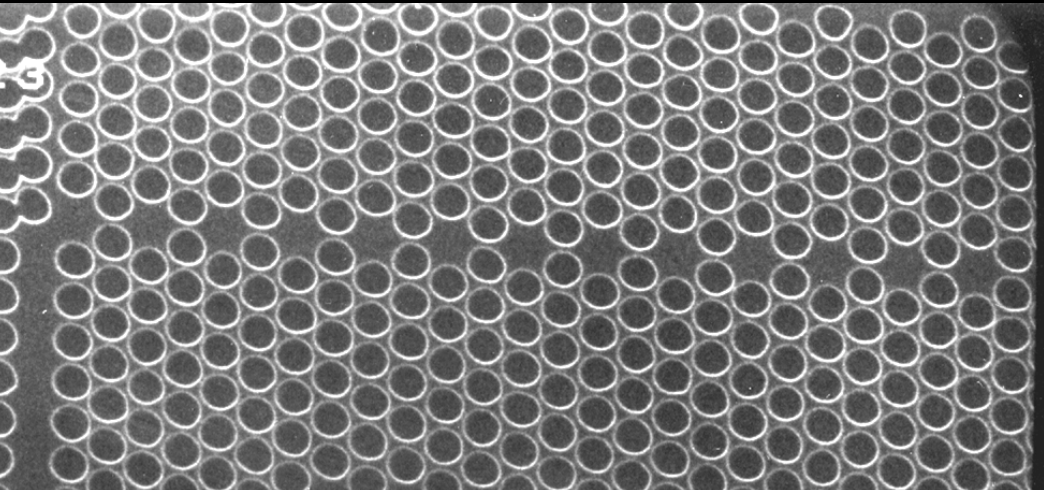


Diffraction losses can be minimized by using photonic crystal mirrors between devices

# *Coupled Resonant Optical Waveguides*

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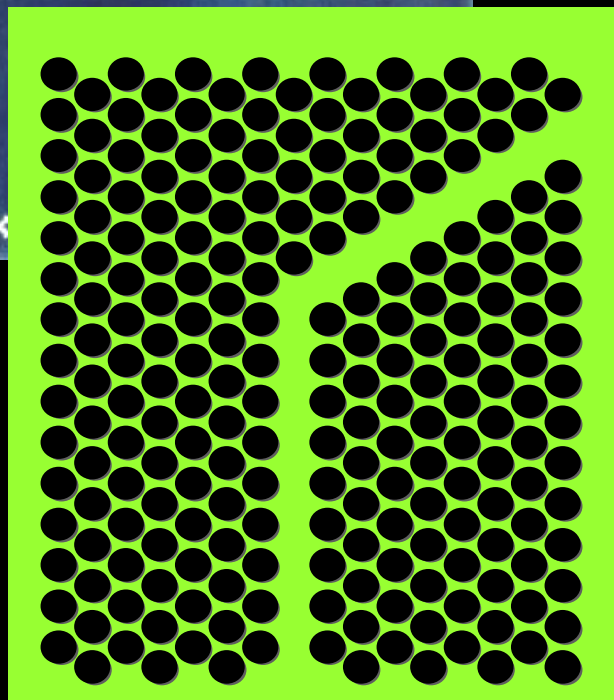
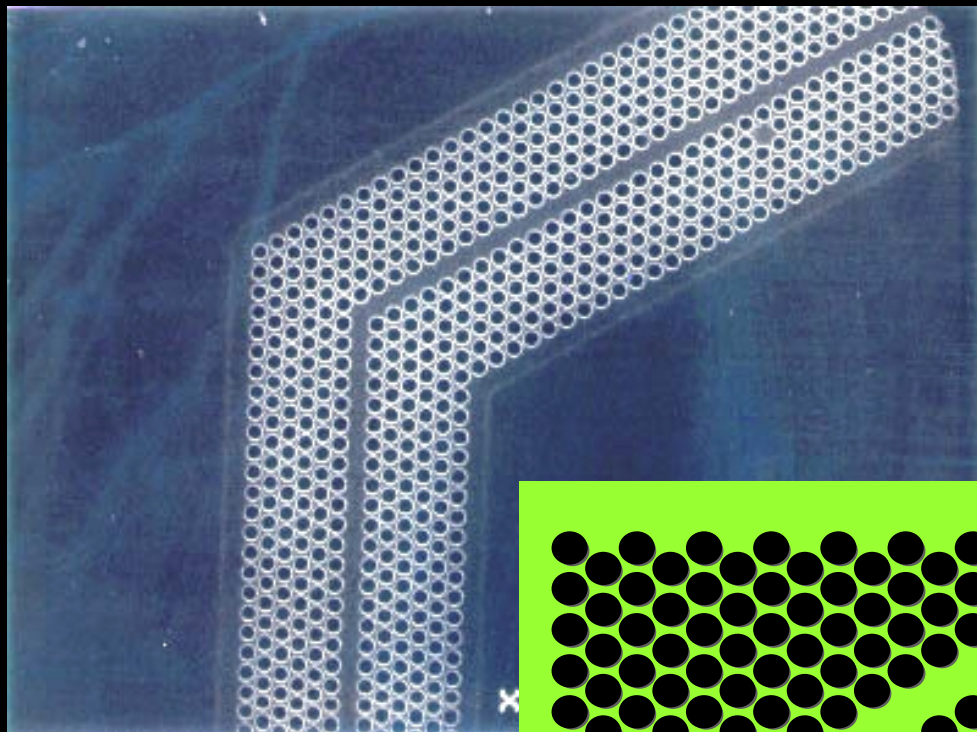
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- Waveguides can be constructed to change the phase velocity of light.

Applications may include:  
optical traveling wave tubes  
higher harmonic light generation  
pulse reshaping

# Waveguides in Photonic Crystals



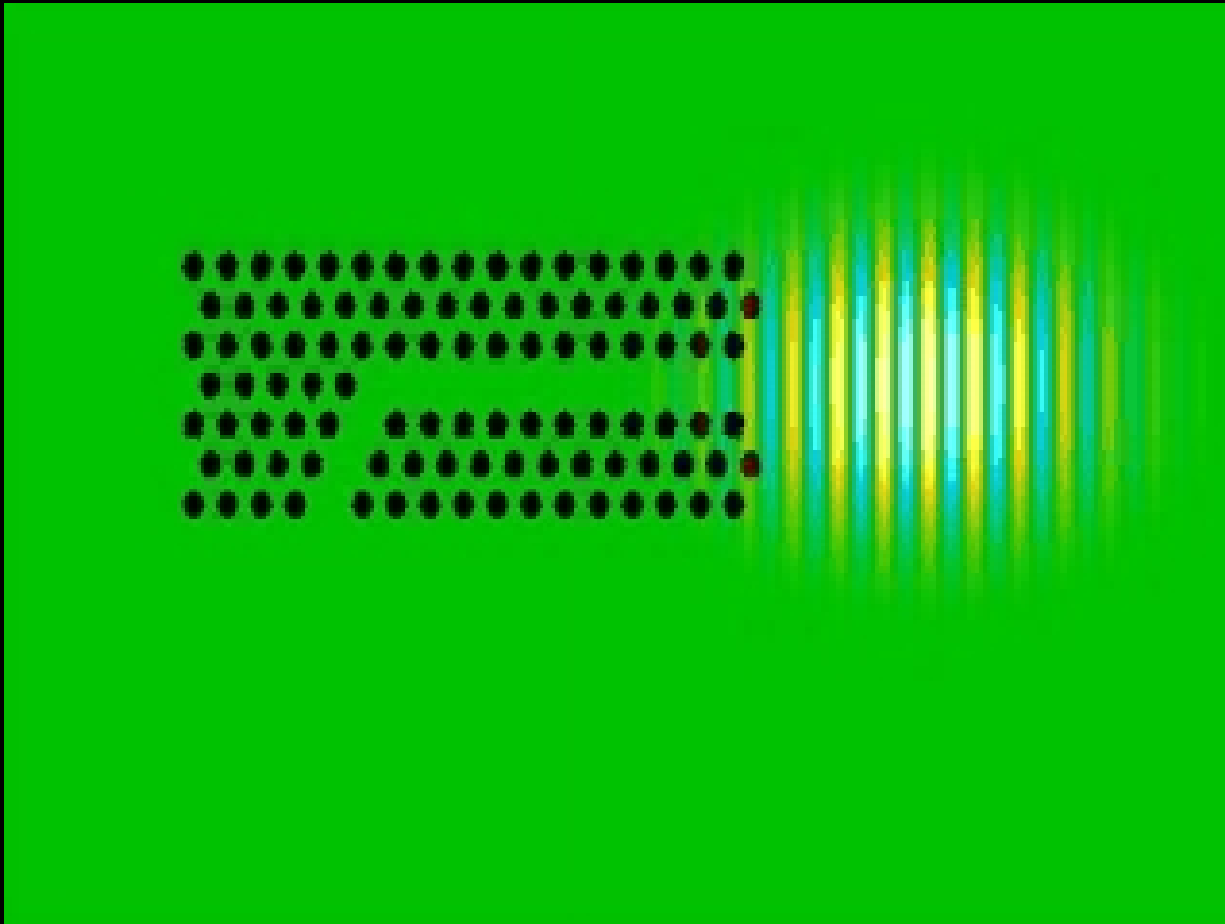
A photonic crystal waveguide can be defined by eliminating lines of holes from the photonic crystal.

This waveguide can be used to connect lasers, detectors and filters.

# *Guided Light in a PBG Waveguide (FDTD model)*

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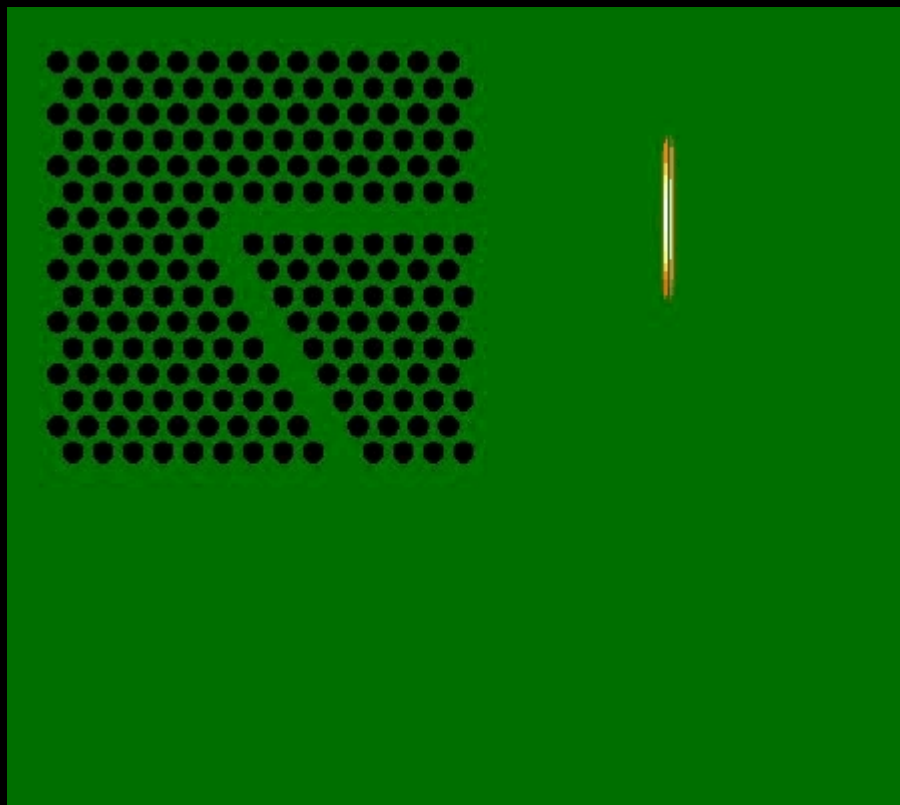
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# *Finite Difference Time Domain Calculation of 120° Bend*

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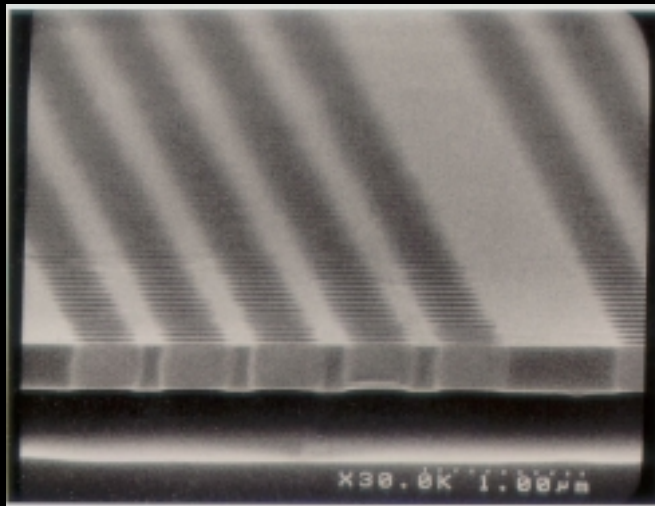
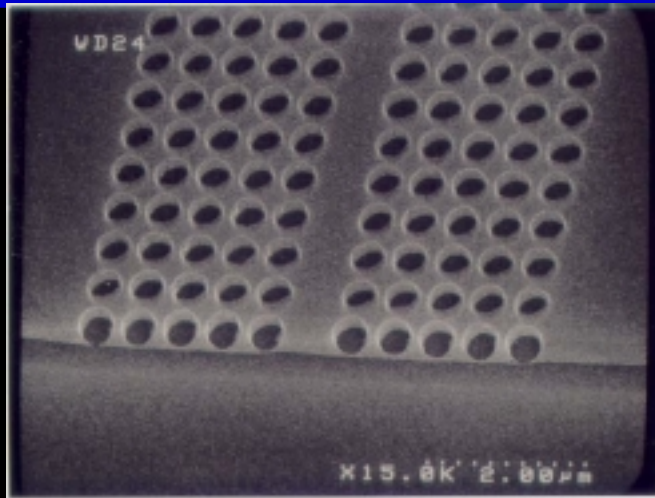


Bend geometries can be designed in both 2-D and 3-D by using FDTD programs distributed on a multi-computer cluster.

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# Si Photonic Crystal Waveguides



- Silicon on Insulator (SOI) allows the easy fabrication of single mode optical waveguides for  $1.55 \mu\text{m}$
- Photonic crystal mirrors can be used to construct very sharp waveguide bends with low losses.