

# Neuroergonomics

## Analyzing Brain Function to Enhance Human Performance in Complex Systems

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*Presented at the 26th Army Science Conference, Orlando, FL, December 2, 2008*

# Report Documentation Page

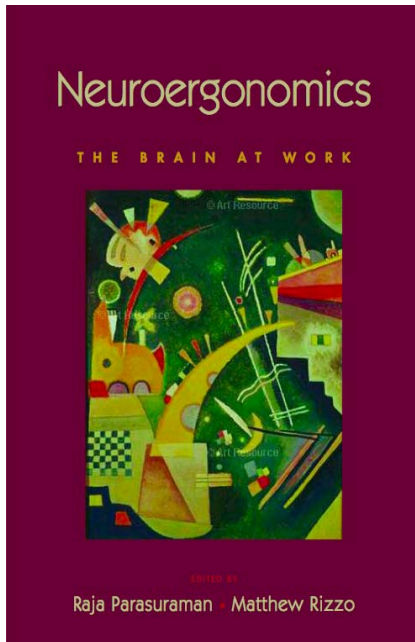
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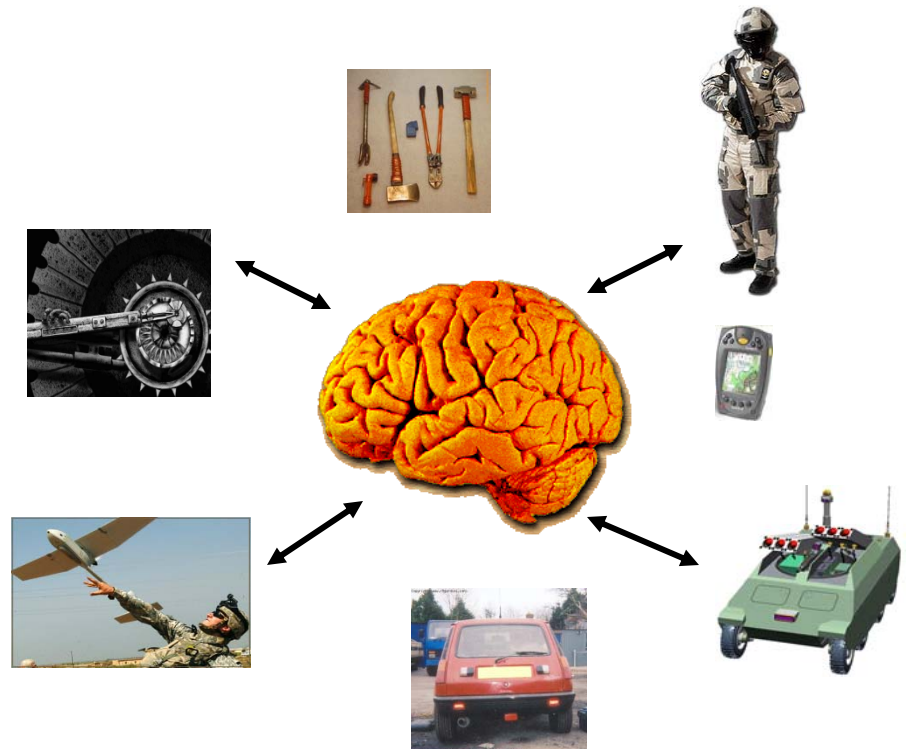
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# Why Neuroergonomics?

- To design effective human-machine systems, we must
  - Understand mind in relation to work and technology—ergonomics
  - Mind cannot be understood without studying the brain—neuroscience
  - Hence study brain and mind in complex work domains—**Neuroergonomics**
- Neuroergonomics can provide for more effective and natural interaction between humans and technology



Oxford University Press, 2008



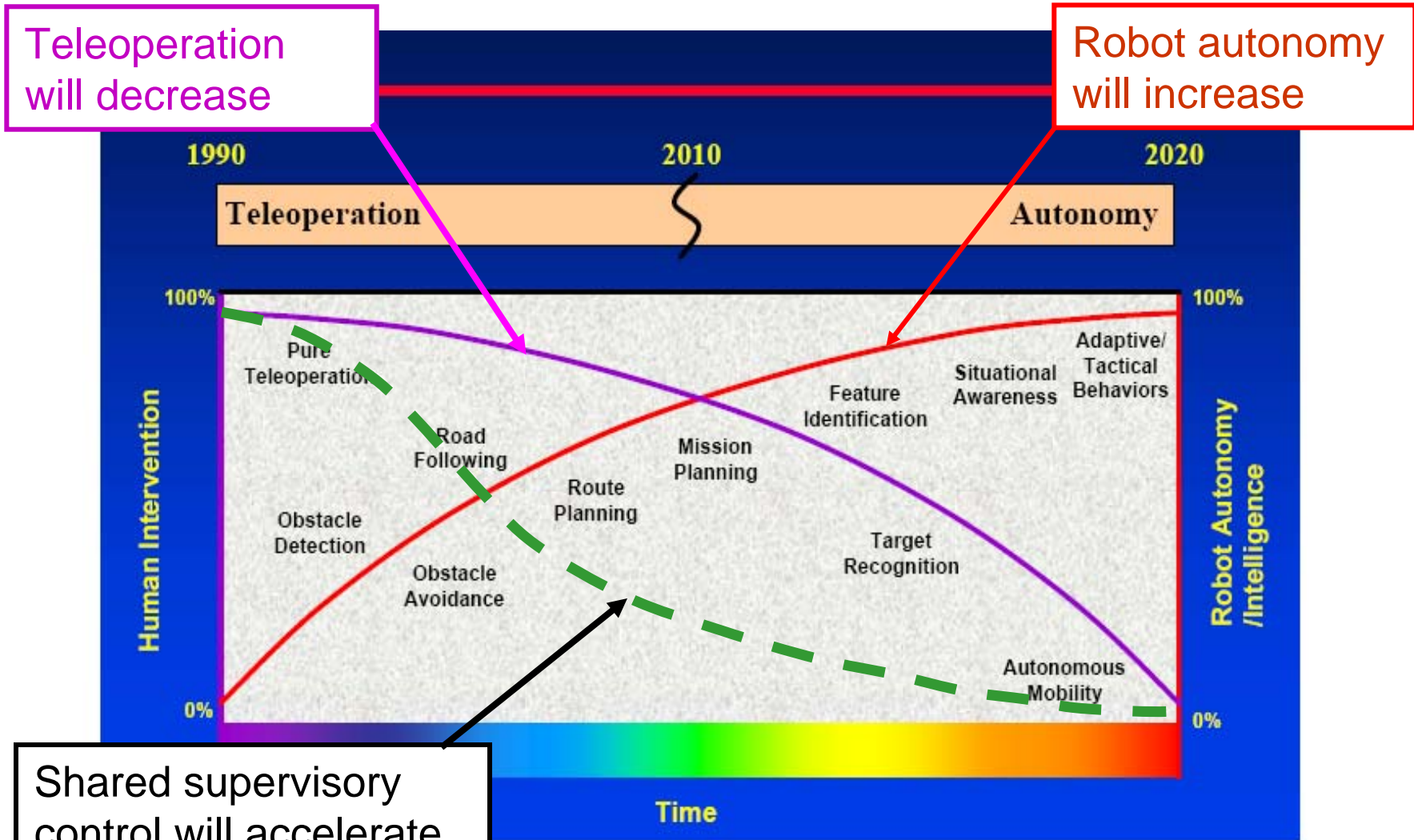
# Two Examples of Neuroergonomics

- **Neuroimaging and adaptive automation**
  - Enhancing performance of operators supervising multiple unmanned vehicles
- **Molecular genetics and proteomics**
  - Identifying rapid decision makers in command and control

# **Example 1: Neuroimaging and Adaptive Automation**

**Enhancing performance of operators supervising  
multiple unmanned vehicles**

# Robotic Evolution Overview\*

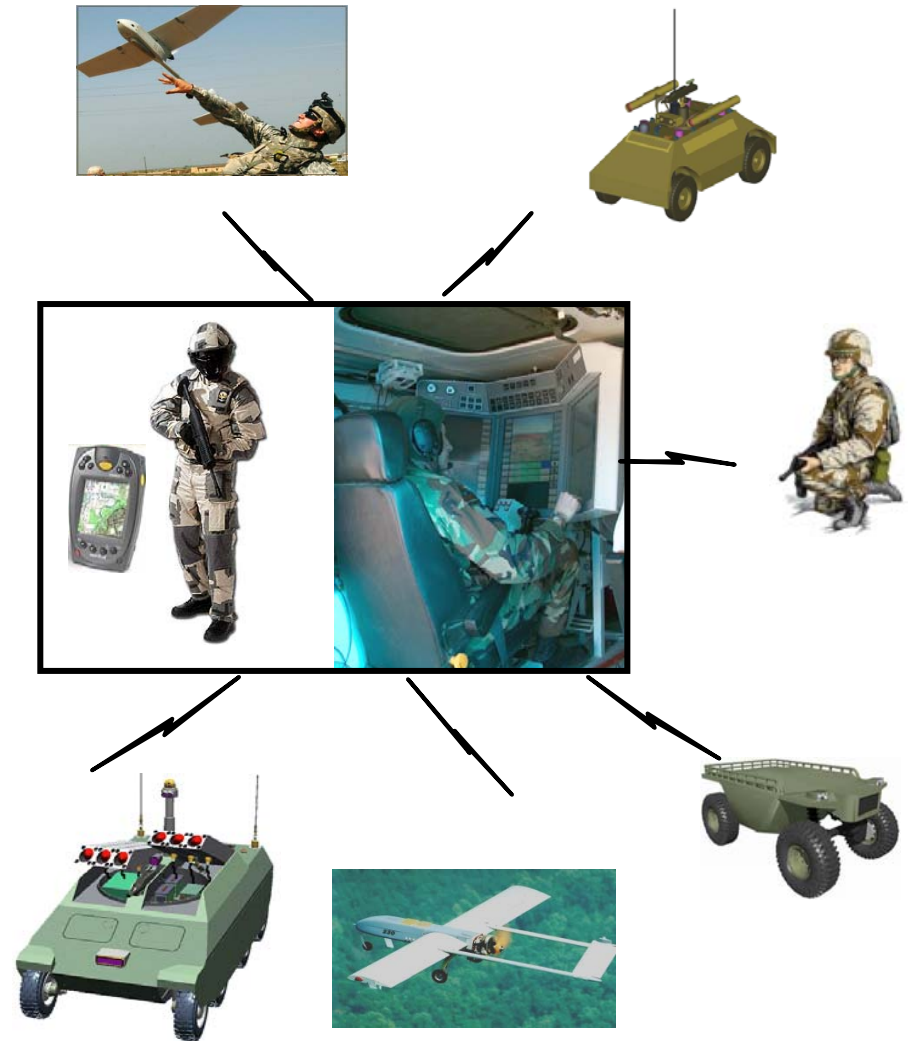


Shared supervisory control will accelerate transition

\* 2005 Joint Robotics Program Master Plan

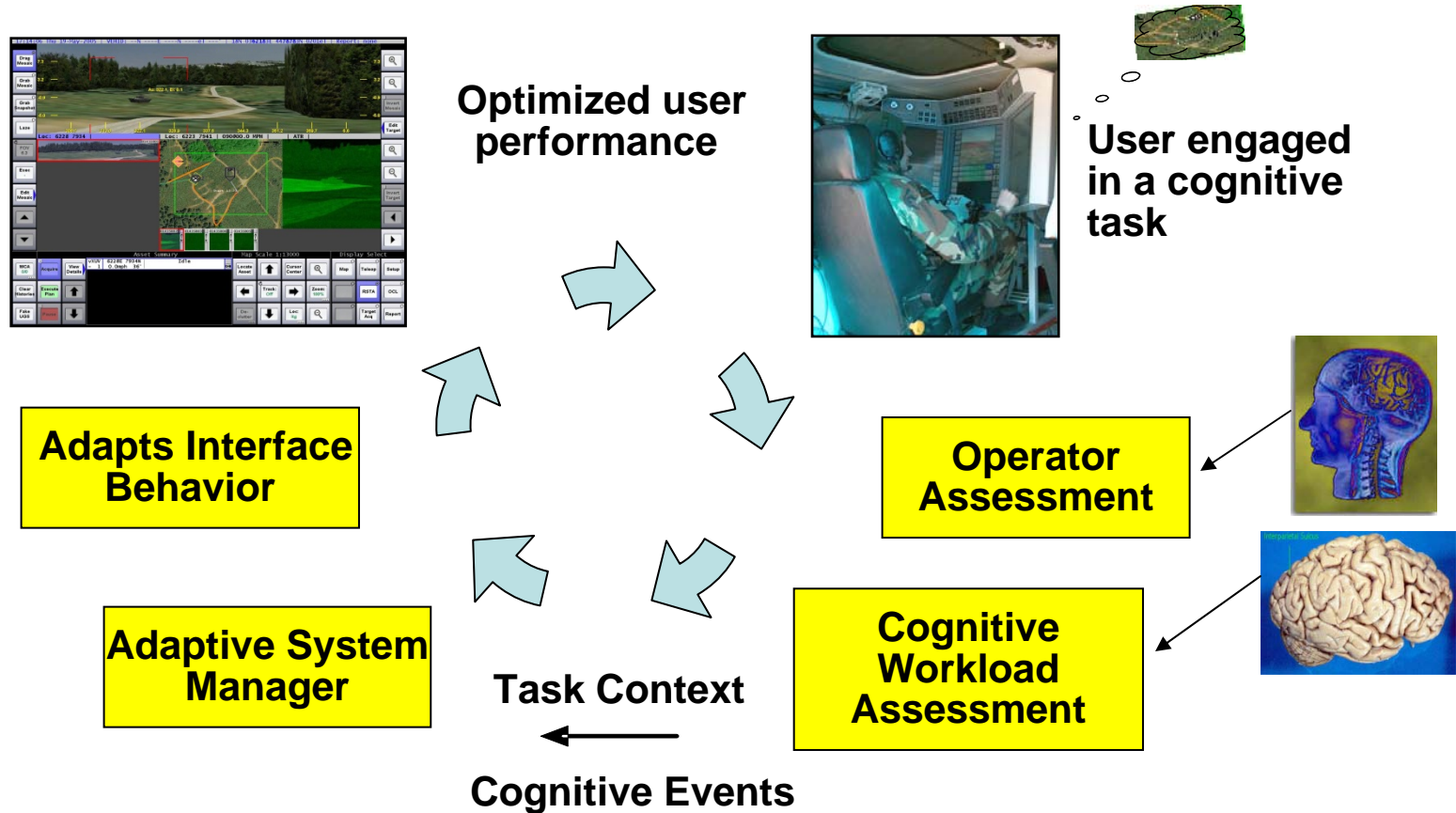
# Soldier-Robot Teaming

- Unmanned vehicles being introduced in Army systems to
  - extend manned capabilities
  - provide tactical flexibility
  - act as “force multipliers”
- Goal: Enhance Soldier-system performance while optimizing workload
- Approach: Use **adaptive automation** to provide support to Soldier when and where needed



# Adaptive Automation

*An approach to automation in which the “division of labor” between human and machine is flexible and context-dependent*





# Triggers for Adaptive Automation

- Critical events
- Mission phase
- Operator performance
- User modeling
- Operator neurocognitive states (attention, workload, situation awareness, fatigue etc.)

Parasuraman (2000), *Ergonomics*.



# Simulation Integration Lab (SIL)



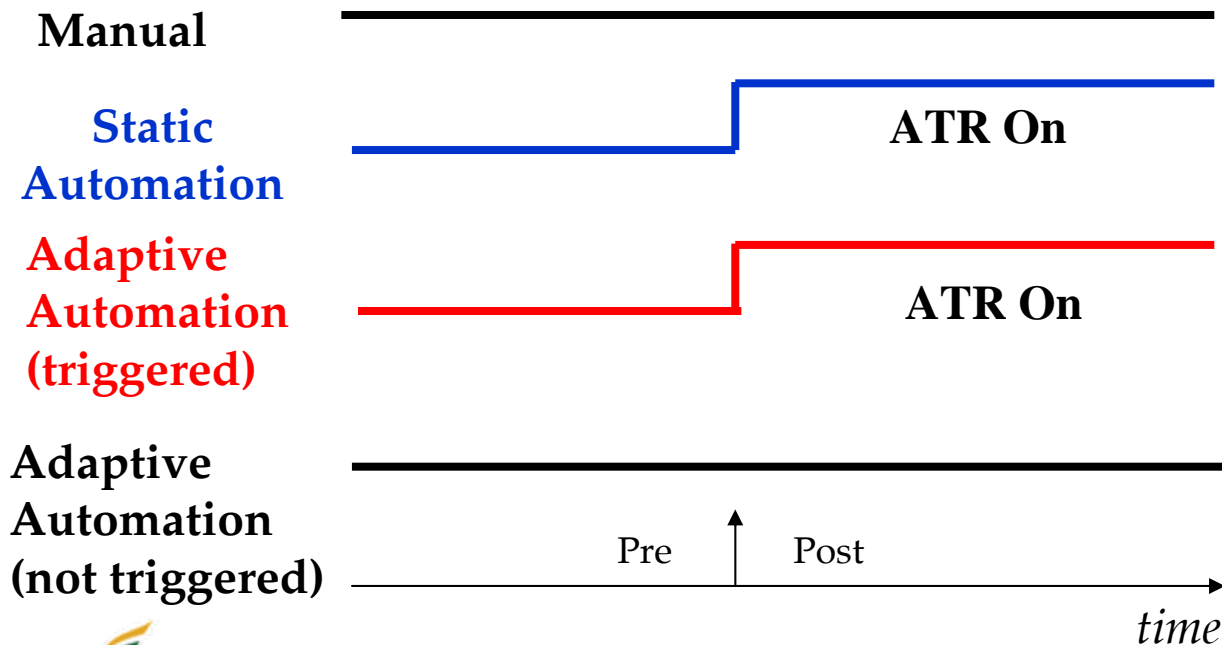
**Change Detection Task: Icon on Situation Map Moves**

- Reconnaissance, Surveillance, and Target Acquisition (RSTA)
  - With and without Automatic Target Recognition (ATR) support
- Monitor UAV and UGV assets
- Secondary change detection task

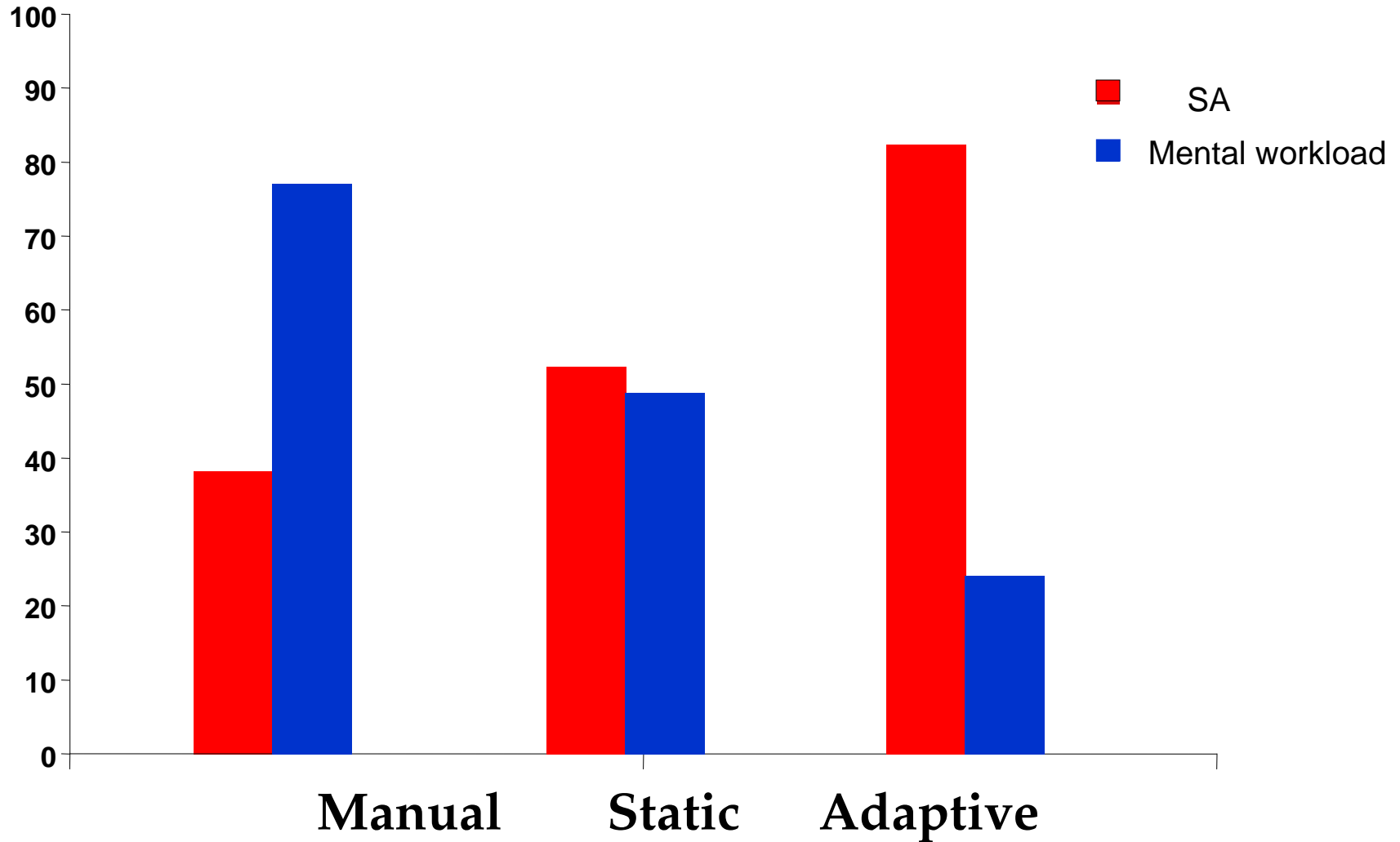


# Testing the Efficacy of Adaptive Automation

- **Manual:** no support
- **Static automation:** Automatic Target Recognition (ATR) in middle of simulated reconnaissance mission
- **Adaptive automation:** Automatic Target Recognition (ATR) in middle of simulated mission
  - *if and only if* subject's change detection performance up to that point in time is less than a threshold

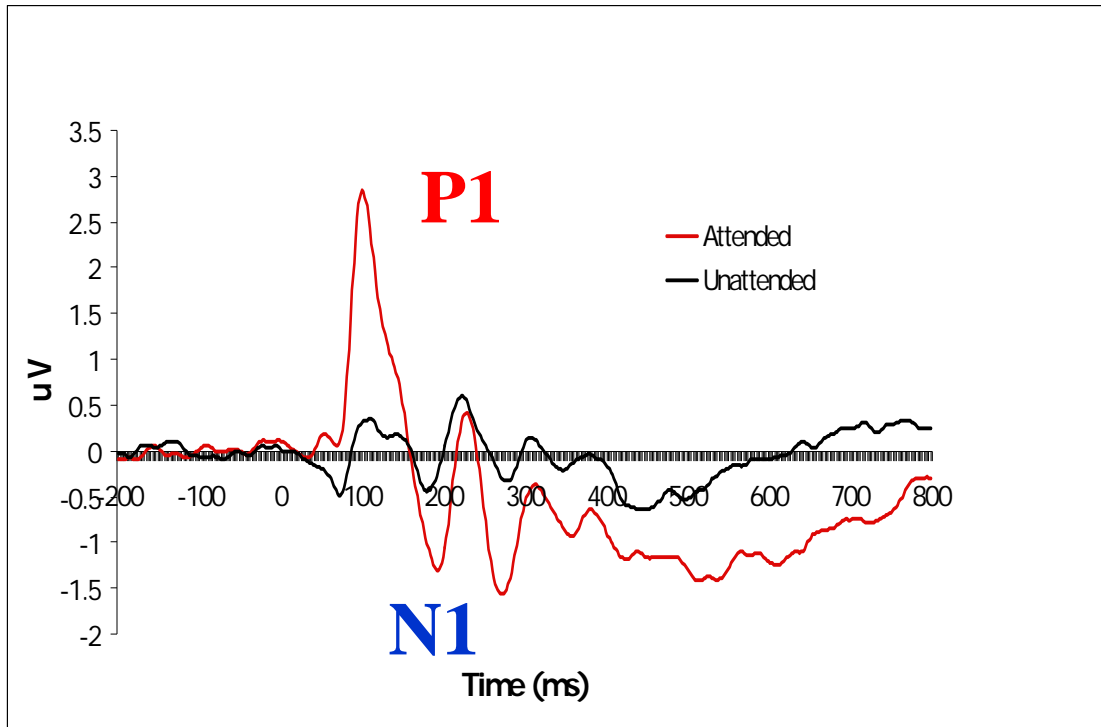


# Effects of Adaptive Automation on Situation Awareness (SA) and Workload

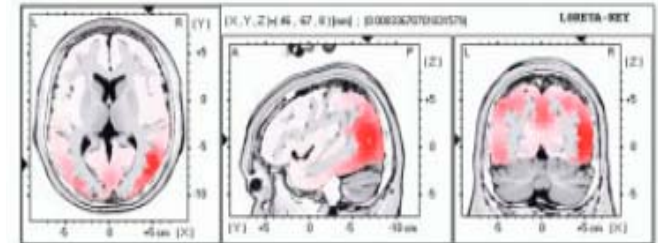


# Attention Enhances the P1 and N1 Event-Related Brain Potential Components

## ERPs and Attention



## Brain Topography of Attention Effect



Fu, Greenwood, & Parasuraman (2005), *Human Brain Mapping*

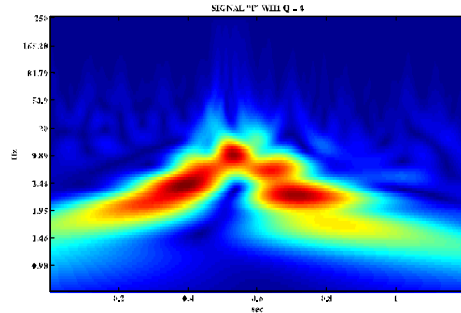
# Event-Related Brain Potentials (ERPs) to Attended and Unattended Probes



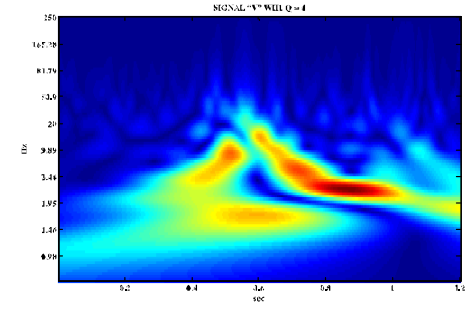
Change detection probe:  
Sine wave grating



## Wavelet analysis

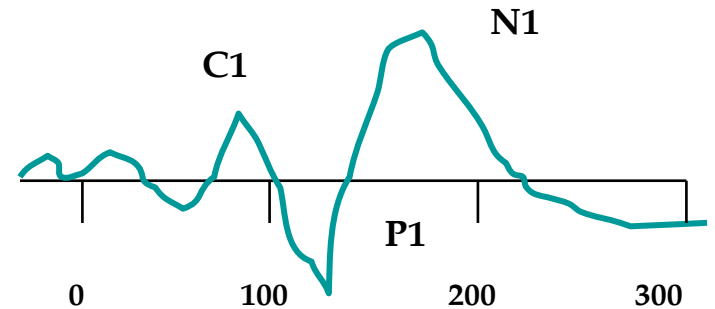


Attended Probe



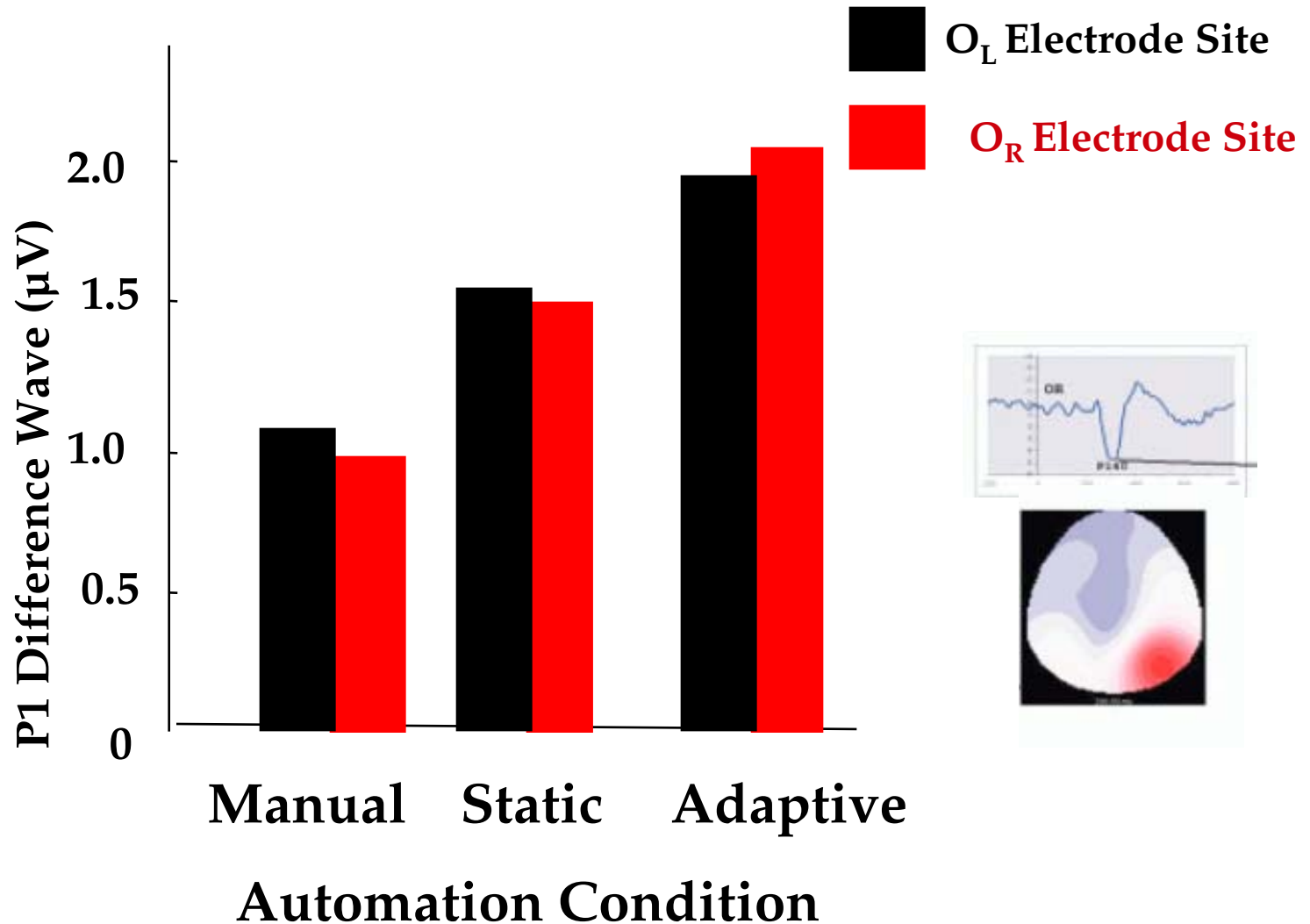
Unattended Probe

## ERP to Probe Event



Time (milliseconds)

# Effect of Adaptive Automation on the P1 Brain Potential Attention Effect



# Example 1: Conclusions

- **Adaptive automation triggered by operator change detection performance enhances human performance in multiple UAV/UGV supervision—increased SA and reduced workload**
- **Brain measures of attention (P1 and N1 components of the ERP) provide converging neural evidence for the efficacy of adaptive automation**
- **A neuroergonomic approach to adaptive automation can lead to improved human-machine synergy**
  - **Licklider's (1960) vision of human-computer *symbiosis*?**



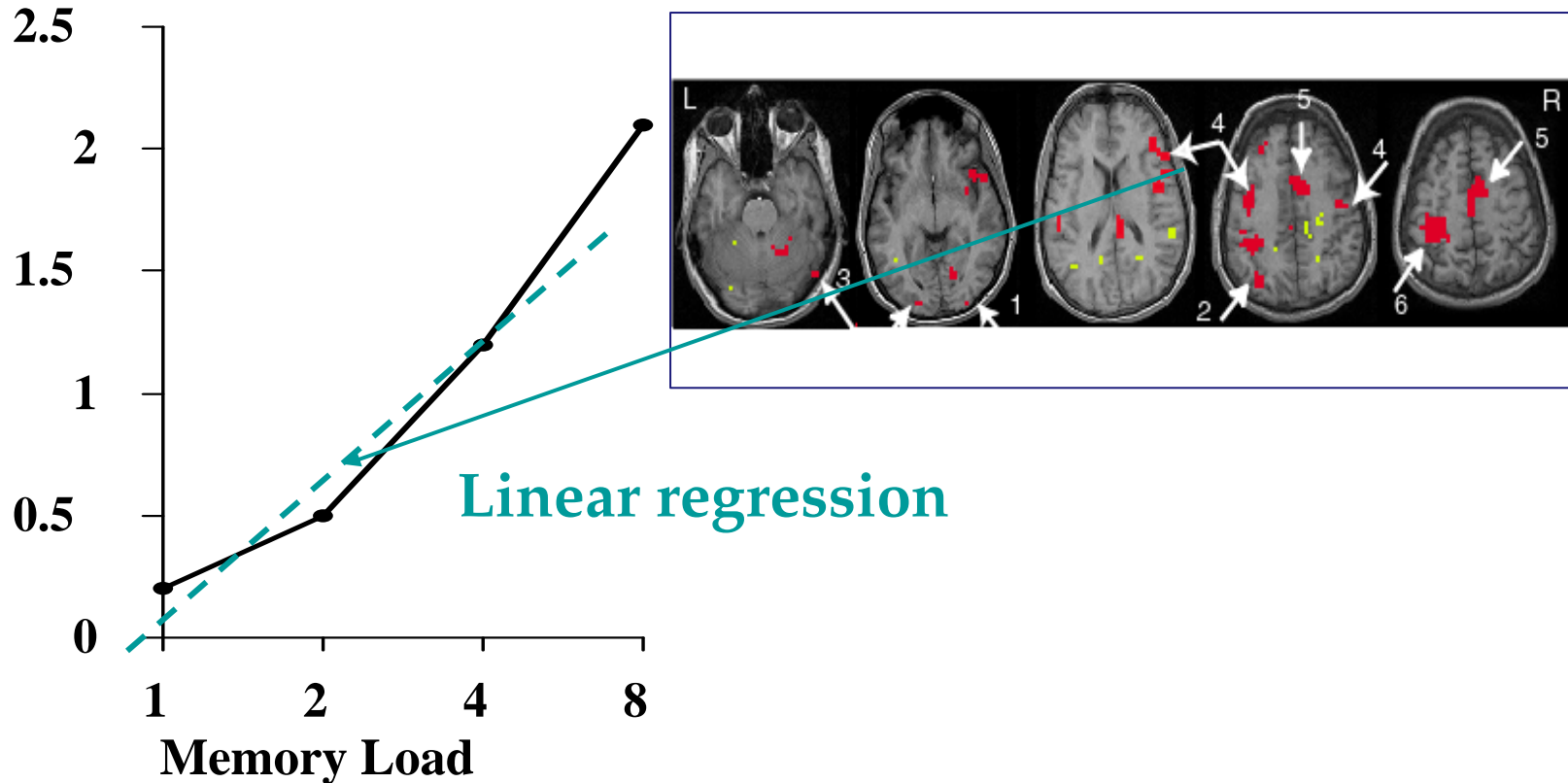
# **Example 2: Molecular Genetics and Proteomics**

**Identifying rapid decision makers in command and control**

# Identifying Sources of Individual Differences

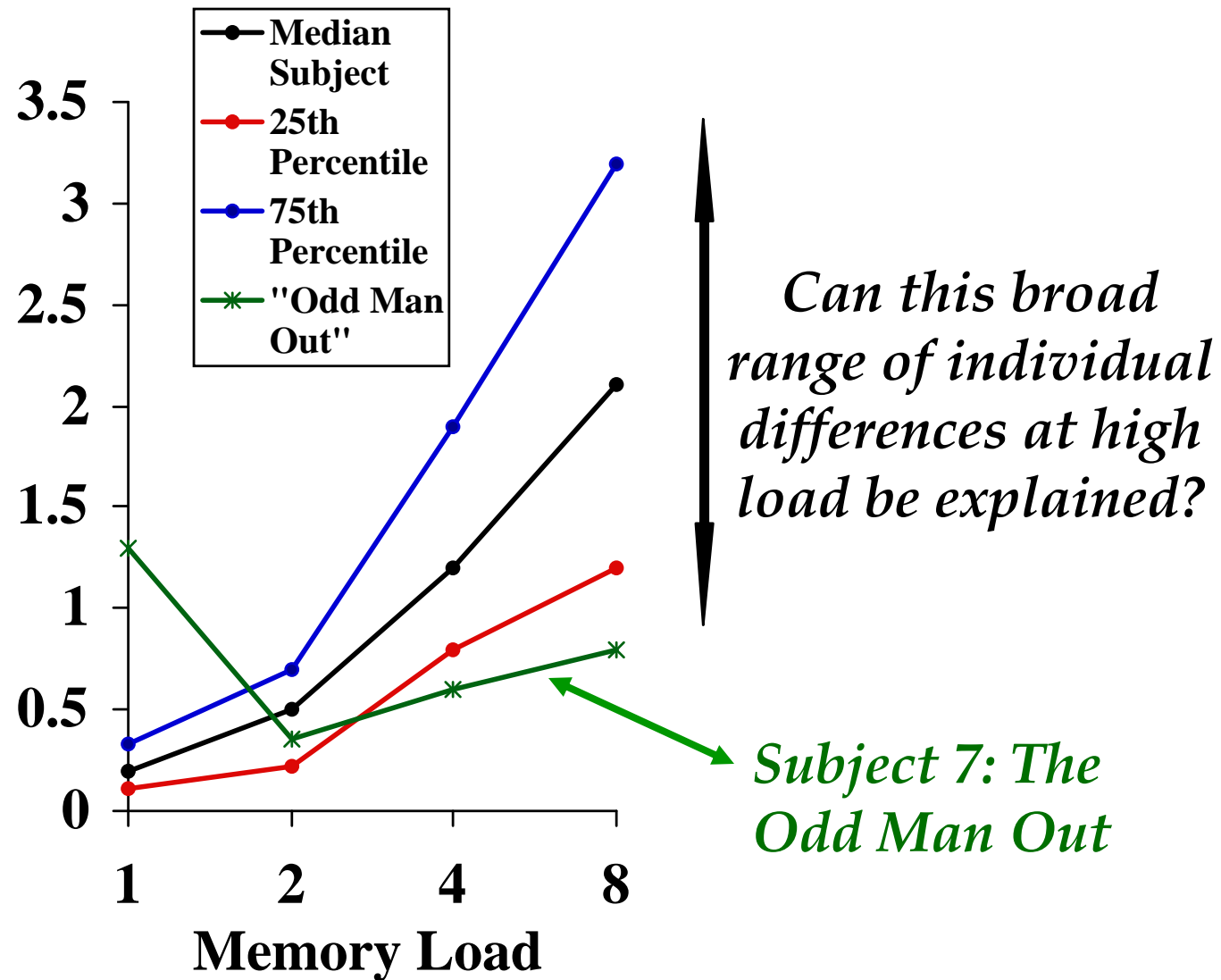
- **Individual differences reflect**
  - **Development**
  - **Experience**
  - **Training**
  - **Genetic factors (natural variation)**
  
- **Can molecular genetics help in understanding**
  - **Normal variation in cognition?**
  - **Exceptional individuals (“cognitive superstars”)?**

# Effects of Working Memory Load on Prefrontal Cortex Activation



Jiang, Haxby, Martin, Ungerleider, & Parasuraman (2000). *Science*

# Prefrontal Cortex Activation and Working Memory Load



# Genes, DNA, and SNPs

- Human genome: ~ 20-25,000 genes  
~ 3 billion DNA base pairs (bp)

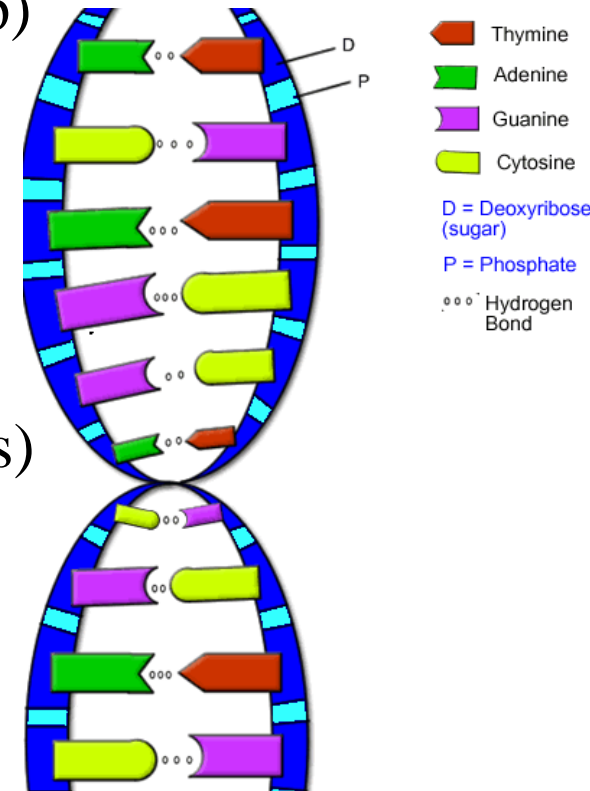
- The DNA alphabet

- thymine (T)
- adenine (A)
- guanine (G)
- cytosine (C)

- DNA base pairs can have different forms (alleles)
- Allelic variation often due to substitution of one amino acid for another—single nucleotide polymorphisms (SNPs)

e.g.           .....ACATAGA..... vs.  
                  .....ACACAGA.....

- 1 SNP for every 1000 bp in unrelated individuals



# Candidate SNP Approach

**Top-Down**

**Cognitive Function**

**Regional Brain Network**

**Neurophysiology of Brain Area**

**Neurotransmitter Innervation**

**Neurotransmitter Modulation**

**Protein Regulation**

**Neurotransmitter SNP**

**SNP**

**SNP Databases (e.g., <http://www.ncbi.nlm.nih.gov/SNP/>)**

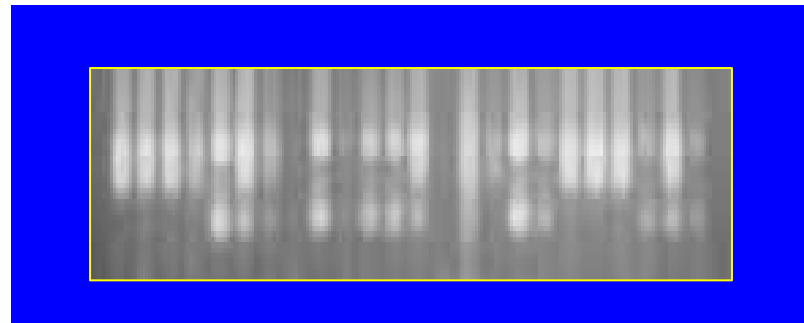


**Bottom-Up**

# Gene SNPs Associated with Cognition

- Dopaminergic/Noradrenergic Genes
  - DRD 4
  - DAT 1
  - COMT
  - **DBH** ✓
- Nicotinic Cholinergic Genes
  - CHRNA4
  - CHRNA7
- Muscarinic Cholinergic Genes
  - CHRM2
- Genes Affecting Neuron Health and Plasticity
  - BDNF
  - APOE-e4

Greenwood & Parasuraman  
(2003). *Cognitive Neuroscience  
Reviews.*

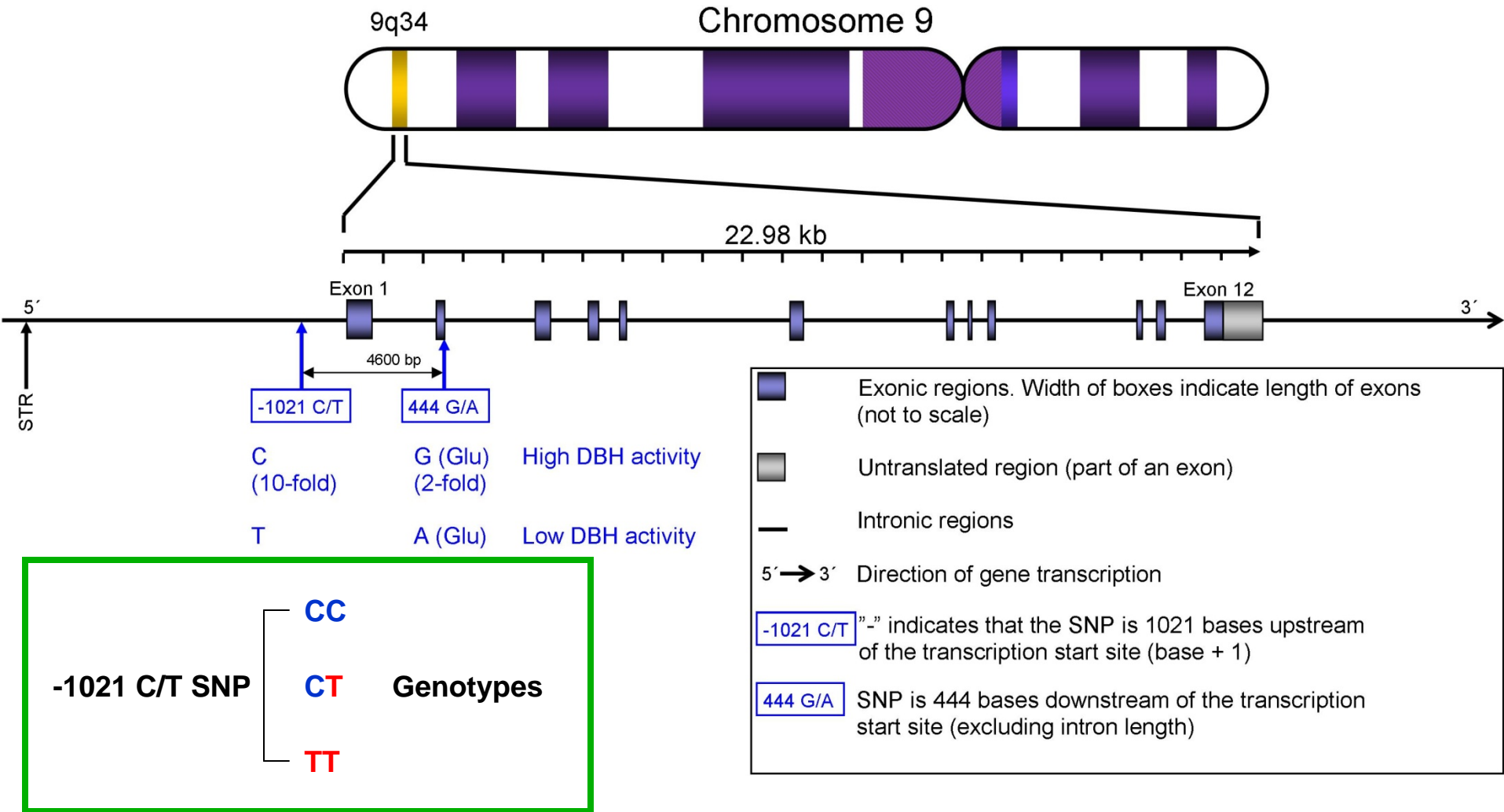


# Working Memory and Complex Decision Making

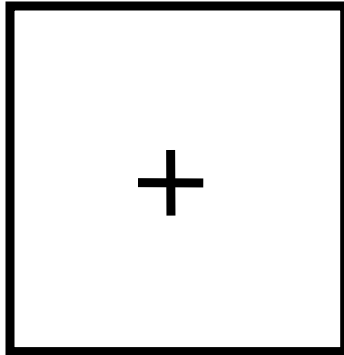
- Important moderating factor in many different cognitive functions—decision making, problem solving, language processing, mathematical cognition, etc.
- The dopamine beta hydroxylase (DBH) gene product converts dopamine to norepinephrine in the brain
- DBH modulation may be selective for prefrontal cortex dependent functions, such as working memory and executive function
- Do individuals with DBH gene variants
  - Have high working memory capacity?
  - Exhibit higher decision accuracy under time pressure?



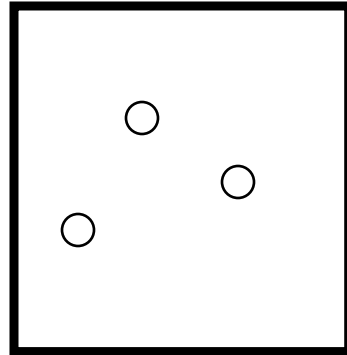
# The DBH Gene and its SNPs



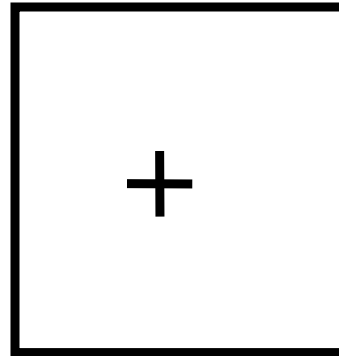
# Working Memory Task



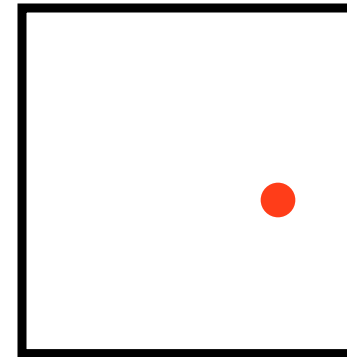
**Fixation**  
1000 ms



**1-3 Target**  
**locations**  
500 ms



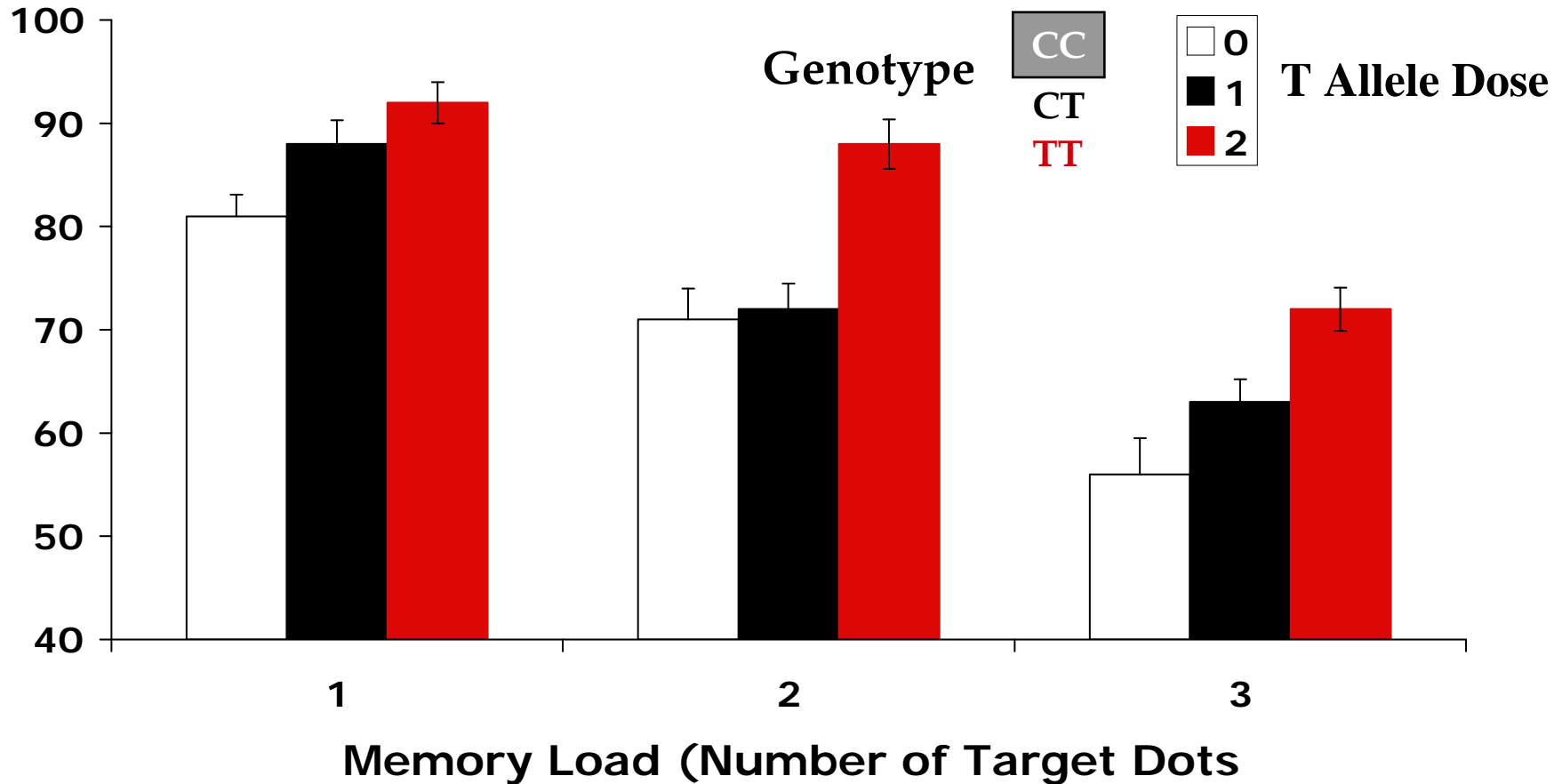
**Delay**  
3000 ms

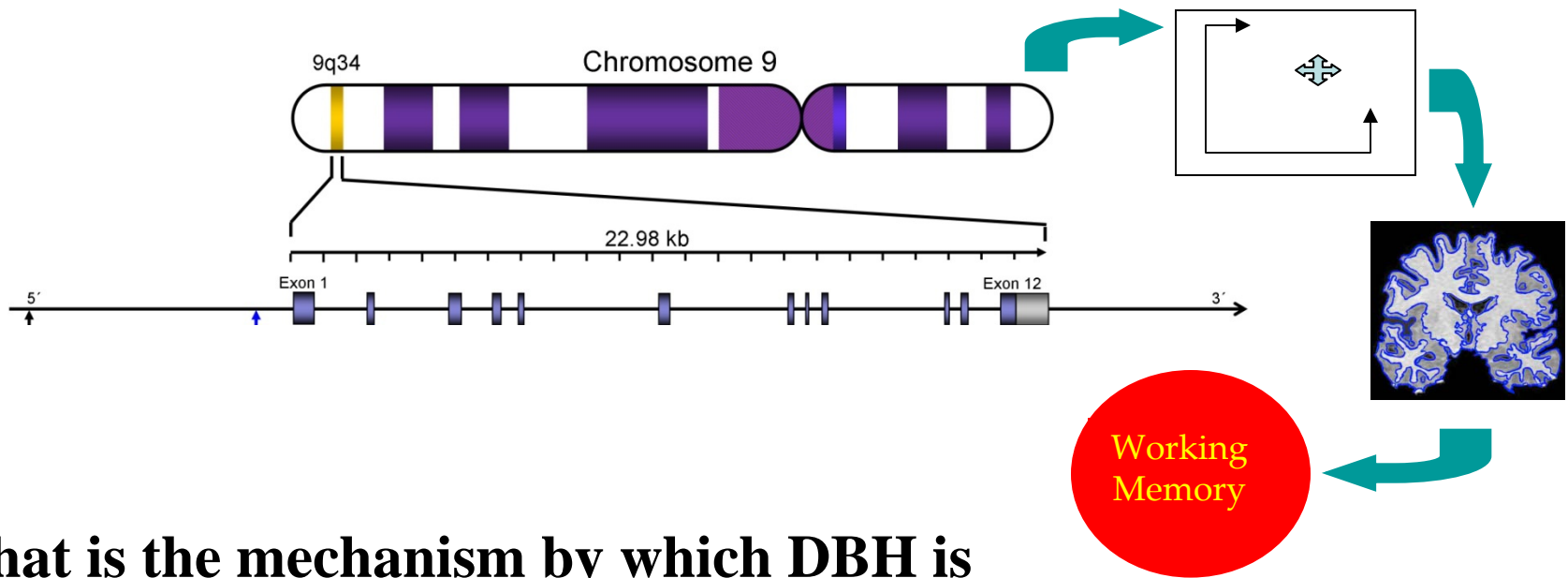


**Same or**  
**Different?**

**Target**  
2000 ms

## Effects of T Allele Dose of DBH -1021 C/T SNP on Spatial Working Memory

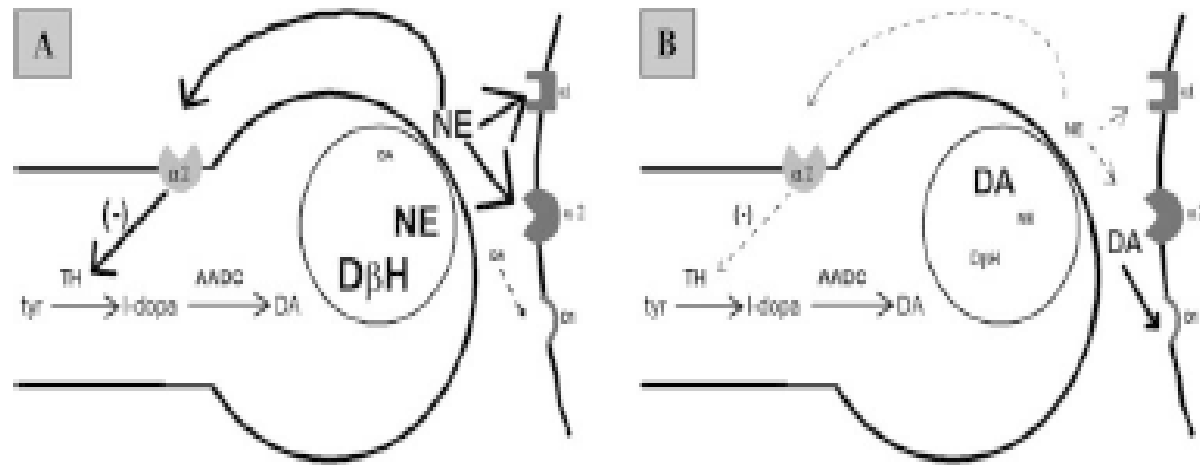




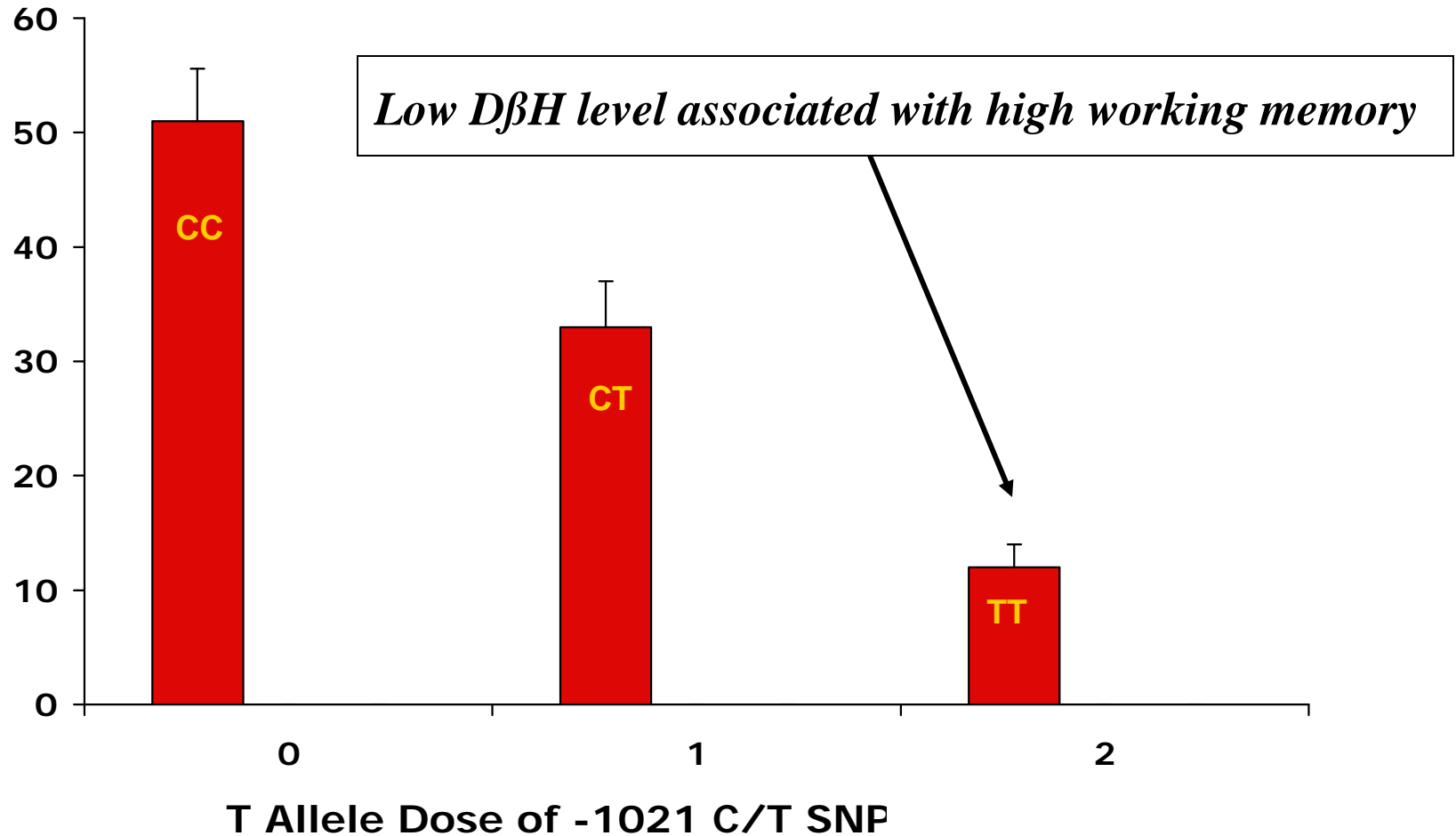
- **What is the mechanism by which DBH is linked to working memory?**
- **Is DBH a “functional” SNP?**
- **Genes are only of interest if they are *expressed* and influence proteins, particularly in the brain**
- **Cognitive proteomics: linking gene-controlled proteins to function**

# Effects of $D\beta H$ on Synaptic Dopamine (DA) and Norepinephrine (NE)

- A. High  $D\beta H$  Level: NE receptors active
- B. Low  $D\beta H$  Level: DA receptors active



# Effects of T Allele Dose of DBH -1021 C/T SNP on Plasma Dopamine $\beta$ Hydroxylase Levels



# Decision Making in Command and Control

**Sensor to Shooter**

Battle 6

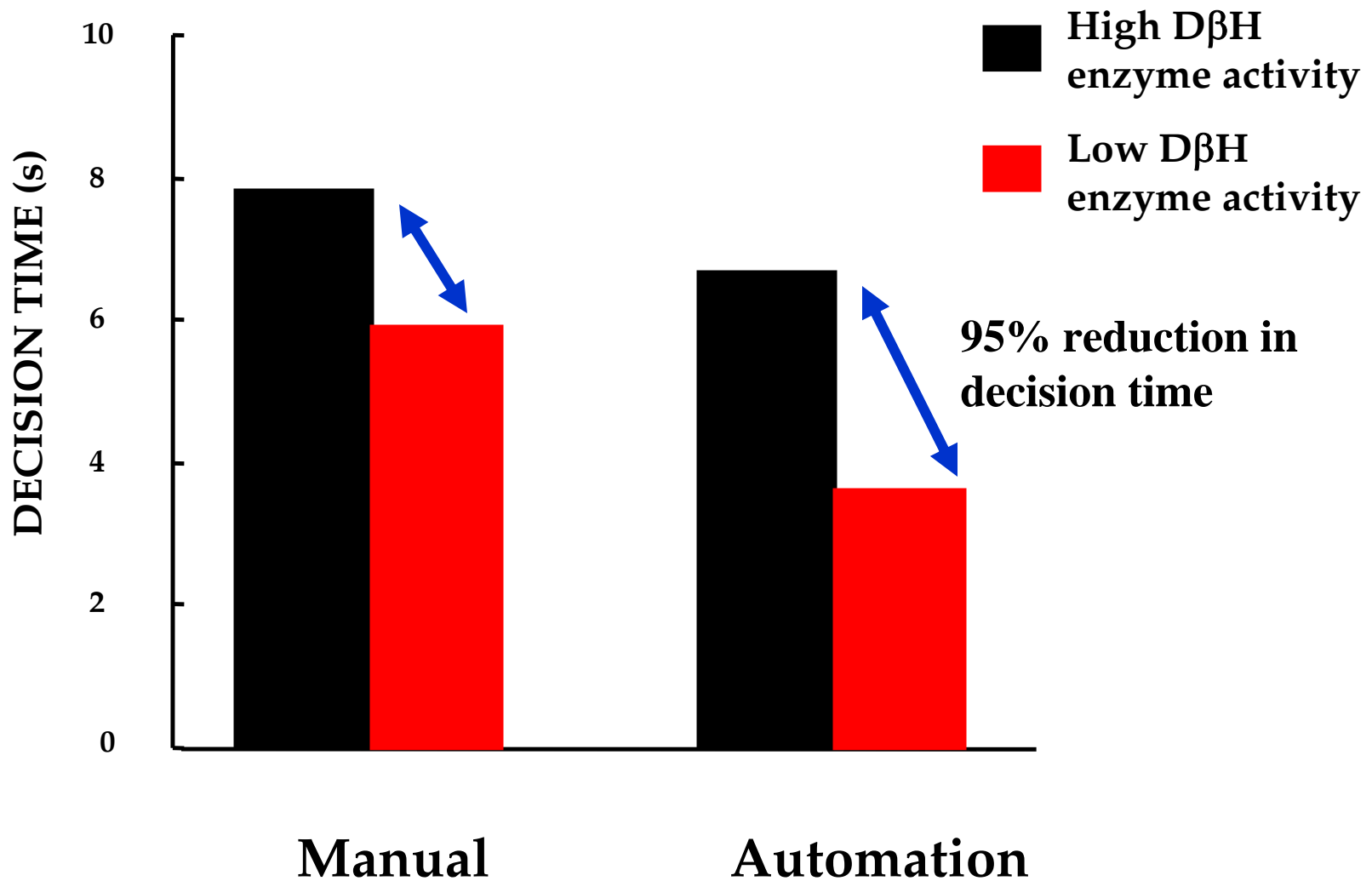
Call Answer

A1       E1  
 A2       E2  
 A3       E3  
 A4  
 A5  
 A6

| Ok            | Cancel        |
|---------------|---------------|
| A1-E1: 15(22) | A4-E1: 7(22)  |
| A1-E2: 11(18) | A4-E2: 3(18)  |
| A1-E3: 5(10)  | A4-E3: 5(10)  |
| A2-E1: 13(22) | A5-E1: 16(22) |
| A2-E2: 9(18)  | A5-E2: 12(18) |
| A2-E3: 9(10)  | A5-E3: 4(10)  |
| A3-E1: 18(22) | A6-E1: 14(22) |
| A3-E2: 14(18) | A6-E2: 14(18) |
| A3-E3: 6(10)  | A6-E3: 12(10) |

Decision making task performed both with and without automated support

# D $\beta$ H Enzyme Levels and Decision Time



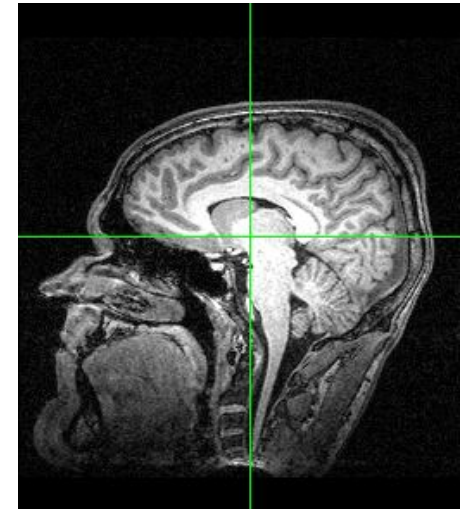
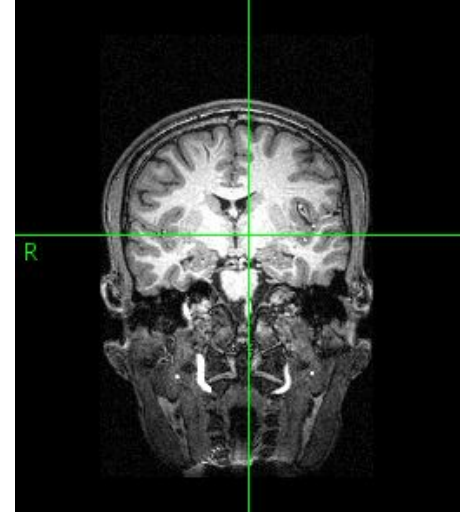


## Subject 7: A “Cognitive Superstar”

- Has very high verbal and spatial working memory—4 standard deviations above average
- Can maintain attention at 100% accuracy for 2 hours
- Shows *reduced* prefrontal fMRI activation and *no increase* with load in a working memory task
- What are S7’s DBH genotypes?
- S7 has the T/T genotype in the -1021 C/T SNP associated with high working memory and low DBH enzyme level
- Has lowest blood DBH enzyme level among 650 subjects tested to date

# What Else Do We Know About S7?

- Age 26, Male Graduate Student
- High-average but not superior IQ
- Good but not exceptional grades
- Normal MRI (volumetric analysis of specific cortical regions not done)
- NOT an avid video game player (cf. Daphne Bavalier studies on attentional capacity)



## Example 2: Conclusions

- DBH—a dopaminergic/noradrenergic gene expressed strongly in prefrontal cortex—associated with normal individual differences in working memory (verbal and spatial)
- Plasma DBH levels inversely correlated with working memory and decision making performance
- Molecular genetics provides a new approach to understanding
  - individual differences in cognition
  - exceptional cognitive performance

# Ongoing Research

- **Gene-gene interactions**

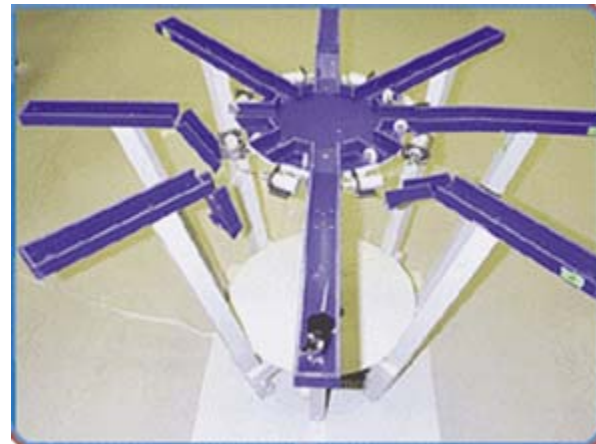
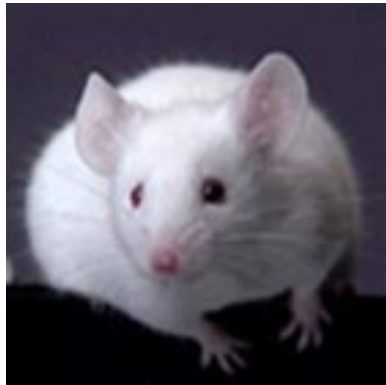
- Effects of cholinergic (CHRNA4) and neurotrophic (Alzheimer risk) genes (APOE) on attention
- Interactive effects of nicotinic (CHRNA4) and muscarinic (CHRM2) genes on attention

- **Gene-environment interactions**

- Effects of COMT and DBH and variable-priority training on dual-task performance
- Moderating influence of COMT and BDNF genes on effects of aerobic exercise on executive attention in older adults

# Ongoing Research

- Spatial working memory in normal and DBH --  
-1021T/C knockout mice
- RNA interference studies in rat model of aging  
(Fischer 344 strain, Bizon group)



# Neuroergonomics: Conclusions

- Neuroscience is not a panacea to the challenges facing the Army
  - but appropriately applied neuroscience
  - that goes beyond the bench to examine complex cognitive functions of humans performing real work in real settings—*Neuroergonomics*
  - can yield great benefits in enhancing soldier and system performance
- Two examples of successful neuroergonomics research
  - Neuroimaging and adaptive automation
  - Molecular genetics and proteomics
- Neuroergonomics can lead to more effective and natural interaction between humans and technology