REPORT DOCUMENTATION PAGE			Form Approved OMB No. 0704-0188
The public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Department of Defense, Executive Services and Communications Directorate (0704-0188). Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.			
PLEASE DO NOT RETORN YOOR FORM TO THE ABOVE ORGANIZATION. 1. REPORT DATE (DD-MM-YYYY) 31-07-2007 2. REPORT TYPE Conference Proceeding			3. DATES COVERED (From - To)
4 TITLE AND SUBTITLE 5a. CO		5a. CON	ITRACT NUMBER
Global Ocean Prediction Using HYCOM			
5b. GR/ 5c. PRC		NT NUMPED	
		5D. GRA	INT NOMBER
		GRAM ELEMENT NUMBER	
		0601153N	
		Ed DRO	IECT NUMBER
6. AUTHOR(S) E. Joseph Metzger, Harley E. Hurlburt, Alan J. Wallcraft, James A. Cummings, Eric P. Chassignet, Ole Martin Smedstad			JECT NOMBER
5e. TAS		5e. TAS	K NUMBER
		RK UNIT NUMBER	
			73-8677-06-5
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)			REPORT NUMBER
Naval Research Laboratory			NRL/PP/7320-06-6245
Stennis Space Center, MS 39529-5004			
biennis opace conten, the syster see			
9. SPONSORING/MONITORING AGENCY NAM	E(S) AND ADDRESS(ES)		10. SPONSOR/MONITOR'S ACRONYM(S)
Office of Naval Research			ONR
800 N. Quincy St.			
Arlington, VA 22217-5660			11. SPONSOR/MONITOR'S REPORT
			NOMBER(S)
12. DISTRIBUTION/AVAILABILITY STATEMENT			
Approved for public release, distribution is unlimited.			
13 SLIPPLEMENTARY NOTES			
14. ABSTRACT			
One important aspect of ocean model design is the choice of the vertical coordinate system. Traditional ocean models use a single coordinate type to represent the			
vertical, but model comparison exercises performed in Europe (Dynamics of North Atlantic Models-DYNAMO) (Willebrand et al., 2001) and in the United States			
(Data Assimilation and Model Evaluation Experiment - DAMEE) (Chassignet et al., 2000) have shown that none of the three main vertical coordinates presently in			
use (depth [2-levels], density [isopycnal layers], of terrain-following [β -levels]) can by itsen, be optimal everywhere in the ocean. The HT on Coordinate Ocean Model (HYCOM) (Bleck 2002) is configured to combine all three of these vertical coordinate types. It is isopycnal in the open, stratified ocean, but uses the layered			
continuity equation to make a dynamically smooth transition to a terrain-following coordinate in shallow coastal regions, and to z-level coordinates in the mixed layer			
and/or unstratified seas. The hybrid coordinate extends the geographic range of applicability of traditional isopycnic coordinate circulation models toward shallow			
coastal seas and unstratified parts of the world ocean. It maintains the significant advantages of an isopycnal model in stratified regions while allowing more vertical			
resolution near the surface and in shallow coastal areas, hence providing a better representation of the upper ocean physics. HY COM is designed to provide a major advance over the existing operational global ocean prediction systems, since it overcomes design limitations of the present systems as well as limitations in the			
advance over the existing operational global ocean prediction systems, since it overcomes design initiations of the present systems as wen as initiations in theman			
model: coordinate: stratified ocean: nowcast/forecast: data assimilative: HVCOM			
mouel, coordinate, stratified ocean, nowcaso forecast, data assimilative, if i Convi			
16. SECURITY CLASSIFICATION OF:	17. LIMITATION OF 18. NUMBER	19a. NAM	NE OF RESPONSIBLE PERSON
a. REPORT b. ABSTRACT c. THIS PAGE ABSTRACT OF E. Joseph Metzger		h Metzger	
Unclossified Unclossified Unclossified	UL	19b. TELE	EPHONE NUMBER (Include area code)
228-688-4762			228-688-4762
Standard Form 298 (Rev. 8/98)			

Prescribed by ANSI Std. Z39.18



User Advocacy Group (UAG) HPCMPO Outreach Team







Global Ocean Prediction Using HYCOM

E. Joseph Metzger, Harley E. Hurlburt, and Alan J. Wallcraft US Naval Research Laboratory (NRL-SSC), Stennis Space Center, MS {metzger, hurlburt, wallcraft}@nrlssc.navy.mil

James A. Cummings US Naval Research Laboratory (NRL-MRY), Monterey, CA james.cummings@nrlmry.navy.mil

1. Introduction

One important aspect of ocean model design is the choice of the vertical coordinate system. Traditional ocean models use a single coordinate type to represent the vertical, but model comparison exercises performed in Europe (DYnamics of North Atlantic MOdels -DYNAMO) (Willebrand et al., 2001) and in the United States (Data Assimilation and Model Evaluation Experiment - DAMÉE) (Chassignet et al., 2000) have shown that none of the three main vertical coordinates presently in use (depth [z-levels], density [isopycnal layers], or terrain-following [o-levels]) can by itself, be optimal everywhere in the ocean. The HYbrid Coordinate Ocean Model (HYCOM) (Bleck, 2002) is configured to combine all three of these vertical coordinate types. It is isopycnal in the open, stratified ocean, but uses the layered continuity equation to make a dynamically smooth transition to a terrain-following coordinate in shallow coastal regions, and to z-level coordinates in the mixed layer and/or unstratified seas. The hybrid coordinate extends the geographic range of applicability of traditional isopycnic coordinate circulation models toward shallow coastal seas and unstratified parts of the world ocean. It maintains the significant advantages of an isopycnal model in stratified regions while allowing more vertical resolution near the surface and in shallow coastal areas, hence providing a better representation of the upper ocean physics. HYCOM is designed to provide a major advance over the existing operational global ocean prediction systems, since it overcomes design limitations of the present systems as well as limitations in vertical and horizontal resolution. The result should be a more streamlined system with improved performance and an extended range of applicability (e.g., the present systems are seriously limited in shallow water and in handling the

Eric P. Chassignet The Center for Ocean-Atmospheric Prediction Studies, Florida State University, Tallahassee, FL echassignet@coaps.fsu.edu

> Ole Martin Smedstad Planning Systems, Inc., Slidell, LA smedstad@nrlssc.navy.mil

transition from deep to shallow water). Figure 1 illustrates HYCOM's ability to simulate both deep and shallow currents in the northern South China Sea (SCS).

Global HYCOM with 1/12° horizontal resolution at the equator (~7 km at mid-latitudes) will be the ocean model component of an eddy-resolving operational nowcast/forecast system scheduled for transition to the Naval Oceanographic Office (NAVOCEANO) at the end of FY 07. It will provide nowcasts and forecasts of the three-dimensional global ocean environment. HYCOM will be coupled to the Los Alamos CICE sea-ice model (Hunke and Lipscomb, 2004) via the Earth System Modeling Framework (ESMF) (Hill et al., 2004). Coupling between the ocean and ice models will more properly account for the momentum, heat and salt fluxes at the ocean/ice interface. The final component of the nowcast/forecast system is the NRL Coupled Ocean Data Assimilation (NCODA) which is a multivariate optimal interpolation scheme that will be used to assimilate surface observations from satellites, including altimeter and Multi-Channel Sea Surface Temperature (MCSST) data, sea ice concentration and also profile data such as expendable bathythermographs (XBTs), conductivity temperature depth (CTDs) and ARGO floats (Cummings, 2006). "By combining these observations via data assimilation and using the dynamical interpolation skill of the model, the three-dimensional ocean state can be accurately nowcast and forecast. Further details regarding all data assimilation techniques that may be incorporated in HYCOM can be found in Chassignet et al. (2006).

0-7695-2797-3/06 \$20.00 © 2006 IEEE



Figure 1. (Top) Mean upper layer currents in the northern South China Sea (SCS) from 1/12° global HYCOM using climatological wind and thermal forcing from the ECMWF. Note the across shelf flow as the SCS branch off the Kuroshio feeds the northeastward-directed SCS Warm Current along the Chinese coast, which flows counter to the prevailing northeast to southwest directed monsoon winds. (Bottom) Zonal mean velocity along 117°E in the upper 500 m with blue = westward and yellow/orange = eastward. HYCOM makes a smooth transition from the deep to shallow water. It is also capable of handling the sharp topographic rise near 21°N. The black line indicates the location of the vertical cross-section.

2. Model Setup

The global model is configured on a Mercator grid from 78°S to 47°N, while north of this latitude an Arctic dipole patch is used to avoid the singularity at the pole. The current $1/12^\circ$ equatorial resolution translates to an array size of 4500×3298 . The majority of the experiments performed this year used 32 hybrid layers in the vertical. Global HYCOM is run on 784 dedicated processors (98 nodes) on the NAVOCEANO IBM Power 4+ (kraken). A typical model month of integration uses ~22 wallclock hours and generates ~525 Gb of uncompressed output (~251 Gb of compressed output).

HYCOM was initialized using temperature and salinity from the 1/4° Generalized Digital Environmental Model (GDEM3) climatology. So far, the majority of the global experiments have used climatological monthly mean wind and thermal forcing constructed from the 1.125° European Center for Medium-Range Weather Forecasts (ECMWF) Re-Analysis (ERA15) over the 1979–1993 time frame. In addition, 6-hourly variability from the ECMWF operational model over the period September 1994–September 1995 was added to the climatological wind forcing (but not the thermal forcing) to add the higher frequency variability needed for realistic simulation of the surface mixed layer. In order to keep the evaporation-precipitation budget on track, the model weakly relaxes to the Polar Science Center Hydrographic Climatology sea surface salinity (Steele et al., 2001). Global HYCOM does not currently assimilate any oceanic data, but that capability will be added very soon. Assimilative results presented below come from a Gulf of Mexico version of HYCOM.

3. Non Assimilative Global HYCOM Evaluations

Evaluation and validation are important components of an ocean model's developmental process. Comparing the model with observational data may highlight both its strengths and weaknesses. Such evaluations may guide the developers and help focus the effort to where it is needed most. An important first order test of any ocean model is proper representation of the large scale circulation and eddy structure. This is illustrated in Figure 2 that compares sea surface height (SSH) variability derived from satellite altimeter data and from 1/12° global HYCOM. The model is able to capture much of the expected eddy structure, e.g., within the western boundary current regions of the Gulf Stream and Kuroshio Extension, in the Gulf of Mexico, along the length of the Antarctic Circumpolar Current and in the Brazil-Malvinas region. Realistic representation of the eddy field implies the model is accurately simulating the large-scale flow fields. The observed SSH variability in the tropical latitudes is not reproduced in the model because it was run with climatological wind and thermal forcing, thus excluding the interannual variability associated with El Niño.



Figure 2.Sea surface height (SSH) variability from (top) observed satellite altimeter data based on Topex-Poseidon, ERS-1 and ERS-2 over the period October 1992 to May 2005 (derived from Collecte Localisation Satellite [CLS], France) and (bottom) simulated from 1/12° global HYCOM using ECMWF climatological wind and thermal forcing

Looking below the surface, global HYCOM is generally able to realistically simulate the subsurface current structure. Comparisons between climatological observed and simulated currents are routinely analyzed throughout the course of a model simulation. Space limitations prevent a complete survey of these results, but HYCOM realistically simulates the surface and subsurface tropical current systems (especially in the Pacific Ocean), the Kuroshio as it enters Luzon Strait that connects the Pacific Ocean and the South China Sea (Figure 3), the downstream flow east of Taiwan and that entering the East China Sea, and the Kuroshio south of Japan. While the structure of the simulated upper ocean velocity field in the Intra-Americas Sea is consistent with observations, the magnitudes are somewhat weak in global HYCOM. Efforts are currently underway to correct this deficiency.



Figure 3. (Right) Mean upper layer currents in Luzon Strait from 1/12° global HYCOM using climatological wind and thermal forcing from the ECMWF. (Left – top) Mean meridional velocity in the upper 300 m based on a ten-year composite of shipboard acoustic Doppler current profiler data from Liang et al. (2003) and (left – bottom) from global HYCOM. Both observed and simulated currents indicate a split Kuroshio with a stronger western than eastern branch and weak counterflow in the lee of the Babuyan Island chain. The black line indicates the location of the vertical crosssection.

One simulation was performed that extended a climatologically forced experiment with interannual wind and thermal forcing from the 2003-2005 3-hourly Fleet Numerical Meteorology and Oceanography Center (FNMOC) Navy Operational Global Atmospheric Prediction System (NOGAPS). Interannually varying forcing allows for direct contemporaneous comparison between simulated and observed phenomena. One such validation examines coastal and island sea level variability. Because this signal is a deterministic response of the wind-driven circulation at many locations, a nonassimilative model may be expected to perform reasonably well. This is corroborated in Figure 4 which shows the root mean square (RMS) difference and correlation coefficient between global HYCOM and tide gauge stations.



Figure 4. Histograms of (left) root mean square difference and (right) correlation coefficient between 126 observed coastal and island sea level stations and 1/12° global HYCOM using 3-hourly FNMOC NOGAPS wind and thermal forcing for 2003. A 30-day running average is applied to all time series. The median rms difference is 4.4 cm and the median correlation coefficient is .85.

4. Data Assimilative Progress Using NCODA

Because of the high computational requirements for integration of 1/12° global HYCOM, development and testing of the NCODA scheme has been performed using a 1/12° Gulf of Mexico version of HYCOM. This allows for more rapid turnaround and was performed outside our DoD Challenge project. Scaling it up to the global model will be part of the challenge project.

NCODA is an oceanographic version of the multivariate optimum interpolation (MVOI) technique widely used in operational atmospheric forecasting systems. The ocean analysis variables in NCODA are temperature, salinity, geopotential (dynamic height), and velocity. The horizontal correlations are multivariate in geopotential and velocity, thereby permitting adjustments to the mass field to be correlated with adjustments to the flow field. NCODA assimilates all available operational Figure 5 shows an sources of ocean observations. example of the Gulf of Mexico HYCOM-NCODA The independent overlaid frontal cycling system. locations clearly indicate the assimilation is keeping the SSH field on track. Additional experiments assimilating simulated "observational" SSH and SST data starting from an incorrect initial state demonstrates that the assimilation reduces the subsurface temperature, salinity and velocity errors after subsequent cycling (not shown). The simulated data are derived from a control run used as truth in verifying convergence of the data assimilative run toward the time varying SSH and 3D temperature, salinity and velocity fields of the control run.



Figure 5. Nowcast SSH at four dates from 1/12° Gulf of Mexico HYCOM using the NCODA scheme. The HYCOM-NCODA cycling system assimilates SSH at altimeter ground tracks, MCSST and XBT profile data. Overlaid are frontal locations based on MCSST data and produced by NAVOCEANO. Isolated points define eddy centers. White (black) lines or points are newer (older) than four days.

5. Plans

By the end of FY 06 we expect to have a May 2001 to present data-assimilative hindcast and to start daily near real-time runs that mimic the expected operational procedure. In FY 07, we plan to complete a 1993-present "ocean re-analysis" by running a hindcast from 1993 up through May 2001. In addition we will complete the 1995-2007 NOGAPS-forced non-assimilative simulation and perform a similar simulation from 1979-2006 with ECMWF forcing. These interannual simulations, and the 1993-present hindcast, data-assimilative are an opportunity unprecedented to study regional oceanography and dynamics at high resolution in the context of the global ocean.

Acknowledgments

This work was supported in part by a grant of computer time from the DoD High Performance Computing Modernization Program at the NAVOCEANO MSRC. It was sponsored by the National Ocean Partnership Program (NOPP) and the Office of Naval Research (ONR) through the following projects: NOPP US GODAE: Global Ocean Prediction with the HYbrid Coordinate Ocean Model (HYCOM), 6.1 NRL (ONR): Global Remote Littoral Forcing via Deep Water Pathways, and 6.1 Univ. of Miami (ONR): Further Developments of HYCOM.

References

Bleck, R., "An oceanic general circulation model framed in hybrid isopycnic-cartesian coordinates." *Ocean Modelling*, 4, pp. 55–88., 2002.

Chassignet, E.P., H. Arango, D. Dietrich, T. Ezer, M. Ghil, D.B. Haidvogel, C.-C. Ma, A. Mehra, A.M. Paiva, and Z. Sirkes, "DAMEE-NAB: The base experiments." *Dynamics of Atmospheres and Oceans*, 32, pp. 155–184, 2000.

Chassignet, E.P., H.E. Hurlburt, O.M. Smedstad, G.R. Halliwell, P.J. Hogan, A.J. Wallcraft, R. Baraille, and R. Bleck, "The HYCOM (HYbrid Coordinate Ocean Model) data assimilative system." *Journal of Marine Systems*, 2006 (in press).

Cummings, J.A., "Operational multivariate ocean data assimilation." Quart. J. Royal Met. Soc., 2006 (in press).

Hill C., C. DeLuca, V. Balaji, M. Suarez, A. da Silva, "The Architecture of the Earth System Modeling Framework." *Computing in Science and Engineering*, 6, pp. 18–28, 2004.

Hunke, E.C. and W.H. Lipscomb, *CICE: the Los Alamos sea ice model documentaion and software user's manual.* 2004, http://climate.lanl.gov/Models/CICE.

Liang, W.-D., T.Y. Tang, Y.J. Wang, M.T. Ko and W.-S. Chuang, "Upper ocean currents around Taiwan." *Deep Sea Research*, 50, pp. 1085–1105, 2003.

Steele, M., R. Morley, and W. Ermold, "A global ocean hydrography with a high quality Arctic Ocean." *J. Climate*, 14, pp. 2079–2087, 2001.

Willebrand, J., B. Barnier, C. Böning, C. Dieterich, P.D. Killworth, C. Le Provost, Y. Jia, J.-M. Molines, and A.L. New, "Circulation characteristics in three eddy-permitting models of the North Atlantic." *Progress in Oceanography*, 48, pp. 123–161, 2001.