AD_____

Award Number: W81XWH-04-1-0159

TITLE: Preventing Health Damaging Behaviors and Negative Health Outcomes in Army and Marine Corps Personnel During the First Tour of Duty

PRINCIPAL INVESTIGATOR: Cherrie B. Boyer, Ph.D. Mary-Ann Shafer, M.D.

CONTRACTING ORGANIZATION: Regents of the University of California San Francisco, CA 94143-0962

REPORT DATE: January 2006

TYPE OF REPORT: Annual

PREPARED FOR: U.S. Army Medical Research and Materiel Command Fort Detrick, Maryland 21702-5012

DISTRIBUTION STATEMENT: Approved for Public Release; Distribution Unlimited

The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision unless so designated by other documentation.

REPORT DOCUMENTATION PAGE				Form Approved OMB No. 0704-0188	
Public reporting burden for this	collection of information is est	imated to average 1 hour per resp	oonse, including the time for revie	wing instructions, searc	hing existing data sources, gathering and maintaining the
this burden to Department of D 4302. Respondents should be	efense, Washington Headqua aware that notwithstanding ar	rters Services, Directorate for Info	rmation Operations and Reports on n shall be subject to any penalty f	(0704-0188), 1215 Jeffe	Illection of information, including suggestions for reducing rson Davis Highway, Suite 1204, Arlington, VA 22202- a collection of information if it does not display a currently
1. REPORT DATE (DD 01/01/06		2. REPORT TYPE Annual			DATES COVERED (From - To) Jan 05 – 31 Dec 05
4. TITLE AND SU	BTITLE			5a.	CONTRACT NUMBER
Preventing Health	Damaging Behavi	ors and Negative He	alth Outcomes in Ar	my and	
Marine Corps Pers	sonnel During the I	First Tour of Duty			GRANT NUMBER 81XWH-04-1-0159
				5c.	PROGRAM ELEMENT NUMBER
6. AUTHOR(S) Cherrie B. Boyer, I	Ph.D.; Mary-Ann S	hafer, M.D		5d.	PROJECT NUMBER
				5e.	TASK NUMBER
E-Mail: <u>boyerc@</u>	oeds.ucsf.edu; Sha	aferM@peds.ucsf.ed	<u>u</u>	5f. 1	WORK UNIT NUMBER
7. PERFORMING ORG	ANIZATION NAME(S) AND ADDRESS(ES)			ERFORMING ORGANIZATION REPORT
Regents of the Un	iversity of Californi	a			
San Francisco, CA	94143-0962				
		NAME(S) AND ADDRES	S(ES)	10.	SPONSOR/MONITOR'S ACRONYM(S)
U.S. Army Medica		ateriel Command			
Fort Detrick, Maryl	and 21702-5012			11	SPONSOR/MONITOR'S REPORT
					NUMBER(S)
12. DISTRIBUTION / A				1	
Approved for Publi	c Release; Distrib	ution Unlimited			
13. SUPPLEMENTAR	YNOTES				
14. ABSTRACT					
					oung people in the U.S. Military life
					damaging behaviors. Challenges for nded pregnancies (UIPs), misuse of
alcohol/substances,	and personal sexual	violence defined as vi	olence within one's pe	rsonal (dating o	r marital) relationships. The common
					ult in illness or injury, but also
					lership in setting standards and policies /e health outcomes, many health
					itive-behavioral, skills-building
intervention to preve	nt and reduce young	troops' risk for STIs, l	JIPs, alcohol/substand	e misuse, and p	personal sexual violence. This research
					t impact military performance and
men and women ear		cations for health profi	notion and disease pre	vention educati	on strategies designed to reach military
	-				
15. SUBJECT TERMS					
Health Promotion; Disease Prevention; Education and Intervention					
16. SECURITY CLASS	SIFICATION OF:		17. LIMITATION OF ABSTRACT	18. NUMBER OF PAGES	19a. NAME OF RESPONSIBLE PERSON USAMRMC
a. REPORT	b. ABSTRACT	c. THIS PAGE		40	19b. TELEPHONE NUMBER (include area
U	U	U	UU		code)
		1	1	l	Standard Form 298 (Rev. 8-98)

TABLE OF CONTENTS

Introduction	4
Body	4
Key Research Accomplishments	6
Reportable Outcomes	6
Conclusions	7
References	7
Appendices	8

3. INTRODUCTION

The proposed study will utilize a group, randomized controlled study design to evaluate the effectiveness of a cognitive-behavioral intervention to: (1) prevent sexually transmitted infections (STIs), unintended pregnancies (UIPs), alcohol and other substance misuse, and exposure to or involvement with personal sexual violence among Marine Corps recruits; (2) reduce participants' risk for STIs, UIPs, alcohol and other substance misuse, and exposure to or involvement with personal sexual violence by (a) decreasing gaps in knowledge and misperceptions about risk and prevention, (b) increasing motivation to change risk behaviors, (c) building effective skills to engage in health promoting behaviors, (d) decreasing sexual risk behavior; and (3) determine the best strategy for educating participants about the sensitive health matters such as STIs, UIPs, alcohol and other substance misuse, and exposure to or involvement with personal sexual violence. Additionally, all participants will complete self-administered questionnaires and will be screened for STIs (*C. trachomatis* and *N. gonorrhoeae*) at baseline and 12 months post-intervention and will be screened for pregnancy/UIP at 12 months.

4. BODY

Part of this year was spent seeking Institutional Review Board (IRB) approval to conduct elicitation research at each participating performance site, including our home institution, the University of California, San Francisco (UCSF), the Naval Health Research Center (NHRC), San Diego, CA to conduct research at the Marine Corps Recruit Depot, Parris Island, SC, and the Brooke Army Medical Center (BAMC), San Antonio, TX to conduct research at Fort Sam Houston, San Antonio, TX, and the Human Subjects Research Review Board (HSRRB) at Fort Detrick, MD, as required. IRB approval has been received from UCSF, NHRC, and the HSRRB. However, due to the excessively long delays in obtaining approval from the BAMC IRB and due to need for a larger sample size than we previously thought it would take to effectively evaluate the effectiveness of the intervention, we requested, and were granted approval from COL Brian Lukey, USAMRMC, our Grant Officer (GOR), to modify our Statement of Work to focus exclusively on Marine Corps recruits. As a result, we withdrew our IRB application from the BAMC IRB. Our approved modified Statement of Work is as follows:

STATEMENT OF WORK (SOW)

- 1. Brief commanding officers of the Marine Corps Recruit Depot (MCRD) Parris Island, SC and the Beaufort Naval Hospital, Beaufort SC.
 - a. In addition to receiving IRB approval, we continued briefing command leaders at both MCRD and Naval Hospital in Beaufort, SC through ongoing telephone and electronic communication. The command leaders included Mr. Eric Junger GS11, LTC Neal Pugliese, CAPT Rodney Towery, MAJ William Clark, MAJ Douglas Alexander, and CDR Arthur Giguere. After receiving approval to conduct focus groups it was withdrawn by the Executive Officer of the Training Command. The reason cited for declining participation in the study at this time was significant training demands.
 - b. We subsequently briefed staff from the Headquarter Marine Corps, Preventive Medicine Office, Quantico, VA. Our contact was CDR David McMillan. After months of

interactions we were then referred to LCDR Janet Spira from the First Marine Expeditionary Force (I MEF), Camp Pendleton, CA. After numerous interactions and tremendous interest and in the potential health benefits of our proposed intervention, at the request of LCDR Spira we sent a written brief to the Commanding General of I MEF. Despite tremendous interest and months of electronic and telephone communication, LCDR Spira informed us that her Surgeon General declined participation in our study at this time due to the I MEF's significant preparations for deployment and the large number of troops who are currently deployed, despite their interest in the intervention.

c. We are currently planning to identify command leaders of the Base Units at Camp Pendleton to determine whether they will be able to accommodate our proposed research study at this time.

The following SOW tasks have not been completed, as they are contingent upon activities yet to be accomplished as described above.

- 2. Conduct focus groups to assist in the development of: (1) comparable gender-specific interventions to reduce health damaging behaviors associated with sexually transmitted infections (STIs), unintended pregnancies (UIPs), alcohol and other substance misuse, and personal sexual violence; and (2) pre- and post-intervention self-administered questionnaires to assess knowledge, attitudes, and beliefs, and behaviors associated with STIs, UIPs, alcohol and other substance misuse, and personal sexual violence.
- 3. Develop comparable gender-specific interventions for male and female Marine Corps recruits to: (1) prevent acquisition of STIs and UIPs; and (2) reduce the risk of STI- and UIP-related behaviors including alcohol and other substance misuse, and personal sexual violence.
 - a. Although we have not had the opportunity to implement the focus group phase of the study to assist in the development of the Marine Corps-specific intervention, we have continued to work on developing the interventions by focusing on aspects of the intervention's development that do not require direct input from the Marine Corps. Such activities include conducting extensive literature reviews and examining effective health promotion-disease prevention interventions related to STIs, UIPs, alcohol and other substance misuse, and personal sexual violence. Specifically, our team is engaging in the following formative research activities that will contribute ultimately to the development of the proposed interventions:
 - b. Review relevant theoretical frameworks that guided the development and evaluation of previously effective health interventions related to STIs, UIPs, alcohol and other substance misuse, and personal sexual violence. As a result of our extensive literature review to date, and based on our previous military-specific intervention and research, we have decided to use the Information, Motivation, Behavioral Skills model (IMB) as the primary theoretical foundation to guide the development of the proposed intervention (See Appendix 1for an overview of prevalent psychological and social theoretical frameworks that have guided previous interventions). Other theoretical frameworks that will be used will include Harm Reduction Theory and the Theory of Gender and Power,

along with other theories and models of health behavior and behavior change. See Appendix 2, for an overview of the learning objectives for major components of the proposed intervention as well as an overview on how the theoretical frameworks will be utilized to guide the development of the proposed intervention targeting each of the primary health outcomes of interest.

- c. The proposed control group arm of the intervention will focus on nutrition and injury prevention. Formative research activities related to this intervention include conducting extensive literature reviews and gathering current epidemiological information related to injury prevention and nutrition. See Appendix 3 for an overview of the leaning objectives and a preliminary outline of the control intervention. This arm of the intervention trial will also be guided by principles of the IMB model.
- 4. Pilot-test the gender-specific interventions, self-administered questionnaires, and the biological specimen collection protocol for feasibility.
- 5. Implement the gender-specific interventions at MCRD within the context of recruit training.
- 6. Conduct a 12-month follow-up of intervention participants.
- 7. Evaluate the effectiveness of each gender-specific intervention and compare differences across interventions on study participants' acquisition of STIs and UIPs during their first year of military service.
- 8. Examine key sub-questions related to STIs and UIPs: (1) assess psychosocial, behavioral, and contextual factors associated with STIs and STI-related risk at baseline and STIs and UIPS at follow-up; (2) document the prevalence of personal sexual violence at recruit training entry; (3) examine relationships among personal sexual violence, STIs, and STI-related risk at baseline and STIs and UIPS at follow-up; and (3) determine the relationship between alcohol and other substance misuse and personal sexual violence and the relationship of these factors to STIs and STI-related risk at baseline and STIs at follow-up.
- 9. Disseminate study findings through: (1) briefs given to participating military commands; (2) presentations at military-specific preventive medicine meetings as well as annual scientific meetings; and (3) publications submitted to scientific journals.

5. KEY RESEARCH ACCOMPLISHMENTS TO DATE

The key research accomplishments to date are described above. Primarily, we have begun development of the interventions, and are still in the process of identifying a Marine Corps cohort that will be used to carry out the above outlined SOW.

6. REPORTABLE OUTCOMES

There are no reportable outcomes to date.

PROPOSED PROJECT ACTIVITIES:

Our plans for the coming year include implementing SOW activities outlined in items 2-5 above. Specifically, we plan to conduct focus groups, finalize the proposed intervention curricula, and pilot-test the interventions, self-administered questionnaires, and the biological specimen collection protocol for feasibility in each command. Moreover, we will continue to conduct briefs in order to identify the appropriate command to carry out the SOW.

7. CONCLUSIONS

There are no scientific conclusions that can be made at this time.

8. REFERENCES

- 1. J.D. Fisher, W. Fisher, in *Emerging Theories in Health Promotion Practice and Research; Strategies for Improving Public Health.* R. J. DiClemente, R. A. Crosby, M. C. Kegler, Eds. (Jossey Bass, San Francisco, 2002), pp. 40-70.
- 2. T. Baranowski, C.L. Perry, G.S. Parcel, in *Health Behavior and Health Education*. K. Glanz, F.M. Lewis, B.K. Rimer, Eds. (Jossey Bass, San Francisco, 1997), pp. 153-178.
- 3. J.O. Prochaska, C. A. Redding, K.E. Evers, in *Health Behavior and Health Education*. K. Glanz, F.M. Lewis, B.K. Rimer, Eds. (Jossey Bass, San Francisco, 1997), pp. 60-84.
- 4. J.A. Catania, S.M. Kegeles, T.J. Coates, Health. Educ. Q. 17, 53 (1990).
- 5. D.E. Montano, D. Kasprzyk, S.H. Taplin, in *Health Behavior and Health Education*. K. Glanz, F.M. Lewis, B.K. Rimer, Eds. (Jossey Bass, San Francisco, 1997), pp. 85-112.
- 6. S.E. Hobfol, Am. Psychol. 44, 513 (1989).
- 7. R. Alcalay, Soc. Sci. Med. 17, 87 (1983).
- 8. Heppner, M. J., H. Neville, K. Smith, D.M. Kiviligan, B. Gershuny, J. Couns. Psychol. 46, 16, (1999).
- 9. P.M. Fabiano, H.W. Perkins, A. Berkowitz, J. Linkenbach, C. Stark, J. Am. Coll. Health 53, 105 (2003).
- 10. D. Kirby, R. Barth, N. Leland, J,V, Fetro, Fam. Plan. Perspect. 22, 253 (1991).
- G.M. Wingood, R. J. DiClemente, in *Emerging Theories in Health Promotion Practice* and Research; Strategies for Improving Public Health. R. J. DiClemente, R. A. Crosby, M. C. Kegler, Eds. (Jossey Bass, San Francisco, 2002), pp. 313-346.

- 12. V.J. Strecher, I. M. Rosenstock, in *Health Behavior and Health Education*. K. Glanz, F.M. Lewis, B.K. Rimer, Eds. (Jossey Bass, San Francisco, 1997), pp. 41-59.
- 13. G.A. Marlatt, K. Witkiewitz, Addict. Behav. 27, 867 (2002).

9. APPENDICES

Appendix 1: Theoretical Frameworks That Have Guided Development of Interventions to Prevent STIs/HIV (15 pages)

Appendix 2: Intervention Learning Objectives for each Outcome of Interest (11 pages)

Appendix 3: Control Intervention Fitness for Life II Module Outline (5 pages)

Theoretical Frameworks That Inform HIV/STI Prevention Interventions

Theoretical Framework	Interventions That Use This Theory
Information Motivation and Behavioral Skills (IMB) (1).	Becoming a Responsible Teen
	FOCUS
Fundamental Assumptions	Theory Based STD Prevention Program
IMB model asserts that HIV prevention information, HIV prevention motivation, and HIV	for Female College Students
prevention behavioral skills are fundamental determinants of HIV preventive behavior. To the	
extent that individuals are well informed, motivated to act and possess behavioral skills required to act effectively, they will be likely to initiate and maintain patterns of HIV preventive	
behavior.	
HIV Prevention Information	
HIV Prevention Behavioral Skills HIV Prevention	
HIV Prevention Motivation	
Elicitation	
Elicitation of existing levels of health promotion information, behavioral skills and health	
promotion behavior	
Intervention	
Design and implementation of empirically targeted intervention to address health promotion	
information, motivation behavioral skills, and health promotion behavioral deficits.	
Evaluation	
Evaluation of intervention impact on health promotion information, motivation and behavioral	
skills and health promotion behavior.	

Theoretical Fra	nmework		Interventions That Use This Theory
Social Cognitive	Theory/Social Learning Theory (2).		HIV/STI
			Becoming a Responsible Teen
Emphasizes that a person's behaviors and cognitions affect future behavior. Human behavior is			AIDS Prevention for Adolescents
explained in terms of a triadic, dynamic, and reciprocal model in which behaviors, personal factors			AIDS Prevention and Health Promotion
		act. The result is that a person's behavior is	Among Women
	ned by these interactions.	I I I I I I I I I I I I I I I I I I I	Get Real About AIDS
1			Be Proud/Be Responsible
The original socia	l learning theory was based upon classic	e learning principles.	Safer Sex Efficacy Workshop
		to help in the explanation of behavior thus the	Reducing the Risk
change to social c		1 1	Project Respect
8	8		Street Smart
Major Concepts In	n Social Cognitive Theory and Implicati	ons for Interventions	Project Light
Concept	Definition	Implications	Project Safe
Environment	Factors physically external to the person	Provide opportunities for social support	Personal Sexual Violence
Situation	Persons perception of the environment	Correct misperceptions and promote	Scruples
		healthful norms	Date Rape Prevention
Behavioral	Knowledge and skill to perform a given	Promote mastery learning through skills	Substance Abuse
Capability	behavior	training	Healthy Workplace
Expectations	Anticipatory outcomes of a behavior	Model positive outcomes of a healthful	ATLAS/ATHENA
		behavior	
Expectancies	The values that the person places on a	Present outcomes of change that have	
Self Control	given outcome, incentive	functional meaning	
Self Control	Personal regulation of goal directed behavior or performance	Provide opportunities for self-monitoring, goal setting, problem solving and self-reward	
Observational	Behavior acquisition that occurs by	Include credible role models of the targeted	
Learning	watching the actions and outcomes of	behavior	
Louining	others' behaviors		
Reinforcements	Responses to a person's behavior that	Promote self-initiated rewards and incentives	
	increase or decrease the likelihood of		
	reoccurrence		
Self efficacy	The person's confidence in performing a	Approach behavioral change in small steps to	
	particular behavior	ensure success; seek specificity about the	
		change sought	
Emotional	Strategies or tactics that are used by a	Provide training in problem solving and	
Coping	person to deal with emotional stimuli	stress management; include opportunities to	
responses		practice skills in emotionally arousing situations.	
Reciprocal	The dynamic interaction of the person,	Consider multiple avenues to behavioral	
Determinism	the behavior, and the environment in	change including environmental, skill and	
	which the behavior is performed.	personal change.	

Theoretical Framework	Interventions That Use This Theory
The Tran theoretical and Stages of Change Model (3).	HIV/STI Interventions
This theory asserts that behavior change happens in 5 stages, and is affected by some critical	
assumptions underlying the model.	A Tailored Minimal Self -help Intervention
• Pre-contemplation—stage at which a person has no intention to take action to change a behavior in	to Promote Condom Use in Young
the next 6 months.	Women.
• Contemplation—stage at which a person intends to change in the next 6 months. They are aware of	
the pros of changing a behavior, but are also acutely aware of the cons.—this balance often keeps	
people in this stage for a long period of time.	
• Preparation—stage at which a person intends to take action in the immediate future (i.e. the next	
month). They may have already taken some significant action in the past year. The person often has	
a plan of action	
• Action—the stage at which a person has made specific overt modifications in their lifestyle within the last six months. Not all modifications of behavior count or action in this model. Begula must	
the last six months. Not all modifications of behavior count as action in this model. People must attain the criterion that scientists and professionals agree is sufficient to reduce the risk of disease.	
 Maintenance—this is the stage where the person is working to prevent relapse, but they do not use 	
the behavior change processes as much as someone who is in the Action stage.	
Critical Assumptions	
Processes of change—these are the covert and overt activities that are used to help people progress	
through the stages.	
• Consciousness raising—increased awareness about the cases that relate to a particular behavioral	
problem, and its consequences and cures	
• Dramatic Relief—experiencing the negative emotions that go along with unhealthy behavior	
• Self- Re-evaluation—realizing that behavior change is important part of ones self identity	
• Environmental reevaluation—realizing the negative or positive impact of the health behavior on	
one's social and physical environment.	
Self liberation—making a firm commitment to change	
Helping relationships—seeking and using social support for healthy behavior change	
Counter-conditioning—substituting healthy behaviors for unhealthy ones	
• Contingency management—increasing rewards for positive health behavior change, decreasing	
rewards for unhealthy behaviors	
1. Stimulus Control—removing reminders or cue to engage in unhealthy behavior, and adding cues	
to increase engagement in healthy behaviors	
2. Social Liberation—realizing that the social norms are changing in a direction that supports	
healthy behavior change.	

Appendix 1: Theoretical Frameworks That Have Guided Development of Interventions to Prevent STIs/HIV

Theoretical Framework	Interventions That Use This Theory
AIDS Risk Reduction Model (4).	HIV/STI Interventions
 This model was introduced in 1990 provides a framework for explaining and predicting the behavior change efforts of individuals specifically in relation to HIV/AIDS. Stage 1—Recognition and labeling one's behavior as high risk Stage 2—Making a commitment to reduce high risk sexual contacts, and to increase low risk activities Stage 3—Taking action. This stage is broken down in to 3 phases; 1) information seeking, 2) obtaining remedies, 3) Enacting solutions. 	A tailored minimal self-help intervention to promote condom use in young women. Project FIO Project Connect Project Safe

Theoretical Framework	Interventions That Use This Theory
Theory of Reasoned Action/Theory of Planned Behavior (5).	HIV/STI Interventions
	Get Real about AIDS
Based on the premise that humans are rational and that the behaviors being explored are	Be Proud/Be Responsible (TPB)
under volitional control., the theory provides a construct that links individual beliefs,	Project Respect
attitudes, intentions and behaviors	Project Light
• Behavioral Intention—Perceived likelihood of performing a behavior	A Tailored Minimal Self-Help Intervention to
• Attitude-Behavioral Belief—Belief that the behavioral performance is associated with certain attributes or outcomes	Promote Condom Use in Young Women
• Evaluation—Value attached to a behavioral outcome or attribute	Substance Abuse
• Subjective Norm-Normative Belief—Belief about whether each referent approves or disapproves of the behavior	ATLAS/ATHENA
• Motivation to Comply—Motivation to do what the referent think	
Perceived behavioral Control	
• Control Belief—perceived likelihood of occurrence of each facilitating or constraining condition	
• Perceived power—perceived effect of each condition in making	
behavioral performance difficult or easy. (Bolded area = Theory of Planned Behavior.)	
Theory of Planned Behavior	
The theory of Planned Behavior is an extension of TRA. Perceived Behavioral Control is added to the model in an effort to account for factor outside the individual's control that may affect their intention and behavior. This extension is based in part on the idea that behavioral performance is determined jointly by motivation and ability.	

Theoretical Frameworks	Interventions That Use This Theory
Conservation of Resources Theory (6).	AIDS Prevention and Health Promotion
	Among Women
Theory asserts that an individual aspires to preserve, protect, and build resources.	
Resources are characterized by objects, conditions, personal characteristics, or energies that have specific importance for the individual.	
Stress occurs when a person is threatened with the loss of resource, or the actual loss of resources.	

Theoretical Frameworks	Interventions That Use This Theory
Social Inoculation Theory (7).	Reducing the Risk
This is a theory that emphasizes social pressures to adopt unhealthy behavior. Based on the belief that young people lack the negotiating skills to resist unhealthy behaviors that come from peer pressure and other influences, the theory proposes a range of techniques that can be used to "inoculate youth from such pressure.	
G. Turner, J. Shepherd, Health Educ. Res. 14, 235-247 (1999).	
Healthy attitudes or behavior can be threatened by not knowing how to defend them against the pressure for unhealthy ones. The process used to inoculate the individual consists of presenting the arguments that support the desired behavior, followed by a presentation of arguments used to promote the undesired behavior, followed in turn by answers refuting such arguments.	

Appendix 1: Theoretical Frameworks That Have Guided Development of Interventions to Prevent STIs/HIV

Theoretical Framework	Interventions That Use This Theory
Elaboration Likelihood Model (8).	Date Rape Prevention Program for Racially
	Diverse College Men
Elaboration Likelihood Model (ELM) conceptualizes attitude change on a continuum the	
two main routes are the peripheral route, and central route processing.	
Peripheral Route processing is when the individual attends to superficial issues such as the	
presenter's physical characteristics	
Central Route processing is when the individual attends to the central meaning of the	
message.	
niessuge.	
Model suggests that if the participants find the message of low personal relevance to them,	
they will focus on the peripheral route processing instead of the central route processing therefore only causing temporary attitude change.	
increase only causing temporary attitude change.	
If the participant finds the message to be of personal relevance they will focus on the	
central route processing and therefore be more likely to exhibit long term attitude change.	
contait route processing and increase se more interprocessing to exhibit roug term attrade enange.	

Appendix 1: Theoretical Frameworks That Have Guided Development of Interventions to Prevent STIs/HIV

Appendix 1: Theoretical Frameworks That Have Guided Development of Interventions to Prevent STIs/HIV

Theoretical Frameworks	Interventions That Use This Theory
Social Norms Approach (9).	Rape Prevention Project for Men
Social Norms approach suggests that one's behavior is influenced by incorrect perceptions of how member of one's social groups think and act.	
The approach predicts that overestimations of problem behavior will increase these problem behaviors, while underestimations of healthy behaviors will discourage individuals from engaging in them. Therefore if you correct the misperception of group norms it will likely reduce the problem behavior or increase the prevalence of healthy behavior.	

Theoretical Framework	Interventions That Use This Theory
Cognitive Behavior Theory (10).	Reducing the Risk—HIV/STI Intervention
 The foundation of this model is that youth need specific cognitive and behavioral skills in order to resist pressure and successfully negotiate interpersonal encounters. The model has three components 1. Activities to personalize information about sexuality, reproduction and contraception 2. Training in decision making and assertive communication skills 3. Practice applying those skills in personally difficult situations. 	

Theoretical Framework	Interventions That Use This Theory
Theory of Gender and Power (11).	None Identified.
Theory originally developed by Robert Connell. It is a social structural theory based on philosophical writings of sexual inequality, gender and power imbalances.	
 Three major structures characterize gendered relationships Sexual division of labor—examines the economic inequities favoring males Sexual division of power—examines inequities and abuses of authority and control in relationships and institutions favoring males Sexual cathexis, which examine social norms and affective attachments. These structures are overlapping but distinct and work together to explain the gender roles that people assume. 	
DiClemente and Wingood added to this theory by postulating that gender based inequities arise from the 3 structures and generate different exposures and risk factors that influence women's risk for disease.	
The structure exist at 2 different levels Societal Institutional 	

Proposed Model Conceptualizing the Influence of the Theory of Gender and Power on Women's Heal
--

Societal Level	Institutional Level	The Social Mechanisms	Exposures	Risk Factors	Biological factors	Disease
Sexual division of labor	Work site, School, family	Manifested as unequal pay which produces economic inequities for women	Economic exposures risk factors	Socio economic		
Sexual Division of Power	Relationship Medical System Media	Manifested as imbalances in control which produce inequities in power for women	Physical exposures	Behavioral risk factors	Douching Pregnancy Contraception	HIV
Structure of Cathexis: Social norms and affective attachments	Relationships Family Church	Manifested as constraints in expectations, which produce disparities in norms for women	Social Exposures	Personal risk factors		

Theory of Gender and Power Exposures, Risk Factors, and biological Properties

Sexual Division of Labor	Socioeconomic Risk Factors—Women who:
Economic Exposures—Women who:	
• Live at poverty level	Are ethnic minorities
• Have less than a high school education	• Are younger (less than 18 years of age
Have no employment or are under-employed	
• Have a high demand- low control work environment	
• Have limited or no health insurance	
• Have no permanent home (are homeless)	
Sexual Division of Power:	Behavioral Risk Factors—Women who have:
Physical exposures—Women who have:	
• A history of sexual or physical abuse	• A history of alcohol and drug abuse
• A partner who disapproves of practicing safer sex	Poor assertive communication skills
• A high-risk steady partner	• Poor condom use skills
• A greater exposure to sexually explicit media	• Lower self-efficacy to avoid HIV
• Limited access to HIV prevention (drug treatment, female controlled methods,	• Limited perceived control over condom use
school based HIV prevention education)	
Structure of Social Norms and Affective Attachments:	Personal Risk Factor—Women who have:
Social Exposures—Women who have:	
• A partner who is older	• Limited knowledge of HIV prevention
• A desire or whose partner desires to conceive	• Negative beliefs not supportive of safer sex
Conservative cultural and gender norms	Perceived vulnerability to HIV/AIDS
• A religious affiliation that forbid the use of contraception	• A history of depression or psychological
• A strong mistrust of the medical system	distress.
• Family influences not supportive of HIV prevention.	
Biological Properties: Anatomical and biomedical properties	
• HIV is transmitted more efficiently from men to women than from women to men,	
as women are the receptive partner during sexual intercourse	
• STD's aside from HI, are also transmitted more efficiently from men to women than	
from women to men; these STD's can increase women's vulnerability to HIV	
• STD's are more asymptomatic in women; thus, women may be less likely to seek	
treatment for STD's and more likely to develop STD related complications	
 Biological characteristics such as having sex while menstruating, using OCM's 	
history of cervical ectopy, and having an immature cervix may increase HIV risk	
among younger women.	

Theoretical Framew	vorks		Interventions That Use This Theory
Health Belief Model	(12).	AIDS Prevention for Adolescents in	
psychologists as a wa beliefs of the individu	y to explain and predict heal	that was developed in the 1950's by social th behaviors by focusing on the attitudes and f Model	school
Concept	Definition	Application	
Perceived susceptibility	One's opinion of chances of getting a condition	Define populations at risk and risk levels Personalize risk based on a person's characteristics or behavior	
		Make perceived susceptibility more consistent with individuals actual risk	
Perceived Severity	One's opinion of how serious a condition and sequelae are	Specify consequences of the risk and the condition	
Perceived benefits	One's opinion of the efficacy of the advised action to reduce the risk or seriousness of impact	Define action to take: how, where, when, clarify the positive effect to be expected	
Perceived barriers	One's opinions of the tangible and psychological costs of the advised action	Identify and reduce perceived barriers through reassurance, correction of misinformation, incentives, assistance	
Cues to action	Strategies to active one's readiness	Provide how to information, promote awareness, employ reminder systems	
Self-efficacy	One's confidence in one's ability to take action	Provide training and guidance in performing action. Use progressive goal setting give verbal reinforcement Demonstrate desired behaviors Reduce anxiety	

Theoretical Frameworks	Interventions That Use This Approach
 Harm Reduction Theory (13). Harm Reduction is a pragmatic approach to drug and alcohol consumption and their related problems. It is based on 3 core objectives To reduce harmful consequences associated with drug and alcohol use, To provide an alternative to zero-tolerance approaches by incorporating use goals (abstinence or moderation) that are compatible with the needs of the individual, To promote access to services by offering low-threshold alternatives to traditional drug and alcohol prevention and treatment. 	SHAHRP VOICE BASIC

Preventing Sexually Transmitted Infections

- Increase knowledge about the signs, symptoms and consequences of STIs/HIV/AIDS
- Increase knowledge about the transmission and prevention of STIs and HIV
- Build communication skills to prevent STIs and HIV
- Develop skills to identify resources available for testing and treatment of STIs and HIV.
- Increase confidence in ones ability to access testing and treatment resources as needed.

Information	Motivation	Behavioral Skills	Behavioral Outcomes
InformationIncrease knowledge about the prevalence of STIs and HIV in young people (adolescents and young adults).Increase awareness of how STIs and HIV are transmittedDescribe the signs and symptoms of STIs and HIVDescribe current treatment of STIs and HIVDiscuss risky sexual behaviors associated with STI/HIV riskDiscuss and correct misinformation about STIs and HIVIncrease awareness of the role of alcohol in sexual decision making.Increase knowledge of where to get tested and treated for STIs on and off base.	MotivationPersonalIncrease awareness of how getting an STI/HIV could lead to more serious health consequences such as, fertility problems or complications with child birth.Discuss how complications from an undetected/untreated STIs/HIV impact:• Health • Relationships• CareerIncrease awareness of how STIs and HIV infection are prevalent in the military.Social Norms Describe how Religious or cultural mores could play a role in avoidance of sexual situations that might expose a participant to an STI or HIV.Discuss how social stigma associated with HIV could have negative impact on: • Peers • Family • Unit • Romantic Partners	Behavioral Skills Increase skills in one's ability to obtain and/or purchase condoms Build skills in proper use of male and female condoms Build skills to communicate with sexual partners about: • Sex • Practicing safer sex • Getting tested for STIs and HIV Build skills in one's ability to: • Sustain • Maintain • Renegotiate safer sex agreements across time. Provide an opportunity for participants to self-assess their own STI/HIV acquisition risk.	Behavioral Outcomes Reduce the incidence of STIs or HIV. Increase the incidence of • Safer sex • Abstinence • Not combining alcohol and sex • Negotiating condom use with sexual partner

Appendix 2: Intervention Learning Objectives for Each Health Outcome of Interest

Information	Motivation	Behavioral Skills	Behavioral Outcomes
	Self Efficacy		
	Increase confidence in ability to protect oneself		
	against STIs and HIV through		
	Abstinence		
	Proper condom use		
	• Not engaging in sexual situations that might		
	expose one to STIs or HIV.		
	• Not mixing alcohol and sex.		
	Perceived Vulnerability		
	Increase awareness of how assumptions about a		
	potential sexual partner can influence one's		
	perception of risk. (I.e. He/she has a good job		
	and reputation therefore he/she is probably safe		
	and clean).		
	Behavioral Intention		
	To protect oneself from contracting an STI or		
	HIV by		
	Practicing abstinence		
	• Engaging in safer sexual practices		
	• Not combining alcohol and sex		
	• Not engaging in sexual situations that might		
	put one at risk for STIs or HIV.		

Preventing Unintended Pregnancy

- Increase knowledge about unintended pregnancy (UIP).
- Increase knowledge about hormonal and barrier contraceptive methods.
- Build communication skills to prevent unprotected sexual encounters.
- Provide skills for increased and consistent contraceptive use.
- Examine values, beliefs and attitudes that could increase UIP
- Increase knowledge about UIP in young people including the advantages and disadvantages of prevalent contraceptive methods

Information Motivation	Behavioral Skills	Behavioral Outcomes
 contraceptive method. Increase awareness of how unintended pregnancy can increase risk for STIs and HIV. Discuss the importance of the role that men play in preventing of UIP. Discuss the potential outcomes of UIP Abortion Adoption Miscarriage Raising a child while in the military. Familiarize participants with the statistics of UIP in general population as well as specifically within the military Familiarize confidence about discussing a child while in the military. Familiarize participants with the statistics of UIP in general population as well as specifically Fear of raising a child as a young single parent. Financial instability and not being able to provide for one's child. Fear of childbirth and pregnancy Self Efficacy Increase confidence about discussing sex and identifying a method of contraception for personal use Increase confidence about negotiating with sexual partners about contraception 	·	Behavioral Outcomes

Information	Motivation	Behavioral Skills	Behavioral Outcomes
	Behavioral Intentions		
	Avoid unintended pregnancy		
	Practice contraception in all		
	sexual encounters		

Key: UIP= Unintended pregnancy; STI= Sexually Transmitted Infection Preventing Personal (Relationship/Dating) Violence

- To increase awareness of the prevalence of and factors that contributes to relationship violence.
- To develop skills for avoiding relationship violence.
- To increase communication skills to avoid relationship violence.
- To change attitudes and norms about decreasing relationship violence.
- Decrease situations where relationship violence occurs.

Information	Motivation	Male Behavioral Skills	Female Behavioral Skills	Male Behavioral	Female Behavioral
				outcomes	outcomes.
Define sexual assault and consent using legal military definitions. Define relationship violence	Personal Increase awareness of situations that may increase the risk for relationship violence. Increase awareness about	 Assist participants in identifying aspects of a healthy relationship Discuss expectations for relationship Discuss how they want to be treated 	Assist participants in identifying aspects of a healthy relationship • Discuss expectations for relationships	Reduce the frequency of engaging in sexual behavior while under the influence of alcohol Increase in partner communication about	 Reduce frequency of participant engaging in risky situations. Going to secluded places with new
Define a consensual sexual relationship Provide relationship violence prevention resources that are	 how trauma from relationship violence can create life long problems including: Problems in sexually and emotionally intimate relationships Increased risk of re- victimization Post traumatic stress 	 Build negotiation skills Build assertive communication skills Build active listening skills Provide opportunities to practice Negotiation skill building 	 Discuss how they want to be treated Build negotiation skills Build assertive communication skills Build active listening skills Provide opportunities to 	 sex, sexual intimacy and consent in relationships Positive change in attitudes with regard to sex/gender roles. A decrease in the acceptance of relationship violence. 	 partner. Drinking to excess. Giving mixed messages Not letting a friend know when she will be back from a date
available to	disorder.	Assertive	practice		Reduce the

Information	Motivation	Male Behavioral Skills	Female Behavioral Skills	Male Behavioral	Female Behavioral
participants. Examine the role that excessive alcohol consumption may play in relationship violence	Social Norms Increase knowledge of relationship violence as an issue that is prevalent in civilian populations and in military populations (i.e. The Marines) Discuss how relationship violence can negatively impact Readiness for combat Esprit de corps Morale within the unit Discuss how sex roles and gender roles contribute to relationship violence Examine the role of the media in contributing to stereotypes and gender role expectations and their relationship to relationship violence. Discuss societal norms about relationships o Male entitlement in relationships. (if he pays for the date he is entitled to sex. Women believing that if a male pays for the date they are expected to have sex.	 communication skill building Active listening skill building Build communication skills to effectively communicate with partners about sexual intimacy and the parameters of sexual activity No sex Safer sex Only certain types of sex. Never assume to know what a woman wants. Always ask before engaging in a sexual activity. Increase ability to identify potential warning signs that may increase the risk of relationship violence. 	 Negotiation skill building Assertive communication skill building Active listening skill building Build communication skills to effectively communicate with partners about sexual intimacy and the parameters of sexual activity No sex Safer sex Only certain types of sex. To develop a safety plan for risky relationship situations Assist participants to develop and articulate a personal plan to avoid risky relationship/ sexual situations Not drinking to excess Speaking up if a sexual situation makes you uncomfortable. Not sending mixed messages about sexual interest. 	A decrease in incidence of relationship violence.	outcomes. frequency of engaging in sexual behavior while under the influence of alcohol Increase in ability to communicate sexual parameters to a relationship partner A decrease in the acceptance of relationship violence. A decrease in the incidence of relationship violence.

Information	Motivation	Male Behavioral Skills	Female Behavioral Skills	Male Behavioral	Female Behavioral
				outcomes	outcomes.
	• Increase		• Be aware of your		
	awareness of how power		surroundings and if		
	and control issues can		you feel		
	negatively impact		uncomfortable get out.		
	relationships and		 Avoid isolated and 		
	contribute to		secluded places		
	relationship violence.		• Make sure a		
	• Discuss male		friend/buddy is with		
	expectations of		you or knows where		
	relationships		you are and when you		
	• Having a		are supposed to be		
	sexual partner o Fulfilling		home.		
	• Fulfilling emotional needs				
	o Fulfilling				
	physical needs.				
	o Benefits				
	of being in a couple				
	 Discuss women's 				
	expectations of				
	relationships				
	o Having				
	to have a man				
	• The need				
	to always be in a				
	relationship				
	Discuss ways that				
	women and men can				
	be allies to one				
	another in				
	social/relationships				
	Men—respecting				
	a woman's				
	boundaries when				
	she say no in a				
	sexual situation				

Information	Motivation	Male Behavioral Skills	Female Behavioral Skills	Male Behavioral	Female Behavioral
				outcomes	outcomes.
	o No=No				
	Maybe=NoDrunk (unable to				
	• Drunk (unable to consent)=No				
	• Woman being				
	unsure= No				
	• Women—stating				
	clearly to relationship				
	partner when a sexual				
	advance is not wanted.				
	Not giving ambiguous				
	signals				
	Increase empathy and				
	understanding for victims				
	of relationship violence.				
	Perceived Vulnerability				
	Increase awareness of how				
	relationship violence could				
	negatively impact a				
	Marine's career and reputation.				
	Increase awareness of how				
	relationship violence can				
	contribute to an increased				
	risk of contracting and				
	STI, HIV or UIP.				
	Self efficacy				
	Increase self confidence to				
	avoid situations that could				
	increase risk of				
	relationship violence				
	Behavioral Intention				

Information	Motivation	Male Behavioral Skills	Female Behavioral Skills	Male Behavioral	Female Behavioral
				outcomes	outcomes.
	Increase intention to avoid				
	situations that increase the				
	risk of relationship				
	violence.				

Preventing Alcohol Misuse/Abuse

- Provide basic information about the effects of alcohol.
- Increase understanding of the role that alcohol plays in sexual risk behavior.
- Reduce misuse of alcohol.
- Reduce the occurrence of alcohol use when engaging in sexual behavior.

Information Motivation	Behavior Skills	Behavioral Outcomes
Increase knowledge about blood alcohol levels and blood alcohol content.Personal Discuss the negative impact of excessive alcohol use.Discuss basic facts about alcohol and how it affects the body.Discuss the negative impact of excessive alcohol use.Discuss basic facts about alcohol and how it affects the body.Biscuss the negative impact of excessive alcohol use.Discuss basic facts about alcohol and how it affects the body.Biscuss the negative impact of excessive alcohol use.Discuss basic facts about alcohol and how it affects the body.Biscuss the negative impact of excessive alcohol use.Discuss basic facts about alcohol and how it affects the body.Biscuss the negative impact of excessive alcohol use.Discuss basic facts about alcohol and how it affects the body.Biscuss the negative impact of excessive alcohol use.Discuss basic facts about alcohol and how it affects the body.Biscuss the negative impact of excessive alcohol use.Discuss factors that influence the effects of alcohol consumedIncrease understanding of reasons for drinking.Amount of time between drinksHave funMater consumption (hydration)Deal with negative emotions (upset or anxiety)Water consumption (hydration)Deal with negative emotions (upset or anxiety)Empty or full stomach Boredom (wanting to experiment)Boredom (wanting to experiment)Use of other medicines or drugsSocial pressures to use alcohol.Mental and emotional stateSocial Norms	 Increase skills for setting personal limits for alcohol misuse. Increase skills for creating safe drinking environments. Set up a buddy system to aide in adhering to personal limits set for alcohol consumption Appoint a designated driver Eat before drinking Being properly hydrated Avoiding alcohol misuse in dating situations Avoiding risky sexual behaviors while drinking Communicating intentions and limits of alcohol use with peers. 	Reduce amount of alcohol misuse. Reduce number of days per month that alcohol was consumed Reduction in frequency of lost days of work due to alcohol misuse. Reduction in frequency of engaging in alcohol use and sexual behavior. Reduction in frequency of engaging in alcohol use and driving or working.

Information	Motivation	Behavior Skills	Behavioral Outcomes
Increase awareness of the relationship between	Discuss the expectations both spoken and unspoken for alcohol		
• Alcohol and sexual behavior.	use within		
Alcohol and driving	Marine Corps wide		
Alcohol and relationship	• Unit		
violence	• Personal peer groups		
Discuss reasons why people chose	Discuss benefits of alcohol use		
to use alcohol.	Marine Corps wide		
• Social Disinhibitor	• Unit		
• Increases Courage (Liquid courage)	• Personal peer group		
• Expected norm of the group	Discuss how the media and how		
• To relieve stress	that portrayal influences alcohol		
• To relax	use (by increasing the desire for		
• To reduce boredom	use)		
Discuss patterns of alcohol use	Perceived Vulnerability		
• Experimental	Examine how knowledge, beliefs, and values about alcohol use		
Occasional	affect the use and misuse of		
• Situational	alcohol.		
• Intense	• Family history of alcoholism.		
Compulsive	• Religious beliefs/values about		
Provide basic information and	alcohol use		
statistics about alcohol use and	• Family norms around alcohol		
misuse in	use. (permissive or prohibitive		
	drinking)		
Civilians			
• The military wide	Self efficacy Increase confidence in one's		
Marines Corps	ability to not misuse alcohol		
Educate participants about the			
symptoms of problem drinking	Increase confidence in one's		
symptoms of problem drinking	ability to drink appropriately in		

Information	Motivation	Behavior Skills	Behavioral Outcomes
InformationProvide resources that are available on and off base to participants.Encourage them to seek assistance for alcohol misuse if they feel that they need it.	any situation Increase confidence in one's ability to misuse alcohol and engage in sexual behavior. Behavioral Intention Increase intention to avoid alcohol	Behavior Skills	Behavioral Outcomes
	 misuse and Sex Dating situations Driving and operating equipment 		

Key:

DUI= Driving under the influence of alcohol

Misuse defined as heavy alcohol use (5 or more drinks at one time)

Misuse includes engaging in behaviors that increase the negative impact of alcohol such as

- Drinking quickly
- Drinking on an empty stomach
- Drinking when not properly hydrated
- Drinking and engaging in sexual behavior
- Drinking and driving or using other equipment
- Drinking during emotional upset
- Drinking while taking medications and other drugs.

Control Intervention: Fitness for Life II

- Identify individual/cultural/social influences on nutritional choices
- Summarize the Dietary Recommendations for Americans 2005
- Skillfully use food labels to meet nutritional needs
- Identify healthier choices in markets, restaurants and mess halls
- Define nutritional requirements for peak physical performance
- Define basic fitness concepts
- Develop a personal fitness program for peak performance
- Reduce their risk of physical training and work place injuries
- Identify basic injuries and initiate care
- Recognize how alcohol and tobacco use effects health and performance
- Recognize stress and how to use stress reduction techniques
- Take personal responsibility for goals, motivation and skills required to meet individualized dietary needs and fitness goals

Module Outline:

I/M	Introduction Material – Program overview, purpose and goals
	(General overview and session specific introductions)
I/M	Supersize Me, A Film of Epic Portions (Segment) Gain attention Raise awareness of the individual/cultural/social problems Establish basic foots regarding obesity epidemic
	Establish basic facts regarding obesity epidemic
Μ	Self-Assessment Survey (Perkins-Porras, et. al, 2005) Tool assess participants' dietary needs for change and their readiness for change
	Complete tool and scoregrouping purpose not discussed with participants. Use tool for later small group discussions
I/M	Large Group Discussion Where do I usually eat? How do I decide what I'm going to eat? Who do I eat with? Why do I eat? Who or what influences these choices? Location Time of Day Peer Pressure Money Media Who is responsible for my choices?
I	Nutrition Basics Slide Set (USHHS and USDA, 2005) My Pyramid Dietary Recommendations for Americans 2005
В	Food Label Video (FDA, 2004) Reading, understanding and using as a tool
Ι	Cultural Influences Discussion (family/society/media/military)

- MB Healthy Goal Setting worksheet
- IMB Small Group or On-line Homework based on levels of contemplation

Group Definitions:

Group 1 – Precontemplators, not currently thinking about improving their nutrition/exercise

Strategy:

- Make aware of eating patterns
- Explain health benefits
- Raise motivation to change
- Short-long term goals established
- Individualized advice

Group 2 – Contemplators, thinking about improving nutrition/exercise but not either intending to do so within the next month or not confident of being able to stick to the plan

Strategy:

- Increase motivation and confidence
- Think specifically how to put into practice
- Problem solve/anticipate difficulties
- Short-long term goals review/reinforcement

Group 3 - Preparation/Maintenance, thinking and planning to improve nutrition/exercise within next month and confident of success or are currently working to improve nutrition/exercise practices

Strategy:

- Help feel more confident of success
- Make firm commitments
- Develop practical skills
- Advise on purchases/preparation
- Problem solve/anticipate difficulties
- Short-long term goals review/reinforcement

Ι	Large group	discussion	based on	questions	fielded on-line

IMSmall Group Discussion and/or Role Play
Levels of Contemplation and Personal Assessment

В	Introduction to Dietary Recall Worksheet – "Homework" (USDAMyPyramid.gov, 2005)
В	Demonstration of On-line Tools My Pyramid, Calorie King and specific restaurant sites
I/M	Weight Management Slide Set
В	Dietary Recall Worksheet (Session 2 or 3) (USDAMyPyramid.gov, 2005)- Individual completes based on homework/recall Based on chow hall meals
В	Portion Distortion Game
Ι	Restaurant Confidential/Snacking/Shopping Slide Set (Multiple sources)
I/M	 Fitness and Physical Activity - Slide Set Setting Training Goals The Basics Before you startequipment and clearance Stretching Strength Training Endurance/Cardiovascular Training Cross Training Opportunities Supplement Use
В	Starting and Working with a Training Log
Ι	Nutrition for Peak Performance Informational slide set Carbohydrates, protein, hydration Training/nutrition log – expanding on the training log Day of Event Menu Activity Information/Support Resources On-line – Nike, GNCsites Military Fitness Programs

IMB	 Sports and Work Place Injury Prevention and "First Aid" Slide Set Types of Injuries Prevention Techniques First Aid Seeking Medical Attention Rehabilitation Possible Long-term Consequences
I/M/B	Alcohol and Smoking – ask Cherrie – focus and materials?
I/M/B	Stress and Stress Reduction Techniques Slide Set
В	Contemporary Comfort Food - Large Group Discussion Individual/cultural/social influences Simple modifications to make healthier choices
В	Dietary Recall Worksheet (Session 4) (USDAMyPyramid.gov, 2005) - Return analysis of individual worksheets/discuss results Worksheet reflects one day's choices Set short/long term goals for nutrition and exercise
I/M	Conclusions – Program review, purpose, future topics (sessions 1, 2 and 3) and wrap-up questionnaire (session 4)