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TITLE: Preparation for a Clinical Trial Using Adoptive Transfer of Tumor-Reactive TGF\_Beta-Insensitive CD8+ T Cells for Treatment of Prostate Cancer

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<b>13. SUPPLEMENTARY NOTES</b>						
<b>14. ABSTRACT</b>  Prostate cancer is the most common form of malignancy and is the second leading cause of cancer mortality in American men. While the medical field is able to treat locally confined prostate cancer, metastatic diseases remain to be a major medical challenge. Treatment of advanced stage tumors usually requires a systemic approach. However, conventional therapeutic approaches are only palliative at best. Therefore, new approaches are urgently needed for patients with advanced metastatic prostate cancer. The present proposal describes a novel immunotherapy program based on our understanding of the action of TGF-beta.  Results of our pre-clinical studies have demonstrated that adoptive transfer of tumor-reactive TGF-beta-insensitive CD8+ T cells to hosts bearing mouse prostate tumors resulted in a complete rejection of established tumors. We observed that these CD8+ T cells were able to infiltrate into the tumor parenchyma, secrete relevant cytokines, and mediate apoptosis in tumor cells. These observations are encouraging. We propose to quickly translate this technology into a clinical setting for the treatment of patients with advanced prostate cancer. In the present application, we propose to perform all preparations so that all requirements for a clinical trial will be in place.						
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## INTRODUCTION

During the funding period, we have completed tasks outlined in our original proposal. Currently, we have submitted one NIH R21/R33 proposal on adoptive transfer of tumor reactive TGF-beta insensitive CD8+ T cells for treatment of advanced prostate cancer patients. Another NIH application, SPORE in prostate cancer, is under preparation for submission in February 2007.

### BODY:

**Task 1:** To assemble a team of investigators who are necessary for a sound clinical trial.

I have successfully recruited the planned collaborators necessary for the preparation of a clinical trial.

The following individuals are critical for the successful development and approval to conduct a clinical trial.

Name	Position	Role in project
Chung Lee, Ph.D.	Prostate cancer SPORE director/Urology	Principal Investigator
Timothy Kuzel, M.D.	Medical Director-Clinical Research Office/Medical Oncologist	Co-Investigator
Richard Meagher, Ph.D.	GMP facility Director	Co-Investigator
Ximing Yang, M.D., Ph.D.	Attending Pathologist	Co-Investigator
Norm Smith, M.D.	Attending Urologist	Co-Investigator
Qiang Zhang, M.D., Ph.D.	Research Assistant Professor	Co-Investigator

**Task 2:** To develop necessary resources

A significant part of this task has been the establishment of a GMP facility for future proposed clinical trial. At this time, Northwestern Memorial Hospital has committed 6 million dollars for the construction of a GMP facility. With its anticipated completion date in early 2007, the proposed GMP will be able to carry out the necessary FDA requirement for IND submission. Dr. Richard Meagher, Director of the GMP facility is a co-investigator to this proposal.

In addition, Northwestern Memorial Hospital has committed \$100,000 matching fund to this project to alleviate the fiscal burden of the proposal clinical trial.

**Task 3:** To determine the endpoints of the trial that will satisfy the requirements to successfully file for IND and other necessary regulatory documents

This task is the most challenging. I have devoted this year attending the necessary meetings to and to talk to FDA officials regarding the requirements necessary for an IND application. I also have published two more papers, which will bring me closer to applying the technology to clinical trial. At present, I have submitted a R21/R33 application to NCI for a combination of pre-clinical and clinical trial for the use of adoptive transfer of tumor reactive TGF-beta insensitive Cd8+ T cells for the treatment of patients with advanced prostate cancer. Another similar grant will be submitted in February as part of the prostate cancer SPORE.

### KEY RESEARCH ACCOMPLISHMENTS:

1. We have assembled a team of clinicians and specialists necessary for the implementation of a clinical trial.
2. Northwestern Memorial Hospital has initiated the construction of a GMP facility required for the proposed clinical trial.
3. Northwestern Memorial Hospital has committed a matching fund of \$100,000 for me to defray the costs of the clinical trial.
4. I have submitted a R21/R33 grant application to NIH on the adoptive transfer of tumor reactive TGF-beta insensitive CD8+ T cells for the treatment of patients with advanced prostate cancer.
5. Since my submission of this proposal, I have published two more articles, which are relevant to our ability to carry out the proposed clinical trials.

### CONCLUSIONS:

In conclusion, this funding has provided me with the opportunity to assemble a team of investigators for the preparation of clinical trial of adoptive transfer of tumor reactive TGF-beta insensitive CD8+ T cells for prostate cancer patients. As a result, I have written and submitted a R21/R33 grant application to NIH for funding.

### REPORTABLE OUTCOMES / PUBLICATIONS:

- Zhang Q, Jang TL, Yang X, Park I, Meyers RE, Kundu S, Pins M, Jovanovic B, Kuzel T, Kim S-J, Van Parijs L, Smith N, Wong L, Greenberg NM, Guo Y, Lee C. (2006) Infiltration of tumors reactive transforming growth factor-beta insensitive CD8+ T cells into tumor parenchyma is associated with apoptosis and rejection of tumor cells Prostate 66:235-247.
- Zhang Q, Kundu SD, Yang X, Pins M, Jovanovic B, Meyer R, Kim S-J, Greenberg NM, Kuzel T, Meagher R, Guo Y, Lee C. (2006) Blockade of TGF-β signaling in tumor-reactive CD8+ T cells activates the anti-tumor immune response cycle. Molecular Cancer Therapeutics 5:1733-1743.

# Infiltration of Tumor-Reactive Transforming Growth Factor-Beta Insensitive CD8<sup>+</sup> T Cells Into the Tumor Parenchyma is Associated With Apoptosis and Rejection of Tumor Cells

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**BACKGROUND.** TGF- $\beta$  is a potent immunosuppressant. High levels of TGF- $\beta$  produced by cancer cells have a negative inhibition effect on surrounding host immune cells and leads to evasion of the host immune surveillance and tumor progression. In the present study, we report a distinct ability of tumor reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells to infiltrate into established tumors, secrete relevant cytokines, and induce apoptosis of tumor cells.

**METHODS.** CD8<sup>+</sup> T cells were isolated from the spleens of C57BL/6 mice, which were primed with irradiated mouse prostate cancer cells, the TRAMP-C2 cells. After ex vivo expansion, these tumor reactive CD8<sup>+</sup> cells were rendered TGF- $\beta$ -insensitive by infection with a retroviral (MSCV)-mediated dominant negative TGF- $\beta$  type II receptor (T $\beta$ RIIDN). Control CD8<sup>+</sup> cells consist of those transfected with the GFP-only empty vector and naïve CD8<sup>+</sup> T cells. Recipient mice were challenged with a single injection of TRAMP-C2 cells 21 days before adoptive transfer of CD8<sup>+</sup> T cells was performed. Forty days after the adoptive transfer, all animals were sacrificed. The presence of pulmonary metastases was evaluated pathologically. Serial slides of malignant tissues were used for immunofluorescent staining for different kinds of immune cell infiltration, cytokines, and apoptosis analysis.

Abbreviations: TGF- $\beta$ , transforming growth factor beta; GFP, green fluorescent protein; T $\beta$ RIIDN, dominant-negative type II TGF- $\beta$  receptor; TRAMP, transgenic adenocarcinoma of the mouse prostate; IFN- $\gamma$ , interferon-gamma; IL-2, interleukin-2; NO, nitric oxide; TNF- $\alpha$ , tumor necrosis factor-alpha; PCNA, proliferating cell nuclear antigen; NK, natural killer cells; TR, Texas red; FITC, fluorescein isothiocyanate.

The authors declare that they have no competing financial interests.

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**RESULTS.** Pulmonary metastases were either eliminated or significantly reduced in the group receiving adoptive transfer of tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells (3 out of 12) when compared to GFP controls (9 out of 12), and naïve CD8<sup>+</sup> T cells (12 out of 12). Results of immunofluorescent studies demonstrated that only tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells were able to infiltrate into the tumor and mediate apoptosis when compared to CD4<sup>+</sup> T cells, NK cells, and B cells. A large amount of cytokines such as perforin, nitric oxide, IFN- $\gamma$ , IL-2, TNF- $\alpha$  were secreted in tumor tissue treated with tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells. No immune cells infiltration and cytokine secretion were detected in tumor tissues treated with naïve T cells and GFP controls.

**CONCLUSIONS.** Our results demonstrate the mechanism of anti-tumor effect of tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells that adoptive transfer of these CD8<sup>+</sup> T cells resulted in infiltration of these immune cells into the tumor parenchyma, secretion of relevant cytokines, and induction of apoptosis in tumor cells. These results support the concept that tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells may prove beneficial in the treatment of advanced cancer patients. *Prostate* 66: 235–247, 2006. © 2005 Wiley-Liss, Inc.

**KEY WORDS:** TGF- $\beta$ ; adoptive transfer; gene therapy; CD8<sup>+</sup> T cell; immunosurveillance; tumor rejection

## INTRODUCTION

Immunotherapy using adoptive transfer of immune cells is a promising approach for treating cancer patients. The presence of tumor infiltrating lymphocytes (TIL) in the tumor parenchyma has been recognized for three decades [1]. TIL were isolated from surgical specimens, clonally expanded *ex vivo*, and adoptively transferred to cancer patients with variable results [2,3]. Recently, Yee and coworkers selected antigen-specific CD8<sup>+</sup> T cells for *ex vivo* expansion and transferred these cells into patients. However, these CD8<sup>+</sup> T cells did not persist, requiring repeated transfers of CD8<sup>+</sup> T cells in order to elicit clinical responses [4]. Rosenberg and colleagues treated autologous TIL cells with IL-2 for *ex vivo* expansion and then transferred them to patients. Again, in order for these cells to “engraft,” lymphodepletion was necessary [5]. These results, although impressive, seem to suggest a missing element in adoptive transfer of CD8<sup>+</sup> T cells for the treatment of cancer.

The functional role of TIL in cancer therapy has been a subject of controversy [6]. Initially, it appeared that the presence of TIL in tumors could correlate to prognosis [7]. Subsequent studies showed that TIL were functionally impaired [8–10] from effects exerted by the tumor microenvironment [11]. The development of an immune-based strategy for cancer therapy must take into account not only immune stimulation, but also the issue of overcoming tumor-derived immune suppression [12]. Among many immunosuppressants, TGF- $\beta$  is a potent and important player [13–18].

High levels of TGF- $\beta$  produced by cancer cells have a negative effect on surrounding cells such as the host immune cells and have been implicated to play a role in tumor escape from immune surveillance [19,20]. Besides the tumor, the immune system, in response to

the presence of tumor, is also able to produce a significant amount of TGF- $\beta$  to down-regulate immune surveillance [21].

TGF- $\beta$ , therefore, appears to be an attractive target for anti-cancer therapy. Investigators have attempted to utilize the properties of TGF- $\beta$  advantageously for the treatment of cancer. Gorelik and Flavell [17] first described the immune-mediated eradication of tumors through the blockade of TGF- $\beta$  signaling in T cells. These investigators used transgenic mice with TGF- $\beta$  null expression targeted specifically to T cells. Subsequently, our study using transplant of TGF- $\beta$ -insensitive bone marrow cells also demonstrated a total rejection of metastatic tumor cells [22,23]. However, autoimmune disease eventually developed in the hosts in both studies due to the non-specific nature of the immune cells. More over recently, we have shown that adoptive transfer of tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells, which have high specific tumor killing ability and were able to eradicate established lung metastases of mouse prostate cancer cells, TRAMP-C2, which secreted large amounts of TGF-beta [24]. In the present study, we conducted additional experiments to delineate the mechanism of the tumoricidal ability of tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells. We report a distinct ability of these CD8<sup>+</sup> T cells to infiltrate into established tumors, secrete relevant cytokines, and induce apoptosis of tumor cells.

## MATERIALS AND METHODS

### Mice and Cells

Male C57BL/6 mice of 6–8 weeks of age were purchased from Jackson Laboratories (Bar Harbor, ME) and maintained in pathogen-free facilities at the Center for Comparative Medicine at Northwestern University

Feinberg School of Medicine in accordance with the established guidelines of the Animal Care and Use Committee of Northwestern University. TRAMP-C2 is an early-passage murine prostate cancer cell line derived from TRAMP mice that spontaneously develops prostate cancer due to prostate-specific simian virus 40 (SV 40) large T tumor antigen (Tag) expression. Cells were cultured in RPMI-1640 medium (GIBCO, Rockville, MD) supplemented with 10% heat-inactivated fetal bovine serum, 100 U/ml penicillin, and 100  $\mu$ g/ml streptomycin (GIBCO).

### Generation of Tumor-Reactive TGF- $\beta$ -Insensitive CD8<sup>+</sup> T Cells

Ex vivo expansion of tumor-reactive CD8<sup>+</sup> T cells from the splenocytes: Male C57BL/6 mice were primed with irradiated TRAMP-C2 cells ( $5 \times 10^6$ /mice at 20,000 ci) by subcutaneous injection every 14 days for a total of five inoculations. Two weeks following the last vaccination, CD8<sup>+</sup> T cells from the spleen were isolated by using murine T cell CD8 subset column kit (R&D Systems, Minneapolis, MN). CD8<sup>+</sup> T cells ( $10^5$ /ml) were cultured in the presence of TRAMP-C2 lysates ( $1 \times 10^6$ /ml) and irradiated mouse splenocytes ( $1 \times 10^6$ /ml at 3,000 ci) in medium containing RPMI-1640 with 10% FBS, IL-2 (50 U/ml, R&D), CD3<sup>+</sup> monoclonal antibody (30 ng/ml, R&D), HEPE (25 mM), L-glutamine (4 mM), and 2-ME (25 mM) (Sigma, St. Louis, MO) at 37°C and under 5% CO<sub>2</sub>. Culture media were changed every 3 days. CD8<sup>+</sup> T cells were cultured for around 10 weeks before they were infected with a retrovirus containing dominant negative TGF- $\beta$  type II receptor (T $\beta$ RIIDN-GFP) vector or the control GFP only vector. Rendering insensitivity to TGF- $\beta$  by infection with T $\beta$ RIIDN-GFP-containing retrovirus: Construction of the mouse stem cell retroviral vector (MSCV) containing the dominant negative TGF- $\beta$  type II receptor (T $\beta$ RIIDN) and green fluorescent protein (GFP) was performed as previously described [22,23]. Tumor-reactive CD8<sup>+</sup> T cells above were infected with retroviral particles containing T $\beta$ RIIDN-GFP or GFP only via spin infection as described earlier [22,23]. Infection efficiency was assessed for GFP expression by flow cytometry, only the infection efficiency was up to 90%, the cells could be used for adoptive transfer that was performed 72 hr after infection.

### Tumor Bearing Animal Survival Analysis

Male C57BL/6 mice were challenged i.v. with  $5 \times 10^5$  TRAMP-C2 cells. Twenty-one days later, they received adoptive transfer of one of the three groups of CD8<sup>+</sup> T cells ( $2 \times 10^6$ ). CD8<sup>+</sup> T cells in Group 1 were tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells infected with the T $\beta$ RIIDN-GFP viral particles. Those in Group 2

were tumor reactive CD8<sup>+</sup> T cells infected with the GFP-only control vectors. Cells in Group 3 were naive CD8<sup>+</sup> T cells. Forty days after CD8<sup>+</sup> T cells transfer, mice were sacrificed by cervical dislocation. Some animals were sacrificed earlier than 40 days due to poor health conditions. The lung from each animal was isolated for gross and histological examination. At 40 days, all animals were inspected for the presence of pulmonary metastases. The time of sacrifice for mice in each group was compared by the Kaplan–Meier method. The pulmonary specimens were prepared as serial sections with 4  $\mu$ m for each through the whole lungs.

### Immunohistochemical Staining

H&E staining: Upon euthanasia, the lung from each animal was excised, fixed in formalin, embedded in paraffin, and serially sectioned at 4  $\mu$ m thick until the embedded tissue was exhausted. Routine Hematoxylin & Eosin (H&E) staining was performed at an interval of every five serial sections. All H&E sections were evaluated for the presence of tumor tissues by at least three independent investigators. PCNA immunological staining: VECTASTAIN ABC kit (Vector Labs, Burlingame, CA) was used according to the procedure from the manufacturer with the following adjustment: after deparaffinization, quenching of endogenous peroxidase activity and normal serum pre-blocking, the sections were incubated in diluted mouse monoclonal PCNA antibody (1:100, Upstate, Lake Placid, NY) for 2 hr in room temperature, followed by incubation with biotinylated goat horse anti-mouse secondary antibody (1:200, Vector Labs) for 2 hr. Then peroxidase substrate solution DAB (DAKO Corporation, Carpinteria, CA) was used for desired staining and Harris Hematoxylin Solution for counterstaining.

### Immunofluorescent co-Staining for Infiltration of Immune Cells and Secretion of Cytokines

Unstained paraffin-embedded serial sections of metastatic cancer to the lung were used for immunofluorescent staining to detect infiltration of immune cells (CD8<sup>+</sup> T, CD4<sup>+</sup> T, B cells, and NK cells) and secretion of cytokines (perforin, Nitric Oxide, IFN- $\gamma$ , TNF- $\alpha$ , IL-2). The methods of immunofluorescent co-staining were performed by using the assay as previously described [24]. (A): Expression of TGF- $\beta$  in tumor tissue was analyzed by using Nuclear-TGF- $\beta$ 1 double staining; (B): Infiltration of immune cells in tumor tissue was analyzed by Nuclear-immune cells double staining. Mouse CD8<sup>+</sup> T cells, CD4<sup>+</sup>, NK(NCAM) cells, B (BLCAM) cells were evaluated, respectively; (C): Identification of the source of CD8<sup>+</sup> T cells in tumor tissue: Nuclear-CD8<sup>+</sup>-GFP protein triple staining; (D): Secretion of cytokines were analyzed by:

Nuclear-CD8<sup>+</sup>-cytokines triple staining. Expression of perforin, Nitric Oxide, IFN- $\gamma$ , TNF- $\alpha$ , IL-2 were analyzed. The parameters of the antibodies (Santa Cruz, Santa Cruz, CA) are listed in Table I. All the slides were deparaffinized and blocked by normal serum. The sections were then incubated with the fluorescent staining as described before [23]. All the slides were stained with VECTASHIELD mounting media (blue) (Vector lab) for nuclear counterstaining. Staining was viewed with Nikon TE2000-U fluorescent microscopy (Nikon Corporation, Tokyo, Japan). Images were digitized by Photoshop 7.0 with a PC computer. The intensity of the fluorescent signal was standardized by the standard fluorescent index (positive lymphocytes or signal/100 tumor cells/1,000  $\mu\text{m}^2$ ): -: <5;  $\pm$ : 6–10; +: 11–30; ++: 31–50; +++: 51–70; ++++: >70.

### Immune-Mediated Tumor Apoptosis Assay

Following deparaffinizing, tissue sections were subjected to apoptosis assay by using the TUNEL apoptosis kit (Upstate, Lake Placid, NY) according to the recommendations of the manufacturer. Briefly, the slides were treated with Proteinase K for 30 min at 37°C, incubated with TdT end-labeling cocktail (TdT Buffer, Biotin-dUTP, and TdT, at a ratio of 90:5:5) for 120 min at 37°C followed by Avidin-FITC (green) solution (50  $\mu\text{l}$ ), incubated in the dark for 60 min at 37°C. Slides were then incubated with 50  $\mu\text{l}$  of blocking buffer at room temperature for 20 min followed by rat monoclonal antibody for CD8<sup>+</sup> labeled with TR (red) (2  $\mu\text{g}/\text{ml}$ , Santa Cruz) in the dark for 30 min at room temperature. Finally, slides were washed with PBS and stained with VECTASHIELD mounting media (blue) (Vector lab) for nuclear staining. They were viewed with Nikon TE2000-U fluorescent microscopy (Nikon Corporation, Tokyo, Japan). Images were digitized by Photoshop 7.0 with a PC computer.

### Statistical Analysis

Analysis of variance and multiple range tests were performed to determine differences of means among different treatment groups. A *P*-value of less than 0.05 was considered statistically significant. SPSS 10.0.7 software package (SPSS Inc.) was used for analysis. Kaplan–Meier survival curve was analyzed by the log-rank test using the Graphpad Prism 4.02 software (Graphpad Software Inc., San Diego, CA).

## RESULTS

### In Vivo Anti-Tumor Activity of Tumor Reactive, TGF- $\beta$ -Insensitive CD8<sup>+</sup> T Cells

To demonstrate the in vivo anti-tumor activity, male C57BL/6 mice of 6–8 weeks old were challenged with

$5 \times 10^5$  TRAMP-C2 cells by intravenous injections for 21 days. In the absence of any intervention, at 21 days following tumor cell challenge, multiple gross and microscopic pulmonary metastases were evident. All animals were sacrificed 40 days following CD8<sup>+</sup> T cells transfer, or sooner due to poor health conditions. Mice who received tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells had the least degree of tumor burden. Nine of 12 animals were free of pulmonary metastasis (Fig. 1A) and 3 mice with metastasis had an average number of metastasis per animal (number  $\pm$  SD) of  $1.67 \pm 0.5$ . Animals who received tumor reactive control CD8<sup>+</sup> T (GFP only) cells showed partial anti-tumor activity with 3 out of 12 animals showing tumor-free and those with metastasis had an average number of metastasis per animal (number  $\pm$  SD) of  $3.7 \pm 1.1$ . Adoptive transfer of naïve CD8<sup>+</sup> T cells was ineffective in inhibiting tumor progression. All animals in the group had pulmonary metastasis with the average number of metastasis per animal (number  $\pm$  SD) being  $4.8 \pm 1.5$ . Results of Kaplan–Meier analysis showed significant survival differences among the three treatment groups (Fig. 1B).

### Histological Findings

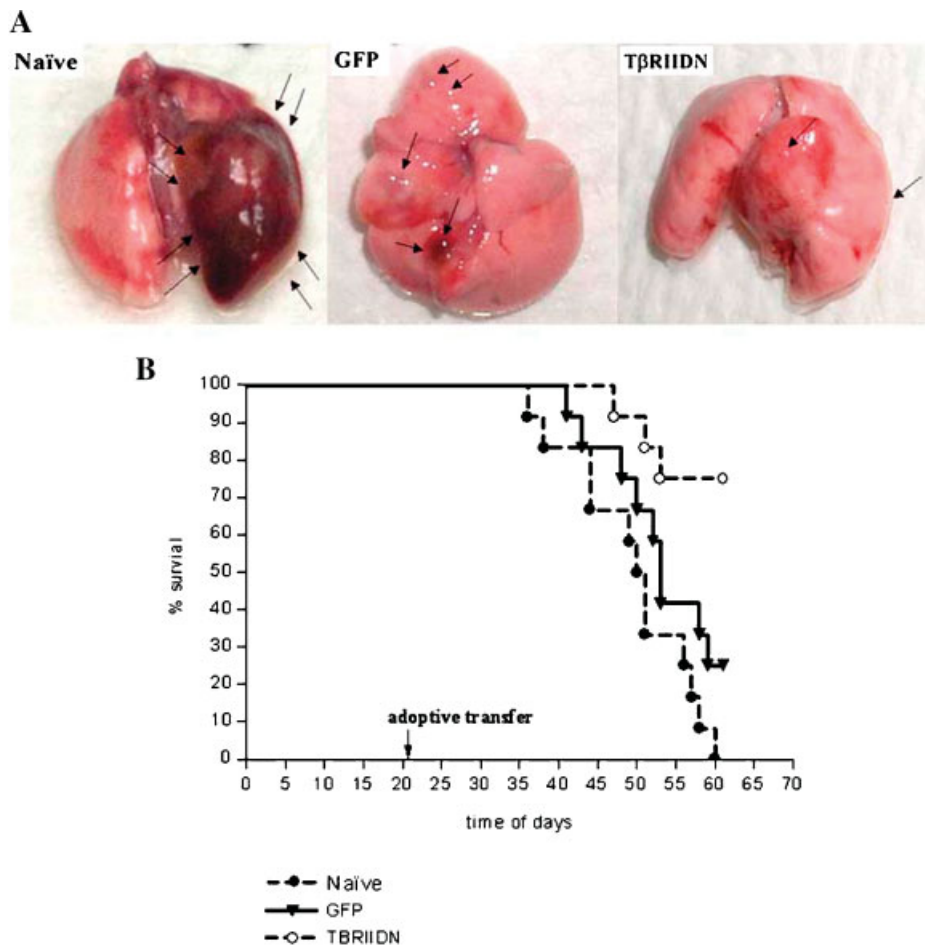
The H&E stained sections of tumors that metastasized to the lung were evaluated (Fig. 2A,C,E with magnification of 100 $\times$ ; 2B, 2D, and 2F with a magnification of 400 $\times$ ). In tumors from animals who received naïve CD8<sup>+</sup> T cells, a portion of a large tumor (Fig. 2A and 3 mm in diameter) with marked cytological polymorphism (Fig. 2B) is illustrated. In the mouse who received adoptive transfer of tumor-reactive control CD8<sup>+</sup> T cells (GFP only), there is one smaller tumor nodule (Fig. 2C, 1.5 mm in diameter), which demonstrates some infiltration and degenerative changes of tumor cells (Fig. 2D). In the tumor from mice who received adoptive transfer of tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells (T $\beta$ RIIDN), there is a smaller tumor nodule (Fig. 2E, 0.5 mm in diameter) with heavy lymphocytic infiltrates and marked degenerative changes of tumor cells (Fig. 2F). Another feature is the lack of infiltration of immune cells in the air spaces of the lung in all animals, including those who received adoptive transfer of tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells, suggesting that autoimmune disease was not apparent in these animals. This contrasts to our earlier study in which recipient animals who received TGF- $\beta$  insensitive bone marrow transplant developed massive infiltration of immune cells in the air spaces of the lung [22,23].

A tumor nodule in a mouse who received naïve CD8<sup>+</sup> T cells, composed of tumor cells with high proliferative activity (>80% cells positive for PCNA) is shown in Figure 2G,H. In animals who received



**TABLE I. Antibodies for Immunofluorescent Staining**

	A. Nuclear-TGF- $\beta$ 1 double staining		
	TGF- $\beta$ 1 staining		Nuclear staining
TGF- $\beta$ 1	Primary antibody Polyclonal-rabbit-mouse, 1: 100, 2 hr	Secondary antibody Goat-rabbit-FITC, 1:300, 2 hr	VECTASHIELD mounting medium with DAPI
	B. Nuclear- immune cells double staining		
CD8+ T cells (CD8-2.43)	Immune cells staining Monoclonal-rabbit-mouse-FITC, 1:300, 2 hr		Nuclear staining
CD4+ T cells (CD4-RM4 5)	Monoclonal-rabbit-mouse-FITC, 1:300, 2 hr		VECTASHIELD mounting medium with DAPI
B cells (BLCAM-H221)	Monoclonal-rabbit-mouse-FITC, 1:300, 2 hr		
NK cells (NCAM-H300)	Monoclonal-rabbit-mouse-FITC, 1:300, 2 hr		
	C. Nuclear-CD8 <sup>+</sup> -GFP protein triple staining		
	GFP		
Monoclonal mouse Ig G-rhodamine 1:100, 2 hr/RT		CD8 <sup>+</sup> staining Monoclonal-rat-mouse-FITC 1:100, 2 hr/RT	Nuclear staining VECTASHIELD mounting medium with DAPI
	D. Nuclear-CD8 <sup>+</sup> - cytokines triple staining		
	Cytokines staining		
Perforin	Primary antibody Polyclonal-rabbit-mouse, 1:100, 2 hr	Secondary antibody Goat-rabbit-FITC, 1:500, 2 hr	CD8 <sup>+</sup> staining Nuclear staining
IFN- $\gamma$	Polyclonal-goat-mouse, 1:150, 2 hr	Donkey-goat-FITC, 1:250, 1.5 hr	Monoclonal-rat-mouse-TR, 1:100, 2 hr VECTASHIELD mounting medium
Nitric oxide	Monoclonal-mouse-mouse, 1:100, 2 hr	Goat-mouse- TR, 1:500, 2 hr	Monoclonal-mouse-mouse-FITC, 1:100, 2 hr with DAPI
IL-2	Polyclonal-rabbit-mouse, 1:100, 2 hr	Goat-rabbit-FITC, 1:300, 2 hr	Monoclonal-rat-mouse-TR, 1:100, 2 hr
TNF- $\alpha$	Polyclonal-rabbit-mouse, 1:100, 1 hr	Goat-rabbit-FITC, 1:300, 2 hr	Monoclonal-rat-mouse-TR, 1:100, 2 hr



**Fig. 1.** In vivo anti-tumor activity of tumor reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells. **A:** Status of pulmonary metastasis of TRAMP-C2 tumors in mice received adoptive transfer of CD8<sup>+</sup> T cells. There are three types of CD8<sup>+</sup> T cells: Naïve CD8<sup>+</sup> T cells were CD8<sup>+</sup> T cells isolated from spleens of untreated C57BL/6 mice, tumor-reactive control CD8<sup>+</sup> T cells (GFP), and tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells (T $\beta$ RIIDN). TRAMP-C2 cells ( $5 \times 10^5$ ) were challenged to recipient mice. At 21 days following tumor challenge, animals were sacrificed at 40 days following the adoptive transfer or sooner due to poor health conditions. Representative gross feature of lung tissues from tumor-bearing mice at 40 days following tumor challenge. Arrows indicate metastatic sites. **B:** Kaplan–Meier survival curve of tumor-bearing mice received adoptive transfer of naïve CD8<sup>+</sup> T cells, GFP-infected CD8<sup>+</sup> T cells, and T $\beta$ RIIDN-infected CD8<sup>+</sup> T cells.  $P < 0.05$  by the log–rank test for the T $\beta$ RIIDN group versus the naïve group or GFP group.

tumor-reactive control CD8<sup>+</sup> T cells (the GFP group), the majority of tumor cells show high proliferative activity (>80% cells positive for PCNA) (Fig. 2I,J). On the contrary, the degenerative tumor cells in mice receiving tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells show low proliferative activity (<10% cells positive for PCNA) (Fig. 2K,L). There is no statistic difference between naïve group and GFP group, but there is a significant difference between T $\beta$ RIIDN groups and the above two groups ( $P < 0.05$ ).

#### Infiltration of Tumor-Reactive TGF- $\beta$ -Insensitive CD8<sup>+</sup> T Cells in Tumor Tissue

The most prominent histological feature of the tumor tissue in this study is evidence of infiltration of

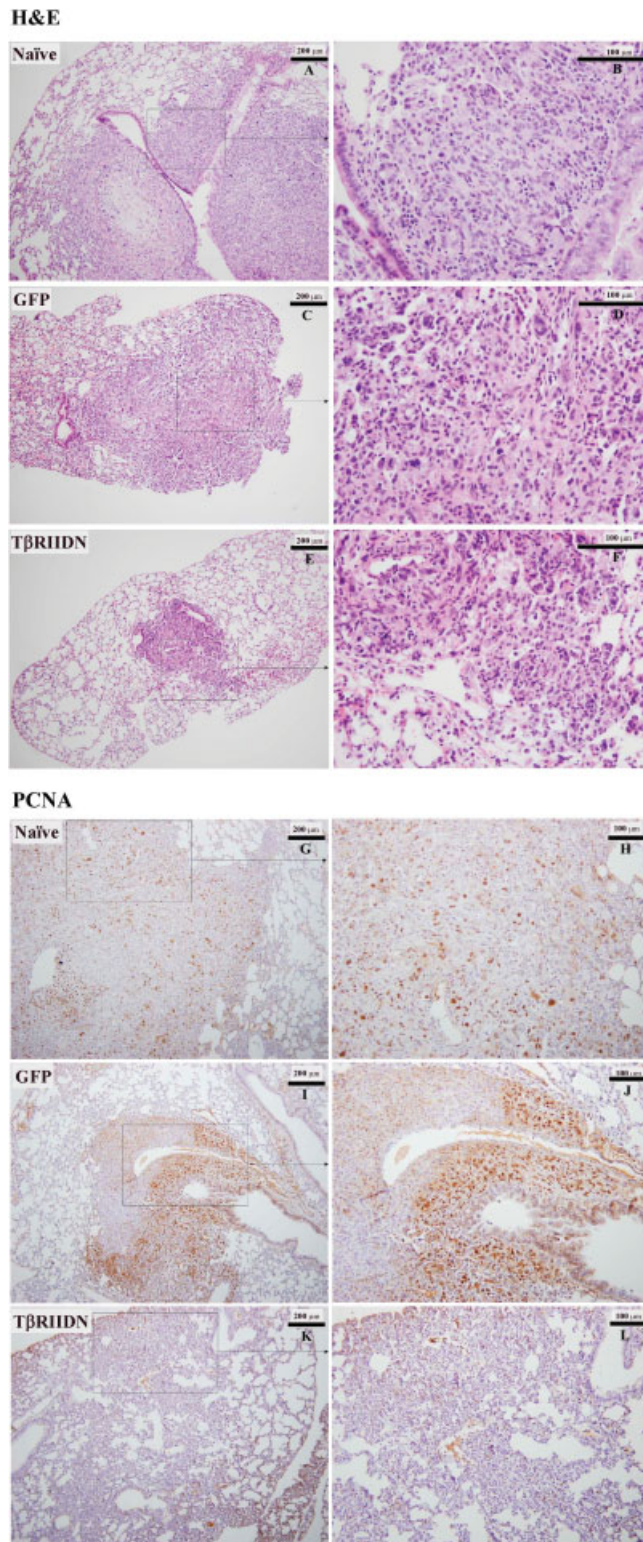
large amounts of tumor-reactive TGF- $\beta$ -insensitive (GFP staining positive) CD8<sup>+</sup> T cells and the presence of apoptosis in tumor tissues (Fig. 3A). Almost all of these infiltrated CD8<sup>+</sup> T cells are GFP positive, suggesting that all these CD8<sup>+</sup> T cells were adoptively transferred (Fig. 3E). Also, CD4<sup>+</sup>, B cells, and NK cells, thought not abundant, were found in the tumor tissue (Fig. 3B–D). Tumors in animals who received adoptive transfer of naïve CD8<sup>+</sup> T cells and tumor-reactive control CD8<sup>+</sup> T cells (Fig. 3A) showed little or no CD8<sup>+</sup> T cells. In the latter two groups, CD8<sup>+</sup> T cells are present only in the stromal tissues of the lung. Although CD8<sup>+</sup> T cells were not observed in tumors of animals who received tumor-reactive control CD8<sup>+</sup> T cells, some lymphocytic infiltration was evident histologically. However, such lymphocytic infiltration was more

prominent in tumors of the group who received tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells. Very little CD4<sup>+</sup> T cells, B cells, and NK cells were observed in the tumor tissue of the tumor-reactive control CD8<sup>+</sup> T cells treatment group. No lymphocytic infiltration was

noted in tumors of animals who received naïve CD8<sup>+</sup> T cells (Fig. 3A,B,D), except some B cells (Fig. 3C). The degree of infiltration of different kinds of lymphocytes were evaluated by standard fluorescent index, which corresponds to the fluorescent intensity criterion (positive lymphocytes/100 tumor cells/1,000  $\mu\text{m}^2$ : - : <5;  $\pm$ : 6–10; +: 11–30; ++: 31–50; +++: 51–70; ++++: >70) (Fig. 3G). In general, much higher TGF- $\beta$  expression was detected in the tumor parenchyma than peripheral non-tumor tissue in all three groups (Fig. 3F).

### Secretion of Cytokines in Tumor Tissue

A very high level of perforin (Fig. 4A), along with an abundance of infiltrated tumor-reactive TGF- $\beta$ -insensitive (GFP staining positive) CD8<sup>+</sup> T cells was expressed in tumor tissue. The superimposed image suggests that the overwhelming majority of perforin originated from tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells. A marginally high level of IFN- $\gamma$  (Fig. 4B) and IL-2 (Fig. 4D) and a moderately high level of nitric oxide (Fig. 4C) were expressed in tumor tissue. IFN- $\gamma$ , IL-2, and nitric oxide appeared to have originated primarily from tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells. Low levels of TNF- $\alpha$  expression were demonstrated in tumor tissue. The majority of TNF- $\alpha$  expression appeared to have originated from tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells (Fig. 4E). Increased levels of IFN- $\gamma$  and IL-2 in tissue corresponded to serum levels (data now shown). Perforin was not expressed in naïve CD8<sup>+</sup> T cells and was expressed very minimally in tumor-reactive control CD8<sup>+</sup> T cells (Fig. 4A). The contribution of IFN- $\gamma$ , IL-2, nitric oxide, and TNF- $\alpha$  expression from naïve CD8<sup>+</sup> T cells and tumor-reactive control CD8<sup>+</sup> T cells appears to be negligible (Fig. 4B). A summary of cytokine expression was described as



**Fig. 2.** Histological finding of the tumor. Representative histology (H&E staining) of metastatic tumor nodules in the lungs from animals received adoptive transfer of naïve CD8<sup>+</sup> T cells (A and B), GFP-infected CD8<sup>+</sup> T cells (C and D), and T $\beta$ RIIDN-infected CD8<sup>+</sup> T cells (E and F), in mice 40 days following adoptive transfer of CD8<sup>+</sup> T cells into recipients at 21 days following injection of tumor cells. In the lung of a mouse that received transfer of naïve CD8<sup>+</sup> T cells, a portion of a large tumor (A, 3 mm in diameter) showing marked cytological polymorphism is illustrated. In the lung of a mouse transferred with GFP-infected CD8<sup>+</sup> T cells, there is one smaller tumor nodule (C, 1.5 mm in diameter), which demonstrates some degree of lymphocytic infiltration and degenerative changes of tumor cells. In the lung of a mouse that received T $\beta$ RIIDN-infected CD8<sup>+</sup> T cells, there is a smaller tumor nodule (E, 0.5 mm in diameter). Within this tumor, heavy lymphocytic infiltrates and marked degenerative changes of tumor cells can be seen (F). Immunohistological staining for the expression of PCNA in tumor cells: naïve (G, H), GFP (I, J), T $\beta$ RIIDN (K, L). The brown cells are PCNA-positive cells. All specimens were observed at 100-fold, 200-fold, or 400-fold magnification.



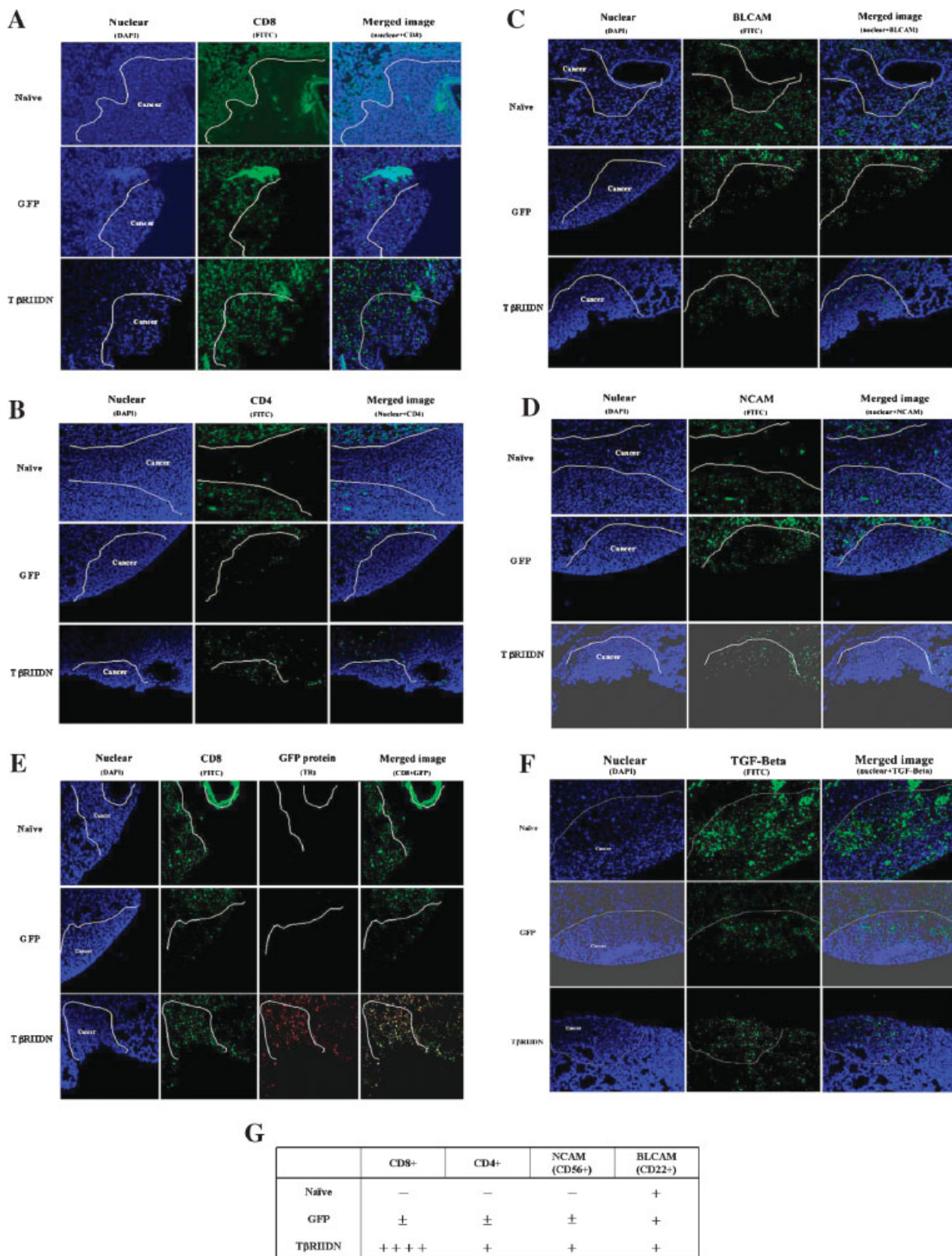


Fig. 3.

standard fluorescent index (positive signal/100 tumor cells/1,000  $\mu\text{m}^2$ : -: <5;  $\pm$ : 6–10; +: 11–30; ++: 31–50; +++: 51–70; ++++: >70) (Fig. 4F).

### Apoptosis of Tumor Cells by the Treatment of Tumor-Reactive TGF- $\beta$ -Insensitive CD8<sup>+</sup> T Cells

A prominent feature of TUNEL assay in the tumor tissue in this study is the infiltration of CD8<sup>+</sup> T cells into the tumor parenchyma and the presence of apoptosis in tumor cells of animals who received adoptive transfer of tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells (the T $\beta$ RIIDN group) (Fig. 5). Tumors in the animals of the other two groups showed little or no infiltration of CD8<sup>+</sup> T cells and demonstrated no evidence of apoptosis. However, CD8<sup>+</sup> T cells are present in the stromal tissue of the lung in animals of all groups. Meanwhile, there are large amounts of CD8<sup>+</sup> T cells that were induced to undergo apoptosis outside the margin of the tumor sites in animals treated with adoptive transfer of naïve CD8<sup>+</sup> T cells and tumor-reactive control CD8<sup>+</sup> T cells.

## DISCUSSION

A significant part of modern tumor immunology has focused on the identification of tumor-specific antigens and the cytolytic T-cells specific for these peptides [25]. When such antigens are defined, therapeutic approaches use the antigen as the target [26]. However, any immunotherapeutic approach for cancer necessitates cytotoxic T lymphocytes to enter the tumor parenchyma. In the past, despite the ability to generate immune cells that are reactive against tumor antigens, evasion of the host immune surveillance by tumor cells persisted leading to eventual tumor progression [14,27,28]. Many possible mechanisms of a tumor's ability to evade host immune surveillance have been elucidated. These include the down-regulation of tumor antigen processing, the inhibitory role of CD4<sup>+</sup>CD25<sup>+</sup> T regulatory cells, and the role of tumor-derived immunosuppressive cytokines, which include VEGF, IL-10, and TGF- $\beta$  [28–32]. High levels of TGF- $\beta$  produced by tumor cells are able to deter immune cells from entering the tumor parenchyma [33–38]. Results of the present study seem to indicate that TGF- $\beta$  was a

very important immunosuppressant, as TGF- $\beta$  insensitive CD8<sup>+</sup> T cells were able to infiltrate into the tumor parenchyma and to induce apoptosis in tumor cells, and its immunosuppressive role in cancer progression has been well established.

The mouse prostate cancer, TRAMP-C2, represents an aggressive line of malignant cells, which secrete large amounts of TGF- $\beta$ . Presently, we have demonstrated that TRAMP-C2 tumors, due to their secretion of large amounts of TGF- $\beta$  [24], possess potent immunosuppressive power resulting in the inability of conventional CD8<sup>+</sup> T cells to effectively infiltrate into the tumor tissue, resulting in a failure of these recipient animals to reject tumors. In this study, it is apparent that TGF- $\beta$ -mediated evasion of the host immune surveillance system plays a critical role. Once these tumor-reactive CD8<sup>+</sup> T cells were rendered insensitive to TGF- $\beta$ , they possessed the ability to eradicate established pulmonary metastases in a mouse prostate cancer model and to prolong survival in tumor-bearing mice. We observed that adoptive transfer of tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells can overcome the tumor-derived immune suppressive mechanisms. The most prominent characteristic of these tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells is their ability to escape the immunosuppressive effect of tumor-derived TGF- $\beta$  to specifically infiltrate into the tumor parenchyma, and to function as potent effectors against tumor cells that induce apoptosis in these established TRAMP-C2 tumors. Our experience has indicated that a mere acquisition of reactivity against tumor cells in CD8<sup>+</sup> T cells was insufficient to achieve tumor infiltration by these cells [39,40]. Therefore, in order for tumor infiltration to occur, these CD8<sup>+</sup> T cells must be rendered tumor-reactive as well as TGF- $\beta$ -insensitive. Clinically, the large number of circulating tumor antigen-specific CD8<sup>+</sup> cytotoxic T lymphocytes in individuals with cancer does not correlate with T-cell infiltration into cancer tissues or tumor regression [41,42]. The inability of immune cells, especially cytotoxic CD8<sup>+</sup> T cell, to infiltrate into the tumor parenchyma is perhaps, the most important event in determining evasion from host immune surveillance by tumor cells. This is because all tumor cells have acquired the ability to inhibit the host immune system.

**Fig. 3.** Infiltration of lymphocytes into the tumor parenchyma. **A**: In contrast to GFP-infected and naïve CD8<sup>+</sup> T cells, only T $\beta$ RIIDN-infected CD8<sup>+</sup> T cells can effectively infiltrate into the tumor parenchyma. Furthermore, unlike animals who were treated with T $\beta$ RIIDN-infected CD8<sup>+</sup> T cells, CD4<sup>+</sup> T cells (**B**), and NCAM cells (**D**) except BLCAM cells (**C**) in animals treated with GFP-infected and naïve CD8<sup>+</sup> T cells lacked the ability to effectively infiltrate into the tumor parenchyma. The source of CD8<sup>+</sup> T cells within the tumor was confirmed to originate from T $\beta$ RIIDN-infected CD8<sup>+</sup> T cells that were adoptively transferred, as evidenced by nuclear-CD8<sup>+</sup>-GFP protein triple staining (**E**). When quantifying the relative contributions of the various immune cells and their ability to infiltrate into the tumor parenchyma, tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells contributed significantly, when compared to CD4<sup>+</sup> T cells, BLCAM cells, and NCAM cells (**G**). In general, much higher TGF- $\beta$  expression was detected in the tumor parenchyma than peripheral non-tumor tissue in all the three groups (**F**).

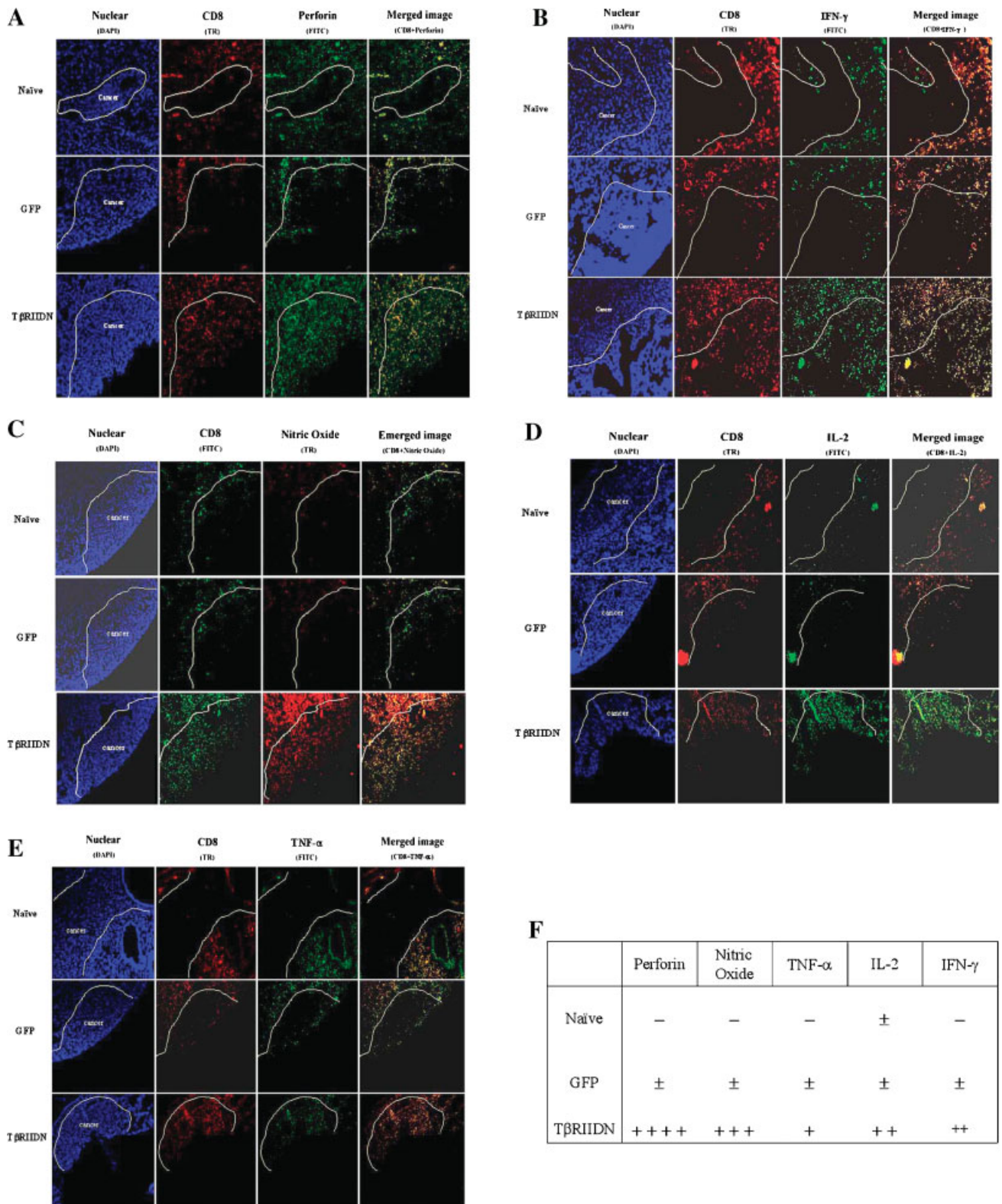
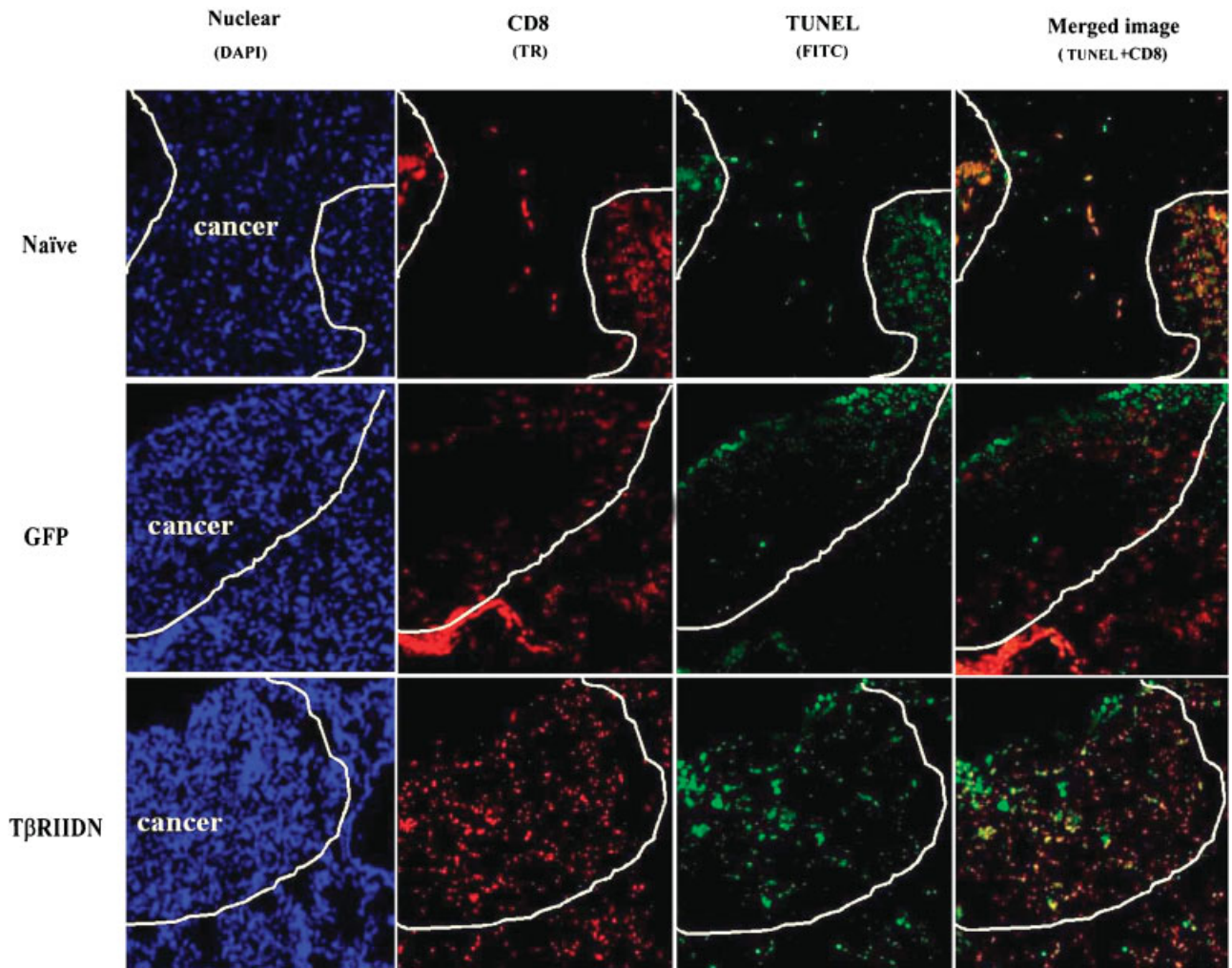


Fig. 4.





**Fig. 5.** Apoptosis of tumor cells. Immunofluorescent staining for nuclei, CD8<sup>+</sup> T cells, and apoptosis. Representative tissue sections showing pulmonary metastasis were simultaneously stained for cell nucleus (blue), CD8<sup>+</sup> T cells (red), and apoptosis (green). The tumor site was identified by the nuclear staining (blue). CD8<sup>+</sup> T cells were identified mainly in the lung tissues not in the tumor with the exception of the T $\beta$ RIIDN group, in which CD8<sup>+</sup> T cells (red) were also found within the tumor parenchyma. Frequent tumor apoptotic sites (green) were only found in the T $\beta$ RIIDN group. Although few CD8<sup>+</sup> T cells were found undergoing apoptosis (yellow), the majority of the apoptotic cells were derived from the tumor cells (green). (magnification:  $\times 400$ ) Apoptotic activity was evident within the tumor parenchyma of the T $\beta$ RIIDN group. In contrast, tumors in animals who received treatments with GFP infected and naïve CD8<sup>+</sup> T cells did not exhibit tumor cells apoptosis within the tumor parenchyma. Large amounts of CD8<sup>+</sup> T cells exhibited apoptotic activity along the periphery of the tumor parenchyma.

Immunologists have studied properties of tumor cells and have recognized many factors that tumor cells have employed to inhibit the host immune system. These factors include soluble MHC class I chain-related (MIC

molecules [43–45], cytotoxic T lymphocyte antigen-4 (CTLA-4) [46], interleukin-13, CD4<sup>+</sup>CD25<sup>+</sup> regulatory T cells, and CD4<sup>+</sup> natural killer T cells [47]. TGF- $\beta$  has been recognized as one such tumor-derived immune

**Fig. 4.** Secretion of cytokines in tumors of different groups. **A:** A very high level of perforin is expressed in tumor tissue and corresponds to tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells that have effectively infiltrated into the tumor parenchyma. The merged image suggests that the overwhelming majority of perforin originated from tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells. Perforin was not expressed in naïve CD8<sup>+</sup> T cells and expressed very minimally in GFP-infected CD8<sup>+</sup> T cells. A marginally high level of IFN- $\gamma$  (**B**), IL-2 (**D**), and a moderately high level of nitric oxide (**C**) corresponding to tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells is expressed in the tumor tissue. IFN- $\gamma$ , IL-2, and nitric oxide appear to have originated primarily from tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells. The contribution of naïve and GFP-infected cells to IFN- $\gamma$ , IL-2, and nitric oxide expression appears to be negligible. **E:** Low levels of TNF- $\alpha$  expression corresponding to tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells are demonstrated in the tumor tissue. The majority of TNF- $\alpha$  expression appears to have originated from tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells. The contribution of naïve and GFP-infected CD8<sup>+</sup> T cells to TNF- $\alpha$  expression appears to be negligible. **F:** The relative contributions of the various cytokines were stratified according to different cell types.

suppressor [17]. Results of the present study suggest that TGF- $\beta$  is a very important tumor-derived immune suppressor. In addition to their ability to infiltrate into the tumor parenchyma, tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells are readily activated [48], conferring them robust anti-tumor effector function, as indicated by the production of relevant cytokines and widespread apoptosis in tumor cells.

Once the TGF- $\beta$ -insensitive cytotoxic T lymphocytes are allowed to infiltrate into the tumor parenchyma, these effector cells are able to launch powerful anti-tumor activities. Results of the present study indicated that large amounts of cytokines, including perforin, nitric oxide, IFN- $\gamma$ , IL-2, TNF- $\alpha$ , were detected in tumor tissue. The infiltrated tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells produce these relevant cytokines, which mediate the tumor-killing activities. Lysis of tumor cells can be mediated by perforin, IFN- $\gamma$ , IL-2, and nitric oxide [49]. The increased levels of IL-2 will also prolong persistence of transferred CD8<sup>+</sup> T cells [4]. Therefore, tumors challenged with tumor reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells will be induced to undergo apoptosis. In contrast, tumors in those animals who received adoptive transfer of naïve CD8<sup>+</sup> T cells or tumor-reactive control CD8<sup>+</sup> T cells, survived as these CD8<sup>+</sup> T cells were unable to infiltrate into the tumor parenchyma and were not allowed to interact with tumor cells. Without this "shield effect" of TGF- $\beta$ , we observed a large amount of CD8<sup>+</sup> T cells that were induced to undergo apoptosis outside the margin of the tumor sites in these latter groups of animals. While we have observed infiltration of tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells into the tumor parenchyma, we have also observed the occasional presence of other immune cells (CD4<sup>+</sup>, NK, B cells). In other treatment groups (those who received adoptive transfer of naïve CD8<sup>+</sup> T cells or tumor-reactive control CD8<sup>+</sup> T cells), these non-CD8<sup>+</sup> T immune cells were not observed in the tumor parenchyma. It is unclear whether these non-CD8<sup>+</sup> T cells infiltrated into the tumor parenchyma by a yet unknown mechanism or diffused into the tumor tissue alone with the tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells. Nevertheless, the presence of other immune cells in the tumor parenchyma suggests a possible interaction between tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells with other types of immune cells and warrants further investigation in the future.

In summary, adoptive transfer of tumor-reactive TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells resulted in infiltration of these immune cells into the tumor parenchyma, secretion of relevant cytokines, and induction of apoptosis in tumor cells. These results support the concept that TGF- $\beta$  is an important target in cancer immunotherapy.

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# Blockade of transforming growth factor- $\beta$ signaling in tumor-reactive CD8<sup>+</sup> T cells activates the antitumor immune response cycle

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## Abstract

Transforming growth factor- $\beta$  (TGF- $\beta$ ) is a potent immunosuppressant. Overproduction of TGF- $\beta$  by tumor cells leads to evasion of host immune surveillance and tumor progression. Results of our early studies showed that adoptive transfer of tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells into immunocompetent mice was able to eradicate lung metastasis of mouse prostate cancer. The present study was conducted with three objectives. (a) We tested if this technology could be applied to the treatment of solid xenograft tumors in allogeneic immunodeficient hosts. (b) We determined relevant variables in the tumor microenvironment with the treatment. (c) We tested if immune cells other than CD8<sup>+</sup> T cells were required for the antitumor effect. Mouse prostate cancer cells, TRAMP-C2 of the C57BL/6 strain, grown in immunodeficient allogeneic hosts of BALB/c strain, were used as a xenograft model. Tumor-reactive CD8<sup>+</sup> T cells from C57BL/6 mice were isolated, expanded *ex vivo*, and rendered insensitive to TGF- $\beta$  by introducing a dominant-negative TGF- $\beta$  type II receptor vector. Seven days

following s.c. injection of TRAMP-C2 cells ( $5 \times 10^5$ ) into the flank of male BALB/c-Rag1<sup>-/-</sup> mice, tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells ( $1.5 \times 10^7$ ) were transferred with and without the cotransfer of an equal number of CD8-depleted splenocytes from C57BL/6 donors. Naive CD8<sup>+</sup> T cells or green fluorescent protein-empty vector-transfected tumor-reactive CD8<sup>+</sup> T cells were transferred as controls. Forty days following the transfer, the average tumor weight in animals that received cotransfer of tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells and CD8-depleted splenocytes was at least 50% less than that in animals of all other groups ( $P < 0.05$ ). Tumors in animals of the former group showed a massive infiltration of CD8<sup>+</sup> T cells. This was associated with secretion of relevant cytokines, decreased tumor proliferation, reduced angiogenesis, and increased tumor apoptosis. Based on these results, we postulated a concept of antitumor immune response cycle in tumor immunology. [Mol Cancer Ther 2006;5(7):1-11]

## Introduction

Tumor immunology is characterized by an insufficient immune surveillance, as most tumors are able to evade the immune surveillance program of the host. Despite the ability of generating the reactivity of immune cells against tumor antigens, the immune surveillance program of the host can be overpowered by tumors with an eventual tumor progression (1). This is because tumor cells have acquired many mechanisms to evade the immune surveillance program of the host (2, 3). One of such possibilities has been the tumor-derived transforming growth factor- $\beta$  (TGF- $\beta$ ), which is highly immunosuppressive (3–6). Most tumors secrete large amounts of TGF- $\beta$  (7–9). TGF- $\beta$ -producing tumor cells fail to elicit primary CTL responses despite retaining class I MHC expression molecules and tumor-specific antigens (4). Priming of T cells by dendritic cells or tumor cells can also be negatively influenced by TGF- $\beta$  (10). Therefore, an ideal approach to activate the antitumor response will be to render the immune cells of the host insensitive to TGF- $\beta$ .

In 2001, Gorelik and Flavell disrupted TGF- $\beta$  signaling in CD4<sup>+</sup> and CD8<sup>+</sup> T cells through the transgenic expression of a truncated dominant-negative TGF- $\beta$  type II receptor (T $\beta$ RIIDN). Adoptive transfer of these T cells allowed the generation of an immune response capable of inhibiting metastasis in mice challenged with murine thymoma EL-4 and melanoma B16 cells (11). In 2002, we reported the inhibition of metastasis of mouse prostate cancer TRAMP-C2 and mouse melanoma B16 by transplanting TGF- $\beta$ -insensitive bone marrow cells into mice (12, 13). In all studies described above, although antitumor response

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was encouraging, the nonspecific nature of the immune reaction led to the widespread inflammatory disease in the hosts (12). Most recently, we employed adoptive transfer of tumor-specific TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells to tumor-bearing immunocompetent mice and were able to eradicate established lung metastasis of TRAMP-C2 tumors (14, 15). This approach showed no apparent development of the widespread inflammatory syndrome in the recipients and therefore offers a possibility for clinical application.

Although the above initial observations are encouraging, further characterization of this novel approach is necessary. (a) We would like to know if the system could be applied to the treatment of solid tumors. (b) We also would like to determine if this approach can be used to test antitumor efficacy in allogeneic hosts, so that we will be able to test clinical specimens in immunodeficient surrogate animals. (c) We would like to identify the major players in the current system of adoptive transfer of tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells for cancer therapy. In the present study, we employed the above approach to investigate the ability of tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells on primary solid tumors using the allogeneic immunodeficient mice as a surrogate host. Here, we report solid tumor response, alteration of tumor microenvironment, and systemic and local cytokine response and postulate the concept of an antitumor immune response cycle.

## Materials and Methods

### Experimental Animal and Cell Lines

Male BALB/c-Rag1<sup>-/-</sup> strain Rag1 mice 6 to 8 weeks old were purchased from The Jackson Laboratory (Bar Harbor, ME). Mice were maintained in pathogen-free facilities at the Center for Comparative Medicine at Northwestern University Feinberg School of Medicine in accordance with established guidelines of the Animal Care and Use Committee of Northwestern University. TRAMP-C2 is an early-passage androgen-independent prostate cancer cell line derived from TRAMP mouse (C57BL/6 strain) that developed prostate cancer due to its prostate-specific expression of just SV40 T antigen that drives the prostate cancer development in that model (16). The mouse melanoma cell line B16-F10 was obtained from the American Type Culture Collection. Both cell lines were cultured in RPMI 1640 (Life Technologies, Rockville, MD) supplemented with 10% heat-inactivated fetal bovine serum, 100 units/mL penicillin, and 100  $\mu$ g/mL streptomycin (Invitrogen, Carlsbad, CA).

### Ex vivo Expansion of Tumor-Reactive, TGF- $\beta$ -Insensitive CD8<sup>+</sup> T Cells

Primed tumor-reactive CD8<sup>+</sup> T cells were isolated from C57BL/6 mice that were vaccinated five times each with irradiated TRAMP-C2 cells ( $5 \times 10^6$  per mice per injection). The *ex vivo* culture was done as described previously (14). Tumor-reactive CD8<sup>+</sup> T cells were rendered insensitive to TGF- $\beta$  by infection with T $\beta$ RIIDN-green fluorescent protein (GFP)-containing retrovirus as described previously (12, 13). Infection efficiency was assessed by GFP expres-

sion and flow cytometry and was always >90%. Naive spleen cells were isolated from the C57BL/6 mice and the depletion of CD8<sup>+</sup> T cells was done by using MagCelect Magnet apparatus (R&D Systems, Minneapolis, MN) with a biotinylated antimouse CD8 $\alpha$  antibody and MagCelect streptavidin ferrofluid (R&D Systems) according to the manufacturer's protocol. *In vitro* cytotoxic assay was done by <sup>51</sup>Cr release assay as described previously (13).

### Challenge of the Mouse Prostate Cancer and Adoptive Transfer of CD8<sup>+</sup> T Cells

BALB/c-Rag1<sup>-/-</sup> mice received an injection in the right flank with  $5 \times 10^5$  TRAMP-C2 cells. Seven days later, adoptive transfer with CD8<sup>+</sup> T cells was done. Each group (5–12 mice per group) received *i.p.* transfer of one of the following six groups of CD8<sup>+</sup> T cells ( $1.5 \times 10^7$ ) with or without the same amount of naive CD8-depleted splenocytes. In group 1 (12 mice) and group 2 (10 mice), tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells were transferred with or without CD8-depleted splenocytes, respectively. Group 3 (10 mice) and group 4 (10 mice) received tumor-reactive, TGF- $\beta$ -sensitive CD8<sup>+</sup> T cells infected with or without the cotransfer of CD8-depleted splenocytes, respectively. Group 5 (10 mice) and group 6 (5 mice) received naive CD8<sup>+</sup> T cells with or without cotransfer of CD8-depleted splenocytes, respectively. Tumor size was measured weekly. Forty days after the adoptive transfer, all mice were sacrificed and the tumors were isolated for evaluation of the volume, weight, and histologic characteristics. Tumor volumes were estimated using the formula: volume =  $0.5 \times [(\text{length} + \text{width}) \times \text{length} \times \text{width}] \times 0.5236$ .

### Determination of Interleukin-2 and IFN- $\gamma$ in Serum by ELISA

Blood was extracted from a central artery of mice from each treatment group. The supernatant was separated by allowing the whole blood to stand at room temperature for 2 hours. ELISA assays were carried out using the Quantikine mouse interleukin-2 (IL-2) and IFN- $\gamma$  immunoassay kits (R&D Systems) according to the manufacturer's protocol. Expression of cytokines in tumor parenchyma was evaluated by an immunofluorescent approach as discussed below.

### Pathologic Evaluation and Immunohistochemical Staining

After mice were euthanized, the tumor from each animal was excised, fixed in formalin, and embedded in paraffin. Sections (4  $\mu$ m) were obtained. Routine H&E staining was done on every fifth serial section. All H&E sections were evaluated by at least two independent investigators. CD31, Ki-67, and Bcl-2 were used for immunohistochemical staining in conjunction with the Vectastain ABC kit (Vector Laboratories, Burlingame, CA) according to the manufacturer's protocol. After deparaffinization, quenching of endogenous peroxidase activity, and normal serum pre-blocking, the sections were incubated in either diluted mouse monoclonal antibody against CD31 (1:100; Upstate, Lake Placid, NY), Ki-67 (1:200; DAKO, Carpinteria, CA), or Bcl-2 (1:100; Upstate) for 2 hours at room temperature.

This was followed by incubation with biotinylated goat anti-mouse secondary antibody (1:200; Vector Laboratories) for 2 hours. Peroxidase substrate solution 3,3'-diaminobenzidine (DAKO) was used for direct staining. Harris hematoxylin solution was used for counterstaining.

#### Immunofluorescent Staining and Apoptosis Assay

Unstained paraffin-embedded serial sections of spleen and tumor were used for immunofluorescent staining to detect presence of transferred CD8<sup>+</sup> T cells in spleen tissue (nuclear-CD8<sup>+</sup>-GFP protein triple staining) and tumor nodules [nuclear-CD8<sup>+</sup>-terminal deoxynucleotidyl transferase-mediated dUTP nick end labeling (TUNEL) triple staining]. Nuclear-IFN- $\gamma$ /IL-2 double staining was also done on these sections to analyze secretion of cytokines in tumor parenchyma. The primary and secondary antibodies were obtained from Santa Cruz Biotechnology (Santa Cruz, CA). Immunofluorescent costaining was done by using the assay as described previously (12–14). The TUNEL apoptosis assay kit (Upstate) was used according to the manufacturer's protocol. Briefly, slides were treated with proteinase K for 30 minutes at 37°C and incubated with a terminal deoxynucleotidyl transferase end labeling cocktail (terminal deoxynucleotidyl transferase buffer, biotin-dUTP, and terminal deoxynucleotidyl transferase at a ratio of 90:5:5) for 120 minutes at 37°C. This was followed by overlaying an avidin-FITC (green) solution (50  $\mu$ L) and incubated in the dark for 60 minutes at 37°C. Slides were then incubated with 50  $\mu$ L blocking buffer at room temperature for 20 minutes followed by a rat monoclonal antibody for CD8 labeled with Texas red (2  $\mu$ g/mL; Santa Cruz Biotechnology) in the dark for 30 minutes at room temperature. All slides were stained with Vectashield mounting medium (blue; Vector Laboratories) for nuclear counterstaining. Slides were examined with a Nikon TE2000-U fluorescent microscope (Nikon Corp., Tokyo, Japan). Images were digitized by Photoshop 7.0. The intensity of the fluorescent signal was standardized by the standard fluorescent index (positive lymphocytes or signal/100 tumor cells/1,000  $\mu$ m<sup>2</sup>: -, <5;  $\pm$ , 6–10; +, 11–30; ++, 31–50; +++, 51–70; +++++, >70).

#### Statistical Methods

ANOVA and multiple range tests were done to determine differences of means among different treatment groups.  $P < 0.05$  was considered statistically significant. SPSS 10.0.7 software package (SPSS, Inc., Chicago, IL) was used for analysis.

## Results

### Reduced Tumor Burden in Allogeneic Immunodeficient Hosts

**Q4** The specific tumor-killing ability of the tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells was shown by the *in vitro* CTL assay (Fig. 1A). These cells showed a 5- and 25-fold greater tumor-killing activity than the TGF- $\beta$ -sensitive counterparts and naive CD8<sup>+</sup> T cells, respectively. Both TGF- $\beta$ -sensitive and TGF- $\beta$ -insensitive tumor-reactive CD8<sup>+</sup> T cells showed a reduced tumor-killing activity

when incubated with an irrelevant cell line, mouse B16-F10 melanoma cells (Fig. 1B). In the group treated with cotransfer of tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells and CD8-depleted splenocytes, 2 of 12 mice were free of tumor, and the tumor burden in the remaining 10 mice was 50% less than that of animals in other groups ( $P < 0.05$ ; Fig. 1C and E). The average tumor volumes and tumor weights were not significantly different within the other five groups. In the group treated with the tumor-reactive, TGF- $\beta$ -insensitive (T $\beta$ RIIDN) CD8<sup>+</sup> T cells with or without the cotransfer of CD8-depleted splenocytes (group 2), the tumor burden was not significantly different from that of wild-type tumor-reactive CD8<sup>+</sup> T cells or naive groups with or without the cotransfer of CD8-depleted splenocytes (groups 3–6). Furthermore, tumor growth rate curves were generated and shown in Fig. 1F based on the tumor volume measurement weekly. The tumor growth rates correspond to the final tumor volumes (Fig. 1C and D) in each group. The tumor growth rate was significantly inhibited by treatment of TGF- $\beta$ -insensitive (T $\beta$ RIIDN) CD8<sup>+</sup> T cells with the cotransfer of CD8-depleted splenocytes (group 1) when compared with other five groups. The data would suggest that the transfer of CD8-depleted splenocytes improves the efficacy of adoptive transfer with the modified CD8<sup>+</sup> T cells.

### Adoptively Transferred Tumor-Reactive, TGF- $\beta$ -Insensitive CD8<sup>+</sup> T Cells Persisted in the Spleen of the Host

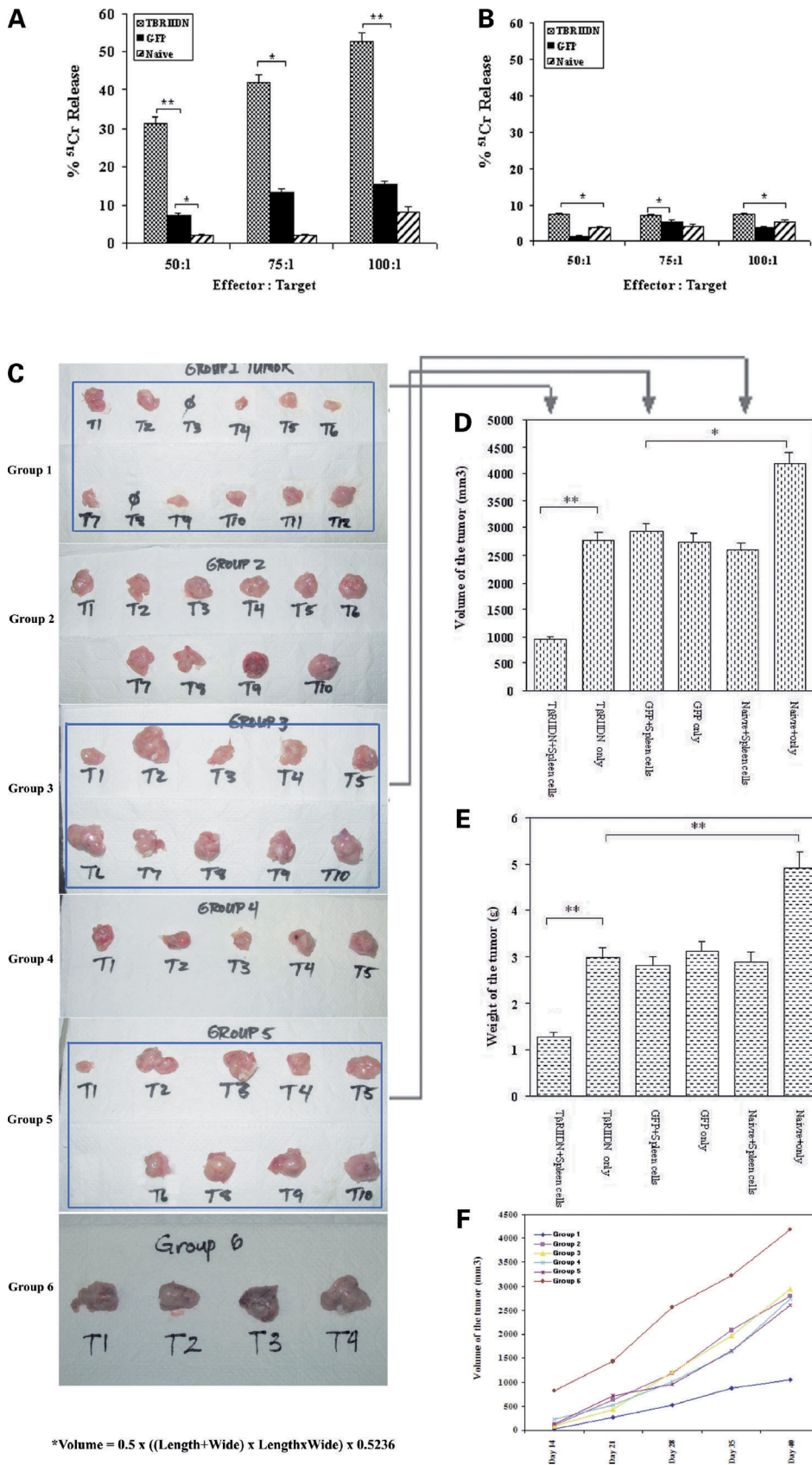
Adoptively transferred CD8<sup>+</sup> T cells were detected in the spleen of recipient animals (Fig. 2A and B), suggesting that these CD8<sup>+</sup> T cells were able to persist in recipient hosts at least at the time of sacrifice, which was 40 days since the initial adoptive transfer. In contrast, in animals that received the wild-type tumor-reactive CD8<sup>+</sup> T cells or naive CD8<sup>+</sup> T cells with or without the cotransfer of CD8-depleted splenocytes (groups 2–6), only occasional CD8<sup>+</sup> T cells were detected in the spleen (Fig. 2A and B). These results suggested that, in immunodeficient mice (Rag1<sup>-/-</sup>), cotransfer of wild-type CD8<sup>+</sup> T cells and CD8-depleted splenocytes was unable to manifest an engraftment of transferred cells in the recipients unless tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells were cotransferred with the CD8-depleted splenocytes.

### Drastic Histologic Changes in Tumor Tissues (H&E, Ki-67, Bcl-2, and CD31 Staining)

Three main histologic features in tumors of animals in group 1 differed from those of the other five groups (Fig. 3; Table 1). (a) The tumors in mice that received cotransfer of tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells and CD8-depleted splenocytes (group 1) had heavy infiltration of lymphocytes into the tumor parenchyma. (b) There was a significant increase of spindle-shaped cells, suggesting degeneration of cancer cells. (c) There was a significantly less number of mitosis (0.5 versus 3–5 per  $\times 400$  field). These findings are consistent with the immunohistochemical staining for Ki-67. As shown in Fig. 3, most tumor cells (>90%) in animals of groups 2 to 5 stained strongly with Ki-67 and Bcl-2. In sharp contrast, the degenerative tumor cells in mice of group 1 showed far less intensity and

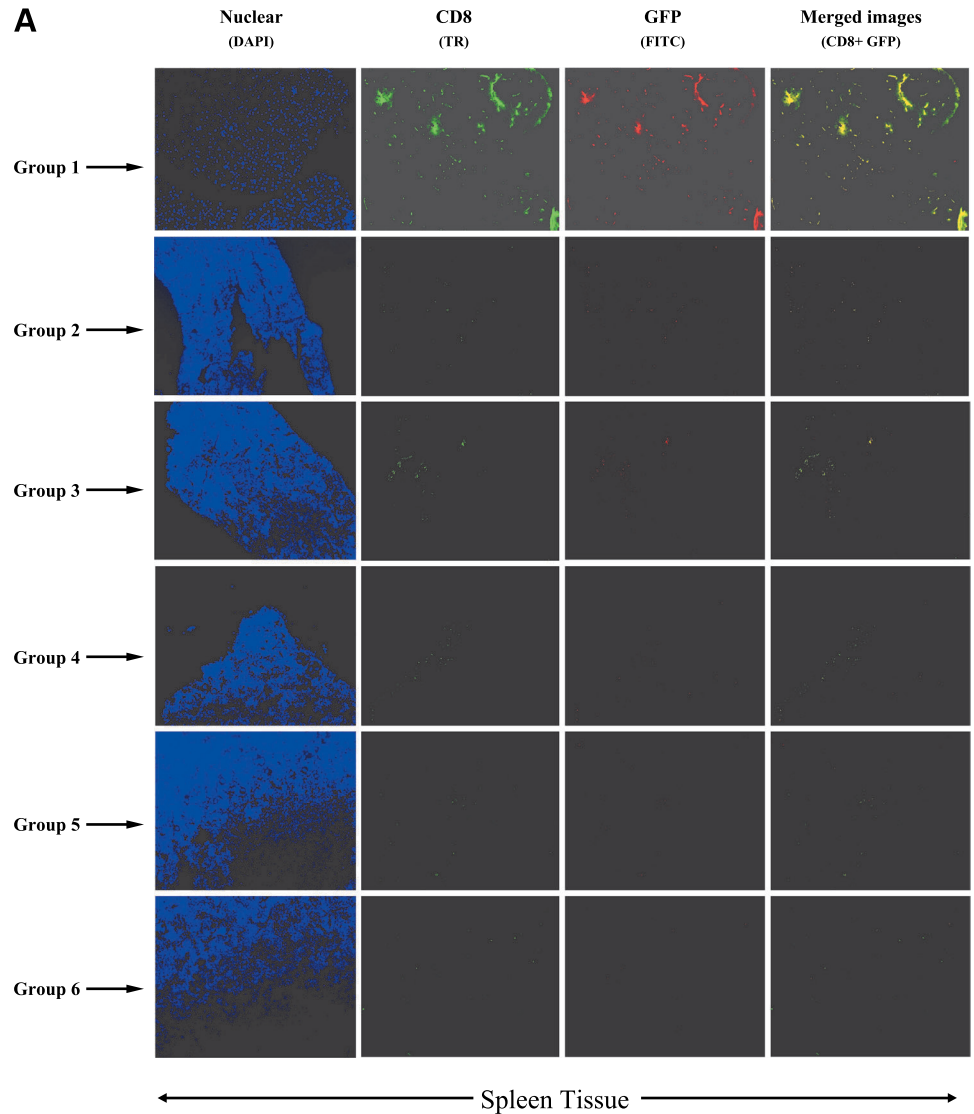


#### 4 TGF- $\beta$ Signaling in Tumor-Reactive CD8<sup>+</sup> T Cells



**Figure 1.** *In vivo* antitumor activity of tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells. Three types of CD8<sup>+</sup> T cells were used for *in vitro* chromium release assay: naive CD8<sup>+</sup> T cells from untreated C57BL/6 mice (*Naive*), tumor-reactive control CD8<sup>+</sup> T cells (*GFP*), and tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells (*T $\beta$ RIIDN*). **A**, TRAMP-C2 mouse prostate cancer cells were used as the targets. **B**, B16-F10 mouse melanoma cells were used as targets. *Columns*, average observation obtained from eight wells; *bars*, SD.  $P < 0.05$ , T $\beta$ RIIDN CD8<sup>+</sup> T cells versus the GFP and naive CD8<sup>+</sup> T cells. Six groups of CD8<sup>+</sup> T cells ( $1.5 \times 10^7$ ) with or without the same amount of naive CD8<sup>+</sup> T cell-depleted spleen cells were used. In groups 1 and 2, tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells infected with the T $\beta$ RIIDN-GFP viral particles were transferred with or without CD8<sup>+</sup> depletion spleen cells, respectively. In groups 3 and 4, tumor-reactive, TGF- $\beta$ -sensitive CD8<sup>+</sup> T cells were infected with the GFP viral particles only with or without the cotransfer of CD8<sup>+</sup>-depleted spleen cells, respectively. In groups 5 and 6, naive CD8<sup>+</sup> T cells were adoptively transferred with or without cotransfer of CD8<sup>+</sup>-depleted spleen cells, respectively. TRAMP-C2 cells ( $5 \times 10^5$ ) were challenged to recipient mice. At 7 d following tumor challenge, the different subtypes of CD8<sup>+</sup> T cells were transferred through i.p. injection with or without cotransfer of spleen cells. Animals were sacrificed at 40 d following the adoptive transfer. **C**, representative gross features of prostate cancer samples from tumor-bearing mice at 40 d following adoptive transfer. **D**, weight of the tumor of each group. **E**, volume of the tumor of each group. T $\beta$ RIIDN-treated mice completely abolished tumors in 2 mice, with the remaining 10 bearing the smallest and lightest tumor burden.  $P < 0.05$ , T $\beta$ RIIDN + spleen group versus the GFP group, naive group, and T $\beta$ RIIDN only group in both weight and volume. Furthermore, tumor growth rate was inhibited significantly in T $\beta$ RIIDN-treated mice with cotransfer of CD8-depleted splenocytes. **F**, curve was generated based on the tumor volume measurement weekly. The tumor growth rate is corresponding to the final tumor volumes (**C** and **D**) in each group.

**Figure 2.** Infiltration of lymphocytes into the spleen tissue. **A**, in contrast to GFP-infected and naive CD8<sup>+</sup> T cells, only TβRIIDN + spleen group CD8<sup>+</sup> T cells effectively infiltrated into the spleen tissue. **B**, CD8<sup>+</sup> T-cell infiltration in spleen tissue was described as a standard fluorescent index (positive signal/1,000 spleen cells/1,000 μm<sup>2</sup>: -, <5; ±, 6-10; +, 11-30; ++, 31-50; + + +, 51-70; + + + +, >70).



**B**

Infiltration of CD8<sup>+</sup> T cells into spleen tissue:

	Group 1	Group 2	Group 3	Group 4	Group 5	Group 6
CD8 <sup>+</sup> T cells Infiltration	++++	+	±	±	±	±

• For spleen tissue: positive signal/1000 spleen cells/1000 μm<sup>2</sup>: -, <5; ±, 6-10; +, 11-30; ++, 31-50; +++: 51-70; + + + +: >70

density of the same markers. For CD31, as illustrated in Fig. 3, tumors from animals in groups 2 to 5 contained significantly more CD31<sup>+</sup> cells than those from animals in group 1. Quantitative analysis revealed that the microvessel densities (CD31<sup>+</sup>) in tumors of animals in groups 1 to 6 were 26 ± 8/mm<sup>2</sup>, 177 ± 37/mm<sup>2</sup>, 154 ± 45/mm<sup>2</sup>, 196 ± 22/mm<sup>2</sup>, and 164 ± 41/mm<sup>2</sup>, and 121 ± 28/mm<sup>2</sup>, respectively (Table 1). These observations are consistent with the results of the TUNEL assay (Fig. 3). Results of immunohistochemical staining for Bcl-2 showed scant

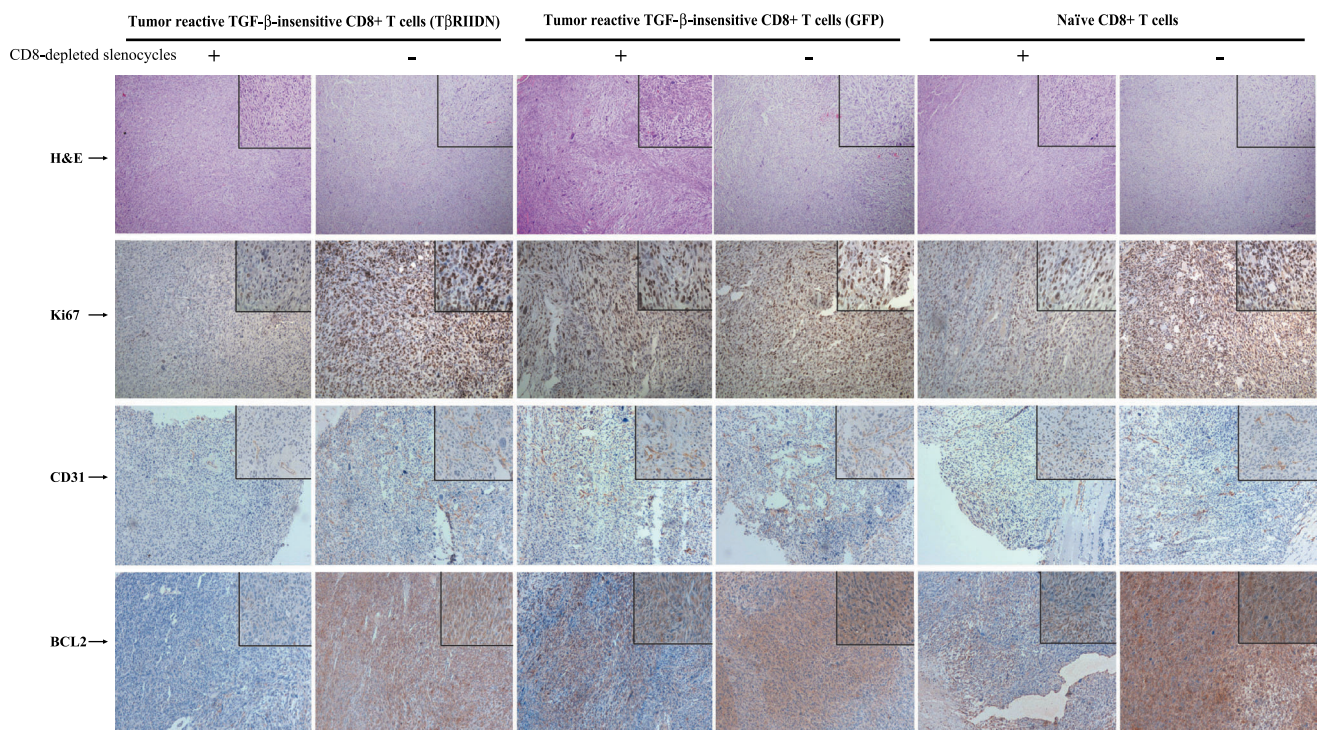
staining in tumors of animals in group 1 in comparison with that in tumors of groups 2 to 5, which stained strongly for Bcl-2. A quantitative summary of expression of H&E, Ki-67, CD31, and Bcl-2 is listed in Table 1.

**Infiltration of CD8<sup>+</sup> T Cells Into the Tumor Parenchyma and Induced Tumor Cells Apoptosis**

The most prominent histologic feature of the tumor tissue in this study was the evidence of infiltration of many tumor-reactive, TGF-β-insensitive (GFP<sup>+</sup>) CD8<sup>+</sup> T cells with concomitant apoptosis in tumor tissues in animals of



## 6 TGF- $\beta$ Signaling in Tumor-Reactive CD8<sup>+</sup> T Cells



**Figure 3.** Histologic evaluation of the tumor. H&E staining in the tumors showed that mice that received adoptively transferred tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells and spleen cells (T $\beta$ RIIDN + spleen) had heavy lymphocytic infiltrates into tumor parenchyma compared with the other five groups. This group also showed a significant increase in cell spindling and degenerative appearance. There were significantly lower measurable mitoses (0.5 in average per  $\times 400$  field) than the other groups (3–5 per  $\times 400$  field). This finding corresponded to the immunohistochemical staining for Ki-67. Most cells (>90%) in tumors of GFP, naive groups, and T $\beta$ RIIDN only treatment group stained strongly for Ki-67 and Bcl-2. The intensity and density of the staining was much weaker in the degenerating tumors in mice that received tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T and spleen cells. CD31 staining in tumors from GFP, naive, and T $\beta$ RIIDN only treatment groups was significantly more intense than in tumors from T $\beta$ RIIDN + spleen group.

group 1 (Fig. 4A and B). The degree of infiltration by different types of lymphocytes was evaluated by the standard fluorescent index, which corresponded to the fluorescent intensity criterion (positive lymphocytes/100 tumor cells/1,000  $\mu\text{m}^2$ : –, <5;  $\pm$ , 6–10; +, 11–30; ++, 31–50;

+++ , 51–70; +++++, >70; Fig. 4A). Almost all of these infiltrated CD8<sup>+</sup> T cells were GFP<sup>+</sup>, consistent with the knowledge that all these CD8<sup>+</sup> T cells were adoptively transferred. Results of the TUNEL assay revealed that apoptosis in tumor cells was detected only in animals of

**Table 1. Characteristics of histologic finding**

	Tumor-reactive, TGF- $\beta$ -insensitive CD8 <sup>+</sup> T cells (T $\beta$ RIIDN)		Tumor-reactive, TGF- $\beta$ -sensitive CD8 <sup>+</sup> T cells (GFP)		Naive CD8 <sup>+</sup> T cells	
	+	–	+	–	+	–
CD8-depleted splenocytes*	+	–	+	–	+	–
Mitosis <sup>†</sup>	0.5 $\pm$ 0.12	3.5 $\pm$ 0.67	4.1 $\pm$ 1.41	3.5 $\pm$ 1.21	4.6 $\pm$ 0.87	5.1 $\pm$ 2.1
Degeneration of cancer cells	Yes	No	No	No	No	No
Infiltration of lymphocytes	Yes	No	No	No	No	No
Spindly change of cancer cells	Yes	No	No	No	No	No
Ki-67 <sup>‡</sup>	+	+++	+++	+++	+++	+++
CD31 <sup>§</sup>	26 $\pm$ 8	177 $\pm$ 37	154 $\pm$ 45	196 $\pm$ 22	164 $\pm$ 41	121 $\pm$ 28
Bcl-2 <sup>‡</sup>	+	+++	+++	+++	+++	+++

NOTE: Quantitative analysis revealed that the microvessel densities in tumors of T $\beta$ RIIDN + spleen, T $\beta$ RIIDN only, GFP + spleen, GFP only, naive + spleen, naive only were 26  $\pm$  8/mm<sup>2</sup>, 177  $\pm$  37/mm<sup>2</sup>, 154  $\pm$  45/mm<sup>2</sup>, 196  $\pm$  22/mm<sup>2</sup>, 164  $\pm$  41/mm<sup>2</sup>, and 121  $\pm$  28/mm<sup>2</sup> respectively. In addition, immunohistochemical staining for Bcl-2 showed the least intense staining in T $\beta$ RIIDN + spleen group. The characteristics of H&E and quantitative expression of Ki-67, Bcl-2, and CD31 of different groups are listed.

\*Large-sized window is with a magnification of  $\times 100$ ; small-sized window is with a magnification of  $\times 400$ .

<sup>†</sup>Mitosis was expressed by the number of mitosis for each  $\times 400$  field.

<sup>‡</sup>Evaluation of the staining of Ki-67 and Bcl-2:  $\pm$ , < 5%; +, 5–30%; ++, 30–50%; +++, > 50%.

<sup>§</sup>Quantitative analysis of CD31 was evaluated by microvessel density per mm<sup>2</sup>.

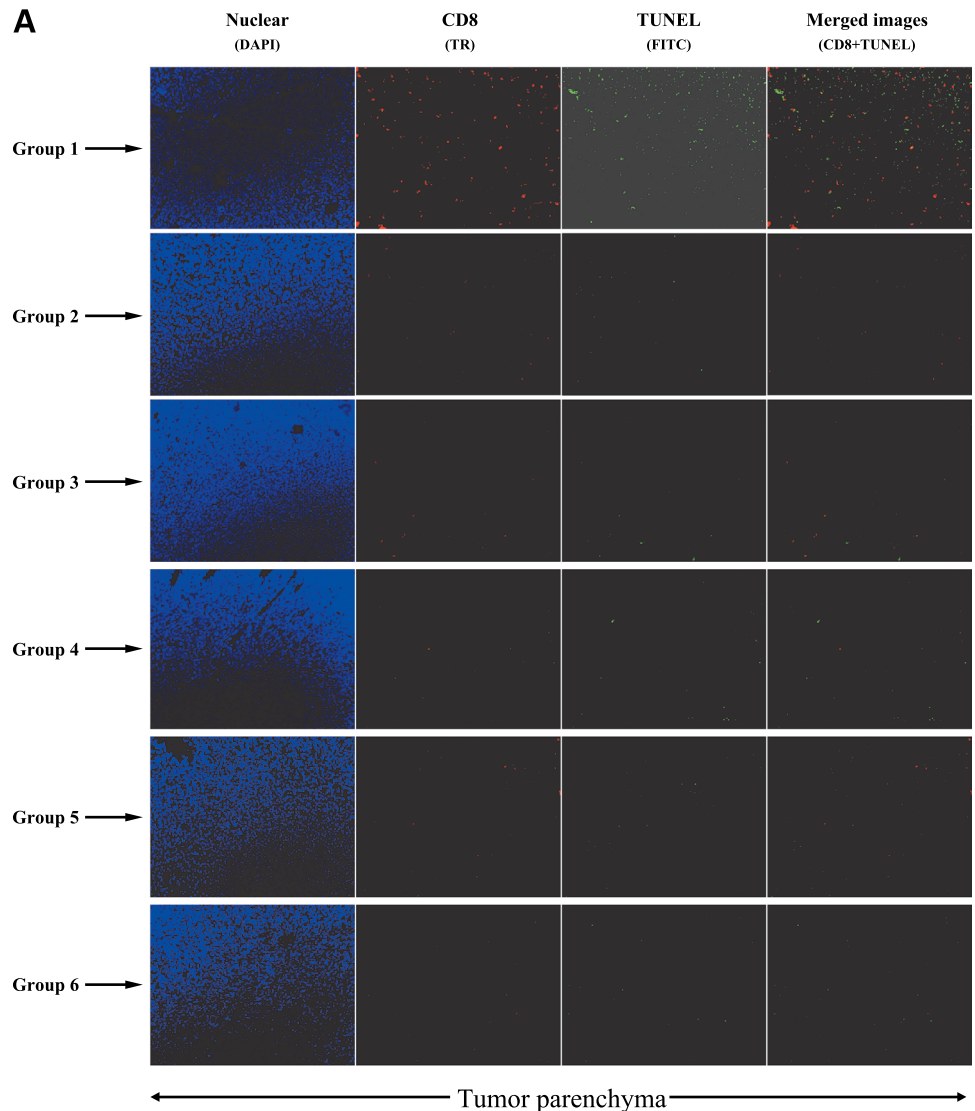
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group 1. Tumors in the animals of the other five groups showed little or no infiltration of CD8<sup>+</sup> T cells and showed no evidence of apoptosis in tumor cells.

#### Up-Regulation of Systemic and Local Levels of IFN- $\gamma$ and IL-2

An increase in serum level of IL-2 and IFN- $\gamma$  (Fig. 5A and B) was observed in animals cotransferred with tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells and CD8-

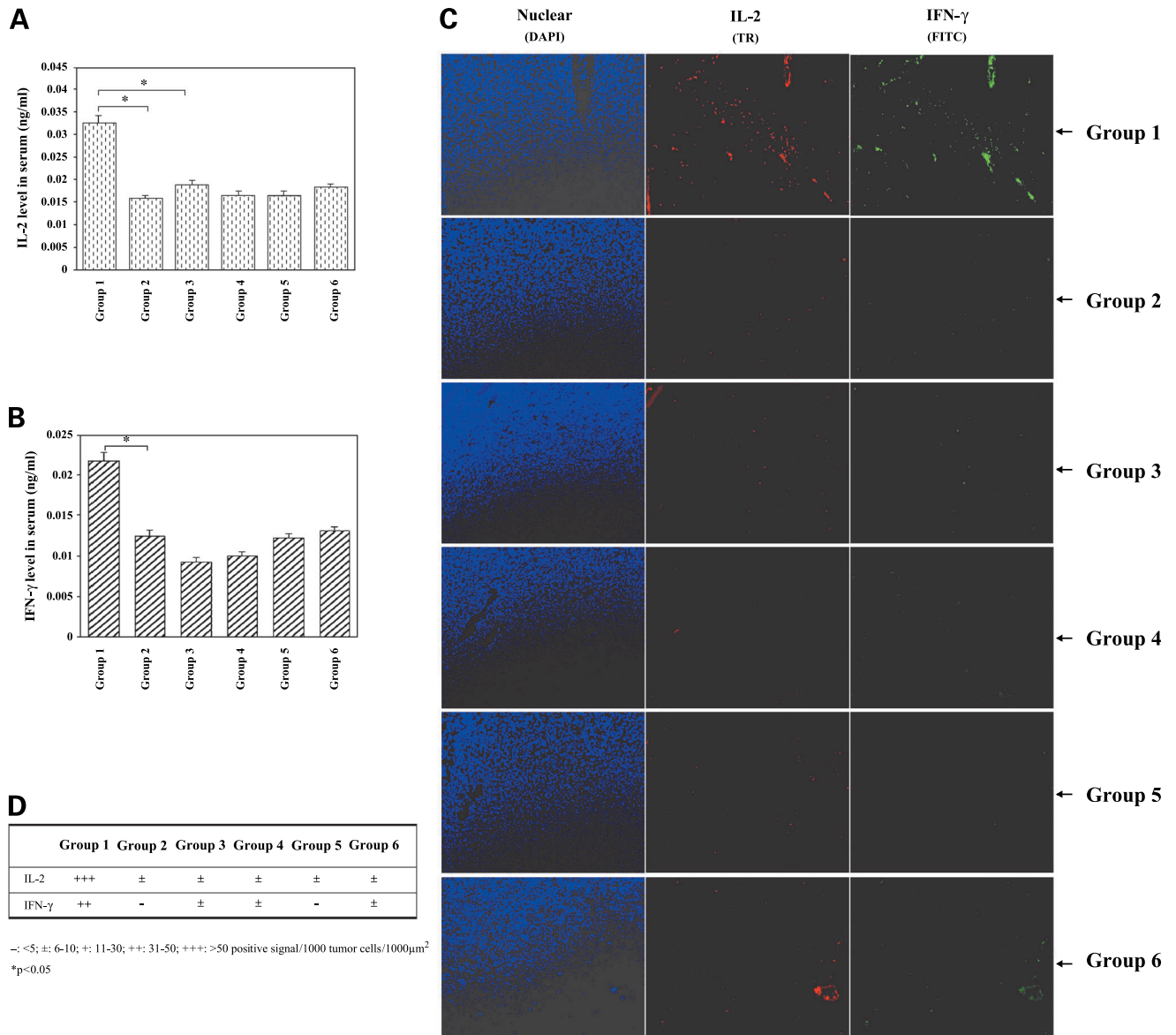
depleted splenocytes (group 1), suggesting the presence of activated immune cells. Increased levels of IFN- $\gamma$  and IL-2 were also noted by immunofluorescent analysis in the tumor parenchyma, which correlated with serum levels (Fig. 5C). IFN- $\gamma$  and IL-2 was localized around CD8<sup>+</sup> cells, which imply that these cytokines were produced by these immune cells. In comparison, IFN- $\gamma$  and IL-2 expression in tumors of animals in other five



**Figure 4.** Infiltration of lymphocytes into tumor parenchyma and apoptosis of tumor cells. **A**, representative tissue sections were simultaneously stained for cell nucleus (blue), CD8<sup>+</sup> T cells (red), and apoptosis (green). The tumor site was identified by the nuclear staining (blue). CD8<sup>+</sup> T cells were localized in the tumor parenchyma that also stained for tumor apoptosis (green) in the T $\beta$ RIIDN + spleen group. The majority of the apoptotic cells were tumor cells (green) and not CD8<sup>+</sup> T cells (yellow). Magnification,  $\times$  400. In contrast, tumors in animals that received CD8<sup>+</sup> cells that were either naive, GFP alone, or treated with T $\beta$ RIIDN only did not exhibit significant infiltration of CD8<sup>+</sup> T cells or tumor cell apoptosis within the tumor parenchyma. In contrast to GFP-infected and naive CD8<sup>+</sup> T cells, only T $\beta$ RIIDN + spleen group CD8<sup>+</sup> T cells effectively infiltrated into both tumor parenchyma. **B**, quantitative analysis CD8<sup>+</sup> T cell infiltration. **C**, TUNEL assay of tumor tissue.

■ For tumor tissue: positive signal/1000 tumor cells/1000  $\mu$ m<sup>2</sup>: -: <5; ±: 6-10; +: 11-30; ++: 31-50; +++: 51-70; ++++: >70  
 \* $p$ <0.05; \*\* $p$ <0.005; \*\*\* $p$ <0.001





**Figure 5.** Secretion of cytokines in tumor of different groups. In animals that received adoptive transfer with or without cotransfer of spleen cells, there was a baseline level of IL-2 and IFN-γ. Increased serum levels of IL-2 (**A**) and IFN-γ (**B**) were observed in mice adoptively transferred with tumor-reactive CD8<sup>+</sup> T cells that were rendered insensitive to TGF-β (TβRIIDN + spleen cells group) compared with mice in the control groups [naive CD8<sup>+</sup> T cells or tumor-reactive control CD8<sup>+</sup> T cells (GFP group)]. IFN-γ and IL-2 expression from the GFP group, naive CD8<sup>+</sup> T cell group, and TβRIIDN only group was significantly lower than the treatment group. Local levels of IFN-γ and IL-2 were evaluated by immunofluorescent staining. **C**, high levels of IFN-γ and IL-2 staining were observed in tumor tissue in the TβRIIDN + spleen group compared with all other groups. **D**, cytokine expression was described as a standard fluorescent index (positive signal/1,000 tumor cells/1,000 μm<sup>2</sup>: -, <5; ±, 6-10; +, 11-30; ++, 31-50; +++, 51-70; +++++, >70).

groups (groups 2-6) was negligible. A summary of cytokine expression was described as a standard fluorescent index listed in Fig. 5D.

### Discussion

Results of the present study have provided three important pieces of information. (a) Our results have shown that it is feasible to use immunodeficient allogeneic mice as sur-

rogate hosts for the treatment of xenograft tumors by adoptive transfer of tumor-reactive, TGF-β-insensitive autologous CD8<sup>+</sup> T cells. (b) We have indicated that the present treatment protocol created a tumor microenvironment that favorably eliminated the s.c. solid tumors. (c) We showed that the adoptively transferred tumor-reactive, TGF-β-insensitive CD8<sup>+</sup> T cells alone were insufficient for an antitumor response unless they are supported by other immune cells.

By using an allogeneic system, it is necessary for us to determine if graft-versus-host disease develops. Based on our results, it does not seem that within the timeline of this study there was any evidence of graft-versus-host disease. In the present study, the cotransferred CD8-depleted splenocytes seemed necessary to assist the tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells to acquire the antitumor effector function. The cotransfer of CD8-depleted splenocytes was important, as CD8<sup>+</sup> T cells are cytotoxic effector cells, which possess the ability to mediate apoptosis of target cells in the host. Had we cotransferred splenocytes that contained CD8<sup>+</sup> T cells, these CD8<sup>+</sup> T cells, on activation, would mediate apoptosis of the nontumor cells of the hosts, leading to autoimmune disease. Such examples are abundant in the literature. Our own experience has indicated that transfer of non-tumor-specific CD8<sup>+</sup> T cells to recipients will lead to widespread inflammatory disease (12, 13). In the present study, the situation is more critical than the syngeneic systems, as the recipient is allogeneic to the transferred CD8<sup>+</sup> T cells. Therefore, it is important that when we cotransfer splenocytes they must be CD8 depleted. Similarly, transfer of naive splenocytes will not be feasible, as they include CD8<sup>+</sup> T cells.

In the normal prostate, TGF- $\beta$ 1 signaling inhibits cell growth and induces apoptosis in epithelial cells (17, 18) and thus serves as a tumor suppressor. In prostate cancer, TGF- $\beta$  promotes progression of advanced tumors through several paracrine and autocrine mechanisms (19). TGF- $\beta$  secreted by tumor cells can facilitate tumor progression through stimulating proteins, such as vascular endothelial growth factor. TGF- $\beta$  also induces expression of platelet-derived growth factor, connective tissue growth factor, and matrix metalloproteinases, all of which create conditions favorable for tumor invasion and angiogenesis (20). Further, TGF- $\beta$ , being a potent immune suppressor, inhibits the immune system and facilitates tumor progression (4, 5, 21). The crucial role of TGF- $\beta$  in maintaining immune system homeostasis is highlighted by the multifocal inflammatory disease that results from the genetic disruption of the TGF- $\beta$ 1 allele in transgenic mice (22, 23). Conditional elimination of TGF- $\beta$  signaling in T cells (24) or in bone marrow cells (25) results in a widespread inflammatory response.

In the present study, tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells mediated complete regression of established solid tumors in 2 of 12 (16.7%) mice and inhibited >50% of the tumor burden in the remaining animals. This effect may be due to a reversal of the tumor-promoting microenvironment, which warrants further discussion. (a) Although tumor-derived TGF- $\beta$  suppresses a variety of immune cells, we found that suppression of CTLs by TGF- $\beta$  was the most critical (20). There was an 8-fold increase in tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells that migrated into spleen tissue and the tumor parenchyma compared with those in control groups. This phenomenon was confirmed, in the present study, by the histologic analysis of spleen and tumor specimens. (b) The transferred tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells induced systemic expression of

IL-2 and IFN- $\gamma$ . It is likely that the up-regulation of these cytokines significantly enhanced the tumor-killing ability. (c) The present treatment protocol resulted in an inhibition of tumor cell proliferation as indicated by a decrease in Ki-67 staining intensity. This observation is consistent with the study of lung metastasis in which proliferating cell nuclear antigen expression was inhibited by the infiltration of tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells. (d) The present result indicated that TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells played a negative role in tumor angiogenesis (14). Angiogenesis is an important prognostic factor in cancer survival (26, 27). An increase in small vessels, as assessed by CD31 staining, correlated with lymph node involvement and was an independent predictor of survival in cancer patients. Various studies have highlighted the importance of CD8<sup>+</sup> T cell, IL-2, and IFN- $\gamma$  expression in inhibiting metastasis through blocking tumor angiogenesis (28–33). Our results showed that tumors in mice cotransferred with tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells and CD8-depleted splenocytes were poorly vascularized. (e) Adoptive transfer of tumor-reactive wild-type CD8<sup>+</sup> T cells could not effectively inhibit tumor growth regardless whether they were cotransferred with CD8-depleted splenocytes. Because these CD8<sup>+</sup> T cells are the same as the conventional tumor-reactive CD8<sup>+</sup> T cells (34, 35), this observation suggests that, in the face of high levels of tumor-derived TGF- $\beta$ , adoptive transfer of conventional tumor-reactive wild-type CD8<sup>+</sup> T cells would have limited antitumor efficacy. (f) Tumors in mice cotransferred with tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells and CD8-depleted splenocytes showed markedly increased apoptosis, which coincided with an inhibition of Bcl-2 expression. Inhibitors of Bcl-2, such as ABT-737, can induce apoptosis in cancer cells and are potential agents in anticancer therapeutics (36–39). The expression of Bcl-2 was inhibited when a large number of tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells infiltrated into the tumor parenchyma. In addition, cytokines, such as IL-2 and IFN- $\gamma$ , may have played a coordinated role in the observed increase in tumor apoptosis. The regression of tumor cells, the presence of spindle-shaped cancer cells, the reduced mitotic figures, and the decreased expression of Bcl-2 may all contribute toward changes in the tumor microenvironment dictated by the presence of tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells.

Tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells showed a strong tumor-killing ability *in vitro*. Although CD8<sup>+</sup> T cells are the cytotoxic effectors, CD4<sup>+</sup> T cells are likely required to facilitate the effector function of CD8<sup>+</sup> cells. Furthermore, CD4<sup>+</sup> T cells can mediate CD8-independent antitumor function and memory (11, 40–42). Our *in vivo* results are consistent with these reports. Although we have not delineated the subtype of the immune cells in the spleen, the cotransferred CD8-depleted splenocytes included CD4<sup>+</sup> cells, macrophages, and dendritic cells. This supports at least two possibilities. (a) Cotransfer of CD8-depleted splenocytes was required for the prolonged survival of CD8<sup>+</sup> T cells. These splenocytes may contain antigen

presentation function to CD8<sup>+</sup> T cells. Based on our observation, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells (T $\beta$ RIIDN only group) alone could not be maintained unless CD8-depleted splenocytes were cotransferred. (b) Only with the cotransfer of CD8-depleted splenocytes could the CD8<sup>+</sup> T cells reach the tumor parenchyma. This is likely due to the helper function of CD4<sup>+</sup> T cells, which primed CD8<sup>+</sup> T cells to acquire the antitumor effector function. Therefore, blockade of TGF- $\beta$  signaling in tumor-reactive CD8<sup>+</sup> T cells provides an effective antitumor function, which should be translated to the treatment of clinical cancer cases.

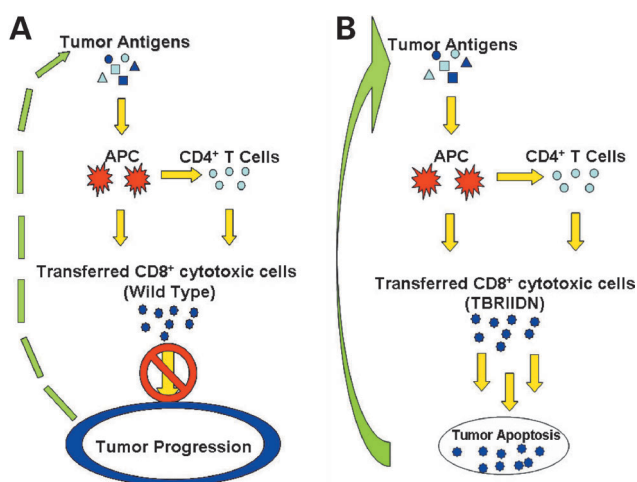
Based on the above results, we postulate the concept of an antitumor immune response cycle (Fig. 6). This antitumor immune response cycle represents a new paradigm in antitumor immunology and contains three major components: (a) tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells; (b) the autologous tumor; and (c) the immune system of the host (Fig. 6). This concept will be briefly discussed below. (a) Results of the present study have indicated that tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells are necessary for an effective antitumor immune response, as they are the only immune cells that are able to infiltrate into the tumor parenchyma and mediate tumor apoptosis. (b) Results of our past studies have indicated that the tumor itself is an important participant of this antitumor immune response cycle. The importance of autologous tumor has been shown by our earlier study (14, 15), which showed that in tumor-free hosts the tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells were unable to persist in the spleen. (c) As shown by the present results, the presence of CD8-depleted immune cells is also necessary to manifest an effective antitumor immune response. This statement is based on the observation in

that, when tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells were transferred alone, they were insufficient in the growth of the established s.c. TRAMP-C2 tumor. The system requires the cotransfer of CD8-depleted splenocytes in order for the transferred tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells to mount an antitumor function (Fig. 6A). The immune response cycle can only be activated by tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells infiltrating into tumor parenchyma and inducing apoptosis of tumor cells (Fig. 6B).

Up to this point, we have established three salient aspects of this new system. (a) The aspect was to show that adoptive transfer of tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells was able to eradicate established autologous tumors (14). (b) We showed that infiltration of transferred tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells into the tumor parenchyma and to mediate tumor cell apoptosis was an important event in this system (15). (c) In this article, we report that the mere transfer of tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells was insufficient to mediate an antitumor response. Cotransfer of CD8-depleted splenocytes was necessary for the antitumor function of the transferred CD8<sup>+</sup> T cells. In fact, the present observation was critical in that it allowed us to postulate the "antitumor immune response cycle." (d) We also obtain critical information from the present study that it is feasible for us to use allogeneic host for syngeneic tumor treatment with transfer of syngeneic immune cells. Such information will be missed if the present study was not conducted.

In an immunocompetent host, because the wild-type CD8<sup>+</sup> T cells are unable to play any role in the antitumor immune response, they are not considered a part of this antitumor immune response cycle (Fig. 6A). The sequence of events of this antitumor immune response cycle starts with the infiltration of the tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells into the tumor parenchyma to mediate tumor apoptosis and to release tumor-associated antigens into the circulation, thus allowing a continuous exposure of these antigens to the immune system of the host. It is likely that antigen-presenting cells, which are included in the CD8-depleted splenocytes, are important players in this process. Because antigen-presenting cells and CD4<sup>+</sup> helper T cells are wild-type, they are unable to infiltrate into the tumor parenchyma. Their action must take place outside the tumor parenchyma. Therefore, the activation and priming of transferred tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells must also take place outside of the tumor parenchyma. The primed CD8<sup>+</sup> T cells, because they have been rendered TGF- $\beta$  insensitive, are able to infiltrate into the tumor parenchyma (Fig. 6B). Such an antitumor immune response cycle will remain active until all tumor cells are eliminated.

In summary, results of the present study have provided three pieces of novel concept information. (a) Our results have shown that it is feasible to use immunodeficient mice as surrogate hosts for the treatment of solid cancer xenograft tumors with adoptive transfer of tumor-reactive, TGF- $\beta$ -insensitive autologous CD8<sup>+</sup> T cells. (b) There are



**Figure 6.** Adoptive transfer of tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells activates an otherwise incapacitated antitumor immune response cycle in tumor immunology. **A**, wild-type CD8<sup>+</sup> T cells cannot infiltrate into the tumor. The antitumor immune response cycle ceases to function leading to tumor progression. **B**, CD8<sup>+</sup> T cells are tumor specific and TGF- $\beta$  insensitive, which can infiltrate into tumor parenchyma, and the antitumor immune response cycle is maintained, leading to tumor regression.



changes in the tumor microenvironment secondary to adoptive transfer of tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells as shown by changes in tumor histology, cytokine secretion, tumor cell proliferation, angiogenesis, and apoptosis. (c) We proposed a concept of antitumor immune response cycle, which consists of tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells, the autologous tumor, and the immune system of the host. In an immunocompetent tumor-bearing host, by virtue of adoptive transfer of tumor-reactive, TGF- $\beta$ -insensitive CD8<sup>+</sup> T cells, the anti-tumor immune response cycle will be activated. This novel concept, although overly simplified, may lead to the development of effective antitumor therapeutic strategies in the near future.

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