Phonon-polariton in heat conduction

V. R. Coluci^{1,3}, A. A. Zakhidov¹, and V. M. Agranovich^{1,2*}

¹NanoTech Institute and Department of Chemistry, University of Texas, Richardson, Texas 830688

² Institute of Spectroscopy, Russian Academy of Sciences, 142190 Troitsk, Moscow Region, Russia and

³Instituto de Física "Gleb Wataghin", Universidade Estadual de Campinas, C.P. 6165, 13083-970 Campinas SP, Brazil

(Dated: May 29, 2003)

abstract

PACS numbers:

I. INTRODUCTION

It is well-known that the contribution of radiative transport to thermoconductivity of majority of solids is important only at rather high temperatures of the order of a few thousands K. At much lower temperatures the radiative transport is usually small because is small the density of photon states which have an energy of the order of k_BT . The energy of the transverse photons, responsible for the radiative transport (we assume that the medium is isotropic), is $E(k) = \hbar c k / \sqrt{\varepsilon}$, where c is the velocity of photon in vacuum, k is its wave vector and ε is the dielectric constant of medium. The density of states is proportional to $k^2(\frac{dk}{d\omega})$ or (for transverse photons) to ω^2 what is a smooth function of ω and small for small ω .

The situation changes if to take into account the dependence of dielectric constant on ω which may be strong in the region of dipole allowed resonances. In this region of spectrum the interaction of dipole active quasiparticles (transverse optical phonons) with transverse photons (retardation effect) is responsible for the appearance of a new quasi-particles, so called phonon-polaritons [1,2]. For these quasi-particles most important is vicinity of transverse optical phonon frequency where dielectric constant has a resonance. The polariton dispersion in the region of isolated resonance (a dependence of its frequency on wave vector) can be found from the relation

$$\frac{k^2 c^2}{\omega^2} = \varepsilon(\omega), \tag{1}$$

$$\varepsilon(\omega) = \varepsilon_b \frac{\omega^2 - \omega_{\parallel}^2}{\omega^2 - \omega_{\perp}^2},\tag{2}$$

where ω_{\parallel} and ω_{\perp} are frequencies of longitudinal and transverse optical phonons. For some crystals the transverse-longitudinal splitting $\Delta = \omega_{\parallel} - \omega_{\perp}$, which is proportional to the oscillator strength at the resonance frequency ω_{\perp} , can be rather large. For example, for SiC crystal^{4,5} $\omega_{\perp}=793 \text{cm}^{-1}$, $\omega_{\parallel}=969 \text{ cm}^{-1}$ and, thus, $\Delta=176 \text{cm}^{-1}$, for crystal MgO⁶, where $\omega_{\perp}=396 \text{cm}^{-1}$, $\omega_{\parallel}=719 \text{cm}^{-1}$ this splitting is even larger: $\Delta=323 \text{cm}^{-1}$

If we take into account the dissipation or scattering of polaritons we can use for dielectric constant a more



general expression

$$\varepsilon(\omega) = \varepsilon_b \frac{\omega^2 - \omega_{\parallel}^2}{\omega^2 - \omega_{\parallel}^2 - 2i\gamma\omega},\tag{3}$$

The approach to calculate the polariton heat conductivity is dependent on the relation between size of sample and the length of polariton mean-free-path. If this length is larger than the sample size it is necessary to consider polaritons in ballistical regime. If, however, the size of sample is large in comparison with the length of polariton mean-free -path we can use the same statistical random walks approach which usually is in use in calculation of phonon heat conductivity in solids. In this note below we consider crystals MgO and SiC at temperature

 $T \approx 1000K$. At this temperature the states of polaritons with energy $E \approx 0.1eV$ are mainly populated and statistical approach in calculation of polaritons heat conductivity can be justified because for these phononpolaritons in mentioned crystals a mean-free- path is rather small. For example, as it follows from the measurements of absorption in MgO crystal[3], the absorption coefficient at temperature $T \approx 1000K$ changes in wide interval values up to $10^5 cm^{-1}$ but in all cases it is larger than $10^2 cm^{-1}$ at least in the interval of wave numbers $150 - 1500 cm^{-1}$ (unfortunately, we have no other measurements). It means that a polariton mean-freepath Λ for these wave numbers is less than value of order of $\approx \frac{1}{150} \approx 0.07 cm$ and similar situation we meet for

Report Documentation Page				Form Approved OMB No. 0704-0188	
Public reporting burden for the collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to a penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.					
1. REPORT DATE 29 MAY 2003	2. REPORT TYPE N/A			3. DATES COVERED	
4. TITLE AND SUBTITLE				5a. CONTRACT NUMBER	
Phonon-polariton in heat conduction				5b. GRANT NUMBER	
				5c. PROGRAM ELEMENT NUMBER	
6. AUTHOR(S)				5d. PROJECT NUMBER	
				5e. TASK NUMBER	
				5f. WORK UNIT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) NanoTech Institute and Department of Chemistry, University of Texas, Richardson, Texas 830688				8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)				10. SPONSOR/MONITOR'S ACRONYM(S)	
				11. SPONSOR/MONITOR'S REPORT NUMBER(S)	
12. DISTRIBUTION/AVAILABILITY STATEMENT Approved for public release, distribution unlimited					
13. SUPPLEMENTARY NOTES See also ADM001801.					
14. ABSTRACT					
15. SUBJECT TERMS					
16. SECURITY CLASSIFIC	17. LIMITATION OF	18. NUMBER	19a. NAME OF		
a. REPORT unclassified	b. ABSTRACT unclassified	c. THIS PAGE unclassified	ABSTRACT UU	OF PAGES 3	RESPONSIBLE PERSON

Standard Form 298 (Rev. 8-98) Prescribed by ANSI Std Z39-18 many another crystals as it follows from experimental data on light absorption. It means that for calculation of polariton thermoconductivity of the sample with thickness of order of 1cm we can use statistical theory taking into account the contribution to thermoconductivity the phonon-polaritons with the mean-free-path smaller than the sample size. We will show that this restriction is important for the temperature interval where the statistical theory can be used. The total thermoconductivity is the sum, roughly speaking, of two parts arising from ballistical and diffusive propagation of polaritons. Thus, in comparison with experimental data it is necessary to take into account that the part of total thermoconductivity arising from statistical approach can determine only the lower limit of its total value.

II. THERMAL CONDUCTIVITY

The thermal conductivity $\kappa(T)$ can be calculated by the using of following well-known expression

$$\kappa(T) = \frac{1}{3} \sum_{p} \int C(\omega) v(\omega) \Lambda(\omega) d\omega, \qquad (4)$$

where ω is the polariton frequency, $C(\omega)$ is its thermal capacity, $v(\omega)$ is its the group velocity, and $\Lambda(\omega)$ is its mean-free-path. The sum is carried out over two transverse polariton polarizations p.

In order to determine $\kappa(T)$ we firstly have obtained C, v, and Λ . The polaritons energy at thermal equilibrium can be written as

$$E(\omega, T) = \hbar \omega \frac{D(\omega)}{\exp(\hbar \omega / k_B T) - 1},$$
(5)

where the density of states $D(\omega)$ is given by

$$\frac{D(\omega)}{V} = \frac{4\pi k^2}{(2\pi)^3} \frac{dk}{d\omega}.$$
(6)

Therefore, the thermal capacity can be written as

$$C(\omega) = \frac{1}{V} \frac{dE}{dT} = \frac{D(\omega)}{V} \frac{(\hbar\omega)^2 e^{\hbar\omega/k_B T}}{k_B T^2 (e^{\hbar\omega/k_B T} - 1)^2}.$$
 (7)

As the absorption is rather weak we can express the group velocity as

$$v(\omega) = \frac{d\omega}{dk}.$$
(8)

The last quantity to determine is the mean free path. Since the intensity I is proportional to the squared electrical field we have

$$I \sim |E|^2 \sim e^{i2kz} = e^{i2(n'+in'')\omega z/c} \sim e^{-2n''\omega/c} = e^{-z/\Lambda(\omega)}$$
(9)

thus,

$$\Lambda(\omega) = \frac{c}{2\omega n''(\omega)}.$$
(10)

Using the relation

$$\frac{k^2(\omega)c^2}{\omega^2} = (n' + in'')^2 = \varepsilon(\omega) = \varepsilon' + i\varepsilon'', \qquad (11)$$

and assuming weak absorption $((n^{\prime\prime})^2\simeq 0)$ one can obtain

$$\varepsilon'(\omega) = \varepsilon_{\infty} \left(1 + \frac{(\omega_{\parallel}^2 - \omega_{\perp}^2)(\omega_{\perp}^2 - \omega^2)}{(\omega_{\perp}^2 - \omega^2)^2 + 4\Gamma^2\omega^2} \right), \qquad (12)$$

$$\varepsilon''(\omega) = \varepsilon_{\infty} \left(\frac{2\Gamma\omega(\omega_{\parallel}^2 - \omega_{\perp}^2)}{(\omega_{\perp}^2 - \omega^2)^2 + 4\Gamma^2\omega^2} \right), \qquad (13)$$

$$n'(\omega) = \sqrt{\varepsilon'(\omega)}, \quad n''(\omega) = \frac{\varepsilon''(\omega)}{2n'(\omega)}.$$
 (14)

In order to check the approximation considered here for the calculation of $\Lambda(\omega)$ we plot in Fig. 1 the absorbance obtained from (11) and experimental values for the MgO crystal. We can notice a reasonable agreement between them.



FIG. 2: Absorption spectra of MgO. Experimental points correspond to the MgO crystal at T=305 K with 0.16 mm thick⁷. The dotted line just connects the points.

Grouping (8), (9), (11), and (13)-(15) and summing over two photon polarizations, the thermal conductivity, considering polaritonic resonance, becomes

$$\kappa(T) = \frac{k_B^3 T^2}{3\pi^2 \hbar^2 c} \left[\int_0^{x_\perp} h(x) dx + \int_{x_\parallel}^\infty h(x) dx \right] \qquad (15)$$

where

$$x(T) \equiv \frac{\hbar\omega}{k_B T}, \ x_{\perp}(T) \equiv \frac{\hbar\omega_{\perp}}{k_B T}, \ x_{\parallel}(T) \equiv \frac{\hbar\omega_{\parallel}}{k_B T}, \ (16)$$

and

$$h(x) \equiv \frac{x^3 e^x}{(e^x - 1)^2} \frac{\sqrt[3]{\varepsilon'(x)}}{\varepsilon''(x)} \tag{17}$$

III. RESULTS AND DISCUSSIONS

We have used data for SiC and MgO in order to establish a comparison with the thermal conductivity values obtained here. The experimental data considered were: $\varepsilon_b = 6.7, \ \omega_{\perp} = 793 \ \mathrm{cm}^{-1}, \ \omega_{\parallel} = 969 \ \mathrm{cm}^{-1}, \ \mathrm{and} \ \Gamma = 4.76 \ \mathrm{cm}^{-1}$ for SiC^{4,5}, and $\varepsilon_b = 2.96, \ \omega_{\perp} = 396 \ \mathrm{cm}^{-1}, \ \omega_{\parallel} = 719 \ \mathrm{cm}^{-1}, \ \mathrm{and} \ \Gamma = 7.60 \ \mathrm{cm}^{-1}$ for MgO⁶. Experimental values of thermal conductivity were extracted from⁸ for SiC (p. 279) and for MgO (p. 283).

Figure 2 presents the thermal conductivity as function of temperature considering one polaritonic resonance. Experimental data are also shown. For T > 300K, $\kappa(T)$ behaves as $\kappa(T) \sim T^5$.



- * Author to whom correspondence should be addressed. FAX:. Electronic address: vladimir.agranovich@utdallas.edu
- ¹ ref 1 agranovich, Phys. Rev. B 55 (1997) 10105.
- ² ref 2 agranovich, Phys. Rev. B 55 (1997) 10105.
- $^3\,$ ref 2 agranovich, Phys. Rev. B 55 (1997) 10105.
- ⁴ J. Le Gall, M. Oliver, and J.-J. Greffet, Phys. Rev. B 55 (1997) 10105.
- ⁵ W. G. Spitzer, D. Kleinman, and D. Walsh, Phys. Rev. 113

FIG. 3: Thermal conductivity as function of temperature. The lines were obtained using the expression (16). The inset graph is the zoom for the region 600 K < T < 2200 K.

IV. CONCLUSIONS

Acknowledgments

The authors acknowledge financial support from the Robert A. Welch Foundation. VRC acknowledges the Brazilian agencies CAPES and FAPESP for the financial support.

(1959) 127.

- ⁶ Handbook of Optical Constants of Solids II, ed. Edward D. Palik, Academic Press, New York (1991) p. 937.
- ⁷ J.T. Gourley and W.A. Runciman, J. Phys. C: Solid State Phys. 6 (1973) 583.
- ⁸ Materials Science and Engineering Handbook, ed. J.F. Shackelford, W. Alexander, and J.S. Park, CRC Press, 2nd Edition 2001.