	REPORT DOCUMENTATION PAGE			Form Approved OMB No. 0704-0188				
	Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of							
	1. AGENCY USE ONLY (Leave blan	k/ 2. REPORT DATE 21February 2002	3. REPORT TYPE AND DA FINAL REPORT (1	ATES COVERED 5 Nov 1997 to 30 Sep 2001				
	4. THE AND SUBTILE Plasmid diversity and horizontal transfer in marine sediment microbial communities			5. FUNDING NUMBERS NOO014-98-1-0078				
	6. AUTHOR(S) Dr. Patricia A.							
	7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Georgia Institute of Technology School of Biology 310 Ferst Drive Atlanta, GA 30332-0230			REPORT NUMBER				
	9. SPONSORING / MONITORING AG Office of Naval 1 800 North Quincy Arlington, VA 22	0. SPONSORING / MONITORING AGENCY REPORT NUMBER						
	11. SUPPLEMENTARY NOTES 126. DISTRIBUTION / AVAILABILITY STATEMENT Distribution Unlimited 20020305 072							
	13. ABSTRACT (Maximum 200 words) Transfer of genetic information inter- and intragenerically determines the structure and function of indigenous bacterial communities. While plasmid exchange is an important mechanism by which bacterial populations can evolve and adapt, there remains a lack of information regarding the role of horizontal plasmid-mediated transfer in marine ecosystems and how indigenous broad-host-range plasmids impact the microbial community structure. In this study, the objectives will be to characterize plasmids, at the molecular level, from marine sediment bacterial populations to elucidate their role in promoting gene exchange. Specific objectives include: 1) determining the distribution and specific traits encoded by naturally occurring plasmids from marine sediment bacterial populations; and 2) assessing the mobility of indigenous broad-host-range plasmids in marine sediment microbial communities.							
	14. SUBJECT TERMS plasmid, gene tra	15. NUMBER OF PAGES 4 16. PRICE CODE						
	17. SECURITY CLASSIFICATION OF REPORT Unclassified	18. SECURITY CLASSIFICATION OF THIS PAGE Unclassified	19. SECURITY CLASSIFICATI OF ABSTRACT Unclassified	DN 20. LIMITATION OF ABSTRACT UL				

.

## GENERAL INSTRUCTIONS FOR COMPLETING SF 298

The Report Documentation Page (RDP) is used in announcing and cataloging reports. It is important that this information be consistent with the rest of the report, particularly the cover and title page. Instructions for filling in each block of the form follow. It is important to *stay within the lines* to meet *optical scanning requirements.* 

Block 1. Agency Use Only (Leave blank).

Block 2. <u>Report Date</u>. Full publication date including day, month, and year, if available (e.g. 1 Jan 88). Must cite at least the year.

Block 3. <u>Type of Report and Dates Covered.</u> State whether report is interim, final, etc. If applicable, enter inclusive report dates (e.g. 10 June 87 - 30 June 88.

Block 4. <u>Title and Subtitle</u>. A title is taken from the part of the report that provides the most meaningful and complete information. When a report is prepared in more than one volume, repeat the primary title, add volume number, and include subtitle for the specific volume. On classified documents enter the title classification in parentheses.

Block 5. <u>Funding Numbers.</u> To include contract and grant numbers; may include program element number(s), project number(s), task number(s), and work unit number(s). Use the following labels:

С	-	Contract	PR -	Project
G	-	Grant	TA -	Task
PE	-	Program	WU -	Work Unit
		Element		Accession No

Block 6. <u>Author(s)</u>. Name(s) of person(s) responsible for writing the report, performing the research, or credited with the content of the report. If editor or compiler, this should follow the name(s).

Block 7. <u>Performing Organization Name(s) and</u> Address(es). Self-explanatory.

Block 8. <u>Performing Organization Report</u> <u>Number</u>. Enter the unique alphanumeric report number(s) assigned by the organization performing the report.

Block 9. <u>Sponsoring/Monitoring Agency Name(s)</u> and Address(es). Self-explanatory.

Block 10. Sponsoring/Monitoring Agency Report Number. (If known)

Block 11. Supplementary Notes. Enter information not included elsewhere such as: Prepared in cooperation with...; Trans. of ...; To be published... When a report is revised, include a statement whether the new report supersedes or supplements the older report. Block 12a. <u>Distribution/Availability Statement</u>. Denotes public availability or limitations. Cite any availability to the public. Enter additional limitations or special markings in all capitals (e.g. NOFORN, REL, ITAR).

ι.

DOD	-	See DODD 5230.24, "Distribution
		Statements on Technical
		Documents."
nor		See outborition

- **DOE** See authorities. **NASA** - See Handbook NHB 2200.2.
- NTIS Leave blank.

Block 12b. Distribution Code.

- DOD Leave blank.
- **DOE** Enter DOE distribution categories from the Standard Distribution for Unclassified Scientific and Technical Reports.
- NASA Leave blank.
- NTIS Leave blank.

Block 13. <u>Abstract</u>. Include a brief (Maximum 200 words) factual summary of the most significant information contained in the report.

Block 14. <u>Subject Terms</u>. Keywords or phrases identifying major subjects in the report.

Block 15. <u>Number of Pages</u>. Enter the total number of pages.

**Block 16.** <u>Price Code</u>. Enter appropriate price code (*NT/S only*).

Blocks 17. - 19. <u>Security Classification</u>. Selfexplanatory. Enter U. S. Security Classification in accordance with the U.S. Security Regulations (i.e., UNCLASSIFIED). If form contains classified information, stamp classification on the top and bottom of the page.

Block 20. <u>Limitation of Abstract</u>. This block must be completed to assign a limitation to the abstract. Enter either UL (unlimited) or SAR (same as report). An entry in this block is necessary if the abstract is to be limited. If blank, the abstract is assumed to be unlimited.

## FINAL REPORT

GRANT #: N00014-98-1-0078

PRINCIPAL INVESTIGATOR: Dr. Patricia A. Sobecky

- <u>INSTITUTION:</u> School of Biology Georgia Institute of Technology 310 Ferst Drive Atlanta, GA 30332-0230
- <u>Grant Title:</u> Plasmid Diversity and Horizontal Transfer in Marine Sediment Microbial Communities

AWARD PERIOD: 15 November 1997 - 30 September 2001

<u>OBJECTIVE</u>: The primary objective is to investigate and characterize the role of bacterial plasmids in facilitating microbial activities, interactions, adaptation and proliferation in marine environments. Toward this goal, studies are designed to gain an understanding of the effects of plasmid distribution, diversity and plasmid-mediated horizontal gene exchange on the structure and function of marine microbial communities.

To investigate plasmid distribution and APPROACH: plasmid-mediated effects on marine microbial community activities, plasmids are obtained from bacterial populations and characterized at the molecular level. DNA probes specific for replication regions (e.g., plasmid incompatibility-group probes) are used to characterize the distribution, diversity and persistence of the replicons in marine environments. Marine plasmids are also being sequenced to determine biological functions. The transfer dynamics of plasmids are assessed by elucidating environmental and molecular constraints likely to affect horizontal gene exchange. In addition, we are developing new molecular techniques to rapidly assess plasmid populations along spatial and temporal scales.

ACCOMPLISHMENTS: We have completed an extensive study using the collection of broad-host-range (BHR) marine plasmid origins as DNA probes to determine whether marine plasmids are geographically disseminated or constrainted to 'local' niches. We have detected mobilizable and self-transmissible marine plasmids in different locales providing evidence that BHR marine plasmids are widely disseminated. We have also identified a BHR plasmid that appears to be geographically constrained providing support for the local adaptation hypothesis that proposes that some plasmids encode traits that are adaptations to 'local' variations in environmental conditions. The plasmid (pPS172) is currently being sequenced at TIGR. A RAPD (randomly amplified polymorphic DNA) method suitable for use with marine plasmids has also been developed. The primer sequences have been designed for marine plasmids using sequence data obtained from TIGR. The PCR products are specific to the plasmid, and thus enable us to differentiate between plasmids. We are able to combine RAPD-PCR with our plasmid screening technique to determine the relatedness and therefore diversity of plasmids from sediment microbial communities. A collaboration with The Institute for Genomic Research (TIGR) to sequence as many as nine marine plasmids is currently in the annotation phase.

<u>CONCLUSIONS</u>: Our studies indicate that marine bacteria contain novel broad-host-range plasmids encoding unique replication regions. In addition, a PCR-based methodology we have developed for determining plasmid diversity provides evidence for considerable plasmid diversity in marine sediment microbial communities.

<u>SIGNIFICANCE:</u> Our findings have provided insights into the nature and diversity of plasmids in marine sediment bacteria. This information will aid in determining the role of mobile genetic elements in the adaptation of marine microbial communities.

## PATENT INFORMATION: None

. .

<u>AWARD INFORMATION:</u> The PI was an invited keynote speaker at the European Union (EU)-concerted symposium on "Mobile elements" contribution to bacterial adaptability and diversity (MECBAD) held in Prague, Czech Republic, September 15-19, 2000.

PUBLICATIONS AND ABSTRACTS (for total period of grant)

- 1. Cook, M.A., A.M. Osborn, J.A. Bettandorff, and P.A. Sobecky. 2001. Endogenous isolation of replicon probes for assessing plasmid ecology of marine sediment microbial communities. Microbiology 147:2089-2101.
- 2. Powers, L.G., J. Mallonee, and P.A. Sobecky. 2000. Nucleotide sequence and characterization of a cryptic

plasmid from a marine Vibrio sp. Plasmid 43:99-102.

- -

• •

- 3. Smalla K, E. Krogerrecklenfort, H. Heuer, W. Dejonghe, E. Top, M. Osborn, J. Niewint, C. Tebbe, M. Barr, M. Bailey, A. Greated, C. Thomas, S. Turner, P. Young, D. Nikolakopoulou, A. Karagouni, A. Wolters, J.D. van Elsas, K. Dronen, R. Sandaa, S. Borin, J. Brabhu, E. Grohmann and P. Sobecky. 2000. PCR-based detection of mobile genetic elements in total community DNA. Microbiology 46:1256-1257.
- Reyes, N., M.E. Frischer, and P.A. Sobecky. 1999. Characterization of mercury resistance mechanisms in marine sediment microbial communities. FEMS Microbiology Ecology. 30:273-284.
- 5. Sobecky, P.A. 1999. Plasmid ecology of marine sediment microbial communities. Hydrobiologia. 401:9-18.
- 6. Sobecky, P.A., T.J. Mincer, M.C. Chang, A. Toukdarian, and D.R. Helinski. 1998. Isolation of broad-host-range replicons from marine sediment bacteria. Appl. Environ. Microbiol. 64:2822-2830.
- 7. Erdner, D.L.\*, J. Eisen, and P.A. Sobecky. 2001. Whole plasmid sequencing and expression analysis of cryptic marine plasmids. American Soc. for Limnology and Oceanography Annual Meeting, Albuqurque, NM. Contributed. (\*oral presentation).
- 8. M.A. Cook and P.A. Sobecky. May, 2000. Molecular characterization of plasmids in marine sediment microbial communities using replicon specific probes. American Soc. for Microbiol. Ann. Meeting Abstract.
- 9. Mills, H., M. Astwood, F.E. Loeffler, and P.A. Sobecky. May, 2000. Isolation and Characterization of plasmids from anaerobic marine sediment microbial communities. American Soc. for Microbiol. Ann. Meeting Abstract.
- 10. Powers, L.G., J.M. Mallonee, J.A. Bettandorff, C. Cain, P.A. Sobecky. May, 1999. Characterization of a Naturally Occurring Plasmid from a Marine Vibrio sp. for use in Assessing Horizontal Gene Transfer in Marine Microbial Communities. American Soc. for Microbiol. Ann. Meeting Abstract.
- 11. Reyes, N., M.E. Frischer, and P.A. Sobecky. May, 1999. Molecular characterization of marine microbial communities from PCB and Hg-contaminated salt marsh sediments. American Soc. for Microbiol. Ann. Meeting Abstract.

- 12. Sobecky, P.A.\*, C.C. Cain, and M. Bellamy. February, 1999. Molecular Assessment of Plasmid Diversity in Marine Microbial Communities. American Soc. for Limnology and Oceanography Annual Meeting, Santa Fe, New Mexico. (\*Invited oral presentation).
- 13. Sobecky, P.A.\*, C.C. Cain, and T.J. Mincer. October, 1998. Unexpected Diversity in the Ocean: Plasmids isolated from marine bacteria contain replication and incompatibility regions unrelated to those of known plasmid groups. International Symposium on Plasmid Biology, Merida, Mexico. (\*Invited oral presentation).
- 14. Cain, C.C., M.L. Bellamy, J.A. Bettandorff, and P.A. Sobecky. 1998. Molecular profiles of plasmids isolated from Georgia salt marsh sediment microbial communities. American Soc. for Microbiol. Ann. Meeting Abstract.