AD\_\_\_\_\_

Award Number: DAMD17-99-1-9375

TITLE: Plant Estrogens: Effects on Cell Cycle Progression in Breast Cancer Cells

PRINCIPAL INVESTIGATOR: Enrique Cadenas, M.D., Ph.D.

CONTRACTING ORGANIZATION: University of Southern California Los Angeles, California 90033

REPORT DATE: June 2000

TYPE OF REPORT: Annual

PREPARED FOR: U.S. Army Medical Research and Materiel Command Fort Detrick, Maryland 21702-5012

DISTRIBUTION STATEMENT: Approved for Public Release; Distribution Unlimited

The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision unless so designated by other documentation.

DTIC GUALITY INSPECTED 1

# 20010220 026

1

REPORT DOCUMENTATION PAGE				Form Approved OMB No. 074-0188	
Public reporting burden for this collection of inform the data needed, and completing and reviewing t reducing this burden to Washington Headquarte	nation is estimated to average 1 hour per response his collection of information. Send comments rega s Services. Directorate for Information Operations	e, including the time for reviewing ins	ner aspect of this colle		
Management and Budget, Paperwork Reduction 1. AGENCY USE ONLY (Leave blank)	Project (0704-0188), Washington, DC 20503 2. REPORT DATE June 2000	<b>3. REPORT TYPE AND</b> Annual (1 Jun			
			5. FUNDING NUMBERS DAMD17-99-1-9375		
6.AUTHOR(S) Enrique Cadenas, M.D.,	Ph.D.				
University of Southern California Los Angeles, California 90033				PERFORMING ORGANIZATION REPORT NUMBER	
				ORING / MONITORING Y REPORT NUMBER	
U.S. Army Medical Research and Materiel Command Fort Detrick, Maryland 21702-5012					
11. SUPPLEMENTARY NOTES					
12a. DISTRIBUTION / AVAILABILIT Approved for public release; dist				12b. DISTRIBUTION CODE	
13. ABSTRACT (Maximum 200 Wo	rds)			[	
cancer. In this work we examine independent of the tumour suppr p53-deficient breast cancer cell I phytoestrogens (biochanin, daidz drug characteristics as suggested phytoestrogens; cells were G <sub>2</sub> arr arrest; Cdc2 activity was decreas	udies in cancer models suggest th d the role of phytoestrogens as an essor p53. This is relevant since p ines (BT20 and T47D), we studie tein, genistein, and genistin). The by inhibition of cell proliferation rested with no p21 expression incr ed by genistein with no changes i lso act as anti-breast cancer drugs	ti-cancer drugs by inhibit 53 is found mutated or a d the anti-proliferative of main finding were: geni and cell cycle arrest; G rease, thereby suggesting n p21 expression. The rease	ting cell prolife absent in half of effects and the n stein and genist arrest occurred g a p21-indepen esults suggest th	ration through mechanisms The human tumors. Using mechanism of action of several in seem to posses anticancer I upon treatment with dent pathway for cell cycle at phytoestrogens not only	
14. SUBJECT TERMS Breast Cancer				15. NUMBER OF PAGES	
				16. PRICE CODE	
17. SECURITY CLASSIFICATION OF REPORT Unclassified	18. SECURITY CLASSIFICATION OF THIS PAGE Unclassified	19. SECURITY CLASSIF OF ABSTRACT Unclassif		20. LIMITATION OF ABSTRACT Unlimited	
NSN 7540-01-280-5500				dard Form 298 (Rev. 2-89) ribed by ANSI Std. Z39-18 02	

#### FOREWORD

Opinions, interpretations, conclusions and recommendations are those of the author and are not necessarily endorsed by the U.S. Army.

N/A Where copyrighted material is quoted, permission has been obtained to use such material.

 $\underline{N/A}$  Where material from documents designated for limited distribution is quoted, permission has been obtained to use the material.

 $\underline{N/A}$  Citations of commercial organizations and trade names in this report do not constitute an official Department of Army endorsement or approval of the products or services of these organizations.

 $\underline{N/A}$  In conducting research using animals, the investigator(s) adhered to the "Guide for the Care and Use of Laboratory Animals," prepared by the Committee on Care and use of Laboratory Animals of the Institute of Laboratory Resources, national Research Council (NIH Publication No. 86-23, Revised 1985).

 $\underline{X}$  For the protection of human subjects, the investigator(s) adhered to policies of applicable Federal Law 45 CFR 46.

 $\underline{N/A}$  In conducting research utilizing recombinant DNA technology, the investigator(s) adhered to current guidelines promulgated by the National Institutes of Health.

N/A In the conduct of research utilizing recombinant DNA, the investigator(s) adhered to the NIH Guidelines for Research Involving Recombinant DNA Molecules.

 $\underline{N/A}$  In the conduct of research involving hazardous organisms, the investigator(s) adhered to the CDC-NIH Guide for Biosafety in Microbiological and Biomedical Laboratories.

Raderas

PI - Signature

06/24/00 Date

# **Table of Contents**

•

و

Cover	1
SF 298	2
Foreword	3
Table of Contents	4
Introduction	5
Body	5
Key Research Accomplishments	8
Reportable Outcomes	8
Conclusions	8
References	9
Appendices	10

٠

## INTRODUCTION

Epidemiological evidence and studies in cancer models suggest that dietary plant estrogens (phytoestrogens) reduce the risk of breast cancer. This, together with the need for development of new anticancer drugs targeting the uncontrolled cell cycle machinery, warrants vigorous research on the molecular mechanisms of action of phytoestrogens in breast cancer. The relevance and purpose of this research are summarized in these two critical issues. First, it recognizes the necessity of implementing cancer therapies involving cell cycle control that are independent of the tumor suppressor p53, since it is mutated or absent in half of the human tumors. This research is concerned specifically with the expression of cell cycle inhibitors (such as p21) by p53-independent pathways, *via* activation of transcription factors within the signal transduction cascades. Second it takes into account the major molecular and cellular mechanisms of action of key enzyme activities involved in signal transduction and cell proliferation and antioxidant actions.

#### BODY

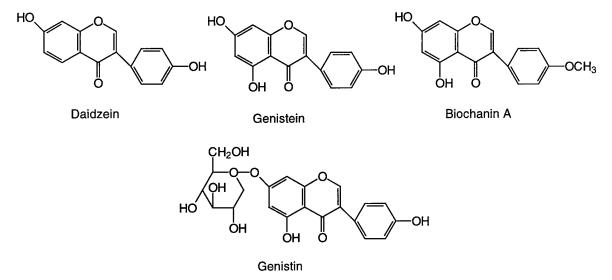
*Hypothesis and Tasks*— The hypothesis advanced in the original proposal and the tasks outlined in the Statement of Work remain unchanged:

- The original *hypothesis* sustained that cellular redox changes elicited by phytoestrogen actions in breast cancer cells lead to disruption of the cell cycle upon induction of the negative cell cycle regulator p21 *via* activation of signal transduction cascades.
- The tasks referred to in the Statement of Work were aimed at proving this hypothesis:
  - *Task 1.* To identify and characterize the changes in redox status in breast cancer cells in response to phytoestrogen action.
  - *Task 2*: To identify the molecular mechanisms involved in the phytoestrogen-mediated expression of inhibitors of the cell cycle.
  - *Task 3*: To identify phytoestrogen-mediated, redox-sensitive, p21-dependent pathways leading to inhibition of cell proliferation.

**Research Findings** — Phytoestrogens are a diverse group of substances that have a chemical structure similar to that of steroidal estrogens. Research during the period for this annual report focused primarily on isoflavones, one of the dominant classes of estrogenic substances found in plants. The effect(s) of selected phytoestrogens were examined in terms of their ability to inhibit cell proliferation, cell cycle arrest, induce or not apoptosis, and activation of cyclins in a variety of cells.

• Materials and Methods -- Cells used in these studies are listed in Table I in terms of their

p53 content or functionality and the presence or absence of an estrogen receptor. During this period, BT20 and T47D were the cell lines examined. Breast cancer cells  $BT20(p53^{-/-})$  and T47D(p53<sup>-/-</sup>) were grown in Minimum Essential Medium (MEM) and RPMI Medium 1640 (Gibco BRL), respectively, with 10% fetal calf serum, and 1% penicillin-streptomycin (Gibco BRL). Cells numbering  $1\times10^6$  were seeded on 100-mm diameter dishes 24 hours before drug treatment. Control cultures were treated with DMSO alone. Cell numbers were counted in hemacytometer by light microscopy. Genistein, genistin, biochanin A, daidzein, and diadzin were purchased from Sigma, dissolved in dimethyl sulfoxide (DMSO) and diluted to the respective final concentrations in each culture dish. Apoptotic cells were identified using the Annexin V-FITC Apoptosis Detection Kit



Scheme I. Structures of the phytoestrogens used in this work.

(Calbiochem). The protocol for Annexin V Binding with Adherent Cells was used followed by Rapid Annexin V Binding protocol according to manufacture instructions. Annexin V staining was used with flow cytometry to detect apoptotic cells. Cell cycle analysis (1): DNA content *per* duplicate was analyzed using FAC-Star flow cytometer (Becton Dickinson, Mountainview, Ca.) according to established procedures. Forty-eight hours after the addition of the isoflavone, cells were removed from the culture dish by trypsinization, washed with PBS and fixed in 70% ethanol and kept at 4°C until analysis. Cells were stained with 20*ug*/ml propidium iodide containing 20*ug*/ml RNase(DNase free) overnight. The stained cells were analyzed by flow cytometry. The populations of G<sub>0</sub>/G<sub>1</sub>, S, and G<sub>2</sub>/M were quantitated using MacCycle software (2) (Phoenix Flow System, Inc., San Diego, CA.). Approximately 10<sup>4</sup> cells were examined in each analysis. For cell proliferation assay, experiments were run in 96-well plates. Each well contained 5 × 10<sup>3</sup> to 10 ×10<sup>3</sup> cells depending on the proliferation rate of the cell. Cell growth was determined using the Cell Titer 96 Non-Radioactive Cell Proliferation Assay Kit (Promega). The "0" point represents number of cells before drug treatment. For western blot analysis, after the appropriate incubation of the particular isoflavone, the cells were harvested, lysed with RIPA lysis buffer. Protein concentration was determined by BCA protein assay (Pierce). 30  $\mu$ g of total protein from each sample were run on 12% SDS-polyacrylamide gels and blotted onto a nitrocellulose filter. The filter was blocked with TBS tween 0.1% containing 5% of dry milk, than incubated overnight with polyclonal p21<sup>Waf</sup> or cdc2 antibody, which was diluted in 5% milk/TBS tween. TBS tween 0.1% was than used to wash the nitrocellulose. Detection was achieved using the Pierce Supersignal West Pico Kit, with 1min and 5min exposure times. After immunoprecipitation cyclin-dependent kinase cdc2 activty was measure with a histone H<sub>1</sub> kinase assay as described before (3).

• *Results* — The phytoestrogens were screened by their ability to cause cell cycle arrest. Different concentrations were used for 48-hour phytoestrogen treatments. Among the phytoestrogens tested, genistein and its glucoside genistin exerted the more significant effects on cell cycle parameters (Table 2): at the concentration of 25 - 100  $\mu$ M they caused a large increase in the percentage of cells in G<sub>2</sub> in the BT20(p53<sup>-/-</sup>) and T47D(p53<sup>-/-</sup>) breast cancer cell lines. An increase in the percentage of cells in G<sub>2</sub> does not necessarily mean that G<sub>2</sub> arrest is actually occurring, because the phytoestrogen treatment maybe merely slowing the G<sub>2</sub>/M transition rate. To insure G<sub>2</sub> arrest was occurring, cell proliferation was determined with and without phytoestrogen treatment (Figure 1). Genistein caused inhibition of cell proliferation in both BT20 and T47D, while genistin caused cell cycle arrest only in BT20 cell line. The data suggests that genistin did not cause G<sub>2</sub> arrest in the T47D cell line but merely decreased the G<sub>2</sub>/M transition rate because at 72 hours, proliferation of the T47D cells, treated with genistin, increased significantly.

Apoptosis may also play a role in the phytoestrogen anti-proliferative effect. Incubations of genistein and genistin at 100  $\mu$ M for 48 hours and 96-hour incubation induce only modest levels of apoptosis (below 20%, Table 3). If these phytoestrogens did cause apoptosis it would have been clearly evident after 96 hours. The small percentage is probably caused by the G<sub>2</sub> arrest. Usually when a cell's cycle is arrested for a long period of time the cell will eventually go into apoptosis.

The next step was to determine how the phytoestrogen treatment was causing  $G_2$  arrest. Normally anticancer drugs upregulate the expression of the cyclin-dependent kinase inhibitor, p21, to elicit cell cycle arrest. The expression of the p21 protein was determined by western analysis, but the blot showed very little to no increase of the p21 protein expression (Figure 2). Since the p21 was not upregulated, we analyzed the activity and protein levels of the cyclin-dependent kinase cdc2, because cdc2 is a critical kinase in the G2/M transition. Cdc2 activity was decreased in both BT20 and T47D cells by genistein, although the activity in T47D cells was more affected than in BT20 cells (Figure 3), which may explain why this cell line is more sensitive than the BT20 (4). The western analysis for the protein levels of cdc2 confirmed these results. In T47D cells cdc2 levels were significantly decreased, while in BT20 cells no differences were detected within the sensitivity of the western analysis (Figure 4). Overall the results indicate that genistein inhibits cyclin-dependent kinase cdc2 activity in both BT20 and T47D cells, by a mechanism that in T47D cells involves decreased levels of the cdc2 protein.

#### **KEY RESEARCH ACCOMPLISHMENTS**

- Genistein and genistin seem to posses anticancer drug characteristics as suggested by inhibition of cell proliferation and cell cycle arrest.
- G<sub>2</sub> arrest occurred in p53-deficient cell lines (BT20 and T47D) upon treatment with phytoestrogens.
- G<sub>2</sub> arrest with no p21 expression increase, thereby suggesting a p21-independent pathway for cell cycle arrest.
- Both compounds increase the total % of apoptosis, albeit to a lower extent that other apoptotic situations, such as oxidative stress.
- Cdc2 activity was decreased by genistein with no changes in p21 expression.

## **REPORTABLE OUTCOMES**

#### CONCLUSIONS

Among the phytoestrogens examined, genistein and genistin show the highest potential as anticancer drugs. Both phytoestrogens, depending on the cell line, display an antiproliferative effect by causing  $G_2$  arrest and a small amount of apoptosis. These results are not dependent on an intact or functional p53 and western analysis suggests –although not unequivocally–, that these effects are also independent of p21 expression. Hence, these isoflavones may prove to be drugs that not only prevent breast cancer but also that have promise in terms of therapeutic potential.

The actual mechanism of G<sub>2</sub> arrest described here requires further understanding. Usually

p21 plays a role in cell cycle arrest (independent or dependent on p53 pathways) but these data suggest that phytoestrogens may elicit this effect in a p21-independent manner. Of course, these results do not rule out the expression of other p21 family members, which maybe upregulated and cause  $G_2$  arrest. Three factors need be considered when addressing cell cycle arrest:

*a* phosphorylation cascades

b synthesis of cyclins, and

c cyclin dependent kinase activity.

Genistein is known as a protein tyrosine kinase inhibitor and, accordingly, may cause cell cycle arrest by perturbing the process of phosphorylation/dephosphorylation of the tyrosine residues of cdc2 kinase, which is essential for cells to leave G<sub>2</sub> and enter M phase (5). Recent data supports the view that phytoestrogens can modulate the synthesis of cyclins but this modulation occurs concomitantly with a modulation of p21 expression (6,7). The hypothesis that phytoestrogens modulate the synthesis of cyclins independently of p21 should be examined, taking in consideration that we found that cdc2 kinase activity is inhibited, independent of p21 expression.

#### REFERENCES

- 1. X. Qiu, E. Cadenas, Arch. Biochem. Biophys. 346, 241-251 (1997).
- 2. X. Oiu, A. H. Schönthal, E. Cadenas, Free Radical Biol. Med. 24, 848-854 (1998).
- 3. X. Qiu, A. H. Schönthal, H. J. Forman, E. Cadenas, J. Biol. Chem. 271, 31915-31922 (1996).
- T.G. Peterson, G.P. Ji, M. Kirk, L. Coward, C.N. Falany, S. Barnes, Am. J. Clin. Nutr. 68, 1505S-1511S (1998).
- 5. Y. Matsukawa, N. Marui, T. Sakai, Y. Satomi, M. Yoshida, K. Matsumoto, H. Nishino, A. Aoike, *Cancer Res.* 53, 1328-1331 (1993).
- 6. S. Balabhadrapathruni, T.J. Thomas, E.J. Yurkow, P.S. Amenta, T. Thomas, *Oncology Rep.* 7, 3-12 (2000)
- 7. Y.H. Choi, L. Zhang, W.H. Lee, K.Y. Park, Int. J. Oncol. 13, 391-6 (1998).

## APPENDICES

•

•

Table 1.				
CANCER CELLS USED IN THIS STUDY				
Cell Type				
	BT20	T47D	MCF7	MDAMB23
p53	-	-	+	+
ER	-	-	+	-

•

•

"-", denotes absence of a protein; "+", denotes presence of a functional protein; ER, estrogen receptor.

UPON INCUBATION WITH PHY TOESTROGENS						
	Cell Type					
Treatment	BT20			T47D		
	G1 %	G2/M %	S%	G1 %	G2/M %	<b>S%</b>
Control	55.5	22.5	21.9	43.2	44.9	11.7
Biochanin 5 µM	56.1	20.6	23.2	48.6	36.0	15.3
Biochanin 25 µM	56.1	20.6	23.2	47.1	31.0	21.8
Biochanin 100 µM	55.1	22.3	22.6	-	-	-
Daidzein 5 µM	56.2	20.4	23.2	46.3	40.6	13.0
Daidzein 25 µM	52.5	25.3	22.0	43.6	37.8	18.4
Daidzein 100 µM	51.6	30.3	18.0	-	-	-
Genistein 5 µM	56.0	20.9	23.0	50.6	30.8	18.4
Genistein 25 µM	50.2	34.4	15.3	50.5	37.3	12.1
Genistein 100 µM	21.5	52.7	25.7	30.3	69.5	0.2
Genistin 5 µM	54.6	23.3	21.9	46.5	40.4	12.9
Genistin 25 µM	47.1	29.5	23.3	13.1	75.8	11.0
Genistin 100 µM	38.4	43.8	17.7	-	-	-

 Table 2. MODULATION OF CELL CYCLE PARAMETERS IN BT20 AND T47D CELLS

 UPON INCUBATION WITH PHYTOESTROGENS

•

N

Cells  $(1 \times 10^6)$  were seeded and allowed to grow for 24 h, then treated with each phytoestrogen for 48 h. Cell cycle was measured as indicated in Materials and Methods.

INCUBATION WITH GENISTEIN (I	$100 \mu \text{WI}$ OR GENIST	$IIV (100 \mu IVI).$		
	Cell Type			
Treatment	<b>BT20</b>	T47D		
Control	1.6 %	1.6 %		
Genistein (48 h)	9.8 %	1.8 %		
Genistein (96 h)	14.3%	16.3%		
Genistin (48 h)	2.1 %	1.5 %		
Genistin (96 h)	8.5 %	9.5 %		

Table 3. Induction of Apoptosis in BT20 and T47D cells upon incubation with genistein (100  $\mu$ M) or genistin (100  $\mu$ M).

Cells  $(1 \times 10^6)$  were seeded and allowed to grow for 24 h, then treated with each phytoestrogen for 48 or 96 h. Apoptosis was measured as indicated in Materials and Methods.

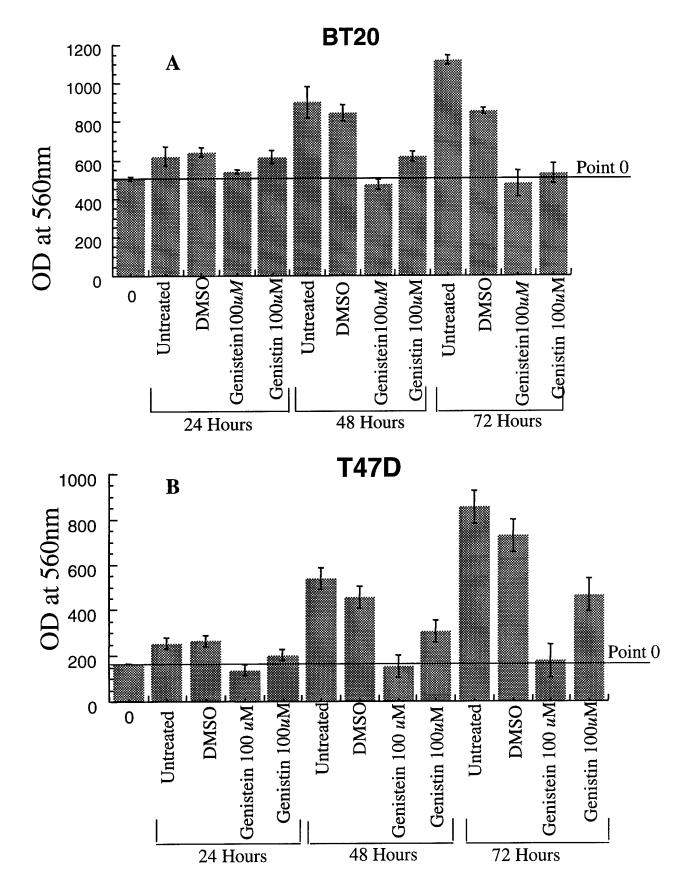
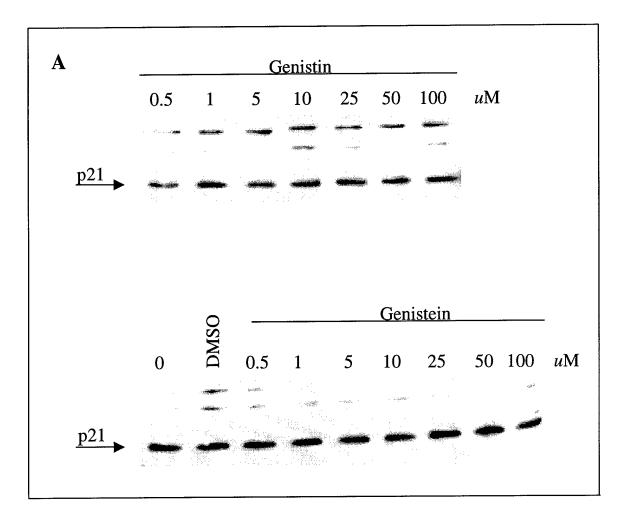


Figure 1 Cell Proliferation of BT20(A) and T47D(B) treated with genistein and genistin



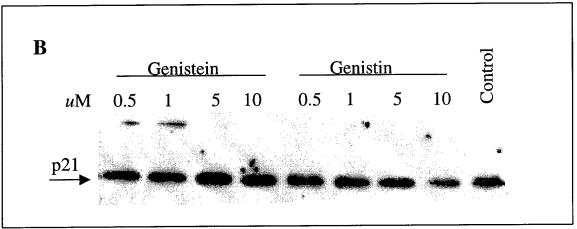


Figure 2 Western Analysis of the CDKI protein p21 of BT20(A) and T47D(B) cells treated for 8hours with genistein and genistin at different concentrations.

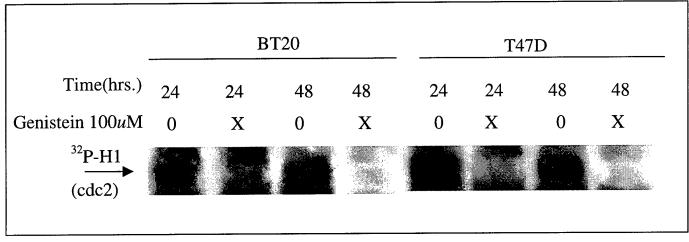


Figure 3 Effect of Genistein on cdc2 associated H1 kinase activity in BT20 and T47D cells.

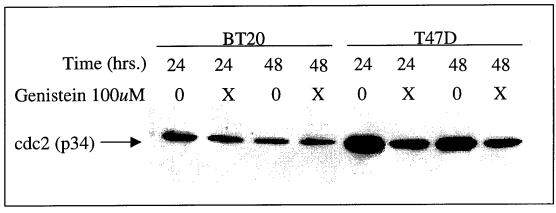


Figure 4 Western Analysis of cdc2 in the BT20 and T47D cells.

N