

REPORT DO	CUMENTATION PAG	GE	Form Appro	oved OMB No. 0704-0188
ubiic teporting burden for this collection of athering and maintaining the data needed, ollection of information, including suggestic	information is estimated to average 1 hour p and completing and reviewing the collection ins for reducing this burden to Washington H	per response, including of information. Send leadquarters Services	the time for reviewing ins comments regarding this b Directorate for Information	tructions, searching existing data source urden estimate or any other aspect of t 1 Operations and Reports, 1215 Jeffers
AGENCY USE ONLY (Leave blank)	2. REPORT DATE	3. REPOF	RT TYPE AND DATES (COVERED
	23 September 1998		Conference	Proceedings
TITLE AND SUBTITLE			5. FUN	DING NUMBERS
Logic Colloquium '98				F6170898W0010
AUTHOR(S)		<u></u>		
Comercine Committee				
PERFORMING ORGANIZATION N	AME(S) AND ADDRESS(ES)		8. PER	
Institute of Computer Scienc Pod vodarenskouvezi 2 Prague 182 07 Czech Republic	e, Academy of Sciences of the Czech	Republic		N/A
SPONSORING/MONITORING AGE	NCY NAME(S) AND ADDRESS(ES)		10. SPO	NSORING/MONITORING
FOARD			AGE	NCY REPORT NUMBER
PSC 802 BOX 14 FPO 09499-0200				CSP 98-1021
		·		
Approved for public release;	distribution is unlimited.			A
8. ABSTRACT (Maximum 200 words) The Final Proceedings for L	ogic Colloquium '98, 9 August 1998 - 1	5 August 1998	<u> </u>	<u></u>
This is an interdisciplinary c	onference. Topics include model theor	y, recursion theory,	logic in computer scien	ce, and fuzzy logic.
. SUBJECT TERMS				15. NUMBER OF PAGES
Logic, Fuzzy Logic, Modellir	g & Simulation, Recursion Theory, Rec	cursion Theory		178 16. PRICE CODE N/A
SECURITY CLASSIFICATION	18. SECURITY CLASSIFICATION OF THIS PAGE	19, SECURITY OF ABSTRA	CLASSIFICATION ACT	20. LIMITATION OF ABSTRAC
	1	1		
UNCLASSIFIED	UNCLASSIFIED	UNC	LASSIFIED	j UL

We wish to thank the following institutions for their support of the LC '98 conference:

- Association for Symbolic Logic, USA
- National Science Foundation, USA
- United States Air Force European Office of Aerospace Research and Development
- International Union for History and Philosophy of Science Division Logic, Methodology and Philosophy Science
- Hewlett-Packard s.r.o., Czech Republic

This volume contains abstracts of both invited and contributed talks presented at Logic Colloquium '98 in Prague. They are printed as prepared by their authors, with no editing. According to the tradition of Logic Colloquia, all submitted papers are automatically accepted, and they are not reviewed.

Petr Hájek, Jiří Sgall

CONTENTS

3

AUTHORS

TITLE

PAGE

TUTORIALS

Zlil Sela	The elementary theory of free groups	1
Petr Hájek	Metamathematics of fuzzy logic	2
Jan Krajíček	Propositional logic, arithmetic, and complexity	3

INVITED PLENARY TALKS

Thomas Jech	Stationary sets	4
Lance Fortnow	Separating classes	5
Bradd Hart	The uncountable spectra of countable theories	6
S. Barry Cooper	Hartley Rogers' 1965 agenda	7
Thomas Strahm	Metapredicativity	8
Greg Hjorth	Vaught conjecture on analytic sets	9.
Anton Setzer	The role of large cardinals in ordinal notation systems	10
Artur Ekert	On some ramifications of quantum computation	11
Leonard Lipshitz	The model theory of algebraically closed non-archimedean valued fields.	12
Peter Komjáth	Results and problems in combinatorial set theory	13
Phillip D. Welch	The maximality of inner models: questions related to the Jonsson property	14
Thierry Coquand	Formal topology, unwinding of proofs and proof theory	15
Alexander A. Razborov	Complexity of resolution proofs	16
Evgenii A. Palyutin	Commutative theories	17
Carl G. Jockusch, Jr.	Ramsey's theorem, computability, and second-order number theory	18

SPECIAL SESSIONS – Monday

MODEL THEORY - chair: L. van den Dries			
J. van der Hoeven	Undecidability versus undecidability	19	
B. Baizhanov	One-Types In Weakly O-Minimal Theories	20	
P. Speissegger	The Pfaffian Closure of an O-minimal Structure	21	

PROOF THEORY - c	hair: W. Buchholz	
J. Avigad	A realizability interpretation for classical arithmetic	22
A. Beckmann	Dynamic ordinal analysis of weak fragments of bounded arithmetic	23
R. Matthes	Functoriality of monotonicity witnesses in the system of positive (interleaved)	
	inductive types	24
L. Beklemishev	Proof-theoretic analysis by iterated reflection	25

SET THEORY - chair: B. Velickovic			
K. Hauser	Regularity and Determinacy in the Projective Hierarchy	26	
L Farah	Liftings and finite combinatorics	27	
M. Gitik	A simple extender based forcing	28	
A. S. Kechris	Borel equivalence relations	29	

COMPUTABILITY - chair: A. Kučera			
A. Morozov	On Recovering Recursion-theoretic Objects from Groups of Computable Symmetries	30	
F. Stephan	On the Structures Inside Truth-Table Degrees	31	
B. Schaeffer	Abstract Complexity Theory and the Degrees of Unsolvability	32	
C. T. Chong	The Friedberg Jump Inversion Theorem revisited: The role of a definable cut	33	

PHILOSOPHICAL LOGIC - chair: R. Parikh		
H. Arlo-Costa	Acceptance conditions for knowledge and qualitative probability	34
H. Rott	Making belief dynamics more dynamic	35
S. Lavine	Skolem was wrong	36

SPECIAL SESSIONS – Thursday

■ MODEL THEORY - chair: A. Macintyre			
J. Denef,	An Application of Quantifier Elimination to Singularity theory		
joint work with F. Loeser		37	
H. Schoutens	Model theoretic aspects of Artin Approximation	38	
L. Newelski	*-algebraic groups	39	
S. Starchenko	On groups definable in O-minimal structures	40	

PROOF THEORY - chair: G. Mints		
A. Urquhart	The Complexity of Decision Problems in Relevant Logics II	41
H. Geuvers	The meta-theory of typed lambda calculi and its relation to logical systems	42
M. Rathjen	Universes in type theory	43
S. Tupailo	Finitary reductions for local predicativity, I: recursively regular ordinals	44

SET THEORY - chair: L. Bukovský		
J. Brendle	Cardinal invariants of the continuum and combinatorics on uncountable	
	cardinals	45
S. Kamo	Cardinal invariants associated with certain games	46
P. Zlatoš	Indiscernibles in the Alternative Set Theory	47

COMPUTABILITY - chair: R. Shore			
M. M. Arslanov	The enumeration degree structures	48	
O. V. Kudinov	Intrinsically arithmetical relations and autostability	49	
S. A. Terwijn	Randomness and lowness	50	
D. Hirschfeldt, joint work	Degree Spectra and Computable Dimension in Algebraic Structures		
with B. Khoussainov ,			
R. Shore, A. Slinko		51	

FUZZY AND MANY VALUED LOGIC - chair: D. Mundici		
M. Baaz	On the proof-theory of fuzzy logic	52
U. Hoehle	Classification of Separated Subpresheaves over GL-Monoids	53
J. Paris, joint work with	Rational Pavelka predicate logic is a conservative extension of Lukasiewicz	
P. Hájek, J. Shepherdson	predicate logic	_54

CONTRIBUTED PAPERS – Tuesday

SESSION 1		
O. Spinas	Ramsey Theorems for Polish Planes	55
O. De la Cruz	"Finite" Axioms of Choice	56
P. Lipparini	Regular ultrafilters and (λ, λ) -compact products of topological spaces	57
A. Marcone	Definability in Function Spaces and Extensions of Functions	58
M. Zeman	Global [] and related principles in core models.	59
G. A. Marco	Some remarks on orbit isolation and analytic sets	60
H. Mildenberger	Splitting, Matrix Chaos, and Finitely Splitting	61
C. A. Di Prisco	Preservation of partition properties of L(R).	62
M. Dzamonja	Some results related to universal models	63
B. Majcher-Iwanow	Subgroups of $SF(\Omega)$ and the relation of almost containedness	64
Y. Zhang	MAD Families and compactness of permutation groups	65

SESSION 2		
KH. Niggl, S. Bellantoni, H. Schwichtenberg	Characterising Polytime Through Higher Type Recursion	66
V. D. Solovyev	Structure of information allocation and holographic sequences	67
P. A. Fejer	Every Incomplete Computably Enumerable Truth-Table Degree Is Branching	68
M. Schaefer	A Guided Tour of Minimal Indices and Shortest Descriptions	69
K. V. Korovin	Some properties of compositions of permutations with respect to algorithmic reducibilities	70
N. Bozovic	Recursive (un)recognizability of properties of finitely presented groups and computational algebra	71
M. Korovina, O. Kudinov	Computability by approximations over the reals	72

O. Kullmann	Restricted Versions of Extended Resolution	73
G. Ostrin	Elementary Arithmetic	74

SESSION 3		
T. Plotkin, B. Plotkin	Halmos Categories in Logic and Databases	75
M. Moses	n-Recursive Boolean Algebras	76
K. Nakatogawa, T. Ueno	Substructural logics obtained from van Oosten's little piece of categorical logic	77
S. G. Pyrkin	On m-equivalence of Superatomic I-Algebras	78
D. E. Pal'chunov	Strongly Constructivizable Prime I-Algebras	79
A. Prijatelj	On Logic with Definable Linear Modalities	80
A. Beltiukov	Alternating time complexity bounds for protothetics	81
M. Grohe, P. G. Kolaitis	On global closure ordinals	82
P. Jirků	Derivations based on partial pre-orderings	83
A. Drage	Apartness and group theory in constructive algebra	84, 85

SESSION 4		
L C. Burger, J. Heidema	Data-modulated Boolean algebras and consequence relations	86
H. R. Jervell	Finite and infinite Gentzen games	87
M. Giusto	Vitali's theorem and Reverse Mathematics	88
J L. Lee	On uniform Frege proof of pigeonhole principle	89
G. Moser	Local epsilon Substitution Method	90
N. Preining	How fast are sketches as proofs	91
P. Wojtylak	Equations raised by proof data.	92
M. Ardeshir	Uniform Interpolation in Basic Propositional Logic	93
M. Baaz, A. Leitsch	Fast elimination of monotone cuts	94
A. J. Gil, J. Rebagliato	A characterization of the Gentzen systems satisfying the cut rule	95

SESSION 5		
W. Carnielli	Proof-theory for infinite-valued logics: a functional approach	96
J. M. Davoren	On Continuous Dynamics and Modal Logics	97
W. Ruitenburg	Characterizing persistent formulas preserved under bisimulations	98
S. Mardaev	Least Fixed Points of Modal Formulas	99
M. Forti	Positive qualities and the ontological argument	100
D. Basin, L. Vigano,	Modal logics K, T, K4, S4: labelled proof systems and new complexity results	
S. Matthews		101
M. Brown, V. Goranko	An Extended Branching-time Ockhamist Temporal Logic	102
V. Goranko, D. Vakarelov	Hyperboolean Algebras and Hyperboolean Modal Logic	103
A. Indrzejczak	Multiple Sequent Calculus for Modal Logics	104
K. Sasaki	A formalization for the consequence relation of Visser's propositional logic	105

SESSION 6		
D. E. Tishkovsky	On Algebraic Counterpart of Beth Property of Superintuitionistic Predicate	106
	Logics	
L. C. Pereira, L. Franklin	Multiple Conclusion Natural Deduction for Intuitionistic Logic	107
W. Veldman	The Borel hierarchy theorem in intuitionistic mathematics	108
L. Maksimova	Projective Beth Property in Superintuitionistic Logics	109
M. Benini	Strong Constructivity of Second-Order Intuitionistic Arithmetic	110
P. Schreiner	Continua of superintuitionistic predicate logic without Beth's property	111
M. E. Coniglio	An Extension of Categorical Semantics	112
S. Negri	Extension of sequent calculi with nonlogical rules	113
M. Da S. Correa,	A Lazy Lambek Calculus	
E. H. Haeusler		114
R. Kahle	Supervaluation in applicative theories	115

CONTRIBUTED PAPERS - Friday

SESSION 7		
C. Toffalori	O-minimality and expansions of Boolean algebras	116
S. Baratella, SA. Ng	Neocompact Quantifier Elimination in Structures based on Banach Spaces	117
K. Johnson	Model-theoretic Constructions of Infinite Primitive Jordan groups	118
P. Ehrlich	Ordered Fields with Simplicity Hierarchies: Generalization's	
	of J. H. Conway's Ordered Field No	119

H. Andreka,	Omitting Types in Logics with Finitely Many Variables	
T. Sayed Ahmed		120
A. V. Molchanov	On endomaorphism semigroups of weak p-hypergraphs	121
E. Koublanova, B. Plotkin	Logic and Geometry in Group Representations	122
V. A. Molchanov	Axiomatization of classes of algebraic systems with the help	
	of nonstandard analysis methods	123
H. Leitgeb	Truth predicate defined by translations	124

SESSION 8		
P. D'Aquino, A. Macintyre	Non standard finite fields in $I\Delta_0 + \Omega_1$	125
J. Johannsen, Ch. Pollett	Bounded Arithmetic for Threshold Circuits and Counting Hierarchies	126
J. Grygiel	The link lattices of finite distributive lattices	127
W. Degen, J. Johannsen	Cumulative Higher-Order Logic as a Foundation for Set Theory	128
V. Khakhanian	The Axiom of Choice and Nonclassical Set Theory	129
K. Bendová	On the multiplication and ordering of natural numbers	130
S. Krajčí	Two remarks on partitions of ω with finite blocks	131
P. Elias	Distinguishing the classes of thin sets	132
I. Korec	Definability of exact arithmetical operations from approximate ones	133
I. Korec	Definability of addition and multiplication from Pascal's triangle modulo n	
	and number-theoretical functions	134

SESSION 9		
A. Hoogewijs, D. Van Heule	Tableaux for PPC	135
D. Van Heule, A. Hoogewijs	Tableaux in Automated Theorem Proving: Isabelle and PPC	136
N. Slaats, A. Hoogewijs	Semantic tableaux for LPF and the adequacy theorem	137
P. Kulicki	Axiomatic Rejection in First Order Theories	138
J. von Plato	Complete System of Natural Deduction Rules and Full Normal Form	_
	for Classical Propositional Logic	139
T. A. Meyer,	Semantic multiple contraction	
W. A. Labuschagne,		
J. Heidema		140
P. Bellot	Imperative program synthesis using a logic of actions	141
R. Dyckhoff	A deterministic terminating sequent calculus for propositional Dummett logic	142

SESSION 10			
J. H. Serembus	A Generalized Functional Completeness Proof for a Propositional Logic	143	
J. Leneutre	A linear logic with maximal distributivity properties	144	
R. A. Rinvold	Denotation systems and relations	145	
A. Demaille	Commutativity of the Exponentials in Mixed Linear Logics	146	
R. Elgueta	Algebraic characterizations for universal fragments of logic	147	
D. Durante Pereira Alves	Natural Ordinal and The Worst Reduction Sequence in Natural Deduction		
	and Typed Lambda Calculus	148	
M. Bunder, S. Hirokawa	Classical Formulas as Types of lambda nu-calculus	149	
J. Kuper	$Y\Omega_3$ is almost easy	150	
C. F. Nourani	MIM Logik	151	

SESSION 11				
O. N. Bushmakina	Problem of metalogical basis of being in contemporary philosophy	152		
L A. Semakina	Existential Structures of Language and Sense: the Problem of Description	153		
M. B. Wrigley	Wittgenstein's pre-"Tractatus" philosophy of mathematics	154		
B. Švandová	A Comparison Between the First Hypothesis of Plato's Parmenides			
	and the Undecidable Sentence of Kurt Godel	155		
D. Drai	Concepts of validity	156		
M. L. Zelbert,	Logical analysis of models of the fundamental conceptions of the modern			
D. K. Zadykhailo	physics.	157		
J. Jose da Silva	Husserl's two notions of completeness	158		
Z. Xunwei	Inversism - the 4th school of mathematical logic	159		
V. Sotirov	All Syllogistic Arithmetized a la Leibniz	160		

SESSION 12			
Ch. Fermueller	Many-valued modal logics beyond \Box and \Diamond	161	
L. Rents	On models for first-order fuzzy logics	162	

H. Veith	Interpolation in Fuzzy Logic	163
M. Navara	Comparison of semantics of fuzzy logics	164
M. Shimoda	A natural interpretation of fuzzy sets and relations	165
E. Turunen	Boolean deductive systems of BL-algebras	166
L. J. Kohout	Generalized Morphisms in BL-logics	167
P. Vojtáš	Fuzzy logic programming	168
V. Novák, L Perfilieva	Some Consequences of Herbrand and McNaughton Theorems in Fuzzy Logic	169
F. Esteva, L. Godo, P. Hájek,	Fuzzy logics with residuated implication and involutive negation	
M. Navara		170

ABSTRACTS PRESENTED BY TITLE

F. Collot	An effective construction of a well-ordering of the Continuum permits only to	
	verify the Cantor Hypothesis	171
M. Dumitru	On Incompleteness in Modal Logic. An Account through Second-Order Logic	172
V. R. Bairasheva	One example of applying the priority method in the theory of finite-state	173
	automata	
P. Cintioli	On Introreducible Sets	174
N. Shi	Universal Graph Problem and Algebraical Closure	175
S. R. M. Veloso,	On modularity and interpolation in general P-institutions	
P. A. S. Veloso,		
R. P. de Freitas		176
U. Kohlenbach	Mathematically strong extensions of ACA ₀	177

TUTORIALS & INVITED PLENARY TALKS

eacq) i

The Elementary Theory of Free Groups

Zlil Sela

Hebrew University

We borrow techniques and concepts from low dimensional topology, algebraic geometry, and geometric group theory to analyze sets of solutions to systems of equations over a free group. The obtained structure theory enables the study of elementary sets and elementary predicates defined over a free group.

Sec. Sec. 2

Metamathematics of fuzzy logic

Petr Hájek

Institute of Computer Science, Academy of Sciences 182 07 Prague, Czech Republic e-mail: hajek@uivt.cas.cz

Fuzzy sets were introduced by Zadeh in 1965. The term "fuzzy logic" has been for long used almost exclusively by non-logicians and papers and books on fuzzy logic deep from the point of view of mathematical logic have been rather rare. But the time seems ripe for serious logical investigation of fuzzy logic as a logic of vague propositions, propositions that may be more or less true – a logic with a comparative notion of truth (hence a kind of many-valued logic). The tutorial will survey the present state of knowledge in what could be called "mathematical fuzzy logic", indicate (briefly) use of formal systems in analyzing methods of fuzzy engineering (as fuzzy control) and present many open problems.

Part I: Propositional logic. Continuous t-norms as truth functions of conjunction. Basic fuzzy propositional logic, BL-algebras, completeness. Particular stronger logics: Lukasiewicz, Gödel and product logics. Standard completeness theorems (w.r.t standard semantics over the real unit interval). Adding truth constants: Pavelka logic. Results on computational complexity.

Part II: Predicate logic. Basic predicate logic, completeness theorem. Recursive axiomatizability of Gödel predicate logic (with standard semantics). Recursive non-axiomatizability of Lukasiewicz and product predicate logic. Pavelka predicate logic.

Part III: Using fuzzy logic. Fuzzy functions. Zadeh's approximate inference as deduction. Generalized quantifiers; "many". The liar paradox and fuzzy logic.

References: (1) P. Hájek: Metamathematics of fuzzy logic. Kluwer, to appear 1998. (2) P. Hájek, J. Paris: A dialogue on fuzzy logic. Soft computing 1 (1997) 1-3. (3) P. Hájek, J. Paris, J. Shepherdson: The liar paradox and fuzzy logic. Submitted.

-2-

Propositional logic, arithmetic, and complexity (tutorial)

Jan Krajíček

Mathematical Institute, Academy of Sciences, Prague and University of Oxford

My ambition for the tutorial is to explain how the three areas and their main open problems (the length-of-proofs question for Frege systems, the finite axiomatizability of bounded arithmetic, and the P vs. NP problem, respectively) are related, and why it is interesting.

I shall put emphasis on independence results for bounded arithmetic and lower bounds for proof complexity.

An extensive bibliography can be found in [1].

References

[1] Krajíček, J. (1995) Bounded arithmetic, propositional logic, and complexity theory, Encyclopedia of Mathematics and Its Applications, Vol. 60, Cambridge University Press.

STATIONARY SETS

THOMAS JECH

The Pennsylvania State University

We examine the role of stationary sets in modern set theory. We give an overview of the current research on stationary sets and present some open problems.

DEPARTMENT OF MATHEMATICS, THE PENNSYLVANIA STATE UNIVERSITY, UNIVERSITY PARK, PA 16802

E-mail address: jech@math.psu.edu

1.101.00

Separating Classes Lance Fortnow University of Chicago

One of the greatest challenges in theoretical computer science and computational complexity is to separate complexity classes. We have very few interesting cases where we can show that one class of languages strictly contains another other than by straightforward simulation and diagonalization.

In this talk we look at the possibility of separating the complexity classes L (problems solvable in logarithmic space) and NP (problems solvable in nondeterministic polynomial time). While answering this question will not settle the famous P versus NP question, it would still separate two important complexity classes.

We will discuss two recent approaches to this problem. The first approach tries to settle this question by trading off time by alternation. We show that if Boolean formula satisfiability, the seminal NP-complete problem, requires a small amount of time we can simulate a large number of alternations with a small amount of time. We can then contrast this with an extension of a result of Nepomnjaščiĭ showing that large number of alternations require a large amount of space. Combining these ideas yields new time-space tradeoffs for satisfiability and may lead to a separation of nondeterministic time (NP) and space (L). In particular we show that no computer can solve satisfiability using $n \log^k n$ time and \sqrt{n} space where nis the size of the formula and k is any constant. This is the first nontrivial lower bounds for satisfiability on general random-access Turing machines.

The second approach follows along the lines of Post's program. We will try to separate classes by looking at properties of classes, in particular autoreducibility. A set A is autoreducible if we can determine whether an element x is in A by querying the set $A - \{x\}$.

We show that all of the complete sets for the class of languages computable in exponentialtime are autoreducible. We also show that there is some complete set for the class of languages computable in doubly-exponential space that is not autoreducible. This give a new qualitative difference between these two classes.

Using translation arguments we show that settling the autoreducbility of complete sets for sets computable in exponential space would yield separations of important lower complexity classes. If all such languages are autoreducible then we have achieved our goal of separating NP from L. Otherwise we can exhibit some language computable in polynomial-space but not in NP.

The research on autoreducibility is joint work with Harry Buhrman, Leen Torenvliet and Dieter van Melkebeek.

Both of these papers are available from http://www.cs.uchicago.edu/~fortnow.

Speaker: Bradd Hart McMaster University Hamilton, Ontario, Canada L8S 4K1 e-mail: hartb@mcmaster.ca

Title: The uncountable spectra of countable theories

Abstract: Consider the relation that, for a fixed complete countable theory T with infinite models, assigns to every uncountable cardinal κ the number of non-isomorphic models of T of cardinality κ . This is called the uncountable spectrum of T. After Shelah completed the Main Gap for countable theories, the question of the possible uncountable spectra remained open. Several years ago, Hrushovski outlined a program to answer this question. E. Hrushovski, M.C. Laskowski and I have carried out this program and in this talk I would like to discuss some of the history of the problem and at the same time indicate what new elements beyond Shelah's Main Gap play a role in the complete computation.

HARTLEY ROGERS' 1965 Agenda

S. Barry Cooper

University of Leeds Leeds LS2 9JT England

s.b.cooper@leeds.ac.uk http://www.amsta.leeds.ac.uk/~pmt6sbc/

In his lecture at the 1965 Logic Colloquium in Leicester, Hartley Rogers raised a number of very general questions which have since become basic to computability theory.

Starting out from a reassessment of Rogers' concerns of that time, as described in his seminal paper [1967], we review the current situation in regard to the related research program.

Reference

H. Rogers, Jr. [1967], Some problems of definability in recursive function theory, in "Sets, Models and Recursion Theory" (J. N. Crossley, ed.), Proceedings of the Summer School in Mathematical Logic and Tenth Logic Colloquium, Leicester, August-September, 1965, North Holland, Amsterdam, pp. 183-201.

Metapredicativity

Thomas Strahm

Institut für Informatik und angewandte Mathematik, Universität Bern Neubrückstrasse 10, CH-3012 Bern, Switzerland strahm@iam.unibe.ch

The foundational program to study the principles and ordinals which are implicit in a predicative conception of the universe of sets of natural numbers led to the progression of systems of ramified analysis up to the famous Feferman-Schütte ordinal Γ_0 in the early sixties. Since then numerous theories have been found which are not prima facie predicatively justifiable, but nevertheless have predicative strength in the sense that Γ_0 is an upper bound to their proof-theoretic ordinal. It is common to all these predicative theories that their analysis requires methods from predicative proof theory only, in contrast to the present proof-theoretic treatment of stronger impredicative systems. On the other hand, it is well-known since long that there are natural systems which have proof-theoretic ordinal greater than Γ_0 and whose analysis makes use just as well of methods which every proof-theorist would consider to be predicative. Nevertheless, not many theories of the latter kind have been known until recently.

Metapredicativity is a new general term in proof theory which describes the analysis and study of formal systems whose proof-theoretic strength is beyond the Feferman-Schütte ordinal Γ_0 , but which are nevertheless amenable to predicative methods. It has turned out only recently that the world of metapredicativity is extremely rich and that it includes many natural and foundationally interesting formal systems.

In this talk we give a general survey and introduction to metapredicativity. In particular, we discuss various examples of metapredicative systems, including (i) subsystems of second order arithmetic, (ii) first and second order fixed point theories, (iii) extensions of Kripke-Platek set theory without foundation by reflection principles, and (iv) systems of explicit mathematics with universes.

Relevant keywords for our talk are: arithmetical transfinite recursion and dependent choice; restricted bar induction; transfinite hierarchies of fixed points; transfinite fixed point recursion; hyper inaccessibility, Mahloness and higher reflection without foundation; universe operators.

-8-

The Vaught conjecture on analytic sets

Greg Hjorth

Definition A Polish group is a topological group that is Polish as a space – that is to say, separable and allows a complete metric. If a Polish space is acted on continuously by a Polish group G then we say it is a Polish G-space.

From the point of logic perhaps the most important Polish group is Sym(N) – the group of all permutations of the natural numbers with the topology of point wise convergence. This group stands out for its role in inducing the isomorphism relation on countable models. For \mathcal{L} a countable language, we may give the space of all \mathcal{L} -structures on N a Polish topology, either that generated by first order or that generated by quantifier free formulas. There is a natural action of Sym(N) on this space of all countable models such that the isomorphism relation is exactly the resulting orbit equivalence relation.

Definition A subset of a Polish space is *perfect* if it is closed, non-empty, and contains no isolated points. A perfect set necessarily has size continuum.

Let us say that a Polish group G satisfies the Vaught conjecture on analytic sets if whenever it acts continuously on a Polish space X, and $A \subset X$ is the continuous image of a closed set in a Polish space, and A/G (the orbits of G in A) is uncountable, then there is a perfect $P \subset A$ such that any two points in P have distinct orbits.

It is known that there are PC counterexamples to the original Vaught conjecture for countable models, and hence the infinite symmetric group Sym(N) does not satisfy Vaught's conjecture on analytic sets. Here I will present a result to the effect that the only groups not satisfying the Vaught conjecture are the ones that are in some sense as complicated as Sym(N).

Theorem A Polish group G satisfies Vaught's conjecture on analytic sets if and only if there is no closed subgroup H and continuous homomorphism from H onto $Sym(\mathbb{N})$.

Department of Mathematics UCLA Los Angeles CA90095-1555 USA

LC '98 Book of Abstracts

The role of large cardinals in ordinal notation systems

Anton Setzer, Department of Mathematics, Uppsala University email: setzer@math.uu.se, home page: http://www.math.uu.se/ setzer

Since Gentzen's analysis of Peano Arithmetic, one goal in proof theory has been the reduction of the consistency of mathematical theories to the well-ordering of ordinal notation systems. In the case of Gentzen's system and slight extensions the well-ordering of the systems used is quite intuitive. Stronger ordinal notation systems are usually developed by using cardinals, large cardinals or their recursive analogues and the main intuition is developed from set theory. Therefore they are no longer as intuitively well-ordered as the weaker systems, an obstacle for the understanding of such systems for non-specialists.

Ordinal systems is an alternative presentation of ordinal notation systems in such a way, that we have intuitive well-ordering arguments. They are defined in such a way that we can transfinitely enumerate all ordinal notations by repetitively selecting out of the set of ordinals, which are denoted by using ordinals previously chosen, the least element not chosen before with respect to some (well-ordered) termination ordering. In order to guarantee the correctness of this process and that it enumerates all ordinals, the following conditions are required:

- An ordinal notation is finite and refers only to smaller ordinals.
- If $\alpha < \beta$ can be denoted, then α is below some of the ordinals, β is denoted from, or the denotation of α is with respect to the termination ordering less than the denotation of β .
- If A is a set of ordinal notations which is well-ordered, the set of ordinals which can be denoted from ordinals in A is well-ordered with respect to the termination ordering.

An ordinal system is elementary, if the above condition can be verified in primitive arithmetic, and elementary ordinal systems reach all ordinals below the Bachmann-Howard ordinal. In order to get beyond this bound, the analogue of cardinals in this approach is needed.

It turns out that what is needed are subprocesses: Instead of choosing in the main process the next ordinal directly, at every stage we need to start a subprocess in an ordinal system, which is relativized with respect to the ordinals already denoted in the main process. Once this subprocess is complete and has enumerated all ordinals in the relativized system, we can verify that the set of ordinals denotable from the ones previously selected in the main system is well-ordered with respect to the termination ordering and we can therefore select the next ordinal. For stronger ordinal notation systems, a more complicated arrangement of such subprocesses is necessary and therefore the role of cardinals in ordinal notation systems becomes clear: they are a way of organizing these processes.

We will analyze the relationship between these processes and cardinals in the case of regular cardinals, inaccessible cardinals and Mahlo cardinals.

On some ramifications of quantum computation

Artur Ekert

Clarendon Laboratory, University of Oxford, U.K. <u>ekert@physics.ox.ac.uk</u>

The development of the theory of quantum computation has shown quite clearly that computation is a physical process and can only be properly understood within a context of physical theory - certain conjectures have to be resolved by experimentation rather than by pure reasoning. This leads to very interesting problems regarding, for example, the nature and status of mathematical truth, proof, inference.

I will introduce basic concepts and methods of quantum computations and show how the classical understanding of a proof as 'a sequence of propositions each of which is either an axiom or follows from earlier propositions in the sequence by the standards rules of inference' must be modified to account for quantum proofs. We must accept that in future, quantum computers will prove theorems by methods that neither a human brain nor any other arbiter will ever be able to check step-by-step, since if the 'sequence of propositions' corresponding to such a proof were printed out, the paper would fill the observable universe many times.

THE MODEL THEORY OF ALGEBRAICALLY CLOSED NON-ARCHIMEDEAN VALUED FIELDS

LEONARD LIPSHITZ

Purdue University, West Lafayette, IN 47907, USA

We consider algebraically closed fields K, with a non-archimedean norm $|\cdot|$: $K \to \mathbb{R}_+$ satisfying the ultrametric triangle inequality.

$|a+b| \le \max\{|a|, |b|\}$

in the language with symbols for the field operations, the norm and multiplication and order on \mathbb{R}_+ .

In 1956 A. Robinson proved the model-completeness and decidability of the theories of such fields. In the 1970's V. Weispfening (and others) gave quantifier eliminations for these theories. In the last 10 years (in the case that K is complete in $|\cdot|$), stimulated by work of Denef and van den Dries on the reals and *p*-adics, there has been renewed interest in the model theory of these fields in various analytic languages — i.e. the above mentioned language enriched by function symbols for various classes of analytic functions, and a symbol for bounded division

$$D(x,y) = x/y \text{ if } |x| \le |y| \ne 0,$$

0 otherwise.

Results include quantifier elimination in various languages (Lipshitz (1993), Schoutens (1994), Gardener and Schoutens (1997)) and model-completeness (Z. Robinson and Lipshitz (1997)). These results lead to the development of theories of semi-analytic and subanalytic sets over these fields with the results (but not the proofs) being in close analogy to the real and p-adic cases.

The talk will outline this development from 1956 to the present.

Results and problems in combinatorial set theory

Péter Komjáth

We survey some recent results and mention some corresponding problems in combinatorial set theory.

Theorem 1. (Komjáth-Shelah) There are natural numbers t_n such that for any $n < \omega$ it is consistent that there is a set mapping $f : [\omega_n]^4 \to [\omega_n]^{<\omega}$ with no free sets of cardinal t_n .

Theorem 2. (Komjáth–Shelah) For every $n < \omega$ it is consistent that there is a set mapping $f : [\omega_n]^2 \to [\omega_n]^{<\omega}$ with no infinite free sets.

Theorem 3. If A is a set of reals, $|A| \leq \aleph_2$ and $f : A \to P(A)$ is a set mapping with f(x) meager for every $x \in A$ then A has an ordering in which every initial segment is meager.

Theorem 4. It is consistent that the previous theorem fails for some $A \subseteq \mathbf{R}$ with $|A| = \aleph_3$.

Theorem 5. It is consistent that $\omega_1 \rightarrow (\omega_1, (\omega : 2))^2$ yet $\omega_1 \not\rightarrow (\omega_1, \omega + 2)^2$.

Theorem 6. It is consistent that $\omega_2 \not\rightarrow (\omega_1, \omega + 2)^2$.

Theorem 7. $\omega_3 \to (\omega_1, \omega + n)^2$ holds for every $n < \omega$.

The Maximality of Inner Models: questions related to the Jónsson property

P. D. Welch

Graduate School of Science & Technology, Kobe University, Rokko-dai, Nada-ku Kobe 657, Japan.

We shall look at various problems arising from embeddability properties to the universe V (or initial segments thereof), and their interactions with inner models.

It is well known that embeddings of certain inner models L, L^{μ}, \ldots give rise to larger cardinal like properties, than the models themselves contain. The rigidity of such canonical inner models allows certain properties of V to reflect down to the inner model concerned.

We shall look at questions related to the "maximality" properties of such models, and in particular, how the Jónsson property interacts with them. (A cardinal κ has the Jónsson property if every algebra $\mathcal{A} = \langle A, (f_n)_{n < \omega} \rangle$, with $\kappa \subseteq A$ (where f_n is a sequence of finitary functions) has a proper elementary subalgebra \mathcal{A}' of the same cardinality κ .)

There has been a history of interactions between this property and inner models, as such cardinals provide elementary embeddings of part of a submodel to the model itself.

We shall consider the maximality of canonical inner models with respect to this and other properties, and the effect of the assumption of the existence of such a Jónsson cardinal on, say, its power set, and on embeddings of submodels of V to V.

Formal Topology, Unwinding of proofs and Proof Theory

Thierry Coquand

The notion of "topology without points", where the space is described in terms of "observable elements" and not of ideal points, provides a concrete and natural approach to topological notions. (This was stressed for instance by K. Menger.) We illustrate in this talk its potential for unwinding proofs on the example of a topological proof of van der Waerden's theorem on arithmetical progressions. This approach, if carried out in a weak metalanguage, can also be applied to proof theory. We give as an example of such an application a direct reduction of the classical theory of sigma01 induction to its intuitionistic version.

Complexity of Resolution Proofs

Alexander A. Razborov Steklov Mathematical Institute Moscow, RUSSIA

Complexity of propositional proofs plays as important a role in the theory of feasible proofs as the role played by the complexity of Boolean circuits in the theory of efficient computations. In many cases it provides a very elegant and combinatorially clean framework for studying provability of Σ_0^b -formulae in first-order theories of feasible arithmetic that bears essentially the same message as the original framework of first-order ("uniform") provability.

During several last years, many talks were delivered in various places on general aspects of either the whole discipline or its rather broad parts like Algebraic Proof Systems. It seems, by now the field has matured enough, and the time for more specialized survey talks has come. This talk is designed in such a way, and we choose for it one of the lowest levels in the hierarchy of propositional proof systems, Resolution (historically this was also the first p.p.s. considered by Tseitin in his seminal paper of 1968!)

Despite its simplicity, establishing lower bounds is a hard task not only for general resolution, but even for its more restricted versions like Regular Resolution. We survey known results in this direction, proved both by purely combinatorial arguments (like Haken's bottleneck technique) and by more general reductions to related problems in Complexity Theory (interpolation-like theorems).

Much of the research in the area is concentrated on the proof complexity of so-called *Pigeon-Hole-Principle*, and we will see how drastically it changes when we vary the number of pigeons (comparatively to the number of holes). We focus our attention on the central open problem in the area: understanding the proof complexity of this principle in case when the number of pigeons is very big, potentially infinite. We sketch some partial results and approaches toward this goal aimed at *regular* resolution. On our way, we recall a beautiful characterization of the latter system in purely computational terms of read-once branching programs for some specific search problems. The existence of such a characterization is something absolutely unique in the whole area of complexity of propositional proofs. We will see some related models of Rectangular Calculus and Transversal Calculus, and we will see some related (computational) bounds for read-once branching programs.

The last part of the talk consists of results obtained jointly with A. Wigderson and A. Yao.

LC '98 Book of Abstracts

Commutative theories

E.A.Palyutin

Commutative model theory generalizes Model theory of modules. The formation of the notion "Commutative theories" is connected with papers of author [1-3]. These papers had no connections with modules, but the paper [4] had binded these two directions. In this paper was proved a combinatorical fact, which generalizes the well known Neumann's lemma about coverings groups by cosets of their subgroups [5].

{\bf Definition.} An elementary theory $T\$ (not complete in general case) is commutative if for each model $A\$ of $T\$ the theory $Th(A^{omega})$ of its direct power A^{omega} is stable and has no Dimention Order Property (DOP).

The property \$DOP\$ was introduced by S.Shelah to describe elementary theories without structural model theory [6]. Stable theories without \$DOP\$ are called weakly classificable theories. There is an "elementary" definition of commutative theories, but we have no enough place here to give it. The basic result for Commutative model theory is the following quantifier elimination theorem. It generalizes the well known Baur-Garavaglia-Monk theorem from Model theory of modules [7].

{\bf THEOREM 1.} Let \$T\$ be a commutative theory. Then each formula in \$T\$ is equivalent to a Boolean combination of positive primitive formulas and one-placed formulas.

In particular, such quantifier elimination is true for weakly classificable Horn theories.

{\bf References:}

1.E.A.Palyutin -- Algebra i Logika, 1980, Ô. 19, N5.

2.E.A.Palyutin -- Algebra i Logika, 1985, Ô. 24, N5.

- 3.E.A.Palyutin -- Algebra i Logika, 1991, Ô. 30, N5.
- 4.E.A.Palyutin -- Algebra i Logika, 1995, Ô. 34, N3.
- 5.Neumann B.H. -- J. London Math. Soc., 1954, v. 29.
- 6.Shelah S. -- Classification theory, North-Holland, 1990.

7.Ziegler M. -- Ann. Pure and Appl. Logic, 1984 v.26.

Ramsey's theorem, computability, and second-order number theory Carl G. Jockusch, Jr. University of Illinois at Urbana–Champaign jockusch@math.uiuc.edu

(Joint work with P. Cholak and T. Slaman and, separately, with T. Hummel)

I will survey some results on the complexity of infinite homogeneous sets for the various forms of Ramsey's theorem and the formal strength of these forms as statements of second-order arithmetic. These two topics are closely related.

Let RT(n, k) be the assertion that for any k-coloring of the n-element sets of natural numbers there is an infinite set H which is homogeneous (i.e. all n-element subsets of H have the same color).

The following result refutes a conjecture of mine in [3].

Theorem 1. (with P. Cholak and T. Slaman, [1]) For any n > 1 and any computable k-coloring of the *n*-element sets of natural numbers, there is an infinite homogeneous set H such that H'' (the second jump of H) is computable from $0^{(n)}$ (the *n*th jump of the empty set).

The proof of the next result is closely related to the proof of the n = 2 case of Theorem 1. Let RCA_0 be the system of second-order arithmetic based on algebraic axioms, Δ_1^1 -comprehension and $I\Sigma_1$ (Σ_1 induction) (see [5]). This theorem improves Sectapun's theorem [4] that RT(2,2) does not imply ACA_0 (arithmetic comprehension) over RCA_0 . In contrast, Simpson [5] has shown that RT(n,k) is equivalent to ACA_0 for each n > 2, k > 1.

Theorem 2. (with P. Cholak and T. Slaman, [1]) Any Π_1^1 sentence of the language of second-order number theory provable from $RCA_0 + I\Sigma_2 + RT(2,2)$ is provable from just $RCA_0 + I\Sigma_2$. In particular, PA (Peano arithmetic), and even $B\Sigma_3$ (Σ_3 bounding), are not provable from $RCA_0 + I\Sigma_2 + RT(2,2)$.

Cholak, Slaman, and I also analyze the strength of the statement $(\forall k)RT(2, k)$ and show that it implies $B\Sigma_3$ but not $B\Sigma_4$ over RCA_0 .

The work with T. Hummel concerns n-cohesive sets. An infinite set A of natural numbers is called n-cohesive if A is almost homogeneous for each computably enumerable 2-coloring of n-element sets.

Theorem 3. (with T. Hummel, [2]) For each n there is a Δ_{n+1}^0 set which is n-cohesive.

For n = 2, Hummel and I show in [2] that Theorem 3 can be improved to show the existence of a Π_2^0 set which is 2-cohesive. However, we do not know whether there exists a Π_n^0 set which is *n*-cohesive for any n > 2.

References

[1] P. Cholak, C. Jockusch, and T. Slaman, The strength of Ramsey's theorem for pairs, in preparation.

[2] T. Hummel and C. Jockusch, Generalized cohesiveness, to appear in J. Symbolic Logic.

[3] C. Jockusch, Ramsey's theorem and recursion theory, J. Symbolic Logic 37 (1972), 268-280.

[4] D. Seetapun and T. Slaman, On the strength of Ramsey's theorem, Notre Dame J. Formal Logic 36 (1995), 570-582.

[5] S. Simpson, Subsystems of second order arithmetic, to appear.

-18-

LC '98 Book of Abstracts

SPECIAL SESSIONS Monday

Undecidability versus undecidability

Joris van der Hoeven LIX, École polytechnique, 91128 Palaiseau, France. e-mail: vdhoeven@lix.polytechnique.fr

April 29, 1998

To several mathematicians, the undecidability theorem of Gödel came as a shock. Under the influence of this shock, a general opinion has arisen that as soon as one proves a problem to be undecidable, this problem is to hard and in a certain sense, not worth it studying it any longer. In our talk, we want to put into perspective the importance of the concept of undecidability in the sense of Gödel on the hand of our main research topic: the automatic resolution of non linear differential equations.

First of all, many theoretically undecidable problems may be almost decidable in practice in the sense that the undecidable part of the problem is "degenerate". For instance, the problem of solving algebraic differential equations locally (when stated in a sufficiently general way) is undecidable. Nevertheless, when we write down such an equation at random, we have a large probability that we may solve it by the implicit function theorem.

On the other hand, certain questions which are theoretically decidable may be very hard to decide in practice. For instance, there exists an "algorithm" to compute the sign of $\sin 10^{10^{10^{10}}}$, but we would be very happy to own a computer which would actually be able to compute this sign.

The above discussion shows that the classical concept of undecidability is somehow not adapted to practice. Now the important question is how to build a theory of practical decidability? Ideally speaking, we would like to have algorithms for solving differential equations, which are able to detect whether the resolution of a given equation essentially involves a practically undecidable problem and, if not, to actually solve the equation.

In order to make this work, it is important to make a "catalogue" of practically undecidable problems, which may be very different from the undecidable problems we are used to. We will see that in absence of oscillation, we do have practical decidability. In cases of oscillation, we shall discuss the problems of levels of exponentiality, Diophantine approximation, small divisors and a link between "chaos" and resummation theory.

A final interesting aspect of our approach is that it may be necessary to found the theory on a new system of "plausible axioms". The logical problem we are faced to here is the following: it is highly probable that many mathematical truths can not be proved, because the classical set of mathematical axioms is to weak. For instance, consider the zero-test problem for exp-log constants (i.e. constants built up from the rationals by $+, -, \times, /$, exp and log). Such a zero-test was given by Richardson if Schanuel's conjecture holds. This algorithm has the particularity that it fails on a particular input if and only if this input leads to an explicit counter example. For this reason, Schanuel's conjecture would be a good candidate for a "plausible axiom", but others will be discussed in our talk.

One-Types In Weakly O-Minimal Theories

Bektur Baizhanov

Institute of Informatics and Control Problems National Academy of Sciences Almaty, Kazakhstan LNS@ipic.academ.alma-ata.su

This report is devoted to consideration of 1-types over sets in models of weakly o-minimal theories. Six classes of 1-types over sets are distinguished, notion of neighbourhood of a set in 1-type, notion of independence of a set of elements, and notions of almost and weak orthogonality of two 1-type are untroduced. It is proved that the relation of weak (almost) non-orthogonality is a equivalence relation, the class of definable types and each of six distinguished classes is stable under weak (almost) non-orthogonality. A criterium of non-definability of a 1-type over a set is obtained, in terms of convergence to the type of a formula on a definable sets.

As an application of the obtained results, we can mention two tests which play an important role in the theory of beautiful pairs.

Theorem A An expansion of a model of a weakly o-minimal theory by convex unary predicate has weakly o-minimal theory.

Theorem B Let M be an elementary submodel of a model N of a weakly o-minimal theory, N be an enough saturated model, A be a finite subset of N\M such that the type of A over M is definable, b be an element from N\M such that the type of b over the union of M and A (denoted q) is irrational, non-strictly definable.

Then the following conditions are equivalent:

1. The type of b over the union of M and A is definable.

2. There exists a quasirational type r from set of 1-types over M such that type of some element c over the union of M and A is not weakly orthogonal to q. Here, c is from set of realization of type r in N such that c less than (or greater than) the neighbourhoods of the set A in the type r.

THE PFAFFIAN CLOSURE OF AN O-MINIMAL STRUCTURE

PATRICK SPEISSEGGER

Abstract. Every o-minimal expansion $\widetilde{\mathbb{R}}$ of the real field has an ominimal expansion $\mathcal{P}(\widetilde{\mathbb{R}})$ in which the solutions to Pfaffian equations with definable C^1 coefficients are definable.

UNIVERSITY OF TORONTO, CANADA M5S 3G3 E-mail address: speisseg@math.utoronto.ca

A realizability interpretation for classical arithmetic

Jeremy Avigad Department of Philosophy Carnegie Mellon University

Abstract

A realizability interpretation for classical arithmetic in a Tait-style sequent calculus is presented. On the one hand, this interpretation is shown to coincide with the familiar form of intuitionistic realizability, under a suitable translation of the sequent calculus to natural deduction followed by an application of the Friedman-Dragalin translation. On the other hand, normalization of the realizing term and the corresponding intuitionistic proof are shown to be compatible with typical cut-elimination procedures. As a result, witnessing functions extracted from proofs of Π_2 sentences by each of the two methods compute the same result.

Dynamic ordinal analysis of weak fragments of bounded arithmetic

Arnold Beckmann University of Münster Institut für Mathematische Logik und Grundlagenforschung Einsteinstr. 62, 48149 Münster, Germany Arnold.Beckmann@math.uni-muenster.de

Abstract: We define the dynamic ordinal of a theory which is a suitable analogue of the usual proof theoretic ordinal for weak theories of arithmetic. We compute the dynamic ordinal of certain fragments of bounded arithmetic by adapting methods from the SCHÜTTE-style ordinal analysis of the fragments $I\Sigma_n^0$ of PEANO-arithmetic. Then different dynamic ordinals immediately imply the separation of the associated fragments of bounded arithmetic. This way we also obtain the separation of very weak fragments of bounded

arithmetic based on induction for $s\Sigma_n^{bb}$ -formulas in the non-relativized case, i.e. without set variables or predicate variables. The $s\Sigma_n^{bb}$ -formulas are build up from double sharply bounded formulas by counting alternations of bounded

quantifiers.

LC '98 Book of Abstracts

Functoriality of monotonicity witnesses in the system of positive (interleaved) inductive types

Ralph Matthes*

Lehr- und Forschungseinheit für theoretische Informatik Institut für Informatik der Universität München, Germany

As part of the definition of system M2LJ in [1] (p. 311), for every type ρ and every type variable α which occurs only (not necessarily strictly) positively in ρ , a closed term of type $(\alpha \rightarrow \alpha') \rightarrow \rho \rightarrow \rho[\alpha := \alpha']$ is defined. This term map_{$\lambda\alpha\rho$} witnesses monotonicity of ρ in the argument α and is used to define β reduction for the type $\mu\alpha\rho$, which intuitively represents the least pre-fixed-point of $\sigma \mapsto \rho[\alpha := \sigma]$. For the work to be reported it is essential that already ρ may have been built up by the help of some $\mu\alpha'\rho'$. This may happen by nesting as e.g. the countably-branching trees are defined by the help of the inductive type of the naturals. The more difficult case is given by interleaving μ -types as e.g. $\mu\alpha.1 + (\text{tree}(\alpha) \rightarrow \alpha)$ with tree(ρ) := $\mu\alpha.1 + (\rho \rightarrow \alpha)$ (This example is due to Ulrich Berger.) In [2] for the non-interleaved μ -types (more precisely: only for positive type schemes) it is shown that the terms map_{$\lambda\alpha\rho$} are functorial, i.e. they preserve identity and composition with respect to β - and η -equality. We also find in [2] the notion of initial $\lambda\alpha\rho$ -algebra as opposed to weakly initial algebra which is modelled by β -equality.

We now extend functoriality to any $\max_{\lambda\alpha\rho}$ in the system M2LJ. For this to be true we have to add the canonical η -rule for $\mu\alpha\rho$ but also the conditional equality expressing (full) initiality of $\mu\alpha\rho$, i.e. every term of type $\mu\alpha\rho \rightarrow \sigma$ which behaves on constructor terms of type $\mu\alpha\rho$ as some μ -elimination is equal to the function defined by this elimination. This makes the resulting equality a priori undecidable because it gives a formal induction principle. The proof of functoriality obviously also needs a similar statement for the terms $\operatorname{comp}_{\lambda\alpha\rho}$ with α only negatively in ρ which had to be defined simultaneously with the terms $\operatorname{map}_{\lambda\alpha\rho}$. Moreover, we simultaneously have to prove a permutation rule for map and comp (for different indices) which in some sense expresses naturality. The essential case is the interleaved μ . We need all the induction hypotheses due to a lack of permutative conversions for $\mu\alpha\rho$. The idea is to introduce permutative conversions following the well-known example of permutative conversions for sum types which are confluent and strongly normalizing together with β - and η -reduction.

I define equalities in order to permute μ -eliminations with any elimination which follows—including another μ -elimination. Unlike the initiality rule these equalities are not conditional. They do not apply to any μ -elimination followed by another elimination but to sufficiently many so as to ensure functoriality: Functoriality may be proved from these equalities (plus β - and η -equality) without the rule of (full) initiality. On the other hand, they are provably correct in the former theory, and the proof uses initiality, functoriality and the permutation rule which had to be proved simultaneously with functoriality.

I believe that the proposed equations may be turned into rewrite rules which preserve strong normalization and confluence. The resulting decidable equality theory will be a great help in the study of the relation between iteration and (full) primitive recursion on positive inductive types and positive fixed-point types.

References

- [1] Daniel Leivant. Contracting proofs to programs. In P. Odifreddi, editor. Logic in Computer Science, Academic Press, pp 279-327.
- [2] Herman Geuvers. Inductive and coinductive types with iteration and recursion. In Nordström et al, editors. Preliminary Proceedings Workshop on Types for Proofs and Programs, Båstad, June 1992, pp 193-217 (ftp://ftp.cs.chalmers.se/pub/cs-reports/baastad.92/proc.dvi.Z).

^{*}I am thankful for support by the Volkswagenstiftung.

L. Beklemishev

Steklov Math. Institute, Moscow and Münster University

Transfinite recursive progressions of iterated reflection principles can be used for a meaningful ordinal classification of arithmetical theories. This approach provides an alternative proof-theoretic analysis of Peano Arithmetic PA and, among other things, yields familiar characterizations of its provably recursive functions. From a technical point of view, this kind of analysis only uses the simplest form of cutelimination for pure predicate calculus and is easily formalizable in the theories as weak as $I\Delta_0$ +Supexp.

This method is also interesting for the reason that it allows to classify Π_1^{0} consequences of theories via iterated consistency assertions, whereas the more usual
characterizations of provably recursive functions via fast growing hierarchies only
capture their Π_2^{0} -consequences. As an example, we calculate the Π_1^{0} -ordinal of
PA+Consis(PA), which happens to be equal to $\epsilon_0 + \epsilon_0$.

This approach is especially advantageous in the study of fragments of PA. We prove that the class of provably recursive functions of the fragment of PA axiomatized by the induction schemas for Σ_1 -formulas (with parameters) and Π_2 -formulas without parameters coincides with the class of doubly-recursive functions of R.Peter, that is, with the ω^2 -th class in the Grzegorczyk hierarchy. Each of these theories taken separately corresponds to the class of primitive recursive functions (for the case of pure Σ_1 -induction this is a well-known theorem of Parsons, Mints and Takeuti [3], the case of pure Π_2 -induction without parameters is analyzed in [1]). A generalization of this to Σ_n -induction together with Π_{n+1} -induction without parameters yields the ordinal $\omega_n(2)$.

These results are based on a generalization to an essentially wider class of theoriesof the so-called Fine structure theorem of U.Schmerl on iterated uniform reflection principles over Primitive Recursive Arithmetic. We also obtain and apply a number of new results on local reflection principles. Over $I\Delta_0$ +Exp the uniform reflection schema for Σ_1 -formulas (for any reasonable theory) is Σ_2 -conservative over the corresponding local reflection schema. A generalization of this fact to higher levels of arithmetical hierarchy is related (and gives new proofs of) some results in [2].

References

- L.D. Beklemishev. Parameter free induction and reflection. In G. Gottlob, A. Leitsch, and D. Mundici, editors, *Computational Logic and Proof Theory*. Lecture Notes in Computer Science 1289. Springer-Verlag, Berlin, 1997, pp. 103-113.
- [2] R. Kaye, J. Paris, and C. Dimitracopoulos. On parameter free induction schemas. Journal of Symbolic Logic, 53(4):1082-1097, 1988.
- [3] C. Parsons. On a number-theoretic choice schema and its relation to induction. In A. Kino, J. Myhill, and R.E. Vessley, editors, *Intuitionism and Proof Theory*, pages 459–473. North Holland, Amsterdam, 1970.

Regularity and Determinacy in the Projective Hierarchy

Kai Hauser

Department of Mathematics, University of California, Berkeley CA 94720, USA Lehrstuhl für Mathematische Logik, Humboldt Universität, 10099 Berlin, Germany Email: hauser@math.berkeley.edu, hauser@mathematik.hu-berlin.de

The last problem from the list in [1] asks whether Projective Determinacy (PD) can be derived in ZFC from its regularity consequences for the projective sets. The projective sets form the smallest class of sets containing the Borel sets which is closed under complementation and the formation of continuous images. PD states that in certain two-person games of infinite length with projective pay-off sets, one of the players must have a winning strategy. It is a strong set theoretic assumption completely determining the behavior of the projective sets. Among its consequences are Lebesgue measurability of projective sets, its dual in terms of category (the property of Baire) and projective uniformization (a choice principle saying that for each projective set A in the real plane, there exists a function with projective graph which picks for each number x in the projection of A onto the real line a witness y putting the pair (x, y) into A). In 1981 Woodin [2] conjectured that (in ZFC) these regularity properties conversely imply PD. By a recent theorem of Steel this is not the case, however, *effective* versions of the question are still open and suggest an intimate connection with the theory of canonical models for large cardinal axioms.

In my talk I will present some partial results and discuss various conjectures which would settle effective versions of Woodin's conjecture.

References

- A.S. Kechris, D.A. Martin and J.R. Steel (eds.), Appendix: Victoria Delfino Problems II, in: Cabal Seminar 81-85, Lecture Notes in Math. 1333 (1988), 221-224.
- [2] W.H Woodin, On the Consistency Strength of Projective Uniformization, in: Logic Colloquium '81, J. Stern (ed.), North Holland (1982), 365-383.
Title: Liftings and finite combinatorics Author: Ilijas Farah Affiliation: York University E-mail: ifarah@mathstat.yorku.ca

Quotients $\mathcal{P}(N)/\mathcal{I}$ over analytic ideals \mathcal{I} on the integers have been extensively studied from various points of view. For example, they have recently played a prominent role in the study of Borel equivalence relations (for more see Kechris' lecture at this Colloquium). They have also been considered as quotient Boolean algebras, a route suggested by Erdös and Ulam a while ago. The key to understanding the relationship between analytic quotients is in understanding the connecting maps between them, like Borel-reductions of equivalence relations, or homomorphisms of quotient algebras. Clearly, the existence of lifting theorems saying that a given connecting map has a lifting of a particularly simple, or canonical, form greatly simplifies questions whether two quotients are isomorphic, or whether one embeds into another. It appears that statements of this form often reflect as finite combinatorial statements. In the case of Boolean algebras canonical liftings correspond to Rudin-Keisler reductions between the ideals, and in many interesting cases (but not always!) homomorphisms do have canonical liftings. In the case of Borel-reductions of equivalence relations induced by ideals the situation is by far more complex, in particular it is not so clear which maps should play the role of canonical liftings. It is worth remarking that the finite statements associated with the existence of liftings often strongly resemble ones encountered in rather distant fields of mathematics. We shall overview the current situation in the area and discuss some of the open problems, emphasizing possible directions for the further research.

A simple extender based forcing.

Moti Gitik

A simple forcing for blowing the power of a singular cardinal without adding new bounded subsets will be presented. It simplifies the previous technics of M. Magidor and the author.

Borel equivalence relations

Alexander S. Kechris

Caitech <u>kechris@caltech.edu</u> <u>www.math.caltech.edu/people/kechris.html</u>

In this talk I will discuss recent results and open problems concerning the structure of Borel equivalence relations on Polish spaces. Alexander S. Kechris Department of Mathematics Caltech Pasadena, CA 91125

Office Phone (626)395-4368,395-4335 Office Fax (626)585-1728 Home Phone (310)397-6975 Home Fax (310)391-4225

On Recovering Recursion-theoretic Objects from Groups of Computable Symmetries

A.S.Morozov

Institute of Mathematics, 630090, Novosibirsk, Russia e-mail: morozov@math.nsc.ru

Universität Heidelberg Mathematisches Institut Im Neuenheimer Feld 294 D-69120 Heidelberg Germany e-mail: morozov@math.uni-heidelberg.de

The first part of the talk contains the results on recovering Turing degrees from the groups of all permutations of natural numbers recursive in these degrees as well as from all possible nontrivial quotients of these groups, i.e., from quotients by subgroups of all finitary and even permutations. We study how these degrees are defined by isomorphism types of these groups as well as by their elementary theories.

In the second part, some similar problems for groups of Σ -definable permutations of recursively listed locally countable admissible sets are studied.

-30-

On the Structures Inside Truth-Table Degrees *

Frank Stephan[†]

Universität Heidelberg

Abstract. The following theorems on the structure inside nonrecursive truth-table degrees are established: Dëgtev's result that the number of bounded truth-table degrees inside a truth-table degree is at least two is improved by showing that this number is infinite. There are even infinite chains and antichains of bounded truthtable degrees inside the truth-table degrees which implies an affirmative answer to a question of Jockusch whether every truth-table degree contains an infinite antichain of many-one degrees. Some but not all truth-table degrees have a least bounded truth-table degree. The technique to construct such a degree is used to solve an open problem of Beigel, Gasarch and Owings: there are Turing degrees (constructed as hyperimmune-free truth-table degrees) which consist only of 2subjective sets and do therefore not contain any objective set. Furthermore a truth-table degree consisting of three positive degrees is constructed where one positive degree consists of enumerable semirecursive sets, one of co-enumerable semirecursive sets and one of sets, which are neither enumerable nor co-enumerable nor semirecursive. So Jockusch's result that there are at least three positive degrees inside a truth-table degree is optimal. The number of positive degrees inside a truth-table degree can also be some other odd integers as for example nineteen, but it is never an even finite number.

^{*}Paper is available as technical report: F. Stephan, On the structures inside truthtable degrees, Forschungsberichte Mathematische Logik 29 / 1997, Mathematisches Institut, Universität Heidelberg, Heidelberg, 1997, http://math.uni-heidelberg.de:80/logic/ publications/postscipts/tr29.ps.

[†]Mathematisches Institut, Universität Heidelberg, Im Neuenheimer Feld 294, 69120 Heidelberg, Germany, Email: fstephan@math.uni-heidelberg.de. Supported by the Deutsche Forschungsgemeinschaft (DFG) grant Am 90/6-2.

Abstract complexity theory was developed by M. Blum in order to exploit the underlying similarities between different complexity measures for computable sets to prove general theorems holding for all such measures. Simply by relativizing Blum's definition, one can apply abstract complexity theory to the degrees of unsolvability.

In these investigatitions we focus on Δ_2^0 functions, and the main complexity measure we use is the mind-change measure, a well-known notion of complexity for Δ_2^0 functions. This measure has been investigated by Ershov and Epstein, Haas, and Kramer.

Definition 0.1 A function f is g-c.e. if there exists a computable function h(x,s) such that $\lim_{s} h(x,s) = f(x)$ and

$$|\{s: h(x, s+1) \neq h(x, s)\}| \le g(x)$$

for all x.

Abstract complexity theory focuses our investigations in two ways. First of all, it points out the importance of considering functions that are *g*-c.e. for noncomputable *g*. Also, since an analogue of the Gap Theorem holds in our context, we develop a notion of a Δ_2^0 -honest function that is conceptually related to the honest functions of computational complexity theory.

An interesting notion of complexity for degrees is *f*-approximability.

Definition 0.2 A degree **a** is f-approximable if every function it computes is f-c.e.

We introduce a hierarchy of Δ_2^0 functions, the composition hierarchy, that is suitable for investigating the complexity theoretic properties of degrees. It turns out that the L_2 degrees are especially convenient to analyze in this way. Using this framework, we produce hierarachy results for generic degrees, c.e. degrees, and array noncomputable degrees in L_2 . Transfinite levels of the composition hierarchy such as c^{ω} or $c^{\omega+1}$ can be easily defined by extending this definition.

Definition 0.3 Let c^0 denote the computable functions. By induction, a function $f \in c^{n+1}$ if f is g-c.e. for some $g \in c^n$.

We examine the array noncomputable (anc) degrees introduced by Downey, Jockusch, and Stob. To indicate the type of result proved and the methods needed, one can show, using a simple finite injury argument, that there exist F-approximable anc degrees, where $F \in c^{\omega}$. Using a 0" tree argument, one can show there exist F-approximable anc degrees, where $F \in c^1$.

It turns out that a degree's structural properties can be linked to it's complexity theoretic properties. For instance, we can exmine complexity-based generalizations of the **anc** property. In our framework, the **anc** degrees are the c^1 -nc degrees. Downey and Shore prove that every $c^{\omega+1}$ -nc c.e. degree bounds a copy of M_5 in the c.e. degrees. On the other hand, Walk shows that there exists a c^1 -nc degree that fails to bound a copy of M_5 in the c.e. degrees.

The Friedberg Jump Inversion Theorem revisted: The role of a definable cut

C. T. Chong

We report on a joint work with Yue Yang and Lei Qian.

The Friedburg Jump Inversion Theorem states that every degree above 0' is the Turing jump of a degree. We provide a level-by-level analysis of this Theorem. The main result states that Σ_n induction is necessary and sufficient for every degree between 0' and $0^{(n)}$ (inclusive) to be the Turing jump of a degree.

The proof hinges on an anatomy of Σ_n definable cuts in models of fragments of Peano arithmetic. Despite its seemingly simple looking set-theoretic definition, there is a wealth of information hidden in a definable cut, and its existence affects the structure of definable degrees of unsolvability in an essential way.

As a by-product, we also show that the existence of a low recursively enumerable degree is equivalent to Σ_1 induction, implying that its proof-theoretic complexity is greater than that of the Friedberg-Muchnik Theorem, known to hold without Σ_1 induction (earlier result of Chang and Mourad).

Acceptance conditions for knowledge and qualitative probability Horacio Arló-Costa Carnegie Mellon University

hcosta@andrew.cmu.edu

The first part of the paper provides acceptability conditions for knowledge (or full belief). Let L be a modal language containing the knowledge operator K. An information model is a set E of L-theories such that for every consistent T in E: (1) $A \in T$ iff $K(A) \in T$; (2) $A \notin T$ iff $\neg K(A) \in T$.

Acceptance in T is represented by set theoretical membership in T. Such theories are usually called stable in autoepistemic logic, and we will use here the same terminology. A sentence is valid in an information model if it is accepted in all the stable theories of the model. A sentence is valid if it is valid in all information models. Let Th(S5) be the set of theorems of the modal system S5. We show that A is in Th(S5) if and only if A is valid. We compare this result with a similar theorem proved by Robert Moore in [6].

The intuitionistic notion of assertability differs from the notion of acceptability used in the above characterization. Although the tertium non datur is valid there might be a piece of information T such that $(A \lor B)$ is accepted in T, although neither A nor B are accepted in T. In fact, there is an interesting resemblance between the behavior of the *forcing* relation used in quantum logics and our notion of acceptance. Recent work done in this area in philosophy of science ([1], [2] and [3]) is reviewed and contrasted with our approach.

In the second part of the paper we introduce a notion of qualitative probability Prob. The notion in question circumvents qualitative versions of the so-called lottery paradox. Although we abandon the rule of Adjunction for Prob (see [4]), we maintain the deductive closure of the stable theories used in the model. Full belief is not defined here in terms of a more basic notion of probability. Infinitary versions of the lottery paradox considered in [5] are presented as the main obstacle to accomplish such reduction.

[1] M. L. Dalla Chiara and R. Giuntini, 'Quantum logical semantics'.

[2] Levi, Isaac, 'The logic of full belief,' in The Covenant of Reason: Rationality and the Commitments of Thought, CUP, 40-69, 1997.

[3] B. C. Van Fraassen, 'Fine-grained opinion, probability and the logic of full belief,' *Journal of Philosophical Logic* 24, 349-377, 1995

[4] Kyburg, Henry E., Jr., 'The rule of Adjunction and reasonable inference,' Journal of Philosophy, March 1997.

[5] Maher, Patrick, Betting on Theories, CUP, 1993.

[6] Moore, Robert, Logic and Representation, CSLI Lecture Notes No. 39, 1995.

Making belief dynamics more dynamic Hans Rott

The talk addresses conceptual problems in the change of beliefs, or more precisely: change of information states, that have shown up as a result of formal work on the logic of belief change in the last two decades. Special emphasis is given to the problem of iterated revisions which is hardly treated in the classical theories of the paradigm founded by Alchourron, Gärdenfors and Makinson (AGM). Some important problems about the representation and processing of possibly inconsistent information, however, surface only in this truly dynamic context.

Three different dimensions of coherence may be distinguished in the dynamics of belief systems. A synchronic concept (roughly, consistency and/or closure), a diachronic one (roughly, minimal change) and a dispositional one (usually codified in special 'rationality postulates'). Contrary to how the folklore has it I argue that the idea of minimal change has not been an important factor driving the investigations in the field; much more research has been devoted to dispositional coherence.

An important idea I discuss in the talk is that dispositions may stay as a relatively stable feature of a cognitive system that develops in time, thereby serving as a basis for conservative strategies of iterated belief change. I follow up that line of argument using orderings of sentences that are commonly referred to as relations of 'epistemic entrenchment.' A logical characterization of entrenchment is given that frees the notion of many of its (annoyingly) strong requirements. I also present a semantic approach in terms of choice functions. When the usual assumptions for belief change made by AGM are added, the conservative method for iterated theory change is equivalent to a method due to Boutilier who suggested a modeltheoretic construction a few years ago.

Unfortunately, even in my more flexible setting the conservative method leads to undesirable results. My diagnosis is that conservatism in belief dynamics leads to a violation of a fourth dimensions of coherence, which may be called temporal coherence. Conservative belief change is then compared with other methods describable in the AGM framework: alienated belief change (which is ultraconservative), radical belief change and moderate belief change. Only the latter approach, due to Nayak, complies with constraints regarding temporal coherence.

LC '98 Book of Abstracts

Skolem Was Wrong Shaughan Lavine Department of Philosophy, University of Arizona shaughan@ns.arizona.edu

The following apparent dilemma began with Skolem: A theory must be either first order or else higher order or infinitary. But neither alternative is adequate to categorize a subject of mathematical research, say, for example, the natural numbers or the sets. First-order logic is too weak—any theory of the natural numbers or the sets that is couched in it will have unintended models, in addition to the ones desired, and so will fail to characterize its subject. On the other hand, second-order and infinitary logics are themselves inadequately characterized, and they require axiomatization—either in higher-order or infinitary logic, which leads to a vicious regress, or else in first-order logic, which leaves theories just as inadequate as those stated directly in first-order logic. The dilemma has led to skepticism about whether mathematical theories can coherently be taken to refer to mathematical objects at all and to attempts to claim that the subject matter of mathematics needs no independent characterization. No such drastic reactions are necessary, since the dilemma is only apparent. Skolem and his heirs have missed a third possibility, one that solves the problem posed.

I show, using an idea developed by Feferman to a different end, that there are suitably categorical axiomatizations of the usual mathematical structures in an extension of first-order logic that is, for present purposes, adequately characterized and therefore not subject to the objections made to higher-order and infinitary logics.

Feferman's idea is that of a full schema: a structure for the language \mathcal{L} is a model of an ordinary *schema* presented in the language \mathcal{L} if it is a model of each instance of the schema in the language \mathcal{L} ; but a structure in any language \mathcal{L}^+ is a model of a *full schema* presented in a language \mathcal{L} if \mathcal{L}^+ includes \mathcal{L} and the structure is a model of each instance of the schema in the language \mathcal{L}^+ (not just \mathcal{L}). To compare two models of, for example, Peano arithmetic with full schematic induction, consider a structure that includes both models. The full induction schemas will have instances in the combined language that are not in the language of either model taken separately, and those instances suffice to show that the two models are isomorphic. Analogous results hold for standard axiomatizations of set theory, the real numbers, and so forth.

I argue first, that the full induction schema is a codification of our intentions in defining the natural numbers, second, that the possibility of combining two models of Peano arithmetic with full schematic induction into one structure cannot coherently be denied so long as one is willing to raise the question whether our conception of the natural numbers suitably characterizes them, and finally, that the notion of a full schema is not subject to the problems posed for higher-order and infinitary logics. Related proposals by Parsons, McGee, and Shapiro will be discussed, as well as criticisms by Field of the present proposal.

SPECIAL SESSIONS Thursday

An Application of Quantifier Elimination to Singularity theory

Jan Denef (joint work with F.Loeser)

We study the scheme of formal arcs on a singular algebraic variety and its images under truncations. These were first considered by J.F.Nash. We prove a rationality result for the Poincare series of these images which is an analogue of the rationality of the Poincare series associated to the p-adic points on a p-adic variety. The main tools which are used are quantifier elimination for the field of power series over C and motivic integration (a notion first introduced by M. Kontsevich). Semi-algebraic geometry on the scheme of formal arcs is used to obtain new geometric invariants of singularities.

Title: Model theoretic aspects of Artin Approximation Author: Hans Schoutens Affiliation: Wesleyan University E-mail: hschoutens@wesleyan.edu

The following theorem is due to Artin. Let K be a field and $X = (X_1, \ldots, X_n)$ be a set of variables. Let $R = K[[X]]^{alg}$ denote the ring of algebraic power series. Then this ring is existentially closed inside K[[X]] and we just say that R has the Artin Approximation Property. This theorem has many important applications both in algebraic geometry and in commutative algebra. For instance, using model theoretic considerations, van den Dries showed how it can be put to use to prove the existence of Big Cohen-Macaulay modules in zero characteristic.

One observes that one of the key properties of the (Noetherian) local ring R is that it is Henselian (i.e., satisfies Hensels Lemma) and it is dense inside the complete local ring K[[X]]. This lead Artin to the following general conjecture: any Henselian excellent local ring has the Artin Approximation Property, i.e., is existentially closed inside its completion (with respect to the maximal ideal topology). Spivakovsky has now proven this theorem, using a technique called smoothening along a section. However, his proof is still inaccessible, so that alternative approaches to this conjecture would be welcome. We indicate how a model theoretic analysis might yield a different proof, at least in some special cases. The idea is to find an appropriate elementary class of local rings which is closed under taking the completion of a model and then to study its existentially closed models.

The class $C_{d,e}$ of local rings we have in mind is the collection of all equicharacteristic local Cohen-Macaulay rings of fixed Krull dimension d and fixed multiplicity e. Unfortunately, no elementary class of positive dimensional rings containing only Noetherian models can exist and hence in particular the above class is not elementary. But it turns out that there is a first order theory $\mathcal{T}_{d,e}$ of rings of which the Noetherian models are precisely the models in $C_{d,e}$. Moreover, any Hausdorff (and whence in particular any complete) model is Noetherian and the class is closed under taking completion, as required.

Using the results of an earlier work on existentially closed Artinian local rings, we show that the existentially closed models of the class $C_{d,e}$ are precisely the Gorenstein rings with algebraically closed residue field for which the Artin Approximation Property holds. (Unfortunately existentially closed models of $\mathcal{T}_{d,e}$ are necessarily non-Hausdorff and whence non-Noetherian, so we are not exactly in the situation we wanted to be in). Hence a new proof to Artin's Conjecture would be provided by showing that a Henselian local Gorenstein ring R with algebraically closed residue field is existentially closed in $\mathcal{C}_{d,e}$. Of course, as part of the latter one needs to show that R is existentially closed inside its completion, but perhaps there exist other means to prove the result without going through this particular exemplification of it. For instance one could take a non-principal ultraproduct of the R/m^n , where m is the maximal ideal, take its Hausdorffication R^* and show that R is existentially closed in \mathcal{R}^* .

*-algebraic groups Ludomir Newelski Mathematical Institute of Wroclaw University, Mathematical Institute of the Polish Academy of Sciences e-mail: newelski@math.uni.wroc.pl

In 1985, Hrushovski and Pillay proved that any group interpretable in a stable theory is abelian-by-finite. 1-basedness is a central geometric property considered in stability theory.

I introduced the notion of m-independence (being the strongest natural notion of independence on finite tuples, which refines the forking independence of Shelah, in a small stable theory) and developed a theory of m-independence parallel in many ways to the theory of forking independence.

*-finite *-algebraic tuples are a special kind of countable tuples of elements of a model of T. They play for m-independence a role similar to imaginaries in forking.

Restricting just to *-algebraic tuples, I extended m-independence to an arbitrary small theory. I defined the notion of an m-normal theory, corresponding in the theory of m-independence to that of a 1-based theory in forking.

A *-algebraic group is a type-definable group of uniformly *-finite *-algebraic tuples. For instance, if G is a group definable in a model of T, then the group G/G^0 is *-algebraic, where G^0 is the connected component of G.

I obtained some results on *-algebraic groups in small m-normal theories similar to the results of Hrushovski and Pillay on groups interpretable in 1-based stable theories. For example I proved that any *-algebraic group interpretable in a small m-normal theory is abelian-by-finite. I proved that any superstable theory T with < continuum countable models is m-normal (and small). So in this case, for any group G interpretable in T, the group G/G^0 is abelian-by-finite.

It is open, whether any *-algebraic group interpretable in a small theory is abelian-by-finite.

References:

E.Hrushovski, A.Pillay, Weakly normal groups, in: Logic Colloquium'85, ed. Paris Logic Group, North Holland 1987, 233-244. L.Newelski, m-normal theories, preprint.

On groups definable in o-minimal structures

Sergei Starchenko

University of Notre Dame starchenko.1@nd.edu

Let M be an o-minimal expansion of a real closed field R, and G be a subgroup of GL(n,R) definable in M.

THEOREM (A.Pillay, Y.Peterzil, S.Starchenko)

Either

G is semialgebraic;

or

definable in the structure $\langle R, r1(x), ..., rk(x) \rangle$, where each ri(x) is an M-definable automorphism of the multiplicative group of positive elements;

or

definable in the structure $\langle R, exp \rangle$, where exp is an M-definable isomorphism between the additive group of R and the multiplicative group of positive elements.

The Complexity of Decision Procedures in Relevance Logic II

Alasdair Urquhart University of Toronto

We show that there is no primitive recursive decision procedure for the implication-conjunction fragments of the relevant logics \mathbf{R} , \mathbf{E} and \mathbf{T} , as well as for a family of related logics. The lower bound on the complexity is proved by combining the techniques of an earlier paper on the same subject [4] with a method used by Lincoln, Mitchell, Scedrov and Shankar [2] in proving that propositional linear logic is undecidable.

The decision problem for the pure implicational fragments of E and R were solved by Saul Kripke [1]; Meyer [3] extended Kripke's procedure to include conjunction. Here we provide a lower bound on the complexity of these decision problems by showing that there is no primitive recursive decision procedure for them. We also show that the Kripke/Meyer decision procedure is primitive recursive in the Ackermann function. Since the lower bound is given in terms of a variant of the Ackermann function, the upper and lower bounds roughly match each other.

References

- Saul A. Kripke. The problem of entailment. The Journal of Symbolic Logic, 24:324, 1959. Abstract.
- [2] P. Lincoln, J. Mitchell, A. Scedrov, and N. Shankar. Decision problems for propositional linear logic. Annals of Pure and Applied Logic, 56:239-311, 1992.
- [3] R.K. Meyer. Topics in Modal and Many-valued Logic. PhD thesis, University of Pittsburgh, Pennsylvania, 1966.
- [4] Alasdair Urquhart. The complexity of decision procedures in relevance logic. In J. Michael Dunn and Anil Gupta, editors, *Truth or Consequences: Essays in honour of Nuel Belnap*, pages 61-76. Kluwer, Dordrecht, 1990.

The meta-theory of typed lambda calculi and its relation to logical systems

Herman Geuvers

Due to the formulas-as-types embedding, various logical systems in natural deduction style can be embedded into systems of typed lambda calculus. In this embedding the derivations become terms, which are `first class citizens' of the type theory. This allows systems of typed lambda calculus to be used as interactive proof assistants. There is a strong relation between various meta-theoretic results of type theory and proof-theoretic results of the logic, e.g. normalization and cut-elimination.

In the talk we give an overview of the formulas-as-types embedding for various logical systems and we discuss the issue of completeness of the embedding. Furthermore we present several different presentations of typed lambda calculi and discuss the issue of their equivalence. The work presented here will partly be of an introductory nature, covering results in [1] (partially also reported in [2]). We will also discuss relations with some new results, notably in [3]

[1] Herman Geuvers, Logics and Type Systems, PhD. Thesis, Nijmegen University, 1993

[2] Henk Barendregt, Lambda Calculi with Types, Vol2 of Handbook on Logica in CS, eds. Abramsky, Gabbay, Maibaum, OUP, pp. 117--310.

[3] Gilles Barthe and Morten Heine Sorensen, Domain Free Pure Type Systems in Proceedings of LFCS'97, LNCS 1234, ed. S. Adian and A. Nerode, pp 9--20.

Universes in type theory

Michael Rathjen

Department of Pure Mathematics, University of Leeds, Leeds LS2 9JT, United Kingdom rathjen@amsta.leeds.ac.uk

The introduction of universes in Martin-L $\$ of type theory resembles in many aspects the process of extending classical set theory via large cardinal axioms, and can thus be considered a constructivist's large cardinal programme.

The idea of forming universes in type theory is to introduce a universe as a set closed under a certain specified ensemble of set constructors, say C. The universe then ``reflects" C. It is well in keeping with the spirit of Martin-L\"of type theory as an open ended system to add new set constructors to existing formalizations. As a result, the formation of universes reflecting C can be employed as a new set constructor, which then gives rise to new universes (dubbed ``superuniverses") etc. ...

During the last three years, the exact proof-theoretic strength of many formalizations of Martin-L\"of type theory with universes has been charted out. The talk will survey some of these result, including very recent ones about the strength of superuniverses.

Finitary reductions for local predicativity

Sergei Tupailo

Department of Mathematics, Stanford University Stanford, CA 94305-2125, USA, sergei@csli.stanford.edu

There is an extensive literature connecting infinitary "Schütte-style" and finitary "Gentzen-Takeuti-style" sides of proof theory. For example, in papers [Mi75, Mi79, Bu91] this was done for systems not exceeding in strength Peano Arithmetic. But most recently, there has been an interest to what one can get on the side of finitary proof theory from the methods which are used for proof-theoretical analysis of impredicative theories. Especially we want to mention paper [Bu97], where it was shown that Takeuti's reduction steps for $\Pi_1^1 - CA + BI$ can be derived from Buchholz' method of $\Omega_{\mu+1}$ -rule.

Here we continue this line. As far as we know, the method of $\Omega_{\mu+1}$ -rule has certain limitations as far as its power is concerned (no generalizations of it for theories stronger than ID_{μ} are known). On the contrary, modern ordinal analysis employs another very powerful tool, bearing a name of *local predicativity*, originally introduced by W. Pohlers and developed further by W. Buchholz, G. Jäger, M. Rathjen and others. On the side of finitary proof theory, Gentzen-Takeuti's finitary methods have been pushed very far by T. Arai [Ar97]. So, in order to bring these two directions of modern proof-theoretical analysis closer together, a need arises to adapt methodology used in [Bu97], to the context of local predicativity. This is what we are concerned with in the present research.

We have worked out our method for two theories: a theory T_{Σ} of recursively regular ordinals and a much stronger theory T_M of recursively Mahlo ordinals. Since our translation is pretty much the same in both cases, we will describe briefly only the latter case.

First we consider an infinitary version of T_M , T_M^{∞} , and define standard collection of operators on infinitary derivations, needed for proof-theoretical analysis of that theory: First Cutelimination \mathcal{R} , Second Cutelimination \mathcal{E} , Predicative Cutelimination \mathcal{E}_{γ} , Regular Bounding $\mathcal{B}_{\beta,\kappa}$, Regular Collapsing $\mathcal{D}_{\kappa}^{\mu}$, Mahlo Bounding $\mathcal{B}_{\beta,M}$ and Mahlo Collapsing \mathcal{D}_M (cf. [Ra91]). Then, based on definitions of the operators above, for a finitary theory T_M^* , which extends T_M , we derive finitary cutelimination steps together with corresponding ordinal assignments.

Cutelimination for the finitary system formally makes no reference to its infinitary version, reduction steps being defined by primitive recursion on the derivation. However, a convenient way to prove that ordinals decrease during reduction procedure comes from considering infinitary translations.

It should be noted that our translation method seems to be completely universal, and we do not expect any conceptual difficulties applying it to stronger theories. This would then provide a one-to-one correspondence between finitary and infinitary approaches to ordinal analysis.

References

[Ar97] T. Arai. Proof theory for theories of ordinals, I-III. Preprints, 1996-97

[Bu91] W. Buchholz. Notation system for infinitary derivations. Arch. Math. Logic, 30:277-296, 1991

- [Bu97] W. Buchholz. Explaining the Gentzen-Takeuti reduction steps. Preprint, 1997
- [Mi75] G. Mints. Finite investigations of transfinite derivations. In: G. Mints, Selected Papers in Proof Theory. Bibliopolis, 1992: 17-71. Russian original: 1975
- [Mi79] G. Mints. A new reduction sequence for arithmetic. In: G. Mints, Selected Papers in Proof Theory. Bibliopolis, 1992: 77-96. Russian original: 1979

[Ra91] M. Rathjen. Proof theoretic analysis of KPM. Arch. Math. Logic, 30:377-403, 1991

[Tu98] S. Tupailo. Finitary reductions for local predicativity, I: recursively regular ordinals. Preprint, 1998

Cardinal invariants of the continuum and combinatorics on uncountable cardinals

Jörg Brendle Graduate School of Science and Technology Kobe University Rokko-dai 1-1, Nada Kobe, 657-8501, Japan email: brendle@pascal.seg.kobe-u.ac.jp URL: http://pascal.seg.kobe-u.ac.jp/~brendle

A classical result of Bartoszyński, Raisonnier and Stern says that additivity of measure implies additivity of category, that is, if the union of any family of less than c many sets of Lebesgue measure zero is still of measure zero, then the same statement holds with *measure zero* replaced by *meager*. Here, c denotes the cardinality of the continuum. The main step in Bartoszyński's argument for this result is to show that additivity of measure implies Martin's axiom (MA) for Cohen forcing \mathbb{C}_{ω} . Here, \mathbb{C}_{κ} denotes the algebra for adding κ Cohen reals. We generalize this to

Theorem 1. Additivity of measure implies Martin's axiom for any Cohen algebra \mathbb{C}_{κ} .

While additivity of category easily implies MA for \mathbb{C}_{ω} (because of the connection between Cohen forcing and meager sets), this is not true for larger Cohen algebras.

Theorem 2. It is consistent that additivity of category holds, yet Martin's axiom fails for \mathbb{C}_{ω_1} .

In fact, one can show something stronger. As usual, \clubsuit is the combinatorial principle claiming the existence of a sequence $\langle A_{\alpha}; \alpha < \omega_1, \alpha | \text{imit} \rangle$ with A_{α} being a cofinal subset of α such that for each uncountable $A \subseteq \omega_1$ there is α with $A_{\alpha} \subseteq A$. Under CH, \clubsuit is equivalent to the diamond principle \diamondsuit . MA for \mathbb{C}_{ω_1} easily entails that \clubsuit fails. We have

Theorem 3. It is consistent that additivity of category and \clubsuit both hold, while c is of arbitrary regular size.

For $c = \aleph_2$, this follows from a stronger result of Džamonja and Shelah [DS]; however, their construction does not generalize to larger cardinals. Another result of Shelah [Sh] implies Theorem 3 for \clubsuit_{ω_2} .

This suggests that one investigates to what extent cardinal invariants of the continuum influence combinatorial properties of uncountable cardinals. For example one can prove

Theorem 4. If $\kappa \leq \lambda$ are regular uncountable cardinals, and $\mathcal{F} \subseteq [\lambda]^{\kappa}$ is such that for all $A \in [\lambda]^{\lambda}$ there is $B \in \mathcal{F}$ with $B \subseteq A$, then the size of \mathcal{F} is at least the unbounding number \mathfrak{b} .

There are a few similar results, with b replaced by some other cardinal invariant of the continuum. On the other hand, by results of Fuchino, Soukup and Shelah [FSS], the continuum may be large with MA for \mathbb{C}_{ω} holding, yet there is $\mathcal{F} \subseteq [\aleph_2]^{\aleph_1}$ as in Theorem 4 of size \aleph_2 .

In the lecture, we shall give an outline of the proofs of Theorems 1 and 3. A by-product of the techniques proving Theorem 1 is

Theorem 5. Assume $c = \aleph_2$. Then there is a Gross space over every countable field.

Here, an uncountable-dimensional quadratic space E over an at most countable field is called a Gross space if the orthogonal complement of every countable-dimensional subspace has dimension less than dim(E). Our work complements results of Shelah and Spinas [SS, Sh] showing that the non-existence of Gross spaces is consistent with $c \geq \aleph_3$, and that the non-existence of Gross spaces over finite fields is consistent with $c = \aleph_2$.

[DS] M. Džamonja and S. Shelah, & does not imply the existence of a Suslin tree, IJM (to appear).

[FSS] S. Fuchino, S. Shelah and L. Soukup, Sticks and clubs, APAL 90 (1997).

[Sh] S. Shelah, Historic iteration with \aleph_{ϵ} -support, preprint.

[SS] S. Shelah and O. Spinas, Gross spaces, TAMS 348 (1996).

Cardinal invariants associated with certain games Shizuo Kamo Department of Math., University of Osaka Prefecture Gakuen-cho 1-1, Sakai, Osaka, Japan e-mail:kamo@center.osakafu-u.ac.jp

Abstract: Following A. Blass [1], we call a function from $\omega^{<\omega}$ to ω a predictor. A function $f \in \omega^{\omega}$ is said to be predicted constantly by a predictor π , if there is $n < \omega$ such that, for any $k < \omega$, $\pi(f|j) = f(j)$, for some $j \in [k, k+n)$. Let κ be the smallest size of a set of predicters Π such that every function $f \in \omega^{\omega}$ is predicted constantly by some predictor in Π . The motivation of κ is in some game-theoretical characterizations for cardinals in Cichoń's diagram. F. Galvin gave game-theoretical characterizations for d and $cov(\mathcal{M})$, and M. Scheepers for b, $add(\mathcal{M})$, $non(\mathcal{M})$ and $add(\mathcal{M})$ (See [3, 4] for details). After that, M. Kada [2] characterized $cof(\mathcal{M})$ and $cof(\mathcal{N})$. Also, M. Kada pointed out the relationship between game-theoretic properties and the notion of predictors. The games which Kada used in the characterization of $cof(\mathcal{M})$ were associated with a certain function in ω^{ω} . He suggested another game which does not use such a function and have conjectured that the game also characterizes $cof(\mathcal{M})$. The above κ is a translation of this game.

We will show, by using some well-known generic extensions, that κ may be distinct from the cardinals in Cichoń's diagram.

References

-

- [1] A. Blass, Cardinal characteristics and the producht of countably many infinite cyclic groups, J. Algebra 169 (1994) pp. 512-540.
- [2] M. Kada, Cofinalities of null and meager ideals and infinite games, manuscript.
- [3] M. Scheepers, Lebesgue measure zero subsets of the real line and an infinite game,
 J. Symbolic Logic 61 (1996), pp. 246-250.
- [4] M. Scheepers, Meager sets and infinite games, Contemp. Math. 192 (1996), pp. 77-89.

INDISCERNIBLES IN THE ALTERNATIVE SET THEORY

PAVOL ZLATOŠ

Comenius University Bratislava

Abstract

The alternative set theory (AST), developed P. Vopěnka, aims to provide an alternative to the classical Cantor's set theory in the foundations of mathematics. AST is, first of all, an alternative theory of the *infinity*, which is treated as a phenomenon accompanying our views towards the *horizon*. As the various kinds of horizon, as, e.g., the *accessibility* or *discernibility* horizons, are always blurred and hazy phenomena, AST and the fuzzy set theory (FST) seem to overlap to a great deal. On the other hand, in AST, unlike in FST, the hazy subsets of unambigous sets, called *semisets*, are treated within the classical two-valued logic. There are no intermediate membership degrees of elements into semisets—their haziness consists in violating different principles of the classical set theory, e.g., the principle of mathematical induction. From the formal point of view, AST is closely related to nonstandard analysis—it can be regarded as the theory of a nostandard saturated universe of power \aleph_1 of hereditarily finite sets with two sorts of variables—for sets (elements of the universe) and classes (parts of the universe).

In the beginning of my talk I will sketch the main ideas and the "philosophy" of AST and give a brief introduction to its basic notions, axioms and some few results concernig the approch of AST to topology, based on the notion of an *indiscernibility* equivalence.

Then I will survey some papers on *indiscernibles* for extensions of the language of AST by countably many constants, due to K. Čuda, C. W. Henson, J. Mlček, B. Kussová-Vojtášková, A. Sochor, A. Vencovská and myself.

In the first part I will mention some general results of set-theoretical nature, concerning the existence of "large" classes of indiscernibles, the structure and location of monads of indiscernibles (which correspond to Ramsey ultrafilters in the classical theory) in the universe of sets, both with respect to a naturally definable order of the universe and with respect to a kind of Rudin-Keisler order of monads. In particular, each monad of indiscernibles is minimal in the Rudin-Keisler order, however, unlike for the classical Rudin-Keisler order of ultrafilters (where minimal and Ramsey ultrafilters coincide), the converse cannot be proved—its negation is consistent with AST. Some Ramsey type theorems, relating infinite sets of indiscernibles and equivalences of indiscernibility will be mentioned, as well.

The second part will be devoted to an application of indiscernibles to a problem from the theory of topological vector spaces in AST. In such a space, every infinite set contained in the *galaxy* of 0, such that no couple of its elements are infinitesimally close, contains an infinite subset of indiscernibles, such that no of its elements is infinitesimally close to the subspace spanned by the remaining ones.

Title: The enumeration degree structures, Author: Marat M. Arslanov, Affiliation: Kazan State University, Kazan, Russia E-mail: Marat.Arslanov@ksu.ru

A set A is enumeration reducible (e-reducible) to a set B if there exists a recursively enumerable (r. e.) set Φ (called in this context an e-operator) such that

$$A = \{ x : (\exists u) [\langle x, u \rangle \in \Phi \& D_u \subseteq B] \}.$$

The structure \mathcal{D}_e of the *e*-degrees is the structure of the equivalence classes (called *e*-degrees) of sets of numbers under the equivalence relation \equiv_e generated by the preordering \leq_e ; the *e*-degree of *A* is denoted by the symbol deg_e(*A*). \mathcal{D}_e is in fact an upper semilattice with least element $\mathbf{0}_e$, where $\mathbf{0}_e = deg_e(W)$, any r. e. set *W*.

We study the structures $\mathcal{D}_{\alpha,e}$ of α -r. e. e-degrees for $\alpha \leq \omega$. In [CSY] it was shown that for any Δ_2^0 -e-degree $\mathbf{a} >_e \mathbf{0}_e$ there exists a Δ_2^0 -e-degree $\mathbf{b} <_e \mathbf{0}'_e$ such that $\mathbf{0}'_e = \mathbf{a} \cup \mathbf{b}$. In [AS] we showed that here in general we can not choose \mathbf{b} as an ω -r. e. e-degree: there is a Δ_2^0 -e-degree $\mathbf{a} >_e \mathbf{0}_e$ such that $\mathbf{a} \cup \mathbf{b} <_e \mathbf{0}'_e$ for any ω -r. e. e-degree $\mathbf{b} <_e \mathbf{0}'_e$.

In this paper we obtain further results of this kind. In particular, we prove that structures of *n*-r. e., ω -r. e. and *total* e - degrees for $n < \omega$ are not pairwise elementarily equivalent, and discuss the analog of Downey's Conjecture for the structures of *n*-r. e. e-degrees, $1 < n < \omega$.

References

[CSY] S.B. Cooper, A. Sorbi, and X. Yi. Cupping and noncupping in the enumeration degrees of Σ_2^0 -sets. To appear.

[AS] M.M. Arslanov, A. Sorbi. A note on the Δ_2^0 enumeration degrees. To appear.

Intrinsically arithmetical relations and autostability

O.V.Kudinov

Institute of Mathematics, Novosibirsk, Russia e-mail: kud@math.nsc.ru

After some nontrivial results by Ash, Nerode, Chisholm and others dealing with intrinsically recursively enumerable relations on recursive models, the natural question is arised related to arithmetical relations considered on recursive or arithmetical models. Obtained by using the technique of recursive labelling systems results in this direction possess rather long lists of conditions and the corresponding problem of verification is too hard for some of them. However, under some natural conditions considered relations are definable in some recursive fragment of a standard language $L_{\omega_1\omega}$ with a finite number of parameters. We need the following definitions.

We denote the carrier set of a model \mathbf{M} of language L as M, the set of all finite tuples as $M^{<\omega}$ and for a,b from $M^{<\omega}$ we put $a \leq_1 b$ iff for any existentional formula $\varphi(x)$ the condition $\varphi(a)$ implies $\varphi(b)$ and length(a)=length(b). In addition, we put $a \leq_2 b$ iff $a \leq_1 b$ and for any $u \in M^{<\omega}$ there exists $v \in M^{<\omega}$ such that $b^{\hat{v}} \equiv_1 a^{\hat{u}}$. Given turing degree a, a relation R on a model \mathbf{M} is said to be a-intrinsically Σ_n^0 -relation iff for any a-recursive representation \mathbf{N} of the model \mathbf{M} the set $f^{-1}(R)$ lies in Σ_n^0 for any isomorphism f between \mathbf{N} and \mathbf{M} . The first result is a simple compilation of known constructions.

Proposition 1. Let M be a 1-decidable model, the set \leq_1 is decidable and a relation R on this model is 0'-intrinsically Δ_2^0 -relation. Then R is formally Δ_2^0 -relation.

The next theorem is the most interesting.

Theorem. Let M be a 1-decidable model, the sets \leq_1 and $\{\langle a, d \rangle \in (M^{\langle \omega \rangle})^2 |$

 $\exists x \in M^{<\omega} \ d^x \equiv_1 a \}$ are decidable and a relation R on this model is intrinsically

 Σ_2^0 -relation. Then for some $a \in M^{<\omega}$ for any $b, c \in M^{<\omega}$ the conditions $b^{\hat{}}a \leq_2 c^{\hat{}}a$ and $b \in R$ imply the condition $c \in R$. In particular, the relation R is Π_4 -definable in recursive fragment $L_{\omega_1\omega}^r$ of a standard language $L_{\omega_1\omega}$ with finite number of parameters.

Proposition 2. Let M be a 1-decidable model. If some invariant under action of the group Aut(M) relation R is Σ_2^0 -set and it is not Σ_2 -definable in $L_{\omega_1\omega}^r$ under any finite constant enrichment then M is not autostable.

References.

C.J.Ash, A.Nerode, Intrinsically recursive relations, Aspects of Effective Algebra, Proc. Conf. Monash University, Australia, 1981, 26-41. Title: Randomness and lowness Author: Sebastiaan A. Terwijn Affiliation: Dept. of Mathematics and Computer Science, University of Amsterdam E-mail: terwijn@wins.uva.nl URL: http://www.wins.uva.nl/~terwijn

We will discuss recent joint work with Antonín Kučera and Domenico Zambella on the class \mathcal{R} of Martin-Löf random reals and the class \mathcal{S} of Schnorr random reals.

Martin-Löf randomness was introduced to give a consistent definition of the notion of "random sequence" or, in other words, recursive notion of measurezero set of sequences. Later Schnorr introduced a more effective version of this notion. (Roughly, Schnorrs notion corresponds to recursiveness whereas Martin-Löfs notion corresponds to recursive enumerability.) We show that there are nonrecursive r.e. sets that are low for \mathcal{R} . (Following standard terminology, we say that a set A is low for a class C if for its relativized version C^A it holds that $C = C^A$.) This answeres a question of van Lambalgen and Zambella. The question could be viewed as a "probabilistic version" of Post's problem. For the class of Schnorr random reals S we show that lowness has a purely recursion theoretic characterization in terms of approximability of functions. This gives us the existence of uncountably many sets that are low for S.

References:

[1] A. Kučera and S. A. Terwijn, Lowness for the class of random sets, to appear in JSL.

[2] S. A. Terwijn and D. Zambella, Algorithmic randomness and lowness, manuscript, 1997.

Degree Spectra and Computable Dimension in Algebraic Structures

Denis Hirschfeldt Cornell University Richard Shore Cornell University Bakhadyr Khoussainov University of Auckland

Arkadii Slinko University of Auckland

There has been increasing interest over the last few decades in the study of effective modeltheoretic and algebraic structures. Several different notions of effectiveness in structures have been investigated. We focus on structures whose functions and relations can be decided by Turing machines.

Definition. A structure \mathcal{A} is computable if its domain is computable and its functions and relations are uniformly computable. An isomorphism from a structure \mathcal{M} to a computable structure is called a *computable presentation* of \mathcal{M} . If \mathcal{M} has a computable presentation then it is computably presentable.

In Model Theory we identify isomorphic structures. From the point of view of Computable Model Theory, however, two isomorphic structures might be very different. Thus, for our purposes, studying structures up to isomorphism is not enough. Instead, we study structures up to *computable* isomorphism. This is reflected in the following definition.

Definition. The computable dimension of a computably presentable structure \mathcal{M} is the number of computable presentations of \mathcal{M} up to computable isomorphism. A structure of computable dimension one is said to be computably categorical.

One way in which we may attempt to understand the differences between noncomputably isomorphic computable presentations of a structure \mathcal{M} is to compare (from a computability-theoretical point of view) the images in these presentations of a particular relation on the universe of \mathcal{M} . One way to do this is to look at the (Turing) degrees of the images of a relation in different computable presentations of a structure.

Definition. Let U be a relation on the domain of a computable structure \mathcal{A} . The degree spectrum of U on \mathcal{A} is the set of degrees of the images of U in all computable presentations of \mathcal{A} .

We study issues of computable dimension and possible degree spectra in the settings of particular algebraic theories, such as those of groups, rings, and integral domains. For instance, we show that there exists a computable group (resp. integral domain) of computable dimension two which contains a computable, intrinsically c.e. subgroup (resp. subring) with a two element degree spectrum, where we think of a subgroup as a unary relation on the domain of a group and of a subring as a unary relation on the domain of a ring.

On the proof-theory of fuzzy logic

Matthias Baaz

University of Technology, Vienna, Austria

We use the proof-theory of first-order Gödel logics as starting point of a general discussion on the proof-theory of fuzzy logics. We show that first-order Gödel logics are provably best represented by Hypersequent calculi, where we obtain cut-elimination and analoga of mid-sequent theorem and Maehara's lemma for cut-free proofs. The construction of Schütte-valuation trees¹ for cut-free completeness leads to a broader view of the proof-theoretic properties of basic logics like Urquart's logic and Hajek's logic. We conclude with a discussion of the principal obstacles involved in a construction of proof-theoretic calculi for Łukasiewicz and Product logics.

¹This Schütte valuation trees incorporate fuzzy properties themselves.

Classification Of Separated Subpresheaves Over GL-Monoids

Ulrich Hőhle

FB 7 Mathematik, Bergische Universität, D-42097 Wuppertal, Germany, e-mail: hoehle@wmfa2.math.uni-wuppertal.de

Let $M = (L, \leq, *)$ be a *GL*-monoid (cf. [?]) - i.e. *M* is a strictly twosided commutative quantale satisfying the following divisibility law

 $\forall (\alpha, \beta) \in L \times L \text{ with } \alpha \leq \beta \exists \gamma \in L \text{ s.t. } \alpha = \beta * \gamma.$

A quadruple $(X, E, \mathbb{E}, 1)$ is called a *presheaf* over M iff the pair (X, E) is a M-valued set (cf. Section 3 in [?]), the triple $(X, \mathbb{E}, 1)$ is an ordinary presheaf over L and the following compatibility axioms are valid:

 $(\Pi 1) \quad \mathbb{E}(x) = E(x,x)$

 $(\Pi 2) \quad E(x,y) * ((E(x,x) \to \alpha) \land (E(y,y) \to \beta)) \leq E(x \uparrow \alpha), y \uparrow \beta)) \quad .$

A presheaf $(X, E, \mathbb{E}, 1)$ is called *separated* iff the underlying *M*-valued set (X, E) is separated (cf. Section 3 in [?]). The category **SPSH**(*M*) of separated presheaves over *M* is complete and cocomplete (cf. Section 5 in [?]). Moreover there exists a separated presheaf Ω over *M* and a **SPSH**(*M*)-morphism $t : 1 \mapsto \Omega$ provided with the following properties (cf. Theorem 6.4 in [?]):

- Every strict and extensional, L-valued map admits an internalization as a SPSH(M)-morphism with codomain Ω .
- Every (Ω, t) -classifiable subobject is unique (Ω, t) -classifiable.

In general, a separated presheaf over M has more subobjects than characteristic morphisms.

References

- U. Höhle, Commutative, residuated l-monoids, in: Non-Classical Logics and Their Applications to Fuzzy Subsets, Eds. U. Höhle, E.P. Klement, 53-106 (Kluwer, Boston, Dordrecht 1995).
- [2] _____, Presheaves over GL-monoids, in: Non-Classical Logics and Their Applications to Fuzzy Subsets, Eds. U. Höhle, E.P. Klement, 127-157 (Kluwer, Boston, Dordrecht 1995).

Rational Pavelka predicate logic is a conservative extension of Lukasiewicz predicate logic

Jeff Paris, University of Manchester

(jointly with Petr Hájek, Czech Acad.Sci., and John Shepherdson, Bristol University.)

Abstract. Rational Pavelka logic extends Lukasiewicz infinitely valued logic by adding truth constants \overline{r} for rationals in [0, 1]. This enables one to prove partially true conclusions from partially true premisses since φ has truth value $\geq r$ iff $\overline{r} \rightarrow \varphi$ has truth value 1. It is natural to ask whether this is a conservative extension. This is easily seen to be the case for the propositional logic, but for the predicate logic it was posed as an open problem by Hájek, [1]. In my talk I shall sketch a proof of this result and show how this allows Pavelka's notion of provability degree to be defined already in Lukasiewicz infinitely valued logic.

The crux of this conservation result is proving the existence of the sups and infs giving the truth values of quantified formulae involving the new constants \bar{r} . This would be unnecessary if one used a claim of Belluce and Chang ([2] Theorem 1) that every theorem of Lukasiewicz predicate logic is true in all evaluations for which its truth value is defined (rather than the more demanding requirement of the existence of the possibly infinitely many sups and infs used in the proof). Unfortunately, as I shall indicate, their claim is false, not only for Lukasiewicz Logic but also for Product Logic.

The new results in this talk are all joint with Petr Hájek and John Shepherdson, see [3].

References

[1] HÁJEK, P., Metamathematics of fuzzy logic. Book in preparation, to appear at Kluwer.

[2] BELLUCE, L.P. AND CHANG, C.C., A weak completeness theorem for infinite valued first-order logic. *Journal of Symbolic Logic.* 28 (1963), 43-50.

[3] HÁJEK, P., PARIS, J., SHEPHERDSON, J.C., Rational Pavelka predicate logic is a conservative extension of Lukasiewicz predicate logic, submitted to the *Journal of Symbolic Logic*, 1998.

CONTRIBUTED PAPERS *Tuesday*

Ramsey Theorems for Polish Planes

Otmar Spinas

ETH Zurich <u>spinas@math.ethz.ch</u> //www.math.ethz.ch/~spinas

Suppose X is a Polish space which is not K_s . We prove that for every Borel colouring of X^2 by countably many colours, there exists a rectangle with both sides closed and not K_s , whose all points have the same colour. We also prove that every Borel colouring of $[X]^2$ by finitely many colours has a homogeneous set which is closed and not K_s . As a corollary to the proofs we obtain that the product forcing of two copies of superperfect tree forcing does not add a Cohen real. This answers negatively a question of Goldstern.

LC '98 Book of Abstracts

"FINITE" AXIOMS OF CHOICE

Omar De la Cruz

Mathematics Department, University of Florida

It is easy to prove in ZF that every finite family of non-empty sets has a choice function, that is, a function that assigns to each set in the family one of its elements. The Axiom of Choice (AC) states that this holds also for infinite families, but it is well known that AC cannot be proved in ZF.

However, without AC, the notion of finiteness itself is not so clear, since different statements that express properties that we expect finite sets to have (and which are equivalent under AC) are not provable equivalent in ZF. From the several statements considered in [4], [3], [1] and others as possible definitions of finiteness, it is commonly agreed that the "right" definition is the most restrictive one (and the only one absolute for models of ZF): namely, equivalence with a natural number. It is this notion of finiteness for which the statement at the beginning is true.

This work studies the relative strength of principles obtained by modifying the statement above to use less restrictive notions of finiteness. Let \mathcal{F} be a class of sets considered to be finite according to some notion of finiteness. Then the principle $C(\mathcal{F})$ states that every family $F \in \mathcal{F}$ of non-empty sets has a choice function. It happens that these principles are always independent from ZF, unless \mathcal{F} is the usual notion of finiteness mentioned above.

Another group of principles $C^{-}(\mathcal{F})$ is studied, which states that for every infinite family $F \in \mathcal{F}$ of sets there is an infinite subfamily $F' \subset F$ with a choice function. Many easy relations can be found between these statements and between these and some other well known choice principles; other not so trivial relations are established in this paper. It turns out that these interrelations are different for **ZF** than for **ZFA**, the set theory with atoms.

References

- [1] P. Howard and J. Rubin, Weak Forms of the Axiom of Choice, Preprint.
- [2] T. Jech, The Axiom of Choice, North Holland, 1973.
- [3] A. Levy, The independence of various definitions of finiteness, Fund. Math. 46 (1958), 1-13.
- [4] A. Tarski, Sur les ensembles finis, Fund. Math. 6 (1924), 45-95.

odlc@math.ufl.edu, http://www.math.ufl.edu/~odlc

REGULAR ULTRAFILTERS AND (λ, λ) -COMPACT **PRODUCTS OF TOPOLOGICAL SPACES**

Paolo Lipparini

Università di Roma (Tor Vergata)lipparin@axp.mat.uniroma2.it, lipparin@unica.it

A topological space X is $[\mu, \lambda]$ -compact iff every cover by λ open sets has a subcover by $\langle \mu$ sets. X is finally μ -compact iff X is $[\mu, \lambda]$ -compact for all $\lambda \geq \mu$. Compact means finally ω -compact.

Theorem 1. If a product of topological spaces is $[\lambda^+, \lambda^+]$ -compact then all but at most λ factors are $[\lambda, \lambda]$ -compact.

Corollary 1. If a product is finally ω_{n+1} -compact then all but at most ω_n factors are compact.

Corollary 2. If X^{λ^+} is $[\lambda^+, \lambda^+]$ -compact then X is $[\lambda, \lambda]$ -compact.

Theorem 2. Suppose that λ is a singular cardinal and $\lambda = \sup\{\lambda_{\alpha} | \alpha \in cf\lambda\}$. If $Y \times \prod_{\alpha \in cf\lambda} Y_{\alpha}$ is $[\lambda, \lambda]$ -compact then either Y is $[cf\lambda, cf\lambda]$ -compact or some Y_{α} is $[\lambda_{\alpha}, \lambda]$ -compact. In particular, if $X^{cf\lambda}$ is $[\lambda, \lambda]$ -compact then X is either $[cf\lambda, cf\lambda]$ -compact or $[\lambda_{\alpha}, \lambda]$ -compact for some $\alpha \in cf\lambda$.

From now on, suppose $\mu \leq \lambda$, $\mu' \leq \lambda'$ and $\kappa \geq \sup\{\lambda, \lambda'\}$.

DEFINITION. We say that an ultrafilter D covers $S_{\mu}(\lambda)$ iff D is over $S_{\mu}(\lambda)$ and $\{x \in S_{\mu}(\lambda) | \alpha \in x\} \in D$, for every $\alpha \in \lambda$. We write $(\lambda, \mu) \stackrel{\kappa}{\Rightarrow} (\lambda', \mu')$ to mean that there are κ functions $(f_{\alpha})_{\alpha \in \kappa}$ from $S_{\mu}(\lambda)$ to $S'_{\mu}(\lambda')$ such that whenever D covers $S_{\mu}(\lambda)$ then for some $\alpha \in \kappa$ $f^*_{\alpha}(D)$ covers $S_{\mu'}(\lambda')$.

The above notion is equivalent to the one introduced in [L] (the parameter κ is from [L, Remark 0.21(c)].

Theorem 3 Suppose that $(\lambda, \mu) \stackrel{\kappa}{\Rightarrow} (\lambda', \mu')$ holds. If $(X_{\alpha})_{\alpha \in \kappa}$ are topological spaces and no X_{α} is $[\mu', \lambda']$ -compact then $\prod_{\alpha \in \kappa} X_{\alpha}$ is not $[\mu, \lambda]$ -compact.

Theorem 3 is proved by extending the methods of [C]. Theorems 1 and 2 follow using the results in [L, Section 0]. Many more results can be obtained in this way, under special set-theoretical assumptions.

References.

[C] X.Caicedo, The Abstract Compactness Theorem revisited, to appear in Logic in Florence (A.Cantini, E.Casari, P.Minari editors).

[L] P.Lipparini, Ultrafilters Translations I, Arch.Math.Logic (1996) 35: 63-87.

Definability in Function Spaces and Extensions of Functions¹ Alberto Marcone²

If X is a countable topological space the descriptive set-theoretic complexity of $C_p(X)$ (i.e. the set of real-valued functions on X with the topology of pointwise convergence) —viewed as a subspace of the Polish space \mathbb{R}^X — has been studied in depth. Much less is known if X is uncountable (in this case \mathbb{R}^X is not metrizable, and hence not Polish). We study $C_p(X)$ by itself and, if X is Polish, almost completely characterize when it is a standard Borel space (this means that its Borel σ -algebra coincides with the Borel σ -algebra of a Polish topology):

Theorem 1 (Andretta-M.). Let X be Polish:

- 1) if X is locally compact then $C_p(X)$ is standard Borel;
- 2) if X is not the countable union of compact sets then $C_p(X)$ is not standard Borel but it is coanalytic.

We are interested in how a function space behaves with respect to the topology of a different function space. For example we prove:

Theorem 2 (Andretta-M.). Let X be locally compact Polish, μ a non-atomic Borel measure on X which is positive on open sets and finite on compact sets. Then $C(X) \cap L^p(X,\mu)$ is Π_3^0 -complete in $L^p(X,\mu)$.

In particular C([0,1]) is Π_3^0 -complete in $L^p([0,1])$ and $C(\mathbb{R}) \cap L^p(\mathbb{R})$ is Π_3^0 -complete in $L^p(\mathbb{R})$ (here both L^p 's are taken with respect to Lebesgue measure). On the other hand $\mathbb{N}^{\mathbb{N}}$ is the prototype of non-locally compact Polish spaces and we have:

Theorem 3 (Andretta-M.). If μ is a finite non-atomic Borel measure on $\mathbb{N}^{\mathbb{N}}$ which is positive on open sets then $C(\mathbb{N}^{\mathbb{N}}) \cap L^{p}(\mathbb{N}^{\mathbb{N}}, \mu)$ is Π_{1}^{1} -complete in $L^{p}(\mathbb{N}^{\mathbb{N}}, \mu)$.

A corollary is that the set of real-valued functions on [0, 1] which are continuous on $[0, 1] \setminus \mathbb{Q}$ is Π_1^1 -complete in $L^p([0, 1])$: this holds because every continuous realvalued function on $[0, 1] \setminus \mathbb{Q}$ can be extended to a function on [0, 1] wich is still continuous at every irrational. One can ask more general questions about the existence of extensions of continuous functions that preserve the continuity on the original domain. Some of our results have a topological flavour:

Theorem 4 (Costantini-M.). Let X be a topological space, $A \subseteq X$ dense, and Y locally compact. Every continuous $f: A \to Y$ has an extension $\tilde{f}: X \to Y$ which is continuous at every point of A. (This answers a question of Arhangel'skii's.)

Others are more descriptive set-theoretic:

Theorem 5 (Costantini-M.). Let X be an uncountable Polish space and Y a separable metrizable space. The following are equivalent:

- i) for every $A \subseteq X$, every continuous $f : A \to Y$ has an extension $\tilde{f} : X \to Y$ which is continuous at every point of A;
- ii) Y is Polish.

Theorem 6 (Costantini-M.). Let X be metrizable, $A \subseteq X$, Y completely metrizable and at least one of X and Y be separable. Every continuous $f : A \to Y$ has an extension $\tilde{f} : X \to Y$ of Baire class 1 which is continuous at every point of A.

¹Work in collaboration with Alessandro Andretta and Camillo Costantini.

²Dip. di Matematica, Univ. di Torino, via Carlo Alberto 10, 10123 Torino, Italy. e-mail: marcone@dm.unito.it

Global and related principles in core models Martin Zeman

We shall discuss two approaches to proving \Box -like principles in core models. Both methods build on Jensen's original proofs developed for L.

The first method is implicit in the **L**-proof of the \Box_{κ} principle in [1]. The construction is divided into two separate parts, a purely combinatorial and a fine-structural. The key element of the method is the concept of *smooth category* – a category consisting of carefully chosen *J*-structures and Σ_1 -preserving maps between them. An important fact in connection with the category maps is the existence of so-called *minimal* maps. Having a smooth category at disposal, to every J_s we assign the set

$C_s := {\sup(\operatorname{rng})(f); f \text{ is a category function with target structure } J_s}$

and using purely combinatorial methods prove that the system $\langle C_s \rangle_s$ is a coherent collection of short closed sequences; each C_s c.u.b. in $\operatorname{ht}(C_s)$ if this height is not ω -cofinal. In K, to every singular ordinal ν we can assign the structure J_{ν}^E , where $\mathbf{K} = J_{\infty}^E$. Then $\langle C_{\nu}; \nu$ a singular ordinal \rangle is a global square sequence. In fact, the smooth category provides us with a stronger, so-called *condensation-coherent* version of the global \Box principle: every $\langle J_{\nu}^E, C_{\nu} \rangle$ is amenable and if

$$f:\langle J^E_{\bar{\nu}},\bar{C}
angle \longrightarrow _{\Sigma_1}\langle J^E_{\nu},C_{\nu}
angle$$

then $\overline{C} = C_{\overline{\nu}}$ and f is a category map.

The fine-structural part of the proof is devoted to the construction of a smooth category in the core model. It is not known whether one can avoid the use of fine structure. The key element of the construction is a sort of condensation lemma (due to Jensen) which guarantees that fine structural standard parameters of mice are preserved downwards under sufficiently elementary (in the fine structural sense) maps. The lemma is a vital point of the proof that the above mentioned minimal maps exist. However, there is a limitation: The lemma fails to be true if there is an extender with two generators on a mouse sequence, which is a condition of consistency strength between $o(\kappa) = \kappa^{++}$ and $o(\kappa) = \kappa^{++} + 1$. Hence, our smooth category technique works in relatively small core models and it is not clear whether one can construct such a category in larger K.

We are, however, able to produce a global \Box sequence in Jensen's core model for non-overlapping extenders (which can contain one strong cardinal, but not more) by imitating Jensen's original L-construction. The construction is not as uniform as the above one because of the failure of the above mentioned condensation lemma; it is also not clear whether one can have the condensation-coherent version of \Box in this larger model. At this level the proof requires to deal with more general structures than mice (called *protomice*) whose top extender is not weakly amenable. In our model, there is a 1-1 correspondence between relevant mice and protomice which enables us to carry out the proof. At present, it is not clear how to generalize the construction in higher core models (up to one Woodin cardinal), since there the correspondence fails to be 1-1: to every mouse we can have more protomice and the best known version of \Box in these models is Schimmerling's $\Box_{\kappa}^{<\omega}$, which is genuinely weaker than \Box_{κ} .

References

- [1] Beller, A., Jensen, R. B. and Welch, P., *Coding the universe in a real*, London Math. Soc. Lecture Note Series 47, Cambridge University Press 1982
- [2] Jensen, R. B., Some remarks on \Box below 0^{\P} , Handwritten notes, Oxford
- [3] Jensen, R. B., More on \Box below 0[¶], Handwritten notes, Oxford 1994
- [4] Jensen, R. B., and Zeman, M. Smooth categories and global D, submitted
- [5] Schimmerling, E., Combinatorial principles in the core model for one Woodin cardinal, Annals of Pure and Applied Logic 74 (1995), 153-201
- [6] Schimmerling, E., $\Box_{\kappa}^{<\omega}$ holds in $\mathbf{L}[\vec{E}]$, to appear
- [7] Zeman, M., Doctoral Dissertation, HU Berlin
- [8] Zeman, M., Global \Box below 0^{\P} , in preparation

ABSTRACT Gian Arturo Marco SOME REMAKS ON ORBIT ISOLATION AND ANALYTIC SETS

The omitting types property in the Banach-Mazur game version is a central

tool we have to classify the orbits of countable models of theories by a direct control of the complement in the Cantor space of (coded) interpretations. Omitting types can be replaced in the general framework of Polish group actions by the Effros' theorem; this more general descriptive set theoretical notion is necessary to characterize Borel equivalence relations in Polish group spaces in terms of the borelness of the map associating to the points of the space their stabilizer [1]: the question whether (or not) we can generalize the orbit isolation property to general orbital analytic equivalence relations is the problem the present paper addresses.

We show that for invariant analytic sets in Polish spaces, the isolation property and the c.c.c. property for analytic s - ideals are equivalent, and, on the basis of suitable determinacy hypothesis we improve a result of A.S. Kechris and S. Solecki [2] by showing that the Borel approximation property for analytic sets implies orbital isolation.

By making use of Stern models [3] we prove the genral failure of the orbital isolation property for components of analytic sets, and we conclude giving some evidence to the fact that in the generic extensions of the universe generated by a "bounded" notion of forcing, analytic equivalence relations are not induced by Polish group actions, whenever the Borel approximation property fails to hold; the nature of "thin" equivalence relations is essential to the argument.

References

[1] H. Becker and A. S. Kechiris, The Descriptive Set Theory of Polish Group Actions, Cambridge University press, 1996.

[2] A.S. Kechris and S. Solecki, Approximation of Analytic by Borel Sets and Definable Chain Condition, Israel Journal of Mathematics, vol 89, 1995, pp. 343-356.

[3] J. Stern, On Lusin's Restricted Continuum Problem, Annals of Mathematics, vol. 120, 1984, pp. 7-37.
Splitting, Matrix Chaos, and Finitely Splitting

Heike Mildenberger, Mathematisches Institut der Universität Bonn, Beringstr. 1, 53115 Bonn, Germany, heike@math.uni-bonn.de

April 6, 1998

We consider questions about simultaneous convergence of bounded sequences that immediately lead us into the set theory of the reals.

We consider families $\mathcal{F} \subseteq 2^{\omega}$.

 \mathcal{F} is called *splitting* iff $\forall X \in [\omega]^{\omega} \exists f \in \mathcal{F} f \upharpoonright X$ is not almost constant.

 \mathcal{F} is called *chaotic* (see [2]) iff for every Toeplitz matrix $(m_{i,j})_{i,j\in\omega}$ there is some $f \in \mathcal{F}$ such that

$$\lim_{i\to\infty}\sum_{j\in\omega}m_{i,j}f(j)$$

does not exist. A matrix $(m_{i,j})_{i,j\in\omega}$ is called Toeplitz, (roughly spoken) if each row sums up to 1, and if the rows are absolutely summable with the same bound for all rows, and if each column converges to 0.

 \mathcal{F} is called *finitely splitting* (see [1]) iff for every partition $\{X_n \mid n \in \omega\}$ of ω into finite sets there is some $f \in \mathcal{F}$ such that $(\exists^{\infty} n \ f \upharpoonright X_n \equiv 0 \land \exists^{\infty} n f \upharpoonright X_n \equiv 1)$.

It is quite easy to see that finitely splitting families are chaotic and that chaotic families are splitting.

We show that for either reverse inclusion it is consistent that it does not hold, and we show some results on the cardinal characteristics that are given by the smallest cardinality of a family of each kind.

- [1] Anastasios Kamburelis and Bogdan Węglorz. Splittings. Archive for Mathematical Logic, 35:263-277, 1996.
- [2] Peter Vojtáš. Series and Toeplitz Matrices (A Global Implicit Approach). Preprint 1997.

PRESERVATION OF PARTITION PROPERTIES OF $L(\mathbb{R})$

CARLOS AUGUSTO DI PRISCO

Abstract

This is a progress report on work done in collaboration with Stevo Todorcevic. We study several kinds of partition relations defined in structures related to the real line. Although the most general versions of these partition relations contradict the axiom of choice, some of them are consistent with the existence of ultrafilters on ω . The approach followed is to examine the preservation of properties of $L(\mathbb{R})$ to a generic extension obtained adding an ultrafilter on ω . In some cases the preservation result is equivalent to a parametrized partition property.

INSTITUTO VENEZOLANO DE INVESTIGACIONES CIENTÍFICAS E-mail address: cdiprisc@ivic.ve

Some results related to universal models Mirna Džamonja UW-Madison, USA and University of East Anglia, UK dzamonja@math.wisc.edu M.Dzamonja@uea.ac.uk

With Saharon Shelah, I have been involved in a rather general project concerning the existence of universal models for a theory versus the classification of the theory in a certain classification scheme. The scheme is to fit in with the classification theory of Saharon Shelah. Although the problem comes from model theory, the project is essentially a set-theoretic one. The reason is that under GCH all first order countable theories have universal models in all uncountable cardinals, so one has to consider the situation under the failure of GCH. So we are drawn to the realm of consistency results and large cardinals on one side, and combinatorial principles of set theory, on the other.

This project branches off into various other subjects, for example the existence of universal models in theories which are not necessarily first order, like various objects from functional analysis, topology and boolean algebras, questions on which have been independently asked by mathematicians working in these areas.

I'll present a mixture of results, questions and discussion. The unpublished results I intend to present are due to either Shelah and Džamonja jointly or Džamonja, and mention will also be made of results due to other authors.

Subgroups of $SF(\omega)$ and the relation of almost containedness

B.Majcher-Iwanow Institute of Mathematics, Wrocław University, pl.Grunwaldzki 2/4, 50 - 384 Wrocław, Poland. e-mail: ivanov@math.uni.wroc.pl

Let $SF(\omega)$ be the group of all finitary permutations of ω , LF be the lattice of all subgroups of $SF(\omega)$ and IF be the ideal of all finite subgroups. We say that G_1 and G_2 from $LF \setminus IF$ are orthogonal if their intersection is in IF. The group G_1 is almost contained in G_2 $(G_1 \leq_a G_2)$ if G_1 is a subgroup of a group finitely generated over G_2 by elements of $SF(\omega)$. Let $SF(\omega)_{IF} = \{G \leq SF(\omega) : SF(\omega) \text{ is finitely generated over } G\}$.

We put a topology on LF in the following way. Let $H \leq SF(\omega)$ be finite and $A \subset \omega$ is a finite set containing the union of the supports of the elements of H. Let [H, A] be the set of all subgroups of $SF(\omega)$ such that the groups that they induce on A are equal to H (we think of H as a permutation group on A). The topology that we consider is defined by the base consisting of all sets [H, A].

Note that the space LF is a complete metric space. A function $\delta: LF \to n, n \in \omega$, is then called a Borel (respectively $\Sigma_1^1 \cup \Pi_1^1$) coloring if $\delta^{-1}(i)$ is Borel (respectively analytic or coanalytic) for every i < n.

The following result is a variant of a theorem of P.Matet concerning the lattice of partitions (Proposition 8.1 from [Matet]).

Theorem. (1) Assuming the continuum hypothesis there is an ideal $I \subset LF \setminus SF(\omega)_{IF}$ such that for every $(\Sigma_1^1 \cup \Pi_1^1)$ -coloring $\delta : LF \to 2$ there is $G \in I$ such that δ is constant on the set of all supergroups of G which do not belong to $SF(\omega)_{IF}$.

(2) Assuming MA there is a filter $F \subset LF \setminus IF$ such that for every $(\Sigma_1^1 \cup \Pi_1^1)$ -coloring $\delta: LF \to 2$ there is $G \in F$ such that δ is constant on the set of all infinite subgroups of G.

We define the cardinal numbers \mathbf{a}_{SF} , \mathbf{p}_{SF} , \mathbf{t}_{SF} , \mathbf{n}_{SF} , \mathbf{h}_{SF} and \mathbf{s}_{SF} naturally corresponding to the relations of orthogonality and almost containedness in LF by the scheme suggested by E.K. von Douwen and J.Vaughan in the case of $P(\omega)$. For example, \mathbf{a}_{SF} is the least cardinality of a maximal family of pairwise orthogonal elements from $LF \setminus SF(\omega)_{IF}$ and \mathbf{p}_{SF} is the least cardinality of a \leq -centered family of elements in $LF \setminus IF$ with no lower \leq_a -bound \leq -consistent (in the sense of \leq -centeredness) with the family.

We obtain some consistency results concerning these numbers. The theorem above is a consequence of the fact that \mathbf{p}_{SF} is equal to continuum under Martin's Axiom.

References.

[Matet] P.Matet, Partitions and filters. J. Symb. Logic 51(1986), 12-21.

MAD FAMILIES AND COMPACTNESS OF PERMUTATION GROUPS (ABSTRACT)

YI ZHANG

We consider the following close related maximal almost disjoint families.

Definition 1. If $x, y \subset \omega$, x and y are almost disjoint (a.d.) iff $|x \cap y| < \omega$. An a.d. family is an $A \subset p(\omega)$ such that for any $x \in A$, $|x| = \omega$ and any two distinct elements of A are a.d.. Let \mathfrak{a} be the least λ such that there exists a maximal almost disjoint (m.a.d.) family $\mathcal{F} \subseteq p(\omega)$ of size λ .

Definition 2. Following A. Miller (see, e.g., [M]), we say that two functions $f, g \in \omega \omega$ are eventually different (e.d.) iff $|f \cap g| < \omega$. Let $\mathfrak{a}_{\mathfrak{e}}$ be the least λ such that there exists a maximal eventually different (m.e.d.) set of reals of cardinality λ .

Definition 3. Two permutations $f, g \in Sym(\omega)$ are a.d. iff $|f \cap g| < \omega$. Let \mathfrak{a}_p be the least λ such that there exists a m.a.d. set of permutations of cardinality λ .

The following ressults about a are well-known:

(1). ZFC + MA implies that $a = 2^{\omega}$ (see e.g. [Kun] p.57).

(2). Assume $M \models (ZFC + \neg CH)$, and let κ be a cardinal in M such that $\omega_1 \leq \kappa < 2^{\omega}$. Then it is consistent with ZFC that $\mathfrak{a} = \kappa < 2^{\omega}$. (see [Sh:P] pp.70-71).

(2). Let $M \models (ZFC + CH)$. There is a m.a.d. family $\mathcal{F} \subset \wp(\omega)$ of size ω_1 in M such that for any Cohen generic G over M, \mathcal{F} remains to be a m.a.d. family in M[G] (see e.g. [Kun] p.256).

We can easily prove the corresponding results for a_e and a_p . However, by some forcing argument we can prove the following:

Theorem 4. It is consistent with ZFC that $\mathfrak{a}_{\mathfrak{e}} = \omega_2 = 2^{\omega}$ and $\mathfrak{a} = \omega_1$.

Theorem 5. It is consistent with ZFC that $\mathfrak{a} = \omega_1 < \mathfrak{a}_p = \omega_2 = 2^{\omega}$.

Note. Results in Theorem 5 are much harder to get, although the proofs share the same idea of the proof of Theorem 4. This is because the following fact:

Theorem 6. Sym(ω) equiped with its natural topology (see, e.g., [C]) is not compact.

 [[]C] P. J. Cameron, Oligomorphic permutation groups (1983), Cambridge University press.
 [M] A. Miller, Some properties of measure and category, Transactions of the American Mathematical Society 266, Number, 1 (July 1981), 93-114.

Characterising Polytime Through Higher Type Recursion

Karl-Heinz Niggl* (Joint work with S. Bellantoni and H. Schwichtenberg)

We start off with the observation that by a single use of higher type recursion on notation one can define Kalmar-elementary functions. This is due to a certain non-linear use of the "previous function" in the step term of the recursion.

In this talk we present a restriction to higher type recursion on notation which characterises the polynomial type computable functions. The mechanism used to carry out the restrictions is the addition of linear and modal concepts to the lambda calculus.

To define the system, we decorate types with "!" to indicate that an object can be used in a non-linear way. Thus *types* are the ground type ι for the natural numbers, and if σ, τ are types, then so are $!\sigma$ and $\sigma \multimap \tau$. Types not containing the symbol "!" are called *safe*.

General *terms* are built from variables and certain constants, like the binary successors and the binary predecessor, by application and lambda abstraction, unrestricted rules for introducing and eliminating "!", and rules for introducing recursion in all finite safe types. Similar to Gödel's T, terms are interpreted over the set-theoretical function spaces.

To design a system which enjoys all kinds of computational properties associated with strong normalisation on the one hand, and which characterises polytime on the other hand, we use the additional type information to define suitable restrictions on the formation of terms. The resulting terms are called RNA-terms where R stands for *relevance*, N for *necessitation*, and A for *affinability*.

Relevance comes in by restricting application rs with respect to the free variables in s. Prawitz's rule for introducing "!" in his formulation of modal logic S4 will account for necessitation. The central mechanism for controlling the admissible non-linear use of the "previous function" in a recursion is by restricting lambda abstraction through a notion of affinability. This notion is designed such that it is decidable whether or not a given term is an RNA-term.

As a first major result it is shown that RNA-terms are closed under reduction. Furthermore, every RNA-term is strongly normalising with uniquely determined normal forms. In particular, every closed RNA-term of type ι reduces to a binary numeral denoting the value of that term. In that way, the system of RNA-terms can be considered a simple functional programming language where computation is normalisation.

The second major result is that the expressive power of RNA-terms is just the set of the polynomial time computable functions. Thus we arrive at a new characterisation of the polynomial time computable functions through recursion on notation in all finite safe types.

*Mathematisches Institut der Ludwig-Maximilians-Universität München, Theresienstraße 39, 80333 München, Germany. e-mail: niggl@rz.mathematik.uni-muenchen.de, URL: http://www.mathematik.uni-muenchen.de/ niggl.

Structure of information allocation and holographic sequences

Solovyev V.D. Kazan State University, Russia solovyev@tatincom.ru

Reducibilities order sets by information contained in them (that is being taken by using algorithmic methods). At the same time the theory of algorithms does not study questions concerning structure of information allocation (in sets or infinite sequences). For example, does the information involved in the sequence is doubled or contained in some subsequences of original sequence. Such questions were, perhaps, firstly formulated in [1].

We will consider the possibility of reconstruction (using a reducibility) of all information contained in the set, though having indefinitely small part of it. It appears to be similar to holographic effect in optics. The investigation is being done within subject scope of automaton reducibility [2].

Following Rayna [2], we call two infinite sequences $X = \{X(i)\}$ and $Y = \{Y(i)\}$ "equivalent by automata (being involved in one degree of automaton reducibility)", if there exist two initial finite-state automata (T_1, s_1) and (T_2, s_2) so that automaton (T_1, s_1) puts out the sequence Y (may be with some delay) if getting the sequence X as an input, and automaton (T_2, s_2) puts out the sequence X (also with probable delay) if getting the sequence Y as an input.

The partially ordered set V of degrees of automaton reducibility is being naturally generated.

Definition. Infinite sequence X is called "holographic" if for any periodic sequence a in the alphabet $\{0,1\}$ and containing infinite number of 1-s X is reducible by automaton to the sequence Y, defined by condition if a(i) = 1 then Y(i) = X(i) else Y(i) = 0.

This definition means that all information contained in holographic sequence is included (and able to be reconstructed by finite-state automaton) in any indefinitely small (and periodic) part of the holographic sequence.

Theorem. Holographic sequences exist (and belongs to the atom of V).

Problem. Will this effect take place in case of change of reducibility?

Hypothesis. The existence of sequences with such properties does not depend on chosen reducibility. It just shows deep laws of information packing in infinite sequences.

The paper supported by Russian Foundation of Fundamental Researches, grant No. 98-01-00900.

REFERENCES

1. Solovyev V.D. Structure of information allocation in infinite sequences. Discretnaia matematica, V.8, No.2, 1996.

2. Rayna G. Degrees of finite-state transformability. Information and Control, 24 (1974), 144 - 154.

Title:	Every Incomplete Computably Enumerable Truth-Table Degree
	Is Branching
Author:	Peter A. Fejer
Affiliation:	University of Massachusetts at Boston and Heidelberg University
E-mail:	fejer@cs.umb.edu
URL:	www.cs.umb.edu/~fejer

If r is one of the reducibilities between sets of natural numbers studied in computability theory, one can form the structures consisting of all the r-degrees, the r-degrees of sets r-reducible to the 1-complete computably enumerable set K and the r-degrees containing computably enumerable sets. These structures are always partially ordered sets and are usually upper semi-lattices. Among the many algebraic questions one might ask about these structures, a basic one is whether every element not equal to the greatest element is branching, where we call a degree branching if it is meet-reducible, i.e., it is the meet of two degrees strictly above it. In the case of the computably enumerable r-degrees and the most commonly studied reducibilities r (namely, many-one (m), truthtable (tt), weak truth-table (wtt) and Turing (T)), the answer to this question is known for all reducibilities except truth-table. (In fact, every incomplete c.e. m- and wtt-degree is branching, while both the branching and nonbranching c.e. T-degrees are dense among all the c.e. T-degrees.) We give the solution to the last of the problems just mentioned by showing that every incomplete computably enumerable truth-table degree is branching.

The fact that every Turing-incomplete truth-table degree is branching can be shown using a technique due to Ambos-Spies. (We call a c.e. truth-table degree *Turing-complete* if its members belong to the Turing degree of K.) Although the result has not been published, it follows from a slight strengthening of a result of Nies and Shore that has appeared in the literature. The argument is an infinite injury one using a tree of strategies.

Our contribution is the argument that every Turing-complete, tt-incomplete c.e. truth-table degree is branching. Although one might suspect that the Turing-complete case would be more complicated than the Turing-incomplete one, we are able to exploit the Turing-completeness of the given degree in a novel way and our construction is in fact a finite-injury one.

(This is joint work with Richard Shore.)

-68-

A Guided Tour of Minimal Indices and Shortest Descriptions

Marcus Schaefer

Department of Computer Science University of Chicago 1100 East 58th Street Chicago, Illinois 60637, USA schaefer@cs.uchicago.edu http://www.cs.uchicago.edu/publications/tech-reports/TR-97-15.ps

Abstract

The set of minimal indices of a Gödel numbering φ is defined as $MIN_{\varphi} = \{e : (\forall i < e) [\varphi_i \neq \varphi_e]\}$ [2]. It was proved by Meyer [3] in 1972 that $MIN_{\varphi} \equiv_T \emptyset''$, but beyond this MIN_{φ} has remained mostly uninvestigated. This paper collects the scarce results on MIN_{φ} from the literature and adds some new observations including that MIN_{φ} is autoreducible, but neither regressive nor (1, 2)-computable. We also study several variants of MIN_{φ} that have been defined in the literature like size-minimal indices [1], shortest descriptions, and minimal indices of decision tables [4]. Some challenging problems remain open.

- [1] Amitava Bagchi. Economy of Descriptions and Minimal Indices. MAC Technical Memorandum, MIT, 1972.
- [2] Manuel Blum. On the size of machines. Information and Control, 11, 257-265, 1967.
- [3] Albert R. Meyer. Program Size in Restricted Programming Languages. Information and Control, 21, 382-394, 1972.
- [4] David Pager. On the Problem of Finding Minimal Programs for Tables. Information and Control, 14, 550-554, 1969.

Some properties of compositions of permutations with respect to algorithmic reducibilities

K. V. Korovin

Novosibirsk State University, Novosibirsk, Russia e-mail: kostya@ssc.nsu.ru

Properties of groups $G_d = \{f \mid f \text{ is a permutation of } \omega \text{ and } f \leq_T d\}$ where d is a Turing degree are well studied [1]. In [1] A. S. Morozov has formulated the following problem: is it possible to extend these results to other reducibilities? In our paper we demonstrate that for some wide class of algorithmic reducibilities the answer for this question is negative.

THEOREM 1. For wtt-reducibility or arbitrary reducibility stronger then wtt-reducibility, there exists a set $F \leq_T 0'$ such that the set

 $\{f \mid f \text{ is a permutation of } \omega \text{ and the graph of } f \text{ reduces to } F\}$ is not closed under composition.

THEOREM 2. Let A be arbitrary set such that $A \leq_T 0'$. There exists a permutation f such that the graph of f is 2-limit computable and the graph of f^2 is not wtt-reducible to A.

Corollary 1. There exists a permutation f such that the graph of f is *tt*-reducible to \emptyset' but the graph of f^2 is not *wtt*-reducible to \emptyset' . Corollary 2. The set

 $\{f \mid f \text{ is a permutation and the graph of } f \text{ is } \omega \text{-limit computable } \}$

is not closed under composition.

The following notion of reduciblity on functions, was introduced and well studied by M. M. Arslanov [2].

Definition. A function f ma-reducible to a set A if there exist three total recursive functions a, b, c, such that $f(x) = \begin{cases} a(x), & \text{if } c(x) \in A, \\ b(x), & \text{if } c(x) \notin A. \end{cases}$

For this reducibility the following theorem holds. **THEOREM 3.** There is a c.e. set A, such that the set

 $\{f \mid f \text{ is a permutation and } f \leq_{ma} F\}$

is not closed under composition.

References.

1. A. S. Morozov. Groups of computable automorphisms. Handbook of recursive mathematics. Amer. Math. Soc. (to appear).

2. M. M. Arslanov. Computable enumerable sets and degrees of unsolvability. Kazan university publ., 1986.

Recursive (un)recognizability of properties of finitely presented groups and computational algebra

Natasha Bozovic

Department of Mathematics and Computer Science, San Jose State University, San Jose, CA 95192, USA bozovic@sjsumcs.sjsu.edu

Algorithmic recognizability of algebraic properties of finitely presentable (fp) groups has been studied. For each of the following properties of fp groups, we have proved that there is no algorithm that can decide for every finite presentation R whether the corresponding group G(R) has this property or not: being decomposable into a free (or direct) product of groups having any Markov property; being a union of properties such that for at least one of these properties none of the groups enjoying it has k (k>1) as the maximum number of nontrivial factors in a free decomposition of the groups; having a "context-free word problem"; being a poly-P property where P is a strong hereditary property of fp groups, etc.

These results enable one to identify a number of new properties of universal fp groups (groups that contain, as a subgroup, an isomorphic copy of every fp group). For example, if N is a finitely generated (fg) normal subgroup of an fp group U, such that U/N has any strong hereditary property, then N is universal if and only if U is universal. This implies that for an fp universal group U, the following subgroups of U are also universal: every subgroup of finite index; the commutant K; every fg subgroup containing K; every normal fg subgroup N such that quotient U/N is solvable, nilpotent, torsion-free, one-relator, or has a solvable word problem, etc.

Starting from the few known examples of universal groups, it is now possible to construct presentations of many other fp universal groups, using methods and algorithms developed in computational algebra.

Finally, we will discuss the connections between purely algebraic notions such as group properties on one side, and decidability (recursiveness) on the other.

Computability by approximations over the reals

M. V. Korovina, O. V. Kudinov

Institute of Mathematics, Novosibirsk, Russia e-mail: rita@ssc.nsu.ru

The concept of majorant-computability over the reals which integrates methods and ideas of continuous mathematics and the modern mathematical logic is investigated. A characteristic property of this approach is that we do not use terminating algorithms in the definitions. The result of computation is defined by a nonterminating process computing approximations closer and closer to the result. This approach does not depend on the way of representing the reals. The use of nonstandart models of the first-order theory of the reals enables us to investigate properties of computability of partial real-valued functions. In our approach, the majorant-computable functions include an interesting class of real-valued total functions that possess meromorphic extensions onto C. This class, in particular, contains functions that are solutions to known differential equations.

Let $\langle \mathbf{R}, 0, 1, +, \cdot, \leq \rangle$ be the standard model of the reals, denoted also by \mathbf{R} . Bold face indicates sequences, in particular, $\mathbf{x} = x_1, \ldots, x_n$, $\mathbf{y} = y_1, \ldots, y_k$. We use the definitions of the set of hereditarily finite sets HF(M) over a model \mathbf{M} , and the definitions of Σ -, II-definability proposed in [1]. We recall the notion of majorant-computability for real-valued functions (see [5]).

DEFINITION 1. A function $f: \mathbb{R}^n \to \mathbb{R}$ is called *majorant-computable* if there exist effective sequences of Σ -formulas $\{\Phi_s(a, \mathbf{x}, y)\}_{s \in \omega}$ and $\{G_s(a, \mathbf{x}, y)\}_{s \in \omega}$, with a parameter a, an elementary proper extension $\overline{\mathbb{R}}$ of \mathbb{R} such that the following conditions hold. 1. There exists $t \in \overline{\mathbb{R}}$ such that t > n for every natural number n; 2. For all $s \in \omega$, the formulas $\Phi_s(t, \mathbf{x}, y)$ and $G_s(t, \mathbf{x}, y)$ define total functions $f_s: \overline{\mathbb{R}}^n \to \overline{\mathbb{R}}$ and $g_s: \overline{\mathbb{R}}^n \to \overline{\mathbb{R}}$; 3. For all $\mathbf{x} \in \overline{\mathbb{R}}^n$, the sequence $\{f_s(\mathbf{x})\}_{s \in \omega}$ of the functions defined in 2) monotonically increases; the sequence $\{g_s(\mathbf{x})\}_{s \in \omega}$ of the functions defined in 2) monotonically decreases; 4. For all $s \in \omega$, $\mathbf{x} \in \text{dom}(f), f_s(\mathbf{x}) \leq f(\mathbf{x}) \leq g_s(\mathbf{x})$ and, for all $\mathbf{x} \in \overline{\mathbb{R}}^n, f_s(\mathbf{x}) \leq g_s(\mathbf{x})$; 5. $f(\mathbf{x}) = y \leftrightarrow \lim_{s \to \infty} \text{sp}(f_s(\mathbf{x})) =$ y and $\lim_{s \to \infty} \text{sp}(g_s(\mathbf{x})) = y$.

The following theorem connects the graph of a majorant-computable function with validity of a finite formula in the set of hereditarily finite sets, $HF(\bar{R})$ (where \bar{R} is an elementary proper extension of the standard real numbers).

THEOREM 1. For all functions $f: \mathbb{R}^n \to \mathbb{R}$, the following assertions are equivalent: 1. The function f is majorant-computable. 2. There exist a prime extension $\overline{\mathbb{R}} \succ \mathbb{R}$ and a Π -formula that determines the function F in the model $HF(\overline{\mathbb{R}})$ with the property $F|_{\mathbb{R}} = f$. 3. There exists a Π -formula that in any elementary proper extension $\widehat{\mathbb{R}} \succ \mathbb{R}$ determines the function F with the property $F|_{\mathbb{R}} = f$.

For real-valued functions let us denote the class of Σ -definable function as Σ , the class of computable functions that introduced by Moschovakis (see [4]) as M, class of computable functions that introduced by Blum, Shib and Smale (see [2]) as BSS, class of computable functions that introduced by Pour-El and Richards (see [6]) as PR, class of computable functions that introduced by Edalat and Sünderhauf (see [3]) as ES, class of majorant- computable functions as M. - C.,

THEOREM 2. 1. For continuous total real-valued functions, we have the following inclusions: BSS \subset $M = \Sigma \subset PR = ES = M. - C.$ 2. For partial real-valued functions, we have the following inclusions BSS $\subset M = \Sigma \subset ES \subset M. - C.$

References.

1. J. Barwise, Admissible sets and structures, Berline, Springer-Verlag, 1975.

2. L. Blum and M. Shib and S. Smale, On a theory of computation and complexity over the reals:NPcompleteness, recursive functions and universal machines, Bull. Amer. Math. Soc., (N.S.), 1989, v. 21, n 1, pages 1-46.

3. A. Edalat, P. Sünderhauf, A domain-theoretic approach to computability on the real line, Theoretical Computer Science. To appear.

4. Y. N. Moschovakis, Abstract first order computability, 1969, Trans. Amer. Math. Soc., vol 138, v. 138, pages 427-464.

6. M. Korovina, O. Kudinov, Some properties of majorant-computability over the reals, WSRC's proceedings, Kazan. To appear.

7. M. B. Pour-El, J. I. Richards, Computability and noncomputability in classical analysis, Trans. Amer.Math.Soc, 1983, v. 275, pages 539-560.

Restricted versions of Extended Resolution

Oliver Kullmann

Extended Resolution (ER), introcuded by Tseitin [7], is among the most powerful propositional proof systems. Motivated by improved SAT algorithms (see [5]), in [6] the notion of "Blocked Clauses" has been introduced, generalizing the introduction rule of ER (see also [4]).

This concept has been studied in [3] in order to get "approximative insights" for Extended Resolution (by means of "Generalized Extended Resolution" (GER)). [1] considered (strongly) restricted versions of GER and tried to give simulation results.

We now want to study the combination of full GER with some known resolution restrictions.

- Allen Van Gelder. Propositional search with k-clause introduction can be polynomially simulated by resolution. In Fifth International Symposium on Artificial Intelligence and Mathematics, January 1998. WWW: http://rutcor.rutgers.edu/~amai/.
- [2] Oliver Kullmann. Methods for 3-SAT-decision in less than 2^{0.59•n} steps. The Bulletin of Symbolic Logic, 1(1):96-97, 1995. Abstracts of contributed papers of the Logic Colloquium' 93, Keele, England, July 20 29, 1993.
- [3] Oliver Kullmann. On a generalization of extended resolution. To appear in Discrete Applied Mathematics (special edition on the satisfiability problem), 1996.
- [4] Oliver Kullmann. Some remarks on extended resolution. volume 3 of The Bulletin of Symbolic Logic, page 267. Association for Symbolic Logic, June 1997. Abstracts of contributed talks of the Logic Colloquium' 96, Donostia-San Sebastián, Spain, July 9 - 15, 1996.
- [5] Oliver Kullmann. Worst-case analysis, 3-SAT decision and lower bounds: Approaches for improved SAT algorithms. volume 35 of DIMACS Series in Discrete Mathematics and Theoretical Computer Science, pages 261-313. American Mathematical Society, 1997.
- [6] Oliver Kullmann. New methods for 3-SAT decision and worst-case analysis. Theoretical Computer Science, 1998. To appear; first version announced in [2].
- [7] G.S. Tseitin. On the complexity of derivation in propositional calculus. In Seminars in Mathematics, volume 8. V.A. Steklov Mathematical Institute, Leningrad, 1968. English translation: Studies in mathematics and mathematical logic, Part II (A.O. Slisenko, editor), 1970, pages 115-125.

Elementary Arithmetic

Geoff Ostrin University of Leeds, UK Email: geoff@amsta.leeds.ac.uk

Work by Cook and Bellantoni, [1], and Leivant, [2], all consider a normal/safe typing of variables within function definitions, so as to characterise feasible classes. Taking standard representations of certain function classes with respect to the variable separation, these now collapse to weaker classes, e.g. primitive recursion collapses to polytime. Similarly, when looking at formal proof systems, when we now apply an equivalent variable separation, the strength of the system is weakened. This talk presents a proof system, based on Peano Arithmetic, but now where we have a input/output variable separation. We allow quantification only over the output sort and induction can only be done over the input sort. The class of functions that we can prove terminating in this system now collapses to the Kalmar elementary functions. Further, restricting the complexity of the induction formulas, corresponds to bounding the heights of exponential stacks (c.f. the Ritchie hierarchy of functions, [3]). Although some of these results have previously been proved by Leivant, they are presented here using the classical methods of proof theory.

- [1] S. Bellantoni and S. Cook. A new recursion-theoretic characterization of the polytime functions. computational complexity, 2:97-110, 1992.
- [2] Daniel Leivant. Intrinsic Theories and Computational Complexity. Logic and Computational Complexity, (Ed. D. Leivant), pages 177-194, 1995.
- [3] R.W.Ritchie. Classes of predictably computable functions. Transactions of the American Mathematical Society, 106:139, 1963.

Halmos Categories in Logic and Databases

T. Plotkin^{^b}, B. Plotkin^b

^bDept. of Math. & CS Bar Ilan Univ., Ramat Gan, Israel plot@macs.biu.ac.il ^bInstitute of Mathematics Hebrew Univ., Jerusalem, Israel borisov@math.huji.ac.il

We consider applications of algebraic logic and universal algebraic geometry to databases. The logic is represented by the new notion of Halmos category, which produces a natural language in the algebraic geometry and in databases.

Let Θ be a variety of algebras and Θ^0 be a category of all free in Θ algebras W = W(X), where X is finite. Halmos categories formalize the notions of queries and replies in databases. They are defined in a given variety, which plays a role of data type in databases. Objects H = H(X) of such category are defined for every finite X. They are Boolean algebras with commuting quantifiers $\exists x, x \in X$. Morphism $s_* : H(X) \to H(Y)$ corresponds to the homomorphism $s : W(X) \to W(Y)$ in Θ^0 . Here, $(s_1s_2)_* = s_{1*}s_{2*}$, where all s_* are homomorphisms of Boolean algebras which are coordinated (in some sense) with quantifiers.

For every $G \in \Theta$, the Halmos category $Hal_{\Theta}(G)$ formalizes replies to queries. Its objects are algebras of subsets in the set Hom(W, G), which is considered as an Affine space in geometry.

In order to construct the category of queries, we fix a set Φ of symbols of relations and consider models $f = (G, \Phi), G \in \Theta$. On the other hand, f is the interpretation of Φ in G. This f is also an instance of a database. The new category $Hal_{\Theta}(\Phi)$ realizes FOL in Θ and plays a role of category of queries. We denote the objects of this category by $H(\Phi, X)$. Definitions of both these categories develop the ideas of [1-3].

For every finite X there arises an algebraic geometry over a model $f = (G, \Phi)$. It is determined by a Galois correspondence between the sets of formulas $T \in H(\Phi, X)$ and subsets in Hom(W(X), G).

Theorem: The Galois correspondence is well coordinated with the morphisms of Halmos categories of queries and replies.

Database model is represented by a triple $(F_G, Hal_{\Theta}(\Phi), Hal_{\Theta}(G))$, where F_G is a set of instances. The reply to a query in the instance f is represented as an algebraic variety over the model f.

References

1. T.Plotkin, B.Plotkin, "Algebraic logic in the problem of databases equivalence", Logic Colloquium Logic'94, Clermont - Ferrand, 1994, p.104.

2. P.Halmos, "Algebraic Logic", Chelcea, N.Y., 1962.

3. B.Plotkin, "Universal algebra, algebraic logic and databases", Kluwer, 1994, 438pp.

Title: n-Recursive Boolean Algebras Author: Michael Moses Affiliation: The George Washington University, Washington, DC, U.S.A. E-mail: moses@math.gwu.edu

A Boolean Algebra is *recursive* if its universe is the natural numbers and the quantifierfree formulae uniformly denote recursive relations. It is *n*-recursive if, in addition, the Σ_n formulae uniformly denote recursive relations, and *decidable* if this is true of all formulae.

Two natural questions thrown up by these definitions are:

- 1: Is there, for each n, an n-recursive BA that is not (n + 1)-recursive, or better still, has no n-recursive copy?
- 2: Is there a BA that is *n*-recursive for all n but has no decidable copy?

In this talk I answer these questions, both in the positive.

Draft

Substructural logics obtained from van Oosten's *little piece of categorical logic*

Koji Nakatogawa, Takeshi Ueno Department of Philosophy and Mathemaics Hokkaido University, Sapporo, Japan e-mail: koji@logic.let.hokudai.ac.jp t-ueno@math.sci.hokudai.ac.jp

Let FFL be the fragment of first-order logic introduced in the section 4.2 of van Oosten [2] for a language consisting of function symbols together with logical symbols, equality =, conjunction \wedge , and existential quantifier \exists . A categorical interpretation (Def.4.5 - 4.7) of FFL is provided in a regular category, and the soundness of FFL is proved (Th.4.10). Examining his arguments, one notices that his soundness proof of FFL works for a substructural fragment of FFL, and it can be adopted to a multiplicative extension of FFL.

(A) Let FFL' be a formal system resulting from FFL by the restriction: "the assumption ψ has to be always present whenever the rule (iii) of Def.4.9 is applied." In FFL', the left-rule for \wedge is pareserved, but the weakening rule (for empty assumptions) does not hold. van Oosten's proof of the soundness (Th.4.10) works for FFL'.

(B) Let MFFL be an extension of FFL resulting from the addition of multiplicative conjunction. Interpretation of MFFL is obtained from that of FFL (van Oosten [2], Def.4.5 - 4.7) through the replacement of cartesian product \times by tensor product \otimes . (In particular, [FV(t)] is then re-defined to be $[[s_1]] \otimes \ldots \otimes [[s_n]]$ in Def.4.5.) van Oosten's proof for the soundness of FFL carries over to MFFL. For this particular extension MFFL, resource to fibrations is not necessary.

- K. Nakatogawa and T. Ueno, On structural inference rules for Gentzen-style natural deduction, Part I, Proceedings of the Sixth Asian Logic Conference, Beijing 1996, C. Chong, M. Yasugi et al. eds., World Scientific, 1998.
- [2] Jaap van Oosten, Basic Category Theory, BRICS Lecture Series, LS-95-1, University of Aarhus, 1995.

On m-equivalence of Superatomic *I*-Algebras S.G.Pyrkin¹

Novosibirsk State University, Russia e-mail: pyrkin@yahoo.com

Boolean algebras with a distinguished ideal (I-algebras) are considered in this paper. We study m-equivalence of superatomic boolean algebras with a distinguished ideal. The elementary theories of superatomic *I*-algebras were completly described in [1]. One can find definitions on *I*-algebras in [1]; on m-equivalence of *I*-algebras in [2].

Definition 1 Let \leq - be a sign for the lexigraphic order. We denote $r(\mathcal{A}, I) \leq_{3,2,1} r(\mathcal{B}, J)$ if

$$(r_3(\mathcal{A}, I), r_2(\mathcal{A}, I), r_1(\mathcal{A}, I)) \leq (r_3(\mathcal{B}, J), r_2(\mathcal{B}, J), r_1(\mathcal{B}, J)).$$

Denote

$$arphi(t) = max\{k \mid t \ge 2^k\}, \ \psi(t) = min\{k \mid t \le 2^1 + \dots + 2^k\}, \ \psi(0) \rightleftharpoons 0, \ \chi(t) = max\{k \mid t \ge 2^1 + \dots + 2^k\}, \ \chi(1) \rightleftharpoons 0, \ \chi(0) \rightleftharpoons 0.$$

Theorem 1 Let (\mathcal{A}, I) and (\mathcal{B}, J) be superatomic I-algebras, $r(\mathcal{A}, I) = (n_1, n_2, n_3)$, $r(\mathcal{B}, J) = (m_1, m_2, m_3)$, $r(\mathcal{A}, I) <_{3,2,1} r(\mathcal{B}, J)$ and $n_3 \ge 5$. The following diagramm shows the maximal m such, that $(\mathcal{A}, I) \equiv_m (\mathcal{B}, J)$:

	$n_2 = 0$				$m = n_3 - 2$	(1)
$n_3 < m_3$	$n_2 \neq 0$	$n_2 = 1$			$m = n_3 - 2$	(2)
		$n_2 \ge 2$			$m = n_3 - 1$	(3)
	$n_2=0,m_2=0$				$m = \varphi(n_1) + n_3 - 2$	(4)
	$n_2=0,m_2 eq 0$				$m = n_3 - 2$	(5)
		$n_2 = m_2$			$m=\psi(n_1)+n_3-2$	(6)
$n_3 = m_3$			$n_1 < m_1$	$2n_1 \leq n_2$	$m = \psi(n_1) + n_3 - 2$	(7)
	$n_2 eq 0, m_2 eq 0$			$2n_1 > n_2$	$m=\chi(n_2)+n_3-2$	(8)
		$n_2 < m_2$	$n_1 = m_1$		$m = \chi(n_2) + n_3 - 2$	(9)
			$n_1 > m_1$	$2m_1 \leq n_2$	$\overline{m}=\psi(m_1)+n_3-2$	(10)
				$2m_1 > n_2$	$m = \chi(n_2) + n_3 - 2$	$(1\overline{1})$

- [1] D.E.Pal'chunov. On the undeciability of theories of Boolean algebras with a distinguished Ideal (Russian) Algebra i Logika 25 (1986), no.3, 326-346,364.
- [2] D.E.Pal'chunov. Finitely axiomatizable Boolean algebras with a distinguished Ideal (Russian) Algebra i Logika 26 (1987), no.4, 435-455,525.

¹Supported by the siberian Division of the Russian Academy of Science, grant N 3 on mathematics for youth scientific groups.

Strongly Constructivizable Prime *I*-Algebras D.E.Pal'chunov¹

Institute of Mathematics, Novosibirsk, Russia e-mail: palch@math.nsc.ru

We consider Boolean algebras with $l \in \omega$ distinguished ideals, called as *I*-algebras. We study prime models of the *I*-algebra theory. One can find definitions related to *I*-algebras in [1].

A model \mathcal{A} is called *non-vanishing* if for any decomposition $\mathcal{A} = \mathcal{B} \times \mathcal{C}$ we have $\mathcal{A} \equiv \mathcal{B}$ or $\mathcal{A} \equiv \mathcal{C}$; \mathcal{A} is called *basic* if \mathcal{A} is non-vanishing and finitely axiomatizable.

For an *I*-algebra \mathcal{A} and an element $a \in \mathcal{A}$ the set $\hat{a} = \{b \in \mathcal{A} \mid b \leq a\}$ defines corresponding *I*-algebra denoted as (\hat{a}) .

In [1] the sequence of formulas $V_n(x), n \in \omega$, together with related characteristic $r_{\mathcal{A}}(n)$ are introduced. An *I*-algebra \mathcal{A} is basic iff there exists a number *n* with $\mathcal{A} \models V_n(1)$. If $\mathcal{A} \models V_n(1)$ and $\mathcal{B} \models V_n(1)$ then $\mathcal{A} \equiv \mathcal{B}$. For an element $a \in \mathcal{A}$ the statement $\mathcal{A} \models V_n(a)$ holds iff $(\hat{a}) \models V_n(1)$.

We have $r_{\mathcal{A}}(n) = 0$ iff $\mathcal{A} \models \neg \exists x V_n(x)$; $r_{\mathcal{A}}(n) = k, k \in \omega$, iff k is the number of mutually disjoint elements $a \in \mathcal{A}$ such that $\mathcal{A} \models V_n(a)$; $r_{\mathcal{A}}(n) = \infty$ iff this number is as much as desired.

An *I*-algebra \mathcal{A} is said to be *local* if the set $M(\mathcal{A}) \rightleftharpoons \{n \in \omega \mid r(n) \neq 0\}$ is finite. An *I*-algebra \mathcal{A} is local iff $\mathcal{A} \times \mathcal{B}$ is finitely axiomatizable for some *I*-algebra \mathcal{B} . An *I*-algebra \mathcal{A} is called *prime* if \mathcal{A} is the prime model of the elementary theory $Th(\mathcal{A})$.

THEOREM. For any recursive characteristic r there exists a strongly constructivizable prime I-algebra \mathcal{A} with $r_{\mathcal{A}} = r$.

It is proved [1] that

a) if an *I*-algebra \mathcal{A} is local then the elementary theory $Th(\mathcal{A})$ has the prime model;

b) if \mathcal{A} is a superatomic Boolean algebra with one distinguished ideal then the elementary theory $Th(\mathcal{A})$ has the prime model.

COROLLARY 1. If a prime I-algebra A is local then A is strongly constructivizable. COROLLARY 2. Every prime superatomic Boolean algebra with one distinguished ideal is strongly constructivizable.

For models \mathcal{A} and \mathcal{B} we denote $\mathcal{B} \leq \mathcal{A}$ if $\mathcal{A} = \mathcal{B} \times \mathcal{C}$.

Models \mathcal{A} and \mathcal{B} are called *finitely equivalent* if for any finitely axiomatizable $\mathcal{C} \leq \mathcal{A}$ and $\mathcal{D} \leq \mathcal{B}$ there exist $\mathcal{M} \leq \mathcal{A}$ and $\mathcal{N} \leq \mathcal{B}$ such that $\mathcal{C} \equiv \mathcal{N}$ and $\mathcal{D} \equiv \mathcal{M}$. Thus \mathcal{A} and \mathcal{B} are finitely equivalent iff they have the same (up to elementary equivalence) finitely axiomatizable direct factors.

COROLLARY 3. For any *I*-algebra \mathcal{A} there exists a prime strongly constructivizable *I*-algebra \mathcal{B} such that \mathcal{A} and \mathcal{B} are finitely equivalent.

Reference.

1. D.E.Pal'chunov, Prime and countably saturated models of the theory of Boolean algebras with distinguished ideals, Siberian Advances in Mathematics, 4 (1994), v.4, no 3, p.83-108.

¹Supported by the Siberian Division of the Russian Academy of Sciences, grant N 3 on mathematics for youth scientific groups.

Title: On Logic with Definable Linear Modalities Author: A. Prijatelj Affiliation: Dept. of Mathematics, University of Ljubljana, Slovenia E-mail: Andreja.Prijatelj@fmf.uni-lj.si URL: http://mat.fmf.uni-lj.si/osebje/profesorji/prijatelj.html

In linear logic, there are special connectives ('!' and its dual '?'), often called modalities, such that given a formula F, !F indicates the potentiality of using F as often as one needs (i.e. an arbitrary number of times, includine zero). In the linear sequent calculus, see (2), one encounters four types of rules dealing with modally decorated formulas, the restricted weakening and contraction among others. However, none of them may serve as an explicit introduction of either '!' or '?', as opposed to all the other linear logic connectives and the respective introduction rules. Thus the linear modalities discussed in fact act as a meta-device to bookkeep the formulas with the intended particular freedom in use. And, it is this object-meta level formulation of linear logic that causes serious difficulties in constructing a natural complete sematics for it.

We feel, there might be a good way out, however. To this end, we shall below discuss affine logics with bounded contraction (i.e. an extension of linear logic) where the linear modalities are definable. To gain the cut-elimination property we shall move from ordinary sequent to the hyper-sequent formulation, along the lines of (1). Relying on the results gathered in (3) and (4), we will finally fix the corresponding complete sematics, in terms of games and a suitably modified Whitman's free lattice construction.

(1) Ciabattoni A., Gabbay D. and Olivetti N.: Cut-Free Proof Systems for Logics of Weak Excluded Middle, manuscript, 1998.

(2) Girard J.-Y.: Linear Logic: Its syntax and semantics, J.-Y. Girard et al. (eds.), Advances in Linear Logic, London Math. Soc. Lecture Notes Series 222, Cambridge Univ. Press, pp. 1-42, 1995.

(3) Joyal A.: Free Lattices, Communication and Money Games, M. L. Dalla Chiara et al. (eds.), Logic and Scientific Methods, Kluwer Ac. Pub., pp. 29-68, 1997.

(4) Prijatelj A.: Free Ordered Algebraic Structures towards Proof Theory, Prep. Series, vol. 35, IMFM, Univ. of Ljubljana, 1997.

Alternating time complexity bounds for protothetics

Anatoly P. Beltiukov

Mathematical department, University of Udmurtia 1, Universitetskaia str., Izhevsk, 426034, Russia e-mail : belt@uni.udm.ru

Sets of protothetics formulas (i.e. propositional formulas with quantifiers on propositional variables) are considered. The sets under consideration are composed of formulas with bounded densities of quantifiers. Closed upper and lower time complexity bounds for resolving sets of protothetics formulas with bounded numbers of quantifiers are given for alternating Turing Machines (ATM) [1] and stack register machines (SRM) [2]. Machines of both these classes are considered to have random access to input tape using special address tape or register.

THEOREM. Let f be a nondecreasing function, f(n) < n. Let f be honest with respect to alternating time, i.e.

for some ATM p that computes f with binary output. Let Prot(f) be the set of all true protothetics formulas with number of quantifiers f(n) where n is the length of the formula. Then

$$Prot(f) \subset ATime(f(n) + log^2n),$$

$$Prot(f) \not\subset ATime(f(n/log^2n)/logf(n))$$

where ATime(g(n)) is the complexity class for ATM with time complexity bound g(n), n is the length of input.

Using methode of [3] we can obtain

$$ATime(f) = SRMTime(exp(f(n)))$$

where SRMTime(g(n)) is the complexity class for SRM with time complexity bound g(n), n is the length of input. Therefore

$$Prot(f) \subset SRMTime(exp(f(n))n^{logn}),$$

 $Prot(f) \not \subset SRMTime(exp(f(n)/log^2)/logf(n)).$

- [1] Wolfgang J. Paul, Ernst J. Prauss, Rudiger Reischuk: On Alternation. Acta Informatica 14: 243-255 (1980)
- [2] Anatoly P. Beltiukov: Machine description and hierarchy of initial Grzegorczyk classes. Zapiski nauchnykh seminarov LOMI, 1979, v. 88, p.30-46.
- [3] Peter Clote: Nondeterministic Stack Register Machines. TCS 178(1-2): 37-76 (1997)

On global closure ordinals

Martin Grohe (Freiburg) and Phokion G. Kolaitis (Santa Cruz)

During the 1960s and the 1970s, researchers in generalized recursion theory carried out an in-depth investigation of both *positive* and *nonmonotone* inductive definability. Most of this work was *local*, in the sense that it studied inductively definable relations on some fixed infinite structure of mathematical significance, such the integers, the reals, or initial segments of the universe (see [5, 6, 1]). In the late 1970s, Barwise and Moschovakis [2] initiated a study of *global* inductive definability, and showed that it arises naturally in several different areas of mathematics. Instead of definability of relations on a fixed infinite structure, the global theory is concerned with the inductive definability of *queries* (that is, global relations) on some infinite class of (finite or infinite) structures. Since the early 1980s, researchers in finite model theory have carried out a detailed study of global inductive definability on classes of finite structures. Specifically, positive inductive definability and nonmononotone inductive definability on classes of finite structures have been studied under the names of *least fixed-point* logic and *inflationary fixed-point* logic (see [3]). Moreover, they have been shown to be intimately connected to polynomial-time computability on classes of finite structures. Nonetheless, global inductive definability on classes of infinite structures has remained rather unexplored, even though the initial investigation of Barwise and Moschovakis [2] was aimed in this direction.

Our goal is to further explore global inductive definability on classes of infinite structures. For this, we introduce and study the concept of the global closure ordinal of an inductive definition. More precisely, let $\varphi(\bar{x}, X)$ be a firstorder formula such that the arity of the relation symbol X is equal to the number of the free variables \bar{x} . On each structure A, the formula φ gives rise to an increasing sequence $(\varphi_A^{\xi})_{\xi \in ON}$ of relations on A defined by the transfinite induction $\varphi_A^{\xi} = \varphi_A^{\xi\xi} \cup \{\bar{a} \mid A \models \varphi(\bar{a}, \varphi_A^{\xi\xi})\}$, where $\varphi_A^{\xi\xi} = \bigcup_{\eta < \xi} \varphi_A^{\eta}$. Note that if the formula $\varphi(\bar{x}, X)$ is positive in X, then $\varphi_A^{\xi} = \varphi(\bar{a}, \varphi_A^{\xi\xi})$ for every ξ . The closure ordinal $cl(\varphi, A)$ of φ on A is the least ordinal ξ such that $\phi_A^{\xi} = \phi_A^{\xi\xi}$. This is a classical local notion that has been extensively studied in the literature (see [5, 6, 1]). In contrast, here we are interested in a counterpart global notion. If C is a class of structures, then the global closure ordinal $gcl(\varphi, C)$ of φ on C is the supremum of the closure ordinals $cl(\varphi, A)$ taken over all structures $A \in C$, if such an ordinal exists, or ∞ , otherwise. In this abstract, we focus our attention on $gcl(\phi) = gcl(\phi, A)$, where A denotes the class of all structures.

Grilliot [4] observed that if φ is an existential first-order formula, then $gcl(\phi) \leq \omega$. Our first result asserts that if $\xi = gcl(\varphi)$ for some arbitrary first-order formula φ , then there are a universal first-order formula ψ and a positive existential-universal formula χ such that $\xi = gcl(\psi) = glc(\chi)$. In other words, universal formulas and positive existential-universal formulas can realize every global closure ordinal. This result sharply contrasts with the state of affairs in local inductive definability; indeed, there are infinite structures on which for every $n \geq 2$ there is a Σ_{n+1} formula whose closure ordinal cannot be realized by any Σ_n -formula (see [6]). We also obtain a characterization of the class of global closure ordinals of first-order formulas in terms of a certain Π_1^1 -describability condition. As a consequence, we show that the first weakly inaccessible cardinal is a global closure ordinal, whereas each global closure ordinal is smaller than the first strongly inaccessible cardinal.

We also study the uniform closure ordinals of inductively definable queries. The uniform closure ordinal of a query Q is the the infimum over the global closure ordinals of all possible inductive definitions of Q. Our main result here is that a query Q has uniform closure ordinal less than or equal to ω if and only if it is inductively definable by an existential first-order formula. This provides a partial solution to a problem raised by Barwise and Moschovakis, who asked for a characterization of all positive first-order formulas having global closure ordinal less than or equal to ω . Finally, we show that a countable admissible ordinal is a global closure ordinal if and only if it is the uniform closure ordinal of a query inductively definable by a universal first-order formula.

- [1] J. Barwise, Admissible Sets and Structures, Springer-Verlag, 1975.
- [2] J. Barwise and Y.N. Moschovakis. Global inductive definability. Journal of Symbolic Logic, 43:521-534, 1978.
- [3] H.-D. Ebbinghaus and J. Flum, Finite Model Theory, Springer, 1995.
- [4] T.J. Grilliot. Inductive definitions and computability. Transactions of the American Mathematical Society, 158: 309-317, 1971.
- [5] Y.N. Moschovakis. Elementary Induction on Abstract Structures, North-Holland, 1974.
- [6] Y.N. Moschovakis, On nonmonotone inductive definability. Fundamenta Mathematicae, 82:39-83, 1974.

DERIVATIONS BASED ON PARTIAL PRE-ORDERINGS

Petr Jirků Dept. of Logic, Charles University, Prague, Czech Republic

We want to define a (non-monotonic) consequence relation starting with an ordering on formulas which is based on some kind of "information ordering" which is sometimes called expectation ordering [1] or possibility ordering ([2] and others). Usually, a formula φ is called (non-monotonically) derivable from ψ if it is logically derivable from ψ and some "hidden" (or suitable) formula χ . It is standard approach to conditional logic (Chisholm 1940, Hintikka 1962, Lewis 1973, Adams 1975, and many others).

Starting with partial pre-order (reflexive and transitive) relation on formulas satisfying properties of dominance and disjunctiveness we can define consequence operation expanding a given monotonic Cn in such way that a formula (non-monotonically) follows from a set X of formulas if there is a (hidden) set H of formulas such that φ follows from $X \cup H$ and the g.l.b. of X is more expected (or more possible) than l.u.b. of the set of negative images of elements in H.

Since such kind of derivations is closely connected with conditional logic we will also discuss the possibility to define non-monotonic consequence on the base of partial pre-order of possible worlds or even on sets of possible worlds as in Stalnacker model of spheres [3] for conditional logic.

- GÄRDENFORS, P MAKINSON, D: Nonmonotonic inference based on expectations. Artificial Intelligence 65 (1994) 197-245.
- [2] FARIÑAS DEL CERRO et al.: From ordering-based nonmonotonic reasoning to conditional logics. Artificial Intelligence 66 (1994) 375-393.
- [3] STALNACKER, R: A theory of conditionals. In N. Rescher (ed.) Studies in Logical Theory. American Philosophical Quarterly Monograph Series, no. 2, Blackwell, Oxford 1968.

APARTNESS AND GROUP THEORY IN CONSTRUCTIVE ALGEBRA

Antonino Drago

Dept. Physical Sciences University of Neaples <u>drago@unina.it</u>

APARTNESS AND GROUP THEORY IN CONSTRUCTIVE ALGEBRA Bishop easely defined the inverse number x-1 of a single number x when it is apart from 0 [1, p. 21]. However, he was unable to decide for whatsoever number x not equal to 0 whether there exists its inverse number. In order to develop constructive algebra - in particular, for defining the inverse element -, the dominant Bishop's school relies on this notion of apartness.[2] However, some constructivists define respectively "apartness" as the mere Brouwer's definition, and "tight apartness" as including the following property ii)[2, p. 8, 30; 3, p. 7] >From a historical viewpoint, this notion was suggested by Brouwer and then reiterated by Heyting: "Definition 1: For real number-generators a and b, a lies apart from b, means that n and k can be found such that for every p."[4, p. 19] Brouwer first[5, p. 254] and then both Heyting[4, p. 17] and Dummett[6, p. 40-1] "proved" the following three properties of this notion : "i) If a not equal to b, a=b is impossible. ii) If a not equal to b is impossible, a=b. iii) If a not equal to b, then for any element c of S, either a not equal to c, or b not equal to c."[3, p. 49] By the definition, property i) is trivial. On the contrary, a counterexample for invalidating property iii) is easely given by means of a "fugitive" property. Let the number c be c=0,c1c2...., where the digit ci is defined as ai if Goldbach's conjecture holds true for all n < i, otherwise it is bi. Moreover, property ii) too appears to be a non constructive one; by negating a positive notion -- the existence of "a k such that..." -, it appeals to a (negative) existence proof which is possible in few cases only (it leaves out fugitive numbers). As a fact, both "proofs" of such a property argue on an n°-approximation depending on a particular k; then, they state that "for each k we can find such an n°"; [4, p. 17, 6, p. 41] yet, this statement by ranging on all k, implies an unbounded capability of calculation; whereas the whole argument appeals to the excluded middle law about "each no". (Moreover, Heyting's Th. 2.2.2 implies the double negation law). In sum, the common notion of apartness is an essentially non-constructive notion. Rather, by involving a quantifier only, both properties ii) and iii) pertain to a non-constructive mathematics - as Weyl's, which is bounded in such a way.[7] However, apartness is an ambiguous notion[8] and moreover it is not a so much efficient notion for finding out counterparts of theorems of classical group theory.[9] Thus, the question arises: How develop a constructive group theory? Past history suggests some answers: i) to require the existence of the inverse elements by means of some physical, external condition, as the inventor of both the technique and the method of group theory - Lazare Carnot - did in his books of mechanics;[10] ii) to consider - as Bishop did - Abelian compact groups; where inverse elements results from a continuous mapping x to x exp -1, which disregards a single element; [1, p. 299] iii) to consider those groups only where the inverse element is trivially obtained, i.e. either groups on decidable elements - as those interesting Galois -, or additive groups - as one-parameter Lorentz group is. In sum, constructive algebra seems to need ingenuity in an essential way. BIBLIOGRAPHY [1] E. Bishop: Foundations of Constructive Mathematics, Mc Graw-Hill, 1967. [2] R. Mines, F. Richman, W. Ruitenberg: A Course of Constructive Algebra, Springer, 1988. [3] D. S. Bridges, F. Richman: Varieties of Constructive Mathematics, Cambridge, 1987. [4] L.E.J. A. Heyting: Intuitionism. An Introduction, North-Holland, 1966. [5] L.E.J. Brouwer: Collected Works, North-Holland, 1975, 193-4, 525. [6] M. Dummett: Elements of Intuitionism, Claredon, 1977, p. 41. [7] S. Feferman: "Weyl vindicatus", in C. Cellucci, G. Sambin (eds.): Atti SILFS, CLUEB, Bologna, 1987, 1, 59-93. [8] A. S. Troelstra, D. van Dalen: Constructivism in Mathematics. An Introduction, North-Holland, 1988, p. 235. [9] L. J. Beeson: Foundations of Constructive Mathematics, Springer, 1980, p. 22. [10] L. Carnot: Essai sur les Machines en général, Defay, Dijon, 1783 (Ital. transl.: CUEN, Napoli, 1994); A. Drago, A. Rotunno: "L. Carnot e Galois", in A. Morelli (ed.): Atti II Conv. Storia e Didattica della

Antonino Drago - Dept. Phys. Sci., Univ. "Federico II", Napoli - I, adrago@na.infn.it

APARTNESS AND GROUP THEORY IN CONSTRUCTIVE ALGEBRA

Bishop easely defined the inverse number x^{-1} of a single number x when it is apart from 0 [1, p. 21]. However, he was unable to decide for whatsoever number $x\neq 0$ whether there exists its inverse number. In order to develop constructive algebra - in particular, for defining the inverse element -, the dominant Bishop's school relies on this notion of apartness.[2] However, some constructivists define respectively "apartness" as the mere Brouwer's definition, and "tight apartness" as including the following property ii [2, p. 8, 30; 3, p. 7]

From a historical viewpoint, this notion was suggested by Brouwer and then reiterated by Heyting: "Definition 1: For real number-generators a and b, a lies apart from b, means that n and k can be found such that $|a_{n+p} - b_{n+p}| > 1/k$ for every p."[4, p. 19] Brouwer first[5, p. 254] and then both Heyting[4, p. 17] and Dummett[6, p. 40-1] "proved" the following three properties of this notion : "*i*) If a#b, a=b is impossible. *ii*) If a#b is impossible, a=b. *iii*) If a#b, then for any element c of S, either a#c, or b#c."[3, p. 49]

By the definition, property *i*) is trivial. On the contrary, a counterexample for invalidating property *iii*) is easely given by means of a "fugitive" property. Let the number c be $c=0, c_1c_2...$, where the digit c_i is defined as a_i if Goldbach's conjecture holds true for all n < i, otherwise it is b_i . Moreover, property *ii*) too appears to be a non constructive one; by negating a positive notion - the existence of "a k such that..." -, it appeals to a (negative) existence proof which is possible in few cases only (it leaves out fugitive numbers). As a fact, both "proofs" of such a property argue on an n° -approximation depending on a particular k; then, they state that "for each k we can find such an $n^{\circ m}$; [4, p. 17, 6, p. 41] yet, this statement by ranging on all k, implies an unbounded capability of calculation; whereas the whole argument appeals to the excluded middle law about "each $n^{\circ m}$. (Moreover, Heyting's Th. 2.2.2 implies the double negation law).

In sum, the common notion of apartness is an essentially non-constructive notion. Rather, by involving a quantifier only, both properties *ii*) and *iii*) pertain to a non-constructive mathematics - as Weyl's, which is bounded in such a way.[7] However, apartness is an ambiguous notion[8] and moreover it is not a so much efficient notion for finding out counterparts of theorems of classical group theory.[9]

Thus, the question arises: How develop a constructive group theory? Past history suggests some answers: *i*) to require the existence of the inverse elements by means of some physical, external condition, as the inventor of both the technique and the method of group theory - Lazare Carnot - did in his books of mechanics; [10] *ii*) to consider - as Bishop did - Abelian compact groups; where inverse elements results from a continuous mapping $x \rightarrow x^{-1}$, which disregards a single element; [1, p. 299] *iii*) to consider those groups only where the inverse element is trivially obtained, i.e. either groups on decidable elements - as those interesting Galois -, or additive groups - as one-parameter Lorentz group is.

In sum, constructive algebra seems to need ingenuity in an essential way.

BIBLIOGRAPHY [1] E. Bishop: Foundations of Constructive Mathematics, Mc Graw-Hill, 1967. [2] R. Mines, F. Richman, W. Ruitenberg: A Course of Constructive Algebra, Springer, 1988. [3] D. S. Bridges, F. Richman: Varieties of Constructive Mathematics, Cambridge, 1987. [4] L.E.J. A. Heyting: Intuitionism. An Introduction, North-Holland, 1966. [5] L.E.J. Brouwer: Collected Works, North-Holland, 1975, 193-4, 525. [6] M. Dummett: Elements of Intuitionism, Claredon, 1977, p. 41. [7] S. Feferman: "Weyl vindicatus", in C. Cellucci, G. Sambin (eds.): Atti SILFS, CLUEB, Bologna, 1987, 1, 59-93. [8] A. S. Troelstra, D. van Dalen: Constructives min Mathematics. An Introduction, North-Holland, 1988, p. 235. [9] L. J. Beeson: Foundations of Constructive Mathematics, Springer, 1980, p. 22. [10] L. Carnot: Essai sur les Machines en général, Defay, Dijon, 1783 (Ital.

Data-modulated Boolean algebras and consequence relations

I.C. Burger^{*} and J. Heidema

Rand Afrikaans University, South Africa icb@na.rau.ac.za

and

University of South Africa, South Africa heidej@alpha.unisa.ac.za

Given any Boolean algebra $\mathcal{B} = (B, \leq, \wedge, \vee, \neg)$ and any (fixed) element $t \in B$, we define the function $m_t : B \longrightarrow B, x \longmapsto (x \leftrightarrow t)$, where $(x \leftrightarrow t) := (x \wedge t) \vee (\neg x \wedge \neg t)$. Then m_t is a bijection; m_t is its own inverse: $[(x \leftrightarrow t) \leftrightarrow t] = x$; and $m_t(\neg x) = \neg m_t(x)$.

We now employ m_t to induce a new Boolean structure on the set B, i.e. to construct the Boolean algebra $\mathcal{B}_t = (B, \sqsubseteq_t, \sqcap, \sqcup, \neg)$ where

 $\begin{array}{l} x \sqsubseteq_t y : \Longleftrightarrow m_t(x) \leq m_t(y); \\ x \sqcap y := m_t(m_t(x) \land m_t(y)); \\ x \sqcup y := m_t(m_t(x) \lor m_t(y)). \end{array}$ (The complement operation of \mathcal{B}_t is the s

(The complement operation of \mathcal{B}_t is the same as that of \mathcal{B} , since $m_t(\neg m_t(x)) = m_t(m_t(\neg x)) = \neg x$.) Then m_t is an isomorphism: $m_t : \mathcal{B} \cong \mathcal{B}_t$.

What do the new meet and join operations, \sqcap and \sqcup , look like when expressed in terms of the old operations? Well, $x \sqcap y = [x \land y] \lor [(x \lor y) \land \neg t]$ and $x \sqcup y = [x \lor y] \land [x \land y) \lor t]$. If \mathcal{B} has a bottom and a top, then \mathcal{B}_t has $\neg t$ as bottom and t as top.

Consider now any propositional language and let B denote the set of logical equivalence classes of sentences of the language. Then $\mathcal{B} = (B, \models, \land, \lor, \neg)$ is the Tarski-Lindenbaum algebra which has the equivalence class \bot of contradictions as bottom element and the equivalence class \top of tautologies as top element. Suppose we have data (information) in the form of a sentence $t \in B$ which we want to employ in modulating \mathcal{B} into a new Boolean algebra \mathcal{B}_t . In the latter, a sentence Y must lie above a sentence X if Y is closer to the data than X. This is achieved by the function m_t as described above. The resulting \mathcal{B}_t (or (B, \sqsubseteq_t)) represents a data-dependent entailment relation – different from the usual t-expanded entailment relation: $X \models_t Y :\iff X \land t \models Y$. The relation \sqsubseteq_t is also a verisimilar relation when t represents the (complete knowledge of the) "truth" and the Tarski-Lindenbaum algebra is the special case \mathcal{B}_T in which "higher up" means closer to the "data" \top (which contains no information). The data-modulated relation \sqsubseteq_t is non-monotonic in the following two ways: (1) $X \models Y$ does not imply $X \sqsubseteq_t Y$ and (2) $X \sqsubseteq_{t_1} Y$ does not imply $X \sqsubseteq_t Y$ when $t_2 \models t_1$.

In the relationship between the orders \mathcal{B} and \mathcal{B}_t the group structure of the Abelian group $(B, \leftrightarrow, \top)$ plays an interesting role.

* Presenter

Finite and infinite Gentzen games

Herman R Jervell

University of Oslo <u>herman.jervell@ilf.uio.no</u> <u>http://www.uio.no/~herman</u>

Gentzen games were introduced in [1] as a combinatorial core of the Gentzen cut elimination in sequential calculus. There the Gentzen games were given for predicate logic and Peano arithmetic essentially using the lexicographical pathordering in rewrite systems. Here we extend the Gentzen games to other systems as infinitary logic, iterated inductive definitions and Pi-1-1 CA.

[1] Gentzen Games. H R Jervell. Zeitschr math Logik und Grundlagen d Math. Vol 31, pp 431-439, 1985.

VITALI'S THEOREM & REVERSE MATHEMATICS

MARIAGNESE GIUSTO

In this talk we present some results of measure theory in the context of Reverse Mathematics.

Part of the results were obtained in collaboration with Prof. S.G.Simpson ([1, 2]).

The purpose of Reverse Mathematics is to study the role of set existence axioms, trying to establish the weakest subsystem of second order arithmetic in which a theorem of ordinary mathematics can be proved. The basic reference is Simpson's monograph [3].

Historically, the subject of measure theory developed hand in hand with the nonconstructive, set-theoretic approach to mathematics. Errett Bishop has remarked that the foundations of measure theory present a special challenge to the constructive mathematician. Although our program of Reverse Mathematics is quite different from Bishop-style constructivism, we feel that Bishop's remark implicitly raises an interesting question: "Which nonconstructive set existence axioms are needed for measure theory?"

 $WWKL_0$ seems to be the right subsystem for measure theory, since most of the results in measure theory are indeed equivalent to it.

WWKL₀ consists of RCA_0+WWKL_0 , where WWKL is a very weak version of König's lemma.

 $WWKL_0$ has been introduced about 10 years ago by Yu and Simpson ([4]) who introduced the fundaments of measure theory in this context and proved the equivalence of $WWKL_0$ with the countable additivity of the Lebesgue measure.

Here we present the equivalence between $WWKL_0$ and a couple of versions of the classical result of Vitali's covering theorem.

Moreover we present an RCA_0 version of disjoint countable additivity which contrasts with the result by Yu-Simpson.

Then we introduce the concept of measurable function in the context of Reverse Mathematics giving the proof a classical result about the measurability of continuous functions using a new and interesting technique.

References

- [1] Douglas K. Brown, Mariagnese Giusto, and Stephen G. Simpson, Vitali's theorem and WWKL, preprint, 17 pages, October 1996, to appear.
- [2] Mariagnese Giusto, Topology and Analysis in Reverse Mathematics, Ph.D. thesis, Università di Torino, 1998.
- [3] Stephen G. Simpson, Perspectives in Mathematical Logic, Springer-Verlag, in preparation.
- [4] Xiaokang Yu and Stephen G. Simpson, Measure theory and weak König's lemma, Archive for Mathematical Logic 30 (1990), 171-180.

E-mail address: giusto@dm.unito.it, mariagnese@savonaonline.it

Dip. di Matematica - Università di Torino - via Carló Alberto 10 - 10123 Torino - Italy

ON UNIFORM FREGE PROOF OF PIGEONHOLE PRINCIPLE

JUI-LIN LEE

Pigeonhole principle had been studied as a candidate of separating Frege system and extended Frege system [3]. Buss [1] refuted this conjecture by showing that there is a polynomial size Frege proof of PHP^n . The crucial part is to construct count(x) (the number of ones in the binary expression of x) by divide-and-conquer, and using Carry-Save adder at each step. To avoid complication of indices, Buss actually used Carry-Save adder twice at each step. Such construction is done by A_0 uniformity (this is verified in [4]), where A_0 is a function algebra of uniform AC^0 [2]. One may ask whether to construct count(x) by using Carry-Save adder once at each step (denoted D&C(CSA)) requires stronger uniformity.

In this talk we prove that D&C(CSA) can be done in A_0 uniformity. The key is that exponentiation, multiplication, and division which deal with sharply bounded values only are computable in A_0 . We also discuss translation between Frege system and TAC^0 , and two tautologies related to $2n \times 2n$ notched checkerboard problem.

References

- S. R. Buss. Polynomial size proofs of the propositional pigeonhole principle. Journal of Symbolic Logic, 52:916-927, 1987.
- [2] P. Clote. Sequential machine independent characterizations of the parallel complexity classes AlogTIME, AC^k, NC^k, and NC. In Feasible Mathematics: A Mathematical Sciences Institute Workshop held in Ithaca, New York, June 1989, pages 49-69. Birkhäuser, 1990.
- [3] Stephen A. Cook and Robert A. Reckhow. The relative efficiency of propositional proof systems. Journal of Symbolic Logic, 44:36-50, 1979.
- [4] J.-L. Lee. Count and tree in uniform NC¹. PhD thesis, Department of Mathematics, University of Illinois at Urbana-Champaign, 1997.

INSTITUTE OF MATHEMATICS, ACADEMIA SINICA, TAIWAN, ROC *E-mail address:* jllee@math.sinica.edu.tw

Date: April 6, 1998.

LC '98 Book of Abstracts

Local ϵ -Substitution Method*

Georg Moser Technische Universität Wien

Hilbert's substitution method [HB70,Min89,Tai65] is known as a strong tool for ϵ -elimination in arithmetic and analysis. Contrary to this traditional-use we aim at applications of the substitution method to pure first-order logic; this question has been investigated in [Tai65], but we pursue a more direct approach. Without the additional information rendered by a concrete theory like PA we are bound to evaluate every ϵ -term syntactically by replacing it with the corresponding critical term t. Our notion is based on the assumption that the investigated proof Π' is obtained by a translation of a specific LK-proof Π . A term t is called *corresponding* to $\epsilon_x F[x]$, if t is actually replaced by $\epsilon_x F[x]$ in Π' . (This notion differs form the usual one: If $C_1 = F[t] \rightarrow F[\epsilon_x F[x]]$ and $C_2 = F[s] \rightarrow F[\epsilon_x F[x]]$ are critical formulas, then t may correspond to the occurrence of $\epsilon_x F[x]$ in C_1 but not in C_2 .) The main idea of the local ϵ -substitution method is to use the extra information concerning connections of formulas in Π' . We apply logical flow graphs [Bus91] to keep track of the history of given formulas; the notion is slightly extend to cope with critical axioms $F[t] \vdash F[\epsilon_x F[x]]$. Employing critical paths it is possible to replace formulas containing terms $\epsilon_x F[x]$ by disjunctions based on terms t_1, \ldots, t_n corresponding to $\epsilon_x F[x].$

The method is straight-forward when formulas containing bound variables are not subject to contractions. In the presence of contractions an auxiliary calculus is employed to deal with the more complex shape of the critical paths. (We apply ideas from [Car98] to construct this calculus.) We prove a variant of the extended first ϵ -theorem [HB70]: Assume a derivation Π of C containing weak quantifiers only. This derivation can be transformed into a proof in \mathbf{LK}_0 (the proposition fragment of \mathbf{LK}) of the Herbrand disjunction for C. The weak quantifier restriction for C of the usual first ϵ -theorem can be abandoned, because we obtain a structural Skolemization of C using ϵ -terms without influencing our procedure. We investigate the question of strong convergence (every kind of replacement strategy to choose the next critical path to be eliminated leads to a proof in \mathbf{LK}_0). We show that the replacement step preserves the property of being a critical formulas for the formulas not involved.

- [Bus91] S. B. Buss. The undecidability of k-provability. Annals of Pure and Applied Logic, 53:72-102, 1991.
- [Car98] A. Carbone. Turning cycles into spirals, 1998. Annals of Pure and Applied Logics, submitted.
- [HB70] D. Hilbert and P. Bernays. Grundlagen der Mathematik 2. Springer Verlag, 1970.
- [Min89] G. Mints. Epsilon substitution method for the theory of heredittarily finite sets (russian). In Proc. Eston. Acad. of Sci. Fiz.-Math., pages 154-164, 1989.
- [Tai65] W. W. Tait. The substitution method. The Journal of Symbolic Logic, 30 (2):175– 192, 1965.

^{*} This work is sponsored by the Austrian Research Fund (FWF-grant No. P11934-MAT).

How fast are sketches as proofs^{*}

Norbert Preining

University of Technology, Vienna, Austria

We present a proof-theoretic analysis of the elementary theory of projective geometry, a system which, although syntactically simple, up to now didn't find extensive attention besides comments in books on Euclidean geometry.

An extension $L_{PG}K$ of Gentzens LK for the special language of projective geometry is constructed and the usual properties of LK are shown for $L_{PG}K$.

The concept of sketches is of special interest for our analysis. Sketches are widely used for intuitive reasoning but they are not accepted as formal proofs. In our opinion this is an underestimation of the proof-theoretic strength of sketches. We show that sketches by themselves can be interpreted as rigid proofs.

We develop a new formalisation of sketches based on Herbrand disjunctions and prove the equivalence of sketches and proofs. These results are similar to those in [Pre96] or [Pre97], but are now stated independed of any particular formalisation.

The undecidability of projective geometry together with an analysis of Herbrand disjunctions gives us the opportunity to distinguish sketches and proofs: Sketches are shown to correspond to more or less explicit Herbrand disjunctions and analogous to the results of Statman [Sta79] and Orevkov [Ore79] there is a non-elementary speedup between sketches and proofs.

To obtain this result we translate Orevkovs formulas into the language of projective geometry. Some classical results by Robinson [Rob49] let us define a formula representing the predicate P from Orevkovs paper, where P(a, b, c) holds iff $a + 2^b = c$. We provide a detailed analysis of the occuring Herbrand disjunctions and derive a lower bound for explicit proofs of the modified Orevkov formula. Together with Orevkovs short derivation we obtain the result mentioned.

References

- [Ore79] V. P. Orevkov. Lower bounds for increasing complexity of derivations after cut elimination. Zapiski Nauchnykh Seminarov Leningradskogo Otdeleniya Matematicheskogo Instituta, 88:137-161, 1979.
- [Pre96] Norbert Preining. Sketch-as-proof, a proof-theoretic analysis of axiomatic projective geometry. Master's thesis, University of Technology, Vienna, Austria, 1996. http://www.logic.at/people/preining/publications/sketch-as-proof.ps.
- [Pre97] Norbert Preining. Sketch-as-proof. In G. Gottlob, A. Leitsch, and D. Mundici, editors, Computational Logic and Proof Theory, Proc. 5th Kurt Gödel Colloquium KGC'97, Lecture Notes in Computer Science 1289, pages 264-277, Vienna, Austria, 1997. Springer.

http://www.logic.at/people/preining/publications/kgc97.ps.

- [Rob49] Julia Robinson. Undecidability in the arithmetic of integers and rationals and in the theory of fields. Journal of Symbolic Logic, 14:77, 1949.
- [Sta79] R. Statman. Lower bounds on Herbrand's theorem. Proc. of the Amer. Math. Soc., 75:104-107, 1979.

^{*} Supported by the Austrian Research Fund (FWF Projekt P11934-MAT)

Equations raised by proof data.

Piotr Wojtylak

Silesian University, Katowice, Poland wojtylak@ux2.math.us.edu.pl

Derivability with a given logical form is usually characterized by a set of equations (or their finite disjunctions). As logical forms of proofs various their partial descriptions are used, eg. numbers of proof lines, skeleta etc. In (first-order) languages with at most monadic function symbols, one gets linear diophantine equations, or more generally, word equations in free semigroups. In languages with a binary (or more ary) function symbol some kind of term equations are received. I would like to present some results in [1]. There are considered transforamtions of proofs leading to proofs of more general theorems. My talk concerns operations on equations induced by these transformations.

[1] Baaz M., Wojtylak P.; Generalizing proofs in monadic languages, to appear.

Abstract

Uniform Interpolation in Basic Propositional Logic

Mohammad Ardeshir

Department of Mathematics
Sharif University of Technology
P.O. Box 11365-9415
Tehran, Iran.
ardeshir@math.sharif.ac.ir

Institute for Studies in Theoretical Physics and Mathematics P.O. Box 19395-5746 Tehran, Iran. ardeshir@karun.ipm.ac.ir

The language of Basic Propositional Logic, BPC is $\mathcal{L} = \{ \lor, \land, \rightarrow, \bot, \top \}$ and its axioms and rules are the same as Intuitionistic Proposition Logic, IPC, except Modus Ponens, which is weakened in BPC, [1].

Ordinary interpolation theorem in a logic says that if $A \vdash B$, then there is a formula C is the language containing only the shared propositional variables p_1, \ldots, p_n in A and B such that $A \vdash C$ and $C \vdash B$.

The uniform interpolation is strengthening of ordinary interpolation in the sense that to find the interpolant C we need weaker data: it is enough to have A and (the shared) propositional variables p_1, \ldots, p_n or B and p_1, \ldots, p_n . These forms are stated as follows:

Theorem 1. (Uniform Post-Interpolation in *BPC*). Let A and $p_1, \ldots, p_n \in PV(A)$ are given. There is a formula $C(p_1, \ldots, p_n)$ such that $A \vdash C$, and for all formula B with $PV(A) \cap PV(B) = \{p_1, \cdots, p_n\}, A \vdash B$ iff $C \vdash B$.

Theorem 2. (Uniform Pre-Interpolation in BPC). Let B and $p_1, \ldots, p_n \in PV(B)$ are given. There is a formula $C(p_1, \ldots, p_n)$ such that $C \vdash B$, and for all formula A with $PV(A) \cap PV(B) = \{p_1, \cdots, p_n\}, A \vdash B$ iff $A \vdash C$.

- 1 M. Ardeshir, W. Ruitenburg, *Basic Propositional Calculus, I*, to appear in MLQ.
- 2 A. Visser, Uniform Interpolation and Layered Bisimulation, in: Gödel's 96, Springer, 1996, pp. 139-164.

Fast elimination of monotone cuts*

Matthias Baaz and Alexander Leitsch

University of Technology, Vienna, Austria

Statman and Orevkov independently proved that cut-elimination is of nonelementary complexity even for Horn theories. By restricting the logical operators to $\{\Lambda, \vee, \exists, \forall\}$ we obtain the type of monotone formulas. We show that the elimination of monotone cuts can be of non-elementary complexity (here generalized disjunctions in the antecedents of sequents play a central rôle). On the other hand we define a large class of problems (including all Horn theories) where elimination of monotone cuts is only exponential and show that this bound is tight. This implies that the elimination of monotone cuts in equational theories is easy. Particularly there are no short proofs of Statman's sequence with monotone cuts. The method of cut-elimination employed (*cut-pojection*) differs strongly from Gentzen's method which can be shown to be of non-elementary complexity for equational theories with monotone cuts. Some applications to number-theories like **PRA** and Robinson's **Q** are provided.

References

- [1] M. Baaz and A. Leitsch. Cut normal forms and proof complexity. Ann. Pure Appl. Logic, 1998. To appear.
- [2] M. Baaz and A. Leitsch. Fast Cut-Elimination by Projection. In Proc. of the CSL'96. Springer Lecture Notes in Computer Science 1258, 18-33 (1997)

*Supported by the Austrian Research Fund (FWF Projekt P11934-MAT)

A characterization of the Gentzen systems satisfying the cut rule

Àngel J. Gil * Jordi Rebagliato **

We show that, in Gentzen systems, there is a close relation between two of the main characters in algebraic logic and proof theory respectively: protoalgebraicity and the cut rule. Protoalgebraic deductive systems were introduced in [2] and have been widely studied in the context of *algebraic logic*. Roughly speaking, a deductive system is protoalgebraic if a pair of formulas which cannot be distinguished on the basis of a certain theory cannot be distinguished on the basis of any larger theory either.

In Gentzen systems the concept of protoalgebraicity is enhanced by a new proof-theoretical content, to which we devote the main part of this study. First of all, it is easy to see that a Gentzen system containing the identity axiom and the exchange and the cut rules is protoalgebraic. For the *m*-sided Gentzen systems -those whose sequents are *m*-tuples of finite sequences of formulas, considered among others in [1, 4]- the same result holds, but the the contraction rule is required: if a Gentzen system contains the identity axiom, the exchange and contraction rules and *all* the cut rules -there may be a cut rule for each pair of components- then it is protoalgebraic. The necessity of the exchange and the contraction rules and sight modification in the definition of the cut rule.

The main goal of [3] is the study of the converse of this result, i.e., must a protoalgebraic Gentzen system contain the cut rule, either as a primitive or as a derived rule? If we admit arbitrary rules the answer is negative. However, in sequent calculi rules are usually divided into structural rules and logical rules. For these reasons we restrict our discussion to what we call *regular sequent calculi*, i.e., sequent calculi consisting of the identity axiom, some structural rules and some *logical rules*, in a sense we make precise and which is intended to be general enough to include all the usual rules. An important feature of the logical rules is the presence of side sequents, which are sequents that are not altered by the rule and are arbitrary, except for their length.

We prove that a protoalgebraic regular sequent calculus must contain, at least, one of the cut rules. Thus, in the usual case of 2-sided sequents, a regular sequent calculus that contains the exchange rule is protoalgebraic if and only if it contains the cut rule. The many-sided case is still to be resolved at this point. In this case the situation is more complicated because the problem remains of determining whether the calculus has to contain *all* the cut rules, at least as derived rules. By analysing the way variables behave through a formal proof we prove that given a regular many-sided sequent calculus that contains the weakening, exchange and contraction rules, it is protoalgebraic if and only if all the cut rules are derivable, provided that there is no restriction on the length of the side sequents of the logical rules.

- [1] M. Baaz, C. G Fermueller, and R. Zach. Elimination of cuts in first-order finite-valued logics. Journal of Information Processing and Cybernetics. EIK, 29(6):333-355, 1994.
- [2] W.J. Blok and D. Pigozzi. Protoalgebraic logics. Studia Logica, 45:337-369, 1986.
- [3] A.J. Gil and J. Rebagliato. Protoalgebraic Gentzen systems and the cut rule. Mathematics preprint series 245, Universitat de Barcelona, December 1997.
- [4] G. Rousseau. Sequents in many valued logic I. Fundamenta Mathematicae, 60:23-33, 1967.

^{*}Universitat Pompeu Fabra, Barcelona. angel.gil@econ.upf.es.

^{**}Universitat de Barcelona. rebaglia@cerber.mat.ub.es.

Proof-theory for infinite-valued logics: a functional approach

Walter A. Carnielli CLEHC and IFCH – State University of Campinas 13081-970 Campinas, SP - Brazil carniell@cle.unicamp.br http://www.unicamp.br/cle/carniell.htm

Finite-valued logics have been extensively treated based on tableau techniques (cf. [Car87] for a general treatment, and [Hae93] for important subsequent developments). The case of infinite-valued logics is more complicated, and the question was postponed in [Car87]. Meanwhile, connections between tableaux for infinite-valued logics and integer programming have been obtained, through the fundamental concept of signed formulas (see [Hae97], [Hae94] and the inside references).

In this paper we present a new general definition of *functional tableaux* (retaking some ideas of [Car91]) where formulas are signed with parameters in sets with some algebraic structure (as rings, fields, etc.). Tableau rules are thus described by means of functionals, and the existence of proofs is reduced to the existence of solutions in systems of equations.

For example, a complete set of tableau rules for all (finite and infinite) Lukasiewicz logics based on \rightarrow , \neg is given by:

$$\frac{[a](X \to Y)}{[1-b]X, [a-b]Y} \text{ for } a < 1 \qquad \qquad \frac{[1](X \to Y)}{[b]X, [b+c]Y} \qquad \qquad \frac{[a](\neg X)}{[1-a]X}$$

where a, b, c are variables over $Q \cup [0, 1]$ and thus, in this case, provability reduces to the decision problem of existence of rational solutions in bounded linear systems. Although this approach coincides in certain cases with results of [Hae94] and latter refinements, the method is very general and can also be used to obtain simple decision procedures to certain infinite-valued logics, and to investigate the computational complexity of the corresponding satisfiability problems.

In particular, in cases of many-valued logics with p^n values, for p a prime number, it is possible to take profit of the combinatorial structure of finite fields, generalizing the method of "truth-values as signs" introduced by R. Hähnle (cf. [Hae93]), with especial interest for three-valued logics.

Tableaux of this sort are possible for a wide variety of finite and infinite-valued logics, provided the connectives are described by locally inversible functions. The simple and elegant formulation permits also to give sequent rules for infinite-valued logics, generalizing the methods in [Car91].

- [Car87] Carnielli, W. A., Systematization of finite many-valued logics through the method of tableaux, *Journal* of Symbolic Logic 52, n° 2, pp. 473-93 (1987).
- [Car91] Carnielli, W. A., On sequents and tableaux for many-valued logics, Journal of Non-Classical Logic 8, n° 1, pp. 59-76 (1991).
- [Hae93] Hähnle, R., Automated Deduction in Multiple-Valued Logics, International Series of Monographs on Computer Science, vol. 10, Oxford Univ. Press, 1993.
- [Hae94] Hähnle, R., Many-valued logics and mixed integer programming, Annals of Mathematics and Artificial Intelligence 12 n° 3,4, pp. 231-264 (1994).
- [Hae97] Hähnle, R., Proof theory of many-valued logic linear optimization logic design: connections and interactions, Soft Computing 01, pp. 107-119 (1997).
J. M. DAVOREN, On Continuous Dynamics and Modal Logics. CFIS, 626 Rhodes Hall, Cornell University, Ithaca, NY 14853-3801, USA. E-mail: davoren@hybrid.cornell.edu

An abstract transition system is a structure $\mathfrak{T} = (X, \{\stackrel{a}{\rightarrow}\}_{a \in Act})$, where $X \neq \emptyset$ is the state space and for each $a \in Act$, $\stackrel{a}{\rightarrow}$ is a binary relation on X. Such structures are used in many areas of computer science to model dynamic changes of state. They provide a Kripke semantics for propositional modal languages with box modalities [a] for $a \in Act$. Given an assignment ξ of a subset of X to each atomic proposition, extend the valuation to all formulas by interpreting the propositional connectives by their set-theoretic counterparts, and each modality [a] by the universal pre-image operator $\tau_a(A) \stackrel{a}{=} \{x \in X \mid (\forall y \in X) x \stackrel{a}{\to} y \Rightarrow y \in A\}$, for $A \in \mathcal{P}(X)$. A formula φ is true in a model (\mathfrak{T}, ξ) iff $||\varphi||_{\xi} = X$, and valid in a structure \mathfrak{T} iff it is true for all valuations ξ for \mathfrak{T} . The Hilbert-style proof system for such a minimal modal action logic AL has a normality axiom scheme K[a] and a rule of [a]- necessitation for each $a \in Act$; AL is just the base of the modal μ -calculus L_{μ} (see [1]).

My interest is in the topological notions of continuity for transition relations $\stackrel{a}{\rightarrow}$, and their expression in a modal logic. From Kuratowski's **Topology**, §18, given a topology \mathcal{T} on X, a relation $\stackrel{a}{\rightarrow}$ is said to be upper- (respectively, lower-) semi-continuous iff $\tau_a(U)$ ($\sigma_a(U)$) is open whenever U is open in \mathcal{T} , where $\sigma_a(A) \stackrel{a}{=} (-\tau_a -)(A)$.

Let TAL_0 , (basic) Topological Action Logic, be the modal logic obtained from AL by extending the language with a new \Box modality and adding the axioms and necessitation rule for the box modality of S4. From McKinsey and Tarski's work in the 40's, S4 admits a topological semantics with \Box interpreted by the topological interior operator. We take a topological structure to be a transition system $\mathfrak{S} = (X, \mathcal{T}, \{\stackrel{a}{\rightarrow}\}_{a \in Act}, \mathcal{A})$, additionally equipped with a topology \mathcal{T} on X and a valuation algebra \mathcal{A} : a Boolean algebra of subsets of X containing \mathcal{T} and closed under each τ_a . The range of atomic assignments ξ for \mathfrak{S} is restricted to \mathcal{A} . Then $\stackrel{a}{\rightarrow}$ is upper- (lower-) semi-continuous w.r.t. \mathcal{T} iff the schema $[a]\Box\varphi \to \Box[a]\varphi$ ($\langle a \rangle \Box \varphi \to \Box \langle a \rangle \varphi$) is valid in \mathfrak{S} . Let TAL be the logic obtained from TAL₀ by adding the two continuity axiom schemes for each $a \in Act$. In proving completeness of TAL, one can proceed with the usual canonical model construction: the state space X is the set of all ultrafilters of the Lindenbaum algebra of TAL, and is equipped with a topology \mathcal{T}_R defined by a pre-order R on X.

Pre-orders on a set X are in one-one correspondance with the class of *Alexandroff* topologies on X. Continuity with respect to an Alexandroff topology is intimately connected with the notion of a *bisimulation equivalence* on a transition system, and reveals a richer class of "nice" quotient transition systems. Applications to the theory of hybrid control systems (see [3]) are discussed.

Modal logics of continuous functions are investigated in [2], and independently considered in [4]. A paper will be available at ftp://cam.cornell.edu/pub/davoren/davoren.html.

[1] M. BONSANGUE AND M. KWIATKOWSKA, Re-interpreting the Modal μ -calculus, in A. Ponse et al. (eds.), Modal Logic and Process Algebra, CSLI Publications, Stanford, 1995; pp. 65-83.

[2] J. M. DAVOREN, *Modal Logics for Continuous Dynamics*, PhD dissertation, Department of Mathematics, Cornell University, January 1998.

[3] T. A. HENZINGER, The Theory of Hybrid Automata, in Logic in Computer Science LICS'96, IEEE Computer Society Press, 1996; pp. 278-292.

[4] P. KREMER AND G. MINTS, Dynamic Topological Logic, abstract in Bulletin of Symbolic Logic, vol. 3 (1997), pp. 371-372.

Title: Characterizing persistent formulas preserved under bisimulations Author: Wim Ruitenburg

Affiliation: Marquette University, Milwaukee, WI, USA

E-mail: wimr@mscs.mu.edu

http:

Consider the first-order language with equality, with one binary predicate \prec , and infinitely many unary predicates. Our base theory Γ is axiomatized by the transitivity axiom for \prec , and the persistence axiom for all unary predicates, that is, Px and $x \prec y$ imply Py, for all unary P. So Γ essentially is the theory of transitive Kripke models. For this class of models a completeness theorem for Basic Propositional Calculus BPC holds. (BPC is a proper subsystem of Intuitionistic Propositional Calculus.) For each proposition-logical formula B we can find a natural first-order formula I(B, x) with intended meaning that a transitive Kripke model forces B at node x. All formulas I(B, x) are persistent and preserved under bisimulations. We use this fact as a starting point to syntactically characterize, up to provable equivalence, the first-order formulas satisfying versions of persistence and bisimulation. Our results generalize some previously known characterizations of this kind.

Least Fixed Points of Modal Formulas ¹ Sergej Mardaev Institute of Mathematics, Novosibirsk mardaev@math.nsc.ru

A modal formula $\varphi(p, q_1, ..., q_n)$ is called positive (modalized) in p when each occurrence of p in φ is positive (within the scope of modality).

The well-known Fixed Point Theorem [1] for the logic GL states that each modalized in p formula have unique and definable fixpoint in GL. GL is characterized by strictly partially ordered Kripke models with the ascending chain condition.

A strictly partially ordered Kripke frame $\langle W, \langle \rangle$ is called an *SC*-frame when each infinite ascending chain $x_1 < x_2 < \ldots$ is cofinal, i.e. $\forall a \in W \exists n \ a < x_n$.

EXAMPLES. Each strictly partially ordered Kripke frame with the ascending chain condition is an SC-frame. The natural numbers $\langle N, \langle \rangle$ and the integers $\langle Z, \langle \rangle$ are SC-frames.

THEOREM. For each positive in p formula $\varphi(p, q_1, ..., q_n)$ there exists a formula $\omega(q_1, ..., q_n)$ which defines the least fixed point of the formula φ in each SC-model.

REFERENCES

[1] Smoryński C.: Self-Reference and Modal Logic, Springer-Verlag, 1985.

¹Supported by the Russian Foundation for Basic Research (grant N96-01-01552) and the Siberian Branch of the Russian Academy of Sciences (grant N3 on mathematics for youth scientific groups)

Positive qualities and the ontological argument¹ Marco Forti Dip. Mat. Appl. "U. Dini" - Università di Pisa, Italy.

forti@dm.unipi.it

In this paper we reconstruct various versions of the classical ontological argument within a general axiomatic framework, based on the primitive concepts of quality and relation. This framework has been recently introduced by E.De Giorgi, in order to accomodate the basic principles of any "sufficiently clear" theory (see [3]). Four principles inspire and inform this foundational programme: -non-reductionism: there are objects of many qualitatively different kinds; self-description: the basic properties, relations, operations considered by the framework theory are themselves objects of the theory; -open-endedness: any sufficiently clear concept should be "engraftable" in a natural way in the framework; -semi-formal axiomatic presentation: scientific theories and the framework itself should be presented clearly and rigorously in terms of elementary primitive concepts, albeit not in a given formal system. The present paper introduces, in a fragment of the framework theory of [4], the concepts of contingent and of totally positive (perfective) quality, and the modal concept of necessary existence, thus allowing to present various forms of St.Anselm's ontological argument. The goal of this argument is to establish the *necessary* existence of an ens perfectissimum. This can be achieved by introducing the notion of totally *positive* quality (*perfectio*) and isolating suitable principles. Our presentation is inspired by recent work on the subject by Magari [7], Anderson [1], and Hájek [6], which elaborates on Gödel's manuscript proof (see [5]). Other modern reconstructions and analyses of Gödel's argument which are worth comparing are those those of Sobel [9], of Anderson and Gettings [2] and of Orilia [8].

- [1] C.A.ANDERSON Some emendations to Gödel's ontological proof, Faith and Philosophy 7 (1990).
- [2] C.A.ANDERSON, M.E.GETTINGS Gödel's ontological argument revisited, in *Gödel '96* (P.Hájek ed.), Lecture Notes in Logic 6, Springer V., New York 1996, 167-172.
- [3] E.DE GIORGI, M.FORTI, G.LENZI Verso i sistemi assiomatici del 2000 in Matematica, Logica e Informatica, Quad. S.N.S. Pisa 26, Pisa 1996.
- [4] M.FORTI, G.LENZI A general axiomatic framework for the foundations of Mathematics, Logic and Computer Science, *Rend.Mat.Accad.Naz.Sci.d.XL* (1997).
- [5] K.GÖDEL Collected Works, Vol III, Unpublished Essays and Lectures (S.Feferman et al. eds.), Oxford University Press, Oxford 1995.
- [6] P.HÁJEK Magari and others on Gödel's ontological proof, in Proceedings of the International conference on Logic and Algebra in memory of Roberto Magari (P.Aglianò and A.Ursini eds.)(1996), 125-135.
- [7] R.MAGARI Logica e Teofilia, Notizie di Logica 7-4 (1988), 11-20.
- [8] F.ORILIA A note on Gödel's ontological argument, European Review of Philosophy 1 (1994), 125-131.
- J.H.SOBEL Gödel's Ontological Argument, in On Being and Saying. Essays for Richard Cartwright (J.J.Thomson ed.), MIT Press, Cambridge MA, (1987), 241-261.

¹Joint research with Furio Honsell, Udine

Modal logics K, T, K4, S4: labelled proof systems and new complexity results

David Basin*

Seán Matthews** Luca Viganò*

*Inst. für Informatik, Universität Freiburg, Am Flughafen 17, 79110 Freiburg, Germany.

http://www.informatik.uni-freiburg.de/~{basin,luca}

**Max-Planck-Inst. für Informatik, Im Stadtwald, 66123 Saarbrücken, Germany. http://www.mpi-sb.mpg.de/~sean

In previous work [1, 5], we developed a framework for giving modular and uniform presentations of modal and other non-classical logics: we present logics as labelled (natural deduction or sequent) proof systems, in which we pair logical formulae with labels in order to formalize the consequence relations of the different logics, and which are sound and complete with respect to the appropriate Kripke semantics. We also showed how to use our framework to obtain complexity results for the modal logics K and T, by means of a proof-theoretical analysis of the structural rules of the corresponding labelled sequent systems. (This analysis also establishes the advantages of our approach with respect to related ones, in particular those similarly based on labelling, e.g. [2, 3].) We here improve and extend this analysis, and as a result we obtain $O(n \log n)$ space decision procedures for K, T and S4, and a $O(n^2 \log n)$ space procedure for K4. These space bounds are equal to (in the case of K and T) or better than (for S4) the best currently known [4]. Note also that our analysis is completely modular and different from that of [4], which relies on the introduction of new modalities in standard (unlabelled) sequent calculi.

Let W be a denumerable set of labels x, y, \ldots , and R a binary relation over W. We factor our presentations into two parts, a fixed base system and a varying relational theory, corresponding to the two sorts of syntactic entities we consider: labelled formulas x:A, which pair a label x and a modal formula A (expressing that A holds at world x), and relational formulas x Ry (expressing that (x, y) is in the accessibility relation R). Let Γ and Δ (possibly annotated) vary over finite multisets of labelled and relational formulas, respectively. A sequent then has the form either $\Gamma, \Delta \vdash \Gamma'$ or $\Delta \vdash x R y$. The fixed base sequent system K consists of, among others, the following axioms and structural and logical rules, where $\Box R$ has the side condition that y does not occur in $\Gamma, \Delta \vdash \Gamma', x:\Box A$.

$$\begin{array}{c} \overline{x:A \vdash x:A} \ AXl \quad \overline{xRy \vdash xRy} \ AXr \quad \overline{y:\bot \vdash x:A} \ \bot L \\ \overline{\Gamma, \Delta \vdash \Gamma'} \\ \overline{\Gamma, \Delta, xRy \vdash \Gamma'} \ WrL \quad \frac{\Delta, xRy, xRy \vdash uRv}{\Delta, xRy \vdash uRv} \ CrL \\ \overline{\Gamma, \Delta \vdash \Gamma'} \\ \overline{x:A, \Gamma, \Delta \vdash \Gamma'} \ WlL \quad \frac{\Gamma, \Delta \vdash \Gamma'}{\Gamma, \Delta \vdash \Gamma', x:A} \ WlR \\ \overline{\frac{x:A, x:A, \Gamma, \Delta \vdash \Gamma'}{x:A, \Gamma, \Delta \vdash \Gamma'}} \ ClL \quad \frac{\Gamma, \Delta \vdash \Gamma', x:A, x:A}{\Gamma, \Delta \vdash \Gamma', x:A} \ ClR \\ \overline{\frac{\Gamma, \Delta \vdash \Gamma', x:A}{x:A, \Gamma, \Delta \vdash \Gamma'}} \ ClL \quad \frac{\Gamma, \Delta \vdash \Gamma', x:A, x:A}{\Gamma, \Delta \vdash \Gamma', x:A} \ ClR \\ \overline{\frac{\Gamma, \Delta \vdash \Gamma', x:A}{x:A, \Gamma, \Delta \vdash \Gamma'}} \ \Delta L \quad \frac{x:A, \Gamma, \Delta \vdash \Gamma', x:B}{\Gamma, \Delta \vdash \Gamma', x:A \to B} \ \rightarrow R \\ \overline{\frac{\Delta \vdash xRy \ y:A, \Gamma, \Delta \vdash \Gamma'}{x:\Box A, \Gamma, \Delta \vdash \Gamma'}} \ \Box L \quad \frac{\Gamma, \Delta, xRy \vdash \Gamma', y:A}{\Gamma, \Delta \vdash \Gamma', x:\Box A} \ \Box R \end{array}$$

Note that other connectives and modal operators are defined in terms of \bot , \rightarrow and \Box , e.g. $x: \Diamond A$ is $x: (\Box(A \rightarrow \bot)) \rightarrow \bot$, and that we do not need right structural rules for relational formulas, since the sequents of the form $\Delta \vdash x Ry$ are singleconclusioned. Cut-free labelled sequent systems for other logics are uniformly obtained from K by modularly extending the relational theory with rules formalizing properties of R, e.g. reflexivity and transitivity:

$$\frac{\overline{\Delta \vdash xRx}}{\Delta \vdash xRz} \ refl \quad \frac{\Delta \vdash xRy}{\Delta \vdash xRz} \ trans$$

That is, T is $K \cup \{refl\}$, K4 is $K \cup \{trans\}$, and S4 is $T \cup \{trans\}$ or K4 $\cup \{refl\}$.

To develop complexity results, we perform a fine-grained proof-theoretical analysis of the structural rules of these systems. The key problem to tackle is that although the subformula property (which follows from the absence of cut) bounds the number of different formulae that can appear in a proof, it does not bound the number of times a formula can appear in a sequent. We provide this latter bound by proving that we can bound, and in some cases eliminate altogether, applications of the contraction rules. This, combined with an analysis of the accessibility relation of the corresponding Kripke frames, yields decision procedures with bounded space requirements. In particular, we first prove that CrL and ClR can be eliminated in K, T, K4 and S4. Then we investigate the use of ClL, and show that we can always transform a proof of a sequent $S = \vdash x:A$ in K, T, K4 and S4 so that only contractions of labelled formulas of the form $y:\Box B$ are needed. (In fact, we give an even more precise syntactic characterization of the subformula B in $y:\Box B$.) By a further analysis, we finally show that, given a provable sequent $S = \vdash x : A$ with size n = |S|, we have:

- ClL can be eliminated in K;
- ClL can be bounded in T and S4: in each branch of a proof of S, we need at most one contraction of each labelled formula of the form y:□B;
- ClL can be bounded in K4: in each branch of a proof of S, we need at most O(n) contractions of labelled formulas of the form $y:\Box B$.

These bounds on contractions allow us to bound the depth of proofs and the size of sequents arising in them. By storing proofs efficiently (rather than the entire proof, we store only a sequent and a stack that maintains enough information to reconstruct branching points), we obtain:

Theorem 1 The modal logics K, T and S4 are decidable in $O(n \log n)$ space; K4 is decidable in $O(n^2 \log n)$ space.

REFERENCES

- D. Basin, S. Matthews, and L. Viganò. A new method for bounding the complexity of modal logics. In G. Gottlob, A. Leitsch, and D. Mundici, eds., *Proc. of KGC'97*, pp. 89-102. Springer, LNCS 1289, 1997.
- [2] M. Fitting. Proof methods for modal and intuitionistic logics. Kluwer, Dordrecht, 1983.
- [3] D. M. Gabbay. Labelled Deductive Systems, volume 1. Clarendon Press, Oxford, 1996.
- [4] J. Hudelmaier. Improved decision procedures for the modal logics K, T and S4. In H. Kleine Büning, ed., *Proc. of CSL'95*, pp. 320–334. Springer, LNCS 1092, 1996.
- [5] L. Viganò. A framework for non-classical logics. PhD thesis, Universität des Saarlandes, Saarbrücken, Germany, 1997.

An Extended Branching-time Ockhamist Temporal Logic

Mark Brown and Valentin Goranko

Department of Philosophy, Syracuse University 541 Hall of Languages, Syracuse, NY 13244, USA; mabrown@cas.syr.edu

Department of Mathematics, Rand Afrikaans University PO Box 524, Auckland Park 2006, Johannesburg, South Africa vfg@na.rau.ac.za

For branching-time temporal logic based on an Ockhamist semantics (where the truth of a formula is evaluated relative to *possible future branches*, or equivalently, at pairs (*moment, history through that moment*), and the temporal operators are relativized to the actual history of the evaluation) we explore a temporal language extended with two additional syntactic tools. For reference to the set of all possible futures at a moment of time we use syntactically designated "restricted variables" called fan-names. For reference to all possible futures alternative to the actual one we use a modification of a difference modality, localized to the set of all possible futures at the actual moment of time.

We argue that the extended language we introduce provides a natural, while very expressive, framework for formalizing and analyzing Ockhamist branching-time logics.

We construct an axiomatic system for that extended branching-time logic and prove its soundness and completeness with respect to bundle tree semantics. (A bundle in a tree is a set of all branches that belong to a bunch of histories covering the whole tree.) Combining use of the fan-names and the difference operator in our system renders the language expressive enough to provide a relatively simple and perspicuous axiomatic system, and to enable an elegant development of the model theory of the logic we study, necessary for the proof of the completeness theorem. A technical advantage of our approach is that the canonical model construction is considerably simplified and much closer in style to the traditional one in modal logic (appropriately modified to deal with "Gabbay-style" rules), and eventually leads to a direct construction of a canonical tree-like frame, explicitly defining the set its moments, with the branches then defined in a standard manner.

Finally, we show that our axiomatic system can be easily extended with a variety of important additional operators, such as *Since* and *Until*, a global difference operator, operators for undivided and divided histories, reference pointers over paths, etc.

Keywords: Temporal logic, branching-time, Ockhamist bundle tree semantics, fan-names, local difference operator, axiomatic system, completeness.

Hyperboolean Algebras and Hyperboolean Modal Logic

Valentin Goranko and Dimiter Vakarelov

Department of Mathematics, Rand Afrikaans University PO Box 524, Auckland Park 2006, Johannesburg, South Africa vfg@na.rau.ac.za

Dept. of Mathematical Logic with Laboratory for Applied Logic, Faculty of Mathematics and Computer Science, Sofia University blvd James Bouchier 5, 1126 Sofia, Bulgaria dvak@fmi.uni-sofia.bg

In the abstract possible world semantics the worlds are objects without any internal structure and the accessibility relations between them satisfy some abstract conditions (like reflexivity, transitivity etc.). Some applications, however, need semantic structures in which possible worlds have an internal structure and the accessibility relations between possible worlds depend on that structure.

We present a natural example of a modal logic (called here a hyperboolean modal logic) HBML with possible world semantics based on "hyperframes" in which the worlds have the structure of sets (or, more abstractly, elements of a Boolean algebra) and the accessibility relations between possible worlds correspond to the Boolean operations. Respectively, the algebraic semantics for HBML is given by Boolean algebras with operators, constructed as algebras of complexes (or, power structures) of Boolean algebras, called here Hyperboolean algebras.

Such a logic can have various interpretations, one of them related to an idea of Vakarelov and Gargov to study a "logic of expert groups" which can be simply presented as follows: groups of experts have their "opinions" (knowledge, beliefs, judgments, intuitions, etc.) on a certain matter, and these opinions are to be put together and coordinated in a way which would enable some logical analysis on the "integrated opinions", in particular performing logical operations on them. These opinions can be simply presented as "yes-no", or "true-false", but they can have a more fine-grained Boolean structure. A reasonable formal approach seems to be to represent the groups' opinions as sets of Boolean values and adopt internal Boolean operations for Boolean constructions on those opinions, e.g. form a conjunction of two group opinions by taking all conjunctions of opinions of individual agents from each group, etc.

In this paper we construct a complete axiomatization of HBML and show that is lacks the finite model property. The technique of axiomatization hinges upon the fact that a "difference" operator is definable in hyperboolean algebras, and makes use of additional inference rules. That technique can be generalized to axiomatize other "hyper-structures" such as those for groups, rings, etc.

Multiple Sequent Calculus for Modal Logics

Andrzej Indrzejczak

Department of Logic University of Lodz Matejki 34a 90-237 Lodz Poland Indrzej@krysia.uni.lodz.pl

MSC is a generalization of Gentzen Sequent Calculus being a formalization of many important regular and normal modal logics. Among the most important features of MSC are the following:

1. MSC is cut-free and satisfies subformula-property.

2. The weakest logic is the minimal regular logic C, special structural rules are devised for strenghtening to K and all regular or normal logics that are usually obtained by combining axioms D, T, 4, B over C or K.

3. MSC contains two types of sequents of different grade for any n>0; if n=0 it is simply classical sequent, otherwise it is modal(necessity or possibility) sequent of some grade.

4. All rules for introducing constants are symmetric in the sense that no formula is shifted from one side of a sequent to another. This is a consequence of using modal sequents, where shifting of formulas is limited. Special shifting rules are devised with the use of non-iterated shifting- operator.

5. MSC allows for easy automatization of proof.

A formalization for the consequence relation of Visser's propositional logic

Katsumi SASAKI¹

Visser's propositional logic was first considered in Visser [2] as the propositional logic embedded into the modal logic K4 by Gödel's translation. He gave a natural deduction system \vdash_{VPL} for the consequence relation of Visser's propositional logic. A main difference from the consequence relation \vdash_{IPL} of intuitionistic propositional logic is $\{p, p \supset q\} \not\vdash_{VPL} q$ while $\{p, p \supset q\} \vdash_{IPL} q$. In other words, in \vdash_{VPL} , modus ponens does not hold in general. It makes Hilbert style formalization for \vdash_{VPL} difficult. This difficulty was pointed out by Suzuki, Wolter and Zakharyaschev [1], where the problem is formulated to find a finite Hilbert style formalization for \vdash_{VPL} . Here we consider formalizations for \vdash_{VPL} with a restricted form of modus ponens and try to solve the problem in [1].

First, we give a formalization for $\vdash_{\mathbf{VPL}}$ by using adjunction and restricted modus ponens. Let Γ be a set of formulas. We define the consequence relation $\vdash_{\mathbf{VPL}^*}$ inductively as follows:

(1) if $A \in \mathbf{A} \cup \Gamma$, then $\Gamma \vdash_{\mathbf{VPL}^*} A$,

(2) if $\Gamma \vdash_{\mathbf{VPL}^*} A$ and $\emptyset \vdash_{\mathbf{VPL}^*} A \supset B$, then $\Gamma \vdash_{\mathbf{VPL}^*} B$,

(3) if $\Gamma \vdash_{\mathbf{VPL}^*} A$ and $\Gamma \vdash_{\mathbf{VPL}^*} B$, then $\Gamma \vdash_{\mathbf{VPL}^*} A \land B$,

where \mathbf{A} is the set of all substitution instances of the following axioms:

 $\begin{array}{ll} (\supset_1)a\supset a, & (\supset_2)a\supset (b\supset a), & (\supset_3)(b\supset c)\wedge (a\supset b)\supset (a\supset c), \\ (\wedge_1)a\wedge b\supset a, & (\wedge_2)a\wedge b\supset b, & (\wedge_3)(c\supset a)\wedge (c\supset b)\supset (c\supset a\wedge b), \\ (\vee_1)a\supset a\vee b, & (\vee_2)b\supset a\vee b, & (\vee_3)(a\supset c)\wedge (b\supset c)\supset (a\vee b\supset c), \\ (\bot)\bot\supset a, & (D)a\wedge (b\vee c)\supset (a\wedge b)\vee (a\wedge c). \end{array}$

And we prove

Theorem 1. $\Gamma \vdash_{\mathbf{VPL}^*} A$ if and only if $\Gamma \vdash_{\mathbf{VPL}} A$.

We also consider consequence relations with only one restricted modus ponens. Let S, S_1 and S_2 be sets of formulas. And let $MP = S_1 \times S_2$. We define the consequence relation $\vdash_{S,MP}$ inductively as follows:

(1) if $A \in \mathbf{S} \cup \Gamma$, then $\Gamma \vdash_{\mathbf{S}, \mathbf{MP}} A$,

(2) for any pair $(X, Y) \in \mathbf{MP}$,

if $\Gamma \vdash_{\mathbf{S},\mathbf{MP}} X$ and $\Gamma \vdash_{\mathbf{S},\mathbf{MP}} X \supset Y$, then $\Gamma \vdash_{\mathbf{S},\mathbf{MP}} Y$.

Theorem 2. There exists no pair (S, MP) such that $\Gamma \vdash_{VPL} A$ if and only if $\Gamma \vdash_{S,MP} A$.

From the above theorem, we can see the necessity of the inference rule (3) in the definition of $\vdash_{\mathbf{VPL}^*}$.

References

[1] Y. Suzuki, F. Wolter and M. Zakharyaschev, Speaking about transitive frames in propositional languages, Research Report in JAIST, 1997.

[2] A. Visser, A propositional logic with explicit fixed points, Studia Logica, 40, 1981, pp.155-178.

¹Department of Information systems and Quantitative Sciences, Nanzan University, 18 Yamazatocho, Showa-ku, Nagoya 466-8673, Japan; e-mail: sasaki@iq.nanzan-u.ac.jp. (Institut für informatik, Universität Leipzig, Augustus-Platz 10-11, 04109 Leipzig, Germany; e-mail: sasaki@informatik.unileipzig.de.)

On Algebraic Counterpart of Beth Property of Superintuitionistic Predicate Logics.

TISHKOVSKY D. E.

A notion of quasicylindric algebra was defined in [1]. For each superintuitionistic predicate logic L there was constructed a variety V(L) of quasicylindric algebras. There was also proved that L is strong complete with respect to V(L). The aim of the paper is to translate uniformly Beth Property and Projective Beth Property of each superintuitionistic predicate logic L to the language of V(L).

Logic L has Beth Property iff for each formula $A(p, q_1, \ldots, q_k)$ (where p, q_1, \ldots, q_k are all predicate simbols in A) the condition

$$A(p,q_1,\ldots,q_k), A(p',q_1,\ldots,q_k) \vdash_L$$

 $\forall x_0 \cdots \forall x_{m-1} (p(x_0, \ldots, x_{m-1}) \equiv p'(x_0, \ldots, x_{m-1}))$

implies the existence of a formula $B(q_1, \ldots, q_k)$ such that

 $A(p, q_1, \ldots, q_k) \vdash_L \forall x_0 \cdots \forall x_{m-1} (p(x_0, \ldots, x_{m-1}) \equiv B).$

Logic L has Projective Beth Property iff for each formula $A(p, q_1, \ldots, q_k, r_1, \ldots, r_l)$ (where $p, q_1, \ldots, q_k, r_1, \ldots, r_l$ are all different predicate simbols in A) the condition

$$A(p, q_1, \dots, q_k, r_1, \dots, r_l), A(p', q_1, \dots, q_k, r'_1, \dots, r'_l) \vdash_L$$
$$\forall x_0 \dots \forall x_{m-1} (p(x_0, \dots, x_{m-1}) \equiv p'(x_0, \dots, x_{m-1}))$$

implies the existence of a formula $B(q_1, \ldots, q_k)$ such that

$$A(p,q_1,\ldots,q_k,r_1,\ldots,r_l)\vdash_L \forall x_0\cdots\forall x_{m-1}(p(x_0,\ldots,x_{m-1})\equiv B).$$

A class K of algebras has ES^* iff for each algebras $\mathcal{A}, \mathcal{B} \in K$ if \mathcal{A} is subalgebra of \mathcal{B} , $b \in |\mathcal{B}| - |\mathcal{A}|$ and the set $|\mathcal{A}| \cup \{b\}$ generate \mathcal{B} then there exist an algebra \mathcal{C} in K and homomorphisms $g, h : \mathcal{B} \to \mathcal{C}$ such that $g \mid_{\mathcal{A}} = h \mid_{\mathcal{A}}$ and $gb \neq hb$.

A class K of algebras has SES iff for each algebras $\mathcal{A}, \mathcal{B} \in K$ if \mathcal{A} is subalgebra of \mathcal{B} and $b \in |\mathcal{B}| - |\mathcal{A}|$ then there exist algebra \mathcal{C} in K and homomorphisms $g, h : \mathcal{B} \to \mathcal{C}$ such that $g \mid_{\mathcal{A}} = h \mid_{\mathcal{A}}$ and $gb \neq hb$.

Theorem. Let L be a superintuitionistic predicate logic. The following equivalences hold:

- 1. L has Beth Property iff V(L) has ES^* ;
- 2. L has Projective Beth Property iff V(L) has SES.

References

[1] Tishkovsky D. E. On algebraic semantics for superintuitionistic predicate logic. Minisemester "Logic, Algebra and Computer Science" (Helena Rasiowa in memoriam), Warsaw, December 1996.

Multiple-Conclusion Natural Deduction for Intuitionistic Logic

Luiz Carlos Pereira Department of Philosophy - PUC-Rio/UFRJ luiz@inf.puc-rio.br

Ludmilla Franklin Graduate Program - Department of Philosophy - PUC-Rio

Abstract

Intuitionistic sequent-calculi systems are usually obtained from their classical counterparts by means of a "cardinality restriction": intuitionistic systems should forbid "multiple-consequents". This strong cardinality restriction can be replaced by local ones: unary consequents do not have to be imposed on the concept of sequent, but can rather be restricted to the application of certain inference rules. In this way the intuitionistic system LJ' is obtained from Gentzen's LK. We know now that even this weak cardinality restriction is not essential, since it can be replaced by "explicit-dependency" restrictions. The intuitionistic system FIL was obtained from LK through the use of this idea. The aim of the present work is to introduce a Natural Deduction version NFIL of FIL. NFIL is a natural deduction multiple conclusion system for intuitionistic propositional logic. We prove weak normalization for NFIL and we show how NFIL can be used as an adequate intuitionistic basis in the formalization of (1) some intermediate logics, and of (2) an intuitionistic multiplicative disjunction. In the final part of the work we compare our approach to multiple conclusion natural deduction to other approaches as well as to other attempts to formalize full intuitionistic linear logic.

References

[dePaivaPer93] de Paiva, Valeria and Pereira, Luiz C., A New ProofSystem for Intuitionistic Logic, in Abstracts of the Logic Colloquium '93, 1993.

[dePaivaHy93] Hyland, Martin and de Paiva, Valeria, Full intuitionistic linear logic, in Annals of Pure and Applied Logic, 64, pp.273-291, 1993.

[lopez82] López-Escobar, E.G.K., A Natural Deduction System for someIntermediate Logics, in The Journal of Non-Classical Logic, vol.1, no.1, pp.21-41, 1982.

The Borel hierarchy theorem in intuitionistic mathematics.

Wim Veldman

Mathematisch Instituut Katholieke Universiteit Toernooiveld 6525 ED Nijmegen the Netherlands veldman@sci.kun.nl

In constructive mathematics one often defines the class of the Borel subsets of a Polish space, like Baire space, or the set IR of real numbers, as the least class containing the closed and the open sets that is closed under the operations of countable union and countable intersection. If one does so, the question if the resulting class forms a "real" hierarchy is nontrivial. How to prove, for instance, that some intersections of countably many open sets like the subset of IR consisting of the positively irrational numbers do not coincide with any union of countably many closed sets? Brouwer, although using another example, gave such a proof. He invokes an axiom of intuitionistic analysis, called (by Kleene) Brouwer's principle or the continuity principle, that is unacceptable for classical mathematicians and even for some constructivists. We show that this continuity principle, together with an axiom of countable choice, enables one to prove, with some effort, an intuitionistic Borel hierarchy theorem.

Projective Beth Property in Superintuitionistic Logics

Larisa Maksimova

Institute of Mathematics Siberian Branch of Russian Academy of Sciences 630090, Novosibirsk, Russia LMAKSI@MATH.NSC.RU

In 1960 G.Kreisel proved that each superintuitionistic logic has the Beth property. We say that a logic L has the Projective Beth Property (PBP) if the condition $\vdash_L A(\mathbf{p}, \mathbf{q}, x) \& A(\mathbf{p}, \mathbf{q}', y) \rightarrow (x \leftrightarrow y)$ implies that there exists a formula $B(\mathbf{p})$ such that $\vdash_L A(\mathbf{p}, \mathbf{q}, x) \rightarrow (x \leftrightarrow B(\mathbf{p}))$ (here $\mathbf{p}, \mathbf{q}, \mathbf{q}'$ are disjoint lists of variables that do not contain x and y).

We say that a class V of algebras has the property SES if for each **A**, **B** in V, and for every monomorphism $\alpha : \mathbf{A} \to \mathbf{B}$ and for every $x \in \mathbf{B} - \alpha(\mathbf{A})$ there exist $\mathbf{C} \in V$ and monomorphisms $\beta : \mathbf{B} \to \mathbf{C}, \gamma : \mathbf{B} \to \mathbf{C}$ such that $\beta \alpha = \gamma \alpha$ and $\beta(x) \neq \gamma(x)$.

For any superintuitionistic logic L, let V(L) be a variety of Heyting algebras associated with L. A Heyting algebra is subdirectly irreducible iff it has an opremum, i.e. the greatest among the elements different from T.

Theorem 1. For every superintuitionistic logic L the following are equivalent:

(i) L has the Projective Beth Property,

(ii) V(L) has SES

(iii) the class SI(V(L)) of all subdirectly irreducible algebras in V(L) has the property SES and, moreover, for every $\mathbf{A}, \mathbf{B}, \mathbf{C} \in SI(V(L))$, such that \mathbf{A} is a common subalgebra of \mathbf{B} and \mathbf{C} and all three algebras have the same opermum, there exists a $\mathbf{D} \in SI(V(L))$ and monomorphisms $\beta: \mathbf{B} \to \mathbf{D}$ and $\gamma: \mathbf{C} \to \mathbf{D}$ such that β and γ coincide on \mathbf{A} .

It is well known that Craig's Interpolation Property CIP implies PBP on the class of superintuitionistic logics. The converse does not hold. Let L_4 be a super- intuitionistic logic characterized by 4-element linearly ordered Heyting algebra; it is the greatest logic of the third slice [1]. It is known from [2] that CIP fails for L_4

Theorem 2. L_4 possesses the Projective Beth Property.

Theorem 3. For each $4 \le n < \omega$, there is no superintuitionistic logic of *n*-th slice with PBP.

Theorem 4. If a superintuitionistic logic L has PBP than L satisfies the Principle of Variable Separation (see [3] for definition).

- [1] T.Hosoi. On intermediate logics I, J.Fac.Sci.Univ.Tokyo, 1967,14,293-312.
- [2] L.Maksimova. Craig's theorem in superintuitionistic logics and amalgamable varieties. Algebra and Logic, 16, N 6 (1977), 643-681.
- [3] L.Maklsimova. On variable separation in modal and superintuitionistic logics. Studia Logica, 55 (1995), 99-112.

Strong Constructivity of Second-Order Intuitionistic Arithmetic

Marco Benini

Department of Computer Science — University of Milan via Comelico 39/41, Milano, Italy beninim@dsi.unimi.it

The goal of this talk is to show that second-order intuitionistic arithmetic is strongly constructive. Although this result is by no means surprising, the proving technique we use is new, and far more general than the result we get.

We say that a logical theory Γ is naively constructive when:

- if $\Gamma \vdash A \lor B$ then $\Gamma \vdash A$ or $\Gamma \vdash B$ where $A \lor B$ is a closed formula (disjunction property).
- if $\Gamma \vdash \exists x.A(x)$ then there is a closed term t such that $\Gamma \vdash A(t)$, where $\exists x.A(x)$ is a closed formula (explicit definability property).

A theory is said to be strongly constructive [Fer97,FM97], if it is naively constructive and any proof of $A \vee B$ ($\exists x.A(x)$, respectively) contains enough information to build up a proof of A or a proof of B (or a proof of A(t), for a suitable closed term t, respectively).

We will use the so called Collection Method [MO81,MO79,Ben97] to show that second-order intuitionistic arithmetic is strongly constructive.

The Collection Method is a proof theoretical instrument especially built to characterize the notion of strong constructivity. It has been used to give a computational meaning to constructive proofs, and to prove that many logics are constructive. Since this instrument is relatively new and it succeeds to prove constructivity for a logic (theory) even when semantical methods are not applicable (lacking a semantical characterization of models, for example), or when the logical system is not cut-free, we think it is worthwhile showing an application even if the result is well known.

- [Ben97] Marco Benini. The collection method in second-order intuitionistic logic. submitted to Annals of Pure and Applied Logic, 1997.
- [Fer97] Mauro Ferrari. Strongly Constructive Formal Systems. PhD thesis, Dept. of Computer Science – University of Milano, 1997.
- [FM97] Mauro Ferrari and Pierangelo Miglioli. Strongly constructive formal systems. submitted to Annals of Pure and Applied Logic, 1997.
- [MO79] Pierangelo Miglioli and Mario Ornaghi. A purely logical computing model: the open proofs as programs. Technical Report MIG-7, Istituto di Cibernetica – University of Milano, 1979.
- [MO81] Pierangelo Miglioli and Mario Ornaghi. A logically justified model of computation. Fundamenta Informaticae, IV(1,2):151-172, 277-341, 1981.

Continua of superintuitionistic predicate logic without Beth's property

P.A. Schreiner

Novosibirsk State University, Department of Mathematics, e-mail: paul@ngpi.nsk.su

It is well known that there exist only seven consistent propositional superintuitionistic logics with interpolation property (L. Maksimova, 1977). G. Kreisel (1960) proved that the Beth's property is shared by all propositional superintuitionistic logics. But the problem of describing predicate superintuitionistic logics with the interpolation property or Beth's property remains open.

L. Maksimova has proved in [1] that there exists a continuum of predicate superintuitionistic logics with equality that have the interpolation property.

Let J_{fd} denote the logic which is characterized by the class of all Kripke frames whose domains are finite for each world. Let J_{fd}^* denote logic which is characterized by the class of all Kripke frames whose domains are finite for each non-maximal world.

Theorem 1 [2], [3] J_{fd} and J_{fd}^* have neither Beth's property nor interpolation property.

We note that the logic J_{fd}^* is the first example of predicate intermediate logic without Beth's property.

Theorem 2 Let L be any propositional superintuitionistic logic. There exists a continuum of predicate superintuitionistic logics with equality, whose propositional fragment is L. which are not contained in the classic predicate logic and have not Beth's property.

Theorem 3 Let L be any propositional superintuitionistic logic which is contained in Dummet's logic LC. There exists a continuum of predicate intermediate logics with equality. whose propositional fragment is L, without Beth's property.

- [1] Maksimova L.L., Interpolation in superintuitionistic predicate logic with equality. Algebra and Logic, 36, 5(1997), 319-329.
- [2] Schreiner P.A.. Failure of interpolation property and Beth's property in some predicate superintuitionistic logic, Algebra and Logic, 35, 1(1996), 59-65.
- [3] Schreiner P.A.. Intermediate predicate logic without Beth's property, Algebra and Logic, 37, 1(1998). 107-117.

AN EXTENSION OF CATEGORICAL SEMANTICS

Marcelo E. CONIGLIO

Department of Philosophy, State University of Campinas, C.P. 6133, CEP 13081-970, Campinas (SP), Brazil E-mail: coniglio@cle.unicamp.br

In the usual categorical semantics (in the sense of [4]) the constants of sort A are interpreted as elements $\mathbf{1} \longrightarrow M(A)$. In $\mathbf{Sh}(\mathcal{H})$, this gives a global section of M(A); therefore, a sheaf B has elements (constants) only if $EB = \top$. So we cannot syntactically describe an algebraic theory in a category in which the objects with structure have different extents.

We propose here an extension of categorical semantics by considering the *extent* of the objects. This will be accomplished by the definition of a subobject E(B) of $\mathbf{1}$ for each object B of a logical category C. If $C = \mathbf{Set}$, then $E(B) = \mathbf{1}$ if B is nonempty, and $E(B) = \emptyset$ if $B = \emptyset$; if $C = \mathbf{Sh}(\mathcal{H})$, then E(B) will be the restriction of $\mathbf{1}$ to EB, identified in a natural way with EB.

Definition: Given an object B in a logical category C, and $b: B \longrightarrow \mathbb{1}$, we define the extent of B as $E(B) = \exists_b(B)$, i.e., the image of B under b. el(B) = Hom(E(B), B) will denote the elements of B.

In $Sh(\mathcal{H})$, el(B) is the set of global sections of B. We have that E(M(X)) is the interpretation of $(\exists x)(x = x)$ if x : X. By interpreting a constant a : A as M(a) in el(M(A)), we have the following consequences:

1. The constants must be considered in the contexts of interpretation. So, if Q = (x, ..., z; a, ..., d) is a context with x : X, ..., d : D, then $M(Q) = M(X) \times \cdots \times M(Z) \times (E(M(A)) \wedge \cdots \wedge E(M(D)))$. 2. The provisos about the preservation of all the free variables in the rules of the logic G (c.f. [4]) must be extended to constants. In fact, it suffices to require the preservation of the sorts of the free variables and constants, and $(\Rightarrow \exists)$ has no proviso if the left-side of the sequents are empty. 3. We can now distinguish between the sequents $\top \Rightarrow F$ and $\Rightarrow F$. The first keeps its original meaning; the second denotes that the finite set of formulas F reaches its greatest value GV(F,Q), which is defined recursively in the obvious way according to the syntax of the formulas in F(Q) is the context of F formed by all the free variables and constants occurring in F).

This distinction makes the sequents $\Rightarrow (\exists x)(x = x)$ valid (and provable) as intended (although it is not true for $\top \Rightarrow (\exists x)(x = x)$), since we have:

Theorem: With the new definitions, a sequent $m := F \Rightarrow F'$ is valid in all the models of a theory (set of sequents) K iff m is provable from K in the modified system G'.

Thus we have a conservative extension which expands the horizon of categorical logic to a more comprehensive class of objects. That is, we have enriched the class of models by a simultaneous refinement, at the syntactic and semantic levels. The categorical semantics with extents has been successfully used in the construction of the logic for sheaves over quantales (c.f. [1]).

Acknowledgements: This research was supported by a scholarship from FAPESP (Brazil).

References:

[1] M.E. Coniglio, The Logic of Sheaves over Right-sided and Idempotent Quantales, Doctoral Thesis (in Portuguese), São Paulo University (1997).

[2] M.P. Fourman, D.S. Scott, Sheaves and Logic, L.N.M. 753 (1979), 302-401.

[3] P. Johnstone, Notes on Categorical Logic, Tutorials of Wollic '97, Fortaleza (1997).

[4] M. Makkai, G.E. Reyes, First-Order Categorical Logic, L.N.M 611 (1977).

Extension of sequent calculi with nonlogical rules

Sara Negri Dept. of Philosophy, University of Helsinki negri@helsinki.fi

Abstract

In [N] the contraction-free and cut-free sequent calculus G3ip for intuitionistic propositional logic was extended by rules for theories of apartness and order. The logical content of the axioms of these theories is expressed by the geometry of sequent calculus rules, which have only atomic formulas as active and principal. In this way also such extensions are contraction-free and cutfree. Cut elimination permits structural proof analysis, and syntactic proofs of conservativity results.

The results of [N] are generalized as follows: We show how to present a wide class of elementary intuitionistic theories as systems of rules, with all structural rules admissible. By using the full invertibility of classical rules, the result can be strenghtened: All classical elementary theories can be presented as contraction-free and cut-free systems of rules. Details will appear in [NvP].

We also consider extensions of the terminating calculus **G4ip**, introduced in [D, H]. For details and an extensive bibliography we refer to [DN].

- [D] R. Dyckhoff. Contraction-free sequent calculi for intuitionistic logic, The Journal of Symbolic Logic, vol. 57, pp. 795-807, 1992.
- [DN] R. Dyckhoff, S. Negri. Admissibility of structural rules in contractionfree systems for intuitionistic logic, manuscript, 1997.
- [H] J. Hudelmaier. Bounds for cut elimination in intuitionistic propositional logic, Archive for Mathematical Logic, vol. 31, pp. 331–354, 1992.
- [N] S. Negri. Sequent calculus proof theory of intuitionistic apartness and order relations, 1997, to appear in *Archive for Mathematical Logic*.
- [NvP] S. Negri, J. von Plato. Cut elimination in the presence of axioms. manuscript, 1998.

A Lazy Lambek Calculus

Marcelo da S. Corrêa

Dept. of Analysis, Fluminense Federal University, Brazil E-mail: mcorrea@pgcc.uff.br

Edward Hermann Haeusler Dept. of Informatics, Catholic University of Rio de janeiro, Brazil E-mail: hermann@inf.puc-rio

The Lambek Syntactic Calculus (LSC), formerly Calculus of Syntactic Categories, has been designed to provide a mathematical characterisation for the sentence formation process of a language, by means of a type change system [3]. A Gentzen style presentation has been given for the Lambek Calculus, which lacks structural rules as exchange, weakening or contraction rules and, thus, has a noncommutative tensor product. Recently, it has been shown the relationship between LSC and a fragment of the noncommutative intuitionistic Linear propositional Logic.

On the other hand, several contributions and suggestions have been done in noncommutative linear logic to regain noncommutativity. Girard, for example, considers a (weaker) notion of commutativity given by a restricted form of exchange rule, called *cyclic exchange rule*, which allows circular permutations [2]. Yetter has improved this approach by introducing a new modality κ - kappa [4], which allows permutations between a modalized formula and the formulae placed at both sides of it. De Paiva has started with the Lambek Syntactic Calculus with additives and added only a modality like Yetter's κ [1].

In this work, we consider an extension of the (pure) Lambek Syntactic Calculus, called Lazy Lambek Calculus, obtained by introducing a modality \circ similar to the Yetter's κ modality. Our aim is capture the lazy evaluation process for an arbitrary λ -calculus. We also present a λ -calculus version for the new system.

- [1] V.C.V. De Paiva. A Dialectica Model of the Lambek Calculus. University of Cambridge.1991. Manuscript.
- [2] J.-Y. Girard. Linear Logic. Theoretical Computer Science, Vol 50, 1987, 1-102.
- [3] J. Lambek. The Mathematics of Sentence Structure. American Math. Monthly 65,1958,154-169.
- [4] D. N. Yetter. Quantales and (Noncommutative) Linear Logic. Journal of Symbolic Logic 55, 1990, 41-64.

SUPERVALUATION IN APPLICATIVE THEORIES

Reinhard Kahle WSI, Universität Tübingen Sand 13, D-72076 Tübingen, Germany e-mail: kahle@informatik.uni-tuebingen.de

In his monograph [Can96, ch. 12] Cantini defines a truth theory VF over total applicative theories based on van Fraassen's concept of *supervaluation* (for a corresponding theory over Peano arithmetic cf. [Can90]). It allows to conclude the truth of (the representing term of) a formula φ if φ is a tautology, independently of its logical complexity. So this theory is an example of a non-reductive approach to truth. Cantini proves that VF has the same proof-theoretic strength as the well-known theory of positive inductive definitions ID₁ by embedding the proof-theoretically equivalent, but syntactically weaker theory ID₁(acc) (for these theories cf. [BFPS81]). We improve this result by giving a interpretation of ID₁ itself, showing that VF has strong syntactical expressive power, cf. [Kah97]. Also, we address a modification of VF based on *partial* applicative theories which uses the methods of [Kah9x].

- [BFPS81] BUCHHOLZ, W., FEFERMAN, S., POHLERS, W., AND SIEG, W. Iterated Inductive Definitions and Subsystems of Analysis: Recent Proof-Theoretical studies, LNM 897. Springer, 1981.
- [Can90] CANTINI, A. A theory of formal truth arithmetically equivalent to ID_1 . Journal of Symbolic Logic, 55(1):244-259, 1990.
- [Can96] CANTINI, A. Logical Frameworks for Truth and Abstraction. North-Holland, 1996.
- [Kah97] KAHLE, R. Applikative Theorien und Frege-Strukturen. Dissertation, Institut für Informatik und angewandte Mathematik, Universität Bern, 1997.
- [Kah9x] KAHLE, R. Frege structures for partial applicative theories. 199x. Submitted.

CONTRIBUTED PAPERS *Friday*

and the second second

o-minimality and expansions of Boolean algebras

Tate in the

Carlo Toffalori

Universita' di Camerino, Dipartimento di Matematica e Fisica, 62032 Camerino (Italy) toffalori@camars.unicam.it

We study a notion of o-minimality for partially ordered structures, in particular for lattice ordered structures expanding Boolean algebras. This notion generalizes in a natural way the well known definition for totally ordered structures. It is easy to see that some significant properties of totally ordered o-minimal models do not hold in this extended setting; for instance, the Exchange Lemma fails. However we show the existence of prime models over arbitrary subsets, and we characterize omega-categorical o-minimal structures. Finally we classify o-minimal structures among Boolean algebras are just those admitting only finitely many atoms. In the latter, we see that a measure space (A, F, m) (where A is a Boolean algebra, F is an ordered field and m is the measure function) is o-minimal if and only if both A and F are (so A has only finitely many atoms and F is real closed) and m is almost everywhere 0.

Neocompact Quantifier Elimination in Structures based on Banach Spaces

Stefano Baratella and Siu-Ah Ng Università di Trento - University of Natal

We introduce a notion of quantifier elimination for Banach spaces, called Keisler's Quantifier Elimination (briefly: QE) and we compare it with Henson's Quantifier Elimination (QE_H).

First of all, the "correct" logic for Banach spaces is not the first order one, but something such as the *positive bounded formulas* with *approximate satisfiability* developed by Henson in [H]. Nonstandard hulls of Banach spaces can be classified by positive bounded theories.

On the other hand, Fajardo and Keisler [F-K] formulated an abstract framework in which techniques from nonstandard analysis can be applied. As a related development, Keisler [K] defined and studied a class of infinitary expressions called *neocompact formulas*. In the same paper he proved general results on quantifier elimination. We consider here neocompact formulas in Banach spaces (notice that positive bounded formulas are neocompact) and we investigate the problem of reducing neocompact formulas to countable conjunctions of quantifier-free positive bounded ones.

We deal with *Banach space structures* in the sense of Henson-Iovino [I] and consider sets definable using neocompact formulas from Keisler [K]. In particular, we consider also Keisler's *law structures*.

We make frequent use of the nonstandard hull construction due to Luxemburg (see [L]).

We first fix our setting by introducing basic definitions and we define a particular law structure, then we prove a technical result that in the nonstandard hull of a standard Banach structure, neocompact formulas can be reduced to countable conjunctions of positive bounded formulas. This reduction will be applied later to give quantifier elimination.

We formulate some properties of our law structure taken from [K] and we prove equivalents of those properties in the setting of Banach space stuctures. We also prove the equivalence of the back-and-forth property and quantifier elimination in certain saturated spaces.

Sufficient conditions are studied under which QE transfers from nonstandard hull to the original space. Eventually, we show that QE is independent of the nonstandard hull construction. We also prove that QE and QE_H agree on nonstandard hulls of Banach spaces. One key ingredient leading to this result is a comparison of approximate satisfaction in the space, its nonstandard extension and its nonstandard hull.

References

[F-K] S. Fajardo and H.J. Keisler, Neometric Spaces, Advances in Mathematics 118 (1996), pp.134-175.

[H] C.W. Henson, Nonstandard hulls of Banach spaces, Israel J. Math. 25 (1976), pp.108-144.

[I] J. Iovino, A quick introduction to Banach space model theory, preprint.

[K] H.J. Keisler, Quantifier elimination for neocompact sets, to appear.

[L] W.A.J. Luxemburg, A general theory of monads, in "Applications of Model Theory to Algebra, Analysis and Probability" (W.A.J. Luxemburg ed.), Holt, Rinehart and Winston, New York, 1969.

Model-theoretic Constructions of Infinite Primitive Jordan Groups

Keith Johnson University of Leeds,UK Email: keith@amsta.leeds.ac.uk

Let G be a permutation group on a set Ω . A subset Γ of Ω is called a *Jordan* set for G if $|\Gamma| > 1$ and, for all $\alpha, \beta \in \Omega$, there is $g \in G$ such that $\alpha g = \beta$ and g fixes each element of $\Omega \setminus \Gamma$. We say that Γ is a proper Jordan set if, in addition, if $k < \omega$ and G is (k + 1)-transitive on Ω , then $|\Omega \setminus \Gamma| > k$. The permutation group (G, Ω) is called a *Jordan group* if it has a proper Jordan set.

The classification of finite primitive Jordan groups (see, for example, [4]) was in fact used by Cherlin [3] to classify strictly minimal sets. Infinite primitive Jordan groups were classified (in a loose sense) by Adeleke and Macpherson [2], who showed that they preserve one of a number of classes of structures, including Steiner systems and limits of Steiner systems. Examples of the former arise from saturated strongly minimal sets and regular types, and a 3-transitive example of the latter was constructed by Adeleke in [1].

We use model-theoretic amalgamation techniques to build examples of these classes, with a high degree of transitivity.

A notion of freeness for Steiner systems is also investigated.

- S.A.ADELEKE, 'Semilinear tower of Steiner systems', Journal of Combinatorial Theory, Series A 72 (1995) 243-255
- [2] S.A.ADELEKE AND H.D.MACPHERSON, 'Classification of infinite primitive Jordan permutation groups', Proc. London Math. Soc. (3) 72 (1996) 63-123
- [3] G.L.CHERLIN, L.HARRINGTON AND A.H.LACHLAN, 'ℵ₀-categorical, ℵ₀stable structures', Annals of Pure and Applied Logic 28 (1985) 103-135
- [4] P.M. NEUMANN, 'Some primitive permutation groups', Proc. London Math. Soc. (3) 50 (1985) 265-281

Ordered Fields with Simplicity Hierarchies: Generalizations of Conway's Ordered Field No Philip Ehrlich

Department of Philosophy, Ohio University, Athens OH, 45701 E-mail: ehrlich@oak.cats.ohiou.edu

In his monograph On Numbers and Games [1], J. H. Conway introduced an ordered field No which contains (in a suitable sense that can be made precise) "all numbers great and small." However, in addition to its distinguished structure as an ordered field No has a rich algebraico-tree-theoretic structure, or simplicity hierarchy, that emerges from the recursive clauses in terms of which it is defined. In the present paper, we investigate a novel class of ordered fields with simplicity hierarchies whose properties generalize those of No and draw attention to some of the important relations that exist between No and this more general class of *s*-hierarchical ordered fields as we call them. In particular, we show that an ordered field together with a tree structure is an s-hierarchical ordered field if and only if it is isomorphic to an ordered field that is an initial subtree of No, and that every real-closed ordered field is a reduct of some s-hierarchical ordered field. We also generalize Conway's theories of ordinals and omnific integers by showing that every s-hierarchical ordered field A contains a cofinal, canonical subsemiring On(A) - the ordinal part of A - which in turn is contained in a discrete, canonical subring $O_z(A)$ of A - the omnific integer part of A - in which for each $x \in A - O_z(A)$ there is a $z \in O_z(A)$ such that z < x < z + 1 where 1 - the multiplicative identity of A - is the least positive element of $O_Z(A)$. When A is a substructure of No, $O_z(A)$ is a subring of No's omnific integers and $O_n(A)$ is a subsemiring of No's subsemiring of all ordinals (with sums and products defined naturally).

[1] J.H. Conway, On Numbers and Games, Academic Press, 1976.

Omitting Types in Logics with Finitely Many Variables

Hajnal Andreka Mathematics Institute Hungarian Academy of Science E-mail : Andreka@math-inst.hu

Tarek Sayed Ahmed Department of Mathematics Faculty of Science, Cairo University E-mail : runayra@rusys.eg.net

March 23, 1998

Abstract

Throughout n denotes a finite ordinal.

We show that, in contrast to first order logic $(L_{\omega,\omega})$, the Henkin-Orey omitting types theorem fails (in a rather strong sense) for L_n , the first order logic reduced to the first *n* variables, iff 2 < n.

Also we characterize omissibility of a type, or a countable family of types, in a countable L_n theory, in terms of a certain algebraic notion formulated for CA_n 's; (cylindric algebras of dimension n) the algebraic counterpart of L_n theories.

Generalizing to the infinite case, we obtain a new characterization of completely representable CA_{α} 's, α a countable ordinal. In particular, we show that even the countable subdirectly irreducible atomic representable CA_{ω} 's may fail to have complete representations.

Finally, using results of Newelski [N] in investigating omitting < covK many types for $L_{\omega,\omega}$, we show how omitting uncountably many (non complete) types in countable L_n theories may lead to propositions that are independent of ZFC.

Reference

[N] Newleski, Omitting Types and the Real Line, The Journal of Symbolic Logic, Vol.52, No.4 Dec. 1987, pp. 1020-1026.

ON ENDOMORPHISM SEMIGROUPS OF WEAK *p*-HYPERGRAPHS

Aleksei V. Molchanov (Russia, Saratov)

A hypergraph [1] H is called weak p-hypergraph if (1) any vertex of H is contained at least in one of its edge, (2) any p vertices of H are contained in not more then one edge, (3) any edge of H contains at least p+1 vertices, (4) there exist p+1 vertices of H which don't belong to an edge. For example, any p-hypergraph [2,3] is a weak p-hypergraph, any afinne plane and any projective plane are weak 2-hypergraphs too.

The purpose of this talk is to state recent results of investigation of the interplay between weak p-hypergraphs and its endomorphism semigroups. Main idea of our approach is to study the concrete characterization problem for these semigroups. This result permits us to construct a relatively elementary interpretation of weak p-hypergraphs in semigroups and obtain the following results:

- 1) we prove that any weak p-hypergraph H is determined up to isomorphism by its endomorphism semigroup End H,
- 2) we investigate the abstract characterization problem for the endomorphism semigroups of weak *p*-hypergraphs,
- 3) we describe weak *p*-hypergraphs for which the endomorphism semigroups are elementary equivalent,
- 4) we study a connection between unsolvable elementary theories of weak *p*-hypergraphs and semigroups.

References

[1] C. Berge Graphes et hypergraphes, Paris, 1970.

[2] A. V. Molchanov, On definability of hypergraphs by semigroups of homomorphisms, Summaries of Talks, 2-d Siberian Congress on Applied and Industrial Mathematics, Novosibirsk, 1996, p. 193.

[3] A. V. Molchanov, On definability of hypergraphs by their semigroups of homomorphisms, Semigroup Forum, to appear.

Axiomatizable Classes of Group Representations

E. Koublanova^{^b}, B. Plotkin^b

^qCommunity College of Philadelphia 1700 Spring Garden, Philadelphia, USA ekoublanova@ccp.cc.pa.us ^bInstitute of Mathematics Hebrew Univ., Jerusalem, Israel borisov@math.huji.ac.il

In the talk we consider two topics: axiomatizable classes of representations of groups and algebraic geometry in representations. Special attention is payed on action-type logic.

Let K be a commutative ring with the unit and let Rep-K be the variety of all group representations over K (see [1],[2]). A representation is considered as a pair (V,G), where V is a K-module and G is a group acting on V. Morphisms in Rep-K act on both components of objects. The category Rep-K is a variety of two-sorted algebras. The free representation in Rep-K defined on a two-sorted set (X, Y) is a pair (Φ, F) , where F = F(Y) is a free group on the set Y, KF is its group algebra over K and $\Phi = XKF$ is the free KF-module over the set X. The group F acts in XKF in the following way: $w \circ f = wf$, where $w \in XKF$, $f \in F$ and \circ is the symbol of the action.

Logic in Rep-K is generated by equalities of the type $w \equiv 0$ and $f \equiv 1$. An equality of the first type is called an action-type equality. Action-type logic is generated by action-type equalities while quantifiers use only variables from X. We consider saturated, right hereditary, and right local classes of representations [1].

Proposition 1. If the class X is action-type axiomatizable then X is saturated, right hereditary, and right local.

Problem 1. An axiomatizable class X is action-type axiomatizable iff X is saturated, right hereditary, and right local?

The similar result is true for varieties, quasivarieties, pseudovarieties and universal classes of representations.

Let a saturated class X and a group G be given. The class of all representations of G, belonging to X is denoted by X_G . Representations from X_G are considered as one-sorted algebras and the corresponding axioms are also one-sorted.

Theorem. Let X be a saturated, right hereditary, and right local class. Then, if X_F is axiomatizable, then X is action-type axiomatizable.

References

1. B.Plotkin, S.Vovsi "Varieties of representations of groups". Riga, 1983, 338pp.

2. B.Plotkin, E.Koublanova "Varieties and pseudovarieties of group representations" Proc. of Int. Conf. Groups-97 in Bath, to appear.

AXIOMATIZATION OF CLASSES OF ALGEBRAIC SYSTEMS WITH THE HALP OF NONSTANDARD ANALYSIS METHODS

Vladimir A. Molchanov (Russia, Saratov)

The first results in topological model theory obtained by A.Mal'cev [1] and numerous investigations of the Eilenberg's correspondence [2] between varieties of finite semigroups, rational languages and automata show that the usual language of the lower-predicate calculus has limited possibilities, which are insufficient for consistent developing of both topological model theory and finite model theory. On the other hand, results of the papers [3],[4] show that some of these problems can be successfully solved with the help of of methods of Robinson's nonstandard analysis.

The purpose of this talk is to give a nonstandard characterization of some well-known classes of algebraic systems which can not be axiomatized by formulas of the lower predicate language.

Let Ω denote any fixed type of algebraic systems with only a finite number of predicate symbols. To describe algebraic properties of algebraic Ω -systems we use a nonstandard formal language \mathcal{L}_X over an alphabet X such that nonstandard terms of \mathcal{L}_X are elements of the nonstandard extension *W of the Ω -algebra $W = W_{\Omega}(X)$ of Ω -words over X. Formulas of \mathcal{L}_X are built up from nonstandard identities (i.e. atomic formulas of the form $t_1 = t_2$, $P(t_1, ..., t_n)$, where $t_1, ..., t_n \in {}^*W$ and P is an n-ary predicate symbol of Ω) with the help of propositional connectives and quantifiers. An interpretation of \mathcal{L}_X in an algebraic Ω -system A is defined with the help of a mapping $\theta : X \to A$, which is canonically extended to the homomorphism ${}^*\theta : {}^*W \to {}^*A$.

Definition. A class of algebraic Ω -systems K is called a nonstandard variety (nonstandard quasivariety) if it is axiomatizable by a class of nonstandard identities (nonstandard implications).

The following result solves the problem on description of hereditary formations (i.e. HSP_{fin} -closed classes of algebraic systems) on the base of the nonstandard approach raised by E.Palutin.

Theorem 1. A class of algebraic Ω -systems K is a nonstandard variety if and only if it is closed under the formation of homomorphic images, subsystems and finite direct products, i.e. K is a hereditary formation.

The following result solves the problem on axiomatization of pseudoquasivarieties (i.e. ISP_{fin} -closed classes of finite algebraic systems) by nonstandard implications raised by J.Almeida.

Theorem 2. A class of finite algebraic Ω -systems K is a nonstandard quasivariety if and only if it is closed under the formation of isomorphic images, subalgebras and finite direct products, i.e. K is a pseudoquasivariety.

References

[1] A.I.Mal'cev, On general theory of algebraic systems, Matem. sbornik, 1954, V.35. P. 3-20.

[2] S. Eilenberg and M. P. Schützenberger, On pseudovarieties, Advances in Math., 1976, V. 19, N
3. P. 413-418.

[3] V.A.Molchanov, Nonstandard varieties of pseudotopological algebraic systems, Sibirskii matematicheskii zhurnal, 1991, V.32, No.3. P. 104–112.

[4] V.A.Molchanov, Nonstandard characterization of pseudovarieties, Algebra Universalis, 1995, V. 33. P. 533-547.

Hannes Leitgeb

University of Salzburg, Austria (email: <u>Hannes.Leitgeb@sbg.ac.at</u>)

According to Tarski[1], one could call a (classical) model M a <u>truth model</u> for an object-language L_1 in a meta-language L_2 (with truth predicate) iff

M c $True(s) \leftrightarrow \varphi'$

for all *s*, φ such that:

- *s* is a name of the sentence φ with φ in L₁,

- φ' is the <u>translation</u> of φ into L₂.

I.e. True(s) is (up to equivalence) nothing but the <u>translation</u> of the sentence denoted by *s* into the meta-language. Since translation is usually left undefined, one generally chooses L₁ to be a sublanguage of L₂ and the identity function as translation.

Instead, we will define translation formally and thereby get a more general concept of truth models, where the truth predicate is defined by a translation (note that we will not stick to classical models but rather look at lattice-valued models including Boolean-valued models).

We will show that such general truth models even exist in the case of $L_1 = L_2$ with arbitrary self-reference concerning denotation, i.e.: if truth predicates are defined by translations, <u>languages can contain their own truth predicate</u>.

This connects our approach to more recent accounts of truth theories like Gupta[2].

References

[1] Tarski, A., Der Wahrheitsbegriff in den formalisierten Sprachen, Studia Logica 1, 1935, pp. 261-405.

[2] Gupta, A., Belnap, N., The Revision Theory of Truth, MIT Press, Cambridge, Massachusetts, 1993.

Non standard finite fields in \$I\Delta_0 +\Omega_1\$

Paola D'Aquino (joint work with A. Macintyre)

Seconda Universita' di Napoli daquino@axrma.uniroma1.it

Let \$M\$ be a model of I\Delta_0 + \Omega_1\$ and \$K\$ be the residue field of \$M\$ for a non standard prime \$p\$ in \$M\$.

If \$M\$ is a model of \$PA\$ then \$K\$ is a pseudo-finite field (see [M]), i.e. it satisfies the following axioms of Ax

1) there exists a unique extension of each degree \$n\$;

2) every absolutely irreducible curve has a point in the field.

In the case of Open Induction, Macintyre e Marker proved in [MM] that for any field \$L\$ of characteristic \$0\$ there is a model \$M\$ of Open Induction and a prime \$p\in M\$ such that the residue field \$K\$ is elementary equivalent to \$L\$. In particular, \$K\$ can have infinitely many extensions of each degree.

Using results of bounded arithmetic and some Galois theory we can prove the following results.

In the classical case every extension of a finite field is Galois and its Galois group is cyclic. In our setting we prove the following

{\bf Theorem 2.} Let \$F\$ be a finite normal extension of \$K\$ with Galois group \$G\$. Then all Sylow subgroups of \$G\$ are cyclic (i.e. \$G\$ is a \$Z\$- group in the sense of Passman [P]).

{\bf Corollary 2.} The Galois group of \$F\$ over \$K\$ is generated by two elements x,y such that $x^n=y^m=1$, $x^{-1}y=y^r$, (r-1,m)=(n,m)=1 and $r^n=1$, mod m}.

In the classical case the Galois group of a finite extension is generated by a single element.

{\bf Corollary 3.} For each \$n\in {\bf N}\$ there is at most one normal extension of \$K\$ of degree \$n\$.

{\bf Corollary 4.} The norm map of any normal extension is surjective.

{\References} [M] A Macintyre, Residue fields of models of \$P\$, in Logic, Methodology and Philosophy of Science VI (ed. L.E. Cohen et al.), Amsterdam 1982.

[MM] A. Macintyre and D. Marker, Primes and their residue rings in models of Open Induction, Annals

of Pure and Applied Logic 43, (1989).

[P] D. Passman, Permutation Groups, Benjamin 1968.

Bounded Arithmetic for Threshold Circuits and Counting Hierarchies

Jan Johannsen (joint work with Chris Pollett) Dept. of Mathematics, UCSD

For notation and background see [2]. The theory C_k^0 is the theory in the language of first-order Bounded Arithmetic with the function symbols $\#_2, \ldots, \#_k$, axiomatized by the *BASIC* axioms, *LIND* for quantifier-free formulas and the replacement scheme $BB\Sigma_0^0$ for sharply bounded formulas.

Since C_2^0 is equivalent to the theory \bar{R}_2^0 of [1], the Σ_1^b -definable functions of C_2^0 are exactly those in the complexity class TC^0 . We generalize this result to k > 2, so that e.g. the Σ_1^b -definable functions of C_3^0 are exactly those in qTC^0 , the class of functions computable by uniform constant-depth threshold circuits of quasi-polynomial size.

The second-order theory \mathbf{D}_{k}^{0} is formulated in a second-order language that includes $\#_{2}, \ldots, \#_{k}$. It is axiomatized by BASIC axioms, IND and BCA for quantifier-free formulas, the choice scheme $\Sigma_{0}^{1,b}$ -AC for first-order bounded formulas and a counting axiom that allows to count the number of elements in a set. The theory \mathbf{D}_{2}^{0} characterizes the Counting Hierarchy CH: the $\Sigma_{1}^{1,b}$ -definable functions in \mathbf{D}_{2}^{0} are exactly the functions in CH. This result can also be generalized for k > 2.

We then show that for every k, \mathbf{D}_k^0 is isomorphic to C_{k+1}^0 under the so-called RSUV-isomorphism. In particular, the theory characterizing CH is isomorphic to the theory for qTC^0 . As an application, we give some partial conservativity results for subtheories of \mathbf{D}_k^0 , which can then be translated to the first-order world via the RSUV-isomorphism. In particular, we get weaker first-order theories that suffice to characterize TC^0 and qTC^0 .

- J. Johannsen. A bounded arithmetic theory for constant depth threshold circuits. In P. Hájek, editor, GÖDEL '96, pages 224-234, 1996. Springer Lecture Notes in Logic 6.
- [2] J. Krajíček. Bounded Arithmetic, Propositional Logic and Complexity Theory. Cambridge University Press, 1995.

The link lattices of finite distributive lattices.

Joanna Grygiel

Let $\mathcal{A} = \langle A, \leq_A \rangle$ and $\mathcal{B} = \langle B, \leq_B \rangle$ be distributive lattices such that $A \cap B$ is a filter in \mathcal{A} and an ideal in \mathcal{B} and the orderings \leq_A and \leq_B coincide on $A \cap B$. It is well known that $\mathcal{A} \oplus \mathcal{B} = \langle A \cup B, \leq \rangle$, where $\leq = \leq_A \cup \leq_B \cup \leq_A \circ \leq_B$, is a distributive lattice called a sum of \mathcal{A} and \mathcal{B} . This sum operation for lattices was introduced by Wroński. Kotas and Wojtylak proved that the closure of the class of all finite Boolean algebras with respect to the sum operation is the class of all finite distributive lattices. Thus for every finite distributive lattice \mathcal{D} there is a finite family $\{\mathcal{B}_i\}_{i\in T}$ of Boolean fragments of \mathcal{D} such that \mathcal{D} is the sum of that family, what we shall denote $\mathcal{D} = \bigoplus \mathcal{B}_i$. One can also say that any finite lattice can be decomposed onto Boolean algebras. It can be shown that the elements of the decomposition of any finite distributive lattice onto the Wroński sum of Boolean algebras are uniquelly determined by its maximal fragments and we can give the method of finding them.

Let $K = \{\mathcal{B}_i\}_{i \in I}$ be the family of all maximal Boolean fragments of a finite distributive lattice $\mathcal{D} = \langle D, \leq \rangle$. Let us determine an ordering on K in the following way:

 $\mathcal{B}_1 \preceq \mathcal{B}_2$ iff $\mathbf{0}_1 \leq \mathbf{0}_2$, where $\mathbf{0}_i$ denotes the zero of the algebra \mathcal{B}_i .

Theorem 1 $\mathcal{K} = \langle K, \preceq \rangle$ is a lattice, where, for any $\mathcal{B}_1, \mathcal{B}_2$ from K, the infimum $\mathcal{B}_1 \wedge \mathcal{B}_2$ is determined by all $(\mathbf{1}_1 \wedge \mathbf{1}_2)$ -coatoms and the supremum $\mathcal{B}_1 \vee \mathcal{B}_2$ is determined by all $(\mathbf{0}_1 \vee \mathbf{0}_2)$ -atoms. $\mathbf{1}_1, \mathbf{0}_1, \mathbf{1}_1, \mathbf{0}_1$ denote, respectively, the units and zeroes of the maximal Boolean fragments \mathcal{B}_1 and \mathcal{B}_2 .

We shall call the lattice of all maximal Boolean fragments of a given distributive lattice \mathcal{D} the *link lattice* of \mathcal{D} .

We can apply link lattices to the problem of scarce decomposition of finite distributive lattices.

Theorem 2 A finite distributive lattice \mathcal{D} has got a scarce decomposition iff every (at least two-element) fragment of its link lattice \mathcal{K} contains a prime ideal.

Institute of Mathematics Pedagogical University Al.Armii Krajowej 13/15 42-201 Częstochowa e-mail j.grygiel@wsp.czest.pl

Cumulative Higher-Order Logic as a Foundation for Set Theory

Wolfgang Degen (joint work with Jan Johannsen) IMMD1, Universität Erlangen-Nürnberg

A cumulative hierarchy is of the form $H = \bigcup_{\alpha \in \Delta} H_{\alpha}$ where Δ is an initial segment of ON and $H_{\alpha} \subseteq H_{\beta}$ for all $\alpha, \beta \in \Delta$ with $\alpha < \beta$. Such cumulative hierarchies $\langle H, \in \rangle$ are usually used to model *first-order* set-theories with \in for the membership relation as sole non-logical symbol. However, if one considers a cumulative hierarchy H as given primarily by its ranks H_{α} , a *typed* language L_{Δ} recommends itself as the most direct means to describe H. The language L_{Δ} has variables $a^{\alpha}, x^{\alpha}, \ldots$ of type α for $\alpha \in \Delta$ and predication relations $b^{\beta}(a^{\alpha})$ between elements from H_{β} and H_{α} for $\beta > \alpha$. The relation $b^{\beta}(a^{\alpha})$ is (properly) cumulative if $\beta > \alpha + 1$.

Problem. Given a class \mathcal{H} of cumulative structures of length Δ , find a logical system $\Sigma_{\mathcal{H},\Delta}$ in the language L_{Δ} which is complete w.r.t. \mathcal{H} .

In our paper, we give solutions to this problem for specific classes \mathcal{H} : We define the class of *cumulative Henkin structures* (CHS) by only stipulating that they satisfy certain impredicative comprehension principles. Our weakest logical systems K_{Δ} , which are generalizations of simple type theory to cumulative types up to *Delta*, are complete w.r.t. CHSs. The strongest notion we arrive at is the notion of an *extensional, normal and null-founded cumulative Henkin structure* (ECHS). The normality of an ECHS means, roughly, that every rank H_{λ} (λ a limit ordinal) contains exactly the entities from the smaller ranks. To capture normality syntactically, we assume an *infinitary* inference rule, called the *limit rule*, which allows to infer the sequent $S[a^{\lambda}]$ from the premises $S[a^{\xi}]$, $\xi < \lambda$. The arising infinitary systems K_{Δ}^{∞} are complete w.r.t. the class of ECHSs. Furthermore, every ECHS can be collapsed to a cumulative hierarchy of sets, i.e. each rank H_{α} consists of sets, $H_{\alpha+1}$ is a subset of the powerset of H_{α} , and predication is ordinary membership.

In order to embed set theory we define for each type α a type-homogeneous membership relation by $a^{\alpha} \in b^{\alpha} : \leftrightarrow \exists x^{\alpha+1}(x^{\alpha+1}(a^{\alpha}) \land \forall y^{\alpha+2}(y^{\alpha+2}(x^{\alpha+1}) \to y^{\alpha+2}(b^{\alpha})))$. Note that only in the last place we have made use of the properly cumulative predication relation $y^{\alpha+2}(b^{\alpha})$. Given now a *first-order* formula φ of set theory, we translate it into the L_{Δ} -formula $\varphi^{(\alpha)}$ using the just defined $a^{\alpha} \in b^{\alpha}$ instead of $a \in b$ throughout. Then we show (among similar results): if φ is a theorem of Zermelo's set theory Z, i.e. ZF without replacement, then $\varphi^{(\lambda)}$ is a theorem of K^{∞}_{Δ} for every limit ordinal $\lambda \geq \omega + \omega$ and $\Delta \geq \lambda + 3$. In this way, cumulative higher-order logic is a foundation of the set theory Z via the mapping $\varphi \mapsto \varphi^{(\lambda)}$. On the other hand, there are also first-order sentences φ inconsistent with ZF such that the translation $\varphi^{(\lambda)}$ is provable in K^{∞}_{Δ} for some $\lambda < \Delta$. We investigate conditions sufficient for the avoidance of such inconsistencies.

The Axiom of Choice and Nonclassical Set Theory

V.Kh. Khakhanian

Moscow State University of railway communications, Chair of Applied Mathematics-2. Apt. 492, str. Kolomenskaya 15, Moscow 115142, Russia e-mail: lpop3-02@logic.radio-msu.net (for Khakhanian)

We examine the standard form of the axiom of choice. It is well known, that this form is inconsistent with ZFI [1]. But some partial variants of this form of the axiom of choice can be consistent with ZFI and one can try to investigate this variants. For example, let us examine such variant: there exists a fuction of choice for the countable family of nonempty disjunctive sets of natural numbers. This affirmation is unprovable and independent in ZFI (we proved this fact). Moreover, it is not possible to feinforce this result somehow. For example, let us examine such variant of the axiom of choice: there exists a function of choice for the countable family of nonempty disjunctive countable sets. Such form of the axiom of choice is not consistent with ZFI (and with other very weak systems with intuitionistic logic).

We submit the consistency and independent proofs of partial axiom of choice of the intuitionistic set theory with two kinds of variables. The same result takes place for the set theory with only one kind of variables. The language of our theory contains two kinds of variables (numerical and settheoretic), symbols for the predicates (a natural number belongs to a set and a set belongs to a set), the logical connectives and the quantifiers for all kinds of variables.

The body of axioms consists of all standard set-theoretic axioms with usual boundary and the axiom of double complement of sets.

References.

1. Referativnyj Zhurnal Matematika 1979, N 5, 5A59 (in russian).

Logic Colloquium '98 Kamila Bendová ABSTRACT

On the multiplication and ordering of natural numbers

Kamila Bendová

Philosophical faculty of Charles University, Praha 1, Celetná 20, Czech Republic E-mail: kamila@ruk.cuni.cz

The positive natural numbers with multiplication form an Abelian semigroup with an unit element freely generated by primes; the standard ordering of natural numbers makes it to a discretely linearly ordered cancellative Abelian semigroup. I try to find a simple set of axioms in the language of ordered semigroup such that the standard ordering is the unique ordering of positive natural numbers of the type ω which coincides with the standard ordering of primes and satisfies the axioms. I heavily use the succesor function defined by any type ω ordering. The problem has a rather easy but ugly soution, which involves some axiom-schema; I present a candidate for an elegant system of finite number of axioms and conjecture that it does the job. Several relevant partial results are presented.

Two remarks on partitions of \$\omega\$ with finite blocks

Stanislav Krajci

Dept. Computer Science, P. J. Safarik University, Jesenna 5, Kosice, Slovak Republic krajci@duro.upjs.sk

We prove that all factor algebras of the power set of the natural numbers modulo ideals generated by partitions of the natural numbers into finite and arbitrary large elements are pairwise isomorphic and homogeneous. Moreover, we show that the smallest size of a tower of such partitions with respect to eventually-refining preordering is equal to the smallest size of a tower on the natural numbers.
Title: Distinguishing the classes of thin sets

Author: Peter Eliaš

Affiliation: Math. Institute, Slovak Academy of Sciences, Jesenná 5, 04154 Košice, Slovak Republic

E-mail: elias@kosice.upjs.sk

For c > 0, let \mathcal{B}_0^c be the class of subsets A of the unit interval, such that for some increasing sequence of integers n_k ,

$$\sum_{k=0}^{\infty} \left| \sin 2\pi n_k x \right| \le c$$

for all $x \in A$. These are N₀-sets of a special kind.

We prove that the classes \mathcal{B}_0^c , c > 0, ordered by the inclusion, form a chain in which every term is the union of the smaller classes and is a proper subclass of the intersection of the bigger classes. We generalize this classes, the classes of A-sets, and N₀-sets, replacing the function sin by any appropriate continuous function, and obtain some distinctions between generalized classes. This extends some results of our previous paper "A classification of trigonometrical thin sets and their interrelations, Proc. Amer. Math. Soc. **125** (1997), 1111-1121".

DEFINABILITY OF EXACT ARITHMETICAL OPERATIONS FROM APPROXIMATE ONES

IVAN KOREC, BRATISLAVA

We shall deal with the structures (and operations) over the set \mathbb{N} of nonnegative integers. The usual operations + and × will be called exact, to distinguish them from approximate ones defined below.

Definition. An operation \oplus will be called approximate addition if there is a positive integer k such that for all $x, y \in \mathbb{N}$ we have $|(x \oplus y) - (x + y)| \le k$. Similarly we define approximate multiplication.

Theorem 1. (i) For every approximate addition \oplus the exact addition + is definable in the structure $\langle \mathbb{N}; \oplus, \times \rangle$.

(ii) For every approximate multiplication \otimes the exact multiplication \times is definable in the structure $\langle \mathbb{N}; +, \otimes \rangle$.

The word "almost" below ought to be understood in a rather natural probabilistic sense. E.g., for fixed k we can associate a bounded sequence consisting of all $(x \oplus y) - (x + y)$ (in a fixed order of the pairs (x, y)) to an operation \oplus , and then a real from [0, 1] to this sequence. Then we can apply Lebesgue measure.

Theorem 2. For almost every approximate addition \oplus the exact operations +, \times are definable in the structure $\langle \mathbb{N}; \oplus \rangle$.

A suitable operation here is $x \oplus y = 2x + 1$ if x = y is a square and $x \oplus y = x + y$ otherwise. Of course, one example do not suffice for the proof.

Theorem 3. For almost every approximate multiplication \otimes the exact operations +, × are definable in the structure $\langle \mathbb{N}; \otimes \rangle$.

For example, the operation $x \otimes y = xy + 1$ is suitable for Theorem 3.

A unary operation d will be called *neighbour operation* if for all $x \in \mathbb{N}$ we have |d(x) - x| = 1. Notice that the graph of every neighbour operation is a subset of the *neighborhood relation* Neib = $\{(x, y) \in \mathbb{N}^2; |x - y| = 1\}$.

Theorem 4. For almost every neighbour operation d the exact multiplication \times is definable in the structure $\langle \mathbb{N}; d, + \rangle$.

Theorem 5. For almost every neighbour operation d the exact addition + is definable in the structure $\langle \mathbb{N}; d, \times \rangle$.

The word "almost" cannot be omitted in Theorems 2-4; this is not clear for Theorem 5. Now let s denote the successor operation.

Theorem 6. For every approximate multiplication \otimes the exact operations +, \times are definable in the structure $\langle \mathbb{N}; \mathbf{s}, \otimes \rangle$.

A similar statement does not hold for approximate additions.

This work was supported by Grant 5123 of Slovak Academy of Sciences.

DEFINABILITY OF ADDITION AND MULTIPLICATION FROM PASCAL'S TRIANGLE MODULO *n* AND NUMBER-THEORETICAL FUNCTIONS

IVAN KOREC, BRATISLAVA

Structures containing $B_n(x, y) = \binom{x+y}{x} \text{MOD } n$ will be mainly considered; the function B_n will be called Pascal's triangle modulo n. The letters \mathbb{N} , \mathbb{P} will denote the set of nonnegative integers and the set of primes, respectively.

Definition. A structure S over \mathbb{N} will be called def-complete if it is arithmetical (in the sense of math. logic) and the operations +, \times are first order definable in it.

To show def-completeness is a usual way to prove undecidability; It was used (in essential) already by Julia Robinson in [Ro]. Def-complete structures are in some sense "most undecidable" among arithmetical ones. By [Be], [Ko1] and [Ko2] the results presented here are interesting mainly if n is a prime power.

Lemma 1. For every n > 1 the following structures are def-complete:

- (1) $\langle \mathbb{N}; B_n, +, \operatorname{SqPow}_n \rangle$, where $\operatorname{SqPow}_n = \{(n^x, n^{2x}) \mid x \in \mathbb{N}\}$ (the squaring of powers of n);
- (2) $\langle \mathbb{N}; B_n, +, \operatorname{SqP} \rangle$, where $\operatorname{SqP} = \{(x, x^2) \mid x \in \mathbb{P}\}$ (the squaring of primes).

Theorem 2. For every n > 1 the following structures are def-complete:

- (1) $\langle \mathbb{N}; B_n, \varphi \rangle$, where φ is Euler's function;
- (2) $\langle \mathbb{N}; B_n, \lambda \rangle$, where λ is Carmichael's function;
- (3) $\langle \mathbb{N}; B_n, \sigma \rangle$, where σ is the sum of divisors function;
- (4) $\langle \mathbb{N}; B_n, \tau \rangle$, where τ is the number of divisors function.

Theorem 3. The structures $\langle \mathbb{N}; +, \tau \rangle$ and $\langle \mathbb{N}; +, \sigma \rangle$ are def-complete.

Now we shall consider the prime counting function $\pi(x) = \operatorname{card} \{ y \in \mathbb{P} \mid y \leq x \}$. The next theorem needs some strong results about distribution of primes (also in rather short intervals) which can be found e.g. in [Ka, p. 102 and 111-112].

Theorem 4. For every n > 1 the structure $\langle \mathbb{N}; B_n, \pi \rangle$ is def-complete.

References

- [Be] A. Bès, On Pascal triangles modulo a prime power, Annals of Pure and Applied Logic 89 (1997), 17-35, (Logic Colloquium'94, Clermont-Ferrand).
- [Ka] A. A. Karatsuba, Foundations of the analytical number theory (in Russian), "Nauka", Moscow, 1983.
- [Ko1] I. Korec, Definability of arithmetic operations in Pascal triangle modulo an integer divisible by two primes, Grazer Mathematische Berichte 318 (1993), 53-61.
- [Ko2] _____, Theories of generalized Pascal triangles, Annals of Pure and Applied Logic 89 (1997), 45-52, (Logic Colloquium'94, Clermont-Ferrand).
- [Ro] J. Robinson, Definability and decision problems in arithmetic, Journal of Symbolic Logic 14 (1949), no. 2, 98-114.

This work was supported by Grant 5123 of Slovak Academy of Sciences.

Tableaux for PPC

Albert Hoogewijs and Dirk Van Heule

Department of Pure Mathematics and Computer Algebra, University of Gent. Albert.Hoogewijs@rug.ac.be dvh@cage.rug.ac.be http://cage.rug.ac.be/~bh/

PPC presents a non-classical three-valued predicate calculus ([ah87]), where for a sequent $\alpha_1, \ldots, \alpha_n \models \beta$ the formulae at the left-hand side of the turnstile are considered to be **true**, and the formula at the right-hand side of the turnstile is either **true** or **undefined**. In this talk we extend this notion to sequents $\alpha_1, \ldots, \alpha_n \models \beta_1, \ldots, \beta_n$, which mean that there are no counterexamples, i.e. models for which the expressions at the left-hand side of the turnstile are **true** and the expressions at the right-hand side are **false**. Note that the latter is a classical definition, but it gets a different interpretation in a three-valued logic.

The three-valued predicate calculus PPC is built on the Kleene operators negation (\neg) and conjunction (\wedge) and a new non-monotone operator Δ to express the definedness of a formula α , (i.e. α being true or false). The universal quantifier (\forall) extends the conjunction in a classical manner. Carnielli pointed out that the propositional part of PPC, can be seen as a subset of the logic \mathcal{L}_3 of Lukasiewicz.

Using the non-monotone operator Δ ($\Delta \alpha \equiv \text{true}$ iff α is defined and $\Delta \alpha \equiv \text{false}$ iff α is undefined), we present a tableau method for predicates without operational signature, as a the systematic search for a counterexample. Note that our approach is not based on a translation from signed tableaux to sequents, as suggested by W. Carnielli for reason that PPC has not a connective C such that for each truth-value $i : C(i) \neq i$ [wc91].

- [ah87] A. Hoogewijs Partial Predicate Logic in ComputerScience Acta Informatica 24, pp381-393, Springer-Verlag, 1987.
- [wc91] W.A. Carnielli On sequents and tableaux for Many-Valued Logics The Journal of Non-Classical Logic - Vol 8, nr. 1, 1991

Tableaux in Automated Theorem Proving: Isabelle and PPC.

D. Van Heule and A. Hoogewijs dvh@cage.rug.ac.be - bh@cage.rug.ac.be

Department of Pure Mathematics and Computeralgebra, University of Ghent, Belgium

Tableaux methods offer elegance, flexibility and analytic proof theory for a wide variety of logics. Some theorem provers based on tableaux like HARP[2] are very sophisticated while others as 3TAP[1] offer a great flexibility.

Isabelle[4] is a generic, interactive theorem prover based upon a form of resolution. Automation is provided by means of a "Classcial Reasoner", which searches for proofs using the tableau approach. This will be demonstrated on examples, using the Partial Predicate Logic (PPC)[3].

In the first section, the object logic LK, a classical first-order logic through Gentzen's sequent calculus, will be used to construct an automatic tautology checker for PPC.

In the second part we will discuss the Classical Reasoner. Because of the correspondance between natural deduction and sequent calculus, the tableau method is addapted to a natural deduction style, which seems easier to automate. *Fast_tac*, the most important tactic of Isabelle and *Blast_tac*, coded directly in ML, faster and more powerful than fast_tac but with some limitations will be demonstrated in PPC.

- [1] R. Hähnle, B. Beckert, S. Gerbertding 3TAP: The Many-Valued Theorem Prover
 3rd Edition, September 94, University of Karslruhe, Institue for Logic, Complexity and Deduction Systems. http://i12www.ira.uka.de/~threetap.
- [2] F. Oppacher, E. Suen HARP: A tableau-based theorem prover. Journal of Automated Reasoning, 4:69-100, 1988
- [3] A. Hoogewijs Partial Predicate Logic in ComputerScience Acta Informatica 24, pp381-393, Springer-Verlag, 1987.
- [4] L. Paulson Isabelle A Generic Theorem Prover Lecture Notes in Computer Science - Springer Verlage, 1994.

Semantic tableaux for LPF and the adequacy theorem

Noemie Slaats and Albert Hoogewijs

Department of Pure Mathematics and Computer Algebra; University of Gent, Galglaan 2, 9000 Gent (Belgium).

In this talk we introduce a semantic tableaux method for the three valued Logic for Partial Functions (LPF)[1] and sketch the adequacy theorem for a reduced predicate calculus.

LPF is a three valued predicate calculus with the truth-tables for the logical *negation* and *disjunction* corresponding to Kleene's notation. The logical operators are extended with a nonmonotone operator Δ to express the *definedness* of a formula. The equality is considered to be weak equality.

	-	Δ	V	T	F	U	=	P	Q	U
T	F	T	T	T	T	T	 P	T	\overline{F}	\overline{U}
F	T	T	F	T	F	U	Q	F	T	U
U	U	F	U	T	U	U	U	T	U	U

The alphabet also contains the existential binder \exists and the symbol ':' for declaring the type of a term.

To introduce the notion of semantic tableau, we need the definition of validity and consequence. In LPF, a valuation is a mapping \mathcal{V} : Form_{LPF} $\mapsto \{T, F, U\}$ with Form_{LPF} being the well-formed formulae of LPF. \mathcal{M} is a model for a list of formulae L iff for all $\gamma \in L : \mathcal{V}(\gamma) \equiv T$. A formula α is valid for a model \mathcal{M} ($\mathcal{M} \models \alpha$) iff $\mathcal{V}(\alpha) \equiv T$. A formula α is a consequence of a list of formulae L ($L \models \alpha$) iff for all models \mathcal{M} of L, $\mathcal{M} \models \alpha$. A list of formulae R is a consequence of a list of formulae L ($L \models R$) iff for at least one formula $\alpha \in R$, $L \models \alpha$. Let $L \triangleright R$ denote a sequent. A model \mathcal{M} is a counterexample for a sequent $L \triangleright R$ iff $\mathcal{M} \models \alpha$ for all $\alpha \in L$ and $\mathcal{M} \not\models \beta$ for all $\beta \in R$ (note that this means $\mathcal{V}(\beta) \in \{F, U\}$ for all $\beta \in R$). We introduce reduction rules for non-atomic and non- Δ -atomic formulae, to transform the problem of finding a counterexample for a sequent, into the problem of finding a counterexample for a sequent with formulae of reduced complexity. The resulting tableau of sequents is called a semantic tableau. If every branch of the tableau ends with the application of a closure rule, then the tableau is closed, else the tableau is open.

The adequacy theorem shows that $L \models R$ iff $L \triangleright R$ is the top-sequent of a closed semantic tableau.

- [1] Jones, C. Middelburg C. A Typed Logic of Partial Functions Reconstructed Classically, Acta Informatica 31, pp399-430(1994)
- [2] Jones, C. Jones, K. Lindsay, P. Moore, R. Mural: a Formal Development Support System, Springer-Verlag(1991)

Piotr Kulicki

Axiomatic Rejection in First Order Theories

Among a few discovered methods of refutation for logical systems, axiomatic rejection is probably the least known. It was J. Łukasiewicz who, drawing his inspiration from Aristotle, conceived the idea of an axiomatic refutation calculus describing the set of nontheorems of a logic (see J. Łukasiewicz, *Aristotle's Syllogistic from the Standpoint of Modern Formal Logic*, Clerendon Press, 1951). The first calculus which received such a formulation was Aristotle's syllogistic, which is a first order theory, but then most effort was devoted to propositional logics. The results include axiomatic refutation systems for many-valued, modal, intuitionistic and intermediate propositional logics. In my presentation I would like to return to axiomatic rejection in the case of first order theories with a special interest in its relation to models and logic programs.

First, we consider the relation between a system of axiomatic rejection for a theory and a model theoretic structure that can be used for decision procedure for that theory. For theories fulfilling certain conditions it holds that the size of the domain of the smallest model that can be used to refute all rejected axioms of a theory limits the size of such domain for any other formula. Application of that result to Aristotle's syllogistic leads to the conclusion that any formula of syllogistic enriched by classical connectives is decidable with the use of models in a two-membered domain.

Furthermore we consider the recursive rule schema that is used in the system of axiomatic rejection for Aristotle's syllogistic. The rule schema express the following disjunction property:

$A \rightarrow B \lor C \in Th$ iff $A \rightarrow B \in Th$ or $A \rightarrow C \in Th$

where A is a conjunction of atomic formulae and B and C are disjunction of atomic formulae. Surprisingly, that property is equivalent to the postulate of decomposition of a formula to Horn clauses, which is normally used to obtain a correct logic program from any first order theory.

Complete System of Natural Deduction Rules and Full Normal Form for Classical Propositional Logic.

Jan von Plato vonplato@helsinki.fi University of Helsinki

Abstract

A rule of excluded middle is given that concludes the sequent $\Gamma \Rightarrow C$ from the premisses $P, \Gamma \Rightarrow C$ and $\sim P, \Gamma \Rightarrow C$, with P atomic and Γ and Carbitrary. Its addition to the usual contraction- and cut-free intuitionistic sequent calculus gives a classical single-succedent calculus for propositional logic in which the structural rules are admissible. The rule of excluded middle is admissible for arbitrary formulas, and P can always be taken from atoms of C.

With just one succedent formula, translation of the above to natural deduction is immediate. By translating sequent calculus left rules into general elimination rules of natural deduction, the order of application of sequent calculus rules is reflected in natural deduction. The new rule gives a generalization of indirect proof for atoms and a complete system of natural deduction for classical propositional logic, with fully normal derivations, disjunction included. The normal form has purely intuitionistic subderivations followed by applications of the new rule, the subderivations identifying the computational content of the classical proof. The results extend to the universal quantifier, but existence remains negatively translated.

Semantic multiple contraction

Thomas A. Meyer, Willem A. Labuschagne and Johannes Heidema Computer Science Department and Department of Mathematics, University of South Africa, Pretoria 0003, South Africa,

e-mail: meyerta@osprey.unisa.ac.za, meyerta@alpha.unisa.ac.za

Multiple contraction [1], a generalisation of AGM theory contraction [2], comes in two varieties. For a theory K and a set of wffs A (containing no logically valid wffs), choice contraction stipulates that the contraction of K by A should result in a theory that does not contain all of the wffs in A, while package contraction requires of the resulting theory to contain none of the wffs in A. We undertake a semantic investigation of multiple contraction. The main results can be summarised as follows:

- We give an alternative characterisation of basic choice contraction, as studied in [1], and extend these results to prove a representation theorem involving a set of eight generalised AGM postulates for choice contraction.
- When analysed semantically it is shown that basic package contraction, as presented in [1], is too restrictive. We provide two alternatives and prove appropriate representation theorems. These results are extended to obtain a class of package contraction functions that can be reduced to single wff AGM theory contraction.
- AGM theory contraction has been criticised as too restrictive, primarily because of the inclusion of the contraction postulate known as *recovery*. We show how to obtain suitable classes of choice contraction and package contraction functions that do not, in general, satisfy the generalised versions of recovery. We prove that formal links exist between these classes of contraction functions, and refined versions of the Gärdenfors *epistemic entrenchment* orderings on wffs [2].

- [1] Andre Fuhrmann and Sven Ove Hansson. A survey of multiple contractions. Journal of Logic, Language and Information, 3:39-76, 1994.
- [2] Peter Gärdenfors. Knowledge in Flux : Modeling the Dynamics of Epistemic States. The MIT Press, Cambridge Massachusetts, 1988.

Imperative Program Synthesis using a Logic of Actions

Patrick Bellot

Ecole Nationale Supérieure des Télécommunications Computer Science Department, Software Engineering group 46 rue Barrault, 75634 Paris Cédex 13, France

bellot@inf.enst.fr

Program synthesis using logic usually produces functional programs, that is λ -expressions which do only computations on data represented by *abstract data types*. This is due to the purely mathematical nature of these systems. But *computer programming* does not deal with mathematical objects but with real data implementation, real objects such as disk files and pre-existing programs. Programming is not only a matter of sentencial logic but also of *resources* management and of *actions*. Our logic, the Ω logic, claims to take into account these two notions.

Formulae-as-actions. The formulae can be action formulae such as "Put block A on block B", state formulae such as "Block A is on block B" mathematical formulae such as "2 is even" or term formulae stating that the term is computable. A state formulae A is seen as the action "to do things such that A holds". Mathematical formulae are modalized state formulae as in Linear Logic.

Connectives. We have the following connectives. Some are inspired from Linear Logic. Meanings are given in term of actions and are adaptated to states using the view of state formulae given above.

- (Parallelism) $A \otimes B$, actions: to do A and B in parallel, states: both A and B are true and available;
- (Deterministic choice) A & B, actions: to do A or to do B, one can choose, states: both A and B are true but one can use only one of them;
- (Non deterministic choice) $A \oplus B$, actions: to do A or to do B but one does not know which one (outer non-determinism), states: one of A and B is true but one does not know which one ;
- (Dependant sequentiality) A > B, actions: to do B by beginning to do A, states: B is true but one must first prove A in order to prove B;
- (Independent sequentiality) $A \gg B$, actions: to do A and then to do B, states: A and B are true but A is proved before B;
- (Logical implication) $A \Rightarrow B$, actions: if you know how to do A, you can do B, states: B can be logically deduced from A;
- (*Causality*) $A \rightarrow B$, action: doing A causes doing B, states: nothing ;
- (Universality) $\forall x.A$, actions: to do A for any value of x, states: universal quantification;
- (Existence) $\exists x.A$, actions: to do A for one value of x, states: existential quantification;
- (Many) !A, actions: to be able to do A as many times as we want (determinism), states: A is indefinitely reusable and discardable;
- (Some) ?A, actions: to do A a certain number of times one does not know (non-determinism), states: it is possible that A is true forbidding to prove the intuitionistic negation of A.

There is a few other connectives in order to deal with repetition of actions.

Realizations. The technique of realizability allows to associate objects to theorems. This objects are built with the proof. In our case, the realizations are objects in the sense of object oriented programming. Their method prove() does the theorem considered as an action formula : if R realizes A, then R.prove() does A.

Axiomatisation. Our logic is a sequent calculus. The axiomatisation is made difficult because of the need of linearity. Moreover, realizations are imperative programs which cannot be combined in any way. In the sequent, the \otimes connective intuitively links formulae on both sides of the entailment sign \vdash . Therefore, our structural rules are unusual :

$$\Gamma \vdash \Gamma \ [id] \qquad \qquad \frac{\Gamma \vdash \Delta \quad \Delta \vdash \Theta}{\Gamma \vdash \Theta} \ [tr] \qquad \qquad \frac{\Gamma \vdash \Delta}{\Gamma, \Theta \vdash \Gamma, \Theta} \ [mn]$$

These rules make the proofs much more natural than classical sequent calculus rules by allowing a linear structure of proofs. They also allows a more human-like automatic research of proofs.

Applications. The Ω logic has been applied to find programs which move blocks in the well-known World of Blocks problems. It has also been applied to the Candy Machine, a trivial case of input/output automatum problem where the notion of action is essential. It has to be applied to functional computations using the term formulae.

Content of the talk. If accepted, the talk will about the application of the Ω logic to the World of Blocks, how it works, how it is used to synthetize non-trivial programs.

▷ LC'98, Prague, Czech Republic March 6, 1998 ▷ Logic Colloquium'98, ASL European Summer Meeting

A deterministic terminating sequent calculus for propositional Dummett logic

Roy Dyckhoff University of St Andrews St Andrews, Fife, Scotland

rd@dcs.st-and.ac.uk http://www-theory.dcs.st-and.ac.uk/~rd/

Sonobe [6] and Corsi [2] have given sequent calculi and tableau calculi for Dummett logic [3], i.e. intuitionistic logic with an axiom schema such as $(A \rightarrow B) \lor (B \rightarrow A)$. Recent work [1] by Avellone *et al* has shown how, in the propositional case, such calculi can be modified so that root-first proof search terminates without use of a loop-checker, thus extending our own work [4] (cf. also [5,7]) on the system G4ip (formerly LJT) for intuitionistic propositional logic.

The calculus of [1] has the disadvantage that some of the inference rules are non-invertible, thus forcing backtracking during proof search. In this paper we will make a further modification to this calculus, bringing it back closer to G4ip and making all the inference rules invertible. One reason for interest in such a calculus is that the invertibility of all rules is tied not to the classical nature of the logic but (we argue) to the linearity of the Kripke frames underlying the semantics.

- 1. A. Avellone, M. Ferrari, P. Miglioli, Duplication-free tableau calculi together with cutfree and contraction-free sequent calculi for the interpolable propositional intermediate logics. Technical Report 210-97, Dipartimento di Scienze dell'Informazione, Universita degli Studi di Milano, 1997. (Submitted to JLC).
- 2. G. Corsi, Completeness theorem for Dummett's LC quantified and some of its extensions, Studia Logica vol. 51, pp 3176-335, 1992.
- 3. M. Dummett, A propositional calculus with denumerable matrix, Journal of Symbolic Logic, vol. 24, pp 96-107, 1959.
- 4. R. Dyckhoff, Contraction-free sequent calculi for intuitionistic logic, Journal of Symbolic Logic, vol. 57, pp 795-807, 1992.
- 5. J. Hudelmaier, Bounds for cut elimination in intuitionistic propositional logic, Archive for Mathematical Logic, vol. 31, pp 331-354, 1992.
- 6. O. Sonobe, A Gentzen-type formulation of some intermediate propositional logics, Journal of Tsuda College vol. 7, pp 7-13, 1975.
- 7. A. S. Troelstra and H. Schwichtenberg, Basic Proof Theory, Cambridge University Press, 1996.

A Generalized Functional Completeness Proof for a Propositional Logic

John H. Serembus

Widener University John.H.Serembus@Widener.edu

The author, in [1] and [2] offered a novel proof of the functional (in)completeness of sets of connectives of a Propositional Language PL using a hexadecimal representation of the information contained in the truth tables for the binary connectives of PL he developed in [1]. He offered a decision procedure, which determines in every case, which subsets of the sixteen binary connectives of PL are adequate for expressing the remaining binary connectives of PL and which subsets are inadequate. Along the way, interesting aspects of truth tables with two variables were highlighted and explored.

In the present paper, the author extends the results of these two papers to apply to all n-ary connectives of PL (for n greater than or equal to 0). That is, the paper offers a decision procedure, which determines in every case, which subsets of the n-ary connectives of PL are adequate for expressing the remaining connectives of PL and which subsets are inadequate. This is also accomplished by using the hexadecimal notation introduced earlier. It provides a way of correlating n-ary connectives of PL (for n other than 2) with the information contained in the hexadecimal representation of the binary connectives. Along the way, interesting aspects of truth tables in general will be highlighted and explored. For example, a procedure will be offered that will deliver definitions of any n-ary connective in terms of other n-ary connectives when such a definition exists.

References:

[1] Serembus, John H., ^OFunctional Completeness Revisited^Ô, presented to the European meeting of the Association of Symbolic Logic. Vesprem, Hungary. August 9 to 15, 1992. [2] Serembus, John H., ^O A Study of Truth Table Notation of Propositional Logic^Ô, presented to the Conference on Notational Engineering, George Washington University, Washington, D. C. May 23 to 24, 1996.

A linear logic with maximal distributivity properties

Jean Leneutre*

École Nationale Supérieure des Télécommunications Département Informatique et Réseaux 46 rue Barrault, 75634 PARIS Cedex 13, FRANCE

Introduction. Starting from specification considerations, we introduce a new sequent calculus *DLL* standing for distributive linear logic, which extends linear logic [1] regarding the distributivity properties of multiplicative connectives wrt the additive connectives.

As a preliminary let us recall that in linear logic the \otimes connective is distributive wrt \oplus , as \Re over \oplus , but between \otimes and &, and between \Re and \oplus , only half-distributivity holds (i.e. $F \otimes (H\&K) \vdash (F \otimes H)\&(F \otimes K))$.

Distributivity and linearity. In order to underline the intuition behind DLL, let us consider once more the famous example of cigarettes packs initially introduced by J-Y. Girard. Given a formula A standing for the resource \$1, C for a pack of Camel, M for a pack of Marlboro, the fact that if a customer gives \$1 to a tobacco dealer then he can choose between a pack of Camel and a pack of Marlboro, is described by $A \rightarrow C\&M$. Now considering that the dealer offers a light (L) as a gift for the purchase of a pack, the two following formulas seem equally able to simulate the new transaction: $A \rightarrow (C\&M) \otimes L$ and $A \rightarrow (C \otimes L)\&(M \otimes L)$. Unfortunately these two intuitively equivalent alternatives are not logically equivalent due to the non complete distributivity of \otimes over &.

This example shows a divergence between our intuitive interpretation and linear logic. However we can easily notice that adding to LL the complete distributivity of \otimes over & for example, leads to obviously non-linear properties such that $(F\&F) \otimes (G\&G) \vdash (F \otimes F) \oplus (G \otimes G)$.

A "distributive" linear logic. An answer to this apparent divergence comes from a close examination of the distribution properties in the *Coherent semantic*. Indeed it appears that full distributivity is supported by some Coherent spaces, considering some restrictions on their constructions.

Starting from this remark, we aimed with DLL to build a "distributive" linear logic, which sequent calculus mimics these restrictions on Coherent spaces, thus staying linear. A drawback lies in the fact that supplementary distributivity is only available for some formulas making the notion of provability of DLL non stable over substitution of formulas. These restrictions nevertheless disappear when considering some interesting fragments of DLL.

Sequent calculus and properties. A full sequent calculus in a classical setting has been built for DLL. Technically the lost distributivity properties are recovered using sequents featuring a new metaconnective (".") corresponding to \otimes , which allows some flexibility wrt the traditional multiplicative conjunction. We give below the main rules responsible for the new features of DLL:

$$\frac{\vdash \Gamma, \Delta \vdash \Sigma, \Theta}{\vdash \Gamma, \Sigma, (\Delta, \Theta)} [concatenation] \qquad \frac{\vdash \Gamma[F, G]}{\vdash \Gamma[F \otimes G]} [\otimes] \qquad \frac{\vdash \Gamma[F] \vdash \Gamma[G]}{\vdash \Gamma[F\&G]} [\&]$$

In order to stay linear the rule for & has to be subjected to a side condition stating that there is no existential connectives (i.e. \oplus or \exists) in the "factorized" formulas. We proved the cut elimination property for *DLL* using a weak normalisation procedure.

Reference. [1] Jean-Yves Girard. Linear logic. Theoretical Computer Science, 50:1-102, 1987.

^{*}E-mail: leneutre@inf.enst.fr Phone: + 33 1 45 81 72 40

Denotation systems and relations

by Reinert A. Rinvold Hedmark College 2400 Elverum Norway

The denotation systems or dilators of Girard are generalized and defined in categories of sets with structure, CSS. Categories of sets with structure is an axiomatization of a category with structured sets as objects, and structure preserving functions as morphisms.

Equivalences between denotation systems, normal functors and functors having the normal form property, are shown for an important class of CSS. Also weak denotation systems which corresponds to functors preserving direct limits and pullbacks, but not equalizers, are defined. Similar equivalences are shown for weak denotation systems. Important examples of weak denotation systems exists in the qualitative domains of Girard.

When (\mathcal{K}, U) is a CSS, the forgetful functor $U : \mathcal{K} \to SET$ gives interesting examples of denotation systems. This is the functor which forgets the structure of the objects. When all morphisms of \mathcal{K} are embeddings, the functors $U^n : \mathcal{K} \to SET$ have denotation systems. In every CSS there is an internal language of relations and operations. A representation theorem for relations of (\mathcal{K}, U) is proved. This representation theorem uses the trace of U^n and shows that we can consider the trace of this functor as basic \mathcal{K} -relations of arity n.

-145-

Commutativity of the Exponentials in Mixed Linear Logics

Akim Demaille*

École Nationale Supérieure des Télécommunications — Département Informatique et Réseaux 46, rue Barrault, F-75634 Paris Cedex 13, France

Introduction. Mixed Linear Logics (MLL for short), are conservative extensions of both Linear Logic and Cyclic Non Commutative Linear Logic. As in Linear Logic, MLL have freedom in the management of the exponentials. Here we expose a system as strict with exponentials as it is for regular formulas.

Mixed Linear Logics. MLL are the meeting point between the works of J-Y. GIRARD, and those of M. ABRUSCI. They enclose both commutative and non commutative multiplicative connectives: \odot (*Then*) is the non commutative *Times*, \triangleleft (*Sequential*) is the non commutative counterpart of *Par*, and ';' is the non commutative ','. Such systems have been introduced by Philippe DE GROOTE [1] (limited to the multiplicative intuitionistic fragment) and Paul RUET [2] (who exhaustively studies the full classical system, and its intuitionistic pendant).

Yet the latter system has properties which we believe are non desirable: commutativity between serialized formulas is enabled by the exponentials $|A \odot | B \vdash | B \odot | A$. Our point of view is that commutativity should simply never be regained in a non commutative context. A simple minded example will fairly describe our motivation. (Note that the fact that a sequent seems "stupid" wrt to a subjective reading means nothing but the fact that-this particular reading is not tuned for the system.)

Exponentials and Commutativity. Consider the provable sequent $|A \otimes |B| \mapsto |(A \& B)$ in Linear Logic. It says "the result of taking a certain number of times balls of type A or of type B can be reached by taking a certain number of balls A, and, concurrently, of balls B". Common sense agrees.

Consider the equivalent sequent involving non commutative times, \odot : $|A \odot |B \vdash !(A\&B)$. It is provable in Paul Ruet's system. It could be read as "the result of writing a certain number of times" $\vdash \Gamma[?A;?B]$ [?-','] $\vdash ?\Gamma[!A]$ [Promotion]

As or Bs can be reached by writing a certain number of As, and then of Bs". Common sense disagrees. Its origin lays in the rule [?-', '] which enforces commutativity between serialized modalized formulas.

In order to get rid of this rule while keeping the basic properties (such as cut elimination) [promotion] must be controlled. Anyhow an unlimited [promotion] is actually too strong for our purpose: it allows to prove $|A; !B \vdash (A \odot B)$ from $!A; !B \vdash A \odot B$ which goes against our aims.

The Modified System.

A Mixed Classical Linear Logic. In order to have a viable system, we only have to limit *Promotion* to the cases where the context Γ is *free*, i.e., has no ';'. It turns out that this system in which modalized formulas have no more properties than "plain" formulas is a natural object, as proved by the straight forward phase semantics design (see the full extent of this paper). It is of course sound and complete wrt to phase spaces, and enjoys cut elimination.

- Philippe de Groote. Partially commutative linear logic: Sequent calculus and phase semantics. In V. M. Abrusci and C. Casadio, editors, Proofs and Linguistic Categories, Application of Logic to the Analysis and Implementation of Natural Language, pages 199-208, Roma, 1996. Cooperativa Libraria Universitaria Editrice Bologna.
- [2] Paul Ruet. Non-Commutative Logic and Concurrent Constraint Programming. PhD thesis, Université Denis Diderot, Paris 7, 1997.

^{*}demaille@inf.enst.fr, Tel: + 33 1 45 81 78 68, http://www.inf.enst.fr/~demaille/papers/

Algebraic Characterizations for Universal Fragments of Logic

by RAIMON ELGUETA

Polytechnic University of Catalonia

B. H. Neumann [2] proved in 1962 that those algebras which are free in some class with ω free generators are obtained by factorizing the absolutely free algebra with ω free generators by a fully invariant congruence. From here, he concluded that there is a one-to-one and onto correspondence between equational theories (in ω variables) and fully invariant congruences on the algebra of terms (in ω variables). More recently, II.J. Hoehnke [1] (see also the paper by R.W. Quackenbush [3])showed that an analoguous correspondence can be established between quasiequational theories and certain systems of congruences on the absolutely free algebra. Here we prove that such algebraic characterizations are available at least for (infinitary or not) first-order universal theories.

The main results are the following. Let L denote a set of function symbols (which includes constants) and a nonempty set of relation symbols, all of them of finite arity. When we say ϕ is a formula of L^- we will mean that ϕ is a formula of type L which does not contain the equality symbol. According to this convention, the notation $L_{\kappa\lambda}^-$ has the obvious meaning. If M and N are two L-structures, we say that Nis a filter extension of M, in symbols $M \subseteq N$, if they have the same underlying algebra and $\mathbb{R}^M \subseteq \mathbb{R}^N$ for all relation symbols R. Given a cardinal α , the set of all L-terms built up from a stock of variables of cardinality α forms an L-algebra, which we denote by $T_{L,\alpha}$. If A is an algebra of type L, we define the set of A-structures in \mathcal{K} , denoted by \mathcal{K}_A , as the class of members of \mathcal{K} on the algebra A. We define unions and intersections of members of \mathcal{K}_A in the obvious way, i.e., we join and meet the corresponding relations, respectively.

If \mathcal{A} is a class of *L*-algebras and \mathcal{S} is a class of *L*-structures whose underlying algebras are in \mathcal{A} , we say that \mathcal{S} is a *fully invariant system on* \mathcal{A} if for every $h: \mathcal{A} \to \mathcal{B}$, with $\mathcal{A}, \mathcal{B} \in \mathcal{A}$, and every M in $\mathcal{S}_{\mathcal{B}}$, we have that $h^{-1}(M)$ is in $\mathcal{S}_{\mathcal{A}}$. If, in addition, $\mathcal{S}_{\mathcal{A}}$ is closed under intersections for all $\mathcal{A} \in \mathcal{A}$, we say that \mathcal{S} is a *fully invariant closure system on* \mathcal{A} ; and if $\kappa \geq \omega$ is a regular cardinal and $\mathcal{S}_{\mathcal{A}}$ is also closed under unions of (κ, \Box) -directed systems, we say that the fully invariant closure system \mathcal{S} is κ -algebraic (a (κ, \Box) -directed system is an upward κ -directed poset (P, \leq) and a structure M_p for each $p \in P$ such that for all $p, q \in P$, if $p \leq q$ then $M_p \subseteq M_q$). If \mathcal{S} consists of a unique structure M, then we simply say that M is a *fully invariant structure*; so M is fully invariant if every algebra homomorphism $h: \overline{M} \to \overline{M}$ is a homomorphism from M into itself, i.e., it satisfies that $M \subseteq h^{-1}(M)$.

Theorem. The following holds.

- (i) There is an order-isomorphism between the poset of universal atomic theories of $L_{\omega\omega}^-$, ordered by inclusion, and the poset of fully invariant structures on $T_{L,\omega}$, ordered by \sqsubseteq .
- (ii) If $\kappa \geq \omega$ is a regular cardinal, there is an order-isomorphism between the poset strict universal Horn theories of $L_{\kappa\kappa}^-$ and the poset of κ -algebraic fully invariant closure systems on the algebra $T_{L,\kappa}$, both ordered by inclusion.
- (iii) There is an order-isomorphism between the class of strict universal Horn theories of $L_{\infty\infty}^-$ and the class of fully invariant closure systems on $\{T_{L,\kappa} : \kappa \geq \omega\}$, both ordered by inclusion.
- (i) There is an order-isomorphism between the class of universal theories of $L_{\infty\infty}^-$ and the class of fully invariant systems on $\{T_{L,\kappa} : \kappa \ge \omega\}$, both ordered by inclusion.

The following are open problems: 1. Can a universal theory T of $L_{\kappa\kappa}^-$ be characterized in terms of the order-structure of the posets $Mod(T)_A$? 2. What is the algebraic counterpart of universal theories of $L_{\kappa\kappa}^-$?

- H.J. Hoehnke, Fully invariant algebraic closure systems of congruences and quasivarieties of algebras, in L. Szabo and A. Szendrei (eds.), "Lectures in universal algebra, Szeged '83", Colloq. Math. Soc. J. Bolyai 43, North-Holland, Amsterdam, 1986; pp. 189-207.
- [2] B.H. Neumann, "Universal algebra", Lecture Notes, Courant Institute of Math. Sci., New York University, 1962.
- [3] R.W. Quackenbush, Completeness theorems for universal and implicational logics of algebras via congruences, Proc. of the AMS 103 (1988), 1015–1021.

Natural Ordinal and The Worst Reduction Sequence in Natural Deduction and Typed Lambda Calculus

Daniel Durante Pereira Alves

State University of Campinas – (UNICAMP) Campinas, S.P., Brazil

General Proof Theory is the part of logic that deals with the proof notion, trying to characterize it in general terms and to study some of its structural properties. Many of those properties are obtained when we get to put proofs in a certain *normal form*. Gentzen's Cut Elimination Theorem (Hauptsatz) for Sequent Calculus, and the results about Weak Normalization and Strong Normalization for Natural Deduction and Typed Lambda Calculus establish, in a certain way, that proofs expressed in these systems can be put in that normal form.

In Natural Deduction a *derivation is* in the *normal form* when it doesn't possess any *maximal formula*, a formula occurrence consequence of introduction or absurdity rule and major premise of elimination rule. Otherwise, in typed lambda calculus a *term* is in the normal form when it doesn't possess any *redex*, a subterm of type: $(\lambda x.P)Q$. We call *reduction* the operation that eliminates a maximal formula / redex of a derivation / term, and *reduction* sequence the sequence of derivations / terms π_1 , π_2 , π_3 ,..., in which π_1 is π and (for *i*=1, 2, 3,...) π_{i+1} is obtained from π_i through a reduction.

Our main goal is to effectively define a numeric attribution that uniquely associates to each derivation / term π a **natural number** $o(\pi)$, and to demonstrate in a syntactic way that for every derivation / term π ', obtained from π through a **reduction**, we have: $o(\pi') < o(\pi)$. An attribution with those characteristics represents a finite superior boundary for the length of all reduction sequences and trivially supplies the **Strong Normalization Theorem** for the systems that possess it. Besides it, $o(\pi)$ is defined to coincide with the length of a certain reduction sequence. Such coincidence guarantees to $o(\pi)$ the quality of being the **smallest superior boundary** for the reduction sequences. It's because any attribution that is smaller than $o(\pi)$ will be smaller than the length of a specific reduction sequence. Consequently this reduction sequence whose length coincides with $o(\pi)$ is the longest reduction sequence for π or, as we are denominating, the worst reduction sequence for π .

The difference between our approach and the one we have found in some few papers in the literature on the subject is that those papers always appeal for semantic demonstrations. Nevertheless our development is completely produced in a syntactic way.

Classical Formulas as Types of lambda nu-calculus

Martin Bunder, Sachio Hirokawa

Dept. of Mathematics, University of Wollongong, Australia Computer Center, Kyushu University, Japan

Martin_Bunder@uwo.edu.au hirokawa@cc.kyushu-u.ac.jp

.....

The formulas-as-types isomorpism tells us that every type of a closed lambda-term can be interpreted as a theorem of intuitionistic implicational logic and every proof of such a theorem can be represented by a lambda-term. Certain proof reductions correspond to reduction rules for the lambda-calculus.

Extensions of the isomorphism to classical logic have been proposed by e.g., Gabbay and de Quieroz, Griffin, Murthy, Parigot. We propose a lambda nu-calculus, which has a nu-introduction rule as follows:

Gamma,x:a->b |- M:a

Gama |- nu x.M:a

We consider the following three kinds of reduction rules, which follow naturally from the proof reductions.

 $(nu_g) C[nu x.M] \rightarrow nu x. C[M[x:=\u.xC[u]]]$

(nu_h) nu x.C[xM] -> nu x.M if any free variable in M is free in C[XM]

 (nu_n) nu x.M -> M if x does not occur free in M

The reduction rule (nu_g) represents the postponement of the nu-operator If we postpone all nu-operaters to the extreme left, we are infact postponing all strictly classical inferences to be done last. Therefore, we can separate a proof figure into the intuitionistic part and the classical part.

However, the reduction rules (nu_g) and (nu_h) are too general to have the Church-Rossoser Property. We analyse the cause of the failure of the Church-Rosser, and obtain a reduced lambda nu-calculus in which the context is restricted to the form C[]=[]N for (nu_g) and C[]=[]N1...Nn for (nu_h) .

To prove the Church-Rosser Property for the reduced lambda nu-calculus, we introduce a substitution of the form $M[x^*:=x(^*N)]$, where each subterm of the form xQ is replaced by x(QN) inductively. It is a combination of (nu_g) reduction and a series of beta-reductions. With this substitution, we formulate a Parallel Reduction and prove the Diamond Property for the Parallel Reduction. Then we prove the Church-Rosser Property for the reduced lambda nu-calculus.

$\mathbf{Y}\Omega_3$ is almost easy

Jan Kuper

University of Twente, Department of Computer Science P.O.Box 217, 7500 AE Enschede, The Netherlands e-mail: jankuper@cs.utwente.nl

In [2] Berarducci and Intrigila raise the question whether $\Upsilon\Omega_3$ is easy, i.e., whether for all closed terms M the equation $\Upsilon\Omega_3 = M$ can be consistently added to the lambda calculus. They give a partial answer to this question (notation: we write $\operatorname{Con}(A=B)$ if the equation A=B can be consistently added to the lambda calculus; BT(X) denotes the Böhm tree of X):

- $\mathbf{Y}\Omega_3$ is n.f.-easy, i.e., $\mathbf{Con}(\mathbf{Y}\Omega_3=N)$ for every closed normal form N,

- if $BT(M) \not\subseteq BT(\omega_3)$, then $Con(Y\Omega_3=M)$.

In this paper we only slightly strengthen this result. The more important part is the simplicity of the proof technique. We prove the following theorem (notation: λ denotes the untyped lambda calculus, λ^+ is $\lambda + \Omega_3 M = M$):

Theorem. If $\lambda^+ \not\vdash M = \omega_3$, then $\operatorname{Con}(\mathbf{Y}\Omega_3 = M)$. Furthermore, $\operatorname{Con}(\mathbf{Y}\Omega_3 = \omega_3)$.

Remark. There do exist terms for which the condition is not fulfilled, e.g.,

 $M \equiv \mathbf{Y} (\lambda u. \lambda x. x (\Omega_3 u (\lambda yz. x)) (\Omega_3 u (\lambda yz. x))).$

On the other hand, the condition of the theorem is fulfilled for, e.g., $M \equiv \lambda x. x \Omega \Omega$. Notice that for both terms M we have $BT(M) \subseteq BT(\omega_3)$.

Proof technique. The technique to prove the above theorem is simple and consists of two stages. First we extend the lambda calculus with the following reduction rule:

if $\lambda^+ \vdash M = M'$, then $\Omega'_3 M' \xrightarrow{+} M$, where Ω'_3 is of the form Ω_3 , $\Omega_3 \omega_3$, $\Omega_3 \omega_3 \omega_3$, \cdots ,

and we show that the resulting calculus has the Church-Rosser property (straightforward).

Second, we use the Jacopini technique (see [1, 3]) for this extended calculus in the formulation as given in [4]. That is to say, in order to show $Con(Y\Omega_3=M)$, we only have to show (straightforward) that

- $\mathbf{Y}\Omega_3$ is operationally less defined then M, i.e. if $F(\mathbf{Y}\Omega_3)$ has a normal form, then FM has the same normal form (see [5]),
- $\mathbf{Y}\Omega_3$ is proof replaceable by M, i.e., if $F(\mathbf{Y}\Omega_3) = F'(\mathbf{Y}\Omega_3)$, then there is a G such that $G(\mathbf{Y}\Omega_3)M = FM$ and $GM(\mathbf{Y}\Omega_3) = F'M$ (see [4]).

- [1] Baeten, J. and B. Boerboom (1979), Ω can be anything it should not be, *Indag. Mathematicae* 41, 111 120.
- [2] Berarducci, A., I. Intrigila (1993), Some new results on easy lambda terms, Theoretical Computer Science 121, 71 88.
- [3] Jacopini, G. (1975), A condition for identifying two elements of whatever model of combinatory logic, in: Böhm, C. (Editor), Lambda Calculus and Computer Science, Springer Verlag, Berlin, 213 - 219.
- [4] Kuper, J. (1997), On the Jacopini technique, Information and Computation 138, 101 123.
- [5] Plotkin, G.D. (1977), LCF considered as a programming language, Theoretical Computer Science 5, 223 255.

Title: MIM Logik Author: Cyrus F. Nourani Affiliation: METAAI and UCSB E-mail: Project_METAAI@CompuServe.com

MIM- The IM Morphed Computing Logic Logics for computing for multimedia are new projects with important computing applications since [Nournai 96a]. The basic principles are a mathematical logic where a Gentzen or natural deduction [Prawitz 65] systems is defined by taking arbitrary structures and multimedia objects coded by diagram functions. By transmorphing hybrid picture's corresponding functions a new hybrid picture is deduced. The techniques can be applied to arbitrary topological structures. Multimedia objects are viewed as syntactic objects defined by functions, to which the deductive system is applied. Thus we define syntactic morphings to be technique by which multimedia objects and hybrid pictures are homomorphically mapped via their defining functions to new hybrid pictures. Functorial structure morphings can be new application areas. The deduction rules are a Gentzen system augmented by Morphing and Transmorphing. The logical language has function names for hybrid pictures. The MIM Morph Rule - An object defined by the functional n-tuple <f1,...,fn> can be Morphed to an object defined by the functional n-tuple <h(f1),...,h(fn)>, provided h is a homomorphism of abstract signature structures[Nourani 93c]. The MIM TransMorph Rules- A set of rules whereby combining hybrid pictures p1,...,pn defines an Event {p1,p2,...,pn} with a consequent hybrid picture p. Thus the combination is an impetus event. The lanaguges and MIM ruels are applied to algebraic structures. The deductive theory is a Gentzen system in which hybrid pictures are named by parameterized functions; augmented by the MIM morph and transmorph rules. The Model theory is defined from Intelligent Syntax Languages[Nourani 95,96]. A computational logic for intelligent languages is presented in brief with a soundness and completeness theorem in [Nourani 96b]. The idea is to do it at abstract models syntax trees without specifics for the shapes and topologies applied. We start with L\u01, \u03c6, and further on might apply alternate well-behaved infinitary languages .

Theorem. MIM Logic is sound and complete.

[Nourani 95] Nourani, C.F., "Automatic Models From Syntax," Scandinavian Linguistics, Oslo, Norway, January 1995.

[Gentzen 43]Gentzen, G, Beweisbarkeit und Unbewiesbarket von Anfangsfallen der trasnfininten Induktion in der reinen Zahlentheorie, Math Ann 119, 140-161,1943.

[Prawitz 65] Prawitz, D, "Natural Deduction: A proof theoretic study..Stokhom, Almqvist and Wiksell. [Nourani 96a]Nourani, C.F., "Intelligent Multimedia," 1996. Poster announcement To the International Conference Computational Intelligence, Monash University, Victoria, Australia, Ferbruay 1998. [Nourani 96b] Nourani, C.F., "Slalom Tree Computing," AI Communications, December 1996, IOS Press, Amsterdam.

Copyright © Photo reproduction for noncommercial use and conference publications is permitted without payment of royalty provided that the Journal reference and copyright notice are included on the first page.

LC '98 Book of Abstracts

Problem of metalogical basis of being in contemporary philosophy

Olga N. Bushmakina

Philosophy department, Udmurt State University, 1, Universitetskaja str., Izhevsk 426034 Russia semir@uni.udm.ru (for O.Bushmakina)

Hermeneutics and postmodernism are offered the problem of basis of being in two different approaches. Absolute positiveness of being is determined through the ontological difference or ambiguousness of being. Being is divided into the being as reality and being as notion. Transcendental basis is united being into whole, but it is eliminated out the limits of knowledge's system. The basis of system is stated metalogical. At postmodernism being as absence (vacant) exists as absolute negativeness until any possibility of mentality. We do not think it. It exists as metalogical one. Absolute negativeness is determined over the absolute positivesness, and on contrary. The basis of knowledge's system is eliminated out of its limits. The logical basis of system is not over. If system have itself basis, then it is over. Basic principle must be undoubted, or tautological. It exists into limits of this system. Paradoxical affirm unites positive and negative statements together, and therefore it is eliminated out of the limits of knowledge's system. Tautology affirms basis and except moreover. The being as basic principle of system exists as tautological principle. It is identity with existence and mentality. The being as mentality may be moved "from" itself "to" itself "into" itself. Indefinite existence of being may be demonstrated as Dasein or being into "place". The being of jointness is coincidence of being itself. The location of being exists as "place-of-determination". For description of being as whole "place-of-determination" must exists as final infinite and as definite indefiniteness, that is point. Self-coincidence of being in point is realised, when it is vacant and full simultaneously. The being passes as full through the "pierceness" of being as vacant one identity of being as full one and being as vacant one as are affirmed into self-coincidence of being as self-definition. The being is self-defined without transcendental entity. It is determined, when it passed through the its "pierceness" ("chink"). Therefore, basis of being exists into limits itself. Knowledge's system becomes logical discourse.

Existential Structures of Language and Sense: the Problem of Description

Irina A. Semakina

Philosophy department, Udmurt State University, 1, Universitetskaja str., Izhevsk 426034 Russia semir@uni.udm.ru

Philosophical aspect of logic proposes connection of notion "being" as the basic category of philosophy with notion "language". With according to this preposition it is necessary to consider them in identity. It gives us a possibility to investigate the sense's being as a movement from being to language and from language to being. This movement is the description of "language of being " as "being of language". The working out of notion "being" by M.Heidegger, which understood Language as home of Being, leads us to description of existential structure of language. M.Heidegger found the given structure in grammatics. But he only projected the way of such research. We suggest that this way permits to consider language as language's reality but not sign's system which leads us to problems of sensible discourse. Idea of language as sign's system refers to limit existing between language and objective world, and all attempts to understand entity of language have results representation about language as about empty form, nonsensible form. Within the framework of hermeneutics language's being or language's reality is represented as space of time (time's space) which can be definite by grammatical terms. The problem of sense which we have in logic and in philosophy is connected with definition of place where sense exists. We suggest that place of sense can be described by means of two categories -"text" and "proposition". These notions will characterise sense's way of existence which will be understood as language's activity. "Text" can be considered as activity of deriving, "proposition" as activity of proposition (offer). Thus, sense as foundation of language reality derives and proposes itself. It derives itself because sense is connected with predicated core of proposition. Sense is active subject, setting on foundation language, which tells about itself.

WITTGENSTEIN'S PRE-"TRACTATUS" PHILOSOPHY OF MATHEMATICS

MICHAEL B. WRIGLEY

CENTRO DE LOGICA, UNIVERSIDADE ESTADUAL DE CAMPINAS, BRAZIL <u>MWRIGLEY@TURING.UNICAMP.BR</u>

Wittgenstein was first drawn into philosophy by the ideas of Frege and Russell, in particular their logicist philosophy of mathematics. Yet almost from the beginning, he identified fundamental problems with this account of mathe- matics, and in the "Tractatus" presents an account of mathematics that is very different from logicism. In this paper I focus in detail on the period before the "Tractatus", and show that there is clear evidence that although he criticised the specific versions of logicism put forward by Frege and by Russell, Wittgenstein himself continued to accept the basic logicist thesis, that mathematics is part of logic, throughout this period. In particular, although by November 1913 Wittgenstein had already seen clearly that Russell's Axiom of Infinity and Axiom of Reducibility are not logical propositions, a careful analysis of the "Notebooks" and other pre-"Tractatus" texts shows clearly that he did not take this to undermine the basic logicist thesis, but only the specific version proposed by Russell. I argue that as late as June 1915 there is clear evidence of Wittgenstein's continued adherence to logicism, and indeed in the surviving pre-"Tractatus" texts, the latest of which dates from January 1917, there is no clear, unequivocal evidence of a rejection of logicism. I chart the modifications of Wittgenstein's version of logicism and conclude by making some suggestions concerning how he came to finally reject logicism completely and propose a radically different account of mathematics in the "Tractatus".

A Comparison Between the First Hypothesis of Plato's Parmenides and the Undecidable Sentence of Kurt Godel

RNDr. Blazena Svandova

Department of Philosophy, Faculty of Education, Masaryk Univerzity, Brno 60300, Porici 31, tel. (42)(05)43129386 svandova@jumbo.ped.muni.cz

In the second half of the twentieth century there has been a revival of interest in Plato's Parmenides. According to Egil Wyller, at the apex of Plato's way upwards, there is TO HEN as HEN or the First Hypothesis (FH). Wyller conjenctures, his interpretation being very similar to that of W. Lutoslavski, namely that, from the outset of the Second Hypothesis (the First Hypothesis puting aside) in the Parmenides Plato provides a table of pure reason cathegories and thus arrives at a systematic concept theory. Assuming that one accepts and develops this view, one can claim that TO HEN as HEN of the First Hypothesis is neither in any cathegory (genus) in Aristotle's sense (there is no higher genus) nor a concept in Bolzano's sense (it lacks a structure). Nor can not we consider TO HEN as HEN a sign, because, according to the lingvistic tradition stemming from Ferdinand de Saussure's work, it lacks a signification, since a sign is an inseparable dyad of a sign vehicle and a signification. For these reasons TO HEN as HEN is neither a sign nor a cathegory nor a concept. We can name it only by leaving it unnamed. That is why TO HEN as HEN is a limit point of a language the sense of which we can consider only as a missing sense. TO HEN as HEN is the point of vanishing expressivity in language; it draws our attention to the basic incompleteness of every language. It is this point which enable us to compare the FH with Godel's undecidable sentence which also causes the incompleteness of a certain kind of a formal language. Boths Plato and Godel start from the syntactic structure of a certain kind of language they use. For TO HEN as HEN is not structured; it can be treated as being without sense or beyond sense, pointing to the ineffable, because of lacking ability anything to express. But that is why the law of identity stops being applicable: such TO HEN as HEN can not be identical with itself. It is no longer a concept and it is not a part of a language. Despite to this TO HEN as HEN is being, but only in some extra-lingvistic sense. Several parallels between Plato and Godel may be drawn. One can compare Godel's view that the undecidability of a sentence causes its unprovability provided that the law of contradiction holds true with Plato's contention that TO HEN as HEN - since it lacks structure causes the total absence of a sense provided that the law of identity holds true. We make also comparison between the incompleteness of Godel's system due to the impossibility of proving all true sentences within it and Plato's dialectic in a language is also incomplete in it. Naturally, the parallel between Plato and Godel is not perfect. The paradoxical character of the undecidable sentence disappears, when we judge its truthfulness from the outside of a formal system (as we can do for every formal system which is a part of a language as such). However, the paradoxical nature of TO HEN as HEN is insurmountable. We can not express in a language the sense of such an expression of a language the syntactic structure of which is not to be able to bear any sense. References: 1. Godel Kurt, (1931), Uber formal unentscheidbare Satze der Principia Mathematica und verwandter Systeme I, Monatshefte fur Mathematik und Physik, 38, 173-198 2. Plato, especially dialogues Parmenides and Sofistes 3. Svandova B., Epistemic Paradoxes, doctoral thesis, Masaryk Univerzity, Brno

CONCEPTS OF VALIDITY

Dr. Dalia Drai

Department of Philosophy, Ben Gurion University of the Negev, Beer Sheva, Israel <u>ddrai@bgumail.bgu.ac.il</u>

In most logic textbooks, the validity of an argument in natural language is defined as follows: an argument is VALID iff there is no possible state of affairs in which the premises are true and the conclusion is false. This notion of validity is generally considered too broad for logical purposes and the following limited version is often suggested: an argument is FORMALLY VALID iff it is valid in virtue of its logical form. i.e. every argument that has the same logical form is also valid. Of course, if an argument is formally valid, it is also valid (I do not deal here with the reciprocal, i.e. whether an argument that is valid is also formally valid, see Sainsbury 1991).

The first definition given above uses modal concepts such as possible states of affairs. The second definition uses, in addition, the concept of logical form and with it the distinction between logical and non-logical words. Both sets of concepts, the modal and the formal, raise difficult philosophical problems, but we still have to use at least one of them in order to define validity. I suggest that we should define validity with the aid of the formal concepts only without using the modal concepts, as follows: an argument is SUBSTITUTIONALLY VALID iff there does not exist any argument with the same logical form with true premises and a false conclusion.

The body of the paper deals with the relationship between substitutional validity and formal validity. I raise two questions. 1) If an argument is formally valid is it also substitutionally valid? To this question the answer is yes, and the reasoning is quite straightforward. The harder question is the second: 2) If an argument is substitutionally valid is it also formally valid? I expose the assumptions about the richness of our language and the complexity of the world that are needed to support an affirmative answer to this question.

The conclusion I draw in this paper is that given these assumptions, we do not need the problematic modal concepts in order to understand the notion of formal validity in natural language.

References: Sainsbury, Mark. 1991. Logical Forms: An Introduction to Philosophical Logic.

Logical analysis of models of the fundamental conceptions of the modern physics.

Zelbert M.I., Zadykhailo D.K.

Donetsk Phylosofical society.

e-mail: public@library.donetsk.ua

Formal calculation for the description of the objects of material word is developed. Objects and its relations are considered as abstract algebra with the set of binary operations similiar to the functions of algebra of logic. These binary operations generate the order relations that converts this algebra to the structure.

The question about rerpesentations of these algebraic systes as boo- leans of finite sets is considered. There is showed that the main spase and time proeprties even in the two-elements-set subset representation are saved. Algebra of logic itself is treated as the secondary thing for the physical objects algebra. We interprite the propositions as the names of realy existent objects and the deduction rules are the nature laws.

Connections of the unique objects in the system, closity and inclosity questions are researched. Also there is researched the role of scale periodici- ty in the constructing of discret space-time models. In this constructing the twist operation is used, that allows to build new elementary space-time objects and change its topological properties.

There is showed that developed furmal calculation allows to analise the the fundamental conceptions of modern physics.

On the ground of given formalism the formula of fine-structure constant is deduced. This fact shows, that developed formalism is something more that simple euristic principle.

Husserl's two notions of completeness

Jairo Jose da Silva Affiliation Dept. of Mathematics, University of the State of Sao Paulo at Rio Claro, Brazil

jairomat@linkway.com.br

In 1901 Husserl addressed the Mathematical Society in Goettingen in order to present his views on two problems related to a question that had occupied him for at least ten years, i.e. since the publication of "Philosophie der Arithmetik" in 1891: how to justify the use of "imaginary" elements (like negative, rational, irrational and imaginary numbers) in mathematics? Husserl's choice of Hilbert's formalism to approach the problem of imaginary elements originated a series of subsidiary questions, such as: what sense does it make simply to add to a system of axioms a set of new axioms defining new "ideal" elements that are non-existing from the perspective of the old system? In order to prove facts about a certain domain of objects, are we justified in using elements that are provably non-existing from the perspective of the axiomatic system describing this domain? Under which conditions can we do this? In what sense and under which conditions does a formal system of axioms define a "domain of existence"? Is consistency, besides being obviously a necessary, also a sufficient condition for the acceptance of imaginary elements in mathematics (as Hilbert claimed)? Can a statement that is consistent with, but unprovable in an axiomatic system be "true" in the "domain" of this system? These questions can be subsumed under two major problems, which according to my reading of the sketches prepared by Husserl for the Goettingen addresses (there were two of them), published in volume XII of "Husserliana", are the following: to characterize what an "imaginary" object is from the perspective of a formal axiomatic system (the ontological problem), and to investigate the conditions for derivability to be an equivalent of truth in formal axiomatic systems (the epistemological problem). In order to deal with these questions Husserl introduced two related notions, which he called relative and absolute definiteness, that offered him the keys to the solution of both the ontological and the epistemological problems. The second notion, that of absolute definiteness, was identical with Hilbert's notion of deductive or syntactic completeness, whereas the notion of relative definiteness was a particular case of it. In this paper I intend to show how, and why, Husserl arrived at these notions and their relevance, according to Husserl, to the problem of imaginary elements in mathematics. I also argue, contrary to most commentators, that it was the first, not the second notion that Husserl considered germane to the notion of completeness involved in Hilbert's axiom of completeness which, as we will show, shares with the property of relative definiteness the role of imposing a certain closure on the "domain" of a formal axiomatic system. This seemed to be enough reason to raise Husserl's suspicion, aired in a footnote to paragraph 72 of "Ideen I", that Hilbert had actually found inspiration in his ideas for the axiom of completeness proposed in "Uber den Zahlbegriff". Most commentators find this suspicion based on a confusion which according to them Husserl makes between syntactic and semantic completeness. One of the conclusions of this paper is that such confusion cannot be so readily attributed to Husserl. This paper intends also, though only in passing, to throw some light on the question of the influence of Frege's criticism of "Philosophie der Arithmetik" on Husserl philosophical development, since I believe that the problem of imaginary elements in mathematics is more relevant to understand Husserl turning his back on "psychologism" than Frege's criticism of Husserl's earlier work.

Inversism-the 4th school of mathematical logic

Zhou Xunwei

Institution: Beljing Union University Address: 5 Beixiange Street, Beijing, China Email: compubuu@public.bta.net.cn

Abstract

Inversism is constructed by the author. It is different from logicism, intuitionism and formalism. In inversistic logic, there are 4 compounders and 7 connectives. The 4 compounders are \neg (negation), \land (conjunction), \lor (disjunction), \circledast (exclusive or). The 7 connectives are χ (inverse conjunction), \because (inverse disjunction), \gtrless (inverse implication), \rightleftharpoons (inverse equivalence), \preccurlyeq (inverse proper implication), χ (inverse quartic conjunction), \clubsuit (inverse exclusive or).

Each connective has an inductive composition truth table and two decomposition truth tables. Take \gtrless as an example. The inductive composition truth table of \gtrless is shown in Table 1, the decomposition truth tables are shown in Table 2 and Table 3.

Table 1 A B A <b< th=""><th>Tab A<b< th=""><th>le 2 A</th><th>В</th><th>Tab AZE</th><th>À</th></b<></th></b<>		Tab A <b< th=""><th>le 2 A</th><th>В</th><th>Tab AZE</th><th>À</th></b<>	le 2 A	В	Tab AZE	À	
FF	Т	F	F	F/T	F	F	F/T
FT	Т	F	T	F/T	F	T) F/T
TF	F	Ť	F	F/T	Т	F	F
TT	T	- T	Т	T	Т	T) F/T

"F/T" in Table 2 and Table 3 means "unable to determine whether it is true or false". Table 1 is the same with the truth table of \rightarrow in classical logic. Table 2 tells us that if AZB is true and A is true then B is true, otherwise we can't determine the truth value of B. So, Table 2 describes affirmative expression of hypothetical inference. Likewise, Table 3 describes negative expression of hypothetical inference. Table 1 is from the truth values of A and B to that of AZB. Table 2 and Table 3 are from the truth value of AZB to those of A or B. They are mutually inverse truth tables.

In inversistic set theory, there are 4 compounders and 7 connectives. The 4 compounders are \sim (complement), \land (intersection), \cup (union), \oplus (circular sum). The 7 connectives are \overrightarrow{n} (Inverse Intersection), $\overrightarrow{\upsilon}$ (inverse union), \preceq (inverse Inclusion), $\overrightarrow{=}$ (inverse equivalence), \rightleftarrows (inverse proper Inclusion), $\overrightarrow{\pm}$ (inverse quartic intersection), $\overrightarrow{\bullet}$ (inverse circular sum).

All Syllogistic Arithmetized à la Leibniz

Vladimir Sotirov

Institute of Mathematics and Informatics, Bulgarian Academy of Sciences, 8, Acad. G.Bonchev Str., Sofia 1113, Bulgaria vlsot@math.acad.bg; sotirov@fmi.uni-sofia.bg

The first Leibniz trial to arithmetize syllogistic explored divisibility of integers; it was unsuccessful. The second one used pairs of co-prime numbers and was successful, but soffisticated, moreover, it did not envelop term negation or term conjunction. In this paper we justify the viability of the earlier Leibniz idea.

Arithmetizations of the traditional syllogistic. The language of the classical propositional calculus is expanded with term variables together with two binary term relations: \mathcal{A} and \mathcal{I} . Syllogistic atoms are all formulae of the kind $s\mathcal{A}p$ ('Every s is a p') or $s\mathcal{I}p$ ('Some s is a p') with s and p being terms. A syllogism is any propositional formula with all propositional letters replaced by syllogistic atoms. The standard semantics of the Aristotelian syllogistic is the following: if S and P are arbitrary non-empty sets, $s\mathcal{A}p$ is translated as $S \subseteq P$, $s\mathcal{I}p$ as $S \cap P \neq \emptyset$, and the formal propositional connectives are replaced with their informal analogues. This semantics we name Scholastic following Leibniz himself. The second semantics in set theory is named Leibnizian being partially accepted by him. When a non-empty set U is given, term variables are evaluated by subsets of U different from U, $s\mathcal{A}p$ is interpreted as $S \supseteq P$, and $s\mathcal{I}p$ as $S \cup P \neq U$. In both (equivalent) semantics a syllogism is called to be true when its translation is a true sentence about sets.

Now, in the Scholastic arithmetical interpretation terms will be evaluated by integers greater than 1. Being b and c the values of s and p, sAp is replaced with b | c ('b is a divisor of c'), and sIp with 'g.c.d. (b, c) > 1'. Call the syllogism arithmetically true in the Scholastic sense if the sentence so obtained is an arithmetical truth.

The second interpretation in arithmetic is called *Leibnizian*. Let u > 1 be an integer, and let b < u, c < u be arbitrary its divisors. If terms s, p are evaluated by b and c, replace sAp with 'b is divisible by c', and sIp with 'l.c.m.(b, c) < u'. The syllogism is said to be arithmetically true in the Leibnizian sense if the sentence so obtained is an arithmetical truth for any u > 1.

Theorem 1: Both arithmetical interpretations are adequate to the traditional syllogistic.

Arithmetizations of syllogistic with negative terms. Expand the language of the syllogistic by a term operation -; -t is read 'non-t'. In both settheoretical semantics, a universal set U is introduced. Terms are evaluated by subsets of U different from \emptyset and U. If the value of t is T, the value of -t is \overline{T} . In both arithmetical interpretations a Universe number u > 1 without multiple factors is introduced, and: 1) all evaluating integers are divisors of u different from 1 and u; 2) if the value of a term t is the integer d then the value of -t is $\frac{u}{d}$.

Theorem 2: Both arithmetical interpretations are adequate to the syllogistic with term negation.

Arithmetizations of syllogistic with term composition. All Boolean term operations become definable in term negation and term composition \circ . Given a Universe U, the evaluation of a term t in U is the set obtained after replacing all term variables in t with arbitrary subsets of U (possibly empty or U) as well as all term operations with their corresponding set-theoretical operations. Namely, in the Scholastic semantics \circ is interpreted as an intersection, and in the Leibnizian one it is a union. In the Scholastic arithmetical interpretation, if b and c are the values of s and p, $s \circ p$ is modelled by g.c.d. (b, c), and in the Leibnizian one by l.c.m. (b, c).

Theorem 3: Both arithmetical interpretations are adequate to the syllogistic with all Boolean term operations.

Note. The full text of the paper is submitted to the J. Appl. Non-Class. Logics.

Many-valued modal logics beyond \Box and \diamond

Christian Fermüller Dept. of Compter Science 185.2 Vienna Univ. of Technology chrisf**0**logic.at

The idea to generalize possible world semantics to a many-valued context is not new. Thomason, Morgan, Ostermann, Morikawa and others have defined many-valued modal logics. The most advanced treatment of the topic consists in a series of papers by M.C. Fitting. All authors consider the generalization of classical (i.e., two-valued) evaluation of formulas in possible worlds to many-valued evaluations. However, they only consider rather straightforward counterparts of the classic modal operator \Box ("necessarily") and its dual \diamond ("possibly"). In contrast, we want to emphasize that modal operators that do *not* correspond to such modalities arise naturally in different many-valued contexts. In consequence we introduce the concept of "distribution modalities" in analogy to distribution quantifiers.

Moreover, we aim at a very general, uniform and modular representation. Prefixed signed tableaux, as presented by Fitting, turn out to be an almost perfect tool for this purpose. We provide corresponding soundness and completeness theorems.

This work can also be considered another exercise in the very topical subject of "combining logics", most inspiringly propagated, e.g., by D. Gabbay. Indeed we like to view the introduced class of logics as the space of all possible combinations of the following three building blocks:

- an arbitrary finite-valued "base logic",
- any possible worlds semantics with standard accessibility relation, and
- (most importantly:) an arbitrary collection of distribution modalities.

Once the particular choice for these three parameters is made, a sound, complete and even optimized tableau based calculus for the corresponding logic can (in principle) be generated automatically using procedures like those implemented in the system MULTLOG. The many-valued modal logics described by Thomason, Morgan, Ostermann, and others appear as simple instances of our general frame.

On models for first-order fuzzy logics.

Igor Rents

Institute of Computational Technologies Russian Academy of Sciences acad. Lavrentjev ave. 6, 630090 Novosibirsk, Russia Phone: +7-3832-333521 Fax: +7-3832-351242 email: ir@net.ict.nsk.su

Lee considered in [Lee 1972] a satisfiability notion for first-order fuzzy logic and proved that a formula ϕ is satisfiable (unsatisfiable) in fuzzy logic if and only if it is satisfiable (unsatisfiable) in two-valued classical logic. In his paper a formula ϕ was defined as valid in a model iff its meaning was between 0.5 and 1, and as not valid in a model iff its meaning was between 0 and 0.5. In our paper we present more general result and simpler arguments to clarify why satisfiability in fuzzy logic coincides with satisfiability in two-valued logic.

The language of the first-order fuzzy logic \mathcal{L} is the same as the language of classical logic. In this logic predicate symbols are interpreted by fuzzy relations and logical connectives are defined as in Zadeh's fuzzy logic [Zadeh 1965], i.e. conjunction of two formulae $\phi \wedge \psi$, negation of a formula $\neg \phi$ and quantifier formula $(\forall x)\phi$ are interpreted by $min(\phi, \psi)$, $1 - \phi$ and $\inf_{d \in D} \{\phi(d)\}$ respectively.

We abbreviate $\neg(\neg\phi \land \neg\psi)$ to $\phi \lor \psi$, $\neg\phi \lor \psi$ to $\phi \rightarrow \psi$ and $\neg(\forall x) \neg \phi$ to $(\exists x)\phi$.

Let α be a number from [0,1], False = $[0, \alpha]$, True = $[1 - \alpha, 1]$. A formula ϕ is said to be α -valid in the model \mathcal{M} (written $\models_{\mathcal{M}} \phi \in \text{True}$) provided we have $\tau(\phi) \in \text{True}$. A formula ϕ is said to be α -not valid in the model \mathcal{M} (written $\models_{\mathcal{M}} \phi \in \text{False}$) provided we have $\tau(\phi) \in \text{False}$. A formula ϕ is said to be α -valid just in case it is α -valid in every model. A formula ϕ is said to be α -satisfiable just in case there is a fuzzy logic model \mathcal{M} in which it is α -valid. A formula ϕ is said to be α -unsatisfiable just in case for any fuzzy logic model \mathcal{M} it is α -not valid.

Theorem 1.

Let $0 < \alpha \leq 0.5$, then:

1. α - (un)satisfiability in fuzzy logic coincides with the (un)satisfiability in two valued logic.

2. α - validity in fuzzy logic coincides with the validity in two valued logic.

In [Lee 1972] it has been proved only for the case $\alpha = 0.5$ that a first-order fuzzy logic formula ϕ is α - unsatisfiable if and only if it is unsatisfiable in two-valued logic.

References

- [Lee 1972] Lee R. C. T. Fuzzy Logic and the Resolution Principle. Journal of the ACM, v. 19, No. 1, (January 1972), pp. 109-119.
- [Rents 1996] Rents I. Gentzen type sequent calculus for propositional fuzzy logics. In proc. EU-FIT'96, 4th European Congress on Intelligent Techniques and Soft Computing, Aachen, Germany, v. 1, pp.96-99.
- [Rents 1998] Rents I. Gentzen type sequent calculus for first-order fuzzy logics. submitted to EU-FIT'98, 6th European Congress on Intelligent Techniques and Soft Computing, Aachen, Germany.

[Zadeh 1965] Zadeh L. A. Fuzzy sets. Inform. Contr. 8 (1965), 338-353.

Interpolation in Fuzzy Logic

Helmut Veith

Technische Universität Wien, Institut für Informationssysteme Paniglgasse 16, A-1040 Wien, Austria *Email:* veith@dbai.tuwien.ac.at

We survey results about interpolation in fuzzy and many-valued propositional logics [1, 4, 6] whose truth functions are defined by continuous triangular norms [3]; continuous triangular norms have been characterized as cardinal sums of the triangular norms in Lukasiewicz, Gödel and Product Logic [5].

Emphasis is given on elimination of fuzzy quantifiers (i.e., supremum and infimum quantifiers); fuzzy quantifiers extend the known correspondence between quantified Boolean formulas and uniform interpolation from classical to fuzzy truth values.

In case of failure of interpolation, we characterize minimal interpolating extensions of the languages. For finite-valued logics, we count the number of interpolating extensions by Fibonacci and related sequences [2].

- [1] Baaz, M., and Veith, H.: Interpolation in Fuzzy Logic. To appear in Archive of Mathematical Logic.
- [2] Gould, H.W.: Binomial Coefficients, the Bracket Function, and Compositions with Relatively Prime Summands. *Fibonacci Quarterly 2, No. 4*, 241-260, 1964.
- [3] Hájek, P.: Metamathematics of Fuzzy Logic. Book in preparation.
- [4] Krystek, P.S., Zachorowski, S.: Lukasiewicz logics have not the interpolation property. *Rep. Math. Logic* 9, 39-40, 1977.
- [5] Ling, H.C.: Representation of associative functions. Publ. Math. Debrecen 12, 182-212, 1965.
- [6] Maksimova, L.: Craig's interpolation theorem and amalgamable varieties. Doklady Akademii Nauk SSSR, 237/6, 1281-1284, 1977.

Comparison of semantics of fuzzy logics

Mirko Navara

Center for Machine Perception, Faculty of Electrical "Engineering Czech Technical University, CZ-166 27 Praha, Czech "Republic <u>navara@cmp.felk.cvut.cz</u> <u>http://cmp.felk.cvut.cz/~navara</u>

We study propositional fuzzy logics with truth values "from [0,1] and the conjunction interpreted by various t-norms. Further, we consider two "interpretations of implications: R-implications (=residua) studied in [2,3] and S-implications used in [1,4]. To "compare these logics (based on different sets of connectives), we factorize them with respect to the "semantical equivalence (which is a congruence). Then the quotients are compared and some logics are "found to be "stronger" then others, i.e., they have more semanically different formulas. Regarding "applications, a stronger fuzzy logic allows to express more complex relations and to substitute all formulas "from a weaker logic by semantically equivalent formulas of the stronger logic.

[1] D. Butnariu, E. P. Klement, and S. Zafrany. On "triangular norm-based propositional fuzzy logics. Fuzzy Sets and Systems, 69:241-255, 1995.

[2] P. Hájek. Metamathematics of Fuzzy Logic. Kluwer, "Dordrecht, 1998.

[3] P. Hájek, L. Godo, and F. Esteva. A complete "many-valued logic with product-conjunction. Arch. Math. Logic, 35:191-208, 1996.

[4] E.P. Klement, M. Navara. Propositional fuzzy logics "based on Frank t-norms: A comparison. Submitted.

A natural interpretation of fuzzy sets and relations

: Mamoru SHIMODA

Shimonoseki City University, 2-1 Daigaku-cho, Shimonoseki 751, Japan mamoru-s@shimonoseki-cu.ac.jp

We present a new and natural interpretation of fuzzy sets and relations in a Heyting valued model for intuitionistic set thoery, where the basic notions have quite natural meanings and various properties of fuzzy sets and relations are easily obtained.

Let *H* be a complete Heyting algebra and $V^{(H)}$ be the *H*-valued model in [2]. The Heyting-value $||\varphi|| \in H$ and the check set \check{x} are defined as usual. Basic operations such as intersection, union, and complement of sets, composition and inverse of relations, etc. are naturally defined in $V^{(H)}$.

Every $A \in V^{(H)}$ is called an *H*-fuzzy set, and every subset of X in $V^{(H)}$ is called an *H*-fuzzy subset of X. The membership function of A on X is the mapping $\mu_A : X \longrightarrow H$; $x \longmapsto || \tilde{x} \in A ||$. Membership functions are extensions of ordinary fuzzy sets in [3] and instances of L-fuzzy sets in [1]. For $\mu, \nu : X \longrightarrow H$, the relation $\mu \leq \nu$ and the mappings $\mu \wedge \nu, \mu \vee \nu, \neg \mu : X \longrightarrow H$ are pointwise defined by the values.

Theorem 1 Let X be a set and the membership functions be defined on X.

(1) For every $\mu: X \longrightarrow H$, there is an H-fuzzy subset A of X such that $\mu = \mu_A$.

(2) If A, B are H-fuzzy subsets of X, then $||A \subseteq B|| \cong 1$ iff $\mu_A \leq \mu_B$.

(3) If A, B are H-fuzzy sets, then $\mu_{A\cap B} = \mu_A \wedge \mu_B$, $\mu_{A\cup B} = \mu_A \vee \mu_B$, and $\mu_{\bigvee_{X\setminus A}} = \neg \mu_A$.

The theorem shows that there is a natural correspondence between *H*-fuzzy subsets of X and mappings from X to *H*, which preserves order and basic set operations. If $R \in V^{(H)}$ is an *H*-fuzzy subset of $X \times Y$, *R* is called an *H*-fuzzy relation from X to Y and we write $R \sqsubseteq_H X \times Y$.

Theorem 2 Let the membership functions be defined on the suitable cartesian products.

(1) If $R \sqsubseteq_H X \times Y$, then $R^{-1} \sqsubseteq_H Y \times X$, and $\mu_{R^{-1}} \langle yx \rangle = \mu_R \langle xy \rangle \ (\forall x \in X, \forall y \in Y).$

(2) If $R \sqsubseteq_H X \times Y$ and $S \sqsubseteq_H Y \times Z$, then $S \circ R \sqsubseteq_H X \times Z$ and

- $\mu_{S \diamond R} \langle xz \rangle = \bigvee_{y \in Y} (\mu_R \langle xy \rangle \land \mu_S \langle yz \rangle) \quad (\forall x \in X, \forall z \in Z).$
- (3) If $R \sqsubseteq_H X \times X$, then R is reflexive iff $\mu_R(xx) = 1$ ($\forall x \in X$), symmetric iff $\mu_R(xy) = \mu_R(yx)$ ($\forall x, y \in X$), and transitive iff $\mu_R(xy) \wedge \mu_R(yz) \leq \mu_R(xz)$ ($\forall x, y, z \in X$).

- [1] J. A. Goguen, L-Fuzzy Sets, J. Math. Anal. Appl. 18(1), 145-174, 1967.
- [2] M. Shimoda, Categorical aspects of Heyting-valued models for intuitionistic set theory, Comment. Math. Univ. Sancti Pauli, 30(1), 17-35, 1981.
- [3] L. A. Zadeh, Fuzzy sets, Inf. Control, 8(3), 338-353, 1965.
- [4] L. A. Zadeh, Similarity relations and fuzzy orderings, Inf. Sci., 3(2), 177-200, 1971.

Boolean deductive systems of BL-algebras

Esko Turunen

Dept. of Applied Math., Lappeenranta Univ. of Technology esko.turunen∂lut.fi

Abstract: BL-algebras were introduced by Hájek [2] in order to provide an algebraic proof for the completeness theorem of a class of [0, 1]-valued logics familiar in fuzzy logic fremework. BL-algebras rise as Lindenbaum algebras from certain logical axioms in a similar manner as MV-algebras (cf. [1]) do from the axioms of Lukasiewicz logic. In fact, a BL-algebra becomes an MV-algebra if we adjoin to the axioms the double negation law $x = x^{**}$. Thus, BL-algebras are in some intuitive sense 'non-double negation MV-algebras'. Hence, the theory of MV-algebras, now in the scope of intensive investication, becomes one of the guides to the development of the theory of BL-algebras. Generalizations from MV-algebra theory to BL-algebra theory also shows us which consepts are proper and genuine BL-algebra consepts and which are not. In [4] we started this analysis and proved e.g. that semi-simple BL-algebras are necessarily MV-algebras, thus semi-simplicity is not a proper BL-algebra consept. The same holds for locally finite BL-algebras, too.

Our basic tools in the investication of a BL-algebra L are deductive systems, i.e. subsystems D of L such that $1 \in D$ and if $x, x \to y \in D$ then $y \in D$. In particular, in MV-algebra theory deductive systems (also called filters in literature) and ideals are dual notions.

In this paper we study Boolean deductive systems and implicative deductive systems. It turns out that these deductive systems coincide. We prove that a BL-algebra L has a proper Boolean deductive systems iff L is bipartite, moreover, there are BL-algebras which are bipartite and are not MV-algebras. We also study local BL-algebras and prove more generally some results which are known to hold for MV-algebras. Finally, we show that there are local BLalgebras which are not MV-algebras.

- 1. C.C. Chang, Algebraic analysis of many-valued logics, Trans. Amer. Math. Soc. 88 (1958), 467-490.
- 2. P. Hájek, Metamathematics of fuzzy logic. Inst. of Comp. Science, Academy of Science of Czech Rep. Technical report 682 (1996).
- 3. C.S. Hoo, MV-algebras, ideals and semisimplicity, Math. Japonica 34 (1989), 563-583.
- 4. E. Turunen, BL-algebras and Basic Fuzzy Logic (submitted).

Generalized Morphisms in BL-logics

L.J. Kohout, Dept. of Computer Science, Florida State University, Tallahassee, Florida 32306-4530, USA. E-mail: kohout@cs.fsu.edu URL: http://cs.fsu.edu/~kohout

Many diverse problems of compatibility of structures can be unified by generalizing the concept of a homomorphism. In 1977 Bandler and Kohout introduced generalized homomorphism, proteromorphism and amphimorphism, forward and backward compatibility of relations, and non-associative and pseudoassociative products (compositions) of relations [1]. In the original papers of Bandler and Kohout the logic of relations was crisp. The purpose of this presentation is to show that the concepts of generalized morphisms, compatibility etc. can be extended to relations based on any system of fuzzy logic in which the axioms of the BL family of fuzzy logics of Petr Hájek [2] hold.

Motivation - Crisp Generalized Morphisms Let A, B, C, D be sets with relations R, S upon them -R from A to B and S from C to D, where each relation determines some structure. In addition, we have homomorphic mappings F and G. F is from A to C and G is from B to D. There are two points of departure that stem from this fundamental algebraic notion of homomorphism: (i) the design or checking mappings which will "preserve" or "respect" certain given relations, and on the other hand (ii) the design or checking of relations which "absorb" or "validate" certain given mappings. For example let A = B, C = D and R, S be orders. Given A and C we wish to find one or all the mappings from A to C, how to match the order on A given by R, with some other order on C, or vice versa – so that some given mapping will preserve or co-preserve them. Another example is where $A = B \times B, C = D \times D$ and R and S determine some groupoids

In this situation, the conventional homomorphism yiels a commuting diagram of arrows such that $R \circ G = F \circ S$, where of course, the morphisms F and G are the relations which are both covering and univalent (i.e. functional). To obtain the constructions that solve the problems (i) or (ii) requires to solve the above relational equation with respect to one of the relations R, S, F or G. When the mappings (functional relations) F and G are replaced by general relations, the equation is no longer valid but has to be replaced by two inequalities. The notion of a homomorphism splits into two independent notions, generalized morphism and generalized proteromorphism.

Generalized Morhisms in Fuzzy BL-logics All fuzzy relations in the sequel are defined in the interval [0,1] where & is a continuous t-norm and \rightarrow is its residuum. In this set-up Hájek's formalization of BL-logics [2] applies. $\mathcal{R}(X \rightsquigarrow Y)$ denotes the lattice of all fuzzy relations from X to Y; \iff represents the meta-logical statement "if and only if".

Definition 1 Let F, R, G, S be heterogenous relations between the sets A, B, C, D such that $F \in \mathcal{R}(A \rightsquigarrow C)$, $R \in \mathcal{R}(A \rightsquigarrow B)$, $G \in \mathcal{R}(B \rightsquigarrow D)$, $S \in \mathcal{R}(C \rightsquigarrow D)$.

1. The conditions that (for all $a \in A, b \in B, c \in C, d \in D$) (aFc & aRb & bGd) $\rightarrow cSd$ will be expressed in any of the following ways: (i) FRG:S is forward compatible, (ii) F, G respect R, S forwards, (iii)R, S absorb F, G forwards (iv) F, G are generalized homomorphisms from R to S.

2. The conditions that (for all $a \in A, b \in B, c \in C, d \in D$) (aFc & cSd & bGd) $\rightarrow aRb$ will be expressed in any of the following ways: (i) FRG:S is backward compatible, (ii) F, G respect R, S backwards, (iii) R, S absorb F, G backwards (vi) F, G are generalized proteromorphisms from R to S.

Definition 2 For arbitrary fuzzy relations in [0, 1], R from the set X to Y, S from Y to Z define: 1. $R \circ S = (\forall x)(\forall z)(\exists y)(xRy \& ySz);$ 2. $R \triangleleft S = (\forall x)(\forall z)(\forall y)(xRy \rightarrow ySz);$ 3. $R \triangleright S = (\forall x)(\forall z)(\forall y)(xRy \leftarrow ySz);$

Theorem 3 Compatibility Theorem.

1. FRG: S are forward compatible $\iff F^T \circ R \circ G \sqsubseteq S \iff R \sqsubseteq F \triangleleft S \triangleright G^T)$

2. FRG: S are backward compatible $\iff F \circ S \circ G^T \sqsubseteq R \iff S \sqsubseteq F^T \triangleleft R \triangleright G$

FRG: S are both-ways compatible iff they are both forward and backward compatible. The R's of forward compatibility constitute a lower ideal, while those of backward compatibility constitute an upper ideal or filter; the bothways problem has a solution iff their intersection is non-empty. The conventional homomorphism is a special case of *Both-ways* compatibility. The generalized morphisms presented here are relevant not only theoretically, but have also an important practical use in solving systems of relational inequalities and equations.

[1] Bandler, W. and Kohout, L.J. On the general theory of relational morphisms. Int. J. General Systems, 13(1986), pp. 47-65.

[2]Hájek, P. Fuzzy logic from the logical point of view. LNCS 1012, Springer, pp. 31-49.
Fuzzy logic programming

Peter Vojtas

Dept. Computer Science, P. J. Safarik University, Jesenna 5, Kosice, Slovak Republic vojtas@kosice.upjs.sk http://turing.upjs.sk/~vojtas

We present a mathematical model for fuzzy logic programming. The language can contain several different conjunctions, disjunctions and implications. This connectives are flexible subject of change in order to fit real data. Rules are implications equipped with a truth value. We base our procedural semantics on manyvalued modus ponens. We generalize classical fixpoint theory to this case and prove some completeness results.

Some Consequences of Herbrand and McNaughton Theorems in Fuzzy Logic

Vilém Novák

University of Ostrava Institute for Research and Applications of Fuzzy Modeling Bráfova 7, 701 00 Ostrava 1, Czech Republic

Irina Perfilieva

Moscow State Academy of Instrument Making Stromynka 20, 107846 Moscow, Russia

A formal theory of first-order fuzzy logic with evaluated syntax is presented in [3] and elsewhere (cf. also [1]). This paper is based on the results presented there. The truth values are taken from the interval [0, 1] and the system of logical connectives is the Lukasiewicz one. We deal with evaluated formulas a/A, i.e. formulas A evaluated by a truth value a on the syntactical level. The concepts of provability of a formula A in a theory T given by fuzzy set of axioms, $T \vdash_a A$ and its truth, $T \models_a A$ are introduced. These are generalizations of the corresponding classical ones.

We start from the result initiated by McNaughton [4]: all functions representable by logical formulas are piecewise linear and, conversely, each piecewise linear function can be represented by a logical formula. Unlike his result, our proof [5] is constructive which allows to represent each piecewise linear function by its normal form — a formula analogous to the perfect disjunctive normal form.

Further problem is formulation of the analogue of the classical Herbrand theorem in fuzzy logic. We introduce the concept of a *fuzzy quasitautology* in the degree a, $\models_a^Q A$. By \bigtriangledown we denote Lukasiewicz disjunction. Then the following theorem is proved in [2].

Theorem 1 Let T be a fuzzy theory, $A \in F_{J(T)}$ a closed formula in prenex form and $a = Ax^{S}(A)$ is a degree in which A is a special axiom. Then

$$T \vdash_b mA$$
 iff $\models^Q_d p_1 A^{(1)}_H \bigtriangledown \cdots \bigtriangledown p_n A^{(n)}_H$

is a fuzzy quasitautology for some m and p_1, \ldots, p_n where b > ma (or b = 1), $d > (p_1 + \cdots + p_n)a$ (or d = 1) where $A_H^{(i)}$ are instances of the matrix of the formula A_H .

In this paper, we show some further consequences of both results for fuzzy logic with regard to the possible precise formulation of the resolution procedure.

References

- [1] Hájek P.: Metamathematics of fuzzy logic. Kluwer, Dordrecht (to appear).
- [2] McNaughton, R.: Theorem about infinite-valued sentential logic. J. Symb. Log., 16(1951), 1-13.
- [3] Novák, V.: On the Syntactico-Semantical Completeness of First-Order Fuzzy Logic. Part I Syntactical Aspects; Part II — Main Results. Kybernetika 26(1990), 47–66; 134–154.
- [4] Novák, V: Open Theories, Consistency and Related Results in Fuzzy Logic. Int. J. of Approximate Reasoning 1997 (to appear).
- [5] Tonis, A. and I. Perfilieva: Functional System of Infinite-valued Propositional Calculus. In: Novák, V. and I. Perfilieva (eds.): Discovering World with Fuzzy Logic: Perspectives and Approaches to Formalization of Human-Consistent Logical Systems. Springer-Verlag, Heidelberg (to appear).

Fuzzy logics with residuated implication and involutive negation

Francesc Esteva¹, Lluís Godo¹, Petr Hájek², Mirko Navara³

 ¹ Artificial Intelligence Research Institute (IIIA) Spanish Council for Scientific Research (CSIC) Campus Universitat Autonoma de Barcelona, s/n 08193 Bellaterra, Spain <u>esteva@iiia.csic.es</u> <u>godo@iiia.csic.es</u>
² Institute of Computer Science Academy of Sciences of the Czech Republic Pod Vodarenskou vezi 2 182 07 Prague 8, Czech Republic <u>hajek@uivt.cas.cz</u>
³ Center for Machine Perception, Faculty of Electrical Engineering Czech Technical University, 166 27 Praha, Czech Republic <u>navara@cmp.felk.cvut.cz</u> http://cmp.felk.cvut.cz/~navara

Residuated fuzzy (many-valued) logic calculi are related to continuous t-norms, which are used as truth functions for the conjunction connective, and their residua as truth functions for the implication. Deep theoretical results, including completeness theorems, were proved for these logics in [2,3]. In all residuated fuzzy logics, a negation is also definable from the implication and the truth constant 0. However, this negation behaves quite differently depending on the t-norm, in particular it is not involutive for t-norms different (up to isomorphisms) from the Lukasiewicz t-norm. In that case the resulting calculus is weak is some sense, for instance it lacks a strong disjunction.

From the basic logic defined by Hájek [2], we define the strict basic logic (SBL) corresponding to residuated logic whose definable negation is Gödel-like. Completeness results for the logic SBL~ defined by adding an involutive negation as a new connective and for the corresponding predicate calculus are also proved. Standard and Pavelka-style (partial truth) completeness for product and Gödel logics with involutive negation are also studied [1].

[1] F. Esteva, L. Godo, P. Hájek, and M. Navara. Residuated fuzzy logics with an involutive negation. Submitted.

[2] P. Hájek . Metamathematics of Fuzzy Logic. Kluwer, Dordrecht, 1998.

[3] P. Hájek, L. Godo, and F. Esteva. A complete many-valued logic with product-conjunction. Arch. Math. Logic, 35:191-208, 1996.

ABSTRACTS PRESENTED BY TITLE

js.,

An effective construction of a well-ordering of the Continuum permits only to verify the Cantor' Hypothesis

by F.Collot (4 rue Mayet 75006 Paris, American Mathematical & SMF (15 12 97)

Abstract

The author proposes a process which permits to well-order, first, all the finite members and some infinite members of the power set of the natural numbers set (P(N)), and after the whole set P(N). That construction is made "into" the Zermelo-Fraenkel's theory:

- A first partition is realized and permits to rank in the same class the members which begin with an identical first natural number.

- A second partition uses the natural numbers which are absent into each member. Those are named *"lacunae"*, and permit to introduce the concept of *"iso-lacunary subsets"* (subsets which have an identical cardinal of lacunae)

- Every n-lacunary subset (with n belonging to N) is constituted with infinite sequences of members. Every sequence is well-ordered with the lexicographic order. The sequences of every n-lacunary subset are well-ordered if their first member is ordered according to the inverse lexicographic order.

In order to read easely these well-ordering the author uses letters of an infinite alphabet instead natural numbers : a, b, c, ...

So, since the lexicographic order is a total ordering for the set P(N), it is easy to show that the use of the both lexicographic orders (L and L-1) is sufficient in order to attain the whole elements of these subsets.

Difficulties arise with the subset (nommed "set G") the members of which contain an infinite number of lacunae. The solution consists to start from an other set than N ordered with its natural ordering. For example, to use the ordered union of some infinite subsets of N (by instance prime, odd, even numbers). Henceforth in order to be more clear, we shall consider only the set G.

Then a series of proof is necessary in order show that the title is true.

Proposition 2 : The set G is not countable, because it has a not countable subset H the first element of which "h" is showed.

Proposition 3 : The set G has the cardinal of the Continuum.

Proposition 4: The set G is well-ordered

Proposition 4: It exists into G first a succession of countable subsets, and after a not countable subset (H) the first element of which is "h".

Proposition 5: With the lemma 1 (Every subset of a well-ordered W and not cofinal with W, has a sequent in W), the subset H have the cardinal of the Continuum.

Proposition 6: Every subset of the Continuum has either the power of the set of natural numbers, or the power of the Continuum. In fact the set of all countable subsets which appear in first in G has a sequent which is the first element "h" of the set H (proposition 2) Hence it exists no cardinal between the countable and the Continuum. So it is shown, according to Gödel, that the Continuum Hypothesis is true. In order to show that proof it is necessary that every element of the Continuum has a sequent, i-e that this set is well-ordered. It is the same for every countable or not countable sets.

So CH is true into the well-ordered structure of P(N) acccording to Longo, but remains undecidable in the formal theory, in harmony with the Gödel's incompleteness theorem.

On Incompleteness in Modal Logic. An Account through Second-Order Logic.

Mircea Dumitru

Tulane University and Bucharest University

The talk gives the essentials of a second-order-logic-based explanation of modal incompleteness. The leading concept is that modal incompleteness is to be explained in terms of the incompleteness of standard second-order logic, since modal language is basically a second-order language. I investigate a normal incomplete sentential modal system due to Van Benthem, and address both the formal and the philosophical facets of modal incompleteness from the vantage point that modal systems can be analyzed in terms of structures with a domain of second-order individuals (subsets) that are assigned under an interpretation to propositional variables within languages of sentential modal logic. Quine's animadversions upon second-order logic and in particular his views that second-order logic is 'set theory in sheep's clothing' are then examined. Against Quine's stance I will seek to show that a vindication of second-order logic can be gotten provided a proper due is given to the sharp distinction between the logical (Fregean) notion of set which is the concern of second-order logic and the iterative notion of set which lies within the realm of set theory.

[1] Quine, W. V. O. *Philosophy of Logic*. Second Edition, Harvard University Press, Cambridge, Massachusetts and London, England, 1970, 1986.

[2] Shapiro, Stewart Foundations Without Foundationalism. A Case for Second-Order Logic, Clarendon Press, Oxford 1991.

[3] Van Benthem, J. F. A. K. 'Two Simple Incomplete Modal Logics', *Theoria*, Volume XLIV, 1978, Part 1, pp. 63-77.

One example of applying the priority method in the theory of finite-state automata

Bairasheva V.R. Kazan State University, Russia solovyev@tatincom.ru

Following Rayna [1], we call two infinite words $X = \{x_i\}_{i=0}^{\infty}$ and $Y = \{y_i\}_{i=0}^{\infty}$ "equivalent by automata (being involved in one degree of automaton reducibility)", if there exist two initial finite-state automata (T_1, s_1) and (T_2, s_2) so that automaton (T_1, s_1) puts out the infinite words Y (may be with some delay) if getting X as an input value, and automaton (T_2, s_2) puts out the infinite word X (also with possible delay) if getting Y as an input.

The partially ordered set V of degrees of automaton transformation is being naturally generated.

Referring to [1], there is the minimal element of V which is the degree consisting of periodic infinite word. Also it has shown the existence of atoms in the set V. Studying atoms of V has been being continued in some other works (see [2] showing the existence of atoms with different properties).

Following [3], let us define logical metalanguage \mathcal{U} . Atom formulae of language \mathcal{U} are x(n) = a, where x is a variable for infinite words, n is a variable for numbers and a is a constant - letter of some alphabet. Atom formula means the next statement: infinite word x has a letter a in the case of n-th occurrence. Formulae of language \mathcal{U} are being constructed of atom ones using rules of predicate calculus, moreover quantifyers can be applied to both types of variables.

The set of all formulae of language \mathcal{U} containing one variable x for infinite word that gets true in case of substitution of an infinite word X for variable x, will be called "monadic theory of infinite word X" [4].

Theorem. There exists decidable infinite word with undecidable monadic theory.

This infinite word belongs to the atom of structure of V.

Proof synthesizes Rayna's construction [1] of atom building with use of priority method developed in the recursive function theory. (It maintains undecidability of monadic theory of infinite word being decidable).

The paper supported by Russian Foundation of Fundamental Researches, grant No. 98-01-00900.

REFERENCE

1. Rayna G. Degress of finite-state transformability. Information and Control, 24 (1974), 144 - 154.

2. Bairasheva V.R. Degrees of finite-state transformability of random sequences. Ph.D., Kazan, 1990.

3. Trahtenbrot B.A., Barzdin' Ia.M. Finite-state automata, Moscow: Nauka, 1970.

4. Semenov L.M. Logical theory of one-place functions on the set of natural numbers. Izvestie AN SSSR. Ser.mathem. V.47, No.3, 1983, P.1175-1195.

On Introreducible Sets

Patrizio Cintioli

Dipartimento di Matematica e Fisica, Università di Camerino

Riccardo Silvestri

Dipartimento di Scienze dell'Informazione, Università di Roma I

Recursive sets have the property of being effectively enumerable in increasing or decreasing order, in particular if $A = \{a_0 < a_1 < ...\}$ is a recursive set then there exist two partial recursive functions φ and ψ suct that C1: $\forall n \ \varphi(a_n) = a_{n+1}$ and C2: $\psi(a_0) = a_0$ and $\psi(a_{n+1}) = a_n$.

While condition C1 characterizes the recursive sets, condition C2 is far from characterize them, as shown by the following

Theorem [1] Every Turing-degree contains sets satisfying condition C2.

A set satisfying contition C2 is said *retraceable*. A property of these sets is that they are recursive in each of its infinite subsets,

Definition A set A is introveducible if for every infinite $B \subseteq A$ it holds $A \leq_T B$.

It has been proved by Mansfield that if a set and its complement are both retraceable, then the set is recursive, but it is not known whether this is true for introreducible sets.

Question 1 Is it true that if A and \overline{A} are introveducible then A is recursive?

C. G. Jockusch jr. introduced and studied the uniform version of introreducible sets.

Definition [2] A set A is uniformly introveducible if there is an index e such that, whenever B is an infinite subset of A, then $A = \varphi_e^B$.

For such sets the Question 1 is positively solved.

Theorem [2] If a set and its complement are uniformly introreducible then the set is recursive.

Finally, Lachlan proved that the uniform introreducibility is stronger than the simple introreducibility.

Theorem (see [2]) There exists an introreducible set which is notuniformly introreducible.

Some questions solved in Recursive Theory have been reformulated and not solved in Structural Complexity. We consider the notion of introreducibility in such a setting, substituting Turing-reducibility with polynomial-time Turing-reducibility, and investigating Question 1.

Definition A language is intro- \leq_T^P -reducible if it is polynomial-time Turing-reducible to each of its infinite subsets.

In this case the answer is affirmative, and even holds a stronger result.

Proposition If L is intro- \leq_T^P -reducible then $L \in \mathbf{P}$.

Hence, a language is intro- \leq_T^{P} -reducible if and only if it is in **P**, if and only if it is uniformly intro- \leq_T^{P} -reducible, and the two notions of introreducibility coincide here, in contrast with the Theorem of Lachlan. The proof of the proposition consists in showing that for every language $L \notin \mathbf{P}$ it is possible to construct, by diagonalization, an infinite subset B of L such that $L \notin_T^P B$. However, the construction does not provide any structural information on B, so it could be not surprising that $L \notin_T^P B$, for example if B is very "close" to the class \mathbf{P} , if not even in \mathbf{P} (however, if L is \mathbf{P} -immune then $B \notin \mathbf{P}$). Therefore, it is interesting to study the structural properties of the infinite subsets of languages $L \notin \mathbf{P}$. For example, we can ask ourselves:

Problem 1 Is there a language $L \notin \mathbf{P}$ and an infinite subset B of L such that $L \nleq_T^P B$ and $L \leq_T^{NP} B$? **Problem 2** Is there a language $L \notin \mathbf{P}$ such that for every infinite subset B of L it holds that $L \leq_T^P B \Leftrightarrow L \leq_T^{NP} B$?

Concerning Problem 1, it is possible to exhibit languages $L \notin \mathbf{P}$ having an infinite subset B such that $L \nleq_T^P B$ and $L \leq_T^{NP} B$. This is also possible for sparse languages, while for *tally* languages, that is subsets of $\{0\}^*$, the answer depends on the question $\mathbf{P} = ?\mathbf{NP}$ in one direction, and on the question $\mathbf{EXP} = ?\mathbf{NEXP}$ in the other. Concerning Problem 2, we do not have a definitive answer. However, we conjecture that such languages exist. In fact, we think that there could be languages that have a stronger property:

Conjecture There exists a language L such that for every $A \subseteq L$ with $|L - A| = \infty$ it holds that $L \not\leq_T^{NP} A$.

At the present, we have some partial results supporting that conjecture.

References

1. Dekker, J.C.E., e Myhill, J. Retraceable sets, Can. J. Math., 10 (1958) 357-373.

2. Jockusch, C.G. Uniformly introreducible sets, J. Symbolic Logic, 33 (1968) 521-536.

ABSTRACT

Universal Graph Problem and Algebraical Closure

Niandong Shi^{*} East Stroudsaburg University of Pennsylvania East Stroudsburg, PA 18301, USA Email: nshi@esu.edu

Rado first observed that there is a universal countable graph, that is a countable graph G such that every countable graph is isomorphic to an induced subgraph of G. Since then many similar universal graph problems have been considered by P. Komjath, J. Pach, A. Mekler, Z. Furedi, M. Goldstern, M. Kojman, G. Cherlin, and N. Shi. These researches give either a positive or a negative solution for the existence of a countable universal graph for a class of graphs which forbid finitely many subgraphs.

We apply model theoretic methods to this universal graph problem and show that to a large extent the question reduces to one of local finiteness of an associated "algebraical closure" operator. We have the following

<u>Theorem</u>. Let C be a finite set of connected finite graphs, \mathcal{G}_c be the class of all countable graphs omitting C, \mathcal{E}_c be the class of all existential complete graphs in \mathcal{G}_c , T_c^* be the theory of \mathcal{E}_c . Then the following are equivalent:

- a) T_{C}^{*} is \aleph_{0} -categorical.
- b) The Stone space of all n-existential types $S_n(T_c^*)$ is finite for each n.
- c) For $A \subseteq M \models T_c^*$ finite, the algebraical closure of A is finite.

These conditions imply that \mathcal{G}_{c} contains a universal countable graph.

For the nonexistence of universal graphs our model theoretic methods are very close to those which have been used in practice, although that is not explicit in those papers. In this case we consider the minimal size of A such that the algebraical closure of A is infinite.

In the second half of this paper we apply the criterion of the existence for universal graphs obtained in this paper to find a large group of new classes of graphs that have a universal graph.

^{*} joint work with G. Cherlin, and S. Shelah.

On Modularity and Interpolation in General Π -Institutions

Sheila R. M. VELOSO and Paulo A. S. VELOSO Inst. Matemática and COPPE (Sistemas), Univ. Fed. Rio de Janeiro (UFRJ) E-mail: sheila@cos.ufrj.br; fax: +55-21-590.25.52

Renata P. de FREITAS

LMF, Dept. Informática, Pont. Univ. Cat. Rio de Janeiro (PUC-Rio) E-mail: freitas@lmf-di.puc-rio.br; fax: +55-21-512.80.45

Modularity (preservation of faithfulness) is important for stepwise software development. We examine modularity and interpolation in categories of specifications over a general π institution and provide conditions for one in terms of the other. These conditions, which are local to a subcategory, are of two kinds: special cases of modularity and connective-free interpolation-like properties of the consequence relations.

We relax π -institutions [1] to general π -institutions (GPI, for short) by requiring only structurality and consider the category of specifications over a GPI $G = \langle Sgnt, Snt, \vdash \rangle$. We use $e: \Gamma \leq \Sigma$ for a translation $e: I \to J$ in Sgnt that conserves specifications $\langle I, \Gamma \rangle$ and $\langle J, \Sigma \rangle$, i. e. $\Gamma \vdash_I \tau$ whenever $\Sigma \vdash_J \tau^e$, with $\tau \in Snt(I)$. Given a pair of translations $e: I \to J$ and $f: I \to K$, we use $f/e: J \to e \oplus f$ and $e/f: K \to e \oplus f$ for their pushouts in Sgnt. We also use \emptyset_K for $\langle K, \emptyset \rangle$.

Given a translation $f: I \to K$ and specifications $Q = \langle J, \Sigma \rangle$ and $R = \langle K, \Theta \rangle$, we call them modular over G ($\langle Q, f, R \rangle \in Mdl[G]$) iff $e/f: \Gamma^f \cup \Theta \leq \Sigma^{f/e} \cup \Theta^{e/f}$ whenever $e: \Gamma \leq \Sigma$, and interpolable over G ($\langle Q, f, R \rangle \in Int[G]$) iff whenever $\Sigma^{f/e} \cup \Theta^{e/f} \vdash_{e \oplus f} \tau^{e/f}$, with $\tau \in Snt(K)$, there exists $\Omega \subseteq Snt(I)$ such that $\Omega^e \subseteq Cn_J(\Sigma)$ and $\Omega^f \cup \Theta \vdash_I \tau$.

We now define presentation, language and axiom modularity: $G \in PM$ iff $\langle Q, f, R \rangle \in Mdl[G]$ for all Q, f and R, $G \in LM$ iff $\langle Q, f, \emptyset_K \rangle \in Mdl[G]$ for all Q and f, and $G \in AM$ iff $\langle Q, 1_I, R \rangle \in Mdl[G]$ for all Q and R. We define the *interpolation-like* properties: $G \in DI$ iff $\langle Q, f, R \rangle \in Int[G]$ for all Q, f and R, $G \in SI$ iff $\langle Q, f, \emptyset_K \rangle \in Int[G]$ for all Q and f, and $G \in CT$ iff $\langle Q, 1_I, R \rangle \in Int[G]$ for all Q and R.

Our results for modularity fall into three classes.

Characterization for modularity: $G \in PM$ iff $G \in LM$ and $G \in AM$.

Sufficient conditions (assuming transitivity): $DI \subseteq PM$, $SI \subseteq LM$, $CT \subseteq AM$.

Necessary conditions (assuming reflexivity): $PM \subseteq DI$, $LM \subseteq SI$, $AM \subseteq CT$.

Thus, the special cases provide simpler tests for modularity. Our interpolants are flexible since they are sets of sentences in lieu of sentences (with some special form). Also, necessity is established by means of uniform interpolants (a single Ω depending only on Σ , but not on Θ or τ). This imposes strong requirements for modularity of a reflexive GPI.

We thus have conditions for interpolation-like properties of consequence relations, being sufficient for reflexive ones and necessary for transitive ones. Monotonicity serves only to show that $(e \oplus f, \Sigma^{f/e} \cup \Theta^{e/f})$ gives a pushout.

Reference

1. FIADEIRO, J. L. and SERNADAS, A. – Structuring Theories on Consequence. In Sannella, D. and Tarlecki, A. (eds.) *Recent Trends in Data Type Specification*, Springer-Verlag, Berlin, 1988 (pp. 44-72).

Mathematically strong extensions of ACA₀

Ulrich Kohlenbach BRICS Department of Computer Science University of Aarhus kohlenb@brics.dk http://www.brics.dk/~kohlenb/

Abstract: We introduce finite type extensions TUB and $TUB^* \supset TUB$ of the second-order system ACA₀ which are based on strong principles of uniform boundedness UB. Whereas TUB is a subsystem of classical simple type theory, TUB^* proves also non-classical principles like the uniform continuity of every functional $2^{\mathbb{N}} \to \mathbb{N}$. Nevertheless both systems have a proof-theoretic interpretation in Gödel's calculus T in the sense that every provable Π_1^1 -sentence has a no-counterexample interpretation by functionals of type level ≤ 2 in T which can be extracted from a given proof (see also [1], [2]).

 TUB^* allows to carry out classical analysis of continuous functions between Polish spaces in a very easy way avoiding complicated codings since continuity conditions (and moduli of continuity etc.) need not to be formalized.

We also consider subsystems TUB_n^* of TUB^* (based on some restrictions of UB, see [1]) starting from a system TUB_0^* whose provably recursive functions are bounded by polynomials (see [3] for the latter). For $n \ge 1$ the provably recursive functionals of type ≤ 2 of TUB_n^* are just the ones definable in the fragment T_{n-1} of T with recursion up to type n-1 only.

Principles of uniform boundedness allow to give short proofs of analytical principles with restricted use of arithmetical comprehension (taking specific instances of the principles into account). Proofs relying on such instances can then be unwind by proof-theoretic methods resulting in a faithful description of the provably recursive function(al)s of the particular instances of these principles used in the given proof. Many analytical principles can be obtained already in TUB_0^* .

References

- [1] Kohlenbach, U., On the arithmetical content of restricted forms of comprehension, choice and general uniform boundedness. To appear in: Ann. Pure Appl. Logic.
- [2] Kohlenbach, U., On the no-counterexample interpretation. To appear in: JSL.
- [3] Kohlenbach, U., Proof theory and computational analysis. Submitted.

INDEX

Last name	First name	Page
Andreka	Hajnal	120
Ardeshir	Mohammad	93
Arlo-Costa	Horacio	34
Arslanov	Marat	48
Aviaad	Jeremv	22
Baaz	Matthias	52.94
Bairasheva	Venera	173
Baizhanov	Bektur	20
Baratella	Stefano	117
Basin	David	101
Beckmann	Arnold	23
Beklemishev	l ev	25
Bellantoni	Stenhon	66
Pollot	Datrick	1/1
Politiukov	Anotoly	91
Dendová	Kamila	420
Donini	Marco	130
Denini	Natocho	11U 74
DUZUVIC Prondia	INALASITA	11
Brendle	Joerg	45
Brown	Mark	102
Bunder	Martin	149
Burger	Isabella	86
Bushmakina	Olga	152
Carnielli	Walter	96
Chong	Chi Tat	33
Cintioli	Patrizio	1/4
Collot	Francis	1/1
Coniglio	Marcelo E.	112
Cooper	Barry S.	7
Coquand	Thierry	15
D'Aquino	Paola	125
Da Silva	Jairo Jose	158
Da S. Correa	Marcelo	114
Davoren	Jennifer	97
De Freitas	Renata P.	176
De la Cruz	Omar	56
Demaille	Akim	146
Degen	Wolfgang	128
Denef	Jan	37
Di Prisco	Carlos Augusto	62
Drago	Antonino	84, 85
Drai	Dalia	156
Dumitru	Mircea	172
Durante Pereira	Daniel Alves	148
Dyckhoff	Roy	142
Dzamonja	Mirna	63
Ehrlich	Philip	119
Ekert	Artur	11
Elgueta	Raimon	147
Elias	Peter	132
Esteva	Francesc	170
Farah	llijas	27

Fejer	Peter A.	68
Fermueller	Christian	161
Forti	Marco	100
Fortnow	Lance	5
Franklin	Ludmila	107
Geuvers	Herman	42
Gil		95
Gil	Moti	22
Ciucto	Mariagnaca	20
Giusio	Managnese	470
Godo		170
Goranko		102, 103
Grone	Martin	82
Grygiel	Joanna	127
Haeusler	Edward	114
Hauser	Kai	26
Hájek	Petr	2, 54, 170
Hart	Bradd	6
Heidema	Johannes	86, 140
Hirokawa	Sachio	149
Hirschfeldt	Denis	51
Hiorth	Grea	9
Hoehle	Ulrich	53
Hoonewiis	Δlbert	135 136 137
Indrzeiczek		103, 130, 137
	Thomas	104
		4
	Herman K.	0/
JIRKU		83
Jockusch, Jr.	Carl G.	18
Johannsen	Jan	126, 128
Johnson	Keith	118
Kahle	Reinhard	115
Kamo	Shizuo	46 ·
Kechris	Alexander S.	29
Khakhanian	Valery	129
Khoussainov	Bakhadyr	51
Kohlenbach	Ulrich	177
Kohout	Ladislav	167
Kolaitis	Phokion G.	82
Komjáth	Peter	13
Korec	lvan	133, 134
Korovin	Konstantin	70
Korovina	Margarita	<u>.</u> 72
Kouhlanova	Flena	122
Kraičí	Stanislav	121
Krajíček	lan	2
Kudinov		3
Kulicki	Oley Diotr	43, 12 129
Kullmonn	r ivu Aliver	1 JU 72
		13
		150
Labuschange	vvillem A.	140
Lavine	Shaughan M.	36
Lee	Jui-Lin	89
Leitgeb	Hannes	124

Leitsch	Alexander	94
Lipshitz	Leonard	12
Leneutre	Jean	144
Lipparini	Paolo	57
Loeser	F.	37
Macintyre	Angus	125
Maicher-Iwanow	Barbara	64
Maksimova	Larisa	109
Marco	Gian Arturo	60
Marcone	Alberto	58
Mardaev	Semei	99
Matthes	Ralph	24
Matthews	Sean	101
Matthews		101
Mildonborgor		140 61
Malahanay		101
Malahanay		121
Morenzei	viauimir A.	123
	Anarei	30
Moser	Georg	90
Moses	Michael	76
Nakatogawa	Koji	77
Novák	Vilém	169
Navara	Mirko	164, 170
Negri	Sara	113
Newelski	Ludimor	39
Ng	Siu-Ah	117
Niggl	Karl-Heinz	66
Nourani	Cyrus F.	151
Ostrin	Geoff	74
Pal'chunov	Dmitry E.	79
Palyutin	Evgenii	17
Paris	Jeff	54
Pereira	Luiz Carlos	107
Perfilieva	Irina	169
Plotkin	Boris	75. 122
Plotkin	Tatiana	75
Pollett	Ch	126
Preinina	Norbert	91
Prijateli	Andreia	80
Pvrkin	Sergev G	78
Rathien	Michael	43
Razborov	Alexander A	16
Rehanliato	Jordi	95
Rents	laor	162
Rinvold	Reinert A	102
Rott	Hans	25
Duitonhura	: Hallo Wim	
Ruitenburg	VVIIII Kotoumi	90 40E
		105
Sayeu Anmed	Тагек	120
Schaeter	Marcus	69
Schaeffer	Benjamin	32
Schoutens	Hans	38
Schreiner	Pavel	111
Schwichtenberg	H.	66
Sela	Zhi	1

Semakina	Irina A:	153
Serembus	John	143
Setzer	Anton	10
Shepherdson	John	54
Shi	Niandong	175
Shimoda	Mamoru	165
Shore	Richard	51
Slaats	Noemie	137
Slinko	Arkadii	51
Solovyev	Valery	67
Sotirov	Vladimir	160
Speissegger	Patrick	21
Spinas	Otmar	55
Stephan	Frank	31
Starchenko	Sergei	40
Strahm	Thomas	8
Švandová	Blažena	155
Terwijn	Sebastiaan A.	50
Tishkovsky	Dmitry	106
Toffalori	Carlo	116
Tupailo	Sergei	44
Turunen	Esko	166
Ueno	Takeshi	77
Urguhart	Alasdair	41
Vakarelov	Dimiter	103
Van Heule	Dirk	135, 136
Van der Hoeven	Joris	19
Veith	Helmut	163
Veldman	Wim	108
Veloso	Paulo A.S.	176
Veloso	Sheila R. M.	176
Vigano	Luca	101
Vojtáš	Peter	168
Von Plato	Jan	139
Welch	D. Phillip	14
Wojtylak	Piotr	92
Wrigley	Michael B	154
Xunwei	Zhou	159
Zadykhailo	D.K.	157
Zelbert	M.I.	157
Zeman	Martin	59
Zhang	Yi	65
Zlatoš	Pavol	47