AD

AWARD NUMBER DAMD17-94-J-4066

TITLE: Tissue Banks and Cell Lines Derived from Patients with a High Risk of Breast Cancer and in situ Disease

PRINCIPAL INVESTIGATOR: Barry A. Gusterson, Ph.D.

CONTRACTING ORGANIZATION: Institute of Cancer Research Sutton Surrey, London

REPORT DATE: May 1998

TYPE OF REPORT: Final

PREPARED FOR: Commander U.S. Army Medical Research and Materiel Command Fort Detrick, Maryland 21702-5012

DISTRIBUTION STATEMENT: Approved for public release; distribution unlimited

The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision unless so designated by other documentation.

REPOR	T DOCUMENTATION PAGE		Form Approved OMB No. 0704-0188
gathering and maintaining the data needed, and completing and in collection of information, including suggestions for reducing this	nated to average 1 hour per response, including the time for review reviewing the collection of information. Send comments regarding burden, to Washington Headquarters Services, Directorate for Inf the Office of Management and Budget, Paperwork Reduction Pro	this burden estimate or any other aspect of this ormation Operations and Reports, 1215 Jefferso	
1. AGENCY USE ONLY <i>(Leave blank)</i>	2. REPORT DATE May 1998	3. REPORT TYPE AND DATES Final (1 Nov 94 -	
4. TITLE AND SUBTITLE Tissue Banks and Cell Lines D and in situ Disease	erived from Patients with a High	n Risk of Breast Cancer	5. FUNDING NUMBERS DAMD17-94-C-4066
6. AUTHOR(S) Gusterson, Barry A., Ph.D.			
7. PERFORMING ORGANIZATION NAME(S) ANI Institute of Cancer Research Sutton Surrey London SM2			8. PERFORMING ORGANIZATION REPORT NUMBER
9. SPONSORING / MONITORING AGENCY NAM U.S. Army Medical Research Fort Detrick, Maryland 2170	and Materiel Command		10. SPONSORING / MONITORING AGENCY REPORT NUMBER
11. SUPPLEMENTARY NOTES			
12a. DISTRIBUTION / AVAILABILITY STATEME Approved for public release	NT ; distribution unlimited.		12b. DISTRIBUTION CODE
13. ABSTRACT <i>(Maximum 200 words)</i>			1
specimens of patients who ar being conditionally immortal construct. These cells are from received from 46 women, fiv BRCA1 carriers, four with con banked and immortalization c patients that have been sent to reviewed of <i>in situ</i> breast can study. The cases from 1994-9 being used for Comparative G a joint European Union fund	e carrying genes that predispose lized using an amphotropic retr m patients who are mutation car e of which have a Li-Fraumeni nfirmed mutations. In the majori ommenced. In parallel a detailed to the Royal Marsden Hospital mer from over 700 cases. Mate 6, with short follow up are bein Genomic Hybridisation (CGH) an ed project to develop a CD ROU a Dr R Cardiff and Dr L Henning	them to breast cancer. roviral vector transducir riers of BRCA1, BRCA - phenotype, with confi ty of cases primary cult data-base has been est Breast Unit since 1970 erial from over 500 pati g used to pilot the mol d allelotyping, for immu M to produce a uniform	stromal cells from mastectomy Epithelial and stromal cells are ag the tsA58-U19 SV40-derived .2, and p53. Samples have been rmed mutations. Seven cases are ures have been established, cells ablished of <i>in situ</i> disease from . Over 5,500 slides have been ents has been selected for further ecular studies. This material is nohistochemical studies and in . classification of <i>in situ</i> disease. orporate these cases onto a WEB
14. SUBJECT TERMS Breast Cancer Familial Br			15. NUMBER OF PAGES 36
i annai Di	east Cancer, Li-Fraumeni, Carcin ast, Immortalization	noma <i>In Situ</i> ,	16. PRICE CODE
17. SECURITY CLASSIFICATION OF REPORT	18. SECURITY CLASSIFICATION OF THIS PAGE	19. SECURITY CLASSIFICATION OF ABSTRACT	
Unclassified	Unclassified	Unclassified	Unlimited
NSN 7540-01-280-5500		Standard Form 298 Prescribed by ANSI	Rev. 2-89) Std. Z39-18 298-102

x 4

•

FOREWORD

Opinions, interpretations, conclusions and recommendations are those of the author and are not necessarily endorsed by the U.S. Army.

Where copyrighted material is quoted, permission has been obtained to use such material.

Where material from documents designated for limited distribution is quoted, permission has been obtained to use the material.

Citations of commercial organizations and trade names in this report do not constitute an official Department of Army endorsement or approval of the products or services of these organizations.

In conducting research using animals, the investigator(s) adhered to the "Guide for the Care and Use of Laboratory Animals," prepared by the Committee on Care and Use of Laboratory Animals of the Institute of Laboratory Resources, National Research Council (NIH Publication No. 86-23, Revised 1985).

STAC: For the protection of human subjects, the investigator(s) adhered to policies of applicable Federal Law 45 CFR 46.

In conducting research utilizing recombinant DNA technology, the investigator(s) adhered to current guidelines promulgated by the National Institutes of Health.

In the conduct of research utilizing recombinant DNA, the investigator(s) adhered to the NIH Guidelines for Research Involving Recombinant DNA Molecules.

In the conduct of research involving hazardous organisms, the investigator(s) adhered to the CDC-NIH Guide for Biosafety in Microbiological and Biomedical Laboratories.

B.A. G. L. PI - Signature

19980807 011

TABLE OF CONTENTS

.

•

£.

		Page	No.
(1)	FRONT COVER		1
(2)	SF 298 REPORT DOCUMENTATION PAGE		2
(3)	FOREWORD		3
(4)	TABLE OF CONTENTS		4
(5)	INTRODUCTION		5
(6)	BODY		7
(7)	CONCLUSIONS		17
(8)	REFERENCES		19
(9)	BIBLIOGRAPHY		21
(9)	APPENDIX		23

(5) **INTRODUCTION:**

¥

Breast cancer is now recognised as an heterogeneous disease in which there are multiple molecular abnormalities which progressively accumulate to result in the clinical and morphological phenotypes seen as breast cancer. As the dissection of these molecular events is undertaken at the gene level it is essential that relevant cell systems are established to act as future targets in which to understand the function of the proteins encoded by these genes. In particular it will be necessary to establish human models in which to study the function of predisposing genes. Also it is essential that systems are established now that will enable researchers to study the importance of combinations of molecular genetic abnormalities and their relative contributions to the tumor phenotype. In parallel it is important that material is available from the earliest stages of malignancy which can be used to assess the relevance of the *in vitro* and molecular data.

This project is in two parts, which is focussed on developing an infrastructure resource that will enable research groups to address questions particularly related to the early stages of breast cancer evolution, and also to provide systems that will enable advances to be made related to prevention, diagnosis and treatment.

(I) Part 1 Familial Breast Cancer:

Between 5-10% of breast cancer is due to cancer predisposing genes. In the United Kingdom, there are 25,000 new cases of breast cancer per year, therefore about 2,500 cases each year could be due to a cancer predisposition gene. Two genetic models could account for the genetic predisposition to breast cancer. The first is the presence of rare, but highly penetrant genes which would account for about 10% of all breast cancer cases; the second is more common, less penetrant genes which would confer a lower cancer risk to each individual gene carrier, but due to its wider distribution, such a gene would contribute to a larger number of breast cancer cases (maybe as high as 86%). It is now clear that familial breast cancer is a heterogeneous disease, and a combination of these two models is the most likely.

Two autosomal genes BRCA1, and BRCA2 with high penetrance have been cloned (1,2) and gene carriers have a lifetime risk of breast cancer of 80%. Although rare, germline mutations in the p53 gene confer a very high breast cancer risk - 90% by age 50, (3). It is likely that lower penetrance genes contribute to a larger percentage of overall population breast cancer risk. One such candidate would be ataxia telangiectasia (AT) since AT heterozygotes have a relative risk of breast cancer at about six times that of the general population (4). The AT gene has been recently cloned (5).

This project is aimed at providing a resource of cells, cell lines and frozen tissues from patients that have an increased risk of developing breast cancer due to the fact that they are carriers of breast cancer susceptibility genes. Included in the study are patients from families with BRCA1, BRCA2, Li-Fraumeni and Li-Fraumeni syndromes and patients with ataxia telangiectasia and Cowden's. Establishing these cells in culture will provide systems for both primary studies of the abnormal genes in comparison with the wild type, but also models in which to study synergistic effects of genes, so enabling analyses of the early events in breast cancer.

Such in vitro systems will also provide relevant models to:

- a) explore the reversal of the predisposed phenotype using genetic manipulation;
- 5

- b) carry out drug testing for both prevention and treatment;
- c) test radiation sensitivity to enhanced risk.

In order to study the effects of putative breast cancer predisposing genes, it is necessary to have access to a bank of cells of an appropriate phenotype, derived from such individuals. As the great majority of breast cancers are derived from luminal cells in the breast epithelium, it is these cells that must be acquired and established *in vitro* as the primary resource. In addition, however, there is accumulating evidence for a role of fibroblasts in both the modulation of mammary morphogenesis and tumor progression. In order to cover all possible mechanisms of action of predisposing genes it is therefore necessary to establish cultures of stromal cells and myoepithelial cells from the same patients.

A number of groups including our own have, over the past decade, developed methods whereby the cells from human breast epithelium, which include both luminal and myoepithelial types, can be cultured *in vitro* and cloned (6). However, we are the first group to have developed methods whereby the constituent cells of this epithelium can be separated and cultured as pure cell populations. Our initial studies utilised FACS and exclusively expressed surface antigens present on the different epithelial cell types to sort them. This method has given populations of very high purity (>98%) but in relatively low yields (<10⁵ cells/preparation). Such preparations have, however, enabled us to demonstrate that it is the myoepithelial cells, which de-differentiate in culture to give a simple basal epithelial phenotype, which rapidly come to dominate 'mixed' cultures derived from the intact epithelium. As such cells do not seem frequently to give rise directly to breast cancers, they must be separated in bulk from the luminal component if relevant culture systems are to be established from genetically pre-disposed individuals. In addition, methods have had to be developed for efficient conditional immortalisation of small numbers of cells (7) (described in more detail in Section 6). We have recently started to use the amphotropic system developed by Denise Galloway (8), which is based on the HPV 16, E6 and E7 genes for cell immortalisation.

We have been successful in obtaining breast tissue from a number of women with an increased familial risk of breast cancer and have established primary cultures from a high proportion of these.

(ii) Part 2 In Situ Data-Base:

Owing to the breast screening programmes, pathologists are seeing an increasing number of small tumors of which approximately 25% are ductal carcinoma *in situ*. This is providing more material for experimentation, but as these lesions have a good prognosis, long term follow up is required before any parameters measured in these tumors can be evaluated as predictors of behavior. It is, therefore, essential that large series of retrospective cases are accumulated that can be used to correlate the results of future *in vitro* gene expression experiments with the *in vivo* pattern of expression and how it relates to the stage in tumor progression and parameters that can be measured directly in tumor samples. In addition it is clear that *in situ* breast cancer is itself an heterogeneous disease at the molecular level (9,10,11). To arrive at answers as to the relative importance of new genetic abnormalities it will, consequently, be necessary to combine the data from many large centers that specialize in breast cancer. It can be predicted that pathologists will in the future be defining a molecular "bar-code" of *in situ* disease which will give predictive rather than prognostic information. It is, therefore, essential that large banks of early lesions are available to assess the relative importance of individual genetic abnormalities and the order in which they occur. By pooling material and data it will be possible to obviate the reporting of small series that are often

misleading and remain unsubstantiated. In this context the objective of this part of the proposal is to identify a well characterized group of *in situ* cancers.

The Breast Diagnostic Unit of the Royal Marsden Hospital recruited its first patient in 1967. The objective was to offer a screening service to women who were perceived to have a high risk of breast cancer. The criteria used for defining a family history at that time were rather ill defined and thus all patients with a first or second degree relative affected were recorded. Clinical data, mammograms, and information on risk factors are recorded on the majority of the 30,000 patients seen since that time which includes over 600 cases with pure in situ carcinoma, according to the original pathology reports. The in situ cancers in this data set are a self selected population and thus not representative of a modern screening population; however, the material is valuable owing to the long median follow up and its use for molecular and immunohistochemical studies. A priority has been in the first two years to establish a separate data-base of the *in situ* cases and to review the pathology using modern criteria. This has been done in conjunction with a record of all the clinical data available relating to the macroscopic appearance of the lesions, diagnostic tests, treatment and follow-up (see APPENDIX for information now included on the data-base). During the pathology review we have identified representative paraffin blocks that contain material for future studies. In the third year of the grant we have started a number of projects using this material which are described later.

In the third year we extended the data-base to include all cases of LCIS and DCIS from 1994-1996. This has provided a further 100 cases that we have reviewed for future pilot studies. We have recently received 3 year support for a study to carry out a detailed molecular analysis of some of these cases and in addition access to the data-base has provided the possibility of a collaboration (Drs R Houlston and M Stratton) starting a linkage study on families with LCIS, supported by the Cancer Research Campaign.

(6) BODY

(i) Familial Breast Cancer In Vitro

a) The Structure of the Cancer Family Clinic

Risk estimates are computed from the family structure. If the family is likely to be carrying BRCA1 (families with both breast and ovarian cancer or families with >4 cases at less than 50 years), the risks are computed from the Breast Cancer Linkage Consortium data. Risk figures for Li-Fraumeni and Li-Fraumeni-like families are computed where gene carriers have a 90% risk of breast cancer before 45 years. Risk figures for individuals in families unlikely to be due to BRCA1 or p53 are computed from the Claus study (12). Referrals are sent from surgeons, oncologists and mammography screening centres nationwide. We have had problems in receiving sterile specimens from other hospitals. This has resulted in the loss of many specimens due to bacterial or fungal contamination, presumably carried over from the pathology cut up area. However, in spite of these limitations we have managed to establish cultures from 46 referred cases and have commenced immortalisation of 19, using the methods described below.

b) Material resources:

Prior to starting this project the genes (other than mutant p53) which pre-dispose to breast cancer

in a familial context had not been identified. Even though the BRCA1 and BRCA2 genes have been identified the women in the UK coming to surgery do not have ready access to the test for mutations in this gene. This is unlikely to change due to financial restrictions in the National Health Service. We are currently using samples from normal individuals at high risk of subsequent cancer, and affected women (>75% of cases are affected). This approach has required the processing of a relatively large number of samples so as to ensure that at least some samples are from *bona fide* carriers. These samples will then be tested retrospectively once the tests are routinely available. As can be seen from Table 1 in the Appendix summarises the material that has been collected on some of the key cases. We also have primary cultures from one patent with Klinefelter's syndrome and a patient who has a Cowden's phenotype in which cells are currently being grown up for molecular analyses with the patients consent. The question of patients consent for testing is an important issue and thus all BRCA1 cases to date have been carried out in affected individuals (i.e. they have breast cancer) after ethical approval and counseling by the Genetics Clinic.

c) Tissue preparation:

On receipt of the specimens they are subjected to routine pathology description and investigation for which Professor Gusterson is responsible. All patients at the Royal Marsden Hospital and referring hospitals give informed consent for all tissue removed at operation to be used for research purposes.

Samples for culture are processed as described previously (6). Briefly the breast tissue is chopped into a fine mince with scissors and the epithelial "organoids" prepared by progressive collagenase digestion, sedimentation and filtration. Primary epithelial cultures will be prepared by seeding 1,000 to 2,000 stromal-free organoids into 75 cm² plastic culture flasks in RPMI 1640 medium with 10% (v/v) fetal calf serum, 5µgml hydrocortisone, 5µgml of insulin and 100 ng/ml of cholera toxin plus penicillin and streptomycin. After 7 days, when the organoids have mobilised and spread to form near-confluent epithelial cultures cells are harvested by trypsinisation. Samples of all cell types in primary epithelial cultures are harvested and stored, in replicate, as frozen cell samples in liquid nitrogen. These can be retrieved and used at a later date for bulk cell preparation using the methods described. In this first year, only the Li Fraumeni patients have had a proven genetic phenotype and thus in the majority of cases we have not processed the tissue further.

d) Epithelial cell separation and immortalization:

Mixed epithelial cultures have been further processed in selected cases by MACS sorting on the basis of the exclusive expression of the epithelial membrane antigen by luminal cells and the expression of CD10 on myoepithelial cells as previously described (13). Using this type of methodology it is possible to produce in excess of 10^7 cells. Purified populations of cells have been obtained where possible and stored for further analyses.

The following explains the technique used to establish immortalized cells. Having established a high titre amphotropic packaging line producing replication-disabled retrovirus that encodes the tsA58-U19 gene within the pZip(neo)SV(X)1 vector, we have used this to immortalize purified human mammary cells in the following manner. FACS sorted preparations of epithelial membrane antigen positive cells have been established in short-term clonal culture, as described by (6). After selection for the neomycin resistance gene that forms part of the vector, a pure population of tsT-antigen expressing cells is obtained (7). Fibroblastrs are also purified from the digested breast tissue and

stored in liquid nitrogen. Although an SV40 based system has limitations in so far as effects of the viral gene are concerned these are minimised by the use of a temperature sensitive system. At this time it is the most efficient and controllable system available for reproducible immortalization of human cells. As stated above we have commenced the immortalization process on cultures from 19 patients/women. In the last six months we have also started to use an amphotropic system developed by Dr Galloway (8) that utilises the E6 and E7 genes of human papillomavirus type 16.

In the original proposal we set ourselves a number of tasks. Below is a summary of achievements measured against the objectives:

Objective:

Task 1, Separation and banking of epithelial and stromal cell types from breast tissue of predisposed individuals, Years 1-2:

- a. Breast tissue will be separated into component cell types using a combination immunomagnetic (epithelial) and selective digestion (stromal) techniques.
- b. Cultures will be assessed for relevant purity using flow cytometry of cell-type specific antigens and multiple immunofluorescence methods.
- c. Pure cultures will be banked in replicate in liquid nitrogen to await identification of specific predisposing genotype.

Achievement:

We have achieved our goal in relation to making primary cultures which is very labour intensive. We aimed to use years 1 and 2 to produce the primary cultures. In many preparations a,b and c have been achieved (see Table 1 in Appendix). We have had to train a new member of staff in this difficult technique so the success rate has been very good. In year 2 we proceeded with more specimens and commenced the immortalization of selected cultures where we had a very strong family history or knew the molecular phenotype. This has resulted in us establishing primary cells and commencing immortalization of primary cells from four known p53 mutations (one is a splice donor site mutation and the others are mutations in codons 243, 248 and 273). The p53 mutations are being analysed in collaboration with Dr Eeles who is a co-applicant on the grant. We have primary luminal cells and infected cells from three patients with known BRCA1 mutations, two of which are deletions in exon 11 (del 1294 and a four base pair deletion at 4184) and one is the Ashkenazy mutation 185 del AG. In both the BRCA1 and the Li Fraumeni cases we have managed culture keratinocytes and myoepithelial cells in addition to the breast luminal. These cells will be an important resource for future studies addressing the question of cell specificity of predisposition of action of both BRCA1 mutations and p53 mutations in the case of Li Fraumeni. We have large numbers of cells that are in primary culture and at the stage of primary infection. These will be characterised internally now that we have the established technology at the Institute of Cancer research for BRCA1/BRCA2 testing. Because the patients are being taken through the routine genetics clinic and counseling system we have to wait some months before we can submit samples for molecular analyses. In addition the analyses will only detect the mutation in 80% of cases and in the other 20%, with strong linkage, the mutation can not be identified within the coding sequence of BRCA1. We have cells from three patients that appear to be BRCA2 families, in two of which the

index cases were male breast cancers.

Task 2, Establish cell lines from specific genotypes, Year 3:

- a. Examples of high penetrance genotypes will be immortalized using retroviral gene transfer and studies initiated to characterise the cells.
- b. We will initiate our xenograft programme of tumours from BRCA1 and BRCA2 cases. This was not an objective in the original program.

Estimated numbers of tumours available to us from different categories of patients at increased risk:

BRCA1 and BRCA2 Carriers

A major delay in studying the function of BRCA1 and BRCA2 has been the lack of null cell lines that could be used for biological studies. Tumour cell lines from patients that are carriers would be one approach. The percentage of breast cancer cases diagnosed at <50 years which are due to BRCA1 is estimated to be under 5%. It is conceivable that a high proportion (as high as 80% data from our clinic), of BRCA1 carriers would have bilateral mastectomy. There are 6000 cases of breast cancer per year diagnosed at <50 years in the UK, so 300/year may be carriers of a BRCA1 mutation. At the Royal Marsden Hospital 2,500 patients have been entered into the Tamoxifen prevention programme. The majority of these patients were selected for the trial because of their strong family history. The trial has now been underway since 1985 and some of the well women (52) are now developing breast cancers. These women are being tested for BRCA1 and BRCA2 and the material is available for research purposes.

Li Fraumeni and Li Fraumeni-like Families

We are the first centre in the UK to offer predictive TP53 gene testing and have established collaborations with seven other major UK genetics clinics who refer patients for testing and mastectomy samples from prophylactic operations. About five mastectomies/year would be obtained from these families.

Ataxia Telangiectasia

It has been estimated that up to 7% of breast cancer cases may be due to this gene. We estimate that about 10 prophylactic mastectomies/year would be in AT heterozygotes.

Achievement:

Progress with this task has been very disappointing as we have only a few cells that have been infected and these are currently undergoing crisis and we are waiting for cells to grow out. We have had six breast carcinomas from BRCA1 patients and all of these have been put into primary culture using culture conditions that are used for breast luminal cells. All tumours have been xenografted into *nu/nu* mice. In spite of their highly aggressive phenotype in the patient (they were all Grade 3 histologically) they did not grow in culture or in the mice. They thus appear to have similar growth characteristics *in vitro* to sporadic breast cancers, that are difficult to establish *in vitro*. We intend to pursue the characterisation of these cells in which the mutations have been confirmed even though the grant period is completed as they will be an important resource for the scentific community.

As the work on establishing the cell lines was held up we have also been pursuing studies on cell cycle control in breast carcinomas arising in women with known BRCA1 and BRCA2 carrier status. A data-base has been produced of over 80 cases with grade and age matched sporadic controls. These have been used for mechanistic studies (see below).

(ii) Task 3, Establish a data-base and tissue bank of *in situ* disease

Objective:

The cell biological resource produced by the technique described above will facilitate research by producing cell systems that can be utilised for analyses of genes involved in the multistep process of breast cancer. It will, however, be necessary to constantly return to the actual disease to assess the relevance of these findings. It is therefore the purpose of this part of the proposal to establish a data-base of patients presenting with purely *in situ* breast cancers and epithelial atypias at the Royal Marsden Hospital since 1967. More recently we have extended the objectives in parallel to build up a data - base of histological material from tumours with known BRCA1 and BRCA2 mutations, which has already formed the basis for a number of studies.

Achievement:

We have identified over 700 cases recorded as *in situ* carcinoma that are to be considered for incorporation into the data set. In the Appendix a tables of the information recorded on the database is provided together with examples of the Histopathology Review Form, the Patient Information Check List that has been used and an example of the patient data recorded. The following has been carried out and recorded on over 600 cases that have been reviewed so far and are on the data-base. A further 100 cases have had histology review, but are not on the database.

- a) The histology of all material on these cases has been reviewed by Professor Gusterson and information put on the data base from the Histopathology Review Form. The review form is identical to that used in the UK National Screening Programme. This form has, however, been recently amended to incorporate a new definition of DCIS and its grading (14). The grading system is based on that agreed by the European Pathologists Working Group and in addition includes a definition of atypical ductal hyperplasia using the criteria of Page (15).
- *b)* Tissue blocks that contain sufficient material have been identified and marked for future study. In particular data has been recorded to identify interesting cases where transitions could be defined from normal, through epithelial proliferation without atypia, to atypia, and *in situ* carcinoma.
- *c)* We have cut one unstained section and 10 unstained sections mounted on silane coated slides for future use. In addition, blocks have been identified that have sufficient material for microdissection of DNA from specific lesions.
- d) In 450 cases clinical information has been recorded for future clinico-pathological correlations. We have still to complete the 'flagging' of all cases so that registration of subsequent cancer in the case of the benign diseases, and of death can be recorded. This is being carried out in conjunction with the National Health Service Central Register and the Local Cancer Registries.

- e) Because patients coming to the Breast Diagnostic Unit were considered to be of high risk, they include many cases that appear to have a family history of breast cancer. It is, however, essential that proper family histories are taken. We have now carried out family histories on all cases of LCIS as part of another study (see later), and the data are being incorporated into the data-base. Within this data set there are cases of metachronous and synchronous bilateral disease. These have been recorded. Family histories on the DCIS cases have not been carried out.
- *f*) We have identified those cases of *in situ* cancer where it is difficult to establish the presence or absence of microinvasion (see tables in Appendix), as these may be useful for future studies.

We have therefore made a considerable impact on our objectives, having completed the review and the data-base. We have histologically reviewed all of the new cases 1993-1996 and identified cases suitable for pilot molecular studies. It is clear that pathologists have great difficulty in agreeing an objective criteria for diagnosing atypical lesions (16) and as can be seen below we have won funding to investigate this using molecular profiling.

- g) We have used the data-base to assess the best methods of grading of DCIS: This is based on a comparison of three proposed methods (14,17 and 18).
- *h*) As stated below we are using this material for molecular studies to address specific questions in relation to the variant phenotypes seen in *in situ* breast cancer using LOH analyses at specific loci. These studies are supported by other funding sources and will utilise the expertise that we already have in this area. We have used the data-base to carry out preliminary studies that have confirmed that the material is suitable for both LOH and CGH analyses (submitted for publication). We have identified key samples showing transitions between epithelial hyperplasia without atypia, epithelial hyperplasia with atypia and variant grades of DCIS (see Table in Appendix). This will be an important data set for molecular analayses of tumour progression (see below).
- *i)* The cases have been used to compare 5 different classifications of DCIS to assess which is the best predictor of local recurrence (35).

New Studies Using the Data-Base:

1. The Role of Genetic Susceptibility in lobular carcinoma in situ (LCIS) (In collaboration with R Houlston and M Stratton)

LCIS confers an elevated risk of invasive cancer. Over the twenty-five years following diagnosis, approximately one-fifth of LCIS cases will develop invasive cancer. Many of these occur in young women, and as a result the relative risk of breast cancer in LCIS cases is high, of the order of 10. Invasive cancers are equally likely to occur in the contralateral breast as in the breast known to carry LCIS, consistent with the observation that the disease is frequently multicentric. This is in contrast to partially resected DCIS in which the invasive cancer usually develops in the same quadrant of the same breast as the DCIS. A proportion of LCIS cases also develop second primaries. 50% of invasive cancers developing upon a background of LCIS are lobular in

histological type, the remainder being a mixture of ductal NOS, tubular and others.

The biological nature of LCIS and its relationship to invasive cancers in controversial. The multicentricity of the disease has led some authors to propose that it is a hyperplastic rather than a neoplastic process. Some authorities regard it solely as a risk indicator for invasive cancer or a morphological marker for the carcinogenic stimulus, implying that the cancer itself does not arise from the abnormal LCIS cells. An alternative view, which is generally accepted for DCIS, is that LCIS cells are intermediates in the progression to invasive cancer.

The aetiology of LCIS is also unclear. The preponderance of young premenopausal women with this disease is suggestive of a dependence upon endocrine factors. However, oophorectomised women have also developed LCIS and the disease is not restricted to premenopausal women. Moreover, dependence upon endocrine factors does not mean that the carcinogenic influence itself is endocrine mediated. The pattern of early age of onset and multicentricity invite consideration of a heritable susceptibility. This is supported by recent data from Professor Stratton's laboratory which indicate that foci of LCIS are clonal (19). These results suggest that LCIS is a disease characterised by multiple low grade neoplasms, a pattern reminiscent of other heritable conditions such as familial polyposis coli or neurofibromatosis. However, there is no direct information concerning the familial incidence of LCIS, or the risk of invasive cancers in relatives of patients with LCIS. LCIS is not a notable feature of known breast cancer predisposition syndromes such as those due to the BRCA1 or p53 genes and therefore may be an indicator of a previously unrecognised, novel cancer predisposition syndrome in which the penetrance for invasive cancer is relatively low.

In this study the aim is to assess the risk of breast and other cancers in relatives of patients with LCIS. This work is funded by the Cancer Research Campaign and is using the cases of LCIS in the data-base as the index cases for both follow up to investigate the phenotype of the invasive tumours subsequent to the LCIS and the tumours arising in family members. This work has been approved by the Royal Marsden Hospital Ethics Committee.

2. A molecular analysis of LCIS, DCIS and ADH (With Dr Janet Shipley, Dr Y-J Liu and Dr P Osin, funded by Breakthrough Breast Cancer and the Friends of the Hebrew University)

The National Breast Cancer Screening Programme has resulted in a large increase in the proportion of breast lesions biopsied that produce diagnostic difficulties. This is reflected in the inconsistencies in diagnosis between pathologists in the National Quality Assurance Scheme. Many of these "difficult" lesions are of unknown biological significance. Removal of lesions could result in some instances of overdiagnosis, resulting in an apparent decrease in mortality statistics and an increase in incidence of cancer. Under diagnosis of malignancy will result in the converse. Recent molecular advances may facilitate rapid analyses of these diagnostic dilemmas, but firstly it is important to establish the molecular profile of the malignant phenotype. This proposal builds on a unique database of over 450 *in situ* breast carcinomas and atypical lesions. The material provides a resource in which to establish the molecular phenotype of specific morphological entities and borderline diagnoses. Recently developed methods will enable detailed allelotyping and in parallel comparative genomic hybridisation (CGH) analysis, to identify chromosome gains and losses, of these lesions microdissected from paraffin embedded material. Combining these molecular methods with routine pathology we aim to establish, within a three year project, a molecular profile that will enable an

objective assessment of difficult breast lesions and identify consistent areas of gene amplification and chromosome loss that will facilitate future studies to identify genes involved in the early stages of tumour progression.

a) Ductal carcinoma in situ:

Ductal carcinoma *in situ* (DCIS) accounts for approx. 5% of symptomatic and approximately 20% of screen detected cancers. It is thus a lesion which is of increasing clinical significance. There is no consensus on how to treat woman with DCIS or, atypical ductal hyperplasia (ADH). This reflects the fact that little is known about the natural history or the molecular biology of these lesions and how they relate to the invasive carcinomas that arise in the same women. There have been many recent attempts to classify DCIS, using features such as nuclear grade, morphological growth pattern and necrosis. A recent study has shown that the current classification as advised by the National Coordinating Group for Breast Screening Pathology is the most consistent in predicting recurrence of invasive or *in situ* disease.

The classification referred to above is not ideal for the reporting of breast lesions as all cases with cytological atypia that do not conform to the strict criteria of atypical ductal hyperplasia are classified as benign. This is a good pragmatic approach to produce a consistent classification, but the true malignant potential of the lesions that are cytologically atypical must be addressed. As the lesions are excised, this can not be done by follow up studies, but only by analysing their molecular profile in comparison with lesions that are definitely malignant.

There are other important questions that need to be addressed. The relationship between the small cell variants of DCIS (cribriform and micropapillary) and LCIS is worthy of investigation. In addition there are a significant number of small cell variants of DCIS that have a solid growth pattern and are very uniform with a morphology similar to the cells seen in LCIS. These lesions are the subject of considerable disagreement in classification between pathologists. Another important issue is the relationship of epithelial hyperplasia without atypia, to ADH and DCIS.

b) Lobular carcinoma in situ

Lobular carcinoma *in situ* (LCIS) accounts for 0.5% of symptomatic and 1% of screen detected cancers. An estimated 15-20% of women with LCIS develop invasive carcinoma, frequently of the ductal type, and a further 10-15% will develop breast cancer of the other breast. There is no real consensus on how to treat woman with LCIS or the putative precursor lesion, atypical lobular hyperplasia (ALH). This reflects the fact that little is known about the natural history or the molecular biology of these lesions and how they relate to the invasive carcinoma that arise in the same women

Limited findings suggest that lobular lesions may arise through different molecular mechanisms to those described as ductal, which is in keeping with the different clinical and morphological picture. LOH analysis carried out at the Institute/RMHT on LCIS indicated some genetic differences to DCIS (19). In addition, limited allelotyping of DCIS (20) and of atypical ductal hyperplasia (ADH) indicated the clonal nature of ADH as defined by the National Screening Programme and the similarity in phenotype between comedo DCIS and an invasive component in the same tumour. Loss at 16q22 has recently been shown to involve the cellular adhesion molecule, E-cadherin, specifically in lobular cancer (21).

We have identified a large number of cases in the data-base where DCIS, ADH and epithelial hyperplasia without atypia occur in the same specimen. In addition we have identified cases where DCIS is associated with LCIS (see Appendix).

Methods:

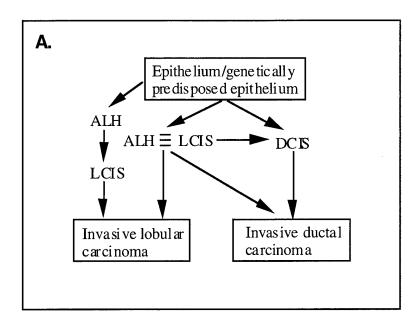
Molecular genetic analysis.

a) LOH analysis. A set of primers covering 220 loci at intervals of approximately 13centiMorgans is available to use for this study. Initially a set of 40 or 80 evenly distributed markers, which yield small products, will be used in the manner previously described by Lakhani et al. (19). As the amount of material dissected from hyperlpastic and in situ lesions limits the analysis to 4-6 markers per lesion, samples with large numbers of in situ lesion have been selected and will be analysed with different markers. Although heterogeneity between individual lesions is expected, consistent, implying significant, regions of allele loss between samples may emerge. The allelotype analysis will be carried out in collaboration with Dr. Sunil Lakhani (formerly at the Royal Marsden Hospital and now at University College, London) and Dr Mike Stratton (Reader in Molecular Genetics at the Institute of Cancer Research), who have extensive experience in this area. The amount of material from the associated invasive lesions should not be limiting and a detailed allelotype of these will be determined. The aim is to determine consistent regions of allele loss and to produce the most comprehensive profile of the allelotype of the lesions as possible.

CGH analysis. The approach of CGH will screen the whole genome for gains, losses and b) amplification of genomic material and is therefore complementary, and may provide supplementary information to, the LOH analysis. CGH was originally described using high molecular weight DNA from cell lines and fresh material. We have previously used CGH to identify gains and losses of material in rhabdomyosarcoma (22) and, using cell lines with known amplicons, shown that it is possible to detect copy number changes affecting in the region of 2-10 megabases of DNA (23). Combining this approach with the polymerase chain reaction using degenerate oligonucleotide primers (DOP-PCR) sufficient representative DNA can be generated to enable analysis of archival material and small regions of specimens in a way not previously possible. The DNA produced and normal reference DNA are differentially labelled with fluorochromes and hybridised to normal metaphase chromosomes under suppression conditions with unlabelled Cot1 DNA. A fluorescence ratio outside the normal range at a particular chromosome location is indicative of a copy number change in that region. The feasibility of using paraffin material in this way was first demonstrated in late 1993 (24). We have recently established this approach and in the appendix is a demonstration of using paraffin embedded material. The copy number changes of specific chromosomes indicated by CGH would be confirmed by hybridising probes to paraffin embedded sections. We have previously performed this type of analysis.

In addition we have identified 10 cases where LCIS was followed by infiltrating ductal carcinoma and 10 cases where DCIS is associated with LCIS. It will be of particular importance to investigate whether there is evidence at the molecular level for the ductal carcinoma having evolved from the 'precursor' LCIS and the relationship between LCIS and DCIS in the same breast. This can be done by following the molecular profile of the lesions using both CGH and allelotyping analyses.

Results On Molecular Profiling:



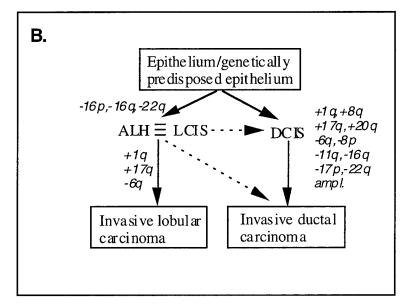


Figure 1. A. shows a postulated model for the possible relationships between atypical lobular hyperplasia, lobular carcinoma and invasive lobular and ductal carcinoma. B. shows the model incorporating the results from this study and including the most frequent associated chromosomal imbalances. The dashed lines remain uncertain.

We have started with LCIS and ALH as this could provide a lead in the hunt for a gene involved familial breast cancer through the analyses of the bilateral cases and at the same time address a fundamental question on whether LCIS and ALH are identical from a molecular standpoint and thus only different in terms of the number of cells present. A summary of the CGH data is shown in the Table in the Appendix and in Figure 1.. ALH and LCIS were identical and there was no evidence of a common phenotype in the bilateral cases which had the same spectrum of gains and losses as in the unilateral cases. These data were presented at the 'Era of Hope' meeting (25) and at the Pathological Society of Great Britain and Ireland (26). A paper has been submitted (27).

3. Use of the data -base for Educational Purposes

The data -base is being used in two projects, one of which has completed the pilot stage and another which is about to commence. The first project is a European Union funded programme called BREAK IT. This project is aimed at producing CD ROM as a teaching aid to pathologists with the intention of obtaining consistent classification and uniform reporting of breast cancers throughout Europe. This will be an interactive teaching aid and will utilise cases from the DCIS data-base. Another project under discussion with Dr R Cardiff and Dr L Hennighausen is to use cases from this data base as part of an educational programme at the NCI headed up by Dr Henninghausen through his Mammary Gland WEB site. We are currently assessing the material for its utility.

4. Breast cancers arising in women carrying BRCA1 and BRCA2 mutations

One of the post holders on the US Army grant has been staining sections of breast cancers as part of a detailed analysis of the phenotype of these tumours with particular respect to their predicted hormone responsiveness and abnormalities in cell cycle check points and p53 function. The pilot study published recently (28, 29) has now been followed up with detailed studies at both the molecular and protein expression level (30, 31, 32, 33).

We have performed a detailed phenotyping of 50 breast tumours from BRCA1/2 families. We have investigated the expression and status of p53, expression of cyclin-dependent kinase inhibitors $p21^{Waf1}$ and $p27^{Kip1}$, expression of cyclin D1, oestrogen receptor, progesterone receptor and oestrogen responsive gene pS2. Matched series of high grade sporadic breast tumours have been used as a control group.

We found that p53 mutations are present in each BRCA1-associated and in many of BRCA2associated tumours. Mutations occurred in BRCA1-associated tumours were frequently multiple and novel "hotspot" sites for p53 mutation different from those previously described occurred both in BRCA1-associated and BRCA2-associated tumours (31). Low level of expression of oestroger receptor, progesterone receptor, pS2 and cyclin D1 in BRCA1/2-associated tumours indicate that majority of these tumour are oestrogen insensitive in the early stages of their progression, and response for hormonal manipulation for treatment or prevention is very unlikely (30). Tc summarize: the study revealed significant differences in molecular mechanisms of carcinogenesis between familial and sporadic breast cancer, that has important implications for diagnosis and treatment. This work is being actively pursued.

5 Other Collaborative Studies

Sections have been sent to Dr Cindy Wilson in Dr Slamon's Laboratory to analyse the expression of BRCA1 in DCIS, LCIS, BRCA1 and BRCA2 tumours and human breast development.

(7) CONCLUSIONS:

Im believe that we can claim that the funds have been well used. Although the cell culture work has not reached our expectations, other components have been expanded well beyond what was predicted with expansion into educational applications. This may well prove to be one of the most important elements as it may enable us to produce a uniform classification of in situ disease and atypia. In relation to the familial breast cancer work the major change that we have had to consider is the cloning of BRCA2. This meant that we concentrated our immortalisation work on those cases known to have mutations. Primary cultures have been infected from three BRCA1 and four Li Fraumeni cases.

In relation to the *in situ* data-base: Progress has been faster than we predicted and we have the data recorded on all available cases up until 1994. All cases from 1994-1996 have been reviewed need to and entered on the data base. In addition we have cut most of the sections. We have had to make an assessment of which blocks are the best to use for staining and molecular studies. This is due to the fact that the review has shown that in many cases the diagnosis was based on a small focus of abnormal proliferation that is no longer in the block. This is important for any clinical correlations and indicates the problems of sampling bias that can be introduced into certain studies using this material, where clinical parameters are used as an end-point. For molecular correlates of morphology, however, the data is very valuable. Molecular genetic studies are underway and have been successful. the molecular analyses of BRCA1/BRCA2 tumors have been particularly revealing Publications are now being realised based on effort put into establishing the data -base.

NOTE:

As was identified by the referees at the time of review of this project there will be a need to consider continuation of funding to maintain the data-base and the pathology material collected. In addition as was indicated last year the cell lines have not been characterised, as the immortalisation process can take a year for the cells to emerge from crisis. We have now obtained funding to maintain the data-base and will be applying for grants to continue the molecular profiling.

(8) **REFERENCES**

- Miki Y, Swensen J, Shattuck-Eldens D, Futreal PA, Harshman K, Tavtigian S, Liu Q, Cochran C, Bennett LM, Ding W, Bell R, Rosenthal J, Hussey C, Tran T, McClure M, Frye C, Hattier T, Phelps R, Haugen-Strano A, Katcher H, Yakumo K, Gholami Z, Shaffer D, Stone S, Bayer S, Wray C, Bogden R, Dayananth P, Ward J, Tonin P, Narod S, Bristow PK, Norris FH, Helvering L, Morrison P, Rostek P, Lai M, Barrett C, Lewis, Neuhausen S, Cannon-Albright L, Goldgar D, Wiseman R, Kamb A, and Skolnick MH. A strong candidate for the breast and ovarian cancer susceptibility gene BRCA1. (1994) Science 266: 66-71.
- Wooster, R., G. Bignell, J. Lancaster, S. Swift, S. Seal, J. Mangion, N. Collins, S. Gregory, C. Gumhs, G. Micklem, et al. (1995). "Identification of the breast cancer susceptibility gene BRCA2." Nature 378: 789-792.
- 3. Garber JE, Goldstein AM, Kanto AF, Drefus MG, Fraumeni JR Jr & Li FP. Follow-up study of twenty-four families with Li-Fraumeni syndrome. (1991) Cancer Res 6094-6097.
- 4. Swift M, Scholman L, Perry M and Chase C. Malignant neoplasms in the families of patients with ataxia telangectasia. (1976) Cancer Res **36**: 209-215.
- 5. Savitsky K, Bar-Shira A, Gilad S, Rotman G, Ziv Y, Vanagaite L, Tagle DA, Smith S, Uziel T, Sfez S, Ashkenazi, Pecker I, Frydman M, Harnik R, Patanhjali SR, Simmons A, Clines GA, Sartiel A, Gatti RA, Chessa L, Sanal O, Lavin MF, Jaspers NGJ, Taylor MR, Arlett CF, Miki T, Sherman M, Weissman, Lovett M, Collins FS and Shiloh Y. A single ataxia telangiectasia gene with a product similar to PI-3 kinase. (1995) Science **2689**: 1749-1753
- 6. O'Hare MJ, Ormerod MG, Monaghan P and Gusterson BA. Characterization *in vitro* of luminal and myoepithelial cells isolated from the human breast by cell sorting. (1991) Differentiation **46:** 209-221.
- 7. Stamps AC, Davies SC, Burman J and O'Hare MJ. Analysis of proviral integration in human mammary epithelial cell lines immortalised using retroviral infection with a temperature-sensitive SV40 T-antigen construct. (1994). Int J Cancer **57:** 865-874.
- 8. Halbert L, Demers GW and Galloway DA. The E7 gene of human papilloma type 16 is sufficient for immortalisation of human epithelial cells (1991). J Virol **65**: 473-478.
- 9. Stratton MR, Collins N, Lakhani SR and Sloane JP. Loss of heterozygosity in ductal carcinoma *in situ* of the breast. (1995) Journal of Pathology **175**: 195-201.
- 10. O'Connell P, Pekkel V, Fuqua S, Osborne CK and Allred C. Molecular genetic studies of early breast cancer evolution. (1994) Breast Cancer Research and Treatment **32:** 5-12.
- 11. Radford DM, Fair KL, Phillips NJ, Ritter JH, Steinbrueck T, Holt MS and Donis-Keller H. Allelotyping of ductal carcinoma *in situ* of the breast: deletion of loci on 8p, 13q, 16q, 17p and 17q. (1995) Cancer Research **55**: 3399-3405.

19

- 12. Claus EB, Risch N and Thompson WD. Genetic analysis of breast cancer in the cancer and steroid hormone study. (1991) Am J Hum Genet **48**: 232-242.
- 13. Clarke C, Titley J, Davies S and O'Hare MJ. An immunomagnetic separation method using superparamagnetic (MACS) beads for large-scale purification of human mammary luminal and myoepithelial cells. (1994) Epithelial Cell Biology **3:** 38-46.
- 14. National Coordinating Group for Breast Screening Pathology. NHS Breast Screening Programme. Pathology Reporting in Breast Cancer Screening. NHSBSP Publication No. 3. 2nd Edition . NHSBSP Publications, Sheffield. (April 1995).
- 15. Page DL, Anderson TJ and Rogers LW. Carcinoma *in situ*. <u>In</u>: Diagnostic Histopathology of the Breast. (Eds) Page DL & Anderson TJ. Churchill Livingstone, Edinburgh. (1987) Chpt.**12**: 157-193.
- 16. Lenington WJ, Jensen RA, Dalton LW and Page DL. Ductal carcinoma *in situ* of the breast. Heterogeneity of individual lesions. (1994) Cancer **73**: 118-124.
- 17. Holland R, Peterse JL, Millis RR, Eusebi V, Faverly D, van de Vijver MJ and Zafrani B. Ductal carcinoma *in situ* : A proposal for a new classification. (1994) Semin Diagn Pathol **11:** 167-180.
- Poller DN, Silverstein MJ, Galea A, Locker AP, Elston CW, Blamey RW and Ellis IO. Ductal carcinoma *in situ* of breast: A proposal for a new simplified histological classification association between cellular proliferation and c-erbB-2 protein expression. (1994) Mod Pathol 7: 257-262.
- 19. Lakhani SR, Collins N, Sloane JP and Stratton MR. Loss of heterozygosity in lobular carcinoma *in situ* of the breast. (1995) J of Clin Pathol: Mol Pathol **48**: M74-M78.
- 20. Stratton, M., R. Collins, N. Lakhani, S., R. and Sloane, J., P. "Loss of heterozygosity in ductal carcinoma in situ of the breast." (1995). J Pathol **175**: 195-201.
- 21. Berx, G., A.-M. Cleton-Jansen, F. Nollet, J. F. deLeeuw, M. J. van de Vijver, C. Cornelisse and F. van Roy. E-cadherin is a tumour invasion suppressor gene mutated in human lobular breast cancer (1995). EMBO J. 14: 6107-6115.
- 22. Weber-Hall, S., J. Anderson, A. McManus, S. Abe, N. T., R. Pinkerton, K. Pritchard-Jones and J. Shipley. Gains, losses and amplification of genomic material in rhabdomyosarcoma analyzed by comparative genomic hybridization (1996). Cancer Res in press:
- 23. Shipley, J., S. J. Weber-Hall and S. Birdsall (1996). "Loss of the chromosomal region 5q11q31 in the myeloid cell line HL-60 is not associated with a 5q- chromosome; characterization by comparative genomic hybridization and fluorescence in situ hybridization (1996). Genes Chrom Cancer **15**: 182-186.

24. Speicher, M., S. du Manoir, E. Schrock, H. Holtgreve-Grez, B. Schoell, C. Lengauer, T. Cremer and T. Reid (1993). "Molecular cytogenetic analysis of formalin-fixed, paraffin embedded solid tumors by comparative genomic hybridization after universal DNA-amplification." Hum Mol Genet **2**: 1907-1914.

Bibliography:

٩

- 25. BA Gusterson, R A'Hern, J Waller, B Shah, A Ward. Cultured cells from high risk women *situ* cancer tissue banks. Proceedings Volume III of the 'Era of Hope' 1997, 1057-1058.
- 26. PP Osin, Y-J Lu, S Lakhani, S Pilotti, BA Gusterson, J Shipley. Gains and losses of genomic material in atypical lobular hyperplasia (ALH) and lobular carcinoma *in situ* (LCIS) of the breast suggest these lesions represent a similar stage of tumour progression. Abstract Winter Meeting of the Pathological Society of Great Britain and Ireland, January 1998 Accepted for plenary session for top eight papers submitted.
- 27. PP Osin, Y-J Lu, S Lakhani, S Pilotti, BA Gusterson, J Shipley. Gains and losses of genomic material in atypical lobular hyperplasia (ALH) and lobular carcinoma *in situ* (LCIS) of the breast suggest these lesions represent a similar stage of tumour progression. (Submitted to Cancer Research)
- 28. The results were presented at the First International Workshop on the Function of BRCA1 and BRCA2 genes, Cambridge, Sept 1997.
- 29. T Crook, S Crossland, MR Crompton, P Osin, BA Gusterson. P53 mutations in BRCA1 associated familial breast cancer. Lancet 1997, **350**: 638-639.
- 30. Osin P, Crook T, Powles T, Peto J and Gusterson B. Hormone status of *in-situ* cancer in BRCA1 and BRCA2 mutation carriers. The Lancet **351**: 1487, 1998.
- 31. Crook R, Brooks LA, Crossland S, Osin P, Barker KT, Waller J, Philp E, Smith PD, Yulug I, Peto J, Parker G, Allday MJ, Crompton MR, Gusterson BA. P53 mutation with frequent novel codons but not a mutator phenotype in BRCA1- and BRCA2-associated breast tumours. Oncogene (in press).
- 32. Smith PD, Crossland S, Parker G, Osin P, Brooks L, Waller J, Philp E, Crompton MR, Gusterson BA, Allday MJ, Crook T. Novel p53 mutants in BRCA-associated tumours which retain wild-type functions. EMBO J (submitted).
- 33. Osin P, Gusterson BA, Philp E, Waller J, Bartek J, Peto J, Crook T. Predicted antioestrogen resistance in BRCA-associated familial breast cancers. Eur J Cancer (submitted).
- 34. Osin P, Shipley J, Lu YJ, Crook T, Gusterson B. Experimental pathology and breast cancer genetics: new technologies. <u>In:</u> Recent Results in Cancer Research, Pubs., Springer. (Ir press).

35. Badve S, A'Hern RP, Ward AM, Millis RM, Pinder SE, Ellis IO, Gusterson BA, Sloane JP. Prediction of local recurrence of ductal carcinoma *in situ* of the breast using 5 histological classifications: a comparative study with long follow-up. Human Pathology (in press).

List of personnel:

. . .

.

Ms J Waller Ms G Shuttleworth Ms B Shah (9) APPENDIX

, i) is

•

INFORMATION TO BE RECORDED ON THE IN SITU AND ATYPIA DATABASE

- 1. Patient's personal details: name, hospital number and date of birth.
- 2. Asymptomatic or symptomatic at first presentation.
- 3. Family history of breast cancer: using criteria laid down by the Breast Cancer Linkage Consortium.
- 4. Histology review data (see attached reporting form).
- 5. Treatment details for each intervention: surgery, radiotherapy, chemotherapy, hormonal.
- 6. History of other cancers: date(s) and treatment given.
- 7. Any metastases: site(s) and dates diagnosed.
- 8. Follow-up details: date of the patient's last RMH appointment kept or nonhospital follow-up information, including date and source of information.

and a second second

- 9. Death details: date and registered cause of death.
- 10. Other data can be included as required.

	(Information sea	DRMATION CHECK rch in patien	<u>t's rec</u>	ords etc.)
1	SURNAME DATE OF BIRTH			HOSPITAL	NUMBER
2	ASYMPTOHATIC	SYMPTOMATIC ((tick)	······································	
3	FAMILY HISTORY BREAST CANCER	RELATIONSHIP		AGE AT D	IAGNOSIS
	OTHER CANCER	RELATIONSHIP	·	TYPE	AGE
1	HOSPITAL & DATE OF OPERATION	1	2		3
5	BREAST/SIDE	· · ·			
5	SITE IN BREAST			•	
7	OPERATION PERFORMED			·	
3	CANCER OR BENIGN DISEASE TYPE				
t -	SPECIMEN RADIOG./ ABNORM. PRESENT				
0	RECURRENCE				· · · · ·
1	BILATERAL				
2	SURGERY RADIOTHERAPY (DATES) CHEMOTHERAPY (TYPE) HORMONE (TYPE)	1	2	 .	3
3	OTHER CANCER TYPE		DATE		PREVIOUS SUBSEQUENT
4	OTHER CANCER TREATME	ENT SURGERY RADIOTHI CHEMOTHI HORMONE	RAPY (
5	METASTSES SITE(<u>s)</u>		DATE(S)	DIAGNOSED
6	LAST OPA DATE NON-HOSP FOLLOW UP 1	HOS NFO. DAT	PITAL E	FROM	1
7	DEATH DETAILS DATE	REC	. CAUS	E OF DEATH	I
8	COMMENTS (any other		·		

.

•

. . . .

	Barry	Gusterson In-	Situ Study		
BREAST	CDEENID				
BREAST S					
Sumame		Forenames		Date of Birth	
Screening no		Hospital no		Report no	
Side Right Specimen radiograph seen?	□Left Histo		Absent Ben mality present in specimen?		talignant
Specimen type	lisation biopsy				
Specimen size (excluding master			,	Wide bore needle	core
HISTOLOGICAL DIAGNOSIS			mm.		
	O NORMAL	D BENIGN C] MALIGNANT		
	Fibroadenoma Papilloma Complex scienosing lesion Other (please specify)	Single Multiple Vradial scar		Fibrocystic d Solitary cyst Periductal max Sclerosing add	stitis/duct ectasia
PITHELIAL PROLIFERATION		······································	· · · · · · · · · · · · · · · · · · ·	·····	
	Not present Present without atypia		Present with atypia ("d		
For MALIGNANT lesion	s please tick any of the	a following present	Present with atypia (lo	bular)	
	Lobular C Paget		Subtype	Cribriform Solid Papillary Micropapillary Comedo	
Ductal' (î Medullary Lobular c Other prir	nary carcinoma (please	Mucoid carcinon	18	-	
CILLARY NODES) Reaches Margin] I	mm Not Present Not Present Does not reach margin ([11	(in-situ) Number positive Number positive Distance	Total Number Total Number	
	D Localised	 Diffuse single quadrant Not seen 	COMMENTS/ADDITIONAL	Not assessable	
) ho			Case for review? DATE		and and a second a

26

e i 🛛 👾

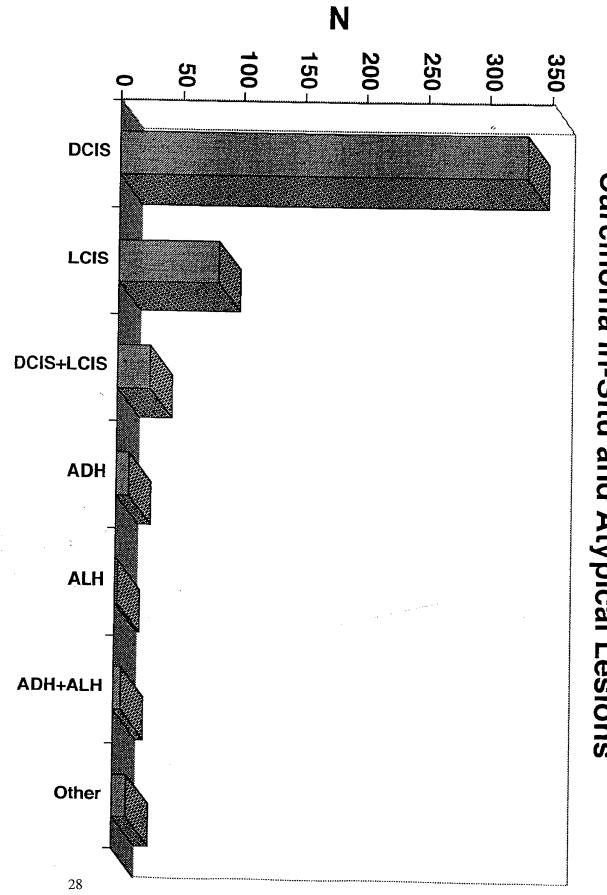
A		
,MISS		Date of first report 6.2.81
GENDER :	sex : female	
PATHOLOGY 6.2.81 Report :	Pathology Number = stated; Comment = p	/- ; side : right; DCIS; foci; not apillary intraduct ca.
2.12.81 Report :	Pathology Number = foci : not stated	/-; side : right; Recurrence; DCIS
21.8.85 Report :	Pathology Number = Invasive; foci : no	/: side : right; Recurrence; DCIS
Reviewed : 18.9.92	Path Number(s) sele	cted =/' BLOCKS 1 2
SLIDES : 8.9.93 s	lides cut	
LAST UPDATE (SLIDE	AND BLOCK LOCATION)	<pre>: 19.8.92 Comment = 1985: 2999/85 intraduct and infiltrating papillary ca. Slides not in FR Path out to B.G.; slides location : AW; block location : FR 4.9.92 slides location : BG; block location : FR 18.9.92 slides location : FR; block location : FR</pre>
— — — — _ ,MISS .		Date of first report 6.2.81
Family History :	Family History of B = NK	reast Cancer; Mother; Age at diagnosis
Pathology Details :	<pre></pre>	<pre>2.24; Pathology reference number(s) = ort = 6.2.81; Slides reviewed; Slides tatus : symptomatic; side : Right; site CENTRAL; Histological calcification : open biopsy; Specimen size (excl core biopsy) : known; Largest diameter argest diameter (mm) = 30; Smallest Size of second specimen : Not gical diagnosis : Abnormal; malignant; ation : not present; malignant type : 1; cribriform; Papillary; roinvasion : not present; Axillary : Other nodes : not present; Excision : essable; disease extent : diffuse scular invasion : NK</pre>

.

27

< × 🛪

.



Carcinoma In-Situ and Atypical Lesions

 $^{\rm d}$ disease type with or without ductal or lobular atypia:

(× 🛪

DISEASE TYPE	NO ATYPIA	WITH	WITH	WITH	TOTALS
~		DUCTAL	LOBULAR	DUCTAL &	
		ΑΤΥΡΙΑ	ΑΤΥΡΙΑ	LOBULAR	
				AIYPIA	
EPITH. PROLIF.	2	R	8		N
WITHOUT ΑΤΥΡΙΑ					
ATYPIA ONLY	I	10	3	9	19
DCIS	176	117	4	7	304
LCIS	22	7	37	13	62
DCIS & LCIS	7	7	S	10	27
PAGET'S ONLY	6	0	0	0	6
DCIS & PAGET'S	23	-		0	25
TOTAL	237	142	48	36	465

INTERESTING CASES FROM DCIS DATABASE (US ARMY)

.

C 🖌 🇯

,

PATHNO	° Z	No EPITH PROL	DUCT ATYP	LOB ATYP	rcis	PAGETS	DCIS	CRIB	SOLID	РАР	MICROPAP	COMEDO	MICR INV
1801/82	-	NK	*			2	2	*	*	*	+	*	SS SS SS SS SS SS SS SS SS SS SS SS SS
5053/74	2	2 NK	*	*			*	*	+	*		+	*
793/87	3	3 YES	YES	ES .		Q	R	ð	ង្រ	2	2	2	9
5453/74	4	4 NO	*	+	ស្រ	2	2	*	*	*	•	*	2
3448/80	S	5 YES	Æ	2		۶	Ŕ	2	الك	ð	2	ស្រ	SS SS SS SS SS SS SS SS SS SS SS SS SS
4785/80	9	6 YES	NO			ð	۲. ۲	2	لك لك	2	2	2	2
3154/75	7	KES KES	YES	Q		+	*	+	*	+	*	*	
3154/75	ß	8 YES	YES YES		+	*	*	*		•	*	*	*
4710/77	ი	9 YES			+	*		+	*	+	*	*	*
74/1371	10	0 YES			ਬਿ	2	ផ្ល	ង្ក	کتا کتا	2	2	Ŕ	POSS 200
76/566	-	11 YES				Q		2	2	ß	8	ស្រ	E C
1387/76	12	12 YES				2		锐	2	8	2	2	2
277/82	13	3 YES	锐	Q	2	2			锐	Ř	2	2	*
4780/80	-	14 YES				9	••• ••••	ĺ	*	*	4		2
695/85	15 NO	2				9	ß	2	2	2	YES SEY	۲ ک	SSQ
667/83	16	16 YES		YES		9	Ţ		2	2	2		2
1743/80	-	17 YES		۲ ک	YES I	9	ð		*	*	*	*	2
282/80	18 YES	KES FES		Q		Q	ð			*	*	*	9
81/2235	6	19 YES		YES	•		•		*	*	*	*	*
87/82	20 NO	2	•	2	U N	NO	ស្ត	2	2	2	2	Ŕ	2
2799/90	21 YES	(0)	¥B.	Q	Q	ð		ð	锐	2	2		POSS SO
1395/77	22 NK	X	*	*	Q	2		ម្រ	2	9	2	ب ک	*
1906/83	23 NO	2			Q	ម្ម	-		ŝ	g	8		POSS
15/83	24			2	2	2			2	2	KE KE		*
15/83	25 YES		٤ĵ –			g	-		NO	2	2	لك	POSS
84/84	26 YES			2	2	ð		ŝ	贸	ð			POSS
83/2936	27 NO					Q			2	9	2		2
87/520	2 8 YES					8	Ϋ́Ε Β		9	ð			8
1780/84	29		YES	(0)	AES YES	ð		ل ا لا	ស្ត	8		YES	2
2717/84	30 YES		YES	2 Q	g	Q	Ϋ́Ε	2 2	YES	Q	Q		NK

Page 1

INTERESTING CASES FROM DCIS DATABASE (US ARMY)

.

* ¥

3332/64 31/MK · <th< th=""><th>PATHNO</th><th>No EPITH PROL</th><th>DUCT ATYP</th><th>LOB ATYP</th><th>LCIS</th><th>PAGETS</th><th>DCIS</th><th>CRIB</th><th>SOLID</th><th>PAP</th><th>MICROPAP</th><th>COMEDO</th><th>MICR INV</th></th<>	PATHNO	No EPITH PROL	DUCT ATYP	LOB ATYP	LCIS	PAGETS	DCIS	CRIB	SOLID	PAP	MICROPAP	COMEDO	MICR INV
33 50 50 60 70<	3332/84	31 NK	*	*	2	ð	Ŕ	ស្រ	2	2	ل ت ک	2	LOSS LOSS
333 NG · <td>697/69</td> <td>32 YES</td> <td>Æ</td> <td>9</td> <td>ð</td> <td>2</td> <td>ង្រ</td> <td>Ŕ</td> <td>£</td> <td>2</td> <td>Ŕ</td> <td>KE KE</td> <td>POSS SSO4</td>	697/69	32 YES	Æ	9	ð	2	ង្រ	Ŕ	£	2	Ŕ	KE KE	POSS SSO4
34 (N) · <td>276/87</td> <td>33 NO</td> <td>*</td> <td>•</td> <td></td> <td>2</td> <td>Ř</td> <td>ا</td> <td>ß</td> <td>2</td> <td>2</td> <td></td> <td>LUNS</td>	276/87	33 NO	*	•		2	Ř	ا	ß	2	2		LUNS
35 (M) · <td>824/85</td> <td>34 NO</td> <td>۰.</td> <td>*</td> <td></td> <td>2</td> <td>锐</td> <td><u>ک</u></td> <td>2</td> <td>ð</td> <td>ß</td> <td></td> <td>Look</td>	824/85	34 NO	۰.	*		2	锐	<u>ک</u>	2	ð	ß		Look
35 MG *	1016/89	35 NO	+1	•		8	锐	ß	2	2	ر تگ		POSS
37 (KB)	1410/89	36 NO ²	*	*		Q	Ŕ	2	8	2	YES		Poss Boss
38 WB YB YB <td< td=""><td>1159/85</td><td>37 YES</td><td>YES</td><td>2</td><td></td><td>2</td><td>Ŕ</td><td>Ŕ</td><td>2</td><td></td><td>E C</td><td></td><td>POSS POSS</td></td<>	1159/85	37 YES	YES	2		2	Ŕ	Ŕ	2		E C		POSS POSS
39 KB KB<	85/353	38 YES				2	Ŕ	2	ر تا ک		2		9
40 (W3) 40 (W3) 40 (W3) 41 (W0) 1 41 (W0) W 41 (W0) W W W W 41 (W0) W W W W W 41 (W3) W W W W W W 41 (W3) W W W W W W W 41 (W3) W <td>1542/86</td> <td>39 YES</td> <td></td> <td></td> <td></td> <td>2</td> <td></td> <td>Ŕ</td> <td>2</td> <td>9</td> <td>2</td> <td></td> <td>Sector Sector</td>	1542/86	39 YES				2		Ŕ	2	9	2		Sector Sector
41 No - VES NO VES NO VES NO VES NO VES NO VES VES NO NO · </td <td>1531/81</td> <td>40 YES</td> <td></td> <td>[</td> <td></td> <td></td> <td></td> <td>*</td> <td>*</td> <td>+</td> <td>. *</td> <td></td> <td></td>	1531/81	40 YES		[*	*	+	. *		
42 NK ·	376/87	41 NO	*	•		2	2	+			*	*	
43) YES WO YES WO YES WO YES YES WO YES YES WO WO YES YES WO WO </td <td>1208/87</td> <td>42 NK</td> <td>*</td> <td>+</td> <td></td> <td>9</td> <td>2</td> <td></td> <td>*</td> <td>*</td> <td>*</td> <td>+</td> <td></td>	1208/87	42 NK	*	+		9	2		*	*	*	+	
44 WE WE WE WE MO MO <td< td=""><td>1368/87</td><td>43 YES</td><td></td><td></td><td></td><td>2</td><td>2</td><td></td><td>+</td><td>*</td><td>*</td><td>*</td><td>2</td></td<>	1368/87	43 YES				2	2		+	*	*	*	2
45 YES YES NO	3085/87	44 YES				9		ð	Ŕ		2		9
46 WE WO WE MO MO<	3923/87	45 YES				9			2		χ <u>Ξ</u>		80
47 N0 - 48 VES N0 49 VES N0 49 VES N0 7 N0 VES 7 N0 VES 14 9 VES N0 7 N0 VES 8 1 VES VES 8 2 1 VES VES 8 2 1 VES VES 9 1 1 VES VES 9 1 1 1 VES VES 1 1 1 1 VES	3859/87	46 YES	-			9			Ŕ		2		9
48 \U2 M0 YES YES <td>583/88</td> <td>47 NO</td> <td>*</td> <td></td> <td></td> <td>9</td> <td></td> <td>1</td> <td>*</td> <td></td> <td>*</td> <td></td> <td>9</td>	583/88	47 NO	*			9		1	*		*		9
49 YES M0 YES M0 YES N0 N0 YES N0 N0 YES YES<	1142/88	48 YES		•		9	 			*		*	9
50 YES YES <t< td=""><td>1876/88</td><td>49 YES</td><td></td><td></td><td></td><td>9</td><td></td><td></td><td></td><td>*</td><td>+</td><td>+</td><td>2</td></t<>	1876/88	49 YES				9				*	+	+	2
51 YES YES <t< td=""><td>673/88</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td>+</td><td>*</td><td>*</td><td>2</td></t<>	673/88									+	*	*	2
52 YES YES NO NO NO NO NO 53 YES YES NO NO YES NO NO 53 YES YES YES NO NO YES NO NO 54 YES YES NO YES NO YES NO NO 7 NO YES NO YES NO YES NO NO 7 NO YES NO YES NO YES NO NO 7 NO YES NO NO YES NO NO NO 7 NO YES NO NO YES NO NO NO 7 NO YES NO NO YES NO NO NO 7 NO YES NO NO YES NO NO NO 7 NO YES NO NO YES YES NO NO 7 NO YES NO NO YES YES YES YES 7 NO YES	2070/89				 		*					*	
53 YES YES YES NO NO NO NO NO 54 YES YES NO NO NO YES NO NO NO 554 YES YES NO YES NO YES NO YES NO NO 556 YES YES NO YES NO YES NO YES NO NO 57 NO YES NO YES NO NO YES NO NO YES NO NO YES NO NO YES	2627/88					9			ង				SSO
54 YES YES NO * <	94/3392					9			ß				9
55 NO * * YES NO YES NO YES NO 56 YES YES YES NO YES NO YES NO 57 NO YES YES NO YES YES YES NO 58 YES YES NO NO YES YES YES NO 58 YES YES NO NO YES YES YES NO 59 YES YES NO NO YES YES YES YES	89/1659			2	*		1						
56 YES YES YES NO NO * * 57 NO * NO NO NO * * * 57 NO * NO NO YES NO NO * * 58 YES NO * * * * * * * 59 YES YES NO NO YES NO NO * * *	88/2968		*								2		SSOC
57 NO * NO NO VES VES NO VES VES<	2766/88								1				80
58 YES YES NO *	3658/88	57 NO	*	-			(0)						SSO
59 YES YES NO NO * * · ·	3506/88			• 9	*								2
	2452/89							*					9

Page 2

INTERESTING CASES FROM DCIS DATABASE (US ARMY)

.

L# ¥

3164/89 89/2958 4206/90				2		22	E	SOLD				
89/2958 4206/90	60 YES	ک				1	S	K K				
4206/90	61 YES	2	¥ E S		*	})	}.	2	2 .	2 *	₹.
	62 NO	, *		2	٩		2	2	g	C	ų K	North
81/91	63 NO	*	*	1	2	ا	2	2	2	202	3 8	
90/1742	64 YES			ម្រ	ð		2	Ŕ	2	202		
2538/90	65 YES				2		*	•	*			
3903/90	66 YES		Q		*	+		*	+	*	*	2.
3396/93	67 YES	YES		2	2	Ŕ	ਬਿ	ß	2	9	S	SH N
91/2020	68 NO	*						<u>ک</u>	2	2	2 2	
2202/91	69 NO							ß		2	2	2
9/92	70 YES		2					ð		YES		2
161/92	71 YES				i			ស៊		2		9
1392/92	72 YES	لك	2					لك الك	2	2		SSO
369/92	ON EZ			1				کی کی		8		SSO
92/3049	74 YES							ស្រ	2	KE KE		Sec.
1783/93	75 YES	ŝ	2		, Q	Ŕ	g	Ŕ		2	l B	20
93/1402	76 NO			}				*		*		S
93/2242	77 YES								g			SSC -
2627/93	78 YES	SI SI	2	1			Q	لك		2	2	Q
3883/93	79 YES			:								SSO
1008/88	BO YES			[2
591/92	81·YES											POSS A
949/92	82 YES	AT A	2 Q								i	
485/94	83 NK					[3
381/93	84 YES	YES	YES .	*	*	*	*				*	
0004/85	## YES	YES	Z Q	2	2	۲ ES	2	KI KI	ا	2	2	POSS 1
				- 								
								-				

Page 3

ALL TREATMENTS (AT FIRST EVENT) BY DISEASE TYPE

DISEASE	surg	+rad	+chm	+hor	+rad	+chm	+rad	TOTAL
ТүрЕ	only				+hor	+hor	+chm	
							+hor	
Atypia only	21	0	0	0	0	0	0	21
DCIS	230	17	2	46	9	-	N	304
LCIS	73		0	4	-	0	0	79
DCIS & LCIS	20	-	0	9	0	0	0	27
Paget's only	ω	-	0	0	0	0	0	6
DCIS & Paget's	20	ო	0	-	0	0	-	25
TOTALS	372	23	2	57	2	-	3	465

surg = surgery; rad = radiotherapy; chm = chemotherapy; hrm = hokmone therapy

	T							- 1	·				
	Fib.	>	·z	z	z	: z	z	z	>	>	·Z	: z	z
Infected Cells	Mvo.		· >-	z	z	z	Z	z	Y	>	Z	: Z	×
-	Lum.	λ	· \	Υ	Z	z	z	z	z	>	Z	: >	z
	Kerat.	>	Z	z	۲	>	z	z	z	z		>	۲
Separated Cells	Fib.	>	۲	z	z	z	z	۲	7	>	≻	>	>
Sepa Ce	Myo.	>	>	≻	z	z	z	۲	۲	Z	>	z	z
5 .	Lum.	۲	≻	۲	۲	z	z	γ	≻	z	z	>	z
Primary Culture		>	7	7	>	Z	۲	z	۲	>	>	>	7
Frozen Tissue		z	۲	۲	z	٢	۲	>	۲	Y	Z	>	λ
Status		BrCa 1 +ve	BrCa 1°+ve	BrCa 1 +ve	BrCa 1 +ve	BrCa 1 + ve	BrCa 1 + ve	BrCa 1 +ve	LiFraumeni	LiFraumeni	LiFraumeni	LiFraumeni	LiFraumeni
Case		-	7	က	4	ß	9	~	æ	6	10		12

Known BRCA1 four base pair del at 4184 (exon 11)

Known BRCA1 40 base pair deletion at 1294 (exon 11) truncates protein at codon 397. Exon 20 insertion of C at p5382, gives a termination at codon 1892.

BRCA 1 <u>۲</u>.7 BRCA

BRCA 1 185 del AG. BRCA 1.

mutation at codon 248 CGG-TGG Li Fraumeni

Fraumeni, splice donor site mutation exon 4

Fraumeni, mutation at codon 243, exon 7 (A-

<u>5</u>

Ч С

Fraumèni, mutation at codon 273, exon 8 (Fraumeni, mutation at codon 273, exon 8 (12.

CELLS WITH KNOWN MUTATIONS

WITH UNKNOWN MUTATIONS

		- r					-1				- -						
-	Fib	z	:>	· >	· >		z	z	z	>	>	z	z	z	>	Z	z
Infected Cells	Mvo.	z	:>	z	:>		z	z	>	Z	z	z	z	7	<u>۸</u>	Z	N
	Lum.	Z		· >	· >-	z	z	>	>	≻	z	z	7	>	>	z	Z
	Kerat.	N	Z	Z	z	z	z	z	z	z	z	z	z	z	z	>	>
Separated Cells	Fib.	7	۲	z	≻	>	>	≻	z	z	۲	z	>	≻	z	>	>
Sepa Ce	Mvo.	>	۲	z	z	>	z	>	≻	z	z	z	7	۲	۲	z	۲
	Lum.	>	>	z	>	z	z	7	۲	۲	7	z	γ	7	≻	z	z
Primary Culture		z	z	z	۲	7	۲	z	>	>	۲	7	Z	۲	>	>	λ
Frozen Tissue		Z	۲	>	۲	λ	γ	λ	λ	۲	Υ	>	≻	۲	Z	z	z
Case Status		, H	H	HH	FH	FΗ	НЦ	Н	НЧ	НЧ	Ηц	HH	H	FH	ΗH	LiFrau like	LiFrau like
Case		13	14	15	16	17	18	19	20		22	23	24	25		27	28

Lum = Luminal; Myo = Myoepithelial; Fib = Fibroblast; Kerat = Keratinocytes

Published Data of DCIS and Invasive Carcinomas. * Kuukasjarvi et al. Am. J. Path. (1997) 150: p1465 Comparison of CGH changes of In Situ Lobular Carcinoma with

Top 10 in invasive	ALH	ILCIS	* DCIS	* Invacivo
breast cancer) 	n = 112
1q+		2/26 (8%)	9/18 (50%)	57%
8q+	1/15 (7%)	1/26 (4%)	6/18 (33%)	46%
8p-		3/26 (12%)	3/18 (17%)	33%
17p-	5/15 (33%)	8/26 (31%)	5/18 (28%)	31%
16q-	5/15 (33%)	14/26 (54%)	3/18 (17%)	26%
119-	3	I	3/18 (17%)	24%
17q+	8	8	2/18 (11%)	23%
20q+			2/18 (11%)	20%
3q+	B	E	1/18 (6%)	18%
6q-	8		1/18 (6%)	16%
Other aberrations				
22q-	7/15 (47%)	11/26 (43%)	5/18 (28%)	< 15%
16p-	8/15 (53%)	14/26 (54%)	1/18 (6%)	< 15%
17q-	4/15 (27%)	5/26 (20%)	2/18 (11%)	
6q+	5/15 (33%)	7/26 (27%)	4/18 (22%)	< 15%
Xq+			6/18 (33%)	