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Department of Defense DIRECTIVE

July 9, 1996 NUMBER 2060.2

ASD(ISP)

SUBJECT: Department of Defense Counterproliferation (CP) Implementation

References: (a) Title 10, United States Code

- (b) Presidential Decision Directive/National Security Council 13, "Nonproliferation and Export Controls," September 30, 1993
- (c) Presidential Decision Directive/National Security Council 39, "U.S. Policy Counterterrorism," June 26, 1995
- (d) Deputy Secretary of Defense Memorandum, "DoD Role in Counterproliferation," December 23, 1993
- (e) Secretary of Defense Memorandum, "CJCS Counterproliferation Missions and Functions Study," May 5, 1995
- (f) Secretary of Defense Memorandum, "Department of Defense Counterproliferation Policy," June 1, 1994

A. <u>PURPOSE</u>

This Directive:

1. Establishes policy, assigns responsibilities, and formalizes relationships among DoD organizations under references (a) through (e) and, consistent with reference (f), for the implementation of DoD CP activities and programs.

2. Establishes DoD CP terms of reference to ensure uniform application of this Directive among the DoD Components.

3. Establishes a CP Council to ensure that the Department of Defense's implementation of CP efforts is, in fact, integrated and focused. The Council shall monitor departmental progress on developing the strategy, doctrine, and force planning necessary to execute effectively the CP objectives, as well as DoD-wide efforts at training, exercising, and equipping U.S. forces for this task. The Council shall also oversee DoD CP activities in interagency and international fora.

a. The CP Council shall be composed of the Deputy Secretary of Defense as Chair, the Under Secretary of Defense for Acquisition and Technology, the Under Secretary of Defense for Policy (USD(P)), the Vice Chairman of the Joint Chiefs of Staff, the Under Secretaries of the Military Departments, the Vice Chiefs of the Military Services, the Assistant Secretary of Defense for International Security Policy (ASD(ISP)), the Assistant to the Secretary of Defense for Nuclear and Chemical and Biological Defense Programs (ATSD(NCB)), the Assistant Secretary of Defense for Command, Control, Communications, and Intelligence (ASD(C³I)),

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and the Director for Strategic Plans and Policy of the Joint Staff. Other officials of the Department of Defense and other Departments and Agencies of the Executive Branch, as may be designated by the Deputy Secretary of Defense, shall be invited to attend appropriate meetings of the CP Council. The CP Council shall meet upon call of the Deputy Secretary of Defense or designee.

b. The CP Council's tenure shall continue until the end of fiscal year 2001--by which time CP should be established as a mainstream DoD mission area--but may be extended by the Deputy Secretary of Defense.

c. In executing its function, the CP Council shall:

(1) Advise the Secretary of Defense on CP matters;

(2) Make recommendations about policy for the implementation of DoD CP activities and programs;

(3) Oversee implementation of DoD CP activities and programs and review and make recommendations on those elements of defense policy that deal with CP issues;

d. The ASD(ISP) shall serve as Executive Secretary of the CP Council. The ASD(ISP) shall retain a copy of all agreements made between the DoD Components and other Government Agencies and interagency understandings for Council review.

B. <u>APPLICABILITY</u>

This Directive applies to the Office of the Secretary of Defense, the Military Departments (including the Coast Guard when it is operating as a Military Service in the Navy), the Chairman of the Joint Chiefs of Staff, the Unified Combatant Commands, the Defense Agencies, and the DoD Field Activities (hereafter referred to collectively as "the DoD Components"). The term "Military Services," as used herein, refers to the Army, the Navy, the Air Force, and the Marine Corps.

C. DEFINITIONS

1. <u>Counterproliferation (CP)</u>. The activities of the Department of Defense across the full range of U.S. Government efforts to COMBAT proliferation, including the application of military power to protect U.S. forces and interests; intelligence collection and analysis; and support to diplomacy, arms control, and export controls. Accomplishment of these activities may require coordination with other U.S. Government Agencies.

2. <u>Nonproliferation</u>. The use of the full range of political, economic, informational, and military tools to PREVENT proliferation, reverse it diplomatically, or protect U.S. interests against an opponent armed with nuclear, biological or chemical (NBC) weapons and the means to deliver them, should that prove necessary. Nonproliferation tools include: intelligence, global

nonproliferation norms and agreements, diplomacy, export controls, security assurances, security assistance, defenses and the application of military force.

3. <u>Nuclear, Biological or Chemical (NBC) Weapons</u>. Weapons that are characterized by their capability to produce mass casualties using NBC means and whose threat or use introduces individually diverse and distinct challenges to the planning and conduct of military operations.

4. Proliferation. The spread of NBC capabilities and the means to deliver them.

D. POLICY

It is DoD policy that:

1. Departmental CP efforts be integrated and focused to:

a. Prevent the proliferation of NBC weapons and their means of delivery;

b. Roll back proliferation where it has occurred;

c. Deter and prevent the use of NBC weapons against the United States, its allies, and United States and allied forces; and,

d. Adapt U.S. military forces, planning, doctrine and training to operate effectively against the threats posed by NBC weapons and their means of delivery.

e. Detect, prevent, defeat, and manage the consequences of NBC materials or weapons use by terrorists.

2. The Department of Defense shall contribute to the national nonproliferation effort by applying technical, military, and intelligence expertise to arms control, export controls, inspection, monitoring, interdiction, and related activities.

3. The Department of Defense shall formulate a strategy for deterrence and defense, develop the supporting military force planning and doctrine to train, exercise and equip U.S. forces, and prepare appropriate contingency plans to address effectively the defense related aspects of the proliferation problem. Integral to the overall DoD effort is supporting and accomplishing the following CP tasks consistent with the national security strategy and CP policy:

a. Support U.S. Government nonproliferation efforts;

b. Detect and monitor efforts to proliferate NBC weapons and their means of delivery;

c. Deter and prevent the proliferation and use of NBC weapons and their means of deliv-

ery;

d. Interdict efforts to proliferate or use NBC weapons and their means of delivery;

e. Defend the United States, its interests, forces, allies and friends from the use and effects of NBC weapons and their means of delivery; and,

f. Conduct all necessary military actions to respond against the use of NBC weapons.

4. All DoD CP activities, programs, and policies shall be coordinated fully within and among the DoD Components to ensure maximum contribution to overall U.S. military capabilities.

E. <u>RESPONSIBILITIES</u>

1. The Under Secretary of Defense for Policy shall:

a. Develop, coordinate, and oversee the implementation of CP policy throughout the Department of Defense.

b. In coordination with the DoD Components, work with other Federal Departments and Agencies, allies, and regional partners to reinforce or complement efforts intended to stem proliferation of NBC weapons and their means of delivery to include the use of security assistance programs and information programs to address military imbalances and instability.

c. Direct the Director, Defense Technology Security Administration, through ASD(ISP), to provide technical expertise and analysis in support of the Department's efforts to prevent proliferation of NBC weapons and their means of delivery and to roll back proliferation where it has occurred.

2. The Under Secretary of Defense for Acquisition and Technology shall:

a. Coordinate DoD research, development, and acquisition programs to ensure that they adequately support CP efforts and the ability of U.S. forces to conduct operations successfully in an NBC threat environment.

b. Provide management oversight for advanced concept technology demonstrations for countering the proliferation of NBC weapons and their means of employment.

c. Ensure that ATSD(NCB) provides oversight of the DoD chemical and biological defense program.

d. Ensure that the Director, Defense Special Weapons Agency, focuses on the integration of, and assists in the development of, advanced conventional weapons, munitions, sensors and planning tools to support DoD CP endeavors.

e. Ensure that the Director, Defense Advanced Research Projects Agency, supports basic and applied research and development efforts for innovative application of technology to counter NBC weapons and their means of delivery.

f. Ensure that the Director, Ballistic Missile Defense Organization, includes CP as an integral element within the developmental framework for defense against ballistic missiles.

g. Ensure that the Director, On-Site Inspection Agency, conducts CP activities associated with inspection provisions and monitoring requirements of arms control agreements.

3. The <u>Secretaries of the Military Departments</u> shall develop doctrine, and organize, train, equip and otherwise prepare their respective forces to address threats involving NBC weapons and their means of delivery. They shall also conduct basic and applied research, development, and acquisition efforts to counter NBC weapons and their means of delivery consistent with their individual Service roles and missions.

4. The <u>Chairman of the Joint Chiefs of Staff</u> shall prepare guidance for the Commanders of the Unified Combatant Commands and Commander, U.S. Element, North American Aerospace Defense Command, on measures to be taken to counter proliferation within their geographic and functional areas of responsibility, make recommendations for countering proliferation in unassigned areas, and integrate the capabilities of the functional Commanders in Chief (CINC) in the overall effort. Additionally, the Chairman of the Joint Chiefs of Staff shall:

a. Prepare plans to address the proliferation threat;

b. Review CINC plans developed to address the proliferation threat;

c. Make recommendations to the National Command Authorities for the operational employment of U.S. forces in CP operations; and,

d. Develop joint CP doctrine.

5. The <u>Commanders of the Unified Combatant Commands</u> shall, in accordance with guidance provided by the Chairman of the Joint Chiefs of Staff and with the support of other DoD Components, plan for and conduct CP operations throughout the entire spectrum of conflict, from peace to post-hostilities.

6. The <u>U.S. Commander in Chief, Special Operations</u>, shall organize, train, equip, and otherwise prepare U.S. special operations forces to conduct operations in support of U.S. Government CP objectives.

7. The <u>General Counsel of the Department of Defense</u> shall provide advice and assistance on all legal issues arising from the formulation and implementation of CP policies and strategies, including interpretation of international agreements and arrangements.

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8. The <u>Assistant Secretary of Defense for Public Affairs</u> shall work with USD(P) to communicate the Department's goals, policies and initiatives concerning CP to the public, and develop public affairs guidance in support of military counterproliferation operations.

9. The <u>Assistant Secretary of Defense for Command, Control, Communications, and Intelli-</u> <u>gence</u> shall ensure that the Director, Defense Intelligence Agency, serves as the focal point for timely and accurate intelligence support to all DoD Components engaged in CP efforts.

F. EFFECTIVE DATE

This Directive is effective immediately.

John P. White Deputy Secretary of Defense