

# NAVAL POSTGRADUATE SCHOOL

Monterey, California



## THESIS

### IDENTIFYING MEASURES OF EFFECTIVENESS FOR MARINE CORPS C<sup>4</sup>I SYSTEMS

by

Douglas E. Mason

September 1995

Thesis Advisor:

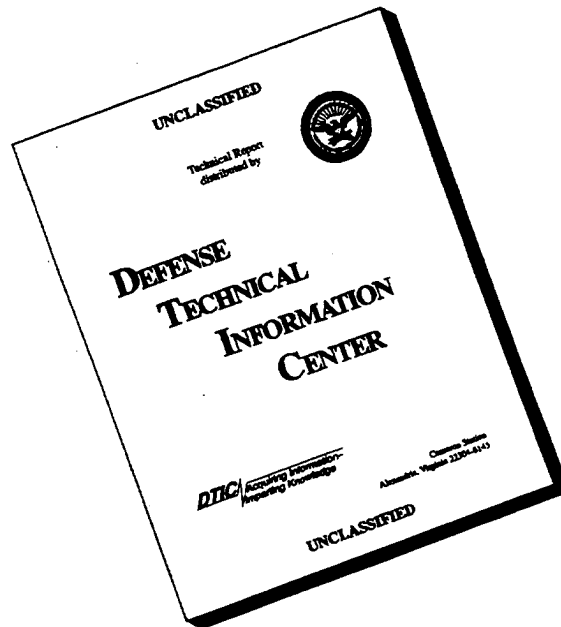
William G. Kemple

Approved for public release; distribution is unlimited

DTIC QUALITY INSPECTED 1

19960304 086

# DISCLAIMER NOTICE



**THIS DOCUMENT IS BEST  
QUALITY AVAILABLE. THE  
COPY FURNISHED TO DTIC  
CONTAINED A SIGNIFICANT  
NUMBER OF PAGES WHICH DO  
NOT REPRODUCE LEGIBLY.**

<b>REPORT DOCUMENTATION PAGE</b>			Form Approved OMB No. 0704-0188	
Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503.				
<b>1. AGENCY USE ONLY (Leave Blank)</b>		<b>2. REPORT DATE</b> September 1995	<b>3. REPORT TYPE AND DATES COVERED</b> Master's Thesis	
<b>4. TITLE AND SUBTITLE</b> IDENTIFYING MEASURES OF EFFECTIVENESS FOR MARINE CORPS C <sup>4</sup> I SYSTEMS			<b>5. FUNDING NUMBERS</b>	
<b>6. AUTHOR(S)</b> Mason, Douglas E.				
<b>7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)</b> Naval Postgraduate School Monterey, CA 93943-5000			<b>8. PERFORMING ORGANIZATION REPORT NUMBER</b>	
<b>9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES)</b>			<b>10. SPONSORING / MONITORING AGENCY REPORT NUMBER</b>	
<b>11. SUPPLEMENTARY NOTES</b> The views expressed in this thesis are those of the author and do not reflect the official policy or position of the Department of Defense or the U.S. Government.				
<b>12a. DISTRIBUTION / AVAILABILITY STATEMENT</b> Approved for public release; distribution is unlimited			<b>12b. DISTRIBUTION CODE</b>	
<b>13. ABSTRACT (Maximum 200 words)</b>  The author presents the results of an extensive literature review and survey analysis conducted to identify, compare, and contrast various C <sup>4</sup> I evaluation techniques, and existing C <sup>4</sup> I measures of effectiveness (MOEs). Evaluation methodologies examined include the Cost and Operational Effectiveness Analysis, the Modular Command and Control Evaluation System (MCES), the Mission Oriented Approach (MOA), the Headquarters Evaluation Analysis Tool, Evolutionary Upgrade Paths, and Functional Decomposition methods. Existing MOEs from past military C <sup>4</sup> I analyses and academia are identified, as well as potential methodologies for developing new MOEs, such as MCES and MOA. A new structural framework is developed using Marine Corps doctrine in order to categorize MOEs with potential applicability to Marine Corps C <sup>4</sup> I system analyses. The framework is used to compare and contrast identified MOEs, including performance rankings based on expert opinion. Conclusions discuss the utility of different evaluation techniques and estimated performance of identified MOEs. Additionally, suggestions for higher resolution sub-categories are presented.				
<b>14. SUBJECT TERMS</b> Measures of Effectiveness, Command and Control, C4I			<b>15. NUMBER OF PAGES</b> 110	
			<b>16. PRICE CODE</b>	
<b>17. SECURITY CLASSIFICATION OF REPORT</b> Unclassified	<b>18. SECURITY CLASSIFICATION OF THIS PAGE</b> Unclassified	<b>19. SECURITY CLASSIFICATION OF ABSTRACT</b> Unclassified	<b>20. LIMITATION OF ABSTRACT</b> UL	



Approved for public release; distribution is unlimited.

**IDENTIFYING MEASURES OF EFFECTIVENESS FOR MARINE CORPS  
C<sup>4</sup>I SYSTEMS**

Douglas E. Mason  
Captain, United States Marine Corps  
B.S., United States Naval Academy, 1987

Submitted in partial fulfillment  
of the requirements for the degree

**MASTER OF SCIENCE IN OPERATIONS RESEARCH**

from the

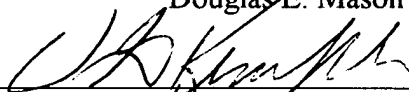
**NAVAL POSTGRADUATE SCHOOL  
September 1995**

Author:



Douglas E. Mason

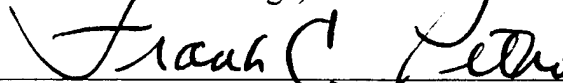
Approved by:



William G. Kemple, Thesis Advisor



Michael G. Sovereign, Second Reader



Frank C. Petho, Acting Chairman  
Department of Operations Research



## ABSTRACT

The author presents the results of an extensive literature review and survey analysis conducted to identify, compare, and contrast various C<sup>4</sup>I evaluation techniques, and existing C<sup>4</sup>I measures of effectiveness (MOEs). Evaluation methodologies examined include the Cost and Operational Effectiveness Analysis, the Modular Command and Control Evaluation System (MCES), the Mission Oriented Approach (MOA), the Headquarters Evaluation Analysis Tool, Evolutionary Upgrade Paths, and Functional Decomposition methods. Existing MOEs from past military C<sup>4</sup>I analyses and academia are identified, as well as potential methodologies for developing new MOEs, such as MCES and MOA. A new structural framework is developed using Marine Corps doctrine in order to categorize MOEs with potential applicability to Marine Corps C<sup>4</sup>I system analyses. The framework is used to compare and contrast identified MOEs, including performance ranking based on expert opinion. Conclusions discuss the utility of different evaluation techniques and estimated performance of identified MOEs. Additionally, suggestions for higher resolution sub-categories are presented.





## TABLE OF CONTENTS

<b>I. INTRODUCTION</b>	<b>1</b>
A. BACKGROUND	1
B. PROBLEM STATEMENT	4
C. APPROACH AND SCOPE	4
D. OVERVIEW OF REMAINING CHAPTERS	5
1. Chapter II. Literature Review	5
2. Chapter III. Analysis of Measures	5
3. Chapter IV. Conclusions	6
<b>II. LITERATURE REVIEW</b>	<b>7</b>
A. DEFINITIONS	7
1. Why C <sup>4</sup> I?	7
a. Physical Entities	9
b. Structures	9
c. Processes	10
2. Measures	10
a. Physical Parameters	13
b. Measures of Performance	13
c. Measures of Effectiveness	14
d. Measures of Force Effectiveness	15
B. C <sup>4</sup> I SYSTEM EVALUATION TECHNIQUES	15
1. Cost and Operational Effectiveness Analysis (COEA)	15
2. Modular Command and Control Evaluation System (MCES)	16
3. Mission Oriented Approach	21
4. Headquarters Effectiveness Analysis Tool	24
5. Evolutionary Upgrade Paths	26
6. Functional Decompositions	29
C. SUMMARY	31
<b>III. IDENTIFICATION AND ANALYSIS OF MEASURES</b>	<b>33</b>
A. BACKGROUND	33
B. DOCTRINAL FRAMEWORK	34
1. Doctrinal Category: Facilitate Commander's Influence of Events	39
a. Supporting Elements	39

2. Doctrinal Category: Adapt to the Situation .....	41
a. Supporting Elements .....	41
3. Doctrinal Category: Support Information Requirements .....	44
a. Supporting Elements .....	44
4. Doctrinal Category: Exploit MAGTF Capabilities .....	47
a. Supporting Elements .....	47
5. Doctrinal Category: Support CP Effectiveness .....	49
a. Supporting Elements .....	50
<b>IV. CONCLUSIONS .....</b>	<b>53</b>
A. SUMMARY .....	53
1. Background .....	53
2. C <sup>4</sup> I Evaluation Methodologies .....	53
3. MOEs .....	54
<b>APPENDIX A. MOE DESCRIPTIONS .....</b>	<b>57</b>
A. DEFINITIONS AND RATIONALE .....	57
<b>APPENDIX B. ANALYSIS OF QUESTIONNAIRE DATA .....</b>	<b>77</b>
<b>LIST OF REFERENCES .....</b>	<b>93</b>
<b>INITIAL DISTRIBUTION LIST .....</b>	<b>97</b>

## EXECUTIVE SUMMARY

The ongoing technical revolution spawned by increasingly powerful and inexpensive information technology has given rise to what has been described as a "revolution in military affairs." This revolution, coupled with jointness issues and decreasing service budgets has provided an impetus to use emerging technology as a force multiplier on future battlefields.

The military is seeking to exploit technology in order to provide integrated, interoperable systems that meet the command and control requirements of services with inherently different missions. Command functions, and the flow of information on the battlefield falls within the realm of Command, Control, Communications, Computers, and Intelligence (C<sup>4</sup>I). Increasing demand for imagery, access to national intelligence databases, sensor management, and wide area networks are just a few of the challenges facing the services in general, and the Marine Corps specifically. Past shortcomings in C<sup>4</sup>I system procurement, however, coupled with the high cost of emerging technologies, have made upgrades to current C<sup>4</sup>I systems and new C<sup>4</sup>I acquisitions the subject of intense analysis.

This paper presents the results of an extensive literature review and survey analysis conducted to identify, compare, and contrast various C<sup>4</sup>I evaluation techniques, and existing C<sup>4</sup>I measures of effectiveness (MOEs). Existing MOEs from past military analyses and academia are identified, as well as potential methodologies for developing new MOEs. A structural framework is developed using Marine Corps doctrine in order to

categorize MOEs with potential applicability to Marine Corps C<sup>4</sup>I system analyses. The framework is used to compare and contrast identified MOEs, including performance ranking based on expert opinion.

A multitude of C<sup>4</sup>I evaluation methodologies exist, each approaching the problem of comparative analysis in a slightly different manner. Available techniques include the Cost and Operational Effectiveness Analysis (COEA), the Modular Command and Control Evaluation System (MCES), the Mission Oriented Approach (MOA), the Headquarters Effectiveness Analysis Tool (HEAT), evolutionary upgrade paths, and functional decomposition methods.

The COEA is well established as the tool of choice for Marine Corps C<sup>4</sup>I system comparative analyses during the concept exploration phase of the acquisition process. The COEA framework, however, is broad enough to accept input from other, potentially more precise, evaluation techniques. The strength of the MCES lies in its initial focus on problem definition and the environment, rather than a premature focus on a quantitative model. MCES also enjoys a commonality of terms and structure which simplify its application. The MOA focuses on obtaining required input from political and military decisionmakers prior to defining an analytical question. HEAT has been successfully applied to military C<sup>4</sup>I systems, and represents a systematic methodology using pre-defined measures. Evolutionary upgrade path concepts help provide greater resolution when comparing alternate systems with similar capabilities. Functional decompositions use varied approaches which couch an analysis in terms of required

system capabilities. Although any hybrid combination of methodologies would only be developed in the context of the analysis at hand, one such combination that suggests itself might be a COEA which employs the first four modules of MCES for problem definition, and evolutionary upgrade paths for distinguishing the best of a subset of "good" systems.

The identification of realistic MOEs is a common thread which binds the various C<sup>4</sup>I evaluation methodologies. Unique MOEs may be required for a specific analysis, and can be generated using MCES, MOA, evolutionary upgrade paths, or functional decompositions. Importantly, many useful MOEs already exist from past analyses, C<sup>4</sup>I literature, and academia. The ACCES, for instance, uses a pre-defined set of measures which remain constant from analysis to analysis. The proposed framework for categorizing MOEs in Chapter III, based on relevant doctrine, provides a tool for identifying and maintaining those MOEs with broad applicability to Marine Corps C<sup>4</sup>I system evaluation. The framework can change dynamically with emerging doctrine, and can also incorporate new MOEs developed by the application of MCES or other MOE generating methodologies.

Importantly, the framework provides a starting point for increased resolution in categorizing C<sup>4</sup>I MOEs for use in Marine Corps related analysis. Potential sub-categories that may provide greater detail include functionality (i.e., Is a component primarily for C<sup>2</sup>, communications, intelligence, or interoperability?), and compatibility (i.e., Is the system employable inside existing vehicle spaces and command post configurations?).



## **I. INTRODUCTION**

### **A. BACKGROUND**

The technological revolution spawned by the increasingly powerful and inexpensive micro-chip has given rise to what many call the "information age." Just as personal computers have transformed the commercial and private sectors, the marriage of computers and increasingly capable transmission systems, including satellites and microwave, have had a dramatic impact on the American military. Coupled with sweeping changes in technological capabilities are transformations caused by the cessation of the Cold War.

Faced with ever decreasing budgets and end strength, the Army, Navy and Air Force are redefining service missions that once focused extensively on the former Soviet Union; the Marine Corps has enjoyed greater stability, since that service had only a limited role in the plan to defend Europe against the Warsaw Pact. Similarly, the issue of jointness has become dominant, meaning all programs, especially those dealing with information flow, are measured by their potential impact on the battlefield interaction of different services.

Traditionally, the flow of information on the battlefield has been called "command and control," or  $C^2$ . For the purposes of this paper, command is a function of authority and responsibility assigned to an individual, while control is the means by which the commander exercises command. Taken together  $C^2$  may be defined as the exercise of authority over designated forces in the accomplishment of a mission.  $C^2$  has been described as the most important activity in war, although  $C^2$  "will not make a single attack

against an enemy force, destroy a single target, or effect a single emergency resupply, . . . without C<sup>2</sup>, campaigns, battles and organized engagements are impossible." [Ref. 1]. As will be defined later, several terms exist to describe the equipment and procedures used for command and control, which will initially be described as command, control, communications, computers, and intelligence (C<sup>4</sup>I) systems. The Department of Defense currently has in use, or under development, a multitude of C<sup>4</sup>I systems, all striving to meet the requirements implicit in the definition of command and control.

The military is seeking to exploit rapid advances in C<sup>4</sup>I technology, while simultaneously working for integrated, interoperable systems that meet the command and control requirements of inherently different service missions. DoD Direction, as stated in the Corporate Information Management initiative and "C4I for the Warrior" [Ref. 2] has outlined the need for more use of Commercial-off-the-shelf (COTS) items and looser business-driven standards [Ref. 3]. Increasing demand for imagery, access to national intelligence databases, sensor management, and wide area networks are just a few of the challenges facing the services in general, and the Marine Corps specifically.

In the Marine Corps, current systems vary greatly in age and capability. New systems often represent quantum leaps in capability due to the age of the systems they replace, although the "new" system often represents less than cutting edge technology due to the length of the acquisition process. The new Single Channel Ground-Air Radio System (SINCGARS), which is 1980's technology, is currently being fielded to Fleet Marine Force units to replace a family of radios representing 1950's technology, which was itself fielded



in the 1960's. Additionally, most of the C<sup>4</sup>I systems currently in use were constructed as stand-alone systems designed to meet requirements at specific echelons of command or within certain warfighting areas (e.g., air defense). Such design has hindered attempts to modernize existing C<sup>4</sup>I systems, since seamless connectivity between echelons, warfighting areas, and services is necessary. For example, the digital "backbone" of today's Marine Corps employs approximately nine different pieces of communications equipment, and extends downward only to the regimental level [Ref. 4]. Even those newer equipments fielded for the joint arena are not immune to connectivity problems; the Tri-Service Tactical (Tri-Tac) Unit Level Circuit Switch (ULCS), an Air Force program jointly endorsed by the Army and Marines, has been purchased in quantity only by the Marine Corps, causing significant interoperability problems between Marine, Army and Air Force field headquarters [Ref. 5].

The effort to manage the controlled flow of huge quantities of information over vast distances, to increasingly smaller units, has resulted in tremendous challenges to the Marine Corps research and development (R&D) community. Due to the rapid changes in technology, and the large dollar amounts involved, upgrades to current systems and new system acquisitions are the focus of intense analysis. While analysis techniques vary, the requirement to identify appropriate measures of effectiveness (MOEs) is fundamental to each. An effective MOE provides the necessary framework to move an analysis from a qualitative to a quantitative assessment of the effectiveness of a C<sup>4</sup>I system. Although the definition will be expanded further, an MOE will initially be defined as a quantity that

results from the comparison of various measures of a systems performance to the mission requirements [Ref 6]. If MOEs are found which can be applied across systems, comparisons between systems may be facilitated. Ultimately, the difficulties encountered by some C<sup>4</sup>I systems during concept development, acquisition, or fielding may be the direct result of less than robust MOEs.

## **B. PROBLEM STATEMENT**

A wide variety of methodologies have been developed to evaluate C<sup>4</sup>I systems, including the modular command and control structure (MCES), the mission oriented approach (MOA), the cost and operational effectiveness analysis (COEA), and evolutionary upgrade paths. A common thread which binds these and other approaches is the fundamental requirement to identify robust, realistic, MOEs. This thesis identifies, documents, compares, and contrasts techniques for developing C<sup>4</sup>I MOEs, and identifies and examines existing MOEs, especially those with recurring use, that may be broadly applicable to existing Marine Corps C<sup>4</sup>I programs. The usefulness, definition, and rationale for each of the MOEs are presented, as well as the procedures for their calculation.

## **C. APPROACH AND SCOPE**

A comprehensive literature search has been conducted in order to fully document existing methodologies for comparing C<sup>4</sup>I systems, focusing on techniques for developing C<sup>4</sup>I MOEs. Frequently used MOEs are identified, as well as MOEs which may have

applicability across different C<sup>4</sup>I systems. The nature of the MOEs identified, as well as the procedures for their calculation, and the feasibility of the measure with regard to cost and time, are compared with other measures to determine contrasts. The study of MOEs focuses on those that may prove useful to the Marine Corps.

## **D. OVERVIEW OF REMAINING CHAPTERS**

### **1. Chapter II. Literature Review**

This chapter presents a current overview of the large body of literature dealing with C<sup>4</sup>I effectiveness measures. Key terms are defined, and a standard set of terminology is established. Each of the techniques for evaluating C<sup>4</sup>I systems is looked at in overview in order to provide a framework of how MOEs, once established, are employed. The techniques for MOE development inherent in each evaluation methodology are then presented, followed by a discussion of the general nature of the MOEs resulting from each.

### **2. Chapter III. Analysis of Measures**

This chapter establishes a structural framework in which to place Marine Corps C<sup>2</sup> MOEs. The framework is derived from Fleet Marine Force Manual (FMFM) 3 "Command and Control," and is inclusive of current Marine Corps C<sup>2</sup> doctrine. Next, pertinent C<sup>2</sup> MOEs from the literature and past analyses are positioned inside the doctrinal framework, which consists of five category "bins," in order to facilitate analysis of the measures. Expert opinion is garnered to rank the MOEs on an interval scale within categories, according to the criteria of performance. Finally, in conjunction with

Appendix A, a discussion of MOEs by category is presented, defining their individual characteristics, completeness, scalability, and underlying measures. Utilizing the underlying measures, the procedures for collecting measurable data and computational requirements are discussed.

### **3. Chapter IV. Conclusions**

This chapter presents a summary of C<sup>4</sup>I evaluation methodologies and techniques for generating C<sup>4</sup>I MOEs. The strengths of each evaluation method are reviewed, as well as potential hybrid combinations of methodologies. Finally, an overview of the doctrinal categorical framework is presented, along with suggestions for resolution enhancements to the existing categories.

## II. LITERATURE REVIEW

### A. DEFINITIONS

#### 1. Why C<sup>4</sup>I?

The continuing rapid rate of change in electronics and communications technologies has resulted in a serious lack of uniformity regarding terminology associated with command and control. Different military services, and indeed branches within services, lack agreement in the definitional framework of C<sup>2</sup> [Ref. 7]. In the years following World War II, the familiar term command and control was expanded to include communications, becoming C<sup>3</sup>. Intelligence was added to create the C<sup>3</sup>I definition, which was standard for almost thirty years [Ref. 8]. This paper will use the term command, control, communications, computers and intelligence (C<sup>4</sup>I), which reflects current usage in the Marine Corps (e.g., Assistant Chief of Staff, C<sup>4</sup>I), and which participants of the 1993 Command and Control Concept Development Game agreed should become standard for the naval service, in order "to avoid unnecessary confusion among ourselves and with other services and remove any differences between the naval service's approach to C<sup>4</sup>I and the Joint Staff's." [Ref. 8]

The breadth of the C<sup>2</sup> domain, however, continues to give rise to a multitude of similar terms. The increased reliance on large intelligence databases and service interoperability requirements has given rise to "command, control, communications, computers intelligence and interoperability (C<sup>4</sup>I<sup>2</sup>)," and the electronic warfare community

frequently adds EW to the above terms, as illustrated by the 1992 Military Operations Research Society (MORS) C<sup>3</sup>IEW Measures of Effectiveness Conference.

A starting point for the definition of command and control was defined in Chapter I as the exercise of authority over designated forces in the accomplishment of a mission. More specifically, *Joint Chiefs of Staff Publication 1* (JCS Pub 1) defines Command and Control as

The exercise of authority and direction by a properly designated commander over assigned forces in the accomplishment of his mission. Command and control functions are performed through an arrangement of personnel, equipment, communications, facilities, and procedures which are employed by a commander in planning, directing coordinating, and controlling forces and operations in the accomplishment of this mission.

Thus command and control is by definition composed of three major elements: personnel, equipment, and procedures. In keeping with the intent of past MORS, NPS, and MITRE workshops dealing with the subject of C<sup>2</sup> analysis, C<sup>2</sup> should be taken to mean as broad a concept as possible, avoiding any distinction between, for example, "command and control" and "combat direction." [Ref. 7] Since this paper deals with the identification of MOEs to aid in the quantifiable analysis of C<sup>4</sup>I "systems," the concept of a C<sup>4</sup>I system must be defined.

Again turning to JCS Pub 1, a C<sup>2</sup> system is defined as "the facilities, equipment, communications, procedures, and personnel essential to a commander for planning, directing, and controlling operations." The Marine Corps has described a C<sup>4</sup>I system as "the integration of communications, computers and intelligence technologies and

procedures into a functional, cohesive system designed to support the commander . . . C<sup>4</sup>I is distinct from C<sup>2</sup> in that C<sup>4</sup>I is the enabler for C<sup>2</sup>." [Ref. 8] Although emerging Marine Corps doctrine seems to define the components of a C<sup>2</sup> system as people, information, and C<sup>2</sup> support structure, for purposes of this paper a C<sup>4</sup>I system will be viewed as having as its three components: physical entities, structures, and processes [Ref. 1]. Again using definitions from MORS workshops and the MCES system, a C<sup>4</sup>I system incorporates the three elements of the JCS Pub 1 definition in the following manner.

*a. Physical Entities*

Physical entities refers to the equipment, software, facilities, and manpower of a C<sup>4</sup>I system. Manpower, or people, "drive the command and control system – they make things happen – and the rest of the system exists only to serve them." [Ref. 1] In other words, humans are integral components of the C<sup>4</sup>I system, not merely users [Ref. 1]. Facilities refer to "the command posts and other spaces where command and control is sometimes performed," while equipment includes "the communications and other gear which support command and control, from pyrotechnics to computers." [Ref. 1]

*b. Structures*

Structures of a C<sup>4</sup>I system identify the arrangement and interrelationships of physical entities, procedures, protocols, concepts of operation, and information patterns [Ref. 9]. Such arrangements can be both spatial and temporal. Procedures include the "techniques by which we perform various command and control functions," and they apply only to "rote or mechanical tasks, not to acts of judgement." [Ref. 1] Organization

"provides those groups of people through which the commander exercises command . . . and establishes the channels by which information flows." [Ref. 1]

### *c. Processes*

A process is a description of what the system is actually doing, and reflects the functions carried out by the system [Ref. 1]. These activities "include – but are not limited to – gathering and analyzing information, making decisions, organizing resources, planning, communicating instructions and other information, coordinating, monitoring results, and supervising execution." [Ref. 1] Note that processes are not the same as procedures. Both processes and functions are defined in detail in the Marine Corps *Mission Area Analysis of Mission Area 11 – Command and Control*.

## **2. Measures**

Within the realm of C<sup>4</sup>I system evaluation, the set of terms that has been developed by the analytic community seems to enjoy a more uniform acceptance than the previous terms dealing with the broader descriptions of C<sup>2</sup>. Measures of C<sup>4</sup>I systems cover a spectrum of specific system aspects. The phrase "measures of merit" (MOM) has been used to describe the overall collection of measures, which will be categorized according to four groups: measures of force effectiveness (MOFEs), measures of effectiveness (MOEs), measures of performance (MOPs), and physical (sometimes called dimensional) parameters [Ref. 9]. A hierarchical relationship exists among MOMs, as portrayed in Figure 1.



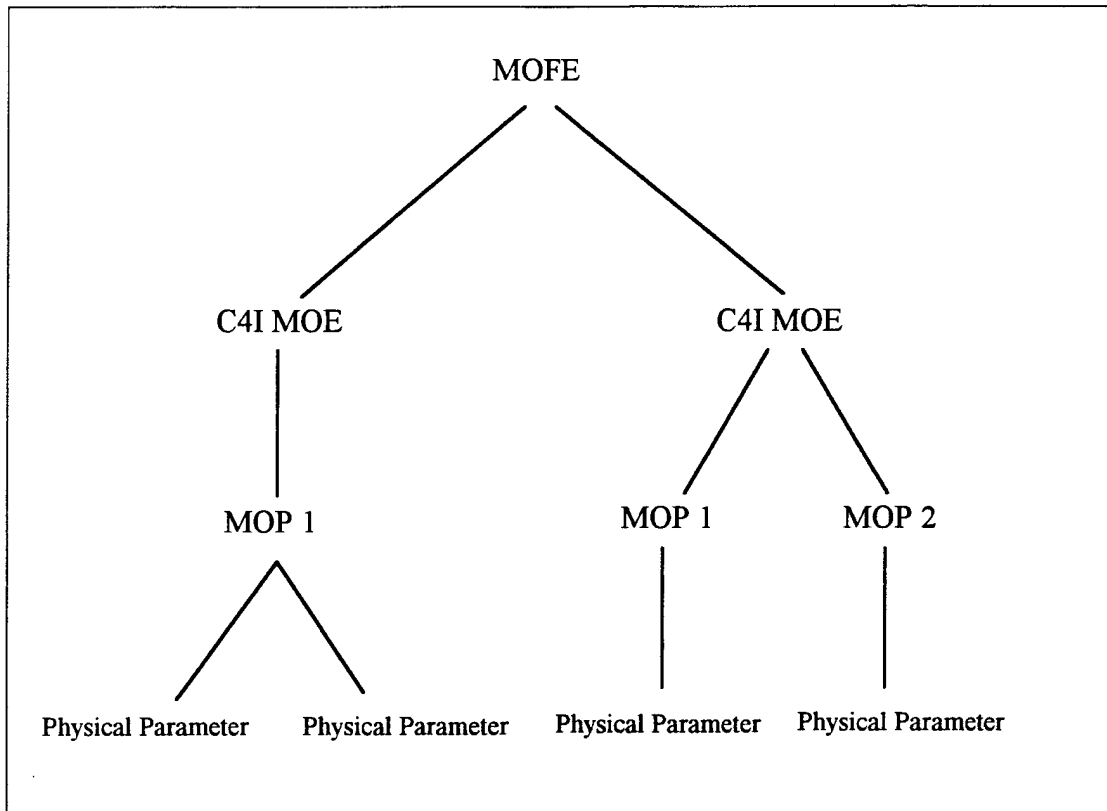


Figure 1. Hierarchical Relationship of Measures of Merit. From Ref. [9].

MOMs, as listed, are categorized by their relationship to the boundaries of a C<sup>4</sup>I system. In turn, the boundary is defined by the scope of the analysis being conducted, and represents the delineation between the system being studied and the environment. Therefore the definitions of the four categories of measures, while rigorous, can only be applied in their relationship to the system boundary. Figure 2 depicts the relationship between different boundaries and the environment, in what is called the "onion skin" diagram. Thus a thorough understanding of the boundaries for any system must be accomplished within the context of the analysis at hand. Depending on the resolution of

the analysis, what might be used as an MOP (for a national level system), could be used in another analysis as an MOE (for a service level system). [Ref. 7]

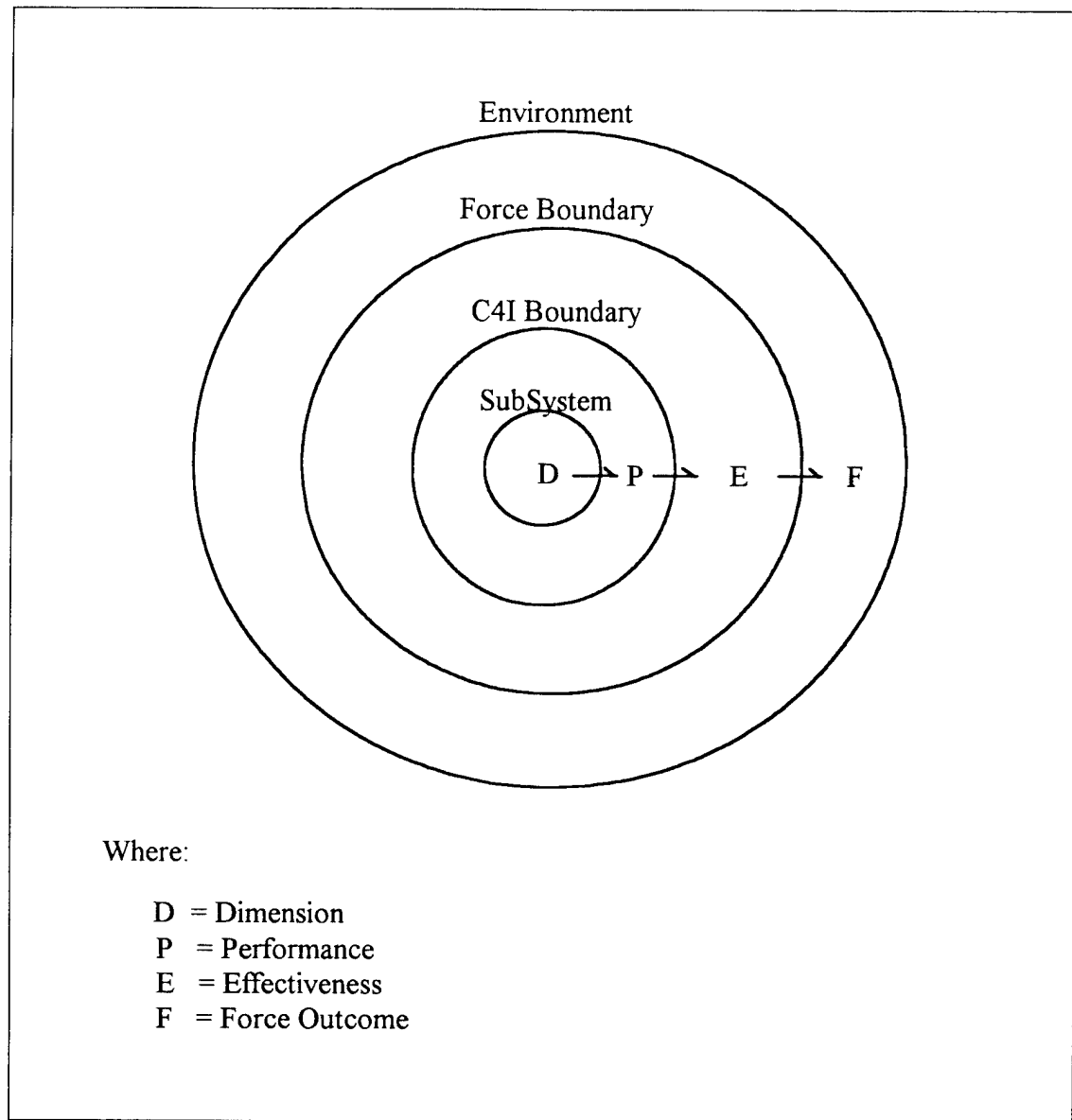


Figure 2. Onion Skin Diagram. From Ref. [7].

Besides category and system reference (or boundary) attributes, all MOMs have the additional attributes of names, function reference (or purpose), units of measure, value measured, and threshold value (or goal) [Ref. 9]

*a. Physical Parameters*

A physical parameter describes the tangible, physical aspects of a component or system. Its value determines system behavior and the structure under question even when at rest [Ref. 7]. Examples of physical parameters include size, weight, capacity, and number of pixels.

*b. Measures of Performance*

An MOP is closely related to a physical parameter, in that it represents a property or characteristic inherent in the physical entity [Ref. 9]. Stated in another way, it measures attributes of systems behavior, or "performance is a measure of the ability to take action in accordance with requirements in the execution of an action." [Ref. 6] Examples include baud rate, throughput, and frequency range. MOPs are internal to the system being analyzed, and are scenario independent [Ref. 9].

MOPs have also been defined as non-probabilistic measures of performance, where "the MOP class provides for the collection of metrics . . . that are not probabilities of successful outcomes of functions." [Ref. 10] Thus MOPs are the "consequence" of specific configurations of physical elements. The probabilistic nature of this definition of MOPs also leads to an alternate definition of MOEs.

### *c. Measures of Effectiveness*

An MOE is a measure of how the C<sup>4</sup>I system performs its functions, or affects other entities, within an operational environment [Ref. 9]. C<sup>4</sup>I MOE are measured relative to some standard, which is often simply the implicit baseline of "how would a perfect C<sup>4</sup>I system perform." [Ref. 9] Additionally, C<sup>4</sup>I MOE are scenario dependent. Some examples include probability of detection and target detection; Chapter III will provide many more examples in the form of C<sup>4</sup>I MOEs with potential applicability to Marine Corps systems.

By couching the definition in probabilistic terms, Girard [Ref. 10] and Elele [Ref. 6] have developed more mathematically rigorous definitions for MOEs. In Girard's terms, an MOE is the probability of the successful accomplishment of a function, where all probabilities are conditional, and are derived from MOPs and lower level (or prior) MOEs, and where a function is a process relating in an outcome [Ref. 10]. Thus "an MOE defined by an objective function at an upper level is a dependent variable, and is a mathematical function of the MOEs defined by objective functions at a lower level." [Ref. 10] Ultimately, an "audit trail" equation is generated, linking the conditional upper level MOE to measurable MOPs. Elele uses Bayes' Rule to develop a similar probability based MOE definition [Ref. 6].

At an even higher level of abstraction, Levis and Cothier [Ref. 11], Levis [Ref. 12], and Levis and Martin [Ref. 13] have developed an MOE methodology based on set theory. Similarly, Dockery [Ref. 14] explores fuzzy set theory in defining MOEs.

#### *d. Measures of Force Effectiveness*

An MOFE is a measure of how the force performs its missions, or its "contribution to battle outcome." [Ref. 7] Again, MOFEs are inherently scenario dependent, since they are tied to operational context and assumed enemy actions.

### **B. C<sup>4</sup>I SYSTEM EVALUATION TECHNIQUES**

#### **1. Cost and Operational Effectiveness Analysis (COEA)**

The MOEs and doctrinal framework presented later in chapter III will be developed primarily for use with the cost and operational effectiveness analysis. A COEA is a systematic study "designed to assist a decision maker in identifying a preferred choice among possible alternatives." [Ref. 15] COEAs are currently the tool of choice for the evaluation of alternative systems during the concept development phase of acquisition.

The first step in a COEA involves defining the overall objectives of the study, identifying a "base case" system (usually consisting of currently fielded assets), and a set of alternative systems to be evaluated. The evaluation itself usually focuses on three areas: effectiveness, cost, and risk, of which effectiveness requires the development of MOEs that can be applied equally to the base case and each alternative. Figure 3 shows a normal COEA methodology.

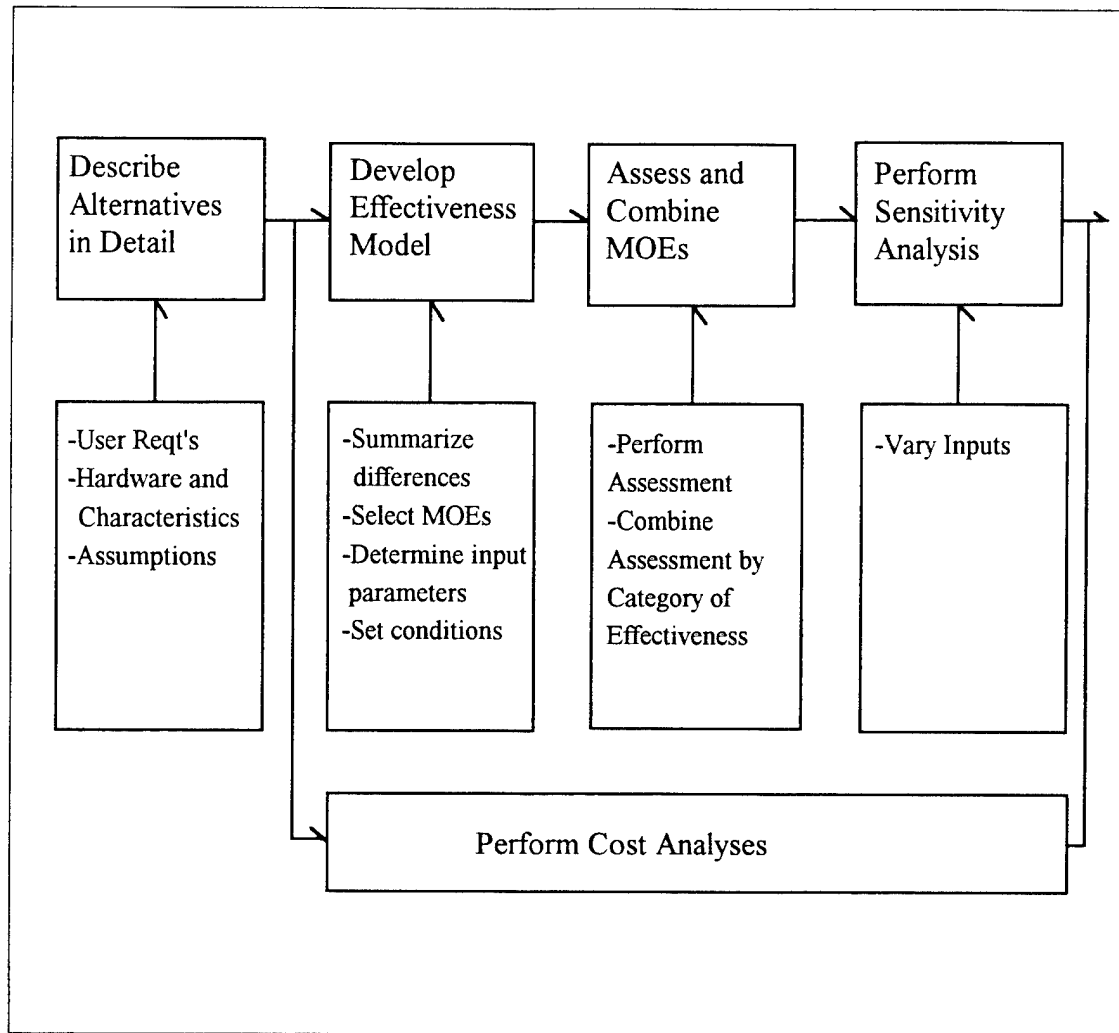


Figure 3. Cost And Operational Effectiveness Analysis. From Ref. [15].

## 2. Modular Command and Control Evaluation System (MCES)

The modular command and control evaluation system was developed by a team of experts from industry, government and academia, in a series of forums initiated by the MITRE Corporation and the Military Operations Research Society, and hosted by the Naval Postgraduate School during the 1980s. The purpose of the forums was to develop a general approach to analyzing  $C^2$  systems, and the result of their efforts is a seven module system that has been successfully applied to many facets of  $C^2$ , including planning,

acquisition, testing and operation [Ref. 16]. The strength of the MCES approach lies in the guidance it provides to analysts who might otherwise focus prematurely on the quantitative model, rather than the problem definition and the specific measures needed to discriminate between alternatives [Ref. 16]. The MCES presents a method to attack difficult concepts in a standardized manner, using some semblance of standardized terminology and paradigms. Ultimately the MCES can be thought of as two processes, a managerial system which serves as a guide to specifying the problem to be analyzed, and an analytic system which serves as a guide to the analysis process itself [Ref. 17]. The seven modules are described individually below.

The first module, *problem formulation*, identifies the objective of an application, leading to a precise statement of the problem being addressed. The system's operational and deployment concepts, environmental factors, scenarios, assumptions and threats are made explicit. [Ref. 17]

Module two, *system bounding*, takes the output of the first module (the problem statement), and uses it to bound the system. The system bounds are defined in terms of the three categories of a C<sup>4</sup>I system (defined earlier in the chapter as physical entities, structures, and processes), and the onion skin diagram. The implementation of this module results in the identification and categorization of the system elements. [Ref. 17]

Module three, *process definition*, involves building a dynamic framework that identifies the relevant C<sup>2</sup> processes, each broken down into a set of functions. The framework focuses attention on the environmental forces which initiate the C<sup>2</sup> process, the

internal  $C^2$  process functions which detail what the system is doing, and the required input to and output from the internal  $C^2$  process. The implementation of this module results in the identification of the functions of the  $C^2$  process, which are mapped into a  $C^2$  process loop. The generic  $C^2$  process loop consists of an environmental stimulus, which initiates a process of: sense, assess (with regard to desired state), generate, select, plan, and direct, the result of which is a system response to one's own forces, inside the context of the environment. [Ref. 17]

The fourth module of the process, *integration of system elements and functions*, integrates the system elements identified in module two, and the process functions from module three, into a representation of the  $C^4I$  system. The product of this module is at least a complete descriptive conceptual model, and perhaps a complete mathematical model. [Ref. 17]

Using the four prior modules as a base, the fifth module, *specification of measures*, specifically identifies the MOPs, MOEs, and MOFEs necessary to address the problem of interest. The measures are specific with regard to the corresponding levels of the  $C^4I$  system and  $C^4I$  functions. Resulting measures must adhere to a set of desired characteristics, which often includes whether the measure is mission-oriented, discriminatory, measurable, objective, sensitive, and inclusive [Ref. 17]. Note that the MCES can be utilized just for the implementation of the first five modules, which will result in a concise problem statement, a complete description of the  $C^4I$  system, including



its functions, and a list of acceptable measures. The set of measures can then be applied in the form of another  $C^2$  evaluation methodology, such as a COEA.

Module six, *data generation*, involves the generation of data for the measures identified in the previous module. Any appropriate type of data generator may be used, including simulation, exercises, experiments, or expert opinion [Ref. 17]. The module output is simply the values associated with the measures of interest.

The final module, *aggregation of measures*, aggregates and interprets the observed values of the measures. The analysis results are tailored to address the problem statement of module one. The seven MCES modules are performed iteratively, with the decision maker located as shown in Figure 4. [Ref. 17]

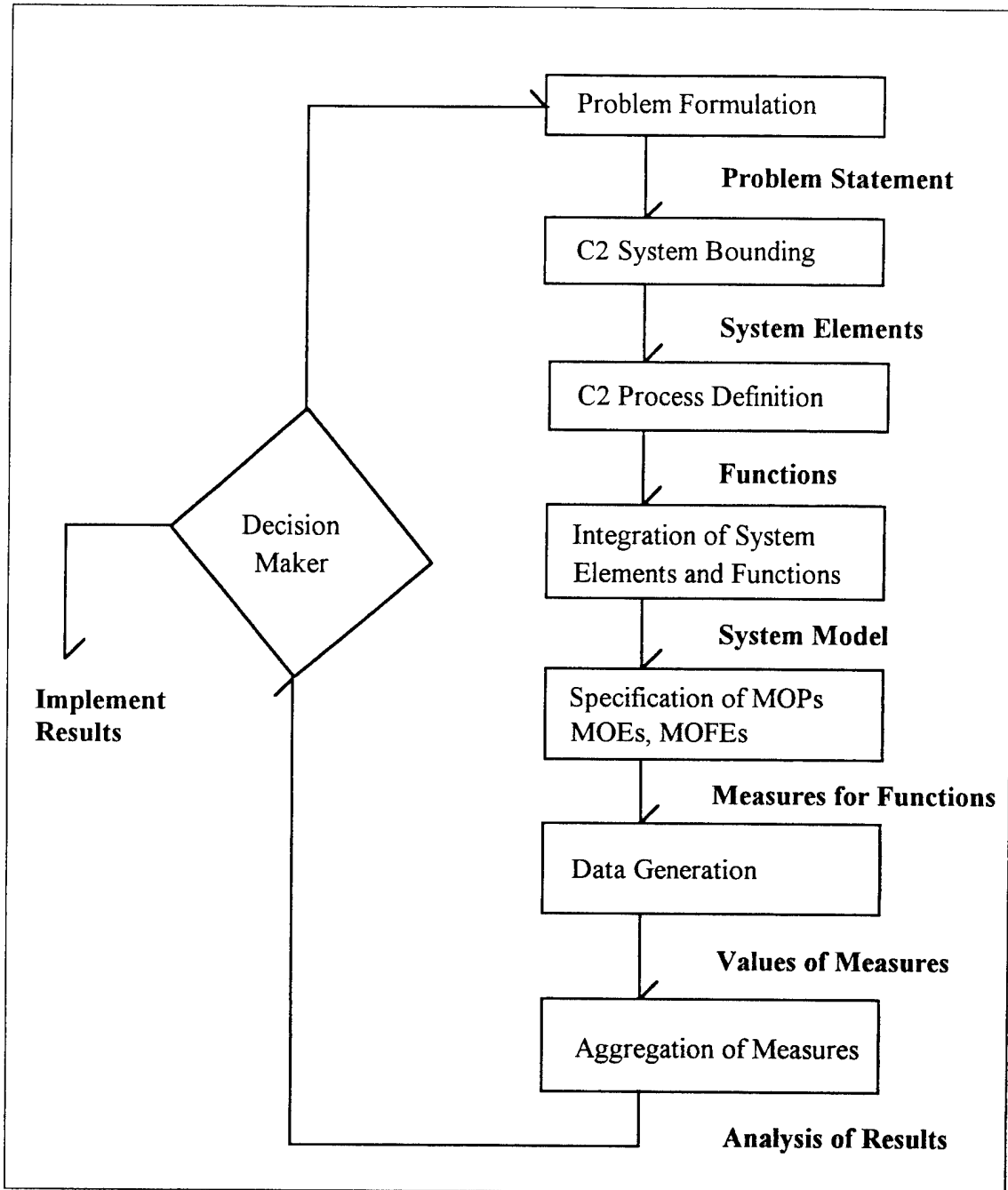


Figure 4. MCES Structure. From Ref. [17].

### **3. Mission Oriented Approach**

The mission oriented approach is a four phase framework for formulating requirements while maintaining an optimal balance between operational requirements, technical capabilities, and scarce resources (see Figure 5) [Ref. 18]. The MOA "systematically relates mission objectives and operational plans to C<sup>2</sup> system objectives and associated programs." [Ref. 18] The approach is somewhat similar to the MCES, in that the first two phases of MOA relate to the functional definition module of MCES, and the last two phases of MOA deal with the C<sup>2</sup> capabilities in MCES module four. Like the MCES, the MOA is beneficial as a potentially common framework for discussions among communities, although it lacks the commonality of terms built into MCES. Finally, while the MOA is well suited for requirements definition, it is not particularly well suited for evaluation of alternative C<sup>4</sup>I systems [Ref. 19]

The first phase begins by addressing the question "What are we trying to achieve operationally?" This question is directed well above the operator and analyst level, to the relevant political and military decision makers. The response to this question is generally framed in terms of a set of strategic capability objectives for employing forces. These force capability objectives become the standard against which the capabilities of existing, and proposed, "packages" of C<sup>2</sup> systems can be measured. [Ref. 18]

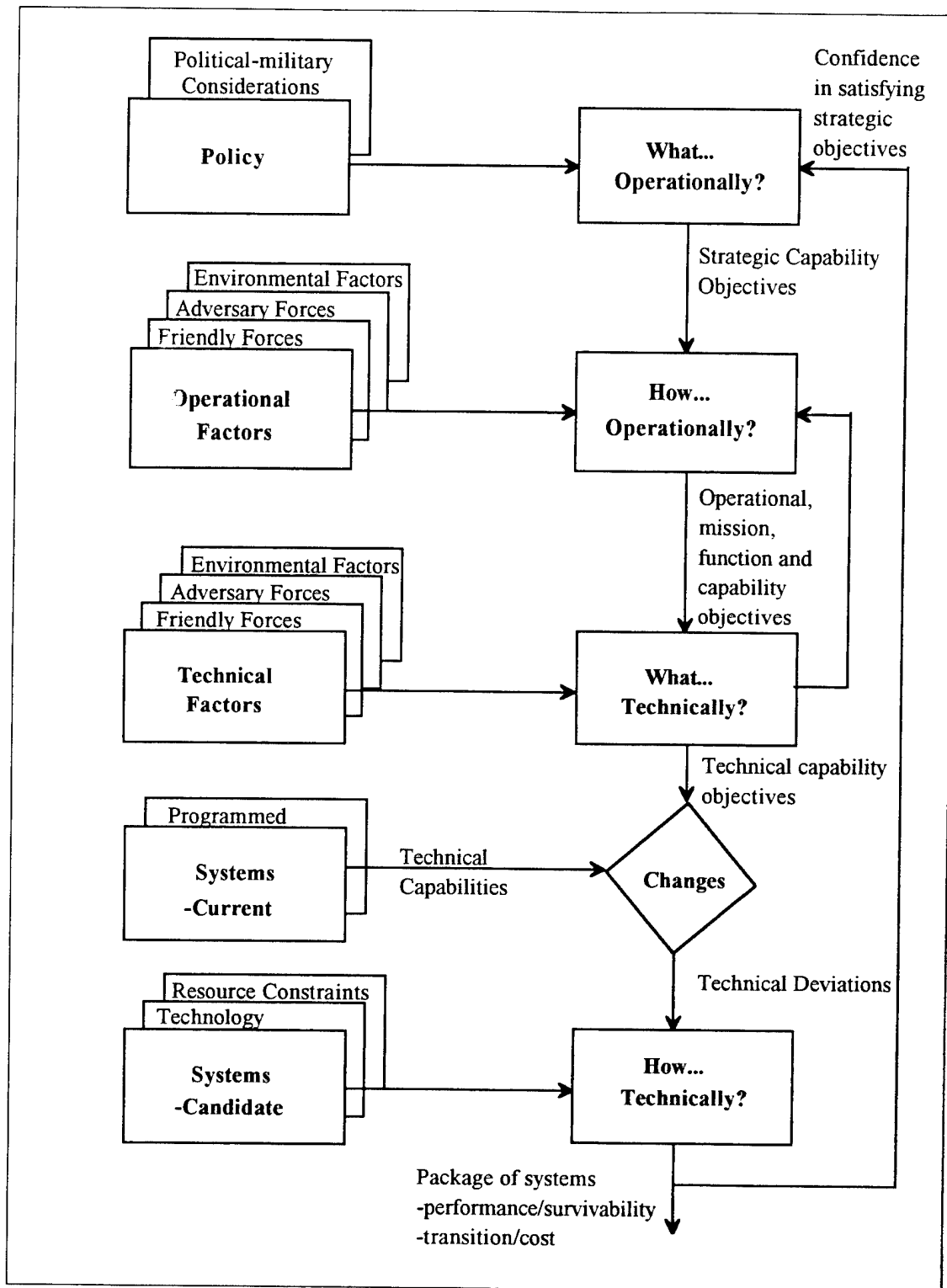


Figure 5. Generic MOA. From Ref. [18].

Phase two addresses the related question, "How are we trying to perform the mission?" This question should be answered by those operational personnel who formulate concepts of operation at multiple levels; typical levels of aggregation include strategic capability, mission (operational) capability, and functional capability. For each level, the capability objectives are "self-consistently derived, beginning with specified strategic capability levels, based on perceived adversary operations, friendly concepts of operation and environmental factors." [Ref. 18]

The third phase of the MOA, addresses "what technically" is required to support the operation defined in the first two phases. Technical personnel are employed to "translate the operational/mission/function capability objective levels into the technical attributes of the packages of communications" needed to implement the respective capability levels [Ref. 18]. Technical characteristics are derived using the existing, and proposed, characteristics of friendly forces, enemy forces and the environment.

Having defined "what technically" is required in the third phase, the fourth phase of the process describes "how technically" will the desired characteristics be incorporated. Based on technical deviations obtained by comparing existing packages of C<sup>2</sup> systems to the technical objectives of phase three, alternative packages of C<sup>2</sup> systems can be formulated "consistent with assumed restrictions on available resources." [Ref. 18] Thus potential "investment strategies" are outlined, which can then be assessed to determine how well they meet the strategic objectives set forth by policy makers in phase one [Ref. 18]. Note that by going back to phase one, the MOA becomes an iterative process,

indeed "the key to successful application of MOA is iteration." [Ref. 18] The iteration is needed to address issues across communities (e.g., operational and technical), and within communities (e.g., system operators and maintainers).

#### **4. Headquarters Effectiveness Analysis Tool**

The headquarters effectiveness evaluation tool, or HEAT, is based on adaptive control system theory [Ref. 20]. Two variants of HEAT are currently in use, they are the Army command and control evaluation system (ACCES), and a Navy version for battle force in-port training (BFIT) [Ref. 21]. The primary focus of HEAT is the overall performance of a headquarters, and in general HEAT addresses the question "What should be done to improve the effectiveness of a military headquarters?" [Ref. 21] ACCES focuses this question primarily on the division headquarters [Ref. 20]. Candidates for improvement include the headquarters staff (staff size, organization, training, procedures, etc.), and C<sup>4</sup>I systems. In execution, HEAT uses specific definitions of C<sup>4</sup>I theory, tools and applications.

Theory, in the form of a decision-making model, aids in the design of measurement tools, and suggests hypotheses for experiments and exercises. The decision making model is "the most fundamental concept in HEAT theory." [Ref. 21] The model itself is an expanded Boyd cycle, and includes the six "process steps" of: monitoring (obtaining data from the environment), estimation (placing values on monitoring), situation assessment (interpreting estimates), option generation (generates feasible courses of action), option selection, and plan-generation/direction [Ref. 21]. The model "asserts that planning,

decisionmaking, and execution activities conducted by a headquarters are analogous to a feedback control system that attempts to keep its external environment within acceptable bounds, despite the actions of the enemy." [Ref. 21]. The six process steps transcend organizational boundaries, since each step does exist (in some form) in virtually every headquarters, making the model a foundation for posing and answering questions about headquarters effectiveness.

The HEAT measurement tool consists of a set of measures that, as a whole, capture important determinants of effectiveness. ACCES in particular, has forty-three MOEs designed to determine command post effectiveness [Ref. 20]. The HEAT measures fall into three broad categories: process, performance, and effectiveness. Process and performance measures can be further defined under four types: characteristic measures (process measures that indicate whether a headquarters strives to be optimal), coordination measures (process measures that capture the degree of information coherence), queueing measures (performance measures that indicate the origins of time delays), and quality measures (performance measures that indicate the degree to which performance approaches a norm – usually the accuracy of the headquarters perception of ground truth) [Ref. 21]. HEAT MOEs that may be pertinent to Marine C<sup>4</sup>I systems are included in Chapter III of this paper.

A HEAT application results in the assignment of values to the HEAT measures, and may consist of experiments and/or exercises. Note that experiments and exercises are complementary, as exercises can help validate experiment findings, or suggest independent

variables for future experiments. A key aspect of a HEAT application is the requirement for observation. Towards this end, HEAT observers must undergo specialized training, which includes fundamental HEAT concepts and the rudimentaries of data collection [Ref. 21].

Although the HEAT methodology is well defined, and has been successfully applied by analysts, the reliance on a defined set of MOEs limits this evaluation technique when compared to the MCES or the MOA. Additionally, HEAT uses a standardized model, in contrast to the formal problem and environmental definitions found in the initial modules of the MCES. The Army has found that "the most debilitating problem with the ACCES methodology is the reliance upon percentage figures ... raw scores would provide a great deal more precise and reliable information." [Ref. 20]

### **5. Evolutionary Upgrade Paths**

Most existing C<sup>4</sup>I systems, especially recent procurements, will likely be incorporated with some type of product improvement or upgrade during their useful life cycle. The concept of evolutionary upgrade paths is that an effective evaluation of a new C<sup>4</sup>I system must include an evaluation of its planned upgrade path toward some goal or target level of functionality. The evolutionary acquisition concept is a "build a little, test a little, field a little" approach, using off-the-shelf equipment and software where applicable. More formally, it is "an acquisition strategy which may be used to procure a system (which is) expected to evolve during development, within an approved architectural framework, to achieve an overall systems capability." [Ref. 19]



Proponents of evolutionary acquisition point to several compelling reasons for utilizing the approach. Past attempts at using the "big system" approach, that is, fielding a complete, integrated system all at once, have been somewhat unsuccessful. Examples of perceived failures include the many iterations of TCO (starting in 1968), and MIFASS [Ref. 19]. Additionally, it is difficult to generate a complete list of defined requirements for a needed system; new technology, new procedures, and the length of the acquisition process all add to the uncertainty of predicting the final system requirements. Finally, proponents argue that user response is more quickly incorporated and capabilities are fielded faster using evolutionary acquisition. By starting with a fielded system with which the user is already familiar, the user can better assimilate and evaluate changes. Also, by quickly fielding a core capability while concurrently developing component systems, new technology (including commercial-off-the-shelf items) can reach the user at a rate much faster than is currently possible.

The evolutionary upgrade framework consists of four major steps. Step one is to define the target system's functions and capabilities. The system capability requirements are detailed in the originating mission need statement, and the operational requirements document. Similarly, C<sup>4</sup>I functions are well documented in doctrine, as well as the previously mentioned Marine Corps Mission Area 11 analysis, although functional decompositions may be required to meet the desired resolution. Once defined, functions must be matched to the technological capabilities required to support them. With the

relationship between target system capabilities and functions established, weights are assigned to the function according to perceived value.

The second step is to define all viable upgrade paths. For each potential base system, the goal is to establish a list of candidate paths which result in a target system that possesses the capabilities established in the original definition of the target system. Base systems should preferably meet some of the requirements of the target system, and may have multiple candidate paths. The underlying concept is that each base system could someday meet the total requirements of the target system, by incrementally adding future capabilities. Finally, each candidate path should satisfy the current cost, resource, and risk constraints.

The third step of the framework involves determining the overall value and cost of each candidate path. The values for each function were determined in step one, and by summing the values of the functions met while incorporating some "discount" function to account for the timeliness with which the base system reaches target system maturity. The time series approach to discounting is necessary since, by definition, each candidate path ultimately incorporates each of the functions required in the target system, which would cause the sum of the function values for each path to be equal. Additionally, the concept of "functionally derived values," or attaching greater values to the most important technological capabilities, can provide valuable insights into system priorities. [Ref. 19]

The cost of each candidate path can be computed by adding the cost of the alternative base system if bought today, and the cost of adding a new capability in the

future. Note that the costs to achieve the target system may differ between base systems, due to the potential differences in hardware, software, and configurations. Costs should be put in constant dollars, and should include costs associated with research, development, testing, and evaluation (RDT&E), procurement, and operations and support costs. Like values, costs can be "discounted" to account for technological priorities. [Ref. 19]

The final step can be thought of as a linear program, where one is maximizing value, subject to cost, resource, and risk constraints. The final selection method would involve the elimination of those candidate paths that violated the constraints, and selecting from the remaining paths the one with the highest value.

## **6. Functional Decompositions**

To an extent, some form of functional decomposition is present in most of the preceding techniques, such as the detailed user requirements in the COEA, module three in the MCES, or "how operationally" in the MOA. Several less used C<sup>4</sup>I evaluation techniques employ variations of functional decomposition as the primary framework for their methodology.

Kemple, Stephens, and Crolotte [Ref. 22] use expert opinion in a three part methodology that defines MOEs for C<sup>4</sup>I systems. First, a three phase "value analysis" is conducted where experts define "attributes" of system effectiveness, weight them by relative importance, and then reduce them to a reasonably sized set of the most significant attributes. Next a functional analysis is conducted, using a system functional decomposition which is again weighted by experts, in order to facilitate comparisons.

Finally, the value and functional analyses are combined to generate what should be measured, appropriate scenarios, and MOEs defined through linear aggregation. [Ref. 22]

Girard [Ref. 10], has developed what he calls "a function based definition of  $C^2$  MOEs." Using requirements documents, such as the Navy's Top Level Warfare Requirement (TLWR), a functional decomposition is conducted in each of three domains: mission, organization, and resource. Mission success criteria (MSCs) and required capabilities (RCs), both established by the TLWR, are used to generate the initial tiers of functions. Most mission success criteria and required capabilities can be stated in terms of the probability of successfully accomplishing a function, therefore a function defines its own metric. Conversely, a probability metric defines the outcome of its related function. For example, the probability of detection identifies the detection event as the outcome of the search function; functions, and their related metrics, become inseparable. [Ref. 10]

RCs can be thought of as a set of subfunctions for the higher level functions defined by the MSCs. In this way, a procedure (a set of functions carried out in some prescribed manner) represents the implementation of the higher order function in terms of its subfunctions. A model of the procedure will be nothing more than a conditional probability describing the relationship of the lower level metrics to the higher level metrics. In this manner, Girard arrives at his definition of an MOE as the (conditional) probability of the successful accomplishment of a function [Ref. 10]. The MOEs resulting from the decomposition can then be applied using a variety of analytical tools.

The C<sup>2</sup> MOE Handbook issued by the Army's Training and Doctrine Command (TRADOC) also uses functional decomposition methodology to describe a method for developing MOEs. As a first step, the methodology lists six components which must be defined: objectives, functions, processes, tasks, structures, and physical entities. These components represent "links" between the C<sup>4</sup>I system, the decisionmaker's questions, and the hierarchy of C<sup>4</sup>I components. A rough outline of the links can help portray interrelationships and implications inherent in the decisionmaker's questions. The questions themselves should be simplified, with the goal being "to break those high level questions down through a systematic functional decomposition that results in the development of issues or questions that are specifically worded to obtain data." [Ref. 23]. Through the functional decomposition of the decisionmaker's questions, issues, sub-issues, essential elements of analysis, MOFes. MOEs MOPs, and data requirements are developed which guide and direct the required analytical work. The handbook recommends a logic tree (dendritic network) to portray the interconnectivity of a logical network used in decomposing the decisionmaker's questions into issues. [Ref. 23]

### C. SUMMARY

Although the COEA is the standard tool employed by the Marine Corps to evaluate alternative C<sup>4</sup>I systems during the concept definition phase of acquisition, this chapter has suggested that there are several equally robust evaluation tools. Significantly, alternate evaluation techniques can be complementary to the traditional COEA framework. An application of one of the functional decomposition techniques can strengthen the

originating requirements documents, the MNS and the ORD. The MCES, with its commonalty of terms and robust problem definition, can aid in the identification of measures that will provide a valid comparison between the alternative systems in the COEA. Finally, the evolutionary upgrade path framework can add resolution to alternative systems that in the current COEA framework might otherwise "be too close to call."

### III. IDENTIFICATION AND ANALYSIS OF MEASURES

#### A. BACKGROUND

Due to the variety of functions different C<sup>4</sup>I systems may perform, the MOEs to be used in an analysis must often be devised specifically for the task at hand. Indeed, the MCES methodology makes clear that prior to identifying MOEs, the problem must be clearly stated and the environment must be fully defined. As has already been mentioned, an MOE at one level might be an MOFE or an MOP at another level.

Many good MOEs, however, are already in existence, and there is no requirement to be original in identifying MOEs for a particular study. Pertinent MOEs may be gleaned from past analyses, studies, and academic submissions to those periodicals that deal with command and control (e.g., *Signal Magazine* and *IEEE*). When dealing with the large body of existing MOEs, the primary problem is determining which are applicable and valid. Further, the sheer volume of existing MOEs requires some sort of framework to categorize them; a workable framework allows manageable comparisons to be made between potential alternatives. Several potential frameworks present themselves for consideration, including categorizing by system composition (hardware, software, communications equipment), system functions, or system cost. A system based categorization, however, fails to narrow the set of existing MOEs with regard to Marine Corps C<sup>4</sup>I directives. To that end, existing USMC doctrine pertinent to the C<sup>4</sup>I arena was reviewed in order to gain insight into a framework with more specific application to the Marine Corps. This framework will be summarized in the sections that follow.

## B. DOCTRINAL FRAMEWORK

Marine Corps doctrine concerning command and control, while voluminous, is also very specific in regard to required capabilities and characteristics. *FMFM 3, Command and Control*, provides a structural framework for the classification of C<sup>2</sup> system ideas, requirements, capabilities and characteristics listed in other doctrine, including *FMFM 3-30 Communications*, *Mission Area Analysis of Mission Area 11 – Command and Control*, *FMFRP 14-30 A Concept of Command and Control*, and *The Command and Control Concept Final Report*. *FMFM 3* is particularly pertinent because it marks "the first (Marine Corps) effort to apply command and control theory specifically to maneuver warfare in doctrinal terms." [Ref. 24] Specifically, the framework suggested by *FMFM 3* consists of five broad categories, which seem to be inclusive of the crucial C<sup>4</sup>I system requirements discussed throughout Marine Corps doctrine. The doctrinal categories, taken directly from *FMFM 3*, are as follows.

- 1) Facilitate Commander's Influence of Events
- 2) Adapt to the Situation
- 3) Support Information Requirements
- 4) Exploit MAGTF Capabilities
- 5) Support CP Effectiveness

Broader descriptions of these categories are given in the sections that follow.

In order to assess the extent to which these doctrinal categories encompass all Marine Corps C<sup>4</sup>I doctrinal requirements, and to describe the doctrinal categories in greater detail,



additional Marine Corps C<sup>4</sup>I publications were examined for further elements of doctrine. Any statement that defines additional C<sup>4</sup>I performance or capability requirements was listed as an element of doctrine. Table 1 shows examples of these additional elements of doctrine, which total 39 statements from six publications.

A C <sup>2</sup> system "places decision making at the lowest possible level."
A C <sup>2</sup> system "ensures subordinate commanders receive ideas and concepts, not just data packages."
A C <sup>2</sup> system should "translate the commander's decisions into plans and orders."
A C <sup>2</sup> system should "supervise the execution of those plans and orders."
"The MAGTF commander must be able to make and implement decisions faster than the enemy, so as to gain the initiative and create tactical advantages for the MAGTF to exploit."
A C <sup>2</sup> system should possess the "capability for MEF and MEB command elements to conduct rapid mission planning at a level comparable to that of a MEU."

Table 1. Examples of Additional Elements of Doctrine.

The additional elements of doctrine, hereafter referred to as "supporting elements," were placed into the context of the five doctrinal categories in order to determine the comprehensiveness of the framework. The actual placement of supporting elements into the doctrinal categories was based on the author's determination of whether the supporting elements reinforced or clarified the description of a particular category. For example, the definition of doctrinal category one, "Facilitate Commander's Influence of Events," is as follows.

No coherent battle plan is possible without the commander's vision of how he intends to win. The C<sup>2</sup> system, imbued by his intent, provides the means to apply his decision effectively, gather and analyze information, implement his plan, and supervise his forces [Ref. 24].

Thus the supporting element "translate the commander's decision into plans and orders" reinforces that portion of the doctrinal category definition which discusses the need for a "means to apply his decision effectively." Similarly, the supporting element which states that a C<sup>4</sup>I system should provide "the capability to view friendly and enemy force data as it is received" clarifies (and provides resolution to) the category definition assertion that a C<sup>4</sup>I system should help a commander "supervise his forces."

In this manner, a subset of the 29 supporting elements were determined to fit within the first doctrinal category; Table 2 reflects this subset. The same logic was applied to fit supporting elements within the remaining four doctrinal categories, with some supporting elements appearing in more than one doctrinal category. Ultimately, all 39 supporting element statements were successfully placed into the doctrinal framework, suggesting that the framework is indeed inclusive of all Marine Corps C<sup>4</sup>I doctrine. A summary of the distribution of supporting elements under each doctrinal category is included in the sections that follow.

Once the inclusiveness of the doctrinal categories was established, MOEs selected from the literature were mapped into the doctrinal categories of the framework, and further related to the supporting elements already listed under each doctrinal category; the supporting elements were used to categorize the MOEs because they provided increased resolution to the doctrinal category definitions. The increased resolution, in turn, was deemed necessary due to the specificity of many of the MOEs. Like supporting elements, MOEs were put into the framework based on the author's interpretation of their

relationship to the categories. This interpretation was based on the stated definition of each MOE, as well as their respective statements of purpose.

A C <sup>2</sup> system "places decision making at the lowest possible level."
A C <sup>2</sup> system "ensures subordinate commanders receive ideas and concepts, not just data packages."
A C <sup>2</sup> system should "translate the commander's decisions into plans and orders."
A C <sup>2</sup> system "supervise the execution of those plans and orders."
"The MAGTF commander must be able to make and implement decisions faster than the enemy, so as to gain the initiative and create tactical advantages for the MAGTF."
A C <sup>2</sup> system should possess the "capability for MEF and MEB command elements to conduct rapid mission planning at a level comparable to that of a MEU."
A C <sup>2</sup> system should possess the "capability to view friendly and enemy force data as it is received."
A C <sup>2</sup> system should possess the "capability to complement the commander's situational awareness and instincts."
"Support planning for future operations by offering the commander the ability to gain or create an image of the battlefield at ranges in time and distance beyond the scope of current operations."

Table 2. Subset of Supporting Elements for First Doctrinal Category.

Sections 1 through 5 below present the details of the framework, with each section titled by the doctrinal category to be presented. Following the title is the definition of the doctrinal category. This definition is itself followed by the header "supporting elements," under which are listed the supporting elements included in the doctrinal category. Each supporting element is preceded by a lower case letter (in alphabetical order, starting with (a)), which will be used to reference the respective supporting element in Tables 3 through 7.

The table which follows the list of supporting elements in each section portrays the MOEs included in the doctrinal category. Next to each MOE, an "X" is recorded below the letter of each supporting element that it measures. For example, in Table 3, the MOE "Number of Orders Issued" can be used to measure supporting elements (a) ("places decision making at the lowest possible level"), and (b) ("ensures subordinate commanders receive ideas and concepts, not just data packages"). An empirical indication of MOE performance within a doctrinal category can be determined by counting the number of supporting elements it measures. A full description of each MOE, including computational methods and references, is included in Appendix A.

As part of this research, the MOEs within each doctrinal category are ranked according to the extent to which each provides a realistic assessment of how well a C<sup>4</sup>I system meets the stated C<sup>4</sup>I system requirements within the doctrinal category. The rankings for the MOEs within each doctrinal category are included in the last column of each table. Rankings were accomplished using expert opinion garnered by a questionnaire. Questionnaires were completed by twelve respondents, including eleven Marine Officers and one NPS Operations Research Department professor. The ranked lists were analyzed using a variant of the Method of Successive Interval Scaling, where experts are asked to rank instances in terms of how much of a property they possess [Ref. 25]. The questionnaire and resulting calculations are included in Appendix B.

## **1. Doctrinal Category: Facilitate Commander's Influence of Events**

"No coherent battle plan is possible without the commander's vision of how he intends to win. The C<sup>2</sup> system, imbued by his intent, provides the means to apply his decision effectively, gather and analyze information, implement his plan, and supervise his forces." [Ref. 24]

### ***a. Supporting Elements***

(a) A C<sup>2</sup> system "places decision making at the lowest possible level." [Ref. 8]

(b) A C<sup>2</sup> system "ensures subordinate commanders receive ideas and concepts, not just data packages." [Ref. 8]

(c) A C<sup>2</sup> system should "translate the commander's decisions into plans and orders." [Ref. 26]

(d) A C<sup>2</sup> system should "supervise the execution of those plans and orders." [Ref. 26]

(e) "The MAGTF commander must be able to make and implement decisions faster than the enemy, so as to gain the initiative and create tactical advantages for the MAGTF to exploit." [Ref. 1]

(f) Should possess the "capability for MEF and MEB command elements to conduct rapid mission planning at a level comparable to that of a MEU." [Ref. 1]

(g) Should possess the "capability to view friendly and enemy force data as it is received." [Ref. 1]

(h) Should possess the "capability to complement the commander's situational awareness and instincts." [Ref. 1]

(i) "Support planning for future operations by offering the commander the ability to gain or create an image of the battlefield at ranges in time and distance beyond the scope of current operations." [Ref. 1]

Measure of Effectiveness	Measures These Supporting Elements									Performance
	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	
System contribution to the commanders perception of the enemy.					X			X	X	2
Percent action initiated by time ordered.				X	X	X				5
Mean Dissemination Time.				X	X	X				8
Percent orders clarification requested.	X	X		X						11
Percent planning time forwarded.	X				X	X				7
Time from mission to order.			X		X	X				6
Time to decision ratio.			X		X	X				4
Number of orders issued.	X	X								13
Percent of personnel informed.	X	X		X						9
Warning to operations order ratio.	X	X	X			X				10
Ability to develop courses of action.			X			X		X		3
Tactical picture quality.					X		X	X		1
Display processing time.							X			12

Table 3. Facilitate Commander's Influence of Events

The MOEs with the highest performance rankings in Table 3 are "Tactical Picture Quality" and "System Contribution to the Commander's Perception of the Enemy."

This result is consistent with fundamental concepts of C<sup>4</sup>I for the Warrior and maneuver warfare, which are "to provide the knowledge and understanding the commander needs" so that his decision cycle is faster than his opponent's [Ref. 2]. Measures with two of the three highest performance rankings in Table 3 also deal with the decision process ("System Contribution to Commander's Perception of the Enemy" and "Ability to Develop Courses of Action"), while percentage ("Percent Orders Clarification Requested") and event measures ("Number of Orders Issued") have lower ranks. Additionally, the two measures with the lowest performance rankings, "Display Processing Time" and "Number of Orders issued," can be used to measure less than the table average of three supporting elements (as measured by the number of X's along a row). Doctrinal requirement (i), dealing with providing the commander the ability to view the battlefield beyond current operations, is weakly supported with only one MOE (one X in the (i) column).

## **2. Doctrinal Category: Adapt to the Situation**

"The commander must be free to move and lead from any point in the battlespace. Therefore, the C<sup>2</sup> system must have the flexibility to adapt to his needs rapidly." [Ref. 24]

### ***a. Supporting Elements***

- (a) A C<sup>2</sup> system possess the characteristic of "flexibility." [Ref. 24]
- (b) A C<sup>2</sup> system should "communicate those plans and orders (see category 1 "translate commander's decisions into plans and orders") to subordinates." [Ref. 26]
- (c) A C<sup>2</sup> system "must be easy to establish, easy to maintain, and reconfigure." [Ref. 1]

(d) The system "must adapt to rapidly changing situations and exploit fleeting opportunities." [Ref. 27]

(e) Should possess the "capability to support merging forces and expanding to larger MAGTFs without disrupting continuity of operations." [Ref. 1]

(f) Should possess the "capability for the commander to view friendly and enemy force data as it is received." [Ref. 1]

(g) Should possess the "capability to support planning on the move." [Ref. 1]

(h) "Must satisfy the command and control requirements of the MAGTF commander afloat, ashore, or in transition." [Ref. 1]



Measure of Effectiveness	Measures These Supporting Elements								Performance
	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	
Time from mission to order.				X				X	2
Time to decision ratio.				X			X		1
Set up and tear down time.	X		X						7
Percent of communications with alternate routes.	X								11
Grade of service.			X				X	X	4
System access.								X	5
Display processing time.						X			12
Database size consistency.					X		X		13
Tactical picture consistency.					X		X		8
Mean dissemination time.		X							9
Percent informed.		X							10
Ability to reconfigure.			X						3
Percent messages displayed inaccurately.		X							14
Number of orders issued.					X		X		16
Number of duplicate reports.					X				15
Tactical picture quality.						X			6

Table 4. Adapt to the Situation.

For the measures presented in Table 4, number counts ("Number of Duplicate Reports" and "Number of Orders Issued") seem to be ranked lower than time measures ("Time from Mission to Order" and "Time to Decision Ratio"), which reflects the importance of tempo in maneuver warfare, especially when dealing with the commander's ability to adapt to a changing situation. Measures dealing with displays and databases also seem to be less important than decisionmaking and mobility MOEs. The average number

of supporting elements that can be measured by an MOE (the average number of X's in a row) is between one and two.

### **3. Doctrinal Category: Support Information Requirements**

"The commander's C<sup>2</sup> support system must provide a reliable, secure, fast and durable information network that allows him to implement his operational concepts. . . information must be: timely, accurate, complete, objective, useable, relevant, positioned properly, mobile, accessible and fused." [Ref. 24]

#### ***a. Supporting Elements***

(a) "There must be a means to provide accurate navigation down to the smallest unit to reduce the amount of communications and improve command and control effectiveness." [Ref. 1]

(b) A C<sup>2</sup> system "provides universal availability of data with selected access based on a commander's mission and needs." [Ref. 8]

(c) A C<sup>2</sup> system "provides battle-relevant, theater and national databases having common data elements." [Ref. 8]

(d) A C<sup>2</sup> system should "facilitate (the) rapid, distributed and unconstrained flow of information in all directions." [Ref. 28]

(e) A C<sup>2</sup> system should "filter, fuze and prioritize information." [Ref. 28]

(f) A C<sup>2</sup> system should "provide echelon jumping capability." [Ref. 28]

(g) A C<sup>2</sup> system should "provide vertical, lateral, and diagonal redundancy."  
[Ref. 28]

(h) A  $C^2$  system should "provide the commander accurate, timely information and ideas for developing feasible courses of action and making logical decisions." [Ref. 26]

(i) "Satisfy the commander's critical information requirements, and make those elements of information available in a timely fashion and in the most useable form, supporting both supply-push and demand-pull." [Ref. 1]

Measure of Effectiveness	Measures These Supporting Elements									Performance
	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	
Number of options remaining.								X		14
Time to decision ratio.								X		9
Percent commander's critical information requirements met.			X		X			X	X	1
Proportion friendly elements engaged (fratricide).	X			X						13
Grade of service.							X			8
Ability to develop courses of action.								X		11
Display processing time.				X	X					16
Database size consistency.		X	X	X		X				17
Tactical picture consistency.		X		X					X	7
Digital map capability.	X							X		6
Ability to support overlays.								X		10
System tasking timeliness.				X	X			X		3
Percent of messages displayed inaccurately.				X	X					12
Average time from threat detection to identification.					X				X	4
Time potential threat undetected ratio.					X				X	5
Tactical picture quality.	X							X		2
Sensor effectiveness.									X	15

Table 5. Support Information Requirements.

For Table 5, the measures which gauge the satisfaction of a commander's information requirements ("Percent Commander's Critical Information Requirements Met"), overall system speed ("System Tasking Timeliness"), and knowledge of the enemy ("Tactical Picture Quality" and "Average Time from Threat Detection to Identification") rank the highest in measuring overall information requirements. Measures of subsystem

equipment performance (including "Sensor Effectiveness" and "Display Processing Time"), are ranked lowest for measuring support of information requirements. Supporting elements (f) and (g), dealing with echelon jumping and system redundancy, are weakly supported with only one MOE.

#### **4. Doctrinal Category: Exploit MAGTF Capabilities**

"The C<sup>2</sup> support system must:

- Support the MAGTF during all types of deployment and employment
- Enable the MAGTF to maintain mobility (note: this is not the same as commander's freedom to move in the battlespace).
- Survive enemy weapons systems and C<sup>2</sup> warfare
- Sustain the MAGTF
- Provide the flexibility to task organize
- Interface with other C<sup>2</sup> systems (Joint, combined, NCA, other services/federal agencies) [Ref. 24]

##### ***a. Supporting Elements***

(a) A C<sup>2</sup> system should possess the characteristics of deployability, mobility, flexibility, integratability, interoperability [Ref. 24].

(b) Should "allow seamless transfer of information within naval forces and between naval forces and joint commands." [Ref. 8]

(c) "Provides rapid simultaneous access of multiple users throughout the chain of command and to external commands and agencies as appropriate." [Ref. 8]

(d) Communications must be "easy to establish, maintain and reconfigure." [Ref. 1]

(e) "C<sup>2</sup> employment options cannot be considered independent of deployment options." [Ref. 1]

(f) A C<sup>2</sup> system should have the capability to "deploy rapidly, operate under austere conditions and at extended ranges, and project and maintain from a sea-base."

[Ref. 1]

(g) A C<sup>2</sup> system "should be able to withstand disruptions of all kinds – created by the enemy or self-induced." [Ref. 1]

Measure of Effectiveness	Measures These Supporting Elements							Performance
	(a)	(b)	(c)	(d)	(e)	(f)	(g)	
Percent communications links with alternate routes.	X			X			X	10
Balance of traffic throughout network.	X						X	12
Satcom supportability.	X	X				X		6
Percent informed.		X						15
Ratio of supplies consumed versus provided.	X				X			14
Mean time response to jamming.							X	13
Personnel requirements improvement.	X				X			3
Weapons systems equivalents.	X				X			11
Database size consistency.		X	X					16
Tactical picture consistency.			X					1
Transportation requirements improvement.	X				X	X		8
Index of availability.				X				5
Index of survivability.							X	7
Transportability index.	X				X	X		4
System access.		X						9
Ability to reconfigure				X				2

Table 6. Exploit MAGTF Capabilities

For Table 6, those measures which deal with mobility and deployability ("Ability to Reconfigure" and "Personnel Requirements Improvement") are ranked high for their performance in assessing a C<sup>4</sup>I system's ability to exploit MAGTF capabilities. Interestingly, measures which deal with combat service support ("Ratio of Supplies Consumed Versus Provided") and information ("Percent Informed" and "Database Size Consistency") are ranked at the bottom. All supporting elements in Table 4 can be measured by at least three MOEs.

### 5. Doctrinal Category: Support CP Effectiveness

A command post (C<sup>2</sup> system) needs to be able to survive, and also to fight [Ref. 24]. A tradeoff, however, exists between fighting and survival as shown in Figure 6. Characteristics that help a C<sup>2</sup> survive may impede its ability to fight, and vice-versa.

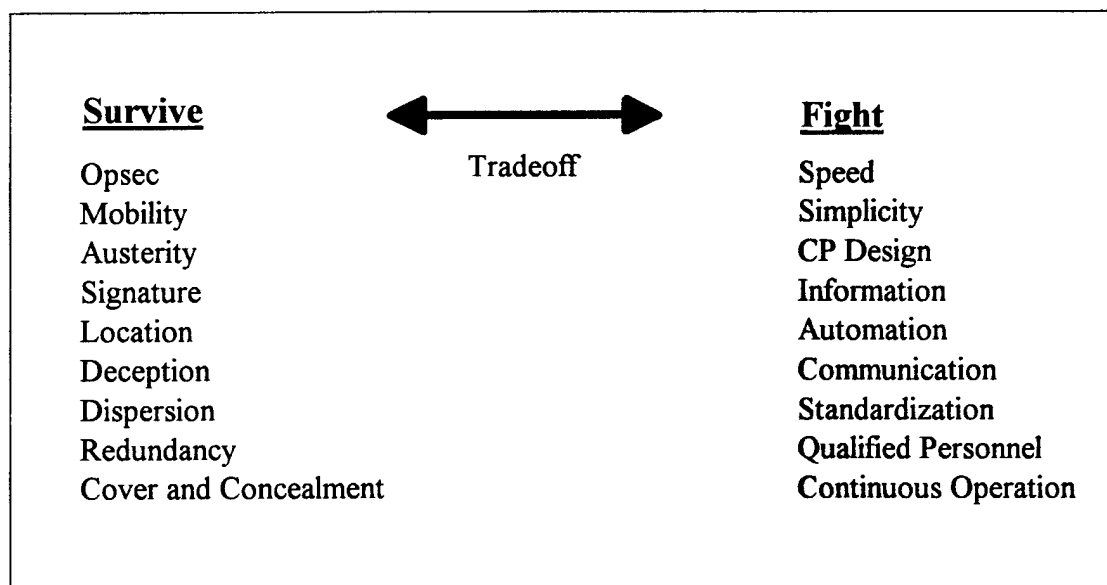


Figure 6. Survive and Fight Tradeoff. From Ref. [24].

*a. Supporting Elements*

(a) A C<sup>2</sup> system should possess the characteristics of survivability and sustainability. [Ref. 24]

(b) "Provides rapid simultaneous access of multiple users throughout the chain of command and to external commands and agencies as appropriate." [Ref. 8]

(c) "Displays a scaleable, near real-time, shared picture of the battlefield." [Ref. 8]

(d) "C<sup>2</sup> facilities must be able to operate on the move." [Ref. 1]

(e) "There is a need to reduce the number of transmissions and transmission time, thereby reducing the electronic signature." [Ref. 1]

(f) Should facilitate "rapid and frequent displacements." [Ref. 1]

(g) Organization should "ensure unity of command." [Ref. 27]

(h) "Organization should also ensure reasonable span of control (3-7 units)." [Ref. 26]

(i) Must have an "echelonment capability" (A and B commands for displacement). [Ref. 1].



Measures of Effectiveness	Measures These Supporting Elements									Performance
	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	
Communications performance index (capacity, range, security, mobility, dependability).	X	X	X	X	X	X	X	X	X	1
Time to decision ratio.				X			X	X		10
Average message backlog.					X					5
Percent of net capacity utilization.					X					19
Grade of service.		X		X						13
Percent of actions initiated by time ordered.								X		15
Display processing time.			X							14
Percent of personnel informed.		X						X		21
Set-up and tear-down time.	X					X				4
Ability to reconfigure.	X					X				8
Personnel requirements improvement.						X				18
System tasking timeliness.			X							12
Percent radio links up.	X			X		X				11
Messages lost due to radio links down.				X		X				7
Number of duplicate reports.					X					20
Tactical picture quality.		X	X	X						2
Tactical picture consistency.									X	17
Average message duration.	X				X					16
Transportability index.						X				3
Index of survivability.	X				X					6
Index of availability.	X									9

Table 7. Support CP Effectiveness

In the category of Supporting CP Effectiveness, MOEs dealing with communications ("Communications Performance Index"), system displays and information

("Tactical Picture Quality"), and mobility ("Transportability Index") are ranked high in performance. Specific counts and percentage measures ("Percent of Personnel Informed" and "Number of Duplicate Reports") are assessed as relatively poor measures of CP Effectiveness throughout the table.

## IV. CONCLUSIONS

### A. SUMMARY

#### 1. Background

Riding the crest of the "information age" and what has been described as a "revolution in military affairs," C<sup>4</sup>I technologies are emerging as the force multipliers of future battlefields. Current initiatives strive to push information and intelligence to increasingly lower echelons of command, without exceeding the commander's capacity to sift and assimilate what is pertinent. However, due to past shortcomings in C<sup>4</sup>I procurement, and the high cost of emerging technologies, upgrades to current C<sup>4</sup>I systems and new C<sup>4</sup>I acquisitions are subjected to intense analysis.

#### 2. C<sup>4</sup>I Evaluation Methodologies

A multitude of C<sup>4</sup>I evaluation methodologies exist, each approaching the problem of comparative analysis in a slightly different manner. Available techniques include the COEA, MCES, MOA, HEAT, evolutionary upgrade paths, and functional decomposition methods. Importantly, C<sup>4</sup>I evaluation methodologies can be combined into hybrid techniques that benefit from the strengths of individual techniques.

Current practice in the Marine Corps and other services has established the COEA as the *de facto* framework for conducting C<sup>4</sup>I system comparative analyses. The COEA methodology, however, is broad enough to accept input from other, potentially more precise, evaluation techniques. The strength of the MCES lies in its initial focus on problem definition and the environment, rather than a premature focus on a quantitative

model. MCES also enjoys a commonality of terms and structure which simplify its application. The MOA focuses on obtaining required input from political and military decisionmakers prior to defining an analytical question. HEAT has been successfully applied to military C<sup>4</sup>I systems, and represents a systematic methodology using pre-defined measures. Evolutionary upgrade path concepts help provide greater resolution when comparing alternate systems with similar capabilities. Functional decompositions use varied approaches which couch an analysis in terms of required system capabilities.

Although any hybrid combination of methodologies would only be developed in the context of the analysis at hand, one such combination that suggests itself might be a COEA which employs the first four modules of MCES for problem definition, and evolutionary upgrade paths for distinguishing the best of a subset of "good" systems.

### **3. MOEs**

The identification of realistic MOEs is a common thread which binds the various C<sup>4</sup>I evaluation methodologies. Unique MOEs may be required for a specific analysis, and can be generated using MCES, MOA, evolutionary upgrade paths, or functional decompositions. Importantly, many useful MOEs already exist from past analyses, C<sup>4</sup>I literature, and academia. The ACCES, for instance, uses a pre-defined set of measures which remain constant from analysis to analysis. The proposed framework for categorizing MOEs in Chapter III, based on relevant doctrine, provides a tool for identifying and maintaining those MOEs with broad applicability to Marine Corps C<sup>4</sup>I

system evaluation. The framework can change dynamically with emerging doctrine, and can also incorporate new MOEs developed by the application of MCES or other MOE generating methodologies.

Importantly, the framework provides a starting point for increased resolution in categorizing C<sup>4</sup>I MOEs for use in Marine Corps related analysis. Potential sub-categories that may provide greater detail include functionality (i.e., is a component primarily for C<sup>2</sup>, communications, intelligence, or interoperability?), and compatibility (e.g., is the system employable inside existing vehicle spaces and CP configurations?).

For the measures identified in the literature, those MOEs which go beyond number counts and percentages seem to promise better performance in measuring doctrinal C<sup>4</sup>I system requirements. Higher level measures, especially Tactical Picture Quality, enjoyed high performance rankings across multiple doctrinal categories. The identification of existing measures which provide high performance provides a logical starting point for refinement and identification of similarly powerful MOEs.



## APPENDIX A. MOE DESCRIPTIONS

### A. DEFINITIONS AND RATIONALE

#### 1. MOE: System Contribution to Commander's Perception of the Enemy

Definition: The total number of enemy units upon which there is intelligence information in a database, the age of the intelligence information, and current time within the scenario. The output is a cumulative graph of the number of enemy units upon which there is intelligence information versus time. [Ref. 23]

Rationale: The commander's perception of the battlefield has a direct impact on the decision that he will make. This measure attempts to assess the contribution of the C<sup>4</sup>I system to the commander's decision making process, and provide for a comparative analysis of alternatives for supporting the commander's decision making process.

Supports: Facilitate Commander's Influence of Events.

#### 2. MOE: Percent Action Initiated by Time Ordered

Definition: The percentage of all actions initiated in response to orders that are initiated within the time specified by the order [Ref. 23].

$$\text{Percent Actions Initiated on Time} = \frac{\text{Number of actions initiated by time ordered}}{\text{Number of actions ordered}}$$

Rationale: This measure addresses the timeliness of subordinate reaction to orders, and by extension the timeliness of the C<sup>4</sup>I system in processing, transmitting, and displaying the orders to subordinate commander's.

Supports: Facilitate Commander's Influence of Events, Support CP Effectiveness.

### **3. MOE: Mean Dissemination Time**

Definition: The time required to disseminate an order, directive, or warning to all elements at the next lower echelon of command [Ref. 23].

$$\text{Mean Dissemination Time} = \frac{\sum (\text{average dissemination time for each order})}{\text{number of orders}}$$

Rationale: This measure directly measures the timeliness of a C<sup>4</sup>I system in disseminating orders.

Supports: Facilitates Commander's Influence of Events, Adapt to the Situation.

### **4. MOE: Percent Orders Clarification Requested**

Definition: The percentage of total orders issued (can include frag orders), for which any subordinate element requested clarification [Ref. 29].

$$\text{Percent Orders Clarification Requested} = \frac{\text{number orders clarification requested}}{\text{number of orders issued}} \times 100$$

Rationale: This measure addresses the clarity of the orders issued, indirectly addressing the quality of orders produced by the C<sup>4</sup>I system. It is also an indirect measure of the like-mindedness of subordinate and senior commanders.

Supports: Facilitates Commander's Influence of Events.

### **5. MOE: Time to Decision Ratio**

Definition: The proportion of time from receipt of mission until time of execution that is devoted to a commander's decision [Ref. 23].



$$\text{Time to Decision Ratio} = \frac{(\text{time order approved}) - (\text{time receipt mission})}{(\text{time execution ordered}) - (\text{time receipt mission})}$$

Rationale: This measure addresses the effectiveness of a C<sup>4</sup>I system. It is assumed that the C<sup>4</sup>I system which provides the most relevant information, the most assistance in preparing an order, the best standard operating procedures, and the best human interface with technology will require less time to finalize an order.

Supports: Facilitates Commander's Influence of Events, Adapt to the Situation, Support Information Requirements, Support CP Effectiveness.

#### **6. MOE: Percent Planning Time Forwarded**

Definition: The percentage of total planning time available that an echelon allows to all lower echelons [Ref. 23].

$$\text{Percent Planning Time Forwarded} = (1 - \text{Time to Decision Ratio}) \times 100$$

Rationale: This measure addresses C<sup>4</sup>I system effectiveness by assessing the planning time required to develop and issue an order in relation to the time available. Marine Corps doctrine calls for passing fifty percent of the available time to mission to subordinates.

Supports: Facilitate Commander's Influence of Events.

## **7. MOE: Time from Mission to Order**

Definition: The elapsed time at one echelon of command from the moment of receiving a mission to the moment of issuing the responsive order to the next lower echelon [Ref. 23].

Rationale: This measure directly measures the timeliness of the command function. It includes planning time, decision time, and time to prepare and disseminate the order. It is assumed that the best C<sup>4</sup>I system will have the fastest time from mission to order. The measure may also be used to compare the command function in different environments, e.g., mobile vs stationary, or afloat vs ashore.

Supports: Facilitate Commander's Influence of Events, Adapt to the Situation.

## **8. MOE: Number of Orders Issued**

Definition: A simple number count of the orders issued for a given operation [Ref. 29].

Rationale: This measure directly measures the amount of command and control and is considered an indication of amount needed, which itself relates to cost or burden. Additionally, this assesses indirectly the commander's perception of the battlefield (better perception relates to less orders), and the extent to which subordinate commanders are receiving ideas and concepts (the better they understand the situation the less direction they will require).

Supports: Facilitate Commander's Influence of Events, Adapt to the Situation.

## **9. MOE: Percent of Personnel Informed**

Definition: The percentage of personnel aware of an information item when asked [Ref. 29].

$$\frac{\text{number of personnel aware of item}}{\text{number of personnel asked}} \times 100$$

Rationale: This measure addresses the extent to which a system provides the same information to all users, or the extent to which subordinate commanders receive ideas and information, or how well a system is performing in different environments (e.g., on the move vs stationary).

Supports: Facilitate Commander's Influence of Events, Adapt to the Situation, Exploit MAGTF Capabilities, Support CP Effectiveness.

## **10. MOE: Warning Order to Operations Order Ratio**

Definition: The number of warning orders divided by the number of operations orders (can include frag orders) [Ref. 29].

Rationale: This measure assesses the extent to which subordinate commanders are receiving ideas and concepts, vice data. It also assesses the extent to which all commanders are operating off the same tactical picture.

Supports: Facilitate Commander's Influence of Events.

## **11. MOE: Ability to Develop Courses of Action**

Definition: The time necessary to develop courses of action given commander's guidance [Ref. 30].

Rationale: This measure assesses the extent to which a C<sup>4</sup>I system facilitates the development of COAs. It is assumed a superior C<sup>4</sup>I system will be faster than an inferior system.

Supports: Facilitate Commander's Influence of Events, Support Information Requirements.

## **12. MOE: Tactical Picture Quality**

Definition: Measured by a function of completeness of tactical picture, accuracy of identification, and accuracy of position indication [Ref. 31].

Rationale: This measure assesses the accuracy of the tactical information provided by the C<sup>4</sup>I system. Tactical picture quality will impact the commander's perception of the battlefield, as well as staff planning.

Supports: Facilitate Commander's Influence of Events, Adapt to the Situation, support Information Requirements, Support CP Effectiveness.

## **13. MOE: Display Processing Time**

Definition: Duration of time between receipt of information at C<sup>4</sup>I node and time when information first appears at a display where decision-making process begins [Ref. 31].

Rationale: This measure assesses the timeliness of a portion of the C<sup>4</sup>I system. It is assumed that the system with the fastest display processing time will be superior to those with slower display processing times. Note that this measure corresponds to the *observe* portion of the Boyd Cycle.

Supports: Facilitate Commander's Influence of Events, Adapt to the Situation, support Information Requirements, Support CP Effectiveness.

#### **14. MOE: Set-up and Tear-down Time**

Definition: Set-up time is the elapsed time from CP site selection to operational capability of the C<sup>4</sup>I system. Tear-down time is the time required to dismantle the system and load it aboard transportation [Ref. 30].

Rationale: This measure addresses the flexibility of a C<sup>4</sup>I system. A system which can be installed and dismantled quickly will be more responsive, survivable, and mobile.

Supports: Adapt to the Situation, Support CP Effectiveness.

#### **15. MOE: Percent Communications with Alternate Routes**

Definition: The percentage of all established node to node communications links that also have an existing alternate route for communications [Ref. 29].

Rationale: This measure addresses the robustness of a C<sup>4</sup>I system. Alternate communications routes ease message backlogs, resist jamming effects, and increase timeliness.

Supports: Adapt to the Situation, Exploit MAGTF Capabilities.

#### **16. MOE: Grade of Service**

Definition: The probability that a subscriber at any randomly chosen instant will be able to obtain a circuit connection to his party [Ref. 29].

Rationale: This measure assesses the responsiveness and quality of a C<sup>4</sup>I system. A better grade of service suggests a better flow of information between echelons of

command and within CPs. Comparing grades of service allows analysis of CPs in different states (e.g., mobile vs stationary).

Supports: Adapt to the Situation, Support Information Requirements, Support CP Effectiveness.

#### **17. MOE: System Access**

Definition: Proportion of time requested that the MAGTF commander was given access to a specific (shipboard) system to support (OTH) operations [Ref 31].

Rationale: This measure assesses the responsiveness and supportability of a C<sup>4</sup>I system. Due to equipment constraints afloat, as well as satellite access and bandwidth constraints afloat or ashore, the MAGTF commander may not enjoy unlimited access to C<sup>4</sup>I assets. Examples include limited W-3 shipboard satellite antennas, and limited SHF satcom bandwidth for GMF terminals ashore.

Supports: Adapt to the Situation, Exploit MAGTF Capabilities.

#### **18. MOE: Database Size Consistency**

Definition: Proportion of database consistency between the MAGTF headquarters and selected subordinate units [Ref. 31].

$$\text{Database size consistency} = 1 - \left( \frac{(x-y_1)+(x-y_2)+\dots+(x-y_n)}{nx} \right)$$

where: x = database size of MAGTF headquarters

y<sub>n</sub> = database size of nth selected subordinate unit

Rationale: This measure addresses the consistency of a C<sup>4</sup>I system, and the extent to which commanders and staffs at different echelons have access to the same amount of information.

Supports: Adapt to the Situation, Support Information Requirements, Exploit MAGTF Capabilities.

### **19. MOE: Tactical Picture Consistency**

Definition: Measured by relative comparisons of displays, similarity of identification, and similarity of position information [Ref. 31].

Rationale: This measure assesses the extent to which commanders and staffs at different echelons are operating with the same tactical picture. It may be correlated with number of orders issued, database size consistency and percent orders correction requested.

Supports: Adapt to the Situation, Support Information Requirements, Exploit MAGTF Capabilities, Support CP Effectiveness.

### **20. MOE: Ability to Reconfigure**

Definition: Measured by time to initialize system, time to backup system, and time to reboot system [Ref. 30].

Rationale: This system addresses C<sup>4</sup>I system flexibility and mobility. It is assumed that a quicker time for each element of the measure is desirable.

Supports: Adapt to the Situation, Exploit MAGTF Capabilities, Support CP Effectiveness.

## **21. MOE: Percent Messages Displayed Inaccurately**

Definition: The percentage of messages received that were displayed inaccurately [Ref. 29].

Rationale: This measure assesses C<sup>4</sup>I system accuracy and system information reliability.

Supports: Adapt to the Situation, Support Information Requirements.

## **22. MOE: Number of Duplicate Reports**

Definition: A number count of the number of individual reports displayed multiple times [Ref. 31].

Rationale: This measure addresses the quality of a C<sup>4</sup>I system. It also forms a basis for comparison between CPs in different states (e.g., does the mobile CP have more duplicate reports than the stationary CP).

Supports: Adapt to the Situation, Support CP Effectiveness.

## **23. MOE: Number of Options Remaining**

Definition: The number of decision points open multiplied by the number of options for each decision point [Ref. 23].

Rationale: This measure addresses the quality of a system in supporting planning for courses of action, and the amount of flexibility left to the commander. The C<sup>4</sup>I system which presents the greater number of tactical options for a commander is assumed to be superior.

Supports: Support Information Requirements.



#### **24. MOE: Percent Commander's Critical Information Requirements Met**

Definition: The percentage of commander's critical information requirements met, where commander's critical information requirements are defined by Marine Corps doctrine [Ref. 30].

Rationale: This measure assesses the quality of a system. The C<sup>4</sup>I system which presents the greatest percentage of CCIRs is assumed superior.

Supports: Support Information Requirements.

#### **25. MOE: Proportion Friendly Elements Engaged (Fratricide)**

Definition: The number of friendly elements erroneously engaged divided by the number of friendly elements in the engagement area [Ref. 23].

Rationale: This measure addresses one of the most catastrophic failures in C<sup>4</sup>I, "friendly fire." By extension, this measure assesses the quality of the tactical picture at lower echelons, and the extent to which all echelons are operating from the same tactical picture.

Supports: Support Information Requirements.

#### **26. MOE: Digital Map Capability**

Definition: Measured by time to generate map display and time to generate scanned map [Ref. 30].

Rationale: This measure addresses the timeliness of the C<sup>4</sup>I system with regard to map displays.

Supports: Support Information Requirements.

## **27. MOE: Ability to Support Overlays**

Definition: Measured by time to generate map overlays and time to disseminate map overlays to lower echelons other staff sections [Ref. 30].

Rationale: This measure addresses the timeliness of a C<sup>4</sup>I system with regard to overlays.

Supports: Support Information Requirements.

## **28. MOE: System Tasking Timeliness**

Definition: The time information is received from a database inquiry minus the time the inquiry was initiated [Ref. 31].

Rationale: This measure assesses the timeliness of a C<sup>4</sup>I system. It can also be used to compare the system timeliness in different configurations (e.g., mobile or stationary).

Supports: Support Information Requirements, Support CP Effectiveness.

## **29. MOE: Average Time from Threat Detection to Identification**

Definition: The average of all times from threat detection to threat identification [Ref. 32].

Rationale: This measure addresses the timeliness of the intelligence function of a C<sup>4</sup>I system.

Supports: Support Information Requirements.

### **30. MOE: Time Potential Threat Undetected Ratio**

Definition: The length of time an enemy threat is within the system sensor envelope before detection divided by the total length of time an enemy threat is within the envelope [Ref. 32].

Rationale: This measure addresses the sensor effectiveness of a C<sup>4</sup>I system. By extension, the measure can be used to assess the timeliness of reporting within the system (i.e., time before detection can actually be time before threat detection report is displayed at a particular echelon).

Supports: Support Information Requirements.

### **31. MOE: Sensor Effectiveness**

Definition: The number of reports received by sensor type divided by the total number of sensor reports received [Ref. 31].

Rationale: This measure assesses the effectiveness of the sensors associated with a C<sup>4</sup>I system.

Supports: Support Information Requirements.

### **32. MOE: Balance of Traffic Through Network**

Definition: The average utilization of each communications node and link [Ref. 29].

Rationale: This measure assesses the criticality of communications nodes and links, as well as the overall system survivability and compactness of the system (e.g., a system with low utilization of many links may in fact have extraneous parts).

Supports: Exploit MAGTF Capabilities.

### 33. MOE: SatCom Supportability

Definition: The theoretical satellite uplink channel capacity is measured by:

$$R = WS / \left[ \left( \frac{E_b}{N_o} \right) (\gamma)(J + I + S) \left( \frac{1 + (\kappa \bullet TW)}{\frac{DG}{L}} \right) \right]$$

where:

*R = Transmitted data rate capacity of a satellite uplink channel*

*W = spread spectrum bandwidth*

*S = uplink signal Effective Instantaneous Radiated Power (EIRP)*

*E<sub>b</sub>/N<sub>o</sub> = required post correlation signal-to-noise*

*γ = signal suppression to the transponder*

*J = enemy jammer EIRP*

*I = sum of other uplink signals' EIRP*

*E = satellite full output downlink EIRP*

*L = downlink path loss*

*κ = Boltzman's constant*

*G/T = receiver Earth terminal figure of merit*

[Ref. 29]

Rationale: This measure assesses the amount of bandwidth available to a C<sup>4</sup>I system utilizing SHF satcom communications.

Supports: Exploit MAGTF Capabilities.

#### **34. MOE: Ratio of Supplies Consumed Versus Provided**

Definition: The ratio of the quantity of supplies (by class) consumed to the quantity of supplies provided by the CSS system [Ref. 23].

Rationale: This measure addresses the relative degree to which supply consumption can be satisfied by the CSS system. It is assumed that the faster C<sup>4</sup>I system will speed and enhance the satisfaction of supply demands thereby reducing the ratio toward one.

Supports: Exploit MAGTF Capabilities.

#### **35. MOE: Mean Time Response to Jamming**

Definition: The arithmetic mean of each elapsed response time to jamming of friendly communications [Ref. 33].

Rationale: This measure addresses the effectiveness of one countermeasure aspect of a C<sup>4</sup>I system.

Supports: Exploit MAGTF Capabilities.

#### **36. MOE: Personnel Requirements Improvement**

Definition: The net change in personnel requirements, including operators and maintainers, of one C<sup>4</sup>I system over another [Ref. 34].

Rationale: This measure assesses the personnel overhead and, by extension, the complexity of a C<sup>4</sup>I system. Results can also be linked to system deployability.

Supports: Exploit MAGTF Capabilities, Support CP Effectiveness.

### **37. MOE: Weapons Systems Equivalents**

Definition: The number count of a particular weapons system which can be considered equivalent to the C<sup>4</sup>I system in question (e.g., 1 JTIDS equipped F-15 is equivalent to 1.3 non-JTIDS equipped F-15s) [Ref. 35].

Rationale: This measure assesses the force effectiveness of a C<sup>4</sup>I system, and puts the result in terms easily understandable to multiple warfighting specialties (e.g., an armor officer can readily visualize the value of a C<sup>4</sup>I system if he knows its tank battalion equivalence). The enumeration of this measure will usually require a separate analysis.

Supports: Exploit MAGTF Capabilities.

### **38. MOE: Transportation Requirements Improvement**

Definition: The net change in transportation requirements of one C<sup>4</sup>I system over another [Ref. 34].

Rationale: This measure addresses C<sup>4</sup>I system deployability and support requirements. It may also provide a measure of tactical mobility.

Supports: Exploit MAGTF Capabilities.

### **39. MOE: Index of Availability**

Definition: Consists of some or all of the following availability measures:

$$\text{Operational Availability} = (MTBF) / (MTBF + MDT)$$

$$\text{Inherent Availability} = (MTBF) / (MTBF + MTTR)$$

$$\text{Achieved Availability} = (MTBF) / (MTBF + MTTR + MTTP)$$

where:

*MTBF = mean time between failure*

*MDT = mean down time*

*MTTR = mean time to repair*

*MTTP = mean time to perform scheduled maintenance*

[Ref. 32].

Rationale: This measure addresses reliability and availability aspects of a C<sup>4</sup>I system.

Supports: Exploit MAGTF Capabilities, Support CP Effectiveness.

#### **40. MOE: Index of Survivability**

Definition: Equals:

$$(1 - \text{Grade of Service}_{\text{during jamming}}) / \text{Grade of Service}_{\text{before jamming}}$$

[Ref. 32].

Rationale: This measure assesses system survivability in terms of communications disruption.

Supports: Exploit MAGTF Capabilities, Support CP Effectiveness.

#### **41. MOE: Transportability Index**

Definition:

$$\begin{aligned} T_j &= (m_j) (d_{ej} / d_{tj}) & \text{if } d_{ej} \geq d_{tj} \\ T_j &= m_j & \text{if } d_{ej} \leq d_{tj} \end{aligned}$$

where:

$T_j$  = *Transportability index*

$m_j$  = *total jth subsystem volume*

$d_{ej}$  = *average density of jth subsystem*

$d_{vj}$  = *maximum load density for a fully loaded vehicle*

[Ref. 32].

Rationale: This measure assesses deployability, mobility, and logistics requirements of a C<sup>4</sup>I system.

Supports: Exploit MAGTF Capabilities, Support CP Effectiveness.

#### **42. MOE: Communications Performance Index**

Definition: The weighted sum of the ratios of observed performance to required performance over a set of requirements [Ref. 29].

$$CPI = W_1 (P_1 / R_1) + W_2 (P_2 / R_2) + \dots + W_n (P_n / R_n)$$

where:

$W_i$  = *relative weight of each requirement*

$P_i$  = *performance observed*

$R_i$  = *required performance*

Rationale: This measure is a generic assessment of a C<sup>4</sup>I system over a number of stated requirements. Requirements could include: direct communication capacity, organic



communication equipment, conference call capability, specific range, security, mobility, dependability, vulnerability, etc.

Supports: Support CP Effectiveness.

#### **43. MOE: Average Message Backlog**

Definition: The average number of messages awaiting transmission [Ref. 29].

Rationale: This measure addresses the timeliness of a C<sup>4</sup>I system.

Supports: Support CP Effectiveness.

#### **44. MOE: Percentage of Net Capacity Utilization**

Definition: The total time a communication net carries traffic divided by the total time a net is observed [Ref. 29].

Rationale: This measure can be used to address the necessity of a circuit, but is more often used to determine whether circuits approach overloading.

Supports: Support CP Effectiveness.

#### **45. MOE: Percent Radio Links Up**

Definition: The number of radio links operational divided by the total number of radio links [Ref. 35].

Rationale: This measure addresses the performance of the communications aspects of a C<sup>4</sup>I system, and can be used to compare system performance in different locations and states.

Supports: Support CP Effectiveness.

#### **46. MOE: Number of Messages Lost Due to Radio Links Down**

Definition: A number count of the messages that were not transmitted or which lost their time-related value as a result of a radio circuit being down [Ref. 35].

Rationale: This measure addresses the reliability of information flow in a C<sup>4</sup>I system.

Supports: Support CP Effectiveness.

#### **47. MOE: Average Message Duration**

Definition: The sum of message transmission times divided by the number of messages [Ref. 29].

Rationale: This measure addresses the survivability of a C<sup>4</sup>I system in an EW environment.

Supports: Support CP Effectiveness.

## APPENDIX B. ANALYSIS OF QUESTIONNAIRE DATA

Questionnaires were completed by twelve respondents, including eleven Marine Officers and one professor. The Marine participants included two infantry officers (both company grade), two artillery officers (one field grade, one company grade), and six communications officers (one field grade and five company grade), who came from either the Joint C<sup>3</sup>, the Electrical Engineering, or the Operations Research curriculums. The faculty participant was an Operations Research professor with extensive expertise in combat modelling. The questionnaires are enclosed at the end of this appendix.

Respondents were initially asked to rank the MOEs based on three categories, including cost, ease of use, and performance. In practice, however, the questionnaires proved to be an unsuitable method to obtain cost and ease of use data, since both categories are most often a function of the C<sup>4</sup>I system being analyzed. Performance proved to be a tractable category, and complete ranked lists were obtained from each of the 12 participants.

The ranked lists were analyzed using a variant of the Method of Successive Interval Scaling, where experts are asked to rank instances in terms of how much of a property they possess [Ref 25]. If  $X_j$  represents an expert's interval scaled feelings about the amount of the property possessed by instance  $j$ , then the methodology requires two assumptions. The assumptions are:

(1) over an infinite number of experts,  $X_j$  is distributed normally with mean  $s_j$  and homogeneous variance  $\sigma^2$ , for all  $j$ .

(2) The correlation coefficient between  $X_j$  and  $X_i$  is the same for all  $i, j$ .

In applying the method, a frequency matrix of  $f_{ij}$  values was obtained by counting the number of times the MOE in column  $j$  was ranked above the MOE in row  $i$ . Table 8 displays the  $f_{ij}$  matrix resulting from the first doctrinal bin.

A	B	C	D	E	F	G	H	I	J	K	L	M
	3	2	1	2	3	3	0	2	2	4	7	0
8		4	1	5	5	6	1	4	4	5	9	1
9	7		3	7	6	11	1	5	4	8	9	2
10	10	8		8	6	11	3	7	7	10	11	6
9	6	4	3		5	8	2	4	4	8	9	2
8	6	5	5	6		6	2	4	5	6	8	2
8	5	0	0	3	5		1	2	2	7	9	2
11	10	8	8	9	9	10		9	8	10	11	5
9	7	4	4	7	7	9	2		4	8	9	3
9	7	4	4	7	6	9	3	7		7	8	5
7	6	1	1	3	5	4	1	3	4		8	0
4	2	0	0	2	3	2	0	2	3	3		0
11	10	9	5	9	9	9	6	8	6	11	11	

Table 8. Frequency Matrix

Next, all the values in the  $f_{ij}$  matrix are converted to proportions by dividing each  $f_{ij}$  value by  $f_{ij} + f_{ji}$ , as shown in Table 9. Note that the diagonal entries are generated on the premise that for judges who did not know they were ranking an instance against itself, one would expect half the judges to go one way, and half the other way. .

A	B	C	D	E	F	G	H	I	J	K	L	M
0.5	0.27	0.18	0.09	0.18	0.27	0.27	0	0.18	0.18	0.36	0.64	0
0.73	0.5	0.36	0.09	0.45	0.45	0.55	0.09	0.36	0.36	0.45	0.82	0.09
0.82	0.64	0.5	0.27	0.64	0.55	1	0.09	0.45	0.36	0.73	0.82	0.18
0.91	0.91	0.73	0.5	0.73	0.55	1	0.27	0.64	0.64	0.91	1	0.55
0.82	0.55	0.36	0.27	0.5	0.45	0.73	0.18	0.36	0.36	0.73	0.82	0.18
0.73	0.55	0.45	0.45	0.55	0.5	0.55	0.18	0.36	0.45	0.55	0.73	0.18
0.73	0.45	0	0	0.27	0.45	0.5	0.09	0.18	0.18	0.64	0.82	0.18
1	0.91	0.91	0.73	0.82	0.82	0.91	0.5	0.82	0.73	0.91	1	0.45
0.82	0.64	0.55	0.36	0.64	0.64	0.82	0.18	0.5	0.36	0.73	0.82	0.27
0.82	0.64	0.64	0.36	0.64	0.55	0.82	0.27	0.64	0.5	0.64	0.73	0.45
0.64	0.55	0.27	0.01	0.27	0.45	0.36	0.09	0.27	0.36	0.5	0.73	0
0.36	0.18	0.18	0	0.18	0.27	0.18	0	0.18	0.27	0.27	0.5	0
1	0.91	0.82	0.45	0.82	0.82	0.82	0.55	0.73	0.55	1	1	0.5

Table 9. Proportion Matrix

Using the normality assumption, each of the proportions may be mapped into a standard normal distribution by using the inverse cumulative distribution function. By convention, proportions greater than .98 or less than .02 are treated as gaps in the matrix. Table 10 displays the resulting  $z_{ij}$  matrix.

A	B	C	D	E	F	G	H	I	J	K	L	M
0	-0.6	-0.91	-1.34	-0.91	-0.6	-0.6	*	-0.91	-0.91	-0.35	0.35	*
0.6	0	-0.35	-1.34	-0.11	-0.11	0.11	-1.34	-0.35	-0.35	-0.11	0.91	-1.34
0.91	0.35	0	-0.6	0.35	0.11	*	-1.34	-0.11	-0.35	0.6	0.91	-0.91
1.33	1.34	0.6	0	0.6	0.11	*	-0.6	0.35	0.35	1.34	*	0.11
0.91	0.11	-0.35	-0.6	0	-0.11	0.6	-0.91	-0.35	-0.35	0.6	0.91	-0.91
0.6	0.11	-0.11	-0.11	0.11	0	0.11	-0.91	-0.35	-0.11	0.11	0.6	-0.91
0.6	-0.11	*	*	-0.6	-0.11	0	-1.34	-0.91	-0.91	0.35	0.91	-0.91
*	1.34	1.34	0.6	0.91	0.91	1.34	0	0.91	0.6	1.34	*	-0.11
0.91	0.35	0.11	-0.35	0.35	0.35	0.91	-0.91	0	-0.35	0.6	0.91	-0.6
0.91	0.35	0.35	-0.35	0.35	0.11	0.91	-0.6	0.35	0	0.35	0.6	-0.11
0.35	0.11	-0.6	-1.34	-0.6	-0.11	-0.35	-1.34	-0.6	-0.35	0	0.6	*
-0.35	-0.91	-0.91	*	-0.91	-0.6	-0.91	*	-0.91	-0.6	-0.6	0	*
*	1.34	0.91	-0.11	0.91	0.91	0.91	0.11	0.6	0.11	*	*	0

Table 10.  $Z_{ij}$  Matrix

For those columns without holes a scale value for the MOE listed in the column header can be generated by the column mean. In Table 10, columns B,E,F,I and J are complete. The remaining columns may be solved as a set of simultaneous equations of the form,  $n_j s_j - \sum_i s_i = \sum_i z_{ij}$   $j = 1, 2, \dots, n$ . The solutions to this set of equations will be a scale value for the MOE, estimating the column mean. For the problem at hand, the following set of equations is generated:

$$10S_A - S_C - S_D - S_G - S_K - S_L = 6.7489$$

$$11S_C - S_A - S_D - S_H - S_K - S_L - S_M = .077965$$

$$10S_D - S_A - S_C - S_H - S_K - S_M = -5.56989$$

$$10S_G - S_A - S_H - S_K - S_L - S_M = 2.99781$$

$$10S_H - S_C - S_D - S_G - S_K - S_M = -9.19499$$

$$11S_K - S_A - S_C - S_D - S_G - S_H - S_L = 4.19441$$

$$9S_L - S_A - S_C - S_G - S_K = 6.67091$$

$$9S_M - S_C - S_D - S_G - S_H = -5.72169$$

where  $S_i$  equals the scale value for column  $i$ . In this instance, the resulting interval scale values all fall between -1 and 1, and may be linearly transformed into a more appropriate scale. In this case, the linear transformation  $Y = 50X + 50$  was used to put the values on a scale between 0 and 100. Scaling results are shown graphically in Figure 7.

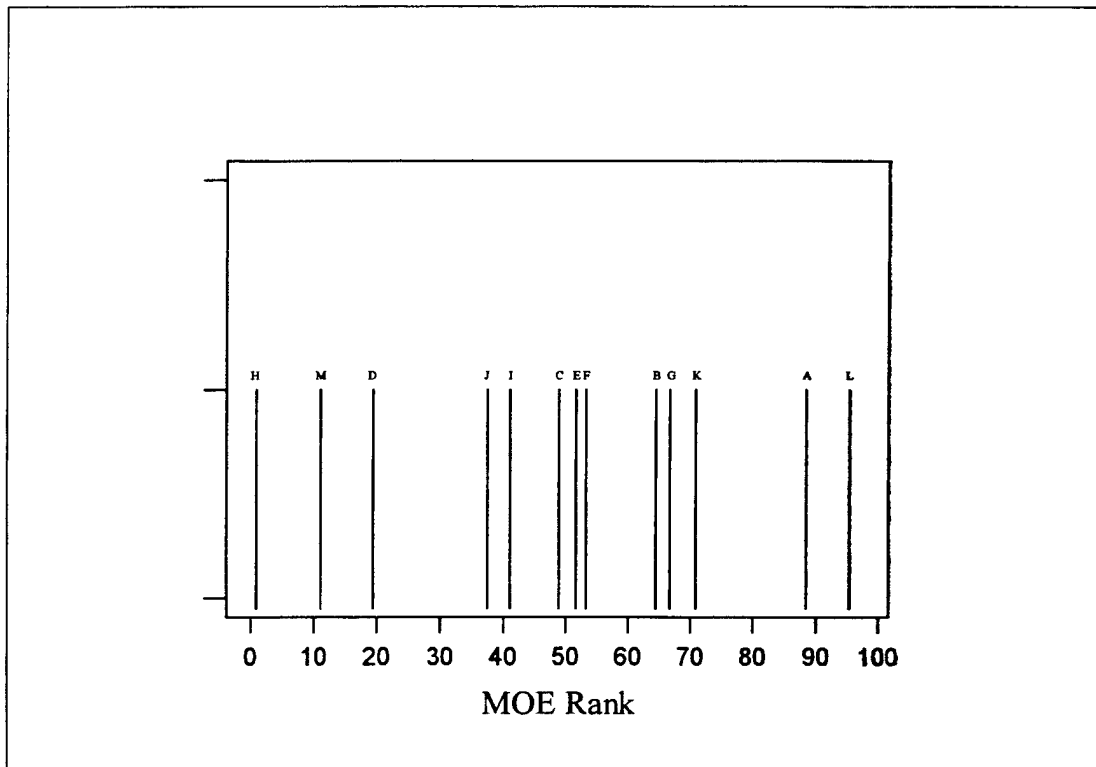


Figure 7. Scale Values for MOEs in First Doctrinal Bin

Figures 8, 9, 10, and 11 graphically display the scaling results for the final four pages of the questionnaire.



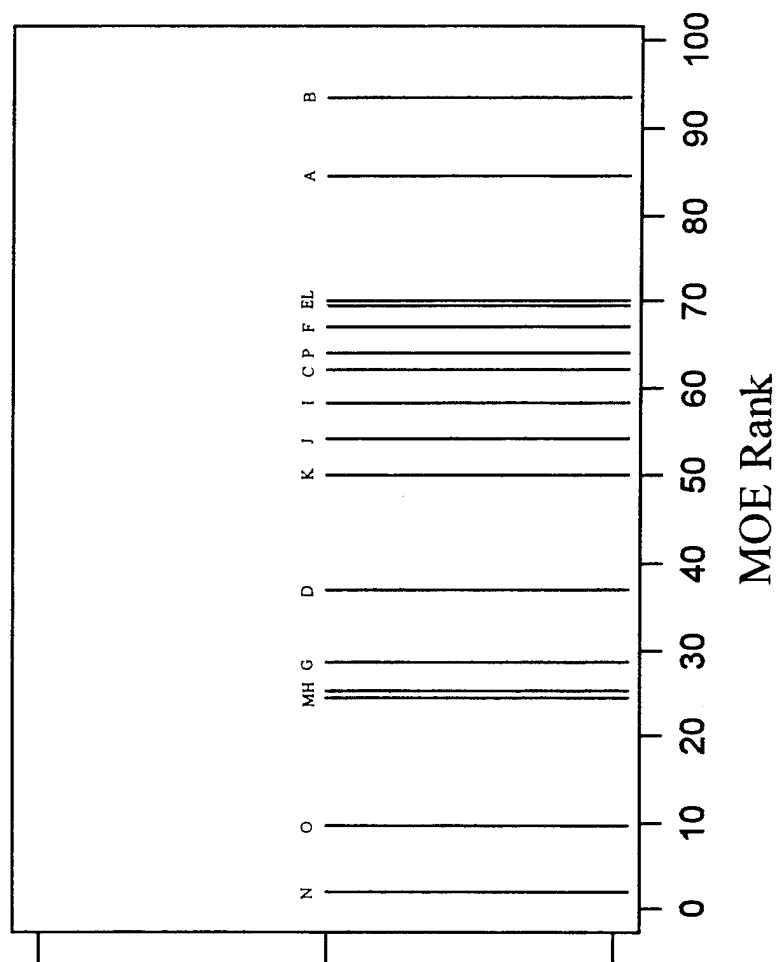


Figure 8. Scale Values for MOEs in Second Doctrinal Bin.

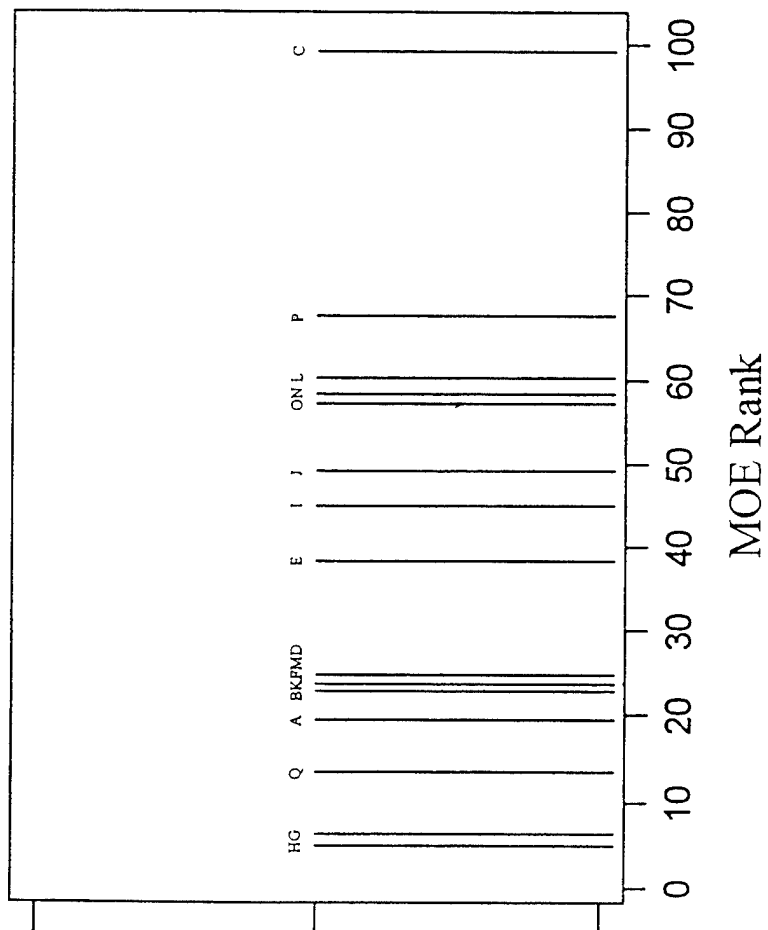


Figure 9. Scale Values for MOEs in Third Doctrinal Bin

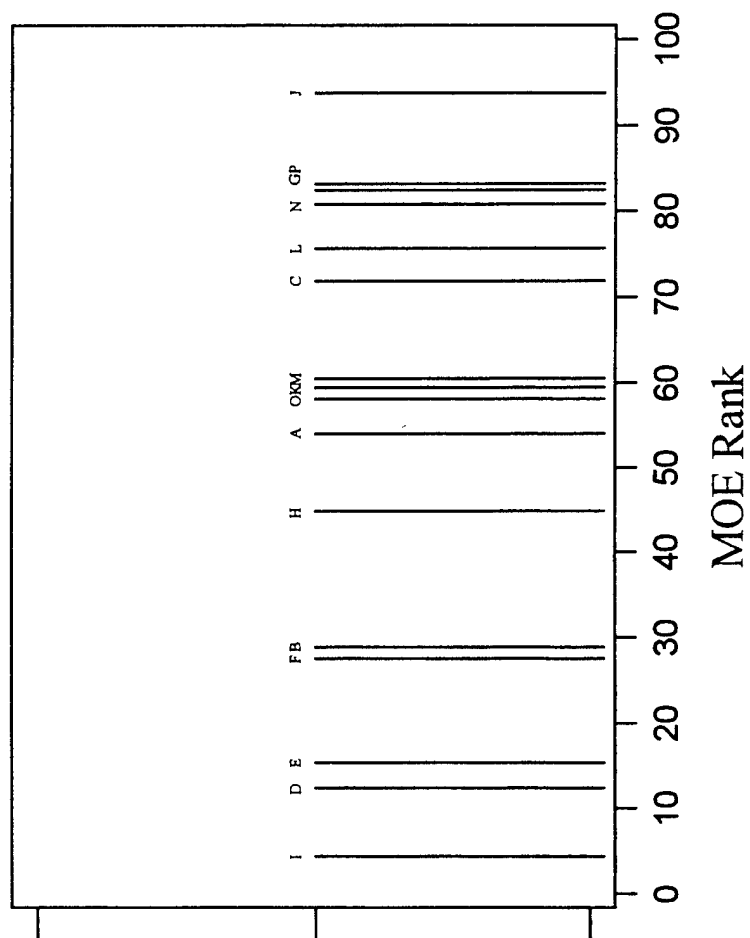


Figure 10. Scale Values for MOEs in Fourth Doctrinal Bin.

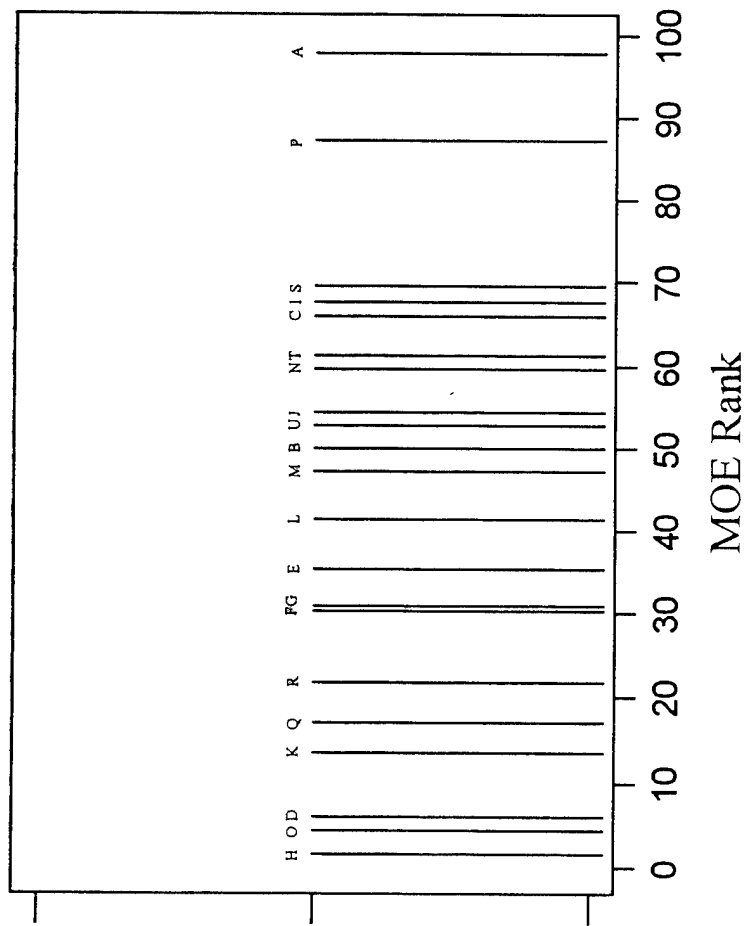


Figure 11. Scale Values for MOEs in Fifth Doctrinal Bin

Measure of Effectiveness (13 Measures)		Cost	Ease of Use	Performance
A. System contribution to the commander's perception of the enemy: measured indirectly using total # of units upon which there is intelligence information, current time within the scenario, and age of the intelligence information				
B. Percent action initiated by time ordered: equals the # of actions initiated on time, divided by the # of actions ordered				
C. Mean dissemination time: equals the sum of (the average dissemination time for each order) divided by the number of orders				
D. Percent orders clarification requested.				
E. Percent planning time forwarded: $= \left( 1 - \left( \frac{\text{time issue order to lower echelon} - \text{time receipt mission}}{\text{time ordered to start execution} - \text{time receipt mission}} \right) \right) \times 100$				
F. Time from mission to order.				
G. Time to decision ratio. $= \left( \frac{\text{time issue order to lower echelon} - \text{time receipt mission}}{\text{time ordered to start execution} - \text{time receipt mission}} \right)$				
H. Number of orders issued.				
I. Percent of personnel informed: equals (# of personnel aware of item)/(# of personnel asked) X 100				
J. Warning order to operations order ratio.				
K. Ability to develop courses of action: measured as time to develop courses of action given commander's guidance				
L. Tactical picture quality: measured by completeness of tactical picture, accuracy of identification information, and accuracy of position information				
M. Display processing time: equals (time of display)-(time of receipt)				

Rank lowest to highest, easiest to hardest, and best to worst

Cost is defined as the monetary expense (including personnel costs) required to generate values for the measures

Ease of Use is defined as the computational complexity involved in assigning values to the measure, given data

Performance is defined as the extent to which the MOE provides a realistic assessment of how well a C<sup>2</sup> system facilitates a commanders influence of events.

**FACILITATE COMMANDER'S INFLUENCE OF EVENTS:** No coherent battle plan is possible without the commander's vision of how he intends to win. The C<sup>2</sup> system imbued by his intent, provides the means to apply his decision effectively; gather and analyze information, implement his plan and supervise his forces. A C<sup>2</sup> system should ensure subordinate commanders receive ideas and concepts, not just data, and should place decision making at the lowest possible level. The system should translate the commander's plans into decisions and orders, and supervise their execution. A C<sup>2</sup> system should possess the capability to complement the commander's situational awareness and instincts, so that he can make and implement decisions faster than the enemy in order to gain and create tactical advantages.

Measure of Effectiveness (16 Measures)			
A. Time from mission to order.	Cost	Ease of Use	Performance
B. Time to decision ratio: $= \frac{\text{time issue order to echelon} - \text{time receipt mission}}{\text{time ordered to start execution} - \text{time receipt mission}}$			
C. Set-up and tear-down time.			
D. Percent communications with alternate routes.			
E. Grade of service: Probability that at any randomly chosen instant a subscriber is able to obtain a circuit connection to his party.			
F. System Access: Proportion of time requested that the MAGTF commander is given access to a specific shipboard system in support of OTH operations.			
G. Display processing time: equals (time of display) - (time of receipt)			
H. Database size consistency: is everyone operating from the same (size) database?			
I. Tactical picture consistency: measured by relative comparison of pictures, similarity of identification, similarity of position information.			
J. Mean dissemination time: equals the sum of (the average dissemination time for each order)/(# of orders)			
K. Percent informed: equals (# of personnel aware of item)/(# of personnel asked) X 100			
L. Ability to reconfigure: time to initialize system, backup system, reboot system.			
M. Percent messages displayed inaccurately.			
N. Number of orders issued.			
O. Number of duplicate reports.			
P. Tactical picture quality: measured by completeness of tactical picture, accuracy of identification information, and accuracy of position information.			

Rank lowest to highest, easiest to hardest, and best to worst

Cost is defined as the monetary expense (including personnel costs) required to generate values for the measures

Ease of Use is defined as the computational complexity involved in assigning values to the measure, given data.

Performance is defined as the extent to which the MOE provides a realistic assessment of how well a C<sup>2</sup> system Adapts to the situation.

ADAPT TO THE SITUATION: The commander must be free to move and lend from any point in the battlespace. Therefore, the C2 system must have the flexibility to adapt to his needs rapidly. The C2 system should be easy to establish, maintain and reconfigure, and will possess the capability to support merging forces, and expanding to larger MAGTFs, without disrupting the continuity of operations. The system should support planning on the move, and must satisfy the command and control requirements of the MAGTF commander afloat, ashore, or in transition. The C2 system must communicate the commander's plans to subordinates.

Measure of Effectiveness (17 Measures)				Cost	Ease of Use	Performance
A. Number of options remaining: equals (# decision points open)X(# options for each decision point)						
B. Time to decision ratio: $= \frac{(\text{time issue order to echelon} - \text{time receipt mission})}{(\text{time ordered to start execution} - \text{time receipt mission})}$						
C. Percent commander's critical information requirements met.						
D. Proportion friendly elements engaged (fratricide): equals (# friendly elements erroneously engaged)/(# friendly elements)						
E. Grade of service: Probability that at any randomly chosen instant a subscriber is able to obtain a circuit connection to his party						
F. Ability to develop courses of action: measured as time to develop courses of action given commander's guidance.						
G. Display processing time: equals (time of display) - (time of receipt)						
H. Database size consistency: is everyone operating from the same (size) database?						
I. Tactical picture consistency: measured by relative comparison of pictures, similarity of identification, similarity of position information.						
J. Digital map capability: measured by time to generate map display and time to generate scanned map.						
K. Ability to support overlays: measured by time to generate overlay, time to disseminate overlay.						
L. System tasking timeliness: equals (time of information receipt from database inquiry) - (time inquiry initiated)						
M. Percent messages displayed inaccurately.						
N. Average time from threat detection to identification.						
O. Time potential threat undetected ratio: (length of time in sensor envelope before detected)/(length of time in envelope)						
P. Tactical picture quality: measured by completeness of tactical picture, accuracy of identification information, and accuracy of position information						
Q. Sensor Effectiveness: (# reports received by sensor type)/(total # of reports received)						

**Rank lowest to highest, easiest to hardest, and best to worst**

Cost is defined as the monetary expense (including personnel costs) required to generate values for the measures

Ease of Use is defined as the computational complexity involved in assigning values to the measure, given data

Performance is defined as the extent to which the MOE provides a realistic assessment of how well a C<sup>3</sup> system supports information requirements.

**SUPPORT INFORMATION REQUIREMENTS:** The commander's C<sup>2</sup> system must provide a reliable, secure, fast and durable information network that allows him to implement his operational concepts. Information must be timely, accurate, complete, objective, useable, relevant, positioned properly, mobile, accessible and fused. A C<sup>2</sup> system should provide battle-relevant theater and national level databases, and facilitate the rapid unconstrained flow of information in all directions. A C<sup>2</sup> system should provide an echelon jumping capability, as well as vertical, lateral and diagonal redundancy. Finally, the system should exhibit the best characteristics of supply-push and demand-pull information flow, to provide the commander accurate, timely information and ideas for developing courses of action and making logical decisions.

Measure of Effectiveness (16 Measures)			
A. Percent communications links with alternate routes.	Cost	Ease of Use	Performance
B. Balance of traffic through network: average utilization of each node and link			
C. SatCom supportability: measured by theoretical uplink channel capacity, can include jamming degradation.			
D. Percent informed: equals (# of personnel aware of item)/(# of personnel asked) X 100			
E. Ratio of supplies consumed vs supplies provided.			
F. Mean time response to jamming.			
G. Personnel requirements improvement: change in total personnel requirements over another system.			
H. Weapons systems equivalents: can the system be equated to a weapons system? (e.g. 1 JTIDS equipped F-15 = 1.3 non-JTIDS equipped F-15s)			
I. Database size consistency: is everyone operating from the same (size) database?			
J. Tactical picture consistency: measured by relative comparison of pictures, similarity of identification, similarity of position information.			
K. Transportation requirements improvement.			
L. Index of availability: consists of operational availability, inherent availability, and achieved availability, all of which are computed using combinations of MTBF, MTTR, MDT, MTP.			
M. Index of survivability: (1-Grade of Service during jamming)/(Grade of Service before jamming)			
N. Transportability index: computed using subsystem physical size (volume), subsystem density (quantity), and max load for fully loaded vehicles.			
O. System Access: Proportion of time requested that the MAGTF commander is given access to a specific shipboard system in support of OTH operations.			
P. Ability to reconfigure: time to initialize system, backup system, reboot system			

Rank lowest to highest, easiest to hardest, and best to worst

Cost is defined as the monetary expense (including personnel costs) required to generate values for the measures.

Ease of Use is defined as the computational complexity involved in assigning values to the measure, given data

Performance is defined as the extent to which the MOE provides a realistic assessment of how well a C<sup>2</sup> system exploits MAGTF capabilities.

EXPLOIT MAGTF CAPABILITIES: The C<sup>2</sup> system must: support the MAGTF during all types of deployment and employment, enable the MAGTF to maintain mobility, survive enemy weapons systems and C<sup>2</sup> warfare, sustain the MAGTF, provide the flexibility to task, organize, and interface with other C<sup>2</sup> systems (joint, combined, NCA, other services/federal agencies). C<sup>2</sup> employment options cannot be considered independent of deployment options. The system should provide simultaneous access to multiple users throughout the command, and allow for seamless transfer of information within naval forces and between naval forces and joint commands.



Measure of Effectiveness (21 Measures)		Cost	Ease of Use	Performance
A. Communications performance index: measured by assigning weights to a set of requirements (such as capacity, range, security, mobility, etc.), observing actual performance of the requirements, and summing the weighted ratios.				
B. Time to decision ratio: $= \frac{(\text{time issue order to echelon} - \text{time receipt mission})}{(\text{time ordered to start execution} - \text{time receipt mission})}$				
C. Average message backlog: average number of messages awaiting transmission.				
D. Percentage of net capacity utilization: (time net carries traffic)/(time net observed) X 100				
E. Grade of service: Probability that at any randomly chosen instant a subscriber is able to obtain a circuit connection to his party				
F. Percent action initiated by time ordered: equals the # of actions initiated on time, divided by the # of actions ordered.				
G. Display processing time: equals (time of display) - (time of receipt)				
H. Percent of personnel informed: equals (# of personnel aware of item)/(# of personnel asked) X 100				
I. Set-up and tear-down time.				
J. Ability to reconfigure: time to initialize system, backup system, reboot system.				
K. Personnel requirements improvement: change in total personnel requirements over another system.				
L. System tasking timeliness: equals (time of information receipt from database inquiry) - (time inquiry initiated)				
M. Percent radio links up.				
N. Number of messages lost due to radio links down.				
O. Number of duplicate reports.				
P. Tactical picture quality: measured by completeness of tactical picture, accuracy of identification information, and accuracy of position information				
Q. Tactical picture consistency: measured by relative comparison of pictures, similarity of identification/position information.				
R. Average message duration.				
S. Transportability index: computed using subsystem physical size (volume), subsystem density (quantity), and max load for fully loaded vehicles				
T. Index of survivability: $1 - ((\text{Grade of Service during jamming}) / (\text{Grade of Service before jamming}))$				
U. Index of availability: consists of operational availability, inherent availability, and achieved availability, all of which are computed using combinations of MTBF, MTTR, MDT, MTTP				

Rank lowest to highest, easiest to hardest, and best to worst

Cost is defined as the monetary expense (including personnel costs) required to generate values for the measures

Ease of Use is defined as the computational complexity involved in assigning values to the measure, given data

Performance is defined as the extent to which the MOE provides a realistic assessment of how well a C<sup>2</sup> system supports CP effectiveness →

SUPPORT COMMAND POST EFFECTIVENESS: A command post C2 system needs to be able to survive, and also to fight. Survival includes OpSec, mobility, austerity, signature, location, deception, dispersion, redundancy, cover and concealment. Survival is often traded off for the ability to fight, which includes speed, simplicity, CP design, information, automation, qualified personnel, communication, standardization, and continuous operation. A C2 system should facilitate rapid and frequent displacements, an echelonment capability, and a reduced electronic signature, in order to increase survivability. CP organization should establish unity of command, and ensure the commander has reasonable span of control.



## LIST OF REFERENCES

1. United States Marine Corps, *A Concept for Command and Control of the MAGTF Final Report*, Marine Corps Combat Development Command, Quantico, Virginia, 13 June 1994.
2. United States Marine Corps, *Joint Warrior Interoperability Demonstration 1995 Master Plan (Draft)*, Headquarters Marine Corps, Arlington, Virginia, 23 November 1994.
3. United States Marine Corps, *MTACCS Master Acquisition Plan (MAP) (Draft)*, Marine Corps Research, Development and Acquisition Command, Quantico, Virginia, May 1990.
4. United States Marine Corps, *Marine Corps Tactical Communications Agency (MTCA)*, Headquarters Marine Corps, Arlington, Virginia, July 1990.
5. After Action Comments, I Marine Expeditionary Force MEFEX 2-92, 29 Palms, California, August 1992.
6. Elele, James N., "On the Relationship Between Measure of Performance (MOP) and Measure of Effectiveness (MOE)," paper presented at the 1995 Military Operations Research Society Conference, Annapolis, Maryland, June 1995.
7. Military Operations Research Society, *Command and Control Evaluation Workshop*, by Sweet, R., Metersky, M., and Sovereign, M., Monterey, California, January, 1985.
8. United States Marine Corps, *Command and Control Concept Development Game Final Report*, Marine Corps Combat Development Command, Quantico, Virginia, April 1993.
9. Military Operations Research Society, *Command, Control, Communications, Electronic Warfare Measures of Effectiveness (C<sup>3</sup>IEWMOE) Workshop*, by Duff, J. B., Konwin, K. C., Kroening, D. W., Sovereign, M. G., Starr, S. H., Alexandria, Virginia, October, 1992.
10. Girard, P. E., "A Function-Based Definition of (C<sup>2</sup>) Measures of Effectiveness," *Armed Forces Communications Electronics Association International Press*, August, 1989.
11. Cothier, P. H., and Levis, A. H., "Timeliness and Measures of Effectiveness in

- Command and Control," *IEEE*, November-December 1986.
12. MIT Laboratory for Information and Decision Systems, *Modeling and Measuring Effectiveness of C<sup>3</sup> Systems*, by Levis, A. H., September 1986.
  13. MIT Laboratory for Information and Decision Systems, *Measures of Effectiveness and C<sup>3</sup> Testbed Experiments*, by Martin P. J. F., and Levis, A. H., July 1987.
  14. SHAPE Technical Centre, *Theory of Effectiveness Measures*, by Dockery, J. T., The Hague, Netherlands, January 1985.
  15. Joint Tactical Communications Office, *Cost Effectiveness Program Plan for Joint Tactical Communications, Volume III System Effectiveness*, Ft. Monmouth, New Jersey, November 1974.
  16. Naval Postgraduate School Report NPSOR-91-023, *Application of the Modular Command and Control Evaluation Structure (MCES) to Marine Corps SINCGARS Allocation*, by Sovereign, M. G., Bailey, M., and Kemple, W. G., August 1991.
  17. Naval Postgraduate School, *The Modular Command and Control Evaluation Structure (MCES): Applications of and Expansion to C<sup>3</sup> Architectural Evaluation*, by Sweet, R., September 1986.
  18. Signori, D. T., and Starr, S. H., "The Mission Oriented Approach to NATO C2 Planning," *Signal Magazine*, Armed Forces Communications and Electronics Association, Fairfax, Virginia, September 1987.
  19. Naval Postgraduate School, *A Framework for Evaluating Evolutionary Upgrade Paths of Command, Control, and Communications Systems*, by Egge, D. Q., June 1993.
  20. United States Army Research Institute, *Army Command and Control Evaluation System (ACCES) Review*, by Keene, S. D., Michel, R. R., and Spiegel, D. K., Ft Leavenworth, Kansas, September 1990.
  21. Defense Communications Agency, *Heat User's Manual Revision 2*, by Shaw, J. J., Entin, E. E., Deckert, J. C., Arlington, Virginia, January 1991.
  22. Kemple, W. G., Stephens, C. D., Crolotte, A., "Evaluating Concepts for C<sup>3</sup> Systems," *Military Electronics/Countermeasures*, September 1980.

23. United States Army, *Command and Control Measures of Effectiveness Handbook*, TRADOC Analysis Center, Ft Leavenworth, Kansas, October 1993.
24. United States Marine Corps, *FMFM 3 Command and Control*, Headquarters Marine Corps, Washington D. C., June 1993.
25. Edwards, A. L., *Techniques of Attitude Scale Construction*, Appleton-Century-Crofts, 1957.
26. United States Marine Corps, *Concept of Ground (GCE) Command and Control (Draft)*, Marine Corps Combat Development Command, Quantico, Virginia, November 1992.
27. United States Marine Corps, *FMFRP 14-33 A Concept of Command and Control for the MAGTF*, Marine Corps Combat Development Command, Quantico, Virginia, March 1995.
28. United States Marine Corps, *FMFRP 15-3 A Concept of Command and Control*, Marine Corps Combat Development Command, Quantico, Virginia, January 1994.
29. United States Army, *Measures of Effectiveness Compendium*, United States Army Research Institute, Ft Leavenworth, Kansas, January 1991.
30. United States Marine Corps, *Cost and Operational Effectiveness Analysis (COEA) for the Tactical Combat Operations (TCO) System Final report (Draft)*, Marine Corps Combat Development Command, Quantico, Virginia, March 1993.
31. ARINC Research Corporation, San Diego, California, letter to professor M. G. Sovereign, June 1992.
32. United States Navy, *Glossary of Naval Ship Weapons Derived and Demonstrated Capability, Effectiveness, and Performance Measures*, Naval Ship Weapons Systems Engineering Station, Port Hueneme, California, May 1983.
33. United States Army, *Force Developments the Measurement of Effectiveness*, United States Army Combat Developments Command, Ft Belvoir, Virginia, January 1973.
34. Logistics Management Institute, *Measures of Effectiveness for Rationalization, Standardization, and Interoperability*, by Keenan, J. M., Alexandria, Virginia, September 1988.

35. Department of Defense, *Assessment of the Joint Tactical Information Distribution System (JTIDS) Operational Performance in the F-15 Theater Defensive Counterair Mission, Final Report*, Department of Defense JTIDS Operational Performance Model Supervisory Working Group, November, 1988.

## INITIAL DISTRIBUTION LIST

- |    |   |   |
|----|---|---|
| 1. | Defense Technical Information Center<br>Cameron Station<br>Alexandria, VA 22304-6145  | 2 |
| 2. | Library, Code 013<br>Naval Postgraduate School<br>Monterey, CA 93943-5101   | 2 |
| 3. | Director, Training and Education<br>MCCDC, Code C46<br>1019 Elliot Rd.<br>Quantico, VA 22134-5027                                       | 1 |
| 4. | Assistant Chief of Staff, C <sup>4</sup> I<br>Headquarters Marine Corps<br>Arlington, VA 22304-6154                                     | 1 |
| 5. | Professor William G Kemple<br>Department of Operations Research, Code OR/Ke<br>Naval Postgraduate School<br>Monterey, CA 93943-5002     | 2 |
| 6. | Professor Michael G. Sovereign<br>Department of Operations Research, Code OR/So<br>Naval Postgraduate School<br>Monterey, CA 93943-5002 | 3 |
| 7. | Captain Doug Mason<br>Marine Corps Systems Command<br>2033 Barnett Avenue, Suite 315<br>Quantico, VA 22134-5010                         | 2 |
| 8. | Director, Studies and Analysis Division<br>MCCDC, Code C45<br>Quantico, VA 22134-5130   | 1 |