

**Best
Available
Copy**

①
NAVAL POSTGRADUATE SCHOOL
Monterey, California

AD-A280 415



DTIC
ELECTE
S B D
JUN 21 1994

DTIC QUALITY INSPECTED 2

THESIS

**THE COMPARISON OF SQL, QBE, AND DFQL
AS QUERY LANGUAGES
FOR
RELATIONAL DATABASES**

by

Paruntungan Girsang

March 1994

Thesis Advisor:

C. Thomas Wu

Approved for public release; distribution is unlimited.

94 6 20 008

94-18941



REPORT DOCUMENTATION PAGE			Form Approved OMB No. 0704-0188	
Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time reviewing instructions, searching existing data sources gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503.				
1. AGENCY USE ONLY (Leave blank)		2. REPORT DATE March 1994		3. REPORT TYPE AND DATES COVERED Master's Thesis
4. TITLE AND SUBTITLE The Comparison of SQL, DFQL, and DFQL as Query Languages for Relational Databases			5. FUNDING NUMBERS	
6. AUTHOR(S) Girsang, Paruntungan				
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Naval Postgraduate School Monterey, CA 93943-5000			8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/ MONITORING AGENCY NAME(S) AND ADDRESS(ES)			10. SPONSORING/ MONITORING AGENCY REPORT NUMBER	
11. SUPPLEMENTARY NOTES The views expressed in this thesis are those of the author and do not reflect the official policy or position of the Department of Defense or the United States Government.				
12a. DISTRIBUTION / AVAILABILITY STATEMENT Approved for public release; distribution is unlimited.			12b. DISTRIBUTION CODE	
13. ABSTRACT (Maximum 200 words) Structure Query Language (SQL) and Query By Example (QBE) are the most widely used query languages for Relational Database Management Systems (RDBMS's). However, both of them have problems concerning ease-of-use issues, especially in expressing universal quantification, specifying complex nested queries, and flexibility and consistency in specifying queries with respect to data retrieval. To alleviate these problems, a new query language called "DataFlow Query Language" (DFQL) was proposed. This thesis investigates the relative strengths and weaknesses of these three languages. We divide queries into four categories: single-value, set-value, statistical result, and set-count value. In each category, a representative set of queries from each language is specified and compared. Some of the queries specified are logical extensions of the other (already defined) queries, which are used to analyze the query languages' flexibility and consistency in formulating logically related queries. We perform a simple experiment of asking NPS CS students to write a small set of queries in all three languages. Based on the analysis, we conclude that DFQL eliminates the problems of SQL and QBE mentioned above. The relative strengths of DFQL comes mainly from its strict adherence to relational algebra and dataflow-based visuality.				
14. SUBJECT TERMS SQL, QBE, DFQL, Relational Model, Database Management Systems, Flexibility, Ease-of-use, Consistency.			15. NUMBER OF PAGES 142	
			16. PRICE CODE	
17. SECURITY CLASSIFICATION OF REPORT Unclassified	18. SECURITY CLASSIFICATION OF THIS PAGE Unclassified	19. SECURITY CLASSIFICATION OF ABSTRACT Unclassified	20. LIMITATION OF ABSTRACT UL	

Approved for public release; distribution is unlimited

**THE COMPARISON OF SQL, QBE, AND DFQL
AS QUERY LANGUAGES
FOR RELATIONAL DATABASES**

by

Paruntungan Girsang
Lieutenant, Indonesian Navy
B.S., University of North Sumatera, Indonesia, 1981
Ir., University of North Sumatera, Indonesia, 1983

Submitted in partial fulfillment of the
requirements for the degree of

MASTER OF SCIENCE IN COMPUTER SCIENCE

from the

NAVAL POSTGRADUATE SCHOOL

March 1994

Author:

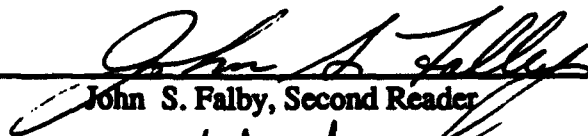


Paruntungan Girsang

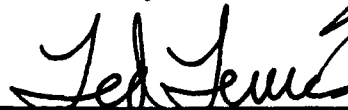
Approved By:



C. Thomas Wu, Thesis Advisor



John S. Falby, Second Reader



Ted Lewis, Chairman,
Department of Computer Science

ABSTRACT

Structure Query Language (SQL) and Query By Example (QBE) are the most widely used query languages for Relational Database Management Systems (RDBMS's). However, both of them have problems concerning ease-of-use issues, especially in expressing universal quantification, specifying complex nested queries, and flexibility and consistency in specifying queries with respect to data retrieval. To alleviate these problems, a new query language called "DataFlow Query Language" (DFQL) was proposed.

This thesis investigates the relative strengths and weaknesses of these three languages. We divide queries into four categories: single-value, set-value, statistical result, and set-count value. In each category, a representative set of queries from each language is specified and compared. Some of the queries specified are logical extensions of the other (already defined) queries, which are used to analyze the query languages' flexibility and consistency in formulating logically related queries. We perform a simple experiment of asking NPS CS students to write a small set of queries in all three languages.

Based on the analysis, we conclude that DFQL eliminates the problems of SQL and QBE mentioned above. The relative strengths of DFQL comes mainly from its strict adherence to relational algebra and dataflow-based visuality.

Accession For	
NTIS GRA&I	<input checked="" type="checkbox"/>
DTIC TAB	<input type="checkbox"/>
Unannounced	<input type="checkbox"/>
Justification	
By _____	
Distribution/_____	
Availability Codes	
Dist	Avail and/or Special
A-1	

TABLE OF CONTENTS

I.	INTRODUCTION	1
A.	BACKGROUND	1
B.	MOTIVATION	2
C.	OBJECTIVE	3
D.	CHAPTER SUMMARY	4
II.	DESCRIPTION OF THE RELATIONAL MODEL AND QUERY LANGUAGES FOR RDBMS's	5
A.	THE RELATIONAL MODEL CONCEPTS	5
1.	Formal Terminology	6
2.	Properties of Relation	8
B.	TEXT-BASED QUERY LANGUAGES	8
1.	The Relational Algebra.....	8
2.	The Relational Calculus.....	10
3.	Structure Query Language (SQL)	10
a.	Data Definition in SQL	11
b.	Data Manipulation	11
c.	Logical Operators of SQL	13
d.	The Problems with SQL	13
(1)	Declarative Nature	14
(2)	Universal Quantification	15
(3)	Lack of Orthogonality	17
(4)	Nesting Construct.....	17
C.	VISUAL-BASED QUERY LANGUAGES	18
1.	QBE, a Form-based Query Language.....	18
a.	Data Retrieval	19
b.	Built-in functions, Grouping and other Operators	20
c.	The Problems with QBE	21

2.	DataFlow Query Language (DFQL)	21
a.	DFQL Operators	22
(1)	Basic Operators	23
(2)	Other Primitives Operators	26
(3)	Display Operators	29
(4)	User-defined Operators	29
(5)	DFQL Query Construction	29
(6)	Incremental Queries	30
(7)	Universal Quantification	30
(8)	Nesting and Functional Notation	31
(9)	Graph Structure of DFQL Query	31
3.	Entity-Relationship Model Interface	31

III.	THE COMPARISON OF SQL, QBE, AND DFQL WITH RESPECT TO DATA RETRIEVAL CAPABILITIES	34
A.	CATEGORIES OF QUERY	35
1.	Single-Value	35
a.	Query 1: Simple retrieval	36
b.	Query 2: Qualified retrieval	38
c.	Query 3: Retrieval involves more than two tables	40
d.	Query 4: Retrieval involving universal quantification	42
e.	Query 5: Retrieval involving a negation statement	44
2.	Set-Value	47
a.	Query 6: Retrieval involving existential and universal quantification	47
b.	Query 7: Retrieval involving explicit sets	49
c.	Query 8: Retrieval involving explicit sets	51
d.	Query 9: Retrieval involving universal quantification	54
e.	Query 10: Retrieval involving existential and universal quantification	57
f.	Query 11: Retrieval involving set operation	59

3.	Statistical Result	62
a.	Query 12: Retrieval involving aggregate AVG function	62
b.	Query 13: Retrieval involving AVG and Groupnig function	64
c.	Query 14: Retrieval involving Count, AVG, and Grouping function	66
d.	Query 15: Retrieval involving Count and AVG function	68
e.	Query 16: Retrieval involving Max and Grouping function	70
f.	Query 17: Retrieval involving Max and Grouping function	72
g.	Query 18: Retrieval involving Avg, Max, Sum, and Grouping function	74
h.	Query 19: Retrieval involving Count and Grouping function ...	76
4.	Set-Count Value	79
a.	Query 20: Retrieval involving existential quantification	79
b.	Query 21: Retrieval involving Count and Grouping function ...	81
c.	Query 22: Retrieval involving Count and Grouping function ...	84
d.	Query 23: Retrieval involving Count function	87
e.	Query 24: Retrieval involving universal quantification	89
f.	Query 25: Retrieval involving universal quantification	91
B.	ANALYSIS	93
1.	Ease-of-use	93
a.	Queries involving existential or universal quantification	94
(1)	SQL	94
(2)	QBE	95
(3)	DFQL	95
b.	Queries involving nested queries	96
(1)	SQL	96
(2)	QBE	96
(3)	DFQL	96
2.	Flexibility	98
a.	SQL.....	98
b.	QBE.....	98
c.	DFQL.....	99

3.	Consistency	99
a.	SQL.....	100
b.	QBE	100
c.	DFQL.....	100
4.	Relative Strengths and Weaknesses	101
IV.	HUMAN FACTORS EXPERIMENT	111
A.	HUMAN FACTORS ANALYSIS OF QUERY LANGUAGES	111
B.	EXPERIMENTAL COMPARISON OF SQL, QBE, AND DFQL ...	111
1.	Assesment of the Experiment	111
a.	Subjects	112
b.	Teaching Method	112
c.	Test Queries	112
d.	Evaluation Method	113
2.	Experiment Results	114
3.	Experiment Conclusion	117
a.	Query (Q1).....	117
b.	Query (Q2).....	117
c.	Query (Q3).....	117
d.	Query (Q4).....	118
d.	Query (Q5).....	118
V.	CONCLUSIONS.....	120
	LIST OF REFERENCES	122
	APPENDIX A.....	125
	INITIAL DISTRIBUTION LIST	128

LIST OF TABLES

TABLE 2.1	BASIC DFQL OPERATORS AND THEIR SQL EQUIVALENTS	23
TABLE 2.2	NON-BASIC DFQL OPERATORS AND THEIR SQL EQUIVALENTS	26
TABLE 3.1	RELATIVE STRENGTHS AND WEAKNESSES OF SQL, QBE, AND DFQL	102
TABLE 4.1	EXPERIMENT RESULT	115
TABLE 4.2	PERCENT CORRECT OF SUBJECT CLASSIFICATION FOR Q1, Q2, AND Q3	116
TABLE 4.3	PERCENT CORRECT OF SUBJECT CLASSIFICATION FOR Q1 THROUGH Q5	116

LIST OF FIGURES

Figure 2.1	A Relation STUDENT Schema	7
Figure 2.2	Operator Construction	22
Figure 2.3	ER-Diagram of the COMPANY database	32

LIST OF QUERIES

Query 2.1	Example of Relational Algebra Query	9
Query 2.2	Example of Relational Calculus Query	10
Query 2.3	Example of SQL Query	16
Query 2.4	Example of QBE Query	19

ACKNOWLEDGEMENTS

I would like to thank the Indonesian Navy for the opportunity to study at the Naval Postgraduate School (NPS) in Monterey, California.

I would like to thank Dr. C. Thomas Wu for his continued support, enthusiasm, patience, and guidance. These were invaluable assets for the completion of this work. I would also like to thank LCDR John S. Falby for his help and support in editing. His assistance and direction were both enlightening and timely.

I wish to thank to Computer Science students at NPS who participated in a human factors experiment. These support was instrumental in the completion of this thesis.

I am very grateful to my parents for their support and faith. Most importantly, I am indebted to my wife Ediana, my daughter Jean Liatri Augustine and my son John Samuel Sebastian, for their constant love, patience and understanding.

I. INTRODUCTION

A. BACKGROUND

The Relational model is used most often in current commercial Database Management Systems (DBMS's) compared to hierarchical and network models, since it is the simplest and most uniform data structure and is the most formal in nature with respect to mathematical logic [Elma89]. The theory was introduced by E. F. Codd in 1969 [Codd90]. Today, numerous companies and institutions use Relational Database Management Systems (RDBMS's) in many different kinds of software packages that are equipped with several manipulation languages (database languages or query languages). The query languages that have been implemented and are available on commercial DBMS's include Structure Query Language (SQL) and Query By Example (QBE).

SQL is the best known text-based (line oriented) query language. Originally, SQL was known as SEQUEL, and was introduced in 1974 [Cham74]. The earliest version of SQL was implemented in the system R project at IBM Research Laboratory in San Jose, California [Astr76]. In 1986, the American National Standard Institute (ANSI) approved a standard (function and syntax) for SQL [ANSI86], which was accepted by the International Organization for Standardization (ISO) in 1987 [Date90a].

QBE was developed by IBM in 1976 at the IBM Yorktown Heights Research Laboratory, NY. [Zloo77]. It is the ancestor of today's form-based interfaces (visual oriented query language). In QBE the query is specified by filling in a proper column in form of tables (relations) displayed on the screen, instead of writing linear or text statements.

B. MOTIVATION

SQL and QBE are two commonly used query languages and exist together in several DBMS products (e.g., DB2¹, SQL/DS², Oracle³, dBase IV⁴, etc.). However, neither of these query languages have succeeded in alleviating the problems concerning ease-of-use issues, especially in expressing universal quantification, specifying complex nested queries, flexibility and consistency in specifying queries with respect to data retrieval. As discussed in [Date87], SQL does not possess a simple, clean, and consistent structure, in either its syntax and semantics. Codd points out that SQL permits duplicate rows in relations, it supports an inadequately defined kind of nesting of a query and does not adequately support three-valued logic [Codd88a] [Codd90]. In [Negr89] SQL constructs are very complex, in particular Universal quantification, which are full of pitfalls for the inexperienced user. In contrast, QBE is much more intuitive. But QBE still falls short, providing no support for existential or universal quantification [Elma89] [Date90a].

In order to alleviate the problems at issue above, a new language called "Data Flow Query Language" (DFQL)⁵ was proposed. DFQL is a graphical database interface based on the data flow paradigm. DFQL retains all the power of current query languages and is equipped with an easy to use facility for extending the language with advanced operators, thus providing query facilities beyond the benchmark of first-order predicate logic. Although, these three languages are all relationally complete⁶ [Date82] [Date84] [Clar91] [Fran88], thus expressive powers are equivalent. However, they are not necessarily equally

1. DB2 (IBM DATABASE 2) is a trademark of International Business Machines Corporation.

2. SQL/Data System is a trademark of International Business Machines Corporation.

3. Oracle is a trademark of Oracle Corporation.

4. dBase IV is a trademark of Ashton-Tate.

5. DFQL implemented by Lt. Gard J. Clark as his thesis work (see Chapter II.C.2) under the supervision of Dr. C. Thomas Wu, Computer Science Department, at Naval Postgraduate School (NPS). It is implemented in Prograph.

6. Relational Completeness means that a language is at least as powerful as relational algebra [Hans92].

useful. For example, a simple query is more easily specified in QBE than SQL. A number of comparative studies of two or three query languages have been performed [Reis75] [Reis81]. However, no direct comparison has been made of SQL, QBE, and DFQL, with respect to the above mentioned problems. Also, a simple experiment regarding ease-of-use in query writing for these three languages needs to be accomplished.

C. OBJECTIVE

The focus of this research is to evaluate whether DFQL can alleviate the problems at issue faced by SQL and QBE by investigating the relative strengths and weaknesses concerning ease-of-use, especially in expressing universal quantification and specifying complex nested queries. A Category-based approach of comparing query languages is developed. With this approach, queries are divided into four categories: *single-value*, *set-value*, *statistical result*, *set-count value*. In each category, a representative set of queries from each language is specified and compared. Some of the queries specified are logical extensions of other (already defined) queries, and we used such extension types of queries are used to analyze the query languages's flexibility and consistency in formulating a logically related queries. In addition, a simple experiment of asking Naval Postgraduate School (NPS) Computer Science (CS) students to write a small set of queries in all three languages are performed.

Our finding in this thesis work should serve as a basis for developing/improving the query language. In addition, by having a higher level of understanding on the relative strengths and weaknesses of each language in respective query categories, we will be able to provide or recommend a suitable query language depending on the intended users.

D. CHAPTER SUMMARY

Chapter II presents a description of the Relational Model concept, SQL, QBE, and DFQL and discusses the problems faced by SQL and QBE. In Chapter III, the numerous queries are presented by each category and composed in these three languages: SQL, QBE, and DFQL. The relative strengths and weaknesses with respect to data retrieval capabilities concerning ease-of-use, and flexibility and consistency in specifying the queries are discussed. The relational schema database is provided in Appendix A. Chapter III also provides an analysis of these three query languages.

Chapter IV provides a discussion and analysis of a simple experiment of asking NPS CS students to write a small set of queries in all three query languages. Chapter V provides a conclusion.

II. DESCRIPTION OF THE RELATIONAL MODEL AND QUERY LANGUAGES FOR RDBMS's

As mentioned previously, the Relational Model was introduced by Codd in 1969. The basic concepts of the Relational Model are needed as fundamental knowledge for providing a better understanding of high-level data manipulation languages or query languages with respect to query specification for relational database retrieval operation.

Query languages for RDBMS's can be classified into two categories: text-based languages and visual-based languages. This chapter presents the Relational Model concepts, text-based query languages and visual-based (or graphical) query languages. Within the discussion of text-based query languages, in addition to discussion of relational algebra and relational calculus, we particularly focus on SQL. The visual or graphical query languages discussion specifically emphasizes QBE and DFQL rather than the Entity Relationships (ER) model.

A. THE RELATIONAL MODEL CONCEPTS

The relational model represents the data in a database as a collection of relations. A relation is a mathematical term which represents a simple two-dimensional table structure, consisting of n -rows and m -columns that contain data values. In other words, a relational database is a collection of related information, or data values, stored in two-dimensional tables.

To explain the relational data structure, we use the STUDENT relation (table) in Figure 2.1. In the STUDENT table, data is logically ordered by values of NAME, SSN (stands for Social_Security_Number), PHONE_NO, ADDRESS, and GPA, for each student data. Each student has a unique identification number, represented by SSN.

1. Formal Terminology

The relational database has its own terminology which is usually used in RDBMS applications. Examples include the terms *relation*, *attribute*, *tuple*, *domain*, *degree*, *cardinality*, *primary key*, *candidate keys* and *foreign key*. Consider the following brief explanation of these terms:

- A *relation* corresponds to what we have generally been calling a *table*.
- A *tuple* corresponds to a *row* in such a table, and an *attribute* corresponds to a table *column*.
- *Cardinality* represents a number of tuples, and the number of attributes is called the *degree*.
- The *primary key* is a unique identifier for a table — that is, a column or column combination with the property that, at any given time, no two rows of the table contain the same value in that column or column combination.
- *Candidate keys* are sets of attributes in a relation that could be chosen as a key.
- A *foreign key* is a set of attributes in one relation that constitute a primary key of another relation's (or possibly the same) table.
- A *domain* is a pool of values, from which one or more attributes (columns) draw their actual values [Date90a]. For example, the domain of SSN in Figure 2.1, written $\text{dom}(\text{SSN})$, is the set of all legal STUDENT SSNs. The set of values appearing in the attribute SSN of the STUDENT relation at any time is a subset of the domain.

Using the terms above, and Figure 2.1, the relation schema for the STUDENT relation has degree 6, which is: STUDENT (NAME, SSN, PHONE_NO, ADDRESS, SEX, GPA). The attributes have the following domains: $\text{dom}(\text{NAME}) = \text{Names}$, $\text{dom}(\text{SSN}) = \text{Social_Security_Numbers}$, $\text{dom}(\text{PHONE_NO}) = \text{Local_Phone_Number}$, $\text{dom}(\text{ADDRESS}) = \text{Addresses}$, $\text{dom}(\text{Sex}) = \text{Male/Female}$, $\text{dom}(\text{GPA}) = \text{Grade_Point_Averages}$. A relation r of the relation schema $R (A_1, A_2, \dots, A_n)$, also denoted by $r(R)$, is a set of n -tuples $r = \{t_1, t_2, \dots, t_m\}$. Each n tuple t is an ordered list of n values $t = \langle V_1, V_2, \dots, V_n \rangle$, where each value V_i , $1 \leq i \leq n$, is an element of $\text{dom}(A_i)$ or is a special *null* value. Each tuple in the relation represents a particular student *entity*,

where an *entity* is an object that is represented in the database. *Null* values represent attributes whose values are unknown or do not exist for some individual STUDENT tuples [Elma89]. In mathematical terms, a relation $r(R)$ is a *subset of the cartesian product* of the domains that define R .

$$r(R) \subseteq (\text{dom}(A_1) \times \text{dom}(A_2) \times \dots \times \text{dom}(A_n)).$$

Therefore, all possible combinations of values from the underlying domains can be specified by the *cartesian product*.

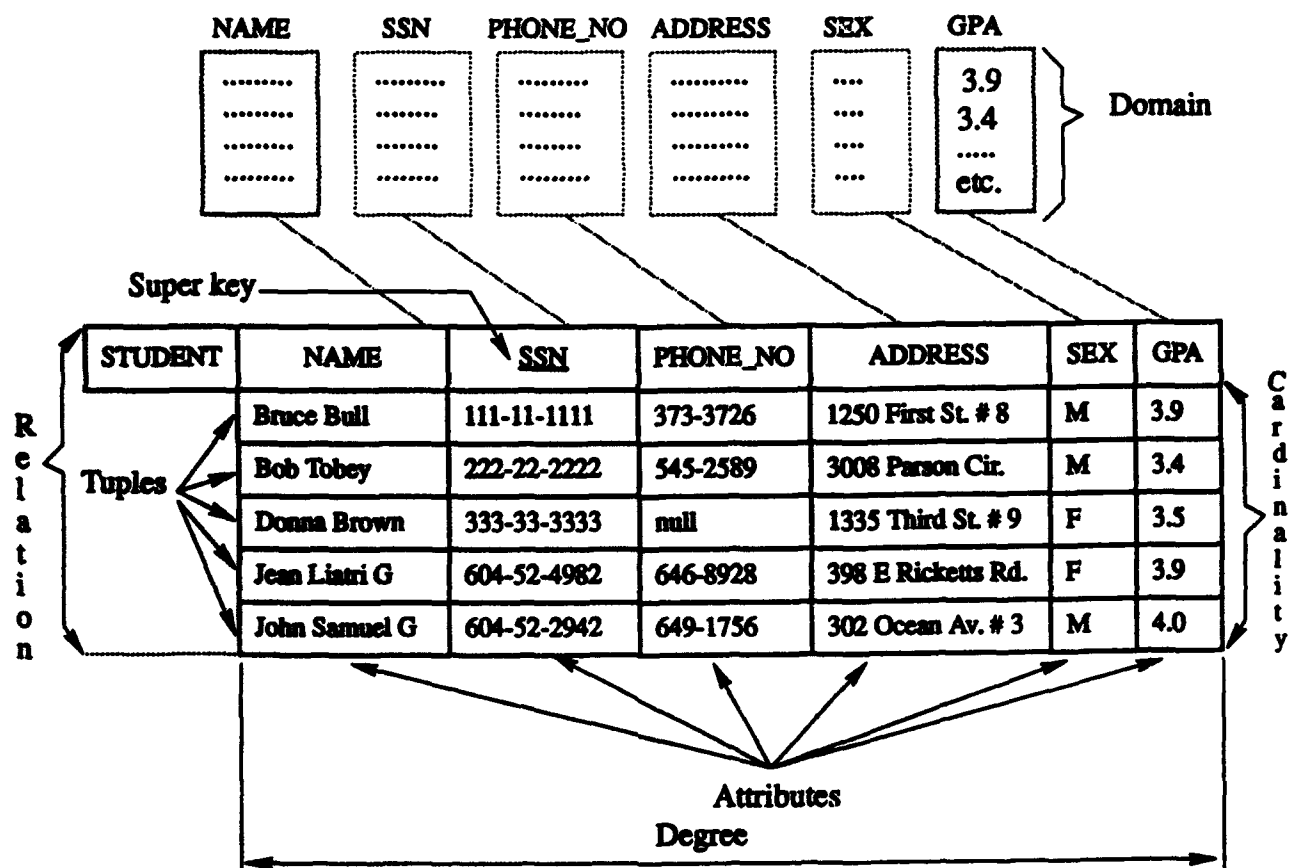


Figure 2.1: A Relation STUDENT Schema

2. Properties of Relations

Relations possess certain properties, all of them immediate consequences of the definition of "relation". There are four properties, as follow [Date 90a]:

- There are no duplicate tuples; it follows the fact that the relation is a mathematical set (i.e. a set of tuples), and sets in mathematics by definition do not include duplicate elements. An important corollary is that there always exists a primary key in a relation. Since each tuple is unique, it follows that at least the combination of all attributes of the relation has the uniqueness property.
- Tuples are unordered within a relation (top to bottom) which follows the fact that sets in mathematics are not ordered.
- All attribute values are atomic. At every row-and-column position within the table, there always exists precisely one value, never a list of values. However, a special value "null" is used as a column value of a particular tuple which is either "unknown", "attribute does not apply", or "has no value" in it.
- Attributes are unordered (left to right), which follows the fact that the heading of a relation is also defined as a set (i.e., a set of attributes, or more accurately attribute-domain pairs).

B. TEXT-BASED QUERY LANGUAGES

The nature of text-based query languages is that queries are written in normal text editors (text-based). This category can be divided into three subclasses: relational algebra based, relational calculus based, and the combination of both. This section will focus on SQL. However, the general concept of the relational algebra and relational calculus is also covered.

1. The Relational Algebra

The *Relational algebra* is a technique for combining mathematical sets that have the property of being relations (tables); it was proposed by Codd [Codd70]. It is said to be a "procedural" language, which means that the user must not only know what he wants when performing operations on relations, but also know how to get it. The user can specify

a sequence (step by step) of relational operations to be performed on the tables of the schema to produce a desired result. The result of each operation forms a new relation, which can be further *manipulated*. In other words, relational operators can be nested. The operations included in the Relational Model are: UNION, INTERSECTION, DIFFERENCE, CARTESIAN PRODUCT, SELECT, PROJECT, and JOIN. Consider the query example in Query 2.1, which is specified using relational algebra. The English translation of the query is: "Retrieve the first name, last name, and salary of employees who work in project Computerization". Notice that all query examples in this chapter are matched to a relational database instance of the COMPANY schema in Appendix A.

```
COMPU_PROJ ← σ PNAME = "Computerization" (PROJECT)
COMPU_PROJ_EMPS ← (COMPU_PROJ XDNO = DNUM EMPLOYEE)
RESULT ← π FNAME, LNAME, SALARY (COMPU_PROJ_EMPS)
```

Query 2.1: Example of Relational Algebra Query

From the query above, we can determine that:

- There are three lines executed in sequence to give the desired result.
- The user is allowed to use a temporary name to store the result of a line and then use that name as an input to subsequent lines.
- The query is written in a procedural language.

2. The Relational Calculus

The Relational Calculus was also proposed by Codd [Codd71]. In relational calculus, a query is specified in a single step; which is why it is known as a “*non-procedural*” language. However, Codd showed that relational calculus and relational algebra are logically equivalent, where any query specified in relational calculus can be specified in relational algebra as well, and vice versa.

In this type of query language, a predicate calculus expression is used to specify the tuples desired. If Query 2.1 is specified using relational calculus, the structure is formulated like Query 2.2. Here, the free tuple variables “e” and “p” are used to make the logical connections between the EMPLOYEE (e) and PROJECT (p) relations, according to the join condition and selection condition specified by $p.DNUM = e.DNO$ and $p.PNAME = \text{'Computerization'}$ respectively. The free tuple variables e. FNAME, e. LNAME, e. SALARY are the attributes in which their tuples are considered to be retrieved, as long as its tuples the condition specified is satisfied.

$\{e.FNAME, e.LNAME, e.SALARY \mid \text{EMPLOYEE}(e) \text{ and } (\exists p)(\text{PROJECT}(p) \text{ and } p.PNAME = \text{'Computerization' and } p.DNUM = e.DNO)\}$

Query 2.2: Example of Relational Calculus Query

3. Structure Query Language (SQL)

The earliest version was designed and implemented by IBM Research as an interface for a relational database system known as SYSTEM R. It was the earliest of the high-level database language (non-procedural languages). Today SQL exists in several commercial RDBMS's products such as IBM's DB2, SQL/DS, and Oracle.

SQL is a comprehensive database language; it has statements (text-based) for data definition language (DDL) and data manipulation language (DML). SQL also provides facilities for defining views on a database, for creating and dropping indexes on the files that represent relations, and for embedding SQL statements into a general purpose language such as PL/I or Pascal [Elma89].

a. Data Definition in SQL

As a SYSTEM R database language, SQL implements the terms table (relation), row (tuple), and column (attribute). The SQL commands for data definition are CREATE TABLE, ALTER TABLE, and DROP TABLE. These commands are used to specify the attributes of a relation, to add an attribute to a relation, and to delete a relation, respectively.

b. Data Manipulation

SQL contain a wide variety of data manipulation capabilities, both for querying and updating the database. However, this chapter will emphasize the features of querying¹ that are related to the discussion in previous chapter. SQL is a relationally complete language. Its statements directly or indirectly contain some basic operators of both relational algebra and relational calculus. However, the "SELECT" statement has no relationship to the "SELECT" operation of relational algebra. SQL allows a relation to have two or more tuples that are identical in their attribute values. To eliminate the duplicate tuples, SQL provides the keyword "DISTINCT" to be used in the SELECT-clause; it means that only distinct tuples should remain in the result. The general syntax to be used for retrieving data in SQL consists of up to six clauses:

1. Query in DBMS is used to describe data retrieval, not update.

SELECT <attribute list>
FROM <relation list>
[WHERE <condition>**]**
[GROUP BY <grouping attribute(s)>**]**
[HAVING <grouping condition>**]**
[ORDER BY <attribute list>**]**

- **SELECT-clause;** <attribute list> is a list of attribute names whose values are to be retrieved by the query.
- **FROM-clause;** <relation list> is a list of the relation names required in the query, but not those needed in nested queries level.
- **WHERE-clause;** <condition> is a conditional (Boolean) expression that identifies the tuples to be retrieved by the query from the relation(s) listed in the FROM-clause.
- **GROUP BY-clause;** <grouping attribute(s)> specifies grouping according to each value of the attribute(s).
- **HAVING-clause;** <grouping condition> specifies a condition on the groups being selected rather than on the individual tuples.
- **ORDER BY-clause;** <attribute list> specifies an order for displaying the result of a query [Elma89].

Notice, if the SELECT-clause and FROM-clause contain more than one attribute name or relation name respectively, they should be separated by commas. All attribute names listed in the SELECT or WHERE clauses must be found in one of the relations of the FROM-clause. The basic form of the SELECT statement sometimes calls a *mapping* or a **SELECT FROM WHERE** block. Which looks like:

SELECT <attribute list>
FROM <relation list>
WHERE <condition>

However, only the first two clauses, SELECT and FROM are mandatory. SQL provides five statistical functions, called built-in functions, which are *COUNT*, *SUM*, *MIN*, *MAX* and *AVG*. These functions examine a set of tuples in a relation and produce a single

value. For example, the *COUNT* function will return the number of tuples satisfying the query. On the other hand, the functions SUM, MAX, MIN, and AVG, usually specified in the SELECT-clause or the HAVING-clause, are applied to a set or multi-set of numeric values and perform the indicated operation on the values.

c. *Logical Operators of SQL*

The logical operators normally used while specifying the query are:

- Comparison operators: =, < >, <, >, <=, >=.
- Boolean connectives: any of the logical connectives *AND*, *OR*, *NOT*.
- *IN* uses in nested queries, the expression evaluates to TRUE if there is included at least a tuple in a sub-query; this operator corresponds to the set operator "is a member of" which is symbolized by " \in ".
- *EXISTS* and *NOT EXISTS* always precede a sub-query. *EXISTS* evaluates to TRUE if the set resulting from a sub-query is not empty, and FALSE otherwise. This operator corresponds to the mathematical existential quantifier " \exists ". The *NOT EXISTS* is the reverse evaluating to TRUE if the resulting set is empty, and FALSE otherwise. This operator corresponds to the "every" quantifier in the condition; the mathematical universal quantifier (" \forall ").
- *LIKE* allows the user to obtain around the fact that matching to each value which is considered atomic and indivisible.

The first two logical operators are normally used in the WHERE-clause. The comparison operators are used to specify the selection conditions desired, and the equality (" $=$ ") operator is used to specify the join condition between the relations. On the other hand, Boolean connectives are used to create compound condition or to negate a condition [Elma89] [Fran88] [Hans92].

d. *The Problems with SQL*

SQL is implemented as a mixture of both relational calculus and relational algebra by including the nesting capability and block structure feature. However, SQL tends more towards the relational calculus approach; it is primarily declarative in nature

rather than a procedural language. The user specifies what the result should be in one statement rather than in a sequence of statements. Date comments: "When the language (SQL) was first designed, it was specifically intended to differ from the relational calculus (and, I believe, from the relational algebra).... As time went by, however, it turned out that certain algebraic and calculus features were necessary after all, and the language grew to accommodate them" [Date87]. As a result, it is a strict implementation of neither relational algebra nor relational calculus.

(1) **Declarative Nature.** As mentioned above, SQL is primarily a declarative query language. As a matter of fact, the user is intended to construct the query based on relational calculus or first-order predicate calculus logic. So, all of the conditions are specified in a single statement. For a simple query, this is straight-forward approach; for more complex query however, the logical expression required to specify the conditions to be met can become quite complicated. This problem is compounded when the complex query involves universal quantification (discussed later). This approach may not always present the clearest representation of the query to the user. From the user point of view, we consider that it's related to human nature to think of a complex problem in a sequential fashion rather than in a declarative fashion of the entire the problem at once.

In addition, ease-of-use issues for database query languages relating to improving the human factors aspect have become evident [Schn78]. Subsequently, human factors studies have been done regarding the declarative versus procedural implementations of query languages. The result of these studies show that, for complex or difficult queries, the users perform correctly more often in specifying queries when using a procedural query language than a declarative language such as SQL [Welt81]. However, the complexity of the declarative nature of SQL is compensated for by embedding SQL queries into a procedural third generation programming language such as PL/I, PASCAL, or COBOL. Here, most embedded query languages give the user the ability to use the query

language in a procedural manner if desired. In this way, the user is allowed to obtain advantage of the features of the host language to accomplish operations that are very difficult to code in the query language.

(2) Universal Quantification. In English query, the idea of universal quantification is phrased "for all". This kind of query is supported indirectly in SQL, which occurs due to the lack of a specific "for all" operator. In the case of the above mentioned, SQL forces the user to use a "*NOT EXIST*" operator as a "*negative logic*" in order to achieve the effect of universal quantification and "*EXIST*" for existential quantification in a nesting SELECT statement. As a matter of fact, the logical meaning of these operations is not completely intuitive, especially to the inexperienced user who is not accustomed to using predicate logic. When using the logical ideas presented by these operators, most individuals (of users) fall into error; it has been shown to be difficult to use them correctly even when the user has experience in this area [Negr89].

The following example is presented to show how SQL expresses the idea of universal quantification in a query; in fact, it is somewhat complicated. If the complexity of queries increases, then the difficulty of specifying or understanding it increases rapidly. Consider the following relation as a subset of a database schema that is presented in Appendix A (key attributes are underlined).

EMPLOYEE (FNAME, MINIT, LNAME, SSN, BDATE,
ADDRESS, SEX,
SALARY, SUPERSSN, DNO)
DEPARTMENT (DNAME, DNUMBER, MGRSSN,
MGRSTARTDATE)
DEPENDENT (ESSN, DEPENDENT_NAME, SEX, BDATE,
RELATIONSHIP)

The English query is: "Retrieve the department names in which all of its employees who have a salary more than \$40,000 also have at least one male dependent". The SQL query is given in Query 2.3.

```
SELECT DNAME
FROM DEPARTMENT
WHERE NOT EXISTS (SELECT *
                   FROM EMPLOYEE
                   WHERE DNUMBER = DNO
                      AND SALARY <= 40000
                      AND EXISTS
                        (SELECT *
                         FROM DEPENDENT
                         WHERE SSN = ESSN
                            AND SEX <> 'M'))
```

Query 2.3: Example of SQL Query

The query implements a NOT EXISTS operator in the WHERE-clause (in the third line) of the query as a negative logic in order to express the universal quantification. The attribute SALARY is compared as "less than or equal to" instead of "greater than" in the "outer" nested query and the attribute SEX is also compared as "not equal" rather than "equal" in the "inner" nested query where the logic of "*there exists*" is used for the dependents. Therefore, a direct English translation of the SQL query above is: "Select the names of departments such that there does not exist any employee whose salary is less than or equal to \$40,000, and there exists at least one dependent that is not "male".

The specification required to form the query above is not straight forward at all; the query formulation involves negative logic that is extremely easy to mix-up, even for the experienced user. In addition, it is difficult to read and know what is actually being specified. So, if it is difficult to understand what the query is going to do, it means the language lacks ease of comprehension and will affect not only query readability but also the ability of the user to specify the correct query.

(3) Lack of Orthogonality. "Orthogonality in a programming language means that there is a relatively small set of primitives that can be combined in a relatively small number of ways to build the control and data structures of the language." [Sebe89] [Date87]. SQL does not provide the user with a simple, clean, and consistent structure. In SQL, there are numerous examples of "arbitrary restrictions, exceptions, and special rules." [Date90b]. An example of an unorthogonal construct in SQL is allowing only a single **DISINCT** keyword in a **SELECT** statement at any level of nesting.

(4) Nesting Construct. SQL permits a nesting structure of the form:

```
SELECT <attribute list>  
FROM <relation list>  
WHERE attribute IN  
      (SELECT .....)
```

This format allows for a block structure type of construct. The original purpose of this nesting construct was to allow the specification of certain types of queries without resorting to the use of relational algebra or relational calculus. According to Codd, the nesting construct is a part of the "psychological mix-up" in SQL. While all queries that are specified using the nesting construct should be directly translatable into queries using an equi-join instead, Codd shows that if allowing for the existence of duplicate rows in tables (as SQL does), one will come up with a different result when performing the equi-join

version of the query than when performing the nested version [Codd90]. For detailed descriptions of SQL problems, see [Clar91] [Wu91].

C. VISUAL-BASED QUERY LANGUAGES

Visual query languages allow the user to visually specify a query on the screen by using special graphical editors. Here, *visual* means not purely textual. This kind of language is also known as a *graphical* language. We can classify these languages into three categories of visual-based query languages. The first category includes those which use a *form-based* representation, the second is based on the entity-relationship² model's [Chen76] representation, and the third includes data flow query languages. In this section we examine QBE as an example of a form-based query language, DFQL as a data flow query language, and the ER model.

1. QBE, a Form-based Query Language

QBE was developed roughly at the same time as SQL during the seventies at IBM's Laboratory Research Center [Zloof77]. Today, both languages are available and supported in the Query Management Facility (QMF)³ offered by IBM. QBE has a user-friendly interface. While specifying the query, the user does not have to specify a structured query or text statement explicitly as in SQL. Instead, the query is formulated by filling/placing "*variables*" in the proper columns in forms of tables (relations) that are displayed on the terminal screen. This means that the user does not have to remember the name of attributes or relations. Since operations are specified in the tabular form of tables, it can be said that QBE has a "*two-dimensional syntax*" [Date82] [Elma89]. In addition, in QBE

2. Entity-relationship Model is introduced by Chen, P. in 1976 as a pictorial conceptual design methodology for the relation model.

3. The dialect of QBE supported in QMF is slightly different from that proposed by Zloof, the original designer of QBE [Zloof77], because QMF implements QBE by first translating it to SQL [Date90]. QMF is a separate product from DB2 and acts as a query language and report writer [Elma89].

there are no rigid syntax rules that should be followed by the user while specifying the query specification. Instead, the user enters the "variables" as "*constant*" and "*example*" values in the proper columns of the forms to construct an "*example*" of the data for the retrieval or update query. Like in SQL, this part also emphasizes data retrieval queries. QBE is related to the domain relational calculus, and its original specification has been shown to be relationally complete [Elma89].

a. Data Retrieval

As mentioned above, in order to specify the query for data retrieval, the user should enter "*example*" or "*constant*" values into the proper columns in the form of tables (relations). In QBE, the entering of "*example*" values, usually preceded by "" (underscore) character, means the example value does not have to match specific values of tuples in the database, so it really represents the "free domain variable". On the other hand, "constant" values must be matched by corresponding tuple values in the database. If the user is interested in particular tuple values, the user types the prefix "P." in that particular column (attribute). "P." is used to retrieve a desired attribute value from a tuple which satisfies the query, "P" standing for "*print*".

EMPLOYEE	FNAME	MINIT	LNAME	SSN	BDATE	ADDRESS	SEX	SALARY	SUPERSNN	DNO
	P.		P.					P.UNQ.		<u>Dx</u>

PROJECT	PNAME	PNUMBER	PLOCATION	DNUM
	Computerization		P.	<u>Dx</u>

Query 2.4: Example of QBE Query

Similar to SQL, QBE also allows relations to have duplicate tuples. To eliminate the duplicate tuples in the result of a query, QBE uses the prefix "UNQ." which

means keep only unique tuples in a query result. See the query example in Query 2.4. The English translation of the query is: "Retrieve the first name, last name, and distinct salary of employees who works in projects "computerization".

From the example QBE query, it can be determined that:

- "Dx" is an "example" value to join the two tables by using "Dno" as a *foreign key*
- "Computerization" is an actual "constant" value. In other words, the selection condition using the equality (=) comparison is specified by entering directly a constant value under a proper column.
- "P" means to retrieve the attribute value for tuples satisfying the query.

b. Built-in functions, Grouping and other Operators

Like SQL, QBE is also equipped with built-functions, such as CNT. (for count), SUM., MAX., MIN., and AVG. However, in QBE the functions SUM., CNT., and AVG. are applied to "*distinct*" values. If the user wants these function to apply to all values desired, it should be entered by using the prefix "*ALL*"⁴. QBE provides a "G." operator as a grouping aggregate function. It is analogous to the SQL GROUP BY-clause, and the "condition box" in QBE is used in the same manner as the HAVING-clause in SQL. QBE also uses the same comparison operators as SQL except equality (=). Therefore, the user explicitly enters the >, ≥, <, ≤ before typing a constant value. QBE also has a negation symbol (¬), which is used in a manner similar to the *NOT EXISTS* in SQL, but the same effect can also be obtained by using the "≠" operator. In addition, QBE also has prefixes "AO." (for ascending order), and "DO." (for descending order), in order to get an ordered list of tuples.

4. In QBE under QMF "*ALL*" is unrelated to the universal quantifier [Elma89].

c. The Problems with QBE

As mentioned above, QBE is very intuitive, even for novice users. It allows the relatively inexperienced users to get started in specifying simple queries, even though they have no prior knowledge of programming languages. Unfortunately, it becomes less and less useful as the complexity of the queries increases and has problems with more complex queries [Ozso93].

The expression of universal quantification in QBE as originally proposed by Zloof [Zloof77] did include support for "*NOT EXISTS*", but it was difficult and always somewhat troublesome [Date90a]. However, today's QBE that has been released as a commercial product cannot implement universal quantification. In fact, the QBE that we discuss here (QBE under IBM's QMF) provides no support for universal or existential quantification of the form of " \forall " or " \exists ". Thus, queries which involve universal quantification cannot be specified [Date90a] [Elma89] [Ozso89]. Therefore, it is not *relationally complete*.

2. DataFlow Query Language (DFQL)

DFQL is a visual/graphical interface to relational algebra based on the dataflow paradigm. It retains all the capabilities of current query languages and is provided with an easy to use facility which extends the query language. This extension allows the users to create new operators from existing primitive or user-defined operators. DFQL includes aggregate functions in addition to the operators of relationally complete query language. It has the power of expression beyond the benchmark of first order predicate calculus by providing the user with the capabilities to specify universal and existential quantification. Queries are specified by the user connecting the desired DFQL operators graphically on the computer screen. The arguments for the operator flow from the bottom or "output node" of the operator to the top or "input node" of the next operator.

a. DFQL Operators

All DFQL operators have the same basic appearance to enhance the orthogonality⁵ of the language. In Figure 2.2. is a sample operator (with no name). It is made up of three types of components; the *input nodes*, the *body*, and the *output node*.

In DFQL, the functional paradigm is fully supported by the DFQL notation. The input to each operator, or function, arrives at the input nodes of the operator and the result leaves from the output node. Therefore, all of the operators of DFQL implement operational closure. This means that the inputs to the operators are relations and associated textual instructions, and the output from each operator is always a relation.

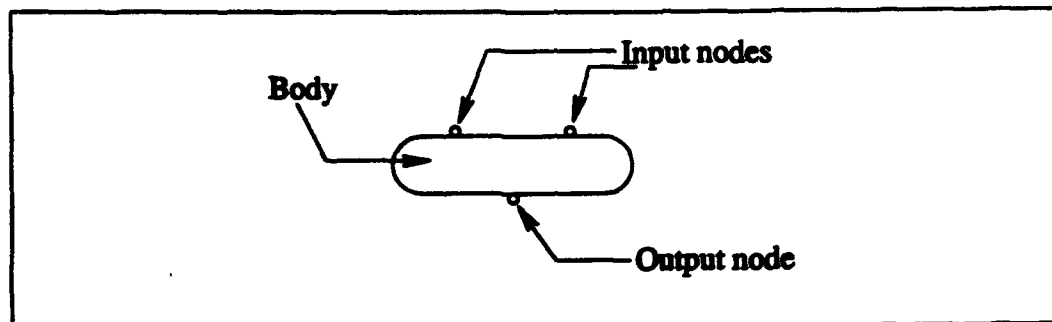


Figure 2.2: Operator Construction

In fact, DFQL operators can be grouped into two basic categories: *primitive* and *user-defined* operators. Each *primitive* has a one-to-one correspondence with an actual method in the implementation language of the interpreter. *User-defined* operators are created from *primitive* operators and possibly other *user-defined* operators which have been previously created. Next, *primitive* operators can be broken down into *basic*, other *primitives*, and *display* operators.

5. Orthogonality in a programming language means there is a relatively small set of primitives that can be combined in a relatively small number of ways to build the control and data structures of the language [Sabe89].

(1) **Basic Operators.** DFQL provides six *basic* operators derived from the requirement for *relational completeness* and also the requirement to provide a form of grouping or aggregation. Thus, DFQL has the expressive power of first-order predicate calculus. To be relationally complete, at least five relational operators must be implemented, namely *select*, *project*, *union*, *join*, and *difference*. See Table 2.1, which illustrates the *basic* DFQL operators and their corresponding translation in SQL.

TABLE 2.1: BASIC DFQL OPERATORS AND THEIR SQL EQUIVALENTS

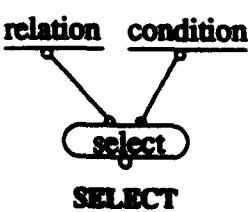
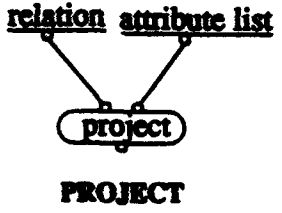
SQL	Description	SQL Equivalent
	<p>Implements the relational algebra <i>selection</i> operator. The algebraic notation is:</p> $\sigma_{\langle condition \rangle}(\langle relation \rangle).$ <p>It retrieves tuples from the relation which fits the specified condition. There are no duplicate tuples in the result.</p>	<p>SELECT DISTINCT * FROM relation WHERE condition</p>
	<p>Implements the relational algebra <i>projection</i> operator. The algebraic notation is:</p> $\pi_{\langle attribute list \rangle}(\langle relation \rangle).$ <p>The attributes list, separated by commas contains the names of attributes to be retrieved from the relation. The <i>project</i> operator eliminates duplicate tuples from the result.</p>	<p>SELECT DISTINCT attribute list FROM relation</p>

TABLE 2.1: (Continued).

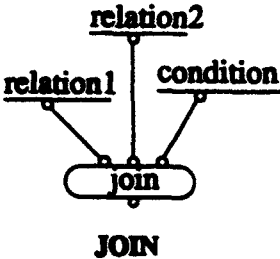
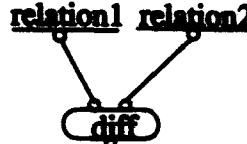
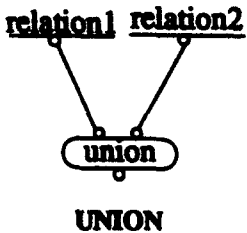
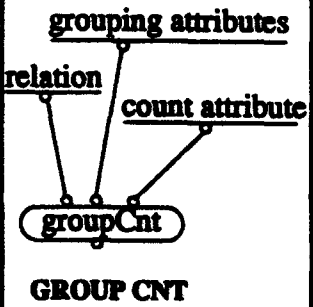
DFQL	Description	SQL Equivalent
 <p style="text-align: center;">JOIN</p>	<p>Implements the relational algebra <i>theta-join</i> operator. The algebraic notation is: $\langle \text{relation1} \rangle \bowtie_{\langle \text{condition} \rangle} \langle \text{relation2} \rangle$. The tuples satisfying the condition are a subset of the tuples of the cartesian product. If there is no condition input, the <i>join</i> operator is "cartesian product". If both relations have the same name for an attribute which must be used in the condition, use left to right order of relations coming into the operator (e.g. $r1.ssn = r2.ssn$), where <i>ssn</i> and <i>essn</i> are primary keys or foreign keys of <i>relation1</i> and <i>relation2</i> respectively.</p>	<p>SELECT DISTINCT * FROM <i>relation1</i> <i>r1</i>, <i>relation2</i> <i>r2</i> WHERE <i>condition</i></p>
 <p style="text-align: center;">DIFFERENCE</p>	<p>Implements the relational algebra, <i>difference</i> operation. The algebraic notation is: $\langle \text{relation1} \rangle - \langle \text{relation2} \rangle$. Relational difference returns as a result a relation that contains all the tuples that occur in $\langle \text{relation1} \rangle$ but not in $\langle \text{relation2} \rangle$. <i>diff</i> requires that both relations be union compatible.</p>	<p>SELECT DISTINCT * FROM <i>relation1</i> MINUS SELECT DISTINCT * FROM <i>relation2</i></p>

TABLE 2.1: (Continued).

DFQL	Description	SQL Equivalent
	<p>Implements the relational algebra <i>union</i> operation. The algebraic notation is: $\langle \text{relation 1} \rangle \cup \langle \text{relation 2} \rangle$.</p> <p>This operator takes all the tuples from both relations and combines them, duplicate tuples being eliminated. <i>Union</i> requires both relations to be union compatible. This restriction is necessary since <i>union</i> does not create additional columns for the output relation.</p>	<pre>SELECT DISTINCT * FROM relational1 UNION SELECT DISTINCT * FROM relational2</pre>
	<p><i>GroupCnt</i> (a short hand for group count) is defined as a basic operator in order to provide the user with some simple aggregation capabilities. It provides the user a means to formulate queries that involve universal quantification. <i>GroupCnt</i> requires a relation, a list of grouping attributes, and an alias name for the result. Grouping attributes can be more than one attribute, separated by commas. The count result is an integer which gives the total number of tuples in that grouping.</p>	<pre>SELECT DISTINCT grouping attributes, COUNT(*) count attr. FROM relation GROUP BY grouping attributes</pre>

(2) Other Primitives Operators. DFQL provides several other primitive operators to perform special operations on relations. Most of these additional primitives perform operations at such a low level that the user would not be able to specify them as a *user-defined* operator. However, all of these additional operators could also be specified as user-defined operators as well. To illustrate, see Table 2.2. which lists these other primitive operators and their corresponding translation into SQL.

TABLE 2.2: NON-BASIC DFQL OPERATORS AND THEIR SQL EQUIVALENTS

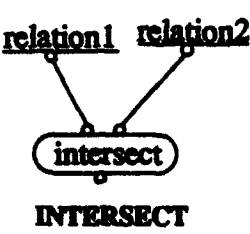
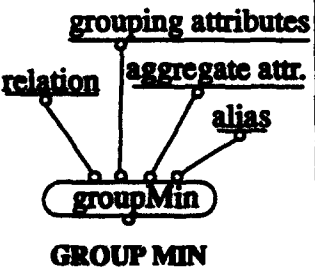
SQL	Description	SQL Equivalent
	<p>Implements relational algebra <i>intersection</i> operation. The algebraic notation is: $\langle \text{relation1} \rangle \cap \langle \text{relation2} \rangle$.</p> <p>It returns the tuples which exist in both relations, as a result out relation. <i>Intersect</i> requires both relations to be union compatible. The implementation of <i>intersect</i> is identical to <i>union</i> and <i>diff</i> operators.</p>	<p>SELECT DISTINCT * FROM relation1 INTERSECT SELECT DISTINCT * FROM relation2</p>
	<p>Finds the minimum value of the specified attribute in separated sections according to the grouping attributes. It gives the grouping attributes and produces the minimum values of each group in a column named with the given alias name as a result of relation.</p>	<p>SELECT DISTINCT grouping attributes, MIN (aggr. attr) FROM relation GROUP BY grouping attributes</p>

TABLE 2.2: (Continued).

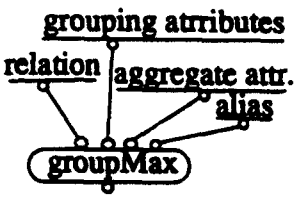
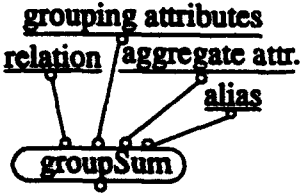
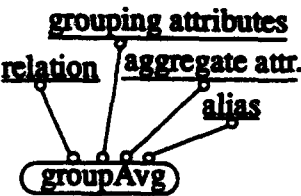
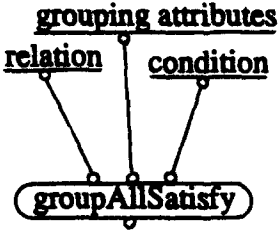
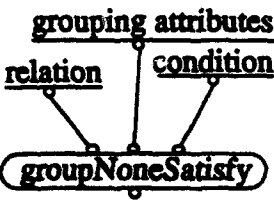
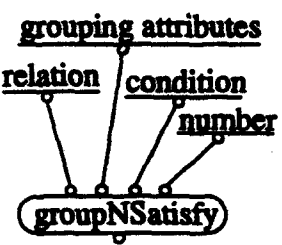
DFQL	Description	SQL Equivalent
 <p>GROUP MAX</p>	<p>Similar to <i>groupMin</i> except it finds the maximum value of the aggregate attributes according to the grouping attribute.</p>	<p>SELECT DISTINCT grouping attributes, MAX(aggr. attr.) FROM relation GROUP BY group attr.</p>
 <p>GROUP SUM</p>	<p>Similar to the previous operator, except it finds the total value of all the aggregate attribute's values according to the grouping attributes.</p>	<p>SELECT DISTINCT grouping attributes, SUM (aggr. attr.) FROM relation GROUP BY grouping attributes.</p>
 <p>GROUP AVG</p>	<p>As previous operators, except it finds the average value of the aggregate attributes according to the grouping attributes.</p>	<p>SELECT DISTINCT grouping attributes, AVG (aggr. attr.) FROM relation GROUP BY grouping attributes.</p>

TABLE 2.2: (Continued).

DFQL	Description	SQL Equivalent
 <p>GROUP ALL SATISFY</p>	<p>It is a simple way of introducing universal quantification. It takes a relation and splits the tuples according to the grouping attribute list and then checks all tuples in individual groups according to the condition specified. If all the tuples satisfy the condition then an output tuple value is generated consisting of the grouping attribute list. So, it means that this group satisfies the condition in all their tuples.</p>	<p>It can be translated into a sequence of SQL statements.</p>
 <p>GROUP NONE SATISFY</p>	<p>This operator is the opposite of <i>groupAllSatisfy</i> operator. It gives the grouping attributes only if none of the tuples satisfies the condition.</p>	<p>It can be translated into a sequence of SQL statements.</p>
 <p>GROUP N SATISFY</p>	<p>It is closely related to <i>groupAllSatisfy</i>. The only difference is that <i>groupNSatisfy</i> takes an extra input which allows the user to specify exactly how many of the tuples in the group need to satisfy the given condition in order for that group to be included in the resulting relation. So, the fourth argument (<i>number</i>), must consist of one of the operators (<, >, = <=, >=, !=) and a number.</p>	<p>It can be translated into a sequence of SQL statements.</p>

(3) **Display Operators.** The display operators are provided to allow the user to print the contents of a relation on the computer screen. The most common usage is to print out the final result of a query. There are two *display* operators:

- **display.** It takes as inputs a relation and a text string to be used as a title. The title makes it easy to differentiate between printed results when more than one display operator is used in a query.
- **sdisplay.** It is used to produce a sorted printout of a relation. Each attribute in the list may be followed by "ASC" (ascending) or "DESC" (descending).

(4) **User-defined Operators.** These kinds of operators give the flexibility to the user to define his/her own style of operator and extend the capability of the language according to his/her desires. With user-defined operators, the user can construct his own operators that look and behave exactly like the primitive operators provided in DFQL. The user can create operators for situations that are unique to his query needs. This kind of flexibility is gained without a loss of the power of orthogonality, since user-defined operators are constructed by combining the available primitives with previously defined user operators as well.

(5) **DFQL Query Construction.** General ideas behind DFQL construction have been implicitly discussed. Query constructions will be presented in Chapter III. All DFQL queries exist as data flow programs in which text objects and operators are connected to each other by lines called data flow paths and all of the information traverse these paths during execution. DFQL objects, except operations, do not have any input nodes and can be executed anytime. They pass the relation object, attribute list, or condition in order to be used by an operator. As soon as all the input nodes have the information, the operator can be executed and produces a relation at its output node. Since a DFQL query does not permit iteration and recursion, however, execution of the query can be visualized

as flowing from the top the diagram to the bottom. There is no restriction on how operators are placed on the screen; top-down placement is recommended for readability.

(6) **Incremental Queries.** This is the most important feature provided by DFQL. It allows the user to specify or create his/her queries incrementally. In other words, the user can formulate one portion of the query, and then check the Results (returns back if needed), and continue to build/create other portions of the query one by one. This capability gives more flexibility to the user during his/her work, especially when creating a complex query. It helps the user prevent losing track of what he/she is doing and provides intermediate results to help in query construction. Specifically, this feature can be divided into two sections, namely *incremental construction* and *incremental execution*.

- **Incremental Construction.** This provides the user with the capability to specify/create the query part by part, which is increasingly helpful as the complexity of the query increases.
- **Incremental Execution.** This feature is helpful during the debugging of complex queries. If a *complete* query does not produce a desired result, it allows the user to check level by level in order to find the erroneous part and fix it. Therefore, the user can see the intermediate result at any level by executing the query incrementally.

(7) **Universal Quantification.** The problem of expressing universal quantification in existing query languages has been discussed in previous section. DFQL provides a unique solution to this problem, by implementing simple counting logic to achieve the result that fulfill the requirements of universal quantification. The basic idea employed is that if all tuples in a relation or a group must satisfy some criteria, the number of tuples that meet the criteria are counted and then compared to the total number of tuples under consideration. If these two numbers are equal, then the universal quantifier has been satisfied. In DFQL, the operators that can implement universal quantification are: *groupAllSatisfy*, *groupNoneSatisfy*, and *groupNSatisfy* operators. However, the users can

achieve universal quantification as well by building their own quantifications as a user-defined operator using the primitive operators.

(8) Nesting and Functional Notation. The nesting feature of SQL exists naturally in DFQL. As discussed before, one by one execution of operators to supply input data to other operator is like block structured execution in SQL from the "inside" to the "outside" of nesting queries. The lack of specific nesting structures in DFQL improves the readability and orthogonality of the language. The use of functional notation for all of the DFQL operators greatly enhances orthogonality. Relational operational closure is implemented by the functional paradigm. The use of operators that may take more than one input but produce only one output allows for their easy combination into user-defined operators as discussed before.

(9) Graphical Structure of DFQL Query. DFQL's visual representation of the query is a data flow graph consisting of DFQL objects which are connected together by lines of data flow paths. As such, the graphical structure represents the relational algebra structure for execution of the query. By using a graphical relational algebra approach to query formulation, it provides a much more consistent and straight forward interface to the databases.

3. Entity-Relationship Model Interface

The *Entity-Relationship (ER)* model was introduced in [Chen76]. The ER model has been used extensively as a high-level conceptual data model. The main idea behind this model is to illustrate the concepts of entity types and relationships between entity types in a graphical way in order to enhance understanding of the structure desired for a database. An example of visual representation of the ER model is shown in Figure 2.3.

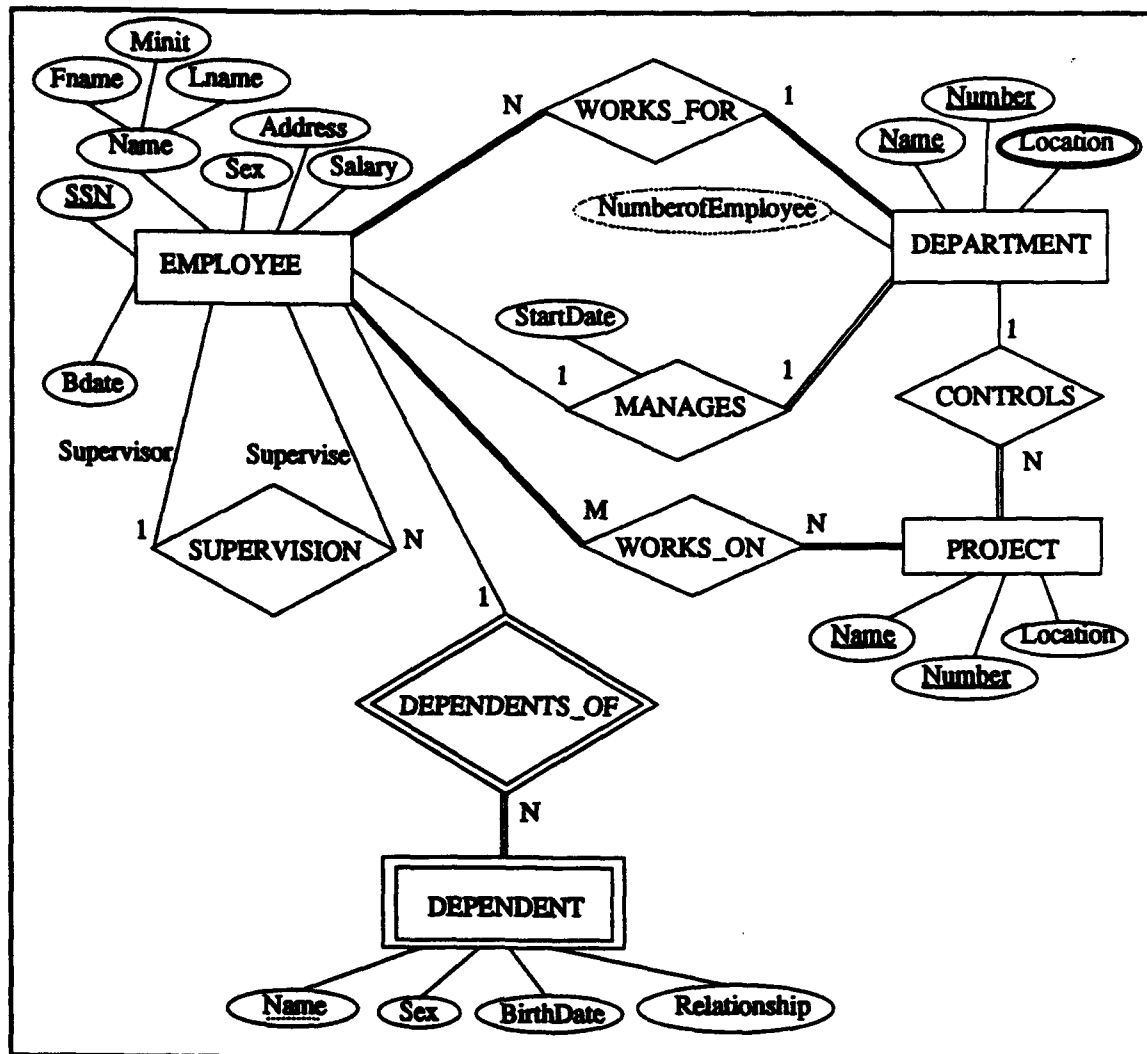


Figure 2.3: ER schema diagram of the COMPANY database [Elma89]

From the ER diagram we can illustrate that:

- The *entity* types such as EMPLOYEE, DEPARTMENT, and PROJECT are represented as *rectangular* boxes.
- *Relationship* types such as WORKS_FOR, MANAGES, CONTROLS, and WORKS_ON are represented as *diamond-shape* boxes that are attached to the participating entity types with straight lines.
- Both entity types and relationship types have attributes which are represented by the *oval* circles where each attribute is attached to its entity type or relationship type by a straight line.

- "Name" is an attribute of EMPLOYEE and has *composite* attributes such as Fname, Minit, and Lname.
- Location in *double ovals* represents multivalued attributes, and *dotted ovals* represent *derived* attributes.
- *Key* attributes have their names *underlined*
- Double rectangles represent a *weak entity*, where the weak entity means an entity type which may not have any key attributes, and the *double diamond* as the identifying relationship.
- The partial key of the weak entity type is underlined with dotted line.
- The participation constraint is specified by a single line for partial participation, with the cardinality ratio is attached; a double line illustrates total participation. For example, the participation of EMPLOYEE in WORKS_FOR is total (every employee must work for a department), while the participation of EMPLOYEE in MANAGES is partial (not every employee manages a department). [Elma89]

The idea of using the ER diagram as a query language is to let the user not worry about the particular *join* conditions between entity types, however, it tends to force the user to rely on the specified relationships. These *relationships* are all displayed to the user. This can be a benefit to a novice user, who does not really understand how the data in the database fits together; but it seems somewhat fatal, to write queries which depend on relationships that the user may not fully understand. The ability to use a relationship without knowing how it is actually set up increases the chance of syntactically correct queries that will produce the wrong result. The ER model as mentioned above does not affect our next discussion. It is presented in order to illustrate features of another visual-based query language that are also available for RDBMS's.

III. THE COMPARISON OF SQL, QBE AND DFQL WITH RESPECT TO DATA RETRIEVAL CAPABILITIES

First of all, we consider that the notion of a query language as a high level language means it is intended to be used by a non-programmer or a user without specialized training. However, as mentioned in two previous chapters, the user faces some difficulties in specifying correct queries, especially as they relate to universal quantification and nesting in SQL, and universal quantification in QBE. Then, we attempt to observe how DFQL overcomes the problems that are encountered by SQL and QBE.

This chapter focuses on the comparison of SQL, QBE, and DFQL. In order to accomplish the comparison of these three languages, numerous queries are composed by category, in which each language is specified and compared. Some of the queries are stand-alone, but some others specified are logical extensions (or the complexity is increased) from one query to the next. Such extension types of queries are chosen to analyze the query language's ease-of-use, flexibility, and consistency in formulating logically related queries with respect to data retrieval for RDBMS's. Consider the following, brief explanation:

- *Ease-of-use* particularly emphasizes how easy the query language is to learn and express queries in.
- *Flexibility* means more than one way of expressing a single query.
- *Consistency* means similar thinking in a mental model can be expressed in a similar structure in the language.

All the representative set of queries presented are matched to the tables of a relational database instance of the COMPANY schema which are provided in Appendix A. Some of the queries are related to queries that are presented in [Elma89]. Based on the above, this chapter is divided into two sections: first the categories of the queries, and second is the analysis of the strengths and weaknesses of the comparison of all three languages.

A. CATEGORIES OF QUERY

In order to compare these three languages, numerous queries are composed by category. The queries are divided into four categories: *single-value*, *set-value*, *statistical result*, and *set-count value*. In each category SQL, QBE, and DFQL are specified and compared.

1. Single-Value

In this category the user (end user) attempts to obtain a proper relation of a relation (table), based on a single-value expression. As a result of the single value expression in the queries, the user can expect to obtain a table, a single column, a single row, or a single scalar value. These correspond to a constant value of table-expression, column-expression, row-expression and scalar-expression, respectively, in a relation. A scalar-expression is a special case of a row-expression and a special case of a column-expression [Date83]. The *null* value in this case is also presented as single value (see Chapter II.A).

In this category, the operators such as "=", "<", "<=", ">", ">=", and "like", are generally used in the relation-operation, but we can also perform the standard arithmetic operators "+", "-", "*", and "/". In addition, if we are concerned with a single scalar value, a set of special aggregate functions such as *COUNT*, *SUM*, *AVG*, *MIN* and *MAX* can also be applied. In this research these aggregate functions fall under the *statistical-result* category. Consider the following queries:

a. Query 1: Simple retrieval

List the salary of every employee.

(1) SQL

```
SELECT SALARY      ■ SELECT SALARY
FROM EMPLOYEE      FROM EMPLOYEE
                    WHERE TRUE = TRUE
```

Since in the WHERE-clause we can specify $TRUE = TRUE$, the above query can be considered single value. It yields a single column to be a new relation. If there are multiple employees with the same salary, that salary will be displayed multiple times as redundant duplicate tuples in the result of the query. If we are concerned with distinct values, SQL allows us to use the keyword **DISTINCT** in the SELECT-clause:

```
SELECT DISTINCT SALARY
FROM EMPLOYEE
```

The results of these two alternative queries are:

Without keyword **DISTINCT**

SALARY
30000
40000
25000
43000
38000
25000
25000
55000

With keyword **DISTINCT**

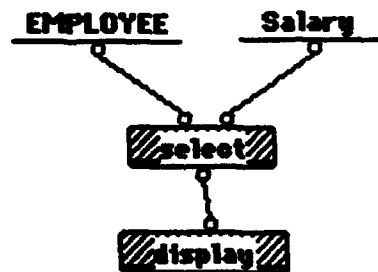
SALARY
30000
40000
25000
43000
38000
55000

(2) QBE

EMPLOYEE	FNAME	MINIT	LNAME	<u>SSN</u>	BDATE	ADDRESS	SEX	SALARY	SUPERSSN
								P._Sx	

Since we are interested in retrieving the SALARY values, in QBE "P._Sx" is placed in the column of the SALARY attribute. As discussed in Chapter II, the prefix "P" is used to indicate that the values of the SALARY column are to be retrieved. General speaking, QBE allows the user just to specify "P." instead of "P._Sx". In other words, QBE retrieves the same thing. This seems very simple to specify. However, in some cases QBE also allows redundant duplicate tuples to exist in the result. In order to avoid redundant tuples, the prefix "UNQ." is needed as an operator since it keeps only unique tuples in a query result. Therefore, if we are concerned with distinct values, the "P._Sx" from the above query can be replaced by "P. UNQ._Sx". The results are the same as for the SQL query above.

(3) DFQL



The attribute list Salary is to be retrieved from the EMPLOYEE relation. The result of the projection is displayed on the screen by *display* operator. The

result is a proper relation which contains only the values from the column of the specified attribute Salary. Here, the *project* operator eliminates the redundant duplicate tuples of the attribute. The result is the same as the SQL query using the DISTINCT operator in "a.(1)" above.

b. Query 2: Qualified retrieval

List all employees whose salary is more than \$50,000.

(1) SQL

```
SELECT *
FROM EMPLOYEE
WHERE SALARY >50000
```

The asterisk (*) in the SELECT-clause is shorthand for retrieving all the attribute values, in order, of tuples satisfying the query. The tuple selected must satisfy the condition "SALARY >50000". Since the query is asking for the list of all employees who fulfil the condition, the asterisk character should be used in SELECT-clause. The SELECT-clause retrieves all the employee attributes of tuples from the EMPLOYEE relation that satisfy the condition specified. There are no redundant tuples in the result.

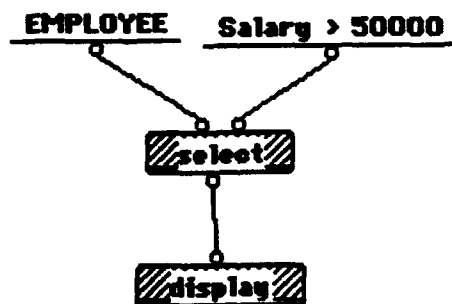
(2) QBE

EMPLOYEE	FNAME	MINIT	LNAME	<u>SSN</u>	BDATE	ADDRESS	SEX	SALARY	SUPERSSN	DNO
P.								>50000		

The ">50000" is specified in order to get the tuples that satisfy the condition "> 50000", where "50000" is as an actual constant value. Placing the "P." below the relation name means to retrieve all the attribute values of tuples of the relation which

match the condition specified. Since the key attribute is included in all tuples returned, there are no duplicate tuples in the result.

(3) DFQL



By using the *select* operator, the query retrieves tuples from the **EMPLOYEE** relation which meet the specific condition **Salary > 50000**. There is no alteration in the structure of the relation, so there are no redundant tuples in the query result.

The result of the Query 2 is:

EMPLOYEE	FNAME	MINT	LNAME	<u>SSN</u>	BDATE	ADDRESS	SEX	SALARY	SUPERSSN	DNO
	James	E	Borg	88866555	10-Nov-27	450 Stone, Houston, TX	M	55000	null	1

c. Query 3: Retrieval involves more than two tables

For every project located in Houston, list the project name, the controlling department number, and department manager's last name, ssn, and sex.

(1) SQL

```
SELECT PNAME, DNUM, LNAME, SSN, SEX
FROM   EMPLOYEE, DEPARTMENT, PROJECT
WHERE  MGRSSN = SSN AND DNUM = DNUMBER
      AND PLOCATION = 'Houston'
```

This query is *select-project-join* with two join conditions. The join condition is specified according to the key and the foreign key of the relations. Here we specify DNUM = DNUMBER as the join condition regarding the controlling department of a project, while the MGRSSN = SSN joins the controlling department to the employee who manages the department. PLOCATION = 'Houston' specifically specifies projects that are located in Houston.

(2) QBE

EMPLOYEE	FNAME	MINIT	LNAME	<u>SSN</u>	BDATE	ADDRESS	SEX	SALARY	SUPERSSN	DNO
			P.	P_Sx			P.			

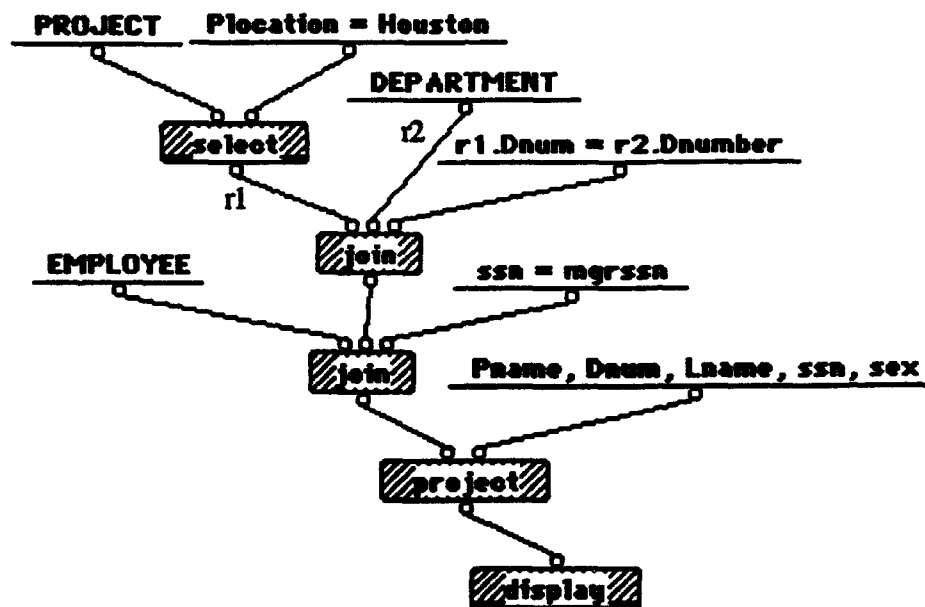
DEPARTMENT	DNAME	<u>DNUMBER</u>	MGRSSN	MGRSTARTDATE
		_Dx	_Sx	

PROJECT	PNAME	<u>PNUMBER</u>	PLOCATION	DNUM
	P.		Houston	P_Dx

In this query an example variable “_Sx” is used to join relations EMPLOYEE and the DEPARTMENT at the key and foreign key. “_Dx” is used to relate

the key and foreign key of the joined relations DEPARTMENT and PROJECT. "P." is used to retrieve the attribute values of joined tuples that fulfil the condition PROJECT.PLOCATION = "Houston".

(3) DFQL



The *select* operator will select the projects that are located in Houston from the PROJECT relation. The result at the *select* operator output retains all the attributes of each selected project tuple, assuming it is as a new relation r1 (a subset of PROJECT relation). The r1 is joined with the DEPARTMENT relation by employing the join operator with the *equi-join* condition $r1.Dnum = r2.Dnumber$ in order to get the controlling department. The result is used by the next *join* operator, with the *equi-join* condition $mgrssn = ssn$ relating the employee who manages the department. Each *join* operator produces a cartesian product of all the possible tuples of both incoming relations based on the join condition. This result is then used by the following operator. Finally the *project* operator produces the desired relation result with values from the attribute list.

The result of Query 3 is:

PNAME	DNUM	LNAME	SSN	SEX
ProductZ	5	Wong	333445555	M
Reorganization	1	Borg	888665555	M

d. Query 4: Retrieval involving universal quantification

Retrieve the department number where all of its employees have salaries of more than \$40,000.

(1) SQL

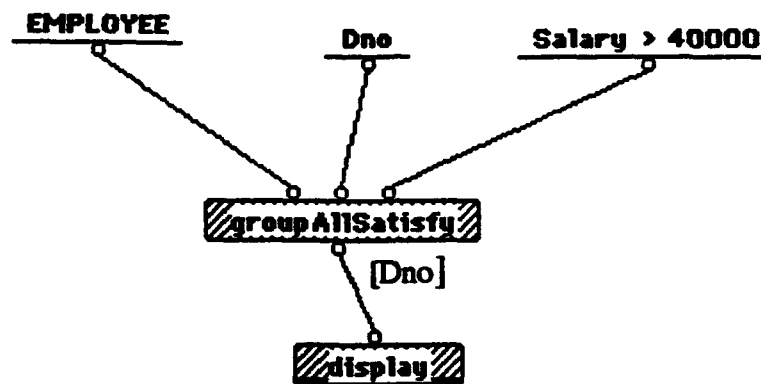
```
SELECT DNO
FROM EMPLOYEE E
WHERE NOT EXISTS
    SELECT *
    FROM EMPLOYEE E1
    WHERE E.DNO = E1.DNO
    AND SALARY ≤ 40000)
```

This query involves one nested query which selects all the EMPLOYEE tuples related to an EMPLOYEE relation itself. SQL in this case implements a NOT EXISTS operator in order to express universal quantifier in the WHERE-clause by use of a negative logic. The nested query checks all the EMPLOYEE (E1) tuples according to the condition specified, such that none of the employee tuples satisfies the condition, then the EMPLOYEE (E) tuple is selected. If we rephrase the query, it becomes “retrieve the department number if there does not exist any employee with the department number who has a salary less than \$40,000”. Notice the use of “E” and “E1” as aliases for the EMPLOYEE relation. In this case “E” and “E1” represent two different copies of

copies of EMPLOYEE relations. Each DNO will be duplicated if the department has more than one employee. This can be avoided by using DISTINCT.

(2) QBE. As discussed in Chapter II, QBE lacks the existential and universal quantification expressions. Therefore this kind of query cannot be specified.

(3) DFQL



As discussed in chapter II, DFQL provides the user some *group aggregate* functions that can be used to express the query that contains universal quantification. One possibility is specified just by employing the *groupAllSatisfy*. It takes the EMPLOYEE relation and checks all the tuples in each group of department number "Dno" that satisfies the condition Salary > 40000.

The result of Query 4 is: none

e. Query 5: Retrieval involving a negation statement

For each department retrieve the first names and the last names of employees who have no dependents.

(1) SQL

```
SELECT DNO FNAME, LNAME
FROM EMPLOYEE
WHERE NOT EXISTS (SELECT *
                  FROM DEPENDENT
                  WHERE SSN = ESSN)

GROUP BY DNO
```

The nested query retrieves all DEPENDENT tuples related to the EMPLOYEE tuple. As in Query 4, this query also uses the NOT EXISTS operator. The nested query checks all the DEPENDENT tuples to see if the ESSN is the same as the SSN of the current EMPLOYEE tuple. If none match the nested query returns an empty relation since there are no dependents associated with the current employee. Therefore, the desired attributes of the tuple are selected.

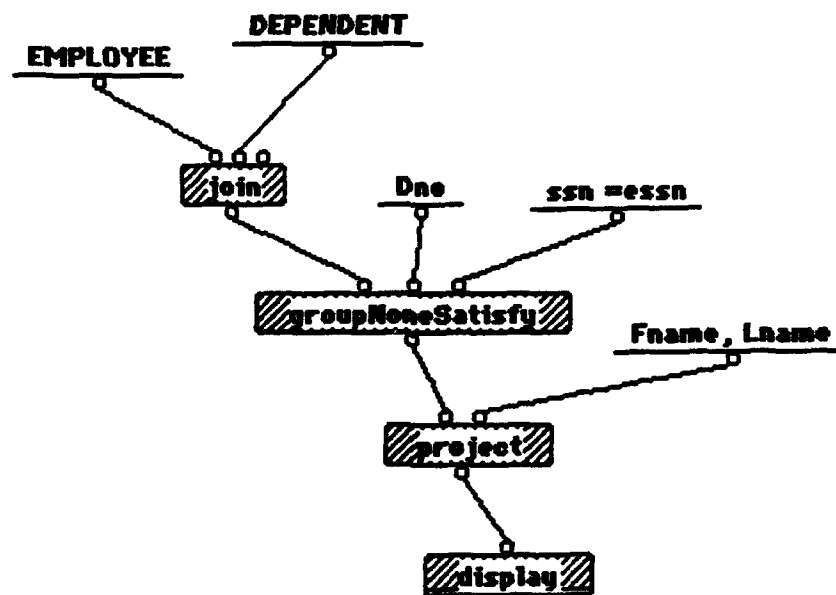
(2) QBE

EMPLOYEE	FNAME	MINIT	LNAME	SSN	BDATE	ADDRESS	SEX	SALARY	SUPERSSN	DNO
	P.		P.	_Sx						G.

DEPENDENT	ESSN	DEPENDENT NAME	SEX	BDATE	RELATIONSHIP
-	_Sx				

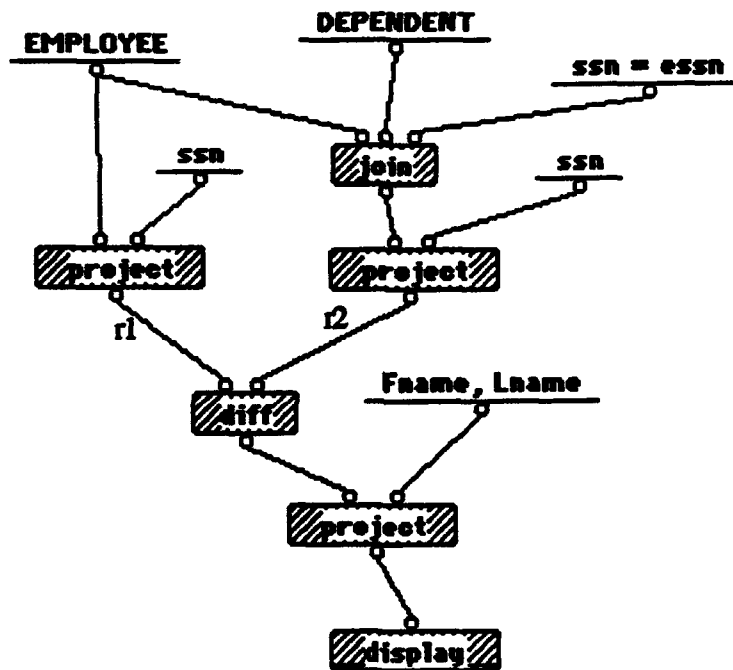
By looking at this query, we notice that QBE has a negation symbol (\neg). In this case the negation symbol " \neg " is used in a way similar to the NOT EXIST function of SQL. It will join tuples of relations EMPLOYEE and DEPENDENT if their values of " $_Sx$ " do not match each other. However, the query can also be specified by placing a " \neq_Sx " in the ESSN column, producing the same result [Elma89].

(3) DFQL



DFQL provides the *groupNoneSatisfy* operator which can be used to specify this kind of query. First, we join both relations EMPLOYEE and DEPARTMENT, which results in the cartesian product as an input to the *groupNoneSatisfy* operator. The *groupNoneSatisfy* takes the tuples according to the grouping attribute *essn* and checks to see if none of the tuples satisfies the condition *ssn = essn*. If so, the *project* operator will project the first name and last name of the employee.

In DFQL this query can also be specified by using the *diff* operator. In the following query, the inputs to the *diff* operator are the results of two *project* operators, say left and right side. The left side result holds the ssn all of the employee in r1, while the right side holds the ssn of employees who have dependents in result r2. The *diff* operator checks these two relations r1 and r2, and returns any ssn(s) which do not appear in both r1 and r2 as the result, i.e., the ssn of employees who do not have dependents.



The result of Query 5 is:

Fname	Lname
Alicia	Zelaya
Ramesh	Narayan
Joyce	English
Ahmad	Jabbar
Jamesh	Borg

2. Set-Value

In this category the user (end user) tries to obtain a proper relation from one or more relation based on the set-value-expression that correspond to a constant-set of query specifications. In this category, the set operations such as *union*, *difference (minus)*, and *intersection* can also be applied. Consider the following queries:

a. Query 6: Retrieval involving existential and universal quantification

Retrieve the department names, first names, and last names where all of its employees have salaries of more than \$40,000 and have no dependents.

(1) SQL

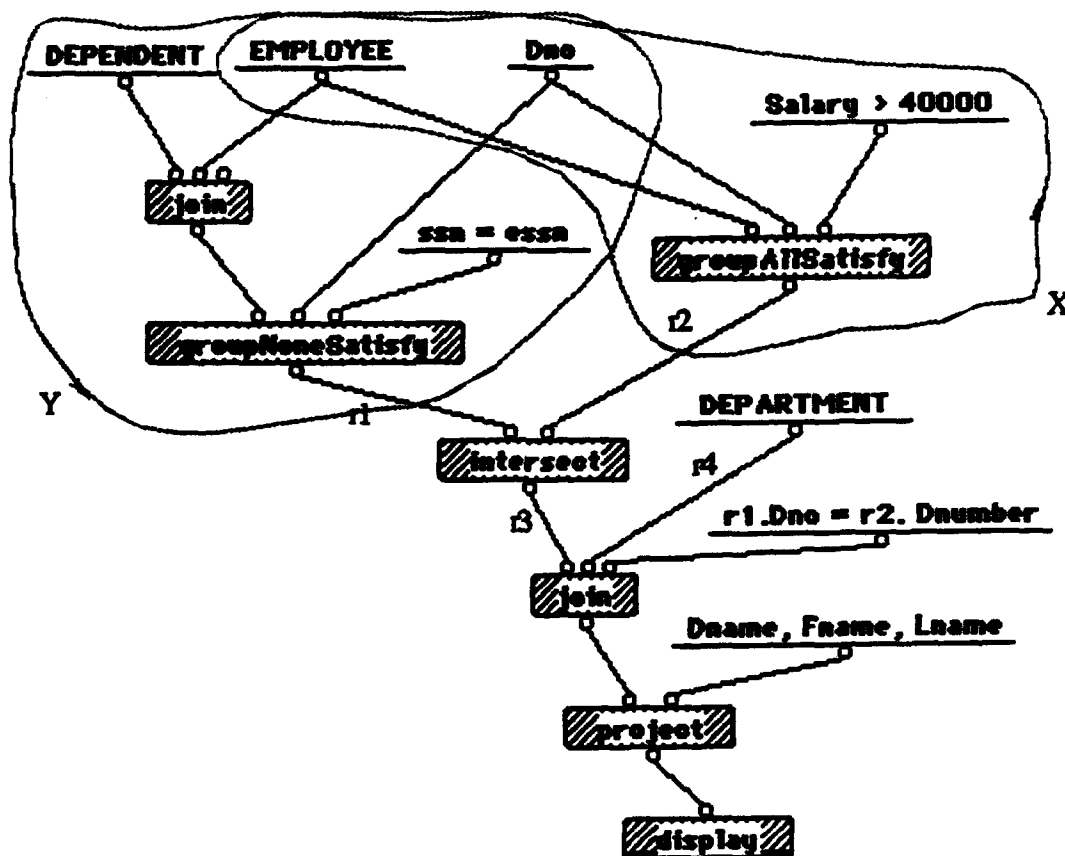
```
SELECT DNAME, FNAME, LNAME
FROM   DEPARTMENT D, EMPLOYEE E1
WHERE  D. NUMBER = E. DNO
      AND NOT EXISTS (SELECT *
                      FROM   EMPLOYEE E2
                      WHERE  D.DNUMBER = E2. DNO
                          AND (SALARY ≤ 40000
                              OR EXISTS
                                (SELECT *
                                 FROM   DEPENDENT
                                 WHERE  SSN = ESSN)))
GROUP BY DNAME
```

This query is an extension of Query 4 or like a combination of Query 4 and Query 5. SQL specifies this query by employing the EXISTS and NOT EXISTS operators with two nested queries. The existential quantification is specified by the EXISTS operator in the nested select statement and universal quantification is expressed

by using the NOT EXISTS operator. Therefore, a rephrasing would be “retrieve the name of departments together with their employee’s first and last names such that there does not exist any employee whose salary is less than or equal to \$40,000 or who has at least one dependent”. In order to specify this query, in SQL we cannot combine Query 4 and Query 5 without rewriting or specifying a new query structure.

(2) QBE. As discussed in Chapter II, QBE lacks the existential and universal quantification expressions. Therefore, this kind of query cannot be specified.

(3) DFQL



By looking at this query, we recognize this query as a combination of Query 4 as the "X" part of the query and "Y" as the main part of Query 5. The *intersect* operator takes two relations which are union compatible (r1 and r2) and returns as a result (r3) the tuples which are in both. Then, by employing the *join* operator, we join r3 with the DEPARTMENT relation (r4) based on the equi-join condition r3. Dnum = r4. Dnumber. The result is a subset of the cartesian product of r3 and r4 and becomes an input to the *project* operator.

The result of Query 6 is:

Dname	Fname	Lname
Headquarter	James	Borg

b. Query 7: Retrieval involving explicit sets

Retrieve the Social Security Numbers of employees who worked on project numbers 1, 3, and 10 (or maybe more).

(1) SQL

```

SELECT DISTINCT ESSN
FROM   WORKS_ON W1 W2 W3
WHERE  W1.ESSN = W2.ESSN AND W1.ESSN = W3.ESSN
      AND W1.PNO = 1
      AND W2.PNO = 3
      AND W3.PNO = 10

```

This query is retrieving the distinct ESSN attribute of an employee whose PNO include all values 1, 3, 10 or more. This can be done if the tuples satisfy the condition which are specified in the WHERE-clause.

(2) QBE

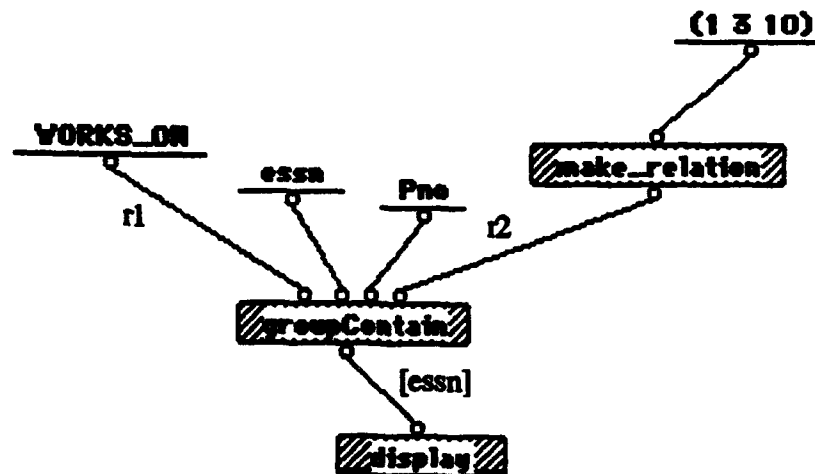
WORKS_ON	ESSN	PNO	HOURS
	P.UNQ._X1	1	
	UNQ._X2	3	
	UNQ._X3	10	

Condition

$_X1 = _X2 \text{ AND } _X2 = _X3$

In this case, "P.UNQ._X1", "UNQ._X2", and "UNQ._X3" retrieve the unique ESSN of an employee whose PNO values include all the constant values 1, 3, and 10. All of the tuples retrieved must satisfy the condition which is specified in the condition box.

(3) DFQL



This query shows that a result (*r2*) of another query *make_relation* which contains the set values (1 3 10) is an input to the *groupContain*¹

operator. The *groupContain* operator takes the *WORKS_ON* relation (r1) and the second relation (r2) and groups the tuples according to the grouping attribute *essn*. It then compares attribute *Pno* to see if one *essn* has all the *Pno* values contained in r2. If so, the *essn* is selected.

The result of Query 7 is: none

c. Query 8: Retrieval involving explicit sets

Retrieve the social security numbers of employees who worked on project number 1, 3, and 10 exactly.

(1) SQL

```
SELECT DISTINCT ESSN
FROM   WORKS_ON W1 W2 W3
WHERE  W1.ESSN = W2.ESSN AND W1.ESSN = W3.ESSN
      AND W1.PNO = 1
      AND W2.PNO = 3
      AND W3.PNO = 10
      AND NOT EXISTS
      (SELECT *
      FROM   WORKS_ON W4
      WHERE  W1.ESSN = W4.ESSN
            AND W4.PNO = 1
            OR  W4.PNO = 3
            OR  W4.PNO = 10)
```

1. *GroupContain* operator is a part of *Group Set Comparison*. *GroupSet Comparison* also provides *GroupEqual* and *GroupContainBy* operators. These operators are discussed in class notes of Dr. C. Thomas Wu, Computer Science Department, Naval Postgraduate School, Monterey, CA.

This query is similar to Query 7. We can use the NOT EXISTS operator with an included nested query that checks the explicit set. Therefore, a rephrasing would be "retrieve the social security numbers where there are not exists any employees who worked not on project number 1, 3 and 10". So, it selects exactly the social security numbers of employees who worked on project number 1, 3, 10.

(2) QBE

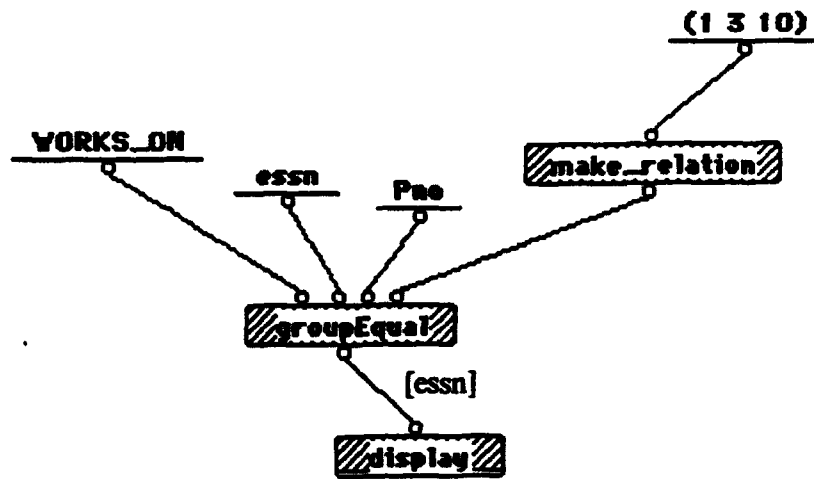
WORKS_ON	<u>ESSN</u>	<u>PNO</u>	HOURS
	P.UNQ._X1	1	
	UNQ._X2	3	
	UNQ._X3	10	
¬	_X4	_Px	

Condition

$_X1 = _X2 \text{ AND } _X2 = _X3 \text{ AND } X3 = X4$

In QBE, the query is specified according to actual constant values 1, 3 and 10 which satisfy the condition in the condition box. This query keeps a similar structure to the Query 7. "P.UNQ._X1", "UNQ._X2", "UNQ._X3", and "¬ _X4" are used to retrieve the tuples which satisfy the condition specified. Notice that the "¬ _X4" couple with the condition "X3 = X4" specifies set equality. An essn is selected only if it has PNO values of 1, 3, and 10 and no other values.

(3) DFQL



This query also presents the same structure as query 7. Since the query is asking to retrieve the Social Security Numbers of employees who worked on project number 1, 3, and 10 exactly, this query uses the *groupEqual* operator instead of *groupContain* operator. It selects the tuples of employees Social Security Numbers only if the set of Pno values associated with the essn is exactly equal to (1, 3, 10).

The result of Query 8 is: none

d. Query 9: Retrieval involving universal quantification

Retrieve the first name and last name of each employee who works on all the projects managed by department number 5.

(1) SQL

```
SELECT FNAME, LNAME
FROM   EMPLOYEE
WHERE  (SELECT PNO
        FROM   WORKS_ON
        WHERE  SSN = ESSN)
        CONTAINS
        (SELECT PNUMBER
         FROM   PROJECT
         WHERE  DNUM = '5')
```

There are two nested queries. If the set of PNO values from the first nested query contains all projects that are controlled by department 5, then the employee tuple is selected. Notice that the CONTAINS comparison operator in this query is similar in function to the DIVISION operation of the relational algebra [Elma 89].

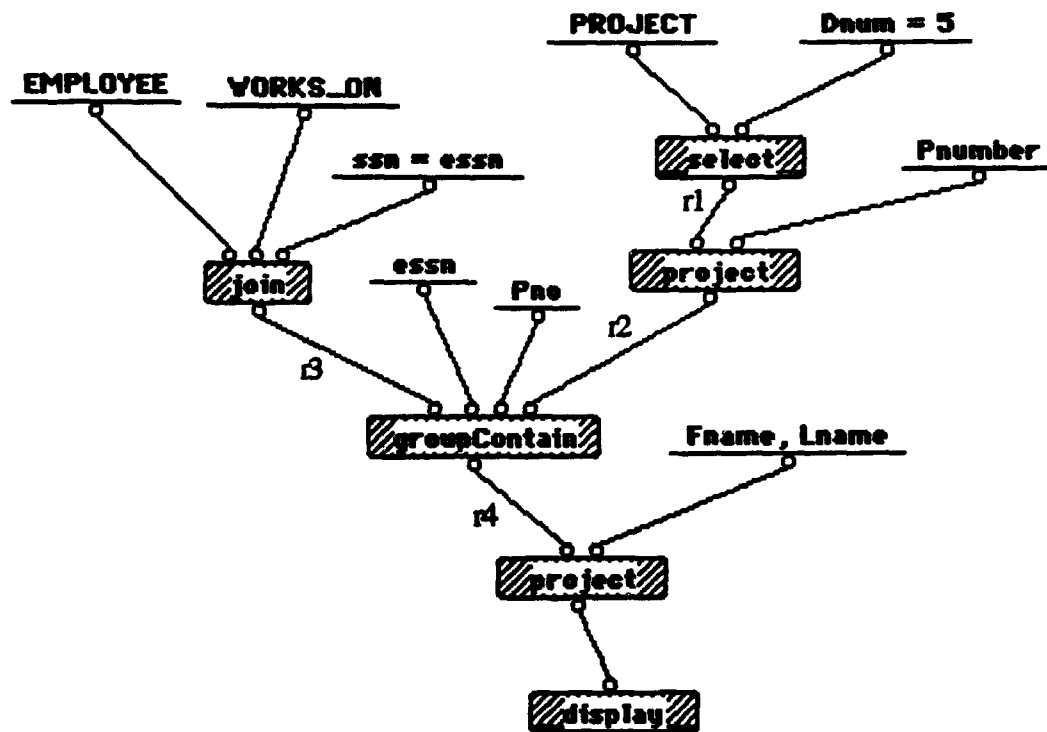
However, for SQL systems which do not have the CONTAINS comparison operator, the user must specify by using EXIST and NOT EXIST functions, as in the query below:

```
SELECT FNAME, LNAME
FROM   EMPLOYEE
WHERE  NOT EXISTS
      (SELECT *
       FROM   WORKS_ON B
       WHERE  (B.PNO IN (SELECT PNUMBER
                        FROM   PROJECT
                        WHERE  DNUM = 5))
       AND
       NOT EXIST (SELECT *
                  FROM   WORKS_ON C
                  WHERE  C. ESSN = SSN
                        AND  C. PNO = B. PNO))
```

Notice this query involves two level-nested queries. Thus this formulation is quite a bit more complex than the prior query with the CONTAINS operator. Consider the first nested query which selects WORKS-ON (B) tuples whose PNO is a project controlled by department 5 in the IN operator nested query, and there does not exist a tuple with the same PNO and SSN in WORKS-ON (C) relation which is related to the EMPLOYEE tuple in the outer query. Since the outer WHERE-clause uses the NOT EXISTS operator, negative logic is reflected. If the nested query returns the empty tuple, the EMPLOYEE tuple should be selected. For a detailed description see [Elma89].

(2) QBE. As discussed in Chapter II, QBE lacks the existential and universal quantification expressions. Therefore this kind of query cannot be specified.

(3) DFQL



First we use the *select* operator to retrieve PROJECT tuples into r1 that match the condition department number equals 5, then we project the project numbers from the result into r2. Concurrently, we use the join operator in order to join the EMPLOYEE and WORKS_ON relations according to equality of the keys and foreign keys *essn* and *ssn* into a relation, say r3. By applying the *groupContain* function operator, it will compare the tuples of the *Pno* attributes and splits the group of tuples desired by *ssn*. Finally, by using the *project* operator, we retrieve the desired result. Next, the *groupContain* function operator groups r3 by *essn*. Then *groupContain* checks to see if an *essn* group's set of *Pno* values contains all the values in r2. If so, all the tuples in the *essn*

group are selected. The result (r4) flows to the *project* function operator where the desired attribute values are obtained for display.

The output of Query 9 is:

FNAME	LNAME
John	Smith
Ramesh	Narayan
Joice	English
Franklin	Wong

e. Query 10: Retrieval involving existential and universal quantification

List the first name and last name of employees who worked exactly 10 hours on each of the projects they worked on.

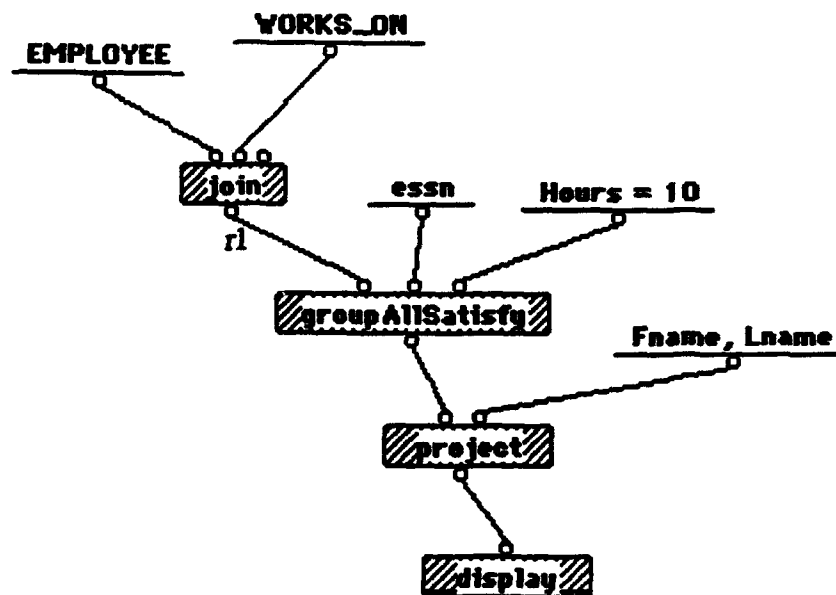
(1) SQL

```
SELECT FNAME, LNAME
FROM EMPLOYEE E
WHERE NOT EXIST
  (SELECT ESSN
   FROM WORKS_ON W
   WHERE W. ESSN = E. SSN
   AND EXIST
    (SELECT *
     FROM WORKS_ON W1
     WHERE W1. ESSN = E.SSN
      AND HOURS <> '10'))
AND
EXISTS (SELECT *
       FROM WORKS_ON W2
       WHERE W2.esn = E.essn)
```

This query involves NOT EXISTS and EXISTS operators with two nested queries. It selects the tuples of EMPLOYEE relation if there does not exist any employees in the WORKS_ON (W) relation and there exists an employee in WORKS-ON (W1) who does not work 10 hours for all projects.

(2) QBE. As discussed in Chapter II, QBE lacks the existential and universal quantification expressions. Therefore this kind of query cannot be specified.

(3) DFQL



First we join the EMPLOYEE and WORKS_ON relations. In DFQL we are allowed not to declare specifically the condition according to the key and foreign key *ssn* and *essn*, as *equi-join*, however, it works similarly, automatically matching the tuples of both relations. Then applying the *groupAllSatisfy* operator takes care of the *universal quantification*. Thus, it simply takes a relation *r1* and splits the tuples according to the grouping attribute list, *essn* in this case, and then checks all the tuples in the

individual group related to the condition Hours = 10. If all the tuples satisfy the condition specified then the values of that grouping attribute list are passed out. It means that these groups satisfy the condition by all their tuples. Finally, by using *project* operator, we project the desired tuples.

The result of Query 10 is:

FNAME	LNAME
Franklin	Wong
Alicia	Zelaya

f. Query 11: Retrieval involving Set Operation

List of all project numbers and project names for projects that involve an employee whose last name is 'Smith' as a worker or as a manager of the department that controls the project.

(1) SQL

```

SELECT DISTINCT PNAME, PNUMBER
FROM   PROJECT
WHERE  PNUMBER IN (SELECT PNUMBER
                    FROM   PROJECT, DEPARTMENT, EMPLOYEE
                    WHERE  DNUM = DNUMBER
                        AND MGRSSN = SSN
                        AND LNAME = 'Smith')
OR
PNUMBER IN (SELECT PNO
            FROM   WORKS _ ON, EMPLOYEE
            WHERE  ESSN = SSN AND LNAME = 'Smith')

```


This query uses *IN* operators and includes nested queries in the *SELECT* query. The first nested query selects the *PNUMBER* of projects that have a 'Smith' as a manager, while the second selects the project numbers of projects that have a 'Smith' as a worker. In this query, the comparison operator *IN* compares the value *PNUMBER* in the outer *WHERE*-clause and evaluates to *true* if and only if at least one value of the sets result from the nested queries matches it. For a detailed description of the above mentioned and another way to specify this query using the *UNION* operator, see [Elma89].

(2) QBE

EMPLOYEE	FNAME	MINIT	LNAME	<u>SSN</u>	BDATE	ADDRESS	SEX	SALARY	SUPERSSN	DNO
			Smith	_Sx						

DEPARTMENT	DNAME	<u>DNUMBER</u>	MGRSSN	MGRSTARTDATE
		_Dx	_Sx	

WORKS_ON	<u>ESSN</u>	<u>PNO</u>	HOURS
	_Sx	_Px	

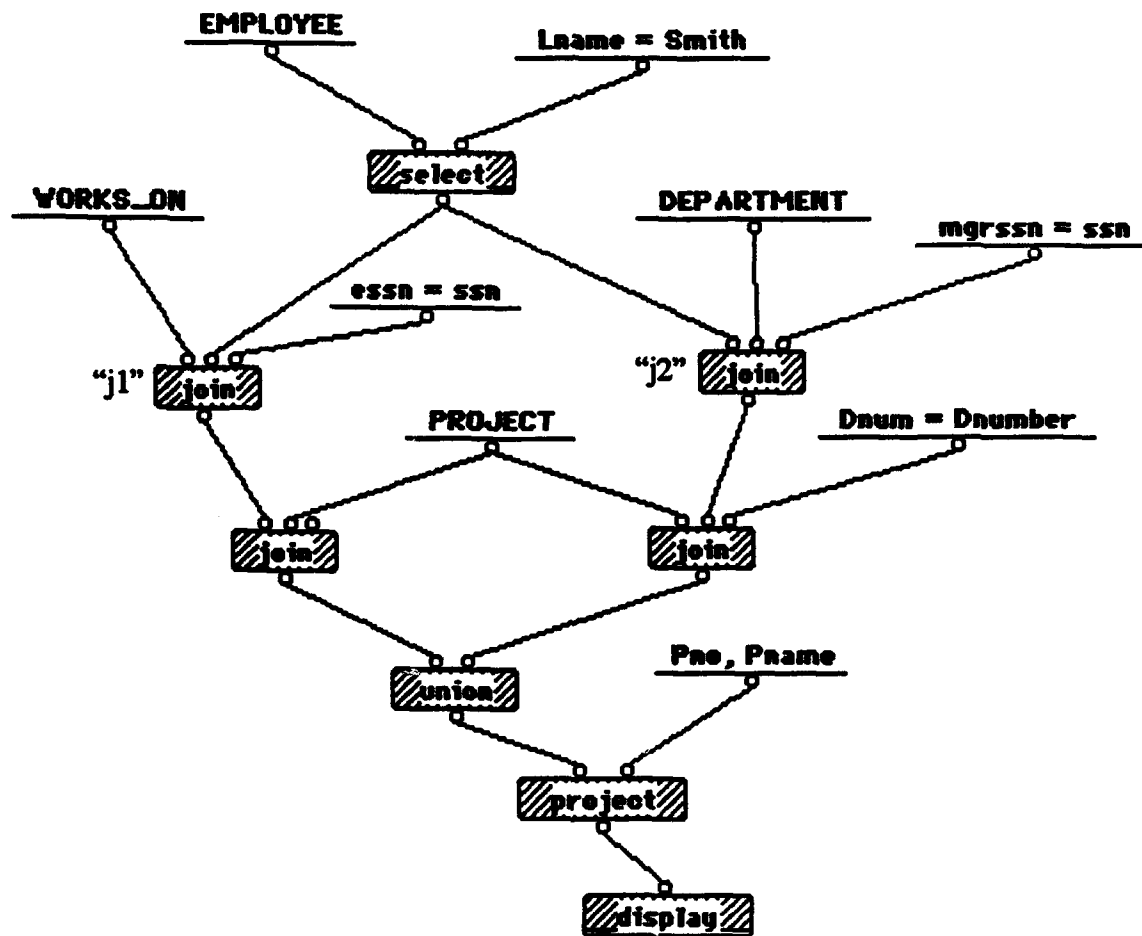
PROJECT	PNAME	<u>PNUMBER</u>	PLOCATION	DNUM
	P	P_Px		_Dx

RESULT	PNAME	PNUMBER
PUNQ.	_Px	_Pn

In QBE, any number of joins can be specified in a single query [Elma89]. When we specify a join, we can also specify a *result table* to display the result of the query, as in the query above. This is required if the result includes attributes from

two or more relations. Sometimes, if there is no result table specified, the system provides the query result in the columns of the various relation. This tends to be difficult to interpret and become meaningless in most cases.

(3) DFQL



Since the query involves more than three relations, we make use several *join* operators. First we select the last name "Smith" as an employee, then the tuple result flows to two *join* operators. One part joins with the WORKS_ON relation on the left side (we marked as "j1") and checks to see if the employee is a worker, and on the right

side (we marked as "j2") joins with the DEPARTMENT relation to check the tuple to see if the employee is a manager. Since we want to obtain the tuples that relate to Pno and Pname, we need to join the tuples results of both sides. Then we use the *union* operator which takes all the tuples from both sides and combines them (as they are union compatible). Finally, by employing the *project* operator, we retrieve the Pno and the Pname that involve 'Smith' as a worker and as a manager of a department who controls that project.

The result of Query 11 is: none

3. Statistical Result

In this category the user (end user) attempts to obtain a proper relation from one or more relations based on a special case of statistical result. This category involves aggregate function operators such as MIN, MAX, AVG, COUNT. Consider the following queries:

a. *Query 12: Retrieval involving aggregate AVG function*

Retrieve the average hours of working load for project number 3.

(1) SQL

```
SELECT AVG (HOURS)
FROM WORKS_ON
WHERE PNO = '3'
```

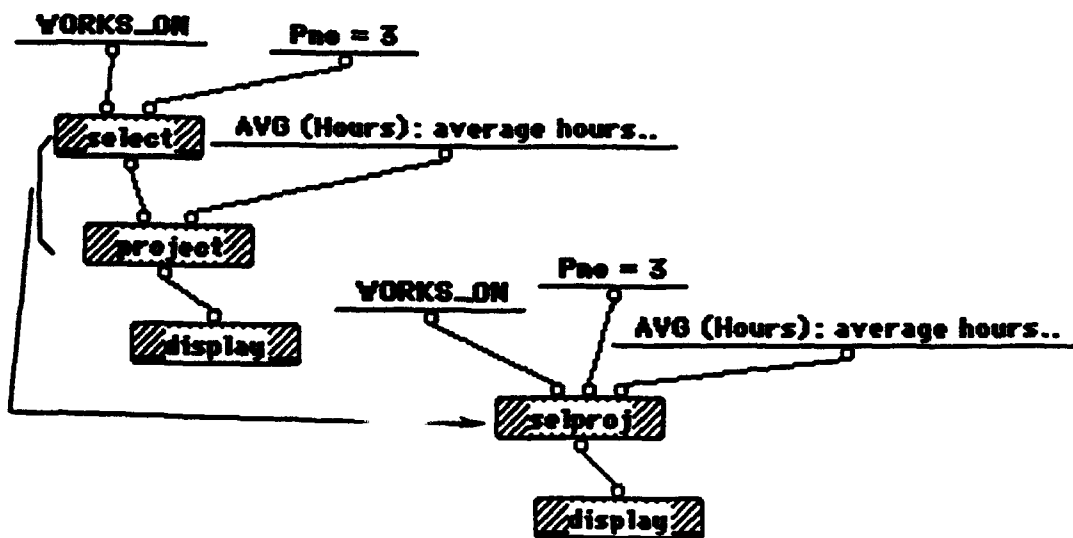
The average function is used to calculate the average of the values in the HOURS column from the WORKS_ON relation. The values to be calculated must satisfy the specified condition PNO = '3' in the WHERE-clause.

(2) QBE

WORKS_ON	ESSN	PNO	HOURS
		3	P.AVG. ALL

In QBE, we place "3" as an actual value which represent an equality condition in the PNO column. And "P.AVG." is used to retrieve the average of the values that match the condition.

(3) DFQL



The *select* operator selects the tuples from the WORKS_ON relation that match the condition specified "Pno = 3". The result is used by next *project* operator, which projects the *average* value of the result according to "AVG (Hours): average hours...". In this case, an alias name is needed after the colon to indicate clearly what the result is [Turg93]. The *select* and *project* operators are very often used together. So, DFQL allows the user to define a new operator by giving a related name *selproj* as a combine

operator. It is used to select the tuples that satisfy the condition and directly project the desired attribute as a result.

The result of Query 12 is:

Average Hours
25

c. Query 13: Retrieval involving AVG and Grouping function

Retrieve the average hours of working load for each project.

(1) SQL

```
SELECT PNO, AVG (HOURS)
FROM   WORKS_ON
GROUP BY PNO
```

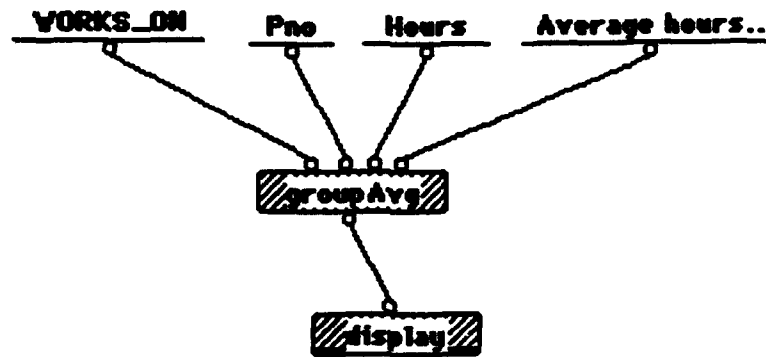
Since we are interested in the average hours of each project, in SQL we have to apply the GROUP BY-clause. Here the GROUP BY-clause is used in order to divide WORKS-ON tuples into groups by their PNO values. Then, the AVG function is used to calculate the average of the HOURS values of tuples according to the PNO grouping attribute separately.

(2) QBE

WORKS_ON	<u>ESSN</u>	<u>PNO</u>	HOURS
		G.	PAVG. ALL

QBE keeps the same structure as Query 12 except in the PNO attribute where we have to place "G." in order to group the tuples which have the same value in PNO. Then, "P. AVG.ALL" retrieves the average of the values according to each group.

(3) DFQL



DFQL provides several grouping aggregate function operators for statistical results. One of them is the *groupAvg* operator. It gets the tuples of *WORKS_ON* relation and splits the tuples according to grouping attribute PNO, then produces the average of the values of each group of aggregate attribute Hours. The result value is given an alias name "Average hours".

The result of Query 13 is:

Pno	Average Hours
1	26.25
2	18.75
3	25.00
10	27.50
20	12.50
30	27.50

d. Query 14: Retrieval involving Count, AVG and Grouping function

For each project retrieve the project number, the number of employees in the project, and their average hours.

(1) SQL

```
SELECT PNO, COUNT (*), AVG (HOURS)
FROM   WORKS_ON
GROUP BY PNO
```

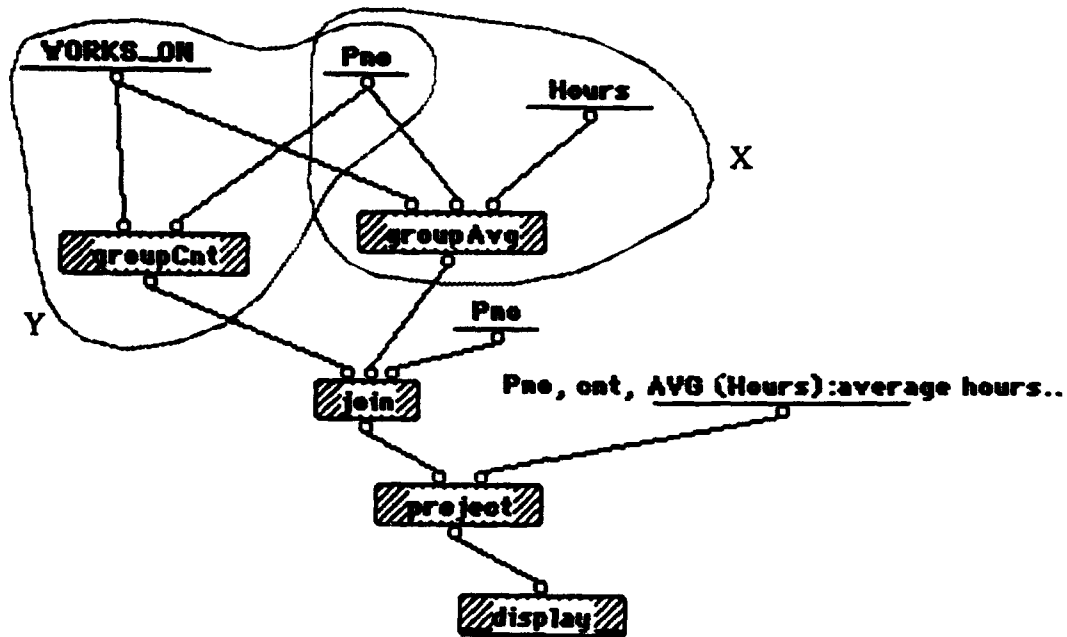
In this query, the GROUP BY-clause is needed in order to group tuples by the project number. Then, the AVG and COUNT (*) operators calculate the average hours and counted the number of employees respectively for each PNO grouping from the WORKS_ON relation.

(2) QBE

WORKS_ON	<u>ESSN</u>	<u>PNO</u>	HOURS
	P.CNT.ALL	P.G.	PAVG.ALL

QBE uses a similar structure to Query 13. Since Query 13 is expanded by asking the project number and the number of employees involved in each project, it can be specified by adding "P." beside "G." in the PNO attribute and placing "P.CNT.ALL" in the ESSN attribute.

(3) DFQL



This query is an extension of Query 13. The "X" part is exactly the same as Query 13 and we add the *groupCnt* function part "Y" that counts the number of tuples in each Pno group. Here, we need to join the tuples as a result of part "X" and "Y" which match according to the Pno. Finally the *project* operator retrieves the desired attributes from tuples.

The result of Query 14 is:

Pno	The number of employees	Average Hours
1	2	26.25
2	3	18.75
3	2	25.00
10	3	27.50
20	3	12.50
30	3	27.50

d. Query 15: Retrieval involving Count and AVG function

Retrieve the number of employees and their average hours who worked on project 3.

(1) SQL

```
SELECT PNO, COUNT (*), AVG (HOURS)
FROM   WORKS_ON
WHERE  PNO = '3'
```

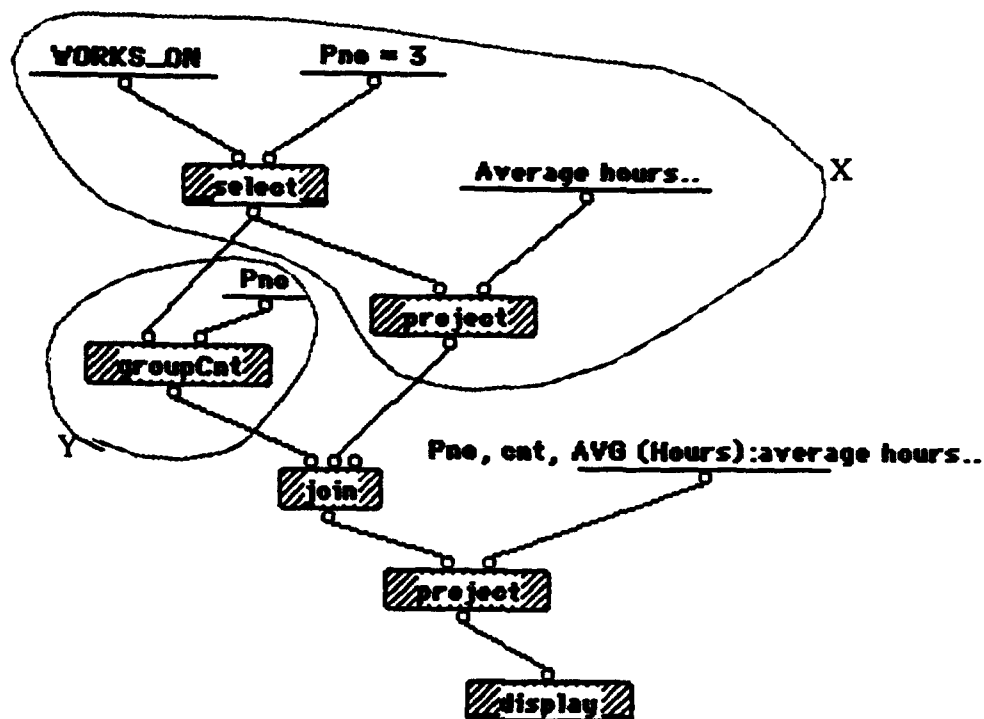
This query is an extension of Query 12 in which we can count the number of employees by applying the function COUNT (*). Since we are concerned with a particular project, it is specified as a condition in the WHERE-clause.

(2) QBE

WORKS_ON	<u>ESSN</u>	<u>PNO</u>	HOURS
	P.CNT.ALL	3	PAVG.AL

The only different with Query 12 is the "P.CNT.ALL". It retrieves the number of employees that match the condition specified under the PNO column.

(3) DFQL



In this query, the "X" part is the same as Query 12, and we add the *groupCnt* operator "Y" part in order to count the number of employees who participate in project number 3. Next we need to join the tuples as a result of both sides "X" and "Y". Then, the *project* operator is used to retrieve the desired attribute values.

The result of Query 15 is:

Average Hours	The number of employees
25	2

e. Query 16: Retrieval Involving Max and Grouping function

For each department retrieve the employee's social security number who has the highest salary.

(1) SQL

```
SELECT DNO, SSN, MAX (SALARY)
FROM EMPLOYEE
GROUP BY DNO
```

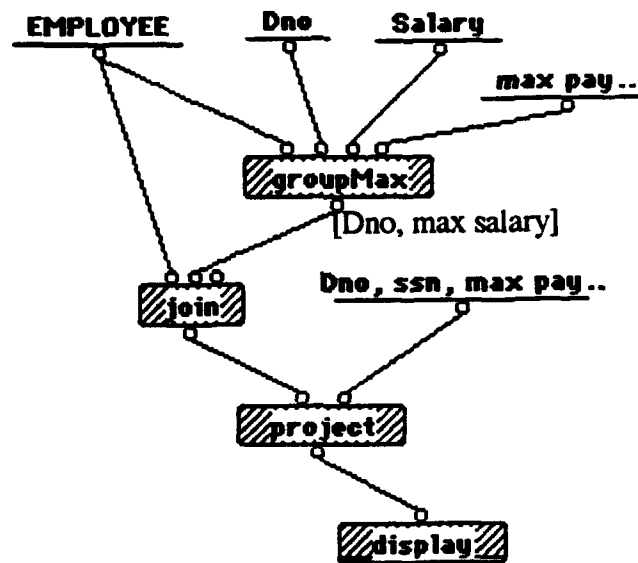
The employment of the MAX aggregate function is used in order to obtain the maximum (or highest) value of the SALARY attribute from the EMPLOYEE relation. We select the tuples with the max salary from each group according to DNO in the GROUP BY-clause. Based on DNO and highest pay we also retrieve from the tuple the SSNs attribute value.

(2) QBE

EMPLOYEE	FNAME	MINIT	LNAME	<u>SSN</u>	BDATE	ADDRESS	SEX	SALARY	SUPERSSN	DNO
				P.				P.MAX.ALL		G.

In QBE we just need to specify "G." in the DNO attribute in order to separate into each group. The "P.MAX. ALL" is specified to get the tuple with highest salary in the SALARY attribute from all tuples in each group of DNO. And the other "P." is used to retrieve the SSNs.

(3) DFQL



The structure which is specified for this query is similar to the previous queries that involve the *groupAvg* operator. The only different is we have to use the *groupMax* operator. The result of *groupMax* is the tuple of each Dno group with the highest pay. Since we are also interested in the ssn of selected employees, we join the **EMPLOYEE** relation to the result mentioned above. Then, by using the *project* operator we retrieve the attributes desired.

The result of Query 16 is:

Dno	SSN	Max pay
5	333445555	40000
4	987654321	43000
1	888665555	55000

f. Query 17: Retrieval involving Max and Grouping function

For each department retrieve employee (SSNs) and their dependent name, who has the highest pay.

(1) SQL

```
SELECT DNO, SSN, DEPENDENT_NAME, MAX (SALARY)
FROM   EMPLOYEE E, DEPENDENT D
WHERE  E.SSN = D. ESSN
GROUP BY DNO
```

The above query is extended from Query 15 in which the DEPENDENT relation is involved. In this query we select the tuples from EMPLOYEE and DEPENDENT relation according to the attributes list in SELECT- clause which satisfy the join condition specified according to the keys SSN and ESSN in E. SSN = D. ESSN. The DNO which is specified in GROUP BY-clause is used to separate the tuples of DNO in each group.

(2) QBE

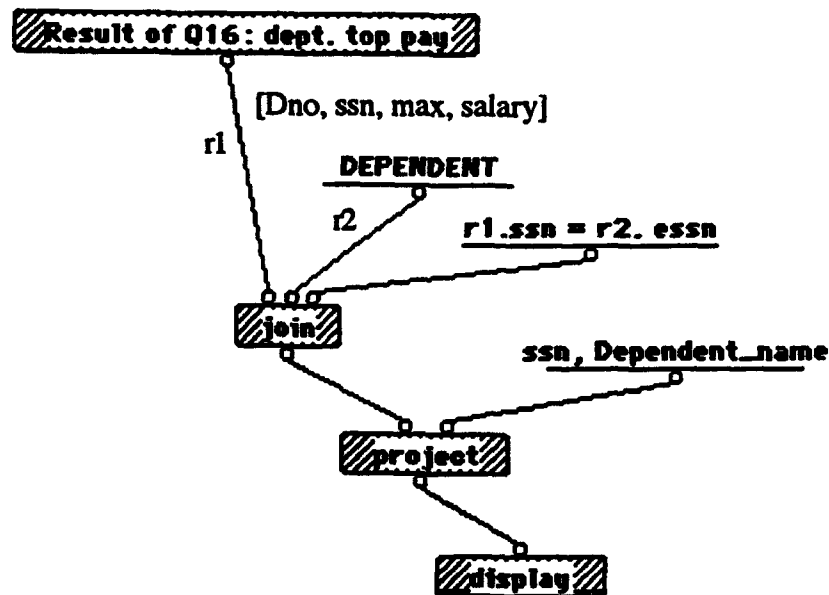
EMPLOYEE	FNAME	MINT	LNAME	<u>SSN</u>	BDATE	ADDRESS	SEX	SALARY	SUPERS SN	DNO
				P_Sx				PMAX.ALL		G.

DEPENDENT	<u>ESSN</u>	<u>DEPENDENT_NAME</u>	SEX	BDATE	RELATIONSHIP
	_Sx	P.			

Here we need to join the two relations EMPLOYEE and DEPENDENT by using the “_Sx” as an example variable that we place in the key attribute

of SSN and ESSN. The "G." is used to separate the tuples in each group according to the DNO. Then, "P.MAX. ALL", "P.", and "P._Sx" are used to retrieve the values of the attributes desired.

(3) DFQL



Since Query 17 is an extension of Query 16, we see relation r_1 is a result of Query 16 which holds the tuples of [dno, ssn, max pay..]. Then we need a *join* operator for the purpose of joining with the *DEPENDENT* relation r_2 according to the keys ssn and essn of both relations. The tuples as a result of the cartesian product that we obtained from the *join* operator above are used by the *project* operator in order to retrieve the values of ssn(s) and the *Dependent_name*.

The result of Query 17 is:

SSN	Dependent-name
333445555	Alice
987654321	Abnar
888665555	-

g. Query 18: Retrieval involving AVG, Max, Sum, and Grouping function

Retrieve the average, maximum and sum of the salaries of each department's highest paid employee.

(1) SQL

```
SELECT AVG (SALARY), MAX (SALARY), SUM (SALARY)
FROM EMPLOYEE E
WHERE E.SALARY IN (SELECT DNO, MAX (SALARY)
FROM EMPLOYEE E1
GROUP BY DNO)
```

Again if we increase the complexity of Query 16 to Query 18 as above, SQL presents a structure which is quite different from the query 16. Here the GROUP BY concerns DNO in the nested queries in order to separate the tuples and calculate the highest paid employees. Then, the outer query specifically calculates the AVG, MAX, and SUM values of the highest paid of all groups in the department.

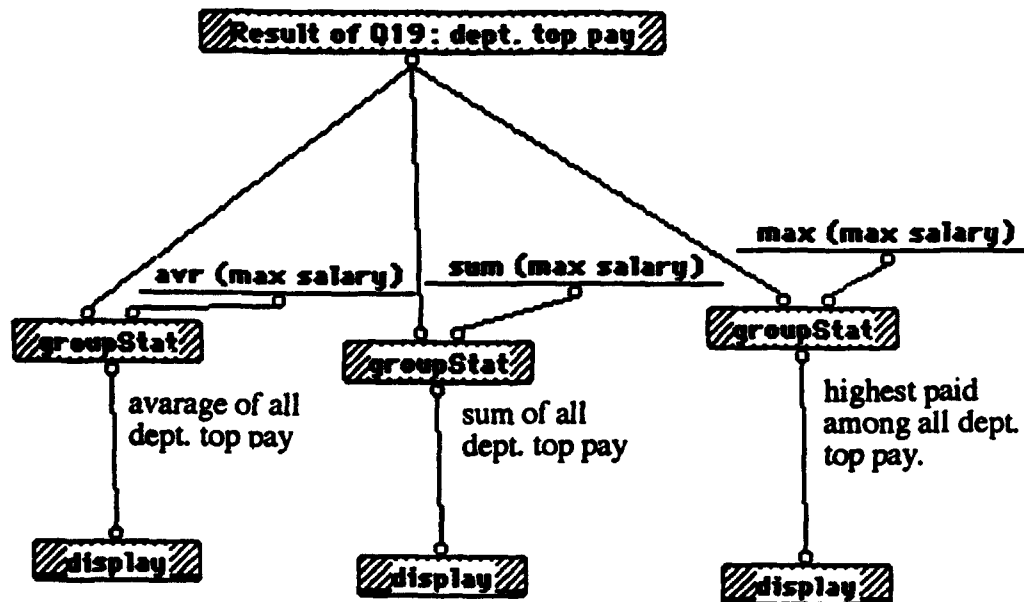
(2) QBE

EMPLOYEE	FNAME	MINT	LNAME	<u>SSN</u>	BDATE	ADDRESS	SEX	SALARY	SUPERSSN	DNO
								P.MAX.ALL		G.

Result	Dept. top pay
P.	MAX.ALL.AVG.ALL.SUM.ALL

In QBE, this type of query can be specified into two steps, where first we attempt to retrieve the highest paid according to each group of the DNO. Then we retrieve the attribute values of selected tuples by placing the "P." under the Result column and "MAX.ALL.AVG.ALL.SUM.ALL" under the Dept. top pay column.

(3) DFQL



Again, in this query the results of Query 16 can be used as a source or as an input to the other group operators. In the case of this query *groupStat*¹ operators are used to perform the calculation of avg (max salary), sum (max salary), and max (max salary). Here, each of these operators produces the values we are concerned with.

The result of Query 18 is:

Avg (max pay)	Sum (max pay)	Max (max pay)
46000	138000	55000

h. Query 19: Retrieval involving Count and Grouping function

For each department retrieve the department name and the total number of employees who are paid more than \$40,000.

(1) SQL

```
SELECT DNO, DNAME, COUNT (*)
FROM EMPLOYEE, DEPARTMENT
WHERE DNUMBER = DNO AND SALARY > 40000
GROUP BY DNO
```

Like the previous queries, the GROUP BY-clause is used to separate the tuples into groups by DNO attribute value. Then, the values of the attributes listed in SELECT-clause are selected from EMPLOYEE and DEPARTMENT relation in the FROM-clause which satisfy the conditions specified in the WHERE-clause.

1. Groupstat operator is discussed in notes of Dr. C. Thomas Wu, Computer Science Department, Naval Postgraduate School, Monterey.

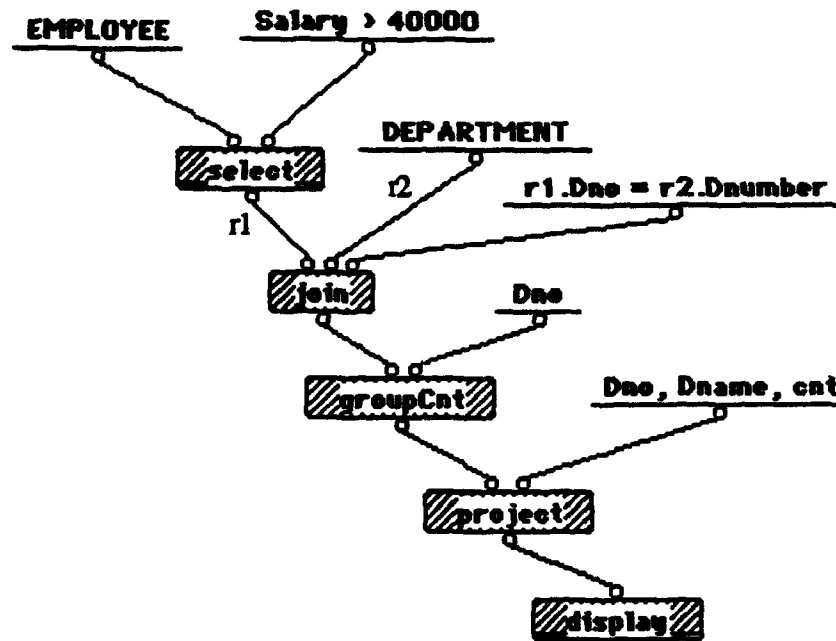
(2) QBE

DEPARTMENT	DNAME	<u>DNUMBER</u>	MGRSSN	MGRSTARTDATE
	P.	_Dx		

EMPLOYEE	FNAME	MINIT	LNAME	<u>SSN</u>	BDATE	ADDRESS	SEX	SALARY	SUPERSEN	DNO
								> 40000		P.G.CNT.ALL._Dx

In this query the "P.G.CNT.ALL._Dx" is specified in order to retrieve ("P.") the tuples based on the grouping "G." of DNO attribute, and CNT. ALL is used to count DNO in each group to represent the number of employees. All of these can be performed if the tuples match the join condition specified by "_Dx" according to the key and foreign key DNUMBER and DNO.

(3) DFQL



First we select the tuples of the EMPLOYEE relation that fulfill the condition $\text{Salary} > 40000$. Then we join the result of the *select* operator with the DEPARTMENT relation by equality of the key and foreign key Dnumber and Dno. Then, the result is used by the *groupCnt* operator which splits the tuples according to Dno groups. Finally, by using the *project* operator, we retrieve the values of the dname and dno, and also the number of employees.

The result of Query 19 is:

Dname	Dno	The number of Employees
Administration	4	1
Headquarter	1	1

4. Set-Count Value

In this category the user (end user) is interested in obtaining a proper relation from one or more relations based on a special case of set-count testing. Consider the following queries:

a. Query 20: Retrieval involving existential quantification

Retrieve the first name and the last name of managers who have at least one female as a dependent.

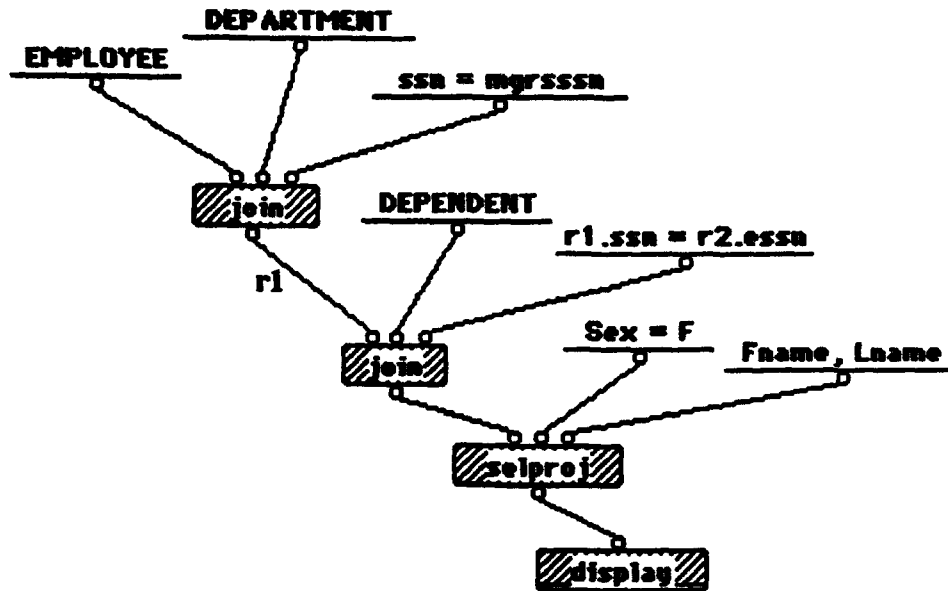
(1) SQL

```
SELECT FNAME, LNAME
FROM EMPLOYEE
WHERE EXISTS (SELECT *
              FROM DEPENDENT
              WHERE SSN = ESSN
              AND SEX = 'F')
AND EXISTS (SELECT *
            FROM DEPARTMENT
            WHERE SSN = MGRSSN)
```

One way to specify this query as shown above involves two nested queries. The first nested query selects all DEPENDENT tuples, and the second selects the DEPARTMENT tuples managed by the EMPLOYEE. Therefore, if there exists at least one tuple dependent with SEX equal to female in the first nested query, and at least one tuple of the employee who managed the department; then the EMPLOYEE tuple is selected according to the FNAME and LNAME of the employees.

(2) QBE. As discussed in Chapter II, QBE lacks the existential and universal quantification expression. Therefore this kind of query cannot be specified.

(3) DFQL



First we join the EMPLOYEE and DEPARTMENT relation by using the equi-join based on their key and foreign key, in this case $ssn = mgrssn$. Then, the tuples as a result of the *equi-join*, say as $r1$ flows to the next *join* operator. At this point $r1$ contains the tuples of employees who manage a department joined with DEPENDENT relation, say $r2$, according to the key and foreign key join condition $r1.ssn = r2.essn$. Then, by applying *selproj* operator, we select the tuples desired which satisfy the condition specified "Sex = F" and directly project or retrieve the values of Fname and Lname of the manager.

The result of Query 20 is:

Fname	Lname
Franklin	Wong

b. Query 21: Retrieval involving Count and Grouping function

Retrieve the total number of employees with salaries more than \$40,000 who worked in each department, but only for those departments where more than four employees work.

(1) SQL

```
SELECT DNAME, COUNT (*)
FROM DEPARTMENT, EMPLOYEE
WHERE DNUMBER = DNO AND SALARY > 40000
AND DNO IN (SELECT DNO
            FROM EMPLOYEE
            GROUP BY DNO
            HAVING COUNT (*) > 4)
GROUP BY DNAME
```

While reading Query 21, it can lead to misunderstanding the point in specifying the SQL query. It may lead us to specify the query as follows:

```
FROM DEPARTMENT, EMPLOYEE
WHERE DNUMBER = DNO AND SALARY > 40000
GROUP BY DNAME
HAVING COUNT (*) > 4
```

This is an incorrect query since it will retrieve only departments that have more than five employees *who each earn more than \$40,000*. For a more detailed description of the above queries see [Elma89].

Query 21 is expanded from Query 19 in the previous Section "3. h.", but they are very different in structure. Query 21 is specified by using the nested query. While specifying this kind of query we must be careful, especially when we have to apply

two different conditions like the query above; where "SALARY > 40000" is applied to the COUNT function in the SELECT-clause and the other in the HAVING-clause. And for the GROUP-BY function, Elmasri comments "Some SQL implementations may not allow a GROUP BY-clause without a function in the SELECT-clause. Hence, the nested query in this example (Query 21 (1) SQL) cannot be permitted in such SQL implementations".

(2) QBE

DEPARTMENT	DNAME	<u>DNUMBER</u>	MGRSSN	MGRSTARTDATE
	P.	_Dx		

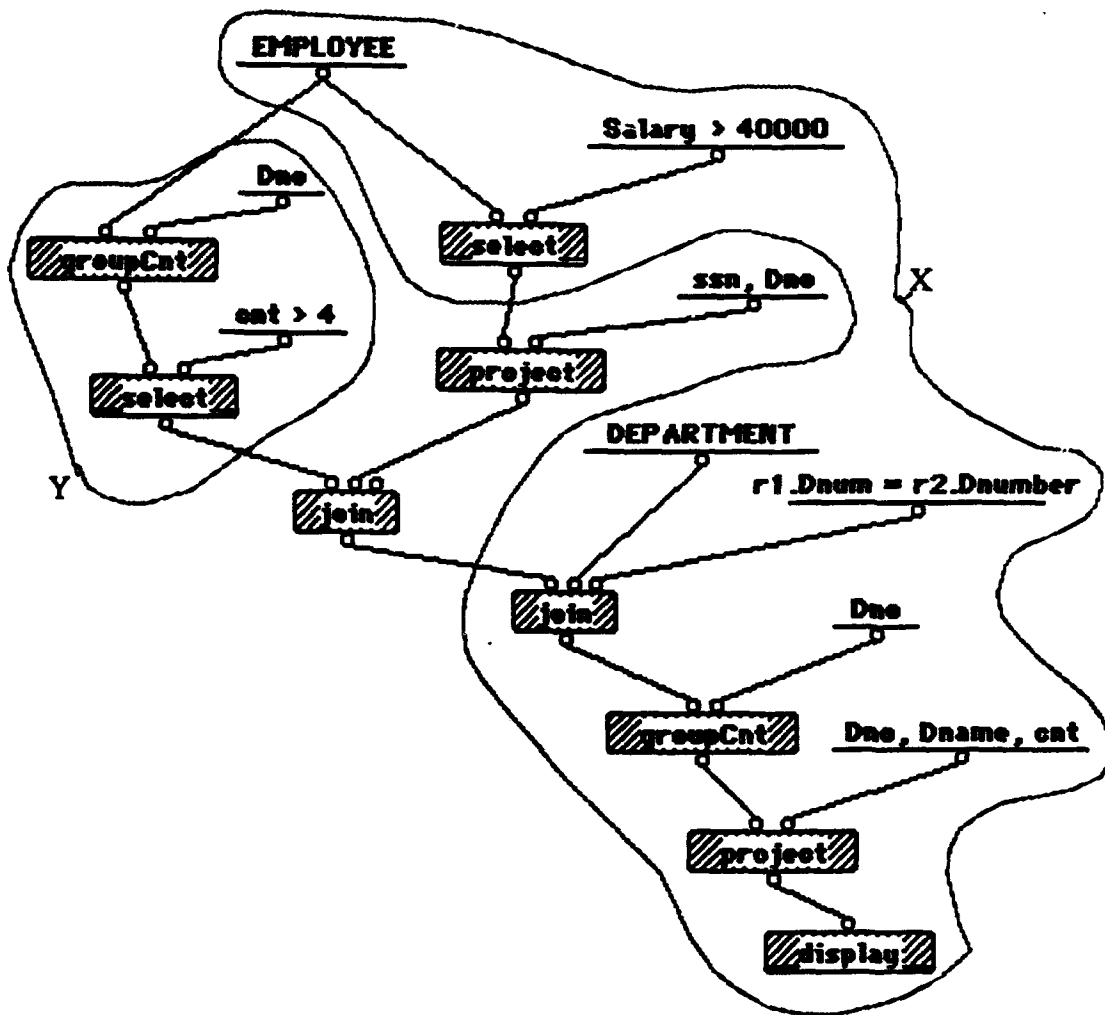
EMPLOYEE	FNAME	MINIT	LNAME	<u>SSN</u>	BDATE	ADDRESS	SEX	SALARY	SUPERSSN	DNO
								>40000		P.G.CNT.ALL._Dx

Condition

CNT.ALL._Dx > 4

Here, QBE really keeps a structure similar to Query 19. Here we need to specify in the condition box "CNT.ALL._Dx > 4" in order to retrieve the total number of employees if it is more than four members in each department according to the value of DNO.

(3) DFQL



Since it is expanded from Query 19, we can use all of Query 19 and connect it with the new part of the query. The "X" is the whole part of Query 19 and "Y" is related to *groupCnt* and *select* operators, which specifically count the tuples according to *Dno* in order to represent the total number of employees as a specified condition.

The result of Query 21 is: none

c. Query 22: Retrieval involving Count and Grouping function

For each project on which there are three or more employees working, retrieve the project number, project name, and number of employees who work on that project.

(1) SQL

```
SELECT PNUMBER, PNAME, COUNT (*)  
FROM   PROJECT, WORKS_ON  
WHERE  PNUMBER = PNO  
GROUP BY PNUMBER, PNAME  
HAVING COUNT (*) > 3
```

This query involves two relations PROJECT and WORKS-ON. Here, the GROUP BY-clause is used in order to separate the project in each group and selection of tuples is used to satisfy the join condition in WHERE-clause. The HAVING-clause in this case uses whole groups of projects, and specifically specifies the number of employees which satisfies the groups themselves.

(2) QBE

PROJECT	PNAME	<u>PNUMBER</u>	PLOCATION	DNUM
	P.	_Px		

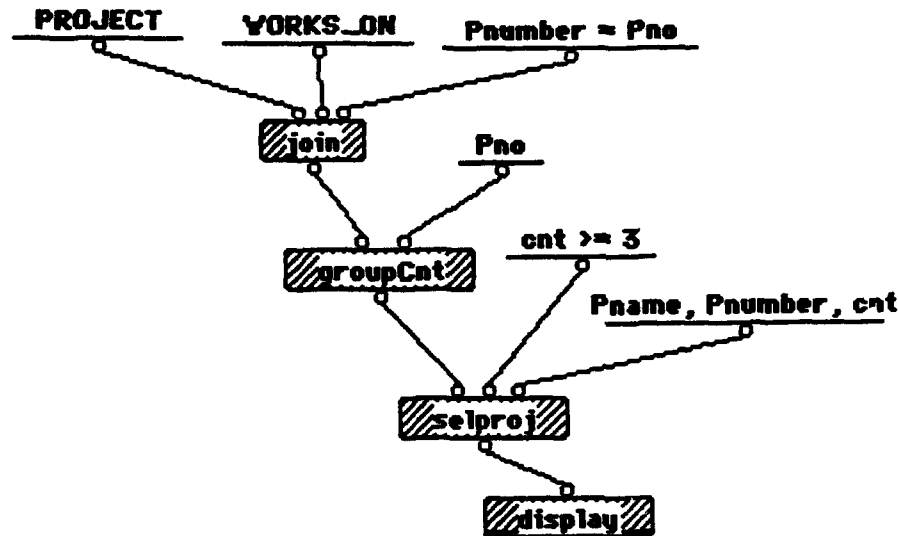
WORKS_ON	<u>ESSN</u>	<u>PNO</u>	HOURS
		P.G.CNT.ALL._Px	

Condition

CNT.ALL._Px ≥ 3

Here, P.G.CNT.ALL._Px" is specified in order to retrieve the tuples of the grouping attribute of PNO which satisfied the join condition related to the key of PNUMBER and PNO. But, it must satisfy the condition box "CNT. ALL._Px > 3". Here the use of the condition box is similar to the HAVING-clause in SQL.

(3) DFQL



We join the two relations PROJECT and WORKS-ON according to the join condition $Pnumber = Pno$. The tuples of the cartesian product is flowed to *groupCnt* operator, and it splits *Pno* into each group. Then, it selects the tuples that fulfil the condition specified " $cnt \geq 3$ " as counting the number of employees. Through the *project* operator we retrieve the tuples needed according to the attribute list.

The result of Query 22 is:

Pname	Pnumber	The number of employees
ProductY	2	3
Computerization	10	3
Reorganization	20	3
Newbenefit	30	3

d. Query 23: Retrieval involving Count function

Retrieve project name, where there are three or more employees.

(1) SQL

```
SELECT PNAME
FROM PROJECT
WHERE (SELECT COUNT (*)
      FROM WORKS_ON
      WHERE PNUMBER = PNO) ≥ 3
```

By modifying Query 22 just a little bit, we get Query 23. One way to specify the SQL query is shown above involving a nested query. The nested query counts the tuples (representing the number of employees) involved in the project in the WORKS_ON relation. If it is greater than or equal to three, the PROJECT tuple is then selected. In some implementations of SQL the above query may not be permitted [Elma89].

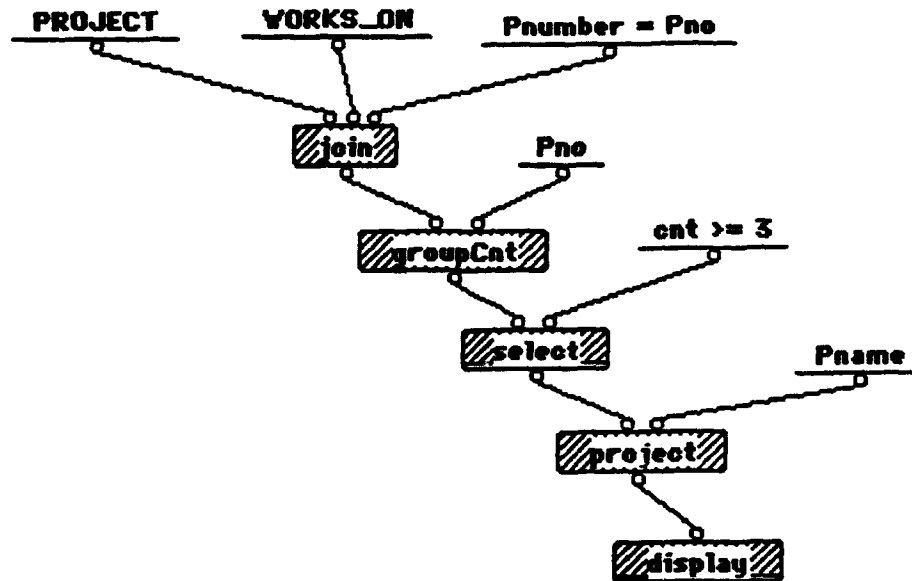
(2) QBE

PROJECT	PNAME	<u>PNUMBER</u>	PLOCATION	DNUM
	P.	_Px		

WORKS_ON	<u>ESSN</u>	<u>PNO</u>	HOURS
		CNT.ALL._Px ≥ 3	

In this query "CNT. ALL._Px ≥ 3" counts the tuples concerning the number of employees. If it is greater than or equal to three then the tuples of Pname are retrieved by "P." according to key as specified by an example value "_Px".

(3) DFQL



In order to get the counting result, DFQL in this case applies the *groupCnt* operator in all kind of queries that relate to *set count* query. That's why Query 22 and Query 23 are specified with exactly the same structure, just slightly different in the attribute list of the tuples desired.

The result of Query 23 is:

Pname	The number of employees
ProductY	3
Computerization	3
Reorganization	3
Newbenefit	3

By looking at the results of Query 22 and 23, we notice that the tuples results of PNAME and the total number of employees retrieved are absolutely equal. In short, we can say that both Query 22 and 23 are really the same in the structure.

e. Query 24: Retrieval involving universal quantification

Retrieve project name, where there are three or more employees, and all of them has a work load of 20 hours.

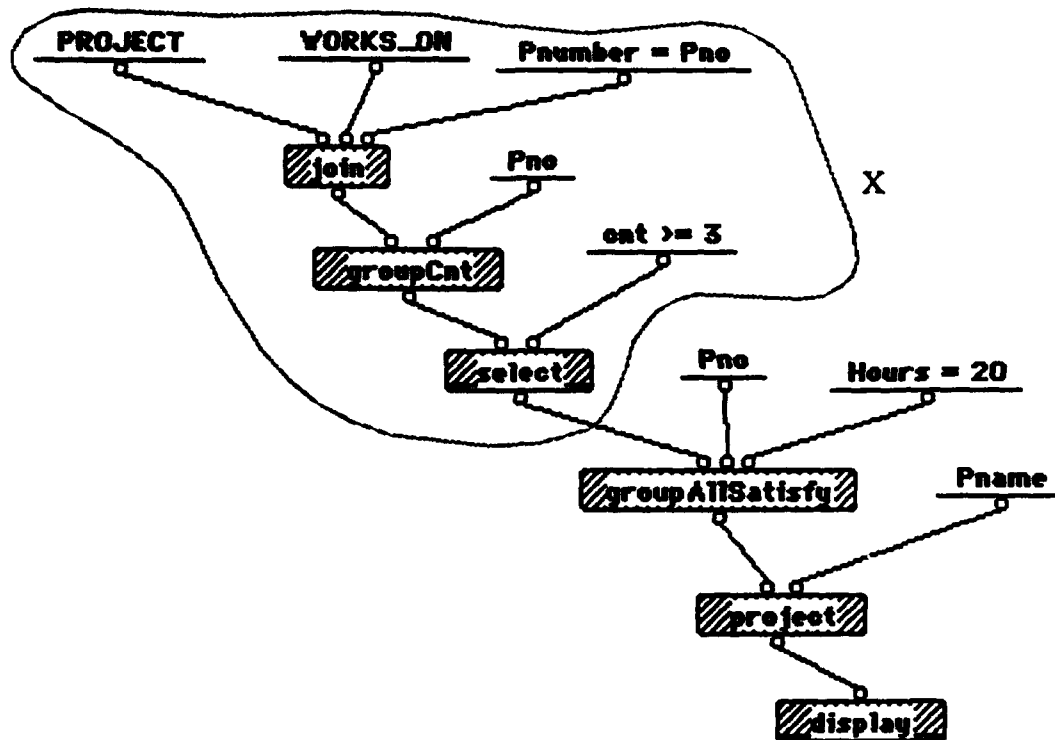
(1) SQL

```
SELECT PNAME
FROM   PROJECT P, WORKS_ON W
WHERE  P.PNUMBER = W.PNO
      AND PNO IN (SELECT PNO
                  FROM   WORKS_ON
                  WHERE  HOURS = 20
                  GROUP BY PNO
                  HAVING COUNT (*) ≥ 3)
```

Query 24 above is an extension of Query 23. In the SQL query above, the GROUP BY-clause and HAVING-clause are particularly related to PNO in the nested query. If each group of PNO tuples satisfies the condition "HOURS = 20", and also if in each PNO there are three or more employees as a worker, then the PROJECT tuple will be selected. However, it must satisfy the join condition specified in the WHERE-clause.

(2) QBE. As discussed in Chapter II, QBE lacks the existential and universal quantification expressions. Therefore this kind of query cannot be specified.

(3) DFQL



Consider the DFQL query above. Part "X" is Query 23, and it can be directly used as a relation to be an input to the *groupAllSatisfy* operator. It takes the tuples and splits the tuples according to the PNO as a grouping attribute, and the tuples in each group must satisfy the condition specified "Hours = 20". Then, we retrieve the tuple result of the attribute desired by using the *project* operator.

The result of Query 24 is: none

f. Query 25: Retrieval involving universal quantification

Retrieve the department names which offer two or more projects where there are three or more employees who worked on it, and all of them has a work load of 20 hours.

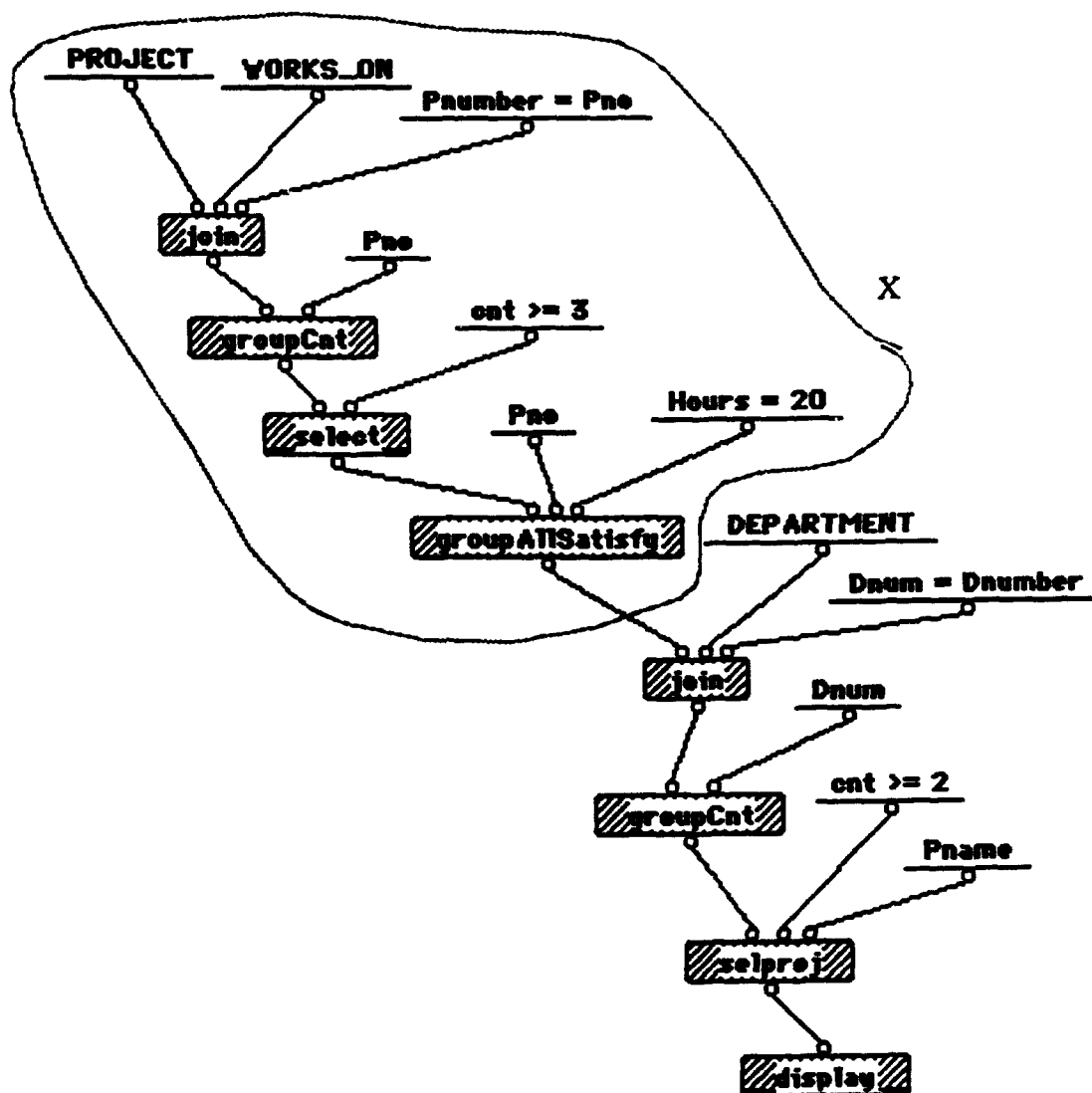
(1) SQL

```
SELECT DNAME
FROM   DEPARTMENT D, WORKS_ON W, PROJECT P
WHERE  D.DNUMBER = P.DNUM
      AND P.PNUMBER = W.PNO
      AND PNO IN (SELECT PNO
                  FROM   WORKS-ON
                  WHERE  HOURS = 20
                  GROUP BY PNO
                  HAVING COUNT (*) ≥ 3)
      GROUP BY DNUM
      HAVING COUNT (*) ≥ 2
```

Query 25 is expanded from Query 24, and the complexity of the query has increased. This query involves three relations and nested query. A GROUP BY-clause and HAVING-clause are used specifically for the nested query, and another GROUP BY-clause and HAVING-clause are used for the whole groups. Even though this query is just slightly different from previous Query 24, we have to rewrite while specifying this query.

(2) QBE. As discussed in Chapter II, QBE lacks the existential and universal quantification expressions. Therefore this kind of query cannot be specified.

(3) DFQL



Notice that the "X" part is Query 24. The tuple result is directly used as a relation to be joined with the **DEPARTMENT** relation according to the key and foreign key **Dnumber** and **Dnum**. The result of the cartesian product which is produced by the *join*

operator flows to *the groupCnt* operator which groups according to the grouping attribute of *Dnum*. Then, by employing the *selproj* operator we can count specifically the tuples which satisfy the condition specified, and directly project the values desired of the attribute list.

The result of Query 25 is: none

B. ANALYSIS

In the previous section, we observed how SQL, QBE, and DFQL specify all of the query examples which are composed in categories. Queries range from simple ones to queries which involve existential or universal quantifications, and complex nested queries in SQL. Some of the queries are stand-alone, but some others specified are logical extensions in complexity from one query to the next. By examining these queries the relative strengths and weaknesses related to ease-of-use, especially in expressing universal quantification, specifying the complex nested queries, and flexibility and consistency in formulating the queries with respect to data retrieval for RDBMS's are investigated.

1. Ease-of-use

Ease-of-use of query languages is part of the human factor aspect. In this research we emphasize the learning and writing of the query, as well as attempting to retrieve the output result. However, we have to keep in mind that query languages are high level languages that are also intended to be used by non programmers. Related to Ease-of-use, some researchers described that:

- The SQL language has been designed and intended to be easily learned and used by inexperienced user without specialized computer training [Reis75].
- The result of various psychological studies of language (QBE) show that it requires less than three hours of instruction for non programmers to acquire the skill to make fairly complicated queries [Zloo77]. People will write queries in QBE between two or three times faster than in SQL [Reis81].

- DFQL is proposed and implemented to mitigate problems that are encountered by the current query languages, SQL in particular. It requires about half an hour in a database class at NPS to acquire the concept and make more correct queries than SQL [Clar91].

According to our research through the previous Section "A." of this chapter, the above comments and results are absolutely valid for QBE and DFQL but *not* for SQL. Consider the representative sets of queries that we have in each category or from one category to the other categories. Here ease-of-use of each language can be pointed out clearly, where "once we learn a general construct from a sample query, if the way of thinking can be applied in a new query" we can say that there is certain degree of ease-of-use. For example, when we learn the technique to drive a car for 500 yards, then we could most likely can drive for another 1000 yards. Now, let's take a look at some of the queries that we have.

a. Queries involving existential or universal quantification

In the following discussions we covers several queries that are composed in single-value, set-value, and set-count value categories. Consider the queries below:

- Query 4: Retrieve the department number where all of its employees have salaries of more than \$40,000.
- Query 5: For each department retrieve the first name and the last name of employees who have no dependents.
- Query 6: Retrieve the first name, last name and department names where all of its employees have salaries of more than \$40,000 and have no dependents.

By looking at these three queries we realize that Query 6 is virtually the combination of Query 4 and 5. Now let's consider how do SQL, QBE, and DFQL construct all of these queries.

(1) SQL. See the construct of the structure of Query 4 and Query 5, where both queries contain NOT EXISTS operators that interpret the queries in a negative logic approach. Generally, these kind of query structures are not easy to understand, especially

Query 4. Assume, we understand the construct of both queries, however we cannot apply this similar thinking to specify the structure of Query 6. In this case, we do have to think very carefully since we have to specify a new query that may be very different in the structure. Therefore, these types of queries are difficult to specify even for the experienced users.

(2) QBE. QBE lacks universal quantification expressions. Therefore we cannot express these types of queries.

(3) DFQL. By learning the construct of Query 4 and Query 5, we can use the similar thinking of Query 4 and Query 5 in order to form a new Query 6. Once we know the construct of Query 4 and Query 5 we can use them in the other new query easily. Notice in Query 6 that the "X" part retrieves the tuples of employees who have salaries of more than \$40,000, as Query 4, and that the "Y" part retrieves employees who do not have dependents. We can logically combine these two constructs by using the *intersect* operator that combines union compatible tuples so that we have the tuples of all employees who have salaries of more than \$40,000 and have no dependents. Since we are interested in the department name also, we can easily join the tuples result above as new relation (r3) with the DEPARTMENT relation (r4) which match according to the key and foreign key of both relations, r3. Dno = r4. Dnumber. Finally, by employing the *project* operator we retrieve from the tuples the first name, last name, and department names of those employees.

By investigating the above queries, once we learn how to specify Query 4 and Query 5, we can generalize them in a straight forward manner to specify Query 6. We can say that this language is easy to learn (and thus easy to use). Consider the following queries that are similar to the above discussions:

- See Query 9, 10, which are difficult to specify in SQL, cannot be specified in QBE (see QBE description in Chapter II.C.1.c.), but are very easy in DFQL since we can apply the construct concept of Query 4.
- Query 20 also shows that in SQL it is not easy to learn or understand the structure, and in QBE it cannot be expressed. (See QBE description in Chapter II.C.1.c.). But in DFQL the data flows from one part to another are easy to follow and one can

understand what's going on.

b. Queries involving nested queries

In this section, we analyze queries which involve the *IN* operator in the nested query. In addition, we also examine several queries which contain the universal quantifier in the nested queries. Consider the following queries in the set-count value category:

- Query 21: Retrieve the total number of employees with salaries more than \$40,000 who worked in each department, but only for those departments where more than four employees work.

However, before going into any detail in Query 21, see first Query 19 in the set-value category. By examining these two queries we realize that Query 19 is expanded to Query 21.

- Query 19: For each department retrieve the department name and the total number of employees who are paid more than \$40,000.

Similar to the above description "1.a." we attempt to learn the construct from one sample query and extend it to create another new query. Consider how SQL, QBE and DFQL construct both queries:

(1) SQL. When we learn Query 19 and understand the construct, we are still not confident of how to specify the structure for Query 21 (or an incorrect query can be specified, see SQL query below Query 21). In other words, in SQL we cannot use the construct of a sample query to build a new query in a straight forward manner.

(2) QBE. In QBE we realize that the same thinking of the construct in Query 19 can also be used to specify Query 21. QBE in this case presents a simple and very intuitive extension.

(3) DFQL. When we learn the construct of Query 19, it is easy to understand Query 21. Here, the construct of Query 19 can be used as a part of Query 21. To build Query 21 we know that we need two parts; first the employees with salaries more

than \$40,000 and second, tuples of those department with more than four employees. See Query 21 of DFQL for details.

We also look at several queries which are similar to the above discussion. These types of queries are composed in the set-value, statistical-result, and set-count categories. Consider the queries below:

- Query 7 is extended to Query 8.
- Query 16 is extended to Query 18.
- Query 22 is modified to Query 23.
- Query 23 is extended to Query 23.
- Query 24 is extended to Query 25.

In addition to discussion in "1.a" and "1.b" above, see Query 1 in the single-value category. If we are interested in the *distinct* value, in SQL we have to use the keyword "DISTINCT" in the SELECT-clause, and in QBE the prefix "UNQ.". On the contrary, DFQL implements the *primitive* operators which have a similar capabilities to the relational algebra operators, so the duplicate tuples in the query result are eliminated. In this case, we consider that DFQL is easy to use, since we do not need to worry when and where we have to eliminate the duplicate tuples. For detailed problems concerning the duplicate tuples see [Codd90].

Next we examine the query that involves *select-project-join* with *two-join* conditions. See Query 3. In SQL it is not easy to comprehend what is going on in the query. QBE in this case presents a simple construct in which it is easy to follow the joining between relations and we know what's going on. Furthermore, in DFQL we can easily follow how the data flows from one part to the other part. It is understandable.

2. Flexibility

The flexibility which is offered by each language, is considered very useful in specifying queries. Therefore, we feel free to choose the techniques which are most comfortable and confident in order to specify the correct query. However, by having numerous ways of specifying the single query, it may introduce confusion about which technique to use to specify particular types of queries [Elma89].

a. SQL

SQL supports join conditions that can be used to specify many queries or use nested queries with or without the *IN* operator in it. See Query 9. Instead of using the *CONTAINS* operator we can use *NOT EXISTS* and the *IN* operator with a nested query. Also Query 11 that uses *IN* and *OR* operators can be specified using the *UNION* operator. Sometimes, queries in which are involved *NOT EXISTS* may be specified using the *IN* operator with nested query or vice versa. Query 8 is an example. It can be specified without the *IN* operator. Generally speaking, there are numerous ways to specify the same query in SQL [Elma89]. However, in some cases we have no confidence that our query writing is well specified or correct.

b. QBE

QBE provides less syntax than SQL and DFQL, therefore it does not have the flexibility like SQL does. However, the tuples result that are existed in several relations can be formed in one result relation. This flexibility makes the query result more meaningful. See Queries 11 and 18.

c. DFQL

DFQL provides *primitive* operators as described in Chapter II and also we have been demonstrated in Section "A" of this chapter. DFQL in this case, offers the flexibility to the user to use the combination or stand alone of the *primitive* operators with respect to the query concern. In queries which involved universal quantifier, like Query 4, instead of using the *groupAllSatisfy* operator we can apply the *select* and *groupCnt* operators. In Query 5, instead of using the *groupNoneSatisfy* operator we can also apply the *diff* operator in the main part of the query. In addition, DFQL allows the user to define their own *user-defined* operator such as the *selproj* operator of Queries 9, 10, 22, and 25. Furthermore, the output of one query can be used as an input or as a part of another new query. In fact, once we know the concept of each operator, we can use it in query construction easily. In DFQL, we feel more confident that our query is correct, since we can trace or check the flow to the result part by part.

3. Consistency

As described before, our investigation here is focused on the structure of queries specified in each language. If a mental model that we have for one sample query can be built or continued to another new query, where the new query keeps the same mental model of structure with the prior query, we can say that the language is consistent in structure. Consider the queries in the single-value, set-value, statistical-result, and set-count value categories:

- Query 6 is extended or combined from Query 4 and 5. All of these queries involve universal quantification.
- Query 7 and 8 involve explicit set.
- Queries 12, 13, 14, 15 relate to AVG function.
- Queries 16, 17, and 18 relate to MAX function.

- Query 19 is extended to Query 21.
- Query 22 is modified to Query 23, then Query 23 is extended to Query 24. Finally Query 24 is extended to Query 25.

By using the various query examples above, we can examine the structure of SQL, QBE, and DFQL. For detail, see and compare the structure of each query. Consider the following brief explanation:

a. SQL

SQL is not consistent in structure. If we attempt to extend the queries (complexity increases) as the queries above, so far we cannot apply our mental model of one construct of query structure to the next new query. In fact, we have to rewrite a new query from the beginning, which will often be very different in structure (inconsistent) with the prior queries. Therefore, inconsistency in specifying queries in SQL, exists and is confusing to the user.

b. QBE

QBE is very intuitive. In specifying the queries which are presented above QBE is very consistent in structure. The mental models that are formed in one query can be continued to other new queries easily, except for queries that involve universal quantification. Since QBE lacks existential and universal quantification expressions, this kind of query cannot be expressed.

c. DFQL

DFQL exhibits consistency in structure. If the queries are extended, we can use the output of a query result, whether a portion or the whole of a previous query, to be a part of other new queries. This flexibility is not exhibited in SQL, nor in QBE. Even though the queries are extended (complexity increases), DFQL remains consistent in its structure of query.

4. Relative Strengths and Weaknesses

In this section we present the relative strengths and weakness of these three languages. The following result is presented by referring to our previous discussion plus some general descriptions of each language. The relative strengths and weaknesses of SQL, QBE, and DFQL are summarized in Table 3.1.

TABLE 3.1: RELATIVE STRENGTHS AND WEAKNESSES OF SQL, QBE, AND DFQL.

Criteria	SQL	QBE	DFQL
(1). Expressive Power	<p>. It is approved as ANSI and ISO standard and commonly used in commercial systems.</p> <p>. It is relationally complete. In fact it has all the relational algebra operations, and also based on a relational calculus structure. In addition, it provides the capabilities for Statistical result based on the <i>built-in</i> function, also the GROUP BY, HAVING and ORDER clauses. However, several type of queries are still somewhat difficult to specify and comprehend.</p> <p>. Allows duplicate tuples to exist in the query result, see Query 1.</p>	<p>. It is commonly used in commercial systems.</p> <p>. QBE was proposed by Zloof as relationally complete. However QBE under QMF as discussed above is not relationally complete. It includes the grouping function, built-in function for statistical result and has condition box which is the same as the HAVING-clause in SQL.</p> <p>. Allows duplicate tuples to exist in query result, see Query 1.</p>	<p>. It is implemented in academic research.</p> <p>. It is relationally complete. In fact it has all of the relational algebra operators, and extends the capabilities of first order predicate logic including set, grouping and built-in functions for statistical results.</p> <p>. Duplicate tuples are automatically eliminated from the query result.</p>

TABLE 3.1: (Continued).

Criteria	SQL	QBE	DFQL
(1). Expressive Power (continued)	<p>. It is somewhat difficult to express the queries that involve existential or universal quantification. The use of negative predicate logic (NOT EXISTS) is hard to comprehend, see Queries 4, 6, 9, 10, 20, 21, 24, and 25.</p> <p>. It can be embedded within a general purpose programming language (host language), such as COBOL, C, PL/I, and Pascal.</p>	<p>. Queries which involve universal quantification cannot be specified. See Queries 4, 6, 9, 10, 20, 21, 24, and 25.</p> <p>. The embedment within a general purpose programming language is not implemented.</p>	<p>. It can express an existentially or universally quantified query easily. See Queries 4, 6, 9, 10, 20, 21, 24, and 25.</p> <p>. The embedment within a general purpose programming language is not implemented.</p>

TABLE 3.1: (Continued).

Criteria	SQL	QBE	DFQL
(2). Extensibility	. The capability for extending the existing operators does not exist.	. The capability for extending the existing operators does not exist.	. DFQL provides the <i>user-defined</i> operator, so the user may extend the query language by defining his/her <i>own-defined</i> operators from the existing set of <i>primitive</i> operators and/or from his/her own previously defined <i>user-defined</i> operators (i.e. the <i>selproj</i> operator). This flexibility is gained without a loss of the power of orthogonality. By using <i>user-defined</i> operators, common operations for any given user can be provided at whatever level of abstraction is needed. To illustrate the above description see Queries 9, 12, 17, 18, 22, and 25.

TABLE 3.1: (Continued).

Criteria	SQL	QBE	DFQL
(3). Ease-of-use	<p>. Text input is not a user friendly interface.</p> <p>. It has rigid rules and syntax. Must understand exactly when and where we have to use a particular syntax.</p>	<p>. Has a very user friendly interface, more intuitive than DFQL and SQL.</p> <p>. It uses less rigid syntax. Requires user to place an actual value, an example variable, and/or commands in the proper place (columns) in the table (relation).</p>	<p>. Even complex problems can be specified in an intuitive manner.</p> <p>. Once the construct is learned, it is easy to remember and to implement. The dataflow style query graph, flowing from one operator to the other, is easy to comprehend.</p>
	<p>. It requires longer time in order to acquire the concept than QBE and DFQL. Once we learn general construct of a sample query, we cannot apply the same thinking in a straight forward manner to specify other new queries. To illustrate the above mentioned, see Queries 4-5-6, 7-8, 16-18, 19-21, and 22-23-24-25.</p>	<p>. As previously mentioned, it requires three hours of instruction for a non programmer to acquire the skill to use QBE. Once we learn the construct of a sample query, we can use the same thinking in a straight forward manner for specifying the new query. To illustrate the above mentioned see Queries 7-8, 12-13-14-15, 16-17-18, and 22-23.</p>	<p>. As previously mentioned, requires about a half hour for data base class to acquire the concept and construct more correct queries than SQL. Once we learn the construct of a sample query, we can, in a straight forward manner, apply it for specifying a new query. More than that, in DFQL we can use the output of one query (or part of it) directly as an input or part of another new query. To illustrate the above mentioned see Queries 4-5-6, 7-8, 16-18, 19-21, and 22-23-24-25.</p>

TABLE 3.1: (Continued).

Criteria	SQL	QBE	DFQL
(3). Ease-of-use (continued)	<p>. Sometimes we are not confident while specifying the queries. This occurs when the complexity increases, particularly in queries that involve universal quantification. In other words, the use of negative predicate logic in the queries is not completely intuitive, see Queries 4, 5, 6, 8, 9, 10. The nested queries that involve the IN operator are also difficult to specify and comprehend, and easily lead us to be mixed-up and in specifying incorrect queries. Furthermore, some of the nested queries that are presented may not be permitted in the implementation of SQL. See Queries 21, 23, 24, 25.</p>	<p>. We feel more confident in specifying correct queries than in SQL. It is faster than SQL for all kinds of queries, and faster than DFQL (for simple queries only). See Queries 3, 5, 12, 13, 14, 16, 17, 18, 19, 23. But, if complexity increases it becomes less and less useful. See Queries 7, 8, 21, 22.</p>	<p>. We feel more confident in specifying queries (especially when the complexity of query increases) more correctly in DFQL than in SQL or QBE. For example, if the query involves universal quantification, as the main part we can use just one primitive operator such as <i>groupAllSatisfy</i>, <i>groupContain</i>, <i>groupNoneSatisfy</i>. See Queries 4, 6, 9, 10, 24, 25. For nested query with IN operator in SQL; see Queries i.e. 24, 25 in DFQL, both queries present a simple way and easy to grasp how the data flow from one part of the query to another part.</p>

TABLE 3.1: (Continued).

Criteria	SQL	QBE	DFQL
(3). Ease-of-use (continued)	<p>. This language lacks orthogonality, i.e. SQL allows only a single DISTINCT keyword in a SELECT statement at any level of nesting.</p> <p>. We can not reuse the result of one query in another new query. SQL just returns or passes the result of one part to the other, occurs in nesting queries.</p>	<p>. This language is orthogonal, and is both syntactically and semantically easier to use. It provides consistency in structure. It can be realized by examining all the QBE queries that are presented in Section "A".</p> <p>. We can not reuse the result of one query in another new query. In QBE we are allowed to make a new relation as result desired from other relation in the same query, then we can specify the other commands in order to get the other new relation, but it is usually applied in order to obtain a unique result. To illustrate, see the Queries 11, 18.</p>	<p>. This language is orthogonal, and is syntactically and semantically easier to use. It provides consistency and naturalness in using the operators. Since it possesses relational functional closure, we can use the result of any operator as a new relation that can be used as an input to other operators. It can be realized by examining all the queries that are presented in Section "A".</p> <p>. Incremental queries is another feature that makes DFQL distinct from SQL and QBE. We can increase or modify queries easily, and obtain the intermediate result of certain operators as desired. Then, the output of an operator is a relation that can be combined with another operator to form more a complex query. A subquery can be defined as a <i>user-defined</i> operator if desired to encapsulate, and use it as an input to the other new query. To illustrate, see Queries 6, 14, 15, 17, 18, 21, 24, 25.</p>

TABLE 3.1: (Continued).

Criteria	SQL	QBE	DFQL
(4). Flexibility	. See the above description in "A.2".	. See the above description in "A.2".	. See the above description in "A.2".
(5). Consistency	. See the above description in "A.3".	. See the above description in "A.3".	. See the above description in "A.3".
(6). Visual Interface	. It is not provided in text-based query language.	<p>. Visual interface is the feature that can perform all the strengths of QBE.</p> <p>. This feature grants the user to obtain the relation tables, and place all the <i>example variables</i>, <i>actual constants</i>, and <i>commands</i> in order to formulate the query.</p>	<p>. Visual interface is the one feature that can perform all the strengths of the DFQL.</p> <p>. This feature grants the user to interactively manipulate the DFQL query on the computer screen.</p>

TABLE 3.1: (Continued).

Criteria	SQL	QBE	DFQL
(7). Interface problem	<p>. Since it is not equipped with visual interface, SQL has no problem with it.</p>	<p>. This is the common problem that generally faces the visual interface applications. If the complexity increases, and we need several relations at once, then it becomes inconvenient, since it is hard to specify the connection between or know what is going on in the query.</p>	<p>. This is the common problem that is encountered by the visual interface applications. If the complexity of the queries increases, then the objects in the field of drawing become cluttered. We use the scroll bar, but we can not see the whole query at once.</p> <p>. One way that DFQL can reduce this problem, is encapsulate some portions of the query into <i>user-defined</i> operators and combine with the other portions, so it will be more readable.</p>

TABLE 3.1: (Continued).

Criteria	SQL	QBE	DFQL
(8). Language problem	<ul style="list-style-type: none"> . Has no problem embedded with host language (as mentioned above). . Since SQL has several dialects, the same query will be specified in different way and different structure (inconsistent). . Has it's own data definitions language (DDL). 	<ul style="list-style-type: none"> . QBE stands alone. It can not be embedded in a host language like SQL. . Has it's own data definition language (DDL). 	<ul style="list-style-type: none"> . DFQL queries can be compiled and inserted into textual programs as functions, however we can not see the DFQL code in the context of the query program. It will still be a problem since the host language is purely procedural, while DFQL is dataflow oriented. . The current implementation does not have it's own data definition language (DDL) but relies on the underlying relational DBMS.

IV. HUMAN FACTORS EXPERIMENT

A. HUMAN FACTORS ANALYSIS OF QUERY LANGUAGES

There are several query languages commercially available, and there is a need to examine a variety of different query languages in order to measure the notion of "ease-of-use" of query languages. The most common approach in capturing what is the query writing, in which subjects are given questions in English and asked to write the corresponding query language statement [Reis81].

B. EXPERIMENTAL COMPARISON OF SQL, QBE, AND DFQL

In this section, we review a very simple human factors experiment for comparing SQL, QBE, and DFQL. A general assessment of the experiment is provided. Since we know that QBE cannot express universal quantification (see Chapter II. C. 1. c), the tasks are divided into two parts:

- First part consists of five queries which can be specified in SQL and DFQL. In this group universal quantification is required.
- Second part consists three queries which can be specified by all three languages SQL, QBE, and DFQL. Universal quantification is not included.

This experiment is not intended to be a *rigorous* comparison of SQL, QBE, and DFQL.

1. Assessment of the Experiment

In this experiment 15 subjects were given five tasks of query in English on the relational database schema of Appendix A. The subjects coded or specified each of the query task. Three query tasks were applied to all three query languages, and two query tasks just applied to SQL and DFQL. Each response was then graded as either *correct* or *incorrect*.

a. Subjects

The experiment was conducted on 15 students enrolled in "Advance Database" and "Database Seminar" courses at the Naval Postgraduate School (NPS) in Monterey, California. The students at NPS are primarily U.S. military officers; foreign military officers and Department of Defense civilian employees are also represented. The composition of the student are recorded based on their academic backgrounds, which are broken down based on their bachelor degree which is classified as "technical" or "non-technical". In addition, subjects are also characterized by their programming experience. For analysis purposes, subjects with programming experience more than 1 year are classified as "experienced".

b. Teaching Method

All the subjects have already taken the introductory database system course for one quarter, so all of them have a background in relational algebra, relational calculus, SQL and QBE. A 30 minute presentation of DFQL concept was given at the beginning of the experiment. A handout describing the DFQL operators was given to the subjects.

c. Test Queries

The five test queries were based on the relational database schema in Appendix A. They are:

- Query Q1: "List the name and location of the projects whose member (at least one) earns more than \$40,000." The first query (Q1) involved only selection, projection, and joining to achieve the correct answer.
- Query Q2: "For each project, list the number of employees working on that project." The second query required grouping and counting. Here the comprehension is somewhat more complex than Q1.
- Query Q3: "Retrieve the total number of employees who worked more than or equal to 20 hours in each project, with more than two employees working." The third query, in addition to grouping and counting operations, also required special condition that needed another grouping and counting; in SQL, it is specified by HAVING-clause.

- Query Q4: "Retrieve the name of each employee who works on all projects that are located in Houston." The fourth query required the DIVISION operation of relational algebra, in SQL it could be specified wether using CONTAINS comparison or NOT EXISTS operators. In DFQL, it can be specified using *groupContain* operator. However, since QBE lacks universal quantifier, this type of query can not be expressed.
- The question Q5: "List the first name and last name of all employees who have only female dependents." The fifth query required the use of the universal quantifier and was subjectively viewed more difficult than the first three queries, but almost the same with query Q4. Here, SQL applied NOT EXISTS operator in the WHERE-clause, and in DFQL specified by the *groupAllSatisfy* operator. Similar to the fourth query, it cannot be expressed by QBE.

By providing five queries which were of increasing complexity, it was intended to see if DFQL perform better than SQL and QBE in more difficult queries. Subjects were given one week to complete the experiment.

d. Evaluation Method

The tests were collected and hand-graded by the researcher. The criterion evaluated by this experiment was graded as either *correct* or *incorrect* queries. Correct included responses that were either completely correct or contained a minor language or minor operand error. The following taxonomy of minor language error and minor operand error were given by Welty and Stemple [Welt81]. A minor language error is a basically correct solution with a small error that would be found by a reasonably good translator. A minor operand error is a solution with a minor error in its data specification, such as a misspelled column name. However, a transposition of column names (or simple use of the wrong column name) was classified as an incorrect answer because there is no way for the grader, or computer to determine the subject's intent.

2. Experiment Results

In this section we present a general discussion of the results derived from the data taken. The primary measurements of this experiment were made based on the entire sample population. The primary metric used was the number of questions answered correctly. This was calculated for each individual question and also for each language as a whole, the result are summarized in Table 4.1. In addition we also provided the results based on subject backgrounds (technical/non-technical and programming experience). However, since the percentage differences between SQL, QBE, and DFQL for all queries were nearly similar and the number of subjects in individual classification was small (due to small overall population size), the detailed statistical analysis was performed only on the total sample, see Table 4.2 and Table 4.3.

From Table 4.1., for the easiest query (Q1), subjects wrote a greater percentage of correct answers in SQL than in QBE (7%) or in DFQL (20%). But, in Q2 there was a difference of 53% for correct answer in DFQL compared to SQL and 40% compared to QBE. In Q3, there was only 7% more correct answers in DFQL compared to SQL and 0% compared to QBE. For Q4 the difference was 7% between DFQL and SQL. In Q5 there was a difference of 33% for correct answers in of DFQL compared to SQL. In the above analysis, we always subtract the SQL and QBE percentages of correct answers from DFQL; a difference of 20% means that DFQL produced 20% more correct answers than SQL or QBE.

Table 4.2. summarizes the percentage of correct queries for SQL, QBE, and DFQL for Q1, Q2, and Q3 broken down by technical/non-technical as well as experienced/non-experienced. We see that the subjects with a non-technical background got a slightly greater percentage of queries correct in all three languages than those with a technical background. The difference was 3% more correct for SQL, 2% for QBE, and 9% for DFQL.

In classification by experience, there was no difference in percentage of queries correct for SQL, while the less experienced subjects got 8% more correct for QBE queries, and the more experienced got 3% more correct for DFQL.

Table 4.3. summarizes the percentage of correct queries for SQL and DFQL for Q1 through Q5 broken down by technical/non-technical as well as experienced/non-experienced. We see that the non-technical got a slightly higher percentage correct for both (3% for SQL and 1% for DFQL). The experienced subjects got 7% more correct than the less experienced for SQL and 8% more correct for DFQL.

TABLE 4.1: EXPERIMENT RESULT

Task	% of Correct		
	SQL	QBE	DFQL
Q1	87	80	67
Q2	40	53	93
Q3	6	13	13
Q4	33	Not ¹ Comparable	40
Q5	0	Not Comparable	33
Overall of the first ² part which contains Q1 through Q5.	33	49	50
Overall of the second ³ part which contains Q1, Q2, and Q3.	44	49	58

1. Not Comparable, since QBE lacks of universal quantifier.

2. Overall first part is calculated for all the three languages SQL, QBE and DFQL.

3. Overall second part is calculated just for SQL and DFQL.

TABLE 4.2: PERCENT CORRECT OF SUBJECT CLASSIFICATION FOR Q1, Q2, AND Q3

Subject Classification	Number of Subjects	% Of Correct		
		SQL	QBE	DFQL
Technical	7	43	48	53
Non-Technical	8	46	50	62
Experience > 1 Yr.	12	44	47	59
Experience ≤ 1 Yr.	3	44	55	56
Total Sample	15	44	49	58

TABLE 4.3: PERCENT CORRECT OF SUBJECT CLASSIFICATION FOR Q1 THROUGH Q5

Subject Classification	Number of Subjects	% Of Correct	
		SQL	DFQL
Technical	7	31	51
Non-Technical	8	34	52
Experience > 1 Yr.	12	32	45
Experience ≤ 1 Yr.	3	39	53
Total Sample	15	33	50

3. Experiment Conclusions

Generally speaking, since this human factors experiment was conducted on only 15 subjects, the result is not a rigorous statistical comparison of SQL, QBE, and DFQL. However, we still can make the following observations:

a. Query (Q1)

SQL is better than QBE and DFQL for a simple query which involves only selection, projection, and joining, that is a query in the *single-value* category. Once the user learns and knows the concept of this type of query, it is easy for the user to build another query in a *single-value* category as long as the query requires only project, select, and join operations. See a representative query (Query 3) in Chapter III. A.1.c., which requires a simple selection and projection without a need of nesting. As long as nesting is not required, SQL seems to provide a simple and logical query construct.

b. Query (Q2)

DFQL is better than SQL and QBE for queries requiring grouping and counting operations. This kind of query composes *statistical result*. In DFQL, the idea of grouping and counting is easy to understand since it requires just one operator (*groupCnt*). See Query 14 as one similar to Q2. In SQL, some of the subjects misunderstood how the COUNT operator works, and they specified GROUP BY followed by an attribute name but did not specified this attribute in the SELECT-clause. In QBE, some of the subjects mixed-up the CNT and CNT.ALL operators.

c. Query (Q3)

In this query all three languages had an approximately equal percentage of correct answers. Query (Q3) requires grouping, counting functions and special condition. In SQL the special condition is known as HAVING COUNT (*), and in QBE it is normally

specified using *condition box*. In DFQL, it is formulated by using *groupCnt* followed by *select* operators. A representative of this kind of query is illustrated by Query 21 which is composed in *set-count value*, Chapter III. A. 4. b. Since Q3 increases in complexity compared to Q2, logically Q3 is more difficult. If subjects did not have a good understanding of the concept of this type of query, normally they come up with incorrect query. For instance in SQL, this query requires nesting, with GROUP BY and HAVING COUNT (*) operators in the nested part and another GROUP BY is needed for the whole query. Therefore, we can say this type of query was more difficult to formulate in SQL compared to QBE and DFQL.

d. Query (Q4)

Query (Q4) exhibited no significant difference in percentage of correct answers between SQL and DFQL. This type of query requires the DIVISION operation of relational algebra, which is similar to Query 9 (*set-value* category, see Chapter III.A. 2. d.). For SQL, this query is easy if the subject understands the relational division and the SQL implementation supports the CONTAINS operation. In cases where the CONTAINS operation is not available, it would be much more difficult because either:

- User has to translate relational division into equivalent relational operations, and then write the SQL corresponding to the translated relational operations, or
- User has to re-think in SQL using operations such as the NOT EXISTS operator. In this case, user has to change his/her mental model to negative logic while formulating the query.

e. Query (Q5)

Query (Q5) involves existential or universal quantification. In SQL the NOT EXISTS and EXISTS operators with two nested queries are required to specify the query. This kind of query is similar to Query 10 which is composed in *set-value*, Chapter III. A. 2. e. Since the NOT EXISTS is used the user must think in the negative logic, which is more

difficult to formulate even for the experienced users. Not one of the subjects formulated a correct answer in SQL for this query (Q5). However, in DFQL, universal quantification can be formulated just by using the *groupAllSatisfy* operator. Therefore, for queries which involve universal quantification, DFQL offers a more understandable approach than SQL.

By examining these five tasks, for a simple query which requires selection and projection without nesting, SQL seems a simple and logical construct. However, for queries which require grouping, counting and universal quantification, DFQL seems better in specifying the query than QBE and SQL.

V. CONCLUSIONS

There are some known problems with a widely used query language such as SQL and QBE. Some of the problems are the lack of expressing universal quantification, specifying complex nested queries, and flexibility and consistency in specifying queries with respect to data retrieval. To alleviate these problems, a new query language called "DFQL" was proposed. We conducted a comparison of three languages: SQL, QBE, and DFQL.

Numerous queries were grouped into four categories: *single-value*, *set-value*, *statistical result*, and *set-count value*; specified in SQL, QBE, and DFQL, and compared in each category. In the queries comparison, queries ranged from the simple ones to queries which are involved existential or universal quantification and complex nested queries. Some of the queries are stand-alone, while some others specified are logical extensions of one query to the next, with the complexity increasing (refer to Query 1 through 25 in Chapter III). These representative sets of queries were chosen in order to investigate the relative strengths and weaknesses of each language related to ease-of-use issues, especially in expressing universal quantification, nested queries, and flexibility and consistency in specifying the queries with respect to data retrieval for RDBMS's.

In this research, based on the above queries mentioned, and the analysis which are summarized in Table 3.1., we conclude that DFQL eliminates the problems which are encountered by SQL and QBE mentioned above. The relative strengths of DFQL comes mainly from its strict adherence to relational algebra and dataflow-based visuality. Strict adherence to relational algebra allowed users not to worry about exceptions as was the case with SQL. Dataflow-based visuality required users only to master a very simple and intuitive dataflow paradigm to write queries. A simple paradigm of dataflow suffices even for a very complex query, because the complexity of the query is handled by high-level, *user-defined* operations, not by extending the language construct as is the case with the

other two languages. Although the number of subjects in our experiment is too small to conclude affirmatively that DFQL is better than the other two, the result of the experiment showed that DFQL's ease of query writing resulted in a greater percentage of correct queries, especially queries which involved *count*, *grouping* functions and *universal quantification* (complex queries), than in either SQL or QBE.

LIST OF REFERENCES

- [ANSI86] American National Standards Institute (ANSI), *The Database Language SQL*, Document ANSI X3. 135-1986 (1986).
- [Astr76] Astrahan, M. M., et al., *System R: Relational Approach to Database Management*, ACM Transactions on Database Systems, vol.1, no.2, pp. 97-137, June 1976.
- [Cham74] Chamberlin, D. D., and Boyce, R.F., *SEQUEL: A Structure English Query language*, Proceedings of the ACM--SIGFIDET Workshop, Ann Arbor, Michigan, May 74.
- [Chen76] Chen, P. P., *The Entity-Relationship Model -- Toward a Unified of Data*, ACM transactions on Database System, vol.1, March 1976.
- [Clar91] Clark, G., and Wu, C. T., *Dataflow Query Language for Relational Database*, Department of Computer Science Naval Postgraduate School, Monterey CA.
- [Codd70] Codd, E. F., *A Relational Model of Data Large Shared Data Bank*, Communication of the ACM, vol. 13, no.6, pp. 377-397, June 1970.
- [Codd71] Codd, E. F., *Relational Completeness of Data Base Sublanguages*, Courant Computer Science Symposium 6, Data base Systems, pp. 65-98, May 1971.
- [Codd88a] Codd, E. F., *Fatal Flaws in SQL: Part I*, Datamation, vol. 34, pp. 45-48, 15 August 1988.
- [Codd88b] Codd, E. F., *Fatal Flaws in SQL: Part II*, Datamation, vol. 34, pp. 71-74, 1 September 1988.
- [Codd90] Codd, E. F., *The Relational Model for Database Management: Version 2*, Addison-Wesley, 1990.
- [Date82] Date, C. J., *An Introduction to Database Systems*, Third Edition Addison-Wesley, 1982.
- [Date84] Date, C. J., *A Critique of The SQL Database Language*, ACM Sigmod Record vol. 14, no. 3 pp. 8-54, November 1984.
- [Date87] Date, C. J., *Where SQL Falls Short*, Datamation, vol. 33, pp. 83-86, 1 May 1987.

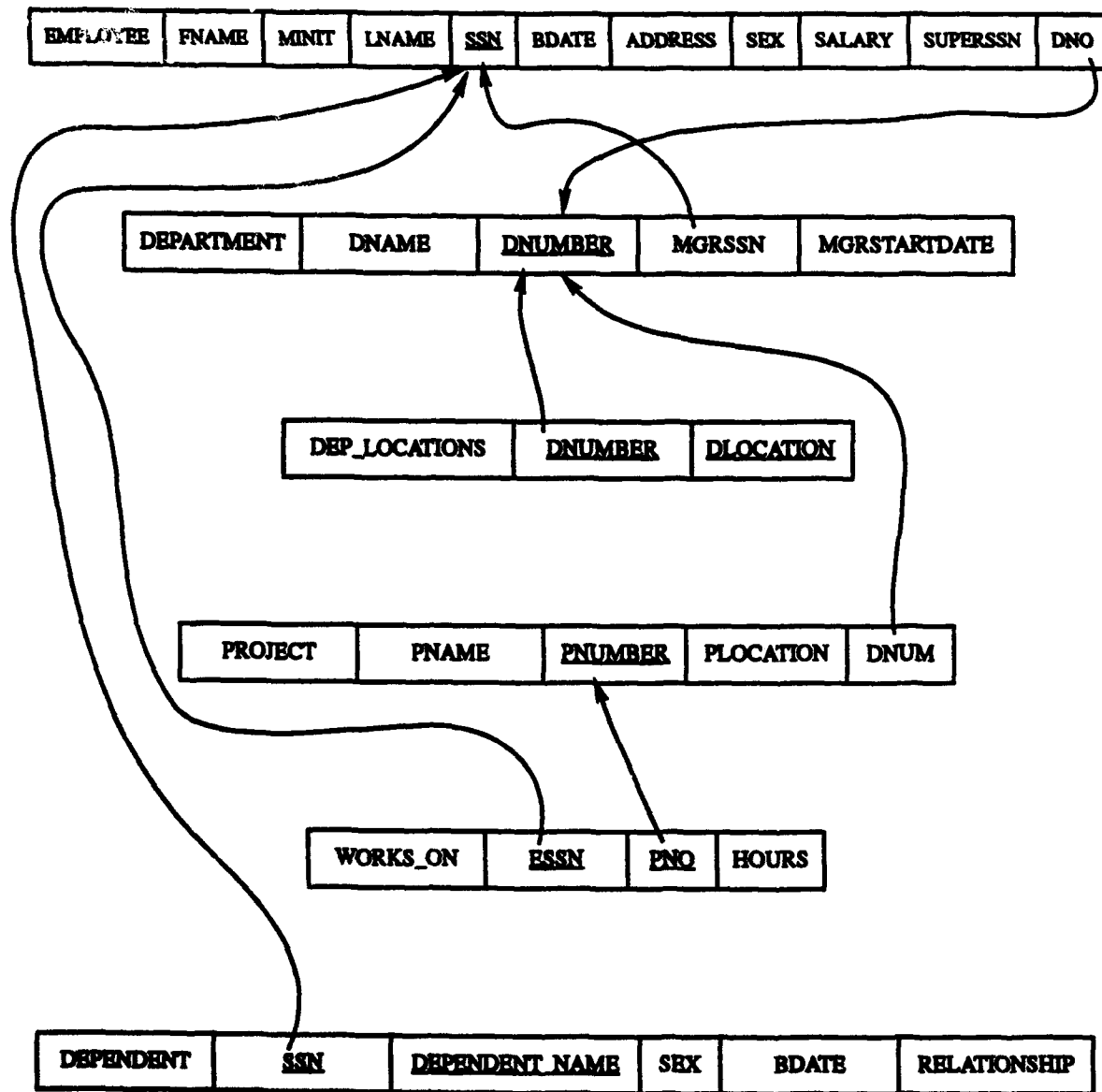
- [Date90a] Date, C. J., *Relational Database Writings 1985-1989*, Addison-Wesley, 1990.
- [Date90b] Date, C. J., *An Introduction to Database Systems, Fifth Edition*, Addison-Wesley, 1990.
- [Elma89] Elmasri, R., and Navathe, S. B., *Fundamental of Database Systems*, Benjamin/Cummings, 1989.
- [Fran88] Frank, L., *Database Theory and Practice*, Addison-Wesley, 1988.
- [Hans92] Hansen, G. W., and Hansen, J. W., *Database Management and Design*, Prentice Hall, 1992.
- [Negr89] Negri, M., Pelagatti, G., and Sbattela, L., Short Notes: Semantics and Problem of Universal Quantification in SQL, *The computer Journal*, vol. 32, pp. 90, 91, 1989.
- [Ozso89] Ozsoyoglu, G., Matos, V., and Ozsoyoglu, Z. M., *Query Processing Techniques in the Summary-Table-by-Example Database Query Language*, *ACM Transactions on Database Systems*, vol. 14, no. 4, pp. 526-573, December 1989.
- [Ozso93] Ozsoyoglu, G., and Wang, H., *Example-Based Graphical Database Query Languages*, *Computer*, vol. 26, no. 5, May 1993.
- [Reis75] Reisner, P., Boyce, R. F., and Chamberlin, D. D., *Human factors evaluation of two data base query languages-square and sequel*, *AFIPS Proceedings*, vol. 44, pp. 447-452, May 19-22, 1975.
- [Reis81] Reisner, P., *Human Factors Studies of Database Query Languages: A Survey and Assessment*, *Computing Surveys*, vol. 13, pp. 13-31, March 1981.
- [Sebe89] Sebesta, R. W., *Concept of Programming Languages*, Benjamin Cumming, 1989.
- [Schn78] Schneiderman, B., *Improving the Human Factors Aspect of Database Interactions*, *ACM Transactions on Database Systems*, vol. 3, pp. 417-439, December 1978.
- [Turg93] Turgay, C., *Design and implementation of Amadeus Front-end System which uses Data Flow Query Language for multiple RDBMS*, Department of Computer Science Naval Postgraduate School, Monterey CA.
- [Welt81] Welty, C., and Stemple, D. W., *Human Factors Comparison of a Procedural and a Nonprocedural Query Language*, *ACM Transactions on Database Systems*, vol. 6, pp. 626-649, December 1981.

- [Wu91] Wu, C. T., and Clark, G., DFQL: Dataflow Query Language for Relational Databases, Department of Computer Science Naval Postgraduate School, Monterey CA., 1991.
- [Zloo77] Zloof, M. M., Query-by-Example: A Data Base language, IBM System Journal, vol. 16, pp. 324-343, 1977.

APPENDIX - A

EXAMPLE DATABASE

Through out this thesis all the query examples are matched the relational schema database which is called COMPANY database [Elma89].



EMPLOYEE	FNAME	MINIT	LNAME	SSN	BDATE	ADDRESS	SEX	SALARY	SUPERSSN	DNO
	John	B	Smith	123456789	09-Jan-55	731 Fondren, Houston, TX	M	30000	333445555	5
	Franklin	T	Wong	333445555	08-Dec-45	638 Voss, Houston, TX	M	40000	888665555	5
	Alicia	J	Zelaya	999887777	19-Jul-58	3321 Castle, Spring, TX	F	25000	987654321	4
	Jennifer	S	Wallace	987654321	20-Jun-31	291 Berry, Bellaire, TX	F	43000	888665555	4
	Ramesh	K	Narayan	666884444	15-Sep-52	975 Fire Oak, Humble, TX	M	38000	333444555	5
	Joice	A	English	453453453	31-Jul-62	5631 Rice, Houston, TX	F	25000	333444555	5
	Ahmad	V	Jabbar	987987987	29-Mar-59	980 Dallas, Houston, TX	M	25000	987654321	4
	James	E	Borg	888665555	10-Nov-27	450 Stone, Houston, TX	M	55000	null	1

DEP_LOCATIONS	DNUMBER	DLOCATION
	1	Houston
	4	Stafford
	5	Bellaire
	5	Sugarland
	5	Houston

DEPARTMENT	DNAME	DNUMBER	MGRSSN	MGRSTARTDATE
	Research	5	333445555	22-May-78
	Administration	4	987654321	01-Jan-85
	Headquarters	1	888665555	19-Jun-71

PROJECT	PNAME	PNUMBER	PLOCATION	DNUM
	ProductX	1	Bellaire	5
	ProductY	2	Sugarland	5
	ProductZ	3	Houston	5
	Computerization	10	Stafford	4
	Reorganization	20	Houston	1
	Newbenefits	30	Stafford	4

WORKS_ON	ESSN	PNQ	HOURS
	123456789	1	32.5
	123456789	2	7.5
	666884444	3	40.0
	453453453	1	20.0
	453453453	2	20.0
	333445555	2	10.0
	333445555	3	10.0
	333445555	10	10.0
	333445555	20	10.0
	999887777	30	30.0
	999887777	10	10.0
	987987987	10	35.0
	987987987	30	5.0
	987654321	30	20.0
	987654321	20	15.0
	888665555	20	null

DEPENDENT	SSN	DEPENDENT NAME	SEX	BDATE	RELATIONSHIP
	3334455555	Alice	F	05-Apr-76	DAUGHTER
	3334455555	Theodore	M	25-Oct-73	SON
	3334455555	Joy	F	03-May-48	SPOUSE
	987654321	Abner	M	29-Feb-78	SPOUSE
	123456789	Michael	M	01-Jan-78	SON
	123456789	Alice	F	31-Dec-78	DAUGHTER
	123456789	Elizabeth	F	05-May-57	SPOUSE

INITIAL DISTRIBUTION LIST

- | | | |
|----|---|---|
| 1. | Defense Technical Information Center
Cameron Station
Alexandria, VA 22304-6145 | 2 |
| 2. | Dudley Knox Library, Code 52
Naval Postgraduate School
Monterey, CA 93943-5002 | 2 |
| 3. | Dr. Ted Lewis, Code CS/Lt
Chairman, Computer Science Department
Naval Postgraduate School
Monterey, CA 93943-5000 | 1 |
| 4. | Dr. C. Thomas Wu, Code CS/Wq
Professor, Computer Science Department
Naval Postgraduate School
Monterey, CA 93943-5000 | 2 |
| 5. | LCDR John S. Falby, USN, Code CS/Fa
Computer Science Department
Naval Postgraduate School
Monterey, CA 93943-5000 | 2 |
| 6. | Head of Education of the Department of Defence and Security
KAPUSDIKLAT Departement Hankam
Jl. Pangkalan Jati No. 1
Jakarta - Selatan
Indonesia | 1 |
| 7. | Direktorat Pendidikan TNI-AL
Mabesal - Cilangkap
Jakarta - Timur
Indonesia | 1 |
| 8. | Office of Defence Attache
Embassy of the Republic of Indonesia
2020 Massachusetts Avenue, N.W.
Washington, D.C., 20036 | 1 |
| 9. | Ka Dislitbangal
Jl. Pangkalan Jati No. 1
Jakarta - Selatan
Indonesia | 1 |

- | | | |
|-----|--|---|
| 10. | Ka Dispullahta
MABES TNI-AL
Cilangkap-Jakarta Timur
Indonesia | 1 |
| 11. | Paruntungan Girsang
Jl. Cawang Baru 34-36
Jakarta Timur
Indonesia | 3 |
| 12. | Main Library
University of North Sumatera
Medan
Indonesia | 1 |
| 13. | Library of the Faculty of Technology
University of North Sumatera
Medan
Indonesia | 1 |