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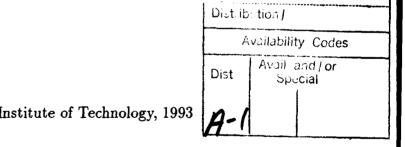
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Optical Flow from 1D Correlation: Application to a simple Time-To-Crash Detector

Nicola Ancona and Tomaso Poggio

Abstract

In the first part of this paper paper we show that a new technique exploiting 1D correlation of 2D or even. 1D patches between successive frames may be sufficient to compute a satisfactory estimation of the optical flow field. The algorithm is well-suited to VLSI implementations. The sparse measurements provided by the technique can be used to compute qualitative properties of the flow for a number of different visual tasks. In particular, the second part of the paper shows how to combine our 1D correlation technique with a scheme for detecting expansion or rotation ([5]) in a simple algorithm which also suggests interesting biological implications. The algorithm provides a rough estimate of time-to-crash. It was tested on real image sequences. We show its performance and compare the results to previous approaches.



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1 Introduction

The problem of how to compute efficiently estimates of the optical flow at sparse locations is of critical importance for practical implementations in a number of different tasks. A specific example is the detection of expansion of the visual field with a rough estimate of time-tocrash (TTC). The question has also interesting relations with biology, as we will discuss later. In this paper we propose an efficient algorithm for computing the optical flow which performs well in a number of experiments with sequences of real images and is well suited to a VLSI implementation.

Optical flow algorithms based on patchwise correlation of filtered images perform in a satisfactory way [3] and better in practice than most other approaches (see [1]). Their main drawback is computational complexity that forbid at present useful VLSI implementations. In this paper we show that 1D patchwise correlation may provide a sufficiently accurate estimate of the optical flow¹. We will then show with experiments on real image sequences how to apply this technique to measure time-to-crash, by exploiting a recently proposed scheme [5]. The latter scheme, which is robust and invariant to the position of the focus of expansion or the center of rotation, relies on sparse measurements of either the normal or the tangential component of the optical flow (relative to a closed contour). We will also discuss some broad implications of this work for the practical computation of the optical flow and for biology, in particular its relation to Reichardt's-type models.

There are two main and quite separate contributions in this paper:

- 1. an efficient 1D correlation scheme to estimate the optical flow along a desired direction
- 2. the experimental demonstration that a previously proposed algorithm for estimating time-to-crash performs satisfactorily in a series of experiments with real images in which the elementary measurements of the flow are obtained by the new 1D correlation scheme.

2 Computing the Optical Flow along a Direction

How can the component of the optical flow be measured efficiently along a certain desired direction? As argued by Verri and Poggio [12] a qualitative estimate is often sufficient for many visual tasks. For the task of detecting a potential crash, for instance, it has been suggested ([5]) that a precise measurement of the normal component of the flow may not be necessary, since the precise definition of the optical flow is itself somewhat arbitrary: it is sufficient that the estimate be qualitatively consistent with the values of the perspective 2D projection of the "true" 3D velocity field for the particular stimulus. In other words, even estimates that don't really measure image-plane velocity (like Reichardt's correlation model or equivalent energy models), since they also depend on spatial structure of the image, may be acceptable for several visual tasks, if their estimates are consistent over the visual field. Certain uses of a crash detector are good examples. It turns out that even a rough estimate of time-to-crash (TTC) is possible using approximate estimates of the optical flow field. Flies and other insects rely for landing on what appears to be a qualitative estimate of the time-to-crash!

2.1 1D correlation of 2D patches

A possible approach for an approximative estimate of the optical flow is to use a 1D correlation scheme between two successive frames, instead of 2D correlation, as in [3]. The basic idea underlying the full 2D correlation technique that we label 2D-2D in this paper² is to measure, for each desired location, the (x, y) shift that maximizes the correlation between 2D patches centered around the desired location in successive frames. The patchwise correlation between the image at time t and at time $t + \delta t$ is defined as

$$\Phi(\delta x, \delta y; t) \equiv I^{w} \otimes I = \int I^{w}(\xi, \eta; t) I(\delta x + \xi, \delta y + \eta; t + \delta t) d\xi d\eta$$
(1)

where $I^w(\xi, \eta; t)$ is the image at time t windowed to the patch of interest and set to 0 outside it. The L_2 distance has very similar properties to the correlation measure³. In the context of this paper, minimizing the L_2 distance is exactly equivalent to maximizing the correlation (the observation is due to F. Girosi). As noticed before [3], the previous idea can be regarded as an approximation of a regularization solution to the problem of computing the optical flow⁴. Usually, one does not use grey values directly but rather some filtered version of the image, for instance through a Laplacian-of-a-Gaussian filter (see [3]), possibly at different resolutions.

Let us call $D(\delta_x, \delta_y)$ the L_2 distance between 2 patches in 2 frames at location (x, y) as a function of the shift vector (δ_x, δ_y) . The "winner-take-all" scheme finds $\mathbf{s}^* = (\delta_x^*, \delta_y^*)$ that minimizes D (or maximize the correlation function $\Phi(\delta_x, \delta_y)$) and assumes that the optical

1

¹In this paper we use mainly the L_2 distance rather than the correlation itself. Since the two measures are equivalent for the purposes of this paper, we will often use the terms "correlation" and "distance" in an interchangeable way.

²It is also called winner-take-all method.

³The L_2 distance is in this case the square root of the sum of the squares of the differences between values of corresponding pixels. Other "robust" distance metric may be used, such as the sum of absolute values.

⁴And in turn several definitions of the optical flow such as Horn and Schunk's, can be shown to be approximations of the correlation technique [6].

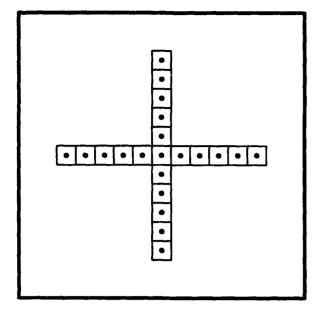


Figure 1: The search space for the 1D-2D scheme used for the computation of the x and y components of the optical flow.

flow estimate is $\mathbf{u}^* = \mathbf{s}^* / \Delta t$, where Δt is the interframe interval.

It is natural to consider whether the component of \mathbf{u}^* along a given direction, for instance x, may be estimated in a satisfactory way simply by computing the δx that minimizes $D(\delta x, 0)$, that is the patchwise correlation as a function of x shifts only. We have found in our experiments that 1D correlation of a 2D patch provides estimates of δx^* that are very close to the estimates obtained from the 2D-2D technique. We label this technique 1D-2D, since it involves one-dimensional correlations on 2D patches.

If we combine horizontal and vertical motion detectors of our 1D, winner-take-all type (see fig.1), we obtain an appealing scheme to estimate the optical flow field at one point. The optical flow in one point is the vector sum of the x and y components computed by using such motion detectors. The key aspect of this approach is its reduction of the complexity of the problem, while maintaining a good estimation of the flow field: a complete two-dimensional search required in the winner-take-all scheme [3] is reduced to two one-dimensional searches. Let us call v_{max} the maximum velocity expected on the image plane. In [3] the search space size to scan is $(2v_{max} + 1)^2$ for each point; in our approach, its size is limited to $2(2v_{max} + 1)$.

2.2 1D correlation of 1D patches

So far we have discussed that 1D correlation of 2D between correl patches gives a satisfactory estimate of the optical flow between two successive frames, reducing the search space of corresponding points. This is equivalent to saying that $\frac{1}{2}$ of 1D patches.

the

$$min_{\delta x} \Phi(\delta x, 0)$$

and

 $min_{\delta y} \Phi(0, \delta y)$

give a satisfactory estimate of

$$min_{\delta x,\delta y}\Phi(\delta x,\delta y)$$

This suggests a further simplification: instead of $\Phi(\delta x, 0)$ consider a projection on x of $\Phi(\delta x, \delta y)$ obtained by some form of averaging operation on y, that is

 $\Phi * h_2$

where h_2 is a 2D filter such as a Gaussian elongated in the y direction and * stands for the convolution operator. By well known properties of the Gaussian function, h_2 can always be written as

$$h_2 = h * h,$$

where h are Gaussian functions of appropriate variance. Assuming that we can neglet the patch size in the definition of Φ , we can write:

$$\Phi * h_2 = (I_t * h) \otimes (I_{t+\delta t} * h)$$
(2)

where $I_t = I(x, y, t)$.

Thus, in the approximation of a large patch size, projecting the correlation function is equivalent to appropriately filtering the two images before correlation. Since it is usually better to discount the average intensity as well as small gradients through a high-pass filtering operation, in order to estimate the x-component of u, we just perform a Gaussian smoothing in the y direction, as shown in eq. 2, and then perform an additional convolution with the first or second derivative of a Gaussian function elongated in the x direction. Therefore the intensity function that is used in practice in the correlation operation is:

$$\hat{I}_{t} = (G_{\sigma_{y}}(y) * I_{t}) * G_{\sigma_{x}}''(x)$$
(3)

where σ_x and σ_y define the receptive field of such an elementary motion detector. After this filtering step, it is sufficient to evaluate the maximum of the correlation function only on 1D patches to obtain an estimate of the x component of the flow. The previous argument does not strictly apply to the L_2 distance measure that we have used in our experiments. The very close similarity between correlation and distance, however, suggests a very similar behavior in both cases. We label this technique the 1D-1D scheme since it involves 1D correlations of 1D patches.

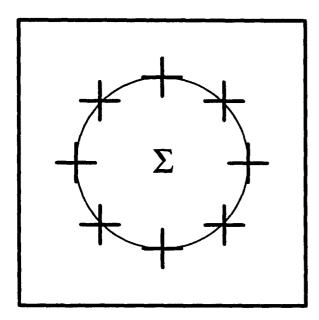


Figure 2: A TTC detector consisting of elementary motion detectors (see figure 1) at several locations along a closed contour. Each of the elementary motion detectors could be replaced by a single detector normal to the circle.

3 A crash detector: the Green theorem scheme

As described in [5] (see also [2]), the divergence of the optical field $\nabla \mathbf{u}(x, y)$ is a differential measure of the local expansion ($\nabla \mathbf{u}(x, y) = \frac{\partial u_x(x, y)}{\partial x} + \frac{\partial u_y(x, y)}{\partial y}$). For a linear field (i.e. $\mathbf{u}(\mathbf{x}) = A\mathbf{x}$), the divergence of \mathbf{u} is the same everywhere. In the case of linear fields (and all fields can be approximated by linear fields close to the singularity), the integral of the divergence over an area is invariant with respect to the position of the center of expansion. Green's theorems show that the integral over a surface patch S of the divergence of a field \mathbf{u} is equal to the integral along the patch boundary of the component of the field which is normal to the boundary ($\mathbf{u} \cdot \mathbf{n}$). In formula

$$\int_{S} \nabla \mathbf{u}(x, y) dx dy = \int_{C} \mathbf{u} \cdot \mathbf{n} dl. \qquad (4)$$

Therefore, since for a linear field $\nabla \mathbf{u} = 2/\tau$ where τ is the time to crash (TTC), a TTC detector that exploits the Green theorem just needs to sum over a closed contour, say a circle, the normal component of the flow measured at n points along the contour. We assume that the task is to compute time to crash (TTC) for pure translational motion. Possibly the simplest TTC detector of this type, shown in figure 3, is composed of just 4 elementary motion detectors. In this case we have to sum the x-component of \mathbf{u} for the vertical ones, with the

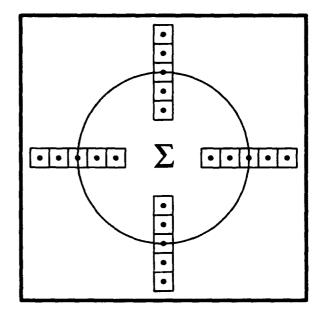


Figure 3: Time-to-crash detector that exploits Green theorem.

correct sign.

Due to the invariance with respect to the position of the focus of expansion (or contraction) we can in principle arrange a certain number of them (see fig.4) on the image plane. Our simulations suggest that one detector with a large radius (fig. 3) is better than several, "smaller" detectors (fig. 4) in situations in which the whole visual field expands, probably because of better numerical stability of the estimates. Of course, a "large" detector has a poorer spatial resolution and this may be a problem in some applications (but not ours).

We have discussed so far schemes for detecting expansion. Similar arguments hold for rotation. The Green 's theorem relevant to this case is usually called Stokes' theorem and takes the form

$$\int_{S} \nabla \wedge \mathbf{u}(\boldsymbol{x}, \boldsymbol{y}) \cdot d\mathbf{S} = \int_{C} \mathbf{u} \cdot d\mathbf{r}$$
 (5)

which says that the total flux of the differential measure of "rotationality" of the field $\nabla \wedge \mathbf{u}$ across the surface patch S is equal to the integral along the boundary C of the surface patch of the component of the field which is tangential to the boundary. As described in [5], each elementary detector evaluates the tangential flow component at the contour of the receptive field (see fig.5). In this case a detector has to compute the component of \mathbf{u} along the tangential direction at the contour.

4 Experimental results

4.1 The 1D-2D scheme

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We have extensively tested our approach on real image sequences. Each sequence was acquired from a camera

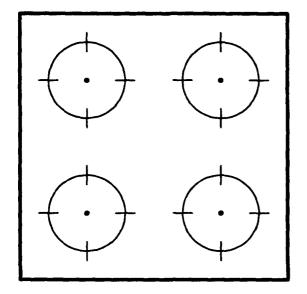


Figure 4: A possible arrangement of TTC detectors in the image plane that is not as efficient as a single TTC detector with greater radius but has higher spatial resolution.

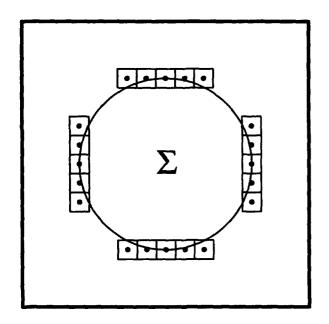


Figure 5: Motion detector that exploits Stokes' theorem.

mounted on mobile platform moving at constant velocity. In all experiments the movement of the vehicle was a forward translation along a straight trajectory. We have verified the results obtained from our 1D-2D approach with the standard winner-take-all (2D-2D) scheme [3] [1].

Figure 9 shows the first and last image of a sequence composed of 100 frames. Each image of the sequence is first convolved with a Gaussian filter having $\sigma = 0.5$. In both the algorithms we have used $v_{max} = 9$ and $\nu = 20$ pixels, where v_{max} is the maximum expected velocity of the points on the image plane and ν is the ray of the patch used for the evaluation of Φ . In other words, the correlation window used for the optical flow computation is 41 \times 41 pixels and the search space used is 19 \times 19 by 2D-2D and 19 + 19 by 1D-2D. Figures 10 shows the optical flows computed by the two methods using two successive images of the sequence. The position of the focus of expansion was computed by using the approach described in [11].

We have used the method described in [11] and [5] to verify the TTC estimation. To compute the TTC at a point by using the method in [11], we used an area of 81×81 pixels around that point. The points were 10 pixels apart. To compute TTC by using the method described in [5], we used a lattice of overlapping motion detectors. The distance between two points on the lattice was 10 pixels. Each detectors had a receptive field of ray r = 40 pixels. In fig. 11, we compare the results obtained by using the 2D-2D estimation of the optical flow with the 1D-2D one, by using the two different methods in the first stage of the TTC. Performing a linear best fit on the TTC measurements, we obtain a slope of m = -1.036by using the optical flows computed by 2D-2D and the method described in [11], and m = -1.139 by using the optical flows computed by 1D-2D and the method described in [5]. Comparing the true TTC (straight line in fig. 11) with the TTC measures obtained by using the second method, we estimate an absolute error in the mean of 2.63, with a standard deviation of 3.35 frame unit. In terms of relative units, the error in the mean is 5.7% with a standard deviation of 6.1%.

4.2 The 1D-1D scheme

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In this section we compare the results obtained by using 2D-2D and 1D-1D. In both techniques, we have used $v_{max} = 9$ and $\nu = 20$ pixels. In other words, the correlation window used for the optical flow estimation is 41×41 pixels for 2D-2D and 41 pixels for 1D-1D. In the filtering step we have used $\sigma_y = 6$ and $\sigma_x = 3$ pixels for computing the x-component of the optical flow. These values of σ produce a receptive field of an elementary motion detector equals to that used by 2D-2D (1681 pixels). The fig. (6) shows a plot of the 2D correlation function used in 2D-2D over a 2D search space and a 2D integration area. Figures (7) and (8) show a plot of

2D Distance Function

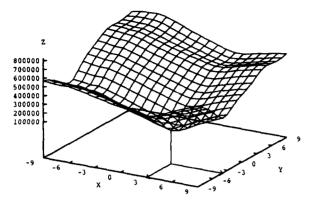


Figure 6: 2D distance function. The arrows indicate the position of the minimum.

1D correlation functions used by the 1D-1D technique to estimate both components of the optical flow. In this case we used two 1D search spaces (in x and y directions respectively) and a 1D integration area. Notice that this approach is capable of computing a reliable estimation of the flow vectors, while reducing the complexity of the problem.

Figures (13), (17), (21) show the first and the last image of three sequences acquired from a camera mounted on a mobile platform moving at constant velocity, along a straight trajectory. Figures (14), (18), (22) show the optical flows computed by the two methods, by using two successive frames of the sequences. The mean (continuous line) and the standard deviation (dashed line) of the error on the optical flow estimation is shown in figures (15), (19), (23). Figures (16), (20), (24) show the TTC estimation by using the two different methods. In each experiments, we have used only one TTC detector, with receptive field of r = 80 pixel, composed by 32 elementary motion detectors (see fig. (2)).

5 Conclusions

5.1 Extensions of the optical flow algorithm

There are several directions in which we plan to improve and extend our scheme:

• it may be possible to reduce further the number of sample points for D_p (i.e. the number of shifts) by using techniques for learning from examples such as the RBF technique ([4]) to approximate $D_p(\delta x)$ as $D_p(\delta x) = \sum c_n G(x - t_n)$, and then find the minimum of D_p in terms of the dynamical system $dx/dt = -\epsilon \sum c_n G'(x - t_n)$. An alternative strategy is to try to learn directly the function

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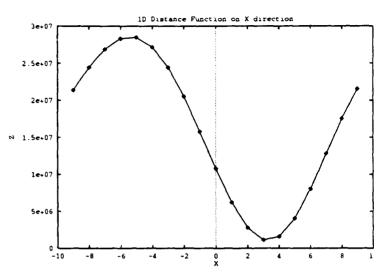


Figure 7: 1D distance function computed on the x direction by using 1D-1D.

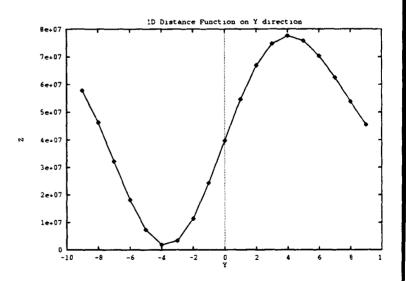


Figure 8: 1D distance function computed on the y direction by using 1D-1D.

 $minD_p(x)$ from the samples of D_p , using a few examples of D_p "typical" for the specific situation. The conjecture is that the RBF technique may be able to learn the mapping $minD_p(x)$ from examples of functions of the same class (compare Poggio and Vetter, 1992). A similar idea is to try to learn how to sample the correlation function as a function of past sampled values. Again, the training examples would be functions of the same class. This would provide at each t an estimate of the most appropriate correlation shifts to try.

- instead of simply measuring $D_{i,i-1}$, that is the distance between frame *i* and frame *i* 1, we could measure in addition also $D_{i,i-2}$, $D_{i,i-3}$, ...and combine them in an estimate of the optical flow component by taking the average of $D_{i,i-1}/\Delta t$, $D_{i,i-2}/2\Delta t$, $D_{i,i-3}/3\Delta t$, etc. This technique may be improved further by using a Kalman filter.
- the same basic scheme of figure 1 may be used to compute horizontal and vertical disparities among the two frames of a stereo pair.
- confidence measures will be developed to further improve the performance of the technique.

5.2 Biological implications of our 1D technique

Poggio et al. ([5]) conjectured that "the specific type of elementary motion detectors that are used to provide the estimate of the normal component of the flow is probably not critical. Radially oriented (for expansion and contraction), two input elementary motion detectors such as the correlation model [8, 9, 7, 10] - or approximations of it are likely to be adequate. The critical property is that they should measure motion with the correct sign." Our results confirming their conjecture (since they suggest that 1D correlation (or L_2 distance estimation) are sufficient for an adequate estimate of qualitative properties of the optical flow) have interesting implications for biology. Consider a 2D array of Reichardt's detectors (for motion in the x direction) with spacing Δx and also detectors with spacings $2\Delta x$ etc. Take the sum of all detectors with the same spacing over a 2D patch. Perform a winner-take-all operation on these sums. Select the set with optimal spacing as the one corresponding to the present estimate of optical flow. This scheme is analog in time but otherwise equivalent to the one we have implemented. In formulae

$$\sum (I_i(t) - I_{i+k}(t - \Delta t))^2$$

where Δt is the interframe interval in our implementation and is the delay in Reichardt's model⁵, k represents the shift in our computation of D and represents the separation between the inputs to Reichardt's modules, $I_i(t)$ is the image value (in general spatially and temporally filtered) at location i and time t and the sum \sum is taken over the 2D patch of detectors of the same type.

Thus an array of Reichardt's models with different spacings of the 2 inputs (in x) could be used in a plausible way to estimate the optical flow component along the direction of the two-inputs detectors. Notice that a plausible implementation in terms of Reichardt's detectors of the 2D correlation based algorithm would be much harder, since it would effectively require detectors with all possible 2D spacings. This seems implausible and contrary to experimental evidence in the fly, where only a small number of separations and directions (as small as 3) seem present.

The above description is equivalent to our 1D-2D scheme and involves the summation over x and y"patches" of elementary 1D motion detectors. In the fly this is plausible, given the known summation properties of specific wide field lobula plate cells⁶. Our 1D-1D scheme on the other hand would require a summation over the x dimension only (in our example) but an oriented filtering of the image with receptive fields elongated in y before the elementary motion detectors. It is possible that this second scheme may be used in the fly by different summation cells with smaller receptive fields. It is also possible that the wide field lobula plate cells effectively implement a scheme between the 1D-2D and the 1D-1D by using some oriented filtering before motion detection and limited y integration of the output of the elementary motion detectors. Similar considerations may apply to some of the motion selective cortical cells.

5.3 The Time-to-Crash detector

The TTC detector we have simulated is not the only possible scheme. Others are possible (see for instance [2]) that take into account more complex motions than just frontal approach to a horizontal surface.

It is also conceivable that the scheme we suggest may be simplified even further in certain situations. For instance, it may be sufficient in the summation stage to use the value of the correlation for a fixed (and reasonable) shift – instead of an estimate of the optical flow, that is the shift that maximize correlation. This is equivalent to use directly the output of Reichardt's correlation nets instead of using the result of a winner-take-all operation on a set of Reichardt's nets with different spacings (or delays).

Another related idea is to continuously adjust the correlation shifts in order to track as closely as possible the maximum of the correlation (or the minimum of the distance): in this way it may be possible to reduce the com-

⁵We have written here the quadratic version of Reichardt's model; the same argument carries over to the standard model with multiplication: for the basic equivalence of the the quadratic and multiplication version see [7])

⁶The patch would be very large and would correspond to the receptive field of the cell, that is its integration domain

putation of the correlation to just a few shifts, especially if time-filtering techniques are also used.

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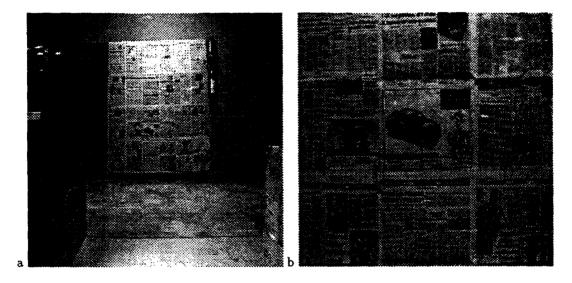
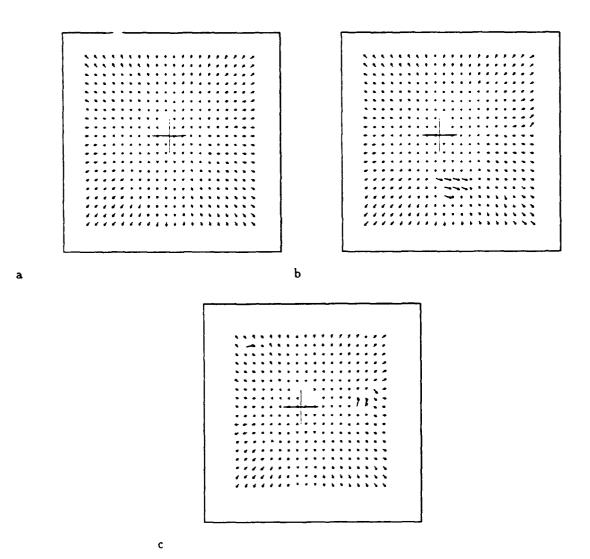
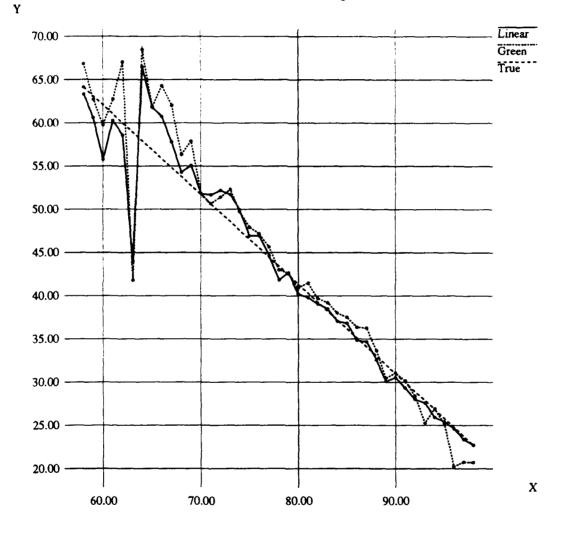


Figure 9: (a) First and (b) last image of the sequence.



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Figure 10: An example of optical flows computed by using (a) 2D-2D, (b) 1D-2D and (c) 1D-1D. In most frame pairs in a sequence the three flows are much more similar to each other.



TTC measurements comparison

Figure 11: TTC measurements comparison by using 2D-2D and 1D-2D. In this and the following figures the abscissa gives the time in terms of elapsed frames; the ordinate gives the estimate of the time to crash in frame units.

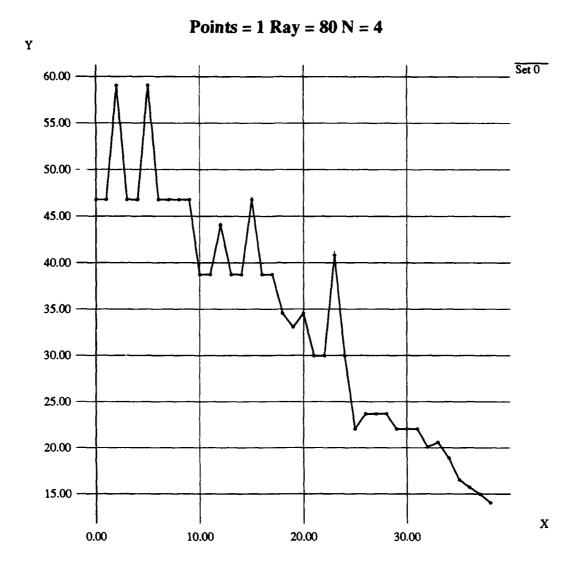


Figure 12: TTC measurements by using 1D-1D and a TTC detector with 4 elementary motion detectors.

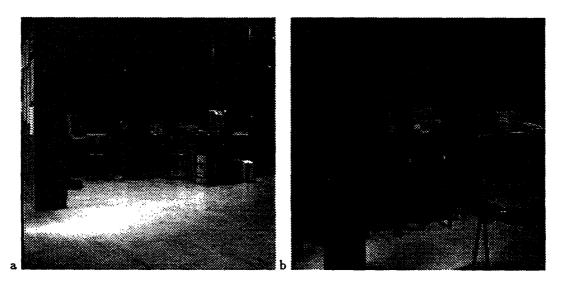


Figure 13: (a) First and (b) last image of the sequence.

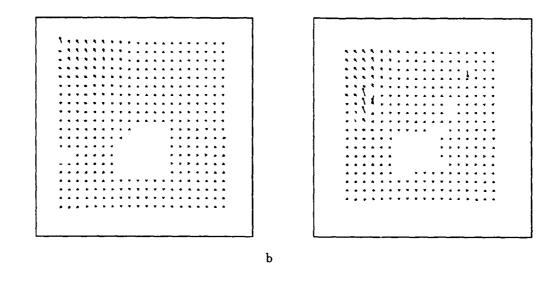


Figure 14: An example of a flow field computed at one point in time in the above sequence, obtained by using (a) 2D-2D and (b) 1D-1D.

a

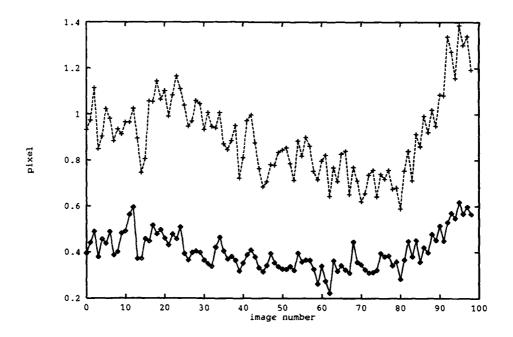


Figure 15: Mean (dotted line) and standard deviation (dashed line) of the error of the optical flow estimation.

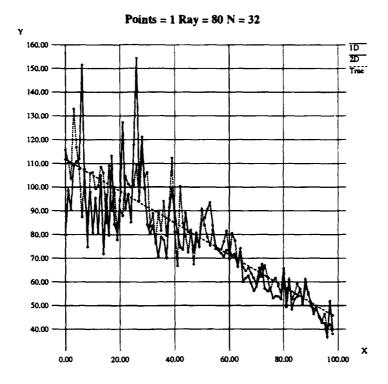


Figure 16: TTC estimation by using one TTC detector, with receptive field of r = 80 and 32 elementary motion detectors. The slope of the *true* TTC, computed by using the optical flows obtained by 2D-2D, is m = -0.672. The slope of the straight line, computed by using the TTC measures obtained by 1D-1D, is m = -0.64. A comparison of the TTC measures obtained by 1D-1D with the true TTC yields a mean absolute error of 9.02, with a standard deviation of 9.54. The relative error in the mean is 10.79% with a standard deviation of 9.49%. In order to evaluate the error in the time to crash estimation, the following steps have been performed. The *true* time to crash was estimated from a linear best fit of the TTC measures obtained by using the 2D-2D scheme for the optical flow estimation was then performed in order to evaluate the slopes of the two straight lines. The absolute and relative error between the "true" TTC and the one measured by the D-1D scheme was then estimated. Let us call τ^* the true TTC. The absolute error is $E_a = |\tau^* - \tau|$ and the the relative error is $E_r = |\tau^* - \tau|/|\tau|$.

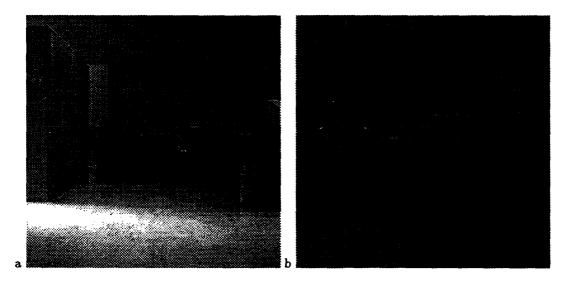


Figure 17: (a) First and (b) last image of the sequence.

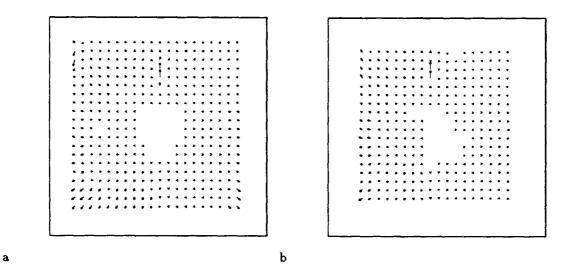


Figure 18: An example of a flow field obtained by using (a) 2D-2D and (b) 1D-1D.

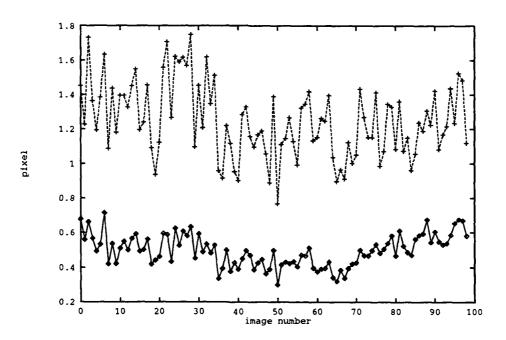


Figure 19: Mean (dotted line) and standard deviation (dashed line) of the error relative to optical flow estimation.

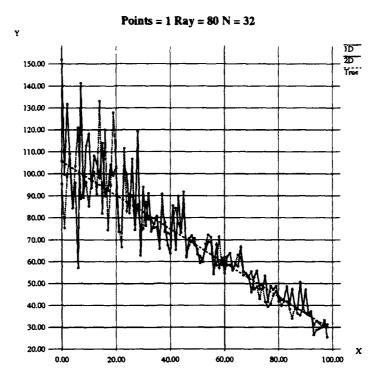


Figure 20: TTC estimation by using one TTC detector, with receptive field of r = 80 and 32 elementary motion detectors. The slope of the *true* TTC, computed by using the optical flows obtained by 2D-2D, is m = -0.77. The slope of the straight line, computed by using the TTC measures obtained by 1D-1D, is m = -0.83. Comparing the TTC measures obtained by 1D-1D with the true TTC, we had a mean absolute error of 8.02, with a standard deviation of 8.97. With respect to the relative error we had a mean of 10.9% and a standard deviation of 9.72%.

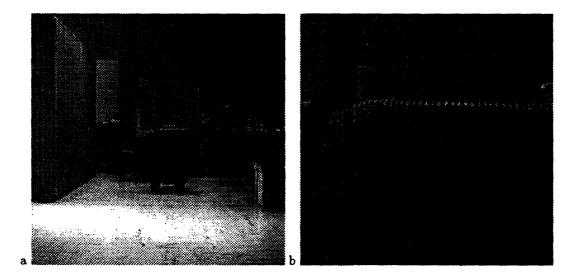


Figure 21: (a) First and (b) last image of the sequence.

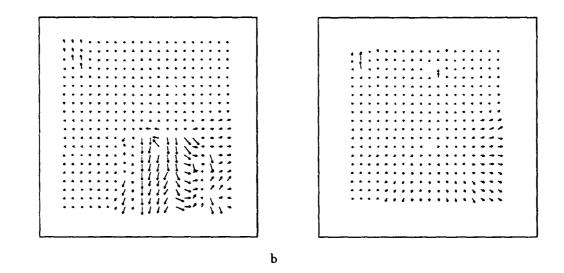


Figure 22: Flow field obtained by using (a) 2D-2D and (b) 1D-1D.

a

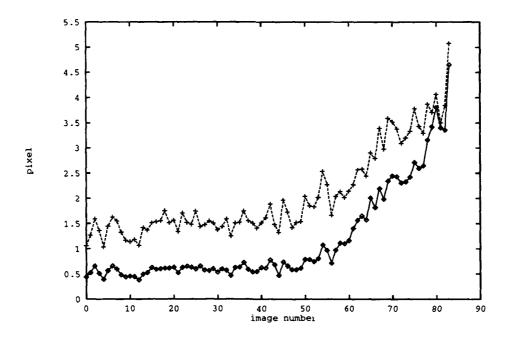


Figure 23: Mean (dotted line) and standard deviation (dashed line) of the error relative to optical flow estimation.

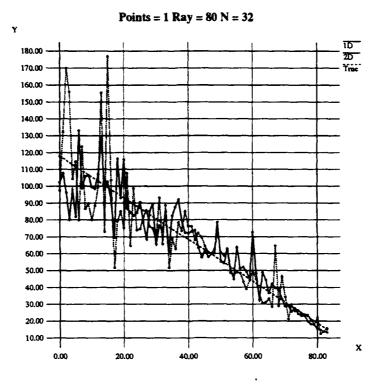


Figure 24: TTC estimation by using one TTC detector, with receptive field of r = 80 and 32 elementary motion detectors. The slope of the *true* TTC, computed by using the optical flows obtained by *wta-2D*, is m = -1.24. The slope of the straight line, computed by using the TTC measures obtained by 1D-1D, is m = -1.14. Comparing the TTC measures obtained by 1D-1D with the true TTC, we had a mean absolute error of 7.6, with a standard deviation of 7.9. With respect to the relative error we had a mean of 11.4% and a standard deviation of 10.3%.