

AD-A250 443 NON PAGE

Form Approved  
GAS No. 0704-0188

Page 1 hour per volume, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Avenue, Washington, DC 20540.

1. AGENCY USE ONLY (Leave blank)		2. REPORT DATE		3. REPORT TYPE AND DATES COVERED Final 15 Apr 89 - 14 Jan 92	
4. TITLE AND SUBTITLE "ARIEL DATABASE RULE SYSTEM PROJECT" (U)				5. FUNDING NUMBERS 61102F 2304/A7	
6. AUTHOR(S) Dr. Eric N. Hanson					
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Wright State University Computer Science & Engineering Dayton OH 45435				8. PERFORMING ORGANIZATION REPORT NUMBER AEOSR-TR- 92 -0272	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES) AFOSR/NM Bldg 410 Bolling AFB DC 20332-6448				10. SPONSORING/MONITORING AGENCY REPORT NUMBER AFOSR-89-0286	
11. SUPPLEMENTARY NOTES					
12a. DISTRIBUTION/AVAILABILITY STATEMENT Approved for public release; Distribution unlimited				12b. DISTRIBUTION CODE UL	
13. ABSTRACT (Maximum 200 words) The Ariel project has culminated in several advancements in active database technology, and the development of a working prototype active database system. Ariel is unique in its support for efficient rule condition testing based on a discrimination network, and its tight integration of rule processing with database transaction processing. An efficient index for testing single-relation selection predicates was developed, which also resulted in the development of two new types of interval index data structures, the interval binary search tree, and the interval skip-list. For testing join conditions, a modified version of the TREAT algorithm, called A-TREAT, was developed. A-TREAT is suitable for use in a database environment. It's major new feature that makes it suitable for databases is the concept of virtual alpha-memory nodes, which, unlike normal alpha-memories, do not contain the data matching the associated selection predicate. Instead, virtual alpha-memories contain only the predicate.					
14. SUBJECT TERMS				15. NUMBER OF PAGES 13	
				16. PRICE CODE	
17. SECURITY CLASSIFICATION OF REPORT UNCLASSIFIED		18. SECURITY CLASSIFICATION OF THIS PAGE UNCLASSIFIED		19. SECURITY CLASSIFICATION OF ABSTRACT UNCLASSIFIED	
				20. LIMITATION OF ABSTRACT SAR	

ANNUAL REPORT AND FINAL REPORT  
15 APR 89 - 14 JAN 92

# Final Report on the Status of the Ariel Database Rule System Project (AFOSR-89-0286)

Eric N. Hanson  
Wright State University  
Department of Computer Science and Engineering  
Dayton, Ohio 45435  
current address: Computer and Information Sciences Department  
University of Florida, Gainesville, FL 32611  
hanson@cis.ufl.edu, (904) 392-2691

March 11, 1992

## Abstract

The Ariel project has culminated in several advancements in active database technology, and the development of a working prototype active database system. Ariel is unique in its support for efficient rule condition testing based on a discrimination network, and its tight integration of rule processing with database transaction processing. An efficient index for testing single-relation selection predicates was developed, which also resulted in the development of two new types of *interval index* data structures, the *interval binary search tree*, and the *interval skip-list*. For testing join conditions, a modified version of the TREAT algorithm, called A-TREAT, was developed. A-TREAT is suitable for use in a database environment. Its major new feature that makes it suitable for databases is the concept of *virtual  $\alpha$ -memory nodes*, which, unlike normal  $\alpha$ -memories, do not contain the data matching the associated selection predicate. Instead, virtual  $\alpha$ -memories contain only the predicate. The contents of a virtual  $\alpha$ -memory are materialized on demand. A serious problem with using discrimination networks such as Rete and TREAT developed for artificial intelligence programming systems in active databases is that the memory nodes require too much storage. The virtual memory nodes of A-TREAT eliminate this problem. Other unique feature of Ariel are its uniform treatment of rules with conditions based on patterns, events, and transitions, and its efficient execution of rule actions using optimal plans generated by the *rule action planner*. The Ariel prototype is implemented on top of the EXODUS toolkit using the E programming language, a version of C++ extended with persistent objects. The prototype supports queries, updates and rule processing.

Accession For	
NTIS CRA&I	✓
DTIC TAB	✓
Unannounced	✓
Justification	
By	
Distribution /	
Availability Codes	
Dist	Avail. Statement
A-1	

92-13068



# 1 Introduction

This report summarizes the outcome of the Ariel project, a research project into active database management funded by grant AFOSR-89-0286. A detailed description of the Ariel system can be found in [12, 8, 10]. A complete list of publications related to the Ariel project includes [7, 12, 26, 8, 10, 11, 9, 13, 25, 4, 3, 14, 28, 18, 17]. Database researchers and practitioners have become quite interested in active database systems recently. The source of this interest is a strong application need. Many applications in areas such as *C<sup>3</sup>I*, medical informatics, financial data management, and general business data processing are filled with requirements for alerting users or otherwise taking action based on changes to the database. Active database research is directly targeted at meeting these needs. Of particular interest to the Air Force is how active database management systems (DBMSs) can support *C<sup>3</sup>I* applications. The Air Force also can benefit from use of active databases to support its many other activities that depend on database systems.

Ariel is a relational database system that has been augmented to support forward-chaining rules with the following general form:

```
define rule rule-name [in ruleset-name]  
  [priority priority-val]  
  [on event]  
  [if condition]  
  then action
```

A unique *rule-name* is required for each rule so the rule can be referred to later by the user. The user can optionally specify a *ruleset name* to place the rule in a ruleset. Rulesets are simply a means of grouping rules together for programmer convenience. The **on** clause can specify an event-based condition, and the **if** clause can specify a pattern-based condition. The optional **priority** clause can be used to specify relative priority between rules to allow the system to decide which rule to execute first in the case that multiple rules are eligible to run. The rule action can contain one or more database commands.

Consider the following example schema from a logistics database:

```
depots(dno, name, location)  
supplies(dno, pno, quantity_on_hand)  
parts(pno, category, name, description)  
orders(pno, dno, quantity)
```

An example rule based on this schema is:

```
define rule reorderBolt  
if depots.name="Defense Electronic Supply Center"  
and depots.dno = supplies.dno  
and supplies.quantity_on_hand ≤ 30  
and previous supplies.quantity_on_hand > 30  
and supplies.pno = parts.pno  
and parts.category = "bolt"  
then append to orders(parts.pno, depots.dno, 50)
```

The meaning of this rule is to order 50 more of any type of bolt for the Defense Electronic Supply Center if the quantity on hand there drops to 30 or less.

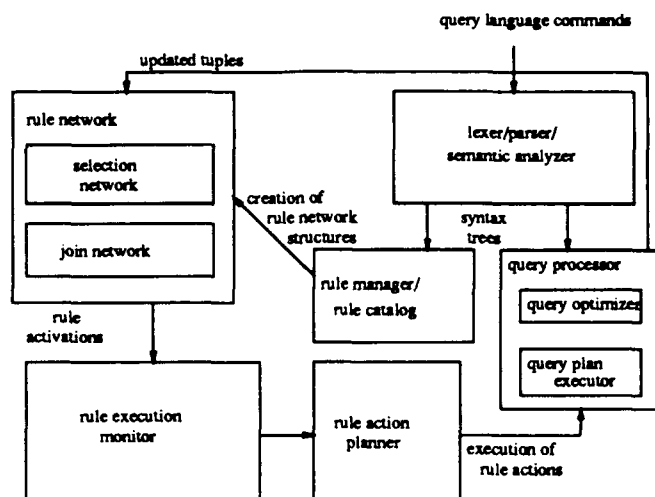


Figure 1: Diagram of the Ariel system architecture.

The Ariel project has generated several new techniques for use in active DBMSs, as well as a working prototype active DBMS. Section 2 of this report describes the overall architecture of Ariel. Section 3 describes the new discrimination network developed for Ariel which is tailored for high performance in an active DBMS. Section 4 discusses how Ariel uniformly handles rules with pattern-based, event-based, and transition conditions. Section 5 covers how Ariel generates optimized plans for rule actions, and how those plans are executed. The Ariel prototype is described in section 6. Finally, section 7 discusses related work, and section 8 presents conclusions.

## 2 Architecture

The architecture of Ariel, shown in Figure 1, is similar to that of System R [1] with additional components attached for rule processing. When commands enter Ariel they are processed by the lexer, parser, and semantic analyzer. If they are queries or data manipulation commands, they are passed to the query optimizer. Execution plans produced by the optimizer are carried out by the query plan executor. The executor is built on top of the storage system provided by the EXODUS database toolkit [2, 15]. In addition to the standard components, Ariel has a *rule manager* to handle creation and activation of rules, a *rule catalog* for maintaining the definitions of rules, a *discrimination network* for testing rule conditions, a *rule execution monitor* for carrying out rule execution, and a *rule action planner* for binding the data matching a rule condition with the rule action and producing an execution plan for that action.

## 3 Discrimination Network

An efficient strategy for incrementally testing rule conditions as small changes in the database occur is critical for fast rule processing. Ariel contains a rule condition testing network called A-TREAT (short for Ariel TREAT) which is designed to both speed up rule processing in a database environment and reduce storage requirements compared with TREAT. An important performance optimization in A-TREAT is the use of a special top-level discrimination network for testing single-relation selection conditions of rules [12]. In addition, we introduce a technique for reducing the

amount of state information stored in the network, whereby  $\alpha$ -memory nodes are replaced in some cases by *virtual*  $\alpha$ -memory nodes which contain only the predicate associated with the node, not the tuples matching the predicate. In addition to these performance enhancement techniques, we have developed some enhancements to the standard TREAT network in order to effectively test both transition and event-based conditions with a minimum of restrictions on how such conditions can be used.

### 3.1 Selection Network

Ariel uses a special index optimized for testing selection conditions as the top layer in its discrimination network. This index makes use of an *interval index* called the *interval binary search tree* to efficiently test conditions that specify closed intervals (e.g.,  $\text{constant1} < \text{relation.attribute} \leq \text{constant2}$ ), open intervals (e.g.,  $\text{constant} < \text{relation.attribute}$ ), or points (e.g.,  $\text{constant} = \text{relation.attribute}$ ). Readers are referred to [12] for a detailed discussion of the selection condition testing network in Ariel. A data structure called the *interval skip list* [9] can be used as an interval index in place of the interval binary search tree discussed in [12, 11]. The interval skip list is much easier to implement than the IBS tree and performs as well.

### 3.2 Join Network

Here we describe a variation of the Rete and TREAT algorithms for minimizing storage use in database rule systems. In the standard Rete and TREAT algorithms, there is an  $\alpha$ -memory node for every selection condition on every tuple-variable present in a rule condition. If the selection conditions are highly selective, this is not a problem since the  $\alpha$ -memories will be small. However, if selection conditions have low selectivity, then a large fraction of the tuples in the database will qualify, and  $\alpha$ -memories will contain a large amount of data that is redundant since it is already stored in base tables. Storing large amounts of duplicate data is not acceptable in a database environment since the data tables themselves can be huge.

In order to avoid this problem, for memory nodes that would contain a large amount of data, a *virtual* memory node can be used which contains a predicate describing the contents of the node rather than the qualifying data itself. In a sense, this virtual node is a database view. When the virtual node is accessed, the (possibly modified) predicate stored in the node is processed to derive the value of the node. The predicate can be modified by substituting constants from a token in place of variables in the predicate to make the predicate more selective and thus reduce processing time.

The algorithm for processing a single insertion token  $t$  in a TREAT network containing a mixture of stored and virtual  $\alpha$ -memory nodes is as follows. A stored  $\alpha$ -memory node contains a collection  $C$  of the tuples matching the associated selection predicate. A virtual  $\alpha$ -memory node contains a selection predicate  $P$  and the identifier of the relation  $R$  on which  $P$  is defined. In addition, each transaction  $T$  maintains a data structure *ProcessedMemories* containing a set of the identifiers of the virtual  $\alpha$ -memory nodes in which token  $t$  has been inserted. *ProcessedMemories* is emptied before processing of each token.

Suppose a single tuple  $X$  is to be inserted in  $R$ . Before putting  $X$  in  $R$ , create a token  $t$  from  $X$  and propagate  $t$  through the selection network. When  $t$  filters through the network to an  $\alpha$ -memory node  $A$ , the identifier of  $A$  is placed in *ProcessedMemories* and then  $t$  is joined to neighboring  $\alpha$ -memories. When joining  $t$  to a memory node  $A'$ , if  $A'$  is a normal  $\alpha$ -memory, everything proceeds as in the standard TREAT algorithm. If  $A'$  is virtual, then join  $t$  through to the base relation  $R'$  identified in  $A'$  using predicate  $P'$  of  $A'$  as a filter. In addition, if *ProcessedMemories* contains  $A'$ ,

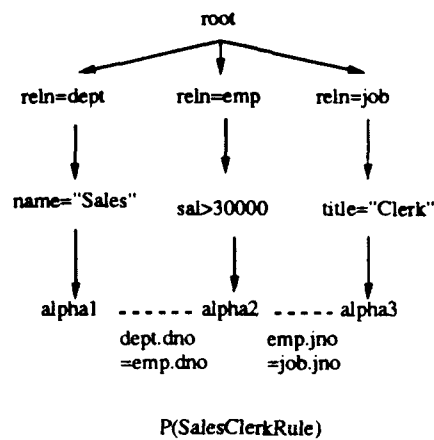


Figure 2: TREAT network for rule SalesClerkRule.

then  $t$  belongs to  $A'$ . Hence, we must try to join the copy of  $t$  just placed in  $A$  to the copy of  $t$  in  $A'$ . If  $t$  joins to itself, a compound token is created and the process continues. At the end of processing  $t$ , empty ProcessedMemories, and then insert tuple  $X$  in  $R$ . An analogous procedure is used for processing a deletion ( $-$ ) token.

The algorithm just described has the same effect as the normal TREAT strategy because at every step, a virtual  $\alpha$ -memory node implicitly contains *exactly* the same set of tokens as a stored  $\alpha$ -memory node. This ensures that if a token joins to itself, it does so exactly the right number of times. A TREAT-based join condition testing algorithm enhanced with virtual  $\alpha$ -memories has been implemented in the Ariel system.

This database schema will be used in some examples to follow:

```
emp(name, age, salary, dno, jno)
dept(dno, name, building)
job(jno, title, paygrade, description)
```

The following rule will be used to illustrate a standard TREAT network, and an A-TREAT network that accomplishes the same task:

```
define rule SalesClerkRule
if emp.sal > 30000
and emp.dno = dept.dno
and dept.name = "Sales"
and emp.jno = job.jno
and job.title = "Clerk"
then action
```

The TREAT network for the rule SalesClerkRule is shown in Figure 2. An A-TREAT network for the rule is shown in Figure 3. The A-TREAT network is identical to the TREAT network, except that the middle  $\alpha$ -memory node (alpha2) is virtual, as indicated by the dashed box around it. If the predicate  $sal > 30000$  is not very selective, then making alpha2 be virtual may be a reasonable choice for SalesClerkRule since it can save a significant amount of storage.

The ability to use virtual memory nodes opens up several possible avenues of investigation. It allows trading space for time in a Rete or TREAT network. When to use a virtual memory node

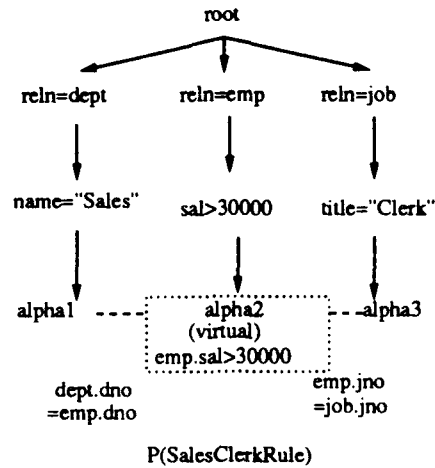


Figure 3: Example A-TREAT network.

and when not to use one is an interesting optimization problem. Also, the base relation scan done when joining a token to a virtual  $\alpha$ -memory can be done with any scan algorithm – index scan or sequential scan. Some optimization strategy is needed to decide whether or not to use an index if one is available, depending on the type of index (primary or secondary, hash or B-tree etc.) and the size of the base relation.

## 4 Uniform Treatment of all Rule types

Quite unlike standard production systems, Ariel allows rules with transition and event-based conditions in addition to normal conditions. To integrate all these types of conditions into a coherent framework, we generalized the notions of both tokens and  $\alpha$ -memory nodes.

### 4.1 Identifying Transitions

To accommodate transitions, in addition to standard  $+$  and  $-$  tokens, Ariel uses  $\Delta+$  and  $\Delta-$  tokens which contain a (new,old) pair for a tuple with the value it had before and after being updated. A  $\Delta+$ -token inserts a new transition event into the rule network, and a  $\Delta-$ -token removes a transition event from the rule network. In addition, all tokens have an event-specifier of one of the following forms to indicate the type of event which created the token:

- **append**
- **delete**
- **replace**(*target-list*)

The *target-list* included with the **replace** event specifier indicates which fields of the tuple contained in the token were updated. **On**-conditions in the top-level discrimination network are the only conditions that ever examine the event-specifier on a token. Tokens with their event-specifier are also called *eventTokens*.

In order to send the correct type of token through the network at the correct time, Ariel builds a data structure containing a pair of  $\Delta$ -sets  $[I, M]$  for each relation updated during a transition.

Set I contains an entry for each tuple which was inserted during the current transition. Set M contains an entry for each tuple that existed in the relation at the beginning of the transition and was modified during the transition. It is not necessary to maintain a third set for deletions since once a tuple is deleted it cannot be accessed again.

A  $\Delta$ -set (I or M) contains a set of entries with the following contents:

**eventSpecifier:** one of **append** or **replace**(*target-list*), describing the type of event that created the entry,

**isDelta:** true or false,

**tupleValue:** a single tuple if isDelta is false, or a pair of old and new tuple values concatenated together if isDelta is true,

**descriptor:** a pointer to a format descriptor describing the locations of fields in tupleValue.

The possible sequences of operations that may occur to a single tuple during a transition are one of the following:

- insert followed by zero or more modifications,
- insert followed by zero or more modifications followed by delete,
- one or more modifications,
- zero or more modifications, followed by deletion.

Using the I and M sets, Ariel determines how to update the I and M sets and generate the correct type of token to pass through the discrimination network for operation in each of the four possible sequences listed above [14, 10].

## 4.2 Identifying Event and Transition Conditions

If a tuple variable appears in the **on** clause of an Ariel rule condition, then the selection condition defined on that variable is considered to be an *event-based* condition. Similarly, if any tuple variable in the condition has a **previous** keyword in front of it, then the selection condition associated with that variable is a *transition* condition. Both transition and event-based conditions have the property that the data matching them is relevant only during the transition in which the matching occurred. Afterwards, the binding between the matching data and the condition should be broken. This is accomplished in Ariel using  $\alpha$ -memory nodes that are *dynamic*, i.e., they only retain their contents during the current transition.

## 4.3 Summary of Token and $\alpha$ -memory Types

In general, for the Ariel rule condition testing system we have identified four kinds of tokens and seven kinds of  $\alpha$ -memory nodes. The token types are:

- + token for insertion of a new tuple,
- token for deletion of a tuple,
- $\Delta+$  token for insertion of a new transition token (new/old pair),
- $\Delta-$  token for deletion of an old transition token.



$\alpha$ -memory type	type of token $t$			
	+	-	$\Delta+$	$\Delta-$
stored- $\alpha$	insert $t$	delete $t$	insert $\pi_{new}t$	delete $\pi_{new}t$
virtual- $\alpha$	insert $t$	delete $t$	insert $\pi_{new}t$	delete $\pi_{new}t$
dynamic-ON- $\alpha$	insert $t$	delete $t$	insert $\pi_{new}t$	delete $\pi_{new}t$
dynamic-TRANS- $\alpha$	don't care	don't care	insert $t$	delete $t$
simple- $\alpha$	insert $t$ in P-node	delete $t$ from P-node	insert $\pi_{new}t$ in P-node	delete $\pi_{new}t$ from P-node
simple-TRANS- $\alpha$	don't care	don't care	insert $t$ in P-node	delete $t$ from P-node
simple-ON- $\alpha$	insert $t$ in P-node	delete $t$ from P-node	insert $\pi_{new}t$ in P-node	delete $\pi_{new}t$ from P-node

Figure 4: Table showing actions taken by each  $\alpha$ -memory type for each token type

The  $\alpha$ -memory node types include:

**stored- $\alpha$**  standard memory node holding a collection of tuples matching the associated selection predicate.

**virtual- $\alpha$**  virtual memory node holding the predicate but not a collection of matching tuples,

**dynamic-ON- $\alpha$**  a dynamic memory node for an ON-condition which has a temporary tuple collection that is flushed after each database transition,

**dynamic-TRANS- $\alpha$**  a dynamic memory node for a transition-condition which is also flushed after each transition.

**simple- $\alpha$**  an alpha memory for a simple selection predicate for a rule with only one tuple variable in its condition. *Simple* memories are only used when the rule has just one tuple variable in its condition. Simple memories never contain a persistent collection of the data matching the conditions associated with them since matching data is passed directly to the P-nodes.

**simple-TRANS- $\alpha$**  A simple memory node for a transition condition.

**simple-ON- $\alpha$**  A simple memory node for an event-based (ON) condition.

A different action needs to be taken when each type of token arrives at each type of memory node. The actions for each of the possible combinations are shown in the table in Figure 4. In the table, " $\pi_{new}t$ " represents projection of just the new part of the new/old pair contained in  $t$ . A "don't care" entry indicates that the combination can never occur, since normal + and - tokens can never match a transition condition.

The information in this chart allows the standard TREAT or Rete algorithm to be generalized to handle normal pattern-based conditions as well as event-based and transition conditions, changing only the behavior of individual components, not the overall structure or information flow. This strategy is one of the keys to successful use of TREAT to support condition testing for the Ariel rule language.

This concludes the discussion of how rule conditions are tested in Ariel. We now turn to the problem of how to execute a rule action once it has been determined that the rule should fire.

```

define rule SalesClerkRule2
if emp.sal > 30000
and emp.jno = job.jno
and job.title = "Clerk"
then do
    append to salaryWatch(emp.all)
    replace emp (sal = 30000)
    where emp.dno = dept.dno
    and dept.name = "Sales"
    replace emp (sal = 25000)
    where emp.dno = dept.dno
    and dept.name != "Sales"
end

```

Figure 5: Example rule to illustrate query modification.

## 5 Rule Action Planner and Executor

At the time an Ariel rule is scheduled for execution, the data matching the rule condition is stored in the P-node for the rule. Binding between the condition and action of an Ariel rule is indicated by using the same tuple variable in both. These tuple variables are called *shared*. To run the action of the rule, a query execution plan for each command in the action is generated by the query optimizer. Shared tuple variables implicitly range over the P-node. When a command in the rule action is executed, actual tuples are bound to the shared tuple variables by including a scan of the P-node in the execution plan for the command. Optimization and execution of Ariel rule actions is discussed in detail below, and illustrated using an example.

### 5.1 Query modification

When an Ariel rule is first defined, its definition, represented as a syntax tree, is placed in the rule catalog. At the time the rule is *activated*, the discrimination network for the rule is constructed, and the binding between the condition and the action of the rule is made explicit through a process of *query modification* [21], after which the modified definition of the rule is stored in the rule catalog. During query modification, references to tuple variables shared between the rule condition and the rule action are transformed into explicit references to the P-node. Specifically, for a tuple variable  $V$  found in both the condition and action, every occurrence of an expression of the form  $V.attribute$  is replaced by  $P.V.attribute$ . In addition, if  $V$  is the *target* relation of a **replace** or **delete** command, then it is replaced by  $P.V$ , and the command is modified to be **replace'** or **delete'** as appropriate. The commands **replace'** and **delete'** behave similarly to the standard **replace** and **delete** commands, except that the tuples to be modified or deleted are located by using tuple identifiers that are part of tuples in the P-node, rather than by performing a scan of the relation to be updated.

For example, consider the rule shown in Figure 5. After query modification is performed on this rule, the commands in its action look as shown in Figure 6, where  $P$  is a tuple variable that ranges over the P-node. The tuple variable  $emp$  which appears both in the condition and action of the rule has been replaced throughout the action by  $P.emp$  in Figure 6. Also, the **replace** and **delete**

```

then do
  append to salaryWatch(P.emp.all)
  replace' P.emp (sal = 30000)
  where P.emp.dno = dept.dno
  and dept.name = "Sales"
  replace' P.emp (sal = 25000)
  where P.emp.dno = dept.dno
  and dept.name != "Sales"
end

```

Figure 6: Rule action after query modification.

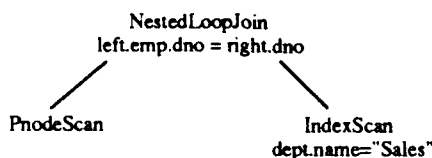


Figure 7: Example execution plan for a command in a rule action.

commands have been transformed into **replace'** and **delete'**, respectively. The tuple variable **dept** which does not appear in the condition is unchanged in the action.

## 5.2 Rule action query plan construction

To execute a command in the rule action, an execution plan for that command must be generated, and this plan must include an operator to scan the P-node if any tuple variables in the command also appear in the rule condition. The Ariel query processor provides an operator called **PnodeScan** which can scan a P-node and optionally apply a selection predicate to it. When the query optimizer sees the special tuple variable P, it always generates a **PnodeScan** to find tuples to be bound to P. The rest of the query plan is constructed as usual by the query optimizer. For example, consider construction of the plan for the following command from the action of the rule **SalesClerkRule2**:

```

replace' P.emp (sal = 30000)
where P.emp.dno = dept.dno
and dept.name = "Sales"

```

The data to be updated by this command are identified by running a query plan which scans P and dept, and joins tuples from these scans. The tuple identifier of the emp sub-tuples bound to the variable P is extracted and used to locate the emp tuples to update. One possible query plan that uses a nested loop join, a **PnodeScan** on P, and an index scan on dept, is shown in Figure 7. The query optimizer is free to choose the best operators for other operations in the plan besides the **PnodeScan**, e.g., it could have chosen **SortMergeJoin** instead of **NestedLoopJoin** in Figure 7.

## 5.3 Time of Rule Plan Construction

The time a rule action plan is constructed can have a substantial impact on performance. Our implementation uses a strategy called **always reoptimize** that produces all plans for execution

of rule actions at rule fire time. Other strategies can be developed which attempt to pre-optimize plans for rule actions, store them, and retrieve them at rule fire time to avoid the cost of run-time optimization [8]. All strategies that store plans must maintain the dependencies between those plans and database objects the plans touch such as tables and indexes, which makes those strategies more complicated from the outset. Moreover, pre-planning strategies are all subject to errors where they run non-optimal plans, whereas **always recompute** always runs the optimal plan. A thorough investigation of pre-planning strategies vs. **always recompute** is a potential topic for future investigation.

## 6 Prototype

Ariel is implemented using the EXODUS toolkit [2, 15] and in particular the E programming language [16], an extension of C++ with persistent objects. The current version of Ariel consists of about 28000 lines of C++/E code. Persistent objects simplified implementation of our catalogs and the rule index. The object-oriented programming features of C++ simplified and streamlined our design [13].

## 7 Related Work

There has been a significant amount of research on active databases recently. The main thing that differentiates Ariel from other active database systems is its use of a discrimination network specially designed for testing rule conditions efficiently. Other database rule system projects either:

- do not address the need for efficient data structures for finding which rules match a particular tuple (RPL [6], Starburst rule system [27]),
- do not provide a data structure for testing selection conditions, or
- provide a data structure for testing selection conditions which cannot efficiently handle conditions placed on an arbitrary attribute (e.g., one without an index) (POSTGRES rule system [23, 22, 24], HiPAC [5], DIPS [20], Alert [19]).

Other distinguishing features of Ariel are its close adherence to the production system model, its unified treatment of rules with normal conditions as well as event-based and transition conditions, its ability to run rule action commands without creating any additional joins to the P-node, and its use of a rule-action planner that produces optimal plans for executing rule actions.

## 8 Conclusion

The Ariel project has shown that a database system can be built with an active rule system that is: (1) based on the production system model, (2) set-oriented, (3) tightly integrated with the DBMS and (4) implemented in efficiently using (a) a specially designed discrimination network, and (b) a rule-action planner that takes advantage of the existing query optimizer. Ariel is unique in its use of a selection-predicate index that can efficiently test point, interval and range predicates of rules on *any* attribute of a relation, regardless of whether indexes to support searching (e.g., B+-trees) exist on the attribute. In addition, the concept of *virtual  $\alpha$* - (and  *$\beta$* -) memory nodes introduced in Ariel can save a tremendous amount of storage, yet still allow efficient testing of rules with joins in their conditions. The ability to use virtual memory nodes in a database rule

system discrimination network opens up tremendous possibilities for optimization, in which the most worthy memory nodes would be materialized for the best possible performance given the available storage. Prior to the development of the virtual memory node concept, it was mandatory to materialize the  $\alpha$ -memory nodes, limiting potential optimizations.

Transformation of databases from passive to active is a landmark in the evolution of DBMS technology. We hope the development of fast, robust active database systems that may come from this research will lead to innovative new applications that make more productive use of the information in the DBMS of the future.

## References

- [1] M. M. Astrahan et al. System R: Relational approach to database management. *ACM Transactions on Database Systems*, 1(2), June 1976.
- [2] M. Carey, D. DeWitt, D. Frank, G. Graefe, J. Richardson, E. Shekita, and M. Muralikrishna. The architecture of the EXODUS extensible DBMS. In *Proceedings of the International Workshop on Object-Oriented Database Systems*, September 1986.
- [3] Michael E. Carey. Optimization of queries and rule actions in the Ariel DBMS. Master's thesis, Dept. of Computer Science and Eng., Wright State Univ., December 1990.
- [4] Moez Chaabouni. A top-level discrimination network for database rule systems. Master's thesis, Dept. of Computer Science and Eng., Wright State Univ., December 1990.
- [5] S. Chakravarthy et al. HiPAC: A research project in active, time-constrained database management, Final Technical Report. Technical Report XAIT-89-02, Xerox Advanced Information Technology, August 1989.
- [6] Lois M. L. Delcambre and James N. Etheredge. The relational production language: A production language for relational databases. In *Proceedings of the Second International Conference on Expert Database Systems*, pages 153-162, April 1988.
- [7] Eric N. Hanson. An initial report on the design of Ariel: a DBMS with an integrated production rule system. *SIGMOD Record*, 18(3), September 1989.
- [8] Eric N. Hanson. The design and implementation of the Ariel active database rule system. Technical Report WSU-CS-91-06, Wright State University, September 1991.
- [9] Eric N. Hanson. The interval skip list: A data structure for finding all intervals that overlap a point. In *Proceedings of the 1991 Workshop on Algorithms and Data Structures*. Springer Verlag, August 1991.
- [10] Eric N. Hanson. Rule condition testing and action execution in Ariel. In *Proceedings of the ACM-SIGMOD International Conference on Management of Data*, June 1992. (to appear).
- [11] Eric N. Hanson and Moez Chaabouni. The IBS-tree: A data structure for finding all intervals that overlap a point. Technical Report WSU-CS-90-11, Dept. of Computer Science and Eng., Wright State Univ., April 1990.
- [12] Eric N. Hanson, Moez Chaabouni, Chang-ho Kim, and Yu-wang Wang. A predicate matching algorithm for database rule systems. In *Proceedings of the ACM SIGMOD International Conference on Management of Data*, May 1990.
- [13] Eric N. Hanson, Tina Harvey, and Mark Roth. Experiences in DBMS implementation using an object-oriented persistent programming language and a database toolkit. In *Proceedings of the 1991 ACM Conference on Object-oriented Programming Systems, Languages and Applications*, October 1991.
- [14] Anjali Rastogi. Transition and event condition testing and rule execution in Ariel. Master's thesis, Dept. of Computer Science and Eng., Wright State Univ., June 1991.

- [15] Joel E. Richardson and Michael J. Carey. Programming constructs for database system implementation in EXODUS. In *Proceedings of the 1987 ACM SIGMOD International Conference on Management of Data*, May 1987.
- [16] Joel E. Richardson, Michael J. Carey, and Daniel T. Schuh. The design of the E programming language. Technical report, University of Wisconsin, 1989.
- [17] Indira Roy. Rule condition network generation and activation in Ariel. Master's thesis, Dept. of Computer Science and Eng., Wright State Univ., October 1991.
- [18] Y. Satyanarayana. Design and implementation of the rule action planner in the Ariel database rule system. Master's thesis, Dept. of Computer Science and Eng., Wright State Univ., December 1991.
- [19] Ulf Schreier, Hamid Pirahesh, Rakesh Agrawal, and C. Mohan. Alert: An architecture for transforming a passive DBMS into an active DBMS. In *Proc. 17th International Conference on Very Large Data Bases*, Barcelona, September 1991.
- [20] Timos Sellis, Chih-Chen Lin, and Louiqa Raschid. Data intensive production systems: The DIPS approach. *SIGMOD Record*, September 1989.
- [21] M. Stonebraker. Implementation of integrity constraints and views by query modification. In *Proceedings of the 1975 ACM SIGMOD International Conference on Management of Data*, June 1975.
- [22] M. Stonebraker, M. Hearst, and S. Potamianos. A commentary on the POSTGRES rules system. *SIGMOD Record*, 18(3), September 1989.
- [23] Michael Stonebraker, Eric Hanson, and Spiros Potamianos. The POSTGRES rule manager. *IEEE Transactions on Software Engineering*, 14(7):897-907, July 1988.
- [24] Michael Stonebraker, Lawrence Rowe, and Michael Hirohama. The implementation of POSTGRES. *IEEE Transactions on Knowledge and Data Engineering*, 2(7):125-142, March 1990.
- [25] Yu-wang Wang. A comparison of Rete and TREAT for testing database rule conditions. Master's thesis, Dept. of Computer Science and Eng., Wright State Univ., December 1990.
- [26] Yu-wang Wang and Eric N. Hanson. A performance comparison of the Rete and TREAT algorithms for testing database rule conditions. In *Proc. IEEE Data Eng. Conf.*, February 1992.
- [27] Jennifer Widom, Roberta J. Cochrane, and Bruce G. Lindsay. Implementing set-oriented production rules as an extension to Starburst. In *Proceedings of the Seventeenth International Conference on Very Large Data Bases*, 1991.
- [28] Min Zhang. Rule join condition testing in Ariel. Master's thesis, Dept. of Computer Science and Eng., Wright State Univ., September 1991.