)-A247 828

al Research Laboratory

Matrix Representation of Finite Fields

W. P. WARDLAW

Identification Systems Branch
Radar Division

March 12, 1992

DTIC
ELECTE
MAR 2 3 1992
S D
D

92-07240

REPORT DOCUMENTATION PAGE		Form Approved OMB No 0704-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503.

1. AGENCY USE ONLY (Leave blank)	2. REPORT DATE March 12, 1992	3. REPORT TYPE AND DATES COVERED Interim Aug 91 — Sep 91

4. TITLE AND SUBTITLE	5. FUNDING NUMBERS
Matrix Representation of Finite Fields	62111N N0001991WXC15R
6. AUTHOR(S) W. P. Wardlaw	

7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)	8. PERFORMING ORGANIZATION REPORT NUMBER
Naval Research Laboratory 4555 Overlook Avenue Washington, DC 20375-5000	NRL/MR/5350.1—92-6953

9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)	10. SPONSORING/MONITORING AGENCY REPORT NUMBER
Naval Air Systems Command Washington, DC 20361-1213	

11. SUPPLEMENTARY NOTES

12a. DISTRIBUTION/AVAILABILITY STATEMENT	12b. DISTRIBUTION CODE
Approved for public release: distribution unlimited.	

13. ABSTRACT (Maximum 200 words)

Finite fields (also called Galois Fields) have been studied since their introduction by Evariste Galois in 1832 and the publication of his work in 1846. In the last few decades, finite fields have become important to information theory, coding theory, and cryptography.

This report presents a simple method for representing a finite field in terms of powers of a single matrix over the integers modulo the characteristic of the field. The addition and multiplication in the field are immediately obtained as the results of ordinary matrix addition and multiplication. This representation called the *canonical cyclic representation*, makes it easy to understand the field structure and to carry out computations in the field.

14. SUBJECT TERMS		15. NUMBER OF PAGES 14
Finite fields Matrix representation	Canonical cyclic representation Cyclotomic polynomials	16. PRICE CODE

17. SECURITY CLASSIFICATION OF REPORT	18. SECURITY CLASSIFICATION OF THIS PAGE	19. SECURITY CLASSIFICATION OF ABSTRACT	20. LIMITATION OF ABSTRACT
UNCLASSIFIED	UNCLASSIFIED	UNCLASSIFIED	UL

NSN 7540-01-280-5500

Standard Form 298 (Rev 2-89)
Prescribed by ANSI Std 239-18
298-102

CONTENTS

MATRIX REPRESENTATION OF FINITE FIELDS

INTRODUCTION

Finite fields have many applications to coding theory, information theory, and cryptography. For this reason, it is important to have understandable and efficient methods of representing fin.te fields.

Most undergraduate texts in abstract algebra show how to represent a finite field F_q over its prime field F_p by clearly specifying its additive structure as a vector space or a quotient ring of polynomials over F_p while leaving the multiplicative structure hard to determine, or they explicitly illustrate the cyclic structure of its multiplicative group without clearly connecting it to the additive structure. In this note we suggest a matrix representation which naturally and simply displays both the multiplicative and the additive structures of the field F_q (with $q = p^d$) over its prime field F_p. Although this representation is known (See [3, p. 65], for example.), it does not appear to be widely used in abstract algebra texts.

REPRESENTATIONS OF FINITE FIELDS

To illustrate these ideas, let us first consider the field F_8 of eight elements over its prime field F_2. The additive structure of F_8 is that of the three dimensional vector space V

$= \{(0\ 0\ 0),\ (1\ 0\ 0),\ (0\ 1\ 0),\ (0\ 0\ 1),\ (1\ 1\ 0),\ (1\ 0\ 1),\ (0\ 1\ 1),$ $(1\ 1\ 1)\}$ over $\mathbf{F}_2$. However, it is not at all clear how to define products of these vectors to get the multiplicative structure of $\mathbf{F}_8$! It can be shown that extending the multiplication table

	(1 0 0)	(0 1 0)	(0 0 1)
(1 0 0)	(1 0 0)	(0 1 0)	(0 0 1)
(0 1 0)	(0 1 0)	(0 0 1)	(1 1 0)
(0 0 1)	(0 0 1)	(1 1 0)	(0 1 1)

(1)

for the basis $\mathbf{B} = \{(1\ 0\ 0),\ (0\ 1\ 0),\ (0\ 0\ 1)\}$ of $\mathbf{V}$ by bilinearity gives the multiplicative structure of $\mathbf{F}_8$, although a direct proof would be tedious.

A more usual, as well as more useful, treatment (See [1, p. 171] or [3, p. 25, Thm. 1.6.1].) is to represent

$$(2) \qquad \mathbf{F}_8 \cong \mathbf{F}_2[x]/(x^3 + x + 1)$$

as the ring of all polynomials over $\mathbf{F}_2$ modulo the third degree irreducible polynomial $x^3 + x + 1$. If we let $a \in \mathbf{F}_8$ denote the residue class of x modulo $x^3 + x + 1$, we have $a^3 + a + 1 = 0$. Then it is easy to see (Recall that the characteristic is 2!) that $a^3 = a + 1$, $a^4 = a^2 + a$, $a^5 = a^2 + a + 1$, $a^6 = a^2 + 1$, and $a^7 = 1$, so

$$\mathbf{F}_8 = \{0,\ 1,\ a,\ a^2,\ a^3,\ a^4,\ a^5,\ a^6\}$$

(3)

$$= \{0,\ 1,\ a,\ a^2,\ a + 1,\ a^2 + a,\ a^2 + a + 1,\ a^2 + 1\}.$$

Thus, the multiplicative group $F_8^* = \langle a \rangle$ of F_8 is simply the cyclic group of order 7 generated by a. The second formulation in (3) makes the additive structure easy to see, although it obscures the multiplicative structure a little. One can use the abbreviated multiplication table

(4)

	1	a	a^2
1	1	a	a^2
a	a	a^2	$a + 1$
a^2	a^2	$a + 1$	$a^2 + a$

along with the distributative law to multiply elements of F_8. (Comparing tables (1) and (4) is one fairly easy way to prove that the multiplication given by table (1) satisfies the field axioms.) Alternatively, one can use the relation $a^3 + a + 1 = 0$ to multiply the elements given in the second formulation in (3). This is the standard representation of a finite field, and it is reasonably satisfactory. However, the transition from addition to multiplication still leaves something to be desired.

MATRIX REPRESENTATIONS

If we pick any element b of the field F_8, left multiplication by b is a linear transformation L_b on the vector space $V = F_8$ over F_2. If we choose any basis B' of $V = F_8$ over F_2, we can find the matrix $[L_b] = [L_b]_{B'}$ of L_b with respect to that basis. If we fix the basis B' and find the matrix of each element of F_8 in this way, it is clear that the resulting set of matrices form a field isomorphic to F_8!

Thus, each choice of basis gives a different matrix representation of F_8.

It appears at first glance that we must have a multiplication table for the field before we can get the matrix representation. But there is a way to get around this difficulty.

Let

$$A = \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}$$

be the companion matrix (See [1, p. 264], [2, pp. 229-230], or [5, p. 201, Definition 5.2.16].) of the irreducible third degree polynomial $f(x) = x^3 + x + 1$ over the field F_2. Then $f(A) = 0$, so the powers of A satisfy the relations satisfied by a above; in particular, the matrix A generates the cyclic group $\langle A \rangle$ of order 7 isomorphic to $F_8{}^*$, and the ring of matrices

$$F_2[A] = \{0, I, A, A^2, A^3, A^4, A^5, A^6\}$$

is isomorphic to the field F_8. That was easy, wasn't it?

Indeed, a bit too easy, as we shall see. Consider now the irreducible polynomial $g(x) = x^2 + 1$ over the three element field F_3. We see that its companion matrix B has multiplicative order 4:

$$B = \begin{bmatrix} 0 & 2 \\ 1 & 0 \end{bmatrix}, \quad B^2 = \begin{bmatrix} 2 & 0 \\ 0 & 2 \end{bmatrix}, \quad B^3 = \begin{bmatrix} 0 & 1 \\ 2 & 0 \end{bmatrix}, \quad B^4 = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} = I.$$

Not enough elements for F_9! And the powers of B are not closed under addition. Fortunately, there is a fairly simple

4

cure: Adjoin the matrices 0, $I + B$, $I + B^3$, $B + B^2$, and $B^2 + B^3$ to the set of powers of B to obtain the ring $\mathbf{F}_2[B]$ of matrices generated by B. Since $g(B) = B^2 + I = 0$, it is clear that the ring $\mathbf{F}_2[B]$ is isomorphic to the field $\mathbf{F}_9$. Thus, B provides a matrix representation $\mathbf{F}_2[B]$ of the nine element field, and we say that B is a _generator_ of the field $\mathbf{F}_9$.

CANONICAL CYCLIC REPRESENTATION

But we would like to have a _cyclic generator_ of $\mathbf{F}_9$; that is, a matrix M such that the multiplicative group $\mathbf{F}_9^*$ of $\mathbf{F}_9$ is isomorphic to the cyclic group $\langle M \rangle$ generated by M. This, too, is not terribly difficult. An eight element cyclic group has exactly $\varphi(8) = 4$ generators, none of which is a power of an element of order 4. Thus, the multiplicative group $\mathbf{F}_3[B]^* \cong \mathbf{F}_9^*$ is cyclically generated by any of the four nonzero matrices in $\mathbf{F}_3[B]$ which are not powers of B. The reader can easily verify that the matrix $M = I + B = \begin{bmatrix} 1 & 2 \\ 1 & 1 \end{bmatrix}$ is a cyclic generator of $\mathbf{F}_9$.

Note that the set $\mathbf{F}_3[B]$ is spanned (over $\mathbf{F}_3$) by the matrices I and B, and also by I and M. That is, $\mathbf{F}_3[B] = L(I, B) = L(I, M)$. If $\mathbf{B}$ and $\mathbf{M}$ are the ordered bases (I, B) and (I, M), respectively, we see that

$$L_B : \begin{array}{l} I \longmapsto B = 0 \cdot I + 1 \cdot B \\ B \longmapsto B^2 = 2 \cdot I + 0 \cdot B \end{array} \quad \text{so} \quad [L_B]_{\mathbf{B}} = \begin{bmatrix} 0 & 2 \\ 1 & 0 \end{bmatrix} = B,$$

$$L_M : \begin{array}{l} I \longmapsto M = 1 \cdot I + 1 \cdot B \\ B \longmapsto MB = 2 \cdot I + 1 \cdot B \end{array} \quad \text{so} \quad [L_M]_{\mathbf{B}} = \begin{bmatrix} 1 & 2 \\ 1 & 1 \end{bmatrix} = M,$$

and

$$L_M : \begin{array}{ccc} I & \longmapsto & M = 0 \cdot I + 1 \cdot M \\ M & \longmapsto & M^2 = 1 \cdot I + 2 \cdot M \end{array} \quad \text{so} \quad [L_M]_M = \begin{bmatrix} 0 & 1 \\ 1 & 2 \end{bmatrix} = A.$$

Since A is similar to M, it follows that A is another cyclic generator of $\mathbf{F}_9$. Moreover, A is the companion matrix of its characteristic polynomial $f_A(x) = x^2 + x + 2$. We call A a _canonical_ _cyclic_ _generator_ of $\mathbf{F}_9$, and call the representation

$$\mathbf{F}_3[A] = \{0, I, A, A^2, A^3, A^4, A^5, A^6, A^7\}$$

a _canonical_ _cyclic_ _representation_ of $\mathbf{F}_9$.

THE GENERAL CASE

Of course, all of these ideas generalize for arbitrary finite fields. (Indeed, they generalize to finite extensions of _any_ field, but we restrict the treatment here to finite extensions of fields $\mathbf{F}_p$ with p prime.) Let p be a prime number and let $q = p^e$ be the eth power of p. Then $\mathbf{F}_q$ is a q element field containing $\mathbf{F}_p = \mathbf{Z}_p = \mathbf{Z}/(p)$ (the integers modulo p) as its prime field. Let m(x) be any irreducible polynomial of degree e over $\mathbf{F}_p$, and let B be the companion matrix of m(x). The ring $\mathbf{F}_p[B]$ of sums of powers of B is isomorphic to the field $\mathbf{F}_q$, and is thus a matrix representation of $\mathbf{F}_q$. Locate a matrix M in $\mathbf{F}_p[B]$ which has period (multiplicative order) q - 1. M is necessarily a cyclic generator of $\mathbf{F}_q$. The companion matrix A of the minimum polynomial $m_M(x) = m_A(x)$ is a canonical cyclic generator of

$$\mathbf{F}_p[A] = \{0, I, A, A^2, \ldots, A^{q-2}\} \cong \mathbf{F}_q.$$

Note that if C is any $e \times e$ matrix over F_p, then the ring $F_p[C]$ generated by C is isomorphic to F_q if and only if the sequence $C = (I, C, C^2, \ldots, C^{e-1})$ of powers of C is independent if and only if the characteristic polynomial $f_C(x)$ of C is irreducible. In this case, the matrix $[L_C]_C$ of l·ft multiplication by C, with respect to the basis C, is the companion matrix of $f_C(x)$. C is a cyclic generator of F_q if and only if C is a primitive $(q - 1)^{st}$ root of unity in $F_p[C]$.

CYCLOTOMIC POLYNOMIALS

There is another, possibly easier, method of getting a canonical cyclic generator of F_q. Recall that the nth cyclotomic polynomial $c_n(x)$ is defined to be the product

(5)
$$c_n(x) = \prod (x - a)$$

taken over all $\varphi(n)$ primitive n^{th} roots a of unity. Since every root of $x^n - 1 = 0$ is a primitive d^{th} root of unity for some divisor d of n, it follows from (5) that

(6)
$$x^n - 1 = \prod_{d|n} c_d(x).$$

One can use (6) to obtain the recursive formula

(7)
$$c_n(x) = (x^n - 1) / \prod_{d|n \& d < n} c_d(x).$$

It follows inductively from (7) that $c_n(x)$ is a monic

7

polynomial with integer coefficients of degree (from (5)) $\varphi(n)$. The cyclotomic polynomials are all irreducible over the rational number field (See [3, p. 61, Thm. 2.4.7], [4, p. 162], or [5, p. 289, Thm. 6.3.13],.), but they usually factor over finite fields. It will be useful later to note that if $n = r^d$ is a power of a prime r, then it follows inductively from (7) that

$$(8) \qquad c_n(x) = (x^n - 1)/(x^{n/r} - 1), \qquad (n = r^d, \ r \ \text{prime}).$$

Every element of $\mathbf{F}_q$ (p prime and $q = p^e$) is a root of

$$(9) \qquad x^q - x = x(x^{q-1} - 1) = 0,$$

since $\mathbf{F}_q$ is the splitting field of $x^q - x$, and every nonzero element is a $(q - 1)$st root of unity. If $m(x)$ is a monic irreducible factor of $c_{q-1}(x)$, and a is a root of $m(x)$, then a is a primitive $(q - 1)$st root of unity. (Note that $m(x)$ is necessarily of degree e.) It follows that if A is the $e \times e$ companion matrice of $m(x)$, then A is a canonical cyclic generator of $\mathbf{F}_q$.

Conversely, if A is a canonical cyclic generator of $\mathbf{F}_q$ over $\mathbf{F}_p$, then its minimum polynomial $m_A(x)$ is an irreducible factor of the cyclotomic polynomial $c_{q-1}(x)$ in $\mathbf{F}_p[x]$. This observation can lead to a method of factoring cyclotomic polynomials. This is a related but different topic which we will not pursue here.

EXAMPLES REVISITED

Let us conclude with two examples that use the method of

factoring cyclotomic polynomials to obtain canonical cyclic representations of F_8 over F_2, and of F_9 over F_3. (We have treated these cases more naively above.)

For F_8 over F_2, $e = [F_8:F_2] = 3$, so the factors of $c_7(x)$ are cubic.

$$
\begin{aligned}
c_7(x) &= (x^7 - 1)/(x - 1) \\
&= x^6 + x^5 + x^4 + x^3 + x^2 + x + 1 \\
&= (x^3 + x + 1)(x^3 + x^2 + 1).
\end{aligned}
$$

(The factorization of $c_7(x)$ was particularly easy, since it factors are the only irreducible polynomials of degree three over F_2!) Since $x^3 + x + 1$ and $x^3 + x^2 + 1$ are irreducible factors of $c_7(x)$, it follows that their companion matrices

$$
A = \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix} \quad \text{and} \quad B = \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 1 \end{bmatrix}
$$

are canonical cyclic generators of F_8 over F_2.

For F_9 over F_3, we would like to factor

$$
c_8(x) = (x^8 - 1)/(x^4 - 1) = x^4 + 1.
$$

Since $e = [F_9:F_3] = 2$, the factors are quadratic. It is not hard to see that the monic irreducible quadratics over F_3 are $x^2 + 1$, $x^2 - x - 1$, and $x^2 + x - 1$. The desired factorization is

$$
c_8(x) = x^4 + 1 = (x^2 + x - 1)(x^2 - x - 1),
$$

so the canonical cyclic generators of F_9 over F_3 are the

corresponding companion matrices,

$$A = \begin{bmatrix} 0 & 1 \\ 1 & 2 \end{bmatrix} \quad \text{and} \quad B = \begin{bmatrix} 0 & 1 \\ 1 & 1 \end{bmatrix} .$$

CONCLUSION

As mentioned in the introduction, finite fields have many applications to coding theory, information theory, and cryptography. The canonical cyclic matrix representation of finite fields described in this report gives an easily understandable and convenient computational method of dealing with finite fields that can simplify their use in these applications.

REFERENCES

1. Herstein, I. N., Topics in Algebra, Blaisdell, Waltham, 1964.

2. Hoffman, K., and Kunze, R., Linear Algebra, 2nd ed., Prentice Hall, Engelwood Cliffs, 1971.

3. Lidl, R., and Niederreiter, H., Introduction to Finite Fields and their Applications, Cambridge University Press, New York, 1986.

4. van der Waerden, B. L., Modern Algebra, vol. 1, Ungar, New York, 1969.

5. Walker, E. A., Introduction to Abstract Algebra, Random House, New York, 1987.