MASTER C	OPY KEEP 1	THIS COPY FOR RE	EPRODUCTION PURPOSES	
	NTATION P	AGE	Form Approved OMB No. 0704-0188	
AD-A243 148	Office of Management and	ted to average 1 hour per response, including the time for reviewing instructions, searching existing data sources eviewing the collection of information. Send comments regarding this burden estimate or any other aspect of the surgen to Washington Headquarters Services, Directorate for information Operations and Reports, 1215 jetterson Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503.		
	IRT DATE	3. REPORT TYPE A Annual Techn	AND DATES COVERED Dical 12/15/90 to 12/14/91	
A. TITLE AND SUBTITLE Pseudomorphic narrow gap performance devices 5. AUTHOR(S)	materials for hig	~~~	5. FUNDING NUMERAS PE 61153N R&T# 414S006 ONR N0014-90-J-1536	
Wen I. Wang				
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)		8. PERFORMING ORGANIZATION	
Electrical Engineering De 500 West 120 Street, New		a University	REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY I Office of Naval Research 800 N. Quincy Street, Arl			10. SPONSORING/MONITORING AGENCY REPORT NUMBER	
11. SUPPLEMENTARY NOTES		DEC 1	C.	
123. DISTRIBUTION / AVAILABILITY STATE	MENT	· · · · · · · · · · · · · · · · · · ·	12b. DISTRIBUTION CODE	
Approved for public rele	ase, distributior	unlimited.		
(intrinsic) transconduct estimated to be more th	ance as high as 414 m an a factor of two gre	S/mm (670mS/mm ater than is typical f	tted and showed extrinsic). The cut-off frequency is for GaAs based FET's with ris fields greater than 20	
kV/cm without any indi kV/cm. Several mechar threshold current densit have been shown to be the use of a thick GaAs infrared absorption at n greatly enhanced in ligh	ication of breakdown, hisms have been prope- ties of separate confin- insensitive to the qual inner cladding layer. ormal incidence due to the hole and heavy-hole dial tensile strain, exci	, far above the bulk osed to explain this ement strained AlG lity of the AlGaAs o We have also show o intervalence subba e inverted strained C	phenomenon. The aAs/GaAs/InGaAs lasers outer cladding layers due to vn theoretically that (1)	
14. SUBJECT TERMS			15. NUMBER OF PAGES	
14. SUBJECT TERMS	<u></u>		15. NUMBER OF PAGES 16. PRICE CODE	
	ECURITY CLASSIFICATION F THIS PAGE	19. SECURITY CLASS OF ABSTRACT	16. PRICE CODE	

	16 (1. J. 🖝	istem Re	r j
	900 - 1 1 77 1 1	GRAAT TAB	S.
		Dimini a d	
OFFICE OF NAVAL RESEARCH	Justification		
	87		
Annual Technical Report	Distribution/		
	Availability Codes		
ron		Avall (
FOR	Dist	Speed	lal
	A-1		
5 December 1990 through 14 December 1991	1		

CONTRACT: <u>N00014-90-J-1536</u>

R&T NO: <u>414 s 006</u>

TITLE OF CONTRACT: Pseudomorphic Narrow Gap Materials for High Performance Devices

NAMES OF PRINCIPAL INVESTIGATORS: Wen I. Wang, Professor

NAME OF ORGANIZATION: Electrical Engineering Department, Columbia University

ADDRESS OF ORGANIZATION: <u>Electrical Engineering Department, Columbia University</u>, 500 West 120th Street, New York, N.Y. 10027

Reproduction in whole, or in part, is permitted for any purpose of the University States Government.

This document has been approved for public release and sale: its distribution is unlimited.



91 1209 030

page 1

In the past year, several strained-layer electronic and optical devices have been conceived and developed. The achievements are summarized in the following:

Normal incidence infrared photoabsorption in p-type GaSb/GaAlSb quantum wells

We have investigated infrared absorption properties at normal incidence in p-type GaSb/Ga_{1-x}Al_xSb quantum wells. Normal incidence absorption is intrinsically allowed in conventional p-type quantum wells due to the favorable properties of the p-like valenceband Bloch states and the light-hole and heavy-hole mixing. Unlike s-like conduction-band Bloch states (|s>) for electrons, the Bloch state: for holes are linear combinations of p-like valence-band Bloch states (|x>, |y>, and |z>), which can provide nonzero coupling to normally incident radiation. The strong heavy-hole and light-hole mixing due to the OW potential further promotes absorption at normal incidence. An advantage of this detection scheme is that it allows the use of wide- and direct-gap semiconductors. However, the inter-valence subband absorption in conventional p-type quantum wells, such as in p-type $GaAs/Ga_{1,r}Al_rAs$, is too small to be useful for photodetection applications. This is because in conventional p-type quantum wells free holes occur primarily in the heavy-hole ground state with large effective masses. Therefore weak absorption results from the inverse relationship between the effective mass of free carriers and the absorption coefficient. Taking into account the fact that smaller effective mass corresponds to stronger absorption, we choose a well material with a relatively small heavy-hole effective mass, GaSb, in order to strengthen the absorption. Among the widely used III-V semiconductors, GaSb has the $(m_{hh}^*/m_0 = 0.26, m_0$ is the free electron mass), smallest heavy-hole effective mass which is about half the heavy-hole mass of GaAs $(m_{hh}^*/m_0 = 0.45)$. Previously, we have taken advantage of this feature and fabricated p-channel GaSb field-effect transistors which exhibited the highest transconductance reported for any III-V compound p-channel fieldeffect transistors. Here, we found that normal incidence absorption of 3000-6000 cm⁻¹ can be easily achieved in these proposed quantum wells with well widths of 55-90 Å for the wavelength range of 8-12 μ m and typical sheet doping concentrations of 10¹² cm⁻². This absorption strength is an order of magnitude larger than that in p-type GaAs/Ga1, Al, As and comparable to that in the intrinsic $Hg_{1,x}Cd_xTe$ detector. Strong absorption of normally incident radiation makes this structure a good candidate for infrared photodetection.

Infrared absorption enhancement in light-hole and heavy-hole inverted strained GaInAs/AllnAs quantum wells

We have studied an alternative approach to improve the inter-valence subband absorption in p-type quantum wells. Absorption at normal incidence is found to be significantly enhanced in Ga_{1-x}In_xAs/Al_{1-y}In_yAs quantum wells with light-hole and heavyhole inversion. The inversion can be achieved with the effects of biaxial tensile strain in the quantum well due to the lattice mismatch between the well material and substrate. In this way p-type quantum well infrared detectors can be designed such that the light-hole state becomes the ground state for free holes with small effective masses, thereby producing stronger absorption. We found that in this light-hole and heavy-hole inverted structure with a well width of 60 Å, the infrared absorption can be greatly enhanced up to 8500 cm⁻¹ for normally incident radiation of 12 μ m, which is comparable to that in the intrinsic Hg_{1-x}Cd_xTe detector. This novel structure's ability to detect normally incident radiation makes it promising for infrared photodetection applications.

Enhanced Exciton Absorption and Saturation Limit in Strained InGaAs/InP Quantum Wells

The discovery of the room temperature Stark shift of the exciton-absorption peaks in quantum wells (QW's) has made possible novel devices, such as MQW self-electrooptical effect devices (SEED's) and electro-optic modulators. SEED's have since become the key devices used in optical switching and optical computing. MQW modulators, which overcome the deficiencies associated with directly modulated semiconductor lasers, are excellent candidates for use in high speed communication transmitters.

In order to improve device performance, extensive research has been focused on increasing the exciton-absorption peak value and the saturation limit. We propose a new approach to raising these parameters, using MQW's in which the well layer is under tensile strain.

When tensile strain is applied to the QW, the effective mass of the top valence band can increase and change sign (corresponding to a change in the in-plane valence band structure). As the valence band effective mass is increased the effective mass of a direct exciton, formed by an electron from the conduction band coupling to a hole from the top valence band, can be drastically increased. An increase in exciton mass leads to a reduction of exciton radius (greater overlap of electron and hole), and therefore an increase in exciton-absorption peak. Furthermore, the increase in exciton mass and the change in the sign of the hole mass lead to reduced saturation effect -- an increase in saturation intensity. The major saturation mechanism is due to the band-filling effect (Pauli-exclusion effect). The saturation intensity is inversely proportional to the exciton lifetime because the shorter the lifetime the faster an optically created exciton disappears from the filled state. Changing the in-plane valence band structure can reduce the exciton lifetime by several orders of magnitude, thereby allowing higher intensities of light to be absorbed. With the effects of strain in MQW's, opto-electronic devices can be designed to achieve larger excitonabsorption and higher saturation intensity than currently available.

High Breakdown Voltage InAs Channel Field-Effect Transistors

In InAs, the high electron mobility allows carriers to gain velocity quickly, while the large satellite valley spacing should yield higher carrier transient and steady-state velocities than both InP and GaAs. As a result, both long and short channel InAs channel FET's should outperform InP and GaAs based devices. However, because of the narrow bandgap of InAs (0.36 eV) it has been predicted that breakdown due to impact ionization will severely limit device performance. In bulk InAs, it has been predicted that the threshold for breakdown due to impact ionization should be on the order of 6 kV/cm. To date these results have been supported by the fact that all InAs channel FET's have exhibited behavior indicative of breakdown at drain-to-source voltages near 1 V. In this paper we demonstrated the room temperature operation of an AlSbAs/InAs heterostructure FET (HFET) that operates at channel electric fields (20 kV/cm) several times higher than the predicted threshold for impact ionization. Maximum drain current densities of 450 mA/mm were measured and operation at a drain voltage (Vds) as high as 2.2 V was observed without any indication of channel breakdown. In addition, transconductances as high as 414 mS/mm and output conductances as low as 33 mS/mm are also observed at room temperature, vielding voltages gains on the order of 10. Based upon a calculated source resistance (0.94 Wmm), the intrinsic transconductance was determined to be 670 mS/mm. From this transconductance, the cut-off frequency of the device can be estimated to be 39 GHz which is more than a factor of two greater than is typical for GaAs based FET's of comparable gate length (16 GHz). Also, since carrier velocities are not expected to saturate in InAs, a constant-mobility model is used to project cut-off frequencies in the 600 GHz range for 0.25 µm gate InAs FET's.

In addition, several mechanisms for the breakdown enhancement have been proposed by us. In general these mechanisms depend on the different vertical and horizontal structure of the FET as compared to a bulk InAs sample. Nonetheless, whatever the reason, our results do demonstrate that bulk breakdown values do not define the limit for operation of InAs channel FET's, establishing that InAs FET's may operate at higher supply voltages than previously considered possible.

AlGaAs-GaAs-InGaAs strained laser structure with performance insensitive to AlGaAs layer quality

It has been established from deep level transient spectroscopy (DLTS) and SIMS experiments that AlGaAs grown by MBE (and possibly MOCVD as well) always contains defects and impurities due to the incorporation of oxygen during crystal growth. These defects form traps in the AlGaAs wide-bandgap regions which serve as sources of non-radiative recombination that increase laser threshold and reduce the reliability of the device. The density of these defects can be minimized by performing AlGaAs MBE growth around 700 °C, however at this high growth temperature precise control of the GaAs thickness and Al composition is difficult to achieve due to the re-evaporation of Ga from the growing surface. We have studied and demonstrated a step separate confinement strained single quantum well laser that exhibits state-of-the-art threshold current densities which are insensitive to AlGaAs layer quality. The insensitivity of the threshold current density to AlGaAs quality is attributed to the use of a large GaAs region (~120 nm) outside the InGaAs quantum well. This large GaAs region reduces the influence of traps in the AlGaAs layer on the active region of the device. Laser structures with AlGaAs layers grown at different substrate temperatures (580 °C to 700 °C) exhibit similar threshold current densities while photoluminescence measurements on the AlGaAs layers of these samples exhibit large variations in the peak intensity. Thus large variations in the quality of the AlGaAs layers in our structure have little effect on the active region of the device. Our strained layer laser structure is useful for consistently producing low threshold lasers for experimental purposes and potentially offers improved reliability and manufacturability over GRIN-SCH structures due to the removal of non-radiative and dark line defect producing sites from the active region of the device. Conceivably, our structure can also be applied to other strained-structures with Al-containing compounds, such as InAlAs/In xGa 1-x As/In $_{v}$ Ga $_{1-v}$ As (y<x) and InAlP/In $_{x}$ Ga $_{1-x}$ P/In $_{v}$ Ga $_{1-v}$ P (y<x).

At present, a single InGaAs strained quantum well sandwiched between graded AlGaAs cladding layers, i.e. GRIN-SCH structure (graded-index separate confinement heterostructure), is

the most commonly used strained laser structure. Although it has better carrier confinement than our structure, it is a difficult task to routinely produce the precisely graded and low defect density AlGaAs layers necessary for these GRIN-SCH structures.

٠

PAPERS PUBLISHED IN/SUBMITTED TO REFEREED JOURNALS

H. Xie, J. Katz, and W.I. Wang, "Infrared absorption enhancement in light-hole and heavy-hole inverted GaInAs/AlInAs quantum wells", Appl. Phys. Lett. accepted for publication, Oct. 1991.

٠

H. Xie, J. Katz, W.I. Wang, and Y.C. Chang, "Normal incidence infrared photoabsorption in p-type GaSb/GaAlSb quantum wells", submitted to J. Appl. Phys. Oct. 1991.

K.F. Longenbach, X. Li, Y. Wang, and W. I. Wang, "Polytype InAs/AlSb/GaSb for field effect transistor applications", Proc. IEEE/Cornell Conference on High Speed Semiconductor Devices and Circuits, 1991. (Invited)

X. Li, K.F. Longenbach, Y. Wang, and W.I. Wang, "High breakdown InAs channel field-effect transistors", submitted to Appl. Phys. Lett. July 1991. Also, presented at the Workshop on Strained-Layer Materials and Devices, SUNY Buffalo, August 23, 1991.

S. Xin, K.F. Longenbach, and W.I. Wang, "AlGaAs/GaAs/InGaAs strained layer laser structure with performance independent of AlGaAs layer quality", Electronics Lett. 27, 199-201 (1991).

Y. Jiang, M.C. Teich, and W.I. Wang, "Enhanced exciton absorption and satuation limit in strained InGaAs/InP quantum wells", J. Appl. Phys. accepted for publication, Oct. 1991.

Y. Jiang, M.C. Teich, and W.I. Wang, "The Auger recombination rate is larger in a GaSb quantum well than in bulk GaSb", J. Appl. Phys. 69, 836-840 (1991).

Y. Jiang, M.C. Teich, and W.I. Wang, "Forbidden Auger process in strained InGaSb/AlGaSb quantum wells", Appl. Phys. Lett. 57, 2922-2924 (1990).

L.F. Luo, K.F. Longenbach, and W.I. Wang, "P-channel modulation-doped GaSb field effect transistors", Electronics Lett. 27, 472-474 (1991).

L.F. Luo, K.F. Longenbach, and W.I. Wang, "High performance GaSb p-channel MODFETs", International Electron Dev. Meeting, IEDM technical digest pp. 515-518 (1990).

L.F. Luo, K.F. Longenbach, and W.I. Wang, "p-channel modulation-doped field-effect transistors based on AlSbAs/GaAs", IEEE Trans. Electron Dev. 11, 567-569 (1990).

PATENTS FILED

٠

none

GRADUATE STUDENTS WORKING UNDER CONTRACT FOR YEAR ENDING 14 December 1991

GRADUATE RESEARCH ASSISTANTS

Jennifer Katz Xiaoming Li Kort F. Longenbach (IBM fellowship)