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CALCULATED POINT DEFECT PROPERTIES OF THE INTERMETALLIC COMPOUNDS NICKEL TITANIUM (NiTi) and IRON TITANIUM (FeTi)

THESIS

Russell T. Lutton, Captain, USAF

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THESIS

Presented to the Faculty of the School of Engineering of the Air Force Institute of Technology Air University In Partial Fulfillment of the Requirements for the Degree of Master of Science in Nuclear Science

> Russell T. Lutton, B.S., M.S. Captain, USAF

> > March 1991

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Acknowledgments

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The rewards of this thesis are received by me, but much of the burden of this assignment has been on by my wife, Maggie, and our daughter, Amanda. It is to them, I owe much more than thanks and give my love. My thanks to my faculty advisor Capt Sabochick, whose direction, help, understanding, and inspiration was excellent. I owe a special thanks to my classmates Mike Oehrli, Randy Wharton, and Chuck Wood for their help and support on this thesis.

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Abstract

Atomistic simulation with a modified version of the DYNAMO code was used to calculate the point defect properties of the B2-type intermetallic compounds NiTi and FeTi. The calculated energies are believed to be experimentally accurate to within +/- 0.1 eV.

The antisite pair formation energies for the alloys were calculated to be 1.015 eV for NiTi and 0.726 eV for FeTi. The antisite defect and vacant Ni or Fe lattice sites were found to be weakly bound with a binding energy 0.094 eV for NiTi and 0.055 eV for FeTi.

The vacancy defect configurations in NiTi and FeTi were identical. Vacant Ni or Fe lattice sites were preferred and had energy values of 6.356 eV for NiTi and 5.899 eV for FeTi. The Ni and Fe vacancy migrations had energy barriers of 1.272 eV for NiTi and 1.737 eV for FeTi. Removing a Ti atom resulted in a neighboring antisite defect caused by migrating Ni or Fe atoms into the vacant Ti lattice site. These configurations had energy values of 7.024 eV for NiTi and 6.336 eV for FeTi. The vacancy defect formation energies were calculated to be 1.48 eV for NiTi and 1.07 eV for FeTi.

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The interstitial defect configurations consisted of Ni-Ni or Fe-Fe split-interstitial dumbbells centered on a Ti site with one or two adjacent Ti antisite defects. Ti interstitials resulted in two adjacent Ti antisite defects with a <011> direction Fe-Fe dumbbell or a <111> direction Ni-Ni dumbbell. The Ti interstitial defect energies were -2.395 eV for NiTi and -1.558 eV for FeTi. Ni or Fe interstitials both resulted in a single adjacent Ti antisite defect with Ni-Ni or Fe-Fe dumbbells oriented in the <111> direction. The Ni or Fe interstitial defect energies were -2.602 eV for NiTi and -1.945 eV for FeTi. CALCULATED POINT DEFECT PROPERTIES OF THE INTERMETALLIC COMPOUNDS NICKEL TITANIUM (NITI) AND IRON TITANIUM (FeTi)

I. Introduction

<u>Background</u>

The study of point defects for several decades has been of interest due to the role defects play in determining the physical properties of crystalline solids. This is especially true in those defects controlling the transport of matter and the properties stemming from it (Crawford, 1972, 1). For example, atom vacancies in the lattice structure are accepted as the dominant mechanism for self-diffusion in metals (Agullo-Lupez, 1988, 196). A point defect can be defined as "a perturbation that moves as an entity and whose properties are always the same, independent of how it has been generated and where it is located in an otherwise perfect crystal" (Gruber, 1964, 38). Some of the first papers in the study of point defect properties were done in calculating the defect formation and migration energies by Huntington and Seitz in 1942 (Gruber, 1966, 21). Irradiation of solids with electrons, neutrons and heavy ions result in lattice point defects. Thus, experiments with

irradiated materials have been used in the study of point defect formation and the development of atomistic simulation models of point defects (Gruber, 1966, 21). Recently, theories in amorphization of materials have given rise to an interest in the point defect properties of materials that can be amorphized, such as NiTi and FeTi (Sabochick, 1990, in press).

Recent computer simulation studies of the ordered compound copper titanium (CuTi) metal have shown that the properties of point defects in alloys may be considerably different than those of their pure metal counterparts (Shoemaker, et al., 1990, in press). Interstitials in this compound were found to have complicated configurations containing one or more antisite defects (where an atom is on a lattice site, but is of the wrong type). The complicated configuration implies the interstitials may not be as mobile as they are in pure metals. These results are different from what is observed in pure metals and support recent studies of the amorphization of CuTi (Shoemaker, et al., 1990, in press). Computer simulation of amorphization indicates the presence of point defects is critical in FeTi lattices, but chemical disorder may be more important in NiTi (Sabochick and Lam, 1990, in press). A comparison of point defect properties between the two compounds may reveal the reason for this behavior.

Problem

The problem is lack of information on the point defect properties of the intermetallic compounds nickel titanium (NiTi) and iron titanium (FeTi). These compounds are important because they can be amorphized using electron radiation. In addition, because NiTi and FeTi have the same crystal structure, the differences in their defect properties will result only from the differences between the nickel and iron elements. Thus, the results can be directly compared for understanding the effect each element has on point defects.

<u>Scope</u>

The problem is limited to single atom point defects in the NiTi and FeTi compound lattices. Thus the interaction of the defects are ignored, and the theories dependent on small concentrations of defects can be used to explore the defect properties. Specifically, the vacancies and interstitials of each atom type in each compound lattice will be explored with atomistic simulation. This will result in a total of eight case studies: (1) iron vacancy, (2) iron interstitial, (3) titanium vacancy in FeTi, (4) titanium interstitial in FeTi, (5) nickel vacancy, (6) nickel interstitial, (7) titanium vacancy in NiTi, and (8) titanium interstitial in NiTi.

Approach

The point defect properties of the compounds FeTi and NiTi are studied with computer atomistic simulation techniques with a modified version of the DYNAMO code. The general approach of the work is to:

1. Identify the stable vacancy configuration,

- 2. Calculate the migration energy of the vacancy,
- 3. Identify the stable interstitial configuration, and

4. Attempt to calculate the migration energy of the interstitial

for each of the individual compounds NiTi and FeTi. Interstitial configuration migration of similar compounds have proven difficult to calculate with the atomistic simulation techniques used in this work. The stable interstitial configuration of NiTi and FeTi were accompanied by antisite defects (see interstitial results section V). Thus, migration of the interstitial was not pursued.

II. Simulation Method

A modified version of the DYNAMO code called "RUNDYN" (see Appendix A for details) was used in the atomistic simulation required for the calculations in this work. The simulation techniques included; (1) molecular statics for the energy migrations and minimizations, (2) molecular dynamics with simulated annealing for vacancy calculations, and (3) Monte Carlo atom switching for the interstitial calculations.

In molecular dynamics Newton's equations of motion for the atoms are solved. The second order differential equations are solved numerically and yield the position and velocity of each atom at discrete times for the duration of the simulation. With the position and velocity of the atoms the lattice properties such as temperature, pressure, and internal energy are calculated (Sabochick, 1990, in press). In molecular statics, the atomic positions are calculated and the total potential energy of the lattice is minimized. This is usually done with mathematical minimization techniques such as steepest descent and conjugate In the modified version of the DYNAMO code gradients. the Fletcher-Powell minimization method was used in all of the calculations of this work. A more detailed explanation, with regards to the RUNDYN code, of atomistic simu-

lation is discussed by M. J. Sabochick in his report entitled "Basic Primer on Atomistic Simulation." Additional discussion on atomistic simulation is found in J. M. Haile's report entitled "A Primer on the Computer Simulation of Atomic Fluids by Molecular Dynamics."

All of the calculations had cubic volumes with periodic boundary conditions having fixed edge lengths and constant pressure. The embedded atom method (EAM) was used with the interatomic potentials derived with the method of Oh and Johnson (Shoemaker, et al., 1990, in press). The interatomic potential is used to specify the energy between atoms as a function of distance. These potentials were also used in the amorphization studies of NiTi and FeTi by M. J. Sabochick. The potentials for Ni, Fe, and Ti were fitted to the binding energies, lattice constants, elastic constants and vacancy formation energy of the pure metals (Shoemaker, et al., 1990, in press). The potentials for FeTi and NiTi were then determined by fitting the structure, lattice constants and heat of formation of the two compounds. The fitting procedure details have been described by Sabochick and Lam in other works (Sabochick and Lam, 1990, 565). The interatomic potential functions thus become the foundation for the point defect properties calculated in this work.

The potentials used give lattice parameters for FeTi as 2.976 +/- 0.005 Angstroms($A^{\circ} = 10^{-10}$ meters) and for NiTi as 2.998 +/- 0.005 A° . Both of these values are slightly larger than experimental values given by Duwey and Taylor as 2.975 +/- 0.005 A° for FeTi and 2.986 +/- 0.005 A° for NiTi (McQuillan, 1956, 221 and 239). These compounds both have body B2-type structures described as two interpenetrating equal sized cubic lattices shown in Figure 1 (Ghatak, 1972, 211). Each cubic lattice is of a different atom type with the corner atom of the opposite type at each cube's center position. Thus, in this simple lattice arrangement there is a total of 16 atoms with eight atoms of each type.





Point defects (especially interstitials) have many metastable energy configurations that require a technique to determine the global minimum energy (lowest energy, most stable) configuration (Shoemaker, et al., 1990, in press). First, a lattice structure of 1024 atoms was created with the RUNDYN code for both NiTi and FeTi. The stable and interstitial global minimum energy configurations were then determined with "simulated annealing" or "Monte Carlo atom switching" computer techniques. In the molecular dynamic "simulated annealing technique," a single atom was either removed (vacancy) or added (interstitial) at or near the center of the lattice and the energy of the lattice was minimized. These vacancy and interstitial lattices were then heated to 800 degrees Kelvin (K) and minimized to the lowest energy configuration using the Fletcher-Powell method (Shoemaker, et al., 1990, in press). After which, the lattice for each case was cooled in 50 or 100 degree increments to about room temperature at 300° K. The lowest energy configuration (global minimum) of these calculations was selected for each case from each group of calculations. Simulated annealing was adequate in finding the lowest energy configuration of vacancy point defects. However, for interstitial point defects calculations the Monte Carlo atom switching technique proved more efficient.

In the "Monte Carlo switching technique," atoms at a

constant temperature were randomly switched from one type to another creating intisite defects (where an atom is on a lattice site, but is of the wrong type). The total number of each type of atom is conserved in the switching and the lowest energy configuration is found after the atoms are switched. This technique is relatively new for use with the RUNDYN code (Shoemaker, et al., 1990, in press) and was found to reduce computational time and to be more efficient in calculating the interstitial configurations. Both the annealing and switching techniques were used in searching for the interstitial global minimum energy configurations (most stable). However, the final configurations were usually found with a little additional deductive reasoning to the calculated configurations.

After finding the lowest energy configuration or global minimum the results of the vacancy calculations were explored to find the required energy for individual atoms to migrate between lattice sites. Migration energies were calculated by minimizing the lattice energy as a jumping atom (the atom moving between lattice sites) was constrained at various distances from a vacancy in the lattice (see Appendix A for details). These calculations provided the required energy for atoms to move within the lattice and thereby overcome migration energy barriers.

Error assessment is not generally discussed in most atomistic simulation works. Haile makes note of it in his report concerning the numerical solution of Newton's equations. He notes that, errors are "primarily due to (a) use of a finite-difference algorithm for solving differential equations and (b) round-off errors that occur in the computer hardware" (Haile, 1980, 38). In all of the calculations with RUNDYN seven digits after the decimal point are repeatable if the tolerance was set at 1.0 E-13 (see Appendix B). The results are thus precise but without direct experiment results may lack accuracy. Enough past work has been done with the DYNAMO code that the accuracy of the work is believed to be within a few tenths (+/-0.1)eV) of an eV (Sabochick, Dec 1990, personal discussion). The results here are presented with 3 or 4 significant digits, which is generally adequate for comparing results.

The antisite defect (where an atom is on a lattice site, but is of the wrong type) is the simplest defect of an ordered compound such as NiTi or FeTi (Figure 3).



Ti Antisite Defect

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Ni or Fe Antisite Defect

Figure 3. Antisite Defect Lattice Structure for NiTi and FeTi

The formation energy of the antisite defect pair is easily calculated with the RUNDYN code system lattice results. First, a single atom in the perfect lattice is converted to the opposite type atom (Figure 3) and a minimization calculation is performed on the resulting lattice. Second, this is done for each atom type in the compound lattice and the perfect lattice energy (U₀) is subtracted from each of the antisite defect lattice energies (U_a^{Ni} and U_a^{Ti} ; U_a^{Fe} and U_a^{Ti}). For example, in the NiTi lattice the equations for the individual antisite defect energies (E_a^{Ni} and E_a^{Ti}) are:

III. Antisite Defect

The perfect lattice structure used in all the calculations was a cube consisting of repeating interpenetrating simple cubic structures (Figure 1) with 8 atoms to a side having side dimensions of 11.992 Angstroms(A^{O}) for NiTi and 11.904 A^{O} for FeTi (Figure 2). The total energy (U_O) of the perfect lattice containing 1024 lattice sites (N_S) was $U_{o}^{Ni} = -5120.861$ eV for the NiTi lattice and was $U_{o}^{Fe} =$ -5008.453 eV for the FeTi lattice.



Figure 2. Perfect Lattice Structure used for NiTi and FeTi

$$E_a^{Ni} = U_a^{Ni} - U_o \tag{1}$$

$$E_{a}^{Ti} = U_{a}^{Ti} - U_{o}$$
⁽²⁾

Finally, the Ni antisite pair formation energy Ef_a^{Ni} is calculated by adding the individual antisite defect energies E_a^{Ni} and E_a^{Ti} .

$$Ef_{a}^{Ni} = E_{a}^{Ni} + E_{a}^{Ti}$$
⁽³⁾

The equations (1), (2), and (3) are identical for the FeTi alloy with the Ni superscript exchanged with a Fe. The antisite pair formation energies for both alloys were calculated from the code results given in Table 1 as 1.015 eV for NiTi and 0.726 eV for FeTi. In creating the antisite defects the energy increase was larger (less negative) when converting Ti atoms to either nickel (Ni) or iron (Fe) than when converting Ni or Fe to Ti (Table 1). This implies that Ni or Fe antisite defects should be preferred over Ti antisite defects in the lattice. A Ti vacancy in the lattice would therefore have a higher potential of being filled with a Ni or Fe atom and thereby create a Ni or Fe antisite defect. The stable vacancy configurations given in the next section confirm this observation.

Table 1. Antisite Defect Properties $(E_x^{\gamma} = U_x^{\gamma} - U_o)$						
	·		NiTi (y=Ni)		FeTi (y=Fe)	
System	x	У	$U_x^{\gamma}(eV)$	$E_{x}^{\gamma}(eV)$	U (eV)	E'(eV)
Perfect Crystal	0		-5120.861	0.0	-5008.453	0.0
Ni or Fe Antisite	a	у	-5120.098	0.762	-5007.962	0.491
Ti Antisite	a	Ti	-5120.608	0.253	-5008.218	0.235
				Ef ^y _x		$E f_x^y$
Antisite Formation	a	у		1.015		0.726

If experimentally neither of these compounds becomes chemically disordered when heated, it would imply that the antisite defect pair formation energy is significantly larger than the Boltzmann's constant (k) multiplied by the melting temperature (T_m) of the given alloy (Shoemaker, et al., 1990, in press). The melting temperatures of NiTi and FeTi are 1615° K and 1590° K respectively (McQuillan, 1956, 236 and 217). Thus, given the value of k =8.61735E-5 eV/K then the value of k*T_m is equal to 0.139 eV and 0.137 eV for NiTi and FeTi respectively. These alloys should not become chemically disordered when heated, because the antisite formation energies are significantly larger (1.015 eV for NiTi and 0.726 eV for FeTi) then their respective k*T_m values.

IV. Vacancy Defect Configuration and Migration

Ti Vacancy Defect Properties

A single Ti atom was removed from the center of the perfect lattice and placed at the end of the restart file for both NiTi and FeTi. This allowed the atoms to be renumbered for easily tracking the movement of surrounding This same Ti atom #1024 was then permanently atoms. removed from the lattice and a Ti vacancy was created. Using the simulated annealing technique, the resulting minimum lattice energy of these Ti vacancy lattices was -5113.8369 eV and -5002.1175 eV for NiTi and FeTi respectively. The stable Ti vacancy configurations had higher energy levels than the perfect lattice by 7.0238 eV for NiTi and 6.3355 eV for FeTi (Table 3). Stability of these configurations was achieved by the migration of an adjacent Ni or Fe atom into the Ti vacancy (Part A in Figure 4). The resulting configuration in both compounds consisted of a vacant Ni or Fe site and an adjacent Ni or Fe antisite defect (Part B in Figure 4).

The migration energy barriers to the migrating Ni or Fe atoms were calculated with the molecular statics technique described in the simulation section. The Ni or Fe atom migration energy barriers were calculated to be 0.1344 eV for NiTi and 0.3407 eV for FeTi.



Figure 4. Ti Vacancy Stable Configuration with Ni or Fe Migration

Each of these migrations is shown graphically in Figure 5 for equally spaced stepped migration distances. See Appendix A for a description of the migration method and Appendix B for tabulated migration results. In the final stable configuration the adjacent atoms around the vacancy were displaced slightly towards the vacancy. This relaxation around the vacancy did not cause large displacements of the atoms off their normal lattice sites for NiTi nor for FeTi (see Appendix D for computer output plots).



Figure 5. Migration Energy Barriers for Ni or Fe Atoms to Ti Vacancy

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The Ni or Fe antisite defect binding energies to a Ti vacancy were calculated using the results of the vacancy defect calculations given in Table 3 and the antisite defect results given in Table 1. This binding energy is the energy required to remove the Ni or Fe antisite defect from the lattice and replacing the resulting vacancy with a Ti atom. This was done by adding the Ni or Fe vacancy energies to the Ni or Fe antisite defect energies for their individual alloy types (Table 2). The Ti vacancy energies were then subtracted from the above results for their

respective compounds. This resulted in the binding energies for each of the compounds (Table 2). The antisite defect and vacant Ni or Fe sites were found to be weakly bound with a binding energy of 0.094 eV for NiTi and 0.055 eV for FeTi.

Table 2. Ni and Fe Antisite Defect Binding Energies					
System	Numbe	r of Atoms	Ene	ergy (eV)	
	Ni or Fe	Ti	NiTi	FeTi	
A. Perfect Crystal B. Ti Vacancy	512 512	512 511	0.0 7.0238	0.0 6.3355	
C. Sum = $A + B$	1024	1023	7.0238	6.3355	
D. Ni or Fe Antisite E. Ni or Fe Vacancy	513 511	511 512	0.7623 6.3558	0.4910 5.8993	
F. Sum = D + E	1024	1023	7.1181	6.3903	
Binding Energy = F - C			0.0943	0.0548	

Ni and Fe Vacancy Defect Properties

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The Ni or Fe vacancy lattices were created in the same way as the initial Ti vacancy lattices. The resulting relaxation of the adjacent atoms surrounding the vacancy proved to be the lowest energy configuration, as no readjustment of the lattice resulted from the applied simulated annealing technique. Thus, the stable configuration of a vacancy after removal of a Ni atom was a vacant Ni site for both compounds. The resulting calculated lattice energy

values are given in Table 3.

Table 3. Vacancy Defect Properties of NiTi and FeTi($E_x^y = U_x^y - U_o$)						
	NiTi (y=Ni) FeTi (y=Fe)		y=Fe)			
System	х у	U ^v _x (eV)	E'(eV)	U⊻(eV)	E _x (eV)	
Perfect Crystal	0	-5120.861	0.0	-5008.453	0.0	
Ni or Fe Vacancy	v y	-5114.505	6.356	-5002.554	5.899	
Ti Vacancy	v Ti	-5113.837	7.024	-5002.118	6.336	

The migration barrier calculation of either the Ni or Fe vacancy consists of a six-jump ring sequence. The vacant Ni or Fe site is rotated through its nearest neighbors (atoms A and B in Figure 6) to the next Ni or Fe lattice site. The migration energy barriers calculated through this sequence were 1.2718 eV and 1.7382 eV for NiTi and FeTi respectively. These migration values are relatively large compared to typical vacancy migration energies in pure metals. This can be attributed to the intermediate configurations containing antisite defects and or vacant Ti site (Sabochick, 1990).



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Figure 6. Ni and Fe Vacancy Six-Jump Migration Sequence

The migration sequence is shown graphically in Figure 7 and the individual migration energies are given in Table 4. Both the Ni and Fe migration sequences are similar except the energy barrier occurs in the second and fifth steps of the sequence for the FeTi lattice verses the third and forth steps for the NiTi lattice (Figure 7). In the FeTi lattice the first migration sequence also results in an unstable (no metastable) configuration.



Figure 7. Fe and Ni Vacancy Six-Jump Migration Graphic Results

The direct migration of the vacancy to its second nearest neighbor location was also calculated for comparison to the nearest neighbor (indirect) migration. These direct migration values 1.6594 eV for NiTi and 2.3556 eV for FeTi were significantly higher than the ring sequence migration sequence for both compounds (Figure 7 and Table 4).

Table 4. Ni and Fe Vacancy Migration Energies					
Migration Path	X=Ni (eV)	X=Fe (eV)			
Ti to X Vacancy	0.366	1.206 (c)			
X to Ti Vacancy (a)	1.057	1.738			
Ti to X Vacancy (b)	1.272	1.339			
Direct Migration					
X to X Vacancy	1.659	2.356			
 (a) with 1 adjacent antisite defect. (b) with 2 adjacent antisite defects. (c) unstable configuration. 					

V. <u>Vacancy Defect Formation Energy</u>

Experiments with solids in which point defects were observed has given information about the energies of defect formation, volume changes, and binding energies of the defects. From this information the theory of atomistic models of defects has developed. The theory is used in atomistic simulation (1) to determine the configuration of atoms for certain (antisite, vacancy, or interstitial) defects in a given material and (2) to calculate the values of these quantities (Gruber, 1966, 21).

Experimental quenching techniques have been used in conjunction with direct observation by ion microscopy to determine the energy of vacancy defect formation. For example, in one such experiment (Newkirk and Wernick, 1962, 84) a platinum tip was quenched from a temperature (T) of 1800° K and five vacancies (N_{vac}) were found by ion microscopic inspection. The total number of atoms (N) of the inspected material was 8500 and the vacancy concentration ($C_v = N_{vac}/N$) is equal to 5.9E-4 by direct counting. Assuming this concentration was frozen in the platinum by the quenching and assuming the vacancies were in thermal equilibrium, the energy of formation is ($E_f = kT \ln(C_v)$) equal to 1.15 eV, where k = 8.61735E-5 (eV/K) is the Boltzmann constant. This technique works well with pure

metals but is complicated by the presence of other atom types, as in alloys. Calculational simulation techniques can however be used determine the formation energies in alloys.

In pure metals, the vacancy is of only one type of atom without the possibility of antisite defects. The formation energy is calculated from the potential energy of the perfect lattice and the energy of the lattice with the vacancy defect. In impure metals and alloys a more complex variety of point defects is present, these include antisite defects and vacancies of each type of atom in the alloy. The calculation of the formation energy is dependent on knowing the potential energies on each of these point defects. One method of determining the vacancy formation energy is to calculate the concentration of the defect (C_{u}) as a function of temperature (T) where the defect energy of formation (E_{d}) is given by

$$E_{f}(T) = -k \frac{d[\ln(C_{v})]}{d[T^{-1}]}$$
(4)

The concentration of the vacancy defect (C_v) as a function of temperature was calculated using the method by Foiles and Daw (Foiles and Daw, 1987, 12-13). In this mothod, the concentrations of the defects are assumed small such that the defects can be treated as noninteracting. For the NiTi alloy, there is one Ni lattice site for each Ti site. Each lattice site can be occupied by either an atom appropriate to the sublattice, by a vacancy, or by an atom of the opposite type (e.g. antisite defect). In such a system for the NiTi lattice the total potential internal energy U is

$$U = U_{o} + N_{s} (n_{v}^{Ni} E_{v}^{Ni} + n_{v}^{Ti} E_{v}^{Ti} + n_{a}^{Ni} E_{a}^{Ni} + n_{a}^{Ti} E_{a}^{Ti})$$
⁽⁵⁾

where U_0 is the energy of the perfect lattice with N_S lattice sites, n_v^{Ni} , n_v^{Ti} , n_a^{Ni} , and n_a^{Ti} are the defect concentrations of Ni vacancies, Ti vacancies, Ni antisite defects, and Ti antisite defects respectively. In this system the total concentration of the vacancies is equal to the sum of the individual vacancies of each type of atom $(C_v = n_v^{Ni} + n_v^{Ti})$. The corresponding energies E_v^{Ni} , E_v^{Ti} , E_a^{Ni} , and E_a^{Ti} are the differences in energy between the system containing a single defect and the perfect lattice (e.g. $E_v^{Ni} = U_v^{Ni} - U_o$). These energy values are presented for the antisite defects in Table 1 and for the vacancy defects in Table 3. The configuration entropy S of the system is

$$S = N_{s} \left\{ \frac{1}{2} [s(2n_{v}^{Ni}) + s(2n_{a}^{Ti})] + \frac{1}{2} [s(2n_{v}^{Ti}) + s(2n_{a}^{Ni})] \right\}$$
(6)

where s is the ideal entropy function.

$$s(x) = -[x\ln(x) + (1 - x)\ln(1 - x)]$$
(7)

The total number of Ni atoms (N^{N_i}) , is given by

$$N^{Ni} = N_{s} \left(\frac{1}{2} - n_{v}^{Ni} + n_{a}^{Ni} - n_{a}^{Ti} \right)$$
(8)

and similarly for the total number of Ti atoms (N^{Ti}) in the configuration with the Ni and Ti superscripts exchanged. The antisite concentration was shown by Foiles and Daw to equal

$$n_{a}^{Ni} = \frac{1}{2} \left\{ \frac{\exp[-(E_{a}^{Ni} + \mu^{Ti} - \mu^{Ni})/kT]}{1 + \exp[-(E_{a}^{Ni} + \mu^{Ti} - \mu^{Ni})/kT]} \right\}$$
⁽⁹⁾

and for the vacancy concentration to equal

$$n_{v}^{Ni} = \frac{1}{2} \left\{ \frac{\exp[-(E_{v}^{Ni} + \mu^{Ni})/kT]}{1 + \exp[-(E_{v}^{Ni} + \mu^{Ni})/kT]} \right\}$$
(10)

where μ^{Ni} and μ^{Ti} are the chemical potentials of the respective atom types. The equations for n_a^{Ti} and n_v^{Ti} are identical with Ni and Ti superscripts exchanged for the respective atoms. The chemical potentials can be eliminated by using their relationship to the Gibb's free energy
$$U - kTS = \mu^{Ni} N^{Ni} + \mu^{Ti} N^{Ti}$$
(11)

U and substituting the total potential energy U given in equation (5) and the configuration entropy S given in equation (6). Requiring the total number of atoms at a given temperature to equal the stoichiometry of the compound

$$\frac{1}{2} = \frac{N^{Ni}}{N^{Ni} + N^{Ti}}$$
(12)

allows the eight unknowns N^{Ni} , N^{Ti} , n_a^{Ni} , n_v^{Ni} , n_a^{Ti} , n_a^{Ti} , μ^{Ni} , and μ^{Ti} to be solved by the eight equations (8a,8b), (9a,9b), (10a,10b), (11), (12), and their complements. All of these equations are the same for the FeTi alloy with the Ni subscripts exchanged with Fe and the appropriate Ti energy values used for FeTi. These equations were implemented into the TK Solver Plus software program to facilitate graphing and tabulating the results (Appendix C). The only required input values to the program are the five total system energies for the global minimum configurations and the number of lattice sites for each type of atom in the compound. These energies include the perfect crystal U_a for each alloy, the antisite defect total

system energies for NiTi U_a^{Ni}, U_a^{Ti} or for FeTi U_a^{Fe}, U_a^{Ti} (Table 1), plus the vacancy defect total system energies for NiTi U_v^{Ni}, U_v^{Ti} or for FeTi U_v^{Fe}, U_v^{Ti} (Table 3).

The vacancy concentrations calculated with the above system of equations were used to calculate the vacancy formation energy of each compound. This was done by calculating the slope of the log of C_{ν} vs 1/T shown in the Figure 8 Arrhenius plot. The vacancy formation energy is a function of temperature, however at lower temperatures (below about 1200° K) its dependence is negligible. The curves in Figure 8 are essentially straight indicating that a single formation energy value can be used over the entire temperature range. The effective vacancy formation energies were calculated to be 1.48 eV for NiTi and 1.07 eV for FeTi. These values compare reasonably well with the values of CuTi (1.09 eV) and CuTi2 (0.90 cV), which were calculated with the same method from data given by Shoemaker's DYNAMO calculations (Shoemaker, et al., 1990, in press). No experimental values for the compounds were found, however these formation energies are generally lower then those of the individual pure metals. For example, the experimental formation energies of the metals Ni (1.7 +/-0.1 eV, Agullo-Lopez et al., 1988, 197) and Ti (1.55 +/-0.1 eV, Shoemaker, et al., 1990, in press) are larger than those of the NiTi compound (1.48 +/- eV).



Figure 8. Arhennius Plot of Vacancy Concentration vs. Temperature

VI. Interstitial Defect Configuration

The interstitial calculations had the same perfect lattice structure as the vacancy calculations. A single titanium (Ti) atom was added near the center of the lattice by placing it at the end of the restart file. This again allowed the atoms movement to be easily tracked by their atom numbers and avoided graphical viewing problems associated with the edge of the lattice. The resulting minimized lattice became the basis for all the other calculations.

The annealing simulation technique proved to be an ineffective method for finding the global minimum configuration. It appears the annealing technique did not allow the antisite defects to be formed easily. The Monte Carlo switching technique easily formed antisite defects and proved to be the most useful in determining the interstitial configuration. However, in all cases the final configuration was determined with a little added inductive reasoning based on the Monte Carlo calculation results. The Monte Carlo technique is dependent on finding a critical temperature to allow switching to occur in the lattice without overwhelming the process with to many antisite defect switches. For FeTi no switches occurred at 520 degrees K and too many occurred at 550 degrees K. Thus the

critical temperature used for FeTi was 530 K and for NiTi was 720 K. Once the critical temperature was established the calculation could be repeated with different random seed numbers, which should generate similar results. All of the interstitial configurations were generated in this same fashion.

Ti Interstitial Defect Properties

The final stable configuration consisted of a Ni-Ni or Fe-Fe dumbbell centered on a Ti site with 2 adjacent Ti antisite defects (Ti on Ni or Fe site) at opposite corners of the Ni or Fe cube (Figure 9 and 10). These configurations were the same for NiTi and FeTi except for the direction of the dumbbell. The Ni-Ni dumbbell (split-interstitial) was oriented in the <111> unit vector direction with relatively large displacements (off the lattice site) of the atoms along the <111> direction. Output plots of these configurations are given in Appendix D.



Figure 9. Ti Interstitial Stable Configuration for NiTi



Figure 10. Ti Interstitial Stable Configuration for FeTi

No quantitative measurements of the displacements were made and the subjective terms large, small, or slight are relative to each other. RUNDYN code output plots of all of the configurations are given in Appendix D for comparison of displacements. The Fe-Fe dumbbell was oriented in the <Oll> direction (Figure 10) with significant but smaller displacement of the neighboring atoms in the <Oll> direction. The values of the stable configuration energies and Frenkel pair formation energies are given in Table 4.

Table 5. Interstitial Defect Properties $(E_x^{\gamma} = U_x^{\gamma} - U_o)$					
		NiTi (y	/=Ni)	FeTi (y	/=Fe)
System	х у	<i>U</i> ^{<i>y</i>} (eV)	E ^y (eV)	$U_x^{\gamma}(eV)$	<i>E</i> ^y (eV)
Perfect Crystal	0	-5120.861	0.0	-5008.453	0.0
Ni/Fe Interstitial	i y	-5123.256	-2.395	-5010.011	-1.558
Ti Interstitial	i Ti	-5123.463	-2.602	-5010.398	-1.945
Ni/Fe Frenkel Pair	fy		3.961		4.341
Ti Frenkel Pair	f Ti		4.422		4.391

Ni and Fe Interstitial Defect Properties

The Ni and Fe interstitial configurations were calculated in the same manor as the Ti interstitial. The resulting global minimum energy configurations (Figure 11) for both NiTi and FeTi consisted of a Ni-Ni or Fe-Fe dumbbell oriented in the <111> unit vector direction with an adjacent single Ti antisite defect (Ti on Ni or Fe site). The stable defect configuration energies and calculated point defect energies are given in Table 4 for all the interstitial defects.



Figure 11. Ni or Fe Interstitial Configuration for NiTi and FeTi

VII. Discussion

The stable antisite defect configurations (Table 6) were calculated and used to determine the formation energies and binding energies (Table 7) for both NiTi and FeTi. The resulting NiTi antisite pair formation energy is over twice as that of the energy for either CuTi or CuTi₂. Sabochick and Lam explored the key factors for inducing the crystalline-to-amorphous (C-A) transition in NiTi. They concluded that point defects are necessary for amorphization to occur, but that chemical disorder was sufficient to store the energy necessary to make the transition (Sabochick and Lam, 1990, in press). The large energy necessary for NiTi antisite pair formation explains why chemical disordering can store large amounts of energy in NiTi. With the large FeTi antisite pair energy, one would expect similar amorphization behavior in FeTi as is found in the NiTi alloy.

The stable vacancy configurations of both NiTi and FeTi preferred Ni or Fe sites for vacancies in both the Ti vacancy and Ni/Fe vacancy calculations. The Ti vacancy was filled by a migrating Ni or Fe and resulted in a Ni or Fe antisite defect with a neighboring vacant Ni or Fe site.

This same site preference was noted to occur in both the CuTi and CuTi₂ compounds (Shoemaker, et al., 1990, in press).

Table 6. Stable Defect (Configuration E	nergies (E = U	- U _o)
System	Number of Atoms	NiTi (eV)	FeTi (eV)
Ti Antisite (a)	1024	0.253	0.235
Ni or Fe Antisite (b)	1024	0.762	0.491
Ti Vacancy	1023	7.024	6.336
Ni or Fe Vacancy	1023	6.356	5.900
Ti Interstitial (c & d)	1025	-2.602	-1.945
Ni or Fe Interstitial (e)	1025	-2.395	-1.558
 (a) Ti on Ni or Fe Lattice Site. (b) Ni or Fe on Ti Lattice Site. (c) NiTi <111> split-interstitial with two Ti antisite defects. (d) FeTi <011> split-interstitial with two Ti antisite defects. (e) Both <111> split-interstitials with one Ti antisite defect. 			

It is well accepted that vacancy migration is the dominant mechanism for self-diffusion in metals (Agullo-Lopez and others, 1988, 196). The vacancy migration energies (Table 7) for NiTi (1.27 eV) and FeTi (1.74 eV) are similar to those for CuTi (0.19/1.32 eV) and CuTi₂ (0.92). The higher FeTi energy indicates diffusion is more difficult in this alloy than either NiTi, CuTi, or CuTi₂. The higher vacancy migration energies may be explained by (1) the antisite defects formed in the migration sequence and by (2) the large antisite pair energies of NiTi and FeTi. The vacancy formation energy for FeTi (1.07 eV) is,

however, significantly lower than that of NiTi (1.48 eV). Thus, although vacancies are more easily formed in FeTi their migration is more difficult. Although no experimental vacancy formation energies were found for the alloys, these calculated values are lower than the experimental values for the pure metals of the compounds. For example for NiTi the pure metal values are 1.7 (+/- 0.1) eV for Ni (Agullo-Lopez and others, 1988, 197) and 1.55 eV for Ti (Shoemaker, et al., 1990, in press). This indicates the vacancy formation is easier in both alloys NiTi and FeTi than in their pure metals. The same results was noted by Shoemaker for CuTi and CuTi₂.

Table 7. Calculated Point Defect Energies (in eV)				
System	NiTi	FeTi	CuTi (a)	CuTi ₂ (a)
Antisite Pair Formation	1.015	0.726	0.385	0.460
Antisite Binding	0.094	0.055		
Vacancy Migration	1.272	1.738	0.19/1.32	0.92
Vacancy Formation	1.48	1.07	1.09	0.90
Frenkel Pair Ti Formation	4.422	4.391		
Frenkel Pair Ni, Fe, or Cu	3.961	4.341	2.769	2.776
Interstitial Formation (b)	2.5	3.3	1.7	1.9
 (a) Shoemaker, et al., 1990, in press. (b) Estimated by subtracting the Vacancy Formation from the Frenkel Pair Energy. 				

The interstitial configurations of both NiTi and FeTi were identical for Ni or Fe interstitials and almost identical for Ti interstitials. These configurations all involved split-interstitials with neighboring antisite defects. The antisite defects increase the migration energies of these alloys, because of the large number of atoms involved in the migration (the split-interstitials and the antisite defects). The associated antisite defects with the interstitial configurations also make migration using molecular statics difficult. The dumbbell arrangement as well as the associated antisite defects must be migrated together or in some reasonable order to achieve migration of the defect as a unit. Since the method of this migration was not obvious, migration of the interstitial defect was not done for either NiTi or FeTi.

An estimate of the interstitial formation energy can be made from the Frenkel pair formation energies and vacancy formation energies for each alloy. The Frenkel (a vacancy and interstitial defect combination) pair formation energies for FeTi are 4.34 eV for the Fe pair and 4.39 eV for the Ti pair (Table 7). The closeness of these values helps explain the similarity of the vacancy and interstitial configurations. Subtracting the vacancy formation energy from the Frenkel pair gives an estimate of the interstitial formation energy. The vacancy formation energy from Table 7 for FeTi is 1.07 eV and the interstitial formation energy is estimated to be between 3.2 eV and 3.3 eV. For NiTi

the Frenkel pair energies are 3.96 eV for the Ni pair and 4.42 eV for the Ti pair. The vacancy formation energy is 1.48 eV and the estimated interstitial formation energy is between 2.5 eV and 2.9 eV. This implies that interstitial defect formation in the FeTi lattice is more difficult (higher energy) than in the NiTi lattice with a difference in energy formation of 0.3 to 0.8 eV.

The interstitial configurations with a <111> oriented Ni-Ni or Fe-Fe dumbbell for NiTi and FeTi are similar to those found for CuTi and CuTi₂ (Shoemaker, et al., 1990, in press). Both the CuTi and CuTi₂ alloys have a Cu-Cu dumbbell (split-interstitials) centered on a Ti lattice site in the <111> unit vector direction with associated neighboring antisite defects. The exception to this configuration is the Fe interstitial configuration, because it is oriented in the <011> direction verses <111> for the other interstitials.

VIII. Conclusion

Atomistic simulation with a modified version of the DYNAMO code was used to calculate the point defect properties of the intermetallic compounds NiTi and FeTi. The calculated energies are believed to be experimentally accurate to within +/- 0.1 eV.

The antisite pair formation energies for both alloys were calculated to be

1.015 eV for NiTi and 0.726 eV for FeTi. These values are more than twice the calculated formation energies of CuTi (0.385 eV) and CuTi₂ (0.460 eV). The high formation energies in NiTi explains why large amounts of energy are required for chemical disordering in the compound. The antisite defect and vacant Ni or Fe lattice sites were found to be weakly bound with a binding energy of 0.094 eV for NiTi and 0.055 eV for FeTi.

The stable vacancy defect configurations in both NiTi and FeTi were identical. Vacant Ni or Fe lattice sites were stable and preferred in the alloys with energy values of 6.356 eV for NiTi and 5.899 eV for FeTi. Ni and Fe vacancy migrations follow a six-jump ring sequence with energy barrier values calculated as 1.272 eV for NiTi and 1.737 eV for FeTi. Vacant Ti sites resulted in neighboring

antisite defects from migrating Ni or Fe atoms with energy values of 7.024 eV for NiTi and 6.336 eV for FeTi. The migrating Ni or Fe atoms to the Ti vacancies had migration barriers values of 0.134 eV for NiTi and 0.341 eV for FeTi. The vacancy defect formation energies were calculated from vacancy concentration values to be 1.48 eV for NiTi and 1.07 eV for FeTi.

The most stable interstitial defect configurations consisted of Ni-Ni or Fe-Fe split-interstitial dumbbells centered on a Ti site with one or two adjacent Ti antisite defects. The only difference between the two alloys was in the direction of the Ti interstitial dumbbell, whose Fe-Fe orientation was in the <011> direction. Ti interstituals resulted in two adjacent Ti antisite defects with a <011> direction Fe-Fe dumbbell or a <111> direction Ni-Ni dumbbell. The Ti interstitial formation energies were -2.395 eV for NiTi and -1.558 eV for FeTi. Ni or Fe interstitials both resulted in a single adjacent Ti antisite defect with Ni-Ni or Fe-Fe dumbbells oriented in the <111> direction. The Ni or Fe interstitial energies were -2.602 eV for NiTi and -1.945 eV for FeTi. Frenkel pair energies for NiTi were 3.961 and 4.422 eV for the Ni and Ti pair respectively. For FeTi the Frenkel pair formation energies were 4.341 and 4.391 eV for Fe and Ti pairs respectively.

APPENDIX A: <u>Code</u> <u>Description</u> and <u>Sample</u> <u>Files</u>

The following is a sample copy of an input file used in a molecular statics minimization calculation. A description of the RUNDYN code is also included for understanding of the code's basics (Sabochick, 1990, unpublished). Since the code is constantly being added to, this information is only a description of the RUNDYN version used for this work at this time.

THE CODE

The source file contains a computer code for doing molecular dynamics and molecular statics calculations using embedded-atom type potentials. The code is a modified version of the DYNAMO code, distributed by the Sandia group, with the following modifications:

 The potential files (containing tables of the values for the components of the EAM potentials) have a different format.

2) The potentials are fitted within the code with cubic splines instead of Lagrange polynomials.

3) The code can do molecular statics calculations using a modified Fletcher-Powell minimization technique, which is faster than conjugate-gradients on large problems.

4) The code can now calculate migration energy barriers in a straight-forward manor.

5) Other special options have been added such as; atom switching, Frenkel pair generation, and Monte Carlo antisite defect switching.

MIGRATION ENERGY TECHNIQUE

Migration energies are calculated by minimizing the system energy using the Fletcher-Powell method with a jumping atom constrained a various points along the migration path (Shoemaker, et al., 1990, in press). A reaction coordinate η is defined so that the points $r(\eta)$ along the migration path from r_1 to r_2 are specified by

$$r(\eta) = r_{1} + \eta [r_{2} - r_{1}]$$
⁽¹³⁾

The lattice system is then minimized with the jumping atom confined to a plane perpendicular to $r_2 - r_1$ at the point $r(\eta)$. The migration barrier energy $E(\eta)$ is then determined by repeating the calculation for various values of η , and the migration energy E_m is the maximum of $E(\eta)$ or η in the range -1 to 1 (i.e. -1.0, -0.75, -0.5, -0.25, 0.0, 0.25, 0.5, 0.75, and 1.0).

RUNNING THE CODE

The source code needs to be compiled and linked to a input file (in this case named feti.inp). A softlink was made to the RUNDYN source code in M. J. Sabochick's file directory. The input files could then be run by typing RUNDYN and the filename of the input file (i.e. feti.inp). The output file name (which is specified in the input file) is feti.prn for this example.

INPUT FILE DESCRIPTION

The following is a breakdown of the input file "feti.inp". The lines starting with ***** and in bold print are the input file lines and the others are comments. At the beginning of each section is a header card of the form \$xyz which helps the user delimit the sections. In the newest code version "RUNDYN2" the header cards and their contents can be omitted when the section is not used. In the previous version these header cards were checked by the code (routine chkname()) to make sure it was in the right place. Many of the data values in the input file are followed by a description (usually the name of the variable, which is set). These names are there just to help the user and are optional. All input lines start in column 1.

PRINT CONTROL OPTION: ***** \$prntcard Obsure options (not used in the calculations) **** Ω ipinter ***** 0 ipatoms ***** -1 ipitera Name of output file. ***** feti.prn Name of restart save file (can be used to start another run). **** feti.res Name of the trajectory save file. ***** none Name of the energy information save file. ***** none HEADER INFORMATION: ***** Sheadcard Specific Run name for this calculation. ***** Setup of FeTi lattice ENERGY POTENTIAL FOR RUN: The potentials are referred to by numbers within the code; these numbers correspond to atom types. (i.e. 1 for Ni or Fe and 2 for Ti) ***** \$funccard Number of different pot intials to be read in. ***** 2 ntypes File Location &/Name(s) of the potential files (one on each line.) **** /enfacl/enp/msabochi/Johnson/Pot/fe03.pot **** /enfacl/enp/msabochi/Johnson/Pot/ti20.pot **** /enfacl/enp/msabochi/Johnson/Pot/feti 05.pot INITIALIZATION INFORMATION: The code can start from a restart file written by a previous run, or generate a new lattice. ***** \$initcard Logical---whether or not to generate a lattice. ***** .true. genlat Logical --- whether or not to initialize velocities. **** .true. genvel Logical --- whether or not to sort atoms according to distance from the origin. ***** .false. sort Logical --- name of start file where atom positions/velocities are. ***** none

LATTICE GENERATION INFORMATION: (only used if genlat equals true). **** \$latcard Size of system in unit cells (x,y,z). ***** 888 ncells Unit cell dimensions. Diagonal matrix is a cubic or rectangular cell. 2.976 0.000 0.000 ***** basis (fitted a, a=2.976) **** 0.000 2.976 0.000 ***** 0.000 0.000 2.976 Lower system boundaries. (x, y, z). ***** 0.0 0.0 0.0 perlb Upper system boundaries. If values are < 0, they are automatically calculated from the information above. **** -1.0 -1.0 -1.0 perub Number of atoms in a unit cell. **** 2 nc Type & positions of atoms in unit cell, in unit cell coordinates(x, y, z). 1 0.0 0.0 0.0 **** Ni or Fe type 1 **** 2 0.5 0.5 0.5 Ti type 2 VELOCITY INITIALIZATION INFORMATION: (only used if genvel equal true). **** Svelcard Temperature (Kelvin) to which the velocities are initialized. **** 160. temp SYSTEM BOUNDARY INFORMATION: **** \$bndcard Boundary type. (1=fixed boundaries, 2=flexible, rectangular boundaries). **** ibdtype The following six variables are only used when ibdtype equal 2: External applied pressure (in bars). **** 0.0 dpress The following five variables are only used in dynamic calculations (\$intcard): Boundary mass. **** bndmas 1. 1. 1. Drag (Automatically set if negative). **** -1. -1. -1. bnddrq Temperature setting option. **** ifxtmp 1 Desired Temperature (Kelvin). **** 160. destmp Relaxation Time. **** 0.100 tmptim

Option to use Images. whether or not to use ***** .false. images **NEIGHBOR OPTIONS:** ***** \$neicard Neighbor list used? (1=no, 2=yes). **** 2 nmeth Cutoff radius (Angstroms) for neighbor list (only used if nmeth equal=2). **** 1.0 dradn DEFECT INFORMATION: (move atoms, create interstitials/vacancies). ***** \$defcard (-1=vacancy, 0=end of list, 1=interstitial, Defect type. 99=not used). ***** 99 ktype Atom position (x, y, z). ***** 0.0 0.0 0.0 pos Atom velocity (x, y, z). 0.0 0.0 0.0 **** vel Atom number to delete for vacancy. **** 0 num TRAJECTORY INFORMATION: **** \$trajsave ***** save interval -1 Option to save position or position & velocity. ***** what is saved (1=pos 1 only, 2=pos and vel) FORCED "STATIC MIGRATION" MODIFICATIONS: (added to determine migration energy). ***** **\$modcard** Option (0=Off, 1=On) **** O Number of Neighboring Gate atoms. ***** 6 First # is Migration atom the remaining are a list of gate atom numbers. ***** 440 568 456 442 457 569 583 Percent Distance $\eta(+or-)$ from Midpoint $r(\eta)$ (0.0), Distan $ce(A^{O})$, Constant. ***** -0.25 1.2582 1.0e+4 Unit Vector Direction (x'-x,y'-y,z'-z) from Position (x,y,z) to (x',y',z'). 1.0 1.0 1.0 ****

MONTE CARLO ATOM SWITCHING INFORMATION: (used in dynamic calculations) * *** \$swicard kbswit ***** -1 **** -2 Antisite Defect Energy (eV) Fe Atom on Ti Site. ***** 0.4910 Antisite Defect Energy (eV) Ti Atom on Fe Site. ***** 0.2352 FRENKEL PAIR CREATION INFORMATION: **** \$frencard Option (-1=Off) ***** -1 **** 0.7 **** 1 **** 1 **** 5.0 ***** 10.0 <u>SPECIFICATION OF RUN TYPE:</u> (dynamic, static) **** \$intcard Type of Integrator/Minimizer. (1 = Dynamic Gear predictor-corrector) (-1 = Static Conjugate-gradients Minimizer) (-2 = Static Fletcher-Powell Minimizer) (1000 = Dynamic Monte Carlo Switching & Pred/Corr) **** inte -2 Equilibrium Steps (Dynamic runs). **** equilibrium steps 300 (Dynamic runs). Property Steps **** property steps 5000 Output Interval (Dynamic runs). ***** print interval 10 (Dynamic runs). Accuracy Option **** iaccur 0 Time Step (Dynamic runs). **** 0.001 dt Convergence tolerance (Static Minimization runs). **** 1.e-13 tol Maximum number of force evaluations. **** 100000 nfmax

APPENDIX B: <u>Calculation</u> <u>Spreadsheet</u> <u>Results</u>

The following tables are a copy of a Lotus 123 spreadsheet file (MIGRATE.WK1) used to record and manipulate the calculated lattice configuration energies. This data was used to create the various graphs and tables given in the text. The system energies are given untruncated for completeness and although seven digits are given, only 3 are considered significant (Sabochick, 1990, personal conversion). In repeat calculations seven significant digits could be duplicated, if the tolerance was input as 1.0E-13 (see Appendix A). Table 8. FeTi "Ti" Vacancy MIGRATION (Min = -5002.1175360)

%Distance	Energy (eV)	Difference
0.0000000	-5001.0895532	1.0279828
0.1250000	-5000.9724442	1.1450918
0.2500000	-5000.7488333	1.3687027
0.3750000	-5000.7767544	1.3407816
0.5000000	-5001.0400101	1.0775259
0.6250000	-5001.2847346	0.8328014
0.7500000	-5001.6757710	0.4417650
0.8750000	-5002.0145435	0.1029925
1.0000000	-5002.1175360	0.000000

Table 9. NiTi "Ti" Vacancy MIGRATION (Min = -5113.8368959)

<pre>%Distance</pre>	Energy (eV)	Difference
0.000000	-5113.6320329	0.2048630
0.1250000	-5113.6103820	0.2265139
0.2500000	-5113.5446613	0.2922346
0.3750000	-5113.5031555	0.3337404
0.5000000	-5113.4976717	0.3392242
0.6250000	-5113.5384801	0.2984158
0.7500000	-5113.6303356	0.2065603
0.8750000	-5113.7620650	0.0748309
1.0000000	-5113.8368959	0.0000000

Table 10.	FeTi "Fe"	Vacancy	MIGRATI	ON(Min=	-5002.5	5538190)
	Direct			InDire	ct	
%Distance	Datal (eV) Diffe	erencel	Data2	(eV) Di	ifference2
0.000000	-5002.5538	190 0.00	000000 -	5002.55	38190	0.000000
0.1250000			-	5002.42	49982	0.1288208
0.2500000			-	5002.09	35009	0.4603181
0.3750000			-	5001.78	10563	0.7727627
0.5000000			-	5001.56	60417	0.9877773
0.6250000			-	5001.40	77289	1.1460901
0.7500000	-5001.9887	234 0.56	550956 -	5001.34	82255	1.2055935
0.8750000			-	5001.33	37781	1.2200409
1.0000000			-	5001.18	62555	1.3675635
1.1250000			-	5001.19	88910	1.3549280
1.2500000				5000.90	26331	1.6511859
1.3750000			-	5000.81	56227	1.7381963
1.5000000	-5001.2153	024 1.33	385166 -	5000.98	99902	1.5638288
1.6250000			-	5001.19	42688	1.3595502
1.7500000			-	5001.55	66586	0.9971604
1.8750000			-	5001.86	30311	0.6907879
2.0000000			-	5001.96	57553	0.5880637
2.1250000			-	5001.82	29103	0.7309087
2.2500000	-5000.4420	590 2.13	L17600 -	5001.53	85912	1.0152278
2.3750000			-	5001.31	29838	1.2408352
2.5000000			-	5001.21	75267	1.3362923
2.6250000			-	5001.21	52742	1.3385448
2.7500000			-	.5001.32	65066	1.2273124
2.8750000	5000 1001		-	5001.45	52516	1.0985674
3.0000000	-5000.1981	781 2.3	- 56409	.5001.46	37261	1.0900929
3.1250000			-	5001.42	71793	1.1266397
3.2500000			-	.5001.29	48665	1.2589525
3.3750000			-	.5001.21	02149	1.3436041
3.5000000			-	5001.21	76036	1.3362154
3.6250000	5000 4070	0.05 0.1		5001.29	94210	1.2543980
3.7500000	-5000.4379	935 2.1.	128222 -	-5001.48	12023	1.0/2255/
3.8750000			-	5001.74	13968	0.8124222
4.0000000			-	-2001.96	5/352	0.5880638
4.1250000			-	5001.85	55345	0.6982845
4.2500000			-	5001.54	0/042	1.0050548
4.3/50000	- 5001 0004		-	5001.19	TT028	T.302/092
4.5000000	-5001.2084	283 I.34	103607 -	5000.98	999/9	1.5638211
1.6250000			-	5000.81	66164	1.73/2026
4.7500000			-	5000.89	83959	1.6554231

4.8750000			-5001.1894443	1.3643747
5.0000000			-5001.1864245	1.3673945
5.1250000			-5001.3338043	1.2200147
5.2500000	-5001.9840768	0.5697422	-5001.3482314	1.2055876
5.3750000			-5001.4077468	1.1460722
5.5000000			-5001.5660416	0.9877774
5.6250000			-5001.7810170	0.7728020
5.7500000			-5002.0931025	0.4607165
5.8750000			-5002.4248725	0.1289465
6.0000000	-5002.5538138	0.000052	-5002.5538185	0.000005

Table 11.	NITI "NI" V	acancy MIGRA	rion(Min=-5114.	5049341)
	Direct		InDirect	
%Distance	Datal (eV)	Difference1	Data2 (eV) D	ifference2
0.000000	-5114.504934	1 0.000000	-5114.5049341	0.000000
0.1250000			-5114.3898139	0.1151202
0.2500000			-5114.1423992	0.3625349
0.3750000			-5113.9338859	0.5710482
0.5000000			-5113.8152596	0.6896745
0.6250000			-5113.7637272	0.7412069
0.7500000	-5114.138916	5 0.3660176	-5113.7643917	0.7405424
0.8750000			-5113.7823340	0.7226001
1.0000000			-5113.7839404	0.7209937
1.1250000			-5113.7337982	0.7711359
1.2500000			-5113.6136335	0.8913006
1.3750000			-5113.5094827	0.9954514
1.5000000	-5113.533884	4 0.9710497	-5113.4481337	1.0568004
1.6250000			-5113.4476692	1.0572649
1.7500000			-5113.5085847	0.9963494
1.8750000			-5113.6265550	0.8783791
2.0000000			-5113.7095138	0.7954203
2.1250000			-5113.5930761	0.9118580
2.2500000	-5113.046798	4 1.4581357	-5113.3886236	1.1163105
2.3750000			-5113.2578421	1.2470920
0.0000000			-5113.2331188	1.2718153
2.6250000			-5113.3017706	1.2031635
2.7500000			-5113.4426337	1.0623004
2.8750000			-5113.5775667	0.9273674
3.000000	-5112.845569	3 1.6593648	-5113.5894853	0.9154488
3.1250000			-5113.5508028	0.9541313
3.2500000			-5113.4123014	1.0926327
3.3750000			-5113.2914537	1.2134804
3.5000000			-5113.2331181	1.2718160
3.6250000			-5113.2515763	1.2533578
3.7500000	-5113.046788	4 1.4581457	-5113.3547116	1.1502225
3.8750000			-5113.5363502	0.9685839
4.000000			-5113.7095137	0.7954204
4.1250000			-5113.6438446	0.8610895
4.2500000			-5113.5184936	0.9864405
4.3750000			-5113.4489913	1.0559428
4.5000000	-5113.533871	9 0.9710622	-5113.4481258	1.0568083
4.6250000			-5113.5134446	0.9914895
4.7500000			-5113.6249491	0.8799850
		53		

4.8750000			-5113.7477682	0.7571659
5.0000000			-5113.7839404	0.7209937
5.1250000			-5113.7823341	0.7226000
5.2500000	-5114.1389188	0.3660153	-5113.7643917	0.7405424
5.3750000			-5113.7637272	0.7412069
5.5000000			-5113.8152596	0.6896745
5.6250000			-5113.9388490	0.5660851
5.7500000			-5114.1423971	0.3625370
5.8750000			-5114.3898138	0.1151203
6.000000	-5114.5049341	0.000000	-5114.5049341	0.000000

Table 12. Stable Defect Configuration Energies Results (E = U - Uo)

Nickel Titanium (NiTi)

System	Energy(eV)	Difference(eV)
	(U)	(E)
Perfect Crystal (Uo)	-5120.8607297	0.000000
Ni Antisite Defect	-5120.0983898	0.7623399
Ti Antisite Defect	-5120.6076298	0.2530999
NiTi Antisite Formation		0.5092400
NiTi Antisite Pair		1.0154398
Ni Vacancy Defect	-5114.5049341	6.3557956
Ti Vacancy Defect	-5113.8368959	7.0238338
NiTi Vacancy Formation		1.4800000
Ni Interstitial Defect	-5123.2559795	-2.3952498
Ti Interstitial Defect	-5123.4626653	-2.6019356
Ni Frenkel Pair in NiTi		3.9605458
Ti Frenkel Pair in NiTi		4.4218982

Iron Titanium (FeTi)

System	Eneryy(eV)	Difference(eV)
	(U)	(E)
Perfect Crystal (Uo)	-5008.4530840	0.000000
Fe Antisite Defect	-5007.9620535	0.4910305
Ti Antisite Defect	-5008.2178813	0.2352027
FeTi Antisite Formation		0.2558278
FeTi Antisite Pair		0.7262332
Fe Vacancy Defect	-5002.5538190	5.8992650
Ti Vacancy Defect	-5002.1175360	6.3355480
FeTi Vacancy Formation		1.0700000
Fe Interstitial Defect	-5010.0113699	-1.5582859
Ti Interstitial Defect	-5010.3980165	-1.9449325
Fe Frenkel Pair in FeTi		4.3409791
Ti Frenkel Pair in FeTi		4.3906155

APPENDIX C: Vacancy Formation Energy Code

The following program was written using TK Solver Plus software version 1.1. Eight unknowns N^{Ni} , N^{Ti} , n_a^{Ni} , n_v^{Ni} , n_a^{Ti} , n_a^{Ti} , μ^{Ni} , and μ^{Ti} are solved by the eight equations (8a,8b), (9a,9b), (10a,10b), (11), (12), and their complements as presented in section V. A list of guessed values are input into the program for each of the unknowns for each temperature of interest. The only required input values to the program are the five total system energies for the global minimum configurations and the number of lattice sites for each type of atom in the compound. These energies include the perfect crystal U_o for each alloy, the antisite defect total system energies for NiTi U_a^{Ni}, U_a^{Ti} or for FeTi U_a^{Fe}, U_a^{Ti} (Table 1), plus the vacancy defect total system energies for NiTi U_v^{Ni}, U_v^{Ti} or for FeTi U_v^{Fe}, U_u^{Ti} (Table 3).

The program is written using nomenclature of the NiTi lattice. This same approach was used in the presentation of the equations in section V. The program is however generic and can be used for any type of compound.

===	=== VAF	RIABLE S	SHEET ==	====	======================================
st	Input	Name C	Jutput (Unit	: Comment===================================

					TK Solver Program Ver5.4 12Dec90

					Calculates the effective vacancy
					formation energy, using Foiles
					& Daw method(J.Mat.Res.2,3,1987)
					File: ENERGY.TK by Tom Lutton

					INPUT TEMPERATURE:
L		T	1200 3	ĸ	Temperature (Kelvin)
L	.83333	TInv		1/K	Temperature Inverse = 1000/T
т		C17	3 08-7		Concentration of Vacancy Defect
Г		CV	2.0E-1		GUESS INPUT VALUES:
LG	2.9E-7	n_Ni_v			Atom fraction Ni Vacancy Defect
LG	5.5E-9	n_Ti_v			Atom fraction Ti Vacancy Defect
LG	.00366	n_Ni_a			Atom fraction Ni Antisite Defect
LG	.00366	n_Ti_a			Atom fraction Ti Antisite Defect
LG	-4.874	muNi	(eV	Chemical Potential ofNi
LG	-5.128	muTi	(eV	Chemical Potential ofTi
LG	511.99	N_Ni			<pre># Lattice Sites(@given T)Ni</pre>
LG	511.99	N_Ti			<pre># Lattice Sites(@given T)Ti</pre>
					Theor.
	8 6F-5	r	_	V/K	Boltzmann Constant (eV/Kelvin)
	1024	Ne	C	V/IC	Total # Lattice Sites ($\alpha \pi = 0$)
	512	nsNi			# Lattice Sites(@ T=0) of Ni
	512	nemi			# Lattice Sites(@ T=0) of Ti
	512	fsNi	. 5		Fraction of Ni Lattice Sites
		feri	••		Fraction of Ti Lattice Sites
		i	2		Inverse Fraction = $1/f_{sNi}$
		- -	2		Inverse Fraction = $1/15$ Mi
		J	2		INVELSE ITACCION = 1/1511 INDUT ENERGIES.
-	-5120 9	Uo		οV	NiTi System Energy Perfect Cryst
-	-5120.1	UNia		eV	NiTi System Energy Ni Antisite
-	-5120.6	U Ti a		eV	NiTi System Energy Ti Antisite
-	-5114.5	U Ni V		eV	NiTi System Energy Ni Vacancy
-	-5113.8	U Ti v		eV	NiTi System Energy Ti Vacancy
		~_ ~~ _ '	·		OUTPUTS:
		E_Ni_a	.7623	eV	NiTiEnergy of Ni Antisite Def

E Ti a .2530 eV NiTi... Energy of Ti Antisite Def NiTi...Energy of Ni Vacancy E Ni v 5.355 eV Def E Ti v 7.023 eV NiTi...Energy of Ti Vacancy Def U -5117. eV NiTi Total System Energy Ns Site S 44.32 eV/K Configuration Entropy NiTi Syste Ideal entropy Function .0432 eV/K Term #1 s1 9.1E-6 eV/K Term #2 s2 .0433 eV/K Term #3 s3 2.1E-7 eV/K Term #4 s4 OUTPUT: Vacancy Efxslop Energy Formation=-k*ln(Cv)/T^-1 NOTE: The following values are output initially&later used as inputs (see Rules). TInvl .8333 Dummy List Offset TInv by 1 Cv1 3.0E-7 Dummy List Offset Cv by 1

L

 \mathbf{L}

 \mathbf{L}

	INPUT VALUES:

-5120.8607	NiTi System EnergyPerfect Crystal
-5120.0984	NiTi System EnergyNi Antisite Defect
-5120.6076	NiTi System EnergyTi Antisite Defect
-5114.5045	NiTi System EnergyNi Vacancy Defect
-5113.8369	NiTi System EnergyTi Vacancy Defect
	Note: Ns=1024 nsNi=512 nsTi=512
-5008.4531	FeTi System EnergyPerfect Crystal
-5007.9621	FeTi System EnergyNi Antisite Defect
-5008.2179	FeTi System Energy Ti Antisite Defect
-5002.5538	FeTi System EnergyNi Vacancy Defect
-5002.1175	FeTi System EnergyTi Vacancy Defect
	Note: Ns=1024 nsFe=512 nsTi=512
-4404.4207	CuTi System EnergyPerfect Crystal
-4403.0266	CuTi System EnergyNi Antisite Defect
-4405.4299	CuTi System EnergyTi Antisite Defect
-4399.6440	CuTi System EnergyNi Vacancy Defact
-4398.4100	CuTi System EnergyTi Vacancy Defect
	Note: Ref Case used k=8.615E-5
	Note: Ns=1024 nsCu=512 nsTi=512
-3965.5986	CuTi2 System Energy Perfect Crystal
-3964.3864	CuTi2 System Energy Ni Antisite Defect
-3966.3511	CuTi2 System Energy Ti Antisite Defect
-3960.8716	CuTi2 System Energy Ni Vacancy Defect
-3959.7368	CuTi2 System Energy Ti Vacancy Defect
	Note: Ref Case used k=8.615E-5
	Note: Ns=882 nsCu=294 nsTi=588

"Equations for NiTi Effective Vacancy Formation Energy Cv = n Ni v + n Ti vTInv = 1000 / T"*****INPUT (Total System Energy)-(Perfect Crystal Energy) E Ti a = U Ti a - Uo E Ni a = U Ni a - UoE Ti v = U Ti v - UoE Ni v = U Ni v - Uo"*****INPUT of Number of Lattice Sites of Each Element:**** fsNi = nsNi / (nsNi + nsTi) fsTi = nsTi / (nsNi + nsTi) n Ni v= fsNi*(exp(-(E Ni v+muNi)/(k*T))) /(1+exp(-(E Ni v+muNi)/(k*T)))n Ti v= fsTi*(exp(-(E Ti v+muTi)/(k*T))) / $(1+\exp(-(E_Ti_v+muTi)/(k*T)))$ n Ni a=fsTi*(exp(-(E Ni a+muTi-muNi)/(k*T))) / (1+exp(-(E Ni a+muTi-muNi) / (k*T))) n Ti a=fsNi*(exp(-(E Ti a+muNi-muTi)/(k*T))) / (1+exp(-(E Ti a+muNi-muTi) / (k*T))) $N Ni = Ns * (fsNi - n Ni v + n Ni a - n Ti_a)$

```
N_{Ti} = Ns * (fsTi - n_{Ti}v + n_{Ti}a - n_{Ni}a)
fsNi = N_Ni / (N_Ni + N_Ti)
U - (k*T*S) = (muNi*N Ni) + (muTi*N Ti)
"WHERE:
U =Uo+Ns*(n Ni v*E Ni v + n Ti v*E Ti v + n Ni a*E Ni a +
                                       n Ti a*E Ti a)
S = Ns * ((fsNi)*(s1+s2) + (fsTi)*(s3+s4))
                              i=1/fsNi "Temporary Term
                             j=1/fsTi "Temporary Term
 If n Ti a>0 then s1 = -((i*n Ti a)*ln(i*n Ti a)+
                         (1-i*n Ti a) * ln(1-i*n Ti a))
*If n Ti a<=0 then s1= 0
 If n Ni v>0 then s_2 = -((i*n Ni v)*ln(i*n Ni v)+
                         (1-i*n Ni v) * ln(1-i*n Ni v))
*If n Ni v<=0 then s2= 0
 If n Ni a>0 then s_3 = -((j*n Ni a)*ln(j*n Ni a)+
                         (1-j*n Ni a) * ln(1-j*n Ni a))
*If n Ni a<=0 then s3= 0
 If n Ti v>0 then s4 = -((j*n Ti v)*ln(j*n Ti v)+
                           (1-j*n Ti v)*ln(1-j*n Ti v))
*If n Ti v<=0 then s4= 0
```

"Note: The If statements were added to help cure natural log errors, which occur when the atom fraction values go negative during iteration. The negative values occur when errors become larger than the tolerances of the iterations. Final values must be positive. This is not a solution to the problem of log errors, but a symptom of low tolerances. Solutions include changing the input guess values, changing the tolerance (default=1E-6), and changing the form of the equations. In general, changing the equation doesn't effect results. The first solution is not always correct; change tolerances and test the solution. Problems with "too many guesses", negative atom fraction values, inconsistency, and more than one solution are all symptoms of low tolerances compared to errors. For understanding the problems read the TK Solver help sections on the iterative solver.

The problems are mostly with the antisite defect atom fractions and they become more pronounced with lower temperatures. Thus, to solve these equations it is best to start with a higher temperature input (1200 Kelvin) and find a solution. Use the guess values given in the variable sheet to start with. Then use the results as input to the next lower temperature of interest. This ensures reasonable input values. As solution errors appear lower the tolerance. At some point the errors are so small compared to the tolerances, that no solution is possible with TK. At these low temperatures, the values are generally not realistic and the process can be stopped.

C TInv = TInv1Cv = Cv1C Efxslope= -k * (ln(Cv1)-ln(Cv)) / ((TInv1-TInv)/1000)From===== To====== Multiply By===Add Offset===Comment===== Κ С -273.15С F 1.8 32 -459.67 Κ F 1.8 eV/K eV*K^-1 eV/K*K еV Display Intermediate Values: Yes Stop on List Error: No Use Automatic Iteration: Yes Comparison Tolerance: 1E - 10Typical Value: 1 Maximum Iteration Count: 25

T(K)	K^1	L n_Ni_v	n_Ti_v	n_Ni_a	n_Ti_a	muNi	muTi	NNi
10000	.1	.12827163	.09360703	.18717	.16984	-5.43	-5.75	398
5000	.2	.02036074	.00826969	.12072	.11468	-4.99	-5.26	497
3333	.3	.00333461	.00080280	.07356	.07229	-4.91	-5.17	510
2500	• 4	.00056144	8.2722E-5	.04339	.04315	-4.89	-5.14	512
2000	• 5	9.6792E-5	8.8014E-6	.02499	.02494	-4.88	-5.13	512
1667	.6	1.6952E-5	9.5332E-7	.01417	.01416	-4.87	-5.13	512
1429	.7	2.9973E-6	1.0430E-7	.00796	.00796	-4.87	-5.13	512
1250	.8	5.3287E-7	1.1477E-8	.00445	.00445	-4.87	-5.12	512
1200	.83	2.9987E-7	5.5038E-9	.00366	.00366	-4.87	-5.12	512
1111	.9	9.5034E-8	1.2668E-9	.00248	.00248	-4.87	- 5.12	512
1000	1	1.6978E-8	1.401E-10	.00138	.00138	-4.87	-5.12	512
909.1	1.1	3.0362E-9	1.550E-11	.00076	.00076	-4.87	-5.12	512
833.3	1.2	5.433E-10	1.717E-12	.00042	.00042	-4.87	-5.12	512
750	1.3	5.480E-11	9.136E-14	.00019	.00019	-4.87	-5.12	512
714.3	1.4	1.741E-11	2.107E-14	.00013	.00013	-4.87	-5.12	512
666.7	1.5	3.116E-12	2.335E-15	7.3E-5	7.3E-5	-4.87	-5.12	512
571.4	1.7	4.227E-14	9.546E-18	1.7E-5	1.7E-5	-4.87	-5.12	512
545.5	1.8	1.008E-14	1.526E-18	.00001	.00001	-4.87	-5.12	512
500	2	5.734E-16	4.033E-20	3.8E-6	3.8E-6	-4.87	-5.12	512
444.4	2.2	7.765E-18	1.078E-20	8.7E-7	8.7E-7	-4.87	-5.12	512
428.6	2.3	1.751E-18	2.981E-20	5.4E-7	5.4E-7	-4.87	-5.12	512
400	2.5	6.838E-20	3.542E-20	2.0E-7	2.0E-7	-4.87	-5.12	512
363.6	2.7	1.166E-21	2.838E-22	4.6E-8	4.6E-8	-4.87	-5.12	512
352.9	2.8	2.612E-21	-2.27E-21	2.8E-8	2.8E-8	-4.87	-5.12	512
333.3	3	-1.81E-19	2.205E-20	1.1E-8	1.1E-8	-4.87	-5.12	512
307.7	3.2	-3.55E-17	-2.95E-19	2.4E-9	2.4E-9	-4.87	-5.12	512
300	3.3	-6.21E-17	-2.18E-20	1.5E-9	1.5E-9	-4.87	-5.12	512
285.7	3.5	3.371E-16	7.154E-19	6E-10	6E-10	-4.87	- 5.12	512

Table 13. Vacancy Concentration of NiTi

Key:

T = Temperature in Kelvin K^-1 = Inverse Temperature * 1000 in 1/Kelvin CvNiTi = Total Vacancy Concentration n_Ni_v or n_Ti_v = Ni or Ti Vacancy Concentration Fraction n_Ni_a or n_Ti_a = Ni or Ti Antisite Defect Concentration Fraction muNi or muTi = Ni or Ti Chemical Potential NNi = Ni or Ti Number of lattice sites at a given Temperature (T)

Table 14. Vacancy Concentration of FeTi

======					-========		-======	====
T(K)	K^-1	v	n_Ti_v	n_Fe_a	n_Ti_a	muFe	muTi	NFe ====
10000	.1	.21754061	.18324654	.20673	.18958	-5.67	-5.86	307
5000	.2	.05608482	.03077715	.15688	.14423	-5.00	-5.16	468
3333	.3	.01498607	.00537199	.11255	.10775	-4.90	-5.03	502
2500	.4	.00405130	.00098554	.07895	.07742	-4.86	-4.99	509
2000	.5	.00111089	.00018685	.05445	.05398	-4.84	-4.97	511
1667	.6	.00030883	.00003617	.03702	.03688	-4.83	-4.96	512
1429	.7	8.6802E-5	7.0972E-6	.02490	.02486	-4.83	-4.96	512
1250	.8	2.4594E-5	1.4051E-6	.01661	.01660	-4.83	-4.95	512
1200	.83	1.6175E-5	8.2012E-7	.01450	.01449	-4.83	-4.95	512
1111	.9	7.0072E-6	2.7985E-7	.01102	.01102	-4.82	-4.95	512
1000	1	2.0041E-6	5.5955E-8	.00729	.00729	-4.82	-4.95	512
909.1	1.1	5.7465E-7	1.1218E-8	.00481	.00481	-4.82	-4.95	512
833.3	1.2	1.6506E-7	2.2527E-9	.00316	.00316	-4.82	-4.95	512
750	1.3	3.1333E-8	2.654E-10	.00181	.00181	-4.82	-4.95	512
714.3	1.4	1.3658E-8	9.112E-11	.00137	.00137	-4.82	-4.95	512
666.7	1.5	3.9322E-9	1.834E-11	.00090	.00090	-4.82	-4.95	512
571.4	1.7	1.751E-10	3.339E-13	.00031	.00031	-4.82	-4.95	512
545.5	1.8	6.207E-11	8.784E-14	.00022	.00022	-4.82	-4.95	512
500	2	7.803E-12	6.082E-15	.00011	.00011	-4.82	-4.95	512
444.4	2.2	3.478E-13	1.108E-16	3.8E-5	3.8E-5	-4.82	-4.95	512
428.6	2.3	1.233E-13	2.916E-17	2.7E-5	2.7E-5	-4.82	-4.95	512
400	2.5	1.550E-14	2.019E-18	1.3E-5	1.3E-5	-4.82	-4.95	512
363.6	2.7	6.912E-16	3.681E-20	4.6E-6	4.6E-6	-4.82	-4.95	512
352.9	2.8	2.451E-16	9.662E-21	3.3E-6	3.3E-6	-4.82	-4.95	512
333.3	3	3.081E-17	4.874E-22	1.6E-6	1.6E-6	-4.82	-4.95	512
307.7	3.2	1.411E-18	3.762E-20	5.6E-7	5.6E-7	-4.82	-4.95	512
300	3.3	4.578E-19	1.788E-21	4.0E-7	4.0E-7	-4.82	-4.95	512
285.7	3.5	1.626E-19	-9.35E-20	2.0E-7	2.0E-7	-4.82	-4.95	512

Key:

T = Temperature in Kelvin K^-1 = Inverse Temperature * 1000 in 1/Kelvin CvFeTi = Total Vacancy Concentration n_Fe_v or n_Ti_v = Fe or Ti Vacancy Concentration Fraction n_Fe_a or n_Ti_a = Fe or Ti Antisite Defect Concentration Fraction muFe or muTi = Fe or Ti Chemical Potential NFe = Fe or Ti Number of lattice sites at a given Temperature (T) Table 15. Vacancy Concentration of CuTi

x== ===	====					======	======	====
T(K)	K^-1	v	n_Ti_v	n_Cu_a	n_Ti_a	muCu	muTi =======	NCu
10000	.1	.25516498	.25142088	.22314	.22126	-4.81	-6.02	253
5000	.2	.06687083	.06307794	.19603	.19413	-3.97	-5.17	445
3333	.3	.01776014	.01598982	.16969	.16881	-3.82	-5.03	495
2500	.4	.00475944	.00410771	.14538	.14505	-3.77	-4.97	507
2000	.5	.00128679	.00106767	.12337	.12326	-3.75	-4.95	511
1667	.6	.00035093	.00028025	.10378	.10374	-3.73	-4.93	512
1429	.7	9.6472E-5	7.4187E-5	.08659	.08658	-3.72	-4.92	512
1250	.8	2.6709E-5	1.9781E-5	.07175	.07174	-3.71	-4.91	512
1200	.83	1.7432E-5	"0000 1275	.06729	.06729	-3.71	-4.91	512
1111	.9	7.4397E-6	5.3068E-6	.05908	.05908	-3.71	-4.91	512
1000	1	2.0831E-6	1.4311E-6	.04840	.04840	-3.70	-4.91	512
909.1	1.1	5.8583E-7	3.8765E-7	.03948	.03948	-3.70	-4.90	512
833.3	1.2	1.6535E-7	1.0538E-7	.03208	.03208	-3.70	-4.90	512
750	1.3	3.0755E-8	1.8644E-8	.02422	.02422	-3.70	-4.90	512
714.3	1.4	1.3285E-8	7.8543E-9	.02101	.02101	-3.70	-4.90	512
666.7	1.5	3.7778E-9	2.1512E-9	.01695	.01695	-3.70	-4.90	512
571.4	1.7	1.640E-10	8.501E-11	.00984	.00984	-3.70	-4.90	512
545.5	1.8	5.772E-11	2.900E-11	.00820	.00820	-3.70	-4.90	512
500	2	7.161E-12	3.380E-12	.00568	.00568	-3.70	-4.90	512
444.4	2.2	3.139E-13	1.349E-13	.00326	.00326	-3.70	-4.90	512
428.6	2.3	1.107E-13	4.611E-14	.00271	.00271	-3.70	-4.90	512
400	2.5	1.379E-14	5.393E-15	.00187	.00187	-3.70	-4.90	512
363.6	2.7	6.062E-16	2.159E-16	.00107	.00107	-3.70	-4.90	512
352.9	2.8	2.140E-16	7.387E-17	.00089	.00089	-3.70	-4.90	512
333.3	3	2.667E-17	8.646E-18	.00061	.00061	-3.70	-4.90	512
307.7	3.2	1.174E-18	3.466E-19	.00035	.00035	-3.70	-4.90	512
300	3.3	4.146E-19	1.186E-19	.00029	.00029	-3.70	-4.90	512
285.7	3.5	5.125E-20	1.353E-20	.00020	.00020	-3.70	-4.90	512

Key:

T = Temperature in Kelvin K^{-1} = Inverse Temperature * 1000 in 1/Kelvin CvCuTi = Total Vacancy Concentration n_cu_v or $n_ti_v = Cu$ or Ti Vacancy Concentration Fraction n Cu a or n Ti a = Cu or Ti Antisite Defect Concentration Fraction muCu or muTi = Cu or Ti Chemical Potential NCu = Cu or Ti Number of lattice sites at a given Temperature (T)

Table 16. Vacancy Concentration CuTi₂

====== T(K)	K^-1	v v	n_Ti_v	n_Cu_a	n_Ti_a	muCu	====== muTi2	NCu
10000	.1	.21562640	.32798014	.21719	.18277	-5.24	-5.83	134
5000	.2	.07974555	.08346786	.18367	.15833	-4.22	-5.02	246
3333	.3	.02803006	.02225664	.14880	.13753	-4.04	-4.89	279
2500	.4	.00954481	.00616024	.12062	.11631	-3.96	-4.85	289
2000	.5	. 00322218	.00174452	.09762	.09605	-3.92	-4.83	293
1667	.6	.00109218	.00050101	.07850	.07794	-3.90	-4.82	294
1429	.7	.00037326	.00014527	.06263	.06243	-3.89	-4.82	294
1250	.8	.00012866	4.2427E-5	.04958	.04951	-3.88	-4.82	294
1200	.83	9.0371E-5	2.8185E-5	.04579	.04574	-3.87	-4.82	294
1111	.9	4.4689E-5	.00001246	.03899	.03896	-3.87	-4.81	294
1000	1	1.5621E-5	3.6756E-6	.03048	.03048	-3.86	-4.81	294
909.1	1.1	5.4880E-6	1.0881E-6	.02373	.02373	-3.86	-4.81	294
833.3	1.2	1.9360E-6	3.2300E-7	.01840	.01840	-3.86	-4.81	294
750	1.3	4.8489E-7	6.4175E-8	.01306	.01306	-3.85	-4.81	294
714.3	1.4	2.4306E-7	2.8637E-8	.01098	.01098	-3.85	-4.81	294
666.7	1.5	8.6398E-8	8.5456E-9	.00846	.00846	-3.85	-4.81	294
571.4	1.7	6.5463E-9	4.174E-10	.00438	.00438	-3.85	-4.81	294
545.5	1.8	2.7736E-9	1.527E-10	.00352	.00352	-3.85	-4.81	294
500	2	4.985E-10	2.046E-11	.00226	.00226	-3.85	-4.81	294
444.4	2.2	3.806E-11	1.004E-12	.00116	.00116	-3.85	-4.81	294
428.6	2.3	1.615E-11	3.679E-13	.00093	.00093	-3.85	-4.81	294
400	2.5	2.910E-12	4.936E-14	.00060	.00060	-3.84	-4.82	294
363.6	2.7	2.226E-13	2.427E-15	.00031	.00031	-3.84	-4.82	294
352.9	2.8	9.451E-14	8.892E-16	.00025	.00025	-3.84	-4.82	294
333.3	3	1.704E-14	1.194E-16	.00016	.00016	-3.84	-4.82	294
307.7	3.2	1.304E-15	5.872E-18	8.1E-5	8.1E-5	-3.84	-4.82	294
300	3.3	5.537E-16	2.151E-18	6.5E-5	6.5E-5	-3.84	-4.82	294
285.7	3.5	9.983E-17	2.888E-19	4.2E-5	4.2E-5	-3.84	-4.82	294

Key:

T = Temperature in Kelvin $K^{-1} = Inverse Temperature * 1000 in 1/Kelvin$ CvCuTi2 = Total Vacancy Concentration $n_Cu_v \text{ or } n_1 1_v = Cu \text{ or } Ti_2 \text{ Vacancy Concentration Fraction}$ $n_Cu_a \text{ or } n_Ti_a = Cu \text{ or } Ti_2 \text{ Antisite Defect Concentration Fraction}$ $muCu \text{ or } muTi2 = Cu \text{ or } Ti_2 \text{ Chemical Potential}$ $NCu = Cu \text{ or } Cu^*2 = Ti_2 \text{ Number of lattice sites at a given Temperature (T)}$

Table 17. Vacancy Concentration of FeTi, NiTi, CuTi, & CuTi₂

Temp(K)	K^-1	CvCuTi2	CvCuTi	CvFeTi	CvNiTi
3======		5426065412	5065959610		2210706602
10000	• -	1633134000	1200407611	.400/8/1515	.2210/00002
2222 2	• 2	.1032134099	.1299407011	.0000019735	.0286304315
2500		0157050542	.0337499940	.0203560644	.0041374008
2000	•4	.0137050543	.0088071528	.0050508411	.0000441005
1666 7	.5	0015031000	0006311947	.0012977370	17001055552
1429 6	.0	0005195351	0001706591	.0003449970	1.790519E-5 3.101577E-6
1250	• /	.0005185551	.0001/00591 A 648931F-5	2 500975F-5	5.101577E-0
1200	••	0001185559	3 0191/6F-5	2.399875E-5	3 052700F-7
1111 1	.055	5 714969E-5	1 274647E-5	7 297051F-6	9 630086E-9
1000	• • 1	1 929607F=5	3.514074E=5	2 060060E-6	9.030080E=8
1000 ana 1	 1 1	6 576084F-6	9 734784F-7	5 858714E-7	3 051736E-0
909.1 933 3	1 2	2 258967F-6	2 707338F-7	1 673087E-7	5 44982E-10
750	1 33	5 490644E-7	4 939816F-8	3 159793E-8	5.48906F-11
714 3	1 4	2 716983F-7	2 113923F-8	1 37/903E-8	1 74070E-11
666.7	15	9 494356E-8	5 929085F-9	3 950536F-9	1.742725 = 11 3.11853E = 12
571.4	1.75	6.963697E-9	2.48986E-10	1.75429E-10	4 22771F-14
545.5	1.83	2.926309E-9	8.67145E-11	6.21618F-11	1 00820F-14
500	2	5.18962E-10	1.05413E-11	7.80877E-12	5 73400F-16
444.4	2.25	3.90646E-11	4.48752E-13	3.47911E-13	7.77566E-18
428.6	2.33	1.65196E-11	1.56836E-13	1.23350E-13	1.78124E-18
400	2.5	2.95910E-12	1.91788E-14	1.55065E-14	1.03795E-19
363.6	2.75	2.25032E-13	8.22104E-16	6.91224E-16	1 44926E-21
352.9	2.83	9.53972E-14	2.87874E-16	2.45091E-16	3 41426E-22
333.3	3	1.71555E-14	3.53173E-17	3.08140E-17	5.111200 22
307.7	3.25	1.30990E-15	1.52076E-18	1.44896E-18	
300	3.33	5.55843E-16	5.33225E-19	4.59591E-19	
285.7	3.5	1.00114E-16	6.47763E-20		

Key:

Temp = Temperature in Kelvin K^-1 = Inverse Temperature * 1000 in 1/Kelvin

	Table 18.	Vacancy F	ormation En	ergy (Ef)	
Temp(K)	T(K^-1)	CuTi2(eV)	CuTi(eV)	FeTi(eV)	NiTi(eV)
10000	 _ 1				
5000	.2	1.03681	1.17275	1.31769	1.76454
3333.33	.3	1.01454	1.16207	1.25024	1.66694
2500	. 4	1.00285	1.15212	1.20358	1.60272
2000	.5	.99205	1.14300	1.16865	1.55832
1666.66	.6	.97980	1.13474	1.14166	1.52915
1428.57	.7	.96729	1.12738	1.12139	1.51078
1250	.8	.95551	1.12093	1.10662	1.49948
1200	.833333	.94826	1.11709	1.09907	1.49442
1111.11	.9	.94325	1.11448	1.09459	1.49173
1000	1	.93563	1.11057	1.08868	1.48850
909.091	1.1	.92763	1.10651	1.08354	1.48601
833.333	1.2	.92079	1.10309	1.07998	1.48452
750	1.33333	.91416	1.09979	1.07722	1.48353
714.286	1.4	.90938	1.09743	1.07561	1.48302
666.667	1.5	.90604	1.09578	1.07468	1.48276
571.429	1.75	.90054	1.09305	1.07351	1.48248
545.454	1.83333	.89652	1.09102	1.07285	1.48236
500	2	.89431	1.08986	1.07260	1.48232
444.444	2.25	.89159	1.08835	1.07236	1.48239*
428.571	2.33333	.89000	1.08739	1.07226	1.52392*
400	2.5	.88914	1.08680	1.07222	1.46977*
363.636	2.75	.88807	1.08597	1.07219	1.47231*
352.941	2.83333	.88744	1.08540	1.07217	1.49495*
333.333	3	.88710	1.08502	1.07217	
307.692	3.25	.88668	1.08486*	1.05377*	
300	3.33333	.88643	1.08245*	1.18740*	
285.714	3.5	.88630	1.08401*		

* These values are less accurate due to their having vacancy concentrations equal to or less than 1E-18, thus their calculation errors are about equal to the tolerance values of the TK Solver model calculation.

APPENDIX D: <u>Stable Defect Configuration Plots</u>

The following figures are output plots from the RUNDYN code for each of the most stable defect configurations plus example plots of vacancy and migration configurations. Figures 12 and 14 are minimized vacancy configurations, but are not the most stable defect configurations. The most stable configurations for these lattices are Figures 13 and 15 respectively. Figures 18 through 22 are examples of Fe vacancy migrations. The Ni vacancy migration plots were similar in all respects except the configuration in Figure 21 was stable for NiTi. The remaining Figures 23 through 38 are all of the most stable interstitial configurations for either NiTi or FeTi. In all cases the Ti atoms are symbolized with circles with horizontal lines and the Ni or Fe atoms are symbolized with circles with diagonal lines. Except where noted all of these figures have unit direction views of x=1, y=0.1, and z=0.1. All of the figures in this Appendix were reproduced from the AFIT mainframe system. The rest of this thesis was written using the Lotus software programs Manuscript release 2.1 and Freelance release 3.01.

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Sun Sep 23 17:58:16 1990 : nitivacmin.res





Mon Sep 24 12:28.34 1990 - nitt min res

Figure 13. NiTi Lattice with Ti Vacancy Configuration Trajectories from Figure 12



list Alt 19 20-69-04 1990 - toxematicnes

Figure 14. FeTi Lattice with Ti Vacancy Minimized



The Rep 11 21-07 (0.14) - +1vae/67 min rep

Figure 15. FeTi Lattice with Ti Vacancy Configuration, Trajectories from Figure 14.



Lib replace 12/27 / 1960 - Elvardin 166

Figure 16. NiTi Lattice with Ni Vacancy Configuration



Thu Sep 1 (19932:21 1997), feVac stt





Fri Sep 14 15:23:04 1990 : fe569.-.50.res

Figure 18. FeTi Lattice with Fe Vacancy Direct Migration Atom #569



Fri Sep 14 23:02:30 1990 : ti440.+1.0.res

Fgure 19. FeTi Lattice with Fe Vacancy Migration Sequence #1 of Atom #440



sat sep 15 23:40:18 1990 : ti440b.-.50.res

Figure 20. FeTi Lattice with Fe Vacancy Migration Sequence #4 of Atom #440



Sun Sep 16 22:09:51 1990 : fe569b.+.75.res

Figure 21. FeTi Lattice with Fe Vacancy Migration Sequence #5 of Atom #569 is Unstable with Atom #568 Also Moving



Thu Sep 20 12:06:34 1990 : ti568b.+1.0.res

Figure 22. FeTi Lattice with Fe Vacancy Migration Sequence #6 of Atom #568



Mon Oct 15 14:11:16 1990 : nit1_int1.test4.res

Figure 23. NiTi Ti Interstitial Configuration, Trajectories from Figure 27



Thu Oct 11 20:22:41 1990 : niti_intl.test4.res





Mon Oct 15 14:15:00 1990 : niti_intl.test4.res

Figure 25. NiTi Ti Interstitial Configuration <010> View



Mon Oct 15 14:05:54 1990 : niti_intl.test4.res

Figure 26. NiTi Ti Interstitial Configuration <001> View



Mon Oct 15 13:39:55 1990 : feti_intl.test4.res2

Figure 27. FeTi Ti Interstitial Configuration



Mon Oct 15 13:43:40 1990 : fet1_int1.test4.res2





Mom Oct 15 19.56:49 1990 + feti_intl.test4.res2

Figure 29. FeTi Ti Interstitial Configuration <010> View



Mon Oct 18 13:59:03 1990 : feti_intl.test4.res2

Figure 30. FeTi Ti Interstitial Configuration <001> View



Tue Oct 16 (0:23:05 1990 : n1_intl.test3.res

Figure 31. NiTi Ni Interstitial Configuration









Tue Dot 16 00-25:53 1990 : n1_intl.test3.res





Tue Oct 16 $00 \cdot 26:4 \times 193$. \dots ni_intl.test3.res

Figure 34. NiTi Ni Interstitial Configuration <001> View





Figure 35. FeTi Fe Interstitial Configuration



Tue Oct 16 00:12:54 1990 : fe_intl.test3.res





Tue oct 10 00:14:50 1990 : fe_intl.test3.res

Figure 37. FeTi Fe Interstitial Configuration <010> View



Tue Oct 16 00:18:52 1990 : fe_intl.test3.res

Figure 38. FeTi Fe Interstitial Configuration <001> View

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<u>Vita</u>

Captain Russell Thomas Lutton was born in Portsmouth, Virginia on 17 July 1952. He received his Bachelor of Science in Physics from Old Dominion University in Norfolk, Virginia in May 1974. Continuing his education at the Institute of Oceanography in Norfolk, Virginia he received a Master of Science in Physical Oceanography in August 1979.

Captain Lution was commissioned from Officer Training School on 4 February 1981. His first assignment was to the Air Force Weapons Laboratory (AFWL), Nuclear Technology Engineering Division (NTE) as a Computational Physicist and Project Officer. As the NTE Hard Mobile Launcher (HML) small ICBM program manager, he was responsible for budget and construction of the 20 ft diameter 825 ft long nuclear simulation shocktube. He also predicted and analyzed shockwave loading using the "HULL" hydrodynamic computer code.

In May 1985, he was name requested by the Defense Nuclear Agency (INA) to serve as Technical Director for their high explosive nuclear simulation events. He was awarded the Defense Meritorious Service Medal for his direction of the MISTY PICTURE 8 kiloton nuclear simulation event detonated in May 1987. After directing the MISERS GOLD 4 kT event detonated in June 1989, he was assigned to the School of Engineering, Air Force Institute of Technology in August 1989.

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13 ABSTRACT (Maximum 200 words) code was used to calcula compounds NiTi and FeTi. accurate to within +/- G had energi s of 5.356 eV resulted in a heighborin the vacant Ti site. The 6.336 eV for FeTi. T. for NiTi and 1.07 eV for Ni-Ni or Fe-Fe split-int adjacent I: antisite def defects with a <011> Fe- stitial energies were -2 stitials both resulted i oriented dumbbells. The and -1.945 eV for FeTi.	omistic simulation te the point defect The calculated en .' eV. Vacant Ni of for NiTi and 5.899 g antisite defect of se configurations h calculated vacancy FeTi. The interst erstitial dumbbells ects. Ti interstit Fe dumbbell or a <1 .395 eV for NiTi an n an adjacent Ti an Ni or Fe intersit	with a modied properties of hergies are beli or Fe lattice si ev for FeTi. caused by a-mige had energies of defect formatic titial defect co s centered on a tials resulted i 11> Ni-Ni dumbt ha -1.558 eV for htisite defect witial energies w	d version of the DYNA the inter_etallic ieved to be experimen ites were preferred a Renoving a Ti atom rating>Ni or Fe atom 7.024 eV for NiTi an on energies were 1.48 onfigurations consist Ti site with one or in two adjacent Ti an pell. These Ti inter r FeTi. Ni or Fe int with Ni-Ni or Fe-Fe < were -2.602 eV for Ni	MO tally nd into d eV ed of two tisit - er- 111> Ti	
A SUBJECT TERMS Point Defect Defects, Nickel Alloys, Defects, DYNAMJ Code, Ni	s, Vacancy Defects, Iron Alloys, Titani Ti, FeTi, Point Def	, Interstitial ium Alloys, Crys Tect Properties	15 NUMBER OF FAG 107 16. PRICE CODE	ES	
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7. SECURITY CLASSIFICATION 18. S	CURITY CLASSIFICATION	19. SECURITY CLASSIFIC	CATION 20 LIMITATION OF A	BSTRACT	