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The German General Staff system is deeply rooted in the past. The heritage of the German General Staff officer is alive today and is portrayed in the Bundeswehr field manuals and the leadership philosophy of the German Armed Forces. An analysis of German command-andcontrol regulations reveals that the views and formulations of the Prussian military reformers, the chiefs of the Prussian-German General Staffs and the officers who formed the Bundeswehr, continue to have a tremendous effect on German General and Admiral Staff officers in our time.

Most of the analyses of the Prussian-German General Staff system deal with the sociological and political phenomenon of this small professional group of officers in the 19th Century. They end in most cases, with the unconditional surrender of the Wehrmacht in 1945. Most treatises do not sufficiently take into consideration that the Bundeswehr General and Admiral Staff officers have based the way they see themselves and their working methods on developments in the past, and that they are proud of this heritage.

The future allied forces of NATO must consist increasingly of multinational corps made up of national units. A modified military strategy is being developed within the NATO staffs. Germany will stay in NATO. This means that the degree of cooperation between German General and Admiral Staff Officers, their allied superiors, subordinates and their fellow officers will increase. This requires more than ever before that the German General and Admiral Staff officers are aware of their roots and recognize how they influence the present. It would also be very beneficial if their allied counterparts knew the peculiarities of their German comrades-in-arms for the benefit of frictionless cooperation.

Against this background, this treatise is designed to contribute to an understanding of the key and vital role of the German General and Admiral Staff officer, and to stimulate the discussion of its adaptation into other nations' armed forces.

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THE PRUSSIAN GERMAN GENERAL STAFF SYSTEM AND ITS IMPACT ON THE GENERAL AND ADMIRAL STAFF OFFICERS OF THE FEDERAL ARMED FORCES OF TODAY

AN INDIVIDUAL STUDY PROJECT

by

Oberst i.G. Christian O.E. Millotat German Army



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Only 3.8 percent of the officers of the Federal Armed Forces are General and Admiral Staff officers. After a demanding selection process, most attend the Federal Armed Forces Command and General Staff Academy (Fuehrungsakademie der Bundeswehr) in Hamburg a two-year General and Admiral Staff course. They are entitled to add "in the General Staff Service " ("im Generalstabsdienst") to their military rank and hold the key staff and most of the command positions in the Bundeswehr. It is possible that officers without General Staff officer training obtain temporary assignments to such positions.

The distinctive characteristic of the Bundeswehr General and Admiral Staff officer, which distinguishes him from his colleagues of all other armed forces, is his dual responsibility. As in other armies, the German General and Admiral Staff officer relieves his commander from the technical details of staff work; but in the German system, in addition, his main task is to advise his commander in all matters and the commander is obliged to hear his opinion. The General ard Admiral Staff officer then bears the shared responsibility for the relevance of his advice.

The German General Staff system is deeply rooted in the past. The heritage of the German General Staff officer is alive today and is portrayed in the Bundeswehr field manuals and the leadership philosophy of the German Armed Forces. An analysis of German command-and-control regulations reveals that the views and formulations of the Prussian military reformers, the chiefs of the Prussian-German General Staffs and the officers who formed the Bundeswehr, continue to have a tremendous effect on German General and Admiral Staff officers in our time.

Most of the analyses of the Prussian-German General Staff system deal with the sociological and political phenomenon of this small professional group of officers in the 19th Century. They end in most cases, with the unconditional surrender of the Wehrmacht in 1945. Most treatises do not sufficiently take into consideration that the Bundeswehr General and Admiral Staff officers have based the way they see themselves and their working methods on developments in the past, and that they are proud of this heritage.

The future allied forces of NATO must consist increasingly of multinational corps made up of national units. A modified military strategy is being developed within the NATO staffs. Germany will stay in NATO. This means that the degree of cooperation between German General and Admiral Staff officers, their allied superiors, subordinates and their fellow officers will increase. This requires more than ever before that the German General and Admiral Staff officers are aware of their roots and recognize how they influence the present. It would also be very beneficial if their allied counterparts knew the peculiarities of their German comrades-in-arms for the benefit of frictionless cooperation.

Against this background, this treatise is designed to contribute to an understanding of the key and vital role of the German General and Admiral Staff officer, and to stimulate the discussion of its adaptation into other nations' armed forces. The form changes, the spirit remains the same. It is the spirit of silent, unselfish performance in the service of the armed forces. General Staff officers have no name.

General Colonel Hans von Seeckt 1919

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CHAPTER I

INTRODUCTION

MILITARY STAFF SYSTEMS TODAY - A RESULT OF HISTORICAL PROCESSES

In 1887 the British military writer Spenser Wilkinson published his essay "The Brain of an Army, A Popular Account of the German General Staff." In the introduction to the second edition of 1895, we find the following remarkable statement:

It may well be doubted whether this feature of the Prussian (General Staff) System is suitable for imitation elsewhere. The Germans themselves regard it as accidental rather than essential, for in organizing their Navy they have, after much experiment and deliberation, adopted a different plan.¹

If one compares the allied armed forces in NATO superficially, it seems as if there were a complete consensus about the axioms of staff work and military leadership. In the center, there are the individual leaders at the different levels of command, internally independent men, who take decisions, commit themselves, and, by means of their example, lead their men in peace and war. The higher the levels of command, the bigger the staffs. These staffs relieve the military leaders of the load of administrative details of everyday business. They work up the facts for their decisions, then implement their orders and supervise the execution. However, if officers assigned to NATO take a closer look, they recognize that the views of military leadership and the roles and functions of staff officers and their relationship with *i.eir commanders differ considerably.

These differences, as well as the staff organizations of the allied armed forces and their role as an instrument of military leadership, are the result of historical processes that took different courses. The correlation of leaders and staffs in armed forces can be assessed with certainty only if one knows the roots of the different staff systems. These systems have developed for generations in the respective military political environment of the individual nations and, adapted to our times, continue to have an effect into the present.

When I was a student at the Canadian Forces Command and Staff College and later during my assignment to Headquarters, Allied Forces Central Europe, I was often asked about the Prussian-German General Staff System by allied comrades-in-arms. They wanted to know if it still had an effect on the Bundeswehr today. At the U.S. Army War College, I was asked the same questions. I realized that my fellow soldiers admired the efficiency of the Prussian-German General Staff as demonstrated in the German Unific tion Wars of the 19th Century and in the two World Wars, even without knowing its peculiarities. The reason for this lack of knowledge obviously results from the following phenomenon:

In the introduction to his book, <u>The Imperial and Royal Austrian Army</u> <u>1848-1914</u>, <u>The Lost Wehrmacht</u>, Christoph Allmeyer Beck, a famous Austrian military author writes that it is an Austrian phenomenon that something that has been declared de jure nonexistent simply continues to exist elsewhere in his country.² He further states that many things that came into being out of a fine tissue of historical events, intellectual trends and emotional attitudes would often lead a strange underground existence, trickling through to the surface time and again, thus continuing to exist even into the present. Anyone who attempts to describe the characteristics of the Prussian-German General Staff System, its influence on everyday business and the way that Bundeswehr General Staff officers see themselves will be reminded of this observation of Austrian reality: The functions and responsibilities of the

German General Staff officer were last compiled and issued as an order in the classified <u>Manual for General Staff Service in Wartime (Handbuch fuer den</u> <u>Generalstabsdienst im Krieqe)</u> in 1939.³ The methods and tasks described within were applied almost unchanged in the Bundeswehr until the publication of the second revised edition of the Army Regulation TF/G 73, HDv 100/100 "Command and Control of Armed Forces" ("Truppenfuehrung") in September 1987. There appears, for the first time, a concise paragraph about the functions and responsibilities of the Bundeswehr General Staff officer. Paragraph 615 reads as follows:

> The commander must be supported by obedient, independent and critical advising General Staff officers ("Fuehrergehilfen"). They provide him with information and advice, prepare decisions, turn them into orders and measures and supervise their execution. If necessary, they urge the commander to decide and act. Their thinking and actions must be guided by his will and intentions and must be determined by his decisions and orders.⁴

Authors who wrote about the Prussian-German General Staff System mostly concentrated on the macroccosm of the organization of the former German General Staffs, their leading figures, and their relationship with the highest military and political leadership. The analyses of the microccosm of the Prussian-German General Staff officers were not neglected, but never given the depth of research and attention as its microaspects. To date, there is no publication that analyses the characteristics of the General Staff officers of the Bundeswehr in light of history, their work within NATO staffs and the current challenges which were initiated by the revolutionary developments in Eastern Europe and in Germany since 1989.⁵ Many authors terminated their research with the unconditional surrender of the Wehrmacht in 1945.

PURPOSE AND STRUCTURE OF THE EVALUATION

This evaluation is designed to help remedy an unsatisfactory situation that exists and to stimulate discussion. This seems to be necessary.

o Germany will stay in NATO. German General Staff officers will continue to work for allied superiors. They should have an understanding of the peculiarities of their German subordinates. The future allied forces in Europe must consist increasingly of multinational corps made up of national units.⁶ This means that the degree of cooperation between German General Staff officers, their allied superiors, subordinates and their fellow soldiers will increase.

o In the German Armed Forces a decrease in the education of young officers about history can be observed. At the present time, Ernst Moritz Arndt's statement in his <u>Catechism for the German Warrior</u> (Katechismus fuer den deutschen Kriegs-und Wehrmann) of 1813 is therefore especially true for the German General Staff officer who exercises considerable power in the armed forces of today:

> Where history is not available, man faces his present empty-handed. Thus, he hardly recognizes a way into the future because he has lost sight of where he came from.⁷

A professional group is only able to develop a concept of itself, introduce its peculiarities into everyday work and to act proactively, if it is aware of its roots and foundations, and recognizes how these influence the present. One who knows the foundations of his profession and is able to articulate them is invulnerable to misinterpretation and professional criticism.

These statements provide a guideline for the structure of this evaluation. After some reflections on the phenomenon of the Prussian-German General Staff System, the General Staff officers of the Bundeswehr will be portrayed. The

reganizational roots of these peculiarities will be pointed out by discussing their history. This discussion will include only examples of how the activities of former General Staff officers affect the Bundeswehr General Staff officers and how they see themselves and their working methods. In doing so there is a risk of "open flanks", as historical developments will only be shown insofar as they have had impact on the German General Staff officers of today. For example, this means that the Wehrmacht Air Force General Staff and German Admiral Staff officers will not be discussed in depth because the cradle of General Staff officer was in the German Armies of the past. In the concluding chapter it will be included in a discussion of today's problem areas concerning deficits and demands which face the German General Staff officer today and in the future. A summary and some recommendations on a better use of the Prussian-German General Staff system to the benefit of a transformed NATO will conclude this evaluation.

My reflections and research on the Prussian-German General Staff System began in 1982 when the Commander-in-Chief, Allied Forces Central Europe, the late General Dr. von Senger and Etterlin suggested that I give a lecture on the reasons for tension within NATO Staffs which occasionally occur when there are misunderstandings between allied superiors and their German General Staff officers.

CHAPTER II

TACKLING A PHENOMENON

THE PRUSSIAN-GERMAN GENERAL STAFF SYSTEM BETWEEN CONDEMNATION AND ADMIRATION

In the epiloque to his book A Short History of the German General Staff (Kleine Geschichte des deutschen Generalstabs) of 1967, Walter Goerlitz pointed out that the Prussian-German General Staff has remained a sociological and political phenomenon, despite the German loss in World War II. He is certainly right; there is hardly another military institution or group that has been looked upon so controversially.⁸ For Germany's former enemies, the Prussian-German General Staff was an object of fear and revulsion, an organization which was considered to represent the kernel of professional militarism in which a selected group of officers worked in monkish isolation on the preparation of war plans.⁹ They suspected the German General Staff to be one of those "dark forces," which were weaving the threads of the destiny of nations behind the scenes. Above all, many Americans viewed it as a "corspiracy" which is a crime within their legal system.¹⁰ After 1945, noted Europeans spread similar interpretations. It may remain an open question whether these were uttered on the basis of conviction or were mere propaganda. Winston Churchill wrote in his memoirs after World War II: "If we arrest and shoot every General Staff officer, we will have peace for the next 50 years." Stalin went one step further; he wanted to liquidate every German Staff officer after the war.¹¹

In the Treaty of Versailles, the victors of World War I banned the Great General Staff (Grosser Generalstab). The victors of World War II accused the German General Staff, the Armed Forces High Command (Oberkommando der Wehrmacht) of being criminal organizations and the leading Wehrmacht generals

of being criminals at the Nuemberg Trials. Thanks to the defense by Dr. Laternser, assisted by Field Marshal von Manstein, the General Staff and its officers were acquitted of this charge. The defense succeeded in proving that the German General Staff of World War II was only one of several operations staffs of the Wehrmacht and never had the immense power that had been ascribed to it in the indictment. The Soviets protested this acquittal.¹²

In East German military literature the Prussian-German General Staff was assessed unfavorably:

In the system of modern German militarism there is no institution since the end of the 19th Century which has played so disastrous a role as the General Staff. . . . The General Staff of the Prussian-German type represents in a most obvious way the anti-democratic and inhuman character of German militarism; this both in the past and in the Federal Republic of today.¹³

The General Staff training was not excluded from the controversial discussion on the reorientation of Bundeswehr officer training in the 1970's. Officers and education reformers of entirely different intellectual and political backgrounds argued that a democratic state's army no longer needed General Staff officers. They said that training a small group of officers with special competencies within the officers corps was inconsistent with the principle of equality, promoted the development of a caste spirit, was elitist and no longer tolerable in modern times.¹⁴

Authors of English military literature overwhelmingly admire the Prussian-German General Staff. They draw attention to the phenomenon that after Field Marshal Count von Moltke's victories over Austria in 1866 and France in 1870-1871, other countries tried to adopt the Prussian-German General Staff system for their armed forces. For example, War Minister Elihu Root failed to achieve this in the United States of America from 1899 to 1904, because the American public opposed the establishment of a specially trained, small group

of officers in the armed forces. However, Root was not completely unsuccessful; we find numerous elements of Prussian-German origin in the American staff system of today. At the beginning of the 1980's a second year of training was introduced at the United States Army Command and General Staff College in Fort Leavenworth for selected staff officers, which indicates that the U.S. Army is currently testing a two-year training program for its future leadership. Elihu Root's plan has been revived. The British studied the Prussian-German organization carefully but went different ways.

After the war of 1870-1871, General Miribel established a new General Staff in France which was based to a certain extent on the Prussian-German organization and some of its command and control principles.

Walter Goerlitz pointed out that after World War II, the Americans were the first to use the methods of the Prussian-German General Staff for the benefit of their economy. Many elements that have become integral parts of managerial economics and organizing sciences can be traced back to the Prussian-German General Staff system.¹⁵

The working method of the Prussian-German General Staff was adopted in the German language as an idiom. A very accurately prepared and successfully executed project is frequently rated "general staff-like," ("generalstabsmaessig").

THE GENERAL STAFF OFFICER AND TRADITION

When the Bundeswehr was formed in the 1950's, the German military tradition had a negative connotation. The National Socialists had abused the traditional German military values, and, as a result, leading Bundeswehr officers wanted to distance themselves from past traditions. Thus, only certain periods of history considered to be "tradition-worthy" were selected. This has been called untenable by historians.¹⁶ In light of this approach,

it is astonishing that the whole tradition of the Prussian-German General Staff was declared to be binding for Bundeswehr General Staff officers. On 15 May 1957, the first Chief of Staff, Federal Armed Forces, General Heusinger, delivered his opening speech at the newly founded Army Academy (Heeresakademie). In the presence of the Federal Minister of Defense he said:

> Tradition obliges . . . when I am to reopen today . . . the recreated Heeresakademie. . . . We (the General Staff officers) are only links in the long chain of the development of German military history. . . This chain goes back 147 years into the past. . . . You, particularly, are in a most dedicated manner the defenders and guardians of the values of German military tradition. Thanks to these values it was held in high esteem in the past; slowly but constantly the postwar veils are being lifted from these values. . . .¹⁷

After years of controversy surrounding Bundeswehr General Staff officer training, another Chief of Staff, Federal Armed Forces, General Brandt, summarized the tradition and the way Bundeswehr General Staff officers see themselves in his farewell speech to the General and Admiral Staff Course of 1977. Just as General Heusinger had done in 1957, General Brandt established a direct link between the present and the past:

> Scharnhorst, Gneisenau and Clausewitz created the General Staff and gave this instrument its objective and direction; the great Chiefs of Staff, Moltke and Schlieffen, developed the General Staff to high perfection; their successors Seeckt, Beck and Halder preserved their heritage. They personified the typical General Staff officer who is the first adviser of his commander, the 'Fuehrergehilfe.' In this respect they are still exemplary for us today.¹⁸

CHAPTER III

GENERAL STAFF OFFICERS IN THE BUNDESWEHR

DESCRIPTION

There are General Staff officers in the Bundeswehr, but there is no General Staff officer branch or corps. And there is no General Staff division within the Federal Ministry of Defense that is in charge of the strategic operational planning of the Bundeswehr. The Chief of Staff, Federal Armed Forces, the Generalinspekteur, is not a Chief of the General Staff vested with the classical General Staff functions of contingency operations, campaign planning and the conduct of operations in time of war.

The German Ministry of Defense exercises the function of a technical department for military national defense and executes with its military staffs the roles of a supreme headquarters of the Federal Armed Forces. It comprises five military staffs--the Armed Forces Staff, the Army, Air Force and Navy staffs, and the Office of the Surgeon General--and six ministerial divisions-the Budget Division, the Personnel Management Division, the Quartering, Real Estate and Construction Division and the Social Services Division.

The Chief of Staff, Federal Armed Forces, is the supreme military representative of the Bundeswehr and the military adviser to the Federal Government. He represents the Bundeswehr in international bodies in the position of a joint services chief of staff. Although he is not included in the chain of command between the Minister and the armed forces, he has been delegated by the Minister special responsibility for the overall concept of the Bundeswehr. His planning responsibility commits him to develop the structure, organization, command and control, education, training, and equipment within the scope of given political parameters.

In NATO staffs where the operational defense planning for the Federal Republic of Germany is developed, there are no divisions consisting exclusively of German General Staff officers. The Federal Republic of Germany is the only NATO country which in the event of war, relinquishes operational command over all combat units of her armed forces and a number of major formations of the Territorial Army to NATO commanders. This means that the Chief of Staff, Federal Armed Forces, the Armed Forces Staff and the Army, Air Force and Navy Staffs of the Bundeswehr are not involved in operational defense planning, which was the classic task of former German General Staffs. As far as strategic-operational planning is concerned, they are involved in the coordination and approval processes of the NATO commanders' plans for the defense of German territory. This is again classic General Staff work. The Bundeswehr forces on the territory of the former German Democratic Republic cannot be assigned to NATO in time of peace as long as Soviet forces are stationed there. Their operational planning must be executed by the German Bundeswehr alone. This does not exclude close cooperation with the NATO authorities concerned. It will be done within the established divisions of the Federal Ministry of Defense. There appears to be no need for a special general staff planning agency.¹⁹

The General Staff officer service (Generalstabsdienst) was defined in the so-called Heusinger-Directive of 8 September 1959. According to this directive General Staff officer service is duty in a General or Admiral Staff officer position. These positions are specifically designated in the Tables of Organization and Equipment.²⁰ Most General Staff officer positions will be filled with graduates of the Federal Armed Forces Command and General Staff Academy (Fuehrungsakademie) in Hamburg. It is also possible, however, that officers without General Staff officer training obtain temporary assignments

to such positions. General Staff officers are frequently given line commands in order to keep them familiar with everyday unit problems. General Staff officers are entitled to add "in the General Staff Service" ("im Generalstabsdienst") or "in the General Staff" ("im Generalstab," short "i.G.") after their rank. In the German language both terms are used synonymously.

RANKS OF GENERAL STAFF OFFICERS AND THEIR SPECIAL INSIGNIA

The lowest "i.G." rank is that of a captain, while the highest is colonel. Generals holding General Staff positions, e.g., the Chief of Staff of a Corps, do not bear the "i.G." after the rank.

The German Army and Air Force General Staff officers have special insignia: In the respective German manual the description of General Staff officer insignia reads as follows:

> Dull grey embroidery, stitched by hand, on a crimson underground, 2 x 11 small prongs on either side. The angles of the V-shaped embroidery point downwards. The epaulettes have a crimson underlay.²¹

General Staff officers who hold positions which are not designated as General Staff positions wear the collar insignia of their branch of service and do not add the "i.G." to their rank. Admiral Staff officars do not have special insignia in the Bundeswehr, and they never had any in the German navies of the past.

SMALL NUMBER

German General and Admiral Staff officers form a small group within the Bundeswehr. According to an unclassified source of the Personnel Management Division, 39,242 officers served in the Bundeswehr during the first half of the eighties: 26,102 regular line officers (Truppenoffiziere), 1,615 medical officers and 11,525 officer specialists (Offiziere des militaerfachlichen

Dienstes).²² Only 1,453 of these officer positions were designated as General Staff or Admiral Staff posts. Two hundred seventy-eight officers temporarily assigned to General Staff and Admiral Staff posts had not been trained as General Staff officers. Thus, only 3.8 percent of all officer posts were General Staff and Admiral Staff positions.

A subdivision into the branches resulted in the following figures, which have not changed very much over time: With its 20,167 officers, the Army had available a total of 820 General Staff posts. The Navy has 3,337 officers, with 188 posts for Admiral Staff officers. Twelve thousand two hundred twenty-seven officers served in the Air Force. Four hundred and forty-five of the posts were designated as General Staff positions.

In the middle of the eighties there were 1,523 trained General Staff and Admiral Staff officers in the Bundeswehr. Twenty percent of them were assigned to posts that were not marked as General Staff or Admiral Staff posts. They were mainly employed as commanding officers. Bundeswehr General and Admiral Staff officers are found throughout in the Ministry of Defense, high-level aide-de-camp positions, in NATO, as attaches, in major formations of the Army and the Air Force as well as in the Navy staffs.

To make this clear, here are some examples of General Staff assignments in the German Army and in NATO:

o The brigade is the first level where General Staff officers can be found. The G3, who is the first General Staff officer of a brigade, has the position of Chief of Staff. He may be compared to the Wehrmacht's division Ia officer, who was the 1st General Staff officer, functioning as the Chief of Staff. The Bundeswehr brigade is, as was the Wehrmacht division, the lowest unit level that can fight the combined arms battle. The brigade's 2nd General Staff officer is the G4. In contrast to other western armies conducting

General Staff officer training, the remaining heads of staff sections of a brigade are not trained as General Staff officers.

o In a Bundeswehr division there are five General Staff officers; the Chief of Staff, the G1, G2, G3 and G4. Divisions with special tasks have an additional General Staff officer, a G3 Operation's Officer (Ops) who deals with operational matters. In a German corps the Chief of Staff, holding Brigadier General rank, oversees nine General Staff officers: the G1, G2, G2 Ops, the G3, G3 Planning and Exercises, the G3 Ops 1 and Ops 2, the G4 and the G4 Ops. Currently, the employment of a G6 officer at division and corps level is being evaluated in troop tests. This General Staff officer is planned to head a newly formed command, control, and communications section.

o At HQ AFCENT (Allied Forces, Central Europe) in Brunssum, Netherlands, for example, there are about 100 German officers. Only 17 of them are General Staff officers.

In contrast to the situation in many other armies, the Bundeswehr does not grant rapid career advancements only to General Staff officers. At the beginning of the eighties, for example, 40 of the 202 German generals and admirals were not specifically trained as General Staff officers; that represents nearly 20 percent of the general officer corps. Additionally, 52.2 percent of the 1,087 Bundeswehr colonels and navy captains were not trained as General or Admiral Staff officers.

SELECTION AND TRAINING

After selection, Bundeswehr General and Admiral Staff officers are trained separately. Since the establishment of the Bundeswehr, the selection methods and the curricula of General and Admiral Staff officer training have changed several times. Despite criticism, the selection procedures and the special training have never been abandoned.²³

The training of the Federal Armed Forces officers is conducted in three steps (see Figure 1). The first step includes studies at one of the two Federal Armed Forces Universities in Hamburg or Munich. This is followed by several years of troop duty. When the officer has decided to pursue a regular officer career and has been given that status, his training for employment in field grade appointments begins at the Federal Armed Forces Command and General Staff Academy (Fuehrungsakademie der Bundeswehr) at Hamburg. His subsequent staff training is conducted at this institution.

The Federal Armed Forces Command and General Staff Academy was established at Bad Ems in 1957 as the Army Academy (Heeresakademie) and was moved to Hamburg in 1958 where it became the Federal Armed Forces institution for future general and admiral staff duty in the Army, Air Force and Navy. In 1974, the Academy was given the task of training all regular officers of the three services for employment in field grade appointments as well as conducting advanced training for field grade officers and General Staff and Admiral Staff officers.²⁴ This is the second step of the Federal Armed Forces officer training. _{To}date, the advanced training is conducted within a specific system consisting of three phases:

o First, the German Armed Forces Command and General Staff Academy is required to train senior captains and Navy lieutenants of the three services normally during their eighth year of commissioned service in the three and a half month Field Grade Officer Selection and Qualification Course (see Figure 2). According to the regulations, every career officer must successfully complete this course before he can be promoted to the rank of major or lieutenant commander. The Field Grade Officer Selection and Qualification and Qualification Course is a joint course for the three services. There the students receive basic instruction common to all three services, in the areas of general

FIGURE 1

TRAINING AND SELECTION OF



FIGURE 2

FIELD GRADE OFFICER EDUCATION IN THE GE FEDERAL ARMED FORCES



(1) Army Officers Only

(2) Medical Officers and Officer Specialists are given different training. Officer Specialists cannot be promoted to field grade officers

(3) Army Officers additionally attend a 6 months language course

Command and leadership doctrine, security policy and armed forces and social sciences. In a fourth area, single service-oriented aspects are dealt with separately for army, air force and navy students. Each subject concludes with an examination. The results are summarized in a final grade.

o The Staff Officer Courses are the second phase of Advanced Officer training and education. All career officers who have graduated from the Field Grade Officer Selection and Qualification Course must attend one of the Staff Officer Courses. About 10 percent of a career officer age group are selected by a commission for the 24-month General and Admiral Staff training. The most important selection criteria are excellent performance in line service, outstanding results in the Field Grade Officer Selection and Qualification Course and relevant assignment recommendations by senior commanders. Army officers, for example, must have commanded a company for two years and have achieved good ratings in the Tactical Professional Training Program which they have to undertake as a one year self-study course in their unit in the seventh year as a commissioned officer. It is controlled and administered by the division Chief of Staff and capped by a two-week examination.²⁵ Ninety percent of a career officer age group are to attend a Special Staff Officer Course of eight weeks duration. The course starts with fundamentals of staff work and then focuses on the specific staff work with which the officer has to be familiar with later when working in his particular staff area. After being promoted to the rank of major, the officer's training will be completed by a three-week Advanced Education for Field Grade Officers in Security Policy.

o The third training phase is designed to prepare field grade officers for special tasks and functions within the Federal Armed Forces and NATO. A number of these Special Post Graduate Courses are attended also by civil servants from both the Department of Defense and Federal state governmental

agencies. At present, a matter of different courses with a duration up to ten weeks are offered.

The German Armed Forces Command and General Staff Academy is the central institution responsible for the training of field grade, and General and Admiral Staff officers of the Bundeswehr. An essential fundamental of its task is the conviction that modern armed forces must be led in the field with .tientific knowledge and by military leaders who know to apply reasoning and methods. Thus, the philosophy of today's Fuehrungsakademie is governed by three elements: Joint service training, alliance-oriented doctrinal instruction, character and open-mindedness.

In order to illustrate these goals, every year since 1962, the Academy has also conducted a ten-month Army General Staff Officer Course for officers from non-NATO countries. In 1986, the Academy started to conduct a similar course for air force officers. The objective of the Army Course is to familiarize non-NATO officers with General Staff officer duties, primarily at brigade and division levels of command in the Bundeswehr and the respective levels of command in the Air Force and Navy. About 600 foreign officers from 60 nations have completed this training.²⁶

There is no doubt, however, that the 24-month General and Admiral Staff Courses does form the nucleus of the German Command and General Staff Academy. The Army, Air Force and Navy General Staff Course is composed as follows:

o Army: Forty-five German and 12-15 allied NATO students organized in four syndicates or sections;

o Air Force: Twenty-four German and two-five allied NATO students;

o Navy: Fourteen German and four-six allied NATO students.

The syndicates are the most important instructional group and remain unchanged throughout the entire course. They are supervised by a senior lieutenant

colonel i.G. faculty class adviser, who, at the same time, is lecturer for the major subject of the respective single service-oriented instruction. He prepares a regular evaluation for the students of his syndicate at the end of the course. All syndicates are subordinate to one course director of colonel or navy captain's rank. An Army, Air Force and Navy General Staff Course starts every year at the beginning of October. It is preceeded by a six-month intensive language course at the Federal Office of Languages (Bundessprachenamt) at Huerth. A junior and one senior course is in progress simultaneously at the Academy at the same time.

Those fields of knowledge which are important for General and Admiral Staff officers of all three services are provided to all students, mostly in mixed working groups. Subjects dealing with the concept, organization, command and control and operations of the Army, Air Force and Navy are imparted only to the students of relevant individual services. This subdivision into two categories comes at the specific-goal level: Of the 2,200 broad aim-oriented instructional hours, 1,000, that is to say, 45 percent, serve for joint-service-oriented training: 1,200, that is to say, 55 percent for single service-oriented training. During the entire course, the two categories continuously alternate in terms of conduct of instruction. The faculty is responsible for planning, conducting and evaluating of the instructional programs conducted at the Academy. There are about 130 military and 20 civilian lecturers.

The Army, Air Force and Navy General and Admiral Staff Officer Course is designed to enable the Academy graduates to fulfill tasks in General and Admiral Staff duty in peacetime, crisis and war independently and responsibly. This must be done within and outside their individual services, on national and integrated NATO staffs, at levels of command from brigade to army group,

and in all staff functional areas.²⁷ The degree of desired ability is primarily oriented on the required qualifications for future assignments. The results of the instructional process are established by way of evaluation tests and are taken into consideration in the evaluation of contributions to the final evaluation by the faculty class adviser.

Additionally, some young General and Admiral Staff officers will receive staff training abroad at staff colleges of a variety of NATO and non-NATO countries. Bundeswehr officers may take part in General and Admiral Staff officer qualification only once, and reserve officers are excluded from this type of career. Voluntary participation in General and Admiral Staff training is not possible.

The General and Admiral Staff Training at the German Forces Command and General Staff Academy imparts to relatively young officers a level of knowledge which their allied comrades-in-arms cannot acquire until a later stage of their career, usually as senior lieutenant colonels or colonels. British and Canadian officers, for example, do not receive training equivalent to that of the German General and Admiral Staff officers before they attend a senior service college.²⁸ When French officers start their higher staff training, they are on the average, six to seven years older than their German contemporaries. As a result, young German General and Admiral Staff officers in their early thirties already are trained to think and act at the operational, strategic and military-politico levels.

CAREER ENHANCEMENTS

Fuchrungsakademie graduates pass through three beginner assignments. These normally include General Staff posts at brigade, division or corps level, the respective levels of command in the air force and navy, in the Ministry of Defense or in a NATO headquarters. After an assignment as a

battalion commander or in a similar position, the General Staff officer is supposed to work mainly in the staff functional area for which he is especially suited. This principle, however, is not rigidly applied. During the beginner assignments, the young General Staff officer is controlled and managed by the same section of the Personnel Management Division that is responsible for generals and admirals.

A field grade officer without General and Admiral Staff training normally retires holding the rank of lieutenant colonel. Career expectations for General and Admiral Staff officers include promotion to colonel or navy captain; however, this is not guaranteed.

THE GENERAL STAFF OFFICER AS THE COMMANDER'S ADVISER

A former director of doctrine and research at the Bundeswehr Command and General Staff Academy stated that General Staff officer training should be aimed at producing officers who are capable of occupying the position of Chief of Staff of a major formation or command agency, as the responsibilities for this assignment are representative of General Staff requirements. Therefore, General Staff training should be directed towards this objective.²⁹

This statement sheds light on the decisive peculiarity of the German General Staff officer, which distinguishes him from his colleagues of all other armed forces. He has a dual responsibility, specifically:

o As is the case in other armies, the General Staff officer relieves his commander from the technical details of staff work.

o In the German system, however, his main task is to advise his commander in all matters, and he is entitled to the commander's attention. The General Staff officer bears the shared responsibility for the relevance of his advice.

Thus the German General Staff officer has a position that makes him stand out from the rest of the staff officers. While all staff officers give advice to their senior officers, the General Staff officer additionally provides advice to his commander in all relevant matters. He has the right to urge the commander to take a decision, and the commander must listen to him. The General Staff officer is entitled to articulate diverging opinions. He is the "alter ego" of his commander; moreover, he bears joint responsibility because he is accountable for the relevance of his advice.³⁰

The first General Staff officer of a major unit or command has an especially elevated position. He actively participates in all stages of command and control. Together with his commander, he evaluates the mission, estimates the situation and develops the decision. After this process it is no longer possible to say who made the individual contributions. The commander alone, however, has the authority to take decisions on his own. Once a decision has been made, the General Staff officer loyally carries out his orders.

The following two examples from German military history are intended to underline this particularly close cooperation between commanders and their first General Staff officers: In his memoirs, Field Marshal von Hindenburg rendered a description of his relationship with his first General Staff officer, General of the Infantry Ludendorff, during World War I. He said:

> I myself have often described my relationship with General Ludendorff as a happy marriage. How can an outside observer clearly differentiate the merits of the individual man within such a relationship. Thoughts and actions merge, and the words of one man are often just the expression of the thoughts and feelings of the other one.³¹

Colonel General von Seeckt, one of the "big Chiefs of Staff" of World War I, elaborated on the same subject pointing out that before the commander made a

decision, he had to first listen to the advice of an assistant; his Chief of

Staff:

The decision is taken in private, and when the two men come out, there is only one decision. They have amalgamated it; they share one mind with each other. Should the opinions have differed, in the evening of this happy day in a military marriage the two halves will no longer know who gave in. The outside world and military history will not have knowledge of a domestic guarrel. The competence of command and control is based on this fusion of the two personalities. It does not matter if the order bears the commander's signature, or if the Chief of Staff has signed it for the High Command (today 'For the commander') according to our old custom. The commander always issues his orders through his Chief of Staff, and even the most senior subordinate leader must submit himself to his orders without objection, because his orders will always be given on behalf of the supreme commander.³²

At higher command levels only the first General Staff officer, the G3 of the brigade or the Chief of Staff, has this particularly close relationship with his commander. Younger General Staff officers, however, cooperate with their respective superiors in just the same way. They have the right and the obligation to advise them. Theoretically, every General Staff officer is authorized to approach his commander and offer him advice.

The increasing trend within the Bundeswehr is that all subordinates are supposed to give advice to their superiors. The superior officer should listen to the advice of his subordinates---when it seems appropriate.³³ Up to now, however, it is only the German General Staff officer who has had the institutionalized right to press his advice upon his superior, who, in turn, is obligated to listen prior to making his decision. This is not always very easy for commanders. Thus, the German General Staff system bears the inherent potential for strong Chiefs of Staff to dominate weak superiors.³⁴

This inherent danger is one of the reasons why it is almost impossible to explain the peculiarities of the German General Staff system to foreigners.

Allied commanders would not tolerate an officer at their side, who has the institutionalized right to give advice, even when not solicited. This would be detrimental to their understanding of authority. Therefore, German General Staff officers serving in NATO staffs often meet with a total lack of understanding when they try to force their advice on their allied commanders. Such behavior is often interpreted as insubordination.

Even the French General Staff system does not provide for a jointly responsible adviser. In his <u>Reflexions sur l'art de la querre</u>, General Serrigny, who was General Pétain's chief of cabinet during the battle for Verdun, describes the relationship between the commander and the Chief of Staff as follows:

> The general devises and directs his operations with his closest advisers including one or several tactically trained officers who take up his thoughts and cooperate in the closest way. (In France, these officers are called 'adjoints'.) The Chief of Staff is responsible for feeding resources to the battle. He immediately directs all supply operations and issues orders to the respective agencies.³⁵

The "adjoints" in the French staff system are integrated in the organization of the French commander's "Cabinet." They exclusively work for him. They are personal staff officers who supply original ideas to their commanders and fulfill functions which are done within the Prussian-German General Staff System in the General Staff officers. They are, however, no advisers to their commanders in the German sense.³⁶

ESPRIT DE CORPS OF GERMAN GENERAL STAFF OFFICERS

The traits fostered by the German and Admiral Staff training are valor and veracity, critical judgment, objectivity and intellectual versatility, personal force, self control, and sound esteem.³⁷ Although there is no General and Admiral Staff corps in the Bundeswehr, selection, special status

and ethical values within this small group of officers result in a strong esprit de corps. Former students of the Fuehrungsakademie age-classes frequently meet in class reunions. Former and active General and Admiral Staff officers are often members of the Clausewitz Society, an association that cultivates the General Staff officer tradition. General Staff officers of major units regularly hold meetings. It is a normal practice for a division's Chief of Staff to call together the General Staff officers of the brigades for the discussion of particular problems. The responsible commanders are informed later. The Chiefs of German corps staffs and service staffs work in a similar way. These meetings ensure that the German General and Admiral Staff officers possess great unity of thought. This makes them guardians of the German leadership philosophy throughout the Federal Armed Forces.

MISSION-ORIENTED COMMAND AND CONTROL

The cornerstone of the German leadership philosophy in peace and war is mission-oriented command and control (Auftragstaktik). It was developed by the Prussian-German General Staff System and has long been a command method in the German Armed Forces. In the German Army Command and Control Regulation HDv 100/100, this principle is characterized as follows:

> Mission-oriented command and control is the first and foremost command and control principle in the Army of relevance in war even more that in peace. It affords the subordinate commander freedom of action in the execution of his mission, the extent depending on the type of mission to be accomplished. The superior commander informs his subordinates of his intentions, designates clear objectives and provides the assets required. He gives orders concerning the details of mission execution only for the purpose of coordinating actions serving the same objective. Apart from that, he only intervenes if failure to execute the mission endangers the realization of his intentions. The subordinate commanders can thus act on their own in accordance with the superior
commander's intentions; they can immediately react to developments in the situation and exploit favorable opportunities. 38

The principle of mission-oriented command and control grants commanders at all levels a maximum of freedom of action. In the armed forces of German's allies the beginnings of mission-oriented command and control are recognizable. Many other armed forces have adopted mission-oriented command and control based on the German experience.

FUNCTION OVERRIDES RANK

In the Bundeswehr, the position weighs heavier than the rank. In both the Air Force and the Army, lower-rank officers are frequently superiors of higher-rank officers. This phenomenon has long been the practice with General Staff officers. In German staffs captains i.G. are often direct superiors of higher-ranking officers. This would be unthinkable in other armed forces, where function and rank must coincide. Therefore, a soldier in the American and British armed forces who is assigned to a higher position may be given an "acting rank" until he is properly installed in the higher-paid slot, or as long as he occupies the elevated position.³⁹ This procedure is not applied in the Bundeswehr. Senior non-General Staff officers often must accept working for General Staff officers who are junior in rank to them.

CHAPTER IV

HISTORICAL MILESTONES

DEVELOPMENT OF THE GENERAL STAFF CONCEPT

The Bundeswehr's General Staff system has thus far been presented under the terms of "Description," Ranks of General Staff officers and their special insignia, "Small Number," "Selection and Training," "Further Career Enhancements," "The General Staff Officer as the Commander's Adviser," "Esprit de Corps of General Staff Officers," Mission-oriented Command and Control" and "Function overrides Rank." At the center of this exposition was the illustration of the peculiarities of the German General Staff officer as the commander's adviser. This chapter is intended to illustrate the way the Bundeswehr General Staff officers see themselves and their working methods through the discussion of historical milestones.

The term General Staff has gone through various changes of meaning. In the 16th Century, it described a group of top-ranking generals. King Frederick the Great was his own Chief of Staff because officers functioning as advisers did not exist in his Army. He formed a corps of orderlies who reconnoitered the terrain and conveyed his personal orders to subordinate commanders. This corps was called the Quartermaster General Staff (Generalquartiermeisterstab). In Frederick's major formations, brigadier majors served as staff officers. They wrote reports and gathered information for the battle.⁴⁰ Napoleon's General Staff can be described as a military office directed by the Chief of the General Staff. Napoleon did not tolerate officers who interfered with matters of command and control. Marshal Berthier, his Chief of the General Staff for many years, was only tasked to pass on his orders. Thus, he did not participate in command and control

activities. Therefore, the historic roots of the Prussian-German General Staff system do not go back to Frederick the Great or Napoleon, as has often been falsely assumed.⁴¹

FORMATION OF THE PRUSSIAN GENERAL STAFF BEFORE AND DURING THE WARS OF LIBERATION AGAINST NAPOLEON

The man who created the Prussian-German General Staff was David Gerhard von Scharnhorst from Hannover. The son of a former noncommissioned officer of Schaumburg-Lippe and tenant farmer, he was born in 1755 in Bordenau near Hannover at the lake Steinhuder Meer. He received his military education and training in the school of the Count von Schaumburg-Lippe, located in Castle Wilhelmstein at the Steinhuder Meer. In 1801 he applied to the King of Prussia, Frederick Wilhelm III, for employment in the Prussian service. He received the rank of lieutenant colonel and was raised to nobility.

Scharnhorst and a group of young Prussian officers had recognized early on the sweeping changes in military affairs that had taken place in the course of the French Revolution and refined by Napoleon. They wanted to use them to good advantage for the renewal of Prussia as a military power:

o The mass armies of the wars of the French Revolution and the demands for general conscription terminated the era of cabinet wars of the times of King Frederick the Great.

o Initially soldiers driven by patriotic enthusiasm fought in the French revolutionary armies, thus differing greatly from the armies of mercenaries of the era of Frederick the Great.

o The Prussian commanders around 1800 were no match for Napoleon's military genius. The new era called for scientifically trained officers, who were supposed to support the commanders as advisers. In Prussia, however, there were not very many of them.⁴²

The General Staff dating back to the times of the Prussian King, Frederick the Great, was reorganized in 1803. General von Geusau established three brigades, each commanded by a lieutenant colonel. These lieutenant colonels were called quartermaster lieutenants (Quartiermeisterleutnants) and were supported by 18 officers holding major's or captain's rank. The new Quartermaster Staff did not have an effect on the Battle of Jena and Auerstaedt in 1806. By then, the staff's powers and methods of working had not been developed sufficiently. We can say, however, that the roots of today's General Staff officer go back to the Prussian Quartermaster General Staff of 1803.

In the fighting following the Battle of Jena and Auerstaedt, the relationship between the commander and the scientifically trained General Staff officer typically found in later German armies came into being. When General von Bluecher withdrew from the pursuing French over the Harz mountain range, Scharnhorst assisted him as an adviser. Bluecher had a very high opinion of his educated adviser and accepted his advice regarding operations and command and control. It is justified to consider this as the birth of the "commander's adviser" ("Fuehrergehilfe") of the Prussian-German General Staff system.

In 1808 the Prussian War Ministry was newly created. It was headed by Scharnhorst, who was at the same time the Chief of the General Staff. French protests forced Scharnhorst to give up his position as a War Minister. However, he remained the Quartermaster General, which was the Prussian title of the chief of the General Staff. In 1807 King Frederick Wilhelm III appointed him to head the Military Reorganization Commission to reestablish Prussia's Army and to clear it of the officers who had failed in the 1806 campaign. He was assisted by two or three Quartermasters of major general's

or colonel's rank, three to five Quartermaster lieutenants holding major's rank and twelve adjutants of captain's rank. On the whole the Quartermaster General Staff consisted of twenty-one officers. The following tasks were assigned to this staff:

o Preparation of the Prussian Army for wartime operations; and

o Preparation of the Prussian King's operations staff for wartime and support him in his capacity as the Commander in Chief.⁴³

In 1804 Scharnhorst founded an academy for young officers who had emerged from a voluntary association of young, studious officers that had surrounded him. Among them was Carl von Clausewitz, a lieutenant at that time. After the lost Battle of Jena and Auerstaedt, the Academy was dissolved. In 1810 Scharnhorst laid the foundation for the General War School (Allgemeine Kriegsschule), which was supposed to be the counterpart of Humboldt University that opened the same year. First, this school was intended to prepare two classes of officer candidates for their officer's examination and to offer young officers an opportunity to receive higher, scientific training. Later King Frederick Wilhelm III founded three War Schools for officer candidates and the General War School was reserved for senior officers. From this time forth, the school was to be an "educational establishment for all branches and was to replace those institutions that concentrate on the training of an officer for just one field of knowledge." The training objective of the General War School was stated in old fashioned language:

Although the training is tailored to teach the student the special knowledge and skills corresponding to his future assignments, great store is set by combining the studies with extended use of thinking in order to make the training of the mind the main subject of training.⁴⁴

Training at the General War School lasted three years. The school's capacity permitted the training of 50 officers who had first to pass an entrance

examination. From 1819 the Inspector General for Military Training and Education was placed in charge of the General War School. Henceforth, it was reserved for those officers who, after having acquired profound knowledge, wanted "to prepare themselves for higher and extraordinary tasks in the service," i.e., mainly for future General Staff officers. The number of officers registered for training every year was reduced to 40, and the subjects were taught in the form of lectures as in a university. For three years, the lectures were held from 15 October to 15 July of the respective year of training. In the interim, the students servel in other branches of service.

Cn 1 October 1859, the General War School was renamed the War Academy (Kriegsakademie). The War Academy was supervised by the Chief of the General Staff. The management of the Academy was split into a military directorate responsible for disciplinary affairs, and a studies directorate responsible for the scientific portion of the curriculum. General von Clausewitz, who was one of its military directors for many years, wrote his monumental work <u>On War</u> (Vom Kriege) during this assignment. Being the military director, he did not exert any influence on the curriculum of the Academy.⁴⁵ The War Academy was the precursor to the Bundeswehr's Command and General Staff Academy (Fuehrungesakademie).

The far-reaching congruence of objectives of the Humboldt University and the training at the General War School shows that Scharnhorst and his fellow reformers wanted much more than military reforms. Their activities must always be considered within the framework of the overall Prussian reforms.

o Scharnhorst, who had advanced due to his brilliant abilities, wanted to open up all positions within the renewed Prussian Army for scientifically trained officers without regard to their social background. The group of

noble leaders who dominated the Prussian Army overwhelmingly disapproved of the necessity of scientific education for the officer. Scharnhorst wanted to force them to compete for their qualifications with a new academic elite.

o However, he was realistic enough to realize that it was not possible in Prussia to do away with a system that continued to select military leaders according to class and birth.⁴⁶

He was right in his assessment. In the Prussian Army, and the Federal contingents detached to the Imperial Army (Reichsheer) after 1870, soldiers of the higher nobility commanded armies up to the end of World War I without being properly trained for this task. There were, however, some notable exceptions such as the Bavarian Crown Prince during World War I. Scharnhorst wanted to diminish the weakness of this system by providing these army commanders with General Staff officers as their advisers. This, then, served as the decisive root to support the need for a "commander's first adviser," a concept whose effects are felt to this day. The need for a trained body of General Staff officers was the result of the increase in the size of the 19th Century armies and their organization into separate divisions and corps. For both logistical and strategic reasons these formations usually marched separately and united only to do battle. The complex management of these forces required professionally trained General Staff officers. The founders of the Prussian-German General Staff pursued aims that went beyond military professional matters. The reformers' political and educational objective was a constitutional monarchy in which the best should have access to all functions and positions in the army. These new, basically middle-class qualification features were to be effective in the Prussian-German General Staff from that day on. During the 19th Century conservative Prussian officers fought against the goal of the reformers that officer candidates

should be high school or even college graduates and pass an officers' examination before graduation. They believed that by these requests, officer candidates from noble families would not be competitive with better academically trained candidates, from educated commoners' families and that the nobility would lose influence in the officers' corps. This dispute never affected the General Staff officers and their recruitment and selection by means of examinations. Thus, qualification requirements based on merit alone were accepted in the General Staff earlier than in other social strata.⁴⁷ This phenomenon must certainly be considered a further important historic milestone for the Bundeswehr's General Staff officer.

In 1813 after Scharnhorst died from a septic wound, his fellow reformer, General Neidhardt August Wilhelm von Gneisenau, was assigned as the Quartermaster General, i.e., the chief of the General Staff. Gneisenau has been recognized as the first "great Chief of Staff" in the history of the Prussian-German General Staff. He institutionalized the right of the commander's adviser to take part in command and control by advising the commander until he makes a decision. He conceded to General Staff officers of major formations the right to contact directly the chief or the General Staff in all matters of their functional areas. Not all of the Prussian military leaders agreed with the concept. General von York, for example, never wanted to accept Gneisenau's position as the first adviser to General von Bluecher. Nevertheless, good harmony mentioned earlier between Bluecher and Scharnhorst during the withdrawal from the French Army, henceforth became the institutionalized right of Prussian-German General Staff officers; namely, to advise their commanders and assume joint responsibility for their actions. This resulted in joint responsibility for commanders' decisions and the exercise of command and control of General Staff chiefs from army corps level

upwards. Up to 1938, it was an unwritten law that army corps chiefs of General Staffs were permitted to enter in the war diaries their opinions when they differed from the responsible commander's decision. From 1938 the Chief of the General Staff of Army, General of the Artillery Franz Halder, restricted this right in a sensible way, as will be proved later in this treatise. The responsibility for the relevance of his advice has remained with the General Staff officer of the Bundeswehr.

Gneisenau also became the founder of Auftragstaktik. He was the first to develop command and control by directives, thus giving latitude to the subordinate commanders for the execution of operations.⁴⁸ Subordinate commanders were for the first time issued directives expressing the intent of the Royal headquarters in terms of clear objectives, but giving only general indications of the methods of their achievement. This enabled commanders and their General Staff officers to use initiative in taking advantage of unforeseen opportunities, provided that their actions were consistent with the main objective. Thus, Gneisenau laid the cornerstone of the German leadership philosophy--mission-oriented command and control.

DEVELOPMENTS 1815-1857: CONSOLIDATION OF THE PRUSSIAN GENERAL STAFF SYSTEM WITHIN THE MILITARY ESTABLISHMENT

In the period between Napoleon's defeat in 1815 and the year 1857, when General Helmut von Moltke became chief of the Prussian General Staff, the following historic milestones are of interest to us:

In 1821 the Quartermaster General Staff was renamed the General Staff (Generalstab). Since the chief of the General Staff, Lieutenant General von Mueffling, had more years in service than the Prussian War Minister, Major General Ruehle von Lilienstern, the Prussian King separated the General Staff from the Ministry of War.⁴⁹ The chief of the General Staff, however,

remained subordinate to the War Minister and continued to be his adviser in operational matters. This separation of the General Staff from the Ministry of War was the first step to the complete independence of the Prussian General Staff.

Starting in 1817, 16 General Staff officers served in the Prussian Ministry of War and six General Staff officers worked in the main embassies. Each army corps had one chief of General Staff and two other General Staff officers. The chief of the General Staff was the immediate superior of all General Staff officers of the Prussian Army. The General Staff officers posted to the Ministry of War served in the "Great General Staff," ("Grosser Generalstab"), the General Staff officers of unit staffs were called "Line General Staff Officers," ("Truppengeneralstab"). In 1821 the General Staff officers received special uniform insignia which have been retained to the present day.⁵⁰

Scharnhorst's aim to open up the top army careers to all scientifically trained officers without regard to their social background was initiated in the General Staff of the Prussian Army at a time when the concept of selection based on merit had not gained general acceptance in the Prussian officer corps and other state agencies. This opportunity began out the careers of some outstanding officers:

o General von Clausewitz's nobility was based on a falsification by his step-grandfather. King Frederick Wilhelm III later acknowledged his nobility when the general and his brothers had attained great achievements.

o The nobility of Field Marshal Count von Gneisenau also was a falsification. The King nevertheless promoted him to Field Marshal and bestowed countship upon him.

o General von Krauseneck, who was the chief of the General Staff from 1829 to 1848, was the son of a Brandenburg organ player and had been promoted from the ranks.

o General von Rheyer, Chief of the Prussian General Staff from 1848 to 1857 was a shepherd in his youth. Owing to his brilliant military achievements he became an officer and was later given a title of nobility.⁵¹ This phenomenon is also one of the historical roots of today's General Staff officer for, within the Federal Republic of Germany, academic titles take the place of the higher status inherited by noble birth in former times. In the Bundeswehr, where the Officers Corps is heterogeneous as far as origin and education are concerned, all regular officers have to pass through the same selective procedure to become a General Staff officer. Academic education and titles do not grant any visible advantages.

THE PRUSSIAN-GERMAN GENERAL STAFF BECOMES INDEPENDENT UNDER MOLITKE AND SCHLIEFFEN

Under the command of Field Marshals Count Helmut von Moltke and Count Alfred von Schlieffen the Prussian-German General Staff developed into the highest strategic authority in Prussia and, after 1871, in the German Empire. In the end, the political forces in Germany hardly participated in its strategic planning; they were, however, informed about them.⁵² How did this development come about?

Owing to his personal merits in the campaigns against Denmark in 1864, Austria in 1866 and France in 1870-1871, Field Marshal Count Helmut von Moltke succeeded in emancipating the General Staff completely from the Prussian War Ministry.⁵³ As had been the case with Scharnhorst, who came from the Army of Hannover 20 years before, Helmut von Moltke changed from Danish into

Prussian service, because he hoped to find better career chances there. In 1857, the monarch appointed him as chief of the Prussian General Staff.

When the war against Denmark broke out in 1864, General von Moltke first remained in Berlin. According to regulations dating back to 1821, he had to submit his operational suggestions through the War Minister to the monarch. The commander-in-chief of the Prussian troops, Field Marshal von Wrangel, first had similar acceptance problems as had been the case with Gneisenau and General von York. Wrangel considered it beneath a Prussian Field Marshal's dignity to accept the advice of a chief of the General Staff.⁵⁴ The Field Marshal changed his views only when Moltke was appointed as his chief of the General Staff in the course of the campaign and directly cooperated with Wrangel. The separate deployment and advancement of four Prussian armies and the nearly successful envelopment of the Austrians near Konigsgraetz in the 1866 campaign were Moltke's personal achievement. The victory over Austria built up his reputation as a strategist. There he achieved Clausewitz's ideal of a decisive victory by means of a battle of annihilation. It was the railway network that gave Moltke the means he needed to mobilize swiftly and concentrate the Prussian conscript army. In appreciation of Moltke's success, on 2 June 1866 King Wilhelm elevated the importance of the chief of the General Staff's position. In times of war he was granted the right to issue operational orders on behalf of the King. However, the sovereign had to be consulted before vital decisions were taken. Up to that time the chief of the General Staff had only been the planner of operations, but this step entrusted him with their execution as well.⁵⁵ Henceforth he only had to inform the War Minister about his activities.

As early as the end of the 1864 War, the strength of the General Staff had to be increased because the wartime establishment of only 83 officers could

not be met. There were also plans for "raising a special budget for purely scientific purposes." Financial constraints and the War of 1866 prevented this reform.

Resulting from the conclusion of the 1866 war, the following budget for the General Staff was decided upon on 31 January 1867: The "main budget" provided for 88 General Staff officers. Besides the chief of the General Staff of the army, it included three division chiefs of the Great General Staff, officers in the Great General Staff, and the General Staff chiefs and General Staff officers of major formations. The "additional budget for scientific purposes" comprised 21 officers. Of the 109 General Staff officers, 46 were assigned to the Great General Staff and 53 were posted to the Line General Staff. The outbreak of the 1870 war showed that the wartime requirements of 161 General Staff officers was contrasted by a peacetime budget, which provided for 109 General Staff officers. At the beginning of the campaign of 1870, about 200 General Staff officers were posted to the mobile German armies. Their number was increased in the course of the war.

The phenomenon of the General Staff officers being only few in numbers already existed at the time of Moltke. The "Office of the Chief of the General Staff" was responsible for personnel management of General Staff officers and all organizational and economic affairs. The "main budget" formed three divisions which were tasked to keep track of all matters of military interest at home and abroad, plus a division for railroad matters:

o the first division was responsible for Sweden, Norway, Turkey and Austria;

o the second division for Germany, Italy and Switzerland;

o the third division for France, England, Belgium, the Netherlands, Spain, Portugal and America; and

o the fourth division worked on any related to military rail transport. The "additional budget for scientific purposes" also allowed for the organization of a war history department, a geographical-statistical studies, and a General Staff survey division.

In 1870 Moltke advanced to the battlefield with the Great Headquarters. The operations division was headed by Lieutenant Colonel Bronsart von Schellendorf, the political division by Lieutenant Colonel von Verdy du Vernois, and the railroad division by Lieutenant Colonel von Brandenstein. Moltke conducted the operations in France with only 13 Genera. Staff officers. Owing to their wartime success they were called Moltke's "demigols" within the Prussian Army.⁵⁶ He repeated his outstanding performance of 1866 by annihilating the Army of the French Emperor Napoleon III at Sedan and by breaking the French armies which tried to bring the war to an end to the advantage of the following French Republic.

The complete emancipation of the General Staff from the War Ministry took place in 1883. A cabinet order dated 24 May 1883 positioned the chief of the General Staff on a level with that of the War Ministry and the Military Cabinet. He was granted the right to contact the sovereign directly and to present his statements.⁵⁸ Moltke never had strived for this elevation of the General Staff.

After the 1870-1871 Unification War, in the course of a popularnationalist heroizing of war, many Germans came to consider the General Staff as an almost mystic powerhouse. Numerous war memorials and artist's impressions depicted the chief of the General Staff together with the "Architect of the Reich," Otto von Bismarck, Emperor Wilhelm I, the War Minister, Albrecht von Roon and the monarch and ruler of the German Empire. Field Marshal Count Helmut von Moltke had headed the General Staff for 31

years. When he stepped down from his post at the age of 88, 239 General Staff officers were serving in the Prussian Army and the Federal contingents of the German Imperial Army. This included 197 Prussians, 25 members of the Bavarian Army, 15 of the Saxonian Army and seven from the Wuerttemberg contingent. The Prussian Army and the Federal contingents included 21,981 officers and one percent of them were General Staff officers.

After the successful war against France, Elihu Root in the United States of America and General Miribel in France began to form General Staffs, which, in spite of all the national features, contained Prussian-German elements.⁵⁹

The successor to Field Marshal Count von Moltke as the chief of the General Staff was General Count von Waldersee. He occupied the post for only three years and had to resign due to disagreements with Emperor Wilhelm II. Emperor Wilhelm II appointed the General of the Cavalry, Count Alfred von Schlieffen as his successor, who held the post of chief of the General Staff from 1891 to 1906. It was under his command that the Prussian-German General Staff system reached its highest efficiency before the First World War.⁶⁰ Up to the present day Schlieffen's ideas and techniques of command and control have influenced various facets of the Bundeswehr and its General Staff training. This fact is hardly known or recognized today as will be discussed later.

WAYS TOWARDS PROFESSIONAL GENERAL STAFF TRAINING IN PRUSSIA; THE BAVARIAN APPROACH

Prior to 1870 training at the War Academy was conducted in university-like lectures. The artillery general and military writer General Prince Kraft zu Hohenlohe-Ingelfingen attended the War Academy from 1851 to 1853. In his records he assessed the General Staff training at that time as follows:

Everything was dealt with in a theoretical and scientific way. Some of the lecturers did it brilliantly and in a

fascinatingly ingenious manner, others, however, in a dry as dust, sometimes even sickening fashion. Besides a few exceptions the training was of no practical use for life and service at all. Everything remained mere theory and the blossoming life tree remained a secret to us. The teachers were not to be blamed for that, because they themselves did not know it any better.⁶¹

The students had to attend 20 hours of lectures per week. Lecturers were professors of Berlin University and General Staff officers. Nonmilitary subjects predominated. Thus the War Academy training had more the character of studies in the classical academic disciplines than that of a preparatory course for General Staff officers to come.

In 1872 the War Academy was taken from the Inspector of Military Education and placed under the Chief of the General Staff. Its organization remained unchanged. The teachers at the Academy became mostly General Staff officers from the Great General Staff who had to teach in addition to their normal duties.

Those young officers who wanted to become General Staff officers prepared voluntarily for the entrance examination. From hundreds, about 100 were accepted per year and went through a three-year course at the Academy. At the end of the course they took their second examination. Only about 30 students passed this extremely difficult test. These were then ordered (kommandiert) into the Great General Staff. After two years they had to take their third and final examination. After that, between five to eight were permanently posted to the General Staff. Most of the former "ordered" found jobs--according to their qualifications---in the higher staff service (Hoehere Adjutantur), the G1 Branch of today, which did not belong to the General Staff at that time, or perhaps as teachers in an officers school; others simply in regular line service. The extremely small number of those who were finally

posted to the General Staff is also due to the advanced retirement age during these decades.

The goal of the General Staff training was not to produce a genius, but to concentrate on the training of ordinary men who could display efficiency and common sense. Every General Staff officer had to be able, at any time, to take over the work of another and apply to it the same body of basic ideas and the same principles of operational and tactical thought. This is still a major goal of the General and Admiral Staff officer training at the German Command and General Staff Academy of today.

The long and demanding training led to a great homogeneity of General Staff officers. At the time of their acceptance into the General Staff, most of them were holding the rank of a captain; first lieutenants were the exception and required three years of commissioned service with the troops. Then, as is the case today, the General Staff career began generally in the Line General Staff, at division and army corps level. After a line command as a company commander, the General Staff officer was usually posted back to the army corps level. Afterwards, line commands alternated with assignments in the Great General Staff and the Line General Staff.

Refusal of entrance into General Staff training at the War Academy did not rule out a later call to the General Staff. It was possible for line officers without academy training to be ordered to the General Staff due to extraordinary achievements in a line command. Officers such as Field Marshal von Mackensen, and General Colonel von Einem, among others, became General Staff officers without this training. They were, however, rare exceptions. Here we see the origins of the Heusinger Directive of 1959 which offers the same opportunities for officers who have not been given General Staff officers training. In the old system, however, officers without academy training had

to take the final examination after two years. This procedure was increasingly waived after the turn of the century because a sufficient number of War Academy graduates was available.⁶²

The reorganization of General Staff training into a more technically oriented training under Moltke and Schlieffen has been criticized by some historians. In addition, critics, often reproached Schlieffen's General Staff training for not having been sufficiently training-oriented. In addition, Schlieffen was criticized for involving young, future General Staff officers in map exercises at a very high level for which they were not senior enough due to age and experience. It was claimed that operational aspects had been stressed too much and technical details were totally neglected. It is simply the nature of General Staff training to be the subject of continous criticism. It is quite interesting in this context to note how the qualification profiles of General Staff officers of the times of Schlieffen resemble those of the Bundeswehr as far as the military-technical knowledge is concerned.

After its defeat in the war against Prussia of 1866, Bavaria established its own War Academy and retained General Staff training of its own after the foundation of the German Empire in 1870. The number of general knowledge subjects in the Bavarian General Staff training was greater than was the case at the Prussian War Academy in Berlin. The lectures were given by Munich University professors and General Staff officers, for whom teaching was the main profession. In contrast to the situation in Prussia, the chief of the General Staff and the War Academy were subordinate to the Bavarian Ministry of War, which set great store by foreign language instruction. While graduates of the Berlin War Academy were ordered to the Great General Staff and were immediately tasked with finding solutions to practical problems, their

Bavarian counterparts first passed through a further, two years of centralized training which provided them with an understanding of theory in the sciences of war. A comparison of both academies' curricula shows that Bavarian General Staff training was oriented more strongly toward producing General Staff officers educated on a broad, scientific basis. The speculation of high level Bavarian officers and some Bavaria-loving historians after World War II supporting the claim that War Academy training in Munich had been generally superior to that of Prussia must be considered with (aution. Munich did not deal with tasks associated with the defense of the German Empire, and the Berlin War Academy graduate working in the Great General Staff gained a faster insight into the general context of war planning than his Bavarian counterpart. Furthermore, some young future Bavarian General Staff officers were ordered to the Great General Staff in Berlin after they too had successfully graduated from the Munich War Academy. In spite of all the Bavarian attempts for independence, in the end there was an underlying orientation of the Bavarian General Staff officers towards Prussian conditions due to the emperor's command, which was not to be misunderstood. Seen in this light, the emphasis on fundamental differences between the two systems appears artificial. The great number of able Bavarian General Staff officers who held high-level positions in the army after the First World War and in the Wehrmacht showed that the Bavarian General Staff officers were highly qualified.64

Before the outbreak of World War I, 625 officers served on the General Staff of the German Army which included 270 officers who were commanded or detached to the General Staff. Out of a total of 352 General Staff officers with a normal peacetime career, 295 came from the Prussian, 34 from the Bavarian and 23 from the Saxonian Armies. Only the Bavarian General Staff

officers had been trained in Munich; all the others were instructed in the Berlin War Academy. The officers corps of the army of the German Empire had a peacetime strength of 36,693 officers, with 625 being General Staff officers amounted to 1.7 percent of the total officer corps. One hundred thirteen General Staff officers were employed in the Great General Staff. In 1914, France had available 950 graduates from the "Ecole Supérieure de Guerre," the French General Staff Officer's School. The Austrian Army included 500 General Staff officers while the Russian Army had 1,000; but their function and independence were not comparable to those of their German opponents.⁶⁵ Under Schlieffen and his successor, Colonel General von Moltke, who was a nephew of the Field Marshal and later became chief of the General Staff in 1906, General Staff officers already showed a great portion of the characteristics still evident in the Bundeswehr of today:

o selection and special training;

o small number;

o main function as the commander's adviser;

o work according to the mission-oriented command and control principle; and

o special uniform insignia.

The General Staff officer of the year 1914 wore on his 1910 field uniform crimson pipings or trouser stripes and a crimson stripe at the collar. In 1915 the crimson collar patches for General Staff officers' field uniforms were reintroduced as well. Generals, however, stopped wearing the General Staff officer collar patches, and have worn the gold embroidery on a flaming red background up to the present day.⁵⁶

WRONG WAYS: THE GENERAL STAFF'S OVERWHEIMING STRATEGIC PLANNING AUTHORITY AND ITS IMPACT ON THE POLITICAL LEADERSHIP

The emancipation from the War Ministry of the General Staff and the right to consult the monarch directly, which had been granted de facto to Moltke as early as prior to 1883, led to a coexistence of military planning and political activities. This caused friction between Moltke and the Prussian Chancellor Otto von Bismarck in the wars of 1866 and 1870-1871 and required the intervention of the monarch. When Field Marshal Count von Schlieffen planned to employ the bulk of German forces at the outbreak of war first in the west, politicians reluctantly---if at all---participated in the planning process. By accepting this, the German Emperor Wilhelm II and the political leadership de facto invested in the Chief of the General Staff the power of a military dictator. This was contradictory to General von Clausewitz's axioms on the relationship of political and military powers in the process of policymaking and command and control in times of war. In his work, "On War," Clausewitz had stated in this context:

> . . . war is simply a continuation of political intercourse, with the addition of other means. . . . war in itself does not suspend political intercourse or change it in something entirely different. . . . war cannot be divorced from political life; and whenever this occurs in our thinking about war, the many links that connect the two elements are destroyed and we are left with something pointless and devoid of sense. . . . if war is to be fully consonant with political objectives, and policy suited to the means available for war, then unless statesman and soldier are combined in one person, the only sound expedient is to make the commander-in-chief (i.e., the Chief of the General Staff in the German system) a member of the Cabinet, so that the Cabinet can share in the major aspects of his activities.⁶⁸

Emperor Wilhelm II, unlike his grandfather Wilhelm I, the last German monarch, was not strong enough in leadership to give his chief of the General Staff a position in the Clausewitzian sense, that is to say, under political control. This failure would result in fatal consequences for Germany in World War I.

THE GENERAL STAFF IN WORLD WAR I

The study of historical milestones reveals two striking characteristics of General Staff in the First World War that have not been repeated since:

o In the course of the First World War, the General Staff became the strongest political power in Germany. The 3rd Supreme Army Command (Oberste Heereleitung) under Field Marshal von Hindenburg and his first Quartermaster Jeneral, i.e., his first General Staff officer, General of the Infantry Ludendorff, not only directed the operations at all fronts, but also increasingly determined the political events in the German Empire. This phenomenon does not represent a remarkable historic milestone for Bundeswehr officers, since it is contradictory to the relationship of the political and military powers as described by Clausewitz.⁶⁹ Sheer military virtuosity cannot compensate for the lack of political direction and national strategic objectives, and matter generals need to win a war.

o The second characteristic lies in the fact that the chief of the General Staff of the 2nd Supreme Army Command, General of the Infantry von Falkenhayn, and after him General Ludendorff, the 1st Quartermaster General of the 3rd Supreme Army Command, went too far with the concept of the commander's adviser by putting him above the responsible military leader. In the literature this process is called the "Chief System."

As has already been discussed, the Prussian German General Staff system encourages a powerful adviser to the responsible superior. It was necessary to appoint strong personalities as chiefs of the General Staff of World War I army commanders of high nobility. They in fact commanded the armies of the princes. This had been the expressed wish of Emperor Wilhelm II.⁷⁰ In the

course of World War I, Generals von Falkenhayn and Ludendorff extended the powers of the chiefs of General Staffs and increasingly dealt directly with them, and not with their responsible commanders. The Supreme Army Command increasingly called the first advisers to account for mistakes in the command and control of major formations, and not the commanders in chief of the army groups and armies. So-called "super chiefs" like Colonel von Lossberg, Colonel Bauer or Colonel von Seeckt were employed in every theater of war in critical situations. Their predecessors were simply removed from their posts and the Supreme Army Command did not always inform the respective commanders of this move in advance. The rank of the "super chief" was not important at all. The memoirs of Colonel General von Einem contain pertinent examples for the "Chief System": The former Prussian War Minister commanded the 3rd Army from the end of the 1st Marne battle in September 1914 to the armistice of 1918. During this tive the Supreme Army Command replaced five of his chiefs of the General Staff. The commander-in-chief had never been consulted beforehand. The ranks of the chiefs of General Staff varied from lieutenant general to major.⁷¹ General Colonel von Einem wrote in his memoirs that he had been upset, deeply hurt and angered about this behavior of the Supreme Army Command. Any other consequences of the army commander in chief are not known. It is quite obvious that the World War I army commanders accepted the "Chief System," even though it was detrimental to their authority.

Another case in point is that army commanders accepted orders of General Staff officers holding considerably lower ranks: Lieutenant Colonel Hentsch, who had been sent to the German armies in the 1st Marne battle by the Supreme Army Command, gave the order to break off the battle in September 1914. The "Chief System" paralyzed the indivisible responsibility of high-ranking military commanders.

Here we have another historic root of today's General Staff officers: Function overrides rank. Orders issued by junior General Staff officers "for the commander" must be executed.

THE GENERAL STAFF IN THE GERMAN "REVOLUTION" OF 1918

In the revolutionary confusion of 1918, the General Staff and the Prussian Ministry of War remained the only organizations able to bring back the armed forces and to reestablish order in the German Empire. On 9 November, the chairman of the Council of People's Representatives (Rat der Volksbeauftragten), Friedrich Ebert, called on the General Staff to assist in the fight against Bolshevism and to bring the army back home.⁷²

This alliance between the Social Democrats around Friedrich Ebert and the General Staff accounts for the fact that its reputation remained untouched in spite of the military defeat in the First World War.

THE GENERAL STAFF AFTER THE TREATY OF VERSAILLES, 1920-1933

The Treaty of Versailles banned the Great General Staff and the War Academy, but not the Line General Staff. The army of the German Empire called "Reichswehr," comprising 100,000 soldiers and 4,000 officers, was subordinate to the Reichswehr Minister, who, in turn, was responsible to the Parliament. It was by his order that the chief of the Army Command (Chef der Heeresleitung) exercised command and control. Thus the Minister wore two hats: he was commander-in-chief and chief of the General Staff rolled in one. The first chief of the my Command, General Colonel von Seeckt succeeded in retaining largely unnoticed by the victorious powers the Great General Staff in the Armed Forces Office of the Reichswehr Ministry. The Armed Forces

Office (Truppenamt) looked after the classical tasks of a General Staff. From 1919 to 1920 it was headed by Seeckt, before he assumed the position of chief of the Army Command. Sixty officers, mostly General Staff officers, served in the Armed Forces Office. Line General Staff officers were employed in the major formations. For purposes of deception, they were called "Commander's staff officers" ("Fuehrerstabsoffiziere"). The special uniform insignia of the General Staff officers were maintained. Several sections of the Great General Staff itself were dispersed among the civil ministries. The Topographical Section, for example, went to the Ministry of the Interior, the Railway Section to the Ministry of Transport, and the Military History Section disappeared into the new Imperial Archives (Reichsarchiv).⁷³ In a directive on 18 October 1919, General von Seeckt showed that the General Staff Corps of the Reichswehr would uphold old traditions and set new standards of efficiency. He stated:

> I expect every General Staff officer to ensure that by unremitting effort he acquires the highest possible degree of military ability and exerts upon the entire army an exemplary, inspiring and stimulating influence. Steadfast in concern for the troops . . . it will be his aim to make of them not only a reliable pillar of the state, but also a school for the teachers and leaders. (The General Staff officer) . . . must stand above parties and factions. Only then we shall have our hands and our hearts free for work embracing the whole people.⁷⁴

General von Seeckt broke new ground for the training of new generation officers: Every Reichwehr officer had to take part in military district examinations. The best ten candidates then underwent a two-year training course for "commander's staff officers" ("Fuehrerstabsoffiziere") in the group commands. In this way General von Seeckt successfully tried to compensate for

the lost centralized training facility of the War Academy. In the third year of training, the officers attended an obligatory training course in Berlin. Applied tactics was regarded as the most important subject of the military district examination. It also included papers on tactical theory, weapons, field craft, engineering and eight general subjects including a foreign language. Three or four problems had to be answered in a period of six to ten hours. They were usually based on the tactics of an infantry regiment reinforced with elements of other arms, and involved the presentation of the regimental commander's estimate of the situation and his orders to follow. Together with his examination results, the character of each candidate was assessed from the annual reports of his superiors.

The process of selection extended throughout the three years of training. Of approximately 70, only some 15 went to the third year's course. It ended with a two-week tactical field exercise which was passed finally by eight to ten students. The objective of the program was to train assistants for the senior field commanders and the central command structure, and to produce officers to be advisers, assistants and executors of leaders decisions.⁷⁵ The curriculum was much broader in scope than in the prewar War Academy. The Bundeswehr today maintained obligatory participation by all officers in a selective training course. Since that time, one cannot apply directly for general and admiral staff training in Germany.

THE GENERAL STAFF IN THE "THIRD REICH," 1933-1945

When Adolf Hitler came into power, many General Staff officers hoped he would reestablish the Great General Staff with its former powers. The General of the Artillery, Ludwig Beck, who was the chief of the Armed Forces Office

from 1935, wanted to reintroduce the right of direct consultation of the head of state. This wish turned out to be an illusion in the Hitler state. In the Reichswehr, the chief of the Armed Forces Office ranked only fourth in the hierarchy after the Reichswehr Minister, the Ministry Office and the chief of the Army command.

From 1935 there were four top-level staffs of the Wehrmacht which tended to General Staff tasks: The Wehrmacht Operations Staff - Hitler's personal working staff; and second, the Army General Staff under General Beck. Coming from the Armed Forces Office, he personified the heritage of the old General Staff. Third, the Air Force General Staff was newly formed. And finally, the Navy High Command with its chief Admiral of Warfare formed the Wehrmacht's fourth operations staff. The divisions of the newly formed Army General Staff were headed by five Senior Quartermasters. In 1939 the German officer corps comprised 25,000 men, 500 of which were General Staff officers.⁷⁶

General Beck transformed the Armed Forces Office (Truppenamt) into the Army General Staff. He had the question of joint responsibility painstakingly and critically examined. The excesses of Ludendorff's "chief system" and the times of the princely commanders in chief were gone forever. The results of the examination showed that the right to joint command and control responsibility of chiefs of staff of high level commands had never been laid down in written form, but had been passed on orally, as had been the case with many institutions and working procedures of the General Staff. It was proposed to the chief of the Army General Staff to state in the "Manual for the General Staff in Wartime" that the military commander alone was accountable for his area of responsibility.

The traditionalist Beck declined this proposal, because he did not want to give up an institution which had proved successful for so many years and had

been used repeatedly by Moltke. His successor, General of the Artillery Franz Halder, explicitly dropped the joint responsibility of General Staff officers for command and control when the new manual for the General Staff in Wartime was written, for he considered it outdated. He decreed that the commander alone was responsible externally and internally, and that the General Staff officer had to take a share in everything and deal with the problems as if he had to bear the responsibility himself. However, the General Staff officer would only be internally responsible.⁷⁸ This resulted in the Bundeswehr General Staff officer of today having joint responsibility and accountability for the relevance of his advice. The former "General Staff channel" was thus reasonably restricted and took into consideration that most of the top-level military leaders of the Wehrmacht before World War II were General Staff officers.

Army General Staff officers retained their special insignia. Officers of the Armed Forces High Command (Oberkommando der Wehrmacht) received golden collar patches. Air Force General Staff officers wore the Air Force collar patches on crimson cloth. The Navy did not introduce special insignia for Admiral Staff officers.⁷⁹

From the beginning of his work as the chief of the General Staff of the Army, General Beck had to deal with many officers who were enthusiastic about National Socialism and demanded the "political soldier." The later chief of the Wehrmacht operations staff, General Alfred Jodl, demanded the abolition of the advising and jointly responsible General Staff officer. He and other officers took the view that, in the modern "Fuehrer State," the General Staff could only play the traditionally prominent role as a planning and training staff in peacetime, but would not be required as a leadership body in wartime.

Furthermore, they claimed that in wartime the "Fuehrer Principle" had to take full effect and the General Staff officer's work was merely to assist the leaders in the planning and execution of operations, and independence had to be ruled out.⁸⁰ and a second of the second second

On 15 October 1935, the 125th anniversary of Scharnhorst's General War School, the War Academy was reopened in Hitler's presence. The major address was given by War Minister, General Colonel von Blomberg. He praised Scharnhorst as the founder of the German General Staff and of the War Academy, and as a revolutionary who had established "the unity of the people, the state and the armed forces." The parallels between the revival of Prussia after its humiliating defeat at the hands of Napoleon in 1806 and the revival of Germany after the defeat of 1918 were enthusiastically stressed throughout Blomberg's speech. General of the Artillery Beck, the next speaker, also drew from history for this theme when he outlined the objectives of General Staff training. Some people were of the opinion that he wanted to point out the main differences between the General Staff officer as developed by Scharnhorst and Hitler's idea of the soldier within the "Fuehrer State" in the presence of the "Fuehrer" himself.

Beck said, among other things:

. . . As the recognition of a correct thought does not always automatically mean the adherence to it, I would like to point out on the occasion of today's anniversary, too, that the transition from knowledge to skills, to the free, creative activity on a scientific basis, which is the case with a high level military leader, necessitates as its foremost prerequisite the education and training of the mind by means of the sciences of war. To grasp and deal with the connections of military problems profoundly by applying systematic brain work, step by step, . . . required careful studying and practice. Nothing would be more dangerous than to follow erratic, incomplete ideas, however prudent and ingenious they may appear, or carry on the basis of wishful thinking, however fervent it may be.

We are in need of officers who systematically proceed on the way of logical reasoning to the end, whose character and nerves are strong enough to do what their reason dictates. . . .81

In 1938 General Beck resigned from his office in protest of Hitler's political activities against Czechoslovakia. Later on he was one of the leaders of the uprising against Hitler on 20 July 1944. Beck committed suicide on the evening of the unsuccessful revolt. For all time does he exemplarily represent the responsible and intellectual General Staff officer who only followed his conscience and sacrificed his life in the revolt against the criminal dictator Hitler when he had recognized that only the dictator's death would save Germany from total destruction.

In 1936 about 1,000 officers assembled at the military district headquarters to take the compulsory examination for future field grade officers. Out of these, about 150 entered the War Academy. In order to increase the output of the Academy between 1933 and 1937 the course was reduced to two years. The primary aim of the newly structured General Staff course was to train General Staff officers as advisers and assistants to major unit commanders or as members of the central command apparatus of the General Staff of the Army. The new course was not designated to train future senior commanders, nor to provide staff officers for Wehrmacht interservice or ministerial appointments.

Students were assessed by their tactics instructors throughout the course. There was no final examination. Borderline cases were, however, closely watched by their senior instructors. Candidates who did not qualify for General Staff appointments were usually sent to the War Ministry or became senior adjutants or tactics instructors in military schools. Those who qualified went to a "probationary period" ("Probezeit") of up to 18 months in

a General Staff appointment. When this was successfully completed the candidates were entitled to add the "i.G." to their military rank and to wear the insignia of the General Staff officers.

The qualities sought, in addition to military competence and knowledge, included quick mental perception, the ability to think logically, swiftness in decisionmaking; insight for essentials and for coherence, the ability to be creative and not to cling to regulations.

In the battles of World War II, the German General Staff officer proved once again his exceptional skills and knowledge. During the campaigns in Poland and France, the chief of the Army General Staff still directed the successful operations to a great extent independently. This changed when Hitler increasingly interfered in the command and control of the operations. In the course of the war the Army General Staff remained responsible for the campaign in Russia under Hitler's direct command, the Wehrmacht High Command (Oberkommando der Wehrmacht) was responsible for the war theaters and occupied territories of Norway, Finland, Africa, France, Belgium, and the Netherlands and for the replacement army.

Throughout World War II the German High Command suffered from the serious rivalry between the Wehrmacht High Command and the Army General Staff. Both staffs were drawn from the ranks of the General Staff Corps, and the rivalry between them was not initially over the question of support of Hitler's policies, but over the problem of the control of the Wehrmacht in war. The Wehrmacht High Command never assumed the role of a joint command over the services. Hitler failed to develop the Wehrmacht High Command into a functioning Wehrmacht General and Admiral Staff.

Many General Staff officers participated in the attempted assassination against Hitler on 20 July 1944, and took the bitter consequences which

included penal liability of their whole families or executions by shooting or hanging, which were inflicted on them by the sentences of the People's Court (Volksgerichtshof). The aftermath of 20 July 1944 shattered the General Staff officers. Over 60 General Staff officers were arrested. The loss of many General Staff officers, including 24 hanged and 16 suicides, added to the heavy casualties suffered by the General Staff, which by the end of 1944 reached 166 in action, 10 sick and 143 missing.⁸²

Unlike many other professional groups in Hitler Germany, many of the best General Staff officers participated in the "revolt of conscience" against the dictator and followed their code of ethics which ruled out tyranny and crimes. The German General and Admiral Staff officers can be proud of this heritage.

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CHAPTER V

EFFECTS AND WAYS, DEFICITS AND DEMANDS

THE HERITAGE

In his farewell speech before the graduates of the General and Admiral Staff course in 1982 the Chief of Staff, Federal Armed Forces, General Brandt, by referring to his 1980 speech said:

The importance of General Staff training derives from the requirements of General Staff service with its multifaceted tasks, which, in principle, have not changed since it was established 200 years ago.⁸³

'Thus, General von Seeckt's maxim, which he had communicated to the General Staff officers in 1919 after taking over the post as the chief of the General Staff when the stipulations of the Treaty of Versailles were not yet in effect, is still valid today:

The form changes, the spirit remains the same. It is the spirit of silent, unselfish performance of duty in the service of the armed forces. General Staff officers have no name. 84

It is hardly possible to describe the past and contemporary history of German General Staff officers in a more precise way.

The far-reaching political and educational approach of General von Scharnhorst and the timelessly valid statements of General von Clausewitz on the interrelationship of political and military power rule out the unpolitically sensitive General Staff officer. A high degree of professionalism and the performance-oriented selection procedures for General Staff officers were effective from the beginning of the 19th century at a time when the leading positions in the armed forces and the civil service were mainly filled according to criteria of class and birth. In Prussia, and after the foundation of the German Empire in 1870, it was a small group of officers who developed at the beginning of the industrialization working methods and

operational-tactical views that are still valid in today's Bundeswehr, which has just crossed the threshold to the computer age.

Since the days of Field Marshal Count Helmut von Moltke, the German axioms of military leadership have always been implemented in directives and later on in regulations. Their development can be traced back without interruption. Fortunately, the chiefs of General Staffs of Prussian-German armed forces were very often masters of the German language. An chalysis of German command-andcontrol regulations shows that the views and formulations of the Field Marshals Count von Moltke and Count von Schlieffen, General Ludendorff, and of the Colonel Generals von Seeckt and Beck, continue to have a tremendous effect on the aforementioned September 1987 Army Regulation HDv 160/100 "Command and Control of Armed Forces." The references to the past experience is clearly perceptible in many passages.⁸⁵ The chapters "Military Command and Control" and "The Operation," as well as fundamentals in the chapters on "Types of Combat" have many passages taken almost directly from the tactical and operational views of these officers. The following examples are intended to illustrate this phenomenon:

o The nature of command and control of armed forces as developed in German military history was first formulated by Moltke and is described in Paragraph 601 as follows:⁸⁶ "Command and Control of armed forces is an art, a creative activity based on character, ability and mental power."

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o Paragraph 609 contains another credo of Moltke and his successors:

Resolute action is a must in war. . . . Commanders who merely wait for orders cannot seize favorable opportunities. They must always keep in mind that indecision and the failure to act might be just as fatal as action based on a wrong decision.

o The requirements of modern leadership based on the experience of German military tradition are described in Paragraphs 616-625. Matter of course

obedience, discipline and courage, mutual confidence of commanders and subordinates and the necessary comradeship between the soldiers of all ranks are postulated as the bonds of soldierly togetherness. Great emphasis is placed on the commander's unwavering care for his men. As was discussed above, mission-oriented command and control is the fundamental operating principle and rules out routine and bureaucratic command in the military community.⁸⁷

o Numerous expositions of the HDv 100/100 on the allocation of forces in the enemy's flanks and rear, on deployment and reconnaissance, that is to say on operations, reflect Field Marshal Count von Schlieffen's operational concepts. They can be read in his writings which include the concise "Cannae Essay."⁸⁸

o The German tactical principles of the types of combat gc back to the regulations of the Supreme Army Command of 1917-1918, which were elaborated on General Ludendorff's order. Examples are the "Defense in Position Warfare" and the "Attack in Position Warfare."⁸⁹

o The Army Command Regulation of 1933 HDv 300/1, "Command and Control of Armed Forces" shows many parallels to the operational and tactical views that are still valid today.⁹⁰

All this illustrates that the German views of military leadership are deeply rooted in the past. They were developed by generations of General Staff officers and tested in Germany's wars. Bundeswehr General Staff officers have made sure that the experience of past wars has been put in an up-to-date mold for our time. They continue to have an effect on the present. Before this background, the Bundeswehr's General Staff officer can look back on a tradition and heritage he can be proud of, and which affects his everyday military life in a multitude of forms. His mission is to preserve this

heritage and to make sure that it is permanently adapted to the changing environmental conditions of our time through his respective superiors.

A FALSE FRONT DISCUSSION: ATTEMPTS TO ABOLISH THE BUNDESWEHR GENERAL STAFF OFFICER TRAINING

From today's point of view, it is understandable that the victors of both World Wars banned the Great General Staff and the War Academy and accused the German General Staff, together with the Armed Forces High Command at the Nuernberg Trials. During the World Wars, they had a bitter firsthand experience of the quality of German General Staff officers. Against this background another phenomenon cannot be understood. In the book <u>The General</u> <u>Staff in the Process of Change</u> (Generalstab im Wandel) Brigadier General Hansgeorg Model and Lieutenant Colonel Jens Prause described how the "Education Commission of the Minister of Defense" created in 1969 by the then Minister of Defense, Helmut Schmidt, had tried to reduce Bundeswehr General and Admiral Staff officer training to 5-12 months. The Commission was supported by politicians of that period who had been committed to the equal opportunities of Line and General Staff officers and had fought the traditional General Staff training overwhelmingly for that reason. This move would have virtually eliminated the German General Staff officer.

From today's perspective, it appears incomprehensible that the principle of equal opportunity and the neo-Marxist crusade against any "elite" would have almost been successful.⁹¹ Obviously, it hardly played any role in the discussion that the reduced quality of the training of young German General and Admiral Staff officers would have possibly caused a loss of German influence in NATO staffs. There, as was shown, the operational planning for the German armed forces is executed.
Generations of German officers in General Staff and Line appointments have in NATO staffs gathered experience as equal partners and superiors, have been shaped in their characters and have in turn influenced their allied comradesin-arms. Up to now, no scientific study has been available on how professional unity of German and allied soldiers in everyday duty and exercises has contributed to the consolidation of the security-political infrastructure of the North Atlantic Alliance; nor has the effect of this unity been established on the Federal Armed Forces. It can be assumed, however, that the shaping by NATO had and is having a profound effect on the Federal Armed Forces. The cooperation with fellow soldiers of different armed forces has given many Bundeswehr officers stability in times of uncertainty and crisis of their self-image. Above all, it has contributed to the fact that the Bundeswehr General and Admiral Staff officers of today are cosmopolitan and move less in the narrow national paths than their predecessors.

Many German General and Admiral Staff officers have introduced original German approaches and ideas into the NATO Alliance. Thus, they have considerably influenced the tactical-operational opinions as well as the leadership training of their allies. In NATO they have experienced that tolerance and mutual respect determine the working climate within an international environment. This network of relations would have been jeopardized by less qualified German General Staff officers in the NATO headquarters.⁹² The discussion about justification and future of Bundeswehr General and Admiral Staff officer training has not been surfaced again.

CHALLENGES

Today, more than ever before, it is a necessity for General and Admiral Staff officers to deal with both technical matters and their own special

position within the German officer corps and the Atlantic Alliance. The revolutionary developments in the former "German Democratic Republic" and the eastern countries since autumn 1989 have created a volatile security-political situation. They have questioned everything that has been valid up to now in the East-West confrontation, the present security structures and precautions. Even hitherto it has been difficult enough for the "commander's adviser" in the Federal Armed Forces to comprehend and put in its proper place security policy as a complex array of diverging, continuously shifting forces and factors within the overall framework.

Many questions are rising today which require the General and Admiral Staff officer's innovative participation. The form r "National People's Army" is being integrated into the Federal Armed Forces. A new European-North American security architecture is being developed. Many of its parameters are still uncertain. The NATO heads of state and government have tasked the military to develop a new military strategy which is to reflect the force reductions which were agreed upon at the Conference on Security and Cooperation in Europe of November 1990 and the reduced threat in the Central Region.

In view of these developments, the challenges of today's General and Admiral Staff officers have increased considerably and will continue to do so in the future. Besides coping with his everyday tasks, the "commander's adviser" must take pains to analyze and actively reevaluate the shifting security-political phenomena of our time.

THE NEED FOR CONTINUOUS SELF-EDUCATION AND HIGH PROFESSIONAL ETHOS

This touches upon the problem of the education and training of young General and Admiral Staff officers to be advisers of their commanders. The ignorance of many Bundeswehr officers about the peculiarities of the German

General Staff officer frequently causes friction where General Staff officers are employed as superiors of older staff officers. One often meets with the opinion that young General Staff officers have the moral duty to greater diligence in the office than other staff officers due to their better career prospects. It is often overlooked, however, that the junior General Staff officers must first be educated and trained as their "commander's advisers." This can only be successful if they are not only employed as particularly hard-working staff officers, but rather frequently get the opportunity to practice advising their superiors. Acting as deputies for their commanders, they learn the interaction of the staff functional areas.

Many a young General Staff officer, however, is not always sufficiently conscious of the fact that the Command and General Staff Academy can only teach him how to train and educate himself in his preparatory assignments to become a "commander's adviser." The graduate of the assignment-oriented General and Admiral Staff course has not yet concluded his training and education. It is only in his following assignments in units, staffs and commands, the Federal Ministry of Defense and NATO that he is molded according to his professional image. This requires his own initiative. He has to go through a demanding self-educational process.

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Critical observers of the Federal Armed Forces increasingly point out the fact that quite a few young General and Admiral Staff officers strive to follow certain career patterns which are designed to prese as little offense as possible and to agree with their superiors' opinions in order to receive the best efficiency reports, thus proceeding easily up the career ladder. "Streamlined" and adaptable General Staff officers, however, are inappropriate, for they are unable to fulfill their main task of advising their commanders and urging them to make decisions. Here, senior General

Staff officers are required to exercise an influence on the molding and education of junior General Staff officers. In doing so they must also explain the particularities of a "commander's adviser" to other staff officers and support the junior General Staff officers. It would be unacceptable if they did not tend to this task, for otherwise there may be unnecessary disagreements or unrest in the staffs.

GENERAL AND ADMIRAL STAFF TRAINING IN THE FUTURE

It is uncontested at present that the two-year General and Admiral Staff training is indispensable. It was discussed that General and Admiral Staff assignments in the Federal Armed Forces and in NATO are becoming increasingly complex, and go beyond the classic areas of responsibility in the tactical and operational fields. The curriculum at the Command and General Staff Academy must take this into consideration. More than ever before it is influenced by the rapidly changing military-political surroundings, by the developments within the reunited Germany, and by the daily practical cooperation in NATO staffs as well as by joint exercises with Germany's allies.

All this and the fact that an increasing number of students of the General and Admiral Staff training courses have a university education and are holding master degrees--more than 90 percent of the course that ended in October 1989--makes the old dispute, whether General Staff training should be more cechnically or rather broadly, scientifically oriented, unnecessary.⁹³

CHAPTER VI

SUMMARY AND RECOMMENDATIONS

When talking with allied officers one very often hears the argument that the Prussian-German Staff system weakens the authority of the commanding officers because of the strong position of the German General and Admiral. Staff officers. Others believe that the cohesion of an officer corps is lessened when most of the high staff jobs and commanding officers' positions are reserved for a specially trained group of officers.

The founders of the Prussian-German General Staff system wanted to increase the quality of command and control skills of commanding officers from the higher nobility with insufficient military training by providing them with General Staff officers as their advisers. Before the outbreak of World War II, the chief of the Army General Staff, General of the Artillery Franz Halder, explicitly dropped the joint responsibility of General Staff officers for command and control. However, they were responsible and accountable for the relevance of their advice. This restriction was justified because most of the top-level military leaders of the Wehrmacht were General Staff officers. Neither in the Wehrmacht nor in the Bundeswehr have high ranking leaders ever felt their authority to be limited by their General Staff officers. They have always considered the General Staff system a tool to increase their command and control authority. The few General and Admiral Staff officers who work within their formations make it sure that their decisions are executed in the best way possible and professionally tailored to the requirements of the respective levels of command and control. Their qualified staff work makes it possible for commanding officers to concentrate on main efforts in the fields of military education, training and command and control in battle. Qualified advice during the whole decisionmaking process by General and Admiral Staff

officers improves the quality of their final decisions. The commanding officers are to listen to their General Staff officers' advice. Since most of them are former General Staff officers themselves, they have no problems with this procedure and expect their General Staff officers to advise them. This cooperation, which was characterized as "military marriage," ensures that decisions are not based on wishful thinking but on qualified reasoning and thought. German commanding officers without General Staff officer training learn very quickly how the system works and use it to their benefit.

It is stressed again that no General and Admiral Staff officer is entitled to relieve his commanding officer from making a decision by his own and to develop the concept of operations. For both of these, he is alone responsible. Perhaps it can be said that the German-Prussian General Staff system permits the commanding general to make decisions more effectively. This is his most important task. It is felt that many allied armed forces still overestimate the role and function of commanding officers who make decisions without any advice, only based on their operational and strategic genius. Every insider knows that this is pure fiction. Commanding officers of today rely more than ever before on advice and proposals made by their subordinates. Military planning and command and control have become too complex to be handled by the leader on the top alone. In this light it seems to be an archaic facade if one maintains this fiction at all costs. It is therefore recommended that other armed forces find out how they can benefit by introducing the "commander's adviser" into their systems.

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Another future development supports this recommendation. When German General and Admiral Staff officers came into the NATO headquarters, they were confronted by the following situation: The working methods were wellestablished and were more or less a copy of the staff procedures of those

partners who dominated the respective headquarters. Still today, the headquarters SHAPE and CENTAG follow staff procedures of the United States Armed Forces, whereas NORTHAG is British dominated. In the Headquarters AFCENT in Brunssum, the Netherlands, a mixture of American and British staff precedures can be observed as well as some relics from the period when the commander-in-chief of the Central Region was a French general. The German newcomers willingly accepted the working methods in the respective headquarters. Although the German General and Admiral Staff officers have gained and exercised influence within the NATO headquarters, they have never tried to introduce there elements of the Prussian-German General Staff system. This has never been considered to be a major problem, because their number was small, and since they always found ways to come along with the staff system they had to work in. The mission of the NATO heads of state and government of June 1990 to develop a concept for multinational corps for the future defense of the Central Region has changed this situation. In the future multinational formations, more German General Staff officers will work with their allied comrades-in-arms than ever before in NATO headquarters. They will work together in all military sectors at the tactical and operational levels. Because of this it seems to be a legitimate request from the German Armed Forces to consider elements of the Prussian-German General Staff system for inclusion in future staff organizations of these multinational corps. This approach is considered to avoid friction between allied and German officers who will have to work closer together in these new formations. It is therefore recommended that this request be considered as early as possible before implementation begins.

It was shown that 52.2 percent of the German 1,087 colonels and Navy captains and 20 percent of the 202 generals and admirals of the Bundesweir

have no General and Admiral Staff officer training. In this context, it is also interesting that most of the German battalions and regiments are commanded by officers without General Staff officer training. Those who criticize that most of the higher staff and commanding officers' jobs in the Bundeswehr are reserved for General and Admiral Staff officers are not aware of the surprisingly high number of senior officers in the Bundeswehr without General and Admiral Staff officer training.

In addition do they have no understanding of another major advantage of the German system. Many allied armed forces are trying hard to select their future commanding officers and high staff officers with operational and strategic vision. For the selection of these officers, the Prussian-German General Staff system offers ways which have been effective for many generations. The selection for General and Admiral Staff officer training favors those officers who show talents early in these fields. The Command and General Staff Academy training gives them the tools for their future educational development. The most talented of them are given jobs early in their careers to provide exposure to the operational and strategic levels. These officers are about 10 years younger than many of their allied comradesin-arms before these are trained to think and work at the operational and strategic levels. The future German generals and admirals are selected from this group. The 202 generals and admirals of the Bundeswehr are recruited from the best trained out of the 1,200 General and Admiral Staff officers. About 40 come from the group of the best officers without that training.

The Germans believe that this early selection process and the subsequent training of the future leading general officers who need operational and strategic vision is indispensable and has proved its value. The system ensures that "talented pratitioners" without General and Admiral Staff officer

training are given a fair chance to reach high positions of leadership as well. Personal positive experience with graduates of the "second year" at the United States Command and General Staff College at Fort Leavenworth shows that the United States' Army has obviously adopted similar ways for some of their future general officers.

At the end of this evaluation the question is asked, as so often before, whether the Prussian-German General Staff system can be introduced in other armed forces. This question leads back to Spenser Wilkinson's statement of 1887 which was quoted at the beginning of this paper: "It may well be doubted whether this feature of the Prussian (General Staff) System is suitable for imitation elsewhere." It was shown that attempts to imitate the system were often doomed to failure because the staff organizations of armed forces and their role as an instrument of military leadership are the result of historical processes that took different courses. However, in a period when the military strategy of NATO is being redefined and new challenges must be tackled, it is considered worthwhile to reflect on the elements of the Prussian-German General Staff system which could be used by Germany's allies to the benefit of all.

ENDNOTES

1. Spenser Wilkinson, "The Brain of an Army, A Popular Account of the German General Staff System," Westminster, Archibald Constable & Co., 1895, reprint by the U.S. Army War College, November 1983, p. 1f.

2. Cf. Christoph Allmeyer Beck, <u>Die K. (u.) K.-Armee 1848-1914</u>, <u>Die versunkene Wehrmacht</u>, Prisma Verlag 1975, Introduction.

3. H. Dv.g 92, <u>Handbuch fur den Generalstabsdienst im Kriege</u>, Geheim, Berlin 1939, Teil I und II.

4. Army Regulation 100/100, "Command and Control of Armed Forces," Second Revised Edition of TF/G73, Army Staff III2, September 1987, translated by Bundessprachenamt, Huerth, No. 615.

5. For a history of the Prussian-German General Staff, the two most important works in English language are Walter Goerlitz's <u>History of the</u> <u>German General Staff, 1657-1945</u>, translated by Brian Battershaw, New York 1985 and Colonel T. N. Dupuy's, <u>A Genius for War: The German Army and General</u> <u>Staff, 1807-1945</u>, Ingelwood Cliffs, N. J., Prentice-Hall, Inc., 1977.

Colonel Bradley H. Petersen's USAWC Military Studies Program Paper, "Should the United States Army have a Professional General Staff?" of 1987 gives some insight of the Bundeswehr General Staff officers peculiarities, but does not discuss in sufficient detail the main characteristics of this officers group within the German Armed Forces.

Barry Leach gives some details of the German General Staff officers working methods in his book, <u>German General Staff</u>, Balantine Books, Inc., August 1973. His analysis ends at 1945.

From my point of view, the Prussian-German German General Staff System and its peculiarities was most clearly depicted by the obviously forgotten German World War II emigrant Herbert Rosinski, <u>The German Army</u>, New York 1962, Chapter IX. It is in his writings that the term "General Staff System" appears for the first time. It even remained unchanged in the German translation of 1970.

6. Cf. NATO Press Service, Press Communique S-1 (90), "London Declaration on a Transformed North Atlantic Alliance issued by the Heads of State and Government participating in the meeting "of the North Atlantic Council in London on 5-6 July 1990, No 14.

7. Ernst Moritz Arndt, <u>Katechismus fuer den deutschen Kriegs-und</u> Wehrmann, Berlin, 1813, p. 265.

8. Cf. Walter Goerlitz, Kleine Geschichte des deutschen Generalstabs, in the following quoted as Goerlitz, Generalstab, Berlin 1967, p. 441f.

9. Cf. Walter Millis in: Preface to Walter Goerlitz <u>History of the</u> <u>German General Staff</u>, in the following quoted as Goerlitz, <u>General Staff</u>, New York 1985, v-x.

10. On conspiratorial theory cf. Caspar von Schrenck-Notzing, <u>Charakterwaesche</u>, Stuttgart 1965, p. 54.

Cf. also Christian Millotat, <u>Die Oberste Heeresleitung vom Ende des</u> <u>Weltkrieges bis zur Aufloesung des Kaiserlichen Heeres</u>, Schriftenreihe Innere Fuehrung, Heft 7, 1969/1970, p. 43.

11. Winston Churchill, <u>Memoiren</u>, Volume 2, Stuttgart - Hamburg 1953, p. 47.

12. On the verdict of the Nuernberg Trial cf. <u>Das Urteil von Nuernberg</u> <u>1946</u>, published by Herbert Kraus, concerning the Defense of the General Staff by Dr. Laternser, refer to: <u>Verteidiqung deutscher Soldaten</u>, Plaedoyers vor <u>allierten Soldaten</u>, Bonn 1950.

On Field Marshal von Manstein's contribution cf. Siegfried Westphal, Der Deutsche Generalstab auf der Anklagebank, Mainz 1978, Chapter 5.

13. Foerster-Otto-Schnitter, <u>Der preussisch-deutsche Generalstab 1870-</u> 1963, Berlin (East) 1956, p. 5f.

14. On the criticism of General Staff training of the Bundeswehr cf. Klaus Reinhardt, <u>Generalstabsausbildung in der Bundeswehr</u>, Herford, pp. 9-12. Cf. also Hansgeorg Model, Jens Prause, <u>Generalstab im Wandel</u>, in the following quoted as <u>Model/Prause</u>, Muenchen 1982, pp. 87-120.

On the neo-Marxist understanding of "Elites" and its effects on Germany in the sixties and seventies cf. Alfred Heuss, <u>Versagen und</u> <u>Verhaengnis</u>, Siedler Verlag, 1984.

15. On the influence of the Prussian-German General Staff abroad cf. Goerlitz, <u>Generalstab</u>, op. cit. p. 97.

Cf. also Russel F. Weighly, <u>History of the United States Army</u>, New York 1967, pp. 314-330.

Cf. also Endnote 1.

16. On the attempt of early Bundeswehr leaders to continue the tradition of the Prussian reforms of the times of Scharnhorst, cf. Manfred Messerschridt's introduction to the <u>Handbuch zur deutschen Militaergeschichte</u>, Munchen 1979, Volume I, p. VI: "Those parts of the fledgeling Bundeswehr of the fifties who were interested in history, had the Prussian reforms in mind as the historical symbol of its new beginning. Yet this very manual has to prove that the reforms, despite the up-to-dateness of their inspirations, did not usher in a new era, but only remained a phase." Cf. also the differentiated assessment of the Prussian reforms by Thomas Nipperdey, <u>Deutsche Geschichte 1800-1866</u>, Muenchen 1983, Chapter I.

17. Text of the opening speech of General Heusinger, the first Chief of Staff, Federal Armed Forces, on the occasion of the opening of the Heeresakademie in: <u>Model/Prause</u>, op. cit., pp. 11-16.

18. General Juergen Brandt, <u>Abschiedsrede fuer den Genst-AdmStltg am 18</u> Juni 1979, published by the Fuehrungsakademie der Bundeswehr, Bonn 1980.

19. On the organization of the Federal Ministry of Defense cf. White Paper 1985 The Situation and the Development of the Federal Armed Forces, published by the Federal Minister of Defense on behalf of the Federal Government, Bonn 1985, pp. 165-171.

A still interesting, if meanwhile outdated description of structure and function of the Federal Ministry of Defense is Siegfried Mann's <u>Das</u> <u>Bundesministrium der Verteidigung</u>, Bonn 1971.

In the Treaty on the Final Settlement with Regard to Germany, the "Two-plus-Four Treaty" of 12 September 1990, Germany has agreed not to deploy foreign troops on former "German Democratic Republic" terrain.

20. Cf. "Der Generalinspekteur," FueB I 1, Az. 10-20-12 oated 8 September 1959. General Heusinger was the first Chief of Staff, Federal Armed Forces.

21. "Anzugsordnung fuer die Bundeswehr," Bonn 19972, No. 2307. Cf. ZDv37/10, (German Joint Services Regulation).

22. All figures from the "Report by the Personnel Management Division 1982," Bonn 1982.

23. Cf. Model/Prause, op. cit.

24. Cf. Unpublished Briefing, "Organization and Tasks of the Fuehrungsakademie der Bundeswehr," Fuehrungsakademie der Bundeswehr, Stab Ausbildung und Lehre, Arbeitsbereich 1, dated 21 August 1989.

25. Cf. Colonel Bradley H. Petersen, "Should the United States have a Professional General Staff?" op. cit., pp. 16-26.

26. All figures are based on an interview which I had with the Fuehrungsal-ademie Chief of Staff on 12 November 1990.

27. Cf. Endnote 24.

28. On high level leadership training of NATO countries cf. Gottfried Greiner, <u>Das Verhaeltnis von Politik und Militaer in der Ausbildung der</u> <u>hoeheren Fuchrungskrafte im NATO-Bereich, in: Freiheitohne Krieg</u>, published by the Clausewitzgesellschaft, Bonn 1980, pp. 301-312. 29. Brigadegeneral Dr. Greiner, quoted from <u>Model/Prause</u>, op. cit., p. 137.

30. Cf. Inducte 5 with my remarks on Herbert Rosinski.

31. Field Marshal Paul von Hindenburg, <u>Aus meinem Leben</u>, Leipzig 1920, pp. 77-79.

32. General Colonel Hans von Seeckt, <u>Gedanken eines Soldaten</u>, Berlin 1929, pp. 163-167.

33. ZDv 10/5, Chapter 3, Leitsatz, No. 2.

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34. On potential conflict between allied superiors and German General Staff officers subordinate to them cf. Christian Millotat, "Spannungsfelder im NATO-Stabsdienst," Europaeische Wehrkunde, February 1985.

35. On the French Staff System cf. General Serrigny, <u>Reflexions sur l'art</u> <u>de la querre</u>, p. 3, quoted according to Herbert Rosinski, <u>Die Deutsche Armee</u>, Duesseldorf and Wien 1970, pp. 274-278.

36. On the position of the French "adjoints" cf. Correli Barnett, <u>The</u> <u>Swordbearers</u>, Reprint of the 1964 ed. published by Morrow, New York, 1975, pp. 196-198. Correli Barnett describes the relationship between General Pétain and his Chief of Cabinet, General Serrigny in World War I.

37. Cf. Colonel Bradley H. Petersen, "Should the United States have a Professional General Staff?," op. cit., p. 25.

38. Army Regulation 100/100, "Command and Control of Armed Forces," op. cit., No. 604.

Colonel T.N. Dupuy wrote a brilliant interpretation of "Auftragstaktik" from the American point of view in his book <u>A Genius for War</u> (in the following quoted as Dupuy, Genius for War), pp. 116-117.

John T. Nelson II also wrote a good analyses on "Auftragstaktik in his analyses "Auftragstaktik: A Case for Decentralized Battle," Parameters, U.S. Army War College Quarterly, September 1987, pp. 21-34.

39. On the practice of use of "acting ranks" cf. numerous examples, Russel F. Weighly, cp. cit., and Walter Millis, <u>Arms and Men, A Study of</u> <u>American History</u>, New Brunswick, New Jersey, 1981.

In this connection cf. also the partly exaggerated but accurate analysis of the American Armed Forces and the way they see themselves, written by Robert de Herte-Hans Juergen Nigra, <u>Die USA, Europas missratenes Kind</u>, Muenchen-Berlin 1979, pp. 45-49.

40. Cf. <u>Handbuch zur deutschen Militaergeschichte</u>, op. cit. Volume II, p. 168 and Volume IX, p. 227.

Cf. also Goerlitz, General Staff, op. cit., p. 5.

41. On the position of Marshal Berthier cf. <u>Handbuch zur deutschen</u> <u>Militaergeschichte</u>, op. cit., Volume II, p. 155 and Volume IX, p. 228.

In Bronsart von Schellendorf's writing <u>Der Dienst des Generalstabs</u> of 1875, it tried to describe King Frederick the Great as the founder of the Prussian General Staff. The reasons are anyone's guess.

42. On General von Scharnhorst's objectives and reforms cf. Reinhard Hoehn, <u>Scharnhorst's Vermaechtnis</u>, Bonn, 1952.

Cf. also <u>Handbuch zur deutschen Militaergeschichte</u>, op. cit., Volume II, p. 168.

Cf. also Dupuy, Genius for War, op. cit., pp. 24-36.

Cf. also Hans Carl Hermann, <u>Deutsche Militaergeschichte</u>, Frankfurt 1968, pp. 142 and 162.

Cf. also Goerlitz, General Staff, op. cit., pp. 33-36.

43. Cf. Endnote 42.

44. On the following quotations and information on the Prussian General Staff up to 1815 cf. Bronsart von Schellendorf, <u>Der Dienst des Generalstabs</u>, op. cit., Berlin 1875. Obviously, this was the first treatise to describe the special working methods of the Prussian-German General Staff.

45. On Clause itz's position at the War Academy cf. Barry Leach, <u>German</u> <u>General Staff</u>, op. cit., pp. 14-15.

45. Cf. Endnote 42.

47. On the development of the German "educated middle class" ("gebildetes Buergertum") in the 19th Century cf. Thomas Nipperdey, <u>Deutsche Geschichte</u> <u>1800-1860</u>, op. cit.

48. Cf. Hans Delbrueck, <u>Das Leben des Feldmarschalls Grafen von</u> <u>Gneisenau</u>, Berlin 1920.

Cf. also Goerlitz, General Staff, op. cit., pp. 40-49.

49. Cf. Hans Carl Hermann, <u>Deutsche Militaergeschichte</u>, op. cit., p. 201ff.

50. Cf. Goerlitz, General Staff, op. cit., pp. 58-60.

Cf. also Dupuy, Genius for War, op. cit., pp. 48-53.

51. Cf. Wilhelm Ritter von Schramm, <u>Clausewitz Leben und Werk</u>, Esslingen 1976, pp. 43-53.

On Gneisenau's falsification of nobility cf. <u>Deutsche</u> <u>Fuehrungsschichten der Neuzeit</u>, <u>Das deutsche Offizierkorps 1860-1960</u>, edited by Hans Hubert Hofmann, Boppart am Rhein 1980 (Buedinger Gespraeche), p. 203.

52. Cf. Gerhard Ritter, <u>Staatskunst und Kriegshandwerk</u>, Four Volumes, Muenchen 1970.

53. Cf. Goerlitz, General Staff, op. cit., pp. 68-102.

Cf. also Dupuy, Genius for War, op. cit., pp. 48-53.

54. Cf. Dupuy, Genium for War, op. cit., pp. 72-75.

Cf. also Barry Leach, German General Staff, op. cit., pp. 15-18.

55. Wording of the "Ordre," cf. Goerlitz, <u>Generalstab</u>, op. cit., pp. 83-85.

56. Cf. Bronsart von Schellendorf, <u>Der Dienst des Generalstabs</u>, op. cit., pp. 40-43.

57. Cf. Goerlitz, General Staff, op. cit., p. 90.

Cf. also Dupuy, Genius for War, op. cit., pp. 95-103.

On General Field Marshal Count von Moltke's own assessment of the War of 1870-71 cf. his <u>Geschichte des deutsch-franzoesischen Krieges 1870-71</u>, Berlin 1891.

On the personality of Moltke cf. Franz Herre, <u>Moltke, der Mann und</u> <u>sein Jahrhundert</u>, Stuttgart 1984.

58. Text of the "Ordre" cf. Wiegand Schmidt-Richberg, <u>Die Generalstaebe</u> in <u>Deutschland 1871-1945</u>, Stuttgart 1962, p. 16.

59. Cf. Endnote 15.

60. On Field Marshal Count von Schlieffen's influence on the World War I generation of comman'er's and their advisers cf. Jehuda L. Wallach, <u>The Dogma</u> of the Battle of Annihilation, London 1986, Chapter 2, "Alfred Count von Schlieffen: Prophet of Annihilation."

61. For a critical appreciation of the university-like General Staff training before 1870, cf. General der Artillerie Prinz Kraft zu Hohenlohe-Ingelfingen, <u>Aus meinem Leben</u>, Volume I, pp. 155-158.

62. Cf. Herbert Rosinski, The German Army, op. cit., Chapter IX.

For the General Staff training after 1870 and the first assignments as a young General Staff officer cf. also General Ludendorff, <u>Mein</u> <u>militaerischer Werdegang</u>, Muenchen 1942, pp. 27-35. ን ቀር በተባለበት መስከት እንስ እንስ አካብላ መድምስ በተሰሎስ በብሎስ አካላ እንስ መስከት በበር በበር በስለ በበር በበር በበር በበር በበር በበር በበር በበር በ እንስ መ

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63. Cf. Herbert Rosinski, The German Army, op. cit., p. 261.

64. For the training of Bavarian General Staff Officers cf. H. Graefin Schall-Riaucour, <u>Aufstand und Gehorsam, Offiziertum und Generalstab im</u> <u>Umbruch, Leben und Wirken von Generaloberst Franz Halder, Generalstabschef</u> <u>1938-1942</u> (in the following quoted as "Schall Riaucour, Halder"), Wiesbaden 1972, pp. 88-105.

65. For the number of General Staff officers in the Imperial German Army (Reichsheer) at the beginning of World War I cf. Wiegand Schmidt-Richberg, <u>Die</u> <u>Generalstaebe in Deutschland 1875-1945</u>, cp. cit., p. 18.

For the position of the General Staff officer, especially of the chiefs of the General Staffs in Austria-Hungary, Bulgaria and Turkey cf. Generaloberst von Seeckt, <u>Gedanken eines Soldaten</u>, op. cit., pp. 159-163.

The relatively few competences of Russian General Staff officers may be extracted from the sketch on General Alexejew by Theobald Schaefer in <u>Heerfuehrer des Weltkrieges</u>, Berlin 1939, pp. 120-141.

66. Cf. Knoetel-Sieg, Handbuch der Uniformkunde, Hamburg 1971, p. 46.

67. Cf. Endnote 52.

68. Carl von Clausewitz, <u>On War</u>, edited and translated by Michael Howard and Peter Paret, Princeton, N.J.: University Press 1984, p. 605.

69. Cf. Wiegand Schmidt-Richberg, <u>Die Generalstaebe in Deutschland 1871-</u> 1945, op. cit., p. 18ff.

Cf. also Gerhard Ritter, <u>Staatskunst und Kriegshandwerk</u>, op. cit., Volume 4.

Cf. also Christian Millotat, <u>Die Oberste Heeresleitung vom Ende des</u> Weltkrieges bis zur Aufloesung des kaiserlichen Heeres, op. cit., pp. 44-51.

70. On the relationship of the chief of the General Staff of the 5th (GE) Army, Lieutenant General Schmidt von Knobelsdorf, to his commander-in-chief, Lieutenant General Crown Prince Wilhelm, cf. Hermann Wendt, <u>Verdun</u>, Berlin 1931.

71. Cf. Generaloberst von Einem, <u>Ein Armeefuehrer erlebt den Krieg</u>, Leipzig 1938, pp. 95-153 and many other passages. , 72. On the position of the Prussian-German General Staff in 1918 cf. Benoist Mechin, <u>Geschichte der deutschen Militaermacht 1918-1945</u>, Volume 1, <u>Das Kaiserreich zerbricht</u>, Oldenburg und Hamburg 1965.

73. Cf. Barry Leach, German General Staff, op. cit., pp. 27-29.

74. General von Seeckt, quoted from Barry Leach, <u>German General Staff</u>, op. cit., p. 24.

75. On the training of the Imperial Army's (Reichswehr) General Staff officers cf. Wiegand Schmidt-Richberg, <u>Die Generalstaebe in Deutschland 1871–1945</u>, op. cit., pp. 55-72.

Cf. also Goerlitz, General Staff, op. cit., pp. 227-228.

Cf. also Dupuy, <u>A Genius for War</u>, op. cit., p. 216.

Cf. also Barry Leach, German General Staff., op. cit., p. 27.

76. Figures taken from Wiegand Schmidt-Richberg, <u>Die Generalstaebe in</u> <u>Deutschland 1871-1945</u>, op. cit., p. 250.

77. Cf. Endnote 3.

78. On the problem of shared responsibility of Wehrmacht General Staff officers cf. Schall Riaucour, <u>Halder</u>, op. cit., p. 119ff.

79. For the General Staff uniform of the Wehrmacht see Eberhard Hettler, <u>Uniformen der Deutschen Wehrmacht</u>, Hamburg 1979, Tables 9 and 25.

80. On General Colonel Jodl's opinion on the position of the General Staff officer in the "third Reich" cf. Goerlitz, <u>General Staff</u>, op. cit., pp. 420-421.

81. General Ludwig Beck, speech on the occasion of the 125th anniversary of the Kriegsakademie, quoted after: <u>Die grossen Meister der Kriegskunst</u>, published by Dr. Inho Krumpelt, Berlin-Frankfurt o.J., pp. 321-325.

Cf. also Herbert Rosinski, The German Army, op. cit., p. 294ff.

On General Colonel Beck's opinions cf. Ludwig Beck, <u>Studien</u>, Stuttgart 1955.

Cf. also Barry Leach, German General Staff, op. cit., p. 12.

82. Cf. Barry Leach, German General Staff, op. cit., pp. 154-158.

Cf. also Goerlitz, General Staff, op. cit., pp. 462-477.

83. General Juergen Brandt, "Abschiedsrede fuer den Generalstabs und Admiralstabslehrgang 1980," published by the Fuehrungsakademie der Bundeswehr, Bonn 1982. 84. General der Artillerie Friedrich von Rabenau, <u>Seeckt, Aus meinem</u> <u>Leben 1918-1936</u>, Leipzig 1940, p. 193.

There, details are contained about the ideas of General Colonel von Seeckt on the future role of General Staff officers in the new Imperial Army (Reichswehr).

Cf. also Goerlitz, Generalstab, op. cit., p. 244.

Cf. also Endnote 73.

85. Cf. Army Regulation 100/100, "Command and Control of Armed Forces," op. cit., Chapter 6.

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86. Cf. excerpts of Moltke's military writings, published by the Great General Staff, in: Dr. Inho Krumpelt, <u>Die grossen Meister der Kriegskunst</u>, op. cit., Chapter Generalfeldmarschall Graf von Moltke.

Kriegslehren, Erster Teil, Die operativen Vorbereitungen zur Schlacht.

- I Krieg und Frieden,

- II Krieg und Politik,

-III Zusammensetzung der Hauptquartiere, Wahl des Fuehrers, Freiheit des Handelns,

- IV Operationsplan, Kriegsobjekt und Operationsobjekt,

- V Flankenstellungen.

Kriegslehren, Zweiter Teil, Die taktischen Vorbereitungen zur Schlacht.

- II Maersche,

-III Zustand der Ruhe.

Kriegslehren, Dritter Teil, Die Schlacht.

Kriegslehren, Vierter Teil, Aus der Verordnung fuer die hoeheren Truppenfuehrer, dated 24 Juni 1894.

87. Cf. Chapter III, "Mission oriented Command and Control," op. cit.

88. Cf. Field Marshal Graf Alfred von Schlieffen, <u>Gesammelte Schriften</u>, Volume 1, Berlin 1913.

An extract of the "Cannae Essay" can be found in Dr. Inho Krumpelt, op. cit., pp. 211-252.

89. For the regulations <u>Der Angriff im Stellungskrieg and Die Abwehr im</u> <u>Stellungskrieg cf. The Documents of the Supreme Army Command on its Activities</u> <u>1916-1918</u> (Urkunden der Obersten Heeresleitung ueber ihre Taetigkeit 19161918) published by General of the Infantry Erich Ludendorff, Berlin 1920, p. 604ff.

They also contain further regulations elaborated on General Ludendorff's order in 1917 and 1918.

90. German Army Regulation HDv 300/1, "Truppenfuehrung," Part I, dated 17 October 1933, Berlin 1936.

91. For the Work of the "Educational Commission in the Federal Ministry of Defense" and the Struggle for the General Staff Training in the Bundeswehr cf. <u>Model Prause</u>, op. cit., pp. 109-128.

92. On the different staff systems meeting in NATO staffs and on the difficulties of German General Staff officers in NATO assignments, cf. Christian Millotat, "Spannungsfelder im NATO-Stabsdienst.Jeder faengt von vorne an," Europaeische Wehrkunde 2/85, Herford 1985, p. 91ff.

93. For the number of academically educated officers in the General and Admiral Staff course of 1987 and the farewell speech of the Bundeswehr Fuehrungsakademie commandant on the occasion of the farewell ceremony of that course, cf. "Festschrift zur Entlassung der Absolventen des Jahrgangs 1987 Verwendun gslehrgang Generalstabs-/Admiralstabsdienst 1989," published by the Federal Ministry of Defense, Fue S I7, Bonn 1989.

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