

REPORT DOCUMENTATION PAGE

DTIC FILE COPY

1a. REPORT SECURITY CLASSIFICATION AD-A224 929		1b. RESTRICTIVE MARKINGS DTIC	
2		3. DISTRIBUTION/AVAILABILITY OF REPORT Approved for public release: Distribution unlimited	
6a. NAME OF PERFORMING ORGANIZATION Department of Chemistry Rice University		6b. OFFICE SYMBOL (If applicable)	
6c. ADDRESS (City, State, and ZIP Code) Houston, Texas 77251		7a. NAME OF MONITORING ORGANIZATION Rice University Office of Sponsored Research, P.O. Box 2692	
7b. ADDRESS (City, State, and ZIP Code) Houston, Texas 77252		9. PROCUREMENT INSTRUMENT IDENTIFICATION NUMBER N00014-88-K-0553	
8a. NAME OF FUNDING/SPONSORING ORGANIZATION Office of Naval Research		8b. OFFICE SYMBOL (If applicable)	
8c. ADDRESS (City, State, and ZIP Code) Chemistry Division 800 N. Quincy Street Arlington, VA 22217-5000		10. SOURCE OF FUNDING NUMBERS	
		PROGRAM ELEMENT NO. 412m007	PROJECT NO.
		TASK NO.	WORK UNIT ACCESSION NO.
11. TITLE (Include Security Classification) Ammonia Chemisorption on Gallium Arsenide Clusters			
12. PERSONAL AUTHOR(S) Lihong Wang, L.P.F. Chibante, F. K. Tittel, R. F. Curl and R. E. Smalley			
13a. TYPE OF REPORT Interim/Technical	13b. TIME COVERED FROM _____ TO _____	14. DATE OF REPORT (Year, Month, Day) July 23, 1990	15. PAGE COUNT 16
16. SUPPLEMENTARY NOTATION Chem. Phys. Letters (1990)			
17. COSATI CODES		18. SUBJECT TERMS (Continue on reverse if necessary and identify by block number)	
FIELD	GROUP	SUB-GROUP	
		Surface chemistry; Ammonia chemisorption; Gallium Arsenide clusters, (JESK) Reprints	
19. ABSTRACT (Continue on reverse if necessary and identify by block number) Gallium arsenide clusters in the 6-16 atom size range were generated by laser vaporization in a supersonic nozzle and trapped as positive ions in Fourier transform ion-cyclotron resonance mass spectrometer. Measurements of the rate of attachment of ammonia revealed that all clusters larger than seven atoms were most reactive near the 1/1 composition ratio of gallium/arsenic. The results suggest that even at this small size the clusters begin to adopt the alternating gallium/arsenic bonding arrangement characteristic of bulk GaAs crystal surfaces where gallium-arsenic bonding activates gallium atoms for ammonia chemisorption. <i>Keywords:</i>			
20. DISTRIBUTION/AVAILABILITY OF ABSTRACT <input checked="" type="checkbox"/> UNCLASSIFIED/UNLIMITED <input type="checkbox"/> SAME AS RPT. <input type="checkbox"/> DTIC USERS		21. ABSTRACT SECURITY CLASSIFICATION Unclassified	
22a. NAME OF RESPONSIBLE INDIVIDUAL Richard E. Smalley		22b. TELEPHONE (Include Area Code) (713) 527-4845	22c. OFFICE SYMBOL

OFFICE OF NAVAL RESEARCH

CONTRACT N00014-88-K-0553

R&T Code 412m007

Technical Report No. 2

Ammonia Chemisorption on Gallium Arsenide Clusters

by

Lihong Wang, L.P.F. Chibante, F. K. Tittel, R. F. Curl and

R. E. Smalley

Chem. Phys. Letters (1990)

Rice University
Department of Chemistry
Houston, Texas

July 23, 1990

Reproduction in whole or in part is permitted for
any purpose of the United States Government

This document has been approved for public release
and sale; its distribution is unlimited

ABSTRACT

Gallium arsenide clusters in the 6-16 atom size range were generated by laser vaporization in a supersonic nozzle and trapped as positive ions in Fourier transform ion-cyclotron resonance mass spectrometer. Measurements of the rate of attachment of ammonia revealed that all clusters larger than seven atoms were most reactive near the 1/1 composition ratio of gallium/arsenic. The results suggest that even at this small size the clusters begin to adopt the alternating gallium/arsenic bonding arrangement characteristic of bulk GaAs crystal surfaces where gallium arsenic bonding activates gallium atoms for ammonia chemisorption.

Accession For	
NTIS GRA&I	<input checked="" type="checkbox"/>
DTIC TAB	<input type="checkbox"/>
Unannounced	<input type="checkbox"/>
Justification	
By _____	
Distribution/	
Availability Codes	
Dist	Avail and/or Special
A-1	



Chem. Phys. Letters

April 1990

AMMONIA CHEMISORPTION ON GALLIUM ARSENIDE CLUSTERS

Lihong Wang, L. P. F. Chibante^{*}, F. K. Tittel, R. F. Curl and R.E. Smalley

Departments of Chemistry, Physics and Electrical Engineering

and

Rice Quantum Institute

Rice University, Houston, TX 77251

Abstract

Gallium arsenide clusters in the 6-16 atom size range were generated by laser vaporization in a supersonic nozzle and trapped as positive ions in *Fourier transform ion-cyclotron resonance* mass spectrometer.

Measurements of the rate of attachment of ammonia revealed that all clusters larger than seven atoms were most reactive near the 1/1 composition ratio of gallium/arsenic. The results suggest that even at this small size the clusters begin to adopt the alternating gallium/arsenic bonding arrangement characteristic of bulk GaAs crystal surfaces where gallium-arsenic bonding activates gallium atoms for ammonia chemisorption.

1. Introduction

As the active elements of semiconductor devices become increasingly smaller, the effects of surfaces upon bulk properties become more important. Surfaces can be modified by wanted or unwanted reactions affecting the bulk properties. By starting with small clusters of atoms, surface effects can be emphasized. Over the past decade supersonic cluster beam techniques have been developed which now permit a wide range of such semiconductor

clusters to be studied[1]. From a chemical viewpoint these methods are intriguing because they can deal with completely bare, coordinatively unsaturated species. They serve as an approach to much of the chemistry of bulk surfaces while retaining the advantages of an explicit molecular scale.

One of the most promising developments along these lines has used Fourier transform ion cyclotron resonance mass spectrometer (FT-ICR) to follow the surface chemistry of separately-prepared, mass-selected cluster ions exposed to various reagent gases in an otherwise ultrahigh vacuum[1]. Early examples have included studies of dissociative chemisorption of H_2 on transition metals such as niobium[2], and NH_3 absorption on silicon clusters in the 5-70 atom size range[1,3].

For silicon positive cluster ions [1], the reaction rates with NH_3 depend strikingly upon cluster size varying from about $10^{-13} \text{ cm}^3 \text{ sec}^{-1}$ for Si_{45}^+ to about $10^{-10} \text{ cm}^3 \text{ sec}^{-1}$ for Si_{43}^+ . These results strongly suggest that in many cases only a single structural isomer is formed and that the observed variations in reactivity are the result of the valence structure of this isomer. Models for the bonding in these clusters capable of explaining the colorful experimental observations are beginning to be developed [4].

Clearly, valuable insights into the bonding and structure of the III-V semiconductors may result from studies employing these cluster methods. The first study of GaAs cluster reactions using FT-ICR techniques was carried out by Reents[5] who reported an ICR study of the reactions of HCl with smaller GaAs negative clusters containing up to six atoms. Reents found that the principal reaction was an etching process in which GaCl was lost converting $Ga_xAs_y^-$ into $Ga_{x-1}As_y^-$. Remarkably several of these small anionic clusters exhibited dual populations which could be differentiated kinetically.

Reents was limited by his cluster source technology to the negatively charged clusters of less than seven atoms. It is possible in our apparatus to produce larger clusters, and this work on positively charged gallium arsenide clusters was begun in the hope that these larger clusters would be large enough to begin to model the chemistry of the real GaAs bulk surface.

2. Experimental

The apparatus used in this work has been described in more detail previously [1,2]. In brief, the positive cluster ions studied are generated by laser vaporizing (20 mJ @ 532 nm) GaAs from the surface of a rotating disk into a helium carrier gas pulse. The gas stream travels about 1 cm down a 1mm diameter channel (where clustering takes place) and then expands supersonically into a vacuum. The resulting supersonic jet is collimated into a molecular beam. About 30 cm downstream, the residual positive cluster ions remaining from the vaporization plasma are pulse extracted and directed towards the ICR cell. The ions are decelerated by a pulsed decelerator and then injected into the ICR cell by dropping its reflecting electrostatic field at the cell entrance as the ion pulse approaches. In the cell, the ions spiral along the field lines of the axial magnetic field of 6 Tesla supplied by a superconducting solenoid magnet and reflect from electrostatic fields at each end of the trap. Cluster ions can be trapped in the cell for several minutes. In order to obtain sufficient cluster ion concentrations for study, the 10 to 100 injection pulses are accumulated. After the cell is filled, the ions are thermalized by collisions with argon (2×10^{-5} Torr) added for a few seconds.

The ICR cell is cylindrical about 15 cm long, 4.8 cm i.d. In the uniform magnetic field of its central portion, four electrodes are placed around the

axis of the cell. These permit the coherent excitation of the cyclotron motion of the ion by rf pulses and allow the observation through induced rf voltages on the electrodes of the transient decay of this coherent motion. Fourier analysis of this transient provides a high resolution mass spectrum of the ions trapped in the cell. Of particular interest for surface reaction studies is the ability to remove all ions except those in a narrow mass range from the cell by strong excitation of their cyclotron motion using an rf pulse tailored by "SWIFT" technology [6]. Thus we have the capability of producing in the ICR cell Ga_xAs_y^+ ions of only one $x+y$ value.

These ions are then allowed to react with a suitable reagent (in this work, NH_3) for a definite period of time by shuttering off the pump and injecting the reagent with a pulsed valve (R. M. Jordan, Inc.). Typical reagent pressures used here are 10^{-5} to 10^{-6} Torr with typical reaction times of 2 to 60 seconds. The reagent gas is then pumped away and the mass spectrum of the product ions is obtained by FT-ICR. Reaction studies are limited to 10^4 - 5×10^4 collisions because after more collisions the ions are lost from the trapping region.

The fact that gallium has two naturally occurring isotopes ^{71}Ga (40%) and ^{69}Ga (60%) complicates the analysis of the mass spectrum. The top panel of Figure 1 shows the observed FT-ICR mass spectrum of the Ga_xAs_y cluster ion distribution injected from our cluster source [2,3] such that $x+y=10$ atoms. Although the major mass peaks here are spaced by 2.00 amu and extremely well resolved, each has contributions from several different Ga_xAs_y compositions.

As was noted by Reents [5], the difference in mass between ^{71}Ga (40%) and ^{69}Ga (60%) is almost exactly 1/2 of the mass difference between ^{71}Ga and the only isotope of As (^{75}As). This means that the mass peaks of

$^{71}\text{Ga}_a^{69}\text{Ga}_b\text{As}_y^+$ are completely unresolved from those of $^{71}\text{Ga}_{a-3}^{69}\text{Ga}_{b+2}\text{As}_{y+1}^+$ even with the very high mass resolution of FT-ICR. In order to determine the distribution of x and y values, it is necessary to least squares fit the peak intensities with the total signal intensities, I_x , (counting all ^{71}Ga and ^{69}Ga possibilities) for all x at given $x+y$. This procedure proved to be straightforward except that occasionally the least squares result gave $I_x < 0$ for some of the less abundant species. When such a non-physical answer resulted from the least-squares process, the distribution was refitted with this I_x fixed to zero. Least squares analysis of the peak intensities of the 10 atom GaAs cluster of Fig. 1 shows this sample to be composed of roughly %3 Ga_9As_1 , %20 Ga_8As_2 , %47 Ga_7As_3 , 14% Ga_6As_4 , 12% Ga_5As_5 , 3% Ga_4As_6 , 0.4% Ga_3As_7 , with traces of the other compositions.

Because the excitation of the cyclotron frequency accelerates the ions to large orbits, a particular mass region can only be observed once in a single run. Only the intensities after a known exposure to the reagent, NH_3 , are available for a given run. The intensities before exposure are not observable. Fortunately the total ion content of the cell has become quite reproducible from run-to-run as a result of a recent modification of the source in which the solenoid valve was replaced by a magnetic leaf valve (R. M. Jordan, Inc.). In the reaction studies, the desired data are ion intensities as a function of exposure time. If it can be assumed that all reaction product ions are observed and none of these observed products result from Ga or As loss, the intensity of any $\text{Ga}_x\text{As}_y(\text{NH}_3)_z$ combination can be normalized by dividing by the sum over z for a given x,y . These conditions appear to be well-satisfied, and such normalization is satisfactory.

3. Observations and Results

The laser vaporization technique produces cluster positive ions (Ga_xAs_y^+) ranging in $x+y$ values from two to more than thirty atoms. When the chemical compositions of the $x+y$ groups are analyzed by the least squares procedure described above, the resulting distributions are generally found to be peaked on the gallium-rich side of the stoichiometric 1:1 Ga to As ratio as in the illustration previously given with the ten atom cluster of Fig. 1.

A particular $x+y$ value may be selected by SWIFTing out all the other ions, and then subjected to chemical reaction with an added reagent gas. In the middle panel of Fig. 1, the FT-ICR mass spectrum has been taken after the 10-atom Ga_xAs_y^+ clusters were exposed to NH_3 gas at a pressure of 2×10^{-6} torr for 11 seconds. Note that the successive NH_3 attachment products do not appear to have the same mass spectral distribution as the parent bare cluster. In fact, if one looks closely at the middle panel of Fig. 1, a distinct dip can be recognized in the bare cluster ion distribution near the 1:1 stoichiometry. This is made much clearer in Figure 2, where the spectrum has been expanded and the peaks due to product ions with one NH_3 have been deleted.

Detailed least squares analysis of the mass patterns reveals that reactivity depends strongly upon composition. Figure 3 shows signal intensities of the unreacted clusters with $x+y = 10$ as a function of exposure time to NH_3 . In this figure, in order to compare the behavior of clusters which have widely different initial concentrations, all initial concentrations have been normalized to unity. The figure summarizes the average of five independent sets of data. Each data set can be fitted with a decay constant which when combined with NH_3 pressure and an estimated gas kinetic collision cross-section yields a sticking coefficient to the cluster for NH_3 .

Figure 4 shows such data for the 10 atom cluster. The remarkable result is that the reaction rate for ammonia chemisorption peaks near the 1/1 composition, Ga_5As_5^+ . Studies on both odd and even-numbered clusters in the 8-16 atom size range show this to be a general result: clusters near the stoichiometric 1/1 composition of bulk gallium arsenide are the most reactive toward chemisorption of NH_3 .

The 6 and 7 atom Ga_xAs_y^+ clusters behave differently: they are generally less reactive than the larger clusters, and this reactivity is highest on the gallium-rich side of the composition range. Thus it appears that in terms of kinetic behavior with ammonia chemisorption the transition to bulk behavior takes place at clusters containing 8 atoms total!

As can be seen in the lower panels of Fig. 1, the reaction does not stop with the addition of one NH_3 , but adds up to four NH_3 's in the case of the 10 atom clusters. The time behavior of these subsequent additions for Ga_5As_5^+ is shown in Figure 5 as a typical case and is qualitatively as expected. The relative signals at the longest time in Fig. 5 are not very different from those for much longer exposure times. The results of such ammonia titrations are the subject of a later paper [7]. Here we merely note that they suggest the existence of several isomers for many compositions as was found for the small anions by Reents [5].

4. Discussion

At first glance, these gallium arsenide clusters appear to present a complex and perplexing picture as a result of the wide range of possible chemical compositions and the evidence for isomers found by Reents[5]. However, in spite of this apparent complexity, there appear to be some simplifying generalizations which can be made concerning GaAs clusters.

One key simplifying factor was noted in earlier work from this group where photoionization studies of the neutral GaAs cluster beam revealed a strong even/odd alternation in the ionization potentials [8] and photodetachment studies of the negative clusters showed corresponding odd/even alternation in the electron affinities [9] of the clusters. This is evidence that, over a broad range of chemical compositions, clusters containing an even-number of electrons are electronically closed shell, with singlet ground states and a substantial HOMO-LUMO gap. This behavior is reminiscent of the bulk GaAs (110) surface where relaxation adjusts the dangling bonds sufficiently to open a large gap in the surface band structure [10].

In the kinetic studies reported here, it is found that clusters near the stoichiometric 1/1 composition of bulk gallium arsenide are the most reactive toward chemisorption of NH_3 . The active site for NH_3 attack must therefore involve both gallium and arsenic. The simplest and most plausible model is one where each surface Ga atom is surrounded as much as possible by As atoms (and vice versa), much like the bulk GaAs crystal surface. Tripathi et. al [11] have studied the chemisorption of NH_3 on single crystal GaAs, and found facile NH_3 adsorption on the (111)A dipolar surface (which consists solely of Ga atoms bonded to three underlying As atoms), but no reactivity on the corresponding (111)B arsenic surface. It seems likely that a similar chemistry is occurring on the surface of these nanometer scale clusters where the As-Ga bonding activates the gallium as a Lewis acid site for adsorption of NH_3 (perhaps followed by dissociation to produce $-\text{NH}_2$ or $=\text{NH}$, and surface hydrides).

Indeed, As-Ga bonding appears to perform such an activation role on bulk GaAs surfaces. Surface reconstruction on the (111) and (110) planes of bulk gallium arsenide is thought to result in one "dangling hybrid" orbital

per surface atom, with a lone pair on the (slightly negative) arsenic, and an empty orbital on the surface gallium atoms which end up with a slight positive charge [12]. In addition to opening up a broad surface band gap (ie. a large HOMO-LUMO gap) this reconstruction promotes the surface Ga atoms into excellent Lewis acid binding sites for the chemisorption of ammonia.

Gallium arsenide clusters of as few as eight atoms therefore appear to behave much like pieces of the bulk GaAs surface. Further experiments and extensive electronic structure calculations will be necessary to test the validity of this chemically appealing model. If correct, it will provide a major simplification in the study of GaAs clusters, and will greatly increase their utility as molecular models of the real bulk surface.

Acknowledgement.

This research was supported by the US Army Research Office and the Robert A. Welch Foundation, and used a cluster FT-ICR apparatus supported for general semiconductor cluster research by the Office of Naval Research, for bare metal cluster research by the US Department of Energy, and for chemisorbed cluster research by the National Science Foundation.

References

- [1] J. M. Alford and R. E. Smalley, *Mat. Res. Soc. Symp. Proc.* 131 (1989) 3.
- [2] J. L. Elkind, J.M. Alford, F. D. Weiss, R. T. Laaksonen, and R. E. Smalley, *J. Chem. Phys.* 87 (1987) 2397.
- [3] J. L. Elkind, F. D. Weiss, J. M. Alford, R. T. Laaksonen, and R. E. Smalley, *J. Chem. Phys.* 88 (1988) 5215.
- [4] E. Kaxiras, *Phys. Rev. Lett.* 64 (1990) 551.

- [5] W. D. Reents, Jr., *J. Chem. Phys.* 90 (1989) 4258.
- [6] A. G. Marshall, T. C. L. Wang, and T. L. Ricca, *J. Am. Chem. Soc.* 107 (1985) 7893.
- [7] L. Wang, L. P. F. Chibante, F. K. Tittel, R. F. Curl and R.E. Smalley, (to be published)
- [8] S. C. O'Brien, Y. Liu, Q. Zhang, J. R. Heath, F. K. Tittel, R. F. Curl, R. E. Smalley, *J. Chem. Phys.* 84 (1986) 4074.
- [9] Y. Liu, Q.L. Zhang, F. K. Tittel, R. F. Curl, and R. E. Smalley, *J. Chem. Phys.* 85 (1986) 7434.
- [10] Xuejun Zhu, S. B. Zhang, S. G. Louie, and M. L. Cohen, *Phys. Rev. Lett.* 63 (1989) 2112, and references therein.
- [11] A. Tripathi, D. Mazzaresse, W. C. Conner, K. A. Jones, *J. Electron. Mater.* 18 (1989) 45.
- [12] S. Y. Tong and W. N. Mei, *J. Vacuum Science and Technology B2* (1984) 393.

Figure Captions

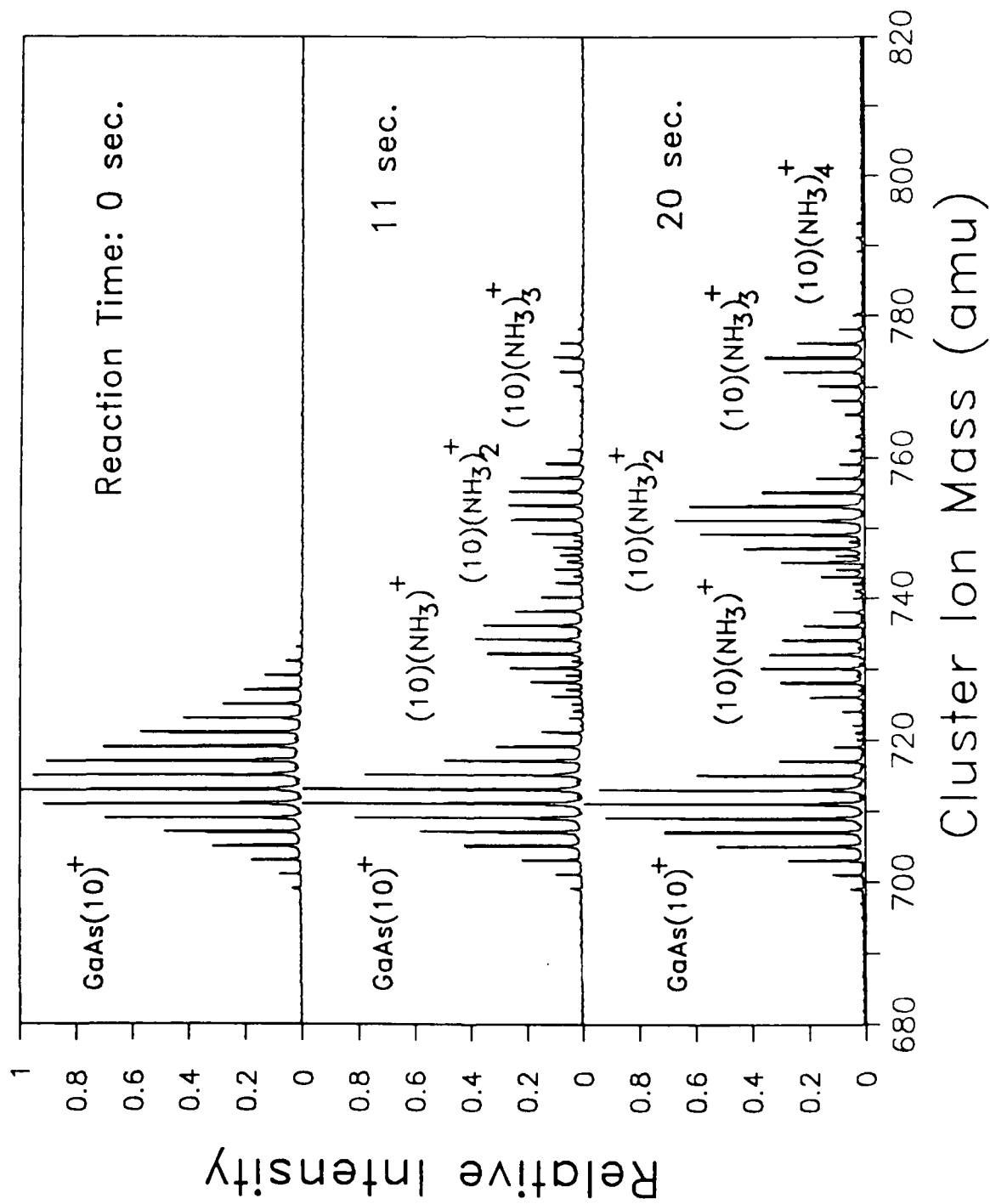
Figure 1. FT-ICR mass spectrum of 10 atom Ga_xAs_y^+ clusters before (top) and after (middle and bottom) exposures to a mixture of 10% ammonia, 90% Ar at $P(\text{NH}_3)$ of 2×10^{-6} torr at 300K. In the middle panel the exposure time is 11 seconds and in the bottom 20 seconds.

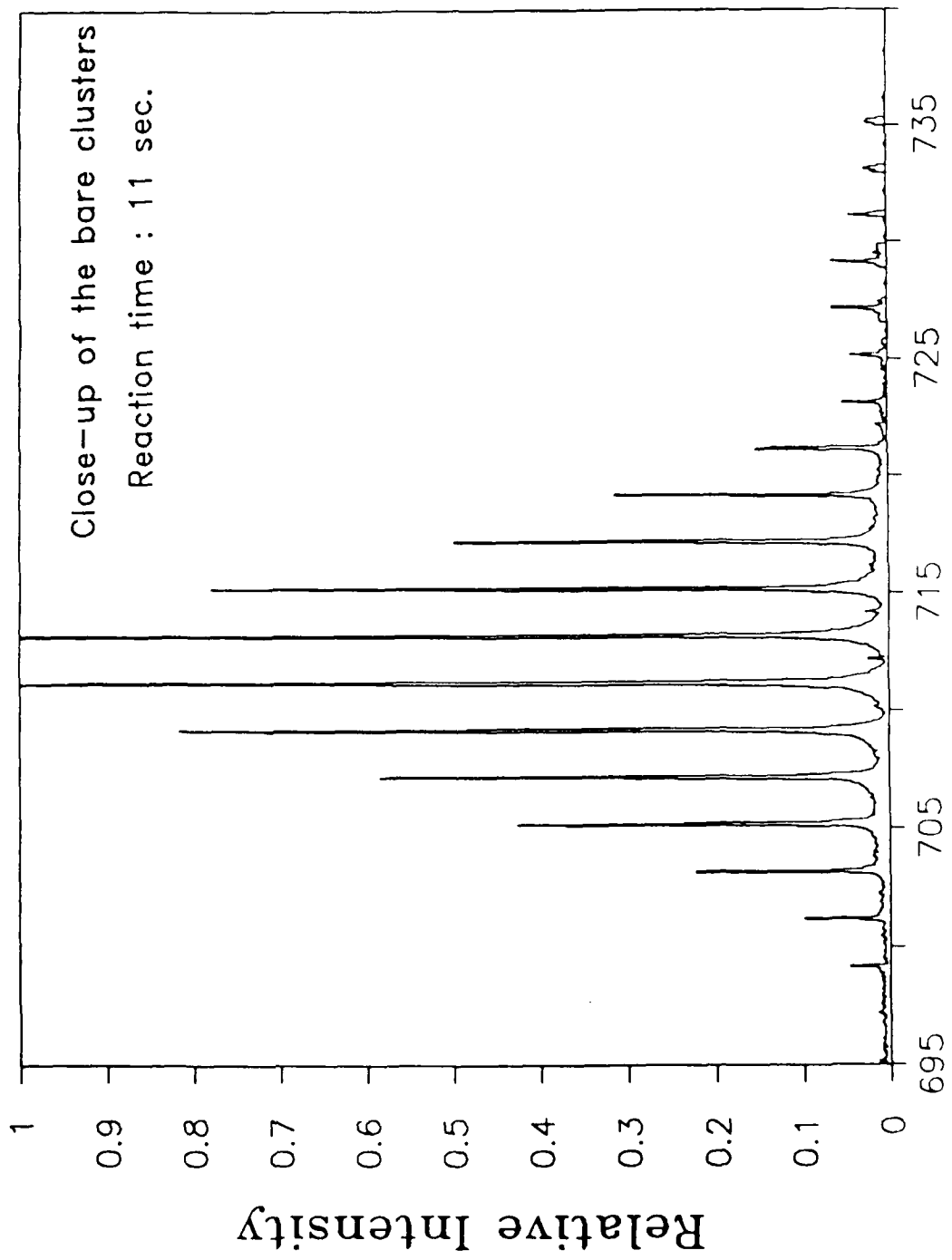
Figure 2. Expansion of the unreacted clusters for the middle panel of Fig. 1. In this figure, the peaks due to the reaction products have been deliberately erased to show the dip near 723 - 725 AMU. This mass region corresponds to the most abundant isotopomers of Ga_5As_5^+ ($^{69}\text{Ga}_3^{71}\text{Ga}_2\text{As}_5^+ = 723.23$ AMU).

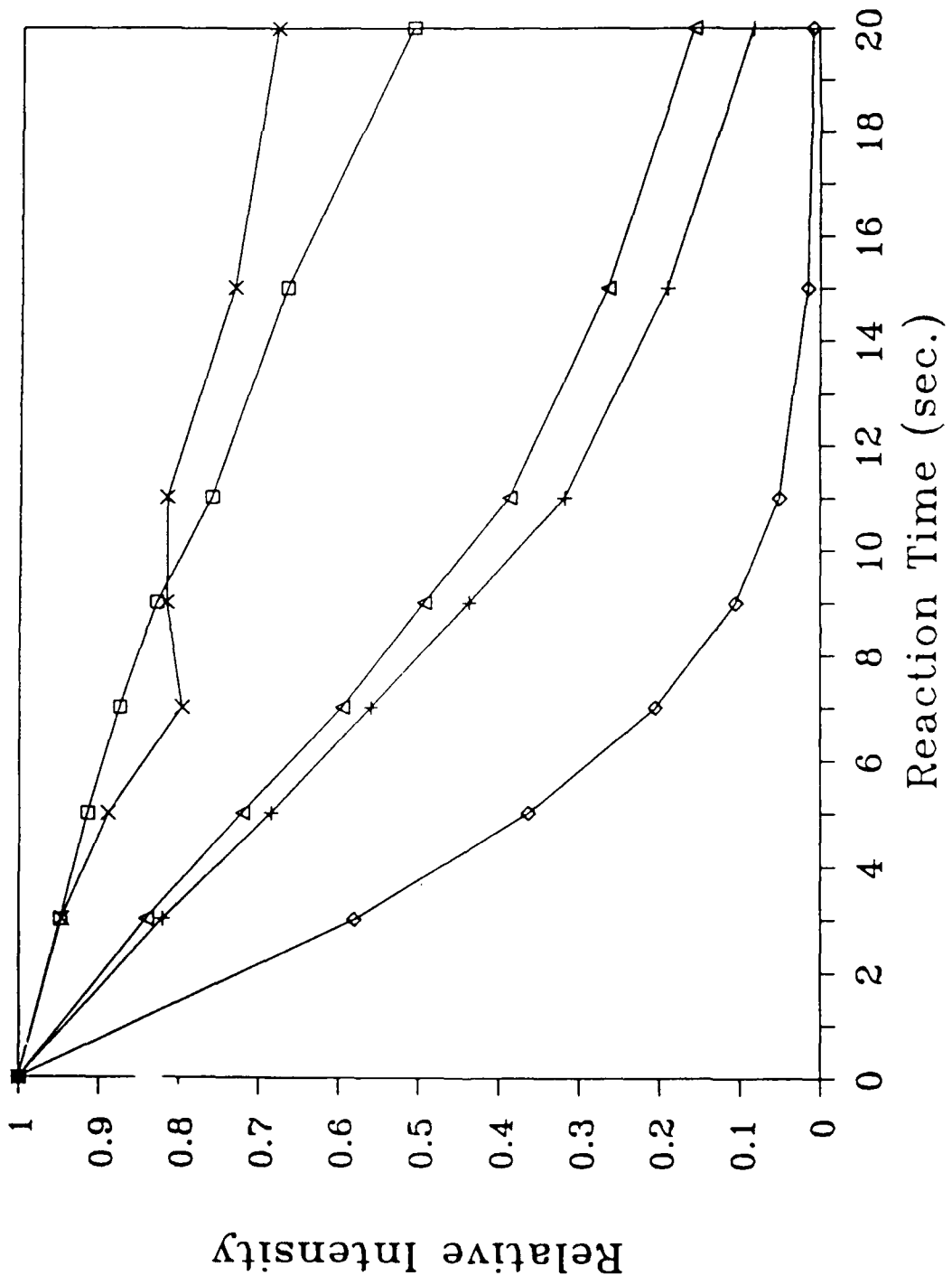
Figure 3. Relative concentration as a function of exposure time to NH_3 for ten atom bare cluster ions. The data for five different sets of runs are summarized in this figure. For each cluster at each time, the point is the average of the five sets. The clusters are exposed to a mixture of 10% NH_3 , 90% Ar at a pressure of 2×10^{-6} Torr. \square - Ga_7As_3 , $+$ - Ga_6As_4 , \bullet - Ga_5As_5 , Δ - Ga_4As_6 \times - Ga_3As_7 .

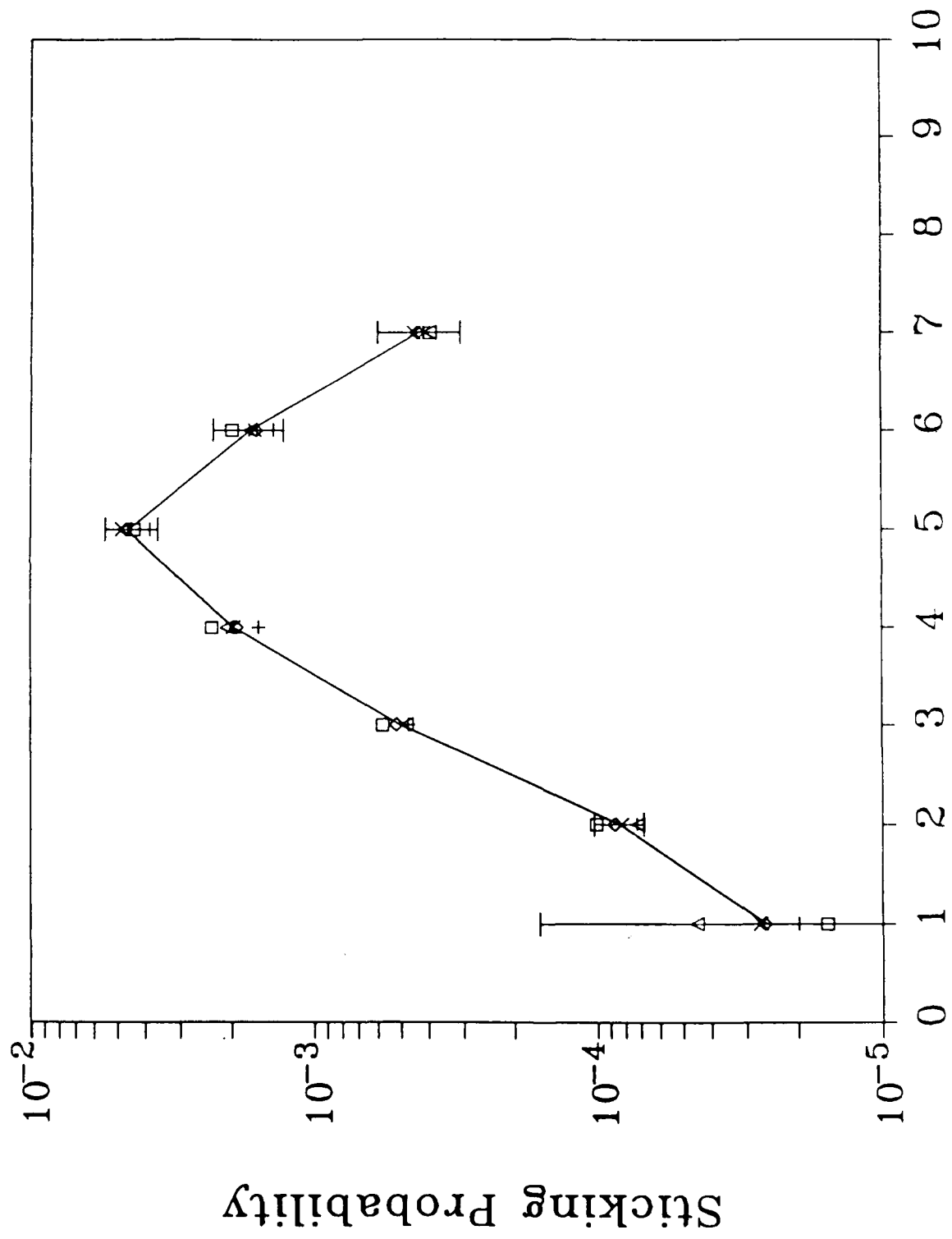
Figure 4. Approximate sticking probability (reaction rate / ion-molecule collision rate) for the chemisorption of the first NH_3 on bare 10 atom Ga_xAs_y^+ clusters as a number of As atoms in the cluster. The result from each of the five data sets is shown as point. \square $+$ Δ \bullet \times correspond to the different sets. The line connects the average.

Figure 5. Relative intensity of the bare and various NH_3 addition products for $\text{Ga}_5\text{As}_5^+(\text{NH}_3)_z$ as a function of time. The NH_3 pressure is still 2×10^{-6} Torr.









Arsenic atoms (y) in $Ga_xAs_y^+$ ($x+y=10$)

- Ga5As5
- Ga5As5(NH3)
- △ Ga5As5(NH3)2
- ◇ Ga5As5(NH3)3
- ▽ Ga5As5(NH3)4

