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Orderings of N-Tuples

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CONTENTS

SPECIAL CASE1
Lemma 1.1
Proof
N-TUPLES OF POSITIVE INTEGERS
Theorem 1.1
Proof
COROLLARIES
Corollary 3.1
Proof
Corollary 2
Proof
INVERSE FUNCTION





SPECIAL CASE

PROOF

We begin with the special case of n-tuples which sum to a given value, k, and build up to the general case. Let Z^+ denote the positive integers and let $S(n, k) = \{\alpha = (a_1, ..., a_n), a_i \in Z^+ \text{s.t.} \sum_{i=1}^{n} a_i = k\}$ ordered lexicographically. It is well known that |S(n, k)| is simply the binomial coefficient (k - l) choose (n - l), i.e.,

$$|S(n,k)| = \binom{k-1}{n-1} .$$
⁽¹⁾

Now given an $\alpha \in S(n, k)$, can we calculate its position in the ordering? Certainly (1, 1, ..., k + 1 - n) is first and (k + 1 - n, 1, ..., 1) is last. Let the order function on S(n, k) be $f_{n,k}: S(n, k) \rightarrow \{1, 2, ..., |S(n,k)|\}$.

LEMMA 1.1

Let S(n, k) be the set of n-tuples of positive integers which sum to k, ordered lexicographically. Let $\alpha = (a_1, ..., a_n)$ and define $\sigma_j(\alpha) = \sum_{i=1}^n a_i$. Then the position of α is given by

$$f_{n,k}(a) = \binom{k-1}{n-1} - \sum_{j=1}^{n-1} \left(\sigma_{j+1} + (a) - 1 \right).$$
(2)

PROOF

Consider a fixed $\alpha = (a_1, ..., a_n)$ in S(n, k). Let $\beta = b_1, ..., b_n$, $T_j = \{\beta \in S(n, k) \mid b_1 = a_1, ..., b_{j-1} = a_{j-1}, b_j > a_j\}$ and let $T = UT_j$, j = 1, ..., n. Then certainly $T = \{\beta \in S(n, k) \mid \beta > \alpha\}$ and $T_i \cap T_j = \phi$ if $i \neq j$, so we have $|T| = \sum_{1 \le j \le n} |T_j|$ (since $T_n = \phi$). But $\{(T_j = (a_1, ..., a_{j-1}, a_j + g_1, g_2, ..., g_{n-j+1}) \mid g = (g_1, ..., g_{n-j+1}) \in S(n-j+1, k-a_1-...-a_j)\}$. Hence,

$$|T_j| = \begin{pmatrix} k - a_1 - \dots - a_j - 1 \\ n - j \end{pmatrix} = \begin{pmatrix} \sigma_{j+1} + (a) - 1 \\ n - j \end{pmatrix}.$$
 (3)

Therefore, we can conclude that $f_{n,k}(\alpha) = |\mathbf{S}(n,k)| - |\{b \in \mathbf{S}(n,k) \text{ s.t. } \beta > \alpha\}|$

$$= \binom{k-1}{n-1} - |T| = \binom{k-1}{n-1} - \sum_{j=1}^{n-1} |T_j| = \binom{k-1}{n-1} - \sum_{j=1}^{n-1} \binom{\sigma_{j+1} + (a) - 1}{n-j} - qed$$

N-TUPLES OF POSITIVE INTEGERS

Now let $Z^n = Z^+ \times ... \times Z^+$ be the set of all n-tuples of positive integers. Note that $Z^n = U_{n \le k}$. Let Z^n be ordered by S(n, n) < S(n, n + 1) < S(n, n + 2) < ... where the S(n, k) are ordered lexicographically as before. Let $f_n : Z^n \to Z$ be the order function for this space.

THEOREM 1.1

Let \mathbb{Z}^n be the set of all n-tuples of positive integers ordered as above. Let $\alpha = (a_1, ..., a_n) \in \mathbb{Z}^n$ and define $\sigma_j(\alpha) = \sum_{i=j}^n a_i$. The the position of α is given by

$$f_n(a) = {\binom{\sigma_j(a)}{n}} - \sum_{j=1}^{n-1} {\binom{\sigma_{j+1}(a) - 1}{n-j}}.$$
(4)

PROOF

By ordering on Z^n and the lemma we have

$$f_n(a) = \sum_{j=1}^{\sigma_1(a)-1} |S(n,k)| + f_{n,\sigma_1(a)}(a) = \sum_{j=1}^{\sigma_1(a)-1} {j-1 \choose n-1} + f_{n,\sigma_1(a)}(a)$$

$$= \begin{pmatrix} \sigma_1(a) - 1 \\ n \end{pmatrix} + f_{n,\sigma_1(a)}(a) = \begin{pmatrix} \sigma_1(a) - 1 \\ n \end{pmatrix} + \begin{pmatrix} \sigma_1(a) - 1 \\ n - 1 \end{pmatrix} - \sum_{j=1}^{n-1} \begin{pmatrix} \sigma_{j+1}(a) - 1 \\ n - j \end{pmatrix}$$

$$= \begin{pmatrix} \sigma_1(a) \\ n \end{pmatrix} - \sum_{j=1}^{n-1} \begin{pmatrix} \sigma_{j+1}(a) - 1 \\ n-j \end{pmatrix} \begin{bmatrix} \text{using the basic combinatorial identity} \\ \begin{pmatrix} a+1 \\ b \end{pmatrix} = \begin{pmatrix} a \\ b \end{pmatrix} + \begin{pmatrix} a \\ b \end{pmatrix} \end{bmatrix}$$

$$qed$$

COROLLARIES

Next I give two corollaries of lemma 1.1.

COROLLARY 3.1

Let $S(k) = U_{1 \le n \le k} S(n, k)$ ordered by S(1, k) < ... S(k, k) where the S(n, k) are ordered lexicographically as before. Then the lexicographic order function, $f^{(k)}$, of $\alpha = (a_1, ..., a_n) \in S(k)$ is given by

$$f^{(k)}(a) = \sum_{\lambda=1}^{n} {\binom{k-1}{\lambda-1}} - \sum_{j=1}^{n-1} {\binom{\sigma_{j+1}(a)-1}{n-j}}.$$
 (5)

PROOF

Using lemma 1.1, we have

$$f^{(k)}(a) = \sum_{\lambda=1}^{n-1} |S(n,k)| + f_{n,k}(a) = \sum_{\lambda=1}^{n-1} {\binom{k-1}{\lambda-1}} + {\binom{k-1}{n-1}} - \sum_{j=1}^{n-1} {\binom{\sigma_{j+1}(a)-1}{n-j}}$$
$$= \sum_{\lambda=1}^{n} {\binom{k-1}{\lambda-1}} - \sum_{j=1}^{n-1} {\binom{\sigma_{j+1}(a)-1}{n-j}}$$
$$qed$$

COROLLARY 2

Let $Z^{\bullet} = U_{1 \leq n \leq \infty} Z^{n} = \{ \alpha = (a_{1}, ..., a_{n}), a_{i} \in Z^{+} \}$ ordered by S(1) < S(2) < ... with the S(k) defined and ordered as above. Then the order of function, f^{\bullet} , of $\alpha = (a_{1}, ..., a_{n}) \in Z^{\bullet}$ is given by

$$f^{\bullet}(a) = 2^{\sigma_1(a)-1} + \sum_{\lambda=1}^{n} \left(\frac{\sigma_1(a)-1}{\lambda-1} \right) - \sum_{j=1}^{n-1} \left(\frac{\sigma_{j+1}(a)-1}{n-j} \right).$$
(6)

PROOF

Since
$$|S(m)| = \sum_{j=1}^{m} |S(j, m)| = \sum_{j=1}^{m} {\binom{m-1}{j-1}} = 2^{(m-1)}$$
, we have, by the ordering on Z^* ,
 $f^*(a) = \sum_{\lambda=1}^{\sigma_1(a)-1} |S(m)| + f^{\sigma_1(a)-1}(a) = \sum_{m=1}^{\sigma_1(a)-1} 2^{m-1} + \sum_{\lambda=1}^{n} {\binom{\sigma_1(a)-1}{\lambda-1}} - \sum_{j=1}^{n-1} {\binom{\sigma_{j+1}(a)-1}{n-j}}$
 $= 2^{\sigma_1(a)-1} + \sum_{\lambda=1}^{n} {\binom{\sigma_1(a)-1}{\lambda-1}} - \sum_{j=1}^{n-1} {\binom{\sigma_{j+1}(a)-1}{n-j}} .$
 qed

INVERSE FUNCTION

Let us consider the inverse function, $f_n^{-1}: \mathbb{Z} \to \mathbb{Z}^n$. There does not appear to be a closed form solution, but it is readily computable. Using this, one can easily implement an algorithm to calculate $\phi_{k,n}:\mathbb{Z}^k \to \mathbb{Z}^n$ by $\phi_{k,n} = f_n^{-1}f_k$. Now suppose p in \mathbb{Z}^+ . To compute $f_n^{-1}(p) = (a_1, \dots, a_n)$ first find the smallest $k_1 s.t. \binom{k_1}{n} \ge p$. Then we find successively largest k_1 , $i = 2, \dots, n$, $s.t. \binom{k_1}{n} = \sum_{j=1}^{i-1} \binom{k_{j+1}}{n-j} \ge p$. For the nth case, this expression will be an equality. Then $k_i - \sigma_1(f_n^{-1}(p))$ for $i = 1, \dots, n$ and given all the σ_s , one easily finds (a_1, \dots, a_n) .

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