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Semiannual Technical Summary

1 April – 30 September 1989

Kjeller, December 1989



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Abstract

This Semiannual Technical Summary describes the operation, maintenance and research activities at the Norwegian Seismic Array (NORSAR), the Norwegian Regional Seismic Array (NORESS) and the Arctic Regional Seismic Array (ARCESS), for the period 1 April - 30 September 1989.

The NORSAR Detection Processing system has been operated throughout the period with an average uptime of 94.9 as compared to 98.2 for the previous reporting period. A total of 1908 seismic events have been reported in the NORSAR monthly seismic bulletin. The performance of the continuous alarm system and the automatic bulletin transfer by telex to AFTAC has been satisfactory. Processing of requests for full NORSAR/NORESS data on magnetic tapes has progressed according to established schedules.

The satellite link for transmitting NORESS data in real time to the U.S. has had an average uptime of 97.8% as compared to 99.9% for the previous period. On-line detection processing and data recording at the NORSAR Data Center (NDPC) of NORESS and ARCESS data have been conducted throughout the period, with an average uptime of 92.1% for NORESS and 92.2% for ARCESS.

Field maintenance activity has included regular preventive maintenance at all array sites and occasional corrective actions when required. All the NORSAR communications systems have been checked during the period, and although there have been some irregularities in connection with 01A, 02C and 06C, the performance has been satisfactory. Modcomp problems began at the end of August and fault finding continued throughout the rest of the reporting period.

Various alternatives for a possible future upgrade of the NORSAR system have been considered during the period. The NORSAR Detection Processing has been transferred to a more powerful IBM computer which became available after moving NORESS to a SUN-system. There have been no changes in the routine processing of NORSAR events.

The routine detection processing of NORESS is running satisfactorily on a dedicated SUN-3/280. Event processing, which can be performed on any of the SUN workstations, is performed in two steps: (i) Phase estimation, and (ii) plot and epicenter determination. The routine detection processing of ARCESS is also running satisfactorily on a separate SUN-3/280. Event processing for ARCESS is identical to that of NORESS.

ii

The "generalized beamforming" method, which has been developed at NORSAR and represents a new approach to associate detected seismic phases from a regional or global network, has been further tested. A data base of 77 regional and local seismic events recorded by NORESS and ARCESS has been used, and the results have been compared to output of the Assess procedure in the Intelligent Monitoring System (IMS). We have found that the generalized beamforming method matches or exceeds the Assess results as far as phase grouping is concerned and that the epicenter determinations are also quite accurate. It is concluded that the new method would be useful to supplement expert systems techniques. The recommended approach is to use it as a preprocessor, and subsequently invoke rule-based and script-based algorithms in order to further refine the solutions.

NORSAR RMS Lg magnitude measurements as published in previous Semiannual reports," have been compared to yield data recently published by Soviet scientists for Shagan River explosions. The correspondence appears to be excellent, and considerably better than the correspondence between worldwide m_b and these yield estimates. However, only a few large events have been available for this study and more data will be needed before firm conclusions may be drawn. Based on these same yield data, and analyzing the smallest explosions available, it appears that during normal noise conditions the NOR-SAR P-wave detection threshold for fully coupled Semipalatinsk explosions is below 1 kt, even at the single sensor level. Additional significant improvement would be obtainable through array processing of these data.

The stability of RMS Lg magnitudes of Semipalantinsk explosions as a function of azimuth and distance has been further studied by analyzing data from the China Digital Seismograph Network (CDSN). Data from the stations WMQ and HIA have been processed for a total of 12 explosions and compared to NORSAR M(Lg). The resulting scatter in the magnitude measurements is very small, and indicates that single station M(Lg) may be measured with an accuracy (one standard deviation) of 0.03-0.04 magnitude units. This corroborates previous results comparing data from NORSAR and IRIS stations in the Soviet Union. Furthermore, Lg detectability is excellent for these two CDSN stations. Thus the station WMQ, which is situated at 960 km from Semipalatinsk, has an Lg detection threshold estimated at 2 magnitude units lower than that of NORSAR.

A new data acquisition system for the FINESA array in Finland has been developed at NORSAR, and installed in cooperation with the University of Helsinki. This system enables real time acquisition and processing of data from the 15 SPZ seismometers of the array both at Helsinki and at the NORSAR data center. Initial on-line processing has been established both in Finland and Norway, and data quality appears very satisfactory. The FINESA data are currently being prepared for integration into the Intelligent Monitoring 'on/



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System, along with data from NORESS, ARCESS and the GERESS system under development. Initially, the beam deployment for FINESA has been adapted from the NORESS/ARCESS deployments, and the real time processing methodology is essentially identical.

A project to establish a mining explosion data base for Fennoscandia and adjacent regions has been initiated. A questionnaire requesting information on mine locations, charge sizes, firing practices as well as details of individual explosions after 1984 has initially been submitted to 67 organizations and companies known to conduct mining activities. So far, responses have been obtained from 16 companies, and the available information is being compiled into a data base conforming to the 2.8 CSS format. The data base will be continually updated and expanded as more information is obtained, and the aim is to map mining activity in Fennoscandia as well as Central Europe and Western USSR. This knowledge will ultimately be of great value in the regular analysis of array data, and will also be the basis for addressing a range of interesting and important topics within seismological verification research.

A study has been conducted modelling the transverse components of Lg waves and relating the synthetic seismograms to refraction data observed at NORSAR. It is shown that an upper crust with a uniform distribution of microcracks aligned in the regional stress field can account for transverse components of Lg waves induced by explosions. The preferred model to explain this in terms of upper crustal anisotropy is a distribution of liquid-filled cracks in the upper 10 km of the crust with a crack density larger than 0.02 and most favorably around 0.05. This model is consistent with previously published observations of shear wave splitting in northern Scandinavia.

A study addressing statistical procedures for seismic signal detection and estimation using small-array data has been undertaken. A generalization of Capon's maximum likelihood technique for detection and estimation of seismic signals is introduced. By using multidimensional autoregressive approximation of array seismic noise, Capon's group filter can be implemented for on-line processing. Such autoregressive adaptation to the current noise matrix power spectrum yields good suppression of mutually correlated array noise processes. An example is shown of this technique as applied to detection of a small underground explosion (Semipalatinsk, December 28, 1988) recorded at the ARCESS array.

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v

Contents

1	Summary 1								
2	NORSAR operation 3								
	2.1	Detection Processor (DP) operation	3						
	2.2	Array communications	7						
	2.3	Event Detection operation	11						
3	Оре	eration of NORESS and ARCESS	12						
	3.1	Satellite transmission of NORESS data to the U.S	12						
	3.2	Recording of NORESS data at NDPC, Kjeller	13						
	3.3	Recording of ARCESS data at NDPC, Kjeller	17						
	3.4	Event detection operation	21						
4	Imp	provements and Modifications	30						
	4.1	NORSAR	30						
	4.2	NORESS	31						
	4.3	ARCESS	32						
5	Ma	intenance Activities	39						
	5.1	Activities in the field and at the Maintenance Center	39						
	5.2	Array status	42						
6	Do	cumentation Developed	43						

7	Sun	nmary of Technical Reports / Papers Published	44
	7.1	A performance test of the generalized beamforming method applied to a data base of regional events	44
	7.2	NORSAR P-wave detection and yield estimation of selected Semipalatinsk explosions	54
	7.3	Analysis of data from the China Digital Seismograph Network (CDSN) for Soviet nuclear explosions	62
	7.4	A new data acquisition system for FINESA	74
	7.5	Establishment of a mining explosion data base	83
	7.6	Transverse components of explosion-induced Lg waves and the upper crustal anisotropy	103
	7.7	Statistically optimal event detection using small array data	113

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1

vii

1 Summary

This Semiannual Technical Summary describes the operation, maintenance and research activities at the Norwegian Seismic Array (NORSAR), the Norwegian Regional Seismic Array (NORESS) and the Arctic Regional Seismic Array (ARCESS) for the period 1 April – 30 September 1989.

The NORSAR Detection Processing system has been operated throughout the period with an average uptime of 94.9 as compared to 98.2 for the previous reporting period. A total of 1908 seismic events have been reported in the NORSAR monthly seismic bulletin. The performance of the continuous alarm system and the automatic bulletin transfer by telex to AFTAC has been satisfactory. Processing of requests for full NORSAR/NORESS data on magnetic tapes has progressed according to established schedules.

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Various alternatives for upgrading the NORSAR system have been considered during the period. The Nanometrics system used at Yellowknife and Hagfors in Sweden has features which seem to make it suitable for an upgrade of the NORSAR array. The NORSAR Detection Processing has been transferred to a more powerful IBM computer which became available after moving NORESS to a SUN-system. There have been no changes in the routine processing of NORSAR events.

The routine detection processing of NORESS is running satisfactorily on a dedicated SUN-3/280. Event processing, which can be performed on any of the Sun workstations, is performed in two steps: Phase estimation, and plot and epicenter determination. The routine detection processing of ARCESS is also running satisfactorily on a separate SUN-3/280. Event processing for ARCESS is identical to that of NORESS. The research activity is summarized in Section 7. Section 7.1 presents a performance test of the generalized beamforming method applied to a data base of regional events. Section 7.2 discusses NORSAR P-wave detection and yield estimation of selected Semipalatinsk explosions. Section 7.3 presents an analysis of data from the Chinese Digital Seismograph Network for Soviet nuclear explosions. Section 7.4 describes a new data acquisition system for FINESA. Establishment of a mining explosion data base is presented in section 7.5. In section 7.6, transverse components of explosion-induced Lg waves and upper crustal anisotropy are discussed. Section 7.7 discusses statistically optimal event detection using small array data.

2 Operation of all Systems

2.1 Detection Processor (DP) Operation

There have been 93 breaks in the otherwise continuous operation of the NOR-SAR online system within the current 6-month reporting interval. The uptime percentage for the period is 94.9 as compared to 98.2 for the previous period.

Fig. 2.1.1 and the accompanying Table 2.1.1 both show the daily DP downtime for the days between 1 April and 30 September 1989. The monthly recording times and percentages are given in Table 2.1.2.

The breaks can be grouped as follows:

Hardware failure	-16
Stops related to program work or error	2
Hardware maintenance stops	11
Power jumps and breaks	0
TOD error correction	20
Communication lines	14
	Hardware failure Stops related to program work or error Hardware maintenance stops Power jumps and breaks TOD error correction Communication lines

The total downtime for the period was 225 hours and 46 minutes. The mean-time-between-failures (MTBF) was 1.9 days, as compared to 1.8 for the previous period.

J. Torstveit



Fig. 2.1.1 Detection Processor downtime in the period 1 April – 30 September 1989.

LIST Day	OF BR	EAKS T	IN DP STOP	PR	COMMENTS	HALF-	YEAR DAY	STAR	۲T	STOP		COMMENTS
6.7	10	3.4	10	35	TOD RETARED LANS		241	: 1	47	12	55	MODCOMP FAILURE
101	10		10	10	TOD RETARED 14M5		242	1	28	Ē	16	MODCOMP FAILURE
101	ç		0	20	2701 ENTITIE		242	าว์	53	เวี	15	NODCOMP FAILURE
113	6	74	0	43	2701 FAILURE	-	2 1 2	12	35	12	51	NODCOMP FAILURE
113	.9	34	, 9	42	2701 FAILURE		242	12	10	12	21	MODCOMP FAILURE
114	10	45	12	20	2/UI FAILURE		242	1/	10	10	2	HODCOMP FAILURE
118	6	0	6	1	TOD RETARED 20MS		242	18	30	19		MODCOMP FAILURE
122	6	40	6	41	LINE FAILURE		242	19	49	24	. 0	MODCOMP FAILURE
122		48		49	LINE FAILURE		243	- 0	- 0	10	43	MODCOMP FAILURE
123	12	5	12	6	LINE FAILURE		244	21	23	£4	- 0	MODCOMP FAILURE
128	6	4	6	2	TOD RETARED 22MS		245	0	0	. 9	30	MODCOMP FAILURE
132	6	1	6	- 2	2 TOD RETARED 12MS		246	16	0	17	53	MODCOMP FAILURE
139	6	3	6	4	TOD RETARFD 12MS		247	11	2	11	3	TEST DISK
145	6	1	6	2	TOD RETARED 22MS		247	12	15	12	18	TEST DISK
153	6	1	6	2	TOD RETARED 11MS		249	10	5	12	- 5	CE SERVICI MODC.
157	6	12	6	13	TOD RETARED 12MS		251	6	10	6	11	TOD RETARED 23MS
163	6	27	6	28	TOD RETARED 22MS		252	14	18	15	10	MODCOMP FAILURE
172	9	24	9	25	5 LINE FAILURE		252	22	1	22	26	MODCOMP FAILURE
172	11	6	11	10) TOD RETARED 20MS		253	17	51	18	15	MODCOMP FAILURE
174	6	10	6	12	LINE FAILURE		254	6	3	6	36	MODCOMP FAILURE
174	9	55	9	57	LINE FAILURE		254	15	45	16	10	MODCOMP FAILURE
177	6	54	6	55	5 TOD RETARED 25MS		255	0	22	2	30	MODCOMP FAILURE
177	7	24	7	25	LINE FAILURE		255	5	8	6	7	MODCOMP FAILURE
180	9	42	9	43	TOD RETARED 23MS		255	8	5	8	11	CE SERVICE MODC.
186	5	10	5	11	. LINE FAILURE		257	9	4	9	12	MODCOMP FAILURE
187	5	9	5	10) LINE FAILURE		259	22	9	24	0	MODCOMP FAILURE
187	6	1	6	2	TOD RETARED 23MS		260	0	0	12	24	MODCOMP FAILURE
195	6	0	7	45	LINE FAILURE		261	15	10	15	51	MODCOMP FAILURE
207	15	7	17	15	CE MAINTENANCE		261	17	11	17	22	MODCOMP FAILURE
209	7	31	8	- 5	MACHINE ERROR		262	5	15	5	50	MODCOMP FAILURE
212	8	41	8	42	TOD RETARED 30MS		262	7	0	7	34	MODCOMP FAILURE
212	8	49	8	54	LINE FAILURE		262	11	54	12	37	CE SERVICE MODC.
212	8	58	9	- 4	LINE FAILURE		263	6	1	6	3	TOD RETARED 28MS
212	9	10	9	12	LINE FAILURE		263	15	53	16	- 4	MODCOMP FAILURE
216	15	15	24	0	MODCOMP FAILURE		264	12	47	12	52	CE SERVICE MODC.
217	0	0	24	0	MODCOMP FAILURE		265	19	0	24	0	MODCOMP FAILURE
218	0	0	24	0	MODCOMP PAILURE		266	0	0	8	30	MODCOMP FAILURE
219	0	0	9	0	MODCOMP FAILURE		267	0	10	8	10	MODCOMP FAILURE
219	9	48	9	52	LINE FAILURE		267	10	36	12	0	MODCOMP PAILURE
221	13	42	14	21	MODCOMP FAILURE		268	12	38	12	55	CE SERVICE MODC.
222	7	50	7	53	TOD RETARED 22MS		269	2	22	4	- 8	MODCOMP FAILURE
228	6	9	6	11	TOD RETARED 13MS		269	12	13	12	49	MODCOMP FAILURE
229	7	44	8	15	CE MAINTENANCE		269	13	13	13	43	MODCOMP FAILURE
230	8	55	9	- 3	CPU FAILURE		269	13	57	14	18	MODCOMP FAILURE
234	6	6	6	8	TOD RETARED 23MS		269	15	5	16	40	CE SERVICE MODC.
237	3	41	7	4	MODCOMP FAILURE		269	20	8	24	0	MODCOMP FAILURE
239	5	38	19	33	MODCOMP FAILURE		270	0	0	6	17	MODCOMP FAILURE
239	23	36	24	0	MODCOMP FAILURE		270	8	48	9	7	CE SERVICE MODC.
240	0	0	6	34	MODCOMP FAILURE		270	20	7	20	59	MODCOMP FAILURE
240	9	20	10	55	MODCOMP FAILURE		271	8	34	19	30	CE SERVICE MODC.
240	19	2	24	0	MODCOMP FAILURE		272	9	18	9	23	CE SERVICE CPU
241	C	0	5	22	MODCOMP FAILURE		273	23	16	23	59	MODCOMP FAILURE
241	6	31	9	40	MODCOMP FAILURE							

Table 2.1.1 Daily DP downtime in the period 1 April - 30 September 1989.

Month	DP uptime hours	DP uptime %	No. of DP breaks	No. of days with breaks	DP MTBF* (days)	
APR	715.60	99.4	6	5	4.3	
MAY	743.88	99.9	7	6	3.9	
JUN	719.75	99.9	10	7	2.7	
JUL	741.27	99.4	10	6	2.8	
AUG	617.67	83.0	21	16	1.2	
SEP	630.10	87.5	39	26	0.7	
		94.9	93	66	1.9	
<pre>*Mean-time-between-failures = total uptime/no. of up intervals.</pre>						

Table 2.1.2 Online system performance, 1 April - 30 September 1989.

2.2 Array communications

General

Table 2.2.1 reflects the performance of the communications system throughout the reporting period.

The comm. adapter 2701 SDAII failed in April, causing "garbled" data between the IBM 4341 and the Modcomp. Already the next day (24 April) the fault was found and the systems were started again. Although irregularities in connection with 01A, 02C and 06C occurred, the performance of the comm. systems may be characterized as most satisfactory.

In May 02B power problems started in connection with lightning in the area. NTA carrier systems affected 01B, 03C, 04C and 06C.

June was characterized by many outages. Specially 02B and 06C were affected. 02B lost power after lightning, and 06C had SLEM problems. Besides. NTA transmission system (in Oslo) affected all subarrays.

Also in July most subarrays were affected (-01A, 01B), 02B by lightning (as usual), 02C by a broken cable. 03C, 04C and 06C were affected by NTA cable work (planned).

Modcomp problems started in August (25), and 02B had been down since 28 July due to power outages. Estimated comm. error figures were calculated while the Modcomp was down.

In September Modcomp fault findings continued. 01A was affected by a broken comm. cable (week 38). 02C was affected week 39. and 06C by excessive error rate (week 36). Estimated average error figures for each comm. system were calculated during Modcomp stops.

Detailed summary

April (weeks 14-17), 3-30.4.89

Week 16 01A and 02C were affected by comm. line irregularities. 06C was down week 17, reason not stated. 23 April the comm. adapter IBM 2701 SDAII failed causing "garbled" data transfer between the IBM 4341 and the Modcomp. 24 April a bad card was found and the system started again.

May (weeks 18-22), 1.5-4.6.89

02B power affected after lightning (week 19). Local power company repaired the damage 19 May. 22 May the subarray was visited by NMC staff and the SLEM was reset. 01B, 04C and 06C were also affected week 20, 01B by 3.6%, 04C and 06C approx. 10.7%. NTA carrier equipment was the probable reason.

An increasing number of resynchronizations were observed over several days. NTA/Lillestrøm confirmed carrier equipment instability and the problem was solved (week 21). 25 May the same problem was observed, and all subarrays were affected. NTA found a 60-channel group in Oslo was faulty. 1 June a coaxial cable carrying 03C and 04C data was damaged. 06C and the satellite control line between Eik earth station and NORESS were also affected. NTA/Hamar switched 03C,04C comm. paths to a spare coax carrier system.

June (weeks 23-26), 5.6-2.7.89

02B was out of operation from 5 June, and resumed operation 14 June after SLEM reset. Faulty transmission system in Oslo affected all comm. systems 11 June. A faulty transmission system between Oslo and Hamar affected 02B, 03C, 04C and 06C 23 June. 02B was down approx. 20 hours between 21 and 22 June, and went down again 30 June. It remained down throughout the week (26). 22 June we lost contact with the subarray. 29 June the subarray resumed operation.

July (weeks 27-30), 3-30.7.89

02B, which went down 30 June due to lightning in the area, was turned on 5 July after SLEM reset. 28 July the subarray was affected again by a power outage, caused by lightning, and remained down throughout the month. 02C was affected 4 July due to a broken cable. 03C.04C and 06C were affected 5 and 6 July in connection with planned NTA/Hamar cable work.

August (weeks 31-35), 31.7-3.9.89

02B was turned on 2 August after having been down since 28 July. The Modcomp reliability was reduced since 25 August, with many stops. Per 31 August TM-data, responsible for corrective/preventive maintenance of the machine, had not been able to find the items causing the stops. The performance of the comm. systems (excl. the Modcomp) was in the period most satisfactory, and estimated average error figures for the systems while Modcomp was stopped were calculated.

September (weeks 36-39), 4.9-1.10.89

Modcomp fault finding continued in September in spite of TM-data's efforts to solve the problem. The next step will be to analyze the CPU microcodes. As far as comm. systems are concerned, 01A was affected by a broken comm. cable week 38. 02C was down week 39 of unknown reason. Excessive error rate could be observed week 36 in connection with 06C. During the Modcomp stop periods, estimated average error figures were calculated for each subarray comm. system.

O.A. Hanson

Sub-	Apr (4)	May (5)	Jun (4)	Jul (4)	Aug (5)	Sep (4)	Average
arrays	3-30.4	1.5-4.6	5.6-2.7	3-30.7	31.7-3.9	4.9-1.10	1/2 Year
01A	*0.5100	0.030	*1.370	0.020	0.007	^{10)*0} .050	0.330
01B	0.0002	*0.770	*1.390	*0.060	0.002	0.001	0.370
02B	0.0040	¹⁾ *0.004	²⁾ *N/A	⁴⁾ *0.020	^{9)*} 0.003	0.004	$^{13)}0.007$
02C	*0.4400	0.030	*0.930	^{5)*} 0.020	0.003	¹¹⁾ *0.002	0.240
03C	0.0040	0.390	*6.730	⁶⁾ *0.020	0.007	0.003	1.190
04C	0.0010	*2.540	*2.710	⁷⁾ *0.030	0.004	0.002	0.ა30
06C	0.1600	*2.950	³⁾ N/A	^{8)*} 1.230	0.002	^{12)*} 0.060	14)0.880
AVER	0.1600	0.960	2.630	0.200	0.004	0.020	0.560
Less			02B,06C				

*See Section 2.2 regarding figures preceded by an asterisk.

Figures representing error rate (in per cent) preceded by a number 1), 2), etc., are related to legend below.

1)	Power	outages	weeks	19-22	
----	-------	---------	-------	-------	--

- ²⁾ Power outages weeks 23-26
- ³⁾ Outages weeks 23-26 (faulty SLEM)
- ⁴⁾ Average 2 weeks (28,29), 27,30 N/A
- ⁵⁻⁸⁾ Average 3 weeks (28,29,30), 27 N/A
- ⁹⁾ Average 4 weeks (32-35), 31 N/A
- ¹⁰⁾ Average 3 weeks (36-38), 39 N/A
- ¹¹⁾ Average 3 weeks (36-38), 39 N/A
- ¹²⁾ Average 3 weeks (36-38), 39 N/A
- $^{13)}$ Average 5 months, weeks 23-26 N/A
- ¹⁴⁾ Average 5 months, weeks 23-26 N/A

Table 2.2.1 Communications performance. The numbers represent error rates in per cent based on total transmitted frames/week (1 April - 30 September 1989).

2.3 Event Detection operation

In Table 2.3.1 some monthly statistics of the Detection and Event Processor operation are given. The table lists the total number of detections (DPX) triggered by the on-line detector, the total number of detections processed by the automatic event processor (EPX) and the total number of events accepted after analyst review (Teleseismic phases, core phases and total).

	Total Total		Accept			
	DPX	EPX	P-phases	Core phases	Sum	Daily
Apr 89	8900	1203	302	62	364	12.1
May 89	8250	1217	291	92	383	12.4
Jun 89	7325	1286	222	55	277	9.2
Jul 89	7350	1070	244	53	297	9.6
Aug 89	5800	980	254	60	314	10.1
Sep 89	9450	1412	221	52	273	9.1
			1534	374	1908	10.4

Table 2.3.1. Detection and Event Processor statistics, 1 April - 30 September 1989.

B.Kr. Hokland

3 Operation of NORESS and ARCESS

3.1 Satellite transmission of NORESS data to the U.S.

The satellite transmission of data to the U.S. from the NORESS field installation was interrupted on 16 occasions, as_shown in Table 3.3.1. Only one outage period was due to failure of the ground station equipment.

25	May	1649	to			1713	Power failure due to thunderstorm
1	Jun	1055	to			1145	Control lines down
7	Jun	0202	to			0416	Power failure
9	Jun	0844	to			0945	Power failure
13	Jun	2235	to	14	Jun	0152	Power failure
21	Jun	0930	to			1225	Power failure due to thunderstorm
21	Jun	2227	to			2229	Control lines down
22	Jun	2332	to			2334	Control lines down
23	Jun	2046	to	24	Jun	0810	Satellite modem down
29	Jun	0926	to			1059	Power failure due to thunderstorm
29	Jun	1616	to			1834	Power failure due to thunderstorm
29	Jun	1900	to			1941	Power failure due to thunderstorm
23	Jul	1611	to	26	Jul	1118	Power failure due to thunderstorm
26	Jul	1229	to			1339	Repair work due to thunderstorm
31	Jul	2004	to			2151	Control lines down
1	Aug	0136	to			0139	Control lines down

Table 3.1.1 Outage periods for NORESS satellite transmission system April- September 1989.

The total uptime for the NORESS Earth Station for satellite transmission of data to the U.S. was 97.8% as compared to 99.9% for the previous period.

The ground station for continuous transmission of NORESS data to the U.S. was closed down on 1 October 1989. From this date, NORESS and ARCESS data can be obtained in the U.S. at the Center for Seismic Studies (CSS), using the new dedicated satellite link established between NDPC and CSS.

3.2 Recording of NORESS data at NDPC, Kjeller

Table 3.2.1 lists the main outage times and reasons. As can be seen, the main reasons for the outage are power failures at the HUB and line failures.

The average recording time was 92.1% as compared to 98.5% for the previous period.

 Da	 ate	 Time	Cause
25	May	1654-1817	Power failure HUB due to thunderstorm
7	Jun	0208-0549	Power failure HUB due to thunderstorm
9	Jun	0553-0601	Power failure HUB due to thunderstorm
9	Jun	0852-0915	Power failure HUB due to thunderstorm
13	Jun	2243-	Power failure HUB due to thunderstorm
14	Jun	-0608	Power failure HUB due to thunderstorm
20	Jun	0726-0736	Transmission line failure
21	Jun	0931-1447	Power failure HUB due to thunderstorm
23	Jun	0832-0909	Transmission line failure
29	Jun	0531-0542	Power failure HUB
29	Jun	0935-1148	Power failure HUB
29	Jun	1440-1606	Power failure HUB
30	Jun	0706-0720	Transmission line failure
3	Jul	0501-1238	Power failure HUB
4	Jul	0855-1225	Transmission line failure
7	Jul	1334-	Transmission line failure
8	Jul	-1223	Transmission line failure
13	Jul	1105-1234	Transmission line failure
16	Jul	0949-1336	Power failure HUB
19	Jul	0611-0622	Transmission line failure
23	Jul	1655-	Power failure HUB due to thunderstorm
3	Aug	-1628	Power failure HUB due to thunderstorm
18	Aug	1231-1255	Hardware failute at NDPC
3	Sep	0626-0831	Power failure HUB
20	Sep	1255-1333	Hardware maintenance at NDPC
20	Sep	1621-1732	Transmission line failure

Table 3.2.1 Interruptions in NORESS recordings at NDPC, April-September 1989.

Monthly uptimes for the Noress on-line data recording task. taking into account all factors (field installations, transmissions line, data center opera-

tion) affecting this task were as follows:

:	99.6%
:	99.8%
:	96.8%
:	6 8.2%
:	88.5%
:	99.4%
	: : : :

Fig. 3.2.1 shows the uptime for the data recording task, or equivalently, the availability of NORESS data in our tape archive, on a day-by-day basis, for the reporting period.



Fig. 3.2.1 NORESS data recording uptime for April (top), May (middle) and June (bottom) 1989.



Fig. 3.2.1 NORESS data recording uptime for July (top), August (middle) and September (bottom) 1989.

3.3 Recording of ARCESS data at NDPC, Kjeller

The main reasons causing most of the ARCESS outage in the period are: Hardware failure at NDPC, transmission failure, and maintenance work. A bad cable was found causing most of the hardware failures at NDPC in the period.

The average recording time was 92.2% as compared to 98.5% for the previous period.

Da	te	Time	Duration Cause
18	Apr	0209-0508	Hardware failure at NDPC
18	Apr	2100-	Hardware failure at NDPC
19	Apr	-0517	Hardware failure at NDPC
1	May	1040-1122	Hardware failure at NDPC
3	May	0600-0725	Hardware failure at NDPC
18	May	0720-1603	Hardware work at NDPC
18	May	1618-1658	Maintenance at NDPC
30	May	0904-1334	Change of transmission satelite
2	Jun	1200-1252	Maintenance at HUB
9	Jun	0646-0707	Maintenance at HUB
13	Jun	0016-0517	Hardware failure at NDPC
17	Jun	2245-	Transmission line failure
18	Jun	-0057	Transmission line failure
19	Jun	0358-0505	Hardware failure at NDPC
6	Jul	1418-	Hardware failure at NDPC
7	Jul	-0608	Hardware failure at NDPC
11	Jul	0537-0627	Hardware failure at NDPC
11	Jul	0719-0739	Hardware failure at NDPC
12	Jul	2205-	Transmission line failure
13	J_1	-0434	Transmission line failure
13	Jul	0627-0643	Hardware failure at NDPC
15	Jul	0540-	Satellite modem failure
21	Jul	-0141	Satellite modem failure
28	Jul	0741-0902	Hardware failure at NDPC
4	Aug	1301-1358	Hardware failure at NDPC
10	Aug	0317-0529	Hardware failure at NDPC
16	Aug	0844-0904	Hardware failure at NDPC
16	Aug	0929-0944	Hardware failure at NDPC
16	Aug	2015-2031	Hardware failure at NDPC
16	Aug	2054-	Hardware failure at NDPC
17	Aug	-0504	Hardwarw failure at NDPC

17 24 25 26 27 4 8 12 13 13 13 13 14 15 17	Aug Aug Aug Aug Aug Sep Sep Sep Sep Sep Sep Sep	0717-0736 0040-0520 1627- -0516 0936- -1909 1250-1332 1233-1704 0653- -0638 0837-1009 1355-1433 0720-1540 1023-1106 0324-1136	Hardware failure at NDPC Hardware failure at NDPC Hardware failure at NDPC Hardware failure at NDPC Transmission line failure Transmission line failure Maintenance at HUB Maintenance at NDPC Maintenance at antenna at NDPC Adjusting the antenna at NDPC Hardware failure at NDPC
15 17	Sep Sep	1023-1106 0324-1136	Adjusting the antenna at NDPC Hardware failure at NDPC
24	Sep	1036-1200	Hardware failure at NDPC

Table 3.3.1 The main interruptions in ARCESS recordings at NDPC, April-September 1989.

Monthly uptimes for the ARCESS on-line data recording task, taking into account all factors (field installations, transmissions line, data center operation) affecting this task were as follows:

:	98.4%
:	97.8%
:	98.7%
:	77.8%
:	89.3%
:	91.3%
	::

Fig. 3.3.1 shows the uptime for the data recording task, or equivalently, the availability of ARCESS data in our tape archive, on a day-by-day basis, for the reporting period.

J. Torstveit



Fig. 3.3.1 ARCESS data recording uptime for April (top), May (middle) and June (bottom) 1989.



Fig. 3.3.1 ARCESS data recording uptime for July (top), August (middle) and September (bottom) 1989.

3.4 Event detection operation

NORESS detections

The number of detections (phases) reported during day 091, 1989 through day 273,1989 was 38803, giving an average of 246 detections per processed day. During this period, 25 days were not processed due to NORESS downtime (see paragraph 2.2).

Table 3.4.1 shows daily and hourly distribution of detections for NORESS.

Events automatically located by NORESS

During days 091 through 273, 2166 local and regional events were located by NORESS, based on automatic association of P- and S-type arrivals. This gives an average of 13.7 events per processed day (158 days processed). 66 % of these events are within 300 km, and 87 % of these events are within 1000 km.

ARCESS detections

The number of detections (phases) reported during day 091, 1989 through day 273,1989 was 56375, giving an average of 348 detections per processed day. During this period, 21 days were not processed (see paragraph 2.3).

Table 3.4.2 shows daily and hourly distribution of detections for ARCESS.

Events automatically located by ARCESS

During days 091 through 273, 2732 local and regional events were located by ARCESS, based on automatic association of P- and S-type arrivals. This gives an average of 16.9 events per processed day (162 days processed). 45 % of these events are within 300 km, and 78 % of these events are within 1000 km.

J. Fyen

Table 3.4.1 Daily and hourly distribution of NORESS detections. For each day is shown the number of detections within each hour of the day, and the number of detections for that day. The statistics at the end of the table give total number of detections for each hour and the total sum of detections during the period. The averages show number of processed days, hourly distribution and average per processed day.

Table 3.4.1 (cont.)

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Table 3.4.1 (cont.)

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271	4	6	8	19	4	5	6	5	7	12	8	7	14	27	19	13	3	9	13	4	1	9	10	6	219	Sep :	28	Thursday
272	19	11	2	10	6	9	0	3	3	10	7	14	17	20	12	2	4	15	4	8	12	7	1	3	199	Sep :	29	Friday
273	10	7	1	15	4	5	6	8	6	1	4	2	4	3	12	5	0	5	7	3	3	4	0	6	121	Sep :	30	Saturday
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Table 3.4.1 (cont.)

Table 3.4.2 Daily and hourly distribution of ARCESS detections. For each day is shown the number of detections within each hour of the day, and the number of detections for that day. The statistics at the end of the table give total number of detections for each hour and the total sum of detections during the period. The averages show number of processed days, hourly distribution and average per processed day.

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Table 3.4.2 (cont.)

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259 9 8 23 17 10 19 9 13 12 13 29 29 14 14 27 23 12 4 13 4 4 2 13 10 33 260 8 35 6 1 0 0 0 0 0 3 16 15 21 10 9 13 22 3 12 13 29 29 14 14 27 23 12 4 13 4 4 2 13 10 33 260 8 35 6 1 0 0 0 0 3 16 15 21 10 9 13 21 20 6 12 14 4 10 25 18 4 10 25 13 4 10 25 18 45 12 14 4 4 20 15 18 44 20 15 38 14 10 25 13 10	1 Sep 15	5 Friday
260 8 35 6 1 0 0 0 0 0 16 15 21 10 9 13 27 21 20 6 12 3 22 261 4 4 3 22 14 19 27 10 20 12 16 46 12 14 41 25 18 13 41 10 25 15 18 45 12 14 41 10 25 18 45 15 25 21 23 16 17 25 18 43 10 25 25 18 45 15 25 9 18 23 23 9 13 4 10 21 5 18 30 19 17 12 9 12 10 4 14 14 7 30 263 7 3 2 14 3 25	1 Sep 16	6 Saturday
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265 5 2 7 5 13 16 5 17 23 14 21 18 20 20 16 18 22 12 8 19 12 15 10 6 32	4 Sep 21	2 Friday
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Table 3.4.2 (cont.)
201	6	3	- 5	11	11	36	14	6	6	12	- 5	0	20	32	69	29	12	11	14	10	21	9	25	12	382	Sep	24	Sunday
268	6	5	15	35	76	15	20	19	20	10	16	26:	1371	106	82	90	15	10	15	10	16	- 7	40	61	852	Sep	25	Monday
269	97	41	10	15	128	30	15	11	17	- 91	14	93	641	111	13	28	31	8	18	12	11	- 4	14	14	908	Sep	26	Tuesday
270	4	10	2	14	19	14	20	25	7	13	12	24	35	5	5	12	10	14	0	11	8	6	6	14	290	Sep	27	Wednesday
271	7	14	3	6	6	11	17	22	9	19	12	25	30	23	47	59	79	10	10	11	12	6	15	-14	467	Sep	28	Thursday
272	4	14	1	8	4	4	19	12	22	16	9	23	42	29	22	30	23	7	4	7	12	6	7	28	353	Sep	29	Friday
273	6	12	4	7	10	6	3	9	18	7	19	18	22	24	10	19	- 4	8	6	4	7	6	6	12	247	Sep	30	Saturday
FRS	00	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23				
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Sum	12	245	15	502	23	861	29	902	3:	344	4!	562	28	394	2	107	2	315	11	832	1	594	1.	161		m	. 1	
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Sum 162	12 1243 8	245 1 8	19 210 7	502 10 9	23 640 10	361 25 15	29 510 15	902 30 18	3: 032 19	344 39 21	49 908 24	562 31 28	28 597 22	394 30 18	2 27 19	407 2: 15	2: 166 13	315 19 14	11 985 12	832 1	1 591 10	594 2: 10	1 347 14	161 7	56375 348	Tota Tota	1 1	sum average
Sum 162 126	12 1243 8 7	245 1 8 7	19 210 7 6	502 19 9	23 640 10	361 29 15 14	29 510 15 16	902 30 18	3: 032 19	344 39 21 21	49 08 24 23	562 3: 28 28	28 597 22 23	394 30 18 18	2 27 19 18	107 2: 15 15	2: 166 13 13	315 19 14 14	11 985 12 10	832 1 11 10	1) 591 10 8	594 2: 10 9	1 347 14 13	161 7 7	56375 348 338	Tota Tota Aver	al al	sum average e workdavs
Sum 162 126	12 1243 8 7	245 1 8 7	19 210 7 6	502 10 9 9	23 640 10 10	361 25 15 14	29 510 15 16	902 30 18 19	3: 032 19 19	344 39 21 21	49 08 24 23	562 31 28 28	28 597 22 23	394 30 18 18	2 27 19 18	407 2: 15 15	2: 166 13 13	315 19 14 14	11 985 12 10	11 11 10	11 591 10 8	594 2: 10 9	1 347 14 13	161 7 7	56375 348 338	Tota Tota Aver	al al tag	sum average e workdays

Table 3.4.2 (cont.)

4 Improvements and modifications

4.1 NORSAR

Data acquisition

No modification has been made to the NORSAR data acquisition system. The MODCOMP system, which communicates with the NORSAR subarrays and the IBM acquisition and processing system, has been very unstable.

Due to the many old parts in the system data flow, we have found no way to make partial replacements, like say replacing the MODCOMP. The only rational upgrade/replacement seems to be to replace all systems from A/D converters (today's SLEM) to central data recording.

An upgrade of the NORSAR system should include digital data transmission from seismometer to subarray vault, and the resulting dynamic range should be comparable to that of NORESS/GERESS (at least 21 bit dynamic range). The upgrade should include broadband seismometers and local recording at the subarray vault.

Depending on which system is chosen. cables between subarray vaults and seismometers may have to be replaced, due to power requirements and/or data transmission technique.

To use the NORESS/ARCESS technology, seven such systems would be required. Moreover, there may be a problem with cabling and power. Another problem may be time synchronization for array beamforming.

The Teledyne GERESS system has not yet been tested, but may also have too large power requirements to use existing cables.

The new FINESA system would make some improvement with less cost. However, data would still be limited to the dynamic range of the analog signals on the buried cables.

The Nanometrics system used at Yellowknife and Hagfors in Sweden has features that seem to fit very well for an upgrade of the NORSAR array. With acceptable dynamic range and 16 bit resolution, the system may use existing cabling to meet all other requirements. This system has local recording.

Detection processing

The NORSAR detection processor has been running satisfactorily on the IBM during this reporting period. Processing has been moved to a more powerful IBM computer, that became available after moving NORESS to a SUN-system.

Detection statistics are given in chapter 2.

Event processing

There are no changes in the routine processing of NORSAR events, using the IBM system. Full event processing on the SUN system is not yet implemented.

4.2 NORESS

Detection processing

The routine detection processing of NORESS is running satisfactorly on a SUN-3/280. From day 091:00 through day 103:08, the RONAPP beam set of 17 coherent beams and 3 incoherent beams was used. The detection processor recipe for this beam set was described in NORSAR Sci. Rep. No. 2-88/89. The beam set is given in Table 4.2.1.

From 1989, day 103:09, we have used a recipe with 66 coherent and 10 incoherent beams, which nearly doubled the number of detections per day. The beam list is shown in Table 4.2.2. This processing includes STA/LTA detection processing only, as the computer intensive f-k analysis for each detection is performed offline as part of the event processing. This detector gives two files per day, e.g., NRS89091.DPX, indicating detection processor report (.DPX) for NORESS (NRS), day 091, 1989, and NRS89091.REP, giving reports on the occurrence of spikes and otherwise data of bad quality.

We have tested the SUN-3/280 array data acquisition system with variable processing load and found that STA/LTA processing may be performed without disturbing acquisition or data serving. However, the added load of f-k analysis for this high number of detections is not advisable.

Event processing. Phase estimation

The NORESS event processing is performed in two steps. In step 1, all detections are subjected to broadband f-k, polarization, and onset analysis, called phase estimation. This analysis results in one file per day, e.g., NRS89091.FKX, indicating f-k analysis report (.FKX) for NORESS (NRS), day 091, 1989. The report files give onset time, detection beam, SNR, velocity, preliminary phase name, azimuth, coherency, quality of f-k, frequency, amplitude, detection STA and polarization results.

This processing may be performed on any of the SUN workstations.

Event processing. Plot and Epicenter determination

In step two of the automatic event processing, all phases from one array are

analyzed for association to events, as described in NORSAR Sci. Rep. 2-88/89. The location analysis results in one file per day, e.g., NRS89091.EPX, indicating event analysis report (.EPX) for NORESS (NRS), day 091, 1989. All 'interesting' phases are plotted on an Imagen postscript laser printer. These plots also give event location, origin time and magnitude. Where a minimum of two phases (at least one P and one S) have been associated, we have located the event with the TTAZLOC procedure.

4.3 ARCESS

Detection processing

The routine detection processing of ARCESS is running satisfactorly on a SUN-3/280. From day 091:00 through day 130:09, the RONAPP beam set of 17 coherent beams and 3 incoherent beams was used. The detection processor recipe for this beam set was described in NORSAR Sci. Rep. No. 2-88/89. The beam set is given in Table 4.3.1. From day 1989-130:09, we have used a recipe with 66 coherent and 10 incoherent beams, which nearly doubled the number of detections per day. The beam list is shown in Table 4.3.2. This processing include STA/LTA detection processing only, as the computer intensive f-k analysis for each detection is performed offline as part of the event processing. This detector give two file per day. E.g., FRS89091.DPX, indicating detection processor report (.DPX) for ARCESS (FRS,ARC), day 091, 1989, and FRS89091.REP, giving reports on the occurrence of spikes and otherwise data of bad quality

Event processing. Phase estimation

The ARCESS event processing is identical to that of NORESS. This processing results in one file per day, e.g., FRS89091.FKX, indicating f-k analysis report (.FKX) for ARCESS (FRS,ARC), day 091, 1989.

Event processing. Plot and Epicenter determination

In step two of the automatic event processing, all phases from one array are analyzed for association to events, as described in NORSAR Sci. Rep. No. 2-88/89. The location analysis results in one file per day, e.g., FRS89091.EPX, indicating event analysis report (.EPX) for ARCESS (FRS,ARC), day 091, 1989. All 'interesting' phases are plotted on an Imagen postscript laser printer. These plots also give event location, origin time and magnitude. Where a minimum of two phases (at least one P and one S) have been associated, we have located the event with the TTAZLOC procedure.

J. Fyen

BEAM	Velocity	Azimuth	Filter	band	Threshold	Conf	iguration
NR01	99999	9 0 0	10-	3.0	4.0	17	CD
ND02	00000		15-	3 5	4 0	17	CD
NDO2	00000		2 0 -	4 0	4.0	22	BCD
NDOA	00000		2.0	4 5	4 0	13	BC
NDOS	00000		2.5	5 0	4.0	13	BC
NDOG	00000		3.0 -	8 0	5.0	4	AR
NR00	22222.		4.0	16 0	5.0	á	AB
NRU7	33333.1		°. 20 –	10.0	1.0	22	BCD
NRUB	14. 14		2.0 -	4.0	4.0	22	BCD
NRU9	14.	3 90.0	2.0 -	4.0	4.0	22	BCD
NRIU	14.	3 180.0	2.0 -	4.0	4.0	22	BCD
NRTT	14.	3 15.0	2.5 -	4.5	4.0	22	BCD
NR12	14.	3 75.0	2.5 -	4.5	4.0	22	BCD
NR13	14.3	3 135.0	2.5 -	4.5	4.0	22	BCD
NR14	14.	3 25.0	3.0 -	5.0	4.0	22	BCD
NR15	14.	3 75.0	3.0 -	5.0	4.0	22	BCD
NR16	14.	3 125.0	3.0 -	5.0	4.0	22	BCD
NR17	99999.	9 0.0	2.0 -	4.0	4.0	13	BC
NT18	99999	9 0.0	1.0 -	2.0	2.5	17	С
NT19	99999	9 0.0	2.0 -	3.0	2.5	22	С
NT20	99999	9 0.0	20-	4.0	2.1	13	D
TNIS	00000		1 0 -	2 0	25	17	Ċ
TNLO	00000		2 0 -	3 0	2.5	22	č
TNOO	22223.		2.0 -	1.0	2.2	12	ň
$\pm NZQ$	999999.	y U.U	2.0 -	4.0	∠.⊥	T 0	0

Table 4.2.1 NORESS 'RONAP' beam table. The table shows the name of beam, velocity (km/sec), azimuth (degrees), filter band (Hz), STA/LTA threshold, and configuration. The configuration is described with number of sensors and A,B,C or D-rings. Center A0 is always within the configuration. NI18 - NI20 are incoherent beams using vertical components. IN18 - IN20 are identical to NI18 - NI20. This beam set was used up to 1989, day 103:08.

BEAM	Velocity	Azimuth	Filter	band	Threshold	Config	guration
N011	99999.	9 0.0	0.5 -	1.5	4.0	10	D
N021	99999.	9 0.0	1.0 -	3.0	4.0	17	CD
N031	99999.	9 0.0	1.5 -	3.5	4.0	17	CD
N032	11.	0 30.0	1.5 -	3.5	4.0	17	CD
N033	11.	0 90.0	1.5 -	3.5	4.0	17	CD
N034	11.	0 150.0	1.5 -	3.5	4.0	17	CD
N035	11.	0 210.0	1.5 -	3,5	4.0	17	CD
N036	11.	0 270.0	1.5 -	3.5	4.0	17	CD
N037	11.	0 330.0	1.5 -	3.5	4.0	17	CD
N038	15.	8 80.0	1.5	3.5	3.5	17	CD
N039	10.	0 30.0	1.5 -	3.5	3.5	17	CD
N041	99999.	9 0.0	2.0 -	4.0	4.0	17	CD
N042	10.	2 30.0	2.0 -	4.0	4.0	17	CD
NO43	10.	2 90.0	2.0 -	4.0	4.0	17	CD
N044	10.	2 150.0	2.0 -	4.0	4.0	17	CD
N045	10.	2 210.0	2.0 -	4.0	4.0	7 ل	CD
N046	10.	2 270.0	2.0 -	4.0	4.0	17	CD
N047	10.	2 330.0	2.0 -	4.0	4.0	17	CD
N048	15.	8 80.0	2.0 -	4.0	3.5	17	CD
NO49	10.	0 30.0	2.0 -	4.0	3.5	17	CD
N051	99999.	9 0.0	2.5 -	4.5	4.0	22	BCD
N052	8.9	0 30.0	2.5 -	4.5	4.0	22	BCD
N053	8.9	0 90.0	2.5 -	4.5	4.0	22	BCD
N054	8.9	0 150.0	2.5 -	4.5	4.0	22	BCD
N055	8.9	0 210.0	2.5 -	4.5	4.0	22	BCD
N056	8.9	0 270.0	2.5 -	4.5	4.0	22	BCD
N057	8.9	0 330.0	2.5 -	4.5	4.0	22	BCD
N058	15.	8 80.0	2.5 -	4.5	3.5	22	BCD
N059	10.	0 30.0	2.5 -	4.5	3.5	22	BCD
N061	99999.	9 0.0	3.0 -	5.0	4.0	22	BCD
N062	10.	5 30.0	3.0 -	5.0	4.0	22	BCD
N063	10.	5 90.0	3.0 -	5.0	4. 0 ⁻	22	BCD
N064	10.	5 150.0	3.0 -	5.0	4.0	22	BCD
N065	10.	5 210.0	3.0 -	5.0	4.0	22	BCD
NU66	10.	5 270.0	3.0 -	5.0	4.0	22	BCD
N067	10.	5 330.0	3.0 -	5.0	4.0	22	BCD
N068	15.	8 80.0	3.0 -	5.0	3.5	22	BCD
N069	10.	0 30.0	3.0 -	5.0	3.5	22	BCD
NO/I	99999.	9 0.0	3.5 -	5.5	4.0	13	BC
NU/2	11.	1 30.0	3.5 -	5.5	4.0	13	BC
	11.	1 90.0	3.5 -	5.5	4.0	13	BC
	11.	1 150.0	3.5 -	5.5	4.0	13	BC
NO 12	11.	1 210.0	3.5 -	5.5	4.0	13	BC

Table 4.2.2 NORESS beam table. The table shows the name of beam, velocity (km/sec), azimuth (degrees), filter band (Hz), STA/LTA threshold, and configuration. The configuration is described with number of sensors and A,B,C or D-rings. Center A0 is always within the configuration. NH01 – NH04 are incoherent beams using horizontal components of the A0,C2,C4 and C7 three-component stations. NV01 – NV04 are incoherent beams using vertical components. This beam set has been in operation since 1989, day 103:08.

					12	50
N076	11.1	270.0	3.5 - 5.5	4.0	12	BC BC
N077	11.1	330.0	3.5 - 5.5	4.0	13	BC
N081	99999.9	0.0	4.0 - 8.0	4.0	13	BC
N082	9.5	30.0	4.0 - 8.0	4.0	13	BC
N083	9.5	90.0	4.0 - 8.0	4.0	13	BC
N084	9.5	150.0	4.0 - 8.0	4.0	13	BC
N085	9.5	210.0	4.0 - 8.0	4.0	13	BC
N086	9.5	270.0	4.0 - 8.0	4.0	13	BC
N087	9.5	330.0	4.0 - 8.0	4.0	13	BC
N091	99999.9	0.0	5.0 - 10.0	4.5	13	BC
N092	10.5	30.0	5.0 - 10.0	4.5	13	BC
N093	10.5	90.0	5.010.0	4.5	13	BC
N094	10.5	150.0	5.0 - 10.0	4.5	13	BC
NTO Q 5	10.5	210.0	5.0 - 10.0	4.5	1 <	07
N096	10.5	270.0	5.0 - 10.0	4.5	13	BC
N097	10.5	330.0	5.0 - 10.0	4.5	13	BC
N101	99999.9	0.0	8.0 - 16.0	4.5	9	AB
N102	9.9	30.0	8.0 - 16.0	4.5	9	AB
N103	9.9	90.0	8.0 - 16.0	4.5	9	AB
N104	9.9	150.0	8.0 - 16.0	4.5	9	AB
N105	9.9	210.0	8.0 - 16.0	4.5	9	AB
N106	9.9	270.0	8.0 - 16.0	4.5	9	AB
N107	9.9	330.0	8.0 - 16.0	4.5	9	AB
NH01	99999.9	0.0	2.0 - 4.0	2.4	22	AC_ne
NHO2	99999.9	0.0	3.5 - 5.5	2.4	13	AC_ne
NH03	99999.9	0.0	5.0 - 10.0	2.4	13	AC_ne
NH04	99999.9	0.0	8.0 - 1E.O	2.5	9	AC_ne
NV01	99999.9	0.0	0.5 - 1.5	2.5	17	D
NV02	99999.9	0.0	1.0 - 2.0	2.5	1/	C
NV03	99999.9	0.0	1.5 - 2.5	2.5	1/	C
NV04	99999.9	0.0	2.0 - 3.0	2.5	22	C
NV05	99999.9	0.0	2.0 - 4.0	2.4	22	C
NV06	99999.9	0.0	3.5 - 5.5	2.4	13	Ç

Table 4.2.2 (cont.)

BEAM	Velocity	Azimuth	Filter	band	Threshold	Cont	figuration
FR01 FR02	99999. 99999.	9 0.0 9 0.0	1.0 - 1.5 -	3.0 3.5	4.0	17 17	CD CD
FR03	99999.	9 0.0	2.0 -	4.0	4.0	22	BCD
FR04	999999.	9 0.0	2.5 -	4.5	4.0	13	DC DC
FR05	99999.	9 0.0	3.0 -	5.0	4.0	13	
FROG	99999.	9 0.0	4.0 -	8.0	5.0	9	AB
FR07	99999.	9 0.0	8.0 -	16.0	5.0	9	AB
FR08	14.	3 0.0	2.0 -	4.0	4.0	22	BCD
FR09	14.	3 90.0	2.0 -	4.0	4.0	22	BCD
FR10	14.	3 180.0	2.0 -	4.0	4.0	22	BCD
FR11	14.	3 15.0	2.5 -	4.5	4.0	22	BCD
FR12	14.	3 75.0	2.5 -	4.5	4.0	22	BCD
FR13	14.	3 135.0	2.5 -	4.5	4.0	22	BCD
FR14	14.	3 25.0	3.0 -	5.0	4.0	22	BCD
FR15	14.	3 75.0	3.0 -	5.0	4.0	22	BCD
FR16	14.	3 125.0	3.0 -	5.0	4.0	22	BCD
FR17	99999.	9 0.0	2.0 -	4.0	4.0	13	BC
IF18	99999.	9 0.0	1.0 -	2.0	2.5	17	C
IF19	99999.	9 0.0	2.0 -	3.0	2.5	22	C
IF20	99999.	.9 0.0	2.0 -	4.0	2.1	13	D
FI18	99999.	9 0.0	1.0 -	2.0	2.5	17	С
FI19	99999	9 0.0	2.0 -	3.0	2.5	22	С
FT20	99999	9 0.0	2.0 -	4.0	2.1	13	D

Table 4.3.1 ARCESS 'RONAP' beam table. The table shows the name of beam, velocity (km/sec), azimuth (degrees), filter band (Hz), STA/LTA threshold, and configuration. The configuration is described with number of sensors and A.B.C or D-rings. Center A0 is always within the configuration. FI18 - FI20 are incoherent beams using vertical components. IF18 - IF20 are identical to FI18 - FI20. This beam set was used up to 1989, day 103:09.

BEAM	Velocity A	zimuth	Filter	band	Threshold	Conf:	iguration
F011 F021 F031 F032 F033 F034	999999.9 999999.9 99999.9 11.0 11.0 11.0	$\begin{array}{c} 0.0\\ 0.0\\ 30.0\\ 90.0\\ 150.0\\ 210\\ 0\end{array}$	0.5 - 1.0 - 1.5 - 1.5 - 1.5 - 1.5 -	1.5 3.0 3.5 3.5 3.5 3.5	4.0 4.0 4.0 4.0 4.0 4.0 4.0	10 17 17 17 17 17 17	D CD CD CD CD CD CD CD
F035	11.0	270.0	1.5 -	3.5	4.0	17	CD
F037	11.0	330.0	1.5 -	3.5	4.0	17	CD
F038	15.8	80.0	1.5 -	3.5	3.5	17	CD
F039	10.0	30.0	1.5 -	3.5	3.5	17	CD
F041	999999.9	20.0	2.0 -	4.0	4 0	17	CD
F042	10.2	90.0	2.0 -	4.0	4.0	17	CD
F043	10.2	150.0	2.0 -	4.0	4.0	17	CD
F045	10.2	210.0	2.0 -	4.0	4.0	17	CD
F046	10.2	270.0	2.0 -	4.0	4.0	17	CD
F047	10.2	330.0	2.0 -	4.0	4.0	17	CD
F048	15.8	80.0	2.0 -	4.0	3.5	17	CD
F049	10.0	30.0	2.0 -	4.0	3.5	22	BCD
F051	99999.9	20.0	2.5 -	4.5	4.0	22	BCD
F052	8.90	90.0	2.5 -	4.5	4.0	22	BCD
E055	8.90	150.0	2.5 -	· 4.5	4.0	22	BCD
F055	8.90	210.0	2.5 -	• 4.5	4.0	22	BCD
F056	8.90	270,0	2.5 -	4.5	4.0	22	BCD
F057	8.90	330.0	2.5 -	4.5	4.0	22	BCD
F058	15.8	80.0	2.5 -	4.5	3.5	22	BCD
F059		30.0	2.5 -	4.3	3.5	22	BCD
F061	999999.9	30.0	3.0 -	- 50	4.0	22	BCD
F002	10.5	90.0	3.0 -	- 5.0	4.0	22	BCD
F064	10.5	150.0	3.0 -	- 5.0	4.0	22	BCD
F065	10.5	210.0	3.0 -	- 5.0	4.0	22	BCD
F066	5 10.5	270.0	3.0 -	- 5.0) 4.0	22	BCD
F067	10.5	330.0	3.0 -	- 5.0	4.0	22	BCD
F068	15.8	80.0	3.0 -	- 5.0) 3.5	22	BCD
F069		30.0	3.0 -	- 5.0	5 4 0	13	BC
F071	L 99999.9	0.0 70 05	3.5	_ J	5 4.0	13	BC
FU/2	2 <u>11.1</u> 2 11 1	90.0	3.5 -	- 5.5	5 4.0	13	BC
F072	11.1	150.0	3.5	- 5.5	5 4.0	13	BC
F075	11.1	210.0	3.5	- 5.9	5 4.0	13	BC

Table 4.3.2 ARCESS beam table. The table shows the name of beam, velocity (km/sec), azimuth (degrees), filter band (Hz), STA/LTA threshold, and configuration. The configuration is described with number of sensors and A.B.C or D-rings. Center A0 is always within the configuration. FH01 - FH04 are incoherent beams using horizontal components of the A0,C2,C4 and C7 three-component stations. FV01 - FV04 are incoherent beams using vertical components. This beam set has been in operation since 1989, day 103:09.

DOJC						
10/0	11.1	270.0	3.5 - 5.5	4.0	13	BC
F0//	11.1	330.0	3.5 - 5.5	4.0	13	BC
F081	99999.9	0.0	4.0 - 8.0	4.0	13	BC
F082	9.50	30.0	4.0 - 8.0	4.0	13	BC
F083	9.50	90.0	4.0 - 8.0	4.0	13	BC
F084	9.50	150.0	4.0 - 8.0	4.0	13	BC
F085	9.50	210.0	4.0 - 8.0	4.0	13	BC
F086	9.50	270.0	4.0 - 8.0	4.0	13	BC
F087	9,50	330.0	4.0 - 8.0	4.0	13	BC
F091	99999.9	0.0	5.0 - 10.0	4.5	13	BC
F092	10.5	30.0	5.010.0	4.5	13	BC
F093	10.5	90.0	5.0 - 10.0	4.5	13	BC
F094	10.5	150.0	5.0 - 10.0	4.5	13	BC
F095	10.5	210.0	5.0 - 10.0	4.5	13	BC
F096	10.5	270.0	5.0 - 10.0	4.5	13	BC
F097	10.5	330.0	5.0 - 10.0	4.5	13	BC
F101	99999.9	0.0	8.0 - 16.0	4.5	9	AB
F102	9.9	30.0	8.0 - 16.0	4.5	9	AB
F103	9.9	90.0	8.0 - 16.0	4.5	9	AB
F104	9.9	150.0	8.0 - 16.0	4.5	9	AB
F105	9.9	210.0	8.0 - 16.0	4.5	9	AB
F106	9.9	270.0	8.0 - 16.0	4.5	9	AB
F107	9.9	330.0	8.0 - 16.0	4.5	9	AB
FH01	99999.9	0.0	2.0 - 4.0	2.4	22	AC ne
FH02	99999.9	0.0	3.5 - 5.5	2.4	13	AC ne
FH03	99999.9	0.0	5.0 - 10.0	2.4	13	AC ne
FH04	99999.9	0.0	8.0 - 16.0	2.5	9	AC ne
FV01	99999.9	0.0	0.5 - 1.5	2.5	17	D
FV02	99999.9	0.0	1.0 - 2.0	2.5	17	Ē
FV03	99999.9	0.0	1.5 - 2.5	2.5	17	č
FV04	99999.9	0.0	2.0 - 3.0	2.5	22	č
FV05	99999.9	0.0	2.0 - 4.0	2.4	22	Č
FV06	99999.9	0.0	3.5 - 5.5	2.4	13	Č
						-

Table 4.3.2 (cont.)

5 Maintenance Activities

5.1 Activities in the field and at the Maintenance Center

This section summarizes the activities in the field, at the Maintenance Center (NMC) Hamar and NDPC activities related to monitoring and control of the NORSAR, NORESS and ARCESS arrays.

Activities comprise preventive/corrective maintenance in connection with all the NORSAR subarrays. NORESS and ARCESS. Other activities are related to testing the NORSAR communications systems.

Cables have been pointed out (01A,02C) and spliced (04C.02B,01B,02C). SLEMs have been replaced/reset (02B,06C). LP seismometers and related equipment have been adjusted/replaced (02B,01B,03C).

NORESS

Power and fiber cables have been cut and spliced, buried and rerouted in three new trenches (NORESS, C3). Hub station power distribution and power supply at site D3 have been replaced after lightning. Electronics on remote sites A1,A3,B3,B5 were repaired. Satellite modem was replaced and broadband instrument KS36000 installed after repair.

ARCESS

A new NORSAT B antenna was erected. Ditches and roads were sown. Fiber optical links at all sites were adjusted.

Details are presented in Table 5.1.

Subarray/	Task	Date
area		
NDPC	Daily check of SP/LP data and comm. systems.	April
	Check including NORESS and ARCESS data.	
	Weekly calibrations of SP/LP instruments.	
	Adjustment of MP/FP parameters when outside	
	tolerances	
01A	In connection with digging, SP05 pointed out	19 May
	to a farmer.	
02B	SLEM reset after power outage. Cable work SP02.	22 May
04C	Cables splicing SP04.	24 May
NORESS:	Power and fiber cables to site C3 cut and spliced.	May
	Afterwards buried in a new trench	
NDPC	Daily routine check of NORSAR, NORESS and	May
	ARCESS data, Weekly calibration of SP/LP	
	instruments.	
NORSAR:		
02C	Cable splicing SP05.	19,20 June
02B	Cable splicing SP02.	21.22 June
06C	Check of EW LP seismometer.	23 June
04C	Cable splicing SP04.	26 June
02B	Replaced MP motor (RCD) EW LP seismometer.	27 June
02B	Cable fault SP04.	28 June
06C	Digital Unit replaced (SLEM).	29 June
01B	Cable splicing SP02.	30 June
ARCESS:	Ditches and roads sown.	1-12 June
	Fiber opitical links at all sites adjusted.	11.12 June
NORESS:	Satellite transmitter modem. Hub and remote	June
	site (C3.D5.D2.D3) were still down due to lack	
	of spare parts (from Sandia).	
NORSAR:		
04C	Visit to SP02.03 and 00 in connection with	6 July
	repair after lightning.	
01A	Cable work SP02.	24,26 July
01A	Cable work SP04.	27,28 July
02C	Cable splicing SP05.	25 July

Table 5.1 Activities in the field and the NORSAR Maintenance Center, including NDPC activities related to the NORSAR array, 1 April – 30 September 1989.

Subarray/	Task	Date
area		
ARCESS:	Array visited by P.W. Larsen. Satellite	18-20 July
	modem was replaced.	
NORESS:	Hub station power distribution replaced.	4 July
	Power supply site D3 replaced after lightning.	5 July
	HF supply faulty -	17 July
	Lightning put the array out of operation and	24 July
	it remained so throughout the month.	
NDPC:	Daily routine check of NORSAR, NORESS and	July
	ARCESS data.	
NORSAR:		
06C	Replaced SLEM power supply.	1 Aug
06C	Cable splicing carried out SP03.	17 Aug
02C	Located faulty cable SP05.	31 Aug
NDPC:	Daily check of NORSAR, NORESS and ARCESS	Aug
	data.	
	Calibration every week of NORSAR LP instru-	Aug
	ments. Measurements of Mass Pos. and Free	
<u> </u>	Period, adjusted when outside tolerances.	
ARCESS:	Installed broadband instrument KS36000 which	1-7 Sep
	has been in USA for repair. In addition, a	
	new NORSAT B antenna erected.	_
NORESS:	Electronics on remote sites A1,A3,B3,B5 repaired,	12-15 Sep
MORALD	and cable D6 spliced.	& 20 Sep
NORSAR:		
01A	Repaired damaged cable SP02.	18-19 Sep
01B	LP instruments adjusted.	22 Sep
02C	Repaired JB box SP05.	11 Sep
03C	SP/LP adjustments carried out.	25 Sep
04C	Test Gen. and Protection Card on SP04 replaced.	21 Sep
02B	(Telemetry) Station 2 was repaired.	26,27 Sep
06C	Broadband filter removed from ch. 05 and	30 Sep
NDDG	experiment terminated.	6
NDPC:	Daily check of NORSAR, NORESS and ARCESS	Sep
	data. In spite of a failing Modcomp we were	
	able to calibrate and check the NORSAR array.	

Table 5.1 (cont.)

5.2 Array status

Broadband filter experiment 06C SP ch 05 was terminated by the end of September 1989.

As of 30 Sep 1989 the following NORSAR channels deviated from tolerances. $_$

01A 01 8 Hz filter 02 8 Hz filter 04 30 dB attenuator

O.A. Hansen

6 Documentation Developed

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7 Summary of Technical Reports / Papers Published

7.1 A performance test of the generalized beamforming method applied to a data base of regional events

Introduction

In the real time processing of seismic network data, one of the most important aspects is to properly associate phases detected at individual stations. For a network of regional arrays, the current state-of-the-art is represented by the IAS system, where the Assess procedure is used for phase association and location estimation (Bache, 1987).

The generalized beamforming method introduced by Ringdal and Kværna (1989) is a different approach that has shown considerable promise as an efficient way to obtain phase grouping and initial epicenter estimates. In this paper, the method is applied to a data base of 77 regional and local events and compared to IAS results. It is found that the generalized beamforming is able to match the good results by Assess as far as phase grouping is concerned, and that the epicenter determinations are also quite accurate.

It is concluded from this study that the new method could be useful as a supplement to the expert systems techniques in future extensions of the IAS system. While some further testing would be required, it would appear that the method could be particularly valuable as a pre-processor in this regard. In this way, the results from the generalized beamforming could be taken as input to a procedure where the rule-based and script-based algorithms implemented in the IAS could be used to further refine the solutions.

Data analysis

The data set of event recordings from NORESS and ARCESS were first processed by the IAS detector program, called Sigpro, to generate detection lists for each array. The detection list was then processed by the IAS rule-based expert system, called Assess, to define event groups and event locations.

Subsequently the automatic Assess solutions were reviewed by an analyst, and if necessary, phases were retimed or new phases were picked to get more precise locations. When false events occurred, these were invalidated by the analyst.

As we consider the analyst-reviewed event definitions and locations to be correct, we will use those as a basis for evaluating the performance of the generalized beamforming method. For reference, we will also present the results produced by Assess.

1) Identification of event groups

After analyst review of the Assess solutions, 76 events were observed. Phase detections belonging to a common event, will be called an event group. 72 of the 76 event groups were validated or relocated after analyst review. The remaining 4 events can be divided into the following classes:

- a) One multiple event that was observed as a separate event group, but with wrong phase id's. This event was not relocated by the analyst.
- b) One triple event that was observed as one separate event group, but with wrong phase id's. These events were not relocated by the analyst.
- c) One multiple event that was observed by analyst, but was not given any separate event group by Assess.

Both the generalized beamforming method and Assess in a few cases gave automatic locations that deviated considerably from the locations found after analyst review. But as long as a real event candidate is identified, the analyst can later make the necessary adjustments of phase id's and arrival times. We will therefore consider the event in 1a) as identified by Assess, one of the two events in 1b) as identified, whereas the second event in 1b) and the event in 1c) is missed.

The generalized beamforming method found 71 of the 72 events that were validated or relocated after analyst replacement. The events in 1a) and 1b) were missed, whereas the event in 1c) was identified. One new event was identified, so we set the number of events observed by analyst to 77.

If we discard the multiple events in 1a)-1c) from the statistics, the generalized beamforming method and Assess remain with one missing event each, both of these being small interfering events observed on one array only.

	Analyst	Generalized	Assess
Events identified	77	73	74
Excluding multiples	73	72	72

2) False events

An important factor in real-time operation of seismic networks is the number of false event groups created by the automatic system. If an analyst is going to review the automatic output, he will want the number of false events to be at a reasonable level. By split two-array events we mean that a single event was detected on two arrays, but the automatic algorithm created an extra event that was false. By split one-array event we mean that the event was detected on one array only, but the automatic algorithm created an extra event that was false. Implausible associations were decided on the basis of detection list information like amplitudes and frequency content of the different phases, knowledge of propagation characteristics and/or by inspecting the actual data.

	Generalized	Assess
Split two-array events	1	7
Split one-array events	0	-1
Implausible associations	7	4
Number of false events	8	15

3) Phase identification

The generalized beamforming method takes advantage of the travel-time pattern of the detected phases when event groups and phase identifications are defined. For events with detections on one array only, we know that these patterns in many cases do not contain sufficient information for defining the individual phase identifications. In those situations a rule-based approach has to be invoked.

For 39 events in the sample data base with detections on two arrays, we have made some statistics for both the generalized beamforming method and Assess that reflect the ability to assign correct phase id's to the different detections. The reference will be the phase id's selected by analyst.

The columns of the following tables need some explanation.

correct	- gives the number of correctly identified detections.
early	gives the number of cases where a detection preceding the
	correct one is selected.
late	- gives the number of cases where a detection following the
	correct one is selected.

misse	d – gives the number of cases were a detection is identified by the analyst.
	but missed by the automatic method.
# pha	ases - means number of detections identified as defining phases by the analyst.
	# phases=correct+early+late+missed
	The number of events analyzed is 39, as indicated earlier.
false	- gives number of cases where the automatic method picked a defining
	phase that was not identified by the analyst.

For both the generalized beamforming method and Assess, we give tables for the phase identification performance on Noress and Arcess detections. By adding the two tables, we also indicate the overall performance.

Generalized beamforming

··· ·	correct	early	late	missed	# phases	false
nrs-pn	31	0	5	1	37	0
nrs-pg	0	0	0	0	0	1
nrs-sn	23	0	6	0	29	0
nrs-lg	24	1	4	1	30	2
sum	78	1	15	2	96	3

NORESS

	correct	early	late	missed	# phases	false
arc-pn	34	0	4	1	39	0
arc-pg	3	0	0	0	3	11
arc-sn	15	1	12	2	30	3
arc-lg	13	2	10	1	26	3
sum	65	3	26	4	98	17

ARCESS

correct early late missed # phases false sum-pn sum-pg sum-sn sum-lg sum

NORESS and ARCESS

V	0	R	E	S	S	

	correct	early	late	missed	# phases	false
nrs-pn	30	0	1	6	37	0
nrs-pg	0	0	0	0	0	0
nrs-sn	21	0	0	- 8	29	1
nrs-lg	20	1	2	ī	30	2
sum	71	1	3	21	96	3

A	R	С	ES9	5
---	---	---	-----	---

	correct	early	late	missed	# phases	false
arc-pn	34	0	3	2	39	0
arc-pg	1	0	0	2	3	0
arc-sn	12	1	3	14	30	1
arc-lg	14	2	4	6	26	4
sum	61	3	10	24	98	5

NORESS and ARCESS

	correct	early	late	missed	# phases	false
sum-pn	64	0	4	8	76	0
sum-pg	1	0	0	2	3	0
sum-sn	33	1	3	22	59	2
sum-lg	34	3	6	13	56	6
sum	132	4	13	45	194	8

Within the data base there were 9 one-array events with three or more defining phases. That all of these events were observed on ARCESS, reflect the fact that very few events within the data base had epicenters close to NORESS. In cases with one-array events defined by three or more phases, there is a possibility that the generalized beamforming method can utilize the travel-time pattern to come up with correct phase id's.

The following two tables indicate the performance of the two methods on this data set.

Generalized beamforming

	correct	early	late	missed	# phases	false
arc-pn	6	0	3	0	9	0
arc-pg	2	0	1	0	3	5
arc-sn	7	0	1	0	8	1
arc-lg	5	0	0	- 1	6	1
sum	20	0	5	1	26	7

Assess

	correct	early	late	missed	# phases	false
arc-pn	8	1	0	0	9	0
arc-pg	1	0	0	2	3	0
arc-sn	5	0	0	3	8	0
arc-lg	1	1	2	2	6	1
sum	15	2	2	7	26	1

4) Initial location estimates

Although the generalized beamforming method gave reasonable phase id's for the 9 one-array events considered above, we can not give very much confidence to the initial event locations. Within the predefined azimuth acceptance limits, all points with the same radius from the observation point will be equally good location candidates. As we have not run any location program on the phases identified by the generalized beamforming method, the location estimates for these events will not be very accurate. But for completeness, we present the results.

For the epicenter estimates obtained by the generalized beamforming method and Assess, we calculated the deviations from the epicenters computed after analyst review. These deviations were grouped into different intervals, as shown below.

Deviation from analyst reviewed epicenter solutions for all one-array events with three or more defining phases.

Deviation	Number of events within distance interval				
in km	Generalized	Assess			
d≤10	0	3			
10 <d≤25< td=""><td>0</td><td>2</td></d≤25<>	0	2			
25 <d≤50< td=""><td>4</td><td>1</td></d≤50<>	4	1			
50 <d≤100< td=""><td>2</td><td>2</td></d≤100<>	2	2			
$100 < d \le 200$	3	1			
200 <d< td=""><td>0</td><td>- 0</td></d<>	0	- 0			
events	9	9			

In the following table we consider the 39 events that were detected on two arrays.

Deviation from analyst-reviewed epicenter solutions for all two-array events

Deviation	Number of even	ts within distance interval
in km	Generalized	Assess
d≤10	3	8
$10 < d \le 25$	8	5
25 <d≤50< td=""><td>15</td><td>12</td></d≤50<>	15	12
50 <d≤100< td=""><td>7</td><td>7</td></d≤100<>	7	7
$100 < d \le 200$	4	2
200 <d< td=""><td>2</td><td>5</td></d<>	2	5
events	39	39

Discussion

We have in the preceding section shown that when processing data from two arrays, the generalized beamforming method identified 73 out of 77 event groups. When discarding multiple events from the same location, only one small interfering one-array event was missed.

Some false event groups were created, and this requires some attention. In the cases were two phases from two arrays are erroneously associated together, a check on the amplitude (magnitude) of the two phases would remove some of the event candidates.

One of the main problems in automatic identification of seismic phases, is the relatively large number of detections in the coda of the seismic signals. If the travel-time functions of the different phases do not fit with what we acually observe, there is a possibility that the generalized beamforming method will assign a phase id to one of the coda detections. For the 39 two-array events, we find that this happened in 41 cases.

As an additional test, we wanted to check if we could improve the performance by introducing some simple rules to the procedure. They were as follows:

- a) If a phase is assigned the id Pn, and the event group contains a preceding Pn candidate (within some given time interval), the preceding phase is given the id Pn.
- b) If a phase is assigned the id Sn, and the event group contains a preceding Sn candidate (within some given time interval), the preceding phase is given the id Sn.
- c) If a phase is assigned the id Lg, and the event group contains an Lg candidate with a larger STA value (within some given time interval), that phase is given the id Lg.

The time intervals were for all 3 phases set to 10 seconds, and we did of course also check how these rules affected the previously correct phase assignments. The results are shown in the tables below.

Generalized beamforming

NORESS

	correct	early	late	missed	# phases	false
nrs-pn	36	0	0	1	37	0
nrs-pg	0	0	0	0	0	1
nrs-sn	27	0	2	0	29	0
nrs-l g	26	0	3	1	30	2
sum	89	0	5	2	96	3

ARCESS	5
--------	---

	correct	early	late	missed	# phases	false
arc-pn	36	0	2	1	39	0
arc-pg	3	0	0	0	3	11
arc-sn	23	1	4	2	30	3
arc-lg	14	2	9	1	26	3
sum	76	3	15	-4	98	17

NORESS and ARCESS

	correct	early	late	missed	# phases	false
sum-pn	72	0	2	2	76	0
sum-pg	3	0	0	0	3	12
sum-sn	50	1	6	$\cdot 2$	59	3
sum-lg	40	2	12	2	56	5
sum	165	3	20	- 6	194	20

What we found was that these simple rules improved the performance on Pn and Sn considerably, but the Lg-rule did not provide almost any improvement. Another approach that could possibly be used to better identify Lg is to compute an event location based on the identified phases and thereafter reselect Lg from the detection that best fitted the predicted Lg arrival time.

But the fundamental problem is that for many regions, we do not have the correct travel-time functions, so to further improve the performance of the generalized beamforming method, we need to introduce regionalized traveltime functions that reflect the automatic picks.

For the 9 one-array events with three or more defining phases, 5 out of 26 phase id's were assigned to coda detections. By introducing the same rules as outlined for the two-array events, this number was reduced to 2, as shown in the table below.

	correct	early	late	missed	# phases	false
arc-pn	9	Ö	0	0	9	0
arc-pg	2	0	1	0	3	5
arc-sn	8	0	0	0	8	1
arc-lg	4	0	1	1	6	1
sum	23	0	2	1	26	ī

Generalized beamforming

For the two-array data set, the analyst only identified 3 Pg phases, all on ARCESS. The generalized beamforming method correctly identified these phases, but in addition, 12 Pn coda detections were assigned the id Pg. Some of these false Pg identifications may be removed by giving this phase some stronger constraints on apparent velocity and/or polarization attributes.

The generalized beamforming produced initial locations that were consistent with the relatively good performance on phase identification. The natural next step would be to run a location program on the identified phases, and then compare to the location obtained after analyst review. In this study we have presented results on the performance of the generalized beamforming method on a network of two arrays. The next step will be to get detection data (real or synthetic) for a larger network of arrays and single stations, and test the method on this data set. Since the computer programs for this method is almost fully parameterized, only minor modifications are needed for such an experiment.

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T. Kværna

7.2 NORSAR P-wave detection and yield estimation of selected Semipalatinsk explosions

In the most recent NORSAR Semiannual Technical Summary (NORSAR Scientific Report No. 2-88/89) results are presented showing that the Lg phase provides very stable measurements of magnitudes of Semipalatinsk underground nuclear explosions, thereby showing-considerable promise with regard to explosion yield estimation (Ringdal and Marshall, 1989). A possibility to compare Lg and P magnitudes to published yields for Semipalatinsk explosions has now emerged with the recent publication by Soviet scientists quoting yield estimates for a number of such explosions (Bocharov *et al.* 1989, Vergino, 1989). This paper presents preliminary results from a study comparing NOR-SAR measurements to these yield data.

Yield estimation based on NORSAR Lg data

We have made an effort to conduct detailed analysis of available NORSAR Lg data for the largest explosions in the data set given by Bocharov *et al* (1989) (Table 7.2.1). The results are as follows:

The Shagan River event of 11/02/72: This event was included in the data base of Ringdal and Marshall (1989), with an M(Lg) value of 6.118.

The Shagan River event of 12/10/72: This event was likewise in the above data base, with M(Lg) = 6.116. However, a factor not compensated for in this estimate was the occurrence of a smaller ($M_b = 5.6$) explosion at Degelen Mountains with origin time a few seconds before the Shagan River event (Fig. 7.2.1). The quoted M(Lg) value includes a contribution from Lg waves from this smaller event, and is therefore slightly high. Making the reasonable assumption that the Lg signals from the two events add up incoherently, i.e., that the signal power adds up, it is possible to compensate for this interference in the same way as the noise compensation is performed. To do this, we need an estimate of M(Lg) for the smaller event, and a range of 5.5–5.7 seems reasonable. The corresponding bias values are found to range from 0.013 to 0.030 units, with a mean of 0.021. Thus a revised M(Lg) estimate of 6.116-0.021 = 6.095 is obtained, with an uncertainty of about \pm 0.01 units resulting from this compensation procedure.

The Shagan River event of 11/30/69: We have been able to recover initial NORSAR data from this explosion. At the time, only 13 NORSAR SP channels (out of 132) were in operation, and 5 of these were among the 42 channels used in the previous analysis. Based on these 5 channels, we have estimated $M(Lg) = 6.043 \pm 0.021$ for this event.

The Degelen Mountains event of $\frac{4}{25}/71$: We have processed this event, using exactly the same procedure as for the Shagan River explosions, with the same

time windows, filter setting and channel corrections. The resulting estimate is $M(Lg) = 5.862 \pm 0.013$, based on 42 NORSAR channels.

Fig. 7.2.2 shows a plot of M(Lg) versus published yields (Bocharov *et al*, 1989) for these four explosions. We note that the correspondence is excellent, although there are of course far too few data points to draw any firm conclusion. Nevertheless, it is interesting to_note that the Degelen event appears to give consistent observations with the Shagan River explosions, which also would be expected from the earlier observed stability of the M(Lg) estimates.

For comparison, Fig. 7.2.3 shows a plot of world-wide m_b versus these yield values for the same four events. The scatter is far greater than for the Lg data. Looking at the differences m_b -log Y, it is interesting to note that the two explosions in the SW and NE Shagan River area show a relative bias of 0.13 units, which is very close to the m_b -M(Lg) anomaly of 0.15 magnitude units found by Ringdal and Marshall (1989) between these two subregions.

Detection of low-yield explosions

The cited Soviet publication also lists a number of low-yield nuclear explosions conducted at Semipalatinsk. We have analyzed available NORSAR data for these explosions, for the purpose of assessing the detection thresholds of the NORSAR/NORESS system in terms of explosion yields. Fig. 7.2.4 shows NORSAR single instrument P-wave recordings of the three smallest explosions with NORSAR data available: 2 Sep 72 (2 kt), 28 Mar 72 (6 kt) and 16 Aug 72(8 kt). We have chosen to display the instrument 06C02, which is co-located with the center seismometer of the NORESS array (Note that NORESS data have only been available since 1984). The traces have been filtered in the band 2.0-4.0 Hz, and the signal-to-noise ratios (STA/LTA) are given for each trace. Note that an STA/LTA treshold of 4.0 is generally sufficient to confidently declare a signal detection.

It is clear from this figure that the NORSAR detection threshold, at the single seismometer level, is well below the yields of the three events shown. By a reasonable extrapolation, it can be inferred that, given similar coupling conditions and noise levels as in these three examples, Semipalatinsk explosions of yields as low as 0.5 kt would be expected to produce detectable signals at NORSAR, even at the single sensor level.

While the available data are not sufficient to draw any firm conclusions regarding the NORSAR/NORESS array thresholds, some preliminary observations may nevertheless be made. Thus, it has been established in earlier studies that the SNR gains of NORESS in the filter band shown are at least 14 dB (Kværna, 1989), which corresponds to a factor of 5 in signal amplitude. This indicates a detection threshold of 0.1 kt or lower for the NORESS array for fully coupled Semipalatinsk explosions, assuming normal noise conditions. Such an assertion is also consistent with earlier studies indicating that NORESS has a detection threshold, in terms of m_b , of about 3.0 or lower for the Semipalatinsk region.

Conclusions

Studies of NORSAR recordings of Semipalatinsk explosions for which Soviet yield estimates are available confirm that NORSAR Lg magnitudes have a potential for providing very accurate yield estimates in the magnitude range where there is sufficient signal-to-noise ratio. Notably, the P-Lg bias earlier observed between the NE and SW parts of the Shagan River test site is reflected in a similar bias between m_b and log (Yield).

Furthermore, the excellent signal detectability of the NORSAR/NORESS system has been confirmed. The detection threshold for fully coupled Semipalatinsk explosions, assuming normal noise conditions, appears to be below 1 kt, even at the single sensor level. Additional significant improvement would be obtainable through array processing. Clearly, this detection level will not be achieved in cases where the noise level is abnormally high (e.g., in the coda of a large earthquake) or if coupling conditions are not optimal (e.g., in case of full or partial cavity decoupling). It must also be noted that the event identification threshold is necessarily higher than the signal detection threshold, and that there are currently no seismic methods available to distinguish reliably between small underground nuclear explosions and chemical explosions of similar yields.

F. Ringdal

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Vergino, E.S. (1989): Soviet Test Yields, EOS, Nov 🐃 1989, 1511-1525.

Date	Region	mb	NORSAR	Yield
		Ū,	M(Lg)	kt
11/30/69	Shagan (TZ)	6.02	6.043	125
04/25/71	Degelen	5.94	5.862	90
11/02/72	Shagan (SW)	6.16	6.118	165
12/10/72	Shagan (NE)	5.96	6.095*)	140

*) Adjusted for interfering explosion

Table 7.2.1 Parameters of four explosions analyzed in this study. Yield estimates are from Bocharov *et al* (1989).



Fig. 7.2.1 NORSAR recordings (instrument 01A00) of the double explosion on Dec 12, 1972. Note that the Lg phase is a superposition of signals from both sources, although the contribution from the smaller explosion (Degelen) is relatively modest. By estimating a confidence interval of the M(Lg) for the Degelen event, it is possible to compensate for the effects on the M(Lg) for the Shagan River event, as described in the text.



Fig. 7.2.2 Comparison of M(Lg) and log(yield), of the four explosions in Table 7.2.1, with the yield values taken from Bocharov *et al* (1989). The slope of the straight lines has been restricted to 0.9. Note the excellent correspondence.



Fig. 7.2.3 Same as Fig. 7.2.2, but using m_b instead of M(Lg). Note the much greater scatter in this plot.



Fig. 7.2.4 NORSAR single seismometer recordings (instrument 06C02) of three low-yield Semipalatinsk nuclear explosions. The traces have been filtered in the band 2.0-4.0 Hz, and the signal-to-noise ratio is shown for each trace. Note the very high SNR observed even at the single sensor level. Significant additional SNR gain would be obtained through array processing of the NORSAR data.

7.3 Analysis of data from the China Digital Seismograph Network (CDSN) for Soviet nuclear explosions

This paper is a follow-up to earlier work (Ringdal and Marshall, 1989; Hansen $\epsilon t \ al$, 1989) aimed at evaluating the stability of seismic Lg magnitudes for yield estimation purposes. In particular, these efforts have involved analyzing available Lg data from Soviet nuclear explosions at the Shagan River. Semipalatinsk test site, and conducting comparative analyses of Lg and P recordings at various seismograph stations.

Hansen *et al* (1989) analyzed data recorded at four digital stations installed by IRIS in the Soviet Union, and found an excellent correspondence between Lg measurements at these stations and the NORSAR M(Lg) estimates published by Ringdal and Marshall (1989). Furthermore, they noted the very high Lg signal-to-noise ratio observed at the IRIS stations, in particular ARU and GAR, and concluded that reliable Lg measurements at these stations would be possible for explosions as small as $m_b = 4.0$, assuming normal noise conditions.

In this paper, we extend the analysis to data from the China Digital Seismograph Network (CDSN), which is operated by the USGS in cooperation with the State Seismological Bureau, Beijing. Two of the CDSN stations, WMQ in Urumqi and HIA in Hailar, have particularly good Lg propagation paths from Semipalatinsk, and we have based our analysis on data from these two stations.

Fig. 7.3.1 shows the locations of the two stations in relation to the test site, as well as locations of the NORSAR and the IRIS stations. WMQ has an epicentral distance to Shagan River of 960 km, whereas HIA is at a distance of about 3000 km. Both stations show excellent Lg recordings of Semipalatinsk explosions, as illustrated by the examples in Figs. 7.3.2 and 7.3.3.

In our analysis of WMQ and HIA Lg recordings, we have employed the exact same procedure as described for IRIS data by Hansen ϵt al (1989), and the details will not be repeated here. Data from a total of 12 Shagan River explosions, dating back to 1987, were provided to us for this analysis by the Center for Seismic Studies. Table 7.3.1 lists these events along with the estimated parameters.

Fig. 7.3.4 shows a comparison of WMQ and NORSAR log RMS (Lg) estimates for these 12 events. The slope of the plot has been restricted to 1.00, and the standard deviation of the differences between the two stations is only 0.034 units. This is essentially the same scatter found earlier by Hansen *et al* (1989) when comparing data from NORSAR and the Soviet station ARU, and confirms the excellent stability of the RMS Lg estimates.

Fig. 7.3.5 shows a comparison of HIA and NORSAR log RMS (Lg) esti-

mates. In this case, the slope of the least squares linear relationship (1.48) is significantly different from unity, and we note that a similar observation was also made by Ringdal and Marshall (1989) when comparing NORSAR and Gräfenberg Lg. We will not go into any detail discussing possible underlying physical reasons for this variability in slopes. For our purpose, the important point is to note that the scatter of the relationship is still very small: the standard deviation in the y-axis direction being 0.041 units. The "orthogonal" standard deviation relative to the straight line fit is 0.023, which in fact compares very closely to the orthogonal standard deviation of 0.024 which can be inferred from the WMQ-NORSAR data shown in Fig. 7.3.4.

In Fig. 7.3.6 we plot the HIA versus WMQ log RMS (Lg) values, and again observed that the least-squares slope (1.36) is significantly different from unity. Once more, the scatter is very small, with an orthogonal standard deviation of 0.028 units.

Fig. 7.3.7 is a plot comparing WMQ Lg data with maximum likelihood ISC m_b estimates. Compared to the previous figures, this plot shows a somewhat greater standard deviation of 0.060 measured in the y-axis direction. This scatter is still quite small, but it must be noted that only one event from the northeast part of Shagan is in the data base. Thus, we cannot assess whether the M(Lg) versus m_b bias earlier found for this subregion (Ringdal and Marshall, 1989) is also present when measuring Lg at WMQ.

Finally, Fig. 7.3.8 compares the signal-to-noise ratios (defined as Lg signal to pre-P noise) for stations at various distances, using 5 large explosions. It is noteworthy that WMQ shows the best SNR for all the events. The figure suggests that WMQ would be able to give Lg measurements for events two magnitude units smaller than the NORSAR threshold of approximately 5.5. Unfortunately, there were no low magnitude events in our data base, so we have not been able to confirm this hypothesis.

In conclusion, our studies confirm that Lg magnitude estimates of Semipalatinsk explosions are remarkably consistent between stations widely distributed in epicentral distance and azimuth. It thus appears that a single station with good signal-to-noise ratio can provide M(Lg) measurements with an accuracy (one standard deviation) of about 0.03-0.04 magnitude units. Thus, the Lg phase shows considerable promise for use in yield determination, although more data will be needed before the accuracy of Lg-estimated yields can be firmly established.

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| No. | Date | mb | NAO Lg | WMQ Lg | HIA Lg |
|-----|-------|------|--------|--------|--------|
| 1 | 87171 | 6.03 | 3.012 | 3.851 | 2.189 |
| 2 | 87214 | 5.83 | 2.911 | 3.693 | 2.072 |
| 3 | 87319 | 5.98 | 3.014 | 3.870 | 2.298 |
| 4 | 87347 | 6.06 | 3.133 | 3.907 | 2.352 |
| 5 | 87361 | 6.00 | 3.086 | 3.851 | 2.339 |
| 6 | 88044 | 5.97 | 3.082 | 3.911 | - |
| 7 | 88094 | 5.99 | 3.103 | 3.925 | 2.307 |
| 8 | 88125 | 6.09 | 3.084 | 3.958 | - |
| 9 | 88258 | 6.03 | 3.014 | 3.827 | 2.224 |
| 10 | 88317 | 5.20 | 2.307 | 3.104 | |
| 11 | 88352 | 5.80 | 2.846 | 3.636 | 1.947 |
| 12 | 89043 | 5.90 | 2.836 | 3.619 | 1.921 |

Table 7.3.1 Magnitudes (m_b) and log RMS Lg values at NORSAR, WMQ and HIA for 12 explosions analyzed in this study.



Fig. 7.3.1 Map indicating the locations of the Shagan River Test Site, the IRIS stations in the USSR, the NORSAR array in Norway and the stations WMQ and HIA in China.



Fig. 7.3.2 Example of recordings from a Soviet nuclear explosion (3 April 1988) at the station WMQ. For each of the three components we show the unfiltered trace (bottom), the filtered trace (0.6-3.0 Hz) and the 120-second window RMS measure (top) as a function of time.



Fig. 7.3.3 Example of recordings from the Soviet JVE explosion (14 Sep 1988) at the station HIA. The three traces for each component are as on Fig. 7.3.2.



Fig. 7.3.4 Comparison of log RMS Lg measurements obtained at WMQ and NORSAR. The standard deviation of the differences is 0.034 in the y-direction and 0.024 orthogonal to the line. The dotted lines correspond to plus or minus two standard deviations.



Fig. 7.3.5 Comparison of log RMS Lg measurements at HIA and NORSAR. The slope of the line is 1.48 and the standard deviation of the misfit of the line to the data is 0.04 in the y-direction and 0.023 orthogonal to the line. The dotted lines correspond to plus or minus two standard deviations.



Fig. 7.3.6 Comparison of log RMS Lg measurements at HIA and WMQ. The slope of the line is 1.36 and the standard deviation of the misfit of the line to the data is 0.047 in the y-direction and 0.028 orthogonal to the line. The dotted lines correspond to plus or minus two standard deviations.



Fig. 7.3.7 Comparison of log RMS Lg at WMQ to world-wide m_b magnitude. Standard deviation is 0.060 units in the y-direction and 0.042 orthogonal to the line. The dotted lines correspond to plus or minus two standard deviations.



Fig. 7.3.8 Graph showing the variation of the signal-to-noise ratios (log RMS minus log RMS noise) from the four IRIS stations, the NORSAR array and the CDSN stations WMQ and HIA. Epicentral distance to the test site is plotted along the horizontal axis.

7.4 A new data acquisition system for FINESA

The FINESA array was installed in Finland in 1985 and comprised in its original version 10 SPZ seismometers. Results from data analysis using the FINESA array in its initial configuration are given in Ringdal *et al* (1987). In 1988, the geometry of the array was expanded by the addition of five elevents, and the FINESA array geometry currently comprises 15 vertical only seismometers within an aperture of 2 km, as shown in Fig. 7.4.1. An evaluaion of the performance of the expanded array is offered by Mykkeltveit *et al* (1988).

Until this year (1989), the only way of acquiring FINESA data has been by on-site recording on magnetic tapes, and all analysis of FINESA data has been strictly off-line. With the development of the Intelligent Array processing System (IAS), it has become an objective, however, to provide the capability of transmitting data from FINESA in real time to the NORSAR data processing center. At the same time, it has been an objective to enable real time transmission of FINESA data to Helsinki, for the independent analysis of this data by the staff of the Institute of Seismology at the University of Helsinki. A new data acquisition system, meeting the above objectives, has been developed at NORSAR and put into operation. Short descriptions of the field system, the communications interface used, the data transmission lines that have been established, and the SUN-based data acquisition and processing systems installed at NORSAR and Helsinki, are the subjects of this contribution.

The field system

The field unit is a VME-based system manufactured by Force Computers. The software was developed in Norway by the company Data Respons A/S, under the guidance and supervision of NORSAR personnel. A detailed description of this field system is provided in a manual prepared by Data Respons A/S, entitled NORSAR Field, System Description Manual. This manual is available upon request.

The main functions of the field unit are:

- A/D conversion at a sampling rate of 40 Hz of up to 32 channels (15 channels currently in use)
- Synchronized sampling using a Kinemetrics clock feeding the system with GMT time
- Data buffering, reformatting and adding of header information
- SDLC communication.

The entire FINESA acquisition system is shown schematically in Fig. 7.4.2. The units located at the FINESA array site in Sysmä are shown in the upper left corner: The field unit (Force Computer with A/D cards), Kinemetrics clock, an RS232 to V.35 converter, and a modem.

Communications interface and data transmission lines

NORSAR has recently developed a communications system named BUSC-VME (*BU*ffered *SDLC Communications system for SUN VME-based systems*). Our major requirements for a new communications interface were:

- Low cost
- VME-based
- SDLC communication
- No hardware developments
- Easy and low cost maintenance.

The BUSC-VME system meets these requirements and is easily interfaced with a SUN VME-based system. The BUSC-VME system is based on commercially available cards for installation directly into a SUN system.

The BUSC-VME system is based on two VME cards (CPU and memory) installed in the SUN VME bus. There is no requirement for outside units. The SDLC stream is plugged directly into the CPU board. The CPU board is MC68020-based and handles two high speed SDLC links (array and HF-data in case of NORESS; array data only in the current FINESA implementation). Data received by the CPU card are stored in a cyclic buffer on a memory board. A 2 Mb board has buffer capacity for 10 minutes of array data. The buffer size may easily be changed. The transfer speed between SCA and the BUSC-VME system is only limited by the SUN VME bus and the activity on the SUN.

The software driver is very simple since the BUSC-VME memory is mapped into the SUN VME bus and there is no need for interrupt routines to communicate with the memory board. The driver simply reads data out of the buffer like a program fetches data from the regular SUN memory space. All handshaking is controlled on a VME hardware level and has no influence on the SUN itself.

Two spare cards are the only requirements for backup and an acceptable maintenance scheme for the communications system.

The BUSC-VME interface has been installed in the SUN computers at NORSAR and Helsinki to enable reception of FINESA data at these locations.

High speed (64 Kbit/s) digital land lines have been installed between the FINESA array site and Helsinki and between Helsinki and NORSAR. As shown in Fig. 7.4.2, the data stream is split in Helsinki, and forwarded to both NORSAR and the Seismological Institute of the University of Helsinki.

Data acquisition and processing

Data from FINESA are received continuously in real time both at NOR-SAR and in Helsinki, as shown in Fig. 7.4.2. The data acquisition and processing systems are based on SUN 3/2xx computers. Both systems are equipped with local disk, and the SUN system at NORSAR also has an Exabyte tape drive for data archiving.

The data received are written on to a cyclic diskloop, and only available disk space limits the size of this loop. For the NORSAR installation, the disk drive installed permits a loop containing approximately 70 hours of data, which matches the length of the disk loops implemented for the NORESS and ARCESS data acquisition systems.

The detection processing (DP) and event processor (EP) program packages described by Fyen (1989) have been installed on the acquisition computers both at NORSAR and in Helsinki. The beam deployment chosen was designed from the NORESS/ARCESS experience and adapted to the FINESA array geometry. An example of an event automatically detected and located (after an association of a P and an Lg phase) by the DP/EP packages is shown in Figs. 7.4.3-7.4.5. The event is a small mining explosion in Estonia. The format of the plots and the information contained therein are as explained in Fyen (1989).

In the future, data from FINESA will be processed jointly with NORESS and ARCESS data (as well as GERESS data, when available) in the IAS.

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Fig. 7.4.1 Configuration of the FINESA array in Sysmä. Finland, after the expansion of the array geometry in 1988.



Fig. 7.4.2 The new FINESA data acquisition system, comprising the field unit with clock, RS232-V.35 converter and modem (upper left), data transmission lines, and data acquisition and processing systems in Helsinki (upper right) and NORSAR (lower middle).



Fig. 7.4.3 Six-minute plot of a regional event located by FINESA. "Best" P and S beams (VI06 and VI05, respectively; for explanation, see the caption of Fig. 7.4.4) are displayed as the two bottom traces.



Fig. 7.4.4 Expanded display of the P-phase for the event of Fig. 7.4.3. VI06dpx is the detecting beam that gives the best SNR for this phase. This is an incoherent beam with infinite velocity, but plotted here as a coherent beam. using the same steering parameters. VI06 (shown both filtered and unfiltered) is the coherent beam using the same configuration, and steered according to the f-k estimate (here: phase velocity 6.50 km/s; azimuth 165.90°).



Fig. 7.4.5 Same as Fig. 7.4.4, but corresponding to the Lg phase.

7.5 Establishment of a mining explosion data base

On a daily basis, numerous local and regional events are detected and located by the signal analysis procedures applied to the high-frequency arrays NORESS and ARCESS. The majority of these events are related to mining activity in the area monitored by these arrays. Specific knowledge pertaining to mining explosions such as mine locations, charge sizes, firing practices, etc., will aid in the regular analysis of the array data. In addition, such information will be the basis for adequately addressing a range of interesting and important topics within seismological verification research.

With the purpose of obtaining such information, a survey of mining activity in the areas monitored by NORESS and ARCESS was initiated during the reporting period. The work consists of four steps including gathering of back ground material, acquiring information from the mines, defining relational tables and selecting time series from our data archives.

Collection of background information

The authorization of mining activity in Scandinavia is decentralized to local p.'ice; however, there is no official regulation requiring recording of blasting parameters, etc. The initial work towards establishing a mining explosion data base therefore was aimed at obtaining an overview of activities from branch organizations and companies relating to mining activity. The survey of activity resulted in a list of 24 Swedish and 43 Norwegian companies some of which may operate at several locations. These companies represent presumably continuous operation of mining activity underground or at the surface, or simply rock quarries for the purpose of producing building materials. At present, no effort has beer made to map temporary mining activity relating to various construction projects or military activity. However, data regarding larger naval detonations are available via a reporting schedule to the University of Bergen. A list of explosions from the largest open pit mine in southern Norway (Titania) was also obtained as a part of a reporting program to the University of Bergen.

Submission of a questionnaire to actual mines and quarries

Common practice regarding filing of information about past explosions varies considerably from one mine to another, as the mining companies are not required to provide reports on their explosions. A questionnaire was submitted to the 67 companies identified, asking for a number of details about blasting practice and explosions detonated since 1984. Out of the 67 companies approached, answers were obtained from 16, corresponding to an answering per cent of about 25. The quality of the responses varied greatly, apparently due to the lack of details in their files. The sparse response may also be attributed to the fact that the workload on the part of the companies was not associated with any compensation by NORSAR. A map showing the location of mines presently contributing to the explosion data base is given in Fig. 7.5.1. The mines from which data are acquired include four of the main open pit mining sites in Norway, located in southern Norway, western Norway, mid Norway and northern Norway, respectively.

Details of explosion locations at the mine in mid-Norway (Rana Gruber) are shown in Fig 7.5.2, and for the mine in northern Norway (Sydvaranger) located close to the Russian border in Fig. 7.5.3. The latter mine performs blasting at five different locations within an area of about 10 km across. The distances to ARCESS and NORESS from these four mines are shown in Fig. 7.5.4. The amounts of explosives detonated vary from less than 10,000 kg to more than 200,000 kg, thus providing a good range of charge sizes and distances for consideration in future research.

Establishing mining explosion relations according to CSS data base structure

The mines responding to the questionnaire do provide a basis for defining elements of a mining explosion data base for future research and master event selections. Two relations are proposed in conformance with the 2.8 CSS data base structure for the mining explosions. The relational table MINFO contains information specific for one particular mine location, but common to many explosions in that mine. The description of individual explosions is contained in the relation MINEX. Details of the proposed relations are provided in Appendix A.

The information contained in the returned questionnaires has been edited to a computer file and subsequently processed and rewritten to a file in conformance with CSS external file format. The processing includes conversion from local time to EPOC-time and conversion from local coordinates provided by the Norwegian Mapping Authority (NGO) to geodetic latitude and longitude. Subroutines needed for this transformation were acquired and implemented at NORSAR as part of this project.

Examples of the external files of the relational tables MINFO and MINEX are presented in Tables 7.5.1 and 7.5.2. The description of ripple firing is given in terms of one tuple for each individual detonation in the ripple. For many ripple-fired explosions reported by the mines, detailed descriptions of the cap delay times and charge distribution were not provided. In cases where the charge configuration parameter 'chacon' is set to 'obtainable', details of ripples may be obtained upon specific request and entered into the tables at a later time.

Selection of master recordings of mine explosions

The work towards entering typical mine explosion recordings at our \approx rays into master files is at a preliminary stage, and must await the consideration of a large number of recordings supported by data processing results in terms of energy distribution in time, frequency and space. Crucial issues for further consideration ar the attenuation of the seismic waves from various types of mining explosions and the characteristics of ripple firing in time-frequency estimates (spectograms) of such recordings; see, e.g., Baumgardt and Ziegler (1988), Hedlin *et al* (1989), Richards *et al* (1989). Some examples of mine recordings are shown in Figs. 7.5.5 to 7.5.7.

Plans for further work

From the information gathered from the mining industry so far, we are in a good position to judge which of the mines that have not yet returned the questionnaire are of interest to us. We will make an effort to contact these mines again. Many of the mines in this category are located in Sweden, and we expect to obtain some help and advice from Swedish seismologists in establishing contact with the mines in question. After this second round of information gathering, we hope to be able to compile a fairly comprehensive data base of mining explosions in Norway and Sweden.

The network of regional arrays providing real time data to the NORSAR Data Processing Center is currently being expanded. Very soon, data from the FINESA array in Finland and the GERESS array in the Federal Republic of Germany will become available. It will then be necessary to expand the survey of mining activity described in this report to new regions, in particular Finland, the western USSR, Poland, Czechoslovakia and the FRG. Again, we expect to be able to obtain help and information from colleagues in these countries.

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Mine No.	Name	Lat, deg	Lon, deg	Mine Type
1	Olivin	62.04000	5.52333	open pit
3	Rana Gruber	66.42063	4.67853	open pit
4	Sydvaranger	69.65189	30.02533	open pit
5	Sulitjelma	67.14769	16.07007	shaft
6	Fz.f,Bryggja	61.93000	5.45000	shaft
7	Grong	64.87000	13.88000	shaft
8	Bleikvassli	65.93000	13.88000	shaft
9	Fosdalen	64.07000	11.20000	shaft
13	Steens Kalkverk	60.74000	11.02000	open pit
14	Fz.f,Sandvika	59.90000	10.50000	shaft/pit
15	Titania	58.30000	6.40000	open pit
16	Fz.f,Vinterbro	59.75000	10.77000	open pit
101	Vammala	61.33000	23.03000	
102	Enonkoski	62.04000	28.77000	
103	Taipalsaari	61.18000	28.04000	
104	Pyhaesalmi	63.66000	26.05000	
105	Hitura	63.85000	25.05000	
106	Vihanti	64.41000	25.15000	
107	Elijaervi	65.78000	24.70000	
108	Saattopora	67.78999	24.43000	
109	Faarby	60.10000	22.88000	
110	Mustio	60.17000	23.84000	
111	Sipoo	60.25000	25.39000	
112	Tytyri	60.27000	24.07000	
113	Parainen	60.30000	22.29000	
114	Ihalainen	61.03000	28.18000	
115	Vampula	61.05000	22.64000	
116	Otama	61.81000	21.74000	
117	Ruokojaervi	61.94000	29.03000	
118	Ankele	62.07000	27.41000	
119	Ryytimaa	63.15000	24.02000	
120	Kalkkimaa	65.90000	24.47000	
121	Kemiaa	60.14000	22.59000	
122	Horsmanaho	62.82000	29.25000	
123	Lipasvaara	63.02000	29.23000	
124	Siilinjaervi	63.12000	27.74000	
125	Nilsiae	63.16000	27.99000	
126	Lahnaslampi	64.12000	28.06000	

Mine No.	Name	Lat, deg	Lon, deg	Mine Type
201	Dala Kalk AB	60.62000	15.10000	open pit
202	Dannemora	60.12000	17.52000	
203	Garpenberg Nord	60.20000	16.13000	
204	Garpenberg	60.18500	16:11500	
205	Zinkgruvan	58.80000	15.10000	
206	Glanshammar	59.30000-	15.40000	
207	Grängesberg	60.10000	15.00000	
208	Yxsjöberg	60.00000	14.80000	
209	Gåsgruvan	59.70000	14.10000	
210	Svärdsjö	60.70000	15.90000	

Table 7.5.1 Example of attributes contained in the MINFO relation. Mines 1-100 are Norwegian, mines 101-200 Finnish, and mines 201-300 are Swedish.

Epoch	Mine No.	Charges	Charge No.	Delay,ms	Configur.
610812300.000	1	9	1	0.000	ripple
610812300.000	1	9	2	45.000	ripple
610812300.000	1	9	3	90.000	ripple
610812300.000	1	9	4	135.000	ripple
610812300.000	1	9	5	180.000	ripple
610812300.000	1	9	6	225.000	ripple
610812300.000	1	9	7	270.000	ripple
610812300.000	1	9	8	315.000	ripple
610812300.000	1	9	9	360.000	ripple
612021900.000	1	8	1	0.000	ripple
612021900.000	1	8	2	45.000	ripple
612021900.000	1	8	3	90.000	ripple
612021900.000	1	8	4	135.000	ripple
612021900.000	1	8	5	180.000	ripple
612021900.000	1	8	6	225.000	ripple
612021900.000	1	8	7	270.000	ripple
612021900.000	1	8	8	315.000	ripple
507291300.000	3	22	0	0.000	obtainable
508768800.000	3	24	0	0.000	obtainable
509991000.000	3	21	0	0.000	obtainable
516042900.000	3	26	0	0.000	obtainable
518026200.000	3	27	0	0.000	obtainable
526588200.000	3	21	0	0.000	obtainable
578831400.000	3	14	0	0.000	obtainable
581738400.000	3	20	0	0.000	obtainable
584957700.000	3	34	0	0.000	obtainable
592677600.000	3	10	0	0.000	obtainable
595688100.000	3	16	0	0.000	obtainable
604710000.000	3	17	0	0.000	obtainable
609668100.000	3	26	0	0.000	obtainable
532384500.000	4	103	0	0.000	obtainable
613826100.000	5	0	0	0.000	obtainable
602370000.000	6	17	1	0.000	ripple
602370000.000	6	17	2	100.000	ripple
602370000.000	6	17	3	200.000	ripple
602370000.000	6	17	4	300.000	ripple
602370000.000	6	17	5	400.000	ripple
602370000.000	6	17	6	450.000	ripple
602370000.000	6	17	7	950.000	ripple
602370000.000	6	17	8	1450.000	ripple

.

Epoch	Mine No.	Charges	Charge No.	Delay,ms	Configur.
602370000.000	6	17	9	1950.000	ripple
602370000.000	6	17	10	2450.000	ripple
602370000.000	6	17	11	2950.000	ripple
602370000.000	6	17	12	3450.000	ripple
602370000.000	6	17	13	3950.000	ripple
602370000.000	6	17 -	14	4450.000	ripple
602370000.000	6	17	15	4950.000	ripple
602370000.000	6	17	16	5450.000	ripple
602370000.000	6	17	17	5950.000	ripple
599612400.000	9	1	1	0.000	single

Table 7.5.2 Example showing some of the attributes of the MINEX relation. The middle section of the table contains data of ripple fired charges where the details of ripple firing is not specified, however, the data may be obtainable from the mining company.



Fig. 7.5.1 Mines and quarries in Scandinavia presently registered in the mining explosion data base. The most important mines in Norway are shown as Titania, Olivin, Rana Gruber and Sydvaranger.



Fig. 7.5.2 Location of explosions in the open pit mine Rana Gruber, mid-Norway.



Fig. 7.5.3 Main explosion sites of the open pit mine Sydvaranger close to the Russian border in northern Norway.



Fig. 7.5.4 Distance from ARCESS/NORESS to four of the main mines in Norway. Location of the mines is shown in Fig. 7.5.1



Fig. 7.5.5 NORESS single channel recording of ripple fired explosion at Sandvika (near Oslo), shown in nine filter bands. Charge was 1.615 tons over 68 delays in the time interval 0-578 ms.



Fig. 7.5.6 ARCESS recording of ripple fired explosion at Sydvaranger. shown for a three-component station. Charge size over 200 tons.



Fig. 7.5.7 ARCESS recording of ripple fired explosion at Rana Gruber. Charge was 180 tons over 34 delays in the time interval 0-1530 ms.

APPENDIX A

Note on Data Base Structure Proposal for Mine Explosion Relations

The present note proposes two relations in conformance with CSS Database Structure, Version 2.8. The relations and attributes are those proposed by NORSAR based on a sparse return of questionnaires submitted to 67 mines and quarries. The answering per cent is around 25, and it may prove necessary to include more attributes during future research and contact with the mining companies.

The relations proposed are denoted minex and minfo. Minfo contains the basic information about each mine not pertaining to specific explosions. The relation with minex is secured via the relational key minid, the unique identification number of each mine. Further attributes contained in minfo are defined below.

The minex relation contains the relational evid key and other attributes proposed for mine explosions (see below). The minex relation describes each detonated charge in ripple firing by one tuple. The number of tuples pertaining to a ripple fired explosion thus equals the number of charges in the ripple. The charge configuration attribute chacon characterizes the charge as being 'single', 'ripple', 'unknown' or 'obtainable'. In the case of 'unknown', and rdur given, the charge is a ripple but the details of the ripple configuration is only known as the time from first to last subcharge in the ripple. In the case of 'obtainable', the ripple description may be requested from the mining company.

minex

attribute	field	storage	external	character	attribute
name	no.	type	format	positions	description
evid	1	i4	i8	1-8	event id
time	2	f8	f15.3	10-24	epoch time of explosion
date	3	i4	i8	26-33	shot date (julian)
minid	4	i4	i8	35-42	mine id
depth	5	f4	f9.4	44-52	shot depth (km)
elev	6	f4	f9.4	54-62	surface elevation (km)
lat	7	f4	f10.5	64-73	latıde (geodetic)
lon	8	f4	f10.5	75-84	longitude (geodetic)
extyp	9	c15	a15	86-100	type of explosive
grade	10	f4	f9.4	102-110	strength relative tnt
nex	11	i4	i8	112-119	tot no. charges in
					ripple
subnex	12	i4	i8	121-128	actual charge number
delt	13	f4	f9.3	130-138	delay rel. first
					charge (ms)
rdur	14	f4	f9.3	140-148	ripple duration (ms)
totcha	15	f4	f9.1	150-158	total charge (kg)
charge	16	f4	f9.1	160-168	indiv. ripple
-					charge (kg)
chacon	17	c10	a10	170-179	charge config.
					description
auth	18	c15	a15	181-195	author

99

minfo

attribute	field	storage	external	-character	attribute
name	no.	type	format	positions	description
minid	1	i4	i8	1-8	mine id
minam	2	c15	a15	10-24	name of mine
lat	3	f4	f10.5	26-35	latitude (geodetic)
lon	4	f4	f10.5	37-46	longitude(geodetic)
elev	5	f4	f9.5	48-56	surface elev. (km)
prodpt	6	f4	f9.5	58~66	production depth (km)
mintyp	7	c15	a15	68-82	mine type
prodct	8	c20	a20	84-103	product
geolog	9	c30	a30	105-134	bedrock geology
firprc	10	c40	a40	136-145	firing practice
auth	11	c15	a15	177-191	author
Glossary of attributes

minid Relations : minex minfo Mine id; each mine is assigned a positive unique number which identifies it in the data base. (i4) No null.

extyp Relation : minex Explosive type; type of explosive used in a charge.(c15) Mixed case.

grade Relation : minex Explosive strength; messured relative to TNT=1. Slurry may be of grade 0.9, the unit weight of slurry is equivalent to 0.4 units of TNT. used to normalize charge strength to common reference strength. (f4) Null = -1.

nex Relation : minex Total number of charges in a ripple firing. (i4) Null = -1.

subnex Relation : minex Charge number in ripple firing; sequencial unique number identifying the acctual charge for the current entry. values 1 - nex. subnex=0 means obtainable charge distribution in a ripple firing. (i4) Null = -1.

delt Relation : minex
Delay time in ripple firing; delay for current charge (entry)
relative to first charge measured in miliseconds. (f4) Null = -1.

rdur Relation : minex Duration of ripple firing when chacon is 'unqnown'; relative to first charge measured in miliseconds. (f4) Null = -1. totcha Relation : minex
Total charge; sum of nex individual charges (charge) measured in
kg. For single charges totcha=charge. (f4) Null = -1.

charge Relation : minex -Individual charge size in a ripple firing measured in kg. (f4) Null = -1.

chacon Relation : minex Charge configuration attaining values 'single', 'ripple', 'unknown', 'obtainable' (c10) lower case

minam Relation : minfo
Name of mine; (c15) Mixed case

prodpt Relation : minfo Production depth below surface in km. (f4) Null = -1.

mintyp Relation : minfo
Type of mine ; Pit or shaft (c15) Case = lower

prodct Relation : minfo Type of product of the mine; Ore (type), rock etc. (c20)

geolog Relation : minfo
Type of geological environment; sedimentary, crystalline,
metamorphic, specific rock types etc. (c30)

firprc Relation : minfo Firing practice information; Time of day, regularity, etc. (c40)

7.6 Transverse components of explosion-induced Lg waves and upper crustal anisotropy

Introduction

Vertical components of Lg waves have been successfully modelled by many authors (e.g., Bouchon, 1982; Herrmann & Kijko, 1983), but specific problems occur when one attempts to model also their horizontal components. Synthesis of Lg waves produced by explosions and propagating in an isotropic vertically layered crust predicts displacement on the vertical and radial components only. Lg waves produced by explosions, however, commonly show transverse components as large or even larger than the vertical and radial ones both in Eurasia (Mykkeltveit & Husebye, 1981) and in the United States (Blandford, 1981). Examples of Lg recordings at NORSAR 3-component short period station 01A are given in Fig. 7.6.1 for 3 explosions fired at sea during the CANOBE and FENNOLORA refraction experiments (Cassell et al, 1983; Ansorge, 1981). The data have been band-pass filtered between 0.5 and 2 Hz. Note in particular that the Lg waves arrive on the transverse components as early as on the vertical or radial ones and cannot therefore be considered only as coda waves. These recordings are representative of a larger collection of NORSAR explosion recordings where Lg wave transverse components are systematically present and large. In the present case, the explosions were fired close to the sea-bed, 73 km from the coast for the CANOBE example, and close to the coast for the FENNOLORA recordings. The near-source structure certainly generates SV waves, but less likely large SH waves. Propagation in a complex structure is required to produce these transverse components.

The heterogeneous nature of the crust is more commonly invoked than anisotropy in order to explain complex polarization of short period waves, though anisotropy has been observed in various regions of the world, and its effect on polarization of body waves and surface waves is well-documented (see Crampin, 1977, for a review). We plan here to contribute to a better understanding of Lg wave propagation by presenting synthetic seismograms of explosion-induced 3-component Lg waves propagating in models with apparent upper crustal anisotropy.

Anisotropy in the upper crust

Shear-wave splitting, the most typical signature of anisotropy, is observed in an increasing number of regional and local studies. Of special interest to us is the analysis of Brooks *et al* (1987) who show evidence of crustal anisotropy in Scandinavia. Anderson *et al* (1974) and Hudson (1981) showed how a uniform distribution of aligned cracks in an otherwise isotropic matrix can be viewed in the long wavelength approximation as a homogeneous anisotropic material. Crampin *et al* (1984) proposed that widespread crustal anisotropy coherent over large areas proceeds from opening of microcracks in preferred directions related to the regional stress field. The polarization directions of observed split S-waves always support this hypothesis, which has become the most commonly accepted one to explain upper crustal anisotropy.

The nature and depth of the anisotropy are very seldom and poorly constrained by S-wave splitting data. Anisotropy confined to the first 10 km of the crust is the best model for Japan after Kaneshima *et al* (1988), and anisotropy to at least 6 km depth has been found in the crystalline basement of the Urals by Koshubin *et al* (1984). When the depth is not directly constrained, an anisotropy in the first few kilometers of the crust is usually consistent with the observations. Observed shear wave delays are often around 0.2 s (Kaneshima *et al*, 1988: Zollo & Bernard. 1989: Brooks *et al*, 1987) and suggest an open crack density of 0.1 over 5 km depth (or any combination giving the same delay such as 0.05 crack density over 10 km). More directly constrained velocities support a crack density averaging 0.05 (Babuska & Pros. 1984; Koshubin *et al*, 1984).

Model and modelling method

In accordance with the foregoing, we assume that the upper crust in Scandinavia has an apparent anisotropy due to a uniform distribution of circular vertical cracks with small aspect ratio and preferred orientation related to the regional stress field, as indicated schematically in Fig. 7.6.1. The formula for calculating the effective elastic coefficients of a medium containing dry og liquid-filled cracks are given in Crampin (1984) after Hudson (1981). We retain only the purely elastic perturbation due to the cracks, and neglect the anelastic part since one can estimate from Crampin (1984) that metric cracks with a 0.1 crack density lead to an attenuation factor Q^{-1} of the order 10^{-11} for the 1 Hz Lg wave. The attenuation depends on the crack radius in the power of 3 and is therefore even smaller for microcracks.

Our basic model for the Scandinavian crust is taken from Cassell *et al* (1983) who interpreted P-wave arrival times and amplitudes from the CANOBE refraction experiment along a profile which exactly fits the propagation path of the Lg wave from CANOBE shot H2 to NORSAR (Fig. 7.6.1). We have replaced the upper 5 km of their model, unconstrained by their data, by a layer having P-wave velocities increasing from 6 to 6.25 km/s. This is similar to what was found by Gundem (1984) in southern Norway, and accounts better for the group velocity and short duration of the observed Lg wavetrains in this area. We derive the S-wave velocity model from the P-wave velocity model assuming a Poisson ratio equal to 0.25. Quality factors are introduced in the model with frequency dependence of \sqrt{f} after Campillo *et al* (1985). The model is displayed in Fig. 7.6.2.

The Lg modes in the frequency band 0.5 to 2 Hz are calculated in the anisotropic models at first order in deviation from isotropy using the quasidegenerate perturbation method (Luh, 1973; Maupin, 1989). This method is capable of accounting for the full 3-D polarization of the Lg wave generalized modes, though retaining the advantages of treating anisotropy as a small perturbation of an isotropic model. The source for the explosions is taken as a unit pressure dirac at a depth of 150 \overline{m} , chosen to mimic the refraction shots of the CANOBE profile, though very imperfectly since we cannot account for the water layer in which the explosions were fired or the sedimentary layer underneath. No absolute amplitude is tied to the source, and amplitudes of the synthetic seismograms are reported relative to the maximum amplitude of the synthetic seismogram in the isotropic reference structure. The ground displacement is convolved with the NORSAR short-period instrument response.

Explosion Lg waves and upper crustal anisotropy

Synthetic Lg waves calculated in models having various crack densities at various depths are presented in Fig. 7.6.3. These cases all correspond to a wave propagation direction at 15° from the crack normal preferred orientation, similar to the angle which can be inferred from Brooks et al (1987) between crack orientation in Scandinavia and propagation path to NORSAR of the Lg wave from the CANOBE shot H2 and FENNOLORA shot E2 (Fig. 7.6.1). The source-station distance is 450 km. The reference synthetic Lg wave calculated in the isotropic structure has of course no transverse component (Fig. 7.6.3a). For liquid-filled cracks between 0 and 10 km depth and a crack density of 0.02, a small transverse component appears (Fig. 7.6.3b). Increasing the crack density to 0.05 (Fig. 7.6.3c), the transverse component grows larger than the radial one. Note that this anisotropy, the amplitude and depth extension of which are compatible with observations of split shear wave delays (Brooks et al. 1987), yields relative amplitudes of the three Lg components that closely resemble the observations (Fig. 7.6.1). In Fig. 7.6.3d is shown the synthetic Lg wave when the cracks are confined to the upper 5 km of the crust with 0.1 crack density. The Lg wavetrain is lengthened on the three components. This lengthening appears also for other propagation directions. The last example (Fig. 7.6.3e) is for a uniform distribution of dry cracks in the upper 10 km of the crust with 0.05 crack density. The transverse components are not strongly developed in that case.

Synthetic Lg waves for wave propagation at other angles from the crack normal direction have also been calculated. For propagation in the crack orientation symmetry planes at 0 and 90°, no transverse component of course appears. For angles ranging from 0 to 45°, the Lg waves are like those for a 15° angle and displayed in Fig. 7.6.3. For angles approaching 90°, the amplitude of the transverse component decreases gradually and its maximum is shifted towards later arrival times. However, the onset time of the Lg wave or group velocity of its first wave packet does not depend on azimuth. The effect of upper crustal anisotropy on the Lg wave is basically a polarization effect and not a velocity effect.

Assuming a uniform anisotropy along the whole propagation path, we have calculated Lg synthetic seismograms for different epicentral distances. Besides fluctuations due to variations in modal interferences, which occur also in isotropic structures, it appears that the ratio between different components is stable with epicentral distance. For an epicentral distance of 150 km, at which the Lg wavetrain becomes an "individualized" wavetrain, the ratio between the transverse and vertical components in our synthetic data is already the ratio which is measured at 750 km, whether large or small. This is in agreement with observations made by Blandford (1981).

The extensive dilatancy anisotropy hypothesis of Crampin *et al* (1984) on which we have based our models, and the widespread character of transverse component observations, rather suggest a regional crustal anisotropy than a local one. We can, however, test the minimum lateral extension of an anisotropic zone necessary to explain the data. As for body waves, if anisotropy along only part of the wavepath introduces polarization anomalies, they are propagated to the station even if the remainder of the propagation path is isotropic. The analysis of Lg wave character as a function of epicentral distance implies that anisotropy over 150 km is sufficient to produce the observed polarization anomalies.

Conclusion

We have shown that an upper crust with a uniform distribution of microcracks aligned in the regional stress field can account for transverse components of Lg waves induced by explosions. Our preferred model to explain with upper crustal anisotropy the observations in Scandinavia is a distribution of liquidfilled cracks in the upper 10 km of the crust with a crack density larger than 0.02 and most favorably around 0.05. This model is consistent with observations of shear wave splitting by Brooks *et al* (1987) in northern Scandinavia.

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Fig. 7.6.1 3-component Lg recordings at NORSAR short-period station 01A of CANOBE shot H2 and FENNOLORA shots B3 and E2, band-pass filtered between 0.5 and 2 Hz. The arrival time of the wave with group velocity 3.6 km/s is indicated. The amplitude scale is identical on the three components for each recording. The direction of regional maximum horizontal compression and a schematic vertical crack opened by the regional stress field are indicated in the upper left corner of the map of southern Scandinavia.



Fig. 7.6.2 Models of inverse quality factor at 1 Hz and shear wave velocity with depth used in the synthesis of the Lg waves.



Fig. 7.6.3 3-component synthetic Lg waves produced by an explosion at 150 m depth and 450 km epicentral distance in different models of southern Scandinavia. (a) Isotropic model; (b) model with liquid-filled circular vertical cracks between 0 and 10 km depth, 0.02 crack density and orientation of the crack normal at 15° from the wave propagation direction; (c) the same as for case (b) for 0.05 crack density; (d) the same as for case (b) for 0.1 crack density and cracks between 0 and 5 km depth; (e) the same as case (c) for dry cracks. The arrow on the vertical traces indicates the arrival time of the 3.6 km/s group velocity wave. The three components of each plot have the same amplitude scale. The ratio between the maximum amplitude of the largest component and the maximum amplitude of the vertical component in the isotropic case is indicated in each 3-component plot at the end of the vertical trace.



7.7 Statistically optimal event detection using small array data

A generalization of Capon's maximum likelihood technique for detection and estimation of seismic signals is introduced. By using a multidimensional autoregressive approximation of seismic array noise, we have developed a technique to use Capon's group filter for on-line processing. Such autoregressive adaptation to the current noise matrix power spectrum yields good suppression of mutually correlated array noise processes. An example is shown of this technique as applied to detection of the a small Semipalatinsk underground explosion recorded at the ARCESS array.

Nuclear explosion monitoring using seismic data is faced with the problem that signals of small explosions are masked by noise, and thus have to be extracted using features of both the noise and the signal. Small arrays appear to be especially suited for that purpose. This is due to the strong correlation of noise between different closely located receivers which gives us an opportunity to obtain significant noise suppression. To realize this opportunity, special software is needed.

We have to solve two main problems: (1) to detect event signals, and (2) to classify detected signals as originating from either an explosion or an earthquake. The second task is very complex. The proper identification needs first of all estimation of signal waveform and then estimation of signal parameters such as onset times of different phases, power and spectral features, and so on. While the detection of a signal can be done in a relatively narrow frequency band (for example using a high frequency band only) the classification must in principle be based on wide band methods. This is so because bandpass filtering distorts not only the noise but also the signal, thus possibly eliminating useful classification features.

The problems mentioned can be formulated in terms of mathematical statistics, and optimal decision rules for these mathematical problems have to be found. The first task is to detect the signal. The time series received by the array has the following structure: $X_t = S_t + \xi_t, t \in \ldots -1, 0, 1, \ldots$, where S_t is signal and ξ_t is noise, X_t is a vector of different receiver outputs. These outputs are observed through a moving window. Using data in the window we must make a decision: does it contain a signal or not. This problem can be solved in terms of statistical hypothesis testing theory. It is necessary to test hypothesis H_0 : observations in the moving window are pure noise, versus hypothesis H_1 : they comprise signal plus noise. We consider hypothesis H_0 to be simple, but hypothesis H_1 to be complex. This is because the statistical characteristics of noise can be measured before the signal arrival in a stage of adaptation, but the statistical features of seismic signals are almost completely unknown.

The problem is now to choose a decision function which would provide the smallest average error probability for all possible signals. This problem can be solved using a Bayesian approach and finite dimensional parameterization of the signal (Kushnir and Lapshin, 1984) (see Appendix). The decision has the form of an algorithm as shown in Fig. 7.7.1. It consists of a group filter followed by autocorrelators, calculation of a quadratic form and a trigger which compares the quadratic form with a threshold. The transfer function of the group filter is a vector described as a product of the inverse matrix power spectrum F^{-1} of the noise ξ_t and a vector G of phase shift factors due to signal delays τ_1 . The algorithm shown has a form which can be easily realized as an on-line procedure. This is mostly due to the use of multidimensional autoregressive (AR) estimation of the matrix power spectrum density F of the noise. This allows us to avoid direct inversion of spectral power matrices and is very convenient in an adaptive procedure (Haykin, 1979; Kushnir *et al.* 1980).

The second problem mentioned above is to estimate properly the signal waveform. The main purpose of this estimation is to make a correct decision of whether we have an explosion or an earthquake. We must do it as precisely as possible. The model of observation here has the following form: $X_t = G_t * u_t + \xi_t$, where * is a sign of convolution, u_t is a signal waveform to be estimated — (the particle motion along the seismic ray), G_t is the transfer function on the path from the seismic source to the receiver. For plane waves, this vector is defined by time delays only. For solving the estimation problem, a conditional Wiener filter can be constructed. This filter minimizes the variance of the estimate $E\{(\hat{u}_t - u_t)^2\}$ under the condition that the mathematical expectation of the estimate coincides with the real signal: $E\{\hat{u}_t\} = u_t$.

It so happens that the Wiener filter we are looking for consists of the same group filter which is used for the detection followed by a restitution filter (which makes it possible to obtain the signal undistorted). Capon was the first to propose this filter for seismic signal extraction from array data (Capon, 1970). The complete array procedure for detection and classification is shown in Fig.7.7.2. We adapt to the noise matrix power spectrum by estimating its AR parameters and computing vector coefficients of the group filter. Then we perform group filtration in the moving window and detect the signal. The first two operations are made periodically according to the interval of noise stationarity. The third — group filtration — can be devised as an on-line procedure. After the signal is detected it must be filtered by the restitution filter, which refines its shape. And finally classification may be done using the estimates of signal parameters.

The software designed was tested using simulated data with the aim of comparing its actual efficiency with the theoretical one. The results of these tests are shown in Fig. 7.7.3. The depicted curves are the gains in power signal-

to-noise ratio of undisturbed optimal group filtration relative to a conventional beam versus the coherence coefficient of the noise. The latter is defined as the ratio between the largest and the smallest eigenvalues of the noise power spectrum matrix. We see that the mentioned gain may be very significant if the array noise is coherent enough. This happens in practice at small aperture arrays. The colculations were made for the central subarray of the NORSAR array.

Highly promising results were obtained by the use of ARCESS data for signals from one of the smallest nuclear tests known to have been conducted at the Semipalatinsk test site. In Fig. 7.7.4 we display the records for four ARCESS channels and note that the signal is obscured by the noise. For the conventional beam trace (Fig. 7.7.5) the signal is likewise not seen, but inspecting the output of the undisturbed group filter we can see the signal clearly. The power signal-to-noise ratio gain relative to the beam is approximately a factor of 70-80 and it is 140-160 when compared with a single channel. The trace shown is calculated usi g 6 matrix AR parameters of noise. We also used other numbers of AR parameters and the results seemed to be stable. Such high suppression of noise is achieved mainly due to the high correlation of noise records in the inner ARCESS stations (see Fig. 7.7.4, traces A0,A3).

Fig. 7.7.6 shows that the group filter used does in fact retain the shape of the original wave orm. The first trace is a wide frequency band waveform used for simulating the plane wave arriving at ARCESS. These simulated data were processed by the group filter used for the previously shown signal extraction. The resulting (second) trace practically coincides with the first. So, if the real signal is plane wave, it will be undisturbed by the group filter in the frequency band from 0.5 to 5 Hz.

The conventional method used for the detection of weak signals is the filtration of the array beam in a band of optimum signal-to-noise ratio (Kværna, 1989). The two traces at the bottom of Fig. 7.7.5 show the signal filtered in the frequency band 2.5-4 Hz after beamforming and after undisturbed group filtration. The gain here is not as large as in broad band, but still exceeds a factor of 5 in power SNR. Fig. 7.7.7 (at the top) shows the same signals, but in another time scale. The chosen frequency band seems to be the best for filtering the signal after beamforming. For comparison we have plotted two traces at the bottom of Fig. 7.7.7 presenting the same signals filtered in the frequency band 3-5 Hz.

For the detection of signals in our experiments, 4 different variants of the optimal detector previously described were used. All of these detectors are sensitive not only to the increase in trace power due to signal arrival, but also to changes of the trace spectrum (Kushnir *et al*, 1983). The first is optimal in a statistical sense, the second is a modification of STA/LTA using prewhitening

of the noise, and the last two are components of the first. Fig. 7.7.8 shows how the detectors work when applied to the beam and group filter outputs. The gain due to optimal group filtration is evident.

The final picture (Fig. 7.7.9) shows the results of the signal onset time estimation. Estimation is performed by an algorithm based on the maximum likelihood method applied to the problem of estimating the moment in time when parameters of the AR process are abruptly changed (Pisarenko *et al*, 1987). One can see that the likelihood function maximum coincides exactly with the beginning of the signal.

Conclusions

- 1. Application of an adaptive optimal group filtration technique to small aperture arrays can provide large gains in SNR in comparison with conventional beamforming due to high mutual correlation of array noise.
- 2. By using AR estimation of the power noise spectrum for group filter adaptation, we greatly reduce time and memory needed for the adaptation procedure while providing high quality of noise suppression.
- 3. Optimal group filtration does not distort the signal. Thus, when seen in connection with 1. and 2. above, it is clear that optimum filtration has great advantages as a preprocessor to be applied prior to subsequent broad band operations such as source classification.

In future work, it is recommended that the following studies be undertaken:

- 1. To perform a large-scale experiment concerning detection and classification capability of optimal group filtration applied to seismic signals recorded at NORESS and ARCESS. Comparison of false alarm rates of the optimum detector versus conventional beam detectors should form part of this investigation.
- 2. To develop algorithms for the compensation of signal frequency dependent waveform distortions due to propagation in real media under the array. This will give us an opportunity to equalize signal waveforms in different array receivers and improve signal extraction at high frequencies.
- 3. To implement these algorithms in an operational environment at the NORSAR data center.

- 4. To develop algorithms for designing optimal array geometry on the basis of the optimal group filtration features.
- 5. To use optimal group filtration for holographic investigations of the earth's interior using NORSAR data.

We plan to continue further work along these lines in future co-operative projects between NORSAR and the Institute of Physics of the Earth, Moscow.

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Fig. 7.7.1 Optimal group detector flowchart.



Fig. 7.7.2



Fig. 7.7.3

Fig. 7.7.4



Fig. 7.7.5



Fig. 7.7.6





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Fig. 7.7.8



Fig. 7.7.9

APPENDIX Statistically optimal event detection using array data

Let us assume that the noise $\xi_t = (\xi_{1t}, \dots, \xi_{mt})^T$ at the *m* receivers of the array is a multidimensional Gaussian time series with zero mean and matrix power spectral density $F(\lambda), \lambda \in [0, 2\pi]$ and that the signal is represented as a Gaussian scalar process $\mu u_t(\Theta)$ at the seismic event source. The power spectral density of $u_t(\Theta)$ is denoted $g_{\Theta}(\lambda), \lambda \in [0, 2\pi]$, where Θ is an unknown vector parameter. The medium transfer functions are assumed to be linear. Then the observations $x_t = (x_{1t}, \ldots, x_{mt})^T$ become multidimensional Gaussian time series and have the form $G_t * \mu u_t + \xi_t$ and it is easy to write down the likelihood function $w(X_N \mid \mu, \Theta)$ for the moving window observations $X_N = (x_1^T, \ldots, x_N^T)^T$. Here $G_t = (G_{1t}, \ldots, G_{mt})^T$ is the vector impulse response function of the media along the paths from the seismic source to the receivers; * is the sign of convolution. Then the hypothesis H_0 is that $\mu = 0$ and hypothesis H_1 is that $\mu \neq 0$. We consider the function $w(X_N \mid 0)$ to be known through adapative esitmation. If the unknown signal parameters have an a priori distribution $P(\Theta)$, then the best (Bayesian) test for testing these hypotheses (providing the least average signal miss probability for a given false alarm probability) has the form:

$$q(X_N) = \begin{cases} 1 \text{ (signal is present)} & \text{if } \rho(X_N) > k_\alpha \\ 0 \text{ (signal is absent)} & \text{if } \rho(X_N) < k_\alpha \end{cases}$$
(1)

where

$$p(X_N) = \int_{\Theta} \frac{w(X_N \mid \mu^2, \Theta)}{w(X_N \mid 0)} \ dP(\Theta)$$
(2)

Here, k_{α} is the detection threshold, determined on the basis of the given false alarm probability α .

It is practically impossible to devise an on-line algorithm on the basis of the statistic (1) when the distribution $P(\Theta)$ is arbitrary. But in the important particular case of weak signal detection, where the SNR, μ^2/σ^2 , is sufficiently small and the moving window size N is sufficiently large, the statistic $\rho(X_N)$ can be simplified. As it is shown in Kushnir and Lapshin (1984), if the likelihood ratio $w(X_N | \gamma \sqrt{N}, \Theta)/w(X_N, 0)$ in (2) is replaced by its exponential approximation, i.e., the statistic $\rho(X_N)$ in (2) is replaced by the more computationally convenient statistic

$$r(X_N) = \int_{\Theta} exp\{\gamma \Delta(X_N, \Theta) - \frac{\gamma^2}{2} \Gamma_N(\Theta)\} dP(\Theta)$$
(3)

the asymptotic error probability limits for the test (3), $\mu^2 = \gamma/N, N \rightarrow \infty$, stay constant.

The functions $\Delta(X_N, \Theta)$ and $\Gamma_N(\Theta)$ in (3) have the following forms

$$\Delta(X_N, \Theta) = \frac{1}{2\sqrt{N}} \sum_{j=1}^N g_j(\Theta) | W_j^* x_j |^2 - g_j(\Theta) V_j, \quad \Gamma_N(\Theta) = \frac{1}{4N} \sum_{j=1}^N g_j(\Theta) V_j,$$

where $\Delta(X_N,\Theta)$ is an asymptotically sufficient statistic of the observations $X_N,$ and

$$W_j^{\bullet} = G^{\bullet}(\lambda_j) F^{-1}(\lambda_j), \quad V_j = W_j^{\bullet} G(\lambda_j), \quad g_j(\Theta) = g_{\Theta}(\lambda_j)$$

 $\Gamma_N(\Theta)$ is the Fisher information quantity, divided by N.

 $G(\lambda)$ is the Fourier transform of G_t .

 $x_j=\frac{1}{\sqrt{N}}~\sum_{t=1}^N~x_t\,exp(i\lambda_jt)$ is the discrete Fourier transform of the observations $X_N,$

 $\lambda_j = \frac{2}{N} \pi j$.

 $F(\lambda)$ is the matrix power spectral density of the noise ξ_t .

For calculation of the integral (3) in analytic form we will assume that:

$$g_{\Theta}(\lambda) = 1 + \sum_{k=1}^{P} c_k cosk\lambda, \quad \Theta = (c_1, \dots, c_P)^T$$
(4)

where $c_k = 2E\{u_t u_{t+k}\}/E\{u_t^2\}$ is the autocorrelation of the source signal. We further assume that the *a priori* distribution $P(\Theta)$ in (3) is Gaussian:

$$dP(\Theta) = \{(2\pi)^P \ d\epsilon tB\}^{-1/2} \ \exp\{-\frac{1}{2}(\Theta - b)^T B^{-1}(\Theta - b)\}$$
(5)

Then we have

$$log\{r(x_N)\} = K + \gamma(\Delta_0 - \Delta^T A \delta) + \frac{1}{2}\Delta^T A \Delta + \frac{1}{\gamma}\Delta^T A B^{-1}b = K + r_\gamma(x_N)$$
(6)

where K is a constant independent of X_N , $\Delta = (\Delta_1, \ldots, \Delta_P)^T$,

$$\begin{split} \Delta_0 &= \frac{1}{2\sqrt{N}} \sum_{j=1}^{N} ||W_j^*| \mathbf{x}_j||^2 - V_j \\ \Delta_k &= \frac{1}{2\sqrt{N}} \sum_{j=1}^{N} (||W_j^*V_j||^2 - V_j) \cos(k\lambda_j) \end{split}$$

$$\delta = (\delta_1, \dots, \delta_P)^T$$
$$\delta_k = \frac{1}{4N} \sum_{j=1}^N V_j^2 \cos(k\lambda_j)$$
$$A = (\Gamma + \gamma^{-2}B^{-1}) = (B\Gamma + \gamma^{-2}I)^{-1}B$$
$$\Gamma = \begin{bmatrix} \frac{1}{4N} \sum_{j=1}^N V_j^2 \cos(m\lambda_j) \cos(n\lambda_j); & m, n \in \overline{1, P} \end{bmatrix}$$

Thereby, the asymptotically optimal Bayes test for array detection of seismic signals with unknown spectrum is:

$$q_{\gamma}(x_N) = \begin{cases} 1 \text{ (signal is present)} & \text{if } r_{\gamma}(x_N) > k_{\alpha} \\ 0 \text{ (signal is absent)} & \text{if } r_{\gamma}(x_N) < k_{\alpha} \end{cases}$$
(7)

where the threshold k_{α} is determined on the basis of the given false alarm error probability, and the fitting parameter γ is determined so as to provide the highest asymptotical efficiency for the test (Kushnir *et al.* 1983).

Let us consider in particular two important (diametrically opposite) cases, where:

1. The power spectrum parameters of the source signal are almost known:

$$\parallel B \parallel << \parallel \Gamma^{-1} \parallel$$

2. The a priori information about these parameters is negligible:

$$\parallel B \parallel >> \parallel \Gamma^{-1} \parallel$$

In these cases, the statistic $r_{\gamma}(x_N)$ of the test (7) is simplified and looks like, respectively:

1)
$$r_1(x_N) = \Delta_0 + b^T \Delta$$

2) $r_{2\gamma}(x_N) = \gamma(\Delta_0 - \Delta^T \alpha) + \frac{1}{2} \Delta^T \Gamma^{-1} \Delta$ (8)

Calculation of the test statistic (8) can be realized in the time domain as shown in Fig. 7.7.1.