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# Non-Interactive Zero Knowledge

Manuel Blum* Computer Science Department University of California Berkeley, CA 94720

> Silvio Micali[‡] Lab. for Computer Science MIT Cambridge, MA 02139

Alfredo De Santis[†] IBM Research Division T. J. Watson Research Ctr Yorktown Heights, NY 10598

Giuseppe Persiano[§] Aiken Comp. Lab. Harvard University Cambridge, MA 02138

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### Abstract

We investigate the possibility of disposing of interaction between Prover and Verifier in a zeroknowledge proof if they share beforehand a short random string.

Without any assumption, we prove that non-interactive zero-knowledge proofs exist for some number theoretic languages for which no efficient algorithm is known.

If deciding quadratic residuosity (modulo composite integers whose factorization is not known) is computationally hard, we show that the NP-complete language of satisfiability also possesses noninteractive zero-knowledge proofs.

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## **1** Introduction

Recently Goldwasser, Micali, and Rackoff in [GoMiRa] have shown that it is possible to prove that some theorems are true without giving the slightest hint of why this is so. This is rigorously formalized in the somewhat paradoxical notion of a zero-knowledge proof system (ZKPS).

Zero-knowledge proofs have proven to be very useful both in Complexity Theory and in Cryptography. For instance, in Complexity Theory, via results of Fortnow [Fo] and Boppana, Hastad, and Zachos [BoHaZa], zero-knowledge provides us an avenue to convince ourselves that certain languages are not NP-complete. In cryptography, zero-knowledge proofs have played a major role in the recently proven completeness theorem for protocols with honest majority [GoMiWi2], [ChCrDa], [BeGoWi]. They also have inspired rigorously-analyzed identification schemes [FeFiSh], [MiSh] that are as efficient as folklore ones.

Despite its wide applicability, zero-knowledge remains an intriguing notion: What makes zero-knowledge proofs work?

Three main ingredients differentiate standard zero-knowledge proofs, from more traditional ones:

- 1. Interaction: The prover and the verifier talk back and forth.
- 2. Hidden Randomization: The verifier tosses coins that are hidden from the prover and thus unpredictable to him.
- 3. Computational Difficulty: The prover embeds in his proofs the computational difficulty of some other problem.

Blum, Feldman, and Micali [BlFeMi] were the first to conceive that the above ingredients may not be necessary. They proposed the following scenario as one in which zero-knowledge proofs may be achieved.

A Conceptual Scenario: Think of A and B as two mathematicians. After having played "heads and tails" for a while, or having both witnessed the same random event, A leaves for a long trip along the world, during which he continues his mathematical investigations. Whenever he discovers the proof of a new theorem, he writes a postcard to B proving the validity of his assertion in zero-knowledge. Notice that this is necessarily a non-interactive process; better said, it is a mono-directional interaction: from A to B only. In fact, even if B would like to answer or talk to A, he couldn't: A has no fixed (or predictable) address and will move away before any mail can reach him.

Notice that sharing a random string  $\sigma$  is a weaker requirement than being able to interact. In fact, if A and B could interact they would be able to construct a common random string. For instance, by coin tossing over the phone [B11]; the converse, however, is not true.

Public Randomness. Sharing a common random string is a requirement weaker than having both parties access a random beacon in the Rabin's sense (e.g., the same geiger counter). In this latter case, in fact, all made coin tosses would be seen by the prover, but the future once would still be unpredictable to him. By contrast, our model allows the prover to see in advance the success of all the coin tosses the verifier will ever make. That is, the zero-knowledgeness of our proofs does not depend on the secrecy or unpredictability of  $\sigma$  but on the "well mixedness" of its bits!¹

Arthur-Merlin Games and Interactive Proof Systems. The question of the power of hidden randomness versus public randomness has already been discussed in Complexity Theory in the context of proof

¹This curious property makes our result potentially applicable. For instance, all libraries in the country possess identical copies of the random tables prepared by the Rand Corporation. Thus, we may think of ourselves as being already in the scenario needed for non-interactive zero-knowledge proofs.

systems. Goldwasser, Micali, and Rackoff [GoMiRa] and Babai and Moran [Ba, BaMo] consider proofs as games played between two players, Prover and Verifier, who can talk back and forth. In [GoMiRa], the Verifier is allowed to flip fair coins and hide their outcomes from the Prover. In [Ba, BaMo], all coin tosses made by the verifier are seen by the Prover (called respectively Arthur and Merlin in proof systems of this type). For a while it seemed that interactive proof systems might be more powerful (i.e. capable of proving more languages) than Arthur-Merlin ones. Quite surprisingly, Goldwasser and Sipser [GoSi] showed that the two models are equally powerful.

Proving the existence of non-interactive zero-knowledge proofs can be thought as proving that Arthur-Merlin proof systems are as powerful as interactive ones also with respect to knowledge complexity. We make this explicit in Section 5.5.

Protocols for non-interactive zero-knowledge were presented in [BlFeMi] and [DeMiPe1]. These protocols though had some very subtle bug, pointed out to us by Mihir Bellare.² This problem is taken care of here by adopting a different approach.

Organization. The next section is devoted to seting up our notation, recalling some elementary facts from Number Theory and stating the complexity assumption which suffices to show the existence of Non-Interactive ZKPS.

In section 3 we define the notion of bounded non-interactive zero knowledge; that is, the "single theorem" case.

In section 4 we show that a special number theoretic language L possesses a bounded non-interactive zero-knowledge proof. That is, if Prover and Verifier share a random string, then it is possible to prove, non-interactively and in zero knowledge, that any single, sufficiently shorter  $x \in L$ .

In Section 5, under the quadratic residuosity assumption, we prove that the "more general" language of 3SAT is in bounded non-interactive zero-knowledge.

Only in Section 6 we show that, if deciding quadratic residuosity is hard, the prover can show in zero-knowledge membership in NP languages for any number of strings each of arbitrary size, using the same randomly chosen string.

In section 7 we will discuss some related work.

In section 8 we will state some open problems that we would love to see solved.

## 2 Preliminaries

## 2.1 Basic definitions.

Notations. We denote by  $\mathcal{N}$  the set of natural numbers. If  $n \in \mathcal{N}$ , by  $1^n$  we denote the concatenation of n 1's. We identify a binary string  $\sigma$  with the integer x whose binary representation (with possible leading zeroes) is  $\sigma$ .

By the expression |x| we denote the length of x if x is a string, the length of the binary string representing x if x is an integer, the absolute value of x if x is a real number, or the cardinality of x if x is a set.

If  $\sigma$  and  $\tau$  are binary strings, we denote their concatenation by either  $\sigma \circ \tau$  or  $\sigma \tau$ .

A language is a subset of  $\{0, 1\}^*$ . If L is a language and k > 0, we set  $L_k = \{x \in L : |x| \le k\}$ . For variety of discourse, we may call "theorem" a string belonging to the language at hand. (A "false theorem" is a string outside L.)

³The mentioned problem occurred only in the "many-theorems" part. That is, when the basic protocols for proving a single theorem in non-interactive zero knowledge were extended to proving an unbounded number of theorems using the same random string.





*Models of computation*. An algorithm is a Turing machine. An *efficient* algorithm is a probabilistic Turing machine running in expected polynomial time.

We emphasize the number of input received by an algorithm as follows. If algorithm A receives only one input we write " $A(\cdot)$ ", if it receives two inputs we write " $A(\cdot, \cdot)$ " and so on.

A sequence of probabilistic Turing machines  $\{T_n\}_{n\in\mathcal{N}}$  is an efficient non-uniform algorithm if there exists a positive constant c such that, for all sufficiently large n,  $T_n$  halts in expected  $n^c$  steps and the size of its program is  $\leq n^c$ . We use efficient non-uniform algorithms to gain the power of using different Turing machines for different input lengths. For instance,  $T_n$  can be used for inputs of length n. The power of non-uniformity lies in the fact that each Turing machine in the sequence may have "wired-in" (i.e. properly encoded in its program) a small amount of special information about its own input length. ³

A random selector is a special (random) oracle. The oracle query consists of a pair of strings (s, S), where the second strings encodes a finite set. Such a query is answered by the oracle with a randomly chosen element is set S. If the oracle is asked twice the same query, it will return the same element. The role of the first entry in the query is to allow, if so wanted, to "make random and independent selections in a set S. That is, if S is the same, and  $s_1 \neq s_2$ , then, in response to queries  $s_1, S$ ) and  $(s_2, S)$ , the oracle will return two elements from S, each randomly and independently selected.

A random selecting algorithm is a Turing machine with access to a random selector. Notice that a random selecting algorithm is strictly more powerful than one with access to a coin or a random oracle. For instance, a random selecting algorithm can select with uniform probability one out of 3 elements. On the other hand, simulating independent coin flips is easy with a random selector: If Select is a random selector, to ensure the independence of  $b_i$ , the *i*-th coin flip, from all the other coin flips in a computation on input x, one can set  $b_i = Select(x \circ i, \{0, 1\})$ .

Random selectors will simplify the description of our algorithms. In fact, we desire a Prover in a non-interactive proof-system to be "memoryless." That is, it needs not to remember which theorems it proved in the past for finding and proving the next theorem. However, for zero knowledge purposes, it will be much handier to keep track of some history, the history, that is, of previously made coin tosses. This will be crucial in section 6. A random selector will in fact accomplish this record keeping without having to consider provers "with history." As we shall point out, random selectors can be efficiently approximated, and thus only represent a conceptual tool.

Algorithms and probability spaces. If  $A(\cdot)$  is a probabilistic algorithm, then for any input x, the notation A(x) refers to the probability space that assigns to the string  $\sigma$  the probability that A, on input x, outputs  $\sigma$ .

Following the notation of [GoMiRi], if S is a probability space, then " $x \stackrel{R}{\leftarrow} S$ " denotes the algorithm which assigns to x an element randomly selected according to S. If F is a finite set, then the notation " $x \stackrel{R}{\leftarrow} F$ " denotes the algorithm which assigns to x an element selected according to the probability space whose sample space is F and uniform probability distribution on the sample points.

If  $p(\cdot, \cdot, \cdots)$  is a predicate, the notation  $Pr(x \stackrel{R}{\leftarrow} S; y \stackrel{R}{\leftarrow} T; \ldots; p(x, y, \cdots))$  denotes the probability that  $p(x, y, \cdots)$  will be true after the ordered execution of the algorithms  $x \stackrel{R}{\leftarrow} S, y \stackrel{R}{\leftarrow} T, \ldots$ 

The notation  $\{x \stackrel{R}{\leftarrow} S; y \stackrel{R}{\leftarrow} T; \cdots : (x, y, \cdots)\}$  denotes the probability space over  $\{(x, y, \cdots)\}$  generated by the ordered execution of the algorithms  $x \stackrel{R}{\leftarrow} S, y \stackrel{R}{\leftarrow} T, \cdots$ .

### 2.2 Number Theory

Quadratic Residuosity. For each integer x > 0, the set of integers less than x and relatively prime to x

³This definition can be shown equivalent to the one of a poly-size combinatorial circuit and to the one [KaLi] of poly-time Taring machine that takes advice.

form a group under multiplication modulo x denoted by  $Z_x^*$ . We say that  $y \in Z_x^*$  is a quadratic residue modulo x iff there is a  $w \in Z_x^*$  such that  $w^2 \equiv y \mod x$ . If this is not the case we call y a quadratic non residue modulo x. For compactness, we define the quadratic residuosity predicate as follows

 $Q_x(y) = \begin{cases} 0 & \text{if } y \text{ is a quadratic residue modulo } x \text{ and} \\ 1 & \text{otherwise.} \end{cases}$ 

**Fact 2.1** (see for istance [NiZu]) If  $y_1, y_2 \in Z_x^*$ , then

1. 
$$Q_x(y_1) = Q_x(y_2) = 0 \Longrightarrow Q_x(y_1y_2) = 0.$$

2.  $Q_x(y_1) \neq Q_x(y_2) \Longrightarrow Q_x(y_1y_2) = 1.$ 

The quadratic residuosity predicate defines the following equivalence relation in  $Z_x^*$ :  $y_1 \sim_x y_2$  if and only if  $Q_x(y_1y_2) = 0$ . Thus, the quadratic residues modulo x form a  $\sim_x$  equivalence class. More generally, it is immediately seen that

Fact 2.2 For any fixed  $y \in Z_x^*$ , the elements  $\{yq \mod x \mid q \text{ is a quadratic residue modulo } x\}$  constitute a  $\sim_x$  equivalence class that has the same cardinality as the class of quadratic residues.

The problem of deciding quadratic residuosity consists of evaluating the predicate  $Q_x$ . As we now see, this is easy when the modulus x is prime and appears to be hard when is composite.

Prime moduli. Primes are easy to recognize.

Fact 2.3 ([AdHu] extending [GoKi]) There exists an efficient algorithm that, on input x, outputs YES if and only if x is prime.

For p prime, the problem of deciding quadratic residuosity coincides with the problem of computing the Legendre symbol. In fact, for p prime and  $y \in Z_p^*$ , the Legendre symbol (y|p) of y modulo p is defined as

 $(y|p) = \begin{cases} +1 & \text{if } y \text{ is a quadratic residue modulo } x \text{ and} \\ -1 & \text{otherwise;} \end{cases}$ 

and can be computed in polynomial time by using Euler's criterion. Namely,

$$(y|p) = y^{(p-1)/2} \mod p.$$

Composites are easy to recognize. It is easy to test compositeness. In fact,

**Fact 2.4** ([Ra1], [SoSt]) There exists a polynomial time algorithm  $TEST(\cdot, \cdot)$  such that

1. if x is composite, TEST(x, r) = COMPOSITE for at least 3/8 of the strings r such that |r| = |x|.

2. if x is prime, TEST(x, r) = PRIME for all r's.

We say that the sequence  $(p_1, h_1), \dots, (p_n, h_n)$  is the factorization of x if the  $p_i$ 's are distinct primes, the  $h_i$ 's are positive integers and  $x = \prod_{i=1}^n p_i^{h_i}$ .

While it is easy to test compositeness, no efficient algorithm is known for computing the factorization of a composite integer. In fact the following assumption is consistent with our state of knowledge.

Factoring Assumption: For each efficient non-uniform algorithm  $\{C_n\}_{n\in\mathcal{N}}$ , all positive constants d, and all sufficiently large n,

 $Pr(x \stackrel{R}{\leftarrow} \{0,1\}^n; f \stackrel{R}{\leftarrow} C_n(x): f \text{ is the factorization of } x) < n^{-d}.$ 

Often computational problems relative to composite moduli are easy if their factorization is known. For example, this is the case for the problem of computing square roots modulo x. In fact,

Fact 2.5 (see for instance [An]) There exists an efficient algorithm that given as inputs x, its prime factorization, and y, a quadratic residue modulo x, outputs a random square root of y modulo x.

Fact 2.6 ([Ra2]) The problem of factoring composite integers is probabilistic polynomial time reducible to the problem of extracting square roots modulo composite integers.

Another computational problem modulo x that is easy given the factorization of x is deciding quadratic residuosity. In fact,

Fact 2.7 (see for instance [NiZu]) y is a quadratic residue modulo x if and only if y is a quadratic residue modulo each of the prime divisors of x.

However, no efficient algorithm is known for deciding quadratic residuosity modulo composite numbers whose factorization is not given. Some help is provided by the Jacobi symbol which extends the Legendre symbol to composite integers as follows. Let  $(p_1, h_1), \ldots, (p_n, h_n)$  be the prime factorization of x, and  $y \in Z_x^*$ . Then⁴

$$(\boldsymbol{y}|\boldsymbol{x}) = \prod_{i=1}^{n} (\boldsymbol{y}|p_i)^{h_i}.$$

Define  $J_x^{+1}$  and  $J_x^{-1}$  to be, respectively, the subsets of  $Z_x^*$  whose Jacobi symbol is +1 and -1. It can be immediately seen that if  $y \in J_x^{-1}$ , then it is not a quadratic residue modulo x, as it is not a quadratic residue modulo some prime  $p_i$  dividing x. However, if  $y \in J_x^{+1}$ , no efficient algorithm is known to compute  $Q_x(y)$ . Actually, the fastest way known consists of first factoring x and then compute  $Q_x(y)$ . This fact has been first used in cryptography by Goldwasser and Micali [GoMi]. We will use it in this paper with respect to the following special moduli.

Blum integers. For  $n \in N$ , we define the set of Blum integers of size n, BL(n), as follows:  $x \in BL(n)$  if and only if x = pq, where p and q are primes of length n both  $\equiv 3 \mod 4$ . These integers were first used for cryptographic purposes by [Bl1].

Blum integers are easy to generate. By Fact 2.3 and the density of the primes  $\equiv 3 \mod 4$  (de la Vallee Poussin's extension of the prime number theorem [Sh]), it is easy to prove the following

Fact 2.8 There exists an efficient algorithm that, on input 1ⁿ, outputs the factorization of a randomly selected  $x \in BL(n)$ .

This class of integers constitutes the hardest input for any known efficient factoring algorithm. Thus no efficient algorithm is known for deciding quadratic residuosity modulo Blum integers, which justifies the following

Quadratic Residuosity Assumption (QRA): For each efficient non-uniform algorithm  $\{C_n\}_{n \in \mathcal{N}}$ , all positive constants d, and all sufficiently large n,

$$Pr\left(x \stackrel{R}{\leftarrow} BL(n); \ y \stackrel{R}{\leftarrow} J_x^{+1}: C_n(x,y) = \mathcal{Q}_x(y)\right) < 1/2 + n^{-d}.$$

That is, no efficient non-uniform algorithm can guess the value of the quadratic residuosity predicate substantially better than by random guessing.

It follows from Fact 2.7 and Euler's criterion, that, if x is a Blum integer,  $-1 \mod x$  is a quadratic non residue with Jacobi symbol +1.

⁴Despite the fact that the Jacobi symbol is defined in terms of the factorisation of the modulus it can be computed in polynomial time. (This can be derived by a time analysis of the classical algorithm presented in [NiZu]; see also [An].)

**Fact 2.9** On input a Blum integer x, it is easy to generate a random quadratic non residue in  $J_x^{\pm 1}$ : randomly select  $r \in Z_x^*$  and output  $-r^2 \mod x$ .

Regular integers. A Blum integer enjoys an elegant structural property. Namely,  $|J_x^{+1}| = |J_x^{-1}|$ . More generally, we define an integer x to be regular if it enjoys the above property. We define Regular(s) to be the set of regular integers with s, distinct, prime divisors. By the definition of Jacobi symbol, it is straightforward that

Fact 2.10 An odd integer x belongs to Regular(s) if and only if it has s distinct prime factors and is not a perfect square.

Equivalently, by Fact 2.2,

Fact 2.11 An odd integer x belongs to Regular(s) if and only if it is regular and  $Z_x^*$  is partitioned by  $\sim_x$  into 2^s equally numerous equivalence classes. (Equivalently,  $J_x^{+1}$  is partitioned by  $\sim_x$  into 2^{s-1} equally numerous equivalence classes.)

## **3** Bounded Non-Interactive Zero-Knowledge Proofs

A Bounded Non-Interactive Zero-Knowledge Proof System is a special algorithm. Given as input a random string  $\sigma$  and a single, sufficiently shorter theorem T, it outputs a second string that will convince (non-interactively and) in zero-knowledge that T is true any verifier who has access to the same  $\sigma$ . It is important in this process that a "brand new" random string is employed for each theorem. The word "bounded" refers to the fact that if the same  $\sigma$  is used over and over again for convincing the verifier of the validity of many theorems, the produced non-interactive proofs may no longer be zero-knowledge.

**Definition 3.1** Let  $A_1$  and  $A_2$  be Turing Machines. We say that  $(A_1, A_2)$  is a sender-receiver pair if their computation on a common input x works as follows. First, algorithm  $A_1$ , on input x, outputs a string  $m_x$ . Then, algorithm  $A_2$ , computes on inputs x and  $m_x$  and outputs ACCEPT or REJECT. If  $(A_1, A_2)$  is a sender-receiver pair,  $A_1$  is called the sender and  $A_2$  the receiver. The running time of both machines is calculated only in terms of the common input.

Thus,  $m_x$  can be interpreted as a message sent by  $A_1$  to  $A_2$ .

Notation. In our sender-receiver pairs, the output of the sender is described in terms of s "send instructions," where s solely depends on the input length. If "send v" is the *i*-th such instruction, this is shorthand for "output (i, v)." Without explicitly saying it, the receiver always checks that for each i = 1, ..., s, exactly one pair with first entry *i* is received. If this is not the case, or if the second component of a pair is not of the right form (i.e. is not of the proper length, is a string rather than a set, etc.), the receiver immediately halts outputting REJECT. Thus if "send v" is the *i*-th instruction of the sender, "check that v ..." means "check that the second component of the pair whose first entry is *i* ..." That is, the receiver parses without ambiguity the sender's output.

**Definition 3.2** Let (*Prover, Verifier*) be a sender-receiver pair, where  $Prover(\cdot, \cdot)$  is random selecting and *Verifier* $(\cdot, \cdot, \cdot)$  is polynomial-time. We say that (*Prover, Verifier*), is a Bounded Non-Interactive Zero-Knowledge Proof System (Bounded Non-Interactive ZKPS) for the language L if there exists a positive constant c such that: 1. Completeness.  $\forall x \in L_n$  and for all sufficiently large n,

$$Pr(\sigma \leftarrow \{0,1\}^{n^c}; Proof \leftarrow Prover(\sigma,x): Verifier(\sigma,x, Proof) = 1) > 2/3.$$

2. Soundness.  $\forall x \notin L_n$ , for all Turing machines  $Prover'(\cdot, \cdot)$ , and for all sufficiently large n,

$$Pr(\sigma \stackrel{R}{\leftarrow} \{0,1\}^{n^{c}}; Proof \stackrel{R}{\leftarrow} Prover'(\sigma,x): Verifier(\sigma,x,Proof) = 1) < 1/3.$$

3. Zero-Knowledge. There exists an efficient algorithm S such that  $\forall x \in L_n$ , for all efficient nonuniform (distinguishing) algorithms  $D, \forall d > 0$ , and all sufficiently large n,

$$\left| Pr(s \stackrel{R}{\leftarrow} View(n,x) : D_n(s) = 1) - Pr(s \stackrel{R}{\leftarrow} S(1^n,x) : D_n(s) = 1) \right| < n^{-d},$$

where

$$View(n,x) = \{ \sigma \stackrel{R}{\leftarrow} \{0,1\}^{n^{c}}; Proof \stackrel{R}{\leftarrow} Prover(\sigma,x) : (\sigma, Proof) \}.$$

We call Simulator the algorithm S.

We define the class of languages Bounded-NIZK as follows:

**Bounded-NIZK** =  $\{L : L \text{ has a Bounded Non-Interactive ZKPS}\}$ .

A sender-receiver pair (*Prover*, *Verifier*) is a Bounded Non-Interactive Proof System for the language L if there exists a positive constant c such that completeness and soundness hold (such a c will be referred as the constant of (*Prover*, *Verifier*)). We let *Bounded Non-Interactive* P be the class of languages L having a Bounded Non-Interactive Proof System.

We call the "common" random string  $\sigma$ , input to both *Prover* and *Verifier*, the *reference string*. (Above the common input is  $\sigma$  and x.)

#### Discussion.

*Proving and Verifying.* As usual, we do not care of what amount of resources are necessary for proving a true theorem, but we do insist that verifying is always easy. Thus, we have chosen our prover as powerful as possible, though it cannot use its power to find "long" proofs, since the verifier is polynomial-time (in the common input).

Arthur-Merlin Games. It is immediately seen that the notion of a Bounded Non-Interactive Proof System is equivalent to that of a two-move Arthur-Merlin Proof System [Ba, BaMo]. Thus, letting  $AM_2$  denote the class of languages accepted by a two-move Arthur-Merlin Proof System, we have Bounded-NIZK  $\subseteq AM_2$ . Actually, as we shall prove in Section 5.5, this containment is an equality under a proper complexity assumption.

**Probability Enhancement.** As for the case of BPP algorithms and interactive proofs, the definition of completeness and soundness is independent of the constants 2/3 and 1/3. In fact, these (or other "bounded away") probabilities can be pumped up (and down) easily by repeating the proving process sufficiently many times, each using a distinct segment of a sufficiently longer reference string. This process is called "parallel composition." However, as noted by Micali for the case of interactive zero-knowledge proofs, parallel composition may also enhance the amount of knowledge released! Indeed, zero-knowledge proofs do not appear to be closed under parallel composition. The reason for which straightforward parallel composition fails in the case of interactive zero-knowledge proofs is precisely that *interaction* may be exploited in subtle ways by a "cheating verifier."⁵ One advantage of non-interactive zero knowledge is

⁵Elaborating on this subtle point is not in the scope of this paper. For an explanation of it (and pointers to related results) see [BeMiOs].

precisely the fact that one does not have to worry about "cheating" verifiers: as it is immediately seen, bounded non-interactive zero knowledge is closed under parallel composition.

Completeness means that (after a sufficient enhancement) the probability of succeeding in proving a true theorem T is overwhelming. This is so even if T is selected after the string  $\sigma$  has been chosen. More precisely, a simple counting argument shows that Completeness is equivalent to the following

1'. Strong Completeness. For all probabilistic algorithms Choose-in- $L(\cdot)$  that, on input a  $n^c$ -bit string, return elements in  $L_n$ , and all sufficiently large n,

 $Pr(\sigma \leftarrow \{0,1\}^{n^{c}}; x \leftarrow Choose-in-L(\sigma); Proof \leftarrow Prover(\sigma, x): Verifier(\sigma, x, Proof) = 1) > 1 - 2^{-n}.$ 

That strong completeness holds can be seen by first using parallel composition so to replace the probability 2/3 of Completeness with  $1 - 2^{-2n}$ , and then noticing that there are at most  $2^n$  theorems of length n.

Actually, Completeness can be replaced by a simpler yet property. Namely,

1". Perfect Completeness.  $\forall x \in L_n$ ,

 $Pr(\sigma \stackrel{R}{\leftarrow} \{0,1\}^{n^{c}}; Proof \stackrel{R}{\leftarrow} Prover(\sigma, x) : Verifier(\sigma, x, Proof) = 1) = 1.$ 

#### In fact,

**Theorem 3.3** Let  $L \in$  Bounded-NIZK. Then L has a Bounded Non-Interactive ZKPS with perfect completeness.

**Proof:** Furer, Goldreich, Mansour, Sipser, and Zachos [FuGoMaSiZa] have proved that any  $AM_2$  language has an interactive proof system with perfect completeness. Let now (P, V) be a Bounded Non-Interactive ZKPS for L for which Completeness holds with overwhelming probability. Then modify P as follows. Whenever the proof generated by P is not accepted by the verifier (something that can be easily computed), as Bounded Non-Interactive  $P = AM_2$ , the new prover interprets the reference string as an Arthur move, and responds with a Merlin move so to achieve perfect completeness. This extra step guarantees that the verifier will always be convinced (of a true theorem), and thus Perfect Completeness holds. It is immediately seen that Soundness keeps on holding. Also Zero Knowledge keeps on holding: the extra step may be "dangerous," but it is performed only too rarely.

Soundness means that the probability of succeeding in proving a false theorem T is negligible. This still holds if T is chosen after  $\sigma$  has been selected. More precisely, a simple counting argument shows that Soundness is equivalent to

2'. Strong Soundness. For all probabilistic algorithms Adversary outputting pairs (x, Proof), where  $x \notin L_n$ , and all sufficiently large n,

 $Pr(\sigma \stackrel{R}{\leftarrow} \{0,1\}^{n^{c}}; (x, Proof) \stackrel{R}{\leftarrow} Adversary(\sigma): Verifier(\sigma, x, Proof) = 1) < 2^{-n}.$ 

Zero-Knowledge guarantees that the proof gives no knowledge, but the validity of the theorem. All the verifier may see in our scenario,  $\sigma$  and *Proof*, can be efficiently computed with essentially the same odds without "knowing how to prove T".

Notice that in our scenario, the definition of Zero-Knowledge is simpler than the one in [GoMiRa]. As there is no interaction between Verifier and Prover, we do not have to worry about possible cheating by the verifier to obtain a "more interesting view". That is, we can eliminate the quantification " $\forall Verifier$ " from the original definition of [GoMiRa].

Analogously to [GoMiRa], we may define a bounded non-interactive proof system (*Prover, Verifier*) to be *Perfect Zero-Knowledge* if the following more stringent condition holds:

3'. Perfect Zero-Knowledge. There exists an efficient algorithm S such that  $\forall x \in L_n$  and all sufficiently large n,

$$View(n,x) = S(1^n,x),$$

where

$$View(n,x) = \{ \sigma \stackrel{R}{\leftarrow} \{0,1\}^{n^{c}}; Proof \stackrel{R}{\leftarrow} Prover(\sigma,x) : (\sigma, Proof) \}.$$

Thus the notion of perfect ZK is independent of the computing power of "the observer/distinguisher." While for Completeness and Soundness it is not important whether the true/false theorem is chosen before or after the reference string, this needs not to be the case for Zero-Knowledge. It is actually important that the prover chooses the true theorem T he wants to prove *independently* of  $\sigma$ . This in practice is not a restriction, since  $\sigma$  does not have any special meaning. The sole purpose for  $\sigma$  is to provide a common source of randomness, and thus can be accessed only *after* the prover has chosen which theorem to prove, in which case the "independence" condition is automatically satisfied. Should the prover want to prove a statement "about" the reference string there is no guarantee that no knowledge would be revealed, while there is still guarantee that the statement cannot be false.

## 4 A Bounded Non-Interactive ZKPS for a special language.

**Definition 4.1** Set  $QR = \bigcup_n QR(n)$  and  $NQR = \bigcup_n NQR(n)$ , where

$$\mathcal{QR}(n) = \{(x, y) \mid x \in Regular(2), |x| \leq n, \text{ and } \mathcal{Q}_x(y) = 0\}$$

and

$$\mathcal{NQR}(n) = \{(x,y) \mid x \in Regular(2), |x| \leq n, y \in J_x^{\pm 1}, \text{ and } Q_x(y) = 1\}.$$

If one restricts the modulus x in the definition of QR and NQR to be a Blum integer, then the quadratic residuosity assumption states that it is hard to distinguish the languages QR and NQR. For  $x \in Regular(2)$ ,  $QR_x$  denotes the set  $\{y \mid (x, y) \in QR\}$  and  $NQR_x$  the set  $\{y \mid (x, y) \in NQR\}$ .

**Definition 4.2** If  $(x, y) \in \mathcal{NQR}$  and  $z \in J_x^{\pm 1}$ , we say that  $s \in Z_x^*$  is an (x, y)-root of z if  $z = s^2 \mod x$  or  $zy = s^2 \mod x$ . (Notice that only one of the two cases may apply.) If s is an (x, y)-root of z, we write  $s = \frac{(x,y)}{\sqrt{z}}$ .

In this section we prove that NQR has a bounded non-interactive proof system that is perfect zeroknowledge. The proof system below is based on an earlier protocol of Goldwasser and Micali [GoMi2].

The Sender-Receiver Pair (A,B)

Input to A and B:

- $(x, y) \in \mathcal{NQR}(n)$
- A  $n^3$ -bit random string  $\rho$ .

(Set  $\rho = \rho_1 \rho_2 \cdots \rho_{n^2}$ , where each  $\rho_i$  has length n.)

### Instructions for A.

• For  $i = 1, ..., n^2$ , if  $\rho_i \in J_x^{\pm 1}$  then randomly choose and send  $s_i = \frac{(x,y)}{\rho_i}$ .

#### Instructions for B.

**B.0** If  $\rho_i \in J_x^{\pm 1}$  for less than 3n of the indices *i*, then stop and ACCEPT. Else,

**B.1** Verify that x is odd and that  $y \in J_x^{\pm 1}$ . If not, stop and REJECT. Else,

**B.2** Verify that x is not a perfect square. If not, stop and REJECT. Else,

**B.3** If x is a prime power, stop and REJECT. Else,

**B.4** For each  $\rho_i \in J_x^{\pm 1}$  verify that  $s_i = \frac{(x,y)}{\rho_i}$ . If not, stop and REJECT. Else ACCEPT.

**Theorem 4.3** (A, B) is a Bounded Non-Interactive ZKPS for  $\mathcal{NQR}$ .

**Proof:** First, (A, B) is a sender-receiver pair. Second, B runs in polynomial time. In fact, the Jacobi symbol can be computed in polynomial time, steps B.2 and B.4 are trivial, and step B.3 can be performed as follows:

**B.3.1** Compute the largest integer  $\alpha$  for which  $x = w^{\alpha}$  for some  $w \in \mathcal{N}$ . (Only values  $1, \dots, |x|$  should be tried for  $\alpha$  and a binary search can be performed for finding w, if it exists.)

**B.3.2** Compute z such that  $z^{\alpha} = x$ .

**B.3.3** If for all  $1 \le i \le n^2$ ,  $TEST(z, \rho_i) = PRIME$ , stop and REJECT.

Third, properties 1-3 of a Bounded Non-Interactive ZKPS also hold.

Completeness. We actually prove that strong completeness holds. This implies that the weaker property 1 also holds. If  $(x, y) \in \mathcal{NQR}(n)$ , then steps B.1 is trivially passed. Step B.2 is passed because of Fact 2.10. B.3 is passed with probability greater than  $1 - 2^{-n}$ . This can be argued as follows. For any fixed  $\overline{x} \in Regular(2)$ , the probability that TEST outputs PRIME on a single  $\rho_i$  is at most 5/8, and thus (since the  $\rho_i$ 's are independent) the probability that B.3 is not successfully passed is at most  $(5/8)^{n^2}$ . Since there are at most  $2^n x$ 's such that  $(x, z) \in \mathcal{NQR}(n)$  for some z, the probability that step B.3 is not successfully passed is at most  $2^n(5/8)^{n^2} \leq 2^{-n}$ . Finally, step B.4 is passed with probability 1. In fact, as  $x \in Regular(2)$ , by Fact 2.11, there are exactly  $2 \sim_x$  equivalence classes in  $J_x^{+1}$ . That is either  $\rho_i$  is a quadratic residue modulo x or  $\rho_i$  is in the same equivalence class as y, in which case  $y\rho_i$  is a quadratic residue.

Soundness. As done for the completeness property, we actually prove that strong soundness holds.

First, observe that B stops at step B.0 only with negligible probability. Indeed, for a fixed  $\overline{x}$ , the probability that  $\rho_i \in J_{\overline{x}}^{\pm 1}$  is greater than 1/8. By the Chernoff bound (see [AnVa], [ErSp]), the probability that  $\rho_i \in J_{\overline{x}}^{\pm 1}$  for less than 3n of the indices is (for large n) less than  $2^{-2n}$ . Thus, the probability that there is a x for which B stops at step B.0 is at most  $2^{n}2^{-2n} = 2^{-n}$ .

Assume that  $(x, y) \notin \mathcal{NQR}$ . Then, either (a)  $x \in Regular(2)$  but  $\mathcal{Q}_x(y) = 0$ , or (b)  $x \notin Regular(2)$ . For any fixed input  $(\overline{x}, \overline{y})$  for which case (a) occurs, the probability that B.4 is successfully passed is at most  $2^{-3n}$ . (In fact, B.4 is passed if and only if all  $\rho_i$ 's are quadratic residues modulo x.) Thus, the probability that step B.4 is passed, for any input for which case (a) occurs, is at most  $2^{n}2^{-3n} = 2^{-2n}$ .

Consider now the case that  $(x, y) \notin NQR$  because of reason (b). Then either (b.1) x is not regular, or  $(b.2) x \in Regular(1)$ , or  $(b.3) x \in Regular(s)$  for  $s \ge 3$ . In case (b.1), due to Fact 2.10, an odd x must be a perfect square which would be detected in step B.2. In case (b.2), x is a prime power which would be detected by step B.3. Let us now argue case (b.3). For any fixed  $(\overline{x}, \overline{y})$  with  $\overline{x} \in Regular(s)$ ,  $s \ge 3$ , the

probability that step B.4 is successfully passed is at most  $2^{-n}$ . (In fact this would happen only if, for each  $\rho_i \in J_x^{+1}$ , either  $\rho_i$  or  $\rho_i y$  is a quadratic residue modulo  $\overline{x}$ . This happens with probability  $\leq 1/2$  since, because of Fact 2.11, there are at least  $4 \sim_x$  equivalence classes in  $J_x^{+1}$ .) Thus the probability that, for any input outside  $\mathcal{NQR}$  because of reason (b.3), step B.4 is successfully passed is at most  $2^{2n}2^{-3n} = 2^{-n}$ .

**Zero-Knowledge.** Let us specify a (simulating) efficient algorithm M that, on input  $(x, y) \in NQR$ , generates a random variable which no algorithm can distinguish from B's view on input  $(x, y) \in NQR$ .

#### M's program

Input:  $(x, y) \in \mathcal{NQR}(n)$ .

- 1. Set Proof = empty string.
- 2. For i = 1 to  $n^2$

Randomly select an *n*-bit integer  $s_i$ , with possible leading 0's.

If  $s_i \notin J_x^{+1}$  then set  $\rho_i = s_i$ .

else

Toss a fair coin.

If HEAD set  $\rho_i = s_i^2 \mod x$  and append  $s_i$  to *Proof*. If TAIL set  $\rho_i = y^{-1}s_i^2 \mod x$  and append  $s_i$  to *Proof*.

3. Set  $\rho = \rho_1 \cdots \rho_{n^2}$ .

Output:  $(\rho, Proof)$ .

Now, let us prove that M is a good simulator for the view of B when interacting with prover A on input  $(x, y) \in \mathcal{NQR}$ . Actually, in the language of [GoMiRa], (A, B) is *Perfect* Zero-Knowledge. That is, the random variable output by M is the very same random variable seen by B (and thus the two random variables cannot be distinguished by any non-uniform algorithm, efficient or not). In fact, it can be easily seen that  $\rho$  is randomly distributed among the  $n^3$ -bit long strings. Moreover, if  $\rho_i \in J_x^{+1}$ , the corresponding  $s_i$  is a random (x, y)-root of  $\rho_i$ . Thus  $s_i$  has the same probability of belonging to M's output as it has to be sent from prover A to verifier B on inputs (x, y) and  $\rho$ .

Notice that the proof system (A, B) does not have *Perfect* Completeness; that is, there is a negligible probability that the prover, following the protocol, may not succeed in proving a true theorem. We can achieve Perfect Completeness and still retain Perfect Zero-Knowledge at the expense of further complications which are not necessary in our context.

Robustness Of The Result. The above proof system is zero-knowledge if the reference string  $\rho$  is truly random. We may rightly ask what would happen if  $\rho$  is not truly randomly selected. Fortunately, we shall see that the poor randomness of  $\rho$  may perhaps weaken the zero-knowledgeness of our proof system, but not its completeness and soundness. In fact, all we require from  $\rho$  is that it contains a not too low percentage of quadratic residue and non residues modulo any integer in Regular(2) of a given length. The same remark applies to all proof systems of this paper. This robustness property is important as we can never be sure of the quality of our natural sources of randomness.

## 5 A Bounded Non-Interactive ZKPS for 3SAT

In this section we exhibit a Bounded Non-Interactive ZKPS for 3SAT. A boolean formula  $\Phi = \phi_1 \wedge \phi_2 \wedge \cdots \wedge \phi_n$  in conjunctive normal form over the variables  $u_1, \ldots, u_k$ , where each clause  $\phi_i$  has 3 literals, is in the language 3SAT if it has a satisfying truth assignment  $t: \{u_1, \ldots, u_k\} \rightarrow \{0, 1\}$  (see [GaJo] for a more complete treatment). If  $\Phi \in 3SAT$  we say that  $\Phi$  is 3-satisfiable.

The following definition was informally introduced in [BlFeMi], but used in a quite different way.

**Definition 5.1** For any positive integer x, define the relation  $\approx_x$  on  $J_x^{+1} \times J_x^{+1} \times J_x^{+1}$  as follows:

$$(a_1, a_2, a_3) \approx_x (b_1, b_2, b_3) \iff a_i \sim_x b_i \text{ for } i = 1, 2, 3.$$

Let  $(a_1, a_2, a_3) \approx_x (b_1, b_2, b_3)$ . An  $(a_1, a_2, a_3)$ -root modulo x (more simply an  $(a_1, a_2, a_3)$ -root, when the modulus x is clear from the context) of  $(b_1, b_2, b_3)$  is a triplet  $(s_1, s_2, s_3)$  such that  $(s_1^2 \mod x, s_2^2 \mod x, s_3^2 \mod x) = (a_1b_1 \mod x, a_2b_2 \mod x, a_3b_3 \mod x)$ . If  $Q_x(b_1) = Q_x(b_2) = Q_x(b_3) = 0$ , a square root modulo x (more simply a square root, when the modulus x is clear from the context) of  $(b_1, b_2, b_3)$  is a triplet  $(s_1, s_2, s_3)$  such that  $(s_1^2 \mod x, s_2^2 \mod x, s_3^2 \mod x) = (b_1, b_2, b_3)$ .

From Fact 2.11, one can prove the following:

**Fact 5.1** For each integer  $x \in Regular(s)$ ,  $\approx_x$  is an equivalence relation on  $J_x^{+1} \times J_x^{+1} \times J_x^{+1}$  and there are  $2^{3(s-1)}$  equally numerous  $\approx_x$  equivalence classes.

We write  $(a_1, a_2, a_3) \not\approx_x (b_1, b_2, b_3)$  when  $(a_1, a_2, a_3)$  is not  $\approx_x$  equivalent to  $(b_1, b_2, b_3)$ .

We now proceed as follows. In Section 5.1, we describe a sender-receiver pair (P, V). In Sections 5.2, 5.3, and 5.4 we will prove that (P, V) is a Bounded Non-Interactive ZKPS for 3SAT.

### 5.1 The Sender-Receiver Pair (P,V)

#### Input to P and V:

- a random string  $\rho \circ \tau$ , where  $|\rho| = 8n^3$  and  $|\tau| = 2n^4$ ;
- $\Phi = \phi_1 \wedge \phi_2 \wedge \cdots \wedge \phi_n$  a 3-satisfiable formula with *n* clauses over the variables  $u_1, u_2, ..., u_k, k \leq 3n$ .

#### Instructions for P.

**P.1** Randomly select  $x \in BL(n)$  and  $y \in NQR_x$ .

**P.2** "Prove that  $(x, y) \in \mathcal{NQR}(2n)$ ."

Send the auxiliary pair (x, y) and run algorithm A of Section 4 on inputs (x, y) and  $\rho$ . (Call Proof₁ the output.)

**P.3** "Prove that  $\Phi \in 3SAT$ ."

Let  $t: \{u_1, ..., u_k\} \to \{0, 1\}$  be the lexicographically smallest satisfying assignment for  $\Phi$ . Execute procedure  $Prove(\Phi, t, x, y, \tau)$  (see below). (Call  $Proof_2$  the output.)

#### <u>**Procedure</u>** Prove $(\Phi, t, x, y, \tau)$ </u>

" $\Phi = \phi_1 \land \phi_2 \land \cdots \land \phi_n$  is a 3-satisfiable formula with *n* clauses over the variables  $u_1, u_2, ..., u_k, k \leq 3n$ . t:  $\{u_1, ..., u_k\} \rightarrow \{0, 1\}$  is a truth assignment satisfying  $\Phi$ .  $(x, y) \in \mathcal{NQR}(2n)$  and, moreover,  $x \in BL(n)$ .  $\tau$  is a  $2n^4$ -bit long string."

## begin{Prove}

1. "Break  $\tau$  into members of  $J_{\tau}^{+1}$ ."

Consider  $\tau$  as the concatenation of  $n^3$  2*n*-bit integers. If there are less than  $33n^2$  integers in  $J_x^{+1}$  then stop. Else, let  $\tau_1, ..., \tau_{33n^2}$  be the first  $33n^2$  integers belonging to  $J_x^{+1}$ .

2. "Assign triplets of elements with Jacobi symbol +1 to clauses."

Group the  $\tau_i$ 's in  $11n^2$  triplets  $(\tau_1, \tau_2, \tau_3), (\tau_4, \tau_5, \tau_6), \dots$  The first 11n triplets are assigned to  $\phi_1$ , the second 11n triplets are assigned to  $\phi_2$ , and so on.

3. "Label the formula  $\Phi$ ."

For each variable  $u_j$ , randomly select  $r_j \in Z_x^*$  and compute the pairs  $(u_j, w_j)$  and  $(\overline{u}_j, yw_j \mod x)$ , where

$$w_j = \begin{cases} r_j^2 \mod x & \text{if } t(u_j) = 0 \text{ and} \\ yr_j^2 \mod x & \text{if } t(u_j) = 1. \end{cases}$$

We refer to these pairs as the labeling of  $\Phi$  and to  $w_j$  ( $yw_j \mod x$ ) as the label of the literal  $u_j$  ( $\overline{u}_j$ ). "Since y is a quadratic non residue, by Fact 2.1,  $yr_j^2$  is a quadratic non residue. Therefore the label of a literal is a quadratic non residue iff the literal is true under t."

Send the labeling of  $\Phi$ .

4. "Prove that  $\Phi$  is satisfiable."

For each clause  $\phi$  of  $\Phi$  do:

- "Randomly select the verifying triplets." Let (α₁, β₁, γ₁) be the labels of the three literals of φ. Choose at random 7 triplets (α₂, β₂, γ₂), ..., (α₈, β₈, γ₈) in J_x⁺¹ × J_x⁺¹ × J_x⁺¹ such that
  (a) (α_i, β_i, γ_i) ≇_x (α_j, β_j, γ_j) for 1 ≤ i < j ≤ 8, and</li>
  (b) Q_x(α₂) = Q_x(β₂) = Q_x(γ₂) = 0. Send (α₁, β₁, γ₁), ..., (α₈, β₈, γ₈). The triplets (α₁, β₁, γ₁), ..., (α₈, β₈, γ₈) are the verifying triplets of φ. "We omit writing (α₁⁴, β₁⁴, γ₁⁴), ..., (α₈⁶, β₈⁶, γ₈⁶) not to overburden our notation, hoping that clarity is maintained."
- "Prove that  $(\alpha_2, \beta_2, \gamma_2)$  is made of quadratic residues." Randomly choose and send  $(s_1, s_2, s_3)$ , a square root of  $(\alpha_2, \beta_2, \gamma_2)$ .
- For each of the assigned triplets  $(z_1, z_2, z_3)$  of  $\phi$ , choose  $i, 1 \leq i \leq 8$ , so that  $(z_1, z_2, z_3) \approx_x (\alpha_i, \beta_i, \gamma_i)$ . Randomly choose and send a  $(\alpha_i, \beta_i, \gamma_i)$ -root of  $(z_1, z_2, z_3)$ .

#### end{Prove}

#### Instructions for V.

"V receives from P the auxiliary pair (x, y) and two strings  $Proof_1$  and  $Proof_2$ ."

- **V.0** Compute n from  $\rho \circ \tau$  and verify that  $\Phi$  has at most n clauses and each of them has three literals. If not, stop and REJECT. Else,
- **V.1** Run algorithm B of Section 4 on inputs  $\rho$ , (x, y), and  $Proof_1$ .
  - If B stops and rejects, stop and REJECT. Else,
- V.2 If Check_Prove $(\Phi, x, y, \tau, Proof_2)$ =ACCEPT then ACCEPT, else REJECT.

<u>**Procedure</u>** Check  $Prove(\Phi, x, y, \tau, Proof_2)$ </u>

" $\Phi = \phi_1 \wedge \phi_2 \wedge \cdots \wedge \phi_n$  is a formula with *n* clauses over the variables  $u_1, u_2, \dots, u_k$ . x, y are 2*n*-bit integers.  $\tau$  is a  $2n^4$ -bit long string. *Proof*₂ is a string."

## begin{Check_Prove}

1. "Verify that the assigned triplets are proper."

Consider  $\tau$  as the concatenation of  $n^3$  2*n*-bit integers. If there are less than  $33n^2$  integers in  $J_x^{+1}$  stop and ACCEPT. "This happens with very low probability." Else, let  $\tau_1, ..., \tau_{33n^2}$  be the first  $33n^2$  integers belonging to  $J_x^{+1}$ .

Group the  $\tau_i$ 's in  $11n^2$  triplets  $(\tau_1, \tau_2, \tau_3), (\tau_4, \tau_5, \tau_6), \dots$  The first 11n triplets are assigned to  $\phi_1$ , the second 11n triplets are assigned to  $\phi_2$ , and so on. Verify that they have been properly computed by P.

2. "Verify that  $\Phi$  has a proper labeling."

For each variable  $u_j$ , verify that the label of the literal  $\overline{u}_j$  is equal to the label of the literal  $u_j$  multiplied by y modulo x.

- 3. For each clause  $\phi$  of  $\Phi$  do:
  - 3.1 Let  $(\alpha_i, \beta_i, \gamma_i)$ , i = 1, ..., 8, be the verifying triplets of  $\phi$  sent by P.
  - 3.2 Verify that  $(\alpha_1, \beta_1, \gamma_1)$  is formed by the labels of the three literals of  $\phi$ .
  - 3.3 Verify that  $(s_1, s_2, s_3)$  is a square root of  $(\alpha_2, \beta_2, \gamma_2)$ .
  - 3.4 Verify that for each assigned triplet  $(z_1, z_2, z_3)$  of  $\phi$ , you received a  $(\alpha_i, \beta_i, \gamma_i)$ -root of  $(z_1, z_2, z_3)$ , for some  $i, 1 \le i \le 8$ .
- 4. If all the above verifications have been successfully made, return ACCEPT otherwise return RE-JECT.

end{Check_Prove}

## 5.2 (P,V) is a Bounded Non-Interactive Proof System for 3SAT

First, notice that (P, V) is a sender-receiver pair. Further, all checks of V can be performed in polynomial time, since only simple algebraic computations modulo x and a scanning of the strings  $\rho$  and  $\tau$  are needed.

Completeness. The same reasoning done in Theorem 4.3 shows that the probability that V does not REJECT at step V.1 is overwhelming. Let us now consider step V.2. The verification of the proper labeling of  $\Phi$  is always passed. Since t is a satisfying truth assignment for  $\Phi$ , each clause  $\phi$  has at least one literal true under t. This implies that the label of  $\phi$  contains at least one quadratic non residue.

Because of this, and because there are  $8 \approx_x$  equivalence classes, P can compute 8 verifying triplets satisfying properties (a) and (b). Moreover, since each  $\approx_x$  equivalent class contains a verifying triplet, each assigned triplet is  $\approx_x$  equivalent to some  $(\alpha_i, \beta_i, \gamma_i)$  and thus possesses an  $(\alpha_i, \beta_i, \gamma_i)$ -root. Therefore, if check V.1 is passed, so is check V.2.

Soundness. A honest prover chooses the pair (x, y) randomly. A cheating one, though, may choose this pair as function of the reference string. All arguments below have thus the following form. First, we compute the probability that the verifier can be mislead with a fixed pair, and show that this probability is suitably small. Then, we prove that, even summing up over all possible choices of pairs, we still obtain a small probability.

Assume that, in a computation with a cheating prover Prover', V accepts a formula  $\Phi \notin 3SAT$ . Then, one of the following 3 events must happen: (a) the pair (x, y) chosen by *Prover'* is not in  $\mathcal{NQR}(2n)$ , (b)  $(x,y) \in \mathcal{NQR}(2n)$ , but Verifier accepts at step 1 of Check_Prove, and (c)  $(x,y) \in \mathcal{NQR}(2n)$ , Prover' does not stop at step P.1 in Prove, but  $\Phi$  is not 3-satisfiable. We shall prove that each of these events is very improbable. The probability that (a) occurs has already been computed in Theorem 4.3) and shown to be exponentially vanishing in n. Now, consider event (b). For each fixed  $\overline{x} \in Regular(2), |\overline{x}| \leq n$ , since each  $\tau_i$  has probability  $\geq 1/8$  to be in  $J_{\overline{x}}^{\pm 1}$ , we expect  $n^3/8$  such elements in  $J_{\overline{x}}^{\pm 1}$ . By the Chernoff bound (see [AnVa], [ErSp]), the probability that no more than  $33n^2$  belong to  $J_{\pm}^{\pm 1}$  is, for large n, at most  $e^{-n^2}$ . Thus, the probability that there is an integer x such that case (b) occurs is, for large n, at most  $2^{2n}e^{-n^2}$ . Let us now consider event (c). If (c) occurs, then the following event (d) must also occur: at least 11nconsecutive assigned triplets  $(\tau_i, \tau_{i+1}, \tau_{i+2})$  must belong to the union of  $7 \approx_x$  equivalence classes. In fact, if  $\Phi$  is not satisfiable, for every labeling of  $\Phi$ , one of its clauses is labeled with a triplet of quadratic residues. (Else, all clauses would be satisfiable.) Let  $\phi$  be such a clause. Since verification step 3.3 must be passed, Prover' must exhibit a square root of  $(\alpha_2, \beta_2, \gamma_2)$ , and thus this triplet is  $\approx_x$  equivalent to  $\phi$ 's label,  $(\alpha_1, \beta_1, \gamma_1)$ . Thus, all verifying triplets of  $\phi$  are contained in the union of at most  $7 \approx_x$  equivalence classes. Since each  $(\tau_i, \tau_{i+1}, \tau_{i+2})$  is proved in step 3.4 to be  $\approx_x$  equivalent to one verifying triplet, then event (d) must be true. The probability of event (d) is at most  $8n(0.93)^n$ . (Indeed, for each fixed  $\overline{x}$  the probability that at least 11n assigned triplets belong to the union of 7  $\approx_{\overline{x}}$  equivalence classes is less than  $8n(7/8)^{11n}$ ; this can be explained as follows: 7/8 is the probability that each triplet belongs to the union of 7 fixed equivalence classes, there are 11n triplets, there are at most  $\binom{8}{7} = 8$  ways to choose 7 classes out of 8, and there are n clauses altogether. Therefore, the probability that there exists an integer x such that case (d) occurs is at most  $2^{2n}8n(7/8)^{11n} < 8n(0.93)^n$ .) This concludes the proof of soundness.

**Remark:** (P, V) can also be modified in the same way as (A, B) can be modified so to achieve perfect completeness. This is the reason why the verifier in step 1 of Check_Prove accepts if there are less  $33n^2$  integers in  $J_x^{+1}$ . Notice also that the prover need not have infinite computing power. In fact, an efficient algorithm can perform all required computations provided that it has as an additional input the satisfying assignment for  $\Phi$ .

We show now that the Proof System (P, V) is also Zero-Knowledge over 3SAT. We first exhibit a simulator for V's view and then prove that it works.

## 5.3 The Simulator

The following algorithm S, on input a formula  $\Phi \in 3SAT$  (but not a satisfying assignment for  $\Phi$ ) generates a family of random variables that, under the QRA, no efficient non-uniform algorithm can distinguish from the view of V. Notice that the view of V consists of a quadruple ( $\rho \circ \tau$ , (x, y),  $Proof_1$ ,  $Proof_2$ ); thus, the task of the simulator is to produce a quadruple that cannot be distinguished, under the QRA, from a correct quadruple. Looking ahead, the two crucial points in the strategy of the simulator are:

- 1. To choose the auxiliary pair (x, y) so that  $x \in BL(n)$  but y is a quadratic residue modulo x.
- 2. To choose a portion of the reference string not at random. Rather, select it among the strings that do not contain any quadratic non residue modulo x in  $J_x^{+1}$ .

This strategy is viable because the simulator can choose the reference string (which is instead fixed for the prover) and because it is hard to distinguish between random members of  $J_x^{+1}$  and random quadratic residues modulo x.

For a clearer presentation S's program has been broken down into procedures. To give an informal help in reading these procedures, we write z' for a value computed by the simulator, when we want to emphasize that this value is "fundamentally different" from the "corresponding" value z computed by the prover P, though an exponentially long computation may be required to determine this fact.

## S's program

**Input:** a 3-satisfiable formula  $\Phi = \phi_1 \land \phi_2 \land \cdots \land \phi_n$  over the variables  $u_1, u_2, \cdots, u_k, k \leq 3n$ .

- 1. Randomly select two n-bit primes  $p,q \equiv 3 \mod 4$  and set x = pq. Randomly select  $r \in Z_x^*$  and set  $y' = r^2 \mod x$ . "Call (x, y') the auxiliary pair."
- 2. Execute procedure Gen_ $\rho$ _and_Proof1(x, y') obtaining the strings  $\rho'$  and Proof₁.
- 3. Generate a random  $2n^4$ -bit string  $\tau$ .
- 4. Execute procedure Gen_Proof2( $\Phi, x, y', p, q, \tau$ ) obtaining the string Proof₂.

**Output:**  $(\rho' \circ \tau, (x, y'), Proof_1, Proof_2)$ 

### <u>**Procedure</u>** Gen_ $\rho$ _and_Proof1(x, y)</u>

"This procedure is used both by the simulator S and, later on, by some probabilistic algorithm. In any call,  $x \in BL(n)$  and  $y \in J_x^{+1}$ . When the procedure is called by the simulator S, y is a quadratic residue modulo x."

## begin{Gen_\$\rho_\$ and \$Proof1}

- 1. Set  $Proof_1 = \text{empty string}$ .
- 2. For i = 1 to  $4n^2$

Randomly select a 2n-bit integer  $s_i$ , with possible leading 0s.

If  $s_i \notin J_x^{+1}$  then set  $\rho_i = s_i$ .

else

Toss a fair coin.

If HEAD then set  $\rho_i = s_i^2 \mod x$  and append  $s_i$  to  $Proof_1$ .

If TAIL then set  $\rho_i = y^{-1} s_i^2 \mod x$  and append  $s_i \mod x$  to  $Proof_1$ .

- 3. Set  $\rho = \rho_1 \cdots \rho_{4n^2}$ .
- 4. Return $(\rho, Proof_1)$

end{Gen_p_and_Proof1}

Let us now see that sometimes Gen_ $\rho$ _and_Proof1 "generates what the legitimate prover would generate".

**Lemma 5.1** Define Space1(x, y) as the probability space generated by the output of Gen_ $\rho$ _and_Proof1 on input x, y. Then, for all  $x \in BL(n)$  and  $y \in NQR_x$ 

$$Space1(x, y) = \left\{ \rho \stackrel{R}{\leftarrow} \{0, 1\}^{8n^3}; Proof_1 \stackrel{R}{\leftarrow} P_Proof1(x, y, \rho) : (\rho, Proof_1) \right\}$$

where  $P_Proof1$  is P's procedure to compute  $Proof_1$  (i.e. step P.2).

**Proof.** Fix  $x \in BL(n)$  and  $y \in NQR_x$ . It can be easily seen that the first component of Gen_ $\rho$ -and_Proof1's output is randomly distributed among the  $8n^3$ -bit long strings. Moreover, if  $\rho_i \in J_x^{+1}$ , the corresponding  $s_i$  is a random (x, y)-root of  $\rho_i$ . Thus  $s_i$  has the same probability of belonging to Gen_ $\rho$ -and_Proof1's output as it has to be sent, at step P.2, from prover P to verifier V on inputs (x, y) and  $\rho$ .

#### **<u>Procedure</u>** Gen_Proof2( $\Phi, x, y', p, q, \tau$ )

"This procedure is used both by the simulator S and, later on, by some probabilistic algorithm. In any call,  $x \in BL(n)$ , x = pq, and  $y' \in QR_x$ . It returns a string  $Proof_2$  that "proves" that the formula  $\Phi = \phi_1 \wedge \phi_2 \wedge \ldots \wedge \phi_n$  is 3-satisfiable using the string  $\tau$  and the pair (x, y') even without knowing any satisfying assignment for  $\Phi$ ."

## begin{Gen_Proof2}

- 0. Set  $Proof_2 = empty string$ .
- 1. Consider  $\tau$  as the concatenation of  $n^3$  2n-bit integers. If there are less than  $33n^2$  integers in  $J_x^{+1}$ , stop. Else, let  $\tau_1, ..., \tau_{33n^2}$  be the first  $33n^2$  integers belonging to  $J_x^{+1}$ .

Group the  $\tau_i$ 's in  $11n^2$  triplets  $(\tau_1, \tau_2, \tau_3), (\tau_4, \tau_5, \tau_6), \dots$  The first 11n triplets are assigned to  $\phi_1$ , the second 11n triplets are assigned to  $\phi_2$ , and so on.

2. For each variable  $u_j$ , randomly select  $w_j \in NQR_x$  and label the literal  $u_j$  with  $w_j$  and the literal  $\overline{u}_j$  with  $y'w_j \mod x$ .

"Since y' is a quadratic residue, all labels are quadratic non residues."

Append the labeling of  $\Phi$  to  $Proof_2$ .

- 3. For each clause  $\phi$  of  $\Phi$  do:
  - Let α₁, β₁, and γ₁ be the labels of the three literals of φ. Thus, α₁, β₁, γ₁ ∈ NQR_x. Choose at random 7 triplets (α₂, β₂, γ₂), ..., (α₈, β₈, γ₈) in J_x⁺¹×J_x⁺¹×J_x⁺¹ such that (α_i, β_i, γ_i) ∉_x (α_j, β_j, γ_j), for 1 ≤ i < j ≤ 8 and Q_x(α₂) = Q_x(β₂) = Q_x(γ₂) = 0. Append the triplets (α₁, β₁, γ₁), ..., (α₈, β₈, γ₈) as the verifying triplets of φ to Proof₂.
  - Randomly choose and append a square root of  $(\alpha_2, \beta_2, \gamma_2)$  to Proof₂.
  - For each of the assigned triplets  $(z_1, z_2, z_3)$  of  $\phi$ , choose  $i, 1 \le i \le 8$ , so that  $(z_1, z_2, z_3) \approx_x (\alpha_i, \beta_i, \gamma_i)$ . Randomly choose and append an  $(\alpha_i, \beta_i, \gamma_i)$ -root of  $(z_1, z_2, z_3)$  to  $Proof_2$ .

^{4.} Return $(Proof_2)$ 

end{Gen_Proof2}

**Lemma 5.2** Algorithm S is efficient.

**Proof:** The main body and procedure Gen $\rho$ -and_Proof1 are computationally trivial. The first two steps of procedure Gen_Proof2 are also quite easy as, due to Fact 2.9, generating a random quadratic non residue in  $J_x^{+1}$  is easy when  $x \in BL$ . Let us now see that also step 3 can always be completed, and efficiently as well. Given that the first verifying triplet has been chosen to be composed by quadratic non residues in  $J_x^{+1}$  and the second by quadratic residues, it is certainly possible to choose the other 6 verifying triplets so that all of them belong to 8 distinct  $\approx_x$  equivalence classes. Moreover, given that the factorization of x is an available input, the remaining part of step 3 can be efficiently executed.

### 5.4 (P,V) is Zero-Knowledge

**Theorem 5.2** Under the QRA, (P, V) is a Bounded Non-Interactive ZKPS for 3SAT.

**Proof.** All that is left to prove is that (P, V) satisfies the Zero-Knowledge condition. We do this by showing that algorithm S of the previous section simulates the view of the verifier V.

We proceed by contradiction. Assume that there exists a positive constant d, an infinite subset  $\mathcal{I} \subseteq \mathcal{N}$ , a set  $\{\Phi_n\}_{n\in\mathcal{I}}$  such that each  $\Phi_n$  is a 3-satisfiable formula with n clauses, and an efficient non-uniform "distinguishing" algorithm  $\{D_n\}_{n\in\mathcal{I}}$  such that for all  $n\in\mathcal{I}$ 

$$|P_S(n)-P_V(n)|\geq n^{-d},$$

where  $P_S(n) = Pr(s \stackrel{R}{\leftarrow} S \setminus 1^n, \Phi_n)$ :  $D_n(s) = 1$  and  $P_V(n) = Pr(s \stackrel{R}{\leftarrow} View(n, \Phi_n)$ :  $D_n(s) = 1$ ).

We derive a contradiction by showing an efficient non-uniform algorithm  $\{C_n\}_{n\in\mathcal{I}}$  violating the QRA. On input randomly chosen  $x \in BL(n)$  and  $y \in J_x^{\pm 1}$ ,  $C_n$  constructs a string SAMPLE which is distributed according to  $S(1^n, \Phi_n)$  if  $y \in QR_x$ , and according to  $View(\Phi_n)$  if  $y \in NQR_x$ . Thus, as the non-uniform algorithm  $\{D_n\}_{n\in\mathcal{I}}$  is assumed to distinguish the two probability spaces, this is a violation of QRA.

#### The Algorithm $C_n$

" $C_n$  has "wired-in" a formula  $\Phi_n$  along with t, the lexicographically smallest satisfying truth assignment for  $\Phi_n$ , a description of  $D_n$ , and the probabilities  $P_S(n)$  and  $P_V(n)$ ."

Input: (x, y) such that  $x \in BL(n)$  and  $y \in J_x^{+1}$ .

- 1. Execute procedure Gen  $\rho$  and Proof 1(x, y), thus obtaining  $\rho$  and Proof₁.
- 2. Execute procedure Sample_ $\tau$ _and_Proof2( $\Phi_n, t, x, y$ ), thus obtaining  $\tau$  and Proof₂.
- 3. Set  $SAMPLE = (\rho \circ \tau, (x, y), Proof_1, Proof_2)$ .
- 4. If  $D_n(SAMPLE) = 1$  then set b = 1 else b = 0.
- 5. If  $P_S(n) > P_V(n)$  then Output(b) else Output(1-b).

#### **Procedure** Sample_ $\tau$ _and_Proof2( $\Phi$ , t, x, y)

" $\Phi = \phi_1 \land \phi_2 \land \cdots \land \phi_n$  is a 3-satisfiable formula with *n* clauses over the variables  $u_1, u_2, \cdots, u_k, k \leq 3n$ .  $t: \{u_1, u_2, \cdots, u_k\} \rightarrow \{0, 1\}$  is a satisfying truth assignment for  $\Phi$ .  $x \in BL(n)$  and  $y \in J_x^{\pm 1}$ ." begin{Sample_ $\tau$ _and_Proof2} 1. For i = 1 to  $n^3$  do:

randomly select a 2n-bit integer  $r_i$  (with possible leading 0's)

if  $r_i \notin J_x^{+1}$  then set  $s_i = r_i$ 

else toss a fair coin: if HEAD then set  $s_i = r_i^2 \mod x$ ; if TAIL then set  $s_i = -r_i^2 \mod x$ .

- **2.** Set  $Proof_2 =$  empty string.
- 3. Let  $j_1, ..., j_{33n^2}$  be the indices of the first  $33n^2 s_i$ 's belonging to  $J_x^{\pm 1}$ .

If there are less than  $33n^2$  such integers set  $\tau = s_1 \cdots s_{n^3}$  and stop.

Else, set  $\tau_i = s_i$  for all indices *i* not in  $\{j_1, ..., j_{33n^2}\}$ .

- 4. Group the  $j_i$ 's in  $11n^2$  triplets  $(j_1, j_2, j_3), (j_4, j_5, j_6), \dots$  Assign the  $11n^2$  triplets to the clauses in the following way: the first 11n triplets are assigned to the first clause,  $\phi_1$ , the second 11n triplets are assigned to the second clause,  $\phi_2$ , and so on.
- 5. For each variable  $u_j$ , randomly select  $v_j \in Z_x^*$  and assign the label  $w_j$  to the literal  $u_j$  and the label  $yw_j \mod x$  to the literal  $\overline{u}_j$ , where

$$w_j = \begin{cases} -v_j^2 \mod x & \text{if } t(u_j) = 1 \text{ and} \\ -yv_j^2 \mod x & \text{if } t(u_j) = 0. \end{cases}$$

Call  $\Phi'$  the labeling of  $\Phi$ . Append  $\Phi'$  to  $Proof_2$ .

- 6. For each clause  $\phi$  of  $\Phi$  do:
  - Let  $-ya^2 \mod x, -yb^2 \mod x, -c^2 \mod x$  be the label of the three literals of  $\phi$ , and a, b, c previously computed values in  $Z_x^*$ .

"We consider only one case, not to overburden our notation. The other cases are treated similarly."

• Randomly choose 21 elements  $a_1, b_1, c_1, \dots, a_7, b_7, c_7 \in Z_x^*$ , and construct the following 8 triplets

$$\begin{array}{l} (-ya^2 \mod x, -yb^2 \mod x, -c^2 \mod x) \\ (a_1^2 \mod x, b_1^2 \mod x, c_1^2 \mod x) \\ (a_2^2 \mod x, -b_2^2 \mod x, c_2^2 \mod x) \\ (a_3^2 \mod x, -b_3^2 \mod x, -c_3^2 \mod x) \\ (-a_4^2 \mod x, b_4^2 \mod x, -c_3^2 \mod x) \\ (-a_5^2 \mod x, b_5^2 \mod x, -c_5^2 \mod x) \\ (-a_6^2 \mod x, -b_6^2 \mod x, c_6^2 \mod x) \\ (ya_7^2 \mod x, yb_7^2 \mod x, -c_7^2 \mod x). \end{array}$$

• Construct the 8 verifying triplets of  $\phi$  as

$$(\alpha_1, \beta_1, \gamma_1) = (-ya^2 \mod x, -yb^2 \mod x, -c^2 \mod x) (\alpha_2, \beta_2, \gamma_2) = (a_1^2 \mod x, b_1^2 \mod x, c_1^2 \mod x)$$

Randomly permute the remaining 6 triplets and assign them to  $(\alpha_3, \beta_3, \gamma_3), ..., (\alpha_8, \beta_8, \gamma_8)$ . Append  $(\alpha_1, \beta_1, \gamma_1), ..., (\alpha_8, \beta_8, \gamma_8)$  to  $Proof_2$ .

• Append the triplet  $(a_1, b_1, c_1)$  to *Proof*₂ as a square root of  $(\alpha_2, \beta_2, \gamma_2)$ .

- For each of the assigned indices  $(l_1, l_2, l_3)$  of  $\phi$ ,
  - Randomly choose one of the 8 verifying triplets, say  $(\alpha_k, \beta_k, \gamma_k)$ .

Randomly choose  $v_1, v_2, v_3 \in Z_x^*$  and set  $\tau_{l_1} = v_1^2 \alpha_k \mod x$ ,  $\tau_{l_2} = v_2^2 \beta_k \mod x$ , and  $\tau_{l_3} = v_3^2 \gamma_k \mod x$ .

Compute and append to  $Proof_2$   $(v_1\alpha_k \mod x, v_2\beta_k \mod x, v_3\gamma_k \mod x)$  as an  $(\alpha_k, \beta_k, \gamma_k)$ -root of  $(\tau_{l_1}, \tau_{l_2}, \tau_{l_3})$ .

- Set  $\tau = \tau_1 \cdots \tau_{n^3}$ .
- 7. Return( $\tau$ ,  $Proof_2$ ).

#### end{Sample_ $\tau_and_Proof2$ }

There is no question that  $\{C_n\}_{n\in\mathcal{I}}$  is an efficient non-uniform algorithm. Let now Space2( $\Phi_n, t, x, y$ ) be the probability space generated by the output of Sample_ $\tau$ _and_Proof2 on input  $\Phi_n, t, x, y$ . Then, for all  $n \in \mathcal{I}$  and for all  $x \in BL(n)$ , Space2( $\Phi_n, t, x, y$ ) is equal to

$$(*) \qquad \begin{cases} \{\tau \stackrel{R}{\leftarrow} \{0,1\}^{2n^4}; Proof_2 \stackrel{R}{\leftarrow} Prove(\Phi_n,t,x,y,\tau): (\tau,Proof_2)\} & \text{if } y \in NQR_x \text{ and} \\ \{\tau \stackrel{R}{\leftarrow} \{0,1\}^{2n^4}; Proof_2 \stackrel{R}{\leftarrow} Gen_Proof2(\Phi_n,x,y,p,q,\tau): (\tau,Proof_2)\} & \text{if } y \in QR_x \end{cases}$$

where p, q are the prime factors of x.

To see (*), notice that if  $y \in NQR_x$  then the label  $w_j$  assigned to each literal  $u_j$  by  $C_n$  is a random element selected from either  $NQR_x$  or  $QR_x$  depending on whether  $t(u_j)$  is true or false, respectively (this is the same computation performed by **Prove**). If  $y \in QR_x$  then the label  $w_j$  of literal  $u_j$  is always a random element selected from  $NQR_x$  (in the same way as **Gen_Proof2** computes it). In both cases the label of  $\overline{u}_j$  is  $yw_j \mod x$ .

Regardless of the quadratic residuosity of y modulo x, for each clause  $\phi$  of  $\Phi$ , the 8 verifying triplets of  $\phi$  computed by  $C_n$  are always selected at random among the triplets of elements in  $J_x^{+1}$  that are pairwise not  $\approx_x$  equivalent, the first triplet consists of the labels of the three literals of  $\phi$ , and the second triplet is made of three quadratic residues.

The string  $\tau$  output by  $C_n$  is truly random (regardless of the quadratic residuosity of y modulo x). Indeed, each  $\tau_i$  is randomly selected from the 2n-bit long strings, and independently of the remaining  $\tau_j$ 's.

Finally, for each clause and each of its assigned triplets  $(\tau_{l_1}, \tau_{l_2}, \tau_{l_3})$  the corresponding  $(v_1\alpha_k \mod x, v_2\beta_k \mod x, v_3\gamma_k \mod x)$  is a random  $(\alpha_k, \beta_k, \gamma_k)$ -root of  $(\tau_{l_1}, \tau_{l_2}, \tau_{l_3})$ . This completes the proof of (*).

Since  $SAMPLE = (\rho \circ \tau, (x, y), Proof_1, Proof_2)$ , because of (*) and because of Lemma 5.1, for randomly selected  $x \in BL(n)$  and  $y \in J_x^{+1}$ , SAMPLE is distributed as  $View(\Phi_n)$  if  $y \in NQR_x$  and as  $S(1^n, \Phi_n)$  if  $y \in QR_x$ . Given our assumption about the efficient non-uniform algorithm  $\{D_n\}_{n\in I}$ , it is immediately seen that, for all  $n \in I$ ,  $Pr(x \stackrel{R}{\leftarrow} BL(n); y \stackrel{R}{\leftarrow} J_x^{+1} : C_n(x, y) = Q_x(y)) \ge 1/2 + 1/(2n^d)$  which contradicts the QRA.

**Remark:** the reader is encouraged to verify that if the same reference string  $\sigma$  and the same (x, y) are used by the prover to prove that two formulae  $\Phi$  and  $\hat{\Phi}$  are 3-satisfiable then "extra knowledge may leak". For instance, that there exist a satisfying assignment for  $\Phi$  and and a satisfying assignment for  $\hat{\Phi}$  for which the literal  $u_1$  in  $\Phi$  and the literal  $\hat{u}_2$  in  $\hat{\Phi}$  have the same truth value.

The moral is that one must be careful when using the same set-up, i.e. common reference string and the same pair (x, y), to prove an "unlimited" number of formulae to be satisfiable. This is indeed the goal of Section 6.

## 5.5 Arthur-Merlin Games and Bounded Non-Interactive Zero Knowledge

**Theorem 5.3** If  $3SAT \in Bounded-NIZK$ , then  $Bounded-NIZK = AM_2$ .

**Proof.** Since Bounded-NIZK  $\subseteq$  Bounded Non-Interactive  $P = AM_2$ , it only remains to show that  $AM_2 \subseteq$  Bounded-NIZK. For  $L \in AM_2$  define the language  $L' = \bigcup_n L'(n)$ , where

 $L'(n) = \{(r,x) : |r| = n^c, x \in L_n, \text{ and } \exists w, |w| \le n^c \text{ such that } Verifier(r,x,w) = 1\}$ 

and (*Prover*, Verifier) is a Bounded Non-Interactive Proof System for L with constant c. Then  $x \in L_n$ iff  $(r,x) \in L'(n)$  for most  $n^c$ -bit strings r. Moreover  $L' \in NP$ , thus there is a fixed polynomial-time computable reduction R such that

$$(r,x) \in L'(n) \iff \Psi = R(r,x) \in 3SAT_{n^b}$$

where b > 0 is a fixed constant depending only on the reduction R.

We now describe a Bounded Non-Interactive ZKPS (P, V) for L. On input  $x \in L_n$  and the reference string  $\tau = r \circ \sigma$ , where  $|r| = n^c$  and  $\sigma$  has the proper length, P constructs the formula  $\Psi = R(r, x)$  and, if it is 3-satisfiable then proves in bounded non-interactive zero knowledge, with input  $\Psi$  and  $\sigma$ , that indeed  $\Psi \in 3SAT_{n^b}$ .

**Theorem 5.4** Under the QRA, Bounded-NIZK =  $AM_2$ .

# 6 Non-Interactive Zero Knowledge

We now want to capture the ability of giving non-interactive and zero-knowledge proofs of "many" theorems, using the same common reference string, in an "on-line manner". That is, each theorem can be proven independently of all previous and future theorems.

We will present our formal definition when the theorems to be proven are statements about 3satisfiability.

**Definition 6.1** Let (*Prover, Verifier*) be a sender-receiver pair, where  $Prover(\cdot, \cdot)$  is random selecting and  $Verifier(\cdot, \cdot, \cdot)$  is polynomial-time. We say that (*Prover, Verifier*) is a Non-Interactive Zero-Knowledge Proof System (Non-Interactive ZKPS) if the following 3 conditions hold.

1. Completeness.  $\forall \Phi \in 3SAT$  and all n,

$$Pr(\sigma \leftarrow \{0,1\}^n; Proof \leftarrow Prover(\sigma, \Phi): Verifier(\sigma, \Phi, Proof) = 1) = 1.$$

2. Soundness. There exists a constant  $c_1 > 0$  such that, for all probabilistic algorithms Adversary outputting pairs ( $\Phi'$ , Proof'), where  $\Phi' \notin 3SAT$ ,  $\forall d > 0$ , and  $\forall n > c_1$ ,

$$Pr\left(\sigma \stackrel{R}{\leftarrow} \{0,1\}^{n}; (\Phi', Proof') \stackrel{R}{\leftarrow} Adversary(\sigma): Verifier(\sigma, \Phi', Proof') = 1\right) < n^{-d}$$

3. Zero-Knowledge. There exist constant  $c_2 > 0$  and an efficient algorithm S such that  $\forall \Phi_1, \Phi_2, ... \in 3SAT$ , for all efficient non-uniform algorithms  $D, \forall d > 0$ , and all  $n > c_2$ ,

$$|Pr(s \stackrel{R}{\leftarrow} View(n, \Phi_1, \Phi_2, ...) : D_n(s) = 1) - Pr(s \stackrel{R}{\leftarrow} S(1^n, \Phi_1, \Phi_2, ...) : D_n(s) = 1)| < n^{-d}$$

where

$$View(n, \Phi_1, \Phi_2, ...) = \left\{ \sigma \stackrel{R}{\leftarrow} \{0, 1\}^n; Proof_1 \stackrel{R}{\leftarrow} Prover(\sigma, \Phi_1); \\ Proof_2 \stackrel{R}{\leftarrow} Prover(\sigma, \Phi_2); \\ \vdots \\ :(\sigma, Proof_1, Proof_2, ...) \right\}$$

A sender-receiver pair (*Prover, Verifier*) is a Non-Interactive Proof System for 3SAT if Completeness and Soundness hold.

Discussion. First, notice that we have set the probability of acceptance of true theorems to be 1 since  $3SAT \in NP$ . Notice also, the generality of our definition as it handles any number of formulae of arbitrary size in Completeness, Soundness, and Zero-Knowledge. That is, every true theorem can be proven, no matter how long. Of course longer theorems will have longer proofs. Since the verifier is polynomial-time in the length of the common input, it will have more time to verify that a longer formula is 3-satisfiable. Every false theorem, no matter how long, has negligible probability of being "successfully proved"; however, though the length of the proof grows with the length of the theorem, "negligible" is defined only as a function of the length of the reference string⁶. Finally, every theorem, no matter how long, possess a Zero-Knowledge proof. Of course, a longer theorem will have a longer proof and thus the polynomial-time simulator will have longer time to simulate the proofs. The zero-knowledgeness of the simulator's proofs only holds for a non-uniform "observer" bounded by the length of the reference string.⁷

The definition of Non-Interactive ZKPS might be more general if perfect completeness is relaxed to completeness as in Section 3. In this case the adversarial choosing algorithm *Choose-in-L* should be given  $\sigma$  and access to *Prover's* random selector.

#### 6.1 The Sender-Receiver Pair (P,V)

In this subsection we describe a sender-receiver pair (P, V). P can prove in zero-knowledge the 3satisfiability of any number of 3-satisfiable formulae with n clauses each. Later, we shall show how to use the same protocol to prove any number of formulae, each of arbitrary size.

Before going into a formal description of the proof system, we give an informal view of the protocol.

#### An informal look at (P,V).

Observation: A crucial observation that will be (implicitly) proved in this section is the following. If many certified auxiliary pairs (x, y)  $(x \in BL$  and  $y \in NQR_x)$  are available, one can use each (x, y) to prove in zero-knowledge that any single formula  $\Phi_{(x,y)} \in 3SAT$  with n clauses is 3-satisfiable using the same random string  $\tau$ . For what we remarked in Section 5, the same  $\tau$  and the same auxiliary pair should not be used to prove the 3-satisfiability of two different formulae.

In the light of the above observation, we want to construct a mechanism to achieve the following two goals:

- (1) Associating to each formula  $\Phi$  an auxiliary pair  $(x^{\Phi}, y^{\Phi})$ , of "bounded" size, so that, with overwhelming probability, different formulae are associated to different pairs.
- (2) Certifying  $(x^{\Phi}, y^{\Phi})$ , i.e. proving that  $x^{\Phi} \in BL$  and  $y^{\Phi} \in NQR_{x^{\Phi}}$ .

⁶Which de facto is a security parameter.

⁷In particular, if a theorem and its proof are exponentially long (with respect to the reference string) the distinguishing algorithm can compare the actual "view" and the output of the simulator only for a polynomially long prefix.

The first goal could be achieved by using the random selector, but the problem of the certification remains. The current mechanism for certifying in Zero-Knowledge a single auxiliary pair (x, y) using  $\rho$  can be extended to handle "a few" more pairs, but not arbitrarily many.⁸ Instead, we use a mechanism of recursive nature to simultaneously achieve (1) and (2).

Let us first describe this recursive mechanism for a prover "with memory." Such a prover can construct and store a binary tree of depth n. The left child of each node will also be denoted as the 0-child, and the right one as the 1-child. Thus each node in the tree is labeled with a binary string of length at most n + 1. The root is labeled 0, and each other node is labeled with the string describing the unique path from the root to it. Thus, for instance, the left child of the root has label 00, and the rightmost leaf of the tree has label 01ⁿ. With each node (labeled) *i*, the prover stores a randomly selected auxiliary pair  $(x_i, y_i)$ . The prover uses  $(x_i, y_i)$  for certifying the auxiliary pairs of the children of node *i*, that is,  $(x_{i0}, y_{i1})$ . The first auxiliary pair  $(x_0, y_0)$  is certified using string  $\rho$  as in Section 4. For each *i*, the two pairs  $(x_{0b_1\dots b_i0}, y_{0b_1\dots b_i0}), (x_{0b_1\dots b_i1}, y_{0b_1\dots b_i1})$ , are certified together as in Section 5, using the same string  $\tau_1$ . That is, consider the language  $L = \bigcup_n L(n)$ , where

$$L(n) = \{((u_0, v_0), (u_1, v_1)) : u_0, u_1 \in BL(n), v_0 \in NQR_{u_0}, v_1 \in NQR_{v_1}\}.$$

Then  $L \in NP$ . Thus, there exists a fixed polynomial-time computable function CR such that

$$((u_0, v_0), (u_1, v_1)) \in L(n) \iff \Psi = CR(u_0, v_0, u_1, v_1) \in 3SAT_{n^{\epsilon}}$$

where e is a fixed constant depending only on the reduction CR. More precisely, let T be a polynomialtime Turing machine such that  $x \in L$  iff there is a "witness" (string) w such that  $|w| \leq |x|^e$  and T(x, w) = 1. Then, the formula  $\Psi$  is obtained by encoding the computation of T as in Cook's Theorem, and then reducing it to a 3-satisfiable formula, as Cook suggested [Co]. A well known property of this reduction is that to each "witness" w one can associate in polynomial-time a satisfying assignment for  $\Psi$ . In our case the witness consists of the primes in the factorizations of  $u_0$  and  $u_1$  and their proof of primality. The proof (witness) of the primality of a prime p is probabilistically constructed in a standard way: by running algorithm [AdHu] on input p flipping coins as needed.

We will thus certify  $(x_{0b_1\dots b_i0}, y_{0b_1\dots b_i0}), (x_{0b_1\dots b_i1}, y_{0b_1\dots b_i1})$  by showing that the so constructed

$$\Psi_{0b_1\cdots b_i} = CR((x_{0b_1\cdots b_i 0}, y_{0b_1\cdots b_i 0}), (x_{0b_1\cdots b_i 1}, y_{0b_1\cdots b_i 1})) \in 3SAT_{n^{\bullet}}.$$

For each  $\Psi_{0b_1...b_i}$ , this is done using the proof system of Section 5, and the same string  $\tau_1$  which in fact has length  $2n^a$ , with a = 4e.

What have we gained by this? Essentially that we have transformed the problem of certifying  $(x_{0b_1...b_i,0}, y_{0b_1...b_i,0})$ ,  $(x_{0b_1...b_i,1}, y_{0b_1...b_i,1})$  into the problem of proving  $\Psi_{0b_1...b_i} \in 3SAT_{n^e}$ , and we have observed (but not yet proved) that one can prove in zero knowledge arbitrarily many theorems of size n given arbitrarily many, independent, certified pairs (x, y)'s. Since these pairs are randomly and independently selected, with overwhelming probability, each pair  $(x_{0b_1...b_i}, y_{0b_1...b_i})$  is used only once with  $\tau_1$  to prove  $\Psi_{0b_1...b_i} \in 3SAT_{n^e}$ .

In sum, this mechanism provides each formula  $\Phi$  with a certified auxiliary pair  $(x^{\Phi}, y^{\Phi})$  that is uniquely determined from  $\Phi$  and the reference string, though still random.

The prover we just described needs not to remember the labeled full binary tree; it can in fact, (re)grow its branches as needed. It must, though, remember which auxiliary pairs he had associated with

⁸Recall the way  $\rho$  is used. If  $\rho_i \in QR_x$  a square root of  $\rho_i \mod x$  is given, if  $\rho_i \in NQR_x$  a square root of  $y\rho_i \mod x$  is given. In our simulation, however, all  $\rho_i$  will be chosen in  $QR_x$ . Thus, if we want to carry on the simulation for many pairs  $(x_i, y_i)$  we need to construct a  $\rho$  solely consisting of quadratic residues modulo  $x_1, x_2, ...$  which appears very hard to do when the number of  $x_i$ 's grows large.

the nodes of the tree. In fact, if it does not keep track of these pairs, it may use the same auxiliary pair and the same reference string to prove different theorems, which may not be zero-knowledge. To avoid this, and to avoid "memory," the prover uses the random selector to associate a random pair with the node of the tree. Namely, on input a formula  $\Phi$ , the prover chooses n bits  $b_1b_2\cdots b_n$  by querying the random selector with a pair whose first entry is  $\Phi$  and the reference string  $\sigma = \rho \circ \tau_1 \circ \tau_2$ , and whose second entry is (a description of) the set  $\{0,1\}^n$ . This way, if the same formula is considered twice, the same random *n*-bit string would be selected. Then the prover computes a random, first auxiliary pair  $(x_0, y_0)$  (again using the random selector so that it could recompute the same pair any time he wanted it). Then, for i = 0, ..., n, the auxiliary pairs  $(x_{0b_1 \cdots b_i 0}, y_{0b_1 \cdots b_i 0}), (x_{0b_1 \cdots b_i 1}, y_{0b_1 \cdots b_i 1})$  are chosen by the random selector on input  $0b_1 \cdots b_i 0$  and  $0b_1 \cdots b_i 1$ , respectively. The pair associated to  $\Phi$  is  $(x_{0b_1 \cdots b_n}, y_{0b_1 \cdots b_n})$ .

We now proceed more formally.

#### Description of (P,V).

"a = 4e, where e is the constant of reduction CR. Select is P's random selector. PAIR(n) is the set of pairs (x, y) such that  $x \in BL(n)$  and  $y \in NQR_x$ ."

#### Input to P and V:

- A random string  $\sigma$ ,  $\sigma = \rho \circ \tau_1 \circ \tau_2$ , where  $|\rho| = 8n^3$ ,  $|\tau_1| = 2n^a$  and  $|\tau_2| = 2n^4$ .
- A formula  $\Phi \in 3SAT$  with *n* clauses.

#### Instructions for P.

P.1 "Choose and certify the first auxiliary pair."

Compute auxiliary pair  $(x_0, y_0) = Select(\sigma, PAIR(n))$ .

Send  $(x_0, y_0)$  and run algorithm A of Section 4 on input  $(x_0, y_0)$  and  $\rho$ . "Call Proof₀ the output."

P.2 "Choose and certify other auxiliary pairs."

Set  $b_0 = 0$ . Compute and send  $b_0 b_1 b_2 \cdots b_n = \text{Select}(\Phi, \{0, 1\}^n)$ .

For i = 0, ..., n do:

```
Set s = b_0 \cdots b_i.
```

Compute and send  $(x_{s0}, y_{s0}) = Select(s0, PAIR(n))$  and  $(x_{s1}, y_{s1}) = Select(s1, PAIR(n))$ . Compute  $\Psi_s = CR(x_{s0}, y_{s0}, x_{s1}, y_{s1})$  and  $t_s$ , a satisfying assignment for  $\Psi_s$ . Execute Prove $(\Psi_s, t_s, x_s, y_s, \tau_1)$ . "Call Proof  $\Psi_s$  the output."

#### P.3 "Prove $\Phi \in 3SAT$ ."

Set  $s = b_0 \cdots b_n$ . Let  $t_{\Phi}$  be the lexicographically smallest satisfying assignment for  $\Phi$ . Execute Prove $(\Phi, t_{\Phi}, x_s, y_s, \tau_2)$ . "Call *Proof*  $\Phi$  the output."

#### Instructions for V.

"V receives from P the bits  $b_0, b_1, ..., b_n$ ,  $(x_{b_0}, y_{b_0})$ ,  $(x_{b_00}, y_{b_00})$ ,  $(x_{b_01}, y_{b_01})$ , ...,  $(x_{b_0 \dots b_{n-1}0}, y_{b_0 \dots b_{n-1}0})$ ,  $(x_{b_0 \dots b_{n-1}1}, y_{b_0 \dots b_{n-1}1})$ , the formulae  $\Psi_{b_0}, ..., \Psi_{b_0 b_1 \dots b_n}$ , and the strings  $Proof_0, Proof \Psi_{b_0}, ..., Proof \Psi_{b_0 \dots b_n}$ ,  $Proof \Psi_{b_0 \dots b_n}$ ,  $Proof \Psi_{b_0}$ ."

V.1 "Verify first auxiliary pair."

Run algorithm B of Section 4 on input  $\rho$ ,  $(x_0, y_0)$ , and  $Proof_0$ .

If B stops and rejects, stop and REJECT. Else,

V.2 "Verify other auxiliary pairs."

For i = 0, ..., n do: Set  $s = b_0 \cdots b_i$ . Compute  $\Psi_s = CR(x_{s0}, y_{s0}, x_{s1}, y_{s1})$ . If Check_Prove $(\Psi_s, x_s, y_s, \tau_1, Proof \Psi_s)$ =REJECT then stop and REJECT. Else,

V.3 "Verify  $Proof\Phi$ ."

Compute n from  $\rho \circ \tau_1 \circ \tau_2$  and verify that  $\Phi$  has at most n clauses, and each of them has three literals. If not, stop and REJECT. Else,

Set  $s = b_0 \cdots b_n$ .

If Check_Prove $(\Phi, x_s, y_s, \tau_2, Proof \Phi)$ =REJECT then stop and REJECT. Else ACCEPT.

### 6.2 $(\mathbf{P}, \mathbf{V})$ is a Non-Interactive Proof System for 3SAT

The Proof System (P, V) of Section 5 constitutes the main building block of the just described senderreceiver pair (P, V). Therefore, the completeness of (P, V) can be easily derived from the analysis of completeness in Section 5.2.

Let us now focus our attention on the soundness. We shall show that, if the formula  $\Phi$  is not 3satisfiable, then for any Turing machine Adversary (even a "cheating" one that chooses  $\Phi$  after seeing the reference string), V will accept the proof provided by Adversary with sufficiently low probability. The proof closely follows the reasoning done in Section 5.2 to prove the soundness of the proof system (P, V)described in 5.1. We distinguish two cases:

- 1. For some w,  $(x_w, y_w) \notin \mathcal{NQR}(2n)$ .
- 2. All the pairs  $(x_w, y_w)$  belong to  $\mathcal{NQR}(2n)$  but  $\Phi \notin 3SAT$ .

If  $(x_0, y_0) \notin \mathcal{NQR}(2n)$ , we are in the very same situation analyzed in case (a) in the proof of soundness of Section 5.2. By the same reasoning, we conclude that the verification of step 1 is passed with sufficiently low probability. Suppose that for w = sb, where  $b \in \{0,1\}$ ,  $(x_w, y_w) \notin \mathcal{NQR}(2n)$  and  $(x_w, y_w) \in$  $\mathcal{NQR}(2n)$ . Then,  $\Psi_w \notin 3SAT$  and therefore the procedure Check_Prove invoked for  $\Psi_w$  returns REJECT with sufficiently high probability.

Now, suppose that all pairs  $(x_w, y_w)$  belong to  $\mathcal{NQR}(2n)$  but  $\Phi \notin 3SAT$ . Since  $(x_s, y_s) \in \mathcal{NQR}(2n)$ ,  $s = b_0 b_1 \cdots b_n$ , following the reasoning done for cases (b) and (c) in the proof of soundness in Section 5.2, we conclude that verification step V.3 is passed with very low probability.

Now, we show that the Proof System (P, V) is also Zero-Knowledge over 3SAT.

## 6.3 The Simulator

In this section, we describe an efficient algorithm S; in the next section we will prove that, on input a sequence of 3-satisfiable formulae, S's output cannot, under the QRA, be distinguished from V's view by any efficient non-uniform algorithm.

#### S's Program

**Input:** An integer n > 0. A sequence  $\Phi_1, \Phi_2, ...$  of 3-satisfiable formulae with n clauses each.

- 0. Set Sim_Output = empty string and Tree = empty set.
- 1. "Choose  $\rho'$  and choose and certify first auxiliary pair." Randomly select two *n*-bit primes  $p_0, q_0 \equiv 3 \mod 4$  and set  $x_0 = p_0 q_0$ . Randomly select  $y'_0 \in QR_{x_0}$ . Execute procedure Gen_ $\rho$ -and_Proof1 $(x_0, y'_0)$ , thus obtaining the strings  $\rho'$  and  $Proof'_0$ .
- 2. "Choose  $\tau_1$  and  $\tau_2$ ."

Randomly select two strings  $\tau_1$  and  $\tau_2$  so that  $|\tau_1| = 2n^a$  and  $|\tau_2| = 2n^4$ .

- 3. For each input formula  $\Phi$  do:
  - 3.1 "Choose and certify other auxiliary pairs" Set  $b_0 = 0$  and randomly select  $b_1 \cdots b_n$ . Append  $(x_0, y'_0)$ ,  $Proof'_0$ , and  $b_0 b_1 \cdots b_n$  to  $Sim_Ouptput$ . For i = 0, ..., n do:

01 = 0, ..., 0 = 0.00

- Let  $s = b_0 b_1 \cdots b_i$ .
- If  $s \notin Tree$  then
  - Add s to Tree.

Randomly select 4 *n*-bit primes  $p_{s0}, q_{s0}, p_{s1}, q_{s1} \equiv 3 \mod 4$ .

Set  $x_{s0} = p_{s0}q_{s0}$  and  $x_{s1} = p_{s1}q_{s1}$ .

Randomly select  $y'_{s0} \in QR_{x_{s0}}$  and  $y'_{s1} \in QR_{x_{s1}}$ .

Compute  $\Psi_s = CR(x_{s0}, y'_{s0}, x_{s1}, y'_{s1}).$ 

Execute procedure Gen_Proof  $2(\Psi_s, x_s, y'_s, p_s, q_s, \tau_1)$ , thus obtaining  $Proof \Psi'_s$ .

Append  $(x_{s0}, y'_{s0}), (x_{s1}, y'_{s1})$ , and  $Proof \Psi'_s$  to  $Sim_Output$ .

3.2 "Prove  $\Phi \in 3SAT$ ."

Set  $s = b_0 b_1 \cdots b_n$ . Execute Gen_Proof2 $(\Phi, x_s, y'_s, p_s, q_s, \tau_2)$  obtaining Proof $\Phi'$ . Append Proof $\Phi'$  to Sim_Output.

**Output:**  $(\rho' \circ \tau_1 \circ \tau_2, Sim_Output)$ 

Lemma 6.1 Algorithm S is efficient.

**Proof:** The running time of S is proportional to the number of input formulae. For each single input formula, all operations can be efficiently computed. Thus, S is efficient. (Notice, again, that the running time is polynomial with respect to the input size, though it may be exponential in the parameter n.)

The random variable output by S is certainly different from View and, before proceeding any further, let us compare them. In View the string  $\rho$  is truly random, while the corresponding string  $\rho'$  constructed by S does not contain any element in  $NQR_{x_0}$ . In View, each  $y_s$  is a quadratic non residue modulo the corresponding  $x_s$ , whereas in S,  $y'_s$  is chosen among the quadratic residues modulo  $x_s$ . Because of the different quadratic residuosity of the  $y_s$ 's, the two distributions differ also in the  $\Psi_s$ 's and in the strings  $Proof \Psi_s$  and  $Proof \Phi$ . In fact, the formula  $\Psi_s$  is satisfiable iff both  $(x_{s0}, y_{s0})$  and  $(x_{s1}, y_{s1})$  are of the prescribed form. This is certainly the case in View. But in S, as all  $y_s$ 's are quadratic residues, none of the pairs  $(x_s, y_s)$  is of the prescribed form and therefore none of the  $\Psi_s$ 's is satisfiable. Moreover, the  $y_s$ 's are also used to compute the labeling of the literals in the strings  $Proof\Psi_s$ 's and  $Proof\Phi$ 's and thus in S all literals are labeled with quadratic non residues.

In the next section, we shall prove, using a reasoning similar to the one in Section 5.3 that, despite of the differences described above, the two families of random variables cannot be distinguished by any efficient non-uniform algorithm, under the QRA.

## 6.4 (P,V) is Zero-Knowledge

**Theorem 6.2** Under the QRA, the sender-receiver pair (P, V) of Section 6.1 is a Non-Interactive ZKPS.

**Proof.** All that is left to prove is that (P, V) satisfies the Zero-Knowledge condition. We do this by showing that the output of algorithm S of the previous section cannot be distinguished from the view of the verifier V by any efficient non-uniform algorithm.

We proceed by contradiction. Assume that there exists a constant d > 0, an infinite subset  $\mathcal{I} \subseteq \mathcal{N}$ , a set  $\{(\Phi_1^n, \Phi_2^n, \ldots)\}_{n \in \mathcal{I}}$  of sequences of 3-satisfiable formulae, where  $\Phi_i^n$  has n clauses, and an efficient non-uniform algorithm  $D = \{D_n\}_{n \in \mathcal{I}}$  such that for all  $n \in \mathcal{I}$ 

$$|P_V(n)-P_S(n)|\geq n^{-d},$$

where  $P_V(n) = Pr(s \stackrel{R}{\leftarrow} View(\Phi_1^n, \Phi_2^n, \ldots): D_n(s) = 1)$  and  $P_S(n) = Pr(s \stackrel{R}{\leftarrow} S(1^n, \Phi_1^n, \Phi_2^n, \ldots): D_n(s) = 1)$ .

Let R(n) be a polynomial such that the running time and the size of the program of each algorithm  $D_n$  is bounded by R(n). Without loss of generality we can consider R(n)-tuples of 3-satisfiable formulae  $\Phi_1^n, ..., \Phi_{R(n)}^n$ , instead of arbitrary sequences of 3-satisfiable formulae  $\Phi_1^n, \Phi_2^n, ...$ 

As we have seen in the last section, a main difference between S's output and the view of the verifier is in the  $y_s$ 's: they are all quadratic residues modulo the corresponding  $x_s$ 's in S's output, while they are all quadratic non residues in View. We will now describe an efficient non-uniform algorithm  $C = \{C_n\}_{n\in I}$ . Each  $C_n$  takes two input:  $j \ge 0$  and  $(x, y) \in PAIR(n) = \{(u, v) : u \in BL(n), v \in J_u^{+1}\}$ ; and has "wired-in" the formulae  $\Phi_1^n, ..., \Phi_{R(n)}^n$  along with their lexicographically smallest satisfying assignments. Roughly speaking,  $C_n$  produces as output a "random" string and "proofs" for all formulae  $\Phi_i^n$ 's.  $C_n$ selects the input pair (x, y) as the j-th auxiliary pair. All prior pairs are selected as simulator S does and all subsequent pairs as prover P does. Thus,  $C_n$  "knows" the factorization of the Blum modulus for all auxiliary pairs except (x, y). None-the-less, algorithm  $C_n$  will use (x, y) as S would if  $y \in QR_x$ , and as P would if  $y \in NQR_x$ . More formally,  $C_n$  is designed so to enjoy the following properties. Set

$$Space(n, j, QR) = \{x \stackrel{R}{\leftarrow} BL(n); y \stackrel{R}{\leftarrow} QR_x; s \stackrel{R}{\leftarrow} C_n(j, x, y) : s\}$$
$$Space(n, j, NQR) = \{x \stackrel{R}{\leftarrow} BL(n); y \stackrel{R}{\leftarrow} NQR_x; s \stackrel{R}{\leftarrow} C_n(j, x, y) : s\}.$$

Then,

Property (1) Space $(n,0,NQR) = View(n,\Phi_1^n,...,\Phi_{R(n)}^n)$ 

Property (2) Space
$$(n, nR(n) + 1, QR) = \{s \leftarrow S(1^n, \Phi_1^n, \dots, \Phi_{R(n)}^n) : s\}$$

**Property (3)** Space(n, j, QR) = Space(n, j + 1, NQR)

From these properties we will conclude that the existence of D violates the QRA. We now formally describe the algorithm, and then prove all the stated properties.

## The Algorithm $C_n$

"C_n has "wired-in" the R(n)-tuple  $(\Phi_1^n, ..., \Phi_{R(n)}^n)$  and, for each  $\Phi \in {\Phi_1^n, ..., \Phi_{R(n)}^n}$ , the lexicographically smallest satisfying assignment  $t_{\Phi}$ ."

Input: An integer  $j \in [0, nR(n) + 1]$ . A pair  $(x, y) \in PAIR(n)$ ."

1. "Choose  $\rho$  and choose and certify first auxiliary pair."

If j = 0 then set  $x_0 = x$  and  $y_0 = y$ .

Else randomly select 2 *n*-bit primes  $p_0, q_0 \equiv 3 \mod 4$ , set  $x_0 = p_0 q_0$ , and select  $y_0 \in QR_{x_0}$ . Execute procedure Gen_ $\rho$ -and_Proof1 $(x_0, y_0)$ , thus obtaining  $\rho$  and Proof₀.

#### 2. "Choose other auxiliary pairs."

"Tree contains the indices of auxiliary pairs that are used to certify two others auxiliary pairs. Count contains the number of all selected auxiliary pairs."

Set Tree = empty set and Count = 1.

For each formula  $\Phi \in \{\Phi_1^n, ..., \Phi_{R(n)}^n\}$  do:

Set  $b_0^{\Phi} = 0$  and randomly select *n* bits  $b_1^{\Phi}, \dots, b_n^{\Phi}$ .

For 
$$i = 0, ..., n$$
 do

Set  $s = b_0^{\Phi} \cdots b_i^{\Phi}$ 

If  $s \notin Tree$  then

Add s to Tree. Randomly select 4 n-bit primes  $p_{s0}, q_{s0}, p_{s1}, q_{s1} \equiv 3 \mod 4$ .

"Choose 0-child."

If Count = j then set  $x_{s0} = x$ ,  $y_{s0} = y$ .

If Count < j then set  $x_{s0} = p_{s0}q_{s0}$  and randomly select  $y_{s0} \in QR_{x_{s0}}$ .

If Count > j then set  $x_{s0} = p_{s0}q_{s0}$  and randomly select  $y_{s0} \in NQR_{x_{s0}}$ .

Count = Count + 1

"Choose 1-child."

If Count = j then set  $x_{s1} = x$ ,  $y_{s1} = y$ .

If Count < j then set  $x_{s1} = p_{s1}q_{s1}$  and randomly select  $y_{s1} \in QR_{x_{s1}}$ .

If Count > j then set  $x_{s1} = p_{s1}q_{s1}$  and randomly select  $y_{s1} \in NQR_{x_{s1}}$ .

Count = Count + 1

3. "Choose  $\tau_1$  and  $\tau_2$ ."

Let w be the index of (x, y), that is  $(x_w, y_w) = (x, y)$ . If there is no such w, set  $w = \text{empty string.}^9$ If  $w \in Tree$  then

Compute  $\Psi_w = CR(x_{w0}, y_{w0}, x_{w1}, y_{w1})$  and a satisfying assignment  $t_w$  for  $\Psi_w$ .

Execute procedure Sample_ $\tau$ _and_Proof2( $\Psi_w, t_w, x_w, y_w$ ) obtaining  $\tau_1$  and Proof $\Psi_w$ .

Randomly select a  $2n^4$ -bit string  $\tau_2$ .

Else, if  $w = b_0^{\Phi} \cdots b_n^{\Phi}$ , for  $\Phi \in {\{\Phi_1^n, \dots, \Phi_{R(n)}^n\}}$ , then

Execute procedure Sample_ $\tau$ _and_Proof2( $\Phi, t_{\Phi}, x, y$ ) obtaining  $\tau_2$  and Proof $\Phi$ .

Randomly select a  $2n^a$ -bit string  $r_1$ .

Else, randomly select a  $2n^a$ -bit string  $\tau_1$  and a  $2n^4$ -bit string  $\tau_2$ .

⁹It may happen that less than j (different) auxiliary pairs will be chosen. To give an extreme example, it may happen that, for all  $\Phi$ , the bits  $b_1^{\Phi} \cdots b_n^{\Phi}$  are always the same.

4. "Choose proofs with respect to  $\tau_1$  and  $\tau_2$ ."

Set PROOF = empty string and  $Tree = \{w\}$ .

For each formula  $\Phi \in {\{\Phi_1^n, ..., \Phi_{R(n)}^n\}}$  do:

4.1 "Certify auxiliary pairs." Append (x₀, y₀), Proof₀, and b₀^Φ ... b₀^Φ to PROOF. For i = 0, ..., n do: Set s = b₀^Φ ... b_i^Φ. If s ∉ Tree then Add s to Tree. If y₀ ∈ NQRx₂ then Compute Ψ₅ = CR(x₅0, y₅0, x₅1, y₅1) and a satisfying assignment t₅ for Ψ₅. Execute procedure Prove(Ψ₅, t₅, x₅, y₅, τ₁) obtaining ProofΨ₅. If y₀ ∈ QRx₂ then execute Gen_Proof2(Ψ₅, x₅, y₅, τ₁) obtaining ProofΨ₅. Append (x₅0, y₅0), (x₅1, y₅1), and ProofΨ₅ to PROOF.

4.2 "Prove **Φ**."

Set  $s = b_0^{\Phi} \cdots b_n^{\Phi}$ .

If  $s \neq w$  then

If  $y_s \in NQR_{x_s}$  then execute procedure  $Prove(\Phi, t_{\Phi}, x_s, y_s, \tau_2)$  obtaining  $Proof\Phi$ . If  $y_s \in QR_{x_s}$  then execute Gen_Proof2 $(\Phi, x_s, y_s, p_s, q_s, \tau_2)$  obtaining  $Proof\Phi$ . Append  $Proof\Phi$  to PROOF.

**Output:** $(\rho \circ \tau_1 \circ \tau_2, PROOF).$ 

First notice that  $\{C_n\}_{n\in\mathbb{I}}$  is an efficient non-uniform algorithm. All  $x_o$ 's (but the *j*-th) are selected along with their prime factors and thus all related computations can be performed in expected polynomialtime. All operations concerning x and y are simple multiplications and testing of membership in  $J_x^{+1}$ . The size of the set *Tree* is never bigger than nR(n), and thus membership and add operations are easily performed.

The strings  $\tau_1$  and  $\tau_2$  constructed by  $C_n$  are random. Indeed, either they are randomly selected or they are generated by Sample_ $\tau$ _Proof2. The analysis in Section 5.4 shows that in the latter case the resulting string  $\tau$  is random.

Proof of Property (1). Assume j = 0 and  $y \in NQR_x$ . All  $y_o$ 's are quadratic non residues in  $C_n$ 's output. (x, y) is set equal to  $(x_0, y_0)$  and used twice: at step 1 to produce  $\rho$  and  $Proof_0$ , and at step 3 to construct  $Proof\Psi_0$ . Both the strings  $Proof_0$  and  $Proof\Psi_0$  have the same probability of being chosen as in View when the first pair is  $(x_0, y_0)$ . From Lemma 5.1, each string  $\rho$  is equally likely to be constructed at step 1. Thus,  $Space(n, 0, NQR) = View(n, \Phi_1^n, ..., \Phi_{R(n)}^n)$ .

Proof of Property (2). Suppose j = nR(n) + 1. To prove R(n) formulae, at most nR(n) auxiliary pairs are needed. Thus, each  $y_s$  constructed by  $C_n$  belongs to  $QR_{x_s}$ . All the strings  $Proof\Psi_s$ 's and  $Proof\Phi$ 's are constructed in exactly the same way both by S and by  $C_n$ . Hence,  $Space(n, nR(n) + 1, QR) = \{s \leftarrow S(1^n, \Phi_1^n, ..., \Phi_{R(n)}^n) : s\}$ 

Proof of Property (3). Consider now the two probability spaces Space(n, j, QR) and Space(n, j+1, NQR). In both spaces the auxiliary pairs are randomly chosen so that the first j  $y_s$ 's are quadratic residues modulo the corresponding  $x_s$ 's and, from the (j + 1)-st on, all the  $y_s$ 's are quadratic non residues. All computations concerning pairs  $(x_s, y_s)$  different from (x, y) are performed in the same way. The pair (x, y) is used to construct either a proof  $Proof \Psi_s$  for a formula  $\Psi_s$  derived from a reduction or a proof  $Proof \Phi$  for one of the formulae  $\Phi_i^n$ , or is never used. In the former two cases the proof is generated using the procedure Sample_ $\tau$ _and_Proof2. When  $y \in NQR_x$  ( $y \in QR_x$ ), this procedure returns a string *Proof* that has the same distribution as if it where generated by the procedure Prove (Gen_Proof2). Thus, Space(n, j, QR) = Space(n, j + 1, NQR).

We now conclude the proof of Theorem 6.2. We have assumed that D distinguishes between  $S(1^n, \Phi_1^n, ..., \Phi_{R(n)}^n)$ 's output and  $View(n, \Phi_1^n, ..., \Phi_{R(n)}^n)$ . From properties (1) and (2) then this is tantamount to say that D distinguishes between Space(n, 0, NQR) and Space(n, nR(n) + 1, QR). By the pigeon principle, and because of Property (3), for all  $n \in \mathcal{I} \exists j = j(n), 0 \leq j \leq nR(n) + 1$ , such that D distinguishes between Space(n, j, NQR). That is, for all  $n \in \mathcal{I}$ ,

$$|P_j(n,QR) - P_j(n,NQR)| \ge 1/((nR(n)+2)n^d)$$

where  $P_j(n, QR) = Pr(s \leftarrow Space(n, j, QR) : D_n(s) = 1)$  and  $P_j(n, NQR) = Pr(s \leftarrow Space(n, j, NQR) : D_n(s) = 1)$ . Thus, composing each  $C_n(j(n), \cdot, \cdot)$  with  $D_n$  one obtains an efficient non-uniform algorithm that violates the QRA.

### 6.5 Proving theorems of arbitrary size

Given a reference string of  $8n^3 + 2n^a + 2n^4$  bit, the proof system (P, V) of Section 6.1 can be used to prove in zero-knowledge the 3-satisfiability of an arbitrary number of 3-satisfiable formulae, but each of them must have at most *n* clauses.

Now, we show how to use the same proof system to prove 3-satisfiable formulae with any number of clauses. Given a formula  $\Phi$  with k clauses, the prover computes a certified auxiliary pair  $(x^{\Phi}, y^{\Phi})$  and the lexicographically smallest satisfying assignment t for  $\Phi$ . To label each literal  $u_j$  of  $\Phi$  the prover randomly selects  $r_j \in Z_{x^{\Phi}}^*$  and, if  $t(u_j) = 1$  he associates to  $u_j$  the label  $w_j = r_j^2 y^{\Phi} \mod x^{\Phi}$ , otherwise the label  $w_j = r_j^2 \mod x^{\Phi}$ . The label associated to  $\overline{u}_j$  is  $w_j y^{\Phi} \mod x^{\Phi}$ . Essentially, a literal has an element in  $NQR_{x^{\Phi}}$  as label iff it is made true by t. To prove that  $\Phi \in 3SAT$ , the prover proves that each clause has at least an element of  $NQR_{x^{\Phi}}$  among the labels of its three literals. That is, consider the language  $L = \{(y_1, y_2, y_3, x):$  at least one of  $y_1, y_2, y_3$  belongs to  $NQR_x\}$ . Then  $L \in NP$  and therefore there exists a fixed polynomial-time computable reduction RED such that

$$\Phi' = RED(y_1, y_2, y_3, x) \in 3SAT_{n'} \iff (y_1, y_2, y_3, x) \in L,$$

where f is a fixed constant depending only on RED. Therefore to prove that the *i*-th clause is satisfied, the prover computes the formula  $\Phi_i$  using the reduction RED and proves that  $\Phi_i \in 3SAT$ . By the property of the reduction the length of the formula is upper bounded by  $n^f$  and can thus be proved 3satisfiable using the previously described proof system (P, V) with a reference string of  $8n^{3f} + 2n^{4f} + 2n^{4f}$ bits. Therefore, we have reduced the problem of proving the 3-satisfiability of one formula with many clauses to that of proving the 3-satisfiability of many formulae each with at most  $n^f$  clauses.

#### **6.6** Efficient Provers

In the proof system of subsection 6.1, for convenience of presentation, the prover P was made quite powerful. For instance, P needs to find the lexicographically first satisfying assignment of a formula for proving that it is in 3SAT. This, however, is not necessary. It is easily seen that, under the QRA. the verifier would obtain an indistinguishable view [GoMiRa], no matter which satisfying assignment the prover may use. Also, it is possible for the prover to have access to a random oracle instead of a random selector and still generate essentially the same view to a polynomial-time verifier. In fact, by well known techniques, a random oracle can be transformed to a random function associating to each string  $\sigma$  a "polynomially longer" random string. This random string may be used to select the necessary primes and quadratic residues and non-residues with essentially the same odds as for a random selector. Actually, if one replaces a random oracle with a poly-random function as in Goldreich, Goldwasser, and Micali [GoGoMi], the view of the verifier would still be undistinguishable from the one it obtains from P. These functions exist under the QRA¹⁰ and the replacement only entails that the same, short, randomly selected string should be remembered throuout the proving process.

In sum, the prover may very well be polynomial-time, as long as it is given satisfying assignments for the formulae that need to be proved satisfiable in non-interactive Zero Knowledge.

## 7 Related Work Improvements

We had posed two main open problems:

- 1. whether many provers could share the same random string¹¹ and
- 2. whether it is possible to implement non-interactive zero-knowledge with a general complexoty assumption, rather than our specific number theoretic one.

Recently, both our questions have been solved in a beautiful paper by by Feige, Lapidot, and Shamir [FeSh]. They show that any number of provers can share the same random string and that any trap-door permutation can be used instead of quadratic residuosity. They also show that one-way permutation are sufficient for Bounded non-interactive zero knowledge, but the prover *needs* to have exponential computing power. Our first question alone was also independently solved by De Santis and Yung [DeYu].

Non-interactive zero-knowledge has been shown to yield a new paradigm for digital signature schemes by Bellare and Goldwasser [BeGo].

De Santis, Micali, and Persiano [DeMiPe2] show that, if any one-way function exists, after an interactive preprocessing stage, any "sufficiently short" theorem can be proven non-interactively and in zero knowledge. A simpler method can be found in [FeSh].

Kilian, Micali, and Ostrovsky [KiMiOs] have shown that, if any one-way function exists, after a preprocessing stage consisting of a "few" executions of an oblivious transfer protocol, any theorem can be proven in zero knowledge and non-interactively. (Namely, after executing O(k) oblivious transfers, the probability of accepting a false theorem is 1 in  $2^k$ .) Bellare and Micali [BeMi] show that, based on a complexity assumption, it is possible to build public-key cryptosystems in which oblivious transfer is itself implementable without any interaction.

## 8 An Important Open Problem

Introducing new cryptographic primitives is crucial, but would be essentially impossible without first relying on some special, though hopefully well studied, complexity assumptions. It is as important, though,

¹⁰In fact Blum, Blum, Shub [BIBISh] show that the QRA implies the existence of a poly-random generator in the sense of Blum and Micali [BlMi] and Yao [Ya], and [GoGoMi] show that any poly-random generator can be used to construct a poly-random function

¹¹Indeed, if this was done in our protocol, completeness and soundness would still hold. However it is not clear whether zero-knowledge would be preserved. Without changing our proof systems, we can handle only a moderate number of provers. This number is limited for the same reasons outlined in footnote 6.

later finding the minimal assumptions for implementing these primitives. In fact, "extra structure" may make easier proving that the desired property holds, but may also force the underlying complexity assumption to be false. Personally, the third author finds a dramatic difference between one-way functions and one-way permutations. (Breaking a glass is quite easy. Putting it back together is certainly harder, but what if we were guaranteed that there is a unique way to do so?)

We believe non-interactive zero knowledge to be a fundamental primitive, one deserving the effort to establish what are the minimal complexity assumptions needed for it to be securely implemented. We thus hope the following question will be settled:

If one-way functions exist, does 3SAT have non-iteractive zero-knowledge proof systems whose prover, given the proper witness, needs only to work in polynomial time?

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