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THESIS



HUMAN FACTORS ASPECTS OF THE TRAFFIC ALERT AND COLLISION AVOIDANCE SYSTEM (TCAS II)

Ъу

Robert Joseph Tuttell

March 1988

Thesis Advisor: LCDR Chester A. Heard, USN

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Human Factors Aspects of the Traffic Alert and Collision Avoidance System (TCAS II)

by

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Submitted in partial fulfillment of the requirements for the degree of

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#### **ABSTRACT**

The objective of this study was to investigate three areas of interaction between pilots and the TCAS II Collision Avoidance System in order to examine the following areas of concern: (1) Did pilots maneuver on traffic advisory (TA) information? (2) Did the pilots' use of the system increase the miss distance between conflicting aircraft? (3) Would an alternate design for the resolution advisory (RA) display be more effective than the current display? The first two questions were answered with data obtained from a NASA-Ames simulation using airline crews and a Boeing 727 flight simulator. Evaluation of these data reveal 14 incidents where pilots successfully maneuvered their aircraft using TA information. Forty scenarios where the TCAS II system directed evasive maneuvers were examined. These results show that the recommended avoidance maneuvers increased aircraft miss distance in 37 cases. Alternate designs for the resolution advisory display were evaluated using military and civilian pilots reacting to a computer display simulation. These results demonstrate that a "red and green" RA display is more effective than the current "red only" RA display.

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### TABLE OF ABBREVIATIONS

ADI: Altitude Direction Indicator

ALT: Altitude

ATC: Air Traffic Control

CPA: Closest Point of Approach

DF: Degress of Freedom

F: Variance ratio

FPM: Feet per Minute

FPS: Feet per Second

FT: Feet

IMC: Instrument Meteorological Conditions

IVSI: Instantaneous Vertical Speed Indicator

NM: Nautical Miles

p: Probability due to Chance

RA: Resolution Advisory

SECS: Seconds

SEP: Separation

SD: Sample Standard Deviation

TA: Traffic Advisory

TCAS: Traffic Alert and Collision Avoidance System

VMC: Visual Meteorological Conditions

#### ACKNOWLEDGMENT

My sincerest thanks to my wife Geri and our children Christopher and Jennifer whose ever-present support helped me keep everything in perspective during the thesis process. Special thanks to Sherry Chappell and Chet Heard who gave me the freedom to pursue my areas of interest and gave me valuable guidance each step along the way. Thanks to Professor Satyanarayana Bodapati who introduced me to the opportunities available at the NASA-Ames Research Center. I also appreciate the expert computer assistance I received from Lyman Taylor and Tom Kozon, and the support of all the NASA-Ames personnel I worked with. Finally, I would like to thank LT John Blaha and the 36 pilots from both Patrol Squadron Thirty-One (VP-31) and NASA-Ames for volunteering their valuable time to participate in my TCAS human factors experiment.

## I. INTRODUCTION

The overburdened United States air traffic control system has failed to prevent a significant number of near mid-air collisions during the last few years. The increase in air traffic due to airline deregulation has saturated the current air traffic control system and has spurred the development of practical airborne collision avoidance systems. Reference 1 contains a description of the TCAS I, TCAS II, and TCAS III systems. "TCAS" is an abbreviation for "Traffic Alert and Collision Avoidance System" (Table of Abbreviations). major difference between the three systems, other than cost, is the amount and type of collision avoidance maneuver information that is provided to the pilot. The TCAS I system provides no avoidance maneuver commands, while the TCAS II system directs evasive maneuvers in the vertical plane only (climbs and descents). The TCAS III system provides turns in addition to climbs and descents. The major disadvantage of all three systems is that the intruder aircraft must be transponder equipped in order to be tracked by the TCAS system. Additionally, for a TCAS II or TCAS III equipped aircraft to receive collision avoidance commands, the intruder aircraft must have a mode-C (altitude reporting) transponder.

This report will deal with the TCAS II system and some of the human factors aspects of its operation. Three questions dealing with the use of the system by pilots will be examined.

- (1) Did pilots maneuver on traffic advisory (TA) information?
- (2) Did the use of the system increase the miss distance between conflicting aircraft?
- (3) Would an alternate design for the resolution advisory (RA) display be more effective than the current display?

To answer the first two questions, information obtained from a NASA-Ames simulation using airline flight crews and a Boeing 727 flight simulator was examined and analyzed. A detailed description of this simulation is contained in Reference 2. (Note: The author of this report is a coauthor of Reference 2.) The creation of an additional computer simulation at the NASA-Ames Research Center was necessary to examine alternate designs for the RA display. Results from this study are described in Chapter 5 of this report.

The research in this paper was conducted under the Navy-NASA Joint Institute of Aeronautics Program. Analysis of the data was completed using the facilities of the NASA-Ames Research Center and the Naval Postgraduate School.

## II. TCAS II SYSTEM DESCRIPTION

TCAS II is a self-contained system designed to preserve ATC vertical separation by tracking aircraft, evaluating collision potential, and displaying advisories and warnings. [Ref. 3] The warnings include recommended evasive maneuvers in the vertical plane. The system computes the range, relative altitude, and bearing of nearby aircraft by interrogating their transponders and evaluating the replies. The traffic's relative altitude and position information is displayed by color coded symbols on a traffic advisory, (TA) display (Figure 1). Display characteristics differ among the airlines. The traffic advisory display covers an area at least six NM ahead of the aircraft to three NM behind the Intruder aircraft are colored amber unless they aircraft. pose a collision threat within 20 to 30 seconds. If the intruder aircraft is determined to be a threat, the TCAS computer changes the color of the symbol to red, activates warning tones, a warning voice, and red lights on the glareshield. These warnings direct the pilot's attention to the resolution advisory (RA) display which displays recommended evasive action (Figure 1). The RA display is an IVSI (Instantaneous Vertical Speed Indicator) which has been modified with red "eyebrow" lights around the circumference to indicate whether a climb or descent is required to

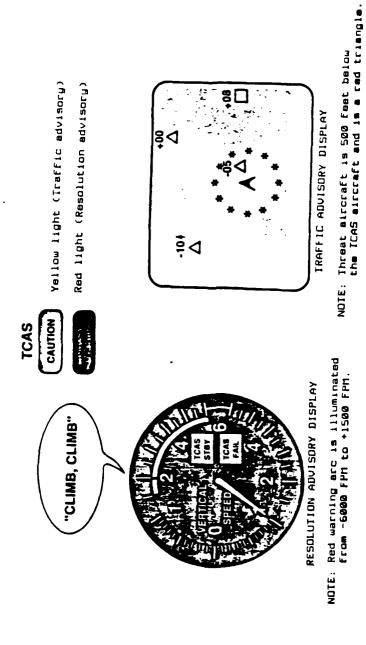


Figure 1. TCAS Components

increase separation distance. The warning lights will extinguish, and a voice will state "clear of conflict" when the collision threat no longer exists. Installation of the system requires the addition of an antenna on top of the fuselage, a computer unit (black box) and a mode-S transponder (Ref. 3). If two conflicting aircraft are equipped with the TCAS II system, collision avoidance maneuvers will be coordinated automatically by their respective TCAS computer units through the mode-S transponder data link.

### III. MANEUVERS BASED ON THE TRAFFIC ADVISORY DISPLAY

#### A. INTRODUCTION

The TCAS II traffic advisory (TA) display is designed to aid pilots in establishing visual contact with conflicting traffic. It may also be used to observe the flight paths of nearby traffic and monitor the relative altitude differences between the TCAS aircraft and other aircraft in the vicinity. This information allows the pilots to see dangerous situations developing and prepare for possible evasive maneuvers. Eight airline flight crews participated in the NASA-Ames study of TCAS II systems using this display as well as the resolution advisory (RA) display. [Ref. 2] They were thoroughly briefed that the traffic advisory display provided traffic information only and should not be used for evasive maneuvering. Additionally, the RA display was to be used only for evasive maneuvers in the vertical plane following a resolution advisory. In general, the pilots adhered to these There were 14 incidents where the pilots used their own experience and judgment to maneuver the aircraft based on the traffic advisory information. Each of these incidents is examined in this report.

#### B. PROCEDURES

The use of the information obtained from the traffic advisory display for maneuvering was investigated using

information from three different sources.

- (1) Computer printouts of the TCAS equipped aircraft's data for all occurrences of a turn or bank angle greater than ten degrees were examined for the time period from two minutes before a traffic alert through the end of the alert. Similar printouts for the resolution alerts were investigated using the same parameters. All incidents of altitude deviations of 100 feet or greater, or vertical velocity changes of greater than 500 feet per minute, were also examined for the TCAS aircraft before and during the traffic alert time periods.
- (2) Two observers monitored the flight crew's actions during the simulator testing. Both individuals completed forms which contained the conditions for each alert as well as comments on their personal observations. The corrective RA analysis forms completed by the researchers during post-flight data reduction provided additional information.
- (3) Cockpit video tapes were used to observe the flight crew's responses to the traffic advisory information and to confirm the incidents of maneuvering based entirely upon this information.

All maneuvers which were based on visual sightings were not considered a misuse of the system, unless the pilots made evasive maneuvers using traffic advisory information after visual contact was subsequently lost. Maneuvers based on ATC clearances or navigation maneuvers also were not considered.

#### C. RESULTS

The 14 incidents of maneuvering based on information obtained from the traffic advisory display are described below for all eight airline flight crews. Each crew's incidents will be grouped together to show trends.

CREW #3111: No incidents

CREW #3221: 2 altitude adjustments and 2 turns.

(1) CONDITIONS: time = 07:51:00; visual contact initially gained then lost during maneuvering; twilight; visual meteorological conditions; descending.

NARRATIVE: The crew adjusted their descent rate during the approach in response to a traffic advisory showing traffic beneath them. After clearing the traffic, they continued their approach.

(2) CONDITIONS: time = 09:06:49; no visual contact; twilight; visual meteorological conditions; climbing.

NARRATIVE: The crew turned to avoid a mode A aircraft during the initial climb after takeoff. Aircraft that are mode A transponder equipped do not have altitude reporting capability, and thus appear on the traffic advisory display without relative altitude information. Additionally, no resolution alerts or recommended evasive maneuvers can be issued for these types of aircraft. The crew discussed the incident and decided that the turn was necessary to ensure separation since the altitude of the other aircraft was unknown.

(3) CONDITIONS: time = 09:53:48; no visual contact; twilight; visual meteorological conditions; descending.

NARRATIVE: The crew was descending to an assigned altitude of 5000 feet. After evaluating the information on the traffic advisory display, they leveled off slightly above their assigned altitude and began a slow climb. A resolution advisory calling for a climb was issued a few seconds after the climb was initiated by the flight crew. After clearing the traffic, the crew descended to their assigned altitude.

(4) CONDITIONS: time = 09:58:35; no visual contact; twilight; visual meteorological conditions; descending.

NARRATIVE: The crew turned to clear mode A traffic on a localizer approach using information obtained from the traffic advisory display. After clearing the traffic, they completed the approach.

CREW #3312: 1 altitude adjustment.

(1) CONDITIONS: time = 07:47:33; no visual contact; night; visual meteorological conditions; descending.

NARRATIVE: During a descent, the crew responded to the information on the traffic advisory display which showed an aircraft 1000 feet below them. The crew decided to level the aircraft above their assigned altitude. This resulted in the crew having to notify ATC that they would not be able to meet a crossing altitude clearance. After clearing the traffic, they continued their descent.

CREW #3422: 1 turn and 1 altitude adjustment.

(1) CONDITIONS: time = 03:53:52; visual contact initially gained then lost; night; visual meteorological conditions; level flight.

NARRATIVE: The crew gained visual contact on a conflicting aircraft, but subsequently lost sight of the traffic. The traffic advisory display showed the traffic slightly to the right of the nose of their aircraft and climbing below them. A resolution alert advised the crew to "descend to cross" in order to pass under the conflicting aircraft. The pilot in command decided to descend as instructed and turn left slightly to increase the separation distance. Visual contact was regained after the evasive maneuver.

(2) CONDITIONS: time = 09:17:15; no visual contact; night; visual meteorological conditions; descending.

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NARRATIVE: The crew was descending for an approach with multiple aircraft in the area when they received a traffic advisory on an aircraft climbing below their aircraft. The pilot in command anticipated the possibility of a collision and advanced the power on the engines to level off. When the pilot maneuvered, the TCAS system issued a resolution alert and gave the crew a "climb" command. The pilot followed the instructions, remained clear of the other aircraft, and finally resumed his approach.

CREW #4111: 1 altitude adjustment.

(1) CONDITIONS: time = 02:27:24; visual contact after the maneuver; twilight; visual meteorological conditions; descending.

NARRATIVE: The crew was descending for an approach when they received a traffic advisory indicating that they were descending toward another aircraft at a lower altitude. Using this information, they arrested their descent rate and attempted to notify ATC of the situation. Visual contact was finally established with the conflicting aircraft, and the crew maintained their altitude until the other aircraft passed beneath them.

CREW #4221: 3 altitude adjustments.

(1) CONDITIONS: time = 04:35:50; no visual contact; twilight; visual meteorological conditions; descending.

NARRATIVE: The crew was descending for an approach when the traffic advisory display indicated a conflicting aircraft directly ahead. The crew evaluated the range and altitude several times before deciding to level off while the aircraft was still four miles away. They continued to examine the traffic advisory information and decided that the conflicting aircraft was flying in the same direction. A decision was then made to "sneak under" the other aircraft. They continued their descent behind the conflicting traffic and completed the approach. It should be noted that this crew never received a resolution alert due to their maneuvering on the traffic advisory display information.

(2) CONDITIONS: time - 06:30:00; no visual contact; twilight; visual meteorological conditions; level flight.

NARRATIVE: ATC cleared the crew to descend. hesitated due to traffic on the traffic advisory display and asked ATC for clearance to remain level. ATC reiterated that they were cleared to descend. The crew complied by "descending quickly" to stay clear of traffic. descent, a resolution alert calling for a descent was received by the crew. This crew monitored the traffic advisory display during maneuvering and wanted to continue the descent after the resolution alert in order to increase the altitude separation between the two aircraft. They decided against this alternative after a short discussion of their ATC clearance and terrain clearance considerations. The crew maintained their assigned level off altitude until clear of the traffic.

(3) CONDITIONS: time = 06:33:40; visual contact then lost visual contact; twilight; visual meteorological conditions; descending.

NARRATIVE: On a localizer approach, the crew initially established visual contact on the conflicting traffic. After subsequently losing sight of the traffic, the crew became concerned with the other aircraft's position and used the traffic advisory display information to stay "a little higher" than the other aircraft until they were clear. They adjusted their descent rate to maintain vertical separation. One pilot from this crew stated "We are really trusting an instrument alot".

CREW #4312: 1 turn.

(1) CONDITIONS: time = 01:25:24; no visual contact; night; visual meteorological conditions; climbing.

NARRATIVE: The crew was climbing after takeoff. They responded to a traffic advisory on the display by delaying a required turn until clear of the traffic. The pilot did not begin the turn until the other pilot informed him that the traffic on the display was no longer a threat.

CREW #4422: 1 turn and 1 altitude adjustment.

(1) CONDITIONS: time = 03:20:05; no visual contact; night; instrument meteorological conditions; descending.

NARRATIVE: While descending in IMC conditions, the crew responded to a traffic advisory by turning "hard left" to avoid a mode A aircraft. The pilot in command justified the turn by concluding that since TCAS resolution alerts and evasive maneuvers are not available for mode A traffic, he had to maneuver to ensure safe separation. The other pilot responded that the aircraft was probably in VMC conditions below the clouds. After clearing the traffic, the crew returned to course.

(2) CONDITIONS: time = 10:26:30; visual contact after the maneuver; night; visual meteorological conditions; descending.

NARRATIVE: The crew was cleared to descend bv ATC. Approaching the assigned altitude, the crew received a traffic alert showing an aircraft 200 feet below them. decided to level off and advanced power on the engines. During the level off maneuver, a resolution alert occurred calling for a descent. The crew complied with the command and descended. During the descent, they obtained visual contact while passing under the other aircraft.

#### D. CONCLUSIONS

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Several patterns emerged from an analysis of these 14 incidents. Altitude adjustments accounted for 64% of the maneuvers (9 out of 14). The majority of the maneuvers occurred during descents (10 out of 14). Three of the turns involved maneuvers to avoid a mode A transponder equipped

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aircraft. The most common scenario involved the TCAS aircraft descending toward another aircraft at a lower altitude. In each situation, the TCAS system provided the pilots ample warning for the crew to observe the dangerous situation developing on the traffic advisory display and take corrective action. The response maneuver usually resulted in a decrease in the rate of descent or a level off above the assigned altitude for a short period of time. All 14 of the maneuvers based on TA information caused small deviations from ATC clearances for short time periods. Each crew attempted to notify ATC of the deviations that were required as soon as workload permitted.

ASSESSED PROPERTY INSCRIPTION PROPERTY DESCRIPTION

Pilot training programs will need to be implemented to standardize the use of the TCAS II system. The responsibility for safety of flight rests with the pilot in command. Aircrew training must emphasize this responsibility and allow the pilot to use all the information available to maintain a safe distance from other aircraft. abrupt turns away from mode A transponder equipped aircraft should be discouraged. The inaccuracy of the bearing and altitude information provided by the current traffic advisory display must be emphasized. The possibility of degrading the performance of the TCAS computer's evasive maneuver commands by maneuvering on the traffic display should also be discussed. The first altitude adjustment by Crew 4221 demonstrates how unauthorized maneuvers can degrade TCAS system performance. Although pilots should be trained to use the system the way the designers intended, they also must remember to exercise their training, judgment, and experience to evaluate situations and take appropriate action to ensure safety of flight.

## IV. MANEUVERS BASED ON RESOLUTION ALERTS

#### A. INTRODUCTION

The TCAS II resolution advisory (RA) display is a modified instantaneous vertical speed indicator (IVSI). The display consists of a pointer showing the aircraft's vertical speed and an arc of red "eyebrow" lights around the scale. This display is the primary instrument used in performing TCAS collision avoidance maneuvers. The pilot is warned of a potential mid-air collision 20 to 30 seconds prior to the closest point of approach (CPA) of a conflicting aircraft. [Ref. 3] The warning consists of an aural tone and a red warning light on the glareshield. These warnings direct the pilot's attention to the resolution advisory display (modified IVSI). The red "eyebrow" lights on the instrument will illuminate directing the pilot to modify the aircraft's vertical speed to "keep the IVSI needle out of the red". Simultaneously, a computer generated voice will suggest a course of action to the flight crew. The voice commands currently available are: "climb"; "climb to cross"; "adjust vertical speed"; "descend"; "descend to cross"; "clear of conflict"; and "unable to command". These commands are given assuming the pilot does not have visual contact with the conflicting aircraft. If visual contact with the other aircraft is gained, the crew may elect to maneuver using their own judgment to avoid the conflicting traffic. Flight crew response depends on the prior training they have received. There are two types of resolution advisories issued. A preventive resolution advisory requires no immediate action but warns the crew not to climb, descend, or adjust vertical speed due to nearby traffic. A corrective resolution advisory directs the pilot to alter the vertical speed of the aircraft to ensure safe separation from nearby traffic in the vertical plane. The goal of the TCAS II system is to produce a safe vertical separation between aircraft by signaling for a smooth, controlled adjustment of the TCAS aircraft's vertical speed until clear of the conflicting traffic.

This report examines the effectiveness of the TCAS II resolution advisory display for 40 scenarios. The scenarios consist of crews using various versions of the TCAS II system while flying a Boeing 727 simulator in a simulated air traffic environment at the NASA-Ames Research Center. Only corrective resolution advisories are examined in this report.

## B. PROCEDURES

An airborne collision avoidance system is only effective if the flight crews using the system are adequately trained to use the system to increase the vertical separation between aircraft. An increase in vertical separation also results in an increase in slant range (i.e. miss distance) at the

closest point of approach (CPA) between the TCAS equipped aircraft and the conflicting traffic. In order to determine the effectiveness of pilot responses to resolution alerts, 12 airline crews flew short routes in a simulated air traffic environment with numerous traffic conflicts. Forty scenarios were examined where the crews were required to perform evasive maneuvers based on TCAS warnings on the resolution advisory display. For each scenario, the following parameters were computed and examined:

- (1) The amount of time between the traffic advisory (TA) and the closest point of approach (CPA) between the TCAS equipped aircraft and the conflicting aircraft.
- (2) The amount of time between the resolution alert (RA) and the CPA for the two aircraft.
- (3) The amount of time between the TA and RA. This is the amount of time the crew had to examine the potential conflict and prepare for the evasive maneuver.
- (4) The vertical separation between the two aircraft at CPA after performing the collision avoidance maneuver.
- (5) The slant range (miss distance) between the two aircraft at CPA after performing the recommended evasive maneuver.

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These results were obtained using computer records which contained raw data on the following parameters: RA and TA on and off times; latitude and longitude readouts for both the TCAS aircraft and the conflicting aircraft; and altitude readouts for both aircraft. A computer program named "LLTCAS" was written to evaluate these raw data and is listed in Appendix A. Additional records detailing the scenarios included observer records and resolution advisory analysis

forms. Video tapes of the flight station of the Boeing 727 simulator were viewed to determine Air Traffic Control (ATC) clearance requirements, required level off altitudes, and flight crew responses.

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In addition to the five results listed above, additional computations were made to determine the flight path the aircraft would have flown if it were not TCAS equipped. This flight path was based on the assumption that the crew did not obtain a visual sighting of the conflicting aircraft and subsequently maneuver to avoid it. Additional assumptions included the following: the crew would fly the same track over the ground; the crew would comply with all required ATC turns and navigation turns; and the crew would comply with off restrictions required by ATC. all level assumptions are considered reasonable since the system directs evasive maneuvers in the vertical plane only, and the altitude of the TCAS aircraft during each scenario is of primary concern. For each scenario, the altitude of the TCAS aircraft was modified in the LLTCAS program to account for the descent or climb rate in progress before the evasive maneuver occurred. The TCAS aircraft's vertical rate was calculated beginning five seconds prior to the resolution alert. The program accounted for level off clearances and maneuvers that occurred on the traffic advisory display information. The TCAS aircraft altitudes were incrementally calculated, beginning one second after the RA occurred,

until CPA or a level off altitude was reached. The same five results that were listed previously for the TCAS maneuver were then determined for the case where no TCAS maneuver was performed. The differences between the vertical separation and slant range at CPA were compared for the TCAS maneuver case and the no maneuver case.

Several corrective resolution alerts in the NASA-Ames study could not be examined due to a problem with the data files containing the conflicting aircraft's position and altitude information. The system could only record data on two aircraft at one time.

#### C. RESULTS

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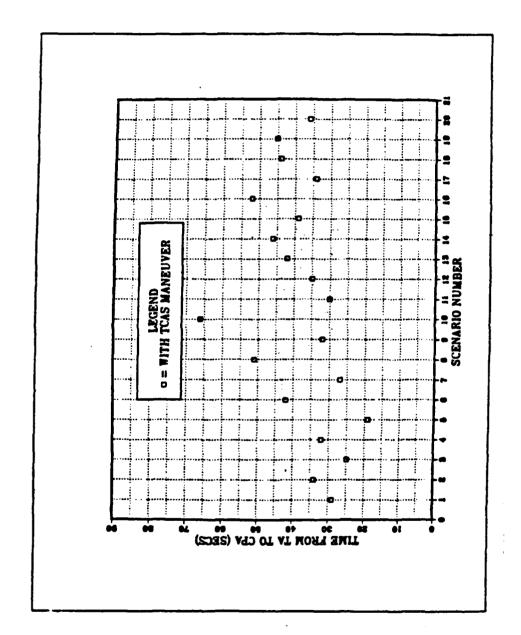
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The computer output from the LLTCAS program is contained in Appendix B. It shows the results of 40 scenarios where evasive maneuvers were performed by flight crews in response to TCAS corrective resolution alerts. For each scenario, two lists of CPA times, ranges, slant ranges, and altitude TCAS equipped separations between the aircraft and conflicting aircraft are presented. The first section of data for each scenario shows the results that would have occurred if the TCAS system had not warned the pilot to maneuver, and the crew had continued to comply with their ATC The second section of data for each scenario clearance. presents the actual results obtained by performing the collision avoidance maneuvers in the vertical plane.

A summary of the data for the 40 scenarios is contained in Appendix C. It lists the following information for each the time interval between the traffic alert (TA) scenario: and CPA; the time interval between the resolution alert (RA) and CPA; the time interval between the TA and RA; the altitude difference between the TCAS aircraft and conflicting aircraft at CPA; the altitude difference at CPA between the two aircraft that would have occurred assuming the TCAS collision avoidance maneuver had not been performed (no TCAS maneuver case); the altitude separation difference between the TCAS maneuver and no TCAS maneuver scenarios; and the slant range difference between the TCAS maneuver and no TCAS maneuver scenarios. The data from the summary are plotted in Figures 2 through 13.

Figures 2 and 3 show the time interval between the issuance of a traffic advisory (TA) to the crew and time of CPA of the two aircraft. This interval represents the amount of time available for the crew to evaluate the situation and react appropriately if a RA display had not been installed. Several crews in the study were able to predict the occurrence of some of the resolution alerts by observing potential collision situations developing on the traffic advisory display. The average time interval between the TA and CPA was 39.25 seconds with a sample standard deviation of 12.03.

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Figure 2. TA to CPA Time Interval (Scenarios 1-20)

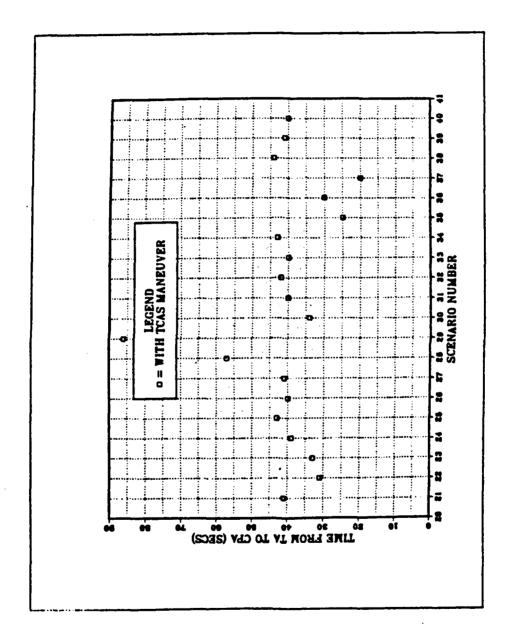


Figure 3. TA to CPA Time Interval (Scenarios 21-40)

Figures 4 and 5 show the time interval between the issuance of a resolution alert (RA) to the crew and the time of CPA of the two aircraft. This interval represents the amount of time available for the crew to interpret the information on the RA display and react by maneuvering the aircraft prior to CPA. The average time interval was 23.03 seconds with a sample standard deviation of 10.96.

Figures 6 and 7 depict the time interval between the issuance of the TA and the RA. This is the amount of time the crew had to evaluate the situation developing on the traffic advisory display (if installed) and prepare to execute the evasive maneuver. The average time interval was 16.23 seconds with a sample standard deviation of 6.24.

Figures 8 and 9 show the altitude separation between the two aircraft at CPA for the cases where a TCAS maneuver was performed and for the cases where it was assumed that no TCAS maneuver was performed. Of the 40 scenarios examined, 37 showed an increase in altitude separation at CPA as a result of the TCAS maneuver. The three scenarios that showed less altitude separation due to the TCAS maneuvers are scenarios 3, 11 and 23. All three of these cases are similar and will be analyzed thoroughly in the "CONCLUSIONS" section of this chapter.

Figures 10 and 11 show the altitude separation change resulting from the TCAS maneuver. The differences were computed by subtracting the no TCAS maneuver altitude Figures 8 and 9 show the altitude separation between the

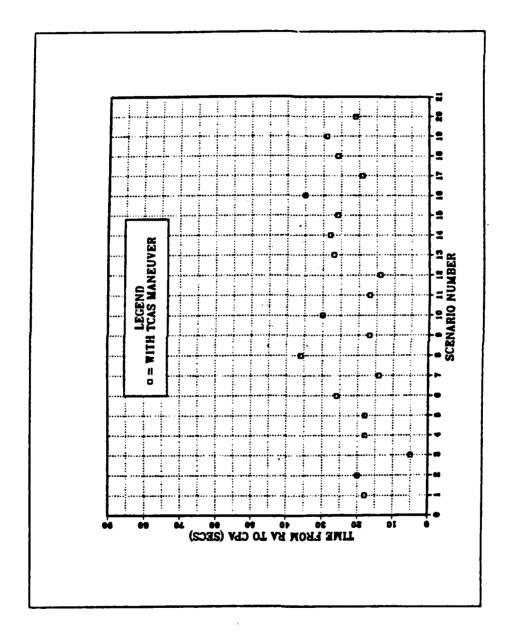


Figure 4. RA to CPA Time Interval (Scenarios 1-20)

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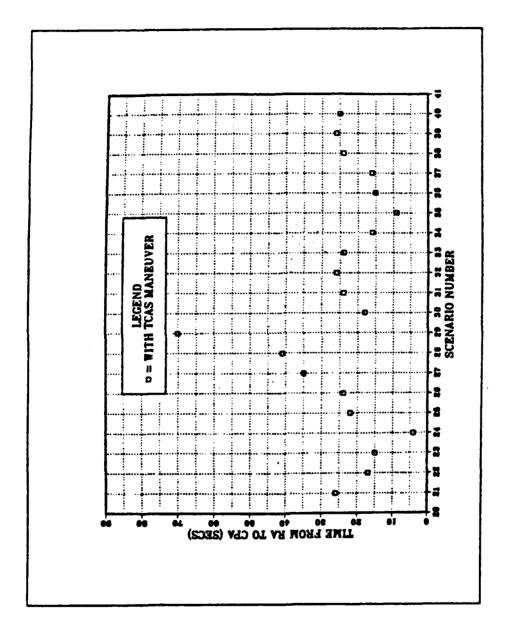


Figure 5. RA to CPA Time Interval (Scenarios 21-40)

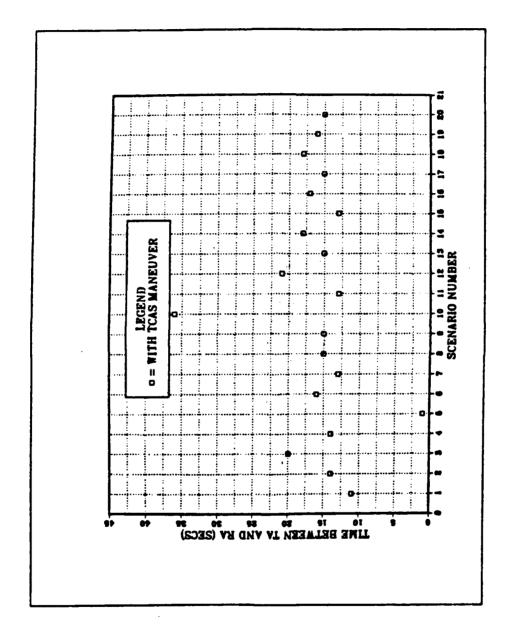


Figure 6. TA to RA Time Interval (Scenarios 1-20)

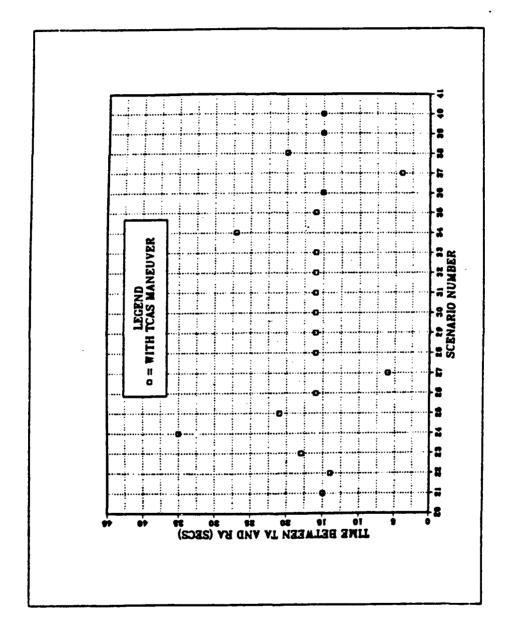


Figure 7. TA to RA Time Interval (Scenarios 21-40)

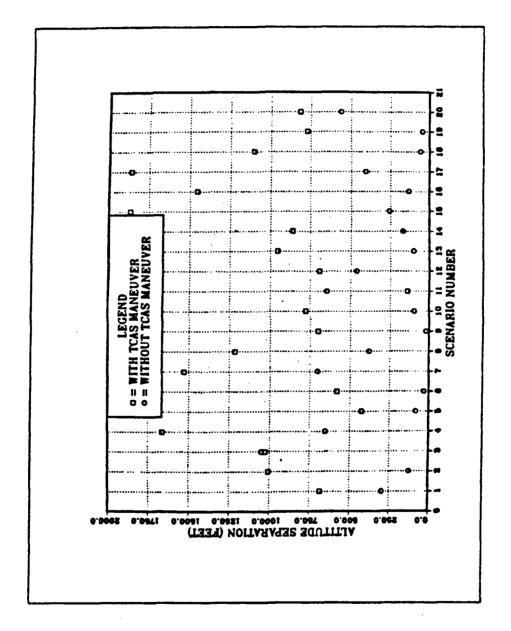


Figure 8. Altitude Separation at CPA (Scenarios 1-20)

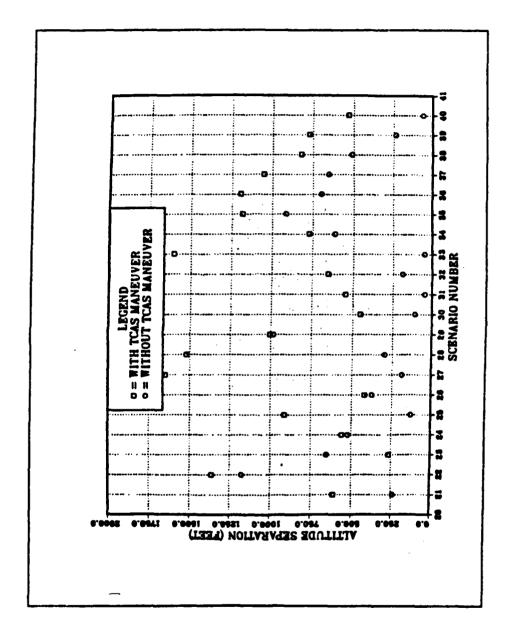


Figure 9. Altitude Separation at CPA (Scenarios 21-40)

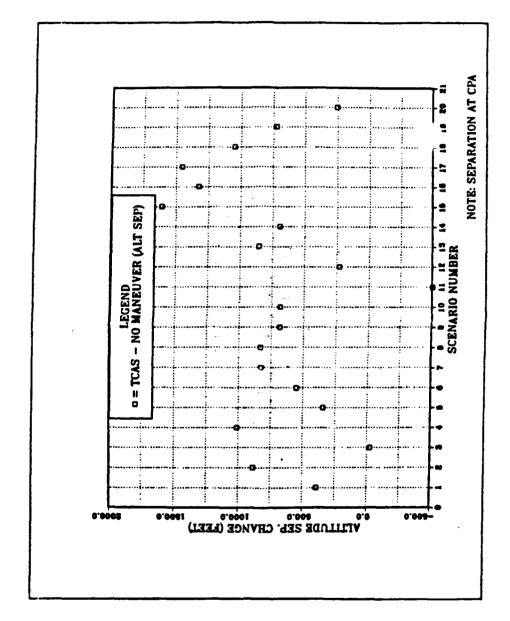


Figure 10. Altitude Separation Change (Scenarios 1-20)

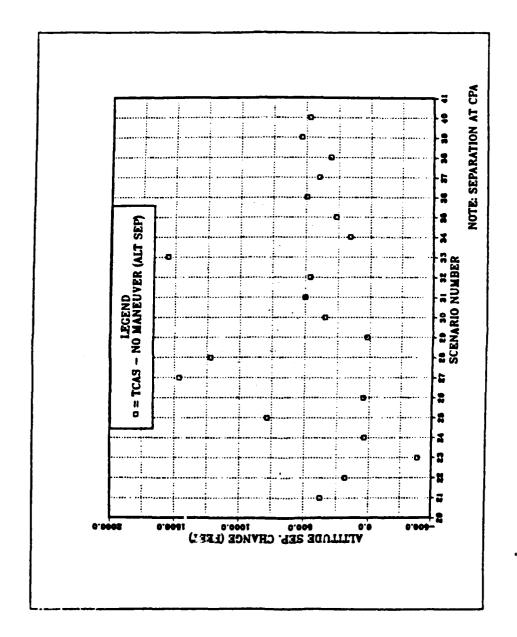


Figure 11. Altitude Separation Change (Scenarios 21-40)

separation at CPA from the results obtained by performing the evasive maneuver. Of the 40 scenarios examined, 37 showed a positive change in the altitude separation at CPA as a result of the TCAS maneuver. The three scenarios that showed negative values due to the TCAS maneuvers are the same three scenarios mentioned above. The TCAS maneuver resulted in an average increase in altitude separation of 577.9 feet.

Figures 12 and 13 show the slant range change caused by performing the TCAS maneuver. The differences were computed by subtracting the value of the no TCAS maneuver slant range at CPA from the value obtained for the evasive maneuver. As in the other figures, 37 scenarios demonstrated a positive change in the slant range at CPA as a result of the TCAS maneuver. The same three scenarios (3, 11, 23) showed negative values. The average increase in the slant range resulting from a TCAS maneuver was 187.50 feet.

The 40 scenarios used in this study will be described briefly below. The 12 airline crews who participated in the study flew similar routes and encountered similar air traffic conditions. Eight of the 12 crews flew with fully operational TCAS II systems which had both a traffic advisory display and a resolution advisory display. The other four crews (2111, 2221, 2312, and 2412) used a degraded system without a traffic advisory display.

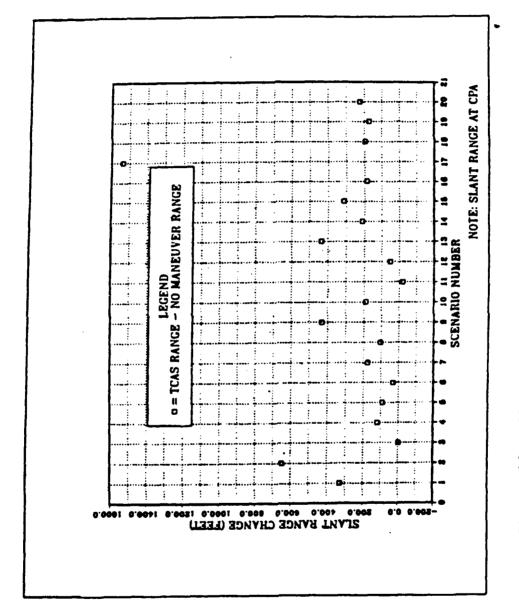


Figure 12. Slant Range Change (Scenarios 1-20)

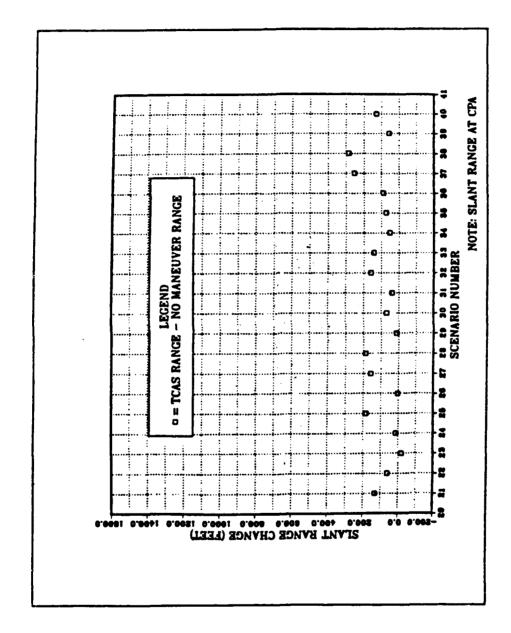


Figure 13. Slant Range Change (Scenarios 21-40)

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# SCENARIO #1 - CREW #2111:

CONDITIONS: time = 00:40:12; altitude = 1996 feet (FT); descending; descent rate = -4.33 feet per second (FPS) or -259 feet per minute (FPM).

NARRATIVE: The crew received a "descend" command requiring an increase in descent rate. The maneuver resulted in an increase in altitude separation and slant range (389 FT, 327 FT) at CPA compared to continuing the descent at -259 FPM.

#### SCENARIO #2 - CREW #2111:

CONDITIONS: time = 10:20:43; altitude = 10145 FT; level flight.

NARRATIVE: The crew received a "descend" command. The maneuver resulted in an increase in altitude separation and slant range (883 FT, 648 FT) at CPA compared to maintaining level flight at the assigned altitude.

### SCENARIO #3 - CREW #2221:

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CONDITIONS: time = 03:52:13; altitude = 33075 FT; level flight.

NARRATIVE: The crew received a "descend to cross" command to avoid an intruder aircraft (call sign "FOG 26") which was climbing underneath the TCAS aircraft. The CPA occurred five seconds after the command was given. The maneuver resulted in a decrease in altitude separation and slant range (-24.5 FT, -2.2 FT) at CPA compared to continuing level at the assigned altitude. This is the first of three similar incidents involving FOG 26 that is being studied to determine the cause of these undesirable results. The slant range at CPA in this case was 11458 FT (1.9 NM).

# SCENARIO #4 - CREW #2221:

CONDITIONS: time = 04:14;12; altitude = 12093 FT; descending; descent rate = -32.53 FPS or -1951.8 FPM.

NARRATIVE: The crew received an "adjust vertical speed" command which required a level off. The maneuver resulted in an increase in altitude separation and slant range (1009 FT, 118 FT) at CPA compared to continuing the descent at -1951 FPM.

# SCENARIO #5 - CREW #2221:

CONDITIONS: time = 07:19:46; altitude = 11070 FT; leveling at 11000 FT.

NARRATIVE: The crew received a "descend" command. The maneuver resulted in an increase in altitude separation and slant range (344 FT, 89 FT) at CPA compared to maintaining level flight at the assigned altitude.

# SCENARIO #6 - CREW #2221:

CONDITIONS: time = 09:51:35; altitude = 5228 FT; descending; descent rate = -10.15 FPS or -609 FPM; clearance = "descend and maintain 5000 FT".

NARRATIVE: The crew received a "climb" command. The maneuver resulted in an increase in altitude separation and slant range (550 FT, 31 FT) at CPA compared to continuing the descent at -609 FPM to a level off altitude of 5000 FT.

### SCENARIO #7 - CREW #2312:

CONDITIONS: time = 08:02:14; altitude = 32990 FT; level flight.

NARRATIVE: The crew received a "climb" command to avoid a conflicting aircraft (FOG 26) which was climbing underneath the TCAS aircraft. Unlike scenario 3, the climb maneuver resulted in an increase in altitude separation and slant range (824 FT, 176 FT) at CPA compared to continuing level flight at the assigned altitude. This scenario is similar to scenario 3 but had a 14 second time interval between RA and CPA and a slant range of 5309 FT (.87 NM) at CPA. In this case, the TCAS maneuver improved the separation between the TCAS aircraft and FOG 26.

# SCENARIO #8 - CREW #2312:

CONDITIONS: time = 08:22:27; altitude = 12058 FT; descending; descent rate = -48.86 FPS or -2931 FPM.

NARRATIVE: The crew received an "adjust vertical speed" command followed by a "climb" command. The maneuver resulted in an increase in altitude separation and slant range (832 FT, 104 FT) at CPA compared to continuing to descend at -2931 FPM.

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# SCENARIO #9 - CREW #2312:

CONDITIONS: time = 10:02:09; altitude = 10095 FT; level flight.

NARRATIVE: The crew received a "climb to cross" command followed by a "climb" command. The maneuver resulted in an increase in altitude separation and slant range (683 FT, 432 FT) at CPA compared to maintaining level flight at the assigned altitude.

# SCENARIO #10 - CREW #2312:

CONDITIONS: time = 10:06:52; altitude = 7639 FT; descending; descent rate = -28.8 FPS or -1728 FPM.

NARRATIVE: The crew received an "adjust vertical speed" command calling for no descent greater than 500 FPM. The maneuver resulted in an increase in altitude separation and slant range (683 FT, 188 FT) at CPA compared to continuing the descent at -1728 FPM.

#### SCENARIO #11 - CREW #2422:

CONDITIONS: time = 04:28:33; altitude = 33004 FT; level flight.

NARRATIVE: The crew received a "descend to cross" command to avoid an intruder aircraft (FOG 26) which was climbing below the TCAS aircraft. CPA occurred 17 seconds after the command was given. The maneuver resulted in a decrease in altitude separation and slant range (-505 FT, -22 FT) at CPA compared to continuing level at the assigned altitude. This is the second of three similar incidents involving FOG 26 that is under investigation. The slant range at CPA in this case was 9009 FT (1.5 NM).

### SCENARIO #12 - CREW #2422:

CONDITIONS: time = 07:28:20; altitude = 2260 FT; descending; descent rate = -19.53 FPS or -1171 FPM.

NARRATIVE: The crew received an "adjust vertical speed" command calling for no descent greater than 500 FPM. The maneuver resulted in an increase in altitude separation and slant range (229 FT, 51 FT) at CPA compared to continuing the descent at -1171 FPM.

# SCENARIO #13 - CREW #2422:

CONDITIONS: time = 10:28:03; altitude = 5059 FT; descending; descent rate = -3.02 FPS or -990 FPM; clearance = "descend and maintain 5000 FT".

NARRATIVE: The crew received a "climb" command. The maneuver resulted in an increase in altitude separation and slant range (855 FT, 435 FT) at CPA compared to continuing the descent to 5000 FT.

# SCENARIO #14 - CREW #3111:

CONDITIONS: time = 03:36:15; altitude = 3760 FT; descending; descent rate = -32.62 FPS or -1957 FPM.

NARRATIVE: The crew received a "climb" command. The maneuver resulted in an increase in altitude separation and slant range (692 FT, 210 FT) at CPA compared to continuing the descent at -1957 FPM.

# SCENARIO #15 - CREW #3111:

CONDITIONS: time = 07:14:48; altitude = 32991 FT; level flight.

NARRATIVE: The crew received a "descend to cross" command to avoid an intruder aircraft (FOG 26) which was climbing underneath the TCAS aircraft. CPA occurred 26 seconds after the command was given. Unlike scenarios 3 and 11, this maneuver resulted in an increase in altitude separation and slant range (1608 FT, 316 FT) at CPA compared to maintaining level flight at the assigned altitude. The slant range at CPA in this case was 5559 FT (0.9 NM) which is much smaller than the slant ranges in the two scenarios mentioned above. In this case, a "descend to cross" command improved the situation.

### SCENARIO #16 - CREW #3111:

CONDITIONS: time = 07:37:15; altitude = 11647 FT; descending; descent rate = -26.54 FPS or -1592 FPM.

NARRATIVE: The crew received a "climb" command. The maneuver resulted in an increase in altitude separation and slant range (1323 FT, 185 FT) at CPA compared to continuing the descent at -1592 FPM.

# SCENARIO #17 - CREW #3111:

CONDITIONS: time = 09:28:25; altitude = 10016 FT; level flight.

NARRATIVE: The crew received a "climb to cross" command. The maneuver resulted in an increase in altitude separation and slant range (1451 FT, 1536 FT) at CPA compared to maintaining level flight at the assigned altitude.

# SCENARIO #18 - CREW #3221:

CONDITIONS: time = 06:53:04; altitude = 3937 FT; descending; descent rate = -28.49 FPS or -1709 FPM.

NARRATIVE: The crew received an "adjust vertical speed" command followed by a "climb" command. The maneuver resulted in an increase in altitude separation and slant range (1048 FT, 197 FT) at CPA compared to continuing the descent at -1709 FPM.

# SCENARIO #19 - CREW #3221:

CONDITIONS: time = 09:54:04; altitude = 5175 FT; climbing; climb rate = +4.15 FPS or +249 FPM; clearance = "descend and maintain 5000 FT".

NARRATIVE: The crew used the information from the traffic advisory display to anticipate the collision situation developing and started to climb shortly before they received a "climb" command from the resolution advisory display. The maneuver resulted in an increase in altitude separation and slant range (725 FT, 172 FT) at CPA compared to continuing the descent to their assigned level off altitude of 5000 FT.

# SCENARIO #20 - CREW #3312:

CONDITIONS: time = 01:21:09; altitude = 1903 FT; descending; descent rate = -13.82 FPS or -829 FPM.

NARRATIVE: The crew received a "descend" command. The maneuver resulted in an increase in altitude separation and slant range (254 FT, 224 FT) at CPA compared to continuing the descent at -829 FPM.

# SCENARIO #21 - CREW #3312:

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CONDITIONS: time = 02:33:07; altitude = 5189 FT; descending; descent rate = -19.59 FPS or -1175 FPM.

NARRATIVE: The crew received a "climb" command. The maneuver resulted in an increase in altitude separation and slant range (372 FT, 130 FT) at CPA compared to continuing the descent at -1175 FPM.

# SCENARIO #22 - CREW #3312:

CONDITIONS: time = 07:43:86; altitude = 12156 FT; descending; descent rate = -26.06 FPS or -1563 FPM.

NARRATIVE: This is another case where the crew used the information from the traffic advisory display to anticipate the collision situation developing and started to level off before an "adjust vertical speed" command was received from the resolution advisory display. The maneuver resulted in an increase in altitude separation and slant range (184 FT, 61 FT) at CPA compared to continuing to descend at their original descent rate, which was greater than 2000 FPM prior to the crew-initiated level off.

# SCENARIO #23 - CREW #3422:

CONDITIONS: time - 03:54:10; altitude = 32982 FT; level flight.

NARRATIVE: The crew received a "descend to cross" command to avoid an intruder aircraft (FOG 26) which was climbing underneath the TCAS aircraft. CPA occurred 15 seconds after the command was given. The maneuver resulted in a decrease in altitude separation and slant range (-389 FT, -19 FT) at CPA compared to continuing level at the assigned altitude. This is the third of three similar incidents involving FOG 26 that is being studied. The slant range at CPA in this case was 9075 FT (1.5 NM).

# SCENARIO #24 - CREW #3422:

CONDITIONS: time = 04:20:34; altitude = 6983 FT; level flight.

NARRATIVE: The crew was leveling at 7000 FT when they received a "descend" command to avoid conflicting traffic at 7500 FT. CPA occurred four seconds after the resolution alert was issued. The maneuver resulted in a small increase in altitude separation and slant range (37 FT, 13 FT) at CPA compared to remaining level. It appears that the system did not consider the conflicting aircraft a threat until the TCAS crew arrested their descent and leveled off. The actual altitude separation between aircraft at CPA was 560 FT with a slant range of 1496 FT.

# SCENARIO #25 - CREW #3422:

CONDITIONS: time = 09:17:36; altitude = 5370 FT; climbing; climb rate = +12.78 FPS or +766 FPM; clearance = "descend and maintain 5000 FT".

NARRATIVE: This case is similar to scenario 19. The crew used the information from the traffic advisory display to anticipate the collision situation developing and started to climb shortly before a "climb" command from the resolution advisory display was received. The maneuver resulted in an increase in altitude separation and slant range (784 FT, 184 FT) at CPA compared to continuing the descent to their assigned level off altitude of 5000 FT.

# SCENARIO #26 - CREW #4111:

CONDITIONS: time = 07:13:19; altitude = 32994 FT; level flight.

NARRATIVE: This case is similar to scenario 15. The crew received a "descend to cross" command to avoid an intruder aircraft (FOG 26) which was climbing below the TCAS aircraft. CPA occurred 24 seconds after the command was given. Unlike scenarios 3, 11, and 23, the maneuver resulted in a small increase in altitude separation and slant range (47 FT, 2.8 FT) at CPA compared to maintaining level flight at the assigned altitude. The slant range at CPA in this case was 6629 FT (1.1 NM) which is smaller than the slant ranges in the three scenarios mentioned above.

# SCENARIO #27 - CREW #4111:

CONDITIONS: time = 07:34:16; altitude = 12324 FT; descending; descent rate = -55.72 FPS or -3343 FPM.

NARRATIVE: The crew received an "adjust vertical speed" command which required no descent greater than 1000 FPM. The maneuver resulted in an increase in altitude separation and slant range (1463 FT, 158 FT) at CPA compared to continuing the descent at -3343 FPM.

# SCENARIO #28 - CREW #4221:

CONDITIONS: time = 04:10:59; altitude = 32995 FT; level flight.

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NARRATIVE: This case is similar to scenarios 15 and 26. The crew received a "descend to cross" command to avoid an intruder aircraft (FOG 26) which was climbing underneath the

TCAS aircraft. CPA occurred 41 seco was given. The maneuver resulted in an separation and slant range (1221 FT, 18 to maintaining level flight at the ass slant range at CPA in this case was 5998

SCEMARIO #29 - CREW #4221:

CONDITIONS: time - 06:31:28; altitude = descent rate = -13.89 FPS or -833 FPM.

NARRATIVE: The crew received a "des maneuver resulted in an increase in al slant range (21 FT, 13 FT) at CPA compar descent at -833 FPM.

SCEMARIO #30 - CREW #4221:

CONDITIONS: time = 01:35:13; altitude = 2000 FT.

NARRATIVE: The crew received a "des maneuver resulted in an increase in al slant range (346 FT, 68 FT) at CPA colevel flight at the assigned altitude of SCEMARIO #31 - CREW #4221:

CONDITIONS: time = 02:46:49; altitude = descent rate = -12.88 FPS or -772 FPM; and maintain 5000 FT".

NARRATIVE: The crew received a "desce the maneuver resulted in an increase in al slant range (501 FT, 38 FT) at CPA cothe descent at -772 FPM until level at 5 SCEMARIO #32 - CREW #4312

CONDITIONS: time = 02:12:24; altitude flight.

NARRATIVE: The crew received a "comaneuver resulted in an increase in al slant range (464 FT, 159 FT) at CPA colevel flight at the assigned altitude.

SCEMARIO #33 - CREW #4312:

CONDITIONS: time = 03:47:28; altitude = descent rate = -21.25 FPS or -1275 FPM. CPA occurred 41 seconds after the command The maneuver resulted in an increase in altitude slant range (1221 FT, 188 FT) at CPA compared to maintaining level flight at the assigned altitude. slant range at CPA in this case was 5998 FT (.99 NM).

CONDITIONS: time - 06:31:28; altitude = 3018 FT; descending;

"descend" command. maneuver resulted in an increase in altitude separation and slant range (21 FT, 13 FT) at CPA compared to continuing the

CONDITIONS: time = 01:35:13; altitude = 2064 FT; leveling at

"descend" command. The maneuver resulted in an increase in altitude separation and slant range (348 FT, 68 FT) at CPA compared to maintaining level flight at the assigned altitude of 2000 FT.

CONDITIONS: time = 02:46:49; altitude = 5127 FT; descending; descent rate = -12.88 FPS or -772 FPM; clearance = "descend

The crew received a "descend to cross" command, the maneuver resulted in an increase in altitude separation and slant range (501 FT, 38 FT) at CPA compared to continuing the descent at -772 FPM until level at 5000 FT.

CONDITIONS: time = 02:12:24; altitude = 5080 FT; level

"climb" command. altitude separation and slant range (464 FT, 159 FT) at CPA compared to maintaining

CONDITIONS: time = 03:47:28; altitude = 3954 FT; descending;

NARRATIVE: The crew received an "adjust vertical speed" command followed by a "climb" command. The maneuver resulted in an increase in altitude separation and slant range (1555 FT, 143 FT) at CPA compared to continuing the descent at -1245 FPM.

### SCENARIO #34 - CREW #4312:

CONDITIONS: time = 03:49:39; altitude = 2163 FT; descending; descent rate = -12.67 FPS or -760 FPM; clearance = "descend and maintain 2000 FT".

NARRATIVE: The crew received an "adjust vertical speed" requiring no descent greater than 0 FPM. The maneuver resulted in an increase in altitude separation and slant range (158 FT, 51 FT) at CPA compared to continuing the descent at -760 FPM until level at 2000 FT.

# SCENARIO #35 - CREW #4312:

CONDITIONS: time = 07:07:53; altitude = 33008 FT; level flight.

NARRATIVE: The crew received a "climb" command to avoid an intruder aircraft (FOG 26) which was climbing below the TCAS aircraft. CPA occurred nine seconds after the command was given. The maneuver resulted in an increase in altitude separation and slant range (267 FT, 73 FT) at CPA compared to maintaining level flight at the assigned altitude. The slant range at CPA in this case was 3858 FT (.60 NM).

# SCENARIO #36 - CREW #4422:

CONDITIONS: time = 04:23:00; altitude = 33086 FT; level flight.

NARRATIVE: This scenario is similar to scenario 35. The crew received a "climb" command to avoid an intruder aircraft (FOG 26) which was climbing below the TCAS aircraft. CPA occurred 15 seconds after the command was given. The maneuver resulted in an increase in altitude separation and slant range (495 FT, 88 FT) at CPA compared to maintaining level flight at the assigned altitude. The slant range at CPA was 5266 FT (.86 NM).

# SCENARIO #37 - CREW #4422:

CONDITIONS: time = 04:43:56; altitude = 12035 FT; descending; descent rate = -37.95 FPS or -2277 FPM.

NARRATIVE: The crew received an "adjust vertical speed" command requiring no descent greater than 2000 FPM. The crew reacted by significantly reducing their descent rate. The maneuver resulted in an increase in altitude separation and slant range (400 FT, 253 FT) at CPA compared to continuing the descent at -2277 FPM.

# SCENARIO #38 - CREW #4422:

CONDITIONS: time = 04:49:10; altitude = 6998 FT; level flight.

NARRATIVE: The crew received a "descend" command. The maneuver resulted in an increase in altitude separation and slant range (311 FT, 284 FT) at CPA compared to maintaining level flight at the assigned altitude.

# SCENARIO #39 - CREW #4422:

CONDITIONS: time = 07:10:44; altitude = 3905 FT; descending; descent rate = -18.21 FPS or -1092 FPM.

NARRATIVE: The crew received an "adjust vertical speed" command which required no descent greater than 500 FPM. The crew significantly reduced their descent rate and even climbed slightly. The manauver resulted in an increase in altitude separation and slant range (536 FT, 55 FT) at CPA compared to continuing the descent at -1092 FPM.

# SCENARIO #40 - CREW #4422:

CONDITIONS: time = 10:26:45; altitude = 4947 FT; level flight.

NARRATIVE: The crew received a "descend" command. The maneuver resulted in an increase in altitude separation and slant range (471 FT, 129 FT) at CPA compared to maintaining level flight at the assigned altitude.

#### D. CONCLUSIONS

The TCAS II system maneuver commands resulted in increased vertical separations and slant range distances between TCAS equipped aircraft and conflicting aircraft for 37 of the 40 scenarios studied. The three scenarios (3, 11, 23) which showed a decrease in vertical separation and

slant range (miss distance) at the closest point of approach (CPA) are unexpected results and will be examined in depth. All three had the following common characteristics: the conflicting aircraft's call sign was "FOG 26": the conflicting aircraft was climbing rapidly underneath the TCAS aircraft when the resolution alert (RA) was issued; the TCAS aircraft was straight and level at approximately 33000 feet; and the resolution alert called for a "descend to cross" The time interval between the RA and CPA on all three scenarios (5 secs, 17 secs, 15 secs) was shorter than the average time for the 40 cases (23 secs). The slant ranges at CPA for these three cases were in excess of 9000 feet or 1.48 nautical miles (11458 FT, 9009 FT, 9075 FT). There were six other FOG 26 scenarios in this study (7, 15, 35, 36) which all showed increases in vertical 26. 28. separation and slant range at CPA as a result of performing the recommended evasive maneuver. Three of these six scenarios (7, 35, 36) received "climb" commands from the The other three scenarios (15, TCAS system. received "descend to cross" commands (similar to scenarios 3, 11, and 23); but, in these cases, the times from RA to CPA (26 secs, 24 secs, 41 secs) were longer than the average. Also, these three scenarios (15, 26, 28) showed much shorter slant ranges at CPA (5559 FT, 6629 FT, 5998 FT) than the three scenarios with decreased separation (3, After comparing the results of all the FOG 26 scenarios, it

appears that there may be a problem with the collision avoidance logic when the TCAS aircraft is at a high altitude and must maneuver to avoid a rapidly climbing aircraft with a slant range that is over 9000 feet. The TCAS logic may be predicting a time for CPA that is longer than the time which actually occurred for these incidents. The short times between RA and CPA may also be responsible for the incorrect responses by the TCAS system. In the three cases where the separation between aircraft decreased, it appears that a "climb" command (or no command at all) would have been better "descend to cross" command. Fortunately, situations where the TCAS logic provided erroneous commands occur at long ranges and do not appear to pose a potential collision threat. An investigation of these three incidents is currently underway.

The results (Appendix B) of this study also demonstrate that three scenarios (1, 2, 9) would have resulted in dangerous situations if the recommended TCAS maneuver had not been performed. Without a TCAS maneuver, these three scenarios would have resulted in slant ranges (miss distances) of less than 500 feet with altitude separations between the two aircraft of less than 300 feet. It should be noted that no dangerous situations developed when the crews used the TCAS system.

An analysis of the flight station video recordings indicates that the "adjust vertical speed" voice command was

confusing for some of the pilots. The terminology of this command is ambiguous in that it does not specify an increase or a decrease in climb or descent rate. Several of the Captains told the pilot at the controls to "level off" when the resolution advisory display required only a decrease in descent rate. An improvement in the wording of this command or a better presentation on the RA display may help to reduce the confusion that was noted in this study.

Overall, the TCAS II system should result in a significant enhancement to the "see and avoid" procedures in the cockpit and dramatically improve the safety of airline travel.

# V. RESOLUTION ADVISORY DISPLAY EXPERIMENT

# A. INTRODUCTION

An experiment was conducted in the laboratories of the Aeronautical Human Factors Branch of NASA-Ames to evaluate pilot responses to collision avoidance maneuver commands using computer simulations of three versions of the TCAS II resolution advisory (RA) display (Figure 14). The type of RA display currently in use consists of a modified instantaneous vertical speed indicator (IVSI) which has an arc of red "eyebrow" lights to notify pilots of impending danger. lights illuminate when the TCAS II system detects a potential collision threat and signals the pilots to evaluate their vertical velocity to increase the safety margin between the TCAS aircraft and a conflicting aircraft. Pilots are trained to respond to a resolution alert by "keeping the IVSI needle out of the red lights" by climbing, descending, or by avoiding a climb or descent rate that would put the aircraft Two modifications to the current system were in danger. designed to test the hypothesis that a different lighting pattern might be more effective than the "red only" version. In order to provide the pilots a target to aim for, instead of an area to avoid, "red and green" and "green only" lighting arrangements were developed for the IVSI. For both of the new arrangements, the green lights only illuminated



NOTE: Warning arc is rad from -6000 FPM to +1500 FPM.

# RED AND GREEN



NOTE: Warning arc is red from -6000 FPM to +1500 FPM and green from +1500 FPM to +2500 FPM.

# GREEN ONLY



NOTE: Warning arc is green from +1500 FPM to +2500 FPM.

Figure 14. Three Versions of the RA Display

when evasive maneuvers were required (corrective RA) and not when an RA was issued to warn against an unsafe vertical speed requiring no pilot action (preventative RA). Pilots were trained to use the two alternate versions of the RA displays by applying the following rules: (1) "Get the needle out of the red and into the green" for the "red and green" version; (2) "Get the needle into the green" for the "green only" version. This report describes the experiment and the findings.

# B. PROCEDURES

A graphics program was developed to present six groups of 14 RA displays to 36 volunteer pilots. The subjects had both military (75%) and civilian (25%) backgrounds, and various levels of flight experience ranging from 150 to 11000 hours of flight time (mean = 1913 hours, median = 650 hours).

For this experiment, each pilot received individual training which included a detailed briefing on each of the three versions of the RA display ("red only", "red and green", "green only") and a practice session using a demonstration program consisting of six example presentations (two of each type). The procedure used by each subject was as follows:

(1) Press two buttons on a computer mouse to allow the the computer terminal to exhibit the RA display. The IVSI depicting the current vertical speed appeared one second before the warning arc of colored lights illuminated. (Figure 14)

- (2) Evaluate the position of the needle on the IVSI to determine if the aircraft is climbing or descending.
- (3) Interpret the warning lights to determine whether a climb, descent or no action is required by using the general rules explained above.
- (4) Respond to the warning by moving the mouse aft to climb, or forward to descend. The mouse was used to simulate a control stick (or yoke) in an aircraft and the IVSI needle was assumed to respond to a climb or descent in the normal manner.
- (5) A dialog box appeared below the RA display after each response notifying the pilot that the direction of motion and reaction time had been measured.

The training emphasized that accuracy of the response direction (climb or descent) was much more important than short reaction times, since an incorrect climb or descent could significantly degrade the aircraft's safety margin.

After completion of the training session, each participant responded to 42 RA displays grouped into three sets of 14 of the same color pattern (red, red and green, green). The pilots knew in advance which version of the display would be presented because an example RA display was the first display in each set of 14. Each set also contained one preventative RA display which required no movement of the mouse (control stick). A second run of 42 displays was presented after the first run was completed. The order of presentation for the three versions of the RA display (red, red and green, green) was counterbalanced across subjects. The 14 display presentations were sequenced in one of nine random orders.

Recorded data included the direction of the response and the time period between the illumination of the colored warning arc and the movement of the mouse (reaction time). All subjects completed a subjective questionnaire at the completion of the experiment and rated the effectiveness of the three versions of the display. A statistical analysis was performed using the acquired data.

# C. RESULTS

To compare the effectiveness of the three versions of the RA display, an analysis of pilot performance was conducted with reaction time and response accuracy (number of errors) as the dependent variables.

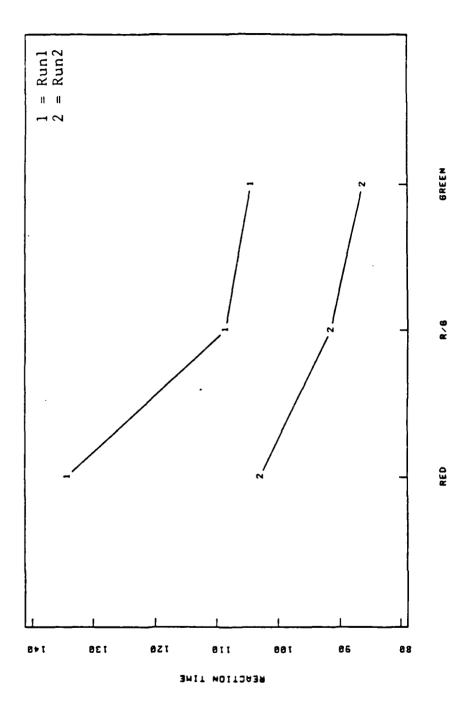
From an evaluation of the reaction time data, the following results were obtained:

- (1) A significant difference in reaction time due to display color was found (F = 19.21, degrees of freedom (df) = 2,34, p<.001). A post-hoc paired comparison showed a significant difference between the "red only" and "green only" displays (F = 32.46, df = 1,35, p<.001), and also between the "red only" and "red and green" displays (F = 26.07, df = 1,35 p<.001). The "red only" showed longer reaction times (mean = 1.1856 seconds (sec), standard deviation (sd) = .5857) than the "red and green" (mean = .9998 sec, sd = .5909) and the "green only" (mean = .9524 sec, sd = .4453).</p>
- (2) Learning effects were noted in pilot reaction times for the first set of 42 displays (run1) and the second set (run2) (F = 45.31, df = 1,35, p<.001). Run2 times (mean = .9347 sec, sd = .5029) were shorter than run1 times (mean = 1.1571, sd = .5693).

- (3) Figure 15 shows a significant interaction that was observed when evaluating the effects of run number and display color on reaction time (F = 5.55, df = 1,35, p(.05). Learning effects are more pronounced for the "red only" version of the display.
- (4) Figure 16 shows the significant interaction that occurred when a comparison of the display colors and the scenario numbers (listed in Appendix D) was evaluated with respect to reaction time (F = 5.24, df = 11,21, p<.001). "Red only" reaction times were longer than the "green only" times for every scenario and were also longer than the "red and green" times with one exception (scenario 11). Scenario 7 produced the longest reaction times for all three display colors.
- (5) No significant differences in reaction time were noted among the three versions of the display for the different commands (climbs or descents).

Evaluating the accuracy of the pilot's responses by tabulating the number of incorrect climbs and descents (errors) produced the following results:

- (1) The overall error rate for the experiment was 2% with no significant learning effects noted between run1 and run2, although fewer errors occurred on the second run.
- (2) A post-hoc paired comparison of the number of errors using the "red only" and "red and green" displays showed a significant difference (F = 8.03, df = 1,35, p<.01). The "red only" display produced the most errors (27) while the least occurred using the "red and green" display (10). The use of the "green only" display resulted in 18 errors.
- (3) Figure 17 shows a significant interaction between the command called for by the display and the color of the display with respect to the frequency of errors. The "red and green" display appears to be the most effective for descent scenarios.



igure 15. Reaction Time Learning Effects

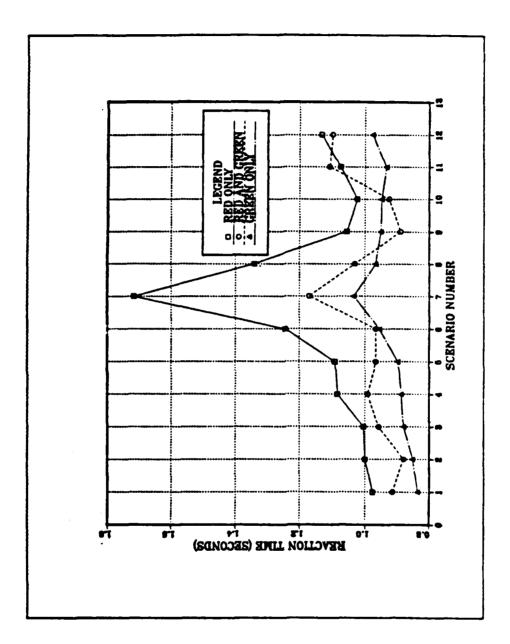


Figure 16. Scenario Reaction Times

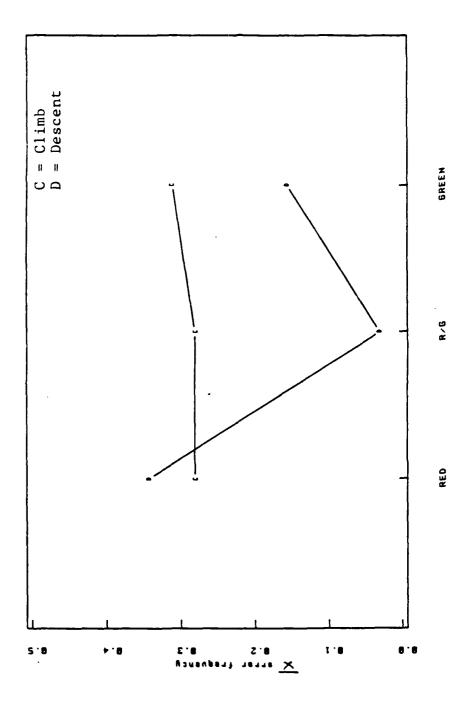


Figure 17. Climb/Descent Errors

(4) Significant effects on the number of errors were also noted for each of the display colors as a result of the scenario number. Figure 18 shows the total number of errors for each scenario. The greatest number of errors (7) occurred using the scenario 13 "red only" display. Scenario 13 was a preventative RA presentation which required no climb or descent from the pilot.

Pilot experience levels produced no significant effects on reaction time or response accuracy. Pilot preferences also showed no apparent effects on the results.

An analysis of the pilot questionnaires showed that 92% (33 out of 36) of the pilots rated the "red and green" (19) or the "green only" RA display (14) as the most effective for signaling an evasive maneuver. The current RA display in the TCAS II system ("red only") was rated the least effective of the three versions by 24 pilots (67%). Several pilots commented that they preferred the "red and green" lighting configuration because it gave them both an area to avoid and Three of the more experienced pilots an area to aim for. stated that they would prefer to receive collision avoidance commands from an altitude direction indicator (ADI) rather than an instantaneous vertical speed indicator (IVSI). stated that maneuvers are routinely performed using the ADI vice the IVSI.

Possible sources of error in the results may have been induced by individual pilots having difficulty adjusting to the partial system simulation. Some subjects had problems using the computer mouse as a control stick and responding as they would in an aircraft. Performing this experiment in a

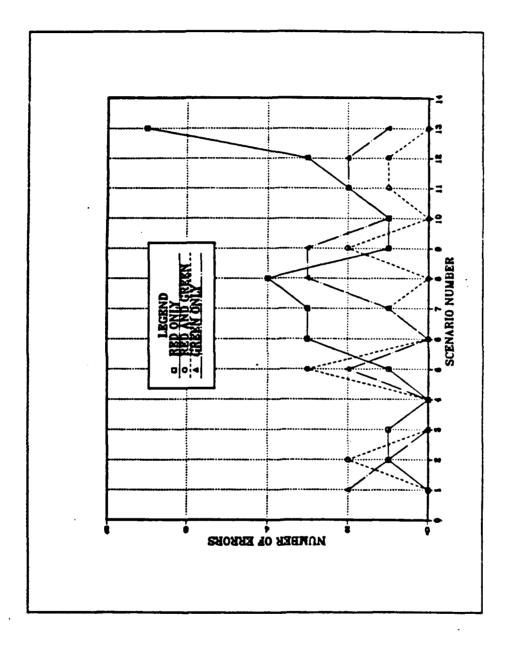


Figure 18. Scenario Errors

flight simulator with a complete TCAS II system would have enhanced the simulation. Upgrades to this experiment could include animation of the IVSI needle and the use of a "joystick" to replace the computer mouse. Animation of the IVSI needle would have allowed the measurement of the amount of overshoot of the recommended vertical speeds and a measure of the time required for each subject to reach the commanded vertical speed.

# D. CONCLUSIONS

The results of this experiment demonstrate that the two alternate designs of the TCAS II resolution advisory display ("red and green", "green only") are more effective than the current display ("red only"). The alternate designs produced faster reaction times, fewer errors, and were judged to be more effective by 92% of the pilots who participated in this study. The "red and green" version of the display was more effective at preventing errors and was chosen as most effective by 53% of the pilots. The "green only" lighting pattern produced the shortest reaction times and was rated as most effective by 39% of the pilots.

Both alternate versions of the display ("red and green", "green only") were effective at eliminating unnecessary responses to preventative warnings, while the current TCAS II RA display version ("red only") produced seven errors of this type.

The information generated by this experiment may be useful for future versions of collision avoidance displays. In order to determine whether it would be feasible to change the current display, a cost-benefit analysis would have to be conducted.

# VI. SUMMARY OF CONCLUSIONS

As a result of the three studies completed in this report, answers are now available for the three questions posed at the beginning of this paper.

The first study examined aircraft maneuvers based solely on traffic advisory information. There were 14 cases during the NASA-Ames simulation where the pilots successfully maneuvered the aircraft to avoid a potential collision by utilizing TA information. These maneuvers were conducted despite training which emphasized that maneuvers should be performed only on the basis of warnings disp'ayed on the resolution advisory (RA) display. Pilot training procedures should be developed to optimize the use of the TCAS II traffic advisory display, while allowing pilots to use their experience and judgment to ensure aircraft safety of flight. The pilots appeared to gain confidence in the system as the simulation progressed and were eager to use all the available information. Standardized TCAS procedures should be developed and adopted. Additionally, frequent practice in flight simulators should provide valuable reinforcement to this training. As the pilots become more familiar with the system and gain confidence in the collision avoidance maneuvers presented on the RA display, this tendency to maneuver on the TA information should be reduced.

The second study was concerned with the results of the TCAS-directed collision avoidance maneuvers. Computer-aided analysis of data determined that, in 37 of 40 scenarios investigated, the avoidance maneuvers directed by the TCAS II system increased altitude separations and miss distances at Three similar cases where the miss distances decreased were examined and appear not to be a threat to safety of These three maneuvers were long range encounters at high altitudes and appear to result from the system logic calculating an erroneous time to CPA in excess of that general, the pilots responded actually observed. In accurately to the commands from the system and successfully avoided many potential mid-air collisions. From an examination of flight station video tapes, the presentation on the RA display occasionally caused minor confusion for the pilots. These observations resulted in the motivation to proceed with a study of alternate lighting configurations for the RA display.

The final phase of this study considered alternate designs for the RA display in an effort to determine the most effective means to direct collision avoidance maneuvers. Volunteer pilots reacted to collision avoidance maneuver commands from three different versions of the RA display ("red only", "red and green", and "green only"). The "red and green" version of the display proved to be more effective than the current "red only" version by producing

significantly faster reaction times, fewer errors, and a much higher effectiveness rating from the pilots. In general, the participants stated that they preferred an area to aim for (green warning arc) and an area to avoid (red warning arc). The "red and green" display gave both cues. This information may be useful in future upgrades of the current system or in the design of collision avoidance systems of the future.

The information contained in this report is based on simulations rather than operational testing of the system in actual aircraft. However, the results of this study should provide information useful in the development of pilot training procedures and system upgrades to maximize the operational effectiveness of the TCAS II system. With proper use, this system has the potential to dramatically enhance flight safety on the conjested airways and in the busy terminal areas of the United States.

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CONTRACT CONTRACTOR INCOMES IN

#### APPENDIX A

# "LLTCAS" COMPUTER PROGRAM

```
FILE: LLTCAS FORTRAN AL
          PROGRAM LLICAS

THIS PROGRAM COMPUTES THE MISS DISTANCE (SLANT RANGE) BETWEEN

THO AIRCRAFT ON A NEAR COLLISION COURSE ASSUMING NEITHER AIRCRAFT

AVAIDANCE SYSTEM AIRCRAFT'S LATITUDE, LONGITUDE AND ALTITUDE

ARE READ IN FROM RECORDS OF THE NASA-AMES 727 SIMULATOR STUDY OF

PILOTS USING THE TCAS SYSTEM. THIS DATA IS COMPARED TO THE

LATITUDE, LONGITUDE AND ALTITUDE DATA FROM THE COMPLICTING

AIRCRAFT'S RECORDS. RANGE, SLANT RANGE, AND ALTITUDE SEPERATION

AIRCRAFT'S RECORDS. THE PROGRAM IS THEN MODIFIED TO PREDICT

THE DISTANCES THAT MOULD HAVE HESULTED IF NO AVOIDANCE MANEUVER

WAS PERFORMED. THIS IS DONE BY EVALUATING THE VIDEO TAPES OF

THE ENCOUNTER, THE SIMULATOR DATA, AND THE FLIGHT CLEARANCES

ISSUED TO THE AIRCRAFT. THE TCAS ALITTUDE IS THEN MODIFIED TO

EVALUATE THE MISS DISTANCES WITHOUT THE MANEUVER.
THE ENCOUNTER, THE SIMULATOR DATE, AND THE LINEAR SETTING TO THE ATROPARTY THE TCAS ALTITUDE IS THEN MODIFIED TO EVALUATE THE MISS DISTANCES WITHOUT THE MANEOURR.

***CASTANCE DESCRIPTION OF THE ATROPASS ALTER STATEMENT OF THE MANEOURR.

***CASTANCE DESCRIPTION OF THE ATROPASS ALTER STATEMENT OF THE MANEOURR.

***CASTANCE DESTRUCES ATROPASS AT
                                                                             IF RANGE IS DECREASING LCOP TO OBTAIN MORE DATA
FSRNG=SRNG
THIS IF STATEMENT ALLOWS THE USER TO LIMIT THE NUMBER OF DATA
POINTS USED OR TIME PERICO EXAMINED
IF (TIME-GE-90)GC TO 20
TIME=TIME+1

THE NEXT LINE IS USED FOR THE NO MANEUVER CASE
PALT=PALT+PDZ
```

### APPENDIX B

STAR SOLD BOUNDED TO BE DEFENDED TO BE DEFENDED.

# "LLTCAS" RESULTS

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FILE: RESULTS RESULTS AL
    *** SCENARIO 1 ***
  ### SCENARIO 1 ###
F72111 SCENARIO 1 #ITHOUT MANELVER (CONTINUED DESCENT)
TIME= 17 RNG= 733.958740 SRNG= 789.926328
TIME= #### 18 RNG= 297.052979 SRNG= 418.879150
TIME= 19 RNG= 374.661865 SRNG= 479.005615
F72111 SCENARIO 1 WITH COLLISION AVGIDANCE MANEUVER
TIME= 17 RNG= 733.958740 SRNG= 988.83670
TIME= ##### 18 RNG= 297.052979 SRNG= 786.444550
TIME= 19 RNG= 374.661865 SRNG= 793.720215
                                                                                                                                                                                                                                                                       ALTD= -292.203857
ALTD= -295.329102
ALTD= -298.454346
                                                                                                                                                                                                                                                                      ALTD= -662.648193
ALTD= -694.791260
ALTD= -699.728760
 ### SCENARIC 2 ###

FT2111 SCENARIO 2 WITHOUT MANELVEP (CONTINUED LEVEL)

TIME= 19 RNG= 484.288330 SRNG= 514.309570

TIME= #### 20 RNG= 426.200439 SRNG= 443.175293

TIME= 21 RNG= 721.542236 SHNG= 724.911621

FT2111 SCENARIO 2 WITH COLLISION AVOIDANCE MANEUVER

TIME= 19 RNG= 484.288330 SRNG= 1166.69531

TIME= #### 20 RNG= 426.200439 SRNG= 1091.97485

TIME= 21 RNG= 721.542236 SRNG= 1184.10522
                                                                                                                                                                                                                                                                      ALTD= -173.145493
ALTD= -121.480499
ALTD= -69.8104858
                                                                                                                                                                                                                                                                      ALTD= -1061.43457
ALTD= -1005.36719
ALTD= -938.872803
*** SCENARIO 3 ***
 ### SCENARIO 4 ###

FT2221 SCENARIO 2 WITHOUT MANEUVER (CONTINUED DECENT)

TIME= 17 RNG= 9742.52344 SRNG= 9767.73437

TIME= 18 RNG= 9743.69531 SRNG= 9784.55078

TIME= 19 RNG= 9765.95703 SRNG= 9784.55078

FT2221 SCENARIO 2 WITH CCLLISION AVOIDANCE MANEUVER

TIME= ### 18 RNG= 9742.52344 SRNG= 9884.32031

TIME= ### 19 RNG= 9743.69531 SRNG= 9884.32031

TIME= 19 RNG= 9765.95703 SRNG= 9899.80078
                                                                                                                                                                                                                                                                      ALTD= 701.328369
ALTD= 652.132568
ALTO= 602.939453
                                                                                                                                                                                                                                                                       ALTD=
                                                                                                                                                                                                                                                                                                    1695.32789
                                                                                                                                                                                                                                                                       AL TO=
                                                                                                                                                                                                                                                                                                     1622.38550
### SCENARIO 5 ###

FT2221 SCENARIO 3 #ITHOUT MANEUVER (CONTINUEO LEVEL)

TIME: 17 RNG: 1834.38843 SRNG: 1836.96973

TIME: ### 18 RNG: 922.626953 SRNG: 926.148926

TIME: 19 RNG: 1214.73364 SRNG: 1216.53809

FT2221 SCENARIO 3 #ITH COLLISION AVOIDANCE MANEUVER

TIME: 17 RNG: 1834.38843 SRNG: 1883.94629

TIME: ### 18 RNG: 922.626953 SRNG: 1016.03711

TIME: ### 18 RNG: 1214.73364 SRNG: 1285.82690
                                                                                                                                                                                                                                                                      ALTD= -97.3554993
ALTD= -80.6923981
ALTO= -66.2470856
                                                                                                                                                                                                                                                                      ALTD= -429.272461
ALTD= -425.547852
ALTD= -421.632568
### SCENARIO 6 ###

FT2221 SCENARIO 4 #ITHOUT MANEUVER (CONTINUED DESCENT UNTIL LEVEL OFF)

TIME: 25 RNG: 5458.90625 SRNG: 5458.99619 ALTD: 30.5819855

TIME: #### 26 RNG: 5423.91016 SRNG: 5423.99609 ALTD: 30.5819855

TIME: 27 RNG: 5443.53516 SRNG: 5443.62109 ALTD: 30.5819855

NOTE: REQUIRED LEVEL OFF AT 5000 FEET.

FT2221 SCENARIO 4 WITH COLLISION AVOIDANCE MANEUVER

TIME: 25 RNG: 5458.90625 SRNG: 5495.92187 ALTD: 636.788618

TIME: #### 26 RNG: 5423.91019 SRNG: 5454.98828 ALTD: 581.476318

TIME: 27 RNG: 5443.53516 SRNG: 5469.09375 ALTD: 528.148193
```

```
*** SCENARIO 7 ***
                                           ALTO=
                                                                                                                                                                                                                  745-062256
                                                                                                                                                                                                                  703.394287
                                                                                                                                                                                                 ALTO=
                                                                                                                                                                                                                   1524.83569
                                                                                                                                                                                                 ALTO=
                                                                                                                                                                                                                  1527.98413
                                            *** SCENARIO 8 ***
                                           ### $CENARIO 8 ###

FT2312 SCENARIG 2 WITHOUT MANELVER (CONTINUED DESCENT)

TIME= #### 36 RNG= 6339.27734 SRNG= 6347.21094

TIME= #### 36 RNG= 6313.62891 SRNG= 6325.222266

TIME= 37 RNG= 6394.01562 SRNG= 6409.71094

FT2312 SCENARIO 2 WITH CCLLISION AVOIDANCE MANEUVER

TIME= 35 RNG= 6339.27734 SRNG= 6407.53906

TIME= #### 36 RNG= 6313.62891 SRNG= 6499.51562

TIME= 37 RNG= 0394.01502 SRNG= 6495.19922
                                                                                                                                                                                                 ALTD= -317.278076
ALTD= -382.802979
ALTD= -448.326172
                                                                                                                                                                                                                  1291.66089
1215.22266
1142.00952
                                                                                                                                                                                                 ALTD=
                                                                                                                                                                                                 ALTD=
                                           ### SCENARIO 9 ###
F72312 SCENARIO 3 #ITHOUT MANELVER (CONTINUED LEVEL)
F1M= 17 RNG= 452.436523 SRNG= 457.608643
TIME= #### 18 RNG= 402.323730 SRNG= 402.753906
F1MG= 651.105957 SRNG= 651.462061
                                                                                                                                                                                                 ALTD= -68.6063995
ALTD= -18.6094055
ALTO= 31.3886871
                                           TIME= ### 18 RNG= 402.323730 SRNG= 402.733908 TIME= 19 RNG= 651.105957 SRNG= 651.862061 FT2312 SCENARIO 3 WITH COLLISICN AVOIDANCE MANEUVER TIME= 16 RNG= 702.217773 SRNG= 891.712402 TIME= ### 17 RNG= 452.436523 SRNG= 834.801025 TIME= 18 RNG= 402.323730 SRNG= 929.584717
                                                                                                                                                                                                  ALTD=
                                                                                                                                                                                                                   549.582764
                                                                                                                                                                                                  ALTD=
                                                                                                                                                                                                                  701.565430
838.011475
                                           *** SCENARIO 10 ***
                                           102.154572
93.3535614
84.5521698
                                                                                                                                                                                                 ALTD=
                                                                                                                                                                                                  ALTD=
                                                                                                                                                                                                                  781 • 343506
776 • 577393
                                                                                                                                                                                                  ALTD=
                                                                                                                                                                                                  ALTO=
                                                                                                                                                                                                 ALTO=
                                                                                                                                                                                                                   770.606934
                                          ### SCENARIO 11 ###

FT2422 SCENARIO 1 #ITHOUT MANEUVER (CCNTINUED LEVEL)

TIME= 16 RNG= 9026.06641 SRNG= 9052.21875

TIME= #### 17 RNG= 9008.75391 SRNG= 9040.92578

FT2422 SCENARIO 1 #ITH COLLISION AVOIDANCE MANEUVER

TIME= 16 RNG= 9026.06641 SRNG= 9029.12891

TIME= #### 17 RNG= 9008.75391 SRNG= 9029.84766

TIME= 18 RNG= 9020.71875 SRNG= 9020.83203
                                                                                                                                                                                                 ALTD=
ALTD=
ALTO=
                                                                                                                                                                                                                  687.607178
645.810547
604.150146
                                                                                                                                                                                                ALTO=
                                                                                                                                                                                                                  235.150299
                                                                                                                                                                                                 ALTD=
                                                                                                                                                                                                                   44.9022980
                                          ### SCENARIO 12 ###

FT2422 SCENARIO 2 WITHOUT MANEUVER (CONTINUED DESCENT)

TIME= 14 RNG= 2440.52026 SRNG= 2496.77344

TIME= #### 15 RNG= 2450.74170 SRNG= 2494.26562

TIME= 16 RNG= 2536.18701 SRNG= 2574.82666

FT2422 SCENARIO 2 WITH CCLLISION AVOIDANCE MANEUVER

TIME= 13 RNG= 2469.16602 SRNG= 2560.50244

TIME= #### 14 RNG= 2449.52026 SRNG= 2545.70465
```

\*\*\*\*\*\*

FILE: RESULTS RESULTS AL TIME: 26 RNG= 1073.11841 SHNG= 1077.724
TIME: \*\*\*\* 27 RNG= 812.431641 SRNG= 818.508\*
TIME: 28 RNG= 1014.82642 SRNG= 1019.69\*
NDTE: REQUIRED LEVEL DFF AT 5000 FEET.
FT2422 SCENARIO 3 #ITH COLLISION AVOIDANCE MANEUVER
TIME: 26 RNG= 1073.11841 SRNG= 1451.70\*
TIME: \*\*\*\* 27 RNG= 812.431641 SRNG= 1253.88\*
TIME: \*\*\*\* 27 RNG= 812.431641 SRNG= 1378.68\* SHNG= 1077.72632 SRNG= 818.508545 SRNG= 1019.69800 ALTO= 99.5546975 ALTO = 99.5546875 ALTO = 99.5546875 SRNG= 1451.70239 SRNG= 1253.86110 SRNG= 1374.68750 977.679687 955.077981 927.304687 AL TO= ALTD= 1014-82642 24 2NG= ### SCENARIO 14 ####

FT3111 SCENARIO 1 WITHOUT MANEUVER
FT3111 SCENARIO 1 WITHOUT MANEUVER
TIME= 27 RNG= 1676.39966 SRNG= 1686.20435
TIME= #### 28 HNG= 1576.77344 SRNG= 1585.81201
TIME= 29 RNG= 1740.63281 SRNG= 1747.66040
FT3111 SCENARIO 1 WITH COLLISION ADVOIDANCE MANEUVER
TIME= 27 RNG= 1676.39966 SRNG= 1878.76562
TIME= #### 28 HNG= 1576.77344 SKNG= 1796.71899
TIME= 29 RNG= 1740.63281 SRNG= 1944.79761 ALTC= -181.576889 ALTC= -169.076385 ALTC= -156.575699 AL TO= 848.201172 ALTD= 861.385986 867.430908 AL TO = ### SCENARIO 15 ### FT3111 SCENARIO 2 WITHOUT MANEUVER TIME= 25 RNG= 5309.11329 TIME= #### 26 RNG= 5236.97656 TIME= 27 RNG= 5273.07812 (CONTINUED LEVEL)
SRNG= 5316.22266
SRNG= 5243.30859
SRNG= 5279.14453 ALTD= ALTD= 274.855469 TIME= 27 RNG= 5273.07812 SRNG= 5279.14453 FT3111 SCENARIO 2 WITH COLLISION AVOIDANCE MANEUVER TIME= 25 RNG= 5309.11323 SRNG= 5614.01172 TIME= \*\*\*\* 26 RNG= 5236.97656 SRNG= 5559.34765 TIME= 27 RNG= 5273.07812 SRNG= 5592.95703 ALTD= -1824.95288 ALTD= -1865.59351 ALTD= -1864.35718 \*\*\* SCENARIO 16 \*\*\*

FT3111 SCENARIO 3 WITHOUT MANEUVER (CONTINUED DESCENT)

TIME= 33 RNG= 5887.03516 SRNG= 5887.68359

TIME= \*\*\*\* 34 RNG= 5858.81641 SRNG= 5870.55859

TIME= 35 RNG= 5867.98047 SRNG= 5870.55859

FT3111 SCENARIO 3 WITH CDLLISION AVOIDANCE MANEUVER

TIME= 34 RNG= 5858.81641 SRNG= 6059.53125

TIME= \*\*\*\* 35 RNG= 5867.78047 SRNG= 6065.91406 ALTD= -87.4238129 ALTD= -130.689819 ALTD= -173.951935 ALTO= ALTO= 1546.67285 1454.20996 1344.65039 AL TO= ### SCENARIO 17 ###

FT3111 SCENARIO 4 WITHOUT MANEUVER (CONTINUED LEVEL)

TIME= 20 RNG= 293.120117 SRNG= 461.708984

TIME= ### 21 RNG= 93.8893565 SRNG= 419.052734

TIME= 22 RNG= 349.162842 SRNG= 576.496338

FT3111 SCENARIO 4 WITH COLLISION AVDIDANCE MANEUVER

TIME= 18 RNG= 939.338623 SRNG= 1982.65405

TIME= #### 19 RNG= 604.302246 SRNG= 1955.93652

TIME= 20 RNG= 293.126117 SRNG= 1987.56006 ALTD= ALTD= ALTD= 356.729492 408.399414 458.730225 AL TO = 1746-01270 1860.24390 ALTD= \*\*\* SCENARIO 18 \*\*\* ALTD= -41.6446533 ALTD= -57.6353607 ALTD= -73.6258698 ALTD= 1105.67139 ALTD= \*\*\* SCENARIO 19 \*\*\*
FT3221 SCENARIG 2 WITHOUT MANELVER (CONTINUED DESCENT UNTIL LEVEL CFF)
TIME: 28 RNG= 1702.82986 SRNG= 1703.42139 ALTD= 44.9257965

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1.22223

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FILE: RESULTS RESULTS AL 29 RNG= 1626.97389 SANG= 1627.59375 30 RNG= 1730.42383 SRNG= 1731.00659 LEARANCE REQUIRED LEVEL OFF AT 5000 FEET. SCENARIO 2 WITH COLLISION AVOIDANCE MANEUVER 28 RNG= 1702.82886 SRNG= 1870.43311 100.20142 30 RNG= 1730.42383 SRNG= 1894.2334.0 ALTD= 44.9257965 TIME = + + + + TIME = NOTE: CLEARANCE FT3221 SCENANT TIME= 773.082569 770.508057 770.554687 ALTC= ALTD= \*\*\* TIME -### SCENARIO 20 ###

FT3312 SCENARIO 1 WITHOUT MANEUVER (CONTINUED DESCENT)

TIME= 20 RNG= 631.507812 SRNG= 836.599854

TIME= #### 21 RNG= 365.420654 SRNG= 670.811523

TIME= 22 RNG= 473.935791 SRNG= 746.197266

FT3312 SCENARIO 1 WITH CCLLISION AVOIDANCE MANEUVER

TIME= 20 RNG= 631.507812 SRNG= 1016.96655

TIME= #### 21 RNG= 365.420654 SRNG= 894.823730

TIME= 22 RNG= 473.935791 SRNG= 957.734863 ALTD= -548.723389 ALTD= -562.543945 ALTD= -576.363770 ALTD= -797.131936 ALTD= -816.809082 ALTD= -832.250488 ### SCENARIG 21 ###

FT3312 SCENARIG 2 #ITHOUT MANELVER (CONTINUED DESCENT)

TIME= 25 RNG= 1350.83179 SRNG= 1368.52734

TIME= #### 26 RNG= 1126.99365 SRNG= 1152.04785

TIME= 27 RNG= 1364.48242 SRNG= 1388.76123

FT3312 SCENARIO 2 #ITH COLLISICN AVOIDANCE MANEUVER

TIME= 25 RNG= 1350.33179 SRNG= 1492.54395

TIME= #### 26 RNG= 1126.99365 SRNG= 1292.30311

TIME= 27 RNG= 1364.48242 SRNG= 1469.71704 ALTD= -219.366943 ALTD= -238.956940 ALTD= -258.546975 ALTO= ALTO= 634.777100 611.718994 597.867187 ### SCENARIO 22 ###

FT3312 SCENARIO 3 #ITHOUT MANELVER (CONTINUED DESCENT)

TIME= 16 RNG= 3618.11963 SRNG= 3817.74561 ALTD=

TIME= #### 17 RNG= 3603.09229 SRNG= 3789.77905 ALTD=

TIME= #### 18 RNG= 3706.17114 SRNG= 3875.68628 ALTD=

FT3312 SCENARIO 2 WITH COLLISION AVOIDANCE MANEUVER

TIME= 16 RNG= 3618.11963 SRNG= 3877.70483 ALTD=

TIME= #### 17 RNG= 3603.09229 SRNG= 3850.990161 ALTD=

TIME= #### 17 RNG= 3603.09229 SRNG= 3850.990161 ALTD=

TIME= #### 17 RNG= 3706.17114 SRNG= 3936.22705 ALTD=

NOTE: PLUTS DECREASED THEIR RATE OF DESCENT PRIOR TO THE RA USING INFURMATION PROVIDED BY THE TRAFFIC ADVISORY DISPLAY. 1218.35669 1133.58506 1394.92163 1359.10620 ### SCENARIO 23 ###

FT3422 SCENARIO 1 WITHOUT MANELVER (CONTINUED LEVEL)

TIME= 14 RNG= 9094.44922 SRNG= 9121.75000 ALTD= 705

TIME= 15 RNG= 9071.60937 SRNG= 9095.84375 ALTD= 662

FT3422 SCENARIO 1 WITH COLLISION AVOIDANCE WANEUVER

TIME= 14 RNG= 9094.444922 SRNG= 9101.55859 ALTD= 359

TIME= #### 15 RNG= 9071.60937 SRNG= 9075.45312 ALTD= 264

TIME= 16 RNG= 9071.60937 SRNG= 9075.45312 ALTD= 264

TIME= 16 RNG= 9075.67578 SRNG= 9077.23437 ALTD= 168

NUTE: THIS IS A CASE #HERE THE COLLISION AVOIDANCE MANEUVER DECREASED

THE VERTICAL SEPARATION BETWEEN THE TWO AIRCRAFT AT CPA. THE

TCAS COMMAND WAS \*\*DESCEND TO CROSS\*\*. ALTD= 705.198975 ALTD= 663.525146 621.861328 359.648193 ALTD= 264-173584 ALTD= 168-158188 ### SCENARIO 24 ###

FT3422 SCENARIO 2 WITHOUT MANEUVER (CONTINUED LEVEL)

TIME: 3 RNG= 1404.76587 SRNG= 1496.46289

TIME: #### 4 RNG= 1387.08325 SRNG= 1482.11768

TIME: 5 RNG= 1389.24854 SRNG= 1486.40771 ALTU= -515.785156 ALTU= -522.182373 ALTU= -528.580566 TIME: 5 RNG: 1387:48325 SRNG: 1486:40771 AL
FT3422 SCENAHIO 2 WITH COLLISION AVOIDANCE MANEUVER
TIME: 3 RNG: 1404:76587 SRNG: 1503:35937 AL
TIME: \*\*\* 4 RNG: 1387:08325 SRNG: 1495:76074 AL
TIME: 5 RNG: 1389:24854 SRNG: 1510:01587 AL
NOTE: PILOT DESCENDED AND LEVELED OFF AT 7000 FEET JUST PRIOR ALTD= -535.464844 ALTD= -559.733398 ALTD= -591.724365 DR TO RA.

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### FILE: PESULTS RESULTS AT

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CONFLICTING AIRCRAFT WAS AT 7500 FEET AND WAS NOT A THREAT UNTIL THE TCAS AIRCRAFT LEVELED OFF.

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### SCENARIO 25 ###

FT3422 SCENARIO 3 #ITHOUT MANELVER (CONTINUED DESCENT UNTIL LEVEL OFF)

T1ME= 21 RNG= 2290.30395 SRNG= 2301.83911 ALTD= 127.523392

T1ME= #### 22 RNG= 2112.44629 SRNG= 2116.29175 ALTD= 127.523392

T1ME= 21 RNG= 2187.60522 SRNG= 2191.31885 ALTD= 127.523392

NOTE: CLEARANCE REQUIRED LEVEL CFF AT 5000 FET

FT3422 SCENARIO 3 #ITH COLLISION AVOIDANCE MANEUVER

T1ME= 21 RNG= 2298.30396 SRNG= 2476.17041 ALTD= 921.531006

TIME= #### 22 RNG= 2112.44627 SRNG= 2300.98584 ALTD= 912.199463

TIME= 23 RNG= 2187.60522 SRNG= 2365.66724 ALTD= 900.425537
*** SCENARIO 26 ***

FT4111 SCENARIO 1 WITHOUT MANEUVEH (CONTINUED LEVEL)

TIME= 23 RNG= 6659.12107 SRNG= 6672.10156

TIME= 24 RNG= 6616.39062 SRNG= 6631.96094

FT4111 SCENARIO 1 WITH COLLISION AVOIDANCE MANEUVER

TIME= 23 RNG= 6659.12109 SHNG= 6667.66406

TIME= **** 24 RNG= 6616.39062 SRNG= 6629.79297

TIME= 25 RNG= 66623.61323 SRNG= 6642.64453
                                                                                                                                                                                                          ALTD=
ALTO=
ALTO=
                                                                                                                                                                                                                                 416.031250
374.364990
332.689453
                                                                                                                                                                                                          ALTD= -337.439209
ALTD= -421.365234
ALTD= -502.496094
ALTO= -112.843079
ALTG= -195.378479
ALTO= -258.046631
                                                                                                                                                                                                          ALTD =
ALTD =
                                                                                                                                                                                                                                1673.83472
1649.77319
                                                                                                                                                                                                           ALTD=
                                                                                                                                                                                                                                 1620.51270
296.363037
297.710937
                                                                                                                                                                                                          ALTD=
                                                                                                                                                                                                           ALTD=
                                                                                                                                                                                                          ALTD= -1531.00000
                                                                                                                                                                                                          ALTD= -1519.30469
ALTD= -1502.09546
### SCENARIO 29 ###

FT4221 SCENARIO 2 WITHOUT MANELVER (CONTINUED DESCENT UNTIL LEVEL)

TIME= 69 RNG= 1254-30127 SRNG= 1593-25537 ALTD= 982-441895

TIME= #### 70 RNG= 1245-79932 SRNG= 1586-57080 ALTD= 982-441895

TIME= 71 RNG= 1361-92285 SRNG= 1679-29297 ALTD= 982-441895

NOTE: REQUIRED LEVEL OFF AT 2500 FEET

FT4221 SCENARIO 2 WITH COLLISION AVOIDANCE MANEUVER

TIME= 69 RNG= 1254-30127 SRNG= 1610-98657 ALTD= 1010-94409

TIME= #### 70 RNG= 1245-79932 SRNG= 1600-17187 ALTD= 1010-94409

TIME= #### 70 RNG= 1245-79932 SRNG= 1600-17187 ALTD= 1004-25879

TIME= 71 RNG= 1361-92285 SRNG= 1687-73364 ALTD= 996-801270
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TIME=	19 RNG=	1434.66919	SRNG= 1500.73755	ALTU= -440.384766
### SCENARIO . FT4221 SCENARIO . TIME #### TIME #### TIME #### NOTE: REQUIAES FT4221 SCENARIO . TIME ####################################	10 4 #1 23 RNG= 24 RNG= 25 RNG= ) LEVEL	THOUT MANELVER ( 4028-14673 3970-27441 3875-35352 JFF AT 5000 FEET TH COLLISION AVO 4028-14673 3870-27441 J875-35352		TIL LEVEL OFF) ALTD= 44.5233917 ALTD= 44.5233917 ALTO= 44.5233917  ALTD= -538.386719 ALTD= -545.799062 ALTD= -546.800791
### SCENARIO . FT4312 SCENAR TIME= #### TIME= #### TIME= FT4312 SCENAR TIME= TIME= #### TIME= ####	25 HNG= 26 RNG= 27 RNG=	THOUT MANELVER (1 1231.13062 1131.76953 1457.74882 TH COLLISICN AVO 1231.13062 1131.76953 1457.73882	CONTINUED LEVEL) SRNG= 1245.50757 SRNG= 1147.39233 SRNG= 1469.95069 IDANCE MANEUVER SRNG= 1399.55103 SRNG= 1306.66870 SRNG= 1594.83350	ALTO= 188.699188 ALTO= 188.699188 ALTO= 198.699188 ALTO= 663.523193 ALTO= 663.055176 ALTO= 646.796875
### SCENARIO B FT4312 SCENARI TIME= #### TIME= #### TIME= #### FT4312 SCENARI TIME= #### TIME= #### TIME= ####	10 2 WI 23 RNG= 24 RNG= 25 RNG=	FHOUT MANELVER (18485.44437 8478.441797 8982.05469 FH COLLISION AVO 8885.48437 8878.41797 8962.05469	CONTINUED DESCENT! SRNG= 8855.65016 SRNG= 8875.54297 SRNG= 8982.13672 IDANCE MANEUVER SRNG= 9026.69141 SRNG= 9021.94922 SRNG= 9125.16016	ALTD= 55.9360657 ALTD= 47.1050586 ALTD= 39.4355621 ALTD= 1590.39526 ALTD= 1602.39429 ALTD= 1615.39478
TIME=	10 3 #11 15 HNG= 16 RNG= 17 RNG=	THOUT MANELVER (0 2138.14185 2012.51123 2028.63110 DFF AT 2000 FEET TH COLLISION AVO 2138.14185 2012.51123 2023.63110	CONTINUED DESCENT UN SRNG= 2224.70539 SRNG= 2104.31274 SRNG= 2119.73462 IDANCE MANEUVER SRNG= 2276.44540 SRNG= 2155.73413 SKNG= 2163.49219	TIL LEVEL)  ALTO= 614.761475  ALTO= 614.761475  ALTO= 614.761475  ALTO= 791.380859  ALTO= 772.650879  ALTO= 766.168457
### SCENARIO 3 FT4312 SCENAR TIME= TIME= #### FT4312 SCENAR TIME= FT4312 SCENAR TIME= TIME= #### TIME=	10 4 WI1 8 RNG= 9 RNG= 10 RNG=	THOUT MANELVER (0 3754-19922 3672-79761 3692-49487 TH COLLISION 4VO 3754-19922 3672-79761 3692-49487	CONTINUED LEVEL! SRNG= 3874.01636 SRNG= 3784.90576 SRNG= 3784.22266 IDANCE MANEUVER SKNG= 3858.14648 SRNG= 3858.14648 SRNG= 3880.61230	ALTD= 956.029053 ALTD= 914.368996 ALTD= 872.701172 ALTD= 1170.42749 ALTD= 1131.46265 ALTD= 1193.50008
### SCENARIO 3 FT4422 SCENAR TIME: #### TIME: #### TIME: #### TIME: #### TIME: #### TIME: ####	10 1 #11 15 RNG= 16 RNG= 17 RNG=	5129.67187 5130.41406 5152.21094	(CONTINUED LEVEL) SRNG= 5182.07422 SRNG= 5177.90625 SRNG= 5193.97266 IDANCE MANEUVER SRNG= 5299.38 281 SRNG= 5266.12500	ALTD= 742.058350 ALTD= 699.695068 ALTO= 657.339844 ALTO= 1176.95679 ALTD= 1195.32788

#### FILE: RESULTS RESULTS AL

TIME =	16	RNG=	5130.41406	SR NG =	5271.40875	ALTD=	1211.31250
*** SCENARIO	37 :	***					
			HOUT MANEUVE	R (CONTIN	(UED DESCENT)		
TIME =		RNG=	1032.34253	SRNG=	1251.84130	ALTD=	707.351318
TIME = ****		RNG=	1031.47510	SKNG=	1220.65894	ALTO=	652.739258
TIME=	17	HNG =	1358.36133	SRNG=	1484.67212	ALTD=	598.120361
FT4422 SCENA	015	2 WITH	+ COLLISION	AVOIDANCE	MANEUVER		
TIME=	15	RNG=	1032.84253	SRNG=	1485.07031	ALTD=	1067.08569
TIME= ++++	16	RNG =	1031.47510	SRNG=	1474.10303	ALTD=	1053.11011
TIME =	17	RNG=	1358.86133	SKNG=	1710.6530A	ALTD=	1039.14917
+++ SCENARIO	38 4	***					
FT4422 SCENAR	015	3 WITH	GUT MANELVE	R (CONTINU	ED LEVEL)		
TIME=	23	RNG=	518.891113	SRNG=	729.418701		-512.643799
T[ME= ++++		RNG=	293.148925	SRNG=	588.921631		-510.776367
TIME =		RNG=	328.109375	SRNG=	605.511230	ALTD=	-508.908691
			COLLISION				
TIME=	23	RNG=	511.66.611	SRNG=	930.103271		-771-909424
TIME= ++++		RNG=	293.148926	SRNG=	873.071777		-822.385742
TIME=	25	KNG=	328 • 109375	SRNG=	936.962402	ALTO =	-877.634766
*** SCENARIO 3							
	019	4 WITH	HOUT MANELVES	R (CONTINU	ED DESCENT)		
TIME=	25	RNG=	4856.83594	SRNG=	4862.81250	ALTD=	241.048752
T[ME = ****		RNG =	4923.99609	SRNG=	4829.73047	ALTD=	235.338440
TIME=		RNG=	4377.47656	SRNG=	4882•8789l	ALTO=	229.628937
			COLLISION				
TIME=		HNG=	4856.33594	SRNG=	4918.21094	ALTD=	774.585449
TIME - ***		RNG=	4823.99609	SRNG=	4885.31641	ALTD=	771.020465
TIME =	27	RNG=	4877.47656	SRNG=	4937.84375	ALTO=	769.768066
** SCENARIO 4							
			OUT MANEUVE		ED LEVEL)		
TIME=		RNG=	1400.62231	SRNG=	1401.94507	ALTD =	60.8944855
TIME= ++++		RNG=	1017.39844	SRNG=	1019.71825	ALTO=	60.8944855
TI4E=		RNG=	1084.52563	SRNG=	1036.23340	ALTD=	60.8944855
NOTE: CRE# WAS	LE	VELING	AT 5000 FE	T AR TA TE	IME.		
			COLLISION .				
TIME=		RNG=	1400.62231	SRNG=	1495.21434		-523.378662
TIME= \$\$\$\$		RNG=	1017.89844	SRNG=	1148.79028		-532.542969
TIME -	24	08.6-	1044 67641	SEMCT	1211.75546	AL TO-	-6A0 515625

80000 - 22202581 - 6000601 - 5000601 - 520

# APPENDIX C SUMMARY OF RA MANEUVER DATA

FILE: PLOT DATA AL	
A B C D E F G H	_
1 29 18 11 684.8 295.3 389.5 327.	
2 34 20 14 1005.4 121.5 883.9 6486 3 25 5 20 1026.9 1051.4 -24.5 -2.6	
4 32 14 14 1661.4 652.1 1009.3 118	
5 19 18 1 425.5 80.7 344.8 89.6 6 42 26 16 581.5 30.6 550.9 31.6	
7 27 14 13 1528.0 703.4 824.6 176. 8 51 36 15 1215.2 382.8 832.4 104.	
9 32 17 15 701.6 18.6 683.0 432	
10 66 30 36 776.6 93.4 683.2 188	
11 30 17 13 140.4 645.8 -505.4 -22	
12 35 14 21 673.4 463.9 229.5 51.5	
13 42 27 15 955.1 99.6 855.5 435	
14 46 28 18 861.4 169.1 692.3 210	
15 39 26 13 1865.5 257.5 1600.0 316	
16 52 35 17 1454.2 130.7 1323.5 185	
17 34 19 15 1860.2 408.4 1451.9 1536	
18 44 26 18 1105.7 57.6 1048.1 197	
19 45 29 16 770.5 44.9 725.6 172	
20 36 21 15 816.8 562.5 254.3 224.	• 0
21 41 26 15 611.7 239.0 372.7 130.	. 3
22 31 17 14 1359.1 1174.8 184.3 61.3	1
23 33 15 18 264.2 653.7 -389.5 -19.	
24 39 4 35 559.7 522.2 37.5 13.6	
25 43 22 21 912.2 127.5 784.7 184.	. 7
26 40 24 16 421.4 374.4 47.0 2.8	_
27 41 35 6 1648.8 185.4 1463.4 158.	
28 57 41 16 1519.3 297.7 1221.6 188	
29 86 70 16 1004.3 902.4 21.9 13.6	
30 34 18 16 453.8 105.5 348.3 68.5	
31 40 24 16 545.8 44.5 501.3 38.0	
32 42 26 16 653.1 188.7 464.4 1596 33 40 24 16 1602.9 47.2 1555.7 1436	
34 43 16 27 772.7 614.7 158.0 51.4 35 25 9 16 1181.5 914.4 267.1 73.7	
36 30 15 15 1195.3 699.7 495.6 88.2	
37 20 16 4 1053.1 652.7 400.4 253.	
38 44 24 20 822.4 510.8 311.6 284	
39 41 26 15 771.6 235.3 536.3 55.6	
40 40 25 15 532.5 60.9 471.6 129	
A SCENAR LO NUMBER	
8 = TIME FROM TA TO CPA FOR TCAS ALRCRAFT	
C = TIME FROM RA TO CPA FOR TCAS ALRCRAFT	
D = DIFFERENCE IN TIME BETWEEN TA AND RA	
F = ALTITUDE DIFFERENCE RETWEEN THE 2 AIRCRAFT AT CPA WITH TOAT	AS MANEUVER
F = ALTITUDE DIFFERENCE BETWEEN THE 2 AIRCRAFT AT CPA WITH NO.	MANEUVER
G = CIFFERENCE BETWEEN TCAS AND NO-TCAS ALTITUDE SEPARATION AT	r cpa
H = CIFFERENCE BETWEEN TCAS AND NO-TCAS SLANT RANGE AT CPA	

### APPENDIX D

## EXPERIMENTAL RA DISPLAYS

0. IVSI VERTICAL SPEED: 0 FPM

WARNING ARC: Red lights from -6000 FPM to +2000 FPM and/or green lights from +2000 FPM to

+3000 FPM.

REQUIRED RESPONSE: Climb

NOTE: The first presentation in each set of 14 was this example display. The following 13 were presented in a random order.

1. IVSI VERTICAL SPEED: 0 FPM

WARNING ARC: Red lights from -6000 FPM to +2000 FPM

and/or green lights from +2000 FPM to

+3000 FPM.

REQUIRED RESPONSE: Climb

2. IVSI VERTICAL SPEED: 0 FPM

WARNING ARC: Red lights from-6000 FPM to +1500 FPM

and/or green lights from +1500 FPM to

+2500 FPM.

REQUIRED RESPONSE: Climb

3. IVSI VERTICAL SPEED: 0 FPM

WARNING ARC: Red lights from -1500 FPM to +6000 FPM

and/or green lights from -2500 FPM to

-1500 FPM.

REQUIRED RESPONSE: Descend

4. IVSI VERTICAL SPEED: O FPM

WARNING ARC: Red lights from -2000 FPM to +6000 FPM

and/or green lights from -3000 FPM to

-2000 FPM.

REQUIRED RESPONSE: Descend

5. IVSI VERTICAL SPEED: -1500 FPM

WARNING ARC: Red lights from -6000 FPM to +1500 FPM

and/or green lights from +1500 FPM to

+2500 FPM.

REQUIRED RESPONSE: Climb

6. IVSI VERTICAL SPEED: +1500 FPM

WARNING ARC: Red lights from -1500 FPM to +6000 FPM

and/or green lights from -2500 FPM to

-1500 FPM.

REQUIRED RESPONSE: Descend

7. IVSI VERTICAL SPEED: -1500 fpm

WARNING ARC: Red lights from -6000 FPM to -200 FPM and

from +200 FPM to +6000 FPM and/or green

lights from -200 FPM to +200 FPM.

REQUIRED RESPONSE: Climb

8. IVSI VERTICAL SPEED: +1500 fpm

WARNING ARC: Red lights from -6000 FPM to -200 FPM and from +200 FPM to +6000 FPM and/or green

lights from -200 FPM to +200 FPM.

REQUIRED RESPONSE: Descend

9. IVSI VERTICAL SPEED: +1000 FPM

WARNING ARC: Red lights from -6000 FPM to +2000 FPM

and/or green lights from +2000 FPM to

+3000 FPM.

REQUIRED RESPONSE: Climb

10. IVSI VERTICAL SPEED: -1000 fpm

WARNING ARC: Red lights from -2000 FPM to +6000 FPM

and/or green lights from -3000 FPM to

-2000 FPM.

REQUIRED RESPONSE: Descend

11. IVSI VERTICAL SPEED: +2000 FPM

WARNING ARC: Red lights from +1000 FPM to +6000 FPM

and/or green lights from 0 FPM to +1000

FPM.

REOUIRED RESPONSE: Descend

12. IVSI VERTICAL SPEED: -2000 FPM

WARNING ARC: Red lights from -6000 FPM to -1000 FPM

and/or green lights from -1000 FPM to

O FPM.

REQUIRED RESPONSE: Climb

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13. THREE PREVENTATIVE RA DISPLAYS

13A. IVSI VERTICAL SPEED: +2000 FPM

WARNING ARC: Red lights from -6000 FPM to 0 FPM.

REQUIRED RESPONSE: No action required

13B. IVSI VERTICAL SPEED: -2000 FPM

WARNING ARC: Red lights from 0 FPM to +6000 FPM.

REQUIRED RESPONSE: No action required

13C. IVSI VERTICAL SPEED: 0 FPM

WARNING ARC: Red lights from +200 FPM to +6000 FPM

and from -200 FPM to -6000 FPM.

REQUIRED RESPONSE: No action required

## LIST OF REFERENCES

- Office of the Federal Register, <u>Federal Register</u>, Vol. 52, No. 165, pp. 32268-32272, Government Printing Office, Washington, D.C., 26 August 1987.
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