



And a second second

To be published in Proceedings of the Materials Research

Society Symposium on Science and Technology

of Microfabrication

Boston, MA December 1986

065

AD-A175

JII .

ANISOTROPIC AND SELECTIVE REACTIVE ION ETCHING

OF SIC IN CHF3 AND OXYGEN PLASMA

W-S. Pan and A.J. Steckl

Center for Integrated Electronics Rensselaer Polytechnic Institute Troy, N.Y. 12181

ABSTRACT

The use of CHF_3 plus oxygen plasma to achieve selective and anisotropic patterning of SiC thin films in the reactive ion etching (RIE) mode is reported. Experiments were performed using various levels of oxygen percentage (from zero to 90%), pressure (from 20 to 300 mTorr) and power (from 100W to 350W). Anisotropic etching of SiC with a vertical-to-lateral etch ratio in excess of 8:1 was measured for a $CHF_3 + 75\%0_2$ mixture at 20mT pressure and 200W RF power. Under these conditions, the SiC etch rate was measured to be 400 A/min and the selectivity over Si was approximately 2.2:1. The effect of the cathode DC potential and emission intensity of various species in the plasma on the SiC and Si etch rates is considered.

1

OFFICE OF NAVAL RESEARCH Contract NOO014-81-X-0605

Task No. NR 056-768

SECURITY CLASSIF. CATION OF THIS PAGE	
REPORT DOCU	MENTATION PAGE
Ta REPORT SECURITY CLASSIFICATION UNCLASSIFICATION	I'D RESTRUCTIVE MAARKINGS
24 SECURITY CLASSIFICATION AUTHORITY	3 DISTRIBUTION/AVAILABILITY OF REPORT
26 DECLASSIFICATION / DOWING RADING SCHEDULE	Approved for public release; distin- ution unlimited
4 PERFORMING ORGANIZATION REPORT NUMBER(S)	5. MONITORING ORGANIZATION REPORT NUMBER(S)
RPI/CIE/TR-15	
is Name OF PERFORMING ORGANIZATION 60 OFFICE SYMBOL Center for Integrated Electronids (M applicable) Renaselser Polytechnic Institute	7. NAME OF MONITORING ORGANIZATION & Office of Mayal Research
6c. ADORESS (Crty. State, and ZIP Code)	7b ADDRESS (Crty, State, and ZIP Code)
Troy, #T 12181	Chemistry Frogram Arlington, Virginia 22217
B NAME OF FUNDING/SPONSORING BD OFFICE SYMBOL ORGANIZATION (M ADDIACADAR)	9 PROCUREMENT INSTRUMENT IDENTIFICATION NUMBER
Office of Maval Research	Contract N 00014-81-K-0605
ec ADDRESS (Crty. State, and 2/P Code) Chemistry Program Arlington, Virginia 22217	10 SOURCE OF FUNDING NUMBERS PROGRAM PROJECT TASK WORK UNIT ELEMENT NO NO NO NO
 Init (Include Security Classification) Anisotropic and Selective Reactive Ion Btching 	of SiC in CHF3 and Orygen Plasma
12 PERSONAL AUTHOR(S) Hen-Sen Pan and Andrew J. Ste	sek]
13a TYPE OF REPORT 13b TIME COVERED Lateria Technical FROM TO	14 DATE OF REPORT (Yeer, Month, Day) 15. PAGE COUNT December 1986
16 SUPPLEMENTARY NOTATICN Prepared for publication in Proceedinga of the	Materiale Research Society
17 COSATI CODES 18 SUBJECT TERMS (Continue on reverse if necessary and identify by block number)
FIELD GROUP Sub-GROUP Silicon carb	ide selectivity i etching anisotropy
19 ABSTRACT (Continue on reverse if necessary and identify by block r The use of CHP3 plus oxygen plasma to achi SiC thin films in the reactive ion etching (RIB)	umber) eve selective and anisotropic patterning of) mode is reported. Experiments were
performed using various levels of orygen percen 20 to 300 mTorr) and power (from 100 W to 350 W	tage (from zero to 902), pressure (from). Anisotropic etching of SiC with a
vertical-to-lateral etch ratio in excess of 8:1 20mT pressure and 200 W RF power. Under these	. was measured for CHP3 + 75% O2 mixture at conditions, the SiC etch rate was measured to
be 400 A/min and the selectivity over S1 was ap DC potential and emission intensity of various rates is considered.	proximately 2.2:1. The effect of the cathode apecies in the plasma on the SiC and Si etch
20 DISTRIBUTION / AVAMABILITY OF ABSTRACT ULUNCUASSIFIED/UNUMITED ED SAME AS RPT DIC USERS	21 ABSTRACT SECURITY CLASSIFICATION unclassified
228 HAME OF RESPONSELE INDIVIDUAL Dr. David L. Helson	22b TELEPHONE (Include Area Code) 22c DEFICE 5YMBOL (202)696-4410
DD FORM 1473, 84 Max 83 APR edition may be used ur All other editions are of	thile arbauted SECURITY CLASSIFICATION OF THIS PAGE

AMISOTROPIC AND SELECTIVE REACTIVE ION ETCHING Prepared for Publication in the Proceedings Rensselaer Polytechnic Institute Center for Integrated Electronics Troy, NY 12181 OF SiC in CHP3 and OXYGEN PLASMA of the Materials Research Society V -8. Pan and A.J. Steckl TECHNICAL REPORT No.15 h

Reproduction in whole or in part is permitted for any purpose of the United States Government.

This document has been approved for public release and sale; its distribution is unlimited.

211、1911年の日本のための日期によるななどに行ったからため間でなるのがにはなられたからがないない。

unclassified

I. INTRODUCTION

Silicon carbide (SiC) has become a more attractive semiconductor material in recent years due to its wide band gap, high temperature stability, high breakdown electric field and high electron saturation velocity [1]. Various microelectronic applications for SiC have been reported, including light emitting diodes [2], high temperature transistors [3], dielectric isolation [4], heterojunction bipolar [5] and MOS transistors [6] As the dimensions of VLSI devices are entering the sub-micron region, a selective and anisotropic etching process is essential in IC fabrication. In a previous publication [7], we have reported that SiC can be etched by RIE with fluorinated gases such as CF_4/O_2 , SF_6/He , SF_6/O_2 . The SiC etch rate was determined to be predominantly controlled by ion bombardment. This is in contrast to the etching of Si under the same conditions, where the fluorine species concentration was the rate limiting step. Our previous work indicated that useful SiC etch rates (300-600 A/min) can be achieved with a variety of fluorinated gas mixtures.

In all previous cases examined, the SiC/Si etch rate ratio was considerably smaller than unity. However, in certain device applications one needs to etch the SiC layer selectively with respect to the Si substrate. In this paper, we report the results of our investigation to obtain a SiC/Si plasma etching rate ratio greater than one.

II. EXPERIMENTAL CONDITIONS

SiC thin films were deposited by RF (13.56MHz) sputtering

2

William Control of the Control of th

Μ

les

AVOIL BLOIDE

Special

Dist

silicon substrates in a planar system (Veeco). A hotonto pressed stoichiometric (iC composite target cathode (99.7% purity) was used at an RF power of 200W. After deposition, the films were furnace annealed at 1100°C in a nitrogen ambient for 30 minutes. N-type (4-6 ohm-cm) (100) Si wafers were used to determine the Si etch rate and oxidized silicon substrates (in steam at 1100°C) were used for SiO_2 etching. The etching experiments were carried out in a parallel plate reactor (Plasma Therm PK1241) equipped with a computer-controlled grating monochromator for measuring optical emission within the plasma. Emission spectra in the wavelength range between 200 and 800 nm were monitored through a quartz window placed on the sidewall of The DC self-bias of the RF electrode was also the chamber. monitored. The base pressure of system was less than 2.0×10^{-5} Torr. To determine the etch rate in various ambients, Al was used as a thin film mask since it is suitable for both low and high percentage of oxygen. The Al mask was subsequently removed by wet etching for step height determination by profilometer (Dektak). Samples with deeply etched patterns were used to observe the anisotropic etching phenomena by scanning electron microscopy (SEM : Nanometrics Cwickscan II). Auger electron spectroscopy (AES) was used to obtain composition versus depth profiles of both pre- and post- plasma etched SiC samples.

III. RESULTS

The etch rates were determined as a function of oxygen percentage of in $CHF_3 + 0_2$ mixtures, RF power and pressure. In Fig. la, the SiC etch rate is shown as a function of oxygen

percentage (from 0% to 90%) in the CHF_3/O_2 mixture at a pressure of 20mTorr and a total flow rate of 20sccm. In Fig. 1b are shown the corresponding DC self-bias and the relative intensity of fluorine [F], hydrogen [H] and oxygen [O] emission at 703.7, 487 and 780 nm, respectively. The etch rates of Si and SiO_2 are found to be lower than SiC when the percentage of oxygen is higher than 35% and the etch rate of SiC reaches the maximum value, 420 A/min, at a level of 65% 02. Further increases in the oxygen percentage result in a decrease in the SiC etch rate. The Si etch rate reaches a plateau of approximately 300 A/min at oxygen percentages between 10% and 50%. For oxygen concentrations higher than 50%, the etch rate of Si decreases rapidly. The highest SiC to Si etch rate ratio is 2.2 at 75% 02, where the etch rates of SiC and Si are 400 A/min and 180 A/min, respectively. Fixing the oxygen composition at 75%, the pressure and power are varied to optimize the etching ratio. In Fig. 2 (a , b) the etch rate versus pressure (from 20 mT to 300 mT) is shown along with the DC self-bias and the emission line intensity of [F], [H] and [O] for an RF power of 200 W and a flow rate of 20 sccm. The oxygen and fluorine intensities at first increased rapidly with pressure (from 50 to 100 mTorr) and then tended to decrease slightly for higher pressure. The DC self-bias decreased monotonically with increasing pressure . While the etch rate of Si tended to follow the fluorine intensity , the etch rate of SiC appears to be determined by a combination of the DC bias and [O] intensity.

In Fig. 3 (a, b) the etch rate versus power is shown along with the corresponding DC self-bias and the emission intensity of

[F], [H] and [O] for the same 75% oxygen content and flow rate of 20 sccm but at a fixed pressure of 20 mT. The etch rate ratio of SiC to Si increases from 1.8 to 2.3 with power increasing from 100 W to 350 W. The etch rate of SiC ranges between 100 A/min at 100W to 740 A/min at 350W. The SiC etch rate is seen to increase with power approximately twice as fast as the Si etch rate. This is consistent with an [O] intensity increase with power which is two to three faster than the [F] increase.

The samples which were used to mesure the etching anisotropy were etched in CHF_3 75% 0_2 at 20 mT, 200 W, and 20 sccm. The vertical-lateral etch ratio is 8:1 for SiC and 4.5:1 for Si, as shown in the SEM microphotographs of Figs. 4, 5, 6, 7.

IV. DISCUSSION

The data obtained for the reactive ion etching of SiC in CHF_3/O_2 indicate that the etch rate of SiC is controlled by a combination of physical and chemical factors: (a) the DC selfbias; (b) the abundance of oxygen in the plasma. A few interesting aspects of this rather complicated process are discussed here. In the case where the oxygen percentage was varied (Fig. 1) the pressure and power were kept constant, resulting in a constant DC bias and a monotonically increasing [0] abundance. The SiC etch rate in this case appears to follow the [0] intensity curve up to 75% O₂. For higher O₂ percentage (90% O₂), even though the [0] intensity still increases somewhat the SiC etch rate drops dramatically. This could be due to the fact that the Si etch rate is very low at this point thus presenting a surface barrier layer for SiC etching.

In the case where the oxygen percentage is fixed at 75% and the pressure is varied (Fig. 2), the DC bias decreases while the [0] intensity first increases dramatically with pressure (up to 100 mTorr) and then exhibits a slight decline. In this case, the two SiC etch rate determining factors have a somewhat competing effect. Initially, at low pressures (20-100 mTorr) the rapidly increasing [0] abundance overpowers the effect of the decreasing DC bias and results in a slight increase in the etch rate. However, for higher pressures (>100 mTorr) the [0] intensity is roughly constant and the effect of the decreasing DC bias dominates, thus resulting in a rapidly decreasing SiC etch rate.

By comparison, the Si etch rate appears to be predominantly controlled by the [F] intensity. Therefore, one can generally obtain a SiC/Si etch rate ratio larger than unity by reducing the [F] intensity and increasing the DC bias and [0] intensity. The [F] intensity can be depressed by changing the gas medium from CF_4 to CHF₃ and, of course, by increasing the oxygen percentage.

V. SUMMARY AND CONCLUSIONS

244244

In summary, we have presented the first report of reactive ion etching of SiC at a rate higher than that of Si. For $CHF_3/75$ % O₂, the SiC/Si etch ratio is 2.2. At the same time, highly anisotropic (8:1) etching of SiC was achieved under the same conditions.

The SiC etch rate appears to be controlled by a combination of physical (DC bias) and chemical (oxygen intensity) mechanisms.

ACKNOWLEDGMENT

The authors would like to acknowledge partial support for this work from the Office of Naval Research, under ONR contract No.N00014-81-K-0605.

- J.D. Parsons, R.F. Bunshah and O.M. Stafsudd, 133, Solid State Technl. Nov. 1985.
- [2] S. Nishino, A. Ibaraki, H. Matsunami and T. Tanaka, Jpn. J.Appl. Phys., <u>19</u>, L353 (1980).
- [3] W.V. Munch and P. Hoeck, Solid State Electron, <u>21</u>, 479 (1978).
- [4] W.-J. Lu, A.J. Steckl, T.P. Chow and W. Katz, J. Electrochem. Soc. <u>131</u>, 1907 (1984).

- [5] K. Sasaki, S. Jurukawa and M. M. Rahman, 249, 11.2, IEDM, 1985.
- [6] Y. Kondo, T. Takahashi, K. Ishii, Y. Hayashi, E. Sakuma, S.
 Misawa, H. Daimon, M. Yamanak and S. Yoshida, 404, Vol. EDL7, No. 7, IEEE Electron Device Letters, July 1986.
- [7] J. Sugiura, W.-J. Lu, K.C. Cadien and A.J. Steckl, 349, <u>B4</u>
 (1), J. Vac. Sci. Tecnol., Jan./Feb., 1986.
- [8] R.A.H. Heinecke, Solid State electron, <u>18</u>, 1146 (1975).

Fig. l(a,b)





Fig. 2 (a,b)



Fig. 3 (a,b)







.

. . .

2

ŧ







· . • .

Fig. 6, 7

