

MICROCOPY RESOLUTION TEST CHART  
NATIONAL BUREAU OF STANDARDS-1963-A

2

HDL-TR-2078

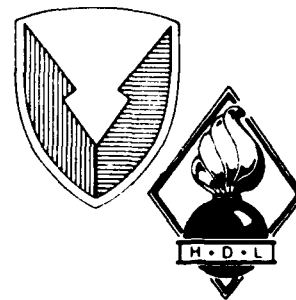
January 1986

AD-A164 536

Classical Derivation of Nonlinear Susceptibilities  
for the 32 Crystal Classes in the Harmonic Oscillator  
Approximation

by Clyde A. Morrison  
Mary S. Tobin

DTIC  
ELECTE  
FEB 26 1986  
S D D



U.S. Army Laboratory Command  
Harry Diamond Laboratories  
Adelphi, MD 20783-1197

DTIC FILE COPY

Approved for public release; distribution unlimited.

86 2 25 061

The findings in this report are not to be construed as an official Department of the Army position unless so designated by other authorized documents.

Citation of manufacturers' or trade names does not constitute an official indorsement or approval of the use thereof.

Destroy this report when it is no longer needed. Do not return it to the originator.

UNCLASSIFIED

SECURITY CLASSIFICATION OF THIS PAGE (When Data Entered)

REPORT DOCUMENTATION PAGE		READ INSTRUCTIONS BEFORE COMPLETING FORM
1. REPORT NUMBER HDL-TR-2078	2. GOVT ACCESSION NO. ADA 164 5 36	3. RECIPIENT'S CATALOG NUMBER
4. TITLE (and Subtitle) Classical Derivation of Nonlinear Susceptibilities for the 32 Crystal Classes in the Harmonic Oscillator Approximation		5. TYPE OF REPORT & PERIOD COVERED Technical Report
		6. PERFORMING ORG. REPORT NUMBER
7. AUTHOR(s) Clyde A. Morrison Mary S. Tobin		8. CONTRACT OR GRANT NUMBER(s) PRON: 1F4R0007011FA9
9. PERFORMING ORGANIZATION NAME AND ADDRESS Harry Diamond Laboratories 2800 Powder Mill Road Adelphi, MD 20783-1197		10. PROGRAM ELEMENT, PROJECT, TASK AREA & WORK UNIT NUMBERS Program Ele: 61101A DA Project: 1L161101A91A
11. CONTROLLING OFFICE NAME AND ADDRESS U.S. Army Laboratory Command 2800 Powder Mill Road Adelphi, MD 20783-1145		12. REPORT DATE January 1986
		13. NUMBER OF PAGES 86
14. MONITORING AGENCY NAME & ADDRESS (if different from Controlling Office)		15. SECURITY CLASS. (of this report) Unclassified
		15a. DECLASSIFICATION/DOWNGRADING SCHEDULE
16. DISTRIBUTION STATEMENT (of this Report)  Approved for public release; distribution unlimited.		
17. DISTRIBUTION STATEMENT (of the abstract entered in Block 20, if different from Report)		
18. SUPPLEMENTARY NOTES  HDL Project: A10436 AMS Code: 6111091A0011		
19. KEY WORDS (Continue on reverse side if necessary and identify by block number)  Nonlinear optics Harmonic oscillator model		
20. ABSTRACT (Continue on reverse side if necessary and identify by block number)  New applications relating to optical information processing, optical limiting, and frequency-agile laser systems have produced a resurgence of interest in nonlinear optical materials. In this work, we extend the classical anharmonic analysis to describe the nonlinear optical properties of the 32 crystal classes. We derive expressions for and relationships between the nonlinear susceptibility tensor elements in terms of the anharmonic force constants according to the crystal class symmetry. We show that all the susceptibilities thus derived ( $\chi^{(1)}$ , $\chi^{(2)}$ , and $\chi^{(3)}$ ) obey the Kleinman conditions. Finally, we discuss the possible application of these results, coupled with a point-charge model, for estimating the nonlinear force constants, in the prediction of potential new nonlinear materials.		

DD FORM 1473 1 JAN 73 EDITION OF 1 NOV 65 IS OBSOLETE

UNCLASSIFIED

SECURITY CLASSIFICATION OF THIS PAGE (When Data Entered)

## CONTENTS

	<u>Page</u>
1. INTRODUCTION .....	5
2. BACKGROUND .....	5
3. APPROACH .....	8
4. ANHARMONIC OSCILLATOR MODEL .....	10
5. ANHARMONIC POTENTIAL .....	11
5.1 Invariant Polynomials .....	11
5.2 Examples .....	12
5.2.1 Crystal Class 10, $S_4$ .....	12
5.2.2 Crystal Class 16, $C_3$ .....	14
6. EQUATION OF MOTION AND SOLUTION .....	16
7. CONCLUSIONS .....	23
ACKNOWLEDGEMENTS .....	24
LITERATURE CITED .....	25
APPENDIX A.--SUMMARY OF RESULTS FOR ALL CRYSTAL CLASSES .....	27
DISTRIBUTION .....	87

## TABLES

1. Classification of the 32 Point Groups .....	9
2. Polynomials of Order $n$ , $Q_i^{(n)}$ , and Multiplicative Constants in Potential Energy $U^{(n)}$ .....	13
3. $U^{(3)}$ for all Crystal Classes .....	17
4. $U^{(4)}$ for all Crystal Classes .....	18
5. Relationship Between $P_i^{3\omega} = \chi_{ijkl}^{(3)} E_j E_k E_l$ and $\chi_{im}^{(3)} U_m$ .....	21

Accession For	
NTIS CRA&I	<input checked="" type="checkbox"/>
DTIC TAB	<input type="checkbox"/>
Unannounced	<input type="checkbox"/>
Justification	
By	
Distribution/	
<b>Availability Codes</b>	
Dist	Avail and/or Special
A-1	

## 1. INTRODUCTION

There has been considerable recent interest in the use of nonlinear optical materials for all-optical image and signal processing.<sup>1,2</sup> The development of new tunable-frequency (agile) lasers has also created demands for new nonlinear materials for optical harmonic generation, which by now is a conventional nonlinear optical technique.<sup>3</sup> These devices or techniques are ultimately all limited by materials. In this report, we develop a theoretical technique using a three-dimensional anharmonic oscillator model to derive the nonlinear susceptibilities of crystalline materials. This technique may have application in predicting potential new nonlinear materials. We derive expressions for the nonlinear susceptibility tensor elements in terms of the anharmonic force constants and derive relationships between the tensor elements according to the symmetry of the 32 crystal classes.

This report is organized as follows. In section 2, some relevant background material on nonlinear optics is given. (The reader is referred elsewhere<sup>4-9</sup> for a more in-depth review.) We describe our approach in section 3. In section 4, the anharmonic oscillator model is briefly discussed. The method of construction of the anharmonic oscillator terms in the potential energy is described in section 5, with specific examples. The solution of the Lorentz force equation, containing anharmonic terms, is given in section 6. The solutions give rise to  $\chi^{(1)}$ ,  $\chi^{(2)}$ , and  $\chi^{(3)}$ . We, however, present the nonlinear results in terms of Miller's  $\delta$ . The final results for all the crystal classes are collected in the appendix, where the invariant polynomials, resultant potential, and Miller's  $\delta$  are listed for each crystal class. The results automatically obey the Kleinman conditions.<sup>10</sup>

## 2. BACKGROUND

Large optical electric (**E**) fields produce a nonlinear response in the medium. The resultant effects are describable by the induced polarization

- <sup>1</sup>A. M. Glass, *Materials for Optical Information Processing*, Science 226 (1984), 657.
- <sup>2</sup>A. R. Tanguay, *Materials Requirement for Optical Processing and Computing Devices*, *Opt. Engineering* 24 (1985), 002.
- <sup>3</sup>R. S. Adhav, *Materials for Optical Harmonic Generation*, *Laser Focus* 19 (1983), 75.
- <sup>4</sup>R. W. Minck, R. W. Terhune, and C. C. Wang, *Nonlinear Optics*, *Appl. Opt.* 5 (1966), 1596.
- <sup>5</sup>R. W. Terhune and P. D. Maker, *Nonlinear Optics*, in *Advances in Lasers*, Vol II, ed by A. K. Levine, M. Dekker, Inc., New York (1968), pp 295-372.
- <sup>6</sup>S. Singh, *Non-linear Optical Materials*, in *Handbook of Lasers*, ed. by R. J. Pressley, The Chemical Rubber Co. (1971).
- <sup>7</sup>C. Flytzanis, *Theory of Nonlinear Optical Susceptibilities*, in *Quantum Electronics: A Treatise*, Vol. 1, *Nonlinear Optics, Part A*, ed. by H. Robin and C. L. Tang, Academic Press, New York (1975).
- <sup>8</sup>R. L. Byer, *Parametric Oscillators and Nonlinear Materials*, in *Nonlinear Optics*, ed. by P. G. Harper and B. S. Wherrett, Academic Press, New York (1977).
- <sup>9</sup>F. Zernike and J. E. Midwinter, *Applied Nonlinear Optics*, John Wiley, New York (1973).
- <sup>10</sup>D. A. Kleinman, *Nonlinear Dielectric Polarization in Optical Media*, *Phys. Rev.* 126 (1962), 1977.

$$P = \chi^{(1)} E + \chi^{(2)} E^2 + \chi^{(3)} E^3 + \dots \quad (1)$$

where  $\chi^{(2)}$  and  $\chi^{(3)}$  are the second-order and third-order nonlinear optical susceptibilities.  $\chi^{(1)}$  which we will refer to simply as  $\chi$  is the linear susceptibility. For isotropic material,  $\chi$  is related to the refractive index  $n$  by

$$\chi = (n^2 - 1)/4\pi \quad (2)$$

in esu. For anisotropic materials,  $\chi$  becomes a tensor. We assume that the susceptibilities and indices of refraction are measured along the principal optic axes so that  $\chi_{ii} = (n_i - 1)/4\pi$ . We consider light of a single frequency  $\omega$  and limit the discussion to the nonlinear processes of second-harmonic and third-harmonic generation. For now we consider second-harmonic generation. The second-order polarization at  $2\omega$  is usually written

$$P_i^{2\omega} = d_{ijk}^{2\omega} E_j^\omega E_k^\omega, \quad (3)$$

where  $i, j, k$  refer to the coordinates  $x, y, z = 1, 2, 3$  and we assume summation over repeated indices. The  $d_{ijk}^{2\omega}$  are the second-harmonic-generation coefficients which are the components of a third rank tensor where  $d_{ijk}^{2\omega} = d_{ikj}^{2\omega}$ . Because of the symmetry of  $j$  and  $k$ , it is customary to contract the  $jk$  suffix to  $l$ , where  $l = 1$  to  $6$  replaces  $jk = 11, 22, 33, 23$  (or  $32$ ),  $13$  (or  $31$ ),  $12$  (or  $21$ ), respectively. Experimentalists generally report measurements in terms of  $d^{2\omega}$  rather than  $\chi^{(2)}$ ; however, these two tensors are simply related to each other by constants according to the particular tensor element:

$$\chi_{il}^{(2)} = d_{il}^{2\omega} \text{ for } l \leq 3 \text{ and } \chi_{il}^{(2)} = 2d_{il}^{2\omega} \text{ for } l \geq 4. \quad (4)$$

In terms of the contracted  $d_{il}^{2\omega}$ 's, one can express  $P^{2\omega}$  as

$$\begin{bmatrix} P_x^{2\omega} \\ P_y^{2\omega} \\ P_z^{2\omega} \end{bmatrix} = \begin{bmatrix} d_{11}^{2\omega} & d_{12}^{2\omega} & d_{13}^{2\omega} & d_{14}^{2\omega} & d_{15}^{2\omega} & d_{16}^{2\omega} \\ d_{21}^{2\omega} & d_{22}^{2\omega} & d_{23}^{2\omega} & d_{24}^{2\omega} & d_{25}^{2\omega} & d_{26}^{2\omega} \\ c_{31}^{2\omega} & d_{32}^{2\omega} & d_{33}^{2\omega} & d_{34}^{2\omega} & d_{35}^{2\omega} & d_{36}^{2\omega} \end{bmatrix} \begin{bmatrix} E_x^2 \\ E_y^2 \\ E_z^2 \\ 2E_y E_z \\ 2E_x E_z \\ 2E_x E_y \end{bmatrix} \quad (5)$$



The symmetry properties of the crystal classes are used to determine the nonvanishing components and relationships between elements of the  $d^{2\omega}$  tensor<sup>6, 8, 11, 12</sup> and, similarly, for the  $d^{3\omega}$  tensor,<sup>13</sup> as summarized by Flytzanis.<sup>7</sup> An immediate consequence of symmetry is that the second-order nonlinear coefficients vanish for centrosymmetric media. In addition to crystal symmetry, there is a symmetry relation based on a conjecture by Kleinman<sup>10</sup> that, in a lossless medium,  $\chi_{ijk}^{(2)}$  is symmetric under any permutation of the indices. Kleinman's condition for a general  $d_{i\ell}^{2\omega}$  matrix is

$$\begin{bmatrix} 11 & 12 & 13 & 14 & 15 & 16 \\ 21 & 22 & 23 & 24 & 25 & 26 \\ 31 & 32 & 33 & 34 & 35 & 36 \end{bmatrix} = \begin{bmatrix} 11 & 12 & 13 & 14 & 15 & 16 \\ 16 & 22 & 23 & 24 & 14 & 12 \\ 15 & 24 & 33 & 23 & 13 & 14 \end{bmatrix}, \quad (6)$$

where we have only written the subscripts  $i\ell$ .

In 1964, Miller<sup>14</sup> reported that the quantity

$$\delta_{ijk}^{2\omega} = \frac{d_{ijk}^{2\omega}}{\chi_{ii}(2\omega)\chi_{jj}(\omega)\chi_{kk}(\omega)} \quad (7)$$

is nearly a constant for the materials that he investigated. This result, known as Miller's rule, has had use in predicting good nonlinear materials. The rule indicates that materials with large linear susceptibilities should have large second-order nonlinearities. (Notice that the  $\delta^{2\omega}$  is defined in terms of the  $d^{2\omega}$  given by eq (4); otherwise factors of 2 appear in the  $\delta_{i\ell}^{2\omega}$  for  $\ell \geq 3$ .)

<sup>6</sup>S. Singh, Non-linear Optical Materials, in Handbook of Lasers, ed. by R. J. Pressley, The Chemical Rubber Co. (1971).

<sup>7</sup>C. Flytzanis, Theory of Nonlinear Optical Susceptibilities, in Quantum Electronics: A Treatise, Vol. 1, Nonlinear Optics, Part A, ed. by H. Robin and C. L. Tang, Academic Press, New York (1975).

<sup>8</sup>R. L. Byer, Parametric Oscillators and Nonlinear Materials, in Nonlinear Optics, ed. by P. G. Harper and B. S. Wherrett, Academic Press, New York (1977).

<sup>10</sup>D. A. Kleinman, Nonlinear Dielectric Polarization in Optical Media, Phys. Rev. 126 (1962), 1977.

<sup>11</sup>R. Bechmann, R. F. S. Hearman, and S. K. Kurtz, Elastic, Piezoelectric, Piezooptic, Electrooptic Constants, and Nonlinear Dielectric Susceptibilities of Crystals, Vol. 2, in Landolt-Börnstein Numerical Data and Functional Relationships in Science and Technology, New Series Group III: Crystal and Solid State Physics, ed. by K. H. Hellwege and A. M. Hellwege, Springer Verlag, Berlin (1969), pp 167-209.

<sup>12</sup>R. R. Birss, Property Tensors in Magnetic Crystal Classes, Proc. Phys. Soc. 79 (1962), 946.

<sup>13</sup>P. N. Butcher, Nonlinear Optical Phenomena, Ohio State University Engineering Bulletin, Columbus, Ohio (1965).

<sup>14</sup>R. C. Miller, Optical Second Harmonic Generation in Piezoelectric Crystals, Appl. Phys. Lett. 5 (1965), 17.

To our knowledge, Lax et al<sup>15</sup> were the first to use the one-dimensional anharmonic oscillator model, incorporated into a band model, to describe the nonlinear properties of a solid. Bloembergen<sup>16</sup> used the one-dimensional anharmonic oscillator model to estimate the one-dimensional nonlinear force constant by considering the magnitude of the atomic forces within a cell. Garrett and Robinson<sup>17</sup> extended arguments involving the one-dimensional anharmonic oscillator to make order-of-magnitude calculations of Miller's  $\delta$ . Yariv<sup>18</sup> provides a concise review of this work. Adler,<sup>19</sup> in his discussion of nonlinear optical frequency polarization in a dielectric, introduces constants  $\chi_{\phi\beta\delta}$  in the force equations of a three-dimensional harmonic oscillator model; however, he does not investigate the properties of  $\chi_{\phi\beta\delta}$  for the different crystal classes. Robinson<sup>20</sup> discusses the three-dimensional harmonic oscillator model but does not investigate the consequence of making the potential energy invariant for the particular crystal classes. He does, however, point out the relationship of the constants in the potential if the potential satisfies Laplace's equation. We have not found any detailed application of the anharmonic oscillator model in three dimensions to nonlinear optics.

### 3. APPROACH

In this report we introduce anharmonic terms into the potential energy of a three-dimensional oscillator model such that each term is invariant under the operations of the point group of a particular crystal class.<sup>21\*</sup> The 32 point groups are listed in table 1. Invariant polynomials of order two are sufficient for the linear susceptibility,  $\chi$ ; third-degree polynomials are required for  $\chi^{(2)}$  and fourth-degree polynomials are used for  $\chi^{(3)}$ . For the lowest symmetry crystal class (triclinic,  $C_1$  (1)) the number of independent polynomials,  $N_n$ , of degree  $n$ , is given by the number of terms in the expansion of  $(x + y + z)^n$ . For a given  $n$ , there are  $N_n = (n + 1)(n + 2)/2$  polynomials. For the lower crystal classes (triclinic, monoclinic, and orthorhombic--1 through 8),<sup>†</sup> we assume, with no loss of generality (but a great deal less algebra), that the coordinate system  $x, y, z$  is parallel to the principal optic axes of the crystal. Using the potential energy in the Lorentz force equa-

<sup>15</sup>B. Lax, J. G. Mavroides, and D. F. Edwards, Nonlinear Interband and Plasma Effects in Solids, Phys. Rev. Lett. 8 (1962), 166.

<sup>16</sup>N. V. Bloembergen, Nonlinear Optics: A Lecture Note and Reprint Volume, Benjamin, New York (1965).

<sup>17</sup>C. G. B. Garrett and F. N. H. Robinson, Miller's Phenomenological Rule for Computing Nonlinear Susceptibilities, IEEE J. Quantum Electron. QE-2 (1966), 328.

<sup>18</sup>A. Yariv, Quantum Electronics, 2nd ed., Wiley, New York (1975).

<sup>19</sup>E. Adler, Nonlinear Optical Frequency Polarization in a Dielectric, Phys. Rev. A134 (1964), 728.

<sup>20</sup>F. N. H. Robinson, Nonlinear Optical Coefficients, Bell Sys. Tech. J. 46 (1967), 913.

<sup>21</sup>G. F. Koster, J. O. Dimmock, R. G. Wheeler, and H. Statz, Properties of the Thirty-Two point Groups, MIT, Cambridge, MA (1963).

\*In our references to a particular crystal class we give the Schoenflies symbol first, followed by the international symbol in parentheses.

†The ordering of the crystal classes is as given by Koster et al (ref 21).

TABLE 1. CLASSIFICATION OF THE 32 POINT GROUPS

System	Unit cell	Point group number	Symmetry	Number of symmetry elements
Triclinic	$a \neq b \neq c$	1	$C_1$ (1)	1
	$\alpha \neq \beta \neq \gamma$	2	$C_i$ ( $\bar{1}$ )	2
Monoclinic	$a \neq b \neq c$	3	$C_2$ (2)	2
	$\alpha = \gamma = \pi/2 \neq \beta$	4	$C_s$ (m)	2
		5	$C_{2h}$ (2/m)	4
Orthorhombic	$a \neq b \neq c$	6	$D_2$ (222)	4
	$\alpha = \beta = \gamma = \pi/2$	7	$C_{2v}$ (mm2)	4
		8	$D_{2h}$ (mmm)	8
Tetragonal	$a = b \neq c$	9	$C_4$ (4)	4
	$\alpha = \beta = \gamma = \pi/2$	10	$S_4$ ( $\bar{4}$ )	4
		11	$C_{4h}$ (4/m)	8
		12	$D_4$ (422)	8
		13	$C_{4v}$ (4mm)	8
		14	$D_{2d}$ ( $\bar{4}2m$ )	8
		15	$D_{4h}$ (4/mmm)	16
Rhombohedral (trigonal)	$a = b = c$	16	$C_3$ (3)	3
	$\alpha = \beta = \gamma < 2\pi/3 \neq \pi/2$	17	$C_{3i}, S_6$ ( $\bar{3}$ )	6
		18	$D_3$ (32)	6
		19	$C_{3v}$ (3m)	6
		20	$D_{3d}$ ( $\bar{3}m$ )	12
Hexagonal	$a = b \neq c$	21	$C_6$ (6)	6
	$\alpha = \beta = \pi/2, \gamma = 2\pi/3$	22	$C_{3h}$ ( $\bar{6}$ )	6
		23	$C_{6h}$ (6/m)	12
		24	$D_6$ (622)	12
		25	$C_{6v}$ (6mm)	12
		26	$D_{3h}$ ( $\bar{6}m2$ )	12
		27	$D_{6h}$ (6/mmm)	24
Cubic	$a = b = c$	28	T (23)	12
	$\alpha = \beta = \gamma = \pi/2$	29	$T_h$ (m3)	24
		30	O (432)	24
		31	$T_d$ ( $\bar{4}3m$ )	24
		32	$O_h$ (m3m)	48

tion, the susceptibilities  $\chi$ ,  $\chi^{(2)}$ , and  $\chi^{(3)}$  are derived for the 32 crystal classes. We show that all the susceptibilities thus derived obey the Kleinman's conditions<sup>10</sup> given in equation (6). In fact, for any crystal class, the number of independent third- or fourth-degree polynomials is equal to the number of independent  $d_{i\ell}^{2\omega}$  or  $d_{i\ell}^{3\omega}$ , so that each  $d_{i\ell}$  corresponds to a particular constant in the anharmonic potential. No attempt is made here to derive the constants in the potential energy from more fundamental theory. That is, each constant in the potential energy allowed by symmetry is assumed phenomenological and, at this point, is determined by fitting experimental data. In particular, the constants in the quadratic terms of the potential energy, the harmonic oscillator, can be determined from the measured index of refraction at various wavelengths by using the Sellmeyer or Sellmeier equations.<sup>22,23</sup>

The  $\delta_{ijk}^{2\omega}$  of Miller's rule are given simply in terms of the constants ( $\beta_i$ ) of the potential energy  $U^{(n)}$  with  $n = 3$  so that these constants can be related to experimental data. The extension of Miller's rule to  $\delta_{ijk\ell}^{3\omega}$  is straightforward for those crystal classes where  $\delta_{ijk}^{2\omega} = 0$  ( $\beta_i = 0$ ), in which case the  $\delta_{ijk\ell}^{3\omega}$  are simply related to the constants ( $\gamma_i$ ) of the fourth-degree terms in potential energy. The extension of Miller's rule for  $\delta_{ijk\ell}^{3\omega}$  to those crystal classes where  $\delta_{ijk}^{2\omega} \neq 0$ , which is generally not simple, is also given.

#### 4. ANHARMONIC OSCILLATOR MODEL

We assume that the nonlinear polarization is electronic in origin and start with the Lorentz model which has been used to describe the linear response of the electrons in a solid to an electric field.<sup>24</sup> In this model, the equation of motion for an electron is

$$m\ddot{\mathbf{r}} = -e\mathbf{E} - \nabla U \quad , \quad (8)$$

where  $m$  is the mass of the electron,  $e$  is the electronic charge,  $\mathbf{r}$  is the position of the electron,  $\mathbf{E}$  is the applied electric field seen by the electron, and  $U$  is the potential of the electron in the solid. We will ignore losses which could be included by a damping term  $-m\Gamma\dot{\mathbf{r}}$  on the right-hand side of equation (8) where  $\Gamma$  is the damping constant. In an isotropic solid, the potential for a harmonic oscillator model is chosen as

$$U = \frac{1}{2} m\omega_0^2(x^2 + y^2 + z^2) \quad , \quad (9)$$

where  $\omega_0$  is a representative resonance frequency of the solid. If the potential given in equation (9) is used in equation (8), we obtain

$$m\ddot{\mathbf{r}} = -e\mathbf{E} - m\omega_0^2\mathbf{r} \quad , \quad (10)$$

<sup>10</sup>D. A. Kleinman, Nonlinear Dielectric Polarization in Optical Media, Phys. Rev. 126 (1962), 1977.

<sup>22</sup>M. Born and E. Wolf, Principles of Optics, Pergamon Press, New York (1964), p 97.

<sup>23</sup>C. F. J. Bottcher and P. Bordewijk, Theory of Electric Polarization, Vol II, Elsevier, New York (1978), p 288.

<sup>24</sup>J. D. Jackson, Classical Electrodynamics, 2nd Edition, McGraw-Hill, New York (1975), p 285.

and if a time dependence of  $\cos \omega t$  is chosen for  $\mathbf{E}$ , we obtain

$$\mathbf{r} = \frac{-\frac{e}{m} \mathbf{E}}{\omega_0^2 - \omega^2} = \frac{-\mathbf{E}}{D(\omega)} \quad , \quad (11)$$

where  $D(\omega) = m(\omega_0^2 - \omega^2)/e$ . The polarization,  $\mathbf{P}$ , is related to the displacement,  $\mathbf{r}$ , by

$$\mathbf{P} = -N e \mathbf{r} \quad , \quad (12)$$

where  $N$  is the number of electrons per unit volume that contribute to  $\mathbf{P}$ . Substituting (11) into (12) and using  $\mathbf{P} = \chi \mathbf{E}$ , we obtain

$$\chi(\omega) = \frac{N e^2}{D(\omega)} \quad . \quad (13)$$

For large displacement from the electronic equilibrium position, the anharmonicity of the electron oscillators must be taken into account as done by Bloembergen<sup>16</sup> for the one-dimensional anharmonic oscillator model. In the lowest order nonlinear approximation, the anharmonicity is introduced through a potential energy term proportional to the cube of the displacement-- $[-(1/3)\beta x^3]$ --where we use  $\beta$  for the nonlinear force constant. This term gives rise to second-harmonic generation through the nonlinear polarization term

$$P_X^{2\omega} = \chi_X^{(2)} E_X(\omega) E_X(\omega) \quad , \quad (14)$$

where the second-order nonlinear susceptibility can be shown to be

$$\chi_X^{(2)} = \frac{N \left( \frac{e^3}{m^2} \right) \beta}{D^2(\omega) D(2\omega)} \quad (15)$$

(equation (1-14) of Bloembergen<sup>16</sup>).

## 5. ANHARMONIC POTENTIAL

### 5.1 Invariant Polynomials

By far the most difficult part of the work reported here is the construction of the anharmonic terms in the potential energy. Unfortunately, we have not found a universal method of constructing these polynomials but have resorted to a number of devices to achieve our goal. The most useful technique is what might be called the replacement method. That is, for a given operator,  $O$ , of a group we have

<sup>16</sup>N. V. Bloembergen, *Nonlinear Optics: A Lecture Note and Reprint Volume*, Benjamin, New York (1965).

$$O(x,y,z) \rightarrow (\text{some permutation of } x,y,z) \quad (16)$$

for each operation  $O$  of the group. For example, the operator  $C_4$ , which represents a  $90^\circ$  rotation about  $z$ , can be written

$$C_4(x,y,z) \rightarrow (y,-x,z) \quad (17)$$

The 32 point groups are listed in table 1. For the operations, we follow the conventions of Koster et al,<sup>21</sup> where an explicit description of each of the operations of the point groups is given. Each polynomial and combination of polynomials can be tested for invariance, and proper combinations can be selected. This method works quite well for all the groups except for those with a threefold or sixfold rotation. For other conventions, such as IRE, the reader can extend the methods used here in a straightforward manner.

It is convenient to list explicitly the polynomials of various degree in tabular form. The polynomials of order 2, 3, and 4 are given in table 2, which also identifies the labels of the coefficients of the polynomials of order 3 and 4,  $\beta$  and  $\gamma$ , respectively.

## 5.2 Examples

### 5.1.2 Crystal Class 10, $S_4$

Crystal class  $S_4$  is a cyclic group containing the single generator  $S_4$  which gives

$$\begin{aligned} S_4(x,y,z) &= (y,-x,-z) \quad , \\ S_4^2(x,y,z) &= (-x,-y,+z) \quad , \\ S_4^3(x,y,z) &= (-y,x,-z) \quad . \end{aligned} \quad (18)$$

We see immediately that  $z^2$  is invariant and  $x^2 + y^2$  is also invariant.

Using table 2a, we can now write the term in the potential,  $U^{(2)}$  as

$$U^{(2)} = \frac{1}{2} m_x \omega_x^2 (x^2 + y^2) + \frac{1}{2} m_z \omega_z^2 z^2 \quad (19)$$

The  $m_x$  and  $m_z$  are introduced as "effective masses" which can be determined by fitting the linear susceptibilities to the measured indices of refraction.<sup>22, 23</sup>

<sup>21</sup>G. F. Koster, J. O. Dimmock, R. G. Wheeler, and H. Statz, Properties of the Thirty-Two Point Groups, MIT, Cambridge, MA (1963).

<sup>22</sup>M. Born and E. Wolf, Principles of Optics, Pergamon Press, New York (1964), p 97.

<sup>23</sup>C. F. J. Bottcher and P. Bordewijk, Theory of Electric Polarization, Vol II, Elsevier, New York (1978), p 288.

TABLE 2. POLYNOMIALS OF ORDER  $n$ ,  $Q_i^{(n)}$ , AND MULTIPLICATIVE CONSTANTS IN POTENTIAL ENERGY  $U^{(n)}$

(a)  $n = 2$  where  $U^{(2)} = \sum_i \alpha_i Q_i^{(2)}$

$\alpha_i$	$Q_i^{(2)}$
$m_x \omega_x^2$	$\frac{1}{2} x^2$
$m_y \omega_y^2$	$\frac{1}{2} y^2$
$m_z \omega_z^2$	$\frac{1}{2} z^2$

(b)  $n = 3$  where  $U^{(3)} = \sum_i \beta_i Q_i^{(3)}$

$\beta_i$	$Q_i^{(3)}$
$\beta_1$	$\frac{1}{3} x^3$
$\beta_2$	$\frac{1}{3} y^3$
$\beta_3$	$\frac{1}{3} z^3$
$\beta_4$	$x^2 y$
$\beta_5$	$x^2 z$
$\beta_6$	$y^2 x$
$\beta_7$	$y^2 z$
$\beta_8$	$z^2 x$
$\beta_9$	$z^2 y$
$\beta_0$	$2xyz$

(c)  $n = 4$  where  $U^{(4)} = \sum_i \gamma_i Q_i^{(4)}$

$\gamma_i$	$Q_i^{(4)}$
$\gamma_1$	$\frac{1}{4} x^4$
$\gamma_2$	$\frac{1}{4} y^4$
$\gamma_3$	$\frac{1}{4} z^4$
$\gamma_4$	$x^3 y$
$\gamma_5$	$x^3 z$
$\gamma_6$	$y^3 x$
$\gamma_7$	$y^3 z$
$\gamma_8$	$z^3 x$
$\gamma_9$	$z^3 y$
$\gamma_{10}$	$\frac{3}{2} x^2 y^2$
$\gamma_{11}$	$\frac{3}{2} x^2 z^2$
$\gamma_{12}$	$\frac{3}{2} y^2 z^2$
$\gamma_{13}$	$3x^2 yz$
$\gamma_{14}$	$3y^2 xz$
$\gamma_{15}$	$3z^2 xy$

We find that  $xyz$  and  $z(x^2 - y^2)$  are the only invariant polynomials of order three. Using table 2b we have  $\beta_7 = -\beta_5$  and consequently,

$$U^{(3)} = \beta_5(x^2 - y^2)z + 2\beta_0xyz \quad (20)$$

and all other  $\beta_i = 0$ .

For the fourth-degree terms in the potential energy, we see immediately that  $z^4$  is invariant. Since  $x^2 + y^2$  is invariant,  $(x^2 + y^2)^2$  is also; however,  $x^4 + y^4$  is invariant, so we additionally have  $x^2y^2$  and  $z^2(x^2 + y^2)$ . The last remaining fourth-degree polynomial is  $xy(x^2 - y^2)$ . Thus, the invariant polynomials of order 4 are

$$x^4 + y^4, \quad z^4, \quad xy(x^2 - y^2), \quad x^2y^2, \quad z^2(x^2 + y^2) \quad . \quad (21)$$

From table 2c we have

$$\begin{aligned} \gamma_2 &= \gamma_1, \quad \gamma_6 = -\gamma_4, \quad \gamma_{12} = \gamma_{11}, \quad \text{and} \\ \gamma_5 &= \gamma_7 = \gamma_8 = \gamma_9 = \gamma_{13} = \gamma_{14} = \gamma_{15} = 0 \end{aligned} \quad (22)$$

with the resulting potential energy

$$\begin{aligned} U^{(4)} &= \frac{1}{4} \gamma_1(x^4 + y^4) + \frac{1}{4} \gamma_3 z^4 + \gamma_4 xy(x^2 - y^2) \\ &+ \frac{3}{2} \gamma_{10} x^2 y^2 + \frac{3}{2} \gamma_{11} z^2(x^2 + y^2) \quad . \end{aligned} \quad (23)$$

The results given in equations (19), (20), and (23) express the potential energy expanded through polynomials of fourth order.

### 5.2.2 Crystal Class 16, $C_3$

The crystal class  $C_3$  is a cyclic group containing the single generator  $C_3$  which gives

$$\begin{aligned} C_3(x,y,z) &\rightarrow (x \cos \phi + y \sin \phi, -x \sin \phi + y \cos \phi, z) \\ C_3^2(x,y,z) &\rightarrow (x \cos 2\phi + y \sin 2\phi, -x \sin 2\phi + y \cos 2\phi, z) \end{aligned} \quad (24)$$

with  $\phi = 2\pi/3$ . Since  $z$  is invariant, any power of  $z$  is invariant. Note that  $x^2 + y^2$  is invariant, so that the potential of second order can be written (table 2a)

$$U^{(2)} = \frac{1}{2} m_x \omega_x^2 (x^2 + y^2) + \frac{1}{2} m_z \omega_z^2 z^2 \quad . \quad (25)$$

For the third-degree polynomials, we have immediately  $z^3$  and  $z(x^2 + y^2)$ . To obtain the other polynomials using the replacement method, the amount of



algebra is prohibitive, and we resort to methods used in the theory of paramagnetic ions in crystals.<sup>25-27</sup> From these references and using Koster et al<sup>21</sup> (page 53), we find that the spherical harmonics  $Y_{30}$  and  $Y_{3,\pm 3}$  are invariant under the operation  $C_3$ . Tables of the spherical harmonics in Cartesian form are given by Ballhausen<sup>25</sup> and Prather.<sup>27</sup> Leaving out the normalization constants, they are

$$\begin{aligned} Y_{30} &\sim 2z^3 - 3z(x^2 + y^2) \quad , \\ \text{Real } Y_{33} &\sim x^3 - 3xy^2 \quad , \\ \text{Imaginary } Y_{33} &\sim 3x^2y - y^3 \quad . \end{aligned} \quad (26)$$

We already have found the two polynomials  $z^3$  and  $z(x^2 + y^2)$  of  $Y_{30}$ , but the two contained in  $Y_{33}$  are new. No other combinations can be found. We now have all the polynomials of third order:  $z^3$ ,  $x^3 - 3xy^2$ ,  $y^3 - 3x^2y$ , and  $z(x^2 + y^2)$ . From table 2b we have  $\beta_6 = -\beta_1$ ,  $\beta_4 = -\beta_2$ ,  $\beta_7 = \beta_5$ , and  $\beta_8 = \beta_9 = \beta_0 = 0$ . Thus, we have

$$U^{(3)} = \frac{1}{3} \beta_1 (x^3 - 3xy^2) + \frac{1}{3} \beta_2 (y^3 - 3x^2y) + \frac{1}{3} \beta_3 z^3 + \beta_5 z(x^2 + y^2) \quad (27)$$

for the potential energy of third order.

The terms of fourth order in the potential are obtained using the previous methods and are

$$(x^2 + y^2)^2, \quad z^4, \quad z(x^3 - 3xy^2), \quad z(y^3 - 3x^2y), \quad \text{and } z^2(x^2 + y^2) \quad . \quad (28)$$

These results can be checked by examining the expressions for  $Y_{40}$  and  $Y_{43}$  (real and imaginary parts)<sup>25,27</sup> which if done shows that there are no more polynomials of fourth order. The constants in the potential energy involving the fourth-order polynomials given in equation (28) can be determined using table 2c:

$$\gamma_2 = \gamma_1, \quad \gamma_{10} = \frac{1}{3} \gamma_1, \quad \gamma_{14} = -\gamma_5, \quad \gamma_{13} = -\gamma_7, \quad \gamma_{12} = \gamma_{11} \quad ,$$

and (29)

$$\gamma_4 = \gamma_6 = \gamma_8 = \gamma_9 = \gamma_{15} = 0 \quad .$$

The fourth-order potential energy  $U^{(4)}$  is then given by

<sup>21</sup>G. F. Koster, J. O. Dimmock, R. G. Wheeler, and H. Statz, Properties of the Thirty-Two Point Groups, MIT, Cambridge, MA (1963).

<sup>25</sup>C. J. Ballhausen, Introduction to Ligand Field Theory, McGraw-Hill, New York (1962).

<sup>26</sup>M. Timkin, Group Theory and Quantum Mechanics, McGraw-Hill, New York (1964).

<sup>27</sup>J. L. Prather, Atomic Energy Levels in Crystals, National Bureau of Standards, Monograph 19, U.S. Government Printing Office (1961), p 5.

$$\begin{aligned}
U^{(4)} = & \frac{1}{4} \gamma_1 (x^2 + y^2)^2 + \frac{1}{4} \gamma_3 z^4 + \gamma_5 z (x^3 - 3xy^2) \\
& + \gamma_7 z (y^3 - 3x^2y) + \frac{3}{2} \gamma_{11} z^2 (x^2 + y^2) .
\end{aligned} \tag{30}$$

The invariant polynomials for all the 32 crystal classes can be constructed using the above techniques. In appendix A, the invariant polynomials and potential energy expanded through order 4 are explicitly written for each crystal class. The results are given in summary form for  $U^{(3)}$  in table 3 and for  $U^{(4)}$  in table 4.

## 6. EQUATION OF MOTION AND SOLUTION

To simplify the solution of the equation of motion, equation (8), we assume that the material is lossless in the region of interest. This reduces the algebra considerably. We use a perturbation technique which is essentially an expansion of the displacements in powers of the electric field. To illustrate the technique we shall set up the equations and their solution for the  $C_1$  crystal class. Since the  $C_1$  crystal class has no symmetry, the results for any crystal class of higher symmetry can be obtained by using the methods of the previous section along with the results of tables 3 and 4.

From appendix A the potential energy for the crystal class  $C_1$  is given as

$$\begin{aligned}
U = & \frac{1}{2} m_x \omega_x^2 x^2 + \frac{1}{2} m_y \omega_y^2 y^2 + \frac{1}{2} m_z \omega_z^2 z^2 + \frac{1}{3} \beta_1 x^3 + \frac{1}{3} \beta_2 y^3 + \frac{1}{3} \beta_3 z^3 + \beta_4 x^2 y \\
& + \beta_5 x^2 z + \beta_6 xy^2 + \beta_7 y^2 z + \beta_8 xz^2 + \beta_9 yz^2 + 2\beta_0 xyz + \frac{1}{4} \gamma_1 x^4 \\
& + \frac{1}{4} \gamma_2 y^4 + \frac{1}{4} \gamma_3 z^4 + \gamma_4 x^3 y + \gamma_5 x^3 z + \gamma_6 xy^3 + \gamma_7 y^3 z + \gamma_8 xz^3 \\
& + \gamma_9 yz^3 + \frac{3}{2} \gamma_{10} x^2 y^2 + \frac{3}{2} \gamma_{11} x^2 z^2 + \frac{3}{2} \gamma_{12} y^2 z^2 + 3\gamma_{13} x^2 yz \\
& + 3\gamma_{14} xy^2 z + 3\gamma_{15} xyz^2 .
\end{aligned} \tag{31}$$

The components of the equation of motion are obtained by using the above expression in equation (8) to give

$$\begin{aligned}
m_x \ddot{x} = & -eE_x - m_x \omega_x^2 x - \beta_1 x^2 - 2\beta_4 xy - 2\beta_5 xz - \beta_6 y^2 - \beta_8 z^2 - 2\beta_0 yz \\
& - \gamma_1 x^3 - 3\gamma_4 x^2 y - 3\gamma_5 x^2 z - \gamma_6 y^3 - \gamma_8 z^3 - 3\gamma_{10} xy^2 \\
& - 3\gamma_{11} xz^2 - 6\gamma_{13} xyz - 3\gamma_{14} y^2 z - 3\gamma_{15} yz^2
\end{aligned} \tag{32}$$

with similar equations for  $y$  and  $z$ .

We assume that the field  $E_x \rightarrow E_x \delta$  and that  $x = x_1 \delta + x_2 \delta^2 + x_3 \delta^3$ , with a similar expression for the other two components, and where  $\delta$  is such that at the end we set  $\delta = 1$ .

TABLE 3.  $U^{(3)}$  FOR ALL CRYSTAL CLASSES<sup>a</sup>

No.	Symmetry	$\beta_1$	$\beta_2$	$\beta_3$	$\beta_4$	$\beta_5$	$\beta_6$	$\beta_7$	$\beta_8$	$\beta_9$	$\beta_0$
1	$C_1$	1	1	1	1	1	1	1	1	1	1
2	$C_1$	0	0	0	0	0	0	0	0	0	0
3	$C_2$	0	0	1	0	1	0	1	0	0	1
4	$C_s$	1	1	0	1	0	1	0	1	1	0
5	$C_{2h}$	0	0	0	0	0	0	0	0	0	0
6	$D_2$	0	0	0	0	0	0	0	0	0	1
7	$C_{2v}$	0	0	1	0	1	0	1	0	0	0
8	$D_{2h}$	0	0	0	0	0	0	0	0	0	0
9	$C_4$	0	0	1	0	1	0	$\beta_5$	0	0	0
10	$S_4$	0	0	0	0	1	0	$-\beta_5$	0	0	1
11	$C_{4h}$	0	0	0	0	0	0	0	0	0	0
12	$D_4$	0	0	0	0	0	0	0	0	0	0
13	$C_{4v}$	0	0	1	0	1	0	$\beta_5$	0	0	0
14	$D_{2d}$	0	0	0	0	0	0	0	0	0	1
15	$D_{4h}$	0	0	0	0	0	0	0	0	0	0
16	$C_3$	1	1	1	$-\beta_2$	1	$-\beta_1$	$\beta_5$	0	0	0
17	$C_{3i}$	0	0	0	0	0	0	0	0	0	0
18	$D_3$	0	1	0	$-\beta_2$	0	0	0	0	0	0
19	$C_{3v}$	1	0	1	0	1	$-\beta_1$	$\beta_5$	0	0	0
20	$D_{3d}$	0	0	0	0	0	0	0	0	0	0
21	$C_6$	0	0	1	0	1	0	$\beta_5$	0	0	0
22	$C_{3h}$	1	1	0	$-\beta_2$	0	$-\beta_1$	0	0	0	0
23	$C_{6h}$	0	0	0	0	0	0	0	0	0	0
24	$D_6$	0	0	0	0	0	0	0	0	0	0
25	$C_{6v}$	0	0	1	0	1	0	$\beta_5$	0	0	0
26	$D_{3h}$	0	1	0	$-\beta_2$	0	0	0	0	0	0
27	$D_{6h}$	0	0	0	0	0	0	0	0	0	0
28	T	0	0	0	0	0	0	0	0	0	1
29	$T_h$	0	0	0	0	0	0	0	0	0	0
30	O	0	0	0	0	0	0	0	0	0	0
31	$T_d$	0	0	0	0	0	0	0	0	0	1
32	$O_h$	0	0	0	0	0	0	0	0	0	0

<sup>a</sup>A "1" or "0" in the table represents the multiplier of  $\beta_i$ . Other entries (e.g., " $-\beta_5$ ") represent the replacement term for  $\beta_i$ .

TABLE 4.  $U^{(4)}$  FOR ALL CRYSTAL CLASSES<sup>a</sup>

No.	Symmetry	$\gamma_1$	$\gamma_2$	$\gamma_3$	$\gamma_4$	$\gamma_5$	$\gamma_6$	$\gamma_7$	$\gamma_8$	$\gamma_9$	$\gamma_{10}$	$\gamma_{11}$	$\gamma_{12}$	$\gamma_{13}$	$\gamma_{14}$	$\gamma_{15}$
1	$C_1$	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
2	$C_i$	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
3	$C_2$	1	1	1	1	0	1	0	0	0	1	1	1	0	0	1
4	$C_s$	1	1	1	1	0	1	0	0	0	1	1	1	0	0	1
5	$C_{2h}$	1	1	1	1	0	1	0	0	0	1	1	1	0	0	1
6	$D_2$	1	1	1	0	0	0	0	0	0	1	1	1	0	0	0
7	$C_{2v}$	1	1	1	0	0	0	0	0	0	1	1	1	0	0	0
8	$D_{2h}$	1	1	1	0	0	0	0	0	0	1	1	1	0	0	0
9	$C_4$	1	$\gamma_1$	1	1	0	$-\gamma_4$	0	0	0	1	1	$\gamma_{11}$	0	0	0
10	$S_4$	1	$\gamma_1$	1	1	0	$-\gamma_4$	0	0	0	1	1	$\gamma_{11}$	0	0	0
11	$C_{4h}$	1	$\gamma_1$	1	1	0	$-\gamma_4$	0	0	0	1	1	$\gamma_{11}$	0	0	0
12	$D_4$	1	$\gamma_1$	1	0	0	0	0	0	0	1	1	$\gamma_{11}$	0	0	0
13	$C_{4v}$	1	$\gamma_1$	1	0	0	0	0	0	0	1	1	$\gamma_{11}$	0	0	0
14	$D_{2d}$	1	$\gamma_1$	1	0	0	0	0	0	0	1	1	$\gamma_{11}$	0	0	0
15	$D_{4h}$	1	$\gamma_1$	1	0	0	0	0	0	0	1	1	$\gamma_{11}$	0	0	0
16	$C_3$	1	$\gamma_1$	1	0	1	0	1	0	0	$\gamma_1/3$	1	$\gamma_{11}$	$-\gamma_7$	$-\gamma_5$	0
17	$C_{3i}$	1	$\gamma_1$	1	0	1	0	1	0	0	$\gamma_1/3$	1	$\gamma_{11}$	$-\gamma_7$	$-\gamma_5$	0
18	$D_3$	1	$\gamma_1$	1	0	1	0	0	0	0	$\gamma_1/3$	1	$\gamma_{11}$	0	$-\gamma_5$	0
19	$C_{3v}$	1	$\gamma_1$	1	0	1	0	0	0	0	$\gamma_1/3$	1	$\gamma_{11}$	0	$-\gamma_5$	0
20	$D_{3d}$	1	$\gamma_1$	1	0	1	0	0	0	0	$\gamma_1/3$	1	$\gamma_{11}$	0	$-\gamma_5$	0
21	$C_6$	1	$\gamma_1$	1	0	0	0	0	0	0	$\gamma_1/3$	1	$\gamma_{11}$	0	0	0
22	$C_{3h}$	1	$\gamma_1$	1	0	0	0	0	0	0	$\gamma_1/3$	1	$\gamma_{11}$	0	0	0
23	$C_{6h}$	1	$\gamma_1$	1	0	0	0	0	0	0	$\gamma_1/3$	1	$\gamma_{11}$	0	0	0
24	$D_6$	1	$\gamma_1$	1	0	0	0	0	0	0	$\gamma_1/3$	1	$\gamma_{11}$	0	0	0
25	$C_{6v}$	1	$\gamma_1$	1	0	0	0	0	0	0	$\gamma_1/3$	1	$\gamma_{11}$	0	0	0
26	$D_{3h}$	1	$\gamma_1$	1	0	0	0	0	0	0	$\gamma_1/3$	1	$\gamma_{11}$	0	0	0
27	$D_{6h}$	1	$\gamma_1$	1	0	0	0	0	0	0	$\gamma_1/3$	1	$\gamma_{11}$	0	0	0
28	$T$	1	$\gamma_1$	$\gamma_1$	0	0	0	0	0	0	1	$\gamma_{10}$	$\gamma_{10}$	0	0	0
29	$T_h$	1	$\gamma_1$	$\gamma_1$	0	0	0	0	0	0	1	$\gamma_{10}$	$\gamma_{10}$	0	0	0
30	$O$	1	$\gamma_1$	$\gamma_1$	0	0	0	0	0	0	1	$\gamma_{10}$	$\gamma_{10}$	0	0	0
31	$T_d$	1	$\gamma_1$	$\gamma_1$	0	0	0	0	0	0	1	$\gamma_{10}$	$\gamma_{10}$	0	0	0
32	$O_h$	1	$\gamma_1$	$\gamma_1$	0	0	0	0	0	0	1	$\gamma_{10}$	$\gamma_{10}$	0	0	0

<sup>a</sup>A "1" or "0" in the table represents the multiplier of  $\gamma_i$ . Other entries (e.g., " $-\gamma_5$ ") represent the replacement of terms for  $\gamma_i$ .

Using these expressions in equation (32) and equating powers of  $\delta$ , we obtain

$$\begin{aligned} m_x \ddot{x}_1 &= -eE_x - m_x \omega_x^2 x_1 \quad , \\ m_y \ddot{y}_1 &= -eE_y - m_y \omega_y^2 y_1 \quad , \\ m_z \ddot{z}_1 &= -eE_z - m_z \omega_z^2 z_1 \quad , \end{aligned} \quad (33)$$

for the terms of first order in  $\delta$ ,

$$m_x \ddot{x}_2 = -m_x \omega_x^2 x_2 - \beta_1 x_1^2 - 2\beta_4 x_1 y_1 - 2\beta_5 x_1 z_1 - \beta_6 y_1^2 - \beta_8 z_1^2 - 2\beta_0 y_1 z_1 \quad (34)$$

for the terms of second order in  $\delta$ , and

$$\begin{aligned} m_x \ddot{x}_3 &= -m_x \omega_x^2 x_3 - 2\beta_1 x_1 x_2 - 2\beta_4 (x_1 y_2 + x_2 y_1) - 2\beta_5 (x_1 z_2 + x_2 z_1) \\ &\quad - 2\beta_6 y_1 y_2 - 2\beta_8 z_1 z_2 - 2\beta_0 (y_1 z_2 + y_2 z_1) - \gamma_1 x_1^3 - 3\gamma_4 x_1^2 y_1 \\ &\quad - 3\gamma_5 x_1^2 z_1 - \gamma_6 y_1^3 - \gamma_8 z_1^3 - 3\gamma_{10} x_1 y_1^2 - 3\gamma_{11} x_1 z_1^2 \\ &\quad - 6\gamma_{13} x_1 y_1 z_1 - 3\gamma_{14} y_1^2 z_1 - 3\gamma_{15} y_1 z_1^2 \end{aligned} \quad (35)$$

for the terms of third order in  $\delta$  where, for simplicity, we have written only the x-components.

The results given in equations (33), (34), and (35) are sufficient to obtain the susceptibilities  $\chi$ ,  $\chi^{(2)}$ , and  $\chi^{(3)}$ . We make use of equation (12) generalized to

$$P_x^{n\omega} = -Nex_n \quad (36)$$

with  $n = 1, 2, 3$  and similar expressions for  $y$  and  $z$ .

We start by solving the first-order equations given in equation (33). Since we are ignoring losses, we can assume that any time-dependent quantity varies as  $\cos(\omega t - \phi)$ , so that the solutions are

$$\begin{aligned} x_1 &= -E_x/D_x \quad , \\ y_1 &= -E_y/D_y \quad , \\ z_1 &= -E_z/D_z \quad , \end{aligned} \quad (37)$$

and consequently, we obtain the components of the linear susceptibility tensor

$$\chi_{ii} = Ne/D_i \quad , \quad (38)$$

where  $D_i = D_i(\omega) = m_i(\omega_i^2 - \omega^2)/e$ . We will give the argument of  $D_i$  explicitly only when it is  $2\omega$  or  $3\omega$ .

Using the result of equation (37) in equation (34), we have

$$x_2 = -\frac{\beta_1 E_x^2}{eD_x(2\omega)D_x^2} - \frac{\beta_6 E_y^2}{eD_x(2\omega)D_y^2} - \frac{\beta_8 E_z^2}{eD_x(2\omega)D_z^2} - \frac{2\beta_4 E_x E_y}{eD_x(2\omega)D_x D_y} \\ - \frac{2\beta_5 E_x E_z}{eD_x(2\omega)D_x D_z} - \frac{2\beta_0 E_y E_z}{eD_x(2\omega)D_y D_z} . \quad (39)$$

We can rewrite equation (5) as

$$P_x^{2\omega} = \sum_{\ell=1}^6 d_{i\ell}^{2\omega} V_\ell \quad (40)$$

where  $V_\ell$  are given in terms of the electric-field components according to equation (5). Using equations (36), (38), and (40), we obtain

$$d_{11}^{2\omega} = g\beta_1 \chi_{xx}(2\omega) \chi_{xx} \chi_{xx} , \\ d_{12}^{2\omega} = g\beta_6 \chi_{xx}(2\omega) \chi_{yy} \chi_{yy} , \quad (41) \\ d_{13}^{2\omega} = g\beta_8 \chi_{xx}(2\omega) \chi_{zz} \chi_{zz} , \text{ etc,}$$

where  $g = 1/N^2 e^3$ . Comparing the results given in equation (41) with equation (7), we have

$$\delta_{11}^{2\omega} = \beta_1 g , \quad \delta_{12}^{2\omega} = \beta_6 g , \quad \delta_{13}^{2\omega} = \beta_8 g , \quad \delta_{14}^{2\omega} = \beta_0 g , \quad \delta_{15}^{2\omega} = \beta_5 g ,$$

and

$$\delta_{16}^{2\omega} = \beta_4 g .$$

Similarly, the solutions for  $y_2$  and  $z_2$  give rise to  $\delta_{2\ell}^{2\omega}$  and  $\delta_{3\ell}^{2\omega}$ . We can write the resultant  $\delta_{i\ell}^{2\omega}$  in matrix form as

$$\delta^{2\omega} = \frac{1}{N^2 e^3} \begin{bmatrix} \beta_1 & \beta_6 & \beta_8 & \beta_0 & \beta_5 & \beta_4 \\ \beta_4 & \beta_2 & \beta_9 & \beta_7 & \beta_0 & \beta_6 \\ \beta_5 & \beta_7 & \beta_3 & \beta_9 & \beta_8 & \beta_0 \end{bmatrix} . \quad (42)$$

Note that  $\delta^{2\omega}$  satisfies the Kleinman conditions given in equation (6).

The solutions for the third-order displacement  $x_3$  are obtained by using the results of (37) and (39) in (35). It can be seen by inspection of equation (35) that we can express the solution as  $x_3 = x_3' + x_3''$  where  $x_3' \rightarrow 0$  as  $\beta_i \rightarrow 0$  and  $x_3'' \rightarrow 0$  as  $\gamma_i \rightarrow 0$ . For simplicity of demonstration, we will write only the portion of  $x_3$  containing the  $\gamma$  terms, which is

$$\begin{aligned}
 eD_x(3\omega)x_3'' = & \frac{\gamma_1 E_x^3}{D_x^3} + \frac{\gamma_6 E_y^3}{D_y^3} + \frac{\gamma_8 E_z^3}{D_z^3} + \frac{3\gamma_4 E_x^2 E_y}{D_x^2 D_y} \\
 & + \frac{3\gamma_{14} E_y^2 E_z}{D_y^2 D_z} + \frac{3\gamma_{11} E_z^2 E_x}{D_z^2 D_x} + \frac{3\gamma_5 E_x^2 E_z}{D_x^2 D_z} \\
 & + \frac{3\gamma_{10} E_x E_y^2}{D_x D_y^2} + \frac{3\gamma_{15} E_y E_z^2}{D_y D_z^2} + \frac{6\gamma_{13} E_x E_y E_z}{D_x D_y D_z} .
 \end{aligned} \tag{43}$$

The solution for  $x_3$  gives rise to  $p_x^{3\omega} = -Nex_3$ .

The third-order polarization can be expressed as  $p_i^{3\omega} = \chi_{ijkl} E_j E_k E_l$ ; however, in analogy to the case for  $p^{2\omega}$ , we use the reduced basis for  $p^{3\omega}$ . Following the convention of Maker and Terhune,<sup>28</sup> we write  $p_i^{3\omega} = \chi_{im}^{(3)} U_m$  where subscripts  $ijkl$  are replaced by  $m$  and  $E_j E_k E_l$  is replaced by  $U_m$ , as shown in table 5. Again in analogy with second-harmonic generation, we can express

$$p_i^{3\omega} = d_{im}^{3\omega} Q_m, \tag{44}$$

where  $d_{im}^{3\omega}$  are the third-harmonic generation coefficients and

$$\begin{aligned}
 Q_m &= U_m \quad \text{for } 1 \leq m \leq 3, \\
 Q_m &= 3U_m \quad \text{for } 3 \leq m \leq 9, \\
 Q_0 &= 6U_0 \quad \text{for } m = 0.
 \end{aligned} \tag{45}$$

TABLE 5. RELATIONSHIP BETWEEN  $p_i^{3\omega} = \chi_{ijkl}^{(3)} E_j E_k E_l$  and  $\chi_{im}^{(3)} U_m$

ijkl:	111	222	333	112	223	331	113	122	233	123
m:	1	2	3	4	5	6	7	8	9	0
$U_m$ :	$E_x^3$	$E_y^3$	$E_z^3$	$E_x^2 E_y$	$E_y^2 E_z$	$E_z^2 E_x$	$E_x^2 E_z$	$E_y^2 E_x$	$E_z^2 E_y$	$E_x E_y E_z$

<sup>28</sup>P. D. Maker and R. W. Terhune, Study of Optical Effects due to an Induced Polarization Third Order in the Electric Field Strength, Phys. Rev. 137A (1965), 801.

Using the results given in table 5 along with equations (44) and (45), we can write the Kleinman conditions for  $d_{im}^{3\omega}$  as

$$\begin{bmatrix} 11 & 12 & 13 & 14 & 15 & 16 & 17 & 18 & 19 & 10 \\ 21 & 22 & 23 & 24 & 25 & 26 & 27 & 28 & 29 & 20 \\ 31 & 32 & 33 & 34 & 35 & 36 & 37 & 38 & 39 & 30 \end{bmatrix} = \begin{bmatrix} 11 & 12 & 13 & 14 & 15 & 16 & 17 & 18 & 19 & 10 \\ 14 & 22 & 23 & 18 & 25 & 19 & 10 & 12 & 29 & 15 \\ 17 & 25 & 33 & 10 & 29 & 13 & 16 & 15 & 23 & 19 \end{bmatrix} \quad (46)$$

Generalization of Miller's rule to the case of the third-harmonic generation gives<sup>29, 30</sup>

$$\delta_{ijkl}^{3\omega} = d_{ijkl}^{3\omega} / [x_{ii}^{(3\omega)} x_{jj} x_{kk} x_{ll}] \quad (47)$$

Using equations (42), (43), and (44), we obtain

$$\begin{aligned} d_{11}^{3\omega} &= -b\gamma_1 x_{xx} (3\omega) x_{xx}^3, \\ d_{12}^{3\omega} &= -b\gamma_6 x_{xx} (3\omega) x_{yy}^3, \\ d_{13}^{3\omega} &= -b\gamma_8 x_{xx} (3\omega) x_{zz}^3, \\ d_{14}^{3\omega} &= -b\gamma_4 x_{xx} (3\omega) x_{xx}^2 x_{yy}, \quad \text{etc,} \end{aligned} \quad (48)$$

where  $b = 1/N^3 e^4$ .

Note that an advantage of using the  $d^{3\omega}$  rather than  $\chi^{(3)}$  is that the factors of 3 and 6 which occur in equation (43) are eliminated.

Comparing the terms in (48) with equation (47) gives

$$\begin{aligned} \delta_{11} &= -b\gamma_1, \quad \delta_{12} = -b\gamma_6, \quad \delta_{13} = -b\gamma_8, \quad \delta_{14} = -b\gamma_4, \quad \delta_{15} = -b\gamma_{14}, \\ \delta_{16} &= -b\gamma_{11}, \quad \delta_{17} = -b\gamma_5, \quad \delta_{18} = -b\gamma_{10}, \quad \delta_{19} = -b\gamma_{15}, \quad \delta_{10} = -b\gamma_{13}. \end{aligned} \quad (49)$$

When the results for the  $y_3''$  and  $z_3''$  solutions are included, one can express  $\delta_{im}^{3\omega}$  in matrix form

<sup>29</sup>J. J. Wynne and G. D. Boyd, Study of Optical Difference Mixing in Ge and Si using a CO<sub>2</sub> Gas Laser, Appl. Phys. Lett. 12 (1968), 191.

<sup>30</sup>C. C. Wang, Empirical Relation Between the Linear and the Third-Order Nonlinear Optical Susceptibilities, Phys. Rev B2 (1970), 2045.



$$\delta_{im}^{3\omega} = -\frac{1}{e^4 N^3} \begin{bmatrix} \gamma_1 & \gamma_6 & \gamma_8 & \gamma_4 & \gamma_{14} & \gamma_{11} & \gamma_5 & \gamma_{10} & \gamma_{15} & \gamma_{13} \\ \gamma_4 & \gamma_2 & \gamma_9 & \gamma_{10} & \gamma_7 & \gamma_{15} & \gamma_{13} & \gamma_6 & \gamma_{12} & \gamma_{14} \\ \gamma_5 & \gamma_7 & \gamma_3 & \gamma_{13} & \gamma_{12} & \gamma_8 & \gamma_{11} & \gamma_{14} & \gamma_9 & \gamma_{15} \end{bmatrix}. \quad (50)$$

The reader should recall that this solution ignores the  $\beta$  contribution. The complete solution is given in the appendix and obeys the Kleinman condition given in equation (46).

## 7. CONCLUSIONS

We have derived the nonvanishing coefficients for optical doubling and tripling. The derivation is based on the Lorentz model where the linear response of the bound electrons to an incident electric field is described by a classical harmonic oscillator. Anharmonic terms (i.e., terms of higher order than quadratic in the potential) are added to describe the nonlinear response of the medium. These anharmonic terms are subject to the restriction that the potential remain invariant under the operations of each particular crystal class. The nonlinear optical constants  $d_{ijk}^{2\omega}$  and  $d_{ijkl}^{3\omega}$  were obtained, and the results were expressed in terms of Miller's  $\delta$ 's. Explicit expressions for the  $\delta$ 's are given in terms of the coefficients of the third- and fourth-degree polynomials of the potential. These coefficients were considered phenomenological, and no attempt was made to derive their values from a theoretical model.

Additional assumptions can be used to place further restrictions on the coefficients of the anharmonic terms in the potential. If we assume that the electrons contributing to the nonlinear susceptibilities are in a region relatively free of charge, then the potential obeys Laplace's equation, that is,  $\nabla^2 U^{(n)} = 0$  for each  $n$ . This condition results in the following relations between the coefficients of the potential for  $n = 3$ :

$$\beta_1 + \beta_6 + \beta_8 = 0, \quad \beta_2 + \beta_4 + \beta_9 = 0, \quad \text{and} \quad \beta_3 + \beta_5 + \beta_7 = 0.$$

For the crystal classes  $C_6$  and  $C_{6v}$ , the condition

$$\beta_3 + \beta_5 + \beta_7 = 0,$$

when used in our results for Miller's  $\delta_{ik}^{2\omega}$ , requires that  $2\delta_{31}^{2\omega} + \delta_{33}^{2\omega} = 0$ . This result agrees with experimental data (within reported error) tabulated by Singh<sup>6</sup> for five of the seven values for the crystal class  $C_{6v}$ . Similarly, for  $n = 4$ , additional restrictions can be found for the  $\gamma_i$ 's.

<sup>6</sup>S. Singh, Non-linear Optical Materials, in Handbook of Lasers, ed. by R. J. Pressley, The Chemical Rubber Co. (1971).

A number of authors have used quantum mechanics to derive expressions for the nonlinear coefficients (see Flytzanis,<sup>7</sup> for example); however, the usefulness of this approach has been limited by the inability to evaluate the required matrix elements. Although the classical anharmonic oscillator model has been applied to nonlinear optics by a number of authors, to our knowledge none has derived explicit expressions for the nonlinear coefficients in terms of the anharmonic coefficients for each crystal class. It should be possible to explicitly calculate the magnitude of  $\beta_i$  and  $\gamma_i$ , which would be useful in predicting new nonlinear materials. Work is in progress\* in calculation of these coefficients using a point-charge model of crystal fields in an ionic solid.

#### ACKNOWLEDGEMENTS

The authors wish to thank our coworkers Richard P. Leavitt for his constant interest and invaluable suggestions, Frank Crowne for his helpful criticisms, and Herbert Dropkin for a critical reading of the manuscript. We are also grateful to Chi H. Lee from the University of Maryland for stimulating our original interest in this problem.

---

<sup>7</sup>C. Flytzanis, Theory of Nonlinear Optical Susceptibilities, in Quantum Electronics: A Treatise, Vol. 1, Nonlinear Optics, Part A, ed. by H. Robin and C. L. Tang, Academic Press, New York (1975).

\*C. A. Morrison, Point charge model for the nonlinear optical coefficients in the anharmonic oscillator model, HDL internal report, in preparation.

#### LITERATURE CITED

- (1) A. M. Glass, Materials for Optical Information Processing, *Science* 226 (1984), 657.
- (2) A. R. Tanguay, Materials Requirement for Optical Processing and Computing Devices, *Opt. Engineering* 24 (1985), 002.
- (3) R. S. Adhav, Materials for Optical Harmonic Generation, *Laser Focus* 19 (1983), 73.
- (4) R. W. Minck, R. W. Terhune, and C. C. Wang, Nonlinear Optics, *Appl. Opt.* 5 (1966), 1596.
- (5) R. W. Terhune and P. D. Maker, Nonlinear Optics, in *Advances in Lasers*, Vol II, ed. by A. K. Levine, M. Dekker, Inc., New York (1968), pp 295-372.
- (6) S. Singh, Non-linear Optical Materials, in *Handbook of Lasers*, ed. by R. J. Pressley, The Chemical Rubber Co. (1971).
- (7) C. Flytzanis, Theory of Nonlinear Optical Susceptibilities, in *Quantum Electronics: A Treatise*, Vol. 1, Nonlinear Optics, Part A, ed. by H. Robin and C. L. Tang, Academic Press, New York (1975).
- (8) R. L. Byer, Parametric Oscillators and Nonlinear Materials, in *Nonlinear Optics*, ed. by P. G. Harper and B. S. Wherrett, Academic Press, New York (1977).
- (9) F. Zernike and J. E. Midwinter, *Applied Nonlinear Optics*, John Wiley, New York (1973).
- (10) D. A. Kleinman, Nonlinear Dielectric Polarization in Optical Media, *Phys. Rev.* 126 (1962), 1977.
- (11) R. Bechmann, R. F. S. Hearman, and S. K. Kurtz, Elastic, Piezoelectric, Piezooptic, Electrooptic Constants, and Nonlinear Dielectric Susceptibilities of Crystals, Vol. 2, in *Landolt-Börnstein Numerical Data and Functional Relationships in Science and Technology*, New Series Group III: Crystal and Solid State Physics, ed. by K. H. Hellwege and A. M. Hellwege, Springer Verlag, Berlin (1969), pp 167-209.
- (12) R. R. Birss, Property Tensors in Magnetic Crystal Classes, *Proc. Phys. Soc.* 79 (1962), 946.
- (13) P. N. Butcher, Nonlinear Optical Phenomena, *Ohio State University Engineering Bulletin*, Columbus, Ohio (1965).
- (14) R. C. Miller, Optical Second Harmonic Generation in Piezoelectric Crystals, *Appl. Phys. Lett.* 5 (1965), 17.

LITERATURE CITED (Cont'd)

- (15) B. Lax, J. G. Mavroides, and D. F. Edwards, Nonlinear Interband and Plasma Effects in Solids, Phys. Rev. Lett. 8 (1962), 166.
- (16) N. V. Bloembergen, Nonlinear Optics: A Lecture Note and Reprint Volume, Benjamin, New York (1965).
- (17) C. G. B. Garrett and F. N. H. Robinson, Miller's Phenomenological Rule for Computing Nonlinear Susceptibilities, IEEE J. Quantum Electron. QE-2 (1966), 328.
- (18) A. Yariv, Quantum Electronics, 2nd ed., Wiley, New York (1975).
- (19) E. Adler, Nonlinear Optical Frequency Polarization in a Dielectric, Phys. Rev. A134 (1964), 728.
- (20) F. N. H. Robinson, Nonlinear Optical Coefficients, Bell Sys. Tech. J. 46 (1967), 913.
- (21) G. F. Koster, J. O. Dimmock, R. G. Wheeler, and H. Statz, Properties of the Thirty-Two Point Groups, MIT, Cambridge, MA (1963).
- (22) M. Born and E. Wolf, Principles of Optics, Pergamon Press, New York (1964), p 97.
- (23) C. F. J. Bottcher and P. Bordewijk, Theory of Electric Polarization, Vol II, Elsevier, New York (1978), p 288.
- (24) J. D. Jackson, Classical Electrodynamics, 2nd Edition, McGraw-Hill, New York (1975), p 285.
- (25) C. J. Ballhausen, Introduction to Ligand Field Theory, McGraw-Hill, New York (1962).
- (26) M. Timkin, Group Theory and Quantum Mechanics, McGraw-Hill, New York (1964).
- (27) J. L. Prather, Atomic Energy Levels in Crystals, National Bureau of Standards, Monograph 19, U.S. Government Printing Office (1961), p 5.
- (28) P. D. Maker and R. W. Terhune, Study of Optical Effects due to an Induced Polarization Third Order in the Electric Field Strength, Phys. Rev. 137A (1965), 801.
- (29) J. J. Wynne and G. D. Boyd, Study of Optical Difference Mixing in Ge and Si using a CO<sub>2</sub> Gas Laser, Appl. Phys. Lett. 12 (1968), 191.
- (30) C. C. Wang, Empirical Relation Between the Linear and the Third-Order Nonlinear Optical Susceptibilities, Phys. Rev B2 (1970), 2045.

APPENDIX A.--SUMMARY OF RESULTS FOR ALL CRYSTAL CLASSES

The invariant polynomials, resultant potential, linear susceptibilities, and Miller's  $\delta^{2\omega}$  and  $\delta^{3\omega}$  are given according to crystal class. From  $\delta^{2\omega}$ , the second-harmonic-generation coefficients  $d^{2\omega}$  can be constructed using equation (7) which we can rewrite as

$$d_{ijk}^{2\omega} = \delta_{ijk}^{2\omega} \chi_{ii}^{(2\omega)} \chi_{jj}^{(\omega)} \chi_{kk}^{(\omega)} .$$

Note that the appendix makes use of the contracted indices where the  $jk$  suffix of  $\delta_{ijk}^{2\omega}$  is replaced by  $l$ , where  $l = 1, 2, 3, 4, 5, 6$  replaces  $jk = 11, 22, 33, 23$  (or 32), 13 (or 31), 12 (or 21), respectively.  $\chi^{(2)}$  can be determined from  $d^{2\omega}$  according to equation (4) in the body of the report, repeated here:

$$\chi_{il}^{(2)} = d_{il}^{2\omega} \text{ for } l \leq 3 \text{ and } \chi_{il}^{(2)} = 2d_{il}^{2\omega} \text{ for } l \geq 4 .$$

Similarly, the third-harmonic-generation coefficient  $d^{3\omega}$  can be obtained from the  $\delta^{3\omega}$  according to equation (47), which is rewritten as

$$d_{ijkl}^{3\omega} = \delta_{ijkl}^{3\omega} \chi_{ii}^{(3\omega)} \chi_{jj}^{(\omega)} \chi_{kk}^{(\omega)} \chi_{ll}^{(\omega)} .$$

Again in the appendix we use the contracted indices  $\delta_{im}^{(3)}$  where  $m$  replaces the  $ijkl$  indices according to table 5 in the text.  $\chi^{(3)}$  can then be determined from  $d^{3\omega}$  according to  $\chi_{im}^{(3)} = d_{im}^{3\omega}$  for  $m \leq 3$ ,  $3d_{im}^{3\omega}$  for  $3 \leq m \leq 9$ , and  $6d_{im}^{3\omega}$  for  $m = 0$ .

Crystal Class 1.  $C_1$  (1)

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S  $\delta$  FOR CRYSTAL CLASS  $C_1$

5. Linear susceptibilities:

$$\begin{aligned} \chi_{xx}^{(1)}(\omega) &= Ne/D_x \\ \chi_{yy}^{(1)}(\omega) &= Ne/D_y \\ \chi_{zz}^{(1)}(\omega) &= Ne/D_z \\ \text{where } D_1(\omega) &= (\omega_1^2 - \omega^2)m_1/e. \end{aligned}$$

1. Invariant polynomials of second order:

$$x^2, y^2, z^2$$

2. Invariant polynomials of third order:

$$x^3, y^3, z^3, xy^2, xz^2, x^2y, x^2z, yz^2, y^2z, xyz$$

3. Invariant polynomials of fourth order:

$$\begin{aligned} x^4, y^4, z^4, x^3y, x^3z, xy^3, y^3z, xz^3, yz^3, \\ x^2y^2, x^2z^2, y^2z^2, x^2yz, xy^2z, xyz^2 \end{aligned}$$

4. Potential energy:

$$\begin{aligned} U = & \frac{1}{2} m_x \omega_x^2 x^2 + \frac{1}{2} m_y \omega_y^2 y^2 + \frac{1}{2} m_z \omega_z^2 z^2 + \frac{1}{3} \beta_1 x^3 + \frac{1}{3} \beta_2 y^3 + \frac{1}{3} \beta_3 z^3 + \beta_4 x^2 y \\ & + \beta_5 x^2 z + \beta_6 xy^2 + \beta_7 y^2 z + \beta_8 xz^2 + \beta_9 yz^2 + 2\beta_{10} xyz + \frac{1}{4} \gamma_1 x^4 \\ & + \frac{1}{4} \gamma_2 y^4 + \frac{1}{4} \gamma_3 z^4 + \gamma_4 x^3 y + \gamma_5 x^3 z + \gamma_6 xy^3 + \gamma_7 y^3 z + \gamma_8 xz^3 \\ & + \gamma_9 yz^3 + \frac{3}{2} \gamma_{10} x^2 y^2 + \frac{3}{2} \gamma_{11} x^2 z^2 + \frac{3}{2} \gamma_{12} y^2 z^2 + 3\gamma_{13} x^2 yz \\ & + 3\gamma_{14} xy^2 z + 3\gamma_{15} xyz^2 \end{aligned}$$

7. Miller's  $\delta^{3\omega}$ :

$$\delta^{3\omega} = \frac{2}{e^3 N^3} [\chi_{xx}(2\omega)B^a + \chi_{yy}(2\omega)B^b + \chi_{zz}(2\omega)B^c] - \frac{1}{e^3 N^3} C$$

where

5. Miller's  $\delta^{2\omega}$ :

$$\delta^{2\omega} = \frac{1}{e^2 N^2} \begin{bmatrix} \beta_1 & \beta_6 & \beta_8 & \beta_0 & \beta_5 & \beta_4 \\ \beta_4 & \beta_2 & \beta_9 & \beta_7 & \beta_0 & \beta_6 \\ \beta_5 & \beta_7 & \beta_3 & \beta_9 & \beta_8 & \beta_0 \end{bmatrix}$$

$$\begin{aligned}
 B^a &= \begin{bmatrix} B_{11}^a & B_{12}^a & B_{13}^a & B_{14}^a & B_{15}^a \\ B_{21}^a & B_{22}^a & B_{23}^a & B_{24}^a & B_{25}^a \\ B_{31}^a & B_{32}^a & B_{33}^a & B_{34}^a & B_{35}^a \\ B_{41}^a & B_{42}^a & B_{43}^a & B_{44}^a & B_{45}^a \\ B_{51}^a & B_{52}^a & B_{53}^a & B_{54}^a & B_{55}^a \end{bmatrix} \\
 B^b &= \begin{bmatrix} B_{11}^b & B_{12}^b & B_{13}^b & B_{14}^b & B_{15}^b \\ B_{21}^b & B_{22}^b & B_{23}^b & B_{24}^b & B_{25}^b \\ B_{31}^b & B_{32}^b & B_{33}^b & B_{34}^b & B_{35}^b \\ B_{41}^b & B_{42}^b & B_{43}^b & B_{44}^b & B_{45}^b \\ B_{51}^b & B_{52}^b & B_{53}^b & B_{54}^b & B_{55}^b \end{bmatrix} \\
 B^c &= \begin{bmatrix} B_{11}^c & B_{12}^c & B_{13}^c & B_{14}^c & B_{15}^c \\ B_{21}^c & B_{22}^c & B_{23}^c & B_{24}^c & B_{25}^c \\ B_{31}^c & B_{32}^c & B_{33}^c & B_{34}^c & B_{35}^c \\ B_{41}^c & B_{42}^c & B_{43}^c & B_{44}^c & B_{45}^c \\ B_{51}^c & B_{52}^c & B_{53}^c & B_{54}^c & B_{55}^c \end{bmatrix} \\
 B^d &= \begin{bmatrix} B_{11}^d & B_{12}^d & B_{13}^d & B_{14}^d & B_{15}^d \\ B_{21}^d & B_{22}^d & B_{23}^d & B_{24}^d & B_{25}^d \\ B_{31}^d & B_{32}^d & B_{33}^d & B_{34}^d & B_{35}^d \\ B_{41}^d & B_{42}^d & B_{43}^d & B_{44}^d & B_{45}^d \\ B_{51}^d & B_{52}^d & B_{53}^d & B_{54}^d & B_{55}^d \end{bmatrix}
 \end{aligned}$$

$$\begin{aligned}
 Y &= \begin{bmatrix} Y_1 & Y_2 & Y_3 & Y_4 & Y_5 & Y_6 & Y_7 & Y_8 & Y_9 & Y_{10} & Y_{11} & Y_{12} & Y_{13} & Y_{14} & Y_{15} \\ Y_2 & Y_3 & Y_4 & Y_5 & Y_6 & Y_7 & Y_8 & Y_9 & Y_{10} & Y_{11} & Y_{12} & Y_{13} & Y_{14} & Y_{15} \\ Y_3 & Y_4 & Y_5 & Y_6 & Y_7 & Y_8 & Y_9 & Y_{10} & Y_{11} & Y_{12} & Y_{13} & Y_{14} & Y_{15} \\ Y_4 & Y_5 & Y_6 & Y_7 & Y_8 & Y_9 & Y_{10} & Y_{11} & Y_{12} & Y_{13} & Y_{14} & Y_{15} \\ Y_5 & Y_6 & Y_7 & Y_8 & Y_9 & Y_{10} & Y_{11} & Y_{12} & Y_{13} & Y_{14} & Y_{15} \end{bmatrix}
 \end{aligned}$$

Crystal Class 2.  $C_i (\bar{1})$

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S  $\delta$  FOR CRYSTAL CLASS  $C_i$

5. Linear susceptibilities:

$$\chi_{xx}(\omega) = Ne/D_x$$

$$\chi_{yy}(\omega) = Ne/D_y$$

$$\chi_{zz}(\omega) = Ne/D_z$$

where  $D_i(\omega) = (\omega_i^2 - \omega^2)m_i/e$ .

1. Invariant polynomials of second order:

$$x^2, y^2, z^2$$

2. Invariant polynomials of third order:

none

3. Invariant polynomials of fourth order:

$$x^4, y^4, z^4, x^3y, x^3z, xy^3, y^3z, xz^3, yz^3, x^2y^2, x^2z^2, y^2z^2, x^2yz, xy^2z, xyz^2$$

4. Potential energy:

$$U = \frac{1}{2} m_x \omega^2 x^2 + \frac{1}{2} m_y \omega^2 y^2 + \frac{1}{2} m_z \omega^2 z^2 + \frac{1}{4} \gamma_1 x^4 + \frac{1}{4} \gamma_2 y^4 + \frac{1}{4} \gamma_3 z^4 + \gamma_4 x^3y + \gamma_5 x^3z + \gamma_6 xy^3 + \gamma_7 y^3z + \gamma_8 xz^3 + \gamma_9 yz^3 + \frac{3}{2} \gamma_{10} x^2y^2 + \frac{3}{2} \gamma_{11} x^2z^2 + \frac{3}{2} \gamma_{12} y^2z^2 + 3\gamma_{13} x^2yz + 3\gamma_{14} xy^2z + 3\gamma_{15} xyz^2$$

6. Miller's  $\delta^{2\omega}$ :

none



7. Miller's  $\delta^{3\omega}$ :

$$\delta^{3\omega} = \frac{2}{e^4 N^3} [\chi_{xx} (2\omega) B^3 + \chi_{yy} (2\omega) B^3 + \chi_{zz} (2\omega) B^3] - \frac{1}{e^4 N^3} G$$

where

$$B^3 = B^0 = 0$$

$$G = \begin{bmatrix} \gamma_1 & \gamma_2 & \gamma_3 & \gamma_4 & \gamma_5 & \gamma_6 & \gamma_7 & \gamma_8 & \gamma_9 & \gamma_{10} & \gamma_{11} & \gamma_{12} & \gamma_{13} & \gamma_{14} & \gamma_{15} \\ \gamma_4 & \gamma_2 & \gamma_3 & \gamma_{10} & \gamma_5 & \gamma_6 & \gamma_7 & \gamma_{15} & \gamma_9 & \gamma_{13} & \gamma_{11} & \gamma_{12} & \gamma_{14} & \gamma_{14} & \gamma_{15} \\ \gamma_5 & \gamma_7 & \gamma_3 & \gamma_{13} & \gamma_{11} & \gamma_{14} & \gamma_{13} & \gamma_8 & \gamma_9 & \gamma_{13} & \gamma_{11} & \gamma_{12} & \gamma_{14} & \gamma_{14} & \gamma_{15} \end{bmatrix}$$

Crystal Class 3. C<sub>2</sub> (2)

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S δ FOR CRYSTAL CLASS C<sub>2</sub>

1. Invariant polynomials of second order:

$$x^2, y^2, z^2$$

2. Invariant polynomials of third order:

$$x^3, x^2z, y^2z, xyz$$

3. Invariant polynomials of fourth order:

$$x^4, y^4, z^4, x^3y, xy^3, x^2y^2, x^2z^2, y^2z^2, xyz^2$$

4. Potential energy:

$$U = \frac{1}{2} m_x \omega^2 x^2 + \frac{1}{2} m_y \omega^2 y^2 + \frac{1}{2} m_z \omega^2 z^2 + \frac{1}{3} \beta_3 z^3 + \beta_5 x^2 z$$

$$+ \beta_7 y^2 z + 2\beta_6 xyz + \frac{1}{4} \gamma_1 x^4 + \frac{1}{4} \gamma_2 y^4 + \frac{1}{4} \gamma_3 z^4 + \gamma_4 x^3 y$$

$$+ \gamma_6 xy^3 + \frac{3}{2} \gamma_{10} x^2 y^2 + \frac{3}{2} \gamma_{11} x^2 z^2 + \frac{3}{2} \gamma_{12} y^2 z^2$$

$$+ 3\gamma_{15} xyz^2$$

7. Miller's  $\delta^{3\omega}$ :

$$\delta^{3\omega} = \frac{2}{e^6 N^3} [\chi_{xx}(2\omega)B^a + \chi_{yy}(2\omega)B^b + \chi_{zz}(2\omega)B^c] - \frac{1}{e^4 N^3} G$$

where

5. Linear susceptibilities:

$$\chi_{xx}(\omega) = Ne/D_x$$

$$\chi_{yy}(\omega) = Ne/D_y$$

$$\chi_{zz}(\omega) = Ne/D_z$$

where  $D_i(\omega) = (\omega_1^2 - \omega^2)m_i/e$ .

6. Miller's  $\delta^{2\omega}$ :

$$\delta^{2\omega} = \frac{1}{e^3 N^2} \begin{bmatrix} 0 & 0 & 0 & \beta_0 & \beta_5 & 0 \\ 0 & 0 & 0 & \beta_7 & \beta_0 & 0 \\ \beta_5 & \beta_7 & \beta_3 & 0 & 0 & \beta_0 \end{bmatrix}$$



Crystal Class 4,  $C_3$  (m)

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S  $\delta$  FOR CRYSTAL CLASS  $C_3$

1. Invariant polynomials of second order:

$$x^2, y^2, z^2$$

2. Invariant polynomials of third order:

$$x^3, y^3, z^3, xy^2, xz^2, x^2y, yz^2$$

3. Invariant polynomials of fourth order:

$$x^4, y^4, z^4, x^3y, xy^3, x^2y^2, x^2z^2, y^2z^2, xyz^2$$

4. Potential energy:

$$U = \frac{1}{2} m_x \omega_x^2 x^2 + \frac{1}{2} m_y \omega_y^2 y^2 + \frac{1}{2} m_z \omega_z^2 z^2 + \frac{1}{3} \beta_1 x^3 + \frac{1}{3} \beta_2 y^3 + \beta_4 x^2 y$$

$$+ \beta_6 xy^2 + \beta_8 xz^2 + \beta_9 yz^2 + \frac{1}{4} \gamma_1 x^4 + \frac{1}{4} \gamma_2 y^4 + \frac{1}{4} \gamma_3 z^4 + \gamma_4 x^3 y$$

$$+ \gamma_6 xy^3 + \frac{3}{2} \gamma_{10} x^2 y^2 + \frac{3}{2} \gamma_{11} x^2 z^2 + \frac{3}{2} \gamma_{12} y^2 z^2 + 3\gamma_{15} xyz^2$$

7. Miller's  $\delta^{3\omega}$ :

$$\delta^{3\omega} = \frac{2}{e^3 N^3} [\chi_{xx}(2\omega)B^3 + \chi_{yy}(2\omega)B^3 + \chi_{zz}(2\omega)B^3] - \frac{1}{e^3 N^3} C$$

where

5. Linear susceptibilities:

$$\chi_{xx}(\omega) = Ne/L_x$$

$$\chi_{yy}(\omega) = Ne/L_y$$

$$\chi_{zz}(\omega) = Ne/L_z$$

where  $D_i(\omega) = (\omega_i^2 - \omega^2)m_i/\omega$ .

6. Miller's  $\delta^{2\omega}$ :

$$\delta^{2\omega} = \frac{1}{e^2 N^2} \begin{bmatrix} \beta_1 & \beta_6 & \beta_8 & 0 & 0 & \beta_4 \\ \beta_4 & \beta_2 & \beta_9 & 0 & 0 & \beta_6 \\ 0 & 0 & 0 & \beta_9 & \beta_8 & 0 \end{bmatrix}$$



Crystal Class 5.  $C_{2h}$  (2/m)

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S  $\delta$  FOR CRYSTAL CLASS  $C_{2h}$

1. Invariant polynomials of second order:  
 $x^2, y^2, z^2$
2. Invariant polynomials of third order:  
none
3. Invariant polynomials of fourth order:  
 $x^4, y^4, z^4, x^3y, xy^3, x^2y^2, x^2z^2, y^2z^2, xyz^2$
5. Linear susceptibilities:  

$$\chi_{xx}(\omega) = Ne/D_x$$

$$\chi_{yy}(\omega) = Ne/D_y$$

$$\chi_{zz}(\omega) = Ne/D_z$$

where  $D_i(\omega) = (\omega_i^2 - \omega^2)m_i/e$ .

4. Potential energy:  

$$U = \frac{1}{2} m_x \omega_x^2 x^2 + \frac{1}{2} m_y \omega_y^2 y^2 + \frac{1}{2} m_z \omega_z^2 z^2 + \frac{1}{4} \gamma_1 x^4 + \frac{1}{4} \gamma_2 y^4$$

$$+ \frac{1}{4} \gamma_3 z^4 + \gamma_4 x^3 y + \gamma_6 x y^3 + \frac{3}{2} \gamma_{10} x^2 y^2 + \frac{3}{2} \gamma_{11} x^2 z^2$$

$$+ \frac{3}{2} \gamma_{12} y^2 z^2 + 3\gamma_{15} x y z^2$$
6. Miller's  $\delta^{2\omega}$ :  
none

7. Miller's  $\delta^{3\omega}$ .

$$\delta^{3\omega} = \frac{-e^3 N^3}{\epsilon^3 N^3} [\chi_{xx}(\omega)B^3 + \chi_{yy}(2\omega)B^3 + \chi_{zz}(2\omega)B^3] - \frac{1}{e^3 N^3} \sigma$$

where

$$B^3 = B^b = B^c = 0$$

$$G = \begin{bmatrix} \gamma_1 & \gamma_6 & 0 & \gamma_4 & 0 & \gamma_{11} & 0 & \gamma_{10} & \gamma_{15} & 0 \\ \gamma_2 & \gamma_2 & 0 & \gamma_{10} & 0 & \gamma_{15} & 0 & \gamma_6 & \gamma_{12} & 0 \\ 0 & 0 & \gamma_3 & 0 & \gamma_{12} & 0 & \gamma_{11} & 0 & 0 & \gamma_{15} \end{bmatrix}$$

Crystal class 6. D<sub>2</sub> (222)

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S δ FOR CRYSTAL CLASS D<sub>2</sub>

1. Invariant polynomials of second order:

$$x^2, y^2, z^2$$

2. Invariant polynomials of third order:

$$xyz$$

3. Invariant polynomials of fourth order:

$$x^4, y^4, z^4, x^2y^2, x^2z^2, y^2z^2$$

4. Potential energy:

$$U = \frac{1}{2} m_x \omega^2 x^2 + \frac{1}{2} m_y \omega^2 y^2 + \frac{1}{2} m_z \omega^2 z^2 + 2\beta_0 xyz + \frac{1}{4} \gamma_1 x^4 + \frac{1}{4} \gamma_2 y^4 + \frac{1}{4} \gamma_3 z^4 + \frac{3}{2} \gamma_{10} x^2 y^2 + \frac{3}{2} \gamma_{11} x^2 z^2 + \frac{3}{2} \gamma_{12} y^2 z^2$$

5. Linear susceptibilities:

$$\chi_{xx}(\omega) = Ne/D_x$$

$$\chi_{yy}(\omega) = Ne/D_y$$

$$\chi_{zz}(\omega) = Ne/D_z$$

where  $D_i(\omega) = (\omega_i^2 - \omega^2)m_i/e$ .

6. Miller's  $\delta^{2\omega}$ :

$$\delta^{2\omega} = \frac{1}{e^3 N^2} \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & B_0 \end{bmatrix}$$

7. Miller's  $\delta^{3\omega}$ :

$$\delta^{3\omega} = \frac{2}{e^6 N^3} [\chi_{xx}(2\omega)B^a + \chi_{yy}(2\omega)B^b + \chi_{zz}(2\omega)B^c] - \frac{1}{e^4 N^2} C$$

where



$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$\begin{bmatrix} \frac{\partial^2 D_{11}}{\partial x^2} & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & \frac{\partial^2 D_{12}}{\partial x^2} & 0 \end{bmatrix}$$

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & \frac{\partial^2 D_{11}}{\partial x^2} & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$\begin{bmatrix} 0 & 0 & \frac{\partial^2 D_{12}}{\partial x^2} \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$\begin{bmatrix} \frac{\partial^2 D_{11}}{\partial x^2} & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & \frac{\partial^2 D_{12}}{\partial x^2} & 0 \end{bmatrix}$$

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & \frac{\partial^2 D_{11}}{\partial x^2} & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$\begin{bmatrix} 0 & 0 & \frac{\partial^2 D_{12}}{\partial x^2} \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$\begin{bmatrix} \frac{\partial^2 D_{11}}{\partial x^2} & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & \frac{\partial^2 D_{12}}{\partial x^2} & 0 \end{bmatrix}$$

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & \frac{\partial^2 D_{11}}{\partial x^2} & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$\begin{bmatrix} 0 & 0 & \frac{\partial^2 D_{12}}{\partial x^2} \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

Crystal Class  $C_{2v}$

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S  $\delta$  FOR CRYSTAL CLASS  $C_{2v}$

1. Invariant polynomials of second order:

$$x^2, y^2, z^2$$

2. Invariant polynomials of third order:

$$z^3, x^2z, y^2z$$

3. Invariant polynomials of fourth order:

$$x^4, y^4, z^4, x^2y^2, x^2z^2, y^2z^2$$

4. Potential energy:

$$U = \frac{1}{2} m_x \omega_x^2 x^2 + \frac{1}{2} m_y \omega_y^2 y^2 + \frac{1}{2} m_z \omega_z^2 z^2 + \frac{1}{3} \beta_3 z^3 + \beta_5 x^2 z + \beta_7 y^2 z$$

$$+ \frac{1}{4} \gamma_1 x^4 + \frac{1}{4} \gamma_2 y^4 + \frac{1}{4} \gamma_3 z^4 + \frac{3}{2} \gamma_4 x^2 y^2 + \frac{3}{2} \gamma_5 x^2 z^2 + \frac{3}{2} \gamma_6 y^2 z^2$$

7. Miller's  $\delta^{3\omega}$ :

$$\delta^{3\omega} = \frac{2}{e^3 N^3} [\chi_{xx}(2\omega)B^3 + \chi_{yy}(2\omega)B^3 + \chi_{zz}(2\omega)B^3] - \frac{1}{e^3 N^3} G$$

where

5. Linear susceptibilities:

$$\chi_{xx}(\omega) = Ne/D_x$$

$$\chi_{yy}(\omega) = Ne/D_y$$

$$\chi_{zz}(\omega) = Ne/D_z$$

where  $D_i(\omega) = (\omega_i^2 - \omega^2)m_i/e$ .

6. Miller's  $\delta^{2\omega}$ :

$$\delta^{2\omega} = \frac{1}{e^2 N^2} \begin{bmatrix} 0 & 0 & 0 & 0 & \beta_5 & 0 \\ 0 & 0 & 0 & 0 & \beta_7 & 0 \\ \beta_5 & \beta_7 & 0 & 0 & 0 & 0 \end{bmatrix}$$



Crystal Class 8.  $D_{2h}$  (mmm)

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S  $\delta$  FOR CRYSTAL CLASS  $D_{2h}$

5. Linear susceptibilities:

$$\chi_{xx}(\omega) = Ne/D_x$$

$$\chi_{yy}(\omega) = Ne/D_y$$

$$\chi_{zz}(\omega) = Ne/D_z$$

where  $D_i(\omega) = (\omega_i^2 - \omega^2)m_i/e$ .

1. Invariant polynomials of second order:

$$x^2, y^2, z^2$$

2. Invariant polynomials of third order:

none

3. Invariant polynomials of fourth order:

$$x^4, y^4, z^4, x^2y^2, x^2z^2, y^2z^2$$

4. Potential energy:

$$U = \frac{1}{2} m_x \omega_x^2 x^2 + \frac{1}{2} m_y \omega_y^2 y^2 + \frac{1}{2} m_z \omega_z^2 z^2 + \frac{1}{4} \gamma_1 x^4 + \frac{1}{4} \gamma_2 y^4 + \frac{1}{4} \gamma_3 z^4 + \frac{3}{2} \gamma_{10} x^2 y^2 + \frac{3}{2} \gamma_{11} x^2 z^2 + \frac{3}{2} \gamma_{12} y^2 z^2$$

6. Miller's  $\delta^{2\omega}$ :

none

7. Miller's  $\delta^{3\omega}$ :

$$\delta^{3\omega} = \frac{2}{e^2 N^2} [ \chi_{xx}(2\omega)B^a + \chi_{yy}(2\omega)B^b + \chi_{zz}(2\omega)B^c ] - \frac{1}{e^2 N^2} G$$

where

$$B^a = B^b = B^c = 0$$

$$G = \begin{bmatrix} \gamma_1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & \gamma_2 & 0 & \gamma_{10} & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & \gamma_3 & 0 & \gamma_{12} & 0 & \gamma_{11} & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & \gamma_{12} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & \gamma_{10} & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \gamma_{12} \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

Crystal Class 9. C<sub>4</sub> (4)

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S δ FOR CRYSTAL CLASS C<sub>4</sub>

1. Invariant polynomials of second order:  $x^2 + y^2, z^2$

$$x^2 + y^2, z^2$$

2. Invariant polynomials of third order:

$$z^3, (x^2 + y^2)z$$

3. Invariant polynomials of fourth order:

$$x^4 + y^4, z^4, x^2y^2, (x^2 + y^2)z^2, (x^2 - y^2)xy$$

4. Potential energy:

$$U = \frac{1}{2} m_x \omega_x^2 (x^2 + y^2) + \frac{1}{2} m_z \omega_z^2 z^2 + \frac{1}{3} \beta_3 z^3 + \beta_5 (x^2 + y^2)z$$

$$+ \frac{1}{4} \gamma_1 (x^4 + y^4) + \frac{1}{4} \gamma_3 z^4 + \gamma_4 (x^2 - y^2)xy + \frac{3}{2} \gamma_{10} x^2 y^2$$

$$+ \gamma_{11} (x^2 + y^2)z^2$$

5. Linear susceptibilities:

$$\chi_{xx}(\omega) = Ne/D_x$$

$$\chi_{yy}(\omega) = \chi_{xx}(\omega)$$

$$\chi_{zz}(\omega) = Ne/D_z$$

where  $D_i(\omega) = (\omega_i^2 - \omega^2)m_i/e$ .

6. Miller's  $\delta^{2\omega}$ :

$$\delta^{2\omega} = \frac{1}{e^2 N^2} \begin{bmatrix} 0 & 0 & 0 & 0 & \beta_5 & 0 \\ 0 & 0 & 0 & 0 & \beta_5 & 0 \\ \beta_5 & \beta_5 & \beta_3 & 0 & 0 & 0 \end{bmatrix}$$

7. Miller's  $\delta^{3\omega}$ :

$$\delta^{3\omega} = \frac{2}{e^6 N^3} [\chi_{xx}(2\omega)B^{ab} + \chi_{zz}(2\omega)B^c] - \frac{1}{e^4 N^3} D$$

where

$$B^{ab} = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

$$B^c = \begin{bmatrix} B_5^2 & 0 & 0 & 0 & 0 & 0 \\ 0 & B_5^2 & 0 & 0 & 0 & 0 \\ 0 & 0 & B_5^2 & 0 & 0 & 0 \\ 0 & 0 & 0 & B_5^2 & 0 & 0 \\ 0 & 0 & 0 & 0 & B_5^2 & 0 \\ 0 & 0 & 0 & 0 & 0 & B_5^2 \end{bmatrix}$$

$$D = \begin{bmatrix} \gamma_1 & -\gamma_4 & 0 & \gamma_4 & 0 & \gamma_{11} & 0 & \gamma_{10} & 0 & \gamma_{11} & 0 & 0 & 0 \\ \gamma_4 & \gamma_1 & 0 & \gamma_{10} & 0 & 0 & 0 & -\gamma_4 & \gamma_{11} & 0 & 0 & 0 & 0 \\ 0 & 0 & \gamma_3 & 0 & \gamma_{11} & 0 & \gamma_{11} & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

Crystal Class 10.  $S_4$  ( $\bar{4}$ )

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S  $\delta$  FOR CRYSTAL CLASS  $S_4$

1. Invariant polynomials of second order:

$$x^2 + y^2, \quad z^2$$

2. Invariant polynomials of third order:

$$(x^2 - y^2)z, \quad xyz$$

3. Invariant polynomials of fourth order:

$$x^4 + y^4, \quad z^4, \quad x^2y^2, \quad (x^2 + y^2)z^2, \quad (x^2 - y^2)xy$$

4. Potential energy:

$$U = \frac{1}{2} m_x \omega_x^2 (x^2 + y^2) + \frac{1}{2} m_z \omega_z^2 z^2 + \beta_5 (x^2 - y^2)z + 2\beta_0 xyz \\ + \frac{1}{4} \gamma_1 (x^4 + y^4) + \frac{1}{4} \gamma_3 z^4 + \gamma_4 (x^2 - y^2)xy + \frac{3}{2} \gamma_{10} x^2 y^2 \\ + \frac{3}{2} \gamma_{11} (x^2 + y^2)z^2$$

5. Linear susceptibilities:

$$\chi_{xx}(\omega) = Ne/D_x$$

$$\chi_{yy}(\omega) = \chi_{xx}(\omega)$$

$$\chi_{zz}(\omega) = Ne/D_z$$

$$\text{where } D_i(\omega) = (\omega_i^2 - \omega^2)m_i/e.$$

6. Miller's  $\delta^{2\omega}$ :

$$\delta^{2\omega} = \frac{1}{e^3 N^2} \begin{bmatrix} 0 & 0 & 0 & \beta_0 & \beta_5 & 0 \\ 0 & 0 & 0 & -\beta_5 & \beta_0 & 0 \\ \beta_5 & -\beta_5 & 0 & 0 & 0 & \beta_0 \end{bmatrix}$$



7. Miller's  $\delta^{3\omega}$ :

$$\delta^{3\omega} = \frac{2}{e^6 N^3} [X_{xx}(2\omega)B^{ab} + X_{zz}(2\omega)B^c] - \frac{1}{e^6 N^3} 0$$

where

$$B^{ab} = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

$$B^c = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

$$B^c = \begin{bmatrix} B_5^2 & -B_5 B_0 & 0 & B_5 B_0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

$$S = \begin{bmatrix} Y_1 & -Y_4 & 0 & Y_4 & 0 & Y_{11} & 0 & Y_{10} & 0 & 0 \\ 0 & Y_4 & 0 & Y_{10} & 0 & 0 & 0 & -Y_4 & Y_{11} & 0 \\ 0 & 0 & Y_3 & 0 & Y_{11} & 0 & Y_{11} & 0 & 0 & 0 \end{bmatrix}$$

Crystal Class 11.  $C_{4h}$  (4/m)

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S  $\delta$  FOR CRYSTAL CLASS  $C_{4h}$  (4/m)

1. Invariant polynomials of second order:

$$x^2 + y^2, \quad z^2$$

2. Invariant polynomials of third order:

none

3. Invariant polynomials of fourth order:

$$x^4 + y^4, \quad z^4, \quad x^2y^2, \quad (x^2 + y^2)z^2, \quad (x^2 - y^2)xy$$

4. Potential energy:

$$U = \frac{1}{2} m_x \omega_x^2 (x^2 + y^2) + \frac{1}{2} m_z \omega_z^2 z^2 + \frac{1}{4} \gamma_1 (x^4 + y^4) + \frac{1}{4} \gamma_3 z^4 \\ + \gamma_4 (x^2 - y^2)xy + \frac{3}{2} \gamma_{10} x^2 y^2 + \frac{3}{2} \gamma_{11} (x^2 + y^2)z^2$$

5. Linear susceptibilities:

$$\chi_{xx}(\omega) = Ne/D_x$$

$$\chi_{yy}(\omega) = \chi_{xx}(\omega)$$

$$\chi_{zz}(\omega) = Ne/D_z$$

where  $D_i(\omega) = (\omega_i^2 - \omega^2)m_i/e$ .

6. Miller's  $\delta^{2\omega}$ :

none

7. Miller's  $\delta^{3\omega}$ :

$$\delta^{3\omega} = \frac{2}{e^3 N^3} [\chi_{xx}(2\omega)B^{ab} + \chi_{zz}(2\omega)B^c] - \frac{1}{e^3 N^3} G$$

where

$$B^{ab} = B^c = 0$$

$$G = \begin{bmatrix} \gamma_1 & -\gamma_4 & 0 & \gamma_4 & 0 & 0 & \gamma_{11} & 0 & \gamma_{10} & 0 & 0 \\ \gamma_4 & \gamma_1 & 0 & \gamma_{10} & 0 & 0 & 0 & -\gamma_4 & \gamma_{11} & 0 & 0 \\ 0 & 0 & \gamma_3 & 0 & \gamma_{11} & 0 & 0 & 0 & 0 & \gamma_{11} & 0 \end{bmatrix}$$

Crystal Class 12.  $D_4$  (422)

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S  $\delta$  FOR CRYSTAL CLASS  $D_4$

1. Invariant polynomials of second order:

$$x^2 + y^2, \quad z^2$$

2. Invariant polynomials of third order:

none

3. Invariant polynomials of fourth order:

$$x^4 + y^4, \quad z^4, \quad x^2y^2, \quad (x^2 + y^2)z^2$$

4. Potential energy:

$$U = \frac{1}{2} m_X \omega_X^2 (x^2 + y^2) + \frac{1}{2} m_Z \omega_Z^2 z^2 + \frac{1}{4} \gamma_1 (x^4 + y^4) + \frac{1}{4} \gamma_3 z^4 \\ + \frac{3}{2} \gamma_{10} x^2 y^2 + \frac{3}{2} \gamma_{11} (x^2 + y^2) z^2$$

5. Linear susceptibilities:

$$\chi_{xx}(\omega) = Ne/D_x$$

$$\chi_{yy}(\omega) = \chi_{xx}(\omega)$$

$$\chi_{zz}(\omega) = Ne/D_z$$

where  $D_i(\omega) = (\omega_i^2 - \omega^2)m_i/e$ .

6. Miller's  $\delta^{2\omega}$ :

none

7. Miller's  $\delta^{3\omega}$ :

$$\delta^{3\omega} = \frac{2}{e^6 N^4} [\chi_{xx}(2\omega)B^{ab} + \chi_{zz}(2\omega)B^c] - \frac{1}{e^4 N^3} G$$

where

$$B^{ab} = B^c = 0$$

$$G = \begin{bmatrix} \gamma_1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & \gamma_1 & 0 & \gamma_{10} & 0 & 0 & 0 & 0 \\ 0 & 0 & \gamma_3 & 0 & \gamma_{11} & 0 & \gamma_{11} & 0 \\ 0 & 0 & 0 & \gamma_{11} & 0 & \gamma_{10} & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & \gamma_{11} \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

Crystal Class 13.  $C_{4v}$  (4mm)

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S  $\delta$  FOR CRYSTAL CLASS  $C_{4v}$

1. Invariant polynomials of second order:

$$x^2 + y^2, \quad z^2$$

2. Invariant polynomials of third order:

$$z^3, \quad (x^2 + y^2)z$$

3. Invariant polynomials of fourth order:

$$x^4 + y^4, \quad z^4, \quad x^2y^2, \quad (x^2 + y^2)z^2$$

4. Potential energy:

$$U = \frac{1}{2} m_x \omega_x^2 (x^2 + y^2) + \frac{1}{2} m_z \omega_z^2 z^2 + \frac{1}{3} \beta_3 z^3 + \beta_5 (x^2 + y^2)z \\ + \frac{1}{4} \gamma_1 (x^4 + y^4) + \frac{1}{4} \gamma_3 z^4 + \frac{3}{2} \gamma_{10} x^2 y^2 + \frac{3}{2} \gamma_{11} (x^2 + y^2)z^2$$

5. Linear susceptibilities:

$$\chi_{xx}(\omega) = Ne/D_x$$

$$\chi_{yy}(\omega) = \chi_{xx}(\omega)$$

$$\chi_{zz}(\omega) = Ne/D_z$$

where  $D_i(\omega) = (\omega_i^2 - \omega^2)m_i/e$ .

6. Miller's  $\delta^{2\omega}$ :

$$\delta^{2\omega} = \frac{1}{e^3 N^2} \begin{bmatrix} 0 & 0 & 0 & 0 & \beta_5 & 0 \\ 0 & 0 & 0 & 0 & \beta_5 & 0 \\ \beta_5 & \beta_5 & \beta_3 & 0 & 0 & 0 \end{bmatrix}$$

7. Miller's  $\delta^{3\omega}$ :

$$\delta^{3\omega} = \frac{2}{e^2 N^2} [\chi_{XX}(2\omega)B^{ab} + \chi_{ZZ}(2\omega)B^c] - \frac{1}{e^2 N^2} \chi$$

where

$$B^{ab} = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

$$B^c = \begin{bmatrix} B_5^2 & 0 & 0 & 0 & 0 & 0 \\ 0 & B_5^2 & 0 & 0 & 0 & 0 \\ 0 & 0 & B_3^2 & 0 & 0 & 0 \\ 0 & 0 & 0 & B_3^2 & 0 & 0 \\ 0 & 0 & 0 & 0 & B_3^2 & 0 \\ 0 & 0 & 0 & 0 & 0 & B_3^2 \end{bmatrix}$$

$$G = \begin{bmatrix} \gamma_1 & 0 & 0 & 0 & 0 & 0 \\ 0 & \gamma_1 & 0 & 0 & 0 & 0 \\ 0 & 0 & \gamma_3 & 0 & 0 & 0 \\ 0 & 0 & 0 & \gamma_1 & 0 & 0 \\ 0 & 0 & 0 & 0 & \gamma_1 & 0 \\ 0 & 0 & 0 & 0 & 0 & \gamma_1 \end{bmatrix}$$

Crystal Class 14.  $D_{2d}$  ( $\bar{4}2m$ )

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S  $\delta$  FOR CRYSTAL CLASS  $D_{2d}$

1. Invariant polynomials of second order:

$$x^2 + y^2, \quad z^2$$

2. Invariant polynomials of third order:

$$xyz$$

3. Invariant polynomials of fourth order:

$$x^4 + y^4, \quad z^4, \quad x^2y^2, \quad (x^2 + y^2)z^2$$

4. Potential energy:

$$U = \frac{1}{2} m_x \omega_x^2 (x^2 + y^2) + \frac{1}{2} m_z \omega_z^2 z^2 + \beta_0 xyz + \frac{1}{4} \gamma_1 (x^4 + y^4) \\ + \frac{1}{4} \gamma_3 z^4 + \frac{3}{2} \gamma_{10} x^2 y^2 + \frac{3}{2} \gamma_{11} (x^2 + y^2) z^2$$

5. Linear susceptibilities:

$$\chi_{xx}(\omega) = Ne/D_x$$

$$\chi_{yy}(\omega) = \chi_{xx}(\omega)$$

$$\chi_{zz}(\omega) = Ne/D_z$$

where  $D_i(\omega) = (\omega_i^2 - \omega^2)m_i/e$ .

6. Miller's  $\delta^{2\omega}$ :

$$\delta^{2\omega} = \frac{1}{e^3 N^2} \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$



7. Moments  $\delta_{\omega}^{3\omega}$ :

$$\delta_{\omega}^{3\omega} = \frac{2}{e^6 N^3} [X_{XX}(2\omega)B^{ab} + X_{ZZ}(2\omega)B^C] - \frac{1}{e^4 N^3} C$$

where

$$B^{ab} = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

$$B^C = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

$$C = \begin{bmatrix} \gamma_1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & \gamma_1 & 0 & \gamma_{10} & 0 & \gamma_{11} & 0 & \gamma_{10} & 0 \\ 0 & 0 & \gamma_1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & \gamma_3 & 0 & \gamma_{11} & 0 & \gamma_{11} & 0 \\ 0 & 0 & 0 & 0 & \gamma_3 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & \gamma_3 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & \gamma_3 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & \gamma_3 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \gamma_3 \end{bmatrix}$$

APPENDIX A:  $D_{4h}$

Crystal Class 15.  $D_{4h}$  (4/mmm)

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S  $\delta$  FOR CRYSTAL CLASS  $D_{4h}$

Same as for crystal class 12,  $D_4$

Crystal Class 16.  $C_3$  (3)

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S  $\delta$  FOR CRYSTAL CLASS  $C_3$

1. Invariant polynomials of second order:

$$x^2 + y^2, \quad z^2$$

2. Invariant polynomials of third order:

$$x^3 - 3xy^2, \quad y^3 - 3yx^2, \quad z^3, \quad (x^2 + y^2)z$$

3. Invariant polynomials of fourth order:

$$(x^2 + y^2)^2, \quad z^4, \quad (x^3 - 3xy^2)z, \quad (y^3 - 3x^2y)z, \quad (x^2 + y^2)z^2$$

4. Potential energy:

$$U = \frac{1}{2} m_x \omega_x^2 (x^2 + y^2) + \frac{1}{2} m_z \omega_z^2 z^2 + \frac{1}{3} \beta_1 (x^3 - 3xy^2) \\ + \frac{1}{3} \beta_2 (y^3 - 3x^2y) + \frac{1}{3} \beta_3 z^3 + \beta_5 (x^2 + y^2)z \\ + \frac{1}{4} \gamma_1 (x^2 + y^2)^2 + \frac{1}{4} \gamma_2 z^4 + \gamma_5 (x^3 - 3xy^2)z \\ + \gamma_7 (y^3 - 3x^2y)z + \frac{3}{2} \gamma_{11} (x^2 + y^2)z^2$$

5. Linear susceptibilities:

$$\chi_{xx}(\omega) = Ne/D_x$$

$$\chi_{yy}(\omega) = \chi_{xx}(\omega)$$

$$\chi_{zz}(\omega) = Ne/D_z$$

where  $D_i(\omega) = (\omega_i^2 - \omega^2)m_i/e$ .

6. Miller's  $\delta^{2\omega}$ :

$$\delta^{2\omega} = \frac{1}{e^3 N^2} \begin{bmatrix} \beta_1 & -\beta_1 & 0 & 0 & \beta_5 & -\beta_2 \\ -\beta_2 & \beta_2 & 0 & \beta_5 & 0 & -\beta_1 \\ \beta_5 & \beta_5 & \beta_3 & 0 & 0 & 0 \end{bmatrix}$$

7. Miller's  $\delta^{3\omega}$ :

$$\delta^{3\omega} = \frac{2}{e^2 N^2} [ \chi_{xx} (2\omega) B^{ab} + \chi_{zz} (2\omega) B^c ] - \frac{1}{e^2 N^2} G$$

where

$$B^{ab} = \begin{bmatrix} \beta_1^2 + \beta_2^2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & \beta_1^2 + \beta_2^2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ \beta_1 \beta_5 & \beta_2 \beta_5 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & \beta_1^2 + \beta_2^2 & 0 & 0 & 0 & 0 & 0 & 0 \\ \beta_1 \beta_5 & \beta_2 \beta_5 & 0 & -\beta_2 \beta_5 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

$$B^c = \begin{bmatrix} \beta_5^2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & \beta_5^2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & \beta_5^2 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & \beta_3 \beta_5 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \beta_3 \beta_5 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & \beta_3 \beta_5 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & \beta_3 \beta_5 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & \beta_3 \beta_5 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \beta_3 \beta_5 \end{bmatrix}$$

$$G = \begin{bmatrix} \gamma_1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & \gamma_1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ \gamma_4 & 0 & \gamma_1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & \gamma_1 & 0 & \gamma_1 & 0 & 0 & 0 & 0 & 0 \\ \gamma_7 & 0 & \gamma_3 & -\gamma_7 & \gamma_{11} & 0 & 0 & 0 & 0 \\ 0 & \gamma_1 & 0 & \gamma_7 & -\gamma_7 & \gamma_{11} & 0 & 0 & 0 \\ \gamma_4 & 0 & \gamma_3 & -\gamma_7 & \gamma_{11} & -\gamma_5 & 0 & 0 & 0 \\ 0 & \gamma_1 & 0 & \gamma_7 & -\gamma_7 & \gamma_{11} & 0 & 0 & 0 \\ 0 & \gamma_1 & 0 & \gamma_7 & -\gamma_7 & \gamma_{11} & 0 & 0 & 0 \end{bmatrix}$$

Crystal Class 17.  $C_{3i}, S_6 (\bar{3})$

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S  $\delta$  FOR CRYSTAL CLASS  $C_{3i}$

5. Linear susceptibilities:

$$\chi_{xx}(\omega) = Ne/D_x$$

$$\chi_{yy}(\omega) = \chi_{xx}(\omega)$$

$$\chi_{zz}(\omega) = Ne/D_z$$

where  $D_i(\omega) = (\omega_1^2 - \omega^2) m_i / e$ .

1. Invariant polynomials of second order:

$$x^2 + y^2, \quad z^2$$

2. Invariant polynomials of third order:

none

3. Invariant polynomials of fourth order:

$$(x^2 + y^2)^2, \quad z^4, \quad (x^3 - 3xy^2)z, \quad (y^3 - 3x^2y)z, \\ (x^2 + y^2)z^2$$

4. Potential energy:

$$U = \frac{1}{2} m_x \omega_x^2 (x^2 + y^2) + \frac{1}{2} m_z \omega_z^2 z^2 + \frac{1}{4} \gamma_1 (x^2 + y^2)^2 + \frac{1}{4} \gamma_3 z^4 \\ + \gamma_5 (x^3 - 3xy^2)z + \gamma_7 (y^3 - 3x^2y)z + \frac{3}{2} \gamma_{11} (x^2 + y^2)z^2$$

6. Miller's  $\delta^{2\omega}$ :

none

7. Miller's  $\delta^{3\omega}$ :

$$\delta^{3\omega} = \frac{2}{e^6 N^4} [ \chi_{xx}(2\omega) B^{ab} + \chi_{zz}(2\omega) B^c ] - \frac{1}{e^4 N^3} G$$

where

$$B^{ab} = B^c = 0$$

$$G = \begin{bmatrix} \gamma_1 & 0 & 0 & 0 & -\gamma_5 & \gamma_{11} & \gamma_5 & \frac{\gamma_1}{3} & 0 & -\gamma_7 & 0 & -\gamma_7 \\ 0 & \gamma_1 & 0 & \frac{\gamma_1}{3} & \gamma_7 & 0 & -\gamma_7 & 0 & \gamma_{11} & 0 & \gamma_{11} & -\gamma_5 \\ \gamma_5 & \gamma_7 & \gamma_3 & -\gamma_7 & \gamma_{11} & 0 & \gamma_{11} & -\gamma_5 & 0 & 0 & 0 & 0 \end{bmatrix}$$

Crystal Class 18,  $D_3$  (32)

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S  $\delta$  FOR CRYSTAL CLASS  $D_3$

1. Invariant polynomials of second order:

$$x^2 + y^2, \quad z^2$$

2. Invariant polynomials of third order:

$$y^3 - 3yx^2$$

3. Invariant polynomials of fourth order:

$$(x^2 + y^2)^2, \quad z^4, \quad (x^3 - 3xy^2)z, \quad (x^2 + y^2)z^2$$

4. Potential energy:

$$U = \frac{1}{2} m_x \omega_x^2 (x^2 + y^2) + \frac{1}{2} m_z \omega_z^2 z^2 + \frac{1}{3} \beta_2 (y^3 - 3x^2y) \\ + \frac{1}{4} \gamma_1 (x^2 + y^2)^2 + \frac{1}{4} \gamma_3 z^4 + \gamma_5 (x^3 - 3xy^2)z \\ + \frac{3}{2} \gamma_{11} (x^2 + y^2)z^2$$

5. Linear susceptibilities:

$$\chi_{xx}(\omega) = Ne/D_x$$

$$\chi_{yy}(\omega) = \chi_{xx}(\omega)$$

$$\chi_{zz}(\omega) = Ne/D_z$$

where  $D_i(\omega) = (\omega_1^2 - \omega^2)m_i/e$ .

6. Miller's  $\delta^{2\omega}$ :

$$\delta^{2\omega} = \frac{1}{e^3 N^2} \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & -\beta_2 \\ -\beta_2 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$



7. Miller's  $\delta^{3\omega}$ :

$$\delta^{3\omega} = \frac{2}{e^2 N^2} [ \chi_{xx}(2\omega) B^{ab} + \chi_{zz}(2\omega) B^c ] - \frac{1}{e^2 N^3} G$$

where

$$B^{ab} = \begin{bmatrix} \beta_2^2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & \beta_2^2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & \beta_2^2 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & \beta_2^2 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \beta_2^2 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & \beta_2^2 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & \beta_2^2 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & \beta_2^2 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \beta_2^2 \end{bmatrix}$$

$$B^c = 0$$

$$G = \begin{bmatrix} \gamma_1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & \gamma_1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & \gamma_1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & \gamma_1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \gamma_1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & \gamma_1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & \gamma_1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & \gamma_1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \gamma_1 \end{bmatrix}$$

Crystal Class 19. C<sub>3v</sub> (3m)

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S  $\delta$  FOR CRYSTAL CLASS C<sub>3v</sub>

1. Invariant polynomials of second order:

$$x^2 + y^2, \quad z^2$$

2. Invariant polynomials of third order:

$$x^3 - 3xy^2, \quad z^3, \quad (x^2 + y^2)z$$

3. Invariant polynomials of fourth order:

$$(x^2 + y^2)^2, \quad z^4, \quad (x^3 - 3xy^2)z, \quad (x^2 + y^2)z^2$$

4. Potential energy:

$$U = \frac{1}{2} m_X \omega^2 (x^2 + y^2) + \frac{1}{2} m_Z \omega_z^2 z^2 + \frac{1}{3} \beta_1 (x^3 - 3xy^2) \\ + \frac{1}{3} \beta_3 z^3 + \beta_5 (x^2 + y^2)z + \frac{1}{4} \gamma_1 (x^2 + y^2)^2 \\ + \frac{1}{4} \gamma_3 z^4 + \gamma_5 (x^3 - 3xy^2)z + \frac{3}{2} \gamma_{11} (x^2 + y^2)z^2$$

5. Linear susceptibilities:

$$\chi_{xx}(\omega) = Ne/D_x$$

$$\chi_{yy}(\omega) = \chi_{xx}(\omega)$$

$$\chi_{zz}(\omega) = Ne/D_z$$

where  $D_i(\omega) = (\omega_i^2 - \omega^2)m_i/e$ .

6. Miller's  $\delta^{2\omega}$ :

$$\delta^{2\omega} = \frac{1}{e^3 N^2} \begin{bmatrix} \beta_1 & -\beta_1 & 0 & 0 & \beta_5 & 0 \\ 0 & 0 & 0 & 0 & \beta_5 & 0 \\ \beta_5 & \beta_5 & \beta_3 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & -\beta_1 \\ \beta_5 & \beta_5 & \beta_3 & 0 & 0 & 0 \end{bmatrix}$$

7. Miller's  $\delta^{3\omega}$ :

$$\delta^{3\omega} = \frac{2}{e^6 N^3} [ \chi_{XX} (2\omega) B^{ab} + \chi_{ZZ} (2\omega) B^c ] - \frac{1}{e^4 N^3} G$$

where

$$B^{ab} = \begin{bmatrix} B_1^2 & 0 & 0 & 0 & 0 & 0 \\ 0 & B_1^2 & 0 & 0 & 0 & 0 \\ B_1 B_5 & 0 & 0 & 0 & 0 & 0 \\ 0 & B_1 B_5 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

$$B^c = \begin{bmatrix} B_1^2 & 0 & 0 & 0 & 0 & 0 \\ 0 & B_1^2 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

$$G = \begin{bmatrix} \gamma_1 & 0 & 0 & 0 & 0 & 0 \\ 0 & \gamma_1 & 0 & 0 & 0 & 0 \\ 0 & 0 & \gamma_1 & 0 & 0 & 0 \\ 0 & 0 & 0 & \gamma_1 & 0 & 0 \\ 0 & 0 & 0 & 0 & \gamma_1 & 0 \\ 0 & 0 & 0 & 0 & 0 & \gamma_1 \end{bmatrix}$$

Crystal Class 20.  $D_{3d}$  ( $\bar{3}m$ )

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S  $\delta$  FOR CRYSTAL CLASS  $D_{3d}$

1. Invariant polynomials of second order:

$$x^2 + y^2, \quad z^2$$

2. Invariant polynomials of third order:

none

3. Invariant polynomials of fourth order:

$$(x^2 + y^2)^2, \quad z^4, \quad (x^3 - 3xy^2)z, \quad (x^2 + y^2)z^2$$

4. Potential energy:

$$U = \frac{1}{2} m_x \omega_x^2 (x^2 + y^2) + \frac{1}{2} m_z \omega_z^2 z^2 + \frac{1}{4} \gamma_1 (x^2 + y^2)^2 + \frac{1}{4} \gamma_3 z^4 + \gamma_5 (x^3 - 3xy^2)z + \frac{3}{2} \gamma_{11} (x^2 + y^2)z^2$$

5. Linear susceptibilities:

$$\chi_{xx}(\omega) = Ne/D_x$$

$$\chi_{yy}(\omega) = \chi_{xx}(\omega)$$

$$\chi_{zz}(\omega) = Ne/D_z$$

where  $D_i(\omega) = (\omega_i^2 - \omega^2)m_i/e$ .

6. Miller's  $\delta^{2\omega}$ :

none

7. Miller's  $\delta^{3\omega}$ :

$$\delta^{3\omega} = \frac{2}{e^6 N^4} [\chi_{xx}(2\omega)B^{ab} + \chi_{zz}(2\omega)B^c] - \frac{1}{e^4 N^3} G$$

where

$$B^{ab} = B^c = 0$$

$$G = \begin{bmatrix} \gamma_1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & \gamma_1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & \gamma_1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & \gamma_1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \gamma_1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & \gamma_1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & \gamma_1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & \gamma_1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \gamma_1 \end{bmatrix}$$

Crystal Class 21. C<sub>6</sub> (6)

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S δ FOR CRYSTAL CLASS C<sub>6</sub>

1. Invariant polynomials of second order:

$$x^2 + y^2, \quad z^2$$

2. Invariant polynomials of third order:

$$z^3, \quad (x^2 + y^2)z$$

3. Invariant polynomials of fourth order:

$$(x^2 + y^2)^2, \quad z^4, \quad (x^2 + y^2)z^2$$

4. Potential energy:

$$U = \frac{1}{2} m_x \omega^2 (x^2 + y^2) + \frac{1}{2} m_z \omega^2 z^2 + \frac{1}{3} \beta_3 z^3 + \beta_5 (x^2 + y^2)z \\ + \frac{1}{4} \gamma_1 (x^2 + y^2)^2 + \frac{1}{4} \gamma_3 z^4 + \frac{2}{2} \gamma_{11} (x^2 + y^2)z^2$$

5. Linear susceptibilities:

$$\chi_{xx}(\omega) = Ne/D_x$$

$$\chi_{yy}(\omega) = \chi_{xx}(\omega)$$

$$\chi_{zz}(\omega) = Ne/D_z$$

$$\text{where } D_i(\omega) = (\omega_i^2 - \omega^2)m_i/e.$$

6. Miller's  $\delta^{2\omega}$ :

$$\delta^{2\omega} = \frac{1}{e^3 N^2} \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \beta_5 & 0 \\ \beta_5 & \beta_5 & \beta_3 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ \beta_5 & \beta_5 & \beta_3 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

7. Miller's  $\delta^{3\omega}$ :

$$\delta^{3\omega} = \frac{2}{e^6 N^3} [x_{xx}(2\omega)B^{ab} + x_{zz}(2\omega)B^c] - \frac{1}{e^3 N^3} G$$

where

$$B^{ab} = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{2B^2}{3} & 0 \\ 0 & 0 & 0 & \frac{2B^2}{3} & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

$$G = \begin{bmatrix} B_5^2 & 0 & 0 & 0 & 0 & 0 \\ 0 & B_5^2 & 0 & 0 & 0 & 0 \\ 0 & 0 & B_5^2 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

$$G = \begin{bmatrix} \gamma_1 & 0 & 0 & 0 & 0 & 0 \\ 0 & \gamma_1 & 0 & 0 & 0 & 0 \\ 0 & 0 & \gamma_1 & 0 & 0 & 0 \\ 0 & 0 & 0 & \gamma_1 & 0 & 0 \\ 0 & 0 & 0 & 0 & \gamma_1 & 0 \\ 0 & 0 & 0 & 0 & 0 & \gamma_1 \end{bmatrix}$$

Crystal Class 22.  $C_{3h} (\bar{6})$

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S  $\delta$  FOR CRYSTAL CLASS  $C_{3h}$

1. Invariant polynomials of second order:

$$x^2 + y^2, \quad z^2$$

2. Invariant polynomials of third order:

$$x^3 - 3xy^2, \quad y^3 - 3yx^2$$

3. Invariant polynomials of fourth order:

$$(x^2 + y^2)^2, \quad z^4, \quad (x^2 + y^2)z^2$$

4. Potential energy:

$$U = \frac{1}{2} m_x \omega^2 (x^2 + y^2) + \frac{1}{2} m_z \omega^2 z^2 + \frac{1}{3} \beta_1 (x^3 - 3xy^2) \\ + \frac{1}{3} \beta_2 (y^3 - 3x^2y) + \frac{1}{4} \gamma_1 (x^2 + y^2)^2 + \frac{1}{4} \gamma_3 z^4 \\ + \frac{3}{2} \gamma_{11} (x^2 + y^2)z^2$$

5. Linear susceptibilities:

$$\chi_{xx}(\omega) = Ne/D_x$$

$$\chi_{yy}(\omega) = \chi_{xx}(\omega)$$

$$\chi_{zz}(\omega) = Ne/D_z$$

where  $D_i(\omega) = (\omega_i^2 - \omega^2)m_i/e$ .

6. Miller's  $\delta^{2\omega}$ :

$$\delta^{2\omega} = \frac{1}{e^3 N^2} \begin{bmatrix} \beta_1 & -\beta_1 & 0 & 0 & 0 & -\beta_2 \\ -\beta_2 & \beta_2 & 0 & 0 & 0 & -\beta_1 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$



7. Miller's  $\delta^{3\omega}$ :

$$\delta^{3\omega} = \frac{2}{e^6 N^3} [ \chi_{xx} (2\omega) B^{ab} + \chi_{zz} (2\omega) B^c ] - \frac{1}{e^4 N^3} G$$

where

$$B^{ab} = \begin{bmatrix} \frac{B_1^2 + B_2^2}{3} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & \frac{B_1^2 + B_2^2}{3} & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & \frac{B_1^2 + B_2^2}{3} & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

$B^c = 0$

$$G = \begin{bmatrix} \gamma_1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & \gamma_1 & 0 & \frac{\gamma_1}{3} & 0 & 0 & 0 & \gamma_{11} & 0 \\ 0 & 0 & \gamma_3 & 0 & \gamma_{11} & 0 & \gamma_{11} & 0 & 0 \\ 0 & 0 & 0 & \frac{\gamma_1}{3} & 0 & 0 & 0 & \gamma_{11} & 0 \\ 0 & \gamma_1 & 0 & 0 & 0 & 0 & 0 & \gamma_{11} & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

Crystal Class 23.  $C_{6h}$  (6/m)

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S  $\delta$  FOR CRYSTAL CLASS  $C_{6h}$

5. Linear susceptibilities:

$$\chi_{xx}(\omega) = Ne/D_x$$

$$\chi_{yy}(\omega) = \chi_{xx}(\omega)$$

$$\chi_{zz}(\omega) = Ne/D_z$$

where  $D_i(\omega) = (\omega_i^2 - \omega^2)m_i/e$ .

1. Invariant polynomials of second order:

$$x^2 + y^2, \quad z^2$$

2. Invariant polynomials of third order:

none

3. Invariant polynomials of fourth order:

$$(x^2 + y^2)^2, \quad z^4, \quad (x^2 + y^2)z^2$$

4. Potential energy:

$$U = \frac{1}{2} m_x \omega_x^2 (x^2 + y^2) + \frac{1}{2} m_z \omega_z^2 z^2 + \frac{1}{4} \gamma_1 (x^2 + y^2)^2 + \frac{1}{4} \gamma_3 z^4 + \frac{3}{2} \gamma_{11} (x^2 + y^2)z^2$$

6. Miller's  $\delta^{2\omega}$ :

none

7. Miller's  $\delta^{3\omega}$ :

$$\delta^{3\omega} = \frac{2}{e^3 N^3} [ \chi_{xx} (2\omega) B^{ab} + \chi_{zz} (2\omega) B^c ] \sim \frac{1}{e^3 N^3} G$$

where

$$B^{ab} = B^c = 0$$

$$G = \begin{bmatrix} \gamma_1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & \gamma_1 & 0 & \frac{\gamma_1}{3} & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & \gamma_3 & 0 & \gamma_{11} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & \gamma_3 & 0 & \gamma_{11} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \gamma_{11} & 0 & \frac{\gamma_1}{3} & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & \gamma_{11} & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \gamma_{11} \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

Crystal Class 24.  $D_6$  (622)

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S  $\delta$  FOR CRYSTAL CLASS  $D_6$

Same as for crystal class 23,  $C_{6h}$

Crystal Class 25.  $C_{6v}$  (6mm)

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S  $\delta$  FOR CRYSTAL CLASS  $C_{6v}$

Same as for crystal class 21,  $C_6$

Crystal Class 26.  $D_{3h}$  ( $\bar{6}m2$ )

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S  $\delta$  FOR CRYSTAL CLASS  $D_{3h}$

1. Invariant polynomials of second order:

$$x^2 + y^2, z^2$$

2. Invariant polynomials of third order:

$$y^3 - 3yx^2$$

3. Invariant polynomials of fourth order:

$$(x^2 + y^2)^2, z^4, (x^2 + y^2)z^2$$

4. Potential energy:

$$U = \frac{1}{2} m_X \omega_X^2 (x^2 + y^2) + \frac{1}{2} m_Z \omega_Z^2 z^2 + \frac{1}{3} \beta_2 (y^3 - 3x^2y) \\ + \frac{1}{4} \gamma_1 (x^2 + y^2)^2 + \frac{1}{4} \gamma_3 z^4 + \frac{3}{2} \gamma_{11} (x^2 + y^2)z^2$$

5. Linear susceptibilities:

$$\chi_{xx}(\omega) = Ne/D_x$$

$$\chi_{yy}(\omega) = \chi_{xx}(\omega)$$

$$\chi_{zz}(\omega) = Ne/D_z$$

where  $D_i(\omega) = (\omega_i^2 - \omega^2)m_i/e$ .

6. Miller's  $\delta^2\omega$ :

$$\delta^2\omega = \frac{1}{e^3 N^2} \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & -\beta_2 \\ 0 & -\beta_2 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & \beta_2 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

7. Miller's  $\delta^{3\omega}$ :

$$\delta^{3\omega} = \frac{2}{e^6 N^4} [ \chi_{xx} (2\omega) B^{ab} + \chi_{zz} (2\omega) B^c ] - \frac{1}{e^4 N^3} c$$

where

$$B^{ab} = \begin{bmatrix} \beta_2^2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & \beta_2^2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & \beta_2^2 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & \beta_2^2 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \beta_2^2 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & \beta_2^2 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & \beta_2^2 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & \beta_2^2 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \beta_2^2 \end{bmatrix}$$

$$B^c = 0$$

$$G = \begin{bmatrix} \gamma_1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & \gamma_1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & \gamma_1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & \gamma_1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \gamma_1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & \gamma_1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & \gamma_1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & \gamma_1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \gamma_1 \end{bmatrix}$$

APPENDIX A: D<sub>6h</sub>



Crystal Class 27.  $D_{6h}$  (6/mmm)

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S  $\delta$  FOR CRYSTAL CLASS  $D_{6h}$

Same as for crystal class 23,  $C_{6h}$

Crystal Class 28, T (23)

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S  $\delta$  FOR CRYSTAL CLASS T

1. Invariant polynomials of second order:

$$x^2 + y^2 + z^2$$

5. Linear susceptibilities:

$$\chi_{xx}(\omega) = \chi_{yy}(\omega) = \chi_{zz}(\omega) = Ne/D_x$$

where  $D_i(\omega) = (\omega_i^2 - \omega^2)m_i/e$ .

2. Invariant polynomials of third order:

$$xyz$$

3. Invariant polynomials of fourth order:

$$x^4 + y^4 + z^4, \quad x^2y^2 + x^2z^2 + y^2z^2$$

4. Potential energy:

$$U = \frac{1}{2} m_x \omega_x^2 (x^2 + y^2 + z^2) + 2\beta_0 xyz + \frac{1}{4} \gamma_1 (x^4 + y^4 + z^4) + \frac{3}{2} \gamma_{10} (x^2y^2 + x^2z^2 + y^2z^2)$$

6. Miller's  $\delta^{2\omega}$ :

$$\delta^{2\omega} = \frac{1}{e^3 N^2} \begin{bmatrix} 0 & 0 & 0 & 0 & \beta_0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & \beta_0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & \beta_0 \end{bmatrix}$$

7. Miller's  $\delta^{3\omega}$ :

$$\delta^{3\omega} = \frac{2}{e^4 N^3} [ \chi_{XX} (2\omega) B^{abc} ] - \frac{1}{e^4 N^3} C$$

where

$$B^{abc} = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

$$C = \begin{bmatrix} \gamma_1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & \gamma_1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & \gamma_1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & \gamma_1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \gamma_1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & \gamma_1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & \gamma_1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & \gamma_1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \gamma_1 \end{bmatrix}$$

Crystal Class 29.  $T_h$  (m3)

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S  $\delta$  FOR CRYSTAL CLASS  $T_h$

1. Invariant polynomials of second order:

$$x^2 + y^2 + z^2$$

2. Invariant polynomials of third order:

none

3. Invariant polynomials of fourth order:

$$x^4 + y^4 + z^4, \quad x^2y^2 + (x^2 + y^2)z^2$$

4. Potential energy:

$$U = \frac{1}{2} m_x \omega_x^2 (x^2 + y^2 + z^2) + \frac{1}{4} \gamma_1 (x^4 + y^4 + z^4) \\ + \frac{3}{2} \gamma_{10} (x^2y^2 + x^2z^2 + y^2z^2)$$

5. Linear susceptibilities:

$$\chi_{xx}(\omega) = \chi_{yy}(\omega) = \chi_{zz}(\omega) = Ne/D_x$$

where  $D_i(\omega) = (\omega_i^2 - \omega^2)m_i/e$ .

6. Miller's  $\delta^{2\omega}$ :

none

7. Miller's  $\delta^{3\omega}$ :

$$\delta^{3\omega} = \frac{2}{e^6 N^4} [X_{XX}(2\omega)B^{abc}] - \frac{1}{e^4 N^3} G$$

where

$$B^{100} = 0$$

$$G = \begin{bmatrix} Y_1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & Y_1 & 0 & Y_{10} & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & Y_1 & 0 & 0 & Y_{10} & 0 & 0 & 0 \\ 0 & Y_1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

Crystal Class 30.  $O$  (432)

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S  $\delta$  FOR CRYSTAL CLASS  $O$

Same as for crystal class 29,  $T_h$



Crystal Class 31.  $T_d$  ( $\bar{4}3m$ )

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S  $\delta$  FOR CRYSTAL CLASS  $T_d$

Same as for crystal class 28,  $T$



Crystal Class 32.  $O_h$  ( $m\bar{3}m$ )

INVARIANT POLYNOMIALS, LINEAR SUSCEPTIBILITIES,  
AND MILLER'S  $\delta$  FOR CRYSTAL CLASS  $O_h$

Same as for crystal class 30,  $O$

DISTRIBUTION

ADMINISTRATOR  
DEFENSE TECHNICAL INFORMATION CENTER  
ATTN DTIC-DDA (12 COPIES)  
CAMERON STATION, BUILDING 5  
ALEXANDRIA, VA 22304-6145

STRATEGIC DEFENSE INITIATIVE OFFICE  
ATTN NEIL GRIFF  
PENTAGON  
WASHINGTON, DC 20301-7100

DIRECTOR  
US ARMY ELECTRONICS WARFARE LABORATORY  
ATTN J. CHARLTON  
ATTN DELET-DD  
FT MONMOUTH, NJ 07703

ENGINEERING SOCIETIES LIBRARY  
ATTN ACQUISITIONS DEPT  
345 EAST 47TH STREET  
NEW YORK, NY 10017

COMMANDER  
US ARMY MATERIALS & MECHANICS RESEARCH  
CENTER  
ATTN DRXMR-TL, TECH LIBRARY BR  
WATERTOWN, MA 02172

DIRECTOR  
NIGHT VISION & ELECTRO-OPTICS  
LABORATORY  
ATTN R. BUSER  
ATTN K. K. DEB  
ATTN J. PAUL  
ATTN E. SHARP  
ATTN G. DAUNT  
ATTN A. PINTO  
ATTN S. CHANDRA  
ATTN G. WOOD  
FT BELVOIR, VA 22060

COMMANDER  
US ARMY RESEARCH OFFICE (DURHAM)  
PO BOX 12211  
ATTN B. D. GUENTHER  
ATTN R. J. LONTZ  
ATTN C. BOGOSIAN  
RESEARCH TRIANGLE PARK, NC 27709

COMMANDER  
US ARMY TEST & EVALUATION COMMAND  
ATTN D. H. SLINEY  
ATTN TECH LIB  
ABERDEEN PROVING GROUND, MD 21005

DIRECTOR  
ADVISORY GROUP ON ELECTRON DEVICES  
ATTN SECTRY, WORKING GROUP D  
201 VARICK STREET  
NEW YORK, NY 10013

DIRECTOR  
NAVAL RESEARCH LABORATORY  
ATTN CODE 2620, TECH LIBRARY BR  
ATTN CODE 5554, S. BARTOLI  
ATTN L. ESTEROWITZ  
ATTN CODE 5554, R. E. ALLEN  
WASHINGTON, DC 20375

OFFICE OF NAVAL RESEARCH  
ATTN V. O. NICOLAI  
ARLINGTON, VA 22217

NAVAL SURFACE WEAPONS CENTER  
ATTN S. DITMAN  
ATTN R. E. JENSEN  
ATTN B. V. KESSLER  
SILVER SPRING, WHITE OAK, MD 20910

DIRECTOR  
LAWRENCE RADIATION LABORATORY  
ATTN MARVIN J. WEBER  
ATTN HELMUT A. KOEHLER  
LIVERMORE, CA 94550

UNIVERSITY OF ARKANSAS  
PHYS. DEPT. T.  
ATTN G. SALAMO  
FAYETTVILLE, AR 72701

BROWN UNIVERSITY  
BOX D, DIV. OF ENGINEERING  
ATTN NABIL LAWANDY  
BROWN UNIVERSITY  
PROVIDENCE, R.I. 02912

KALAMAZOO COLLEGE  
DEPT. OF PHYSICS  
ATTN K. RAJNAK  
KALAMAZOO, MI 49007

UNIVERSITY OF MARYLAND  
DEPT OF ELEC. ENG.  
ATTN CHI H. LEE  
COLLEGE PARK, MD 20742

MASSACHUSETTS INSTITUTE OF TECHNOLOGY  
CRYSTAL PHYSICS LABORATORY  
ATTN H. P. JENSSEN  
ATTN A. LINZ  
ATTN B. AULL  
CAMBRIDGE, MA 02139

UNIVERSITY OF MICHIGAN  
COLLEGE OF ENGINEERING NORTH CAMPUS  
DEPARTMENT OF NUCLEAR ENGINEERING  
ATTN CHIHIRO KIKUCHI  
ANN ARBOR, MI 48104

DISTRIBUTION (cont'd)

OKLAHOMA STATE UNIVERSITY  
COLLEGE OF ARTS & SCIENCES  
ATTN R. C. POWELL  
STILLWATER, OK 74078

PENNSYLVANIA STATE UNIVERSITY  
MATERIALS RESEARCH LABORATORY  
ATTN W. B. WHITE  
ATTN B. K. CHANDRASEKHAR  
UNIVERSITY PARK, PA 16802

UNIVERSITY OF VIRGINIA  
DEPT OF CHEMISTRY  
ATTN F. S. RICHARDSON  
CHARLOTTESVILLE, VA 22901

AEROSPACE CORPORATION  
PO BOX 92957  
ATTN M. BIRNBAUM  
ATTN N. C. CHANG  
LOS ANGELES, CA 90009

ARGONNE NATIONAL LABORATORY  
ATTN W. T. CARNALL  
9700 SOUTH CASS AVENUE  
ARGONNE, IL 60439

HUGHES RES. LABS  
ATTN M. KLEIN  
ATTN G. C. VALLEY  
3011 MALIBU CANYON RD  
MALIBU, CA 90265

MARTIN MARIETTA  
ATTN R. LEAVITT  
ATTN F. CROWNE  
ATTN J. LITTLE  
ATTN T. WORCHESKY  
1450 SOUTH ROLLING ROAD  
BALTIMORE, MD 21227

McAVOY ASSOCIATES  
ROUTE 2, BOX 63  
ATTN NELSON McAVOY  
KEYSER, WV 26726

NORTHROP ELECTRONICS DIVISION  
ATTN F. PETE ROULLARD, III  
ATTN DAVID JOHANNSEN  
2301 W. 120TH ST  
HAWTHORNE, CA 90250

PHYSICAL SCIENCES LAB  
ATTN GEOFFREY BURDGE  
13514 YOUNGWOOD TURN  
BOWIE, MD 20715

POTOMAC SYNERGETICS, INC  
ATTN V. CORCORAN  
2034 FREEDOM LANE  
FALLS CHURCH, VA 22043

SCIENCE APPLICATIONS, INC  
ELECTRO-OPTICS TECHNOLOGY DIVISION  
ATTN T. H. ALLICK  
1710 GOODRIDGE DRIVE  
McLEAN, VA 22102

US ARMY LABORATORY COMMAND  
ATTN COMMANDER, AMSLC-CG  
ATTN TECHNICAL DIRECTOR, AMSLC-TD  
ATTN PUBLIC AFFAIRS OFFICE, AMSLC-PA

INSTALLATION SUPPORT ACTIVITY  
ATTN DIRECTOR, SLCIS-D  
ATTN RECORD COPY, SLCIS-IM-TS  
ATTN LIBRARY, SLCIS-IM-TL (3 COPIES)  
ATTN LIBRARY, SLCIS-IM-TL (WOODBRIDGE)  
ATTN TECHNICAL REPORTS BRANCH, SLCIS-IM-TR  
(2 COPIES)  
ATTN LEGAL OFFICE, SLCIS-CC

HARRY DIAMOND LABORATORIES  
ATTN D/DIVISION DIRECTORS  
ATTN DIVISION DIRECTOR, SLCHD-RT  
ATTN CHIEF, SLCHD-NW-P  
ATTN J. C. INGRAM, SLCHD-NW-E  
ATTN B. A. WEBER, SLCHD-RT-AC (2 COPIES)  
ATTN L. F. LIBELO, SLCHD-RT-AB  
ATTN CHIEF, SLCHD-RT-RA  
ATTN P BRODY, SLCHD-RT-RA  
ATTN J. BRUNO, SLCHD-RT-RA  
ATTN H. DROPKIN, SLCHD-RT-RA  
ATTN B. GORDON, SLCHD-RT-RA  
ATTN G. HAY, SLCHD-RT-RA  
ATTN S. MILLER, SLCHD-RT-RA  
ATTN G. SIMONIS, SLCHD-RT-RA  
ATTN T. SIMPSON, SLCHD-RT-RA  
ATTN CHIEF, SLCHD-RT-RB  
ATTN R. FELOCK, SLCHD-RT-RB  
ATTN C. GARVIN, SLCHD-RT-RB  
ATTN J. GOFF, SLCHD-RT-RB  
ATTN L. HARRISON, SLCHD-RT-RB  
ATTN N. KARAYIANIS, SLCHD-RT-RB  
ATTN D. McGUIRE, SLCHD-RT-RB  
ATTN J. PELLEGRINO, SLCHD-RT-RB  
ATTN CHIEF, SLCHD-TT (2 COPIES)  
ATTN M. TOBIN, SLCHD-RT-RA (20 COPIES)  
ATTN C. MORRISON, SLCHD-RT-RA (15 COPIES)



END

DTIC

FILMED

4-86