AD-A158 640

Naval Ocean Research and Development Activity

NSTL, Mississippi 39529 NORDA Rep

NORDA Report 94 April 1985



Airborne Electromagnetic Bathymetry



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Mapping, Charting, and Geodesy Division Ocean Science Directorate

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Foreword

The Naval Ocean Research and Development Activity has been investigating a possible application of the airborne electromagnetic method to bathymetric charting in a shallow ocean. There is a strong Navy requirement for a rapid, airborne, shallow-ocean bathymetric measurement method that will supplement or even replace the traditional shipborne acoustic sounding methods that are time-consuming and often not suited to shallow coastal areas. Periodical and repetitive bathymetric mapping of heavily trafficked shallow-ocean regions is necessary for meeting specific fleet requirements and for monitoring bottom sediment movements, ship lane maintenance, and a variety of geotechnical operations, as well as for routine charting.

R. P. Onorati, Captain, USN Commanding Officer, NORDA

Executive summary

An experimental airborne electromagnetic (AEM) survey was carried out in the Cape Cod Bay area to investigate the potential of extracting bathymetric information for a shallow ocean. A commercially available Dighem III AEM system was used for the survey without any significant modification. The helicopter-borne system operated at 385 Hz and 7200 Hz, both in a horizontal coplanar configuration. A concurrent ground truth survey included extensive acoustic soundings, as well as spot water conductivity measurements.

Because of a lack of knowledge about the absolute system calibration figures, an acoustic-sounding calibration was made for each flight line using a small portion of AEM data to derive the zero-level signal, amplitude, and phase calibration factors for each coil pair. The interpreted bathymetric profiles show excellent agreement with corresponding acoustic depth profiles up to one (possibly more) skin depth of the source frequency. It is envisioned that with further improvements in hardware and software, the bathymetric resolution may extend beyond the skin depth. AEM data can also produce (as byproducts) conductivity profiles of both seawater and bottom sediments that may find potential applications in mine warfare and offshore geotechnical engineering works.



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Acknowledgments

The Airborne electromagnetic feasibility demonstration test and initial data analysis have been funded through PE 63701B by DMA/STT under the program management of CDR Jeff Bodie. The development of improved AEM data interpretation algorithms and final analysis of the demonstration test data have been funded through PE 62759N by ONR Code 220 under the program management of Dr. Tom Warfield. AEM data used in this report were obtained under NORDA Contract Number N62306-84-C-0013. Shallowwater ground truth data were obtained under NORDA Contract Number N62306-84-M-6027 with Woods Hole Oceanographic Institution.

Special appreciation is expressed to the National Oceanic and Atmospheric Administration's ship WHITING for collecting deep-water ground truth data and to Mr. Joseph Heckelman, who was responsible for coordinating NORDA's requirements to NOAA's resources. Appreciation is expressed to Mr. Jim Dodd of the U.S. Geological Survey and the Hydrographic Department under Mr. Robert Higgs at NAVOCEANO for providing Del Norte transponder and Loran-C navigation support. We also thank Dr. Doug Fraser of Dighem, Inc., for many helpful discussions and Dr. Don Durham and Dr. Jerald Caruthers of NORDA for their continued interest and support.

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Airborne electromagnetic bathymetry

Introduction

The Naval Ocean Research and Development Activity (NORDA) has been investigating a possible application of the airborne electromagnetic (AEM) method to bathymetric charting in a shallow ocean. There is a strong Navy requirement for a rapid airborne and cost-effective shallow-ocean bathymetric method capable of supplementing or even replacing the traditional shiphtheter acoustic sounding methods, which are time-consuming and often not suited to shallow coastal areas. Periodical and repetitive bathymetric mapping of heavily trafficked shallow-ocean regions is necessary for monitoring bottom sediment movements, ship lane maintenance, and a variety of geotechnical operations, as well as for routine charting.

Test survey in Cape Cod Bay

The test survey area and the AEM flight lines are shown in Figure 1. All flights and ground truth surveys were performed during a 3-day period in June 1984. The AEM system used was a commercially available Dighem III, described in detail by Fraser (1978, 1979, and 1981). The system was equipped with two horizontal coplanar coil pairs operating at 385 Hz and 7200 Hz. Both pairs had an 8-m coil separation (an additional coaxial coil pair operating at 900 Hz was deactivated due to an electronic malfunction).

The sensor platform, or bird, towed by a Sikorsky S58T twin-engine helicopter using a 30-m cable, maintained an average altitude range between 40 m and 50 m above the sea surface. The aircraft altitude was measured by a radar altimeter (Sperry Model 220 mounted on the aircraft) that had a manufacturer-specified accuracy of 5%. A total of about 200 line-km AEM data consisting of 13 segment profiles was obtained in three sorties in less than 7 hours. The sampling rate was 1 sec, corresponding to about 50 m along the ground track (about a 3 km/min ground speed). The maximum water depth in the survey area is about 40 m, according to the bathymetric chart (NOAA Chart 13,246).

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The flight plan included data collection before and after each profile at an altitude of about 270 m to calibrate the zero-level signal of the receiver coils. In addition, three short calibration flights were made in a location about 15 km east of the Cape, where the bathymetric chart indicated water depth in excess of 60 m. These data were intended to be used for determining the absolute calibration constants for amplitude and phase of each coil pair on an assumption that the water body below may be considered a uniform conductive half-space. It turned out, however, that this calibration method is not accurate enough for the bathymetric processing. As discussed in "Data Calibration," both zero-level signal and amplitude/phase calibration constants are derived from a small portion of each actual flight line data.

Figure 2 shows a raw AEM data profile accompanied by a corresponding radar altimeter profile along Line 5021 (see Fig. 1 for location). Clearly, the AEM data are overwhelmingly correlatable with variations in altitude. A very crude indication of water depth may be observed from the ratio of the quadrature component to the inphase component of the 385-Hz data: the ratio increases with a decreasing water depth. Unfortunately, this relationship is highly nonlinear. Even though the aircraft altitude is maintained mostly within a 10-m range (between 40 m and 50 m), the corresponding variations of the AEM responses amount to more than 500 parts per million (ppm). Owing to the high water conductivity, errors induced by inaccurate altimetry pose a critical problem. At a 45-m bird altitude, a 1% altitude change at a given water depth of 10 m generates amplitude differences of 22 ppm at 385 Hz and 33 ppm at 7200 Hz. It can also be shown that, for a 1-m depth change at the same water depth of 10 m, the predicted amplitude differences amount to only 10 ppm at 385 Hz and 0 ppm at 7200 Hz.

Since the employed radar altimeter has a specified accuracy of 5%, it soon became evident that the radar altitude cannot be trusted for the bathymetric processing. Instead, a new algorithm was developed to use the 7200 Hz response to derive the electromagnetic altitude during the inversion process. The new altitudes thus derived show fairly random zero-biased differences (with respect to the radar altitudes) whose rms amounts to about 2-3%.

Navigation was originally planned to employ a Del Norte navigation system supported by three ground transponders. Excessive distances caused poor reception;



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Figure 1. The Cape Cod Bay test area and AEM flight lines. The line numbers are shown on ends of each line. Small numerals are flight fiducials.

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Figure 2. Raw AEM and radar altitude data along Flight Line 5021.

therefore, a Loran-C system was installed on site with a makeshift arrangement of a printer that produced coordinates at a 5-sec interval. These were later interpolated to produce 1-sec interval coordinate data corresponding to the AEM data rate.

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A ground truth bathymetric survey concurrent with the AEM flights was carried out using an acoustic depth sounder. A total of about 120 line-km depth profiles was obtained, which covered about 60% of the AEM flight area. Unfortunately, due to many practical reasons, the flight lines and the ship track did not coincide and were often more than 500 m apart. Therefore, the best available ground truth still reflects another interpolated approximation (unless the bottom topography fluctuates rapidly, the ground truth is considered to be accurate within 1 to 2 m).

Spot measurements of water conductivity were made at eight different locations along the ship track at a 3-m depth. They ranged between 4.0 mho/m and 4.12 mho/m. While these values may be fairly representative for deep water, there are considerable uncertainties over very shallow water (< 3 m) where water temperature may rise significantly during the day (particularly during sunny days in June, as in this case). A mere 4°C difference in the water temperature at a given salinity can result in as much as a 10% change in water conductivity. Unfortunately, no ground truth measurements were made during the survey to confirm this possibility.

Interpretation

The high conductivity of seawater (between 3 and 5 mho/m, depending on salinity and temperature with no fresh-water inlets) severely restricts the ability of EM waves to penetrate the water. Bathymetric range and resolution are, therefore, primarily governed by the source frequency. Figure 3 shows the skin depths in a frequency range between 40 Hz and 40 kHz for assumed water conductivities of 2, 3, 4, and 5 mho/m.

For the employed frequencies of 385 Hz and 7200 Hz for seawater with a conductivity of 4 mho/m, we may, therefore, expect skin depth of 12.8 m and 3.0 m, respectively. From Figure 3 the source frequency obviously should be less than 100 Hz to achieve a depth range of 50 m or more.

Fundamental equations for the magnetic field generated by a vertical magnetic dipole located at or above the surface of a layered earth are given by Kozulin (1963) and Frischkneckt (1967). The mutual coupling ratio for a horizontal coplanar configuration, used for the present frequency-domain AEM system, is defined as the ratio of the total magnetic field (H_z) to the primary field (H_z^P) :

$$H_z/H_z^P = 1 - a^3 \int_0^\infty \lambda^2 R(\lambda, d, \sigma_1, \sigma_2, b, f) J_o(\lambda a) d\lambda.$$
(1)



Figure 3. Skin depths as a function of frequency for seawater having a conductivity ranging from 2 mho/m to 5 mho/m.

The kernel function R corresponding to a two-layer earth, of which geometry is shown on Figure 4, can be expressed as

$$R = \frac{V_{0,1} + V_{1,2} e^{-2V_1 d}}{1 + V_{0,1} V_{1,2} e^{-2V_1 d}},$$
 (2)

where $V_{0,1} = (V_0 - V_1) / (V_0 + V_1),$ $V_{1,2} = (V_1 - V_2) / (V_1 + V_2),$ $V_0 = \lambda,$ $V_1 = \sqrt{\lambda^2 + i \ 2\pi \ \mu \ \sigma_1 \ f},$ and $V_2 = \sqrt{\lambda^2 + i \ 2\pi \ \mu \ \sigma_2 \ f}.$

The mathematical notations in the above expressions are:

f: transmitter frequency (H_r) ,

- h: bird altitude,
- a: coil separation,

d: water depth,

 σ_1 : water conductivity,

- σ_2 : sediment conductivity,
- μ : magnetic permeability of free space,
- λ : variable of integration, and
- J_o : the zeroth order Bessel function.



Figure 4. The AEM bathymetric model used for inversion. The unknowns are water depth, conductivities of seawater and bottom sediment, and the bird altitude. The integral in Equation (1) can be evaluated by the linear digital filter method (Koefoed et al., 1972). We used the filter coefficients published by Anderson (1979b) for the Hankel transform integral.

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The first term in Equation (1) representing the primary field is customarily bucked out during measurements, and only the second term representing the ocean response is recorded in a ppm unit. Figure 5 shows computed inphase and quadrature frequency responses in a range of 40 Hz to 40 kHz for various water depths up to 50 m for a 50-m bird altitude, an 8-m coil separation, and conductivities of water and sediment 4 mho/m and 1 mho/m, respectively.

Compared with normal land survey, the ppm responses over an ocean are extremely high due to the highly conductive seawater. It is also noted that the responses are critically sensitive to the bird altitude. The inphase response increases with the source frequency while the quadrature response peaks approximately at a frequency at which the water depth equals the corresponding skin depth. This is previously explained theoretically by Won (1980).

Since we are concerned with the differential changes in the response for varying bathymetric depth, we present Figure 6, which shows the differences of the ppm responses with respect to an infinitely deep ocean having the same 4 mho/m conductivity. We now notice that the total change corresponding to a water depth change from 10 m to 50 m amounts to about 100 ppm. In general, this response increases proportionally to the inverse cube of the bird altitude. It is obvious, therefore, that the recording device must have much larger dynamic ranges than that used for land survey.

Various inversion techniques are presently available to solve for the unknown parameters in Equation (1). These include predominantly several variations of the least-squares method, including the Marquardt algorithm (Marquardt, 1963; Anderson, 1979a) and, occasionally, applications of the generalized inverse theory (Backus and Gilbert, 1967; Fullagar and Oldenburg, 1984; Son, 1985).

The Cape Cod test data were initially interpreted and reported by Fraser (1985) using a least-squares algorithm by Anderson (1979a). Subsequently, the data were reprocessed at NORDA using a different Marquardt leastsquares algorithm, notably Subroutine ZXSSQ in the IMSL package. The inverted bathymetry in both cases agreed approximately in trends with known bathymetry but showed a considerable static bias that often exceeded 5-10 m. Further careful inspection of the least-squares inversion results leads us to the following conclusions:



Figure 5. AEM bathymetric responses expressed in ppm for water depths of 10 m, 20 m, 30 m, 40 m and 50 m. The bird altitude is assumed to be 50 m, the coil separation 8 m, water conductivity 4 mho/m, and sediment conductivity 1 mho/m.

 Computer inversion time is unacceptably long: onepoint inversion of the two-frequency data consumes from 5 sec to 1 min on a VAX 11/780 computer, even when the water depth is the only sought parameter, while all other parameters are prescribed and fixed.

- AEM response is too sensitive to the bird altitude to accept the specified 5% accuracy of the radar altimeter used for the survey.
- Both the water and the sediment conductivities must be allowed to float albeit in constrained ranges.

The AEM bathymetric profiles reported here are derived from yet another method: analytic solutions of simultaneous nonlinear equations. At each data location, we have four measured quantities; i.e., inphase and quadrature components at two frequencies. From this data set we derive exact solutions of four parameters: water depth, water conductivity, sediment conductivity, and electromagnetic altitude. When unconstrained, the solutions are exact (since the number of knowns and unknowns is the same), resulting in zero residuals regardless of data error. However, severe data error may produce physically unacceptable solutions (e.g., negative depth or conductivities). While least-squares methods (in which the number of knowns is usually much more than that of unknowns) may produce a stable solution set (even though its rms error may be high) from a noisy data set, the present analytic approach is understandably sensitive to data

error. Under this circumstance, a low-pass filtering of the inverted profile is justifiable to countermeasure the random data errors.

An inversion algorithm using a modified Newton-Raphson method is then applied to the data. Initially, we derive the sensor altitude and water conductivity from the 7200-Hz data and, subsequently, water depth and bottom conductivity from the 385-Hz data. Inversion time for deriving all four parameters amounts to 0.5 to 2 sec on a VAX 11/780 computer. The analytic method, as in the least-squares method, also requires initial guesses and, to ensure physically acceptable solutions, reasonable solution constraints. The constraints used for the final processing of the Cape Cod data follow.

- Water conductivity (σ_1): 3-5 mho/m
- Sediment conductivity (σ_2): 0.01-2 mho/m
- Water depth (d): 0-50 m
- Altitude (*b*): positive

Spot measurements of water conductivity at a 3-m depth at eight locations ranged from 4.0 to 4.12 mho/m. No bottom sediment conductivity data are available. However, an extensive in situ study by Hulbert et al. (1982) off the Florida coast shows a common range of 0.4 mho/m to 1.4 mho/m within the first 5-m depth, decreasing only slightly with increasing depth of burial.



Figure 6. AEM bathymetric response expressed in ppm for water depths 10 m, 20 m, 30 m, 40 m and 50 m relative to an infinitely deep water. The bird altitude is assumed to be 50 m, coil separation 8 m, water conductivity 4 mho/m, and sediment conductivity 1 mho/m.

The inversion process is initiated as follows: For the very first point, we prescribed starting values of $\sigma_1 = 4$ mho/m, $\sigma_1 = 1$ mho/m, d as read from the hydrographic chart, and b as indicated by the radar altimeter. Once the process starts, the solution set at the present location is prescribed as the initial parameters for the next location. Thus, after the first data point of a profile, the interpretation becomes completely autonomous.

We present only the bathymetric results. Presentation of other parameters will be dealt with in a separate report. It is noted, however, that (1) the derived electromagnetic altitude is well within ± 1 m of the radar altitude (less than the manufacturer-specified 5% error), (2) water conductivity is mainly 4 ± 0.2 mho/m except for very shallowwater regions, and (3) bottom sediment conductivity ranges between 0.5 mho/m and 1.5 mho/m in most profiles.

Data calibration

From the beginning it was realized that using an existing commercial frequency-domain AEM system designed for over-the-land survey posed a serious problem in establishing the zero-level signal, and gain and phase calibration factors. Exploration geophysicists usually pay more attention to *relative* anomalies than to their *absolute* values. In the AEM bathymetric survey, we face the challenge of determining the *absolute* values.

An initial attempt to use a set of uniform calibrations (derived from the deep ocean data) for the entire survey data produced unsatisfactory results: while the AEM bathymetry approximately followed known depth profiles, it manifested significant static bias often amounting to 5-10 m.

For the Cape Cod test data, we experimented with three different calibration techniques, viz, zero-level calibration only, amplitude/phase calibration, and zero-level/ amplitude/phase calibration. Of these, the last approach turned out to be superior to others in inversion results and was adopted for the final processing. Calibration factors for selected profiles are listed in Table 1. We note that the amplitude correction factors range between 0 and 7%, the phase correction between 2° and 5° , and the zero-level correction between -28 and -2 ppm. Such insignificant corrections may be quite ignorable for many routine mining exploration problems where only relative anomalies are sought.

The calibration constants are derived as follows: to standardize the process, we choose an arbitrary 50-data point profile segment (about 2.4 km long for the present data) over a relatively flat and deep ocean where an average water depth is known. For each data point, we then prescribe $\sigma_1 = 4$ mho/m, $\sigma_2 = 1$ mho/m, and b = the radar altitude. The last is the only parameter that varies for each data point. Using these prescribed parameters, we subject the entire segment data set to the Marquardt least-squares inversion to derive the best fit amplitude, phase, and zero-level values. Because of the large data set, the inversion produces very stable calibration factors. These calibration factors are applied to each raw data profile before the final inversion process. It should be pointed out that this known-depth-point calibration method also compensates for the tidal fluctuation, which amounted to a maximum height of 2.8 m during the 7-hour flight period.

Obviously such a hindsight calibration technique is unacceptable: a future production AEM bathymetry system must contain an automatic electronic calibration capability. It should be noted, however, that the data used for the calibration comprise only a fractional segment (about 10%) of a given profile; thus, most of the profile is not directly influenced by the scheme.

Results

Figure 7 shows the interpreted AEM bathymetry for Line 5021 (see Fig. 1 for location). The solid line represents

Line	385 Hz				7200 Hz				
	Ampl	Phase (deg)	Zero leve Inphase	el (ppm) Quad	Ampl	Phase (deg)	Zero leve Inphase	el (ppm) Quad	
5021	1.063	2.56	0	-17.1	1.089	2.91	0	-12.7	
5031	1.028	3.68	0	- 2.4	1.056	4.30	0	-13.3	
5041	1.072	5.20	0	-27.5	1.099	4.90	0	-27.7	
5051	1.025	3.49	0	- 5.0	1.054	4.30	0	-17.3	
1021	1.040	-0.61	0	38.6	1.078	2.77	0	-14.0	
5082	0.996	2.54	0	- 4.3	1.036	2.39	0	- 3.3	

Table 1. Cape Cod AEM data calibration constants.

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Figure 7. AEM bathymetric profile for Line 5021 along with radar altimeter profile. Solid line represents AEM bathymetry; while solid circles represent depths obtained from a shipborne acoustic profiler. Small numerals at bottom are the flight fiducials.

the water depth inferred from the AEM data. Solid circles denote the depths determined from acoustic profiles. Depths are computed at approximately 50-m intervals. Small numerals at the bottom are the flight line fiducials representing every 20th data point. The profile length is about 20 km.

The agreements are excellent up to a water depth corresponding to about one skin depth (12.8 m) of the 385-Hz signal. In fact, the agreements up to this depth are well within the interpolation accuracy of ground truth data. Below the skin depth we notice progressively degrading resolution resulting in oscillatory bathymetric profiles. Such oscillatory behaviors over deep water

- are common to all AEM bathymetric profiles obtained in the Cape Cod Bay,
- exhibit more or less the same rate of degradation with depth,
- are strongly correlated with variations in the aircraft altitude, and
- appear to be of random Gaussian error (not rigorously determined).

In essence, the oscillatory behavior is a direct result of the decreasing signal-to-noise ratio with respect to the altitude uncertainty. At a 20-m depth, for instance, the maximum theoretical 385-Hz response (against an infinitely deep water) is expected to be about 10 ppm (Fig. 6), while a mere 0.2-m error in altitude will result in the same amount of difference in response. Since the bathymetric errors appear to be random, yet strongly correlated with the aircraft altitude, we tentatively conclude that the error sources are likely related to the altimeter resolution and to such bird attitude uncertainties as pitching and yawing associated with the aircraft altitude variations. The bird attitude can be monitored in the future using inclinometers whose output can be incorporated into the interpretation (Son, 1985).

Such an oscillatory behavior can sometimes be suppressed if we use instead a least-squares inversion method when a sufficient number of redundant measurements is available. The resultant solutions in this case will carry large rms errors, yet may give a deceptively smooth solution profile (errors never die; they simply become hidden in the process). The present analytic inversion method produces zero-residual solutions that fit the observed data regardless of the measurement errors. Although the two approaches are equivalent in the sense of error budgeting, the analytic inversion method appears to be superior in field logistics and in computational speed.

Figure 8 shows the interpretive error profiles for Line 5021. The top figure shows the difference between the radar altitude and the electromagnetic altitude derived from the 7200-Hz record. The differences are mainly less than 1 m, except toward the shoreline where water becomes very shallow and where the interpretative model for the AEM data fails. While the differences appear to be random, they are fairly correlatable with the altitude changes. It is understandable that when aircraft altitude changes, the air speed in general also changes, thus resulting in changes in the towing angle which, in turn, produces a relative motion between the aircraft (on which the altimeter

is mounted) and the bird. This relative motion appears to be responsible for the differences. Mounting the altimeter on the bird may help to resolve this problem in the future. to perform a low-pass filtering of the interpreted bathymetric profile to render smooth appearances. To this end, we applied to Line 5021 a simple, equal-weight, 11-point running average filter to produce Figure 9. Figures 10-14 show additional AEM bathymetric profiles produced by the above described procedure. The same 11-point filter

If we assume that the oscillatory behavior of the AEM bathymetry (Fig. 7) is of random nature, we are justified

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Figure 8. Interpretative error profiles for Line 5021. Top graph shows the differences between the radar altitude and the electromagnetic altitude deduced from the 7200 Hz data. The rest shows differences between measured EM responses and computed EM responses for 385 Hz and 7200 Hz records.



Figure 9. AEM bathymetric profile for Line 5021 after applying an 11-point running average filter. Solid circles represent acoustic depths.



Figure 10. AEM bathymetry profile for Line 5031. Solid circles represent acoustic depths.



Figure 11. AEM bathymetry profile for Line 5041. Solid circles represent acoustic depths.

has been applied to all profiles. Where the ground truth survey was not performed, we show water depths as read from the bathymetric chart.

A composite of seven AEM profiles is shown in Figure 15. We notice striking details of the sea bottom morphology showing subtle trends and developments of slopes, trenches, and shoals. The fact that each profile is independently derived and yet shows remarkable correlations with neighboring profiles renders further credence to the AEM results.

Conclusions

From our experience through the Cape Cod AEM bathymetry experiment, we summarize some of the error sources that degrade the bathymetric resolution:

- calibration errors: amplitude, phase and zero-level;
- error in the interpretative ocean model, particularly assuming the vertically homogeneous bottom sediment layer;

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• altimeter error;



Figure 12. AEM batbymetry profile for Line 5051. Solid circles represent acoustic depths.



Figure 13. AEM bathymetry profile for Line 1021. Solid circles represent acoustic depths.

- measurement error due to pitching and yawing of the bird-negligible up to 10° if the bird altitude is 50 m or higher;
- ground truth interpolation error due to noncoincidence of tracks by boat and aircraft;
- electronic measurement noise.

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Most of the above error sources can be significantly reduced through improvements in equipment and interpretation software.

It is envisioned that with additional research and development efforts, the AEM method will be able to produce accurate bathymetric charts over a shallow ocean (perhaps up to 100 m in depth). Compared with the traditional acoustic sounding techniques, the AEM method can provide an order-of-magnitude faster survey speed at a reduced cost and thus yield a synoptic knowledge of ocean-bottom topography. With improved interpretation schemes, even real-time data processing appears to be a realizable goal.

In addition, the method has potential applications to remote measurements of electrical conductivities of ocean water and bottom sediments. The bottom sediment conductivity, in particular, is closely related to certain



Figure 14. AEM bathymetry profile for Line 5082. Solid circles represent water depths read from the bathymetric chart (NOAA chart: 13,246).



Figure 15. A composite of seven AEM bathymetry profiles from the Cape Cod Bay.

mechanical characteristics, such as compaction rate, porosity, density, and (indirectly perhaps) sediment types, which carry broad geotechnical implications for many offshore activities.

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UNCLAS	SIFIED SSIFICATION O	F THIS PAGE				AD-A	1586	,40		
				REPORT DOCUM	ENTATION PA	GE				
1a. REPORT SECURITY CLASSIFICATION Unclassified			15. PESTRICTIVE MARKINGS None							
28. SECURITY CLASSIFICATION AUTHORITY			3. DISTRIBUTION/AVAILABILITY OF REPORT							
2b. DECLASSIFICATION/DOWNGRADING SCHEDULE			Approved for public release; distribution is unlimited.							
4. PERFORMING ORGANIZATION REPORT NUMBER(S)			5. MONITORING ORGANIZATION REPORT NUMBER(S)							
NORDA Report 94			NORDA Report 94							
6. NAME OF PERFORMING ORGANIZATION			7a. NAME OF MONITORING ORGANIZATION							
Naval Ocean Research and Development Activity			Naval Ocean Research and Development Activity							
6c. ADDRES	S (City, State, a	nd ZIP Code)			7b. ADDRESS (City,	State, and ZIP Code)				
Ocean Science Directorate NSTL, Mississippi 39529-5004			Ocean Science Directorate NSTL, Mississippi 39529-5004							
Ba. NAME OF FUNDING/SPONSORING ORGANIZATION Bb. OFFICE SYMBOL Naval Ocean Research and (If applicable) Development Activity If applicable			9. PROCUREMENT INSTRUMENT IDENTIFICATION NUMBER N62306-84-C-0013 -N62306-84-M-6027							
8c. ADDRES	S (City, State, a	nd ZIP Code)			10. SOURCE OF FI	UNDING NOS.				
Ocean Science Directorate NSTL, Mississippi 39529-5004			PROGRAM ELEMENT NO. 62759N	PROJECT NO.	TASK NO.	wo	NO NO			
11. TITLE (# Airbo	rne Electr	Classification)	tic Bathym	ietry	- 63701B-					
12. PERSON	AL AUTHOR(S)	CURO SM	its							
13a. TYPE OF REPORT 13b. TIME COVERED				14. DATE OF REPORT (Yr., Mo., Day) 15. PAGE COUNT						
Final From			To	April 1985			21			
16. SUPPLE	MENTARY NOT	ATION								
17.	COSA	TI CODES		18. SUBJECT TERMS (Conti	nue on reverese il nec	essary and identify by i	block number)			
FIELD	GROUP	SUB	. GR.	Airborne electro	romagnetic survey, Cape Cod, bathymetry					
							<u> </u>			
19. ABSTRACT (Continue on reverse if necessary and identify by block number) An experimental airborne electromagnetic survey was carried out in the Cape Cod Bay area to investigate the potential of extracting bathymetric information over a shallow ocean. A commercially available Dighem III AEM system was used for the survey without any significant modification. The helicopter-borne system operated at 385 Hz and 7200 Hz, both in a horizontal coplanar configuration. A concurrent ground truth survey included extensive acoustic profiles, as well as spot water conductivity measurements.										
20. DISTRIBUTION/AVAILABILITY OF ABSTRACT 21 ABS					21 ABSTRACT SEC	ABSTRACT SECURITY CLASSIFICATION				
UNCLASSIFIED/UNLIMITED 🗔 SAME AS RPT. 📕 DTIC USERS 🗌				Unclassified						
228. NAME OF RESPONSIBLE INDIVIDUAL			220. TELEPHONE NUMBER (Include Area Code) 22c. OFFICE S			SYMBOL				
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