

AD-A155 038

OVERLAP INTEGRALS FOR ATOM-METAL SURFACE INTERACTIONS

1/1

(U) ROCHESTER UNIV NY DEPT OF CHEMISTRY

W C MURPHY ET AL. MAY 85 TR-67 N00014-80-C-0472

UNCLASSIFIED

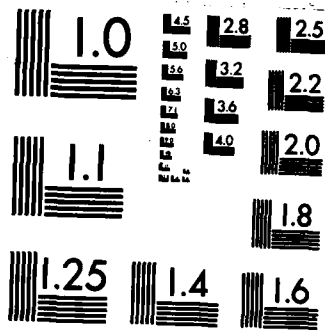
F/G 7/4

NL

END

FILMED

DTIC



MICROCOPY RESOLUTION TEST CHART  
NATIONAL BUREAU OF STANDARDS-1963-A

OFFICE OF NAVAL RESEARCH  
Contract N00014-80-C-0472  
Task No. NR 056-749  
TECHNICAL REPORT No. 67

Overlap Integrals for Atom-Metal Surface Interactions

by

William C. Murphy and Thomas F. George

Prepared for Publication

in

International Journal of Quantum Chemistry

Department of Chemistry  
University of Rochester  
Rochester, New York 14627

May 1985

Reproduction in whole or in part is permitted for any purpose  
of the United States Government

This document has been approved for public release and sale;  
its distribution is unlimited.

AD-A155 038

DTIC FILE COPY

DTIC  
ELECTE  
JUN 17 1985  
S  
A

85 5 23 137

UNCLASSIFIED

SECURITY CLASSIFICATION OF THIS PAGE

REPORT DOCUMENTATION PAGE

1a. REPORT SECURITY CLASSIFICATION Unclassified		1b. RESTRICTIVE MARKINGS	
2a. SECURITY CLASSIFICATION AUTHORITY		3. DISTRIBUTION/AVAILABILITY OF REPORT Approved for public release; distribution unlimited	
2b. DECLASSIFICATION/DOWNGRADING SCHEDULE			
4. PERFORMING ORGANIZATION REPORT NUMBER(S) UROCHESTER/DC/85/TR-67		5. MONITORING ORGANIZATION REPORT NUMBER(S)	
6a. NAME OF PERFORMING ORGANIZATION Department of Chemistry University of Rochester		6b. OFFICE SYMBOL (If applicable)	7a. NAME OF MONITORING ORGANIZATION Office of Naval Research (Code 413)
6c. ADDRESS (City, State and ZIP Code) River Station Rochester, New York 14627		7b. ADDRESS (City, State and ZIP Code) Chemistry Program 800 N. Quincy Street Arlington, Virginia 22217	
8a. NAME OF FUNDING/SPONSORING ORGANIZATION Office of Naval Research		8b. OFFICE SYMBOL (If applicable)	9. PROCUREMENT INSTRUMENT IDENTIFICATION NUMBER Contract N00014-80-C-0472
8c. ADDRESS (City, State and ZIP Code) Chemistry Program 800 N. Quincy Street Arlington, Virginia 22217		10. SOURCE OF FUNDING NOS.	
		PROGRAM ELEMENT NO. 61153N	PROJECT NO. 013-08
		TASK NO. NR 056-748	WORK UNIT NO.
11. TITLE Overlap Integrals for Atom-Metal Surface Interactions			
12. PERSONAL AUTHOR(S) William C. Murphy and Thomas F. George			
13a. TYPE OF REPORT Interim Technical		13b. TIME COVERED FROM _____ TO _____	14. DATE OF REPORT (Yr., Mo., Day) May 1985
15. PAGE COUNT 16			
16. SUPPLEMENTARY NOTATION Prepared for publication in International Journal of Quantum Chemistry			
17. COSATI CODES			18. SUBJECT TERMS (Continue on reverse if necessary and identify by block number) OVERLAP INTEGRALS EXACT ALGEBRAIC EXPRESSIONS TRIPLE INTEGRALS ZERO PARALLEL WAVEVECTOR ATOM-METAL SURFACE GENERAL CASE
FIELD	GROUP	SUB. GR.	
19. ABSTRACT (Continue on reverse if necessary and identify by block number) Atom-metal surface overlap integrals are of utmost importance in surface energy calculations. Direct numerical evaluation of these triple integrals can be very time consuming. However, we have developed an exact algebraic expression, where formulas for the coefficients are given for both the general case and the special case where the parallel wavevector is zero. Some numerical examples of the overlap for H on Al are given.			
20. DISTRIBUTION/AVAILABILITY OF ABSTRACT UNCLASSIFIED/UNLIMITED <input checked="" type="checkbox"/> SAME AS RPT. <input checked="" type="checkbox"/> DTIC USERS <input type="checkbox"/>		21. ABSTRACT SECURITY CLASSIFICATION Unclassified	
22a. NAME OF RESPONSIBLE INDIVIDUAL Dr. David L. Nelson		22b. TELEPHONE NUMBER (Include Area Code) (202)696-4410	22c. OFFICE SYMBOL

1

OVERLAP INTEGRALS FOR ATOM-METAL SURFACE INTERACTIONS

William C. Murphy and Thomas F. George  
Department of Chemistry  
University of Rochester  
Rochester, New York 14627

Abstract

Atom-metal surface overlap integrals are of utmost importance in surface energy calculations. Direct numerical evaluation of these triple integrals can be very time consuming. However, we have developed an exact algebraic expression, where formulas for the coefficients are given for both the general case and the special case where the parallel wavevector is zero. Some numerical examples of the overlap for H on Al are given.

*Additional keywords: wave functions, ←*

A rectangular area containing a handwritten "A-1" in the bottom left corner. To the right of the "A-1" is a table with four columns and three rows. The top row has a checkmark in the second column. The second row has a checkmark in the third column. The third row has a checkmark in the fourth column.

## 1. Introduction

The interaction of an adatom with a metal surface is of utmost importance in surface physics (and surface science in general). Several researchers<sup>1-6</sup> have expended a great deal of effort in its determination. To evaluate this interaction potential, one common method is to first expand the total wavefunction in a mixed basis set,

$$\psi_i(\vec{r}) = \sum_a c_a^i \phi_a(\vec{r}) + \sum_k c_k^i \phi_k(\vec{r}) \quad , \quad (1)$$

where  $\phi_a(\vec{r})$  are wavefunctions that are localized on the adatom with quantum number  $a$ , and  $\phi_k(\vec{r})$  are the delocalized wavefunctions of the metal with quantum number  $k$ . One of the difficulties involved with such an expansion is the need to remove the overcompleteness. Lundqvist<sup>4</sup> has suggested that this can best be done by the requirement

$$\sum_k c_k^i S_{a,k} = 0 \quad , \quad (2)$$

where the overlap integral is given by

$$S_{a,k} = \langle a|k\rangle \quad . \quad (3)$$

Consequently, a knowledge of this overlap is needed to remove the overcompleteness. Furthermore, to solve the secular determinant for Eq. (1), one needs to know the values of the overlap integral and two interaction integrals. The interaction integrals in turn can be related to each other and the overlap via the Hermitian property

$$E_a S_{k,a}^* + \langle k|V_L|a\rangle^* = E_k S_{a,k} + \langle a|V_a|k\rangle \quad , \quad (4)$$

where  $E_a$  and  $V_a$  are the eigenvalue and potential of the isolated atom, and  $E_k$  and  $V_L$  are the eigenvalue and potential of the isolated metal. Consequently, an evaluation of the overlap is vital for solving the secular determinant.

In this paper, we show that a closed form expression for the overlap can be obtained. We define our system in the next section, and following this we give the details of evaluating  $S_{a,k}$ . Finally, we discuss our results and suggest further uses of this calculation.

## 2. The System

We shall examine the case of a single atom impinging on a simple metal surface. The electrons associated with the atom will be approximated by Slater orbitals,<sup>7</sup>

$$\phi_a(\vec{r}) = \left[ \frac{(2\xi)^{2n+1}}{(2n)!} \right]^{1/2} r^{n-1} e^{-\xi r} Y_l^m(\theta, \phi), \quad (5)$$

where  $Y_l^m(\theta, \phi)$  are the spherical harmonics,  $a = (n, l, m)$  are the atomic quantum numbers, and  $\xi$  is the orbital factor. The origin of our system is chosen to be on the surface; therefore,

$$r = \sqrt{r_{\parallel}^2 + (z - z_a)^2}, \quad (6)$$

where  $z$  and  $r_{\parallel}$  are the position of the electron perpendicular and parallel to the surface, and  $z_a$  is the distance of the atom from the surface. The Slater orbital choice was made for computational efficiency. Furthermore, if  $V_a$  is Coulombic, the interaction integral for the atomic potential will be

$$\langle a | V_a | k \rangle = \frac{2\xi Z}{\sqrt{(2n)(2n-1)}} S_{a',k}, \quad (7)$$

where  $Z$  is the nuclear charge and  $a' = (n-1, l, m)$ . Consequently, all integrals of interest can be written in terms of the overlap.

Previously, the metal has been modeled within the truncated jellium approximation.<sup>1-5</sup> Instead of using the numerical wavefunctions from this model, we shall consider the metal electrons as particle-in-a-box. Such wavefunctions are good approximations to the jellium model and provide the basis set necessary for a more exact approach within the nearly-free-electron approximation.<sup>8</sup> These particles-in-a-box wavefunctions are

$$\phi_{\mathbf{k}}(\vec{r}) = A_s^{-1/2} e^{i\mathbf{k}_{\parallel} \cdot \vec{r}} f(z) , \quad (8)$$

where  $A_s$  is the surface normalization area, and  $k_{\parallel}$  is the component of the electronic wavevector parallel to the surface.  $f(z)$  is the one-dimensional particle-in-a-box wavefunction:

$$f(z) = \left(\frac{2}{L}\right)^{1/2} \sin(k_z z + \theta_k) \quad (9a)$$

for  $-L < z < 0$ ,

$$f(z) = \left(\frac{2}{L}\right)^{1/2} \sin\theta_k e^{-qz} \quad (9b)$$

for  $z > 0$ , and

$$f(z) = \left(\frac{2}{L}\right) \sin(-k_z L + \theta_k) e^{q(z+L)} \quad (9c)$$

for  $z < -L$ , with

$$q = \sqrt{2W - k_z^2} \quad (10)$$

$$\tan\theta_k = -\frac{k_z}{q} , \quad (11)$$

where  $L$  is the thickness of the metal,  $k_z$  is the component of the electronic wavevector perpendicular to the surface, and  $W$  is the sum of the work function and the Fermi energy.

To calculate the overlap of the wavefunctions given in Eqs. (5) and (8), we must solve the integral



$$S_{a,k} = A_s^{-1/2} \left[ \frac{(2\xi)^{2n+1}}{(2n)!} \right]^{1/2} \int d\vec{r} r^{n-1} e^{-\xi r} Y_l^{m*}(\theta, \varphi) e^{i\vec{k}_{\parallel} \cdot \vec{r}} f(z+z_a) \quad (12)$$

where the  $z_a$  is needed in the metal wavefunction since our origin is centered on the adatom. At first this integral appears quite complicated, due to the broken symmetry caused by the surface. However, we can carry out an evaluation by means of a Fourier expansion.

### 3. Evaluation

The metal wavefunction, Eq. (8), can be represented by a Fourier transform,

$$\psi_{\vec{k}}(\vec{r}) = \int d\vec{s} \psi_{\vec{k}}(\vec{s}) e^{i\vec{s} \cdot \vec{r}} \quad (13)$$

where  $\vec{s}$  is the Fourier coordinate. The transform of the wavefunction is given by

$$\psi_{\vec{k}}(\vec{s}) = \frac{f(s_z)}{A_s^{1/2}} \delta(\vec{k}_{\parallel} - \vec{s}_{\parallel}) \quad (14)$$

with

$$f(s_z) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} dz e^{is_z z} f(z+z_a) \quad (15)$$

where  $\delta(\vec{k}_{\parallel} - \vec{s}_{\parallel})$  is the Dirac delta function. Using Eq. (9) in Eq. (15), we obtain

$$f(s_z) = \frac{1}{2\pi} \left(\frac{2}{L}\right)^{1/2} \left\{ \sin\theta_k \int_0^{\infty} dz e^{is_z(z-z_a)} e^{-qz} \right. \\ \left. + \sin(\theta_k - k_z L) \int_{-\infty}^{-L} dz e^{is_z(z-z_a)} e^{q(z+L)} + \int_{-L}^0 dz e^{is_z(z-z_a)} \sin(k_z z + \theta_k) \right\} \quad (16)$$

Evaluating these integrals is straightforward and gives

$$f(s_z) = \frac{1}{2\pi} \left(\frac{2}{L}\right)^{1/2} \left\{ \frac{i \sin\theta_k e^{-is_z z_a}}{s_z + iq} + \frac{i \sin(\theta_k - k_z L) e^{-is_z(z_a+L)}}{s_z - iq} \right. \\ \left. - \frac{e^{-is_z z_a}}{2} \left[ \frac{e^{i\theta_k}}{k_z + s_z} + \frac{e^{-i\theta_k}}{k_z - s_z} - \frac{e^{-i[(k_z + s_z)L + \theta_k]}}{k_z + s_z} - \frac{e^{i[(k_z - s_z)L + \theta_k]}}{k_z - s_z} \right] \right\} \quad (17)$$

Combining Eqs. (12) and (13), we can express the overlap as

$$S_{a,k} = \left[ \frac{(2\xi)^{2n+1}}{(2n)!} \right]^{1/2} \int d\vec{s} \phi_k(\vec{s}) \int dr r^{n-1} e^{-\xi r} Y_{\ell}^{m*}(\theta, \phi) e^{i\vec{s} \cdot \vec{r}} \quad (18)$$

The exponential can be expanded in terms of the spherical harmonics,<sup>9</sup>

$$e^{i\vec{s} \cdot \vec{r}} = 4\pi \sum_{\ell'=0}^{\infty} \sum_{m'=-\ell'}^{\ell'} i^{\ell'} Y_{\ell'}^{m'*}(\theta_s, \phi_s) Y_{\ell'}^{m'}(\theta, \phi) j_{\ell'}(sr) \quad (19)$$

where  $j_{\ell}(sr)$  is the spherical Bessel function. Using this in Eq. (18) and the orthonormality of the spherical harmonics, the overlap reduces to

$$S_{a,k} = \left[ \frac{(2\xi)^{2n+1}}{(2n)!} \right]^{1/2} 4\pi i^{\ell} \int d\vec{s} \phi(\vec{s}) Y_{\ell}^{m*}(\theta_s, \phi_s) \int dr r^{n+1} j_{\ell}(sr) e^{-\xi r} \quad (20)$$

By Eq. (14), this can be further reduced to

$$S_{a,k} = 4\pi i^{\ell} \left[ \frac{(2\ell+1)(\ell-|m|)!(2\xi)^{2n+1}}{4\pi(\ell+|m|)!(2n)!} \right]^{1/2} A_s^{-1/2} \times e^{-im\phi_k} \int_{-\alpha}^{+\alpha} ds_z f(s_z) P_{\ell}^{|m|}\left(\frac{s_z}{s}\right) \int dr r^{n+1} j_{\ell}(sr) e^{-\xi r} \quad (21)$$

where  $\phi_k$  is the azimuthal angle in k-space,  $P_{\ell}^{|m|}\left(\frac{s_z}{s}\right)$  is the associated Legendre function, and  $s$  is now given by

$$s = \sqrt{k_{||}^2 + s_z^2} \quad (22)$$

The spherical Bessel function can readily be expanded as<sup>10</sup>

$$j_{\ell}(sr) = \frac{1}{2sr} \sum_{t=0}^{\ell} \frac{i^{t-\ell-1} (\ell+t)!}{t!(\ell-t)!(2sr)^t} [e^{isr} + e^{-isr} (-1)^{t-\ell-1}] \quad (23)$$

Using this expression, we can evaluate the inner integral in Eq. (21):

$$S_{a,k} = A_s^{-1/2} e^{-im\zeta k} D \sum_{t=0}^{\ell} \frac{i^{t-1} (\ell+t)! (n-t)!}{t! (\ell-t)!} \times \int ds_z f(s_z) P_{\ell}^{|m|} \left( \frac{s_z}{s} \right) \left[ \frac{1}{(2s)^{t+1}} \right] \left[ \frac{1}{(\xi-is)^{n-t+1}} + \frac{(-1)^{t-\ell-1}}{(\xi+is)^{n-t+1}} \right], \quad (24)$$

where for convenience, we define

$$D = 4\pi \left[ \frac{(2\ell+1) (\ell-|m|)! (2\xi)^{2n+1}}{4\pi (\ell+|m|)! (2n)!} \right]^{1/2} \quad (25)$$

In order to evaluate the integral in Eq. (24), it is easier to examine the integrand with the fractions combined:

$$S_{a,k} = A_s^{-1/2} e^{-im\zeta k} D \sum_{t=0}^{\ell} \frac{i^{t-1} (\ell+t)! (n-t)!}{t! (\ell-t)!} \int_{-\infty}^{+\infty} ds_z f(s_z) P_{\ell}^{|m|} \left( \frac{s_z}{s} \right) \left[ \frac{1}{(2s)^{t+1}} \right] \times \xi^{n-t-1} \sum_{j=0}^{n-t+1} \frac{(n-t+1)!}{(n-t+1-j)! j!} \left( \frac{j}{\xi} \right)^j \frac{[1+(-1)^{t-\ell-1+j}] s^j}{(\xi^2 + k_{\parallel}^2 + s_z^2)^{n-t+1}}. \quad (26)$$

Eq. (26) can be solved by considering the integral as extended over a semicircle in the negative complex plane. The total integral over this enclosed path gives

$$\int_{-\infty}^{+\infty} ds_z \dots + \int_C ds_z \dots = -2\pi i \sum_j R_j, \quad (27)$$

where the integrand is the same as in Eq. (26). The  $C$  in the second integral implies integration over the semicircle part of the curve, and  $R_j$  are the residues of the poles contained within the total curve. Since the integral is in the negative complex plane, the exponentials in  $f(s_z)$  goes to zero at infinity; the second integral vanishes. Consequently, the integral in Eq. (26) only depends on the poles in the negative complex plane. Inspection of the integrand reveals three such poles: (1) a pole of order 1 at  $s_z = -iq$  contained in  $f(s_z)$ ; (2) a pole of order  $n+1$  at  $s_z = -i\sqrt{\xi^2 + k_{\parallel}^2}$  from the fraction in the sum; and (3) a pole of order  $\ell$  at  $s_z = -ik_{\parallel}$  due partially to the Legendre function and partially to the fraction it multiplies.

Using Eq. (17), we can easily determine the residue at the first pole:

$$R_1 = -\frac{1}{2\pi i} \left(\frac{2}{LA_s}\right)^{1/2} e^{-im\phi_k} D \sin^2 k e^{-qz} a \sum_{t=0}^l \frac{(l+t)! (n-t)!}{t! (l-t)!} p_l^{|m|} \left(\frac{-q}{\sqrt{q^2 - k_{\parallel}^2}}\right) \\ \times \frac{1}{\left(2\sqrt{q^2 - k_{\parallel}^2}\right)^{t+1}} \left[ \frac{1}{\left(\xi + \sqrt{q^2 - k_{\parallel}^2}\right)^{n-t+1}} + \frac{(-1)^{t-l-1}}{\left(\xi - \sqrt{q^2 - k_{\parallel}^2}\right)^{n-t+1}} \right], \quad (26)$$

where we have ignored terms that vanish as the metal thickness,  $L$ , becomes large. In order to evaluate the other two residues, we first define

$$F(is_z) = \left[ \frac{i \sin^2 k}{s_z + iq_k} - \frac{1}{2} \left( \frac{e^{i\theta_k}}{k_z + s_z} + \frac{e^{-i\theta_k}}{k_z - s_z} \right) \right] \\ \times \sum_{t=0}^l i^{t-1} \frac{(l+t)! (n-t)!}{t! (l-t)!} p_l^{|m|} \left(\frac{s_z}{s}\right) \left[ \frac{1}{(2s)^{t+1}} \left[ \frac{1}{(\xi - is)^{n-t+1}} + \frac{(-1)^{t-l-1}}{(\xi + is)^{n-t+1}} \right] \right]. \quad (29)$$

Consequently, we can now write the residue at  $s_z = -i\sqrt{\xi^2 + k_{\parallel}^2}$  as

$$R_2 = \frac{1}{2\pi} \left(\frac{2}{LA_s}\right)^{1/2} e^{-im\phi_k} \frac{D}{n!} \frac{d^n}{ds_z^n} \left\{ \left[ s_z + i\sqrt{\xi^2 + k_{\parallel}^2} \right]^{n+1} F(is_z) e^{is_z z} a \right\}_{s_z = -i\sqrt{\xi^2 + k_{\parallel}^2}}. \quad (30)$$

If we let  $x = is_z$ , then

$$R_2 = -\frac{i}{2\pi} \left(\frac{2}{LA_s}\right)^{1/2} e^{-im\phi_k} \frac{D}{n!} \frac{d^n}{dx^n} \left\{ \left[ x - \sqrt{\xi^2 + k_{\parallel}^2} \right]^{n+1} F(x) e^{-xz} a \right\}_{x = \sqrt{\xi^2 + k_{\parallel}^2}}. \quad (31)$$

Using Leibnitz' formula for the differentiation of the product,<sup>11</sup> we obtain

$$R_2 = -\frac{i}{2\pi} \left(\frac{2}{LA_s}\right)^{1/2} e^{-im\phi_k} \frac{D}{n!} \sum_{h=0}^n \frac{n!(-1)^{n-h}}{(n-h)! h!} z_a^{n-h} e^{-\sqrt{\xi^2 + k_{\parallel}^2} z_a} \frac{d^h}{dx^h} \left\{ \left( x - \sqrt{\xi^2 + k_{\parallel}^2} \right)^{n+1} F(x) \right\}_{x=\sqrt{\xi^2 + k_{\parallel}^2}} \quad (32)$$

If we now define

$$B_h = \frac{(-1)^{n-h+1} D}{(n-h)! h!} \frac{d^h}{dx^h} \left\{ x - \sqrt{\xi^2 + k_{\parallel}^2} \right\}^{n+1} F(x) \Big|_{x=\sqrt{\xi^2 + k_{\parallel}^2}} \quad (33)$$

we can then write the second residue as

$$R_2 = \frac{i}{2\pi} \left(\frac{2}{LA_s}\right) e^{-im\phi_k} \left( \sum_{h=0}^n B_h z_a^{n-h} \right) e^{-\sqrt{\xi^2 + k_{\parallel}^2} z_a} \quad (34)$$

Similarly, we can obtain the residue at  $s_z = -ik_{\parallel}$  as

$$R_3 = \frac{i}{2\pi} \left(\frac{2}{LA_s}\right) e^{im\phi_k} \left( \sum_{h=0}^{\ell-1} C_h z_a^{\ell-1-h} \right) e^{-k_{\parallel} z_a} \quad (35)$$

for  $\ell > 0$ . If  $\ell = 0$ , there is no residue at  $s_z = -ik_{\parallel}$ . The coefficients are given by

$$C_h = \frac{(-1)^{\ell-h} D}{(\ell-1-h)! h!} \frac{d^h}{dx^h} \left\{ [x - k_{\parallel}]^{\ell} F(x) \right\}_{x=k_{\parallel}} \quad (36)$$

In the spirit of the second and third residues, we can rewrite the first, Eq.

(26), as

$$R_1 = \frac{i}{2\pi} \left(\frac{2}{LA_s}\right)^{1/2} e^{-im\phi_k} A e^{-qz_a} \quad (37)$$

where

$$A = D \sin^2 \theta_k \sum_{t=0}^{\ell} \frac{(\ell+t)!(n-t)!}{t!(\ell-t)!} P_{\ell}^{|m|} \left( \frac{-q}{\sqrt{q^2 - k_{\parallel}^2}} \right) \frac{1}{(2\sqrt{q^2 - k_{\parallel}^2})^{t+1}} \\ \times \left[ \frac{1}{\left( \xi + \sqrt{q^2 - k_{\parallel}^2} \right)^{n-t+1}} + \frac{(-1)^{t-\ell-1}}{\left( \xi - \sqrt{q^2 - k_{\parallel}^2} \right)^{n-t+1}} \right]. \quad (38)$$

Since we now have the value of the residue at each pole, we are able to obtain the overlap

$$S_{a,k} = -2\pi i \sum_{i=1}^3 R_i, \quad (39)$$

where the minus sign is due to our integrating in the clockwise direction.

Using Eqs. (34), (35) and (37), we obtain

$$S_{a,k} = \left( \frac{2}{LA_s} \right)^{1/2} e^{-im\phi_k} \left\{ A e^{-qz_a} + \left[ \sum_{h=0}^n B_h z_a^{n-h} \right] e^{-\sqrt{\xi^2 + k_{\parallel}^2} z_a} \right. \\ \left. + \left[ \sum_{h=0}^{\ell-1} C_h z_a^{\ell-1-h} \right] e^{-k_{\parallel} z_a} \right\}. \quad (40)$$

Thus, we have reduced the overlap integral to a simple algebraic expression in terms of the distance of the adatom from the surface. Furthermore, as we shall see below,  $A$ ,  $B_h$  and  $C_h$  are real; consequently, all imaginary contributions to the overlap are determined by the simple phase factor in Eq. (40).

#### 4. Numerical Procedures

In order to compute values for the overlap, Eq. (40), one must solve for the coefficients. The procedure for  $A$  is straightforward, and its value is given by Eq. (38).  $B_h$  and  $C_h$ , however, dependent on several differentiations of the

function  $F(is_z)$ . One simplification is obtained by observing that  $F(is_z)$  is completely real. This can be shown by making the substitution  $x = is_z$  in Eq. (29):

$$F(x) = \left[ \frac{\sin\theta_k}{x-q} + \frac{k_z \cos\theta_k - x \sin\theta_k}{x^2 + k_z^2} \right] \sum_{t=0}^{\ell} \frac{(\ell+t)! (n-t)!}{t! (\ell-t)!} P_{\ell}^{|m|} \left( \frac{-x}{\sqrt{x^2 - k_{\parallel}^2}} \right) \\ \times \frac{1}{(2\sqrt{x^2 - k_{\parallel}^2})^{t+1}} \left[ \frac{1}{(\xi + \sqrt{x^2 - k_{\parallel}^2})^{n-t+1}} + \frac{(-1)^{t-\ell-1}}{(\xi - \sqrt{x^2 - k_{\parallel}^2})^{n-t+1}} \right]. \quad (41)$$

To proceed with the evaluation of  $B_h$  and  $C_h$ , one could obtain a closed-form expression by repeated use of Leibnitz' formula or attempt to use a numerical approach based on finite differences.<sup>12</sup> However, a simplified form of the overlap can be obtained for the special case of  $k_{\parallel} = 0$ . Since the overlap would be expected to be greatest for  $k_z$  large ( $k_{\parallel}$  small), one could use this special case as a basis for obtaining a general solution.

If we assume that  $k_{\parallel} = 0$ , the associated Legendre function in Eqs. (38) and (41) will vanish unless  $m = 0$ . Consequently,  $S_{a,k} = 0$  for  $k_{\parallel} = 0$  and  $m \neq 0$ . For the case where  $m = 0$ , to evaluate  $C_h$  one must include in Eq. (29) the terms that depend on  $L$  from Eq. (17) since these terms do not vanish at  $s_z = 0$ . However, the value of these terms assures that  $F(is_z)$  and, consequently,  $C_h$  goes to zero. Therefore, for  $k_{\parallel} = 0$  and  $m = 0$ , the overlap becomes

$$S_{a,k} = \left( \frac{2}{LA_s} \right)^{1/2} \left\{ A e^{-qz_a} + \left[ \sum_{h=0}^n B_h z_a^{n-h} \right] e^{-\xi z_a} \right\}, \quad (42)$$

where  $A$  can now be simplified to the form

$$A = D \sin\theta_k \sum_{t=0}^{\ell} \frac{(\ell+t)! (n-t)!}{t! (\ell-t)!} \frac{(-1)^{\ell}}{(2q)^{t+1}} \left[ \frac{1}{(\xi+q)^{n-t+1}} + \frac{(-1)^{t-\ell-1}}{(\xi-q)^{n-t+1}} \right]. \quad (43)$$

Furthermore, using Eqs. (33) and (41),  $B_h$  reduces to

$$B_h = \frac{(-1)^{l-h}}{(n-h)! h!} D \sum_{t=0}^l \frac{(l+t)!(n-t)!}{t! (l-t)!} \times \frac{d^h}{dx^h} \left[ \left( \frac{\sin \theta_k}{x-q} + \frac{k_z \cos \theta_k - x \sin \theta_k}{x^2 + k_z^2} \right) \frac{(\xi-x)^t}{(2x)^{t+1}} \right]_{x=\xi} \quad (44)$$

Another application of Leibnitz' formula leads to

$$B_h = \frac{(-1)^{l-h}}{(n-h)!} D \sum_{t=0}^{(l,h)} \frac{(l+t)!(n-t)! (-1)^t}{t! (l-t)! (h-t)!} \times \frac{d^{h-t}}{dx^{h-t}} \left[ \left( \frac{\sin \theta_k}{x-q} + \frac{k_z \cos \theta_k - x \sin \theta_k}{x^2 + k_z^2} \right) \frac{1}{(2x)^{t+1}} \right]_{x=\xi} \quad (45)$$

where  $(l,h)$  implies using either  $l$  or  $h$ , whichever is smaller.

We have evaluated the overlap integral, Eq. (42), for a variety of interacting orbitals for hydrogen atom on the surface of aluminum, and the results are depicted in Fig. 1. As can be seen from this figure, the overlap becomes large as  $n$  becomes large, which is due to the fact that the overlap does not become a maximum until the volume occupied by the electronic wavefunction of the atom approaches the size of the metal. This happens at the point of dissociation,  $n \rightarrow \infty$ .

The peculiar structure of the 2s overlap is caused because  $q \approx \xi$ , i.e., at the exact point of equality  $A$  cancels  $b_0$ . Consequently, if the atom is on the surface ( $z = 0$ ), the overlap is zero. For  $q < \xi$ , the metal surface damping dominates the overlap at small  $z$ ; for  $q > \xi$ , the atomic damping dominates.



## 5. Discussion

We have demonstrated that the overlap integrals for the wavefunctions of an atom with a metal surface can be written as algebraic expressions in term of the distance from the surface. Furthermore, we have shown that these expressions are real except for a simple multiplicative phase factor. Analytical expressions for the coefficients of the overlap formulas have also been presented. Solving these closed-form expressions for the overlaps will be much more computationally efficient than direct numerical solution of the overlap integrals. Since other integrals of interest in surface calculations can be written in terms of the overlaps, this efficiency will be transferred to atom-metal surface potential energy calculations. Such integrals are also extremely useful in studying such problems as atom-surface charge transfer, scattering and energy coupling. These problems are of ongoing interest in our research.

## Acknowledgements

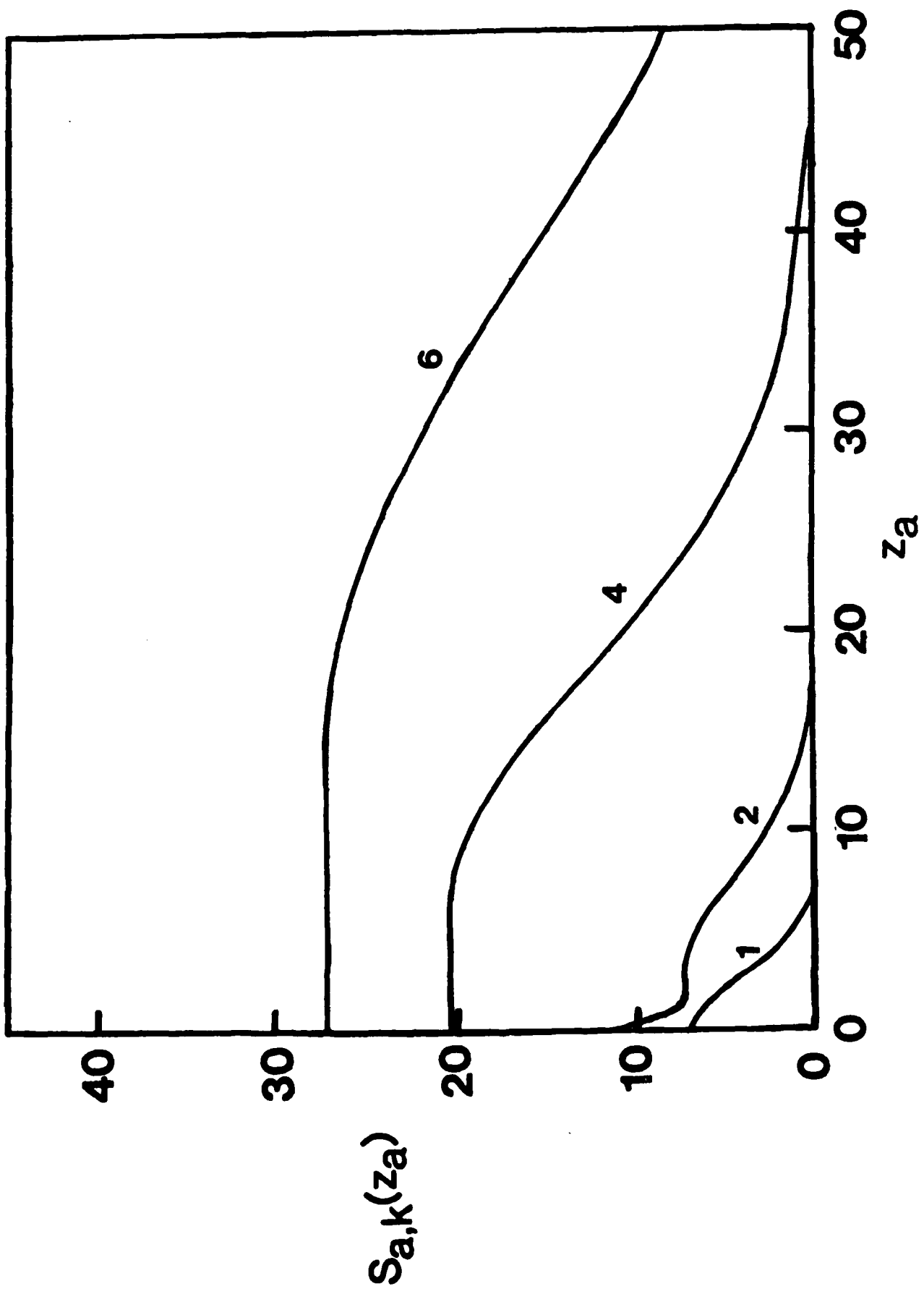
This research was supported in part by the Air Force Office of Scientific Research (AFSC), United States Air Force, under Grant AFOSR-82-0046, the Office of Naval Research, and the National Science Foundation under Grant CHE-8320185. The United States Government is authorized to reproduce and distribute reprints for governmental purposes notwithstanding any copyright notation hereon. TFG acknowledges the Camille and Henry Dreyfus Foundation for a Teacher-Scholar Award (1975-86).

### References

1. N. D. Lang, *Solid State Commun.* 9, 1015 (1975).
2. N. D. Lang and A. R. Williams, *Phys. Rev. Lett.* 34, 531 (1975).
3. N. D. Lang and A. R. Williams, *Phys. Rev. Lett.* 37, 212 (1976).
4. O. Gunnarsson, H. Hjelmberg and B. I. Lundqvist, *Phys. Rev. Lett.* 37, 292 (1976).
5. J. K. Norskov, A. Houmoller, P. K. Johnsson, and B. I. Lundqvist, *Phys. Rev. Lett.* 46, 257 (1981).
6. A. R. Gregory, A. Gelb, and R. Silbey, *Surf. Sci.* 74, 497 (1978).
7. J. C. Slater, *Phys. Rev.* 36, 57 (1930).
8. J. M. Ziman, Principles of the Theory of Solids, 2nd Ed. (Cambridge University Press, London, 1979), p. 77 ff.
9. A. Messiah, Quantum Mechanics, Vol. I (North-Holland, Amsterdam, 1958), p. 497.
10. I. S. Gradshteyn and I. M. Ryshik, Table of Integrals, Series, and Products, 4th Ed. (Academic Press, New York, 1965), p. 966.
11. M. Abramowitz, in Handbook of Mathematical Functions, edited by M. Abramowitz and I. A. Stegun (Dover, New York, 1965), p. 12.
12. W. G. Bickley, *Math. Gaz.* 25, 19 (1941).

### Figure Caption

1. The overlap  $S_{a,z}(z_a)$  in units of the normalization factor,  $(2/LA_s)^{1/2}$  versus the distance  $z_a$  from the surface,  $z_a$ , in atomic units. The data are for hydrogen s orbitals overlapping the Fermi wavefunction of aluminum. The curves are labelled by the principal quantum numbers.



TECHNICAL REPORT DISTRIBUTION LIST, GEN

	<u>No. Copies</u>		<u>No. Copies</u>
Office of Naval Research Attn: Code 413 800 N. Quincy Street Arlington, Virginia 22217	2	Dr. David Young Code 334 NORDA NSTL, Mississippi 39529	1
Dr. Bernard Doua Naval Weapons Support Center Code 5042 Crane, Indiana 47522	1	Naval Weapons Center Attn: Dr. A. B. Amster Chemistry Division China Lake, California 93555	1
Commander, Naval Air Systems Command Attn: Code 310C (H. Rosenwasser) Washington, D.C. 20360	1	Scientific Advisor Commandant of the Marine Corps Code RD-1 Washington, D.C. 20380	1
Naval Civil Engineering Laboratory Attn: Dr. R. W. Drisko Port Hueneme, California 93401	1	U.S. Army Research Office Attn: CRD-AA-IP P.O. Box 12211 Research Triangle Park, NC 27709	1
Defense Technical Information Center Building 5, Cameron Station Alexandria, Virginia 22314	12	Mr. John Boyle Materials Branch Naval Ship Engineering Center Philadelphia, Pennsylvania 19112	1
DTNSRDC Attn: Dr. G. Bosmajian Applied Chemistry Division Annapolis, Maryland 21401	1	Naval Ocean Systems Center Attn: Dr. S. Yamamoto Marine Sciences Division San Diego, California 91232	1
Dr. William Tolles Superintendent Chemistry Division, Code 6100 Naval Research Laboratory Washington, D.C. 20375	1	Dr. David L. Nelson Chemistry Division Office of Naval Research 800 North Quincy Street Arlington, Virginia 22217	1

DL/413/83/01  
056/413-2

ABSTRACTS DISTRIBUTION LIST, 056/625/629

Dr. G. A. Somorjai  
Department of Chemistry  
University of California  
Berkeley, California 94720

Dr. J. Murday  
Naval Research Laboratory  
Surface Chemistry Division (6170)  
455 Overlook Avenue, S.W.  
Washington, D.C. 20375

Dr. J. B. Hudson  
Materials Division  
Rensselaer Polytechnic Institute  
Troy, New York 12181

Dr. Theodore E. Madey  
Surface Chemistry Section  
Department of Commerce  
National Bureau of Standards  
Washington, D.C. 20234

Dr. J. E. Demuth  
IBM Corporation  
Thomas J. Watson Research Center  
P.O. Box 218  
Yorktown Heights, New York 10598

Dr. M. G. Lagally  
Department of Metallurgical  
and Mining Engineering  
University of Wisconsin  
Madison, Wisconsin 53706

Dr. R. P. Van Duyne  
Chemistry Department  
Northwestern University  
Evanston, Illinois 60637

Dr. J. M. White  
Department of Chemistry  
University of Texas  
Austin, Texas 78712

Dr. D. E. Harrison  
Department of Physics  
Naval Postgraduate School  
Monterey, California 93940

Dr. W. Kohn  
Department of Physics  
University of California, San Diego  
La Jolla, California 92037

Dr. R. L. Park  
Director, Center of Materials  
Research  
University of Maryland  
College Park, Maryland 20742

Dr. W. T. Peria  
Electrical Engineering Department  
University of Minnesota  
Minneapolis, Minnesota 55455

Dr. Keith H. Johnson  
Department of Metallurgy and  
Materials Science  
Massachusetts Institute of Technology  
Cambridge, Massachusetts 02139

Dr. S. Sibener  
Department of Chemistry  
James Franck Institute  
5640 Ellis Avenue  
Chicago, Illinois 60637

Dr. Arold Green  
Quantum Surface Dynamics Branch,  
Code 3817  
Naval Weapons Center  
China Lake, California 93555

Dr. A. Wold  
Department of Chemistry  
Brown University  
Providence, Rhode Island 02912

Dr. S. L. Bernasek  
Department of Chemistry  
Princeton University  
Princeton, New Jersey 08544

Dr. P. Lund  
Department of Chemistry  
Howard University  
Washington, D.C. 20059

DL/413/83/01  
056/413-2

ABSTRACTS DISTRIBUTION LIST, 056/625/629

Dr. F. Carter  
Code 6132  
Naval Research Laboratory  
Washington, D.C. 20375

Dr. Richard Greene  
Code 5230  
Naval Research Laboratory  
Washington, D.C. 20375

Dr. Richard Colton  
Code 6112  
Naval Research Laboratory  
Washington, D.C. 20375

Dr. L. Kesmodel  
Department of Physics  
Indiana University  
Bloomington, Indiana 47403

Dr. Dan Pierce  
National Bureau of Standards  
Optical Physics Division  
Washington, D.C. 20234

Dr. K. C. Janda  
California Institute of Technology  
Division of Chemistry and Chemical  
Engineering  
Pasadena, California 91125

Dr. R. Stanley Williams  
Department of Chemistry  
University of California  
Los Angeles, California 90024

Dr. E. A. Irene  
Department of Chemistry  
University of North Carolina  
Chapel Hill, North Carolina 27514

Dr. R. P. Messmer  
Materials Characterization Lab.  
General Electric Company  
Schenectady, New York 22217

Dr. Adam Heller  
Bell Laboratories  
Murray Hill, New Jersey 07974

Dr. Robert Gomer  
Department of Chemistry  
James Franck Institute  
5640 Ellis Avenue  
Chicago, Illinois 60637

Dr. Martin Fleischmann  
Department of Chemistry  
Southampton University  
Southampton SO9 5NH  
Hampshire, England

Dr. Ronald Lee  
R301  
Naval Surface Weapons Center  
White Oak  
Silver Spring, Maryland 20910

Dr. John W. Wilkins  
Cornell University  
Laboratory of Atomic and  
Solid State Physics  
Ithaca, New York 14853

Dr. Paul Schoen  
Code 5570  
Naval Research Laboratory  
Washington, D.C. 20375

Dr. Richard Smardzewski  
Code 6130  
Naval Research Laboratory  
Washington, D.C. 20375

Dr. John T. Yates  
Department of Chemistry  
University of Pittsburgh  
Pittsburgh, Pennsylvania 15260

Dr. H. Tachikawa  
Chemistry Department  
Jackson State University  
Jackson, Mississippi 39217

DL/413/83/01  
056/413-2

ABSTRACTS DISTRIBUTION LIST, 056/625/629

Dr. R. G. Wallis  
Department of Physics  
University of California  
Irvine, California 92664

Dr. D. Ramaker  
Chemistry Department  
George Washington University  
Washington, D.C. 20052

Dr. J. C. Hemminger  
Chemistry Department  
University of California  
Irvine, California 92717

~~Dr. T. F. George  
Chemistry Department  
University of Rochester  
Rochester, New York 14627~~

Dr. G. Rubloff  
IBM  
Thomas J. Watson Research Center  
P.O. Box 218  
Yorktown Heights, New York 10598

Dr. Horia Metiu  
Chemistry Department  
University of California  
Santa Barbara, California 93106

Captain Lee Myers  
AFOSR/NC  
Bolling AFB  
Washington, D.C. 20332

Dr. J. T. Keiser  
Department of Chemistry  
University of Richmond  
Richmond, Virginia 23173

Dr. Roald Hoffmann  
Department of Chemistry  
Cornell University  
Ithaca, New York 14853

Dr. R. W. Plummer  
Department of Physics  
University of Pennsylvania  
Philadelphia, Pennsylvania 19104

Dr. E. Yeager  
Department of Chemistry  
Case Western Reserve University  
Cleveland, Ohio 44106

Dr. N. Winograd  
Department of Chemistry  
Pennsylvania State University  
University Park, Pennsylvania 16802

Dr. G. D. Stein  
Mechanical Engineering Department  
Northwestern University  
Evanston, Illinois 60201

Dr. A. Stecki  
Department of Electrical and  
Systems Engineering  
Rensselaer Polytechnic Institute  
Troy, New York 12181

Dr. G. H. Morrison  
Department of Chemistry  
Cornell University  
Ithaca, New York 14853

Dr. P. Hansma  
Physics Department  
University of California  
Santa Barbara, California 93106

Dr. J. Baldeschwieler  
California Institute of Technology  
Division of Chemistry  
Pasadena, California 91125

Dr. W. Goddard  
California Institute of Technology  
Division of Chemistry  
Pasadena, California 91125

DL/413/83/01  
056/413-2

ABSTRACTS DISTRIBUTION LIST, 056/625/629

Dr. J. E. Jensen  
Hughes Research Laboratory  
3011 Malibu Canyon Road  
Malibu, California 90265

Dr. W. Knauer  
Hughes Research Laboratory  
3011 Malibu Canyon Road  
Malibu, California 90265

Dr. J. H. Weaver  
Department of Chemical Engineering  
and Materials Science  
University of Minnesota  
Minneapolis, Minnesota 55455

Dr. C. B. Harris  
Department of Chemistry  
University of California  
Berkeley, California 94720



**END**

**FILMED**

**7-85**

**DTIC**