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SURVEY OF NARROWBAND VOCODER TECHNOLOGY

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By

WILLIAM BOYCE McMINN, JR.

A Thesis
Submitted to the Faculty of
Mississippi State University
in Partial Fulfillment of the Requirements
for the Degree of Master of Science
in the Department of Electrical Engineering

Mississippi State, Mississippi

December 1984

ABSTRACT

The USAF is trying to identify a vocoder to insert into a Low Probability of Intercept (LPI) communications system. It should be small, light weight, low power, and capable of processing intelligible, natural sounding speech at 400 to 600 b/s. Two separate systems are needed: one to be utilized soon in a brassboard system to test the LPI concepts and one to be available as mid 90s off-the-shelf hardware for a production LPI system.

Weighted characteristic values are combined through a mapping and summing procedure to form a Figure of Merit, F_s, for comparing the systems. Each characteristic has a minimum, below which the system is considered unacceptable. Thirty eight current systems or research efforts were identified. Of these, only 17 were determined to be available in the desired time frame. These separated into 2 groups: mid 80s available and mid 90's available systems. The systems in each category were compared. The 3 with the highest F_s values were identified as the primary candidates. For the mid 80s effort the optimum systems are all Motorola prototypes. They are: (1) the Miniaturized Narrowband Secure Voice System, (2) the Manpack Vocoder, and (3) the Advanced Technology Model Multi-Rate Processor LPC Vocoder. For the mid 90s effort none of the systems met all of the minimum requirements. The desired data rates and equipment sizes have not been combined in a single effort. More R&D funds are necessary to advance the development of vocoders to the desired stage.

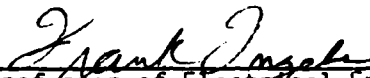SURVEY OF NARROWBAND VOCODER TECHNOLOGY

By

WILLIAM BOYCE McMINN, JR.

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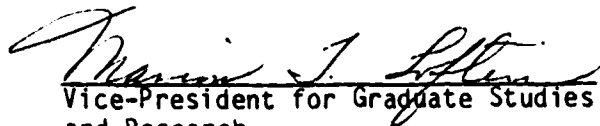
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Vice-President for Graduate Studies
and Research

PREFACE

The work reported in this thesis was performed at the United States Air Force Avionics Laboratory, a center for research operated by the Air Force Wright Aeronautical Laboratories (AFWAL) with the support of the Department of the Air Force.

The views and conclusions contained in this document are those of the author and should not be interpreted as necessarily representing the official policies, either expressed or implied, of the United States Air Force or the United States Government.

The value judgements of the specific equipments presented in this paper are a result of the author's choice and structuring of the evaluation criteria. The results of the comparisons are not intended to and do not reflect the quality or operational competance of any product discussed.

ACKNOWLEDGEMENT

I wish to express my sincere thanks to Mr. Lawrence L. Gutman who provided this topic for my thesis research and who has assisted me for about six months in the preparation of the final document. Additionally, I wish to thank the personnel of AFWAL/AAAI who put up with me for those six months, their assistance is greatly appreciated.

During the course of this research, I talked with several research engineers and company marketing personnel. These people are too numerous to thank here individually but they have my deepest appreication. I would especially like to thank the personnel of RADC/EEV, Hanscom AFB, Massachusetts, and MIT's Lincoln Laboratories also at Hanscom AFB for providing a tremendous amount of information and the opportunity to visit their facilities to discuss vocoders and to view ongoing experimentation in vocoder development.

Special appreciation goes to Professor Frank M. Ingles of the Electrical Engineering Department at Mississippi State University. Professor Ingles provided a large amount of initial guidance and suggestions and then let me pursue this project on my own.

Finally, I wish to thank my wife and family for putting up with the extended separations and numerous hours spent traveling back and forth between Wright-Patterson Air Force Base, Ohio, and Mississippi State University, Mississippi State, Mississippi.

- V -

ABSTRACT

William B. McMinn, Jr., Master of Science, December 1984.

Major: Electrical Engineering, Department of Electrical Engineering.

Title of Thesis: Survey of Narrowband Vocoder Technology

Directed By: Dr. Franklin M. Ingels

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Abstract

The USAF has a need to identify a vocoder to insert into a Low Probability of Intercept (LPI) communications system. It should be small, lightweight, low power, capable of operating in many types of aircraft, and capable of processing intelligible, natural sounding speech at 400 to 600 bits/seconds. Two separate units are needed: one to be used in a near-term brassboard test system and one to be used in a far-term production system. Weighted characteristic values are combined through a mapping and summing procedure to form a Figure of Merit for each system. Using these characteristic values, primary vocoder candidates have been identified and are discussed in this paper. *Additional keywords: waveforms, three, state of the art, transmit, dsls, multimode, I-3-I-P- (LPI (Antijam cute Airborne Radio System).*

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CHAPTER I

INTRODUCTION

Problem Statement

The United States Air Force is investigating the feasibility
of implementing a Low Probability of Interception (LPI) communications
system. The objective of this report is to provide an independent,
non-government determination of what the current level in vocoder
technology is and to evaluate it for application to the USAF LPI
Communications Techniques Advanced Development Program (LPI Comm ADP).

The objective of the LPI Comm ADP is to develop from
"off-the-shelf" technology a flight qualifiable brassboard system for
advanced development testing in the late 1980s. The brassboard deve-
lopment is aimed at a mid-1990s production of a multimode
LPI/Anti-Jam/Secure Airborn Radio System (LASARS).

At the present time, a conceptual design study for an LPI com-
munications system is being performed under contract for the Air
Force. This study is examining the feasibility of utilizing advanced
device technology and is looking to integrate potential LPI signal
processing techniques into the LARSARS. The technologies which yield
LPI gains and are under consideration include spread spectrum modula-
tion techniques, continous adaptive power control, speech bandwidth
compression, adaptive interference suppression, adaptive beam pointing

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antennas, low side lobe antennas, adaptive frequency control (multiple-band operations), adaptive null-steering antennas, and adaptive signal masking. Figure 1-1 depicts the LPI system in general terms and indicates where the various technologies fit within the projected system. The J's and I's indicate jamming and interference signals, respectively. The ESM block is the electronic support measures of the jamming and/or intercepting receivers.

This report will address the speech bandwidth compression area of the ongoing investigation by evaluating vocoder technology applicable to both the 1980s brassboard and the 1990s multimode LASARS. The report will be utilized by the LPI Comm ADP program manager and by the conceptual design contractor in their analysis of and recommendation for an overall communication system design integrating the LPI technology areas.

Scope

This report provides a comprehensive overview of current vocoder production systems and of current research models for future implementations. It contains discussions in several areas. These include:

1. A description of the speech wave and the problems associated with characterizing it.

2. An analysis of LPI gains from reduced information data rates.

3. A summary of the theory of operation of each competitive vocoder technology.
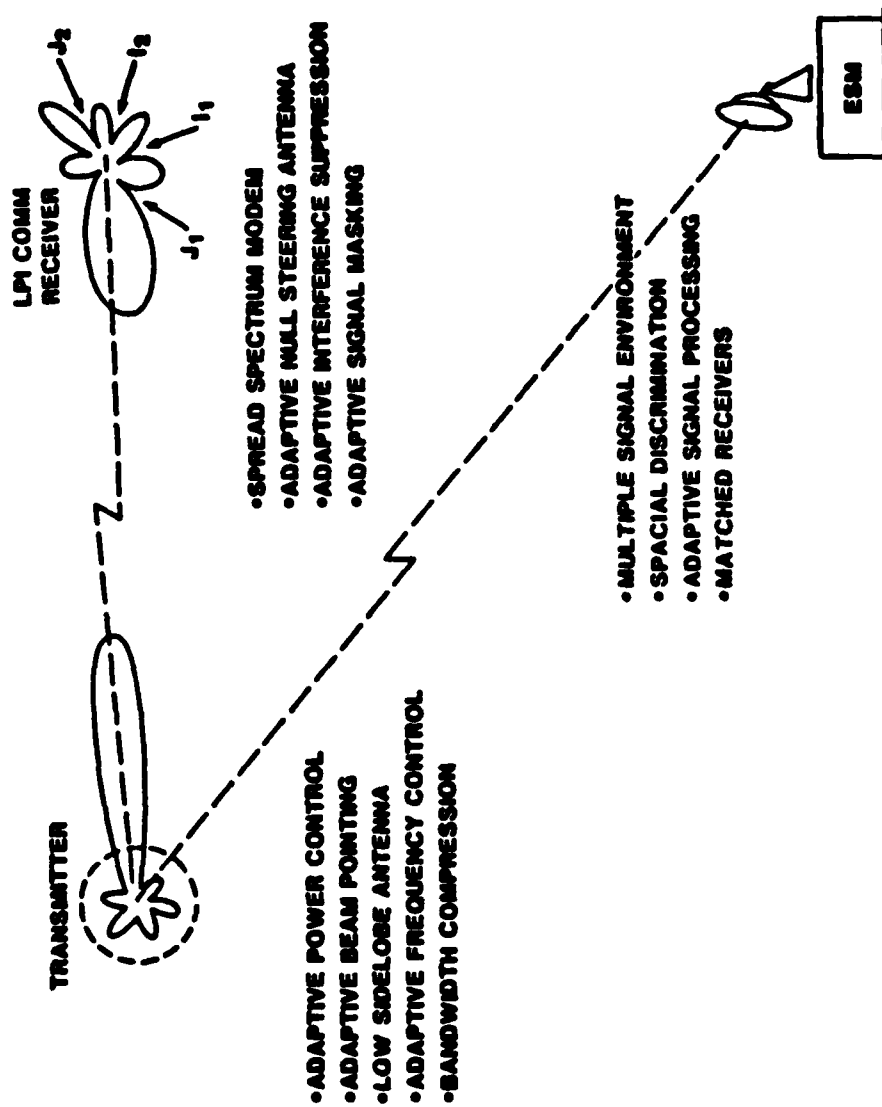
Figure 1-1. LPI technology conceptual design areas.
(Drawn from USAF/AFWAL VU-graphs.)

4. The development of an assessment criterion for comparing and selecting the most satisfactory vocoder configuration for near-term brassboard feasibility tests and for far-term LASARS implementation.

5. A qualitative comparison of low-rate (narrowband) vocoder technologies.

6. A quantitative comparison of low-rate vocoder technologies.

This report does not include any development of new vocoder technology or any new methods for analyzing vocoders. Its purpose is strictly to summarize the state of the art of vocoder technology, including all alternate approaches, and specific vocoder parameters and to select the vocoder candidates best suited for insertion into a brassboard and for application to a LASARS development and implementation.

Approach

This report is generated from an extensive literature search. The data sources include a periodical search and a government and civilian technical report search conducted by accessing Department of Defense (DoD), Air Force, National Aeronautics and Space Administration (NASA), and various civilian report documentation data bases, by conducting library searches in three different libraries, by conducting private communications with engineers at various research organizations and private companies, and by visiting some research organizations.

The report develops the mathematical relationship between data bandwidth and the required transmitter power (Chapter II). This

chapter also develops an understanding of the problems associated with analyzing the speech waveform and the basic theory of operation of the major vocoder methods. A method of assessment for comparing and selecting a specific vocoder is developed (Chapter III). The report performs an in depth qualitative (Chapter IV) and an in depth quantitative (Chapter V) comparison of the various vocoder systems being produced and/or researched. Finally, it presents a set of recommendations (Chapter VI) concerning the best vocoder implementations for brassboard and production LPI communications systems.

CHAPTER II

BACKGROUND

Overview

Before discussing vocoder systems and implementations it is necessary to understand the concept of an LPI communications system/channel and what gains are to be achieved in this channel through the use of vocoders. Also necessary is a discussion of the speech waveform characteristics and how they must be approached for an in depth mathematical analysis and characterization. Finally, the various vocoder methods or technologies must be presented to form a basis for examining specific implementations.

Function of Vocoder

The vocoder will constitute a major function of the communications link. A typical digital communications system block diagram is shown in Figure 2-1(a). The vocoder will form the basis for the formatting/source encoding portion of the system. Figure 2-1(b) summarizes the typical functions of the various portions of the system. Different speech digitizers can be described as follows (1).

> Speech coders can be divided into two broad categories: waveform coders and vocoders. The waveform coders attempt to mimic the speech waveform as closely as possible. These coders are capable of producing high-quality speech but only at bit rates

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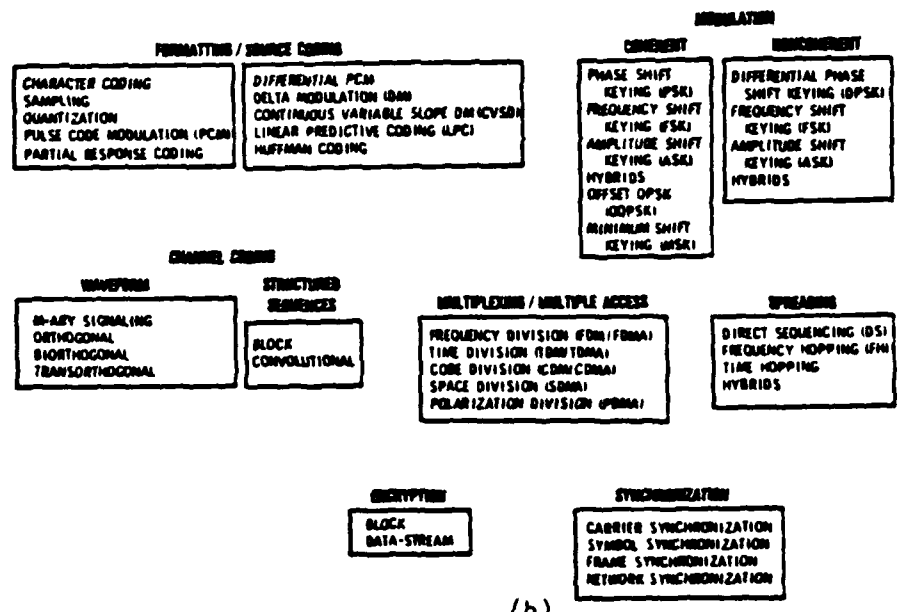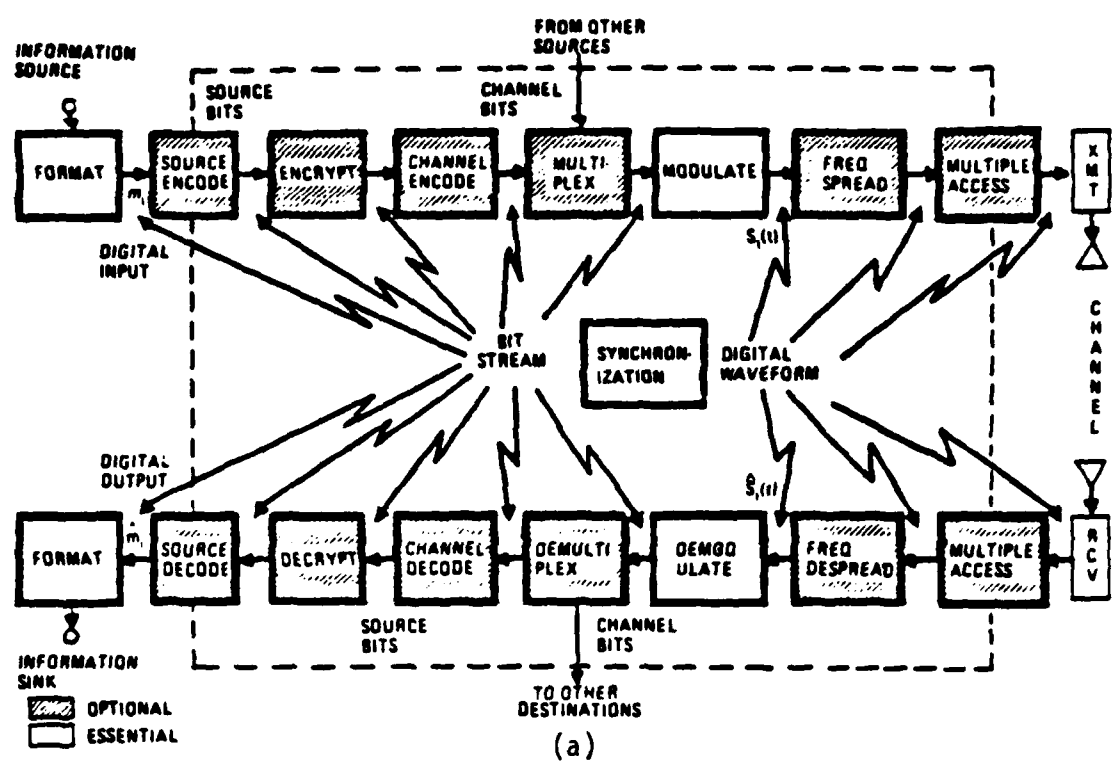
Figure 2-1. Digital communication system; (a) typical block diagram and (b) basic digital communication transformations. (Taken from ref. 54)

above about 16 kbits/s; the speech quality deteriorates signifi-
cantly as the bit rate is lowered below 10 kbits/s. Vocoders are
a parametric model of human speech production to achieve coding
efficiency. Vocoders can produce intelligible speech but often
the output speech has a synthetic quality. The speech quality
from vocoders cannot generally be improved by increasing the bit
rate.

The vocoder samples and quantizes the analog speech signal.
It then performs one of a variety of mathematical analysis techniques
in order to characterize the speech wave. This characterization is
then output in digital form from the source encode portion of the
system. The vocoder directly determines the basic data rate of the
system. Encryption, when utilized, usually does not add to the data
rate. When providing data error protection, the channel encoder adds
bits proportional to the incoming data rate. The output power
required to maintain a specific signal-to-noise ratio is directly pro-
portional to the system information data rate. Therefore, decreasing
the data rate will allow immediate reductions in output power allowing
the system to operate at a minimum level and decreasing the detec-
tability of the communications signal.

The system data rate is directly proportional to the system
bandwidth which determines the necessary power output. Reducing the
data rate allows corresponding reductions in the bandwidth. Reductions
in bandwidth limit the input noise power. If the noise power input is
reduced, the signal-to-noise ratio increases. Therefore, the trans-
mitter output power can be reduced in order to maintain a given,
required signal-to-noise ratio. Again, the system is assumed to be
operating at a minimum level of acceptable communications. It is
desired therefore to reduce the data rata as low as possible while

maintaining an acceptable level of speech recognition and quality and acceptable speaker identification. Discussion of the specific vocoder gains is presented in Appendix A1.

Speech Waveform Considerations

The speech waveform presents unique problems for mathematical analysis. It has three general characteristics to be determined. It consists of "voiced" sounds, "unvoiced" sounds, and a basic pitch period. Voiced sounds are more-or-less periodic in nature and are almost the same in shape for every individual speaker with some slight differences in frequency content. Unvoiced sounds are noise-like in nature, varing only in frequency content and amplitude. The pitch period determines the basic repetition period for the voiced sounds and is a function of the vocal tract and different for every speaker. Figure 2-2 shows samples of these characteristics. Figure 2-3 shows samples of the frequency content of these two speech types. Speech production is generally characterized as the convolution of a given excitation, pitch pulses, with the vocal tract impulse response.

The speech production process is best described in the following quote (99).

> The human speech production system consists of an air pressure source (the lungs) feeding through the vocal cords and combined oral and nasal passages. The vocal cords can be caused to vibrate and provide a periodic (voiced) excitation to the vocal tract, or to be abducted to allow airflow into the tract. A constriction in the tract during a period of airflow can cause turbulence (friction) noise to be generated just downstream of the constriction (with or without voicing). The oral and nasal passages form a variable configuration deformable set of resonators connected to the excitation sources. Radiation of the resulting pressure wave from the mouth and nose causes the speech. Although the sources are nonwhite and the radiation process is frequency dependent, the model can be simplified by combining the frequency dependent
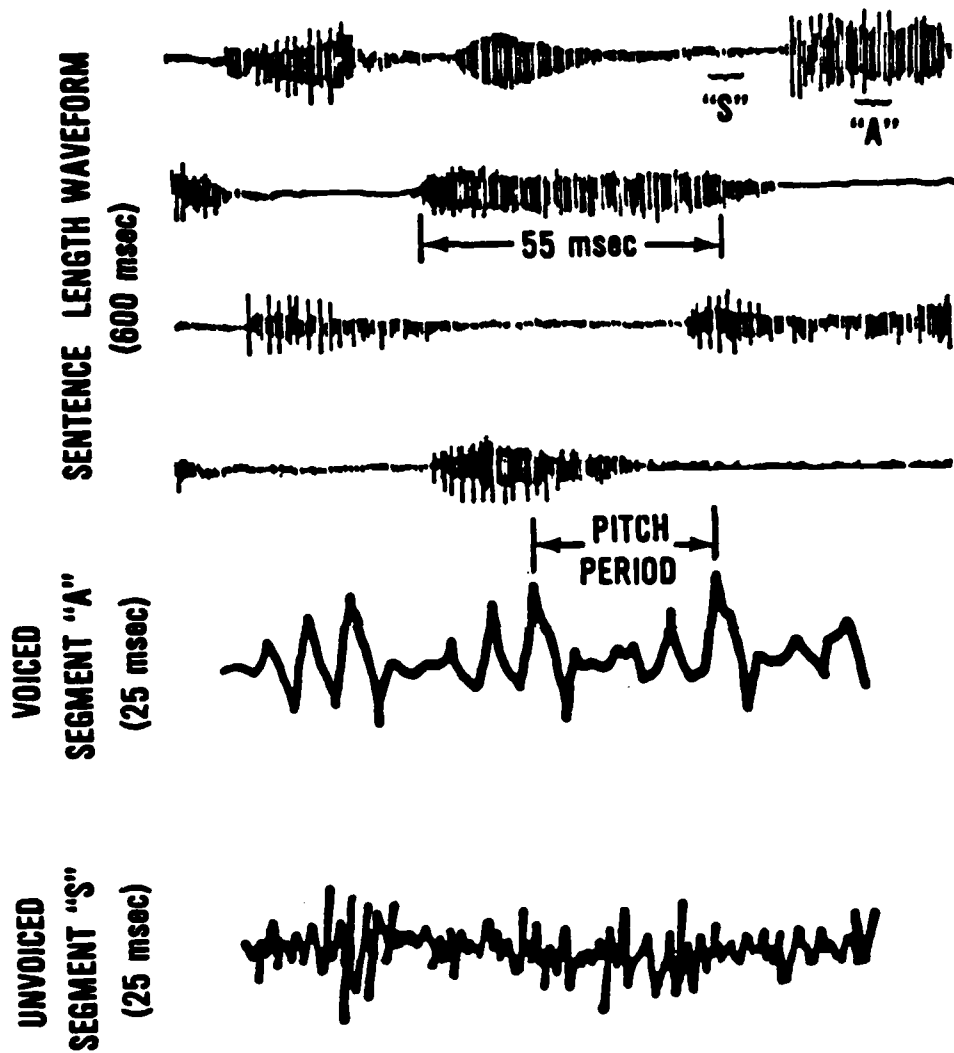
Figure 2-2. Examples of "long time" (sentence
length) and "short time" (sound length)
speech segments.
(Taken from reference 19, page 3G.3.1)
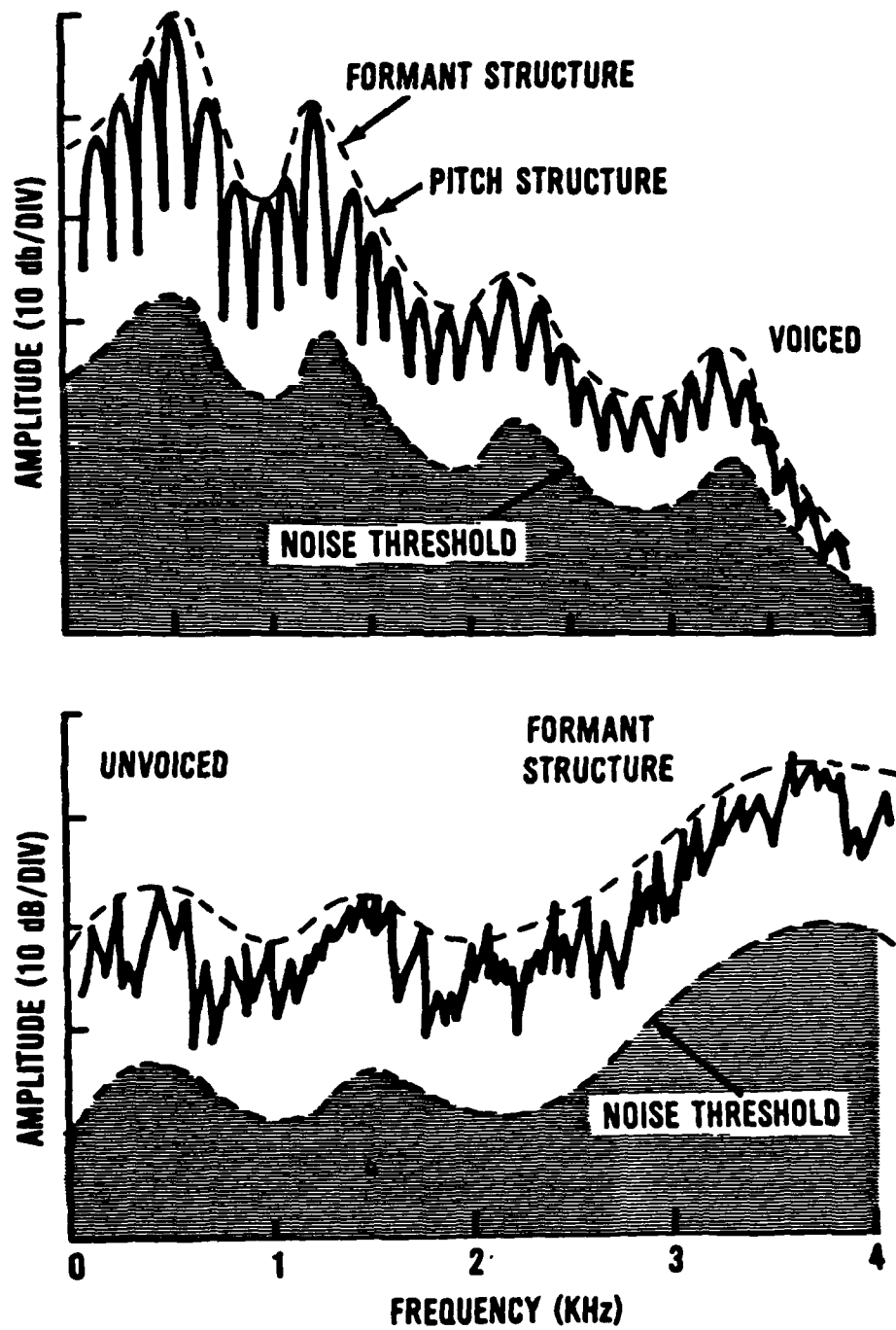
Figure 2-3. Spectral models for voiced and unvoiced speech.
(Taken from reference 19, page 3G.3.1)

effects into a single filter that contains both poles and zeros, and by assuming that the source is either a periodic impulse train or white noise.

During the formation of a word or syllable several of these voiced and unvoiced sounds are usually combined. This means that the speech wave is constantly changing. In order to analyze the speech wave it is normal to "window" the speech signal. A specific time segment of the wave is chosen, usually around 20 milliseconds, during which the speech signal is considered to be stationary. Different analysis methods have different optimum window times determined through extensive research. A discussion of this determination is beyond the scope of this paper. A more detailed presentation of the characteristics of the speechwave can be found in Appendix A2.

Vocoder Methods

Speech signals are known to be highly redundant. The most effective method to reduce this redundancy and thus reduce the channel capacity required for transmission of speech signals is to extract and transmit only the major characteristics of speech at a regular interval (typically 10 to 30 ms). These characteristics are then used at the receiver to reconstruct speech. A system based on this method is commonly known as an analysis-synthesis system. (132)

Analysis-synthesis systems are also known as vocoders. These are systems for analyzing, parameterizing, quantitizing, and then resynthesizing the speech waveform. These vocoders constitute a class of speech bandwidth compression techniques described in general terms by the following quote. (99)

Narrow-band speech compression systems generally require analysis and synthesis of speech with separate characterizations of the vocal tract frequency response and the excitation. These systems, generically termed vocoders, achieve reasonable quality speech reproduction at rates of 5 kbits/s and below by transmitting quantized parameters of this source-filter model. This bandwidth

reduction is generally achieved at the cost of a loss of voice intelligibility and naturalness. In addition, vocoder performance tends to be talker-sensitive, and to be fragile in that extraction of source and vocal tract parameters are affected adversely by additive acoustic noise and signal distortion at the vocoder input.

There are seven major, distinct voice analysis-synthesis methods. These are the channel, formant, homomorphic, pattern-matching, phase, linear predictive coding, and spectral envelope estimation vocoders. Other methods exist, none in production or undergoing extensive research, which combine aspects of the various methods. These combination methods will not be considered.

Channel Vocoder. The channel vocoder or spectrum-channel vocoder separates the speech signal into 12 to 28 frequency channels. Contiguous bandpass filters are used to perform this separation. Each channel is rectified and then low pass filtered. The time-varying signal then represents the amount of signal energy in the given frequency range. This can then be quantized with a few bits and transmitted. A final channel consists of a voiced/unvoiced (V/UV) detector and a pitch extractor. This information is quantized and transmitted and then used in the synthesizer along with the channel signals to control the frequency response of a time-varying resonant filter to correspond to the spectral envelope measured at the analyzer. See Appendix A3.

Formant Vocoder. The formant method assumes that the speech wave can be characterized by an envelope consisting of several prominent maxima. Below 3,000 Hz there are usually three maxima and below

4,000 Hz there are four or five maxima. These are known as "formants." Here the analyzer determines the frequency location, bandwidths, and relative amplitudes of the individual formants. This information is coded and transmitted to the synthesizer which uses it to control resonances of a formant synthesizer consisting of tuned resonant circuits. There are several methods of determining the formant frequencies. One method is to measure the rate of axis crossing of filter separated formants. This method is fairly inaccurate without additional adjustments. A second method is to "channelize" the signal, measure the amplitude of each channel, and average to determine the most prominent frequencies. A final major method involves finding the average of the derivative of the time signal and dividing by the average of the time signal itself. A prominent vocoder method is an analysis-by-synthesis vocoder. It is one form of a formant vocoder. It generates known artifical spectra and compares them to the incoming speech wave and through iteration methods these spectra are matched and the known characteristics of the "artificial" signal are transmitted. The pitch extraction and V/UV decision is made the same as in the channel vocoder. See Appendix A3.

Homomorphic Vocoder. The homorphic or cepstrum vocoder utilizes an FFT approach through the use of homomorphic filtering concepts. The convolved speech signal is transformed into a spectral magnitude, product signal by a high-resolution Fourier transform. This is transformed into an addition process by taking the logarithm of the spectral magnitude. This yields a rapidly varying pitch component and a slowing-varying vocal tract component. Now, another

Fourier transform (or inverse transform) [95,104] is performed separating the signal into a "low-time" component containing the vocal tract information and a "high-time" component containing the excitation or vocal-cord information. Pitch extraction and the V/UV decision is determined utilizing the high-time information. This method is popular for use with other vocoder methods as the pitch extraction and V/UV decision determination method. See Appendix A3.

Pattern Matching Vocoder. The pattern-matching vocoder transmits basically three items of information. It transmits the memory location of a stored spectral pattern which most closely matches the speech segment, the pitch information, and the V/UV decision. Sometimes error information about the difference between the stored pattern and the speech segment is sent so that it can be used to adjust the pattern recalled from memory. This increases the data rate somewhat and most designers have determined this information to be essentially unnecessary. Almost any speech analysis method can be utilized to transform the speech signal into a form matching those in memory. Windowed speech segments are again used. With today's high speed computers smaller windows are used allowing more comparisons and more accurately synthesized speech.

Phase Vocoder. The phase vocoder utilizes a channel method to generate "short-time" or windowed amplitude and phase spectra to represent the characteristics of the speech wave. This method differs from the channel vocoder in that the derivative of the signal phase is determined in the analysis procedure and utilized in the synthesis

procedure to regenerate the speech signal. With this method no pitch or V/UV information is needed to modulate with the spectral information in the regeneration. See Appendix A3.

Linear Prediction Vocoder. Linear predictive coding vocoders operate in the time domain rather than in the frequency domain. This method uses weighted sums of a given number of past samples in order to predict the present sample. The weights form the adaptive portion of the analysis. They are adjusted to minimize the error signal between the actual and predicted speech samples. The system transmits selected characteristics of the error signal. These transmitted signals include predictor coefficients, gain, pitch information, and the V/UV decision. In the process of determining the predictor coefficients several intermediate sets of coefficients are formed. Any of this information can be transmitted with the most common set being the reflection coefficients. See Appendix A3.

Spectral Envelope Estimation Vocoder. A final major vocoder or analysis-synthesis method is the spectral envelope estimation vocoder. This method is the most recently developed technique. In addition to generating parameters used in the regeneration of the speech signal this method provides an estimate of the background noise for use in noise suppression. The system approximates the spectral envelope (the vocal tract filter response) of the speech wave. The pitch extraction technique forms an intergral part of the analysis system. The average pitch is constantly fed back into the system so that peaks in the speech wave can be estimated. The smoothing of these peaks form the estimated envelope to be quantized for

transmission. The pitch and V/UV information is also transmitted. This method, as in the previous methods, uses a windowed frame of speech but this window is adaptive in length, averaging about 2.5 times the pitch period in length. The background noise estimator, when used, adds to the vocoder data rate. See Appendix A3.

In all methods, except the phase vocoder, pitch and voicing information is necessary. There are very many different methods for extracting this information [4, 16, 34, 42, 56, 78, 95, 99, 115]. Generally, the periodic nature of voiced sounds is detected from the voiced signal. If no periodic or very slowly varying set of peaks is detected, the unvoiced signal is generated. Only one bit is needed for transmission to indicate the V/UV decision. The detected periodic signal is measured to determine the pitch period. Usually about seven bits are used to quantize this parameter.

The pitch extraction--V/UV decision process is an integral part of any vocoder. Therefore, when selecting a particular vocoder no choice of this process is available. The designer of the vocoder made the choice as to which pitch extraction algorithm best works with or is most economical in the vocoder designed. Further information on pitch extraction--V/UV decisions can be found in almost every reference listed in the Bibliography.

CHAPTER III

ASSESSMENT METHODOLOGY

Overview

Vocoder systems are implemented in a variety of methods as previously mentioned in Chapter II. Additionally, each method usually has a number of different implementations. This diversity of systems establishes the need for a method to quantitatively compare these systems with each other. This chapter presents parameters, the parameter constraints, and the minimum specifications used for the vocoder evaluation. A Figure of Merit analysis method is developed for comparing the vocoders. Then the differences in evaluating a system for near-term and far-term implementations are discussed with the associated modifications in the Figure of Merit analysis presented. Finally, a discussion of each parameter and the choice of the minimum specifications is presented.

Parameters and Constraints

Any piece of equipment can be compared in terms of performance, size, weight, cost, availability, etc. When parameters of this type are considered, minimum acceptable values are usually assigned. In determining these minimum values, several factors interact to provide constraints. The constraining factors in the selection of a

vocoder to be used on board an aircraft are: (1) mission require-
ments, (2) platform requirements (aircraft physical limitations), and
(3) user requirements. Quite often state-of-the-art technology capa-
bilities require that some parameter constraints be relaxed. Minimum
values assigned a parameter can be a result of one or more of the
constraints mentioned above. Table 3-1 lists those parameters con-
sidered important in evaluating a vocoder for an airborne brassboard
LPI radio system. The table lists the parameters in order of
decreasing importance. Included in the table is (are) the
constraining element(s) for each parameter (identified as 1, 2 or 3
from above) and the associated minimum specification.

Figure of Merit Analysis
Near-Term Brassboard System

The brassboard system conceptual design testing will be ini-
tiated within two or three years from the present. Based upon
experience (54, 120, 124, 126, 136) with hardware development, this
means the hardware must be available now either in production or as an
engineering prototype which a company would be willing to sell to the
Air Force. This fact alone eliminates about 80 percent of the vocoder
systems/methods being researched by various organizations. For this
phase of the LPI Comm ADP only one or two systems will need to be
purchased for testing purposes. The flight tests will be conducted to
determine the feasibility of implementing an LPI communciations
system. Each of the parameters/specifications listed in Table 3-1 can
take on a range of values given in the Figure of Merit Analysis chart
shown in Table 3-2. These values are listed in a set of columns

TABLE 3-1

SYSTEM PARAMETERS WITH CONSTRAINTS AND
MINIMUM SPECIFICATIONS FOR
NEAR-TERM ANALYSIS

Parameter	Constraints*	Specification
Data Rate (bit per second or b/s)	1	≤ 2400
Intelligibility	1, 3	$\geq 80\%$ DRT[†]
Input Probability of Error	1	$\geq 10^{-3}$
Size	2	≤ 3000 in^3 (1.76 ft^3)
Weight	2	≤ 50 lb.
Power Consumption	2, 3	≤ 100 W
Processing Delay	1, 3	Real time,(< 100 ms throughput)
System Availability	1, 3	Engineering Prototype (minimum)
Production Cost (Lots of 1,000)	3	$\leq \$40,000$/unit
Speaker Dependence	3	None
Vocabulary Dependence	3	None
System "Learning" Time	1, 3	None

* 1. Mission requirements
   2. Platform requirements
   3. User requirements

[†] Diagnostic Rhyme Test Score (discussed later)

TABLE 3-2

FIGURE OF MERIT ANALYSIS SYSTEM FOR BRASSBOARD INSERTION SYSTEM (Sample Chart)

SYSTEM: _____

SOURCE: _____

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Ps) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	.250	<150	150	200	250	400	600	800	1200	1600	2400	>2400		
INTELLIGIBILITY (% DRT)	.225	>95	93	91	90	89	88	87	85	82	80	<80		
MAXIMUM INPUT Pe	.200	$>10^{-1}$	10^{-1}	—	5×10^{-2}	—	10^{-2}	—	5×10^{-3}	—	10^{-3}	$<10^{-3}$		
SIZE (in³)	.080	<20	30	40	50	100	200	400	800	1600	3000	>3000		
WEIGHT (lb)	.080	<.5	.5	1	2	5	10	20	30	40	50	>50		
POWER CONSUMPTION (Watts)	.040	<1	2	4	6	10	15	30	50	75	100	>100		
PROCESSING DELAY (m Sec)	.035	<10	10	20	30	40	50	60	70	80	100	>100		
SYSTEM AVAILABILITY	.035	Prod	—	—	—	—	Eng Proto	—	—	—	—	Other		
PRODUCTION COST ($/unit/1000)	.020	<1k	1k	2k	3k	5k	10k	15k	20k	30k	40k	>40k		
SPEAKER DEPENDENCE	.015	NONE	—	—	—	—	—	—	—	—	—	ANY		
VOCABULARY DEPENDENCE	.015	NONE	—	—	—	—	—	—	—	—	—	ANY		
SYSTEM "LEARNING" TIME (msec)	.005	NONE	—	—	—	—	—	—	—	—	—	ANY		

OVERALL SYSTEM FIGURE OF MERIT (Fs), TOTAL

22

labeled "Parameter Figure of Merit." Each of the values listed are mapped with a one-to-one correspondence to the Figure of Merit ranging from 0 to 10 heading each column resulting in an assigned figure of merit, Fp. In the table, each parameter is mapped to a weight, Wp, according to the relative importance of the parameter. The sum of the weights is normalized to 1.0. The product of the parameter weight and the parameter Figure of Merit results in the parameter score, P_s,

$$P_s = W_p \times F_p \ . \qquad (3-1)$$

the sum of the parameter scores is the System Figure of Merit, F_s,

$$F_s = \sum_{i=1}^{12} P_{s_i} \ , \qquad (3-2)$$

ranging in value from 0.000 to 10.000. Each system under consideration is evaluated independently on a table identical to the one presented here. After system evaluation, the systems are compared to each other using the system Figure of Merit totals. The system having the highest Figure of Merit is, theoretically, the optimum system.

In the mapping of parameter values to Figures of Merit, it is not necessary that a linear relationship exist. For example, the data rate mapping of b/s to the Figure of Merit is shown in Table 3-3.

TABLE 3-3

DATA RATE MAPPING

Parameter Value	<----->	Figure of Merit
<150		10
150		9
200		8
300		7
400		6
600		5
800		4
1,200		3
1,600		2
2,400		1
>2,400		0

Examination of Table 3-2 shows that this type of nonlinearity exists for most parameters. Not all of the parameters have a range of 11 values. In these cases, the range is distributed as evenly as possible over the Figure of Merit mapping range. This is best demonstrated by the availability mapping for systems under consideration for brassboard insertion. This is detailed in Table 3-4.

In each system evaluation according to Table 3-2, any parameter scoring a 0 parameter Figure of Merit is marked with an asterisk (*) in the parameter score column. Any system marked in this manner has fallen below a minimum specification and is therefore deleted from further consideration. The data on these systems is still provided in the event that future considerations dictate a relaxing of any minimum specifications.

TABLE 3-4

DATA RATE MAPPING

Parameter Value	<----->	Figure of Merit
Production		10
-----		9
-----		8
-----		7
-----		6
Engineering Prototype		5
-----		4
-----		3
-----		2
-----		1
All Others		0

Quite often in evaluating the systems, one or more parameter
values may not be available. In this case, two methods for adjusting
the table exist. In the first method, the parameter value can be
estimated by conversation with the developing engineers or by com-
parison with similar systems. If estimates are not possible, the
parameter can remain unassigned and the system figure of merit is
renormalized, $\bar{F}_s$, by dividing it by the sum of the parameter weights
which are assigned,

$$\bar{F}_s = \frac{F_s}{\sum\limits_{i}^{N} W_{p_i}} .$$ (3-3)

In either case, the system involved is flagged so that the Air Force
contractor or investigator can identify it and attempt to get more
accurate data if desired. In this evaluation, both methods will be

utilized with estimated systems flagged with a capital E and renor-

malized systems flagged with a capital R.

Figure of Merit Analysis,
Far-Term LASARS

In the event the brassboard flight tests indicate that LPI com-

munications constitute a viable concept and given a continuing need

with the associated funds appropriated, the Air Force will proceed

into a system development phase. When the decision to continue is

made, a final decision as to which component subsystems to purchase

and incorporate will be made. This implementation is projected to

occur in 1993 or 1994. At this time, all of the subsystems must be

through engineering development and military specification testing and

be ready for production with the producing company already setting up

their production line. Based on the group experience mentioned pre-

viously, the engineering development requires three to five years with

the following military specification testing requiring an additional

two to five years. In the worst case, this means ten years of deve-

lopment and testing are required with additional time required to

establish production capabilities. In order to meet this deadline,

the systems to be considered must currently exist as laboratory models

or as algorithm simulations designed to modify existing systems.

Totally new techniques, now existing only as computer simulations,

will probably require twelve to fifteen years to reach the stage

required by the Air Force (54).

Current experiments with modifications in quantizing schemes

and new insights into perceptual differences indicate that lower data

rates with improved performance will be available. Additionally, new systems implemented with the state-of-the-art technology in Very Large Scale Integration (VLSI) and in Very High Speed Integrated Circuits (VHSIC) will be smaller, lighter, and require less power than current production equipment. This leads to a tightening of the minimum specifications. Added to these reductions are a tightening of the requirements as a result of the applications of the system being designed. Table 3-5 shows the modified minimum specifications and a revised order of importance to be used in the far-term system evaluation. These specifications are utilized in Table 3-6 for comparing the far term systems.

Discussion of Parameters

The system parameters being used to evaluate and compare vocoders are listed in Tables 3-1 and 3-5. These parameters are not of equal importance. The relative importance of each is indicated by the parameter weight in Tables 3-2 and 3-6. The order of importance derives from estimated requirements of the Air Force and needs of the LPI Comm ADP. These parameters consistute as complete a set as is possible at this time. Even within this group, quite often some parameters are not available on a system. A discussion of each is given below.

Data Rate. Speech information rate is the most important parameter because it directly affects the vocoder gains towards improved LPI capabilities as discussed in Chapter II and in Appendix A2. Systems are being researched with data rates ranging from 75 b/s to 16000 b/s. The maximum rate of 2400 b/s was

TABLE 3-5

SYSTEM PARAMETERS AND SPECIFICATIONS FOR
FAR TERM ANALYSIS

Parameter	
Data Rate	$\leq$ 800 bits/sec
Intelligibility	$\geq$ 88% DRT
Size	$\leq$ 200 in^3
Weight	$\leq$ 2.5 lb.
Power	$\leq$ 5 W
Input Probability of Error	$\geq$ 10^{-3}
Production Cost	$\leq$ $1000/unit
System Availability	Research into modification of current systems (minimum)
Processing Delay	$\leq$ 100 msec
Speaker Dependence	None
Vocabulary Dependence	None
System "Learning" Time	None

TABLE 3-6

FIGURE OF MERIT ANALYSIS SYSTEM FOR LASARS IMPLEMENTATION SYSTEM (Sample Chart)

SYSTEM: _____

SOURCE: _____

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Ps) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	0.300	<150	150	200	250	300	400	500	600	700	800	>800		
INTELLIGIBILITY (% DRT)	0.250	>96	96	95	94	93	92	91	90	89	88	<88		
SIZE (in^3)	0.090	<10	10	15	20	25	50	80	100	150	200	>200		
WEIGHT (lb)	0.080	<0.5	0.5	0.75	1.0	1.25	1.5	1.75	2.0	2.25	2.5	>2.5		
POWER CONSUMPTION (Watts)	0.080	<0.5	0.5	1.0	1.5	2.0	2.5	3.0	3.5	4.0	5.0	>5		
MAXIMUM INPUT Pe	0.070	>10^{-1}	10^{-1}	—	5×10^{-2}	—	10^{-2}	—	5×10^{-3}	—	10^{-3}	<10^{-3}		
PRODUCTION COST ($/unit/1000)	0.060	<500	500	550	600	650	700	750	800	900	1000	>1000		
SYSTEM AVAILABILITY	0.030	Prod	—	—	Eng Proto	—	—	Lab Model	—	—	S/W Sim	Other		
THROUGHPUT DELAY (m Sec)	0.025	<10	10	20	30	40	50	60	70	80	100	>100		
SPEAKER DEPENDENCE	0.005	NONE	—	—	—	—	—	—	—	—	—	ANY		
VOCABULARY DEPENDENCE	0.005	NONE	—	—	—	—	—	—	—	—	—	ANY		
SYSTEM "LEARNING" TIME (msec)	0.005	NONE	—	—	—	—	—	—	—	—	—	ANY		

OVERALL SYSTEM FIGURE OF MERIT (Fs), TOTAL

established because the Air Force has already approved a 2400 b/s LPC vocoder for a different implementation. Air Force, Navy, DoD, and NATO specifications exist at this time describing vocoder output formats conforming to a specific form of LPC (LPC-10) at 2400 b/s. These specifications do not constrain advanced development implementations.

Intelligibility. Intelligibility is a key parameter and is nearly as important as data rate. Unlike telephone conversations with nearly unlimited context, aircraft mission communications must achieve a very high transfer rate of information with extremely limited context and without repetition. As the mission criticality increases, the contextual support available decreases. At the present time, as a result of testing convenience, there is only one widely used, quantitative measure of vocoder intelligibility. This is the Diagnostic Rhyme Test (DRT) developed and conducted by Dr. William Voiers of Dynastat, Inc., Austin, Texas. [139-146] Voiers, Air Force personnel and others (10, 68, 100, 119, 123, 135, 145) have determined that systems scoring approximately 80 percent on the DRT provide reasonable acceptability of the intelligibility of the transmitted speech signal. At this time, new, realistic, conversational tests are being developed (113, 125) which could produce new results before the implementation of the LASARS. All intelligibility scores are given for accustically benign environments.

Input Probability of Error. In any communications link, errors occur which distort the incoming data. These errors are the

result of a variety of sources such as lightning, sunspots, atmospheric temperature fluctuations, other communication transmissions, multipath transmission, etc. Because of this, communication systems must be tolerant to a certain number of bit errors. The number of errors the system can tolerate directly affects the signal power level out of the transmitter. Because an LPI communication system is aimed at operation at marginal levels, the more errors the system can tolerate, the larger the signal power reductions can be, making the system more attractive for LPI usage. It is desired that the synthesizer of the brassboard insertion system require for operation an input probability of error, P_e, no smaller than 10^{-3}.

Physical Parameters. Size, weight, and power consumption are highly correlated factors. They are largely technology dependent. Higher levels of circuit integration mean reduced size, reduced weight, and reduced power consumption. A microprocessor analyzer/ synthesizer is smaller, lighter, and has a lower power consumption than a filter bank system. The brassboard system tested in the late 1980s is being designed to test the overall LPI system concepts. It will be flown in the cargo bay of a military cargo aircraft on pallet-mounted racks. At this time optimum size, weight, and power constrictions will not apply. The specifications are chosen somewhat arbitrarily to insure the equipment can be physically handled, reasonably easily. It is believed that approximately two cubic feet and fifty pounds should be a limit. The power requirements are also not too restrictive. The test system will have an independent power source available to provide whatever power is needed. One hundred

watts is projected to be the maximum power necessary for a flight testable brassboard system. More restrictive requirements apply to the LASARS implementation because they would be permanently mounted in much smaller aircraft.

Data Input/Output Delay. Processing delay is important in terms two of aspects. If the system does not operate in real-time, it is unacceptable. Real-time systems generate a continuous output given a continuous input without having to pause to perform processing and without overloading the system causing a loss of data. The second aspect is pipeline delay. This is the length of time needed to provide an initial output for an initial input. In two-way conversations, any time lag greater than 100 milliseconds is noticeable and delays of 250 milliseconds or more make conversations hard (almost impossible) to conduct in a strategic or tactical environment where rapid communications are necessary.

Availability. System availability occurs in two phases, near- and far-term. Near-term systems exist as engineering prototypes with production models available in three to five years or as systems currently in production. Far-term systems include the near-term systems, laboratory models available for production in six to ten years, and also simulations of modifications to current laboratory models, engineering prototypes, or production systems. The "far-term-only" systems are not applicable to the brassboard development but any of them on which research is continued are appropriate considerations for the LASARS implementation in the mid-1990s.

Cost. Production cost is the specification utilized in this analysis. It is not the most accurate measure of system cost. Life cycle cost is more comprehensive. It includes development costs, production costs, cost for purchasing and stocking spare parts, maintenance costs, costs for training maintenance personnel, and replacement costs--all based on the life of the system and the life of the host equipment (i.e., the airframe). This information is not available from the vendor and lack of personal experience forbids making estimates.

In the purchase of one or two systems for brassboard testing, cost is relatively unimportant. More can be paid per individual item to test a concept than to implement one. The managers of the LPI program have determined that $40,000 is not too much to pay for a test system. For production purposes, the vocoder portion of the LASARS should cost as little as possible, preferably less than $1,000 each. The production cost figures utilized are estimates only. This figure is usually established through bids and varies with quantity.

Binary Decision Parameters. Speaker dependence, vocabulary dependence and system learning time constitute binary decision parameters. Any system which requires a specific speaker to achieve the required intelligibility or to be voice recognizable by the listener is unacceptable. Vocabulary dependent systems, usually utilizing some type of look-up table, are unacceptable because of the wide range of applications for the vocoder and the wide range of mission requirements within a single application. These parameters, then, establish a "go/no go" limit on the systems under consideration.

Several systems are under investigation which utilize a look-up table to find a "best-match" pattern to the analyzed segment of speech. These systems update the patterns in memory utilizing a "least accessed, first replaced" algorithm. In this type of system, intelligibility is maintained but the ability to recognize the speaker occurs only after the new speaker has caused enough spectral patterns to be replaced. The pattern replacement is called system "training" or "learning" time. Instantaneous speaker recognition is necessary in short duration, high volume communication environments involving many different speakers. Therefore, the "learning" time required must provide "almost instantaneous" updating of the memory patterns. Although intelligibility is maintained, a very slight degradation occurs initially which is returned to normal as the system "learns." This increases the requirements for rapid updating of the system. The systems should require no training time.

Most, if not all, of the specifications of the parameters discussed will be tightened when final consideration is made to determine the 1990's LASARS applicable vocoder. Not much improvement in intelligibility is expected although more robust operation is expected. More immunity to acoustic noise in the analysis environment is a result of the improvements in the robustness. Also included in this is more naturalness (less mechanical sounding) in the synthesized speech. The new parameter specifications are those given in Table 3-5.

CHAPTER IV

PRESENTATION OF VOCODER SYSTEMS

Overview

The research conducted identified more than thirty vocoder

systems existing as production equipment, working engineering proto-

type models, working laboratory models, or as computer software

simulations. This chapter presents each system with some general,

qualitative information including developing organization,

analysis/synthesis method, strengths and weaknesses. A table with the

quantitative system parameter values is provided for each system.

Vocoder System Presentation

Table 4-1 gives the developing organization, system nomencla-

ture, and the references in the Bibliography for each system. As

indicated in Chapter III, systems which exist only as computer soft-

ware simulations are inappropriate to be considered for either phase

of the LPI Comm ADP by reason of nonavailability. Table 4-2 lists

these nonavailable systems. Additional information on these systems

can be found in the references cited in Table 4-1 and will not be

included here. Most of the systems listed in Table 4-2 do not run in

real-time at the present and several could have been eliminated for

TABLE 4-1

VOCODER SYSTEMS IDENTIFIED

Developer/Producer	System/Nomenclautre	Reference
ITT/Tri Tac	CV-3591 (ANDVT)	20, 39, 61, 63, 64, 129, 131
USAF/ITT	Frame Predictive LPC	125, 149
TI	VIS-Speech Processor	72
TI	Time Encoded LPC Roots	97
Motorola	ATMMRP	18, 30, 89
Motorola	Manpack	18, 30, 89
Motorola	MNSVS	18, 30, 89
E-Systems	CV-333A/U	9, 13, 22, 24, 147
E-Systems	CV-3333/U	9, 13, 25, 26, 147
E-Systems	CV-3670/A	9, 13, 23, 25, 27, 147
E-Systems	LPC-24	9, 13, 28, 147
GTE	MRD-2000G	51, 52, 79, 148
GTE	UVD-2000	51, 52, 79, 148
GTE	CV-3832 (MRVT)	53, 79, 148
GTE	TDHS	73
MIT Lincoln Labs (LL)	Compact LPC	29, 57
LL	Adaptive Subband Format Analysis	87
LL	SEE	99, 100
LL	800 b/s SEE	98, 100, 101
LL	Wideband SEE	100
LL	Frame Fill LPC	10, 11, 100, 101
LL	Channel	48
LL	Pattern Matching Channel	43, 44
LL	Vector Quantized LPC	10, 100, 101
LL	Homomorphic Prediction	69
Bolt, Baranek, & Newman (BBN)	Segment Quantization	108
BBN	Single Frame Quantization	108
BBN	HDV LPC	138
BBN	Variable Order Markov	107
Naval Research Lab (NRL)	Linear Predictive Formant	67
NRL	Line Spectrum Pairs	37
NRL/TRW Corp.	Vector Quantized LPC	36, 37, 66
Stanford Research Inst.	RELP	133, 134

TABLE 4-1--<u>Continued</u>

Univ. of Notre Dame	RELP	17
Signal Technology, Inc.	Vector Quantized LPC	150, 151
Korean Advanced Inst. of Science	Low-Rate Digital Formant	132
DoD	Differential LPC	38
DoD/CNR, Inc.	LPC-10 Formant	92

TABLE 4-2

NONAVAILABLE SYSTEMS OR ALGORITHMS

Developer/Producer	System
GTE	TDHS
Stanford Research Inst.	RELP
Univ. of Notre Dame	RELP
Korean Advanced Inst. of Science	Low-Rate Digital Formant
LL	Pattern Matching Channel
LL	Adaptive Subband Formant Analysis
LL	Wideband SEE
LL	SEE
LL	800 b/s SEE
LL	Vector Quantized LPC
LL	Homomorphic Prediction
TI	Time Encoded LPC Roots
BBN	Segment Quantization
BBN	Single FramE Quantization
BBN	HDV LPC
BBN	Variable Order Markov
NRL	Linear Predictive Formant
NRL	Line Spectrum Pairs
DoD	Differential LPC
DoD/CNR, Inc.	LPC-10 Formant
Signal Technology, Inc.	Vector Quantized LPC

other reasons such as data rate too high, single speaker restrictions, or speech intelligibility too low.

Twenty one systems or algorithms have been eliminated by being nonavailable in the desired time frame. This leaves seventeen systems to be considered in either the near-term or far-term application evaluations. Table 4-3 lists the systems appropriate for the brassboard insertion as determined by the availability as given in Chapter III. Table 4-4 lists the systems which, in addition to those in Table 4-3, are appropriate for inclusion in the production LPI Comm radio system.

TABLE 4-3

SYSTEMS CONSIDERED FOR NEAR-TERM BRASSBOARD

Developer/Producer	System
ITT	CV-3591 (ANDVT)
Motorola	ATMMRP
Motorola	Manpack (83-2791)
Motorola	Miniturized NSV System
E-Systems	CV-3333 A/L
E-Systems	CV-3333/U
E-Systems	CV-3670/A
E-Systems	LPC-24
GTE	MRD-2000G
GTE	UVD-2000
GTE	CV-3832 (MRVT)
NRL/TRW Corp.	Vector Quantized LPC

Vocoder System Descriptions--Brasboard Applicable

In this section the vocoder systems listed in Table 4-3 are described. These are the systems applicable for the late 1980s brassboard insertion. The descriptions consist of a paragraph giving the developer/producer, engineering status, information about the

analysis/synthesis methods used, and any appropriate qualitative comments for each system. This is followed by a table listing all of the quantitative data available for each system.

TABLE 4-4

ADDITIONAL SYSTEMS FOR FAR-TERM PRODUCTION CONSIDERATIONS

Developer/Producer	System
USAF/ITT	Frame Predictive LPC
LL	Compact LPC
LL	Frame Fill LPC
LL	Channel
TI	VIS-Speech Processor

ITT--CV 3591 (ANDVT) Vocoder. The Advanced Narrowband Digital Voice Terminal (ANDVT) is a DoD approved, 2400 bit/second, LPC vocoder. This government-wide system is the result of extensive research by ITT with a tri-service research committee. It is scheduled to go into production within a couple of months with all service branches purchasing units. The system contains an adaptive acoustical noise cancellation algorithm for improving speech intelligibility in tactical environments. It has two transmission modes, HF and Line-of-Sight. This unit is to be utilized in all Air Force communication systems now existing which require low data rates for secure voice applications. The format of this system has even been accepted as a North Atlantic Treaty Organization (NATO) standard. The coding consists of a mixture of pitch and amplitude semi-logarithmic coefficients, log-area-ratio and linear coefficients for the filter

transfer function specifications, and error detection and correction bits. The system also has the capability to transmit nonvoice data at 300, 600, 1200, and 2400 b/s. Table 4-5 lists the quantitative parameter values.

TABLE 4-5

ITT CV-3591

Parameter	Value
Vocoder Method	LPC-10
Data Rate	2400
Intelligibility	Approximately 83%
Input P_e	10^{-2}
Size	750 in^3
Weight	20 lb.
Power	45 W
Processing Delay	50-60 mS
Availability	Production
Production Cost	$20,000
Speaker Dependence	None
Vocabulary Dependence	None
System "Learning" Time	None

Motorola--ATMMRP Vocoder. The Advanced Technology Model Multi Rate Processor (ATMMRP) LPC Vocoder is a small, low power, 2400 b/s, full duplex, LPC vocoder. It is compatible with the DoD ANDVT. It employs an MC68000 microcomputer and CMOS circuits. It utilizes partial correlation (PARCOR) analysis to determine the filter transfer function specifications. An additional output is provided for Residual Excited LPC (RELP) coding (9600 b/s). The system consists of several microprogrammed digital signal processing ICs. It currently exists at Motorola, Inc., Scottsdale, Arizona, as an

operational engineering prototype model. Table 4-6 lists the quantitative values used in the comparison.

TABLE 4-6

MOTORLA ATMMRP

Parameter	Value
Vocoder Method	LPC
Data Rate	2400
Intelligibility	Approximately 89%
Input P_e	$5x10^{-3}$
Size	440 in^3
Weight	9.1 lb.
Power	4.2 W
Processing Delay	50-60
Availability	Working Model
Production Cost	$6000
Speaker Dependence	None
Vocabulary Dependence	None
System "Learning" Time	None

Motorola--Manpack (83-2791) Vocoder. The Manpack is an extension of the ATMMRP chip set with further reductions in size. It implements parallel processing techniques in three signal processing chips to accomplish the size and power reductions. The RELP output is deleted but compatibility with the ANDVT is maintained. The V/UV decision and pitch tracking algorithms have been improved to optimize operation performance for noisy, rapid communications. A high performance automatic gain control has been designed and included especially for the rapid communication environment. The system exists as a working, engineering prototype model. Table 4-7 lists the quantitative parameter values.

TABLE 4-7

MOTOROLA MANPACK

Parameter	Value
Vocoder Method	LPC-10
Data Rate	2400
Intelligibility	Approximately 89%
Input P_e	5×10^{-3}
Size	90 in^3
Weight	4.1 lb.
Power	2 W
Processing Delay	50-60 mS
Availability	Working Model
Production Cost	$7000
Speaker Dependence	None
Vocabulary Dependence	None
System "Learning" Time	None

Motorola--MNSVS Vocoder. The Miniaturized Narrowband Secure

Voice System (MNSVS) is a further reduction in size over the Manpack.

It utilizes flatpack and leaded chip carrier technology over dual

inline packages (DIP) to accomplish these reductions. Included in the

system is a dedicated microprocessor to provide a variety of security

levels. This system also exists as a working model at Motorola, Inc.,

Scottsdale, Arizona. Table 4-8 lists the quantitative values. The

Motorola, Inc., Communications Division Product Information Report

[89] contains photographs of all three of Motorola's vocoders pre-

sented here.

E-Systems--CV-3333/U. The CV-3333/U Mil Spec Digital

Speech Processor is a full/half duplex, 2400 b/s, channel vocoder

being produced for the U.S. Navy. Its output can be multiplexed with

other data streams to allow simultaneous voice and data transmission. The system can be operated from a standard telephone input. It is compatible with the HY-2 channel vocoder which it is replacing. (The HY-2 is constructed with discrete components (119)). Table 4.9 gives the parameter values used in the comparisons.

TABLE 4-8

MOTOROLA MNSVS

Parameter	Value
Vocoder Method	LPC
Data Rate	2400
Intelligibility	Approximately 89%
Input P_e	10^{-2}
Size	20 in^3
Weight	1.5 lb.
Power	2 W
Processing Delay	50-60 mS
Availability	Working Model
Production Cost	$8000
Speaker Dependence	None
Vocabulary Dependence	None
System "Learning" Time	None

E-Systems--CV-3333 A/U. The CV-3333 A/U Audio-Digital Converter is a full/half duplex, 2400 b/s, LPC vocoder. The system is in production at E-Systems Garland Division. It is compatible with the ANDVT. Also provided is compatibility with the HY-2 channel vocoder. The LPC filter parameters are specified through the use of reflection coefficients with standard V/UV decision and pitch tracking algorithms employed. Like the CV-3333/u, it can be

multiplexed with other data streams. Both systems contain self-test
subroutines for quick fault isolation and repair. Repair is
accomplished by board replacement. Table 4-10 gives the quantitative
values.

TABLE 4-9

E-SYSTEMS CV-3333/U

Parameter	Value
Vocoder Method	Channel
Data Rate	2400
Intelligibility	Approximately 88%
Input P_e	2×10^{-3}
Size	2800 in^3
Weight	55 lb.
Power	200 W
Processing Delay	Approximately 75 mS
Availability	Production
Production Cost	Approximately $25,000
Speaker Dependence	None
Vocabulary Dependence	None
System "Learning" Time	None

E-Systems--CV-3670/A. The CV-3670/A Airborne Digital
Speech Processor is a 2400 b/s, LPC vocoder/secure voice system
smaller than the CV-3333 A/U (half the parts count). It has improved
intelligibility and quality. The CV-3670/A is currently in use aboard
the AWACS and other USAF aircraft. The system is ANDVT compatible.
The speech signal analysis is performed using an E-Systems proprietary
algorithm utilizing the reflection coefficients. It is compatible
with standard security equipment and has been designed for minimum
electromagnetic emissions. It interconnects for I/O with the aircraft
intercom system. The basic unit can be mounted almost anywhere

TABLE 4-10

E-SYSTEMS CV-3333 A/U

Parameter	Value
Vocoder Method	LPC
Data Rate	2400
Intelligibility	Approximately 90%
Input P_e	2×10^{-3}
Size	2800 in^3
Weight	45 lb.
Power	100 W
Processing Delay	Approximately 75 mS
Availability	Production
Production Cost	Approximately $22,500
Speaker Dependence	None
Vocabulary Dependence	None
System "Learning" Time	None

through use of a remote control unit provided. As indicated, this unit exists in the Air Force inventory. Table 4-11 gives the quantitative data. The CV-3333, CV-3333 A/U, CV-3670/A, all interface with MIL STD-188 cryptography units.

E-Systems--Model LPC-24. The Model LPC-24 Digital Speech Processor is a 2400 b/s LPC commercial vocoder which has been sold in quantity internationally. It has high speech quality. It is designed for operation on a dedicated network. Optional equipment is available to allow the LPC-24 to be operated as a dedicated single use terminal and to expand the LPC-24 capability to include a teleprinter channel. Table 4-12 lists the system quantitative parameter values.

TABLE 4-11

E-SYSTEMS CV-3670/A
(remote unit/remote control)

Parameter	Value
Vocoder Method	LPC
Data Rate	2400
Intelligibility	Approximately 90%
Input P_e	2×10^{-3}
Size	728 in^3 / 78 in^3
Weight	20 lb. / 1 lb.
Power	90 W / 7 W
Processing Delay	Approximately 75 mS
Availability	Production
Production Cost	Approximately $50,000
Speaker Dependence	None
Vocabulary Dependence	None
System "Learning" Time	None

TABLE 4-12

E-SYSTEMS LPC-24

Parameter	Value
Vocoder Method	LPC
Data Rate	2400
Intelligibility	Approximately 90%
Input P_e	2×10^{-3}
Size	390 in^3
Weight	20 lb.
Power	100 W
Processing Delay	Approximately 75 mS
Availability	Production
Production Cost	Approximately $10,000
Speaker Dependence	None
Vocabulary Dependence	None
System "Learning" Time	None

GTE Systems--MRD-2000G. The MRD-2000G Voice Digitizers is a 2400 b/s, LPC vocoder designed for military, narrowband secure voice systems. It utilizes a GTE proprietary implementation of LPC analysis/synthesis designated LPC 10/42. The LPC output is ANDVT compatible. The system can be supplied with optional, switch selectable voice processing algorithms. These are adaptive predictive coding (APC) and sub-band coding (SBC) at 7200 b/s and 9600 b/s respectively. A second option, which is added to the basic LPC to make the system more compatible with military systems, is a set of channel vocoder outputs. These outputs are compatible with E-System's CV-3333 vocoders, the HY-2, or Great Britian's Belgarde channel vocoder (another discrete component system). The system contains echo suppression circuitry with 60 dB of echo suppression and self-test circuitry for fast fault isolation. Military Standard MIL STD-188C provides digital interface to external encryption devices and other data communications equipment. I/O is through a handset telephone receiver. Table 4-13 lists the quantitative parameter values.

GTE Systems--UVD-2000. The UVD-2000 Voice Digitizer is a commercial version of the MRD-2000G. It utilizes a GTE proprietary LPC-10 algorithm (10 pole model as in the ANDVT) for the analysis/synthesis system. All of the options and included features of the MRD-2000G are also available. A standard RS-232C interface provides interfacing for the A/D and D/A circuits. Table 4-14 gives the quantitative values.

TABLE 4-13

GTE SYSTEMS MRD 2000G

Parameter	Value
Vocoder Method	LPC
Data Rate	2400
Intelligibility	Approximately 90%
Input P_e	10^{-3}
Size	1666 in^3
Weight	30 lb.
Power	70 W
Processing Delay	50-60 mS
Availability	Production
Production Cost	Approximately $15,000
Speaker Dependence	None
Vocabulary Dependence	None
System "Learning" Time	None

TABLE 4-14

GTE SYSTEMS UVD-2000

Parameter	Value
Vocoder Method	LPC
Data Rate	2400
Intelligibility	Approximately 90%
Input P_e	10^{-3}
Size	1020 in^3
Weight	20 lb.
Power	60 W
Processing Delay	50-60 mS
Availability	Production
Production Cost	Approximately $10,000
Speaker Dependence	None
Vocabulary Dependence	None
System "Learning" Time	None

GTE Systems--CV-3832 MRVT. The GTE Systems Multiple Rate
Voice Terminal (MRVT) is an LPC-based multiple rate vocoder. It pro-
vides simultaneous outputs of 16000, 9600, and 2400 b/s. Each out-
put is coded independently. The 2400 b/s output forms the basis with
data bits added to the stream to generate the higher data rates. This
forms an imbedded data scheme. This modem can converse with vocoders
at different data rates simultaneously. It also can provide interface
between two systems at different rates with the quality limited to
that of the system with the lowest data rate. The 9600 b/s output is
of the RELP formant (prediction residual bits are utilized, see
Table 4-1 for more information and references). The 16000 b/s stream
is approximately telephone toll quality. Built in test capabilities
are also provided. The 2400 b/s data stream is ANDVT compatible.
Table 4-15 lists the system parameter values.

NRL/TRW Corp.--Vector Quantized LCP Vocoder. The Naval
Research Laboratory (NRL) is currently having TRW build a Vector
Quantized LPC Low Data Rate Voice Terminal (LDRVT) engineering proto-
type. The system has two switch selectable outputs, standard 2400 b/s
LPC and vector quantized LPC at 800 b/s. Vector quantizing occurs by
matching the reflection coefficients of each data frame to a set of
stored patterns in memory and then transmitting the pattern index
instead of the coefficients. The 2400 b/s stream is ANDVT compatible.
This system provides the ability to provide an interface between
systems at each data rate with quality limited to that of the lower
rate system. Some quality and intelligibility degradation occurs
because any reasonably sized set of patterns cannot completely model

TABLE 4-15

GTE SYSTEMS MRVT

Parameter	Value
Vocoder Method	LPC
Data Rate	2400/9600/16000
Intelligibility	Approximately 90% at 2400 b/s
Input P_e	10^{-3}
Size	3242 in^3
Weight	55 lb.
Power	200 W
Processing Delay	Approximately 60 mS
Availability	Production
Production Cost	Approximately $25,000
Speaker Dependence	None
Vocabulary Dependence	None
System "Learning" Time	None

the full range of speech production. Table 4-16 gives the quantitative values used for comparison purposes.

Vocoder System Descriptions--LASARS Applicable

The preceding paragraphs described those systems which exist as some sort of functioning hardware. These systems along with those listed in Table 4-4 are considered to be a reasonably complete list of systems which should be through all testing stages and be production implementable by approximately 1992 or 1993 for inclusion in the LASARS. This section describes the systems listed in Table 4-4.

USAF/ITT--Frame Predictive LPC Vocoder. Through an Air Force monitored contract with ITT, a 400 b/s vocoder is being designed. This vocoder implements 2400 b/s LPC which is then vector quantized to

TABLE 4-16

NRL/TRW VECTOR QUANTIZED LPC

Parameter	Value
Vocoder Method	LPC
Data Rate	800
Intelligibility	84%
Input P_e	(not tested)
Size	2400 in^3
Weight	30 lb.
Power	90 W
Processing Delay	250 mS
Availability	Engineering Prototype
Production Cost	Not Available
Speaker Depend.	None
Vocabulary Depend.	None
System "Learning" Time	None

800 b/s. The 800 b/s data stream is then frame predicted with the pitch, V/UV, and gain parameters coded through fake process trellis coding utilizing variable rate coding to further reduce the data rate to under 400 b/s (149). Frame prediction techniques are employed to remove the frame-to-frame redundancy in the LPC filter parameters through the use of frame repeat coding. Given a frame of data transmitted, if the following frame is "close enough" using some distortion measure as in Itakura [50] or Wong [149] it is not transmitted. Instead, a one-bit/frame repetition flag (repeat/not repeat) is transmitted. The fake process trellis coding of the excitation parameters is performed independently of the vector quantization and the frame prediction. A search algorithm, e.g., Viterbi or ML (149), is employed to determine the code to minimize the

expected distance between the input excitation parameters and the encoded output.

This frame predictive LPC method currently exists as a software algorithm to modify an LPC input and is hosted on a VAX computer. All of the additional processing is implementable with programmable, signal processing chips which could be added to ITT's existing ANDVT design with little re-engineering required. If given the "go-ahead" (funds) ITT states they could have a working model in less than a year (125). Table 4-17 lists the parameter specifications.

TABLE 4-17

USAF/ITT FRAME PREDICTIVE

Parameter	Value
Vocoder Method	LPC
Data Rate	400
Intelligibility	78.9%
Input P_e	10^{-2}
Size	(Unknown
Weight	Mainframe
Power	Simulation)
Processing Delay	Approximately 300 mS
Availability	Simulation
Production Cost	Not Available
Speaker Dependence	None
Vocabulary Dependence	None
System "Learning" Time	None

LL--Compact LPC Vocoder. MIT's LL located at Hanscom AFB, Massachusetts, is performing extensive research into vocoder design and algorithm improvement. The Compact LPC vocoder is a single card, laboratory model system. It is small, low power, and relatively

inexpensive. It is a 2400 b/s system utilizing only commercially available devices. An autocorrelation analysis is performed to generate the reflection coefficients. An Intel 8085 is utilized to control and supervise the functions within the LPC analyzer, synthesizer, and Gold pitch detector (41). The system is designed for use with a compact packet voice terminal. The system parameters are listed in Table 4-18.

TABLE 4-18

LL COMPACT LPC VOCODER

Parameter	Value
Vocoder Method	LPC
Data Rate	2400
Intelligibility	89%
Input P_e	Not tested
Size	18 in^3 (50-100 in^3 with packaging
Weight	Approximately .75 lb.
Power	5.5 W
Processing Delay	Approximately 90 m^S
Availability	Laboratory Model
Production Cost	Approximately $1000
Speaker Dependence	None
Vocabulary Dependence	None
System "Learning" Time	None

LL--Channel Vocoder. Most channel vocoder research in the U.S. was dropped with the advent of LPC analysis/synthesis techniques. Since about 1980 there has been an increase in interest in the channel vocoding method. B. Gold (44) at LL has more-or-less led the way in this renewed interest. This LSI design vocoder system, utilizing charge coupled devices (CCDs) , is a switch selectable multi-rate

system with data rates of 1200, 2400, 3600 and 4800 b/s. Quality and
intelligibility improvements occur with each increase in data rate.
Control and coordination is provided through the use of an Intel
8085A-2 with an additional 8085A-2 performing the Gold pitch extrac-
tion and V/UV decision making. The input spectrum is divided into 19
channels for analysis. The coefficients generated specify the filter
response of the receiving synthesizer. Input is from a standard
telephone handset This system is small, light, and power efficient.
LL presently has a working laboratory model. Quantitative values are
listed in Table 4-19.

TABLE 4-19

LL CHANNEL VOCODER

Parameter	Value
Vocoder Method	Channel
Data Rate	1.2/2.4/3.6/4.8 kb/s
Intelligibility	Not Available
Input P_e	Not Available
Size	215 in^3
Weight	7 lb.
Power	5.3 W
Processing Delay	Not Available
Availability	Laboratory Model
Production Cost	Not Available
Speaker Dependence	None
Vocabulary Dependence	None
System "Learning" Time	None

LL--Frame Fill LPC Vocodoer. This LL vocoder is another
laboratory model system. It starts with a basic 2400 b/s vocoder and
produces a 1200 b/s and a 2400 b/s switchable output. The frame fill

technique is accomplished by deleting every other analysis frame from transmission. Two additional bits must be included to specify to the synthesizer the index of the method to be used to determine how to fill in for the missing frame. Three possibilities exist for regenerating the missing frame, either adjacent frame can be used or some weighted combination of the two transmitted frames can be used. With an input probability of error less than 10^{-3} the resynthesized speech is virtually indistinguishable from the original 2400 b/s LPC speech. The quantitative data available is listed in Table 4-20. Reports on this system are as yet unpublished.

TABLE 4-20

LL FRAME FILL LPC

Parameter	Value
Vocoder Method	Frame Fill LPC
Data Rate	1200/2400
Intelligibility	84%
Input P_e	10^{-2}
Size	700 in^3
Weight	22 lb.
Power	70 W
Processing Delay	250 mS
Availability	Laboratory Model
Production Cost	Not Available
Speaker Dependence	None
Vocabulary Dependence	None
System "Learning" Time	None

TI--VIS-Speech Processor Board. Texas Instruments (TI) has performed extensive speech processing research. Their efforts resulted in some of the earliest speech synthesis systems, most

notably the "Speak and Spell" educational toy line. The Voice
Interactive Set (VIS) Speech Processor Board incorporates several
functions. It performs LPC analysis to provide limited speaker-
dependent speech recognition, limited speaker verification, and
vocoding. The board is designed for interfacing with a computer
system but I/O, A/D conversion, and D/A conversion can be provided by
the inclusion of a Codex with interconnections to almost any analog
input/output device (telephone handset, intercom microphone/speaker,
etc.). The output format of the reflection coefficients is ANDVT com-
patible. Good quality results have been obtained (72) with the
vocoder operating with an acoustic background of 104 dB (helicopter
environment) up to 116 dB (other aircraft environments). This board
makes use of advanced device packaging technology to achieve an extre-
mely small, 2400 b/s system. All three functions exist in about 15
square inches of circuit board space. This vocoder is currently
available only as an engineering prototype system. The quantitative
values are listed in Table 4-21.

In the preceding descriptions ANDVT LPC vocoder and HY-2 chan-
nel vocoder compatibility has been stressed, where applicable, because
the HY-2 or modifications of it (KY-537, an SSI implementation) are
currently in use by the AF and because the ANDVT is being purchased
for large scale deployment within the Air Force in the immediate
future. Compatibility with either of these systems is not a require-
ment for the brassboard test system. At the present time, it is not a
requirement for the LASARS because it has not been considered (54).
This could be changed when final specifications are established for
the vocoder to be inserted into the LASARS. Conversations with

several engineers at LL and the Air Force Rome Air Development Center
(RADC) pointed out that 400 and 800 b/s LPC vocoders whose 2400 b/s
basic structure is ANDVT compatible could also be ANDVT compatible
with reduced intelligibility and quality.

TABLE 4-21

TI VIS-SPEECH PROCESSOR PARAMTER VALUES

Parameter	Value
Vocoder Method	LPC
Data Rate	2400
Intelligibility	88.4%
Input P_e	10^{-5}
Size	15 in^3 (50-100 in^3 with packaging)
Weight	6 oz (0.375 lb.)
Power	15W
Processing Delay	50-60 mS
Availability	Engineering Prototype
Production Cost	$3,500
Speaker Dependence	None
Vocabulary Dependence	None
System "Learning" Time	None

The systems presented are far from the final word in vocoder
technology. At this time, totally different concepts are being viewed
to provide new methods of performing speech analysis. All of the
current schemes are based on the human speech production system. Fla-
nagan [32] and Gold [47] have proposed vocoder analysis methods based
upon the properties of the human auditory system. This is thought to
be a viable approach because of "the fact that the human peripheral
auditory system is a superior signal processor to that of the
vocoder" (47). This research is concentrating on duplicating the

functions of the auditory system in electrical hardware. This inclu-
des the functions of the outer ear, the inner ear (the hammer, anvil,
and stirrup), the coder, the cilia which form the chain which trans-
forms the signal from sound waves to electrical impulses. The Lincoln
Laboratories report by Gold and Tierney [47] is an extensive
discussion of this concept. This method of vocoding is a very long
way from implementation but it should provide excellent results by the
end of the century for low-rate, highly intelligible speech
transmission.

CHAPTER V

OPTIMUM VOCODER SYSTEM SELECTION

Overview

The chapters preceding this one have provided general descriptions. They have laid the groundwork for the final selections to be made. This chapter applies the methodology developed in Chapter III to each of the systems presented in Chapter IV except for the nonavailable systems. The Figure-of-Merit, F_s, is computed individually for each system. The results of these computations are used to identify the optimum systems as candidates for the brassboard effort and the LASARS effort.

System Evaluations

The evaluation is performed in three stages. A table similar to Table 3-2 is filled out for each system listed in Table 4-3 and described in Chapter IV. The three systems from this group achieving the highest F_s are the most likely candidate systems for the brassboard application. Next, a table similar to Table 3-6 is filled out for each system listed in Tables 4-3 and 4-4 with the additional descriptions also found in Chapter IV. The three systems now achieving the highest F_s are the most likely candidate systems for the LASARS application. Again, those systems listed in Table 4-2 are

deleted from consideration. Finally, the top three candidates in each category are compared qualitatively on the basis of information and features which do not lend themselves to quantitative analysis.

Selection of Brassboard Applicable Systems

The F_s for each applicable system is computed in this section. Tables 5-1(a) through 5-1(1) show these computations. Table 5-2 tabulates these values and shows which systems use estimated values, which systems are renormalized for absent data, and which systems are nonacceptable with the reasons for nonacceptability. As shown in Table 5-2 the top three candidates for the brassboard insertion are the (1) MNSVS, (2) Manpack, and (3) ATMMRP vocoders, all from Motorola. All three of these systems are 2400 b/s, LPC vocoders. They are all ANDVT compatible, therefore, they will interface with the systems the Air Force is currently procuring for their low data rate, secure voice communication systems.

When comparing these vocoders, the only major differences are in the size, weight, and power requirements. These vary for two basic reasons. They are designed for different applications and employ different chip fabrication technologies. The ATMMRP is a desk-top unit similar in appearance to a standard "call director telephone." (89) This vocoder's intended purpose is for use in a fixed-location, secure-voice network. It also interfaces with the Executive Secure Voice Network (ESVN). The Manpack employs an extension of the ATMMRP chip set designed to be a portable unit for use in secure voice radio communication systems. The MNSVS is a single, handheld unit similar

TABLE 5-1 (a)

NEAR-TERM FIGURE OF MERIT; CV-3591 VOCODER

SYSTEM: __CV-3591 Advanced Narrowband Digital Voice Terminal (ANDVT)__

SOURCE: __ITT Defense Communications Division__

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Pp) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	.250	<150	150	200	250	400	600	800	1200	1600	(2400)	>2400	1	0.250
INTELLIGIBILITY (% DRT)	.225	>95	93	91	90	89	88	87	(85)	82	80	<80	3	0.675
MAXIMUM INPUT Pe	.200	>10^{-1}	10^{-1}	—	5×10^{-2}	—	(10^{-2})	—	5×10^{-3}	—	10^{-3}	<10^{-3}	5	1.000
SIZE (in³)	.080	<20	30	40	60	100	200	(400)	800	1600	3000	>3000	4	0.320
WEIGHT (lb)	.080	<.5	.5	1	2	5	10	(20)	30	40	50	>50	4	0.320
POWER CONSUMPTION (Watts)	.040	<1	2	4	6	10	15	30	(50)	75	100	>100	3	0.120
PROCESSING DELAY (m Sec)	.035	<10	10	20	30	40	50	(60)	70	80	100	>100	4	0.140E
SYSTEM AVAILABILITY	.035	(Prod)					Eng Proto					Other	10	0.350
PRODUCTION COST ($/unit/1000)	.020	<1k	1k	2k	3k	5k	10k	15k	(20k)	30k	40k	>40k	3	0.060E
SPEAKER DEPENDENCE	.015	(NONE)										ANY	10	0.150
VOCABULARY DEPENDENCE	.015	(NONE)										ANY	10	0.150
SYSTEM "LEARNING" TIME (msec)	.005	(NONE)										ANY	10	0.050

OVERALL SYSTEM FIGURE OF MERIT (Fs), TOTAL **3.585**

TABLE 5-1 (b)

ATMMRP VOCODER

SYSTEM: Advanced Technology Model Multi Rate Processor LPC Vocoder (ATMMRP)

SOURCE: Motorola Inc., Secure Communications Office

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Ps) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	.250	<150	150	200	250	400	600	800	1200	1600	(2400)	>2400	1	0.250
INTELLIGIBILITY (% DRT)	.225	>95	93	91	90	(89)	88	87	85	82	80	<80	6	1.350E
MAXIMUM INPUT Pe	.200	>10^{-1}	10^{-1}	—	5×10^{-2}	—	10^{-2}	—	(5×10^{-3})	—	10^{-3}	<10^{-3}	3	0.600
SIZE (in³)	.080	<20	30	40	60	100	200	(400)	800	1600	3000	>3000	4	0.320
WEIGHT (lb)	.080	<.5	.5	1	2	5	(10)	20	30	40	50	>50	5	0.400
POWER CONSUMPTION (Watts)	.040	<1	2	(4)	6	10	15	30	50	75	100	>100	8	0.320
PROCESSING DELAY (m Sec)	.035	<10	10	20	30	40	50	(60)	70	80	100	>100	4	0.140E
SYSTEM AVAILABILITY	.035	Prod	—	—	—	—	(Eng Proto)	—	—	—	—	Other	5	0.175
PRODUCTION COST ($/unit/1000)	.020	<1k	1k	2k	3k	5k	(10k)	15k	20k	30k	40k	>40k	5	0.100E
SPEAKER DEPENDENCE	.015	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.150
VOCABULARY DEPENDENCE	.015	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.150
SYSTEM "LEARNING" TIME (msec)	.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050

OVERALL SYSTEM FIGURE OF MERIT (Fe), TOTAL 4.005

TABLE 5-1 (c)

MANPACK VOCODER

SYSTEM: **Manpack Portable LPC-10 Vocoder**

SOURCE: **Motorola Inc., Secure Communications Office**

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Ps) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	.250	<150	150	200	250	400	600	800	1200	1600	(2400)	>2400	1	0.250
INTELLIGIBILITY (% DRT)	.225	>95	93	91	90	(89)	88	87	85	82	80	<80	6	1.350E
MAXIMUM INPUT Po	.200	$>10^{-1}$	10^{-1}	—	5×10^{-2}	—	10^{-2}	—	(5×10^{-3})	—	10^{-3}	$<10^{-3}$	3	0.600
SIZE (in³)	.080	<20	30	40	80	(100)	200	400	800	1600	3000	>3000	6	0.480
WEIGHT (lb)	.080	<.5	.5	1	2	(5)	10	20	30	40	50	>50	6	0.480
POWER CONSUMPTION (Watts)	.040	<1	(2)	4	6	10	15	30	50	75	100	>100	9	0.360
PROCESSING DELAY (m Sec)	.036	<10	10	20	30	40	50	(60)	70	80	100	>100	4	0.140E
SYSTEM AVAILABILITY	.036	Prod	—	—	—	—	(Eng Proto)	—	—	—	—	Other	5	0.175
PRODUCTION COST ($/unit/1000)	.020	<1k	1k	2k	3k	5k	(10k)	15k	20k	30k	40k	>40k	5	0.100E
SPEAKER DEPENDENCE	.015	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.150
VOCABULARY DEPENDENCE	.015	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.150
SYSTEM "LEARNING" TIME (msec)	.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050

OVERALL SYSTEM FIGURE OF MERIT (Fs), TOTAL 4.285

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TABLE 5-1 (d)

MNSVS VOCODER

SYSTEM: Minaturized Narrowband Secure Voice System (MNSVS)

SOURCE: Motorola Inc., Secure Communications Office

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Ps) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	.250	<150	160	200	250	400	600	800	1200	1600	(2400)	>2400	1	0.250
INTELLIGIBILITY (% DRT)	.225	>95	93	91	90	(89)	88	87	85	82	80	<80	6	1.350E
MAXIMUM INPUT Pe	.200	>10^{-1}	10^{-1}	—	5×10^{-2}	—	(10^{-2})	—	5×10^{-3}	—	10^{-3}	<10^{-3}	5	1.000
SIZE (in³)	.080	(<20)	30	40	60	100	200	400	800	1600	3000	>3000	10	0.800
WEIGHT (lb)	.080	<.5	.5	1	(2)	5	10	20	30	40	50	>50	7	0.560
POWER CONSUMPTION (Watts)	.040	<1	(2)	4	6	10	15	30	50	75	100	>100	9	0.360
PROCESSING DELAY (m Sec)	.035	<10	10	20	30	40	50	(60)	70	80	100	>100	4	0.140F
SYSTEM AVAILABILITY	.035	Prod	—	—	—	—	(Eng Proto)	—	—	—	—	Other	5	0.175
PRODUCTION COST ($/unit/1000)	.020	<1k	1k	2k	3k	5k	(10k)	15k	20k	30k	40k	>40k	5	0.100E
SPEAKER DEPENDENCE	.015	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.150
VOCABULARY DEPENDENCE	.015	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.150
SYSTEM "LEARNING" TIME (msec)	.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050

OVERALL SYSTEM FIGURE OF MERIT (Fs), TOTAL   5.085

TABLE 5-1 (e)

CV-333/U VOCODER

SYSTEM: CV-3333/U Digital Channel Speech Processor

SOURCE: E-Systems, Garland Division

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Ps) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	.250	<150	150	200	250	400	600	800	1200	1600	(2400)	>2400	1	0.250
INTELLIGIBILITY (% DRT)	.225	>95	93	91	90	89	(88)	87	85	82	80	<80	5	1.125
MAXIMUM INPUT Pe	.200	$>10^{-1}$	10^{-1}	—	5×10^{-2}	—	10^{-2}	—	5×10^{-3}	(⊘)	10^{-3}	$<10^{-3}$	2	0.400E
SIZE (in³)	.080	<20	30	40	60	100	200	400	800	1600	(3000)	>3000	1	0.080
WEIGHT (lb)	.080	<.5	.5	1	2	5	10	20	30	40	60	(>60)	0	0*
POWER CONSUMPTION (Watts)	.040	<1	2	4	6	10	15	30	50	75	100	(>100)	0	0*
PROCESSING DELAY (in Sec)	.035	<10	10	20	30	40	50	60	70	(80)	100	>100	2	0.070E
SYSTEM AVAILABILITY	.035	(Prod)					Eng Proto					Other	10	0.350
PRODUCTION COST ($/unit/1000)	.020	<1k	1k	2k	3k	5k	10k	15k	20k	(30k)	40k	>40k	2	0.040E
SPEAKER DEPENDENCE	.015	(NONE)										ANY	10	0.150
VOCABULARY DEPENDENCE	.015	(NONE)										ANY	10	0.150
SYSTEM "LEARNING" TIME (msec)	.005	(NONE)										ANY	10	0.050

OVERALL SYSTEM FIGURE OF MERIT (Fs), TOTAL 2.665

TABLE 5-1 (f)

CV-3333A/U VOCODER

SYSTEM: CV-3333A/U Audio-Digital Converter

SOURCE: E-Systems, Garland Division

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Ps) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	.250	<150	150	200	250	400	600	800	1200	1600	(2400)	>2400	1	0.250
INTELLIGIBILITY (% DRT)	.225	>95	93	91	(90)	89	88	87	85	82	80	<80	7	1.575
MAXIMUM INPUT P_e	.200	$>10^{-1}$	10^{-1}		5×10^{-2}		10^{-2}		5×10^{-3}	( )	10^{-3}	$<10^{-3}$	2	0.400E
SIZE (in³)	.080	<20	30	40	60	100	200	400	800	1600	(3000)	>3000	1	0.080
WEIGHT (lb)	.080	<.5	.5	1	2	5	10	20	30	40	(50)	>50	1	0.080
POWER CONSUMPTION (Watts)	.040	<1	2	4	6	10	15	30	50	75	(100)	>100	1	0.040
PROCESSING DELAY (m Sec)	.035	<10	10	20	30	40	50	60	70	(80)	100	>100	2	0.070E
SYSTEM AVAILABILITY	.035	(Prod)	—	—	—	—	Eng Proto	—	—	—	—	Other	10	0.350
PRODUCTION COST ($/unit/1000)	.020	<1k	1k	2k	3k	5k	10k	15k	(20k)	30k	40k	>40k	3	0.060E
SPEAKER DEPENDENCE	.015	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.150
VOCABULARY DEPENDENCE	.015	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.150
SYSTEM "LEARNING" TIME (msec)	.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050

OVERALL SYSTEM FIGURE OF MERIT (Fs), TOTAL 3.255

TABLE 5-1 (g)

CV-3670/A VOCODER

SYSTEM: CV-3670/A Airborne Digital Speech Processor

SOURCE: E-Systems, Garland Division

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Ps) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	.250	150	160	200	250	400	600	800	1200	1600	(2400)	>2400	1	0.250
INTELLIGIBILITY (% DRT)	.225	>95	93	91	(90)	89	88	87	85	82	80	<80	7	1.575
MAXIMUM INPUT Pe	.200	>10⁻¹	10⁻¹	—	5x10⁻²	—	10⁻²	—	5x10⁻³	(—)	10⁻³	<10⁻³	2	0.400E
SIZE (in³)	.080	<20	30	40	60	100	200	400	(800)	1600	3000	>3000	3	0.240
WEIGHT (lb)	.080	<.5	.5	1	2	5	10	(20)	30	40	50	>50	4	0.320
POWER CONSUMPTION (Watts)	.040	<1	2	4	8	10	15	30	50	75	(100)	>100	1	0.040
PROCESSING DELAY (m Sec)	.035	<10	10	20	30	40	50	60	70	(80)	100	>100	2	0.070E
SYSTEM AVAILABILITY	.035	(Prod)	—	—	—	—	Eng Proto	—	—	—	—	Other	10	0.350
PRODUCTION COST ($/unit/1000)	.020	<1k	1k	2k	3k	6k	10k	15k	20k	30k	40k	(>40k)	0	0E*
SPEAKER DEPENDENCE	.015	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.150
VOCABULARY DEPENDENCE	.015	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.150
SYSTEM "LEARNING" TIME (msec)	.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050

OVERALL SYSTEM FIGURE OF MERIT (Fs). TOTAL 3.595

TABLE 5-1 (h)

LPC-24 VOCODER

SYSTEM: Linear Predictive Coder Model LPC-24 Digital Speech Processor

SOURCE: E-Systems, Garland Division

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Ps) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	.250	<160	160	200	250	400	600	800	1200	1600	(2400)	>2400	1	0.250
INTELLIGIBILITY (% DRT)	.225	>95	93	91	(90)	89	88	87	85	82	80	<80	7	1.575
MAXIMUM INPUT P_e	.200	$>10^{-1}$	10^{-1}	—	5×10^{-2}	—	10^{-2}	—	5×10^{-3}	(—)	10^{-3}	$<10^{-3}$	2	0.400E
SIZE (in^3)	.080	<20	30	40	60	100	200	400	(800)	1600	3000	>3000	3	0.240
WEIGHT (lb)	.080	<.5	.5	1	2	5	10	(20)	30	40	50	>50	4	0.320
POWER CONSUMPTION (Watts)	.040	<1	2	4	6	10	15	30	50	75	(100)	>100	1	0.040
PROCESSING DELAY (m Sec)	.035	<10	10	20	30	40	50	60	70	(80)	100	>100	2	0.070E
SYSTEM AVAILABILITY	.035	(Prod)	—	—	—	—	Eng Proto	—	—	—	—	Other	10	0.350
PRODUCTION COST ($/unit/1000)	.020	<1k	1k	2k	3k	5k	(10k)	15k	20k	30k	40k	>40k	5	0.100E
SPEAKER DEPENDENCE	.015	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.150
VOCABULARY DEPENDENCE	.015	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.150
SYSTEM "LEARNING" TIME (msec)	.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050

OVERALL SYSTEM FIGURE OF MERIT (Fs). TOTAL 3.695

TABLE 5-1 (i)

MRD-2000G VOCODER

SYSTEM: __Model MRD-2000G Voice Digitizer__

SOURCE: __GTE Systems, Communication Systems Division__

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Ps) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	.250	<150	150	200	250	400	600	800	1200	1600	(2400)	>2400	1	0.250
INTELLIGIBILITY (% DRT)	.225	>95	93	91	(90)	89	88	87	85	82	80	<80	7	1.575E
MAXIMUM INPUT Pe	.200	$>10^{-1}$	10^{-1}	—	5×10^{-2}	—	10^{-2}	—	5×10^{-3}	—	(10^{-3})	$<10^{-3}$	1	0.200E
SIZE (in³)	.080	<20	30	40	60	100	200	400	800	(1600)	3000	>3000	2	0.460
WEIGHT (lb)	.080	<.5	.5	1	2	5	10	20	(30)	40	50	>50	3	0.240
POWER CONSUMPTION (Watts)	.040	<1	2	4	6	10	15	30	50	(75)	100	>100	2	0.080
PROCESSING DELAY (m Sec)	.035	<10	10	20	30	40	50	(60)	70	80	100	>100	4	0.140E
SYSTEM AVAILABILITY	.035	(Prod)					Eng Proto					Other	10	0.350
PRODUCTION COST ($/unit/1000)	.020	<1k	1k	2k	3k	6k	10k	(15k)	20k	30k	40k	>40k	4	0.080E
SPEAKER DEPENDENCE	.015	(NONE)										ANY	10	0.150
VOCABULARY DEPENDENCE	.015	(NONE)										ANY	10	0.150
SYSTEM "LEARNING" TIME (msec)	.005	(NONE)										ANY	10	0.150

OVERALL SYSTEM FIGURE OF MERIT (Fs), TOTAL 3.425

TABLE 5-1 (j)

UVD-2000 VOCODER

SYSTEM: Model UVD-2000 Voice Digitizer

SOURCE: GTE Systems, Communication Systems Division

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Ps) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	.250	<160	150	200	250	400	600	800	1200	1600	(2400)	>2400	1	0.250
INTELLIGIBILITY (% DRT)	.225	>95	93	91	(90)	89	88	87	85	82	80	<80	7	1.575E
MAXIMUM INPUT P_e	.200	>10^{-1}	10^{-1}	—	5×10^{-2}	—	10^{-2}	—	5×10^{-3}	—	(10^{-3})	<10^{-3}	1	0.200E
SIZE (in^3)	.080	<20	30	40	80	100	200	400	(800)	1600	3000	>3000	3	0.240
WEIGHT (lb)	.080	<.5	.5	1	2	5	10	(20)	30	40	50	>50	4	0.320
POWER CONSUMPTION (Watts)	.040	<1	2	4	6	10	15	30	(50)	75	100	>100	3	0.120
PROCESSING DELAY (m Sec)	.035	<10	10	20	30	40	50	(60)	70	80	100	>100	4	0.140E
SYSTEM AVAILABILITY	.035	(Prod)					Eng Proto					Other	10	0.350
PRODUCTION COST ($/unit/1000)	.020	<1k	1k	2k	3k	5k	(10k)	15k	20k	30k	40k	>40k	5	0.100E
SPEAKER DEPENDENCE	.015	(NONE)										ANY	10	0.150
VOCABULARY DEPENDENCE	.015	(NONE)										ANY	10	0.150
SYSTEM "LEARNING" TIME (msec)	.005	(NONE)										ANY	10	0.050

OVERALL SYSTEM FIGURE OF MERIT (Fs), TOTAL 3.645

TABLE 5-1 (k)

CV-3832 VOCODER

SYSTEM: CV-3832 Multiple Rate Voice Terminal (MRVT)

SOURCE: GTE Systems, Communication Systems Division

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Ps) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	.250	<160	150	200	250	400	600	800	1200	1600	2400 (circled)	>2400	1	0.250
INTELLIGIBILITY (% DRT)	.225	>95	93	91	90 (circled)	89	88	87	85	82	80	<80	7	1.575E
MAXIMUM INPUT P_e	.200	$>10^{-1}$	10^{-1}	—	5×10^{-2}	—	10^{-2}	—	5×10^{-3}	—	10^{-3} (circled)	$<10^{-3}$	1	0.200E
SIZE (in^3)	.080	<20	30	40	60	100	200	400	800	1600	3000	>3000 (circled)	0	0*
WEIGHT (lb)	.080	<.5	.5	1	2	5	10	20	30	40	50	>50 (circled)	0	0*
POWER CONSUMPTION (Watts)	.040	<1	2	4	6	10	15	30	50	75	100	>100 (circled)	0	0*
PROCESSING DELAY (m Sec)	.035	<10	10	20	30	40	50	60 (circled)	70	80	100	>100	4	0.140E
SYSTEM AVAILABILITY	.035	Prod (circled)					Eng Proto					Other	10	0.350
PRODUCTION COST ($/unit/1000)	.020	<1k	1k	2k	3k	6k	10k	15k	20k	30k (circled)	40k	>40k	2	0.040E
SPEAKER DEPENDENCE	.015	NONE (circled)										ANY	10	0.150
VOCABULARY DEPENDENCE	.015	NONE (circled)										ANY	10	0.150
SYSTEM "LEARNING" TIME (msec)	.005	NONE (circled)										ANY	10	0.050

OVERALL SYSTEM FIGURE OF MERIT (Fe), TOTAL 2.905

TABLE 5-1 (1)

VECTOR QUANTIZED LPC VOCODER

SYSTEM: __2400 to 800b/s LPC Rate Converter (Vector Quantized LPC)__

SOURCE: __NRL with TRW Corp__

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING												PARAMETER SCORE (Ps) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0	Fp	
DATA RATE (bits/sec)	.250	<150	150	200	250	400	600	(800)	1200	1600	2400	>2400	4	1.000
INTELLIGIBILITY (% DRT)	.225	>95	93	91	90	89	88	87	(85)	82	80	<80	3	0.675
MAXIMUM INPUT Pe	.200	$>10^{-1}$	10^{-1}	---	5×10^{-2}	---	10^{-2}	---	5×10^{-3}	---	(10^{-3})	$<10^{-3}$	1	0.200E
SIZE (in³)	.080	<20	30	40	60	100	200	400	800	1600	(3000)	>3000	1	0.080
WEIGHT (lb)	.080	<.5	.5	1	2	5	10	20	(30)	40	50	>50	3	0.240
POWER CONSUMPTION (Watts)	.040	<1	2	4	8	10	15	30	50	75	(100)	>100	1	0.040
PROCESSING DELAY (m Sec)	.035	<10	10	20	30	40	50	60	70	80	100	(>100)	0	0*
SYSTEM AVAILABILITY	.035	Prod	---	---	---	---	(Eng Proto)	---	---	---	---	Other	5	0.175
PRODUCTION COST ($/unit/1000)	.020	<1k	1k	2k	3k	5k	10k	15k	20k	30k	40k	>40k	---	-----
SPEAKER DEPENDENCE	.015	(NONE)	---	---	---	---	---	---	---	---	---	ANY	10	0.150
VOCABULARY DEPENDENCE	.015	(NONE)	---	---	---	---	---	---	---	---	---	ANY	10	0.150
SYSTEM "LEARNING" TIME (msec)	.005	(NONE)	---	---	---	---	---	---	---	---	---	ANY	10	0.050

OVERALL SYSTEM FIGURE OF MERIT (Fs), TOTAL 2.816R

TABLE 5-2

NEAR-TERM SYSTEM COMPARISONS

System	F_s	Codes†	Comments
CV-3591 (ANDVT) (ITT)	3.585	E	
ATMMRP (Motorola)	4.005	E	#3
Manpack (Motorola)	4.285	E	#2
MNSVS (Motorola)	5.085	E	#1
CV-3333/U (E-Systems)	2.665	*,E	
CV-3333A/U (E-Systems)	3.255	E	
CV-3670/A (E-Systems)	3.595	*,E	
LPC-24 (E-Systems)	3.695	E	
MRG-2000G (GTE)	3.425	E	
UVD-2000 (GTE)	3.645	E	
CV-3832 (MRVT) (GTE)	2.905	*,E	
Vector Quantized LPC (TRW)	2.816	*,E,R	

†Codes: * = Nonacceptable System
         E = Estimated values used
         R = A renormalized F_s for missing values

to a "contempra" telephone handset. The size and power reductions

are achieved through the use of flatpack and leaded chip carrier

technology rather than DIP chips (89).

A more detailed description of these vocoders is given in the

following excerpt from the Motorola, Inc., Product Information Report

(89).

ADVANCED TECHNOLOGY MODEL MULTI RATE PROCESSOR LPC VOCODER

The Voice Processing Laboratory located at the Motorola
Government Electronics Group in Scottsdale, Arizona, has applied
low power LSI digital signal processing capabilities in the deve-
lopment of an Advanced Technology Model Multirate Processor
(ATMMRP) LPC vocoder. Developed by Motorola for the Naval
Electronics System Command, it is compatible with the
Advance Narrowband Digital Voice Terminal (ANDVT) or the Executive
Secure Voice Network (ESVN).

The ATMMRP Vocoder is a low power, small size, 2400 Bit per
second, full duplex, Linear Predictive voice coder. The voice
coder requires approximately 2.2 watts while the LED displays and
specialized MIL 188 digital outputs require another 2 watts for a
total DC power of 4.2 watts. This is the lowest power LPC Vocoder
yet reported. The ATM voice coder weighs 4.15 Kg (9.1 lbs.), and
occupies 7,200 cc (440 in^3), with the foot print of a typical call
director telephone, see figure 1-1 [not included in this report].

All high performance digital signal processing performed by
the ATM LPC vocoder is done with custom large scale integration.
Voicing, serialization of data, sync acquisition and sync main-
tenance algorithms, and self-test functions are performed in soft-
ware in an MC68000 microcomputer.

The ATM LPC vocoder utilizes a family of CMOS integrated cir-
cuits which feature a low-cost, low-power consumption, small phy-
sical size approach to measurement of the speech parameters. The
CMOS DSP IC family consists of an LPC analysis IC, and AMDF pitch
extraction IC, and an LPC synthesis IC.

Each IC is a microprogrammed digital signal processor. The
analysis (transmit) IC's contain internal ROM programming to pro-
cess speech directly from an A/D converter and generate parameters
common to LPC/RELP vocoder algorithms. Coding of resultant output
data is generalized for maximum flexibility.

The Linear Predictive Coding (LPC) Analyzer IC performs PARCOR
linear predictive analysis of speech for LPC vocoder and speech
recognition systems. This consists of estimating and removing
cross-correlation between forward and backward traveling waves in
a lattice digital model of the vocal tract. The purpose of the
LPC analysis chip is to perform all of the computationally inten-
sive calculations for 10-pole LPC analysis and energy measurement
on a single intergrated circuit.

Residual speech output for pitch extraction and RELP coding is also provided. A block diagram showing the architecture of the analyzer IC is shown in Figure 1-2 [Figure 5-1 in this report].

The Average Magnitude Difference Function IC is a high performance digital signal processor, programmed to perform the pitch measurement algorithm used in all Department of Defense LPC-10 vocoders, speaker identification systems, and many speech recognition systems. AMDF is a robust algorithm for measuring pitch periods of speech by finding the time delay at which the speech wave form is most repetitive. The time delay which produces a minimum AMDF is the pitch period. The AMDF operates directly on speech from an A/D Converter and outputs results to a host CPU.

By performing the AMDF analysis in a dedicated integrated circuit, the computation rate associated with pitch and voicing analysis is dramatically reduced. Furthermore, the I/O structure of the AMDF chip is designed to minimize interface requirements on the host processor. Even the simplest processor hosts can utilize the computational power of the AMDF IC. Architecture of the AMDF is shown in Figure 1-3 [Figure 5-2 in this report].

The voice synthesizer integrated circuit (IC) is a microprogrammable CMOS digital signal processor programmed to perform linear predictive coding (LPC) voice synthesis. High quality voice synthesis may be used with residual excitation to achieve a high degree of natrualness in residual excited LPC applications. It also contains sufficient circuitry to operate on internal excitation for pitch-excited LPC applications.

The speech synthesizer IC, like the others, is designed to perform all the computationally intensive arithmetic for speech synthesis while minimizing the load on the host processor.

The microprogramming features of this IC allow it to be used for lattice all-pole filters, lattice all-zero filters, general second-order cascaded sections (FORMANT synthesis), or line spectral pair synthesis (LSP). In addition, it can be microprogrammed to perform special function filters such as band-pass or low-pass filters. The architecture of the synthesizer IC is shown in Figure 1-4 [Figure 5-3 in this report].

A Manpack Portable LPC 10 Vocoder

A manpack portable LPC-10 Vocoder has been developed which makes substantial size and power performance improvements over existing LPC Vocoders, by extending the ATMMRP chip set as shown in Figure 1-5 [not included in this report]. The remaining LPC-10 algorithmic components are partitioned by the data and process flow graphs into meaningful multi-purpose stand alone single chip computers, resulting in a vocoder that uses 3 VLSI, and 3 LSI components. The digital signal processing algorithms are partitioned as follows: LPC Analysis IC, LPC Synthesis IC, AMDF Pitch Extraction IC. The data flow processes are partitioned into microcomputers as follows: Transmit Pitch and Voicing in processor #1, Transmit AGC in processor #2, and Parameter Quantization and Serialization in processor #3; in the receive mode sync acquisition and maintenance and parameter deserialization in processor
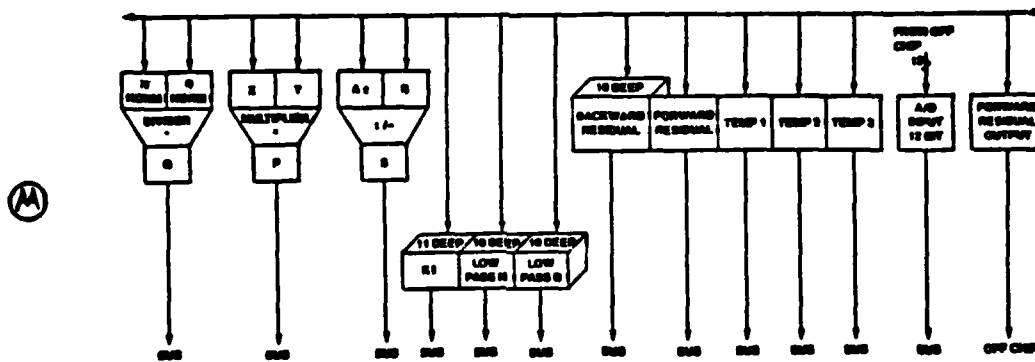
Figure 5-1. Architecture of LPC PARCOR analyzer.
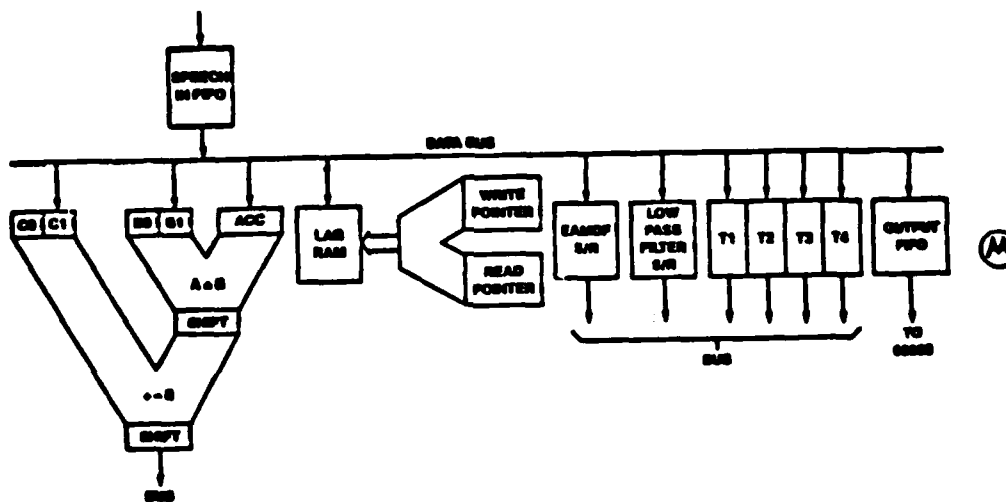(Taken from ref. 89, p. 4)

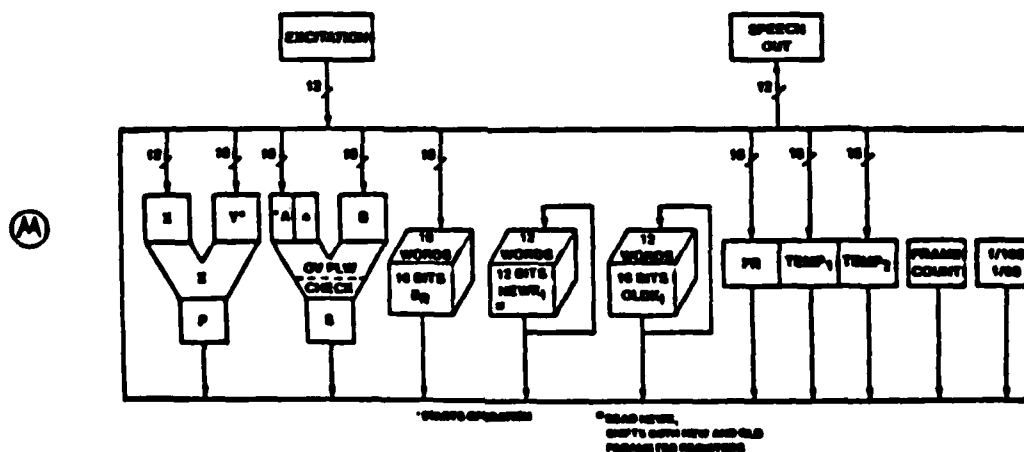Figure 5-2. Architecture of AMDF IC.
(Taken from ref. 89, p. 4)

Figure 5-3. Architecture of synthesizer IC.
(Taken from ref. 89, p.4)

#3, error correction and dequantization in processor #2, and interpolation rule implementation in processor #1.

The data flow processor's partitions were greatly affected by the use of single chip computers. The computers have a very limited RAM and ROM space causing the partition to be dependent on program size. The use of single chip computers minimizes external hardware necessary for the vocoder implementation.

The overall block diagram is presented in Figure 1-6 [Figure 5-4 in this report]. The three VLSI speech processing chips, the three microprocessors, and the analog input and output logic comprise the entire half duplex system.

The latest algorithms have been used in Manpack to optimize performance for noisy rapid communication. To accomplish this, the voice/unvoice and pitch tracking algorithms underwent considerable design improvement. Similarly a specially designed high performance automatic gain control has been designed specifically for the rapid communication environment.

Miniaturized Narrowband Secure Voice System

Motorola is now investigating a further miniaturization of the Manpack vocoder by using flatpack and leaded chip carrier technology rather than dual inline packages. The result will be an LPC Vocoder that fits into a "contempra" telephone handset. This further size reduction makes possible an entirely new market for LPC vocoders, due to a small size, portability, and flexibility to be used with a variety of modern technologies. Furthermore, MNSVS also contains a microprocessor based KG controller to enable a variety of secrecy levels of KG to be used with vocoder. The KG control microprocessor mediates link synchronization in non error extending mode with bit error rates up to 10^{-2}. A photograph of MNSVS is shown in Figure 1-7 [not included in this report].

Selection of LASARS-Applicable Systems

Tables 5-3(a) and 5-3(q) show the F_s calculations for the far-term applicable systems. These values are tabulated in Table 5-4. As can be seen, none of the systems under consideration achieve all of the currently specified parameter values and all are categorized as nonacceptable for a LASARS implementation.

The Frame Predictive LPC studies of the Air Force with ITT, the MNSVS from Motorola, the Compact LPC Vocoder from Lincoln Laboratories and the TI VIS-Speech Processor (F_s approximately tied with the Compact LPC vocoder) lead the list of systems considered

Figure 5-4. Manpack block diagram.
(Taken from ref. 89, p.7)

TABLE 5-3 (a)

FAR-TERM FIGURE OF MERIT; CV-3591 VOCODER

SYSTEM: __CV-3591 Advanced Narrowband Digital Voice Terminal (ANDVT)__

SOURCE: __ITT Defense Communications Division__

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Ps) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	0.300	<150	150	200	250	300	400	500	600	700	800	(>800)	0	0*
INTELLIGIBILITY (% DRT)	0.250	>96	96	95	94	93	92	91	90	89	88	(<88)	0	0*
SIZE (in^3)	0.090	<10	10	15	20	25	50	80	100	150	200	(>200)	0	0*
WEIGHT (lb)	0.080	<0.5	0.5	0.75	1.0	1.25	1.5	1.75	2.0	2.25	2.5	(>2.5)	0	0*
POWER CONSUMPTION (Watts)	0.080	<0.5	0.5	1.0	1.5	2.0	2.5	3.0	3.5	4.0	5.0	(>5)	0	0*
MAXIMUM INPUT Pe	0.070	>10^{-1}	10^{-1}	—	5x10^{-2}	—	(10^{-2})	—	5x10^{-3}	—	10^{-3}	<10^{-3}	5	0.350
PRODUCTION COST ($/unit/1000)	0.080	<500	500	550	600	650	700	750	800	900	1000	(>1000)	0	0*
SYSTEM AVAILABILITY	0.030	(Prod)	—	—	Eng Proto	—	—	Lab Model	—	—	S/W Sim	Other	10	0.300
THROUGHPUT DELAY (m Sec)	0.025	<10	10	20	30	40	50	(60)	70	80	100	>100	4	0.100E
SPEAKER DEPENDENCE	0.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050
VOCABULARY DEPENDENCE	0.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050
SYSTEM "LEARNING" TIME (msec)	0.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050

OVERALL SYSTEM FIGURE OF MERIT (Fs), TOTAL 0.900

TABLE 5-3 (b)

ATMMRP VOCODER

SYSTEM: Advanced Technology Model Mutli Rate Processor LPC Vocoder (ATMMRP)

SOURCE: Motorola Inc., Secure Communications Office

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Ps) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	0.300	<150	150	200	250	300	400	500	600	700	900	(>900)	0	0*
INTELLIGIBILITY (% DRT)	0.250	>96	96	95	94	93	92	91	90	(89)	88	<88	2	0.500E
SIZE (in³)	0.090	<10	10	15	20	25	50	80	100	150	200	(>200)	0	0*
WEIGHT (lb)	0.080	<0.5	0.5	0.75	1.0	1.25	1.5	1.75	2.0	2.25	2.5	(>2.5)	0	0*
POWER CONSUMPTION (Watts)	0.080	<0.5	0.5	1.0	1.5	2.0	2.5	3.0	3.5	(4.0)	5.0	>5	2	0.160
MAXIMUM INPUT Pe	0.070	$>10^{-1}$	10^{-1}	—	5×10^{-2}	—	10^{-2}	—	(5×10^{-3})	—	10^{-3}	$<10^{-3}$	3	0.210E
PRODUCTION COST ($/unit/1000)	0.060	<500	500	550	600	650	700	750	800	900	1000	(>1000)	0	0*
SYSTEM AVAILABILITY	0.030	Prod	—	—	(Eng Proto)	—	—	Lab Model	—	—	S/W Sim	Other	7	0.210
THROUGHPUT DELAY (m Sec)	0.025	<10	10	20	30	40	50	(60)	70	80	100	>100	4	0.100E
SPEAKER DEPENDENCE	0.005	(NONE)										ANY	10	0.050
VOCABULARY DEPENDENCE	0.005	(NONE)										ANY	10	0.050
SYSTEM "LEARNING" TIME (msec)	0.005	(NONE)										ANY	10	0.050

OVERALL SYSTEM FIGURE OF MERIT (Fs), TOTAL 1.330

TABLE 5-3 (c)

MANPACK VOCODER

SYSTEM: Manpack Portable LPC-10 Vocoder

SOURCE: Motorola Inc., Secure Communications Office

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Ps) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	0.300	<150	150	200	250	300	400	500	600	700	800	(>800)	0	0*
INTELLIGIBILITY (% DRT)	0.250	>96	96	95	94	93	92	91	90	(89)	88	<88	2	0.500E
SIZE (in³)	0.090	<10	10	15	20	25	50	80	(100)	150	200	>200	3	0.270
WEIGHT (lb)	0.080	<0.5	0.5	0.75	1.0	1.25	1.5	1.75	2.0	2.25	2.5	(>2.5)	0	0*
POWER CONSUMPTION (Watts)	0.080	<0.5	0.5	1.0	1.5	(2.0)	2.5	3.0	3.5	4.0	5.0	>5	6	0.480
MAXIMUM INPUT Pe	0.070	$>10^{-1}$	10^{-1}		5×10^{-2}		10^{-2}		(5×10^{-3})		10^{-3}	$<10^{-1}$	3	0.210
PRODUCTION COST ($/unit/1000)	0.060	<500	500	550	600	650	700	750	800	900	1000	(>1000)	0	0*
SYSTEM AVAILABILITY	0.030	Prod			(Eng Proto)			Lab Model			S/W Sim	Other	7	0.210
THROUGHPUT DELAY (m Sec)	0.025	<10	10	20	30	40	50	(60)	70	80	100	>100	4	0.100E
SPEAKER DEPENDENCE	0.005	(NONE)										ANY	10	0.050
VOCABULARY DEPENDENCE	0.005	(NONE)										ANY	10	0.050
SYSTEM "LEARNING" TIME (msec)	0.005	(NONE)										ANY	10	0.050

OVERALL SYSTEM FIGURE OF MERIT (Fs), TOTAL.

TABLE 5-3 (d)

MNSVS VOCODER

SYSTEM: Miniaturized Narrowband Secure Voice System (MNSVS)

SOURCE: Motorola Inc., Secure Communications Office

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Ps) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	0.300	<150	150	200	250	300	400	500	600	700	800	(>800)	0	0*
INTELLIGIBILITY (% DRT)	0.250	>98	96	95	94	93	92	91	90	(89)	88	<88	2	0.500E
SIZE (in³)	0.090	<10	10	15	(20)	25	50	80	100	150	200	>200	7	0.630
WEIGHT (lb)	0.080	<0.5	0.5	0.75	1.0	1.25	1.5	1.75	(2.0)	2.25	2.5	>2.5	3	0.240
POWER CONSUMPTION (Watts)	0.080	<0.5	0.5	1.0	1.5	(2.0)	2.5	3.0	3.5	4.0	5.0	>5	6	0.480
MAXIMUM INPUT Pe	0.070	$>10^{-1}$	10^{-1}	—	5×10^{-2}	—	(10^{-2})	—	5×10^{-3}	—	10^{-3}	$<10^{-3}$	5	0.350
PRODUCTION COST ($/unit/1000)	0.060	<500	500	550	600	650	700	750	800	900	1000	(>1000)	0	0*
SYSTEM AVAILABILITY	0.030	Prod	—	—	(Eng Proto)	—	—	Lab Model	—	—	S/W Sim	Other	7	0.210
THROUGHPUT DELAY (m Sec)	0.025	<10	10	20	30	40	50	(60)	70	80	100	>100	4	0.100E
SPEAKER DEPENDENCE	0.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050
VOCABULARY DEPENDENCE	0.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050
SYSTEM "LEARNING" TIME (msec)	0.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050

OVERALL SYSTEM FIGURE OF MERIT (Fs), TOTAL 2.660

TABLE 5-3 (e)

CV-3333/U VOCODER

SYSTEM: CV-3333/U Digital Channel Speech Processor

SOURCE: E-Systems, Garland Division

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Ps) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	0.300	<150	150	200	250	300	400	500	600	700	800	>800	0	0*
INTELLIGIBILITY (% DRT)	0.250	>96	96	95	94	93	92	91	90	89	88	<88	1	0.250
SIZE (in³)	0.090	<10	10	15	20	25	50	80	100	150	200	>200	0	0*
WEIGHT (lb)	0.080	<0.5	0.5	0.75	1.0	1.25	1.5	1.75	2.0	2.25	2.5	>2.5	0	0*
POWER CONSUMPTION (Watts)	0.080	<0.5	0.5	1.0	1.5	2.0	2.5	3.0	3.5	4.0	5.0	>5	0	0*
MAXIMUM INPUT Pe	0.070	$>10^{-1}$	10^{-1}	—	5×10^{-2}	—	10^{-2}	—	5×10^{-3}		10^{-3}	$<10^{-3}$	2	0.140
PRODUCTION COST ($/unit/1000)	0.060	<500	500	550	600	650	700	750	800	900	1000	>1000	0	0*
SYSTEM AVAILABILITY	0.030	Prod	—	—	Eng Proto	—	—	Lab Model	—	—	S/W Sim	Other	10	0.300
THROUGHPUT DELAY (m Sec)	0.025	<10	10	20	30	40	50	60	70	80	100	>100	2	0.050E
SPEAKER DEPENDENCE	0.005	NONE	—	—	—	—	—	—	—	—	—	ANY	10	0.050
VOCABULARY DEPENDENCE	0.005	NONE	—	—	—	—	—	—	—	—	—	ANY	10	0.050
SYSTEM "LEARNING" TIME (msec)	0.005	NONE	—	—	—	—	—	—	—	—	—	ANY	10	0.050

OVERALL SYSTEM FIGURE OF MERIT (Fs), TOTAL 0.890

MICROCOPY RESOLUTION TEST CHART
NATIONAL BUREAU OF STANDARDS-1963-A

TABLE 5-3 (f)

CV-3333 A/U VOCODER

SYSTEM: CV-3333 A/U Audio-Digital Converter

SOURCE: E-System, Garland Division

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Psi) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	0.300	<150	150	200	250	300	400	500	600	700	900	(>900)	0	0*
INTELLIGIBILITY (% DRT)	0.250	>96	96	95	94	93	92	91	(90)	88	88	<88	3	0.750
SIZE (in³)	0.080	<10	10	15	20	25	50	80	100	150	200	(>200)	0	0*
WEIGHT (lb)	0.080	<0.5	0.5	0.75	1.0	1.25	1.5	1.75	2.0	2.25	2.5	(>2.5)	0	0*
POWER CONSUMPTION (Watts)	0.080	<0.5	0.5	1.0	1.5	2.0	2.5	3.0	3.5	4.0	5.0	(>5)	0	0*
MAXIMUM INPUT Pe	0.070	$>10^{-1}$	10^{-1}	—	5×10^{-2}	—	10^{-2}	—	5×10^{-3}	(⊘)	10^{-3}	$<10^{-3}$	2	0.140
PRODUCTION COST ($/unit/1000)	0.090	<500	500	550	600	650	700	750	800	900	1000	(>1000)	0	0*
SYSTEM AVAILABILITY	0.030	(Prod)	—	—	Eng Proto	—	—	Lab Model	—	—	S/W Sim	Other	10	0.300
THROUGHPUT DELAY (m Sec)	0.025	<10	10	20	30	40	50	60	70	(80)	100	>100	2	0.050E
SPEAKER DEPENDENCE	0.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050
VOCABULARY DEPENDENCE	0.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050
SYSTEM "LEARNING" TIME (msec)	0.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050

OVERALL SYSTEM FIGURE OF MERIT (Fs), TOTAL 1.390

TABLE 5-3 (g)

CV-3670/A VOCODER

SYSTEM: CV-3670/A Airborne Digital Speech Processor

SOURCE: E-Systems, Garland Division

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Ps) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	0.300	<150	150	200	250	300	400	500	600	700	800	(>800)	0	0*
INTELLIGIBILITY (% DRT)	0.250	>96	96	95	94	93	92	91	(90)	89	88	<88	3	0.750
SIZE (in³)	0.090	<10	10	15	20	25	50	90	100	150	200	(>200)	0	0*
WEIGHT (lb)	0.080	<0.5	0.5	0.75	1.0	1.25	1.5	1.75	2.0	2.25	2.5	(>2.5)	0	0*
POWER CONSUMPTION (Watts)	0.080	<0.5	0.5	1.0	1.5	2.0	2.5	3.0	3.5	4.0	5.0	(>5)	0	0*
MAXIMUM INPUT Pe	0.070	$>10^{-1}$	10^{-1}	—	5×10^{-2}	—	10^{-2}	—	5×10^{-3}	(—)	10^{-3}	$<10^{-3}$	2	0.140
PRODUCTION COST ($/unit/1000)	0.060	<500	500	550	600	650	700	750	800	900	1000	(>1000)	0	0*
SYSTEM AVAILABILITY	0.030	(Prod)	—	—	Eng Proto	—	—	Lab Model	—	—	S/W Sim	Other	10	0.300
THROUGHPUT DELAY (m Sec)	0.025	<10	10	20	30	40	50	60	70	(80)	100	>100	2	0.050E
SPEAKER DEPENDENCE	0.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050
VOCABULARY DEPENDENCE	0.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050
SYSTEM "LEARNING" TIME (msec)	0.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050

OVERALL SYSTEM FIGURE OF MERIT (Fs), TOTAL   1.390

TABLE 5-3 (h)

LPC-24 VOCODER

SYSTEM: Linear Predictive Coder Model LPC-24 Digital Speech Processor

SOURCE: E-Systems, Garland Division

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Ps) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	0.300	<150	150	200	250	300	400	500	600	700	800	>800	0	0*
INTELLIGIBILITY (% DRT)	0.250	>96	96	96	94	93	92	91	90	89	88	<88	3	0.750
SIZE (in³)	0.090	<10	10	15	20	25	50	80	100	150	200	>200	0	0*
WEIGHT (lb)	0.080	<0.5	0.5	0.75	1.0	1.25	1.5	1.75	2.0	2.25	2.5	>2.5	0	0*
POWER CONSUMPTION (Watts)	0.080	<0.5	0.5	1.0	1.5	2.0	2.5	3.0	3.5	4.0	5.0	>5	0	0*
MAXIMUM INPUT Pe	0.070	$>10^{-1}$	10^{-1}	—	5×10^{-2}	—	10^{-2}	—	5×10^{-3}		10^{-3}	$<10^{-3}$	2	0.140E
PRODUCTION COST ($/unit/1000)	0.060	<500	500	550	600	650	700	750	800	900	1000	>1000	0	0*
SYSTEM AVAILABILITY	0.030	Prod	—	—	Eng Proto	—	—	Lab Model	—	—	S/W Sim	Other	10	0.300
THROUGHPUT DELAY (m Sec)	0.025	<10	10	20	30	40	50	60	70	80	100	>100	2	0.050E
SPEAKER DEPENDENCE	0.005	NONE	—	—	—	—	—	—	—	—	—	ANY	10	0.050
VOCABULARY DEPENDENCE	0.005	NONE	—	—	—	—	—	—	—	—	—	ANY	10	0.050
SYSTEM "LEARNING" TIME (msec)	0.005	NONE	—	—	—	—	—	—	—	—	—	ANY	10	0.050

OVERALL SYSTEM FIGURE OF MERIT (Fs), TOTAL 1.390

TABLE 5-3 (i)

MRD-2000G VOCODER

SYSTEM: Model MRD-2000G Voice Digitizer

SOURCE: GTE Systems, Communication Systems Division

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Pa) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	0.300	<150	150	200	250	300	400	500	600	700	800	(>800)	0	0*
INTELLIGIBILITY (% DRT)	0.250	>96	96	95	94	93	92	91	(90)	89	88	<88	3	0.750E
SIZE (in³)	0.090	<10	10	15	20	25	50	80	100	150	200	(>200)	0	0*
WEIGHT (lb)	0.080	<0.5	0.5	0.75	1.0	1.25	1.5	1.75	2.0	2.25	2.5	(>2.5)	0	0*
POWER CONSUMPTION (Watts)	0.080	<0.5	0.5	1.0	1.5	2.0	2.5	3.0	3.5	4.0	5.0	(>5)	0	0*
MAXIMUM INPUT Pe	0.070	$>10^{-1}$	10^{-1}	—	5×10^{-2}	—	10^{-2}	—	5×10^{-3}	—	(10^{-3})	$<10^{-3}$	1	0.070
PRODUCTION COST ($/unit/1000)	0.060	<500	500	550	600	650	700	750	800	900	1000	(>1000)	0	0*
SYSTEM AVAILABILITY	0.030	(Prod)	—	—	Eng Proto	—	—	Lab Model	—	—	S/W Sim	Other	10	0.300
THROUGHPUT DELAY (m Sec)	0.025	<10	10	20	30	40	50	(60)	70	80	100	>100	4	0.100E
SPEAKER DEPENDENCE	0.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050
VOCABULARY DEPENDENCE	0.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050
SYSTEM "LEARNING" TIME (msec)	0.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050

OVERALL SYSTEM FIGURE OF MERIT (Fa), TOTAL 1.370

TABLE 5-3 (j)

UVD-2000 VOCODER

SYSTEM: Model UVD-2000 Voice Digitizer

SOURCE: GTE Systems, Communications Systems Division

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Ps) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	0.300	<150	150	200	250	300	400	500	600	700	800	(>800)	0	0*
INTELLIGIBILITY (% DRT)	0.250	>96	96	95	94	93	92	91	(90)	89	88	<88	3	0.750E
SIZE (in³)	0.090	<10	10	15	20	25	50	80	100	150	200	(>200)	0	0*
WEIGHT (lb)	0.080	<0.5	0.5	0.75	1.0	1.25	1.5	1.75	2.0	2.25	2.5	(>2.5)	0	0*
POWER CONSUMPTION (Watts)	0.080	<0.5	0.5	1.0	1.5	2.0	2.5	3.0	3.5	4.0	5.0	(>5)	0	0*
MAXIMUM INPUT Pe	0.070	$>10^{-1}$	10^{-1}	—	5×10^{-2}	—	10^{-2}	—	5×10^{-3}	—	(10^{-3})	$<10^{-3}$	1	0.070E
PRODUCTION COST ($/unit/1000)	0.090	<500	500	550	600	650	700	750	800	900	1000	(>1000)	0	0*
SYSTEM AVAILABILITY	0.030	(Prod)	—	—	Eng Proto	—	—	Lab Model	—	—	S/W Sim	Other	10	0.300
THROUGHPUT DELAY (m Sec)	0.025	<10	10	20	30	40	50	(60)	70	80	100	>100	4	0.100E
SPEAKER DEPENDENCE	0.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050
VOCABULARY DEPENDENCE	0.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050
SYSTEM "LEARNING" TIME (msec)	0.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050

OVERALL SYSTEM FIGURE OF MERIT (Fs), TOTAL 1.370

TABLE 5-3 (k)

CV-3832 VOCODER

SYSTEM: CV-3832 Multiple Rate Voice Terminal (MRVT)

SOURCE: GTE Systems, Communication Systems Division

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Ps) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	0.300	<150	150	200	250	300	400	500	600	700	800	(>800)	0	0*
INTELLIGIBILITY (% DRT)	0.250	>96	96	95	94	93	92	91	(90)	89	88	<88	3	0.750E
SIZE (in³)	0.090	<10	10	15	20	25	50	80	100	150	200	(>200)	0	0*
WEIGHT (lb)	0.080	<0.5	0.5	0.75	1.0	1.25	1.5	1.75	2.0	2.25	2.5	(>2.5)	0	0*
POWER CONSUMPTION (Watts)	0.080	<0.5	0.5	1.0	1.5	2.0	2.5	3.0	3.5	4.0	5.0	(>5)	0	0*
MAXIMUM INPUT Po	0.070	$>10^{-1}$	10^{-1}	—	5×10^{-2}	—	10^{-2}	—	5×10^{-3}	—	(10^{-3})	$<10^{-3}$	1	0.070E
PRODUCTION COST ($/unit/1000)	0.080	<500	500	550	600	650	700	750	800	900	1000	(>1000)	0	0*
SYSTEM AVAILABILITY	0.030	(Prod)			Eng Proto			Lab Model			S/W Sim	Other	10	0.300
THROUGHPUT DELAY (m Sec)	0.025	<10	10	20	30	40	50	(60)	70	80	100	>100	4	0.100E
SPEAKER DEPENDENCE	0.005	(NONE)										ANY	10	0.050
VOCABULARY DEPENDENCE	0.005	(NONE)										ANY	10	0.050
SYSTEM "LEARNING" TIME (msec)	0.005	(NONE)										ANY	10	0.050

OVERALL SYSTEM FIGURE OF MERIT (Fs), TOTAL 1.370

TABLE 5-3 (1)

VECTOR QUANTIZED LPC VOCODER

SYSTEM: 2400 to 800 b/s LPC Rate Converter (Vector Quantized LPC)

SOURCE: NRL with TRW Corp.

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Pp) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	0.300	<150	150	200	250	300	400	500	600	700	(800)	>800	1	0.300
INTELLIGIBILITY (% DRT)	0.250	>96	96	96	94	93	92	91	90	89	88	(<88)	0	0*
SIZE (in³)	0.090	<10	10	15	20	25	50	90	100	150	200	(>200)	0	0*
WEIGHT (lb)	0.080	<0.5	0.5	0.75	1.0	1.25	1.5	1.75	2.0	2.25	2.5	(>2.5)	0	0*
POWER CONSUMPTION (Watts)	0.080	<0.5	0.5	1.0	1.5	2.0	2.5	3.0	3.5	4.0	5.0	(>5)	0	0*
MAXIMUM INPUT P_o	0.070	$>10^{-1}$	10^{-1}	—	5×10^{-2}	—	10^{-2}	—	5×10^{-3}	—	(10^{-3})	$<10^{-3}$	1	0.070E
PRODUCTION COST ($/unit/1000)	0.060	<500	500	550	600	650	700	750	800	900	1000	>1000	--	------
SYSTEM AVAILABILITY	0.030	Prod			(Eng Proto)			Lab Model			S/W Sim	Other	7	0.210
THROUGHPUT DELAY (m Sec)	0.025	<10	10	20	30	40	50	60	70	80	100	(>100)	0	0*
SPEAKER DEPENDENCE	0.005	(NONE)										ANY	10	0.050
VOCABULARY DEPENDENCE	0.005	(NONE)										ANY	10	0.050
SYSTEM "LEARNING" TIME (msec)	0.005	(NONE)										ANY	10	0.050

OVERALL SYSTEM FIGURE OF MERIT (Fs), TOTAL 0.777R

TABLE 5-3 (m)

FRAME PREDICTIVE LPC VOCODER

SYSTEM: Vector/Matrix Quantization for Narrow-bandwith Digital Speech Compression

SOURCE: USAF RADC/ITT Defense Communications Division

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Ps) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	0.300	<150	150	200	250	300	(400)	500	600	700	800	>800	5	1.500
INTELLIGIBILITY (% DRT)	0.250	>96	96	95	94	93	92	91	90	89	86	(<86)	0	0*
SIZE (in³)	0.090	<10	10	15	20	25	50	80	100	150	200	>200	--	----
WEIGHT (lb)	0.080	<0.5	0.5	0.75	1.0	1.25	1.5	1.75	2.0	2.25	2.5	>2.5	--	----
POWER CONSUMPTION (Watts)	0.080	<0.5	0.5	1.0	1.5	2.0	2.5	3.0	3.5	4.0	5.0	>5	--	----
MAXIMUM INPUT Pe	0.070	$>10^{-1}$	10^{-1}		5×10^{-2}		(10^{-2})		5×10^{-3}		10^{-3}	$<10^{-3}$	5	0.350
PRODUCTION COST ($/unit/1000)	0.060	<500	500	550	600	650	700	750	800	900	1000	>1000	--	----
SYSTEM AVAILABILITY	0.030	Prod			Eng Proto			Lab Model			(S/W Sim)	Other	1	0.030
THROUGHPUT DELAY (m Sec)	0.025	<10	10	20	30	40	50	60	70	80	100	(>100)	0	0*
SPEAKER DEPENDENCE	0.005	(NONE)										ANY	10	0.050
VOCABULARY DEPENDENCE	0.005	(NONE)										ANY	10	0.050
SYSTEM "LEARNING" TIME (msec)	0.005	(NONE)										ANY	10	0.050

OVERALL SYSTEM FIGURE OF MERIT (Fs), TOTAL 2.942R

TABLE 5-3 (n)

COMPACT LPC VOCODER

SYSTEM: Compact, Flexible LPC Vocoder – Commercial Signal Processing Microcomputer

SOURCE: MIT Lincoln Laboratory

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Ps) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	0.300	<150	150	200	250	300	400	500	600	700	800	(>800)	0	0*
INTELLIGIBILITY (% DRT)	0.260	>96	96	95	94	93	92	91	90	(89)	88	<88	2	0.500
SIZE (in³)	0.090	<10	10	15	(20)	25	50	90	100	150	200	>200	7	0.630
WEIGHT (lb)	0.080	<0.5	0.5	0.75	(1.0)	1.25	1.5	1.75	2.0	2.25	2.5	>2.5	7	0.560
POWER CONSUMPTION (Watts)	0.080	<0.5	0.5	1.0	1.5	2.0	2.5	3.0	3.5	4.0	5.0	(>5)	0	0*
MAXIMUM INPUT P_e	0.070	$>10^{-1}$	10^{-1}	—	5×10^{-2}	—	10^{-2}	—	5×10^{-3}	—	(10^{-3})	$<10^{-3}$	1	0.070E
PRODUCTION COST ($/unit/1000)	0.060	<500	500	550	600	650	700	750	800	900	1000	(>1000)	0	0*
SYSTEM AVAILABILITY	0.030	Prod	—	—	Eng Proto	—	—	(Lab Model)	—	—	S/W Sim	Other	4	0.120
THROUGHPUT DELAY (m Sec)	0.025	<10	10	20	30	40	50	60	70	(80)	100	>100	2	0.050E
SPEAKER DEPENDENCE	0.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050
VOCABULARY DEPENDENCE	0.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050
SYSTEM "LEARNING" TIME (msec)	0.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050

OVERALL SYSTEM FIGURE OF MERIT (Fs), TOTAL 2.080

TABLE 5-3 (o)

CHANNEL VOCODER

SYSTEM: Channel Vocoder

SOURCE: MIT Lincoln Laboratory

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Ps) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	0.300	<150	150	200	250	300	400	500	600	700	(800)	(>800)	0	0*
INTELLIGIBILITY (% DRT)	0.250	>96	96	95	94	93	92	91	90	89	(88)	<88	1	0.250E
SIZE (In³)	0.090	<10	10	15	20	25	50	80	100	150	(200)	>200	1	0.090
WEIGHT (lb)	0.080	<0.5	0.5	0.75	1.0	1.25	1.5	1.75	2.0	2.25	2.5	(>2.5)	0	0*
POWER CONSUMPTION (Watts)	0.080	<0.5	0.5	1.0	1.5	2.0	2.5	3.0	3.5	4.0	(5.0)	>5	1	0.080
MAXIMUM INPUT Pe	0.070	$>10^{-1}$	10^{-1}		5×10^{-2}		10^{-2}		5×10^{-3}		(10^{-3})	$<10^{-3}$	1	0.070E
PRODUCTION COST ($/unit/1000)	0.060	<500	500	550	600	650	700	750	800	900	1000	>1000	---	-----
SYSTEM AVAILABILITY	0.030	Prod			Eng Proto			(Lab Model)			S/W Sim	Other	4	0.120
THROUGHPUT DELAY (m Sec)	0.025	<10	10	20	30	40	50	60	70	(80)	100	>100	2	0.050E
SPEAKER DEPENDENCE	0.005	(NONE)										ANY	10	0.050
VOCABULARY DEPENDENCE	0.005	(NONE)										ANY	10	0.050
SYSTEM "LEARNING" TIME (msec)	0.005	(NONE)										ANY	10	0.050

OVERALL SYSTEM FIGURE OF MERIT (Fs), TOTAL 0.862R

TABLE 5-3 (p)

FRAME FILL LPC

SYSTEM: Frame Fill LPC-10 Vocoder

SOURCE: MIT Lincoln Laboratory

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Ps) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	0.300	<150	150	200	250	300	400	500	600	700	800	(>800)	0	0*
INTELLIGIBILITY (% DRT)	0.250	>96	96	95	94	93	92	91	90	89	88	(<88)	0	0*
SIZE (in³)	0.090	<10	10	15	20	25	50	80	100	150	200	(>200)	0	0*
WEIGHT (lb)	0.080	<0.5	0.5	0.75	1.0	1.25	1.5	1.75	2.0	2.25	2.5	(>2.5)	0	0*
POWER CONSUMPTION (Watts)	0.080	<0.5	0.5	1.0	1.5	2.0	2.5	3.0	3.5	4.0	5.0	(>5)	0	0*
MAXIMUM INPUT Po	0.070	>10^{-1}	10^{-1}	—	5×10^{-2}	—	10^{-2}	—	5×10^{-3}	—	10^{-3}	($<10^{-3}$)	0	0*
PRODUCTION COST ($/unit/1000)	0.060	<500	500	550	600	650	700	750	800	900	1000	(>1000)	0	0*
SYSTEM AVAILABILITY	0.030	Prod	—	—	Eng Proto	—	—	(Lab Model)	—	—	S/W Sim	Other	4	0.120
THROUGHPUT DELAY (m Sec)	0.025	<10	10	20	30	40	50	60	70	80	100	(>100)	0	0*
SPEAKER DEPENDENCE	0.005	(NONE)										ANY	10	0.050
VOCABULARY DEPENDENCE	0.005	(NONE)										ANY	10	0.050
SYSTEM "LEARNING" TIME (msec)	0.005	(NONE)										ANY	10	0.050

OVERALL SYSTEM FIGURE OF MERIT (Fs), TOTAL 0.270

TABLE 5-3 (q)

VIS-SPEECH PROCESSOR

SYSTEM: Voice Interactive Set (VIS) - Speech Processor

SOURCE: II Speech Applications Division

PARAMETER	PARAMETER WEIGHT (Wp)	PARAMETER FIGURE OF MERIT (Fp) MAPPING											Fp	PARAMETER SCORE (Ps) (Wp x Fp)
		10	9	8	7	6	5	4	3	2	1	0		
DATA RATE (bits/sec)	0.300	<150	150	200	250	300	400	500	600	700	800	(>800)	0	0*
INTELLIGIBILITY (% DRT)	0.250	>96	96	95	94	93	92	91	90	89	(88)	<88	1	0.250
SIZE (in³)	0.090	<10	10	15	(20)	25	50	80	100	150	200	>200	7	0.630
WEIGHT (lb)	0.080	<0.5	(0.5)	0.75	1.0	1.25	1.5	1.75	2.0	2.25	2.5	>2.5	9	0.720
POWER CONSUMPTION (Watts)	0.080	<0.5	0.5	1.0	1.5	2.0	2.5	3.0	3.5	4.0	5.0	(>5)	0	0*
MAXIMUM INPUT Pe	0.070	$>10^{-1}$	10^{-1}	—	5×10^{-2}	—	10^{-2}	—	5×10^{-1}	—	10^{-3}	$(<10^{-3})$	0	0*
PRODUCTION COST ($/unit/1000)	0.060	<500	500	550	600	650	700	750	800	900	1000	(>1000)	0	0*
SYSTEM AVAILABILITY	0.030	Prod	—	—	(Eng Proto)	—	—	Lab Model	—	—	S/W Sim	Other	7	0.210
THROUGHPUT DELAY (m Sec)	0.025	<10	10	20	30	40	50	(60)	70	80	100	>100	4	0.100E
SPEAKER DEPENDENCE	0.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050
VOCABULARY DEPENDENCE	0.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050
SYSTEM "LEARNING" TIME (msec)	0.005	(NONE)	—	—	—	—	—	—	—	—	—	ANY	10	0.050

OVERALL SYSTEM FIGURE OF MERIT (F), TOTAL   2.060

TABLE 5-4

FAR-TERM SYSTEM COMPARISONS

System	F_s	Codes†	Comments
CV-3591 (ANDVT) (ITT)	0.900	*,E	
ATNNRP (Motorola)	1.330	*,E	
Manpack (Motorola)	1.920	*,E	
MNSVS (Motorola)	2.660	*,E	#2
CV-3333/U (E-Systems)	0.890	*	
CV-3333A/U (E-Systems)	1.390	*	
CV-3670/A (E-Systems)	1.390	*	
LPC-24 (E-Systems)	1.390	*,E	
MRG-2000G (GTE)	1.370	*,E	
UVD-2000 (GTE)	1.370	*,E	
CV-3832 (MRVT) (GTE)	1.370	*,E	
Vector Quantized LPC (TRW)	0.777	*,E,R	
Frame Predictive LPC (ITT)	2.942	*,R	#1
Compact LPC	2.080	*,E	#3, no card packaging included
Channel (LL)	0.862	*,E,R	
Frame Fill LPC (LL)	0.270	*,E	
VIS-Speech Processor (TI)	2.060	*	Virtually tied with compact LPC, no card packaging included

†Codes: * = Nonacceptable System
E = Estimated values used
R = A renormalized F_s for missing values

realizable for production and inclusion in a LASARS implementation. None of these combine all of the desirable attributes. The Frame Predictive algorithm along with the NRL/TRW Vector Quantized ($F_s=.777$) system and several other systems (nonavailable) listed in Table 4-2 prove that the desired data rates of 800 b/s and less are possible. The MNSVS, Compact LPC, and the VIS-Speech Processor prove that the required small size necessary in tactical aircraft is also possible. Therefore, with a slight effort at combining the appropriate technologies a small, low-rate vocoder should be possible. The Frame Predictive LPC algorithm will probably require an extra microprocessor or signal processing chip with some additional memory circuits which then could be added to a system such as the MNSVS, Compact LPC, or the VIS-Speech Processor in order to meet the desired specifications.

See the previous excerpt for a discussion on the MNSVS. Additional information on its parameters, characteristics, and chip functions is available from the Motorola Marketing Division, Vicki Crain [18] or Bruce Fette [30]. The Lincoln Laboratory Compact LPC vocoder is presented in detail in Feldman et al. [29], and from Blakenship [10], Gold [44], and Paul [100]. All of the information on the TI VIS-Speech Processor was obtained via the telephone in a private conversation with Langston [72] and is included in Chapter IV.

CHAPTER VI

CONCLUSIONS AND RECOMMENDATIONS

Summary

This research effort has identified, as thoroughly as possible, the current state of technology in vocoder research and production. It has presented an overview of LPI communications and how vocoders form a cornerstone in the LPI conceptual design study. It has described how vocoders operating within the communication link provide significant gains towards the operation of a marginal channel. The speech waveform was discussed in order to provide some insight into the problems vocoder developers have in researching low data rate voice communication methods. It then presented the seven major forms of vocoder algorithms or approaches to speech analysis/synthesis.

In this thesis, a method for quantitatively comparing one system to another was developed with a discussion of each quantitative parameter. Each system of the thirty eight identified was presented in table form. A "first-cut" elimination eliminated all of the nonavailable systems or methods. The remaining systems were individually presented and discussed.

Finally, the comparison method was applied to the available systems in order to identify those <u>most</u> suited for the brassboard

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effort to be tested in late 1986 or in 1987 and for the LASARS to be in production and implementable between 1994 and 1996.

Conclusion

At this time several vocoder systems exist either as working models or producton equipment. Of these, three have been identified as the most applicable to the brassboard effort. The objective of this phase of the LPI Comm ADP is to flight test the LPI concepts. The tests will be performed with rack-mounted equipment in the cargo section of an Air Force cargo transport-type aircraft. Considering this, the Manpack or the MNSVS are the most appropriate systems to utilize. The design of the Manpack as shown in Figure 5-3 lends itself to rack mounting. The MNSVS could be attached and then held in a holster when not being used. The ATMMRP would have to have special mounting provided in order to hold it in place.

Currently no vocoder exactly fills the needs of the far-term effort. Several options exist of which one or more will have to be implemented in order to obtain a production model vocoder to fit the LPI needs by 1993. First, the minimum specifications could be reviewed and relaxed so that current models will suffice as production equipment. This would include a detailed analysis of the applications of the LASARS to determine which parameters could or should be modified. Secondly, after the brassboard tests are concluded and if the LPI concept proves viable, a new review of vocoder technology could be conducted with a modified time schedule for LASARS production possible. Finally, additional research funds could be channeled

into the vocoder research efforts with the express purpose of com-
bining the low rate algorithms with the small size technology.

Recommendations

The recommendations included here are general in nature and
are presented as a first consideration for the LPI Comm ADP managers
and the LPI Comm Conceptual Design Study contractors. In the brass-
board implementation the Motorola Manpack should be used. The only
significant difference between it and the higher F_s scoring MNSVS are
the size, weight, and power requirements. This system should be chosen
because it is rack mountable which makes it more rugged for use in a
test environment. Procurement should probably be initiated as soon as
possible because the system exists only as an engineering prototype
model.

The recommendations for the far-term effort are somewhat
harder to make. Additional money should be spent in order to advance
the level of vocoder technology. This money should not be immediately
dedicated to Air Force contractors currently providing vocoder
research to the Air Force. Rather additional organizations such as
Motorola, E-Systems, TI, etc. should have an opportunity to bid on
this research because they have extensive speech processing research
capabilities.

PUBLICATION ABBREVIATIONS

Bell Sys Tech J - The Bell System Technical Journal

GLOBECOM - IEEE Global Telecommunications Conference Record

ICASSP - IEEE International Conference on Acoustics, Speech, and
Signal Processing Record

ICCR - IEEE International Communications Conference Record

IEE Proc - Proceedings of the Institute of Electronics Engineers

IEEE Trans ASSP - IEEE Transactions on Acoustics, Speech, and Signal
Processing

IEEE Trans AU - IEEE Transactions on Audio and Electroacoustics

IEEE Trans Comm - IEEE Transactions on Communications

J Acoust Soc Am - Journal of the Acoustical Society of America

J Audio Eng Soc - Journal of the Audio Engineering Society

Proc IEEE - Proceedings of the IEEE

Proc Sixth Inter Congr Acoust - Proceedings of the Sixth International
Congress on Acoustics

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APPENDIX A1

SPEECH BANDWIDTH COMPRESSION GAINS
IN LPI COMMUNICATIONS

An LPI communications system is an attempt to maximize the likelihood of correct reception of voice and/or data transmissions by an intended receiver while minimizing the likelihood that an intercept receiver will be able to detect the communication process in progress. This is accomplished through the use of a communications system incorporating any or all of the techniques mentioned in Chapter I. The goal is to operate at absolutely the lowest possible RF energy level necessary to convey the information to the intended receiver. As indicated by the list of technologies under investigation, this process will probably be an adaptive one, continuously changing the operating characteristics within a closed loop communications situation. The vocoder used for speech bandwidth compression is expected to yield significant gains towards the LPI capabilities of the composite LPI system.

A vocoder fits into the communications link as previously described in Chapter II. The vocoder will be utilized to replace the PCM, ADPCM, DM, etc., modems now used in digital radio systems in the source encoding portion of the system (see Figure 2-1). The source encoder outputs the vocoder data at a specific rate, R_v. The encryption process rearranges the data or combines it with a known (to the

receiver) sequence of information to prevent unwanted interpretation or decoding of the data. The channel encoder generally adds bits to the data stream proportional to the incoming rate for error protection/correction purposes. The actual number of bits added depends upon the amount of error protection desired. At this point, the output is the bit rate, R, which is the system data rate. Therefore, the vocoder establishes the overall system bit rate.

The communications channel is fixed such that a value termed processing gain, PG, is the variable directly affected by the data rate. This in turn affects the maximum coherent reception and interception ranges. By starting with the range equations, the processing gain can be derived to show the LPI gains achieved by reducing the data rate.

The coherent reception range, R_R, is defined as the maximum range at which an intended receiver may detect the communication signal. The interception range, R_I, is defined as the maximum range at which an unintended receiver may detect the communication emissions. The free space equations expressing those ranges in system parameters are given below (54).* The range for intended reception is:

$$R_R^2 = \frac{P_T t_0 G_{TR} G_{RR} \lambda^2}{(4\pi)^2 k T_R F_R L_R D_R} \quad , \qquad (A1-1)$$

---

*kTF in the denominators is actually $kT_s[\frac{T_c}{T_s} + (F-1)]$ but assuming ideal conditions $T_c = T_s$.

and the range for interception is:

$$R_I^2 = \frac{P_T G_{TI} G_{RI} \lambda^2}{(4\pi)^2 k T_I F_I L_I d_I B_I} \; ;$$

(A1-2)

where P_T is the transmitter peak power, t_0 is the coherent integration time, G_T and G_R are the appropriate transmit and receive antenna gains, respectively, λ is the carrier frequency wave length, k is Boltzman's constant, T is the appropriate receiver noise temperature, F is the appropriate receiver noise figure, L is the appropriate receiver loss figure, d is the appropriate signal-to-noise (SNR) power ratio required for detection (after coherent processing), and B is the appropriate receiver noise bandwidth.

Multiplying the numerator and demoninator of (A1-1) by the receiver bandwidth B_R, the time-bandwidth product, D_R, where:

$$D_R = t_0 B_R$$

(A1-3)

is obtained. Equation (A1-1) can now be rewritten as:

$$R_R^2 = \left[\frac{G_{TR} G_{RR} \lambda^2}{(4\pi)^2 k T_R F_R L_R d_R B_R} \right] D_R P_T \; .$$

(A1-4)

Letting the terms in brackets equal a constant, M_R, (A1-4) can be reduced to:

$$R_R^2 = M_R D_R P_T \; .$$

(A1-5)

Equation (A1-2) can be rearranged as:

$$R_I^2 = [\frac{G_{TI}G_{RI}\lambda^2}{(4\pi)^2 \, kT_I F_I L_I d_I B_I}] P_T \tag{A1-6}$$

or

$$R_I^2 = M_I P_T . \tag{A1-7}$$

The maximum operating range, R_R, is determined by system and mission requirements. For this research effort, worst-case conditions are assumed giving the situation where the coherent receiver and the interception receiver have identical system characteristics. This means that $M_R = M_I$. In actuality, these values will not be equal but because the terms of the expression are essentially constants, they will be fixed and, therefore, proportional. Equation simplification is the result of this assumption. Now, relating the coherent receiver range to the intercept receiver range yields:

$$\frac{R_R^2}{R_I^2} = \frac{M_R D_R P_T}{M_I P_T} , \tag{A1-8}$$

resulting in:

$$\frac{R_R^2}{R_I^2} = D_R . \tag{A1-9}$$

LPI communication techniques attempt to maximize this ratio. This ratio is generally much greater than one because the coherent receiver has some _a priori_ knowledge about the signal being transmitted. It knows the format of the signal and how to process it to get maximum value. This leads to the concept of _processing gain_, PG, which is an alternative designation for the time-bandwidth product, D_R. Therefore,

$$D_R = PG \qquad (A1-10)$$

is a function of the RF bandwidth and integration time or bit duration. Maximizing PG maximizes (A1-9) and, therefore, (A1-8). Relating (A1-10) to (A1-5), if R_R^2 is fixed and M_R is a constant, then maximizing D_R minimizes P_T, the required transmitter power. Reducing P_T in (A1-7) reduces the interception range, R_I^2, making the system less susceptible to interception as desired.

The processing gains can often be more clearly seen in SNR equations. It can be shown that increasing PG decrease the RF SNR required. The processing gain or time-bandwidth product is:

$$PG = t_0 B \qquad (A1-11)$$

or

$$PG = \frac{B}{R} , \qquad (A1-12)$$

where B is the receiver RF bandwidth. The RF SNR is:

$$(\frac{S}{N})_{RF} = 10 \log (\frac{s}{n}) \ ,$$

(A1-13)

where S is the RF signal power in dB, N is the RF noise power in dB, s is the RF signal power in watts, and n is the RF noise power in watts. Now:

$$s = e_b R$$

(A1-14)

and

$$n = n_0 B$$

(A1-15)

where e_b is the energy per bit, R is the data rate, and n_0 is the noise per cycle of bandwidth, then:

$$(\frac{S}{N})_{RF} = 10 \log (\frac{e_b R}{n_0 B_{RF}}) \ .$$

(A1-16)

This can be rewritten as:

$$(\frac{S}{N})_{RF} = 10 \log [(\frac{e_b R}{n_0 B_{RF}})(\frac{R}{B_{bb}})]$$

(A1-16)

where B_{bb} is the baseband bandwidth which is dependent upon the modu- lation scheme used. (Here BPSK is assumed so that $|R| = |B_{bb}|$ according to Nyquist's theory as given in [118]). Now, with

$$e_b R = s_{bb}$$

(A1-17)

and

$$n_0 B_{bb} = n_{bb} , \qquad \text{(A1-19)}$$

where s_{bb} is the baseband signal power in watts and n_{bb} is the base-
band noise power in watts, the RF SNR is given by:

$$\left(\frac{S}{N}\right)_{RF} = 10 \log \left(\frac{s_{bb}}{n_{bb}} \frac{R}{B_{RF}}\right) . \qquad \text{(A1-20)}$$

This can be rewritten as:

$$\left(\frac{S}{N}\right)_{RF} = 10 \log \left(\frac{s_{bb}}{n_{bb}}\right) + 10 \log \left(\frac{R}{B_{RF}}\right) \qquad \text{(A1-21)}$$

or alternatively

$$\left(\frac{S}{N}\right)_{RF} = 10 \log \left(\frac{s_{bb}}{n_{bb}}\right) - 10 \log \left(\frac{B_{RF}}{R}\right) . \qquad \text{(A1-22)}$$

Since the data rate, R, is the reciprocal of the time, t_0, the
second logarithmic term in (A1-22) is the time-bandwidth product in
dB. The terms in (A1-22) can be expressed as:

$$10 \log \left(\frac{s_{bb}}{n_{bb}}\right) = \left(\frac{S}{N}\right)_{bb} \qquad \text{(A1-23)}$$

which is the baseband SNR in dB, and

$$10 \log \left(\frac{B_{RF}}{R}\right) = PG \qquad \text{(A1-24)}$$

which is the processing gain in dB. Now (A1-22) can be written as:

$$\left(\frac{S}{N}\right)_{RF} = \left(\frac{S}{N}\right)_{bb} - PG . \qquad \text{(A1-25)}$$

In (A1-25) the baseband SNR is determined by the information extraction circuits of a receiver. This value is the minimum SNR required to obtain a maximum probability of correctly interpreting the data. In the final analysis, (A1-25) shows that decreasing the bit rate increases PG and correspondingly a decrease in the SNR at the receiver front end is available to allow the transmitter output power to be reduced. Therefore, vocoder data rate reductions for speech bandwidth compression are directly applicable to LPI communication systems.

APPENDIX A2

DESCRIPTION OF THE SPEECH WAVE

Speech is the acoustic end product of voluntary, formalized
motions of the respiratory and masticatory apparatus. It is a
motor behavior which must be learned. It is developed, controlled
and maintained by the acoustic feedback of the hearing mechanism
and by the kinesthetic feedback of the speech musculature. Infor-
mation from these senses is organized and coordinated by the cen-
teral nervous system and used to direct the speech function.
impairment of either control mechanism usually degrades the per-
formance of the vocal apparatus (33).

The purpose of speech analysis-synthesis systems is to effi-

ciently encode the sounds of speech, transmit and receive this encoded

signal, and decode the signal into perceptually significant sound. In

order to best understand the various analysis--synthesis techniques, a

reasonable understanding of the characteristics of the acoustic

(speech) waveform is needed. The speech waveform can be characterized

as the response of a slowly time varying system to either a quasi-

periodic or a noise-like excitation.

More specifically, the speech-production mechanism consists
essentially of an acoustic tube, the vocal tract, excited by an
appropriate source to generate the desired sound. In the case of
voiced speech sounds, the excitation corresponds to a quasi-
periodic pulse train representing the air flow through the cords
as they vibrate. The fricative sounds are generated by forcing
air through a constriction in the vocal tract, thereby creating
turbulence, which produces a source of noise to excite the vocal
tract (94).

The fricative sounds mentioned above are classed as unvoiced

speech. This category also includes plosives. The voiced sounds

include vowels, nasals, and glides. The unvoiced sounds are the modulation of a noise-like excitation with the spectral envelope. The voiced sound (vowels) are the modulation of a more-or-less periodic excitation (vocal cord vibration with fundamental frequency equal to 1/excitation period) with the spectral envelope. Figures A2-1, A2-2, and A2-3 show a relatively long segment of speech showing samples of the various types of sounds. Figures A2-4 and A2-5 depict representations of these types of sounds.

The vocal tract can be assumed to be a linear time-varying system. Now, if the vocal-tract shape is fixed, or nearly so (slowly varying), the output of the system, the speech waveform, s(t), is approximated fairly accurately as the convolution of the given excitation source, c(t), and the vocal-tract impulse response, v(t), given as

$$s(t) = e(t) * v(t) . \qquad (A2-1)$$

In other words, the Fourier transform (spectrum) of the output is the product of the spectrums of the excitation function and the vocal-tract impulse response;

$$S(f) = E(f)V(f) . \qquad (A2-2)$$

The model is limited, and various difficulties can be noted. For example, the binary voicing decision does not provide for voiced fricatives (phonemes with simultaneous periodic and aperiodic excitation and a different filter for each excitation). The period of the periodic excitation may change rapidly or may only be quasi-periodic--either of which may cause sections of a short-term spectrum to be aperiodic. The filter itself may also change quite rapidly (99).
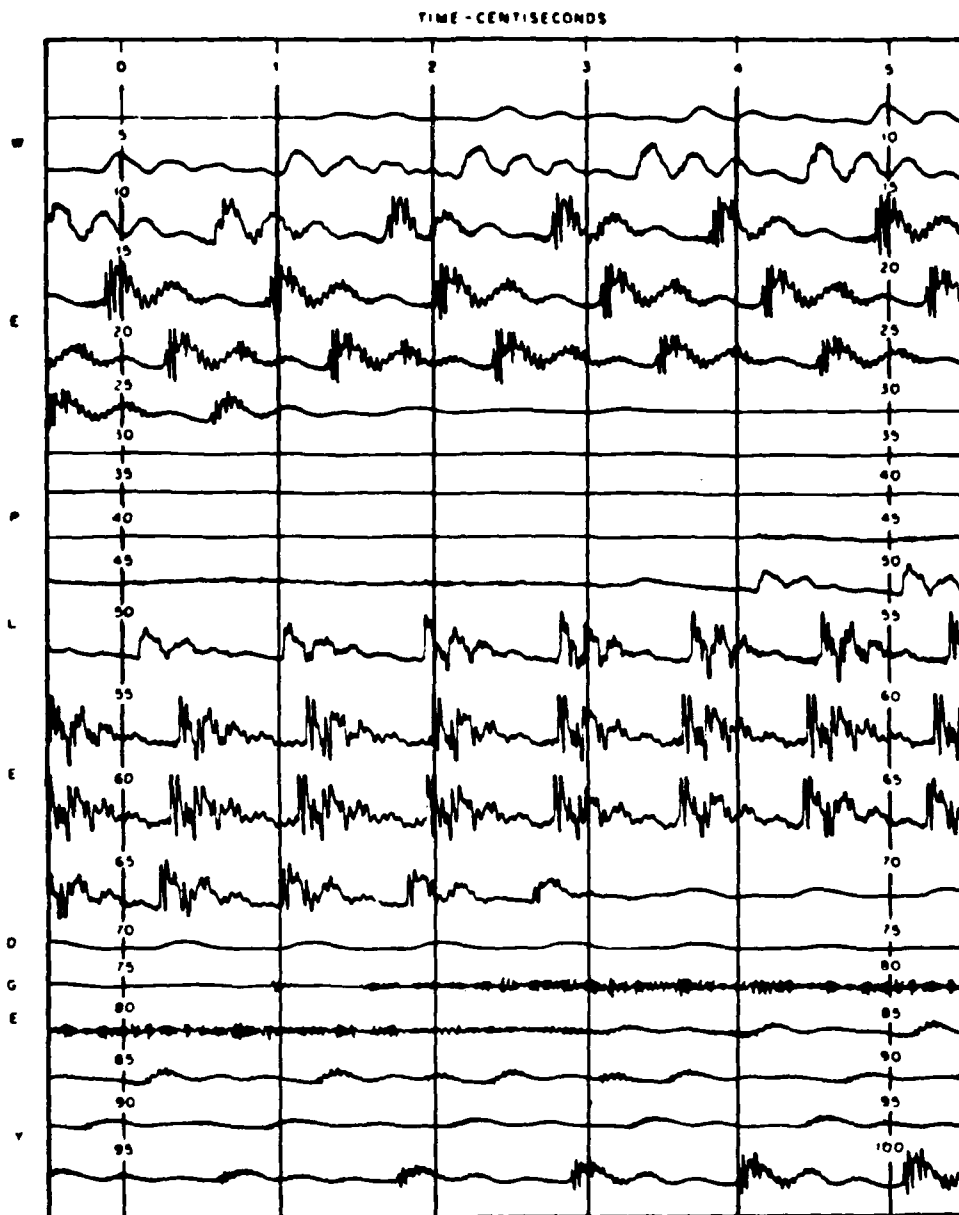
Figure A2-1. Beginning of speech waveform of the utterance
"We pledge you some heavy treasure".
(Taken from reference 42, p. 1638)
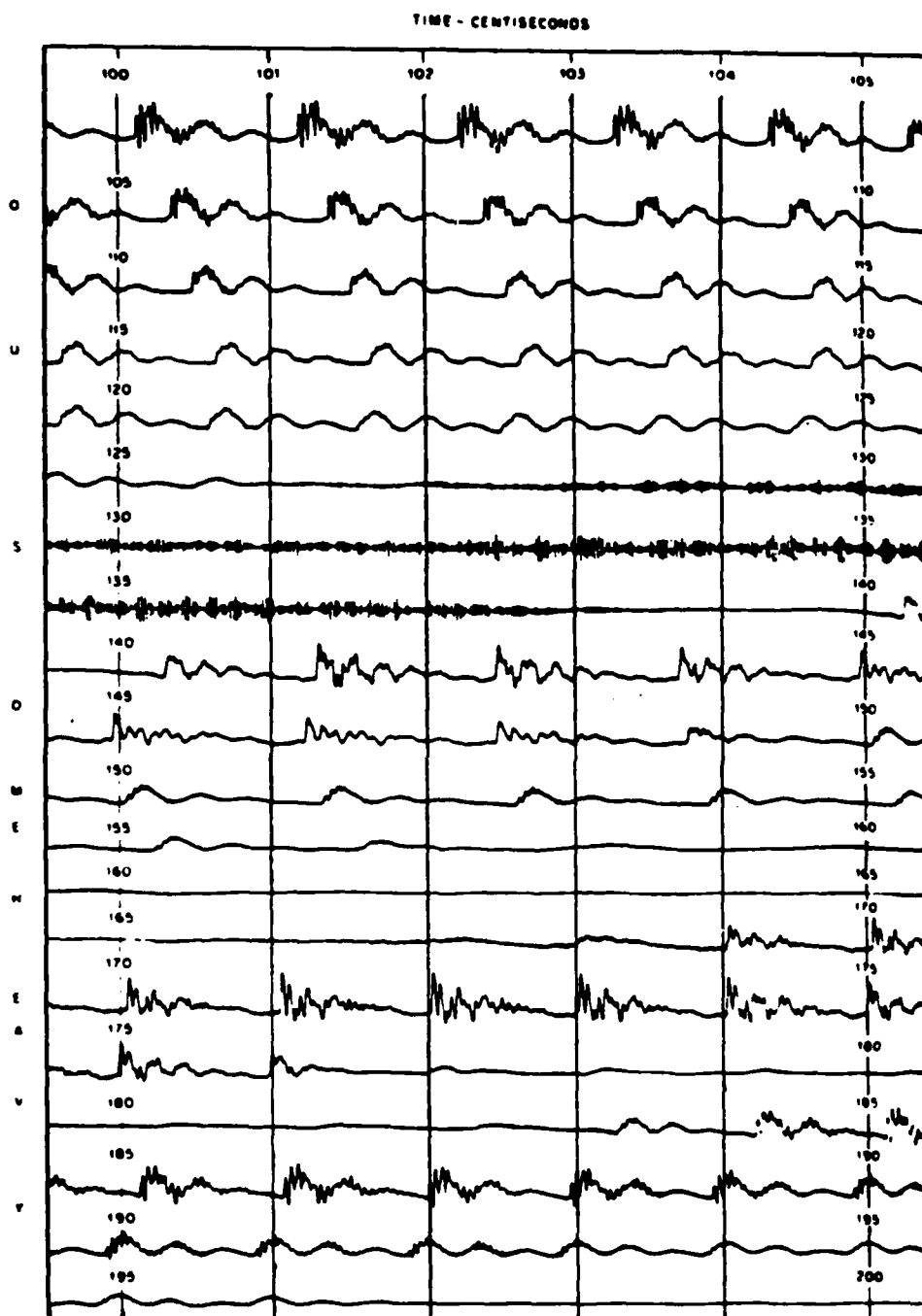
Figure A2-2. Continuation of speech waveform.
(Taken from reference 42, page 1638)
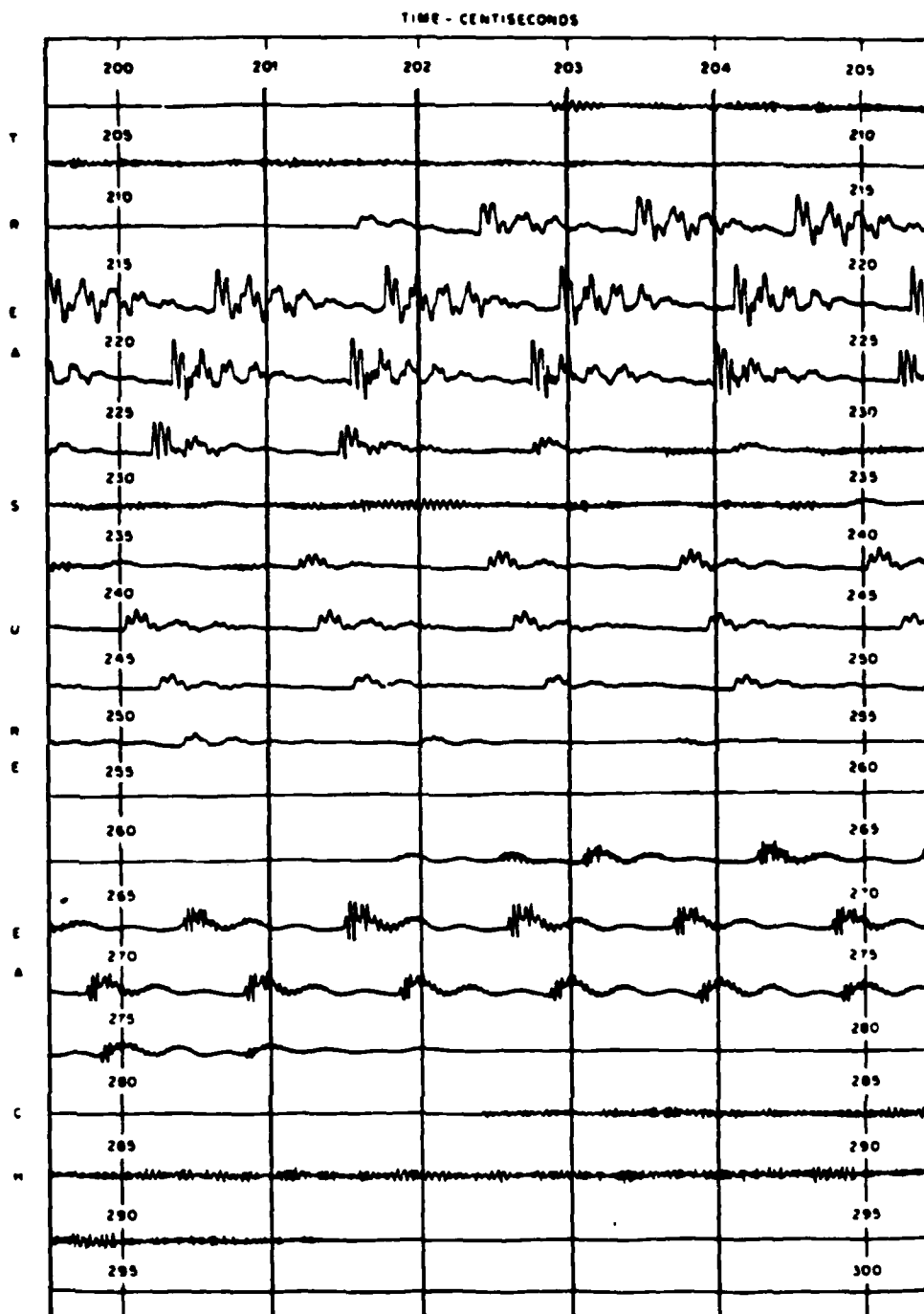
Figure A2-3. Continuation of speech waveform.
(Taken from reference 42, page 1639)

Figure A2-4. Details of network representation of vowels.
(Taken from ref. 46, page 133)
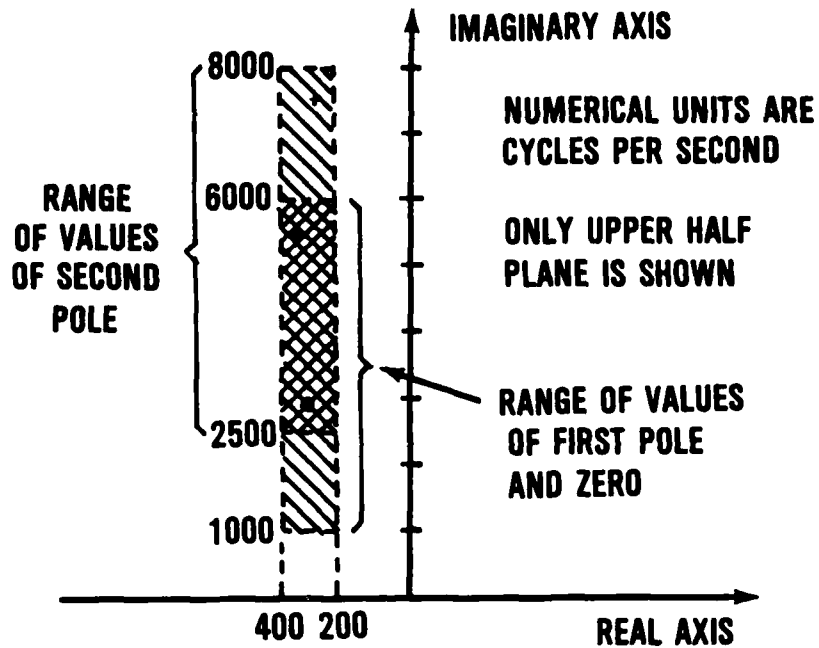
Figure A2-5. S-plane representation of voiceless
plosives and voiceless fricatives.
(Taken from ref. 46, p. 133)
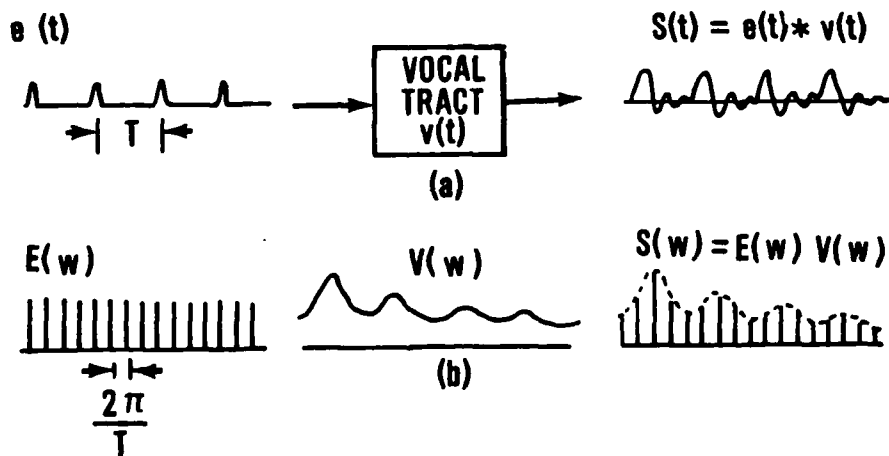
Figure A2-6. Model of speech production as the response
of a quasi-stationary linear system; (a) time-domain
characterization and (b) frequency-domain
characterization.
(Taken from ref. 33, p. 121)

Figure A2-6 depicts the convolution and the spectrum product processes.

The spectrum of the vocal tract is a smooth, slow-varying function of frequency. The relative maximums, shown in Figure A2-6b, correspond to the resonant frequencies of the acoustic cavity, commonly called formant frequencies or just formants. The slowly-varying function is generally known as the speech envelope-structure or spectral envelope, $G(f,t)$. The quasi-periodic excitation function has a period of approximately T. This produces a spectrum of pulses spaced $2\pi/T$ apart. The frequency $2\pi/T$ is the fundamental frequency or voice "pitch." The pitch is essentially constant (generally small variations) for an individual speaker but varies significantly between speakers. Pitch varies from approximately 50 Hz in adult men to about 400 Hz in women and children. The quasi-periodic function is referred to as the speech fine-structure, $F(f,t)$.

All of the different characteristics mentioned above must be determined in some form or another. The pitch is determined separately from the voiced or unvoiced information, which is determined separately from the frequency content and signal amplitude.

APPENDIX A3

DESCRIPTION OF VOCODER TECHNIQUES

There are two different concepts in speech coding. These are waveform encoding and source encoding. Waveform encoding is essentially direct sampling and encoding of the speech waveform itself. This form attempts to completely model and quantize the wave and generally requires much higher data rates than source encoding. It is usually just an A/D conversion with appropriate resolution followed by the appropriate modulation scheme. Source encoding attempts to model some aspect of the vocalization/perception process, usually the vocal tract response function and the excitation function, at fairly low data rates. Vocoders are a form of source encoder. Figure A3-1 depicts the differences in the two forms. As can be seen in the figure, each form has its own advantages and applications. The most significant of these differences is the speech quality out of the receiver. Since the data rate for waveform encoders obviously exceeds the requirements of this research effort, they will not be discussed in this text. Source encoders are generally classified by the speech analysis techniques used. They are also often classified by their physical structure or sometimes by the parameters transmitted.

A source coder or vocoder attempts to analyze and characterize a speech waveform. The system must determine the type of sound,

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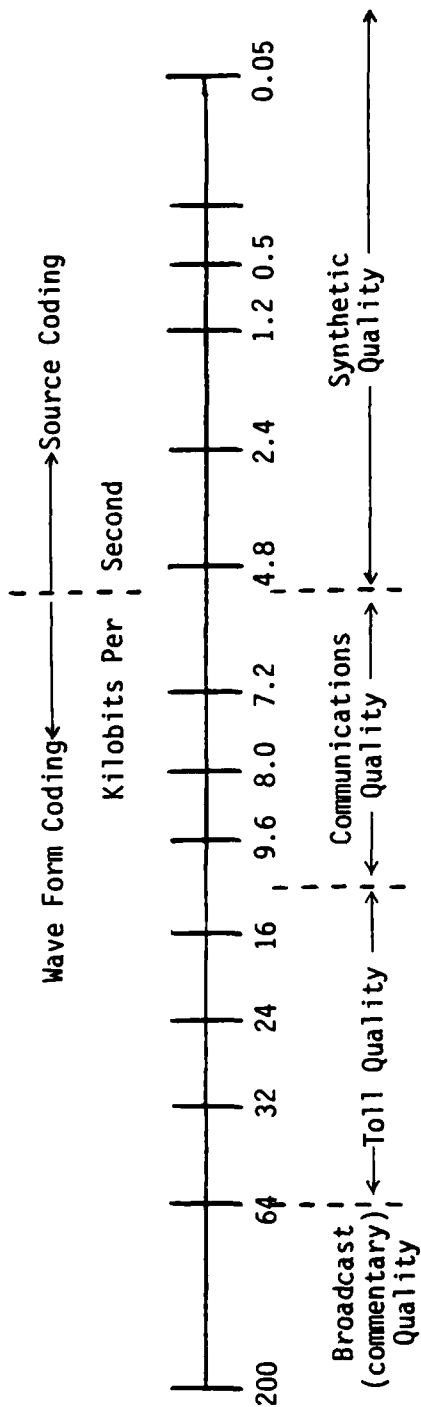
Figure A3-1. Spectrum of speech coding transmission rates (nonlinear scale) and associated quality. (Taken from ref. 34, p. 712)

voiced or unvoiced, the excitation period or pitch if voiced, and the frequency energy content of the signal. The vocoder must then characterize these parameters in such a manner that a synthesizer can input them and regenerate the speech wave as nearly identical as possible to the original wave analyzed. This appendix presents a detailed description of the seven major vocoder methods. These methods are the channel, formant, homomorphic, pattern-matching, phase, linear predictive coding, and spectral envelope estimation vocoders. Other minor techniques exist which are generally slight modifications or combinations of one or more of these major methods.

Channel Vocoder

The earliest vocoder dates back to 1928 when Homer Dudley of Bell Telephone Laboratories (115) sketched a device later to become known as the "vocoder." This early voice coder is the forerunner to what is now known as the spectrum channel vocoder or channel vocoder.

The channel vocoder is depicted in Figure A3-2. It consists of a number of channels. Each of the spectral channels shown here consists of a bandpass filter, a rectifer, and a low pass filter. The bandpass filters are established to continuously cover the desired speech bandwidth with a cut-off frequency usually between 3 kHz and 4 kHz. The end result of this series of channels is an estimate of the spectral envelope, $|G(f,t)|$. Because speech is a time-varying (only quasi-periodic) function (see Figures A2-1, A2-2, A2-3, and A2-6), infinite spectral analysis is not possible and is replaced by short-time spectral analysis. This method utilizes a time-window of
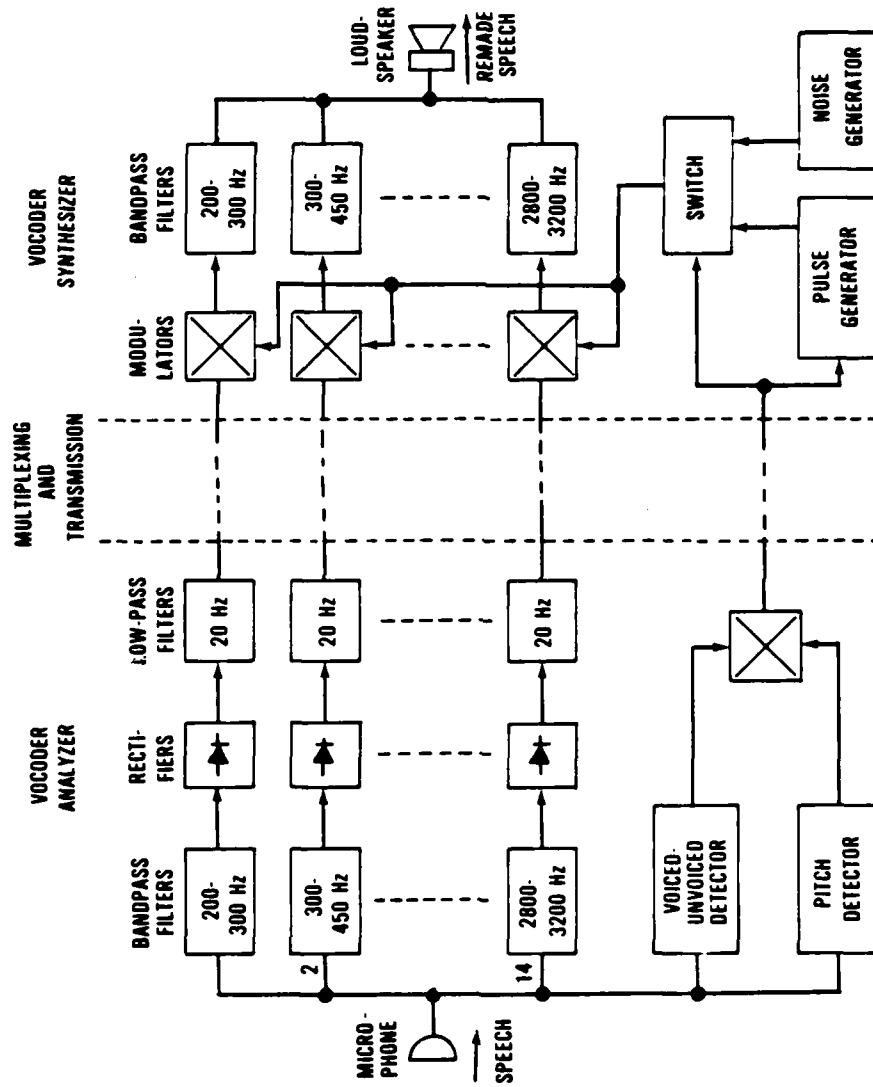
Figure A3-2. Block diagram of spectrum channel vocoder. (Taken from ref. 115, p. 724)

duration approximately equal to the shortest speech sounds. This has been determined to be approximately 40 ms. Within the window, speech is assumed to be stationary. Equation A2-2 is written as:

$$S(f,t) = E(f,t)V(f,t) \ . \tag{A3-1}$$

The spectrum can be expressed in terms of the spectral envelope, $F(f,t)$, and the spectral fine-structure, $G(f,t)$, as:

$$S(f,t) = F(f,t)G(f,t) \tag{A3-2}$$

The channel vocoder performs a short-time Fourier analysis. The Fourier transform of a discrete signal is given by:

$$X(e^{jwT}) = \sum_{n=-\infty}^{\infty} x(nT)e^{-jwnT} \ . \tag{A3-3}$$

The short-time transform is given as:

$$X(w,nT) = \sum_{r=-\infty}^{\infty} x(rT)h(nT-rT)e^{-jwrT} \ . \tag{A3-4}$$

This equation is the infinite-time Fourier transform of the speech signal seen at time nT through a time window with response h(nT) as shown in Figure A3-3. The window response h(nT) transformed is $H(e^{jwT})$. This response is usually chosen to approximate the ideal low pass filter. Filters perform the analysis on the analog signal. If the bandpass filter response is limited to:

$$h_k(nT) = h(nT) \cos (w_k nT), \tag{A3-5}$$
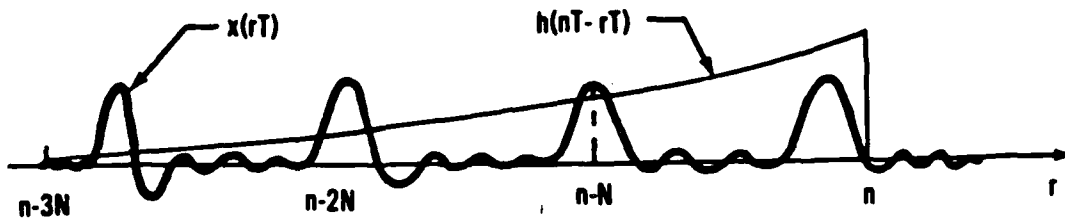
Figure A3-3. Representation of short-term spectrum analysis.
(Taken from ref. 104, p. 664)

(a)

(b)
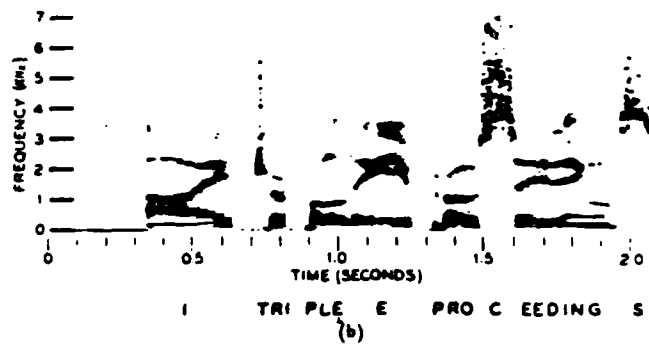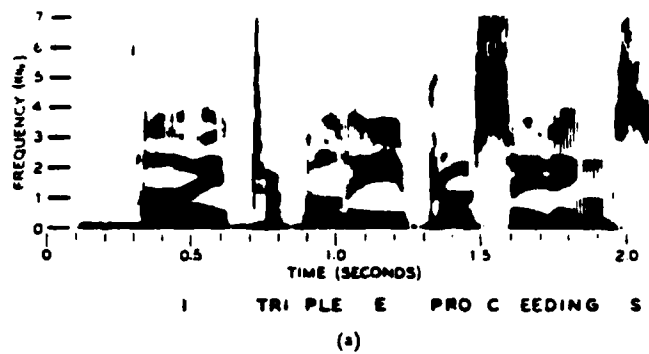
Figure A3-4. Speech spectrogram of "I triple
E Proceedings", (a) wide-band analysis
and (b) narrow-band analysis.
(Taken from ref. 115, p. 722)

then the bandpass output is given by:

$$y_k(nT) = \sum_{r=-\infty}^{n} x(rT)h(nT-rT) \cos [w_k(nT-rT)],$$

or

$$y_k(nT) = Re[e^{jw_k nT}X(w_k,nT)]. \tag{A3-6}$$

The remaining channel makes the voiced/unvoiced (V/UV) deci-
sion and if voiced extracts the pitch. If the speech is voiced, the
pitch signal sets the frequency of the pulse source and the V/UV
signal selects the pulse source excitation to be modulated with the
estimated envelope. If the sound is unvoiced, the "white" noise
source of excitation is chosen.

Obviously, controlling the number of channels helps control
the bit rate. It seems that 14 to 20 channels provides the optimum,
practical number of vocoder channels. This generally provides bit
rates between 1,000 bps and 4,800 bps with good quality (objectively
speaking) speech.

Formant Vocoder

The formant vocoder provides a somewhat more sophisticated
approach to the spectral analysis of speech than the channel vocoder.
The spectral envelope contains several prominent peaks around which
the frequency components of the speech signal are grouped. These
peaks are local resonances or resonant frequencies of the focal tract
and are known as formants. Below 3 kHz there are usually three for-
mants and below 4 kHz there are usually four or five formants. The
statement "there are usually . . . below" indicates a general location

of the formants. These spectral peaks tend to shift with the production of different sounds. Figure A3-4 shows a sample of two speech spectrograms. The formants are frequency groupings appearing in the center of the more-or-less horizontal shadings. The narrow band analysis of (b) best indicates these formant frequencies. Figure A3-5 shows the formant and pitch structure of a small portion (the segment between 1.6 and 1.7 seconds) of Figure A3-4 in more detail.

The analyzer portion of the vocoder determines and encodes the formant amplitudes, frequencies, and their associated bandwidths. Additionally, the pitch and V/UV determinations are made and encoded. Various methods exist for tracking and extracting formant information [50, 83, 85, 112]. More recent efforts [4, 50, 83, 88] have determined that spectral analysis by linear prediction is the most accurate method for formant extraction. Equations A3-37 through A3-40 describing the operation of LPC vocoders result in an error expression for the difference between the actual speech signal, $s(n)$ and the sampled speech signal, $s(n)$. Equation A3-44 is a set of simultaneous, linear autocorrelation equations. This set of equations result in a spectral analysis of the speech signal. From this spectral signal, the formant frequencies and amplitudes are determined by simple-peak picking methods. More sophisticated vocoders employ additional methods [132] for extracting formants when they tend to merge together or when "extra" peaks are identified as possible formants. These methods are usually implemented in software to effect decision-making options. Figure A3-6 and A3-7 show example block diagrams of formant vocoders.
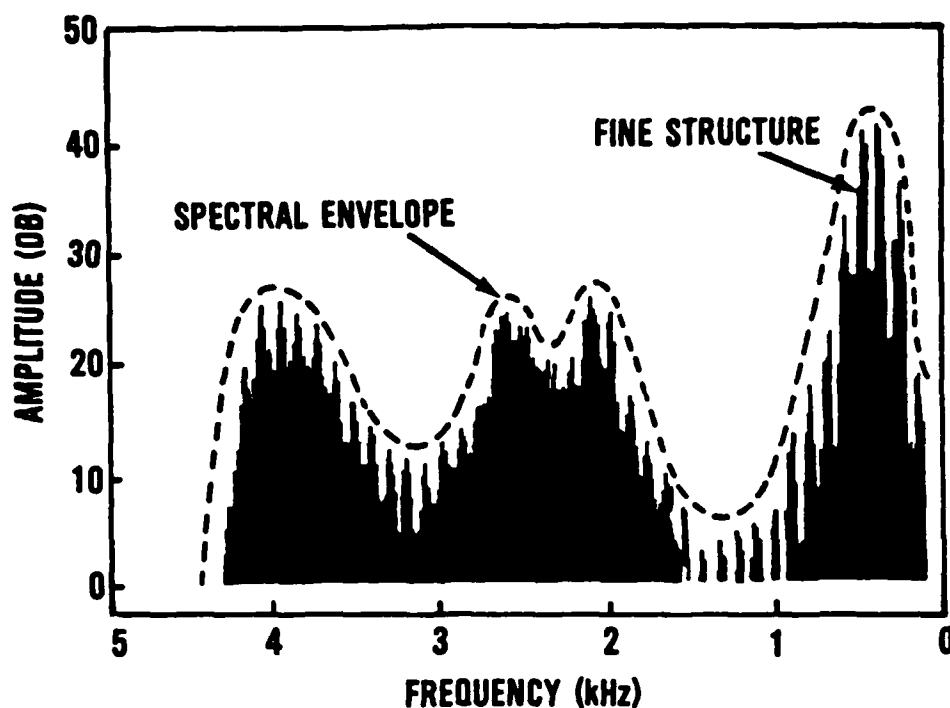
Figure A3-5. Logarithmic spectrum of "EE" sound in procEEdings.
Spectral envelope shows four peaks or formants. The fine
structure corresponds to a Fundamental frequency
of about 110 HZ.
(Taken from ref. 115, p. 722)
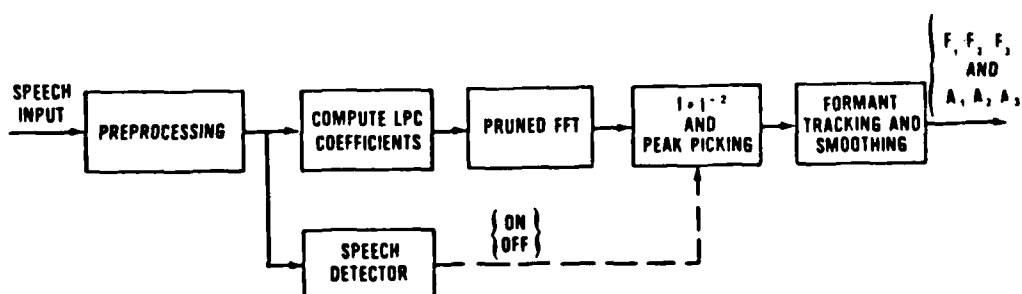
Figure A3-6.   Block diagram of the procedure for determining
formant frequencies and amplitudes.
(Taken from ref. 132, p. 346)
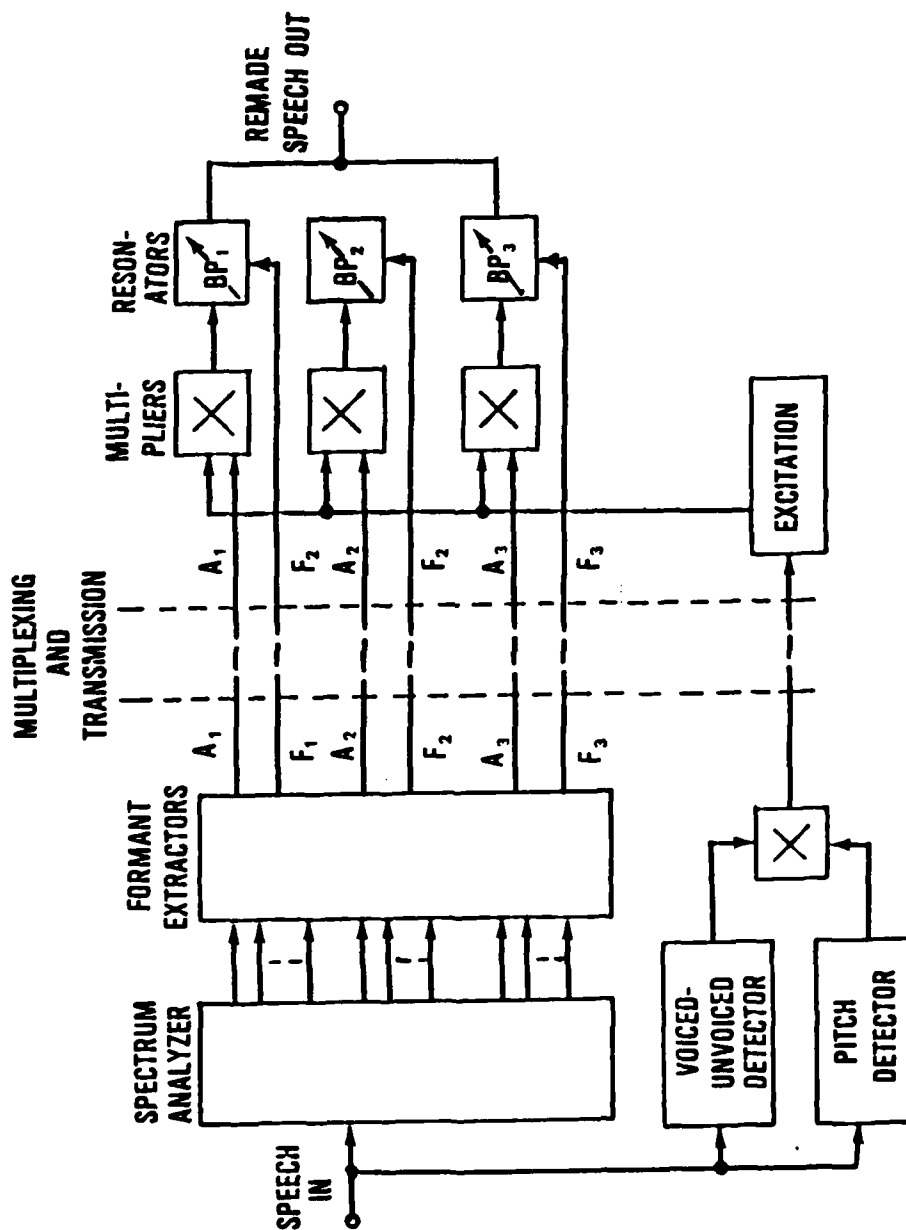
Figure A3-7.   Formant vocoder using parallel synthesis.
(Taken from ref. 115, p. 725)

A second method is based on spectral moments, such as the centroid of the signal spectrum in a formant frequency band (116). The mean frequency can be measured by dividing the speech band into sub-bands with filters and measuring the amplitude, a_n, in each. The mean frequency is then:

$$f_{mean} = \frac{\sum\limits_{n}^{N} a_n f_n}{\sum\limits_{n}^{N} a_n} \quad . \tag{A3-7}$$

where N is the number of subbands utilized. This can be performed in the time domain by:

$$f_{mean} = \frac{1}{2\pi} \frac{\overline{\left| \frac{d}{dt} s(t) \right|}}{\overline{\left| s(t) \right|}} \quad , \tag{A3-8}$$

where the bars indicate the long-time averages.

The problem with formant vocoders of this type is that usually the formant sub-bands overlap.

Analysis-by-synthesis vocoders (7) are a highly specific form of formant vocoder not utilizing the previously described analysis methods. These vocoders iteratively generate artificial spectra which are matched to the windowed segment of the speech spectrum. After matching, the formant characteristics of the spectrum generator are taken as those of the actual speech. For real-time operation, a highly complex, extremely fast computer is necessary in order to generate the spectrum iterates fast enough.

Formant vocoders use the same V/UV and pitch extraction techniques as the channel vocoders. The synthesis system uses the same pulse or noise excitation signals for remodulating the speech signal.

Homomorphic Vocoder

The homomorphic or cepstrum vocoder is an even more complex, sophisticated system of speech analysis-synthesis than those previously mentioned. These systems utilize more recent advances in FFT computation and signal deconvolution to analyze and then synthesize the voice communications. A high-resolution spectral analysis or Fourier transform is computed on a windowed segment (20-40 ms) of speech (42). Within this segment, the speech waveform is very nearly stationary, thereby allowing the application of the Fourier transform resulting in the spectrum amplitude, as in the channel vocoder. The logarithm of the spectrum amplitude is then computed. This process changes the speech waveform from a convolution of two functions into a product of two functions and finally into a sum of two functions. (The Z-transform could be utilized as readily as the Fourier transform.) The speech signal is described by:

$$s(nt) = v(nt) * e(nt), \qquad\qquad (A3-9)$$

where $s(nt)$ is the speech waveform, $v(nt)$ is the vocal tract impulse response, and $e(nt)$ is the excitation function. The spectrum is given by:

$$S(f) = V(f)E(f). \qquad\qquad (A3-10)$$

The spectrum log magnitude, $\hat{S}(f)$, is given by:

$$\hat{S}(f) = \ln[S(f)] \tag{A3-11}$$

Inserting (A3-10) yields:

$$\hat{S}(f) = \ln[V(f)E(f)] \tag{A3-12}$$

which expands into:

$$\hat{S}(f) = \ln[V(f)] + \ln[E(f)] \tag{A3-13}$$

The log magnitude of the spectrum is then transformed back into the time domain (inverse transform). This results in the ceptsrum, C(nt), a signal where the envelope function (vocal-tract response) is concentrated in the low-time values and the excitation (pitch) appears as a periodic set of lines. The cepstrum is given by:

$$c(nt) = F^{-1}[\hat{S}(f)] , \tag{A3-14}$$

therefore,

$$c(nt) = F^{-1}(\ln[V(f)]) + F^{-1}(\ln[E(f)]) . \tag{A3-15}$$

If a low-time window is multiplied with the cepstrum, a smoothed envelope is the result (cepstral smoothing). This smoothed envelope is easily quantized and transmitted to the synthesizer. Since the pitch appears as a series of pulses, the period is reasonably easy to determine. This process is depicted in Figure A3-8. Figure A3-9 shows a block diagram of a cepstrum vocoder.

Pattern-Matching Vocoder

The pattern-matching vocoder is another spectrum analysis system. It has stored within it a series of spectral patterns which
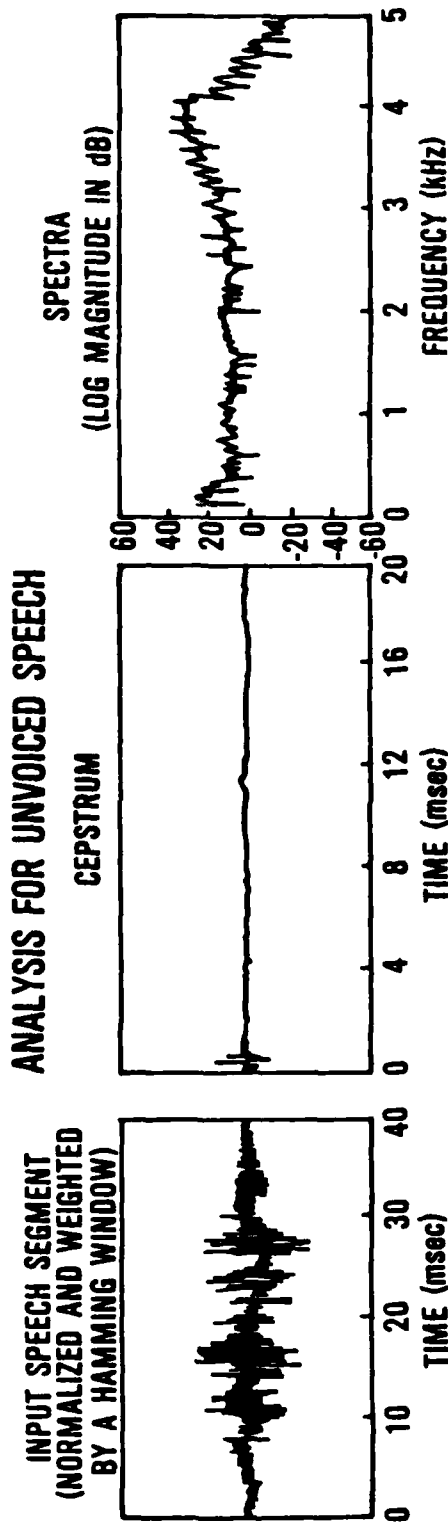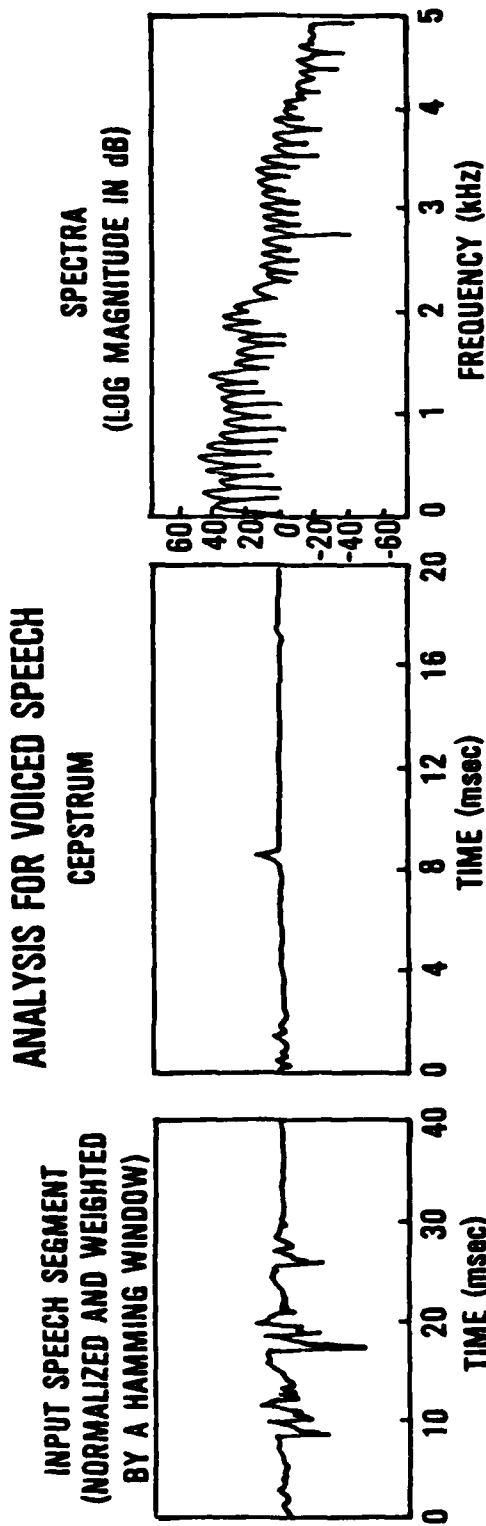
Figure A3-8. Homomorphic analysis for voiced and unvoiced speech. (Taken from ref. 104, page 690)
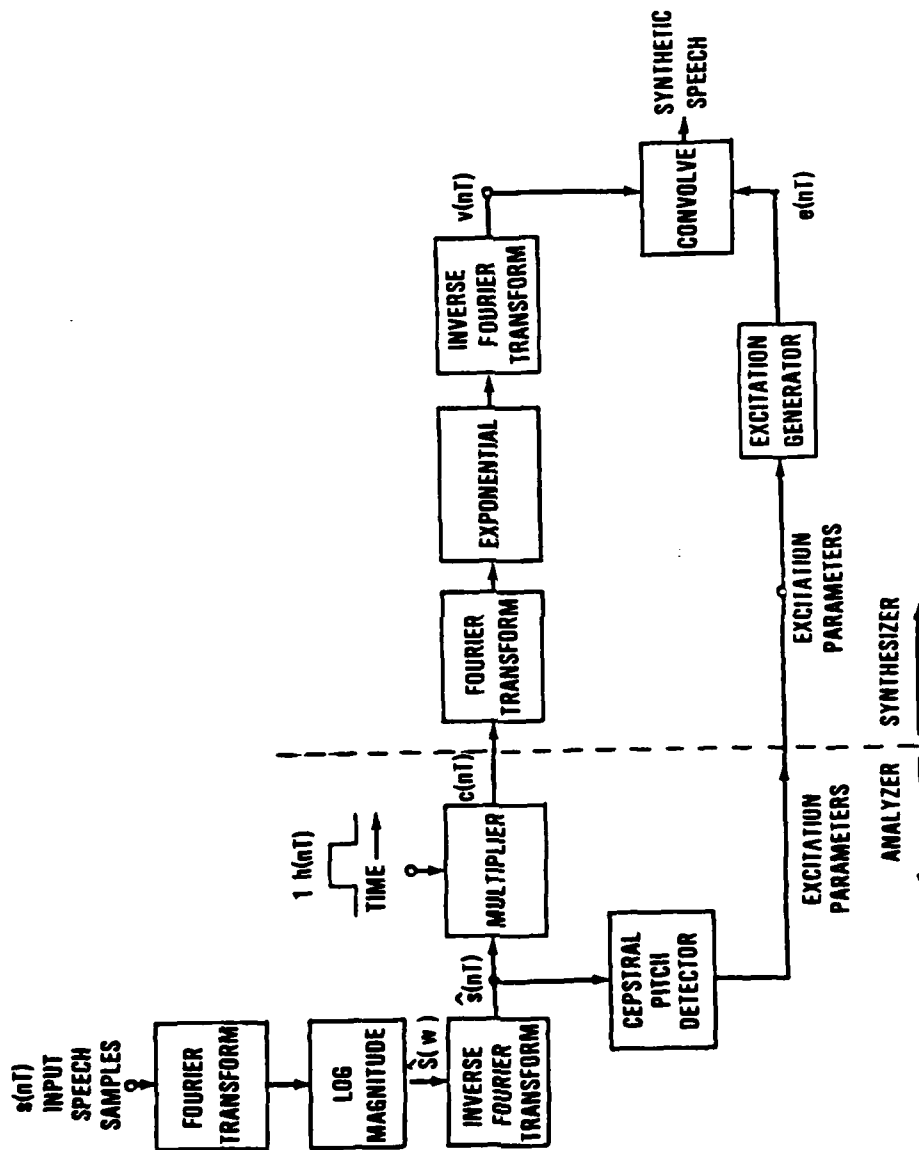
Figure A3-9. Block diagram of a homomorphic vocoder.
(Taken from ref. 42, p. 1643)

match the number of "just-discernible" sounds. The number of these patterns differs from system to system according to how the designer determined what constitutes the just-discernible sounds or according to what he determines is the minimum number of sound patterns necessary to accurately recreate the speech sounds. The pattern matching in today's digital systems is generally performed by point-by-point subtraction. The patterns to be matched can be generated by any number of other schemes. A channel-type vocoder system can be employed to generate smaller frequency elements each of which is a segment of the Fourier transform. Or a formant analysis may be performed with the formant trajectories compared to idealized formants. Cepstral analysis could also be employed. Once the speech is analyzed, it is compared to the patterns in memory. For a pattern to be a match, the error must satisfy some minimum error distance measure. For transmission, the memory location of the "best guess" pattern is transmitted. Additionally, the pitch and V/UV data must be determined and transmitted. At the synthesizer, the address is used to retrieve the "correct" pattern. This pattern is then modulated with the pitch and V/UV source to regenerate the speech sounds. With the fast microprocessors available today, this method is becoming more appealing.

Phase Vocoder

In the phase vocoder, the speech signal is represented by its complex, short-time Fourier transform or in other words by its short-time amplitude and phase spectra (35, 111). The system uses a bank of adjacent bandpass filters (channels) to perform the Fourier

analysis. After filtering, the channels are recombined with an essen-
tially insubstantial degradation. This is shown in Figure A3-10. The
output of the n-th filter is $f_n(t)$. The reconstructed, approximate
signal is given by:

$$f(t) \cong \sum_{n=1}^{M} f_n(t).$$ (A3-16)

The n-th filter has an impulse response given by:

$$g_n(t) = h(t) \cos w_n t ,$$ (A3-17)

where $h(t)$ is the impulse response of a physically-realizable low-pass
filter. The filter output is:

$$f_n(t) = f(t) * g_n(t),$$ (A3-18)

which is expanded into:

$$f_n(t) = \int_{-\infty}^{t} f(\lambda)h(t-\lambda) \cos [w_n(t-\lambda)]d\lambda$$ (A3-19)

or

$$f_n(t) = Re(e^{jw_n t} \int_{-\infty}^{t} f(\lambda)h(t-\lambda)e^{-jw_n\lambda}d\lambda)$$ (A3-20)

where the integral in (A3-20) is the short-time Fourier transform.
The transform can be expressed in terms of its amplitude and phase as

$$f_n(t) = | F(w_n,t) | \cos [w_n t + \psi(w_n,t)] ,$$ (A3-21)
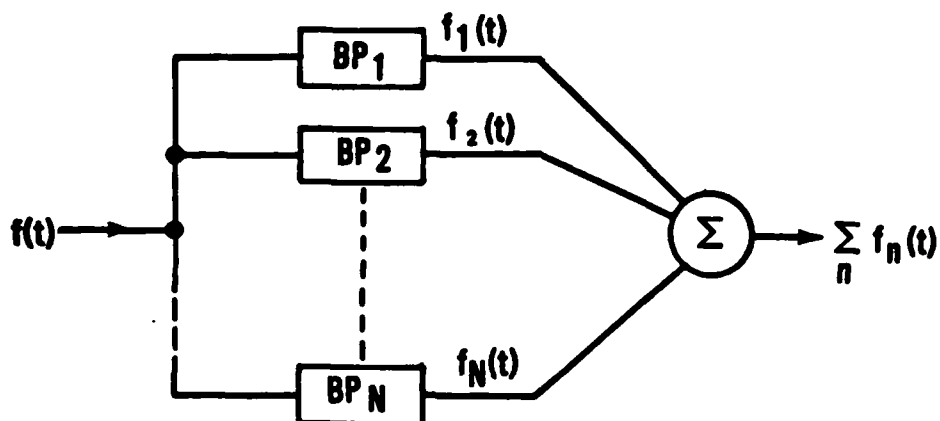
Figure A3-10.   Filtering of speech by adjacent
band-pass filters.
(Taken from ref. 35, p. 1494)
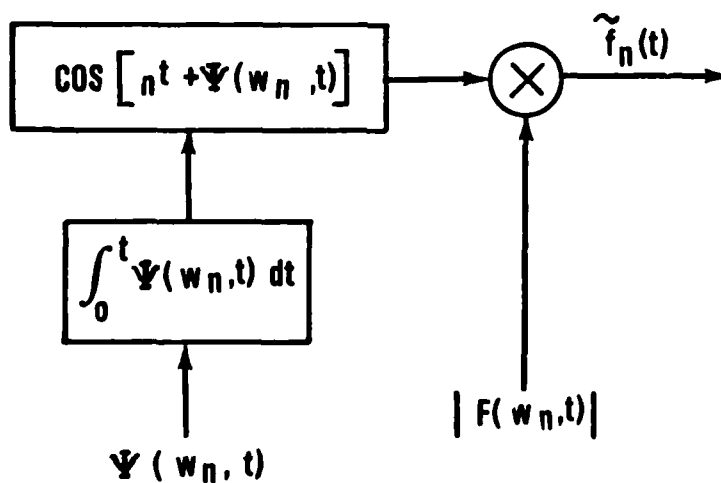
Figure A3-11.   Speech synthesis based on the
short-time amplitude and phase
derivative spectra.
(Taken from ref. 35, p. 1497)

where $F(w_n,t)$ is the complex short-time transform and $\psi(w_n,t)$ is the short-time phase spectrum. $|F(w_n,t)|$ can be bandlimited to 20 or 30 Hz without perceptual distortion. $\psi(w_n,t)$ is unbounded and therefore not suitable for transmission. The time derivative, $\dot{\psi}(w_n,t)$, is computed for transmission. Now the signal can be approximated as:

$$\tilde{f}_n(t) = |F(w_n,t)| \cos [w_n t + \tilde{\psi}(w_n,t)], \qquad (A3-22)$$

where

$$\tilde{\psi}(w_n,t) = \int_{-\infty}^{t} \dot{\psi}(w_n,t)\, dt. \qquad (A3-23)$$

(A3-23) shows how (w_n,t) is recovered to within the value of an additive constant. Because the ear is relatively insensitive to phase this phase error constant poses no serious problem.

The synthesizer reconstructs the signal by summing the outputs of n oscillators modulated in phase and amplitude from bandlimited versions of $|F(w_n,t)|$ and $\dot{\psi}(w_n,t)$. This process is shown in Figure A3-11.

A computer implementation is shown in Figure A3-12. The mathematical process utilizes the real and imaginary components of the complex spectrum

$$F(w_n,t) = a(w_n,t) - jb(w_n,t) , \qquad (A3-24)$$

where

$$a(w_n,t) = \int_{-\infty}^{t} f(\lambda)h(t-\lambda) \cos (w_n\lambda)d\lambda \qquad (A3-25)$$

and

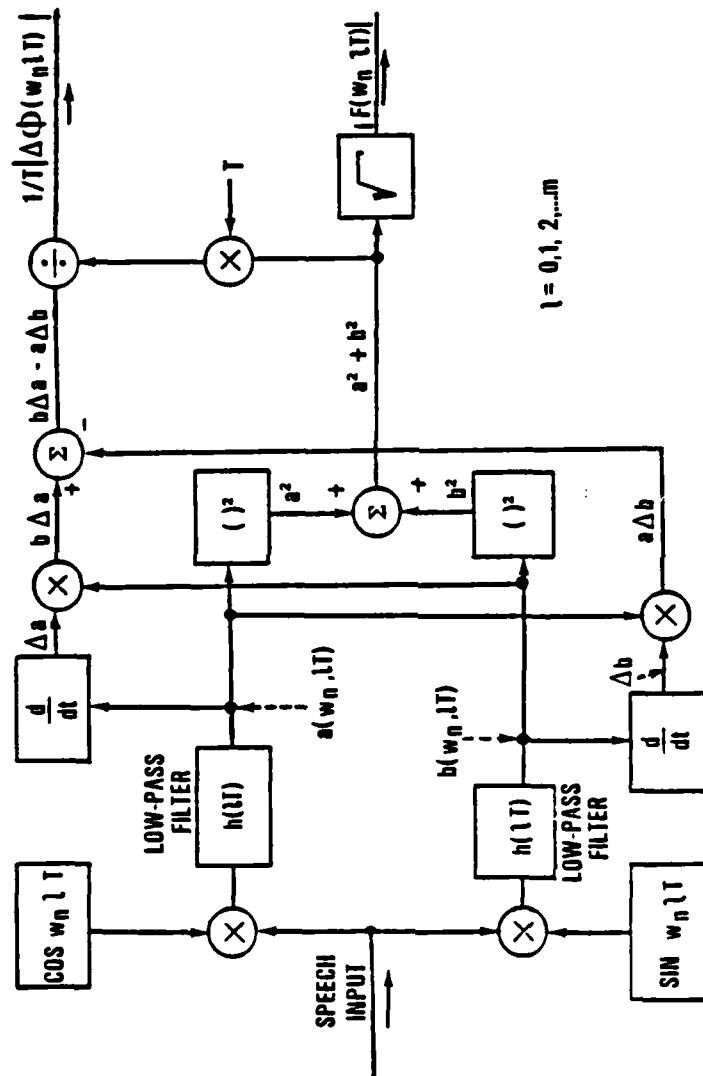Figure A3-12. Programmed operations for extracting $|F(w_n,t)|$ and $\dot{\psi}(w_n,t)$. (Taken from ref. 35, p. 1498)

$1/T|\Delta\Phi(w_n 1T)|$

$|F(w_n 1T)|$

$l = 0,1, 2,...m$

$b\Delta a - a\Delta b$

$a^2 + b^2$

$b\Delta a$

a^2

b^2

Δa

$a\Delta b$

$a(w_n,1T)$

$b(w_n,1T)$

Δb

LOW-PASS FILTER

$h(1T)$

$\frac{d}{dt}$

LOW-PASS FILTER

$h(1T)$

$\frac{d}{dt}$

COS $w_n 1 T$

SPEECH INPUT

SIN $w_n 1T$

$( )^2$

$( )^2$

Σ

Σ

T

$$b(w_n,t) = \int_{-\infty}^{t} f(\lambda)h(t-\lambda)\,\sin\,(w_n\lambda)d\lambda \qquad \text{(A3-26)}$$

Now the amplitude and phase derivatives are given by:

$$\left|\,F(w_n,t)\,\right| = (a^2 + b^2)^{1/2} \qquad \text{(A3-27)}$$

and

$$\dot{\psi}(w_n,t) = \left(\frac{a\dot{b}-b\dot{a}}{a^2+b^2}\right) \qquad \text{(A3-28)}$$

For computer implementation these equations are given by:

$$a(w_n,t) = T\sum_{l=0}^{m} f(lt)[\cos\,(w_n lt)]h(mT-lT) \qquad \text{(A3-29)}$$

and

$$b(w_n,t) = T\sum_{l=0}^{m} f(lt)[\sin\,(w_n lt)]h(mT-lT)\,, \qquad \text{(A3-30)}$$

where T is the sampling interval. The derivatives are computed as:

$$\Delta a = a[w_n,(m+1)T] - a[w_n,mT] \qquad \text{(A3-31)}$$

and

$$\Delta b = b[w_n,(m+1)T] - b[w_n,mT]\,. \qquad \text{(A3-32)}$$

The magnitude and phase derivatives in discrete form are:

$$\left|\,F(w_n,mT)\,\right| = (a^2+b^2)^{1/2} \qquad \text{(A3-33)}$$

and

$$\frac{\Delta\psi}{T}[w_n,mT] = \frac{1}{T}(\frac{b\Delta a - a\Delta b}{a^2 + b^2})$$
(A3-34)

The computer resynthesis for one channel is:

$$\hat{f}(mt) = |F(w_n,mT)| \cos (w_n mT + T_1 \sum_{l=0}^{m} \frac{\Delta\psi(w_m,lT)}{T}) .$$
(A3-35)

This is summed for all channels to recover the speech signal. This method eliminates the need for pitch and V/UV decision extraction.

Linear Predictive Coding Vocoders

Linear Predictive Coding (LPC) is, at this time, the most common method of vocoder implementation. The LPC process is usually based on autocorrelation analysis. The speech signal is highly repetitive and redundant in its features. As indicated previously, the vocal tract is a slowly varying system; this is what gives rise to the repetitiveness of speech. This characteristic is easily exploited, in that adjacent segments of the signal are highly correlated. This means that given n past samples of the waveform the next segment can be predicted with generally a high degree of accuracy. Increasing $\underline{n}$ tends to improve the prediction accuracy. These predictors are weighted values recomputed every 20-40 ms. This type of analysis is generally attempting to model the vocal tract. The most general form of this model is:

$$H(z) = G \frac{1 + \sum_{l=1}^{q} b_l z^{-1}}{1 - \sum_{k=1}^{p} a_k z^{-k}} \ . \qquad (A3\text{-}36)$$

It has been found (95) that it is sufficiently accurate to allow the numerator of H(z) to equal G, a constant gain. This results in an all-pole model.

In general terms an LPC vocoder generates the predictor coefficients. The coefficients are then used in a correlation procedure and matched with the incoming segment of speech just predicted. This results in the generation of an error signal. The next set of predictors are generated in order to minimize the error.

In LPC systems, speech is modeled by an all pole filter, H(z). Thw filter transfer function is given by:

$$H(z) = \frac{G}{1 - \sum_{k=1}^{p} a_k Z^{-k}} \ . \qquad (A3\text{-}37)$$

The frequency domain and time domain models for this process are shown in Figure A3-13. This model assumes that a frame or window of speech can be expressed as:

$$s(n) = \sum_{k=1}^{p} a_k s(n-k) + U_n \ , \qquad (A3\text{-}38)$$

where p is the number of poles, U_n is the appropriate input excitation, and the a_k's are the predictor coefficients characterizing the filter. To generate the speech signal knowledge of the pitch, filter

(b) TIME-DOMAIN MODEL

(a) FREQUENCY-DOMAIN MODEL
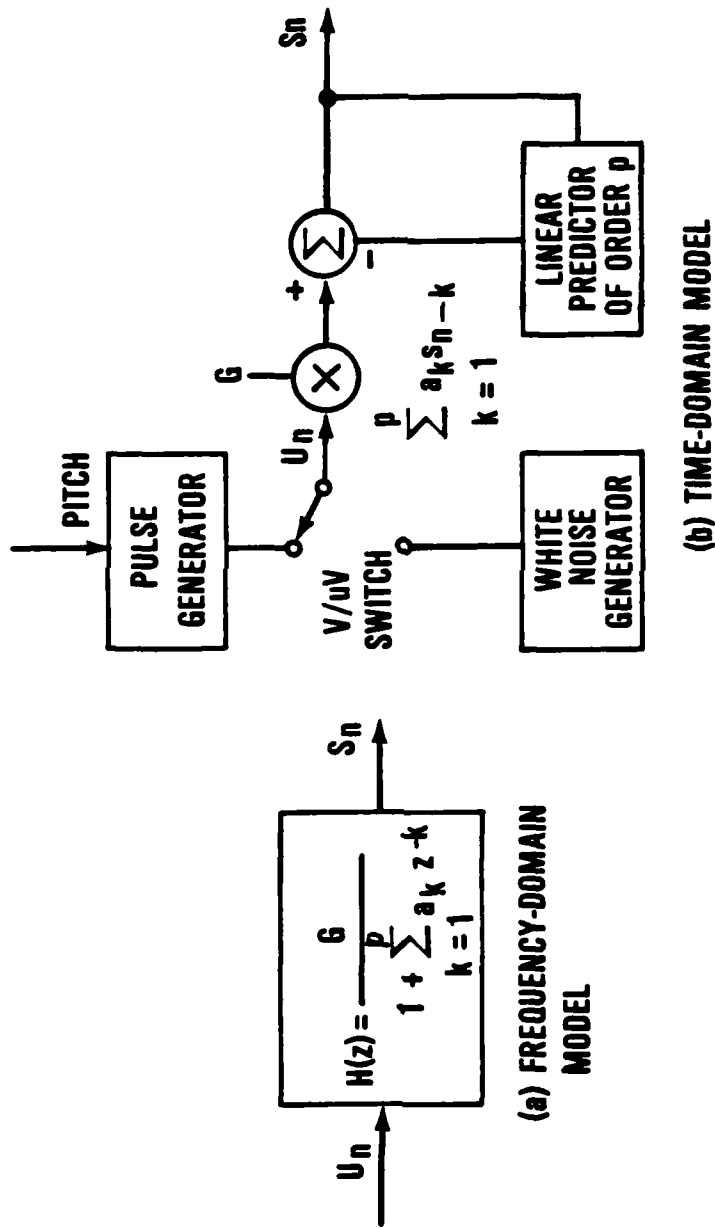
Figure A3-13. Discrete model of speech production as used in linear prediction.
(Taken from ref. 110, p. 1694)

parameters, and the gain of the filter (amplitude of input excitation) in each frame is needed (11). Filters with various numbers of poles are used, with eight to sixteen being the most common.

In (A3-38), U_n is zero except for one sample at the beginning of each pitch period. Thus, the equation becomes:

$$\hat{s}(n) = \sum_{k=1}^{p} a_k s(n-k) . \qquad (A3-39)$$

Now if the model were perfect, the speech samples, $S(n)$, would be completely predictable. This perfect model does not exist, therefore, it is necessary to define an error, $E(n)$, between $s(n)$, the sampled speech, and $s(n)$, the predicted speech. This error is given as:

$$E(n) = s(n) - \hat{s}(n) = s(n) - \sum_{k=1}^{p} a_k s(n-k) . \qquad (A3-40)$$

The mean square error is given by:

$$E_T = \langle E(n)^2 \rangle = \sum_{n=1}^{\infty} [s(n) - \sum_{k=1}^{p} a_k s(n-k)]^2 . \qquad (A3-41)$$

The a_k's are chosen so as to minimize this error. This can be done by computing:

$$\frac{\partial \langle E(n)^2 \rangle}{\partial a_j} = 0 \quad , \ j = 1, 2, \ldots , p , \qquad (A3-42)$$

yielding the set of equations:

$$\sum_{k=1}^{p} a_k \sum_{n=1}^{\infty} s(n-k)s(n-j) = \sum_{n=1}^{\infty} s(n)s(n-j), \quad j = 1, 2, \ldots, p .$$

$$(A3-43)$$

The right side of this equation constitutes an autocorrelation function, R_j, and (A3-43) can be expressed as:

$$\sum_{k=1}^{p} a_k R(j-k) = R_j , \quad 1 \le j \le p. \qquad (A3-44)$$

This set of equations can be solved recursively as follows:

$$E_0 = R_0 \qquad (A3-45a)$$

$$K_j = \frac{-(R_j + \sum_{n=1}^{j-1} a_n^{(j-1)} R_{j-k})}{E_{j-1}} , \qquad (A3-45b)$$

$$a_j^{(j)} = k_j ,$$

$$a_n^{(j)} = a_n^{(j-1)} + k_j a_{j-k}^{(j-1)}, \quad 1 \le n \le j-1, \qquad (A3-45c)$$

$$E_j = (1-k_j^2)E_{j-1} , \qquad (A3-45d)$$

The final solution is given by:

$$a_n = a_n^{(p)} , \quad 1 \le n \le p . \qquad (A3-45e)$$

The k_j's are reflection coefficients (or partial correlation coefficients). By expanding the squared terms in (A3-41) and using (A3-44), the minimum error is given by:

$$E_p = R_0 + \sum_{k=1}^{p} a_k R_k \, . \tag{A3-46}$$

Given the above derivation for error and predictor coefficients, alternate sets of transmission parameters can be derived. Given below is a list of the possible sets of parameters for characterizing uniquely the linear prediction filter H(z) (77). The denominator of H(z) is an inverse filter A(z) given by:

$$A(z) = 1 + \sum_{k=1}^{p} a_k z^{-k} \, . \tag{A3-47}$$

The various parameters suitable for transmission are the:

1. Impulse response of A(z), i.e., the predictor coefficients a_k, $1 \leq k \leq p$,

2. Impulse response of the all-pole model, h_k, $0 \leq k \leq p$, easily obtained by long division,

3. Autocorrelation coefficients of (a_k/G) given by:

$$b_n = \frac{1}{G^2} \sum_{n=0}^{p-|i|} a_n a_{n+j} \, , \quad a_0 = 1, \; 0 \leq j \leq p, \tag{A3-48}$$

4. Autocorrelation coefficients of (h_k) (partial correlation coefficients) given by:

$$r_j = \sum_{n=0}^{\infty} h_n h_{n+j} \, , \quad 0 \leq j \leq p \, , \tag{A3-49}$$

where

$$r_j = R_j \text{ in (A3-44) for } 0 \leq j \leq p \, ,$$

5. Spectral coefficients of A(z)/G, P_j, $0 \leq j \leq p$ (or equivalently, spectral coefficients of H(z), $1/P_j$ given by:

$$P_j = b_0 + 2 \sum_{n=1}^{p} b_n \cos \frac{2\pi jn}{2p+1} , \quad 0 \leq j \leq p \qquad (A3-50)$$

(this results in a Linear Prediction Channel Vocoder),

6. Cepstral coefficients (log-area-ratio coefficients) of A(z), C_k, $1 \leq k \leq p$ (or equivalently cepstral coefficients of H(z)/G, -Ck given by:

$$C_k = \frac{1}{2\pi} \int_{-\pi}^{\pi} \log A(e^{jw}) e^{jnw} dw, \qquad (A3-51)$$

or in digital form

$$C_k = a_k - \sum_{m=1}^{k-1} \frac{m}{k} C_m a_{k-m}, \quad 1 \leq k \leq p , \qquad (A3-52)$$

7. Poles of H(z) (or zeros of A(z)),

8. Reflection coefficients, K_j, $1 \leq j \leq p$ or simple transformations thereof, i.e., area coefficients given by:

$$A_k = A_{k+1} \frac{1+k_j}{1-k_j} , \quad A_{p+1}=1 , \quad 1 \leq j \leq p \qquad (A3-53)$$

(The reflection coefficients are an intermediate product of the error minimization but may be computed directly by:

$$k_j = a_j^{(j)} \qquad (A3-54)$$

$$a_n^{(j-1)} = \frac{a_n^{(j)} - a_n^{(j)} a_{j-n}^{(j)}}{1-k_j^2} \quad , \ 1 \le n \le j-1 \tag{A3-55}$$

where j takes the values p, p-1,...,1. Initially

$$a_n^{(p)} = a_n, \ 1 \le j \le p \ .) \ , \tag{A3-56}$$

and

9. The error coefficients. (If the analysis and synthesis systems are guaranteed to start at the same state, a measurement of the signal matching error can be used to adjust the synthesizer.)

Figure A3-14 shows a block diagram of a linear predictive coding system. Figure A3-15 shows a pole-zero predicter block diagram. The zero portion is not implemented. Pitch and V/UV decisions must also be supplied by the analyzer. Data transmission rates currently vary from about 1.2 kbps to 10 kbps depending upon implementation.

Spectral Evelope Estimation

The Spectral Envelope Estimation (SEE) vocoder is similar to the homomorphic vocoder in that it utilizes the log magnitude of the Fourier transform. This is shown in Figure A3-16. It starts with the assumption that speech can be modeled by:

$$S(f) = E(f,T)V(f) \ , \tag{A3-57}$$

where E(F,T) is a unity amplitude impulse train of period 1/T (the pitch period) and $|S(f)|$ is a sampling of the vocal tract response $|V(f)|$ at the points f=k/T for k=1,2,... (99). Interpolating
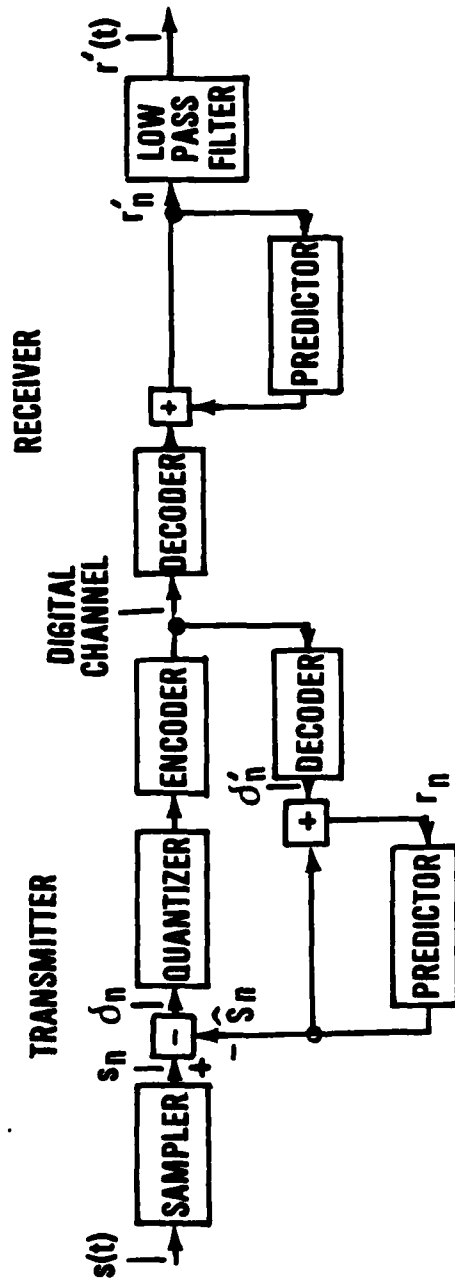
Figure A3-14. Block diagram of a predictive coding system.
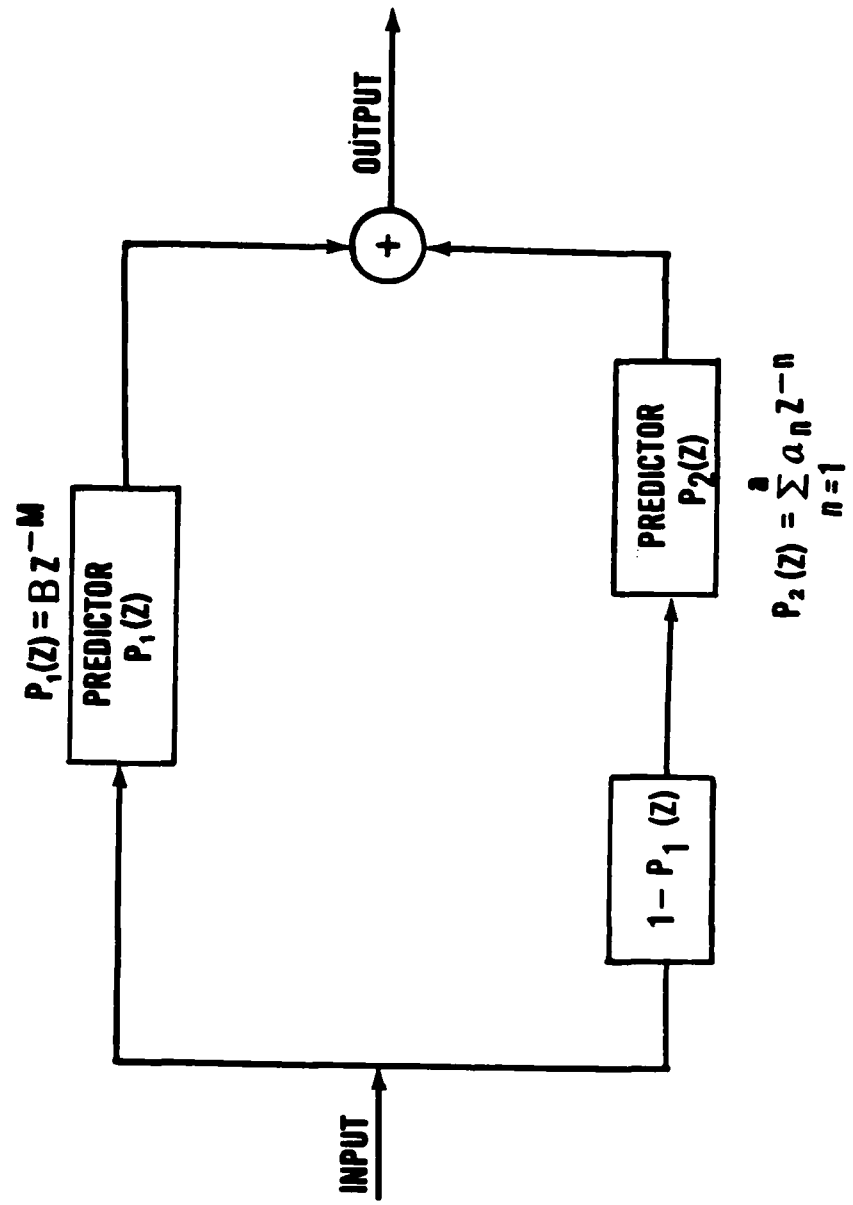(Taken from ref. 3, p. 231)

Figure A3-15. Block diagram of the predictor for speech signals. (Taken from ref. 3, p. 234)
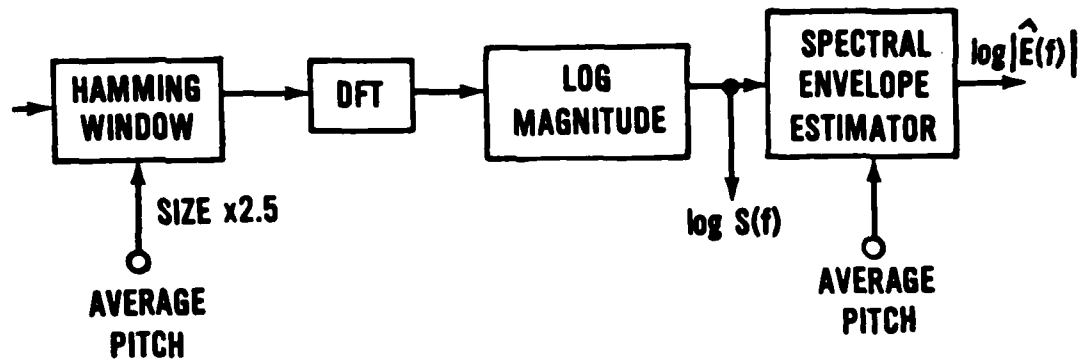
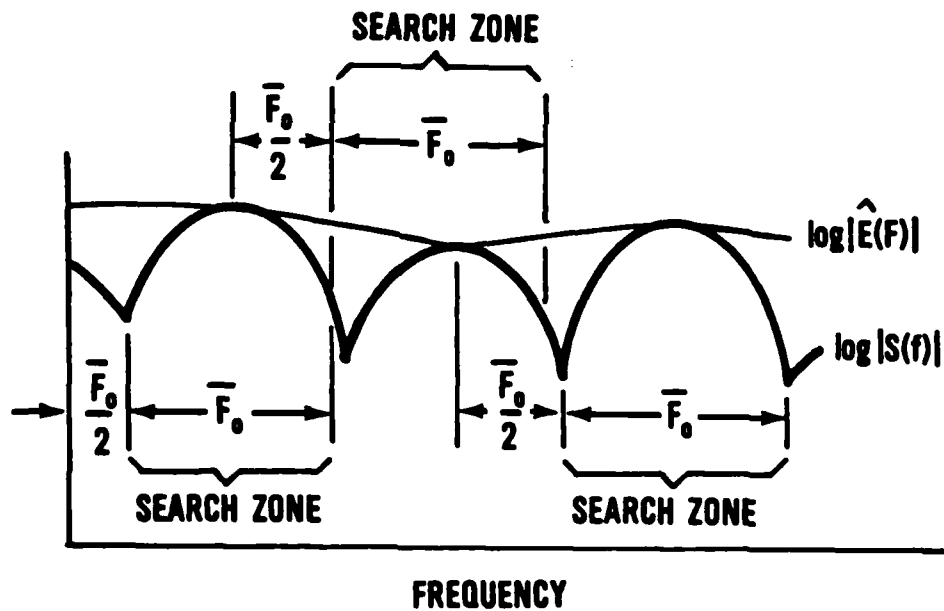Figure A3-16. Spectral analyzer structure.
(Taken from ref. 99, p. 787)

Figure A3-17. Spectral envelope estimator.
(Taken from ref. 99, p. 787)

between the sample points $\left| S(k/T) \right|$ will provide the spectral enve-lope estimation, $\left| \overline{S}(f) \right|$. If enough samples are taken, i.e., if $\left| V(f) \right|$ is reasonably smooth relative to $1/T$, the envelope estimate will approximate the ideal vocal tract response,

$$\left| V(f) \right| \cong \left| \overline{S}(f) \right| . \tag{A3-58}$$

In order to use $\left| \overline{S}(f) \right|$ as the estimate, the location of the samples, $\left| S(k/T) \right|$, must be reasonably accurate. To locate the peaks of $\left| S(f) \right|$, the speech is assumed stationary within a frame or win-dowed segment of the speech wave. The procedure for finding these peaks is shown in Figure A3-17. The system samples the pitch detec-tion signal and maintains a short-term average of the pitch, $\overline{F_0}$, a characteristics of each individual speaker. This average is given by:

$$\overline{F_0} = \frac{1}{\text{Avg. Pitch Period}} , \tag{A3-59a}$$

with

$$k=1 \text{ and } F_0 = 0 . \tag{A3-59b}$$

Next the windowed segment of $\left| S(f) \right|$ is searched for f_k such that $\left| S(f) \right|$ is maximized where:

$$f_{k-1} + \frac{1}{2} (\overline{F_0}) \leq f \leq f_{k+1} + \frac{3}{2} (\overline{F_0}). \tag{A3-59c}$$

This is repeated until the entire segment is covered. If there is a significant difference between the talker's pitch and the average pitch, confusion could occur between the peaks of the spectral lines and the spurious sidelobes caused by windowing the speech.

Once the sampling is completed, the estimate of the entire waveform of $|\mathsf{S}(f)|$ is needed. This is accomplished by interpolating between the samples $|S(f_k)|$. Since a talker's pitch varies slowly only over approximately an octave, the average pitch is assumed to be reasonably accurate so that all samples are at or near the desired peaks and linear interpolation between the samples can be used.

Now the spectral envelope estimation is the estimate of the vocal tract (filter) response given as:

$$|\mathsf{S}(f)| = |\hat{H}(f)|, \tag{A3-60}$$

and $|\mathsf{S}(f)|$ can be found from

$$
\log|\mathsf{S}(f)| =
\begin{cases}
\log|S(f_1)| & 0 < f < f_1 \\[2mm]
\log|S(f_k)| & f = f_k,\ k > 1 \\[2mm]
\dfrac{f_k - f}{f_k - f_{k-1}}\log|S(f_{k-1})| + \dfrac{f - f_{k-1}}{f_k - f_{k-1}}\log|S(f_k)|, & f_{k-1} < f < f_k,\ k > 1
\end{cases}
\tag{A3-61}
$$

The above derivation of the spectral envelope estimator is based on the assumption that the harmonics of the periodic impulse source sample the frequency response of the vocal tract filter. The spectral envelope estimator can also be viewed as an adaptive channel (filter bank) vocoder analyzer or an improvement on the homomorphic spectral analyzer. Each iteration of the spectral

line-finding heuristic selects the point in the short-term
(windowed DFT) spectrum centered on the next spectral line. Since
each point of a short-term spectrum is equivalent to the output of
a filter whose characteristics are determined by the time window,
the procedure selects an analysis filter bank that has
exactly one filter per spectral line with each filter centered on
the corresponding spectral line. The linear interpolation between
spectral points essentially only creates a smooth (spectral)
waveform so that the coder need not know about or transmit the
locations of the spectral lines (99).

The system must also provide the actual pitch and the V/UV

decision for transmission along with the SEE. Figure A3-18 shows the
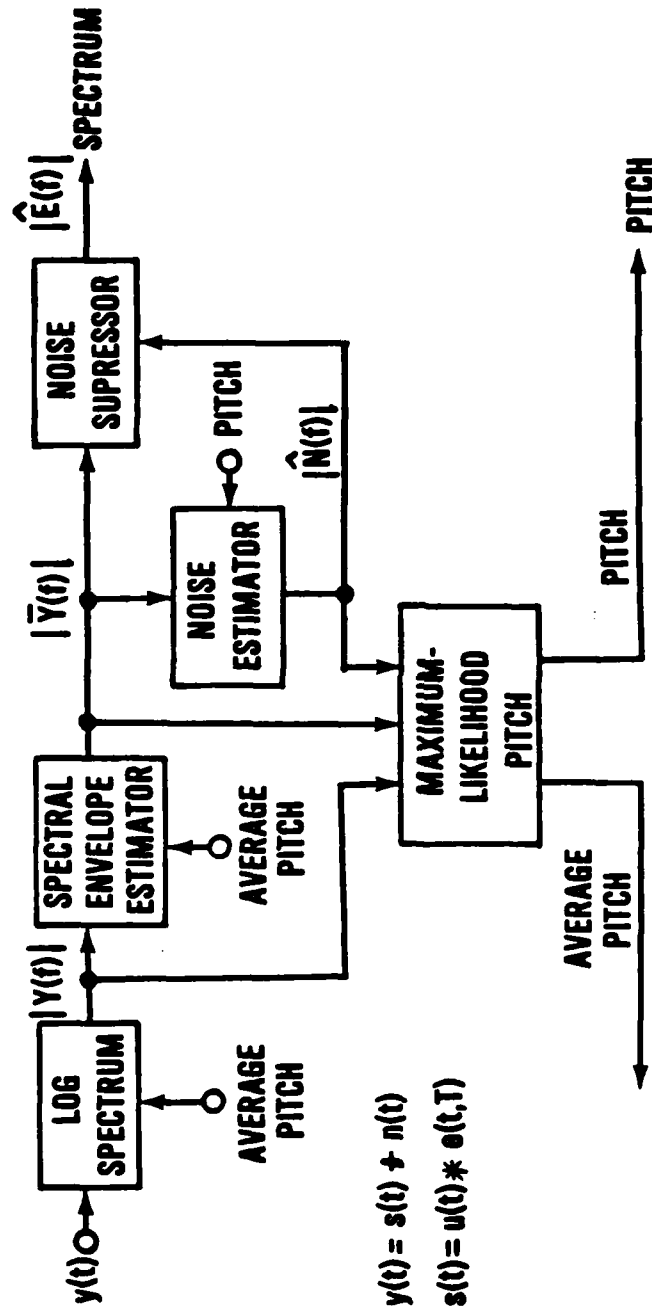
block diagram of an SEE Vocoder.

$y(t) = s(t) + n(t)$

$s(t) = u(t) * e(t,T)$

Figure A3-18. Block diagram of spectral envelope estimation vocoder. (Taken from ref. 99, 791)

END

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