**Technical Report 543** 

# MAUD: An Interactive Computer Program for the Structuring, Decomposition, and Recomposition of Preferences Between Multiattributed Alternatives

Patrick Humphreys, Ayleen Wisudha Brunel Institute of Organisation and Social Science Brunel University

**BASIC RESEARCH** 



U. S. Army

## Research Institute for the Behavioral and Social Sciences

### August 1981

Approved for public release; distribution unlimited.

# DISCLAIMER NOTICE



THIS DOCUMENT IS BEST QUALITY AVAILABLE. THE COPY FURNISHED TO DTIC CONTAINED A SIGNIFICANT NUMBER OF PAGES WHICH DO NOT REPRODUCE LEGIBLY.

# U. S. ARMY RESEARCH INSTITUTE FOR THE BEHAVIORAL AND SOCIAL SCIENCES

## A Field Operating Agency under the Jurisdiction of the

Deputy Chief of Staff for Personnel

	L. NEALE COSBY
JOSEPH ZEIDNER	Colonel, IN
Technical Director	Commander

#### NOTICES

DISTRIBUTION: Primary distribution of this report has been made by ARI. Please address correspondence concerning distribution of reports to: U.S. Army Research institute for the Behavioral and Social Sciences, ATTN: PERI-TST, 5001 Eisenhower Avenue, Alexandria, Virginia 22333.

FINAL DISPOSITION: This report may be destroyed when it is no longer needed. Please do not return it to the U.S. Army Research institute for the Behavioral and Social Sciences.

NOTE: The findings in this report are not to be construed as an official Department of the Army position, unless so designated by other authorized documents.

UNCLASSIFIED ECURITY CLASSIFICATION OF THIS PAGE (When Dete Ent	ered)			
REPORT DOCUMENTATION PA	GE	READ INSTRUCTIONS BEFORE COMPLETING FORM		
. REPORT NUMBER 2.	GOVT ACCESSION NO.	3. RECIPIENT'S CATALOG NUMBER		
Technical Report 543				
TITLE (and Subtitie)		5. TYPE OF REPORT & PERIOD COVERED		
MAUD: AN INTERACTIVE COMPUTER PROGR	RAM FOR THE			
STRUCTURING, DECOMPOSITION, AND RECO	MPOSITION	Final		
OF PREFERENCES BETWEEN MULTIATTRIBUT	TED T	5. PERFORMING ORG. REPORT NUMBER		
ALTERNATIVES		80-2		
AUTHOR(a)		- CONTRACT OR GRANT NUMBER(+)		
Patrick Humphreys		· · · · · · ·		
Ayleen Wisudha		DAERO-/8-GU14		
_				
Decision Analyses Unit		AREA & WORK UNIT NUMBERS		
Brunel Institute of Organisation and	l Social Sciende	201611028745		
Brunel University, Uxpridge Middlesey England		201011028/46		
Mudieser, migialiu		12 0500055 0455		
IL CONTROLLING OFFICE NAME AND ADDRESS		August 1981		
Standardization Group IN	ŀ	13. NUMBER OF PAGES		
Boy 65 FRO NV 00510		Q3		
4. MONITORING AGENCY NAME & ADDRESS(I dillerent in	on Controlling Office)	15. SECURITY CLASS. (of this report)		
U.S. Army Research Institute for the	Behavioral			
and Social Sciences		Unclassified		
5001 Eisenhower Avenue	F	15. DECLASSIFICATION/DOWNGRADING		
Alexandria, VA 22333				
7. DISTRIBUTION STATEMENT (of the abetract entered in )	Block 20, If different from	Report)		
IS. SUPPLEMENTARY HOTES				
S. KEY WORDS (Continue on reverse side if necessary and in	dentify by black number)			
Decision biding	louvietice			
Multisttribute Modele	comition			
Computer Aiding	Decision Making			
COMPACES ALLER DECISION NAKING				
ABETRACT (Continue on reverse olde H nesseary and Id	entity by block number)	Multistatuikuss 115272		
Decomposition (MAND) an interactive	and operation of	am for the structuring		
decomposition, and recomposition of alternatives.	preferences bet	ween multiattributed		
Main is designed as a decision	aid halming +h	a decision maker in any and		
all of the above operations MAND is of use in situations in which the user				
has an intuitive "feel" for relevant	aspects of the	decision-making (Continued)		
D 1 JAN 73 1473 EDITION OF ! NOV 65 IS OBSOLET		UNCLASSIFIED		
	SECURITY CLAS	STFICATION OF THIS PAGE (When Date En		

2.

.

Ł

Ł

Ł

UNCLASSIFIED

SECURITY CLASSIFICATION OF THIS PAGE(When Date Entered)

Item 20 (Continued)

and problem but has not as yet incovered its precise worth structure, or where we are interested in how the user's idiosyncratic worth structure is mapped onto the problem situation.

MAUD also finds its application within systems that are well structured at a macro level, that is, where overall act-event tree or utility hierarchy is known, but where the worth structure associated with particular utility assessments to be inserted at defined points within the main system needs investigation. In this case, MAUD does not address the decision problem as a whole but is used as a tool to investigate the microstructure of a component of the decomposition problem.

MAUD is designed for direct interfacing of client (decision maker, expert) and decision problems in a "hands on" approach. As such, it is designed to interact directly with the client, without using a decision analyst or technician as an intermediary. The decision analyst, in discussing the problem with the client before using MAUD, will wish to arrive at an agreed definition of the set of alternatives whose worth structure MAUD is to investigate and the goals under which the worth structure is subsumed. However, once these issues have been defined, the decision analyst is advised to let MAUD take over structuring decomposition and recomposition of preferences between alternatives in direct interaction with the user.

#### ii UNCLASSIFIED SECURITY CLASSIFICATION OF THIS PAGE(When Data Entered)

**Technical Report 543** 

## MAUD: An Interactive Computer Program for the Structuring, Decomposition, and Recomposition of Preferences Between Multiattributed Alternatives

Patrick Humphreys, Ayleen Wisudha Brunel Institute of Organisation and Social Science Brunel University

> Submitted by: Robert M. Sesmor, Director BASIC RESEARCH

> > Approved by: Joseph Zeidner Technical Director

U.S. ARMY RESEARCH INSTITUTE FOR THE BEHAVIORAL AND SOCIAL SCIENCES 5001 Eisenhower Avenue, Alexandria, Virginia 22333

> Office, Deputy Chief of Staff for Personnel Department of the Army

> > August 1981

Army Project Number 20161102B74F **Basic Research** 

Approved for public refease; distribution unlimited.

**i**ii

ARI Research Reports and Technical Reports are intended for sponsors of R&D tasks and for other research and military agencies. Any findings ready for implementation at the time of publication are presented in the last part of the Brief. Upon completion of a major phase of the task, formal recommendations for official action normally are conveyed to appropriate military agencies by briefing or Disposition Form.

MAUD: AN INTERACTIVE COMPUTER PROGRAM FOR THE STRUCTURING, DECOMPOSITION, AND RECOMPOSITION OF PREFERENCES BETWEEN MULTIATTRIBUTED ALTERNATIVES

#### BRIEF

#### Requirement:

To summarize the rationale, user procedures, and program description and provide a software program listing for the Multiattribute Utility Decomposition (MAUD) decision aid.

#### **Procedure:**

The MAUD software was developed as a demonstration of the application of heuristic devices to decision-theoretic techniques; background is provided in TR 542, "Structuring Decisions: The Role of Structuring Heuristics."

#### Findings:

This report contains a complete user manual for the operation of the MAUD program implemented on the IBM 5110; versions are available on both tape and diskette. Several examples are provided to help the user both understand the input and interpret the outputs. A decision-theoretic rationale for the MAUD algorithms with special reference to multiattribute utility theory, as well as the programming logic and operations, is summarized. Finally, a complete line-by-line program listing is included.

#### Utilization of Findings:

The MAUD program is intended to support any decision or choice problem that can be decomposed into component parts or factors and for which the decision maker is able to at least tentatively identify those factors. While decision analysts are not needed to operate the program, they would be helpful in instructing the decision maker on the program rationale and output interpretation. In its present form, MAUD is designed to help a decision maker choose among alternatives for any problem; that is, it is context free, allowing users to define the problem specifics. MAUD would be particularly helpful in teaching students a variety of military decision problems to produce decisions and be more cognizant of their own values.

VI- Blank

MAUD: AN INTERACTIVE COMPUTER PROGRAM FOR THE STRUCTURING, DECOMPOSITION, AND RECOMPOSITION OF PREFERENCES BETWEEN MULTIATTRIBUTED ALTERNATIVES

•

2

È

**L**...

•

#### CONTENTS

- . ·

....

1	?age
1. OVERVIEW	1
Organization of the Report	2
2. MAUD USER'S MANUAL	2
What MAUD Does	2 12 17
3. MULTIATTRIBUTE UTILITY THEORY RELATING TO MAUD	17
3.1 Overview	17
Decomposition-Recomposition Scheme	18
Level 2 Adequate for Riskless Choice	20
Level 2 Adequate for Risky Choice	30 35
3.6 Evaluation of Algorithms for Composition Rules from Level 2a to Level 1	39
REFERENCES	47
APPENDIX A. PROGRAM DOCUMENTATION	51
B. PROGRAM LISTING OF MAUD	59

#### LIST OF FIGURES

Figure	1.	Two situations involving preferences for outcomes where the preference orderings violate joint independence	25
	2.	Hierarchical fusion tree for attributes represented in the decomposed preference structure illustrated in section 2 .	40
	3.	BRLT for attribute dimensions 1 and 2	42
	4.	Final version of tree	43

vii

MAUD: AN INTERACTIVE COMPUTER PROGRAM FOR THE STRUCTURING, DECOMPOSITION, AND RECOMPOSITION OF PREFERENCES BETWEEN MULTIATTRIBUTED ALTERNATIVES

4

#### 1. OVERVIEW

This report describes the use and operation of Multiattribute Utility Decomposition (MAUD), an interactive computer program for the structuring, decomposition, and recomposition of preferences between multiattributed alternatives.

MAUD is designed as a decision aid, aiding the decision maker in any and all of the above operations. MAUD is of use in situations where the user has an intuitive "feel" for relevant aspects of the decision-making situation and problem, but has not as yet uncovered its precise worth structure, or where we are interested in how the user's idiosyncratic worth structure is mapped onto the problem situation.

MAUD also finds its application within systems that are well structured at a macro level, that is, where overall act-event tree or utility hierarchy is known, but where the worth structure associated with particular utility assessments to be inserted at defined points within the main system needs investigation. In this case, MAUD does not address the decision problem as a whole but is used as a tool investigating the microstructure of a component of the decomposition problem.

MAUD is designed for direct interfacing of client (decision maker, expert) and decision problems in a "hands on" approach. As such, it is designed to interact directly with the client, without using a decision analyst or technician as an intermediary. The decision analyst, in discussing the problem with the client before using MAUD, will wish to arrive at an agreed definition of the set of alternatives whose worth structure MAUD is to investigate and the goal under which the worth structure is subsumed. However, once these issues have been defined, the decision analyst is advised to let MAUD take over, structuring decomposition and recomposition of preferences between the alternatives in direct interaction with the user.

MAUD produces a log of the session that ensues,<sup>1</sup> and the decision analyst may well wish to assume a foreground role again in conducting a debriefing interview with the client at the end of the session to discuss the material in the log. The log will include the MAUD-composed holistic preference values for the alternatives under consideration and a summary of the structure and basis on which these values were computed.

MAUD also allows updates. The current structure elicited from the user, together with all relevant content, may be saved on a named file and recalled on any subsequent MAUD run. The user then has the options of modifying the structure, changing content within structure, and simulating the effects of changing value-wise importance weights within the original or modified

An example of such a log is given on pages 10-12 and 15-17.

structure. Hence MAUD can be used for exploring hypotheses about new and hypothetical alternatives, simulating different users' assessments within a common structure, exploring the effects of mapping values onto different worth structures, conducting general sensitivity analyses, and so on.

#### Organization of the Report

Section 2 is for the user. It is self-contained and written in nontechnical language. It may be separated from the rest of the report and used as a user's manual. It does not assume (or provide) any technical knowledge of decision theory, computer programming, or computer operation.

Section 3 is for the decision theorist and decision analyst who would like to know something of the theory underlying MAUD, such as why MAUD does what it does, how it does it, and how it decides when to do it. It also places MAUD in context within general Multiattribute Utility Theory (MAUT) and suggests further development.

Appendix A is for the systems analyst wishing to implement or modify MAUD on an IBM 5110, North Star Horizon, or other mini- or microcomputer. The description of the MAUD suite of programs will, however, also be of use to the decision analyst wishing to know about the detailed operations of MAUD. MAUD is modular, and so the modules can be revised, extended, and supplanted by a decision analyst who is, or has, a good systems programmer to "tune" the system to meet particular needs.

Appendix B is a complete listing of MAUD as we implemented it for the IBM 5110.

#### 2. MAUD USER'S MANUAL

The version of Multiattribute Utility Decomposition (MAUD) described here is for an IBM 5110 system. Interaction with the user is carried out using the screen for display. MAUD is made up of three interrelated programs, stored on a 3M tape cartridge that runs on the tape unit, which is an integral part of the 5110.

To run MAUD, place the MAUD tape cartridge in the slot in the 5110 front panel, and type:

LOAD! <EXECUTE>

RUN

then

#### What MAUD DOES

<EXECUTE>

2.1 MAUD will initially ask the user for a title for the session and a generic name for all items (choice alternatives) under consideration. Amendments are allowed. The following examples are taken from a MAUD session with a campaign planner (Frances) in an advertising agency who had to choose one of four videotaped prototype advertisements for development and transmission over the commercial television network.

Please type in a name for this session <u>FRANCES SECOND SESSION</u>
O.K.
Please type in a word describing the topic you want to
make a decision about by answering the question
"The alternatives I am thinking about could all be
described as <u>COLA ADS</u>
Now in singular form: Each alternative could be

O Are you reasonably happy with the words you typed? <u>YES</u>

In this and the following examples, the text has been copied from the 5110's screen, and underlines have been added to the user's responses.

2.2 The user is asked to specify choice alternatives (a minimum of 3 items, a maximum of 11). For example:

Please type in the name of a COLA AD you want to consider

Its name is <u>PARTY</u>

described as a COLA AD

When the user has specified all choice alternatives, MAUD will give a printout of all the alternatives under consideration and will ask if the user wants to make any changes.

MAUD allows the user to make several types of amendments:

to change the name of an item,
 to delete an item, and
 to add an item.

Vou have considered 4 COLA ADS COLA ADS under consideration : (1) PARTY (2) BERMUDA (3) HAIR (4) FISH AND CHIP SHOP

Do you want to change anything ? <u>No</u>

0 0

2.3 MAUD will then help the user elicit attributes relevant to the choice alternatives under consideration by presenting triads of alternatives and asking the user to specify differences and similarities among the alternatives. Those definitions will represent the poles of the attribute dimension. MAUD will allow changes if the user is not happy about the definitions given.

Can you specify a way in which one of these O Ο (1) PARTY (2) HAIR  $\cap$ (3) BERMUDA 0 is different from the other two {in a way that matters O to vou now ? Please answer YES or NO YES What is the number next to the COLA AD that differs ? 1 О You have said that PARTY is different from : HAIR BERMUDA and Ο О In not more than three words each time, please describe how the three differ from each other. 0 0 First describe PARTY PARTY is : PICKUP SITUATION 0 0 On the other hand, HAIR and BERMUDA are ESTABLISHED COUPLES Ο Are you reasonably happy with this description ? YES

2.4 The user is then asked to rate all the choice alternatives on that dimension using a 7-point scale.



2.5 Next, the user is asked to give an ideal point on the scale for that particular dimension.

О

Ο

O

O

O

Ο

О

С

Ο Thinking only about the scale below, what position on the scale would you like most of all for an IDEAL COLA AD Ο PICK UP SITUATION 5 0 З Your best possible value is : 2 4 5 to O Ь Is this alright? YES 7 8 С 9 ESTABLISHED COUPLES

2.6 After two triads of alternatives have been presented, MAUD allows the user to specify poles of dimensions directly until such time as he or she runs out of ideas or has to restructure the problem (at which time MAUD returns to presenting triads in an effort to get things going again).

Can you think of any other way that the COLA ADS differ from each other ? YES

In not more than three words each time, please describe how some of them differ from the others:

Some are : <u>DIFFERENT\_SLOGAN</u> Whereas others are : DIF<u>FERENT\_FORM\_OF\_JINGLE</u>

Are you reasonably happy with this description ? YES

MAUD will then proceed to elicit ratings on a scale between these poles, as described in steps 4 and 5.

2.7 MAUD allows the user to make several types of alterations:

(1) to change ratings of choice alternatives on the scale,

- (2) to change ratings of ideal value, and
- (3) to cancel the scale.

0

Ο

С

In the example in step 6, the two poles do not really lie on the same dimension. However, this is not realized until an attempt is made to elicit an ideal point on the scale between the poles, at which time the scale is canceled and replaced with a more appropriate scale.

0 Thinking only about the scale below, what position on the scale would you like most of all for an IDEAL COLA AD 0 DIFFERENT SLOGAN 1 23 0 Your best possible value is : 54 5 6 to 0 Is this alright? NO 7 8 Ο 7 DIFFERENT FORM OF JINGLE

.

You can
 (1) Cancel this scale (and all ratings on it)
 (2) Change your ratings on this scale
 (3) Change the position of the ideal value
 Which would you like to do?
 Please type in ln 2n or 3: 1

O Can you specify a way in which one of these
( l ) PARTY
( 2 ) FISH AND CHIP SHOP
( 3 ) BERMUDA

0

С

9

is different from the other two (in a way that matters to you now)? Please answer YES or NO What is the number next to the COLA AD that differs ? <u>1</u>

Ο 0 0

O

0

0

0

0

0

0

Ο

0	You have said that PARTY is different from :	١	)0
0	FISH AND CHIP SHOP and BERMUDA In not more than three words each time, please describe		0
0	/ how the three differ from each other • First describe PARTY PARTY is :		0
0	UNINTERRUPTED SLOGAN On the other hand FISH AND CHIP SHOP and BERMUDA	are:	0
0	INTERRUPTED SLOGAN Are you reasonably happy with this description ? YES	l	$\left( 0 \right)$

... and so on. Note that MAUD returns to using triads here because the user restructured the problem by deleting a dimension.

2.8 If the preferences between choice alternatives on any two attribute dimensions are found by MAUD to be similar to each other, MAUD will ask the user if the two scales have a similar meaning. If that is the case, MAUD will ask the user to specify a new attribute dimension that will replace those two dimensions. If it is not the case, MAUD will accept the user's verdict.

0

Ο

Ο

O

Ο

Ο

Can you think of any other way that the COLA ADS differ from each other ? YES

In not more than three words each time, please describe how some of them differ from the others:

Some are : <u>MORE EXCITING</u> Whereas others are : <u>LESS EXCITING</u>

Ο

0

 $\mathbf{O}$ 

٩

LESS EXCITING

Are you reasonably happy with this description ? YES

It should be possible to give each COLA AD Ο a rating from 1 to 9 according to its position on the scale MORE EXCITING 0 is : <u>1</u> is : <u>6</u> 1 Your rating of PARTY 2 Your rating of BERMUDA Э  $is:\overline{4}$ Your rating of HAIR Q 4 Your rating of FISH AND CHIP SHOP is :  $\overline{\underline{4}}$ 5 to Are these ratings OK ? YES L O 7 8

Ο Your preferences for the COLA ADS О under consideration in terms of their ratings on the scale ranging from UNINTERRUPTED SLOGAN to INTERRUPTED SLOGAN 0 seem very much the same as your preferences for the 0 COLA ADS in terms of their ratings on the scale ranging from MORE EXCITING 0 to LESS EXCITING Does this mean that these two scales mean similar things to you ? NO О 0K

Here MAUD found a similar pattern of preferences to those just elicited on a previously elicited dimension. However, the user decided that the two dimensions were in fact value-wise independent, and MAUD accepted this. In the next sequence, MAUD again finds two similar patterns of preferences, and this time the user decides that the relevant scales are not value-wise independent.

Can you think of any other way that the COLA ADS differ from each other ? YES In not more than three words each time, please describe how some of them differ from the others: Some are : LACKING ACTION Whereas others are : LOTS OF ACTION Are you reasonably happy with this description ? YES

0 It should be possible to give each COLA AD a rating from 1 to 9 according to its position on the scale Ο LACKING ACTION is : 7 is : <u>7</u> is : <u>7</u> is : <u>7</u> Your rating of PARTY 2345 Your rating of BERMUDA 0 Your rating of HAIR Your rating of FISH AND CHIP SHOP to Are these ratings OK ? YES 8

LOTS OF ACTION

О

Ô

О

0



MAUD then restructures the problem by deleting the offending dimensions and invites the user to replace them by a new dimension that expresses the meaning common to both the deleted ones.

0

0

0

С

Please type one or more words on the same line which could Ο replace both MORE EXCITING and LOTS OF ACTION Your new word(s) ? O INVOLVING 0 Now please type one or more words on the same line which could replace both LESS EXCITING and LACKING IN ACTION O Your new word(s) : NOT INVOLVING

0 It should be possible to give each COLA AD О a rating from 1 to 9 according to its position on the scale 0 Ο INVOLVING is : <u>1</u> is : <u>6</u> is : <u>3</u> is : <u>4</u> Your rating of PARTY 1 2 Your rating of BERMUDA 0 0 3 Your rating of HAIR 4 Your rating of FISH AND CHIP SHOP 5 Are these ratings OK ? YES to C 0 L 7 8 9 Ο NOT INVOLVING

2.9 When the user has specified two or more attribute dimensions, MAUD will, if required, give a summary of progress to date.

Here is a summary of Frances' progress at the time she had specified eight attribute dimensions:

bould you like to be reminded of the information you have put in so far? YES

The summary is shown reduced, as it was printed out on the 5110's printer, below and on the next two pages.

**\*\*\*\*\* SUMMARY FOR FRANCES SECOND SESSION \*\*\*\*\*** 

COLA ADS UNDER CONSIDERATION : -(1) PARTY

(2) BERMUDA

(3) HAIR

(4) FISH AND CHIP SHOP

ATTRIBUTE DIMENSIONS USED

(1) PICKUP SITUATION (1).....TO..... ESTABLISHED COUPLES (9) IDEAL VALUE = 2

(2) WITH BETTER JOKES (1)TO WITH BORING JOKES (9) IDEAL VALUE = 1
(3) DIFFERENT SLOGAN (1)TODIFFERENT FORM OF JINGLE (9) (RATINGS CANCELLED ON THIS SCALE) (AFTER TRYING TO ELICIT IDEAL POINT)
(4) UNINTERRUPTED SLOGAN (1)TO INTERRUPTED SLOGAN (9) IDEAL VALUE = 2
(5) MORE EXCITING (1)TO LESS EXCITING (9)
(DIMENSION CANCELLED BECAUSE OF SIMILARITY WITH DIMENSION L )
(L) LACKING ACTION (L)TO LOTS OF ACTION (9)
(DIMENSION CANCELLED BECAUSE OF SIMILARITY WITH DIMENSION 5 )
(?) INVOLVING (1)TO NOT INVOLVING (9) IDEAL VALUE = 1
(8) APPEALING TO BOYS ONLY (1)TO APPEALING TO BOYS AND GIRLS (9) IDEAL VALUE = 7
RATINGS OF COLA ADS ON ATTRIBUTE DIMENSIONS
COLA AD L 2 3 4 ATTRIBUTE

DIMENSION (1) 1.00 6.00 5.00 2.00 VALUE .75 .00 .25 1.00

•

- (2) 3.00 7.00 5.00 2.00 VALUE .60 .00 .40 1.00
- (3) 5.00 5.00 5.00 3.00 (RATINGS CANCELLED)
- (4) 1.00 9.00 9.00 9.00 VALUE 1.00 .00 .00 .00
- (5) 1.00 6.00 4.00 4.00 VALUE 1.00 .00 .40 .40 (RATINGS CANCELLED BECAUSE OF SIMILARITY TO 6 )
- (L) 7.00 2.00 5.00 4.00 VALUE 1.00 .00 .60 .40 (RATINGS CANCELLED BECAUSE OF SIMILARITY TO 5 )

(7)	VALUE	7-00 7-00	6.00 .00	.60 3.00	4.00 .40
•.					

(8) 6.00 5.00 2.00 3.00 VALUE 1.00 .75 .00 .25

#### ### END OF SUMMARY ###

О

0

#### 2.10 Investigation of Preference Structure

When the user thinks that he or she has specified the requisite attribute dimensions in forming the preference structure, MAUD is ready to investigate the relative weights of attribute dimensions in determining preferences among lotteries. This is usually done by constructing reference gambles, or "basic reference lottery tickets" (BRLTs), which allows MAUD to determine how the user trades off values on attribute dimensions. A discussion of the theory behind this technique, and its superiority over other techniques, can be found in section 3.6. Here we present only an example of the major steps involved for Frances to determine her preference ordering of cola advertisements.

Ο

O

Do you think you have now worked through enough of the main ways of describing similarities and differences between the COLA ADS think are important ? YES

Do you want to investigate your preferences among the
COLA ADS on the basis of the similarities
and differences you have described so far ? YES

Would you like to assume that the various ways you have used to describe the COLA ADS are equally important in determining your preferences ? <u>NO</u>

MAUD now constructs and displays the BRLTs.



Option A is a compromise cola ad (best on one dimension, worst on the other). Option B represents a gamble with a 90% chance to get an advertisement that is best in both dimensions and a 10% chance to get an advertisement that is worst on both dimensions. So long as option B is preferred, the chance of best advertisement by choosing option B is adjusted progressively downward by MAUD until it becomes so unattractive that option A is preferred. For Frances, this happened at the following point:

Ο Imagine you had to choose between OPTION B A 70o/o chance to get a and OPTION A COLA AD that is Ο as WITH BETTER JOKES A 1000/o chance to get a as FISH AND CHIP SHOP COLA AD that is and as PICKUP SITUATION Ο as WITH BETTER JOKES as FISH AND CHIP SHOP Ο as FISH AND CHIP SHOP AND a 300/o chance to get instead but that is also a COLA AD that is Ο as ESTABLISHED COUPLES as WITH BORING JOKES 0 as BERMUDA as BERMUDA ....for sure and as ESTABLISHED COUPLES С as BERMUDA WHICH WOULD YOU PREFER: A OR BPA ARE YOU SURE? YES

. Frances had five (nondeleted) dimensions in her preference structure, and MAUD had to construct four (=5-1) BRLTs in order to fully investigate her preferences. The other three BRLTs are shown next. In each case the percentages shown in option B are those at which Frances started to prefer option A.

)

Þ



14

**P**...

That is the end of the questions needed to investigate your preferences among the COLA ADS under consideration.

MAUD then gives the user a summary, similar to that described in section 2.9, except that value-wise importances (relative weights of attribute dimensions, calculated from the BRLTs) are included, as are the preference values for the choice alternatives. A preference value of 1.0 indicates that an alternative is at least as good as all other alternatives on all dimensions, whereas a preference value of 0.0 indicates that an alternative is at least as bad as all other alternatives on all attribute dimensions. Intermediate values may be interpreted pro rata.

The summary MAUD provided for Frances at the end of the session from which the above examples were taken is reproduced below:

#### **\*\*\*\*\*** SUMMARY FOR FRANCES SECOND SESSION **\*\*\*\*\***

COLA ADS UNDER CONSIDERATION : -(1) PARTY PREFERENCE VALUE = .978

(2) BERMUDA PREFERENCE VALUE = .275

N.

(3) HAIR PREFERENCE VALUE = .307

(4) FISH AND CHIP SHOP PREFERENCE VALUE = .377 CURRENT PREFERENCE ORDERING (FROM BEST TO WORST PREFERENCE VALUES ARE GIVEN IN BRACKETS)

BEST PARTY( .98) FISH AND CHIP SHOP( .38) HAIR( .31) BERMUDA( .28) WORST

### END OF SUMMARY ###

#### ATTRIBUTE DIMENSIONS USED

- (1) PICKUP SITUATION (1).....TO..... ESTABLISHED COUPLES (9) IDEAL VALUE = 2 RELATIVE IMPORTANCE = .026
- (2) WITH BETTER JOKES (1).....TO..... WITH BORING JOKES (9)
  . IDEAL VALUE = 1
  . RELATIVE IMPORTANCE = .079

(3) DIFFERENT SLOGAN (1).....TO..... DIFFERENT FORM OF JINGLE (9) (RATINGS CANCELLED ON THIS SCALE) (AFTER TRYING TO ELICIT IDEAL POINT)

- (4) UNINTERRUPTED SLOGAN (1).....TO..... INTERRUPTED SLOGAN (9) IDEAL VALUE = 2 RELATIVE IMPORTANCE = .079
- (5) MORE EXCITING (1).....TO.....LESS EXCITING (9) IDEAL VALUE = 1 (DIMENSION CANCELLED BECAUSE OF SIMILARITY WITH DIMENSION L )
- (LACKING ACTION (L).....TO......LOTS OF ACTION (9) IDEAL VALUE = ? (DIMENSION CANCELLED BECAUSE OF SIMILARITY WITH DIMENSION 5 )
- (7) INVOLVING (1).....TO..... NOT INVOLVING (9) IDEAL VALUE = 1 RELATIVE IMPORTANCE = .448
- (8) APPEALING TO BOYS ONLY (1).....TO..... APPEALING TO BOYS AND GIRLS (9)
  IDEAL VALUE = ?
  RELATIVE IMPORTANCE = .3L?

RATINGS OF COLA ADS ON ATTRIBUTE DIMENSIONS

COLA AD 3 2 3 4 ATTRIBUTE DIMENSION (1) 1.00 6.00 5.00 2.00 VALUE .75 .00 .25 1.00

(2) 3.00 7.00 5.00 2.00 VALUE .80 .00 .40 1.00

(3) 5.00 5.00 5.00 3.00 (RATINGS CANCELLED)

(4) 1.00 9.00 9.00 9.00 VALUE 1.00 .00 .00 .00

(5) 1.00 6.00 4.00 4.00 VALUE 1.00 .00 .40 .40 (RATINGS CANCELLED BECAUSE OF SIMILARITY TO 6 )

(L) 7.00 2.00 5.00 4.00 VALUE 1.00 .00 .60 .40 (RATINGS CANCELLED BECAUSE OF SIMILARITY TO 5 )

(7) 1.00 6.00 3.00 4.00 VALUE 1.00 .00 .60 .40

.

(8) 6.00 5.00 2.00 3.00 VALUE 1.00 .75 .00 .25

2.11 When the user thinks that he or she has done enough at the session, MAUD will allow him or her to save the data.

0	Do you want to save all this	information ? <u>YES</u>
0	FILE NUMBER FOR DATA?	

Eight MAUD sessions can be saved on a MAUD tape. Data from each session are stored in four files. The file number for storing a session's results must be 4, 8, 12, 16, 20, 24, 28, or 32. Files may be reused at will, but each time a file is reused, the data from the session previously stored in that file are overwritten with the data from the new session.

2.12 MAUD ends.

#### Notes on MAUD Operation

- 1. Press the EXECUTE key after every entry. MAUD will begin to process information only after the key is pressed. Pressing EXECUTE indicates termination of entry.
- 2. When a typing error occurs before the EXECUTE key is used, the user can make corrections by using the backspace key (<); press once for every character to be deleted. The user can then proceed to overwrite the error. However, if the EXECUTE key has been used, leave the error for now and carry on; MAUD will also allow corrections at the end of every procedure.
  - 3. MULTIATTRIBUTE UTILITY THEORY RELATING TO MAUD

#### 3.1 Overview

This part of the report describes the rationale and operation of Multiattribute Utility Decomposition (MAUD) within the context of Multiattribute Utility Theory (MAUT). In section 3.2 we introduce MAUT as part of the multilevel decomposition-recomposition scheme used within decision-theoretic models.<sup>2</sup>

<sup>&</sup>lt;sup>2</sup>Much of the material in this section is abridged and developed from that presented in Humphreys (1977), to which the reader is referred for further discussion of the general issues raised here.

Sections 3.3 and 3.4 review the MAUT axiomatizations of decomposition of outcomes (terminal events) within this scheme adequate for riskless and risky choice, respectively. MAUD adopts various solutions upon detection of violations of the assumptions involved in these axiomatizations, and each solution is discussed in the section reviewing the relevant assumption.

Section 3.5 discusses the mapping rules transforming the data input to MAUD by the user (ratings on attribute dimensions) into a form suitable for use in the composition rules used within MAUD.

Finally, section 3.6 provides an evaluation of the algorithms implementing the composition rules used within MAUD and gives a comparison with some algorithms not currently implemented within MAUD.

#### 3.2 <u>Multiattribute Utility Theory as Part of a Multilevel</u> Decomposition-Recomposition Scheme

One way of conceptualizing a person's behavior is in terms of a sequence of identifiable acts. Each act is specified in terms of its occurrence. In the decision analytic approach, it is assumed that each act is chosen by a person, the decision maker, from a set of possible acts. The question, "On what basis was a particular act chosen?" requires, for an answer in formal terms, a decomposition under a specified axiomatic system. MAUT axiomatizes a further decomposition of the decomposition of acts into possible outcomes provided by the joint axiomatization of utility and subjective probability known as Expected Utility (EU) theory (Savage, 1954; Luce & Raiffa, 1957). MAUD is a system providing the technology required to (a) implement this decomposition in interaction with the decision maker, (b) elicit all inputs required in decomposed form, (c) check such input for possible violations of MAUT-prescribed assumptions (and take appropriate action upon discovery of a violation), and (d) apply the appropriate MAUT-prescribed composition rule in establishing holistic utility assessments. The multilevel decomposition-recomposition scheme, within which MAUD is embedded, is as follows:

#### Decomposition to Level 1: Choice Alternatives

.

The first step in this decomposition is to specify the set of choice alternatives. These are usually identified as a set of terminal acts, or consequences following from those acts (outcomes), within a decision tree (Raiffa, 1968; Brown, Kahr, & Peterson, 1974). There can be problems in the identification of such terminal acts (Brown, 1975; Humphreys, 1980), and, of course, they are not really terminal. The meaning of "terminal" here is that one is not prepared to decompose the consequences of such acts further through extension of the event-act decision tree. Utilities must now be assigned directly to all terminal acts (outcomes), and expected utilities must be computed for potential immediate courses of action through the application of the appropriate EU composition rule. There are three ways in which utilities may be assigned to consequences of terminal acts:

- 1. Through holistic utility assessments at level 1; that is, the utilities of the outcomes are assessed directly, without further decomposition.
- 2. Through the assessment of value in terms of some variable believed to have a concrete, measurable existence in the real world and to be coextensive with utility; for example, money. Value is mapped into utility through the use of a mapping rule assessed previously for that decision maker: his or her utility function.
- 3. Through the use of a MAUT decomposition of the utilities of the choice alternatives into multiattribute form.

MAUD will be of interest only to those who have adopted strategy 3 in assigning utilities to consequences of terminal acts.

#### Decomposition to Level 2: Multiattributed Outcomes

The choice alternative to be decomposed to level 2 may be specified in either of two ways: under the assumption of riskless decision making, or under the assumption of risky decision making. The technology employed in MAUD is appropriate for use in either case, but the theory is presented separately for the two cases.

Under riskless decision making, the decision maker is assumed to be able to specify with certainty the outcomes (consequences) associated with each course of action. Hence, identity rules are suitable for mapping between outcomes and choice alternatives. An example of such mapping follows:

<u>Choice alternative:</u>	Hire an	unspecifi	ed car	from	Rolls	Royce	Car
	Hire, L hire fi	td., rathe rm.	r than	from	some	other	car

Outcome: Drive a Rolls Royce (P = 1.0)

Under risky decision making, the decision maker is assumed to be able to specify a probability distribution over the outcomes associated with each choice alternative. Mapping between outcomes and choice alternatives requires the use of a composition rule, usually based on the expected utility principle (Fischer, 1972b, p. 10). Under this principle, if the set of choice alternatives is denoted by  $(A_1, A_2, A_k, A_n)$ , and the set of outcomes under consideration by  $(X_1, X_2, X_j, X_m)$ , then the EU of the k<sup>th</sup> alternative is given by the composition rule:

$$EU(A_k) = \sum_{j=1}^{m} P_{jk}U(X_j)$$

where  $P_{jk}$  is the probability of the choice of alternative  $A_k$  resulting in outcome  $X_j$ .

An example of a situation requiring such a mapping is:

Choice alternative k:		Hire an unspecified Ltd., rather than fr firm.	d car from General Car Hire, from some other car hire			
Outcome:	(1)	Drive a mini	$(P_{1k} = 0.70)$			
or	(2)	Drive a VW	$(P_{2k}^{2k} = 0.25)$			
or	(3)	Drive a Jaguar	$(P_{3k} = 0.04)$			
or	(4)	Drive a Rolls Royce	$(P_{4k}^{0} = 0.01)$			

It is important to remember that, given the existence of a decomposition to level 1, the further decomposition to level 2 is performed on the set of outcomes, not on the set of choice alternatives. In riskless decompositions, decomposition of outcomes is identical to decomposition of choice alternatives, but in risky situations, it is not.

Fischer (1972a) and von Winterfeldt and Fischer (1975) have described in detail the decomposition to level 2 provided by MAUT from a conjoint measurement point of view. The MAUT axiomatizations of this decomposition are outlined in sections 3.3 and 3.4, together with discussions of various solutions that can be adopted in applications of MAUT when assumptions necessary under MAUT axiomatizations are found not to be met, and descriptions of the way in which MAUD implements particular solutions.

#### 3.3 <u>MAUT Axiomatization of Decomposition of Outcomes to Level 2</u> Adequate for Riskless Choice

This decomposition depends on the assumptions of connectedness and transitivity of choices (Arrow, 1952; Fischer, 1972a) fundamental to all theories of rational choice, together with certain crucial monotonicity and independence assumptions discussed next.

#### 3.3.1 Monotonicity Assumption

Given the adoption of an ordered scaling metric describing positions of attributes on dimensions, the monotonicity assumption requires that the relevant attribute dimensions be scaled in such a way that

 $x_{ij} > x_{ik}$  iff  $f(x_{ij}) > f(x_{ik})$ 

where  $x_{ij}$  is the i<sup>th</sup> attribute of outcome X, and  $f(x_{ij})$  is a numerical scale value representing the utility of  $x_{ij}$  on attribute dimension 1. The ' denotes "is preferred at least as much as," and > denotes "is numerically greater than or equal to"; that is, on each attribute dimension, larger numerical values should imply greater utility, or part-worth, on that dimension.

Use of a scaling metric is simply a device to allow the use of numbers to represent preference orderings (Beals, Krantz, & Tversky, 1968). This device is used here to simplify the discussion of algorithms implementing composition rules in applications of MAUT. The MAUT axiomatization is concerned fundamentally with relations between preference orderings, not relations between scale values. Such scale values represent an interpretation of ordered relations.

When scaled values as obtained do not represent this interpretation, mapping techniques such as those described in section 3.5 may be employed to rescale the values in such a way that the monotonicity assumption is met.

#### 3.3.2 Value-Wise Independence Assumption

Raiffa (1969) describes how to specify this assumption in terms of Weak Conditional Utility Independence (WCUI), which states that preferences for values on any attribute dimension should be independent of constant values on all other attribute dimensions. Such preferences are called conditional preferences. This assumption is equivalent to the single cancellation assumption in conjoint measurement theory (Krantz, Luce, Suppes, & Tversky, 1971) and, taken together with joint independence (section 3.3.3), is sometimes called preference independence (Fishburn & Keeney, 1975; Keeney, 1974; Keeney & Raiffa, 1976). It is usually tested by checking n-WCUI, that is, performing 1-WCUI checks over all n attribute dimensions, where 1-WCUI represents a check to determine if (any) one attribute is WCUI of all others (Raiffa, 1969; von Winterfeldt & Fischer, 1975). The notion of independence contained in WCUI is weaker than that contained in notions of statistical independence. Hence tests of statistical independence are too strong. However, they may be used to indicate the possibility of a violation of WCUI. Hence such a check is used by MAUD as a guide for further actions, as described next.

Failure of n-WCUI Checks in Applications of MAUT. Given failure of n-WCUI checks, one has two (legitimate) options open: (a) recognize that no total decomposition model is adequate within the existing structure and opt for a partial decomposition model, or (b) keep the total decomposition model and reorder the attribute dimension structure in such a way as to eliminate (or at least, minimize) violation of n-WCUI between the reordered attribute dimensions.

The consequence of opting for a partial decomposition model is that one has to repeatedly search for dimensions exhibiting 1-WCUI, each time substituting values of the 1-WCUI dimensions for values on all the non-WCUI dimensions (Raiffa, 1969). This procedure may require the construction of a large number of indifference curves to be able to perform the necessary substitutions.<sup>3</sup> The result is an exponential increase in the number of assessments required before one can bootstrap the decision maker by operating the composition rule, and, as von Winterfeldt (1975, p. 65) said, "This may be too much effort."

The alternative of keeping the total decomposition model means that an additive composition rule is still appropriate, and therefore fewer assessments

<sup>3</sup>See MacCrimmon and Siu (1974, p. 694) and Humphreys (1977, section 2.3.1) for details of the procedures involved.

need to be made before operating the rule. However, decision aids, such as MAUD, that opt for this approach must contain facilities for aiding the structural reordering that may consequently become necessary during an analysis.

Consider the example of a decision maker who wants to buy a car and whose multiattribute representation of the cars under consideration (Rover 2600, Citroen CX, Skoda Estelle, Renault 14) is based entirely on notions of speed, comfort, and financial disincentive. Suppose the elicitation procedure resulted in attribute values (data) on the four dimensions shown in the extract MAUD log reproduced below,

1.	slow (1)	to	••••	(9)	fast
2.	uncomfortable (1)	to	•••••	(9)	comfortable
3.	costs a little (1)	to	• • • • • • • • •	(9)	costs a lot
4.	makes a big hole (1) in my bank account	to	••••	(9)	makes a little hold in my bank account

and that the representation of his or her preference structure was as follows:

-



Checks for statistical independence would reveal that ratings on dimensions 3 and 4 are highly correlated but would also reveal that ratings on dimensions 1 and 2 are highly correlated (the faster cars under consideration were also more comfortable). The source of the latter correlation lies in the external world--the structure of the automobile industry and its marketing policies--not the internal worth structure of the individual, for whom speed and comfort are almost certainly value-wise independent.

MAUD disambiguates this situation by first using a statistical checking procedure to monitor potential failures of 1-WCUI between each new attribute dimension and every other dimension already in the structure as they are elicited from the decision maker. Should the statistical check fail, the offending pair of attribute dimensions is presented to the decision maker, and a thought experiment is then conducted between MAUD and the decision maker to see if 1-WCUI has actually been violated.<sup>4</sup> If it has, the decision maker is prompted to supply a new attribute dimension to replace the offending pair, and the structure is then reordered by accepting the new dimension and deleting the offending pair, providing that assessments on the new dimension subsequently pass 1-WCUI checks.

In the example, MAUD would check the correlation between ratings on dimensions 1 and 2 as soon as ratings had been elicited on dimension 2. Finding a high correlation between the two sets of ratings, MAUD would proceed with the thought experiment as shown in the following printout:

О Your preferences for the CARS under consideration in terms of their ratings on the scale ranging from SLOW to FAST Ο O seem very much the same as your preferences for the CARS in terms of their ratings UNCOMFORTABLE on the scale ranging from Ο C to COMFORTABLE Does this mean that these two scales mean similar things to you ? NO  $\mathbf{O}$ 0K

Because in each case WCUI survived (although statistically independence did not), MAUD proceeds with the elicitation of dimension 3. Ratings on dimension 3 correlate negatively with ratings on dimensions 1 and 2, so no thought experiment is performed, and MAUD proceeds with the elicitation of ratings on dimension 4. Finding a high positive correlation between ratings on dimensions 3 and 4, MAUD proceeds as follows:

Ο Your preferences for the CARS under consideration in terms of their ratings on the scale O ranging from COSTS A LITTLE to COSTS A LOT seem very much the same as your preferences for the CARS in terms of their ratings O BIG HOLE IN BANK ACCOUNT on the scale ranging from to LITTLE HOLE IN BANK ACCOUNT Does this mean that these two scales mean similar things to you ? YES

 $\cap$ 

<sup>&</sup>quot;MAUD's procedure has the advantage that fewer questions need be asked than in conventional 1-WCUI checking and that it leads decision makers to believe that the system is intelligent because it asks questions only in suspicious circumstances.

Ο

О

О

О

(MAUD then proceeds to elicit ratings of cars on the dimension CHEAP to EXPENSIVE.)

Hence dimensions 3 and 4 are deleted from the structure and replaced by dimension 3', expensive ... to ... cheap. WCUI is restored, and MAUD may now continue with the elicitation of the rest of the structure.<sup>5</sup>

#### 3.3.3 Joint Independence Assumption

When n-WCUI is satisfied, a final general independence assumption must be met. This assumption is called joint independence. In formal terms, a set of attributes is said to be jointly independent of the rest if the preference ordering of outcomes, which varies only in these attributes, remains invariant for any fixed levels of the remaining attributes. Von Winterfeldt and Fischer (1975) state that violations of joint independence in conditions in which n-WCUI is satisfied are typically subtle in nature and hard to find. They give the example of someone who works in a large city and wants to rent a house or apartment. Consider this person's preferences when confronted with the two situations shown in Figure 1, differing only in whether there is a high-speed transportation system situated nearby.

In each situation, the values in the cells represent the values of the outcomes on the three attribute dimensions.

Von Winterfeldt and Fischer explain the switch in preference ordering of outcome B and C between the two situations (violating joint independence) as follows:

Living on a farm in the country seemed to us very attractive, and the long car ride to work did not matter with the convenience of the high speed transportation system. With no high speed transportation

Note also that the assessment procedure used to establish the decision maker's value-wise importance weights for attribute dimensions (described in section 3.6) is ordered by MAUD into a hierarchy in a way that minimizes the distortion introduced in any residual value-wise nonindependence that was not detected by the 1-WCUI checks.



system, the shorter ride from the apartment outweighed the benefits of living on the farm.

.

Figure 1. Two situations involving preferences for outcomes where the preference orderings violate joint independence (after von Winterfeldt & Fischer, 1975. Fm = Farm; Ap = Apartment).

Failure of Joint Independence Checks in Applications of MAUT. Given failure of joint independence checks, one has the same two options open as in the case of failure of n-WCUI checks: (a) recognize that no total decomposition model is adequate within the existing structure, or (b) keep the total decomposition model and reorder the attribute dimension structure in a way that eliminates the violation of joint independence.

If one retains the original structure, a total decomposition is in theory still possible. This total decomposition is described by von Winterfeldt and Fischer's (1975) model 1.3. However, such a total decomposition is inadequate because no composition rule is prescribed axiomatically for this decomposition, and an optimal solution requires a mixture of admissibility and sensitivity analyses on the application of a well-chosen selection of composition rules.

The information required to ascertain that any solution on these lines is usually not available, so MAUD opts for a different solution, that previously described by Humphreys (1977, section 2.5.2) as the "constructivist" solution.

This solution gives primacy to the MAUT axiomatization over the data and seeks to modify the output of the attribute elicitation procedure so that the modified attributes exhibit joint independence. In the example just used, the absence of a high-speed transportation system (situation 2) resulted in dimension 2, "time to drive car to work," increasing its valuewise important weight over dimension 1, "type of dwelling (farm or apartment)." Why?

#### Dimension 2 may be assumed to extend between these two poles:

.



For attributes to be scaled in any metric on a dimension, the pole names of that dimension must be superordinate category names, that is, refer to poles superordinate to their predictive attributes<sup>6</sup> or lexical entries (Bruner, Goodnow, & Austin, 1956; Katz & Fodor, 1963; Humphreys & Humphreys, 1975). For each pole, the set of lexical entries defines its meaning (Katz & Fodor, 1963; Anderson & Bower, 1974). In situation 1 in the dwellings example, pole P contains the lexical entry "but not for me," because, in this situation, the decision maker would take the high-speed transportation system. In situation 2, pole P contains instead the lexical entry "for me," because there is no option but to take the car. Hence, what is happening in this violation of joint independence is that pole P changes in meaning.

The constructivist approach would assume that in the situations described in the example, the decision maker was really construing the decision situation through the use of an attribute dimension defined in terms of these two poles:



The reader is invited to verify that attributes scaled on dimensions 1 and 2' do not violate joint independence for any fixed level on dimension 3.

MAUD can pick up violation of joint independence through detecting incoherence in the resulting assessments required in the lotteries required to establish value-wise importance weights (described in section 3.6).

However, the user will often spot a dimension changing its meaning as ratings are elicited and take appropriate action in interaction with MAUD before proceeding in the development of his or her preference structure. The following is a simulated example of this action happening during a MAUD run, based on the von Winterfeldt and Fischer example:

Note that these attributes define poles, not outcomes.



27

D
Can you specify a way in which one of these 0 Ο (1) FARM2 (2) FARML 0 Ο (3) APARTMENT2 is different from the other two (in a way that matters 0 Ο to you now)? Please answer YES or NO YES What is the number next to the DWELLING that differs ? 2 You have said that FARML is different from : FARME 0 and APARTMENT2 Ο In not more than three words each time, please describe how the three differ from each other. 0 Ο First describe FARML FARML is : SHORT DRIVE TO WORK 0 0 On the other hand, FARME and APARTMENT2 are: LONG DRIVE TO WORK С Ο Are you reasonably happy with this description ? YES It should be possible to give each DWELLING 0 a rating from 1 to 9 according to its position Ο on the scale SHORT DRIVE TO WORK 0 l is : <u>1</u> is : <u>9</u> is : <u>1</u> is : <u>9</u> Your rating of FARML Ο 23 Your rating of FARME Your rating of APARTMENTL 4 5 0 Your rating of APARTMENT2 0 to Are these ratings OK ? NO Ь 7 O 0 8 9 LONG DRIVE TO WORK

).

)

L.

)

You can (1) Cancel this scale (and all ratings on it) O О (2) Change your ratings on this scale Which would you like to do? Please type in  $l_1$  or  $2: \underline{l}$ Can you specify a way in which one of these О ( L ) FARML (2) APARTMENT2 O O (3) FARME is different from the other two (in a way that matters 0 Please answer YES or NO to you now)? YES What is the number next to the DWELLING that differs ? 1 You have said that FARML is different from : APARTMENT2 and FARME 0 O In not more than three words each time, please describe how the three differ from each other. O 0 First describe FARML FARML is : SHORT TRAVEL TIME TO WORK O Ο On the other hand, APARTMENT2 and FARME are: LONG TRAVEL TIME TO WORK С Are you reasonably happy with this description ? YES

).

)

)

1

# 3.3.4 Additive Composition Rule from Level 2 to Level 1 Under Riskless Choice

If the assumptions described in sections 3.3.2 and 3.3.3 are met, the following additive conjoint measurement model may be applied as the composition rule from level 2 to level 1 (model 1.4; von Winterfeldt & Fischer, 1975):

$$x_{j} \stackrel{i}{\geq} x_{k} \quad iff \ F(x_{j}) = \sum_{i=1}^{n} f_{i}(x_{ij}) \stackrel{i}{\geq} \sum_{i=1}^{n} f_{i}(x_{ik}) = F(x_{k})$$

29

at s.

Here,  $f_i(x_{ij})$  scales the utility (part-worth) of outcome  $X_j$  on attribute dimension 1. Composition from level 2 to level 1 is achieved by summing the  $f_i(x_{ij})$  over all n attribute dimensions present in the decomposition at level 2. However, MAUD uses the slightly different additive composition rule described in section 3.4.4, for the reasons also discussed in sections 3.4.2 and 3.4.3.

# 3.4 MAUT Axiomatization of Decomposition of Outcomes to Level 2 Adequate for Risky Choice

The decomposition to level 2 described in section 3.3, while adequate for the specification of an additive conjoint measurement model under conditions of riskless choice, is, unfortunately, not sufficient to guarantee the use of an additive composition rule under risky choice. There are now two major requirements that must be satisfied in addition to those required for the axiomatization of MAUT under riskless choice. These are (a) the satisfaction of the "sure thing" principle, and (b) strengthening of the value-wise independence assumptions.

## 3.4.1 The "Sure Thing" Assumption

Under risky choice, each choice alternative is conceptualized as a probability distribution over a set of outcomes, that is, as a gamble. The sure thing principle, or Savage's (1954) Independence Principle, requires that preferences among gambles should not depend on the values of outcomes that are constant in a subset of events. It is essential that this requirement be met in the EU axiomatization of decomposition from level 0 to level 1.

The sure thing assumption is not a MAUT axiom in itself. However, because applications of MAUT involving risky choice require decomposition to level 1 before application of the MAUT-axiomatized decomposition to level 2, it is important to discuss the consequences of failure of sure thing checks at level 1 on attempted MAUT-axiomatized decomposition to level 2.

<u>Failure of Sure Thing Checks in Applications of MAUT</u>. There are three approaches to the decomposition to level 2, given failure of sure thing checks: ostrich-like behavior, reaxiomatization, and forced decomposition under an EU axiomatization.

The rationale for the "ostrich solution" is as follows: Because the specification of the outcomes to be decomposed from level 1 to level 2 depends on the structure of the decomposition to level 1, why can't we rearrange the level 1 decomposition (decision tree or whatever) in such a way that each terminal act is associated with certainty with a particular outcome? Then, the rearranged choice alternatives (terminal acts) can be decomposed (e.g., by using MAUD) under a riskless MAUT axiomatization, which does not require sure thing checks.

This ostrich-like solution consists of burying one's head in the decomposition from level 1 to level 2, so that one cannot see what is going on in the decomposition to level 1. Apart from all the problems involved in specifying terminal acts (Brown, 1975; Humphreys, 1979), choice alternatives are conceived in terms of immediate courses of action, and a composition rule based on an EU axiomatization is required to recompose terminal acts into immediate courses of action. Failure of sure thing checks at any point invalidates this composition rule and hence the whole decompositionrecomposition procedure, and the excuse, "it wasn't MAUD's fault," does not solve the problem. The consequences for applications of MAUT are both important and far-ranging. Decision analysts who think that conditions of riskless choice exist in their decompositions obtained through the use of systems such as MAUD should ask themselves carefully whether they are not imitating the behavior of ostriches by not examining what their clients actually intend to do with the resulting preference ordering of alternatives.

4

In the light of this, one might ask why one has to rely on an EU axiomatization of the decomposition to level 1, without question. Such reliance becomes necessary only when one accepts that the axioms of decision theory should be treated on a par with the principles of logic (e.g., Marschak, 1968), that is, as principles that are accepted as not open to rejection following violation. Allais (1953), Ellsberg (1961), and Slovic and Tversky (1974) have raised strong objections to the sure thing assumption being granted such a status because it can lead to some intuitively unappealing prescriptions about choices and has been found to be occasionally but systematically violated in studies of subjective choice behavior (Tversky, 1969). If we accept objections such as these, then the solution prescribed by the failure of sure thing checks is to attempt a reaxiomatization of the decomposition to level 1, based on assumptions more persuasive on logical grounds than is Savage's Independence Principle.

Humphreys (1977, section 3.2.2) has reviewed several such attempts at reaxiomatization, which are generally represented as joint axiomatizations of EU (or EV) and risk. However, none of these attempts has yet met with sufficient success and acceptance to form the basis for technology to implement interactive decision aids.

Hence there is no easy way out of the sure thing problem. One suggestion (due to Ward Edwards) is that lack of risk preferences can be handled within the MAUD structure by eliciting an attribute dimension of the form

low risk ----- high risk

folding it about the ideal level of risk<sup>7</sup> and assigning it a value-wise importance (using standard MAUD methodology) relative to the other dimensions in the decision maker's preference structure. There are, of course, parallels to Coombs' portfolio theory of risk in this suggestion (Coombs & Bowen, 1971), but it should be remembered that here risk is treated as content input into the preference structure (as ratings on an attribute dimension), rather than forming any part of the axiomatization of the structure. Hence coherence tests for the adequacy of such a conceptualization of risk in any particular

<sup>&</sup>lt;sup>7</sup>See section 3.6 for a discussion of "folding."

situation are not available, and it is left to the decision analyst to ascertain that the decision maker's risk preference component of his or her worth structure for the alternatives under consideration has been adequately modeled in adopting this solution.

# 3.4.2 Value-wise Independence Assumption

Under conditions of risky choice, the WCUI and joint independence assumptions used in the axiomatization under riskless choice (section 3.3) must be strengthened to a Strong Conditional Utility Independence (SCUI) assumption (Raiffa, 1969). Keeney (1969, 1971) and Keeney and Raiffa (1976) have called this assumption simply utility independence. In formal terms, SCUI requires that preferences among multiattributed alternatives, in which a subset of attributes has constant values across all outcomes, should not depend on the particular level at which the constant values are held fixed. It would be extremely difficult to carry out efficient and exhaustive SCUI tests in the applications to which MAUD is likely to be directed.

However, there is an easier way out of the SCUI problem than searching for appropriate test procedures. It follows from the result that when an n-WCUI is satisfied, but SCUI is not, a riskless decomposition procedure may be used provided (a) that the riskless conjoint measurement composition rule utility functions  $f_i$  (section 3.3.4) are replaced by utility functions  $u_i$ , adequate for use under risky choice, and (b) that a marginality assumption is met (Raiffa, 1969; Fishburn, 1970).

MAUD adopts this approach, using a utility function assessment procedure that yields  $u_i$ . This procedure is described in the section that follows. However, in doing this, MAUD assumes that the marginality assumption discussed next is met.

#### 3.4.3 Marginality Assumption

روكلي

In formal terms, marginality, also known as value independence (Fishburn & Keeney, 1974), is judged solely on the basis of the marginal probability distribution over the single attribute values. Von Winterfeldt & Fischer (1975) discuss details of this formulation and give the following counter example:

Marginality would require you to be indifferent between the gambles  $\underline{x}$  and  $\underline{y}$ , shown below, because the marginal distributions are the same.



However, most people are likely to prefer  $\underline{y}$  or  $\underline{x}$ . This can be attributed to variance preferences<sup>8</sup> (Coombs & Pruitt, 1960), because  $\underline{y}$  has a much smaller variance than  $\underline{x}$ .

.

Failure of Marginality Checks in Applications of MAUT. In applications of MAUT under risky choice, each choice alternative is a gamble with a probability distribution over the outcomes in the decomposition. Marginality checks are most likely to fail in cases in which the variance of the various probability distributions is distinctly unequal. In such cases, there are three principal solutions to decomposition; these are discussed below.

<u>Reordering solution</u>. This solution (called the buck-passing solution in Humphreys, 1977) is analogous to the ostrich solution described in section 3.4.1 but may be more successful. The basic idea is to reorder the structure of the decomposition to level 1 so that the relationship between choice alternatives and terminal acts (outcomes) is described in terms of probability distributions with less unequal variances. This amounts to passing the buck to the decomposition to level 1, because there is no guarantee that the reordered decomposition will pass the sure thing checks just because the original one did. The reordering will certainly involve pruning the decision tree, in some cases so severely that the result may amount to cutting it off at the roots (Brown, 1975).

Decision analysts unwilling to undertake such radical surgery may well find it impossible to arrange things in such a way that the decomposition to level 1 passes sure thing checks at the same time that the decomposition to level 2 passes marginality checks. In this case, the reordering buckpassing solution degenerates into an ostrich solution.

Quasi-additive solution (multiplicative rule). Von Winterfeldt and Fischer (1975) describe a multiplicative composition rule that is appropriate for use in assessing utilities of risky alternatives where SCUI checks are satisfied but marginality is not. In theory, this rule may be expressed in terms of transformations of the functions  $f_i(x_{ij})$  in the riskless composition rule described in section 3.3.4. Keeney and Raiffa (1976) discuss this rule (section 6.3), and the assessments involved in its construction and use (section 6.6.5). The present version of MAUD is equipped only with the technology required to implement an additive composition rule, but later versions will involve the optional use of a multiplicative rule instead. However, the multiplicative rule brings with it axiom-checking and assessment problems of its own, and a reordering solution, if possible, is usually preferred.<sup>9</sup>

<sup>8</sup> The variance (V) of a two-outcome gamble is defined as  $V = p(1-p)(U_1-U_2)^2$ , where  $U_1-U_2$  is the difference in utilities of the two outcomes of the gambles.

<sup>9</sup>Fischer (1972b, experiment 2), investigating decomposition under risky choice, found an additive composition rule to be an efficient prediction of subjects' holistic choices among alternatives at level 1, even in situations in which one would expect the marginality assumption to be violated on intuitive grounds. Hence distortions introduced through the use of decompositions to level 2 with violations of marginality, together with an additive composition rule of the type employed by MAUD, are unlikely to be serious when n-WCUI checks are satisfied.

# 3.4.4 Additive Composition Rule from Level 2 and Level 1 Under Risky Choice

Given that the appropriate value-wise independence assumptions have been met, we may use the following model as the composition rule from level 2 to level 1 under both riskless and risky choice:

 $x_j \stackrel{\cdot}{\geq} x_k$  iff  $U(x_j) = \sum_{i=1}^n u_i(x_{ij}) \geq \sum_{i=1}^n u_i(x_{ik}) = U(x_k)$ 

Note that for any  $x_{ij}$ ,  $u_i(x_{ij})$  is monotonically related to  $f_i(x_{ij})$  (Raiffa, 1969; Fischer, 1972a).

This composition rule is useful in applications of MAUT under both risky and riskless choice, provided it is used in conjunction with value-wise importance assessment techniques based on a device known as the Basic Reference Lottery Ticket, or BRLT (Raiffa, 1969, p. 35-6; von Winterfeldt & Fischer, 1973; Humphreys & Humphreys, 1975; Keeney & Sicherman, 1975, p. 10-12). It is the standard composition rule used in the current version of MAUD.

Given a scaling procedure that yields attribute values  $g_i(x_{ij})$ , monotonically related to  $f_i(x_{ij})$  (section 3.3.4), and hence to  $u_i(x_{ij})$ , a BRLT-based procedure may be used to construct the  $u_i(x_{ij})$  directly. The relation is of the form

$$u_i(x_{ij}) = \lambda_i [g_i(x_{ij})], \text{ where } \sum_{\lambda = 1.}^{\lambda} = 1.$$

The  $\boldsymbol{\lambda}_i$  assessed by BRLT-based procedures are in fact products of

[value-wise importance weight] x [relative scaling factor]

Hence, in separated form:

$$u_{i}(x_{ij}) = w_{i}q_{i}h_{i} [g_{i}(x_{ij})].$$

From a conjoint measurement point of view, the separation of  $\lambda_i$  into  $w_i q_i h_i$  is both unnecessary and vacuous, since  $w_i$ ,  $q_i$ , and  $h_i$  cannot be assessed

separately from one another. Hence the procedure used by MAUD for the assessment of  $\lambda_i^{10}$  does not attempt any such separation.

#### 3.5 Mapping Between Level 2a and Level 2

In applications of MAUT, data are usually collected in the form of rating of attributes of outcomes on arbitrarily scaled rating scales. (The current version of MAUD uses an arbitrary seven-point scale on all attribute dimensions.) Before such data can be used in MAUT composition rules, they must be subjected to two mapping transformations, folding and relative scaling, which are described in sections 3.5.1 and 3.5.2.

Since both the raw rating scale data and the transformed data are represented at level 2 in the decomposition scheme, the two forms of data are distinguished here by describing the raw data as represented at level 2a and the transformed data at level 2.

## 3.5.1 Folding J-Scales

As an example demonstrating the need for folding transformations of rating scale data, consider the case of a decision maker who is trying to decide which of several potential companions to take to a dance. One of the attribute dimensions used in the decomposition of outcomes (companions) might be

# degree of boldness

SHY ..... BOLD

This attribute dimension, as represented here, is scaled monotonically between the two poles SHY and BOLD, but the most preferred point on this attribute dimension for most decision makers in this situation would be somewhere in the middle. Clearly, no monotone transformation of scale values on a SHY-BOLD rating scale can yield  $g_i(x_{ij})$  appropriate for use in MAUT additive composition rules.

Coombs (1964) has called such scales, and all physically represented scales, J-scales, where J stands for joint--shared across individuals. In order to transform any J-scaled data from any individual decision maker into a form suitable for use as  $g_i(x_{ij})$ , one must first fold each J-scale about that individual's ideal point on the J-scale (Coombs, 1964; Dawes,

<sup>10</sup>Described in section 3.6.

1972, section VI.2). This yields the decision maker's individual preference scaling of the attribute dimensions and hence I-scaled data.<sup>11</sup>

.

.

The following example shows MAUD folding a J-scale in interaction with a decision maker.

0	GIRLS under consideration :		(0)
0	(1) NANCY		
0	(2) CHARLOTTE (3) MARY (4) HELEN		)o
0	Can you specify a way in which one of these (1) NANCY (2) MARY (3) CHARLOTTE is different from the other two (in a way that matters to you now)? Please answer YES or NO What is the number next to the GIRL that differs ? 2	YES	
	You have said that MARY is different from : NANCY and CHARLOTTE In not more than three words each time, please describe how the three differ from each other. First describe MARY MARY is :		< 0 0 0
0	On the other hand, NANCY and CHARLOTTE	are:	0
0	Are you reasonably happy with this description ? YES		0

<sup>&</sup>lt;sup>11</sup>Note that the use of an additive composition rule from level 2a (J-scaled attributes) to level 1 (outcomes) will violate the MAUT monotonicity assumption (section 3.3.1) unless the ideal points of all decision makers under consideration are located at one or other pole of all the J-scales on which the attributes are represented.

It should be possible to give each GIRL O Ο a rating from 1 to 9 according to its position on the scale **SHX** 0 0 is : <u>9</u> is : <u>8</u> is : <u>1</u> is : <u>5</u> 5 J Your rating of NANCY Your rating of CHARLOTTE Э Your rating of MARY 0 Ο ų Your rating of HELEN 5 Are these ratings OK ? YES to Ь 0 0 7 8 9 O Ο BOLD Thinking only about the scale below, what position on the scale would you like most of all for 0 Ο an IDEAL GIRL SHY ľ 0 0 2345 Your best possible value is : 5 0 0 to 6 Is this alright? YES 7 0 Ο 8 ٩ BOLD 0 Ο Can you specify a way in which one of these 0 ( L ) CHARLOTTE 0 (2) ) NANCY (3) HELEN 0 0 is different from the other two (in a way that matters to you now)? Please answer YES or NO YES 0 What is the number next to the GIRL 0 that differs ? <u>3</u>

4

You have said that HELEN 0 Ο is different from : CHARLOTTE and NANCY 0 0 In not more than three words each time, please describe how the three differ from each other. First describe HELEN 0 0 HELEN is : NOT SEXY On the other hand, 0 Ο CHARLOTTE and NANCY are: **SEXY** Are you reasonably happy with this description ? YES O Ο

The following extract from the log resulting from the session shows how MAUD used this information in folding the J-scale ratings to produce I-scaled values.



# 3.5.2 Relative Scaling

Construction of I-scales on all attribute dimensions insures that the numbers assigned to attributes on each dimension will be monotonic with worth on that dimension, but it does not insure that the scaling metrics will be comparable across dimensions. Making scaling metrics comparable across dimensions involves operations called relative scaling (Raiffa, 1969). The use of assessment techniques based upon BRLTs, such as that used in MAUD, effectively carries out relative scaling simultaneously with the assessment of value-wise importance of each dimension. In this case, one does not need to consider separate techniques for relative scaling. The  $\lambda_i$  values assessed in BRLT-based procedures are suitable for direct combination with I-scaled attribute values, providing that the  $\lambda_i$  values were assessed on the same I-scales as the attributes themselves. However, some direct methods for assessing value-wise importances of dimensions do assume that the values of the attributes on the dimensions are fully relatively scaled. Procedures attempting to accomplish such relative scaling are discussed in Humphreys (1977, section 4.2) but are rather complex and not currently available in MAUD.

### 3.6 Evaluation of Algorithms for Composition Rules from Level 2a to Level 1

In applications of MAUT, a single algorithm is usually employed to implement the mapping rule between level 2a and level 2 and to implement the composition rules between level 2 and level 1. Huber (1974a,b) classified these algorithms into two principal groups: algorithms making use of clientexplicated parameter values, in which the decision analyst has to ask the decision maker directly or indirectly for all parameter values, and algorithms making use of observer-derived parameters, usually with the help of multivariate statistical analyses. MAUD uses exclusively client-explicated parameter values, and only algorithms making use of such parameters are examined here.<sup>12</sup> The input to each algorithm is assumed to be scaled attribute values  $g_i(x_{ij})$ , and the output to be the utilities of the outcomes  $u_i$ . The notation is that presented in section 3.4.

### 3.6.1 Additive Rule: BRLT-Based Assessment Methods

This algorithm uses the additive composition rule under risky choice described in section 3.6 and is the algorithm used by MAUD. The attribute values  $g_i(x_{ij})$  input to the procedures must be scaled on I-scales (section 3.5.1). Value-wise importance weights, relative scaling factors, and the  $f_i$  to  $u_i$  corrections are determined simultaneously in compound form by the BRLT-based procedure. Early examples of applications using this algorithm are the following: evaluation of hypothetical compact cars (Fischer, 1972b), evaluation of apartments by students (von Winterfeldt & Edwards, 1973a), and the evaluation of cinema films (Humphreys & Humphreys, 1975). In each of these applications, algorithms using the BRLT-based procedure were found to be at least as good or better than algorithms in predicting holistic evaluation of outcomes.

This algorithm forms the basis for the assessment of value-wise importance weights within MAUD. On theoretical grounds, this technique is preferable to simpler ranking and direct rating techniques, such as those discussed in section 3.6.3 and Edwards' (1977) SMART technique because the

<sup>&</sup>lt;sup>12</sup>See Huber, 1974a,b, and Humphreys, 1977 (section 5.2) for calculations of algorithms making use of observer-derived parameter values.

latter do not compensate properly for relative scaling factors and thus are vulnerable to distortion of assessed weights due to use in inappropriate anchors and scales by the decision maker. Despite this, Raiffa's (1969) original BRLT-based method is little used because it requires a large number of complex tradeoffs to be made between both abstract quantities (Kneppreth et al., 1978). The procedure used within MAUD is computationally much more sophisticated than Raiffa's but provides a much simpler and shorter presentation to the user and requires much fewer and simpler assessments. In fact, within a preference structure comprising N attribute dimensions, the decision maker has to make only N-1 simple indifference judgments, fewer ratings than with any other technique, direct or indirect.

MAUD uses its computational to construct a streamlined set of BRLTs, each comparing tradeoffs on only two dimensions but organized within a hierarchical-free structure formed through a cluster analysis of attribute dimensions. A minimum information transfer algorithm is applied within the I-scaled decomposed preference matrix to construct a cluster fusion tree with two branches at each node. The tree underlying the BRLTs presented in the demonstration session reproduced in section 2 possesses the structure shown in Figure 2.



Figure 2. Hierarchical fusion tree for attributes represented in the decomposed preference structure illustrated in section 2.

Note. The (nondeleted) attribute dimensions fused in this structure were:

- 1. Pick up situation ... to ... Established couples.
- 2. With better jokes ... to ... With boring jokes.
- 4. Uninterrupted slogan ... to ... Interrupted slogan.
- 7. Involving ... to ... Not involving.
- 8. Appealing to boys ... to ... Appealing to boys and girls.

The BRLT technique is used at each of the N-1 nodes in the N-attribute fusion tree to compare the subsets of dimensions connected at that node. Computation of  $\lambda$  values for each dimension on the basis of the lottery results is then analogous to the computation of probabilities of terminal events in a decision tree. Many possible trees can be formed to link a set of attribute dimensions. In theoretical terms, all are equally suitable, but it is desirable to construct a tree in such a way that it minimizes the effect of any violations of value-wise independence.

The clustering procedure used by MAUD clusters first those dimensions, or sets of dimensions, that are most highly associated. This clustering procedure possesses two merits. First, in any node, the set of dimensions being compared are more highly associated than any possible combinations of dimensions that have not yet been considered. This helps to generate stereotype items that seem realistic to people. Second, the requirement of weak conditional utility independence is optimized. It is important to insure value-wise independence between branches connected at the top of the tree, because incorrect estimates of  $\lambda$  here will affect the  $\lambda$  calculations for many more dimensions than will incorrect  $\lambda$  estimates for branches connected lower down. Note that as one moves up the cluster hierarchy, the degree of association between the sets of dimensions clustered at each node decreases; thus, hopefully, the lotteries estimating  $\lambda$  weights involving larger numbers of dimensions have the greater chance of meeting the value-wise independence assumption. The structure of the tree is not visible to the user but is used to direct the sequence of the BRLTs presented by MAUD to the user and the conversion of the probabilities thus elicited from him or her into the relative importance ( $\lambda$ ) values and the preference (holistic utility) values of items under consideration. The following example describes the construction of the sequence of BRLTs illustrated in the session with MAUD described in section 2.

Consider the first BRLT constructed. This example contrasted attribute dimensions 1 and 2 by constructing three stereotype alternatives defined in terms of their extreme positions on the two-attribute dimension.

### Alternative I

۲

.

D

Alternative II

A cola ad. which scores as high as the best alternative (Fish and Chip Shop) on attribute dimension 1 (with better jokes)

AND

which scores as high as the best alternative (Fish and Chip Shop) on attribute dimension 2 (pickup situation). A cola ad. which scores as high as the best alternative (Fish and Chip Shop) on

attribute dimension

l (with better jokes)

#### BUT

which scores as low as the worst alternative (Bermuda) on attribute dimension 2 (established couples).

#### Alternative III

A cola ad. which scores as low as the worst alternative (Bermuda) on attribute dimension 2 (with boring jokes)

AND

which scores as low as the worst alternative (Bermuda) on attribute dimension 2 (established couples).

Alternative I is a best cola ad stereotype, anchored at the point at which the best alternative within the set under consideration scores on each of the two dimensions.

Alternative III is a worst cola ad stereotype, anchored at the point at which the worst alternative within the set under consideration scores on each

of the two dimensions. Note that in this example Fish and Chip Shop happened to be best on each of dimensions 1 and 2, and Bermuda happened to be worst on each of dimensions 1 and 2. If this had not occurred (if, e.g., Party had scored best on dimension 2, and Hair worst), then these other alternatives would have been used as anchors on dimension 2 instead.

Alternative II is a compromise alternative, anchored at the best point on dimension 1 but at the worst point on dimension 2.

Now suppose you had to choose between two options. One, option A, guarantees your compromise alternative II for sure, and the other, option B, gives you a chance of getting best alternative I, with probability p, or worst alternative III, with probability (1-p), as shown in Figure 3.

Option A (sure thing) Option B (gamble)

Alternative II for sure

p Alternative I

Figure 3. BRLT for attribute dimensions 1 and 2.

It follows from expected utility theory that if a value p is found for which you are indifferent between the options A and B, then the ratio of p to (1-p) is the same as the ratio  $\lambda_1$  to  $\lambda_2$ , the value-wise importances of the two dimensions. (This result is due to Fishburn; for its derivation, see Raiffa, 1969, pp. 35-6.)

MAUD uses descending and ascen<sup>3</sup>ing methods of limits (starting with a descending series) to find this ind fference point for the BRLT, as illustrated in section 2.10. In the example, this occurred where p = .75 and (1-p) = .25, hence  $\lambda_1 = .75$  and  $\lambda_2 = .25$ , subject to the constraint  $\lambda_1 + \lambda_2 = 1$ . Similarly, MAUD next constructed a BRLT for dimensions 4 and 7, yielding  $\lambda_4 = .15$  and  $\lambda_7 = .85$ , subject to the constraint  $\lambda_4 + \lambda_7 = 1$ . The third BRLT was located at the node in the fusion tree connected to dimensions 4, 7, and 8. In order to avoid a complex stereotype alternative involving a composite of dimensions 4 and 7, the dimension that received the highest  $\lambda$  weight within this pair, i.e., dimension 7, is chosen as a delegate for this cluster in the BRLT, yielding  $\lambda_7 = .55$ ,  $\lambda_8 = .45$ , subject to the constraint  $\lambda_7 + \lambda_8 = 1$ .

However, this constraint is not appropriate here; the constraint that should apply is  $\lambda_4 + \lambda_7 + \lambda_8 = 1$ , and the  $\lambda$  weights applied to the branches have to be renomalized to take into eccount that attribute dimension 7, used in the BRLT, only accounts for 0.7 of the value-wise importance to be assigned to the branch consisting of a fusion of attributes 4 and 7, for which it is the delegate.

MAUD therefore makes the appropriate corrections before proceeding to the next BRLT, where the results are similarly corrected, and so on, until all N-1 BRLTs have been assessed and all N  $\lambda$  values determined, under the N

constraint  $\Sigma_1 \lambda = 1$ .

7

The final version of the tree, with (uncorrected) assessments and intermediate delegates filled in, appears, for this example, in Figure 4.



Figure 4. Final version of tree.

After the appropriate normalizations and corrections, the assessed  $\lambda$  weights constructed from the data represented in this tree are as follows:

$$\lambda_1 = .026$$
$$\lambda_2 = .079$$
$$\lambda_4 = .079$$
$$\lambda_7 = .448$$
$$\lambda_8 = .367$$

These  $\lambda$  weights are shown in the summary of the MAUD session, reproduced in section 2.10, together with the holistic utility values of alternatives computed through their use in an additive MAUT composition rule.

<u>Multiplicative Rule:</u> BRLT-Based Assessment Procedure. This rule and its use is described in Keeney and Raiffa (1976, chapter 6). The multiplicative rule is used in cases in which the  $\lambda_i$  assessed by a BRLT-based procedure do not sum to 1 over all n attribute dimensions (i = 1 to n). From a conjoint measurement standpoint, this use of a multiplicative rule is a procedural device to simplify computation. Logarithmic transformation of both sides of the equation are used for the multiplicative forms of the composition rule according to which is most convenient to use, given the nature of the data and the decision-making situation. In situations in which the result of obtaining a worst value on a particular attribute dimension is so severe that this worst value is not compensated by best values and on all other attribute dimensions, then one's best strategy is either (a) to use a multiplicative form of the composition rule, which will delete all outcomes that possess such a value through multiplying them by zero, or (b) to delete all such outcomes as nonstarters before using an additive form of the rule in the evaluation of the remaining outcomes. Strategy b is the strategy recommended for use with MAUD, although a multiplicative procedure will be implemented in future versions of MAUD to deal with residual problems where marginality is still not satisfied (see section 3.4.3).

#### 3.6.3 Non-BRLT-Based Assessment Methods

Ŵ

BRLT-based methods, while theoretically optimal, have the disadvantage that, with the exception of the methods currently used in MAUD, they require some extremely complex assessments from the user. In order to compute a set of  $\lambda$  weights, either a large number of simple lotteries or a smaller number of increasingly complex ones are usually employed, requiring the user to hold in his or her mind descriptions of quite complex stereotype items and make accurate comparisons between them. If n is greater than 5 or 6, the procedure becomes unwieldy, and the user usually begins to complain of information overload when required to make comparisons. In view of this problem, some alternative procedures considered by decision analysts are discussed below. They are theoretically suboptimal, usually adopted for their ease of use. They are not employed in MAUD, however, where we took the alternative route of improving the optimal procedure.

<u>Compensation Method</u>. This algorithm uses the composition rule under riskless choice described in section 3.3. It has been used by von Winterfeldt and Edwards (1973a) and Aschenbrenner (1975), in both cases in the evaluation of apartments by students under riskless choice. Von Winterfeldt and Edwards described the method as a "direct rating procedure with importance weights derived from the unstandardized utility functions as described by Sayeki (1972) in the framework of additive conjoint measurement."

In this procedure, each  $\lambda'_i$  (=w<sub>i</sub>q<sub>i</sub>) is determined by observing how much the decision maker's holistic U<sub>j</sub> ratings change when values of their (hypothetical) attributes on dimensions i are changed from worst to best. Consider the effect of switching from worst (0) to best (1) on dimension 1.

According to the conjoint measurement model described in section 2.6,

$$\Delta \mathbf{F}_{\mathbf{j}} = \begin{bmatrix} \sum_{i=2}^{n} \lambda'_{i} g(\mathbf{x}_{ij}) + \lambda'_{1}(1) \\ i=2 \end{bmatrix} - \begin{bmatrix} \sum_{i=2}^{n} \lambda'_{i} g(\mathbf{x}_{ij}) + \lambda'_{1}(0) \\ i=2 \end{bmatrix} = \lambda'_{1}$$

where  $\Delta F_j$  is the change in the holistic rating of outcome j. All other attribute dimensions are similar.

Aschenbrenner's version of the procedure starts with attributes on all dimensions at their worst value, and the decision maker is asked, if he or she had the opportunity to change only one attribute for its best level, which one would he or she choose? He assumed that the attribute chosen will be that which maximizes  $\Delta F_j$ . The question is repeated until all attributes have been changed to their best levels and all dimensions ranked in terms of their value-wise importances. The  $\lambda'_i$  are then found through direct rating of the importance ratios of the attributes.

.

As with BRLT-based assessment methods, the  $g_i(x_{ij})$  input to the model must be scaled on I-scales, and value-wise independence is assumed. However, unlike algorithms employing BRLT-based assessment techniques, this algorithm is not appropriate for use under risky choice, because  $f_i$  to  $u_i$  corrections  $(h_i)$  are not determined. Von Winterfeldt and Edwards (1973a) found the compensation method to be inferior to a BRLT-based assessment method but superior to a direct rating method.

<u>Direct Rating Method</u>. In typical applications using the direct rating method, the value-wise importance weights  $(w_i)$  are assessed by asking the decision maker for direct ratings. Formally, algorithms making use of this procedure require also the use of a relative scaling procedure to estimate values of  $q_i$  (section 3.4.4), because under the riskless choice  $f_i(x_{ij}) = w_i q_i [g(x_{ij})]$ . However, in most applications of MAUT in which direct rating techniques have been used, the  $q_i$  have not been assessed. Such applications have included college admissions (Khlar, 1969), evaluation of medical care research proposals (Gustafson et al., 1971), evaluation of military tactics (Turban & Metersky, 1971), and others reviewed by Huber (1974a). Technically, the additive models used in these applications are incoherent, because values of  $f_i(x_{ij})$  or  $u_i(x_{ij})$  cannot be assessed in the absence of values of  $q_i$ . However, they can be made coherent by adding the constant scaling assumption  $q_i = 1$  (i = 1 to n) and then applying an additive composition rule.

The constant scaling assumption seems to be reasonable in many applications of MAUT, because direct rating models incorporating this assumption have often performed quite well in practice (Dawes & Corrigan, 1974; Huber, 1974a). As would be expected, though, their predictions are inferior to BRLT-based models (Fischer, 1972b; von Winterfeldt & Edwards, 1973a). The apparent efficiency of these models is due in part to the fact that they have been used in applications in which the constant scaling assumption is reasonable a priori. As a counter example, consider the evaluation of proprietary brands of sweets (outcomes) on the following attribute dimensions:

		value-wise importance	relative scaling factor
1.	Not tasty to tasty	wl	q <sub>1</sub>
2.	Poisonous to not poisonous	<sup>w</sup> 2	q <sub>2</sub>

Direct rating of value-wise importance would, for most people, yield  $w_1 < w_2$  because preservation of life is more important than having a nice taste in your mouth. However,  $q_1 > q_2$ , because attributes of proprietary brands of sweets range right along dimension 1 but are all squeezed together at the preferred pole of dimension 2. When we consider the products  $w_i q_i = f_i$ , we can see that attribute values on dimension 1 will dominate the analysis only if  $w_1/w_2 > q_2/q_1$ .

Equal Weights Method. This method is like the direct rating method except that an additional equal weights assumption  $w_1 = w_2 \dots w_i \dots = w_n$  is made. Hence value-wise importance weights need not be assessed. The resulting model is that underlying the Likert scale technique used in a vast number of attitude and personality scaling applications (Edwards, 1957; Dawes, 1972). Despite the strong and arbitrary character of the equal weights assumption, such models have been found quite efficient in MAUT applications (Dawes & Corrigan, 1974), although inferior to a model using a BRLT-based assessment method (Humphreys & Humphreys, 1975). Einhorn and Hogarth (1975) delineate the situations in which equal weights methods can always be improved by combining them with appropriate prior information. Using BRLTs is one way of gaining such prior information. One reason for the apparent efficiency of the equal-weights model may be the demonstrated insensitivity of additive model compositions to variations in the  $w_i$  values (von Winterfeldt & Edwards, 1973b).

MAUD can provide an equal weight option that allows a user to examine his or her preference structure and the computed holistic utility values of alternative items within this structure before (and without) having to make any assessments within a  $\lambda$ -weight estimating procedure. This option is convenient but can lead to misleading results when assumptions relative to scaling and equal weights are infringed. It should therefore be used with caution.

#### REFERENCES

- Allais, M. Le comportement de l'homme rationnel devant le risque: critique des postulats et axioms de l'école Américaine. Econometrica, 1953, 21, 503-546.
- Anderson, J. R., & Bower, G. H. Human associative memory. Washington, D.C.: Hemisphere, 1974.
- Arrow, K. J. <u>Social choice and individual values</u>. New Haven, Conn.: Yale University Press, 1952.

- Beals, R., Krantz, D. H., & Tversky, A. Foundations of multidimensional scaling. <u>Psychological Review</u>, 1968, 75, 127-142.
- Brown, R. V. Heresy in decision analysis: Modelling subsequent acts without rollback. <u>Decision Sciences</u>, 1978, <u>9</u>, 543-554.
- Brown, R. V., Kahr, A. S., & Peterson, C. <u>Decision Analysis:</u> An Overview. New York: Holt, Rinehart & Winston, 1974.
- Bruner, J. S., Goodnow, J., & Austin, G. <u>A study of thinking</u>. New York: Wiley, 1956.
- Coombs, C. H., & Bowen, J. N. Additivity of risk in portfolios. <u>Perception</u> <u>and Psychophysics</u>, 1971, 10, 43-46.
- Coombs, C. H., & Pruitt, D. E. Components of risk in decision making: Probability and variance preferences. Journal of Experimental Psychology, 1960, 60, 265-277.

Dawes, R. M. Fundamentals of attitude measurement. New York: Wiley, 1972.

- Dawes, R. M., & Corrigan, B. Linear models in decision making. <u>Psychological</u> <u>Bulletin</u>, 1974, 81, 95-106.
- Edwards, A. L. Techniques of attitude scale construction. New York: Appleton Century Crofts, 1957.
- Einhorn, H. J., & Hogarth, R. M. Unit weighting schemes for decision making. Organizational Behaviour and Human Performance. 1975, 13, 171-192.
- Ellsberg, D. Risk, ambiguity, and the savage axioms. <u>Quarterly Journal of</u> Economics, 1961, <u>75</u>, 643-669.
- Fischer, G. W. <u>Multidimensional value assessment for decision making</u>. (Technical report 037230-2-T.) Ann Arbor: Engineering Psychology Laboratory, University of Michigan, 1972a.
- Fischer, G. W. Four methods for assessing multiattribute utilities: An experimental validation. (Technical report 037230-6-T.) Ann Arbor: Engineering Psychology Laboratory, University of Michigan, 1972b.

Fishburn, P. C. Utility theory for decision making. New York: Wiley, 1970.

4

•

(ø

•

ŀ

- Fishburn, P. C., & Keeney, R. L. Seven independence concepts and continuous multiattribute utility functions. Journal of Mathematical Psychology, 1974, 11, 294-327.
- Huber, G. P. Multiattribute utility models: A review of field and field-like studies. Management Science, 1974a, 20, 1393-1402.
- Huber, G. P. Methods for quantifying subjective probabilities and multiattribute utilities. <u>Decision Sciences</u>, 1974b, <u>5</u>, 430-458.
- Humphreys, A. R., & Humphreys, P. C. An investigation of subjective preference orderings for multiattributed alternatives. In D. Wendt & C. Vlek (Eds.), Utility, probability and human decision making. Dortrecht: Reidel, 1975.
- Humphreys, P. C. Application of multiattribute utility theory. In H. Jungermann & G. de Zeeuw (Eds.), Decision making and change in human affairs. Amsterdam: D. Reidel, 1977.
- Humphreys, P. C. Decision aids: Aiding decisions. In L. Sjöberg, T. Tyszka, & J. A. Wise (Eds.), <u>Decision analyses and decision processes</u>. Lund: Doxa, 1980.
- Katz, J. J., & Fodor, J. A. The structure of a semantic theory. <u>Language</u>, 1963, 39, 170-210.
- Keeney, R. L. Multi-dimensional utility functions: Theory, assessment and application. (Technical report no. 43.) Cambridge, Mass.: Operational Research Centre, MIT, 1969.
- Keeney, R. L. Utility independence and preference for multiattributed consequences. Operations Research, 1971, 19, 875-893.
- Keeney, R. L., & Raiffa, H. Decisions with multiple objectives: Preferences and value tradeoffs. New York: Wiley, 1976.
- Khlar, D. Decision making in a complex environment: The use of similarity judgements to predict preferences. Management Science, 1969, 15, 595-618.
- Krantz, D. H., Luce, R. D., Suppes, P., & Tversky, A. Foundations of measurement (Vol. 1). New York: Academic Press, 1971.
- Luce, R. D., & Raiffa, H. Games and decisions: Introduction and critical survey. New York: Wiley, 1957.
- MacCrimmon, K. R., & Siu, J. K. Making trade-offs. Decision Sciences, 1974, 5, 680-704.
- Marschak, J. Decision making: Economic aspects. International Encyclopedia of the Social Sciences, 1968, 4, 42-55.
- Raiffa, H. Decision analysis: Introductory lectures on choices under uncertainty. Reading, Mass.: Addison-Wesley, 1968.

Raiffa, H. Preferences for multiattributed alternatives. Santa Monica: The Rand Corporation, 1969. (Memorandum RM-5868-DOT/RC.)

Savage, L. J. The foundations of statistics. New York: Wiley, 1954.

- Sayeki, Y. Allocation of importance: An axiom system. Journal of Mathematical Psychology, 1972, 9, 55-65.
- Slovic, P., & McPhillamy, D. Dimensional commensurability and cue utilization
  in comparative judgement. Organizational Behavior and Human Performance,
  1974, 11, 172-194.
- Slovic, P., & Tversky, A. Who accepts Savage's axiom? Eugene: Oregon Research Institute Bulletin, 1974, 14 (12).
- Turban, E., & Metersky, M. L. Utility theory applied to multi-variable system effectiveness evaluation. Management Science, 1971, <u>17</u>, 817.
- Tversky, A. Intransivities of preferences. <u>Psychological Review</u>, 1969, <u>76</u>, 31-48.
- Winterfeldt, D. von, & Edwards, W. <u>Evaluation of complex stimuli using multi-</u> <u>attribute utility procedures</u>. (Technical Report 011313-2-T.) Ann Arbor, <u>Mich.: Engineering Psychology Laboratory</u>, University of Michigan, 1973.

50- Elank

#### APPENDIX A

#### PROGRAM DOCUMENTATION

MAUD is written in BASIC for the IBM 5110 system, using the display screen for input and output.

#### Screen Manipulation on the IBM 5110

The screen is treated as a record I/O file. It is opened using the device number '002';

e.g., 0075 OPENFILE FL5, '002', ALL

where ALL specifies both read and write operations.

The system allows manipulation of the top 14 lines of the screen, with a maximum of 64 characters per line. Data can be written on the screen using WRITEFILE or REWRITEFILE statements and read using the READ statement. When addressing the screen, the first character position and the length of the I/O string both have to be specified. When necessary, the final position of the pointer can also be specified;

e.g., 0225 WRITEFILE USING 130,FL5,'Title for this session'
 0130 FORM POS129,C25,POS154
 0140 READFILE USING 150,FL5,T\$
 0150 FORM POS154,C60.

#### The Internal Layout of MAUD

MAUD comprises three programs:

- MAUD--is the main program. It elicits choice alternatives and attribute dimensions. In addition, it also checks ratings of alternatives on dimensions and elicits ideal points on each dimension.
- BRLT--computes lotteries for assessing value-wise importance of dimensions, computes preference values for choice alternatives, and computes cluster correlation.

LOG--produces a hard copy of the summary.

### Data Files

MAUD has four data files:

Fl--stores titles and control values.

51

F2--stores a matrix containing the names of choice alternatives and two other matrixes containing the names of poles of attribute dimensions.

F3--stores control values.

F4--stores data. The file is three records long.

Fl, F2, and F3 are sequential files. They can be accessed by using an OPEN statement;

e.g., OPEN FL1, 'E80',4, 'F1', IN, IOERR 6990.

FR is a record-oriented file. It is accessed by using the OPENFILE statement;

e.g., OPENFILE FL4, 'E80', 7, 'F4', IN, IOERR 6990.

## Details of File Storage

\*

1

0

Fl contains seven variables.

- T\$: title of the session (maximum 60 characters long)
- S\$: generic name for all items under consideration in singular form
   (maximum 30 characters long)
- P\$: generic name for all it .ns in plural form (maximum 30 characters long)
- J: number of attribute dimensions (J = 20) max
- N1: number of choice alternatives (N1 = 8)  $\max$
- N2: number of successful mappings of attribute dimensions
   (N2 = 8)
   max

K2: error flag

F2 contains three matrixes.

- A\$: contains names of choice alternatives (maximum 30 characters each)
- B\$ and C\$: contain poles of attribute dimensions (maximum 30 characters each)

F3 holds seven matrixes.

- H: status codes for attribute dimensions (negative if the dimension has been deleted)
- S: standard deviations of ratings on attribute dimensions

- B: positions of ideal points on attribute dimensions
- W: weights of attribute dimensions
- U: utility values for items (range between 0 and 1, negative if not yet computed)
- L: lists of branches of nodes in utility hierarchy
- Y: sums of ratings on attribute dimensions

F4 holds three records consisting of a single matrix each.

- Z (record l): stores the ratings of choice alternatives on each attribute dimension (values are between 1 and 9)
- X (record 2): stores the value of each choice alternative on each attribute dimension
- R (record 3): stores the correlation coefficient between attribute dimensions

# Details on MAUD

# MODULE 1:

4

,

Lines 195-795: Parameter used → N1 (which counts the number of choice alternatives under consideration, N1<sub>max</sub> = 8). This module deals with input of title (T\$), generic name: in singular form (S\$) and plural (P\$), and choice alternatives (A\$(I)--where I is an index between 1 and N1). Line 520 checks that N1 is <= to 8. Finally, the module displays all the choice alternatives entered by the user. \* End of module.

# MODULE 2:

Lines 800-1165: Parameter used → N1. This module deals with changes (if any) in choice alternatives. Lines 880-990 change the name of a choice alternative. Lines 995-1095 delete a choice alternative. Lines 1100-1165 add a choice alternative to the list. \* End of module.

#### MODULE 3:

#### MODULE 3 (continued):

- At line 1270, the module calls a subroutine: RANDOM TRIAD GENERATOR (lines 5375-5420), which randomly picks out triads of choice alternatives and stores their indexes in a G array (G(I), I=1 to 3).
- Lines 1285-1320 present those three alternatives and stores them in an X\$ array (X\$(I), I=1 to 3). Lines 1580-1820 elicit the attribute dimension. Each dimension consists of two poles, i.e., B\$(J) and C\$(J). \* End of module.

#### MODULE 4:

Lines 1830-2200: Parameters used → N1 and J.
This module elicits values of Z(I,J)--between 1 and 9,
where I is the index of each choice alternative (I=1
to N1) and J is the index of the current attribute
dimension being assessed.
\* End of module.

## MODULE 5:

C

Lines 2220-2525: Parameters used  $\rightarrow$  J and H(J).

This module allows the user to make alterations by either changing the ratings or canceling the scale altogether. Changes are dealt with by a subroutine: CHANGE RATINGS (lines 8270-8410). Changing the scale will take the user back to the previous

module. Canceling the scale will take the user back to MODULE 3;

the status, H(J) is assigned the value -299.

If there is no alteration to be made, H(J) remains 0 and the program carries on to the next module.

\* End of module.

### MODULE 6:

Lines 2530-2895: Parameter used  $\rightarrow$  J.

This module elicits ideal points for each attribute dimension J with poles B\$(J) and C\$(J). The value of the ideal point is stored in B(J)--where the range of the scale is between 1 and 9. \* End of module.

# MODULE 7:

Lines 2920-2933: Parameters used  $\rightarrow$  J and H(J).

This module allows the user to change the ratings of the ideal point (B(J)) or cancel the entire scale. Changes are dealt with by the subroutine: CHANGE RATINGS (lines 8270-8410).

Changing the rating will take the user back to the previous module.

#### MODULE 7 (continued):

Canceling the scale will take the user back to MODULE 3; the status, H(J) is assigned the value -299. \* End of module.

# MODULE 8:

Lines 3080-3190: Parameters used → N1 and J. Values of X(I,J) are comput alternative (I=1 to N1) sion being assessed.

Values of X(I,J) are computed, i.e., values of each choice alternative (I=1 to N1) on the current attribute dimension being assessed.
Lines 3140-3185 adjust the scale such that the worst value=0 and the best value=1.
If there is very little variation (i.e., ≤ .5) between

all values of X(I,J), the program will pass on to the next module; otherwise it will proceed to MODULE 10. \* End of module.

### MODULE 9:

Lines 3200-3390: Parameters used → J and H(J).
This module becomes active when there is ≤ .5 difference
between all values of X(I,J). It allows the user to
do one of the following three operations:

- change the values of Z(I,J). This will take the user back to MODULE 4.
- change the value of B(J). This will take the user back to MODULE 6.
- change nothing. The status, H(J) is set to -99 and the program proceeds to MODULE 11.

\* End of module.

# MODULE 10:

Lines 3395-4040: Parameters used  $\rightarrow$  N1,J,H(J),N2, and K1.

- The variance, S(J) is computed and the current status, H(J), is set to 1.
- If N2 is <2, the program will bypass the rest of the module and pass on to the next module.
- Line 3515 computes the value of R(M,J), where M is an index between 1 and J-1, and J is the index of the current attribute dimension, which at this stage must be  $\geq 2$ .

If the current R(M,J) is <.866, the next value R(M+1,J)
is computed. When all values of R(M,J) have been successfully computed, the program passes on to the next
module.</pre>

For each R(M,J) which has a value  $\geq$  .866, the following process is activated:

	<pre>Lines 3530-3745 check with the user whether or not a change is required. If the response is negative, the program will increment M by 1 and compute the next value of R(M,J). If the response is affirmative (i.e., the two attribute dimensions being analyzed have similar meaning), the following submodule is activated: Lines 3755-4040 conduct a constructivist solution. Kl is incremented by 1 (Kl is a count for the number of attri- bute dimensions. Kl<sub>max</sub> = 20). The current status, H(J) is set to -M, H(M) is set to -J, and N2 is decreased by 2. A new attribute dimension is created, and the poles are stored in B\$(J) and C\$(J). The program goes back to MODULE 4. * End of module.</pre>
MODULE 11:	
Lines 4045-4160:	<pre>Parameter used → N2. If N2 is &lt;2, the program will bypass the rest of the module and go back to MODULE 3. This module gives the user the option of viewing a summary of progress to date by chaining to LOG. If no summary is required, the program passes on to the next module. * End of module.</pre>
MODULE 12:	
Lines 4165-4495:	<pre>Parameter used → J. This module allows the user to add another dimension to    the list. J is incremented by 1 (J<sub>max</sub> = 20), and the    program goes back to MODULE 4. If the user does not wish to carry out this process, the    program passes on to the next module. * End of module.</pre>
MODULE 13:	
Lines 4500-4630:	<pre>Parameter used → N2. If N2 is &lt;2, the program bypasses the rest of the module and goes back to MODULE 3. The module allows the user to elicit another dimension; this process is carried out by going back to MODULE 3. If the response is negative, the program will pass on to the next module. * End of module.</pre>
MODULE 14:	
Lines 4640-4740:	This module allows the user to investigate preferences

P

٠

hes 4640-4740: This module allows the user to investigate preferences between alternatives, i.e., U values. The program will chain to BRLT.

A 84.

# MODULE 14 (continued):

4

.

دير

- If this process is not required, the user will have the
   option of saving the data for future use. This uses
   the subroutine: FILE DATA (lines 5426-5500).
  \* End of module.

END OF MAUD

## Subroutines in MAUD

RANDOM TRIAD GENERATOR (lines 5375-5420)

This subroutine generates three different numbers between 1 and N1 and stores those numbers in a G array.

FILE DATA (lines 5426-5500)

This subroutine files data in FL1, FL2, FL3, and FL4. (For more information on file storage, see "Details of file storage," p. 52.

DISPLAY ALTERNATIVES (lines 7680-7715)

This subroutine displays choice alternatives between 1 and N1.

CHECK NUMERIC INPUT (lines 7900-7970)

This subroutine checks that numeric input is within range.



۱

ł

I

k

٢

2

MODULAR REPRESENTATION OF MAUD

#### APPENDIX B

----

j,

#### PROGRAM LISTING OF MAUD

0010 REM 0020 REM 0024 REM 0025 USE T\$60,S\$30,P\$30 0020 USE C,J,N1,N2,K2,S1 0035 USE A\$60(20),B\$60(20),C\$60(20) 0040 USE Z(20,20),X(20,20),R(20,20) 0045 USE H(20),S(20),B(20),W(20),U(20),L(20),Y(20),V(20) 0050 D1M Z\$64, Y\$64, X\$64, Q\$64, E\$64 0051 FORM POS1,C 0052 FORM PDS65,C 0053 FORM POS129.C 0054 FORM POS193,C 0055 FORM P05257,C 0056 FORM PDS321,C 0057 FORM POS385,C 0058 FORM POSIN9,C 0059 FORM POS513,C 0060 FORM P05577,C 0061 FORM POS641,C 0062 FORM P05705,C 0063 FORM PDS769,C 0064 FORM PDS833,C 0048 FORM POSP,C 0069 FORM POS895,C1 0075 OPEN FILE FL5, '002', ALL 0076 S1≈20 0078 S8≈4 0079 59=8 3080 REM 38 AND 59 ARE MIN AND MAX NUMBER OF ALTERNATIVES \*\*\*\*\*\* 0081 P9=1 JU82 REM \*\*\*\*\*\* PRINTER ON CODE\*\*\*\*\*\* 0084 Zs='Please type YES or ND' 0085 Y\$=' 0087 Es=' Press EXECUTE to proceed 0000 IF C=1 GOTO 4995 0001 IF C=2 GOTO 5300 6092 IF C=3 6070 5215 0102 PFINT 'Do you want to use material already on file'; 0103 INPUT GB 0104 IF 05='YES' GOTO 6000 0105 IF 65='NO' GOTO 109 0106 PRINT Z\$ 0107 PRINT 0108 GOTO 102 0109 PRINT 0113 REM ### INITIALISE ### 0114 GUSUE BOCO 0115 N1=0 0120 N?=0 0125 MAT Z=(0) 0130 MAT X=(0) #135 MAT R=(0)

59

0140 MAT S=(0) 0145 MAT B=(0) .\_ \_ 0150 MAT H=(0) 0155 MAT Y=(0) 0160 J=0 0165 FOR I=1 TO 11 0170 U(I) = -10175 L(1)=1 018( W(I)=1 0185 NEXT I 019( K2=0 0200 s='Please type in a name for this session' PITEFILE USING 205, FL5, Q4 020 0205 FORM PDS129, C38, PDS169 0210 READFILE USING 215, FL5, T\$ 0215 FORM POS169,064 0226 FEURITEFILE USING 54,FL5,'0.K.' 0223 G4+'Please type in a word describing the topic you want to' 0226 REWRITEFILE USING 56,FL5,G\$ 0229 Q4='make a decision about by answering the question' 0232 REWRITEFILE USING 57,FL5,Q4 0235 Qi=""The alternatives I am thinking about could all be" 0230 REWRITEFILE USING 58, FL5, G\$ 0241 REWRITEFILE USING 244, FL5, 'described as ' 0244 FORM POS513, C13, POS526 0247 READFILE USING 250, FL5, P\$ 0250 FORM POS523,064 0253 Q#='Now in singular form: Each alternative could be' 0256 REWRITEFILE USING 61,FL5,03 0259 REWRITEFILE USING 262,FL5, 'described as a ' 0262 FORM POS705, C15, POS720 0265 READFILE USING 268, FL5, S\$ 0263 FORM PCS720, C64 0205 Q\$="Are you reasonably happy with the words you typed?" 0110 REMPITEFILE USING 315, FL5, Q4 0315 FORM FOSTL9,050, POS820 GTED READFILE USING 325, FL5, Q\$ 325 FOR# POS829,010 0230 IF 09="YES" GOTO 385 0335 IF 03=">01 80TO 360 V2:5 IF USE NOT COTO 380 23+0 GCSUB 2000 1350 REUPITEFILE USING 365,FL5,Z4 0360 FORM FISETE,C26,F05360 1370 REUPITE USING 375,FL5,Q4 2370 REUPITE USING 375,FL5,Q4 1075 FERF FOREAU, 030 0330 6070 231 0385 825 \*\*\*\*\* \* 6385 IF N1.0 2010 1180 6387 60868 2000 0387 GREUP 2009 0390 FORM FOB129,018,028,X,017 0391 PEURITEFILE USING 392,FL5, "Fleade think about the 1 0392 FURA FOB1,022 0393 PEURITEFILE USING 395,FL5,P1, you wont to decide torrest 0390 FORM FOB24,013,710 0340 FOR CLEARENCE DELEVEL 2017 CLEARENCE DELEVELAND AND AN ENDERENCE TRANSPORT 2741 PERSITEFILE USING SOUPLONPLANT THE NEW YOU DERENCE FOR OUT 2107 PE 1917EFILE USING SOUPLONPLANT THE NEW YOU DERENCE FOR OUT . .

 $\| V \|_{X}$ 

0400 Q\$='can be whatever you like, so long as YOU know what you' 0401 REWRITEFILE USING 55,FL5,'mean. You should put in' 0403 REWRITEFILE USING 55,FL5,'mean. You should put in' 0404 FORM POS281,C16,X,C 0405 Q\$='as well as others that you want to think about." 0406 REWRITEFILE USING 56, FL5, Q\$ 0407 REWRITEFILE USING 58,FL5, Keep the descr ation of each 0408 REWRITEFILE USING 409, FL5, S\$ 0409 FORM POS478,C 0410 REWRITEFILE USING 59,FL5, 'short; type just one or two words. 0411 REWRITEFILE USING 61, FL5, E\$ 0412 READFILE USING 69, FL5, Q\$ 0413 GOSUR 8000 0419 REWRITEFILE USING 420,FL5, 'Please type in the name of a',S\$ 0420 FORM POS129,C29,X,C30 0425' REWRITEFILE USING 430,FL5,'you want to consider' 0430 FORM POS193.C30 0435 N1=N1+1 0440 REWRITEFILE USING 445, FL5, 'Its name is ' 0445 FORM POS321, C11, POS333 0450 READFILE USING 455, FL5, A\$(N1) 0455 FORM POS333,C30 0460 GOSUB 8000 0465 IF N1=58 GOTO 505 0475 REWRITEFILE USING 480, FL5, 'Now the next', S\$ 0480 FDRM POS129,C15,X,C30 0485 REWRITEFILE USING 490,FL5,'you want to consider' 0490 FORM POS193,C20 0500 GOTO 435 0505 GOSUB 8000 0520 IF N1<S7 GOTO 550 0525 REWRITEFILE USING 530, FL5, 'You have considered the' 0530 FORM PCS35,C25,POS90 0535 REWRITEFILE USING 540, FL5, 'maximum number of', P\$ 0540 FORM POS90, C17, X, C30 6545 GOTO 675 0550 REWRITEFILE USING 555,FL5,'Is there another ',S\$ 0555 FORM PCS129,C17,C30 0550 REWRITEFILE USING 565,FL5,'you want to consider?' 0555 FORM PCS193,C20,POS215 DESO READFILE USING 585,FL5,Q\$ DEED FORM POSC1E,C30 DEED FORM POSC1E,C30 6595 N1=N1+1 0200 REWRITEFILE USING 445,FL5,'Its name is ' 0610 READFILE USING 455, FL5, A\$(N1) 6620 GOTO 505 0625 IF Q\$='NO GOTO 660 0630 REWRITEFILE USING 365, FL5, Z4 0333 READFILE USING 375, FL5, Q4 0640 GOSUE 8000 0355 GOTO 590 SEAU GOSUE BOCO 0675 REWRITEFILE USING 600,FL5,P\$, 'under consideration' 0680 FORM P05129, X10, C18, X, C30 0505 P=129 0690 GOSUB 7680 0745 P=P+128

0750 P1=P+34 0755 REWRITEFILE USING 760,FL5,'Do you want to change anything ?' 0760 FORM POSP, C33, POSP1 0765 READFILE USING 770, FL5, Q\$ 0770 FORM POSP1,C30 0773 GOSUB 8000 0775 IF Q\$='YES' GOTO 800 0780 IF Q\$='NO' GOTO 1170 0785 REWRITEFILE USING 365, FL5, Z\$ 0790 READFILE USING 375, FL5, Q4 0795 GOTO 775 0800 REM #### USER WANTS TO CHANGE SOMETHING ### 0805 REWRITEFILE USING 54,FL5,'Do you want to' 0815 REWRITEFIL" USING 820,FL5,'(1) Change the name of a ',S\$ 0820 FORM POS321,X5,C22,X,C30 0825 REWRITEFILE USING 830,FL5,'(2) Remove a',S\$ 0830 FORM POS385, X5, C13, X, C30 0835 REWRITEFILE USING 840, FL5, '(3) Add a', S\$ 0840 FORM POSH49, X5, C10, X, C30 0842 Q\$='Please type in 1, 2, or 3 :' 0845 REWRITEFILE USING 850,FL5,Q\$ 0850 FORM POS577,C27,POS405 0852 P=1 0855 READFILE USING 860, FL5, Q\$ 0860 FORM POS605,C1 0865 60508 8000 0880 REM ### CHANGE A NAME ### 0885 IF Q\$≠'1' GOTO 995 0886 GOSUB 7680 0887 P=P+128 0890 REWRITEFILE USING 895,FL5, What is the number of the ',S\$ 0895 FORM POSP, C25, C30 0397 P=P+64 0898 P1=P+20 0900 REWRITEFILE USING 905,FL5, you want to change?" 6905 FORM POSP, C19, POSP1 0-10 GOSUB 7900 9-20 P=P+64 0710 FEFTOR 07500 PIEP412 0770 REWRITEFILE USING 975,FL5, New name (\* 0775 FORH POSP,C12,POSP1 0753 READFILE JEING 985,FL5,A4(I) 0755 FORM POSP1,C 9590 0010 001 9-95 REM ### IILETE AN ITEM ### 1990 IF 03#121 0010 1100 1001 GOSUB 7:50 1002 P=P+128 1905 REWRITEFILE USING 895,FL5,'What is the number of the ',S4 1010 P=P+64 1012 P1=P+19 1015 REWRITEFILE USING 1020, FL5, 'you want to remove?' 1020 FORM POSP, C19, POSP1 1025 GOSUB 7900 1070 IF I=N1 GOTO 1090 1075 FOR J=1 TO N1-1 1080 A#(J)=A#(J+1) 1085 NEXT J 1090 N1=N1-1

Ç,

i.

62

1095 GOTO 660 1100 REM ####### ADD AN ITEM ####### 1105 IF Q\$≠'3' GOTO 755 1106 IF N1=S9 GOTO 525 1107 GOSUB 7680 1108 P=P+128 1110 N1=N1+1 1115 IF N1>S9 GOTO 525 1120 REWRITEFILE USING 1125,FL5, 'Please type the name of the ',S\$ 1125 FORM POSP.C28.C30 1127 P=P+64 1130 REWRITEFILE USING 1135,FL5, 'job you want to add' 1135 FORM POSP,C 1140 N#=CHR(N1) 1142 P=P+64 1143 P1=P+10 1145 REWRITEFILE USING 1150, FL5, '(', N\$, ')' 1150 FORM POSP, C1, X, C1, X, C1, POSP1 1155 READFILE USING 1160, FL5, A‡(N1) 1160 FORM POSP1,C30 1165 GOTO 660 1170 REM \*\*\*\*INTRODUCE METHOD OF DIFFERENCES\*\*\*\*\*\* .1171 J=0 1172 GOSUF 8000 1173 PRINT 'You are now going to be asked about differences' 1174 PRINT 'between ';P\$;'. Try to think about differences' 1175 PRINT 'Which are important to you in making your decision.' 1176 PRINT 'For instance, some people feel that certain ';P\$ 1177 PRINT 'are INTERESTING while other ';P\$;' are BORING, 1178 PRINT 'and some ';P\$;' are in between. 1179 PRINT 'This is just one example and may not be relevant to' 1130 PRINT 'you. There are no right or wrong answers, Even if' 1131 PRINT 'you are not sure that you are correct about an aspect' 1152 PRINT 'fof a ';S\$;', just work with what you imagine it' 1153 PRINT 'fo be like.' 1124 PRINT 1125 PRINT 1186 REWRITEFILE USING 64,FL5,E\$ 1127 READFILE USING 69,FL5,Q\$ 1195 J=J+1 1197 GOSUB 8000 1147 BUSDE 2000 1205 IF USEL 3070 1250 1210 SEWRITEFILE USING 1215,FL5, Attribute dimension storage ' 1215 FCRM FCE127,C28,POS157 1220 REWPITEFILE USING 1225,FL5, 'space full.' 1225 FCRM FCE157,C30 1233 GOSUB 8000 1234 GOSUB 8000 1245 GOTO 4635 1250 Q\$='Can you specify a way in which one of these' 1255 REWRITEFILE USING 53, FL5, Q\$ 1270 GOSUB 5375 1275 REM -----1280 P=193 1285 FOR I=1 TO 3 1290 N\$=CHR(]) 1295 P=P+64 1300 E=G(I) 1305 REWRITEFILE USING 1310, FLS, '(', N\$, ')', A\$(E) 1310 FORM POSP, C1, X, C2, X, C1, X, C30

1315 X\$(I)=A\$(E) 1320 NEXT I 1325 Q\$='is different trom the constraints of the set o 1325 Q\$='is different from the other two (in a way that matters' 1337 REWRITEFILE USING 1340,FL5,Q4 1340 FORM POS577,C55,POS633 1345 READFILE USING 1350, FL5, Q\$ 1350 FORM POS633,C 1355 REWRITEFILE USING 64,FL5,Y\$ 1360 IF Q\$≠'NO' GOTO 1385 1365 FOR I=1 TO 16 **1370 PRINT** 1375 NEXT I 1380 GOTO 1250 1385 IF Q\$='YES' GOTO 1410 1390 REWRITEFILE USING 365, FL5, Z\$ 1395 REWRITEFILE USING 1400, FL5, Y\$ 1400 FORM POS513,C63 1405 GOTO 1325 1410 REWRITEFILE USING 1415,FL5, What is the number next to the 1415 FORM POS641,C32,POS673 1420 REWRITEFILE USING 1425,FL5,S\$ 1425 FORM POS673,C 1430 REWRITEFILE USING 1435, FL5, S\$, 'that differs ?' 1435 FORM POS705,C16,POS721 1440 READFILE USING 1445, FL5, C\$ 1445 FORM POS721,C 1445 PORT .C.1. 1450 Q\$=STR(C\$,1,1) 4457 TF Q\$<'1' GOTO 1465 1452 IF Q\$<'1' GOTO 1465 1455 IF Q\$>'3' GOTO 1465 1457 D=NUM(Q\$) 1460 GOTO 1490 1463 REWRITEFILE USING 1470,FL5, Please type 1 , 2 or 3 1470 FORM POS769, C28, POS797 1475 READFILE USING 1480,FL5,C\$ 1480 FORM P02797,C 1485 GOTO 1450 1470 BOSUB 8500 1505 Q#='You have said that' 1507 REWRITEFILE USING 1510,FL5,Q\$,X\$(D) 1310 FORM POSSE DIB,X,C 1517 FORM POSSE DIB,X,C 1515 REWRITEFILE USING 1520,FL5,'is different from (' 1525 FORM POS129,C20 1525 C=0 1530 FOR I=1 TO 3 1575 IF I=D 6076 1575 1540 IF C=1 6070 1565 1545 REUPITEFILE USING 1550,FL5,X\*(I),'and' 1550 FORM POS197,C30,C4,POS237 1555 C=1 1560 GOTO 1575 1565 REWPITEFILE USING 1570, FL5, X\$(I) 1570 FORM POS237,030 1575 NEXT 1 1580 Q\$='In not more than three words each time, please describe' 1585 REWRITEFILE USING 56, FL5, 01 1590 Qu='how the three differ from each other.' 1595 REWRITEFILE USING 57, FL5, Q4

.

64

A. \$94
1600 REWRITEFILE USING 1605, FL5, 'First describe ', X\$(D) 1605 FORM POS449,C15,C30 1610 REWRITEFILE USING 1615, FL5, X\$(D), 'is :' 1615 FORM POS513, C30, X, C5, POS577 1620 READFILE USING 60, FL5, B\$(J) 1630 REWRITEFILE USING 61,FL5, 'On the other hand,' 1640 C=0 1645 FOR I=1 TO 3 1650 IF I=D GOTO 1690 1655 IF C=1 GOTO 1680 1660 REWRITEFILE USING 1665, FL5, X\$(I), 'and' 1665 FORM POS705, C30, C4, POS739 1670 C≠1 1675 GOTO 1690 1680 REWRITEFILE USING 1685, FL5, X\$(I), 'are :' 1685 FORM POS739,C25,C5 1690, NEXT 1 1695 READFILE USING 63, FL5, C\$(J) 1705 REWRITEFILE USING 1710, FL5, 'Are you reasonably happy with' 1710 FORM P05833, C30, P05863 1715 REWRITEFILE USING 1720, FL5, 'this description ?' 1720 FORM POS863,C20,POS883 1725 READFILE USING 1730, FL5, Q\$ 1730 FORM POS883,C10 1735 IF Q\$='ND' GOTO 1760 1740 IF Q\$='YES' GOTO 1825 1745 REWRITEFILE USING 365, FL5, Z\$ 1750 READFILE USING 375, FL5, Q\$ 1755 GOTO 1735 1760 GOSUB 8000 1775 REWRITEFILE USING 1780,FL5,'Do you want to describe again' 1780 FORM POS193,C30,POS223 1785 REWRITEFILE USING 1790, FL5, 'how', X\$(D) 1790 FORM POS223,C3,X,C 1795 REWRITEFILE USING 1800,FL5, differs from the other two ?" 1800 FORM PG5257, C30, POS287 1905 READFILE USING 1810,FL5,Q\$ 3810 FORM POS237,C 1215 IF GS='YES' GOTO 1490 1223 IF GS='ND' COTO 1197 1225 REM #### HAPPY WITH DESCRIPTION #### 1330 REM ##### ELICIT J-SCALED SCORES OF ITEMS ON CURRENT DIM ## SEE BOSUP 2009 SEE D\$="C.M. You now have a scale going from" 1250 REWRITEFILE USING 51, FL5, Q\$ 1305 P=65 1970 GOSUB 8860 1935 REWRITEFILE USING 1957, FLS, 'Is this scale O.K?' 1957 FORM PCS7c7, C17, POS787 1958 READFILE USING 1959, FL5, Q\$ 1959 FORM POS737, C10 1960 IF Q\$='YES' GOTO 1970 1961 IF Q\$='NO' GOTO 1760 1963 REWRITEFILE USING 1964, FL5, Z\$ 1964 FORM POS833, C25, POS859 1965 READFILE USING 1966, FL5, Q\$ 1966 FORM P05859,010 1967 6010 1960 1970 GOSUE 8000

4

3

1972 Q\$='It should be possible to give each 1974 REWRITEFILE USING 1975, FL5, Q\$, 5\$ 1975 FORM POS1, C35, C29 1980 Q\$='a rating from 1 to 9 according to its position' 1985 REWRITEFILE USING 52,FL5,Q\$ 1990 Q\$='on the scale' 1995 REWRITEFILE USING 53,FL5,Q\$ 2000 P=193 2005 GOSUB 8860 2065 P=211 2080 FOR I=1 TO N1 2085 P=P+64 2090 P1=P+44 2100 REWRITEFILE USING 2105, FL5, Y\$ 2105 FORM PDSP,C46 2130 REWRITEFILE USING 2135, FL5, Your rating of ', A\$(I), 'is :' 2135 FORM POSP, C14, X, C24, C5, POSP1 2140 READFILE USING 2145, FL5, I\$ 2145 FORM POSP1,C2 2150 Q\$=STR(I\$,1,1) 2152 IF Q\$<'1' GOTO 2165 2155 IF Q\$>'9' GOTO 2165 2157 Z(I, J)=NUM(Q\$) 2160 GOTO 2200 2165 P2=P+64 2172 Q\$='Please type a number between 1 and 9' 2175 REWRITEFILE USING 2180,FL5,Q\$ 2180 FORM POSP2,C36 2195 GOTO 2100 2200 NEXT I 2210 P=P+64 2215 P1≈P+23 2220 REWRITEFILE USING 2225,FL5, 'Are these ratings OK ?' 2225 FORM POSP,C23,POSP1 2230 READFILE USING 2235,FL5,Q\$ 2235 FORM PCSP1,C10 2240 IF Q\$='YES' GOTO 2530 2245 IF Q\$='YO' GOTO 2265 2250 REWRITEFILE USING 2252,FL5,Z\$ 2250 REWRITEFILE USING 2252,FL5,Z\$ 2252 FORM POS663,C26,POS890 2255 READFILE USING 2257,FL5,Q\$ 2250 GOTO 2240 2250 GOTO 2240 2250 REM \*\*\* 04TINGS NOT OK \*\*\*\* 2173 GOBUB 8/80 2272 P1=0 2275 GOTO 8270 2520 H(J)=-179 2525 GOTO 1185 2530 REM \*\*\*\* ELICIT IDEAL POINT \*\*\*\* 2535 GOSUR 8000 2540 Q\$='Thinking only about the scale below, what position' 2545 REWRITEFILE USING 51,FL5,0\$ 2550 Q\$='on the scale would you like most of all for' 2555 REWRITEFILE USING 52,FL5,Q4 2560 REWPITEFILE USING 2565,FL5,'an IDEAL ',S4 2565 FORH POS129, 69, 640 2575 P=193 2590 GOSUR 8840

.

4

---

66

.

2585 REWRITEFILE USING 2590, FL5, 'Your best possible value is :' 2590 FORM POS403,C29,POS433 2765 READFILE USING 2770,FL5,I\$ 2770 FORM P05433,C5 2775 Q\$=STR(I\$,1,1) 2775 Q\$=STR(I\$,1,1) 2775 P Q\$=STR(I\$,1,1) 2780 JF Q\$>'9' GOTO 2860 2802 B(J)=NUM(Q\$) 2805 REWRITEFILE USING 2810, FL5, 'Is this alright? ' 2810 FORM P05595, C17, P05612 2815 READFILE USING 2820, FL5, Q\$ 2820 FORM P05612,C10 2825 GOSUB 8000 2830 IF Q\$='YES' GOTO 3080 2835 IF Q\$='NO' GOTO 2920 2840 REWRITEFILE USING 2252, FL5, Z\$ 2845 REWRITEFILE USING 2850, FL5, Y\$ 2850 FORM P05595,C46 2855 GOTO 2805 2860 REM \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\* 2865 REWRITEFILE USING 2870, FL5, Y\$ 2870 FORM POS403,C46 2875 Q\$='Please type a number between 1 and 9' 2885 REWRITEFILE USING 2890,FL5,Q\$ 2890 FORM P05531,C36 2895 GOTO-2585 2920 REM \*\*\*\*RATINGS NOT O.K.\* 2925 GOSUB 8000 2930 P1=1 2933 GOTO 8270 3080 REM ------ J TO I SCALE MAPPING -----3085 GOSUB 8000 3100 D1=9-B(J) 3105 IF B(J)<5.01 GOTO 3115 3110 D1=B(J) 3115 FOR 1=1 TO N1 3120 D2=Z(I,J)-B(J) 3125 X(I,J)=D1-ABS(D2) 3130 NEXT I 3145 X1=10 3150 X2=0 3155 FOR I=1 TO N1 3160 IF X(I,J)>X1 GOTO 3170 3165 X1=X(I,J) 3170 IF X(I,J)<X2 GOTO 3180 3175 X2=×(1,J) 3180 NEXT I 3185 X2=X2-X1 3190 IF X2>.5 GOTO 3395 3195 REM \*\*\*\*\*\*\*\*\*\* 3200 REM ------ ALMOST NO RANGE ON I SCALE ------3205 REWRITEFILE USING 3210,FL5, 'There seems to be very tittle' 3210 FORM POS65,C30,POS95 3215 REWRITEFILE USING 3220, FL5, 'variation in your preference' 3220 FORM PDS95,C30 3225 REWRITEFILE USING 3230, FL5, 'ordering of', P\$

3230 FORM POS129, C12, X, C30, POS172 3235 REWRITEFILE USING 3240, FL5, 'on this scale' 3240 FORM POS172,C15 3245 REWRITEFILE USING 3250,FL5, You have the choice of :" 3250 FORM PDS257,C30 3255 REWRITEFILE USING 3260, FL5, '1) Changing your ratings on' 3260 FORM PDS389,C29,POS418 3245 REWRITEFILE USING 3270, FL5, 'this scale' 3270 FORM POS418,C30 3275 REWRITEFILE USING 3280,FL5,'2) Changing the ideal value' 3280 FORM POS453,C30 3285 REWRITEFILE USING 3290, FL5, '3) Changing nothing' 3290 FORM POS517,C30 3295 REWRITEFILE USING 3300,FL5, 'Please type your choice.' 3300 FORM POS641,C27,POS668 3305 REWRITEFILE USING 3310,FL5,'1 ,2 or 3 :' 3310 FORM POS668,C17,POS685 3315 READFILE USING 3320, FL5, I\$ 3317 GOSUB 8000 3320 FORM P05685,05 3325 IF I\$='1' GOTO 1972 3330 IF I\$='2' GOTO 2540 3335 IF I\$='3' GOTO 3355 3340 REWRITEFILE USING 3345, FL5, Y\$ 3345 FORM PDS641,C63 3350 GOTO 3295 3355 REM \* 3360 REWRITEFILE USING 3365, FL5, 'OK' 3365 FORM POS705,C2 3370 REWRITEFILE USING 64, FL5, 'Press EXECUTE to proceed' 3375 READFILE USING 3060, FL5, 0\$ 3385 H(J)=-99 3390 GOTO 4045 3395 REM \*\*\*COMPUTE VARIANCE IN PREFERENCE ORDERINGS\*\*\* 3400 V(J)=0 3405 FOR I=1 TO N1 3410 X(I,J)≈(X(I,J)-X1)/X2 3415 Y(J)=7(J)+X(I,J) 2420 V(J)=V(J)+X(I,J)+2 3425 NEXT I 3430 S(U)=(N1+V(U)-Y(U)+2)/N1 3435 N2=N2-1 2440 H(U)=1 3445 IF 82 2 80TO 4045 0150 REX <del>-\*\*\*</del>\*\*\*\*\*\*\*\* 3455 REH ------ WCUI CHECKING ------3460 FOR M=: TO J-1 3465 GOSUB 2000 3480 R(M,J)=-2 3485 IF H(M) .5 GOTO 3740 3490 R1=0 3495 FOR I=1 TO N1 3500 R1=R1+X(I,J)\*X(I,M) 3505 NEXT I 3510 R1=(N1+R1-Y(J)+Y(M))/N1 3515 R(M, J)=R1/SQR(S(J)\*S(M)) 3520 IF R(H, J) <.866 GDTO 3740 3525 REN ------3530 REM \*\*\*\* CHECK WITH USER ABOUT RATINGS \*\*\*\*

3535 REWRITEFILE USING 3540, FL5, 'Your preferences for the 3540 FORM P0565,C30,P0595 3545 REWRITEFILE USING 3550, FL5, PS 3550 FORM POS95,C30 3555 REWRITEFILE USING 3560,FL5,'under consideration in terms' 3560 FORM POS129, C29, POS158 3565 REWRITEFILE USING 3570,FL5,'of their ratings on the scale' 3570 FORM POS158,C30 3575 REWRITEFILE USING 3580,FL5, 'ranging from',B\$(M) 3580 FORM POS193,C14,C30,POS237 3585 REWRITEFILE USING 3590,FL5, 'to',C\$(M) 3590 FORM P0S237,C3,C30 3595 REWRITEFILE USING 3600,FL5,'seem very much the same as ' 3600 FORM PDS257,C28,PDS285 3605 REWRITEFILE USING 3610, FL5, 'your preferences for the' 3610 FORM POS285,C31 3615 REWRITEFILE USING 3620,FL5,P\$,'in terms of their ratings' 3620 FORM POS321,C30,C30 3625 REWRITEFILE USING 3630,FL5, 'on the scale ranging from' 3630 FORM POS385,C28,POS413 3635 REWRITEFILE USING 3640,FL5,B\$(J) 3640 FORM POS413,C30 3645 REWRITEFILE USING 3650, FL5, 'to', C\$(J) 3650 FORM POS449, C4, C30 3655 REWRITEFILE USING 3660, FL5, Does this mean that these two 3660 FORM PO\$513,C30,PO\$543 3665 REWRITEFILE USING 3670, FL5, 'scales mean similar things' 3670 FORM P0\$543,C30 3675 REWRITEFILE USING 3680, FL5, 'to you ?' 3680 FORM PDS577, C8, PDS586 3685 READFILE USING 3690, FL5, Q\$ 3690 FORM POS586,C10 3700 IF Q\$='YES' GOTO 3755 3705 IF Q\$='NO' GOTO 3730 3710 REWRITEFILE USING 365, FL5, Z\$ 3715 REWRITEFILE USING 3720, FL5, Y\$ 3720 FORM P05577, C63 3725 GOTO 3675 3730 REWRITEFILE USING 3735, FL5, 'DK' 3731 REWRITEFILE USING 64, FL5, PRESS EXECUTE TO PROCEED 3732 READFILE USING 69, FL5, 0\$ 3733 GOSUB 8000 2735 FORM POST05,C2 3740 NEXT # 3745 8878 4045 3755 REM +++++ CONSTRUCTIVIST SOLUTION \*\*\*\* 3757 GOSUF 8000 3760 H(J)=+H 3765 N2=N2-1 3770 K1=J+1 3775 IF K12S1 GOTO 3800 3795 GOTO 1210 3800 H(M)=-J 3805 N2=N2-1 3810 M1=0 3815 J1=0 3820 52=0 3825 FOR I=1 TO N1

3830 H1=M1+Z(I,M) 3835 J1=J1+Z(I,J) 3840 S2=S2+Z(I, J)\*Z(I, M) 3845 NEXT I 3850 R1=N1\*S2-J1\*M1 3855 Q\$='D.K. Please type in a word (or phrase of not more than' 3860 REWRITEFILE USING 51, FL5, Q4 3865 Q\$='three words) which has the same meaning as both' 3870 REWRITEFILE USING 52,FL5,Q\$ 3900 REWRITEFILE USING 3905,FL5,B\$(M),'and' 3905 FORM POS129,C30,C4 3910 IF R1<0 GOTO 3930 3915 REWRITEFILE USING 3920, FL5, B\$(J) 3920 FORM POS163,C30 3922 GOTO 3935 3930 REWRITEFILE USING 3920,FL5,C\$(J) 3935 REWRITEFILE USING 3940,FL5,'Your new words(s) ;' 3940 FORM POS257, C20, POS321 3945 READFILE USING 3950, FL5, I\$ 3950 FORM POS321,C60 3955 Q\$='Now please type in a word (or phrase of not more than' 3960 REWRITEFILE USING 59,FL5,Q\$ 3965 Q\$='three words) which has the same meaning as both' 3970 REWRITEFILE USING 60,FL5,Q\$ 3975 REWRITEFILE USING 3980,FL5,C\$(M) 3980 FORM POS641,C30 3985 IF R1<0 GOTD 4005 3990 REWRITEFILE USING 3995,FL5, 'and',C\$(J) 3995 FORM POS672,C3,C30 4000 GOTO 4010 4005 REWRITEFILE USING 3995,FL5, and ,B\$(J) 4010 REWRITEFILE USING 4015,FL5, 'Your new word(s) :' 4015 FORM POS705, C20, POS769 4020 J=K1 4025 READFILE USING 4030, FL5, C\$(J) 4030 FOR# FC5769,C60 4935 B\$(J)=I\$ 4040 GOTO 1230 -345 RE\* -----4357 REm →→→→→5EE IF PERSON WANTS A SUMMARY\*\*\*\*\*\*\*\*\* →367 F MC 2 DOTO 1195 -(422 IF FME 2 DOTO 1195 -045 BUDLE 3000 -970 Gar/Would you like to be reminded of the information you" 4075 REWPITEFILE USING 52, FL5, Q\$ 4080 QS='have put in so far? 4085 REWRITIFILE USING 4090, FL5, Q\$ 4090 FORM POS129, C19, POS150 4110 READFILE USING 4115, FL5, Q4 4115 FORM POS150, C10 4125 IF Q\$≠'YES' GOTO 4140 4127 GOSUH 8000 4135 GOTO 4990 4140 IF Q\$≓'ND' GOTO 4145 4141 GOSUB 8000 4142 GOTO 4165 4145 REWRITEFILE USING 365, FL5, Z4

Ï

4150 REWRITEFILE USING 4155, FL5. YS 4155 FORM POS129,C63 4160 GOTO 4080 4165 REM \*\*\*\*\*DIRECT ENTRY OF DIMENSION POLES\*\*\*\*\* 4170 Q\$='Can you think of any other way that the' 4175 REWRITEFILE USING 4180,FL5,Q\$,P\$ 4180 FORM POS1, C39, X, C25 4185 REWRITEFILE USING 4190, FL5, 'differ from each other ?' 4190 FORM P0565, C24, P0590 4230 READFILE USING 4235, FL5, Q4 4235 FORM PDS90,C10 4240 REWRITEFILE USING 64,FL5,Y\$ 4245 IF Q\$='YES' GOTO 4275 4250 IF Q\$='NO' GOTO 4500 4255 REWRITEFILE USING 365, FL5, Z\$ 4260 REWRITEFILE USING 52, FL5, Y\$ 4270 GOTO 4185 4275 J=J+1 4320 IF J>S1 GOTO 1210 4325 Q\$='In not more than three words each time, please describe 4330 REWRITEFILE USING 54, FL5, Q\$ 4335 Q\$='how some of them differ from the others:' 4340 REURITEFILE USING 55,FL5,Q\$ 4355 REWRITEFILE USING 4360,FL5,'Some are :' 4360 FORM POS385, C10, POS397 4365 READFILE USING 4370, FL5, B\$(J) 4370 FORM PD5397,C52 4395 REWRITEFILE USING 4400,FL5, Wheras others are :' 4400 FORM POS449,C19,POS469 4405 READFILE USING 4410, FL5, C\$(J) 4410 FORM POS469, C44 4415 REWRITEFILE USING 4420, FL5, 'Are you reasonably happy with' 4420 FORM POS577,C30,POS607 4425 REWRITEFILE USING 4430,FL5,'this description ?' 4430 FORM POS607, C20, POS627 4435 READFILE USING 4440, FL5, Q\$ 4440 FORM P05627,C 1450 IF Q5≠ YES' GOTO 4455 -451 GOBUE 3000 4452 GOTO 1815 4455 IF Q\$≠'ND' GOTD 4480 4460 GOSUB 5000 -20 CONDU 2000 4475 GOTO 4155 -431 FELPITEFILE USING 365,FL5,Z\$ 4-25 HERRITEFILE USING 59,FL5,Y\$ 4-25 COTO 4415 100 CON ····· \* 4305 REM \*\* HO ANDITIONAL WAY OF RATING SIM. AND HIFF. \*\* 4515 GOSUE 8:00 4520 IF N2:3 GOTO 1195 4530 REWRITEFILE USING 4535,FL5, 'Do you think you have now' 4535 FORM P0365, C26, P0891 4540 REARITEFILE USING 4545, FL5, 'worked through enough of the' 4545 FOPM P0591,030 4550 REWRITEFILE USING 4555, FLS, 'main ways of describing' 4555 FORM POS129,024, POS153 4560 REURITEFILE USING 4565, FL5, "similarities and differences" 4565 FORM P05153,050 4570 REWRITEFILE USING 4575, FLS, 'between the', P4, 'which you'

4575 FORM POS193, C12, C30, C 4580 REWRITEFILE USING 4585, FL5, 'think are important ?' 4585 FORM POS257, C23, POS280 4590 READFILE USING 4595, FL5.Q\$ 4595 FORM P05280,C 4605 IF Q\$='YES' GOTO 4635 4610 IF Q\$≠'ND' GOTO 4615 4611 GOSUB 8000 4612 GOTO 1195 4615 REWRITEFILE USING 365, FL5, Z\$ 4620 REWRITEFILE USING 4625, FL5, Y\$ 4625 FORM P08257,C63 4640 REWRITEFILE USING 4645,FL5, 'Do you want to investigate' 4645 FORM POS385, C27, POS412 4650 REWRITEFILE USING 4655,FL5, 'your preferences among the' 4655 FORM POS412,C30 4660 REWRITEFILE USING 4665, FL5, P\$, 'on the basis of the' 4665 FORM POS449, C30, C20, POS499 4670 REWRITEFILE USING 4675, FL5, 'similarities' 4675 FORM POS499,C 4680 REWRITEFILE USING 4685,FL5, and differences you have ' 4685 FORM POS513, C28, POS541 4690 REWRITEFILE USING 4695, FL5, 'described so far ?' 4695 FORM POS541, C20, POS561 4700 READFILE USING 4705, FL5, Q\$ 4705 FORM POS561,C 4715 IF Q\$='YES' GOTO 4855 4720 IF Q\$='NO' GOTO 4745 4725 REWRITEFILE USING 365, FL5, Z\$ 4730 REWRITEFILE USING 4735, FL5, Y\$ 4735 FORM POS513,063 4740 GOTO 4680 4745 REM +++++ 4746 REWRITZFILE USING 61,FL5, D.K. that is all for now." 4747 PAUSE 4747 PAUSE 4747 PAUSE 4748 GOSUF 3000 4750 PEWPITEFILE USING 4755,FL5,'Do you want to save all this' 4755 FORM F05341,C30,PO5671 4760 REWRITEFILE USING 4765,FL5,'information ?' 4770 REWRITEFILE USING 4765,FL5,Cinformation ?' 4770 REWRITEFILE USING 4775,FL5,Q\$ 4770 REWFILE USING 4787 60905 E000 4790 GOBUE 5425 4795 GOTO 4825 4800 IF Q#= "+0" GOTO 4830 4805 REWRITEFILE USING 365, FLS, 74 4810 REWRITEFILE USING 4815, FL5, Y4 4815 FORM POS641,C63 4820 GOTO 4750 4825 PRINT 'DATA NOW FILED IN FILE NUMBER';52 4830 PRINT 'MAUD HAS NOW FINISHED." 4845 STOP \*\*\*\*\*\*\*\* 4855 WRITEFILE USING 64, FL5, E4 4860 READFILE USING 69, FL5, 04

.

6

4865 GOSUB 8000 4880 IF K2=0 GOTO 4970 4885 REWRITEFILE USING 4890,FL5, 'Do you want to complete your' 4890 FORM POS65,C30,POS95 4895 REWRITEFILE USING 4900, FL5, 'previous (incomplete)' 4900 FORM POS129, C22, POS151 4905 REWRITEFILE USING 4910, FL5, 'investigations of preferences' 4910 FORM POS151,C30 4915 REWRITEFILE USING 4920,FL5, (rather than start again) ?" 4920 FORM POS193, C29, POS222 4925 READFILE USING 4930, FL5, Q\$ 4930 FORM PDS222,C30 4940 IF Q\$='YES' GOTO 4980 4945 IF Q\$='NO' GOTO 4970 4950 REWRITEFILE USING 365, FL5, Z\$ 4955 REWRITEFILE USING 54, FL5, Y\$ 4965 GOTO 4915 4970 REM \*\*\*\*\* 4975 K2=0 4985 CHAIN 'E90',3 4990 CHAIN 'E80',2 5000 WRITEFILE USING 5005, FL5, 'Press EXECUTE to proceed' 5005 FORM POS449,C30,POS479 5010 READFILE USING 5015, FL5, Q\$ 5015 FORM POS479,C 5020 FOR I=1 TO 16 5025 PRINT 5030 NEXT I 5115 IF H(J)=0 GOTD 5125 5120 GOTO 4165 5125 J\$=CHR(J) 5150 REWRITEFILE USING 5155,FL5,'Do you want to rerate',P\$ 5155 FORM P0865,C21,X,C30 5160 REWRITEFILE USING 5165,FL5, 'on dimension (',J\$,')' 5145 FORM PCE129, C15, C2, C1, POS150 5175 FORM FEELD, USING 5175, FL5, Q\$ 5175 FORM FDE150, C30 5180 REWFITEFILE USING 2360, FL5, Y\$ 5185 IF DIE 21' GOTO 4165 5186 IF DIE 25' GOTO 1835 5230 Q‡=E÷ 5295 COTO 100 5305 WRITEFILE USING 5225, FLS, 'None 5370 6010 220 5375 REN \* 5380 REM \*\*\*\*\*\*\*\* SUBROUTINE \*\*\*\*\*\*\*\*\*\*\*\*\*\* 5385 REM ###### RANDOM TRIAD GENERATOR ###### 5390 G(1)=INT(N1+RND+1) 5395 G(2)=101(01+P(D+1) 5400 IF G(1)=G(2) GOTO 5395

1

r

5405 G(3)=INT(N1\*RND+1) 5410 IF G(1)≈G(3) GOTO 5405 5415 IF G(2)≈G(3) GOTO 5405 5420 RETURN 5420 REN TELE NUMBER FOR DATA?'; 5430 INPUT 52 5431 S3=S2+1 5432 S4≈S3+1 5433 S5\*S4+1 5435 WRITEFILE FLS, 'F' 5437 OPEN FL1, 'E80', S2, 'F1', OUT, IOERR 5990 5440 PUT FL1, T\$, S\$, P\$, J, N1, N2, K2 5445 CLOSE FL1 5450 OPEN FL2, 'E80',S3, 'F2',OUT,IDERR 5990 5455 MAT PUT FL2,A\$,B\$,C\$ 5460 CLOSE FL2 5465 OPEN FL3, 'E80',54, 'F3',OUT, IDERR 5990 5470 MAT PUT FL3, H, S, B, W, U, L, Y 5475 CLOSE FL3 5480 OPEN FILE FL4, 'E80', 55, 'F4', OUT, RECL≈3200, SEQ, IOERR 5990 5481 WRITEFILE FL4, MATZ 5482 WRITEFILE FL4, MATX 5483 WRITEFILE FL4, MATR 5490 CLOSE FILE FL4 5500 RETURN 5999 STOP 6000 REM \*\*\*\*\*\*\*\* READ DATA FROM FILE\*\*\*\*\*\*\*\*\*\* 3302 PRINT 'FILE NUMBER FOR DATA?'; 6003 INPUT 22 3004 53=52-1 2005 54=S2+ 0006 S5=S4-1 2005 83584-1 2007 GOSUE 2000 2010 GFEN FL1. 2301,82,1F11,IN,IDERR 6990 2015 CET FL1.73 54,P4,J,N1,N2,K2 2020 CL082 FL1 2020 FL2 2035 CLASE FL2 2040 OFFA FL2, 18801,54, 1931, JN, JOERR 6990 CONS MAT GET FL3, H, S, B, W, U, L, Y 6950 CLOSE FL3 6055 OPEN FILE FL4, 'E80', 55, 'F4', IN, IDERR 6990 6056 READFILE FL4, MATZ 6057 READFILE FL4, NATX 6058 READFILE FL4, MATR 6060 CLOSE FILE FILE 6070 PRINT 'DO YOU WANT A SUMMARY OF THE MATERIAL ON FILE?'; 6080 INPUT OF 6090 JE 04- YES' DOTO (150 6100 IF 64+ NOT DDID SHER 6120 PRIMT 24 6130 PRINT

74

• •

:

6140 GOTO 6070 6150 CHAIN 'E80',2 6990 PRINT 'BAD FILE, RUN ABANDONED' 6995 STOP 7680 REM \*\*\*\*\*SUBROUTINE\*\*\*\*\*DISPLAY ALTERNATIVES 7690 FOR I=1 TO N1 7692 I\$=CHR(I) 7695 P=P+64 7700 REWRITEFILE USING 7705, FL5, '( ', I\$, ' ) ', A\$(I) 7705 FORM POSP, C2, C1, C3, C30 7710 NEXT I 7715 RETURN 7900 REM \*\*\*\*\*\*SUBROUTINE\*\*\*\*\* CHECK NUMERIC INPUT IS IN RANGE 7910 READFILE USING 7915, FL5, C\$ 7915 FORM POSP1,C 7920 I=NUM(C\$) 7925 IF I>N1 GOTO 7935 7930 IF I>O GOTO 7970 7935 P=P:64 7937 I\$=CHR(N1) 7940 Q\$='Please type a number between 1 and ' 7945 REWRITEFILE USING 7950,FL5,Q\$,I\$ 7950 FORM POSP, C36, C1, POSP1 7955 READFILE USING 7960, FL5, C4 7960 FORM POSP1,C 7965 GOTO 7920 7970 RETURN 8000 REM \*\*\*\*\*\*SUBROUTINE\*\*\*\*\*\*CLEAR SCREEN\*\*\*\*\*\* 8005 FOR I=1 TO 16 8010 PRINT 8020 NEXT I 8025 RETURN 5270 REM \*\*\*\*\*\*SUBROUTINE\*\*\*\*\*CHANGE RATINGS\*\*\*\*\*\*\*\*\*\*\*\* 8275 REWRITEFILE USING 51,FL5, You can' 8280 Q\$=' (1) Cancel this scale (1) Cancel this scale (and all ratings on (1)) Eas REURITEFILE USING 53, FL5, R\$ 230 Lis Thease type in 1, 0 235 Reading The USING 8340, FL5, Q\$ 8340 FC0/ 700513, C30, PU5044 8345 READFILE USING 8350, FL5, Q\$ 8350 FORM P23544, C10 8355 IF Q4∞'1' 6070 8390 8355 IF Q4∞'1' 6070 8390 8365 IF Q4∞'3' 6070 8390 8365 IF Q4∞'2'8P1≈0 6070 8390 8367 605UF 8000 8370 IF Q\$≠'1' 8375 H(\_D= 299 GOTO 8380 8377 GDT0 1195 E380 JF D1='2' GOTD 1972

م الحاد المساديم

75

.

8385 GOTO 2540 8390 REWRITEFILE USING 59, FL5, Y\$ 8392 IF P1=0 GOTO 8400 8395 Q4=' You must 8397 GOTO 8405 You must choose one of 1, 2, or 3' You must choose either 1 or 2 ' 8400 Q\$=' 8405 REWRITEFILE USING 61, FL5, Q\$ 8410 GOTO 8320 8860 REM \*\*\*\*\*\*SUBROUTINE\*\*\*\*\*DISPLAY J-SCALE\*\*\*\*\*\* 8685 REWRITEFILE USING 68,FL5,B\$(J) 8900 FOR I=1 TO 9 8905 P=P+64 8910 I\$=CHR(I) 8915 IF I#5 GOTO 8930 8920 REWRITEFILE USING 8935, FL5, '5 to ' 8925 GOTO 8940 8930 REWRITEFILE USING 8935, FL5, 1\$ 8735 FORM POSP,C30 8940 NEXT I 8945 P=P+64 8950 REWRITEFILE USING 8935, FL5, C\$(J) 8955 RETURN 9999 S10P

Ţ

÷ !

0010 REM 0020 REM ### LOG ### CHAINING WITH COMMON USE AREA 0030 REM 0050 USE T\$60 S\$30,P\$30 0060 USE C, J, N1, N2, K2, S1 0070 USE A\$60(20), B\$60(20), C\$60(20) 0380 USE Z(20,20),X(20,20),R(20,20) 0070 USE H(20),S(20),B(20),W(20),U(20),L(20),Y(20) 0100 DIM Q\$60,X\$60(3),Y\$60,Z\$60 0110 DIM F(20),G(20) 0140 REM ### 0150 REM --------0160 Y\$= 0170 Z\$='Please type YES or no 0180 X\$=' 0190 REM #### 0210 PRINT FLP 0220 PRINT FLP, '\*\*\*\*\* SUMMARY FOR ';T\$;' 0230 PRINT FLP 0240 PRINT FLP,X\$;P\$;' UNDER CONSIDERATION : -' 0260 IF N1<1 GOTO 2120 0280 FOR I=1 TO N1 0300 I\$=CHR(1) 0310 PRINT FLP,X\$;'(';I\$;') ';A\$(I) 0320 IF U(I)<-.5 GOTO 350 0330 PRINT FLP, 'PREFERENCE VALUE ='; 0340 PRINT USING 350, FLP, U(I) 0350 ;##,### 0360 PRINT FLP 0370 PRINT FLP 0380 NEXT I 0390 PRINT FUP 0390 PRINT FLP,X\$; ATTRIBUTE DIMENSIONS USED: 0410 PRINT FLP,X\$; ATTRIBUTE DIMENSIONS USED: 0410 PRINT FLP 0420 IF Loi COTO 2140 0430 FTR H=1 TO U 0450 PRENT FLP,X\$;'(';M\$;') ';B\$(M);' (1), 0450 PRENT FLP,X\$;'' TDEAL VALUE =';B(M) ';B\*(M);' (1).....TO......'. 0489 (\* - - --100 6010 620 0450 642 0 720,X\$;' 11 0500 07 -- 0 6010 650 0710 07 - - =0 6010 570 0720 07 - - -90 6010 520 IDEAL VALUE =';B(M) 2530 Et≠++ € 0546 ANDET FUP, '(DIMENSION CANCELLED BECAUSE OF SIMILARITY'; 0556 FFIRT FUP, 'WITH DIMENSION';H1;')' 0550 CHTO 250 0550 CHTO 250 0570 PRANT FLP, "(PATINGS INCOMPLETE: DIMENSION CANCELLED)" 0580 0610 350 0590 PRINT FLP, '(NO VARIANCE IN PREFERENCE ORDERING ON THIS'; 0600 PPINT FLP, 'DIMENSION)' 0610 6010 650 0620 PRINT FLP, '(RATINGS CANCELLED ON THIS SCALE)' 0630 JF H(H)>-200 6010 650 0640 IF H(H)>2.5 GUID 710 0640 IF H(H)>2.5 GUID 720 0640 IF H(H)>2.5 GUID 710 0670 PRINT FLP, X4; 1 RELATIVE INFORTANCE = ';

77

g

l

0680 PRINT USING 350, FLP, W(M) 0690 PRINT FLP 0700 GOTO 730 0710 PRINT FLP, '(INVESTIGATION OF RELATIVE IMPORTANCE'; 0720 PRINT FLP, 'INCOMPLETE)' 0730 PRINT FLP 0740 NEXT M 0750 PRINT FLP 0760 PRINT FLP 0770 PRINT FLP, 'RATINGS OF '; P\$;' ON ATTRIBUTE DIMENSIONS' 0780 PRINT FLP 0810 PRINT FLP, STR(S\$,1,9); TAB(10); 0820 FOR I=1 TO N1 0830 : #### 0840 PRINT USING 830, FLP, I; 0850 NEXT I 0860 PRINT FLP 0870 PRINT FLP, 'ATTRIBUTE' 0880 PRINT FLP, 'DIMENSION' 0870 FUR M=1 TO J 0900 M\$=CHR(M) 0910 PRINT FLP, '(';M\$;') ٠; 0920 FOR I=1 TO N1 0930 :##.## 0940 PRINT USING 930, FLP, Z(I, M); 0950 NEXT I 0960 PRINT FLP 0970 IF H(M)<-90 GOTO 1110 0980 IF H(M)=0 GOTO 1090 0990 PRINT FLP, VALUE ; 1000 FOR I=1 TO N1 1010 PRIMT USING 930, FLP, X(1, K); 1020 NEXT 1 1030 PRI/T FLP 1040 IF H +>>0 GOTO 1150 1050 H1=-r.() 1050 PRINT FLP, (RATINGS CANCELLED BECAUSE OF SIMILARITY TO'; 1070 PRINT FLP,H1; )) 1070 FRINCICLARY 1790 GOTE LIE: 1790 FRINT FLE: (RATINGS INCOMPLETE: CANCELLED) 1590 FUTE LIE: 1110 IF H = -100 GOTO 1140 1120 THE FLE: (NO VARIANCE IN PREFERENCE ORDERING) TO THE FO 1100 TELEP, (NO VARIANCE IN PREF 1100 TELEP, (NO VARIANCE IN PREF 110 LELED) 1100 FRITTELP 1100 NEXT P 1170 PRINT FLP 1180 PEH -----1200 PRINT FLP 1210 PFIGT FLP 1220 REA ### SOPT ITEMS IN ORDER OF PREFERENCE 1230 IJ=0 1240 FOR 1=1 TO N1 1250 IF U(I)<-,5 GOTO 1290 1260 31=11+1 1276 0(11) (201) 1280 G();)=J 1290 BEXT 1

1300 IF I1<2 GOTO 1590 1310 PRINT FLP 1320 PRINT FLP, CURRENT PREFERENCE ORDERING (FROM BEST TO' 1330 PRINT FLP, WORST; PREFERENCE VALUES ARE GIVEN IN BRACKETS)' 1340 I2=I1-1 1350 FOR I3=1 TO I2 1360 14=11-13 1370 FOR IS=1 TO I4 1380 16=15+1 1390 IF F(I5)2F(I6) GOTO 1460 1400 L3=F(I6) 1410 L4=G(I6) 1420 F(I6)=F(I5) 1430 G(I6)=G(I5) 1440 F(I5)=L3 1450 G(I5)=L4 1460 NEXT 15 1470 NEXT 13 1480 PRINT FLP 1490 PRINT FLP, 'BEST' 1500 FOR 13=1 TO 11 1510 L3=G(13) 1530 PRINT FLP, A\$(L3); 1540 :( #,## ) 1550 PRINT USING 1540, FLP, F(I3) 1560 NEXT-13 1570 PRINT FLP, WORST' 1580 PRINT FLP 1590 OPEN FILE FL5, '802', ALL 1600 WRITEFILE USING 1610, FL5, 'Press EXECUTE to proceed' 1610 FORM P03449,C30, P05479 1620 REAPFILE USING 1630, FL5, Q\$ 1630 FERm P08479,C 1640 FOP I=1 TO 16 1650 FRI T 1660 NEXT I 1670 REPRITERILE USING 1680,FL5,'Do you want to see the' 1670 PERRITEFILE USING 1680,FLD, Do you want to see the 1680 FOR POSAS,C23,PUS88 1690 FERITEFILE USING 1700,FLS, correlation between your 1700 FIFT /IBB8,C30 710 FERITEFILE USING 1720,FLS, preference ordering on 720 FOR PISI29,C23,PUS152 1730 FERITEFILE USING 1740,FL5, individual attribute dimensions 1740 FERITEFILE USING 1740,FL5,Q4 1750 FERLE LE USING 1760, FL5, Q4 1750 REPIRE USING 1760,FL5,Q4 1760 REPH ROBIBB,C10 1770 IF IME YES' GOTO 1840 1780 IF GREYRO' GOTO 2040 1790 REWRITEFILE USING 1800,FL5,Z4 1800 FORM P05833,064 1810 REURITEFILE USING 1820, FL5, Y4 1820 FURM POS129,C63 1830 GOTO 1710 1840 PRINT FLP 1850 PRINT FLP, 'ATTRIBUTE' 1860 PRINT FLP, 'DIMENSION CORRELATION MATRIX' 1870 FOR M=2 TO J 1880 IF H(N) <.5 GOTD 1960 1890 M4=CHE(M)

4

1900 PRINT FLP, '(';M\$;')'; 1910 FOR I=1 TO M-1 1920 IF H(I)<.5 GOTO 1940 1930 PRINT USING 930,FLP,R(I,M); 1940 NEXT I 1950 PRINT FLP 1960 NEXT M 1970 PRINT FLP, '; 1980 FOR I=1 TO J-1 1990 IF H(I)<.5 GOTO 2020 2000 : (##) 2010 PRINT USING 2000,FLP,I; 2020 NEXT I 2030 PRINT FLP 2040 PRINT FLP 2050 PRINT FLP 2050 PRINT FLP, '### END OF SUMMARY ###' 2060 PRINT FLP 2110 C=1 2110 CHAIN 'E80',1 2140 C=3 2150 CHAIN 'E80',1 2160 STOP

D

0010 REM 0020 REM ### BRLT ### CHAINING WITH COMMON USE AREA 0030 REM 0040 USE T\$60,5\$30,P\$30 0050 USE C, J, N1, N2, K2, S1 0060 USE A\$60(20), B\$60(20), C\$60(20) 0070 USE Z(20,20),X(20,20),R(20,20) 0080 USE H(20),S(20),B(20),W(20),U(20),L(20),Y(20) 0090 DIM Q\$60,X\$60,Y\$60,Z\$60,M\$192,N\$192,V\$192,R\$192,U\$95 0100 DIM N(20,20),T(20),V(20),D(20),Q(20,20) 0110 DPEN FILE FL5,'002',ALL 0150 REM \*\*\* ------0290 Y\$=' 0300 Z\$='Please type YES or NO' 0310 WRITEFILE USING 320,FL5,'Press EXECUTE to proceed' 0320 FORM POS449,C30,POS479 0330 READFILE USING 360,FL5,Q\$ 0340 REWRITEFILE USING 350, FL5, Y\$ 0350 FORM POS449,063 0360 FORM POS479,C30 0370 REWRITEFILE USING 380, FL5, 'Would you like to assume that' 0380 FORM P0565,C30,P0595 0390 REWRITEFILE USING 400, FL5, 'the various ways you have used' 0400 FORM P0595,C30 0410 REWRITEFILE USING 420,FL5,'to describe the',P\$ 0420 FORM POS129, C15, X, C30 0430 REWRITEFILE USING 440,FL5, 'are equally important in' 0440 FORM POS193,C25,POS218 0450 REWRITEFILE USING 460, FL5, 'determining your preferences ?' 0460 FORM PDS218,C32,POS250 0470 READFILE USING 480, FL5, Q\$ 0480 FORM PDS250,C6 0420 REWRITEFILE USING 500,FL5,Y\$ 0500 F08% POS237,C63 0510 IF 05='YES' GOTO 580 0520 IF 05='YES' GOTO 580 0520 REWRITEFILE USING 540,FL5,Z\$ 0540 F13% 032257,C26 4550 F13% 03257,C26 4550 F13% 03257,C26 4550 F13% 13257LE USING 560,FL5,Y\$ 0550 F13% 1257LE 0550 F13% 157 157 - .5 GOTO 630 0560 F23% 4 0640 GDTC 1230 0420 REWRITEFILE USING 500, FL5, Y\$ 0640 6370 2030 0650 FAR +++ 0660 K=0 0670 FOR I=1 TO S1 0680 U(J)=-1 0690 L(J)=1 0700 N(1,1)=1 0710 IF H(1)<.5 GOTO 730 0720 H(I)=1 0730 FOP Ji=1 TO SI 0733 Q(I,I1)=P(I,I1) 0735 NEXT 11

81

Ì

0737 NEXT I 0740 IF K2>.5 GOTO 780 0750 FOR I=1 TO S1 0760 W(I)=1 0770 NEXT I 0780 FOR M=1 TO S1 0790 FOR I=1 TO N1 0800 IF X(1,M)>.99 GOTO 820 0810 NEXT I 0820 T(M)=I 0830 T1=Z(I,M) 0840 FOR I=1 TO N1 0850 IF X(I,M)<.01 GOTO 870 0860 NEXT I 0870 V(M)=I 0880 B(M)=Z(I,M)-T1 0890 NEXT M 0900 REM \*\*\* ---- \*\*\* 0910 REM \*\*\* FIND NEXT BRLT \*\*\* 0720 IF N2<2 COTO 2870 0940 K=K+1 0950 R2=-2 0960 FOR M=2 TO J 0970 IF H(M)<.5 GOTO 1080 0980 IF H(M)>2.5 GOTO 1080 0990 IF H(H)>2.5 GOTO 1080 0990 FOR I=1 TO H-1 1000 IF H(I)<.5 GOTO 1070 1010 IF H(I)>2.5 GOTO 1070 1020 R1=Q(I,M) 1030 IF R1<R2 GOTO 1070 1040 M1=M 1050 M2=I 1060 R2=R1 1070 NEXT I 1080 NEXT M 1090 H(M2 = 3 1100 L1=L.H1> 1110 L2=L M2) 1120 IF ( 22 30TO 2480 1125 P2=91 1126 F3=13 1127 P4=0 1127 FRANC 1137 FRANC 1132 FIN THI TO L1 1146 FOR (L.M1) 1145 IF FRANC 1147 FIRFRA 1150 W1=W(FL) 1155 E1=T(F1) 1160 G1=V(F1) 1165 NEXT I 1170 W2=0 1175 FOR I=1 TO L2 1180 F9=N(1,M2) 1185 1F W.F9> W2 GOTO 1205 1187 F2-F9 1190 02=0(12) 1195 E2=T(F2)

1

C

1200 G2=V(F2) 1205 NEXT I 1210 REM PRINT OPTIONS \*\*\*\*\*\*\*\*\* 1211 M\$=Y\$ 1212 NS=YS 1213 V\$=Y\$ 1214 R\$=Y\$ 1215 U\$=Y\$ 1213 U\$='\* 1220 STR(M\$,1,33)='Imagine you had to choose between' 1235 STR(M\$,44,8)='OPTION B' 1237 STR(M\$,65,3)='and' 1245 STR(M\$,95,3)='I A' 1250 STR(M\$,99,2)=CHR(P2) 1255 STR(M\$,101,19)='o/o chance to get a' 12(5 STR(M\$,101,19)='O/O chance to get a' 1265 STR(M\$,139,8)='OPTION A 1275 STR(M\$,159,1)='1' 1280 STR(M\$,161,26)=STR(S\$,1,26) 1285 STR(M\$,186,7)='that is 1290 STR(N\$,31,5)='1 as 1295 IF D(F1)<0 GOTO 1315 1300 STR(N\$,36,29)=STR(B\$(F1),1,29) 1310 GOTO 1320 1315 STR(N+,36,29)=STR(C\$(F1),1,29) 1320 STR(N\$,65,24)='A 1000/0 chance to get a' 1330 STR(N\$,95,5)='I as ' 1335 STR(N\$,100,29)=STR(A\$(E1),1,29) 1340 STR(N\$, 129, 23)=STR(S\$, 1, 23) 1341 P5=LEN(S\$) 1342 IF P5<22 GOTO 1344 1343 P5=22 1344 P5=P5+130 1345 STR(N\$,P5,7)='that is' 1350 STR(N\$,159,8)='I and as 1340 IF B(F2)<0 GOTO 1380 1345 ST0(45,168,25)=STR(84(F2),1,25) 1375 6071 1382 1380 STR(C\$(F2),1,25) 1380 STP.A=.168.25)=STR(C\$(F2),1.25 1382 STP'V=.1.3)='as 1382 STP'V=.1.3)='as 1390 ETE //2 (0 GOTO 1405 1390 ETE //2 (0,27)=STR(B\$(F1),1.27) 1460 CUTD ==10 1405 ETE -= 2.4.27)=STR(C\$(F1),1.27) 1411 ETE -= 21.5)='i as 1415 ETE -= 36.29)=STR(C\$(E1),1.27) 1420 STP -= 36.27)=STR(C\$(E1),1.27) 1420 STP -= 165.3)='as' 1425 STP -= 165.3)='as' 1430 STR 18,95,6)='IAND a 1435 STR(VE,102,2)=CHR(P3) 1440 STR(vt,104,25)='0/0 chance to get instead' 1450 STR(V<sup>3</sup>, 129, 16)='but that is also 1460 STR(V#,159,3)='I a' 1465 STR(V\$,163,23)=STR(S\$,1,23) 1466 P5=LEN(S\$) 1467 IF P5<23 GOTO 1469 1468 P5=23 1469 P5=P5+164 1470 STR(V\$,P5,7)='that is' 1475 STR(R#,1,3)='as 1480 IF D(F2)<0 BOTD 1500

4

Å

ł

1485 STR(R\$,4,27)=STR(C\$(F2),1,27) 1495 GOTO 1502 1500 STR(R\$,4,27)=STR(B\$(F2),1,27) 1502 STR(R\$,31,5)='1 as 1505 IF D(F1)<0 GOTO 1525 1510 STR(R\$,36,29)=STR(C\$(F1),1,29) 1520 6010 1530 1525 STR(R\$,36,29)=STR(B\$(F1),1,29) 1530 STR(R\$,65,3)='as 1535 STR(R\$,68,27)=STR(A\$(G2),1,27) 1540 STR(R\$,95,5)='1 as 1545 STR(R\$,100,29)=STR(A\$(G1),1,29) 1550 STR(R\$,140,13)='....for sure' 1555 STR(R\$,159,9)='l and as ' 1560 IF D(F2)<1 GOTO 1580 1565 STR(R\$,168,25)=STR(C\$(F2),1,25) 1575 GOTO 1582 1580 STR(R\$,168,25)=STR(B\$(F2),1,25) 1582 STR(U\$,31,5)='i as 1585 STR(U\$,36,29)=STR(A\$(62),1,29) 1595 STR(U\$,65,31)='WHICH WOULD YOU PREFER: A OR B?' 1600 WRITEFILE USING 1601,FL5,M\$,N\$,V\$,R\$,U\$ 1601 FORM POS1, C, POS193, C, POS385, C, POS577, C, POS769, C, POS864 1605 READFILE USING 1610, FL5, Q\$ 1610 FURH POS864,C1 1615 IF Q\$='A' GOTO 1650 1620 IF Q\$='B' GOTO 1770 1625 REWRITEFILE USING 1630, FL5, 'PLEASE TYPE "A" OR "B"' 1630 FORM PDS871,C22,PDS894 1635 READFILE USING 1640, FL5, Q\$ 1640 FORM POS894,C1 1645 GOTO 1615 1650 REM REVISE PRODABILITY MIXTURE FOR OPTION B\*\*\*\*\*\*\*\*\*\*\*\*\*\* 1660 REWFITEFILE USING 1670, FL5, 'ARE YOU SURE?' 1670 FORM P05871, C13, P05885 1870 FOR FUSBIL, CIS, FUSBES 1680 REA: FILE USING 1690, FL5, Q\$ 1690 FOR - PUSBES, C3 1700 IF C:='YES' GOTO 2340 1710 IF C:='ND' GOTO 1600 1720 REWFITEFILE USING 1730, FL5, 'TYPE YES" IF SURE, "NO" IF NOT: " 1720 PEUPITEFILE USING 1730,FL5, 1720 FORM FILESS,C28,POS894 1740 FEADFILE USING 1750,FL5,Q4 1710 FILE USING 1750,FL5,Q4 1730 FILE USING 1750,FL5,Q4 1740 FILE USING 1750,FL5,Q4 1750 FI 1800 STR(M&,99,2)=CHR(P2) 1810 STR(V\$,102,2)=CHR(P3) 1820 WRITEFILE USING 1601, FL5, M\$, N\$, V\$, R\$, U\$ 1830 READFILE USING 1610,FL5,Q4 1840 IF 0%='H' GUID 1770 1850 IF 0#='A' GOTO 1890 1860 REURITEFILE USING 1630, FL5, 'PLEASE TYPE "A" OR "B"' 1870 READETLE USING 1640, FLS, D# 1880 0010 1650 1896 REWRITEFILE USING 1470, FLS, 'ARE YOU SURE?' 1900 READFILE USING 1690, FLS, Q4

1910 IF Q\$='YES' GDTO 2340 1920 IF Q\$='NO' GDTO 1960 1930 REWRITEFILE USING 1730, FL5, 'TYPE YES"IF SURE, "NO"IF NOT: ' 1940 READFILE USING 1750, FL5, Q\$ 1950 GOTO 1910 1960 P2=P2+10 1965 P4=P3 1970 P3=P3-10 1975 IF P3<5 GOTO 2340 1980 STR(M\$,99,2)=CHR(P2) 1990 STR(V\$,102,2)=CHR(P3) 2000 WRITEFILE USING 1601, FL5, M\$, N\$, V\$, R\$, U\$ 2010 READFILE USING 1610,FL5,Q\$ 2020 IF Q\$='B' GOTO 1770 2030 IF Q\$='A' GOTO 1960 2040 REURITEFILE USING 1630, FL5, 'PLEASE TYPE "A" OR "B"' 2050 READFILE USING 1640, FL5, Q\$ 2060 GOTO 2020 2340 REM \*\*\*\*\*CORRECT P FOR RELATIVE WEIGHTS OF DELEGATE 2341 REM \*\*\*\*\*ITEMS WITHIN CLUSTERS COMPARED IN GAMBLE. 2342 P=(P3+P4)/200 2345 P=(P/W2)/(P/W2+(1-P)/W1) 2350 REM \*\*\*\*\*\* UPDATE VALUEWISE IMPORTANCE WEIGHTS \*\*\*\*\* 2360 FOR I=1 TO L2 2370 I1=N(I,M2) 2380 W(I1)=W(I1)\*P 2390 NEXT I 2400 P=1-P 2410 FOR I=1 TO L1 2420 I1=N(I,M1) 2430 W(I1)=W(I1)\*P 2440 NEXT I 2480 REF \*\*\*\*\*\*\*\*\* UPDATE CLUSTERS \*\*\*\*\*\*\*\*\*\*\*\* 2490 IF M=42-1 GOTO 2870 2500 FCP I=1 TO L2 2510 11=1-11 2510 1)=I-L1 2520 K(II m1)=N(I,M2) 2530 NENT I 1540 L(\*I)=11 2550 FF mini 30T0 2650 1560 FF mini 30T0 2640 1560 FF mini 45 GOTO 2640 1569 FF mini 45 GOTO 2640 1560 FF mini 451 1540 GIE 75 FF 2640 NEV 75 2650 FF memii 41 TO U 2050 FOR 8=41+1 TO J 2660 IF H(m)<.5 0010 2720 2670 IF H(H)>2.5 6010 2720 2680 S1=Q(H1,M) 2690 S2=Q(M2,M) 2700 GOSUE 2770 2710 D(M1,M)=R1 2720 NEXT N 2750 6010 930

010684