



MICROCOPY RESOLUTION TEST CHART NATIONAL BUREAU OF STANDARDS 1964 A

.

•



Prepared for:

Defense Advanced Research Projects Agency Arlington, VA 22209

W.J. Gunning Principal\_Investigator



12 96

Car and State of State

151

IL FILE COPY

Approved for public release; distribution unlimited



. Att a start all the way

83

. .

NELANI PARAMENTA	TION PAGE	READ INSTRUCTIONS BEFORE COMPLETING FORM
REPORT HUMBER	2. GOVT ACCESSION NO.	3. RECIPIENT'S CATALOG NUMBER
	40-1135	94 C
TITLE (and Subtilio)		S. TYPE OF REPORT & PERIOD COVERE Summary Technical Report
ADVANCED FILTER TECHNOLOGY		08/01/82 through 09/16/83
		6. PERFORMING ORG. REPORT NUMBER
		SC5334.2SR
W.J. Gunning		N00014-82-C-0725
PERFORMING ORGANIZATION NAME AND AD	DRESS	10. PROGRAM ELEMENT. PROJECT, TASK
Rockwell International Science Center 1049 Camino Dos Rios Thousand Oaks, California 91360		AREA & WORK UNIT HUMBERS
		NR 007-039 (240)
CONTROLLING OFFICE NAME AND ADDRESS	s	12. REPORT DATE
Defense Advanced Research Projects Agency Arlington, VA 22209		NOVEMBER 1983
		13. NUMBER OF PAGES
MONITORING AGENCY NAME & ADDRESSIT	different from Controlling Office)	18. SECURITY CLASS. (of this report)
		Unclassified
		15. DECLASSIFICATION DOWNGRADING
		SCHEDULE
DISTRIBUTION STATEMENT (of this Report) Approved for public release; DISTRIBUTION STATEMENT (of the ebetrace of	distribution unlimi	ted.
DISTRIBUTION STATEMENT (of this Report) Approved for public release; DISTRIBUTION STATEMENT (of the observed)	distribution unlimi	ted.
DISTRIBUTION STATEMENT (of this Report) Approved for public release; DISTRIBUTION STATEMENT (of the ebetract of SUPPLEMENTARY NOTES	distribution unlimi	ted.
DISTRIBUTION STATEMENT (of this Report) Approved for public release; DISTRIBUTION STATEMENT (of the ehotroci o . SUPPLEMENTARY NOTES KEY WORDS (Continue on reverse olde if necce Spectral filters, birefringe filters.	distribution unlimi meered in Block 20, 11 different fre eary and identify by block number; nt filters, polarize	rs, blue/green, optical
Approved for public release; DISTRIBUTION STATEMENT (of this Report) DISTRIBUTION STATEMENT (of the ebetract of Supplementary notes KEY WORDS (Continue on reverse aide if necces Spectral filters, birefringe filters. ABSTRACT (Continue on reverse aide if necces Straid filters, birefringe filters. ABSTRACT (Continue on reverse aide if necces Spectral filters, birefringe filters.	distribution unlimi mereod in Block 20, 11 different fre eary and identify by block number; nt filters, polarize ary and identify by block number; m was to develop new or submarine laser c rformed on several p tudy of the low temp ed. An extension of d which results in l	ted. <i>Report</i> rs, blue/green, optical concepts for narrowband ommunications (SLC). romising birefringent erature properties of the concept of the magneto- ower magnetic field

1.2.1.4

-9. m.

•

л.

UNCLASSIFIED SECURITY CLASSIFICATION OF THIS PAGE (The Date Brien <sup>7</sup> Following a redirection of program goals, the assembly of wide field-of-view half waveplates, as required by the cadmium sulfide dispersive birefringent filter for submarine laser communications, was pursued. ٨ 0110 i chi i 1 D11 U · · 2.... . . . Present Story Asellabilities and AND LL HOLL JU Spacial Dist |

UNCLASSIFIED SECURITY CLASSIFICATION OF JHIS PAGE(Then Deve Entered)

A STATE AND A STATE OF A

.

- 181

E ELEVENCE

20 A. 18



Rockwell International Science Center

SC5334.2SR

The second states

#### SUMMARY REPORT

The goal of this program was to develop a spectral filter technology which could address short wavelength submarine laser communications. This filter was to have a narrow bandwidth and wide field-of-view. The original tasks of this program were:

1. Experimental Filter Development:

- a. Extension of the cadmium sulfide (CdS) dispersive birefringent filter (DBF) technology to shorter wavelengths through the use of alternate materials or by operating a CdS filter at cryogenic temperatures.
- b. A demonstration of advanced birefringent filter concepts not requiring anomalous properties of optical materials.
- Study of alternate approaches to narrowband wide field-of-view filters including:
  - a. Liquid crystal filter
  - b. Magneto-optic filter
  - c. Gyro-tropic filter
  - d. Christiansen-Bragg filter
  - e. Saturable absorbers
  - f. Atomic resonance filters
- 3. A study to investigate the properties of various polarizers at short wavelengths in the visible, since most filter approaches outlined in the program require polarizers.

1 C5513A/sn



A small amount of work had been performed on these tasks when the program was reduced in size and redirected to support the DARPA/NAVELEX Submarine Laser Communication Receiver/1B (SCLR/1B) program (Contract No. N00039-82-C-0160) for which 55 CdS dispersive birefringent filters were to be fabricated. The effort was now to support work in the study and fabrication of wide field half-waveplates which are required as part of the wide field structure of the DBF. Also included was support of the filter assembly effort related to the selection of filter elements, including the above mentioned waveplates.

This report describes the results obtained under the original program tasks and the nature of the work performed following the redirection.

# Task 1 Experimental Filter Development

ىلىنىيەت كەركىيە دەركەكلىكى كەركىيە مەركە دەركەكلاردىكىيە - يەركىيەكىكىكىكىكىكىكىكىكە بىلىكە - يەركى

#### a) Short Wavelength Birefringent Filters

The dispersive birefringent filter which is being fabricated for the SLCR/1B program uses CdS as the birefringent waveplate material (Fig. 1).<sup>1-4</sup> Its unparalleled properties of simultaneous narrow bandwidth and large field-of-view are the result of the large dispersion of the birefringence of CdS. Unfortunately, this approach cannot be extended into the blue region of the spectrum because of the optical absorption edge of the CdS. One possibility was to cool the filter, thereby shifting the bandedge to shorter wavelengths.<sup>5</sup> Figure 2 shows the spectral transmission of CdS at room temperature and at 80K. The data indicate that operation at 503 nm may indeed be possible, thus matching the wavelength of the HgBr laser. Other measurements of the bire-fringence and its dispersion (Figs. 3 and 4) show that equivalent performance to that of the room temperature device at 5320A might be expected. These results were obtained prior to the program, but the issue of polarizers which might operate at these wavelengths and at reduced temperature had to be addressed.

A critical component in all birefringent filters is the polarizer. Specially treated polyvinyl alcohol polarizers were developed for the CdS DBF

> 2 C5513A/sn





SC82-17839



SC82-15967





Alter Markey Constant

Sund and the

، چر<u>د فر مشکر میں</u>



Rockwell International Science Center

SC5334.2SR



Fig. 3 Birefringence of CdS at several temperatures.



.



Rockwell International Science Center

SC5334.2SR

in order to achieve high transmission and maintain good extinction.<sup>6</sup> These polarizers had the disadvantage of showing degraded extinction for wavelengths shorter than 5300A. This presented no problem since the CdS bulk absorption effectively blocked the short wavelength side of the filter. This, however, would be a significant problem for shorter wavelength operation. In order to determine how these polarizers might behave at cryogenic temperatures, both virgin and treated polarizers were measured at liquid nitrogen temperature with the following results. As seen in Figs. 5-8, as the temperature was lowered, the transmission for the parallel polarization decreased and the extinction simultaneously degraded. It was clear that this class of polarizers would not be suitable for low temperature operation.

Other polarizers were investigated as well. PVA-iodine polarizers from American Hoechst Corporation were evaluated which seemed to exhibit somewhat better short wavelength performance than the virgin Polaroid material. However, a complete assessment was not made. In addition, reduced silver halide glass polarizers from Corning were tested (Fig. 9).<sup>7</sup> While showing some promise, these samples were not optimized for these wavelengths of operation.

The other approach to shorter wavelength operation of DBF technology, was to investigate the use of alternate dispersive birefringert materials.<sup>8</sup> A favorable choice is the material  $Zn_xCd_{1-x}S$ .<sup>9,10</sup> This solid solution is optically quite similar to CdS, but the bandedge and its region of strong dispersion are shifted to shorter wavelengths in proportion to the concentration of zinc. While a few test samples were available for measurements of their optical properties prior to the program (Figs. 10-12), the problem of growing material without a compositional gradient was not solved. Since then, a new approach to the growth of this material has been suggested, but has not yet been attempted.

7 C5513A/sn





Fig. 5 Transmission (parallel) of HN-38 polarizer at 300K and 80K.



Fig. 6 Transmission (crossed) of HN-38 polarizer at 300K and 80K.

WAVELENGTH (Å)

314 - C. 20

the water water









SC83-24588 2 TRANSMISSION T $_{\perp}$  (%) 0.5 80K 300K 0 0 4000 5000 6000 7000 WAVELENGTH (Å)



S. Bach



**Rockwell International** 

Science Center

SC5334.2SR





Sector States and States







SC82-15965

14

1 Stations



1 2 2 2



# b) Advanced Birefringent Filter Designs

This effort was to consist of demonstrating several new wide fieldof-view geometries which could be made in compact structures. In his pioneering work, Lyot suggested three wide field geometries, shown in Fig. 13, each of which produces a moderately wide angular aperture.<sup>11</sup> However, use of common materials such as quartz still results in a significant thickness to the overall filter. Three new designs have been suggested which provide greater fields-of-view for equivalent bandwiths while still maintaining a rather thin filter overall. These designs are illustrated in Fig. 14. An effort was made to demonstrate the validity of the "Lyot-2x" geometry using TiO<sub>2</sub> and LiNbO<sub>3</sub>. While this was progressing favorably, the final demonstration was not performed, since additional optical fabrication work was required at the time at which the program was redirected.

# Task 2 Alternate Filter Concepts

The dispersive magneto-optic filter was suggested by Yeh<sup>12</sup> as an approach to a very narrowband wide field-of-view filter for blue/green signal detection. Resonance enhanced Faraday rotation in an atomic vapor rotates the plane of polarization for photons which interact with the vapor. Crossed linear polarizers on either side of the vapor cell provide the selection against the out-of-band photons. High throughput is achieved by appropriate selection of the optical thickness of the vapor cell and the magnitude of the applied magnetic field.

Additional studies of the magneto-optic fiter resulted in the realization that the magnetic field required for high throughput could be significantly reduced (to 100 Gauss) by operation at a wavelength slightly displaced from the actual atomic resonance.<sup>12</sup> The effect would be enhanced by the dispersion of the atomic resonance.

This approach has the disadvantage that polarizers are required, thereby causing a limitation in the field-of-view and placing a polarizer determined limitation on the out-of-band extinction. However, the great

> 16 C5513A/sn

> > CAN BE MANAGE AND A STATE OF A STATE OF

SC83-23133

THICKNESS (THICKEST

STAGE)

Fov

Δ-λ1/2 @ 5000Å

MATERIAL

2.9 mm

± 23°

18

PbMo04





17

diates in a

1.15.11

10.88 mm

± 13°

1Å

TeO2 PbMoO4



6.62 mm

000 +

1\$

TiO<sub>2</sub> LiNbO<sub>3</sub> **Rockwell International** 

Science Center SC5334.2SR

**3.49 mm** 

± 200

1\$

PbMoO4 TeO2

- Alinewalk

Sec. 1



**Rockwell International** 

Science Center

SC5334.2SR





and the second second

<u>ور او</u>

18

Fig. 14 Advanced wide field-of-view birefringent filter geometries.



Rockwell International Science Center

SC5334.2SR

advantage of the magneto-optic filter approach is that detection of the signal occurs at the signal frequency and not at a displaced wavelength as in the atomic resonance absorption filter (ARAF).<sup>13</sup> In that filter, the blue photon is absorbed and a near-infrared photon is emitted. Detection at this wavelength is difficult because low noise, high gain, room temperature detectors are not commercially available.

# Task 3 Polarizer Study

As part of the development program for the CdS dispersive birefringent filter, high transmission polarizers were developed.<sup>6</sup> This was accomplished because of the unacceptable loss that would have otherwise been encountered in the eight polarizer structures. Polaroid HN-38 polarizers were treated in a humidity oven to increase the internal transmission of the parallel component while the extinction properties were carefully monitored so that the out-of-band rejection of the filter would not be impaired. An empirical study determined the optimum conditions for the heat treatment, and resulted in polarizers with an internal transmission at 5320A of 95% for parallel polarization and less than 0.3% for the crossed polarization. The extinction remained high for longer wavelengths, but was severely degraded for wavelengths shorter than about 5250A (Fig. 15). For this reason, it was necessary to investigate other polarizers for short wavelength operation.

An extensive study in this area was not undertaken, as mentioned under Task 1. However, the American Hoechst polarizers and the Corning silver/glass polarizers do show promise in this area.

#### Support of SLCR/1B Program

The remainder of the activities under this program were in the area of supporting the fabrication of CdS DBFs for the SLCR/1B program. The principal activity supported by this program was the actual fabrication of wide field-of-view half-waveplates which are incorporated into the Lyot-1 wide field stages of the filter, as seen in Fig. 1. The field-of-view of the CdS

> 19 C5513A/sn







PAR A ANA PAR



DBF is so large that simple half-waveplates do not have *n*dequate wide field response to accommodate it, hence the need for compound waveplates.

The compound waveplates are composed of a 3/2 wave sapphire waveplate and a full waveplate of stretched polyvinyl alcohol (PVA) assembled in the Lyot-2 geometry. The sapphire was provided by Crystal Systems and fabrication was initially performed by Kappler Crystal Optics. When this arrangement did not work out, fabrication was transitioned to Rockwell's Autonetics Marine Systems Division as part of the overall technology transfer of the filter fabrication processes. The PVA was provided by Polaroid Corporation and is laminated to a thick film of cellulose acetate butyrate to provide environmental protection. This film was dissolved off using methyl ethyl ketone with a methyl cellosolve wash. When unsupported, this material is rather susceptible to increases in temperature. The retardation is observed to decrease rapidly upon exposure to temperatures only slightly above room temperature. This presented a slight storage problem, but fortunately, when bonded to other filter components, this extreme reaction disappeared. Apparently, the stretched material relaxes when heated in its unsupported state. No problems have been observed in assembled waveplates or completed filters.

A simple algorithm was employed to assist in matching of the PVA and sapphire parts to achieve half-wave retardation at 5320A. This allowed for some error in the thickness (retardation) of the individual elements. Each selection was confirmed by performing a spectral scan of the combination between crossed polarizers. These parts were then carefully aligned on a precision polarization stage and cemented with Epo-Tek 301 optical cement. It was found that some assembled parts had significant errors because of slight errors in the selection process, and because of temperature effects on the unsupported PVA during bonding and subsequent deblocking of the parts from their glass support. A soak in 50% acetic acid was found to dissolve both the PVA and the epoxy and allow reuse of the sapphire plate.

Other filter assembly procedures were also supported by the ramaining funding of this program.

the way of the



# Considerations for Future Filter Research

The decision to redirect the funding of this program to support the SLCR/IB effort was a reasonable one considering the need to achieve a favorable demonstration of the technology under the SLCR/IB program. This infusion of support was important in allowing the filter fabrication effort to continue.

It is unfortunate that the research in the new filter designs which the program was to address could not be performed. The successful demonstration of the Cds ARAF has supplanted the need for birefringent filters for short wavelength SLC. However, other visible sensors would benefit from birefringent filters or other filter designs which do not require continuous consumption of power to heat them above ambient. In addition, these filters are capable of imaging, making them useful for certain applications where resonance absorption filters would fail. Perhaps these issues can be addressed in the future as these other applications become better defined, and the need again arises for advanced filter concepts.

> 2? C5513A/sn



# REFERENCES

- 1. P. Yeh, "Dispersive Birefringent Filters," Opt. Commun. 37, 153 (1981).
- P. Yeh and J. Tracy, "Theory of Dispersive Birefringent Filters," Proc. SPIE <u>268</u>, 171 (1981).
- 3. P. Yeh, W. Gunning, R. Hall and J. Tracy, "Dispersive Birefringent Filters for Laser Communications," presented at Conf. on Lasers and Electro-Optics, Wash. D.C., June 10-12, 1981.
- W. Gunning, R. Hall, P. Yeh and J. Tracy, "Dispersive Birefringent Filters for Blue-Green Communications," presented at 1981 OSA Annual Mtg., Oct. 27-30, 1981, Kissimee, Fla.
- 5. D. Dutton, "Fundamental Absorption Edge in Cadmium Sulfide," Phys. Rev. 112, 786 (1958).
- 6. W.J. Gunning and J. Foschaar, "Improvement in the Transmission of Iodine-Polyvinyl Alcohol Polarizers," Appl. Opt. 22, 3229 (1983).
- T.P. Seward III, D.A. Nolan and N.F. Borrelli, "Polarizing Glass Containing Silver and Halogens," presented at OSA 1982 Annual Mtg., Oct. 18-22, 1982, Tucson, AZ.
- P. Yeh, "Figures of Merit for Dispersive Birefringent Filter Materials," Appl. Opt. 21, 3806 (1982).
- J.P. Laurenti, K. Rustagi, M. Rouzeyre, H. Rufer and W. Ruppel, "Graded Composition Semiconductors as Tunable Narrowband Optical Filters," J. Appl. Phys. 48, 203 (1977).
- W. Gunning, J. Tracy and H. Rufer, "Birefringence of Zn<sub>x</sub>Cd<sub>1-x</sub>S Near the Isotropic Point," Appl. Opt. 22, 1192 (1983).
- B. Lyot, "The Birefringent Filter and Its Applications in Solar Physics," Ann. Astrophys. <u>7</u>, 31 (1944), and J.W. Evans, "The Birefringent Filter," J. Opt. Soc. Am. 39, 229 (1949).
- 12. P. Yeh, "Dispersive Magneto-Optic Filters," Appl. Opt. 11, 2069 (1982).
- J.B. Marling, J. Nilson, L.C. West and L.L. Wood, "An Ultrahigh -Q Isotropically Sensitive Optical Filter Employing Atomic Resonance Transitions," J. Appl. Phys. <u>50</u>, 610 (1979).

23 C5513A/sn

