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ON LOCAL LINEAR FUNCTIONALS FOR L-SPLINES.(U)

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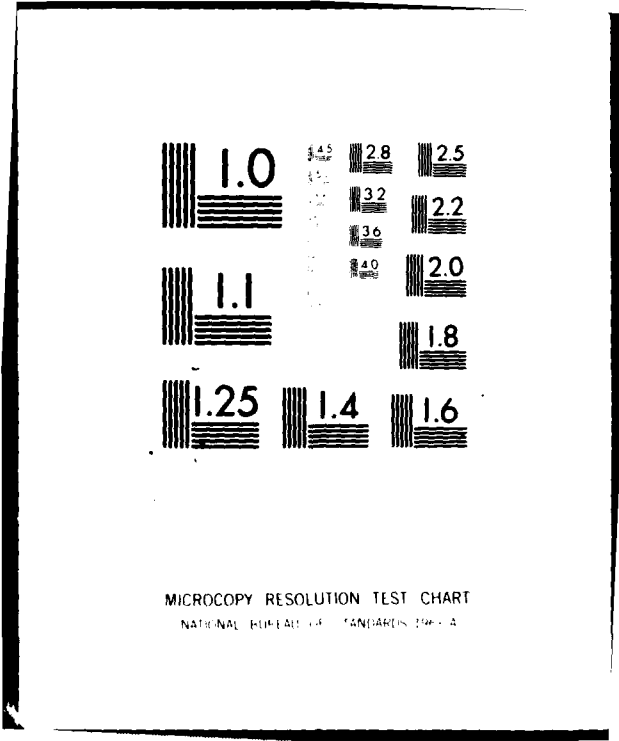
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ON LOCAL LINEAR FUNCTIONALS FOR  
L-SPLINES

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6 ON LOCAL LINEAR FUNCTIONALS FOR L-SPLINES.

10 Rong-Qing/Jia\*

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11 ABSTRACT

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Quasi-interpolant functionals for L-splines are constructed. With them as a tool, an explicit construction of LB-splines is done, and a quick proof of the existence and uniqueness of the expansion of an L-spline in an LB-spline series is given. Moreover, a necessary and sufficient condition for a function, under which it generates a local linear functional that vanishes at all LB-splines but one, is obtained.

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SIGNIFICANCE AND EXPLANATION

B-splines play an important role in spline function theory. One is deeply impressed by the effect of quasi-interpolant functionals in B-spline theory. With them as a tool, some problems become easier to solve, and some important results are obtained. When one deals more generally with L-splines, that is, splines associated with a linear differential operator, an attempt to construct similar functionals for LB-splines naturally arises, and there is reason to claim that such functionals would be helpful for studying L-splines.

In the present report, such a construction of quasi-interpolant functionals and local linear functionals is carried out.

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ON LOCAL LINEAR FUNCTIONALS FOR L-SPLINES

Rong-Qing Jia\*

§1. INTRODUCTION

We begin with some notations and definitions.

Let  $k \in \mathbb{N}$ ,  $\underline{t} := (t_i)$  nondecreasing (finite, infinite or biinfinite) with  $t_i < t_{i+k}$ , all  $i$ , and let

$$\begin{aligned} a &:= \inf\{t_i\}, \quad b := \sup\{t_i\}, \\ c_i &:= \max\{m; t_{i-m} = t_i\}, \\ \ell_i &:= \max\{m; t_{i+m} = t_i\}, \\ d_i &:= c_i + \ell_i + 1, \\ \text{jump}_{t_i} f &:= f(t_i+) - f(t_i-). \end{aligned}$$

Let  $H_p^k(a,b)$  denote the space of functions which are  $k$ -fold integrals of functions in  $L_p(a,b)$ ,  $1 \leq p \leq \infty$ . Further, let

$$L = \sum_{j=0}^k p_j D^{k-j}$$

be a nonsingular  $k$ -th order differential operator, where  $p_0 \equiv 1$ ,  $p_j \in C^j(a,b)$  ( $j = 1, \dots, k$ ) and  $D = \frac{d}{dx}$ . Then the formal adjoint operator of  $L$  is

$$L^* = \sum_{j=0}^k (-1)^j D^j (p_{k-j} \cdot).$$

By  $N_L$  and  $N_{L^*}$  we denote the null spaces of  $L$  and  $L^*$ , respectively.

Throughout this paper the following condition:

(ET) "The sum of multiplicities of  $g$ 's zeros does not exceed  $k-1$  for any nonzero  $g \in N_{L^*}$  and any  $i$ "

is supposed to hold.

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Definition 1.1. A function  $S$  defined on  $(a,b)$  is called an L-spline with knots  $\underline{t}$  if

- (i)  $S|_{(t_i, t_{i+1})} \in N_L(t_i, t_{i+1})$  for all  $i$ ;
- (ii)  $\text{jump}_{t_i} S^{(\gamma)} = 0$  for all  $i$  and  $\gamma < k - d_i$ .

Definition 1.2.  $\{i, j\}$  is called the carrier of the L-spline  $S$  if

- (i)  $S = 0$  outside  $[t_i, t_j]$ ;
- (ii)  $\text{jump}_{t_i} S^{(\gamma)} = 0$  for  $\gamma < k - d_i - 1$ , but  $\text{jump}_{t_i} S^{(k-d_i-1)} \neq 0$ ;
- (iii)  $\text{jump}_{t_j} S^{(\gamma)} = 0$  for  $\gamma < k - c_j - 1$ , but  $\text{jump}_{t_j} S^{(k-c_j-1)} \neq 0$ .

Definition 1.3. A nonzero L-spline with minimum carrier is called an LB-spline.

The purpose of this paper is to extend some results of polynomial B-splines to LB-splines. In §2 we construct quasi-interpolant functionals for LB-splines. In §3 we give an explicit construction of LB-splines. In §4 we obtain the expansion of an L-spline in an LB-spline series with the quasi-interpolant functionals as a tool. In §5 we extend de Boor's results about local linear functionals to LB-splines.

§2. QUASI-INTERPOLANT

For a fixed integer  $i$ , let  $\mu_m$  be the functional given by

$$\mu_m(f) = \begin{cases} f^{(m-i-1)}(t_m) & \text{when } m = i+1, \dots, i+l_i; \\ \binom{l_i}{m} f^{(m)}(t_m) & \text{when } m \geq i+l_i+1. \end{cases} \quad (2.1)$$

Lemma 2.1. There exists a non-zero function  $u_i(x) \in N_{L^*}$  which satisfies

$$\mu_m(u_i) = 0, \quad m = i+1, \dots, i+k-1.$$

Moreover, such a function is unique up to a constant factor.

Proof. Let  $\varphi_1, \varphi_2, \dots, \varphi_k$  be a basis of  $N_{L^*}$ . It is easily seen that the function

$$u_i(x) = \begin{vmatrix} \mu_{i+1}(\varphi_1) & \mu_{i+2}(\varphi_1) & \dots & \mu_{i+k-1}(\varphi_1) & \varphi_1(x) \\ \mu_{i+1}(\varphi_2) & \mu_{i+2}(\varphi_2) & \dots & \mu_{i+k-1}(\varphi_2) & \varphi_2(x) \\ \vdots & \vdots & & \vdots & \vdots \\ \mu_{i+1}(\varphi_k) & \mu_{i+2}(\varphi_k) & \dots & \mu_{i+k-1}(\varphi_k) & \varphi_k(x) \end{vmatrix} \quad (2.2)$$

satisfies

$$\mu_m(u_i) = 0, \quad m = i+1, \dots, i+k-1.$$

We claim that

$$u_i(x) \neq 0 \quad \text{when } x \in (t_j, t_{j+1}), \quad j = i, \dots, i+k-1.$$

Suppose to the contrary that there exists some  $x \in (t_j, t_{j+1})$  ( $j = i, \dots, i+k-1$ ) for which  $u_i(x) = 0$ . Then we can find  $\gamma_1, \gamma_2, \dots, \gamma_k$ , of which at least one is not zero, so that

$$\gamma_1 \mu_j(\varphi_1) + \gamma_2 \mu_j(\varphi_2) + \dots + \gamma_k \mu_j(\varphi_k) = 0, \quad j = i+1, \dots, i+k-1$$

and

$$\gamma_1 \varphi_1(x) + \gamma_2 \varphi_2(x) + \dots + \gamma_k \varphi_k(x) = 0.$$

Let  $\varphi = \gamma_1 \varphi_1 + \gamma_2 \varphi_2 + \dots + \gamma_k \varphi_k$ . Then  $\varphi$  is not a zero function, and the sum of the multiplicities of  $\varphi$ 's zeros exceeds  $k-1$ . This contradicts the condition (ET).



Suppose now that another function  $v$  has the same property as  $u_i$ . We have to show that there exists a constant  $c$  such that  $v = cu_i$ . There are the following two possibilities:

(i)  $t_i < t_{i+1}$ . In this case it follows from the condition (ET) that  $u_i(t_i) \neq 0$  and  $v(t_i) \neq 0$ . If we put  $c = v(t_i)/u_i(t_i)$ , then the function  $v - cu_i \in N_{L^*}$  and the sum of multiplicities of its zeros would exceed or equal  $k$ , hence

$v - cu_i = 0$ , that is,  $v = cu_i$ .

(ii)  $t_i = t_{i+1}$ . Thus we know that  $u_i^{(l_i)}(t_i) \neq 0$  and  $v^{(l_i)}(t_i) \neq 0$  in view of the condition (ET). A similar demonstration gives that  $v = cu_i$  for  $c = v^{(l_i)}(t_i)/u_i^{(l_i)}(t_i)$ .

The determinant on the right-hand side of (2.2) is abbreviated to

$$\det \begin{pmatrix} \mu_{i+1}, \mu_{i+2}, \dots, \mu_{i+k-1}, x \\ \varphi_1, \varphi_2, \dots, \varphi_{k-1}, \varphi_k \end{pmatrix}.$$

Corollary 2.1. If  $\psi_1, \psi_2, \dots, \psi_k$  is another basis of  $N_{L^*}$ , then there exists a constant  $c$  such that

$$\det \begin{pmatrix} \mu_{i+1}, \mu_{i+2}, \dots, \mu_{i+k-1}, x \\ \psi_1, \psi_2, \dots, \psi_{k-1}, \psi_k \end{pmatrix} = c \cdot \det \begin{pmatrix} \mu_{i+1}, \mu_{i+2}, \dots, \mu_{i+k-1}, x \\ \varphi_1, \varphi_2, \dots, \varphi_{k-1}, \varphi_k \end{pmatrix}. \quad (2.3)$$

Now we consider Lagrange's Formula [7]. If  $f \in H_p^k(\alpha, \beta)$  and  $g \in H_q^k(\alpha, \beta)$ , where  $\frac{1}{p} + \frac{1}{q} = 1$ , then

$$\int_{\alpha}^{\beta} (Lf)g dx = \int_{\alpha}^{\beta} (L^*g)f dx + W(f, g; x) \Big|_{\alpha}^{\beta} \quad (2.4)$$

where

$$W(f, g; x) = \sum_{\gamma=0}^{k-1} \{ f^{(\gamma-1)}(x) [p_{k-\gamma}(x)g(x)] - f^{(\gamma-2)}(x) [p_{k-\gamma}(x)g(x)]' + \dots + (-1)^{\gamma-1} f(x) [p_{k-\gamma}(x)g(x)]^{(\gamma-1)} \}. \quad (2.5)$$

In particular, if  $f|_{(\alpha, \beta)} \in N_L$  and  $g|_{(\alpha, \beta)} \in N_{L^*}$ , then it follows from (2.4) that

$$W(f, g; \alpha+) = W(f, g; \beta-) . \quad (2.6)$$

Taking an L-spline  $S$  as  $f$  and taking  $u_i$  as  $g$  in (2.5), we have

$$W(S, u_i; x) = \sum_{\gamma=0}^k \{ S^{(\gamma-1)}(x) [P_{k-\gamma}(x) u_i(x)] - S^{(\gamma-2)}(x) [P_{k-\gamma}(x) u_i(x)]' + \dots + (-1)^{\gamma-1} S(x) [P_{k-\gamma}(x) u_i(x)]^{(\gamma-1)} \}. \quad (2.7)$$

If  $t_i < t_m < t_{i+k}$ , then

$$u_i(t_m) = \dots = u_i^{(d_{m-1})}(t_m) = 0, \\ \text{jump}_{t_m} S = \dots = \text{jump}_{t_m} S^{(k-d_{m-1})} = 0,$$

hence

$$W(S, u_i; t_m+) = W(S, u_i; t_m-).$$

On the other hand, we have, for any  $\xi, \eta \in (t_i, t_{i+k})$ ,

$$W(S, u_i; \eta) - W(S, u_i; \xi) = \sum_{\xi \leq t_m \leq \eta} [W(S, u_i; t_m+) - W(S, u_i; t_m-)].$$

Therefore,

$$W(S, u_i; \eta) - W(S, u_i; \xi) = 0,$$

that is,

$$W(S, u_i; \eta) = W(S, u_i; \xi), \text{ for any } \xi, \eta \in (t_i, t_{i+k}). \quad (2.8)$$

We conclude that  $W(S, u_i; \cdot)$  is identically equal to a constant in  $(t_i, t_{i+k})$ .

Definition 2.1. By  $\mathcal{L}(L; \underline{t})$  we denote the space of all L-splines with knots  $\underline{t}$ .

The linear functional

$$\lambda_i : S \rightarrow W(S, u_i; \xi), \quad t_i < \xi < t_{i+k}. \quad (2.9)$$

which acts on the space  $\mathcal{L}(L; \underline{t})$  is called a quasi-interpolant functional.

Theorem 2.1. If  $S$  is an L-spline with  $[m, n]$  as its carrier, then

- (1°)  $\lambda_i S = 0$  when  $m > i$ ;
- (2°)  $\lambda_i S \neq 0$  when  $m = i$ ;
- (3°)  $\lambda_i S = 0$  when  $n < i + k$ ;
- (4°)  $\lambda_i S \neq 0$  when  $n = i + k$ .

Proof. (1°) If  $t_m > t_i$ , we take  $\xi \in (t_i, t_m)$ , then

$$\lambda_i S = W(S, u_i; \xi) = 0$$

since  $S = 0$  on  $(t_i, t_m)$ . In the case of  $t_m = t_i$ , from

$$S(t_i) = S'(t_i) = \dots = S^{(k-\ell_i-1)}(t_i) = 0$$

$$u_i(t_i) = u_i'(t_i) = \dots = u_i^{(\ell_i-1)}(t_i) = 0$$

it follows that

$$\lambda_i S = W(S, u_i; t_i+) = 0.$$

(2°) Suppose the converse statement  $\lambda_i S = 0$  holds. There are two cases:

(i)  $t_i < t_{i+1}$ . Substituting  $W(S, u_i; t_i+) = 0$  and

$$S(t_i) = S'(t_i) = \dots = S^{(k-2)}(t_i) = 0$$

into (2.7), we obtain

$$S^{(k-1)}(t_i+)u_i(t_i) = 0,$$

but  $u_i(t_i) \neq 0$  in terms of the condition (ET) and  $S^{(k-1)}(t_i+) \neq 0$ , so we get a contradiction.

(ii)  $t_i = t_{i+1}$ . In this case,

$$S(t_i) = S'(t_i) = \dots = S^{(k-\ell_i-2)}(t_i) = 0,$$

$$u_i(t_i) = u_i'(t_i) = \dots = u_i^{(\ell_i-1)}(t_i) = 0.$$

Combining it with (2.7), we have

$$S^{(k-\ell_i-1)}(t_i+)u_i^{(\ell_i)}(t_i) = 0,$$

which contradicts the fact that  $S^{(k-\ell_i-1)}(t_i) \neq 0$  and  $u_i^{(\ell_i)}(t_i) \neq 0$ .

We can similarly prove (3°) and (4°).

Definition 2.2. If an L-spline  $S$  has  $[m, n]$  as its carrier, then  $n-m$  is called the length of  $S$ .

Corollary 2.2. The length of any nonzero L-spline  $S$  is at least  $k$ .

In fact, if  $[m, n]$  is the carrier of  $S$  and  $n - m < k$ , then (2°) of Theorem 2.1 implies  $\lambda_m S \neq 0$ , but (3°) implies  $\lambda_m S = 0$ .

### 53. THE CONSTRUCTION OF LB-SPLINES

There are other papers which deal with the construction of LB-splines (cf. Jerome and Schumaker [5]), but the construction given here is particularly suited for the development of the quasi-interpolant functionals. Further, we emphasize that LB-splines are entirely determined by the operator  $L$  and are independent of the choice of  $N_L$ 's basis.

**Lemma 3.1.** If  $\{\varphi_1, \varphi_2, \dots, \varphi_k\}$  is a basis in  $N_{L^*}$ , then there exists a basis  $\{\chi_1, \chi_2, \dots, \chi_k\}$  in  $N_L$  such that, for  $l = 0, 1, \dots, j$ ,

$$\sum_{i=1}^k \varphi_i^{(l)}(\xi) \chi_i^{(j-l)}(\xi) = \begin{cases} 0 & \text{when } j = 0, 1, \dots, k-2; \\ (-1)^l & \text{when } j = k-1. \end{cases} \quad (3.1)$$

The functions  $\{\chi_i\}$  are the adjunct functions for the  $\{\varphi_i\}$ ; see [6; 669]. Let

$$G(x, \xi) = \begin{cases} \sum_{i=1}^k \varphi_i(\xi) \chi_i(x), & x \geq \xi, \\ 0 & , x < \xi. \end{cases} \quad (3.2)$$

Clearly,  $G(x, \xi)$  is Green's function for the operator  $L$  with side conditions:

$$y(\alpha) = y'(\alpha) = \dots = y^{(k-1)}(\alpha) = 0, \quad \alpha \leq x, \xi.$$

Now we define functionals  $v_m$  as follows:

$$v_m(f) := \begin{cases} f^{(m-i)}(t_m), & m = i, \dots, i + \ell_i; \\ f^{(c_m)}(t_m), & m \geq i + \ell_i + 1. \end{cases} \quad (3.3)$$

It is easily seen that

$$K_m(x) := v_m(G(x, \cdot)), \quad m = i, i+1, \dots$$

are L-splines. By (3.1) we have

(i) For  $m = i, \dots, i + \ell_i$ ,

$$\text{jump}_{t_m} K_m^{(m)}(\gamma) = \begin{cases} 0 & , \gamma < k-1-m+i; \\ (-1)^{m-i} & , \gamma = k-1-m+i. \end{cases}$$

(ii) For  $m \geq i + \ell_i + 1$ ,

$$\text{jump}_{t_m} K_m^{(m)}(\gamma) = \begin{cases} 0 & , \gamma < k-1-c_m; \\ (-1)^{c_m} & , \gamma = k-1-c_m. \end{cases}$$

Thus the function

$$M_i(\varphi_1, \dots, \varphi_k; x) := \begin{vmatrix} v_i(\varphi_1) & v_i(\varphi_2) & \dots & v_i(\varphi_k) & v_i(G(x, \cdot)) \\ v_{i+1}(\varphi_1) & v_{i+1}(\varphi_2) & \dots & v_{i+1}(\varphi_k) & v_{i+1}(G(x, \cdot)) \\ \vdots & \vdots & & \vdots & \vdots \\ v_{i+k}(\varphi_1) & v_{i+k}(\varphi_2) & \dots & v_{i+k}(\varphi_k) & v_{i+k}(G(x, \cdot)) \end{vmatrix} \quad (3.4)$$

is an L-spline with  $[i, i+k]$  as its carrier. The  $M_i$ 's length equals  $k$ , but by Corollary 2.2 the length of any nonzero L-spline is not less than  $k$ , so we have already proved the main part of the following theorem.

**Theorem 3.1.**  $M_i(\varphi_1, \varphi_2, \dots, \varphi_k; x)$  given by (3.4) is an LB-spline. Moreover each LB-spline  $M$  can be represented as

$$M = \text{const} \cdot M_i(\varphi_1, \dots, \varphi_k; \cdot) \quad \text{for some } i.$$

**Proof.** Suppose  $M$ 's carrier is  $[i, j]$ . By Corollary 2.2 we know  $j \geq i+k$ , on the other hand, we have  $j-i \leq k$  by the definition of LB-splines, so  $j = i+k$ . By Definition 1.2,

$$\text{jump}_{t_i} M_i^{(k-l_i-1)} \neq 0 \quad \text{and} \quad \text{jump}_{t_i} M^{(k-l_i-1)} \neq 0.$$

Let

$$c := \text{jump}_{t_i} M^{(k-l_i-1)} / \text{jump}_{t_i} M_i^{(k-l_i-1)}.$$

Then  $M - cM_i$  would have a carrier which is a proper subset of  $[i, j]$ . Applying Corollary 2.2 again to this case, we have  $M - cM_i = 0$ , that is,  $M = cM_i$ .

**Corollary 3.1.** For any two bases of  $N_{L^*} = \{\varphi_1, \varphi_2, \dots, \varphi_k\}$  and  $\{\psi_1, \psi_2, \dots, \psi_k\}$ , there exists a nonzero constant  $c$  such that

$$M_i(\psi_1, \psi_2, \dots, \psi_k; x) = c \cdot M_i(\varphi_1, \varphi_2, \dots, \varphi_k; x).$$

§4. LB-SPLINES SERIES

It follows directly from Theorem 2.1 that

Theorem 4.1. For  $i, j$  integers, let  $M_j$  be an LB-spline with  $[t_j, t_{j+k}]$  as its carrier, and let  $\lambda_i$  be a quasi-interpolant functional given by (2.9). Then

$$\lambda_i M_j \neq 0$$

if and only if  $i = j$ .

Corollary 4.1. For any open set  $I$ ,  $\{M_i; \text{supp } M_i \cap I \neq \emptyset\}$  is linearly independent on  $I$ .

Proof. Suppose

$$\sum_{\text{supp } M_i \cap I \neq \emptyset} \gamma_i M_i|_I = 0.$$

Letting the functional  $\lambda_i = W(\cdot, u_i; \xi_i)$  where  $\xi_i \in \text{supp } M_i \cap I$  act on the foregoing equation, we obtain

$$\gamma_i = 0 \text{ for all } i \text{ such that } \text{supp } M_i \cap I \neq \emptyset.$$

Corollary 4.2.  $\text{supp}(\sum_i \gamma_i M_i) = \bigcup_{\gamma_i \neq 0} \text{supp } M_i$

Proof. The relation

$$\text{supp} \sum_i \gamma_i M_i \subset \bigcup_{\gamma_i \neq 0} \text{supp } M_i$$

is obvious. Conversely, suppose  $\tau \in \text{supp} \sum_i \gamma_i M_i$  for some  $i, \gamma_i \neq 0$ , but  $\tau \notin \text{supp} \sum_i \gamma_i M_i$ . Then we can choose some  $\tau_i$  inside  $\text{supp } M_i$  so that  $\tau_i \notin \text{supp} \sum_i \gamma_i M_i$ . If we put  $\lambda_i = W(\cdot, u_i; \tau_i)$ , then

$$\lambda_i(\sum_i \gamma_i M_i) = 0,$$

hence  $\gamma_i = 0$ , which is a contradiction.

With the help of quasi-interpolant functionals we can obtain the following existence and uniqueness theorem about LB-spline series expansion. The proof is omitted here because it is similar to the proof in [3].

Theorem 4.2. Any L-spline  $S$  can be represented as a series of LB-splines:

$$S = \sum_i \alpha_i M_i;$$

moreover, this representation is unique.

§5. LOCAL LINEAR FUNCTIONALS

Definition 5.1. If

$$f^{(c)}_m(t_m) = g^{(c)}_m(t_m), \quad \forall m, \quad (5.1)$$

then we say that  $f$  "agrees with"  $g$  at  $\underline{t}$  and write

$$f|_{\underline{t}} = g|_{\underline{t}}.$$

Suppose, for  $i$  integers,  $M_i$  are LB-splines, and  $u_i$  are given by (2.2). Let  $n := i + k - c_{i+k}$ . Then

$$t_i \leq t_{n-1} < t_n = \dots = t_{i+k}.$$

Let

$$u_i^+ = \begin{cases} 0, & \text{if } t < (t_{n-1} + t_n)/2; \\ u_i, & \text{if } t \geq (t_{n-1} + t_n)/2. \end{cases} \quad (5.2)$$

We have

Theorem 5.1.  $h_i \in L_q(a,b)$  satisfies

$$\int h_i M_j = \delta_{ij}, \quad \text{all } i, j,$$

if and only if  $h_i = -L^* f$  for some  $f \in H^k_q(a,b)$  with  $f|_{\underline{t}} = u_i^+|_{\underline{t}}$ .

Proof. "If" part. Suppose  $f|_{\underline{t}} = u_i^+|_{\underline{t}}$ . We have, for any L-spline  $S$ ,

$$W(S, f; t_m^+) = W(S, f; t_m^-), \quad m \leq n-1, \quad (5.3)$$

and

$$W(S, f - u_i; t_m^+) = W(S, f - u_i; t_m^-), \quad m \geq n. \quad (5.4)$$

In view of Lagrange's formula we have

$$\begin{aligned} \int_{t_m}^{t_{m+1}} (L^* f) S \, dx &= \int_{t_m}^{t_{m+1}} (LS) f \, dx - W(S, f; x) \Big|_{t_m^+}^{t_{m+1}^-} \\ &= W(S, f; t_m^+) - W(S, f; t_{m+1}^-), \quad t_m < t_{m+1}, \end{aligned}$$

hence

$$\int (L^* f) M_j \, dx = \sum_{t_j \leq t_m < t_{m+1} < t_{j+k}} [W(M_j, f; t_m^+) - W(M_j, f; t_{m+1}^-)]. \quad (5.5)$$

Let us separate consideration of the following three possibilities.

(i)  $t_{j+k} \leq t_{n-1}$ . In this case, it follows from (5.3) and (5.5) that

$$\int (L^* f) M_j dx = W(M_j, f; t_{j+}) - W(M_j, f; t_{j+k}^-),$$

but

$$W(M_j, f; t_{j+}) = 0, \quad W(M_j, f; t_{j+k}^-) = 0 \quad (5.6)$$

by (2.5) and the definition of LB-splines, so that  $\int (L^* f) M_j dx = 0$ .

(ii)  $t_j \geq t_n$ . We have, similarly,

$$W(M_j, f - u_i; t_{j+}) = 0, \quad W(M_j, f - u_i; t_{j+k}^-) = 0. \quad (5.7)$$

We rewrite (5.5) as

$$\begin{aligned} \int (L^* f) M_j dx = & \sum_{t_{j-m} < t_m < t_{m+1} < t_{j+k}} [W(M_j, f - u_i; t_m^+) - W(M_j, f - u_i; t_{m+1}^-)] \\ & + \sum_{t_{j-m} < t_m < t_{m+1} < t_{j+k}} [W(M_j, u_i; t_m^+) - W(M_j, u_i; t_{m+1}^-)]. \end{aligned}$$

The first sum is equal to zero by (5.4) and (5.7). To calculate the second sum we resort to Lagrange's Formula and obtain

$$\begin{aligned} & \sum_{t_{j-m} < t_m < t_{m+1} < t_{j+k}} [W(M_j, u_i; t_m^+) - W(M_j, u_i; t_{m+1}^-)] \\ & = \sum_{t_{j-m} < t_m < t_{m+1} < t_{j+k}} \left[ \int_{t_m}^{t_{m+1}} (L^* u_i) M_j dx - \int_{t_m}^{t_{m+1}} (L M_j) u_i dx \right] = 0. \quad (5.8) \end{aligned}$$

(iii)  $t_{j+k} > t_{n-1}$  and  $t_j < t_n$ . Thus  $t_j \leq t_{n-1} < t_n \leq t_{j+k}$  must occur. Let

$$\sum_{t_{j-m} < t_m < t_{m+1} < t_{j+k}} [W(M_j, f; t_m^+) - W(M_j, f; t_{m+1}^-)] = \Sigma_1 + \Sigma_2 + \Sigma_3, \quad (5.9)$$

where

$$\Sigma_1 := \sum_{t_{j-m} < t_m < t_{m+1} < t_{n-1}} [W(M_j, f; t_m^+) - W(M_j, f; t_{m+1}^-)] + W(M_j, f; t_{n-1}^+), \quad (5.10)$$

$$\Sigma_2 := -W(M_j, f - u_i; t_n^-) + \sum_{t_n \leq t_m < t_{m+1} \leq t_{j+k}} [W(M_j, f - u_i; t_m^+) - W(M_j, f - u_i; t_{m+1}^-)], \quad (5.11)$$

$$\Sigma_3 := -W(M_j, u_i; t_n^-) + \sum_{t_n \leq t_m < t_{m+1} \leq t_{j+k}} [W(M_j, u_i; t_m^+) - W(M_j, u_i; t_{m+1}^-)]. \quad (5.12)$$

It follows from (5.3), (5.4), (5.6) and (5.7) that

$$\Sigma_1 = 0, \quad \Sigma_2 = 0.$$



A demonstration similar to that in (5.8) gives

$$\sum_{t_n < t_m < t_{m+1} < t_{j+k}} [W(M_j, u_i; t_m^+) - W(M_j, u_i; t_{m+1}^-)] = 0.$$

Finally we have

$$\int (L^* f)_j dx = \Sigma_1 + \Sigma_2 + \Sigma_3 = -W(M_j, u_i; t_{n+1}^-) = -\delta_{ij},$$

that is,

$$\int h_i M_j = \delta_{ij}.$$

This completes the proof of "if" part.

The proof of "only if" part is based on the following lemma.

Lemma 5.1. (1°) If  $f^{(l)}(t_s) = 0$  ( $s = j, j+1, \dots, j+c_j$ ) and  $W(M_{j-1}, f; t_{j-1}^+) = 0$ , then  $f^{(l)}(t_{j-1}) = 0$ .

(2°) If  $f^{(c)}(t_s) = 0$  ( $s = j, j-1, \dots, j-c_j$ ) and  $W(M_{j+1}, f; t_{j+1}^-) = 0$ , then  $f^{(c)}(t_{j+1}) = 0$ .

Proof. It suffices to prove (1°), because the proof of (2°) is similar. There are two possibilities.

(i)  $t_{j-1} < t_j$ . In this case,

$$M_{j-1}^{(k-1)}(t_{j-1}) = M_{j-1}^{(k-2)}(t_{j-1}) = \dots = M_{j-1}^{(k-2)}(t_{j-1}) = 0, \quad M_{j-1}^{(k-1)}(t_{j-1}^+) \neq 0,$$

so by (2.5) we have  $M_{j-1}^{(k-1)}(t_{j-1}^+)f(t_{j-1}) = W(M_{j-1}, f; t_{j-1}^+) = 0$ , hence  $f(t_{j-1}) = 0$ .

(ii)  $t_{j-1} = t_j$ . Putting

$$M_{j-1}^{(k-1)}(t_{j-1}) = M_{j-1}^{(k-2)}(t_{j-1}) = \dots = M_{j-1}^{(k-l)}(t_{j-1}) = 0, \quad M_{j-1}^{(k-l)}(t_{j-1}) \neq 0$$

and

$$f(t_{j-1}) = \dots = f^{(l)}(t_{j-1}) = 0$$

in the place of the expression (2.5) for  $W(M_{j-1}, f; t_{j-1}^+)$ , we obtain  $f^{(l)}(t_{j-1}) = 0$ .

Now we proceed with the proof of the necessity. If  $h_i \in L_q(a, b)$  is such a function that  $\int h_i M_j = \delta_{ij}$ , all  $j$ , then there exists a  $f \in H_q^k(a, b)$  such that  $-L^* f = h_i$  and

$$f^{(l_s)}(t_s) = 0, \quad s = i, i+1, \dots, n-1; \quad (5.13)$$

$$f^{(c_s)}(t_s) = u_i^{(c_s)}(t_s), \quad s = n, \dots, i+k-1. \quad (5.14)$$

To prove  $f|_{\underline{t}} = u_i^+|_{\underline{t}}$ , that is to prove

$$f^{(l_s)}(t_s) = 0 \quad \text{for all } s \leq n-1, \quad (5.15)$$

$$f^{(c_s)}(t_s) = 0 \quad \text{for all } s \geq n, \quad (5.16)$$

we proceed by induction on  $s$ . We only need to prove (5.16), because the proof of (5.15) is similar. Suppose (5.16) is true for  $s$  such that  $n \leq s \leq j-1$ , where  $j \geq i+k$ . Consider the integral  $\int M_{j-k}^*(L^*f)dx$ . Calculate its value by (5.9)-(5.12). It is easily seen that the contribution of  $\Sigma_1$  is zero, the contribution of  $\Sigma_2$  is  $-W(M_{j-k}, f - u_i; t_j^-)$ , and the contribution of  $\Sigma_3$  is  $-\delta_{i, j-k}$ . On the other hand,  $\int M_{j-k}^*(L^*f)dx = -\int M_{j-k} h_i dx = -\delta_{i, j-k}$ , therefore,

$$W(M_{j-k}, f - u_i; t_j^-) = 0.$$

Resorting to Lemma 5.1, we obtain

$$f^{(c_j)}(t_j) = u_i^{(c_j)}(t_j).$$

This completes the proof of the "only if" part, and so of the theorem.

Corollary 5.1. If  $[\alpha, \beta] \subseteq [t_i, t_{i+k}]$ , and if  $f \in H_q^k[\alpha, \beta]$  satisfies the following conditions:

- (i)  $f^{(\gamma)}(\alpha) = 0, \quad \gamma = 0, 1, \dots, k-1;$
- (ii)  $f^{(\gamma)}(\beta) = u_i^{(\gamma)}(\beta), \quad \gamma = 0, 1, \dots, k-1;$
- (iii)  $f^{(\gamma)}(t_j) = 0, \quad \gamma = 0, 1, \dots, k-d_j-1$  for  $t_j \in (\alpha, \beta);$

then  $h_i$  determined by  $h_i = -L^*f$  has support  $[\alpha, \beta]$  and

$$\int h_i M_j = \delta_{ij} \quad \text{for all } j.$$

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