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# HIGH RESISTIVITY MOLECULAR BEAM EPITAXIAL AIGaAs FOR DEVICE APPLICATIONS

ANNUAL REPORT FOR THE PERIOD May 1, 1978 through April 30, 1979

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Prepared for

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D. R. Ch'en Principal Investigator

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Unclassified SECURITY CLASSIFICATION OF THIS PAGE (When Date Entered) READ DISTRUCTIONS **REPORT DOCUMENTATION PAGE** 2. GOVT ACCESSION NO. 1. RECIPIENT'S CATALOR REPORT NUMBER MH 182 ERC41006.2AR D TITLE (and Subtitio TYPE OF REPORT & PERIOD COVERED High Resistivity Molecular Beam Epitaxial 5/01/78 thru 4/30/79 AlGaAs for Device Applications PERFORMING ORG. REPORT NUMBER ERC41006.2AR AUTHORA R. D./Fairman, D. L./Miller /#111 NØØØ14-78-C-Ø37Ø 5 9. PERFORMING ORDANIZATION NAME AND ADDRESS Rockwell International PROGRAM ELEMENT, PROJECT, TASK AREA & VORK UNIT HUMBERS PE62762N Electronics Research Center RF-581-001 1049 Camino Dos Rios, Thousand Oaks, Ca. 91360 NR 251-032 Office of Naval Research 12. REPORT DATE 2/1980 411391 800 North Quincy Street 13. NUMBER OF PAGES Arlington, Virginia 22217 41 4. MONITORING AGENCY NAME & ADDRESS(I dillorent from Co trolling Office) 15. SECURITY CLASS. (of this report) Unclassified F58200 16) See Block 11 154. DECLASSIFICATION/DOWNGRADING 16. DISTRIBUTION STATEMENT (of this Report) Approved for public release. Distribution unlimited. 17).RF582007 7 Feb 80 DISTRIBUTION STATEMENT (of the abstract entered in Block 20, If different from Repu Annual rept. I May 78-30 Apr 79. 18. SUPPLEMENTARY NOTES **ONR Scientific Officer** Telephone: (202) 696-4218 19. XEY WORDS (Continue on reverse side if necessary and identify by block number) Molecular beam epitaxy (MBE), Aluminum gallium arsenide (AlGaAs), GaAs fieldeffect transistor (GaAs FET). ABSTRACT (Continue on reverse side if necessary and identify by block number) AlGaAs & GaAs molecular beam epitaxial (MBE) layers suitable for device application, are studied and the results are discussed. Analysis includes Van der Pauw and Hall measurements, photoluminescence, sheet resistance, photoinduced current transient spectroscopy (PITS), secondary ion spectroscopy, and capacitance-voltage profiling. All indications are that high quality buffer layers of AlGaAs and active layers of GaAs can be produced by MBE which can yield devices of exceptional performance DD T AN 73 1473 EDITION OF I NOV 65 IS OBSOLETE Unclassified SECURITY CLASSIFICATION OF THIS PAGE (When Date Ente 411 391 Il

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#### 1.0 INTRODUCTION

The advancement of III-V MESFET technology requires, in part, the improvement of semi-insulating materials used in today's device fabrication. The properties of currently available chromium-doped GaAs substrates impose restrictions on the performance of devices and monolithic circuits presently being produced in research laboratories. Therefore, new high performance materials will be an essential part of future device development. Already, successful growth of lattice matched, semi-insulating, wide bandgap AlGaAs layers on GaAs has been achieved and therefore this technique offers a very attractive avenue to impact advanced device development.

The effort in this program is to investigate the growth of high resistivity AlGaAs by molecular beam epitaxy, with the goal of developing a heterostructure insulating layer technology with current confinement properties for microwave GaAs FETs. It is our intention to exploit the attractive features of MBE for producing high quality material for microwave devices. The key features and results of our program begin with the investigation of AlGaAs epitaxy from advanced MBE growth techniques. This has led to the successful growth of AlGaAs films with sheet resistivities of  $10^9 \ \Omega/\Box$  to  $10^{10} \ \Omega/\Box$ . Films have also been grown over a range of 0 to 30 atomic percent Al, all with excellent semi-insulating properties.

Improvements in the design and construction of metal sources in the basic MBE apparatus have resulted in a reduction of acceptor impurities and an overall improvement in low temperature Hall mobility. Several techniques have been used to characterize AlGaAs properties. Basic determinations of impurity concentration in AlGaAs by the use of Van der Pauw and C-V measurements have been inconclusive, although preliminary results obtained by photo-induced transient current (PITS) measurements suggest a continuum of deep levels throughout the bandgap. Other techniques used for characterization have been photoluminescence and secondary ion mass spectrometry (SIMS). These have proven to be useful in defining some of the details in the nature of the new insulating films. Further efforts to identify principal levels and capture cross sections will be required.



The overall assessment of this new technology is in the performance of microwave devices. Epitaxial structures of GaAs(Ge) on AlGaAs have been used for the fabrication of 1  $\mu$ m gate length low noise FETs and preliminary dc transistor characteristics have been obtained.

This report describes our research activity in detail. Section 2.0 is a technical discussion of the MBE effort on this program. Included is both GaAs and AlGaAs layer growths. Section 3.0 presents the methods and results of our material characterization activities, Section 4.0 is a summary of these results. Section 5.0 discusses the results obtained on device structures fabricated from MBE material. Our conclusions are discussed in Section 6.0 with a presentation of future plans in Section 7.0.





#### 2.0 TECHNICAL DISCUSSION

#### 2.1 Molecular Beam Growth Technology

In molecular beam epitaxy, growth of GaAs is achieved by the simultaneous deposition of Ga and As onto a heated GaAs substrate. The details of this technique have been discussed extensively in the literature and will not be presented here, except to discuss those points which are specifically relevant for the production of AlGaAs/GaAs structures. The Molecular Beam Epitaxy Laboratory is shown in Fig. 2.1 illustrating two MBE reactors that were designed and fabricated at Rockwell Electronics Research Center, Thousand Oaks, California.

Success in producing an AlGaAs buffer FET structure depends on the ability to grow high resistivity, semi-insulating AlGaAs; to grow high mobility GaAs epilayers of controlled thickness and appropriate doping profile; and to achieve an abrupt transition between AlGaAs and GaAs without a significant number of interface states. Growth of n-type GaAs with mobilities sufficient for FET production is now relatively routine. Figure 2.2 shows mobility at 77°K and 300°K as a function of carrier density for n-type GaAs grown by MBE at the Electronics Research Center, Thousand Oaks. A calculated theoretical maximum is also indicated.

From a materials standpoint, the two major areas of development in this project are (1) the growth of suitable AlGaAs, and (2) the growth and characterization of a suitable GaAs-AlGaAs interface. In the first task, growth of AlGaAs with a low density of electrically active, unintentional impurities is an initial step. Once this is achieved, the addition of selected impurities can pin the Fermi level at the desired position to give semiinsulating material. For the second task, the growth parameters which influence the number and type of interface states must be investigated. Additionally, the communication of the deep levels added intentionally to the AlGaAs (to make it semi-insulating) with the GaAs active region must be investigated, and may determine which impurities are suitable for AlGaAs design. Finally, the doping profile in the GaAs active layer must be appropriate for an FET device.





Fig. 2.1 Molecular beam epitaxy laboratory.

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There are several important parameters involved in the growth of suitable semi-insulating AlGaAs. These all involve the control of unintentional electrically active impurities or defects in the AlGaAs layers. These can arise from background gasses in the vacuum chamber during layer growth. from impurities in the starting materials, or from growth conditions which lead to large numbers of vacancies or interstitials. The reactive nature of Al makes it extremely important to achieve a very low background pressure during deposition. Our chamber is equipped with an airlock for substrate insertion, and extensive liquid nitrogen shrouding, which keep  $0_2$  and  $H_20$ levels below 1  $\times$  10<sup>-11</sup> torr. The major source of background gas contamination is CO, produced in the Ga and Al sources, which has a partial pressure of  $\sim$ 5 × 10<sup>-10</sup> torr during layer growth. This low pressure has been achieved during the past year by, among other things, installing a 10°K closed cycle helium refrigerator cryogenic pump, which pumps CO much more efficiently than the ion pump on the chamber. Other design modifications of sources and shrouding have also served to decrease the level of background gasses in the chamber. These are discussed in more detail in the next section.

### 2.2 <u>MBE AlGaAs Buffer - Active Layer Growth</u>

A list of layers grown by MBE for this project is shown in Table 2.1. Several configurations were attempted, with two different dopants used for the GaAs active layer, and three different growth conditions for the AlGaAs buffer layer. These conditions and the materials behind their growth is presented here.

# 2.2.1 Growth of Undoped AlGaAs

Residual gasses in the vacuum chamber affect the properties of AlGaAs grown by MBE. In particular, gasses containing oxygen such as  $H_20$ , CO, or CO<sub>2</sub> can lead to oxygen incorporation and deep electronic levels in the material. In order that the number and type of unintentional deep levels is kept relatively small, steps have been taken to reduce unintentional oxygen

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# Table 2.1

# Layers Grown for MBE AlGaAs Buffer FET Program

Date	Growth #	Purpose	Comments
5/10	262	GaAs(Sn)/AlGaAs(O) graded junction	
5/9	261	GaAs(Sn)/AlGaAs(O) abrupt junction	
4/25	247	AlGaAs calibration (with 5204)	
~4/20		SnTe source	System contamination
4/5	241	GaAs/A1As/A1GaAs/ GaAs(n-Sn)/GaAs(n <sup>+</sup> Sn)	AlAs wrinkled after oxidation in air
2/19	213	GaAs(Ge)/A1GaAs(O)	
2/19	212	GaAs(Ge)/AlGaAs(0) calibration	
2/16	211	GaAs(Ge)/A1GaAs(H <sub>2</sub> )	
2/16	210	GaAs(Ge)/AlGaAs(H <sub>2</sub> ) calibration	
2/15	209	GaAs(Ge)/A1GaAs undoped	
2/15	208	GaAs(Ge)/AlGaAs undoped calibration	
2/14	207	n-type AlGaAs w/H <sub>2</sub> (shared growth)	
~1/23	New source	e constructed tested	
1/23/79	203	GaAs(Ge)/A1GaAs(O)	
1/19/79	202	GaAs(Ge)/AlGaAs(O)	
1/18/79	201	GaAs(Ge)/A1GaAs(O)	
2/11/79	195	AlgaAs	



Table 2.1 (Con	t	'd)
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Date	Growth #	Purpose	Comments
11/17/78	173	GaAs(Sn)/A1GaAs(O)	$n = 6 \times 10^{16} \text{ cm}^{-3}$
11/15/78	171	GaAs(Ge)/A1GaAs(O)	$n = 5 \times 10^{17} \text{ cm}^{-3}$
11/14/78	170	GaAs(Ge)/A1GaAs(0)	$n = 2 \times 10^{17} \text{ cm}^{-3}$
11/10/78	169	GaAs(Ge)/A1GaAs(O)	$n = 5 \times 10^{17} \text{ cm}^{-3}$
11/10/78	168	GaAs(Ge)/A1GaAs(0)	2000Å/10 <sup>17</sup> depleted
9/6/78	139	AlGaAs calibration	
9/5/78	138	AlGaAs calibration	
9/1/78	137	AlGaAs calibration	
6/16/79	113	AlGaAs calibration	
6/15/79	112	AlGaAs calibration	
6/13/78	111	GaAs (undoped)	GaAs background doping
6/9/78	110	GaAs (undoped) 3.6 µm	
6/6/78	108	GaAs (undoped) 4 µm	
6/2/78	106	GaAs (undoped)	

incorporation in the AlGaAs layers. This was undertaken primarily with other funding and funding partly provided by another ONR project (Contract No. N00014-78-C-0330). These steps consisted of providing additional pumping, cryogenic shielding, and reducing degassing from the Al and Ga sources. These details are discussed in the final report for that contract. The result was a considerable improvement in deep level concentration of the  $Al_xGa_{1-x}As$ , as determined by donor activation and luminescence efficiency. The activation of Sn donors was found to depend on the growth parameters, down to below  $n = 1 \times 10^{16} \text{ cm}^{-3}$  at x = 0.3. This suggests that interactions of donors with native defects, probably gallium vacancies, dominates the donor activation, as has been recently reported.<sup>1</sup> If this model is applicable, then the deep level concentration due to unintentional impurity incorporation would be below about  $5 \times 10^{16}$  cm<sup>-3</sup> in our best material. The undoped AlGaAs was semi-insulating  $(R_e>10^9 \Omega/\Box)$  and was used for several FET layer growths. A scanning electron micrograph of an AlGaAs epilayer on GaAs is shown in Fig. 2.3. Here the epilayer is about 1.5 µm thick.

### 2.2.2 Growth of AlGaAs with H<sub>2</sub>

Since it was reported<sup>2</sup> that introduction of H<sub>2</sub> into an MBE chamber during growth of GaAs has resulted in a decrease in layer electrical compensation and an increase in electron mobility, AlGaAs was grown in a hydrogen atmosphere. H<sub>2</sub> filtered through an Ag/Pd alloy diffusion element was introduced into the growth chamber through a variable leak valve. This also resulted in semi-insulating material, and studies performed on n-type AlGaAs showed that H<sub>2</sub> incorporation did not result in any observed reduction in deep level density. At the time these experiments were done, however, the deep level density was large (>10<sup>18</sup> cm<sup>-3</sup>), so that smaller reductions would not have been observed. A considerable increase in H<sub>2</sub>O partial pressure was observed following the introduction of H<sub>2</sub> into the chamber. The time constant of the H<sub>2</sub>O signal suggested this was caused by a reaction of H<sub>2</sub> with oxides in the chamber, rather than oxygen contamination in the H<sub>2</sub> gas inlet. Therefore, growth in an H<sub>2</sub> background was not continued at this time.



Fig. 2.3 SEM micrograph of AlGaAs epilayer on GaAs.



## 2.2.3 Growth of AlGaAs with 02

There have been a number of reports in the literature of semiinsulating AlGaAs produced by MBE growth in an  $O_2$  atmosphere.<sup>3</sup> This is the approach we have chosen for producing semi-insulating AlGaAs buffer layers. The oxygen is incorporated much more heavily in AlGaAs than in GaAs, presumably due to the reactive nature of Al.

The  $Al_xGa_{1-x}As$  buffer layers have been grown 1-2 µm thick in oxygen partial pressures of  $5 \times 10^{-7}$  torr to  $1 \times 10^{-6}$  torr, with x = .3 to .4, and at a growth rate of 1-1.5 µm/hr. The oxygen was obtained from a one liter glass flask, and was bled into the system through a bakable variable leak valve. The chamber ion pump was turned off during the AlGaAs(0) growth, and pressure maintained by balancing the oxygen leak rate with the  $0_2$  pumping speed of the 10K cryogenic sorption pump. This constant throughput mode of operation provides continuous pumping of the chamber during the layer growth, with continuous removal of gasses such as CO or CO<sub>2</sub>.

The AlGaAs layer appearance and microscopic defect density is unaffected by  $O_2$  pressures in this range, and GaAs layers grown over them appear comparable to layers grown directly on GaAs substrates. A SIMS profile of Al, As, and Cr content was performed<sup>\*</sup> for one O-doped and one undoped GaAs/AlGaAs buffer structure. Interfaces on both layer growths were abrupt, although about .01% Al was detected in the GaAs grown over the undoped layer, while .1% was detected in the GaAs grown over the oxygen-doped layer. This is compared to a detection limit of .005% for Al for the two measurements. It is not known whether this effect is real or an artifact of the measurement technique. Chromium was also observed at a level about one order of magnitude below the substrate concentration in the GaAs grown on the undoped buffer layer, and a factor of 5 below the substrate level for GaAs grown on O-doped AlGaAs. More SIMS profiling will be necessary to investigate the extent of Cr and Al diffusion into the active layer.

by C. A. Evans & Associates 1670 S. Amphlett Blvd. Suite 120 San Mateo, CA 94402



## 2.2.4 Growth of GaAs/AlGaAs FET Structures

Growth of the GaAs active layer on the AlGaAs buffer layers has proceeded by valving off whatever gas was being admitted ( $H_2$  or  $O_2$ ), closing the Al shutter, and opening the dopant shutter. The ion pump was then started so removal of  $O_2$  from the system was very rapid; pressures of less than  $10^{-10}$  torr oxygen were achieved in several minutes.  $H_2$  pumping was somewhat slower.

Two different dopants have been used in making FET structures. These are Ge and Sn. Sn gives better GaAs mobilities, but does not readily give abrupt doping profiles. This is due to Sn surface segregation during growth of the layer. Abrupt profiles can be achieved with Ge, but great care must be taken with the substrate temperature and As/Ga flux ratios to obtain good mobilities with this amphoteric dopant. Most of the layers were grown using Ge as the dopant.

A source of SnTe was also constructed and put in the growth chamber following reports of good mobilities with abrupt doping profiles from this compound.<sup>4</sup> The source was degassed, but never used, since background doping levels increased from about  $n = 2 \times 10^{15}$  cm<sup>-3</sup> to  $n = 2 \times 10^{17}$  cm<sup>-3</sup> coincident with the introduction of this source. It is suspected that this was due to Te<sub>2</sub> contamination of the growth chamber, although there was no conclusive evidence of Te<sub>2</sub> incorporation in the layers. This background doping persisted for over two months, despite the immediate removal of the SnTe source, and despite a number of attempts to reduce the background level by cleaning the chamber parts and replacing the source.



#### 3.0 MATERIAL CHARACTERIZATION

GaAs and  $Al_XGa_{1-X}As$  layers grown in this program have been examined with the following measurement techniques:

- 1. Van der Pauw ( $\rho$ ,  $\mu_H$ , N<sub>D</sub>-N<sub>A</sub> at 300, 77°K)
- 2. Photoluminescence, 77°K
- 3. Sheet resistance, 300 °K
- 4. Photo-induced transient current
- 5. Secondary ion mass spectroscopy
- 6. Capacitance voltage profiling

#### 3.1 Van der Pauw Measurements

Early in the program efforts were made to evaluate the background impurity level of the MBE system. Several samples were produced with epitaxial layers in the thickness range of 1-4  $\mu$ m. Van der Pauw measurements of these undoped MBE GaAs are shown in Table 3.1. Net donor density observed during this period was in 1-2  $\times 10^{15}$  cm<sup>-3</sup> range and electron mobilities of 6450 cm<sup>2</sup>-V<sup>-1</sup>-sec<sup>-1</sup> at 300 °K and 18,100 cm<sup>2</sup>-V<sup>-1</sup>-sec<sup>-1</sup> at 77 °K were obtained. Net doping density observed for these samples by C-V profiling indicated higher doping than by Van der Pauw measurement.

Epitaxial films grown on Cr compensated substrates usually show a dead layer effect due to anomalies caused by either chromium migration or interface states. Films grown by vapor and liquid phase epitaxy have shown these effects and FETs fabricated from such layers produce backside gating effects. Recent work by Charles Evans and Associates with SIMS analysis have shown effects of Cr migration on all growths of epitaxial films produced on Cr compensated substrates.<sup>5</sup> Diffusion rates of Cr have been indicated. These analytical results correlate with the need to grow thick layer structures for measurement of carrier densities  $<10^{14}$  cm<sup>-3</sup>.



Table 3.1 UNDOPED MBE GaAs

CV	Measurement (300	<u>%)</u>	Hall Measurement (300/77 %)			
Sample	N <sub>d</sub> -N <sub>a</sub> (cm <sup>-3</sup> )	t (µm)	$N_d - N_a (cm^{-3})$	$\mu_{\rm H}$ (cm <sup>2</sup> -V <sup>-1</sup> -sec <sup>-1</sup> )		
106	$8.2 \times 10^{15}$	1.7	$11.0-9.6 \times 10^{15}$	3438-9968		
108	$4.0 \times 10^{15}$	2.8	4.8-3.6 $\times 10^{15}$	3203-7074		
111	$3.2 \times 10^{15}$	2.3	4.0-3.2 × $10^{15}$	3108-8952		
114	$4.0 \times 10^{15}$	1.7	$2.0-1.9 \times 10^{15}$	6450-18,100		

 $Al_xGa_{1-x}As$  layers grown in this program have not been measured by Van der Pauw due to the high resistance properties of the as-grown layers. Sheet conductance effects with the semi-insulating GaAs substrate make mobility measurements meaningless. All AlGaAs layers prepared in this program have shown extremely high resistance properties.

# 3.2 <u>Capacitance-Voltage Measurements</u>

Depletion capacitance profiling was performed using evaporated aluminum Schottky barriers. A large area forward biased contact was used to contact the surface of layers grown on semi-insulating substrates or AlGaAs buffer layers. A Materials Development Corp. profiling system was used to make the measurement which utilized a 1 MHz Booton capacitance meter.

Capacitance voltage profiling was performed on undoped MBE GaAs layers and on intentionally doped GaAs grown in-situ upon AlGaAs buffers. Capacitance measurements made upon AlGaAs buffers show extremely low capacitance per unit area in the range of 500 pF cm<sup>-2</sup>. Negatively biased Schottky barriers exhibited no signs of further depletion as the built-in voltage had already depleted the structure. Trap density measurements of AlGaAs layers will be approximated using photo-induced current spectroscopy. Heavily doped GaAs active layers grown <u>in situ</u> upon AlGaAs buffer layers were profiled and produced well-behaved doping profiles with abrupt interfaces as shown in Fig. 3.1.





Fig. 3.1 Impurity concentration profile of MBE n-GaAs/AlGaAs.

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## 3.3 Photoluminescence Measurements

Photoluminescence measurements were made on  $Al_XGa_{1-X}As$  at 77°K to determine the alloy composition, for x < 0.35. The aluminum fraction x was determined from the relation:

$$x = (9569A)/\lambda(77^{\circ}K) - 1.163$$
(1)

Equation (1) was obtained from published values of the composition dependent bandgap, the temperature dependent GaAs bandgap and the composition dependent difference in photoluminescence peaks between  $77^{\circ}$ K and  $300^{\circ}$ K, i e.,

$$Eg(x, 77^{\circ}K) - Eg(x 300^{\circ}K) \equiv \Delta Eg(x).$$
 (2)

The composition dependent energy gap was taken to  $be^{6}$ 

$$Eg(x, 300^{\circ}K) = 1.424 \text{ eV} + 1.247x \text{ eV}$$
. (3)

The difference between 300  $^{\circ}$ K and 77  $^{\circ}$ K photoluminescence peak positions as a function of composition has been reported as  $^7$ 

$$\Delta Eg(x) = \Delta Eg(0) [1 + 0.6x] .$$
 (4)

The value of  $\Delta Eg(0)$  can be obtained from<sup>8</sup>

$$Eq(0,T) = 1.519 \text{ eV} - (5.405 \times 10^{-4} T^2)(T + 204)^{-1} \text{ eV}$$
 (5)

Combining Eq. (2) through (6) gives

$$Eg(x, 77^{\circ}K) = 1.509 eV + 1.298x eV.$$
 (6)



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Converting to wavelength and solving for x gives Eq. 1. For heavy  $0_2$ -doped  $Al_xGa_{1-x}As$ , luminescent efficiency was too low to allow this type of measurement. Values of x were estimated from Al source temperature in these cases. A typical semi-insulating  $Al_xGa_{1-x}As$  photoluminescence spectrum taken at 77°K is shown in Fig. 3.2.

#### 3.4 <u>Photo-induced Transient Current Measurements</u>

The quality of substrate and of epilayer material and the response of this material to process variables is crucial to device performance. Further improvements in device performance are, therefore, tied to improvements in semi-insulating buffer layer and process control. To this end we have developed a photo-induced transient spectroscopy technique (PITS) for the examination of bulk and surface impurity and defect states in the band gap of semiinsulating materials.

A variety of experimental tools have been used in the study of trapping phenomena in semiconductors and insulators, including thermally stimulated current (TSC), deep level transient spectroscopy (DLTS), photocapacitance/conductance and photoluminescence. Until recently only TSC has been an effective transport measurement for the study of insulating and semi-insulating materials, since capacitance methods such as DLTS require either a Schottky barrier or p-n junction, and are largely ineffective in the presence of a high series resistance. However, in the past year a new photo-induced current transfent spectroscopy (PITS) method has been developed at the Rockwell International Electronics Research Center<sup>9</sup> and elsewhere<sup>10</sup> which shows great potential as a highly sensitive tool for the study of impurity and defect trapping levels in insulating or semi-insulating materials (Fig. 3.3). This technique has been referred to as optical - DLTS, although we prefer the nomenclature PITS since the technique involves the observation of photocurrent transients rather than capacitance transients in a depletion region. It is similar to DLTS measurements in that the magnitude and time constant of observed phototransients are proportional to the concentration and emission rate of trapping levels in the material.<sup>11</sup> Like TSC measurements, however, the PITS technique can be used with either ohmic or Schottky barrier contacts and on insulating or semi-insulating materials. Other features of the PITS method are:



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Fig. 3.3 Thermally stimulated current and photo-induced current transient spectroscopy scans of MBE AlGaAs.

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- (1) It is a spectroscopic tool, in that it provides information on trap concentration, emission rate, and energy.
- (2) It is high in sensitivity.
- (3) It is potentially able to examine all traps from the band edge to mid-gap.
- (4) It can detect traps even in the presence of high dark (equilibrium) conductivity.
- (5) It can differentiate between bulk and surface effects through variation of the illumination wavelength.
- (6) It detects both trap filling and emptying processes.

#### Experimental Technique

PITS is a transport technique which detects the transient rise or decay of the sample photocurrent during chopped illumination. A typical PITS spectrum is obtained by sampling either the photo current rise (R-PITS) or decay (D-PITS) at two points in time, with the current difference  $\Delta I = [I(t_1) - I(t_2)]$  recorded continuously as a function of temperature. Any peaks observed in the spectrum will correspond to a trap emission rate  $e_t$  which is directly proportional to the sampling rate  $\Delta t^{-1} = (t_2 - t_1)^{-1}$ . Successive temperature scans at different sampling rates can therefore determine both the trap energy and capture cross section, assuming a single-exponential rise or decay.

A block diagram of the apparatus used at the Electronics Research Center is shown in Fig. 3.4. The sample is maintained under a constant dc bias while illuminated with chopped monochromatic light. The resulting photocurrent is detected by a high-speed pre-amplifier (PARC 181). The output of the pre-amplifier is fed to a sample/hold amplifier followed by a lock-in detector. The sampling points  $t_1$ ,  $t_2$  are determined by sample gate pulses generated by the chopper reference signal, f, and the internally-generated 2f signal of the lock-in.

The method of detection used here is equivalent to the DLTS method using a double-boxcar integrator. The sampling time constant  $\Delta t$  is varied by







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changing the chopping rate; the present apparatus has a range of 0.37 to 18 msec. The sample temperature can be varied from  $60 \,$  K to  $500 \,$  K; thus, all except very shallow traps (<0.1 eV) can be observed. Surface and epilayer measurements are made using closely spaced (5-15 µm) ohmic surface contacts and slightly greater than bandgap illumination. Substrate measurements are made using an additional ohmic base contact and slightly less than bandgap light to insure reasonably uniform illumination throughout the bulk. DC bias voltages are maintained at moderate levels in order to prevent any high-field current injection. The ability of PITS to differentiate between the surface and substrate of a given sample without additional processing steps (e.g., sequential etching) has proven to be a unique and highly useful property of the technique.

The magnitude of a particular PITS peak is a function of the trap emission rate and the degree of trap filling. Under the simplified assumptions of complete trap filling and a single time constant exponential decay, the magnitude of the free carrier concentration is given by

 $\Delta n(t) = N_t e_t \tau \exp[-e_t t]$ 

where N is the trap concentration and  $\tau$  is the free-carrier lifetime. A typical waveform using chopped illumination is shown in Fig. 3.5. In some instances, however, a transient signal "inversion" can take place; this corresponds to a transient overshoot in the case of R-PITS and undershoot for D-PITS, as shown in Fig. 3.5(b). Such an "inversion" is possible in the case of a shallow trap and a deep recombination center where the trap cross section  $S_t$  is much smaller than the recombination center cross section  $S_r$ .<sup>12</sup> PITS spectra for AlGaAs samples grown in this program are shown in Fig. 3.6.

## 3.5 <u>Secondary Ion Mass Spectroscopy</u>

SIMS is an especially sensitive tool for determining low impurity concentration profiles in semiconductor materials. AlGaAs and GaAs/AlGaAs interfaces have been analyzed by this technique. Recent reports of Cr-

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(b) SIGNAL INVERSION (OVERSHOOT/UNDERSHOOT)





Fig. 3.5 Typical synchronous PITS waveforms.



Fig. 3.6 PITS spectra for two MBE AlGaAs samples.

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NORMALIZED PITS SIGNAL

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redistribution have shown sensitivity for Cr down to low  $10^{15}$  cm<sup>-3</sup> concentrations in GaAs. Evidence of the abrupt nature of the AlGaAs/GaAs structure and the control of various constituents during epitaxial growth is shown in Fig. 3.7. Figure 3.8 shows another SIMS profile of an identical structure in which the AlGaAs was  $0_2$  doped. In both samples the interface between GaAs and AlGaAs is abrupt with respect to Al. (The transition at the interface is less than 250A.) Chromium appears to exhibit slightly greater outdiffusion in the  $0_2$  doped sample. The change in Cr signal at each interface is an artifact of the specific sputtering rates of GaAs and AlGaAs in  $0_2$ .

Samples of undoped and  $0_2$  doped AlGaAs were sent to Cornell University and to Charles Evans and Associates for  $0_2$  analysis by SIMS. Analysis standards were prepared by implanting  $0_2$  into epitaxial GaAs with 100 KeV,  $1.90 \times 10^{12}$  cm<sup>-2</sup> dose. Analysis of the  $0_2$  implanted and as-grown samples can be run in the same system evacuation to normalize the background effects. Initial results from SIMS analysis of the  $0_2$  implanted samples gave reasonable profiles as in Fig. 3.9. This related well to the  $0_2$  distribution expected. Subsequent measurement of both standard and MBE samples were not reproducible due to high background levels in the SIMS apparatus. Replacing the sputtering beam with a cesium beam increased sensitivity but the background level of  $10^5$  counts/sec was still much too high to detect  $0_2$  concentrations at less than  $10^{16}$  cm<sup>-3</sup>. Back sputtering effects and the reactive nature of the samples prevented a more sensitive analysis. Additional efforts are in progress to replace the ion pumps with cryogenic pumps in order to reduce the background level of  $0_2$  in the chamber.

#### 3.6 Sheet Resistance Measurements

Measurement of sheet resistance has become an important characterization method for high resistivity materials and has been used extensively for semi-insulating GaAs bulk and epitaxial layer evaluation. Numerous sample geometries have been used; a standard Van der Pauw pattern and FET device patterns utilizing source and drain contacts are used routinely. Minimal contact resistance is important in assessing the absolute value of the sheet resistance measurement. Using our standard evaporated and alloyed Au-Ge/Pt contact



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Fig. 3.7 SIMS profile of AlGaAs/GaAs.

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Fig. 3.8 SIMS profile of oxygen doped AlGaAs/GaAs.

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on device material, we normally measure a specific contact resistance of  $\sim 1 \times 10^{-6} \ \Omega - cm^2$ . Use of this contact with Cr doped or undoped semi-insulating GaAs has been highly successful. Comparison measurements of this with Au-Ge/Pt contacts made on localized n<sup>+</sup> implants into semi-insulating GaAs showed no change in the resultant sheet resistance of a high resistivity sample. Figure 3.10 shows the I-V characteristic of two AlGaAs samples used for sheet resistance measurements. The resistance is taken at a bias voltage of 50-100 V. Measurement of sheet resistance under ambient light conditions produced less reproducible results with higher resultant sheet resistance values than measurements taken in the dark. Table 3.2 shows sheet resistance values from a series of MBE AlGaAs layers grown in this program.

# Table 3.2

Sample No.	Sheet Resistance (Q/D)	Comments	
113	$5.0 \times 10^9$	Undoped AlGaAs	
137	$1.7 \times 10^{10}$	Undoped AlGaAs	
138	$6.7 \times 10^{10}$	Undoped AlGaAs	
169	5.0 $\times$ 10 <sup>10</sup>	02 doped AlGaAs	
170	$2.5 \times 10^{10}$	0 <sub>2</sub> doped AlGaAs	
171	$5.0 \times 10^{10}$	02 doped AlGaAs	
209	$5.0 \times 10^{10}$	Undoped AlGaAs	
211	$1.0 \times 10^{10}$	H <sub>2</sub> doped AlGaAs	
212	$5.0 \times 10^{10}$	02 doped AlGaAs	
213	$1.0 \times 10^{10}$	02 doped AlGaAs	

#### Sheet Resistance Measurements

#### 3.7 Transistor Test Structure

Rockwell International Electronics Research Center, Thousand Oaks, has long been involved in the fabrication and characterization of GaAs microwave transistors.<sup>13</sup> Progress in this technology has produced a well established one micrometer gate length device. This transistor structure was used to gather preliminary device results on MBE layers grown in this program.



Fig. 3.10 I-V characteristics of high resistivity AlGaAs.



SHEET RESISTANCE

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These devices are depletion mode field effect transistors fabricated in a mesa format. The gate length is nominally 1  $\mu$ m and the gate width is 300  $\mu$ m. The gate is situated 0.25  $\mu$ m closer to the source than to the drain within a drain-to-source gap of 3  $\mu$ m. All pattern definition, including the gate, is achieved by the use of conventional photolithographic techniques. The gate metalization system is a Ti/Pt/Au combination, chosen to provide high reliability, having a total thickness of approximately 0.5  $\mu$ m. Our experience has shown that this metal system is much less prone to burn out than Al gates. The ohmic contact system is an Au-Ge eutectic layer covered with a thin layer of Pt and alloyed at 450°C.

The device chips are mounted in 70 mil ceramic-gold packages for applications up to 10 Ghz. For frequencies at or above 10 GHz, the device chips are generally mounted upon a simple disk carrier which features very low parasitic capacitances in the drain and gate connections.



#### 4.0 SUMMARY

## 4.1 <u>SIMS Profiles of GaAs/AlGaAs Structures</u>

Multilayer test structures of doped GaAs on AlGaAs were analyzed using SIMS. Atomic constituent profiles of the interface between the GaAs and AlGaAs layers were abrupt. This is an expected characteristic of the MBE growth technology.

The sensitivity in present CAMECA spectrometers provides the measurement of impurity concentration down to  $10^{15}$  cm<sup>-3</sup> for most elements excluding 0<sub>2</sub>. Improvements are being sought, with the use of cryogenic pumping, to reduce background levels below their present value.

# 4.2 PITS Measurements of MBE GaAs/AlGaAs Layers

Photo-induced current transient spectroscopy has provided a new level of sensitivity and resolution for trap detection and characterization in both semi-insulating and conductive samples. The PITS technique was used to analyze MBE AlGaAs samples grown for this program and was found to be successful in identifying trapping centers which were not readily observable by other techniques. Improvements in PITS theory is being pursued for wide band gap materials which will allow this technique to become a very useful analytical tool.

# 4.3 <u>H<sub>2</sub> and O<sub>2</sub> Doping Experiments</u>

Initial experiments with  $H_2$  additions did not show any reduction in either shallow or deep level incorporation; further work with hydrogen will be resumed after the next system clean up where background impurity concentrations would be lower.

The use of  $0_2$  as a deep compensating impurity was effective and could be used up to  $1 \times 10^{-6}$  torr without introducing microstructural defects. The impurity profiles produced by MBE GaAs-AlGaAs(0)-GaAs (subst) were abrupt and well ordered. The intrinsic MBE AlGaAs layers were already semi-insulating and no further increase in sheet resistance was observed by  $0_2$  doping. Fur-



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ther measurement of deep traps related to  $0_2$  by PITS will be of interest in determining the  $0_2$  incorporation in these layers.

#### 4.4 Evaluation of GaAs/AlGaAs Structures

GaAs active layers were grown <u>in situ</u> on semi-insulating AlGaAs/GaAs structures. C-V impurity profiles were used to evaluate active layer doping density vs depth for these structures. Calibration experiments were performed in which a terraced structure with 1000A steps was produced to facilitate the growth of layers with  $1-2 \times 10^{17}$  cm<sup>-3</sup> carrier concentration and depletion voltage in the 2 volt range for FET device fabrication. Conventional impurity profiles were obtained indicating an abrupt doping profile adjacent to the AlGaAs interface. Some difficulty was experienced with thin active layers which, by growth rate calibration data, should have shown much longer lengths. This apparent "dead layer" effect has been observed in GaAs grown by VPE and LPE methods before and has been attributed to interface states or compensating impurities such as Cr.





#### 5.0 TRANSISTOR RESULTS

## 5.1 Device Fabrication

Molecular beam epixaxy layers 170 and 210 were used for the fabrication of carrier confinement FETs. The processing steps which have been established for our ion implanted low noise devices were used with the exclusion of the ion implantation preparation. The MBE slices were mesa etched with an ammonium hydroxide-hydrogen peroxide based solution. All of the subsequent metal layers (ohmic, Schottky, overlay) were fabricated by photoresist delineation, metal evaporation deposition and excess metal lifting by photoresist. The ohmic contacts consisted of eutectic Au-Ge with a Pt overlay. This layer was alloyed at 450°C. The Schottky barrier gate metal consisted of Ti/Pt/Au about 0.5  $\mu$ m total thickness. An overlay metallization of Ti/Au was used to provide the capability of reliably probing and wire bonding to the contact pads.

#### 5.2 Device Characterization

Devices were characterized from MBE layers 170 and 210. These devices, as shown in Fig. 5.1 were tested at wafer level. Because of the low drain currents, observed in Figs. 5.2a and b, these MBE slices were not processed further to enable RF testing. In the light of the satisfactory C-V characteristics of the initial slices and channel current after ohmic contacts, it was concluded that the cause of the low drain current was due to a channel etch before gate metal deposition. The thinning of the channel beneath the gate and the effects of built-in depletion reduced the saturated drain current to that observed in the figures.





Fig. 5.1 FET devices fabricated from MBE slices 170 and 210.





a) MBE 170



b) MBE 210

Fig. 5.2 FET I-V characteristics of MBE slices 170 and 210.



#### 6.0 CONCLUSIONS

At the present stage of evaluation AlGaAs buffer layers grown by molecular beam epitaxy have shown superior properties over those produced by LPE or VPE methods. During the period 1 May 1978 to 30 April 1979 growths of high purity and intentionally doped AlGaAs MBE have been studied. Characterization of AlGaAs layers grown in this program have shown high resistance properties unavailable from other semi-insulating III-Y compounds. Feasibility of producing high quality, semi-insulating AlGaAs has been demonstrated. Active layer structures of GaAs doped with Ge have been grown in situ on AlGaAs buffers that exhibit conventional impurity profiles. Preliminary FET device assessment has shown the need for thicker active layers to permit full rf measurement.

AlGaAs buffer layers have been grown with Al composition between 5 and 100 atomic percent and have shown excellent semi-insulating properties. More definitive materials evaluation will follow through device measurements. Due to the superior semi-insulating properties of MBE AlGaAs conventional Hall and C-V measurements could not be made. High quality semiinsulating GaAs substrates used in these experiments will carry higher shunt current than the AlGaAs layers making mobility and resistivity measurements invalid. Initial measurements of AlGaAs by photo-induced transient current techniques (PITS) have proved quite valuable in assessment of trapping centers in semi-insulating materials. Thermally stimulated current (TSC) was also used to confirm trapping levels observed by PITS measurement. Dark current measurement vs temperautre were used to determine the Fermi level,  $E_{\rm f}$ , and can aid in the interpretation of PITS data.



#### 7.0 FUTURE PLANS

The initial emphasis of the MBE AlGaAs program has been to develop the MBE technology for producing epitaxial buffer layers of AlGaAs as well as active layers of GaAs.

The main thrust of future work in the MBE program will be to fabricate and characterize FET devices. That is, to analyze the electrical properties of MBE material as related to devices as well as to determine the RF performance of low noise field effect transistors fabricated from MBE materials.

The primary test device will be the Rockwell SC-100 low noise FET. This mask set also incorporates a test structure to enable the determination of ohmic contact resistance, C-V and Van der Pauw analysis, and gate resistance measurement. In addition to the low noise FET mask set, an additional mask set is planned which is an array of long gate (fat gate) FETs to allow PITS analysis on a transparent (thin metalization) gate structure.

Because of the layout of the SC-100 mask set, the availability of test structures will allow comprehensive data gathering on the device properties of MBE material. Devices and test structures will also be fabricated on vapor phase epitaxy material of a homostructure nature for direct comparison to the MBE heterostructure material. This will provide valuable information on comparative device performance data, both for DC and RF measurements.

The planned investigation will maintain an effort of fabricating and characterizing devices to determine the guidelines for MBE experiments. Device performance will be the key tool for decisions on the direction of MBE layer growth. Possibilities include the investigation of chrome doping in the growth of the AlGaAs buffer layer, the use of Si doping in the GaAs active layer and AlGaAs as a passivation material on GaAs.



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