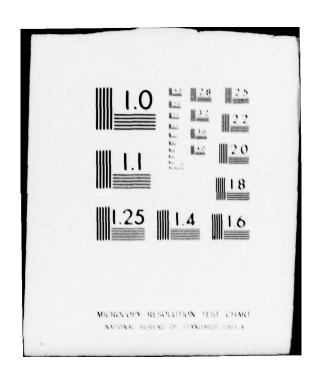
NEW YORK STATE DEPT OF ENVIRONMENTAL CONSERVATION ALBANY AD-A073 608 F/G 13/2 NATIONAL DAM SAFETY PROGRAM. CROSS RIVER DAM (ID NUMBER NY38), -- ETC(U) SEP 78 J B STETSON DACW51-78-C-0035 UNCLASSIFIED NL | OF 2 AD 4073608



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LOWER HUDSON RIVER BASIN



CROSS RIVER DAM
WESTCHESTER COUNTY
NEW YORK
INVENTORY Nº 38

PHASE I INSPECTION REPORT NATIONAL DAM SAFETY PROGRAM

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NEW YORK DISTRICT CORPS OF ENGINEERS

AUGUST 1978



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BEFORE COMPLETING FORM REPORT DOCUMENTATION PAGE 1. REPORT NUMBER 2. GOVT ACCESSION NO. 3. RECIPIENT'S CATALOG NUMBER 5. TYPE OF REPORT & PERIOD COVERED 4. TITLE (and Subtitle) Phase I Inspection Report Phase I Inspection Report Cross River Dam National Dam Safety Program Lower Hudson River Basin, Westchester County, N.Y.6. PERFORMING ORG. REPORT NUMBER Inventory No. NY 38 AUTHOR(4) S. CONTRACT OR GRANT NUMBER(.) John B./Stetson DACW\_51-78-C-0035 PERFORMING ORGANIZATION NAME AND ADDRESS PROGRAM ELEMENT, PROJECT, TASK Dale Engineering Company, Inc. Bankers Trust Building Utica, New York 13501 1. CONTROLLING OFFICE NAME AND ADDRESS 19 September. New York State Department of Environmental Conservation/ 50 Wolf Road Albany, New York 12233
4. MONITORING AGENCY NAME & ADDRESS(If different from Controlling Office) 15. SECURITY CLASS. (of this report) Department of the Army UNCLASSIFIED 26 Federal Plaza/ New York District. CofE 154. DECLASSIFICATION/DOWNGRADING New York, New York 10007 16. DISTRIBUTION STATEMENT (of this Report) Approved for public release; Distribution unlimited. 17. DISTRIBUTION STATEMENT (of the obstract entered in Block 30, if different from Report) National Dam Safety Program, Cross River Dam (ID Number NY38), Lower Hudson River Basin, Cross River, Westchester County, New York, Phase I 18. SUPPLEMENTARY NOTES Inspection Report 19. KEY WORDS (Continue on reverse side if necessary and identify by block number) Dam Safety Cross River National Dam Safety Program Westchester County Visual Inspection Cross River Dam Hydrology, Structural Stability City of New York Water Supply System ABSTRACT (Continue on reverse othe H resessory and identity by block number) This report provides information and analysis on the physical condition of the dam as of the report date. Information and analysis are based on visual inspection of the dam by the performing organization. Cross River Dam has significant deterioration of the concrete structure, spalling of concrete on the downstream face, and leaks in the masonry dam and gate valve.

SECURITY CLASSIFICATION OF THIS PAGE(When Date Entered)

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#### PHASE I REPORT NATIONAL DAM SAFETY PROGRAM

Name	of	Dam Cross River	NY38
		State Located	New York
		County Located	Westchester
		Stream	Cross River
		Data of Inchastion	1.14 27 1070

# ASSESSMENT OF GENERAL CONDITIONS

Cross River Dam is a large cyclopean masonry gravity dam built in 1906 as a water supply reservoir for the City of New York. On the basis of this Phase I visual examination and analysis, it is concluded that the dam is in need of a more thorough and detailed investigative evaluation. After 70 years of service, this dam is severely showing its age and its structural integrity is somewhat in question. The structure has not undergone any major improvement or repair since 1906 other than replacement of the stilling basin and pump house which were damaged by the storm of October 15-18, 1955. Substantial cracking (the source of which should be determined) has occurred near the top of the dam in a storage room (bastion). This area is within the dam above the waterline and under the roadway that goes over the dam. The concrete surface of the dam has deteriorated significantly in many locations. A number of lengthy surface cracks have been located. Wetness on the downstream surface (the source of which should be determined) is in evidence. Seepage into the valve pit below the dam has been noted as well as possible seepage on the south abutment area. Large calcified seepage deposits have been found on the downstream surface. In addition, the hydrologic analysis indicates that the dam's spillway is not capable of passing the Probable Maximum Flood (PMF). The spillway is currently capable of passing 88 percent of the PMF. The stability computation indicates an unsatisfactory factor of safety when uplift forces are considered. The spillway flood stage from the 1/2 PMF may also be of sufficient height to add enough pressure on the bastion wall to cause collapsing of the wall and failure of the road above.

It is recommended that further studies be made to determine the structural integrity of the dam. Mon-destructive testing of the dam section is recommended as well as field investigations and engineering studies to further evaluate the dam's structural stability. The bastion area should be properly repaired to provide safety for use of the road on top of the dam.



Approved By: Date:

Dale Engineering Company

Col. Crark H. Benn New York District Engineer MSTPHENLIST



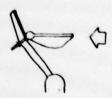
Overview of dam showing concrete block faced cyclopean masonry structure constructed in 1906. Side channel rock spillway is founded on north abutment.



DOWNSTREAM

 View across face of dam from south abutment.



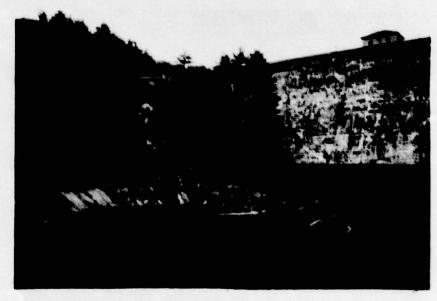


2. View of road across top of dam.



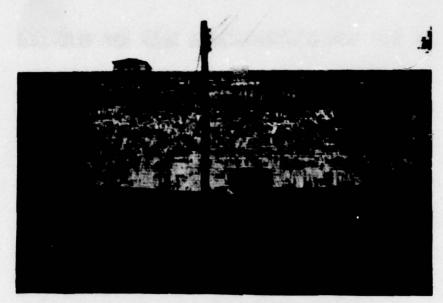


3. View of reservoir and upstream runoff terrain.



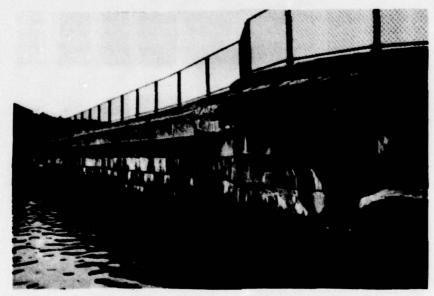


4. Overview photo again. Stilling basin in foreground constructed after flood of October, 1955.



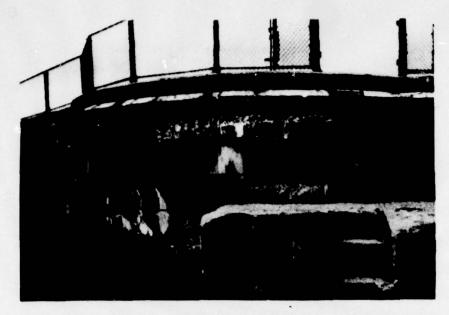


5. View of center section of dowstream face of dam.



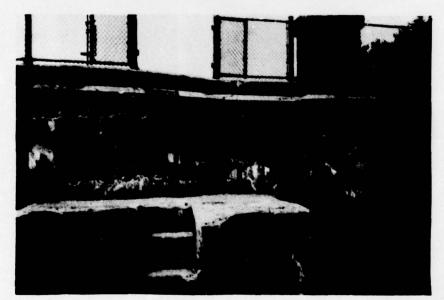


6. View across front of dam from spillway weir location. Circular portion of structure contains bastion room within dam. Floor of room is 0.66 feet above spillway. Room previously used to store flashboards which are no longer in use. Notice cracks in bastion area.





7. View of bastion area. Notice severe cracks.





8. Closeup of bastion's right side showing extensive fracture of non-reinforced concrete wall sections.





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9. Detail of bridge abutment wall showing in right portion of picture No. 8.





10. Detail of fracture in picture No. 8 to right of ladder.



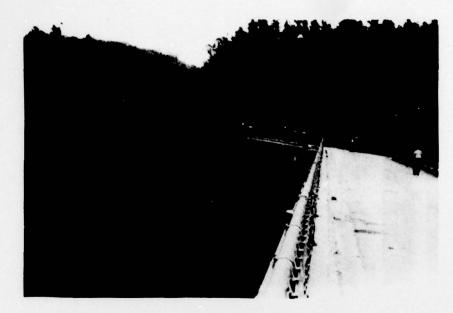


11. Picture taken through window shown in picture No. 8. Notice all columns are sheared off. Columns are non-reinforced.



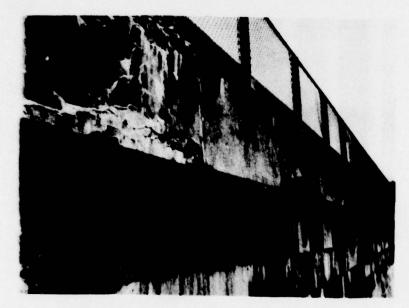


12. Road surface and repaired curb area above bastion.





13. View across dam and of spillway bridge near bastion area.





14. Detail of spalling of concrete facing on south side of downstream face of dam.





15. View of side channel spillway weir.





16. View down spillway side channel.



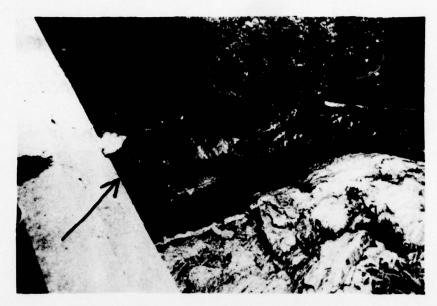


17. View at face of spillway weir founded on rock.





18. Detail of seepage under spillway concrete through the weathered rock joint. Seepage noted at a number of locations in spill-way weir.





19. Detail of spillway side wall below bridge. Notice cavity in sidewall due to high discharge pressures.





20. Flow from reservoir in stilling basin and Croton Water Supply reservoir. Notice stilling basin slab construction joint has heaved 4 - 6 inches.





21. Seepage from wall in valve pit behind dam. Seepage discharges into stilling basin in picture No. 20 through small pipe.





22. Stream channel downstream of stilling basin.





23. Downstream face of dam at north abutment.





24. Detail of spalling on face of dam.



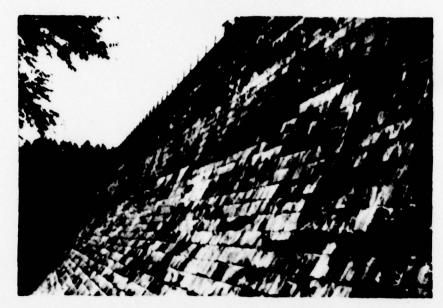


25. View of extensive spalling and surface crack on downstream face of dam above location of north abutment toe and valley section.





26. View of same area from a distance.





27. View of spalling and surface cracks on downstream face of dam above location of south abutment toe and valley section.





28. Detail of extensive calcium depositions found at numerous locations on downstream face of dam.





29. Heavy grassed area in center of picture between face of dam and tree line is location of suspected seepage.





30. Closeup of same area. Notice fern plants and other heavy vegetative growth. Area very wet with some minor erosion.

# PHASE I INSPECTION REPORT NATIONAL DAM SAFETY PROGRAM NAME OF DAM - CROSS RIVER ID# - NY38

SECTION 1 - PROJECT INFORMATION

#### 1.1 GENERAL

#### a. Authority

Authority for this report is provided by the National Dam Inspection Act, Public Law 92-367 of 1972. It has been prepared in accordance with a contract for professional services between Dale Engineering Company and The New York State Department of Environmental Conservation.

#### b. Purpose of Inspection

The purpose of this inspection is to evaluate the structural and hydraulic condition of the Cross River Dam and appurtenant structures, owned by New York City, and to determine if the dam constitutes a hazard to human life or property and to transmit findings to the State of New York.

This Phase I inspection report does not relieve an owner or operator of a dam of the legal duties, obligations or liabilities associated with the ownership or operation of the dam. In addition, due to the limited scope of services for these Phase I investigations, the investigators had to rely upon the data furnished to them. Therefore, this investigation is limited to visual inspection, review of data prepared by others, and simplified hydrologic, hydraulic and structural stability evaluations where appropriate. The investigators do not assume responsibility for defects or deficiencies in the dam or in the data provided.

#### 1.2 DESCRIPTION OF PROJECT

#### a. <u>Description of Dam and Appurtenances</u>

The Cross River Dam is constructed of cyclopean masonry and faced on both its upstream and downstream sides with concrete blocks. The dam is approximately 1,226 feet long. The greatest depth of foundation below the river bed is 37.7 feet. The height is 170 feet above foundation. Length at the top is 986 feet. Length of spillway is 240 feet. Thickness at the top of the dam is 23 feet. Thickness at the base is 116.3 feet. The spillway is situated on the northwest end of the structure and discharges into a side channel spillway which discharges down a bedrock channel into the Cross River. The dam and spillway are founded entirely on rock. Two 48-inch iron pipes, provided with valves, located in the gate house, are embedded in the lower part of the dam to control the flow of water.

#### b. Location

Cross River Dam is located in the Town of Bedford, Westchester County, New York.

#### c. Size Classification

The maximum height of the dam is approximately 133 feet above the old river bed. The storage volume of the dam is approximately 41,000 acre feet. Therefore, the dam is in the large size category as defined by the Recommended Guidelines for Safety Inspection of Dams.

#### d. Hazard Classification

Cross River, the receiving stream from the impoundment flows through the Village of Katonah, a sizeable residential development. Therefore, the dam is in the high hazard category as defined by the Recommended Guidelines for Safety Inspection of Dams.

#### e. Ownership

The dam is owned by The City of New York, Bureau of Water Supply.

#### f. Purpose of Dam

The dam presently functions as a standby water supply reservoir for the City of New York. During periods when flow from the Delaware Aqueduct is inadequate, the reservoir is used to augment flows to meet demands. Flow from the Croton System supplements the water supply going to the City. It is reported that the Croton System is used in this manner approximately 2 months of the year.

#### g. Design and Construction History

The Cross River Dam and Reservoir was designed by the Aqueduct Commission of the City of New York. The contract for construction of the Cross River Dam was awarded on June 20, 1905 and the reservoir was put in service on May 1, 1908. The flood of October 15-18, 1955, caused extensive damage to the stilling basin at the bottom of the spillway chute. This stilling basin was subsequently reconstructed along with a pumping station which has been built close to the toe of the dam.

#### h. Normal Operational Procedures

The Cross River Dam and Reservoir is operated by the Bureau of Water Supply of the City of New York with Croton Division headquarters in Katonah, New York. The dam is under constant surveilance by Bureau personnel and is periodically inspected by the City. Normal operation consists of adjusting the flow in the discharge lines to maintain a full head at the spillway. During high demand periods, the pump station at the base of the dam is operated to discharge water into the Delaware Aqueduct.

#### 1.3 PERTINENT DATA

#### a. Drainage Area

The drainage area of the Cross River is 28.4 square miles.

#### b. Discharge at Dam Site

Flow discharge records are available at this site in the form of daily 9:00 AM spillway readings available from Croton Division Office in Katonah.

#### Computed Discharges:

Ungated	spillway, spillway, spillway,		25273 cfs 13516 cfs
ongated	Spill way,	PMF	28532 cfs

#### c. Elevation (feet above MSL)

Top of dam	340
Design discharge	340.5 (PMF)
	336.5 (1/2 PMF)
Spillway crest	330
Stream bed at centerline of dam	210

#### d. Reservoir

Length of maximum pool	22500 feet
Length of normal pool	17000 feet

#### e. Storage

Top of dam Normal pool	39700	acre	feet
	32000	acre	feet

#### f. Reservoir Surface

Top of dam	Not estimated
Maximum pool	Not estimated
Spillway pool	899.2 acres

#### g. Dam

Type - Cyclopean masonry.
Length - 1226 feet.
Height - 105 feet above finished grade.
Freeboard between normal reservoir and top of dam - 10 feet.
Top width - 23 feet.
Side slopes - Vertical - upstream.
1/2 horizontal to 1 vertical - downstream.

Zoning - None.
Impervious - No core. Not applicable.
Grout Curtain - Not known.
Spillway - 240 feet side channel ogee weir section into a side channel trough leading to a spillway chute founded on rock which flows into the stilling basin.

#### SECTION 2 - ENGINEERING DATA

#### 2.1 DESIGN

The information available for review of the Cross River Dam included:

- 1) The plans included in this report are Figures 2 through 25.
- 2) References 2, 3 and 5 in Appendix E.

#### 2.2 CONSTRUCTION

Information regarding the dam's construction was obtained from Reference 2, 3 and 5 in Appendix E. These sources of information provide a narrative description of the salient points relating to the dam's construction and of project milestones of the work process (news items). Limited technical data was obtained in these sources. No detailed field engineering data was found in these references.

#### 2.3 OPERATION

See Section 4.

#### 2.4 EVALUATION

The limited data reviewed indicates the dam was carefully constructed. The information obtained was considered adequate to enable the investigators to perform this Phase I investigation.

#### SECTION 3 - VISUAL INSPECTION

#### 3.1 SUMMARY

#### a. General

The visual inspection of Cross River Dam took place on July 27, 1978 and again on August 2, 1978. The second inspection was performed to make further study of areas of concern with Mr. George Koch, Chief of Dam Safety for New York State and Messrs. Anthony Barbero and Jerome Caspe, Administrators for the Dam Safety Program for the New York District of the Corps of Engineers. Over the years, the dam has undergone continued maintenance by the New York City Bureau of Water Supply. Two incidents effecting the dam were reported to the dam inspection team. On October 18, 1955, a flood stage of 4.49 feet above the spillway crest was measured when a significant rainfall event severely eroded the stilling basin and destroyed a pumping station located close to the basin. The discharge from this storm event was estimated to be 3650 cfs. A second incident, which occurred sometime prior to 1965, caused structural damage to the roof of a bastion chamber and upstream wall of the dam above the waterline near the north end of the dam. The bastion chamber was used to store stop planks for the spillway. The structural damage consists of severe cracking of the upstream concrete wall, buckling of the roadway curb on top of the dam and cracking of the columns and beams in the bastion which support the roadway across the dam.

#### b. Dam

The cyclopean masonry dam visually conforms to the plans provided in the report. The massive structure has a large amount of deterioration of the concrete facing. The bastion area, previously mentioned, has complete fracture of wall sections and interior nonreinforced concrete column elements. Seepage is also suspected along the south abutment. Photographs 1 and 2 are views across the top of the dam. The road is maintained by the Town. Reportedly, salt is spread on the road during winter. Photographs 12 and 13 are close-ups of portions of the roadway.

The concrete facing of the dam has deteriorated as can be seen in Photographs 14, 23, 24, 25, 26, 27 and 28. In Photographs 23 and 24 the surface of the dam was noted to be moist. This condition could be caused by absorbed moisture from rainfall or seepage. Photographs 25 and 26 show where spalling has occured up to eight inches in depth (estimated), forming a surface crack up to the top of the dam. Another crack line occurs on the south side of the

dam. Both of these cracks have formed at the quarter points of the downstream face of the dam very near the location where the abutment meets the valley section. Photograph 28 shows calcium deposits on the downstream face of the dam.

Photographs 6 through 11 show extensive damage to the bastion chambers. It is not known what caused this movement and cracking to occur. Possible causes for this damage are frost heave, thermal expansion, creep, pore pressure, foundation movement, reservoir head, vehicular traffic loads and seismic activity. The fracture and displacements seem to indicate expansion along the longitudinal axis of the dam. The bastion area being a circular (relatively) thin walled structure is the weakest point in the dams longitudinal axis and is between the south abutment and the north ends bridge arch. Expansion forces seem to have pushed the front wall of the bastion area out. Fractured column joints show movement to the North. Lateral torsion failures were visible in the roads floor beams. The cause of these fractures is not known, however, the most prevalent explanation appears to be frost heave.

Photograph 21 shows seepage flow in the underground valve pit at the toe of the dam. From this pit a drain pipe discharges into the stilling basin. The outflow location of this pipe is the small pipe shown in the center of Photograph 20 to the left of the construction joint and to the right of the larger reservoir discharge outlet. At the time of the second inspection an excavation had been made next to the valve pit exposing the leak at the surface. The excavation was found to be full of water. The source of flow is not known.

Photographs 29 and 30 show an area near the south abutment where seepage is suspected. At time of second inspection the ground exhibited more wetness than at the first observation.

#### c. Spillway

The ogee concrete spillway is founded on exposed rock as shown in Photographs 10 and 17. At a number of points, seepage was noted, one of these locations is shown in Photograph 18. It appears to be through the weathered rock at the concrete contact surface. The spillway approach is shown in Photograph 15, while the downstream chute of bedrock is shown in Photograph 4. Photograph 19 shows a cavity in the south side spillway below the bridge which is suspected to have occurred due to weathering of rock and high velocity discharge flows. The new stilling basin, shown in Photograph 21, shows where the left portion of the slab has moved vertically from the right portion.

#### d. Appurtenant Structures

A minor leak was observed in the valve pit at a joint. This was repaired at the time of the second inspection. The pit area has a leak coming from a pipe sleeve through the concrete wall at the location of the southeast corner of the pit. All valves appeared to be operable with significant discharge coming through the low level outlets. The pumping station, which is located below the dam in the center of Photograph 5, allows the city to divert flows into the Delaware Aqueduct from the Cross River Reservoir. The floor of the pump house was dry.

#### e. Downstream Channel

The downstream channel is shown in Photograph 22. Below this area lies the Muscoot Dam.

#### SECTION 4 - OPERATIONAL PROCEDURES

#### 4.1 PROCEDURES

Operational procedures were not observed by the inspection team. The dam and reservoir are owned by the New York City Bureau of Water Supply and are maintained by the staff of the Croton Division located in Katonah, New York. It is the staffs responsibility to maintain and operate the facility under the direction of the central office in New York City. During normal conditions, the water surface elevation of the reservoir is at the spillway crest.

Control valves in the valve chamber can either discharge into the stilling basin which flows into Croton Reservoir and to New York via the Croton Aqueduct or to the pump house which pumps into the Delaware system.

#### 4.2 MAINTENANCE OF DAM

The dam is maintained by the Croton Division full-time maintenance staff. The Croton Division Operations Center has a complete staff capable in operation and maintenance engineering for the facility. While the dam is continually maintained, there is no individual at the dam site full-time. There is also no flood warning system in operation (to the knowledge of the investigations).

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#### SECTION 5 - HYDROLOGY AND HYDRAULICS

#### 5.1 EVALUATION OF FEATURES

#### a. <u>Design Data</u>

For this report, no information relevant to the hydrologic and/or hydraulic design for the dam was available. Analysis provided in Appendix C was performed utilizing information obtained from construction documents and other general sources of information listed in the reference section of this report.

The massive wall of the Cross River Dam spans the valley of the Cross River which is a tributary of the Croton River forming the Cross River Reservoir. The drainage area contributing to the reservoir is 29.8 square miles. The volume of impoundment water is mainly a function of the natural watershed although a number of small reservoirs and ponds lie upstream of the reservoir. The reservoir augments flows into the Croton System through the Muscoot and Croton Dams downstream. For the purpose of this investigation, the dam and spillway were analyzed with respect to their flood control potential and to determine their adequacy under rare flooding conditions. This potential was assessed through the development of the Probable Maximum Flood (PMF) for the watershed and the subsequent routing of the PMF through the reservoir system. The PMF is that hypothetical flow induced by the most critical combination of precipitation, minimum infiltration losses, and concentration of run-off at a specific location, that is considered reasonably possible for a particular drainage area.

The hydrologic analysis was performed using the unit hydrograph method to develop the flood hydrograph. For the dam's location little hydrologic information was found from available studies. the dam site the floods of record include: storm of October 18, 1955; Hurricane Diane, August 17, 1955, and a prior storm of August 12, 1955. The USGS gage at the dam site recorded 11 inches of rain on October 16, 17 and 18. No information was available to determine the frequency of this event. An isohyetal map of this event was obtained from the Lower Hudson Report [Ref. 7]. Three hour rainfall precipitation data from a gage north of the basin was used to evaluate the unit hydrograph derived for this study. The Bureau of Water Supply measured the spillway discharges that morning at 9:00 AM of October 18. The recording was 4.49 feet of flow, 2.49 feet over the 2-foot flashboards. This was computed to be equal to a discharge of 3650 cfs. Reconstitution of the event yielded a discharge of 6907 cfs into the reservoir and 3282 cfs over the structure. The computed time of peak discharge was at 9:00 AM on October 18. No effort was made to calibrate the model (i.e. loss rates) to the measured discharge. These results indicate the unit hydrograph is reasonable. Additional work on this reconstruction could evaluate the reservoir stage-storage relationship at the beginning of the event, evaluate loss rate functions, etc. It is felt that the unit hydrograph is adequate for this scope of analysis.

In preparing the unit hydrograph, Clark's coefficients were estimated. For the Clark Method, values of Tc = 7.0 and R = 5.30 were computed. Snyder's CT was later checked from the computer program's derivation of Snyder parameters Tpr and Cp and a CT value of 1.75 was computed. This value of CT is very reasonable. The New York District recommends CT of 2.0 for mountainous areas and rolling hills which are typical of the area; whereas Chow recommends a general value of 1.2 [Ref. 10] for this condition.

The Probable Maximum Flood (PMF) hydrograph was determined using Probable Maximum Precipitation rainfall data obtained in Hydrometeorlogical Report No. 51. An index rainfall of 24.0 inches for a 200 square mile area for a period of 24 hours was adopted for the analysis. Both the PMF and 1/2 PMF were evaluated. The 1/2 PMF was assumed to be approximately the Standard Project Flood (SPF) in utilizing the U.S. Army Corps of Engineers Hydrologic Engineering Center's Computer Program UHCOMP. The peak discharge for the PMF was 31,726 cfs and for the 1/2 PMF (SPF) was 16,145 cfs.

Hydraulic studies were performed on the spillway weir assuming weir control (submergence was not evaluated). These computations are shown in Appendix C. Only the 240 foot long spillway was considered active in routing the PMF and 1/2 PMF (SPF) flows.

The U.S. Army Corps of Engineers Hydrologic Engineering Center's Program HEC-1, using the Modified Puls Method for flood routing was used to evaluate the structure and reservoir. Peak flow discharges were reduced to 28,532 for the PMF and 13,516 for the SPF. The spillway capacity is 25, 273 cfs and the spillway is capable of passing 88 percent of the PMF. The reductions were not significant. The computed stage-discharge relationship in Appendix C indicates that the dam, which is in the large dam classification, may be topped with a PMF event. In addition, the 1/2 PMF (SPF) would discharge 6.6 feet of flow over the spillway. This flow depth would be of sufficient height to flood the bastion chamer putting additional pressure on the wall sections. Based on the concern for failure of a roadway, additional work needs to be done in further investigations at which time the hydrologic analysis work should be refined. This work should include determination of possible spillway submergence, preparation of a new spillway rating curve and evaluation of the capacity of the open channel spillway chute.

### SECTION 6 - STRUCTURAL STABILITY

### 6.1 EVALUATION OF STRUCTURAL STABILITY

### a. Visual Observations And Data Review

The major portion of the masonry dam and spillway appears to retain structural stability at this time, with no indication of misalignment, significant settlement or other structural movement. However, considerable structural cracking of the concrete elements comprising the bastion chamber constructed as part of the dams northerly abutment has occurred. This chamber immediately underlies the roadway crossing along the top of the dam, and the bastion floor is approximately at the spillway elevation. The dam's downstream face shows a considerable number of areas where surface spalling of the concrete has occurred, and at the time of the field inspection, the surface of various spalled sections was damp and/or wet. Calcified seepage deposits were noted at a few locations. What appears to be a vertical surface cracking of the downstream face was noted near the upper portion of the dam, at approximately the quarter-length points inward at both abutment toe locations.

The ground surface immediately downstream from the dam generally was found to be dry, except for ground wetness noted in an area approximately one-quarter of the dams length from the southerly abutment. Foliage and ground cover in this area is very heavy, defining the extent of the ground surface effected. A section 50 to 100 feet along the face of the dam could be involved.

The concrete spillway bears on a foundation of exposed bedrock. Seepage was observed from the construction joint between the poured concrete and the rock foundation. The spillway chute consists of bedrock. No seepage was noted to be occurring at the end section of the dam (in and adjacent to the spillway chute) which underlies the storage chamber mentioned above.

Some leakage was noted in the below-ground valve pit area located just below the downstream toe.

### b. Geology and Seismic Stability

The New York State Geologic Map (1970) indicates the dam is sited in an area whose bedrock is Fordham Gneiss. The gneiss crops out beneath the spillway, along the valley wall opposite to and north of the spillway, and comprises the whole of the downstream channel bed from the spillway to the pool below. Foliation generally strikes east-west and dips steeply, 60 to 90 degrees north. A number of small, very tight folds are present. Some minor amounts of garnet grains were noted. The biotite, hornblende and amphibolite portions of the gneiss weather readily and may yield rotted zones or seams in the rock. Such weathered zones were noted in the area. Permeability of unweathered sound gneiss is relatively low.

The State Geologic map indicates several faults present in the area. None are known to exist in the immediate vicinity of the dam or the spillway. See Geologic Map Figure 26.

Earthquakes recorded for the area are tabulated below:

Date	Intensity-Modified Mercalli	Location Relative to Dam
1885	111	6 mi. W
1937	II	8 mi. SW
1938-1	III	5 mi. SSW
1938-2	iii	5 mi. SSW
1938-3	II	6 mi. S
1964-1	ii	5 mi. SW
1964-2	II	5 mi. SW
1964-3	Ÿ	5 mi. SW
1967	Ý	8 mi. SW
1972	unknown	8 mi. SW

Although this area is designated as being in Zone 1 of the Seismic Probability Map, the New York State Geological Survey believes this area should be upgraded to Zone 2. Convention assumes no earthquake hazard for a Zone 1 or 2 designation.

### c. Data Review and Stability Evaluation

Design drawings applicable to stability evaluations made available for this study are limited to dam cross-sections. Soil/rock properties and upstream/downstream water conditions utilized for the dam design are not known. As part of the present study, stability evaluations have been performed. Actual properties of the sites foundation soils and rock and the groundwater conditions in the area have not been determined; where data was lacking, simplifying conservative assumptions have been applied. The conditions for a reservoir at spillway elevation with ice, and for flow overtopping the dam by one foot, have been studied.

The analysis performed (See Appendix D) indicate unsatisfactory stability against overturning and sliding for the forces assumed.

### RESULTS OF STABILITY COMPUTATIONS

	A		FACTORS OF S	AFETY
	CASE	UPLIFT	OVERTURNING	SLIDING
1.	Water level and ice at spillway elevation, downstream subsurface at ground surface.	YES	1.2	0.88
	uplift acts beneath base of dam.	NO	2.9	1.60
11.	Stability where water level tops dam by one foot.	YES	1.06	
		NO	2.40	

Critical to the analysis and resulting indication of stability are the items of uplift water pressures acting on the base of the dam and relative permeabilities of the sites foundation soil and rock. The analysis uplift force was based on a full headwater hydrostatic pressure acting on the dams upstream corner and a full tailwater hydrostatic pressure (for the condition of a water surface at the elevation of the downstream ground surface) acting at the dams downstream corner. Uplift pressures were assumed to vary linearly between the dams upstream and downstream corners, and act upon 100 percent of the dam base. The resulting uplift force represents a condition that is, to the analysis, very significant in arriving at the computed dangerously low factors of safety against overturning/sliding.

The assigned uplift force is felt to be conservative but also could be too great or too small. The prediction of uplift acting on the hase of a gravity dam which is supported on rock, without having information on the permeability/seepage properties of the foundation rock stratum and upstream/downstream earth overlying the rock, represents an engineering analysis area of great uncertainty. If the permeability of the rock stratum foundation is very high. the uplift pressure on the dams upstream corner could be less than a hydrostatic pressure computed on the basis of a full headwater elevation. The full headwater hydrostatic pressure is felt to be reasonable where the permeability of the rock foundation is very low compared to the permeability of soil in back of the dam. If the rock is layered and jointed, the uplift computed assuming a linear variation of pressures and a resulting force acting only on an area equal to the dam base could be too low. However, if the rock is very sound and impermeable, seepage would be very low and uplift pressures of significance would require a long period of time to develop. Similarly, within the masonry itself (say near the base of the dam) hydrostatic pressures from permeating headwater potentially causing the same effect as uplift at the base of the dam could require a considerable period of time before reaching a significant magnitude. A conclusion drawn from these latter conditions is that the computed uplift shown in the reports stability analysis may not exist at present and only develop at some future

Due to of the critical nature of the uplift force acting on this dam and the related very critical question of structural stability, it would be prudent to perform a field investigation and engineering study as necessary to properly determine the underdam seepage and uplift pressures, and ascertain the resulting effect on the dams stability. The necessary engineering geology field investigation would include subsurface explorations such as borings to ob-

tain undisturbed rock samples and to determine the geologic and engineering character and properties of the rock, and installing instruments for determining seepage gradients and pressures.

The field explorations can also extend to the area where seepage is presently suspected (see section (a) above), to more fully evaluate the condition and if necessary to develop plans for a remedy (such as a grouting program).

The poor structural condition of the storage chamber underlying the dam roadway adjacent to the spillway apparently is not yet having a structurally adverse effect on the dam. Repairs to damaged components should be undertaken, and the area kept under close observation.

### SECTION 7 - ASSESSMENT/REMEDIAL MEASURES

### 7.1 DAM ASSESSMENT

On the basis of the Phase I visual examinations and analysis, it is concluded that the Cross River Dam is in need of a more thorough and detailed investigative evaluation. The cyclopean masonry dam which is approximately 105 feet above grade is a large dam in the high hazard category. The Village of Katonah is located downstream of the dam as well as the Muscoot and New Croton Reservoirs. The facility which was put into service in 1908 is now 70 years old. A visual inspection of the dam indicates the dam is showing its age. It reportedly has not undergone any major improvements or repair since its construction other than replacement work from the damages caused by the storm of October 15-18, 1955.

The second secon

Considerable structural cracking of the concrete elements has occurred sometime prior to 1965 in an area comprising the bastion chamber. This area is above the normal water elevation. It has not been ascertained what has caused this damage. Frost heave is suspected. Reportedly, the cracks in this portion of the dam have worsened over the years. The Bureau of Water Supply has shored up the interior of the bastion chamber with structural steel members. However, this condition presents a distinct safety hazard to those using the roadway across the dam. Additional reservoir head on the dam acting alone or in combination with other loads could cause failure and collapse of the roadway in this area. Some damage to the dam from this failure is also possible.

The dam's downstream face shows considerable surface spalling of concrete. Vertical cracks are distinguishable at the downstream face quarter prints. Surface wetness has been noted as well as calcified seepage deposits on the downstream face of the dam. The ground surface has been found to be dry except for one area along the south abutment. A leak into the valve pit area was uncovered. Some seepage has been noted under the concrete spillway.

A stability analysis indicates the dam has an unsatisfactory factor of safety against overturning and sliding when uplift forces are considered. Hydrologic and hydraulic analysis indicate the 1/2 PMF discharge would have a sufficient stage to flood the bastion chamber and apply additional lateral load on the structure threatening collapse of the road in that area. The analysis also indicates that the dam would be overtopped by a PMF event. The spillway capacity is 88 percent of the PMF.

### 7.2 REMEDIAL MEASURES

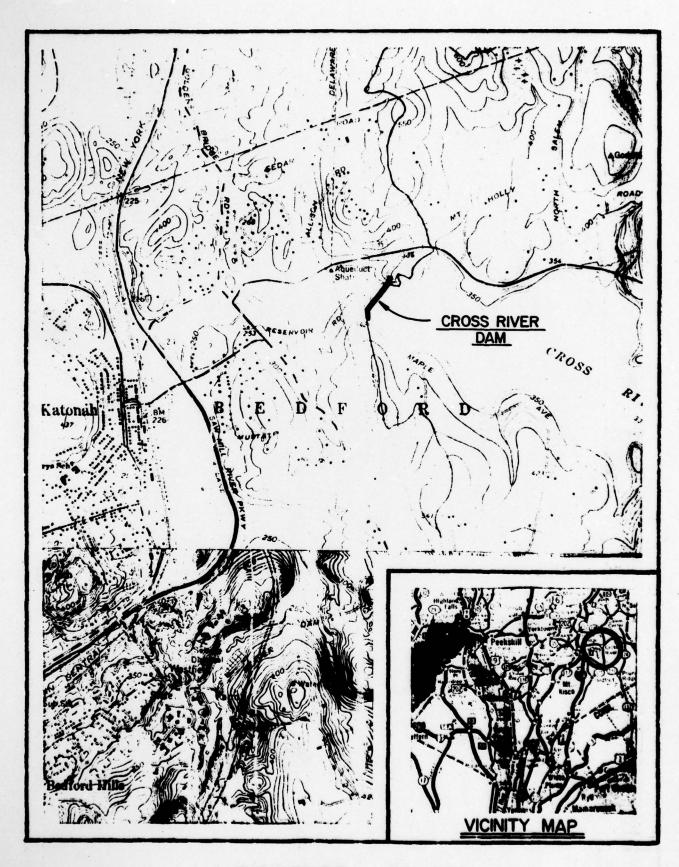
A more in depth investigation of the dam should be performed to determine whether the dam is safe for normal operations. At this time, more data is needed to make this determination. It is obvious the dam is in need of repair. Repairs to the components

of the bastion should be done as soon as possible, and the area should continue to be kept under close observation. The owner should determine the cause of the extensive structural damage in the bastion chamber.

The owner should take steps to evaluate the structural integrity of the dam. A number of testing agencies are currently employing non-destructive testing (NDT) techniques to evaluate concrete structures. Characteristics such as compressive strength, modules of elasticity, voids, honeycombing, cracking, cement matrix loss, and loss of bond between paste and aggregate can be identified from NDT data. These data provide information on possible damage, deterioration, or faulty construction. One NDT method, provided by a division of the Portland Cement Association, called the micro seismic technique, permits concrete members to be inspected from one side only (See Appendix F). It is believed that the structural integity of the dam could be evaluated using NDT. Selective cores would have to be taken as part of this effort.

The second second

Additional field investigations and engineering studies related to structural stability should be conducted to determine the cause and extent of underdam seepage and resulting uplift pressures and their effect on the dam's stability. An engineering geology field investigation should be conducted. This investigation should include subsurface explorations, such as borings, to obtain undisturbed rock samples and to determine the geologic and engineering properties of the rock. Instruments should be installed to determine seepage gradients and pressures. The work should be extended to all areas where seepage is suspected.



## LOCATION PLAN

FIGURE I

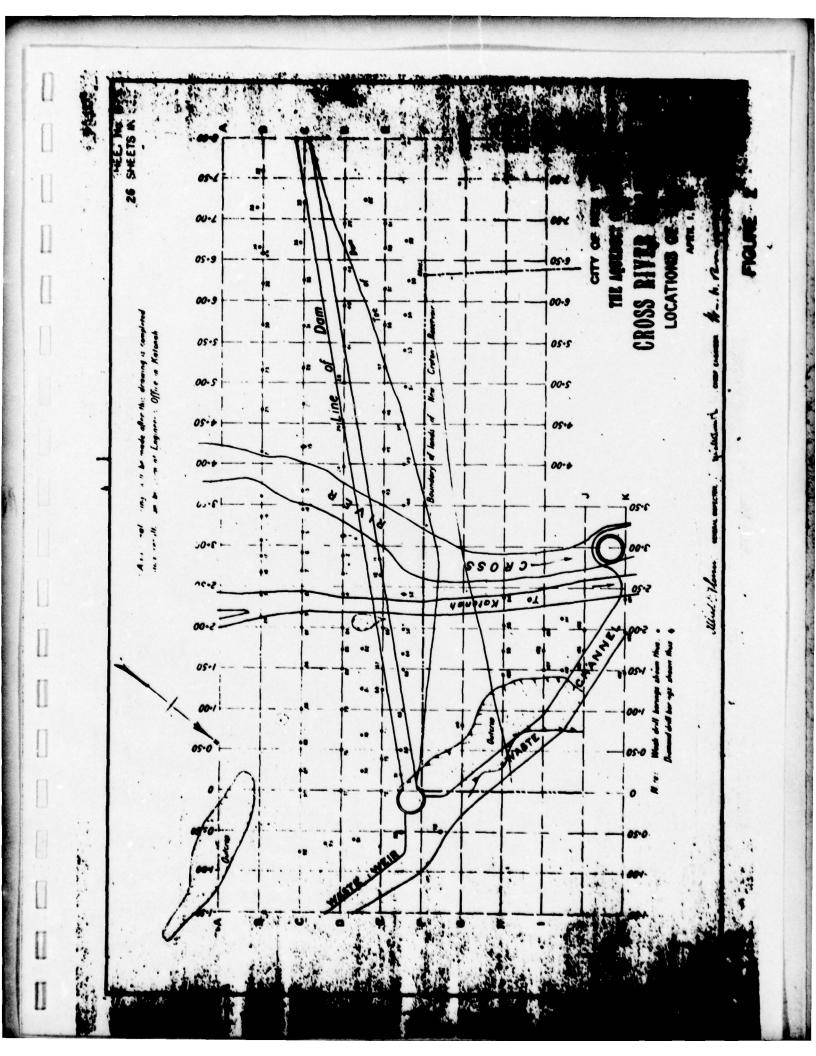
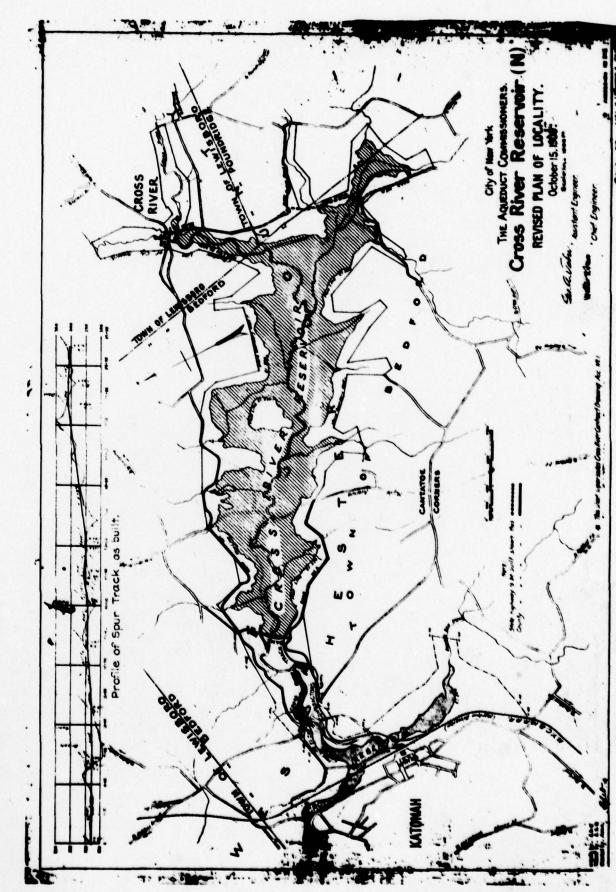


FIGURE 3



FAZE .

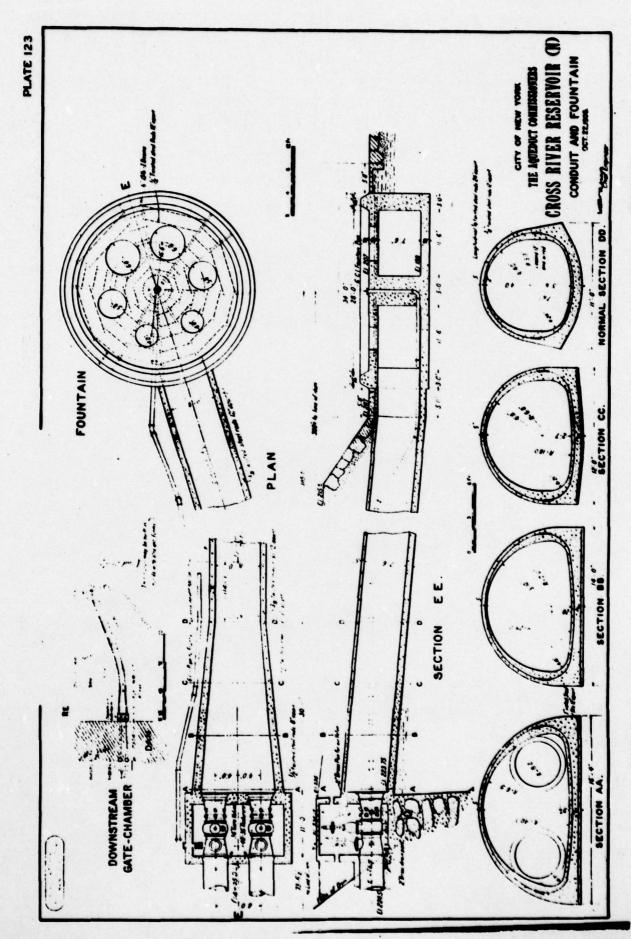


FIGURE 6

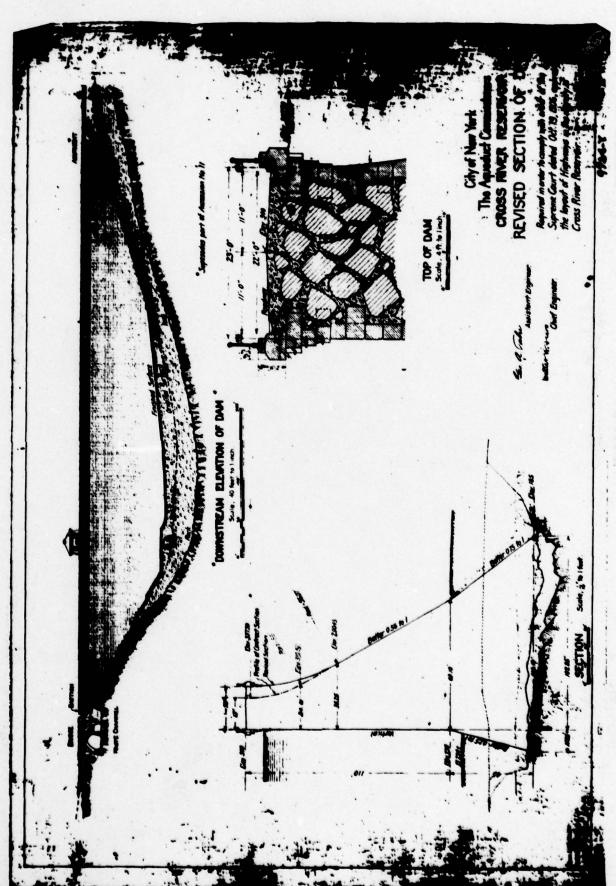


FIGURE 7

FIGURE 8

FIGURE 9

FIGURE 10

FIGURE 11

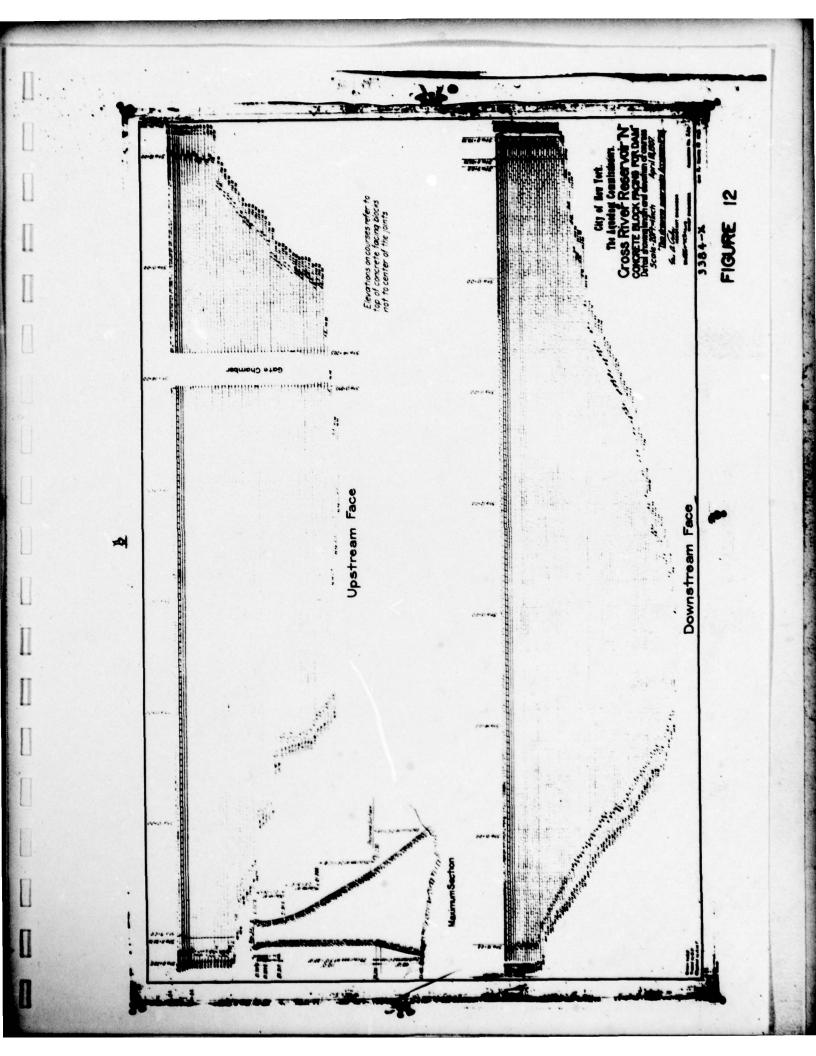
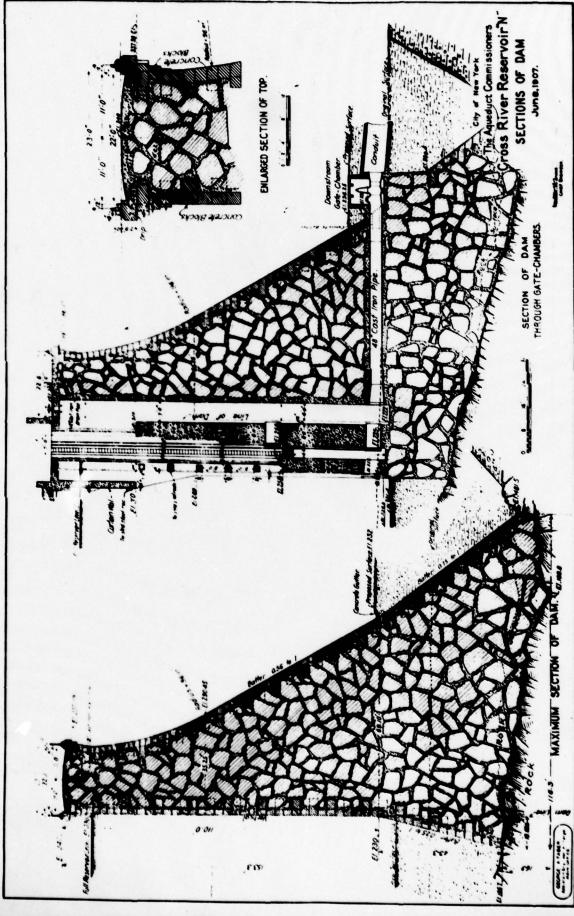
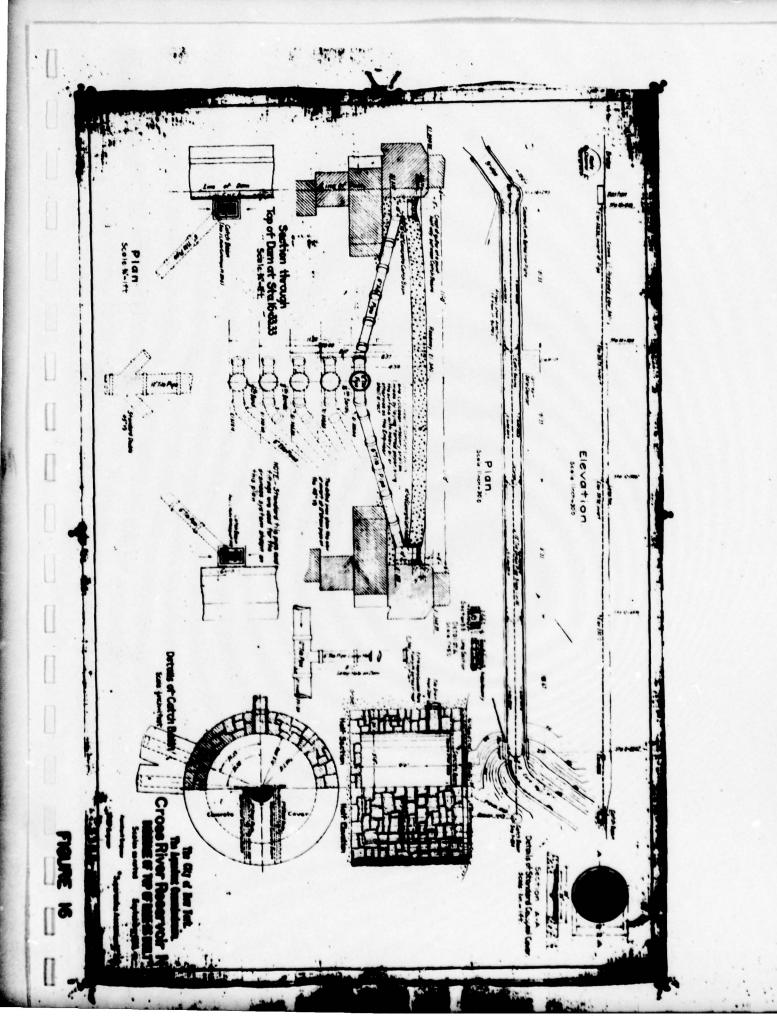
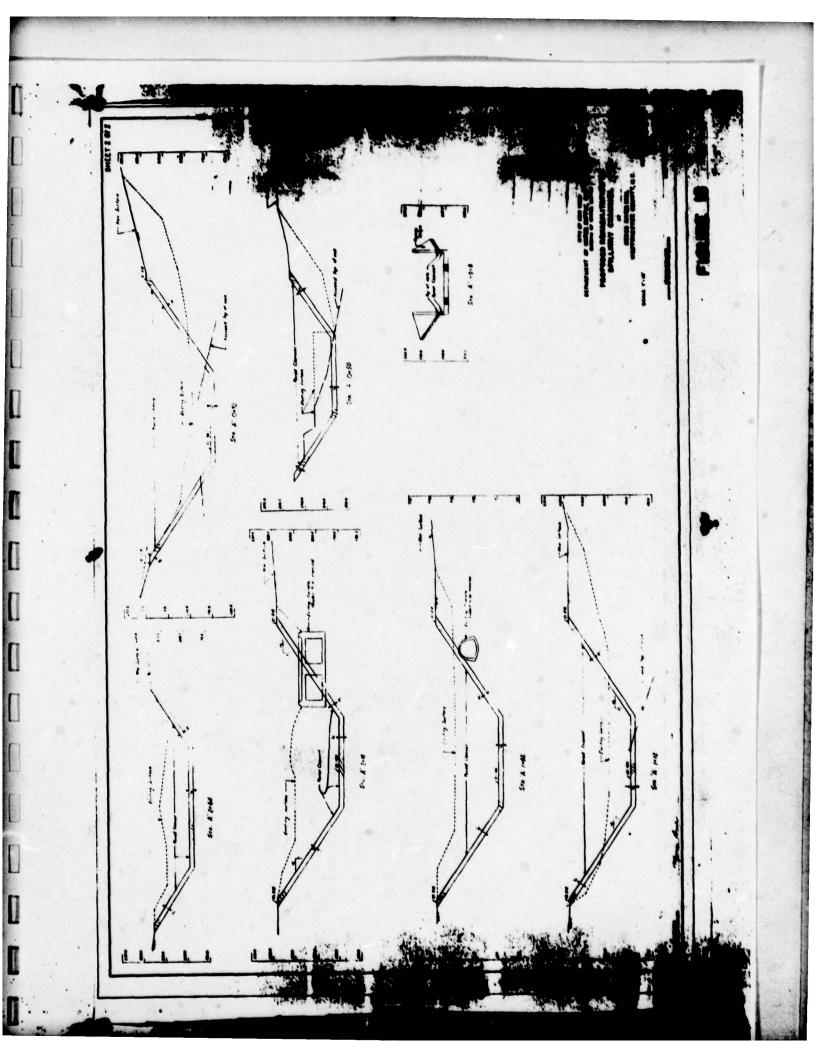


PLATE 117

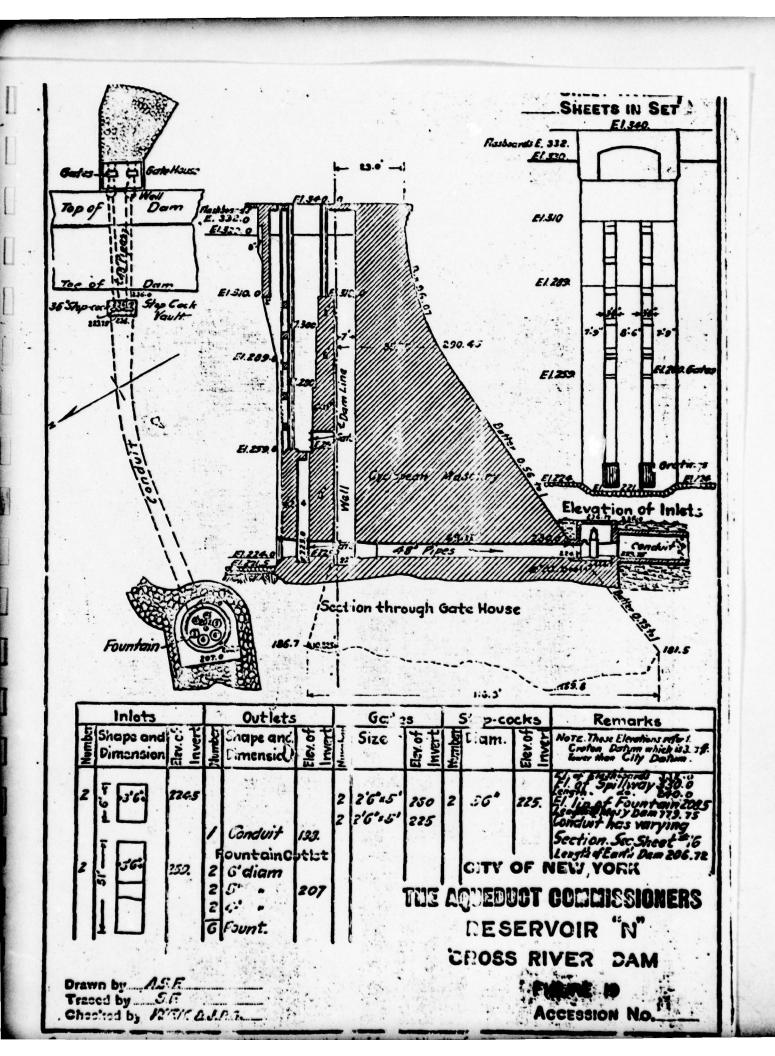


FIGURE





Con River Reserver



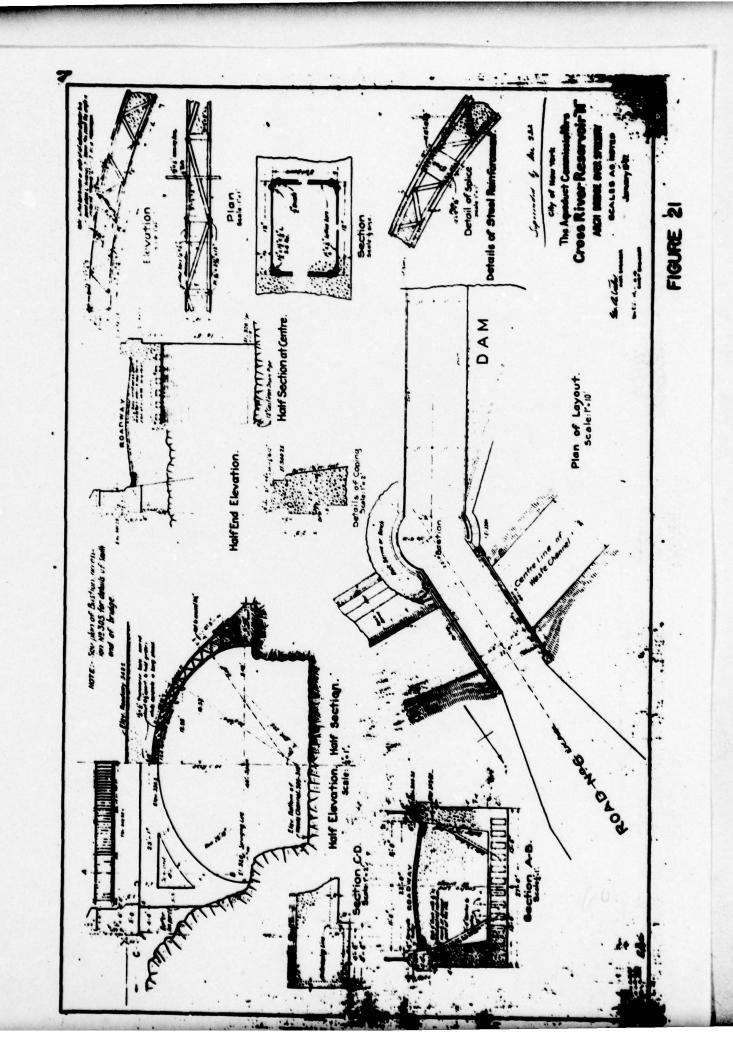


FIGURE 22

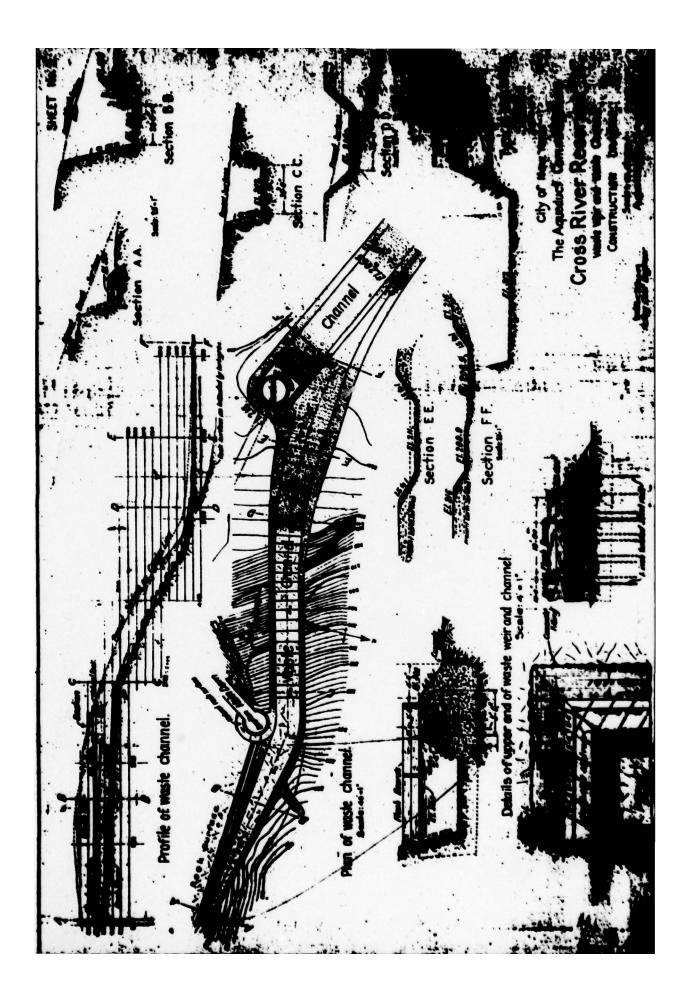
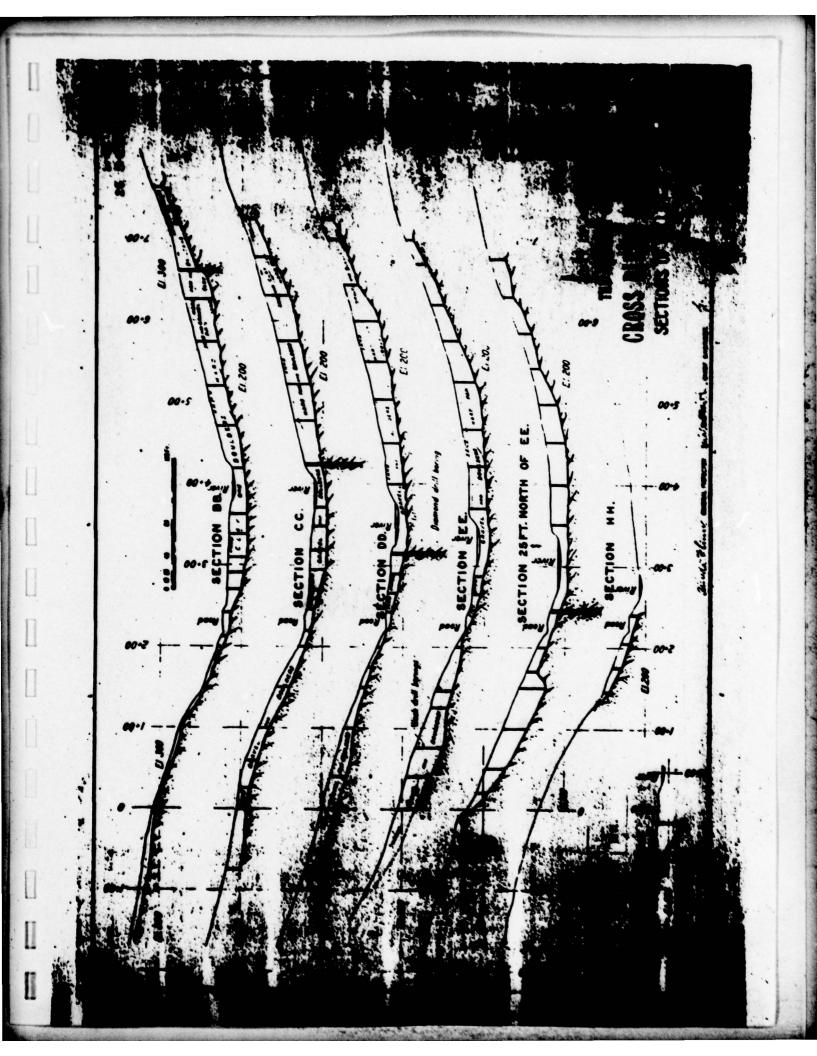
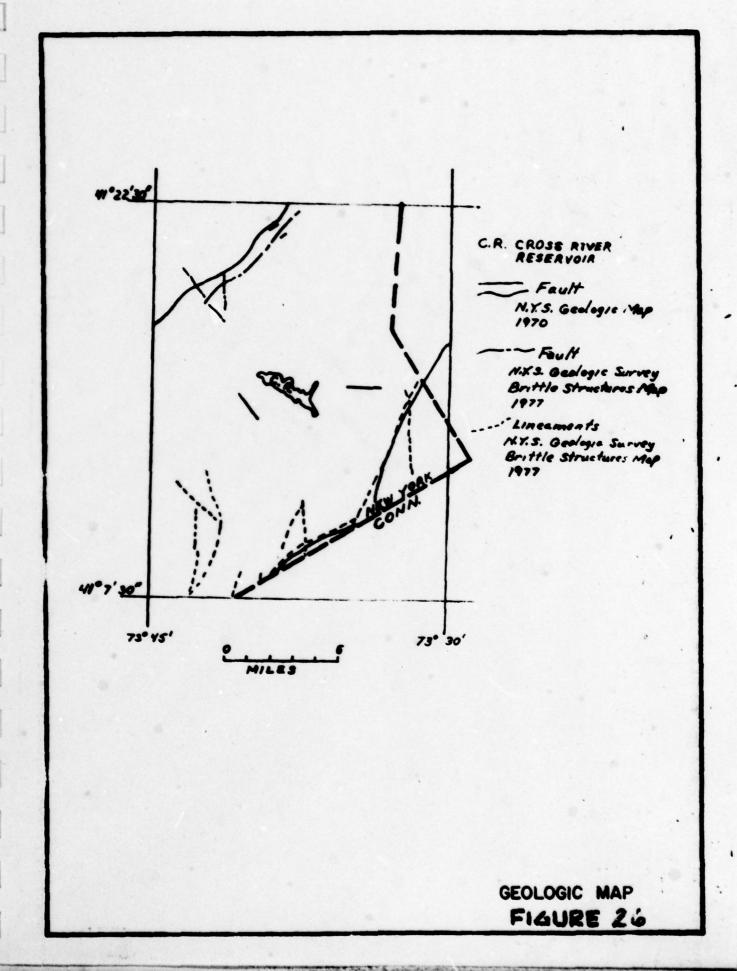


FIGURE 2





APPENDIX A
FIELD INSPECTION REPORT

10 # NY 38 Tailwater at Time of Inspection 750 County MESICHESIER State NEW YORK Temperature Hazard Category HIGH Weather CLOUDY Pool Elevation at Time of Inspection 329.96 M.S.L. AND AUGUST 2, 1978 Date(s) Inspection JULY 27, 1978 Name Dam CROSS RIVER Type of Dam MASONRY

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# CONGRETE/MASONRY DAHS

VISUAL EXAMINATION OF	OBSERVATIONS	REMARKS OR RECOMMENDATIONS
ANY NOTICEABLE SEEPAGE	Suspected seepage below dam. South abutment area very wet with substantial amount of fern plant growth. Extensive amounts of dampness at numerous locations on downstream face.	
STRUCTURE TO ABUTHENT/EHBANKHENT JUNCTIONS	South abutment exhibits large area with wetness.	
DRAINS	No drains observed from dam. Drain from valve pit discharging due to valve leaks.	
WATER PASSAGES	N/A	
FOUNDATION	Only observed spillway foundation where seepage was occurring at joint of spillway concrete and rock. The seepage follows in weathered seams in gneiss.	

## CONCRETE/MASONRY DAMS

VISUAL EXAMINATION OF	OBSERVATIONS	REMARKS OR RECOMMENDATIONS
SURFACE CRACKS CONCRETE SURFACES	Two large surface cracks on downstream face at location of abutment with the valley sections on either side. Extensive spalling of downstream surface.	
STRUCTURAL CRACKING	Bastion areas has severe cracking. Complete fracture of non-reinforced columns and wall sections above water line. Portions at top half have moved as much as 3 inches to the north.	
VERTICAL & HORIZONTAL ALIGNMENT	Vertical uplift movement of the road- way near the bastion.	
HONOLITH JOINTS (CYCLOPEAN MASONRY)	A number of joints have severely deteriorated between masonry elements.	
CONSTRUCTION JOINTS	No problem area observed. No joints observed.	
STAFF GAGE OF RECORDER	None.	
		SWEET

## EMBANKHENT

VISUAL EXAMINATION OF	OBSERVATIONS	REMARKS OR RECOMENDATIONS
SURFACE CRACKS	N/A.	
UNUSUAL MOVEMENT OR CRACKING AT OR BEYOND THE TOE	м/а.	
SLOUGHING OR EROSION OF EMBANIMENT AND ABUTHENT SLOPES	N/A.	
VERTICAL AND HORIZONTAL ALINEMENT OF THE CREST	N/A.	
RIPRAP FAILURES	N/A.	

## EMBANKHENT

VISUAL EXAMINATION OF	OBSERVATIONS	REMARKS OR RECOMMENDATIONS
JUNCTION OF EMBANICHENT AND ABUTHENT, SPILLMAY AND DAN	W/A.	
ANY NOTICEABLE SEEPAGE	N/A.	
STAFF GAGE AND RECORDER	N/A.	
DIAINS	N/A.	

# UNGATED SPILLWAY

VISUAL EXAMINATION OF	OBSERVATIONS	REMARKS OR RECOMENDATIONS
CONCRETE WEIR	Good condition. Some horizontal cracks. Leakage between concrete and foundation rock, follows weathered seams in gneiss. Joint in center is spread slightly.	Grout. Under certain conditions freeze-thaw may lead to cracking of concrete.
APPROACH CHANNEL	None.	
DISCHARGE CHANNEL	Rock. Bedded, foliated rock. Under bridge south spillway wall has a rock cavity, possibly from discharge tial rock from construction. Cavity shows could considerable weathering along seams in gneiss.	Cavity should be filled and surface above cavity covered to lessen potential rock-fall along foliation which could weaken bridge foundation.
BRIDGE AND PIERS	Good condition. Except bastion area next to bridge.	

# CATED SPILLWAY

VISUAL EXAMINATION OF	OBSERVATIONS	REMARKS OR RECOMENDATIONS
CONCRETE SILL	Mone.	
APPROACH CHANNEL	Mone.	
DISCHARGE CHANNEL	None.	
DRIDGE AND PIERS	None.	
GATES AND OPERATION EQUIPMENT	None.	

# OUTLET WORKS

VISUAL EXAMINATION OF	OBSERVATIONS	REMARKS OR RECOMMENDATIONS
CRACKING AND SPALLING OF CONCRETE SURFACES IN OUTLET CONDUIT	Valve leaks and another leak into the valve pit at southeast corner in concrete wall at location of pipe.	Outlet pipe is cast iron.
INTAKE STRUCTURE	None.	
OUTLET STRUCTURE	Pipe discharging into stilling pool. No problems at outlet location. Some leaks noted above in valve pit.	
OUTLET CHANNEL	Is stilling basin; see photographs.	Original basin destroyed in flood of October, 1955.
EMERGENCY GATE	None.	

VISUAL EXAMINATION OF	OBSERVATIONS	REMARKS OR RECOMMENDATIONS
COMBITION (OBSTRUCTIONS, DEBRIS, ETC.)	No problems.	
STOPES	No problems.	
APPROXIMATE NO. OF HOMES AND POPULATION	Downstream is Muscoot Dam. A number of homes are reported to be in the area. The Village of Katonah is approximately I mi. below dam, about 20 ft. above normal water levels.	A Town road crosses the bridge. The road is used as a schoolbus route and there are a significant number of people who use the reservoir for fishing.

NAME OF DAM Gross River

NY 38

101

ITEA	REMARKS
AS-BUILT DRAWINGS	See this report.
REGIONAL VICINITY NAP	See this report.
CONSTRUCTION HISTORY	See this report.
TYPICAL SECTIONS OF DAM	See this report.
OUTLETS - PLAN - DETAILS - CONSTRAINTS - DISCHARGE RATINGS	See this report.
RAINFALL/RESERVOIR RECORDS	None.

ITER	REMARKS
MONITORING SYSTEMS	Mone.
MODIFICATIONS	Mone.
HIGH POOL RECORDS	None.
POST COMOTRUCTIONS ENGINEERING STUDIES AND REPORTS	None known to inspection team.
PRIOR ACCIDENTS OR FAILURE OF DAM DESCRIPTION REPORTS	None.
MAINTENANCE OPERATION: RECORDS	See N.Y.C. Board of Water Supply

ITEM	REMARKS
SPILLMAY PLAN SECTIONS DETAILS	See this report.
OPERATING EQUIPMENT PLANS & DETAILS	See this report.

## CHECK LIST HYDROLOGIC & HYDRAULIC ENGINEERING DATA

DRAINAGE A	AREA CHARACTE	RISTICS:	29.80 sq. mi.	
ELEVATION	TOP NORMAL P	OOL (STORAGE CA	PACITY):	329.55
ELEVATION	TOP FLOOD CO	NTROL POOL (STO	RAGE CAPACITY):	329.55
ELEVATION	MAXIMUM DESI	GN POOL:		340.00
				340.00
CREST:				
٠.	Elevation	329.55		
	-		ed weir on rock	into chute spillway
c.	Width	4 feet		into chute spillway
d.	Length	240.00		
e.	Location Spi	llover Nor	th abutment.	
f.	Number and T	ype of Gates	None.	
	RKS: (Drawdow	ni) Conduit pipes	(see this repo	rt)
b.	Location	Center section	n of dam.	
d.	Exit Inverts	205 ±		
•.	Emergency Dr	aindown Facilit	ies None oth	er than outlet works
HYDROHETE	DROLOGICAL GA	TES:		
•.	Туре	None		
<b>b.</b>	Location	None		_
¢.	Records	None		
	m-00mes inc 0	ISCHARGE:		

APPENDIX B

PREVIOUS INSPECTION REPORTS





I

APPENDIX C
HYDROLOGIC AND HYDRAULIC COMPUTATIONS

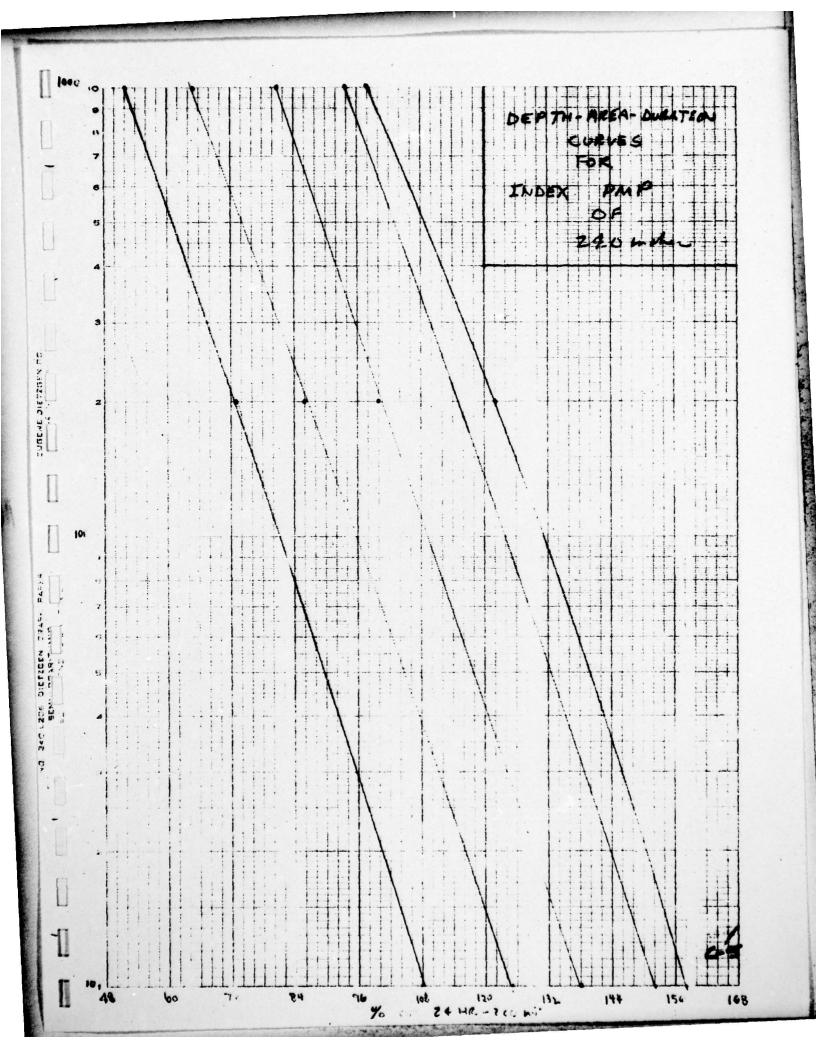
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1 14		72		38.0	158
1700		6		17.5	158 73
200		12		20.8	04
200		24		24.0	100
200		4		27.9	115
100		72		49.3	122
1000		4		12.5	52 1
1000		12		15.7	65
1000		74		10.5	.81
1000		44		72.5	94
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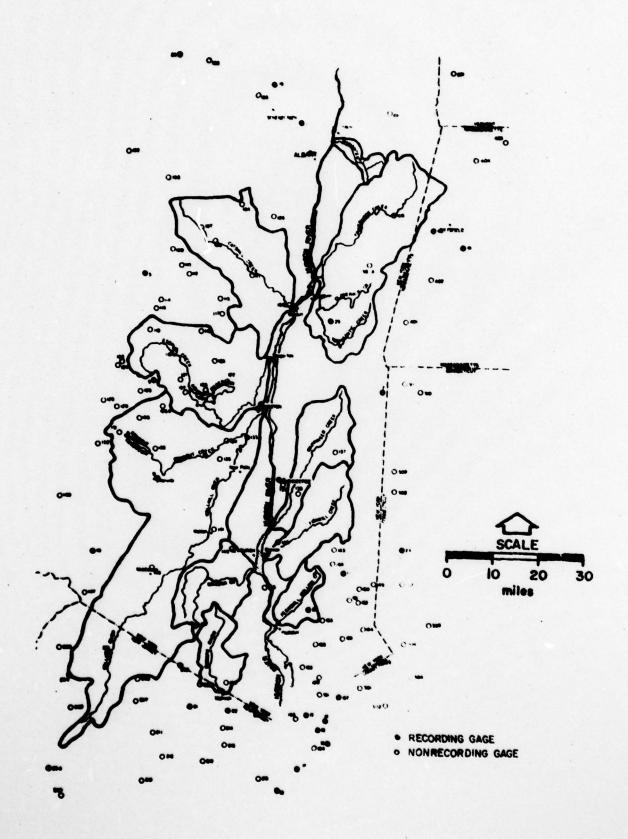


FIGURE 7. PRECIPITATION GAGING STATIONS

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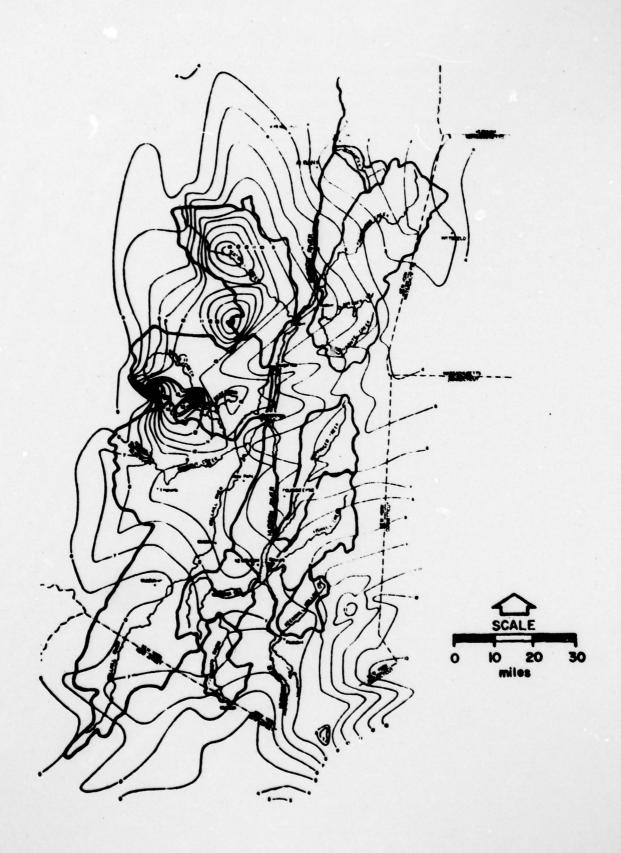


FIGURE 11. TOTAL RAINFALL (INCHES) 14-18 CCTOBER 1955

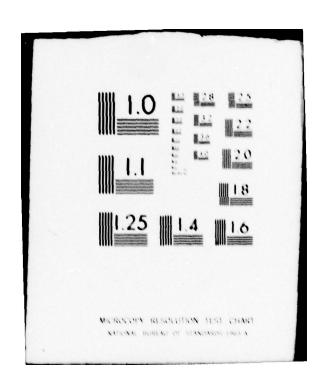


TABLE 7 3-HOUR MAINFALL DISTRIBUTION (Cont'd)

## OCTOBER 1955

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Report

**C-10** 

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LILLY Towner COCC. MONTH . COTO B - CROWN DIVISION Catalian Dest Louds Test Trust Cross Liver Crates Say DATE DAILY Titlera Fields Freeze 1.177 4 5 0.43 0.53 0. 33 0.75 C. 70 0.40 0.33 0.56 0.803 6 C.57 2.13 1.51 0.37 0.93 0.00 1,58 1,121 1.10 7 ORIGINAL 0,03 74. 0.25 0. 3 0.13 0,00 0.03 0.22 0.41 0.27 8 0.11 0.57 0.13 0.13 0. :7 0.14 0.05 0.17 3 1.008 0.27 8 13 11 12 13 0.23 .3 0.03 0,01 0.C 3 2:20 5.00 . . 2.65 247 ) 13 5.95 6:10 0.72 7.CT 1 9.88 0.00 0.46 0.46 0.00 7 יח.חי 0.05 0.013 0,01 0.03 0.112 2 O.C. 0.777 3 0 --0.19 0.38 23 26 201 1.37 S.: 31

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UNIT GRAPH AND HYDROGRAPH COMP JULY 1960 (REVISED AUGUST 1974)
 YDROLOGIC ENGINEERING CENTER (HEC)
 AVIS.CA
   OPERATIONS AVAILABLE ---
 IME INT = SET TIME INTERVAL OF ALL COMPUTATIONS
INIT H
         = COMPUTE UH BY INPUT, CLARK, OR SNYDER
KAIN
          . INPUT RAIN AND LOSS RATE DATA
UNOFF
         = INPUT BASEFLOW, COMPUTE & PRINT HYDROGRAPH
INT
         = PRINT UNIT HYDROGRAPH ONLY
STOP
          = STOP FXECUTION OF PROGRAM
ISER MUST SELECT OPERATION DESIRED
AY RETURN TO ANY OPERATION
SELECT 1-6 (1=TIME INT, 2=UNIT H, 5=RAIN, 4=RUNCFF, 5=PNT, "6=STOF)
                                                                       1
INTER TIME INTERVAL (MIN) = 180.
SELECT 1-6 (1=TIME INT, 2=UNIT H, 3=RAIN, 4=RUNCFF, 5=PNT, '6=STOP)
                                                                       2
ATEN DRAINAGE AREA (SOMI) =
                                  29.80
SELECT 1-3 (1=INPUT UH, 2=CLARK, 3=SNYDER )
ENTER NUMBER OF TIME-AREA ORDINATES (O=NONE)=
INTER CLARKS TO AND H (HRS) =
                                      7.40
                                                 5.30
                     TC
      1P
             CP
    6.41
                  7.90
            0.5.4
                              5.30
 ELECT 1-6 (1=TIME INT, 2=UNIT H, 3=RAIN, 4=RUNOFF, 5=ENT, 6=STOF)
                                                                       3
 ATER RATIO
             IMPERVIOUS =
                                  0.00
SELECT 1-3 ( 1=RAIN, 2=SPS, 3=PMS )
LATER NUMBER PERIODS OF HAIN =
                                   21
ENTER RAINFALL (IN/TIME INT) =
      0.14
                 0.12
                                      0.74
                                                 1.05
                           0.40
                                                           0.42
                                                                      0.2:
                                                                                0.35
      C.65
                 0.02
                            0.20
                                      1.05
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                                                           1.50
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                                                                                 0.05
                 U.11
                                                 0.02
      C.U5
                           0.62
                                      6.62
ENTER STORM TOTAL (O=SUM OF RAIN) (IN) =
                                                     11.00
SELECT 1-3 (1=INIT+CONST, 2=ACUM LOSS, 3=SCS)
ENTER INITIAL LOSS (IH), CONSTANT LOSS (IN/HR) =
                                                      1.00
SELECT 1-6 (1=TIME INT/2=UNIT H/3=RAIN/4=RUNCFF/5=PNT/°6=STOP)
ATER A TITLE PLEASE - CROSS RIVER OCT. 1955
INTER STRTQ, QRCSN, AND RTICR =
                                     60.00
                                             66.00
                                                       1.00
                              UNIT HG
 HR PIN
          RAIN
                LOSS EXCESS
                                        RECSN
                                                  FLCW
                                460.
  3 MM C
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                      0.00
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  + 17. C
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                               1439.
          C.13
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                0.44
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                      0.87
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                                          60.
 14 . . 0
                                379.
                0.30
                                          60.
          C.47
                      0.17
                                                  2004.
 24 0
          0.20
                0.26
                      0.0C
                                212.
                                                  2252.
                                          6C.
```

C-14

```
24 60
          0.39
                 0.30
                        0.09
                                  119.
                                              60.
                                                      1643.
 27 °C
          0.72
                 0.30
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                                   67.
                                                      1316.
                                              60.
          0.02
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                                                      1342.
 30 1: 0
                                              60.
 53 F C
                 0.22
                        0.00
                                   21.
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          6.22
                                              6C.
 36
          1.17
                 0.30
                       0.87
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                                   14.
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 42 : 0
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 65 K/7L
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                                              60.
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                                              60.
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TOTAL
          11.00
                  4.40
                         6.60
                                  6390.
                                            1920.
                                                      44146.
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The state of the s

SELECT 1-6 (1=TIME INT,2=UNIT H,3=RAIN,4=RUNOFF,5=PNT, 6=STOP)

\* EC-1 VERSION DATED JAN 1973 POATED AND 74 EE 10. 01 \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

> CROSS RIVER DAN RESERVOIR ROUTING OVER STRUCTURE OF STORM OF OCT. 1955 INCLUDES SERVICE SPILLING COLY

> > JOS SPECIFICATION MA NUR MIN IBAY INR ININ NETRC IPLT IPRT NSTAN . . . 3

\*\*\*\*\*\*\* \*\*\*\*\*\*\*\* \*\*\*\*\*\*\* ....... \*\*\*\*\*\*\* SUB-AREA NUMBER CONFUTATION TECON ITAPE JPLT HTTOGCOOPH DATA TREBA TREPC RATIO ISNOW ISANE LOCAL . 0 27.00 0.0 1.1 -1 6.0 0.0 INPUT HYBROCRAPH 971. 224. 2004. 2252. 1493. 1314. 1342. 1261. 2449. 3303. 4907. 4Z13. 4271. 2524. 1437. 231. 131. 196. 87. 44. 4. A-HOUR 24-HOUR 72-HOUR TOTAL VOLUME PEAK CFS 44027. 4445. 1819. 1468. INDES 2.27 2.27 1.45 2.29 K-FT 3411. 3440. 3440. 2304. ---------\*\*\*\*\*\*\*\* \*\*\*\*\*\*\*\*

HYDROCRAPH ROUTING ICEMP IECON ITAPE STIT ATAC SOLTUGA CLOSS AVC INES 0.0 0.0 0.0 LAC AMERIK I TSK STORA METEL. 0.0 -1. ` 2300. 776. 1546. 4420.

4152 4394. 2935. 11744.

EUP STOR ANC IN EUP OUT 38. 2 3 u. 4. 38. u. 38. 4. 4. 142. 47. 164. 596. 110. 215. 1486. 224. 7 366. 2128. 301. 1973. 512. 472. 571. 1565. 593. 16 627. 1327. 454. 11 478. 1247. 765. 12 717. IIW. 745. 13 1925. 807. 875. 14 1047. 3976. 1325. 15 2020. 1413. 4165. 1754. 4546. 2797. 14 17 1939. 3242. 3255. 18 3282. 1950. 3397. 17 1853. 1983. 3637. 25 1716. 2404. 1135. 21 2364. 1357. **W.** 22 1412. 375. 2518. 23 24 25 24 27 28 28 1277. 247. 1741. 1154. 1527. 144. 104. let. 1323. 156. 77. 1141. 847. 797. 165. 850. 43. 4. 735. 679. 4. 763. 786. 36831. PEAK 72-HOUR 24-HOUR TOTAL VOLUME 2814. 1510. 3202. 1228. 36831. 6.98 1.87 1.12 1.72 1436. 2997. 3945. --------\*\*\*\*\*\*\* \*\*\*\*\*\*\*\*\* HOFF SHOWART, AVERACE FLOW 72-HOUR 24-HBW 4967. 3282. 445. 1817. 1310. 1448.

CFS

INDES

K-FT

NTEROGRAPH AT

**CLOST** MIN A CHOIS RIVER BAN 0110 A RESERVOIR MOUTING OVER STRUCTURE OF STORM OF OCT. 1955 0120 A INCLUDES SERVICE SPILLMAY ONLY 0130 B 0140 1 0150 K ... -1 1.13 00170 H 0100 H 971 4987 2004 6213 224 2252 1673 1316 1342 1175 1201 2303 4271 2524 831 W 1437 0190 H 131 4 8206 K CLIS T 1 0220 I 1 -1 **6236** 2 1540 770 4428 0240 3 0230 K 2210 4153 4394 8935 11746 COLO A 6270 A A DESS

--- CPERATIONS AVAILABLE ---

```
TIME INT = SET TIME INTERVAL OF ALL COMPUTATIONS
H TEPO
         = CO. PUTE UH BY INPUT, CLARK, OR SNYDER
         = INFUT RAIN AND LOSS RATE DATA
RAIN
         = INPUT BASIFLOW, COPPUTE & PRINT HYDROGRAPH
HLNOFF
FAT
         = PRINT UNIT HYDROGRAPH CNLY
STOP
         = STOP EXECUTION OF FROGRAM
USER MUST SELECT OPERATION DESIRED
MAY RETURN TO ANY OPERATION
SELECT 1-6 (1=TIME INT/2=UNIT H/3=RAIN/4=RUNCFF/5=PNT/6=STOP)
ENTER TIME INTERVAL (MIN) = 180.
SELECT 1-0 (1=TIME INT,2=UNIT H,3=RAIN,4=RUNGFF,5=PNT, "6=STOP)
ENTER DRAINAGE AREA (SQMI) = 29.80
SELECT 1-3 (1=INPUT UM, 2=CLARK, 3=SNYDER )
ENTER NUMBER OF TIME-AREA ORDINATES (G=NONE)=
ENTER CLARKS TC AND # (HRS) =
                                     7.90
      TP
              CP
                     TC
    0.41
           0.584
                    1.90
CELECT 1-6 (1=TIME 1HT,2=UNIT H,3=RAIN,4=RUNGFF,5=PNT, '6=STOP)
             IMPERVIOUS =
                                 C.00
SELECT 1-3 ( 1=RAIN, 2=SPS, 3=PMS )
ENTER PMS INDEX RAINFALL (IN) = 24.00
LATER R6. K12. R24. R42. R72. R96 =
                                    96.00 111.00 124.00
                                                            139.00
                                                                     146.00
ENTER TRSPC AND TRSDA (SQMI) =
                                          0.00
                                                   29.80
SELECT 1-3 (1=INIT+CONST, 2=ACUM LOSS, 3=SCS)
ENTER INITIAL LOSS(I"), CONSTANT LOSS(IN/HR) =
                                                     1.00
                                                                C.13
SELECT 1-6 (1=TIME I T.Z=UNIT H.3=HAIN.4=RUNGFF.5=PNT. 6=STOP)
ENTER A TITLE PLEASE - CROSS RIVER PMF
                                           66.66
LATER STATO, OKCSN, AND RTIOR =
                                    60.00
 HR MIN
         PAIN
               LUSS EXCESS
                             UNIT HG
                                       RECSN
                                                 FLOW
               0.06
 3
         U.06
                     0.00
                               460.
                                          60.
      0
                                                   60.
               0.06
                     0.00
                              1439.
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 27
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                                               60.
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                 0.30
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SELECT 1-6 (1=TIME INT, 2=UNIT H, 3=RAIn, 4=RUNGFF, 5=PNT, 6=STOP)
FATER TIME INTERVAL (MIN) = 18C.
SELECT 1-6 (1=TIME INT, 2=UNIT H, 3=RAIN, 4=RUNOFF, 5=PNT, '6=STOP)
ENTER DRAINAGE AREA (SQMI) = 29.80
SELECT 1-3 (1=INPUT UH. 2=CLARK, 3=SNYDER )
ENTER NUMBER OF TIME-AREA ORDINATES (C=NONE)=
INTER CLARKS TC AND R (HRS) = 7.90 5.3C
     TP
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    0.41 0.584 7.90 5.30
SELECT 1-6 (1=TIME INT, 2=UNIT H, 3=RAIN, 4=RUNCFF, 5=PNT, "6=STOP)
LATER RATIO IMPERVIOUS = C.00
SELECT 1-3 ( 1=RAIN, 2=SPS, 3=PMS )
ENTER SPS INDEX RAINFALL (IN) = 12.00
ENTER TRSPC AND TRSDA (SQMI) =
                                     1.00
                                             29.80
SELECT 1-3 (1=INIT+CONST, 2=ACUM LOSS, 3=SCS)
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                                              1.00
ENTER INITIAL LOSS(IN), CONSTANT LOSS(IN/HR) =
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SELECT 1-6 (1=TIME INT/2=UNIT H,3=RAIN,4=RUNCFF,5=PNT, 6=STOP)
LATER A TITLE PLEASE - CROSS RIVER SPF
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SELECT 1-6 (1=TIME INT,2=UNIT H,3=RAIN,4=RUNCFF,5=PNT, 6=STOP)
ENTER TIME INTERVAL (MIN) = 18C.
SELECT 1-6 (1=TIME INT,2=UNIT H,3=RAIN,4=RUNCFF,5=PNT, 6=STOP)
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                                29.80
ENTER URAINAGE AREA (SQM1) =
SELECT 1-3 (1=INPUT UH, 2=CLARK, 3=SNYDER )
ENTER NUMBER OF TIME-AREA ORDINATES (O=NONE)=
INTER CLAPKS TC AND R (HRS) =
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SELECT 1-6 (1=TIME INT,2=UNIT H,3=RAIN,4=RUNOFF,5=PNT, 6=STOP)
ENTER RATIO IMPERVIOUS =
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SELECT 1-3 ( 1=RAIN, 2=SFS, 3=PMS )
ENTER NUMBER PERIODS OF RAIN = 21
ENTER RAINFALL (IN/TIME INT) =
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	ELEV	332	FT	DISCHARGE	2260. CFS
	ELEV	333	FT	DISCHARGE	4153. CFS
	ELEV	334	FT	DISCHARGE	6394. CFS
	ELEV	335	FT	DISCHARGE	8935. CFS
	ELEV	336	FT	DISCHARGE	11746. CFS
	ELEV	337	FT	DISCHARGE	14801. CFS
	FLEV	338	FT	DISCHARGE	18084. CFS
	ELEV	339	FT	DISCHARGE	21578. CFS
	ELEV	340	FT	DISCHARGE	25273. CFS
	ELEV	341	FT	DISCHARGE	29157. CFS
	ELEV	342	FT	DISCHARGE	33222. CFS
	ELEV	343	FT	DISCHARGE	37460. CFS
	ELEV	344	FT	DISCHARGE	41865. CFS
	ELEV	345	FT	DISCHARGE	46429. CFS
	ELEV	346	FT	DISCHARGE	51149. CFS
	ELEV	347	FT	DISCHARGE	56018. CFS
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CC-1 VERSION BATED JAM 1973
POATED AND 74
MANGE NO. 01

CROSS RIVER DAM
RESERVOIR ROUTING OVER STRUCTURE OF PHF
INCLUDES SERVICE SPILLMAY ONLY

SUB-AREA RUNOFF COMPUTATION
ISTAG ICOMP IECON ITAPE JPLT JPRT INME

INTEG IUNG TAREA SHAP TREBA TREPC RATIO ISHON ISANE LOCAL
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INPUT HYBROCHAPH 2447. 1392. 130. 1794. 1833. 7293. 845. 2136. 3286. 4012. 31447. 31724. 21747. 12743. 7244. 2344. 1612. 1407. 1275. 391. 242. 158. 115. 91. 70.

> PER 24-HOUR 72-HOUR TOTAL VOLUME CFS 31397. 14854. 4544. 157523. 24.51 24.59 INCHES 1.8 21.65 AC-FT 15471. 39451. 30157.

ISTAG ICOMP IECOM ITAPE JPLT JPRT IMAME

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NUMBER SUMMAY, AVERAGE FLOW

PEAK 6-HOUR 24-HOUR 72-HOUR AREA HYBROGRAPH AT Ø 31726. 31507. 14656. 4544. 29.00 ROUTED TO Ø 26532. 27032. 14300. 4404. 29.00 San Market

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PRATED AND 74
WANGE WO. #1

CROSS RIVER BAN RESERVOIR MOUTING OVER STRUCTURE OF SPF INCLUDES SERVICE SPILLIMY ONLY

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                                                                                                                                                                                                                              346.
                                                                                                                                                                                               69.
                                                                                                                                                         272.
                                                                                                                                                                                               45.
                                                                                                                                                                                                                              282.
                                                                                                                                                         223.
                                                                                                                                                                                               62.
                                                                                                                                                                                                                             232.
                                                                                                                                                                                               61.
                                                                                                                                                          186.
                                                                                                                                                                                                                              193.
                                                                                                                                                          157.
                                                                                                                                                                                               61.
                                                                                                                                                                                                                             143.
                                                                                                                                                                                                                          78735.
                                                                                                                   PER
                                                                                                                                                                                                               72-HOUR
                                                                                                                                                                                                                                                 TOTAL WILLIE
                                                                                  CFS
                                                                                                           13514.
                                                                                                                                             13378.
                                                                                                                                                                                 8128.
                                                                                                                                                                                                                   3246.
                                                                                                                                                                                                                                                                   78735.
                                                                        MOES
                                                                                                                                                 4.18
                                                                                                                                                                                 16.15
                                                                                                                                                                                                                    12.14
                                                                                                                                                                                                                                                                      12.27
                                                                           K-FT
                                                                                                                                               6437.
                                                                                                                                                                              14130.
                                                                                                                                                                                                                19327.
                                                                                                                                                                                                                                                                   19531.
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                                                                                                                                                                                                                                                                                                            *********
                                                                                                                                                                        MART, AVERAGE FLOW
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24-HOUR

8128.

72-HOUR

3230.

3244.

27.85

27.80

PEAK

14145.

13514.

14632.

13378.

HYBROCHAPH AT

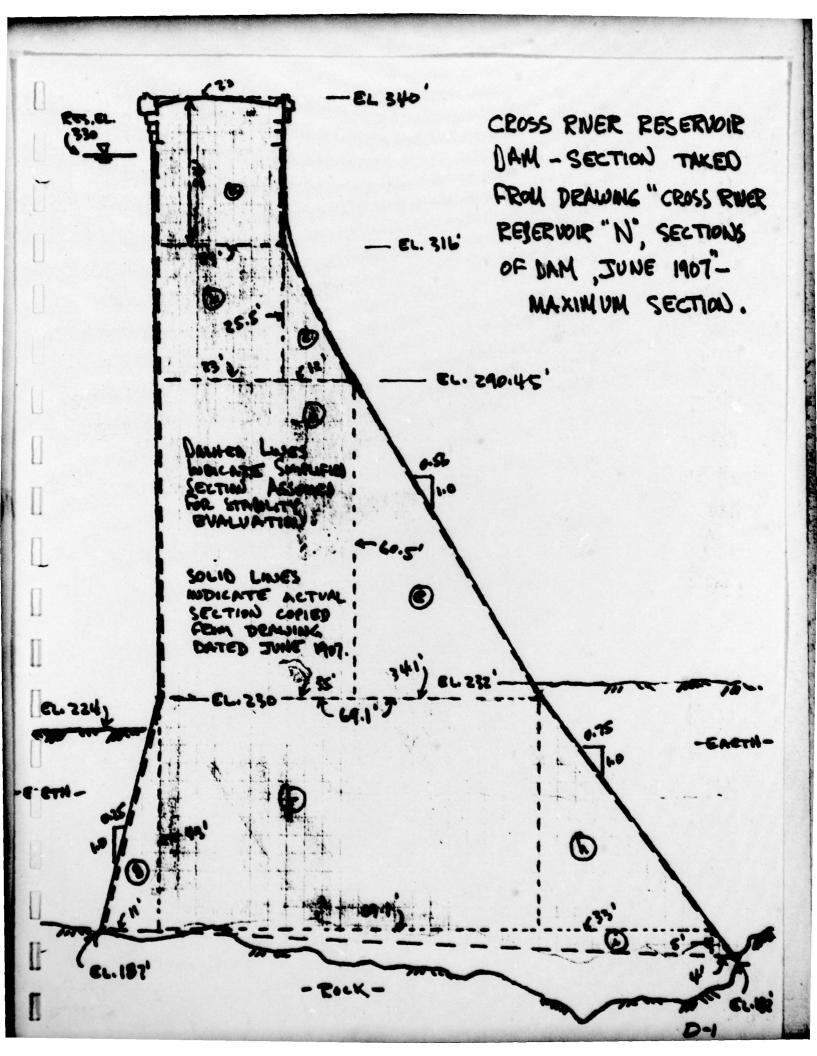
MOUTED TO

HYDROCOMPH MOUTING

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60166 A CROSS RIVER DAN
0110 A RESERVOIR ROUTING OVER STRUCTURE OF PHF
0120 A INCLUDES SERVICE SPILLMAY ONLY
6130 B
            30
                      3
5146 1
             3
6150 K
0140 H
                            1.15
            -1
0170 H
            .
                    130
                             845
                                    2136
                                              2447
                                                       1794
                                                                1392
                                                                         1833
                                                                                  3284
                                                                                           7293
6186 N 18537
                           31724
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                                   21747
                                             12743
                                                       7246
                                                                4072
                                                                         2344
                                                                                  1612
                                                                                           1467
erse n
          1275
                             391
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                                              150
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                                                                  71
                                                                          77
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8288 K
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                                        1
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0230 Z
                                             1314
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25273
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6246 3
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6236 K
A DASO
0270 A
0200 A
PSINE
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The second secon

APPENDIX D STABILITY ANALYSIS



# OVERTURNING & SLIDING ume to howing conditions spillury elevation (ref. shelph, class 350) subsurface we of them surface ut of soil above toe lateral establic limital > (CONVINAS) Bns 20, 4454=3-15 FR Pui 1482624=4.215ksp DUERTURNING Forces causing everturing about toe = horiz water press horiz soil press + ice + uplife Moments about too causing overturing horiz . water pressure = 148'x 62.4 x 148' x 148 horis Soil possione = 42 x60 x0.5 x 42 x 43 371 5000 × 148' = rblitt mater bless = (3.15 mg 112, fl.) + (4.582-3"15 mg) (15 x 3 xm) = 21,355 14 27,926 14 44,280" Total overturning (without uplife) = 34,825 " Total overturning (with uplift) = 84,105 18

A Supreme

Forces recieting overturning about toe \_ mest of dem + horiz. I water pressure (downstream) + horiz. I soil pressure weight soil above toe

Monante about toe recisting overturing

mass of dam (ref. thetal of section) = [(23' x24' x94.5' x.150 pcf)+...

+ (23' x25.5 x94.5'x.150) + (\frac{1}{2} x6.5 x341 \frac{1}{2} 59.7 x.150) + ...

+ (35' x66.5' \frac{1}{2} 88.5' x.150) + (\frac{1}{2} x6.5 x341 \frac{1}{2} 59.7 x.150) + ...

+ (\frac{1}{2} x43 \frac{1}{2} 35 x26 x.150) + (\frac{1}{2} x43 x11 \frac{1}{2} 109.8 x.150) = 95 284 1K

honz; soil pressure (passive) = (60 pcf x50' x3 x\frac{1}{2} x50 x\frac{50}{2} = 37.50 ik

honz; conter pressure = (50' x62.4 pcf x\frac{50}{2} x\frac{50}{2} = 1,300 1K

soil above toe = \frac{1}{2} x50 x37 x60 pcf x\frac{31}{2} \rightarrow = 685 1K

Total resistance to overturning; including soil above toe = 101,019 maylesting soil above toe = 100,334 m

FS against overturning, including uplift, neglecting soil above the = 100,384 = 1.2 ±

FS egeinst overturning, neglecting uplift
= 100,334 = 2.9 ±
34,825

B. SLIDING Forces causing sliding - horiz. soil pressure behind dant horiz. water pressore behind dam honiz. soil pressure = (60 x42 x0.5 x42) = water pressure: (148 x 62.4 x 148) = 683.4x Forces resisting sliding - soil and water pressure (lateral)
behind dam + friction along base horiz. soil pressure = 60 ×50 ×3 × 50 = 225 × horiz. water pressure = 50x62.4x50 = friction along base = f [downward wt. -uplist] where ut downward of dam = [(23x24x150) + (23x25.5x.150)+ +(+ 425.5 x12 x.150) + (35 x60.5 x.150) +(2x6058.150)+ + (69.1 x43x150) + (1/2 x 43 \$211 x 150) + (1/2 x 43x33 x 150)+ +(2×5×102×.150) = 1142 × where wt. soil above toe = (37 x 50 x 2 x 60) = 55.5 x where whilt = ( 0, 235+3") (117,) = assume for o. 6 friction = (0.6)[ 1197.5 - 722.8] = .175k FS against sliding = 225+78+475 = 778 = 0.83 + (with)

= 225+78+809 = 1.6 ± ( uplift)

II. Stability where we tops dam one foot water pressure behind dam increases, uplift increases - horiz. water pressure = (159 x62.4 ref x 159 x 159) = 41,805 18 - uplift pressure at base changes to 3= 159x624 = 3.12 = 3.12 = 50x624 moment = (3.12 4 117 x 117) + (942-3.12)(117 x 3x117) = 21,355 + 31,018 = 52,383 ik -soil pressure (as for case I) = 371 16 Total overfurning moment - 94,559 moments resisting overturning (as for case I) = 100,334 1K FS against overturning = 100334 = 1.08=( whith = 100,334 = 2.4± (molity)

Sliding Competation and perform since Come I moults according unsatis bardory -

APPENDIX E REFERENCES

#### APPENDIX E

#### REFERENCES

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APPENDIX F
NON-DESTRUCTIVE TESTING

### construction technology laboratories

a Division of the PORTLAND CEMENT ASSOCIATION

August 15, 1978

Mr. Neal Dunlevy
Dale Engineering Company
Bankers Trust Building
Utica, New York 13501

CONCERNS: Inspection of Concrete Gravity Dams

Dear Mr. Dunlevy:

As discussed in our telephone conversation earlier today, I am enclosing a copy of information describing our nondestructive testing capabilities. In addition, I am enclosing a copy of the Construction Technology Laboratory Review describing specific jobs where this procedure has been employed.

In discussions with other members of our staff, I have determined that it is possible to measure internal hydrostatic pressure acting to reduce vertical compressive stresses in the concrete. We can develop a proposal to do work such as this.

As discussed, I would suggest that the dam you described be thoroughly evaluated using the pulse echo method of non-destructive testing. In addition, selective cores should be taken. Based on results of these findings, it should be possible to make recommendation; for measures to assure continued safety of the dam.

We would be pleased to make a proposal for doing detailed evaluation of concrete dams.

Sincerely yours,

W. G. Corley Director

Engineering Development Department

WGC/cag

Enclosure

## construction technology laboratories

a Division of the PORTLAND CEMENT ASSOCIATION 5420 Old Orchard Road, Skokie, Illinois 60076 Area Code 312 / 966-6200

#### THE MICROSEISMIC TECHNIQUE OF NONDESTRUCTIVE TESTING

Microseismic techniques employing low frequency mechanical energy are used to detect, locate, and photographically record physical and mechanical discontinuities within solids. Concrete strength and modulus as well as the presence and orientation of surface and internal cracking can be determined.

Microseismic testing is based on Snells' Law of Reflection and Refraction of mechanical energy. Briefly, this physical law states that as a mechanical wave propagates through a material with a velocity  $V_1$ , a portion of that energy will be reflected as a second material is encountered. The wave will be reflected from the second material having a lower velocity  $V_2$ . The ratio of the reflected energy to the energy introduced is directly proportional to the ratio of velocities. For example, when a concrete to air interface is encountered approximately 90% of the energy is reflected. This is based on relative velocities of 15,000 ft/sec for concrete and 1,100 ft/sec for air. Should the discontinuity be filled with water, then a concrete to water interface is encountered and approximately 70% of the energy is reflected.

An electronic timing circuit is used to measure, in microseconds, the time used for the mechanical wave to enter the solid, propagate to the rear wall, and reflect back to the entry surface. When the distance the wave has traveled is known, a distance versus time relationship can be established and a compressional wave velocity  $V_{\rm C}$  can be calculated. This value of  $V_{\rm C}$  can then be used to determine an insitu compressive strength,  $(f_{\rm C}^*)$  for the concrete. This compressive strength is derived from established

correlation charts or from correlations with concrete cores taken from the structure in question. In addition, a shear wave velocity  $V_{\rm s}$  is calculated from the time measurement. Combining  $V_{\rm c}$  and  $V_{\rm e}$ , calculated values for Poissons Ratio and Young's Modulus,  $E_{\rm c}$ , can be made.

A visualization of the reflected energy on a cathode ray tube makes possible, in some cases, the identification of internal discontinuities. For example, "ring down time" of the initial reflection will usually differentiate cracks from voids. Second and third reflections are used to identify microcracking, excessive air, and softening of the cement matrix.

The test equipment is portable and includes the following:

- 1) Visual display read out
- 2) Time and signal measuring device
- 3) Electro/mechanical transducer
- 4) Constant energy mechanical wave producer
- 5) Couplant

Test sequence includes the following procedure:

- 1) Select test locations
- 2) Prepare area
- 3) Apply couplant to transducer
- 4) Seal transducer to test surface
- 5) Produce mechanical wave at the test surface

Records including the following are obtained:

- Visual display records electro/mechanical energy transfer
- 2) Time and signal is measured
- 3) Visual display is activated
- 4) Test data is displayed
- 5) Film type record is made (if necessary)

Further information can be obtained from:

Dr. W. Gene Corley, Director Engineering Development Department Portland Cement Association 5420 Old Orchard Road Skokie, Illinois 60077 Phone: 312-966-6200, Ext. 413

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