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## Respiratory Adaptations in Acid-base Disturbances:

Role of Cerebral Fluids

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Running Title: Cerebral Fluids in Respiratory Adaptations

<u>Key Words</u>: Acid-base Balance/Regulation of Breathing/Cerebrospinal Fluid/Cerebral Interstitial Fluid/Blood-brain Barrier

<u>Abstract</u>: The "respiratory" (Pa<sub>CO2</sub>) and "metabolic" (Base Excess, ±BE) components of acid-base homeostasis are defined. A quantitative empirical description of the (incomplete) mutual compensations in steady acid-base disturbances primarily occurring in either of the two components is presented, based upon data compiled from the literature. Respiratory adaptations in steady acid-base disturbances of metabolic origin (hyperventilation with hypocapnia in primary metabolic acidosis, and hypoventilation with hypercapnia in metabolic alkalosis) are analyzed as a function of the acidity of the cerebral fluids (cerebrospinal and cerebral interstitial fluid).

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Acid-base balance is an integrated homeostatic system in which two "independent variables"<sup>1</sup> are used by the controller: PCO<sub>2</sub> in arterial blood--the "respiratory component"--and the "strong-ion difference" ([S.I.D.], the difference between the sums of the fully dissociated cations and anions in blood plasma) for the "non-respiratory" or "metabolic component" [19]. Deviations from the normal value of [S.I.D.] (about 42 mV/1) are reflected as base excess (-BE, mV/1) or base deficit (-BE, mV/1) [18]. The normal acid-base balance in a resting person, breathing air at normal barometric pressure is characterized by PaCO<sub>2</sub> = 40 torr (5.33 kPa), and BE = 0 ([S.I.D.] = 42 mV(1), pH being 7.40. Primary disturbances in the "metabolic" "non-respiratory") component are characterized by changes in BE: +EE (increase in [S.I.D.]) is metabolic alkalosis, and -BE (decrease in [S.I.D.]) is metabolic acidosis. The value of PaCO<sub>2</sub>, at a given CO<sub>2</sub> production  $V_{CO_2}$ , 1/min), is inversely proportional to the effective alveolar ventilation ( $V_{A}$ , 1/min):

## Footnote1

An "independent variable" is one that can be changed from outside of the system; change in one independent variable does not affect the value of another independent variable. "Dependent variables" in the acid-base balance in body fluids are e.g. [II<sup>+</sup>], [OU<sup>-</sup>], [NOO<sup>-</sup><sub>3</sub>], dissociation of weak electrolytes ("buffers"). The "dependent variables" can only change (all simultaneously) as a function of changes in one or more of the independent variables [19].

$$Pa_{CO_2} = K \dot{V}_{CO_2} / \dot{V}_A.$$

Deviation from the normal  $Pa_{CO_2}$  is a consequence of change in pulmonary ventilation. Thus, the regulation of breathing, through its effect on  $Pa_{CO_2}$ , is an integral part of the acid-base homeostatic system.

When primary disturbances in acid-base balance occur, a mutual compensation develops within hours, and is fully established within a few days: primary disturbances in the "respiratory" component are compensated by an opposite deviation in the "metabolic" component, and vice versa. Primary respiratory acidosis (chronic  $\Omega_2$  retention) is compensated for by renal production of +BE, and with primary respiratory alkalosis (chronic hypocapnia, as e.g. in adaptation to high altitude) a -BE is produced. In an analogous way, primary metabolic alkalosis or acidosis induces compensatory hypercapnia or hypocapnia, respectively. Figures 1 and 2, constructed from published data, describe the observed quantitative interactions between the "respiratory" and "metabolic" components of acid-base regulation in humans. In primary disturbances of "respiratory" origin (Figure 1), BE changes as a function of Pa<sub>CO2</sub>. Over the range of Pa<sub>CO2</sub> values of 20 to 60 torr (2.67 to 8.00 kPa), the plot fits a straight line:

BE  $(mM/1) = 0.34 Pa_{CO_2} (torr) - 14$  (2) Thus, for instance, when  $Pa_{CO_2}$  is increased from its normal value of 40 torr (5.33 kPa) to 50 torr (6.67 kPa), BE increases from 0 to 3.4 mM/1. This renal compensation for a primary respiratory acid-base disturbance is not complete, as indicated by the plot of "iso-pH" lines in Figure 1. In primary disturbances of "metabolic" origin (Figure 2), Pa<sub>CO\_2</sub>

(1)

changes as a function of primary deviations in BE. Over the range of BE -20 to +20 mM/1 ([S.I.D.] approximately 22 - 62 mM/1), the plot fits a straight line:

 $Paco_2$  (torr) = BE (m<sup>1</sup>/1) + 40 (3)

Thus, for instance, BE of +10 mM/1 produces an increase in  $P_{CO_2}$  to 2) + 10 = 50 torr (6.67 kPa), and BE of -10 mM/1 elicits a  $Pa_{CO_2}$  value of -10 + 40 = 30 torr (4.00 kPa). Again, as seen from the "iso-pH" lines in Figure 2, the respiratory compensation for primary metabolic acidbase disturbances is incomplete.

Mechanisms responsible for these mutual compensations in the two types of primary acid-base disturbances have not been fully clarified. We shall not comment on the renal mechanisms that produce base excess or base deficit in response to chronic hypercaphia or hypocaphia (Figure 1), but shall concentrate on the respiratory adaptations that follow the primary disturbances of "metabolic" origin, as empirically described in Figure 2.

Changes in the resting pulmonary ventilation (with reciprocal changes in  $Pa_{CO_2}$ , Equation 1) that occur in metabolic acidosis and alkalosis cannot be readily explained by chemical respiratory stimuli identifiable in arterial blood. In stable metabolic acidosis with established respiratory compensation,  $Pa_{CO_2}$  is abnormally low and the prevailing arterial-blood pH is not acidotic enough to account for the hyperventilation [6] [7] [14]. Analogous reasoning pertains to metabolic alkalosis. It appears that it is a change in [H<sup>+</sup>] in cerebral fluids (cerebrospinal fluid, CSF; and cerebral interstitial fluid, cISF), detected by the

"central chemoreceptors" in the medulla oblongata [13] [16], that provides a significant stimulus for resetting the resting pulmonary ventilation in response to metabolic acidosis or alkalosis. Following the pioneering work of LEUSEN [11], it has been shown that the resting  $\dot{V}_A$  (and its reciprocal function, the arterial-blood  $P_{CO_2}$ ) is proportional to the [H<sup>+</sup>] in cerebral fluids [2] [3] [6] [7]. These fluids are separated from blood by the blood-brain barrier, and their ionic composition is different from that of the ultrafiltrate of blood plasma. As a result of the (poorly understood) functioning of the blood brain barrier, changes in [S.I.D.] (or BE) that occur in blood plasma during metabolic acidosis or alkalosis are attenuated in the ionic composition of the cerebral fluids [12].

The "central chemoreceptors" appear to be located at some distance from the ventro-lateral surface of the medulla, exposed to cISF and not to the cisternal CSF [2] [3] [15]. However, in the normal acid-base balance and during steady metabolic acidosis or alkalosis at normal barometric pressure, the ionic composition (including [H<sup>+</sup>]) of cISF and CSF are the same [6]; thus, the variable "centrogenic respiratory drive" contributing to the respiratory adaptation in steally "metabolic" acidbase disturbances can be identified, and measured, as the [H<sup>+</sup>] in cisternal CSF.

Respiratory adaptations to prolonged stable metabolic acidosis or alkalosis, by inducing changes in  $P_{CO_2}$  in alveolar gas, arterial blood and other body fluids, together with the blood-brain barrier, which attenuates the reflection in cerebral fluids of the changes in [S.I.D.] existing in blood plasma, serve to reduce the variation of [H<sup>+</sup>] in the cerebral extracellular fluids to a small fraction of that occurring in blood [7].

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<u>Fig. 1.</u> Changes in base excess and base deficit (±BE) observed in stable acid-base disturbances of purely respiratory origin. The heavy line is least-squares regression of BE as a function of primary changes in  $Pa_{CO_2}$ . The slope ( $\lambda$ ) indicates that BE changes by 0.34 mM/1 with a primary change in  $Pa_{CO_2}$  of 1 torr. The thin lines are "iso-pH" lines of the plot of BE vs  $Pa_{CO_2}$  in blood: the renal compensation for respiratory alkalosis and acidosis is incomplete. Based on data compiled from references [4] [5] [8] [10] [17] [20] [21].

Fig. 2. Changes in  $Pa_{CO_2}$  observed in stable acid-base disturbances of "metabolic" origin. The heavy line is least-squares regress sion of  $Pa_{CO_2}$  as a function of primary changes in base excess and base deficit (±BE). The slope ( $\lambda$ ) indicates that  $Pa_{CO_2}$  changes by 1 torr with primary change in BE of 1 mM/1. Comparison with the slopes of the thin "iso-pH" lines shows that the respiratory compensation of stable metabolic acidosis and alkalosis is incomplete. Based on data compiled from references [1] [7] [9] [21].

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In conducting the research described in this report, the investigators adhered to the 'Guide for the Care and Use of Laboratory Animals,' as promulgated by the Committee on Revision of the Guide for Laboratory Animal Facilities and Care of the Institute of Laboratory Animal Resources, National Research Council.

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