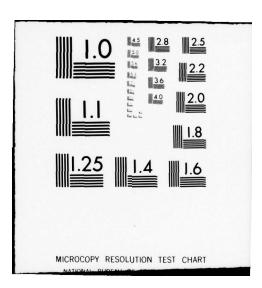
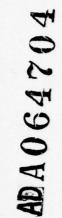
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## HOLE STATISTICS IN DENSE RANDOM PACKING

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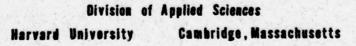


H. Frost

December 1978

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Office of Naval Research Contract N00014-77-C-0002 NR-039-136

## HOLE STATISTICS IN DENSE RANDOM PACKINGS

By

H.J. Frost

## Technical Report No. 6

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#### December 1978

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#### 1. INTRODUCTION

The first approximation to the structure of liquid metals or metallic glasses is a dense random packing of hard spheres. The structure was initially studied by J.D. Bernal<sup>1</sup> who characterized a mechanical model of single-sized spheres, arranged without any regular crystal structure. Other mechanical models<sup>2,3</sup> and computer-generated models<sup>4</sup> have also been studied. The connection between these models and the structure of metallic glasses has been reviewed by Cargill.<sup>5</sup>

Three approaches have been taken toward statistical characterization of dense random packings. The first is by radial distribution functions, measuring the number of pairs at various spacings. This procedure is attractive because it can be compared directly to the radial distribution functions of real materials, measured by x-ray techniques. The method is limited, however, to pairwise correlation, and it can give little information about how often a particular local arrangement of three or m =points might occur.

The second approach is to describe the average arrangement of spheres about a particular sphere. Scott<sup>3</sup> introduced this approach with a pole figure showing the average angular distribution of first shell neighbors. This same approach was used by Finney<sup>2</sup> when he reported the characteristics of Voronoi polyhedra (the polyhedron surrounding a sphere, bounded by faces which are perpendicular bisectors of the vectors to neighboring spheres).

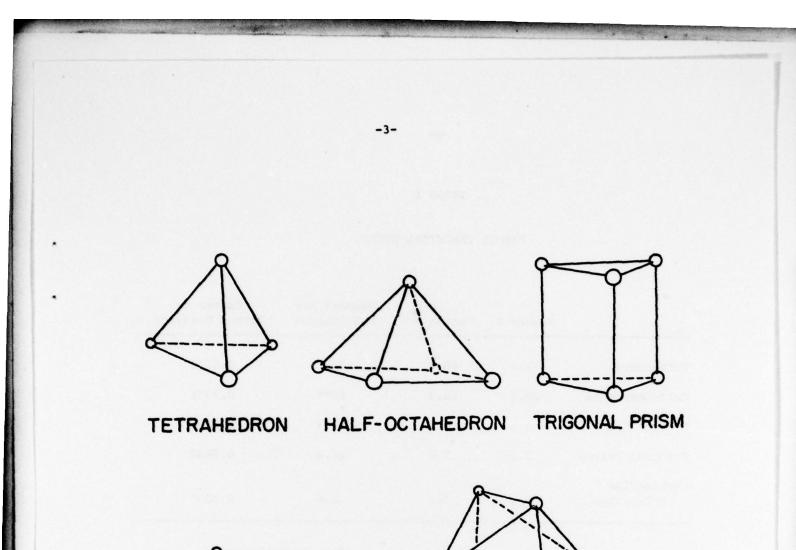
The third approach is to characterize the arrangments of spheres around the holes in between the spheres. That is to describe the various polyhedra formed with the sphere centers as corners. This was the approach used by Bernal and led to his five canonical holes. Recently, this approach was revived by E.J.W. Whittaker,<sup>6</sup> who re-examined Bernal's model and identified several shapes not mentioned by Bernal.

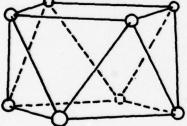
This paper reports a more extensive examination of this third approach, reporting statistics on the various holes between spheres. Bernal reported that almost all the volume could be divided into five canonical holes (allowing for some distortion): Tetrahedron, halfoctahedron, trigonal prism, Archimedian antiprism (or square antiprism) and tetragonal dodecahedron, shown in Figure 1. From inspection of a mechanical model of hard spheres, he reported the number percents and volume percents given in Table 1. With the known density, and assuming regular shapes, the number of shapes per 100 spheres can be calculated.

There has been some confusion regarding the shapes of these holes: Bernal used a trigonal prism with three square faces, and a square antiprism with two square faces (Figure 1). These shapes, however, are often pictured with the square faces capped with half-octahedra, as in Figure 2. (They are then deltahedra--polyhedra with triangles for all faces). Bernal also considered separate half-octahedra (Figure 1), not full octahedra (Figure 2). Some of his half-octahedra are parts of full octahedra; some are caps for trigonal prisms of square antiprisms.

An important point here is that in the actual packing, the square faces on trigonal prisms or antiprisms could be capped by other trigonal prisms or antiprisms. Thus, a structure based on the five small canonical holes can contain structures that are not simple deltahedra. In fact, we shall see that a dense random packing cannot be described as being completely made up of the five simple deltahedra with small distortions. Furthermore, it cannot be described by the five smaller Bernal canonical holes. Whittaker used the five Bernal canonical

-2-





ARCHIMEDIAN ANTIPRISM

TETRAGONAL DODECAHEDRON

# BERNAL'S CANONICAL HOLES

Figure 1. The five Bernal canonical holes.

## TABLE 1

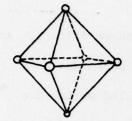
## BERNAL CANONICAL HOLES

	Number %	Volume 🕯	Number* per 100 Spheres	Center to Vertex Distance
Tetrahedra	73.0	48.4	292	0.6124
Half-Octahedra	20.3	26.9	40**	0.7071
Dodecahedra	3.1	14.8	12.4	0.6766
Trigonal Prisms	3.2	7.8	12.8	0.7638
Archimedian Antiprisms	0.4	2.1	1.6	0.8227

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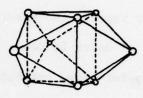
\*Calculated by Cargill.

\*\* Counted as full octahedra.

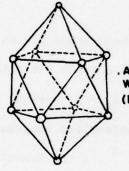


FULL OCTAHEDRON

-5-



TRIGONAL PRISM WITH HALF OCTAHEDRAL CAPS (14 FACES-9 CORNERS, (3,6,0))



. ARCHIMEDIAN ANTIPRISM WITH HALF OCTAHEDRAL CAPS (16 FACES -10 CORNERS, (2,8,0))

Figure 2. Deltahedra generated from the Bernal canonical holes.

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holes plus four other shapes to describe most of the cavities in the Bernal model. He also deduced what approximations Bernal must have used to get his statistics.

This paper reports the results of three different approaches to the statistics of holes in dense random packings:

1. Triangular-faced polyhedra, called deltahedra.

 Local arrangements, allowing three and four-edged faces.
 Several shapes in addition to Bernal's five must be used to describe the dense random packing completely.

3. Interstitial sphere sites. We have found how many small spheres of what size could be placed in the sites between the spheres.

These statistics have been determined using various computer programs for two different models of single-sized spheres; the Finney model of a mechanical packing of spheres,<sup>2</sup> and the Bennett computer generated array of spheres.<sup>4</sup>

#### 2. DESCRIPTION OF STRUCTURAL UNITS: DELTAHEDRA

A description of the structure in terms of triangular-faced polyhedra can be achieved in the following manner: Any two sphere centers within a chosen nearest-neighbor distance form an edge. Any three edges that join as a triangle form a face. And finally, each volume that is totally enclosed by faces describes a hole. If the nearest-neighbor distance is changed, the hole description of any particular region may change. In a large cluster, the numbers of the various types of holes depend on the choice of nearest-neighbor distance. If the distance is too small (e.g., less than 1.1 sphere diameters) there will be few faces defined, and only a few volumes enclosed, leaving most of the volume open. If the nearest-neighbor distance is chosen too large, it may lead to ambiguous descriptions of certain local arrangements. Using a distance greater than  $\sqrt{12/7} = 1.309307$ , the five points in Figure 3 may be described either as two tetrahedra base to base, or as a ring to three tetrahedra. This is the arrangement that allows interpenetration of tetrahedra at the minimum nearest-neighbor distance.

The possibility of interpenetration means that the deltahedra do not always provide a suitable unique description of an array of spheres. For example, the simple cubic structure provides no faces if the nearestneighbor distance is less than  $\sqrt{2}$  sphere diameters. If the neighbor distance is greater than  $\sqrt{2}$  sphere diameters, then all cube-face diagonals become edges, and the volume is filled with interpenetrating tetrahedra.

To avoid the complications of interpenetration, we should only consider nearest-neighbor distance less than  $\sqrt{12/7} = 1.309307$ .

Another difficulty with large nearest-neighbor distances is that certain shapes may be subdivided into less descriptive units. The interior distance through the tetragonal dodecahedron is 1.2892. At any larger neighbor distance, an undistorted dodecahedron is described as seven tetrahedra. We have used one larger distance, 1.30, at which we find slightly fewer dodecahedra than at the smaller neighbor distance 1.28.

Deltahedra may be catalogued according to the number of faces. The number of corners is constrained by the number of faces. Since we

-7-

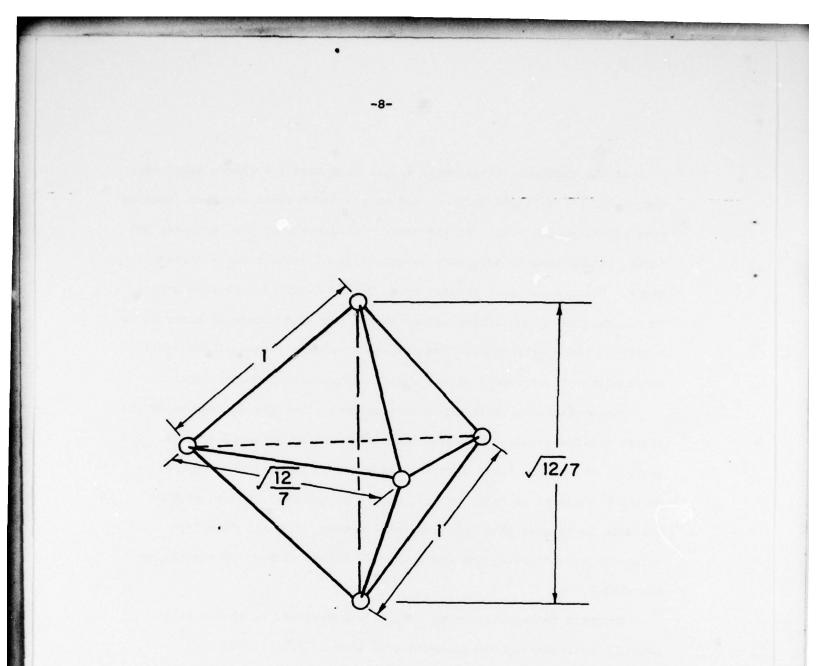


Figure 3. Arrangement showing the minimum nearest neighbor distance that allows for interpenetrating tetrahedra.

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consider both convex and concave shapes, our list is longer than previous listings of convex deltahedra. Our list does not include all possible deltahedra shapes, but concentrates on those that actually occur in dense random packings. For any given number of faces larger than 12, there are two or more topological shapes that occur in dense random packings. To identify them, we can use a set of three (or four) integers, which give the number of corners at which 4, 5, or 6 (or 7) faces meet. For examples, the tetragonal dodecahedron is (4,4,0). No large polyhedron can have a convex corner with only three faces, because that corner would be considered a separate tetrahedron. For some shapes with 16 or more faces, certain sets of corner numbers describe more than one shape. These are separately identified by the number of edges between 4-faced and 6-faced corners:  $N_{6-4}$ . This is adequate for shapes with 16 or 18 faces. Shapes with 20 or more faces have not been catalogued.

The deltahedra that are not based on Bernal canonical holes are shown in Figure 4. The following is a complete catalogue:

4 Faces - Tetrahedron

(6 Faces, 5 Corners: Described as two Tetrahedra.)

8 Faces, 6 Corners: Octahedron

10 Faces, 7 Corners: Pentagonal Bipyramid.

This was not one of Bernal's canonical holes because it is approximately a ring of five tetrahedra.

12 Faces, 8 Corners: The primary form is a canonical hole, the tetragonal dodecahedron, (4,4,0). Another form, the hexagonal bipyramid, (6,0,2) is possible for neighbor distances greater than  $2/\sqrt{3} = 1.1547$ . This form was never observed in dense random packings, so it may be neglected.

-9-

14 Faces, 9 Corners. Two shapes occur: the trigonal prism with octahedral caps (3,6,0) and an unnamed shape (4,4,1).

16 Faces, 10 Corners: Five shapes occur. The canonical shape, Archimedian antiprism with caps (2,8,0), is not the most common.

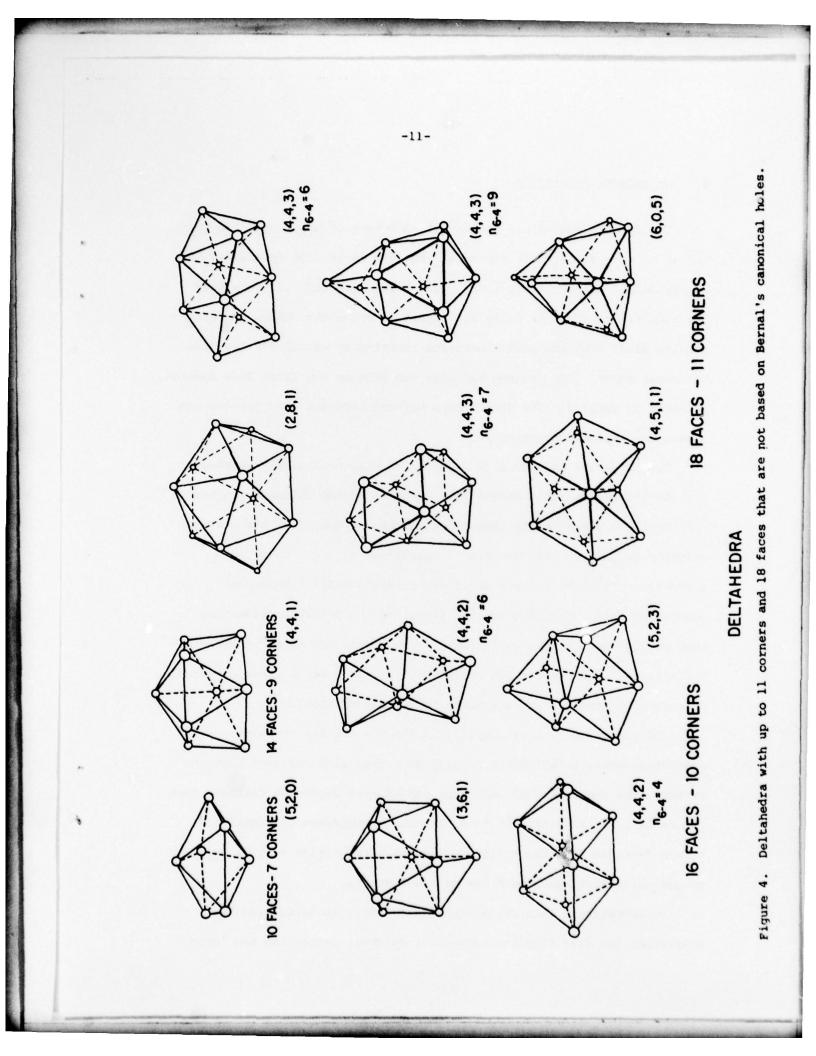
18 Faces, 11 Corners: At least six shapes occur, as shown in Figure 4. Other shapes are possible, but have not been found.

For 12 or more corners (20 or more faces) the topological forms become even more numerous, and haven't been catalogued. The structures we analyzed contained numerous holes with more than 20 faces. These holes are not open vacancies: no unit sphere could be placed inside them. They are flat or elongated regions where the packing is slightly more open.

The method described above does not require that all faces and all edges fit into the walls that divide the array into separate volumes. It is entirely possible to have an edge that is not part of any triangle of edges, and therefore does not border any face. Such edges are very unlikely to occur, for the larger neighbor distances, except on the surface of the cluster.

Similarly, it is entirely possible that a particular face is not joined to any other face on one, two, or even three of its edges. This is usually a surface effect, but also occurs in the interior, even at the larger neighbor distances. We found no examples of interior faces with three free edges, but interior faces with one free edge do occur. Such a face must necessarily occur within one of the larger holes.

-10-



#### 3. DELTAHEDRA STATISTICS

The numbers and volume percent of each type of hole depend on the choice of nearest neighbor distance. For the inner 1000 spheres of the Finney model, the distances 1.20, 1.25, 1.26, 1.28 and 1.30 were used. The results are given in Table 2. Figure 5a shows the volume percent results along with the volume percents reported by Bernal for his five canonical holes. The program was also run once on the inner 2000 spheres, as shown in Table 2. The differences between 1000 and 2000 spheres are within the expected counting errors.

These results and Bernal's results can only be directly compared for the tetrahedra and dodecahedra. Bernal's higher volume fractions for these two shapes might have three causes: A more flexible nearest neighbor definition, the effects of boundaries of the cluster, or differences between Finney's model and Bernal's model. Whittaker considered this and concluded that Bernal used a flexible definition that counted some shapes as tetrahedra that would not be so by stricter definitions. Whittaker's own analysis of Bernal's model gives comparable numbers of tetrahedra and octahedra to the Finney model.

The situation is more complicated for the Bennett computer generated model, in which the density decreases with distance from the center. The statistics of hole type should also depend on distance from the center. It is difficult to do an exact comparison of statistics versus distance from the center, because a large region must be examined to get valid statistics with low counting errors.

To demonstrate this variation with density, we calculated the statistics for five different spherical volumes, containing the inner

-12-

## TABLE 2

FINNEY	MODEL	DELTAHEDRA
--------	-------	------------

		Volume Percent									
Faces	3910	Inner 1000 Spheres									
races		Neighbor Distance									
	1.20	1.25	1.26	1.28	1.30	1.30					
4	19.01	29.08	30.70	35.30	40.30	40.24					
8	6.66	11.47	11.91	13.95	15.82	15.77					
10	0.29	0.59	0.58	1.04	1.37	1.34					
12	5.15	8.08	8.54	9.62	9.48	9.14					
14	0.49	2.14	2.71	3.40	3.88	3.75					
16	1.21	2.96	3.32	3.65	2.58	3.03					
18	1.84	4.35	4.28	3.76	2.47	2.52					
20	1.46	3.79	2.72	3.27	3.69	3.48					
>20	63.89	37.54	35.25	26.01	20.41	20.73					
		Number	per 100 Sp	heres							
4	121.4	182.4	192.0	219.7	249.6	249.5±3.5*					
8	10.7	18.2	18.8	21.9	24.7	24.9±1.1					
10	0.3	0.7	0.6	1.1	1.5	$1.5 \pm 0.27$					
12	4.5	7.0	7.3	8.2	7.9	7.7±0.6					
14	0.3	1.4	1.8	2.3	2.5	$2.5 \pm 0.35$					
16	0.7	1.7	1.9	2.1	1.4	$1.7 \pm 0.3$					
18	0.9	2.1	2.1	1.8	1.2	$1.2 \pm 0.24$					
20	0.6	1.6	1.2	1.4	1.6	$1.4 \pm 0.26$					

\* Errors given are counting errors,  $\sqrt{N}/20$ , where N is the number of observed in 2000 points.

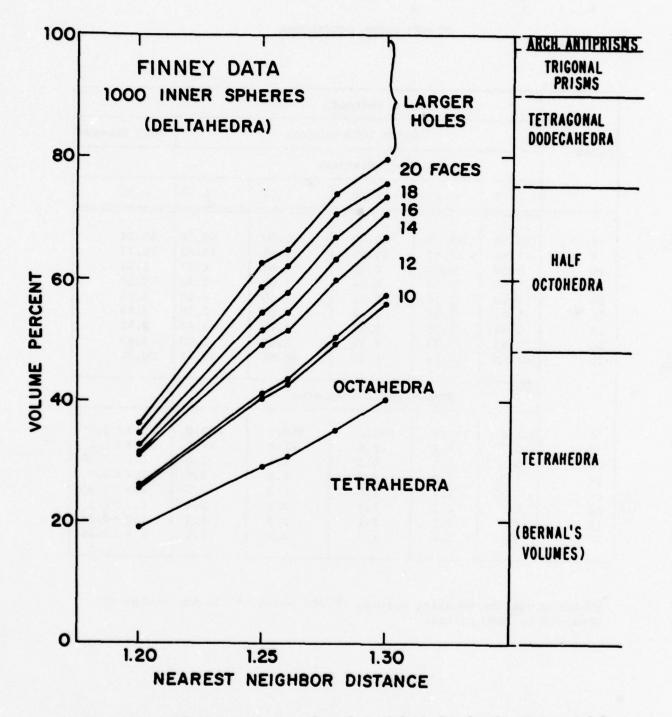


Figure 5a. Volume percentage of various deltahedra in the Finney model, versus nearest neighbor distance.

-14-

200, 348, 600, 100 and 1500 spheres. The results are presented as shells between these limits: i.e., the difference between the 200 sphere core and the 348 sphere core gives the 200-348 shell. Results for this are tabulated in Table 3 and plotted in Figure 6 for the nearest neighbor distance 1.30. For the inner 1000 spheres, the results for neighbor distances 1.20, 1.25, and 1.30 are given in Table 4 and plotted in Figure 5b. The reversal of the trend between the 200-348 shell and the 348-600 shell may be only a statistical fluctuation.

For both Bennett and Finney models the results according to topological shape are given in Table 5. The trigonal prism with caps is more common than the other 14-faced shape. The Archimedian antiprism with caps is rare; other 16-faced deltahedra are far more common. For most shapes, the counting errors are large because the total number of occurrences is small. The exact numbers of the less common shapes have little significance.

An interesting pattern emerges from these descriptions in terms of deltahedra. For large neighbor distances, the tetrahedra form more than 40% of the volume, and become one multiply-connected region that penetrates the entire volume. A program to find groups at tetrahedra connected face to face, finds one large group which includes most of the tetrahedra present. At the other extreme of small neighbor distance (1.20 or less) it appears that the unenclosed volume forms one multiplyconnected interpenetrating region in which the various deltahedra are embedded.

No systematic investigation has been done of the different arrangements of groups of tetrahedra that occur. It is relatively easy to count

-15-

	Neighbor Distance = 1.30									
	Volume Percent									
Faces	0-200	200-348	348-600	600-1000	1000-1500					
4	43.05	37.40	37.42	34.64	34.25					
8	12.38	11.65	12.18	10.97	11.49					
10	3.47	1.95	1.98	2.18	2.28					
12	9.08	10.64	7.92	8.29	5.08					
14	3.25	3.41	6.62	3.81	3.97					
16	4.07	1.92	4.31	3.64	3.76					
18	2.62	2.70	3.68	3.46	2.62					
20	1.42	2.09	2.11	2.88	3.13					
>20	20.76	28.25	23.79	30.15	33.42					

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## BENNETT MODEL DELTAHEDRA

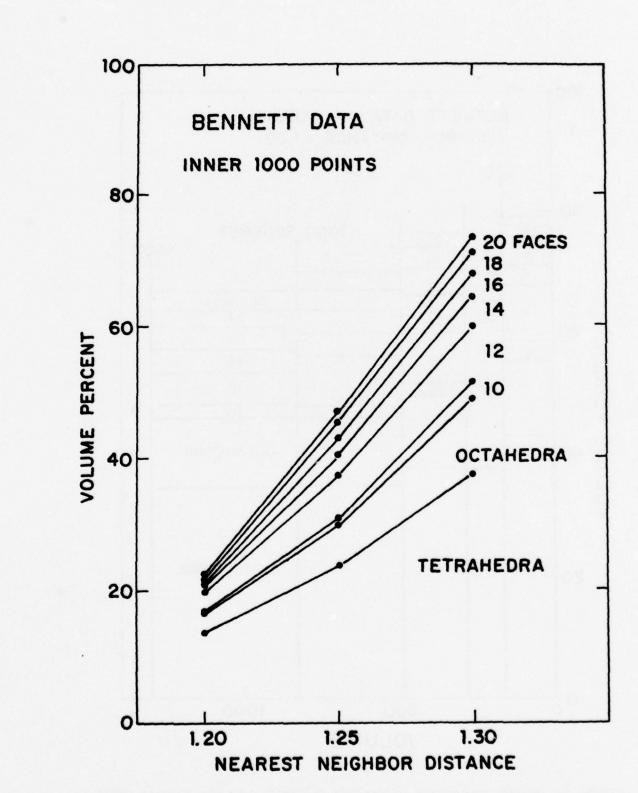


Figure 5b. Volume percentage of various deltahedra in the Bennett model inner 1000 spheres, versus nearest neighbor distance.

-17-

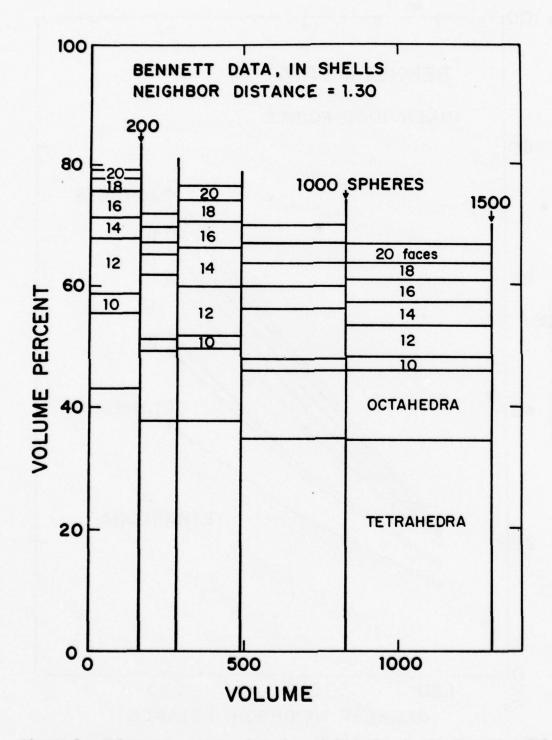


Figure 6. Volume percentage of various deltahedra in the Bennett model, for various shells, with the nearest neighbor distance = 1.30.

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-18-

## TABLE 4

	INNER 1000 SPHERES									
	Vo	lume Perce	nt	Number	Number per 100 Spheres					
Faces	1.20	1.25	1.30	1.20	1.25	1.30				
4	13.71	23.38	37.34	88.2	147.6	231.6				
8	2.71	6.17	11.61	4.4	9.8	18.1				
10	0.22	1.15	2.34	0.3	1.3	2.6				
12	2.83	6.39	8.68	2.5	5.6	7.4				
14	0.56	3.09	4.35	0.4	2.1	2.9				
16	0.92	2.69	3.64	0.6	1.6	2.1				
18	0.77	2.33	3.24	0.4	1.2	1.6				
20	0.41	1.49	2.28	0.2	0.7	1.0				
>20	77.88	53.32	26.53							

and the second

## BENNETT MODEL DELTAHEDRA

## DELTAHEDRA TOPOLOGICAL TYPES Number in inner 1000 spheres

	Corner		Finney Model			Bennett Model		
Faces	Numbers	<sup>N</sup> 6-4	1.20	1.25	1.30	1.20	1.25	1.30
	260				17.4	1.0	11 2	15.0
14	3,6,0 4,4,1		2.2	11.2 3.0	7.9	1.0 3.0	11.2 9.9	14.3
16	2,8,0			1.1	1.3			
	3,6,1			2.0	1.7		2.2	3.3
	4,4,2	6	1.5	8.0	9.0	3.7	12.0	12.6
	5,2,3		3.0	1.0	1.0	2.0	0.2	4.0
	4,4,2	4	3.0	4.0	1.3		1.5	1.0
18	2,8,1		1.0	1.0	2.0			
	4,4,3	6	0.9	4.1	1.6		2.8	4.9
	4,4,3	7	7.2	11.7	6.2	2.8	7.9	5.8
	4,4,3	9		1.6	0.9	1.0		
1	6,0,5			1.0				1.0
	4,5,1,1			2.0	1.0		1.0	4.4
	5,3,2,1							0.05

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the rings of five tetrahedra around one edge. With a nearest neighbor distance of 1.20, the Finney model has 61.9 rings ( $\pm$  8.8 counting error) per 1000 spheres.

#### 4. DESCRIPTION OF STRUCTURAL UNITS: LOCAL ARRANGEMENTS

The previous sections demonstrate that the description in terms of deltahedra does not provide a good description of the local arrangements of spheres; it is not a convenient replacement for Bernal's original description. Too much of the volume is in the large complicated deltahedra. For local arrangement description it is necessary to use shapes with square (four-edged) faces. Three of Bernal's shapes have square faces: square antiprism, trigonal prism and half-octahedron. These can be joined square face to square face to produce large deltahedra. (The square faces need not be capped with half-octahedra). However, most of the deltahedra with 16 or more faces cannot be produced from trigonal prisms, square antiprisms and half-octahedra. Several other distinct local arrangements are required. If we limit the nearest neighbor distance to  $\sqrt{12/7}$  or less, we can identify several different local arrangements (in the dense random packing) that have no large interior space and are not topologically equivalent to any of the Bernal holes. Whittaker discovered several of them, but did not propose any exhaustive catalogues of shapes. He reports about 10 miscellaneous unspecified shapes per 100 spheres.

A new catalogue of possible shapes can be prepared allowing faces with three or four edges, but not with five edges. (The "four-edged faces" may be non-planar, in general, and are therefore not called squares

-21-

or quadralaterals.) This is similar to Whittaker's approach, but here includes several additional shapes he did not mention. We may first consider only those shapes that cannot be divided into other shapes on the list. All such irreducible shapes with up to eight points are shown in Figure 7. They are:

4 Points: Tetrahedron

5 Points: Half Octahedron

Dihedron (Whittaker's Andalusohedron) Pentatope (Whittaker's Oblate Trigonal Bipyramid)

6 Points: Trigonal Prism

7 Points: Diploid Heptatope (also called Seven Point Bisymmetric) Trigonal Heptatope (identified by Whittaker) (also called Seven Point Trisymmetric)

8 Points: Archimedian Antiprism

Antiprism missing one side edge

Antiprism missing two side edges:

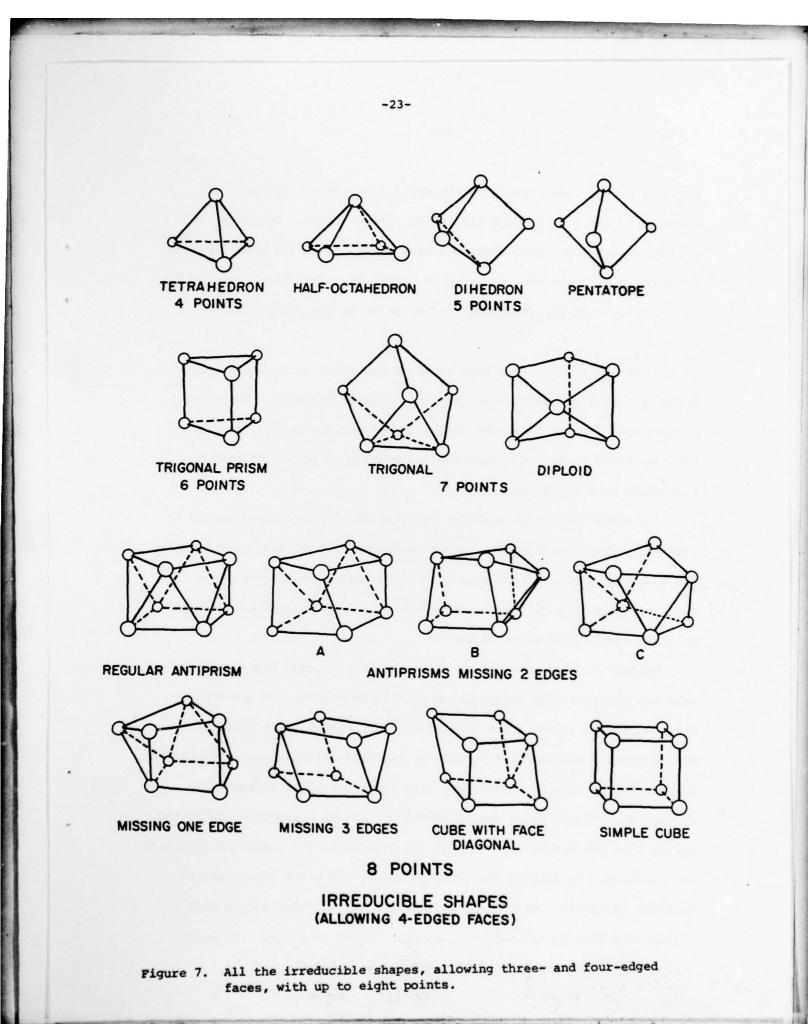
a. with one intervening edge

b. with two intervening edges

c. on opposite sides

Antiprism missing three edges Cube with one face diagonal Cube

There are at least 28 topologically distinct arrangements of nine points with 3- and 4-edged faces. Some of them are shown in Figure 9. Many of them may be thought of as being square antiprisms, with one halfoctahedral cap, missing one or more edges. There are two sorts of edges on an antiprism: base or top, and side. Removing one side edge creates only a four-edged face, so the shape created remains an eight-point shape.

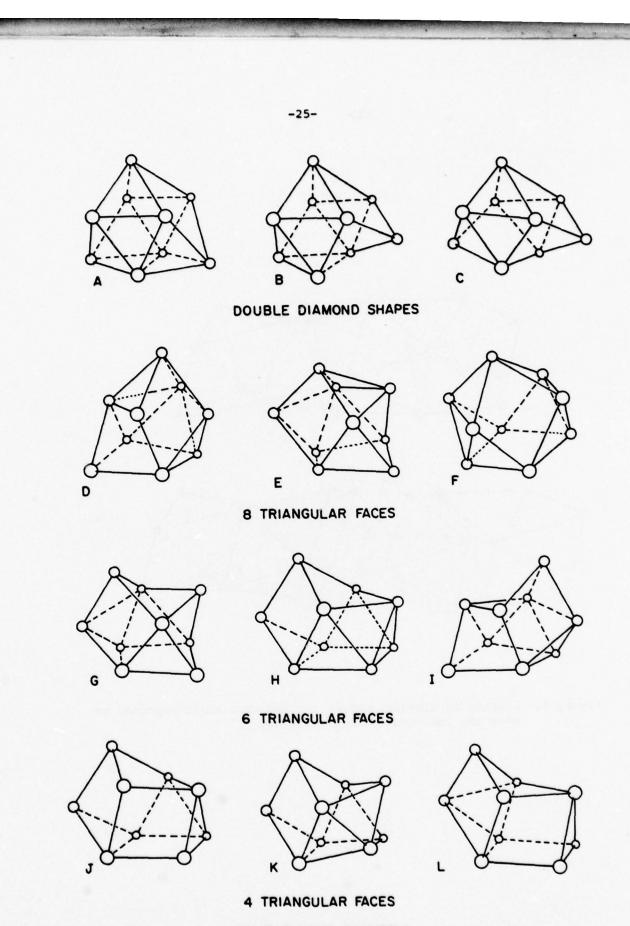


Removing a base edge creates a five-edged face and a ninth point is required to describe a shape limited to 4-edged faces. Removing two adjoining side edges also creates a five-edged face. The shape produced by removing one base edge is shown in Figure 8a. The inner seven points form a shape with two five-edged faces, which we may call a Double Diamond.

There are 12 different ways to break two edges of an antiprism. Three ways produce eight points figures shown in Figure 7. Two ways involve breaking one base edge on top and one on the bottom, creating two five-edged faces, and requiring two additional points to meet the four-edged face requirement.

The above list of shapes does not include all the shapes needed to completely describe the dense random packing. We have made no attempt to catalogue the irreducible shapes with 10 or more points. Even with the neighbor distance 1.30, there are several sites that require 10 or more points to avoid five-edged faces.

Whittaker noted the importance of the five pointed shape which we call the dihedron (his Andalusohedron). It is common, and may occur in many combinations with other dihedra or other shapes. For instance, we may describe a pentagonal bipyramid as two half-octahedra and one dihedron. Dihedra may be stacked together to form large tube-like deltahedra (Figure 9). The dihedron can be formed with equal distances between the points that are not neighbors. All the dihedra in the stack can therefore be identical. By varying the stacking order, the stack can be either straight or curved. This large deltahedron has no interstitial site larger than that of the single dihedron: center to vertex = 0.69422 (for the equal-diagonal dihedron).



NINE POINT SHAPES

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Figure 8. Some irreducible shapes with nine points.

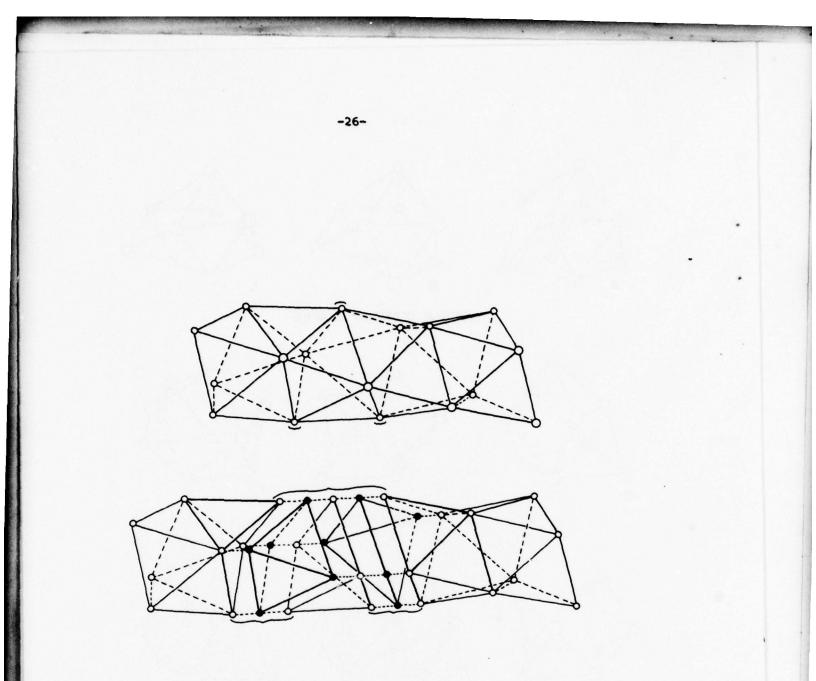


Figure 9. A stack of dihedra, above; and the same stack expanded to show the individual dihedra, below.

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All the different shapes may occur with considerable distortion. Figure 10 shows four shapes in extremes that maintain unit edges and symmetry.

In addition to the irreducible shapes, it is interesting to find the statistics for a few other shapes that are reducible but distinct. The list is given in Table 6. All these shapes have intersecting four-edged faces. The octahedron has three. The five other reducible six-point shapes can be thought of as octahedra missing between one and four edges; with two intersecting four-edged faces. This provides a choice of which four-edged face to use to reduce the shape. For all shapes but one the different division choices yield the same types of irreducible shapes. The exception is the Double Dihedron connected, which can be divided into two dihedra, or into a pentatope and a half-octahedron. This difference leads to an ambiguity about the number of pentatopes, dihedra, and halfoctahedra present. Fortunately, this shape is rare, so the ambiguity does not seriously affect the statistics observed. Although Whittaker did not discuss this shape, he appears to have found the half-octahedra before searching for dihedra, and would therefore count the shape as a half-octahedron and a pentacope. The four dihedron variations cannot be constructed with all unit length edges and a neighbor distance of  $\sqrt{12/7}$ because they would have extra edges and become other shapes.

To correlate local arrangements with deltahedra, Table 7 gives the local shapes that make up various deltahedra with up to 18 faces. Three dihedron variations on which statistics were gathered are shown in Figure 11.

-27-

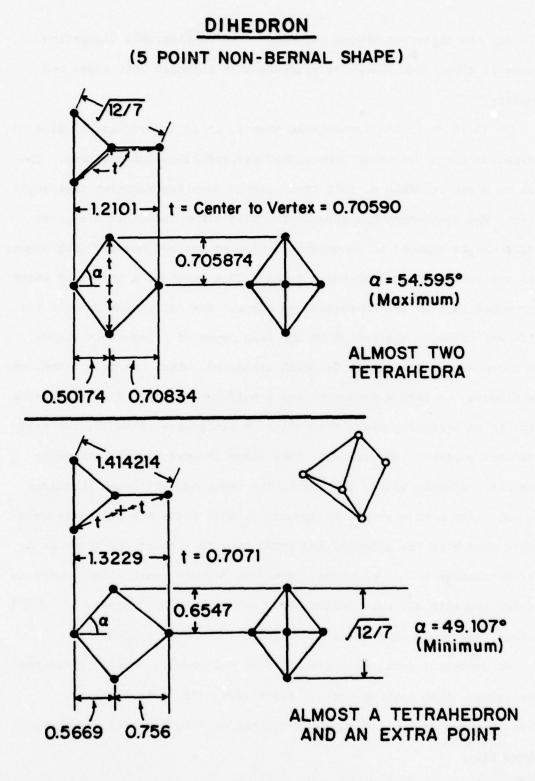


Figure 10a. Simple dihedron, the five-point non-Bernal shape, shown in two extremes that maintain unit edges and symmetry.

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# SEVEN POINT BISYMMETRIC

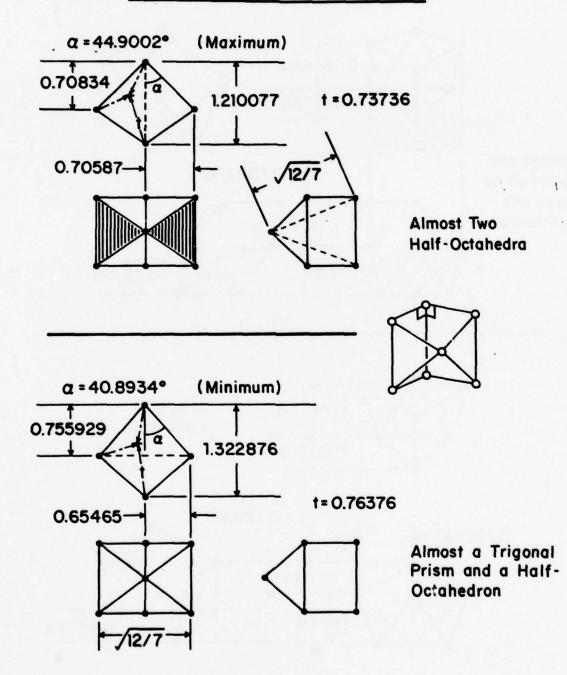


Figure 10b. Bisymmetric Seven-Point shape, shown in two extremes that maintain unit edges and symmetry (called a diploid heptatope in the text).

-29-

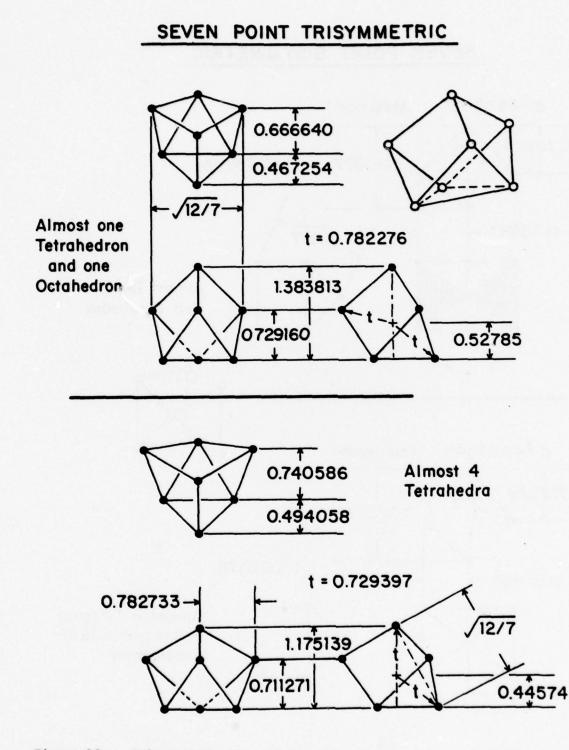


Figure 10c. Trisymmetric Seven-Point shape, shown in two extremes that maintain unit edges and symmetry (called a trigonal heptatope in the text).

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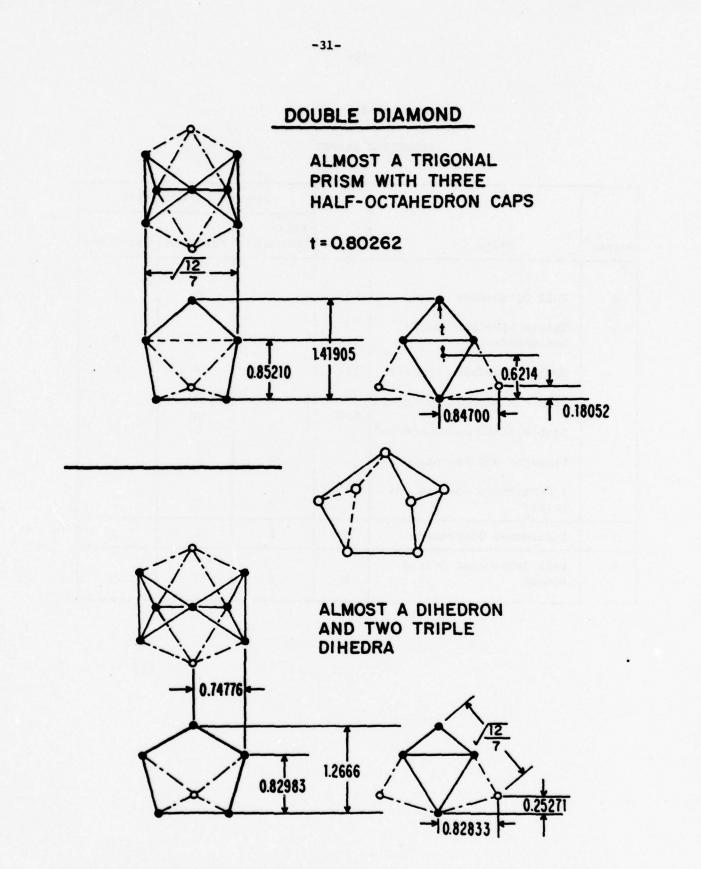


Figure 10d. Double Diamond shape, shown with two auxiliary points, in two extremes that maintain unit edges, symmetry and auxiliary point connections.

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# TABLE 6

# REDUCIBLE SHAPES

	CTAREFRICK CAPS		Results of Reduction				
Points	Shape	Figure	Half- Octahedra	Dihedron	Pentatope		
6	Full Octahedron	2	2	0	0		
	Triple Dihedron (Half- Dodecahedron)	11A	1	1	0		
	Double Dihedron, opposed	11B	0	2	0		
1.4	Double Dihedron, connected	110	1	0 or	1		
	Double Dihedron, connected	and some set	1 0	2	0		
	Dihedron and Pentatope		0	1	1		
	2 Pentatopes sharing end points		0	o	2		
7	Pentagonal Bipyramid	4A	2	1	0		
8	Full Tetragonal Dodeca- hedron	2	2	2	0		

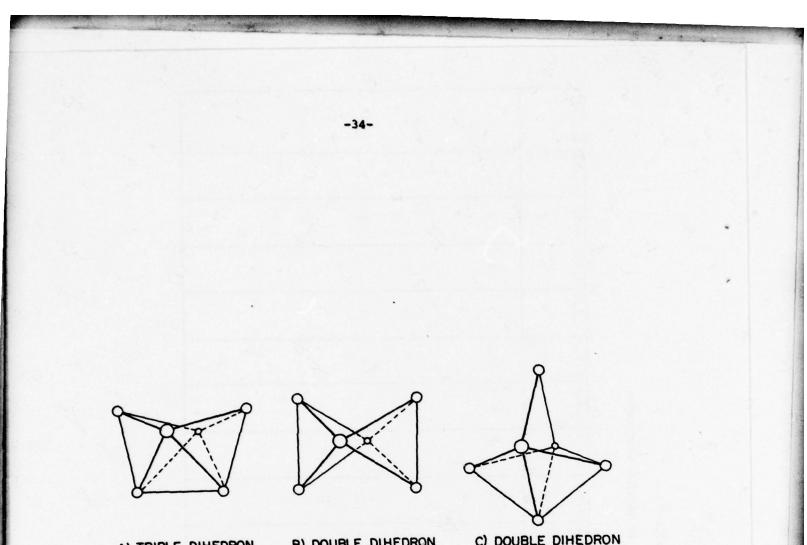
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LOCAL ARRANGEMENTS IN VARIOUS DELTAHEDRA

i	1						-33	-					-
	4,4,3 6,0,5 4,5,1,1					m		2					
	6,0,5						-	m					
		5	7					1				-	
18 Faces	4,4,3	*	4								1		
-	4,4,3	٢	3	1		-		-					
	4,4,2 5,2,3 2,8,1 4,4,3 4,4,3 4,4,3	9				e		2					
	2,8,1		7							2			-
	5,2,3							7	-				
	4,4,2	9	2	1		*		1					
	4,4,2	••				7		2					
16 Faces	3,6,1 4,4,2	4						3		1			
	3,6,1		e									T	
	2,8,0		2		I								
aces	4,4,1					1		~					
14 Paces	3,6,0		3	1									
12	4,4,0							8					
Deltahedra:		Local Arrangements N <sub>6-4</sub>	Half Octahedron	Trigonal Prism	Archimedian Anti- prism	Single Dihedra	Pentatope	Triple Dihedra	Double Dihedra (connected)	Double Dihedra (opposed)	Seven-Point Bi- symmetric	Seven-Point Tri- symmetric	NUCINETA aTO

\* Not found, nor shown in Figure

TABLE 7



A) TRIPLE DIHEDRON

B) DOUBLE DIHEDRON

(opposed)

C) DOUBLE DIHEDRON (connected)

Figure 11. Variants of the dihedron.

- a. Triple Dihedron
- b. Double Dihedron (opposed)c. Double Dihedron (connected)

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## 5. LOCAL ARRANGEMENT STATISTICS

The numbers of each local arrangement were found for the Finney model and the Bennett model for neighbor distances of 1.20 and 1.30. Examples of all possible irreducible shapes with eight or fewer points were discovered. For the irreducible shape statistics, a double dihedron connected is counted as a half-octahedron and a pentatope. The results are reported in Table 8 as the number of shapes per 100 spheres. Volume statistics were not calculated because of the ambiguity of volume for shapes with non-planar four-edged faces. Instead of fractional volume, therefore, the fractional number of points inside the given cluster boundary was used as the fractional contribution to the total statistics reported. For the various nine point figures, the total number of each type discovered is given in Table 9.

The first 12 shapes in Table 8 were found by various programs that found all occurrences of that shape. To find shapes with nine or more points, we resorted to investigating the largest interstitial sites within 8.0 sphere diameters of the center: the largest 400 sites for the Finney model, the largest 200 for the Bennett model. This procedure might miss some occurrences of the shapes. The statistics for large shapes at 1.20 neighbor distance are only approximate. We believe that we have found all or nearly all the shapes at neighbor distance 1.30. We have probably missed a few shapes at 1.20. The statistics in Table 8 for the Bennett model are for the inner 1000 spheres. With minor exceptions, no other sample size is reported. The statistics should vary with cluster radius, as the deltahedra statistics do, because the density varies with radius. For the Finney model, various sample sizes were used, because presumably the structure does not depend on radius.

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TABLE 8

# LOCAL ARRANGEMENT STATISTICS (shapes per 100 spheres)

Irreducible Shapes:	Bernal (Whittaker) 1.20	Finney 1.20	Bennett (Inner 1000) 1.20	Finney (J 1.30	Bennett (Inner 1000) 1.30
Tetrahedra	116 ± 4	121.4 ±3.5*	88.2 ±3.0	249.6 ±5.0*	231.6±4.8**
Half-Octahedra (All)	61	85.6	70.9	100.6	97.9
Dihedra (All) (Andalusohedra)	110	98.0	115.6	57.1	72.2
Pentatopes (All) (Oblate Trigonal Bipyramids	5±1	9.7 ±0.98	14.3 ±1.2	0.4 ±0.3	2.34±0.48
Trigonal Prisms	12±2	12.7 ±1.1	18.7 ±1.4	5.3 ±1 <sup>+</sup>	9.8 ±1.0
Trigonal Heptatopes	4±1	3.24±0.57	4.37±0.66	1.57±0.56	2.6 ± 0.6
Diploid Heptatopes	Not reported	1.30±0.36	5.3 ±0.73	0.38±0.12 <sup>++</sup>	0.8 ± 0.3
Archimedian (square) Antiprisms	0~	0.2 ±0.1	0.55±0.23	0.35±0.12	0.5 ±0.2
Antiprisms missing one edge	-	1.62±0.4	1.46±0.38	0.3 ±0.2 ++	
Antiprisms missing two edges: a		0.78±0.28	1.89±0.43	0.08±0.05	0.12±0.07
2 0	~10	0.1 ±0.1	0.1 ±0.1	0	0.12±0.07++
Antiprisms missing three edges		0.04±0.04	o-	0.04±0.04	0?
Cube with Face Diagonal		0.08±0.08	0.2 ±0.1	0.04±0.04	<b>?</b>
Simple Cube		++*** 0		+0,0100 0	0
Nine-Point Shapes More than Nine Point Shapes		4.54±0.42++	2.1 ±0.5	1.04±0.20++	0.2

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	Bernal	Finney	Bennet	Finney	Bennett
	(Whittaker)		(Inner 1000)		(Inner 1000)
Other Shapes:	1.20	1.20	1.20	1.30	1.30
Full Octahedra	7 ± 1	10.7 ±1.03*	4.39±0.66	24.7 ±1.6*	18.1 ±1.35**
Isolated Half Octahedra	27±2	27.3 ±1.65	28.6 ±1.69	18.4 ±1.9	20.1 ±1.4
Full Dodecahedra	3±0.4	4.53±0.67 <sup>*</sup>	2.50±0.5**	7.9 ±0.9*	7.4 ±0.9
Isolated Triple Dihedra (Half-Docahedra)	24 ± 2	23.6 <sup>†</sup>	22.2 <sup>†</sup>	14.2 <sup>†</sup>	21.0 <sup>†</sup>
All Triple Dihedra	30 <sup>†</sup>	32.7 ±1.8	27.2 ±1.65	30.0 ±2.4+	35.8 ±1.9
Isolated Dihedra	Not reported	58.9 ±2.4	83.4 ±2.89	25.0 ±2.2	36.3 ±1.9
Dihedra except those in Triple Dihedra	80±3	65.3	88.4	27.1	39.5
Double Dihedra Connected	Not reported	3.55±0.6	5.68±0.75	0.17±0.1	1.25±0.35
Double Dihedra Opposed	Not reported	3.02±0.55	2.35±0.48	0.43±0.3+	0.63±0.25
Pentagonal Bipyramids	Not reported	0.4 ±0.2	0.29±0.17	1.29±0.5	2.44±0.49
Double Diamonds	Not reported	2.39±0.49	1.86±0.43	1.77±0.6	0.5 ±0.2

NOTES:

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\* Deltahedra numbers, Table 2

\*\* Deltahedra, inner 1000 spheres, Table 4

<sup>+</sup>Inner 500 spheres

++ Inner 2600 spheres

t Calculated from: All Triple Dihedra - 2 × Full Dodecahedra

tCalculated from: Isolated Half-Dodecahedra + 2 × Full Dodecahedra

# TABLE 9

# NINE-POINT SHAPES Total Number Discovered (Inner 2600 Spheres)

Shape (Figure 8)	Finney 1.20	Bennett 1.20	Finney 1.30	Bennett 1.30
A	6	0	9	4
В	4	2	7	5
c	5	4	0	1
D	3	0	1	0
E	5	4	1	3
F	2	0	2	0
G	2	1	1	0
H	4	2	1	1
I	2	0	1	0
J	4	4	1	1
к	1	3	0	0
L	0	1	0	0
Others not in Figure 8	2	o	o	0

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There are some unexpected differences between our results on the Finney model and Whittaker's results on the Bernal model. We originally expected these two models to be almost identical because they appear to be similarly constructed. The statistically significant differences are in pentatopes, (Bernal  $5 \pm 1$ , Finney  $9.7 \pm 1.0$ ) and full octahedra (Bernal  $7 \pm 1$ , Finney 10.66  $\pm 1.03$ ). The two models differ in other statistics but not by much more than the expected counting errors. These differences introduce the intriguing possibility that not all mechanical hard-sphere dense random packings are identical. This study sheds little light on how the two models might be different. Perhaps the surface effects penetrate a significant distance into the cluster, which affected the Bernal core more than the Finney core. Perhaps a mechanical model changes its statistics when deformed or thoroughly shaken. We did expect differences between the Finney and Bennett models because they were differently constructed. The significant differences are that the Bennett model has fewer tetrahedra and complete octahedra, and more dihedra, pentatopes, and trigonal prisms. At a neighbor distance of 1.20, the Bennett model has more diploid heptatopes.

## 6. INTERSTITIAL SPHERE SIZES

Another approach to the describing the holes between spheres of a dense random packing is to enumerate how many interstitial spheres of what size could be placed in the holes between the unit spheres. Polk<sup>7</sup> speculated that the structure of metal-metalloid glasses may have the metal atoms in a dense random packing, with the metalloid atoms at the interstitial sites of the larger Bernal holes (trigonal prisms and

-39-

Archimedian antiprisms). Polk and Cargill point out that the regular Bernal shapes are not large enough for the metalloids, but the Bernal shapes are distorted in the actual dense random packing, and other shapes also occur. We shall find that the distortions do not increase the size of the larger interstitial sites.

We must be careful in defining our interstitial site statistics. Any four non-coplanar points (unit sphere centers) define a sphere. If no other point is inside that sphere, then that sphere represents an interstitial site. A small sphere could be placed there that would touch each of the four unit spheres and none others. It is possible, however, to arrange the unit spheres in such a way that one small interstitial sphere would overlap with a neighboring small interstitial sphere. It is unreasonable to count both such interstitial sites in the statistics. If only two overlap, then we should take the larger and neglect the smaller. It would be possible, though more difficult, to redefine the smaller site, as a still smaller site for a sphere that just touches three unit spheres and the larger interstitial sphere. This has not been attempted. If three sites overlap, with the center the largest, but the other two not overlapping each other, then we must choose between the maximum number of sites (using the two smaller) and the maximum size of sites (the one largest). We have chosen the latter.

The approach used was to find all interstitial sites, sort them by size, and then eliminate overlap from the largest down. That is, choose the largest unchosen site and eliminate from the remaining (unchosen) list any site that it overlapped.

-40-

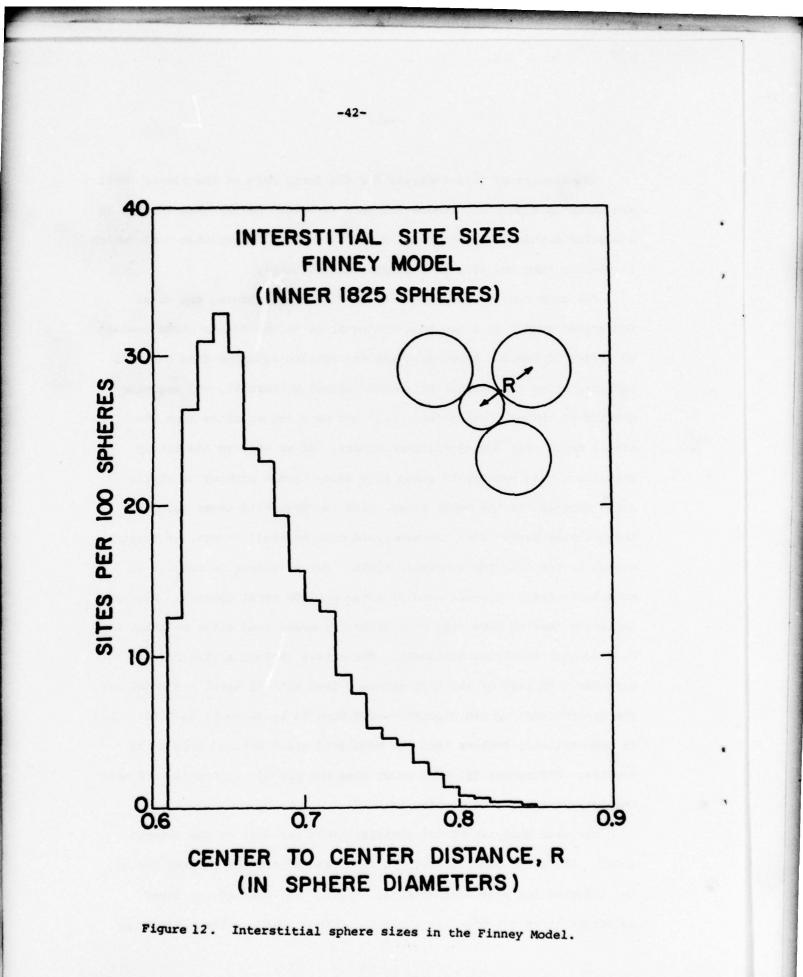
The results of this analysis for the inner core of the Finney Model are shown in Figure 12. There are very few sites larger than the one in a regular Archimedian antiprism, 0.8227. None is larger than 0.85, which is smaller than the site in a simple cube (0.8660).

The same results are replotted in Figure 13, showing the total integrated number of sites that are equal or larger than a given center to vertex distance. Also shown are the results obtained from Bernal's numbers, using the numbers of shapes derived by Cargill, and assuming undeformed shapes. The actual array has more larger sites than the simple model, but not significantly more. If we were to adequately describe a metal-metalloid glass as a dense random packing of singlesized spheres for the metal atoms, with the metalloid atoms occupying interstitial sites, then the metalloid must be small enough, or scarce enough to fit into the available sites. For a typical 80 metal - 20 metalloid glass, we would need 25 sites per 100 metal spheres. Figure 13 indicates that to have that many sites, we would need sites as small as 0.74 (center to vertex distance). The sphere in such a site has a diameter 0.48 that of the unit sphere. Even with 91 metal - 9 metalloid, the interstitial sphere diameter would have to be as small as 0.54. This is substantially smaller than the metalloid sizes derived from X-ray studies. Unfortunately, this study does not provide information of what the correct metal atom packing is.

The same analysis of interstitial sites was done on the Bennett Model. Since the density varies with distance from the cluster center, the interstitial site statistics also vary. As expected, at lower densities there are more large sites and fewer small sites. Figure 14

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-41-



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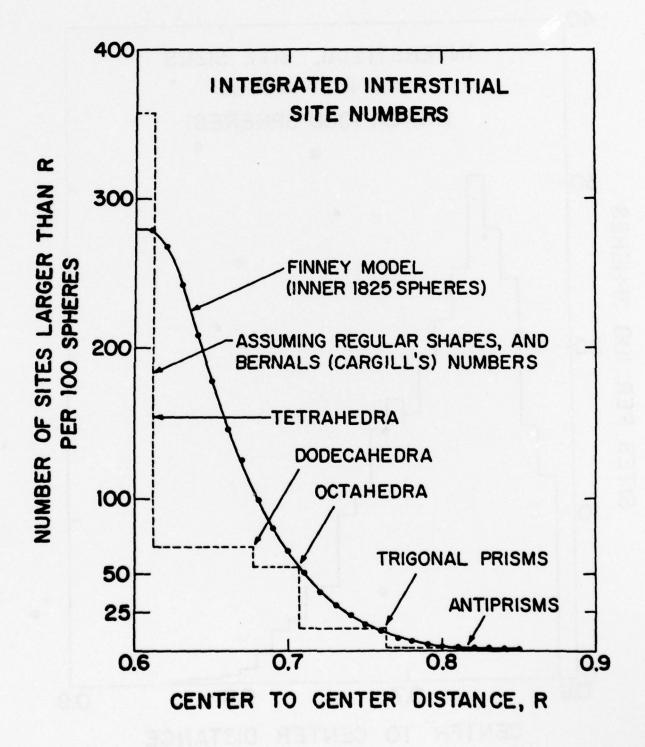
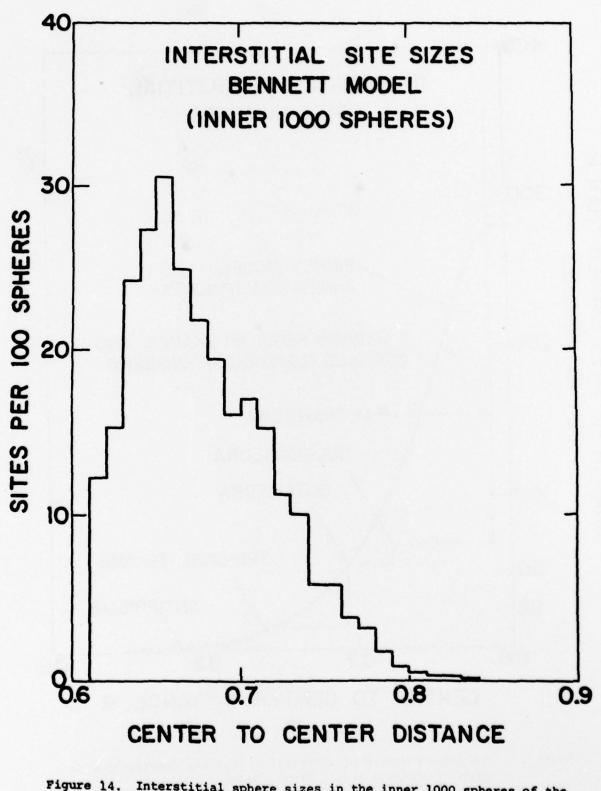


Figure 13. Integrated number of interstitial spheres greater than or equal to various sizes, Finney Model.

-43-



re 14. Interstitial sphere sizes in the inner 1000 spheres of the Bennett Model.

-44-

gives the results for the inner 1000 spheres. The average density of this cluster is about the same as the Finney Model, and the site statistics are about the same also.

## 7. CONCLUSIONS

Two different models of a dense random packing of the hard spheres have been analyzed in terms of the polyhedral holes between spheres: The Finney mechanical model and the Bennett computer generated model. An accurate and unambiguous description of the structure requires more different structural units than Bernal<sup>1</sup> originally proposed. A description in terms of deltahedra (triangular-faced polyhedra) yields a significant volume fraction of large and complicated shapes.

The packings were also described in terms of local arrangements allowing three and four-edged faces. All such shapes with up to nine points were considered, including those used by Whittaker<sup>6</sup> to describe Bernals model, plus several others. Although there are occasional sites that must be described with shapes of 10 or more points, the local arrangement list provides a manageable way to describe most of the volume.

Both models were also analyzed to find the number and size of the small spheres that could be placed at the interstitial sites. The results confirm that there are not enough large sites in the packing to allow a model of a metal-metalloid glass to be constructed simply by inserting small spheres into the interstices.

-45-

# ACKNOWLEDGEMENTS

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#### APPENDIX: COMPUTING PROCEDURES

## A. Deltahedra

The computer program used to determine the deltahedra is straightforward, but not trivial. First, all nearest neighbor pairs were found, each pair becoming a numbered edge. Then all edges were searched to find all groups of three in a triangle, which become numbered faces. It is necessary to cross-reference which edges border each face, and which points and which faces border a given edge. All faces are then searched to identify all groups of four forming a tetrahedron. A more sophisticated search then identifies all the larger holes. It requires keeping track of which side of each face is being searched, using the numbers of the three points of the face to define a right-handed and a left-handed side. The search proceeds from face to face, choosing the next face as that which forms the smallest interior angle about the joining edge. This search continues for a given hole until there remain no edges of the faces in the hole that are not connections to other faces in the hole. Special provision must be made to identify interior faces that have free edges.

The exterior of the cluster is treated by this program as one very large hole, which is excluded from the statistics.

To get good statistics on the volume fractions of the various types of holes one must avoid surface effects and consider only the holes and volume within an inner core (usually 1000 spheres). The volume of each hole that was within this inner cluster boundary sphere was used for the volume fraction calculation. For the holes that crossed the boundary

-47-

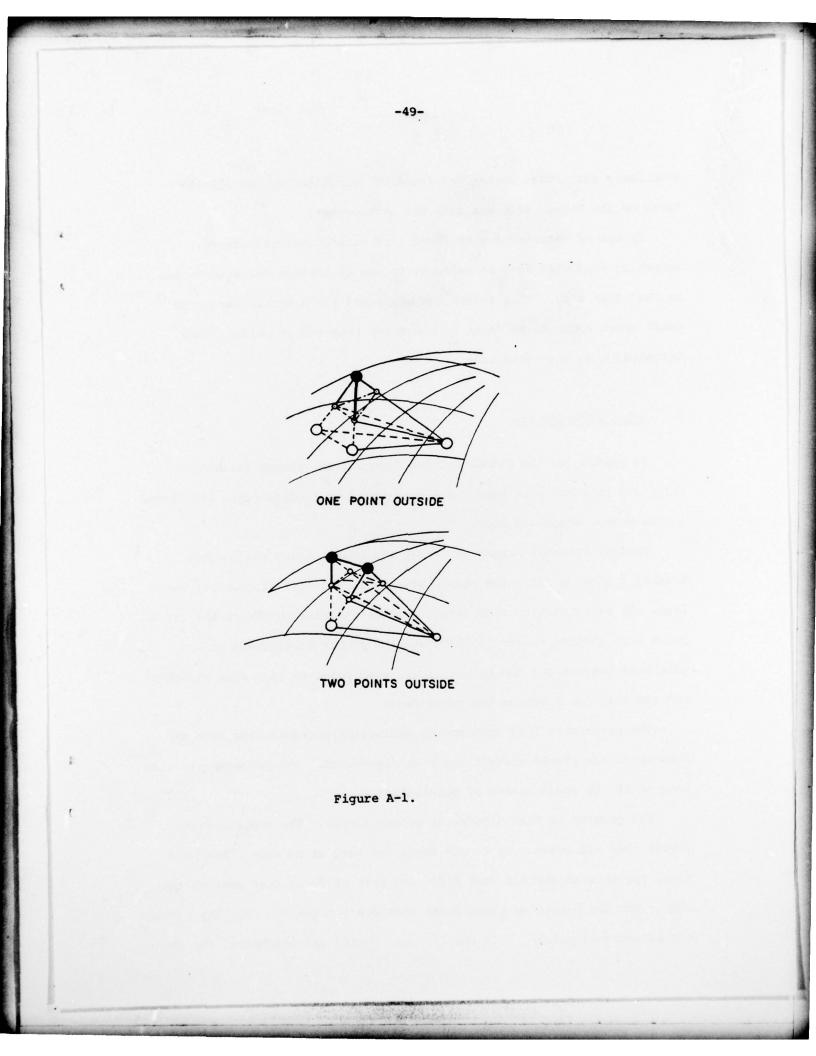
sphere, an approximate calculation of the fraction of volume within the boundary sphere was done.

The volume of each hole was calculated as a group of tetrahedra with the common vertex being the vertex closest to the origin. Each tetrahedra was truncated by its intersection with the boundary sphere. The truncated faces were considered to be flat, not rounded like the boundary sphere. There are four possible cases here: One, two, three or none of the three points of the face outside the boundary sphere. With none outside the whole tetrahedron is used. When all three are outside the truncated face is a triangle and used directly. If one or two points are outside the truncation forms two faces: a triangle and a quadrilateral as shown in Figure A-1.

This approximate volume calculation at the cluster boundary introduces a small systematic error. If all the volume of the cluster were assigned to different deltahedra, the total volume recorded would not be that of the sphere, but of a many-faced polyhedron inscribed inside that sphere. The volume percentages were calculated using the volume of the cluster sphere, not the inscribed polyhedron, and are therefore slightly lower than an exact calculation. The volume of the inscribed polyhedron is usually not known, because not all volume within the cluster boundary is assigned to deltahedra, even when all spheres are considered out to 2.5 sphere diameters beyond the cluster boundary. These errors are small compared to the statistical counting errors involved, which are shown for 2000 points.

For all deltahedra with eleven or fewer corners, a subroutine was used to find the particular topological type. The number of faces

-48-



touching a particular corner was found by searching through all the faces on the hole. This was done for each corner.

Groups of tetrahedra were found in a straightforward manner, searching each face on a tetrahedron to see if another tetrahedron was on the other side. This search was continued for a particular group until there remained no faces in the group that had an unidentified tetrahedron on the other side.

## B. Local Arrangements

To search for the Bernal and non-Bernal local shapes various different programs were used. All were based on finding edges and faces in the manner described above.

To find trigonal prisms, an array was used telling which faces touched a given point. The search started through all faces. For each face, all faces that touched each of the neighboring points of the first point were checked to see if there was the proper arrangement of neighbors between the two faces: i.e., each point on each face neighbors one and only one point on the other face.

The program to find Archimedian antiprisms searched from face to face until the proper circuit had been discovered. The procedure is slow because of the great number of possibilities.

The program to find dihedra is rather simple. The program first looped over all edges. Up to six faces may meet at an edge. For each edge, the program checked each different pair of faces that meet at that edge. The two points on those faces that don't touch the edge are picked out as extremal points. (If the extremal points are neighbors, the shape

is a tetrahedron, and that pair of faces can be neglected.) All points that neighbor both extremal points are then found. (Two of these are the ends of the base edge.) If no such mutual neighbors (other than the base edge points) are found, the pair of faces is neglected. The actual shape represented by the pair of faces is determined by the number of mutual neighbors and whether they neighbor each other and the base edge points. The various possibilities are easy to identify, but care must be used to correct for multiple counting. A pentagonal bipyramid will be discovered five different times by this program. A double dihedron, either connected or opposed, will be discovered twice. A triple dihedron could be discovered either from a central base edge, or from the two side edges. The second type of discovery is neglected. A complete octahedron will be discovered by this program twelve different times. To find half octahedra is more difficult, because we must distinguish between individual isolated half-octahedra, and the half-octahedral parts of triple dihedra, double dihedra connected, and pentagonal bipyramids. To do this, we first identify the two points of the half-octahedron that would form the diagonal perpendicular to the diagonal formed by the two extremal points. One of these points is the mutual neighbor discovered, and the other is the base edge point that doesn't neighbor the mutual neighbor. We then search for mutual neighbors of these two diagonal points. If there are only three such mutual neighbors, the shape is indeed an isolated half-octahedron. If there are four it is a double or triple dihedron; if five, it is a pentagonal bipyramid. Each half-octahedron will be discovered four different times.

To find the trisymmetric seven point figures, the program considered, for each face, all the various combinations of three neighboring faces,

-51-

one on each edge. The three outside points were identified (those not on the central face). If any two (or three) outside points were identical, the shape was rejected as a tetrahedron. If any two (or three) were neighbors, the shape was rejected as a half-octahedron or something related. If no outside points were identical or neighbors, the program searched for points that neighbored all three. If none or two or more were found the shape was rejected. If only one point was found (the seventh point of the shape), it was checked to see whether it neighbored any of the three points of the base triangle. If it did, the shape was rejected. If it didn't, the shape was a seven-point tri-symmetric shape (trigonal heptatope).

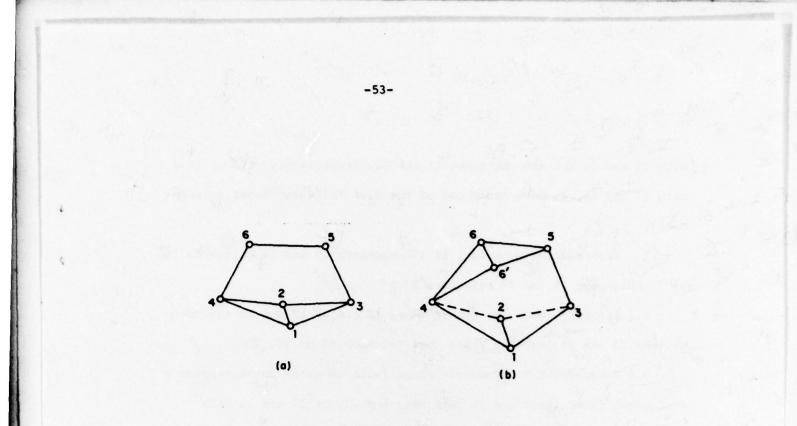
A program was written to identify the seven-point bisymmetric shapes (dipbid heptatopes), which searched through all pairs of faces meeting at a point to find the correct arrangement of points.

A program to find the various larger local arrangements was attached to the program for dihedra. If no mutual neighbors were discovered for the extremal points of a pair of faces, then a search was made for a pair of points (e.g., I5 and I6 in Figure A-2a) that neighbored each other, one neighbored each extremal point (I3 and I4), and neither neighbored either base edge point. There can be more than one I5 or I6 to satisfy these conditions, so we may find double diamond shapes directly (e.g., Fig. A-2b). Each double diamond shape will be found twice.

If we find only one I5 and one I6, we may proceed to find other shapes by looking for I7 and I8 (Figure A-2c): points which neighbor

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both I5 and I6 and also neighbor Il and I2, respectively. If we find both I7 and I8, we have found one of the five following local arrangements.

 Archimedian Antiprism, if I7 neighbors I3 and I8 neighbors I4, or I7 neighbors I4 and I8 neighbors I3.

2. Antiprism with one broken edge, if one of 17 and 18 neighbors neither 13 nor 14, and the other does neighbor 13 or 14.

3. Seven-Point Trisymmetric shape (with an extra point capping a foud-edged face) if I7 and I8 both neighbor I3, or I7 and I8 both neighbor I4. (Assuming I7 doesn't neighbor I8.)

4. Antiprism with two broken edges, Type C, if neither I7 nor I8 neighbors either I3 and I4.

5. A group of dihedra or tetrahedra if 17 neighbors both Il and 12 or 18 neighbors both Il and 12.

If we fail to find either 17 or 18, we may be looking at one of the shapes mentioned above from a different base edge. This may be checked using the numbers of the points involved. If the same points form a standard figure elsewhere, we use that standard identification.

Occasionally, the failure to find 17 or 18 represents an antiprism with two missing edges. To help sort out which type it might be, the program will find all points that neighbor both 15 and 11, 15 and 12, 16 and 11, and 16 and 12. The exact shape must often be reconstructed by inspection, using a short program which will report all the neighbors of any particular point. Some of the more complex shapes reported will not be found by any of the special programs just described. These were identified by plotting a projection of the points within 1.5 of each of 400 largest interstitial sites within 8.0 sphere diameters of the center of the Finney model (center to vertex distance greater than 0.752( and identifying by inspection the shape that surrounded the interstitial site. This was also done for the largest 200 sites of the Bennett model (center to vertex 0.774).

## C. Interstitial Sites

A potential interstitial site is equidistant from four sphere centers, with no other sphere closer. To find all such sites, we must consider all combinations of four spheres, and check that no fifth sphere overlaps. This leads to calculation times proportional to the fourth (or fifth) power of number of spheres, unless some restrictions on combinations are used. Preliminary calculations found no sites with center to vertex distance greater than 0.85. The main program, therefore, neglected most combinations of four spheres with any two more distant than 1.8. With this restriction, sites larger than 0.90 might be neglected.

For computing convenience, the array was first distributed to unit cells, and the spheres in each cell were recorded in an array. The interstitial sites in each unit cell were found using the points in that cell and the 26 neighboring cells. Each combination of four points (not more than 1.8 distant) was used to calculate a sphere center and radius. If the center was outside the unit cell or the radius was larger than

-55-

0.90, that combination was neglected. Otherwise the site was checked for overlap with all other spheres in the 27 cells.

The calculation was done for all cells not totally outside a radius of 8.0 from the center of the array. The array used was the central 4000 points (or 3999 for the Bennett model), which includes all points within 9.2301 of the center (9.2799 for Bennett). After finding all the sites, those at radii greater than 8.0 were excluded to avoid false sites at the edge of the array. Using these sites, the elimination of overlaps was done, leaving an array of far fewer interstitial sites.

Of these sites, those within a radius of 7.1 were used for statistics, to avoid counting sites that avoided being eliminated by overlap because they were near the cluster surface. In the Finney model there are 1825 points inside that radius. The results for the Bennett model depend on radius and are reported for the inner 1000 points, or inside a radius of 5.82625. Defense Documentation Center Cameron Station Alexandris, Virginis 22314 Office of Naval Research Department of the Navy

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