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PARAMETRIC STUDY OF THE EFFECTS OF TARGET PROPERTIES, PROJECTILE DESIGN, AND IMPACT CONDITIONS ON EARTH PENETRATION PROCESSES

California Research & Technology, Inc. 6269 Variel Avenue, Suite 200 Woodland Hills, California 91364

November 1976

Topical Report for Period December 1974–December 1975

CONTRACT Nos. DNA 001-75-C-0147 DNA 001-76-C-0157

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C. C./Fulton	DNA 001-76-C-0157
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Washington, D.C. 20305	BE (12)85p.)
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	15a. DECLASSIFICATION/DOWNGRADING SCHEDULE
17. DISTRIBUTION STATEMENT (of the abstract entered in Block 20, if differ	ent from Report)
18. SUPPLEMENTARY NOTES	
This work sponsored by the Defense Nuclear Ag B344075464 Y99QAXSB04801 H2590D.	ency under RDT&E RMSS Code
19. KEY WORDS (Continue on reverse side if necessary and identify by block m	umber)
Penetration	
Finite-Difference Codes	
20. ABSTRACT (Continue on reverse side if necessary and identify by block nu	umber)
> There are a number of projectile and tar	get parameters involved in the
penetration dynamics of earth penetrating veh	eters has been examined in a
series of finite-difference code solutions.	The spatial resolution required
to obtain accurate code solutions of penetrat	tion dynamics has also been
to variations in the target properties; the 1	local shear strength and
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20. ABSTRACT (Continued)

frictional characteristics of the material acting on the penetrator appeared to be the most important of the target parameters considered. A portion of the study was devoted to consideration of the impact conditions and the penetrator design. These variables were generally more important to penetration dynamics than variations of the constitutive properties of the target.

These findings are favorable to the technology and development of earth penetrating weapons, since target property uncertainties are inevitable. Design and impact parameters, on the other hand, can generally be specified and controlled.

PREFACE

The work described in this report constitutes part of the efforts authorized under Contracts DNA 001-75-C-0147 and DNA 001-76-C-0157, concerning numerical analyses of earth penetrators. The objective of this part of the investigation was to examine the effects of various numerical and physical parameters on penetration dynamics. Related tasks included (1) analyses of the impact and penetration of projectiles into rock media, in conjunction with field events and (2) development of a decoupled finite-difference/finite-element method for the analysis of the structural response within projectiles penetrating into the earth.

M. H. Wagner was the principal investigator for this project. General program guidance and assistance in technical analysis was provided by K. N. Kreyenhagen. C. C. Fulton assisted in development of the material models and was the principal computer programmer.

The Project Officer was Major Todd D. Stong, Strategic Structures Division, Defense Nuclear Agency (DNA). Assistance in coordination of the effort was provided by P. F. Hadala, Soil Dynamics Division, U. S. Army Engineer Waterways Experiment Station (WES).

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I. INTRODUCTION AND SUMMARY

1.1 BACKGROUND AND TASK OBJECTIVES

In support of the DNA Earth Penetrating Weapon (EPW) technology project, California Research & Technology has been developing, validating, and using "first-principle" finitedifference and finite-element computer codes for predicting and analyzing critical aspects of earth penetration dynamics and penetrator structural response. Such code solutions can provide information, not readily obtainable from experiments or other analytical means, about the stresses in the target media, stresses and forces applied to the penetrator surface (and resulting decelerations), and the stress and shock environments throughout the penetrator body and its internal components.

The primary objective of the task reported herein has been to examine the sensitivity of earth penetration processes to several physical parameters describing target properties, penetrator design, and impact conditions. Consideration of broad ranges of these parameters may be required during the design and evaluation of an EPW; increased knowledge of their influence on penetrator performance is therefore needed. For example, knowledge of the sensitivity of penetration processes to different properties of earth media is needed to enable design of earth penetrators which will work reliably against targets in media whose properties are both uncertain and variable. To the maximum extent possible, penetrators should be designed so that their performance is reasonably insensitive to target property variables.

A second objective of this task has been to determine the effects of computational zone size on the accuracy of earth penetration analyses. Regions where steep gradients occur,

such as near the penetrator nose, require reasonably fine spatial resolution by computational zones. Computer costs, however, are sharply dependent on zone size. Knowledge of the zoning required for adequate resolution in different types of target media is needed to guide future numerical solutions where several numerical analyses of alternative designs may be called for.

This report is one of a series which have been or are being published regarding CRT tasks in the DNA Earth Penetrating Weapon project. These other reported tasks include:

- o Analysis of a soil penetration test at DRES (Ref. 1)
- Development and application of a decoupled technique for internal response analysis (Ref. 2)
- o Formulation of improved models for treating rock fracture and comminution during penetration, and analysis of rock penetration test (Ref. 3)
- Analysis of alternative prototype designs for the Shallow Burst Munition (SBM) (Ref. 4)
- Analysis of the loading on a penetrator surface, and structural response of the penetrator, for a reverse ballistic test (Ref. 5)

Current continuing effort on the project is concentrating on development and application of analysis methods for asymmetric impacts (yawed and/or oblique incidence).

1.2 TECHNICAL APPROACH AND BASELINE CONDITIONS

This task is based on a series of two-dimensional, axisymmetric solutions of penetrations of rigid body projectiles. Such solutions yield complete space-time histories of the forces exerted on the penetrator surface, and the consequent rigid-penetrator decelerations. The solutions were obtained with WAVE-L, a finite-difference Lagrangian hydrodynamic-elastic-plastic code. Prior development and application of WAVE-L to earth penetration problems is described in Refs. 1, 3, and 6. Briefly, a Lagrangian grid of discrete computational cells is set up to describe the initial geometry of the target medium and the shape of the penetrator surface. Target material properties are specified by sets of constitutive relations. Through time-stepped solution of these relations and the equations of motion, the stresses and distortions experienced throughout the computational grid are developed.

For the physical parameters study, a set of baseline target medium properties, impact conditions, and design parameters was first established. A rigid-body penetration using these conditions was analyzed to obtain standard, or benchmark, information about penetration dynamics for use in subsequent comparisons. The baseline conditions are shown in Figure 1. Sandstone was chosen as the basic target material. The penetrator design corresponds closely with the DNA projectile used in recent fullscale field tests⁷.

The calculated projectile deceleration history for the baseline case in sandstone is shown in Figure 2. Forces on most earth penetrators build up as the nose advances into the target media, approaching a maximum as the nose becomes fully embedded, or "wetted". To enable a larger number of solutions to be made within the resources of the present study, the solutions were started with the nosetip already embedded to 5-in. depth in the sandstone. After an initial spurious transient, an acceleration ramp develops, which is qualitatively similar to those seen in penetrator problems started with no initial embedment, as indicated in Figure 3 (here the axial force instead of the deceleration is plotted since the penetrator weights were different).



PENETRATOR AND IMPACT CONDITIONS

SANDSTONE TARGET PROPERTIES

 2.0 g/cm^3 Density, Po Young's modulus, Eo 72 kb Bulk modulus, K 40 kb Shear modulus, G 30 kb Poisson's ratio, V 0.2 Unconfined compressive 0.41 kb strength, "Cohesion ($\sqrt{J_2'}$)", k_1 0.1 kb Mohr-Coulomb slope, k2 1 Mises limit ($\sqrt{J_2}$), k₃ 3 kb Friction rule: $\tau = 0.15\sqrt{J_2^{\dagger}(\sigma_n)}$



Fractured material degrades in strength

Associated flow rule (exhibits dilatancy)

Figure 1. Baseline Case for Physical Parameter Study







Thus, if the initial transient is ignored, the results of calculations of this type can be used to show the qualitative effects of changes in independent variables on the resistance to penetration during nose embedment.

Since just rigid body penetrations were considered in this task, only "external" parameters (target media properties, external penetrator shape, and impact velocity) were examined. Internal response, and the effects of internal design parameters (materials and thicknesses and shock isolation techniques) were not involved. Separate analyses of the response of penetrators, considered as deformable bodies, can be obtained using the space-time history of forces on the penetrator surface (as generated by rigid-body penetration solutions) as boundary conditions for finite-element or finite-difference models of the penetrator body and its internal components. This decoupled penetration-response approach is used in Res. 2, 5, and 8.

1.3 SUMMARY OF RESULTS

1.3.1 Physical Parameter Study

Eleven penetration solutions were made to determine effects of *independent* variations in basic physical variables upon rigid body penetration dynamics. The conditions for these solutions are summarized in Table 1.

a. Target Media Parameters

The target properties which were examined were:

- strength or yield surface parameters, including unconfined compressive strength, yield surface slope, and Mises limit
- o bulk modulus
- o coefficient of friction along the interface between the projectile and the target medium
- o initial density

TABLE 1. CASES CONSIDERED IN PHYSICAL PARAMETER STUDY

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Case No.	Case Description
2080-32C	Baseline case (see Fig. 1)
	a. <u>Target Media Parameters</u>
2080-60	Decrease Mises limit 50% (to 1.5 kb)
2080-61	Increase Mises limit 100% (to 6 kb)
2080-62	Decrease yield surface slope 50%
2080-65	Increase unconfined compressive strength 100% (to .82 kb)
2080-70	Increase bulk modulus 100% (to 80 kb)
2080-82	Delete all friction
2080-100	Increase target density 100% (to 4 gm/cm^3)
	b. Impact Parameters
2080-110	Increase impact velocity 100% (to 3000 ft/sec)
	a Penetrator Decign Parameters
2080-120	Decrease nose sharpness (to CRH=6)
2080-122	Increase penetrator dia ~50% (to 9 in.)
2080-122	Increase penetrator dia ~50% (to 9 in.)

The changes which were considered in these properties (typically to half or twice the baseline condition) are within the range of variations which would be expected for EPW soft rock target media.

The penetrator deceleration histories, stress and force loading distributions on the penetrator surfaces, and profiles of peak stresses experienced in the target media were obtained from the numerical solutions. The effects of the target property changes on the overall penetration dynamics are seen in Figure 4, which shows the percentage change (from the baseline case) in the time-averaged penetrator deceleration $\left(\frac{V_O-V(t)}{t}\right)$ vs time (t).

Changes in the yield surface parameters (unconfined compressive strength, yield surface slope, Mises limit) produced 5-45% changes in penetrator deceleration, with those parameters defining the yield surface in the low kilobar regime having the more sensitive effects. This relects the fact that most of the target material near the penetrator nose is under maximum pressures which are within the low kilobar range. The effects of bulk modulus are interesting, in that a substantial change in the load-unload hydrostat caused only a modest change in penetrator deceleration.

The frictionless case confirmed the importance of friction in penetration processes. Relatively small coefficients of friction can result in drag forces which are a significant fraction of the total decelerating force. The appropriate friction rule, given the high velocity, stress, and temperature conditions at the penetrator-target interface, and the degraded state of target properties in that region, is a continuing major uncertainty in earth penetration mechanics.



Figure 4. Percentage Change (from Baseline Case) in Time-Averaged Penetrator Deceleration, $\Delta v/t$, vs Time Due to Variations of Target Media Parameters.

Doubling of the target density produced a 30-40% increase in penetrator deceleration levels. However, this is an extreme change in target density for rock media. A more realistic variation in target density might be $\pm 25\%$, which would presumably lead to $\pm 10\%$ changes in the deceleration level.

b. Penetrator Design and Impact Parameters

Penetrator decelerations resulting from variations in vehicle nose sharpness and diameter, and in impact velocity, are shown in Figure 5. The percent change in deceleration from the baseline case vs penetration depth for these cases is shown in Figure 6. These comparisons are somewhat misleading, however, since different percentages of nose embedment are involved at the same depth. Additional comparisons using scaling relations are given in Section 2.3. More definitive comparisons would require carrying out each solution to the point of a clear peak or plateau in deceleration.

From this limited data, the following effects were noted during the early penetration phase. Impact velocity is clearly a major factor in penetration: doubling the velocity from 1500 ft/sec to 3000 ft/sec increases the deceleration level by 60-130%. Changing the nose shape from a sharp ogive (CRH=9.25) to a medium ogive (CRH=6) results in an initially sharp increase in deceleration (~60%), followed by a decay to a small difference as the nose becomes more fully embedded. Increasing the penetrator diameter from 6.5 to 9 inches while holding the weight constant reduces the sectional load, or W/A, from 12 psi to 6.3 psi. This causes an increase in deceleration of 20-40% during early penetration. From scaling relations, the increase in deceleration for fully engaged penetrators would be inversely proportional to W/A, or 92%. If, however, the deceleration histories are plotted on a scaled basis, (see Section 2.3),







Percentage Change (from Baseline Case) in Penetrator Deceleration vs Penetration Depth Due to Variations of Penetrator Parameters. Figure 6.

the observed increase in deceleration levels out at 110%, in reasonable agreement with simple scaling.

1.3.2 Zoning Study

The effects of Lagrangian computational zone size (spatial resolution) on results of numerical solutions were examined for penetration into sandstone, another softer rock, and a dry soil. Short code solutions (covering partial nose burial) were conducted for each of these target media using computational grids of varying fineness. Spatial resolution is characterized here by the number of Lagrangian cells in the *undisturbed* target material ahead of the penetrator per penetrator radius, i.e. the penetrator radius divided by the initial radial grid dimension, or $R_p/\Delta R_o$. The cases treated, and the effects of zoning on nominal deceleration levels, are summarized in Table 2. The effects of zoning on overall penetration dynamics, as seen in the average deceleration histories, are given in Figure 7.

Four undisturbed cells per penetrator radius are apparently satisfactory for rock targets. Where highly hysteretic media response is expected, such as in Watching Hill layer 1, at least six cells per radius are required.

Many factors, however, influence the stress field near the penetrator surface, including impact velocity and nose geometry. For example, blunter shapes, and those with abrupt changes, impose finer zone requirements. Thus, no universal zoning rule can be established, and some preliminary examination of zoning effects should precede any penetration studies involving substantially unfamiliar target media, shape, or impact conditions.

It is also emphasized that the zoning results shown here apply only to Lagrangian grids, wherein the radial squeezing of cells flowing up and around the nose tip substantially TABLE 2. CASES CONSIDERED IN ZONING STUDY

Penetrator:400 lb, 6.5" dia Sandia designImpact Velocity:1500 ft/sec

Target Material	Projectile Nose Tip	Zoning Cells across penetrator radius, or $R_p/\Delta R_o$)	Nominal Decelcration Level, g's	Effect on Average Deceleration (as compared to $R_p^{/\Delta R_o}$ =4 case)
Sandstone	9.25 CRH ogive nose with tip beveled off	6 4 2	3350 3200 3300	+ 5% - + 3%
"Softer"Rock	9.25 CRH ogive nose	4	1450 1450	-
Soil (Watching Hill Layer 1)	9.25 CRH ogive nose with tip beveled off	0 4 9 8	90 160 175 180	-44% - + 9% +13%





increases the effective spatial resolution in that critical stress region. Fixed (Eulerian) grids will require much smaller cells (compared to the initial Lagrangian cell size) to give the same effective resolution. 19

II. EFFECTS OF VARIATIONS OF TARGET AND PROJECTILE PARAMETERS ON PENETRATION DYNAMICS

To examine the sensitivity of earth penetration dynamics to various projectile and target parameters, a series of numerical solutions was performed wherein certain physical parameters were independently varied. Results were compared with the baseline case to determine the effects of the changes.

2.1 BASELINE PROBLEM CONDITIONS

For the baseline case, a full-scale penetrator impacting a medium-strength rock at a representative EPW velocity was selected. A relatively simple target material model was used, so that changes in the model parameters would have discernible physical significance.

The baseline problem conditions are summarized in Figure 1 (page 6 in Section 1.2). The penetrator is the DNA projectile used in recent full-scale field tests⁷, except that the tip of the ogive was not beveled. The impact velocity was 1500 ft/sec, and the target medium was sandstone. The properties of this sandstone, which were arrived at in consultation with Waterways Experiment Station (WES), are a composite of values representing *typical* sandstones, rather than a specific site or sample. The basic sandstone model is described in Appendix A. The loading hydrostat stiffens slowly but is strongly hysteretic. The model prescribes highly dilatant behavior, particularly for the unloading stress paths experienced in penetration problems.

A Mohr-Coulomb yield surface with a von Mises limit was specified. It is modified by a strain softening "fracture" model, wherein the post-fracture material strength is gradually degraded as a function of generalized plastic

strain. This new model is similar to the one developed for analysis of a penetration test into welded tuff. Observations following penetrations into rock have shown that there is a region of highly comminuted rock surrounding the penetrator and the penetrator hole. Beyond the comminuted region, there are successive annular zones of brecciated and sheared rock, with the degree of fracture diminishing with increasing radius. Since the fractured rock will generally have reduced shear and tensile strengths, it is important that the material model reflect this mechanism. Accordingly, a material model was developed which included relations to assess the *degree* of failure which has occurred, and to degrade the rock properties appropriately. Development of this model is discussed in Reference 3.

In the new post-fracture model, material reaching the failure (yield) surface specified for the *intact* material begins to fracture. Subsequent increases in the generalized plastic strain, $\overline{\epsilon}_p$, cause degradation of the yield surface, according to the relation

$$Y_{dgd} = Y(1-10\overline{\epsilon}^p)$$

where Y is the intact yield surface and Y_{dgd} is the degraded (post-fracture) yield, and

$$\overline{\epsilon}^{p} = \int (\frac{2}{3} de_{ij}^{p} de_{ij}^{p})^{\frac{1}{2}}$$

As soon as the degraded yield strength drops to the value given by the yield surface, Y_{min} , that is assigned for completely crushed material, the degradation is complete and the material thereafter has the properties of the crushed material.

The yield surfaces assumed for the intact and completely crushed sandstone were:

$$\sqrt{J_2'} = Min (k_1 + k_2 P, k_3)$$

where the values of the constants are:

		Intact	Crushed
^k 1	("cohesion", i.e., P=0 intercept)	.1 kb	0
k ₂	(slope)	1	.5
k _z	(Mises limit)	3 kb	1.5 kb

These yield surfaces are shown in the accompanying sketch.



The friction rule used to compute the shear stress (τ) at the penetrator/target interface was:

$$\tau = .15 \sqrt{J'_2(\sigma_n)}$$

(Here the normal stress, σ_n , is put into the yield function equation for $\sqrt{J_2}$ given above.)

Figure 8 shows the initial Lagrangian grid for the physical parameter study. There are 4 undisturbed Lagrangian cells per projectile radius. For efficiency in this series of solutions, the penetrator nose tip was initially buried 5-in. into the target. This allows the solution to bypass the early stages of the impact analysis, which are generally uninteresting in axisymmetric impacts of pointed projectiles because only a relatively small region of target and projectile are involved and the acceleration forces are correspondingly small. When the partially-buried nosetip solutions begin, there are spurious acceleration excursions due to inertial factors, but they damp out quickly and the subsequent acceleration levels are reasonable for comparable depths of penetration.

2.2 PHYSICAL PARAMETER STUDY CASES

Parameters in several categories were selected for consideration in the study. Only one basic parameter was changed for each case. The change in the value of the parameter was generally significant, such as half or twice the baseline case value. The cases are summarized in Table 1 (page 10 in Section 1.3). Additional description is given in the following:

o Yield surface (changes refer both to intact and crushed yield surfaces)

Case 2080-60 - <u>Mises limit</u> - decrease by 50%: $k_3 = 1.5 \text{ kb}$ $k_3^{\min} = .75 \text{ kb}$ Case 2080-61 - <u>Mises limit</u> - increase by 100%: $k_3 = 6 \text{ kb}$ $k_3^{\min} = 3 \text{ kb}$



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Figure 8. Initial Computational Grid for Physical Parameter Study Cases

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Case	2080-62	-	<u>Slope</u> - decrease by 50% and adjust k_1	
		รเ	such that unconfined compressive strength	
			remains unchanged:	

	$k_1 = .1683 \text{ kb}$ $k_2 = .5 k_2^{\min} = .25$
Case 2080-65	- Unconfined compressive strength -
	increase by 100% to .82 kb (also equi-
	valent to increasing k ₁ , or "cohesion,"
	by 100%:
	by 100%:

$$k_1 = .2 \ kb$$

The yield surfaces resulting from the above variations are shown in Figure 9.

o <u>Hydrostat</u>

	Case 2080-70	Bulk modulus - increase by 100% and adjust other constants in hydrostat equations as required for compatibility:
		$K_0 = 80 \text{ kb}$ $K'_0 = 100 \text{ kb}$ $a = .4$
0	Friction	
	Case 2080-82	<u>Coefficient of friction</u> - set to zero (frictionless interface condition)
0	Target density	
	Case 2080-100	Normal density - increase by 100%:
		$p_0 = 4$
0	Impact velocity	
	Case 2080-110	Initial velocity - increase by 100%:
		$V_o = 3000 \text{ ft/sec}$
0	Penetrator shape an	nd size
	Case 2080-120	Nose radius of curvature - decrease CRH ~35% to give blunter ogive:
		CRH = 6 (nose radius = 39 in.)
	Case 2080-122	Penetrator diameter - increase ~50%:
		$Dia_p = 9$ in., gives W/A = 6.3 psi and nose radius = 83.25 in.





2.3 COMPARATIVE RESULTS

2.3.1 Penetrator Rigid-Body Decelerations

Figure 10 compares the penetrator deceleration histories from the solutions of all target media parameter cases with the baseline case. Figure 4 (page 12 in Section 1.3) compares these same cases on the basis of the percentage change (from the baseline case) in the time-averaged deceleration, $\Delta v/t$, vs time, t.

a. Yield Surface

Lowering the higher pressure portion of the yield surface by halving the Mises limit results in a reduction of the deceleration of about 20%. Raising this portion of the yield surface by doubling the Mises limit results in a 5 to 10% increase in deceleration. Note, from Figures 9a and 9b, that halving the intact Mises limit only affects stress states where the pressure is greater than 1.4 kb and the deviatoric stress $\sqrt{J_2}$ is at least 1.5 kb; doubling the Mises limit only affects stress states where the pressure is greater than 2.9 kb and the deviatoric stress $\sqrt{J_2}$ is greater than 3 kb. Thus, lowering the yield surface influences a greater volume of material near the penetrator, and for longer times than raising the yield surface. This can be seen in Figure 11, which shows contours of the pressure in the sandstone around the penetrator. The Mises limit is an important parameter if the pressures near the penetrator surface are high enough to activate this limit on the yield surface. This is more likely to be the case in media with a low Mises limit and/or where the penetrator nose is blunt and the velocity is high. (The Mises limit, of course, is a parameter only in certain plasticity models, and it was used here for simplicity. Other models generally have some equivalent form which limits the yield strength at high pressures.)



Figure 10. Comparison of Penetrator Deceleration Histories, Variations in Target Media Parameters



Figure 11. Contours of Pressure in Sandstone, Baseline Penetration Case.

Halving the slope of the yield surface while retaining the same unconfined compressive strength caused the largest effects of all the target media stress-strain and strength parameter changes considered in this study. This change considerably weakens the material, resulting in a reduction of deceleration of over 40%. Note in Figure 9c that the yield surface is significantly reduced over a wide range of pressures. This range encompasses much of the target material near the penetrator for the problem considered here, so the major effect on penetration dynamics is understandable.

Doubling of the unconfined compressive strength, or, as can be seen in Figure 9d, raising the entire Mohr-Coulomb or sloping part of the yield surface by just 100 bars, produced a 25% increase in deceleration at later times in the solution. At early times, material in the vicinity of the projectile is at relatively high pressures, and the 100 bar raising of the sloping yield surface is insignificant. As the shock wave weakens and the material unloads, the relative difference in strength due to the change in the yield surfaces becomes greater, producing the observed effect on the later-time decelerations.

b. Hydrostat

Doubling of the bulk modulus, K_0 , in the loading hydrostat causes an increase in penetrator deceleration of 10 to 15%. A comparison of hydrostatic load-unload paths between the material model with the doubled bulk modulus and the baseline material model is shown in Figure 12. The unload paths reflect the adjustments made to the unloading parameters for compatibility. As is seen in Figure 4, this substantial change in the equation of state caused only a relatively small change in the penetrator dynamics. This is a favorable trend for EPW development because:


- (1) In predictive and design analyses, it will generally be necessary to rely on estimates of the equations of state for real EPW target media. The insensitivity of penetration processes to the equation of state indicates that relatively crude estimates may be adequate.
- (2) Natural variations in compressibility at a given target site may not be a factor of great concern.
- (3) The large efforts required to conduct property investigations of a target site material (e.g., seismic surveys, coring, extensive series of lab tests) and the associated formulation of models which faithfully reproduce lab curves of material behavior may not be necessary.

It would be very useful to also examine the effects of changes in the shear modulus, which may be more important to penetration dynamics than variations in the bulk modulus, since the target material forced around the penetrator tip experiences large shear deformations. Such cases were not included within the scope of this study, however.

c. Friction

The solution assuming a frictionless projectile/target interface condition resulted in an initial reduction of deceleration of about 20%, increasing to a 40% reduction at later times as the contact area between the penetrator and sandstone enlarged.

It is of interest to compare the axial force history for the frictionless case (2080-82) with the force history obtained by simply subtracting out the frictional contribution from the total force for the baseline case. This comparison is shown in Figure 13. The true frictionless case lies



somewhat below the curve obtained by subtracting the frictional component from the baseline case. However, the simple subtraction technique appears to be suitable for obtaining rough estimates of frictional effects.

Friction is a potentially important parameter in the penetration process, since a relatively small coefficient of friction can cause sufficient dragging force to represent a significant fraction of the total decelerating force. For the complex physical conditions at the interface during earth penetrations, the amount of friction is uncertain. The interface stresses, sliding velocities, and temperatures are very high when compared to conditions obtainable with present laboratory techniques for measuring frictional parameters. Post-test surveys and analysis of records from earth penetration tests provide some indication of this problem. For example, evidence of considerable heating at the projectile surface is seen after some tests wherein patches of metal film and comminuted rock have been fused together and deposited along the hole wall.9

d. Target Media Density

Doubling the target density, from 2 to 4 gm/cm³, increased the resistance to penetration, giving an average increase in penetrator deceleration of 20-40%. This hypothetical case represents a relatively extreme variation in target massiveness, and is much larger than will be expected in target media for a specific EPW design. For more realistic density variations, like $\pm 25\%$, we would expect acceleration changes of the order of $\pm 10\%$.

Comparative results from the cases considering changes in impact and penetrator design parameters are presented in Figure 14 and Figure 5 (page 14 in Section 1.3) in terms of



Variations in Penetrator Parameters.

penetrator deceleration vs time and penetrator deceleration vs depth of penetration. Figure 15 and Figure 6 (page 15 in Section 1.3) show the percentage change in deceleration from the baseline case vs time and penetration depth. The comparisons in terms of penetration depth are considered more meaningful for the case concerning impact velocity, since the amounts of nose embedment are then the same.

It is also possible to scale the time to provide approximately equivalent percentages of nose embedment for these cases.* Comparative results using this technique are shown in Figures 16 and 17. (In Figure 16, the different starting times are due to the fact that the initial 5-in. nose burial represents different percents of nose embedment for the various penetrator designs.)

e. Impact Velocity

Doubling of the penetrator velocity, from 1500 to 3000 ft/sec, caused an increase in deceleration varying between 60 and 130% during early penetration. Neither the baseline case nor the doubled velocity case was carried out far enough to show a comparison of the peak decelerations.

An indication of the differing effect of impact velocity in another target medium and in a lower velocity range can be obtained by comparing two other calculations which were not

$$t_{scale} = t' \frac{L/V}{L'/V'} - \frac{d_o}{V} (1 - \frac{L}{L'})$$

The primed factors refer to the parametric case, the unprimed factors refer to the baseline case, and d_0 is the depth of initial embedment (5 in.).

^{*} At an impact velocity V, total embedment of a nose of length L takes roughly t=L/V. Times for the parametric cases in Figures 16 and 17 are scaled to the baseline case by



Figure 15. Percentage Change (from Baseline Case) in Deceleration vs Time, Variations of Penetrator Parameters.



Figure 16. Comparison of Penetrator Deceleration Histories, Variations in Penetrator Parameters





part of this physical parameter study. In the zoning study described in Section 3, a 1500 ft/sec penetration into Watching Hill layer 1 soil at DRES was analyzed. The same penetrator impacting the same target medium at 500 ft/sec has also been analyzed during an earlier task on the EPW program.¹ The only difference, other than velocity, between these calculations was the coefficient of friction, which was 0.6 in in the 500 ft/sec case and 0.3 in the 1500 ft/sec case. The frictional contribution to the 500 ft/sec results can be segregated and adjusted downward with reasonable accuracy to make the results directly comparable to the 1500 ft/sec case; i.e.,

	500 ft/sec	1500 ft/sec
Coefficient of friction, µ, used in calculations	0.6	0.3
Calculated acceleration level in Watching Hill Layer 1	110 g's	170 g's
Adjusted acceleration level, assuming $\mu = 0.3$	80 g's	

These results suggest a somewhat less strong dependence of acceleration on velocity than the higher velocity case in sandstone.

Clearly, impact velocity is one of the most important parameters defining a penetration event. It would be convenient in empriical penetration relations if the effect of velocity on acceleration was simple and universal, but such is apparently not the case; the velocity dependence varies with the target material, with the projectile configuration, and with the velocity regime. Thus there are probably no simple relations that can be used for predicting peak rigid body accelerations over a wide range of conditions.

f. Penetrator Shape and Size

Changing the nose shape to a blunter ogive by changing the radius of curvature from CRH=9.25 to CRH=6, produced an initially large increase (60%) in penetrator deceleration, followed by a decay to 20-30% difference as more of the nose became engaged with the target. The penetrator weight and diameter were not changed for this comparison. The nose length is 15.6 in. for the CRH=6 case, as compared to 19.5 in. for the CRH=9.25 baseline case.

Increasing the penetrator diameter to 9 in. produced about 110% greater penetrator deceleration after the noses were half-embedded. Since the penetrator weight was held constant, this reduced the sectional load nearly in half, from a W/A = 12 psi to a W/A = 6.3 psi. The nose CRH was held constant at 9.25. The computed increase in deceleration is in line with what would be expected from simple scaling considerations, which would predict a 92% increase.

g. Other Parametric Comparisons

In addition to the cases described above, some exploratory information on other parameters was gained through comparisons among the zoning study solutions and trial solutions conducted to arrive at the baseline conditions.

O Penetrator Nose Tip Shape. The DNA penetrator referred to in this report has a small conical nose tip, giving, in cross-section, a beveled tip to the ogive nose. The conical tip has a 45° half-angle and is .5 in. long. For some field events, a nose cap has been secured to the tip to give a two-piece pointed ogival nose. Comparisons of the effect on penetration dynamics between the pointed and beveled designs were made by comparing code solutions from the zoning and parametric studies using the two designs. Average deceleration histories for penetrations at 1500 ft/sec into two materials, Watching Hill Layer 1 soil and sandstone, are shown in Figure 18. (The sandstone model in this comparison was the one used in the zoning study (described in Section III and Appendix A); it differs from the baseline case in the physical parameter study in its treatment of fracture and in the coefficient of friction, using 0.3 instead of 0.15.) The computational zoning was 6 cells per projectile radius for the soil cases and 4 cells per projectile radius for the sandstone cases. The beveled nosetip design induces ~25% greater deceleration for both of these penetration conditions. This result indicates that the detail of the nose tip design is an important factor in the forces applied to the penetrator and the resultant decelerations.

There is a possibility that target material can locally separate from the projectile near the point of the bevel/ogive intersection. In these calculations, separation at any point could occur if the normal stress in the target acting on the penetrator was zero or tensile. Otherwise, the target material was assumed to remain in contact with the penetrator. Separation at the bevel/ ogive intersection using this criterion did not occur in these calculations. Additional studies would be needed to investigate the possible effects of geometric separation.





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o Dilatancy. Dilatant behavior is commonly observed during stress-strain laboratory tests of rock materials. If this behavior significantly affects penetration dynamics, material models should attempt to account for it. In the models, dilatancy can be simulated by using an associated flow rule in the model of plasticity, which produces increments of plastic volumetric strain. Use of a Prandt1-Reuss (non-associated) flow rule assumes that plastic distortion produces no change in volumetric strain. The effect on penetration of a material exhibiting dilatancy is shown in Figure 19, which compares the average deceleration for penetrations into sandstone modeled both as a dilatant material and a non-dilatant material. (The sandstone model for these cases differed from the baseline case in that there was no fracture/post-fracture treatment and the coefficient of friction was 0.3, instead of 0.15.) The dilatant material case provides a significant increase in penetrator resistance; the reason for this is that the dilatant material unloads much more slowly, providing a higher stress distribution all along the contact area with the penetrator surface. This is due to the dilation occurring as the material undergoes severe distortion as it is forced around the nose, holding up the pressure in the solid (elastic) component of material. Where dilation does not occur, the entire expansion of material contributes to the unloading. Since this process does affect the penetration dynamics, the material modeling should attempt to simulate dilatancy when it is observed in the constitutive property laboratory tests.



2.3.2 Stress and Force Loadings on Penetrators

Comparisons of the loading distributions on the penetrator nose for all the parametric cases are shown in Figures 20 to 22. All the curves give loading when the depth of penetration is 10 in. Shading is used to denote envelopes wherein most of the calculated values fall.

Distributions of the applied normal and tangential stresses are shown in Figure 20. The distributions have a similar shape, with varying amplitudes above or below the baseline case ordered approximately the same as in the deceleration comparisons. All but one of the distributions fall within the shaded area, or within about $\pm 40\%$ of the curve for the baseline case. The case with doubled impact velocity stands out, having significantly higher amplitudes, but it retains the same shape.

Distributions of the axial force are shown in Figure 21. The high velocity case agains shows a much higher amplitude. The blunter nose shape case also stands out, with the distribution shifted forward toward the nose tip.

Distributions of the radial force are shown in Figure 22 (data for the frictionless case were not recorded). Here, in addition to the high velocity case, the larger size penetrator case also stands out, in proportion to the larger surface areas involved. (This is also a factor in the axial force distribution in Figure 21, where the larger penetrator curve is raised above the baseline case.)

A comparison of the peak compressive stress attained in the target material which was originally along the axis of symmetry (in the first column of cells) is shown in Figure 23. The peak stress is about 6 kb for the baseline case, and ranges between 4 and 7 kb for the various parametric cases. The high velocity case induced peak stresses of 8-9 kb.



Figure 20. Comparison of Distributions of Normal and Tangential Stress (σ_n, τ) Applied to Penetrator Surface, Depth of Penetration = 10 in., Physical Parameter Study







igure 22. Comparison of Distributions of Radial Force per Unit Angle per Unit Length of Penetrator along Penetrator Surface, Depth of Penetration = 10 in., Physical Parameter Study



Figure 23. Comparison of Peak Compressive Stress in Target near Axis vs Depth, Physical Parameter Study

2.4 Fracture Pattern Around Penetration Hole

Some additional results of the 3000 ft/sec impact case serve to illustrate an aspect of penetration in sandstone which has previously been observed in welded tuff. Figure 24 shows the fracture pattern developed in the target after penetration to a depth of 24 in. Here annular regions of totally shattered (comminuted), severely fractured, lightly fractured, and still intact material are seen at increasing radii. This pattern of fracture around penetration holes is seen in experiments in rock media. ¹⁰, ¹¹ All the material next to the penetrator and out to a maximum radius of 11 inches, is comminuted. The degraded properties of such material thus largely dominate the local processes which act on the penetrator surface (including, of course, friction).

The corresponding particle velocity field is shown in Figure 25. Diverging flow is seen around the penetrator, and ejecta near the entry hole is seen "blowing off" at about 600 ft/sec.



Penetrator Velocity = 3000 ft/sec



III. EFFECTS OF COMPUTATIONAL ZONING ON CALCULATIONS OF PENETRATION DYNAMICS

In a previous study of vehicle penetration into a soil target,¹ a large radial stress gradient was seen in the soil outward from the surface of the penetrator, particularly near the nose tip. A reasonably accurate computation of the stress field next to the penetrator is, of course, essential to determining the correct forces to be exerted on the penetrator. Where there are sharp gradients, the question of the adequacy of the spatial resolution (zone size) naturally arises. Overly coarse zoning will lead to a truncation of the gradients. As finer and finer zones are employed, the computed gradient should converge on the correct peak values. Unfortunately, there is a heavy price in computing time to be paid for fine-zoned solutions, so a compromise between accuracy and cost must be made.

Fortunately, there are several factors which tend to justify coarser zoning than at first might appear necessary. For example,

a. The region of sharp stress gradients is concentrated near the nose tip of pointed penetrators. The gradients rapidly diminish along the nose, and are usually small when the halfway station between the tip and the tangency point is reached. Since the penetrator circumference and surface area decrease sharply near the tip, an error in applied stress in that region produces a relatively small error in the applied force. (If, of course, the errors in the computations of stress are extremely large, there will be a significant error in the force applied to the penetrator.)

b. As will be seen, the stress gradients are generally much less severe for rock media, as compared to soil media, so zoning requirements are lessened for targets in rock (which are the primary media of interest for this program).

3.1 PENETRATOR AND TARGET CONDITIONS

The effects of zone size on numerical solution results were examined for three different penetration problem conditions, as outlined in Table 3 and Figure 26.

The basic conditions considered were the same as the baseline conditions for the physical parameter study in Section II. The penetrator was the DNA 400-1b, 6.5-in. dia design, impacting at 1500 ft/sec. Three types of target media were considered:

a. the Watching Hill layer 1 soil¹

b. sandstone, and

c. a non-hysteretic, dilatant soft rock

The properties of these materials are listed in Table 4.

The Watching Hill layer 1 soil was modeled as a very porous soil (air fraction = 37%). It has an extremely nonlinear loading hydrostat and is sharply hysteretic (high ratio of unload/load moduli). It was modeled with a non-associated flow rule and thus exhibits no dilatancy.

The sandstone model is the same as used in the physical parameter study, except for the post failure treatment and the friction coefficient. The sandstone has a "strong" failure surface, with an unconfined compressive strength of ~ 6000 psi and a Mises limit of ~75,000 psi. This model can allow tensile stresses as high as 8200 psi. Since these Table 3. Penetration Problems for Zoning Study

Penetrator: 400 lb, 6.5" dia Sandia design

Impact Velocity:

1500 ft/sec

Projectile Nose Tip	Beveled	Beveled	Pointed
Response Characteristics	Extremely hysteretic, non-dilatant	Hysteretic, dilatant	Non-hysteretic, non-dilatant
Unconfined Compressive Strength (psi)	42.6	4944	1766
Density (gm/cm ³)	1.49	2.0	2.0
Target Medium	Watching Hill Layer 1 Soil	Sandstone	Soft Rock



TARGET MEDIA (3 types)

- a. Watching Hill Layer 1 soil
- b. Sandstone
- c. Soft Rock



TABLE 4. TARGET MEDIA PROPERTIES FOR ZONING STUDY

Property	Watching Hill Layer 1 Soil	Sandstone	Soft Rock
Density, p ₀ , gm/cm ³	1.4897	2.0	2.0
Initial value of:			
Young's modulus, E ₀ , psi	17,173	1,044,288	1,044,288
Shear modulus, G _o , psi	6,869	435,120	435,120
Bulk modulus, K _o , psi	11,448	580,160	580,160
Poisson's ratio, v_0 , psi	.25	. 2	.2
Dilatational wave velocity, co , ft/sec	1,013	6,562	6,562
Unconfined compressive strength, psi	42.6	5,944	1,766
Mises limit, $Y_{max} = \sqrt{3J_{2}}$, psi	750	75,365	25,122
Hydrostatic tension limit, P _{min} , psi	-12.3	- 290	-72.5
Flow rule	Non-Associated	Associated	Associated
Tensile fracture model	NO	Yes	NO
Friction rule, $\tau = \mu \sqrt{J_{2max}^{\prime}(\sigma_n)}$	μ = .3	μ = .3	μ = .3

unrealistic tensions occur under positive (compressive) pressure, they would not be prevented by a limit on the hydrostatic tension. It was therefore decided to use a simple fracture model, in which weakened properties are assigned to a cell if the stress exceeds a critical tensile value.

The soft rock was represented by a much simpler model, to help show the impact on zoning requirements imposed by complications such as are present in the soil and sandstone models. The soft rock model has the same initial mechanical properties as sandstone, but employs a linear hydrostat, is non-hysteretic, and has a lower yield surface. Since excessive tensile stresses cannot develop with this model, no fracture model was needed. An associated flow rule was employed.

Additional description of the material models is contained in Appendix A.

The zoning study cases conducted for each of these materials are listed in Table 2 (page 17 in Section 1.3.2). For the soil and sandstone targets, the penetrator nose tip was beveled with a 45° half-angle cone, as in the DNA penetrator design. For the soft rock target, a pointed nose tip (unbeveled) was used. As in the physical parameter study, the zoning solutions were initiated with the penetrator nosetip already buried 5-in. below the ground surface. This provides a shorter run-up time to the deceleration level for a particular impact.

The computational grid designs used for the zoning study cases employed approximately uniform cells in the vicinity of the penetrator path. The basic cell width and height in this region was a selected fraction of the projectile radius.

Zonings of 2, 4, 6, or 8 cells across a projectile radius were considered. It is useful to specify the zoning in terms of the projectile radius, since finite-difference code calculations of penetration processes scale with linear dimensions (except for gravitational or strain-rate effects or complex targets, none of which were considered here). To accommodate the pre-burial of the projectile nose, the cells next to the penetrator nose were reduced in size. However, the material in these cells was not pre-compressed; all of the target material was initially at normal density. The cells in the first two columns below the penetrator were canted upward in the initial grid. This technique, developed for previous penetration solutions, provides a more orthogonal grid as cells next to the penetrator "drag down" during the penetration process. This lengthens the time interval between rezones.

3.2 COMPARATIVE RESULTS

For the purposes of this study, the penetrator deceleration is probably the most meaningful result to use in judging the effects of zone size. Nominal deceleration levels computed for each of the zoning study cases are listed in Table 2 (page 17).

3.2.1 Soil Targets

Figure 27 gives comparative time histories of the timeaveraged deceleration, $\Delta v/t$, of the penetrator in Watching Hill layer 1 soil, using zonings of 2, 4, 6, and 8 cells per projectile radius. Two-cell resolution is clearly inadequate, being insufficient to resolve the high stresses which develop directly ahead of the blunted nose tip. As a result, the penetrator decelerations are substantially lower than in the more finely-zoned runs. Based on the curves in Figure 27,





6 cells per penetrator radius appear to be the minimum for achieving reasonable accuracy in soil. (It should be pointed out that numerical oscillations which correspond to the frequency at which new cells are encountered by the projectile nose are a persistent problem in analyses of penetrations of blunt-tipped projectiles into material, like dry soils, which are relatively compressible and highly hysteretic. Finer zoning alleviates this problem somewhat, but does not prevent the oscillations. Further work to develop techniques for reducing the oscillations in analyses of soil penetrations is needed; it was not undertaken in the current task because of the emphasis on rock penetrations.)

3.2.2 Sandstone Targets

Figure 28 gives the histories of average acceleration, $\Delta v/t$, using zonings of 2, 4, and 6 cells per radius to analyze penetration into sandstone. Figure 29 compares the axial force and deceleration vs time for these cases. The force component resulting from application of the normal stress on the penetrator is seen to be similar for the three cases. The frictional force component is about the same for zonings of 4 and 6 cells per projectile radius, but is distinctly higher for zoning by 2 cells per radius. This inverse effect was due to the inability of the coarser-zoned case to detect fracture occurring near the projectile. Fracture causes degradation of the shear strength of the material, thus limiting the applied frictional stresses to a lower value.

Comparisons of the peak radial stress vs radius at a depth of about 6 in. are shown in Figure 30. From these results, it is concluded that zoning of 4 cells per radius is adequate. Without the complications of the fracture model, a zoning of 2-3 cells per projectile radius might be sufficient.







3.2.3 Soft Rock Targets

Figure 31 gives the histories of time-averaged acceleration, $\Delta v/t$, using zonings of 4 and 6 cells per radius to analyze penetration with a softer rock. Forces and deceleration time histories are shown in Figure 32. Plots of peak radial stress vs radius at a depth of about 6 in. are shown in Figure 33.







Figure 31. Effects of Zone Size on Average Deceleration of Penetrator vs Time, Penetration into Soft Rock Target




The closeness of the results in all these plots indicates that zoning of 4 cells per radius is certainly adequate and that an even coarser zoning might be sufficient. (Coarser zone cases were not run for this problem.)

Note that the penetrator deceleration traces for these solutions are smoother than in the sandstone problems. This is due to the simpler model and absence of hysteretic unloading in the soft rock, and to the use of a pointed nosetip on the soft rock penetrator.

3.3 ZONING CONCLUSIONS

From the above results and comparisons, the following conclusions are drawn:

- a. The required zone fineness increases as the nonlinearity in the earth material response increases.
- b. The presence of abrupt changes in projectile shape (such as the beveled nose tip) may impose finer zone requirements.
- c. Higher impact velocities will generally tend to require finer zoning, since the material will be stressed to higher levels and will thus usually enter into more non-linear regimes.

On the basis of the limited results of this study, we would recommend that the target zone size in the vicinity of the penetrator be a minimum of 4 cells per projectile radius. Where highly non-linear response is expected, at least 6 cells per radius should be used. Since it is impossible to investigate all the potential problem conditions, it would be a good practice to briefly examine zoning effects before computing new penetration problems which involve substantial changes in material properties, nose geometries, or impact velocity.

IV. COMMENTS

The results of this study are mostly favorable to the technology and development of earth penetrating weapons, for the following reasons:

a. For a given type target medium (soil, soft rock, hard rock), lack of detailed property information for potential target materials and natural variations in target properties should not introduce large uncertainties in penetrator performance. Generally, the percentage uncertainty in penetration dynamics will be less than the percentage uncertainty in target media properties. In the parametric study, factor of two changes in basic target properties led to changes in penetrator decelerations of 5 to 50%.

b. The most critical target properties are the strength and frictional characteristics of the material next to the penetrator; for penetrations into rock, this material is severely fractured. It thus becomes important to ascertain the *post-fracture* properties of rock. Efforts to improve knowledge of constitutive properties and penetration processes should emphasize this area. Probably the greatest uncertainty in predicting penetrator dynamics is the frictional force applied to the penetrator.

c. Impact and design parameters were not examined in detail in this study. It is nonetheless evident, for a given type target medium, that the impact conditions and penetrator design are generally more important factors in penetration dynamics than the detailed constitutive properties of the target medium. Fortunately, from the standpoint of design analyses, the impact and design parameters are generally specified, thereby minimizing any uncertainties. The impact velocity clearly has a primary effect on penetrator dynamics. Unfortunately, there is probably no simple universal functional relationship between rigid body deceleration and impact velocity; rather, the relationship depends on the target medium and the velocity regime, and probably on penetrator shape as well.

Additional study is needed in order to provide a basis for optimizing nose shape for different types of earth penetrators. Designers need information with which to select nose shapes which give the appropriate balance of peak acceleration, penetrating capability, and ability to perform in oblique and yawed impacts.

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APPENDIX A

MATERIAL MODELS FOR ZONING STUDY

A.1 WATCHING HILL LAYER 1 SOIL

The material model used for the soil was the same as that used in the previous calculation of the DNA penetrator experiment at DRES, as described in Reference 1. One change was made with respect to the friction rule used to compute the applied shear stress on the penetrator surface: the coefficient in the friction equation was changed to 0.3; i.e.,

$$\tau = 0.3 \frac{Y(\sigma_n)}{\sqrt{3}}$$

from the 0.6 value used previously. The 0.3 value was also used for the sandstone and soft rock cases.

A.2 SANDSTONE

A hysteretic elastic-ideally plastic model, using an associated flow rule and a simple fracture criterion, was formulated to represent typical properties and behavior of sandstone. The model was not intended to represent the rock at a specific site. The model was formulated such that the mechanical properties in loading and unloading depend on the current elastic volumetric strain (μ) and the maximum loading state (P_{max}, μ_{max}) which the material has experienced.

Symbol Definitions

	B	=	bulk modulus
	G	=	shear modulus
	J ₂ '	=	second invariant of deviatoric stresses
	Р	=	pressure (mean normal stress)
	Pmax	=	maximum pressure reached by a material element
	μ	=	$\frac{\rho^e}{\rho_0}$ -1 = natural volumetric strain (elastic)
	μ _{max}	=	maximum volumetric strain (elastic) reached by a material element
	Ve	=	Poisson's ratio in loading
	vu	=	Poisson's ratio in unloading, reloading
	ρ ^e	=	density (elastic)
	ρ _o	=	normal density

Loading Hydrostat

$$P = K_m \mu - (K_m - K_o) \mu^* \left[1 - \exp \left[\frac{\mu}{\mu^*} \right] \right]$$

Unloading Hydrostat
$$(\mu < \mu_{max})$$

 $P = K'_{o}(\mu - \mu_{s})$ $\mu \leq \mu_{s}$
 $P = K'_{o}(\mu - \mu_{s}) + A(\mu - \mu_{s})^{\alpha}$ $\mu > \mu_{s}$

where

$$A = \frac{P_{max} - K_{o}'(\mu_{max} - \mu_{s})}{(\mu_{max} - \mu_{s})^{\alpha}}$$
$$\alpha = \frac{(\mu_{max} - \mu_{s}) (K_{m}' - K_{o}')}{P_{max} - K_{o}'(\mu_{max} - \mu_{s})}$$

and

$$K'_{o} = f(\mu_{s})$$

$$K'_{m} = K_{m} - (K_{m} - K_{uo}) \exp \frac{-\mu_{max}}{\mu_{u}^{*}}$$

$$\mu_{s} = g(\mu_{max})$$

In this model, $f(\mu_s)$ is constant and

$$g(\mu_{max}) = Min(a\mu_{max}, \mu_c)$$

The values of the constants are:

a = .6
$$\mu_c$$
 = .25
f(μ_s) = const = 50 kb μ^* = 1.5
K_o = 40 kb μ_u^* = 1.5
K_m = 800 kb ρ_o = 2 gm/cm³
K_{uo} = 150 kb

Hydrostatic tension was limited by imposing a minimum value of pressure: $P_{min} = -.02$ kb.

Shear Modulus

G = Min
$$\left[\frac{3B(1-2\nu)}{2(1+\nu)}, G_{max}\right]$$

where

$$B = (\mu+1)\frac{dP}{d\mu}$$

For loading, a constant Poisson's ratio, v_{ℓ} = .2, was used and for unloading, a constant Poisson's ratio, v_{u} = .18, was used, except that a limit on the resulting shear modulus of G_{max} = 200 kb was imposed.

Yield Surface and Fracture Model

The yield surface defines the limit of elastic states. For tentative stress states lying outside the yield surface, plastic flow is computed in accordance with the associated flow rule.

The yield surface used for intact sandstone was:

$$\sqrt{J'_{2max}} = Min (k_1 + k_2 P, k_3)$$

where $k_1 = .1$ kb, $k_2 = 1.0$, $k_3 = 3$ kb

The following tensile fracture model was used to prevent the buildup of large tensile stresses.* For material in a cell which develops a principal stress exceeding a critical tensile stress, the material is considered to have fractured and is thereafter assigned degraded material properties. The critical tensile stress was set at 20 bars and the fractured material was assigned a lowered failure surface, defined by:

 $k_1 = 0$ $k_2 = .5$ $k_3 = 1.5$ kb

The failure surfaces for the intact and fractured material are shown in Figure A-1. In addition, the fractured material was modeled as a constant shear modulus material, with G = 15 kb, and P_{min} was set to zero.

Model Results

Plots of vertical stress vs vertical strain, stress difference vs pressure, and pressure vs volumetric strain for

^{*} The more complex model for fracture/post-fracture behavior in the sandstone used in the physical parameter study is described in Section 2.1.

uniaxial strain load-unload paths computed with the model are shown in Figures A-2 to A-4. The points where fracture occurs upon unloading are shown by x's on Figures A-3 and A-4.

Friction Rule

The following friction rule was used to compute the shear stress τ acting at the penetrator/rock interface:

$$\tau = .3 \sqrt{J'_{2max}(\sigma_n)}$$

Here σ_n , the stress component normal to the penetrator surface, is the argument of the yield surface function, $\sqrt{J'_{2max}}$.

A.3 SOFT ROCK

Loading and Unloading Hydrostat (Non-Hysteretic)

$$P = -K\left(\frac{1}{\eta} - 1\right)$$

A constant shear modulus model was employed, with G = 30 kb. Yield Surface (Associated Flow Rule)

$$\sqrt{J_2'} = Min (k_1 + k_2^P, k_3)$$

The values of the constants are:

ρ _o	=	2. gm/cm^3	$k_2 = .5$
K	=	40 kb	$k_3 = 1 \text{ kb}$
k ₁	=	.05 kb	$P_{min} =005 \text{ kb}$

The yield surface for this model is shown in Figure A-5.







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