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# SEISMIC STUDIES FOR IMPROVED YIELD DETERMINATION

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#### I. SUMMARY

The objective of our research program is to examine the parameters that affect the seismic signals from underground explosions. Our attention is primarily directed to those features of the seismic waveforms that reliably indicate the explosion yield. Our research program includes empirical studies of the available data, experimental studies using small charges to simulate explosions and the development and application of theoretical and numerical methods. Emphasis is on the latter, in particular we are applying techniques for numerically simulating the far-field signals from both contained and cratering underground explosions. The numerical simulation techniques represent a synthesis of the finite difference methods for computing ground motion in the near-source large displacement regime and the efficient wave propagation techniques of theoretical seismology.

During the first three-month period of our present contract, our research activities have been concentrated in five areas. These areas and the major results obtained in each are summarized in the next five paragraphs.

We have been involved in a joint project with Applied Theory, Inc. (ATI) and Pacific Sierra Research (PSR) in which the main objective has been to study the variation of the teleseismic magnitudes,  $M_g$  and  $m_b$ , with source material and depth for a series of cratering calculations. Our contribution has been to process the output of the ATI calculations and obtain estimates of  $M_g$  and  $m_b$ . A detailed report is being prepared describing our techniques and results. In Section 3.1 of this report we present the synthetic seismograms and the  $m_b$  and  $M_g$  values we have computed. These results are presented without comment regarding their significance or importance for treaty monitoring. Such comments will be more appropriate after detailed consultation with ATI and PSR scientists and will appear in our topical report.

An important question now under study at many institutions is the possibility that NTS may be underlain by a region of anomalously high attenuation which causes the mh from NTS explosions to be biased low. We have also been studying this question. As a first step we have compared the frequency content of a large population of NTS and Eurasian explosions at several recording stations where we have previous experience in synthesizing short period recordings of NTS events. Our results are shown graphically and discussed in Section 3.2. We conclude that the dominant periods for Kazakhstan events are considerably shorter than for NTS events in the same yield range. The differences are as much as 0.5 seconds at two of the stations. A significant point about the NTS data is that the period of the PILEDRIVER event, an unusual NTS explosion in granite, falls within the NTS population. While these data do not argue conclusively for differences in attenuation being the cause of the observed frequency differences, they point in that direction, particularly in view of the large sampling of event depths and source media. We are continuing to study this problem using our synthetic seismogram generating capabilities.

An important part of our research capability is our numerical (finite difference) techniques for simulating the coupling of the explosion energy into elastic waves in the earth. Using our one-dimensional (spherically symmetric) computer code, we have been studying the effect of near source material properties on the seismic signal. Our previously developed constitutive models were found to be unsatisfactory when used for highly porous materials. Our constitutive models were reevaluated and the results are discussed in Section 3.3. A minor modification to the constitutive model was found to enable the program to give excellent results for highly porous materials. The new model is as compatible with laboratory data as was the one previously used.

During the quarter, a theoretical study was conducted in which the objective was to compare explosions in salt in Eurasia to similar, though hypothetical, events at NTS and to granite events at NTS. The results of this study were presented in detail in a topical report by Bache, Cherry and Mason [1976]. The main results from that report are given here in Section 3.4. A series of equivalent point source representations for explosions at various depths in salt were computed. We found that the long period level, proportional to M, was only mildly sensitive to depth. The short period level, however, was much more sensitive, varying by a factor of two for depths between 150 and 2500 meters. This is due to the opening of tensile cracks in the material at the low confining pressures characteristic of shallow depths. We found that when all other factors are the same, explosions in salt couple about a factor of two smaller than events in PILEDRIVER-type granite. We also looked at the effect of attenuation on the seismograms. If we assume the attenuation for NTS events is about 40 percent higher than for Eurasian events, we find that identical salt explosions at the two test sites would give body wave amplitudes that differ by a factor of  $\approx$  3-4.

We have been engaged in an experimental program in which small-scale modeling experiments of underground explosions are to be compared to computer simulations of the experiments. After some difficulties with the explosives and displacement gauges which took several months to resolve, several successful modeling experiments have been carried out. The test medium is a concrete cylinder and the charges are 0.25 grams of PETN explosive which can be buried at selected depths. In Section 3.5 we give some results from our first experiments. These results indicate the reliability and repeatability of the experiments and are necessary to lend credibility to the results. The ground motion records are of excellent quality and we are now proceeding to

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numerically model these experiments. These should provide an excellent normalization of our theoretical techniques. Further experiments are being performed in which the charge will be shallow so cratering will result.

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### II. INTRODUCTION

The primary objective of the research program is to systematically examine the parameters that control magnitudeyield relationships for underground nuclear explosions. During the first three month period of this contract, activity has been concentrated in the following areas:

- The calculation of teleseismic magnitudes (M<sub>s</sub> and m<sub>b</sub>) for nuclear explosion cratering calculations carried out by Applied Theory, Incorporated.
- The study of body wave attenuation properties for selected non-U.S. travel paths.
- Development of improved techniques for onedimensional numerical simulation of explosions.
- A study of the dependence of body wave magnitude on yield for underground explosions in salt.
- A laboratory simulation of underground explosions (project carried over from our previous contract, Project VT/6712).

The following section, the Technical Discussion, is devoted to technical summaries of our work in each of the above listed areas.

### III. TECHNICAL DISCUSSION

# 3.1 CALCULATION OF M<sub>s</sub>, m<sub>b</sub> FOR ATI CRATERING CALCULATIONS

#### 3.1.1 Introduction

We are involved in a joint project with Applied Theory, Incorporated (ATI) and Pacific Sierra Research (PSR) to study the variation of the teleseismic magnitudes,  $M_s$  and  $m_b$ , with source material and depth for a series of cratering calculations. The S<sup>3</sup> function has been to process the output of the finite difference calculations performed by ATI and to determine  $M_s$  and  $m_b$  for each calculation. This is a complex and rather difficult task and a detailed report is being prepared describing our techniques and the results. In this report we will present the most important results. We would like to point out that the results are preliminary and some changes may result after consultation with ATI and PSR scientists.

### 3.1.2 Computational Procedure

Briefly outlined, the computational procedure followed to obtain  $M_s$  and  $m_b$  for the cratering calculations is as follows:

- 1. The ATI performed cratering calculations were carried into the regime where the material response is approximately linearly elastic. A tape was then prepared containing the time histories of the divergence and curl (V·u(t), V×u(t)) of the displacement field on a radius, denoted the elastic radius, centered at ground zero. The geometry and coordinate system are shown in Figure 3.1.1.
- The divergence and curl are expanded in a series of spherical harmonics to obtain an equivalent elastic source. The procedure is formally that described by



Figure 3.1.1. The geometry and coordinate system for the cratering calculations. The solution is independent of the azimuthal coordinate.

Bache and Harkrider [1976] for sources in a whole space. However, the presence of a free surface requires a number of assumptions that, to some extent, control the solution. The extent of this effect is discussed in some detail in our forthcoming report. However, the most important point is that all the cratering calculations are treated the same way and the relative values of m<sub>b</sub> and M<sub>s</sub> should be preserved.

- Using the equivalent elastic source, synthetic seismograms are computed for body waves and surface waves. For body waves the pertinent references are Bache and Harkrider [1976] and Bache, et al. [1976]. For surface waves we use the method of Harkrider which has been described in numerous publications; e.g., Harkrider [1964], with certain modifications indicated in Section 3.1.6.
- The values of m<sub>b</sub> and M<sub>c</sub> are strongly dependent on the crust and upper mantle models used in the synthetic seismogram calculations. However, the same models are used in all calculations and the relative values should be insensitive to these models.
- 5. The free surface stresses due to the ejecta fallback were also provided to us by ATI. These data were analyzed to determine the effect on the far-field body and surface waves.

### 5.1. Description of Cratering Calculations

We will be describing fourteen source calculations in three emplacement materials. Two of these are one-dimensional calculations for contained explosions in a homogeneous whole space and provide benchmark cases for measuring the effect of the cratering. The other twelve are cratering calculations. The important parameters characterizing the calculations are summarized in Table 3.1.1.

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# TABLE 3.1.1

# PARAMETERS DESCRIBING THE ATI CALCULATIONS

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Identifier	Material	Depth (km)	$\alpha$ (km/sec)	$\beta$ (km/sec)	$\rho$ (km/sec)
1	Granite	0.159	4.402	2.54	2.661
2	"	0.207	4.402	2.54	2.661
3	"	0.253	4.406	2.542	2.661
4	Dry Sandstone	0.159	2.822	1.740	2.30
5		0.207	2.825	1.743	2.30
6		0.253	2.828	1.744	2.30
7		0.207	2.836	1.755	2.30
8	Wet Sandstone	0.053	2.620	1.509	2.40
9		0.159	2.624	1.592	2.40
10	•	0.207	2.614	1.513	2.40
11	n	0.253	2.618	1.517	2.40
12	"	0.531	2.619	1.519	2.40
13	Granite	Spherically Symmetric	4.239	2.448	2.661
14	Wet Sandstone	Spherically Symmetric	2.619	1.530	2.40

We see from the table that the calculations have the potential to help us understand:

- The effect of burial depth on the teleseismic signature of cratering explosions.
- The effect of emplacement material on the signal from cratering explosions.
- The differences between cratering and contained shots in the same material.

Considering the approximations made in our calculations (exclusive of any difficulties with the finite difference calculations), the above are listed in order of the amount of confidence we place in the results.

### 3.1.4 Far-field Displacement Spectra

For each of the fourteen sources listed in Table 3.1.1 we compute far-field displacement spectra. For the onedimensional, spherically symmetric calculations the equivalent elastic source is the reduced displacement potential,  $\Psi(t-R/\alpha)$ , which is related to the displacement by

$$\hat{u}(R,\omega) = \frac{\hat{\Psi}}{R^2} + \frac{\hat{\Psi}}{R\alpha} , \qquad (3.1.1)$$

where all quantities are F wrier transformed. Then the farfield displacement spectr is defined by

$$\hat{u}_{ff}(R,\omega) = \frac{\hat{\Psi}}{R\alpha} . \qquad (3.1.2)$$

An entirely analogous procedure is followed for the complex equivalent elastic source representations of the cratering calculations. That is, we retain only terms of order  $R^{-1}$  in the expansion. The displacement spectra are presented in Figures 3.1.2 - 3.1.7. The plots are log-log in amplitude versus frequency. Note that the scale on the amplitude axis is in powers of 10 while the actual frequencies are printed on the abscissa.

Two frequencies are singled out on the spectra for the cratering calculations and are denoted A and B. The frequency denoted B is associated with the (approximate) total time of the ground motion data provided by ATI. That is, if the  $\nabla \cdot u(t)$  and  $\nabla \times u(t)$  at a typical station on the elastic radius had their first non-zero value at  $t_i = 0.4$ seconds and the final time point was at  $t_f = 2.5$  seconds, we say that the frequency B is  $1/(t_f - t_i)$  or, for this example, 0.48 Hz. Then B is the lowest frequency that can be associated with the actual computed data.

The meaning of the frequency A is more difficult to explain and the interested reader is referred to our forthcoming detailed report. Beyond the time  $t_f$  we assume that the lowest order terms (the dipole) in the expansion of the divergence and curl are zero. The higher order terms are assumed to remain static at the value reached at the last time point. The amplitudes at all frequencies below B are dependent on these assumptions. In order to compute the Fourier transform we extend the time histories out to some  $t_e$  using the assumptions mentioned above. Then  $A \approx 1/(t_e-t_i)$ .

How do we compute values for frequencies below A? We know that the response at low frequencies is dominated by the dipole term in the expansion. Further, we can prove that the dipole term must behave like  $\omega^2$  at low frequency for a bounded solution. This corresponds to a flat far-field displacement spectrum at low frequency. Our actual procedure is to extrapolate the amplitude of the dipole term from its value at A by assuming proportionality to  $\omega^2$ . This is nearly the same













as assuming the far-field displacement spectrum to be flat to long periods from its value at A as can be seen in the figures.

Finally, in Tables 3.1.2 - 3.1.3 we tabulate the spectral values that have the greatest significance for computing teleseismic body and surface waves. The amplitude values in Tables 3.1.2 - 3.1.3 are all on the same scale (all have been multiplied by  $10^{-4}$  R) and are best viewed as relative amplitudes. The reliability of these values for scaling m<sub>b</sub> and M<sub>c</sub> is discussed in our topical report.

# 3.1.5 Body Wave Amplitude, m

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In this section we present our synthetic seismograms for the fourteen ATI sources and give the  $m_b$  values for each. The synthetic seismogram calculations include the following elements:

- 1. The equivalent elastic sources which give the (whole space) far-field displacement spectra described in the previous section are embedded in a layered model of the crust in the source region. The basic model used for the calculations is tabulated in Table 3.1.4. The top layer was changed to have the properties appropriate to each source as listed in Table 3.1.1. In carrying out the calculations only the downgoing waves emitted by the source are computed; that is, no free surface is included in the source crustal model.
- 2. The far-field body waves emanating from the base of the source crust and characterized by ray parameter p = 0.079 sec/km are calculated.
- 3. The upper mantle is accounted for by a step function response computed using generalized ray theory. In

### TABLE 3.1.2

# BODY WAVE AMPLITUDE SPECTRA - FAR-FIELD P WAVE 1 HZ SPECTRAL AMPLITUDES AT $\tau = 20^{\circ}$

Identifier	Material	Depth (km)	Spectral Amplitude
13	Granite	Spherically Symmetric	1.8
1		0.159	1.8
2		0.207	2.1
3		0.253	1.5
4	Dry Sandstone	0.159	0.60
5	n	0.207	0.65
6	"	0.253	0.72
7	Weak Dry Sand- stone	0.207	1.4
14	Wet Sandstone	Spherically Symmetric	1.7
8		0.053	1.3
. 9		0.159	2.1
10	"	0.207	2.8
11	"	0.253	2.7
12	"	0.531	2.5

# TABLE 3.1.3

# SURFACE WAVE AMPLITUDE SPECTRA (0.05 Hz)

Identifier	$P(\tau=20^\circ)$	$S(\tau=20^\circ)$	$P(\tau=70^\circ)$	$S(\tau=70^\circ)$
13	1.3	-	1.3	-
1	2.9	2.0	1.1	5.4
2	3.2	5.7	1.2	15.6
3	3.3	0.9	1.2	2.7
4	6.5	1.1	2.4	2.8
5	7.1	5.0	2.6	1.4
6	5.6	5.7	2.1	1.6
7	10.6	0.95	3.9	2.4
14	2.0	-	2.0	-
8	2.2	1.7	0.78	4.6
9	10.1	7.4	3.7	20.2
10	21.3	13.6	7.7	37.8
11	14.4	22.0	5.2	60.1
12	13.7	11.8	5.0	32.5

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# TABLE 3.1.4

### SOURCE REGION CRUSTAL MODEL

Depth (km)	Thickness (km)	a(km/sec)	B(km/sec)	$p(g/cm^3)$
1.0	1.0	Granite, We	t or Dry Sam	ndstone
1.7	0.7	4.7	2.7	2.6
2.7	1.0	5.4	2.8	2.7
4.0	1.3	5.8	3.45	2.8
20.0	16.0	6.0	3.50	2.8

# TABLE 3.1.5

### RECEIVER REGION CRUSTAL STRUCTURE

Depth (km)	Thickness (km)	a(km/sec)	$\beta(km/sec)$	p(km/sec)
2.58	2.58	3.67	2.31	2.40
4.84	2.26	5.42	3.27	2.60
11.61	6.77	5.80	3.45	2.60
20.0	8.39	6.00	3.50	2.80

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this case we took the distance to be  $\Delta = 36^{\circ}$  which is beyond the upper mantle triplications and the upper mantle response is essentially a constant geometric spreading factor.

- 4. The response of the receiver crustal model (Table 3.1.5) is included. In this case the receiver crust has little effect other than scaling the seismogram.
- 5. The response is convolved with an operator representing the attenuation and dispersive properties of the earth. For these calculations we took t\* = 0.7 (t\* is the ratio of travel time to the effective path attenuation factor, Q).
- The ground motion is convolved with the response of a standard short period seismograph system.

The synthetic seismograms are shown in Figures 3.1.8 - 3.1.10. The cycle from which  $m_b$  is measured is indicated on each record by a bar. The  $m_b$  values and the period of the  $m_b$  cycle are tabulated in Table 3.1.6. The  $m_b$  is computed from

$$m_{b} = \log \frac{A}{T} + 3.32$$
, (3.1.3)

where T is the period tabulated, A is the peak-to-peak amplitude of the indicated cycle corrected for the instrument response at the period T, and the constant 3.32 is the appropriate distance correction factor. Recall that for all the seismogram calculations there is no free surface near the source.

It is interesting to examine how the m<sub>b</sub> scales with the far-field displacement spectra discussed in Section 3.1.4. To first order we expect to have [Bache, <u>et al.</u>, 1976],

$$m_{b} \approx \log \left( \alpha_{s}^{2} \hat{u} \right)$$
, (3.1.4)







TIME (SEC)





TIME (SEC)

Figure 3.1.10.

.1.10. Synthetic short period seismograms for one spherically symmetric and five cratering calculations in wet sandstone. The numbers to the left are ground motion in microns at 1 Hz.

# TABLE 3.1.6

# SUMMARY OF m<sub>b</sub> VALUES FOR ATI CRATERING CALCULATIONS

Identifier	Depth	m <sub>D</sub>	m Period	Normalized $(A/T)/\alpha^2 \hat{u})$
Granite				
13	Spherically Symmetric	6.29	0.81	0.93
1	0.159	6.28	0.78	0.85
2	0.207	6.34	0.82	0.73
3	0.253	6.28	0.80	1.01
Dry Sandstone	9			
4	0.159	5.48	0.96	0.96
5	0.207	5.57	0.88	1.09
6	0.253	5.55	0.86	0.94
7 (weak)	0.207	5.80	0.93	0.86
Wet Sandstone	e			
14 (1-D)	Spherically Symmetric	5.88	0.79	1.00
8	0.053	5.66	0.94	0.79
9	0.159	5.98	0:92	1.02
10	0.207	6.11	0.92	1.05
11	0.253	6.10	1.03	1.05
12	0.531	6.15	0.99	1.27

where  $\hat{u}$  is the displacement spectrum at the controlling frequency and  $\alpha_s$  is the P wave velocity at the source. Using the values of  $\alpha_s$  from Table 3.1.1 and  $\hat{u}$  (1 Hz) from Table 3.1.2, we normalize the amplitudes and scale to the value for calculation 14. The normalized scaled amplitudes are tabulated in the last column of the table. We see that all values are within  $\pm$  27 percent of the normalized amplitude for calculation 14. Further, eleven of the fourteen are scaled to within 15 percent by (3.1.4). The discrepancies are mainly attributed to the fact that we are normalizing to the spectral amplitude at 1 Hz while the frequencies controlling the seismogram amplitudes range from 0.97 - 1.32 Hz. From Figures 3.1.2 - 3.1.7 we see that the P wave spectra are complex and rapidly changing in this region.

3.1.6 Surface Wave Amplitude, M

In this section we present our synthetic long period seismograms for the fourteen ATI sources and give the M<sub>S</sub> values for each. The synthetic seismogram calculations include the following elements:

- 1. The method for the surface wave calculations is described by Harkrider [1964] and Harkrider and Archambeau [1977]. The same equivalent elastic source formulation used for the body waves is used for the surface waves. Once again, only the downgoing waves from the source are included in the calculations.
- 2. Two crustal models are used for the path, one for the very near source region and one for the remainder of the path to the receiver. The average path model is one proposed for North America by McEvilly [1964]. The only difference between the models is that the top three kilometers of the

source region crustal model is replaced by the ATI granite, wet or dry sandstone, depending on the source material. For the long periods controlling teleseismic M<sub>s</sub> the reflection coefficient for Rayleigh waves passing across the boundary between the source and average path crustal model is close to unity.

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The ground motion is convolved with the response of an LRSM long period seismometer. A Q operator which has only a minor effect is also included. The Q model is that of Tryggvason [1965].

The seismograms were synthesized at a range of 3000 km. The M<sub>s</sub> was computed using the formulas of Marshall and Basham [1972]. For this range the formula reduces to

 $M_{e} = \log A + 1.38 + P(T)$ 

where A is the maximum amplitude (zero-to-peak) of the signal with period near 20 seconds and P(T) is a period dependent path correction tabulated by Marshall and Basham [1972]. The correction is quite small for periods near 20 seconds.

The vertical component Rayleigh wave synthetic seismograms are shown in Figures 3.1.11 - 3.1.13. Two seismograms are shown for the spherically symmetric contained explosions in granite and wet sandstone (cases 13 and 14). In one of these (13b, 14b) only the downward waves are included in the calculation. The seismograms are then the analog of the case 13 and 14 body wave seismograms of Figures 3.1.8 and 3.1.10. Since the M<sub>s</sub> is relatively insensitive to depth for contained explosions (unlike m<sub>b</sub> which is strongly affected by the pP phase), we also computed seismograms for fully contained explosions at a depth of 200 meters. The seismograms are given as cases 13a and 14a.



TIME (SEC)

Figure 3.1.11. Vertical component Rayleigh wave seismograms for a spherically symmetric and three cratering explosions in granite. The numbers at the left are displacement in microns at 25 seconds.

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TIME (SEC)

Figure 3.1.12. Vertical component Rayleigh wave seismograms for four cratering explosions in dry sandstone. The numbers at the left are displacement in microns at 25 seconds.


TIME (SEC)

Figure 3.1.13. Vertical component Rayleigh wave seismograms for a spherically symmetric and five cratering explosions in wet sandstone. The numbers at the left are displacement in microns at 25 seconds.

# TABLE 3.1.7

# SUMMARY OF M VALUES FOR ATI CRATERING CALCULATIONS

Identifier	Depth (km)	Ms	M <sub>s</sub> Period	Log Spectral Amplitude, log  (20 sec)	M <sub>s</sub> -log Â
Granite					
13A	1-D Total Source	4.57	20.1	1.92	2.65
13B	l-D Down Waves Only	4.34	21.5	1.62	2.72
1	0.159	4.01	20.0	1.30	2.71
2	0.207	4.95	23.6	2.25	2.70
. 3	0.253	4.72	21.8	2.03	2.69
Dry Sandstone					
4	0.159	4.66	22.0	1.92	2.74
5	0.207	4.68	22.7	1.92	2.76
6	0.253	4.60	22.5	1.83	2.77
7 (weak)	0.207	4.83	22.0	2.07	2.76
Wet Sandstone					
14A	1-D Total Source	4.17	22.8	1.52	2.65
14B	l-D Down Waves Only	4.26	20.0	1.53	2.73
8	0.053	4.19	21.2	1.43	2.76
9	0.159	4.71	21.2	2.02	2.69
10	0.207	4.99	19.3	2.35	2.64
11	0.253	5.07	20.1	2.44	2.63
12 .	0.531	5.00	19.8	2.34	2.66

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The important data from the seismograms of Figures 3.1.11 - 3.1.13 are summarized in Table 3.1.7. The phase at which the amplitude for  $M_s$  was measured is indicated on the seismograms of Figures 3.1.11 - 3.1.13 by a bar. The period of this phase is given in the table. Also listed in the table is the spectral amplitude of the true ground motion at a period of 20 seconds. The difference between  $M_s$  and log indicates the consistency to which the  $M_s$  measurement represents a true measurement of the energy at frequencies in this range. These differences are tabulated in the last column of Table 3.1.7. We see that the  $M_s$  values are quite consistent with the spectral measurements with the spread between the maximum and minimum values being  $0.14 M_s$  units.

## 3.1.7 Contribution of the Ejecta Fallback to Teleseismic Ground Motion

In carrying out the cratering calculations, ATI also tracked the ejecta and computed the surface loading due to its fall back to the free surface. The resulting surface loading consists of an axisymmetric distribution of normal and shear stresses on the surface. If we Fourier transform all transient quantities, the free surface boundary conditions are:

 $P_{zz}(r,\phi,0,\omega) = P_{0}(r,\omega) ,$  $P_{zr}(r,\phi,0,\omega) = Q_{0}(r,\omega) ,$ 

where  $P_{zz}$  and  $P_{zr}$  are the Fourier transformed normal and shear stress components in a cylindrical coordinate system. The P and Q are the applied stress distributions.

The elastic waves generated by loading of the form (3.1.5) on the free surface of a multilayered halfspace can be worked out analytically using techniques similarly applied by Harkrider [1964] or Bache and Harkrider [1976] for the

(3.1.5)

buried source. The theory is presented in detail in our forthcoming topical report. In this report we will simply give the results.

In computing surface waves for the ejecta we found the maximum amplitude of the generated surface waves to be 1-2 orders of magnitude lower than for the surface waves from the contained portion of the explosion. The amplitude of the displacement spectrum falls off rapidly for frequencies above 0.1 Hz so the contribution to the body waves is quite a bit less. We concluded, therefore, that the ejecta contribution to  $m_h$  and  $M_s$  could safely be ignored.

## 3.2 BODY WAVE ATTENUATION PROPERTIES FOR SELECTED TRAVEL PATHS

#### 3.2.1 Introduction

The frequency content of the first few cycles of explosion P waves recorded at teleseismic distances is primarily controlled by the shape of the source function, the delay time between P and pP, and the anelastic properties ( $t^* = T/Q$ , where T is the travel time and Q is the average path material quality factor) of the source-receiver propagation path. The primary objective of this particular study is to determine variations in t\* between U.S. and USSR test sites and, in particular, the effect that this variation produces on yield estimates from teleseismic P waves. As a first step toward a solution to this problem, we have compared the frequency content of a large population of NTS and Eurasian explosions at several recording stations where we have previous experience in predicting explosion P waves.

### 3.2.2 Station Locations and Experimental Data

Short-period seismograms of explosion P waves were obtained from two different sources for this experiment. One source was the Palmer network of seismic stations located throughout Alaska and the Aleutian Islands. The names, codes and locations of four of the Palmer stations used in this experiment are given in Table 3.2.1.

The second source of data was two stations of the Atomic Energy Detection System (AEDS) network, hereafter referred to as Sites A and B, located at teleseismic distances from the NTS and Eurasian test sites.

Using these explosion seismograms, the dominant periods of the "b" and "d" phases of the short-period P waves were measured for each event. Examples of these two measurements,  $T_b$  and  $T_d$ , are shown in Figure 3.2.1.

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# TABLE 3.2.1

Station Name	Code	Location		
Adak Observatory, Aleutians	ADK	51°53'01"N 176°41'04"W		
Arctic Valley, Palmer	PMS	61°14'41"N 149°33'38"W		
Gilmore Creek, Fairbanks	GIL	64°58'30"N 147°29'42"W		
Kodiak Island	KDC	57°44'52"N 152°29'30"W		



Figure 3.2.1 Sketch of a typical explosion P-wave with dominant period measurements,  $T_b$  and  $T_d$ , indicated.

As can be seen in Figure 3.2.1,  $T_b$  is based on a halfcycle within the first portion of the P wave while  $T_d$  is usually based on a later occurring half-cycle corresponding to the maximum amplitude excursion within the first three or four cycles of the P wave. For events located in the same source region and recorded at a common station the b phase has been found to be a more precise measure of explosion coupling than the d phase [Bache, <u>et al.</u>, 1975]. This is attributed to the fact that the b portion of an explosion P wave is less contaminated by later arriving phases (i.e., pP, mantle arrivals).

### 3.2.3 Results of Data Analysis

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The period measurements,  $T_b$  and  $T_d$ , are plotted in Figures 3.2.2 through 3.2.15 versus estimates of explosion yields for a large population of NTS and Eurasian events. The yield estimates are based on body wave magnitude determinations and are taken from Klepinger [1974] and Alewine, <u>et al.</u> [1975]. The results of this analysis are categorized and presented according to type of measurement ( $T_b$  or  $T_d$ ), recording station and event source region.

Some comments based on the explosion data from the Palmer stations, PMS (Figures 3.2.2-3.2.5), KDC (Figures 3.2.6-3.2.7) and ADK (Figures 3.2.8-3.2.9) are the following:

- 1. The dominant periods of both the b and d phases for presumed explosions in east Kazakhstan are shorter than the corresponding periods for NTS events in the same yield range. Mean values of  $T_b$  and  $T_d$  are shorter by approximately 0.5 sec at PMS and KDC, and 0.2 sec at ADK.
- 2. The scatter in the observed  $T_b$  values is between a factor of 1-1/2 and 2 less than the scatter in  $T_d$  values.





























 There is no apparent dependence of dominant period, either T<sub>b</sub> or T<sub>d</sub>, on event yield for east Kazakhstan explosions.

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- 4. In the case of NTS events, there is no observed dependence of period on yield at the station PMS. At the stations KDC and ADK, however, dominant periods tend to increase with increasing explosion yields.
- 5. At PMS, the only station of the three in question for which data from Eurasian explosions not located in east Kazakhstan are available, there is an apparent lengthening of dominant period with increasing event yield. An important point to note, however, is that these events occur in different source regions at significantly different epicentral distances from PMS complicating any interpretation.

The observations from the remaining Palmer station, GIL, are included here to point up the problem of relying on data from a single station. In Figures 3.2.10-3.2.12, values of the dominant periods are plotted versus explosion yield for the event populations considered previously. In contrast to the pronounced separation of event populations seen previously, the estimates of  $T_b$  and  $T_d$  for the Eurasian (east Kazakhstan and PNE's) and NTS explosions overlap significantly. In fact, the mean Th for east Kazakhstan events is slightly longer (0.85 sec) than that for NTS events (0.75 sec). For PNE versus NTS events, the mean values of T, are approximately equal. The apparent reversal of Th for east Kazakhstan, or near equality for the PNE, versus NTS events is attributed to an obvious phasing of the GIL seismograms of Eurasian events. This phasing (later arrival) results in longer apparent periods when the measurements are made according to the convention described in Figure 3.2.1.

The final set of figures shown here (Figures 3.2.13-3.2.15) compare dominant periods for several Eurasian events with a few NTS explosions including, in particular, the PILEDRIVER event. These data were obtained from two AEDS stations at teleseismic distances from NTS. Data from PILEDRIVER were not available from the Palmer stations since the Palmer network began operating during September 1967, more than one year after the occurrence of PILEDRIVER.

As in the case of the Palmer stations PMS, KDC and ADK, the estimates of  $T_b$  for NTS events, including PILEDRIVER, are longer than  $T_b$  estimates for Eurasian explosions in the same yield range. A significant point about the PILEDRIVER explosion is the fact that it was detonated in granite and was characterized by a fairly short pP-P lag time compared to other NTS events of comparable yield. It is clear from the results in Figures 3.2.13-3.2.15, however, that this apparently does not influence the observed  $T_b$  estimates relative to the other NTS events.

### 3.2.4 Conclusions

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As noted at the beginning of this section of the report, the frequency content of explosion P waves recorded at teleseismic distances is primarily controlled by the explosion source function, pP-P lag time and the anelastic properties of the source-to-receiver propagation path. Our observations indicate that P waves from Eurasian events, particularly those originating at east Kazakhstan, are of significantly higher frequency than P waves from NTS events recorded at the same stations. While these data do not argue conclusively for differences in attenuation beneath the NTS and Eurasian test sites being the cause of the observed period (frequency) differences, this is the suggested explanation in view of the large sampling of different event depths (pP-P lag times) and source media.

The data described here provide important constraints on our waveform predictions for Eurasian events. Given independent estimates of pP-P lag times (i.e., based on spectral nulls) and a range of predicted source functions, we will be able to estimate values of t\* for the different test site-toreceiver paths by matching the observed frequency content of seismograms.

#### 3.3 DEVELOPMENT OF IMPROVED TECHNIQUES FOR ONE-DIMENSIONAL NUMERICAL SIMULATION OF EXPLOSIONS

#### 3.3.1 Introduction

During the past several years we have been developing constitutive models and stress wave propagation techniques for modeling underground nuclear explosions [e.g., Cherry, <u>et al.</u>, 1973; 1975]. The computational techniques developed are capable of simulating the explosion induced shock wave from the near source high pressure regime out into the region where the material response is linearly elastic. Most of our calculations have been carried out in a one-dimensional (spherically symmetric) geometry for which we use the computer program we call SKIPPER.

In recent months we have needed to compute the equivalent elastic source for explosions in highly porous materials exemplified by the dry tuffs occurring at shallow depths at Yucca Flat, NTS. Our procedure for computing the equivalent elastic source is to monitor the displacement field in the linear elastic field and, using quasi-analytic techniques, to obtain a reduced displacement potential (RDP) representation of the field. In essence we invert the differential equation

$$u(t-R/\alpha) = \frac{\Psi(t-R/\alpha)}{R^2} + \frac{\dot{\Psi}(t-R/\alpha)}{R\alpha}, \qquad (3.3.1)$$

to obtain the RDP, Y.

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A satisfactory RDP must exhibit the following characteristics [Cherry, et al., 1973]:

- 1. The RDP should be invariant with distance, R.
- 2. When  $\mathbf{u} = 0$ , we should have  $\mathbf{y} = 0$ .
- 3. At long time the  $\Psi$  is constant  $(\Psi + \Psi_{\infty})$  and  $u_m = R^2 \Psi_m$ .

Typical results for an explosion calculation in tuff with 14.5 percent air-filled voids are shown in Figure 3.3.1. Examining the figure, it is clear that the results violate the three conditions listed above. We point out that for materials with less air-filled porosity, the three conditions are satisfied with considerable accuracy. In fact, this is required to match analytic solutions such as that by Blake [1952], a check carried out at the time SKIPPER was developed [Cherry, et al., 1973].

In view of the failure of SKIPPER to properly handle waves in highly porous materials, the constitutive models were reviewed and tests against analytical results were initiated to circumvent this difficulty. The results of this effort are described in the sequel.

### 3.3.2 An Improved Constitutive Model for Wave Propagation In Porous Materials

A series of elastic test problems were initiated to test the ability of SKIPPER to propagate elastic waves. Monitoring the pressure versus volume, it was confirmed that loading and unloading occurred on the same path. Further, the numerical results agreed very well with analytical solutions as long as the maximum pressure was small compared to the elastic limit pressure,  $p_e$ . However, the differences became noticeable as the maximum pressure increased to a significant fraction of  $p_e$ .

The observations stated above suggest that the difficulty is in the fact that while the code is elastic, it is not linear elastic as the p-V relation has significant curvature for pressures near  $p_e$ . While this was known, the extent of the effect on the solution was not known.

The problem and its solution are summarized graphically in Figure 3.3.2. In (a) we show the p-V curve in its original form for porous materials. Even though the material





loads and unloads along this line (elastic behavior), there is significant deviation from linearity for large changes in pressure. For our elastic test problems to be compared to analytic solutions, we merely forced the p-V relation to be linear as indicated in Figure 3.3.2b. An alternative solution is to choose a lower pressure as the elastic limit. Although this method would clearly work, it would increase the cost of the calculations and probably would not produce results significantly different from the solution chosen.

In a full explosion calculation it is necessary to have a p-V relationship that extends into the nonlinear regime. The adjusted p-V relation for the porous tuff calculation of Figure 3.3.1 is shown in Figure 3.3.2c. The data were made linear to  $p_e$  and a smooth transition between  $p_e$ and the nonlinear portion of the crust curve was introduced. This modification in the material behavior does not contradict available laboratory data.

The calculation described in Figure 3.3.1 was repeated with the minor modification described above. The results are shown in Figure 3.3.3. We see that now the calculations satisfy the three conditions of Section 3.3.1 quite well.

Our ultimate objective in computing RDP's for underground explosions is to determine the effect of local material properties on such gross measures of seismic energy as  $m_b$ and  $M_s$ . The question is, how much has our adjustment of the constitutive model affected these quantities. The answer is apparent from Figure 3.3.4 where we show the amplitude of the Fourier transformed reduced velocity potential  $(|\hat{\Psi}(\omega)|)$  for the two calculations. The source functions are appropriate for a yield of 20 kt.

The m<sub>b</sub> is controlled by the portion of the spectrum from  $\approx$  0.8 - 2.0 Hz. The M<sub>s</sub> is sensitive to the long period spectrum with frequencies from  $\approx$  0.04 - 0.1 seconds. Comparing the two source functions we see that in this case

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Displacement, velocity and potential time histories for an explosion in dry tuff identical to that of Figure 3.3.1 except that a linear p-V relation has been introduced below p<sub>e</sub>.

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relatively minor changes result from the introduction of the altered p-V relation. We expect the  $m_b$  and  $M_s$  to increase slightly, no more than 0.1 magnitude units, with the improved constitutive model. However, the RDP in the first calculation is range dependent and much greater differences could result if we compared to the RDP from another range.

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In summary, we have found that deviations from linear elasticity can significantly compromise the ability to compute a satisfactory RDP for 1-D explosion calculations. The problem can be hidden in the sense that it only becomes important for certain material properties, in this case high porosity. Only minor modifications to the constitutive model were required to fix the problem. The use of laboratory data to select the rock properties input to the program remains unchanged. Finally, the SKIPPER code was once again tested against analytical solutions in the elastic regime, a test that should be periodically done for programs being modified and improved.

#### 3.4 THE DEPENDENCE OF BODY WAVE MAGNITUDE ON YIELD FOR UNDERGROUND EXPLOSIONS IN SALT

A theoretical study was conducted in which the objective was to compare explosions in salt in Eurasia to similar, though hypothetical, events at NTS and to granite events at NTS. The results of this study were reported in detail in a topical report by Bache, Cherry and Mason [1976]. In this report we reproduce the main results from the topical report and give some additional results: theoretical spectra for several of the calculations.

The equivalent elastic source, the reduced displacement potential, was computed for four explosions in salt in which only the overburden pressure was varied. The source spectra are shown in Figure 3.4.1. The relationship between the  $\hat{\Psi}(\omega)$ and the far-field displacement spectrum is given by Eq. (3.1.2).

From the spectra of Figure 3.4.1, we see that the long period level is mildly sensitive to depth, varying by only 20 percent over the entire range. However, the short period level varies by a factor of 2.2 from largest to smallest. This is because of the marked peaking of the source function at shallow depths. This peaking is due to the opening of tensile cracks in the material at low confining pressures.

The sources of Figure 3.4.1 were used to compute synthetic short period seismograms at teleseismic distances. A key parameter for these calculations is the attenuation parameter t\*. For paths such as NTS-Alaska that we have studied extensively, we believe t\*  $\approx$  1.0. Because we believe t\* is lower for Eurasian travel paths we rather arbitrarily have chosen t\* = 0.6 for these calculations.

The computed synthetic seismograms for a particular range are shown in Figure 3.4.2. The source function, yield and burial depth for each calculation are printed on the





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records. Also appearing on the records are the  $m_b$  values and the period of the cycle at which  $m_b$  is measured.

In Figure 3.4.3 we plot  $m_b$  versus log yield (W) from the synthetic seismograms. The best least squares linear fit to the data was computed for the total group and two subgroups depending on the scaled depth of burial.

We next change the path parameters to be appropriate to the NTS-Alaska travel path that we have previously calibrated. The most important change is now  $t^* = 1.06$ . Synthetic seismograms were computed for five of the twelve events of Figure 3.4.2. The seismograms are shown in Figure 3.4.4.

We are also interested in comparing  $m_b$ -yield for the salt events to that for explosions in granite. We have previously [Bache, et al., 1975] computed a theoretical  $m_b$  for PILEDRIVER that agrees quite well with observations. We expect the  $m_b$ -yield curve for NTS granite explosions would be close to a curve of unit slope through the PILEDRIVER datum.

The  $m_b$ -yield values for salt-Eurasian travel path, salt-NTS travel path and PILDRIVER granite-NTS travel path are compared in Figure 3.4.5. Three lines of unit slope are shown through the data. The uppermost line is the least squares fit to the salt-Eurasian path events of Figure 3.1.2. The lowest line is 0.55 magnitude units below the first. This is representative of the difference between the salt-Eurasian path and salt-NTS path  $m_b$  values if the t\* change from 0.6 to 1.06 is approximately correct.

The unit slope line through the PILEDRIVER theoretical  $m_b$  falls almost equidistant between the lines for the two populations of salt events. That is, events like PILEDRIVER are expected to give  $m_b$  values that are, on the average, 0.1 - 0.3 magnitude units above those for similar NTS events in salt. On the other hand, the granite events give  $m_b$  values that are about 0.1 - 0.3 units lower than Eurasian salt events.





Figure 3.4.4. Theoretical seismograms for hypothetical events in salt at NTS. The source parameters are the same as for the corresponding Eurasian salt events studied in previous sections. The path parameters are appropriate for an NTS-Alaska path.



Not presented in the Bache, Cherry and Mason [1976] topical report but of some interest are typical displacement spectra for our theoretical salt events. We select four of the events for which seismograms were presented in Figure 3.4.2. Displacement spectra for these calculations are shown in Figures 3.4.6 - 3.4.7. In Figure 3.4.6a we show the P wave velocity-depth model used for the crust in the source region. The spectra in the figure are for the wave as it exits the bottom of the crust at the source. The highest frequency for the spectral computations was 5 Hz.

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The most important feature controlling the shape of the spectra in Figure 3.4.6 is the free surface reflection or pP phase. This point is illustrated in Figure 3.4.5b by the spectrum for one of the events with the crustal model modified to allow the salt layer to extend upwards to infinity. Then the only energy in the spectrum is that which leaves the source downward.

In Figure 3.4.7 we show the spectra at the receiver, with and without the instrument, for the same four events. Once again given for comparison are the spectra for the 40 kt event with the layering above the source removed. For these spectral calculations the Nyquist frequency is at about 0.1 Hz and the portion of the plot below this frequency should be ignored. Note that the spectral modulation due to pP is very much obscured by the other reverberations that shape the spectrum.



Displacement spectra at the base of the crust below the source for four of the salt events for which seismograms are given in Figure 3.4.2.



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.7. Ground motion displacement spectra for the events of Figure 3.4.6 with and without the instrument response included.

## 3.5 A LABORATORY SIMULATION OF UNDERGROUND EXPLOSIONS

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Carried over from our previous contract (Project VT/ 6712) is a program in which small-scale modeling experiments of underground explosions are being carried out and the results compared to computer simulations of the experiments. During the past quarter, several successful model experiments have been conducted and some results are presented here.

The objective of the experiments is to obtain displacement time histories on the free surface of a uniform medium of well-known properties. The measurements are made on the free surface at large enough distances to ensure that the response is linear elastic.

The test medium was a "high-early" concrete of density 2.52 g/cm<sup>3</sup>, unconfined compressive strength  $3.45 \times 10^7$  Pa (345 bar), low porosity and high water saturation. The observed sound speed was  $3.4 \times 10^5$  cm/s. Each explosive charge\* consisted of 1/4 g of PETN, packed to a density of 1.0 g/cm<sup>3</sup> inside a lucite shell of radius 0.56 cm. Great care was exercised in the construction of each charge to ensure that the resulting detonation was spherically symmetric.

The principal sensors were eddy-current displacement gauges.\*\* Each unit's output varies linearly with distance between the probe's tip and a small piece of aluminum foil fixed with epoxy to the concrete surface. These transducers have rather low output levels, 2 mV/µm, but they have the advantages that they do not touch the concrete and their response extends to 50 kHz (3 db). To supplement the displacement gauges, we also used two accelerometers<sup>†</sup> with ranges of  $\pm$  50,000 g's (5 × 10<sup>5</sup> m/s<sup>2</sup>), sensitivities of 10 µV/g and

Supplied by Stanford Research Institute, Menlo Park, CA. Supplied by Kaman Sciences, Colorado Springs, Colorado.

Supplied by Endevco, San Juan Capistrano, CA.

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resonant frequencies above 150 kHz. The low mass of the accelerometers allowed them to be epoxied to the concrete directly.

We prepare the concrete in the form of right circular cylinders of diameter 120 cm and thickness 60 cm. The motion transducers were arrayed over one end of the cylinders and the charges were located 31 cm below those surfaces.

In one experiment, two displacement gauges and an accelerometer were in close proximity and directly over the charge. Our objective was to see if the three independent transducers would give similar responses to the seismic motion. In fact, the responses were quite similar, showing an arrival time of 92  $\mu$ s, risetime (in displacement) of 15  $\mu$ s, peak motion of 5  $\mu$ m and full width at half maximum of about 20  $\mu$ s.

For the subsequent tests, the gauges were located at the vertices of a one foot equilateral triangle: horizontal (toward vertex #2) and vertical displacement at vertex #1; vertical displacement and acceleration at vertex #2; and vertical acceleration at vertex #3. Charges were placed 31 cm below each vertex and fired one at a time. Some typical results are shown in Figures 3.5.1 and 3.5.2.\* While there are several reasons to believe that the double integral of an accleration <u>signal</u> is not equal to the <u>true</u> displacement, especially at late times, the acceleration data do generally confirm the shape and amplitude of the main displacement peak.

As might be expected, Figure 3.5.1 shows that no horizontal motion (H1) occurs until the arrival of the more slowly moving shear wave at about 160  $\mu$ s. All of the data records also indicate that after the main displacement peak, the surface continues to have a small but consistently positive

The error bars shown include the uncertainties due to instrument and recorder calibrations and electrical noise.





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Displacement data for the charge emplaced under vertex 1. The vertical and horizontal displacement records at vertex 1 and the vertical displacement record at vertex 2 are shown. Also plotted are the doubly integrated accelerometer records from vertices 2 and 3. For the accelerometer records the time of first arrival and first peak and trough accelerations are indicated.

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Figure 3.5.2. Data like that in the previous figure except that now the charge is located under vertex 2.

displacement over an interval of at least 100  $\mu$ s; see Vl in Figure 3.5.1 and V2 in Figure 3.5.2.

The experiments described above will be modeled with our finite difference programs and will thus provide an excellent normalization of the theoretical techniques. Further experiments are also being performed in which the charge emplacement will be shallow and cratering will result.

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