

AD-A036 281

CALIFORNIA INST OF TECH PASADENA DIV OF CHEMISTRY A--ETC F/6 20/10  
EXPLICIT DEMONSTRATION OF SPINOR CHARACTER FOR A SPIN 1/2 NUCLE--ETC(U)  
FEB 77 M E STOLL, A J VEGA, R W VAUGHAN N00014-75-C-0960

UNCLASSIFIED

TR-4

NL

1 OF 1

AD  
A036281

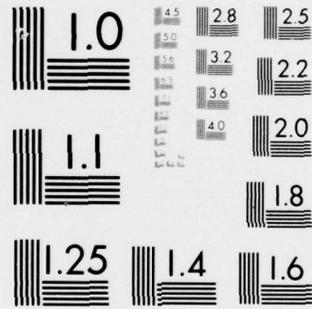


END

DATE

FILMED

3-77



MICROCOPY RESOLUTION TEST CHART  
NATIONAL BUREAU OF STANDARDS-1963-A

ADA 036281

unclassified

SECURITY CLASSIFICATION OF THIS PAGE (When Data Entered)

REPORT DOCUMENTATION PAGE		READ INSTRUCTIONS BEFORE COMPLETING FORM	
1. REPORT NUMBER Technical Report #4	2. GOVT ACCESSION NO.	3. RECIPIENT'S CATALOG NUMBER (9) Technical rept.	4. TYPE OF REPORT & PERIOD COVERED interim, Technical Report #4
5. TITLE (and Subtitle) EXPLICIT DEMONSTRATION OF SPINOR CHARACTER FOR A SPIN $\frac{1}{2}$ NUCLEUS VIA NMR INTERFEROMETRY		6. PERFORMING ORG. REPORT NUMBER (12)	7. AUTHOR(s) (10) M. E. Stoll, A. J. Vega, and R. W. Vaughan
8. PERFORMING ORGANIZATION NAME AND ADDRESS Division of Chemistry & Chemical Engineering California Institute of Technology Pasadena, California 91125		9. CONTRACT OR GRANT NUMBER(s) (15) N00014-75-C-0960	
10. CONTROLLING OFFICE NAME AND ADDRESS ONR Branch Office ATTN: Dr. R. J. Marcus 1030 East Green Street Pasadena, California 91106		11. PROGRAM ELEMENT PROJECT, TASK AREA & WORK UNIT NUMBERS NR-056-605	
12. MONITORING AGENCY NAME & ADDRESS (if different from Controlling Office) (11) Feb 77 (12) 12p.		13. REPORT DATE 2/77	
14. DISTRIBUTION STATEMENT (of this Report) Approved for public release; distribution unlimited (14) 7R-4		14. NUMBER OF PAGES 11	
15. DISTRIBUTION STATEMENT (of the abstract entered in Block 20, if different from Report)		15. SECURITY CLASS. (of this report) unclassified	
16. SUPPLEMENTARY NOTES Submitted for publication		15a. DECLASSIFICATION/DOWNGRADING SCHEDULE	
17. KEY WORDS (Continue on reverse side if necessary and identify by block number) Spin dynamics, NMR interferometry, spinor character, two-dimensional spectroscopy			
18. ABSTRACT (Continue on reverse side if necessary and identify by block number) 1/2 (13) The results of a nuclear magnetic resonance experiment are presented which directly demonstrate the spinor character of a spin $\frac{1}{2}$ nucleus, $^{13}\text{C}$ . The interferometric spectroscopic technique used and its potential applications are discussed.			

D D C  
RECEIVED  
MAR 3 1977  
C

DD FORM 1473 1 JAN 73

EDITION OF 1 NOV 65 IS OBSOLETE  
S/N 0102 LF 014 6601

unclassified  
SECURITY CLASSIFICATION OF THIS PAGE (When Data Entered)

071575

Handwritten initials

Explicit Demonstration of Spinor Character for a Spin  $\frac{1}{2}$  Nucleus  
Via NMR Interferometry\*

M. E. Stoll, A. J. Vega<sup>†</sup> and R. W. Vaughan  
Division of Chemistry and Chemical Engineering  
California Institute of Technology  
Pasadena, California 91125

Abstract

The results of a nuclear magnetic resonance experiment are presented which directly demonstrate the spinor character of a spin  $\frac{1}{2}$  nucleus,  $^{13}\text{C}$ . The interferometric spectroscopic technique used and its potential applications are discussed.

ACCESSION for: White Section   
Buff Section

NIS  
DPA

UNANNOUNCED  
JUSTIFICATION

BY: CONTRIBUTION, AVAILABILITY CODES  
Dist. AVAIL. OR/ or SPECIAL

A

It has long been known that a particle of half-integral spin (a fermion) exhibits spinor character, which means that it changes the sign of its quantum mechanical wavefunction upon a  $2\pi$  rotation, and that the phase factor comes back to itself only after a  $4\pi$  rotation. A particle of integral spin (a boson) does not exhibit this behavior, and its phase factor comes back to itself in a  $2\pi$  rotation. While any number of experiments done over the years implicitly illustrate this concept, the first explicit demonstration came in 1975 when Werner, et al.<sup>1</sup> clearly showed the spinor nature of neutrons. Such experiments had been suggested and discussed earlier (1967) by Bernstein<sup>2</sup> and by Aharonov and Susskind<sup>3</sup>. We would like to present here the results of a somewhat analogous nuclear magnetic resonance experiment which graphically shows the spinor character of a spin  $\frac{1}{2}$  particle. A similar technique could easily be used to show the spinor or non-spinor character of particles with spin greater than  $\frac{1}{2}$ . In addition, this experiment embodies concepts which could be exploited in a variety of spectroscopic areas.

To observe spinor character, one must observe the phase of a wavefunction. However, this is difficult because any measurement involves  $\psi^*\psi$ , and thus the overall phase is unobservable. The only way for us to "see" the phase is then by some form of interferometry, i.e., by determination of the phase difference between the amplitude to be in the given state and the amplitude to be in some reference state. In order to measure this phase difference we must measure a physical observable whose operator connects these same states, and furthermore, we must initially prepare the system in a linear combination of these states. Thus, it is in the off-diagonal elements of the density matrix that such relative phase information is found and by doing experiments involving such off-diagonal elements that

one can observe spinor character. Many previous experiments involving off-diagonal matrix elements then can be used as implicit evidence of this behavior. For example, the precession of a spin  $\frac{1}{2}$  particle in a strong magnetic field furnishes such implicit evidence.

In the neutron experiment of Werner<sup>1</sup>, et al., the relative phase information was extracted by splitting a neutron beam into two parts and observing how the diffraction pattern changed upon application of a  $2\pi$  rotation to one of these parts. The change in the "beating" pattern then signaled the change in the phase. In our experiment we have used the NMR analogue of interferometry. For a spin in a strong magnetic field to have a transverse component of observable magnetization, it must not be in an eigenstate of the Zeeman Hamiltonian. In fact, the direction that the magnetization points in the x-y plane (the external field is assumed along z) is the direct manifestation of the phase difference between the various levels. In the particular case of a single spin  $\frac{1}{2}$  particle, one has two eigenstates whose amplitudes have phases  $\phi_A$  and  $\phi_B$ . The measurement of the transverse magnetization is proportional to  $\cos(\phi_A - \phi_B)$ , but the absolute phases  $\phi_A$  and  $\phi_B$  are indeterminable. In order for one to do NMR interferometry, it was necessary to split the Zeeman spin levels by the presence of another spin, and then, by applying a selective  $2\pi$  rotation to only one transition, we observed a change in phase by watching an inversion of transverse magnetization.

The chemical system we chose for the demonstration was 91%  $^{13}\text{C}$ -enriched sodium formate ( $\text{NaCHO}_2$ ) dissolved in  $\text{D}_2\text{O}$  with a small amount of  $^1\text{H}$  impurity. The  $^1\text{H}$  (I spin) and  $^{13}\text{C}$  (S spin) nuclei in the formate ion form a coupled system of two spin  $\frac{1}{2}$  particles, and their energy levels are as shown in Figure 1. The allowed transitions for the  $^1\text{H}$  between levels 1-3 and 2-4

are inequivalent due to the presence of the secular part of a scalar coupling of the form  $J \underline{I} \cdot \underline{S}$ , where  $J$  is the coupling constant. The inequivalent  $^{13}\text{C}$  transitions are between levels 1-2 and 3-4. This  $J$  coupling splits the spectroscopic lines and thus allows one to selectively irradiate transitions.

The rf pulsing scheme is illustrated in Figure 2. The experiment itself consisted of two parts. In the first part, we took a normal  $^1\text{H}$  Fourier-transform echo spectrum of our liquid sample. This consisted of applying a short (2  $\mu\text{sec}$ )  $\pi/2$  pulse to all the  $^1\text{H}$  transitions. A short (4  $\mu\text{sec}$ )  $^1\text{H}$   $\pi$  pulse was applied at a time  $\Delta T$  to create a spin echo at time  $2\Delta T$  ( $t = 0$ ). This was convenient for reasons to be explained later. The time decay was then recorded from  $t = 0$  and Fourier transformed to yield the top spectrum in Figure 3. (Note that in this first part we have irradiated no  $^{13}\text{C}$  transitions.) In this  $^1\text{H}$  spectrum the symmetric doublet results from the scalar coupling between  $^1\text{H}$  and  $^{13}\text{C}$  in the 91% of the formate ions which are isotopically enriched with  $^{13}\text{C}$ . The splitting here has a value of  $J = 195$  Hz. The small peak at the center of mass of the doublet results from the  $^1\text{H}$  nuclei in the remaining 9% of the formate ions which contain spinless  $^{12}\text{C}$  nuclei. The large peak on the far right results from the  $^1\text{H}$  nuclei in the small amount of  $\text{H}_2\text{O}$  in the  $\text{D}_2\text{O}$  solvent.

In the second part of the experiment we repeated the scheme of the first part but with one important addition. After the initial  $^1\text{H}$   $\pi/2$  pulse we applied a long ( $\tau = 26$  msec) low-power, selective,  $^{13}\text{C}$   $2\pi$  pulse to only one of the  $^{13}\text{C}$  transitions (the  $^{13}\text{C}$  rotating field equaled approximately 10% of the separation of the  $^{13}\text{C}$  lines). As before we recorded the spin echo and Fourier transformed it to get the bottom spectrum shown in Figure 3. We can see that the application of the selective  $2\pi$  pulse to only one of the

$^{13}\text{C}$  transitions caused the inversion of the peaks due to the  $^1\text{H}$  coupled to the  $^{13}\text{C}$  in the formate ions. This fact is the direct result of the spinor character of the  $^{13}\text{C}$  nucleus. (Note that the  $^1\text{H}$  peaks due to the  $^1\text{H}$  not coupled to the  $^{13}\text{C}$  did not invert.) To understand this, one can refer to the energy level diagram in Figure 1. The effect of the initial  $\pi/2$  pulse applied to the  $^1\text{H}$  1-3 and 2-4 transitions was to place the  $^1\text{H}$  spins in linear combinations of the eigenstates spinup and down ( $\alpha$  and  $\beta$ ) with a definite phase difference between them. This means that one has created linear combinations of the states 1 and 3 and also of the states 2 and 4 (see Figure 1). Let the phases of the amplitudes of the four states be  $\phi_1$ ,  $\phi_2$ ,  $\phi_3$ , and  $\phi_4$ . The sizes of the  $^1\text{H}$  doublet peaks are then proportional to  $\cos(\phi_1 - \phi_3)$  and  $\cos(\phi_2 - \phi_4)$ . The phase difference between 1 and 3 and between 2 and 4 was then modified by the application of a selective  $2\pi$  pulse to only the 3-4  $^{13}\text{C}$  transition. (A  $2\pi$  pulse on the 3-4 transition is defined in the conventional way as one which causes  $\cos(\phi_3 - \phi_4)$  to undergo one full cycle, i.e.,  $\Delta(\phi_3 - \phi_4) = 2\pi$ .) However, one wishes to know by how much  $\phi_3$  and  $\phi_4$  have changed individually. Since no radiation was applied to the 1-2 transition,  $\phi_1$  and  $\phi_2$  have not been altered, and since the size of the  $^1\text{H}$  doublet lines are a measure of  $\cos(\phi_1 - \phi_3)$  and  $\cos(\phi_2 - \phi_4)$ , one can use the fact that both  $^1\text{H}$  spectral lines inverted (see bottom spectrum in Figure 3) to indicate that both  $\phi_3$  and  $\phi_4$  have each changed by  $\pi$ , i.e., a clear demonstration of spinor character.

With respect to more minor experimental details, a spin echo was used on the proton system to furnish  $^1\text{H}$  spectra that could be directly compared. Had we not refocused the  $^1\text{H}$  magnetization with the  $\pi$  pulse, we could have observed only the portion of the free-induction decay remaining after the end of the rather long, selective pulse applied to the  $^{13}\text{C}$  system, and this would have

produced anomalous effects, making comparison of the  $^1\text{H}$  spectra more complicated. We set the length of the selective  $^{13}\text{C}$  pulse experimentally by observing the  $^{13}\text{C}$  NMR signal from a different sample containing an unsplit  $^{13}\text{C}$  spectrum. In the experiment a slight lengthening of this  $^{13}\text{C}$  pulse was required to fully invert the  $^1\text{H}$  doublet, and in addition we note that the two peaks of the doublet in the bottom spectrum of Figure 3 do not have quite the same amplitude. According to preliminary calculations, neither of these minor effects has a trivial explanation. It appears that the difference in amplitude could be due to cross-correlation relaxation terms. We plan to discuss this in a forthcoming paper.

Although used here for the demonstration of the spinor character of a spin  $\frac{1}{2}$  nucleus, the basic interferometric spectroscopic technique demonstrated here should have much wider applicability. In general, it is applicable whenever we have a system with two or more inequivalent transitions having one quantum mechanical level in common. An obvious application could involve indirect detection of low magnetogyric ratio spins, where this technique can have a signal-to-noise advantage over schemes which depend on diagonal elements of the density matrix, since the  $^1\text{H}$  spectrum is totally inverted independent of the ratio of the two magnetogyric ratios (related phase effects have been observed in a different context by Ferretti and Ernst<sup>4</sup>). The scheme can, in addition, be applied to a variety of spin systems; for example, inequivalent transitions with a common level could be formed by interaction of two nuclear spins, by electron-nuclear spin interactions, or by magnetic dipole and electric quadrupole interactions of a particle with spin greater than  $\frac{1}{2}$ .<sup>5</sup> With only slight additional complication, one can envision experiments developed using concepts of recently published schemes for extracting geometrical and

orientational information in polycrystalline solids<sup>6,7</sup>, which would allow one to obtain comparable information on such quantities as the electronic field gradient at a nuclear site.

\* This effort was supported by the Office of Naval Research. One of us, A. J. Vega, received partial support from NASA (NSG-7275).

† On leave from the Weizmann Institute of Science, Rehovot, Israel.

#### References

1. S. A. Werner, R. Colella and A. W. Overhauser, and C. F. Eagen, Phys. Rev. Lett. 35, 1053 (1975).
2. H. J. Bernstein, Phys. Rev. Lett. 18, 1102 (1967).
3. Y. Aharonov and L. Susskind, Phys. Rev. 158, 1237 (1967).
4. J. A. Ferretti and R. R. Ernst, J. Chem. Phys. 65, 4283 (1976).
5. Recent reports of a multiple step excitation in <sup>27</sup>Al involve establishment of phase coherence of forbidden transitions; see H. Hataraka, T. Terao, and T. Hashi, J. Phys. Soc. Japan 39, 835 (1975); H. Hataraka and T. Hashi, *ibid* 39, 1139 (1975).
6. M. E. Stoll, A. J. Vega, and R. W. Vaughan, J. Chem. Phys. 65, 4093 (1976).
7. M. E. Stoll, A. J. Vega, and R. V. Vaughan, Proceedings of the XIX<sup>th</sup> Congress Ampere, Heidelberg, 1976.

### Figure Captions

Figure 1. Energy level diagram for two weakly coupled inequivalent spin  $\frac{1}{2}$  particles ( $^{13}\text{C}$ ,  $^1\text{H}$ ) in a strong magnetic field. The  $\alpha$  and  $\beta$  represent the two eigenstates spin up and spin down of the spin  $\frac{1}{2}$  particle. The first Greek letter represents the state of the  $^1\text{H}$  spin and the second represents the state of the  $^{13}\text{C}$  spin, so the two  $^1\text{H}$  transitions are shown with single arrows while the two  $^{13}\text{C}$  transitions are shown with double arrows. The numbers 1, 2, 3, 4 are used to refer to the various energy levels or to the eigenstates to which they correspond. The relative Zeeman energies for  $^1\text{H}$  (56.4 MHz) and  $^{13}\text{C}$  (14.2 MHz) have been drawn to scale, but the effects of the weak coupling have been greatly exaggerated for emphasis.

Figure 2. Radiofrequency pulse sequence used. A  $\pi/2$  pulse and a  $\pi$  pulse were applied to the  $^1\text{H}$  transitions and the resulting spin echo was recorded from time  $t = 0$  for Fourier transformation. The spectrum was obtained first with no  $^{13}\text{C}$  irradiation ( $\tau = 0$ ) and second with a selective  $2\pi$  pulse ( $\tau = 26$  msec) applied to only one  $^{13}\text{C}$  transition.

Figure 3.  $^1\text{H}$  Fourier transform NMR spectra explicitly showing spinor character of a spin  $\frac{1}{2}$  particle. The top spectrum involved no  $^{13}\text{C}$  irradiation while the bottom spectrum utilized a selective  $2\pi$  pulse applied to only one  $^{13}\text{C}$  transition. The splitting of 195 Hz is between the two peaks of the doublet due to weak scalar coupling of the  $^1\text{H}$  and  $^{13}\text{C}$  in the formate ions containing  $^{13}\text{C}$ . The small peak at the center of the doublet is due to  $^1\text{H}$  in formate ions containing  $^{12}\text{C}$ , while the large peak at the far right is due to the small amount of  $^1\text{H}$  in the solvent.

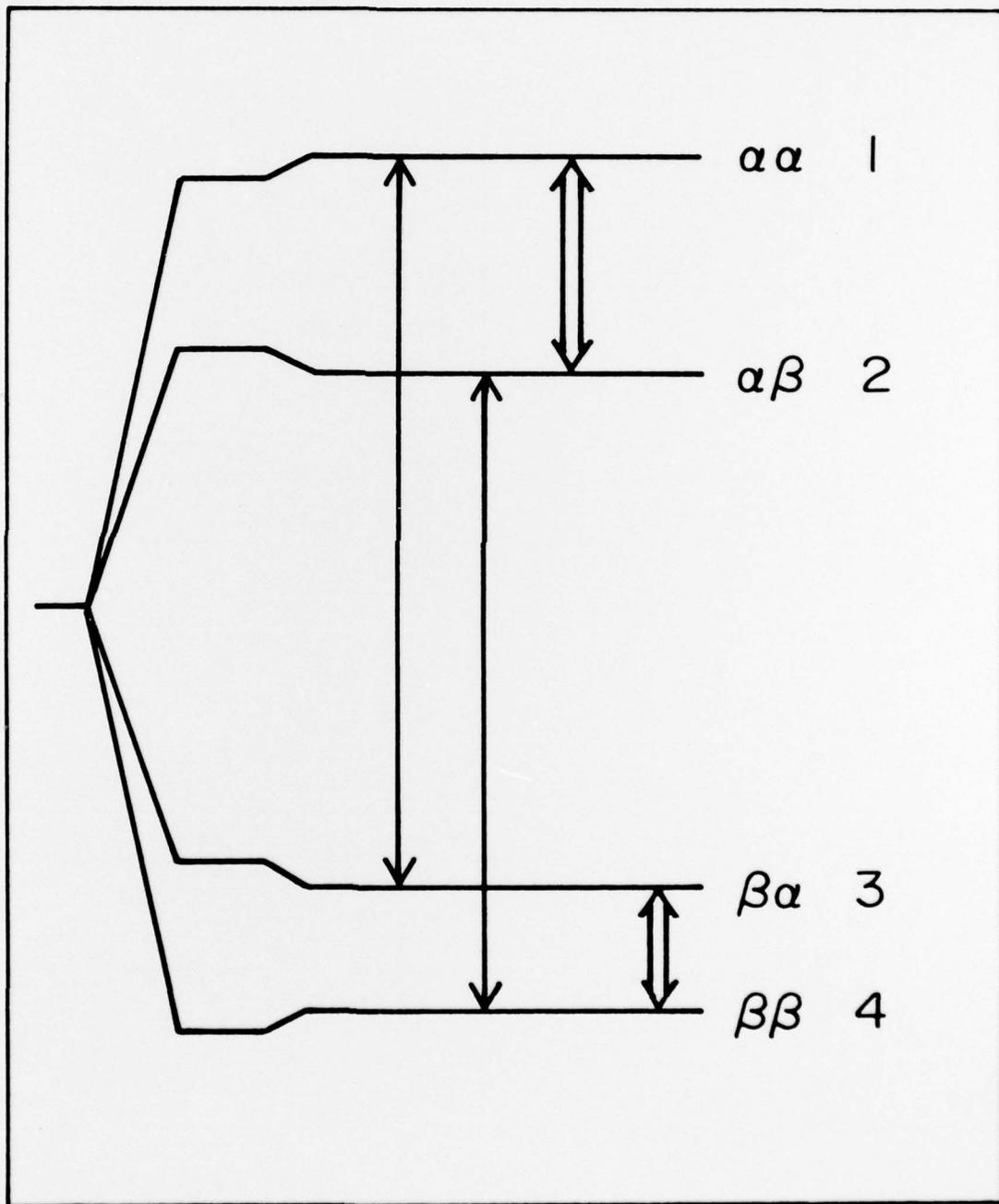


Fig. 1

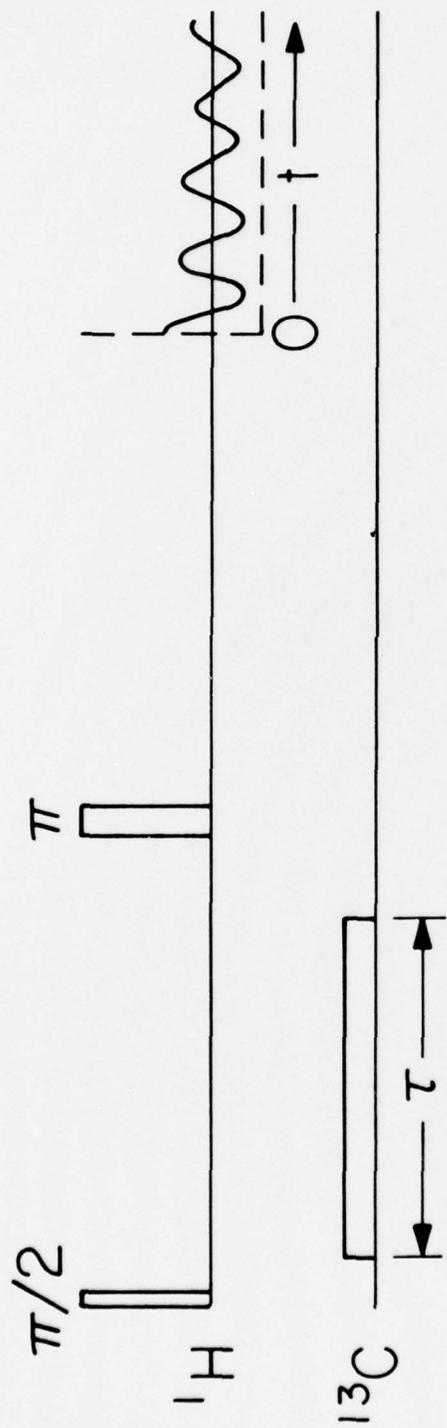


Fig. 2

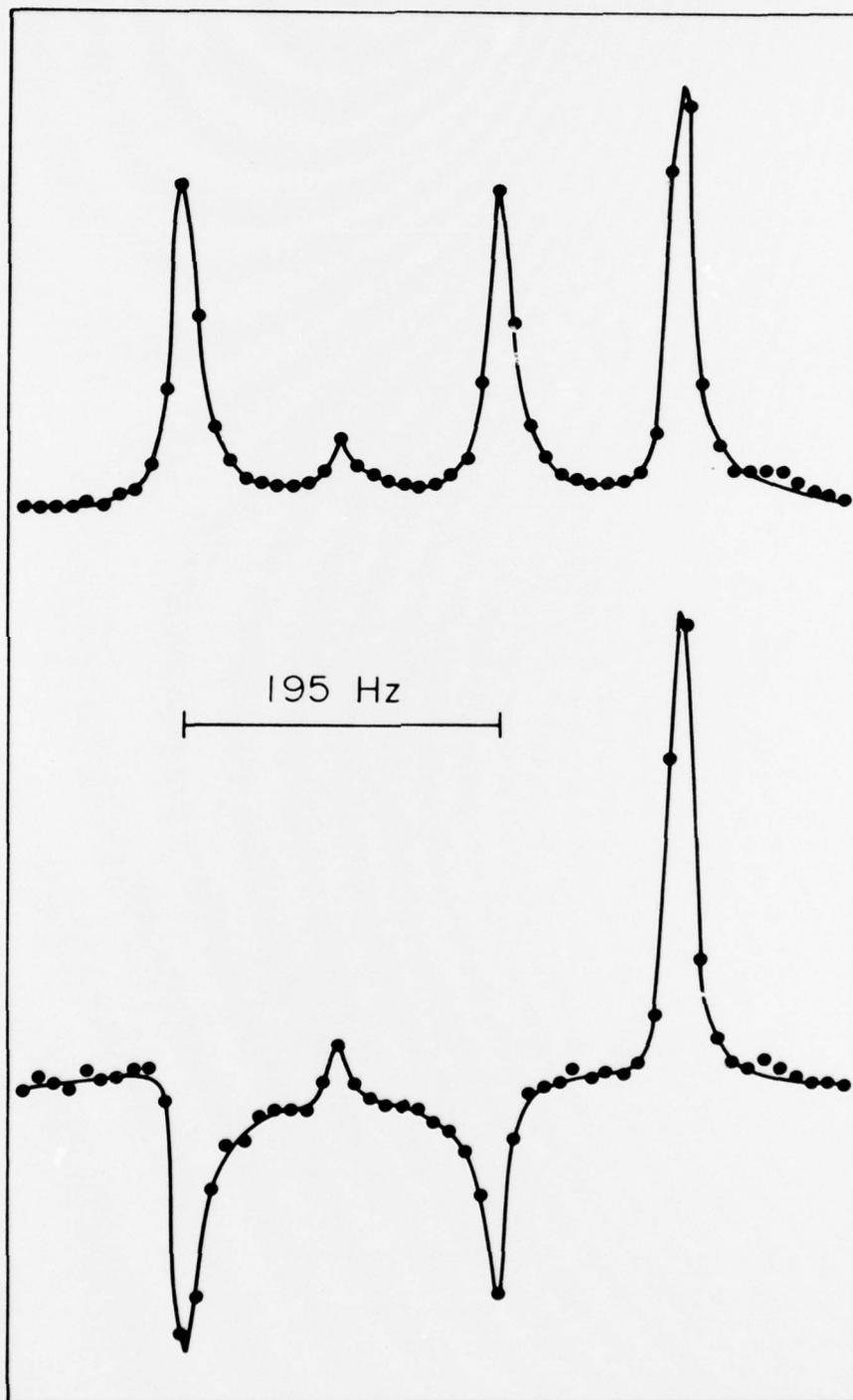


Fig. 3

TECHNICAL REPORT DISTRIBUTION LIST

<u>No. Copies</u>		<u>No. Copies</u>
	Office of Naval Research Arlington, Virginia 22217 Attn: Code 472	2
	ONR Branch Office 536 S. Clark Street Chicago, Illinois 60605 Attn: Dr. George Sandoz	1
	ONR Branch Office 207 West 24th Street New York, New York 10011 Attn: Scientific Dept.	1
	ONR Branch Office 1030 East Green Street Pasadena, California 91106 Attn: Dr. R. J. Marcus	1
	ONR Branch Office 760 Market Street, Rm. 447 San Francisco, California 94102 Attn: Dr. P. A. Miller	1
	ONR Branch Office 495 Summer Street Boston, Massachusetts 02210 Attn: Dr. L. H. Peebles	1
	Director, Naval Research Laboratory Washington, D. C. 20390 Attn: Library, Code 2029 (ONRL) 6 Technical Info. Div. 1 Code 6100, 6170 1	
	The Asst. Secretary of the Navy (R&D) Department of the Navy Room 4E736, Pentagon Washington, D. C. 20350	1
	Naval Surface Weapons Center White Oak Silver Spring, Maryland 20910 Attn: Code 230	1
	Dr. P. R. Antoniewicz University of Texas Department of Physics Austin, Texas 78712	1
	Dr. L. N. Jarvis Surface Chemistry Division 4555 Overlook Avenue, S. W. Washington, D. C. 20375	1
	Commander, Naval Air Systems Command Department of the Navy Washington, D. C. 20360 Attn: Code 310C (H. Rosenwasser)	1
	Defense Documentation Center Building 5, Cameron Station Alexandria, Virginia 22314	12
	U. S. Army Research Office P. O. Box 12211 Research Triangle Park, North Carolina 27709 Attn: CRD-AA-IP	1
	U. S. Naval Oceanographic Office Library, Code 1640 Suitland, Maryland 20390	1
	Naval Ship Research & Development Center Annapolis Division Annapolis, Maryland 21402 Attn: Dr. Allan Evans, Code 2833	1
	Commander Naval Undersea Research & Development Center San Diego, California 92132 Attn: Technical Library, Code 133	1
	Naval Weapons Center China Lake, California 93555 Attn: Head, Chemistry Division	1
	Naval Civil Engineering Laboratory Port Hueneeme, California 93041 Attn: Mr. W. S. Haynes	1
	Professor O. Heinz Department of Physics & Chemistry Naval Postgraduate School Monterey, California 93940	1
	Dr. A. L. Slafkosky Scientific Advisor Commandant of the Marine Corps (Code 3041) Washington, D. C. 20380	1
	Dr. W. M. Risen, Jr. Brown University Department of Chemistry Providence, Rhode Island 02912	1

MAILING LIST

Dr. John B. Hudson  
Rensselaer Polytechnic Institute  
Materials Engineering Division  
Troy, New York 12191

Dr. K. H. Johnson  
Massachusetts Institute of Technology  
Dept of Metallurgy and Materials  
Science  
Cambridge, Massachusetts 02139

Dr. W. D. McCormick  
Dept of Physics  
University of Texas  
Austin, Texas 78712

Dr. G. A. Somorjai  
Dept of Chemistry  
University of California/Berkeley  
Berkeley, California 94720

Dr. Robert W. Vaughan  
California Institute of Technology  
Division of Chemistry and Chemical  
Engineering  
Pasadena, California 91125

Dr. David A. Vroom  
INTELCOM RAD TECH  
P.O. Box 80817  
San Diego, California 92138

Dr. J. Bruce Wagner, Jr.  
Northwestern University  
Evanston, Illinois 60201

Dr. J. M. White  
Dept of Chemistry  
University of Texas  
Austin, Texas 78712

Dr. John T. Yates, Jr.  
U.S. Dept of Commerce  
National Bureau of Standards  
Surface Chemistry Section  
Washington, D.C. 20234

Dr. Lennard Wharton  
Dept of Chemistry  
James Franck Institute  
5640 Ellis Avenue  
Chicago, Illinois 60637

Dr. J. E. Demuth  
International Business Machines  
Corp.  
Thomas J. Watson Research Center  
P.O. Box 218  
Yorktown Heights, New York 10598

Dr. C. P. Flynn  
University of Illinois  
Dept of Physics  
Urbana, Illinois 61801

Dr. W. Kohn  
Department of Physics  
University of California (San Diego)  
La Jolla, California 92037

Dr. T. E. Madey  
National Bureau of Standards  
Surface Processes and Catalysis  
Section  
Washington, D.C. 20234

Dr. R. L. Park  
Director, Center of Materials  
Research  
University of Maryland  
College Park, Maryland 20742

Dr. W. T. Peria  
Electrical Engineering Dept  
University of Minnesota  
Minneapolis, Minnesota 55455

Dr. Narkis Tzoar  
City University of New York  
Convent Avenue at 138th Street  
New York, New York 10031

Dr. R. F. Wallis  
Department of Physics  
University of California/Irvine  
Irvine, California 92664

Dr. Chia-wei Woo  
Northwestern University  
Dept of Physics  
Evanston, Illinois 60201

Dr. Mark S. Wrighton  
Dept of Chemistry  
MIT, Rm 6-335  
Cambridge, Massachusetts 02139

