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AN ANALYSIS OF RADIO FREQUENCY SURVEILLANCE SYSTEMS FOR AIR TRAFFIC CONTROL Volume II: Appendixes

Louis A. Kleiman



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16. Abstract			
Performance criteri	a that afford quan	titative evalua	tion of a
variety of current and p	roposed configurat	ions of the Air	Traffic
Control Radar Beacon Sys	tem (ATCRBS) are d	escribed in det	ail. Two
analytic system models a	re developed to al	low application	of these
of a flat earth enables	closed-form analy	tic expressions	for some of
the performance criteria	to be developed f	or a wide range	of desired
areas of coverage. An e	xtremely accurate	complex system	model pro-
vides a tool for simulat	ion of operating c	haracteristics	that would
be observed in the cours	e of actual flight	tests. The co	mplex model
includes a new solution is	for the grazing an shown to be more a	gie of radiatio	n over a
used solution of Fishbac	k. Applications a	nd limitations	of both
models in the evaluation	of four new ATCRB	S antennas and	of the pro-
posed receiver side-lobe	suppression featu	re are discusse	d. Both
numerical results and a	computer-generated	representation	of an air
traffic controller's dis	play are presented	•	
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APPENDIX A

PROCEDURE TO DETERMINE A LEAST-SQUARES FIT FOR ANTENNA PATTERNS

In this appendix a procedure to fit a third-order polynomial to the voltage pattern $v(\theta)$ of an antenna is derived and described. Given the arbitrary pattern $v(\theta)$, the procedure determines those values of a, b, c, and d that minimize the sum of the squares of the differences between the actual value $v(\theta)$ and the value of the polynomial approximation

$$\mathbf{v}^{*}(\theta) = \mathbf{a} + \mathbf{b}\theta + \mathbf{c}\theta^{2} + \mathbf{d}\theta^{3}$$
 (A.1)

at every point specified.

The value $v(\theta_i)$ of an antenna voltage pattern at an angle θ_i can be determined from its corresponding power pattern expressed in dB, $A_f(\theta_i)_{dB}$, by use of the following equation:

$$v(\theta_{i}) = \left\{ 10^{1[A_{f}(\theta_{i})]} dB \right\}^{1/2} = 10^{0.05[A_{f}(\theta_{i})]} dB^{1/2}$$
(A.2)

Since most antenna patterns with which this thesis is concerned are generally available in the form of $A_f(6_i)_{dB}$, equation (A.2) must generally be applied to obtain the voltage pattern required by Chapter 4.

Each row of the augmented matrix²² contains the coefficients

of the unknowns a, b, c, and d, for a particular value of $\boldsymbol{\theta}$ and for the corresponding value of $v\left(\theta\right):$

The n specified values of the antenna pattern to which the fit is to be made are generally chosen at equal intervals along the region of interest. The normal equations then become

$$n a + \sum_{i=1}^{n} \theta_{i} b + \sum_{i=1}^{n} \theta_{i}^{2} c + \sum_{i=1}^{n} \theta_{i}^{3} d = \sum_{i=1}^{n} v(\theta_{i}) \quad (A.4)$$

$$\sum_{i=1}^{n} \theta_{i} a + \sum_{i=1}^{n} \theta_{i}^{2} b + \sum_{i=1}^{n} \theta_{i}^{3} c + \sum_{i=1}^{n} \theta_{i}^{4} d = \sum_{i=1}^{n} \theta_{i} v(\theta_{i}) \quad (A.5)$$

$$\sum_{i=1}^{n} \theta_{i}^{2} a + \sum_{i=1}^{n} \theta_{i}^{3} b + \sum_{i=1}^{n} \theta_{i}^{4} c + \sum_{i=1}^{n} \theta_{i}^{5} d = \sum_{i=1}^{n} \theta_{i}^{2} v(\theta_{i}) \quad (A.6)$$

$$\sum_{i=1}^{n} \theta_{i}^{3} a + \sum_{i=1}^{n} \theta_{i}^{4} b + \sum_{i=1}^{n} \theta_{i}^{5} c + \sum_{i=1}^{n} \theta_{i}^{6} d = \sum_{i=1}^{n} \theta_{i}^{3} v(\theta_{i}) \quad (A.7)$$

By making the substitutions

i=1

$$\mathbf{r}_{j} = \sum_{i=1}^{n} \theta_{i}^{j} \qquad (A.8)$$

i=1

and

$$\mathbf{s}_{\mathbf{k}} = \sum_{i=1}^{n} \theta_{i}^{\mathbf{k}} \mathbf{v}(\theta_{i}) \qquad (A.9)$$

one arrives at the following normal equations:

n a +
$$r_1b$$
 + r_2c + r_3d = s_0 (A.10)
 r_1a + r_2b + r_3c + r_4d = s_1 (A.11)
 r_2a + r_3b + r_4c + r_5d = s_2 (A.12)
 r_3a + r_4b + r_5c + r_6d = s_3 (A.13)

The determinant D of the matrix of coefficients of a, b, c, and d is

$$D = n \begin{vmatrix} r_{2} & r_{3} & r_{4} \\ r_{3} & r_{4} & r_{5} \\ r_{4} & r_{5} & r_{6} \end{vmatrix} - r_{1} \begin{vmatrix} r_{1} & r_{3} & r_{4} \\ r_{2} & r_{4} & r_{5} \\ r_{3} & r_{5} & r_{6} \end{vmatrix} + r_{2} \begin{vmatrix} r_{1} & r_{2} & r_{4} \\ r_{2} & r_{3} & r_{5} \\ r_{3} & r_{4} & r_{6} \end{vmatrix} - r_{3} \begin{vmatrix} r_{1} & r_{2} & r_{3} \\ r_{2} & r_{3} & r_{4} \\ r_{3} & r_{4} & r_{5} \end{vmatrix}$$
$$= n \left[r_{2} & (r_{4}r_{6} - r_{5}^{2}) - r_{3} & (r_{3}r_{6} - r_{4}r_{5}) + r_{4} & (r_{3}r_{5} - r_{4}^{2}) \right]$$
$$-r_{1} \left[r_{1} & (r_{4}r_{6} - r_{5}^{2}) - r_{3} & (r_{2}r_{6} - r_{3}r_{5}) + r_{4} & (r_{2}r_{5} - r_{3}r_{4}) \right]$$
$$+r_{2} \left[r_{1} & (r_{3}r_{6} - r_{4}r_{5}) - r_{2} & (r_{2}r_{6} - r_{3}r_{5}) + r_{4} & (r_{2}r_{4} - r_{3}^{2}) \right]$$
$$-r_{3} \left[r_{1} & (r_{3}r_{5} - r_{4}^{2}) - r_{2} & (r_{2}r_{5} - r_{3}r_{4}) + r_{3} & (r_{2}r_{4} - r_{3}^{2}) \right]$$
(A.14)

Thus, from Cramer's Rule, the solutions for the unknown parameters are found to be

$$ab = s_{0} \begin{vmatrix} r_{2} r_{3} r_{4} \\ r_{3} r_{4} r_{5} \\ r_{4} r_{5} r_{6} \end{vmatrix} - r_{1} \begin{vmatrix} s_{1} r_{3} r_{4} \\ s_{2} r_{4} r_{5} \\ s_{3} r_{5} r_{6} \end{vmatrix} + r_{2} \begin{vmatrix} s_{1} r_{2} r_{4} \\ s_{2} r_{3} r_{5} \\ s_{3} r_{4} r_{6} \end{vmatrix} - r_{3} \begin{vmatrix} s_{1} r_{2} r_{3} \\ s_{2} r_{3} r_{4} \\ s_{3} r_{4} r_{5} \end{vmatrix}$$
(A.15)
$$a = \frac{1}{D} \Big\{ s_{0} \Big[r_{2} (r_{4}r_{6} - r_{5}^{2}) - r_{3} (r_{3}r_{6} - r_{4}r_{5}) + r_{4} (r_{3}r_{5} - r_{4}^{2}) \Big]$$
$$- r_{1} \Big[s_{1} (r_{4}r_{6} - r_{5}^{2}) - r_{3} (s_{2}r_{6} - s_{3}r_{5}) + r_{4} (s_{2}r_{5} - s_{3}r_{4}) \Big]$$
$$+ r_{2} \Big[s_{1} (r_{3}r_{6} - r_{4}r_{5}) - r_{2} (s_{2}r_{6} - s_{3}r_{5}) + r_{4} (s_{2}r_{4} - r_{3}s_{3}) \Big]$$
$$- r_{3} \Big[s_{1} (r_{3}r_{5} - r_{4}^{2}) - r_{2} (s_{2}r_{5} - s_{3}r_{4}) + r_{3} (s_{2}r_{4} - s_{3}r_{3}) \Big] \Big\}$$
(A.16)

$$bD = n \begin{vmatrix} s_{1} & r_{3} & r_{4} \\ s_{2} & r_{4} & r_{5} \\ s_{3} & r_{5} & r_{6} \end{vmatrix} - s_{0} \begin{vmatrix} r_{1} & r_{3} & r_{4} \\ r_{2} & r_{4} & r_{5} \\ r_{3} & r_{5} & r_{6} \end{vmatrix} + r_{2} \begin{vmatrix} r_{1} & s_{1} & r_{4} \\ r_{2} & s_{2} & r_{5} \\ r_{3} & s_{3} & r_{6} \end{vmatrix} - r_{3} \begin{vmatrix} r_{1} & s_{1} & r_{3} \\ r_{2} & s_{2} & r_{4} \\ r_{3} & s_{3} & r_{5} \end{vmatrix}$$
(A.17)
$$b = \frac{1}{D} \left\{ n \left[s_{1} & (r_{4}r_{6} - r_{5}^{2}) - r_{3} & (s_{2}r_{6} - s_{3}r_{5}) + r_{4} & (s_{2}r_{5} - s_{3}r_{4}) \right] \right. \\ - s_{0} \left[r_{1} & (r_{4}r_{6} - r_{5}^{2}) - r_{3} & (r_{2}r_{6} - r_{3}r_{5}) + r_{4} & (r_{2}r_{5} - r_{3}r_{4}) \right] \right. \\ + r_{2} \left[r_{1} & (s_{2}r_{6} - s_{3}r_{5}) - s_{1} & (r_{2}r_{6} - r_{3}r_{5}) + r_{4} & (r_{2}s_{3} - s_{2}r_{3}) \right] \right\} \\ - r_{3} \left[r_{1} & (s_{2}r_{5} - s_{3}r_{4}) - s_{1} & (r_{2}r_{5} - r_{3}r_{4}) + r_{3} & (r_{2}s_{3} - s_{2}r_{3}) \right] \right\}$$
(A.18)

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$$cD = n \begin{vmatrix} r_{2} s_{1} r_{4} \\ r_{3} s_{2} r_{5} \\ r_{4} s_{3} r_{6} \end{vmatrix} - r_{1} \begin{vmatrix} r_{1} s_{1} r_{4} \\ r_{2} s_{2} r_{5} \\ r_{3} s_{3} r_{6} \end{vmatrix} + s_{0} \begin{vmatrix} r_{1} r_{2} r_{4} \\ r_{2} r_{3} r_{5} \\ r_{3} r_{4} r_{6} \end{vmatrix} - r_{3} \begin{vmatrix} r_{1} r_{2} s_{1} \\ r_{2} r_{3} s_{2} \\ r_{3} r_{4} s_{3} \end{vmatrix}$$
(A.19)
$$c = \frac{1}{D} \Biggl\{ n \Biggl[r_{2} (s_{2}r_{6} - s_{3}r_{5}) - s_{1} (r_{3}r_{6} - r_{4}r_{5}) + r_{4} (r_{3}s_{3} - s_{2}r_{4}) \Biggr]$$
$$- r_{1} \Biggl[r_{1} (s_{2}r_{6} - s_{3}r_{5}) - s_{1} (r_{2}r_{6} - r_{3}r_{5}) + r_{4} (r_{2}s_{3} - r_{3}s_{2}) \Biggr]$$
$$+ s_{0} \Biggl[r_{1} (r_{3}r_{6} - r_{4}r_{5}) - r_{2} (r_{2}r_{6} - r_{3}r_{5}) + r_{4} (r_{2}r_{4} - r_{3}^{2}) \Biggr]$$
$$- r_{3} \Biggl[r_{1} (r_{3}s_{3} - s_{2}r_{4}) - r_{2} (r_{2}s_{3} - s_{2}r_{3}) + s_{1} (r_{2}r_{4} - r_{3}^{2}) \Biggr]$$
$$(A.19)$$

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$$dD = n \begin{vmatrix} r_{2} & r_{3} & s_{1} \\ r_{3} & r_{4} & s_{2} \\ r_{4} & r_{5} & s_{3} \end{vmatrix} - r_{1} \begin{vmatrix} r_{1} & r_{3} & s_{1} \\ r_{2} & r_{4} & s_{2} \\ r_{3} & s_{5} & s_{3} \end{vmatrix} + r_{2} \begin{vmatrix} r_{1} & r_{2} & s_{1} \\ r_{2} & r_{3} & s_{2} \\ r_{3} & r_{4} & s_{3} \end{vmatrix} - s_{0} \begin{vmatrix} r_{1} & r_{2} & r_{3} \\ r_{2} & r_{3} & r_{4} \\ r_{3} & r_{4} & r_{5} \end{vmatrix}$$
(A.21)
$$d = \frac{1}{D} \Biggl\{ n \Biggl[r_{2} & (r_{4}s_{3} - s_{2}r_{5}) - r_{3} & (r_{3}s_{3} - s_{2}r_{4}) + s_{1} & (r_{3}r_{5} - r_{4}^{2}) \Biggr]$$
$$-r_{1} \Biggl[r_{1} & (r_{4}s_{3} - s_{2}r_{5}) - r_{3} & (r_{2}s_{3} - s_{2}r_{3}) + s_{1} & (r_{2}r_{5} - r_{3}r_{4}) \Biggr]$$
$$+r_{2} \Biggl[r_{1} & (r_{3}s_{3} - s_{2}r_{4}) - r_{2} & (r_{2}s_{3} - s_{2}r_{3}) + s_{1} & (r_{2}r_{4} - r_{3}^{2}) \Biggr]$$
$$-s_{0} \Biggl[r_{1} & (r_{3}r_{5} - r_{4}^{2}) - r_{2} & (r_{2}r_{5} - r_{3}r_{4}) + r_{3} & (r_{2}r_{4} - r_{3}^{2}) \Biggr] \Biggr\}$$
(A.22)

Given an antenna power pattern expressed in decibels, equations (A.2), (A.8), (A.9), (A.14), (A.16), (A.18), (A.20), and (A.22) are sufficient to determine the polynomial approximation (A.1) to the voltage pattern.

APPENDIX B

DERIVATION OF MAGNITUDE AND PHASE OF THE COMPLEX REFLECTION COEFFICIENT

In this appendix expressions for the magnitude C_r and the phase δ of the complex reflection coefficient $C_R e^{j\delta}$ are derived. Beginning with equations (5.11) and (5.12),

$$C_{R}e^{j\delta} = \frac{n^{2}}{n^{2}}\frac{\sin\psi - [n^{2} - \cos^{2}\psi]^{1/2}}{\sin\psi + [n^{2} - \cos^{2}\psi]^{1/2}}$$
(B.1)

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 $n^2 = \epsilon_r - jK$ (B.2)

one may insert the latter into the former to obtain

$$C_{R}e^{j\delta} = \frac{\varepsilon_{r}\sin\psi - jK\sin\psi - \left[\varepsilon_{r} - \cos^{2}\psi - jK\right]^{1/2}}{\varepsilon_{r}\sin\psi - jK\sin\psi + \left[\varepsilon_{r} - \cos^{2}\psi - jK\right]^{1/2}}$$
(B.3)

Next, the following substitution may be made:

$$\left[\epsilon_{r} - \cos^{2}\psi - jK\right]^{1/2} = [S + jT]^{-1/2}$$
(B.4)

where

 $S = e_r - \cos^2 \psi$ (B.5)

and

$$\mathbf{T} = -\mathbf{K} \tag{B.6}$$

But it is also known that

$$[s + jT]^{1/2} = [Ve^{j\alpha}]^{1/2} = [V]^{1/2} \cos(\alpha/2) + j[V]^{1/2} \sin(\alpha/2) \quad (B.7)$$

where

$$v = [s^2 + T^2]^{1/2}$$
(B.8)

and

1

$$\alpha = \tan^{-1}(T/S)$$
(B.9)

Thus, from (B.4), (B.7), (B.8), and (B.9),

$$\begin{bmatrix} \varepsilon_{r}^{-}\cos^{2} & -jK \end{bmatrix}^{1/2} = \begin{bmatrix} s^{2} + T^{2} \end{bmatrix}^{1/4} \cos\left(\frac{1}{2}\tan^{-1}\left(\frac{T}{S}\right)\right) + j\left[s^{2} + T^{2}\right]^{1/4} \sin\left(\frac{1}{2}\tan^{-1}\left(\frac{T}{S}\right)\right)$$
(B.10)

Substituting (B.10) into (B.3) one obtains

$$C_{R}e^{j\delta} = \begin{cases} \epsilon_{r}\sin\psi - [S^{2}+T^{2}]^{1/4}\cos\left(\frac{1}{2}\tan^{-1}\left(\frac{T}{S}\right)\right) \\ + j\left(-K\sin\psi - [S^{2}+T^{2}]^{1/4}\sin\left(\frac{1}{2}\tan^{-1}\left(\frac{T}{S}\right)\right) \\ \epsilon_{r}\sin\psi + [S^{2}+T^{2}]^{1/4}\cos\left(\frac{1}{2}\tan^{-1}\left(\frac{T}{S}\right)\right) \\ + j\left(-K\sin\psi + [S^{2}+T^{2}]^{1/4}\sin\left(\frac{1}{2}\tan^{-1}\left(\frac{T}{S}\right)\right) \right) \end{cases}$$
(E.11)

But equation (B.11) is of the form

$$C_{R}e^{j\delta} = \frac{W + jx}{Y + jz} = \frac{[W^{2} + x^{2}]^{1/2} e^{j \tan^{-1}(X/W)}}{[Y^{2} + z^{2}]^{1/2} e^{j \tan^{-1}(Z/Y)}}$$
$$= \left[\frac{W^{2} + x^{2}}{Y^{2} + z^{2}}\right]^{1/2} e^{j[\tan^{-1}(X/W) - \tan^{-1}(Z/Y)]} (B.12)$$

Finally, by inspection of (B.12), the following expressions may be written:

$$C_{R} = \left[\frac{w^{2} + x^{2}}{y^{2} + z^{2}}\right]^{1/2}$$
(B.13)

$$\delta = \tan^{-1}(X/W) - \tan^{-1}(Z/Y)$$
 (B.14)

The expressions for W, X, Y, and Z can be determined from inspection of equation (B.11) and are included in the body of the thesis as equations (5.16) through (5.19), respectively.

APPENDIX C

RADIATION PATTERNS USED IN APPLICATIONS OF THE MODELS

The data used to prepare radiations patterns for use by the CDC 6600 computer were obtained from factory test patterns prepared by the individual manufacturers of the antennas. Patterns for the standard antenna²³, the Hazeltine open array antenna²⁴, the ARSR-2 beacon feed modification of Texas Instruments^{25,26}, TI's separate rotator antenna^{27,28}, and the separate rotator of Westinghouse²⁹, were tabulated at regular intervals, typically every one or two degrees. The horizontal patterns used were those measured at the elevation of the maximum directivity of the antenna, while vertical patterns were extracted from the ones measured at an azimuth of 0⁰.

The radiation patterns used as inputs to the complex simulation model were, for the most part, obtained by regular tabulation of the points in the measured data, and by linear interpolation between the tabulated points. Near the boresight of the horizontal patterns, however, and in the vicinity of the horizon for vertical patterns, a least-squares fit to the measured data was effected in order to preserve the smoothness of the curves in these critical areas. In those portions of the curves that follow where a least-squares fit was used, the individual points to which the curve was fit are denoted by squares. Generally, the curves pass through the points, indicating a close fit.

The plots of radiation patterns that follow as Figures C.1 through C.41 are grouped by antenna manufacturer, beginning with plots of the standard antenna and its associated omnidirectional antennas. The standard FA-8043 antenna has both a terminal omni, called the FA-8044, and an en route omni, the FA-8045, with a special bracket used for mounting the omni in the top of the en route ARSR radome. Two plots of each directional antenna in the azimuthal plane are included. The first is a complete azimuth plot from -180° to $+180^{\circ}$. The smooth plot is restricted to cover the region from -12° to $+12^{\circ}$ in order to show detail in the vicinity of antenna boresight. Two plots in azimuth for the uplink (1030 MHz) are followed by the corresponding downlink patterns for the directional antenna are shown.

Except for the two omnidirectional antennas associated with the standard FA-8043 antenna, the directional antenna patterns are followed by their respective omni patterns, first the uplink azimuth pattern, then the uplink elevation pattern. The FA-8044 and FA-8045 omnidirectional antennas have such uniform patterns in azimuth that their plots have been omitted. Rather, it is assumed that the FA-8044 and FA-8045 each have no attenuation at any azimuth. Downlink radiation patterns for the omni antennas are assumed to be equal to the uplink patterns. The downlink patterns are used, of course, in the RSLS investigations. The last plot is an ideal elevation pattern with no attenuation above











Figure C.5 1030 MHz Elevation Pattern, FA-8043 Antenna





Figure C.7 1030 MHz Elevation Pattern, FA-8044 Antenna













Figure C.13 1030 MHz Elevation Pattern, Hazeltine Antenna




























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Figure C.34 1030 MHz Azimuth Pattern, Westinghouse Antenna, -12° to +12°



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Figure C.37 1030 MHz Elevation Pattern, Westinghouse Antenna



Figure C.38 1090 MHz Elevation Pattern, Westinghouse Antenna





Figure C.40 1030 MHz Elevation Pattern, Westinghouse Omni



the horizon, but 36 dB attenuation below the horizon.

The next series of plots includes those used as inputs to the simple flat-earth model. The plots, therefore, are of the elevation patterns only. As previously, the patterns are grouped according to the manufacturer of the antenna, beginning with the standard FA-8043 and its omnis. There are thus four standard antenna plots, two for the directional patterns (uplink and downlink), and one for each of the two omnis (uplink only). Since RSLS is analyzed using the complex spherical-earth simulation model, the downlink patterns for the omnis are unnecessary as input to the simple model.

Figures C.42 through C.57 are obtained from the patterns used in the complex simulation model. The complex patterns are sampled at 0.1-degree intervals, and the resulting points used to initiate a least-squares fit according to the procedure of Appendix A as described in Chapter 4. The fit is performed over the interval from -5° to $+5^{\circ}$ to ensure an analytic expression that fits the empirical data closely, and also because most of the coverage gaps of interest lie in the region from the horizon to approximately 5° above it. The least-squares fit for these curves is made to satisfy the closed-form equation requirements of Chapter 4 rather than to provide an accurate means of interpolation. As for the curves plotted for the complex simulation model, the following curves involve the plotting of a square symbol for the point, and a line for the least-squares approximation.



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Figure C.45 Least-Squares Fit, 1030 MHz Elevation Pattern, FA-8045 Antenna

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Figure C.54 Least-Squares Fit, 1030 MHz Elevation Pattern, TI Separate Rotator Omni






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