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VERTICAL DIRECTIONALITY OF NOISE AND SIGNAL TRANSMISSIONS DURING OPERATION CHURCH ANCHOR

Victor C. Anderson

Scripps Institution of Oceanography San Diego, California

15 November 1974



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## VERTICAL DIRECTIONALITY OF NOISE AND SIGNAL TRANSMISSIONS DURING OPERATION CHURCH ANCHOR

Victor C. Anderson

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4. TIFLE (and Subtitle)	5. TYPE OF REPORT & PERIOD COVER
VERTICAL DIRECTIONALITY OF NOISE AND SIGNAL TRANSMISSIONS DURING OPERATION	Summary
CHURCH ANCHOR	MPL-U-53/74
2. AUTHOR(4)	8. CONTRACT OR GRANT NUMBER(*)
Anderson, Victor C.	N00014-69-A-0200-6002
Derforming organization name and address University of California, San Diego, Marine Physical	10. PROGRAM ELEMENT, PROJECT, TA AREA & WORK UNIT NUMBERS
Laboratory of the Scripps Institution of Oceanography, San Diego, California 92132	712408
11. CONTROLLING OFFICE NAME AND ADDRESS	12. REPORT DATE
Office of Naval Research, Code 210, Department of	15 NOVEMBER 1974
the Navy, Arlington, Virginia 22217	55
14. MONITORING AGENCY NAME & ADDRESS(II dillerent from Controlling Office)	15. SECURITY CLASS, (of this report)
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VERTICAL DIRECTIONALITY OF NOISE AND SIGNAL TRANSMISSIONS DURING OPERATION CHURCH ANCHOR

Victor C. Anderson

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#### ABSTRACT

A vertical array of 20 vibration isolated hydrophones was suspended from the Research Platform FLIP at various depths from 713m to 4816m. Preformed sets of beams were formed with a digital beamformer to observe the vertical noise distribution at 3 to 4 frequencies between 20 and 100 Hz. Directional spectra derived from 10 minutes samples of digital records are presented. Several contour plots of amplitude distributions are also shown for both signal and noise records.

#### INTRODUCTION.

During the first part of operation CHURCH ANCHOR, 20 hydrophones were configured in a uniformly spaced vertical linear array and lowered to various depths from FLIP. Part of the on board processing equipment for this array consisted of the IRAPP beamformer - a programmably narrow-hand multibeam 8-bit digital beamformer which allowed observations to be made for a full set of uniformly spaced vertical beams at four frequencies of The processor acted as a filter at each of these interest. The processor narrow-band frequencies linus, the observations should be considered to be estimates of the power spectrum level of the directional direction of the background noise in the ocean,

Buring the periods of time designated as "noise days" observations were made at sequential depth for a s¢₹ of six predetermined depths. At each depth an observation time of 20 minutes was used during which time continuous recordings of the complete set of beams was wade. The on-line monitor during recording was a Ross Recorder. an intensity type recorder generating four parallel time bearing plots one plot for each of the four frequencies. The detected beam autputs which represent the intensity on these plots were furnatted in a serial syan which was recorded on digital tape for later processing.

During the propagation runs data were also collected with this system. In this case, recordings were made during transmission periods but the lepth of the array was controlled by the requirements of the propagation runs rather than by the vertical directionality experiments. The propagation array data analyzed to date only indicate the gross directional structure, however, the frequency was recorded at the same time as a signal frequency so that some indication of the background noise level through the gain of the array would be obtained.

The data sets of this report are grouped by experiment. For each experiment the data are presented by way of graphs which represent the vertical noise distribution at the four frequencies involved. The graphs are of two types; one is a plot of near power values computed from accumulated histograms of 10minute samples of the beam output scans, the other, a contour plot which is derived from the data but represents the contours of the histograms of the beamformer output samples. A considerable emount of post processing has been applied to the data for the purpose of smoothing the histograms and removing nome of the anomalies of the coupled which were discovered in the data analysis.

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An extensive effort was spent in looking at the process of deconvolution of the beamformer outputs with the goal of improving the estimate of the directional noise field. This work has been reported in the literature.<sup>1,2</sup> The results of this effort show that the deconvolution process for this particular uniformly spaced linear array is of marginal Because the process is very to the fine structure of the benefit. sensitive theoretical beam pattern, it was felt that there may be enough uncertainties in the linearity of the array or in the linearity of the wavefront arrivals that the application of the deconvolution algorithm might actually degrade the estimate of the directional distribution. Therefore, only the unmodified beam output power of the array has been presented here. There are two artifacts of the instrumentation which occur and are particularly prominent in the case of the background noise recordings.

First, there is a significant amount of what is interpreted as common mode noise which shows up as an output on the perpendicular beam which is the horizontal  $(90\,\sigma)$  beam. The question of whether or not this common mode noise could be subtracted out of the directional patterns in a valid way was considered carefully; there was insufficient consistency from record to record in the level of this common mode noise to justify any subtraction so no attempt has been made to take it out of the data presented here.

second, the narrow band filter had extended sidelabe responses which lay, on the average, 40 d8 below the response in the pass band. Thus noise power uniformly distributed over a 500 Hz band will give rise to a filter output power which is only a few d8 below the emband noise power. This effect places a floar on the minimum noise bands which can be measured for the background noise records but does not seriously affect the signal transmission runs where the major emergy was concentrated in a few frequencies.

#### **INSTRUMENTATION**

the array which was used consisted of 20 of the hydrophones using the Whitney suspension cage design shown in Fig. 1. The elements are independently suspended in a very low compliance plastic suspension within the external support case. The hydrophone outputs are amplified and compled through an FN telemetry link over the single coasial cable to fLIP where a set of 20 receivers deputtiples and demodulate the signals for by the beauformer. The processing . characteristics of the beautonner are summarized in Table 1.4 The 20 hydrophones of the array are interconnected to form a uniformly spaced array with an overall length of 332 meters and an effective length of \$60 meters. Picute 2 shows the six array depths used during the noise neasurement periods.



Fig. 1. Mitney hydrophone case assembly.

The set of frequencies used with the array range from 23 ht to 200 H: and thus a wide variation in beam patterns will be observed. A characteristic set of patterns are given in Figs. 3 through 6. The patterns are presented here as a set of 121-1.5 samples corresponding to the beam centers. At 100 and 200 Hz, the patterns is undersampled and the peculiar side is its are a consequence of nearly commensurate sampling period and mearly commensurate sampling period and mearly commensurate sampling period and mearly commensurate sampling period and the main lobe widths. Above 50 H7, grating lobes having amplitude responses as high as the main lobe of the array will be observed within the d-160 vertical angle set. At 200 Hz three sets of these grating lobes are to be observed.

The boanformer was pregrammed to generate four sets of brans for four relectable narrow-band frequencies. Each set extered the rance of 0-180 with a 1-1/, beam-to-beam spacing for a full out of 121 beams. The extreme beams were pointed vertically up (C/) and vertically down (188 ). A vincle narrow hand fifter channel was synthexized in the beamformer by multiplying the time-multiplexed high speed and is bean data block by a 1-bit quantized sine and coving reference vignal at the center hand frequency which had been amplitude weighted over the 2-second intrepation block to control the filter aids lobes. I finite time integrator operating over the insecond data where provided the toursease filter for the two states givinganet

## Table 1. Beamformer Specifications

#### ELECTRICAL CHARACTERISTICS

Inputs

- a. Number of channels: 1 to 48 (2 ea., 0050S conn).
- b. Impedance, single ended: 1 megohm.
- c. Impedance, transformer: 600 ohms.
- d. Maximum voltage: 3 volts (rms).
- e. Frequency band: 10 to 250 Hz, 500
- Hz, 1000 Hz. g. Gain: 0 to + 75 dB in 5-dB increments.
- Outputs
- a. Detected beam scans.
  - Four quadrature element sums 1 to 12), 4-msec beams, 12- bit words, TTL compatible (4 ea., DA155).
  - (2) one, full-element sum (1 to 48), 4-msec beams, analog, low-impedance (coaxial) (also displayed on time- bearing recorder).
- b. Undetected beam outputs.
  - One, full-element sum (1 to 18), 1024, 4-osec samples/ beam, 12 bit-words, TTL compatible (1 ea., DAISS) also internally formatted for writing every sixteenth beam onto magnetic tape).
  - (2) Same as (1) for FFI, but interfacing is yet to be determined.
- 4-dec and 4-msec clocks, TTL compatible (cdaxial).

#### PHYSICAL CHARACTERISTICS

- Input power: 115 vac. 60 Hz, 40 amperes.
- Street 1, 3-rack bay -= 613985139 x2149 plus wheels.
  - 1-nack bay -- 6'S"X2'X2'4" plus wheels.
- Better: 4-rack bay, 2000 pounds, t-rack bay, 300 pounds,

terms. After low-pass filtering, the two cross- product terms were squared and then summed to produce the output power signal. The frequency response of this filter is shown in Fig. 7. As can be seen, it has an effective banawidth of 0.5 Hz. It alou refirets the effect of the 4-bit quantization of the reference signal in the extended side lobe levels which are only down about 10 dB. The gain corrections arising from the various portions of the system which must be applied to the data are summarized in Fig. 8.



# Fig. 2. Array positions relative to the velocity profile.

The beamformer records were analyzed in 10-minute blocks. Only the first 10-minute sample of each tape has been included in this report. For each 10-minute period, 300 2-second samples were combined to provide the average values. The distributions which were run on these samples are histograms for the 300-sample sets. A summary of the data sets is given a Table 11. Summaries of the gain factors for the data sets are given in Table 111. A high density of ship traffic occurred during the "noise days" Figures 9 and 10 show the time relationship of the depth station, ship traffic and data tapes.

#### DATA PROCESSING

Before proceeding to the directional plots a word of explanation of the data processing is in order. The format of the narrow-band beamformer data is a 9-bit floating point number representing the narrow-band energy in a 2-second sample. The number consists of a 5-bit exponent of base four and a 1-bit mantissa. The dynamic range is approximately 88 dB. The data are stored in 512 word records by a 9-track 800 bpi tape recorder. One tape record contains 2-second samples of 121 beams for four frequencies.

The chest disided bequence for the data processing was as follows: the tapes were readby the Borroughs 6700 computer at 18/80 using a routine which converted the base four floating point numbers to Borroughs (8-bit words). Three busiled records representing 10 scinutes of real time data were superised and parted by beam number and frequency at one pass. The ported data were then grouped anto 187 dB wide

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bistogram bins yielding how its in each bistogram array. At this time the new was calculated and the median. In percentils and do percentils him determined. The histogram data was then condensed and stored on marketic type, along with the median-mean-percentils arrays. Obtional plots of both the medianmean-percentiles is been could be printed the bistograms for each been could be printed from these types. Plots and sleeted bistogram party completed by modeles of both sizes from these types. In these arlies and so the to the percention of these arles the bistogram plots inspection of these artested bistogram plots

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programmed by side March 1974. A program was completed as april 1974 whereby the corrected missocram data could be displayed by a line prefer contour plotting routine. Bith this routine, up to five contour levels of any herebe any be selected with each level being expressioned by a different set of printer characters. These printer contour plots were issend in monitoring the data analysis. Two itspices for inclusion in this report itles. 42 and 186.

The specials contains the plate of discriptional operation for the data acts listed in table 11. For the mains acts, only the three ionst frequencies are included. The antigenature associated with the figs stitute long experience at 22 dill are for severe to allow for devaluation interpretation. For the august with the same severe plant to allow and the first and only the three signal fremation is spring only the three signal frequencies with for the it tape.

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the interpretation of the data. The first of these is the apparent presence of common mode noise which was mentioned earlier. The evidence which gives rise to the "ssumption of the presence of such common mode noise lies in a careful examination of the directional plots associated with low background levels, particularly the noise runs of tapes labeled C and L. In all of these tapes, at all four frequencies, regardless of depth, there appears to be a strong signal precisely centered on the 90° beam. Although one might expect some one arrival to come in at precisely 90° at a particular depth, if there were such an arrival present at the deeper depths the angle would obviously be different for the rays as they crossed the sound channel axis at the shallower depths. This is not the case. Also, if there were truly a horizontal ray, one would expect to see at least a representative offset caused by the tilt angle on the array which was observed to vary up to 2 or 3 degrees during the experiment. There is no evidence of this displacement. The only logical explanation for this strong 90° beam is a signal which is common in phase in all elements. Because this effect occurs over all frequencies observed, the assumption has to be that it is also a broadband noise. The origin of this noise has not been identified to date.

In view of the appearance of this noise in the data, the 100 Hz results become particularly significant. Referring back to Fig. 5, one sees that the particular set of 1.5° beam sampling intervals which nearly matches the angular periodicity in the beam pattern, gives rise to a very low beam power supply in the vicinity of the mean bean. this means that, for the data at 100 Hz, one can place a high confidence in the results around the central bean, since all of the common mode actse contamination will be concentrated in the 8d beam. Thus, it is the 100 Hz data which gives us the most significant clue as to the shape of the noise utadow as a function of depth. The disadvantag of the 100 liz data, of course, is the presence of the second order grat tobes which limit the observation interval to a band of about \$ 15° about the bortzontal. thus, although the fine scale structure within the not whand is characterized by the the Highest the lower trememor plots must be youd to define the band in the univer levels of the ocear where the noise name covered the a lat width.

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TABLE III

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Sensitivity thru LRAPP System

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Fig. 9. Local ship traffic near FLIP during 5-6 September 1973 noise period.

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Fig. 10. Local ship traffic near FLIP during a-9 September 1973 noise period.

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is important to recell that the beamforming is done on a broad band basis within the beamformer and that the narrow-band filtering is accomplished after the summation process.

the full bandwidth of something greater than 500 Hz of noise will have an average side lobe level corresponding to approximately the directivity index of the array or about -13 18. this can be thought of as being the effects of the power which feeds in through the high frequency secondary lobes which. because they are frequency dependent, will have essentially a uniform distribution over the angular aperture. The total power occurring in the side lobe region then will be roughly determined by the full band power reduced by the directivity index. For saise input of one volt ras with a total bandwidth of \$00 Hz, the side lake level would be -13 ds. If we consider the noise level in the u.s its hand of 23 its from a broadband input of t-volt no it will be lower by the ratio of n is to kno the and also by the -15 da equalization gain difference for a total level of +13 db, and +30 dk with respect to the broadband side lobe level. The task of the narrow-band filter in the beauformer output is

then to separate the noise spectrum at 23 Hz from the rest of the broadband noise. The filter response of this narrow- band filter, shown in Fig. 7, indicates that a level of about -40 dB is representative of the skirts beyond the immediate vicinity of the pass band, thus the wideband noise in the beamformer side lobe region is suppressed by 40 dB placing it approximately -10 dB below the beam response at 23 Hz.

with high In the propagation runs signal-to-noise ratios, the beamformer is subjected to an input spectrum which contains only a few line components. For this case we do observe the beamformer side lobes to a much greater depth than the noise case. This feed through of out-of-hand side lobe energy is quite evident in some of the propagation run tapes where two different frequency signals are present. For example, in the contour plot of tape D07 (Fig. 12) the two secondary lobes appearing in the 104 Hz plot (frequency four) show up in the three lower frequencies as humps in the side lobe distribution. These are particularly evident in frequency two, 36 Hz, which was out of the band of the two other signal frequencies.

Further evidence of the fact that the side lobe energy is due to frequencies out of the filter hand is to be seen in the contour plots. For example, in the contour plot for noise tape E01 (Fig. 13), frequency one (23 Hz), shows that in the side lobe region there are many places where the distribution is much narrower than that in the main beam. In the main beam one would expect to see a Rayleigh distribution which is roughly what the contours correspond to at that point. If the side tobe energy were truly associated with the energy in the 25 Hz band, it too would be expected to have a Rayleigh distribution. However, if it were a composite of frequencies outside of the hand, then the number of degrees of freedom for each sample would be significantly higher and as a result the distribution for any one sample will be much narrower and the contours will converge in these regions as they appear to in this plot.

istinates of the directional noise spectrum for the lowers three frequencies, 23. 38, and 100 Hz are shown in Fig. 14. Perhaps the most reliable shape is that associated with the 100 Hz curve. The 100 Hz beau rattern is aarrow enough to recolve the edges of the noise distribution which appears to have a very sharp edge to the directional spectrum. At the deepest depth, the curve shows a narrow directional spectrum with most of the energy confined to the region near the hurizontal, the next step above the critical depth still shows a narrow spectrum but with an increase of 4 do to level. As the array is raised progressively higher this mise distribution spreads but retains a very flat top distribution with no indication of a horizontal null and there is only a slight

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Fig. 12c



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fig. 14



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#### Vertical angle, degrees





increase in the actual total noise power as a function of depth above the critical depth. For the two lower frequencies, 23 and 38 Hz, the curves associated with the two lowest steps are conjectural in nature and thus are dotted in. The 23 and 38 Hz directional spectra are severely obscured by the common mode noise which spreads over the broader beam width at these two frequencies. It has been assumed in drawing these arrays that they are similar to those of the 100 Hz curve which was clearly resolved by the filter. The sheulders of the square top noise distribution at the upper depths, however, do appear as a spreading of the directional spectrum for these two lower frequencies and more reliance may be placed on these upper level values. The side lebe regions outside of this main distribution lobe must be considered to be indeterminate in these experiments because they have been obscured by the previously mentioned filter leakage.

One word of caution should be voiced at this point, that is that the data accumulated here consists only of two sets of depth measurements, each represented by 10-minute samples of noise and in no way should this be construed to be anything other than a gross estimate of the noise structure. The structure is extremely variable, data from the same depth on two different runs differs by as much as 5 dB in average level and nore

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Fig. 15. Time-bearing intensity records which include the DOS and DO7 directional spectra samples of signal transmissions.

than that in the detailed structure. The oceanic noise in the low frequency region cannot be considered stationary on a 10-minute or even 10-hour time scale.

The B and D tapes were taken during propagation runs with sources at two and sometimes three frequencies. Two different depths are associated with these runs, one depth with the center of the array at 713 meters, near the axis of the sound channel and the other just above the critical depth width the array center at 3781 meters. The directional arrivals are clearly shown in these plots. Another word of caution to be exercised in interpreting the data is in order, particularly for the 713 meter 100 Hz plots. The two arrival paths in this case are nearly exactly spaced on the spacing of the second order grating responses and the up and douncoming paths are superimposed on each other in these plots. Thus, what appears to be a spreading of the energy may merely be the superposition of the up and down rays at not quite the second order lobe spacing. In the case of the deep array the angular separation is such less, so close as a matter of fact that for the low frequency end, the rays are not resolved by the beam pattern; however, at 100 Hz they can be seen to be separate. Significant changes in the distribution of energy between the up and down bundles can be seen from tape to tape. At the 100 Hz frequency the arrival ray bundle is in many cases spread out breader than the 1.5 resolution of the 100 Hz beam pattern.

Graphical intensity vs. vertical angle and time recordings were made during the experiment. A sequence of sections of such a record is shown in Fig. 15. Two of the tape directional spectra, DOS and DO7, were taken during this sequence. DOS corresponds to Record #1 and DO7 corresponds to Record #10. It is apparent that the average spectra plotted do not fully represent the complexity of the propagation path; there is a considerable time variability in both the direction and amplitude of the arrivals of Fig. 15.

The low frequency envelope spectra of these signal arrivals will be the subject of a future analysis and report.

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#### ACKNOWLEDGMENT

This paper represents results of research sponsored by the Office of Naval Research.

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#### APPENDIX

Directional spectra for the B, C, D and E tapes are included. Records are in an Alpha numberic tape sequence.

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