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We have shown that targeting the mevalonate pathway with fluvastatin preferentially induces apoptosis in breast cancer (BrCa) cells that have undergone epithelial-to-mesenchyme transition (EMT), a critical process for the initiation of metastasis. Moreover, we have identified that EMT gene expression is bimodally distributed and is a biomarker of fluvastatin sensitivity. Mechanistically, we have shown that fluvastatin can induce apoptosis by limiting production of an important end-product of the mevalonate pathway essential for protein *N*-glycosylation associated with EMT. We have also shown that dipyridamole(DP) potentiates fluvastatin-induced apoptosis of BrCa cells by blocking the statin-induced restorative feedback loop. We have also identified additional agents that can potentiate statin-induced BrCa cell death, thereby expanding this class of anti-cancer agents. We have published 3 manuscripts (2 at *Cancer Research* and *Molecular Oncology*), have another nearly ready for resubmission to *Nature Communications*, and have published a review article in *Clinical Cancer Research*. Thus, we have been highly productive and made advances that are actionable and have potential to immediately impact BrCa patient outcome.

#### 15. SUBJECT TERMS

statins, dipyridamole, apoptosis, breast cancer, metastasis, mouse models, therapeutics, FDA-approved agents

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#### **INTRODUCTION:**

Background: In early stage breast cancer (BrCa) treated with frontline therapy, 20-30% reoccur as distant metastases, despite intensive treatment. Metastatic BrCa accounts for nearly all BrCa deaths, and has no cure. Development of new and effective metastasis prevention strategies will clearly mark a key advance. We aim to fill this gap and address two overarching challenges in BrCa: 1) prevention of metastatic BrCa spread and elimination of the mortality associated with metastatic BrCa; and 2) replacing toxic interventions with ones that are safe and effective. Specifically, we aim to provide essential preclinical data to advance two families of Federal Drug Administration (FDA)-approved drugs, statins and dipyridamole (DP), as metastatic BrCa prevention agents. Our preliminary data show that statins are cytotoxic to BrCa cells that have undergone epithelial-to-mesenchymal transition (EMT) and mesenchymal-to-epithelial transition (MET), two processes that form the initiation and completion of the invasion-metastasis cascade in malignant tumors. We have also shown that DP potentiates the cytotoxic activity of statins by blocking the statin-triggered restorative feedback response. Interrogating the efficacy and mechanism of the statin+DP combination in BrCa will provide preclinical evidence to support further evaluation of this novel drug combination in human clinical trials, and for the development of predictive and dynamic biomarkers of drug sensitivity.

Hypothesis and objectives: We hypothesize that statins, alone or in combination with DP, can be effective therapeutics to prevent BrCa recurrence. Our *objectives* are to evaluate statins +/- DP for their efficacy and mechanism of action in BrCa cells *in vitro*, *in vivo* in a relevant mouse model, and in a cohort of patient derived xenografts (PDXs). Upon completion of this BCRP grant, we will have pre-clinical evidence of efficacy and biomarkers to support a follow-up, short time-frame clinical trial to test the use of statins +/- DP to treat metastatic disease. Our long-term goal is to leverage positive results from these pre-clinical and clinical studies to support a larger, longer, and resource-intensive trial for the use of statins+/-DP following surgery, with the goal of metastasis prevention. We believe that prescription of these effective, well-tolerated, and inexpensive therapeutics in patients with high risk of metastatic recurrence after surgery, will provide clinical benefit and improve BrCa patient survival and quality of life.

#### Specific Aims:

- 1. Delineate the efficacy and mechanism of the fluvastatin+DP combination in BrCa cells that have undergone EMT and/or MET.
- 2. Delineate the mechanism by which DP potentiates statin-induced tumor cell apoptosis.
- 3. Evaluate the efficacy of fluvastatin+DP in relevant mouse models of BrCa metastasis.

**KEYWORDS:** statins, dipyridamole, statin-induced feedback inhibitors, apoptosis, breast cancer, metastasis, mouse models, therapeutics, FDA-approved agents

#### **ACCOMPLISHMENTS:**

With support from the DOD, the research outlined in the original proposal has progressed in a steady and productive manner. To delineate the accomplishments, the tasks outlined in the original Statement of Work (SOW) of the proposal are itemized below (italics) and a final report for each task provided.

## Aim 1. Delineate the efficacy and mechanism of the fluvastatin+DP combination in BrCa cells that have undergone EMT and/or MET (months 1-36).

Milestones to Achieve: We will delineate the efficacy and mechanism of the fluvastatin/DP combination in BrCa cells that have undergone EMT (months 1-36).

Complete. We have delineated the efficacy and mechanism of fluvastatin-induced apoptosis in BrCa cells that have undergone EMT. Our results indicate that BrCa cells that have undergone EMT become more sensitive to the pro-apoptotic effects of fluvastatin. This anti-BrCa activity is reversible with exogenous mevalonate, showing the effect is on-target. Moreover, we have shown that expression of genes associated with EMT serves as a robust biomarker of statin sensitivity, not only in BrCa, but across a broad range of cancers. Our manuscript describing these findings has been published in the journal Cancer Research. A copy of this manuscript has been appended (Appendix 1). Mechanistically, we had shown that the anti-proliferative effects of fluvastatin on cells undergoing EMT is dependent on a specific product of the mevalonate pathway; geranylgeranyl pyrophosphate (GGPP). GGPP can serve as a substrate for protein isoprenylation or as a building block for the production of co-enzyme Q or dolichol. Unexpectedly, the mechanism did not involve protein isoprenylation as anticipated. Instead, we have shown that key end-product in cell undergoing EMT is dolichol, which is essential for protein N-glycosylation. Moreover, as EMT can be a critical component of BrCa metastasis, we have demonstrated that statins inhibit BrCa metastasis. A manuscript describing these results has been published in the journal Cancer Research. A copy of this manuscript has been appended (Appendix 2). Once we discovered this new paradigm for how and why fluvastatin preferentially targets tumor cells undergoing EMT, and thereby inhibits BrCa metastasis, we next focused on delineating the efficacy and mechanism of the fluvastatin/DP combination in BrCa cells that have undergone EMT.

Subtask 1: Develop and validate HPLC/MS assays to measure the intracellular concentration of MVA, GGPP and FPP, as well as DP, (Fluvastatin already established), using MCF10A cell lines overexpressing Snail and H-Ras (months 1-12; Site 1, Penn)

Complete. In collaboration with Dr. Eric Chen, we have successfully developed HPLC/MS assays to detect MVA, GGPP, FPP and DP.

Subtask 2: Establish and validate IHC assays for HMGCS1 and SREBP2, (HMGCR already established) (months 1-12; Site 1, Penn)

Complete. We have established and validated an IHC assay for SREBP2. Assay development for HMGCS1 has been terminated as all commercially available antibodies have failed at the level of sensitivity and/or specificity. Thus, we will go forward with IHC assays for the transcription factors SREBP2 and its target gene HMGCR. While having an IHC assay for another SREBP2 target, HMGCS1, would have been ideal, it is not essential to conduct our research as two out of three probes have been successfully validated.

Subtask 3: Develop titratable inducible system to express dominant active (DA) alleles, and DA alleles fused to a myristoylation tag (myr-DA) of five isoprenylated proteins (months 1-12; Site 1, Penn)

<u>Complete</u>. We have successfully used a retroviral transgene expression system to express DA alleles and/or myr-DA alleles of five isoprenylated proteins (K-Ras, RhoA, RhoB, Rac1, and Rap1A) in the MCF10A cell line (Appendix 1).

Subtask 4: Express DA and myr-DA alleles in MCF10A and assay for activity, EMT and fluvastatin sensitivity in 2D and 3D culture conditions (months 6-18; Site 1, Penn).

Complete. The DA alleles of five isoprenylated proteins did not all sensitize MCF10A cells to fluvastatin-induced apoptosis or -decreased colony growth. Moreover, expression of the myralleles did not overcome sensitivity to fluvastatin-induced death as expected, yet this tumor cell death was rescued with exogenous GGPP. Taken together, this data suggested that protein isoprenylation was not contributing to the increased sensitivity to fluvastatin (Appendix 1).

Subtask 5: Express DA and myr-DA alleles in MCF10A Snail and H-Ras cells and assay for activity, EMT and fluvastatin sensitivity in 2D and 3D culture conditions (months 12-24; Site 1, Penn).

Complete. Based on the results of Aim1, Subtask 4 (Appendix 1), this series of experiments was no longer required as we have shown that fluvastatin-induced apoptosis in BrCa cells was uncoupled from protein isoprenylation, yet still functionally rescued by exogenous GGPP. Further interrogation showed that GGPP was not required for isoprenylation but for the production of dolichol. Thus, the model changed and evaluating the role of these isoprenylated proteins, as originally outlined, became obsolete. Instead, we determined that dolichol was the essential end-product downstream of GGPP that was essential for fluvastatin-induced kill of cells that have undergone EMT (Apppendix 2).

Subtask 6: Conduct RNAseq on cells grown in 3D on Matrigel, this include MCF10A cells that have (Snail, H-ras) and have not undergone EMT (vector control, MycT58A), as well as MCF10A cells expressing DA or myr-DA that undergo EMT (months 6-18; Site 1, Penn). Complete. The goal of this subtask was to develop a mRNA expression-based biomarker of cells that have undergone EMT and are highly sensitive to the anti-proliferative activity of fluvastatin. Indeed, we have identified that expression of genes associated with EMT are bimodally distributed and serve as a robust biomarker of statin sensitivity in BrCa. Moreover, we have shown that this biomarker shows efficacy across large panel of cancer types, beyond BrCa (Appendix 1).

Subtask 7: Conduct Bioinformatics analysis on RNAseq data (months 9-21; Site 1, Penn). Complete. Bioinformatic analysis led to the discovery the EMT gene mRNA expression was robustly bimodally distributed and was associated with statin sensitivity. This discovery was evident in multiple cancer subtypes, including BrCa, and with multiple statin drugs, including fluvastatin, simvastatin and lovastatin (Appendix 1).

Subtask 8: Evaluate metastatic potential of EMT cells (MCF10As overexpressing Snail or H-Ras) in response to fluvastatin+DP (months 18-24; Site 1, Penn)

Complete. To further evaluate the association of fluvastatin sensitivity with cells having undergone EMT, we have shown that BrCa cells that are epithelial (e.g. MCF-7) or mesenchymal (e.g. MDA-MB-231) in nature are relatively insensitive and sensitive to fluvastatin-induced apoptosis in tissue culture, respectively. To model metastasis we therefore used a derivative of the MDA-MB-231 cells that metastasize to the lung (LM2-4) and have

shown that fluvastatin decreases metastasis *in vivo* (Appendix 2). We used this LM2-4 (MDA-MB-231) model system rather than the MCF10A cells expressing exogenous Snail or H-Ras as we were concerned that the ability of the MCF10A models to metastasize would not be sufficiently robust, which would delay these experiments as metastatic clones would have to be identified and serially propagated to first establish the model, and then evaluate efficacy of fluvastatin+DP. Thus, the well-established LM2-4 (MDA-MB-231) cells were successfully used to model mesenchymal BrCa cells that undergo metastasis and show that fluvastatin has antimetastatic properties (Appendix 2).

With the model established using fluvastatin alone we went on to evaluate efficacy of fluvastatin+DP. However, when we were evaluating DP dosing, we unexpectedly observed liver-associated toxicities in the cohort of mice receiving DP after 3-4 weeks of daily treatment. Thus, optimization of the dose and treatment schedule for DP was required, which is particularly important for the longer duration treatments that were planned using the resection/metastases prevention models. Unfortunately, this issue was not able to be resolved. Notably, this phenomenon is not expected to impact the potential clinical translation of our results, as DP is delivered to patients in an oral, extended-release formulation, and the combined use with statins is well established for secondary stroke prevention.

Subtask 9: Determine mechanism of cell death in EMT cells (MCF10As overexpressing Snail or H-Ras) vs non-EMT cells (vector control, MycT58A) (months 18-24; Site 1, Penn)

Complete. The mechanism of fluvastatin-induced cell death in EMT cells is apoptosis due to depletion of GGPP/dolichol (Appendices 1 and 2). Non-EMT cells are relatively insensitive to fluvastatin.

Subtask 10: Validate in independent breast cell systems using the following TNBC cell lines: MDA-MB-231, HCC1500, SUM159PT, SUM149PT, BT20, HCC1937, HS578T, MDAMB468, MDAMB436 (months 24-36; Site 1, Penn)

Complete. To determine whether the fluvastatin+DP combination was synergistic across a panel of BrCa cell lines, a concentration range of DP was evaluated in combination with a sub-lethal dose of fluvastatin. From this data a synergy score was determined using the Bliss Index model. Remarkably the fluvastatin+DP combination was synergistic across the majority of cell lines. Even cell lines that were only weakly sensitive to fluvastatin were responsive to the fluvastatin+DP combination. This is consistent with our previous data showing the mevalonate pathway feedback response was intact across a panel of 25 breast cell lines (Goard et al., PMID:24337703). Interestingly, gene set enrichment analysis demonstrated that an EMT gene signature predicted sensitivity to the fluvastatin+DP combination as well as fluvastatin alone. These data have been incorporated into a manuscript recently posted on BioRxiv, which was also submitted and favorably reviewed at the journal *Nature Communications*. A copy of this manuscript has been appended (Appendix 3).

## Aim 2: Delineate the mechanism by which DP potentiates statin-induced tumor cell apoptosis (months 1-36).

Milestones To Achieve: We will identify the mechanism of DP action that potentiates fluvastatin induced apoptosis and identify molecular mechanism at the level of SREBP2 feedback response.

Novel agents and pathways that sensitize fluvastatin anti-BrCa activity will be identified (months 1-36).

Original Strategy: Complete. We have shown DP blocks SREBP translocation and the restorative feedback response to statin exposure, however, the mechanism of action remained unclear. To address this gap, our original strategy was to identify agents that blocked each of the many reported activities of DP individually, to determine which of these would phenocopy DP potentiation of statin-induced cell death. We started our analyses in cell lines derived from acute myelogenous leukemia (AML) and multiple myeloma (MM) as this is where our original identification of DP was discovered and studies could begin without delay (Pandyra, et al.; PMID 24994712). Our data in AML suggested that a phosphodiesterase (PDE) inhibitor, cilostazol, phenocopied DP by elevating intracellular cAMP levels. Since cAMP activates a major signaling cascade through Protein Kinase A (PKA), we further investigated whether modulation of PKA activities played a role in the inhibition of the sterol feedback response and potentiation of fluvastatin-induced cancer cell death. To our surprise, however, when we functionally assessed whether the activation of PKA was the key response to DP-induced elevated cAMP, we found that PKA activation is not functionally important in DP potentiation of statin activity. The activity of DP and cilostazol was intact in both wild-type and PKA null cells at the level of statin potentiation of tumor cell kill and inhibition of the statin-induced feedback response. Thus, this line of investigation hit a dead-end and was not been as fruitful as anticipated. To ensure these well-performed experiments were disseminated broadly, so others can conduct research knowing these results, a manuscript describing these data has been published in the *Molecular Oncology* (Appendix 4).

New Strategy: Complete. As the primary goal of this Aim was to identify "Novel agents and pathways that sensitize fluvastatin anti-BrCa activity" and we could not evaluate statin+DP in mouse models of BrCa as anticipated (see Aim 1, Subtask 8), we decided to take a pharmacogenomics approach with Dr. Ben Haibe-Kains to ask whether other drugs are "DP-like" in terms of i) Structure; by identifying compounds that have a shared chemical structure with DP, ii) Perturbation; by identifying compounds that when exposed to cells triggered similar changes to mRNA expression of six mevalonate pathway genes, determined by interrogating the LINCS L1000 dataset, and iii) Sensitivity Screening; by identifying compounds that triggered a similar profile of cell death in response to DP exposure across the NCI-60 panel of cell lines. This resulted in a Mevalonate (MVA) Pathway-specific Drug Network Fusion (MVA-DNF; Appendix 3).

Twenty-three drugs were identified as hits based on statistical significance. The top five hits included doxorubicin, which we had previously published as a potentiator of lovastatin (PMID:20298590), thus providing confidence in our *in silico* approach and the results obtained. The additional drugs in the top five (selumetinib, nelfinavir, mitoxantrone, honokiol) were advanced for experimental validation. Three of these four drugs were validated as potentiators of fluvastatin-induced cell death, including selumetinib (Selu), nelfinavir (NFV), and honokiol (HNK), based on: i) MTT Assays; ii) propidium iodide staining of cellular DNA followed by flow-cytometry to determine the percent 'Pre-G1' population; and, iii) PARP cleavage. We investigated these three agents for their mechanism of action and showed that two (NFV, HNK) do indeed behave like DP and inhibit the statin-triggered feedback response based on MVA gene mRNA expression and SREBP2 activation. As before (Aim1, Subtask 10), we evaluated the

synergy of these agents in combination with fluvastatin (Fluva) across 47 breast cancer cell lines and compared this to DP (positive control). We have also shown that basal mRNA gene expression as a predictor for each of the drug combinations (Fluva+NFV vs. Fluva+DP, Fluva+HNK vs. Fluva+DP, and Fluva+NFV vs. Fluva+HNK) across this cell line panel were highly correlated, consistent with a common mechanism of action underlying the observed synergy. By ranking genes according to their correlation to the fluvastatin IC<sub>50</sub> value or to the synergy score (Fluva+DP, Fluva+NFV and Fluva+HNK) using gene set enrichment analysis (GSEA) with the Hallmark gene set collection, we have shown that genes associated with Epithelial to Mesenchymal Transition (EMT) were associated with sensitivity. This association was evident across multiple gene set collections, which shows this signal is robust. Validation of specific EMT genes has been performed and E-cadherin is significant across all conditions.

In summary, we have achieved our goal to identify "Novel agents and pathways that sensitize fluvastatin anti-BrCa activity". Using our new MVA-DNF strategy we validated that four of the top five hits potentiate the pro-apoptotic activity of fluvastatin and, mechanistically, two inhibit the statin-induced feedback mechanism. This provides confidence in our approach that has unveiled two FDA-approved drugs, Fluva+NFV, can synergize to trigger cell death of mesenchymal-enriched BCa cells. Moreover, additional hits for future evaluation have been uncovered through this approach (Appendix 3). Further *in vivo* testing in PDX organoids and mouse models of BCa is now warranted and will be performed over the next year, during a no cost extension of my partnering PI (Dave Cescon). These data have been incorporated into a manuscript recently posted on BioRxiv, which was also submitted and favorably reviewed at the journal *Nature Communications*. A copy of this manuscript has been appended (Appendix 3). We are just finishing this up by addressing reviewers' comments and anticipate re-submission of this revised manuscript in the next 2-3 months.

Subtask 1: Evaluate pharmacological agents for their ability to phenocopy DP and potentiate fluvastatin anti-BrCa activity (MCF10As overexpressing Snail or H-Ras; other TNBC cell lines including MDA-MB-231, HCC1500, SUM159PT, SUM149PT, BT20, HCC1937, HS578T, MDAMB468, MDAMB436 (months 1-12; Site 1, Penn)

Original Strategy: Complete. DP has been reported to alter several biochemical pathways. To identify the mechanism of DP potentiation of fluvastatin anti-cancer activity it was important to validate across a panel of different types of cancers, including acute myeloid leukemia (AML), multiple myeloma (MM), prostate and BrCa. Our preliminary data in AML suggest that a PDE inhibitor, cilostazol, phenocopies DP by elevating intracellular cAMP levels. Since cAMP activates signaling cascades through PKA, we further investigated whether modulation of PKA activities plays a role in the inhibition of the sterol feedback response and potentiation of fluvastatin-induced cancer cell death. To our surprise, PKA activation was not mechanistically involved in this DP activity, nor was the DP induction of cAMP evident in other cancer types, including BrCa. Despite this line of investigation not being as productive as anticipated, we felt it was important to report our results and share this knowledge with the community. A manuscript describing these results was published in *Molecular Oncology* and has been appended (Appendix 4)

New Strategy: Complete. To investigate whether the drugs identified as DP-like using our pharmacogenomics approach, MVA-DNF, could potentiate fluvastatin induced cell death, we further investigated the top five hits from the ranked list of drugs similar to DP (p<0.05). As Doxorubicin scored as a top hit and we had previously published this agent as a potentiator of lovastatin (Martirosyan et al., PMID:20298590), this provided confidence in our results, and the top four drugs were advanced for validation (selumetinib, nelfinavir, mitoxantrone and honokiol). We investigated the sensitivity to sub-lethal statin exposure in combination with the novel DP-like drugs in a statin-sensitive (MDA-MB-231) and insensitive (HCC1937) BrCa cell lines. As seen with DP, we observed similar potentiation of statins when combined with nelfinavir, honokiol or selumetinib, but not mitoxantrone. To determine the nature of the anti-proliferative activity of statins+drugs, we evaluated cell cycle arrest and cell death by fixed propidium-iodide/flow cytometry and apoptosis by PARP-cleavage, respectively. Our data indicates that all three drugs mimic DP as potentiators of the pro-apoptotic activity of fluvastatin (Appendix 3).

Subtask 2: Validate results using independent pharmacological inhibitors and RNAi approach (months 9-24; Site 1, Penn)

Original Strategy: Complete. We validated the above preliminary results in AML using an independent PDE inhibitor, sildenafil, and an activator of cAMP, forskolin, and showed these agents could phenocopy DP to potentiate fluvastatin-induced cancer cell apoptosis in some but not all cancer types, including BrCa. We also used RNAi and CRISPR-Cas9 technologies to knockdown and knockout PKA, respectively, to evaluate the role of PKA activity in inhibition of the sterol feedback response and potentiation of fluvastatin-induced cancer cell death. To our surprise, PKA was not mechanistically involved in this DP activity. This line of investigation has been quite disappointing, and we are no longer pursuing this approach. Nonetheless, we disseminated this knowledge through publication of a manuscript in *Molecular Oncology* (Appendix 4).

New Strategy: Essentially Complete. Selumetinib is an inhibitor of MEK, and we had previously shown that statin inhibition of the MAPK-ERK-MEK pathway contributed to the AML cell death in response to lovastatin exposure (Wu et al. PMID:15374955). Moreover, we had shown that the MEK1 inhibitor PD98059 sensitized AML cells to low, physiologically achievable concentrations of lovastatin. Thus, our new data shows another MEK inhibitor (selumetinib) can potentiate fluvastatin-induced cell death of BrCa cell lines and validates statin+MEKi as a combination for further evaluation. Honokial is a natural product derived from Mahogany tree bark whose mechanism of action remains unclear, therefore we are not able to further evaluate mechanism at a molecular level using a genetic approach. Nelfinavir is a S1P protease inhibitor approved for the treatment of HIV with a well-defined mechanism of action. Therefore, we will further validate its action in this setting by establishing inducible shRNAs targeting this protease to evaluate whether this on-target effect is mechanistically critical for nelfinavir to potentiate fluvastatin tumor cell death. These experiments will be incorporated into the manuscript (Appendix 3) that is now being prepared for re-submission within the next 2-3 months.

Subtask 3: Determine molecular mechanism of action of novel DP-like molecules that can potentiate fluvastatin-induced apoptosis by assaying statin-induced feedback loop at the molecular level (MCF10As overexpressing Snail or H-Ras) (months 24-36; Site 1, Penn).

<u>New Strategy: Complete.</u> We investigated the top three agents (Selu, NFV, HNK) that potentiated fluvastatin cell death for their mechanism of action and showed that two (NFV, HNK) behaved like DP and inhibited the statin-triggered feedback response based on MVA gene mRNA expression (Appendix 3).

Subtask 4: Conduct biochemical analyses to determine point of SREBP2 translocation that is blocked by DP (MCF10As overexpressing Snail or H-Ras) (months 1-12; Site 1, Penn).

New Strategy: Complete. We investigated the top three agents (Selu, NFV, HNK) that potentiated fluvastatin cell death for their mechanism of action and showed that two (NFV, HNK) behaved like DP and inhibited SREBP2 activation (Appendix 3).

Subtask 5: Evaluate precise point of SREBP2 inhibition by DP using fluorescence strategies (months 13-24; Site 1, Penn).

<u>70% Complete</u>. We have used a complementary approach to Aim2, Subtask 4 by using high-content image analysis using a novel fluorescent probe to track SREBP2 within living BrCa cells in response to fluvastatin +/- DP. The probes (SCAP-GFP, SREBEP2-Venus) have now been built and the assay validated for further evaluation of DP and DP-like agents (NFV, HNK). These new data will be incorporated into the resubmission of Appendix 3 scheduled for completion within the next 2-3 months.

Subtask 6: Determine whether novel agents and RNAi block at similar or dissimilar points of SREBP2 feedback control (months 24-36; Site 1, Penn).

<u>Complete.</u> The mechanism of DP (positive control) remains unclear (Appendix 2), so RNAi cannot be used to phenocopy the DP mechanism of action as an inhibitor of SREBP2 activation in response to statin exposure.

## Aim 3: Evaluate the efficacy of fluvastatin+DP in relevant mouse models of BrCa metastasis (months 1-36).

Milestones To Achieve: Evaluate the effects of fluvastatin +/- DP treatment in cell line and BrCa patient-derived xenografts (PDX) using both a conventional and resection model to evaluate activity on primary and metastatic tumor (months 1-36).

<u>Progress: 35%</u>. We have completed the initial planned experiments evaluating the effects of fluvastatin treatment of cell line xenografts by the resection models (Appendix 2, Figure 4). To evaluate fluvastatin+/- DP combination we first evaluated DP dosing and unexpectedly observed liver-associated toxicities in the cohort of mice receiving DP after 3-4 weeks of daily treatment. Thus, optimization of the dose and treatment schedule for DP was required, which is particularly important for the longer duration treatments planned using the resection/metastases prevention models. Unfortunately, this issue was not able to be resolved. Notably, this phenomenon is not expected to impact the potential clinical translation of our results, as DP is delivered clinically in an oral, slow-release formulation, and the combined use with statins is well established in secondary stroke prevention. Having completed the characterization of the models, and recognizing that synergistic combination therapies are likely to be necessary (vs statin monotherapy), we plan to proceed with a mitigation strategy of evaluating alternative combinations from the DP-like agents (Appendix 3) that block the statin-induced feedback response. To that end, following identification and in vitro validation of these DP-like agents, we have conducted a pilot experiment of NFV and HNK as single agents in a BrCa (LN2-4) cell line

xenograft models (n=3) to establish a dose that is well-tolerated and can be evaluated in combination with fluvastatin (Appendix6; Figure 1A). Based on the prioritization as outlined from the in vitro experiments, we are advancing NFV for further studies as, like fluvastatin, it is FDA-approved. To that end, we have shown that NFV can be measured in both serum and tumor (Appendix6; Figure 1B). In addition, the combinations of fluvastatin+DP and fluvastatin+NFV can be evaluated in the patient-derived models using 3D organoid approach. These experiment are ongoing and results will be incorporated into the resubmission of Appendix 3 in the coming months. Further evaluation in PDXs predicted to be responsive to these fluvastatin-drug combinations will be performed during the no cost extension of my Partnering PI (Dave Cescon).

Subtask 1: Conduct dose escalation experiments to identify maximum tolerated and effective dose of fluvastatin in the resection model using two cell lines: Luc+14 and Luc+16 (months 1-2; Site 1, Penn).

<u>Complete</u>. We have shown that in the resection model using the MDA-MB-231 derived cell line LM2-4, that 50 mg/kg daily oral fluvastatin treatment is effective and well-tolerated for long-term treatment in the post-surgical adjuvant setting in SCID mice. This dose of fluvastatin treatment has been used for all subsequent animal studies (Appendix 2).

Subtask 2: Establish and treat PDX #1-8 in (A) conventional PDX models and (B) resection models (months 3-12; Site 2, Cescon).

<u>20% Complete.</u> While DP optimization was underway, we evaluated the effect of fluvastatin monotherapy in (A) conventional and (B) resection models as proposed (Appendix 2). While model characterization has been completed (Subtask 4 below), given the limitations encountered with DP administration, we have refocussed on evaluating the fluvastatin+NFV combination for *in vivo* testing. Development of *in vitro* patient-derived xenograft organoids using a chemically-defined matrix has successfully generated alternative models to evaluate the fluvastatin+DP and other novel combinations such as fluvastatin+NFV. This work will continue under the no cost extension of my Partnering PI (Dave Cescon).

Subtask 3: Establish and treat PDX #9-25 with control, fluvastatin+DP (2 treatment arms) in (A) conventional PDX models and (B) resection models (months 8-28; Site 2, Cescon).

Yet to complete. Given the limitations as encountered above, mitigation strategies have been successfully pursued, including the generation of PDX-derived organoids and the refocus on novel DP-like combinations (e.g. fluvastatin+NFV) to be evaluated. This work will be conducted during the no cost extension phase of my Partnering PI (Dave Cescon).

Subtask 4: Conduct RNAseq on 25 PDX donor mouse primary tumors (months 3-28; Site 1, Penn)

Complete. Basal RNAseq data has been collected on over 45 PDX models, and informatic and pharmacologic data have been integrated in a bespoke pharmacogenomics platform. These data will permit the interrogation of gene expression predictors of responsiveness to guide experimental evaluation of statin combinations *in vivo* (NFV) and/or *in vitro* organoid cultures (DP, NFV).

Subtask 5: Conduct Bioinformatics analysis on RNAseq data (months 18-36; Site 1, Penn) Yet to complete. We will next distinguish patient-derived models with the EMT signature. These will be prioritized for testing with fluvastatin in combination with feedback inhibitors in vivo (NFV) and/or in vitro organoid cultures (DP, NFV). This will be completed during the no cost extension.

Subtask 6: Measure the intracellular concentration of MVA, GGPP and FPP, as well as fluvastatin and DP, as well as cholesterol, triglycerides; pilot on MDA-MB-231 metastasis model, then evaluate PDX models (month 3-36; Site 1, Penn)

50% Complete. We have measured fluvastatin in the MDA-MB-231 metastasis model. Assay development for DP and NFV detection and quantification *in vivo* is complete. The assay for cholesterol and triglycerides measurement is already developed by our collaborator Dr. Richard Lehner. Detection of these metabolites in the PDX models will be conducted after the PDXs are established and treated with the new fluvastatin+feedback inhibitor regimen (e.g. NFV).

Subtask 7: Conduct RNA analysis and IHC assays for mevalonate genes including HMGCR, HGMCS1 and SREBP2; pilot on MDA-MB-231 metastasis model, then evaluate PDX models (months 3-36; Site 1, Penn).

On-going. We have collected RNA for HMGCR, HMGCS1 and SREBP2 analysis in the MDA-MB-231 metastasis model, and baseline expression data for all of these targets has been collected through RNAseq analyses of patient derived models. Evaluation of the dynamic changes in the expression of these genes in the PDX models will be conducted after the PDXs are established and treated with fluvastatin and the new feedback inhibitor regimen.

Subtask 8: Analyze all data and publish papers (months 12-36; Site 1 and 2, Penn and Cescon) Nearly Complete. Funding from the DOD has resulted in the publication of 3 manuscripts (Appendix 1, 2, 4) and another is nearly ready for resubmission (Appendix 3). During the COVID-19 lockdown we also wrote a review article, published in Clinical Cancer Research (Appendix 5).

#### **IMPACT:**

We have shown that fluvastatin specifically induces apoptosis in BrCa cells that have undergone EMT, a critical process for the initiation of metastatic spread. These results have direct medical **impact**, as the addition of fluvastatin to the standard of care for BrCa in the adjuvant setting is novel and an actionable outcome that can be readily and affordably implemented.

We have shown that the mechanism of fluvastatin-induced apoptosis in cells undergoing EMT is independent of protein isoprenylation. These results directly **impact** disciplines involving the study of the anti-cancer effects of statins, isoprenylation of RAS family members, metabolic reprogramming and cancer cell EMT. For decades, it has been unclear whether statins kill tumour cells by inhibiting the synthesis of FPP and GGPP, thereby limiting the function of RAS family oncoproteins. This has been a major obstacle in accelerating statins into the BrCa clinic. Our work has resolved the discrepancy surrounding this open question by showing that although statins can inhibit isoprenylation of RAS family members, this is not the cause of statin-induced cell death. Instead, we identified EMT gene expression as a robust biomarker of statin sensitivity, which has **impact** and clinical utility as it will inform which patients are most likely to benefit from statin treatment to inhibit aggressive and/or metastatic cancers.

We have delineated the mechanism of action of DP and additional feedback inhibitors that potentiate fluvastatin induced apoptosis. These results have important conceptual, technical and clinical **impact**, i) being the first indication that the MVA pathway and its homeostatic feedback regulation are both essential to cells with increased epithelial-mesenchymal plasticity, and ii) uncovering novel experimental approaches to identify new strategies to inhibit the SREBP family of transcription factors that drive expression of mevalonate pathway genes and the statin-induced feedback response.

While we have encountered challenges in the *in vivo* evaluation of the fluvastatin+DP combination therapy, due to mouse-specific delivery/tolerability issues, we believe our data characterizing the anticancer effects of these well-tolerated and clinically actionable agents (in humans) supports the potential for clinical translation. In addition, we have identified additional novel FDA-approved and well-tolerated agents such as nelfinavir, which have superior synergy with fluvastatin to drive BrCa cell death. These novel fluvastatin-drug combinations have been validated and prioritized using the systems and models developed in this project. Evaluating the efficacy of these therapeutics in relevant mouse models that closely mimic, not only the human disease and course of metastatic spread, but also the patient treatment and recovery experience adds strength to our results. These relevant and innovative research approaches significantly **impact** BrCa treatment and metastasis prevention.

We have prepared three manuscripts describing our research results from this DOD funding. Two in Cancer Research (Appendix 1 & 2) and one in *Molecular Oncology* (Appendix 4). A fourth manuscript was favorably reviewed at *Nature Communications* (Appendix 3) and will be resubmitted in the next 2-3 months. We have also published a comprehensive review in *Clinical Cancer Research* focused on "Statins as Anticancer Agents in the Era of Precision Medicine".

Publishing our work in top-flight journals such as these with wide readership significantly **impacts** technology transfer, allowing us to communicate our ideas and successes, and to move the tools and treatments we have developed forward to clinical application. Presenting our results in local seminars and international conferences to scientists as well as the lay public also **impacts** society at large by engaging a global and diverse audience.

#### **CHANGES/PROBLEMS:**

One of our goals has been to address the mechanism of DP action from a bottom-up and top-down approach. To address the former we used mass spectrometry and image analysis tools that we have developed and revealed that DP blocks SREBP2 translocation from the ER to the Golgi, thus blocking this transcription factor from reaching the nucleus. By taking a top-down approach we anticipated that we could determine which of the many biochemical pathways affected by DP may be important for DPs ability to potentiate statin-induced apoptosis of BrCa cells. We evaluated agents that block each of the pathways downstream of DP and thought that inhibition of phosphodiesterases, leading to elevation of cAMP was the key. However, further work showed that cAMP activation of PKA was not functionally important. Thus, this work was not fruitful and lead to a dead end. To overcome this problem, we adopted pharmacogenomic approaches to identify other agents that can potentiate statin anti-proliferative activity of BrCa cells by inhibiting the statin-induced feedback response. This strategy has increased the arsenal of inhibitors that can potentiate the anti-BrCa activity of statins.

As noted, chronic DP administration *in vivo* for the duration required to evaluate the effects of interest (on metastases) presented an unexpected challenge. We have addressed this in several ways: (i) developing relevant in vitro models (PDX-derived organoids) amenable to the evaluation of drug synergy and (ii) characterization of novel DP-like combinations, such as nelfinavir, which could offer new therapeutic opportunities.

#### **PRODUCTS:**

#### Manuscript published:

Appendix 1: Yu, R., Longo, J., van Leeuwen, J.E., Mullen, P.J., Ba-Alawi, W., Haibe-Kains, B., Penn, L.Z. Statin-induced cancer cell death can be mechanistically uncoupled from prenylation of RAS family proteins. *Cancer Res.* 2018 Mar 1;78(5):1347-1357.

Appendix 2: Yu, R., Longo, J., van Leeuwen, JE., Zhang, J., Branchard, E., Elbaz, M., Cescon, DW., Drake, R., Dennis, JW., Penn, LZ. Mevalonate pathway inhibition slows breast cancer metastasis via reduced N-glycosylation abundance and branching. *Cancer Res.* 2021 May 15;81(10):2625-2635.

Appendix 3: van Leeuwen, J., Ba-Alawi, W., Branchard, E., Longo, J., Silvester, J., Cescon, DW., Haibe-Kains, B., Penn, LZ., Gendoo, DMA. Computational pharmacogenomics screen identifies synergistic statin-compound combinations as anti-breast cancer therapies. *bioRxiv*. 2020 September. Favorably reviewed at *Nature Communications* with anticipated resubmission in 2-3 months.

Appendix 4: Longo, J., Pandyra, AA., Stachura, P., Minden, MD., Schimmer, AD., Penn, LZ. Cyclic AMP-hydrolyzing phosphodiesterase inhibitors potentiate statin-induced cancer cell death. *Mol Oncol.* 2020 Oct;14(10):2533-2545.

Appendix 5: Longo, J., van Leeuwen, JE., Elbaz, M., Branchard, E., Penn, LZ. Statins as anticancer agents in the era of precision medicine. *Clin Cancer Res.* 2020 Nov 15;26(22):5791-5800.

#### Conference Participation:

Yu, R., Longo, J., van Leeuwen, J., Mullen, PJ., Penn, LZ. "Metabolic reprogramming during initiation of metastasis sensitizes breast cancer cells to the cytotoxic effects of fluvastatin." *Metabolic and transcriptional reprogramming leads to cancer vulnerabilities*, Abcam Conference, Toronto, Ontario, Canada. Oct 3, 2016.

Yu, R., Longo, J., van Leeuwen, J., Mullen, PJ., Penn, LZ. "Reducing breast cancer metastasis with fluvastatin as an adjuvant therapeutic." *Personalizing Cancer Medicine Conference 2017: Harnessing the Cross Disciplinary Approach*, Toronto, Ontario, Canada. Feb 6-7, 2017.

van Leeuwen, J., Pandyra, A., Goard, C., Mullen, P., Yu, R. and Penn, LZ. "Targeting the metabolic mevalonate pathway with statins as anti-breast cancer agents." *American Association for Cancer Research*. Washington, D.C., USA. April 1-5, 2017.

van Leeuwen, JE., Yu, R., Longo, J., Zhang, C., Zhang, W., Cescon, D., Chen, E., Dennis, J., Penn, LZ. "Mechanism and efficacy of statins as metastasis-prevention agents in breast cancer." *Terry Fox Research Institute Annual Scientific Meeting*. Vancouver, British Columbia, Canada. November 4, 2017.

van Leeuwen, JE., Yu, R., Longo, J., Zhang, C., Zhang, W., Cescon, D., Chen, E., Dennis, J., Penn, LZ. "Mechanism and efficacy of statins as metastasis-prevention agents in breast cancer." *Canadian Cancer Research Conference*, Vancouver, BC. November 5-7, 2017.

van Leeuwen, JE., Pandyra, A., Goard, C., Mullen, PJ., Yu, R., Penn, LZ. "Targeting the metabolic mevalonate pathway with statins as anti-breast cancer agents." *Accelerating Precision Medicine*, Toronto, ON. January 19, 2018.

van Leeuwen, JE, Yu, R., Longo, J., Zhang, C., Zhang, W-J., Cescon, DW., Chen, E., Drake, RR., Dennis, JW., Penn, LZ. "Mechanism and efficacy of statins as metastasis-prevention agents in breast cancer." *Metabolism in Health and Disease Conference*. Puerto Vallarta, Mexico. May 22-29, 2019.

van Leeuwen, JE., Ba-Alawi, W., Branchard, E., Longo, J., Silvester, J., Cescon, DW., Haibe-Kains, B., Penn, LZ., Gendoo, DM. "Computational pharmacogenomics screen identifies synergistic statin-drug combinations as anti-breast cancer therapies." *Terry Fox Research Institute Ontario Node Symposium*. Toronto, ON. December 12, 2019.

Elbaz, M., Branchard, E., Longok J., Van Leeuwen, J., **Penn, LZ**. "Blocking the restorative feedback response by novel drugs potentiates the anti-cancer activity of statins." *Terry Fox Research Institute Ontario Node Symposium*. Toronto, ON. December 12, 2019. Winner of the only poster prize, generously provided by Lab150.

### PARTICIPANTS & OTHER COLLABORATING ORGANIZATIONS

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Funding Support:	Salary is not covered by the DOD grant

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Contribution to Project:	Dr. Cescon is the partnering PI of this project.
Funding Support:	Salary is not covered by the DOD grant

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Contribution to Project:	Mr. Longo has performed work in delineating the mechanism by which DP potentiates statin-induced tumor cell apoptosis, and evaluating the efficacy of fluvastatin in a relevant mouse model of BrCa metastasis.
Funding Support:	CIHR Fellowship + DOD grant

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Contribution to Project:	Ms. van Leeuwen has performed work in delineating the efficacy and mechanism of the fluvastatin+DP combination in BrCa cell lines, and evaluating the efficacy of fluvastatin in a relevant mouse model of BrCa metastasis.
Funding Support:	DOD grant

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Contribution to Project:	Ms. Tamachi has contributed to this project by supporting the animal work and lab work.
Funding Support:	DOD grant

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Contribution to Project:	Dr. Elbaz has performed work to identify DP-like molecules that potentiate fluvastatin anti-BrCa activity by inhibiting the fluvastatin restorative feedback response, and evaluating the efficacy of fluvastatin in a relevant mouse model of BrCa metastasis.
Funding Support:	DOD grant

#### **APPENDICES:**

Appendix 1: Yu, R., Longo, J., van Leeuwen, J.E., Mullen, P.J., Ba-Alawi, W., Haibe-Kains, B., Penn, L.Z. Statin-induced cancer cell death can be mechanistically uncoupled from prenylation of RAS family proteins. *Cancer Res.* 2018 Mar 1;78(5):1347-1357.

Appendix 2: Yu, R., Longo, J., van Leeuwen, JE., Zhang, J., Branchard, E., Elbaz, M., Cescon, DW., Drake, R., Dennis, JW., Penn, LZ. Mevalonate pathway inhibition slows breast cancer metastasis via reduced N-glycosylation abundance and branching. *Cancer Res.* 2021 May 15;81(10):2625-2635.

Appendix 3: van Leeuwen, J., Ba-Alawi, W., Branchard, E., Longo, J., Silvester, J., Cescon, DW., Haibe-Kains, B., Penn, LZ., Gendoo, DMA. Computational pharmacogenomics screen identifies synergistic statin-compound combinations as anti-breast cancer therapies. *bioRxiv*. 2020 September. Favorably reviewed at *Nature Communications* with anticipated resubmission in 2-3 months.

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#### **Translational Science**

Cancer Research

### Statin-Induced Cancer Cell Death Can Be Mechanistically Uncoupled from Prenylation of RAS Family Proteins



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#### **Abstract**

The statin family of drugs preferentially triggers tumor cell apoptosis by depleting mevalonate pathway metabolites farnesyl pyrophosphate (FPP) and geranylgeranyl pyrophosphate (GGPP), which are used for protein prenylation, including the oncoproteins of the RAS superfamily. However, accumulating data indicate that activation of the RAS superfamily are poor biomarkers of statin sensitivity, and the mechanism of statin-induced tumor-specific apoptosis remains unclear. Here we demonstrate that cancer cell death triggered by statins can be uncoupled from prenylation of the RAS superfamily of oncoproteins. Ectopic expression of different members of the RAS superfamily did not uniformly sensitize cells to fluvastatin, indicating that increased cellular demand for protein prenylation cannot explain increased statin sensitivity. Although ectopic expression of HRAS increased statin sensitivity, expression of myristoylated

HRAS did not rescue this effect. HRAS-induced epithelial-to-mesenchymal transition (EMT) through activation of zinc finger E-box binding homeobox 1 (ZEB1) sensitized tumor cells to the antiproliferative activity of statins, and induction of EMT by ZEB1 was sufficient to phenocopy the increase in fluvastatin sensitivity; knocking out ZEB1 reversed this effect. Publicly available gene expression and statin sensitivity data indicated that enrichment of EMT features was associated with increased sensitivity to statins in a large panel of cancer cell lines across multiple cancer types. These results indicate that the anticancer effect of statins is independent from prenylation of RAS family proteins and is associated with a cancer cell EMT phenotype.

**Significance:** The use of statins to target cancer cell EMT may be useful as a therapy to block cancer progression. *Cancer Res*; 78(5); 1347–57. ©2017 AACR.

#### Introduction

Statins are inhibitors of 3-hydroxy-3-methylglutaryl coenzyme A reductase (HMGCR; Fig. 1A), and have been widely prescribed to lower cholesterol levels (1). Epidemiologic evidence indicate that statins have anticancer activities, particularly in breast and prostate cancers (2–5). Preclinical and clinical data demonstrate that statin treatment induces cancer cells to undergo apoptosis and lowers disease burden (6–9). Despite the promising potential to repurpose statins as anticancer agents, the molecular mechanism of how inhibition of HMGCR can specifically kill cancer cells remains unclear.

HMGCR catalyzes the conversion of HMG-CoA to mevalonate (MVA), the sole precursor for the *de novo* synthesis of sterols, geranylgeranyl pyrophosphate (GGPP), farnesyl pyrophosphate (FPP), and several other metabolic endproducts (Fig. 1A; refs.

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**Note:** Supplementary data for this article are available at Cancer Research Online (http://cancerres.aacrjournals.org/).

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1, 10). Statin-induced apoptosis can be rescued by coadministration with MVA (8, 11), demonstrating that this is an on-target effect, or with GGPP or FPP (8, 11–15). Sterols cannot rescue cancer cell apoptosis induced by statins (8). These results have led to a model where statins induce apoptosis by inhibiting GGPP and FPP synthesis.

GGPP and FPP are essential substrates for protein geranylgeranylation and farnesylation, respectively, together referred to as protein prenylation (16). Prenylation with the hydrophobic geranylgeranyl or farnesyl moiety localizes proteins to cellular membranes (16). Prenylation-driven membrane localization is required for all proteins in the RAS GTPase superfamily, and several groups have shown that statin treatment decreases the prenylated and membrane-associated forms of RAS, RHO, RAC, RAP, and RAB subfamily proteins (12, 17–20). However, evidence for the functional importance of these small GTPases in conferring statin sensitivity has been conflicting. For example, cancer cells with upregulated or hyperactivated RAS or RHO demonstrate increased statin sensitivity in some studies (17-19), but not others (8, 14, 21). Understanding the mechanism driving these discrepancies has remained a challenge and has been an area of debate for many years (10).

In this manuscript, we directly address these discrepancies and show that inhibition of RAS family protein prenylation is not essential for, and can be uncoupled from, statin-induced cell death. We chose MCF10A cells as our model system as they are an immortal, nontransformed basal breast cell line that possesses a highly stable genome, allowing for the evaluation of ectopic gene expression in the absence of gross genetic instability (22). We

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systematically introduced several members of the RAS superfamily in the MCF10A cell line to produce a panel of sublines with increased demand for GGPP and/or FPP. This did not uniformly sensitize cells to inhibition of GGPP and FPP synthesis, as only HRAS<sup>G12V</sup> and KRAS<sup>G12V</sup> exhibited an increased sensitivity to fluvastatin. HRAS<sup>G12V</sup> and myristoylated-HRAS<sup>G12V</sup> sensitized cells to statins to a similar extent, indicating that statin-induced cell death is independent of RAS prenylation, even in RAS-transformed cells. We then showed that overexpression of RAS induced epithelial-to-mesenchymal transition (EMT) in these cells, in part by upregulating the EMT driver zinc finger E-box binding homeobox 1 (ZEB1). Exogenous expression or knockout of ZEB1 conferred or rescued statin sensitivity, respectively, suggesting that EMT was the critical feature that was functionally important for statin-induced cell death. Taking a computational pharmacogenomics approach, we discovered that EMT was associated with statin sensitivity across a large panel of cancer cell lines. Taken together, our results provide a rationale for why RAS-related oncogenes have been poor biomarkers of statin sensitivity, and suggest that a set of EMT-associated genes should be further evaluated in the preclinical and clinical setting as biomarkers of statin sensitivity.

#### **Materials and Methods**

#### Reagents

Fluvastatin and TGF $\beta$  were purchased from US Biologicals and PeproTech, respectively. Other chemicals were purchased from Sigma unless otherwise specified.

#### Cell culture

MCF10A cells were a kind gift of Dr. Senthil Muthuswamy; MGH8, H1264, and RVH6849 were kind gifts of Dr. Ming Tsao; Mia-Paca-2 was a kind gift of Dr. David Hedley; KP-4 was a kind gift of Dr. Bradley Wouters; and HT-29 was a kind gift of Dr. Catherine O'Brien. All cell lines were cultured as recommended by ATCC. All cell lines were authenticated by short-tandem repeat (STR) profiling, and routinely tested to be free of mycoplasma by Lonza MycoAlert Mycoplasma Detection Kit. All cell lines were used within 20 passages from thawing for the described experiments. Transgene expression was stably introduced into MCF10A cells using retroviral insertion with pBabe-Puro. Cells were imaged on the Leica MZ FLIII Stereomicroscope.

#### MTT assays

3-(4,5-Dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assays were performed as previously described (6). Briefly, MCF10A cells were seeded at 750 cells/well in 96-well plates overnight, then treated in triplicate with 0 to 200  $\mu mol/L$  fluvastatin for 72 hours. IC50 values were computed using Graph-Pad Prism with a bottom constraint equal to 0.

#### **Immunoblotting**

Cell lysates were prepared by lysing directly in boiling SDS lysis buffer (1% SDS, 11% glycerol, 10%  $\beta$ -mercaptoethanol, 0.1 mol/L Tris pH 6.8). The following antibodies were used: E-Cadherin (CST 3195), vimentin (CST 5741), actin (Sigma A2066), tubulin (Millipore CP06), FLAG (Sigma F1804), EGFR (CST 2232), ERK (CST 4695), p-ERK (CST 4370), AKT (CST 9272), p-AKT (CST 9271), HMGCS1 (SCB sc-32423), RALA (BD 610221), BRAF (Sigma HPA001328), MYC (MAb 9E10 prepared in-house using ATCC CRL-1729), ZEB1 (Sigma

HPA027524), HMGCR (MAb A9 prepared in-house using ATCC CRL-1811).

#### Soft agar colony formation

Anchorage-independent colony growth of MCF10A sublines in soft agar was evaluated as previously described (23). Colonies were imaged at 1.2× magnification on the Leica MZ FLIII Stereomicroscope after 14 to 18 days of fluvastatin treatment. Colony number and average colony size were quantified using ImageJ.

#### Membrane fractionation

Cell were seeded at  $2\times10^6$ /plate overnight and treated as indicated. Harvested cells were resuspended in 1 mL HEPES buffer (0.25 mol/L sucrose, 50 mmol/L HEPES pH 7.5, 5 mmol/L NaF, 5 mmol/L EDTA, 2 mmol/L DTT) and lysed by sonication. Homogenate was cleared at 2,000  $\times$  g for 20 minutes at 4°C, then ultracentrifuged at 115,000  $\times$  g for 70 min at 4°C for membrane fractionation. Membrane protein pellet was resuspended in Triton buffer (1% TritonX-114, 50 mmol/L Tris pH 7.5, 0.1 mmol/L NaCl, 5 mmol/L EDTA, 5 mmol/L NaF, 2 mmol/L DTT).

#### Cell death assay

Cells were seeded at  $2.5 \times 10^5$ /plate overnight and treated as indicated. After 72 hours, cells were fixed in 70% ethanol for >24 hours, stained with propidium iodide, and analyzed by flow cytometry for the % sub-diploid DNA population as % cell death, as previously described (6).

#### qRT-PCR

Total RNA was harvested from subconfluent cells using TRIzol Reagent (Invitrogen). cDNA was synthesized from 500 ng of RNA using SuperScript III (Invitrogen). Real-time quantitative RT-PCR was performed using TaqMan probes for HMGCR (ABI Hs00168352), HMGCS1 (ABI Hs00266810), and GAPDH (ABI Hs99999905), and using SYBR Green for TWIST, SNAIL, ZEB1, and 18S rRNA with the following primers:

TWIST\_fw 5'-CCGGAGACCTAGATGTCATTG-3'
TWIST\_rv 5'-CCACGCCCTGTTTCTTTG-3'
SNAIL\_fw 5'-CACTATGCCGCGCTCTTTC-3'
SNAIL\_rv 3'-GGTCGTAGGGCTGCTGGAA-3'
ZEB1\_fw 5'-GCCAATAAGCAAACGATTCTG-3'
ZEB1\_rv 5'-TTTGGCTGGATCACTTTCAAG-3'
18S\_rRNA\_fw 5'-GTAACCCGTTGAACCCCATT-3'
18S\_rRNA\_rv 3'-CCATCCAATCGGTAGTAGCG-3'

#### CRISPR/Cas9-mediated gene knockout

Two sgRNAs were designed using CRISPOR (24) and cloned into LentiGuide-Puro following the established protocol (25). ZEB1 knockout lines were generated as previously described (25). LentiGuide-Puro (Addgene #52963) and LentiCas9-Blast (Addgene #52962) were kind gifts from Dr. Feng Zhang (Broad Institute of MIT and Harvard, Cambridge, MA). Sequences of ZEB1 sgRNAs cloned were:

sgRNA A: TGCTTTCTGCGCTTACACCT GGG sgRNA B: GCAGAAAGCAGGCGAACCCG CGG

#### Pharmacogenomic analysis

RNA-seq and drug sensitivity data were retrieved and curated from the Cancer Cell Line Encyclopedia (CCLE; ref. 26) and the

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Cancer Therapeutics Portal version 2 (CTRPv2; refs. 27-29) databases, and were mined using the R/Bioconductor PharmacoGx package (30). To calculate the bimodality index (31, 32), a mixture of two Gaussian models was used to fit the RNA-seq expression values of each gene across all cell lines in CCLE, as implemented in the bimod function in the R/Bioconductor genefu package (version 2.6.0; ref. 32). The cutoff was calculated by finding the midpoint between the maximum value of the first Gaussian model (left distribution) and the minimum value of the second Gaussian model (right distribution). The cutoff was used to classify cell lines into either showing low or high expression of a gene. A binary classification rule was developed to determine whether a cell line is "enriched" with EMT phenotype or not. Cell lines from tumors of hematopoietic and lymphoid tissues, and those with unknown origin, were excluded from this analysis. If expression of any of VIM, ZEB1, FN1, or CDH2 was high, or if expression of CDH1 was low, in a cell line according to the bimodality cutoff, then it was classified as "enriched" with EMT phenotype. Concordance index (CI) and P-value were calculated to measure the association between statin sensitivity, obtained from CTRPv2 database, and cell lines that were classified as either "enriched" with EMT phenotype or "not enriched." P-value was calculated using the nonparametric Wilcoxon rank sum test, comparing statin response on cell lines "enriched" versus "not enriched" with the EMT phenotype. The script and data used for the generation of these figures can be downloaded at https:// github.com/bhklab/StatinEMT.

#### **Results**

## HRAS<sup>G12V</sup> and KRAS<sup>G12V</sup>, but not other proteins in the RAS superfamily, sensitize MCF10A cells to fluvastatin

Using the MCF10A breast epithelial cell line as a nontransformed, genomically stable cell background (22), we ectopically expressed representative proteins from the RAS, RHO, RAC, and RAP subfamilies in their dominantly active forms (Fig. 1B; ref. 16). These mutants remain dependent on prenylation for activity, allowing us to simulate an increase in demand for FPP and/or GGPP as a result of aberrant activation of these GTPases. The increase in demand for FPP and/or GGPP did not universally sensitize cells to fluvastatin (Fig. 1C), as only cells overexpressing  ${\rm HRAS^{G12V}}$  or  ${\rm KRAS^{G12V}}$  had significantly lowered fluvastatin  ${\rm IC}_{50}$ values (13.6 and 13.2 µmol/L, respectively) compared to the vector control (22.2 µmol/L), which indicated an increased sensitivity to fluvastatin (Fig. 1C). A colony formation assay in soft agar was used to test the inhibitory effect of fluvastatin treatment on the transformation potential of these cells (Fig. 1D; Supplementary Fig. S1A). In this and all subsequent colony formation assays, 20 µmol/L fluvastatin was used to allow for adequate penetrance into the soft agar. Fluvastatin treatment significantly reduced both colony count (Fig. 1E) and colony size (Fig. 1F) of cells overexpressing HRAS<sup>G12V</sup> and KRAS<sup>G12V</sup>, but not those expressing other proteins in the RAS superfamily (Supplementary Fig. S1B-S1D). Thus, compared to other members of the RAS superfamily, activated HRAS and KRAS preferentially sensitize MCF10A cells to the anticancer activity of fluvastatin.

#### Inhibition of RAS prenylation is uncoupled from fluvastatininduced cell death

If inhibition of RAS localization was the mechanism of fluvastatin-induced cell death, cells overexpressing myristoylated

HRAS<sup>G12V</sup> (myr-HRAS), which localizes to the cell membrane independently of prenylation with FPP or GGPP, should remain insensitive to fluvastatin. Figure 2A shows that overexpression of HRASG12V and myristoylated HRASG12V both activated downstream signaling to a similar extent, as seen by the increase in Erk and Akt phosphorylation. Although the mislocalization of HRAS<sup>G12V</sup> from the membrane to the cytoplasm was evident after treatment with 10 µmol/L of fluvastatin for 24 hours, myr-HRAS<sup>G12V</sup> remained in the membrane fraction, confirming that myristoylation occurs independent of FPP and GGPP (Fig. 2B). EGFR, HMGCS1, and actin were used as controls for membrane-localized, cytosol-localized, and total proteins, respectively (Fig. 2B). Unexpectedly, the fluvastatin IC<sub>50</sub> value of cells overexpressing myr-HRAS<sup>G12V</sup> was significantly decreased similarly to HRAS<sup>G12V</sup> (Fig. 2C). Colony formation was also inhibited by fluvastatin treatment in cells overexpressing myr-HRAS<sup>G12V</sup> (Fig. 2D-F), to the same extent as cells overexpressing HRAS<sup>G12V</sup> (Fig. 1D-F). Addition of MVA, GGPP, or FPP rescued the fluvastatin-induced cell death in both HRASG12V and myr-HRAS<sup>G12V</sup> cells (Fig. 2G-I). Together, these data uncouple statininduced cell death from GGPP and FPP demand for prenylation of RAS family proteins, and implicate that events downstream of RAS signaling are responsible for the increase in statin sensitivity in this cell system.

### The RAS–ZEB1–EMT signaling axis underlies increased sensitivity to fluvastatin

We next addressed several potential models to understand the mechanism of RAS sensitization of MCF10A cells to the antiproliferative activity of fluvastatin. Overexpression of HRAS<sup>G12V</sup> or myr-HRAS<sup>G12V</sup> in MCF10A cells did not affect the expression of MVA pathway genes HMGCR and HMGCS1, either basally or in response to fluvastatin exposure (Supplementary Fig. S2A and S2B). This rules out impairment of the sterol feedback response as the mechanism of increased statin sensitivity in this model, which were previously reported to be associated with statin sensitivity in multiple myeloma (6).

Because RAS signaling, rather than RAS localization, was implicated as the driver of fluvastatin sensitivity, we overexpressed constitutively active forms of several classic effectors of RAS signaling (RALA<sup>G23V</sup>, BRAF<sup>V600E</sup>, PI3K-p110 $\alpha^{E545K}$ , PI3K-p110 $\alpha^{H1047R}$ , and MYC<sup>T58A</sup>), to determine which of these, if any, phenocopied the increase in fluvastatin sensitivity in RAS-overexpressing cells. None of these sublines exhibited a lowered fluvastatin IC<sub>50</sub> (Supplementary Fig. S3A–S3C). By contrast, overexpression of PI3K-p110 $\alpha$  led to significant increases in IC<sub>50</sub> values (Supplementary Fig. S3A–S3C). Similar results were evident in soft agar colony formation assays (Supplementary Fig. S3D–S3F). Therefore, the observed increase in fluvastatin sensitivity in RAS-transformed cells was not mediated through these downstream mediators of RAS signaling.

The RAS sublines were phenotypically distinct from the MCF10A parental cells. Instead of an epithelial phenotype with a cobblestone-like appearance, the RAS sublines appeared more mesenchymal, with an elongated and spindle-shaped morphology (Fig. 3A). These cells had undergone EMT, with a dramatic loss of E-cadherin expression and a gain of vimentin expression (Fig. 3B). By contrast, sublines overexpressing other members of the RAS superfamily (Supplementary Fig. S1D) and classic effectors of RAS signaling (Supplementary Fig. S3C) remained

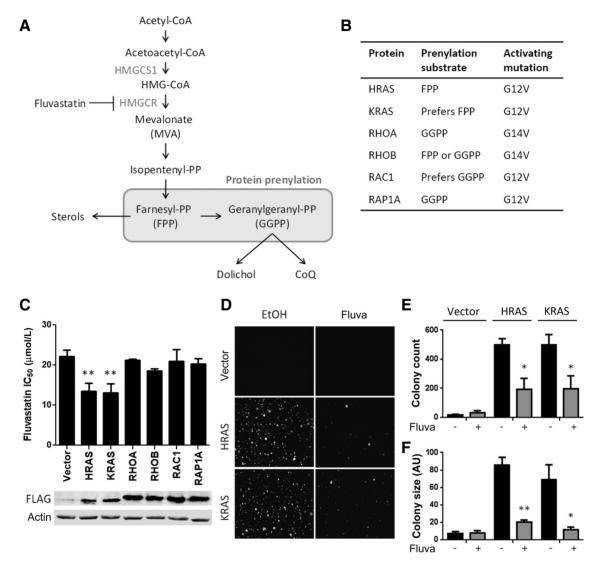


Figure 1. HRAS<sup>G12V</sup> and KRAS<sup>G12V</sup>, but not other prenylated proteins, sensitize MCF10A cells to fluvastatin. **A,** A simplified schematic of the MVA pathway. **B,** Representative RAS family proteins selected for ectopic expression in MCF10A cell lines. **C,** Ectopic expression of HRAS<sup>G12V</sup> and KRAS<sup>G12V</sup> sensitized MCF10A cells to fluvastatin, as assessed by MTT assay following 72 hours of treatment. Bars, mean + SD, n = 3. \*\*, P < 0.01 (one-way ANOVA with a Dunnett posttest, comparing all columns vs. vector control column). **D-F,** Treatment with fluvastatin decreased colony count and colony size of RAS-driven anchorage-independent growth in soft agar. Colonies were treated with 20 µmol/L fluvastatin 2× weekly for 18 days. Bars, mean + SD, n = 4. \*, P < 0.05; \*\*, P < 0.01 (unpaired, two-tailed t test, comparing fluvastatin-treated vs. no treatment control).

epithelial, expressing E-cadherin and vimentin at similar levels to the vector controls. To test whether induction of EMT confers sensitivity to fluvastatin, we treated MCF10A cells with TGF $\beta$  for 3 days, which induces EMT independently of RAS status (Fig. 3C). This led to an increased sensitivity to fluvastatin, as indicated by a decrease in fluvastatin IC<sub>50</sub> in TGF $\beta$ -treated cells (Fig. 3D). After TGF $\beta$  treatment, removal of TGF $\beta$  from the culture media gradually reverses EMT (Supplementary Fig. S4). MCF10A cells fully reverted back to an epithelial phenotype 7 days after the removal of TGF $\beta$ , and sensitivity to fluvastatin was restored to control levels (Supplementary Fig. S4). These data indicate that a mesenchymal state is sufficient to confer sensitivity to fluvastatin.

RAS induces EMT by upregulating the EMT-driving transcription factor ZEB1, and not by other EMT regulators such as SNAIL or TWIST in our MCF10A system (Fig. 3E). ZEB1-over-expression in MCF10As led to a loss of E-cadherin and gain of vimentin expression independent of RAS status (Fig. 3F), and decreased the fluvastatin IC $_{50}$  value similarly to the RAS sublines (Fig. 3G). ZEB1 overexpression had no effect on the IC $_{50}$  value of GGTI-298 (Fig. 3H), a specific inhibitor to geranylger-anyltransferase I, reinforcing the model that prenylation of RAS family proteins is uncoupled from fluvastatin-induced tumor cell death. We then knocked out ZEB1 in cells with ectopic expression of HRAS $^{\rm G12V}$  and myr-HRAS $^{\rm G12V}$ , and showed that ZEB1 knockout reversed cells to epithelial state, as evidenced by

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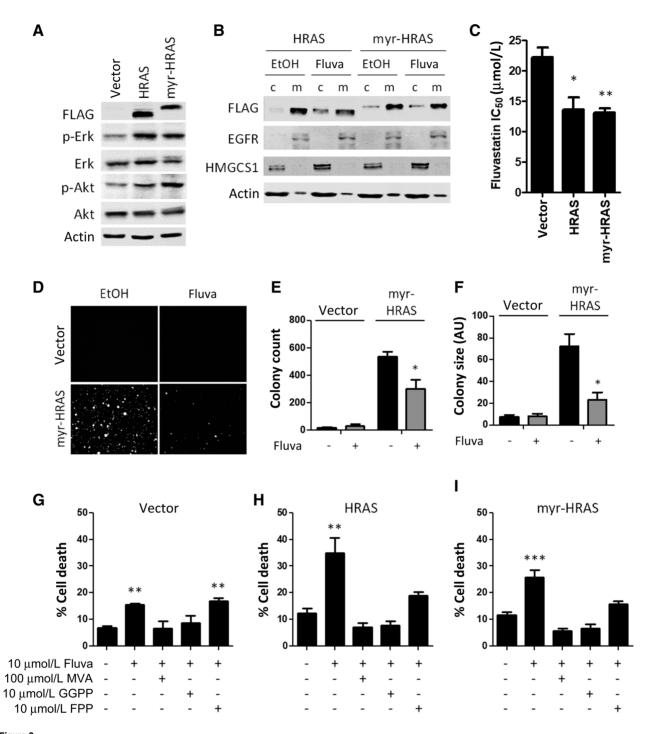


Figure 2. Inhibition of RAS prenylation is uncoupled from fluvastatin-induced cell death. **A,** Overexpression of HRAS<sup>G12V</sup> and myr-HRAS<sup>G12V</sup> activated Erk phosphorylation and Akt phosphorylation to a similar extent. **B,** The proportion of HRAS<sup>G12V</sup> in the cytoplasmic (c) fraction was increased, and the proportion in the membrane (m) proportion was decreased after 24 hours of treatment with 10  $\mu$ mol/L fluvastatin. In contrast, the localization of myr-HRAS<sup>G12V</sup> was unaffected by fluvastatin treatment. **C,** Both HRAS<sup>G12V</sup> and myr-HRAS<sup>G12V</sup> sensitized MCF10As to fluvastatin as assessed by MTT assay following 72 hours of treatment. Bars, mean + SD, n = 3. \*, P < 0.05; \*\*, P < 0.01 (one-way ANOVA with a Dunnett posttest, comparing all columns vs. vector control column). **D-F,** Treatment with fluvastatin decreased colony count and colony size of myr-HRAS<sup>G12V</sup>-driven anchorage-independent growth in soft agar. Colonies were treated with 20  $\mu$ mol/L fluvastatin 2× weekly for 18 days. Bars, mean + SD, n = 4. \*, P < 0.05 (unpaired, two-tailed t test, comparing fluvastatin-treated vs. no treatment control). **G-I,** Ten  $\mu$ mol/L fluvastatin induced cell death in MCF10A cells overexpressing HRAS<sup>G12V</sup> and myr-HRAS<sup>G12V</sup>, which was reversed by coadministration with MVA, GGPP, or FPP. Bars, mean + SD, n = 3. \*\*, P < 0.01; \*\*\*, P < 0.001 (one-way ANOVA with a Dunnett posttest, comparing all columns vs. no treatment control column).

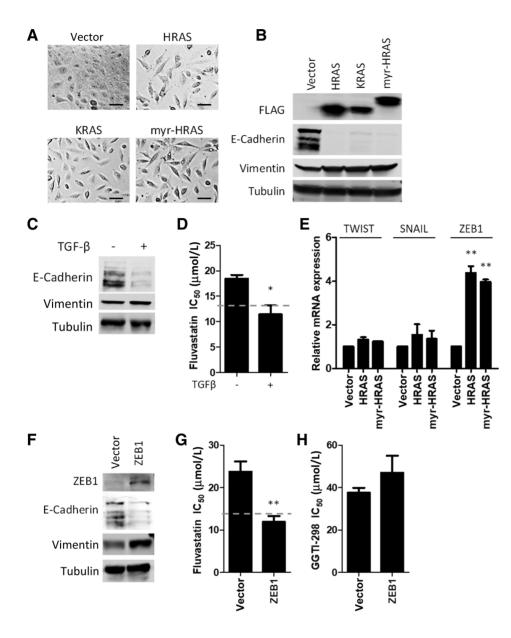


Figure 3. RAS induces EMT through ZEB1, and induction of EMT is sufficient for sensitizing cells to fluvastatin. A. RAS-overexpressing cells appear more mesenchymal than the vector control cells. Representative images are shown. Scale bar, 50 μm. B, RAS overexpression reduced expression of E-cadherin, an epithelial cell marker, and increased expression of vimentin, a mesenchymal cell marker. C, Treating MCF10A cells with  $5 \text{ ng/mL TGF}\beta$  for 3 days induced EMT. D. Induction of EMT by 5 ng/ml TGER treatment sensitized MCF10A cells to fluvastatin, as assessed by MTT assay following 72 hours of treatment. Dashed line represents IC50 of HRAS<sup>G12V</sup>-overexpressing cells. Bars, mean + SD, n = 3.\*, P < 0.05(unpaired, two-tailed t test, comparing  $TGF\beta$ -treated vs. no treatment control).  $\textbf{E,}\ \text{HRAS}^{\text{G12V}}$  and myr-HRAS<sup>G12V</sup> cells upregulate the EMT transcription factor ZEB1. Bars, mean + SD, n = 3.\*\*, P < 0.01 (one-way ANOVA with a Dunnett posttest, comparing all columns vs. vector control column). F. Ectopic expression of ZEB1 induced EMT. G, ZEB1 overexpression sensitized MCF10A cells to fluvastatin, as assessed by MTT assay following 72 hours of treatment. Dashed line represents IC50 of HRAS<sup>G12V</sup>-overexpressing cells. Bars, mean + SD, n = 3.\*\*, P < 0.01(unpaired, two-tailed t test, comparing TGFB-treated vs. no treatment control). H, ZEB1 overexpression did not sensitize cells to GGTI-298. Bars, mean + SD, n = 3.

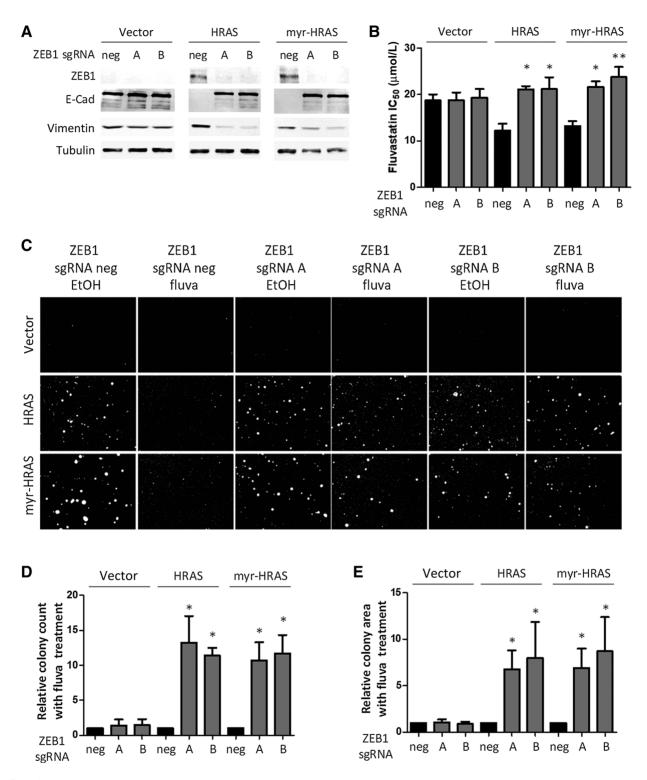
the increased expression of E-cadherin and decreased expression of vimentin (Fig. 4A). Knocking out ZEB1 rescued the RAS-driven fluvastatin sensitivity, both by  $IC_{50}$  measurements (Fig. 4B) and by colony formation in soft agar (Fig. 4C–E).

### Enrichment of EMT phenotype is associated with sensitivity to statins in a large panel of cancer cell lines

The CCLE database (26) contains RNA-seq data of 927 cancer cell lines across >20 cancer types (Supplementary Fig. S5A). Mining this large database, we observed that although the expression pattern for most genes follow a unimodal (Gaussian) distribution, as exemplified by POLR2A (RNA polymerase II, subunit A; Fig. 5A), some genes are bimodally expressed, such as ESR1 (estrogen receptor  $\alpha$ ; Fig. 5A; Supplementary Fig. S5B). ESR1 is known for a strong bimodal expression in breast tissue (32, 33), representing  $ER\alpha$ -low (left peak) and  $ER\alpha$ -high (right peak) cell lines (Fig. 5A; Supplementary Fig. S5B). We then examined the

expression profile of 11 well-characterized EMT-associated genes, and observed that their expression were strongly bimodal, with bimodality indices (31, 32) higher than that of *ESR1*, our positive control (Supplementary Fig. S5C). The distribution of the top five bimodally expressed genes (*VIM*, *CDH1*, *ZEB1*, *FN1*, and *CDH2*) is shown in Fig. 5A. For each gene, we computed the cutoff optimally discriminating between the two modes of expression distribution, and classified the cell lines with either low or high expression of the gene of interest (Fig. 5A). Each cell line was therefore characterized by a binary vector representing the activation of the top five bimodally expressed EMT-associated genes.

Using the top five bimodally expressed EMT-associated genes as features, we built a binary classification rule that classified each solid tumor cell line as enriched with an EMT phenotype if at least one of the EMT-associated genes was activated (Fig. 5A, right peak for *VIM*, *ZEB1*, *FN1*, and *CDH2*; left peak for *CDH1*). We then mined the CTRPv2 database (27–29) using the PharmacoGx R/



CRISPR/Cas9-mediated knockout of ZEB1 reverses EMT and rescues the increased sensitivity in HRAS<sup>G12V</sup> and myr-HRAS<sup>G12V</sup> cells. **A,** Two independent sgRNAs (**A** and **B**) were used to knockout ZEB1 in MCF10A cells overexpressing HRAS<sup>G12V</sup> and myr-HRAS<sup>G12V</sup>. Knocking out ZEB1 reversed cells to epithelial state, as seen by the increased expression of E-cadherin and decreased expression of vimentin. B, ZEB1 knockout rescued the decreased fluvastatin  $IC_{50}$ observed in HRAS<sup>G12V</sup> and myr-HRAS<sup>G12V</sup> cells. Bars, mean + SD, n = 3. \*, P < 0.05; \*\*, P < 0.01 (one-way ANOVA with a Dunnett posttest, comparing all columns vs. vector control column). **C-E**, ZEB1 knockout in HRAS<sup>G12V</sup> and myr-HRAS<sup>G12V</sup> cells led to increased colony formation in soft agar under fluvastatin  $treatment. Colonies \ were \ treated \ with 20 \ \mu mol/L \ fluva statin \ 2\times \ weekly \ for 14 \ to 16 \ days. \ Bars, \ mean + SD, \ n = 3.\ ^*, \ P < 0.05 \ (one-way \ ANOVA \ with \ a \ Dunnett \ posttest, \ near \ property \ (one-way \ ANOVA) \ (one-way \ ANOV$ comparing all columns vs. vector control column).

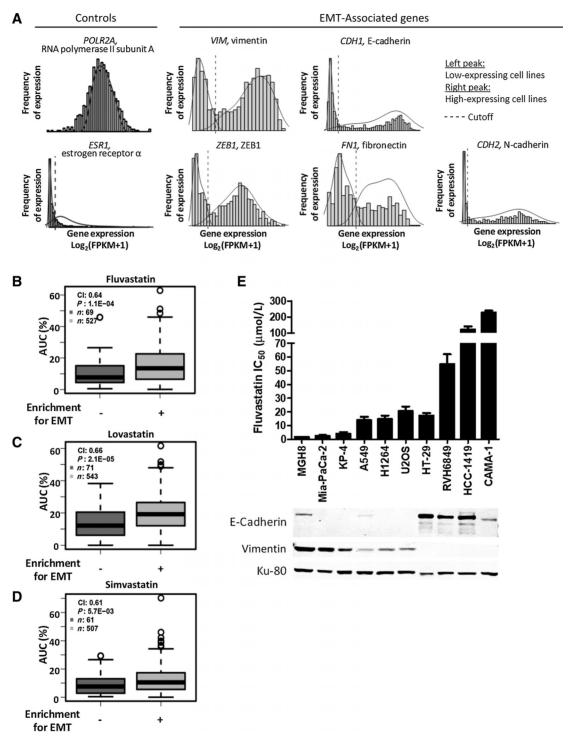


Figure 5.

Statin sensitivity is associated with cancer cell EMT. **A,** Unimodal (Gaussian) distribution of *POLR2A* and bimodal distribution of *ESR1* (left) are used as controls for gene expression distribution analyses. Bimodal expression of the five EMT-associated genes (right) was used to classify cell lines. Gene expression values are log<sub>2</sub>(FPKM+1) with fragments per kilobase of transcript per million mapped reads (FPKM). **B-D,** Sensitivity to three statin family members are significantly associated with cell lines enriched for EMT features. AUC, area under the curve (higher values represent higher drug sensitivity); *P* value, Wilcoxon rank sum test, comparing statin response on EMT "enriched" vs. "not-enriched" cell lines; *n*, number of cell lines. Of a total of 631 cancer cell lines derived from solid tumors, 596 have been evaluated for sensitivity to fluvastatin, 614 have been evaluated for sensitivity to lovastatin, and 568 have been evaluated for sensitivity to simvastatin. The script and data used for the generation of these figures can be downloaded at https://github.com/bhklab/StatinEMT. **E,** Sensitivity to fluvastatin (lower IC<sub>50</sub> values) is positively associated with E-cadherin expression and negatively associated with vimentin expression across a panel of cancer-derived cell lines.

Bioconductor package (30), and demonstrated that the EMT-enriched cell lines were associated with significantly higher AUC (more sensitive) to all three statin family members that have been assessed in CTRPv2: fluvastatin (Fig. 5B), lovastatin (Fig. 5C), and simvastatin (Fig. 5D; Wilcoxon rank sum test P-value < 0.001). Thus, cancer cell EMT is associated with sensitivity to multiple statin family members in a large panel of cancer cell lines, across multiple cancer types. As a negative control, we showed that ESR1 expression levels are not correlated with statin sensitivity (Supplementary Fig. S5D–S5F). Finally, using a panel of 10 cancerderived cell lines, we showed that fluvastatin  $IC_{50}$  is positively associated with E-cadherin expression and negatively associated with vimentin expression (Fig. 5E), strengthening our conclusion that statin sensitivity is associated with an enrichment of EMT phenotype.

#### **Discussion**

Previously, the increased cancer cell sensitivity to statins was thought to be mediated by inhibiting prenylation of proteins in the RAS superfamily. This model was built on three observations: (i) statins inhibit prenylation of RAS family proteins (8, 11, 12, 17-21); (ii) coadministration of GGPP or FPP with statins reverses the effect on protein prenylation (8, 11, 13, 17-21); and (iii) coadministration of GGPP or FPP can rescue statin kill (8, 13-15, 18-20). However, most epidemiological studies and clinical trials do not support an association between response to statins and RAS mutations (34-39). Additionally, in cell lines that were sensitive to statins, rescuing RAS localization (8, 40) or RAF-MEK-ERK signaling (41) did not decrease statin sensitivity, and intrinsic sensitivity to statin kill was largely independent of RAS function (8, 42). These contradicting data raise the possibility that inhibition of RAS family protein prenylation is not the sole contributor to statin sensitivity, implicating not only an alternative mechanism of statin-induced apoptosis, but also the potential to develop better biomarkers for the identification of patients that will benefit from statin treatment.

We show here that statin-induced cell death can indeed be uncoupled from inhibition of RAS family protein prenylation. First, increased cellular demand for GGPP and FPP for ectopic expression of RAS family proteins requiring prenylation for activity did not always sensitize MCF10A cells to fluvastatin (Fig. 1). Although RAS overexpression led to an increased sensitivity to fluvastatin, this effect was independent from RAS prenylation and localization to the cell membrane (Fig. 2). Instead, RAS induced EMT by upregulating ZEB1, which was the underlying cause of the increased sensitivity to fluvastatin-induced cell death (Figs. 3 and 4). This can, in part, explain why statins have been reported to be more effective in more aggressive (invasive/metastatic) cancer subtypes (2, 3, 42), while mutations in RAS family proteins are poorly associated with statin response (33–38).

Interestingly, when we assayed for the expression profile of a cohort of 11 genes known to be strongly associated with EMT (43), we observed that they followed a bimodal distribution (Supplementary Fig. S5C). Bimodal distribution of VIM, CDH1, ZEB1, FN1, or CDH2 were used as features to classify all solid tumor cell lines in the CCLE (26) into high expression and low expression populations (Fig. 5A). As a comparison, ESR1 (estrogen receptor  $\alpha$ ) is known to be bimodally expressed in breast cancer (32, 33), which could be used as a classifier of

estrogen receptor-positive and -negative breast tumors in large bioinformatics databases (Supplementary Fig. S5B; ref. 32). CTRPv2 (27–29), we interrogated sensitivity to three statin family members in >500 solid tumor cell lines for any association with an EMT phenotype. Cell lines enriched with EMT features were associated with significantly higher AUC in response to statin treatment (Fig. 5B–D), indicating that they were more sensitive to the antiproliferative effects of statins. Thus, our original observation in the MCF10A model system is expanded to include >20 cancer types and three statin family members, suggesting that the association between EMT and increased sensitivity to statins can be generalized across a broad range of solid tumor cell lines.

Activation of EMT is proposed to be the critical initiating step in metastatic dissemination of late-stage cancers (43). Although it is still debated whether this process is required for metastasis, as opposed to being a phenotype of aggressive/metastatic disease (44, 45), it is nevertheless known to be associated with cell de-differentiation, stem-like properties, and antiapoptotic signaling (46). Importantly, activation of EMT is typically associated with therapeutic resistance (44-46). We show here that activation of EMT increased cell sensitivity to fluvastatin (Fig. 3; Supplementary Fig. S4), consistent with previous reports (47-49). This suggests the intriguing possibility that statins may be used to target disseminated and/or dormant cancer cells (that is, those that presumably have undergone EMT) that are responsible for the rapeutic failure and refractory disease. Several epidemiological studies have reported supporting evidence, showing that statin use in breast cancer patients following front-line treatment was associated with better disease-free survival and overall survival (5, 7). Further testing of statins as adjuvant therapeutics in the preclinical and clinical setting is warranted.

It is perhaps tempting to ask which prenylated protein(s), other than the ones selected for testing in this study, is/are responsible for the anticancer effects of statins in the context of EMT. Indeed, we show that coadministration of GGPP or FPP could rescue fluvastatin kill in both HRASG12V- and myr-HRAS<sup>G12V</sup>-overexpressing cells (Fig. 2G-I). However, the two isoprenoids did not rescue to the same extent: GGPP and MVA completely rescued cell death to control levels, but FPP was less effective (Fig. 2G-I). This is reminiscent of previous studies, where GGPP consistently rescued statin effects (8, 11, 13–15, 18–20), while FPP did so less consistently, rescuing completely (11), partially (8, 13, 14, 18), or not at all (15, 18, 21). Nonetheless, the observation that FPP did not rescue as well as GGPP in an  $\mathsf{HRAS}^{\mathsf{G12V}}\text{-}\mathsf{overexpressing}$  system was unexpected, since HRAS prefers FPP over GGPP for prenylation (16). Two explanations are possible for this observation. First, because FPP also acts as the precursor to sterols (1, 10), a portion of the supplemented FPP could be shunted towards cholesterol production, which does not play a role in statin-induced apoptosis (8). However, the observation that MVA consistently rescues statin-induced cell death (8, 11) (Fig. 2G–I) argues against this interpretation. Alternatively, GGPP also functions as the precursor for other isoprenoids such as dolichols and isoprenoid moieties on coenzyme Q (1, 10), and depletion of these larger isoprenoids could be contributing to statin sensitivity. Consistent with this is the observation that cells overexpressing ZEB1 were more sensitive to fluvastatin, but not to inhibition of geranylgeranylation itself through GGTI (Fig. 3G and H). Taken together, our data reinforce the new model presented here that, in the context of cancer cell EMT,

fluvastatin-induced cell death is uncoupled from inhibition of RAS family protein prenylation. Why cells become dependent on the MVA pathway when undergoing EMT, and are therefore sensitive to fluvastatin inhibition, remains to be elucidated and will be an interesting area for future investigation that could lead to the identification of additional biomarkers of fluvastatin-responsive cancers.

#### **Disclosure of Potential Conflicts of Interest**

No potential conflicts of interest were disclosed.

#### **Authors' Contributions**

Conception and design: R. Yu. L.Z. Penn

Development of methodology: R. Yu, B. Haibe-Kains

Acquisition of data (provided animals, acquired and managed patients, provided facilities, etc.): R. Yu, J.E. van Leeuwen, P.J. Mullen, B. Haibe-Kains Analysis and interpretation of data (e.g., statistical analysis, biostatistics, computational analysis): R. Yu, J. Longo, W. Ba-Alawi, B. Haibe-Kains Writing, review, and/or revision of the manuscript: R. Yu, J. Longo, J.E. van Leeuwen, W. Ba-Alawi, B. Haibe-Kains, L.Z. Penn

Study supervision: L.Z. Penn

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# Statin-Induced Cancer Cell Death Can Be Mechanistically Uncoupled from Prenylation of RAS Family Proteins

Rosemary Yu, Joseph Longo, Jenna E. van Leeuwen, et al.

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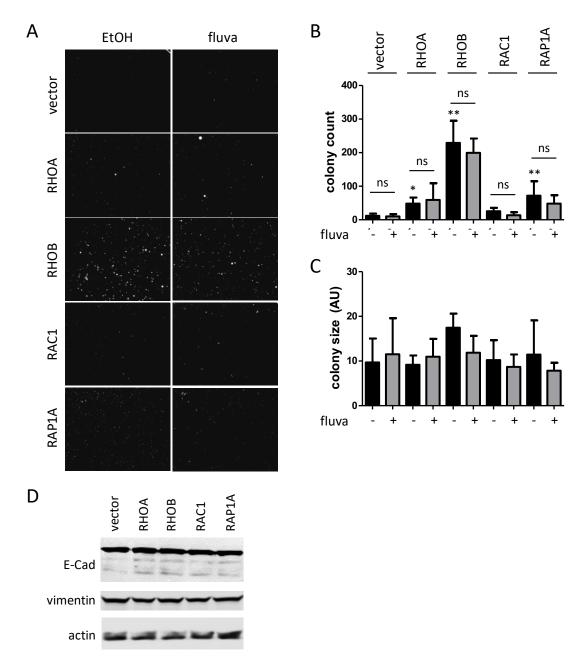
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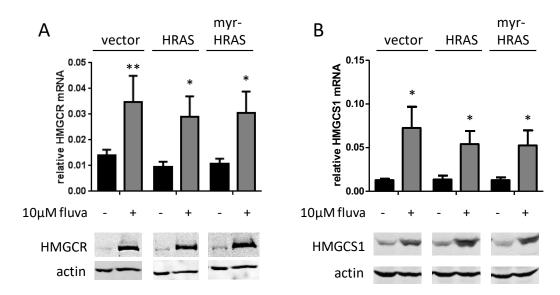
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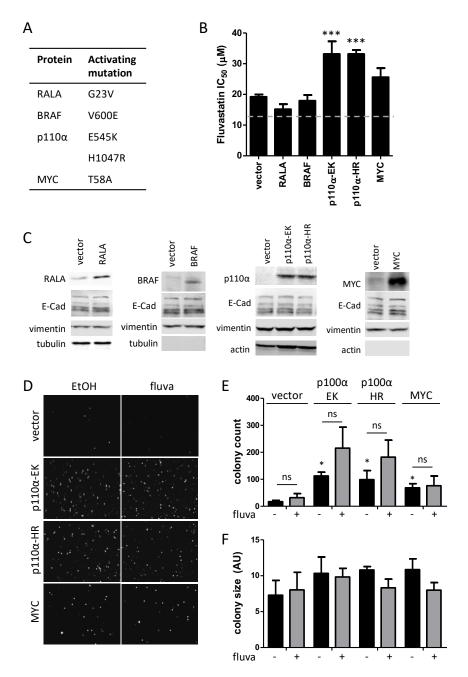
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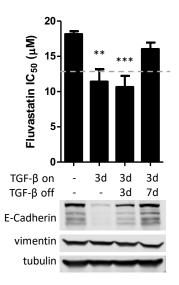
**Supplementary Fig. S1.** Overexpression of RAS superfamily proteins that do not induce EMT, do not sensitize MCF10A cells to fluvastatin. A-C, Cells with ectopic expression of RHOA<sup>G14V</sup>, RHOB<sup>G14V</sup>, RAC1<sup>G12V</sup>, and RAP1A<sup>G12V</sup> were assayed for colony formation in soft agar. Without fluvastatin treatment, RHOA, RHOB, and RAP1A transformed MCF10As as assayed by soft agar colony formation assay. Bars are mean + SD, n=3. \*, p<0.05; \*\*, p<0.01 (one-way ANOVA with a Dunnett post-test, comparing all columns vs. vector control column). Fluvastatin treatment had no significant effect on the colony count (B) or size (C). Colonies were treated with 20 μM fluvastatin 2x weekly for 16 days. Bars are mean + SD, n=3. ns, not significant (unpaired, two-tailed *t* test, comparing fluvastatin-treated vs. no treatment control). D, Ectopic expression of these RAS superfamily proteins do not induce EMT, as seen by similar expression levels of E-cadherin and vimentin to the vector control.



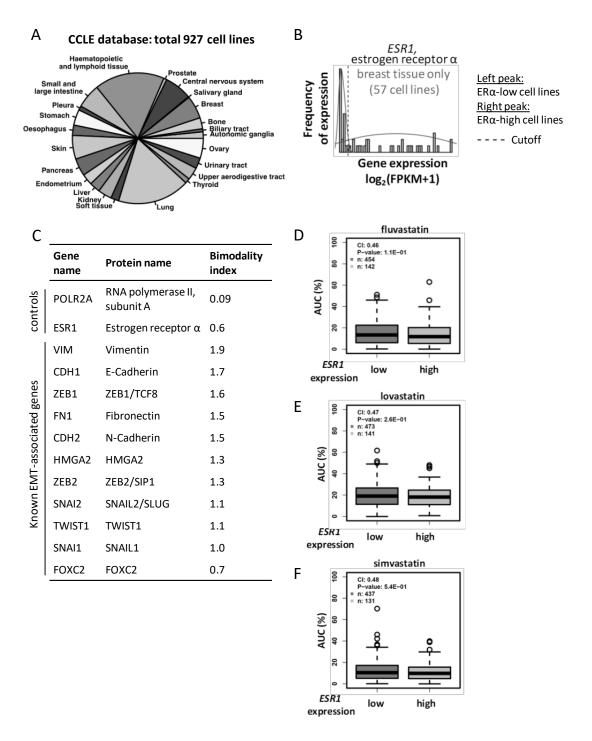
**Supplementary Fig. S2.** Overexpression of HRAS<sup>G12V</sup> or myr-HRAS<sup>G12V</sup> does not alter HMGCR or HMGCS1 expression. A-B, Cells were treated with  $10\mu$ M fluvastatin for 16 h and analyzed for the mRNA and protein expression of HMGCR (A) and HMGCS1 (B), Bars are mean + SD, n=3. \*, p<0.05; \*\*, p<0.01 (unpaired, two-tailed *t* test, comparing fluvastatin-treated vs. no treatment control).



**Supplementary Fig. S3.** Fluvastatin sensitivity is not mediated by the BRAF, RALA, PI3K, or MYC downstream mediators of RAS signaling. A, ectopic expression of downstream mediators of RAS signaling. B, none of the oncoproteins in panel A sensitized MCF10A cells to fluvastatin; p110α overexpression desensitized MCF10As to fluvastatin. Bars are mean + SD, n=3. \*\*\*, p<0.001 (one-way ANOVA with a Dunnett post-test, comparing all columns vs. vector control column). C, None of the oncoproteins in panel A induced EMT. D-F, Cells with ectopic expression of PI3K-p110α<sup>E545K</sup>, PI3K-p110α<sup>H1047R</sup>, and MYC<sup>T58A</sup> were assayed for colony formation in soft agar. Without fluvastatin treatment, all three oncogenes transformed MCF10As as assayed by soft agar colony formation assay. Bars are mean + SD, n=3. \*, p<0.05 (one-way ANOVA with a Dunnett post-test, comparing all columns vs. vector control column). Fluvastatin treatment had no significant effect on the colony count (E) or size (F). Colonies were treated with 20 μM fluvastatin 2x weekly for 18 days. Bars are mean + SD, n=3. ns, not significant (unpaired, two-tailed *t* test, comparing fluvastatin-treated vs. no treatment control).



**Supplementary Fig. S4.** TGF- $\beta$  induced EMT and fluvastatin sensitivity are both reversible. After 3 days of treatment with 5 ng/mL TGF- $\beta$ , MCF10A cells undergo EMT and become more sensitive to fluvastatin, as assessed by MTT assays for 72 h. Dashed line represents IC<sub>50</sub> of HRAS<sup>G12V</sup>-overexpressing cells. Cells gradually reverted to epithelial with continued culturing after TGF- $\beta$  removal; after 7 days, sensitivity to fluvastatin was restored to control levels. Bars are mean + SD, n=3. \*\*, p<0.01; \*\*\*, p<0.001 (one-way ANOVA with a Dunnett post-test, comparing all columns vs. vector control column).



**Supplementary Fig. S5.** Unimodal and bimodal gene expression in the CCLE database. B, bimodal distribution of *ESR1* in breast cancer cell lines. Gene expression values are log<sub>2</sub>(FPKM+1) with FPKM = Fragments Per Kilobase of transcript per Million mapped reads. C, bimodality index of 11 known EMT-associated genes, all scoring higher than *ESR1*. D-F, *ESR1* expression levels are not correlated with sensitivity to three statin family members. AUC, area under the curve (higher values represent higher drug sensitivity); CI, Concordance Index; P-value, Wilcoxon rank sum test, comparing statin response on EMT 'enriched' vs. 'not-enriched' cell lines; n, number of cell lines. The script and data used for the generation of these figures can be downloaded at <a href="https://github.com/bhklab/StatinEMT">https://github.com/bhklab/StatinEMT</a>.

### CANCER RESEARCH | METABOLISM AND CHEMICAL BIOLOGY

# Mevalonate Pathway Inhibition Slows Breast Cancer Metastasis via Reduced N-glycosylation Abundance and Branching



Rosemary Yu<sup>1,2</sup>, Joseph Longo<sup>1,2</sup>, Jenna E. van Leeuwen<sup>1,2</sup>, Cunjie Zhang<sup>3</sup>, Emily Branchard<sup>1</sup>, Mohamad Elbaz<sup>1</sup>, David W. Cescon<sup>1</sup>, Richard R. Drake<sup>4</sup>, James W. Dennis<sup>3,5,6</sup>, and Linda Z. Penn<sup>1,2</sup>

# **ABSTRACT**

Aberrant *N*-glycan Golgi remodeling and metabolism are associated with epithelial–mesenchymal transition (EMT) and metastasis in patients with breast cancer. Despite this association, the *N*-glycosylation pathway has not been successfully targeted in cancer. Here, we show that inhibition of the mevalonate pathway with fluvastatin, a clinically approved drug, reduces both *N*-glycosylation and *N*-glycan-branching, essential components of the EMT program and tumor metastasis. This indicates novel cross-talk between *N*-glycosylation at the endoplasmic reticulum (ER) and *N*-glycan remodeling at the Golgi. Consistent with this cooperative model between the two spatially separated levels of protein *N*-glycosylation, fluvastatin-induced tumor cell death was enhanced by loss of

Golgi-associated *N*-acetylglucosaminyltransferases MGAT1 or MGAT5. In a mouse model of postsurgical metastatic breast cancer, adjuvant fluvastatin treatment reduced metastatic burden and improved overall survival. Collectively, these data support the immediate repurposing of fluvastatin as an adjuvant therapeutic to combat metastatic recurrence in breast cancer by targeting protein *N*-glycosylation at both the ER and Golgi.

**Significance:** These findings show that metastatic breast cancer cells depend on the fluvastatin-sensitive mevalonate pathway to support protein *N*-glycosylation, warranting immediate clinical testing of fluvastatin as an adjuvant therapy for breast cancer.

# Introduction

The first-line therapy for early-stage breast cancer is surgical removal of the tumor, followed by adjuvant therapies (1). Despite aggressive treatment, 15% to 20% of patients with early-stage breast cancer experience recurrence, often as distant metastases (1). Prevention or delay of metastatic recurrence in breast cancer would represent a key advance in the treatment of this disease. Several retrospective studies have indicated that the risk of postsurgical breast cancer recurrence is reduced by 30% to 60% in patients who are taking statins (2–5), a class of approved drugs that lowers serum cholesterol. Increased duration of adjuvant statin use is associated with decreased risk of recurrence (5), suggesting that long-term intake of statins in the adjuvant setting may prolong patient survival. Preclinically, statins have been shown to inhibit metastasis in a broad range of cancers (6–9);

however, the precise mechanism remains unclear. Mechanistic understanding of the effect of fluvastatin on metastatic breast cancer cells may provide the essential insight required to guide the design of clinical trials, identify biomarkers of statin response, and provide a starting point for the development of additional agents to target metastatic recurrence.

Statins inhibit the metabolic conversion of 3-hydroxy-3-methylglutaryl coenzyme A (HMG-CoA) to mevalonate (MVA), the ratelimiting step of the MVA pathway (Fig. 1A). The MVA pathway synthesizes cholesterol; farnesyl pyrophosphate (FPP) and geranylgeranyl pyrophosphate (GGPP), required for protein prenylation; coenzyme Q (CoQ), required for the electron transport chain (ETC); and dolichol, required for protein N-glycosylation (Fig. 1A; ref. 10). Statin-triggered tumor cell death can be rescued by exogenous GGPP; therefore, statin activity has been linked to inhibition of prenylated proteins (11, 12). However, recent interrogation has revealed that statins preferentially target cancer cells with enriched mesenchymal features, but this effect is uncoupled from inhibition of RAS family protein prenylation (11). This suggests an alternative mechanism of action of fluvastatin on cells undergoing EMT, which occurs downstream of GGPP. As disseminated primary tumor cells often gain mesenchymal characteristics while losing epithelial features (13), investigating this novel mechanism is of interest as targeting breast cancer cells with mesenchymal phenotypes may have utility in the adjuvant setting to prevent metastatic recurrence.

Herein, we show that statin-dependent depletion of dolichol selectively inhibits the viability of EMT-induced invasive breast cancer cells. Dolichol is a group of long-chain isoprenoids that comprises the lipid component of lipid-linked oligosaccharides (LLO), essential for *N*-linked glycosylation of nascent peptides translated in the secretory pathway (**Fig. 1A**; ref. 10). The oligosaccharide in LLO is added cotranslationally to asparagine on secretory and membrane proteins at the endoplasmic reticulum (ER), and subsequently processed to more complex structures by glycosidases and glycosyltransferases in the ER and Golgi during transit to the cell surface. Surprisingly, we

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**Note:** Supplementary data for this article are available at Cancer Research Online (http://cancerres.aacrjournals.org/).

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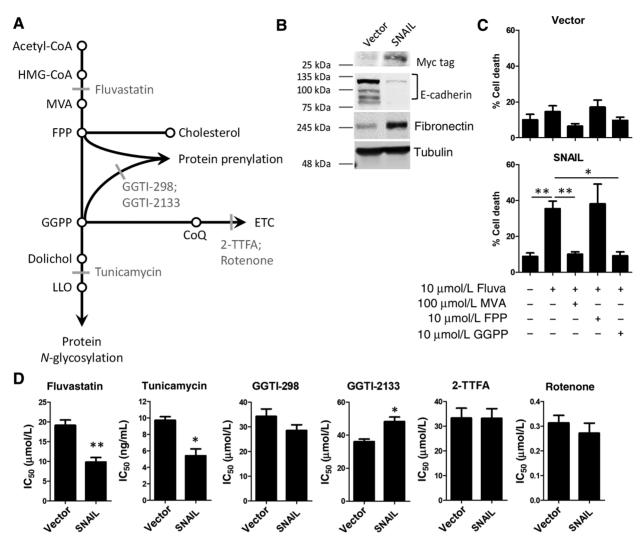


Figure 1.

Induction of EMT by SNAIL overexpression increases cell sensitivity to inhibition of dolichol-dependent protein N-glycosylation by fluvastatin and tunicamycin. **A**, A simplified schematic of the MVA pathway. Inhibitors of specific components of the pathway are represented in gray. **B**, Immunoblot of E-cadherin, an epithelial cell marker, and fibronectin, a mesenchymal cell marker, revealed that overexpression of SNAIL induced EMT in MCF10A cells. Tubulin was used as loading control. **C**, Flow cytometric quantification of percentage of dead cells (% pre-G<sub>1</sub> population) with propidium iodide DNA staining after fixation. Fluvastatin treatment for 72 hours induced cell death in MCF10A cells overexpressing SNAIL, but not in vector control cells. Fluvastatin-induced cell death was fully rescued by coadministration with MVA or GGPP, but not FPP, at the indicated doses. Bars, mean + SD, n = 3. \*, P < 0.05; \*\*, P < 0.01 (one-way ANOVA with a Dunnett posttest, comparing all columns vs. fluvastatin column). **D**, SNAIL overexpression sensitized cells to fluvastatin and tunicamycin, but not inhibitors of other components of the MVA pathway. IC<sub>50</sub> values as calculated based on MTT assays after cells were treated with 8 doses of each drug for 72 hours. Bars, mean + SD, n = 3-4. \*, P < 0.05; \*\*, P < 0.01 (unpaired, two-tailed t test, comparing SNAIL vs. vector columns).

show that in addition to reducing LLO-dependent *N*-glycosylation at the ER, fluvastatin treatment also reduced subsequent branching of complex-type *N*-glycans that occurs in the *medial*-Golgi. Oncogenic mutations induce *N*-glycan branching by increasing expression of MGAT4, MGAT5, and metabolic pathways to nucleotide-sugars, which modify receptor kinases that promote epithelial-to-mesenchymal transition (EMT) and metastasis (14–18). Knockout of MGAT5 in mice has been shown to reduce mammary tumor growth and metastases (19), and knockdown of MGAT1 significantly decreased tumor growth and incidence of lung metastases in a prostate cancer xenograft model (20). Moreover, *N*-glycan branches and the number of glycan-occupied sites in receptors act cooperatively as

ligands for multivalent galectins, thereby regulating cell surface residency and signaling (16). To date, however, ER and Golgi levels of protein N-glycosylation in cancer metastasis has not been successfully targeted. Here, we show for the first time that aberrant protein N-glycosylation in metastatic breast cancer cells can be therapeutically targeted by inhibiting dolichol biosynthesis using fluvastatin, using a model of spontaneous postsurgical metastasis that closely follows the course of human breast cancer progression and treatment (21). Our results demonstrate that postsurgical fluvastatin treatment attenuates the development of breast cancer metastases and improves overall survival by >30%. Taken together, our results support the immediate clinical testing of fluvastatin as a safe and effective therapeutic in the

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### **Blocking MVA Pathway Attenuates Breast Cancer Metastasis**

adjuvant setting, and support the further development of novel therapeutics to combat metastatic recurrence in breast cancer by inhibiting aberrant protein N-glycosylation.

# **Materials and Methods**

### Reagents

Fluvastatin was purchased from US Biologicals (F5277–76). TGF $\beta$  was purchased from PeproTech (100–21). PNGase F was purchased from NEB (P0704). Complete protease inhibitor was purchased from Roche (11697498001). RapiGest SF was purchased from Waters (186001861). Sialidase was purchased from Glyko (GK80040). All other chemicals were purchased from Sigma unless otherwise specified. In the conduct of research involving hazardous organisms or toxins, the investigators adhered to the CDC-NIH Guide for Biosafety in Microbiological and Biomedical Laboratories.

### **Cell lines**

MCF10A cells were a kind gift from Dr. Senthil Muthuswamy. MDA-MB-231 and LM2–4 cells were a kind gift from Dr. Robert Kerbel. All other cell lines were obtained from ATCC. HEK293Tv, LM2–4, MCF-7, MCF10A, and MDA-MB-231 cells were cultured at 37°C in a humidified atmosphere at 5% CO<sub>2</sub> in supplemented growth media (21–23). All cell lines were authenticated by short tandem repeat (STR) profiling and tested to be free of *Mycoplasma* monthly using commercial mycoplasma detection kits. All cell lines were used between 3 to 20 passages after thawing. Transgene expression was stably introduced into MCF10A cells using retroviral insertion with pLPC, a kind gift from Dr. Roberta Maestro, or pBabePuro (22). In the conduct of research utilizing recombinant DNA, the investigators adhered to NIH Guidelines for research involving recombinant DNA molecules.

HeLa Flp-In-TREx cells were transfected with two guide RNA (sgRNA) in the CRISPR/Cas9 px459 vector targeting exon 4 and the flaking intron for removal of 110 bp from the SLC3A2 gene. sgRNA#1: CAGATTCAACCGGAGGTACC, sgRNA#2: CCGCGTTGTCGCGAGCTAC. Deletions were confirmed by sequencing. Inducible expression was restored by transfecting the cells with human SLC3A2 cDNA cloned into the pcDNA5/FRT/TO vector for single site insertion at a preintegrated FRT recombination site. MGAT1 and MGAT5 mutant MDA-MB-231 cells were generated with CRISPR/Cas9 px459 vector using guide RNA for a deletion within the catalytic domain (https://zlab.bio/guide-design-resources). The null mutations were validated by sequencing and LC/MS-MS analysis of glycopeptidase released *N*-glycan.

### MTT assays

 $3\text{-}(4,5\text{-}dimethylthiazol\text{-}2\text{-}yl)\text{-}2,5\text{-}diphenyltetrazolium bromide (MTT) assays were performed as described previously (23). Cells were seeded at 750 to 5,000 cells/well in 96-well plates and treated in triplicate with 8 doses of drugs or the solvent control for 72 hours. IC<math display="inline">_{50}$  values were computed using GraphPad Prism with a bottom constraint equal to 0.

### **Immunoblotting**

Lysates were prepared in RIPA lysis buffer (25 mmol/L Tris pH 7.4, 150 mmol/L NaCl, 0.5% sodium deoxycholate, 1% NP-40, protease inhibitors). Antibodies used were c-MYC (MAb 9E10, in-house), E-cadherin (CST 3195), vimentin (CST 5741), fibronectin (Abcam ab32419), actin (Sigma A2066), tubulin (Millipore CP06), GP130

(SCB sc-655), EGFR (CST 2232), SLC3A2 (SCB sc-7095), and Ku80 (CST 2180).

#### **Immunohistochemistry**

For tumors, two sequential slices were stained for hematoxylin and eosin (H&E) or Ki67 (Novus NB110–90592). For lungs, two sequential slices were obtained every 200  $\mu m$  for three depths containing all five lobes, and stained for H&E or hEGFR (Zymed 28005). Metastatic colonies were identified by hEGFR staining and confirmed by H&E. Total hEGFR positivity was computed using ImageScope.

### Cell death assav

Cells were seeded at 250,000/plate overnight, then treated with as indicated for 72 hours. Cells were fixed in 70% ethanol overnight, stained with propidium iodide (Sigma), and analyzed for the subdiploid DNA ("pre-G1") population as previously described (23).

### qRT-PCR

Total RNA was harvested from subconfluent cells using TRIzol Reagent (Invitrogen). cDNA was synthesized from 500 ng of RNA using SuperScript III (Invitrogen). Real-time quantitative RT-PCR was performed using SYBR Green (Applied Biosystems) with the following primers:

BiP\_fw 3'-TGACATTGAAGACTTCAAAGCT-5' BiP\_rv 3'-CTGCTGTATCCTCTTCACCAGT-5' ERdj4\_fw 3'-AAAATAAGAGCCCGGATGCT-5' ERdj4\_rv 3'-CGCTTCTTGGATCCAGTGTT-5' 18S\_rRNA\_fw 5'-GTAACCCGTTGAACCCCATT-3' 18S\_rRNA\_fw 3'-CCATCCAATCGGTAGTAGCG-3'

### Sample preparation for glycopeptide analysis

A total of  $1\times10^7$  cells were harvested after indicated treatment. Cells were lysed in 1 mL IP lysis buffer (1% Triton-100, 20 mmol/L Tris pH 7.5, 150 mmol/L NaCl, 1 mmol/L EDTA, 1 mmol/L EGTA, cOmplete protease inhibitor), and centrifuged at 14,000 rpm for 30 minutes at  $4^\circ\text{C}$ . Lysates were normalized to 2.5 mg/mL and 1 mL was incubated with 20  $\mu\text{L}$  of FLAG beads at  $4^\circ\text{C}$  overnight. Beads were washed thoroughly in TBS (50 mmol/L Tris pH 7.5, 150 mmol/L NaCl) and 50 mmol/L ammonium bicarbonate and on-bead trypsin digest was carried out using 0.5  $\mu\text{g}$  of trypsin at  $37^\circ\text{C}$  overnight. Glycopeptides were extracted using 0.5% formic acid, vacuumed to dry, and desialidated with 0.5  $\mu\text{L}$  of sialidase at  $37^\circ\text{C}$  overnight.

### Glycopeptide analysis by LC/MS-MS

Peptides were applied to a nano-HPLC Chip using an Agilent 1200 series microwell-plate autosampler, and interface with an Agilent 6550 Q-TOF MS (Agilent Technologies). The reverse-phase nano-HPLC Chip (G4240-62002) had a 40 nL enrichment column and a 75  $\mu$ mol/L  $\times$  150 mm separation column packed with 5  $\mu$ mol/L Zorbax 300SB-C18. The mobile phase was 0.1% formic acid in water (v/v) as solvent A, and 0.1% formic acid in ACN (v/v) as solvent B. The flow rate at 0.3  $\mu$ L/min with gradient schedule; 3% B (0–1 minutes); 3%-40% B (1-90 minutes); 40%-80% B (90-95 minutes); 80% B (95-100 minutes), and 80%-3% B (100-105 minutes). Mascot search was used to identify proteins and peptide sequences coverage. Extract glycopeptide were identified by Agilent Masshunter Quanlititive Analysis software by the presence of hexose (Hex) and Nacetylhexosamine (NAc), such as 204 (HexNAc ions), and 366 (Hex-HexNAc ions). Glycan structures were predicted for extracted glycopeptides by online GlycoMod (http://web.expasy.org/glycomod/).

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Glycan structure by MS/MS and occupancy of NXS/T( $X\neq P$ ) N-glycosylation sites were determined manually.

### N-glycan extraction

A total of 15  $\times$  10<sup>6</sup>cells were seeded overnight and treated as indicated. Cells were harvested, suspended in 1 mL of HEPES homogenization buffer (0.25 mol/L sucrose, 50 mmol/L HEPES pH 7.5, 5 mmol/L NaF, 5 mmol/L EDTA, 2 mmol/L DTT, cOmplete protease inhibitor), and lysed using a probe sonicator. Homogenate was cleared at 2,000  $\times$  g for 20 minutes at 4°C, then ultracentrifuged at 115,000  $\times$  g for 70 minutes at 4°C. The pellet was vigorously suspended in 650  $\mu$ L Tris buffer (0.8% Triton X-114, 50 mmol/L Tris pH 7.5, 0.1 mmol/L NaCl, 5 mmol/L EDTA, 5 mmol/L NaF, 2 mmol/L DTT, cOmplete protease inhibitor). The homogenate was chilled on ice for 10 minutes, incubated at 37°C for 20 minutes, then phase partitioned at 1,950  $\times$  g for 2 minutes at room temperature. The upper phase was discarded. Membrane proteins in the lower phase was precipitated with 1 mL acetone at  $-20^{\circ}$ C overnight.

Precipitated proteins were suspended in 60  $\mu L$  of suspension buffer (0.25% RapiGest SF, 50 mmol/L ammonium bicarbonate, 5 mmol/L DTT). The completely dissolved solution was heated for 3 minutes at 85°C. Approximately 30  $\mu g$  proteins was mixed with 0.5  $\mu L$  of PNGase F, 0.7  $\mu L$  of sialidase, and 20  $\mu L$  of 50 mmol/L ammonium bicarbonate, and incubated at 42°C for 2 hours followed by 37°C overnight. Released N-glycans were extracted with 4 to 5 volumes of 100% ethanol at  $-80^{\circ} C$  for 2 hours. The supernatant containing released N-glycans was speed vacuumed to dry.

Homemade porous graphitized carbon (PGC) microtips containing 10 mg PGC in a bed volume of 50  $\mu L$  was washed with 500  $\mu L$  of ddH<sub>2</sub>O, 500  $\mu L$  of 80% acetonitrile (ACN), and equilibrated with 500  $\mu L$  0.1% trifluoroacetic acid (TFA). N-glycan pellets were dissolved in 50  $\mu L$  of 0.1% TFA and slowly loaded into microtips. Microtips were washed with 500  $\mu L$  0.1% TFA. N-glycans were eluted several times with 500  $\mu L$  of elution buffer (0.05% TFA, 40% ACN). The eluted N-glycans were speed vacuumed to dry.

### Global glycan analysis by LC/MS-MS

Analysis of the eluted N-glycans was modified from a previous method (24). Total glycan samples were applied to a nano-HPLC Chip using an Agilent 1200 series microwell plate autosampler, and interface with an Agilent 6550 Q-TOF MS (Agilent Technologies). The HPLC PGC-Chip (G4240-64010) had a 40 nL enrichment column and a  $75 \,\mu mol/L \times 43 \,mm$  separation column packed with  $5 \,\mu mol/L$  porous graphitized carbon as stationary phase. The mobile phase was 0.1% formic acid in water (v/v) as solvent A, and 0.1% formic acid in ACN (v/v) as solvent B. The flow rate at 0.3 µL/minute with gradient schedule; 5% B (0-1 minutes); 5%-20% B (1-15 minutes); 20%-70% B (15-16 minutes); 70% B (16-19 minutes), and 70%-5% B (19-20 minutes). Free glycans released by PNGase F were identified by Agilent Masshunter Quanlititive Analysis software in the presence of hexose and N-acetylhexosamine. Glycan structures were predicted by online GlycoMod (http://web.expasy.org/glyco mod/). Agilent Masshunter Quantitative Analysis software was used to quantify the extracted glycan peaks.

### **Animal models**

Animal work was carried out with the approval of the Princess Margaret Cancer Centre Ethics Review Board in accordance with the regulations of the Canadian Council on Animal Care. In conducting research using animals, the investigators adhered to the laws of the United States and regulations of the Department of Agriculture. Female SCID mice were obtained from the in-house breeding colony at the Princess Margaret Cancer Centre and at 6 to 8 weeks of age. All mice were maintained under specific pathogen-free conditions with a 12-hour light/dark cycle. Food and water were provided *ad libitum*.

LM2–4 cells (1  $\times$  10  $^6$  cells in 50  $\mu L)$  were implanted subcutaneously in female SCID mice (6–8 weeks), obtained in-house from the University Health Network animal colony. Primary tumors were measured every two days and calculated by (width  $\times$  width  $\times$  length)/2. After surgical removal of the primary tumors, animals were monitored daily for endpoint, including signs of metastatic load in the lung (labored breathing). Treatment was given daily orally with PBS or 50 mg/kg/day fluvastatin. Necropsy was performed at endpoint where any tissue with evidence of metastatic disease is rapidly excised and fixed in formalin for histopathology.

### Quantification and statistical analysis

Statistical analysis was performed using GraphPad Prism 6 and R software. Statistical testing and significance are performed as indicated in the legend of each figure. Histopathologic analyses were independently reviewed by two personnel blinded to group allocation at the time of analysis. Quantification of histochemical analyses was performed using ImageScope software. *In vitro* experiments were not feasible for randomization or blinding due to the nature of the experiments.

### **Results**

# EMT sensitizes breast cancer cells to the inhibition of dolichol synthesis

To delineate the mechanism of statin action on mesenchymalenriched breast cancer cells, fluvastatin was chosen for our studies based on its favorable pharmacokinetic properties and promising antibreast cancer activities in the preclinical and clinical preoperative settings (23, 25). We used MCF10A breast epithelial cells as our model system, which allowed for the evaluation of EMT in an isogenic panel of cells in the absence of gross genetic instability (26). Ectopic expression of the EMT-inducing transcription factor SNAIL triggered EMT in MCF10A cells, as shown by downregulation of E-cadherin and upregulation of fibronectin (Fig. 1B). Treatment with fluvastatin readily induced cell death in SNAIL-overexpressing cells, but not vector control cells, as assessed by quantification of DNA content following cell fixation and propidium iodide staining (Fig. 1C). Fluvastatin-induced cell death in SNAIL-overexpressing cells was fully rescued by coadministration with MVA or GGPP, but not FPP (Fig. 1C). FPP and GGPP at the concentrations used have previously been shown to enter the cells and rescue protein prenylation (12, 27). This preferential rescue of statin-induced cell death in tumor cells by GGPP has also been reported in several other cancer cell lines (28, 29), together suggesting that disruption of biological processes downstream of GGPP is critical for statin-induced cell death.

GGPP is required for three biological processes: protein prenylation, synthesis of CoQ used in the ETC, and synthesis of dolichol required for protein *N*-glycosylation (**Fig. 1A**; ref. 10). We tested whether inhibiting any of these pathways individually using specific inhibitors (**Fig. 1A**) could phenocopy statin treatment and preferentially kill breast cancer cells with mesenchymal phenotypes. EMT sensitized cells to fluvastatin, as indicated by a lower IC<sub>50</sub> value in SNAIL-overexpressing cells (**Fig. 1D**). Consistent with our previous finding (11), EMT did not sensitize cells to geranylgeranyltransferase inhibitors, GGTI-298 or GGTI-2133 (**Fig. 1D**), indicating that fluvastatin-induced cell death in this context is independent from

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inhibition of protein prenylation. The  $IC_{50}$  for 2-thenoyltrifluoroacetone (2-TTFA) and rotenone, both inhibitors of the ETC, were similar in both vector and SNAIL-overexpressing cell lines (**Fig. 1D**), indicating that EMT does not sensitize cells to inhibition of the ETC. Instead, inhibition of LLO assembly downstream of dolichol synthesis by tunicamycin phenocopied fluvastatin treatment, as evidenced by a lower  $IC_{50}$  in SNAIL-overexpressing cells (**Fig. 1D**).

These observations were validated in MCF10A cells overexpressing additional inducers of EMT (SLUG, TWIST, ZEB1), as well as two independent breast cancer cell lines, MDA-MB-231 and MCF-7 (Supplementary Fig. S1A-S1D). Ectopic expression of TWIST or ZEB1 induced EMT in MCF10A cells, as indicated by downregulation of E-cadherin and upregulation of fibronectin or vimentin (Supplementary Fig. S1A). SLUG did not induce EMT in the MCF10A cell system, likely arising from a relatively small increase of SLUG expression in our experiment (Supplementary Fig. S1A) and indicating that a critical level of SLUG expression is needed to induce EMT (30). Consistently, the mesenchymal TWIST- and ZEB1-expressing cells became more sensitive to fluvastatin and tunicamycin compared with the vector control (Supplementary Fig. S1B). The IC<sub>50</sub> for geranylgeranyltransferase inhibitor (GGTI) and ETC inhibitors were unaffected by EMT (Supplementary Fig. S1B). Similarly, immunoblotting for Ecadherin and vimentin indicated that MCF-7 cells were epithelial and MDA-MB-231 cells were mesenchymal (Supplementary Fig. S1C). MDA-MB-231 cells were 50-fold more sensitive to both fluvastatin and tunicamycin than MCF-7 cells, which could not be phenocopied by GGTI-298, GGTI-2133, 2-TTFA, or rotenone (Supplementary Fig. S1D). Together, these data indicate that breast cancer cells with mesenchymal phenotypes are more sensitive to inhibition of dolichol synthesis and function, by either fluvastatin or tunicamycin.

As tunicamycin is an inhibitor of the first enzyme downstream of dolichol, leading to LLO synthesis, and elicits ER stress as a result of blocking N-glycosylation (31), we tested whether its effect is an indirect consequence of ER stress. To this end, we treated cells with thapsigargin, a dolichol-independent inducer of ER stress. Treatment with tunicamycin or thapsigargin upregulated ER stress markers ERdj4 and BiP, in both vector and SNAIL-overexpressing cells following 24 hours of treatment (Supplementary Fig. S2A). In contrast, treatment with fluvastatin displayed only a moderate increase the mRNA expression of ERdj4 and BiP in SNAIL-overexpressing MCF10A cells compared with the vector control cells, after up to 72 hours of treatment (Supplementary Fig. S2B). These data indicate that mesenchymal breast cancer cells are sensitized to fluvastatin treatment by inhibition of N-glycosylation, and while tunicamycin also inhibits N-glycosylation, the effect is accompanied by elevated levels of ER stress leading to greater toxicity in normal cells, which has limited its clinical development as an anticancer therapeutic (32). In contrast, fluvastatin produces a milder effect on protein N-glycosylation by dampening the dolichol synthesis pathway further upstream, which alleviates the induction of a strong ER stress response.

# Fluvastatin inhibits ER-associated protein N-glycosylation and Golgi-associated N-glycan remodeling

Dolichol is a group of hydrophobic long-chain isoprenoid molecules that constitutes the lipid portion of LLOs, an essential component for protein  $\operatorname{Asn}(N)$ -glycosylation (10) that occurs on newly synthesized peptides at the consensus sequence NXS/T(X $\neq$ P) (Fig. 2A). As dolichol is technically difficult to directly quantify and its only known function is in glycosylation, we validated fluvastatin inhibition of dolichol synthesis by evaluating whether fluvastatin treatment could reduce protein N-glycosylation. To this end, we used SLC3A2 as a

molecular biomarker of protein glycosylation. SLC3A2 is a single-pass transmembrane glycoprotein with four N-glycosylation sites, all modified at the ER and remodeled at the Golgi with complex-type *N*-glycan structures (33). We expressed SLC3A2 in a doxycycline-inducible manner in HeLa cells, where endogenous SLC3A2 has been knocked out. With fluvastatin treatment, doxycycline-induced FLAG-SLC3A2 displayed lower molecular weight immunoblot bands, intermediate in size compared with that of N-glycopeptidase-treated samples, indicating reduced occupancy of N-glycan sites consistent with suppression of dolichol and, in turn, LLO and N-glycosylation (Fig. 2B). To examine site occupancy more directly, three peptides containing N-glycosylation sites at N365, N381, and N424 in FLAG-SLC3A2 were detected and quantified by LC-MS/MS (Supplementary Table S1). With fluvastatin treatment, an increase in the unoccupied fraction of peptides containing Asn365 and Asn381 was observed (Fig. 2C). Interestingly, site occupancy of Asn424 remained unaffected by fluvastatin treatment (Fig. 2C), indicating that N-glycosylation sites on the same protein can differ in sensitivity to reduced dolichol levels. These results and immunoblotting for additional N-glycosylated receptors (Supplementary Fig. S3) are consistent with a partial reduction in N-glycosylation in response to fluvastatin treatment.

Complex type *N*-glycans are a major subset of post-Golgi structures on mature cell surface glycoproteins. These N-glycans can be further subdivided by N-acetylactosamine branching and fucose (F, Fuc) at the core region and on the peripheral branches (Fig. 2D, highlighted in box). Analysis of SLC3A2 glycopeptides revealed that, in addition to partial inhibition of glycan transfer from LLO to the protein substrates by oligosaccharyltransferase (OST), fluvastatin treatment altered the Golgi dependent profile of residual N-glycans measured in a sitespecific manner. Notably, a significant reduction in branched complex N-glycans was observed at N381, N424, and N365 sites (Fig. 2E-G; Supplementary Table S1). Immunoblotting for three additional membrane proteins (EGFR, GP130, and SLC3A2) in vector and SNAILoverexpressing MCF10A cells revealed that these proteins became under-glycosylated after 48 to 72 hours of fluvastatin treatment in both cell lines to a similar extent (Supplementary Fig. S3A). Treatment with thapsigargin for up to 72 hours did not result in under-glycosylation of EGFR, GP130, or SLC3A2 in either vector or SNAIL-overexpressing cells, although a slight reduction in total glycoprotein levels were observed (Supplementary Fig. S3B). In contrast, these receptors were markedly under-glycosylated with 24 hours of tunicamycin treatment. As cancer cell metastasis requires increased expression of tetraantennary complex type N-glycans (14-18), we examined whether the transition to EMT was accompanied by increased expression of these glycan structures. To this end, we profiled N-glycans released from membranes of control and SNAIL-overexpressing MCF10A cells treated with and without fluvastatin (Supplementary Table S2). The MCF10A glycome consists of 32% high mannose type N-glycans and 59% complex type N-glycans. The latter can be further subdivided based on branching and fucosylation (F, Fuc) status at the core region and the antennae (Fig. 3A). In MCF10A cells, complex type N-glycans were commonly expressed in the unfucosylated and singly fucosylated (core) forms, with a small amount of doubly fucosylated (core and antennae) structures (Fig. 3A). With induction of EMT, the expression of 12 N-glycans structures were significantly upregulated, all of which belonged to the complex type subgroup; 15 structures were downregulated, including all 9 of the doubly fucosylated complex structures detected (Fig. 3B; Supplementary Table S2). We then examined the effect of fluvastatin treatment on N-glycan profiles and found that 6 of the 12 complex type N-glycans that were upregulated following induction of EMT were specifically inhibited by fluvastatin

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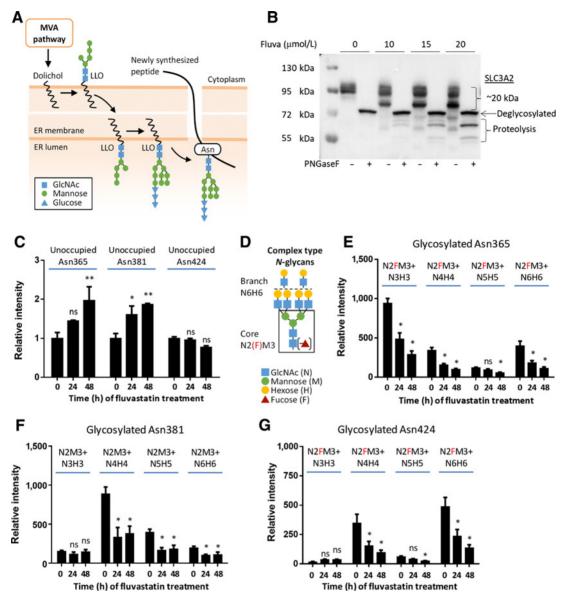


Figure 2.
Fluvastatin treatment blocks dolichol-dependent protein *N*-glycosylation with complex type *N*-glycans. **A,** A simplified schematic of the dolichol-dependent protein *N*-glycosylation process. GlcNAc, *N*-acetylglucosamine; Asn, asparagine. **B,** In HeLa cells with Dox-inducible FLAG-SLC3A2 expression, immunoblot for FLAG indicates that fluvastatin treatment led to partial deglycosylation of SLC3A2 as indicated by the appearance of lower molecular weight bands. Complete deglycosylation with PNGaseF treatment was used as a control. **C,** Relative levels of unoccupied Asn residues at glycosylation sites NXS/T(X $\neq$ P) in SLC3A2 were quantified by FLAG-IP, followed by LC/MS-MS. Fluvastatin treatment for up to 48 hours increased the levels of unoccupied Asn at residues 365 and 381, but not 424. Three biological replicates were analyzed with two technical replicates each. ns, not significant; \*, P < 0.05; \*\*, P < 0.01 (two-way ANOVA with a Dunnett posttest, comparing each treatment column vs. control column). **D,** Schematic representation of complex type *N*-glycans that decorate SLC3A2 on Asn residues 365, 381, and 424 that are represented in the following panels. **E-G,** Fluvastatin treatment for up to 48 hours decreases the levels of branched complex type *N*-glycans, triantennary (N3H3) and tetra-antennary (N4H4), and branch elongation (N5H5 and N6H6) at the indicated site in SLC3A2. Bars, mean + SD, n = 3. ns, not significant; \*, P < 0.05 (two-way ANOVA with a Dunnett posttest, comparing each treatment column vs. control column).

treatment in SNAIL-overexpressing cells, but not in control cells (Fig. 3C, black arrowheads). Of these, the singly fucosylated triantennary (N2FM3+N3H3) and singly fucosylated tetra-antennary (N2FM3+N4H4) structures, each representing approximately 10% of the total surface glycome, were both upregulated in SNAIL-overexpressing cells, and significantly reduced in response to fluvastatin treatment (Fig. 3D and E).

Our results suggest that the elevated sensitivity of mesenchymal breast cancer cells to fluvastatin is due to the dual effect of fluvastatin on protein N-glycosylation: (i) decreasing the level of N-glycosylation at the ER by inhibiting dolichol synthesis; and (ii) decreasing the complex branching of N-glycans that occurs at the Golgi. Of note, the second effect occurs on those N-glycans that are transferred to proteins in the presence of fluvastatin, the

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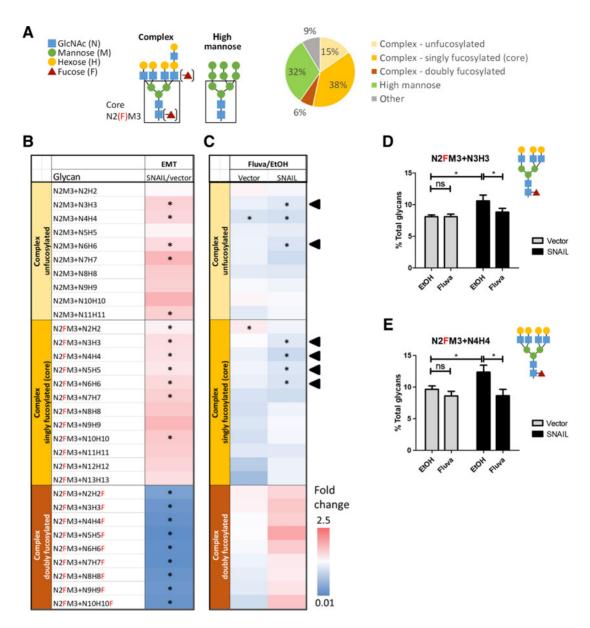


Figure 3.

Fluvastatin treatment decreases complex branched N-glycans associated with EMT. **A,** Schematic representation of high mannose type and complex type N-glycans, the two major classes of N-glycans (top), and distribution of major classes of N-glycans in the total cell surface glycome in MCF10A cells quantified by LC/MS-MS (bottom). **B,** Heatmap of the expression of complex N-glycans following SNAIL-induced EMT. Data presented are the mean of three biological replicates with one to two technical replicates each. \*, P < 0.0301 (unpaired, two-tailed t test with Benjamini-Hochberg FDR correction). **C,** Heatmap of the expression of complex N-glycans following treatment with 20  $\mu$ mol/L fluvastatin in vector cells (left column) and SNAIL-overexpressing cells (right column). Black arrowheads, glycan species that are significantly upregulated in EMT (**B**) and downregulated by fluvastatin treatment in SNAIL-overexpressing cells (**C,** right column), but not affected by fluvastatin treatment in control cells (**C,** left column). Data presented are the mean of three biological replicates with one to two technical replicates each. EtOH, ethanol (treatment control). \*,P<0.0108 (unpaired, two-tailed t test with Benjamini-Hochberg FDR correction). **D** and **E,** LC/MS-MS quantification of the fucosylated triantennary (N3H3; **D**) and tetra-antennary (N4H4; **E**) N-glycan structures indicating that these N-glycans are upregulated in EMT, which is inhibited by 20  $\mu$ mol/L fluvastatin treatment for 48 hours. Complete data can be found in Supplementary Table S2. Bars, mean + SD, n = 3. ns, not significant; \*,P<0.05 (one-way ANOVA with a Bonferroni posttest, comparing selected pairs of columns).

mechanism of which remains to be explored. To test this model, we evaluated whether fluvastatin at concentrations that partially inhibit both NXS/T(X≠P) site occupancy and Golgi *N*-glycan branching, may display synergy with loss of the branching enzymes MGAT1 or MGAT5. MGAT1 knockout blocks all branching, whereas MGAT5 knockout eliminates the last branch to be added (Sup-

plementary Fig. S4A–S4C). Consistent with this hypothesis, the  $IC_{50}$  for fluvastatin treatment was inversely proportional to levels of complex-type branched N-glycans (MDA-MB-231 wild-type > MGAT5 deficient > MGAT1 deficient cells; Supplementary Fig. S4D). The order of interaction between fluvastatin treatment and these Golgi enzymes is consistent with the known effects of

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mutating these enzymes in cancer models (19, 20, 34). Taken together, our data suggest that fluvastatin treatment impairs the EMT-driven expression of complex type branched N-glycans on multiple cell surface glycoproteins associated with EMT and metastasis (16–18).

# Postsurgical adjuvant fluvastatin treatment delays metastatic outgrowth and prolongs survival

As the transition to a more mesenchymal state is associated with cancer metastasis (35), we evaluated the efficacy of fluvastatin treatment against a postsurgical metastatic breast cancer model *in vivo*. We

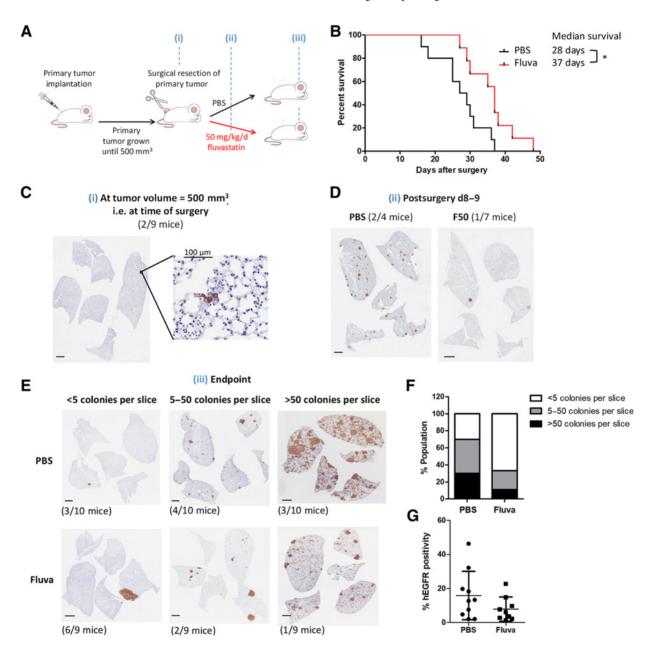


Figure 4.

Postsurgical adjuvant fluvastatin treatment delays metastasis and prolongs survival. **A,** Schematic of the mouse model and the time points where mice were sacrificed. **B,** Fluvastatin treatment at 50 mg/kg/day orally significantly prolonged survival of mice with postsurgical metastatic breast cancer. \*, P < 0.05 (log-rank test, n = 12). **C-E,** At the indicated time point, mice were sacrificed and lungs were resected for FFPE. Two sequential slices were obtained every 200  $\mu$ m for three depths containing all five lobes and stained for H&E or hEGFR. Metastatic colonies were identified by hEGFR staining and confirmed by H&E. At the time of surgery, mouse lungs were clear of metastatic colonies or had very small lesions (**C**). At 8 to 9 days postsurgery, mice receiving fluvastatin treatment had less metastatic tumor load than mice receiving PBS control (**D**). F50, 50 mg/kg/day fluvastatin treatment group. At endpoint, fluvastatin treatment decreased the proportion of mice with heavy (>50 colonies/slice) or intermediate metastatic burden (5 to 50 colonies/slice). The proportion of mice with light metastatic burden (<5 colonies/slice) were increased (**E**). Each lung slice was independently reviewed by two personnel. Representative images are shown. Scale bars, 1 mm except in **C** inset where it is 100  $\mu$ m as indicated. **F** and **G,** Quantification of metastatic load by colony count (**F**) or by hEGFR positivity (**G**) both showed lowered metastatic load in fluvastatin-treated mice.

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used the LM2–4 model of postsurgical advanced metastatic breast cancer, derived from the MDA-MB-231 cell line, which spontaneously metastasizes to the mouse lung (21). After subcutaneous implantation, we allowed LM2–4 xenografts to reach approximately 500 mm<sup>3</sup>, then excised the primary tumors to mimic first-line surgical treatment (**Fig. 4A**; Supplementary Fig. S5A; ref. 21). After surgery, mice were randomly assigned to receive PBS (vehicle control) or 50 mg/kg fluvastatin orally, daily (**Fig. 4A**). Adjuvant fluvastatin treatment significantly prolonged overall survival by >30% in this mouse model (**Fig. 4B**).

To evaluate the potential antimetastatic activity of fluvastatin, we analyzed lung samples at three time points during the course of the experiment: (i) at time of surgery; (ii) at 8 to 9 days postsurgery; and (iii) at endpoint (Fig. 4A). Metastases to the mouse lung were identified by lesions that stained positive for human EGFR (hEGFR) and confirmed by H&E (Supplementary Fig. S5B). At time of surgery, most mice (7/9) did not have any observable metastases, and 2 of 9 mice had very small lung lesions (Fig. 4C). At 8 to 9 days postsurgery, adjuvant fluvastatin treatment effectively inhibited metastatic outgrowth from disseminated breast cancer cells (Fig. 4D). Finally, at endpoint, fluvastatin treatment decreased the proportion of mice with heavy (>50 colonies/slice) or medium (5-50 colonies/slice) metastatic burden, while increasing the proportion of mice with light metastatic burden (<5 colonies/slice; Fig. 4E-G). Consistently, autopsy at endpoint indicated that the majority of PBS-treated mice reached endpoint due to lung metastases, whereas fluvastatin-treated mice largely reached endpoint from primary tumor regrowth (Supplementary Fig. S5C). We have thus demonstrated, using an in vivo postsurgical metastatic breast cancer model that closely follows the course of human disease, that adjuvant fluvastatin use can delay the development of metastases and prolong overall survival.

# **Discussion**

Metastatic recurrence is the main cause of breast cancer deaths (1). Since statins are already clinically approved, inexpensive, and have excellent safety profiles that permit their long-term use, these drugs are ideal candidates for repurposing as metastasis prevention agents. Identifying the mechanism of the antimetastatic breast cancer activity of statins, also provides an opportunity to identify novel actionable biomarkers that distinguish patients who will benefit from statin treatment. Here, we show that sensitivity to fluvastatin in the context of breast cancer cell EMT is mediated by inhibition of protein Nglycosylation, providing a mechanistic explanation for previous observations showing statin treatment can block *N*-glycosylation of specific membrane glycoproteins such as P-gp (36), IGFR (37), EpoR (38), and FLT3 (39). Surprisingly, we also show that fluvastatin exposure impaired Golgi pathway biosynthesis of complex type tri- and tetra-antennary N-glycans associated with breast cancer EMT and metastasis (16-18, 40). The cooperative effects of NXS/T(X≠P) site number and Golgi-associated N-glycan branching is important for cell surface retention and signaling by growth factor receptors (EGF, TGF $\beta$ , FGF), and thereby EMT (16). Indeed, we observed cooperative inhibition of fluvastatin and loss of N-glycan branching enzymes MGAT1 or MGAT5 in MDA-MB-231 breast cancer cells. Adjuvant use of fluvastatin delayed breast cancer metastasis and prolonged survival by >30% in a postsurgical model of breast cancer metastasis, supporting the immediate evaluation of fluvastatin in the adjuvant breast cancer space, as well as further development of glycosylation inhibitors to prevent metastatic recurrence in breast cancer (41).

Altered protein N-glycosylation, notably the upregulation of tri- and tetra-antennary complex type glycans, is pivotal to EMT (18, 42, 43) and is a potent modulator of metastatic potential (14–18, 40). High levels of tri- and tetra-antennary complex N-glycans are associated with disease progression and poor prognosis in breast and colon cancer patients (44, 45). Here, we demonstrate that EMT-associated upregulation of complex N-glycans can be targeted by inhibiting the MVA pathway using fluvastatin. The assembly of each N-glycan requires 8 dolichol molecules (46). However, dolichol cannot be efficiently recycled (47) and accumulates with aging (48), indicating that cells must continuously synthesize dolichol. Our results show that fluvastatin treatment can exploit this metabolic vulnerability in metastatic breast cancer cells, reducing protein N-glycosylation on glycoproteins critical to metastasis.

Strong epidemiologic evidence has shown that the risk of postsurgical breast cancer recurrence is reduced by 30% to 60% in patients who are taking statins (2–5). Here, we used a mouse model of postsurgical metastatic breast cancer that closely mimics first-line treatment and disease progression (21), to test the efficacy of fluvastatin when used in the adjuvant setting to prevent metastasis, where long-term use of this safe and inexpensive drug could have considerable clinical benefit. Adjuvant fluvastatin treatment effectively delayed metastasis and prolonged survival by >30% at a daily dose of 50 mg/kg in the mouse, equivalent to a well-tolerated daily dose of 4 mg/kg in human patients (49). Our results support the immediate clinical evaluation of fluvastatin at this well-tolerated dose in the adjuvant setting in patients with breast cancer patients. Moreover, this work reinforces that targeting aberrant tumor metabolism is a feasible strategy for the development of novel and effective anticancer agents.

### **Authors' Disclosures**

No disclosures were reported.

### **Authors' Contributions**

R. Yu: Investigation, writing-original draft. J. Longo: Investigation, writing-review and editing. J.E. van Leeuwen: Investigation, writing-review and editing. C. Zhang: Investigation, writing-review and editing. E. Branchard: Investigation, writing-review and editing. M. Elbaz: Investigation, writing-review and editing. D.W. Cescon: Investigation, writing-review and editing. J.W. Dennis: Investigation, writing-review and editing. L.Z. Penn: Supervision, investigation, writing-review and editing.

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### **Blocking MVA Pathway Attenuates Breast Cancer Metastasis**

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# Mevalonate Pathway Inhibition Slows Breast Cancer Metastasis via Reduced *N*-glycosylation Abundance and Branching

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# Computational pharmacogenomic screen identifies drugs that phenocopy dipyridamole and

# 2 potentiate anti-breast cancer activity of statins

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# 22 Abstract

Statins are a family of FDA-approved cholesterol-lowering drugs that inhibit the rate-limiting enzyme of the metabolic mevalonate pathway, which have been shown to have anti-cancer activity. As therapeutic 24 efficacy increases when drugs are used in combination, we sought to identify agents, like dipyridamole, 25 that potentiate statin-induced tumor cell death. As an antiplatelet agent dipyridamole will not be suitable 26 for all cancer patients. Thus, we developed an integrative pharmacogenomics pipeline to identify agents 27 that were similar to dipyridamole at the level of drug structure, in vitro sensitivity and molecular 28 29 perturbation. To enrich for compounds expected to target the mevalonate pathway, we took a pathway-centric approach towards computational selection, which we called mevalonate drug network 30 fusion (MVA-DNF). We validated two of the top ranked compounds, nelfinavir and honokiol and 31 demonstrated that, like dipyridamole, they synergize with fluvastatin to potentiate tumour cell death by blocking the restorative feedback loop. This is achieved by inhibiting activation of the key transcription factor that induces mevalonate pathway gene transcription, sterol regulatory element-binding protein 2 (SREBP2). Mechanistically, the synergistic response of fluvastatin-nelfinavir and fluvastatin-honokiol was 35 associated with similar transcriptomic and proteomic pathways, indicating a similar mechanism of action between nelfinavir and honokiol when combined with fluvastatin. Further analysis identified the canonical 37 38 epithelial-mesenchymal transition (EMT) gene, E-cadherin as a biomarker of these synergistic responses across a large panel of breast cancer cell lines. Thus, our computational pharmacogenomic approach can 39 40 identify novel compounds that phenocopy a compound of interest in a pathway-specific manner.

- 41 **Key words:** Drug combinations; cancer therapy; mevalonate pathway; drug similarity; drug perturbations;
- 42 pharmacogenomics; breast cancer

# 43 Significance Statement:

We provide a rapid and cost-effective strategy to expand a class of drugs with a similar phenotype. Our parent compound, dipyridamole, potentiated statin-induced tumour cell death by blocking the statin-triggered restorative feedback response that dampens statins pro-apoptotic activity. To identify compounds with this activity we performed a pharmacogenomic analysis to distinguish agents similar to dipyridamole in terms of structure, cell sensitivity and molecular perturbations. As dipyridamole has many reported activities, we focused our molecular perturbation analysis on the pathway inhibited by statins, the metabolic mevalonate pathway. Our strategy was successful as we validated nelfinavir and honokiol as dipyridamole-like compounds at both the phenotypic and molecular levels. Our developed approach sets the framework for future pathway-centric identification of drug combinations.

# 53 Introduction

Triple-negative breast cancer (TNBC) is an aggressive subtype of breast cancer (BC) that has a poorer prognosis amongst the major breast cancer subtypes<sup>1</sup>. This poor prognosis stems from our limited understanding of the underlying biology, the lack of targeted therapeutics, and the associated risk of distant recurrence occurring predominantly in the first two years after diagnosis<sup>2</sup>. Cytotoxic anthracycline and taxane-based chemotherapy regimens remain the primary option for treating TNBC, with other classes of investigational agents in various stages of development. Therefore, novel and effective therapeutics are urgently needed to combat this difficult-to-treat cancer.

Altered cellular metabolism is a hallmark of cancer<sup>3,4</sup> and targeting key metabolic pathways can 61 provide new anti-cancer therapeutic strategies. Aberrant activation of the metabolic mevalonate (MVA) pathway is a hallmark of many cancers, including TNBC, as the end-products include cholesterol and other non-sterol isoprenoids essential for cellular proliferation and survival<sup>5-7</sup>. The statin family of FDA-approved cholesterol-lowering drugs are potent inhibitors of the rate-limiting enzyme of the MVA pathway, 3-hydroxy-3-methylglutaryl-CoA reductase (HMGCR)<sup>6</sup>. Epidemiological evidence shows that statin-use as a cholesterol control agent is associated with reduced cancer incidence8 and recurrence9-13. Specifically, in BC, a 30-60% reduction in recurrence is evident amongst statin users, and decreased risk is associated with increased statin duration<sup>9,12,14,15</sup>. We and others have shown preclinically that Estrogen Receptor (ER)-negative BC cell lines, including TNBC, are preferentially sensitive to statin-induced apoptosis 16,17. Moreover, three preoperative clinical trials investigating lipophilic statins (fluvastatin, 71 atorvastatin) in human BC, showed statin use was associated with reduced tumour cell proliferation and increased apoptosis of high-grade BCs<sup>18,19</sup>. Thus, evidence suggests that statins have potential utility in the treatment of BC, including TNBC.

Drug combinations that overcome resistance mechanisms and maximize efficacy have potential advantages as cancer therapy. Blocking the MVA pathway with statins triggers a restorative feedback response that significantly dampens the pro-apoptotic activity of statins<sup>20,21</sup>. Briefly, statin-induced

depletion of intracellular sterols, triggers the inactive cytoplasmic, precursor form of the transcription factor sterol regulatory element-binding protein 2 (SREBP2) to be processed to the active mature nuclear form, which induces transcription of MVA genes, including *HMGCR* and the upstream synthase (*HMGCS1*)<sup>22</sup>. We have shown that inhibiting SREBP2 using RNAi, or blocking SREBP2 processing using the drug dipyridamole, significantly potentiates the ability of statins to trigger tumor cell death<sup>21,23,24</sup>.

83 Dipyridamole is an FDA-approved antiplatelet agent commonly used for secondary stroke prevention, and since statin-dipyridamole has been co-prescribed for other indications, it may be safely used in the treatment of cancer<sup>25</sup>. However, the exact mechanism of dipyridamole action remains unclear as it has been reported to regulate several biological processes. Moreover, the antiplatelet activity of dipyridamole may be a contraindication for some cancer patients. Thus, to expand this dipyridamole-like 88 class of compounds that can potentiate the pro-apoptotic activity of statins, we employed a pathway-centric approach to develop a computational pharmacogenomics pipeline to distinguish compounds that are predicted to behave similarly to dipyridamole in the regulation of MVA pathway genes. Using this strategy, we identified several potential dipyridamole-like compounds including nelfinavir, an FDA-approved antiretroviral drug and honokiol, a compound isolated from Magnolia spp... which synergise with statins to drive tumour cell death by blocking the restorative feedback response. Correlation analysis of the statin-compound combination synergy score, with basal mRNA expression across a large panel of BC cell lines, identified CDH1 expression as a predictive biomarker of response to these combination therapies. Taken together, we provide a new strategy to identify compounds that behave functionally similar to dipyridamole in an MVA pathway-specific manner and suggest that this approach will have broad utility for compound discovery across a wide variety of drug/pathway interactions. 99

## 100 Results

## 01 Computational pharmacogenomic pipeline identifies dipyridamole-like compounds

We developed a computational pipeline that harnesses high-throughput pharmacogenomics analysis to identify dipyridamole-like compounds that synergise with statins by blocking MVA pathway gene

expression to inhibit cancer cell viability (Figure 1A and 1B). The LINCS-L1000 (L1000)<sup>26</sup> and NCI-60<sup>27</sup> datasets were chosen for these studies as they contain cellular drug-response data at the molecular and proliferative levels across a panel of cell lines, respectively. From these datasets we extracted drug 106 107 structure, drug-induced gene perturbation data (gene expression changes after drug treatment) and 108 drug-cell line sensitivity profiles for the 238 compounds common to both datasets. Treating each level of 109 data as a separate layer, we restricted the drug-gene perturbation layer from the L1000 dataset to only include the six MVA pathway genes present in the L1000 landmark gene set, to enrich for compounds 110 that phenocopy the MVA pathway-specific activity of dipyridamole (Supplemental Figure 1A and Figure 111 112 1B). With dipyridamole as the reference input, we generated an MVA pathway-specific Drug Network 113 Fusion (MVA-DNF) through the integration of three distinct data layers: drug structure, MVA-specific drug 114 perturbation signatures, and drug-cell line sensitivity profiles. For each of the data layers incorporated into 115 MVA-DNF, a 238x238 drug affinity matrix was generated, indicating drug similarity for a selected drug 116 against all other drugs (further described in methods). Briefly, we first computed similarity between pairs 117 of drug structures using the Tanimoto index, prior to generating the structure affinity matrix. We computed the similarity for every pair of drug sensitivity profiles using the pearson correlation coefficient, prior to generating an affinity matrix for the drug sensitivity layer. To create an affinity matrix for the MVA-specific 119 120 perturbation layer, we first calculated the pearson correlation coefficient on the drug perturbation signatures that were filtered to include only MVA genes (Figure 1B). By integrating the three affinity 121 matrices using similarity network fusion, and filtering hits using permutation testing, we subsequently 122 123 identified 23 potential dipyridamole-like compounds that scored as significant (permutation test p-value 124 <0.05) (Figure 1B, Supplementary Table 1, and further explained in methods). Represented as a 125 network, these hits display strong connectivity to dipyridamole as well as to each other.

We assessed the contribution of the different data layers (drug structure, drug-gene perturbation, and drug-cell line sensitivity) within the MVA-DNF for each of these 23 compounds (**Figure 1C**). Drug perturbation played a significant role in the selection of novel dipyridamole-like compounds compared to drug sensitivity and drug structure. This reflects upon the specificity of the MVA-DNF towards the MVA pathway, in comparison to a 'global' drug taxonomy that is not MVA pathway-centric. Further assessment of the six MVA-pathway gene expression changes within the drug perturbation signatures highlights

comparable expression profiles between dipyridamole and the novel dipyridamole-like compounds (Supplementary Figure 1B).

134 To prioritize and further interrogate the identified dipyridamole-like hits we annotated the 23 compounds by reported mechanism of action and potential clinical utility. Two compounds were excluded 136 from further analysis as they were not clinically useful: chromomycin A3, a reported toxin<sup>28</sup>, and cadmium chloride, an established carcinogen<sup>29</sup>. The remaining 21 compounds segregated into ten distinct 138 categories, demonstrating that dipyridamole-like hits identified through our pharmacogenomics pipeline spanned a diverse chemical and biological space (Supplemental Figure 1C, Supplemental Table 1). 139 We sought to validate the five hits that scored as most similar to dipyridamole, which belong to four 140 different categories: RAF/MEK inhibitor (selumetinib); antiretroviral (nelfinavir); anthracycline (doxorubicin, 141 142 mitoxantrone); and natural product (honokiol). The reliability of our approach is evidenced by previous work by our lab and others that the anthracycline doxorubicin potentiates lovastatin in ovarian cancer cells<sup>30</sup> and RAF/MEK inhibitors such as PD98059 and more recently selumetinib (AZD6244) have been reported to synergise with statins to potentiate cancer cell death<sup>31,32</sup>. The molecular targeted compound (selumetinib) along with the novel three compounds were advanced for further evaluation (nelfinavir, 146 147 mitoxantrone and honokiol) (Supplemental Table 1).

# Dipyridamole-like compounds induce apoptosis in combination with fluvastatin and block the sterol-regulated feedback loop of the MVA pathway

150 To investigate whether the dipyridamole-like compounds could potentiate fluvastatin-induced cell death 151 similar to that of dipyridamole, we first investigated sensitivity to increasing statin exposure in combination with a sub-lethal concentration of the novel dipyridamole-like compounds in two breast cancer cell line models with differential sensitivity to fluvastatin as a single agent (Supplemental Figure 2)16. As seen 153 with dipyridamole, we observed similar potentiation of fluvastatin (lower IC50) when combined with a 154 sub-lethal concentration of selumetinib, nelfinavir, or honokiol, but not mitoxantrone (Supplemental 156 Figure 3 and Supplemental Figure 4). Therefore, mitoxantrone was no longer pursued as a 157 dipyridamole-like compound. To determine the nature of the anti-proliferative activity of the statin-compound combinations, we evaluated cell death by fixed propidium iodide staining and PARP 158 cleavage with selumetinib, nelfinavir, or honokiol. Our data indicate that all three compounds, at 159

160 concentrations that have minimal effects as single agents, phenocopy dipyridamole and potentiate 161 statin-induced cell death (**Figure 2**).

162 Mechanistically, statins induce a feedback response mediated by SREBP2 that has been shown to dampen cancer cell sensitivity to statin exposure. Moreover, blocking the SREBP2-mediated feedback 163 164 response with dipyridamole enhances statin-induced cancer cell death21,23. We have shown that 165 dipyridamole blocks the regulatory cleavage and therefore activation of SREBP2, decreasing mRNA expression of SREBP2-target genes of the MVA pathway. As expected, statin treatment induced the 166 expression of SREBP2-target genes, INSIG1, HMGCR and HMGCS1 after 16 hr of treatment, which was 167 blocked by the co-treatment with dipyridamole (Figure 3A, Supplemental Figure 5A). Similarly, nelfinavir 168 169 and honokiol both phenocopy dipyridamole and block the statin-induced expression of MVA pathway genes (Figure 3A, Supplemental Figure 5A). By contrast, co-treatment with selumetinib did not block 171 the fluvastatin-induced feedback response. Housekeeping gene RPL13A was used as a reference gene 172 for normalizing mRNA between samples and was not altered in the presence of the compounds 173 (Supplemental Figure 5B).

Because SREBP2 is synthesized as an inactive full-length precursor that is activated to the mature nuclear form upon proteolytic cleavage, we used western blot analysis to assess the protein levels of both full-length and mature SREBP2. Nelfinavir and honokiol, but not selumetinib, blocked fluvastatin-induced SREBP2 processing and cleavage similar to that of dipyridamole (**Figure 3B-C**). This suggests that while selumetinib is a strong potentiator of statin-induced cell death, it does not mimic the action of dipyridamole by blocking the restorative feedback response (**Figure 3, Supplemental Figure 5**).

# Novel statin-compound combinations phenocopy synergistic activity of fluvastatin-dipyridamole

# 181 in a breast cancer cell line screen

To investigate whether the potentiation of fluvastatin by nelfinavir and honokiol has broad applicability and examine the determinants of synergy, we further evaluated these statin-compound combinations across a large panel of 47 breast cancer cell lines. A 5-day cytotoxicity assay (sulforhodamine B assay; SRB) in a 6x10 dose matrix was used to assess fluvastatin-compound efficacy. As expected, dipyridamole treatment resulted in a dose-dependent decrease in fluvastatin IC<sub>50</sub> value (**Supplemental Figure 6A**). Similarly, nelfinavir and honokiol treatment also resulted in a dose-dependent decrease in fluvastatin IC<sub>50</sub> values

similar to that of dipyridamole (Supplemental Figure 6A). This suggests that our computational 189 pharmacogenomic pipeline predicts compounds that potentiate statin activity similarly to dipyridamole 190 across multiple subtypes of breast cancer cell lines.

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Next, we evaluated statin-compound synergy using the Bliss Index model derived using 192 SynergyFinder<sup>33</sup> across the panel of breast cancer cell lines. Like the dose-dependent sensitivity data, we observed that the trend in synergy between fluvastatin-dipyridamole across the 47 breast cancer cell lines was also seen with fluvastatin-nelfinavir and fluvastatin-honokiol (Figure 4A). Since we had previously identified that the basal subtype of breast cancer cell lines were more sensitive to single agent fluvastatin<sup>16</sup>, we evaluated whether basal breast cancer cell lines were similarly more sensitive to the fluvastatin-compound combinations. Using the SCMOD2 subtyping scheme, we evaluated the basal, HER2 and luminal B status of each cell line and determined synergy is independent of BC subtype (Supplemental Figure 6B). This suggests these statin-compound combinations can be applied to multiple breast cancer subtypes as therapeutic options, however a biomarker to distinguish those with high-sensitivity remained unclear.

Because the synergy profiles across the three fluvastatin-compound combinations were significantly similar (Fluva-NFV vs Fluva-DP, R=0.55, p-value=7.1e-05; Fluva-HNK vs Fluva-DP, R=0.62, <u>p-value=5.5e-06</u>; Fluva-NFV vs Fluva-HNK,  $R_s=0.82$ , <u>p-value < 2.2e-16</u>), we next interrogated whether 204 baseline gene and/or protein expression profiles across the cell lines for each of the statin-compound 205 206 combinations was associated with high-sensitivity and the synergistic response. To further interrogate the similarity between the statin-compound combinations, we correlated the RNA-seg and reverse phase protein array (RPPA) profiles of the 47 breast cancer cell lines<sup>34</sup> with their synergy scores for each of the statin-compound combinations. These represent the transcriptomic and proteomic state associations with synergy for each combination. We then evaluated the correlation between these associations across the different combinations (Fluva-DP vs Fluva-NFV; Fluva-DP vs Fluva-HNK; Fluva-NFV vs Fluva-HNK) (Figure 4B) and identified a high positive correlation between the combinations on the basis of similar 212 transcriptomic associations (Fluva-NFV vs Fluva-DP, R<sub>s</sub>=0.73, p-value < 2.2e-16; Fluva-HNK vs Fluva-DP,  $R_{s}=0.77$ , p-value < 2.2e-16; Fluva-NFV vs Fluva-HNK,  $R_{s}=0.87$ , p-value < 2.2e-16). This high positive 214 correlation was also seen between these combinations using proteomic (RPPA) and synergy data

(Supplemental Figure 6C) suggesting that similar pathways were associated with the synergistic 217 response to the three statin-compound combinations.

218 To compare the overlap in pathways associated with sensitivity to fluvastatin alone, and synergy between the fluvastatin-compound combinations, a Gene Set Enrichment Analysis (GSEA) using the 219 220 Hallmark Gene Set Collection was performed<sup>35</sup>. These results showed that enriched pathways were 221 highly similar amongst fluvastatin alone and the fluvastatin-compound combinations with one of the highest scoring enriched pathways being epithelial-mesenchymal transition (EMT) (Figure 4C). To further 222 support this finding and because of the low agreement amongst EMT gene sets, we also evaluated four 223 224 additional GSEA EMT pathways and observed similar trends between fluvastatin alone and the 225 fluvastatin-compound combinations for each of the EMT gene sets (Supplemental Figure 6D). As we 226 and others have published that mesenchymal-enriched cancer cell lines are more sensitive to statin monotherapy36,37, this data suggests that fluvastatin is the primary driver of response to these 228 statin-compound combinations. This is consistent with fluvastatin inhibiting the MVA pathway, triggering 229 the SREBP-mediated feedback response, which in turn is inhibited by the second compound 230 (dipyridamole, nelfinavir or honokiol) in these fluvastatin-compound combinations.

We then examined the individual genes within each of the GSEA EMT pathways to identify a 232 biomarker of the synergistic response to the statin-compound combinations. Within the EMT field, gene set signatures have low agreement (Supplemental Figure 7). Previously our lab published a binary 233 234 classifier of five EMT genes to predict increased sensitivity to stating across 631 cell lines representing multiple cancer types<sup>36</sup>. We evaluated whether this binary five-gene classifier could also predict synergy 236 between the different fluvastatin-compound combinations. The five-gene EMT classifier could predict sensitivity to fluvastatin alone across the panel of breast cancer cell lines (Supplemental Figure 8A), but failed to predict synergy to the fluvastatin-compound combinations (Supplemental Figure 8B). We next interrogated each of the five genes individually. Interestingly, low gene expression and protein levels of E-cadherin (CDH1), a canonical epithelial state marker, not only predicted sensitivity to fluvastatin but also demonstrated synergy across all three fluvastatin-compound combinations (Figure 5A-B and Supplemental Figure 8C). To validate our findings, we probed for basal E-cadherin protein expression across a panel of nine breast cancer cell lines and showed that synergy to the novel statin-compound 243 combinations is positively associated with low E-cadherin protein expression (Figure 5C-D). Overall, this

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245 data validates that our MVA-DNF pharmacogenomics strategy can successfully distinguish compounds 246 that, like-dipyridamole, can synergize with statins to trigger BC tumour cell death.

#### **Discussion** 247

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By blocking the statin-induced restorative feedback response, dipyridamole potentiates statin efficacy to 248 249 drive tumour cell death<sup>21,23</sup>. However, as the platelet-aggregation activity of dipyridamole may preclude its use in some cancer patients, our goal was to expand this class of agents that potentiate the pro-apoptotic activity of statins. To this end, we developed a novel computational pharmacogenomics pipeline that distinguished compounds that are similar to dipyridamole at the level of structure, MVA pathway gene expression perturbation, and anti-proliferative activity. We identified 23 potential dipyridamole-like compounds and then evaluated several of the top hits for their ability to phenocopy dipyridamole. By this 254 255 approach, we validated that nelfinavir and honokiol sensitize breast cancer cell lines to statin-induced cell 256 death by blocking the statin-induced restorative feedback loop. Analysis of basal RNA and protein expression identified the canonical EMT gene CDH1 (E-cadherin) as a biomarker of the synergistic 258 response to both statin-nelfinavir and statin-honokiol treatment. Thus, despite the polypharmacology of 259 dipyridamole, the computational pharmacogenomics screen described here successfully identified 260 synergistic statin-compound drug combinations as novel anti-breast cancer therapies.

261 By integrating a computational pharmacogenomics pipeline and cellular validation, we provide a novel, rapid, broadly-adaptable, and inexpensive strategy to distinguish compounds with similar biological activities. We show here that this approach overcomes a major problem associated with working with 264 drugs, such as dipyridamole, that possess a complex polypharmacology. Dipyridamole was originally identified for its anti-platelet aggregation activity, but its mechanism of action spans a wide variety of 265 266 functions. Several activities of dipyridamole have been described including an inhibitor of phosphodiesterases<sup>38</sup>, nucleoside transport<sup>39</sup> and glucose uptake<sup>40</sup>. By restricting the gene perturbation 267 layer of our pharmacogenomics pipeline to MVA-pathway genes, we successfully circumvented many of 268 these varied functions and focused specifically on identifying dipyridamole-like drugs whose mechanisms 269

270 centre on the mevalonate pathway. This highlights that the computational pharmacogenomics pipeline 271 described here is likely tunable to drug-specific structural features, activities and signaling pathways.

272 The new statin-sensitizing agents identified here using MVA-DNF include nelfinavir and honokiol, 273 which like dipyridamole, inhibit statin-induced SREBP2 cleavage and activation<sup>21,23</sup>. To date, a number of 274 SREBP2 inhibitors have been identified that block SREBP2 processing from its precursor to mature form, 275 including fatostatin, betulin, and xanthohumal (ER-Golgi translocation), PF-429242 (site-1 protease (S1P) cleavage), and nelfinavir and 1,10-phenanthroline (site-2 protease (S2P) cleavage). Additional SREBP2 276 inhibitors include BF175 and tocotrienols that target SREBP2 transcriptional activity and protein stability, 277 278 respectively. However, other than nelfinavir, these agents are either under development for clinical 279 application or are only used for research purposes. The S2P protease inhibitor nelfinavir (marketed as 280 Viracept) was approved for use in 1997 as an antiviral for the treatment of HIV, and is under evaluation for 281 its utility as an anti-cancer agent<sup>41-46</sup>. This further reinforces that the novel combination of statin-nelfinavir 282 is immediately actionable and should be evaluated without delay. We suggest the fluvastatin-nelfinavir 283 combination is prefered compared to other statins, as distinct cytochrome P450 enzymes are used to 284 process these agents, thereby preventing adverse drug-drug interactions <sup>47</sup>.

285 To the best of our knowledge, this is the first study to report honokiol to synergize with statins in the context of cancer. Honokiol is a natural product commonly used in traditional medicine and has a 286 287 number of reported mechanisms of action. How honokiol inhibits SREBP2 remains unknown, but this is the first study to interrogate its activity in SREBP2 translocation and gene expression alone and in 288 combination with statins. As honokiol and its derivatives are presently under development, our findings of 289 290 this new mechanism for honokiol can be incorporated into future analysis of honokiol's structure-activity relationships. Two additional predicted dipyridamole-like compounds tested in this study include 292 selumetinib and mitoxantrone; the former was observed to sensitize breast cancer cells to statin-induced 293 apoptosis, but the latter did not. Selumetinib functions through an SREBP2-independent mechanism, 294 which suggests that not only is the identification of feedback-dependent mechanisms beneficial for cancer treatment, but that additional feedback-independent classes of statin-sensitizers can be identified. This is

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particularly relevant, as some multiple myeloma and prostate cancer cell lines have been shown to lack 297 the feedback response<sup>20,21,48</sup>.

298 The data presented here have important clinical implications for statins as anti-cancer agents. 299 Despite encouraging positive results from window-of-opportunity clinical trials in breast cancer using 300 statins as a single-agent, a modest effect was observed with some but not all patients<sup>18,19</sup>. Accordingly, 301 discovery of novel therapeutic combinations is necessary to achieve significant clinical impact. Since 302 nelfinavir is poised for repurposing, and statins have demonstrated anti-cancer activity in early-phase 303 clinical trials<sup>18,19,49-54</sup>, clinical studies to further evaluate the therapeutic benefit of this combination could 304 proceed swiftly. Furthermore, analyses of gene and protein expression data across our large collection of 305 breast cancer cell lines identified a mesenchymal-enriched gene expression profile as highly predictive of 306 sensitivity to all three statin-compound (dipyridamole, nelfinavir or honokiol) combinations. We further 307 showed that CDH1 expression levels served as a biomarker of synergistic response. This reinforces the 308 dipyridamole-like behaviour of nelfinavir and honokiol, identified by our pharmacogenomics pipeline, and 309 creates opportunities for biomarker-guided clinical studies (Figure 5E). We also observed this synergistic response to the combination therapies across multiple subtypes of breast cancer. Previously we had 311 identified the basal-like breast cancer subtype as more sensitive to statins alone; here, we have expanded the scope of statin treatment to encompass the wider breast cancer population which can be 313 distinguished on the basis of *CDH1* expression.

Collectively, our computational pharmacogenomics pipeline underscores the ability to identify 315 compounds that phenocopy our parent compound of interest (dipyridamole), importantly, in a pathway-specific manner (mevalonate). Our study also provides a strong preclinical rationale to warrant further investigation of the fluvastatin-nelfinavir combination, as well as the utility of CDH1 as a biomarker of response. The availability of these approved, well-tolerated drugs as well as simple methods for assessing CDH1 expression could enable rapid translation of these findings to improve breast cancer outcomes.

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# Methods

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Our analysis design encompasses both computational identification and refinement of dipyridamole-like compounds, as well as experimental validation of the most promising candidates.

# 324 MVA-specific Drug Network Fusion (MVA-DNF).

325 We developed a computational pharmacogenomic pipeline (MVA-DNF) that facilitates identification of 326 analogues to dipyridamole, by elucidating drug-drug relationships specific to the mevalonate (MVA) 327 pathway. MVA-DNF briefly extends upon some principles of the drug network fusion algorithm we had 328 described previously<sup>55</sup>, by utilizing the similarity network fusion algorithm across three drug taxonomies 329 (drug structures, drug perturbation, and drug sensitivity). Drug structure annotations and drug 330 perturbation signatures are obtained from the LINCS-L1000 dataset<sup>26</sup>, and drug sensitivity signatures are 331 obtained from the NCI-60 drug panel<sup>27</sup>. Drug structure annotations were converted into drug similarity matrices by calculating tanimoto similarity measures<sup>56</sup> and extended connectivity fingerprints<sup>57</sup> across all 333 compounds, as described previously<sup>55</sup>. We extracted calculated Z-scores from drug-dose response 334 curves for the NCI-60 drug sensitivity profiles, and computed Pearson correlation across these profiles to 335 generate a drug similarity matrix based on sensitivity<sup>27</sup>. We used our PharmacoGx package (version 336 1.6.1) to compute drug perturbation signatures for the L1000 dataset using a linear regression model, as 337 described previously58. The regression model adjusts for cell specific differences, batch effects and 338 experiment duration, to generate a signature for the effect of drug concentration on the transcriptional 339 state of a cell. This facilitates identification of gene expression which has been significantly perturbed due 340 to drug treatment. These signatures indicate transcriptional changes that are induced by compounds on cancer cell lines. We further refined the drug perturbation profiles to a set of six MVA-pathway genes 341 342 (Supplementary Figure 1A) that had been obtained from the literature as well as repositories of pathway-specific gene sets including MSigDB<sup>59</sup>, HumanCyc<sup>60</sup> and KEGG<sup>61,62</sup>. These gene sets include 'mevalonate pathway' and 'superpathway of geranylgeranyldiphosphate biosynthesis I (via mevalonate)' 345 from the HumanCyc<sup>63</sup>, and 'Kegg Terpenoid Backbone Biosynthesis' from KEGG<sup>61,62</sup>. The filtered

346 drug-induced gene perturbation signatures were subsequently used to generate a drug perturbation 347 similarity matrix that elucidates drug-drug relationships based on common transcriptional changes across 348 the six MVA-pathway genes. We calculated similarity between estimated standardized coefficients of drug perturbation signatures using the Pearson correlation coefficient. Finally, we used the similarity network 350 fusion algorithm<sup>64</sup> to integrate the affinity matrices for drug structure, drug sensitivity, and MVA-pathway 351 specific drug perturbation profiles, to generate an MVA-pathway specific drug taxonomy (MVA-DNF) 352 spanning 238 compounds.

#### 353 Identification of analogues to dipyridamole

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We interrogated the MVA-DNF taxonomy using a variety of approaches to identify a candidate set of dipyridamole-like compounds. Using MVA-DNF similarity scores, we first generated a ranking of all compounds closest to dipyridamole. We then conducted a permutation test, to assess the statistical 356 relationship of each ranked drug against dipyridamole. Briefly, drug fusion networks were generated 999 358 times across perturbation, sensitivity, and drug structure profiles, each time using a random set of six genes to generate a 'pathway-centric' drug perturbation similarity matrix. Z-scores and p-values were 360 calculated to determine the statistical relevance of a given dipyridamole-like analog in MVA-DNF, compared to the randomly generated networks. From this, we further ranked a list of dipyridamole-like 362 candidate compounds by their statistical significance within MVA-DNF (p-value<0.05), resulting in 363 identification of 23 candidate dipyridamole analogs.

For each of the dipyridamole analogues we identified, we conducted a similar assessment of significance to identify the relationships of these compounds to dipyridamole and to themselves. A network of dipyridamole-like analogues was rendered using the iGraph R package<sup>65</sup>. Using MVA-DNF similarity scores, we further assessed the contribution of each of the drug layers (structure, sensitivity and perturbation) towards the identification of dipyridamole-like compounds.

We assessed the regulation of gene expression for genes involved in the mevalonate pathway 370 across all of the top-selected dipyridamole analogues, by analyzing the drug-induced transcriptional profiles (described above) of the selected analogues. To further prioritize the dipyridamole analogues, the candidate compounds were categorized, and compounds that were known toxins or carcinogens were excluded from the analysis (**Supplemental Table 1, Supplemental Figure 1C**). Top hits from the largest categories were selected for further validation.

# Cell culture and compounds

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All cell lines were cultured as described previously<sup>16,23</sup>. Briefly, MDA-MB-231 and HCC1937 cells were cultured in Dulbecco's Modified Eagle's Medium (DMEM) and Roswell Park Memorial Institute medium (RPMI), respectively. All media was supplemented with 10% fetal bovine serum (FBS), 100 units/mL penicillin and 100 μg/mL streptomycin. Cell lines were routinely confirmed to be mycoplasma-free using the MycoAlert Mycoplasma Detection Kit (Lonza), and their authenticity was verified by short-tandem repeat (STR) profiling at The Centre for Applied Genomics (Toronto, ON, Canada). Fluvastatin (US Biological F5277-76) was dissolved in ethanol and dipyridamole (Sigma), nelfinavir (Sigma), honokiol (Sigma), mitoxantrone (Sigma) and selumetinib (Selleckchem) were dissolved in DMSO.

### 384 Breast cancer cell lines panel

The breast cancer cell line<sup>34</sup> panel was a generous gift from Dr. Benjamin Neel. RNAseq quantification was done using Kallisto pipeline<sup>66</sup> using human transcriptome reference hg38.gencodeV23<sup>67</sup>. RPPA processed data was downloaded from Marcotte et al. 2016<sup>34</sup>. SCMOD2<sup>68</sup> breast cancer subtypes of these cell lines were obtained using the genefu R package<sup>69</sup>.

## Breast cell-line combination viability screen

We used the sulforhodamine B colorimetric (SRB) proliferation assay<sup>70</sup> in 96-well plates to determine the dose-response curves. To test the combinations in the panel of BC cell lines (See Breast cancer cell lines panel), the fluvastatin/dipyridamole, fluvastatin/nelfinavir and fluvastatin/honokiol drug combinations were tested in a 6x10 dose matrix format covering a range of decreasing concentrations of each drug (highest drug dose was 20 µM fluvastatin, 20 µM dipyridamole, 10 µM nelfinavir and 20 µM honokiol), along with

all their pairwise combinations, as well as the negative control (EtOH and DMSO). We subtracted the average phosphate-buffer saline (PBS) wells value from all wells and computed the standard deviation and coefficient for each replicate. All individually treated well values were normalized to the control well values. We used Prism (v8.2.0, GraphPad Software) to compute dose-response curves with a bottom constraint equal to 0.

### 00 Cell viability assays

3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assays were performed as previously described<sup>20</sup>. Briefly, BC cells were seeded in 750-15,000 cells/well in 96-well plates overnight, then treated in triplicate with 0-400  $\mu$ M fluvastatin for 72 hours. Half-maximal inhibitory concentrations (IC<sub>50</sub>) values were computed from dose-response curves using Prism (v8.2.0, GraphPad Software) with a bottom constraint equal to 0.

### 406 Cell death assays

Cells were seeded at 2.5x10<sup>5</sup> cells/plates and treated the next day as indicated. After 72 hours, cells were fixed in 70% ethanol for >24 h, stained with propidium iodide and analyzed by flow cytometry for the sub-diploid (% pre-G1) DNA population as a measure of cell death as previously described<sup>20</sup>.

### 410 Immunoblotting

Cell lysates were prepared by washing cells twice with cold PBS and lysing cells in RIPA buffer (50 mM Tris-HCl pH 8.0, 150 mM NaCl, 0.5% sodium deoxycholate, 1% NP-40, 0.1% SDS, 1 mM EDTA, protease inhibitors) on ice for 30 min. Lysates were cleared by centrifugation and protein concentrations were determined using the Pierce 660 nm Protein Assay Kit (Thermo Fisher Scientific). Equal amounts of protein were diluted in Laemmli sample buffer, boiled for 5 min and resolved by SDS-polyacrylamide gel electrophoresis. The resolved proteins were then transferred onto nitrocellulose membranes. Membranes were then blocked for 1 hr in 5% milk in tris-buffered saline/0.1 % Tween-20 (TBS-T) at room

temperature, then probed with the following primary antibodies in 5% milk/TBS-T overnight at 4 °C: SREBP-2 (1:250, BD Biosciences, 557037), p44/42 MAPK (ERK1/2) (1:1000, Cell Signaling Technology, 420 4695), PARP (1:1000, Cell Signaling Technology, 9542L), α-Tubulin (1:3000, Calbiochem, CP06) and E-cadherin (1:1000, Cell Signaling Technology, 3195). Primary antibodies were detected using IRDye-conjugated secondary antibodies and the Odyssey Classic Imaging System (LI-COR Biosciences). Densitometric analysis was performed using ImageJ 1.47ν software.

### 24 RNA expression analyses

Total RNA was harvested from sub-confluent cells using TRIzol Reagent (Invitrogen). cDNA was synthesized from 500 ng RNA using SuperScript III (Invitrogen). Quantitative reverse transcription PCR (qRT-PCR) was performed using the ABI Prism 7900HT sequence detection system and TaqMan probes (Applied Biosystems) for *HMGCR* (Hs00168352), *HMGCS1* (Hs00266810), *INSIG1* (Hs01650979) and *RPL13A* (Hs01578913).

# 430 Drug combinations synergy analysis

431 Viability scores were calculated using standard pipelines from PharmacoGx R package<sup>58</sup> and synergy 432 scores represented by Bliss Index were calculated using SynergyFinder R package<sup>33</sup>. Pearson correlation 433 coefficient was used to measure the associations between the transcriptomic and proteomic states of cell lines and the corresponding synergy scores for each of the combinations. The transcriptomic associations 434 were then used to rank genes for GSEA71. The Hallmark gene set collection35 was downloaded from MSigDB<sup>72</sup>. The Piano R package was used to run GSEA analysis<sup>73</sup>. Other EMT related pathways, namely 437 "GO Positive Regulation of Epithelial To Mesenchymal Transition" 4, "GO Epithelial To Mesenchymal 438 Transition"<sup>74</sup>, "SARRIO Epithelial Mesenchymal Transition DN"<sup>75</sup>, and "SARRIO Epithelial Mesenchymal 439 Transition Up"75, were also downloaded from MSigDB for analysis.

### 440 Data Access

- 441 The code and associated tutorial describing how to run the analysis pipeline are publicly available on
- 442 Github at: https://github.com/DGendoo/MVA\_DNF. All software dependencies are available on
- 443 Bioconductor (BioC) or the Comprehensive Repository R Archive Network (CRAN), and have been listed
- 444 throughout the methods as applicable.

## 445 Authors' contributions

- 446 Experimental Design: LZP, DG, BHK, JVL, JS, DWC
- 447 Acquisition of data: JVL, EB, JL, JS
- 448 Experimental analysis and interpretation: JVL, LZP, BHK, DG, WB, DWC
- 449 Conception and design of the pathway-centric MVA-DNF & permutation pipeline: DG, LZP, BHK, JVL, JL
- 450 Development of computational methodology: BHK, DG, WB
- 451 Bioinformatics data analysis and interpretation: DG, WB, BHK
- 452 Code Development: DG, WB
- 453 Writing, review, and/or revision of the manuscript: JVL, LZP, DWC, WB, BHK, DG
- 454 Study supervision: LZP and BHK
- 455 Approved the manuscript: all authors

## 456 Conflict of Interest Statement

- 457 DWC serves as a consultant for Agendia, Dynamo Therapeutics, AstraZeneca, Exact Sciences, GSK,
- 458 Merck, Novartis, Pfizer, Puma, Roche; receives research support (to institution) from GSK, Merck and
- 459 Pfizer and Roche-Genentech, and holds intellectual property as co-inventor on a patent related to
- 460 biomarkers for TTK inhibitors. All other authors declare that they have no conflicts of interest.

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# 470 Figure Legends

471 Fig. 1. A schematic of the mevalonate (MVA) pathway and overview of the computational 472 pharmacogenomics workflow. (A) In response to fluvastatin treatment (labelled with 1), MVA pathway end-product levels decrease, triggering an SREBP-mediated feedback response that activates MVA 473 474 pathway-associated gene expression to restore cholesterol and other non-sterol end-product levels. Dipyridamole (DP) (labelled with 2) blocks the SREBP-mediated feedback response, thereby potentiating 476 fluvastatin-induced cancer cell death. (B) An overview of the computational pharmacogenomics workflow, MVA-DNF, used to identify the top 23 "dipyridamole-like" candidates and visualized as a compound 477 478 network. MVA-DNF combines drug structure, drug sensitivity, and drug-induced gene perturbation 479 datasets restricted to six MVA pathway-specific genes. Permutation specificity testing was performed to select compounds that have a degree of specificity to the mevalonate pathway and dipyridamole. 480 Statistical significance of compounds similar to dipyridamole was assessed by comparing to 999 networks 481 482 generated from random selection of six genes within the drug perturbation layer. A network representation 483 of dipyridamole and top 23 statistically-significant (p-value <0.05) "dipyridamole-like" compounds are shown. Each node represents a compound and edges connect compounds based on statistical 484 significance of p-value <0.01. Darker blue nodes and orange edges represent the compounds connected 486 to dipyridamole, and edge thickness represents the associated p-value between the compounds. (C) 487 Radar plot of the top 23 dipyridamole-like compounds (p-value <0.05), where the contribution of each 488 individual layer of the MVA-DNF (drug structure, sensitivity, and perturbation) is depicted. Percent contribution of each layer is shown from the center (0%) to the outer edges (100%). 489

490 Fig. S1, related to Fig. 1. Additional information regarding drug-induced genotype changes and
491 categorization of top 23 dipyridamole-like compounds. (A) Simplified schematic of the MVA pathway,
492 highlighting the six MVA-pathway genes (in red) in the L1000 database used to restrict the drug-induced
493 gene perturbation layer of the MVA-DNF method. (B) Drug perturbation signatures for dipyridamole and
494 dipyridamole-like compounds, plotted for genes pertaining to the MVA pathway. Similarity between

compounds based on their overall expression profiles is rendered in the dendrogram. Dipyridamole- and fluvastatin-induced changes shown on the bottom as reference. **(C)** Categorization of the top 21 dipyridamole-like compounds excluding toxins and carcinogenic compounds.

Fig. S2. MVA-DNF drug-dose response curves for MDA-MB-231 and HCC1937 breast cancer cell lines to identify a sub-lethal dose of top dipyridamole-like compounds. (A) MDA-MB-231 and (B) HCC1937 cells were treated with a range of doses for 72 hours, and cell viability was determined using an MTT assay. The drug dose-response curves are plotted with a dashed line at 80% MTT activity indicating a sub-lethal drug dose. Data for an average of three technical replicates are plotted; data reflect the results of a single biological experiment. (C) Table of sub-lethal drug dose and interpolated % MTT activity for both MDA-MB-231 and HCC1937.

Fig. S3. MVA-DNF drug-dose response curves, fluvastatin IC<sub>50</sub> and solvent control values for MDA-MB-231 cells. MDA-MB-231 cells were treated with a range of fluvastatin doses alone or in combination with a sub-lethal dose of dipyridamole (5 μM), selumetinib (0.4 μM), nelfinavir (3 μM), mitoxantrone (0.01 μM) or honokiol (12 μM) for 72 hours, and cell viability was determined using an MTT assay. The drug dose-response curves, fluvastatin IC<sub>50</sub> values and control values are plotted. Error bars represent the mean +/- SD, n = 3-5, \*p <0.05, \*\*p <0.01 (Student *t* test, unpaired, two-tailed).

Fig. S4. MVA-DNF drug-dose response curves, fluvastatin IC<sub>50</sub> and solvent control values for HCC1937 cells. HCC1937 cells were treated with a range of fluvastatin doses alone or in combination with a sub-lethal dose of dipyridamole (5 μM), selumetinib (1 μM), nelfinavir (3 μM), mitoxantrone (0.001 μM) or honokiol (10 μM) for 72 hours, and cell viability was determined using an MTT assay. The drug dose-response curves, fluvastatin IC<sub>50</sub> values and control values are plotted. Error bars represent the mean +/- SD, n = 3-6, \*p <0.05, \*\*p <0.01, \*\*\*p <0.001 (Student *t* test, unpaired, two-tailed).

517 Fig. 2. Dipyridamole-like compounds potentiate fluvastatin-induced cell death. (A) MDA-MB-231 and HCC1937 cells were treated with solvent controls or fluvastatin +/- dipyridamole (DP), nelfinavir 518 (NFV), honokiol (HNK) or selumetinib (Selu) for 72 hours, fixed in ethanol and assayed for DNA 519 fragmentation (% pre-G1 population) as a marker of cell death by propidium iodide staining. Error bars represent the mean +/- SD, n = 3-4, \*p < 0.05, \*\*p < 0.01, \*\*\*\*p < 0.0001 (one-way ANOVA with 521 Bonferroni's multiple comparisons test, where each treatment was compared to the solvent control). (B) 522 Cells were treated as in (A), protein isolated and immunoblotting was performed to assay for PARP 523 cleavage. (F) represents full-length PARP and (C) represents cleaved PARP. (C) PARP cleavage 524 (cleaved/full-length) shown in (B) was quantified by densitometry and normalized to Tubulin expression. 525 Error bars represent the mean +/- SD, n = 3-5, \*p < 0.05, \*\*p<0.005, \*\*\*p<0.001, \*\*\*\*p<0.0001 (one-way 526 527 ANOVA with Bonferroni's multiple comparisons test, where each group was compared to the solvent control within each experiment).

Fig. 3. Nelfinavir and Honokiol block fluvastatin-induced SREBP activation. (A) MDA-MB-231 and 529 530 HCC1937 cells were exposed to solvent controls, fluvastatin (Flu) +/- dipyridamole (DP), nelfinavir (NFV), 531 honokiol (HNK) or selumetinib (Selu) for 16 hours, and RNA was isolated to assay INSIG1 expression by qRT-PCR. mRNA expression data are normalized to RPL13A expression. Error bars represent the mean 532 +/- SD, n = 3-4, \*p < 0.05, \*\*p<0.005, \*\*\*p<0.001, \*\*\*\*p<0.0001 (one-way ANOVA with Bonferroni's 533 multiple comparisons test, where each group was compared to the solvent control group within each 534 535 experiment). (B) MDA-MB-231 and HCC1937 cells were treated with fluvastatin +/- dipyridamole, nelfinavir, honokiol or selumetinib for 12 hours, and protein was harvested to assay for SREBP2 536 expression and cleavage (activation) by immunoblotting. (P) represents precursor, full-length SREBP2 537 538 and (M) represents mature, cleaved SREBP2. (C) SREBP2 cleavage (cleaved/full-length) was quantified by densitometry and normalized to total ERK expression. Error bars represent the mean +/- SD, n = 3-8, 539 \*p < 0.05, \*\*p<0.005, \*\*\*p<0.001, \*\*\*\*p<0.0001 (one-way ANOVA with Bonferroni's multiple comparisons 540 test, where each group was compared to the solvent controls group within its experiment).

Fig. S5, related to Fig 3. Nelfinavir and Honokiol block fluvastatin-induced SREBP activation of SREBP2 feedback genes. (A) MDA-MB-231 cells were treated with fluvastatin +/- dipyridamole, nelfinavir, honokiol or selumetinib for 16 hours, and RNA was isolated to assay for *HMGCR* and *HMGCS1* expression by qRT-PCR. mRNA expression data are normalized to *RPL13A* expression. (B) RPL13A Ct mean values plotted as a control. Error bars represent the mean +/- SD, n = 3-4, \*p < 0.05, \*\*p<0.005, \*\*\*p<0.001, \*\*\*\*p<0.0001 (one-way ANOVA with Bonferroni's multiple comparisons test, where each group was compared to the solvent controls group).

Fig. S6, related to Fig 4. High-throughput compound combination screen. (A) Heatmap of 549 Log<sub>10</sub>(Fluvastatin IC<sub>50</sub>) values for a high-throughput compound synergy screen against 47 BC cell lines visualizing the 15th to 85th percentile. BC cell lines were treated with a dose matrix of fluvastatin (0-20 µM) 551 552 +/- dipyridamole (DP) (0-20 µM), nelfinavir (NFV) (0-10 µM) or honokiol (HNK) (0-20 µM). After 5 days of 553 drug treatment, cell viability was assessed by SRB assay. SCMOD2 cell line subtyping was assigned to 554 the BC cell line panel. Data presented are the average of 2 biological replicates (fluvastatin +/-555 dipyridamole (DP)) or the mean of 3-6 biological replicates (fluvastatin +/- nelfinavir (NFV) and fluvastatin 556 +/- honokiol (HNK)). (B) Comparison of synergy scores stratified by BC subtypes across the combinations using wilcoxon paired rank test. Red dash line at synergy threshold where >0 indicates lower synergy and 557 558 <0 indicates higher synergy. (C) Associations of proteomic states<sup>34</sup> with synergy scores across the 559 fluvastatin-compound combinations. Similarity of proteomic states associations were compared across the combinations (Fluva-DP vs Fluva-NFV; Fluva-DP vs Fluva-HNK; Fluva-NFV vs Fluva-HNK) using 560 Pearson correlation coefficient. Top five basally-expressed proteins associated with synergy in either 561 direction are annotated in red. (D) Gene set enrichment analysis using five EMT gene set collections and 562 genes ranked by basal mRNA correlated to the fluvastatin IC<sub>50</sub> (Fluva) value or synergy score (Fluva-DP, 563 Fluva-NFV and Fluva-HNK). Dot size indicates the difference in enrichment scores (ES) of the pathways. 565 Background shading indicates the FDR. X indicates pathway and drug combinations that were not significantly enriched (FDR > 0.05).

- Fig. 4. Compound combination synergy analysis. (A) Heatmap of synergy scores (Bliss Index model) for fluvastatin (Fluva) + dipyridamole (DP), nelfinavir (NFV) or honokiol (HNK) in a panel of 47 breast 568 569 cancer cells lines. Ordered by synergy score of Fluva-DP, from greatest (<0) to least synergy (>0). Breast cancer subtype of each cell line is shown and is based on the SCMOD2 subtyping scheme. (B) Basal 571 mRNA expression<sup>34</sup> associations with synergy scores for each drug combination (e.g. Fluva-NFV vs. Fluva-DP, Fluva-HNK vs. Fluva-DP, and Fluva-NFV vs. Fluva-HNK). Associations were calculated using Pearson correlation coefficient. Top five basally-expressed genes associated with synergy in either 573 direction are annotated in red. (C) Gene set enrichment analysis (GSEA) using the Hallmark gene set 574 collection, where genes were ranked according to their correlation to the fluvastatin IC<sub>50</sub> (Fluva) value or to the synergy score (Fluva-DP, Fluva-NFV and Fluva-HNK). Dot plot was restricted to pathways enriched 576 577 in two out of the four groups. Dot size indicates the difference in enrichment scores (ES) of the pathways. 578 Background shading indicates the FDR. X indicates pathway and drug combinations that were not 579 significantly enriched (FDR > 0.05).
- Fig. S7, related to Fig 4. Overlapping genes within the EMT gene sets. (A) Upset plot to visualize the agreement between Yu et al. (2018)<sup>36</sup> five-gene classifier and five additional EMT gene sets.
- Fig. S8, related to Fig 5. EMT gene expression as a biomarker of sensitivity to fluvastatin and synergistic response to fluvastatin-compound combinations. (A) Five-gene fluvastatin sensitivity gene classifier<sup>36</sup> predicts sensitivity to fluvastatin alone, but (B) does not predict synergy to Fluva-DP, Fluva-NFV or Fluva-HNK. (C) Basal Vimentin (VIM), N-Cadherin (CDH2), ZEB1 and fibronectin (FN1) mRNA expression do not predict synergy to the drug combinations.
- Fig. 5. Basal E-cadherin predicts synergistic response to fluvastatin-compound combinations. (A)
  Basal E-cadherin mRNA expression between cell lines predicted to be synergistic or not to each drug
  combination. Synergy was defined by Bliss Index and significance was measured by wilcoxon rank sum
  test. (B) Basal E-cadherin mRNA expression between cell lines predicted to be respondent or not to

fluvastatin. Sensitivity was defined by IC<sub>50</sub> and significance was measured by wilcoxon rank sum test. **(C)**Protein lysates were isolated from a panel of breast cancer cell lines to assay for basal E-cadherin
expression by immunoblotting. **(D)** Densitometry values of normalized E-cadherin expression plotted as a
heatmap. E-cadherin expression was quantified by densitometry and normalized individually to Tubulin
expression. **(E)** Schematic diagram detailing the potential for fluvastatin (labelled with 1) and nelfinavir
(labelled with 2) to block the SREBP2-mediated feedback response and synergize to potentiate

#### 598 Table Legends

- 599 Supplementary Table 1 Ranked MVA-DNF compounds. Z-score and p-values are indicated.
- 600 Compounds are ordered by p-value.

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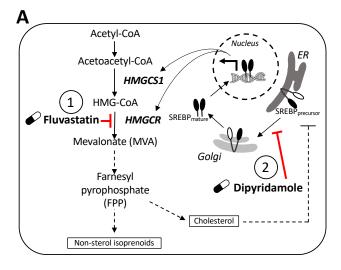
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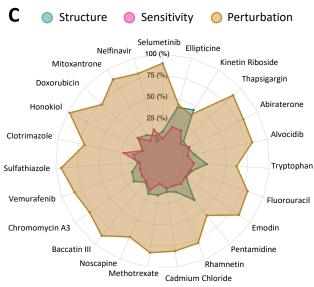
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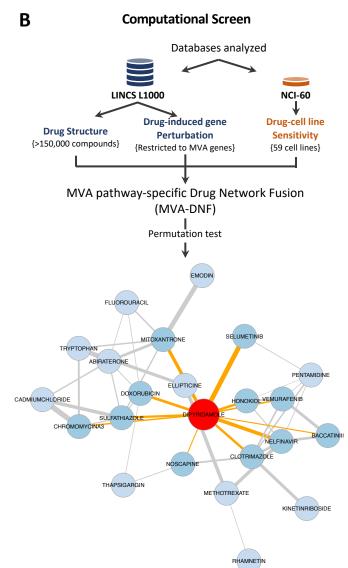
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#### FIGURE 1

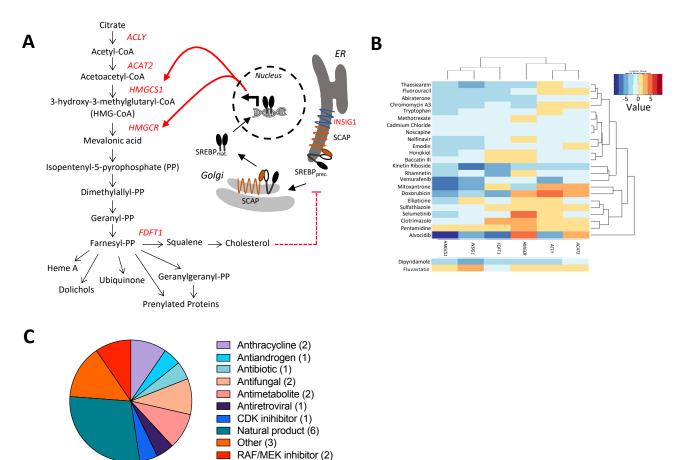


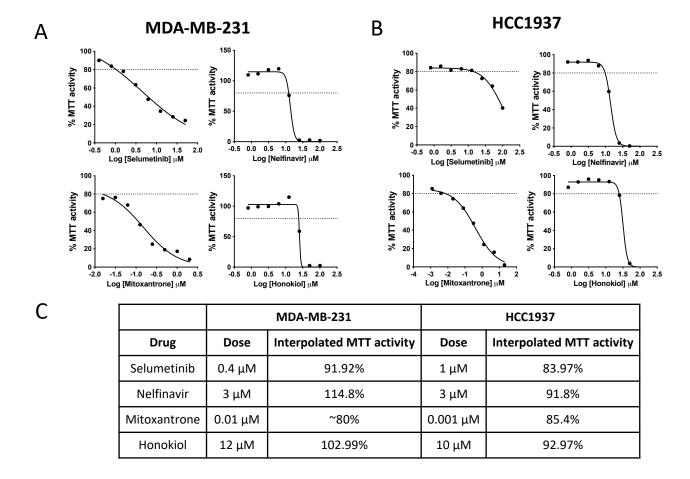




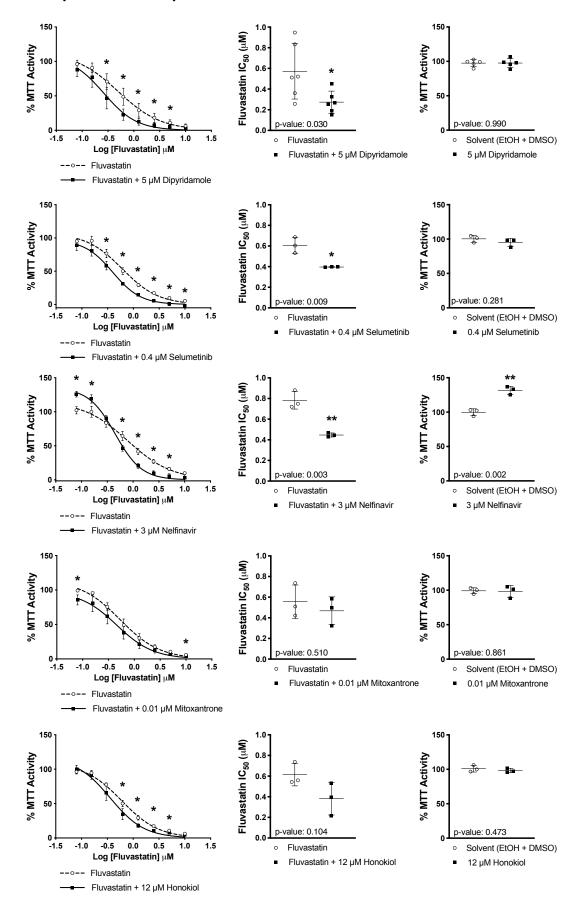
## **SUPP FIGURE 1**

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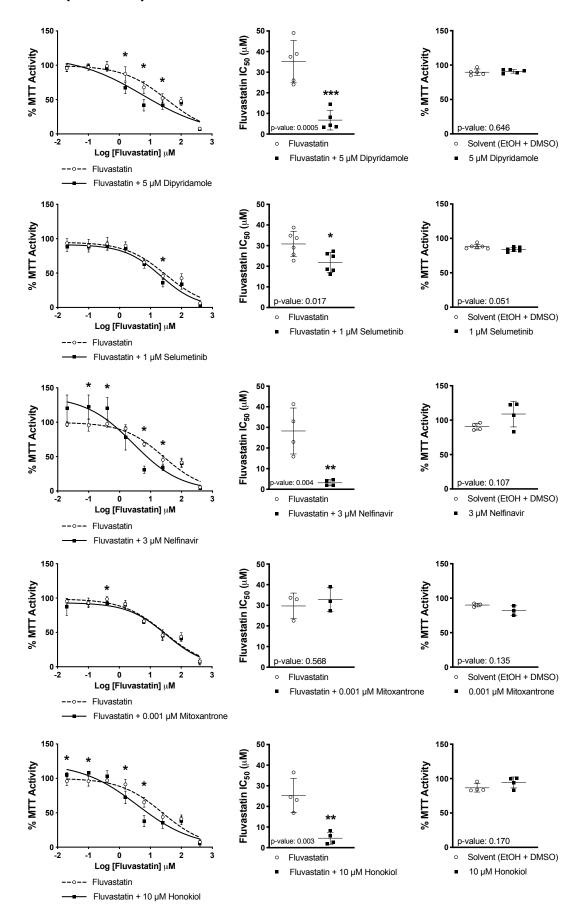




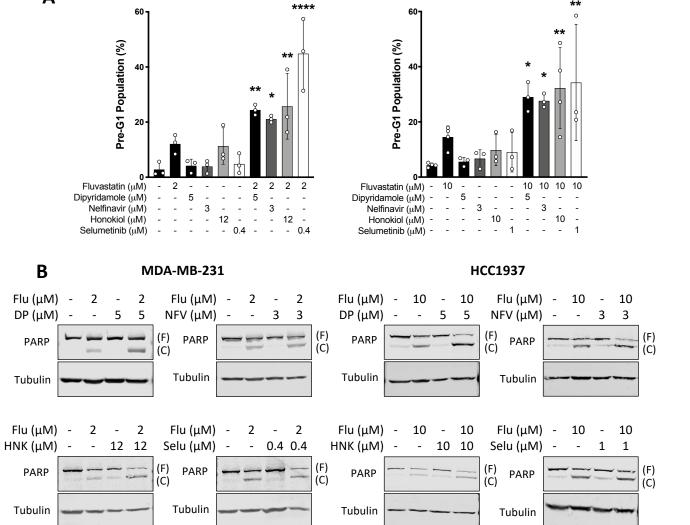
# **SUPP FIGURE 3 (MDA-MB-231)**



# **SUPP FIGURE 4 (HCC1937)**

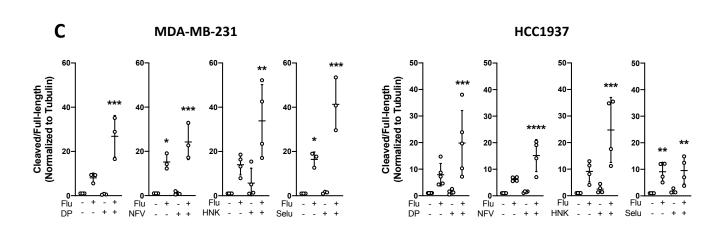


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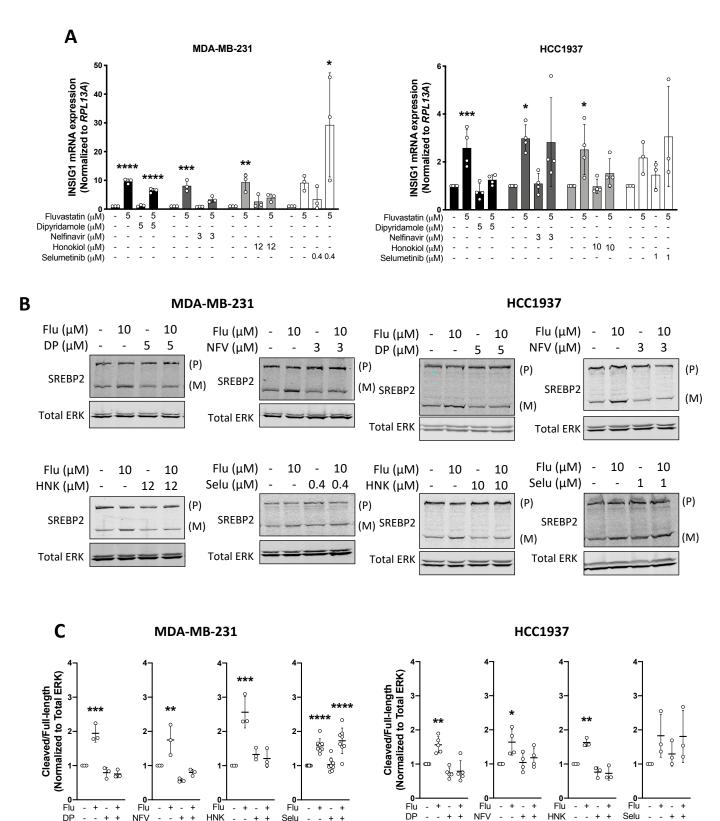
HCC1937

MDA-MB-231



Flu

HNK



Flu DP

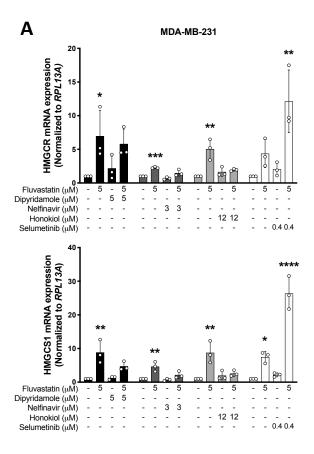
NFV

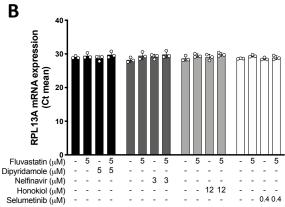
HNK

Flu

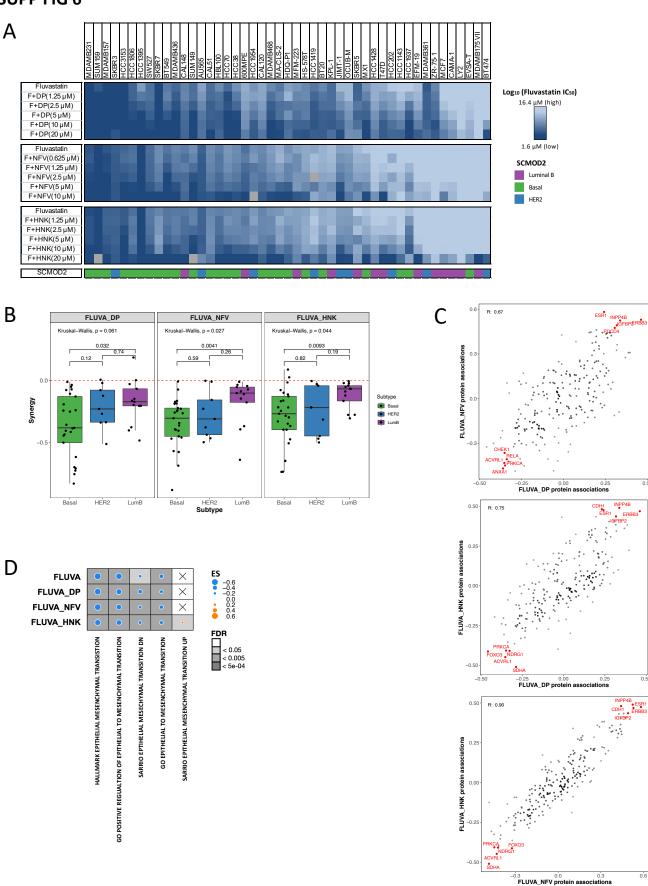
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## **SUPP FIGURE 5**

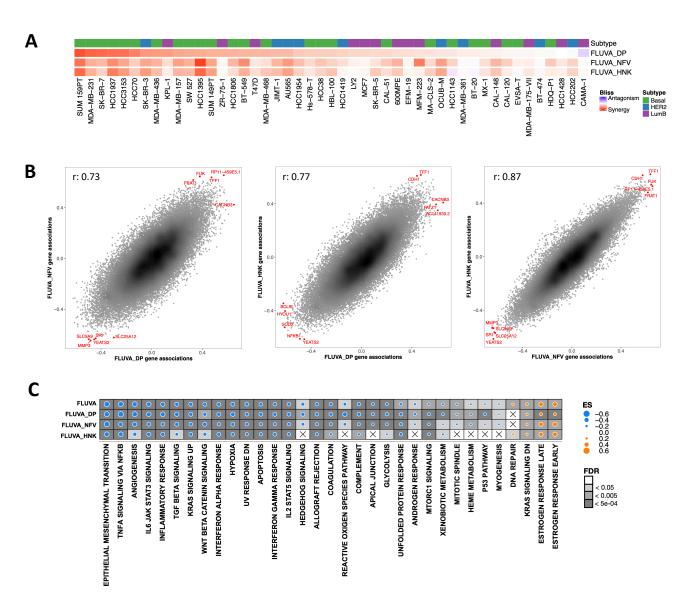




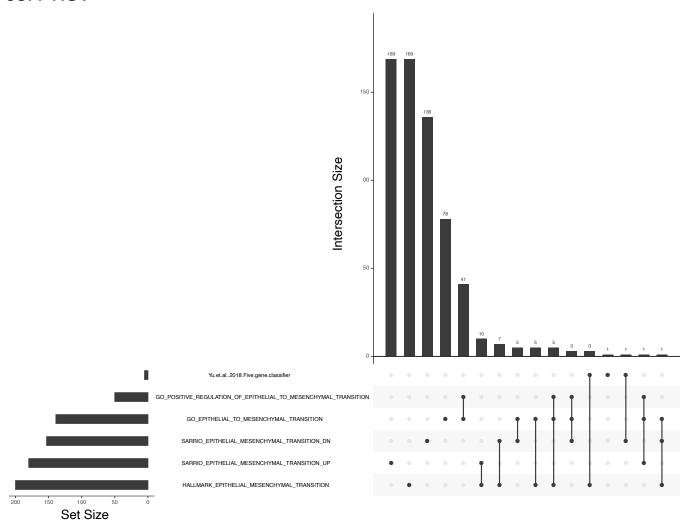
## **SUPP FIG 6**

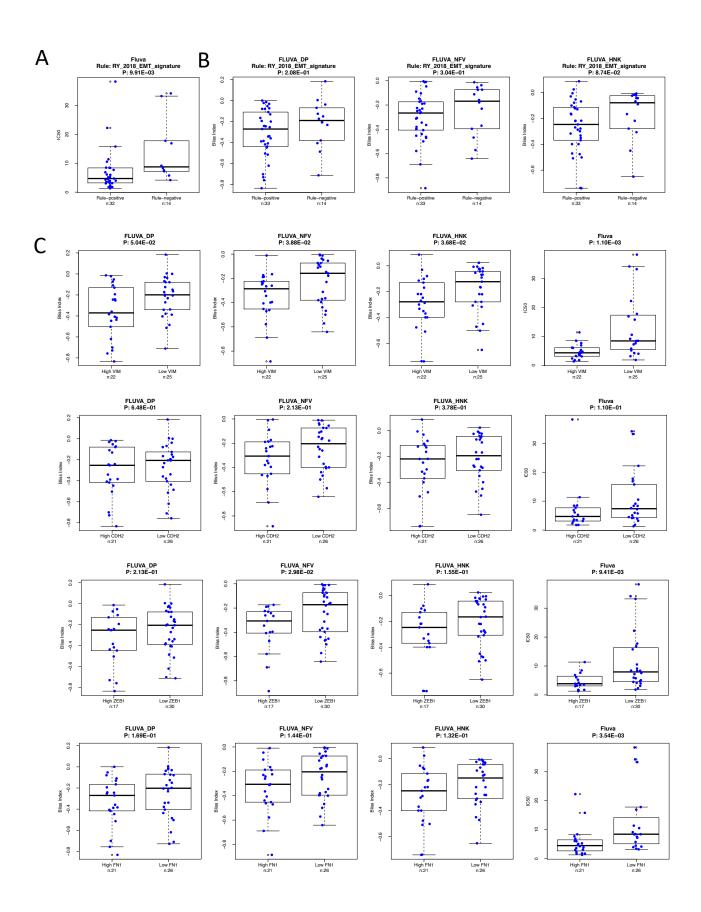


## FIGURE 4

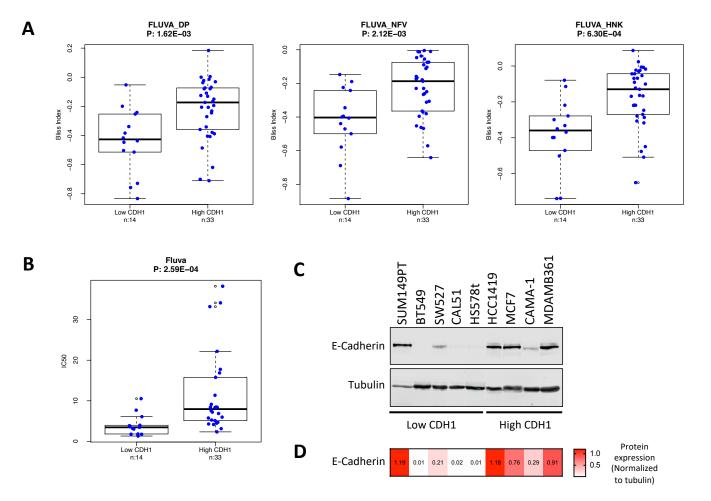


# **SUPP FIG 7**

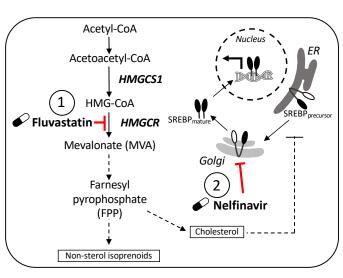




## FIGURE 5







# **SUPP TABLE 1**

Hits	Permutation Test		ъ	70	"0		_		
	Z-Score	P-Value*	Currently used in humans	Currently used in cancer treatment	In clincal trials for cancer treatment	Pre-clinical	Research tool	Exclude	Classification
SELUMETINIB	-3.57	1.77E-04	+		+				RAF/MEK inhibitor
NELFINAVIR	-3.28	5.18E-04	+		+				Antiretroviral
MITOXANTRONE	-3.20	6.82E-04	+	+	+				Anthracycline
DOXORUBICIN	-3.03	1.22E-03	+	+	+				Anthracycline
HONOKIOL	-2.96	1.53E-03				+			Natural product
CLOTRIMAZOLE	-2.95	1.57E-03	+						Antifungal
SULFATHIAZOLE	-2.89	1.90E-03				+			Antibiotic
VEMURAFENIB	-2.67	3.79E-03	+	+	+				RAF/MEK inhibitor
CHROMOMYCINA3	-2.64	4.13E-03				+	+	Toxin	Antibiotic
BACCATINIII	-2.53	5.69E-03				+			Natural product
NOSCAPINE	-2.51	6.11E-03			+	+			Natural product
METHOTREXATE	-2.29	1.11E-02	+	+	+				Antimetabolite
CADMIUMCHLORIDE	-2.22	1.33E-02				+	+	Carcinogen	Other
RHAMNETIN	-2.18	1.45E-02				+			Natural product
PENTAMIDINE	-2.18	1.46E-02	+		+				Antifungal
EMODIN	-2.16	1.55E-02				+			Natural product
FLUOROURACIL	-2.11	1.75E-02	+	+	+				Antimetabolite
TRYPTOPHAN	-1.92	2.76E-02	+		+				Other
ALVOCIDIB	-1.82	3.44E-02	+	+	+				CDK inhibitor
ABIRATERONE	-1.77	3.81E-02	+	+	+				Antiandrogen
THAPSIGARGIN	-1.71	4.36E-02				+			Other
KINETINRIBOSIDE	-1.66	4.84E-02				+			Other
ELLIPTICINE	-1.65	4.92E-02				+			Natural product





# Cyclic AMP-hydrolyzing phosphodiesterase inhibitors potentiate statin-induced cancer cell death

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#### Keywords

cilostazol; dipyridamole; mevalonate pathway; phosphodiesterase inhibitor; SREBP2; statins

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Dipyridamole, an antiplatelet drug, has been shown to synergize with statins to induce cancer cell-specific apoptosis. However, given the polypharmacology of dipyridamole, the mechanism by which it potentiates statininduced apoptosis remains unclear. Here, we applied a pharmacological approach to identify the activity of dipyridamole specific to its synergistic anticancer interaction with statins. We evaluated compounds that phenocopy the individual activities of dipyridamole and assessed whether they could potentiate statin-induced cell death. Notably, we identified that a phosphodiesterase (PDE) inhibitor, cilostazol, and other compounds that increase intracellular cyclic adenosine monophosphate (cAMP) levels potentiate statin-induced apoptosis in acute myeloid leukemia and multiple myeloma cells. Additionally, we demonstrated that both dipyridamole and cilostazol further inhibit statin-induced activation of sterol regulatory element-binding protein 2, a known modulator of statin sensitivity, in a cAMP-independent manner. Taken together, our data support that PDE inhibitors such as dipyridamole and cilostazol can potentiate statin-induced apoptosis via a dual mechanism. Given that several PDE inhibitors are clinically approved for various indications, they are immediately available for testing in combination with statins for the treatment of hematological malignancies.

#### 1. Introduction

The synthesis of cholesterol and other isoprenoids via the mevalonate (MVA) pathway is tightly regulated to maintain homeostasis. In many cancer cells, an increased dependency on isoprenoid biosynthesis for growth and survival confers sensitivity to the statin family of drugs, which inhibits the rate-limiting enzyme of the MVA pathway, HMG-CoA reductase (HMGCR) [1].

However, in normal cells and many cancer cells, treatment with statins activates the transcription factor sterol regulatory element-binding protein 2 (SREBP2), which functions to upregulate genes involved in MVA metabolism to restore homeostasis. Activation of this feedback response has been associated with statin resistance in cancer cells [2–4]. In contrast, subsets of cancer cells that do not induce this feedback loop following statin treatment readily undergo apoptosis [2,4].

#### **Abbreviations**

AML, acute myeloid leukemia; ANOVA, analysis of variance; cAMP, cyclic adenosine monophosphate; cGMP, cyclic guanosine monophosphate; GGPP, geranylgeranyl pyrophosphate; HMG-CoA, 3-hydroxy-3-methylglutaryl coenzyme A; HMGCR, HMG-CoA reductase; MM, multiple myeloma; MVA, mevalonate; PDE, phosphodiesterase; PKA, protein kinase A; qRT-PCR, quantitative reverse transcription–PCR; SD, standard deviation; sgRNAs, small guide RNAs; SREBP, sterol regulatory element-binding protein.

We previously demonstrated that inhibition of this feedback response via RNAi-mediated knockdown of SREBP2 potentiates statin-induced cell death in lung and breast cancer cell lines [5]. Moreover, through a drug screening approach, our laboratory identified that the drug dipyridamole, an antiplatelet agent approved for secondary stroke prevention, can synergize with statins to induce apoptosis in acute myeloid leukemia (AML) and multiple myeloma (MM) cells [6]. We further demonstrated that dipyridamole inhibits statin-induced SREBP2 cleavage and activation, abrogating the restorative feedback loop of the MVA pathway (Fig. 1) [6]. Since these initial observations in AML and MM, dipyridamole has been shown to inhibit statin-induced SREBP2 activation and potentiate statin-induced cell death in breast [3] and prostate [4] cancer; however, the mechanism by which dipyridamole inhibits SREBP2 and potentiates statin-induced cancer cell death remains poorly characterized.

In this manuscript, we present data to suggest that the synergistic anticancer interaction between statins and dipyridamole is twofold. In part, the ability of dipyridamole to function as a phosphodiesterase (PDE) inhibitor and increase cyclic adenosine monophosphate (cAMP) levels sensitizes cancer cells to statin-induced apoptosis. Additionally, dipyridamole and another cAMP-hydrolyzing PDE inhibitor, cilostazol, are able

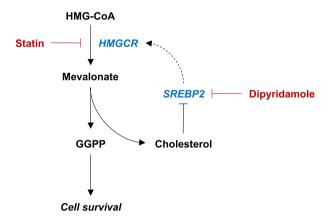


Fig. 1. Dipyridamole inhibits the sterol-regulated feedback loop of the MVA pathway. Schematic representation of the MVA pathway. Statins inhibit the rate-limiting enzyme of the pathway, HMGCR, which catalyzes the conversion of HMG-CoA to MVA. MVA is subsequently used to synthesize various metabolites that are important for cell growth and survival, including GGPP and cholesterol. Statin-mediated cholesterol depletion induces the cleavage and activation of SREBP2, which in turn induces the transcription of genes involved in MVA metabolism to restore homeostasis. We previously identified that the drug dipyridamole can inhibit statin-induced SREBP2 activation; however, the mechanism by which dipyridamole inhibits SREBP2 cleavage remains poorly understood.

to inhibit statin-induced SREBP2 activity, and thus potentiate the proapoptotic activity of statins through a second, cAMP-independent mechanism. Collectively, these data warrant further investigation into the combination of a statin and cAMP-hydrolyzing PDE inhibitor for the treatment of hematological malignancies.

#### 2. Materials and methods

#### 2.1. Cell culture and compounds

KMS11, LP1, OCI-AML-2, and OCI-AML-3 cell lines were cultured as described previously [6]. S49 wild-type (CCLZR352) and kin- (CCLZR347) cells were purchased from the University of California, San Francisco (UCSF) Cell Culture Facility and were cultured in Dulbecco's modified Eagle medium supplemented with 10% heat-inactivated horse serum, 100 units⋅mL<sup>-1</sup> penicillin, and 100 μg·mL<sup>-1</sup> streptomycin. Cell lines were routinely confirmed to be mycoplasma-free using the MycoAlert Mycoplasma Detection Kit (Lonza, Mississauga, Canada). Atorvastatin calcium (21CEC Pharmaceuticals Ltd., Markham, Canada) and fluvastatin sodium (US Biological, Burlington, Canada) were dissolved in ethanol. Dipyridamole (Sigma, Oakville, Canada), cilostazol (Tocris Bioscience, Burlington, Canada), *S*-(4-nitrobenzyl)-6-thioinosine (NBMPR) (Tocris Bioscience), 4-{[3',4'-(methylenedioxy)benzyl] amino}-6-methoxyquinazoline (MBMQ) (Calbiochem, Oakville, Canada), fasentin (Sigma), and forskolin (Sigma) were dissolved in DMSO. Mevalonate and dibutyryl-cAMP (db-cAMP) were purchased from Sigma and dissolved in water. Geranylgeranyl pyrophosphate (GGPP) (methanol: ammonia solution) was purchased from Sigma.

#### 2.2. Cell viability assays

3-(4,5-Dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assays were performed as previously described [7]. Briefly, cells were seeded at 15 000–20 000 cells/well in 96-well plates and treated as indicated for 48 h. Percent cell viability was calculated relative to cells treated with solvent control(s). Fluvastatin dose–response curves were plotted, and area under the dose–response curve (AUC) values were computed using GRAPHPAD PRISM v6 software (San Diego, CA, USA).

#### 2.3. Cell death assays

Cells were seeded at 750 000 cells/well in 6-well plates and treated as indicated for 48 h. For propidium

iodide (PI) staining, cells were fixed in 70% ethanol for at least 24 h, stained with PI, and analyzed by flow cytometry for the % pre-G1 DNA population as a measure of cell death, as previously described [2]. For Annexin V staining, cells were processed and stained using the Annexin V-FITC Apoptosis Kit (BioVision Inc., Burlington, Canada) as per the manufacturer's protocol, or washed and stained as indicated in Annexin V Binding Buffer (BD Biosciences, Mississauga, Canada). Apoptosis assays using primary AML cells were performed as described previously [6]. Patient samples were obtained with informed consent under a protocol approved by the University Health Network Research Ethics Board in accordance with the Declaration of Helsinki.

#### 2.4. CCLE data mining

RNA sequencing data for the selected AML and MM human cell lines from the Cancer Cell Line Encyclopedia (CCLE) [8] were analyzed using the UCSC Xena Functional Genomics Explorer (https://xenabrowser.net/) [9].

#### 2.5. CRISPR/Cas9-mediated gene knockout

Independent small guide RNAs (sgRNAs) that target *PRKACA* were cloned into lentiCRISPR v2 (Addgene plasmid #52961, Watertown, MA, USA). A sgRNA targeting a random locus on chromosome 10 was used as a negative control. HEK-293Tv cells were co-transfected with the sgRNA constructs, pMD2.G and psPAX2 using calcium-phosphate. LP1 cells were transduced with the lentiviral supernatants in the presence of 8 μg·mL<sup>-1</sup> polybrene, after which they were selected with 1 μg·mL<sup>-1</sup> puromycin. The sequences for the sgRNAs were obtained from Ref. [10] and are as follows:

gC10 Random: AAACATGTATAACCCTGCGC gPRKACA #1: ACGAATCAAGACCCTCGGCA gPRKACA #2: AGATGTTCTCACACCTACGG

#### 2.6. Immunoblotting

For proteins other than HMGCR, immunoblotting was performed as previously described [4], using the following primary antibodies: SREBP2 (1 : 250; BD Biosciences, 557037), Actin (1 : 3000; Sigma, A2066), PKA C-α (1 : 1000; Cell Signaling Technology, #4782), α-Tubulin (1 : 3000; Calbiochem, CP06), and Ku80 (1 : 3000; Cell Signaling Technology, #2180). For HMGCR immunoblots, cells were seeded at

750 000 cells/well in 6-well plates and treated as indicated for 24 h. Whole cell lysates were prepared by washing cells twice with cold PBS and lysing cells in  $\sim 80~\mu L$  of buffer (20 mm Tris pH 7.5, 150 mm NaCl, 1 mm EDTA, 1 mm EGTA, 0.5% Triton X-100, protease inhibitors) on ice for 30 min. Lysates were cleared by centrifugation and protein concentrations determined using the Pierce 660 nm Protein Assay Kit (Thermo Fisher Scientific). Dithiothreitol (DTT) was added to a final concentration of 1 m. 4x Laemmli sample buffer was then added to the DTT-containing lysates at room temperature. Samples were not boiled to limit aggregation of membrane proteins. Blots were probed with primary antibodies against HMGCR (A9) (1:1000; prepared in-house) and actin.

#### 2.7. Quantitative RT-PCR

Total RNA was isolated using TRIzol Reagent (Invitrogen, Mississauga, Canada). cDNA was synthesized from 500 ng RNA using SuperScript III (Invitrogen), or RNA was directly used for RT–PCR analysis using the iTaq Universal Probe One-Step Kit (Bio-Rad, Mississauga, Canada), according to the manufacturer's instructions. Quantitative reverse transcription–PCR (qRT–PCR) was performed using TaqMan probes (Applied Biosystems, Mississauga, Canada) for the following genes: *HMGCR* (Hs00168352), *HMGCS1* (Hs00266810), *INSIG1* (Hs01650979), and *GAPDH* (Hs99999905).

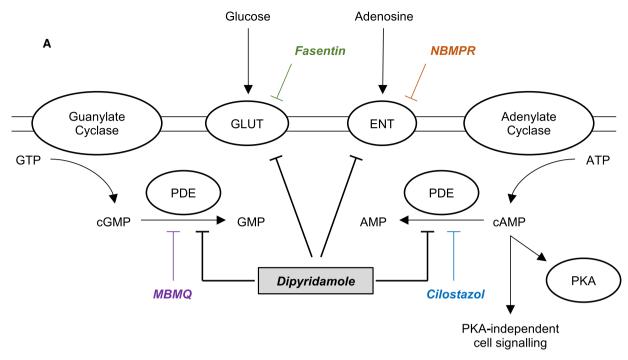
#### 2.8. Intracellular cAMP quantification

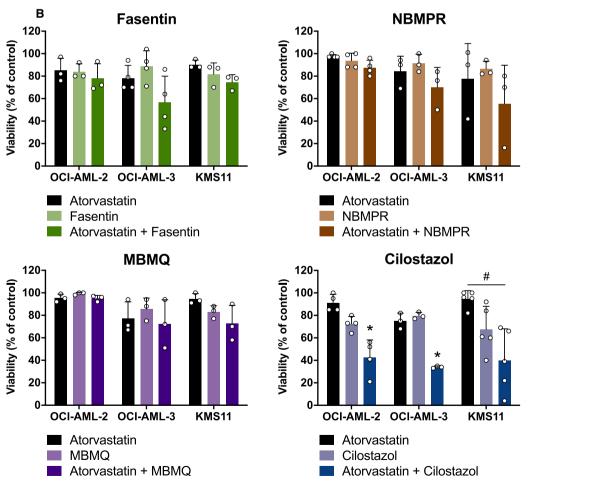
Intracellular levels of cAMP were measured using the Cyclic AMP Chemiluminescent Immunoassay Kit (Cell Technology, Hayward, CA, USA) as per the manufacturer's protocol. Briefly,  $1.5 \times 10^6$  cells/well (6-well plate) were incubated with the compounds as indicated, washed with PBS, and lysed in 150  $\mu$ L of the provided lysis buffer.

#### 3. Results

# 3.1. The cAMP-hydrolyzing PDE3 inhibitor cilostazol phenocopies dipyridamole to potentiate statin-induced cancer cell death

Dipyridamole has been reported to have multiple targets and can function as an inhibitor of nucleoside transport [11], glucose uptake [12], and PDEs [13] (Fig. 2A). To test which, if any, of these reported functions of dipyridamole are important for





**Fig. 2.** The cAMP-hydrolyzing PDE3 inhibitor cilostazol phenocopies dipyridamole to potentiate statin-induced cancer cell death. (A) Schematic representation of the reported targets of dipyridamole and additional compounds that target these proteins. ENT, equilibrative nucleoside transporter; GLUT, glucose transporter; PDE, phosphodiesterase; PKA, protein kinase A. (B) OCI-AML-2, OCI-AML-3, and KMS11 cells were treated with atorvastatin (4, 2 and 4 μm for OCI-AML-2, OCI-AML-3, and KMS11 cells, respectively)  $\pm$  a glucose uptake inhibitor (fasentin; 12.5, 6.3, and 12.5 μm for OCI-AML-2, OCI-AML-3, and KMS11 cells, respectively), ENT inhibitor (NBMPR; 20 μm), cGMP-hydrolyzing PDE5 inhibitor (MBMQ; 10 μm), or cAMP-hydrolyzing PDE3 inhibitor (cilostazol; 25, 12.5, and 25 μm for OCI-AML-2, OCI-AML-3, and KMS11 cells, respectively). After 48 h, cell viability was evaluated by MTT assays. Data are represented as the mean + SD. \*P < 0.05 (one-way ANOVA with Tukey's multiple comparisons test, where the indicated groups were compared to the other groups of that cell line). \*P < 0.05 (one-way ANOVA with Tukey's multiple comparisons test, comparing the two indicated groups).

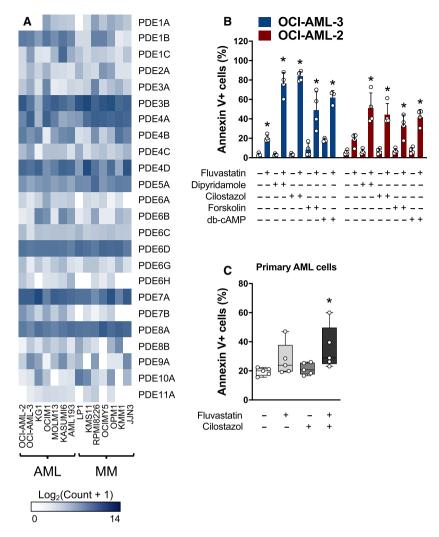
potentiating statin-induced cancer cell death, we assayed additional compounds with similar activities for their ability to phenocopy dipyridamole. For these experiments, we evaluated the following compounds: NBMPR [equilibrative nucleoside transporter 1 (ENT1) inhibitor], fasentin [glucose transporter 1 (GLUT1) inhibitor], MBMQ (PDE5 inhibitor), and cilostazol (PDE3 inhibitor). AML (OCI-AML-2, OCI-AML-3) and MM (KMS11) cells were treated with each compound alone or in combination with atorvastatin. The concentrations of each compound were chosen such that they had minimal single-agent effects on cell viability (< 20%), but were still within the range known to inhibit the target under investigation [14-20]. Of the four compounds evaluated, only the combination of atorvastatin and cilostazol was observed to decrease AML and MM cell viability in all three cell lines (Fig. 2B). We further demonstrated that these effects were on-target and not specific to atorvastatin, as a similar decrease in cell viability was observed when cilostazol was combined with fluvastatin, another statin drug (Fig. S1). Moreover, the addition of exogenous MVA or GGPP was able to fully rescue the decrease in cell viability caused by the statin-cilostazol combination (Fig. S1), further supporting that these effects were due to MVA pathway inhibition.

# 3.2. Compounds that increase cAMP levels phenocopy dipyridamole to potentiate statin-induced apoptosis

PDEs catalyze the hydrolysis of cAMP and cyclic guanosine monophosphate (cGMP) (Fig. 2A), thereby regulating the intracellular concentrations of these secondary messengers. There are 11 PDE proteins that can be expressed in mammalian cells, which differ in their cellular functions, structures, expression patterns, and affinities for cAMP and cGMP [21,22]. Dipyridamole is known to inhibit multiple cAMP- and cGMP-hydrolyzing PDEs with varying affinities [13,22]. In contrast, cilostazol is reported to be a specific inhibitor of PDE3, which is a cAMP-hydrolyzing

PDE [13,21]. Given our observation that the statin-cilostazol combination was uniquely able to decrease the viability of AML and MM cells, we hypothesized that inhibition of cAMP hydrolysis by dipyridamole may be responsible, at least in part, for its ability to synergize with statins to induce cancer cell death. Indeed, dipyridamole treatment, at the concentration used throughout this study (5 µm), resulted in a 2.5-fold increase in intracellular cAMP levels (Fig. S2).

To evaluate whether the PDEs targeted by dipyridamole and cilostazol are expressed in AML and MM cells, we mined the Cancer Cell Line Encyclopedia (CCLE) database [8]. Indeed, multiple PDEs, including isoforms of PDE3, PDE5, PDE6, PDE7, and PDE8, are highly and consistently expressed in a panel of AML and MM cell lines, including previously characterized statin-sensitive (e.g., KMS11, OCI-AML-3) and insensitive (e.g., LP1) cell lines (Fig. 3A) [6,23,24]. We subsequently evaluated the ability of an adenylate cyclase activator (forskolin) and cell-permeable analog of cAMP (db-cAMP) to potentiate statin-induced apoptosis in AML cells. The combination of fluvastatin and dipyridamole, cilostazol, forskolin, or dbcAMP significantly induced apoptosis in OCI-AML-2 and OCI-AML-3 cells, whereas no significant apoptosis was observed in response to treatment with each cAMP-modulating compound on its own (Fig. 3B). To determine whether primary cells were similarly sensitive to the combination of a statin and PDE inhibitor, we treated primary AML cells with fluvastatin and/or cilostazol for 48 h, after which apoptosis was quantified by Annexin V staining using flow cytometry. Indeed, the fluvastatin-cilostazol combination significantly induced apoptosis in these cells (Fig. 3C). This is consistent with our previous report that the statindipyridamole combination can induce apoptosis in primary AML cells [6]. Notably, we evaluated the statincilostazol combination in primary cells from three of the same patients as in our previous report with dipyridamole, and observed concordant results [6]. Collectively, these data suggest that elevating intracellular levels of cAMP may be an effective way to



**Fig. 3.** Compounds that increase cAMP levels phenocopy dipyridamole to potentiate statin-induced apoptosis. (A) RNA expression of the different PDEs in a panel of human AML and MM cell lines. Data were mined from the CCLE database. (B) OCl-AML-2 and OCl-AML-3 cells were treated with fluvastatin (4 μm for OCl-AML-2 and 2 μm for OCl-AML-3)  $\pm$  a PDE3 inhibitor (cilostazol; 20 μm), an adenylate cyclase activator (forskolin; 10 μm) or db-cAMP (0.1 mm). After 48 h, cells were labeled with FITC-conjugated Annexin V and apoptotic cells were quantified by flow cytometry. \*P < 0.05 (one-way ANOVA with Dunnett's multiple comparisons test, where the indicated groups were compared to the solvent controls group of that cell line). Data are represented as the mean + SD. (C) Primary AML cells were cultured in the presence of solvent controls, 5 μm fluvastatin, 20 μm cilostazol, or the combination. After 48 h, cells were labeled with FITC-conjugated Annexin V and analyzed by flow cytometry. Data from four independent AML patient samples are represented as box plots with whiskers depicting the maximum and minimum values. \*P < 0.05 (one-way ANOVA with Dunnett's multiple comparisons test, where the indicated group was compared to the solvent controls group).

sensitize hematological cancer cells to statin-induced apoptosis.

# 3.3. Compounds that increase cAMP levels differentially modulate sterol metabolism

We previously demonstrated that dipyridamole inhibits statin-induced SREBP2 cleavage and activation, which sensitizes cancer cells to statin-induced apoptosis [4,6].

To test whether compounds that increase cAMP levels similarly inhibit the induction of sterol metabolism gene expression in response to statin treatment, we treated LP1 cells with fluvastatin as a single agent or in combination with a PDE inhibitor (dipyridamole or cilostazol), forskolin or db-cAMP, and then evaluated the expression of three SREBP2 target genes by qRT–PCR: *HMGCR*, HMG-CoA synthase 1 (*HMGCS1*), and insulin-induced gene 1 (*INSIG1*). We chose LP1

cells for these experiments because we previously demonstrated that this cell line robustly activates SREBP2 in response to statin exposure, and cotreatment with dipyridamole sensitizes them to statin-induced apoptosis [6]. As expected, treatment of LP1 cells with fluvastatin resulted in the induction of all three sterol-regulated genes, a response which was completely blocked by dipyridamole cotreatment (Fig. 4A). Cilostazol similarly inhibited fluvastatin-induced expression of these SREBP2 target genes (Fig. 4A). In contrast, forskolin and db-cAMP had weaker, if any, effects on the expression of these sterol-regulated genes in this cell line, and yet both compounds potentiated statin-induced apoptosis (Figs 3B and 4A, Fig. S3). Concordantly, only dipyridamole and cilostazol decreased statin-induced HMGCR protein expression (Fig. 4B), which was associated with the inhibition of SREBP2 cleavage following statin treatment (Fig. 4C).

cAMP can regulate several effectors, the most well studied of which is cAMP-dependent protein kinase A (PKA). PKA phosphorylates a multitude of proteins with diverse roles in signal transduction, metabolism, ion transport, and transcription regulation [25]. In particular, PKA has been shown to phosphorylate and negatively regulate SREBP1 (the master transcriptional regulator of fatty acid biosynthesis) in vitro at a residue that is conserved between SREBP1 and SREBP2 [26]. However, given our observation that db-cAMP did not inhibit statin-induced SREBP2 target gene expression (Fig. 4A), we reasoned that the effects of dipyridamole and cilostazol on SREBP2 were likely independent of cAMP/PKA signaling. To validate this model, we knocked out the alpha catalytic subunit of PKA (PKA Ca, encoded by PRKACA) in LP1 cells and evaluated the subsequent effects on dipyridamole and cilostazol activity. Consistent with a cAMP/PKAindependent mechanism, both dipyridamole and cilostazol retained their ability to inhibit SREBP2 and potentiate statin-induced cell death in PKA-depleted LP1 cells (Figs S4 and S5).

To further confirm the above observation, we evaluated dipyridamole and cilostazol activity in isogenic wild-type and PKA-null (kin-) S49 cells [27]. S49 kincells have no detectable PKA activity due to improper *cis*-autophosphorylation at serine 338 during translation, which renders the catalytic subunit of PKA insoluble [28]. Indeed, dipyridamole and cilostazol potentiated statin-induced cell death in both S49 wild-type and kin- cells (Fig. S4).

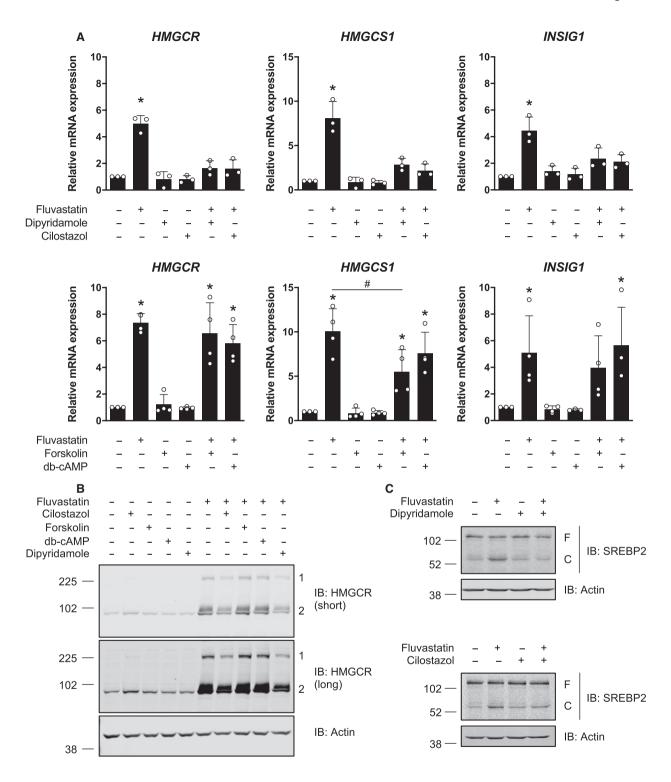
Taken together, these data suggest that compounds that increase cAMP levels, including PDE inhibitors and forskolin, can sensitize hematological cancer cells to statin-induced apoptosis. Furthermore, PDE inhibitors such as dipyridamole and cilostazol further possess cAMP/PKA-independent activity against statin-induced SREBP2 activation (Fig. 5).

#### 4. Discussion

Our laboratory previously reported a novel role for the drug dipyridamole as an inhibitor of the SREBP family of transcription factors [4,6]. As a result, dipyridamole can sensitize certain cancer cells to statin-induced apoptosis (Fig. 1) [4,6]. However, given the polypharmacology of dipyridamole, the mechanism by which it inhibits the SREBP proteins and synergizes with statins remains to be fully understood. As a step toward elucidating this mechanism, we evaluated individual compounds that phenocopied the different known functions of dipyridamole for their ability to sensitize AML and MM cell lines to statin-induced cell death. Through this approach, we were able to dissect the polypharmacology of dipyridamole and implicate its role as a cAMP-hydrolyzing PDE inhibitor in potentiating statin-induced apoptosis.

Our study revealed that cAMP-hydrolyzing PDE inhibitors, including dipyridamole and cilostazol, sensitize hematological cancer cells to statin-induced apoptosis via a dual mechanism (Fig. 5). By inhibiting PDE activity, dipyridamole and cilostazol increase intracellular cAMP levels (Fig. S2) [18]. We demonstrated

**Fig. 4.** Compounds that increase cAMP levels differentially modulate sterol metabolism. (A) LP1 cells were treated with 4 μM fluvastatin  $\pm$  5 μM dipyridamole, 20 μM cilostazol, 10 μM forskolin, or 0.1 mM db-cAMP for 16 h, and RNA was isolated to assay for *HMGCR*, *HMGCS1* and *INSIG1* expression by qRT–PCR. mRNA expression data are normalized to *GAPDH* expression. Data are represented as the mean + SD. \*P < 0.05 (one-way ANOVA with Tukey's multiple comparisons test, where the indicated groups were compared to the solvent controls group), \*P < 0.05 (one-way ANOVA with Tukey's multiple comparisons test, comparing the two indicated groups). (B) LP1 cells were treated with 4 μM fluvastatin  $\pm$  5 μM dipyridamole, 20 μM cilostazol, 10 μM forskolin, or 0.1 mM db-cAMP for 24 h, and protein was isolated to assay for HMGCR expression by immunoblotting. 1 = HMGCR oligomer, 2 = HMGCR monomer. Immunoblots are representative of three independent experiments. (C) LP1 cells were treated with 4 μM fluvastatin  $\pm$  5 μM dipyridamole or 20 μM cilostazol for 8 h, and protein was isolated to assay for SREBP2 cleavage (activation) by immunoblotting. F, full-length SREBP2; C, cleaved SREBP2. Immunoblots are representative of three independent experiments.



that other compounds that increase cAMP levels, including forskolin, similarly sensitize cancer cells to statin-induced cell death. Importantly, cotreatment with a statin and cAMP-modulating agent was effective at potentiating cell death in both statin-sensitive

(e.g., KMS11, OCI-AML-3) and statin-insensitive (e.g., LP1) cell lines (Fig. 3B, Figs S1, S3,and S4D). Our data are consistent with a previous report, where the combination of lovastatin and db-cAMP was shown to enhance differentiation and cytotoxicity in

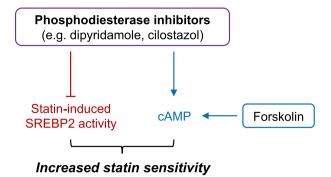


Fig. 5. Proposed model for how cAMP-hydrolyzing PDE inhibitors potentiate statin-induced cancer cell death. Compounds that increase intracellular cAMP levels, including PDE inhibitors (e.g., dipyridamole, cilostazol) and forskolin, can sensitize cancer cells to statin-induced apoptosis. Dipyridamole and cilostazol also inhibit statin-induced activation of SREBP2 through a cAMP-independent mechanism, which abrogates the restorative feedback loop of the MVA pathway and further sensitizes cancer cells to statin-induced apoptosis.

embryonal carcinoma and neuroblastoma cell lines [29]. However, the critical cAMP-regulated effector that modulates statin sensitivity in cancer cells remains to be identified. In the present study, we found that dipyridamole and cilostazol potentiate statin-induced cell death in a PKA-independent manner (Fig. S4). In addition to PKA, cAMP also regulates specific ion channels and the EPAC (exchange protein directly activated by cAMP) proteins, which are cAMP-dependent guanine nucleotide exchange factors for the RAP GTPases [30]. Future work is required to delineate the mechanism by which elevated cAMP levels sensitize cancer cells to statin-induced apoptosis.

We further demonstrated that the PDE inhibitors dipyridamole and cilostazol inhibit the SREBP2-regulated feedback mechanism of the MVA pathway via an additional, cAMP-independent mechanism (Fig. 4). Interestingly, cilostazol has previously been reported to inhibit insulin-induced expression of SREBP1 [31], but the potential involvement of cAMP signaling was not explored. Data in the literature are conflicting as to the effects of PDE inhibitors on lipid metabolism. A recent study demonstrated that combined inhibition of PDE4 and PDE8 in Leydig cells promotes SREBP2 signaling, cholesterol metabolism, and steroidogenesis [32]. In contrast, data from a randomized controlled trial in patients with type 2 diabetes revealed that cilostazol treatment significantly lowered serum triglyceride and low-density lipoprotein (LDL) cholesterol levels [33]. The data we present here clearly show that dipyridamole (a pan-PDE inhibitor) and cilostazol (a PDE3 inhibitor) can abrogate SREBP2 cleavage and

activation in AML and MM cells exposed to a statin. It is therefore possible that different PDEs play unique roles in regulating SREBP2 signaling and sterol metabolism and that PDE-mediated regulation of SREBP2 is tissue type- and context-dependent. In the context of cancer, dipyridamole has been shown to inhibit statininduced SREBP2 cleavage and activation in AML, MM, breast cancer, and prostate cancer cells [3,4,6], suggesting similar regulation in many different cell types. A rigorous analysis of the effects of different PDE inhibitors on lipid metabolism and investigation into the mechanism(s) by which these clinically approved drugs act to modulate cancer cell metabolism should be a focus of future studies. Interestingly, unlike many other PDE inhibitors, dipyridamole and cilostazol also inhibit adenosine uptake [11,34]. While we did not observe enhanced cell death when the adenosine reuptake inhibitor NBMPR was combined with a statin (Fig. 2B), it remains possible that dipyridamole and cilostazol inhibit sterol metabolism via a PDE-independent mechanism or through simultaneous modulation of multiple targets.

The data presented here may have important clinical implications, as many cAMP-hydrolyzing PDE inhibitors are approved for several nononcology indications [21]. For example, cilostazol (marketed as Pletal) is currently approved and widely used to treat intermittent claudication. The overexpression of several PDEs has been observed in solid and hematological tumors, and the possibility of cAMP-hydrolyzing PDE inhibition as an anticancer strategy has been preclinically explored alone or in combination with chemo- and targeted molecular therapies [35-40]. In hematological malignancies, primary chronic lymphocytic leukemia patient samples were found to have PDE7B overexpression and noted to be sensitive to PDE7 inhibition in a cAMP-dependent manner [38]. Another study found a strong synergistic combinatorial effect between adenosine A2A receptor agonists and cAMP-hydrolyzing PDE inhibitors in MM and diffuse large B-cell lymphoma cell lines and primary patient samples [41]. Given that a number of PDE inhibitors are poised for repurposing and that statins have demonstrated anticancer activity in early-phase clinical trials [42-49], further studies are needed to evaluate the therapeutic benefit of a statin-PDE inhibitor combination for the treatment of cancer. As the combination of cilostazol and statins has already been evaluated clinically in healthy subjects [50,51] and in patients with cardiovascular indications [52,53] without added adverse effects, there is the possibility of fast-tracking these agents to phase II trials in AML and MM.

#### 5. Conclusion

In summary, we propose a working model whereby cAMP-hydrolyzing PDE inhibitors, such as dipyridamole and cilostazol, increase cAMP levels and inhibit SREBP2 activation via independent mechanisms, both of which converge to potentiate statin-induced apoptosis in hematological cancer cells (Fig. 5). Given that statins and a number of PDE inhibitors are already approved for various nononcology indications, future studies are needed to thoroughly evaluate the potential therapeutic benefit of these agents for the treatment of hematological malignancies. Moreover, our experimental approach to dissect the polypharmacology of dipyridamole is one that may be useful when interrogating novel functions of other repurposed drugs.

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#### **Conflict of interest**

The authors declare no conflict of interest.

#### **Author contributions**

JL, AAP, and LZP conceived and designed the study. JL, AAP, and PS performed experiments, as well as analyzed and interpreted the experimental data. MDM and ADS provided the primary AML cells and clinical expertise. JL, AAP, and LZP wrote the manuscript. All authors read and approved the manuscript. LZP supervised the study.

#### Data accessibility

The RNA expression data in Fig. 3A were obtained through the CCLE database [8]. All other raw data

are available from the corresponding author upon reasonable request.

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## **Supporting information**

Additional supporting information may be found online in the Supporting Information section at the end of the article.

**Fig. S1.** Statin-cilostazol-induced cancer cell death can be rescued by exogenous MVA or GGPP. KMS11 and

OCI-AML-3 cells were treated as indicated with fluvastatin (2  $\mu$ M for KMS11 and 0.5  $\mu$ M for OCI-AML-3 cells), cilostazol (12.5  $\mu$ M), mevalonate (0.2 mM) and/or GGPP (2  $\mu$ M). After 48 hr, cell viability was evaluated by MTT assays. Data are represented as the mean + SD. \*p < 0.05 (one-way ANOVA with Tukey's multiple comparisons test, where the indicated groups were compared to the other groups of that cell line).

Fig. S2. Dipyridamole treatment increases intracellular cAMP. OCI-AML-3 cells were treated with 2  $\mu$ M fluvastatin  $\pm$  5  $\mu$ M dipyridamole for 15 min and intracellular cAMP levels were quantified. Data are represented as the mean + SD. \*p < 0.05 (one-way ANOVA with Dunnett's multiple comparisons test, where the indicated groups were compared to the solvent controls group).

Fig. S3. Forskolin and db-cAMP sensitize LP1 cells to fluvastatin-induced apoptosis. LP1 cells were treated with 4  $\mu$ M fluvastatin  $\pm$  10  $\mu$ M forskolin or 0.1 mM db-cAMP for 48 hr, after which apoptotic cells (double Annexin V-positive and 7AAD-positive cells) were quantified by flow cytometry. Data are represented as the mean + SD. \*p < 0.05 (one-way ANOVA with Dunnett's multiple comparisons test, where the indicated groups were compared to the solvent controls group).

**Fig. S4.** Potentiation of statin-induced cancer cell death by dipyridamole and cilostazol is independent of PKA. (A) Immunoblot for PKA C- $\alpha$  expression in LP1 cells expressing Cas9 and a sgRNA to a random locus on chromosome 10 (gC10 Random) or one of two different locations within *PRKACA* (representative of three independent experiments). (B) LP1 gC10 Random and gPRKACA sublines were treated with a range of fluvastatin concentrations (0-24 μM)  $\pm$  5 μM

dipyridamole or 10 µM cilostazol. After 48 hr, cell viability was evaluated by MTT assays. The area under each fluvastatin dose-response curve is plotted. Data are represented as the mean + SD. \*p < 0.05 (one-way ANOVA with Dunnett's multiple comparisons test, where the indicated groups were compared to the fluvastatin alone group of that subline). (C) Immunoblot for PKA C-α expression in S49 wildtype (WT) or kin-(PKA-null) cells (representative of three independent experiments). (D) S49 WT and kin- cells were treated with 5  $\mu$ M fluvastatin  $\pm$  2.5  $\mu$ M dipyridamole or 5 µM cilostazol for 48 hr, fixed in ethanol and assayed for DNA fragmentation (% pre-G1 population) as a marker of cell death by propidium iodide staining. Data are represented as the mean + SD. \*p < 0.05(one-way ANOVA with Dunnett's multiple comparisons test, where the indicated groups were compared to the solvent controls group of that cell line).

Fig. S5. Dipyridamole and cilostazol inhibit the sterolregulated feedback loop of the MVA pathway independent of PKA. (A) LP1 gPRKACA sublines were treated with 4  $\mu$ M fluvastatin  $\pm$  5  $\mu$ M dipyridamole or 20 µM cilostazol for 16 hr, and RNA was isolated to assay for HMGCS1 expression by gRT-PCR. mRNA expression data are normalized to GAPDH expression. Data are represented as the mean + SD. \*p < 0.05 (one-way ANOVA with Sidak's multiple comparisons test, where the indicated groups were compared to the solvent controls group of that subline). (B) LP1 gC10 Random or gPRKACA #1 cells were treated with 4  $\mu M$  fluvastatin  $\pm$  5  $\mu M$  dipyridamole or 20  $\mu M$ cilostazol for 8 hr, and protein was isolated to assay for SREBP2 cleavage (activation) by immunoblotting. F = full-length SREBP2, C = cleaved SREBP2. Immunoblots are representative of three independent experi-

### **CLINICAL CANCER RESEARCH | REVIEW**

# Statins as Anticancer Agents in the Era of Precision Medicine 🚾



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## **ABSTRACT**

Statins are widely prescribed cholesterol-lowering drugs that inhibit HMG-CoA reductase (HMGCR), the rate-limiting enzyme of the mevalonate metabolic pathway. Multiple lines of evidence indicate that certain cancers depend on the mevalonate pathway for growth and survival, and, therefore, are vulnerable to statin therapy.

However, these immediately available, well-tolerated, and inexpensive drugs have yet to be successfully repurposed and integrated into cancer patient care. In this review, we highlight recent advances and outline important considerations for advancing statins to clinical trials in oncology.

## Introduction

Since their approval by the FDA in the late 1980s, statins have revolutionized the clinical management of high cholesterol. Statins are specific inhibitors of the mevalonate pathway, which is responsible for the de novo synthesis of cholesterol and nonsterol isoprenoids (Fig. 1). Specifically, statins inhibit the conversion of HMG-CoA to mevalonate by inhibiting the rate-limiting enzyme of the mevalonate pathway, HMG-CoA reductase (HMGCR). In addition to its important roles in normal physiology, the mevalonate pathway supports tumorigenesis and is known to be deregulated in human cancers (1-4). As such, there is significant interest in repurposing statins as anticancer agents. Statins have been shown to induce potent tumorspecific apoptosis (5-7). Moreover, many retrospective studies have reported that statin use is associated with reduced cancer risk (8-11), lower cancer grade and stage at diagnosis (12, 13), and reduced recurrence and/or cancer-specific mortality (14-18). Given that statins are FDA-approved, well-tolerated, and are available as generic drugs, they offer an immediate, safe, and inexpensive opportunity to improve cancer patient care and treatment outcomes.

Despite these promising observations, statins have yet to be repurposed and integrated into cancer patient care. Emerging evidence suggests that certain molecular subtypes of cancer are more susceptible to statin therapy than others, highlighting the importance of predictive biomarkers for patient stratification. Moreover, recent clinical trials have provided important insights into how to realistically use these agents in an oncology setting. In this review, we highlight the gaps in knowledge that have precluded the repurposing of statins as anticancer agents, as well as recent advances that will help inform future clinical trial design.

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## **Statin Mechanism of Action**

Statins compete with HMG-CoA for binding to the active site of HMGCR, thereby reducing mevalonate synthesis. As a consequence, statins deplete intracellular cholesterol, which triggers a homeostatic feedback mechanism governed by the sterol regulatory element-binding protein (SREBP) family of transcription factors (Fig. 1). Activation of the SREBPs results in the increased expression of mevalonate pathway and sterol metabolism genes, including HMGCR and the low-density lipoprotein (LDL) receptor (LDLR). Increased membrane expression of LDLR leads to enhanced LDL cholesterol (LDL-C) uptake from the bloodstream, thus effectively lowering serum cholesterol levels. As a result, statins are commonly prescribed to reduce the risk of cardiovascular disease or improve survival in patients with cardiovascular disease.

Cholesterol has also been shown to play multifaceted roles in tumorigenesis (reviewed in refs. 1, 19). In specific contexts, statins have been shown to elicit their anticancer effects through the depletion of cholesterol. For example, one study demonstrated that simvastatin decreases the cholesterol content of lipid rafts in prostate cancer cells, which hinders AKT signaling and induces apoptosis (20). Moreover, in a subset of medulloblastoma driven by aberrant Hedgehog signaling, the depletion of cholesterol impairs signal transduction and inhibits cancer cell growth (21). However, in the majority of other reports, exogenous cholesterol is unable to rescue statin-induced apoptosis, highlighting a role for other end products of the mevalonate pathway in cancer cell survival.

In addition to cholesterol, statins also reduce the synthesis of nonsterol isoprenoids, including geranylgeranyl pyrophosphate (GGPP; Fig. 1). Several studies have shown that statin-induced apoptosis can be consistently and fully rescued by exogenous mevalonate or mevalonate-derived GGPP (22-25). These studies not only support that statin-induced apoptosis is an on-target effect, but also reveal that certain cancers rely on GGPP synthesis for survival. GGPP can serve as a substrate for protein prenylation, or as a precursor for the synthesis of other metabolites, such as coenzyme Q (CoQ) and dolichols (1). In recent years, it has become apparent that different cancer cell types have a dependency on distinct fates of GGPP (22-24, 26, 27). In acute myeloid leukemia and multiple myeloma cells, statin-induced apoptosis can be phenocopied by prenylation inhibitors, which suggests that these cancers rely on GGPP synthesis, at least in part, for protein prenylation (22, 23, 28). However, in other cancers where statin-induced cell death can be rescued by exogenous GGPP, statin sensitivity can be uncoupled from effects on protein prenylation (24). Indeed, recent studies have shown that



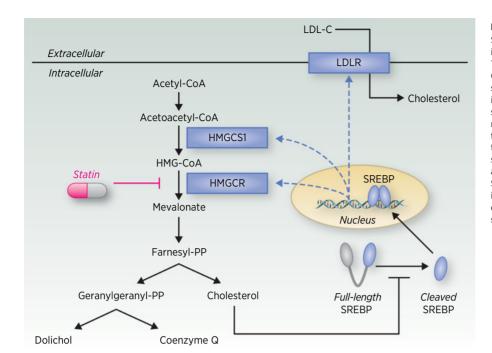


Figure 1. Schematic of the mevalonate pathway and its SREBP-mediated feedback response. The mevalonate pathway converts acetyl-CoA to cholesterol and a number of nonsterol isoprenoids that play important roles in cell growth and survival. Under homeostatic conditions intracellular cholesterol retains the SREBPs in their full-length, inactive form. In response to cholesterol depletion, such as when cells are treated with a statin, the SREBPs are cleaved, thus liberating the active transcription factor. Nuclear SREBP induces the transcription of genes involved in the mevalonate pathway and cholesterol transport. HMGCS1, HMG-CoA synthase 1.

certain tumors rely on the mevalonate pathway for the synthesis GGPP-derived CoQ (26, 27). In these cells, statin treatment leads to oxidative stress and apoptosis (26, 27), which can be rescued by exogenous CoQ (26).

Despite numerous studies implicating the direct, intratumoral inhibition of HMGCR as the mechanism by which statins elicit their anticancer effects, systemic contributions are also likely. It is important to note that, unlike in humans, statin treatment does not reduce serum cholesterol levels in mice (20, 29). While reducing circulating cholesterol levels may add to the benefit of statin therapy in patients with cancer, evidence from preclinical studies support a direct mechanism. Thus, in this review, we focus primarily on the direct effects of statins on cancer cells.

## **Identifying Statin Vulnerable Tumors**

While many epidemiologic studies report positive associations between statin use and cancer patient outcomes, the extent to which statin use confers a benefit is variable between studies (14–18). Several factors might explain this heterogeneity, including interpatient differences in the type of statin, dose, and duration of statin use (discussed further in the next section). Furthermore, it is possible that not all patients with cancer benefit equally from statin therapy. Consistent with this hypothesis, highly heterogeneous responses to statin exposure across panels of cancer cell lines have been reported (23, 24, 30–32), and biomarkers of statin sensitivity have recently been described (Fig. 2). Hence, different tumor subtypes are not equally vulnerable to statin therapy.

If statins are to be repurposed for the precise treatment of cancer, we must first identify which tumor subtypes are vulnerable to statin-mediated HMGCR inhibition. In breast cancer, for example, statin sensitivity has been associated with estrogen receptor (ER) status, where ER-negative breast cancer cells are particularly sensitive to statin exposure (31). These preclinical observations are further supported by clinical data demonstrating greater tumor cell apoptosis after fluvastatin treatment in women with ER-negative breast cancer (33). Inde-

pendent studies have demonstrated that tumor cells of various origins with higher expression of mesenchymal cell markers (e.g., vimentin) and/or lower expression of epithelial cell markers (e.g., E-cadherin) are highly sensitive to statin treatment (24, 34, 35). Furthermore, statins have been shown to preferentially kill cells induced to undergo epithelial-to-mesenchymal transition (24), suggesting that they may be effective at impairing metastatic disease. Whether ER-negative breast tumors are more sensitive to statins because they are more mesenchymal remains to be determined. Moreover, it remains poorly understood why cancer cells in a mesenchymal state are vulnerable to HMGCR inhibition. Nonetheless, these data further support the concept that statin sensitivity can be stratified by tumor subtype.

## Aberrant sterol metabolism

In some cancer cells, statin sensitivity is inversely associated with the ability to activate a feedback mechanism in response to mevalonate pathway inhibition. In response to cholesterol depletion, the SREBP family of transcription factors is activated to restore homeostasis (Fig. 1). In certain cancer cells, this feedback mechanism is impaired, which renders them vulnerable to HMGCR inhibition. In multiple myeloma, for example, it was shown that a subset of cell lines and primary patient-derived cells fail to induce the expression of SREBP target genes following statin treatment and readily undergo apoptosis (36). In contrast, cell lines and primary cells with robust statininduced SREBP activation were resistant to statin exposure (36). Statin sensitivity has subsequently been associated with impaired feedback regulation of the mevalonate pathway in other cancer types, including prostate cancer (32). Further research is required to better understand why some cancer cells have impaired feedback regulation of the mevalonate pathway, and to identify a clinically amenable biomarker that can stratify patients on the basis of this dampened homeostatic response.

In breast cancer, statin sensitivity has been inversely associated with high basal expression of cholesterol biosynthesis genes, including *HMGCR* (37). This is consistent with a report that acquired resistance to statin exposure *in vitro* is associated with significantly elevated

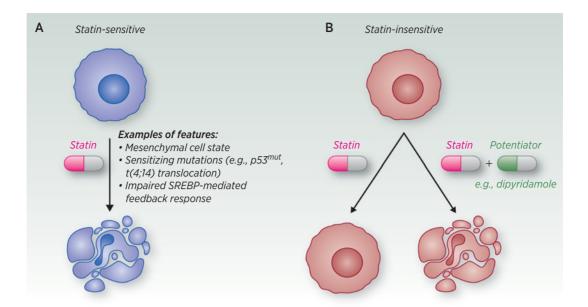


Figure 2.

Identifying statin vulnerable tumors. Cancer cells display a wide range of statin drug sensitivities, highlighting that not all tumors are vulnerable to mevalonate pathway inhibition. A, Statin sensitivity has been associated with various molecular features, including tumor-specific genetic lesions and deficiencies in regulating the mevalonate pathway. Treatment of these tumor cells induces cell death in a dose- and time-dependent manner. B, In other tumor cells, a statin alone is insufficient to induce cell death; however, cotreatment with additional targeted agents can sensitize these cells to statin treatment. For example, the drug dipyridamole prevents the compensatory activation of the SREBPs following statin treatment, thereby potentiating statin-induced cell death.

HMGCR expression (38). However, studies that have evaluated HMGCR expression as a predictive biomarker of statin sensitivity have yielded conflicting results (31, 36–39). This is likely due, in part, to the lack of specificity of many commercially available HMGCR antibodies (2, 37, 40). These observations also suggest that there is a complex relationship between HMGCR expression and statin sensitivity in cancer. On one hand, elevated HMGCR expression and deregulated mevalonate pathway activity can support tumorigenesis and render cancer cells vulnerable to statin treatment (2, 41). In these tumors, elevated HMGCR expression may indicate a tumor dependency, whereby even a slight dampening of mevalonate pathway activity is sufficient to induce tumor-specific cell death. On the other hand, as HMGCR expression continues to increase (e.g., via elevated SREBP activity), higher statin drug concentrations are required to inhibit the mevalonate pathway, thereby decreasing statin sensitivity (32, 36, 38, 42). Hence, careful consideration is required when evaluating the utility of HMGCR expression as a predictive biomarker of statin sensitivity in cancer.

## Mutations and altered cell signaling

There is extensive interplay between the mevalonate pathway and signal transduction in cancer (reviewed in ref. 1), and, therefore, aberrant cell signaling in tumors may confer increased sensitivity to statin therapy. For example, mevalonate-derived farnesyl pyrophosphate (FPP) and GGPP serve as substrates for the posttranslational prenylation of oncoproteins such as RAS and RHO, which is important for their proper localization and function (43). As such, it has long been hypothesized that RAS mutations may be potential biomarkers of statin sensitivity. While activated RAS can sensitize some cells to statins (24, 44), preclinical studies have shown that statin-induced apoptosis is independent of RAS localization and function (23, 24, 44). Moreover, several clinical trials have evaluated statin therapy in

patients with RAS-mutant tumors, but the majority of trials failed to demonstrate promising therapeutic responses (45–48). Hence, despite the interplay between RAS and the mevalonate pathway in cancer, RAS status is a poor predictor of statin sensitivity.

A number of recent studies have also implicated *TP53* status in modulating cancer cell sensitivity to statins. While wild-type p53 represses the mevalonate pathway (49), loss of p53 and certain gain-of-function p53 mutants have been shown to induce the expression of mevalonate pathway genes (41, 49, 50). Consistently, it has been demonstrated that these p53-null (26) or -mutant (50–52) tumors are dependent on the mevalonate pathway and particularly vulnerable to statin treatment. The latter has been attributed to the roles of the mevalonate pathway in the stability of mutant p53 protein (51–53).

Cancer type–specific biomarkers of statin sensitivity may also exist. For example, in clear-cell renal cell carcinoma, cells driven by loss of the tumor suppressor, von Hippel-Lindau (*VHL*; ~90% of tumors), were found to be dependent on the mevalonate pathway for proper RHO and RHO kinase (ROCK) signaling, and were more sensitive to statin treatment compared with *VHL* wild-type cells (54). Moreover, in multiple myeloma, cancer cells driven by a t(4;14) chromosomal translocation are highly dependent on GGPP synthesis and more sensitive to statin-induced apoptosis compared with other multiple myeloma subtypes (55). While *TP53*, *VHL*, and t(4;14) status can potentially predict statin sensitivity, further validation in patients will be required before these biomarkers can be used clinically.

## Considerations for Advancing Statins to Clinical Trials in Oncology

After identifying which patients with cancer might benefit from the addition of a statin to their treatment regimen, the next step is

evaluating how best to prescribe these drugs as anticancer agents. Data from epidemiologic, preclinical, and early-phase clinical studies have demonstrated that statin type, dose, and treatment duration are all important variables to consider when evaluating statins as anticancer agents. While all FDA-approved statins are effective in lowering serum cholesterol by inhibiting HMGCR activity in the liver (Table 1), their ability to directly inhibit HMGCR in extrahepatic tumor tissues may be statin type specific. It has been hypothesized that the lipophilic statin drugs are more likely to reach and readily enter extrahepatic cells, whereas hydrophilic statins are more hepatoselective (56). Consistent with this hypothesis, epidemiologic studies have reported that lipophilic, but not hydrophilic, statin use is associated with reduced cancer incidence (10) and recurrence (15) in patients with breast cancer.

Recent clinical studies have reported that the lipophilic statins, atorvastatin (57) and fluvastatin (58), are measurable in prostatic tissue at low nanomolar concentrations after short-term treatment with a typical cholesterol-lowering dose (80 mg/day). While these concentrations are less than those evaluated in most in vitro studies, these lower concentrations, when prescribed in the neoadjuvant setting (discussed further in the next section), were shown to reduce tumor cell proliferation (59) or induce apoptosis (58) in a time-dependent manner. These observations are consistent with epidemiologic (60, 61), preclinical (30, 31, 58, 62), and clinical (33, 58, 59) data, all of which indicate that the anticancer effects of statins are both dose- and time-dependent. This implies that comparable anticancer responses may be achieved using lower statin doses over longer durations versus higher statin doses over a shorter period of time. Phase I dose escalation studies have indeed demonstrated that statins are well-tolerated at doses much higher than typically prescribed for cholesterol management ( $\sim$ 10–30  $\times$  higher), at least for defined periods of time (63-66).

Interestingly, while similar concentrations of atorvastatin and fluvastatin were measured in prostatic tissue following acute treatment, only atorvastatin was found to accumulate within the prostate relative to the serum (57, 58). This may have important implications for longer treatment schedules, as the pharmacokinetic properties of specific statins may enable higher achievable drug concentrations within certain tumor tissues over time. The choice of statin and dosing schedule will likely depend on the type of cancer being treated.

## **Neoadjuvant statin therapy**

A promising therapeutic space for the use of statins is soon after diagnosis to delay the need for more aggressive treatment

and/or improve the outcome of first-line therapy. In a series of window-of-opportunity trials in breast and prostate cancer, lipophilic statin treatment showed evidence of reduced tumor cell proliferation and increased apoptosis in a subset of patients. In these studies, shortterm neoadjuvant treatment (between 1.5 and 12 weeks) with a cholesterol-lowering dose of either fluvastatin (33, 58) or atorvastatin (39, 59) was evaluated. In all four studies, pretreatment biopsy samples were compared with surgical material obtained after statin treatment. Immunohistochemistry was then performed to evaluate markers of tumor cell proliferation (Ki67) and/or apoptosis (cleaved caspase-3). Fluvastatin treatment was reported to increase tumor cell apoptosis in patients with high-grade breast cancer (33) and localized prostate cancer (58), where greater increases were observed in patients on a higher dose (33) or treated for longer durations (58). Similarly, neoadjuvant atorvastatin therapy was shown to reduce tumor cell proliferation in patients with primary invasive breast cancer (39). Subsequent microarray analysis in these same paired clinical samples revealed atorvastatin-induced effects on genes associated with apoptosis and reduced MAPK signaling (67). While neoadjuvant atorvastatin therapy was not found to reduce intratumoral Ki67 staining in patients with prostate cancer overall, a significant decrease in Ki67 was observed in patients on atorvastatin for greater than 28 days (59); however, a similar response in Ki67 was not observed following fluvastatin therapy (58).

Not only do these studies reinforce that the anticancer effects of statins are both dose- and time-dependent, but they further highlight that certain subgroups of patients may benefit more than others. For example, neoadjuvant fluvastatin treatment in breast cancer was found to decrease Ki67 and increase cleaved caspase-3 expression in patients with ER-negative, high-grade tumors (33), which is consistent with ER-negative breast cancer cells being particularly vulnerable to statin exposure (31). Future studies are needed to evaluate the potential long-term benefits that these effects may have on disease progression.

#### Statins in combination with standard chemotherapy

In phase I/II studies that have evaluated statins in combination with various standard-of-care therapies, promising responses have been reported in some patients, ranging from stable disease to complete responses (64, 66, 68, 69). While it is premature to draw conclusions about statin efficacy from these studies, these data provide important information that should be considered when designing future randomized controlled trials (RCTs). For example, the variable responses observed when considering mixed patient populations suggest that there are likely specific subsets of patients with cancer who might

**Table 1.** Properties of different statin drugs.

		Human dose (mg; ref. 103)			
Statin drug (trade name)	Low (  LDL-C <30%)	Moderate (   LDL-C 30%-49%)	High (  LDL-C ≥50%)	Metabolism (104)	Solubility (104)
	(\psi LDL-C <30%)	( LDL-C 30%-49%)	(\ LDL-C \\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	Metabolisiii (104)	Solubility (104)
Atorvastatin (Lipitor)	N/A	10-20	40-80	CYP3A4	Lipophilic
Rosuvastatin (Crestor)	N/A	5-10	20-40	Non-CYP450 (limited CYP2C9/8)	Hydrophilic
Simvastatin (Zocor)	10	20-40	N/A	CYP3A4	Lipophilic
Pravastatin (Pravachol)	10-20	40-80	N/A	Non-CYP450	Hydrophilic
Lovastatin (Mevacor)	20	40-80	N/A	CYP3A4	Lipophilic
Fluvastatin (Lescol)	20-40	40 mg 2 ×/day or XL 80 mg	N/A	CYP2C9	Lipophilic
Pitavastatin (Livalo)	N/A	1-4	N/A	Non-CYP450 (limited CYP2C9/19)	Lipophilic

Abbreviation: XL, extended release.

**Table 2.** Summary of RCTs of statins combined with other therapies in oncology.

Cancer type	Statin (dose)	Type of study	Other therapies	Outcome	Reference
Lung (SCLC)	Pravastatin (40 mg/day)	Phase III, double- blind, placebo- controlled	Etoposide plus cisplatin or carboplatin	Pravastatin + standard chemotherapy did not offer additional benefit compared with chemotherapy alone	70
Lung (NSCLC)	Simvastatin (40 mg/day)	Phase II	Gefitinib	Simvastatin + gefitinib resulted in higher tumor response rates and longer PFS compared with gefitinib alone only in subgroup of patients with EGFR <sup>WT</sup> nonadenocarcinomas	92
	Simvastatin (40 mg/day)	Phase II	Afatinib	Simvastatin + afatinib was well-tolerated, but did not improve response rates compared with afatinib alone in patients with nonadenocarcinomas	93
Hepatocellular	Pravastatin (40 mg/day)	Phase II	Transcatheter arterial embolization followed by fluorouracil	Pravastatin + standard therapy prolonged OS compared with standard therapy alone	105
	Pravastatin (40 mg/day)	Phase III	Sorafenib	Pravastatin + sorafenib did not improve OS or PFS compared with sorafenib alone	72
Gastric	Pravastatin (40 mg/day)	Phase II	Epirubicin, cisplatin and capecitabine	Pravastatin + standard chemotherapy was well- tolerated, but did not improve progression-free rate at 6 months compared with chemotherapy alone	106
	Simvastatin (40 mg/day)	Phase III, double- blind, placebo- controlled	Capecitabine and cisplatin	Simvastatin + capecitabine-cisplatin did not increase PFS compared with capecitabine-cisplatin alone	73
Colorectal	Simvastatin (40 mg/day)	Phase III, double- blind, placebo- controlled	FOLFIRI/XELIRI	Simvastatin + FOLFIRI/XELIRI did not increase PFS compared with FOLFIRI/XELIRI alone	71
Pancreatic	Simvastatin (40 mg/day)	Phase II, double- blind, placebo- controlled	Gemcitabine	Simvastatin + gemcitabine was well-tolerated, but did not decrease TTP compared with gemcitabine alone	48
Multiple myeloma	Lovastatin (0.5–2 mg/kg)	Phase II	Thalidomide and dexamethasone	Lovastatin + thalidomide-dexamethasone prolonged OS and PFS compared with thalidomide-dexamethasone alone	68

Abbreviations: OS, overall survival; TTP, time to progression.

benefit from statin therapy, highlighting the need for predictive biomarkers to inform patient stratification.

Statin therapy has been evaluated in a number of RCTs (**Table 2**), including phase III trials in patients with small-cell lung cancer (SCLC; ref. 70), metastatic colorectal cancer (71), advanced hepatocellular carcinoma (72), or advanced gastric cancer (73). In these studies, the addition of 40 mg/day pravastatin (70, 72) or simvastatin (71, 73) to standard chemotherapy offered no additional benefit compared with chemotherapy alone. While disappointing, these studies were designed and initiated prior to evidence demonstrating that specific tumor subtypes are more vulnerable to statins than others. No phase III study to date has stratified patients on the basis of molecular markers predictive of statin sensitivity; however, post hoc analyses may uncover that a particular subgroup of patients benefited from statin therapy in these phase III trials. Moreover, given our increasing understanding of the differences between statin drugs and their differential ability to accumulate in extrahepatic tissues (57, 58, 74), choice of statin drug is an important factor. Both pravastatin and simvastatin at 40 mg/day are moderate-intensity prescriptions (Table 1), and, therefore, higher doses or prescription of a higher intensity statin might have yielded greater responses in these studies. Drug combination strategies to potentiate the anticancer activity of statin drugs might also be considered for future RCTs.

## Combining Statins With Moleculartargeted Therapies

Statins have been evaluated in combination with various classes of other anticancer agents, including targeted therapeutics against different oncogenic signaling pathways and epigenetic modifiers (**Table 3**). Combining a statin with other targeted therapies can enhance their anticancer activity and overcome potential drug resistance mechanisms.

As with any combination therapy approach, not only is there the potential for synergistic anticancer activity, but there is also the possibility of drug–drug interactions that lead to increased toxicity. Hence, careful consideration must be given to drug selection. In addition to differences in solubility, statins also differ from one another in how they are metabolized (**Table 1**). For example, atorvastatin is highly lipophilic, but is primarily metabolized by cytochrome P450 (CYP450) 3A4 (CYP3A4). CYP3A4 function is modulated by certain foods and several commonly prescribed medications (including many chemotherapeutics), and, therefore, lipophilic statins metabolized by other enzymes, such as fluvastatin or pitavastatin, may offer a lower potential for unwanted drug–drug interactions (75). Moreover, some statins, such as lovastatin, have been shown to interact with and modulate P-glycoprotein activity (a major drug efflux pump; refs. 30, 76). These factors must be considered when evaluating statins in combination with other targeted therapies.

**Table 3.** Statin combinations with small-molecule inhibitors to increase anticancer efficacy.

Agent	Molecular target(s)	Cancer type(s)	Proposed mechanism(s) of interaction	Reference
Dipyridamole	Polypharmacology with activity against SREBP	Multiple cancer types, including AML, multiple myeloma, prostate, and breast	Dipyridamole inhibits statin-induced SREBP activation and potentiates statin-induced apoptosis of tumor cells	32, 38, 42
Zoledronic acid	FPP synthase	Multiple cancer types, including lymphoma, breast, and ovarian	Combined inhibition of the mevalonate pathway	52, 107, 108
Abiraterone acetate Enzalutamide	AR —	Prostate	Enhanced suppression of AR signaling; statins reduce AR expression and activity	82-84
Venetoclax	BCL2	Hematologic cancers	Statins suppress protein geranylgeranylation, resulting in PUMA upregulation and venetoclax sensitization	109
Selumetinib	MEK, Cys-Glu antiporter	Pancreatic	Enhanced oxidative stress	27
Erlotinib	EGFR	Multiple cancer types, including NSCLC and HNSCC	Enhanced suppression of EGFR signaling; statins inhibit ligand-induced EGFR activation and AKT signaling	87-91
Gefitinib	_			
Vismodegib	Smoothened	Medulloblastoma	Enhanced suppression of Hedgehog signaling	21
JQ1	BET bromodomains	Pancreatic	Combined inhibition of processes downstream of acetyl-CoA	3
Vorinostat	HDACs	Multiple cancer types, including renal and breast	Impaired autophagic flux, AMPK activation	94-96
Panobinostat				
Celecoxib	COX2	Multiple cancer types, including prostate and colorectal	Unknown	110-112
Metformin	Polypharmacology, indirect activation of AMPK	Multiple cancer types, including prostate and endometrial	Unknown; possibly enhanced AMPK activation	113, 114
Anti-PD-1 antibody	PD-1	Multiple cancer types, including melanoma	Enhanced T-cell activation and antitumor immunity	102

Abbreviations: AML, acute myeloid leukemia; HNSCC, head and neck squamous cell carcinoma.

### **SREBP** inhibition

One approach to potentiate statin-induced apoptosis is via combination treatment with SREBP inhibitors. Similar to normal cells, statin treatment triggers the activation of the SREBPs in most cancer cells (Fig. 1). Statin-mediated activation of the SREBPs, particularly SREBP2, results in the induction of mevalonate pathway gene expression, including the upregulation of HMGCR. Knockdown of SREBF2 (the gene that encodes SREBP2) via RNAi suppresses this feedback loop and sensitizes cancer cells to statin-induced death (32, 77). Consistent with this result, our group identified that the drug dipyridamole, an agent approved for the secondary prevention of cerebral ischemia, could synergize with statins to induce apoptosis in hematologic cancer (42) and prostate cancer (32) cells. Mechanistically, we and others have shown that dipyridamole inhibits statin-induced SREBP activation, thereby preventing the upregulation of mevalonate pathway genes in response to statin exposure (32, 38, 42). By impairing this feedback mechanism, dipyridamole significantly reduces the concentration of statin drug needed to inhibit the mevalonate pathway and induce apoptosis. Because both statins and dipyridamole are FDAapproved drugs, there is interest in advancing this drug combination to clinical trials in oncology.

#### Antiandrogen therapy

Epidemiologic evidence supports a positive association between statin use and response to antiandrogen therapy in patients with prostate cancer (78–81). These data are further supported by preclinical studies showing that the combination of a statin with either abiraterone acetate or enzalutamide enhances cytotoxicity in prostate cancer cell lines (82, 83). Moreover, enzalutamide-resistant prostate cancer cells upregulate HMGCR expression, and treatment with simvastatin resensitizes these cells to enzalutamide (84).

A number of mechanisms have been proposed for the interaction between statins and antiandrogen therapy. First, cholesterol is a precursor for androgen biosynthesis, and, therefore, statin-mediated cholesterol depletion may also reduce intratumoral androgen levels. Consistent with this hypothesis, statins have been shown to inhibit androgen receptor (AR) activity in prostate cancer cell lines (82-85). In these same studies, statins were also found to reduce AR expression (82-85), possibly via the inhibition of AKT/mTOR signaling (84). Moreover, statin use has been associated with reduced serum PSA levels in patients with prostate cancer (86), which is regulated by AR. Finally, certain statin drugs can compete with dehydroepiandrosterone sulfate, a testosterone precursor, for binding to a transporter at the surface of prostate cancer cells, and, therefore, block androgen uptake (78). Taken together, statins can enhance antiandrogen therapy through multiple mechanisms. Prospective clinical trials are warranted to evaluate the combination of a statin and antiandrogen therapy in patients with advanced prostate cancer and other steroid hormonedriven malignancies.

#### **EGFR** inhibitors

Given the interplay between the mevalonate pathway and oncogenic signal transduction (1), numerous studies have evaluated the combination of a statin with various agents that target cell signaling (**Table 3**). For example, statins synergize with EGFR inhibitors, including erlotinib and gefitinib, to induce cell death in a number of different cancer

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cell types *in vitro* (87). Statins have been shown to inhibit ligand-induced EGFR activation and downstream AKT signaling, which can be reversed by exogenous GGPP (88, 89). Statin-mediated inhibition of AKT has further been implicated as a mechanism for overcoming resistance to EGFR inhibitors in non-small cell lung cancer (NSCLC) cells (90, 91). Combined treatment with a statin and EGFR inhibitor has been evaluated in phase II RCTs in patients with NSCLC (**Table 2**). Simvastatin (40 mg/day) in combination with gefitinib resulted in higher tumor response rates and longer progression-free survival (PFS) compared with gefitinib alone in patients with EGFR wild-type nonadenocarcinomas (92); however, similar responses were not observed when simvastatin was combined with afatinib, a second-generation EGFR inhibitor (93).

#### **Epigenetic inhibitors**

An emerging area of investigation is the combination of statins and epigenetic inhibitors, including histone deacetylase (HDAC) and bromodomain inhibitors (refs. 3, 94–96; **Table 3**). A series of dual-action compounds has also been developed, where the hydroxamate group of vorinostat, an HDAC inhibitor, was fused to lovastatin (97). The resulting HMGCR-HDAC dual inhibitors have been shown to possess potent and selective anticancer activity (98, 99). In mouse models of colorectal cancer, treatment with a dual HMGCR-HDAC inhibitor significantly reduced intestinal inflammation, decreased tumor burden, and impaired metastasis (98).

The mechanism by which statins and different epigenetic inhibitors interact remains poorly characterized. However, given that acetyl-CoA is required for both protein acetylation and mevalonate metabolism, it is possible that simultaneously inhibiting multiple acetyl-CoA-dependent processes is detrimental to tumor cells. Indeed, both histone acetylation and mevalonate pathway gene expression are upregulated in pancreatic adenocarcinoma (PDAC) tumors, and cotreatment with atorvastatin and a bromodomain inhibitor, JQ1, significantly impairs PDAC cell growth (3).

#### **Immunotherapy**

Statins have also been shown to elicit immunomodulatory effects (reviewed in ref. 100). High cholesterol in the tumor microenvironment and in tumor-infiltrating CD8+ T cells is associated with elevated expression of immune checkpoint proteins and enhanced T-cell exhaustion, which allows tumor cells to escape immune surveillance (101). Importantly, reducing cholesterol levels in the tumor microenvironment or in CD8<sup>+</sup> T cells restores T-cell antitumor activity (101). These critical observations highlight the potential for statins to be combined with immunotherapy for the treatment of cancer. An independent study that evaluated the vaccine adjuvant activity of statins revealed that lipophilic statins, such as simvastatin, induce a strong Th1 and cytotoxic T-cell response in mice and enhance the therapeutic response to cancer vaccination (102). In particular, the inhibition of protein prenylation in antigen-presenting cells enhanced antigen presentation and T-cell activation (102). This favorable antitumor response was further potentiated by programmed cell death protein-1 (PD-1) blockade, which resulted in prolonged survival in mice inoculated with melanoma or human papillomavirus-associated tumors (102). As most preclinical studies to date have evaluated the anticancer activity of statins in vitro or in immunocompromised animal models, future investigation into the immunomodulatory properties of statins will undoubtably open exciting avenues of research with important clinical implications.

## **Outlook**

If statins are to be integrated into cancer patient care, a precision medicine approach is necessary. In this review, we highlighted recent advances and outlined important considerations for advancing statins to clinical trials in oncology. We also proposed key questions that should be the focus of future research (Table 4). As not all tumors are vulnerable to statin-mediated mevalonate pathway inhibition, the development of predictive biomarkers of statin sensitivity is crucial for patient stratification. We have highlighted some promising preclinical biomarkers of statin sensitivity, which can be validated in future clinical trials by enriching for patients with these tumor features. In addition, post hoc analyses of completed, unbiased RCTs may similarly reveal novel biomarkers of statin response. However, few statin RCTs in oncology have been performed to date, and those that have been performed evaluated moderate-intensity statin regimens. Given the increasing evidence that certain statins may be better suited as anticancer agents than others, coupled with data indicating that statin-induced apoptosis is both dose- and time-dependent, careful consideration is required when deciding which statin(s) and dosing schedules to evaluate clinically. It is also unlikely that statins will be prescribed as a monotherapy, and, therefore, further investigation into drug combination strategies will remain an important area of research. As a number of preclinical potentiators of statin-induced cancer cell death have already been described, many of which are FDAapproved, immediate phase I/II studies are possible. The outcome of these studies will provide important insights into how to realistically use these immediately available, well-tolerated, and inexpensive agents as precision anticancer therapeutics.

#### Table 4. Future research.

- (i) With improvements in reagents to study the mevalonate pathway, including validated HMGCR antibodies, further research into the mechanisms of mevalonate pathway deregulation in cancer is needed.
- (ii) Promising predictive biomarkers have been described in cell line models, which warrant further characterization and validation in relevant patient-derived models and clinical trials. These may inform patient inclusion in future RCTs.
- (iii) Impaired feedback regulation of the mevalonate pathway has been described as a feature of statin sensitivity in different cancer cell lines; however, the extent of this deregulation in human tumors remains to be characterized. A better understanding of the mechanisms behind this impairment will allow for the development of additional predictive biomarkers of statin sensitivity.
- (iv) As some statin drugs may have a greater propensity to accumulate in certain tumor tissues than others, a direct comparison of the achievable concentrations of different statins in distinct tissues is needed.
- (v) Studies are required to evaluate and compare the efficacy of different statins as anticancer agents at various doses (typical cholesterollowering doses vs. dose escalation) and treatment durations. The development of dynamic biomarkers of statin response will facilitate real-time monitoring of treatment efficacy.
- (vi) A better understanding of the mechanisms by which different classes of agents potentiate the anticancer activity of statins will allow for the future development of effective drug combinations.
- (vii) A number of preclinical potentiators of statin-induced cell death have been described and need to be evaluated in RCTs.
- (viii) Statins have known immunomodulatory properties, which to date have been poorly studied in the context of cancer. Further research in this area is imperative. How these properties influence their interaction with different immunotherapies should also be explored.

#### **Disclosure of Potential Conflicts of Interest**

No potential conflicts of interest were disclosed.

#### Disclaimer

Opinions, interpretations, conclusions, and recommendations are those of the authors and are not necessarily endorsed by the Department of Defense.

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## **Clinical Cancer Research**

## Statins as Anticancer Agents in the Era of Precision Medicine

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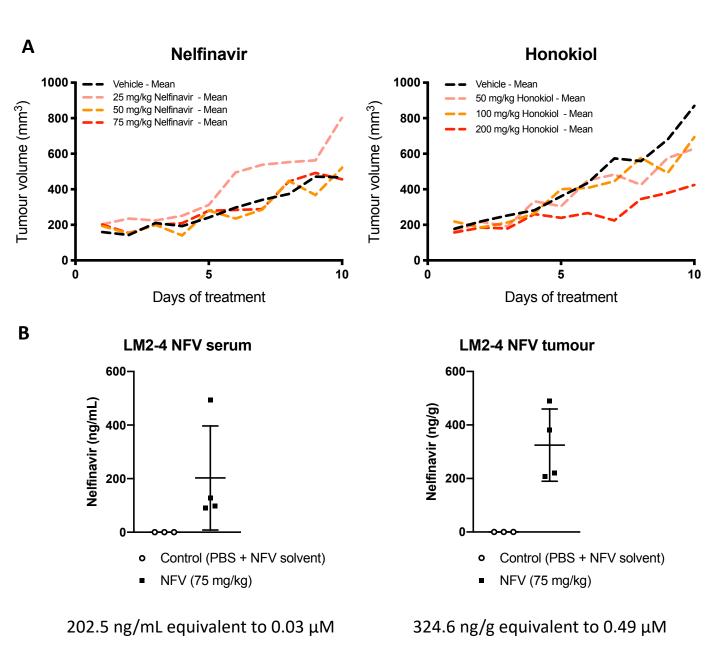
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FIGURE 1 Appendix 6



**Figure 1. (A)** Pilot experiments to establish dose of single-agent Nelfinavir and Honokiol in LM2-4 cell line xenograft mouse models of breast cancer (n=3 mice per group. Nelfinavir – 1x daily IP injection (Control = 4% DMSO, 5% PEG, 5% Tween80 in saline) Honokiol – 1x daily IP injection (Control = EtOH in 20% intralipid) **(B)** Nelfinavir measured by HPLC in the serum and tumor of the mice. These experiments pilot further evaluation of efficacy in PDO and PDX as outlined for work to perform during the next 12 months as part of our no-cost-extension.