REPO	ORT DOCU	MENTATI	ON PAGE	Form Approved OMB NO. 0704-0188					
searching exist regarding this Headquarters Respondents sl of information if	ing data sources, g burden estimate o Services, Directora nould be aware tha it does not display	gathering and main or any other aspe- ate for Information t notwithstanding a a currently valid O	ntaining the data needed act of this collection of in Operations and Repor	, and corr informatio ts, 1215	npleting and rev n, including su Jefferson Dav	esponse, including the time for reviewing instructions, viewing the collection of information. Send comments iggesstions for reducing this burden, to Washington is Highway, Suite 1204, Arlington VA, 22202-4302. ect to any oenalty for failing to comply with a collection			
1. REPORT I	DATE (DD-MM-	-YYYY)	2. REPORT TYPE			3. DATES COVERED (From - To)			
05-01-2020)	-	Final Report			6-Apr-2016 - 5-Oct-2019			
4. TITLE AN	ND SUBTITLE				5a. CON	FRACT NUMBER			
Final Report	rt: Novel meta	morphic heter	W911N	W911NF-16-2-0053					
infrared optoelectronics						5b. GRANT NUMBER			
						5c. PROGRAM ELEMENT NUMBER			
			611102						
6. AUTHORS						5d. PROJECT NUMBER			
					5e. TASK NUMBER				
					5f. WORI	5f. WORK UNIT NUMBER			
Research Fo W-5510 Me	oundation of SUN elville Library	NY at Stony Brc	ES AND ADDRESSE	S		. PERFORMING ORGANIZATION REPORT IUMBER			
Stony Broo			04 -3362	DDDDOO	1(
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS (ES)						10. SPONSOR/MONITOR'S ACRONYM(S) ARO			
P.O. Box 12				11. SPONSOR/MONITOR'S REPORT NUMBER(S)					
Research Triangle Park, NC 27709-2211						68326-EL.19			
	BUTION AVAIL								
- 11	public release; d		imited.						
The views, o		ndings contained	in this report are those s so designated by oth			should not contrued as an official Department			
14. ABSTRA	ACT								
15. SUBJEC	CT TERMS								
	TY CLASSIFIC	-	17. LIMITATION	-	5. NUMBER				
	b. ABSTRACT		ABSTRACT		OF PAGES	Dmitri Donetski 19b. TELEPHONE NUMBER			
UU	UU	UU	UU			631-632-8411			

Т

Г

as of 07-Jan-2020

Agency Code:

Proposal Number: 68326EL INVESTIGATOR(S):

Agreement Number: W911NF-16-2-0053

Name: Dmitri Donetski Email: dmitri.donetski@stonybrook.edu Phone Number: 6316328411 Principal: Y

Name: Gregory Belenky Ph.D. Email: gregory.belenky@stonybrook.edu Phone Number: 6316329741 Principal: N

Name: Sergey Suchalkin Email: sergey.suchalkin@stonybrook.edu Phone Number: 6316328413 Principal: N

Organization:Research Foundation of SUNY at Stony Brook UniversityAddress:W-5510 Melville Library, Stony Brook, NY 117943362Country:USADUNS Number:804878247Builder Begort Date:05-Jan-2020Final Report for Period Beginning 06-Apr-2016 and Ending 05-Oct-2019Title:Novel metamorphic heterostructures for long wave infrared optoelectronicsBegin Performance Period:06-Apr-2016Report Term:0-OtherSubmitted By:Dmitri DonetskiEmail:dmitri.donetski@stonybrook.edu
Phone:(631) 632-8411

Distribution Statement: 1-Approved for public release; distribution is unlimited.

STEM Degrees: 1

STEM Participants: 5

Major Goals: III-V semiconductor compound barrier heterostructures for infrared optoelectronics are considered to be an attractive alternative to II-VI (HgCdTe) technology, mainly because of the lower cost, ease of scaling to large format arrays and better uniformity [1]. Due to the stronger, less ionic chemical bond, III-V semiconductors are more robust and stable than their II-VI equivalents. During SPIE Defense and Security Conference in April 2017, Anaheim, California, the spectacular results of the Vital Infrared Sensor Technology Acceleration (VISTA) US government program in developing Type-2 Strained Laver Superlattice (SLS) Focal Plane Array of small pixel detectors have been demonstrated. Optoelectronic devices with Type-2 InAsSb/InAs (Ga-free) SLS absorbers grown lattice matched to GaSb substrate outperform previously employed technologies in mid-wave infrared (MWIR) wavelength range. At the same time, extension of Type-2 Ga-free SLS operation to Long Wave Infrared Range (LWIR) meet fundamental challenges [2]. Reduction of the energy gap in this system and increase of cut-off wavelength, respectively, is obtained with increase of Sb composition. Following this approach for reliable device operation, the practical Sb composition in InAsSb is limited to ~40 % defined by the maximum 2 % strain in InAsSb layer grown lattice matched to GaSb. This leads to an increasing challenge to obtain the device cut-off wavelength beyond 12 um. A consequence of large Sb composition in InAsSb layers is an increase of the thickness of InAs layers dictated by required strain balancing with growth on the GaSb platform. Elevated thickness of InAs layers results in reduction of electron hole overlap (lower absorption) and impeded hole transport (shorter minority hole diffusion length in the growth direction). Both of the factors result in reduction of the critical parameter, guantum efficiency.

In our solution solution we eliminate the design constraint implied by GaSb lattice constant by developing GaInSb and AlInSb virtual substrates (VS) with elevated lattice constants. The latter allows an increase of the average Sb composition in the device absorber and realization of energy gaps approaching zero for removing cut-off wavelength limit as well as realization of absorbers with inverted bands for novel properties useful for device applications. With the VS approach the InAsSb x/InAsSby SLS absorbers can be designed with short periods for a

as of 07-Jan-2020

superior e-h wavefunction overlapping resulting in a bulk-like absorption and unimpeded hole transport in the growth direction.

Major Goals:

1. To explore a new way to device engineering lifting the design limitations dictated by the substrate and making the lattice constant a design parameter.

To design III-V semiconductor compound heterostructures with energy gaps below 0.1 eV with combination of novel and unique optical and carrier transport properties including high optical absorption and long diffusion length of minority holes in the growth direction.

2. To assess the role of naturally-formed CuPt-type ordering in engineering InAsSbx/InAsSby epitaxial heterostructures.

3. To determine energy gap and energy dispersion parameters of heterostructures with energy gaps below 0.1 eV with magneto-absorption and magneto-transport measurements in strong magnetic fields.

Developing of the optimal VS buffer technology for high quality epitaxy on lattice mismatched substrates, understanding the role of ordering in epitaxial growth of InAsSb -based absorbers are important fundamental studies toward integration of the MWIR and LWIR optoelectronic materials on GaAs and Si platforms.

References:

1. A. Rogalski, P. Martyniuk, M. Kopytko, Type-II superlattice photodetectors versus HgCdTe photodiodes, Conference: Sensors, Systems, and Next-Generation Satellites XXIII, October 2019, DOI: 10.1117/12.2538538

2. D. Ting, A. Soibel, A. Khoshakhlagh et al, Development of InAs/InAsSb Type II Strained-Layer Superlattice Unipolar Barrier Infrared Detectors, J. of Electron. Mater., 48 (10), 2019. DOI: 10.1007/s11664-019-07255-x

Accomplishments: Quality InAsSb-based epitaxial layers and barrier heterostructures of nBn and nBp types with average Sb compositions significantly greater than 10 % have been grown by Molecular Beam Epitaxy on GaSb and GaAs platforms. A near 10 % average Sb composition is realized with conventional pseudomorphic growth with lattice matching of InAsSb SLS to the GaSb substrate. A larger average Sb composition requires a buffer with a larger lattice constant compared to that of GaSb. The lattice constant difference was accommodated with GaInSb and AllnSb buffers and VS with the lattice constant up to 6.33 A. This implies the metamorphic growth of the buffer with a near complete relaxation of the buffer layer and unrelaxed unstrained VS on the top of the buffer to enable quality growth of the InAsSb-based absorber. The energy gaps of barrier heterostructure absorbers with GaInSb buffers corresponded to wavelength range from 9 to 14 um at T =77 K. The absorbers with energy gaps approaching zero and with inverted bands were grown with AllnSb buffers. The absorber thickness was in the range from 1 to 3 um. An InAsSbx/InAsSby SLS system can be considered as an alloy with engineered group V composition ordering along the growth axis. The absorber materials have been structurally characterized by high resolution X-ray diffractometry with Reciprocal Space Mapping (RSM), Transmission Electron Microscopy (TEM). The impact of strain on the natural ordering was studied by electron diffraction. The energy gaps of the absorbers at T = 4 K were determined by magnetoabsorption. Temperature dependencies of energy gaps in the temperature range up to 200 K were determined by fitting the photoluminescence spectra. The fundamental absorption spectra were determined from optical transmission. The minority hole lifetime and vertical transition time in the absorbers of barrier heterostructures were determined from the transient response to a pulsed laser excitation in the temperature range from 77 to 200 K.

A significant effort was made in optimization of the barrier layer design and growth regime for minimization of the valence band offset between the barrier and absorber layers in nBn heterostructure. The barrier composition was varied in a set of nBn heterostructures and the dependences of response versus bias were measured. Both bulk and short-period SLS barrier designs were employed. The minimal bias of 0.25 V was obtained for nBn heterostructures grown without interruption at the interface of the barrier and absorber. This bias value was consistent with our expectations considering a 1E17 1/cm3 doping level of the top contact and background absorber concentration of (1-3)E15 1/cm3 level which results in the barrier for hole at equilibrium conditions.

The InAsSb-based heterostructures with high average Sb compositions were shown to have the LWIR absorption up to a factor of 3 greater compared to that in InAsSb/InAs SLS with average Sb composition about 10 % required with the lattice matched growth on GaSb. The superior absorption translates to improvement of the LWIR device quantum efficiency (QE) and can be traded for reduction of the absorption thickness. At the same time, the heterostructures with high average Sb compositions show the corresponding reduction of transport time across the absorber due to improved hole transport.

The hole diffusion lengths in a range of 10 um were determined by separation of fast and slow parts of transient

as of 07-Jan-2020

responses of barrier heterostructures. The minority hole lifetime of 0.55 us at T = 77 K was obtained for 2 um undoped SLS absorbers with the average Sb composition of 36 % and the energy gap corresponding to the wavelength of 9 um.

With a microsecond scale minority hole lifetime and a bulk-like fundamental absorption the developed absorbers properties approach those for the state-of-art HgCdTe with the addition of advantages of heterobarrier technology for blocking majority electron current and reduction of the impact of the depletion region in the absorber on the dark current. A long hole diffusion length makes a room for trading it up for scaling down the dark current and/or increase of the device operating temperature. To assess the fundamental Auger recombination properties of the absorbers, the modulation of the LWIR transmission of the nBp heterostructures with a 85 meV energy gap was studied in the temperature range from 77 to 200 K under pulsed current injection of excess carriers. The excess carrier concentrations were determined by modeling. It was found that the Auger coefficient values were following that for CHCC process in HgCdTe alloys of similar energy gaps with excess carrier concentrations up to the level of 1E16 1/cm3 in the entire temperature range.

The lateral photoconductivity (PC) of InAsSbx/InAsSby SLS was studied in the heterostructures where the SLS layer was enclosed with lightly p-doped AlInSb carrier confinement layers. A significant reduction of the PC was observed compared to that in heterostructures with vertical carrier transport. Under higher excitation a negative PC was observed. The phenomenon was explained with a rapid reduction of the electron mobility with increase of the quasi-Fermi level due to both optical phonon scattering and strong non-parabolicity of the conduction band in the narrow gap SLS. The phenomenon showed the practical importance of the barrier heterostructure design with blocking the majority carrier transport.

The electron effective masses and the mass dispersion were determined by magneto optical measurements. We demonstrated that in metamorphic superlattices the ultra-narrow bandgaps (< 100 meV) can be reached at SLS periods which are much shorter than in a typical pseudomorphic InAsSb SLS. A zero energy bandgap can be realized in the SLs with a typical period around 6 nm.

We found that nearly gapless short-period metamorphic InAsSb SLS manifest a new class of Dirac materials with controllable Fermi velocity. We applied direct experimental method to probe the carrier dispersion and the bandgap, such as magneto-absorption, magneto-transport and angle-resolved photoemission spectroscopy (ARPES). The latter had never been used before on SLS materials. The energy dispersion was determined from the peaks of cyclotron resonance. The cyclotron energy was found to be proportional to a square root of the magnetic field which is a direct indication to the linearity of the carrier energy dispersion. The Dirac-type dispersion was confirmed by the ARPES data. The TEM imaging and transport measurements demonstrate high quality, dislocation-free material which can be used for quantum device applications. Further increase of the ordering period leads to band inversion and opening hybridization gap at the @ point. We developed a model for calculation of in-plane and vertical carrier energy dispersion in metamorphic short-period InAsSb-based superlattices based on NextNano software. The material parameters were obtained from magneto-absorption measurements performed on the bulk InAsSb alloys with various compositions. The model takes into account interface disorder, which is seen on high resolution TEM images. With this system intriguing physics phenomena, such as nontrivial topological phases can be realized.

The obtained data proved that metamorphic InAsSbx/InAsSby short-period SLS can be a new platform for longwave infrared optoelectronics.

as of 07-Jan-2020

Training Opportunities: Training opportunities

The results were presented at the following conferences and meetings

1. D. Donetski, J. Liu, G. Kipshidze, G. Belenky, W. L. Sarney, S. P. Svensson, "Measurements of carrier transport time in InAsSb-based SLS", Center for Semiconductor Modeling Consortium, Annual meeting, Boston University, October 15, 2019.

2. W.L. Sarney, S.P. Svensson, A.C. Leff, D. Donetsky, "Influence of strain on InAsSb composition", The 35th North American Conference on Molecular Beam Epitaxy (NAMBE 2019), Ketchum, ID, September 22-25, 2019.

3. W.L. Sarney, S.P. Svensson, A.C. Leff, D. Donetsky, G. Kipshidze, L. Shterengas, G. Belenky, "Grading for ultimate design control of semiconductor device structures", The 35th North American Conference on Molecular Beam Epitaxy (NAMBE 2019), Ketchum, ID, September 22-25, 2019.

4. S.Suchalkin, G.Belenky, L.Shterengas, B.Laykhtman, G.Kipshidze, M.Ermolaev, D.Smirnov, J.Ludwig, S.Moon, D.Graf, S.Svensson, W.Sarney "Metamorphic InAs1-xSbx/InAs1-ySby superlattices with ultra-low bandgap as a Dirac material" Invited seminar talk in Hard Condensed Matter Seminar, Georgia Institute of Technology, August 24, 2017.

5. S.Suchalkin, G.Belenky, L.Shterengas, B.Laykhtman, G.Kipshidze, M.Ermolaev, D.Smirnov, J.Ludwig, S.Moon, D.Graf, S.Svensson, W.Sarney (invited), "Properties of novel metamorphic III-V materials with ultralow bandgaps", SPIE Photonics West, S.Francisco, CA, USA, 2017.

6. S. Suchalkin, G. Belenky "Metamorphic ordered InAsSb alloys: a new platform for topological electronics and IR optoelectronics" (invited) ,Modern Trends in Condensed Matter Physics, September 24-26, 2018 Baku, Azerbaijan

7. S. Suchalkin, G. Belenky, M. Ermolaev, S. Moon, Y.X. Jiang, D. Graf, D. Smirnov, B. Laikhtman, L. Shterengas, G. Kipshidze, S.P. Svensson, W.L. Sarney, "Metamorphic strain-compensated InSb/InAsSb superlattices with ultrathin layers" (invited), NAMBE-2018, September 30 – October 5, 2018, Banff, Canada.

8. S. Suchalkin, M. Ermolaev, T. Valla, G. Kipshidze, D. Smirnov, S. Moon, Z. Jiang, Y. Jiang, S. P. Svensson, W. L. Sarney and G. Belenky "Quantum materials based on metamorphic InAsSb superlattices" (invited) IEEE RAPID, September 2019, Miramar Beach, FL, USA

9. Y. Xu, A. Frenkel, Y. Lin, D. Donetsky, S. Suchalkin, L. Shterengas, G. Kipshidze, G. Belenky, S. P. Svensson2 and W. L. Sarney,, "Carrier lifetime and photoconductivity measurements in short-period InAsSb-based SLS grown on metamorphic buffers", Electronic Materials Conference EMC-59, University of Notre Dame, South Bend, IN, Student talk, Presenter: Catherine Ye Xu, June 2017.

In the reporting period 3 Ph.D., 1 M.S. and 1 undergraduate students were involved in the experimental and modeling efforts of the projects. One Ph.D. student has completed the Ph.D. program and defended the dissertation. Two PhD students are preparing their dissertations for defense. 3 graduate students participated in modeling of the energy spectra of the InAsSbx/InAsSby SLS, high resolution XRD characterization of the grown structures, determination of the materials compositions and strain, processing heterostructures for optical and carrier transport measurements, computerized data collection, data analysis, preparation of technical reports and presentation of results at the conferences. Two graduate students co-authored the conference talks (SPIE Photonics West and EMC) and attended the above conferences, one student made a presentation at the EMC. Two undergraduate students participated in modeling of the energy spectra of SLS, modeling of the negative photoconductivity effect in SLS and the mask design for processing of heterostructures.

as of 07-Jan-2020

Results Dissemination: 1. A press release by Army Research Laboratory, January 11, 2018,"Army scientists shed light on new low-cost material for seeing in the dark" highlighted the collaborative work of ARL and Stony Brook University groups.

This publication was referenced in several articles published online: Phys.org, January 11, 2018, under the title above.

Semiconductor today, January 12, 2018, "US Army and Stony Brook grow InAsSb on GaAs substrates using GaSb intermediate defect-trapping layer and graded buffer"

CS Compound Semiconductors, January 17, 2018, "Army Scientists Use InAsSb To Make IR Sensors".

ArmyTimes, January 21, 2018, "Army scientists devise new way to make night vision cheaper, better"

Laser Focus World, February 16, 2018, "U.S. Army Research Laboratory and Stony Brook develop lower-cost night-vision material".

Compound Semi, February 19, 2018, "ARL scientists realized material had to be undistorted by strain".

2. A seminar has been held at the Dept. of ECE, Stony Brook University February 27, 2018, with 4 presentations:

- Stefan Svensson, Wendy Sarney (ARL) "In-situ AI metallization of narrow bandgap III-V materials forstudies of proximity superconductivity"

- Dmitri Donetski (SBU) "Carrier recombination and transport in barrier heterostructures"

- Sergey Suchalkin (SBU) "Carrier dispersion and transport in InAsSb alloys with periodic compositionmodulation"
- Leon Shterengas (SBU) "Laser photonic crystal design and technology"

3. Joint seminar of Army Research Laboratory and Stony Brook University: Presenters: Dr. Wendy Sarney, Dr. Stefan Svensson, Prof. Dmitri Donetski, Prof. Sergey Suchalkin, Stony Brook University, July 2017.

4. Joint seminar of Jet Propulsion Laboratory and Stony Brook University, Presenters: Dr. Alex Soibel, Prof. Sergey Suchalkin, May 2017.

5. Seminar at Stony Brook with Prof. Sanjay Krishna, Ohio State University, November 2016.

6. Seminar at the Georgia Tech University, August 2017. Presenter: Prof. Sergey Suchalkin, Invited talk.

Honors and Awards: Nothing to Report

Protocol Activity Status:

Technology Transfer: The research effort was conducted in close collaboration with the US Army Research Laboratory scientists Dr. Stefan P. Svensson and Dr. Wendy L. Sarney.

PARTICIPANTS:

Participant Type: PD/PI Participant: Dmitri Donetski Person Months Worked: 1.00 Project Contribution: International Collaboration: International Travel: National Academy Member: N Other Collaborators:

Funding Support:

as of 07-Jan-2020

Participant Type: Co PD/PI Participant: Gregory Belenky Person Months Worked: 1.00 **Project Contribution:** International Collaboration: International Travel: National Academy Member: N Other Collaborators: Participant Type: Co PD/PI Participant: Sergev Suchalkin Person Months Worked: 1.00 Project Contribution: International Collaboration: International Travel: National Academy Member: N Other Collaborators:

Participant Type: Co-Investigator Participant: Gela Kipshidze Person Months Worked: 3.00 Project Contribution: International Collaboration: International Travel: National Academy Member: N Other Collaborators:

Participant Type: Faculty Participant: Leon Shterengas Person Months Worked: 1.00 Project Contribution: International Collaboration: International Travel: National Academy Member: N Other Collaborators:

 Participant Type: Graduate Student (research assistant)

 Participant: Ye Xu

 Person Months Worked: 12.00
 Funding Support:

 Project Contribution:

 International Collaboration:

 International Travel:

 National Academy Member: N

 Other Collaborators:

 Participant Type: Graduate Student (research assistant)

 Participant: Jinghe Liu

 Person Months Worked: 6.00
 Funding Support:

 Project Contribution:

 International Collaboration:

 International Travel:

 National Academy Member: N

 Other Collaborators:

Funding Support:

Funding Support:

Funding Support:

Funding Support:

as of 07-Jan-2020

Participant Type: Graduate Student (research assistant) Participant: Zichen Zhang Person Months Worked: 2.00 **Funding Support:** Project Contribution: International Collaboration: International Travel: National Academy Member: N Other Collaborators:

Participant Type: Consultant Participant: Boris Laikhtman Person Months Worked: 1.00 Project Contribution: International Collaboration: International Travel: National Academy Member: N Other Collaborators:

Funding Support:

Participant Type: Undergraduate Student Participant: Haiying Jiang Person Months Worked: 1.00 Project Contribution: International Collaboration: International Travel: National Academy Member: N Other Collaborators:

Funding Support:

Participant Type: Graduate Student (research assistant) Participant: Maksim Ermolaev Person Months Worked: 12.00 **Funding Support:** Project Contribution: International Collaboration: International Travel: National Academy Member: N Other Collaborators:

CONFERENCE PAPERS:

Publication Type: Conference Paper or Presentation Conference Name: SPIE OPTO Date Received: 31-Aug-2017 Conference Date: 28-Jan-2017 Date Published: 15-Jun-2017 Conference Location: San Francisco, California, United States Paper Title: Properties of novel metamorphic III-V materials with ultra-low bandgaps (Conference Presentation) Authors: Sergey Suchalkin, Gregory Belenky, Leon Shterengas, Boris Laykhtman, Gela Kipshidze, Maxim Ermola Acknowledged Federal Support: Y

Publication Status: 1-Published

as of 07-Jan-2020

Publication Type: Conference Paper or Presentation **Conference Name:** Electronic Materials Conference

Date Received: 31-Aug-2017

Publication Status: 1-Published

Date Published: 28-Jun-2017

Conference Location: University of Notre Dame, South Bend, IN **Paper Title:** Carrier lifetime and photoconductivity measurements in short-period InAsSb-based SLS grown on metamorphic buffers

Conference Date: 28-Jun-2017

Authors: Ye (Catherine) Xu, Alex Frenkel, Youxi Lin, Dmitry Donetsky, Sergey Suchalkin, Leon Shterengas, Gela Acknowledged Federal Support: **Y**



Novel Metamorphic Heterostructures for Long Wave Infrared Optoelectronics



Lattice constant (Å): Average Sb composition (%): ~10

Conventional pseudomorphic growth latticematched to GaSb substrate

- Bulk InAsSb (Sb ~10%), Eg ~ 0.33 eV
- InAs/InAsSb SLS (Sb \leq 40 %), Eg \geq 0.1 eV • For reliability max Sb composition in SLS is limited by max strain of 2 %

Metamorphic growth pursued in this project: Quality epilayers are grown lattice matched to GaInSb and AlInSb virtual substrates grown with metamorphic buffers on GaSb

- Bulk InAsSb (Sb = 40-60 %), Eg = 0.13 0.09 eV
- InAsSbx/InAsSby SLS (average Sb=36 -63%), Eg \leq 0.1 eV with typical strain \leq 1 %



Stony Brook Strain relaxation in linear-graded buffer



X-ray reciprocal space mapping

University



The line with lattice constant a_{11} corresponds to the top active region (bulk, SLS)



Cross-sectional Transmission Electron Microscopy



[001] [111] - preferred direction for threading dislocations [110] - preferred direction for misfit dislocations



Epitaxial structure

Metamorphic buffer linearly graded to Ga0.84In0.16Sb

GaSb



Epitaxial structure

Metamorphic buffer linearly graded to Alo.75Gao.13Ino.12Sb

GaSb

- 1. Dislocation networks confined in the bottom part of the buffer
- 2. Long horizontal lines indicate effective lateral glide of dislocations



University A 90 meV energy gap of bulk InAs_{0.4}Sb_{0.6} alloy at T = 77 K qualifies the materials for high performance LWIR detector applications



Stony Brook

Advantages of bulk InAsSb alloys:

- Strong absorption similar to that of bulk HgCdTe alloys
- Abrupt absorption edge for Sb =50-60 % (no tail) due to independence of Eg from Sb fluctuations
- High minority hole mobility twice greater than that in HgCdTe alloys
- Long minority carrier lifetime attributed to absence of Ga
- Energy band position ideally matching
- to AISb-based alloys for design
- of barrier heterostructures

InAsSb-based compounds have a strong potential to surpass HgCdTe alloys in conventional and novel applications in LWIR wavelength range

Epitaxial growth of InAsSb with a lattice constant ~6.3 A on available 6.1 A GaSb substrates required optimization of the design of metamorphic buffers between InAsSb and the substrate which allowed to obtain device quality epitaxial materials





Short-period InAsSb_x/InAsSb_y SLS -III-V compounds for superior VLWIR detectors



Short-period strained layer superlattices (SLS) with various properties were developed by modulation of Sb composition during growth

- energy bandgaps below 0.1 eV
- strong fundamental absorption as in bulk alloys
- unimpeded hole transport
- linear energy dispersion E(k)
- inverted bands (E v > Ec) with flattened dispersion near the bottom (high effective mass)

Implemented design: $InAsSb_{0.3}/InAsSb_{0.6}$ SLS with short periods (2.3 – 5.4 nm)



Short-period SLS and bulk InAsSb show the highest absorption.



In pseudomorphic SLS the absorption is a factor of 2 to 3 lower.



Stony Brook

University

In LWIR range the fundamental absorption of short period InAsSb-based SLS grown metamorphically is similar to that of bulk alloys: InAsSb and HgCdTe. At λ = 8 µm (photon energy = 0.15 eV) the bulk and short –period SLS absorption is (2.5-3)× 10³ cm⁻¹.

The fundamental absorption in InAs/GaSb SLS grown pseudomorphically on GaSb is ~ 1.2×10^3 cm⁻¹. It is a factor of two smaller than that for short period InAsSb-based SLS.

For Ga-free InAs/InAsSb SLS grown pseudomorphically on GaSb the fundamental absorption is ~700 cm⁻¹ It is a factor of 3 smaller than that for short period SLS grown on metamorphic buffers.

Under all conditions being equal one can expect greater quantum efficiencies for devices grown with the metamorphic buffer approach.





Pseudomorphic vs Metamorphic growth

At the initial growth stage heteroepitaxial growth is pseudomorphic. The elastic energy of deformation due to misfit of lattice constants is stored in the epilayer lattice. In thin layers strain up to 2 % can be realized. On GaSb substrate one can grow pseudomorphically GaInAs with up to 20 % of Ga or InAsSb with up to 55 % of Sb. Total strain in compressive and tensile strained layers has to be carefully balanced.

For LWIR application the energy gap constraint significantly limits the choice of layer compositions and thicknesses which saturates further improvement of the device design.

Significant improvement can be obtained with metamorphic growth with change of the lattice constant. Mismatched buffer heterostructures are used to improve device performance of high electron mobility transistors, heterojunction bipolar transistors, multi-junction solar-cells.

The following two viewgraphs present major limitations in design of LWIR detectors for pseudomorphic growth on GaSb. These limitation are lifted with metamorphic growth when the lattice constant becomes a free design parameter.



LWIR InAs/Ga(In)Sb SLS grown pseudomorphically on GaSb exhibit ~ 2 times lower absorption compared to bulk alloys



- For LWIR InAs layers have to be thick which results in hole confinement in Ga(In)Sb, reduced overlap of electron and hole wavefunctions and lower absorption
- Relatively short minority electron lifetime is attributed to presence of Ga.
- z Absorber has p-type doping to take advantage of fast unimpeded electron transport.



significant improvement of the SLS design.



6.1 A

(+) A long minority carrier lifetime is attributed to Ga-free absorber

Holes are strongly confined in the InAsSb layers :

(-) Small electron-hole wavefunction overlap leads to lower absorption

(-) Impeded minority hole transport is due to thick InAs layers



Universit



At the initial growth stage heteroepitaxial growth is pseudomorphic and the elastic energy of deformation is stored in the epilayer lattice (the left figure).



Upon reaching the critical thickness dislocations are formed with strain relaxation at the bottom at the interface of the epilayer and the substrate. The top layer of the buffer increments the lattice constant and reduces the strain (the center figure). The metamorphic growth is continued preserving the top layer unrelaxed. Dislocations are promoted to glide away in the lateral direction (TEM on the right). Quality unstrained InAsSb layer is grown lattice-matched to the top of the buffer.





Modeling of the energy band diagram and dispersion Agreement with low temperature PL spectra



Modeling of the energy band and dispersion have been developed based on NextNano software. The material parameters have been adjusted based on experimental photoluminescence spectra. Matching of the calculated and measured energy gaps have been obtained in LWIR range of energies.

- (a) Conduction and valence band Energy levels for a 3.3nm $InAs_{0.7}Sb_{0.3}/1 nm InAs_{0.45}Sb_{0.55}$ SLS
- (b) Energy dispersion for the above SLS design

(c) Low temperature PL spectra for short period SLS grown on metamorphic buffers.

The PL peak on left is for the above design. The PL peak on right is for the following design:

1.6 nm $lnAsSb_{0.3}$ / 1.6 nm $lnAsSb_{0.6}$ SLS

Stony BrookExperimental absorption and quantum efficiencyUniversityspectra for LWIR bulk and SLS barrierheterostructures with 1-µm thick absorbers



The experimental absorption in short-period SLS grown with metamorphic buffers matches to calculated values and values in bulk alloys.

The quantum efficiency obtained with shortperiod SLS absorbers was similar to those obtained with bulk absorbers. It means that hole mobility in short-period SLS was high enough to collect most generated carriers.

Significant reduction of dark current in heterostructures with bulk InAsSb absorbers was obtained with the absorber doping to 10¹⁶ cm⁻³.

For the these heterostructures with $1-\mu m$ thick InAsSb₄₀ absorbers the dark current density of 2×10^{-5} A/cm² at T=77 K and a 50 MHz (-3 dB) response bandwidth consistent with high hole mobility were demonstrated. In the temperature range above 100 K the dark current was found to be diffusion limited.





Transmission Electron Microscopy Image of a 3.16 Å InAsSb_{0.25}/InAsSb_{0.55} SLS grown on GaInSb metamorphic buffer



Measured in ARL



Electron diffraction in the 3.16Å $InAsSb_{0.25}/InAsSb_{0.55}$ SLS grown on GaInSb metamorphic buffer









No evidence of CuPt ordering



SLS ordering

Measured in ARL





Magneto-absorption of SLS grown on metamorphic buffers

Magnetoabsorption was measured in $InAs_{0.7}Sb_{0.3}/InAs_{0.25}Sb_{0.75}$ SLS with the effective lattice constant of 6.25 A, and in $InAs_{0.48}Sb_{0.52}/InSb$ with the effective lattice constant of 6.33 A.



The color plot shows the magnetoabsorption spectra for in $InAs_{0.48}Sb_{0.52}/InSb$ SLS With the period of 6.2 nm.

Line 1 corresponds to the transition between the Oth and 1st electron Landau levels,

Line 2 – to the transition between the 1st and 2nd electron Landau levels. The dashed lines are guides to the eye.

The bandgap, determined as the energy axis intercept of the optical transition energies on a magnetic field is close to zero. The cyclotron energy is proportional to the square root of the magnetic field. This is a direct indication to the linearity of the carrier energy dispersion.

Stony Brook Modeling of energy dispersion with account for interface disorder assessed with TEM data





(a) High resolution TEM image of $InAs_{0.48}Sb_{0.52}/InSb$ SLS with the period of 6.2 nm. Clear area corresponds to InSb. Calculated band structure InSb/InAsSb_{0.52} SL with a 6.2-nm period. Smooth interfaces with the effective thickness 2nm were used for the model..

(b) Calculation of in-plane and vertical carrier energy dispersion based on NextNano software. The material parameters were obtained from magneto-absorption measurements performed on the bulk InAsSb alloys with various compositions. The model takes into account interface disorder, which is seen on high resolution TEM images (a).

Stony Brook University Angle-Resolved Photoemission Spectroscopy

The Dirac-type dispersion was confirmed by the Angle-Resolved Photoemission Spectroscopy (ARPES) data



(a) ARPES data of $InAs_{0.48}Sb_{0.52}/InSb$ ordered alloy with the period of 6.2 nm.

The dashed lines are 8 band kp calculation.

(b) TEM image of same material.

The TEM imaging and transport measurements demonstrate high quality, dislocation-free material which can be used for quantum device applications. Further increase of the ordering period leads to band inversion and opening hybridization gap at the Γ point.

Stony Brook Lateral photoconductivity measurements in a 3.6 nm period InAsSb_{0.6}/InAsSb_{0.3} SLS



niversi



The band diagram of the InAsSb0.6/InAsSb0.3 SLS absorber. The 1-um thick SLS absorber was enclosed with low p-doped $Al_{0.67}$ InSb electron confinement layers.

The sample cross-section: 1- metallization, 2- SiN isolation, 3-1.8 nm/1.8 nm period InAsSb0.6/InAsSb0.3 SLS absorber, 4- AlInSb electron confinement layers.



I-V characteristics of the SLS heterostructure and conductivity versus optical power with the bias potential applied along the layers. The heterostructure resistance and conductivity, respectively, showed a non-monotonic dependence on excitation power: the photoconductivity was positive (resistance was decreasing with optical power) under room temperature illumination (RTI) and under a low power excitation with a 10.6 um CO2 laser. At higher laser power levels the photoconductivity was decreasing with power. The effect of negative photoconductivity was observed experimentally for the first time.





Modeling of the negative photoconductivity phenomenon in narrow gap semiconductors

Negative photoconductivity in narrow gap semiconductors is a fundamental phenomenon which occurs in degenerate semiconductors due to fast decrease of electron mobility with increase of excess electron concentration.

Stony Brook

University

The reason for the mobility falling off with quasi-Fermi level is a two fold: (1) due to a rapid decrease of the momentum relation time with energy when the quasi Fermi level approaches the optical phonon energy (24 meV in this case) and (2) due to a rapid increase of the electron effective mass with quasi-Fermi level (black line in the top figure). The electron momentum scattering with optical phonon emission has a threshold at 24 meV (the pink line in the top figure). The bottom figure shows the calculated conductivity and electron concentration versus Fermi level Ef at three temperatures. Note the falling part of the conductivity above Ef \sim 20 meV for T= 77 and 150 K.







Barrier heterostructures (nBn design) with short-period SLS absorbers and SLS barriers



The latest design of nBn heterostructure with SLS barrier was obtained without growth interruption at the interface and the contact doping reduced to 10¹⁷ cm⁻³. The devices operated with the bias voltage of 0.25 V.

The figure above shows the energy band profile of the barrier heterostructure with a 3.2 nm period $InAsSb_{0.3}/InAsSb_{0.6}$ SLS absorber under bias.

Stony Brook Modulation of the optical absorption by carrier injection University in nBp heterostructures with bulk InAsSb and shortperiod SLS



Similar heterostructures have been grown with moderately p-doped barrier and p-contact for study of Auger recombination lifetime and change of the absorption with excess carrier concentration.



The figure shows the calculated absorption spectra for bulk InAsSb_{0.4} alloys with the Fermi levels of 130, 140 and 160 meV (black, red and blue lines, respectively). At the photon energy 144 meV (λ = 8.6 µm) the lift of the Fermi level to 160 meV results in a decrease of the fundamental absorption from 10³ cm⁻¹ to transparency.

Due to small effective mass (0.011 m₀ in bulk InAsSb_{0.4}) and small density of states at T = 77 K, respectively, at λ = 8.6 µm the transparency occurs at electron concentration level of 10¹⁶ cm⁻³. The latter implies Auger limited injection current densities of 10-20 A/cm².

With 1- μ m thick pilot structures with bulk InAsSb_{0.4} and 3.2 nm period SLS absorbers the modulation depths of 9 % and 7 % were demonstrated under pulsed current injection at the wavelengths of 8.6 and 10.6 μ m, respectively.





Free-space optical beam intensity modulation with bulk InAsSb_{0.4} heterostructures



- (a) The energy band diagram of the heterostructure with the bulk InAsSb absorber in equilibrium: E_F, E_C, E_V are the Fermi level and conduction and valence band edges.
- (b) Schematic cross-section of the heterostructure consisting of a 1 um thick InAsSb_{0.4} absorber: 1- mesa contact with the front ring metal and a window for the incident light, 2- electron barrier layer, 3- absorber, 4- substrate, 5- the backside metal with a window for the transmitted light.



Free optical beam intensity modulation with bulk InAsSb_{0.4} heterostructures



The modulation spectra of a heterostructure at T = 77 K with a 1 us pulse width and a 100 kHz repetition rate for the injection currents of 20, 100, and 500 mA.



The modulation depth vs injection current with a 100 ns pulse width and a 10 kHz repetition rate in the heterostructure illuminated with a continuous wave QCL, λ =8.6 um.



The calculated modulation spectra of a 1-lm-thick InAsSb absorber with $E_{g=}$ 133 meV at T ½ 77 K for electron quasi-Fermi levels of E_{F} =10, 15, 20, and 30 meV above the bottom of the conduction band E_{C}



The calculated modulation depth at a laser photon energy of 144 meV (λ = 8.6 um) vs excess carrier concentration for the absorber thicknesses W = 1, 2, and 4 um



Free-space optical beam intensity modulation with InAsSb_x /InAsSb_y SLS heterostructures





Stony Brook

niversit



Modulation depth of the heterostructures with injection current measured at λ = 10.6 µm in the temperature range from 77 to 200 K. The dashed line shows that at the injection current of 330 mA at the temperatures of 77, 150 and 200 K the modulation depths of about 5, 3 and 1 %, were observed



Calculated dependences of the modulation depth versus carrier concentration. The dashed line drawn through the modulation depth points of 5, 3 and 1 % for the dependences for T= 77, 150 and 200 K illustrates that for a given injection current the excess carrier concentration was increasing with temperature.



Temperature dependence of Auger coefficient extracted with modulation data vs injection current and the Beattie-Landsberg-Blakemore (BLB) fit.



Stony Brook University Minority hole lifetime and in-plane hole diffusion length in short-period InAsSbx/InAsSby SLS grown on metamorphic buffers





Quality InAsSb alloys and InAsSb-based SLS on compositionally-graded Ga_{1-v}In_vSb buffers



Parameters of bulk $InAsSb_{0.4}$ alloys at T = 77 K

Energy gap (eV)	Electron effective mass (m0)	Electron mobility (cm²/Vs)	Hole mobility (cm ² /Vs)	Hole diffusion length (µm)
0.12 eV	0.011	179,000	1000	9

Energy gap and minority hole lifetime at T = 77 K

Parameters	bulk InAsSb	InAsSb _x / InAsSb _y SLS		
	Sb =40 %	4.3 nm	2.3 nm	
Energy gap (eV)	0.12	0.12	0.08	
Minority hole lifetime (ns)	185	550	140	

The material parameters were measured by direct methods. The minority hole lifetime values in materials obtained with metamorphic GaInSb buffers are similar to those reported for materials obtained with pseudomorphic growth on GaSb.





Summary

1. The developed novel approach to growth on metamorphic buffers allowed to obtain quality bulk and SLS InAsSb-based semiconductor compounds with energy gaps < 0.1 eV to cover entire LWIR wavelength range and compounds with inverted energy bands.

2. Enabling the lattice constant as a design parameter opens windows of other parameters for optimization and considerable improvement of the performance of infrared photonic devices based on III-V compound barrier heterostructures.

3. A factor of 3 stronger fundamental absorption, unimpeded vertical hole transport and microsecond scale hole lifetime at 77 K have been demonstrated for Ga-free SLS grown on metamorphic buffers.

The improved parameters can be traded for increasing device operating temperature.