AWARD NUMBER: W81XWH-17-1-0171

TITLE: Mechanism-Based Prevention of Noise Induced Tinnitus: Protection and Repair of Peripheral Auditory Neuropahy

PRINCIPAL INVESTIGATOR: Dr. Richard Altschuler

CONTRACTING ORGANIZATION: Regents of the University of Michigan Ann Arbor MI 48109

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PREPARED FOR: U.S. Army Medical Research and Materiel Command Fort Detrick, Maryland 21702-5012

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of synaptic connection between I tinnitus and rapidly repairing this	for noise-induced tinnitus in the rat model. W nner Hair Cells (IHC) and the auditory nerve loss will therefore decrease the incidence of	(AN) contributes to the induction of tinnitus. Treatment with the

tinnitus and rapidly repairing this loss will therefore decrease the incidence of tinnitus. Treatment with the neurotrophic factor NT-3 was previously shown by our consultant Dr. Corfas to induce significant IHC-AN synapse reconnection after a different type of noise in his mouse model (Suzuki et al., 2016). During the first year of studies we have found that we can duplicate these results using a more military relevant small arms fire (SAF)-like noise in the rat model, showing a large and significant re-connection (described later in Section 3 of the Results Section). NT-3 in poloxamer was applied to the round window with the trans-tympanic approach that has been successfully applied in people for other treatments. These results show that it is possible to reverse noise induced synaptic loss from a military relevant noise exposure with a treatment paradigm that can be applied to those in the service. Such noise-induced synapse loss can cause a "Hidden Hearing Loss" that can impair speech understanding (Liberman et al., 2016, 2017). Therefore the ability to repair and reverse Hidden Hearing Loss has immediate impact. The major goal, however, is to test if such reconnection will decrease or prevent the later development of tinnitus and that is the focus of the next stage of our ongoing studies. Studies are now underway to determine if this rapid reconnection from NT-3 treatment will decreases the incidence of noise induced tinnitus compared to noise exposed rats without treatment. If successful, this would provide a military relevant treatment to prevent and treat noise-induced tinnitus.

15. SUBJECT TERMS

Tinnitus, Deafness, Neurotrophins, NT-3, Synaptopathy, Noise, Small Arms Fire, Cochlea, Auditory, Hidden Hearing Loss

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1. INTRODUCTION:

Studies test a potential treatment for noise-induced tinnitus in the rat model. We hypothesize that noise-induced loss of synaptic connection between Inner Hair Cells (IHC) and the auditory nerve (AN) contributes to the induction of tinnitus and rapidly repairing this loss will therefore decrease the incidence of tinnitus. Treatment with the neurotrophic factor NT-3 was previously shown by our consultant Dr. Corfas to induce significant IHC-AN synapse reconnection after a different type of noise in his mouse model (Suzuki et al., 2016). During the first year of studies we have found that we can duplicate these results using a more military relevant small arms fire (SAF)-like noise in the rat model, showing a large and significant re-connection (described later in Section 3 of the Results Section). NT-3 in poloxamer was applied to the round window with the trans-tympanic approach that has been successfully applied in people for other treatments. These results show that it is possible to reverse noise induced synaptic loss from a military relevant noise exposure with a treatment paradigm that can be applied to those in the service. Such noise-induced synapse loss can cause a "Hidden Hearing Loss" that can impair speech understanding (Liberman et al., 2016, 2017). Therefore the ability to repair and reverse Hidden Hearing Loss has immediate impact. The major goal, however, is to test if such reconnection will decrease or prevent the later development of tinnitus and that is the focus of the next stage of our ongoing studies. Studies are now underway to determine if this rapid reconnection from NT-3 treatment will decreases the incidence of noise induced tinnitus compared to noise exposed rats without treatment. If successful, this would provide a military relevant treatment to prevent and treat noise-induced tinnitus.

2. <u>KEYWORDS</u>:

Tinnitus, Deafness, Neurotrophins, NT-3, Synaptopathy, Noise, Small Arms Fire, Cochlea, Auditory, Hidden Hearing Loss

3A. MAJOR GOALS - from Statement of Work (SOW):

(Years 1-2)

TASK 1 (Aim 1B): Determine if NT-3 treatment 1 day after a SAF-like noise will induce reconnection of lost IHC-AN synapses and prevent Tinnitus from appearing.

- *Subtask 1*: Influence of NT-3 elevation 1 day after SAF-like noise to prevent appearance of tinnitus based on Gap Detection & and behavioral (operant conditioning) metrics
- *Subtask 2*: Influence of Treatments on Noise-Induced changes to Inner Hair Cell –Auditory Nerve synaptic connections, loss of Inner and Outer Hair Cells and loss of Spiral Ganglion Neurons.

(*Milestones*: Break Code and determine treatment effects in Months 20-26)

(Years 2-3)

TASK 2 (Aim 2): Determine the influence of NT-3 treatment given at later times after a SAF-like noise - on inducing re-connection of IHC-AN synaptic connections and preventing Tinnitus

- *Subtask 1*: NT-3 treatment two weeks after noise
- *Subtask w*: NT-3 treatment six week after noise

(*Milestones*: Break Code and determine treatment effects in Months 33-36)

Expected Deliverables for Year One (from SOW): Because of blinding that will not be removed until the end of Aim 1B studies in Year Two, there will be no Results reported in Year One except the number of animals going through study and any unanticipated problems that might have occurred and how they are dealt with

3B. ACCOMPLISHMENTS for Task 1 (Aim 1B) in Year One

<u>Major Activities 1</u>: Sixteen rats (randomly mixed from all groups) have completed the in-life phase for Aim 1B studies and other rats (from all groups) are in progress with blinding to be removed and results determined at the end of Year Two.

Aim 1B Group 5 – Sham Noise – no NT-3

- Aim 1B Group 6 SAF-like Noise no NT-3
- Aim 1B Group 7 Sham Noise NT-3 post-treatment 1 day after noise
- Aim 1B Group 8 SAF-like Noise NT-3 post-treatment 1 day after noise

Rats received base-line measures of auditory brain stem response (ABR), distortion product optoacoustic emissions (DPOAE) and Gap Detection (GD). Rats then received operant conditioning to detect sound versus silence. They were then randomly divided first into groups receiving either Sham noise or SAF-like noise in one ear (left ear only). Animals in each group were then again randomly divided and received either Poloxamer containing NT-3 or Poloxamer only (no NT-3), delivered with a trans-tympanic approach into the left middle ear by the round window, done one day after the noise or sham. All animals then receive two months of testing with both GD and Operant Conditioning as metrics for the presence of tinnitus. After two months of GD and operant conditioning assessments all rats are tested for ABR and DPOAE and then euthanized. Cochleae are processed to determine hair cells loss and loss of IHC-AN synaptic connections.

Unanticipated Problems: There was more delay than anticipated in building the new operant conditioning testing stations and generating the software necessary for testing rats for tinnitus in these stations. There was also delay in receiving the new Gap Detection testing stations from Kinder and making these stations (with new design) operational to test for tinnitus. These are now all operational and AIM 1B studies are now fully underway, but start was delayed by six months.

<u>Major Activities 2</u>: Because of this initial delay in being able to use the new metrics to test rats for tinnitus, Year One studies first focused on **Subtask 2** rather than **Subtask 1**. **Subtask 2** (see previous page) is "Influence of Treatments on Noise-Induced changes to Inner Hair Cell –Auditory Nerve synaptic connections" and does not require the new tinnitus testing stations for initial results. Studies therefore examined NT-3 treatment given one day <u>after</u> the SAF-like noise for repair of lost IHC-AN synaptic connections. We also wanted to compare efficacy to what we find using anti-excitotoxicity treatments given <u>before</u> the noise for preventing loss. There were two phases. First was to complete the analysis from previous studies examining anti-excitotoxicity treatment given <u>before</u> the SAF-like noise. This analysis was completed, results submitted for publication and the manuscript accepted during Year One (Altschuler et al, 2018 – in appendix). Meanwhile new **Subtask 2** studies examined trans-tympanic NT-3 in poloxamer applied at the round window 1 day following the SAF-like noise, determining efficacy in inducing reconnection of lost IHC-AN synaptic connections. This was successfully completed during Year One and is now being prepared for publication. This publication will also compare the efficacy of anti-excitotoxicity pre-treatment <u>before</u> noise to prevent loss versus neurotrophin (NT-3) treatment <u>after</u> noise to induce re-connection.

<u>RESULTS</u>:

The study examining pre-treatment (Altschuler et al, 2018) found that loss of inner hair cell synaptic ribbons (a marker for inner hair cell – auditory nerve synapses) in the rat cochlea resulting from a military relevant small arms fire-like noise can be significantly reduced by systemic anti-excitotoxicity pre-treatment with Piribedil, Memantine, and ACEMg (P/C/A) when treatment begins prior to the noise. This manuscript was submitted, accepted and is in the appendix.

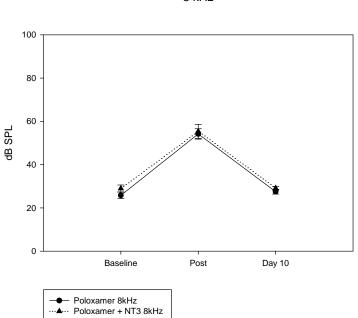
Treatment after noise, however, would be more feasible in the field for those in the service. This would mean inducing re-connection of lost synapses rather than preventing their loss. Placement of NT-3 in poloxamer on the round window has recently been shown to induce re-connection of auditory nerve connections lost after mild broad band noise when applied one day after the noise in the mouse model (Suzuki et al., 2016). In our Year One **Subtask 2** study we tested NT-3 post-treatment in the SAF-like noise rat model and the efficacy of reconnection was compared to what we found with the previous preventive treatment (Altschuler et al., 2018).

Sprague Dawley rats were tested for ABR and DPOAE and only rats with normal responses were placed in study. The SAF-noise groups received 50 biphasic impulses over 2.5 minutes at 154 dB SPL given unilaterally (with a speculum) to the left ear. They received a second ABR within one hour following the noise and were then randomly divided into a group receiving NT-3 in poloxamer or a group receiving poloxamer only. Eight μ l of Poloxamer with or without NT-3 was placed in the middle ear by the round window of the left cochlea

with a trans-tympanic approach 1 day after the noise. Animals received a third ABR assessment days later and were then euthanized. Cochleae received intrascalar fixation with 4% paraformaldehyde fixative and were then processed for assessment of hair cell loss and loss of IHC-AN synaptic connections, using CTBP2 ribbon immunolabeling as a marker for IHC synapses.

SAF-like noise exposed rats showed a significant temporary threshold shift in the left ear of ~25 dB at 8 kHz and no shift at 16 and 32 kHz when assessed an hour following the noise (**Figures 1A-C**). There was complete recovery of ABR thresholds and DPOAE (at the frequencies tested) 10 days after the noise exposure in both NT-3 treated and non-treated SAF-like noise exposed rats (**Figures 1A-C**). There was no loss (over normal baselines) of inner or outer hair cells at 10-11 days following the SAF-like noise in either NT-3 treated or untreated rats (**Figure 2** shows a representative cytocochleogram as an example). CTBP2 synaptic labeling (number of synapses per IHC) was assessed in regions of the cochlear spiral 5.0 mm and 6.5 mm from the apex. These regions were chosen for examination based on finding an SAF-like noise induced loss of synapses that was prevented by anti-excitotoxicity pre-treatment in Altschuler et al. (2018). Our Year One **SubTask 2** results also showed SAF-like noise produced a large loss of IHC-AN synaptic connections compared to shams and our normative data base (**Figure 3**). The new exciting result is that NT-3 treatment one day after SAF-like noise exposed rats without NT-3 treatment, with synaptic counts in NT-3 treated rats that were comparable to normal (**Figure 3**). This suggests almost complete reconnection of lost IHC-AN synaptic connections. The numbers were also highly comparable to what was seen with anti-excitotoxicity pre-treatment to prevent loss (**Figure 3**).

Conclusions: Our results show that a clinically relevant trans-tympanic application of NT-3 in poloxamer on the round window can induce a significant re-connection of IHC-AN synaptic connections that are lost following an SAF-like noise exposure, even when treatment is one day following the noise. This treatment paradigm would be more applicable to those in the service than pre-treatment and could provide recovery from Hidden Hearing Loss following noise exposure. Our now ongoing **SubTask One** studies will test whether this rapid reconnection also reduces the incidence of noise-induced tinnitus.

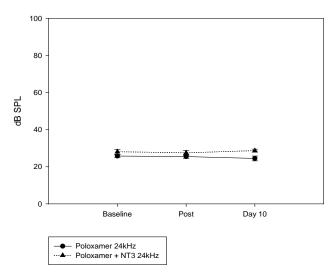


SAF 154 dB ABR Thresholds Poloxamer vs Poloxamer + NT3 8 kHz

> **Figure 1A:** Auditory Brain Stem Responses (ABR) following a Small Arms Fire (SAF)-like noise in rats assessed at 8 kHz. Noise was to left ear only and ABR is from left ear. Rats then received either Poloxamer with NT-3 (transtympanic on the round window of the noise exposed cochleae) one day after the noise or Poloxamer only (without NT-3). SAF-like noise exposed rats had a 25 dB threshold shift immediately following the noise, this completely recovered when assessed ten days after the noise. There was no difference between animals receiving NT-3 treatment and those with poloxamer only (no NT-3

ABR Thresholds Poloxamer vs Poloxamer + NT3 16 kHz 100 80 60 dB SPL 40 20 0 Baseline Post Day 10 Poloxamer 16kHz Poloxamer + NT3 16kHz SAF 154 dB ABR Thresholds Poloxamer vs Poloxamer + NT3 24 kHz

SAF 154 dB



Figures 1B & C : Auditory Brain Stem Responses (ABR) following a Small Arms Fire (SAF)-like noise in rats assessed at 16 kHz (1B) and 24 kHz kHz (1C). Noise was to left ear only and ABR is from left ear. Rats then received either Poloxamer with NT-3 (transtympanic on the round window of the noise exposed cochleae) one day after the noise or Poloxamer only (without NT-3). There was no significant thresholds shift SAF-like noise immediately following the noise or when assessed ten days after the noise. There was no difference between animals receiving NT-3 treatment and those with poloxamer only (no NT-3).

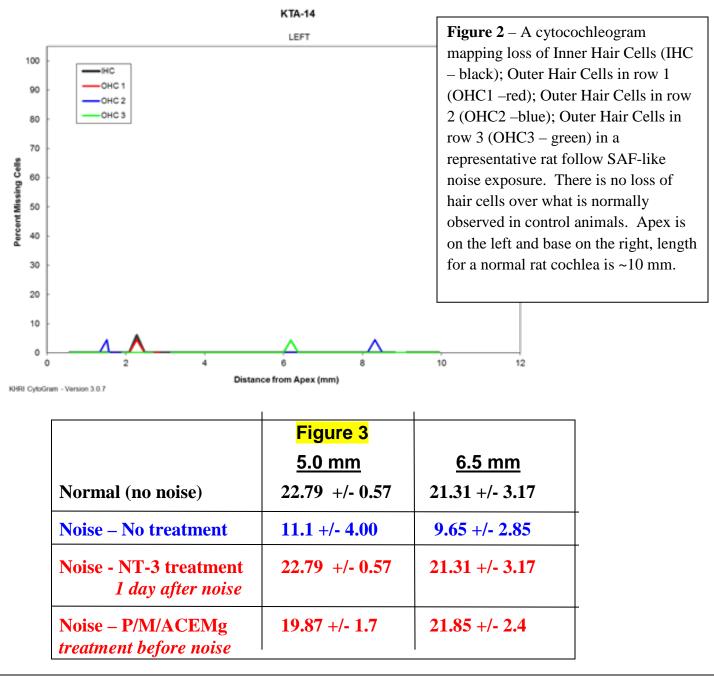


Figure 3 – A comparison of the mean number of CTBP2 puncta (a marker for Inner Hair Cell – Auditory Nerve (IHC-AN) synaptic connections) per Inner Hair Cell assessed in two regions of the cochlea, one 5.0 mm from the apex and the other 6.5 mm from the apex. The top line (black) shows the normal number of CTBP2 immunolabeled IHC-AN synaptic connection per Inner Hair Cell in each region of interest and the second line (blue) shows the significant (P<0.05) reduction following a Small Arms Fire (SAF)-like noise. The third line (red) show the number 10 days after the noise in rats receiving NT-3 treatment one day following SAF-like noise, significantly greater (P<0.05) than noise exposed rats without treatment and not significantly different than rats without noise exposure. The fourth line (also red) shows the number in rats receiving anti-excitotoxicity treatment with systemic Piribedil, Memantine and Vitamins A, C and E plus magnesium (P/M/ACEMg) starting prior to the noise. The numbers are similar to rats in the NT-3 treated group, significantly greater (P<0.05) than noise exposed rats without treatment and not significantly different than rats without noise exposure.

<u>REFERENCES</u>:

- Altschuler RA, Halsey K, Kanicki A, Martin C, Prieskorn D, DeRemer S, Dolan DF.(2018) Small Arms Firelike noise: Effects on Hearing Loss, Gap Detection and the Influence of Preventive Treatment. Neuroscience.. [Epub ahead of print] PMID: 30053484.
- Liberman MC, Epstein MJ, Cleveland SS, Wang H, Maison SF. (2016) Toward a Differential Diagnosis of Hidden Hearing Loss in Humans. PLoS One. 11(9):e0162726. PMID: 27618300.
- Liberman MC, Kujawa SG. (2017) Cochlear synaptopathy in acquired sensorineural hearing loss: Manifestations and mechanisms. Hear Res.;349:138-147. PMID: 28087419.
- Suzuki J, Corfas G, Liberman MC. (2016) Round-window delivery of neurotrophin 3 regenerates cochlear synapses after acoustic overexposure. Sci Rep. 6:24907. PMID: 2710859.

What opportunities for training and professional development has the project provided?

Nothing to Report

How were the results disseminated to communities of interest?

• One publication was accepted:

Altschuler RA, Halsey K, Kanicki A, Martin C, Prieskorn D, DeRemer S, Dolan DF. (2018) Small Arms Firelike noise: Effects on Hearing Loss, Gap Detection and the Influence of Preventive Treatment. Neuroscience -[Epub ahead of print] PMID:30053484

• One manuscript is in preparation:

Altschuler RA, Prieskorn D, DeRemer S, Kanicki A, Martin C, Kabara L, Holt A.G., Dolan DF, Round window application of NT-3 following Small Arms Fire-like Noise induces reconnection of Inner Hair Cell – Auditory Nerve Synapses in the Rat Cochlea

• One Invited Platform Presentation by Dr. Altschuler:

"Effects of Small Arms Fire-Like Noise in the Rat Cochlea" at the 25th Appalachian Spring Conference at the James Quillen VAMC in Johnson City, TN in June 2018.

Plans for next reporting period

Year Two will continue the Aim 1B studies started in Year One, with rats in all the groups doing the in-life phase:

- 1. Base-line Auditory Brain Stem Response (ABR), Gap Detection (GD and Pre-Pulse Inhibition (PPI) of the Acoustic Startle Reflex (ASR) and Distortion Production OtoAcoustic Emission (ODPOAE) and training in operant conditioning
- 2. Noise exposure (or sham noise)
- 3. NT-3 treatment (or poloxamer only without NT-3) one day after the noise
- 4. Testing for tinnitus (using GD and Operant Conditioning) for two months
- 5. Final ABR and DPOAE

After the in-life phase animals are then euthanized and assessed for hair cell loss and loss of Inner Hair Cell – Auditory Nerve synaptic connections.

Year Two will also begin the in-life phase for TASK2 (Aim 2)

4. <u>IMPACT</u>:

There were three important results in Year One that impact hearing research and rehabilitation:

• Our year one studies showed that a military relevant small arms fire – like noise that does not cause a permanent hearing loss or loss of sensory cells in the cochlea will produce a large loss of synaptic

connections between the sensory cells and the auditory nerve in the rat model. Other studies have shown such loss can influence hearing processing and speech understanding in a noisy environment

- We submitted and published results showing that pre-treatment with anti-excitotoxicity agents can prevent the small arms fire-like noise from causing this loss of connections.
- Our most recent results showed that a treatment with NT-3 even one day following the small arms fire-like noise will induce re-connection and repair of the lost connections, comparable to what was found with prevention prior to noise. Treatment following noise will be more applicable and the trans-tympanic middle ear approach to applying NT-3 is feasible for clinical application. Studies will also test efficacy with treatment at later times following noise.

There is also more general impact as study results:

- demonstrated NT-3 can induce re-connection of lost synapses
- showed effective anti-excitotoxicity treatments

What was the impact on technology transfer?

Nothing to Report

What was the impact on society beyond science and technology?

Nothing to Report

5. <u>CHANGES/PROBLEMS</u>:

There were no significant changes other than a delay in being able to apply new metrics for the presence of tinnitus, delaying Task 1 tinnitus assessments.

6. PRODUCTS, INVENTIONS, PATENT APPLICATIONS, AND/OR LICENSES:

Other publications, conference papers, and presentations.

Nothing additional over what was reported above

Website(s) or other Internet site(s)

Nothing to report

Technologies or techniques

Nothing to report

Inventions, patent applications, and/or licenses

Nothing to report

Other Products

Nothing to report

7. PARTICIPANTS & OTHER COLLABORATING ORGANIZATIONS:

Name:	Richard Altschuler
Project Role:	Principal Investigator
Researcher Identifier (e.g. ORCID ID):	richardaltschuler
Nearest person month worked:	3

Contribution to Project:	Responsibility for the supervision of the histopathology, quantitative assessments of hair cells and the connection between hair cells and auditory nerve. He will interpret results, trouble shoot methods and make decisions on directions.
Contribution to Project:	 of hair cells and the connection between hair cells and auditory nerve. He will interpret results, trouble shoot methods and make decisions on directions. Changes Since Just in Time New Awards: R25 NS107159, Increasing URM Diversity: Targeting Transitions in the Neuroscience Education Continuum, PI: Stuenkel, Role: Co-Investigator, Effort: 1.2 calendar months, Award Period: 07/01/18 – 06/30/23 Global United Pharmaceuticals, Ototoxicity Study with Antipyrine & Benzocaine Otic Solution, PI: Altschuler, *Dr. Altschuler effort starting 2/18/2017, Award Period: 03/26/18 – 04/26/19 Awards that have Ended: P30 DC005188, Hearing, Balance and Chemical Senses Research Core Center, PI: Altschuler, Award Dates: 08/01/12 – 07/31/17 MPI Research, Histological Service for MPI Research, Inc., PI: Altschuler, Award Dates: 01/01/15 – 12/31/17 R41 DC015411, Chaperone Therapeutics/NIH, Drug Activation of HSF1 for Prevention of Noise-Induced Hearing Loss, PI's: Kohrman / Sippola-Thiele, Award Period: 09/15/16 – 09/14/17 Charles River Laboratories, Cytocochleogram Assessments and Preparation of Catheters for Middle Ear Drug Delivery for Charles River Laboratories, PI: Altschuler, Award Period: 08/17/16 - 08/16/17 ACTIVE: W81XWH-17-1-0171 (Altschuler) 09/30/17 – 09/29/20 2.64 calendar months DOD \$330,832 Mechanism Based Prevention of Noise-Induced Tinnitus: Protection and Repair of Peripheral Auditory Neuropathy, PR160290 Our proposed studies will first test if repair /reconnection will prevent tinnitus from occurring. Next we will determine what is the time window for effective treatment, how long after the noise can reconnection be induced that will prevent or treat the progression of tinnitus. R25 NS107159 (Stuenkel) 07/01/18 – 06/30/23 1.2 calendar months NIH/NINDS \$249,447 Increasing URM Diversity: Targeting Transitions in the Neuroscien
	Our goal is to meet the nation's research needs by enhancing diversity in the neuroscience workforce. The focus will be to increase the representation of under- represented minorities (URMs) and achieve this by targeting specific challenges in transitions along the Neuroscience education and career continuum. Role: Co-Investigator
	T32 NS076401 (Stuenkel) 0701/16 – 06/30/21 0.6 calendar months NIH/NINDS \$181,096 Early Stage Training in the Neurosciences (ESTN)

The purpose of this grant is to foster training and development of the next generation of researchers in neuroscience, who will devote their careers to address the basic science and biomedical research needs of the nation across the broad field of Neuroscience. Role: Co-Director
(Altschuler) 03/26/18 – 04/26/19 0.0 calendar months Global United Pharmaceuticals \$90,130 Ototoxicity Study with Antipyrine & Benzocaine Otic Solution The purpose of this non-GLP pilot study is to assess any tissue response of the external auditory meatus in guinea pig following topical application of Antipyrine and Benzocaine Otic Solution (AB solution) or glycerin.

Name:	David Dolan
Project Role:	Co-Investigator
Researcher Identifier (e.g. ORCID ID):	N/A
Nearest person month worked:	2
Contribution to Project:	Responsible for directing the auditory brain stem response (ABR) measures including thresholds and input-output function, and overseeing the assessment of gap detection and pre-pulse inhibition of the acoustic startle reflex as well as the assessment and interpretation of these results. He will also oversee the noise exposures.
Funding Support:	 Changes Since Just in Time New Awards: Ann Arbor VA Medical Center, RR&D Merit Review Award, Prevention of Noise-Induced Acceleration of Age-Related Hearing Loss, Award Period: 07/01/18 – 06/30/22 Awards that have Ended: P30 DC005188, Hearing, Balance and Chemical Senses Research Core Center, P1: Altschuler, Award Dates: 08/01/12 – 07/31/17 Stealth Peptides Inc., Investigate the Effects of Mitochondrial-target Peptides on Hearing Loss, PI: Brenner, M., Award Period: 02/11/16 – 12/31/17 R41 DC015411, Chaperone Therapeutics/NIH, Drug Activation of HSF1 for Prevention of Noise-Induced Hearing Loss, PI's: Kohrman / Sippola-Thiele, Award Period: 09/15/16 – 09/14/17 ACTIVE: W81XWH-17-1-0171 (Altschuler) 09/30/17 – 09/29/20 1.68 calendar months DOD \$330,832 Mechanism Based Prevention of Noise-Induced Tinnitus: Protection and Repair of Peripheral Auditory Neuropathy, PR160290 Our proposed studies will first test if repair /reconnection will prevent tinnitus from occuring. Next we will determine what is the time window for effective

treatment, how long after the noise can reconnection be induced that will prevent or treat the progression of tinnitus. Role: Co-Investigator
R01 DC014456 (Raphael / Martin) 07/01/16 – 06/30/21 0.96 calendar months NIH/NIDCD \$281,872 Exploration of Connexin26 Genotypes, Phenotypes, and Gene Replacement We propose a series of experiments to test our global hypothesis that Cx26 exhibits critical requirements for promotion of sensory epithelial and neuronal function and integrity that are temporal (i.e. embryonic vs. early postnatal vs adult) and cell type-specific (i.e. supporting cells vs. neurons vs. other elements), and that functional effects of loss of Cx26 can be corrected by gene replacement. Role: Co-Investigator

Name:	Bryan Pfingst
Project Role:	Co-Investigator
Researcher Identifier (e.g. ORCID ID):	N/A
Contribution to Project:	Dr. Pfingst is an expert in behavioral psychophysical evaluation of hearing. He will help to bring the conditioned lick suppression test for tinnitus.
Funding Support:	 Changes Since Just in Time Awards that have Ended: University of Michigan MCubed Program, Measures to Improve Auditory Prosthesis Function, PI's: Pfingst / Arts / Grosh, Award Period: 10/27/15 – 04/29/17 MED-EL Corporation, FK3160, MEDMICH002, Effects of Regenerated Peripheral Processes on Cochlear Implant Function, PI: Pfingst, Award Period: 07/01/16 – 02/28/17 <u>ACTIVE</u> R01 DC015809 (Pfingst) 12/01/16 – 11/30/21 4.8 calendar months NIH/NIDCD \$386,387 Functionally Important Features of the Electrically Stimulated Cochlea The goals of this project are to determine the correlation between biological and physical features of the implanted cochlea and functional responses to electrical stimulation in a guinea pig animal model, relate these finding to speech recognition ability in human subjects and then define and test strategies that can improve prosthetic hearing in individual patients. W81XWH-17-1-0171 (Altschuler) 09/30/17 – 09/29/20 0.96 calendar months DOD \$330,832 Mechanism Based Prevention of Noise-Induced Tinnitus: Protection and Repair of Peripheral Auditory Neuropathy, PR160290 Our proposed studies will first test if repair /reconnection will prevent tinnitus from occurring. Next we will determine what is the time window for effective treatment, how long after the noise can reconnection be induced that will prevent or treat the progression of tinnitus.

Name:	Susan Shore		
Project Role:	Co-Investigator		
Researcher Identifier (e.g. ORCID ID):	N/A		
Contribution to Project:	Provide advice and assist in interpretation of data		
Funding Support:	Changes Since Just in Time New Awards: RFI MH114244, NHI/BRAIN Initiative Reversing Synchronized Brain Circuits with Targeted Auditory-Somatosensory Stimulation to Treat Phantom Percepts, PI: Shore, Effort: 3.6 calendar months, Award Period: $08/01/17 - 07/31/21$, \$1,571,315 Total Award American Tinnitus Association, The Role of Cochlear Synaptopathy in Tinnitus, PI: Corfas, Role: Co-Investigator, Effort: Dr. Shore's effort on this project comes from her departmental research time. Award Period: $06/01/17 - 05/31/19$, Current DC: \$45,455 University of Michigan – MTRAC, Reversing Synchronized Circuitry to Treat Tinnitus in Humans, PI: Shore, Award Period: $02/01/18 - 01/31/19$, Effort: 0.24 calendar months, Current DC: \$251,502 R01 DC017119, Hidden Hearing Loss: A View from the Brain, PI: Shore, Award Period: $06/07/18 - 05/31/23$, Effort: 4.2 calendar months, Current DC: \$356,345 Awards that have Ended: R01 DC004825, Function of Somatosensory Pathways to Cochlear Nucleus, PI: Shore, Award Dates: $03/01/12 - 02/28/17$ ACTIVE T32 DC000011 (Shore) $07/01/14 - 06/30/19$ 0.6 calendar months NIH/NIDCD \$422,594 Sensory Mechanisms and Disorders This training grant supports research training in hearing, balance, and chemical senses for predoctoral students and postdoctoral fellows. (Corfas) $06/01/17 - 05/31/19$ Effort As Needed* American Tinnitus Association \$45,455 The Role of Cochlear Synaptopathy in Tinnitus We will now test if neurotrophin 3 can be used to prevent noise-induced tinnitus in mice. Positive results will provide new potential approaches for the pharmacological treatment of tinnitus. Role: Co-Investigator *Dr. Shore's effort on this project comes from her departmental research time. RF1 MH114244 (Shore) $08/01/17 - 07/31/21$ 3.6 calendar months NIH/BRAIN Initiative \$1,571,315 Total Award Reversing Synchronized Brain Circuits with Targeted Auditory-Somatosensory Stimulation to Treat Phantom Percepts Investigate non-invasive modulation of a specific circuit in the first central auditory system stat		

proposal are to determine optimal dosing parameters for modulating DCN circuitry through bimodal AN-SS stimulation in normal and noise-exposed animals and humans with tinnitus. We will examine changes in tinnitus to assess modulation of DCN circuitry.
W81XWH-17-1-0171 (Altschuler) 09/30/17 – 09/29/20 0.3 calendar months DOD \$330,832
Mechanism Based Prevention of Noise-Induced Tinnitus: Protection and Repair of Peripheral Auditory Neuropathy, PR160290
Our proposed studies will first test if repair /reconnection will prevent tinnitus
from occuring. Next we will determine what is the time window for effective
treatment, how long after the noise can reconnection be induced that will prevent or treat the progression of tinnitus. Role: Co-Investigator
or non-progression of minings from co in conguer
(Shore) $02/01/18 - 01/31/19$ 0.24 calendar months
University of Michigan - MTRAC \$251,502
Reversing Synchronized Circuitry to Treat Tinnitus in Humans
The goal of the MTRAC proposal is to secure funds for upgrading the Michigan
Tinnitus Device and creating the documentation required for FDA clearance. These upgrades will ensure that the devices used in the funded clinical trial will
be FDA compliant and commercialization-ready.
of PDA compliant and commercianzation ready.
R01 DC017119 (Shore)06/07/18 – 05/31/23 4.2 calendar months
NIH/NIDCD \$356,345
Hidden Hearing Loss: A View from the Brain
The goal of this series of studies is to elucidate the cochlea-SCC-MOC circuit in
normal and noise-damaged animals with hidden hearing loss, using optogenetics,
physiology and tract tracing.

Name:	Sue Deremer
Project Role:	Research Lab Specialist Inter
Nearest person month worked:	6
Contribution to Project:	Ms. Deremer is responsible for the Auditory Brain Stem Response (ABR) measures, DPOAE and the noise exposures at KHRI. She assists Diane Prieskorn with the animal surgeries and drug delivery (by poloxamer on the round window niche) at KHRI. She also is responsible (with Ms.Kanicki) for animal terminations and fixation of cochleae.
Funding Support:	N/A

Name:	Ariane Kanicki
Project Role:	Research Lab Specialist Senior
Nearest person month worked:	2
Contribution to Project:	Ms. Kanicki will carry out animal terminations at KHRI including fixations along with Ms. DeRemer. She is an expert in confocal microscopy and will be responsible for processing and immunostaining cochleae and then generating

	confocal images from the immunostained surface preparations of the cochleae (including those from the studies of the Partnering PI and Co-I at WSU).
Funding Support:	N/A

Name:	Catherine Martin
Project Role:	Research Associate
Nearest person month worked:	7
Contribution to Project:	Ms. Martin will be responsible for carrying out the quantitative assessment of hair cells to generate cytocochleograms and the quantitative assessment of IHC-AN synaptic connections (from the confocal images generated by Ariane Kanicki, see above), assessing cochleae both from KHRI and the Partnering PI studies at WSU.
Funding Support:	N/A

Name:	Diane Prieskorn
Project Role:	Research Lab Specialist Senior
Nearest person month worked:	5
Contribution to Project:	Ms. Prieskorn is responsible for all animal surgeries and drug delivery (by poloxamer on the round window niche) at KHRI and training the Partnering PI staff at WSU in this method. She also assists in ABR measures and assessment.
Funding Support:	N/A

Name:	Lisa Kabara
Project Role:	Research Technician Associate
Nearest person month worked:	9
Contribution to Project:	Ms. Kabara will be responsible for carrying out the Gap Detection an operant conditioning metrics to test for tinnitus.
Funding Support:	N/A

What other organizations were involved as partners?

Wayne State University, Detroit Michigan, is a Partnering Institution to this project. They will be sending in their a collaborative Progress Report separately.

8. SPECIAL REPORTING REQUIREMENTS:

Nothing to Report

9. <u>APPENDICES</u>:

Altschuler RA, Halsey K, Kanicki A, Martin C, Prieskorn D, DeRemer S, Dolan DF.(2018) Small Arms Firelike noise: Effects on Hearing Loss, Gap Detection and the Influence of Preventive Treatment. Neuroscience -[Epub ahead of print] PMID: 30053484.

NEUROSCIENCE -RESEARCH ARTICLE

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Small Arms Fire-like noise: Effects on Hearing Loss, Gap Detection and the Influence of Preventive Treatment

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Abstract—A noise-induced loss of inner hair cell (IHC) – auditory nerve synaptic connections has been suggested as a factor that can trigger the progression of maladaptive plastic changes leading to noise-induced tinnitus. The present study used a military relevant small arms fire (SAF)-like noise (50 biphasic impulses over 2.5 min at 152 dB SPL given unilaterally to the right ear) to induce loss (\sim 1/3) of IHC synaptic ribbons (associated with synapse loss) in rat cochleae with only minor (less than 10%) loss of outer hair cells. Approximately half of the noise-exposed rats showed poorer Gap Detection post-noise, a behavioral indication suggesting the presence of tinnitus. There was significantly greater loss of IHC ribbons in noise-exposed rats with reduced Gap Detection compared to noise-exposed rats retaining normal Gap Detection. We have previously shown systemic administration of piribedil, memantine, and/or ACEMg significantly reduced loss of IHC ribbons induced by a 3 h 4 kHz octave band 117 dB (SPL) noise. The present study examined if this treatment would also reduce ribbon loss from the SAF-like noise exposure and if this would prevent the reduced Gap Detection. As in the previous study, piribedil, memantine, and ACEMg treatment significantly reduced the noise-induced loss of ribbons, such that it was no longer significantly different from normal. However, it did not prevent development of the reduced Gap Detection indication of tinnitus in all treated noise-exposed rats, reducing the incidence but not reaching significance.

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Key words: noise, cochlea, tinnitus, synaptopathy.

INTRODUCTION

Tinnitus is the perception of a sound, in the absence of an external sound and often perceived as ringing in the ear (s). Tinnitus can lead to depression, anxiety, insomnia and reduce quality of life. It occurs in approximately 15% of US adults with 1–2% of the population seeking professional assistance to cope with tinnitus (Shore et al., 2016 for review). Approximately 40% of all veterans report tinnitus and it is the number one co-reported service-related disability for those who served in the Gulf Wars (Yankaskas, 2013; Theodoroff et al., 2015).

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A variety of noise exposure conditions can be used to induce tinnitus in animal models, including noises that induce only temporary changes in hearing thresholds (TTS) and those that induce severe permanent increases in hearing thresholds (PTS) (Bauer et al., 2008). As with people, a noise exposure generally induces tinnitus in only a sub-population of exposed animals, with the percent showing tinnitus varying depending on the noise exposure conditions and the metrics used to determine the presence of tinnitus. Our studies tested the effect of a military relevant small arms fire (SAF)-like noise that induces TTS and small PTS.

TERNATIONAL BRAIN

Noise exposures can induce changes in the cochlea that can then trigger a progression of further changes, including maladaptive activity dependent plasticity in both lower (auditory brain stem) and higher central auditory centers (see Roberts et al., 2010; Wang et al., 2011; Knipper et al., 2013; Auerbach et al., 2014; Henry et al., 2014; Eggermont and Tass, 2015; Shore et al., 2016; Kaltenbach, 2011; Wu et al., 2015 Melcher et al., 2009 and Richardson et al., 2012 for reviews). Regions

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Abbreviations: ABR, auditory brain stem response; ASR, acoustic startle reflex; GD, Gap Detection; IHC, inner hair cell; LSR, low spontaneous rate; OHC, outer hair cells; PBS, phosphate-buffered saline; PPI, pre-pulse inhibition; SAF, small arms fire; TDT, Tucker Davis Technologies; TTS, temporary threshold shift.

with hyper-excitability can appear and further modulations and upstream changes can continue to occur in both central auditory and non-auditory centers (Roberts et al., 2010; Auerbach et al., 2014; Eggermont and Roberts, 2015; Eggermont and Tass, 2015 for reviews). This progression of changes can be associated with an immediate tinnitus, often transient, a later occurring chronic intermittent tinnitus and ultimately to a chronic persistent tinnitus. Consequently there may be different temporally dependent targets for prevention and/or treatment of tinnitus during the different phases in this progression. A treatment might be effective during one phase in the progression of tinnitus and not during another. An initial target for intervention during the first phase would be the peripheral changes occurring in the cochlea and this is the focus of the current study. Animal studies showing tinnitus can be induced with a noise that does not cause hair cell loss or permanent changes in hearing thresholds (e.g. Bauer et al., 2008; Dehmel et al., 2012a,b), suggest that sensory hair cell loss, while perhaps sufficient, is not necessary for inducing tinnitus. Recent studies show that noise causing only a temporary threshold shift (TTS), without hair cell loss, can cause peripheral neuropathy with loss of inner hair cell (IHC) - auditory nerve (AN) synaptic connections (e.g. Kujawa and Liberman, 2009). This raises the question of whether the peripheral synaptopathy could be a trigger to induce the progression of tinnitus (Bauer et al., 2007; Hickox and Liberman, 2014). Peripheral synaptopathy as an induction factor was supported by recent studies that demonstrated greater loss of IHC synaptic ribbons (marking IHC-AN synaptic connections) in noise-exposed rats that developed tinnitus compared to those that did not (Rüttiger et al., 2013; Singer et al., 2013) using a psychophysical measure of tinnitus in the rat model.

The first phase of our study examined if a SAF-like noise that generates only a small loss of outer hair cells (OHC) and small auditory brain stem response (ABR) threshold shift would produce a loss of IHC synaptic ribbons comparable to what has been shown following other types of mild noise exposures (e.g. Kujawa and Liberman, 2009; Altschuler et al., 2016). Loss of CTBP2 immuno-labeled IHC synaptic ribbons is an indication of loss of IHC-AN synaptic connections (Kujawa and Liberman, 2009; Rüttiger et al., 2013; Singer et al., 2013). Our study also examined if the SAF-like noise would lead to reduced Gap Detection (GD). Reduction in GD was observed after noise exposure by Rybalko and Syka (2005) and developed by Turner et al. (2006) as a metric for the presence of tinnitus in the rat model. We then examined if there was greater loss of synaptic ribbons in the animals showing the reduced GD.

Our previous study showed that a combination of piribedil, memantine and/or ACEMg administered prior to and following a 3-h exposure to 117 dB SPL octave band noise centered around 4 kHz decreased the noise-induced loss of IHC synaptic ribbons (Altschuler et al., 2016). Piribedil is a dopamine D2 receptor agonist that has previously been reported to reduce auditory nerve excitation and the noise-induced swelling and bursting of peripheral processes associated with excitotoxicity

(d'Aldin et al., 1995). Memantine is a non-competitive open channel blocker that enters the NMDA receptor only when it is excessively open reducing the Ca++ intake that can lead to excitotoxicity (Choi et al., 1988; Gardoni and Di Luca, 2006; Lipton, 2007; Wroge et al., 2012). Local NMDA receptor blockage has been reported to reduce tinnitus (Brozoski et al., 2013). ACEMg is an anti-oxidant combination of vitamins A. C and E along with magnesium (ACEMg) that has been shown to reduce noise-induced hair cell loss (Le Prell et al., 2007). Magnesium could also reduce excitotoxicity through saturation of the Mg binding site on the NMDA receptor or by acting as a competitive Ca⁺⁺ channel blocker to protect against damage from oxidative stress. We therefore tested if this anti-excitotoxicity combination would also reduce loss of ribbons from the SAF-like noise and if this would affect the incidence of reduced GD in the treated animals.

EXPERIMENTAL PROCEDURES

General study design

This study was reviewed and approved by the Institutional Animal Care & Use Committee at the University of Michigan, which is fully accredited by AAALAC International. All procedures conformed to the National Research Council's Guidelines for the Care and Use of Laboratory Animals: Eighth Edition. Young adult (300-360 g) male Sprague–Dawley rats (Charles River Laboratories) were tested for baseline ABR, as well as pre-pulse inhibition (PPI) and gap inhibition of the acoustic startle reflex (ASR). Animals without normal responses were removed from study. Animals were then randomly assigned to one of three groups; Sham noise and no treatments (normal diet); Noise exposure with control (saline) injection and normal diet; Noise exposure with piribedil and memantine injections and ACEMg enhanced diet. ACEMg was provided as in Le Prell et al. (2007) and Altschuler et al., (2016) beginning 3 weeks prior to noise and continuing until euthanasia at 12-15 weeks following the noise. Saline (SC) or piribedil 10 mg/kg (SC) and memantine 3 mg/kg (SC) was administered beginning 3 days before the noise, then 1 h prior to the noise exposure and continuing for 3 days after the noise exposure. Dosages were based on Chen et al. (1998), Kutzing et al. (2012), Wroge et al. (2012). Saline injections (SC) were administered using an equivalent volume as in the piribedil and memantine-treated animals. The SAF-like noise exposure was unilateral, to the right ear only.

Auditory brain stem response (ABR)

ABR measures were taken prior to noise, immediately after the noise exposure and at 12–15 weeks after the noise (prior to euthanasia). At 12–15 weeks following the noise, animals were euthanized and processed for assessment of hair cell loss and the number of CTBP2 immuno-labeled ribbons per IHC, as a marker for IHC-AN synaptic connections. Animals were anesthetized (ketamine 65 mg/kg, xylazine 6 mg/kg). Body temperature was maintained through the use of water

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circulating heating pads and heat lamps. Additional anesthetic was administered if needed to maintain anesthesia depth sufficient to ensure immobilization and relaxation. ABRs were recorded in an electrically and acoustically shielded chamber (Acoustic Systems, Austin, TX USA). Needle electrodes were placed at vertex (active) and the test ear (reference) and contralateral ear (ground) pinnae. Tucker Davis Technologies (TDT) System III hardware and SigGen/ BioSig software (TDT, Alachua, FL USA) were used to present the stimulus and record responses. Tones were delivered through an EC1 driver (TDT, aluminumshielded enclosure made in-house), with the speculum placed just inside the tragus. Stimulus presentation was 15-ms tone bursts, with 1 ms rise/fall times, presented 10 per second. Up to 1024 responses were averaged for each stimulus level. Responses were collected for stimulus levels in 10 dB steps at higher stimulus levels, with additional 5 dB steps near threshold. Thresholds were interpolated between the lowest stimulus level where a response was repeatedly present and 5 dB lower, where no response was present. Wave three, the peak present at the lowest intensities, was typically the response used to determine threshold. Since noiseexposed animals were not expected to develop a left (unexposed) ear hearing loss, any animal developing threshold shifts in this ear was eliminated from further analysis. Supra-threshold amplitudes and latencies for ABR peak I were analyzed in both ears (exposed and unexposed) animals pre and post exposure. The amplitude of peak I was measured from the baseline preceding the peak.

Noise exposure (simulated SAF)

The SAF - like impulse noise was produced by a compression driver (JBL Selenium, model D3500Ti-Nd) powered by a linear amplifier (Parasound, model HCA-600). The output of the compression driver was coupled to a 3-mm diameter plastic speculum with a custom made aluminum coupler. The signal input to the linear amplifier was produced by a D-A converter (Tucker-Davis Technologies, model DA1). The digital input to the D-A converter was generated in software (Tucker-Davis Technologies, types SigGen32 and SigPlay32). The waveform was a biphasic pulse, digital 150 microseconds per phase, presented at 0.333 Hz. The sound output was calibrated with an impulse measuring sound level meter (Bruel & Kjaer, model 2231), and a 1/4 inch pressure response microphone (Bruel & Kjaer, type 4136) coupled to the speculum with a 0.2 cc coupler. The 50 biphasic impulses over 2.5 min at 152 dB SPL SAF-like noise was given unilaterally to the right ear. The normal hearing remaining in the left ear allowed for testing of GD and PPI of the ASR.

Gap inhibition and PPI of the ASR:

The ASR was determined using equipment and software from Kinder Scientific (http://www.kinderscientific.com). The animal was placed in an acoustically transparent cage, which was set atop a sensor inside a test chamber. The cage was small enough to discourage excess movement, but did not confine the animal to an abnormal posture. The ASR was assayed by playing a brief (20 ms) broad band noise pulse at 115 dB SPL, a sufficient volume to elicit a "jump," or startle reflex from the animal. This noise level and duration did not cause any hearing loss or loss of hair cells. The sensor measures the amount of movement in the jump or startle and reduced amplitude from the sensor is used define a reduced ASR response ("reduced to performance"). The ASR response can be reduced by a "pre-pulse" of another lower intensity sound just prior to the louder sound that induces the startle reflex called PPI. PPI can be ineffective in inducing inhibition of the ASR if the animal cannot hear the pre-pulse, so hearing loss can influence PPI. The ASR response can also be inhibited or reduced by a brief gap in a background sound just prior to inducing the ASR called Gap Inhibition of the ASR. Gap Inhibition of the ASR can be ineffective if the animal cannot detect the Gap. If a presentation of a gap does not inhibit or reduce the subsequent ASR response then a conclusion is made that there is a reduced detection of the gap (GD). This can occur for different reasons, one of which could be tinnitus filling in the gap (Turner et al., 2006).

Both Gap Detection and PPI of the ASR were tested three times a week, first during the 2-3 weeks prior to the noise exposure to generate baseline information and then beginning 3-5 days following the noise exposure and continuing until animal euthanization at 12-15 weeks following the noise. For acclimation effects, the results from the initial two days of testing were not included in the results. To reduce habituation effects, the session (\sim 40 min) was divided into two, with a 10-15-min break (the animal was removed from the cage) between sessions. For testing GD, a constant low-level (60 or 65 dB SPL) background noise was played, with a very short (50 ms) gap (2 ms off-on ramp) in the noise shortly (100 ms) before the startle-inducing sound. In animals with normal hearing, this gap changes their ASR and the amplitude of their response is decreased. For PPI, the startle is preceded by a brief, low-level (60 or 65 dB SPL) noise burst. This noise functions very similarly to the gap in GD, and it reduced the amplitude of the startle reflex. The pre-pulse and background noises were presented at varying frequency bands (8-10 kHz and 2-20 kHz BBN). For PPI, the relative startle ratio is the response to the "prepulse + startle" stimulus response divided by the startle response alone. For GD, the relative startle ratio is response to the gap + respon se to startle alone divided by the startle response alone. The values for each condition, presented in pseudo random order, with 5-9 s inter-trial intervals, are the average of seven trails.

Reduced Gap Detection

Reduced GD can be an indication of tinnitus (Turner et al., 2006). Reduction in GD was defined following selection criteria developed by Li et al. (2013). The amount of "normal" reduction in the ASR response from presentation of gap and normal variability was defined in sham noise

3

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exposed animals that were always assessed in parallel with noise-exposed animals. The baseline ASR response and the mean change from this baseline induced by a gap or pre-pulse was determined for sham animals and a 95% confidence interval for variability was generated based on the distribution of performance in these sham animals. This was determined at each assessment time point. In the noise exposed group, any animals whose reduction in ASR response amplitude following the gap presentation deviated from baseline (at any frequency or broadband noise) by an amount greater than the sham group's 95% confidence interval was classified as having reduced GD and considered an indication of tinnitus. A similar comparison was done for the PPI results to determine if any noise-exposed animals had reduced PPI.

Animal euthanasia

At 12-15 weeks following the noise (or sham), rats were anesthetized with sodium pentobarbital and then received vascular perfusion through the heart with 0.1 M phosphate buffer followed by 4% paraformaldehyde fixative in 0.1 M phosphate buffer. Cochleae were removed and received slow intrascalar infusion of the same fixative through the round window and an apical fenestra. Cochleae were then immersed in fixative for 12-16 h, followed by rinse in phosphate buffered saline (PBS) and then partial decalcification in 5% EDTA in PBS for 2-3 days at 4 °C. The otic capsule and tectorial membrane were carefully removed and cochleae were permeabilized and blocked in 5% normal donkey serum in 0.3% Triton X-PBS. The remaining cochlear epithelium was then incubated with mouse anti-CTBP2 antibody (BD Transduction Laboratories) diluted 1:200 in PBS for 16-20 h at 4 °C. This was followed by three ten-minute rinses in PBS and then labeling with Phalloidin Alexafluor 568 (Life Technologies) diluted 1:100 and incubation with donkey anti-mouse immunoglobulin Alexafluor 488 (Life Technologies) diluted 1:500 in PBS for 2 h at room temperature. This was followed by three rinses in PBS in the dark. The cochlear epithelium was then microdissected into three to four segments, apex, middle, base and hook, and each segment was mounted separately as a surface preparation on a glass slide with Fluoromount (EMS Inc.) and covered with a coverslip. Slides were then stored at 4 °C until examination.

Assessment of IHC – auditory nerve synaptic ribbons

CTBP2 immunostaining of synaptic ribbons (Fig. 1) was used as a marker for IHC-AN synapses as in Kujawa and Liberman (2009), Singer et al. (2013) and Altschuler et al. (2015). Up to 5% of CTBP2 immuno-labeled ribbons can be "orphans" and not opposed by peripheral processes with glutamate receptor and so the use of CTBP2 immunostaining of ribbons as a marker for IHC-AN synaptic connections has the potential for a small over-count (Sergeyenko et al., 2013).

The right ears that received the noise exposure were assessed, with the assessor blinded as to treatment condition. The length of the whole mount preparation of organ of Corti was measured using Metamorph Image Analysis software to locate the position of the regions of interest. Three regions of interest were selected along the cochlear spiral at 3.25, 5.0 and 6.5 mm from the apex for quantitative assessment. These are close to the 8, 16 and 24 kHz frequency regions (Viberg and Canlon, 2004; Müller, 1991) that were tested for ABR. Either a Zeiss LSM 510-META or a Leica SP5 Laser Scanning Confocal Microscope with a 63× objective at $\times 1$ digital zoom were used to acquire a Z-series of 1 μ m slices with a z-step increment of 0.4 µm for each region of interest. The length of each region of interest was approximately 0.2 mm, varying because of differences in the curvature of the cochlear spiral at different positions. The digital images were analyzed using Metamorph Image Analysis software. The number of immunolabeled CTBP2 puncta meeting size and location criteria with intensity of labeling at least five times over background was determined for each IHC base in each region of interest. The number of IHC in that 0.2 mm region was counted, and then the mean number of puncta per IHC base in the region of interest was determined.

Assessment of hair cell loss

Phalloidin labeling of hair cells or of the scars replacing missing hair cells was used to identify presence or absence of IHC and OHC (Fig. 1). Hair cells were counted under epifluorescence optics on a Leica fluorescent microscope using a $50\times$ objective and a 0.19-mm reticule in the microscope evepiece. The number of OHC in each row and the number of IHC that were present or absent for each 0.2-mm reticule length was entered into a cytocochleogram program (developed in house e.g. Lemke et al., 2009; Piu et al., 2011) starting at the apex and moving basally until the entire length of the cochlear spiral had been assessed. The program compares hair cell numbers to a normal data base. The program can generate a graph of hair cell loss by position along the cochlear spiral for each cochlea (cytocochleogram) and also provide the analysis in absolute numbers or as the total percent of hair cells lost in each animal assessed.

Statistics

Significance was tested by MANOVA (Kruskal–Wallis test for nonparametric results) followed by two-tailed unpaired *t*-test with Bonferonni correction for multiple comparisons, using Graphpad Prism or SigmaPlot. Groups further divided by differences in Gap Detection received Mann– Whitney post hoc test, using GraphPad Prism. A *p*value less than 0.05 was considered necessary to infer significance.

RESULTS

Animals were removed from study if they lost ASR responsiveness, if they showed bilateral hearing loss after the unilateral noise exposure, or if they developed reduced PPI or ABR threshold shifts greater than two standard deviations from normal. Three of twenty

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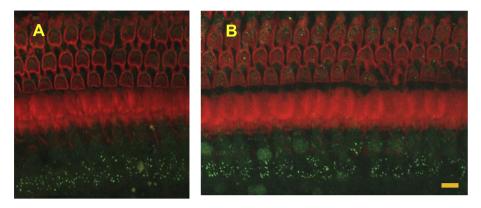


Fig. 1. Surface preparations from middle of the rat cochleae (\sim 5 mm from apex) with phalloidin staining of F-Actin (red) and immunostaining for CTBP2 (green), 2A from a normal untreated cochlea, 2B from a cochlea fifteen weeks after a SAF-like noise. Bar = ten microns. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

animals in the group receiving noise exposure and no drug treatments were removed from study. Three of twelve animals in the group receiving noise exposure and the drug treatments were removed from study.

Loss of hair cells

The SAF-like noise produced a mean loss of 8.2% of OHC across untreated animals (saline control, normal diet). The pattern of OHC loss at 12–15 weeks following the noise is shown in a cytocochleogram mapping the

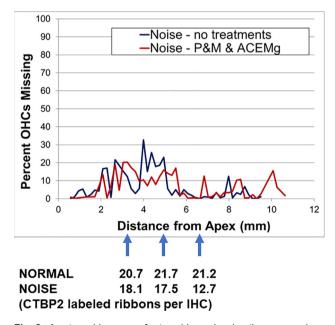


Fig. 2. A cytocochleogram of rat cochleae showing the average loss of outer hair cells (OHCs) (from all three rows) following an SAF-like noise fifteen weeks following the noise comparing groups with (red line) and without (dark blue line) treatment with memantine, piribedil and ACEMg. Below the cytocochleogram the location of the three regions (3.25, 5.0 and 6.5 mm from the apex) assessed for loss of inner hair cell (IHC) ribbons (CTBP-2 immunolabeling of puncta) is shown with arrows and below the arrows the mean number of ribbons per IHC is shown for each region for normal non-treated rats (Normal) and for the rats exposed to the SAF-like noise (Noise) assessed fifteen weeks following the noise. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

along mean OHC loss the cochlear spiral across animals (Fig. 2). While the mean shows a primary loss between 10% and 20%, in the region 2-5 mm from the apex, there was considerable variability across animals in the OHC loss. No IHC loss was observed. The group of noiseexposed animals receiving treatment with a combination of piribedil, memantine and ACEMg had an 8.0% loss of OHCs, comparable to the noise-exposed animals without drug treatment and there was also no major difference in the pattern of hair cell loss (Fig. 2) between treated and untreated groups.

ABR

The ABR in the noise-exposed untreated animals (saline control, normal diet) at 12–15 weeks following the noise showed small but significant permanent threshold shifts of 19.0 dB at 8 kHz, and 13.1 dB at 16 kHz; the 6.7 dB increase at 24 kHz was not significant (Fig. 3). The wave I Amplitude of the ABR in noise-exposed animals

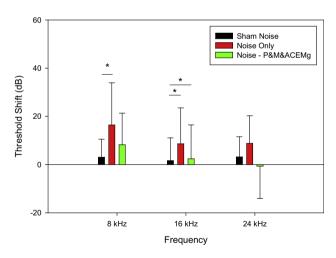


Fig. 3. The mean threshold shifts for the three groups of rats: a group receiving sham noise and no treatments (black circles), a group receiving SAF noise exposure and no treatments (labeled noise only - red triangles) and a group receiving SAF noise exposure and treatment with piribedil, memantine and ACEMg (labeled Noise -P&M&ACEMg - green rectangles), comparing baseline to fifteen weeks after the noise or sham. There was no significant change in sham noise animals. The SAF exposure in rats with no additional treatment resulted in significant threshold shifts (p < 0.01) compared to shams, with significant shifts of 19 dB at 8 kHz and 13.1 dB at 16 kHz at 12-15 weeks following the noise. A 6.7 dB increase at 24 kHz was not significant. There was no significant difference compared to shams for the noise-exposed rats receiving piribedil, memantine and ACEMg treatment, the difference was only significant (p < 0.1) from the untreated noise-exposed group at 16 kHz and was not significantly different from either the sham noise group or the non-treated noise group at 8 or 24 kHz. Error bars show standard deviation. (For interpretation of the references to color in this figure leagnd, the reader is referred to the web version of this article.)

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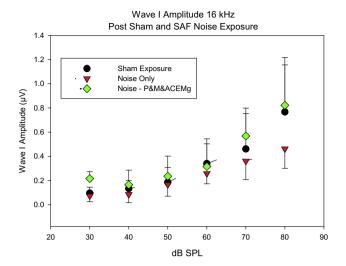


Fig. 4. ABR wave I amplitude as a function of stimulus level is shown for three groups of rats: a group receiving sham noise and no treatments (black bars), a group receiving SAF noise exposure and no treatments (labeled noise only – red bars) and a group receiving SAF noise exposure and treatment with piribedil, memantine and ACEMg (labeled Noise – P&M&ACEMg - green bars), assessed fifteen weeks after the noise or sham. The sham wave I amplitude was significantly different from the control diet group at 80 dB (p = 0.004). The piribedil, memantine and ACEMg group was significantly different from the control diet group at 80 dB (p = 0.002) and 70 dB (p = 0.007). Error bars show standard deviation.

showed significant reductions at 8 kHz (not shown) and at 16 kHz (Fig. 4) compared to Sham Noise animals but did not show a significant reduction from Sham at 24 kHz (not shown). Noise-exposed animals receiving the piribedil, memantine and ACEMg treatment showed less threshold shift than noise-exposed animals without treatment, significantly less at 16 kHz and not significantly different than sham noise animals at any of the three tested frequencies (Fig. 3).

There was no drug treatment effect for combined piribedil, memantine and ACEMg, on the wave I amplitude of the ABR at 8 kHz with a SAF-like noise reduction comparable to the non-treated animals. At 16 kHz there was a treatment effect with wave I amplitude comparable to the sham noise group (Fig. 4) at 70 dB (p < 0.01) and 80 dB (p < 0.01) comparable to the untreated group. The noise-induced reduction in wave I amplitude at 24 kHz did not reach significance and so while the piribedil, memantine plus ACEMg treatment resulted in a wave I amplitude that was comparable to sham, it was also not significantly different than the noise – no drug treatment group (not shown).

Loss of synaptic ribbons

There was a significant (p < 0.01) noise-induced decrease in the number of CTBP2 immuno-labeled ribbons on IHCs in the region 6.5 mm from apex at 12–15 weeks following the noise. The mean number of ribbons per IHC decreased from 21.2 (± 8) in normal rats to 12.7 (± 2.4) in the noise-exposed untreated animals (saline control, normal diet) a decrease of 40% (Figs. 2 and 5). There was a smaller noise-induced

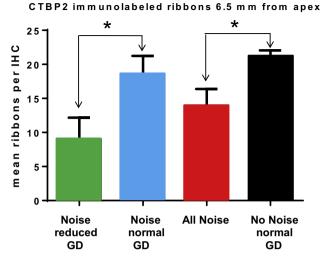


Fig. 5. The mean number of CTBP2 immunolabeled ribbons per hair cell in a ~0.2 mm region of interest located 6.5 mm from the apex (end of the cochlear spiral) is compared in four groups of animals: (1) rats receiving a SAF-like noise that developed reduced GD (green bar); (2) rats receiving a SAF-like noise that did not develop reduced GD (blue bar); (3) all rats that received SAF-like noise (combining both of the previous groups) (red bar) and (4) rats that received sham noise exposure (black bar). There was a significant loss of CTBP-2 immunolabeled ribbons per IHC in noise-exposed rats (p < 0.01). There were significantly fewer CTBP-2 immunolabeled ribbons per IHC in rats that developed GD compared to those that did not (p < 0.05). Asterisks show significance. Error bars show standard deviation. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

decrease in the number of ribbons per IHC in the regions 3.5 and 5.5 mm from the apex that did not reach significance (Fig. 2). There was a significant influence of drug treatment on the noise-induced loss of IHC synaptic ribbons in the region 6.5 mm from apex. The noise-exposed group receiving combined piribedil, memantine and ACEMg treatment had ribbon counts that were significantly greater than the noise-exposed animals (p < 0.05) without drug treatment and not significantly different from normal animals (Fig. 6).

Noise-induced changes - reduced Gap Detection

None of ten sham noise animals had reduced GD. Three of twenty animals in the group receiving noise exposure but no drug treatments were removed from study. A reduction in GD without a reduction in PPI, a behavioral indication of tinnitus, was found in five of the remaining 17 animals (\sim 30%). There were an additional three noise-exposed animals without drug treatment that showed reduced GD along with a reduction (within two standard deviations) in PPI. Reduced PPI can be an indication of hearing loss and hearing loss can influence GD. However, the ABR threshold shift and hair cell loss in these animals was no different than those with reduced GD and normal PPI and all left cochleae were not noise exposed and retained normal hearing. If the animals with reduced PPI are included it brings the percent with reduced GD to approximately 50% (Fig. 7 shows the percent having reduced GD, with and without R. A. Altschuler et al. / Neuroscience xxx (2018) xxx-xxx

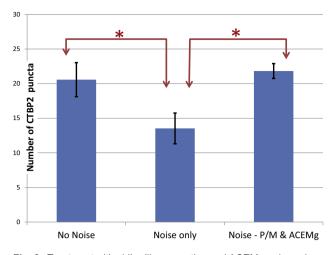


Fig. 6. Treatment with piribedil, memantine and ACEMg reduces loss of inner hair cell (IHC) ribbons from a Small Arms Fire (SAF)-like noise exposure. The mean number of CTBP2 immunolabeled ribbons (puncta) per IHC for a \sim 0.2 mm region of interest located 6.5 mm from the apex (end of the cochlear spiral) is compared for three groups: a group receiving sham noise and no treatments (labeled No Noise), a group receiving SAF noise exposure and no treatments (labeled Noise only) and a group receiving SAF noise exposure and treatment with piribedil, memantine and ACEMg (labeled Noise-P/M & ACEMg), all assessed fifteen weeks after the noise or sham. There was a significant decrease in ribbons in the noise-exposed group with no treatments (p < 0.01). The noise-exposed group receiving combined piribedil, memantine and ACEMg treatment had ribbon counts that were significantly greater than the noise-exposed animals (p <0.05) without drug treatment and not significantly different from normal animals. Asterisks show significance.

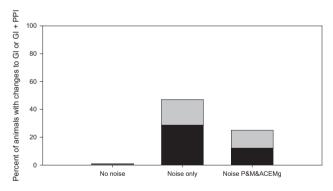


Fig. 7. Changes in Gap Detection are shown for three groups of rats: a group receiving sham noise and no treatment (labeled no noise), a group receiving small arms fire (SAF)-like noise exposure and no treatments (labeled noise only) and a group receiving SAF-like noise exposure and treatment with piribedil, memantine and ACEMg (labeled Noise P&M&ACEMg). Within each group the percent that had reduced Gap Detection with a reduction (less than two Standard Deviations) in Pre-Pulse Inhibition is added on with a gray bar. The treatment produced a large decrease in both measures but did not reach significance.

inclusion of the animals with reduced PPI). Three of twelve animals in the noise-exposed group receiving piribedil, memantine and ACEMg treatment were removed from study. Seven of the remaining nine animals had no changes in GD, one had reduced GD with normal PPI and another had reduced GD and reduced PPI (Fig. 7). This reduction in the incidence of tinnitus, however, did not reach significance, so the decrease can only be considered a "trend".

Gap Detection and synaptic ribbons

The noise-exposed animals without drug treatment with reduced GD showed significantly (p < 0.05) fewer ribbons per IHC (8.8 ± 3.3) compared to those that did not demonstrate these markers for tinnitus (18.4 ± 2.8) (Fig. 6), both for those with reduced GD without reduced PPI or with reduced GD and the decrease in PPI. This difference in loss of ribbons is consistent with previous studies from the Knipper group using a different noise exposure condition and a psychophysical behavioral test for tinnitus, that also showed lower ribbon counts in noise-exposed rats that developed tinnitus compared to those that did not (Singer et al., 2013).

DISCUSSION

A SAF-like noise that causes only small (8%) hair cell loss and small ABR threshold shift resulted in a larger (40%) and significant loss of IHC ribbons (indicating loss of IHC-AN synaptic connections). This is consistent with previous studies with other types of mild noises (e.g. Kujawa and Liberman, 2009; Altschuler et al., 2016). The region of the cochlea with greatest loss of ribbons (6.5 mm from apex) did not coincide with the region of greatest loss of OHCs at 2–5 mm from apex. This suggests there may be differences in the sensitivity to noise-related stresses between hair cells and IHC-AN synaptic connections.

There was a significant reduction in the suprathreshold amplitude of the wave I Input/Output functions in the noise-exposed non-treated animal group compared to the sham noise exposure group along with the significant loss of IHC synaptic ribbons also consistent with previous studies using a TTS level of noise that caused loss of IHC-AN synaptic ribbons (Kujawa and Liberman, 2009; Liberman and Kujawa 2017) and caused greatest loss of low spontaneous rate (LSR), high threshold fibers that contribute to the amplitude of the ABR response (Furman et al., 2013).

Our previous study (Altschuler et al., 2016) found that treatment with memantine, piribedil and/or ACEMg reduced noise-induced loss of IHC ribbons from a different noise exposure condition (3 h 4 kHz octave band 117 dB SPL noise). The present study found piribedil, memantine, ACEMg treatment also reduced loss of IHC ribbons from the SAF-like noise exposure, suggesting such "anti-excitotoxicity" treatment might have a broader application. An accompanying preservation of wave I suprathreshold amplitudes provides evidence that sparing of IHC-AN synaptic connections contributes to maintaining supra-threshold responses and dynamic range. The SAF-like noise exposure caused ABR threshold elevations in the non-treated compared to the Control Sham group, on average, 10–15 dB above the Sham group.

The SAF-like noise resulted in significant decreases in GD in some but not all animals consistent with studies using other noise exposure conditions (see Shore et al.

(2016) and other reviews). There was significantly greater loss of IHC ribbons in the noise-exposed animals showing reduced GD compared to those that did not (whether considered with or without an accompanying small reduction in PPI). Reduced GD has been suggested as an indication of tinnitus (e.g. Turner et al., 2006) and interestingly, previous studies (Singer et al., 2013; Rüttiger et al., 2013) using an operant conditioning behavioral metric for tinnitus also found greater loss of IHC ribbons in rats with this indication of tinnitus.

Limitations and future directions

If loss of IHC-AN synaptic connection is associated with induction of tinnitus then preventing their loss from noise could be a therapeutic target for noise-induced tinnitus. The present study, however, only revealed a trend with a decrease in the incidence of reduced GD in treated animals that while promising, did not reach significance. It would be useful in future studies to increase power with larger groups or use a noise exposure condition that produces a greater proportion of tinnitus in exposed animals. There is some evidence that operant conditioning tests for tinnitus identify a higher incidence of tinnitus in noise-exposed animals than seen using the reduction in GD as the metric (e.g. Jones and May, 2017, 2018). It would be useful in future studies to use both types of metrics as an assessment for noise induction of tinnitus and as a test for efficacy of treatments for tinnitus.

Wan et al. (2014) have shown that elevating NT-3 in cochlea after noise exposure induces "re-connection" of IHC-AN synapses lost from the noise and it would be interesting to test if this or other methods of reducing noise-induced loss of connections will reduce the incidence of tinnitus. It would also be interesting to see if loss of IHC-AN synaptic connections that can occur from other types of insults such as ototoxic drugs (e.g. Li et al., 2016) or aging (Sergeyenko et al., 2013; Altschuler et al., 2015) is associated with an increased incidence of tinnitus.

It is interesting that noise-exposed animals treated with piribedil, memantine and ACEMg showed less threshold shift than the non-treated noise-exposed group despite having comparable hair cell loss. A limitation of the present study is that it did not include use of distortion product otoacoustic emissions (DPOAE), a test of OHC function and it is possible that such a test could have indicated a treatment related difference in function between groups in the remaining OHCs. While the loss of IHC-AN synaptic ribbons measured following SAF-like noise was comparable to the loss reported from a TTS level noise (e.g. Kujawa and Liberman, 2009) where loss of LSR AN fibers predominated (Furman et al., 2013), it is possible that the SAF-like noise resulted in additional loss of the higher sensitivity medium and high spontaneous rate (MSR, HSR) AN fibers. Loss of MSR and HSR fibers could have contributed to the ABR threshold shift in the non-treated noise group and their sparing in the combined piribedil, memantine, ACEMg group could contribute to less threshold shift. Less threshold shift could also contribute to better supra-threshold amplitudes in this group. It could

be interesting to categorize the synaptic loss from different types of noise exposure conditions in future studies.

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