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TITLE: Wnt/Beta-Catenin, Foxa2, and CXCR4 Axis Controls Prostate Cancer Progression

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14. ABSTRACT The development of metastasis to the bone is the most dangerous complication of advanced prostate cancer (PCa), frequently resulting in significant pain. Androgen deprivation therapy is the gold standard treatment for metastatic PCa patients. However, prostate tumors become resistant to hormone ablation therapy and tumors begin to grow again. The purpose of this research is to identify mechanisms that promote metastatic castrate-resistant prostate cancer progression with the ultimate goal of finding new ways to treat patients with advanced PCa. The Wnt/beta-Catenin signaling pathway is a mechanism cancer cells use to communicate with their environment and to grow after androgen deprivation. Our previous research indicates the existence of an axis of Wnt/beta-Catenin, FOXA2, and CXCR4. In this study, we investigated their functional implication in PCa. We found that Wnt/beta-Catenin signaling is active in advanced PCa. FOXA2, a downstream target of Wnt/beta-Catenin, is important for the establishment of PCa bone metastasis. Further, we found that FOXA2 regulates the expression of PTHrP and integrin alpha 1, promoting PCa bone colonization. Furthermore, we found FOXA2 sustains AR signaling after androgen deprivation, providing a mechanism for castrate-resistant growth of PCa cells. Taken together, our study revealed a critical role of Wnt/beta-Catenin signaling and FOXA2 in PCa bone metastases.					
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1. Introduction

Castration resistant prostate cancer (CRPCa) and metastasis to the bone are the two major causes of mortality in PCa patients. Understanding the mechanisms by which the PCa cells grow in the bone and relapse after hormone ablation is critical for the development of new therapeutic approaches for the treatment of advanced PCa. Wnt/beta-Catenin signaling is implicated in the establishment of bone metastasis and in the development of CRPCa. We have previously reported that activation of Wnt/beta-Catenin enables the murine prostate to continuously grow following castration. In this DOD-supported project, we studied the involvement of Wnt/beta-Catenin signaling and its targets FOXA2 and CXCR4 in CRPCa progression as well as in the establishment of PCa bone metastases. Our objectives are 1) to determine if Wnt/beta-Catenin signaling induces FOXA2 and CXCR4 to promote CRPCa growth; 2) to determine if the expression of FOXA2 facilitates castration resistant PCa growth in the bone; and 3) to determine the suitability of pharmacological inhibition of Wnt-FOXA2-CXCR4 axis in conjunction with hormone deprivation to inhibit PCa growth and CR relapse in the bone. In this study, we identified molecular mechanisms that promote PCa bone metastasis and progression to CRPCa.

2. Keywords:

prostate cancer, Wnt/beta-Catenin, Foxa2, CXCR4, integrin, PTHrP, AR, bone, castrate resistance, metastasis

3. Accomplishments

The major goal is to study the involvement of Wnt/beta-Catenin signaling, Foxa2, and CXCR4 in promoting castrate-resistant growth and in controlling prostate cancer's ability to stay in the bone and colonize the bone. We approached this goal through the following tasks:

Task 1: To determine if Wnt/beta-Catenin signaling induces Foxa2 and CXCR4 to promote castration resistant prostate cancer growth.

Task 1a. To determine if active Wnt/beta-Catenin signaling induces Foxa2 and CXCR4 to promote androgen independent prostate cancer cell growth *in vitro*. We have completed this task, see report submitted in 2013, p4-6 and attachments 1,2, 5, 6 & 7.

Task1b. To determine if active Wnt/beta-Catenin signaling and the expression of FOXA2 promote castration resistant prostate cancer growth *in vivo*. We have completed this task, see report submitted in 2014, p4-6 and attachments 1, 2 & 3

Using cultured stromal and epithelial cells, we examined the expression of Wnts and assessed their ability to activate Wnt/beta-Catenin signaling. We found that cancer-associated fibroblasts produce canonical Wnts and activate Wnt/beta-Catenin signaling in PCa cells. Also, we over-expressed FOXA2 in PCa cells and found that Foxa2 over-expression enables castrate-resistant PCa cell growth. However, knocking down Foxa2 in PCa cells did not affect cell proliferation *in vitro*. Overexpression of FOXA2 decreased the levels of AR but activated AR signaling after androgen deprivation. Inversely, knocking down Foxa2 induced both AR and AR signaling. When the FOXA2-overexpression cells were grafted *in vivo*, different from the *in vitro* results, over-expression of FOXA2 did not confer growth advantage in PCa cells. Knocking down FOXA2 did not affect tumor growth when they were grafted subcutaneously or under kidney capsule but decreased tumor growth when they were grafted in the bone (tumor growth in the bone will be further discussed in task 2). Similarly, blocking CXCR4 signaling inhibited CRPCa growth *in vitro*, but exhibited opposite effect *in vivo*. Taken together, these data indicate that

stromal/epithelial interaction plays an important role in the activation of Wnt/beta-Catenin signaling in PCa, and that FOXA2's and CXCR4's involvement in PCa is different *in vitro* and *in vivo*. Similarly, co-supported with this grant and other funding, we found that the expression of AR also confers a differential function in PCa *in vitro* and *in vivo*. Ectopic expression of AR inhibited cell proliferation *in vitro* but promoted carcinogenesis *in vivo*. This finding is novel because it not only indicates the differential function of these genes in different environment, it also re-assured the importance of considering tumor microenvironment in cancer research. Additionally, co-supported with this grant and other funding, we found activation of Wnt/beta-Catenin signaling induced the expression of SOX2 and that SOX2 is expressed in neuroendocrine PCa (NEPCa). We are the first one reporting the involvement of SOX2 in NEPCa. This has stimulated lot of research interest on SOX2 in PCa research field. Furthermore, co-supported with this grant and other funding, we identified a novel mechanism that activates Wnt/beta-Catenin signaling. We found the expression of YAP1 is lost in NEPCa and that loss of YAP1 augments Wnt/beta-Catenin signaling. This mechanism is novel because it is an addition to the conventional Wnt-component genes that modulate this signaling pathway. Also, our study raised the concern if YAP1 inhibitors could be safely used as therapeutic adjuvants for treating advanced PCa.

Task 2. To determine if the expression of Foxa2 facilitates castration resistant prostate cancer growth in the bone.

Task 2a. To determine if FOXA2 is involved in the interaction between PCa cells and the bone microenvironment by controlling the expression of osteoclastogenesis related genes *in vitro*. We have completed this task, see report submitted in 2014, p7, report submitted in 2017, p4, report submitted in 2018, p4-10, and attachments 3, 4 & 5.

Task 2b. To determine if over-expression of FOXA2 facilitates prostate cancer growth in the bone and progression to castration resistant prostate cancer. We have completed this task, see report submitted in 2014, p7-8 and attachments 3 & 5.

Task 2c. To determine if knocking down Foxa2 in prostate cancer cells impairs their growth in the bone. We have completed this task, see report submitted in 2013, p6-7 and attachments 3, 4 & 8.

For this task, we firstly conducted *in vitro* co-culture of PCa cells with bone cells. We found that overexpression of FOXA2 in PCa cells did not change osteoclastogenesis when bone-derived monocyte (preosteoclasts) were co-cultured with PCa cells. However, FOXA2 knockdown decreased the expression of TRAP and PTHrP in pre-osteoblasts MC-3T3 cells when they were co-cultured with PCa cells. Both TRAP and PTHrP are involved in bone remodeling. FOXA2 knockdown also decreased the expression of multiple integrins, which are important factors that are involved in mediating PCa cells interaction with bone matrix proteins. But FOXA2 knockdown did not affect the expression of RANKL, which is another important factor that regulates bone remodeling. Also, we conducted TRAP staining on monocyte cells that were treated with conditioned medium collected from PCa cells with or without FOXA2 over-expression. Consistent with the lack of induction of osteoclastogenesis, the over-expression of FOXA2 did not induce monocyte to differentiate into TRAP-positive cells. However, knocking down FOXA2 not only decreased the production of TRAP, PTHrP, and integrins, but also decreased PCa-mediated PCa/bone interaction. These data indicate that FOXA2 is not sufficient but is essential for mediating the communication between PCa and bone cells.

For *in vivo* functional study, we inoculated Foxa2 over-expressing PCa cells into mouse tibias and analyzed the bone lesions mediated by these cells. We found, surprisingly, that the

overexpression of FOXA2 reduced bone lesions. Additionally, we assessed how androgen deprivation would affect PCa-mediated bone lesions. We found that the expression of FOXA2 decreased PCa-mediated bone lesions in both intact and castrated mice. And surprisingly, when AR-positive PCa 22Rv1 cells were inoculated into the bones, castration even increased lytic bone lesions, although the osteoblastic bone lesions decreased after castration. The increased lytic bone lesions could result from the weakened bone condition after androgen deprivation. However, knocking down FOXA2 in PCa cells decreased bone destruction when these cancer cells were injected into bones.

Taken together, these data indicate that the expression of FOXA2 is not sufficient to induce PCa-mediated bone lesions, but this gene is required for PCa cells to interact with bone microenvironment and promote PCa bone colonization.

Task 3. To determine the suitability of pharmacological inhibition of Wnt-Foxa2-CXCR4 axis in conjunction with hormone deprivation to inhibit prostate cancer growth and castration resistant relapse in the bone and to prepare manuscript for publication. We have completed this task, see report submitted in 2013, p7-8, report submitted in 2017, p4, and new data presented below in page 8 of this report.

For this task, AR-positive PCa 22Rv1 cells were inoculated into the tibiae of SCID mice. The host mice were treated with CXCR4 inhibitor (AMD3100) or Wnt/beta-Catenin inhibitor (ICG-001). The tumor growth and bone lesions were monitor by x-ray imaging. We found 22Rv1 cells generated mixed osteoclastic/osteoblastic bone lesions. Growing of these PCa cells caused lytic destruction to the cortical bone but stimulated new bone formation outside of cortical bones. The lytic bone lesions and the new bone formation were analyzed by using microCT scanning. Our analysis indicated that castration increased lytic bone lesions. CXCR4 inhibitor and Wnt inhibitor did not decreased lytic bone destruction in intact mice (CXCR4 inhibitor even increased lytic lesions). These inhibitors decrease lytic lesions in castrated mice, but not sufficient to overcome the effect resulted from castration. Overall, these inhibitors did not affect the overall bone destruction compared to intact non-treated mice. A possible explanation for the increased lytic bone lesions in CXCR4 inhibitor treated mice is that AMD3100 liberated PCa cells in the bone, resulting in more metastatic sites/lesions. This raised the concern whether CXCR4 inhibitors could be safely used as therapeutic adjuvants for treating PCa bone metastasis.

Major Accomplishments:

- Accomplishments in research that are proposed in the DOD grant:

3.1 Wnt/beta-Catenin signaling is activated in advanced PCa

This research is **partially supported by DOD grant**. A manuscript is in preparation and attached (**attachment 1**). Briefly, using mouse models (TRAMP/Wnt-reporter mice), we determined if Wnt/ β -Catenin signaling is active in neuroendocrine PCa (NEPCa, the most aggressive form of PCa). We found Wnt/ β -Catenin pathway is active in a subset of NEPCa. Further, we explored the mechanisms that activate Wnt/beta-Catenin signaling. We found that castration induced the expression of canonical Wnt as well as endogenous Wnt inhibitors in wild type prostates. However, Wnt inhibitors were not induced in PCa after castration. The lack of induction of Wnt inhibitors permits the activation of Wnt/beta-Catenin signaling in PCa cells. Furthermore, we found stromal/epithelial interaction is involved in the activation of Wnt/beta-Catenin signaling, promoting NE differentiation of PCa cells. Data collected from this research has led to a NIH R01grant funding. We are currently expanding this research.

3.2 Loss of YAP1 activates Wnt/beta-Catenin signaling in PCa

This research is **partially supported by DOD grant**. A manuscript is in preparation and attached (**attachment 2**). Briefly, in this study, we found the expression of YAP1 is lost in both human and mouse NEPCa. Also, our data indicate that decreased expression of YAP1 enhances Wnt/beta-Catenin signaling in PCa cells. This manuscript will be submitted for publication soon.

3.3 FOXA2 is important for the establishment of PCa bone metastasis

This research is **mostly supported by DOD grant**. A manuscript is under review for the journal of Cancer Letters (**attachment 3**). Briefly, in this study, we found that FOXA2 is expressed in a subset of PCa bone metastasis specimens. To determine the functional role of FOXA2 in PCa metastasis, we knocked down the expression of FOXA2 in aggressive PCa PC3 cells. The PC3/FOXA2-knockdown cells generated fewer bone lesions following intra-tibial injection compared to control cells. Further, we found that FOXA2 knockdown decreased the expression of PTHLH, which encodes PTHrP, a well-established factor that regulates bone remodeling. These results indicate that FOXA2 is involved in PCa growth in bone.

3.4 FOXA2 regulates the expression of integrin $\alpha 1$, promoting PCa bone colonization

This research is **mostly supported by DOD grant**. It is a continuation of the research presented in attachment 3. A manuscript is in preparation and attached (**attachment 4**). It will be submitted for publication once the manuscript in attachment 3 is published. We found FOXA2 regulates the expression of integrin $\alpha 1$, a collagen I-binding integrin, in PCa cells. FOXA2-knockdown (KD) cells exhibited reduced adhesion, spreading, and integrin signaling on a cell culture surface coated with collagen I, the major component of bone extracellular matrix. Blocking integrin $\alpha 1$ signaling with a neutralizing antibody reproduced the decreased adhesion phenotype of PC3/FOXA2-KD cells. Inversely, overexpression of integrin $\alpha 1$ rescued adherence properties. Taken together, these results show that FOXA2 upregulates expression of integrin $\alpha 1$ in PCa cells, promoting adherence to collagen I, the major components of the extracellular matrix in bone tissues. These results support the existence of FOXA2/ITGA1 axis in regulating PCa bone colonization.

3.5 FOXA2 sustains AR signaling after androgen deprivation, providing a mechanism for castrate-resistant growth of PCa cells

This research is **mostly supported by DOD grant**. A paper is published on Am J Clin Exp Urol (**attachment 5**). Briefly, in this study, we identified a mechanism that retains AR signaling after androgen deprivation using TRAMP SV40 T antigen transgenic mice, which is a mouse model for PCa. We found that Foxa2 is co-expressed in T-antigen positive cells. Ectopic expression of Foxa2 drives the T-antigen expression regardless of the presence of androgens. Using this model system, we further explored the mechanism that activates AR-responsive promoters in the absence of androgens. Chromatin immunoprecipitation revealed the occupancy of both H3K27Ac, an epigenetic mark of an active transcription, and Foxa2 at the known AR target promoters, Probasin and FKBP5, in the absence of androgen stimulation. In conclusion, we have identified a mechanism that enables PCa to retain the AR signaling pathway after androgen ablation.

- Accomplishments in research that are not proposed in but related to the DOD grant

3.6 SOX2 is expressed in NEPCa

This research is **partially supported by DOD grant** (see report submitted in 2013, p8). A paper is published on Prostate Cancer and Prostatic Disease (**attachment 6**). Briefly, in this study, we found SOX2, a Wnt/beta-Catenin target gene, is expressed in developing prostate as well as NEPCa. This is the first report on the involvement of SOX2 in NEPCa. The results in this paper are well accepted in the field and have stimulated lot of research interest on the functional role of SOX2 in NEPCa progression.

3.7 AR signaling differentially regulates prostate cancer growth

This research is **partially supported by DOD grant**. A paper is published on Oncotarget (**attachment 7**). Briefly, in this study, we found ectopic expression of AR in normal prostate epithelial cells inhibits cell proliferation *in vitro* but promotes carcinogenesis and cancer growth *in vivo*. We also identified an involvement of IL6/STAT3 in AR-mediated carcinogenesis.

-New data since last report

We finished analyzing data from previous animal experiment (the data analysis is presented in **attachment 8**). We found that when PCa 22Rv1 cells were injected into tibiae of SCID mice, they generated mixed osteoclastic and osteoblastic bone lesions. Surprisingly, castration increased lytic bone lesions. Treatment with CXCR4 inhibitor (AMD3100) and Wnt inhibitor (ICG-001) did not decrease lytic bone destruction in intact mice (CXCR4 inhibitor even increased lytic lesions). These inhibitors decreased lytic lesion in castrated mice, but the reduction was not big enough to overcome the castration-induced lytic reaction. Overall, combination of castration and inhibitor treatment did not decrease bone destruction compared to intact non-treated mice. For details, please see attachment 8.

Training opportunity:

This project provides the training and professional development opportunities for graduate student, Zachary Connelly, who is the major person who works on this project. Under PI's guidance, Zac did the Foxa2 knockdown experiments and characterized how knockdown of Foxa2 affects the expression of integrins and PCa cells's ability to interact with bone cells, to adhere and spread on collagen I, the major components of bone matrix, and to form the focal adhesion complex. Zac also characterized the NeoTag1 cells that overexpress Foxa2. Zac has presented his work in multiple international and national conferences including a podium presentation at the American Society for Biochemistry and Molecular Biology annual meeting, San Diego, CA. Zac has passed his PhD. dissertation defense in July 1, 2019.

Results disseminated to communities of interest:

PI (Dr. Yu) and PhD student (Zachary Connelly) participated in Family Health Day at LSUHSC-Shreveport to help people from local community understand the basics about prostate cancer.

Plan to do during the next reporting period:

Nothing to report for next period.

4. IMPACT:

-impact on the development of the principal discipline of the project

For prostate cancer research field: PCa preferentially metastasizes to the bone. However, our understanding on the mechanisms that drive PCa bone colonization is still limited. In our study,

we found Wnt/beta-Catenin signaling is activated in NEPCa. Active Wnt/beta-Catenin signaling induces the expression of FOXA2. FOXA2 promotes castrate-resistant PCa growth. Foxa2 also promotes PCa's ability to colonize bone through regulation of PTHrP as well as bone-matrix interacting proteins, integrin α 1. This study highlighted the importance of targeting Wnt/beta-Catenin signaling. It also revealed important mechanisms that promote PCa cells growth in the bone. Furthermore, in his study, we identified Wnt/beta-Catenin as well as two additional drugable targets, PTHrP and integrins, for the treatment of FOXA2-positive PCa bone metastases. However, our study on the CXCR4 inhibitor revealed an unexpected result that blocking CXCR4 signaling can have the unwanted opposite effect on PCa bone metastasis. This suggests that we shall be cautious in using CXCR4 inhibitors in clinic for the treatment of PCa bone metastasis.

-impact on other disciplines

Our findings on the involvement of Wnt/beta-Catenin signaling, FOXA2, CXCR4, PTHrP, and integrins in prostate cancer bone metastasis will also have an impact on the bone research as well as integrin research .

- impact on technology transfer

Nothing to Report

- impact on society beyond science and technology

The findings from this study provide important information about the involvement of Wnt/beta-Catenin signaling, FOXA2, CXCR4, integrins, and PTHrP in prostate cancer progression. These discoveries have the potential to be translated into targeted therapy and influence clinical practice.

5, CHANGES/PROBLEMS:

Changes in approach and reasons for change: Nothing to Report

Actual or anticipated problems or delays and actions or plans to resolve them: this research was delayed because PI moved from Vanderbilt University at Nashville, TN to LSU Health Sciences center at Shreveport, LA. It was granted no-cost-extension.

Changes that had a significant impact on expenditures: Nothing to Report

Significant changes in use or care of human subjects, vertebrate animals, biohazards, and/or select agents: Nothing to Report

Significant changes in use or care of human subjects: Nothing to Report

Significant changes in use or care of vertebrate animals: Nothing to Report

Significant changes in use of biohazards and/or select agents: Nothing to Report

-Results different from what expected:

In task 3, we determined the suitability of pharmacological inhibition of Wnt-FOXA2-CXCR4 axis in conjunction with hormone deprivation to inhibit prostate cancer growth and castration resistant relapse in the bone. In our research, we injected Pca cells into the tibias of SCID mice and treated the host mice with Wnt inhibitor (ICG-001) and CXCR4 inhibitor (AMD3100, also called Plerixafor). The tumor growth in the tibias was monitored by X-ray imaging and the bone lesions were evaluated by micro CT scanning. We found that the results are a little different from what we expected. Firstly, castration of host mice did not decrease bone lesions. On the contrary, castration even increased lytic bone lesions, although reduced the osteoblastic bone lesions. Secondly, treatment with plerixafor (AMD3100) did not decrease PCa-mediated bone lesions either. A possible explanation is that androgen deprivation weakened the bone condition. Also, plerixafor may have liberated prostate cancer cells in the bone, resulting in more metastatic

sites/lesions. This raised the alarm if CXCR4 inhibitors could be safely used as therapeutic adjuvants for treating PCa bone metastasis.

6, PRODUCTS

Journal publications

1. **Yu X***, Cates JM, Morrissey C, You C, Grabowska MM, Zhang J, DeGraff DJ, Strand DW, Franco OE, Lin-Tsai O, Hayward SW, Matusik RJ*. SOX2 expression in the developing and adult prostate, as well as in benign and malignant pathological states, *corresponding authors, *Prostate Cancer and Prostatic Dis*, 2014 Dec;17(4):301-9. PMID: 25091041
2. Yang S, Jiang M, Grabowska MM, Li J, Connelly Z, Zhang J, Hayward SW, Cates JM, Han G, **Yu X*** Androgen receptor differentially regulates the proliferation of prostatic epithelial cells *in vitro* and *in vivo* *corresponding author, *Oncotarget*. 2016 Oct 25;7(43):70404-70419 PMID: 27611945
3. Connelly Z, Yang S, Chen F, Yeh Y, Khater N, Jin R, Matusik R, **Yu X*** Foxa2 Activates the Transcription of Androgen Receptor Target Genes in Castrate Resistant Prostatic Tumors *corresponding author. *American Journal of Clinical and Experimental Urology*, 2018 Oct 20;6(5):172-181

Books or other non-periodical, one-time publications: Nothing to report

Other publications, conference papers and presentations

-Podium presentation:

1. Yu, X. Activation of Wnt/beta-Catenin promotes castrate-resistant prostate cancer progression, Prostate Cancer 2015 conference, Orlando, FL, June 2015
2. Yu, X. Wnt/beta-Catenin signaling in NEPCa, Augusta University, Augusta, GA, January 2016
3. Yu, X. Wnt/beta-Catenin signaling in NEPCa, Texas A&M, College Station, TX, February 2016
4. Yu, X. Wnt/beta-Catenin signaling in PCa progression, LSU-S, Shreveport, LA, November 2016
5. Yu, X. Wnt/beta-Catenin signaling in PCa progression, LA Tech, Ruston, February 2017
6. Connelly, Z. FOXA2 Promotes Prostate Cancer Bone Colonization. Graduate Research Day. LSUHSC-Shreveport, LA. 26, April 2019
7. Connelly, Z. FOXA2 Promotes Prostate Cancer Bone Colonization. Grand Rounds Department of Urology. LSUHSC-Shreveport, LA 5, February 2019.
8. Connelly, Z. FOXA2 Promotes Prostate Cancer Bone Colonization. Feist-Weiller Cancer Center Seminar Series. LSUHSC-Shreveport. Shreveport, LA. 13, June 2018.
9. Connelly, Z. FOXA2 Promotes Prostate Cancer Bone Colonization. American Society for Biochemistry and Molecular Biology. Physiological Regulation by Cell Signaling. San Diego, Ca. 22, April 2018
10. Connelly, Z. FOXA2 Promotes Prostate Cancer Bone Colonization. Eastern Atlantic Research Forum. The University of Miami Miller School of Medicine. Miami, FL. 22, February 2018.
11. Connelly, Z. FOXA2 Promotes Prostate Cancer Bone Colonization. Grand Rounds Department of Urology. LSUHSC-Shreveport, LA. 29, January 2018.
12. Connelly, Z. Wnt/ β -Catenin downstream target, FOXA2, plays a major role in CRPCa metastasis. Feist-Weiller Cancer Center Seminar Series. LSUHSC-Shreveport, LA. 6, June 2017.

13. Connelly, Z. FOXA2 Activates the AR Responsive Promoters in the Ablation of Androgen. ArkLaTex Interdisciplinary Cell Conference. LSU Shreveport, LA. 5, November 2016.

-Poster Presentation:

1. Tian, X., Yang, S., and Yu X. AR signaling differentially regulates the growth of prostate epithelial cells. SBUR Symposium. Fort Lauderdale, FL, November 2015.
2. Connelly ZM, Yang S, Yu X. Foxa2 Activates the AR Responsive Promoters after Androgen Deprivation. Industry Day. Shreveport, LA, October 2016.
3. Connelly ZM, Yang S, Li J, Matusik R, Yu X. Foxa2 activates the AR responsive promoters in the absence of androgen. SBUR Symposium. Scottsdale, AZ. November 2016.
4. Connelly ZM, Yang S, Li J, Yu X. Wnt/beta-catenin signaling in neuroendocrine prostate cancer. SBUR Symposium. Scottsdale, AZ, November 2016.
5. Yang, S., Jiang, M., Graboska, M., Connelly, Z., Cates, J., Han, G., and Yu Xiuping. AR signaling differentially regulates prostate epithelial in vitro and in vivo. AACR Annual Meeting. New Orleans, LA, April 2016.
6. Connelly ZM, Yang S, Orr W, Jin R, DeGraff D, Zhang X, Morrissey C, Corey E, Matusik R, Yu X. FOXA2 Promotes Prostate Cancer Progression. SBUR Symposia. Tampa, FL, November 2017.
7. Connelly ZM, Yang S, Li J, Matusik R, Yu Xiuping. Wnt/beta-catenin and Foxa2 axis activates AR signaling in castration resistant prostate cancer. AACR annual meeting. Washington, DC, April 2017.
8. Connelly ZM, Yang S, Blankenship A, Yu X. Role of Polycomb proteins in neuroendocrine prostate cancer. AACR annual meeting. Chicago, IL, April 2018.
9. Shi M, Connelly ZM, Yang S, Zhang X, Morrissey C, Corey E, Shi R, Yu X. FOXA2 protein expression is associated with neuroendocrine phenotype and androgen receptor status in the metastatic castration-resistant prostate cancer. The United States and Canadian Academy of Pathology 2018 Annual Meeting. Vancouver, Canada, March 2018.
10. Connelly ZM, Yang S, Yu X. FOXA2 Promotes Prostate Cancer Bone Colonization. SBUR annual meeting, Rancho Mirage, CA, November 2018.
11. Siyuan Cheng, Shu Yang, Zachary M. Connelly, Fenghua Chen, Xiuping Yu AR signaling promotes prostate carcinogenesis. AACR annual meeting, Atlanta, GA, April 2019
12. Zachary M. Connelly, Renjie Jin, Shu Yang, Siyuan Cheng, Jianghong Zhang, Nazih Khater, A. Wayne Orr, Robert J. Matusik, Xiuping Yu FOXA2 Promotes Prostate Cancer Bone Colonization. AACR annual meeting, Atlanta, GA, April 2019

Website(s) or other Internet site(s): Nothing to report

Technologies or techniques: Nothing to report

Inventions, patent applications, and/or licenses: Nothing to report

Other Products: Nothing to report

7, PARTICIPANTS

LSU Health Sciences Center at Shreveport, LA:

Xiuping Yu: PI.

eRACommons Identifier: Yux123

Zachary Connelly: graduate student.

eRACommons Identifier: ZConnelly

Shu Yang: research associate.

eRACommons Identifier: N/A

Siyuan Cheng: graduate student.

eRACommons Identifier: SIYUANCHENG

Vanderbilt University at Nashville, TN (when PI was working at Vanderbilt):

Jianghong Zhang: research associate

eRACommons Identifier: N/A

Collaborators:

Wayne Orr: professor, unpaid consultant, LSU Health Sciences Center at Shreveport, LA
Supported by NIH grant HL098435, HL133497, and HL141155

Robert Matusik: professor, unpaid consultant, Vanderbilt University at Nashville, TN
Supported by Vanderbilt University, Department of Urology

Renjie Jin: research faculty, unpaid collaborator, Vanderbilt University at Nashville, TN
Supported by Vanderbilt University, Department of Urology

Eva Corey: professor, unpaid consultant, University of Washington at Seattle, WA
Supported by Prostate Cancer Biorepository Network grant W81XWH-14-2-0183

Has there been a change in the active other support of the PD/PI(s) or senior/key personnel since the last reporting period: yes, PI obtained two NIH grants and is co-investigator of another DOD grant. Please see attachment 9

8, Special Reporting Requirements N/A

9, Appendices

attachment 1: manuscript, Wnt/ β -Catenin signaling is active in neuroendocrine prostate cancer

attachment 2: manuscript, The expression of YAP1 is lost in neuroendocrine prostate cancer

attachment 3: manuscript, FOXA2 Promotes Prostate Cancer Bone Metastasis (under review)

attachment 4: manuscript, FOXA2 Regulates Integrin α 1 Expression Facilitating Prostate Cancer Bone Colonization

attachment 5: publication, Foxa2 activates the transcription of androgen receptor target genes in castrate resistant prostatic tumors

attachment 6: publication, SOX2 expression in the developing, adult, as well as diseased prostate

attachment 7: publication, Androgen receptor differentially regulates the proliferation of prostatic epithelial cells in vitro and in vivo

attachment 8: X-ray and microCT analysis of PCa-mediated bone lesions

attachment 9: updated research support

attachment 10: PI's updated CV

Wnt/ β -Catenin signaling is active in neuroendocrine prostate cancer

Running title: Wnt/ β -Catenin signaling in neuroendocrine prostate cancer

Key words: Wnt/ β -Catenin, neuroendocrine, prostate cancer

Abstract

Neuroendocrine (NE) differentiation commonly occurs in late stage prostate cancer (PCa)¹⁻¹⁹. Acquiring an NE phenotype is one proposed mechanism of resistance to contemporary AR-targeted treatments, and thought to represent up to 25% of lethal PCa. Currently, there is no effective treatment for PCa with prominent NE phenotype that presents as “therapy-related” NEPCa⁵⁻⁹. Understanding how the NE phenotype arises is important for identifying therapeutic targets for the treatment of advanced PCa. We have previously shown that activation of Wnt/ β -Catenin signaling promotes the development of castrate-resistant PCa with increased NE phenotype. Our study has also shown that activation of Wnt/ β -Catenin signaling induces the expression of FOXA2, a member of the family of forkhead transcription factors that is expressed in both human and mouse NEPCa. The expression of FOXA2, a Wnt/ β -Catenin signaling downstream target gene, in NEPCa suggests that Wnt/ β -Catenin pathway is active in NEPCa. To experimentally determine if Wnt/ β -Catenin signaling is active in NEPCa, we bred TRAMP mice (NEPCa mouse model²⁰⁻²²) with Wnt-reporter mice to directly monitor the activity of Wnt/ β -Catenin signaling during the development of NEPCa. We found that the Wnt/ β -Catenin pathway is, indeed, active in NEPCa tumors. Further, we explored the possible mechanisms that activate Wnt/ β -Catenin signaling in NEPCa. We examined the expression of canonical Wnts and Wnt inhibitory genes in TRAMP tumors. We found the expression of Wnt7a was elevated, but the expression of Dkk1, an endogenous Wnt inhibitor, was decreased in TRAMP NEPCa tumors.

Elevated expression of WNT7a, coupled with decreased Wnt inhibitor Dkk1, could activate Wnt/ β -Catenin signaling in NEPCa. In conclusion, Wnt/ β -Catenin signaling is activated in NEPCa.

Introduction

Androgen deprivation therapy is the gold standard treatment for advanced prostate cancer (PCa). Patients initially respond well, resulting in tumor regression, but ultimately progress to castrate-resistant PCa. New anti-androgens have shown significant improvement; however, these new therapies eventually fail^{23, 24}. Moreover, after PCa fails androgen deprivation therapy, an aggressive neuroendocrine (NE) phenotype ensues with high morbidity and an average survival of less than 1.5 years¹⁻¹⁹. There is currently no effective treatment for PCa with a prominent NE phenotype²⁵. Identifying the mechanism(s) through which the NE phenotype arises subsequent to androgen deprivation therapy is critical for the development of novel therapeutics against PCa.

Although it was observed long ago that castration accelerates the emergence of a NE phenotype in PCa, the underlying mechanisms by which androgen deprivation promotes NE differentiation remain unclear. We have shown that activation of Wnt/ β -Catenin signaling promotes the development of castrate-resistant PCa with an increased NE phenotype²⁶. Our studies also demonstrated that activation of Wnt/ β -Catenin signaling induced the expression of Foxa2²⁶, a transcription factor expressed in both human and mouse NEPCa^{27, 28}, suggesting that Wnt/ β -Catenin signaling is active in NEPCa.

Wnts are a family of secreted glycoproteins that act in a short-range paracrine/autocrine manner²⁹. Activation of the Wnt pathway is known to involve both the canonical Wnt/ β -Catenin and the non-canonical pathways²⁹. Canonical Wnts stabilize β -Catenin and result in the

cytoplasmic/nuclear accumulation of β -Catenin²⁹. Human histology studies have shown that active Wnt/ β -Catenin signaling is strongly associated with advanced stage PCa and recurrence³⁰⁻³⁷. In addition, studies have shown that Wnt antagonists (WIF1, DKKs, or sFRPs) are often down regulated in PCa³⁸⁻⁴¹. Activating mutations in exon 3 of the β -Catenin gene occur in only 5% of primary PCa⁴²; however, new data on samples from patients who fail androgen deprivation therapy demonstrate that mutations in the Wnt/ β -Catenin pathway occur in 18% castrate-resistant PCa, whereas mutations in this pathway are virtually undetectable in hormone naïve PCa^{30, 31}. In accordance with the enrichment of Wnt/ β -Catenin mutations in castrate-resistant PCa, nuclear β -Catenin, an indicator of active Wnt/ β -Catenin signaling, is associated with metastatic castrate-resistant PCa³²⁻³⁵, suggesting that active Wnt/ β -Catenin signaling would endow PCa cells with a selective advantage during tumor progression.

A hallmark of active Wnt/ β -Catenin signaling is the nuclear staining of β -Catenin²⁹. However, because β -Catenin is strongly expressed on cell membranes and nuclear β -Catenin is relatively low, it is often difficult to detect nuclear β -Catenin by IHC staining on tissue sections. Additionally, the Wnt/ β -Catenin pathway is complex. There are 19 Wnts and many more factors that modulate Wnt/ β -Catenin signaling in a positive and/or negative manner²⁹. Thus, it would be impossible to conclusively determine whether this pathway is active in NEPCa tumors by assessing only the expression levels of the component genes. In this study, using TRAMP/Wnt-reporter mouse models, we examined if the Wnt/ β -Catenin pathway is active in NEPCa.

TRAMP is a SV40 T-antigen transgenic mouse model for NEPCa research⁴³. T-antigen inactivates p53 and RB, two proteins whose loss of function is not only associated with but also functionally involved in human NEPCa⁴⁴⁻⁴⁷. The Wnt-reporter mouse line we selected for this research is a transgenic mouse line in which GFP is fused to histone H2B and placed under the

control of a Wnt/ β -Catenin responsive promoter⁴⁸. Active Wnt/ β -Catenin signaling turns on the expression of GFP-histone, which is readily detectable in nuclei. The establishment of TRAMP/Wnt-reporter mice enabled us to directly monitor the Wnt/ β -Catenin activity in NEPCa tumors. As for PCa progression in human, castration accelerates the development of NEPCa tumors in TRAMP mice²⁰⁻²². Therefore, we explored how androgen deprivation regulates the expression of Wnt-related genes, resulting in the activation of this pathway in NEPCa.

Results:

1. Wnt/ β -Catenin signaling is active in NEPCa (we are still collecting data from more mice).

To collect direct evidence that the Wnt/ β -Catenin pathway is active in NEPCa, we bred TRAMP mice (mouse model of NEPCa²⁰⁻²²) with Wnt-reporter mice, in which GFP expression is under the control of a Wnt/ β -Catenin-responsive promoter⁴⁸. Because of the higher prevalence of NEPCa, TRAMP mice with mixed FVB/BL6 genetic background were used for this research and bred with Wnt-reporter mice. We found that a subset of TRAMP/Wnt-reporter mice developed NEPCa, which is defined by both histology and IHC staining of marker genes, Foxa2 and chromogranin A. Consistent with previous report, Foxa2 was detected in all the chromogranin A-expressing NEPCa tumors. Also, we found a subset of TRAMP/Wnt-reporter NEPCa tumors displayed positive staining of GFP (Fig. 1) while the others have little to none GFP expression, even though they are positive for Foxa2, a downstream target of Wnt/ β -Catenin signaling. One of the TRAMP/Wnt-reporter mice developed cancer metastasis in lymph nodes, liver, and lung. GFP and Foxa2 as well as NEPCa marker chromogranin A are highly expressed in the metastatic tumors (Fig.1).

2. The expression of Wnt ligands and Wnt inhibitory genes is altered in NEPCa, contributing to the activation of the signaling pathway.

To explore the mechanism(s) that activate the Wnt/ β -Catenin signaling in NEPCa, using quantitative (q)RT-PCR, we screened for the differential expression of Wnt-related genes in normal prostates, prostate intraepithelial neoplasia (PIN), and NEPCa. Prostatic tissues collected from TRAMP mice were all histologically confirmed. Also, we assessed the expression of Chromogranin A and Synaptophysin in the specimens and used these data to confirm the NED phenotype in the samples. We found that the expression of Wnt7a, a canonical Wnt ligand that can activate the Wnt/ β -Catenin signaling,⁴⁹ was elevated in NEPCa tumors (Fig. 2). Additionally, we found the expression of Dkk1 was decreased in NEPCa, which is in line with the activation of the Wnt/ β -Catenin signaling in NEPCa, while the expression of other secretory Wnt inhibitory genes (other Dkks and sFRPs) did not show consistent changes.

Previous studies suggest that androgen deprivation accelerates the emergence of NEPCa. To determine if and how castration alters the expression of Wnt-related genes contributing to the activation of the pathway, we analyzed the association between the expression of Wnt-related genes and NE phenotype in tumors derived from both intact and castrated TRAMP. We found castration induced Wnt7a level in both normal prostate and TRAMP tumors (Fig. 2). Castration induced Dkk1 expression in wild-type prostates but Dkk1 levels were low in TRAMP tumors and even lower in the TRAMP tumors that were derived from castrated mice (Fig. 2). These data suggest that castration induces Dkk1 expression in normal prostate, and this may function to suppress the Wnt/ β -Catenin signaling; however, this Wnt-inhibitory mechanism is lost in PCa.

3. Stromal/epithelial interaction is involved in regulating NE differentiation of PCa cells

Stromal cells play an important role in modulating PCa behaviors. Therefore, we examined whether stromal/epithelial interactions regulate the expression of Wnt-related genes in PCa. The expression of WNT7A and DKKs were analyzed by qRT-PCR. As shown in Fig. 3, WNT7A was preferentially expressed in LNCaP but barely detectable in normal prostate fibroblasts (NPFs). Normal prostate fibroblasts (NPFs) express DKK1 at high levels (Fig. 3). However, DKK1 expression is significantly lower when the NPFs are co-cultured with PCa LNCaP, regardless of androgen presence (Fig. 3). Concomitant with the elevated expression of WNT7A and the decreased expression of DKK1, NEPCa marker CD56 was robustly induced by androgen deprivation in the LNCaP/NPFs co-cultures (Fig. 3); whereas CD56 was only marginally induced by androgen deprivation in LNCaP cells that were cultured alone. Taken together, these data suggest that the altered expression of WNT7A and DKK1 provides a mechanism to activate the Wnt/ β -Catenin signaling in PCa cells, resulting in NE differentiation and castrate-resistant progression.

4. The expression of DKK1 decreased in advanced PCa.

To determine whether DKK1 expression is lost in late-stage PCa⁵⁰, we analyzed microarray data extracted from GDS1439⁵¹. As shown in Fig. 4, DKK1 expression was decreased/lost in all the castrate-resistant human PCa samples examined. Also, 5 out of the 6 DKK1-decreased PCa display positive expression of FOXA2, a NEPCa marker. Given that FOXA2 is a Wnt/ β -Catenin target gene, these data suggest that loss of DKK1 enables Wnt/ β -Catenin signaling and the NE phenotype.

Furthermore, promoter methylation is a key mechanism to silence the DKK1 expression in several types of cancer^{52, 53}. We conducted methylation-specific PCR analysis of DKK1

promoter and found that this promoter was methylated in cancer-associated fibroblasts but not in normal fibroblasts. Co-culture with PCa LNCaP cells increased DKK1 promoter methylation in stromal cells (**Fig. 4**).

Discussion

NE cells represent only a minor population in the prostate epithelia and primary NEPCa is rare. However, NE differentiation of prostatic adenocarcinoma is commonly reported and associated with the advanced stage of PCa¹⁻¹³. The NE components in prostatic adenocarcinoma express NE markers, such as Synaptophysin and Chromogranin A, often accompanied with little or no AR expression¹¹⁻¹³. Studies have found that tumors with prominent NE components are typically hormone refractory and highly aggressive¹⁻¹³. After long-term hormone therapy, an increase in NE differentiation is often observed in PCa¹⁻¹³. NE differentiation is not only associated with castrate-resistant PCa, accumulating evidence suggests that androgen withdrawal even induces NE differentiation in human PCa³⁻⁶. A similar phenomenon was also observed in cell culture and animal models. For example, studies showed that androgen depletion or knocking down AR induced NE differentiation in androgen-dependent LNCaP cells⁵⁴⁻⁵⁶, and castration accelerated the emergence of NE tumors in TRAMP mice²⁰⁻²². Additionally, androgen withdrawal by castration of host mice induced NE differentiation in human PCa xenografts⁵⁷⁻⁵⁹. Taken together, these findings suggest that androgen deprivation selects for, or even accelerates, the process of NE differentiation. Our study identified a signaling pathway, Wnt/beta-Catenin signaling, that is involved in NE differentiation. We also found castration induced the expression of Wnt7a but failed to induce the Wnt inhibitor, DKK1, providing a mechanism for the activation of Wnt/beta-Catenin signaling and cancer progression.

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Figure legends

Fig. 1 Wnt/ β -Catenin pathway is active in NEPCa. Upper panels: IHC staining for GFP and Chromogranin A on serial sections derived from TRAMP/Wnt-reporter prostate. GFP and Chromogranin A (Chr A) were highly expressed in NEPCa but not in the PIN areas. Lower panels: IHC staining of T-antigen and GFP on serial sections derived from PCa lung metastasis of a TRAMP/Wnt-reporter mouse.

Fig. 2 Castration induces the expression of Wnt7a, whereas Dkk1 expression is lower in NEPCa. qRT-PCR was used to assess the expression of Wnt7a and Dkk1 in prostates derived from intact or castrated (CX) wild type (WT) and TRAMP mice. It is noteworthy that the castrated TRAMP tumors are NEPCa and the intact TRAMP tumors have PIN histology.

Fig. 3 stromal/epithelial interaction is involved in the activation of Wnt signaling. qRT-PCR was conducted to assess the expression of WNT7A and CD56 (NEPCa marker) in PCa. LNCaP cells were cultured alone or co-cultured with normal prostate fibroblasts (NPFs) in the presence or absence of androgens. Androgen-deprivation significantly induced the expression of WNT7A and CD56 in LNCaP cells when they were co-cultured with NPFs. DKK1 was highly expressed in NPFs, but co-culture with PCa (LNCaP) cells silenced the expression of DKK1. (*, $p < 0.05$. **, $p < 0.01$, t-test)

Fig. 4 DKK1 expression is decreased in NEPCa (data extracted from microarray data GDS1439). Upper panels: DKK1 expression was decreased in all the 6 castrate-resistant PCa, 5 of which demonstrating elevated expressions of FOXA2 and 3 demonstrating increased chromogranin A (CHGA). Benign: benign prostates; localized: localized PCa; CRPC: castrate-resistant PCa. lower panels: methylation-specific PCR⁶⁰ analysis of CAFs, NPFs, or NPFs that

were co-cultured with LNCaP, with or without enzalutamide (ENZ). 'M' lanes are PCR products with methylation-specific primers; 'U' lanes are with unmethylated-specific primers.

Fig. 1

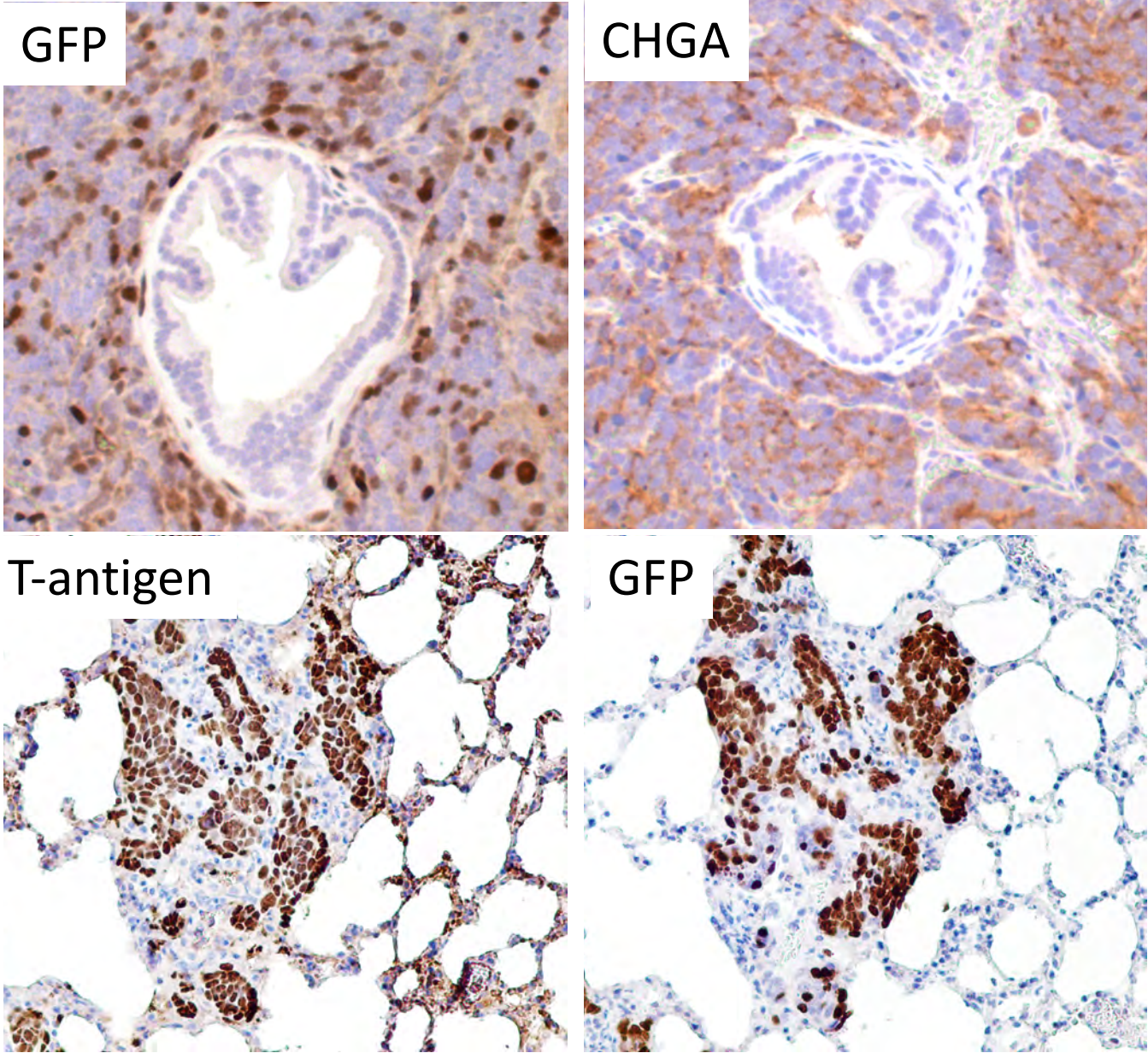


Fig. 2

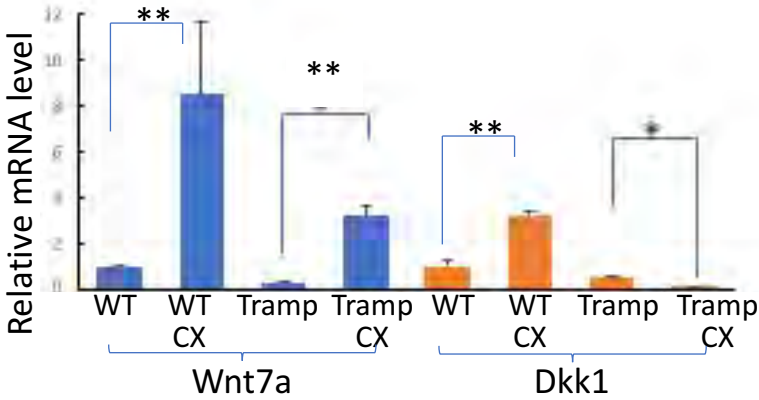


Fig. 3

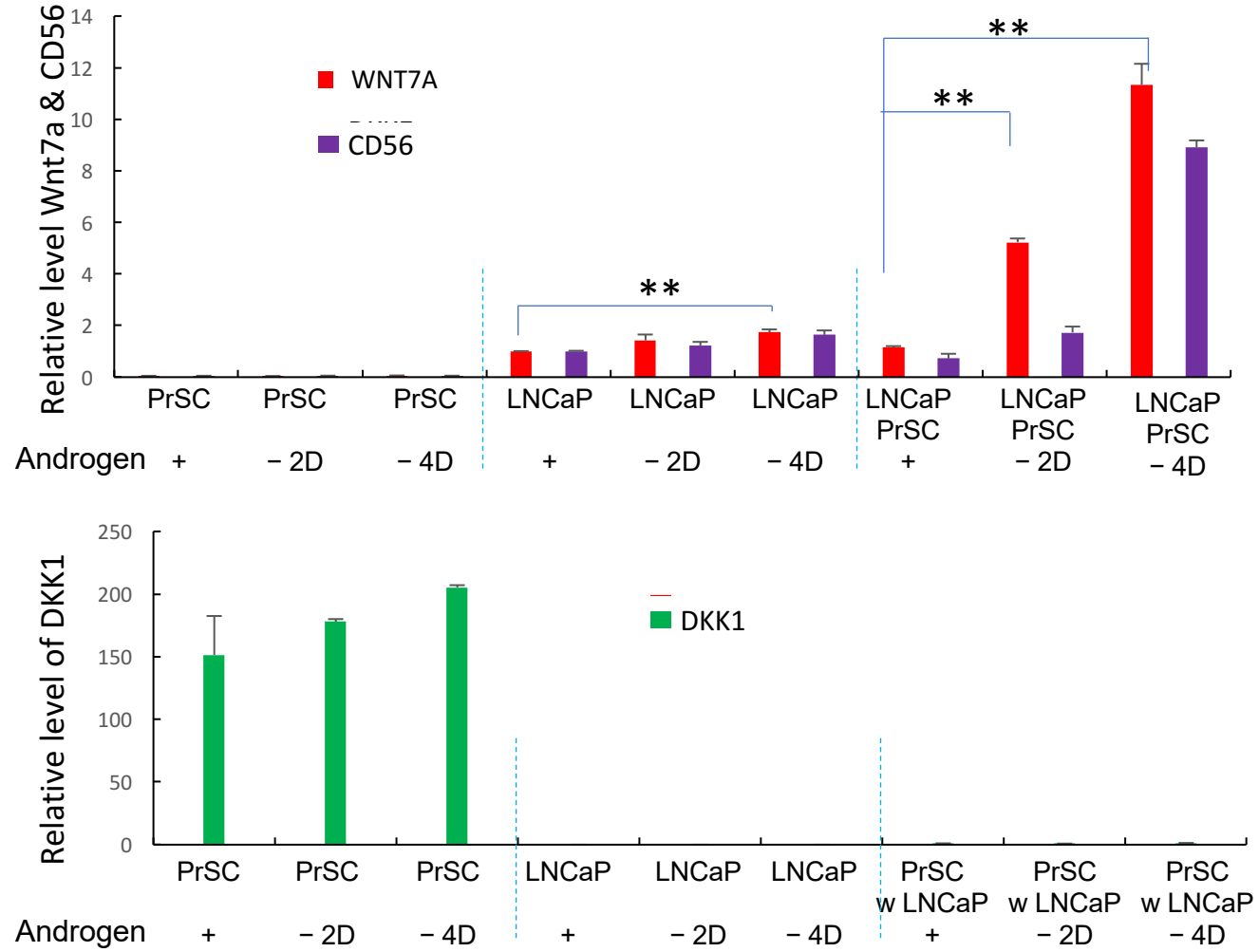
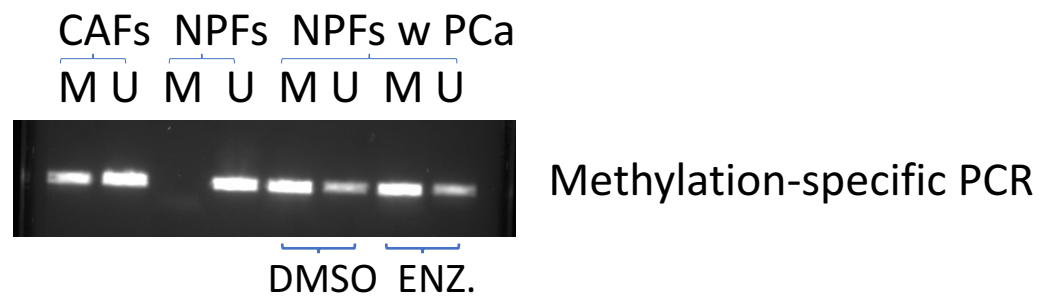
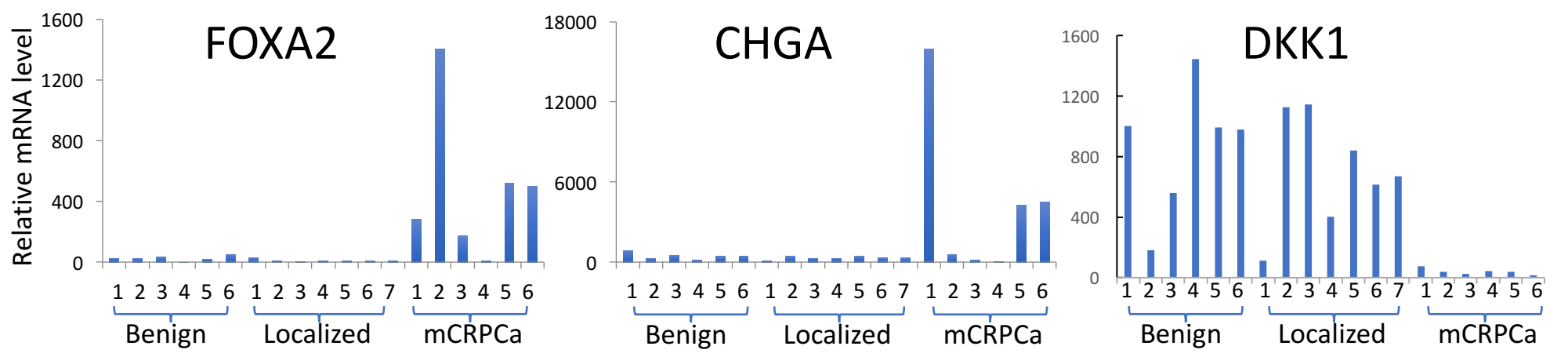


Fig. 4



The expression of YAP1 is lost in neuroendocrine prostate cancer

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ABSTRACT

Background After long term androgen deprivation therapy, prostate cancer (PCa) acquires an aggressive neuroendocrine (NE)-like phenotype. This process reduces PCa patients' survival by enhancing tumor malignancy and cell resistance to therapies. YAP1 deregulation has been related to the cancer progression. However, its role in PCa NE differentiation has not been assessed yet.

Methods In this study, we analyzed by immunohistochemistry whether YAP1 levels change during PCa initiation, progression and NE differentiation. Moreover, we evaluated the ability of YAP1 to modulate Wnt/ β -catenin signaling by luciferase reporter assays.

Results YAP1 expression was present in the basal epithelial cells in benign prostate tissues. YAP1 expression was lost in low grade PCa but was detected in high grade prostate adenocarcinomas. Interestingly, the expression of YAP1 was abolished in both human and mouse NEPCa, suggesting that this loss is implicated in the acquisition of NE phenotype. Finally, knocking down YAP1 promoted the activity of Wnt/ β -catenin signaling in PCa cells.

Conclusions The expression of YAP1 is lost in NEPCa and reduced YAP1 augments Wnt/ β -catenin signaling in PCa cells.

INTRODUCTION

Prostate cancer (PCa) is the most diagnosed cancer among American men [1]. Although androgen deprivation therapy (ADT) is effective in the treatment of advanced PCa, most of the prostate tumors eventually become resistant to the treatment, progressing into castrate-resistant prostate cancer (CRPCa) [2]. During this process, some PCa cells lose their prostate features and trans-differentiate into neuroendocrine prostate cancer (NEPCa) [3]. This phenotype enhances the aggressiveness of prostatic tumors and reduces their sensitivity to therapies, diminishing drastically patients' survival [3]. Understanding the molecular mechanisms that drive PCa NE differentiation is critical for preventing the arising of this lethal phenotype.

YES-associated Protein (YAP1) and Transcriptional Coactivator with PDZ-binding Motif (TAZ) are key transcriptional coactivators in the modulation of several processes in mammalian cells, such as glucose uptake, proliferation, spreading, apoptosis and differentiation [4]. Despite the similarities that YAP1/TAZ present in domain structure and activation mechanism, neither their protein-protein interactions nor their gene activation patterns overlap, even inducing opposite responses during certain cellular processes [5,6]. The activity of these transcriptional coactivators is mainly modulated by the core kinases of the Hippo pathway [4]. Indeed, the activation of Mammalian Sterile 20-like Kinase 1/2 (MST1/2) by upstream signals induces the binding of Salvador Homolog 1 (SAV1) and the phosphorylation and activation of Large Tumor Suppressor Homolog 1 (LATS1/2) and MOB Kinase Activator 1A and 1B (MOB1A/1B) [4]. Subsequently, the dimerization of these two activated proteins induces the phosphorylation of YAP1/TAZ [4]. As a result of this process, their translocation to the nucleus is impaired, promoting their degradation through the ubiquitin-proteasome system [4]. Oppositely, when the Hippo pathway is inactivated, YAP1/TAZ can cross the nuclear membrane, bind to the TEA domain proteins 1-4 (TEAD1-4) proteins, and activate the transcription of target genes [4]. Additionally, their translocation to the nucleus can be modulated by Wnt/ β -catenin signaling in a Hippo-independent manner [7]. They are sequestered in the β -catenin destruction complex, which impairs their nuclear translocation and promotes their proteasomal degradation by recruiting E3 ubiquitin ligase, β -transducin repeat containing protein (β -TrCP) [7]. Moreover, the loss of these transcriptional coactivators can induce β -catenin-dependent gene expression, suggesting that the Hippo and the Wnt/ β -catenin pathways are interconnected to develop an integrated response in mammals [8].

Given their implication in promoting cell proliferation and spreading, deregulation of YAP1/TAZ has been related to cancer initiation and progression with either oncogenic or tumor suppressant roles [9,10]. In PCa, the overexpression of YAP1/TAZ has been associated with cancer proliferation and invasiveness [11]. However, these effects are mainly detectable in CRPCa because androgen signaling can restrain epigenetically the expression of these proteins [12]. Although their function in NEPCa has not been assessed yet, it has recently been suggested that YAP1 is implicated in the NE differentiation of lung cancer cells [10]. In the present study, we found that the expression of YAP1 was down regulated in NEPCa. We also showed that a downregulation of YAP1 activated Wnt/ β -catenin signaling, which could promote NE differentiation of PCa. As far as known, this is the first study that analyzes the involvement of YAP1 in NEPCa.

MATERIAL AND METHODS

Sample obtaining

De-identified human prostate tissue specimens were obtained from the Overton Brooks VA Medical Center, LSU Health Sciences Center Biorepository Core, Ochsner Health System Biorepository, and Tissue for Research at UK. All the tissues were used in accordance with LSU Health Sciences Center-Shreveport IRB protocols. Archived tissues derived from TRAMP, 12T-7 LADY, 12T-10 LADY, and NE10 mice were used for this study.

Immunohistochemistry and Hematoxylin and eosin (H&E) staining.

Immunostaining was performed as described previously [13]. Antigen retrieval was conducted using a pressure cooker with an antigen unmasking solution (Vector Laboratories, Burlingame, CA) for 10 minutes. Subsequently, endogenous peroxidases were blocked using 0.3% hydrogen peroxidase in methanol and slices were incubated overnight at 4 °C with the primary antibodies for YAP1, chromogranin A (CHGA) (sc-101199 and sc-1488 respectively, Santa Cruz biotechnology, Santa Cruz, CA), synaptophysin (SYP) (611880, BD biosciences, San Jose, CA), p63, FOXA2 and TAZ (ab735, ab108422 and ab110239 respectively, Abcam, Cambridge, MA). The antibody-antigen interaction was detected by the Vectastain elite ABC peroxidase kit and visualized with the ImmPACT™ DAB peroxidase substrate kit (Vector Laboratories). Afterward,

the slices were counterstained with hematoxylin, dehydrated, mounted with Cytoseal™ 60 (Thermo Fisher Scientific, Waltham, MA, USA) and imaged with a Zeiss microscope (Pleasanton, CA).

For H&E staining, rehydrated slices were sequentially dyed with eosin and hematoxylin, dehydrated and cover-slipped before being imaged with a Zeiss microscope.

Immunofluorescence

Immunofluorescence staining was conducted as described previously [13]. After antigen retrieval, tissue sections were incubated overnight at 4 °C with the primary antibodies against cytokeratin 5 (CK5) or 14 (CK14) (904801 and 905501 respectively, BioLegend, San Diego, CA) and YAP (Santa Cruz biotechnology). Subsequently, samples were incubated with fluorescent secondary anti-rabbit and anti-mouse antibodies (DI-2594 and DI-1088 respectively, Vector Laboratories) for 1 hour, cover-slipped with Vectashield® mounting media (Vector Laboratories) and imaged at 60x magnification with a Nikon fluorescence microscope (Melville, NY).

Bioinformatics

RNA-seq datasets deposited in either cBioportal or in the Gene expression omnibus (GEO) tool of the National Center of Biotechnology Information (Bethesda, MD) were analyzed by RStudio software [14]. To represent the data, heat maps and boxplots were made in R by the pheatmap and ggplot2 packages respectively [15,16].

Cell culture, gene silencing and Luciferase assay

The human PCa cell line PC3 was purchased from American type culture collection (ATCC) and routinely grown in RPMI 1640 with 10% fetal bovine serum and 1% penicillin/streptomycin at 37 °C in a 5% CO₂ humidified atmosphere. All these reagents were purchased from Genesee Scientific (El Cajon, CA). To perform gene silencing, cells were transfected with siRNAs against

YAP1 or a non-coding sequence (sc-38673 and sc-37007 respectively, Santa Cruz Biotechnology) by using Lipofectamine® LTX & PLUS™ Reagent (Thermo Fisher Scientific) following the manufacturer's protocol. For luciferase assay cells were co-transfected with siRNA and the TOPflash plasmid, which is a β -catenin-responsive luciferase reporter construct [17]. After 36 hours of incubation, luciferase activities were measured by using Promega Luciferase Assay kit (Maddison, WI) following the manufacturer's instructions.

Western blotting

Right after treatments, cells were lysed with passive lysis buffer (Promega), sonicated for 5 pulses of 10 seconds at 30% amplitude and centrifuged for 30 minutes at 12,000g. Equal amounts of protein were subjected to SDS-PAGE, transferred to a PDVF membrane (Bio-Rad, Hercules, CA), blocked with 5% non-fat milk for 1 hour and incubated overnight with YAP1 (Santa Cruz biotechnology) or TAZ (Abcam) primary antibodies. β -actin (3700, Cell signaling) was used as the loading control. Subsequently, membranes were incubated for 1 hour with horseradish peroxidase-conjugated secondary antibody (Healthcare, Pittsburg, PA). Proteins were revealed by using ProSignal® Dura ECL Reagent (Genesee Scientific) and visualized in a Chemidoc™ Touch Imaging System (Bio-Rad).

RESULTS

YAP1/TAZ are expressed in benign prostate basal cells

Firstly, we validated the specificity of the YAP1 antibody by Western blot using cell lysate of PC3 cells, which highly express YAP1, and PC3/YAP1 knock down cells. As shown in suppl. Fig. 1, the level of YAP1 was knocked down in PCa PC3 cells. We then assessed the expression of YAP1/TAZ in benign prostate tissues. YAP1 was clearly expressed in the nucleus of the prostate p63-positive basal epithelial cells, as well as stromal cells, but it was absent in the p63-negative luminal epithelial cells (Figs. 1A & 1B). TAZ expression followed the same pattern in non-cancerous prostate epithelium (Fig. 1C). Additionally, dual immunofluorescence staining of YAP1 and CK5 or CK14, which specifically mark prostate basal cells, was performed to corroborate the previous YAP1 expression patterns in prostate epithelium. As expected, YAP1 immunostaining was mainly present in the nucleus of the cells that were positive for CK5 and CK14 (Figs. 1D to

1K). These results indicate that the expression of YAP1 and TAZ is limited to the basal epithelial cells in non-cancerous prostate.

The expression of YAP1 increased in PIN but lost in NEPCa of mouse prostate tumors.

Changes in the Yap1/Taz expression levels during PCa progression were analyzed by immunohistochemistry using tissues derived from both TRAMP and LADY mice, the two widely used PCa mouse models [18,19]. TRAMP mice develop prostate intraepithelial neoplasia (PIN) and a subset progress into NEPCa [20,21]. As shown in Figs. 2A and 2B, Yap1 expression levels were slightly elevated in the PIN tumors of TRAMP mice (Fig. 2C) compared to wild type prostates (Fig. 2A). In the TRAMP mice that developed NEPCa, Yap1 level was even higher in the focal PIN areas in NEPCa tumors (Fig. 2E). However, this protein was undetectable in the NEPCa areas (Fig. 2E). Taz displayed a similar expression pattern. Its level was low in wild type prostate (Fig. 2B), increased in PIN (Fig. 2D), but decreased in NEPCa (Fig. 2F).

12T-7 LADY mice develop PIN [19]. Yap1 expression was detected in the PIN tumors in the 12T-7 LADY mice (Fig. 3A). However, the 12T-10 LADY mice, which develops NEPCa [22], exhibited high levels of this protein in the focal PIN areas, but a complete loss of Yap1 immunostaining in the FOXA2-positive NEPCa areas of these tumors (Figs 3C & 3D). The Yap1 expression was also lost in NE10 tumors (Figs 3E & 3F), which is a xenograft line derived from the 12T-10 NEPCa [23]. Additionally, Yap1 immunostaining was absent in FOXA2-positive, NEPCa metastases to the liver and lung (Figs 4A to 4D). Immunohistochemistry of Taz on LADY tissues generated lot of non-specific staining and the results were not conclusive, possibly due to the age of these specimens. While the archived TRAMP tissues were collected in less than 4 years ago, the LADY tissues were collected more than 15 years ago. Nonetheless, these results indicate that mouse-derived NEPCa tumors exhibit a marked reduction in Yap1 expression compared to the non-NE tumors.

The expression of YAP1 increased in human high grade prostate adenocarcinomas but decreased in NEPCa

YAP1 expression levels were also evaluated in human prostate tissues including benign prostate, low grade adenocarcinoma (AdPCa), high grade AdPCa, and NEPCa. YAP1 expression was detected in stromal cells in most cases. However, the expression of this protein in epithelial cells was altered during PCa progression (Figs 5A to 5O). Specifically, YAP1 was expressed in the basal epithelial cells as well as stromal cells in benign prostatic tissues (Fig. 5A). In low grade AdPCa with Gleason score (GS) less than or equal to 7, YAP1 expression was detected in the basal epithelia and stromal cells but absent in most cancer cells (Fig. 5D). High grade AdPCa with GS greater than or equal to 8 exhibited higher YAP1 immunostaining than low-grade tumors. YAP1 expression was detected in cancer cells in GS8, GS9, and GS10 cases but absent in one GS GS8 case (Fig. 5G). However, YAP1 expression was lost in NEPCa, including both prostate adenocarcinoma with NE differentiation (Fig. 5J) and small cell NEPCa (Fig. 5M). In the adenocarcinomas with NE differentiation (Fig. 5J), YAP1 expression was still present in the adenocarcinoma cells but absent in NEPCa cells. FOXA2 (Fig. 5K), chromogranin A (CHGA, Fig. 5L) and synaptophysin (SYP, Fig. 5O) were used as markers of NEPCa. These results indicate that human NEPCa lacks YAP1 expression.

To further validate the reduced YAP1 expression in NEPCa, we analyzed the mRNA levels of YAP1, TAZ, AR and NEPCa markers including FOXA2, SOX2, SYP and CHGA in RNA-seq dataset of 46 LuCaP PCa patient-derived xenografts (PDXs). As shown in Fig. 6A, YAP1 expression was lost in all the nine NE^{positive} LuCaP PDXs including eight AR^{negative} and one AR^{positive} NEPCa. However, loss of YAP1 expression only occurred in one out of thirty-five AR^{positive} AdPCa. YAP1 expression was detectable in the double negative LuCaP 173.2 as well as the AR^{low}/NE^{negative} LuCaP 176 PDX. The expression of TAZ in these LuCaP PDXs did not show any consistent patterns (Fig. 6A). Additionally, the RNA-seq data published by Beltran *et al* [25] corroborated that NEPCa tumors exhibited reduced YAP1 expression. However, this trend was not followed by TAZ [25] (Fig. 6B). Additionally, we analyzed the mRNA expression of YAP1 and TAZ in several PCa cell lines using publicly available RNA-seq data [24]. The expression level of YAP1 was the lowest in H660 cells, the only NEPCa cell line, compared to all the other PCa cell lines tested (Fig. 6C). Oppositely, the mRNA levels of YAP1 and TAZ remained high in the other cell lines [24] (Fig. 6C). Taken together, these data indicate that NEPCa cells present a reduction or a loss of YAP1 expression.

YAP1 loss in AdPCa cells induces Wnt/ β -catenin activity.

After demonstrating that YAP1 expression was reduced in NEPCa cells, we decided to analyze if the loss of this protein has any functional implications in PCa. Previous studies have linked the activation of Wnt/ β -catenin signaling with NE differentiation of PCa [26]. Moreover, the loss of YAP1/TAZ seems to stimulate Wnt/ β -catenin signaling [7,8]. Therefore, we assessed how reduced YAP1 expression would affect the Wnt/ β -catenin activity by knocking down the expression of YAP1 followed by luciferase-reporter assays. As shown in Fig. 7, the activity of Wnt/ β -catenin increased after YAP1 expression was reduced in AdPCa cells, indicating that the downregulation of YAP1 augments Wnt/ β -catenin signaling, which could lead to NE differentiation.

DISCUSSION

The arising of neuroendocrine-like cells in advanced PCa is a harmful event that usually occurs after ADT, because it leads to cancer progression and reduced sensitivity to conventional therapies [3]. Identifying the mechanisms that drive this NE differentiation process is fundamental to improve patients' survival [3]. Here, we have discovered for the first time that the Hippo pathway effector YAP1 is reduced in NEPCa.

YAP1 and its homologous protein TAZ are mainly implicated in the regulation of tissue homeostasis and organ size [4]. Their dysregulation could promote massive overgrowth and tumorigenesis [27]. In cancer, YAP1/TAZ usually work as oncogenes since they promote cell proliferation, spreading and mobility; as well as prevent programmed cell death through apoptosis and anoikis (cell-detachment-induced apoptosis) [28]. However, YAP1/TAZ have also been demonstrated to exert tumor suppressive roles in certain cellular contexts [28]. For instance, YAP1 overexpression reduces small cell lung carcinoma (SCLC) growth by inducing cell apoptosis [29]. Inversely, its loss stimulates breast cancer cell proliferation and mobility, protecting them from anoikis [30]. These data suggest that YAP1 and TAZ exert a dual role during tumorigenesis, mainly because of their ability to interact with different proteins according to the cellular context

[28]. Therefore, it would be interesting to monitor the changes in the levels of these proteins during PCa progression.

Firstly, we discovered that the expression of these proteins was restrained to the basal cells in benign prostate epithelia, being nearly absent in the luminal cells. Our results support the use of these proteins as markers for prostate basal epithelial cells, as it has been previously enunciated by Liu *et al* [31]. Besides, this epithelial expression signature is not limited only to the prostate tissue, but is also present in other epithelial tissues, such as the cornea, the airway epithelium, the skin and the breast ductal lining [32-35]. It has been suggested that YAP1/TAZ proteins are necessary to maintain endothelial homeostasis, probably through promoting tissue renewal [36].

On the other hand, YAP1 expression was absent in the cancer cells of early AdPCa tumors where basal cells are lost. However, its expression increased in more advanced stages of this malignant disease. These results corroborate the existence of a positive trend between the expression levels of this protein and AdPCa malignancy, where YAP1-positive tumors present higher Gleason scores and tumor-node-metastasis (TNM) stages [37,38]. Additionally, the inhibition of this protein in high-grade PCa cells not only impair their proliferation, but also enhances cell sensitiveness to ADT, suggesting that this protein might inhibit AR signaling in advanced PCa [11,12]. Curiously, it has been recently proven that AR signaling inhibits YAP1 transcription by promoting the methylation of its promoter, which could explain its low expression levels in both luminal cells and low-grade tumors, where this receptor is expressed [12,39]. In summary, these findings suggest that the inhibition of YAP1 could control AdPCa proliferation and spreading.

Despite the ability of YAP1 to modulate cell differentiation [4], its' involvement in PCa NE differentiation has not been assessed yet. Prior studies have not only demonstrated that the expression of this protein is reduced in SCLC cells, but have also proven that this downregulation is necessary for the induction of NE differentiation [10,40]. In this paper, we have demonstrated that the expression of this transcriptional coactivator was clearly diminished in both human and mouse NEPCa tumor samples, as well as cell lines. These findings were consistent with those obtained in SCLC cells [10,40], suggesting that the lack of this protein could be essential for NE differentiation. However, the molecular mechanism that relates the loss of YAP1 with the expression of the mediators that drive NE differentiation has not been clearly elucidated yet. Prior

studies in lung cancer have demonstrated that this transcriptional coactivator directly induces the expression of several neuroendocrine markers, such as Rab3A to achieve NE differentiation [10]. Here, we decided to study how this downregulation affects the Wnt/ β -catenin signaling since its activation has been related to the induction of NE differentiation [26]. Besides, YAP1/TAZ have been shown to inhibit the activation of this pathway by recruiting β -TrCP to the β -catenin destruction complex, inducing its degradation by the ubiquitin-proteasome system [7]. Consequently, the downregulation of YAP1 could induce the nuclear translocation of β -catenin even in the absence of Wnt signaling [8]. Our results corroborate the existence of this interrelationship in PCa cells, demonstrating that Wnt/ β -catenin activity increased after YAP1 knockdown. These results are consistent with previous findings in literature [41,42], supporting the idea that the activity of Wnt/ β -catenin is partially dependent on YAP1 levels. Taken together, these results indicate that the loss of YAP1 promotes Wnt/ β -catenin activity in AdPCa cells, which could be partially responsible for inducing NE differentiation of PCa.

Taken together, our results highlighted the importance of YAP1 expression in the modulation of PCa progression. Its overexpression promotes AdPCa malignancy, but its downregulation could induce NE differentiation by activating the Wnt/ β -catenin signaling. Although we have established for the first time that the main effectors of the Hippo pathway are downregulated in NEPCa cells, more studies would be necessary to determine how the loss of YAP1 affects NE differentiation, as well as if YAP1 inhibitors could be safely used as therapeutic adjuvants for treating AdPCa.

CONFLICT OF INTEREST

The authors disclose no conflict of interest

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FIGURE LEGENDS

Fig. 1 The expression of YAP1 and TAZ in benign prostatic glandular and stromal hyperplasia. Immunohistochemical staining of p63 highlights basal cells in the periphery of the prostatic gland (A). YAP1 displays positive staining of basal cells (positive nuclear staining) and negative staining of the luminal epithelial cells (B). TAZ immunostaining reveals faint stain of the basal cells (C). D to K show dual immunofluorescence staining of YAP1 and cytokeratin 5 (CK5, D to G) or cytokeratin 14 (CK14, H to K) to confirm the presence of YAP1 expression in prostate basal epithelia.

Fig. 2 Microscopic examination of the prostate of TRAMP mice reveals that the expression of Yap1 and Taz increase in PIN but decrease in NEPCa. A & B are serial sections of a wild type prostate demonstrating positive staining of Yap1 and Taz in the occasional basal epithelia. C & D are serial sections of a PIN tumor demonstrating diffuse positive staining of Yap1 and Taz in PIN. E to H are serial sections of a NEPCa demonstrating diffuse positive staining of Yap1 in PIN components but negative in NEPCa cells (E). Taz immunostaining is weakly positive in PIN but negative in NEPCa (F). Foxa2 (G) and Chga (H) immunostaining were positive in NEPCa cells but negative in PIN.

Fig. 3 Microscopic examination of the prostate excised from 12T-7, 12T-10, and NE10 LADY mice. 12T-7 mice develop PIN, 12T-10 mice develop NEPCa with focal PIN, and NE10 is a xenograft line derived from 12T-10 NEPCa. A & B are serial sections of a 12T-7 tumor. Immunohistochemical staining of Yap1 shows positive nuclear staining in PIN (A). Foxa2 immunostaining is negative (B). C & D are serial sections of a 12T-10 NEPCa tumor showing negativity of Yap1 in NEPCa cells in contrast to the positive staining in focal PIN (C). Positive staining of Foxa2 is seen in the NEPCa cells (D). E & F are serial sections of a NE10 tumor showing positive staining of Foxa2 (F), whereas the Yap1 immunostaining is negative (E).

Fig. 4 Sections of the liver and lung with metastasis of NEPCa shows positive staining of Foxa2 (right panels). In contrast, Yap1 immunostain is negative in NEPCa metastases.

Fig. 5 The expression of YAP1 in human prostatic tissues. A to C are serial sections of a benign prostate tissue. YAP1 expression is present in the stroma cells and basal cells (positive for p63). D to F are serial sections of a low grade prostate adenocarcinoma. YAP1 expression is present in stromal cells and occasional basal cells but lost in adenocarcinomas with a Gleason score of 7 or less. G to I are serial sections of a high grade prostate adenocarcinoma. YAP1 was expressed in higher grade prostatic adenocarcinomas with a Gleason score of 8 or higher. J to L are serial sections of a prostate adenocarcinoma with NE

differentiation. YAP1 protein is expressed in high-grade adenocarcinoma cells (Gleason score 8), whereas the expression is lost in NEPCa components. FOXA2 and CHGA proteins are diffusely expressed in NEPCa cells. M to O are serial sections of a small cell carcinoma. YAP1 expression is absent in NEPCa cells.

Fig. 6 The expression of YAP1 in publicly available human PCa datasets. A. The expression of YAP1 and TAZ in patient-derived LuCaP tumors. YAP1 expression was detected in adenocarcinomas but not in NEPCa. B. The expression of YAP decreased in NEPCa compared to adeno-CRPCa in Beltran-NEPCa dataset. C. Heatmap to show the expression of YAP1 decreased in the only NEPCa cell line, H660, compared to other PCa cell lines.

Fig. 7 YAP1 knockdown augments Wnt/ β -catenin activity. PCa 22Rv1 and PC3 cells were co-transfected with Top-Flash plasmids and siYAP1. A reduction of YAP1 activated Wnt/ β -catenin activity.

Fig. 1

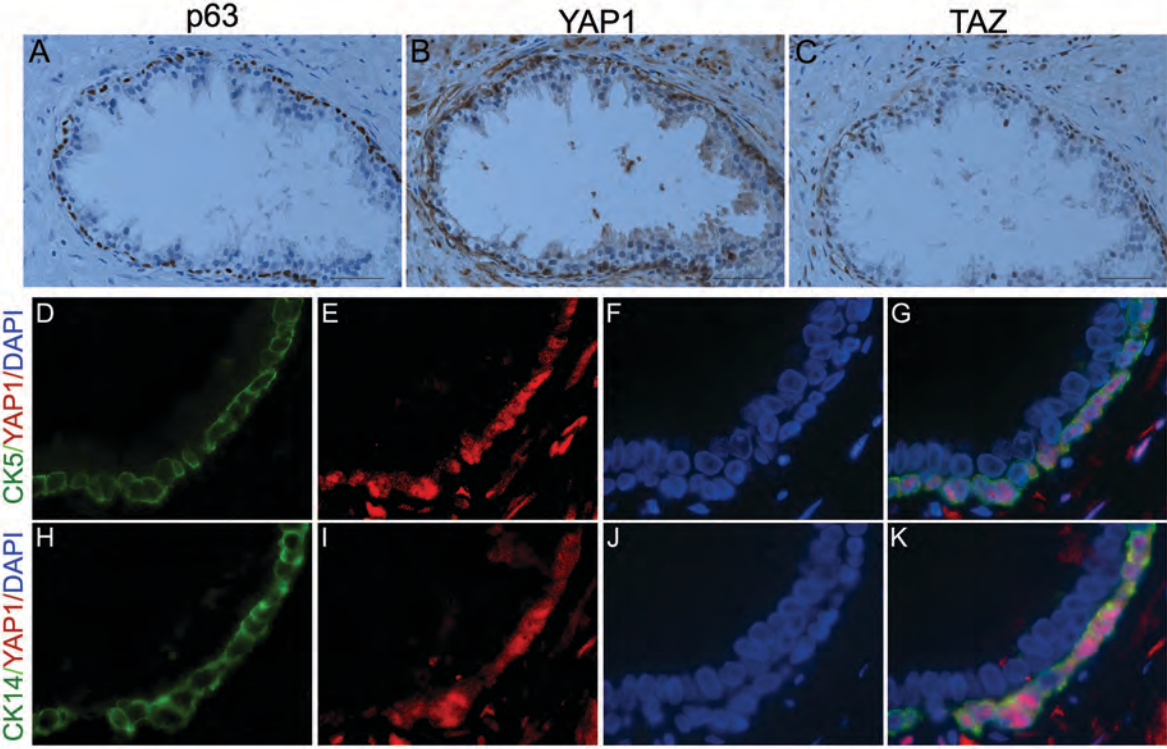


Fig. 2

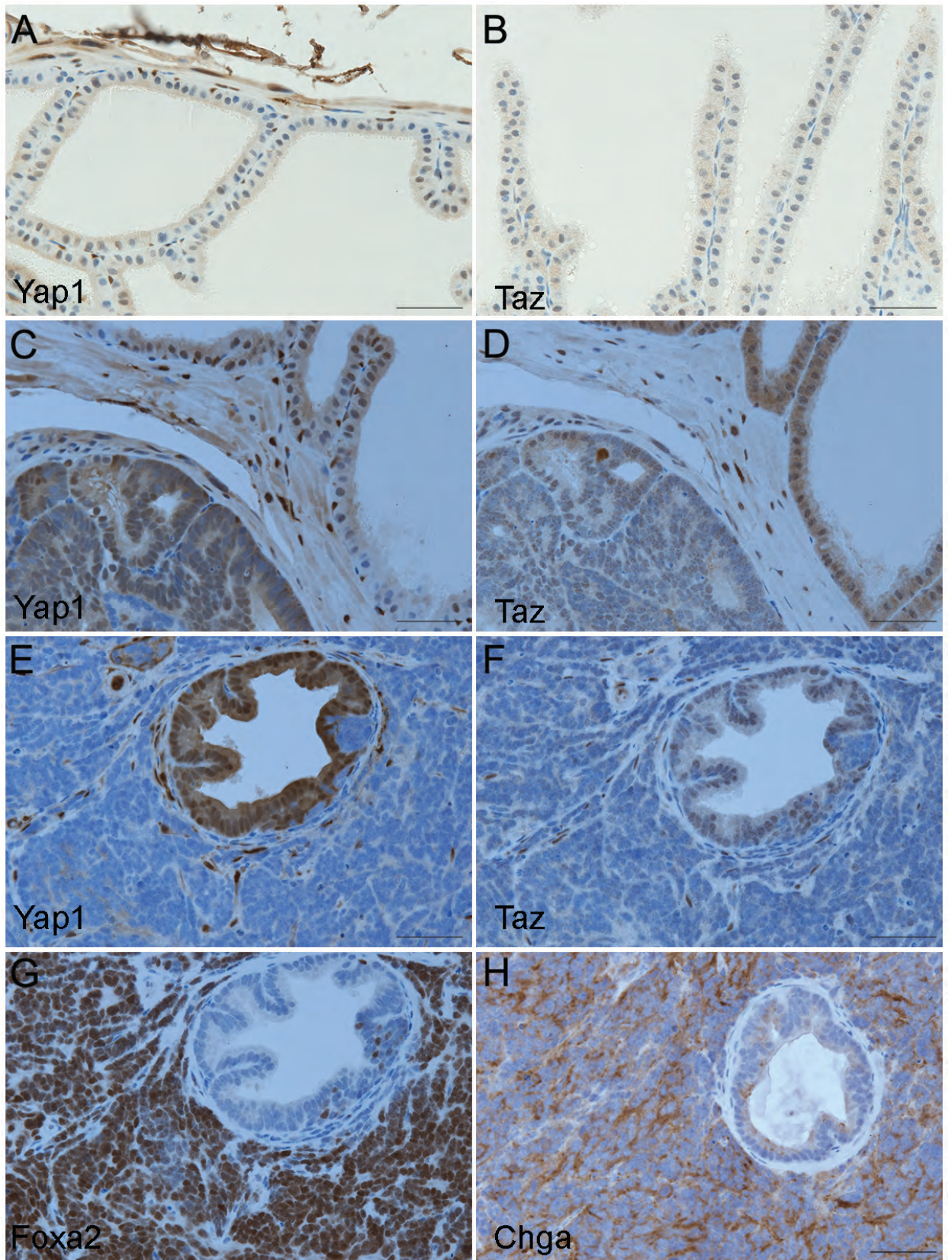


Fig. 3

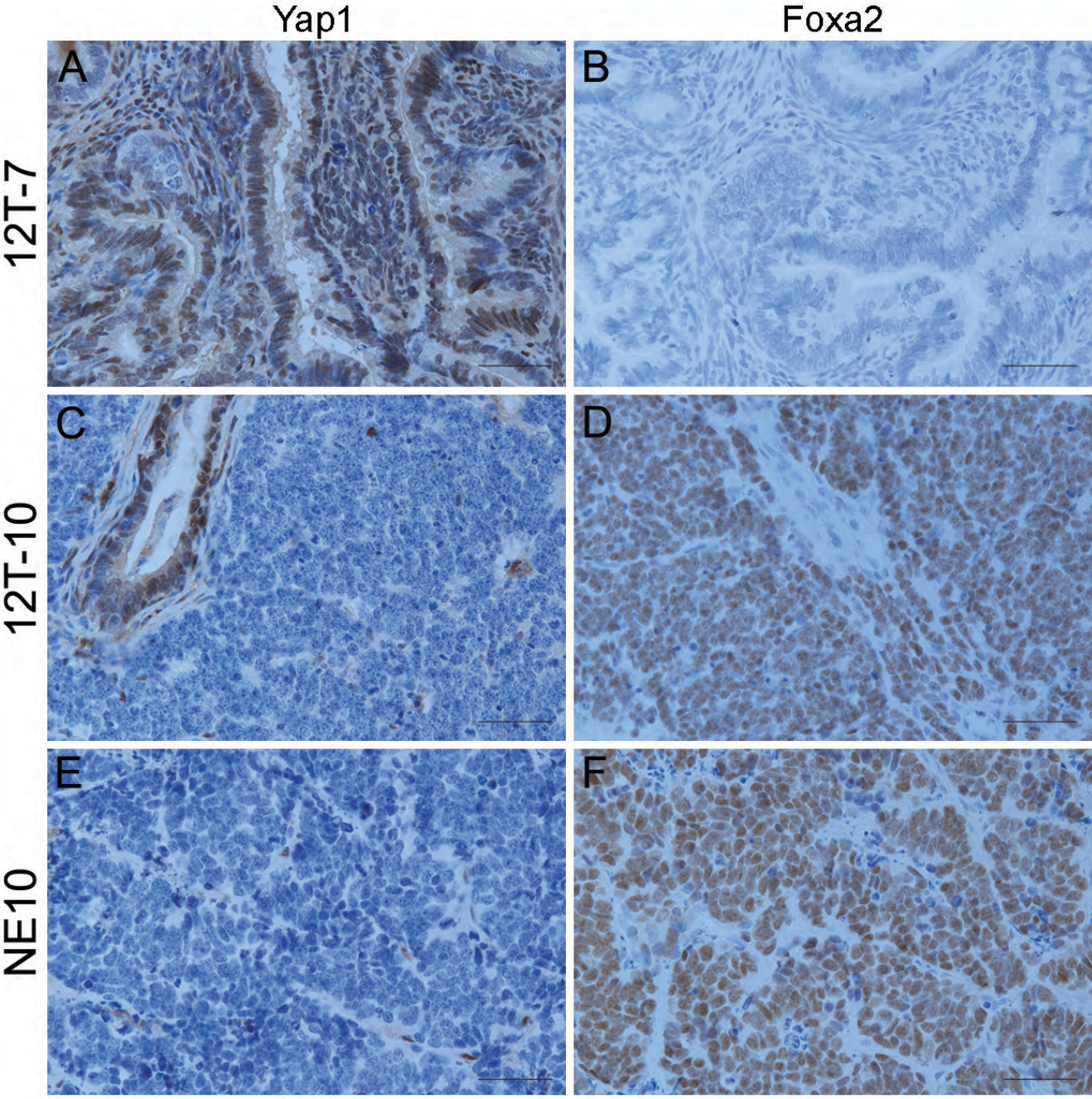


Fig. 4

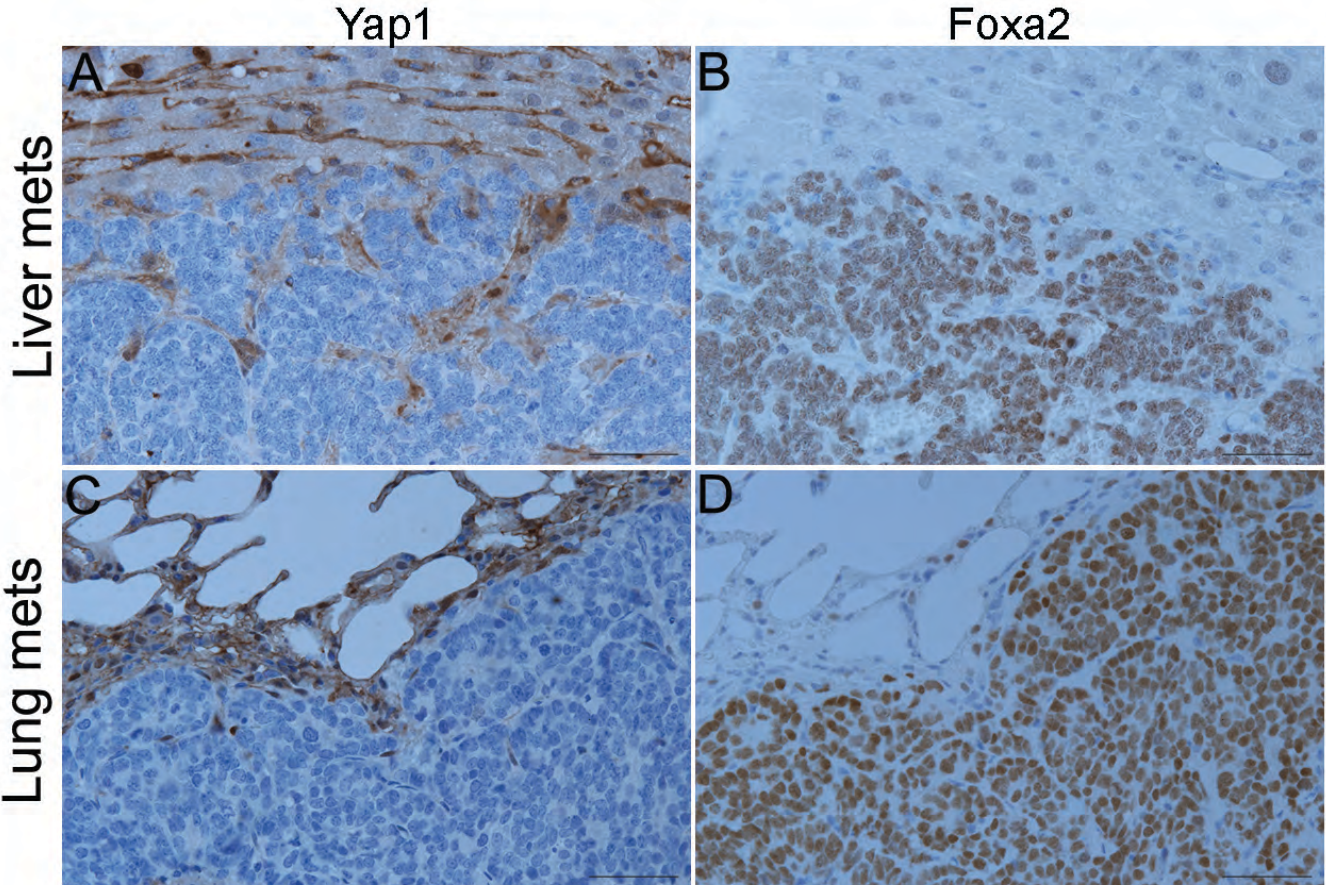


Fig. 5

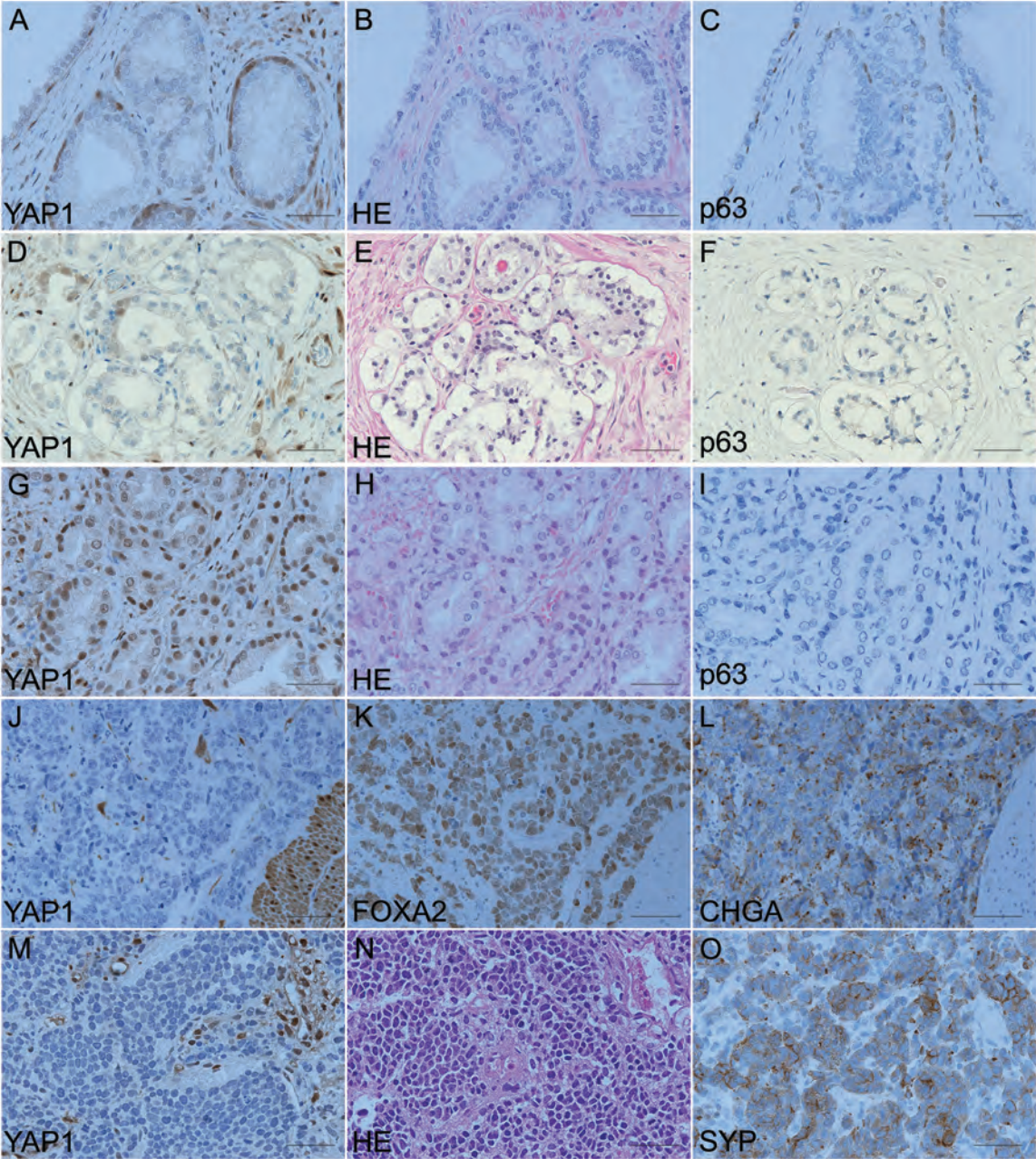


Fig. 6

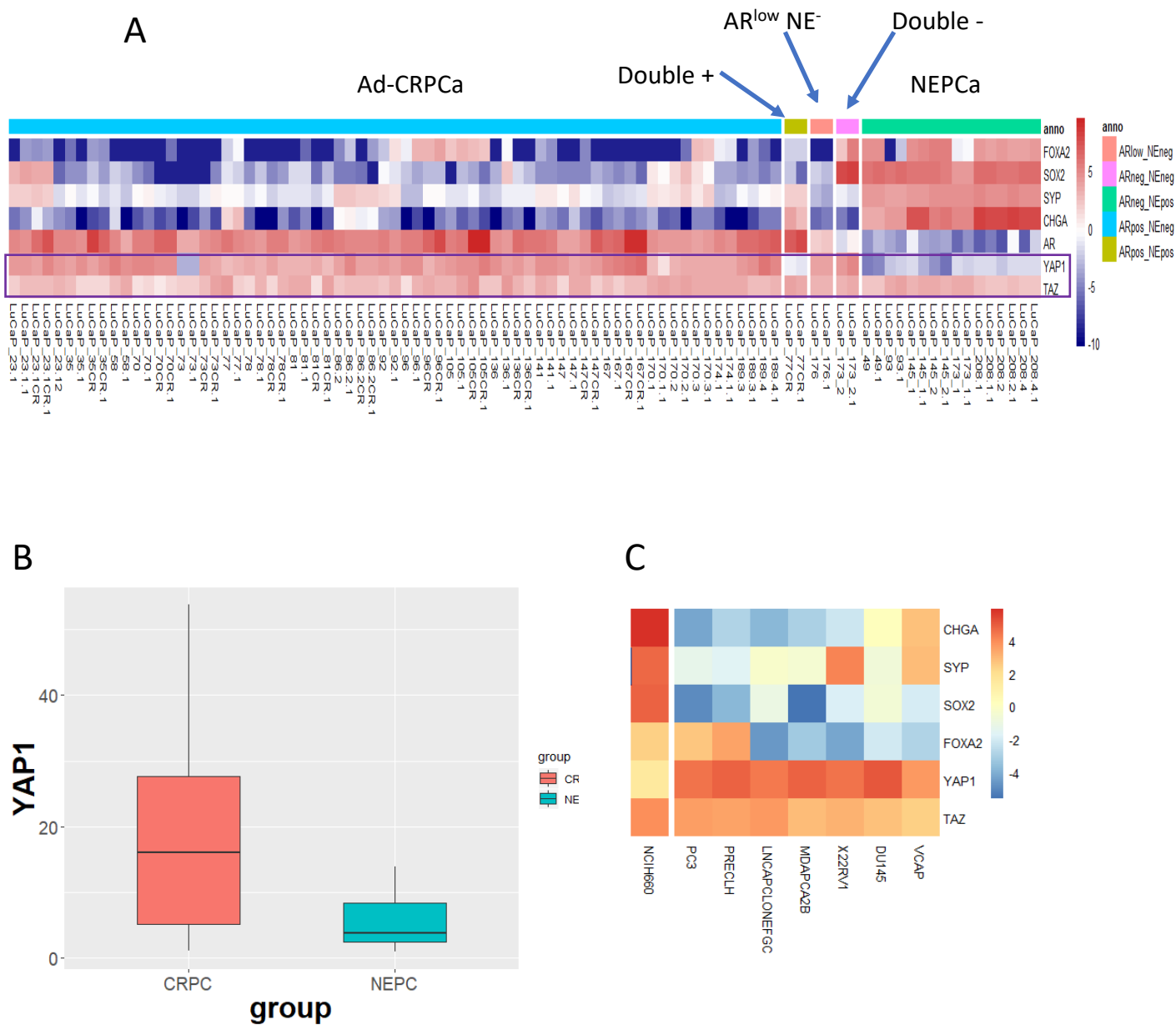
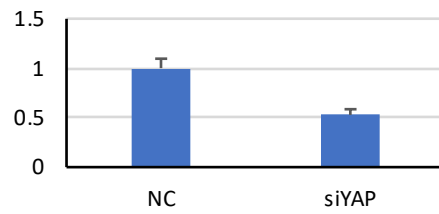
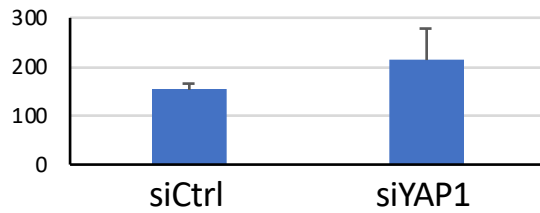


Fig. 7

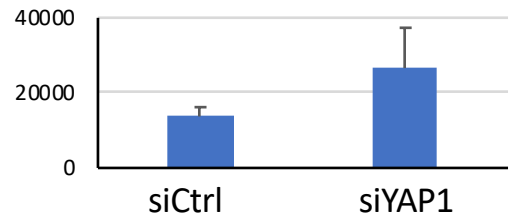
RT-qPCR-YAP1 in 22RV1



Luciferase reporter assay-
22RV1 cells



Luciferase reporter assay-
PC3 cells



1 FOXA2 Promotes Prostate Cancer Bone Metastasis

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15
16 Running Title: FOXA2 in Prostate Cancer Bone Metastasis

21 **Abstract**

22 Bone metastases frequently occur in advanced-stage prostate cancer (PCa) patients.
23 Understanding the mechanisms that promote PCa-mediated bone destruction is important for
24 the identification of therapeutic targets of the lethal disease. We found that forkhead box A2
25 (FOXA2) is expressed in a subset of PCa bone metastasis specimens. To determine the
26 functional role of FOXA2 in PCa metastasis, we knocked down the expression of FOXA2 in
27 aggressive PCa PC3 cells that can grow in bones and elicit an osteolytic reaction. The
28 PC3/FOXA2-knockdown cells generated fewer bone lesions following intra-tibial injection
29 compared to control cells. Further, we found that FOXA2 knockdown decreased the expression
30 of *PTH1LH*, which encodes PTHrP, a well-established factor that regulates bone remodeling.
31 These results indicate that FOXA2 is involved in PCa bone metastasis.

32 **Keywords:** FOXA2, PTHrP, Prostate Cancer, Bone

33 **Introduction**

34 Micro/macro metastases in bone occur in approximately 90% of advanced PCa patients,
35 resulting in significantly increased morbidity and mortality (1-4). Studies have shown that 20-30%
36 of heavily treated PCa progresses to neuroendocrine prostate cancer (NEPCa) (5), the most
37 aggressive form of this common disease. Approximately 40-50% of NEPCa patients have bone
38 metastases (6). NEPCa does not express AR and therefore it is not responsive to endocrine
39 therapy. Identifying the genes that control the behavior of NEPCa in the bone microenvironment
40 can help increase our understanding of NEPCa establishment in the bone, leading to the
41 development of new therapeutic approaches to treat bone metastases.

42 FOXA2 is a forkhead transcription factor that is expressed in NEPCa (7). The FOXA
43 transcription factors were initially identified in liver tissues, where they control liver-specific gene
44 expression (8). In prostate, FOXA2 is expressed at the embryonic stages (9). Its expression
45 diminishes after birth but then it is re-expressed in both human and mouse NEPCa (7, 10). In

46 addition, it has been shown that FOXA2, in cooperation with HIF-1 α , promotes neuroendocrine
47 differentiation of PCa (11). However, the functional role of FOXA2 in NEPCa metastasis is yet to
48 be elucidated.

49 Different from prostate adenocarcinomas, which stimulate new bone formation, NEPCa
50 cells generate osteolytic lesions in bone. Previous studies have well established that Parathyroid
51 Hormone-related Protein (PTHrP) is a major player in mediating cancer-induced osteoclastic bone
52 resorption (12). It has been shown that several types of cancer including neuroendocrine tumors
53 produce high levels of PTHrP, which binds to its receptors expressed on the osteoblasts and
54 osteoclasts in the bone microenvironment, resulting in increased bone resorption and cancer
55 growth (13, 14).

56 In this study, we investigated the involvement of FOXA2 in PCa bone metastasis. We used
57 PC3 cells that express high levels of FOXA2 and PTHrP (10, 15), exhibit some features of NEPCa
58 (16), and can grow in bone (17). We established FOXA2 knockdown-PC3 cells and assessed
59 how reduced FOXA2 expression influences PCa-mediated bone lesions. We also examined
60 FOXA2's regulation of PTHrP. This study provides the first evidence on the role of FOXA2 in
61 regulating PTHrP expression and promoting NEPCa bone metastasis.

62 **Material and Methods**

63 *Human specimens*

64 Research using human specimens was conducted following protocols approved by the
65 Institutional Review Boards at the University of Washington, Vanderbilt University, and LSU
66 Health-Shreveport. A tissue microarray (UWTMA22) consisting of de-identified human metastatic
67 castrate-resistant PCa (CRPCa) specimens was constructed using tissue samples collected from
68 24 CRPCa patients who succumbed to disease. In total, the TMA consisted of 128 samples with
69 2 cores for each sample.

70 *Immunohistochemistry*

71 Immunohistochemistry was conducted as described previously (18). Antibodies against FOXA2
72 (ab108422) were obtained from Abcam, Cambridge, MA and synaptophysin (SYP) (BD 611880)
73 from BD Biosciences, San Jose, CA. Both the percentage of cells stained and the intensity of
74 nuclear FOXA2 or cytoplasmic SYP staining were evaluated. The intensity of expression was
75 assessed on a scale of 0–3. The percentage of expression was assessed on a 0–10 scale such
76 that 0 represented no staining and 10 represented staining of 100% of tumor cells. An overall
77 expression score (OES) was calculated as the product of the intensity and percentage of stained
78 cells. OES was grouped as 0, 1-2 and ≥ 3 . Statistical analysis was performed by using the
79 Spearman correlation test and Cochran-Armitage Trend Test. P-value of <0.05 was considered
80 statistically significant.

81 *Bioinformatics analysis*

82 The LuCaP patient-derived prostate cancer xenografts were established at the University of
83 Washington. RNA was isolated from 46 LuCaP samples each containing a biological replicate,
84 including 35 AR⁺/NE⁻, 1 AR^{low}/NE⁻, 8 AR⁻/NE⁺, 1 AR⁺/NE⁺ and 1 AR⁻/NE⁻ PDXs. RNA extracted
85 from these PDXs was sequenced. GDS1439 is a set of microarray data collected from 19 prostatic
86 tissues ranging from benign, localized prostate adenocarcinoma, to metastatic castrate-resistant
87 PCa (19). NEPCa-Beltran dataset (20) contain RNA-seq data from both non-NE, castrate-
88 resistant PCa (adeno-CRPCa) and NEPCa. The expression of FOXA2 was extracted and
89 analyzed in all three datasets.

90 *Cell culture and establishment of PC3 FOXA2 knockdown cells*

91 PC3 cells (authenticated by ATCC) were cultured in RPMI 1640 supplemented with 10% heat-
92 inactivated fetal bovine serum (Atlanta Biologicals, Flowery Branch, GA) and 1% penicillin-
93 streptomycin (Thermo Fisher Scientific, Waltham, MA). To generate FOXA2 knockdown cells,
94 PC3 cells were infected with control or shFOXA2 lentiviral particles (Sigma, St. Louis, MO) and
95 then cultured in media containing 10 $\mu\text{g}/\text{mL}$ puromycin. Stable knockdown cells were maintained

96 in 2.5 µg/mL puromycin-containing media. Because FOXA2 knockdown efficiency gradually
97 decreased over long-term cell culture, monoclonal lines were generated from PC3/shFOXA2
98 cells.

99 *RNA isolation, RNA-seq analysis, and real-time PCR*

100 RNA was extracted using Purelink RNA Mini Kit following manufacturer's protocol (Ambion, Life
101 Technologies, Waltham, MA). Purified RNA was treated with RNase-free DNase (Qiagen,
102 Germantown, MD) to remove DNA contamination. RNA-seq was conducted using RNA extracted
103 from PC3/Control and PC3/FOXA2-KD cells (each containing three biological replicates) at the
104 bioinformatic core of Indiana University School of Medicine. GO enrichment analysis was
105 conducted using the list of genes that are up- or down- regulated in the FOXA2-KD cells. For
106 reverse transcription, 1µg of total RNA was used for cDNA synthesis. Quantitative (q)-PCR was
107 conducted to assess mRNA transcript levels of FOXA2 (Forward: 5'-
108 TGCCATGCACTCGGCTTCCA-3' and Reverse: 5'- CCCAGGCCGGCGTTCATGTT-3') and
109 PTHrP (Forward: 5'-ATCAACTTTCGGGAAGCAACCAGC-3' and Reverse: 5'-
110 CCTTGTCATGGAGGAGCTGATGTT-3'). Gene expression was normalized by GAPDH.

111 *Western blot analysis*

112 Cells were lysed in passive lysis buffer (Promega, Madison, WI) and sonicated. Twenty
113 micrograms of total protein were used for Western blot analyses. All antibodies were used at a
114 1:1000 dilution. Chemiluminescence signals were detected using x-ray film or processed in a
115 Chemidoc Imaging System (Bio-Rad, Hercules, CA). β-actin was used for normalization.

116 *Cell proliferation assay*

117 IncuCyte ZOOM live cell imaging system (Essen BioScience, Ann Arbor, MI) was used to assess
118 the cell proliferation. PC3/Control or PC3/FOXA2-KD cells were seeded into 96-well plate, 500
119 cells/well. Images were taken every four hours. Cell culture media were refreshed every two days.

120 All images were analyzed on the Incucyte ZOOM Software with an appropriate mask applied.

121 Total area for each time point was quantified; mean \pm standard deviation is shown.

122 *Chemotaxis assays*

123 The IncuCyte Zoom live cell imaging system (Essen BioScience, Ann Arbor, MI) was used to
124 monitor and quantify the number of migrating cells. PC3/Control or PC3/FOXA2-KD cells ($1 \cdot 10^3$)
125 were seeded in media containing 0.5% FBS on collagen I (50 μ g/mL)-coated ClearView migration
126 plate (Essen BioScience). The bottom reservoirs contained cell culture media with 10% FBS. Live
127 cell images of the bottom of the chamber were taken every 2 hr. After 48 hours, cell migration
128 was quantified.

129 *Animal maintenance and intratibial injection*

130 Animal experiments were conducted following protocols approved by the IACUC of LSU Health-
131 Shreveport and Vanderbilt University and in accordance with the NIH guidelines. Pooled
132 PC3/Control and PC3/FOXA2-KD cells were injected into tibiae of male SCID mice using an
133 insulin syringe. Tumor growth was monitored by weekly radiographs for 5 weeks.

134 *Chromatin immunoprecipitation assays*

135 Chromatin immunoprecipitation was conducted using the EDM Millipore Magna CHIP kit
136 (Burlington, MA) following the manufacturer's protocol. DNA was sheared using Bioruptor
137 (Diagenode, Denville, NJ) for 40 cycles on high, producing approximately 200bp length fragments.
138 Following reverse-crosslink of protein-DNA complexes, and treatment with proteinase K and
139 RNase, DNA was purified by using Quick DNA Isolation Kit (Qiagen, Germantown, MD). Purified
140 DNA was subjected to SYBR Green qPCR. Primers for CHIP-PCR were designed based on the
141 CHIP-seq results available on the Integrative Genome Browser(21). There are two FOXA2 binding
142 sites in the regulatory region of the *PTHLH* gene, designated as A2BS1 and A2BS2. Primers
143 were designed to cover both FOXA2 binding sites. Primer sequences are A2BS1 (Forward: 5'-

144 CTTGGAATCCGGTGGCATCT-3' and Reverse: 5'-CTGCGATCGCCAACTGTAAC-3') and
145 A2BS2 (Forward: 5'-AGCCACTTGTAGCGAAACCC-3' and Reverse: 5'-
146 ACGACACACGCACTTGAAAC-3'). FOXA2 enrichment was normalized to IgG.

147 *Statistical analysis*

148 Statistical significance was evaluated using a two-sided Student's t test and a p-value of 0.05 was
149 considered statistically significant.

150 **Results**

151 *FOXA2 is expressed in metastatic castrate-resistant PCa and is correlated with synaptophysin* 152 *expression*

153 Expression of FOXA2 was examined using a set of TMAs consisting of castrate-resistant
154 PCa metastases from 24 patients. Out of the total 128 metastatic sites, 124 sites had sufficient
155 tissue for FOXA2 analysis. FOXA2 expression was detected in 28 sites, including 6/29 lymph
156 node, 3/5 lung, 7/14 liver, 1/1 periaortic, and 11/75 bone metastases (Table 1). Out of the 128
157 sites, 104 samples had sufficient tissue for both FOXA2 and synaptophysin (SYP, NEPCa marker)
158 staining and were used to evaluate the association of FOXA2 with SYP. FOXA2 and SYP were
159 co-expressed in 14/104 samples (13.46%), while FOXA2 expression alone was detected in
160 10/104 (9.62%) and SYP in 16/104 (15.38%) samples (Table 2). Statistical analysis showed that
161 FOXA2 expression was significantly correlated with SYP expression (Spearman Correlation
162 Coefficient is 0.40, $p < 0.0001$). Examples of the staining were shown in Fig. 1 and the overall
163 expression of each sample in supplementary Table s1.

164 To further validate the association of FOXA2 expression with neuroendocrine phenotype
165 in PCa, we analyzed the expression of FOXA2, AR, and markers of NEPCa including SOX2,
166 chromogranin A (CHGA), and synaptophysin (SYP) in a RNAseq dataset of 46 LuCaP prostate
167 cancer patient-derived xenografts (PDXs). As shown in Fig. 2A, FOXA2 was detected in 6/8 AR⁻
168 /NE⁺ LuCaP PDXs, but not in the double positive LuCaP 77CR PDX (AR⁺/NE⁺). Among the NE⁻
169 PDXs, FOXA2 was expressed in the double negative LuCaP 173.2 (AR⁻/NE⁻) (22) and in 6/35

170 AR⁺/NE⁻ PDXs albeit at lower levels, but not in the AR^{low}/NE⁻ LuCaP 176 PDX. Statistical analysis
171 indicated that FOXA2 expression was significantly higher in NE⁺ PDXs than NE⁻ PDXs.

172 Furthermore, we analyzed the expression of FOXA2 in the NEPCa-Beltran dataset that
173 contains RNAseq data of 15 NEPCa and 34 adeno-CRPCa samples (19). FOXA2 was expressed
174 in 13/15 NEPCa and 2/34 adeno-CRPCa albeit at lower levels (Fig. 2B). Statistical analysis
175 indicated that FOXA2 expression was associated with NE phenotype. Finally, analysis of the
176 GDS1439 microarray data showed that FOXA2 level is low in all benign (n=6) and localized
177 prostate tissues (n=7) but increased in 4 of 6 metastatic CRPCa tissues. The 4 cases that show
178 increased FOXA2 expression have decreased AR and increased NEPCa markers (CHGA, SYP,
179 ENO2, and/or SOX2; Fig. 2C). Taken together, these data indicate that the expression of FOXA2
180 correlates with NE phenotype in PCa.

181 *Effects of FOXA2 knockdown on cell proliferation and chemotaxis*

182 To study the functional involvement of FOXA2 in PCa, we knocked down FOXA2
183 expression in PC3 cells that express high levels of endogenous FOXA2 (10). Three single clones
184 were selected and designated as PC3/FOXA2-KD I, -II, and -III. While the knockdown resulted in
185 decreased expression of FOXA2 at both the mRNA and protein levels (Figs. 3A and 3B), we did
186 not observe any significant effects on PC3 cell proliferation (Fig. 3C). However, FOXA2
187 knockdown inhibited PC3 cells invasion on collagen I matrix (Fig. 3D). Although *in vitro* cell
188 proliferation was not impacted by FOXA2 knockdown, it was still possible that inactivation of
189 FOXA2 could reduce cell proliferation *in vivo*. Therefore, to determine this, we conducted
190 subcutaneous injections of the PC3/Control and PC3/FOXA2-KD cells. In concordance with the
191 *in vitro* data (no effects on proliferation), no significant differences in subcutaneous tumor growth
192 were observed (Fig. 3E). Taken together, these results indicate that FOXA2 is not involved in the
193 regulation of proliferation but regulates the invasion of PCa cells.

194 *FOXA2 knockdown decreases PCa-mediated bone lesions*

195 To evaluate the functional role of FOXA2 in PCa bone metastasis, PC3/Control and
196 PC3/FOXA2-KD cells were injected into the tibiae of SCID mice. Inoculation of PC3/Control cells
197 (n=5 tibiae) resulted in profound osteolytic lesions, whereas injection of PC3/FOXA2-KD cells
198 (n=5 tibiae) resulted in less severe osteolytic bone lesions in fewer tibiae (Fig. 4). Consistent with
199 the existence of lytic bone lesions, TRAP staining revealed the presence of active osteoclasts at
200 the surface of the bone lesions in the tibiae that showed bone destruction but not in the ones
201 without bone destruction (Fig. 5).

202 *FOXA2 knockdown decreases the expression of PTHrP*

203 To explore the mechanisms by which FOXA2 knockdown affects PCa-induced bone
204 lesions, we assessed the expression of *PTH1LH* and *TNFSF11* that encodes PTHrP and RANKL,
205 respectively, both of which are well-established factors that regulate bone remodeling (23). We
206 found that the mRNA expression of *PTH1LH* decreased in FOXA2-KD cells (Fig. 6A). However,
207 the mRNA levels of *TNFSF11* was low in both control and FOXA2-KD PC3 cells, thus RANKL
208 was not further investigated.

209 FOXA2 is a pioneer transcription factor; therefore, we conducted chromatin
210 immunoprecipitation assays to evaluate whether FOXA2 is recruited to the regulatory regions of
211 *PTH1LH*. The results revealed enrichment of FOXA2 at both predicted FOXA2-binding sites on the
212 *PTH1LH* regulatory region in PC3/Control cells, but FOXA2 occupancy was significantly decreased
213 in PC3/FOXA2-KD cells (Fig. 6B). Concurrent with the reduced FOXA2 binding, the occupancy
214 of H3K27ac3 and H3K4me3, the histone marks for active promoters, was also decreased (Figs.
215 6C & 6D). Together, these results indicate that FOXA2 directly regulates *PTH1LH* expression in
216 PC3 cells.

217 Furthermore, we conducted RNA-Seq experiments followed by GO enrichment analysis
218 to identify the genes that are differentially expressed in the PC3/FOXA2-KD cells. Figs. 7A and
219 7B showed the top genes and pathways altered by FOXA2 knockdown, respectively. Many of
220 them are involved in cells' communication with their microenvironment.

221 **Discussion**

222 Bone metastasis is the most critical complication of advanced PCa (1-4). In this study, we
223 identified a previously undefined role of FOXA2 in PCa bone metastasis. We found that FOXA2
224 knockdown caused a significant decrease in PCa PC3-mediated bone destruction *in vivo* following
225 intra-tibial injection. To explore the mechanisms by which FOXA2 promotes the cancer-induced
226 bone lesions, we assessed the expression of bone-remodeling genes and found that the levels of
227 PTHrP decreased following FOXA2 knockdown. CHIP assays indicate that FOXA2 directly
228 regulates the expression of PTHrP. PTHrP is a well-established factor that regulates the turnover
229 of bone tissue in both normal physiology and cancer metastasis. FOXA2's regulation of PTHrP
230 could provide a mechanism to promote PCa cells' interaction with the bone microenvironment
231 and facilitate PCa bone colonization.

232 The formation of metastatic lesions is an active process involving reciprocal
233 communication between PCa cells and resident cellular elements (mainly osteoclasts and
234 osteoblasts) in the bone matrix (24). PCa cells secrete factors that stimulate bone resorption
235 mediated by osteoclasts (25, 26). As osteoclasts resorb bone matrix, they liberate growth factors
236 to support PCa growth in bones (27). This results in a 'vicious cycle' of bone destruction and tumor
237 growth. This process is important for creating a 'fertile' environment to support metastatic cancer
238 cell survival. While the majority of PCa bone metastases are osteoblastic, it is now recognized
239 that osteoclast activity is also critical for creating a "fertile" environment to allow PCa cells to
240 escape apoptosis and to optimize their survival, even in the case of osteoblastic lesions (1, 28).
241 Furthermore, while prostate adenocarcinomas mostly generate osteoblastic lesions, NEPCa cells
242 cause lytic bone destruction. Our discovery of FOXA2's regulation of PTHrP provides a
243 mechanism by which NEPCa cells stimulate bone resorption, promoting successful bone
244 colonization. Additionally, our chemotaxis data indicated that PC3/FOXA-KD cells lost the ability
245 to migrate on/invoke through collagen I, a major component of the bone extracellular matrix (29).
246 This could also contribute to the interactions between PCa cells and the bone microenvironment.

247 Our research reveals a pro-metastatic function of FOXA2 in PCa. However, FOXA2's
248 involvement in cancer appears to be organ-specific. FOXA2 expression is associated with relapse
249 in breast cancer (30), but FOXA2 has been shown to have anti-cancer and anti-metastasis
250 properties in cancers arising from foregut derivatives (lung, stomach, pancreas, and liver), where
251 FOXA2 is involved in regulating cellular differentiation (31-35). In PCa, FOXA2 is a biomarker of
252 NEPCa (7, 10). It has been shown to promote NE differentiation (11) and sustain AR responsive
253 promoters in SV40 T-antigen driven prostatic tumor cells after androgen deprivation (18).
254 However, it has not been investigated previously whether FOXA2 has a functional role in NEPCa
255 metastases. Our work provides evidence supporting FOXA2's role in regulating PTHrP
256 expression and promoting PCa-induced bone lesions.

257 FOXA2 is a well-established marker of NEPCa. Our finding that the expression of FOXA2
258 correlates with that of SYP is consistent with previous reports (7, 10). In this study, we found that
259 approximately 15% of PCa bone metastases express FOXA2 (Table 2). This agrees with a
260 previous report that approximately 14% of PCa bone metastases are NEPCa (36).

261 In conclusion, in this study, we found that FOXA2 is expressed in a subset of PCa bone
262 metastases. FOXA2 regulates the expression of PTHrP and promotes PCa-induced bone
263 destruction.

264

265

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273 **Conflict of Interest**

274 We declare no conflicts of interest.

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369
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372

373 **Figure legends:**

374 **Figure 1. FOXA2 expression in metastatic PCa tissues.** IHC staining of FOXA2 and
375 synaptophysin (SYP) was conducted on serial sections derived from tissue microarray UWTMA22
376 which contains PCa specimens collected from 24 metastatic CRPCa patients who succumbed to
377 disease. **A & B:** PCa metastases in liver. **C & D:** PCa metastases in bone. FOXA2 and SYP were
378 co-expressed in 14/104 samples, while FOXA2 expression alone was detected in 10/104 and
379 SYP in 16/104 samples. The expression of FOXA2 is correlated with NEPCa marker SYP.
380

381 **Figure 2. The expression of FOXA2 mRNA in benign and malignant prostate specimens. A**
382 The expression of FOXA2 in LuCaP PDXs. The expression levels of FOXA2, AR, and NEPCa
383 markers CHGA, SYP, and SOX2 were extracted from RNAseq data derived from 46 LuCaP PDXs.
384 The PDXs were catalogued into five groups. Group 1 is AR⁺/NE⁻, group 2 AR^{low}/NE⁻, group 3 AR⁻
385 /NE⁻, group 4 AR⁻/NE⁺, and group 5 AR⁺/NE⁺ LuCaP PDXs. FOXA2 expression was detected in
386 6/8 AR⁻/NE⁺ and 6/35 AR⁺/NE⁻ LuCaP PDXs. FOXA2 was also expressed in the double negative
387 (AR⁻/NE⁻) LuCaP. The expression of FOXA2 is associated with NE phenotype. **B & C**
388 Bioinformatics analysis of FOXA2 in publicly available data set. **B.** The expression of FOXA2, AR
389 and NEPCa markers SYP and SOX2 was extracted from NEPCa Beltran dataset that contains
390 RNAseq data derived from 15 NEPCa and 34 adeno-CRPCa samples (19). Increased FOXA2
391 expression was detected in 13/15 NEPCa and 2/34 adeno-CRPCa. **C.** FOXA2 expression data
392 extracted from microarray GDS1439. FOXA2 expression was detected in 4/6 metastatic CRPCa
393 samples, but not in benign or localized prostatic tissues. The four FOXA2-positive samples
394 express increased levels of SYP, SOX2, CHGA, or ENO2.
395

396 **Figure 3. FOXA2 knockdown inhibits PC3 cell migration but not proliferation.** **A:** RT-qPCR
397 analyses were conducted to assess the expression levels of FOXA2 in PC3/Control and three
398 PC3/FOXA2-KD cell clones. All three clonal lines demonstrated reduced mRNA levels compared
399 to the PC3/Control cells. **B.** Western blot analysis confirmed loss of FOXA2 expression in
400 PC3/FOXA2-KD cells. β -actin served as the loading control. **C.** Cell proliferation assays were
401 conducted using the IncuCyte zoom method. FOXA2 knockdown did not decrease the
402 proliferation of PC3 cells. **D.** Chemotaxis assays. PC3/Control and PC3/FOXA2-KD cells were
403 seeded on collagen I-coated transwell inserts with 0.5% FBS-containing media in the top chamber
404 and 10% FBS-containing media in the bottom wells. The bottoms of the collagen-coated inserts
405 were imaged every two hours to visualize the cells that had traversed. PC3/Control cells migrated
406 through the collagen I matrix into the bottom wells, whereas PC3/FOXA2-KD cells could not do
407 so. Error bars reported as standard deviation. **E.** PC3/Control and PC3/FOXA2-KD cells (clones
408 II and III) were inoculated subcutaneously into SCID mice. The tumor size was measured one
409 week post injection, 3 times/week. No statistically significant changes were observed in the tumor
410 growth among the three experimental groups. Error bars reported as standard deviation.
411

412 **Figure 4. FOXA2 knockdown decreases PCa-mediated bone destruction.** PC3/Control (n=5)
413 and PC3/FOXA2-KD (n=5) cells were injected into the tibiae of SCID mice. Animals were x-ray
414 imaged weekly for 5 weeks and tumor progression with bone destruction was monitored.
415 PC3/Control cells grew in all the bones injected and generated lytic bone lesions, whereas
416 PC3/FOXA2-KD cells caused less bone destruction in fewer tibiae.
417

418 **Figure 5. TRAP staining.** **A & B** are images of a tibia injected with PC3/Control cells and **C & D**
419 with PC3/FOXA2-KD cells. B and D are higher magnification images of A and C, respectively.
420 Red arrows in panels A & B indicated TRAP-positive osteoclasts and red arrowheads PCa PC3
421 cells. Green pound signs in panels C & D indicated bone cells. Scale bars represent 50 μ m.
422

423
 424 **Figure 6. FOXA2 regulates the expression of PTHLH. A.** RT-qPCR to assess the expression
 425 of FOXA2 and PTHLH. FOXA2 knockdown caused a decrease in the mRNA levels of PTHLH. *p
 426 < 0.05, t-test. Error bars reported as standard deviation. **B-D.** Chromatin Immunoprecipitation
 427 analysis. ChIP assays were conducted by using PC3/Control and PC3/FOXA2-KD cells. FOXA2
 428 bound to both FOXA2 binding sites (A2BS1 & A2BS2) in the regulatory region of the PTHLH
 429 gene. Additionally, histone marks of active promoters (H3K4me3 and H3K27Ac3) were enriched
 430 in the PTHLH regulatory regions.

431
 432 **Figure 7. Differential gene expression analysis. A & B.** GO analysis of RNA-seq data to identify
 433 pathways that are altered (A: activated and B: inhibited by FOXA2) following FOXA2 knockdown
 434 in PC3 cells. **C.** Volcano plot to show the top genes that are differentially expressed in FOXA2-
 435 KD cells.

436
 437 **Table 1. Tissue distribution of PCa metastases and FOXA2 expression in UMTMA22**

	FOXA2 negative	FOXA2 positive	Subtotal
Bone	64	11	75
Lymph Node	23	6	29
Lung	2	3	5
Liver	7	7	14
Periaortic Mass	0	1	1
Total			124

439
 440 **Table 2. The expression of FOXA2 and synaptophysin (SYP) in UWTMA22**

	Frequency	Percent
FOXA2 ⁻ SYP ⁻	64	61.54
FOXA2 ⁻ SYP ⁺	16	15.38
FOXA2 ⁺ SYP ⁻	10	9.62
FOXA2 ⁺ SYP ⁺	14	13.46
Total	104	100

441
 442
 443

Fig. 1

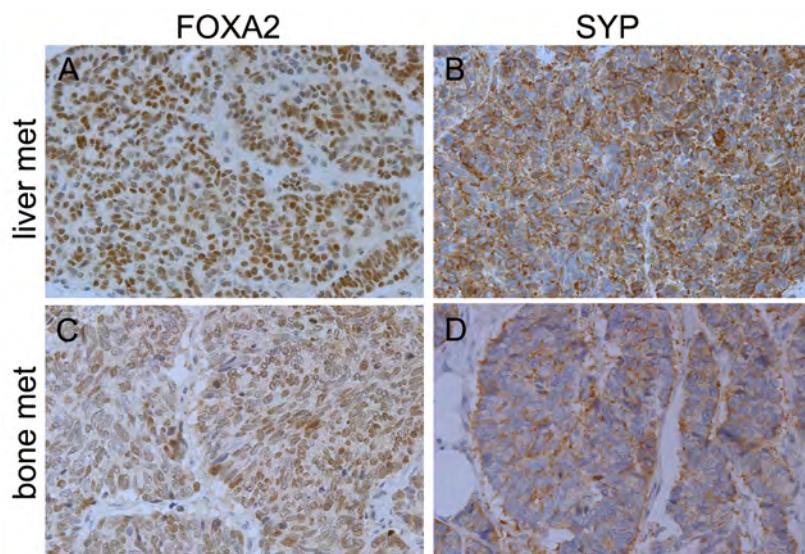


Fig. 3

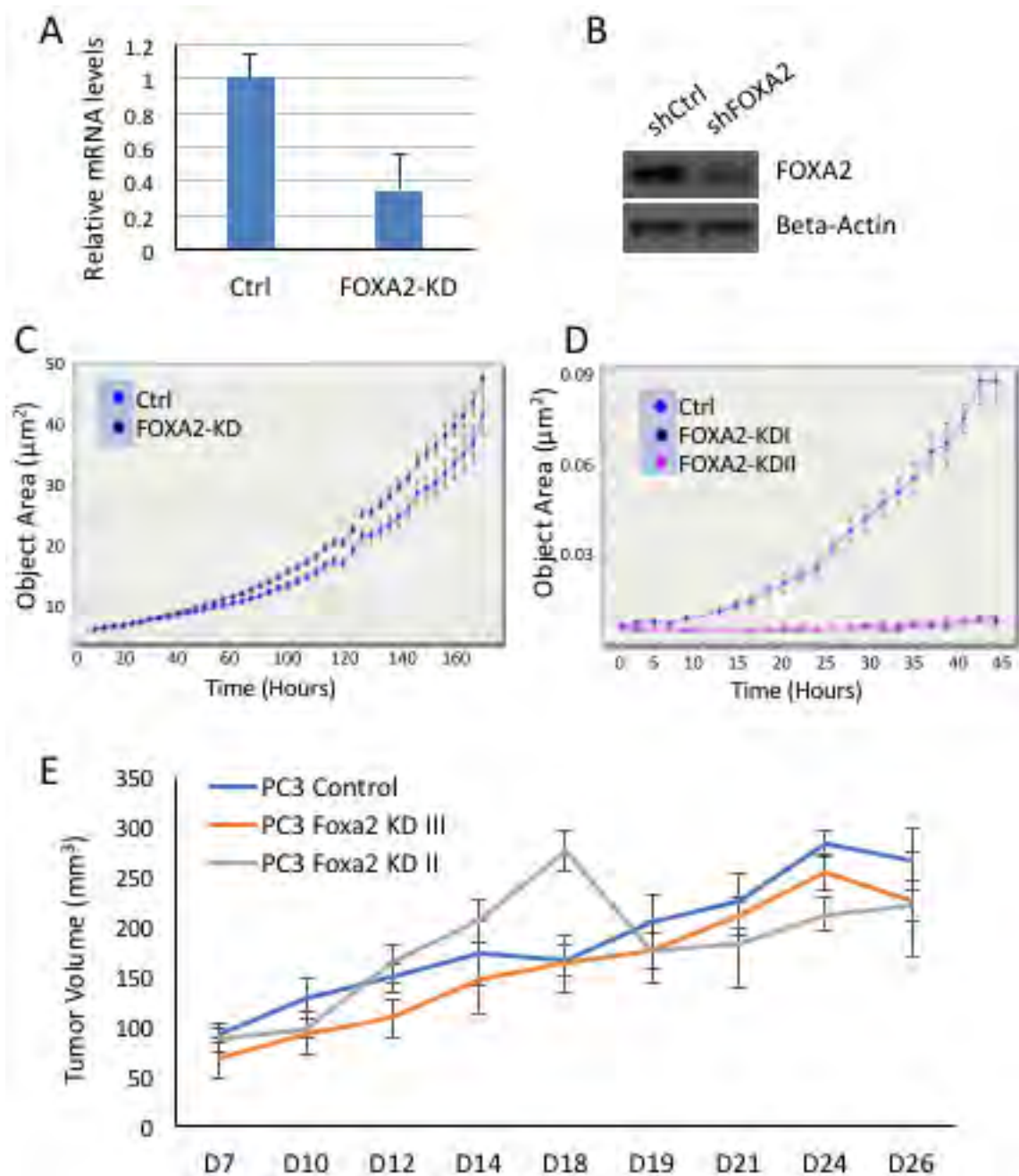


Fig. 4

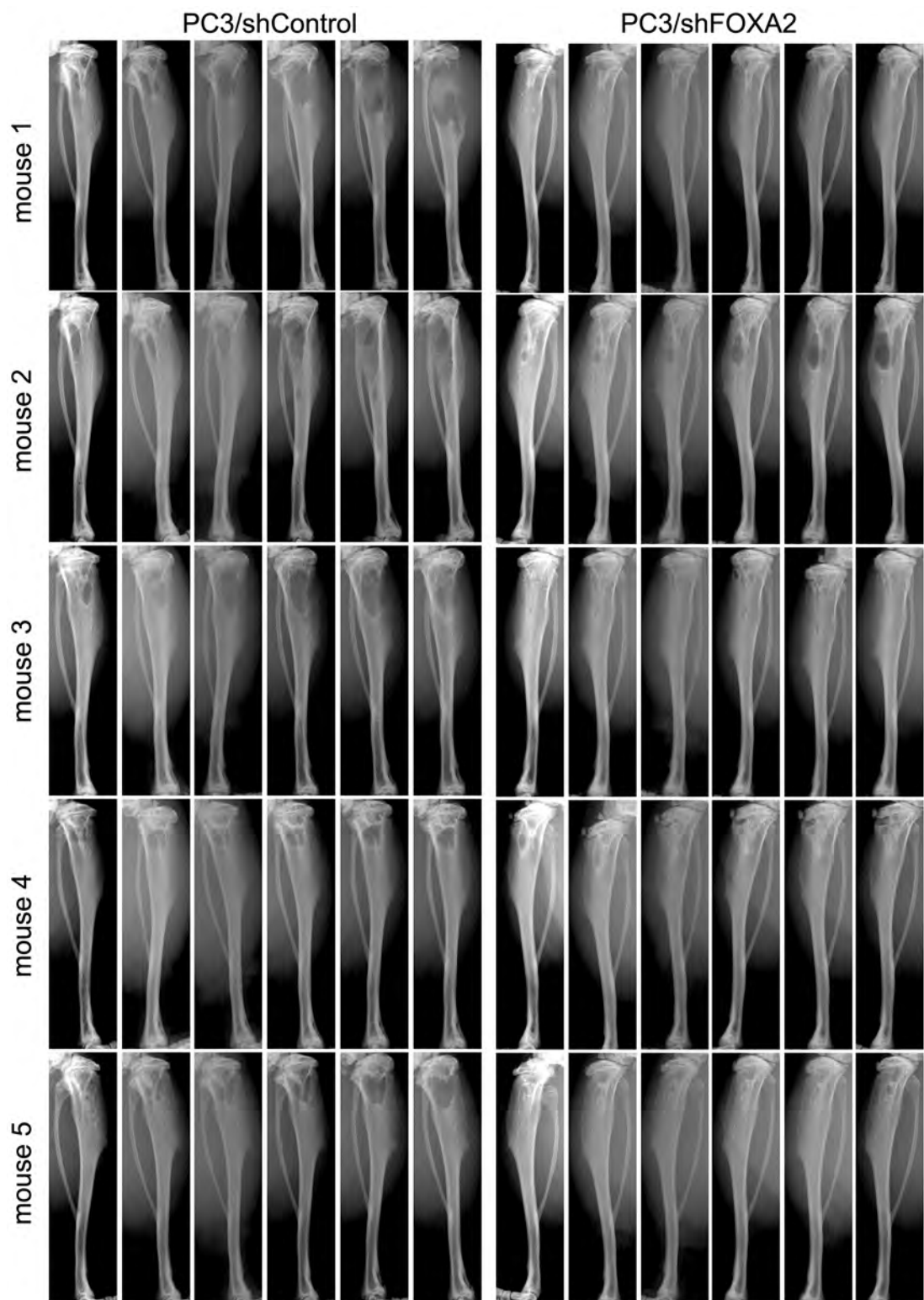


Fig. 5

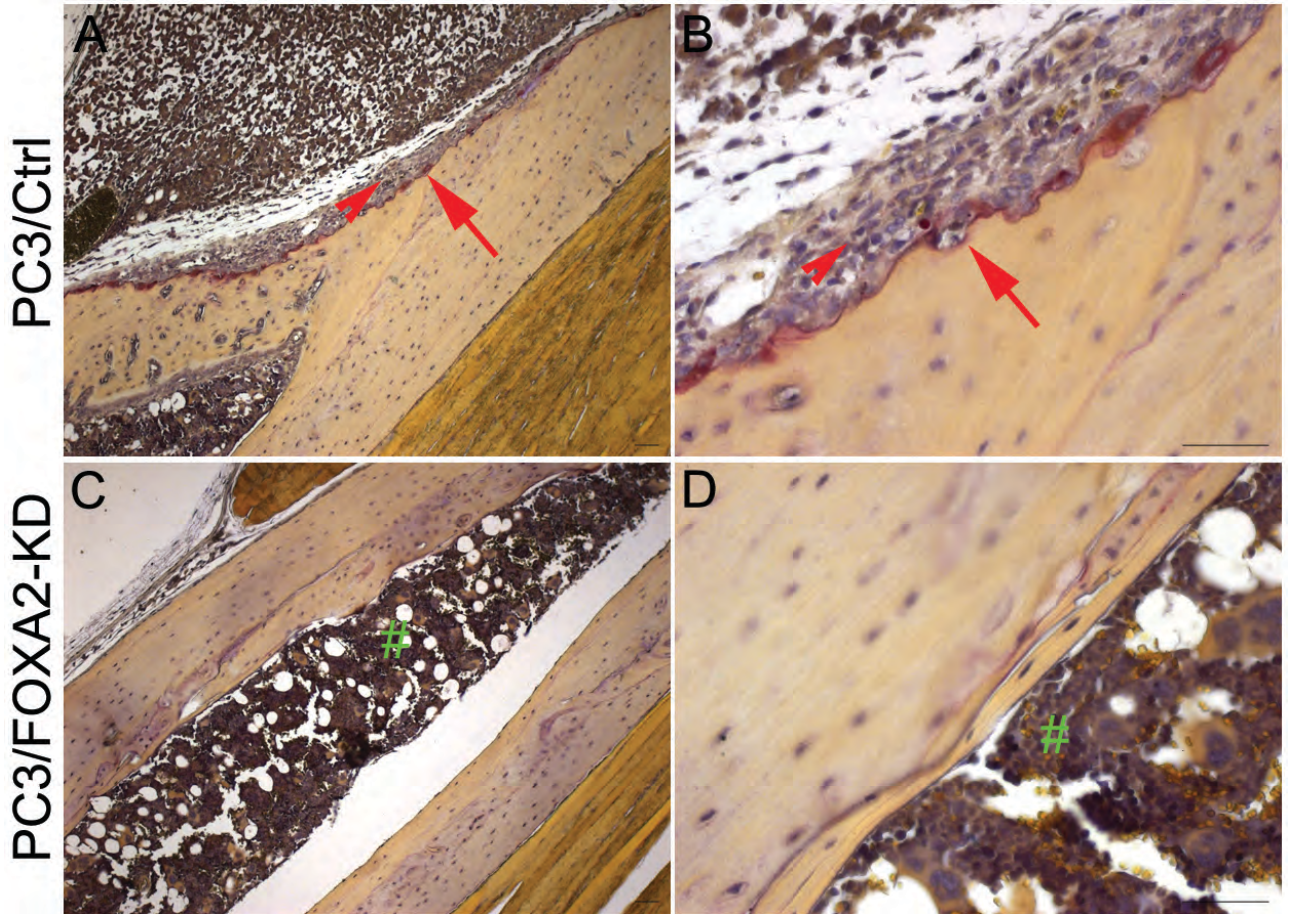


Fig. 6

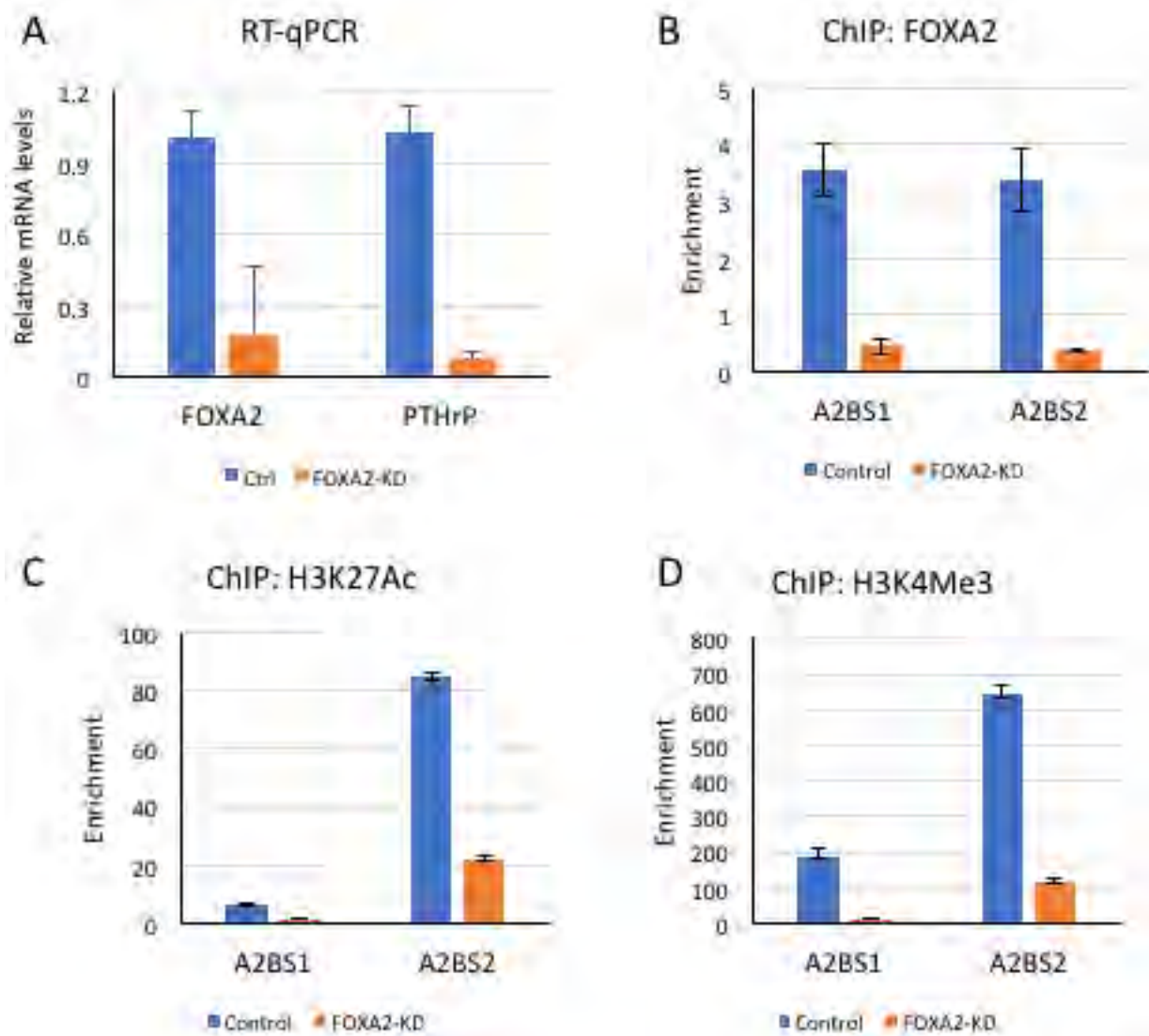
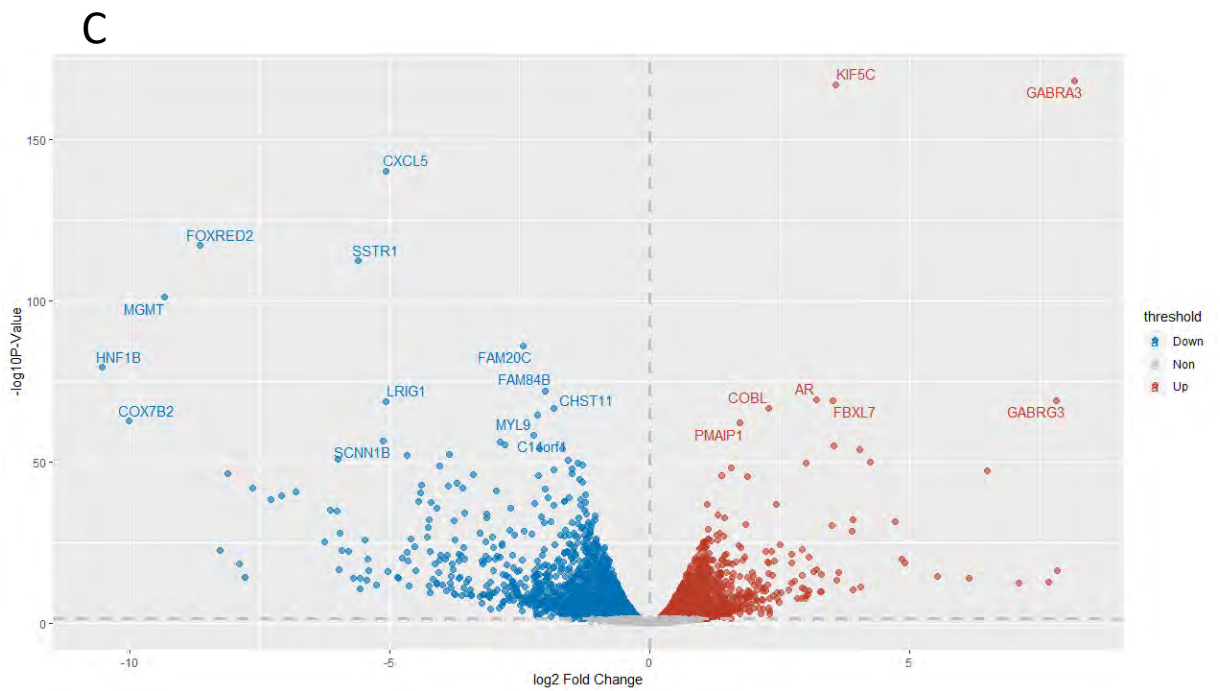
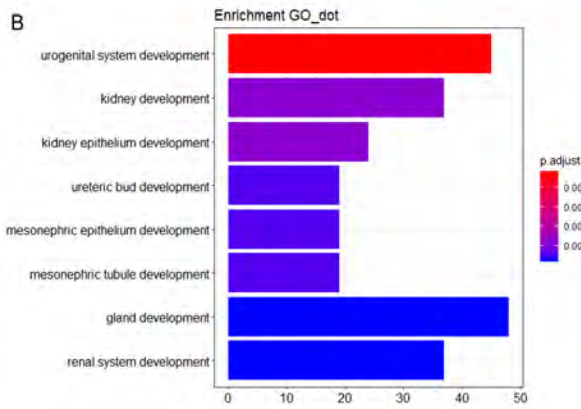
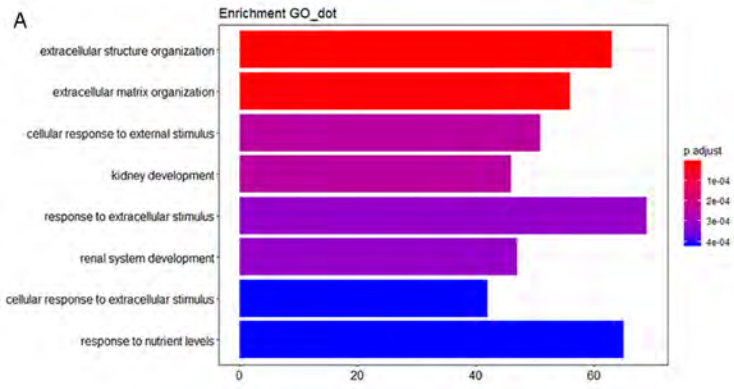


Fig. 7



1 FOXA2 Regulates Integrin α 1 Expression Facilitating Prostate
2 Cancer Bone Colonization

3

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12

13 Running Title: FOXA2/integrin axis in Prostate Cancer Bone
14 Metastasis

15 **Key words:** FOXA2, integrin, prostate cancer, bone metastases,
16 collagen I

17

18

19 **Abstract**

20 Metastasis to the bone is a major cause of mortality in PCa patients.
21 Understanding the mechanisms by which the PCa cells grow in the
22 bone is critical for the development of new therapeutic approaches
23 for the treatment of advanced PCa. Forkhead box A2 (FOXA2) is
24 expressed in a subset of PCa bone metastases. FOXA2 knockdown
25 (KD) decreases PCa-induced bone lesions. In this study, we
26 explored the mechanisms that mediated FOXA2's pro-metastasis
27 function. We found FOXA2-KD decreased the mRNA and protein
28 levels of the collagen I-binding integrin $\alpha 1$ in PCa cells. Chromatin
29 immunoprecipitation assay demonstrated that FOXA2 binds directly
30 to the regulatory region of the integrin $\alpha 1$ (*ITGA1*) gene, indicating
31 that FOXA2 regulates the expression of *ITGA1*. Consistent with the
32 decreased levels of integrin $\alpha 1$, FOXA2-KD cells exhibited reduced
33 adhesion, spreading, and integrin signaling on a cell culture surface
34 coated with collagen I. Blocking integrin $\alpha 1$ signaling with a
35 neutralizing antibody reproduced the decreased adhesion
36 phenotype of PC3/FOXA2-KD cells. Inversely, overexpression of
37 integrin $\alpha 1$ rescued adherence properties. Taken together, these
38 results show that FOXA2 upregulates expression of integrin $\alpha 1$ in
39 PCa cells, promoting adherence to extracellular matrix in bone
40 tissues.

41 Keywords: FOXA2, Integrin $\alpha 1$, Prostate Cancer, Bone

42

43

44 **Introduction**

45 Heavily treated PCa acquires neuroendocrine (NE)
46 phenotype. Recent studies indicate that after PCa becomes
47 resistant to the second-generation anti-androgens, NE
48 differentiation is observed in 20-30% of PCa cases (5). NEPCa
49 cells are highly aggressive and metastatic. They lose prostate
50 epithelial differentiation and express no or low levels of AR and AR
51 targets, thus NEPCa cells are resistant to androgen deprivation
52 therapy. A subset of NEPCa patients develop bone metastases (6).
53 Understanding the mechanisms by which PCa cells become
54 established in the bone is important for the identification of new
55 targets to treat PCa bone metastases.

56 FOXA2 is a forkhead transcription factor that is expressed
57 in both human and mouse NEPCa. We have recently shown that
58 PC3 cells, an aggressive PCa cell line that display NEPCa features,
59 highly express FOXA2(15). Knocking down FOXA2 in PC3 cells
60 resulted in a decreased bone lesions following intra-tibial injection.
61 In this study, we aimed to determine the mechanisms that mediate
62 FOXA2's function in promoting PCa bone metastases.

63 Tropism of metastatic cells, a key component of cancer site-
64 specific homing, is primarily accredited to expression of specific
65 integrin molecules. Integrins are a family of adhesion proteins that
66 mediate interactions of cells with extracellular matrix (12, 13).
67 Stromal adherence is particularly critical for metastatic cells to
68 survive and proliferate in a new microenvironment. The integrin
69 family of genes consists of 18 ITGA and 8 ITGB genes encoding 18

70 α and 8 β monomer proteins, which form 24 different $\alpha\beta$
71 heterodimers (12, 13). Extracellular matrix (ECM) proteins,
72 including laminin, collagen, fibronectin, and vitronectin directly
73 interact with integrins (12, 13). Upon binding to ECM proteins,
74 integrins dimerize, resulting in the activation of various signaling
75 cascades involved in the regulation of cell adhesion, survival,
76 migration, wound healing, and cell differentiation (12, 13). Integrins
77 $\alpha1\beta1$, $\alpha2\beta1$, $\alpha10\beta1$ and $\alpha11\beta1$ are known to interact with collagen
78 I (14), a major component of bone ECM. Understanding the
79 regulation of integrins and their roles in the communication between
80 cancer cells and bone ECM may provide important information for
81 preventing the establishment of PCa bone metastasis.

82 In this study, using the PC3/FOXA2-KD cells, we
83 investigated how FOXA2 regulates expression of integrin $\alpha1$ to
84 promote cellular adhesion and spreading on bone ECM proteins.
85 This study provides the first evidence on the role of FOXA2/integrin
86 $\alpha1$ axis in NEPCa bone metastases.

87 **Results**

88 *FOXA2 regulates the expression of integrin $\alpha1$*

89 Three monoclonal lines were established to knock down the
90 expression of FOXA2 in PC3 cells. As shown in Figs. 1A & 1B, the
91 expression of FOXA2 was decreased at both the mRNA and protein
92 levels. We have previously shown that FOXA2-KD decreased PCa-
93 mediated bone destruction. We have also conducted RNA-Seq
94 experiments to identify the genes whose expression was altered by
95 FOXA2 inactivation. We found the expression of multiple integrins

96 decreased in the FOXA2-KD cells (Fig. 1C). RT quantitative (q)-
97 PCR confirmed a significant reduction in mRNA expression of
98 integrins $\alpha 1$, $\alpha 7$, αv , $\beta 2$, $\beta 3$, and $\beta 4$ (Fig. 2A).

99 Integrin $\alpha 1$ is of special interest because it binds to collagen
100 I, a major component of the extracellular matrix in bone. Western
101 blot results confirmed lower levels of integrin $\alpha 1$ in PC3/FOXA2-KD
102 cells compared to PC3/Control cells (Fig. 2B).

103 FOXA2 is a pioneer transcription factor; therefore, we
104 evaluated whether FOXA2 is recruited to the regulatory region of
105 *ITGA1*. These results revealed enrichment of FOXA2 at both
106 predicted FOXA2-binding sites on the *ITGA1* regulatory region in
107 PC3/Control cells, but FOXA2 occupancy was significantly
108 decreased in PC3/FOXA2-KD cells compared to PC3/Control cells
109 (Fig. 2C). Concurrent with the reduced FOXA2 binding, binding of
110 H3K27AC3, the histone marker for active enhancers, and
111 H3K4me3, the marker for active promoters, were also decreased
112 (Fig. 2C). Together, these results indicate that FOXA2 directly
113 regulates *ITGA1* expression in PC3 cells.

114

115 *FOXA2 knockdown reduces integrin signaling in PCa cells,*
116 *resulting in decreased ability to spread on and adhere to collagen I*

117 Integrin binding to ECM activates multiple intracellular
118 signaling cascades to promote cell survival. Therefore, we next
119 evaluated the effects of FOXA2 knockdown on adhesion to multiple
120 matrix proteins, including collagen I, collagen IV, laminin,
121 vitronectin, and fibronectin and assessed the downstream integrin

122 signaling. FOXA2 knockdown resulted in a significant decrease in
123 PC3 cells adhesion to collagen I (Supp. Fig. 1), concomitant with
124 decreased expression of integrin $\alpha 1$ (Figs. 2A & 2B). However,
125 adhesion to collagen I was not fully abolished following FOXA2
126 knockdown. In addition to $\alpha 1$, there are four additional α integrins
127 ($\alpha 1$, $\alpha 2$, $\alpha 10$, and $\alpha 11$) that bind to collagen I and mediate adhesion.
128 While our qPCR results show PC3 cells express little to no integrins
129 $\alpha 10$ and $\alpha 11$, they express high levels of Integrin $\alpha 2$. However, we
130 failed to detect alterations in $\alpha 2$ expression following FOXA2
131 knockdown, providing plausible mechanism of retained adhesion of
132 PC3/FOXA2-KD cells to collagen I (Fig. 2B).

133 Additionally, we examined whether FOXA2 knock down
134 alters spreading of PC3 cells. Phalloidin staining was used to
135 visualize the surface area of the cells. FOXA2 knockdown
136 decreased the spreading of PC3 cells (Fig. 3).

137 An important event during the cell adherence/spreading is
138 formation of focal adhesion complexes that are assembled by
139 integrins and FAKs as well as other signaling proteins at the leading
140 edge of cells. Therefore, we assessed whether FOXA2 knockdown
141 affects the formation of focal adhesions. Phosphorylated FAK
142 (pFAK at Tyr 397), an indicator of active focal adhesion, was
143 detected as early as in 30 min in PC3/Control cells (Fig. 3), whereas
144 the PC3/FOXA2-KD cells did not display any pFAK by 120 min. To
145 determine whether focal adhesions eventually form in PC3/FOXA2-
146 KD cells, we extended the time course to 3, 6, and 12 hr. The results

147 revealed the loss of pFAK foci in PC3/FOXA2-KD cells even 12
148 hour post seeding (Fig. 4).

149 Integrin β 1 is the binding partner of integrin α 1. Upon ligand
150 binding, integrins α 1 and β 1 dimerize and initiate the formation of
151 the focal adhesion complex. Therefore, we next examined the co-
152 localization of integrin β 1 (12G10 staining) with pFAK. The results
153 in Fig. 4 showed that PC3/Control cells, but not PC3/FOXA2-KD
154 cells, displayed co-staining of integrins β 1 at the pFAK foci, further
155 supporting that the loss of FOXA2 prevents the formation of focal
156 adhesion complexes and interferes with the signaling cascades
157 involved in cell spreading.

158 Next, we examined whether this phenomenon was specific
159 to the collagen I matrix. The results showed when PC3 cells were
160 seeded on fibronectin, neither the PC3/Control nor the
161 PC3/FOXA2-KD cells showed any pFAK foci or spreading on
162 fibronectin (Supp. Fig. 2). Together with the decreased adhesion of
163 PC3 cells on collagen IV, fibronectin, laminin, and vitronectin (Supp.
164 Fig. 6), these data support that FOXA2 promotes the formation of
165 pFAK foci and the adhesion/spreading of PCa cells on collagen I
166 matrix and that FOXA2-modulated PCa/matrix interaction is
167 collagen-specific.

168 *Blocking integrin α 1 decreases PC3 cells adhesion to collagen I*

169 To determine whether integrin α 1 is involved in facilitating
170 the adhesion of PC3 cells to collagen I, we used an α 1 neutralizing
171 antibody to block integrin α 1 signaling. As shown in Fig. 5,
172 approximately 75% and 85% PC3/Control cells adhered to the

173 matrix at 15 and 30 min post-seeding, respectively, compared to
174 only 50% and 60% of FOXA2-KD PC3 cells at the same time points.
175 Incubation of PC3/Control cells with a neutralizing antibody
176 recapitulated the adhesion profile observed with the PC3/FOXA2-
177 KD cells (Fig. 5).

178 Lastly, we examined whether the matrix-coated surface
179 adhesion results can be repeated in the context of cell-to-cell
180 adhesion. PC3 cells were seeded onto confluent osteoblastic
181 MC3T3 cell monolayers. Similar to what was observed with the
182 adhesion to collagen I, 42 and 57 % of PC3/Control cells attached
183 to MC3T3 cells at 15 and 30 min, respectively. PC3/FOXA2-KD
184 cells adhered at lower rates of 21 and 25% at the same times
185 (Suppl. Fig. 3).

186 *Overexpression of integrin $\alpha 1$ restores adhesion and spreading of*
187 *PC3/FOXA2-KD cells.*

188 To determine whether integrin $\alpha 1$ is the main mediator of
189 FOXA2-induced cell adherence, we established PC3/FOXA2-KD
190 ITGA1-overexpressing (PC3/FOXA2-KD/ITGA1) cells and
191 examined whether the restoration of integrin $\alpha 1$ expression in
192 PC3/FOXA2-KD cells rescued cellular adhesion properties. Integrin
193 $\alpha 1$ was overexpressed in both PC3/FOXA2-KD clones I and III.
194 GFP-expressing plasmids were used as controls. Western blot and
195 RT-qPCR analysis confirmed that integrin $\alpha 1$ was overexpressed at
196 both the transcript and protein levels (Figs. 6A & 6B).
197 Overexpression of integrin $\alpha 1$ rescued the cells ability to adhere to
198 collagen I (Fig. 6C) and restored focal adhesion (Fig. 6D).

199 **Discussion**

200 Metastasis is the main contributor to mortality in men with
201 advanced prostate cancer (PCa); and bone is the most common
202 site of PCa metastasis (1-4). Following the extravasation of PCa
203 cells, successful establishment of bone metastases requires
204 efficient adhesion of PCa cells to the bone matrix, enabling PCa
205 cells to acquire a foothold in the bone and initiate the vicious cycle
206 of bone destruction and cancer proliferation. We recently found that
207 the expression of FOXA2 is critical for PCa PC3 cells to grow in the
208 bone. In this study, we found knocking down FOXA2 in PC3 cells
209 decreased the expression of multiple integrins, including ITGA1, a
210 collagen 1 binding integrin, resulting in disrupted focal adhesion
211 signaling in PCa cells and decreased ability to attach to and spread
212 on collagen I. These results revealed an important role of FOXA2
213 and integrin α 1 axis in promoting the establishment of PCa in the
214 bone.

215 Integrins play a pivotal role in the interactions between PCa
216 cells and extracellular matrix, a critical step for the establishment of
217 cancer metastases (12). Our work is the first to show that FOXA2
218 regulates the expression of integrin α 1, which in turn activates
219 integrin signaling, promotes interaction of PCa cells with the bone
220 ECM protein collagen I, and enables PCa cells to grow in bone
221 tissue. However, we did not observe complete loss of adhesion to
222 collagen I in FOX2-KD cells, possibly due to the remaining
223 expression of integrin α 1 and the unchanged expression of integrin
224 α 2 that is also involved in promoting cancer bone metastasis (18-

225 20). Additionally, our data indicate that the mRNA levels of multiple
226 integrins, including integrins αv and $\beta 3$, decreased in PC3/FOXA2-
227 KD cells. Integrin dimer $\alpha v \beta 3$ has also been shown to mediate
228 interaction between cancer cells and bone matrix (21). This
229 suggests that FOXA2 could control PCa interaction with bone
230 matrix via multiple mechanisms. Nonetheless, our findings provide
231 direct evidence for the role of FOXA2 in promoting interaction of
232 PCa cells with the bone microenvironment via regulation of integrin
233 $\alpha 1$ expression.

234 Involvement of integrin $\alpha 1$ in the interaction between PCa
235 and the bone matrix are consistent with a previous study that
236 showed that PC3 cells express high levels of integrin $\alpha 1$ and
237 demonstrate a high binding affinity to a collagen I matrix (22).
238 However, the functional involvement of integrin $\alpha 1$ in PCa bone
239 metastasis had not yet been established. Our study contributes to
240 this line of work and reveals integrin $\alpha 1$ as a key regulator for
241 mediating FOXA2 function in promoting the establishment of PCa
242 in the bone microenvironment.

243 Our study revealed a mechanism that FOXA2-controlled
244 integrin $\alpha 1$ promotes the interaction between NEPCa and bone
245 microenvironment. As for FOXA2-negative PCa bone metastases,
246 different mechanism(s), such as the above-mentioned integrin $\alpha 2$,
247 may mediate interactions between PCa cells and bone matrix.
248 Integrin $\alpha 2$ expression is found on LNCaP and C4-2B cells, which
249 have been shown to successfully grow in the bone matrix as well
250 (20).

251 Besides bone, FOXA2-regulated integrin expression may
252 play roles in other metastatic sites. For example, collagen I is one
253 of the ECM components and the most abundant collagen in liver
254 (31). We speculate that FOXA2 regulated integrin α 1 may also play
255 a role in the establishment of PCa metastases in liver.

256 In conclusion, in this study, we identified integrin α 1 as a
257 mediator of FOXA2's involvement in promoting PCa interaction with
258 bone matrix and PCa establishment in the bone. Understanding
259 how PCa interacts with the bone environment provides important
260 information that can be used to design therapies to prevent PCa
261 bone metastasis.

262

263 **Material and Methods**

264 Cell culture and establishment of PC3 FOXA2 knockdown cells

265 The culture and establishment of PC3 FOXA2 knockdown cells
266 were described previously. Because FOXA2 knockdown efficiency
267 gradually decreased, three single clones were generated from
268 PC3/shFOXA2 cells and designated as PC3/FOXA2-KD I, -II, and -
269 III. ITGA1 over-expressing cells were established using lenti-viral
270 ITGA1 plasmid. GFP plasmid was used as negative control.

271 RNA isolation and real-time PCR

272 RNA was extracted using Purelink RNA Mini Kit following
273 manufacturer's protocol (Ambion, Life Technologies, Waltham,
274 MA). For reverse transcription, 1 μ g of total RNA was used for
275 cDNA synthesis. Quantitative (q)-PCR was conducted to assess

276 the expression levels of FOXA2 and the indicated α and β
277 Integrins (34). Gene expression was normalized by GAPDH.

278 Western blot analysis

279 Cells were lysed as described previously. Antibodies used were
280 listed in Table 1.

281 Cellular adhesion and spreading assays

282 Cell culture surfaces were coated with ECM proteins, including
283 collagen I (50 $\mu\text{g}/\text{mL}$), collagen IV (20 $\mu\text{g}/\text{mL}$), fibronectin (5 $\mu\text{g}/\text{mL}$),
284 vitronectin (20 $\mu\text{g}/\text{mL}$), or laminin (25 $\mu\text{g}/\text{mL}$) overnight. Cells were
285 trypsinized, washed with media containing 10% FBS followed by
286 two washes with serum-free media. The cells were re-suspended
287 in serum-free media and incubated with CellTracker Green CMFDA
288 (12.5 $\mu\text{g}/\text{mL}$) (Thermo Fisher Scientific, Waltham, MA) at 37 °C for
289 30 minutes. At completion of incubation, cells were washed to
290 remove excess fluorophore, seeded on a specific matrix for 15 or
291 30 min. Floating and adherent cells were collected separately and
292 lysed in 100 mM sodium hydroxide. The fluorescence intensity of
293 the cell lysates was read, and percent adhesion was calculated.

294 The adhesion assays were also conducted by seeding PC3 cells
295 onto bone cells. Pre-osteoblastic MC3T3 cells were seeded and
296 grown into a monolayer for 48 hours to allow for 100% confluency
297 and the secretion of bone matrices (35). Cellular adhesion assays
298 were repeated under the same conditions as described above. To
299 study the involvement of integrin $\alpha 1$ in mediating PC3 cells
300 adhesion to collagen, neutralizing antibody to integrin $\alpha 1$ (15 $\mu\text{g}/\text{ml}$,

301 EDM Millipore, Burlington, MA) was incubated with cells for an
302 additional 30 min after CellTracker Green CMFDA was washed out.
303 IgG was used as a negative control. For spreading assays, cells
304 were seeded onto the collagen I and then stained with Phalloidin to
305 allow visualization of the surface area of the cells. Phosphorylated
306 Focal Adhesion Kinases (pFAKs at Tyr 397) staining and co-
307 localization of integrin β 1 (12G10 staining, Martin Humpries,
308 University of Manchester, UK) were used to evaluate the formation
309 of focal adhesion complexes. The time course ranged from 15 min
310 to 12 hr.

311 Chromatin immunoprecipitation assays

312 Chromatin immunoprecipitation was conducted as described
313 previously using the EDM Millipore Magna ChIP kit (Burlington,
314 MA). Based on the public available ChIP-seq data (36), there are
315 two FOXA2 binding sites in the regulatory region of the integrin α 1
316 gene, designated as A2BS1 and A2BS2. Primers were designed
317 to cover both FOXA2 binding sites. Primer sequences are A2BS1
318 (Forward: 5'-GATTTGGCCCGGAGAACGAG-3' and Reverse: 5'-
319 CTTCGCGGGTCCGTGTTTAG-3') and A2BS2 (Forward: 5'-
320 GGCCATCGACTTCGACTCTC-3' and Reverse: 5'-
321 CCAGCTCGATGGTGTGGTAA-3'). FOXA2 enrichment was
322 normalized to IgG.

323 Immunofluorescence staining

324 PC3/Control and PC3/FOXA2-KD cells were cultured on collagen I
325 or fibronectin for the given amount of time per experiment. Cells

326 were fixed in 4% formaldehyde followed by incubation with primary
327 antibody overnight at 4°C and secondary antibody (1:1000) for 2
328 hours at room temperature. DAPI was used to visualize cell nuclei.
329 Slides were mounted with Fluoromount G (SouthernBiotech,
330 Birmingham, AL) and staining was imaged at 60x magnification
331 using a Nikon microscope. Phalloidin staining images were used to
332 quantify cell surface area by using Nikon software, following
333 appropriate mask application. Data are presented as ROI and
334 scaled to μM^2 . Antibodies are listed in Table 1.

335 Statistical analysis

336 Statistical analyses were conducted using a two-sided Student's t
337 test and a p-value of 0.05 was considered statistically significant.

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340 research and critical reading of the manuscript, Dr. Martin
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350 **Conflict of Interest**

351 We declare no conflicts of interest.

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480

481

482 **Figure 3. FOXA2 knockdown decreases the expression of**
483 **multiple integrins in PC3 cells.** A: RT-qPCR analyses were
484 conducted to assess the expression levels of FOXA2 in
485 PC3/Control cells and three PC3/FOXA2-KD cell clones. All three
486 clonal lines demonstrated reduced mRNA levels compared to the
487 PC3/Control cells. **B.** Western blot analysis confirmed loss of
488 FOXA2 expression in PC3/FOXA2-KD cells. β -actin served as the
489 loading control. **C.** The expression of integrins in PC3/Control and
490 PC3/FOXA2-KD cells. Log₂ expression levels were extracted from
491 RNA-seq data.

492

493 **Figure 2. FOXA2 regulates the expression of ITGA1.** A. RT-
494 qPCR to assess the expression of integrins. FOXA2 knockdown
495 caused a decrease in the mRNA levels of integrins α 1, α 7, α v, β 2,
496 β 3, and β 4. * $p < 0.05$, ** $p < 0.01$, t-test. Error bars reported as
497 standard deviation. **B.** Western blot to assess the expression of
498 integrins α 1 and α 2 in PC3/Control cells and three independent
499 FOXA2 knockdown clones (PC3/FOXA2 KD I, II, III). The level of
500 integrin α 1 was lower in PC3/FOXA2-KD cells than PC3/Control
501 cells. However, FOXA2 knockdown did not change the expression
502 level of integrin α 2. **C.** Chromatin Immunoprecipitation analysis.
503 ChIP assays were conducted by using PC3/Control and
504 PC3/FOXA2-KD cells. FOXA2 bound to both FOXA2 binding sites
505 (A2BS1 & A2BS2) in the regulatory region of the ITGA1 gene.
506 Additionally, H3K4me3 (histone mark of active promoters) and

507 H3K27Ac3 (histone mark of active enhancers) were enriched in the
508 ITGA1 regulatory regions.

509

510 **Figure 3. FOXA2 knockdown prevents cellular spreading and**
511 **the activation of focal adhesion kinase.** PC3/Control and
512 PC3/FOXA2-KD cells were seeded on collagen-I for 15, 30, 60, or
513 120 minutes. Immunofluorescence staining was conducted to
514 visualize the cellular cytoskeleton (Phalloidin, green) or
515 phosphorylated FAK (pFAK at Tyr 397, red). DAPI (blue) was used
516 to visualize the nuclei. As early as 30 minutes, PC3 Control cells
517 started to spread out and displayed the formation of focal
518 adhesions. PC3/FOXA2-KD cells failed to spread out on the
519 collagen-I surface and pFAK foci were absent at the cell membrane.

520

521 **Figure 4. Integrin α 1 is involved in PC3 cells' adhesion and**
522 **integrin activation on collagen I.** Immunofluorescence staining
523 was conducted to examine the co-localization of phosphorylated-
524 FAK (pFAK, green) and integrin β 1 (12G10, Red) in PC3/Control
525 and PC3/FOXA2-KD cells that were seeded on Collagen I. PC3
526 control cells displayed co-localization of pFAK and integrin β 1
527 starting at 3 hours, whereas PC3/FOXA2-KD cells did not show any
528 co-localization of pFAK and integrin β 1 at the time points examined.

529 **Figure 5. Blocking integrin α 1 decreases PC3 cells' adherence**
530 **to collagen I.** PC3/Control and PC3/FOXA2-KD cell were seeded
531 on collagen-I coated surfaces for 15 or 30 minutes. Compared to
532 control cells, PC3/FOXA2-KD cells displayed decreased cell

533 adherence. Furthermore, incubating PC3 control cells with
534 neutralizing antibodies to integrin α 1 recapitulated the adherence
535 profile observed in the FOXA2-KD cells. ns: not significant, * $p <$
536 0.05, t-test. Error bars reported as standard deviation.

537

538 **Figure 6. Integrin α 1 overexpression in PC3/FOXA2-KD cells**

539 **restores their adhesion properties. A & B.** RT-qPCR (A) and

540 Western blot (B) to assess the expression levels of ITGA1 in PC3

541 cells. **C.** Cellular adherence assays. The cells were seeded on

542 collagen I for 15 or 30 minutes. Integrin α 1 overexpression restored

543 cellular adherence. * $p < 0.05$, t-test. Error bars reported as standard

544 deviation. **D.** Integrin α 1 overexpression restored focal adhesions.

545 The cells were seeded on collagen I for 30 minutes. Activated focal

546 adhesion was visualized by the co-localization of phosphorylated-

547 FAK (pFAK, green) and integrin β 1 (12G10, Red). PC3/Control cells

548 displayed co-localization of active integrin β 1 with substantial pFAK.

549 In the PC3/FOXA2-KD cells, both pFAK and active integrin β 1 foci

550 were lost. However, co-localization of pFAK/integrin β 1 was

551 restored in the PC3/FOXA2-KD/ITGA1 overexpressing cells.

552

553 **Supplemental Figure 1.** Cell adherence of PC3 cells on various

554 ECM proteins. PC3/Control and PC3/FOXA2-KD cells were seeded

555 on different extracellular matrices for 30 minutes. The percentage

556 of cells adhered to each matrix was assessed. PC3/Control and

557 PC3/FOXA2-KD cells did not display statistically significant

558 difference in their adherence to the cell culture surface coated with

559 Collagen IV, Fibronectin, Laminin, or Vitronectin. Error bars
560 reported as standard deviation.

561

562 **Supplemental Figure 2.** Cell spreading analysis. **A & B.**

563 PC3/Control and PC3/FOXA2 KD cells were seeded on fibronectin

564 for 15, 30, and 60 minutes. Cells were fixed and stained for

565 Phalloidin (F-actin) and p-FAK Y397. Neither types of cells could

566 spread or activate integrin signaling on fibronectin. Quantitation of

567 cell surface area from Phalloidin stain is shown below for each time

568 point. **C & D.** cell spreading assays on collagen I. More PC3/Control

569 cells were able to spread and activate integrin signaling on Collagen

570 I compared to PC3/FOXA2-KD cells. Quantitation of cell surface

571 area from Phalloidin stain is shown below for each time point.

572

573 **Supplemental Figure 3.** FOXA2 promotes cellular adhesion to

574 bone cells. MC3T3 cells were seeded in a monolayer and allowed

575 to grow into 100% confluency. PC3/Control and PC3/FOXA2-KD

576 cells were seeded on top of the MC3T3 cells for 15 or 30 minutes.

577 Significantly more PC3/Control cells (56%) adhered to bone cells

578 compared to PC3/FOXA2-KD cells (27%) at 30 minutes. *p<0.05,

579 t-test.

580 **Tables**

581 Table 1. List of antibodies

Catolog Number	Antigen	Dilution	Application
SC 271034	Integrin α 1	1: 500	Western Blot
SA 05-246	Integrin α 1	1 μ g/ml	Neutralizing

SC 9089	Integrin α 2	1: 1000	Western Blot
CS 8556	pFAK	1:400	IF
Martin Humphries	12G10	1:400	IF
A12379	Phalloidin	1:1000 (secondary)	IF

582
583

Fig. 1

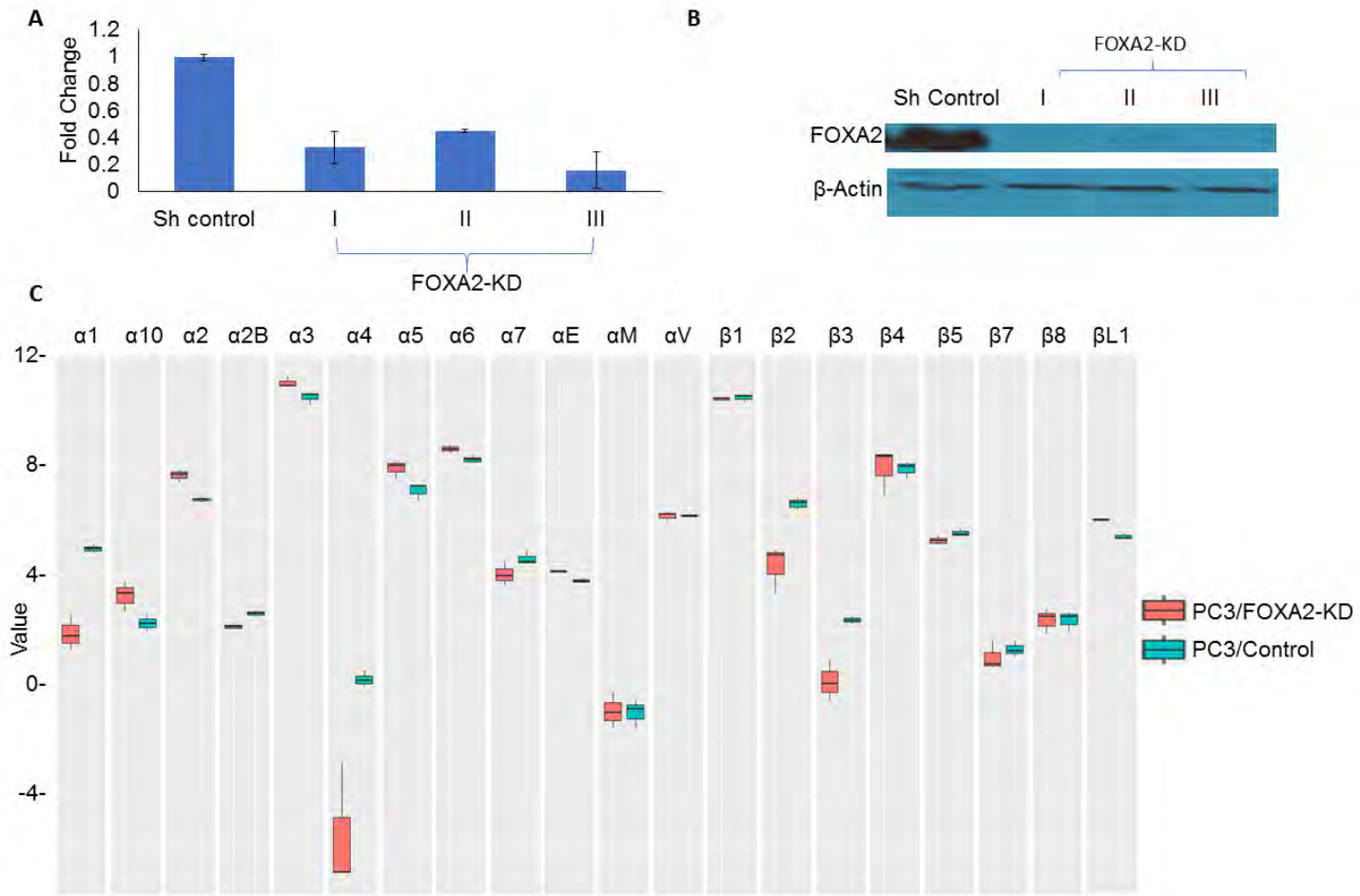


Fig. 2

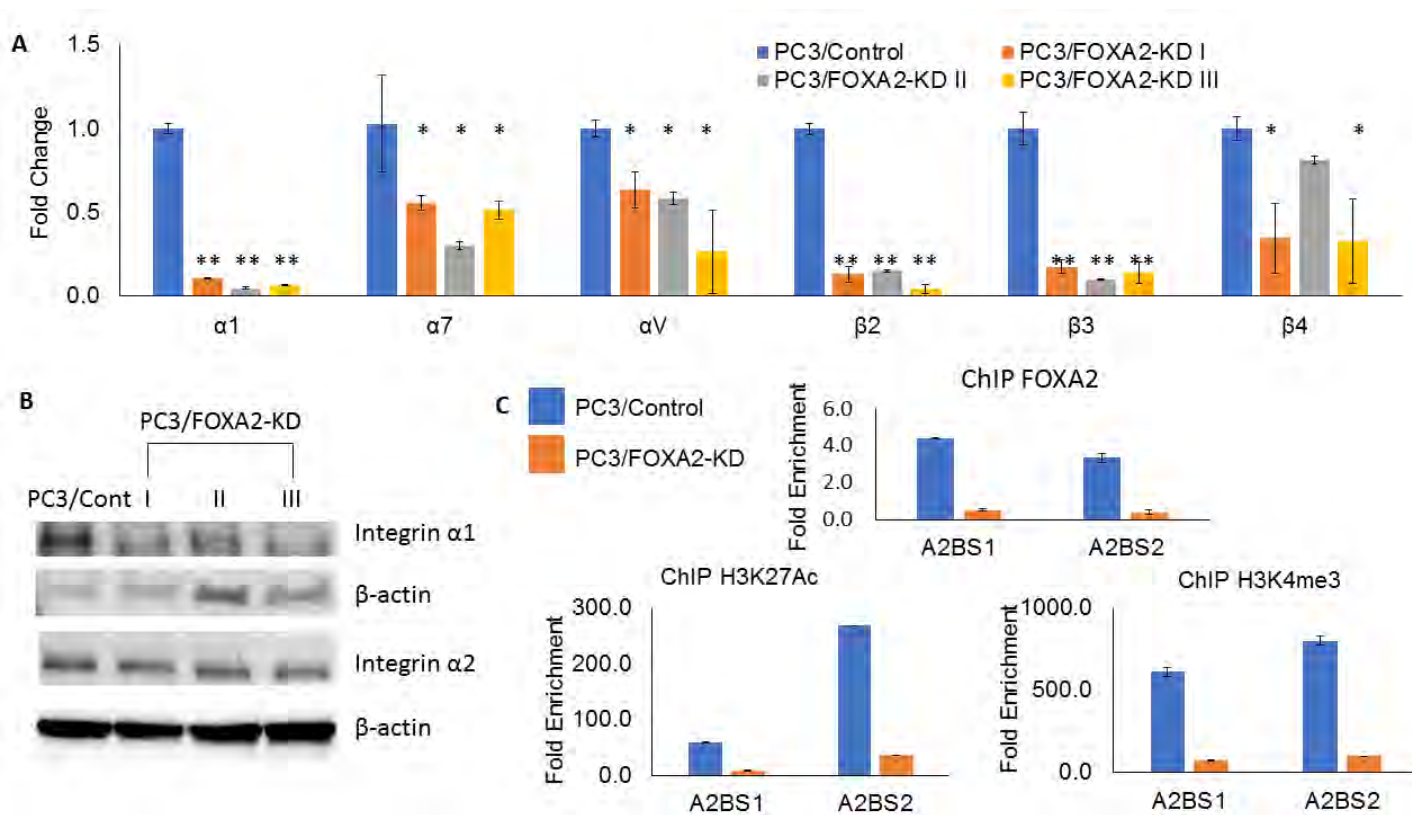


Fig. 3

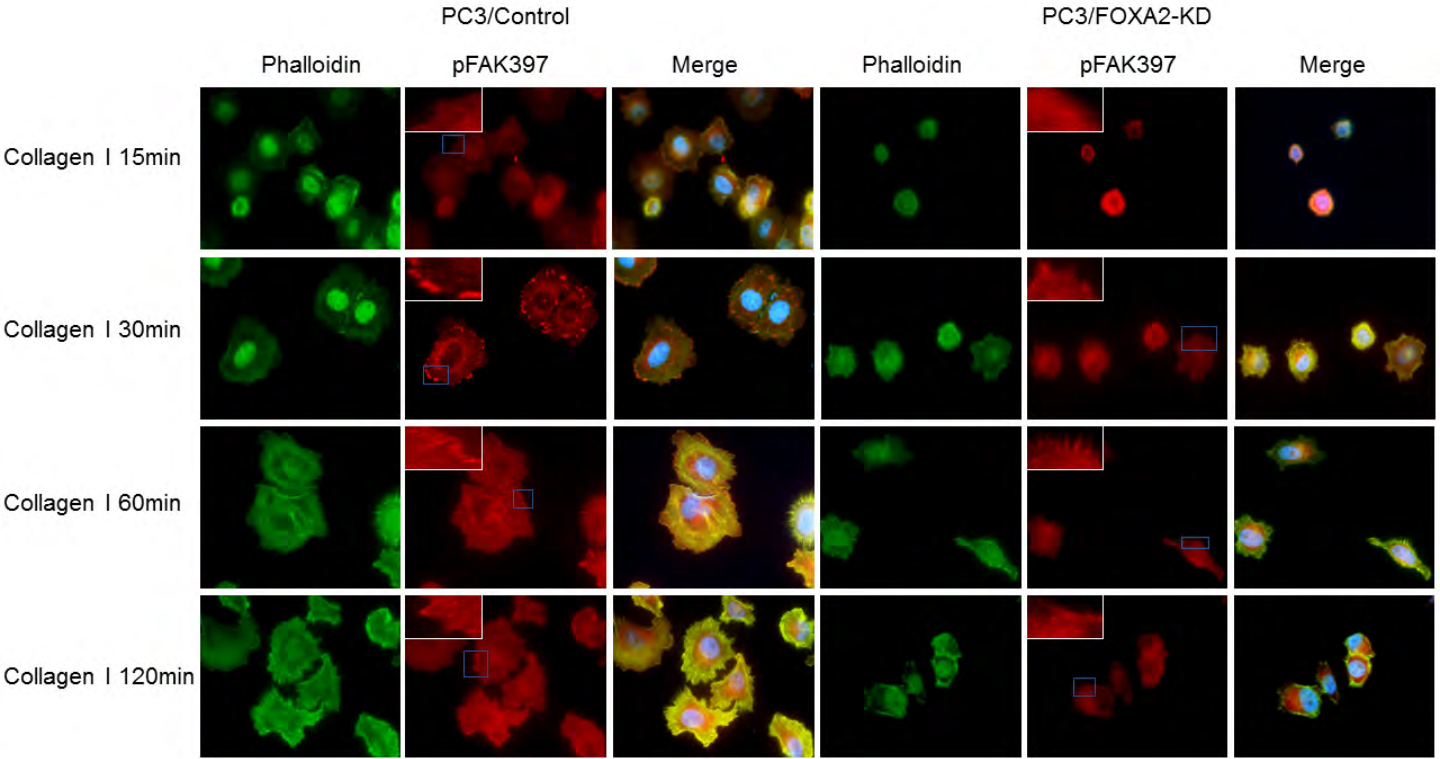


Fig. 4

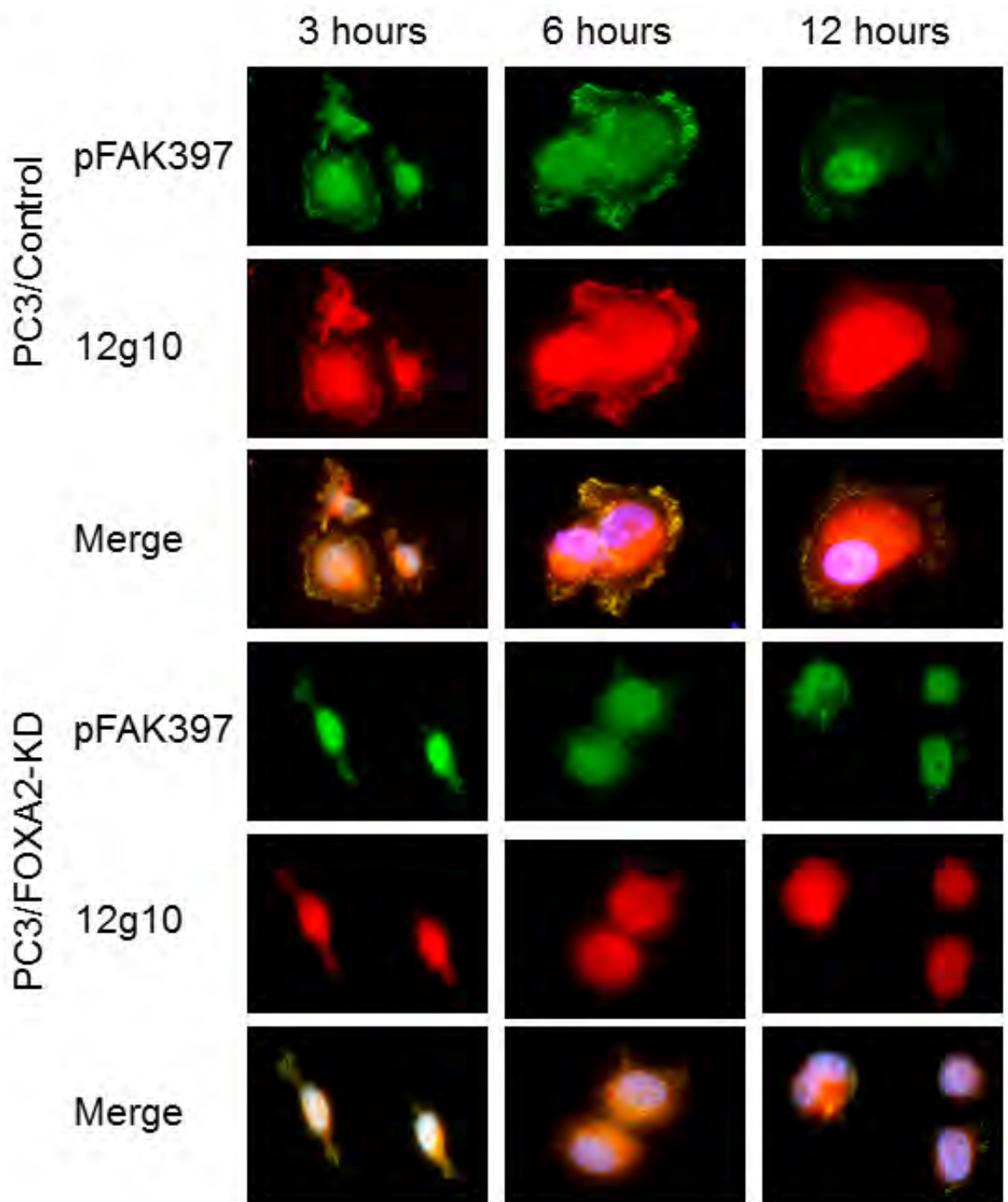


Fig. 5

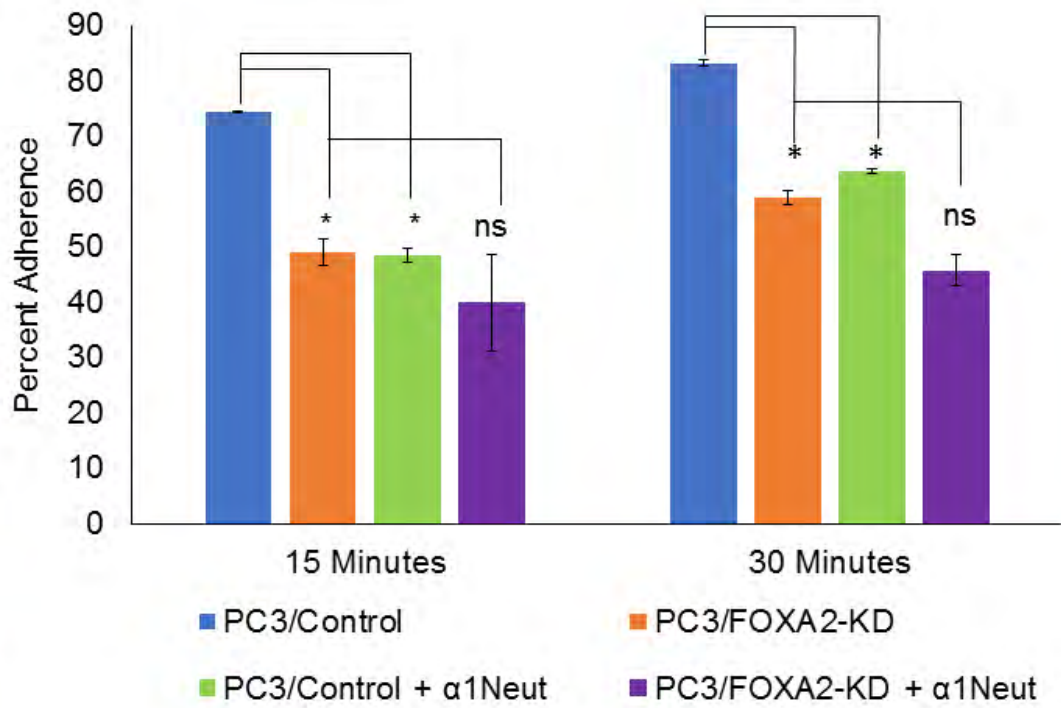


Fig. 6

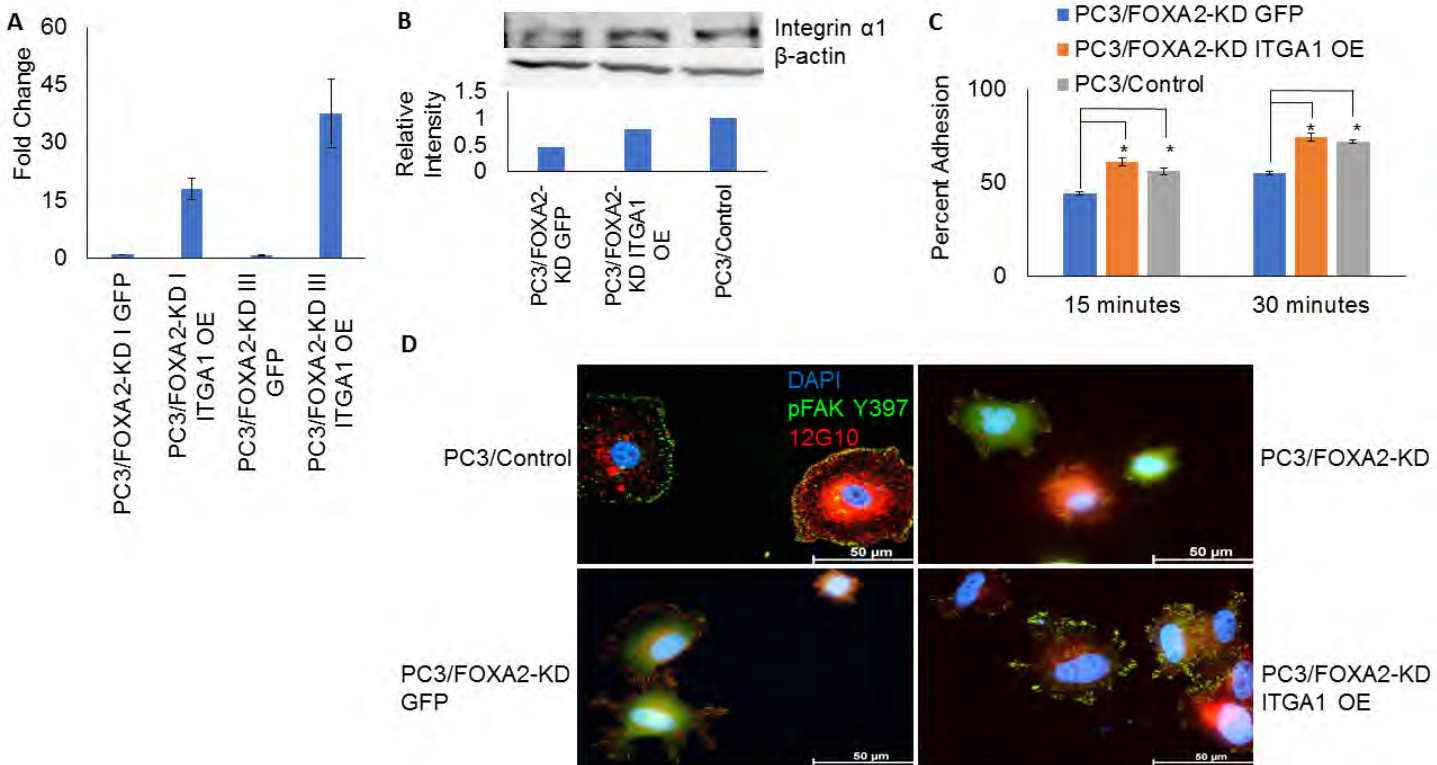


Fig. S1

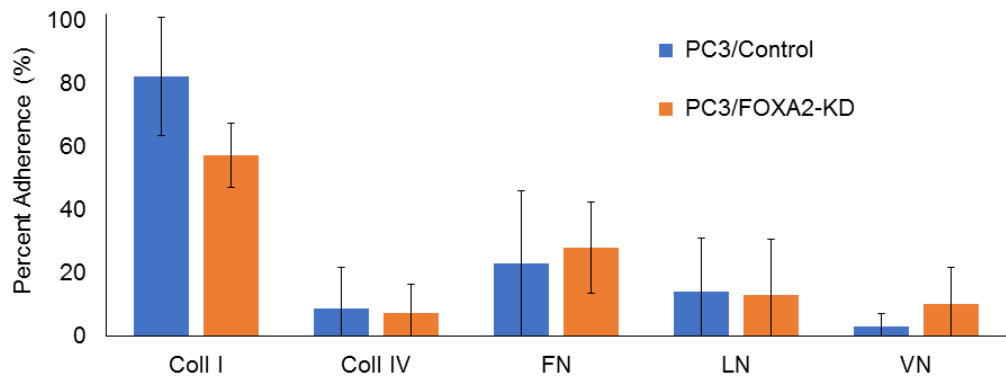


Fig. S2

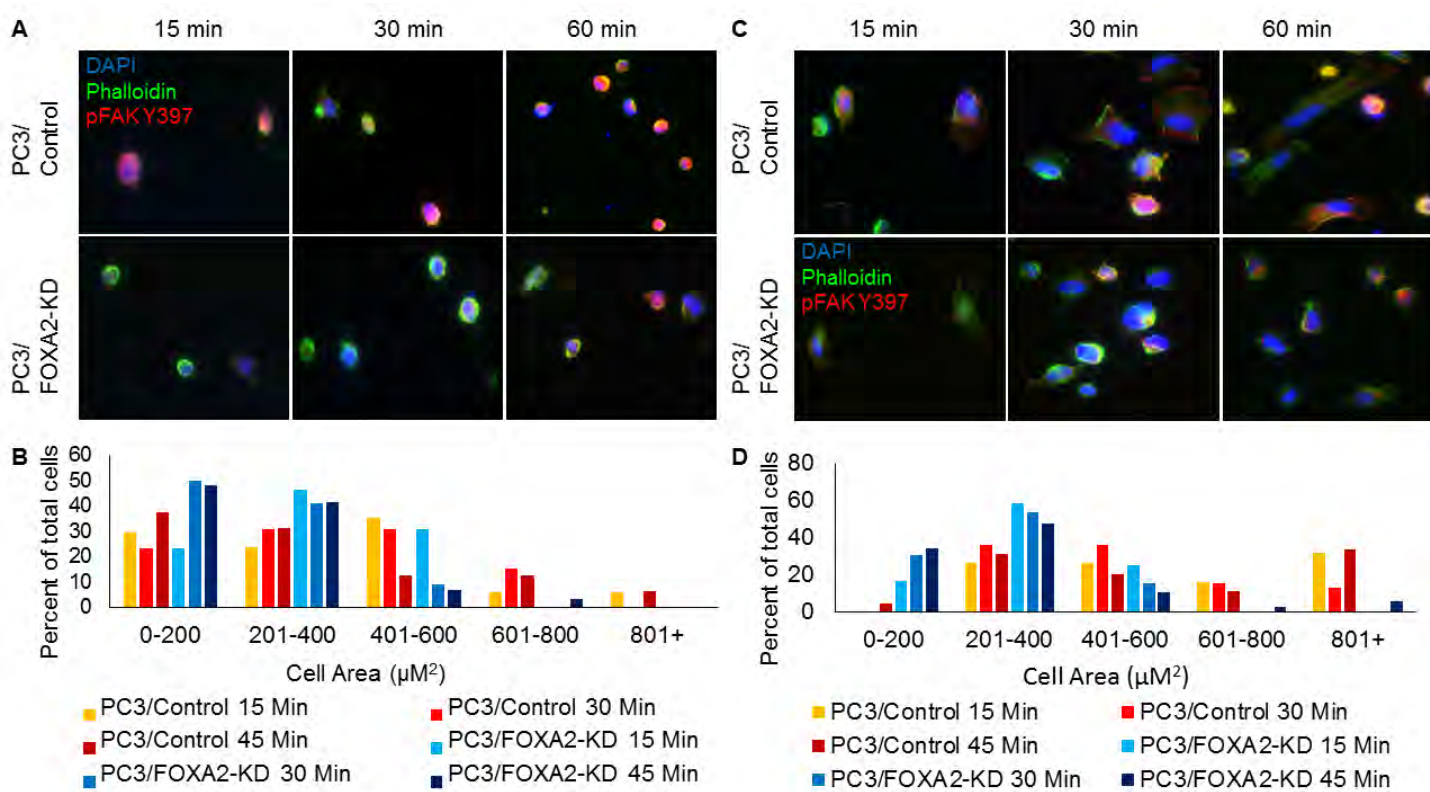
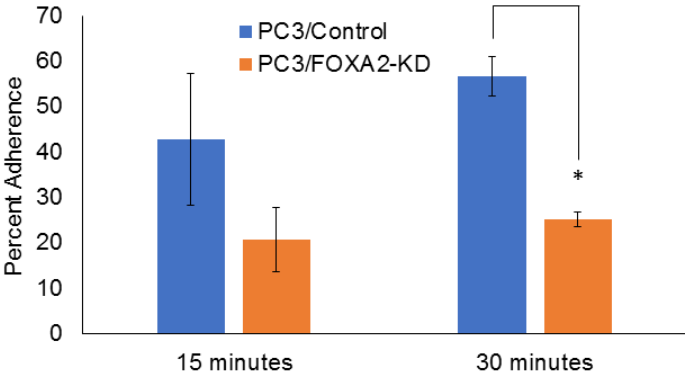


Fig. S3



Original Article

Foxa2 activates the transcription of androgen receptor target genes in castrate resistant prostatic tumors

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Abstract: Prostate cancer (PCa) is the leading cancer among men. Androgen Deprivation Therapy (ADT) is a common treatment for advanced PCa. However, ADT eventually fails and PCa relapses, developing into castration-resistant prostate cancer (CRPCa). Although alternative pathways such as cancer stem-cell pathway and neuroendocrine differentiation bypass androgen receptor (AR) signaling, AR remains the central player in mediating CRPCa. In this study, we identified a mechanism that retains AR signaling after androgen deprivation. The TRAMP SV40 T antigen transgenic mouse is a model for PCa. The expression of SV40 T-antigen is driven by the androgen-responsive, prostate specific, Probasin promoter. It has been recognized that in this model, T-antigen is still expressed even after androgen ablation. It is unclear how the androgen-responsive Probasin promoter remains active and drives the expression of T-antigen in these tumors. In our study, we found that the expression of Foxa2, a forkhead transcription factor that is expressed in embryonic prostate and advanced stage prostate cancer, is co-expressed in T-antigen positive cells. To test if Foxa2 activates AR-responsive promoters and promotes the expression of T-antigen, we established the prostate epithelial cells that stably express Foxa2, NeoTag1/Foxa2 cells. NeoTag1 cells were derived from the Probasin promoter driven SV40 T-antigen transgenic mouse. We found ectopic expression of Foxa2 drives the T-antigen expression regardless of the presence of androgens. Using this model system, we further explored the mechanism that activates AR-responsive promoters in the absence of androgens. Chromatin immunoprecipitation revealed the occupancy of both H3K27Ac, an epigenetic mark of an active transcription, and Foxa2 at the known AR target promoters, Probasin and FKBP5, in the absence of androgen stimulation. In conclusion, we have identified a mechanism that enables PCa to retain the AR signaling pathway after androgen ablation.

Keywords: Prostate cancer, castrate resistant, Foxa2, AR signaling, T-antigen, LADY mice, TRAMP mice

Introduction

Prostate cancer (PCa) is the most common non-skin cancer and second leading cause of cancer-related death in American men. Androgen deprivation therapy is the gold standard treatment for advanced stage PCa. However, prostatic tumors eventually become resistant to androgen deprivation and progress into castrate-resistant PCa (CRPCa). Identifying mechanisms that drive the development of CRPCa has been a major focus of the field. While loss/reduction of AR signaling and the emergence of neuroendocrine features were observed in a subset of CRPCa (less than 30%) [1], reactivation of AR signaling more commonly occurs in

CRPCa [2]. For example, the rising of PSA, a well-established AR-regulated gene, accompanies disease progression and recurrence of PCa, indicating that AR signaling is active in CRPCa. In the effort to identify mechanisms that drive the development of CRPCa, previous studies have demonstrated that AR is still the central player in sustaining PCa growth after androgen deprivation. These studies have identified several mechanisms that activate the AR signaling in CRPCa, including AR amplification/mutations, AR activation by growth factors/crosstalk with other signaling pathways, and AR variants that confer ligand-independent activation of AR signaling [3]. These studies have greatly improved our understanding of CRPCa

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progression and have resulted in the development of second-generation androgen-deprivation drugs [4, 5].

Mouse models are useful tools for studying disease progression in PCa. Two of the commonly used transgenic mouse models for PCa research are the LADY and TRAMP mice, both of which express SV40 T-antigen [6, 7]. In these mice, the expression of T-antigen is driven by the AR-responsive Probasin promoter, allowing for T-antigen expression confined to prostatic tissues. Although driven by androgen-responsive promoters, it was noticed that T-antigen is still expressed in the prostatic tumors after castration when they progress to CRPCa. The re-expression of T-antigen in mouse CRPCa mirrors human PCa progression, where the levels of PSA rise again after PCa fails androgen deprivation therapy. In this study, we investigated the mechanisms that activate AR-responsive promoters and drive the expression of AR target genes after androgen deprivation.

Foxa2 is a member of the forkhead (Foxa) family of transcription factors. Foxa proteins act as pioneer transcription factors [8]. Their binding precedes the binding of other transcription factors to the regulatory elements of target genes. The forkhead domain of Foxa protein can displace linker histones and relax chromatin structure. In developing prostates, Foxa2 is expressed in embryonic prostates (in both human and mouse) when prostates undergo budding morphogenesis [9]. At adult prostates, Foxa2 is expressed in rare neuroendocrine cells [10]. In PCa, Foxa2 expression was detected in advanced stage cancer tissues; and the expression of Foxa2 is positively associated with neuroendocrine phenotype [11]. Previous studies indicate that active Wnt/ β -Catenin signaling induces the expression of Foxa2 in PCa [12]. In this study, we found the expression of Foxa2 activates AR-responsive promoters and drives the expression of T-antigen after androgen deprivation.

Materials and methods

Animal maintenance

TRAMP mice were maintained at LSU Health Sciences Center-Shreveport animal facility. Mice were castrated at 18-22 week of age and

sacrificed 2 days to 4 weeks post-castration. All the animal experiments were approved by the Institutional Animal Care and Use Committee. Archived tissues derived from 12T-10 LADY mice were used for this study.

Cell culture

NeoTag1 cells were previously established and were cultured in Dulbecco's Modified Eagles Media (Hyclone) with the following additives: 10% Heat-Inactivated Fetal Bovine Serum (Atlanta Biologicals, Flowery Branch, GA), 1% Penicillin-streptomycin (Gibco), 4 μ g/ml Bovine Pituitary Extract (Atlanta Biologicals), 10 ng/ml Epithelial Growth Factor (Sigma-Aldrich, St. Louis, MO), 1% Insulin-transferrin-selenium X (Gibco). For androgen treatment experiments, cells were washed and cultured overnight in DMEM with 5% charcoal-stripped Serum and 1% Penicillin-Streptomycin. The next day media was changed and ethanol (vehicle control) or 1 nM synthetic androgens (R1881) was supplemented.

RNA isolation and real-time PCR

After completion of treatment, cells were harvested and RNA extraction was performed following manufactures protocol (Purelink RNA Mini Kit, Ambion, Life Technologies). Total RNA was quantitated on a Nanodrop spectrophotometer and 1 μ g RNA was used to synthesize cDNA according to manufactures protocol. cDNA was used for transcript analysis for the following genes: AGR2, FKBP5, AR, Synaptophysin, Chromogranin A, and NSE (Neuronal Specific Enolase). Ct values were all normalized to GAPDH and then to the Neotag1 empty vector ethanol control and reported as relative mRNA levels. See Primer Sequence **Table 2** for details.

Protein analysis

Following cell treatments, cells were lysed using passive lysis buffer (Promega), sonicated 10 seconds at 30% power, and centrifuged at 12,000 rcf for 30 minutes. Supernatants were collected and subject to SDS-PAGE at 100 V for 120 minutes. Proteins were transferred to nitrocellulose membrane at 100 V for 90 minutes. Membranes were blocked in 5% non-fat milk for one hour and then incubated overnight

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Table 1. Antibodies

Sample	Application	Dilution	Catalog Number
Androgen Receptor	Western Blot/IF	1:1000/	SC-816 (N-20), Santa Cruz
Androgen Receptor	ChIP	4 ug/ml	Ab74272, Abcam
Foxa2	Western Blot/IF	1:1000	SC-9187 (P-19), Santa Cruz
Foxa2	ChIP	4 ul	17-10258, Millipore
T-antigen	Western Blot/IF	1:1000/	SC-25326 (H-1), Santa Cruz
β -actin	Western Blot	1:1000	SC-47778 (C4), Santa Cruz
H3K27AC3	ChIP	1:100	8173 (D5E4), Cell Signaling
IgG	ChIP	4 ul	17-10258, Millipore

Table 2. Primer sequence

Sample	Application	Sequence Forward	Sequence Reverse
Androgen Receptor	qRT-PCR	TGTGGAGATGAAGCTTCTGGCTGT	TGGTACAATCGTTTCTGCTGGCAC
Foxa2	qRT-PCR	ATCCGACTGGAGCAGCTACTACGC	CGCGGACATGCTCATGTATGTGT
FKBP5	qRT-PCR	GGCGACAGGTCTTCTACTTAC	GATATCTTACCCTGCTCAGTC
AGR2	qRT-PCR	GTTCTCCTCAACCTGGTCTATG	GTCAGGGATGGGTCTACAAAC
GAPDH	qRT-PCR	GGCATGGACTGTGGTCATGAG	TGCACCACCAACTGCTTAGC
Synaptophysin	qRT-PCR	TACCGAGAGAACAACAAGGGCCA	CGGATGAGCTAACTAGCCACATGA
Chromogranin A	qRT-PCR	GGACACCAAGGTGATGAAGT	GATTCTGGTGTGCGAGGATAG
Neural Specific Enolase	qRT-PCR	ACCACATCAACAGCAGGATTGCAC	TCCCATCCAGTTCCAACATCAGGT
Probasin	ChIP	ACATCTACCATTCCAGTTAAGA	TTCTTGGAGTACTTTACTAGGC
FKBP5	ChIP	ACCCCCATTTAATCGGAGAAC	TTTTGAAGAGCACAGAACCCT

with primary antibody of interest. All antibodies were used at a 1:1000 dilution. The membrane was incubated with horseradish peroxidase-conjugated secondary antibody (a 1:5000, GE Healthcare, Pittsburgh, PA) for 1 hour at room temp. Lastly, enhanced chemiluminescence detection reagents (PerkinElmer, Waltham, MA) was incubated on membranes for 5 minutes and then exposed on x-ray film or processed in a chemidoc imaging system (Bio-rad). Normalization to β -actin is done for all protein bands. See antibody **Table 1** for details.

Chromatin immunoprecipitation

Cells were treated with R1881 or vehicle control (ethanol) for two hours and then fixed with 1% formaldehyde. EDM Millipore Magna ChIP kit was used and their protocol was followed. DNA fragmentation was optimized from Bioruptor (Bioruptor) sonication for 40 cycles on high producing approximately 200 bp length fragments. Following de-crosslink, proteinase K and RNase treatment, DNA was purified using Qiagen quick DNA isolation kit and was subjected to SYBR Green Real-Time PCR. All Relative

DNA levels were normalized to IgG immunoprecipitation control and enrichment is shown. Antibodies used were ChIP-grade and can be found in the antibody **Table 1**.

Histology, immunohistochemistry, and cell imaging

Immunohistochemistry protocol was conducted as described previously [12, 13]. Tissue was harvested from mice and fixed in 10% buffered formalin overnight, processed, and paraffin embedded. Sections of tissue were cut to 5 microns and heated to remove residual paraffin. Tissue sections were de-paraffinized, rehydrated, and microwaved at 30% power for 20 minutes in boiling antigen unmasking solution (Vector Laboratories, Burlingame, CA) for antigen retrieval. To block for endogenous peroxidases, slides were incubated with 15% hydrogen peroxide. The antigen-antibody interaction was visualized using the Vectastain Elite ABC Peroxidase kit (Vector Laboratory) following the manufacturer's protocol with additional DAKO DAB-chromogen System (DAKO, Carpinteria, CA). Slides were then counter-

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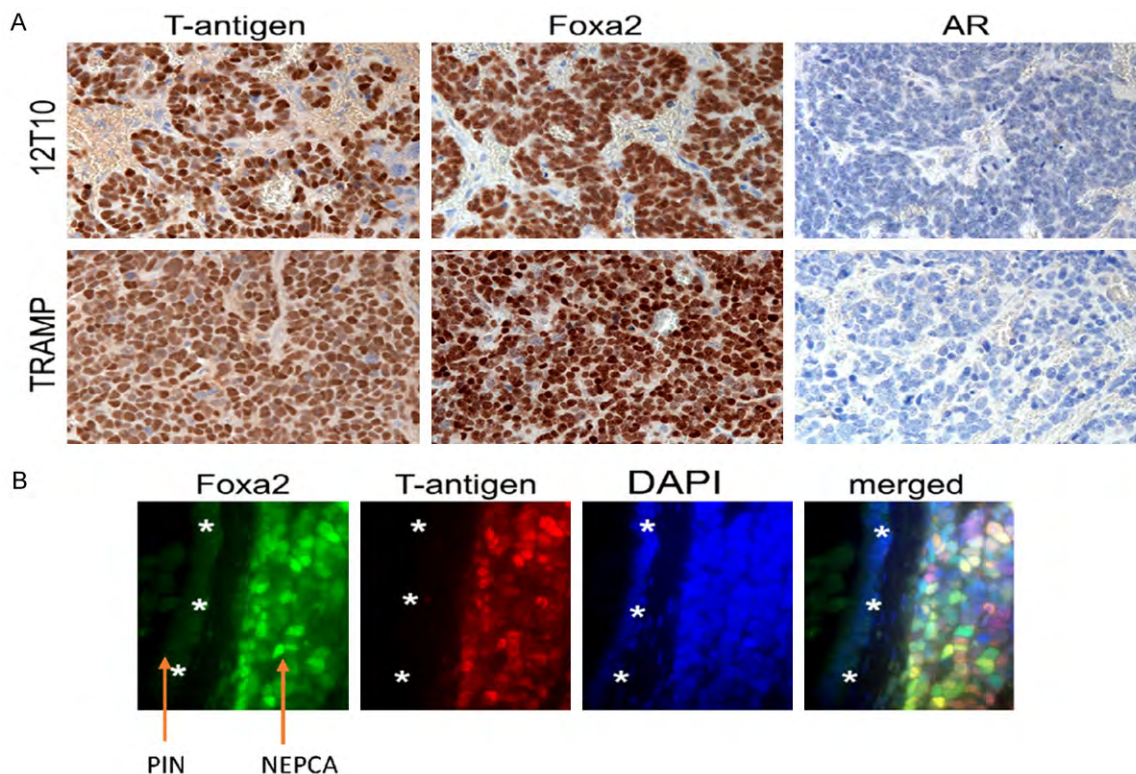


Figure 1. T-antigen and Foxa2 are co-expressed in prostatic tumors of transgenic mice. A. Immunohistochemistry staining of T-antigen, Foxa2, and AR conducted on serial sections derived from prostatic tumors of 12T-10 LADY and TRAMP mice. While nuclear AR is not expressed in the cancer cells, the expression of T-antigen is concomitant with Foxa2 in these cells. *Indicate areas that is negative for nuclear AR but positive for T-antigen and Foxa2. B. Immunofluorescence staining of T-antigen and Foxa2 conducted on a section of TRAMP tumor. *Indicate T-antigen and Foxa2 negative area.

stained with hematoxylin, dehydrated, cover-slipped and then imaged using a Zeiss microscope.

Cell proliferation

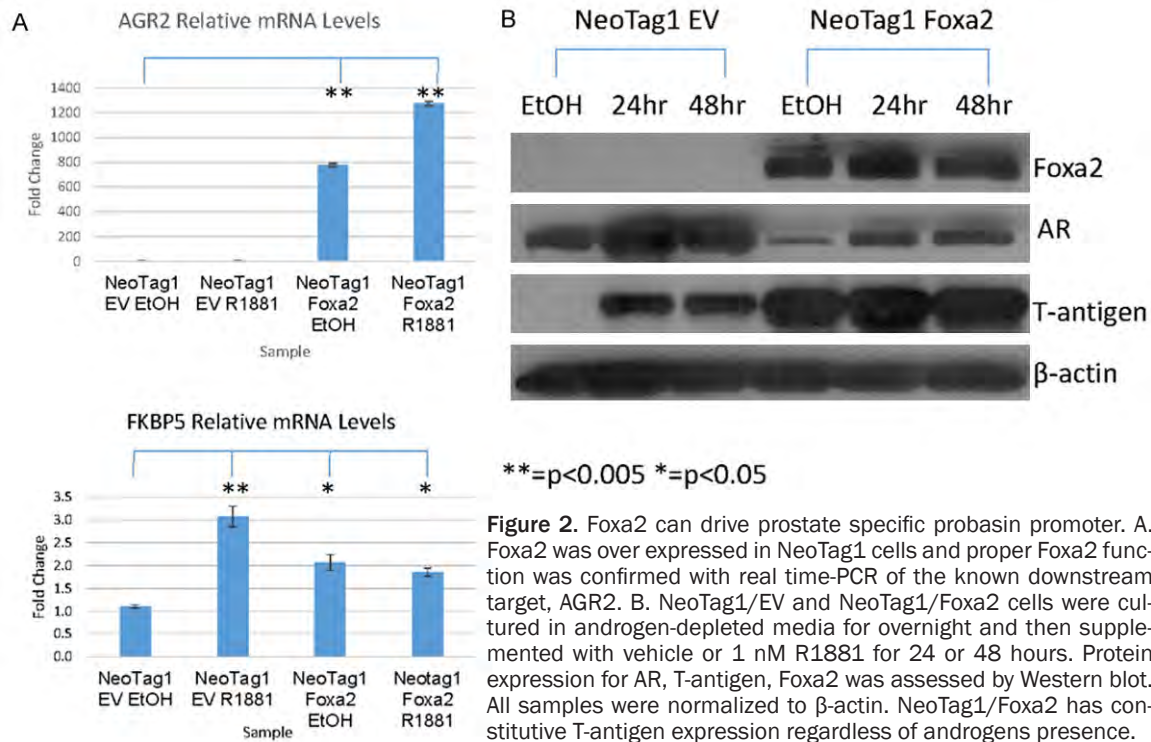
To quantify the differences in cell proliferation rates, two different assays were used. For Incucyte ZOOM method, 500 cells were seeded in a 96 well plate and once attached, regular cell culture media were replaced with DMEM media containing 5% charcoal-stripped serum without other additives. Cells were then placed in the Incucyte ZOOM Live Imager scanner and images were taken every four hours. After 24 hours of cell culture in androgen-depleted media, ethanol or androgens was added back to the media and cell proliferation continued for five days with a media change every two days. All images were analyzed on the Incucyte ZOOM Software with appropriate mask applied. Total area for each time point was quantitated and averaged across each group and shown

with the standard deviation. Under the same cell culture conditions, WST1 cell proliferation was also conducted following the manufacturer's protocol (Roche Applied Science, Indianapolis, IN). WST1 was added to cell culture media, incubated with the cells for 30 minutes and then the absorbance was read. Absorbance at 440 nm (630 nm reference wavelength) was measured and readings were averaged for each experimental group and the standard deviation was shown.

Immunofluorescence

Following the same cell culture conditions previously described for Chromatin Immunoprecipitation, cells were then fixed in 4% formaldehyde for 20 minutes at 4 degrees C. After fixation, cells were washed, blocked with 10% horse serum and 1% BSA in 0.2% TBS-T for 1 hour and then incubated with antibody of interest overnight at 4 degrees C. The next day, cells were washed, and secondary antibody was

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applied at a dilution of 1:1000 for two hours. Cells were washed and then incubated with DAPI. Slides were mounted with Fluoromount G and staining was imaged at 60x magnification using Nikon microscope. Images were processed using Nikon Software. Antibody information can be found in the antibody **Table 1**.

Results

Foxa2 and T-antigen are co-expressed in castrate-resistant PCa

Using prostatic tumors derived from castrated TRAMP mice, we conducted immunostaining of T-antigen and AR. While the expression of AR was not detectable in these tumors, T-antigen was found to be present in the relapsed tumors (**Figure 1A**). 12T-10 LADY mice develop NEPCa at about 1 year old. These NEPCa tumors express little to no AR (**Figure 1A**), but T-antigen remains expressed in the NEPCa tumors (**Figure 1A**). To test if Foxa2 is co-expressed in T-antigen positive tumors, we performed immunostaining of Foxa2 on serial sections that were used for T-antigen and AR staining. We found that Foxa2 was positive in the tissues where T-antigen was reactivated (**Figure 1A**). The co-expression of T-antigen with Foxa2 was also

confirmed by dual immunofluorescence staining of Foxa2 and T-antigen. As shown in **Figure 1B**, T-antigen was only expressed in the regions where Foxa2 was expressed. In summary, we see a concomitant expression of Foxa2 and T-antigen in castrate-resistant PCa developed in LADY and TRAMP transgenic mice.

Ectopic expression of Foxa2 enables androgen-independent expression of T-antigen in NeoTag1 cells

To test the hypothesis that Foxa2, which is co-expressed with T-antigen in prostatic tumors, drives the expression of T-antigen in the absence of nuclear AR, we ectopically expressed Foxa2 in NeoTag1 cells. NeoTag1 is a prostate epithelial cell line derived from 12T-7 LADY mouse. NeoTag1 cells express endogenous AR but not Foxa2, and their cell proliferation is androgen-dependent. In this study, the Foxa2 gene was stably integrated into NeoTag1 cells. The resulting NeoTag1/Foxa2 and empty vector (EV) control (NeoTag1/EV) cells were cultured with or without androgen (1 nM R1881) for 24 and 48 hours. Quantitative (q) RT-PCR was conducted to assess the expression levels of AGR2 (Foxa2 target gene) and FKBP5 (AR target gene) in NeoTag1 cells. As shown in **Figure 2**, Western

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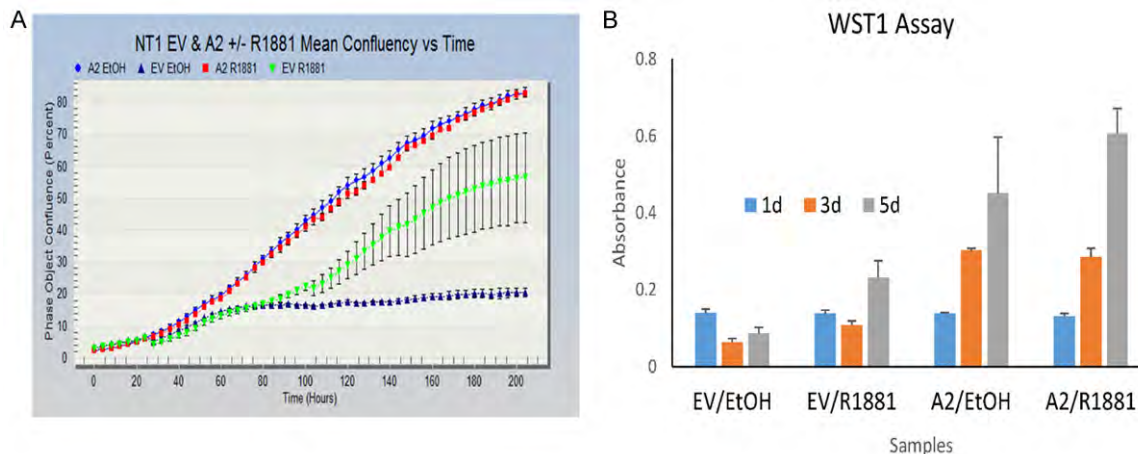


Figure 3. Cell proliferation assays. A. The proliferation of NeoTag1/EV and NeoTag1/Foxa2 was assessed using IncuCyte Zoom method. One thousand cells were seeded in each well of 96-well plate (time 0 hour) in cell culture media containing 10% fetal bovine serum. 24 hours later, the cells were cultured in androgen-depleted media for overnight and then supplemented with ethanol or 1 nM R1881. Pictures were taken every 4 hours. NeoTag1/EV cells display an androgen-dependent cell proliferation pattern, whereas NeoTag1/Foxa2 cells grew faster than empty vector control cells, regardless of the presence of androgens. B. WST1 cell proliferation assays. Cells were seeded in media containing 10% fetal bovine serum, switched to media containing 5% charcoal stripped serum on day 1, and then supplemented with ethanol or 1 nM R1881 starting day 2.

blot results indicated that Foxa2 transgene was expressed in NeoTag1/Foxa2 but not in NeoTag1/EV cells. Ectopic Foxa2 induced the expression of *Agr2* in NeoTag1 cells (**Figure 2A**), confirming that the Foxa2 transgene is functional. Also, qRT-PCR results indicated a constitutive expression of AR androgen-responsive gene, FKBP5, in NeoTag1/Foxa2 cells. As expected, the expression of FKBP5 was induced by androgen treatment in NeoTag1/EV cells, but the level of FKBP5 mRNA was higher in NeoTag1/Foxa2 than NeoTag1/EV cells, even in the absence of androgens (**Figure 2A**). Western blot results indicated a similar constitutive expression of T-antigen in NeoTag1/Foxa2 cells. Androgen treatment activated the expression of T-antigen in NeoTag1/EV cells, but T-antigen was constitutively expressed in NeoTag1/Foxa2 cells, regardless of the presence of androgens (**Figure 2B**). Surprisingly, the total AR protein level was lower in NeoTag1 cells that ectopically express Foxa2 compared with NeoTag1/EV cells.

Ectopic expression of Foxa2 confers androgen-independent cell proliferation of NeoTag1 cells

We also examined if NeoTag1/Foxa2 cells proliferate constitutively. Two types of methods were used to assess cell proliferation, IncuCyte

zoom method, and WST1 cell proliferation assays. The IncuCyte zoom uses live cell imaging and generates a cell confluency map. After the cells were seeded for one day, NeoTag1/Foxa2 and control NeoTag1/EV cells were cultured in androgen-depleted media and cell confluency reading began. All experiment groups started at the same confluency, however, the Foxa2 cells grew at a much faster rate than empty vector control cells over the course of the experiment, regardless of the presence of androgens (**Figure 3A**). Consistent with the previous report, androgen treatment stimulated cell proliferation of NeoTag1/EV cells. But NeoTag1/EV cells grew at a rate slower than Foxa2-expressing cells, regardless of androgens' presence. NeoTag1/Foxa2 cells displayed almost identical cell proliferation pattern in the presence or absence of androgens, indicating that they do not dependent on androgens for cell proliferation anymore. Also, we conducted WST-1 assays (**Figure 3B**). Similar to what is seen with the IncuCyte experiments, cell proliferation remains faster when Foxa2 was overexpressed.

Nuclear AR level is low in NeoTag1/Foxa2 cells

Numerous studies have shown that AR is still the central player in activating androgen

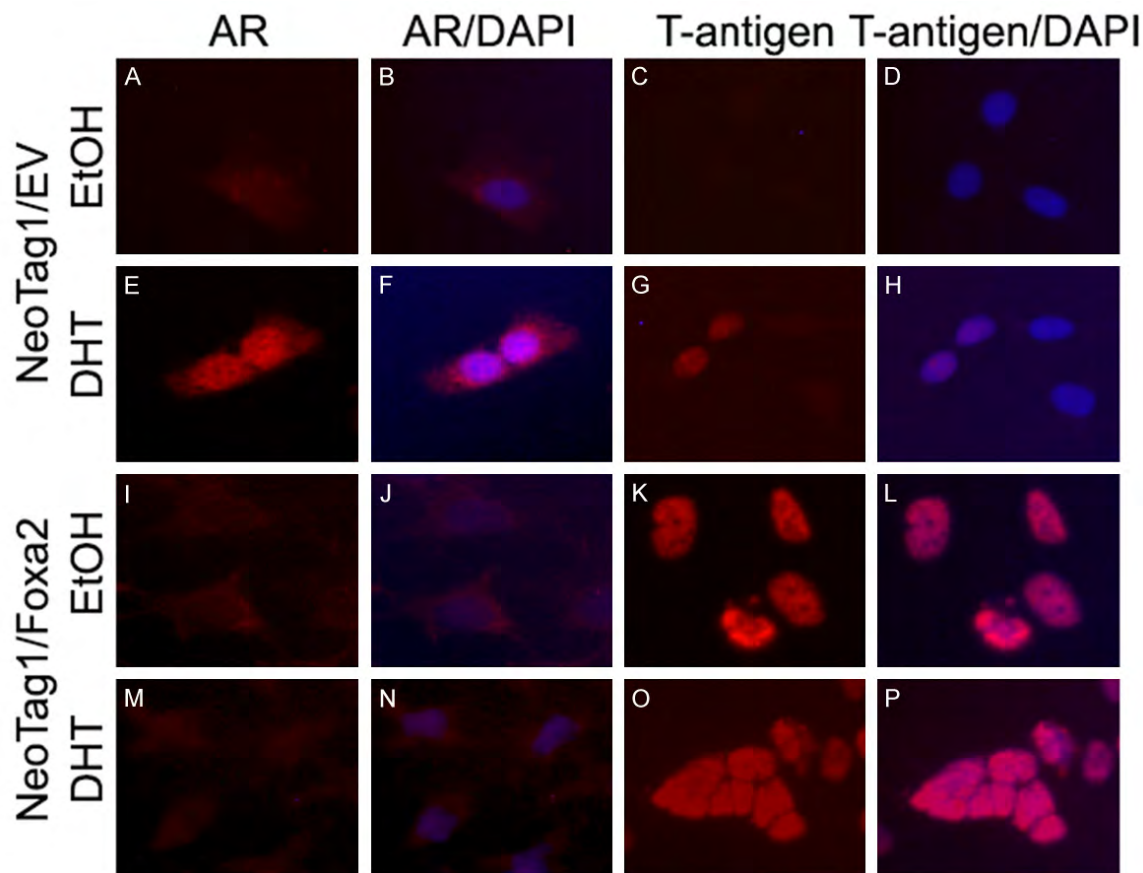


Figure 4. Decreased nuclear androgen receptor in NeoTag1/Foxa2 cells. NeoTag1/EV and NeoTag1/Foxa2 cells were cultured in androgen-depleted media for overnight and then supplemented with ethanol or 1 nM R1881 for 2 hours. In the NeoTag1/EV cells, 2-hour androgen treatment provoked androgen receptor nuclear localization and T-antigen expression in a subset of cells. In the NeoTag1/Foxa2 expression, very low levels of androgen receptor protein were present, however T-antigen expression remained high regardless of androgen stimulation.

signaling in castrate-resistant PCa. However, our data indicated that AR protein level was decreased in NeoTag1/Foxa2 cells (**Figure 2A**). Also, qRT-PCR results revealed that the mRNA levels of AR decreased (**Figure 4A**). Furthermore, we conducted immunofluorescence staining and found that 2-hour androgen treatment induced AR nuclear translocation in Neotag1/EV cells, but AR level was lower in Neotag1/Foxa2 cells, and no nuclear translocation of AR protein was detected in Neotag1/Foxa2 cells. Concomitant with androgen-induced AR's nuclear translocation in NeoTag1/EV cells, T-antigen expression was detected in a subset of NeoTag1/EV cells after 2-hour androgen treatment (full induction of T-antigen expression was only detected in NeoTag1/EV cells after 6-hour androgen treatment, data not shown). However, T-antigen was found to be constitutively expressed in the nuclei of

NeoTag1/Foxa2 cells, regardless of androgen's presence. Together, these data support that AR is not the mechanism to sustain the constitutive expression of T-antigen in Neotag1/Foxa2 cells.

AR-responsive promoters are active in NeoTag1/Foxa2 cells after androgen deprivation

The constitutive expression of T-antigen, which can account for the androgen-independent proliferation of NeoTag1/Foxa2 cells, suggests that the promoter that drives the expression of T-antigen transgene is active in these cells. To determine Foxa2's involvement in the direct regulation of androgen-responsive promoters and if Foxa2 alters AR's occupancy on these promoters, chromatin immunoprecipitation (ChIP) was conducted using NeoTag1/Foxa2

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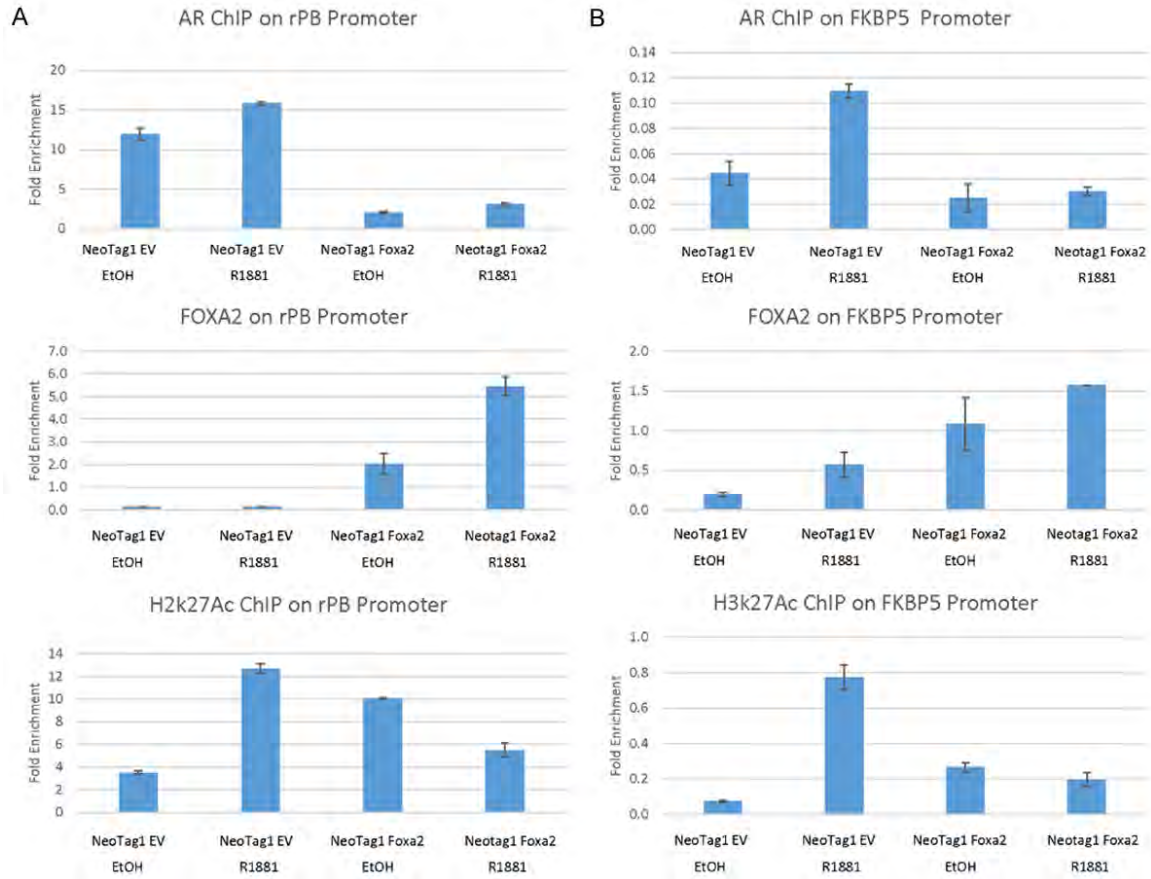


Figure 5. Foxa2 retains active chromatin state in the absence of androgens. NeoTag1/EV and NeoTag1/Foxa2 cells were cultured in androgen-depleted media for overnight and then supplemented with ethanol or 1 nM R1881 for two hours. Cells were then fixed and Chromatin Immunoprecipitation was conducted. A. Probsasin promoter analysis. B. FKBP5 promoter analysis. In NeoTag1/Foxa2 cells, AR's occupancy on both promoters diminished, but Foxa2 and H3k27Ac were recruited to the promoters.

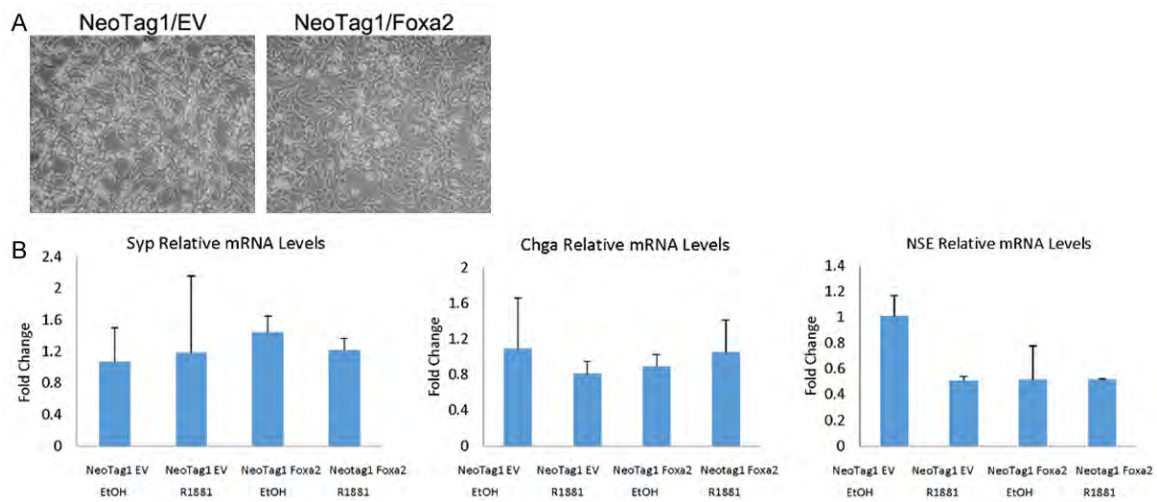


Figure 6. Ectopic expression of Foxa2 failed to induce NE differentiation. A. cell morphology of NeoTag1/EV and NeoTag1/Foxa2 cells. B. qRT-PCR. The mRNA levels of NEPCa markers including synaptophysin (Syp), chromogranin A (Chga), and NSE were assessed by qRT-PCR. Over-expression of Foxa2 did not cause NE differentiation in NeoTag1 cells.

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and empty vector control cells. As shown in **Figure 5**, histone 3 lysine 27 triacetylation (H3K27Ac3, a mark of active transcription) was enriched on androgen-responsive promoters, including transgenic rat Probasin promoter and endogenous FKBP5 promoter in Neotag1/EV cells treated with androgens as well as in Neotag1/Foxa2 cells with or without androgen treatment. It is interesting to note that when Foxa2 was overexpressed and no androgens were present, H3K27Ac3's enrichment at AR-responsive promoters remained elevated. AR was recruited to transgenic Probasin promoter and FKBP5 promoter in Neotag1/EV cells when they were treated with androgens. However, AR's occupancy on these promoters was lower in Neotag1/Foxa2 cells, no matter these cells were treated with androgens or not. Consistent with the differential protein levels, Foxa2's occupancy on Probasin and FKBP5 promoters was detected in NeoTag1/Foxa2 cells but not in Neotag1/EV cells. Foxa2's enrichment to androgen-responsive promoters in NeoTag1/Foxa2 cells suggests that Foxa2 directly regulates these promoters.

Ectopic expression of Foxa2 fails to induce NE differentiation of NeoTag1 cells

Neuroendocrine PCa cells express little to no AR and reduced AR signaling promotes NE differentiation [14]. Given the decreased transcript levels and protein expression of AR in NeoTag1/Foxa2 cells, and the association of Foxa2 with neuroendocrine phenotype [10], we hypothesized NeoTag1/Foxa2 cells acquire some NE phenotype and examined if ectopic expression of Foxa2 induced NE differentiation of Neotag1 cells. As shown in **Figure 6**, we did not observe any morphological changes supporting that NE phenotype is emerging. We also assessed the mRNA levels of known NEPCa markers, Synaptophysin, Chromogranin A, and NSE (neuron specific enolase), but did not observe any increases in the expression of these genes. Taken together, these data indicate that Foxa2, although its expression is associated with the NE phenotype of PCa, is not sufficient to drive the development and/or promote the NE differentiation by itself.

Discussion

In LADY and TRAMP mice, the expression of T-antigen is driven by the androgen-responsive Probasin promoter [6, 7]. Using prostatic tissues derived from these transgenic mice, we

found that the re-expression T-antigen is concomitant with the expression of Foxa2. Using NeoTag1 cells, which are derived from prostate of probasin promoter driven SV40 T-antigen transgenic mouse, we found that Foxa2 confers androgen-independent expression of T-antigen. These data indicate that the expression of Foxa2 provides a mechanism to activate AR-responsive promoters and induce the re-expression of T-antigen in castrate-resistant prostatic tumors in mice.

While Foxa2 activates the transcription/expression of a subset of AR target genes in mouse tumors, whether Foxa2 exerts a similar effect in human PCa remains unclear. In human PCa, the expression of Foxa2 is associated with NEPCa [10]. A recent study has shown that Foxa2 is present in approximately 75% of NEPCa patients [15]. While in pure NEPCa (also called small-cell carcinomas, occurring in less than 5% PCa patients), AR level is low to none, NEPCa often presents as mixed prostatic adenocarcinomas with NE features, where AR expression in the various stages of PCa is yet clearly determined. Foxa2's modulation on AR signaling may vary at different stages during NEPCa progression. Our data indicate that Foxa2 expression downregulates AR protein (**Figure 2B**), suggesting that the emergence of Foxa2 can be a mechanism for the loss of AR expression. However, in the Foxa2-expressing cells, AR signaling still retains, even though AR protein level is low. This may reflect a transition stage in PCa when AR expression gets gradually lost and PCa cells transit from adenocarcinoma to neuroendocrine cancer.

AR-responsive promoters remain active in the Foxa2-expressing cells (**Figure 5**); however, AR is not the likely driver that activates this response since AR level is low, and AR doesn't seem to be recruited to the AR-responsive Probasin or FKBP5 promoters in the Foxa2 expressing cells. This supports the argument that Foxa2 recruitment to the promoter regions is in parallel with the active transcription of the promoters. It remains to be determined if Foxa2 is the sole driver to activate transcription of these AR-responsive promoters.

Foxa2-expressing NeoTag1 cells continue proliferating, even when they are cultured in androgen-depleted media. However, this does not necessarily mean Foxa2 drives the rapid proliferation of these cells in the absence of androgens. The Foxa2-mediated T-antigen expres-

sion could be the cause of such proliferation rates observed. Future studies on mice where Foxa2 is present and T-antigen is absent and in human cells where Foxa2 is present are required to conclude if Foxa2 has any substantial role in sustaining the cell proliferation in CRPCa.

Similar to the undefined role of Foxa2 in controlling cell proliferation, it remains unclear whether Foxa2 exerts comparable transactivation function in regulating the expression of AR target genes in human PCa tissues. It is possible that Foxa2's effect on AR signaling is model-specific. Additional research using human PCa cells will be needed to delineate whether Foxa2's effect on AR target genes is a common phenomenon or it applies only to cells that carry T-antigen transgene. Regardless, here, we present the first study using a PCa mouse model to reveal Foxa2's regulation of androgen-responsive promoters in the absence of androgen, ultimately retaining cell survival.

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Disclosure of conflict of interest

None.

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ORIGINAL ARTICLE

SOX2 expression in the developing, adult, as well as, diseased prostate

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BACKGROUND: SOX2 is a member of SOX (SRY-related high mobility group box) family of transcription factors.

METHODS: In this study, we examined the expression of SOX2 in murine and human prostatic specimens by immunohistochemistry.

RESULTS: We found that SOX2 was expressed in murine prostates during budding morphogenesis and in neuroendocrine (NE) prostate cancer (PCa) murine models. Expression of SOX2 was also examined in human prostatic tissue. We found that SOX2 was expressed in 26 of the 30 BPH specimens. In these BPH samples, expression of SOX2 was limited to basal epithelial cells. In contrast, 24 of the 25 primary PCa specimens were negative for SOX2. The only positive primary PCa was the prostatic NE tumor, which also showed co-expression of synaptophysin. Additionally, the expression of SOX2 was detected in all prostatic NE tumor xenograft lines. Furthermore, we have examined the expression of SOX2 on a set of tissue microarrays consisting of metastatic PCa tissues. Expression of SOX2 was detected in at least one metastatic site in 15 of the 24 patients with metastatic castration-resistant PCa; and the expression of SOX2 was correlated with synaptophysin.

CONCLUSIONS: SOX2 was expressed in developing prostates, basal cells of BPH, as well as prostatic NE tumors.

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INTRODUCTION

The SRY-related high mobility group box (SOX) family of transcription factors is instrumental for diverse developmental processes and in determining cell fate.^{1,2} In particular, SOX2 seems to be essential for sustaining pluripotency and neural induction.² In combination with Oct4, KLF4 and c-Myc, SOX2 can successfully reprogram somatic cells to become induced pluripotent stem cells,³ suggesting an important role for SOX2 in controlling the pluripotency of stem/progenitor cells. SOX2 has also been implicated in branching morphogenesis and epithelial cell differentiation during early lung development, as aberrant expression of SOX2 disrupts normal lung branching morphogenesis, resulting in reduced number of airways.^{4,5} Mice overexpressing SOX2 exhibit an increased number of basal cells (marked by the expression of p63) and neuroendocrine (NE) cells in the respiratory mucosa,⁴ and lung carcinomas are developed in about half of these mice, indicating that SOX2 overexpression is oncogenic.⁶

Expression of SOX2 has been reported in carcinomas arising in several organs, including prostate.^{7–10} A recent study shows that SOX2 is expressed in both benign and malignant prostate tissue, but only in a small subpopulation of cells (< 10%).⁷ SOX2 is also detected in castration-resistant prostate cancer (PCa) (CRPC) metastasis samples.¹⁰ Several studies have highlighted the functional implication of SOX2 in tumor progression, as a reduction of SOX2 levels has been shown to decrease proliferation and invasion while concomitantly increasing differentiation of cancer cells.^{11–15} In PCa, silencing SOX2 by short hairpin RNA decreases both cell proliferation and the invasive capacity of PCa cells,^{8,16} and ectopic expression of SOX2 promotes PCa cell growth.^{9,10,16}

SOX2 expression is associated with NE tumors. In the skin, SOX2 is expressed in cutaneous NE carcinoma (Merkel cell carcinoma) in

addition to a subset of melanomas.¹⁷ SOX2 is also detected in NE carcinomas of the lung,¹⁸ consistent with the observation that SOX2 overexpressing mice have an expansion of NE cells in the respiratory mucosa and develop lung carcinomas. However, the expression of SOX2 in NE PCa is yet to be examined.

NE PCa, or small cell carcinoma of the prostate, is a rare and highly aggressive subtype of PCa. Because it is androgen receptor (AR) negative, NE PCa is naturally androgen independent. Although the vast majority of primary human PCa are adenocarcinomas, immunohistochemical evidence of NE differentiation (NED) can be often found in human PCa, and PCa exhibiting NED is associated with poor prognosis.^{19,20} Rapid autopsy of hormone-refractory metastatic PCa has shown NED in most cases,²¹ and patients who fail androgen-deprivation therapy can develop NE cancer and adenocarcinoma with NED.²² However, although NE phenotype is associated with advanced stage PCa, NE and NED in prostate are understudied owing to the scarcity of NE PCa specimens as advanced PCa is usually not biopsied.

A major interest of our laboratory has been NE tumors, largely due to the fact that numerous mouse PCa models readily develop or progress to NE tumors.²³ Our focus has been identifying potential transcription factors that promote the NE phenotype. Because SOX2 can drive NE cell hyperplasia and carcinoma in the murine lung, we postulated that SOX2 may also have a similar role in the prostate. In order to begin to elucidate the relationship between SOX2 and the NE phenotype, we undertook a careful analysis of murine and human prostate to determine the expression pattern of SOX2 and whether SOX2 is co-expressed with NE markers. Specifically, we examined the expression of SOX2 during murine prostate development, in diseased prostate (BPH,

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primary prostate adenocarcinoma and NE PCa) and in metastatic PCa. We also studied the expression of SOX2 in prostate NE tumor murine models and analyzed the association of SOX2 expression with the NE phenotype in metastatic human PCa. We found that SOX2 was expressed in embryonic prostates, BPH and NE PCa.

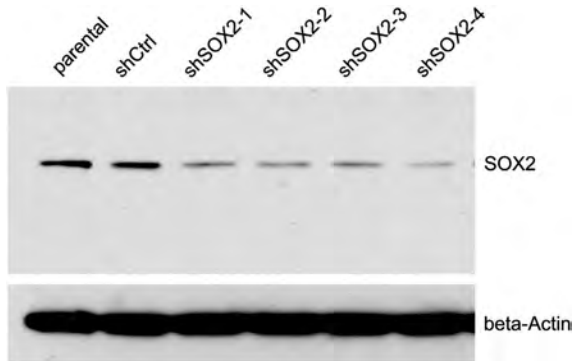


Figure 1. Western blotting analysis. The level of SOX2 was knocked down in PC3 cells and protein lysate was prepared from parental PC3 cells and PC3 cells that have stably integrated with control short hairpin RNA or with variant shSOX2 construct. Beta-actin was used as a loading control.

MATERIALS AND METHODS

Mouse lines

All mice used in this study were housed and handled in accordance with the standard protocols approved by the Vanderbilt University Institutional Animal Care and Use Committee (IACUC). Seven-to-eight-week-old CD-1 mice (Harlan, Indiana, IN, USA) were used for timed mating. The day a vaginal copulation plug was observed was designated embryonic day 1. The 12T7,²⁴ 12T10,²⁵ and TRAMP²⁶ are transgenic mouse lines that develop prostatic intraepithelial neoplasia (PIN) or NE PCa. NE10²⁷ is a prostatic NE tumor xenograft line.

Human samples

Primary prostate specimens were obtained from the Department of Pathology, Vanderbilt University Medical Center, Nashville, TN, USA with approval from the Institutional Review Board. The UWTMA22 and UWTMA46 are tissue microarrays constructed at the University of Washington, Seattle, WA, USA. UWTMA22 consists of metastatic CRPC tissues from 24 patients; UWTMA46 consists of 24 LuCaP xenograft tumors derived from 19 patients, 4 of which are prostatic NE tumors derived from 3 patients.

Validation of SOX2 antibody

The specificity of SOX2 antibody was validated by western blotting using cell lysate collected from control PC3 cells and PC3/shSOX2 cells (Figure 1). The shSOX2 constructs were purchased from Origene (Rockville, MD, USA). For retroviral infection, the shSOX2 or control retroviral vector plasmids were transfected into Phoenix packaging cells. The cell culture media were

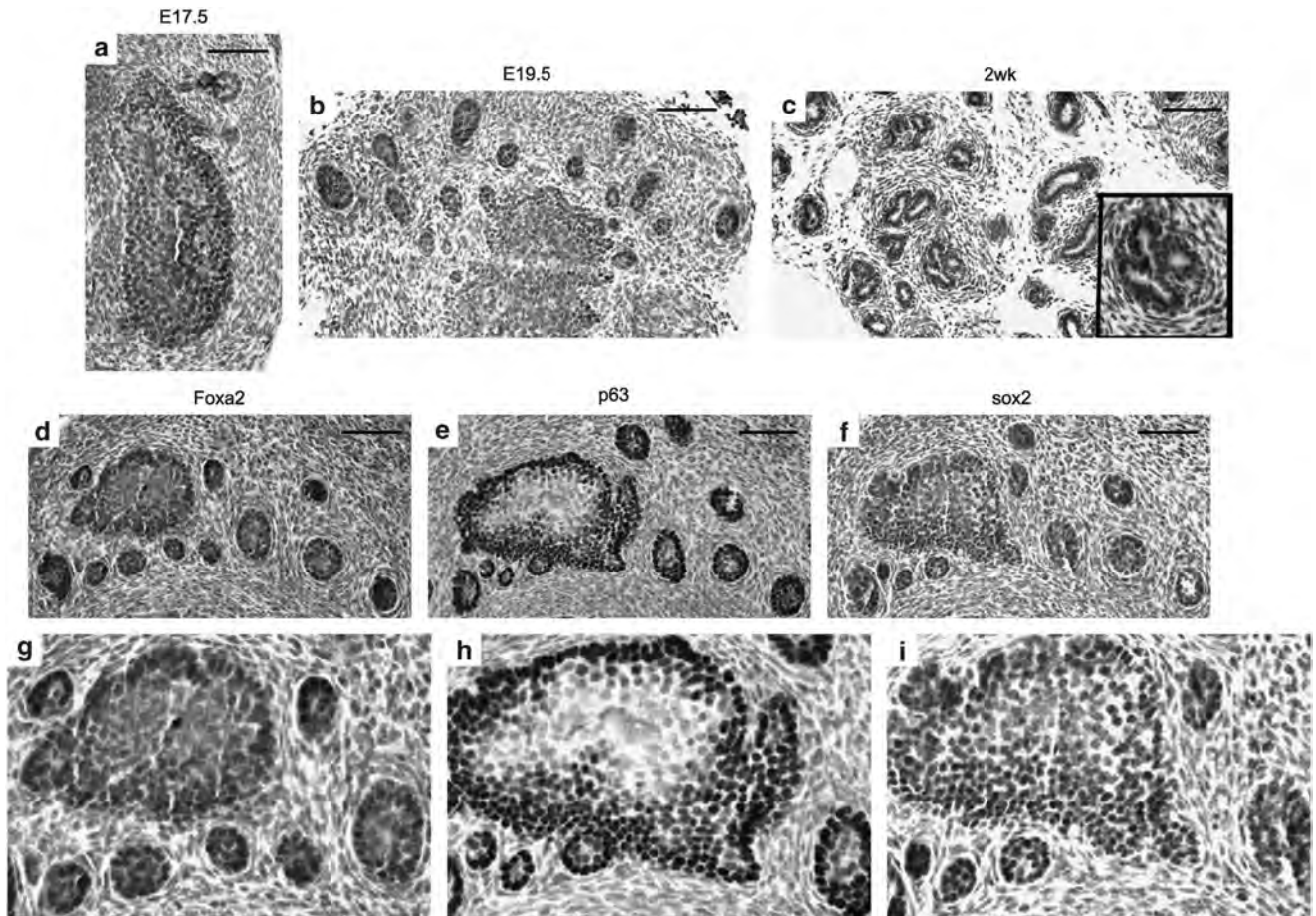


Figure 2. SOX2 expression during murine embryonic prostate development. Nuclear SOX2 staining was detected in the prostatic buds at E17.5 and E19.5 (a, b). At 2 weeks after birth, the nuclear staining of SOX2 was hardly detected in prostate (c, inset is a higher magnification picture). The prostatic buds co-expressed Foxa2 (d), p63 (e) and SOX2 (f). Panels g, h and i are higher magnification picture of panels d, e and f, respectively. Scale bars represent 25 μ m.

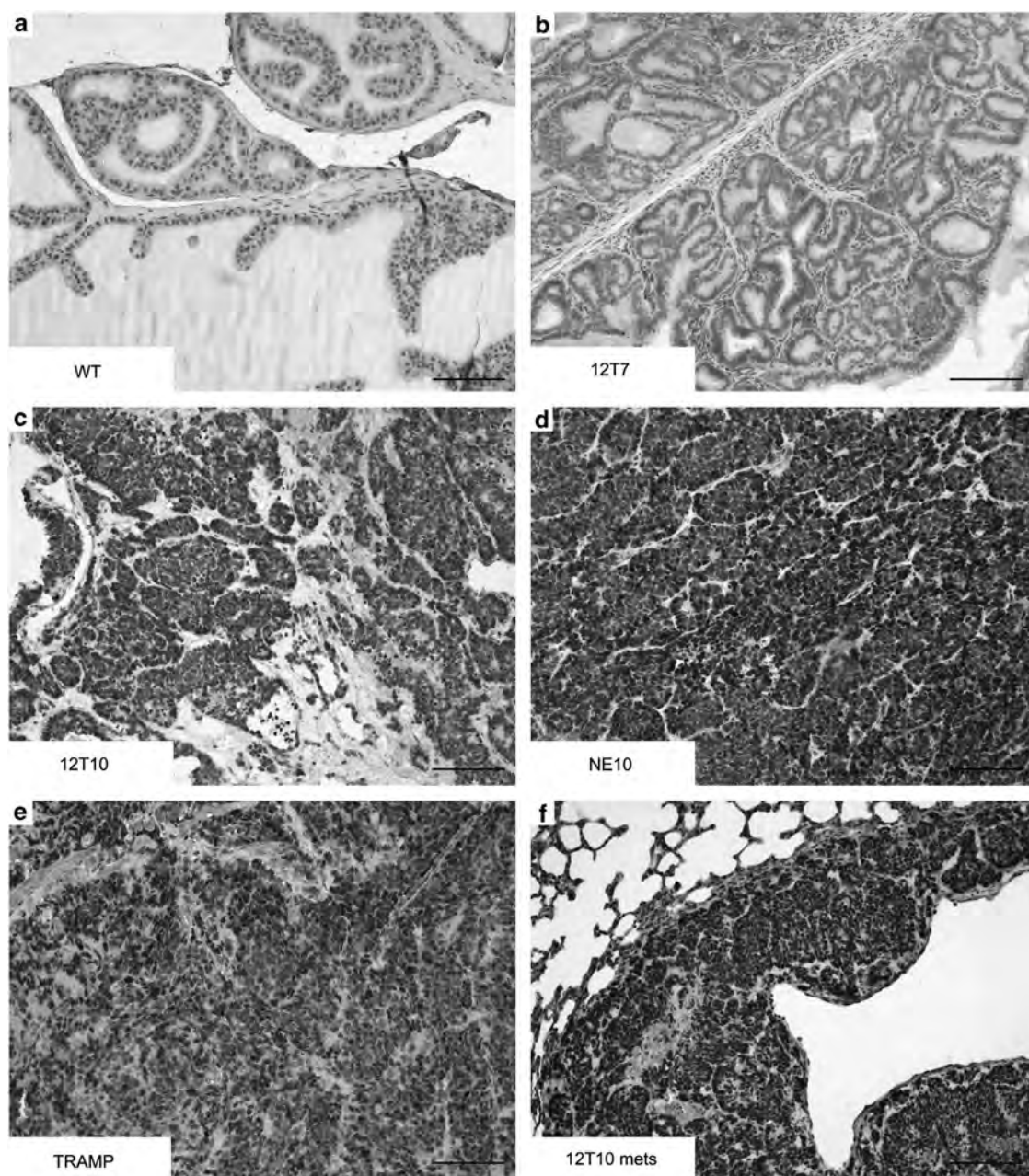


Figure 3. SOX2 expression in neuroendocrine (NE) prostate cancer (PCa) murine models. Immunohistochemical staining was performed on prostate sections derived from wild type (WT, **a**), 12T7 (**b**), 12T10 (**c**), NE10 (**d**) and TRAMP (**e**) mice or on NE PCa lung metastasis in 12T10 mouse (**f**). Strong nuclear SOX2 staining was detected in prostate NE tumors (12T10, NE10, TRAMP and NE PCa metastasis) but not in WT or 12T7 mouse prostates. Scale bars represent 50 μm .

collected 24 h later and used for infecting PC3 cells. The infection procedure was repeated twice, and $10 \mu\text{g ml}^{-1}$ puromycin was used for selection of PC3 cells that have control or shSOX2 construct stably integrated.

Immunohistochemistry (IHC) and immunofluorescence staining
IHC was conducted as described previously.²⁸ Prostates were fixed in 10% buffered formalin overnight and processed to paraffin. IHC stains were performed following routine deparaffinization and rehydration of 5- μm sections. Antigen retrieval was performed by microwaving slides for 20 min in boiling antigen-unmasking solution (Vector Laboratories, Burlingame, CA, USA). Endogenous peroxidase activity was blocked with DAKO Peroxidase Blocking Reagent (DAKO, Carpinteria, CA, USA) for 15 min.

Sections were incubated with primary antibodies overnight at 4 °C in a humidified chamber. Antibodies used were: Foxa2 and p63 from Santa Cruz Biotechnology (Santa Cruz, CA, USA), synaptophysin from BD Transduction Laboratories (San Jose, CA, USA), and SOX2 from Abcam (Cambridge, MA, USA) or Cell Signaling (Danvers, MA, USA). Specific antibody binding was detected using the Vectastain Elite ABC peroxidase kit (Vector Laboratories) according to the manufacturer's protocol with the DAKO DAB-Chromogen System (DAKO). Sections were counterstained with hematoxylin, dehydrated and cover-slipped. For immunofluorescence staining, tissue sections were blocked with phosphate-buffered saline containing 5% normal donkey serum (Vector Laboratories) for 1 h and incubated overnight at 4 °C with primary antibodies. After washing in phosphate-buffered saline, sections were incubated with fluorescence-conjugated secondary antibody (Molecular Probes, Eugene, OR, USA) for

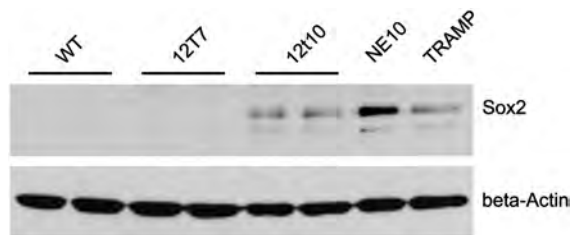


Figure 4. Western blotting analysis. Protein samples were prepared from prostates derived from wild type (WT), 12T7, 12T10, NE10 and TRAMP mice. The expression of SOX2 was detected in 12T10, NE10 and TRAMP prostate neuroendocrine (NE) tumors but not in prostatic intraepithelial neoplasia (12T7) or WT prostates. NE10 tumor exhibits the highest expression level of SOX2.

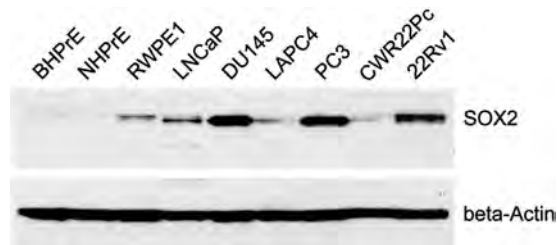


Figure 5. SOX2 expression in established prostate cell lines. Protein samples were prepared from various prostatic cell lines. DU145 and PC3 exhibited the strongest expression of SOX2. Moderate level of SOX2 was observed in 22Rv1 cells. RWPE1, LNCaP, LAPC4 or CWR22Pc cells exhibited low SOX2 expression. The expression of SOX2 was hardly detected in NHPRE and BHPRE cells.

1 h (diluted 1:200 in blocking buffer). Sections were washed in phosphate-buffered saline and cover-slipped using mounting solution with DAPI (4,6-diamidino-2-phenylindole; Vector Laboratories).

Western blotting

Protein lysates were prepared from prostate specimens as described previously.²⁹ Twenty micrograms of total protein was loaded for electrophoresis. After transfer, membranes were blocked in 5% milk for 1 h, incubated overnight at 4°C with primary antibodies and incubated with horseradish peroxidase-conjugated secondary antibodies (GE Healthcare, Pittsburgh, PA, USA) for 1 h. ECL-Plus detection system (PerkinElmer, Waltham, MA, USA) was used to visualize the reaction. Rabbit anti-SOX2 antibodies were from Abcam or Cell Signaling, and β -actin was from Sigma (St Louis, MO, USA).

Statistical analysis of IHC data

SAS 9.3 (SAS Institute, Cary, NC, USA) was used for statistical analysis. The percentage of specific cell types in primary BPH and PCa specimens showing nuclear staining for SOX2 was recorded. Expression of SOX2 and synaptophysin in metastatic CRPC specimens were evaluated for both the percentage of cells stained and intensity of nuclear (SOX2) or cytoplasmic (synaptophysin) staining. Intensity values were categorized as 0 negative, 1+ weak, 2+ moderate, and 3+ strong. The total score was calculated from the intensity value and percentage of staining (intensity \times percentage). Associations between SOX2 and synaptophysin expression was examined using Spearman's rank test. All tests with a *P*-value of < 0.05 were considered statistically significant.

RESULTS

SOX2 is expressed during murine embryonic prostate development

Prostate budding morphogenesis occurs around E17 to E18 (time varies among different mouse strains) when solid epithelial cords

Table 1. Human prostate specimens used in this study

Cohort	Histology	Patients	SOX2+	SOX2-
BPH	BPH	30	26	4
Primary PCa	Adenocarcinoma	24	2 ^a	22
	Neuroendocrine	1	1	0
UWTMA22 (metastasis)	Synaptophysin+	10	8	2
	Synaptophysin-	14	7	7
UWTMA46 (LuCaP)	Adenocarcinoma	16	2	14
	Neuroendocrine	3	3	0

Abbreviation: PCa, prostate cancer. ^aSOX2 was only detected in benign areas.

grow into the surrounding urogenital sinus mesenchyme to form the rudimentary prostate buds. SOX2 expression was detected during prostate budding morphogenesis (Figure 2a and b). Nuclear SOX2 staining was observed in the urogenital sinus epithelial cells, with stronger nuclear staining in cells at the epithelial-mesenchymal interface. Some stromal cells show weak staining for SOX2. The expression pattern of SOX2 in the budding prostate is similar to that of Foxa2 and p63 (Figure 2d-i).³⁰ At 2 weeks after birth (Figure 2c) and in adults (Figure 3a), nuclear SOX2 staining is essentially undetectable in luminal prostatic epithelial cells. Because of background cytoplasmic staining (Figure 2c and 3a), it is not clear whether SOX2 is expressed in mouse prostate basal epithelial cells at these stages.

SOX2 is expressed in NE PCa murine models

In the adult, wild-type prostate luminal epithelial cells were negative for SOX2 (Figure 3a). Similarly, nuclear SOX2 staining was not observed in the 12T7 prostate PIN model, although some luminal epithelial cells exhibit weak cytoplasmic staining (Figure 3b), which was considered to be background. In contrast, strong nuclear staining for SOX2 was observed in the 12T10, NE10 and TRAMP NE tumors (Figure 3c-e). Expression of SOX2 was also detected in prostatic NE tumor metastasis (Figure 3f).

Expression of SOX2 in murine NE PCa was confirmed by western blotting. SOX2 expression was detected in 12T10, NE10 and TRAMP tumor lysates but not in prostates derived from wild-type or 12T7 mice (Figure 4). The lack of SOX2 immunoreactivity on western blots of normal or PIN mouse prostates supports the conclusion that the cytoplasmic IHC staining seen in some sections was indeed non-specific background staining.

Expression of SOX2 in human PCa cell lines

SOX2 expression was examined in established benign or cancerous prostatic cell lines by western blotting (Figure 5). SOX2 was strongly expressed in DU145 and PC3 cells, with lower amounts in CWR22Rv1 cells. DU145 and PC3 are AR-negative cell lines and their growth is independent of the presence of androgen, whereas CWR22Rv1 is AR positive but grows as a castrate-resistant cancer cell line.³¹ Low SOX2 expression was detected in RWPE1, LNCaP, LAPC4 and CWR22Pc cell lines. Compared with the three cell lines that have high/moderate level of SOX2, these cell lines are generally less aggressive *in vivo* and are androgen responsive.³² SOX2 was essentially undetectable in NHPRE and BHPRE cells, two newly established human prostate epithelial cell lines derived from normal and benign prostate tissue, respectively.³³ Each cell line will form normal prostate glandular structure when recombined with inductive embryonic urogenital sinus mesenchyme and grafted under the renal capsule.³³

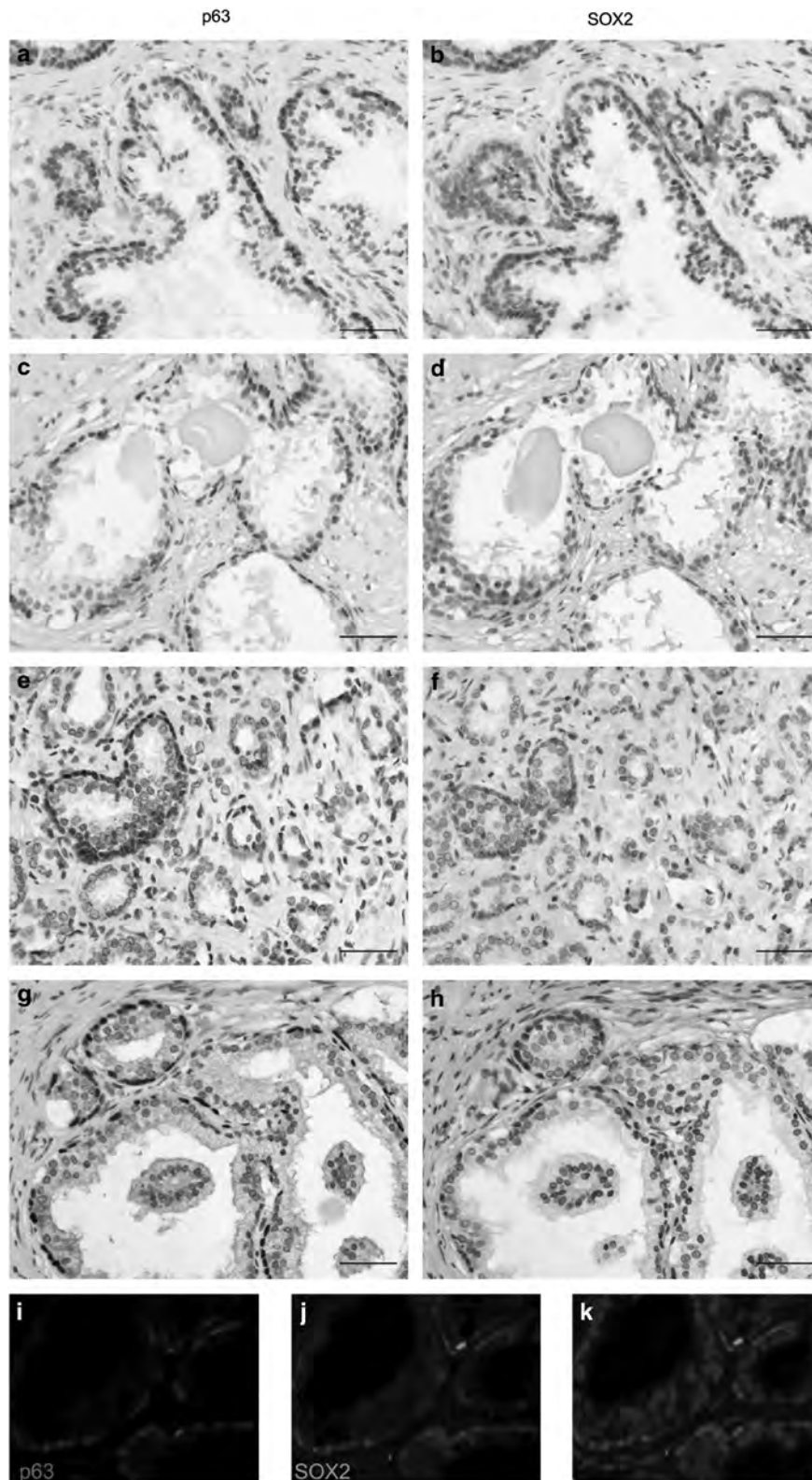


Figure 6. Expression of SOX2 in human BPH and primary prostate adenocarcinoma specimens. Immunohistochemical staining of SOX2 or p63 was performed on serial sections derived from BPH (a–d) or prostate cancer (PCa) (e–h) tissues. Panels a and b represented the 26 of the 30 BPH cases that displayed positive SOX2 staining in basal cell layer; panels c and d represented the 4 of the 30 BPH cases that showed little or no SOX2 staining but were still positive for p63. Panels e and f represented the 22 of the 24 primary PCa cases that lost SOX2 expression. Some benign areas in these PCa specimens were positive for p63 but negative for SOX2 staining. Panels g and h represented cancer-adjacent normal areas in the 2 of the 24 PCa cases where both p63 and SOX2 were expressed. Panels i–k are images from dual immunofluorescence staining performed on sections derived from BPH specimens. SOX2 (in green) was co-expressed with basal cell marker-p63 (in red). DAPI was used for counterstaining. Scale bars represent 25 μ m. A full colour version of this figure is available at the *Prostate Cancer and Prostatic Disease* journal online.

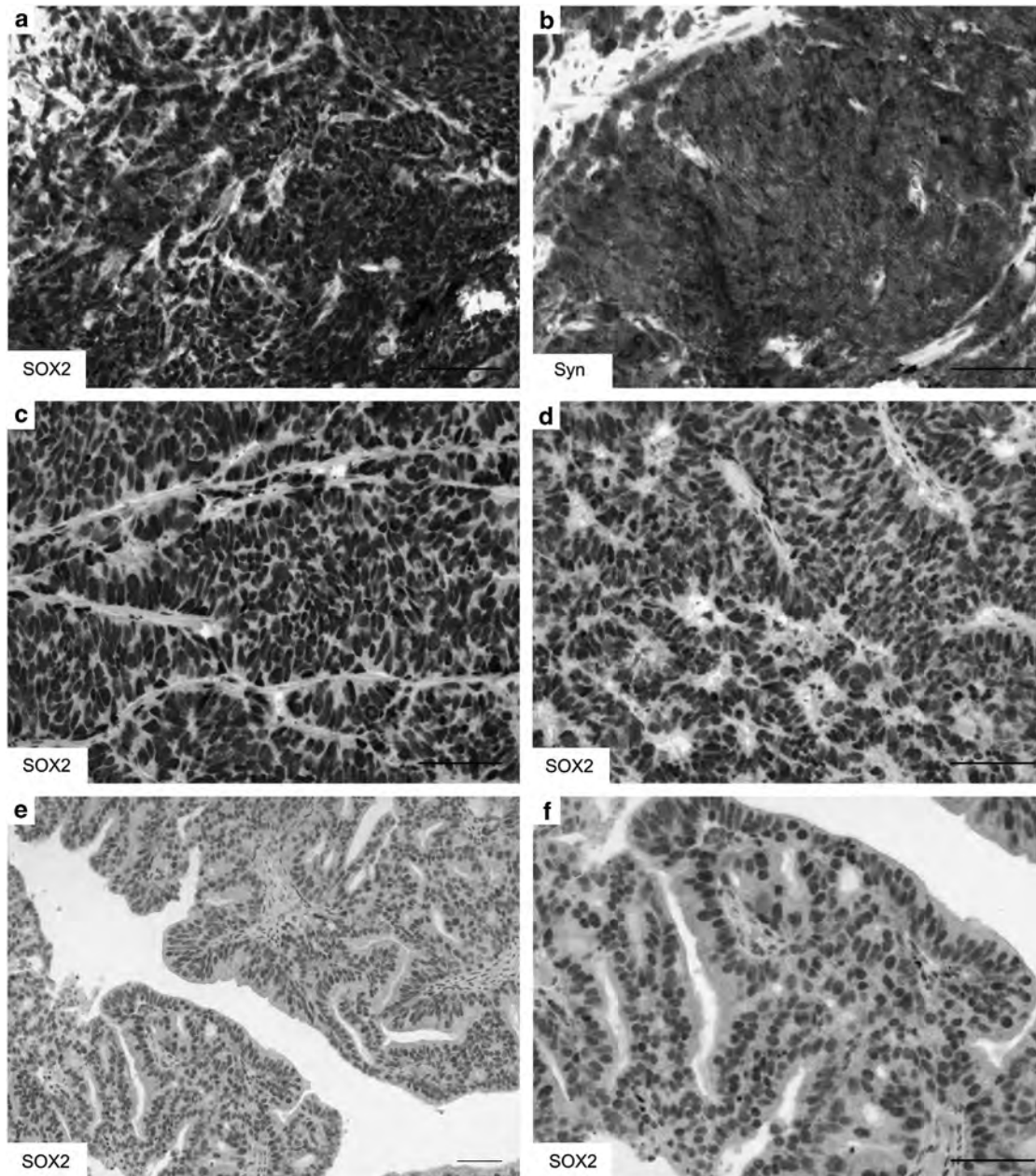


Figure 7. SOX2 expression was detected in human neuroendocrine (NE) prostate cancer (PCa) specimens. (a, b) Immunohistochemistry staining of SOX2 and NE markers (synaptophysin) was performed on serial sections derived from NE PCa needle biopsies. Both SOX2 and synaptophysin were highly expressed on these sections. The expression of SOX2 was also examined on a set of tissue microarrays consisting of LuCaP xenografts derived from 19 patients. Panels c and d represented positive SOX2 staining on all the NE PCa cases. Panels e and f represented the one case that was adenocarcinoma but showed positive SOX2 staining. Panel f is a higher magnification picture of panel e. Scale bars represent 25 μ m.

Expression of SOX2 in benign and malignant human PCa specimens

The expression of SOX2 in benign and malignant human prostatic tissues has been assessed in a recent study where focal expression of SOX2 was detected in 90% of benign tissues, 65% of HGPIN samples and 52% of PCa.⁷ Here we examined the expression of SOX2 in BPH, primary prostate adenocarcinoma, prostate NE cancer (small cell carcinoma) and castration-resistant metastatic tumors. All the human specimens examined in this study were listed in Table 1. Most (26 of 30; 87%) BPH specimens showed positive SOX2 staining in prostatic basal cells (Figure 5b), which co-express the basal cell marker p63 (Figure 6a i–k). The other four

BPH cases showed little or no SOX2 expression in the basal cell layer despite the presence of p63-positive basal cells (Figure 6c and d). Most (22 of 24; 92%) primary prostate adenocarcinoma specimens were negative for SOX2 staining (Figure 6f). Although some benign prostatic acini or ducts within the tumors still expressed p63 (Figure 6e), they did not exhibit SOX2 immunoreactivity (Figure 6f), suggesting that loss of SOX2 expression is not simply a reflection of an absent basal cell layer. In the two SOX2-positive prostate adenocarcinoma cases, SOX2 expression was only detected in adjacent benign areas but not in cancer areas (Figure 6g and h).

As SOX2 was associated with NE tumor phenotype in the skin¹⁷ and lung¹⁸ and that SOX2 was expressed in murine models of

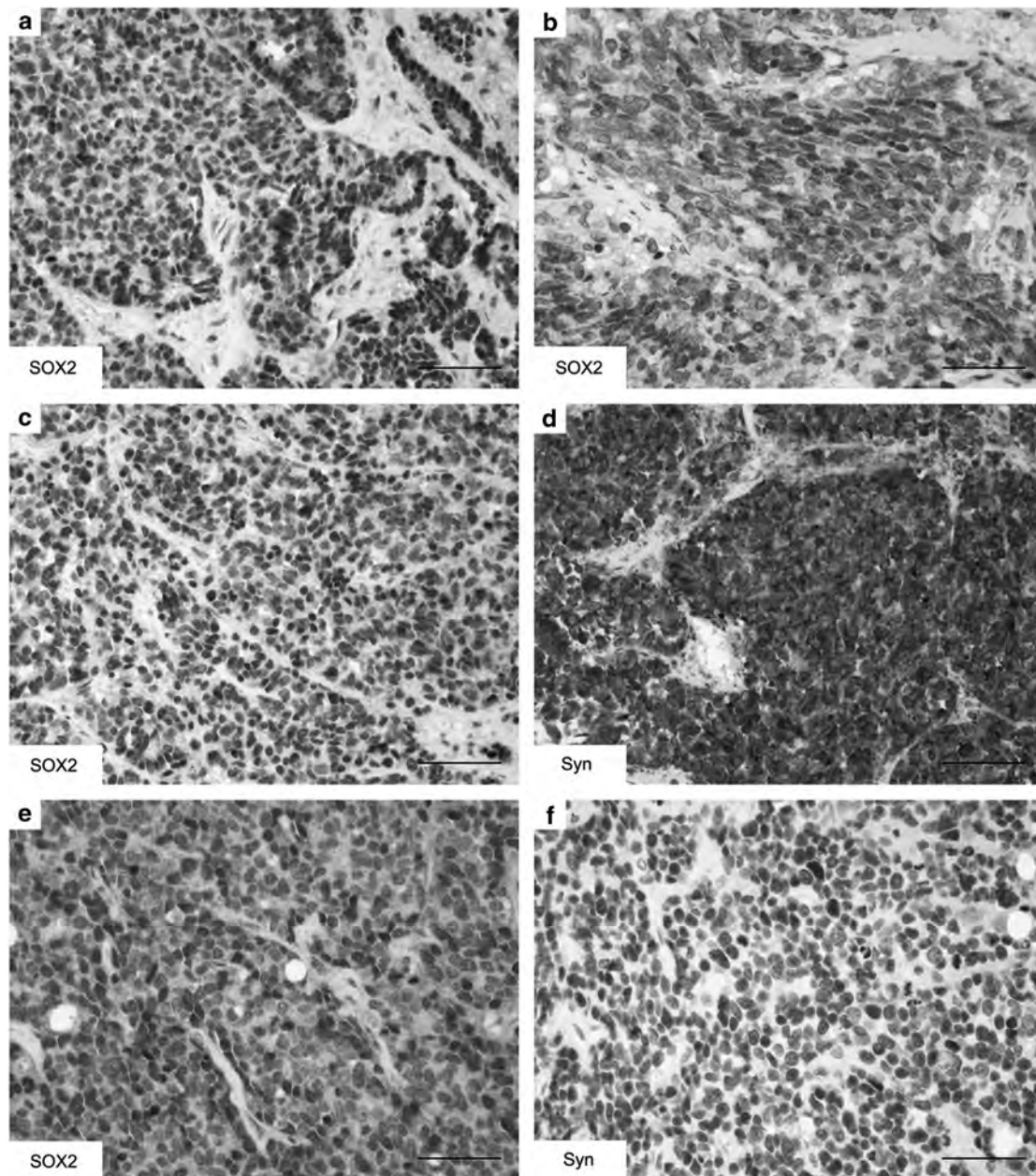


Figure 8. SOX2 expression in metastatic prostate cancer (PCa). The expression of SOX2 was examined on a set of tissue microarrays (UWTMA22) that consist of metastatic castration-resistant PCa tissues. The expression of SOX2 was detected in both soft tissue (**a**, liver metastasis) and bone metastasis (**b**), in both neuroendocrine (NE) (**c**) and non-NE (**e**) PCa metastasis. On serial sections **c** and **d**, both SOX2 and synaptophysin were expressed; however, on serial sections **e** and **f**, SOX2 but not synaptophysin was expressed. Scale bars represent 25 μ m.

prostatic NE tumors, we examined the expression of SOX2 in human prostatic NE tumors. A NE tumor biopsy specimen examined exhibited strong nuclear SOX2 expression (Figure 7a), along with co-expression of synaptophysin (Figure 7b). We also examined the expression of SOX2 on a set of prostate tissue microarrays UWTMA46, a collection of LuCaP xenografts. All of the NE tumor specimens derived from three patients were positive for SOX2 (Figures 7c and d). In the non-NE xenografts derived from two patients that were positive for SOX2, one case showed nuclear SOX2 staining in almost half of the epithelial cells (Figures 7e and f, median value 47.5%), whereas the other case showed positive SOX2 staining in only 5% of the epithelial cells (data not

shown). The remaining non-NE PCa xenografts derived from other 14 patients were negative for SOX2.

We have also examined the expression of SOX2 on UWTMA22, a set of prostate tissue microarrays that consists of CRPC bone and soft tissue metastases. Of the 24 patients, 15 (63%) were positive for SOX2 in at least one metastatic site (Table 1 and Figure 8), despite the observation that primary adenocarcinomas of the prostate exhibit loss of SOX2 expression. Serial sections from the UWTMA22 were also stained for synaptophysin by IHC and compared with SOX2 expression (Figure 8). The expression of SOX2 was correlated with synaptophysin-positive staining (Spearman's test, $P=0.037$; $Rho=0.143$; Table 2).

Table 2. Correlation of expression of SOX2 and synaptophysin

	Synaptophysin				P-value	Rho value
	Score 0	Score 1	Score 2	Score 3		
SOX2						
Score 0	121 (76.1)	20 (76.9)	7 (50.0)	9 (56.2)	0.037	0.143
Score 1	10 (6.3)	3 (11.5)	3 (21.4)	2 (12.5)		
Score 2	15 (9.4)	3 (11.5)	3 (21.4)	5 (31.3)		
Score 3	13 (8.2)	0 (0.0)	1 (7.1)	0 (0.0)		

DISCUSSION

In this study, we characterized the expression of SOX2 in murine and human prostatic tissue. Our data demonstrated that SOX2 was expressed during embryonic prostate budding morphogenesis. In the adult prostate, SOX2 expression was primarily detected in prostatic basal epithelial and NE cells. Therefore in the prostate SOX2 is largely an embryonic and NE marker, which is turned off in the luminal compartment following birth.

In the adult human prostate, SOX2 expression was limited to the basal compartment. The expression of SOX2 was lost in 24 primary prostate adenocarcinomas, which agrees with the notion that prostates lose basal cells during cancer progression. Interestingly, in these primary PCa samples, we noticed some p63-positive but SOX2-negative areas, suggesting that loss of SOX2 expression in basal cells occurs before p63 is lost in PCa. Our finding that SOX2 is lost in prostate adenocarcinoma differs from a previous report that showed SOX2 is detected in 51% prostate carcinoma cases. One possible reason for the differences in SOX2 expression in these two cohorts could be because of different treatment regimens used. For example, androgen has been shown to inhibit the expression of SOX2,¹⁰ thus androgen-deprivation therapy may induce the expression of SOX2 in patients who have undertaken antiandrogens. The loss of SOX2 in adenocarcinomas, however, may be transient as SOX2 was detected in 15 of the 24 metastatic hormone refractory PCa patients. The observation that SOX2 is expressed in PCa metastases is consistent with a recent study where SOX2 was detected in majority of castration-resistant metastatic PCa specimens,¹⁰ as well as our characterization of SOX2 expression in established prostate cell lines that suggests an association with castrate resistance as well.

We also showed that the expression of SOX2 was detected in NE tumors of prostate. SOX2 was expressed in human NE and NE tumor murine models examined. In human pathology specimens, SOX2 was expressed in primary NE PCa needle biopsy specimens and in all the NE tumor xenografts. In the metastatic PCa specimens, the expression of SOX2 was correlated with NE marker, synaptophysin. Because NE PCa are AR negative, they do not respond to hormonal therapy. Currently, there is no effective therapy for treating NE PCa, and most patients with NE PCa survive < 1 year.³⁴ Identifying genes and pathways that are active in NE PCa can improve our understanding on prostatic NE tumor biology and provide potential therapeutic targets. Studies have shown that signaling pathways active in stem cells and in embryonic prostate development are often activated in prostate NE tumors.^{30,35–38} Our finding that SOX2, a 'stemness' gene, is expressed in embryonic prostates and in prostate NE tumors provides another piece of evidence supporting the connection of NE phenotype with stem cell features. Moreover, characterizing the expression pattern of SOX2 in well-characterized NE mouse models allows us to further interrogate the molecular underpinnings of NE PCa.

The observation that the majority of adenocarcinomas lose SOX2 expression, whereas increased SOX2 expression is associated with castrate-resistant metastatic PCa, suggests that those

patients who express SOX2 may be more likely to progress to castrate-resistant disease. Moreover, the expression of SOX2 in NE tumor and tumors that have undergone NED support the notion that SOX2 may support progression to castrate resistance via a NED pathway. Indeed, a recent study found that ectopic expression of SOX2 drives CRPC growth, indicating that SOX2 is not only expressed in CRPC but also an important driver in promoting PCa progression.¹⁰ Coupled with this observation, our findings support exploring the predictive value of SOX2 for PCa with a larger patient cohort.

In conclusion, SOX2 was expressed during murine prostate development. In human prostate specimens, SOX2 expression was detected in basal cells. The expression of SOX2 was lost in primary prostate adenocarcinoma but was detected in castration-resistant metastatic PCa and in prostatic NE tumors.

CONFLICT OF INTEREST

The authors declare no conflict of interest.

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Androgen receptor differentially regulates the proliferation of prostatic epithelial cells *in vitro* and *in vivo*

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ABSTRACT

Androgens regulate the proliferation and differentiation of prostatic epithelial cells, including prostate cancer (PCa) cells in a context-dependent manner. Androgens and androgen receptor (AR) do not invariably promote cell proliferation; in the normal adult, endogenous stromal and epithelial AR activation maintains differentiation and inhibits organ growth. In the current study, we report that activation of AR differentially regulates the proliferation of human prostate epithelial progenitor cells, NHPPrE1, *in vitro* and *in vivo*. Inducing AR signaling in NHPPrE1 cells suppressed cell proliferation *in vitro*, concomitant with a reduction in MYC expression. However, ectopic expression of AR *in vivo* stimulated cell proliferation and induced development of invasive PCa in tissue recombinants consisting of NHPPrE1/AR cells and rat urogenital mesenchymal (UGM) cells, engrafted under renal capsule of adult male athymic mice. Expression of MYC increased in the NHPPrE1/AR recombinant tissues, in contrast to the reduction seen *in vitro*. The inhibitory effect of AR signaling on cell proliferation *in vitro* were reduced by co-culturing NHPPrE1/AR epithelial cells with prostatic stromal cells. In conclusion, these studies revealed that AR signaling differentially regulates proliferation of human prostatic epithelia cells *in vitro* and *in vivo* through mechanisms involving stromal/epithelial interactions.

INTRODUCTION

Androgen deprivation therapy is the gold standard treatment for advanced stage PCa [1]. Initially, PCa responds to the treatment, resulting in tumor regression. However, these tumors almost invariably progress to castration-resistant PCa (CRPCa) in which androgen ablation can no longer suppress disease progression [2]. Studies have several mechanisms that contribute

to the development of CRPCa, including androgen receptor (AR) AR mutations, AR activation by growth factors, constitutively active AR variants, and increased intra-prostatic androgen synthesis [2]. Although neuroendocrine differentiation and cancer stem cell pathways may bypass AR, alterations in the androgen signaling pathway are still considered a predominant factor in mediating the emergence of resistance to androgen deprivation therapy in PCa.

Although blocking AR signaling causes prostate tumors to shrink in PCa patients and animal models, it has long been recognized that androgen deprivation therapy fails to produce complete responses. One explanation for the incomplete regression may be the presence of distinct populations of prostatic cells that respond to androgenic stimulation anomalously. The prostate gland has an epithelial parenchyma surrounded by a stroma. The epithelial tissue is composed of basal cells and tall columnar secretory luminal cells with occasional neuroendocrine cells [3]. AR is expressed in both the stromal and epithelial tissues although the distribution between cell types varies among species. In humans, AR is expressed in virtually all luminal, many basal epithelial cells, and many cells of the stroma. Castration results in a reduction in the total volume of the prostate. In rats, the initial target of androgen loss is the microvasculature immediately adjacent to the epithelial cells with loss of epithelium occurring subsequent to the loss of vasculature [4, 5]. Experimental models have demonstrated that epithelial apoptosis following castration is due to a failure of androgen to occupy stromal, but not epithelial, AR [6, 7]. Androgen ablation leads to a preferential loss of the luminal phenotype. It does not however lead to a complete regression of the gland, and mechanisms such as Wnt/ β -catenin signaling seem to play a protective role, maintaining the viability of some portion of the tissue [8]. This maintenance of tissue is important in seasonally breeding animals [9], but is problematic in the context of cancer therapy in humans, where it allows the preservation of cancer cells from androgen deprivation therapy.

Studies using *in vitro* cell culture methods have shown that AR signaling exerts mixed effects on the growth of cultured prostatic cells [10–12]. Some AR-expressing PCa cells (such as LNCaP [10]) depend on androgens for proliferation/survival. However, other PCa cell lines are insensitive to androgens or show growth inhibition responses upon androgen exposure. For example, proliferation of PC3 cells, an AR-negative PCa cell line, is inhibited by ectopic-expression of AR [13, 14]. Similarly, proliferation of ARCaP cells that express low levels of AR is inhibited by androgen treatment both *in vitro* and *in vivo* [11]. LNCaP 104-R2, a sub-line cells derived from LNCaP after long-term androgen deprivation [12], expresses increased levels of AR. Unlike their parental cell line, LNCaP, androgen treatment induces cell cycle arrest and suppresses the cell proliferation of LNCaP 104-R2 [12]. Additionally, several recent studies have characterized the role of AR by ectopically expressing AR in normal prostatic epithelial cells [15–17]. These studies have revealed that AR signaling induces luminal epithelial differentiation and suppresses proliferation of these cells. Although these studies have established the roles of AR in *in vitro* cultured prostatic cells, it is not yet clear whether inducing AR signaling produces similar proliferation-regulation *in vivo*.

In this study, we ectopically expressed AR in human prostatic epithelial progenitor NHPPrE1 cells and used a unique tissue recombination technique to investigate the roles of AR signaling in modulating prostatic cell proliferation *in vitro* and *in vivo*. NHPPrE1 is a cell line derived from normal human prostate epithelial cells; NHPPrE1 cells have some progenitor features [18]. When recombined with inductive rat urogenital sinus mesenchyme (UGM), NHPPrE1 cells are able to generate benign secretory ductal-acinar architecture *in vivo* [18]. Thus, the benign nature of NHPPrE1 cells makes them a suitable model system for investigating the molecular mechanisms of human prostatic carcinogenesis.

RESULTS

Ectopic expression of AR confers a functional AR-mediated signaling in NHPPrE1 cells

NHPPrE1 is an epithelial cell line derived from a normal human prostate that has some stem/progenitor features but does not express AR in 2D culture *in vitro*. When recombined with rat UGM and grafted *in vivo*, NHPPrE1 cells form organized, functional prostatic glandular structures and therefore can be considered to represent untransformed prostate epithelium [18]. In order to study the role of AR in prostatic cells, we stably integrated full-length AR cDNA into NHPPrE1 cells (NHPPrE1/AR); NHPPrE1 cells stably transduced with empty vector (EV) served as control cells. Ectopic expression of AR in NHPPrE1/AR cells under the CMV promoter was

by Western blot (Figure 1A) and quantitative (q)RT-PCR (Figure 1B). We also determined whether ectopic expression of AR enabled functional androgen signaling in NHPPrE1 cells by examining the expression of two well-established androgen-regulated genes (PSA and FKBP5) by qRT-PCR. Results (Figures 1C and 1D) demonstrated that androgen treatment induced expression of both PSA and FKBP5 in NHPPrE1/AR cells.

staining for AR was conducted to

examine cellular localization in response to androgens in NHPPrE1/AR cells. Upon androgen treatment, ectopically expressed AR translocated from the cytoplasm to the cell nucleus (Figure 1E). These results

that ectopic expression of AR confers functional AR signaling in NHPPrE1 cells.

Inducing AR signaling inhibits the proliferation of NHPPrE1 cells *in vitro*

To investigate whether ectopically expressed AR plays a functional role in modulating proliferation of NHPPrE1 cells, we cultured NHPPrE1/EV or NHPPrE1/AR cells in medium supplemented with 5% charcoal-stripped serum with or without the addition of androgens (10 nM DHT or 1 nM R1881). As shown in Figure 2A (WST-

1 assay) and Figure 2B (IncuCyte cell proliferation assay), androgen treatment did not affect proliferation of NHPPrE1/EV cells but markedly inhibited proliferation of NHPPrE1/AR cells. It was also noticed that 70% NHPPrE1/AR cells died after they were cultured in the presence of androgens for 4 days. Additionally, we treated

NHPPrE1/AR cells with an AR inhibitor (bicalutamide, 10 μ M) [19]. As shown in Figure 2C, bicalutamide did not alter the proliferation of NHPPrE1/AR cells in the absence of androgen, but partially restored proliferation of NHPPrE1/AR cells in the presence of androgen ($p < 0.05$). It has been well documented that inducing

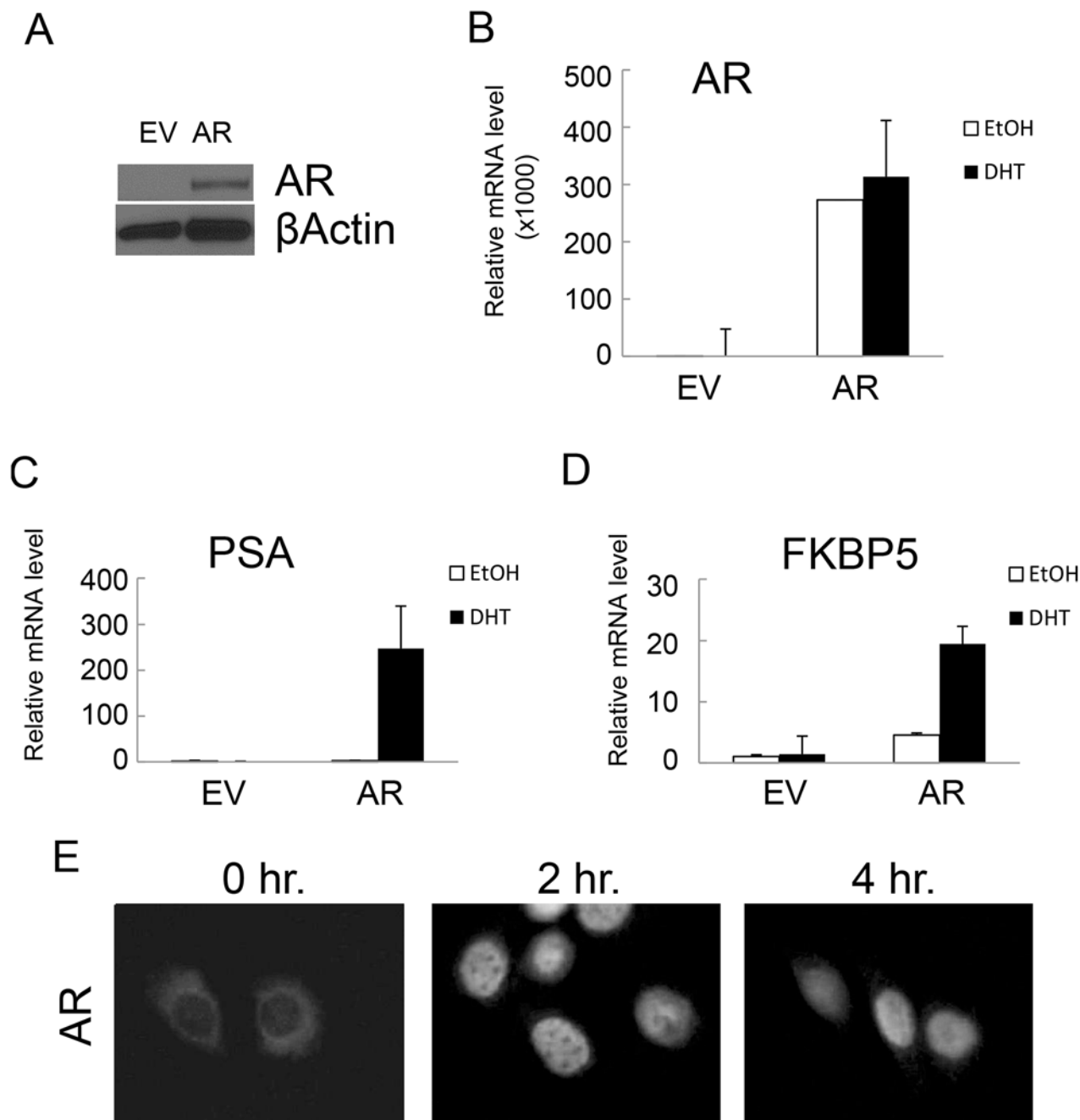


Figure 1: Ectopic expression of AR conferred functional AR-mediated androgen signaling in NHPPrE1 cells. Retroviral vector pLNCX or pLNCX-AR was used to generate NHPPrE1 cells with empty vector (EV) control or AR transgene. **A.** Western blot to analyze the expression of AR in NHPPrE1/EV (EV) or NHPPrE1/AR (AR) cells. Beta-actin served as a loading control. **B-D.** quantitative (q)RT-PCR to assess the levels of AR (B) and androgen responsive genes PSA (C) and FKBP5 (D). Androgen treatment (DHT, 10 nM) induced the expression of PSA and FKBP5. The expression of GAPDH was used to normalize the qPCRs. **E.** immunofluorescence staining of AR. NHPPrE1/AR cells were cultured in androgen-depleted medium for 24 hours and then treated with R1881 (1nM) for 2 or 4 hours. AR was conducted to examine the nuclear translocation of AR upon androgen treatment.

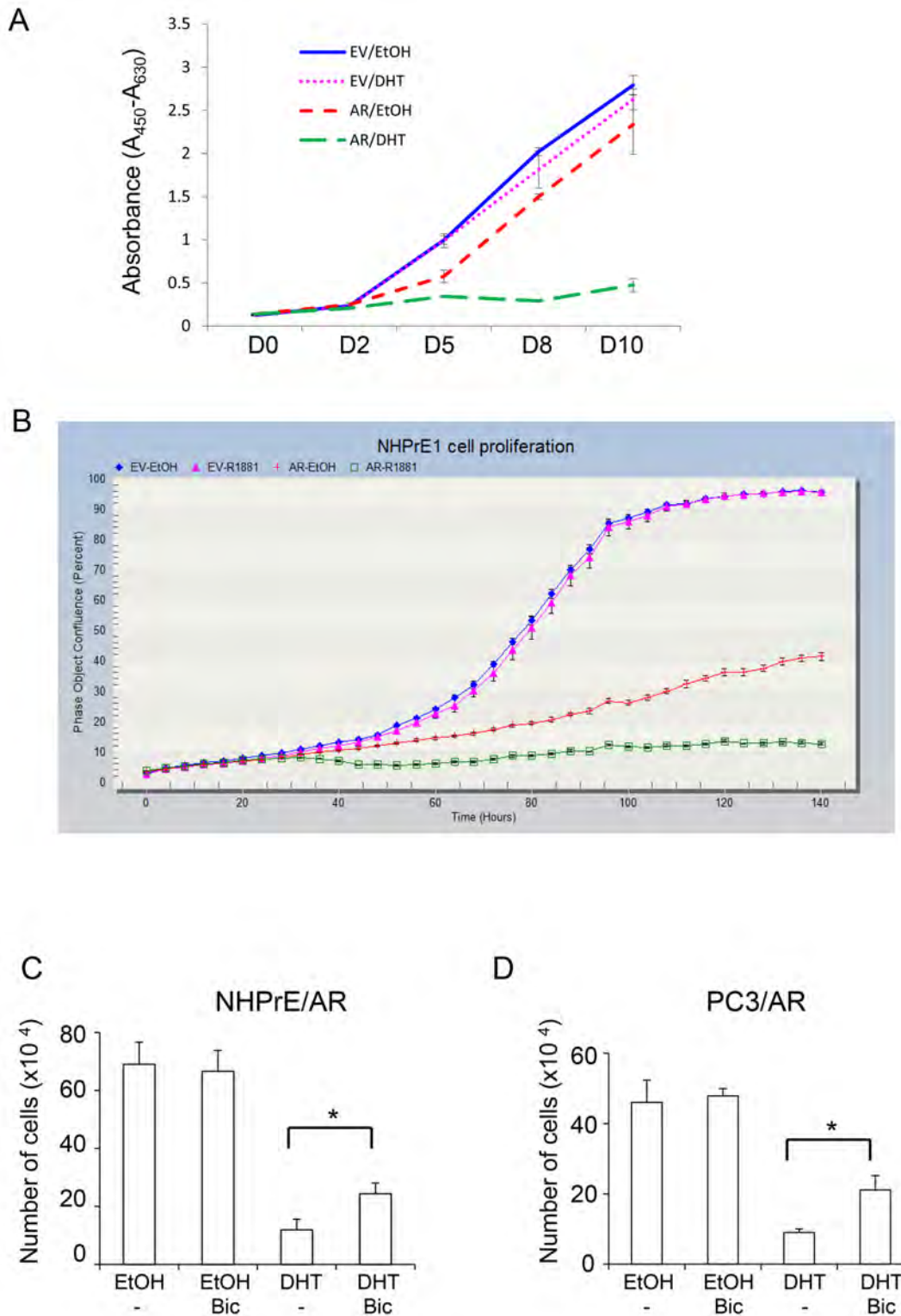


Figure 2: Androgen differentially regulated prostatic cell proliferation. The proliferation of NHPPrE1 cells with or without AR expression was assessed by using both WST-1 **A**, and IncuCyte **B**, methods. NHPPrE1/EV cells and NHPPrE1/AR cells were cultured in the absence or presence of androgens (10 nM DHT or 1 nM R1881). Androgen treatment had negligible effects on the proliferation of empty vector control cells, but suppressed proliferation of AR-expressing NHPPrE1 cells (panel B, $p < 0.01$ from 36 hour onward, comparison between ethanol- and R1881- treated NHPPrE1/AR cells). Overall, compared with NHPPrE1/EV cells, NHPPrE1/AR cells displayed suppressed cell proliferation. **C** and **D**, blocking AR attenuated androgen-induced proliferation inhibition. NHPPrE1/AR (**C**) or PC3/AR cells (**D**) were cultured with or without androgen (10 nM DHT) in the presence or absence of 10 μ M bicalutamide (Bic) for 5 days. While DHT suppressed the proliferation of NHPPrE1/AR and PC3/AR cells, addition of bicalutamide attenuated this inhibitory effect of androgens. $*p < 0.05$, t-test.

AR signaling inhibits the proliferation of PC3/AR cells [13, 14, 20–22]. In our study, treatment of PC3/AR cells with bicalutamide also induced a growth restoration effect (Figure 2D). Together, these results suggest that inhibition of cell proliferation by androgen signaling in NHPPrE1/AR cells is mediated by AR.

Androgen differentially regulates MYC levels in prostatic cells

MYC is a nuclear protein that plays important roles in cell cycle regulation. MYC is often and/or mutated in cancer, especially in the prostate where it can play a role as an oncogene [23, 24]. Studies indicate that MYC is implicated in AR-mediated growth modulation of prostatic cells [12, 15, 16]. To determine whether MYC is also involved in the function of ectopically expressed AR in NHPPrE1 cells, we examined the levels of MYC in NHPPrE1/EV and NHPPrE1/AR cells under DHT treatment. LNCaP and PC3/AR cells were used as controls. The results (Figure 3A) showed that levels of MYC were associated with androgenic modulation of proliferation of NHPPrE1, LNCaP, and PC3 cells. DHT (10 nM) treatment resulted in a down-regulation of MYC in NHPPrE1/AR as well as PC3/AR cells, correlating with the inhibitory effects of androgens in both cell types (Figure 2A and reference [13]). In contrast, DHT induced an up-regulation of MYC in LNCaP cells, a well-established androgen-dependent PCa cell line [10]. We also examined whether bicalutamide treatment could reverse androgen-mediated down-regulation of MYC in NHPPrE1/AR cells. As shown in Figure 3B, DHT treatment caused a reduction of MYC in NHPPrE1/AR cells, but the addition of bicalutamide restored MYC expression in NHPPrE1/AR cells. In summary, the expression of MYC is associated with AR-mediated growth-inhibition of NHPPrE1 cells.

Proteasomal degradation is one of the key mechanisms that regulates intracellular MYC levels [25]. To determine whether androgen treatment affects the stability of MYC protein, cycloheximide chase analyses were conducted using NHPPrE1/AR cells cultured with or without androgen. The results showed that androgen treatment did not affect the turnover of MYC protein in these cells as MYC protein in NHPPrE1/AR cells displayed a similar degradation pattern regardless of whether DHT was present or not (Figure 3C). Also, we assessed whether AR signaling affected the levels of MYC mRNA in these cells. As shown in Figure 3D, DHT treatment caused a reduction of MYC mRNA in both NHPPrE1/AR and PC3/AR cells ($p < 0.01$ and 0.05 , respectively). Together, these results suggest that inducing AR signaling in NHPPrE1 cells down regulates MYC mRNA level and subsequently decreases MYC protein expression, but does not alter proteasomal degradation of MYC.

Ectopic expression of AR promotes NHPPrE1 cells to form invasive PCa *in vivo*

In order to study how ectopic expression of AR modulates the proliferation of NHPPrE1 cells *in vivo*, we conducted tissue recombination-xenografting experiments. Epithelial cells were combined with prostate-inductive mesenchymal cells, grafted under the renal capsules of male mice and allowed to grow for 3 months [26]. We used rat urogenital sinus mesenchyme (UGM), which can induce some prostatic epithelial cells to form prostatic glandular structures [18, 27]. For the epithelia, we used NHPPrE1/EV control cells and NHPPrE1/AR cells. Previous research has shown that when recombined with UGM and grafted *in vivo*, NHPPrE1 cells form glandular structures [18], thereby allowing us to study how ectopic expression of AR alters the cell behavior *in vivo* and how signals from prostatic stromal cells regulate the proliferation of NHPPrE1 cells through stromal/epithelial interactions. Our results showed that while the growth of NHPPrE1/EV grafts was grossly negligible (Figure 4A), NHPPrE1/AR grafts formed large invasive tumors (Figure 4B). To trace the epithelial cells in the NHPPrE1/UGM tissue recombinants, we used immunohistochemical staining for GFP that was also expressed in these cells. We determined that the epithelial cells in the grafts were indeed NHPPrE1 cells and were not contaminated with rat urogenital sinus epithelial cells. As shown in Figures 4C–4N, GFP-positive cells were detected in one of ten NHPPrE1/EV grafts (Figures 4E and 4H), and the histology of this graft showed prostate glandular structure (Figures 4C and 4F). In contrast, eight of ten NHPPrE1/AR grafts showed positive GFP IHC staining (Figures 4K and 4N). The inductive UGM dictated NHPPrE1/EV cells to form benign glandular structures (Figures 4C and 4F), whereas the NHPPrE1/AR recombinants developed invasive carcinomas (Figures 4I and 4L). No distant metastases were observed in any graft-bearing mice.

Although previous studies have indicated that tissue recombinants derived from early passages of NHPPrE1 cells showed mature glandular differentiation with positive staining for AR in the glandular epithelial cells [18], less complete differentiation within luminal epithelium that was not clearly tall columnar and more limited AR expression was observed in the epithelial cells of the NHPPrE1/EV grafts (Figures 4D and 4G). As expected, UGM-derived stromal cells were positive for AR. In contrast to the limited epithelial AR expression in NHPPrE1/EV grafts, grafts derived from NHPPrE1/AR cells showed strong AR staining in epithelial cells (Figures 4J and 4M), indicating that these cells did not lose the AR transgene during the three month *in vivo* growth phase without drug selection pressure.

In the one NHPPrE1/EV graft that grew, epithelial cells formed glandular structures

consisting of cytokeratin 8/18-positive luminal epithelial cells (Figures 5A and 5B) and p63-positive basal cells (Figures 5E and 5F). In contrast, the invasive carcinomas formed by the NHPPrE1/AR grafts were weakly positive for cytokeratin 8/18 (Figures 5C and 5D) and strongly positive for p63, a prostate basal cell marker (Figures 5G and 5H). A high proportion of malignant cells in the NHPPrE1/AR grafts showed nuclear immunoreactivity for the cell proliferation marker Ki67 (Figures 5K and 5L), but only a few positive nuclei were seen in the luminal epithelial cells from NHPPrE1/EV grafts (Figures 5I and 5J). Interestingly, most basal cells of the NHPPrE1/EV graft were positive for Ki67 (Figures 5I and 5J). Overall, more Ki67 positive cells (including both luminal

and basal epithelium) were detected in NHPPrE1/AR than NHPPrE1/EV grafts (Table 1). Taken together, these results indicate that ectopic expression of AR promotes NHPPrE1 cells to form invasive PCa *in vivo*.

Expression of MYC and pSTAT3, but not FOXA1, is induced in NHPPrE1/AR grafts

Previous research has shown that AR partners with various transcription factors to regulate distinct sets of genes involved in modulating the differentiation and proliferation of prostatic cells [28]. In an effort to explore the mechanisms that transform NHPPrE1/AR cells to form invasive cancers *in vivo*, we examined the

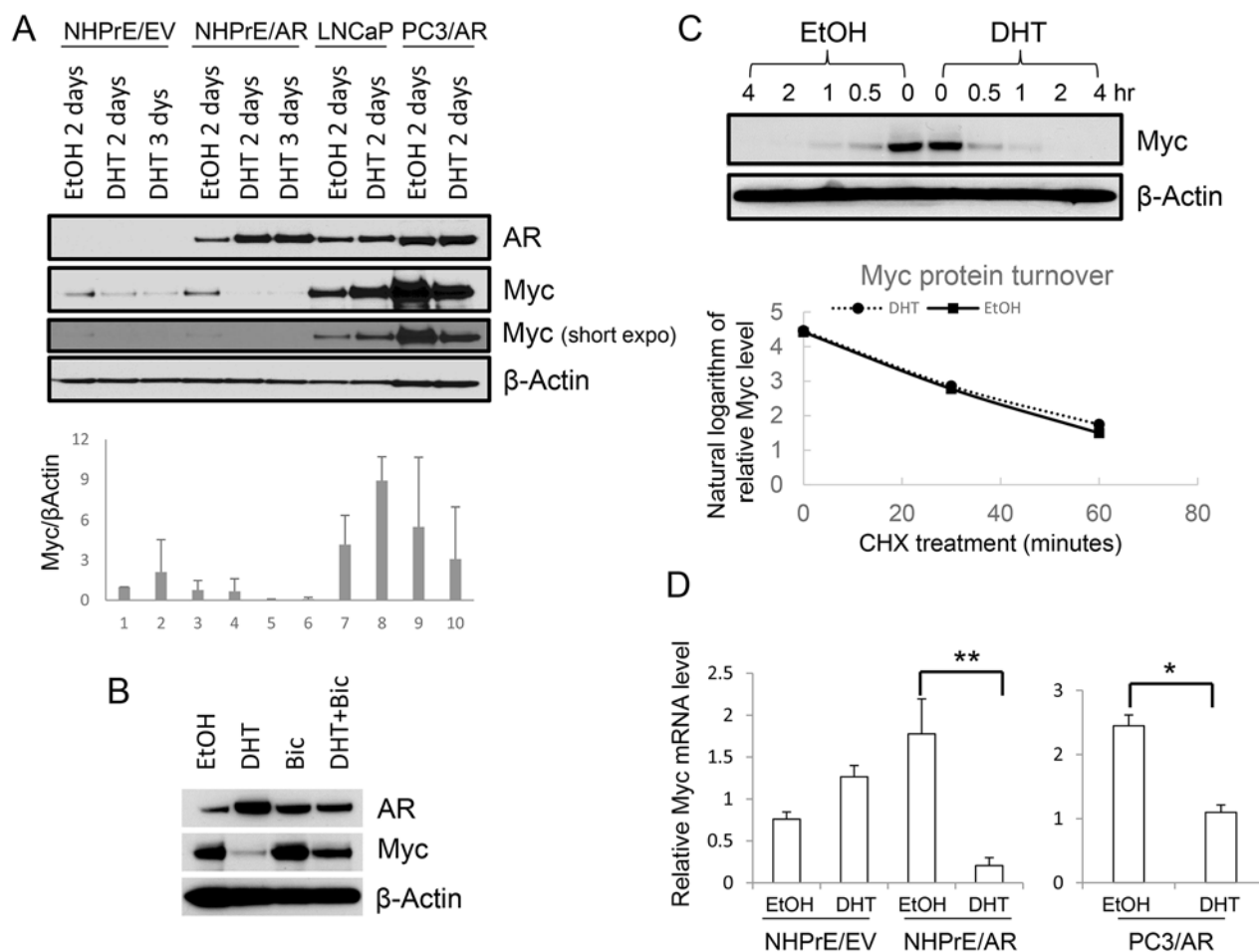


Figure 3: Androgen differentially regulated MYC expression. **A.** Western blot for AR and MYC in prostatic cells. Androgen (DHT, 10 nM) treatment resulted in up-regulation of MYC in LNCaP cells, but down-regulation of MYC in NHPPrE1/AR and PC3/AR cells. Lower panel is the quantification of MYC Western blot. **B.** Western blot for AR and MYC. NHPPrE1/AR cells were cultured in androgen-depleted medium for 2 days with or without the addition of 10 nM DHT and/or 10 μM Bicalutamide (Bic). Bicalutamide treatment reversed androgen-mediated reduction of MYC. **C.** Analysis of MYC protein stability. Cycloheximide chase analyses were conducted using NHPPrE1/AR cells to determine whether androgen treatment affected the turnover of MYC. NHPPrE1/AR cells were treated with 50 μg/ml cycloheximide to block protein synthesis in the presence or absence of 10 nM DHT and harvested at different time points post treatment. Androgen treatment did not alter the stability of MYC protein in NHPPrE1/AR cells. Lower panel is the semi-logarithm plot of MYC levels at different times of cycloheximide treatment. **D.** qRT-PCR to assess the levels of MYC mRNA in NHPPrE1/EV, NHPPrE1/AR, and PC3/AR cells. The expression of GAPDH was used to normalize the qPCRs. DHT treatment significantly decreased the level of MYC mRNA in NHPPrE1/AR and PC3/AR cells. * $p < 0.05$, ** $p < 0.01$, t-test.

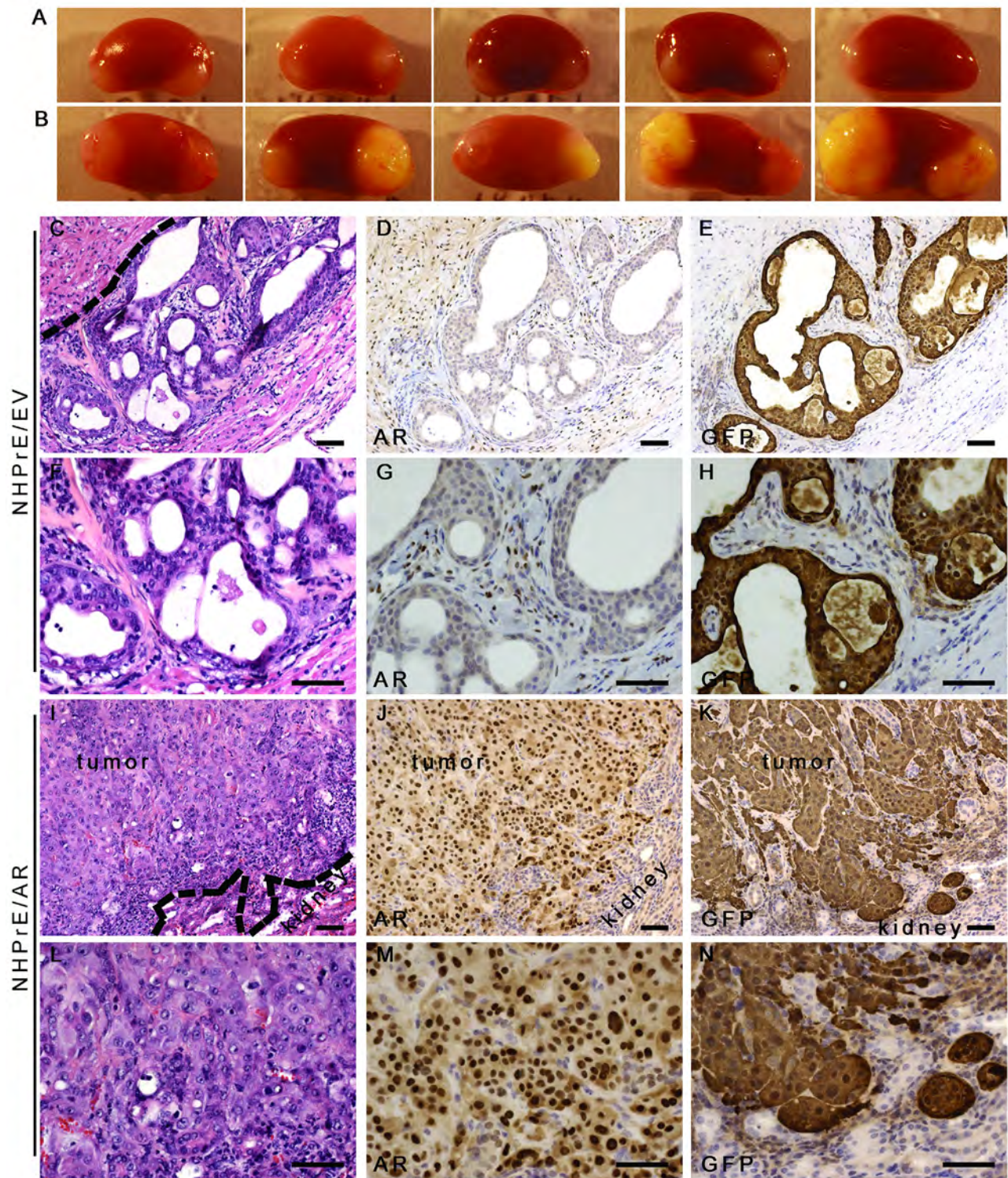


Figure 4: Ectopic-expression of AR transformed NHPre1 cells *in vivo*. NHPre1/EV or NHPre1/AR cells were recombined with rat UGM and grafted *in vivo*. **A** and **B**. gross morphology of renal subcapsular grafts. **A**, grafts derived from empty vector control NHPre1/EV cells showed limited growth; **B**, grafts derived from NHPre1/AR cells grew extensively. **C-N**. H&E and IHC staining performed on serial sections derived from NHPre1/EV (**C-H**) or NHPre1/AR (**I-N**) grafts. **F-H** and **L-N** are higher magnification pictures of **C-E** and **I-K**, respectively. Broken lines in panels **C** and **I** indicate the interface between the NHPre1 grafts and host kidneys. While a clear boundary existed between the NHPre1/EV graft and host kidney (**C**), NHPre1/AR tumors focally invaded renal parenchyma (**I-K**). While epithelial cells in NHPre1/AR grafts were positive for AR by IHC staining (**J** and **M**), epithelial cells in NHPre1/EV graft showed little AR immunoreactivity (**D** and **G**). Stromal cells in NHPre1/EV grafts (derived from rat UGM) were positive for AR staining (**D** and **G**). Epithelial cells in NHPre1/EV grafts showed positive IHC staining for GFP and formed glandular structures (**E** and **H**); whereas GFP-tagged NHPre1/AR cells (**K** and **N**) formed invasive carcinomas. Scale bars represent 25 μ m.

expression of FOXA1, a well-established AR co-activator [29], as well as MYC and pSTAT3, two genes that are differentially recruited to the AR transcriptome [28], in tissue recombinants derived from NHPRE1/EV and NHPRE1/AR cells. We showed that FOXA1 was weakly expressed in a subpopulation of glandular epithelial cells within the NHPRE1/EV graft (Figure 6A). However, little or no FOXA1 expression was detected in the malignant cells of NHPRE1/AR grafts (Figure 6D), indicating a lack of induction of FOXA1 by stromal signals in NHPRE1/AR cells.

Our *in vitro* study indicated that expression of MYC was directly associated with proliferation of NHPRE1 cells. To study whether MYC is associated with tumorigenicity of NHPRE1 cells *in vivo*, we used IHC staining to assess the expression of MYC in grafts derived from NHPRE1/AR or empty vector control NHPRE1/EV cells. Our results showed that while MYC was only expressed in a few basal cells in NHPRE1/EV grafts (Figure 6B), many more MYC-positive cells were detected in the carcinomas that formed in NHPRE1/AR grafts (Figure 6E and Table 1). These data indicate that AR regulates MYC expression in NHPRE1/AR cells in a context-dependent manner: suppressing MYC expression and inhibiting cell proliferation in

2D *in vitro* culture, but elevating MYC expression and promoting carcinoma formation *in vivo*.

A possible explanation for the incongruous regulation of NHPRE1 proliferation by AR signaling *in vitro* and *in vivo* is the presence of stromal/epithelial communication within tissue recombinants. Since signal transducer and activator of transcription 3 (STAT3) is instrumental in several signaling pathways that mediate prostatic stromal/epithelial cell interactions [30], we examined activated pSTAT3 (Tyr-705) expression in grafts derived from NHPRE1/EV and NHPRE1/AR cells. As shown in Figures 6C & 6F, pSTAT3 is barely detectable in the epithelial cells of empty vector control grafts but numerous pSTAT3-positive cells were observed in NHPRE1/AR grafts (Figures 6C & 6F and Table 1), indicative of active STAT3 signaling in these grafts.

The presence of stromal cells restores proliferation of NHPRE1/AR cells

To determine the role of stromal cells in regulating the proliferation of NHPRE1 cells, stromal/epithelial co-culture experiments were conducted. The results showed that the presence of prostate stromal cells

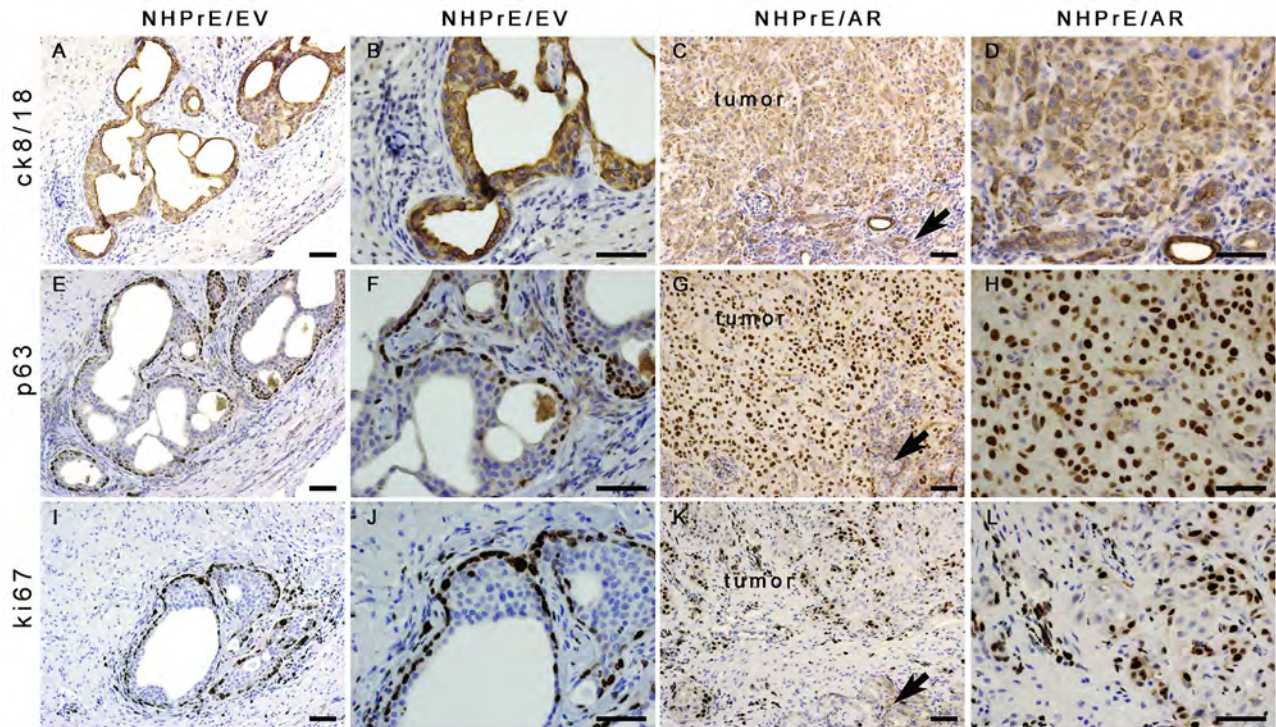


Figure 5: Histology of NHPRE1/EV and NHPRE1/AR grafts. IHC stains for cytokeratin 8/18 (ck8/18, luminal epithelial cell marker), p63 (basal epithelial cell marker), and ki67 (cell proliferation marker) were performed on serial sections derived from NHPRE1/EV A, B, E, F, I, and J or NHPRE1/AR grafts C, D, G, H, K, and L. B, D, F, H, J, and L are higher photomicrographs of A, C, E, G, I, and K, respectively. Arrows in panels C, G, and K indicate host kidney. While NHPRE1/EV control cells formed glandular structures consisting of cytokeratin 8/18-positive luminal epithelial cells (A and B) and p63-positive basal cells (E and F), NHPRE1/AR cells formed invasive carcinomas that were positive for both cytokeratin 8/18 (C and D) and p63 IHC staining (G and H). Ki67 was barely detectable in luminal epithelial cells from NHPRE1/EV grafts (I and J), but was present in many basal cell nuclei in NHPRE1/EV grafts (I and J), as well as in malignant cells in NHPRE1/AR grafts (K and L). Scale bars represent 25 μ m.

(PrSC) promoted the proliferation of NHPPrE1/AR cells. When NHPPrE1/AR cells were co-cultured with PrSC cells, the inhibitory effect of androgens on cell proliferation was diminished (Figure 7A). These results suggest that factors secreted from prostatic stromal cells may stimulate proliferation of NHPPrE1/AR cells. Given that pSTAT3 was induced in the NHPPrE1/AR tissue recombinants, we hypothesized that the IL-6/STAT3 pathway, a well-established mechanism of stromal/epithelial communication [30], was involved in the crosstalk between NHPPrE1/AR and stromal cells. To test this hypothesis, we assessed the levels of pSTAT3 (Tyr-705) in NHPPrE1/EV and NHPPrE1/AR cells cultured in the presence or absence of stromal cells. The results showed that co-culture with PrSC cells increased the levels of pSTAT3 in both NHPPrE1/EV and NHPPrE1/AR cells (Figures 7B-7D). However, co-culture with PrSC did not induce the expression of MYC in these cells (Figure 7B), suggesting that elevated MYC in NHPPrE1/

AR tissue recombinants may result from the presence of other cellular components in the tumor microenvironment. Also, we utilized an IL-6 neutralizing antibody to block IL-6 signaling in the co-culture system. The IL-6 levels in the cell culture supernatant were measured by ELISA to that addition of IL-6 neutralizing antibody effectively decreased IL-6 levels in the cell culture media (Table 2). While co-culture with stromal cells promoted proliferation of NHPPrE1/AR cells, concomitant with an increase of IL-6 in cell co-culture media, addition of IL-6 neutralizing antibodies decreased IL-6 level in cell co-culture media and partially attenuated the restoration of cell proliferation induced by stromal cells (Figure 7E, $p < 0.05$). These results suggest that IL-6 pathway is involved in PrSC/NHPPrE1 communications. However, addition of IL-6 (25 ng/ml) to the cell culture medium failed to induce the cell proliferation of NHPPrE1 cells (Figure 7F), indicating that IL-6 alone is not to promote the proliferation of NHPPrE1/AR

Table 1: Quantification of immunostaining

	Ki67 % Mean (SD)	Myc % Mean (SD)	pSTAT3 % Mean (SD)
NHPPrE1/EV	18.3	9.8	4.4
NHPPrE1/AR	39.8 (5.3)	40.6 (2.6)	36.8 (15.8)

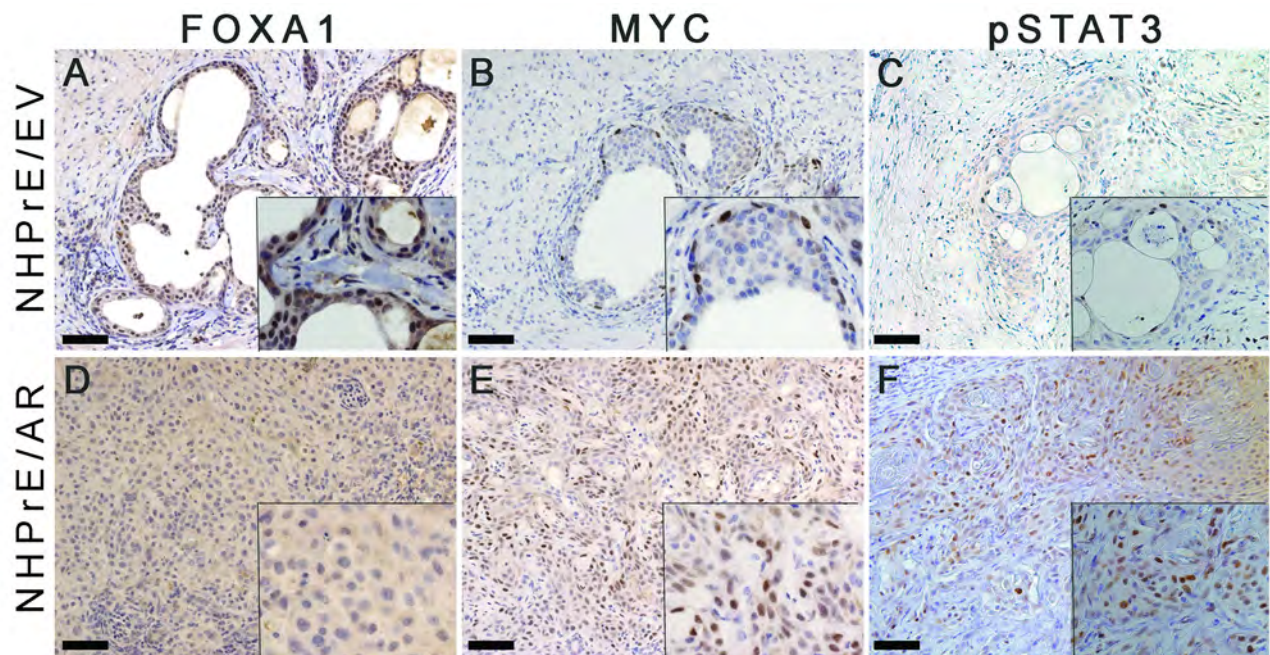


Figure 6: Expression of MYC and pSTAT3, but not FOXA1 was increases in NHPPrE1/AR grafts. IHC staining for FOXA1, MYC, and pSTAT3 was performed on serial sections derived from NHPPrE1/EV and NHPPrE1/AR grafts. Insets in each panel are higher photomicrographs. While NHPPrE1/EV grafts showed weak IHC staining for FOXA1 **A**. FOXA1 was not expressed in the majority of epithelial cells in NHPPrE1/AR grafts **D**. While only a few basal cells in NHPPrE1/EV grafts displayed immunoreactivity MYC **B**. MYC was highly expressed in tumor cells from NHPPrE1/AR grafts **E**. Malignant cells in some areas of NHPPrE1/AR grafts were also positive for pSTAT3 **F**, whereas pSTAT3 levels were negligible in epithelial cells of NHPPrE1/EV grafts **C**.

cells and additional signals from PrSC are indispensable for stimulating the proliferation of these cells. Further, we analyzed the relative levels of IL-6 mRNA in PrSC cells, as well as in PrSC cells co-cultured with NHPPrE1/EV or NHPPrE1/AR cells (Figure 7G). The results showed that when co-cultured with epithelia, PrSC cells produced more IL-6 than when cultured alone ($p < 0.001$). A schematic illustration on the two-way stromal/epithelial communication was summarized in Figure 8. Together, these data suggest that stromal cells may help to restore proliferation of NHPPrE1/AR cells by releasing IL-6 and possibly other pro-growth factors.

DISCUSSION

Although several studies have examined the growth-modulating effects of inducing AR signaling in prostatic cells *in vitro*, the *in vivo* effect of ectopic-expression of AR has not been well studied. In this study, we chose NHPPrE1 cells as a model system to ectopically express AR and study the *in vitro* and *in vivo* effects of inducing AR

signaling in these cells. The capacity of NHPPrE1 cells to form glandular structures when recombined with inductive UGM enabled us to investigate how ectopic expression of AR changed prostatic histomorphology. We found that inducing AR signaling inhibited the proliferation of NHPPrE1 cells *in vitro*, but surprisingly promoted NHPPrE1 cells to form invasive tumors *in vivo*.

In an effort to decipher the mechanisms that caused the differential proliferative responses of NHPPrE1 cells to AR signaling *in vitro* and *in vivo*, we conducted stromal/epithelial cell co-cultures. Prostatic stromal cells, the major cellular components of the tumor microenvironment, were used in our co-culture study. Other cellular components, such as endothelium and immune cells, have yet been tested. Our results showed that the presence of prostate stromal cells (PrSC) diminishes the inhibitory effects of androgen, suggesting that factors secreted from PrSC stimulate proliferation of NHPPrE1/AR cells. Furthermore, we explored the signaling pathways that might mediate this stromal/epithelial interaction and found that blocking IL-6 signaling partially attenuated the growth restoration

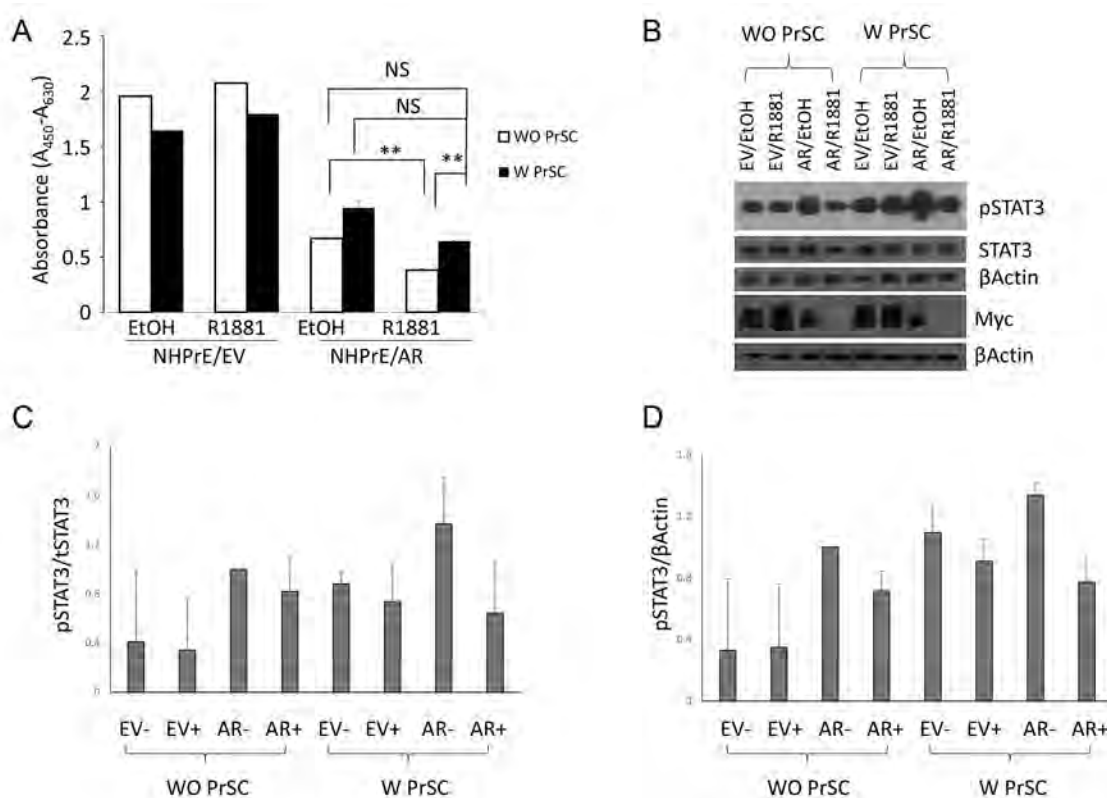


Figure 7: Stromal/epithelial interactions are involved in modulating the proliferation of NHPPrE1 cells. **A.** WST-1 cell proliferation assay to assess proliferation of NHPPrE1 cells in the presence or absence of prostatic stromal cells (PrSC). NHPPrE1/EV and NHPPrE1/AR cells were co-cultured with PrSC for 5 days. While androgen treatment (1 nM R1881) inhibited proliferation of NHPPrE1/AR cells in the absence of PrSC, co-culture with PrSC stimulated proliferation of NHPPrE1/AR cells and partially reversed the proliferation inhibitory effect of androgens seen *in vitro*. ** $p < 0.01$, t-test. **B.** Western blots to assess levels of pSTAT3 in NHPPrE1/EV or NHPPrE1/AR cells cultured with or without PrSC for 2 days. Levels of pSTAT3 (Tyr-705) and total STAT3 in NHPPrE1/EV and NHPPrE1/AR cells were compared; beta-Actin served as loading control. Co-culture with PrSC increased the levels of pSTAT3 but not MYC in NHPPrE1 cells. **C** and **D.** pSTAT3 Western blot. The levels of pSTAT3 were normalized by total STAT3 (C) or by beta-Actin (D). (Continued)

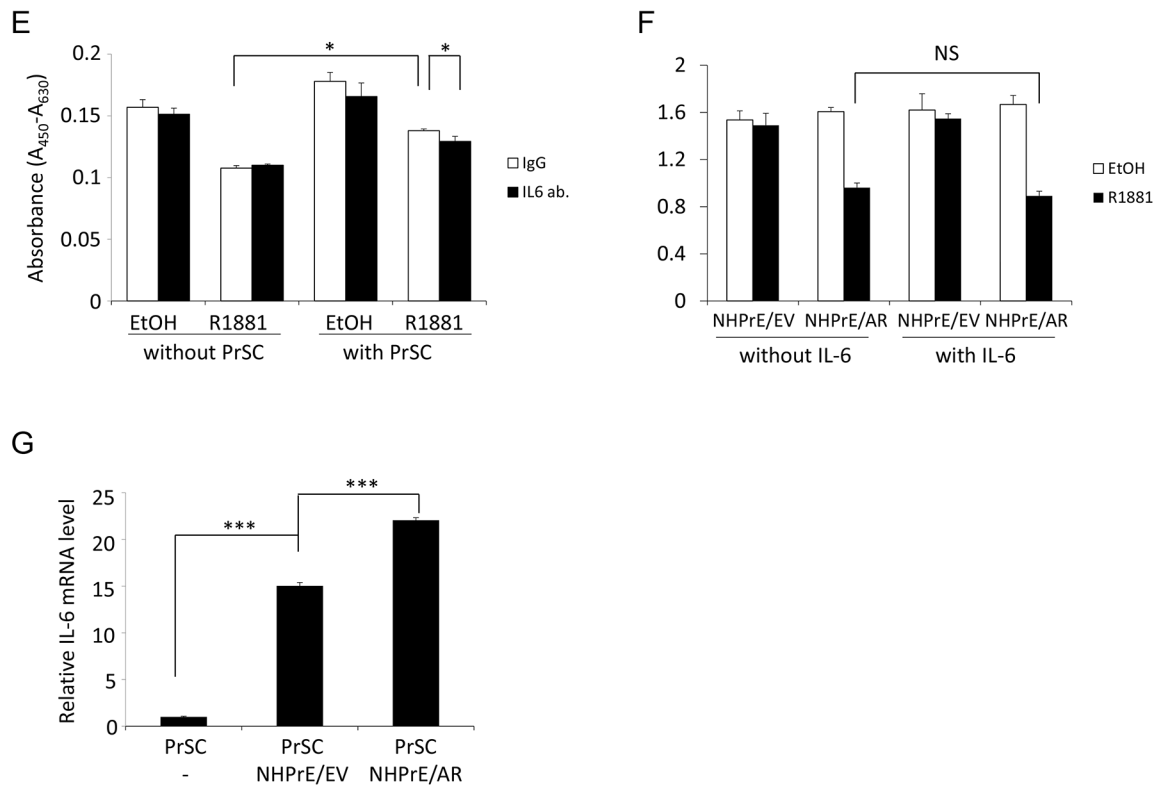


Figure 7: (Continued) Stromal/epithelial interactions are involved in modulating the proliferation of NHPPrE1 cells. **E.** blocking IL-6 attenuated the stimulatory effect of PrSC on NHPPrE1 cell proliferation. NHPPrE1/AR were cultured with or without PrSC cells in the presence or absence of IL-6 neutralizing antibody for 3 days. Anti-IL-6 attenuated the proliferation stimulation effect of PrSC. * $p < 0.05$, t-test. Similar trend was observed in additional independent experiments. **F.** WST-1 cell proliferation assay. NHPPrE1 cells were cultured in the presence or absence of androgens (1 nM R1881) with or without the addition of IL-6 (25 ng/ml). Addition of IL-6 to the cell culture medium did not induce the proliferation of NHPPrE1 cells. **G.** RT-qPCR to assess expression of IL-6 in PrSC cells. Prostate stromal cells (PrSC) were cultured in the presence or absence of NHPPrE1/EV (N/EV) or NHPPrE1/AR (N/AR) cells. Co-culture with prostate epithelial cells stimulated production of IL-6 mRNA in PrSC cells. *** $p < 0.001$, t-test.

effect of stromal cells, indicating that IL-6/STAT3 is one of the mechanisms through which PrSC and NHPPrE1/AR cells communicate. However, it was also noticed that blocking IL-6 led to only a small decrease in cell proliferation, indicating that other pathways are likely involved in the stroma and epithelia communication. Although co-culture with PrSC induced the level of pSTAT3 in NHPPrE1 cells, the expression of MYC in these cells was hardly affected. This suggests that elevated MYC expression in the NHPPrE1/AR tissue recombinants may result from signals from other cellular components, such as immune cells, of the tumor microenvironment. Our results also showed that prostate stromal cells expressed more IL-6 mRNA when they were co-cultured with NHPPrE1 cells than when cultured alone, indicating that factors secreted from epithelial cells modulate gene expression in adjacent stromal cells. Further research is warranted to identify the factors that mediate the crosstalk from NHPPrE1 to PrSC cells.

We found that inducing AR signaling inhibited the proliferation of NHPPrE1 cells *in vitro*. This observation differs from a recent study in which androgens slightly

promote the proliferation of NHPPrE1 cells that have AR stably expressed [31]. We note that while the parental cells used in these two studies are the same, the generation of the AR expressing variants was performed separately with the CMV promoter driving expression in the cells used here and the EF1A promoter in the study reported by Austin et al. As a result these cell strains are not identical with different AR integration sites in the two NHPPrE1-AR derivatives. This, along with the different gene expression levels elicited by the two promoters and somewhat different timing and culture conditions may explain the discrepancy observed in these studies.

AR expression was induced less strongly in epithelial cells of the NHPPrE1/EV + rUGM tissue recombinants described here than was expected based upon previous studies [18]. The previous study was performed using castrated SCID mice pelleted with testosterone [18], whereas intact nude mice were used in this current study. The lower testosterone levels in the host mice may explain the lower level of induction of AR in the NHPPrE1/EV control graft and the less complete differentiation of the epithelial structures illustrated here.

We also found that ectopic expression of AR promoted NHPPrE1 cell proliferation when recombined with UGM and grafted *in vivo*. This result appears to be at odds with previous *in vivo* studies showing that knockout of AR in prostate luminal epithelial cells results in increased cell proliferation, suggestive of a growth-inhibitory effect of AR signaling in these cells [32]. One explanation for this discrepancy is that the luminal epithelial cells of AR knockout prostates are fully differentiated, but NHPPrE1 cells have progenitor features [18]. While recombination with UGM can instruct NHPPrE1/EV cells to form benign prostate glandular structures, constitutive expression of AR in NHPPrE1 cells alters their response to gland-organizing signals from UGM, resulting in the development of carcinomas. It was also noteworthy that these tumors retained expression of the basal cell marker p63, perhaps suggesting that their pathogenesis is somewhat different from that of prostate tumors in the general population. Additionally, previous studies have shown that androgenic modulation of prostatic growth is biphasic, i.e., androgens either stimulate or inhibit proliferation of prostatic cells depending on the developmental stage of the organ

[33, 34]. Whereas androgens stimulate prostatic growth in the prepubertal period, most prostatic cells enter proliferative quiescence after sexual maturation, despite the continuous presence of androgen. Accumulating evidence indicates that AR signaling provides a mechanism to suppress the proliferation of these fully differentiated prostatic luminal epithelial cells [32]. In line with this notion, ectopic expression of AR in PC3 cells induces differentiation [13] and suppresses the growth of PC3/AR tumors *in vivo* [22]. In our case, the progenitor features of NHPPrE1 cells may enable these cells to escape from AR-mediated suppression of proliferation.

Our observation that AR signaling differentially regulates prostatic cell proliferation *in vitro* and *in vivo* is in line with a recent study conducted by Neal and colleagues that showed that AR induces a distinct transcriptional program *in vivo* that is not observed in cultured cells [28]. Using a ChIP-Seq approach, they showed that in cultured cells, AR binding sites are associated with potential FOXA1 and NFI binding sites to regulate a set of differentiation-related genes; whereas *in vivo*, AR potentially partners with MYC, STAT, and E2F

Table 2: IL-6 concentration in the culture media of NHPPrE1/AR cells

	Secreted IL-6 (pg/ml)			
	Without PrSC		With PrSC	
	EtOH Mean (SD)	R1881 Mean (SD)	EtOH Mean (SD)	R1881 Mean (SD)
IgG (Control)	226.8 (7.7)	100.0 (6.9)	537.7 (1.0)	298.5 (1.5)
IL-6 Blocking Antibody	2.3 (0.2)	2.6 (0.2)	2.6 (0.2)	2.6 (0.2)

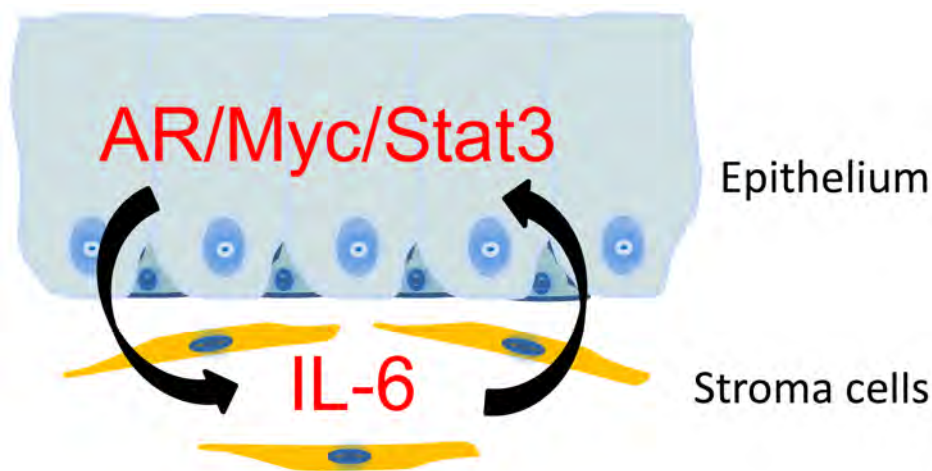


Figure 8: A schematic representation on the stromal/epithelial interaction in NHPPrE1/AR tissue recombinants. NHPPrE1 cells produce factors that induce the expression of IL-6 in prostate stroma. Prostate stromal cells promote the proliferation of NHPPrE1 cells via a mechanism that involves the induction of MYC and pSTAT3 in NHPPrE1/AR cells. The combined expression of AR, MYC, and pSTAT3 may transform NHPPrE1 cells *in vivo*.

to control the expression of a different set of genes that may modulate cell proliferation [28]. MYC is an oncogene frequently altered in advanced stage PCa [23, 24]. Over-expression of MYC confers an androgen-independent PCa cell growth *in vitro* [35], and over-expression of MYC results in the development of invasive PCa *in vivo* [36]. The association of MYC expression with AR modulation of the proliferation of NHPPrE1 cells and the high expression of MYC in NHPPrE1/AR tissue recombinants further suggests involvement of MYC in transforming NHPPrE1/AR cells *in vivo*. IL-6/STAT3 is involved in the communications between prostate tumor cells and the microenvironment. Moreover, STAT3 is an important modulator of AR signaling in the prostate [30]. The combination of the expression of MYC, STAT3, and AR in NHPPrE1/AR grafts may reprogram the AR transcriptome and promote neoplastic transformation of these cells.

Our study also found, in contrast to the elevated expression of MYC and pSTAT3, that FOXA1 was not expressed in the malignant cells in NHPPrE1/AR grafts. However, FOXA1 expression was detected in the glandular epithelial cells of the NHPPrE1/EV tissue recombinant, likely the differentiation status of the cells, illustrated by the absence of basal cell markers. FOXA1 is a well-established AR co-activator [29], and previous studies have suggested that the AR/FOXA1 complex is involved in controlling differentiation-related genes instead of proliferation-related genes in prostatic cells. For example, studies have shown that FOXA1 interacts with AR to regulate the expression of prostate-genes such as PSA, PAP, and SBP [29]. More recent studies have shown that, as a pioneer transcription factor, FOXA1 recruits AR to the promoters of a set of genes that prostate differentiation. However, depletion of FOXA1 in PCa cells did not cause AR to lose all its binding sites; instead, the AR transcriptome was reprogrammed and new AR binding sites were found on the promoters of a distinct set of genes not observed in parental cells [37, 38]. These new AR target genes may be involved in promoting PCa progression. Consistent with the role of FOXA1 in regulating the differentiation of prostate epithelial cells, FOXA1 mutations are observed in advanced stage human PCa [39, 40] and inactivation of FOXA1 gene in prostate epithelial cells promotes prostatic hyperplasia in murine models [41]. Conversely, ectopic expression of FOXA1 inhibits the invasive capacity of PC3 and DU145 cells [40], thereby conferring a less aggressive phenotype in cells that represent advanced stage PCa. Taken together, these studies suggest that expression of FOXA1 restrains the AR transcriptome to genes related to differentiated function and that the lack of FOXA1 expression permits a switch in the AR transcriptome that results in enhanced cell proliferation. Therefore, in addition to the induction of MYC and pSTAT3, the lack of FOXA1 expression in

NHPPrE1/AR cells *in vivo* might be another contributor to, or indicator of, the transformation of these cells.

In conclusion, in this study, we found that AR signaling differentially regulated the proliferation of NHPPrE1 cells *in vitro* and *in vivo* via mechanisms that involved prostate stromal/epithelial interactions.

MATERIALS AND METHODS

Cell culture

NHPPrE1 cells [18] were maintained in DMEM (Gibco) containing 10% fetal bovine serum (FBS) (Atlanta Biologicals, Flowery Branch, GA), 1% penicillin/streptomycin (Gibco), 10 ng/ml epidermal growth factor (Sigma–Aldrich, St. Louis, MO), 1% insulin-transferrin-selenium (ITS) (Gibco), and 0.4% bovine pituitary extract (Atlanta Biologicals). NHPPrE1 cells were transfected with EGFP-expressing plasmid; GFP-positive NHPPrE1 cells were selected by cell sorting. To establish AR-expressing NHPPrE1/GFP cells, CMV promoter-driven LNCX or LNCX-AR retroviral vector-based plasmids were transfected into Phoenix packaging cells (ATCC, Manassas, VA). Twenty-four hours later, culture media were collected and used to infect NHPPrE1/GFP cells. The infection procedure was repeated twice. The transduced cells, stably expressing AR, were selected by culturing them in the presence of G418 (Sigma, St. Louis, MO, USA). G418 resistant cell populations were used in this study. American Type Culture Collection (ATCC) has authenticated NHPPrE1 cells and no contamination from other type of cells was found. PC3/AR [21] and LNCaP (ATCC) cells were cultured in RPMI1640 supplemented with 10% serum.

Cell proliferation assay

Both IncuCyte and WST-1 methods were used to assess the proliferation of NHPPrE1/EV and NHPPrE1/AR cells. For IncuCyte cell proliferation assay, NHPPrE1/EV or NHPPrE1/AR cells (1500 cells per well, 96-well plate) were cultured in DMEM medium containing 5% charcoal-stripped, heat inactivated serum (Atlanta Biologicals) without other additives to avoid the cross-activation of AR by exogenous growth factors. After the cells are attached, the cell culture medium were changed to DMEM-5% charcoal-stripped serum with or without the addition of androgens (1 nM R1881 or 0.1% ethanol) for up to 140 hours. Cell was monitored every 4 hours. For WST-1 assay (Roche Applied Science, Indianapolis, IN), NHPPrE1/EV or NHPPrE1/AR cells were cultured in DMEM-5% charcoal-stripped serum with or without the addition of 10 nM DHT. Cell culture medium were replenished daily when DHT was used due to its metabolic instability. WST-1 cell proliferation assay was conducted according to manufacturer's instruction. Ten μ L WST-1

reagent was added to each well and incubated at 37°C for 1 hour. Absorbance at 440-450 nm (630 nm was used as reference wavelength) was measured using a microplate reader.

Co-culture of prostate stromal and epithelial cells

NHPrE1 cells were cultured with or without primary prostate stromal cells (PrSC) (Lonza, Williamsport, PA). NHPrE1/EV (empty vector) or NHPrE1/AR cells were seeded overnight in 24-well plates in DMEM media containing 5% charcoal-stripped serum. The next day, a WST-1 assay was conducted to assess for equal seeding of each cell line. PrSC cells were then seeded into cell culture inserts (0.4 µm pores) and co-cultured with NHPrE1 cells in DMEM media containing 5% charcoal-stripped serum supplemented with R1881 (1 nM) (Sigma) or ethanol for 5 days. Cell culture medium were replenished every other day. In some experiments, IL-6 neutralizing antibody concentration 2 µg/ml, R&D system, Minneapolis, MN) was added to block IL-6 signaling; goat IgG served as a negative control. WST-1 cell proliferation assays were conducted to assess the proliferation of NHPrE1 cells that were co-cultured with PrSC according to the manufacturer's instructions. For experiments where the expression of IL-6 in PrSC cells was examined, PrSC cells were seeded in 6-well plates and co-cultured with NHPrE1/EV or NHPrE1/AR cells for 2 days. RNA was extracted from PrSC cells and levels of IL-6 mRNA were assessed by quantitative (q)RT-PCR.

Western blot analysis

Protein lysates were prepared from prostatic cells as described previously [43]. Twenty-micrograms of total protein was loaded for electrophoresis. After transfer, membranes were blocked in 5% non-fat milk for one hour, incubated with primary antibodies at 4°C overnight, followed by incubation with horseradish peroxidase-conjugated secondary antibodies (GE Healthcare, Pittsburgh, PA) at room temperature for one hour. ECL-Plus detection system (PerkinElmer, Waltham, MA) was used to visualize immunolocalization. Rabbit antibodies against MYC were purchased from Epitomics (Burlingame, CA), AR from Santa Cruz Biotechnology (Santa Cruz, CA), STAT3 and pSTAT3 from Cell Signaling Technology (Danvers, MA), and β-actin from Sigma.

Cycloheximide chase analysis

Cycloheximide chase analysis was conducted to determine the half-life of MYC in NHPrE1/AR cells in the presence or absence of androgen. Cells were treated

with 50 µg/ml cycloheximide (Sigma) to block protein synthesis in the presence or absence of 10 nM DHT and harvested at 0, 0.5, 1, 2, and 4 hours post-treatment. Western blotting was performed using anti-MYC antibody and band intensities were measured by using Image J (NIH).

Histology and immunohistochemical staining

Immunohistochemistry (IHC) was conducted as described previously [27]. Tissues were in 10% buffered formalin overnight and processed to IHC stains were performed following routine and rehydration of 5 µm sections. Antigen retrieval was performed by microwaving slides for 20 min in boiling antigen-unmasking solution (Vector Laboratories, Burlingame, CA). Endogenous peroxidase activity was blocked with DAKO Peroxidase Blocking Reagent (DAKO, Carpinteria, CA) for 15 min. Sections were incubated with primary antibodies at 4°C overnight in a chamber. Antibodies used were: AR, p63, FOXA1, and GFP (Santa Cruz Biotechnology), MYC (Epitomics, Burlingame, CA), pSTAT3 (Cell Signaling Technology), and Ki67 (Abcam, Cambridge, MA). antibody binding was detected using the Vectastain Elite ABC peroxidase kit (Vector Laboratories, Burlingame, CA) according to the manufacturer's protocol with the DAKO DAB-Chromogen System (DAKO, Carpinteria, CA). Sections were counterstained with hematoxylin, dehydrated, and cover-slipped.

Tissue recombination-Xenografting

Tissue recombination experiments were conducted as described previously [27]. , 6×10⁵ NHPrE1/EV or NHPrE1/AR cells were recombined with 3×10⁵ rat UGM cells in 50 µl of neutralized type I rat tail collagen to make the tissue recombinants. recombinants were cultured overnight and then grafted beneath the renal capsules of adult male nude mice. Host mice were 3 months later by anesthetic overdose followed by cervical dislocation. Kidneys were excised, and grafts were dissected and processed for histology and immunohistochemistry. All the animal experiments were approved by the Institutional Animal Care and Use Committee.

Statistical analysis

All the experiments were conducted in triplicate and repeated at least once. Statistical was evaluated using a two-sided Student's t test and a p-value of 0.05 was considered statistically of immunohistochemistry staining was conducted using ImmunoRatio program.

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CONFLICTS OF INTEREST

GRANT SUPPORT

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AR-positive prostate cancer 22Rv1 cells were inoculated into the tibiae of SCID mice. The host mice were treated with CXCR4 inhibitor (AMD3100) or Wnt/beta-Catenin inhibitor (ICG-001). The tumor growth and bone lesions were monitored by x-ray imaging. As shown in Figure 1, 22Rv1 generated mixed osteoclastic/osteoblastic bone lesions. Growing of these PCa cells caused lytic destruction to the cortical bone but stimulated new bone formation (new bony spikes grew outside of cortical bones). The lytic bone lesions and the new bone formation were analyzed by using microCT scanning (pages 3 to 8). Our analysis (Figure 2) showed that castration increased lytic bone lesions. CXCR4 inhibitor (AMD3100) and Wnt inhibitor (ICG-001) did not decrease lytic bone destruction in intact mice (CXCR4 inhibitor even increased lytic lesions). These inhibitors decrease lytic lesions in castrated mice, but did not affect the overall lytic lesions compared to intact non-treated mice.

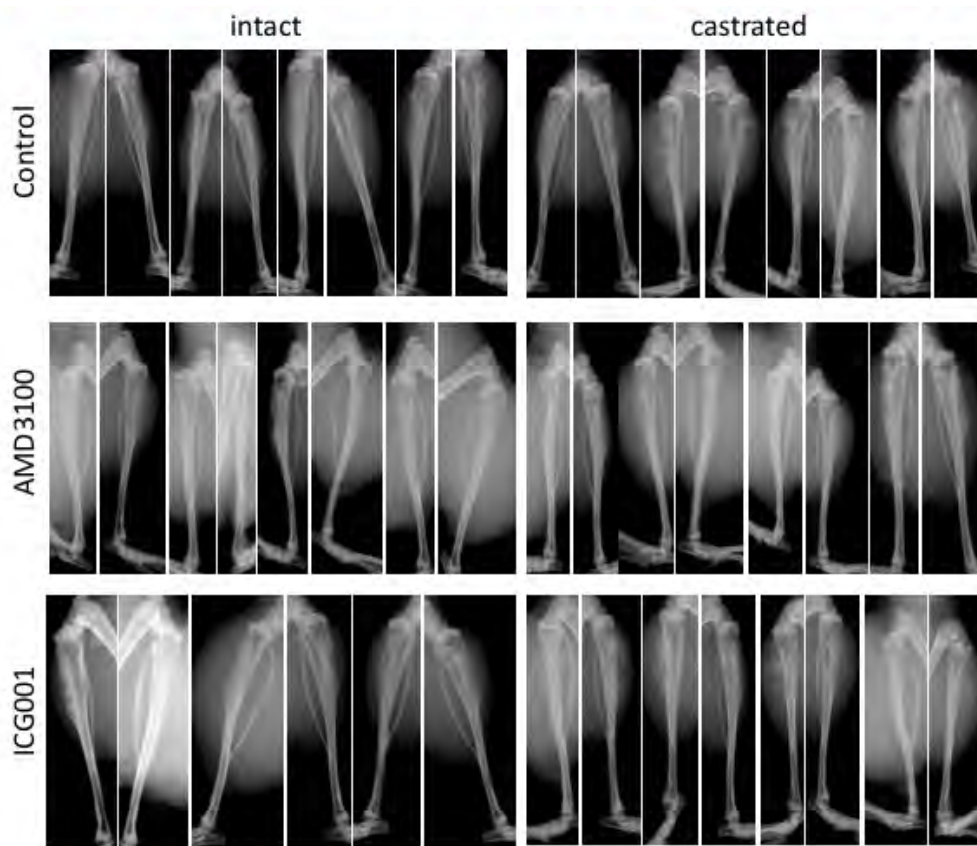


Figure 1. X-ray images. When injected into tibiae, 22Rv1 cells generated mixed osteoclastic and osteoblastic bone lesions. Treatment with CXCR4 inhibitor (AMD3100) or Wnt/beta-Catenin inhibitor (ICG-001) did not decrease the overall bone destruction.

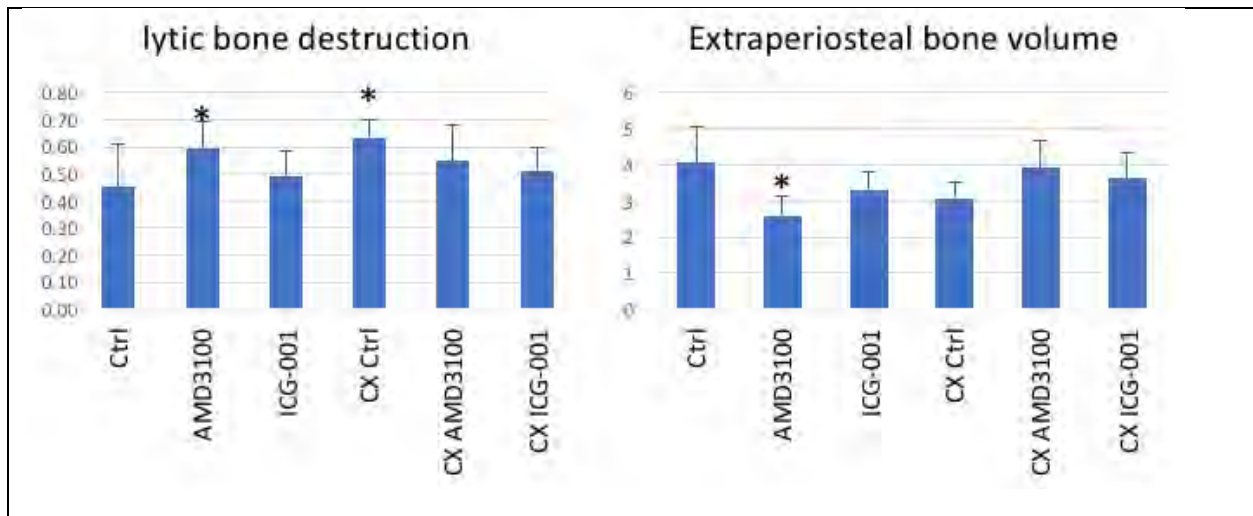


Figure 2. Summary of microCT results (images are in pages 3-8).

Left: lytic bone lesions

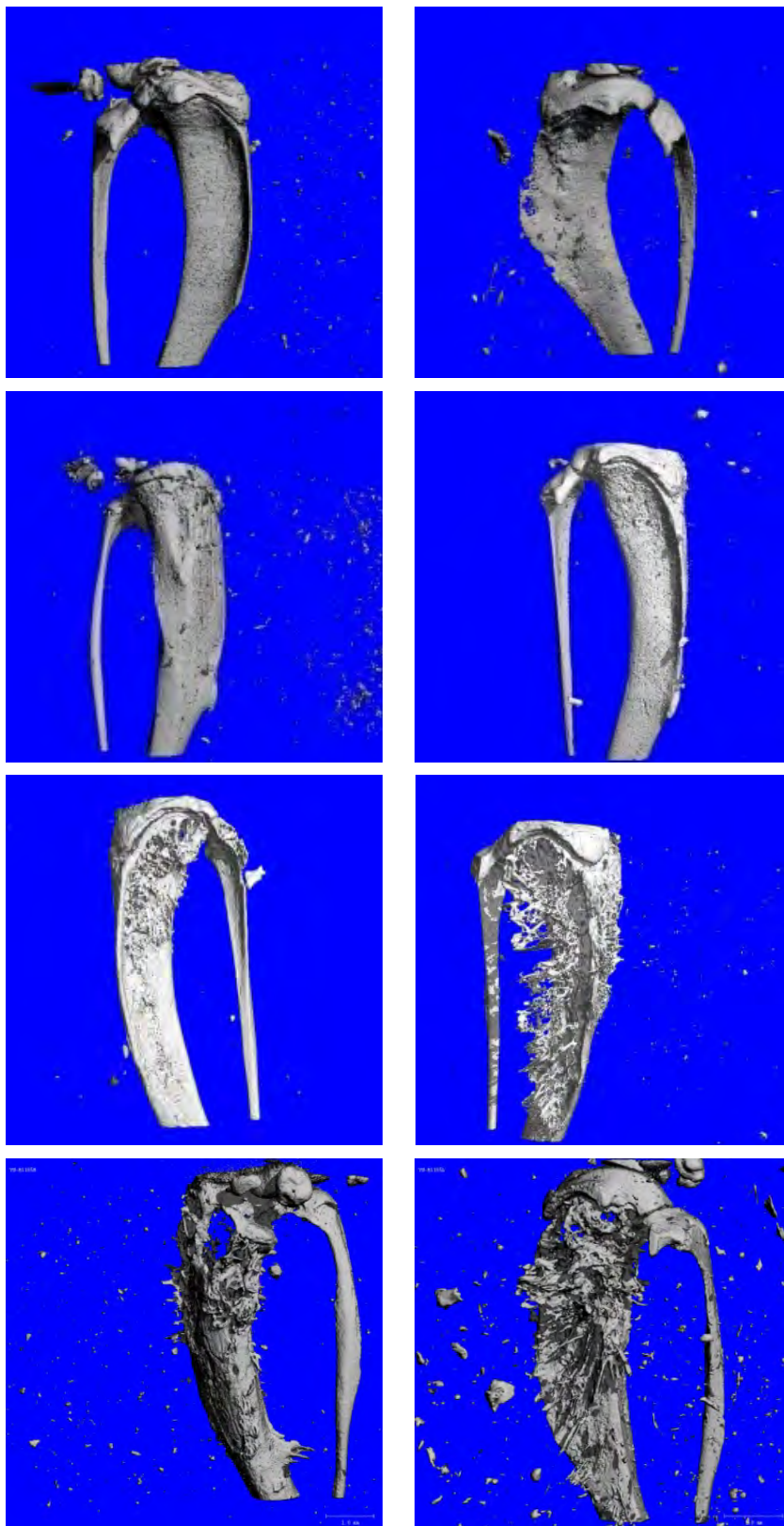
1. Surprisingly, castration increased lytic bone lesion
2. CXCR4 inhibitor also increased bone destruction in intact mice.
3. Wnt inhibitor did not affect bone destruction

Right: Osteoblastic bone lesion

1. Castration decreased new bone formation.
2. CXCR4 inhibitor decreased new bone formation in intact mice but not in castrated mice
3. Wnt inhibitor did not affect new bone formation.

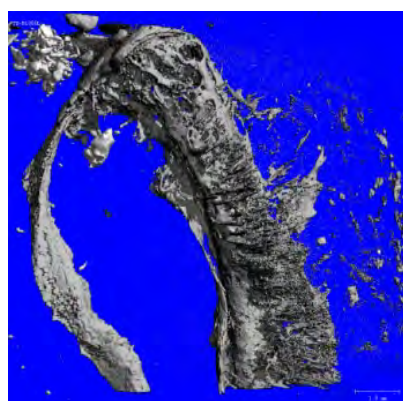
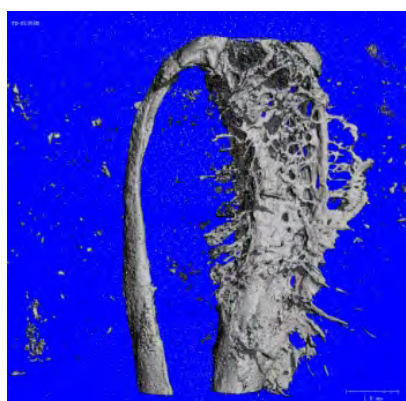
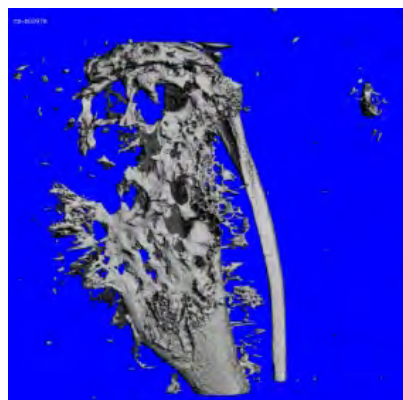
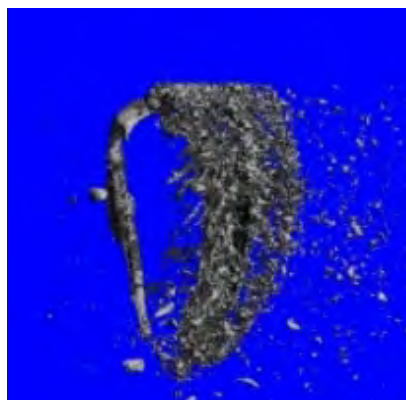
μ CT images

Vehicle control



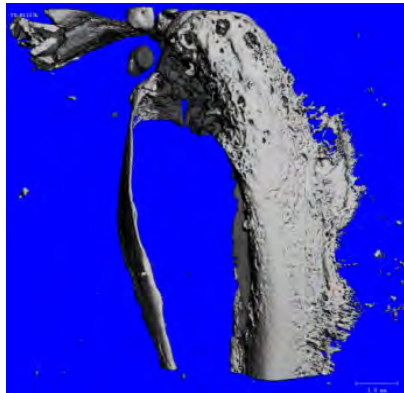
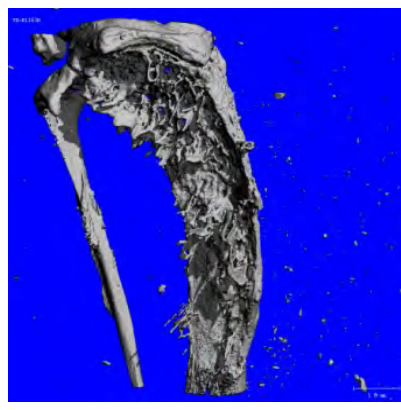
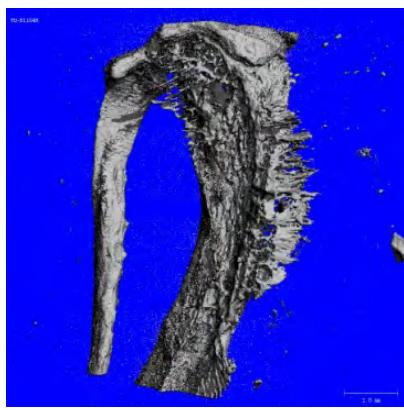
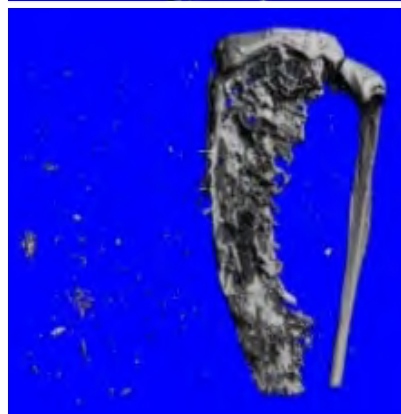
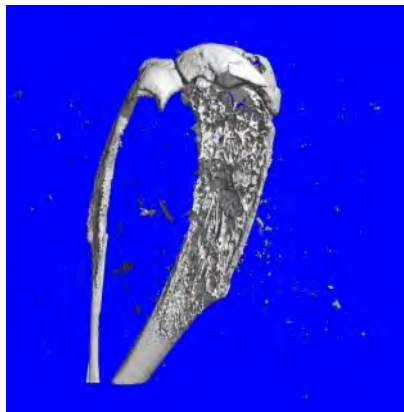
μ CT images

CXCR4 inhibitor- AMD3100



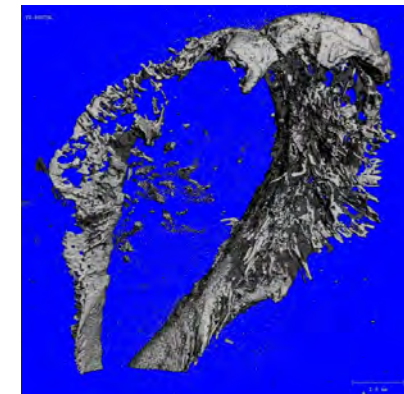
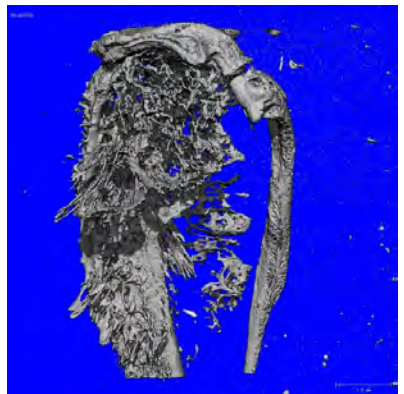
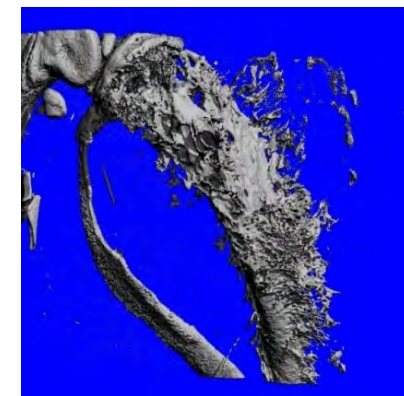
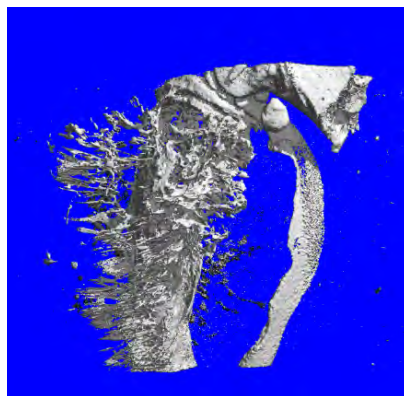
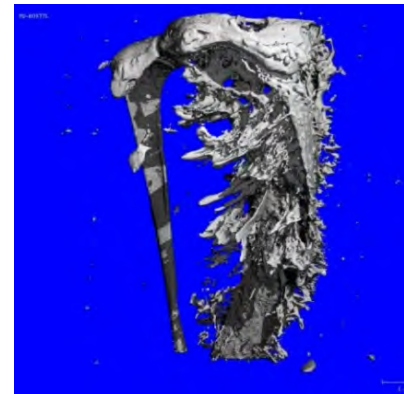
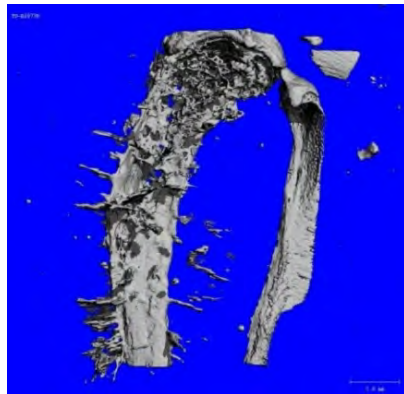
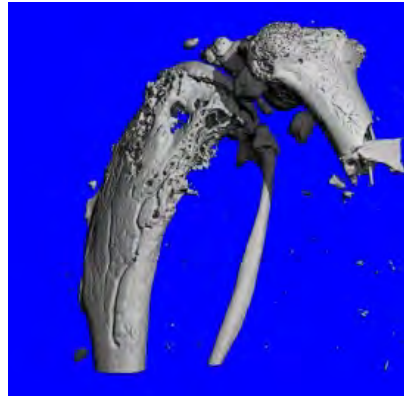
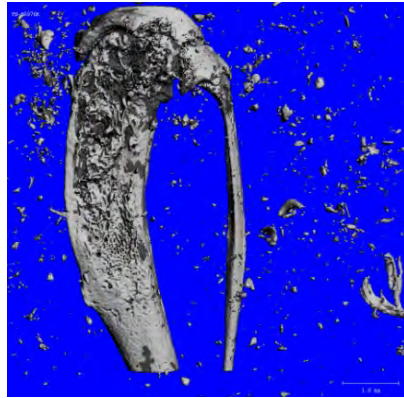
μ CT images

Wnt/beta-Catenin inhibitor- ICG001



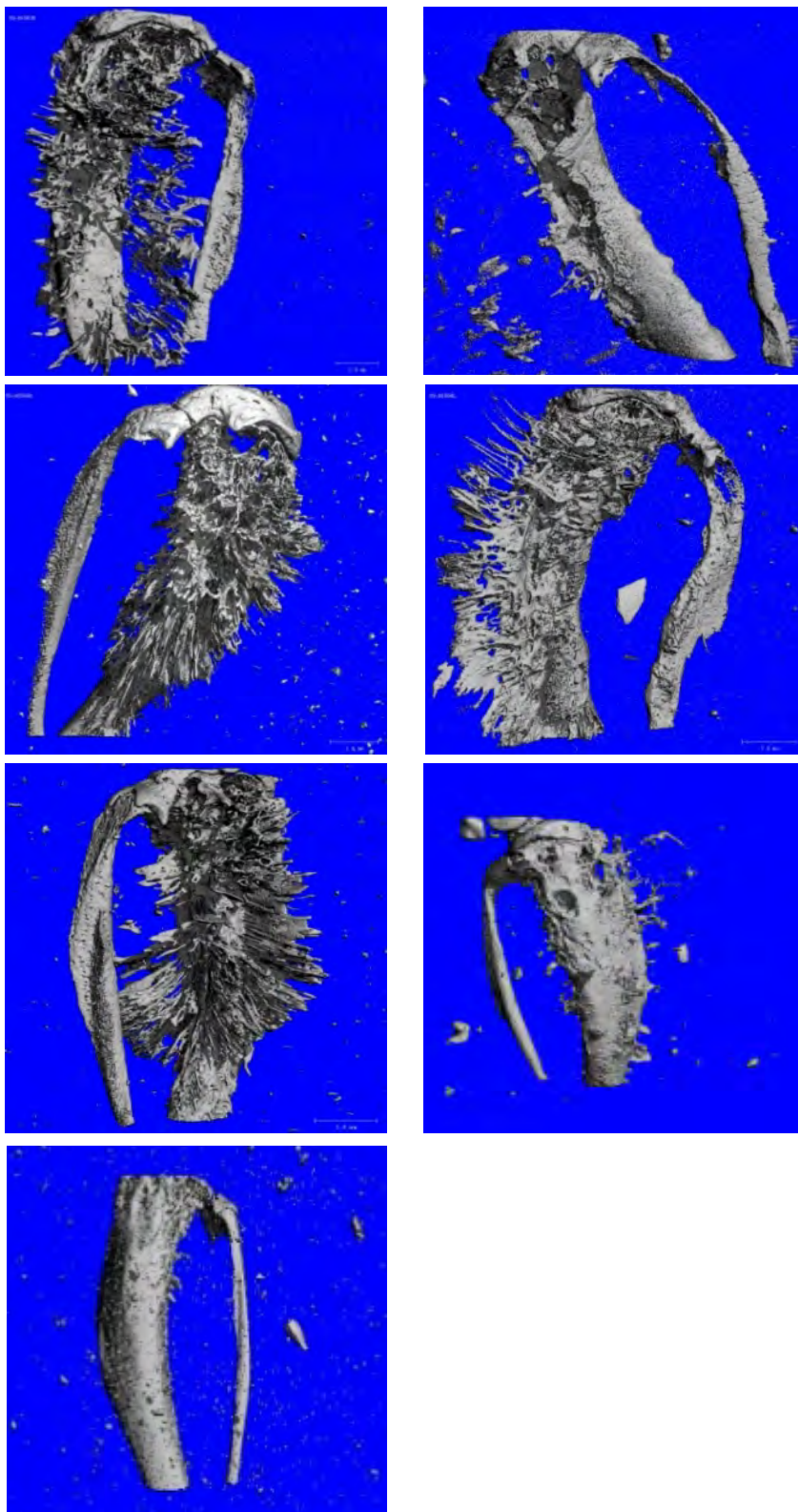
μ CT images

Vehicle control, castrated



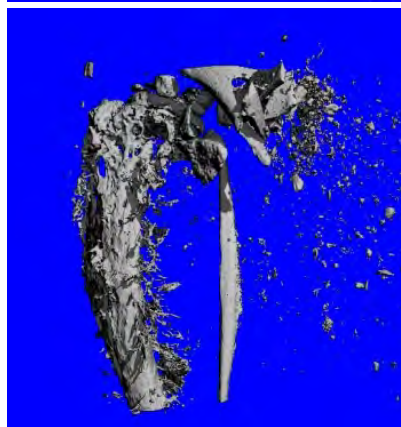
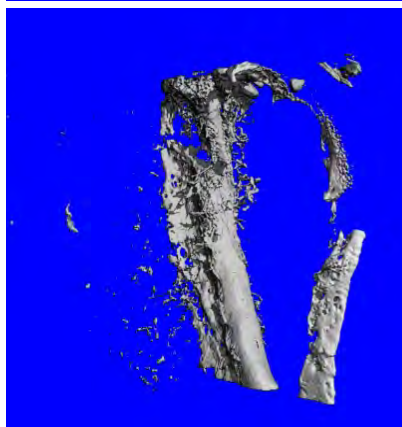
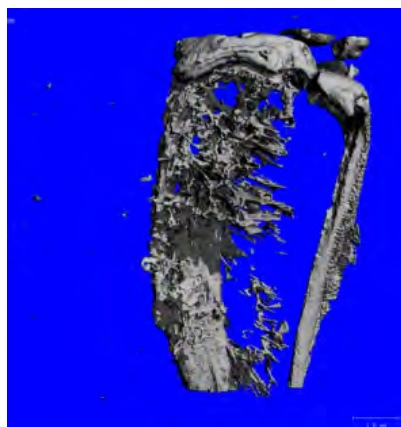
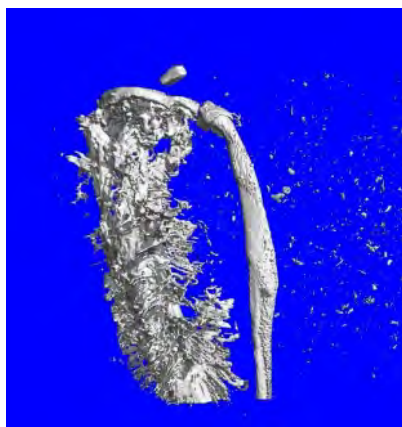
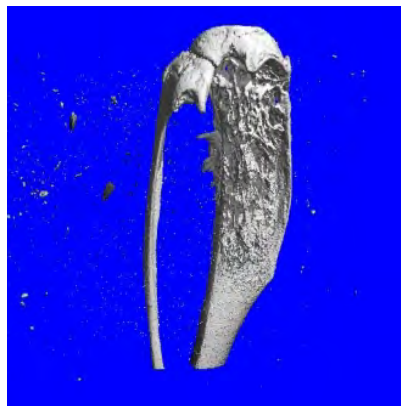
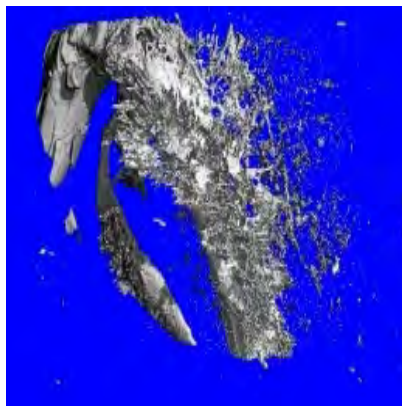
μ CT images

AMD3100, castrated



μ CT images

ICG-001, castrated



RESEARCH SUPPORT (current)

- LSUHSC Offi of Res Seed Package (PI) 1/1/2015 – 12/31/2099
Prostate Cancer and Prostatic Disease
The aim is to identify molecular mechanisms involved in PCa progression
- W81XWH-12-1-0212 (PI) 07/01/12 - 06/30/19
DOD no cost extension
Wnt/beta-Catenin, Foxa2, and CXCR4 controls prostate cancer progression
The aim is to study the functional implication of Wnt/beta-Catenin, Foxa2 and CXCR4 pathway in controlling prostate cancer metastasis and in the development of castration resistant prostate cancer.
- R03CA212567 (PI) 12/1/2016 – 11/30/2019
NIH/NCI
The role of EZH2 in neuroendocrine prostate cancer
The aim is to study the implication of EZH2 in the development of NEPCa.
- W81XWH-17-1-0417 (co-I, 10% effort) 07/01/17 - 06/30/20
DOD
The TLK1/NEK1 axis in prostate cancer
The aim is to study the involvement of TLK1 and NEK1 in castrate-resistant PCa progression.
- R01CA226285 (PI) 7/01/2018 – 6/30/2023
NIH/NCI
Androgen Deprivation Activates Wnt/Beta-Catenin Signaling in Prostate Cancer
The aim is to study how Wnt/beta-Catenin pathway is activated and its involvement in NEPCa.

RESEARCH SUPPORT (completed)

- Vanderbilt VICTR Funding (PI) 02/07/2008-02/06/2009
Wnt/beta-Catenin signaling in Castrate-Resistant Prostate Cancer
This research aims to study the involvement of Wnt/beta-Catenin signaling in CRPCa.
- Vanderbilt VICTR Funding (PI) 09/02/2010-09/01/2011
Foxa2 Controls Prostate Cancer Metastasis
This study aims to identify genes regulated by Foxa2 that are involved in PCa metastasis.
- R01 DK055748-14 Matusik (PI) 04/06/09 - 03/31/14
NIH/NIDDK
Control of Prostate-Specific Gene Expression
Our hypothesis is that by identifying the transcription factors (TFs) that control prostate-specific gene expression, we are also identifying TF that play a critical role in prostate development. We are identifying TFs in the androgen receptor and Foxa1 complex.
- LSUHSCs Grant-In-Aid (PI) 7/1/2016 – 6/30/2017
Wnt/beta-catenin in NEPCa
The aim is to determine the molecular mechanisms involved in the development of NEPCa

LSUHSC FWCC Seed Package (PI)

10/1/2014 – 6/30/2018

Molecular mechanisms in CRPCa

The aim to identify the molecular mechanisms that promote castrate-resistant PCa.

CURRICULUM VITAE

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EDUCATION

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JOURNAL REVIEWER

Neoplasia
Plos One
Oncogene
Oncotarget
Cancer Letters
The FASEB Journal
Nature Reviews Urology
Molecular Medicine Reports
Endocrine Related Cancer
ReDox Biology
Experimental and Therapeutic Medicine
Journal of Cellular Biochemistry

PEER-REVIEWED PUBLICATIONS

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4. Ling J, Ainol L, Zhang L, **Yu X**, Pi W, Tuan D. HS2 enhancer function is blocked by a transcriptional terminator inserted between the enhancer and the promoter. *J Biol Chem.* 2004 Dec 3; 279(49): 51704-13.
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10. **Yu X**, Suzuki K, Wang Y, Gupta A, Jin R, Orgebin-Crist MC, Matusik R. The role of forkhead box A2 to restrict androgen-regulated gene expression of lipocalin 5 in the mouse epididymis *Mol Endocrinol.* 2006 Oct; 20(10): 2418-31.
11. Suzuki K., **Yu X**, Chaurand P., Araki Y., Lareyre J., Caprioli R., Orgebin-Crist MC., Matusik RJ. Epididymis-specific lipocalin promoters *Asian J Androl.* 2007 Jul; 9(4): 515-21. PMID: 17589789

12. Matusik RI, Jin RJ, Sun Q, Wang Y, **Yu X**, Gupta A., Nandana S, Case TC, Paul M, Mirosevich J, Oottamasathien S, Thomas J. Prostate epithelial cell fate *Differentiation*. 2008 Jul; 76 (6): 682-98. PMID: 18462434
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21. Gupta A, **Yu X**, Case T, Paul M, Shen MM, Kaestner KH, Matusik RJ. Mash1 expression is induced in neuroendocrine prostate cancer upon the loss of Foxa2. *Prostate*. 2013 May; 73(6): 582-9. PMID: 23060003
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deletion in luminal epithelium causes prostatic hyperplasia and alteration of differentiated phenotype. *Lab Invest*, 2014 Jul; 94(7): 726-39. PMID: 24840332.

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31. Connelly Z, Yang S, Chen F, Yeh Y, Khater N, Jin R, Matusik R, **Yu X*** Foxa2 Activates the Transcription of Androgen Receptor Target Genes in Castrate Resistant Prostatic Tumors *corresponding author. *American Journal of Clinical and Experimental Urology*, 2018 Oct 20;6(5):172-181
32. Singh V, Jaiswal PK, Ghosh I, Koul HK, **Yu X**, De Benedetti A. Targeting the TLK1/NEK1 DDR axis with Thioridazine suppresses outgrowth of Androgen Independent Prostate tumors. *Int J Cancer*. 2019 Feb 9. doi: 10.1002/ijc.32200. [Epub ahead of print]
33. Singh V, Jaiswal PK, Ghosh I, Koul HK, **Yu X**, De Benedetti A. The TLK1-Nek1 axis promotes prostate cancer progression. *Cancer Lett*. 2019 Jul 1;453:131-141. doi: 10.1016/j.canlet.2019.03.041. Epub 2019 Mar 27.