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#### 13. SUPPLEMENTARY NOTES

#### 14. ABSTRACT

Most prostate are indolent, and may not require clinical intervention. Only a small fraction of cases progress to metastatic disease if not treated. Prediction of the clinical outcomes of prostate cancer remains a challenge. Recently, we discovered a panel of 8 fusion genes that occurred in aggressive prostate cancer. The presence of these fusion genes correlates 91% chance of recurrence of prostate cancer. In order to make the fusion gene test clinically ready as a predictor, we have modified to test into a semi-quantitative Taqman QRT-PCR. The tests were performed in CLIA certified lab. Four hundred and sixty samples of prostate cancers were collected. Prostate cancer cells were microdissected. Taqman QRT-PCRs were performed on these samples. Significant numbers of samples were found positive for some of these fusion genes. In addition, we have analyzed 150 samples from Stanford University and 155 samples from University of Wisconsin Madison. A training model has been constructed. We are in the process to apply the model to testing sets.

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Major Task 1: We will conduct analysis of MAN2A1-FER, SLC45A2-AMACR, TRMT11-GRIK2, MTOR-TP53BP1, LRRC59-FLJ60017, CCNH-C5orf30, KDM4-AC011523.2, TMEM135-CCDC67 on 5106 prostate cancer samples collected from University of Pittsburgh, Stanford University and University of Wisconsin Madison. We will first establish prostate cancer recurrence model and short PSADT prediction models either by fusion gene status alone or in combination with nomogram based on the cohort from 600 radical prostatectomy samples from UPMC. This model will be locked in and tested on cohorts from University of Pittsburgh, Stanford University and University of Wisconsin. The prediction accuracy, sensitivity and specificity within each cohort will be evaluated.

**Subtask 1:** In the first 3 months of the funded period, we plan to establish this test in the CLIA certified laboratory at the University of Pittsburgh Medical Center. Fifty-six FFPE samples that were shown to be positive for at least one fusion transcripts in the matched frozen tissues. These FFPE samples had been tested in non CLIA certified laboratory, and achieved 98.9% sensitivity and 100% specificity. We will repeat the same tests on these samples in CLIA certified laboratories. All PCR products will be analyzed through Sanger's sequencing to confirm the authenticity of the fusion products. In addition, all fusion minigene RNA templates will be serially diluted. TAQMAN QRT-PCR will be performed to evaluate the sensitivity of the test. Detection threshold will be obtained. Random selection of 600 prostate cancer samples with definitive clinical outcomes will be carried out in UPMC campus. TAQMAN QRT-PCR on β-actin will be used as RNA quality control. For sites 2 and 3, all relevant institutional review board exempt protocols will be secured and approved.

**Progress:** We have procured the CLIA certified lab space in the beginning of the funded period. To accommodate the reality of formalin-fixed and paraffin-embedded tissues, we have designed a set of new primers and Taqman PCR probes for highly fragmented RNA species. These sets of primers and probes were subsequently tested and validated on synthetic mini-fusion genes of MAN2A1-FER, TRMT11-GRIK2, MTOR-TP53BP1, CCNH-C5orf30, KDM4-AC011523.2, SLC45A2-AMACR, TMEM135-CCDC67, and LRRC59-FLJ60017. The probe and primers for β-actin were also revised to accommodate a shorter RNA fragment. The analyses showed that these assays detect as low as 600-1000 molecules of these fusion transcripts. We then analyzed 56 FFPE samples whose frozen counterparts have been previous found to contain at least one fusion gene using these sets of probes and primers. All samples that were positive for these fusion genes were also positive in the new Taqman qRT-PCR assays. The positive match rate is 100%. All participating institutes, including University of Pittsburgh, Stanford University and University of Wisconsin Madison, had obtained the institutional approval for the exempt protocols.

**Subtask 2:** From month 4-9 of the first funded year, we will perform TAQMAN QRT-PCR and Sanger's sequencing on a randomly selected cohort of 600 samples from phase 1 that have at least 5 years clinical follow-up. These tests will be performed in CLIA certified laboratory of University of Pittsburgh. The prediction models of PCa recurrence and PSADT mentioned will be developed based on this large number of samples. For sites 2 and 3, the first 300 prostate cancer cases from each site will be selected and evaluated for sufficient materials for the assay.

**Progress:** To create a training set, we performed Taqman qRT-PCR using the primers and probes as mentioned from above on 271 samples from University of Pittsburgh, 155 samples from

University of Wisconsin
Madison, and 150 samples from
Stanford University. The results
show surprisingly high positive
rate of SLC45A2-AMACR in
Stanford and Wisconsin cohort,
reaching 96% and 92.6%
respectively. Among these
fusion genes, the lowest frequent

	rable 11 ositive rate of rasion in prostate cancers								
Cohort	MAN2A1/	TRMT11/	MTOR/	CCNH/	KDM4B/	SLC45A2/	TMEM135/	LRRC59/	
	FER	GRIK2	TP53BP1	C5orf30	AC011523.2	AMACR	CCDC67	FLJ60017	
UPMC	13% (60)	25.8% (119)	2.8% (13)	33.4% (154)	0.4% (2)	50.1% (234)	1% (5)	3.4% (16)	
Stanford	18% (9)	20% (10)	10% (5)	12% (6)	4% (2)	96% (48)	6% (3)	22% (11)	
UWisc	19% (31)	12.9% (21)	4.3% (7)	76.7% (125)	9.2% (15)	92.6% (151)	0.6% (1)	26% (43)	

Table 1 Positive rate of fusion in prostate cancers

Table 2
The cutoffs(and OR) of each fusion gene in each cohort

Cohort	MAN2 A1/FER	MAN2A1/F ER-actin	TRMT11 /GRK2	MTOR/T P53BP1	CCNH/C 5Orf30	KDM4/AC0 11523.2	SL45A2/ AMACR	TMEM135 /CCDC67
UPMC	32(26.3)	0 (25.9)	43(5.53)	42(inf)	39(0.12)	44(inf)	34(1.57)	47(1.54)
Wisconsin	35(14.8 1)	3(7.57)	42(inf)	40(23.6)	38(0.49)	40(1.5)	31(1.7)	N/A
Stanford	39(1.71)	0(0.34)	39(4.03)	39(inf)				

one is TMEM135-CCDC67: A total of 8 samples were found positive. In addition, high positive rate of CCNH-C5orf30 was also found in the prostate cohort from University of Wisconsin. In general, the rates of fusion gene positive samples are comparable among the 3 cohorts (table 1). Subsequent analyses showed that MAN2A1-FER (or normalized MANA1-FER), TRMT11-GRIK2, and mTOR-TP53BP1 gene fusions have the highest odd ratios for predicting the recurrence of prostate cancer for UPMC and University of Wisconsin cohorts (Table 2).

Table 3

To establish a prediction model, we combined top 6 fusion genes that have prediction power to construct classification models to predict prostate cancer recurrence. As shown in table 3, all three models (Random Forest.

Model	Fusion gene	s only		2x2 table					
	Sensitivity	Specificity	Youden	Accuracy	AUC		Recurrent (n=107)	Non-Recurrent (N=164)	
RF	0.80	0.81	0.61	0.81	0.862	Positive	TP=86	FP=31	
	Top 6, cutoff=0	).2				Negative	FN=21	TN=133	
SVM	0.71	0.87	0.58	0.81	0.77	Positive	TP=76	FP=21	
	Top 4, cutoff=0	).2		Negative	FN=31	TN=143			
LDA	0.71	0.88	0.59	0.81	0.85	Positive	TP=76	FP=20	
	Top 6, cutoff=0	).4				Negative	FN=31	TN=144	

Support vector machine and Linear discriminant analysis) yielded very similar accuracy: 81%, even though the specificity and sensitivity may vary. When combined with gleason's score and TNM pathology staging, the accuracy improves to 84-86%.

When the same models were applied to the dataset from University of Wisconsin, the accuracy rate yielded 75-84%. Interestingly, when combined with Gleason's grade and pathology TNM staging, the accuracy rate improved to 88-90%. However, the same model fared worse in Stanford data set: 67-68% accuracy was found. Combination with fusion genes, Gleason's grade and pathology TNM staging improves the accuracy to 75%.

When all data were pooled, and 10 fold cross validation was performed. The accuracy of fusion gene prediction rate is 76-77%. When combined fusion genes, Gleason's grading and TNM staging, the accuracy is improved to 81-82% (table 4). In contrast, if prediction is only relied on Gleason's grade and TNM

Table 4

Mod	Gleason a	nd TNM sta	ge + fusio	on genes		2x2 table			
el	Sensitivi ty	Specifici ty	Youde Accurac AUC n y			Recurrent (n=208)	Non-Recurrent (N=368)		
RF	0.79	0.82	0.61	0.81	0.86	Positive	TP=164	FP=67	
	top 5 fusio	on genes, cu	utoff=0.2			Negative	FN=44	TN=301	
SVM	0.75	0.83	0.59	0.81	0.84	Positive	TP=157	FP=61	
	Top 6 fusion genes, cutoff=0.2					Negative	FN=51	TN=307	
LDA	0.77	0.85	0.61	0.82	0.87	Positive	TP=160	FP=57	
	Top 5 fusio	on genes, c	utoff=0.4			Negative	FN=48	TN=311	

staging, the prediction accuracy is 74-76% across all three data set. As a result, we concluded that fusion contains independent prediction value and can assist in predicting the clinical outcomes of prostate cancer.

**Subtask 3:** From month 10 of the first year to the end of year 3 of the funded period, we will validate predictive models based on the fusion transcript panel and clinical and pathological parameters on independent datasets from the University of Pittsburgh, University of Wisconsin and Stanford University. The UPMC cohort includes up to 1900 well-annotated and -followed radical prostatectomy samples. University of Wisconsin will provide up to 1000 samples for the analysis. All samples will have at least 5 years of clinical follow-up at the end of year 3. The Stanford cohort will select up to 1500 samples from 3100 cases with a minimum of 5 years

of follow-up. Over 70% of these cases will have 7-15 years clinical follow-up. The model established in phase 2 and our preliminary results will be used to assess the risk of recurrence and short PSADT of each case. From month 10 to 36, TAQMAN QRT-PCR on selected genes will be performed on 100 random selected samples in sites 1, 2 and 3 simultaneously to assess the reproducibility of the assays. Each case will have 3 separate loci of prostate cancer. All loci will be tested for the heterogeneity of the prostate cancer in terms of fusion gene distribution.

**Progress:** Investigators in University of Pittsburgh, Stanford University and University of Wisconsin Madison, are selecting additional samples for validation of the prediction model. In particular, Dr. Nelson in University of Pittsburgh had already selected additional 250 samples for the purpose of a testing cohort. Dr. Brooks have submitted PCa 150 samples, while Dr. Jarrard submitted 166 samples. Both Drs. Brooks and Jarrard are working to select more samples for the testing set. We plan to finish total 1500 samples by the end of third year of funded period.