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**TITLE:** Real-Time Assessment of Homologous Recombination Deficiency During Ovarian Cancer Treatment

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| <b>13. SUPPLEMENTARY NOTES</b>  |                    |                                 |                                   |   |  |
| <b>14. ABSTRACT</b><br>Patients with ovarian cancer generally have a good response to this therapy, but most cancers eventually reappear and require additional rounds of chemotherapy. "Precision medicine" uses individual characteristics of a specific cancer to help choose the best therapy for that patient. Many ovarian cancers have defects in DNA repair that make them vulnerable to specific types of chemotherapy as well as a new class of drugs called PARP inhibitors. Over time the cancer changes and develops resistance to these therapies. Choosing the best treatment could require repeated painful and expensive biopsies in order to identify changes in the cancer that impact response to therapy. We propose to develop a blood test to monitor tumor characteristics in real-time by evaluating free-floating tumor DNA (called cell-free DNA) in the bloodstream. This novel assay will use cell free DNA to evaluate DNA repair alterations in ovarian cancers and how those change during the course of treatment. We hope these studies will lead to new, less invasive ways of providing ongoing tumor information that will facilitate monitoring women with ovarian cancer and choosing the therapies most likely to be effective. |                    |                                 |                                   |   |  |
| <b>15. SUBJECT TERMS</b><br>Ovarian cancer, cell free plasma DNA, circulating tumor DNA, BRCA1, BRCA2, DNA repair, homologous recombination deficiency, next generation sequencing, liquid biopsy   |                    |                                 |                                   |   |  |
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## **1. INTRODUCTION**

*BRCA1* and *BRCA2* (*BRCA*) are critical genes in the *BRCA*-Fanconi anemia pathway which repairs double strand DNA breaks via homologous recombination. Defective homologous recombination repair (HRR) confers increased sensitivity to platinum based chemotherapy and is synthetically lethal with PARP inhibitors. We and others have demonstrated that secondary somatic reversion mutations can restore *BRCA* function in *BRCA*-mutated ovarian carcinoma (OC). These reversion mutations correlate with platinum and PARP inhibitor resistance but are difficult to detect in clinical samples with current methods. In addition to the difficulty in identifying reversion to wildtype mutations in patients with HRR mutations, another barrier to predicting responsiveness to PARP inhibitors in the clinical setting is the need for pre-treatment biopsies to assess HRR status in real-time. We propose that assays that reveal the dynamic HRR status in tumors will lead to improved prediction of which women will benefit from PARP inhibitor therapy and provide essential information that will lead to better personalized therapies. To overcome the challenge of needing repeat biopsies, we propose to develop an HRR assay in cell-free DNA (cfDNA); this “liquid biopsy” will provide real-time assessment of HRR during therapy

## **2. KEY WORDS**

Ovarian cancer, cell free plasma DNA, circulating tumor DNA, BRCA1, BRCA2, DNA repair, homologous recombination deficiency, next generation sequencing, liquid biopsy

## **3. ACCOMPLISHMENTS**

Our first major task was to obtain DoD HRPO approval, which was accomplished on schedule.

Our second major task was to optimize a next generation sequencing assay to detect reversion mutations in tumor tissues using next generation sequencing. We performed high depth targeted NGS in cases with known reversion mutations identified by laser capture microdissection purification of neoplastic cells followed by Sanger sequencing. The identification of novel indel or single nucleotide substitutions that restore the open reading frame is relatively easy and was possible to do with our usual bioinformatics pipeline. However, the detection of secondary reversion to wildtype sequencing occurring on the mutant allele is challenging. We used tumor tissues with a variety of neoplastic purities to determine the lower limit of reliable detection of reversion to wildtype somatic mutations. We spent some time using different bioinformatics strategies to correct for neoplastic purity using allelic ratios both intragenic and across the genome. Despite these efforts, we were not able to get the threshold for reliable detection of reversion to wildtype below a minimum of 70% neoplastic purity. That means that for less pure tumor samples tested by NGS, one cannot rule out the presence of a sub-clonal reversion to wildtype somatic mutation.

Our third major task was to develop, optimize and test a cfDNA assay to identify HRR status. We designed a cfDNA NGS assay using IDT probes for target identification. We are closely collaborating with investigators in the University of Washington on development of this assay, so that it will be CLIA ready for clinical application at the conclusion of the project. First we tested the performance of the assay on high quality tumor DNA to compare with our standard tumor targeted NGS assay. In this quality control step, no mutations were missed with the new design. We’ve included unique molecular identifiers (UMIs) in our pipeline, which are small molecular tags that are added to each molecule prior to amplification that facilitate identification of amplification errors from true low-level variants. When combined with bioinformatic digital error correction, our sensitivity is significantly increased to the <0.2% variant range.

We are evaluating the assay both for standard single nucleotide substitutions and indels, but also for larger copy number variations (CNV) and again, we have not missed any known mutations as evidence by the table below.

| <b>miniOnco_Dataset</b>  | <b>BROCA Sample</b>     | <b>Gene</b> | <b>Expected Mutation(s) in overlapping genes based on BROv10</b> |
|--------------------------|-------------------------|-------------|--|
| 169R01_A01_MONCv1_NA0250 | 56_H05_BROv7_HA0198     | PMS2        | partial deletion exon 2  |
| 169R02_B01_MONCv1_NA0250 | 6624_H03_BROv8_HA0215   | MSH2        | exon 7 duplication   |
| 169R03_C01_MONCv1_NA0250 | 9659_C08_BROv8_HA0255   | MSH2        | exons 3-16 deletion  |
| 169R04_D01_MONCv1_NA0250 | LMG2140                 | MLH1        | exon 10 deletion   |
| 169R05_E01_MONCv1_NA0250 | 18224_H03_BROv10_HA0323 | BRCA1       | exon 17 deletion   |
| 169R06_F01_MONCv1_NA0250 | 23438_F05_BROv10_HA0410 | BRCA1       | exon 13 duplication<br>exons 12 and 13                           |
| 169R07_G01_MONCv1_NA0250 | LMG1847                 | PALB2       | deletion   |
| 169R08_H01_MONCv1_NA0250 | LMG2145                 | BRCA1       | exons 21-24 deletion   |

Now that we are confident in the performance of our design using high purity tumor DNA, we have begun testing on cfDNA samples from patients with OC. We have optimized our cfDNA collection and DNA extraction process. We have just tested our first batch of cfDNA samples

**Opportunities for training and professional development has the project provided?**

Nothing to report

**Dissemination of Results**

Nothing to report

**Plans during the next reporting period.**

We will test a number of cfDNA samples and compare results in our cfDNA NGS assay to direct tumor sequencing to identify sensitivity and specificity of cfDNA for tumor DNA alterations.

**4. IMPACT**

**Impact on the principal discipline**

Nothing to report

**Impact on other disciplines**

Nothing to report

**Impact on technology transfer**

Nothing to report

**Impact on society**

Nothing to report

**5. CHANGES/PROBLEMS**

**Changes in approach**

Nothing to report

**Problems or delays and plans to resolve them:**

Nothing to report

**Changes that had a significant impact on expenditures**

Nothing to report

**Significant changes in use or care of human subjects, vertebrate animals, biohazards, and/or select agents**

Nothing to report

**6. PRODUCTS**

**Publications, conference papers, and presentations**

Nothing to report

**Website(s) or other Internet site(s)**

Nothing to report

**Technologies or techniques**

Nothing to report

**Inventions, patent applications, and/or licenses**

Nothing to report

**Other Products**

Nothing to report

## PARTICIPANTS & OTHER COLLABORATING ORGANIZATIONS

- What individuals have worked on the project?

|  |   |
|--|---|
| <b>Name:</b>                           | Elizabeth Swisher MD  |
| Project Role:                          | PI  |
| Researcher Identifier (e.g. ORCID ID): | 0000-0003-2331-0434   |
| Nearest person month worked:           | 1   |
| Contribution to Project:               | Dr. Swisher is directing all aspects of the project including IRB oversight, sequencing analyses, and data interpretation   |
|  |   |
| <b>Name:</b>                           | Maria Harrell, PhD  |
| Project Role:                          | Staff scientist   |
| Researcher Identifier (e.g. ORCID ID): |   |
| Nearest person month worked:           | 2   |
| Contribution to Project:               | Dr. Harrell was overseeing all experiment and coordinating efforts between the Swisher Laboratory and Lab Medicine Department. She left the Swisher laboratory in March 2017 and has been recently replaced by Christopher Pennil MSc |
|  |   |
| <b>Name:</b>                           | Marc Radke  |
| Project Role:                          | Staff scientist   |
| Researcher Identifier (e.g. ORCID ID): |   |
| Nearest person month worked:           | 2   |
| Contribution to Project:               | Mr. Radke identify specimens, purify DNA including from plasma and perform all library preparations.  |
|  |   |
| <b>Name:</b>                           | Chris Pennil., MSc.   |
| Project Role:                          | He is now overseeing all experiment and coordinating efforts between the Swisher Laboratory and Lab Medicine Department and took over Dr. Harrell's role on the project.  |

|  |   |
|--|---|
| Researcher Identifier (e.g. ORCID ID): |   |
| Nearest person month worked:           | 1   |
| <b>Name:</b>                           | Mallory Beightol  |
| Project Role:                          | Ms. Beightol is a senior technician in the Clinical Molecular Genetics Laboratory. She perform cfDNA library preps and sequencing.  |
| Researcher Identifier (e.g. ORCID ID): |   |
| Nearest person month worked:           | 2   |
| <b>Name:</b>                           | Colin Pritchard MD, PhD   |
| Project Role:                          | Co-Investigator   |
| Researcher Identifier (e.g. ORCID ID): |   |
| Nearest person month worked:           | 1   |
| Contribution to Project:               | Together with Dr. Swisher, Dr. Pritchard oversees assay development and validate the assay in a CLIA environment.   |
| <b>Name:</b>                           | Stephen Salipante MD, PhD   |
| Project Role:                          | Computational biologist   |
| Researcher Identifier (e.g. ORCID ID): |   |
| Nearest person month worked:           | 1   |
| Contribution to Project:               | Dr. Salipante has developed the pipeline to assess somatic reversion mutations and to identify homologous recombination mutations in cell-free plasma DNA. He will perform the bioinformatics analyses for all samples. |

**Has there been a change in the active other support of the PD/PI(s) or senior/key personnel since the last reporting period?**

No, Nothing to report

**What other organizations were involved as partners?**



Nothing to report

**Appendices**

None