

AFRL-AFOSR-VA-TR-2019-0113

Ensemble Kalman Filter for Open GGCM

Joachim Raeder UNIVERSITY SYSTEM OF NEW HAMPSHIRE

04/26/2019 Final Report

DISTRIBUTION A: Distribution approved for public release.

Air Force Research Laboratory AF Office Of Scientific Research (AFOSR)/ RTB1 Arlington, Virginia 22203 Air Force Materiel Command

DISTRIBUTION A: Distribution approved for public release.

REPORT DOCUMENTATION PAGE				Form Approved OMB No. 0704-0188		
The public reporting burden for this collection o gathering and maintaining the data needed, and cr information, including suggestions for reducing the 1215 Jefferson Davis Highway, Suite 1204, Arli penalty for failing to comply with a collection of in PLEASE DO NOT RETURN YOUR FO	f information ompleting and ne burden, to ngton, VA 2 nformation if RM TO TH	is estimated to average 1 hour d reviewing the collection of info Department of Defense, Washi 2202-4302. Respondents shou it does not display a currently va IE ABOVE ADDRESS.	r per response, inc rmation. Send com ngton Headquarters Id be aware that no Iid OMB control nur	luding the tim ments regardi s Services, Dir otwithstanding mber.	e for reviewing instructions, searching existing data sources, ng this burden estimate or any other aspect of this collection of ectorate for Information Operations and Reports (0704-0188), g any other provision of law, no person shall be subject to any	
1. REPORT DATE (DD-MM-YYYY)	2. REPC	DRT TYPE			3. DATES COVERED (From - To)	
4. TITLE AND SUBTITLE			5a. CONTRACT NUMBER			
				5b. GRANT NUMBER		
				5C. PROGRAM ELEMENT NUMBER		
6. AUTHOR(S)				5d. PROJECT NUMBER		
				5e. TAS	K NUMBER	
				5f. WORK UNIT NUMBER		
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)					8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGE	NCY NAM	e(s) and address(es)			10. SPONSOR/MONITOR'S ACRONYM(S)	
					11. SPONSOR/MONITOR'S REPORT NUMBER(S)	
12. DISTRIBUTION/AVAILABILITY ST	ATEMEN	Γ				
13. SUPPLEMENTARY NOTES						
14. ABSTRACT						
15. SUBJECT TERMS						
16. SECURITY CLASSIFICATION OF: 17. LIMITATION OF a. REPORT b. ABSTRACT c. THIS PAGE				19a. NAN	IE OF RESPONSIBLE PERSON	
			PAGES	19b. TELE	PHONE NUMBER (Include area code)	

INSTRUCTIONS FOR COMPLETING SF 298

1. REPORT DATE. Full publication date, including day, month, if available. Must cite at least the year and be Year 2000 compliant, e.g. 30-06-1998; xx-06-1998; xx-xx-1998.

2. REPORT TYPE. State the type of report, such as final, technical, interim, memorandum, master's thesis, progress, quarterly, research, special, group study, etc.

3. DATES COVERED. Indicate the time during which the work was performed and the report was written, e.g., Jun 1997 - Jun 1998; 1-10 Jun 1996; May - Nov 1998; Nov 1998.

4. TITLE. Enter title and subtitle with volume number and part number, if applicable. On classified documents, enter the title classification in parentheses.

5a. CONTRACT NUMBER. Enter all contract numbers as they appear in the report, e.g. F33615-86-C-5169.

5b. GRANT NUMBER. Enter all grant numbers as they appear in the report, e.g. AFOSR-82-1234.

5c. PROGRAM ELEMENT NUMBER. Enter all program element numbers as they appear in the report, e.g. 61101A.

5d. PROJECT NUMBER. Enter all project numbers as they appear in the report, e.g. 1F665702D1257; ILIR.

5e. TASK NUMBER. Enter all task numbers as they appear in the report, e.g. 05; RF0330201; T4112.

5f. WORK UNIT NUMBER. Enter all work unit numbers as they appear in the report, e.g. 001; AFAPL30480105.

6. AUTHOR(S). Enter name(s) of person(s) responsible for writing the report, performing the research, or credited with the content of the report. The form of entry is the last name, first name, middle initial, and additional qualifiers separated by commas, e.g. Smith, Richard, J, Jr.

7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES). Self-explanatory.

8. PERFORMING ORGANIZATION REPORT NUMBER. Enter all unique alphanumeric report numbers assigned by the performing organization, e.g. BRL-1234; AFWL-TR-85-4017-Vol-21-PT-2.

9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES). Enter the name and address of the organization(s) financially responsible for and monitoring the work.

10. SPONSOR/MONITOR'S ACRONYM(S). Enter, if available, e.g. BRL, ARDEC, NADC.

11. SPONSOR/MONITOR'S REPORT NUMBER(S). Enter report number as assigned by the sponsoring/ monitoring agency, if available, e.g. BRL-TR-829; -215.

12. DISTRIBUTION/AVAILABILITY STATEMENT. Use agency-mandated availability statements to indicate the public availability or distribution limitations of the report. If additional limitations/ restrictions or special markings are indicated, follow agency authorization procedures, e.g. RD/FRD, PROPIN, ITAR, etc. Include copyright information.

13. SUPPLEMENTARY NOTES. Enter information not included elsewhere such as: prepared in cooperation with; translation of; report supersedes; old edition number, etc.

14. ABSTRACT. A brief (approximately 200 words) factual summary of the most significant information.

15. SUBJECT TERMS. Key words or phrases identifying major concepts in the report.

16. SECURITY CLASSIFICATION. Enter security classification in accordance with security classification regulations, e.g. U, C, S, etc. If this form contains classified information, stamp classification level on the top and bottom of this page.

17. LIMITATION OF ABSTRACT. This block must be completed to assign a distribution limitation to the abstract. Enter UU (Unclassified Unlimited) or SAR (Same as Report). An entry in this block is necessary if the abstract is to be limited.

Final report for AFOSR grant "Ensemble Kalman Filter for OpenGGCM"

The overall goal of the project is to combine the NCAR Data Assimilation Research Testbed (DART) with the OpenGGCM geospace model to produce a data assimilation capable geospace model. DART is a software framework that implements an Ensemble Kalman Filter (EnKF) and has been used with some 30 different models, mostly from the atmospheric and oceanic domains. OpenGGCM is the first magnetosphere-ionosphere ever to be combined with DART.

As an initial step, we identified the prognostic and diagnostic variables in OpenGGCM which are suitable for data assimilation and for which sufficient data are available. Specifically, these include the ionosphere oxygen ion (O+) density as a proxy for ionospheric electron (e-) density as the primary prognostic variable. The ionosphere potential, magnetic perturbations on the ground and in near space, and a subset of space based plasma data, such as DMSP precipitation and density data were identified as suitable diagnostic data for the data assimilation. Prognostic data can be used in the assimilation process to modify fields in the simulation. Diagnostic data serve to estimate how the simulation deviates from reality.

In order to couple OpenGGCM with DART, a number of technical issues were solved. Both OpenGGCM and DART run on massive parallel computers with 100s to 1000s of processes. Synchronization and data exchange occur through file exchange. We developed, or adapted, as appropriate, netcdf based protocols and implemented them. These were first put in place only for O+/e- assimilation of COSMIC data. Since the O+ grid in the CTIM sub model of OpenGGCM is highly skewed, we needed to implement a new suite of interpolation routines. These have been developed and tested. Furthermore, we conducted so-called *hop tests*, where all data exchange and synchronization takes place, but no actual data assimilation is performed. In other words, DART returns unmodified fields to all OpenGGCM instances. The hop test is designed to reveal coding mistakes early. The coupled codes have passed the hop tests. We also prepared COSMIC data for assimilation runs. With these preparations we are now ready to produce a first assimilation experiment for the 2013 St. Patrick's day storm

Figure 1 shows a schematic that explains the data assimilation cycle, where an ensemble of models with variations of their input is run repeatedly. Each model run produces a projection of the observations, i.e., predicts what the observing system is expected to see. DART then computes the feedback to the model, i.e., modifications to the state vector. With the modified state vectors the ensemble of model runs is the advanced to the next assimilation step. In case of OpenGGCM and DART the data are COSMIC line of sight electron densities.



Figure 1: Showing a schematic of the data assimilation cycle with DART and OpenGGCM, and the work done so far.

Figure 2 illustrates the oxygen ion (O+) grid used in the CTIM part of OpenGGCM. The grid points are along dipole field lines, but in a geographic spherical coordinate system. Therefore, the grid becomes essentially irregular, but composed of "bricks." The data assimilation algorithm is required to interpolate within this kind of grid. The interpolation itself is straight-forward, but the problem lies in finding where within the grid the interpolation point is located, i.e., finding the right brick. An extensive search is computationally prohibitive. We thus developed a marching algorithm that successively marches through a list of neighbors to minimize the distance from the centers of gravity to the interpolation point.

Figure 3 illustrates the process. The bricks are first divided into tetrahedrons (tet4 elements), and lists are established for the center of gravity points, and the neighbors of for each tet4. From a starting point, one then marches to the neighbor that lies closest to the interpolation point. This process is repeated until one cannot get any closer. At that point one still needs an exhaustive search, because being closest the center of gravity does not yet imply one is in the right tet4. However, that search only needs to cover the immediate neighborhood and is computationally not very expensive.



Figure 2: The O+ grid used in CTIM.



Figure 3: Schematic illustrating the search algorithm.