

# Quantifying Uncertainties in Parameterizations of Strength Models of Rolled Homogeneous Armor: Part 2, R-Based Workflow

by JJ Ramsey

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# Quantifying Uncertainties in Parameterizations of Strength Models of Rolled Homogeneous Armor: Part 2, R-Based Workflow

**by JJ Ramsey** *Computational and Information Sciences Directorate, CCDC Army Research Laboratory* 

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### 1. Introduction

This report describes in detail a workflow for Bayesian analysis that uses the R language<sup>1</sup> and a Bayesian software tool designed to interface with that language, RStan.<sup>2</sup> There are two intended audiences for this report. One audience is the set of readers who have read the companion report<sup>3</sup> and wish to know further details of how to implement the analyses discussed within it. The other audience may not have read that report, but is still somewhat familiar with the broad strokes of Bayesian analysis and is looking for examples on how to implement it on something more than a "toy" example. For those in this second audience (as well as those in the first who need their memories refreshed), a few things are noted.

First, strength models for rolled homogeneous armor (RHA) are fit to the stressstrain data described in Appendix A, which come from the Material Implementation, Database, and Analysis Source (MIDAS). These data consist of  $n_c$  subsets, where subset  $i_c$  ( $i_c \in [1, N_{i_c}]$ ) is associated with plastic strain rate  $\dot{\epsilon}_p^{i_c}$  and temperature  $T_{init}^{i_c}$ , which is the initial temperature of an *unstrained* experimental sample. Each subset corresponds to one of the stress-strain curves shown in Fig. 1.

Second, the temperature rise during high-strain-rate deformation is approximately taken into account through the following equations:

$$T_j^{i_c} - T_{j-1}^{i_c} \approx \frac{\beta_{TQ}}{\rho c(T_{j-1}^{i_c})} \int_{\epsilon_{p,j-1}^{i_c}}^{\epsilon_{p,j}^{i_c}} \sigma d\epsilon_p \tag{1}$$

$$T_1^{i_c} - T_{init}^{i_c} \approx \frac{\beta_{TQ}}{\rho c(T_{init}^{i_c})} f_{area} \sigma_1^{i_c} \epsilon_{p,1}^{i_c}, f_{area} \in [0.5, 1]$$
(2)

Here,  $T_{j-1}^{i_c}$ ,  $\epsilon_{p,j}^{i_c}$ , and  $\sigma_j^{i_c}$  are, respectively, the temperature, plastic strain, and flow stress of data point *j* in subset  $i_c$ ;  $\beta_{TQ}$  is the Taylor-Quinney coefficient;  $\rho$  is the density; and c(T) is the specific heat, which is a function of temperature *T*. The integral in Eq. 1 is the area under the portion of stress-strain curve  $i_c$  that is over the strain interval  $[\epsilon_{p,j-1}^{i_c}, \epsilon_{p,j}^{i_c}]$ . The density is taken to be 7840 kg/m<sup>3</sup>, following Benck.<sup>4</sup> The specific heat values for body-centered cubic (BCC) iron, in Appendix A, are assumed to approximate the specific heat values of RHA. The parameter  $f_{area}$  takes into account that when  $\epsilon_{p,1}^{i_c} \neq 0$ ,  $T_1^{i_c} \neq T_{init}^{i_c}$ . While  $\beta_{TQ}$  is often taken to be equal to 0.9 for metals, there is a wide spread of values found in the literature, with  $\beta_{TQ}$ sometimes found to be as low as 0.4.<sup>5</sup> Estimation of  $f_{area}$  amounts to educated



Fig. 1 Plots of flow stress  $\sigma$  vs. plastic strain  $\epsilon_p$  for RHA from MIDAS, with the plastic strain rate denoted as  $\dot{\epsilon}_p$  and the initial sample temperature  $T_{init}$ 

guesswork. Accordingly, temperatures are estimated for a few combinations of reasonable estimates of  $\beta_{TQ}$  and  $f_{area}$ , shown in Table 1.

Table 1 Possible combinations of values of  $\beta_{TQ}$  and  $f_{area}$  used in temperature estimation

$\beta_{TQ}$	farea
0.9	0.75
0.9	0.55
0.6	0.55
0.9	0.95
0.6	0.95

Third, the strength models to be fit are the Johnson-Cook model<sup>6</sup> and the Zerilli-Armstrong model for BCC materials.<sup>7</sup> These two models take the following forms,

$$\sigma_{JC}(\epsilon_p, \dot{\epsilon}_p, T^*; \boldsymbol{\theta}_{JC}) = (A + B\epsilon_p^n)[1 + C\ln(\dot{\epsilon}_p/\dot{\epsilon}_{p0})][1 - (T^*)^m]$$
(3)

$$T^* = (T - T_{room}) / (T_{melt} - T_{room})$$
<sup>(4)</sup>

$$\sigma_{ZA,BCC}(\epsilon_p, \dot{\epsilon}_p, T; \boldsymbol{\theta}_{ZA,BCC}) = C_0 + C_1 \exp[(-C_3 + C_4 \ln(\dot{\epsilon}_p/\dot{\epsilon}_{p0}))T] + C_5 \epsilon_p^n \quad (5)$$

where  $\sigma_{JC}$  is the flow stress according to the Johnson-Cook model;  $\sigma_{ZA,BCC}$  is the flow stress according to the Zerilli-Armstrong (BCC) model;  $\epsilon_p$  is the plastic strain;  $\dot{\epsilon}_p$  is the plastic strain rate;  $\dot{\epsilon}_{p0} = 1/s$ ; *T* is the temperature;  $T_{room}$  is the room temperature;  $T_{melt}$  is the melting temperature; *A*, *B*, *n*, *C*, and *m* are fitting parameters of the Johnson-Cook model;  $\theta_{JC} = (A, B, n, C, m)$ ;  $C_0$ ,  $C_1$ ,  $C_3$ ,  $C_4$ ,  $C_5$ , and *n* are fitting parameters of the Zerilli-Armstrong (BCC) model; and  $\theta_{ZA,BCC} =$  $(C_0, C_1, C_3, C_4, C_5, n)$ . (There is no parameter  $C_2$ ; such a parameter belongs to the face-centered cubic version of the Zerilli-Armstrong model.<sup>8</sup>)

Fourth, because the experimental data for low strain rates come from a different measurement source than those for a high strain rate, the errors associated with each of them are different. The errors from both are assumed to be normally distributed, but the standard deviation of the noise from the low-strain-rate source is taken to be  $SD_{\sigma,1}$ , while that from the high-strain-rate source is taken to be  $SD_{\sigma,2}$ . These two standard deviations are taken to be nuisance parameters whose values are determined as part of Bayesian analysis.

Fifth, this report contains a workflow for sampling the *posterior predictive distribution*<sup>9</sup> (PPD) and the *pushed forward posterior*<sup>10</sup> (PFP), which can be used to check how well a model's predictions agree with the data. For the Bayesian models considered in this report, a sample from the PPD associated with experimental inputs  $\epsilon_{p,j}^{i_c}$ ,  $\dot{\epsilon}_p^{i_c}$ , and  $T_j^{i_c}$ ,  $\sigma_j^{i_c,pred}(\epsilon_{p,j}^{i_c}, \dot{\epsilon}_p^{i_c}, T_j^{i_c})$ , may be obtained as follows:

$$\sigma_{j}^{i_{c},pred}(\epsilon_{p,j}^{i_{c}}, \dot{\epsilon}_{p}^{i_{c}}, T_{j}^{i_{c}}) \sim \operatorname{normal}(\sigma_{mdl}(\epsilon_{p,j}^{i_{c}}, \dot{\epsilon}_{p}^{i_{c}}, T_{j}^{i_{c}}; \boldsymbol{\theta}_{mdl}), SD_{\sigma,k})$$

$$\{\boldsymbol{\theta}_{mdl}, SD_{\sigma,k}\} \sim \mathcal{D}_{post}$$

$$(6)$$

Here,  $\mathcal{D}_{post}$  is the posterior distribution in Bayesian analysis, k = 1 for strain rates of 1/s or less, and k = 2 otherwise. Subscript "*mdl*" stands in for "*JC*" or "*ZA*, *BCC*." This sampling statement implies that a sample from the PPD is obtained by sampling  $\boldsymbol{\theta}_{mdl}$  and  $SD_{\sigma,k}$  from the posterior distribution, substituting that sample into the likelihood distribution (i.e., normal( $\sigma_{mdl}(\ldots), SD_{\sigma,k}$ )) and then sampling from that likelihood. A sample of the PFP of the Bayesian models considered in this report may be obtained as follows:

$$\sigma_{j}^{i_{c},pfp}(\epsilon_{p,j}^{i_{c}}, \dot{\epsilon}_{p}^{i_{c}}, T_{j}^{i_{c}}) \sim \sigma_{mdl}(\epsilon_{p,j}^{i_{c}}, \dot{\epsilon}_{p}^{i_{c}}, T_{j}^{i_{c}}; \boldsymbol{\theta}_{mdl}), \quad \text{if } \boldsymbol{\theta}_{mdl} \sim \mathcal{D}_{post}$$
(7)

This sampling statement implies that a sample from the PFP is obtained by sampling  $\theta_{mdl}$  from the posterior distribution and substituting that sample into the predictive model  $\sigma_{mdl}(...)$ .

Sixth, the Bayesian tool in this report implements Markov Chain Monte Carlo (MCMC), which produces one or more chains of samples from the posterior distribution in Bayesian analysis.<sup>9,11</sup> The particular MCMC algorithm used is Hamiltonian Monte Carlo<sup>12</sup> with the no-U-turn sampler (NUTS).<sup>13</sup>

Finally, an alternative approach, based on an interval predictor model (IPM),<sup>14,15</sup> is used to estimate the parameter uncertainty. An IPM is simply a function that returns an interval as its output rather than a single value. For example, given a function to predict the flow stress,  $\sigma_{mdl}(\mathbf{e}, \boldsymbol{\theta}_{mdl})$  (where  $\mathbf{e} \equiv (\epsilon_p, \dot{\epsilon}_p, T)$ ), and a set  $\boldsymbol{\Theta}$ , the interval within which the flow stress is estimated to lie is  $[\sigma_{min}(\mathbf{e}; \boldsymbol{\Theta}), \sigma_{max}(\mathbf{e}; \boldsymbol{\Theta})]$ , where

$$\sigma_{min}(\mathbf{e}; \mathbf{\Theta}) = \min_{\mathbf{\theta}_{mdl} \in \mathbf{\Theta}} \sigma_{mdl}(\mathbf{e}, \mathbf{\theta}_{mdl})$$
(8)

$$\sigma_{max}(\mathbf{e}; \mathbf{\Theta}) = \max_{\boldsymbol{\theta}_{mdl} \in \mathbf{\Theta}} \sigma_{mdl}(\mathbf{e}, \boldsymbol{\theta}_{mdl})$$
(9)

The set  $\Theta$  is chosen so as to keep the intervals from the IPM reasonably tight, given

known data points  $\{\mathbf{e}_{j}^{i_{c}}, \sigma_{j}^{i_{c}}\}$ . For example,  $\boldsymbol{\Theta}$  may be chosen such that

$$\boldsymbol{\Theta} = \underset{\boldsymbol{\Theta}'}{\arg\min} \sum_{i_c=1}^{n_c} \sum_{j=1}^{N_{i_c}} \left[ \sigma_{max}(\mathbf{e}_j^{i_c}; \boldsymbol{\Theta}') - \sigma_{min}(\mathbf{e}_j^{i_c}; \boldsymbol{\Theta}') \right]$$
(10)

The minimization of Eq. 10 under the constraint

$$\sigma_{min}(\mathbf{e}_{j}^{i_{c}}; \mathbf{\Theta}) \le \sigma_{j}^{i_{c}} \le \sigma_{max}(\mathbf{e}_{j}^{i_{c}}; \mathbf{\Theta}), \forall i_{c} \in [1, n_{c}], j \in [1, N_{i_{c}}]$$
(11)

may not be tractable, especially if there is no analytical solution to Eqs. 8 and 9, thus requiring a nested optimization (i.e., at each iteration to solve Eq. 10, optimization routines would need to be used to estimate  $\sigma_{min}$  and  $\sigma_{max}$  for each data point). However, one may obtain a more tractable problem by approximating  $\sigma_{mdl}(\mathbf{e}, \boldsymbol{\theta}_{mdl})$ with a first-order Taylor expansion about a point estimate of  $\boldsymbol{\theta}_{mdl}$ ,  $\boldsymbol{\theta}_0$ , and taking  $\boldsymbol{\Theta}$ to be a hyperrectangle with corners  $\boldsymbol{\theta}_0 - \Delta \boldsymbol{\theta}_{min}$  and  $\boldsymbol{\theta}_0 + \Delta \boldsymbol{\theta}_{max}$ . If  $\mathbf{g}_{\sigma_{mdl}}(\mathbf{e})$  is the gradient of  $\sigma_{mdl}(\ldots)$  with respect to  $\boldsymbol{\theta}_{mdl}$  evaluated at  $\mathbf{e}$  and  $\boldsymbol{\theta}_0$ , and  $|\mathbf{g}_{\sigma_{mdl}}(\mathbf{e})|$  is the *elementwise* absolute value of  $\mathbf{g}_{\sigma_{mdl}}(\mathbf{e})$ , then Eqs. 8 and 9 can be approximated as follows:

$$\sigma_{min}(\mathbf{e}; \mathbf{\Theta}) \approx \sigma_{mdl}(\mathbf{e}, \mathbf{\theta}_0) - \frac{1}{2} \left( \mathbf{g}_{\sigma_{mdl}}(\mathbf{e}) + |\mathbf{g}_{\sigma_{mdl}}(\mathbf{e})| \right)^T \Delta \mathbf{\theta}_{min} + \frac{1}{2} \left( \mathbf{g}_{\sigma_{mdl}}(\mathbf{e}) - |\mathbf{g}_{\sigma_{mdl}}(\mathbf{e})| \right)^T \Delta \mathbf{\theta}_{max}$$
(12)  
$$\sigma_{max}(\mathbf{e}; \mathbf{\Theta}) \approx \sigma_{mdl}(\mathbf{e}, \mathbf{\theta}_0) - \frac{1}{2} \left( \mathbf{g}_{\sigma_{mdl}}(\mathbf{e}) - |\mathbf{g}_{\sigma_{mdl}}(\mathbf{e})| \right)^T \Delta \mathbf{\theta}_{min} + \frac{1}{2} \left( \mathbf{g}_{\sigma_{mdl}}(\mathbf{e}) + |\mathbf{g}_{\sigma_{mdl}}(\mathbf{e})| \right)^T \Delta \mathbf{\theta}_{max}$$
(13)

Here, a superscript *T* indicates the transpose. Given Eqs. 12 and 13 along with a fixed  $\theta_0$ , Eq. 10 becomes

$$\Delta \boldsymbol{\theta}_{min}, \Delta \boldsymbol{\theta}_{max} = \underset{\Delta \boldsymbol{\theta}'_{min}, \Delta \boldsymbol{\theta}'_{max}}{\arg \min} \left[ \sum_{i_c=1}^{n_c} \sum_{j=1}^{N_{i_c}} |\mathbf{g}_{\sigma_{mdl}}(\mathbf{e}_j^{i_c})| \right]^T (\Delta \boldsymbol{\theta}'_{min} + \Delta \boldsymbol{\theta}'_{max}) \quad (14)$$

Together, Eqs. 11–14 form a constrained minimization problem that can be solved through linear programming.

Because this report is aimed primarily at those who have had little exposure to Bayesian analysis, it is written mostly in a step-by-step tutorial style, with the R code needed for analysis shown explicitly. Readers unfamiliar with R may wish to view Appendix B. Excerpts and variables from program code, as well as filenames, are written in a fixed-width font like this.

#### 2. Obtaining Software Tools

There are a variety of ways to obtain RStan,<sup>16</sup> but here, instructions are presented for how to obtain it via the freely available Anaconda distribution.<sup>17</sup> (It is presumed here that any permissions needed to install software on one's computer have already been obtained, and that one can configure any anti-malware tools on that computer so that they will not interfere with launching of MCMC chains in parallel, an issue that has been a problem for some Stan users.<sup>18</sup>) First, if the distribution has not been installed already (and on certain CCDC Army Research Laboratory [ARL] work-stations and computing clusters it may already be installed), then one should follow the installation instructions for Anaconda available online.<sup>19</sup> Since the details of these instructions depend on one's computing platform, they are not discussed here. Once the distribution is installed, one can use the Anaconda Navigator GUI to install various software that one may need. When one first starts Navigator,<sup>20</sup> one sees a window that looks like the one in Fig. 2. One can then click on the "Environments" tab (shown circled in a dashed red line), and then one should see a window like the one in Fig. 3.

At this point, one can then create a so-called "environment", which, loosely speaking, may be described as a container of software that one can maintain without it interfering with other software on one's system. To create an environment, one should first click on the "Create" button (shown circled in a dashed red line). This should produce a dialog window that looks like the one in Fig. 4. To provide an environment for R named "Bayes", the dialog window should look like Fig. 5.

Once the environment has been created, one can then install RStan. One first goes to the "Environments" tab, clicks on "Bayes", and then selects "Not installed" from the drop-down list over the list of software packages. The Anaconda Navigator window should then look like Fig. 6. From here, one can search for packages and click the check boxes next to the package(s) one wishes to install. For example, to install RStan, one can search for "rstan" and click the check box next to "r-rstan" (*not* just "rstan"!), which looks like Fig. 7.



Fig. 2 Main window of Anaconda Navigator, with the "Home" tab shown and the "Environments" tab circled in a dashed red line

To finish installing, one clicks on the "Apply" button that appears at the lower right corner of the Navigator window. This also installs any packages on which RStan depends. To do the plotting and analysis described in later sections, one should install the packages "r-hdinterval" and "r-lpsolve" as well. This is needed for later calculations.

If one clicks on the "Home" tab in Anaconda Navigator (the tab just above the "Environments" tab) and chooses the item "Bayes" from the drop-down menu next to the text "Applications on", one can see a window like the one in Fig. 8. From this window, one can launch Jupyter Notebook,<sup>21</sup> where one can write and execute R code in an incremental, piecemeal fashion and intersperse the code with text explaining one's intended workflow. One can also install and then launch RStudio,<sup>22</sup> an integrated development environment for R.

The aforementioned RStudio not only has syntax highlighting for R, but also for the Stan language.<sup>22</sup> For those who use the text editors Emacs or Vim, syntax highlighting for the Stan language is available as well.<sup>23,24</sup>

	IDA NAVIGATOR		Upgrade Now	Sign in to Anaconda Cloue
Home	Search Environments Q	Installed	Channels Update index Search Packages Q	
Environments	root	Name 👻	T Description	Version
Environments	bensorflaw	jpyw_ilab_nb_ext	0	0.1.0
Projects (beta)	tensorflow-gpu	alabaster	O Configurable, python 2+3 compatible sphink theme	0.7.10
		anaconda	0	A 5.0.1
Learning		anaconda-client	O Anacondu.org command line dient library	A 165
		anaconda-project	Q Reproducible, executable project directories	<b>≠</b> 0.8.0
Community		🖬 asn1crypto	O Ass.1 parser and serializer	₱ 0.22.0
		astroid	O Abstract syntax tree for python with inference support	<b>≠</b> 153
		S astropy	O Community-developed python Ibrary for astronomy	7 2.0.2
		🖬 babel	O Utilities to internationalize and localize python applications	<b>≠</b> 25.0
		a backports	0	1.0
		backports.shutil-get- terminal-size	•	1.0.0
		backports.shutil_g	0	1.0.0
		beautifulsoup4	O Python Ibrary designed for screen scraping	4.6.0
		🖬 bitarray	O Efficient representation of arrays of booleans - c extension	0.8.1
		S bkcharts	O Optional high level charts api built on top of bokeh	0.2
		🖾 blaze	O Numpy and pandes interface to big data	0.11.3
		🖬 bleach	O Easy whitelist-based html-sanitizing tool	A 200
Documentation		🛛 bakeh	O Python interactive visualization library for modern web browsers	<b>⊅</b> 0.12.10
		🖬 boto	Q Amazon web services library	2.48.0
Developer Blog		Dottleneck	O Test numpy ensyfunctions written in cython.	1.2.1
Feedback		🖬 bzip2	O High-quality data compressor	1.0.6
		ca-certificates	0	2017.08.
7 A 🕈		🖬 cairo	Q A 2d graphics library with support for multiple output devices	₹ 1.14.10

Fig. 3 Main window of Anaconda Navigator, with the "Environments" tab shown and the "Create" button circled in a dashed red line

Create new er	nvironment			х
Name:	New environ	mentname		
Location:				
Packages:	<ul> <li>Python</li> </ul>	3.6 🗸		
	R	mro 💙		
			<b>Cancel</b> Cr	eate

Fig. 4 Dialog window for creating environments with Anaconda Navigator, with default settings

Create new en	vironment	х
Name:	Bayes	
Packages:	/hamp/jramsey/condu/envs/Bayes Pythan 3.6	
**.	Cancel Cre	ate

Fig. 5 Dialog window for creating environments with Anaconda Navigator, with settings changed to create an environment named "Bayes" for R

	NDA NAVIGATOR			1 Upgrade Now	Sign in to Anaconda Cli
Home	Search Environments Q		Not installed	Charrente Update redex Search/Packages Q	
Environments	root Baves			T Description	Version 0.1.0
Designation (Bandara)	Bayes			0	0.4.0
Projects (beta)			absl-py	0	0.1.10
Learning	tensorflow-gpu		abstract-rendering	0	0.5.1
			accelerate	0	2.3.1
Community			accelerate_cudalib	0	2.0
			affine	O Matrices describing affine transformation of the plane	2.1.0
			apate a	0	1.6.0
			agste-dbf	0	0.2.0
			agate-excel	0	0.2.1
		<	lpe-steps	0	0.5.2
			aiobotocore	0	0.5.1
			aiofiles	0	0.3.1
			alohttp	O August http://een/herveeframework.(augnoin)	2.1.0
			alabaster	O Configurable, python 2+3 compatible uphins theme	0.7.10
			alembic	0	0.9.6
			alpaca_static	0	1.5.22
Documentation			alsa-lib-cos6-1686	0	1.1.0
			ata-lb-cost-x05_64	0	1.1.0
Developer Blog			alsa-lib-cos7-ppc64le	0	1.1.3
Peedback			aha-lib-devel-cost- 1686	0	1.1.0
1 PERSONAL A			aha-lib-devel-cos6- x86_64	0	1.1.0
/ A. 🗸			alsa-lib-devel-cos7- cor64le	0	1.1.3

Fig. 6 Main window of Anaconda Navigator, with the "Environments" tab showing a list of available software packages that are not installed. The entry for the "Bayes" environment and the drop-down list with the entry "Not installed" are both circled in a dashed red line.



Fig. 7 Close-up of main window of Anaconda Navigator, with the "Environments" tab showing the available software package with the string "rstan" in its name. The search box and package name are circled in a dashed red line.



Fig. 8 Main window of Anaconda Navigator, with the "Home" tab shown and the "Environments" tab circled in a dashed red line. Applications for the "Bayes" environment are shown.

#### 3. Working Directories

It is presumed that all code and data in the following analyses are in subdirectories immediately below some user-chosen base directory. Subdirectory stan\_model\_specs contains all Stan model specification files. Subdirectory R is the working directory from which all R code is sourced and executed. The subdirectory MIDAS\_data contains the stress-strain data from MIDAS described in Appendix A, and the subdirectory Other\_data contains other files that can be processed with multiple programming languages.

### 4. Data Files

The original data from MIDAS have been stored in a set of comma-separated value (CSV) files, with one file for a given strain rate and initial temperature. The data from these files are shown in Appendix A. For each file, the first column is the *plastic* strain (so no conversion from total strain to plastic strain is needed here), and the second column is the true stress in megapascals. There are no column headings in the CSV files. The naming convention for each file indicates the temperature and strain rate for which the data have been determined. For example, in the filename T298K\_edot0.1\_per\_s.csv, "T298K" indicates that the initial temperature is 298 K, and "edot0.1\_per\_s" indicates that the strain rate is 0.1/s.

The Other\_data directory mentioned in Section 3 contains a CSV file named Austin\_Specific\_Heat\_BCC\_Iron.csv that has the specific heat data as a function of temperature for BCC iron. The first column is the absolute temperature in kelvin, and the second column is the specific heat in  $J/(kg \cdot K)$ . The data from this file are also in Appendix A.

Also in the Other\_data directory are JavaScript Object Notation (JSON) files used for the parameters for priors, as well as some other miscellaneous data. The reason for putting these parameters into files is that they are used repeatedly in both the process of fitting models and in later data analysis. The reason for using JSON files in particular is that they are human-readable text files that can easily be read into both R and Python sessions, and thus can be used not only in the workflow discussed in this report but in the Python workflow discussed in Ramsey.<sup>25</sup>

Next are the contents of the data file JC\_priors.json, which pertains to the weakly informative priors of the Johnson-Cook model discussed in Ramsey.<sup>3</sup>

Between the curly braces is a comma-separated list of key-value pairs, where the keys are strings, the values are either numbers or lists of numbers in brackets, and a colon is used to separate the keys and values. Here, the keys correspond to data variables in the Stan specification file in Section D.1.

As discussed in Ramsey,<sup>3</sup> a strongly informative prior may also be used for parameter *A* of the Johnson-Cook model. The mean and standard deviation of this prior, based on experimental data from Benck,<sup>4</sup> are stored in a JSON file named JC\_prior\_A\_Benck.json:

```
{
    "A_guess_mean": 707.25,
    "A_guess_sd": 10.63
}
```

There are also other quantities that are needed for the fit of the Johnson-Cook model, and since these quantities are also used later, they are saved to a JSON file, entitled JC\_other\_data.json:

```
{
    "T_room" : 298.0,
    "T_melt" : 1783.0,
    "epsilon_p_dot_0" : 1.0
}
```

This file, of course, has the values of parameters  $T_{melt}$ ,  $T_{room}$ , and  $\dot{\epsilon}_{p0}$ .

Parameters for the priors of the Zerilli-Armstrong (BCC) model are in the file ZA\_BCC\_priors.json:

```
{
    "C0_quess_mean" : 100.0,
   "C0_guess_sd" : 33.3333333333333,
    "C1_guess_mean" : 1000.0,
    "C1_guess_sd" : 333.333333333333,
    "C3_quess_mean" : 1e-3,
    "C3 quess sd" : 3.3333333333333333-04,
   "C4_guess_mean" : 1e-05,
    "C4_guess_sd" : 3.3333333333333338-06,
    "C5_guess_mean" : 1000.0,
   "C5_guess_sd" : 333.333333333333,
   "n_alpha" : 1.1,
    "n_beta" : 1.1,
   "sd_sigma_quess_mean" : [100.0, 100.0],
   "sd_sigma_guess_sd" : [33.333333333333, 33.333333333333]
}
```

The keys correspond to data variables in the Stan specification file in Section D.2. The values associated with these keys make the priors of the Zerilli-Armstrong (BCC) model weakly informative.

## 5. Testing Models with Simulated Data

A Bayesian model should be tested with simulated data, that is, data sampled from the likelihood of the model given known model parameters and other model inputs, which in this case are the strain, strain rate, and temperature. When one fits the model back to these simulated data, the resulting point estimates for the model parameters should be approximately the same as the parameter values that one used to create the simulated data in the first place. If not, that means that the model should be revised. Examples of this sort of testing are shown for both the Johnson-Cook and Zerilli-Armstrong (BCC) models.

#### 5.1 Functions for Testing Models

Some custom R functions, whose sources are in the file <code>bayes-stress-strain-utils.R</code> in Appendix C, have been used in the testing of models described later on. These functions are as follows:

- simulate\_data, which is used to help generate the kind of simulated data described previously, while accounting for the temperature rise estimated in Eq. 1;
- gen\_lin\_interp\_func, which is used to generate a function that linearly interpolates tabular data (in particular, the specific heat data in Appendix A);
- plot\_stress\_strain\_curves, which creates a plot of several stressstrain curves and writes it to a file; and
- save\_to\_rds, a wrapper around the saveRDS function, which saves an
  R object to an R Data Serialization (RDS) file. This wrapper ensures that
  any directories in the file path supplied to save\_to\_rds actually exist and
  creates them if they do not.

The details of these functions may be mainly of interest to readers who are looking for example code to use as a reference. However, the contents of the function simulate\_data pertain more to the physics and mathematics of the sample problem, so it is discussed in more detail. This function has the following arguments:

- sigma\_model\_func, a function representing the strength model (e.g.,  $\sigma_{JC}$  or  $\sigma_{ZA,BCC}$  from Eqs. 3 and 5) that returns the flow stress and takes four arguments: plastic strain, plastic strain rate, temperature, and some data structure containing the model parameters (such as an R list with named elements)
- epsilon\_p\_max, the largest plastic strain for which stresses are calculated
- epsilon\_p\_dot, the plastic strain rate
- T\_init, the initial temperature of the sample

- theta\_model the model parameters of the strength model (e.g.,  $\theta_{JC}$  or  $\theta_{ZA,BCC}$  from Eqs. 3 and 5)
- beta\_TQ, the Taylor-Quinney coefficient
- rho, the density of the sample
- specific\_heat\_func, a function that returns the specific heat for a given temperature (which can be and later on is generated using gen\_lin\_interp\_func)
- curve\_size, the number of data points in the stress-strain curve

The following statement creates a vector of length curve\_size, epsilon\_p, which contains evenly spaced strain values from 0 to epsilon\_p\_max:

```
epsilon_p <- seq(0.0, epsilon_p_max, length.out = curve_size)</pre>
```

The next two statements create the vectors temperature and sigma, which are to hold sequences of temperatures and stresses, respectively.\* Like epsilon\_p, these have length curve\_size. At this point, the elements of these vectors are all zero.

```
temperature <- numeric(curve_size)
sigma <- numeric(curve_size)</pre>
```

After this come the parts of the function that generate simulated temperature and stress data. There is a potential circularity here. In general, the temperature depends upon the stress, but to calculate the stress from the strength model, one needs the temperature. To work around this, the temperature in element *i* of temperature is estimated using the stress in element i-1 of sigma. To bootstrap this process, the first elements of temperature and sigma are set using the initial temperature T init:

```
temperature[1] <- T_init
sigma[1] <- sigma_model_func(
epsilon_p[1],
epsilon_p_dot,
temperature[1],</pre>
```

<sup>\*</sup>In R, the variable T is predefined to be equivalent to the Boolean value TRUE, so it is not used as a variable for temperature.

```
theta_model
)
```

At this point, the rest of the elements of temperature and sigma can be set as follows:

```
for (i in 2:curve_size) {
    # Estimate of area under stress-strain curve from
    # epsilon_p[i-1] to epsilon_p[i].
    area_under_curve <- sigma[i-1]*(epsilon_p[i] - epsilon_p[i-1])
    temp_rise <- beta_TQ*area_under_curve/
      (rho*specific_heat_func(temperature[i-1]))
    temperature[i] <- temperature[i-1] + temp_rise
    sigma[i] <- sigma_model_func(
      epsilon_p[i],
      epsilon_p_dot,
      temperature[i],
      theta_model
    )
}</pre>
```

As the comment in this R code indicates, area\_under\_curve is an estimate of the area under the portion of the stress-strain curve that is over the interval [epsilon\_p[i - 1], epsilon\_p[i]]. This corresponds to the integral in Eq. 1, with the integrand being approximated as a constant with the value sigma[i - 1]. The temperature rise temp\_rise also follows from Eq. 1. Once the temperature rise is estimated, then it is straightforward to determine temperature[i] and then sigma[i].

Finally, the function returns its values as a list with named elements as follows:

```
return (list(
    T = temperature,
    epsilon_p = epsilon_p,
    sigma = sigma
))
```

#### 5.2 Testing Johnson-Cook Model with Simulated Data

First, one should load the RStan package (named rstan), if only to make sure it is actually there. This may be done with the following line of R code:

library(rstan)

The output of this is as follows:

```
Loading required package: ggplot2
Loading required package: StanHeaders
rstan (Version 2.17.2, GitRev: 2elf913d3ca3)
For execution on a local, multicore CPU with excess RAM we recommend calling
options(mc.cores = parallel::detectCores()).
To avoid recompilation of unchanged Stan programs, we recommend calling
rstan_options(auto_write = TRUE)
```

One of the previous messages, the one about setting mc.cores, basically advises setting up RStan so that, for example, if one has at least four cores in one's CPU and one is running MCMC with, say, four chains, then the chains are generated in parallel, with one chain per core. This is done later on.

At this point, a Stan specification file for the Johnson-Cook model should have been written separately in a text editor. Here, the file is named jc.stan, shown in Appendix D. As discussed in Section 3, it is in the directory stan\_model\_specs, which is a sibling to the directory R, the working directory where R code is being executed. Accordingly, stan\_model\_specs is in the *parent* of the working directory, and in R, this working directory can be determined:

```
working_dir <- getwd()</pre>
```

The parent of the working directory is determined in R as follows:

```
parent_dir <- dirname(working_dir)</pre>
```

The full path to the Stan specification file jc.stan can then be specified as follows:

```
path_to_jc_stan_file <- file.path(parent_dir, "stan_model_specs", "jc.stan")</pre>
```

The file jc.stan can then be *compiled* into a stanmodel object named jc\_model:

jc\_model <- stan\_model(path\_to\_jc\_stan\_file)</pre>

This compiling could have been done "behind the scenes" via the stan function of the RStan package. However, it is usually better to do this as an explicit step, to ensure that one has not made a syntax error in the specification. If there is such an error, then the compilation step fails, and one can then fix the specification file before proceeding to the rest of the analysis. Even if the compilation has succeeded, there may still be warnings, especially from the underlying C++ compiler that RStan uses to create jc\_model. Most warnings, especially one about auto\_ptr being deprecated, may be safely ignored, but out of caution it is best to at least read them.

To save the stanmodel object for future use, one can save it to an RDS file. This file, named jc.rds, is stored in the subdirectory compiled\_stan\_models:

```
source("bayes-stress-strain-utils.R")
save_to_rds(jc_model, file.path("compiled_stan_models", "jc.rds"))
```

As mentioned before, the wrapper function save\_to\_rds ensures that the directory compiled\_stan\_models actually exists, and creates it if it does not.

One can use the readRDS function to bring the stanmodel object stored in jc.rds into another R session. However, an RDS file of a stanmodel object only works with R sessions done on the same system used to generate the RDS file, or at least a system that is nearly identical.

Simulated stress-strain curves are to be created for several combinations of plastic strain rate (epsilon\_p\_dot) and initial sample temperature (T\_init), such as those in the following R vectors:

```
epsilon_p_dot <- c(0.001, 0.1, 3500.0, 7000.0, 3000.0, 3000.0)
T_init <- c(298.0, 298.0, 298.0, 298.0, 473.0, 673.0)
```

These values are taken from Meyer and Kleponis.<sup>26</sup> They are in units of 1/s and Kelvin, respectively. To account for the temperature rise during deformation of a sample, the sample density  $\rho$  and the specific heat as a function of temperature c(T) are needed. Density  $\rho$  can be trivially represented by the R variable rho:

rho <- 7840.0 # kg/m^3

Representing the specific heat function in R is less straightforward. As mentioned in Section 4, the specific heat data in Appendix A have been collected into the CSV file Austin\_Specific\_Heat\_BCC\_Iron.csv. This can be read into an R

#### session as follows:

The content of the CSV file is stored in a table-like object named  $c_data$ . The "sep = ", "" argument indicates that the column entries are separated by, of course, commas. Because the simulated stress data are supposed to be in megapascals, the specific heat values need to be in compatible units. Accordingly, the second column of  $c_data$ , which contains these values, is modified as follows:

```
# Conversion factor from MPa to Pa
MPa_to_Pa <- 1e6
c_data[,2] <- c_data[,2]/MPa_to_Pa</pre>
```

After this is done, a function that estimates the specific heat as a function of temperature can be generated as follows:

```
c_func <- approxfun(c_data, rule = 2)</pre>
```

The previous function c\_func linearly interpolates the specific heat data from c\_data. In the unlikely event that extrapolation from the data is needed, the argument "rule = 2" allows for this, so that if the temperature is greater than  $max(c_data[,1])$ , then c\_func returns  $max(c_data[,2])$ . The function gen\_lin\_interp\_func from bayes-stress-strain-utils.R in Appendix C encapsulates most of the previous steps used to obtain c\_func and is used for obtaining such a function in later parts of this report.

To create simulated data for the Johnson-Cook model, one needs an R function specifying this model. However, rather than rewrite this function from scratch, one can use the function <code>expose\_stan\_functions</code> to reuse the jc function that is already in the specification file jc.stan. Since <code>expose\_stan\_functions</code> is from RStan, the RStan package must be loaded:

#### library(rstan)

The function expose\_stan\_functions needs the stanmodel object created from the file jc.stan. Since this object has already been saved to the file jc.rds in the directory compiled\_stan\_models, it can be brought back to the R session as follows:

```
jc_model <- readRDS(file.path("compiled_stan_models", "jc.rds"))</pre>
```

At this point, the function expose\_stan\_functions can now be used:

expose\_stan\_functions(jc\_model)

Now there is a function jc in the R session that is essentially a copy of the one specified in jc.stan.

To generate the data for several simulated stress-strain curves, the function simulate\_data (shown in Appendix C within the R source file bayes-stress-strain-utils.R) is used. It needs a function that not only represents the strength model but has certain arguments in a certain order. Since the jc function does not have these arguments in just the right form, it needs to be accessed indirectly, via a wrapper function:

```
sigma_model_func <- function(epsilon_p,</pre>
                              epsilon_p_dot,
                              temperature,
                              theta_model) {
 log_epsilon_p_dot <-</pre>
    log(epsilon_p_dot/theta_model[["epsilon_p_dot_0"]])
 return (jc(
   epsilon_p,
   log_epsilon_p_dot,
    (temperature - theta_model[["T_room"]])/
      (theta_model[["T_melt"]] - theta_model[["T_room"]]),
    theta_model[["A"]],
    theta_model[["B"]],
   theta_model[["n"]],
   theta_model[["C"]],
    theta_model[["m"]]
 ))
}
```

The model parameters needed by sigma\_model\_func are shown:

```
theta_model <- list(
    A = 780.0, # MPa
    B = 780.0, # MPa
    n = 0.106,</pre>
```

```
C = 0.004,
m = 1.0,
T_melt = 1783.0, # Kelvin
T_room = 298.0, # Kelvin
epsilon_p_dot_0 = 1.0 # per s
)
```

The values of these parameters happen to be from Meyer and Kleponis,<sup>26</sup> but in principle, they could be set to any plausible values.

At this point, nearly all the information needed for simulate\_data has been input to an R session. Just before generating the simulated data, the seed for the random number generator is set, so that the pseudorandom simulated data to be generated are reproducible:

```
set.seed(12345)
```

The maximum value for  $\epsilon_p$  and values for  $SD_{\sigma,1}$  and  $SD_{\sigma,2}$  should be set at this point as well:

```
epsilon_p_max <- 0.2
sd_sigma <- c(1.0, 10.0)</pre>
```

Finally, the simulated data can be generated as follows. Here,  $beta_TQ$ , the Taylor-Quinney coefficient, is set to zero for low strain rates to simulate the lack of a temperature rise at those rates. Similarly, curr\_sd\_sigma is either  $SD_{\sigma,1}$  or  $SD_{\sigma,2}$ , depending on the strain rate.

```
source("bayes-stress-strain-utils.R")
# Initializing to empty lists
sigma <- list()
epsilon_p <- list()
temperature <- list()
min_curve_size <- 40
max_curve_size <- 50
for (i in 1:length(epsilon_p_dot)) {
    if (epsilon_p_dot[i] <= 1.0) {
        beta_TQ <- 0.0
        curr_sd_sigma <- sd_sigma[1]
    } else {
        beta_TQ <- 0.9
        curr_sd_sigma <- sd_sigma[2]
    }
</pre>
```

```
# Sets curve_size to a random integer between min_curve_size
# and max_curve_size
curve_size <- sample(min_curve_size:max_curve_size, 1)</pre>
curr_data <- simulate_data(</pre>
    sigma_model_func,
    epsilon_p_max,
    epsilon_p_dot[i],
    T_init[i],
    theta_model,
    beta_TQ,
    rho.
    c_func,
    curve_size
)
sigma[[i]] <- rnorm(n = curve_size,</pre>
                     mean = curr_data[["sigma"]],
                     sd = curr_sd_sigma)
epsilon_p[[i]] <- curr_data[["epsilon_p"]]</pre>
temperature[[i]] <- curr_data[["T"]]</pre>
```

}

Here, sigma, epsilon\_p, and temperature are lists of vectors, and sigma[[i]] and epsilon\_p[[i]] are the stresses and strains for stress-strain curve i. Furthermore, temperature[[i]] is a vector of temperatures, such that temperature[[i]][j] is the temperature for data point j of stress-strain curve i. The function rnorm is used to set sigma[[i]] to a vector of normally distributed random values, such that element j of the resulting vector has mean curr\_data[["sigma"]][j] and standard deviation curr\_sd\_sigma.

As a sanity check, one may plot the simulated data to a ".pdf" file (in the directory plot\_files) with the function plot\_stress\_strain\_curves (also from bayes-stress-strain-utils.R in Appendix C). An example usage of this function is shown:

The resulting plot of the simulated data is in Fig. 9.



Fig. 9 Plot of simulated data used to test the Johnson-Cook RStan model

The simulated data can be saved to an RDS file in the directory rds\_data\_files, as shown. These data are saved as a list that can be used by the sampling function in RStan. Accordingly, variables pertaining to the priors, that is, A\_guess\_mean, n\_alpha, and so on, are included in these data, using the JSON file JC\_priors.json discussed in Section 4.

```
library(jsonlite)
save_to_rds(
    c(
        list(
            num_curves = length(epsilon_p_dot),
            curve_sizes = sapply(sigma, length),
            epsilon_p_dot = epsilon_p_dot,
            epsilon_p = unlist(epsilon_p),
            sigma = unlist(sigma),
        T = unlist(temperature),
```

```
T_melt = theta_model[["T_melt"]],
T_room = theta_model[["T_room"]],
epsilon_p_dot_0 = theta_model[["epsilon_p_dot_0"]]
),
read_json(file.path(parent_dir, "Other_data", "JC_priors.json"),
simplifyVector = TRUE)
),
file.path("rds_data_files", "jc_simulated_data.rds")
)
```

The first argument to save\_to\_rds is an R list with named elements, where the name of each component corresponds to the name of a variable declared in the data program block of jc.stan. This list is a concatenation of two lists. In the second element of the first list, the function sapply applies the function length to each component of the list sigma. This is used to set the element named curve\_sizes to a vector, such that curve\_sizes [1] is the number of data points in the first stress-strain curve, curve\_sizes[2] is the number of data points in the second stress-strain curve, and so on. The function unlist takes a list of vectors and returns one long vector, such that the first length (sigma [[1]]) elements of unlist (sigma) are the elements of sigma [[1]], the next length(sigma[[2]]) elements of unlist(sigma) are the elements of sigma [2], and so on.<sup>1</sup> This is done to accord with how data storage for stresses, strains, and temperatures is specified in the file jc.stan, as illustrated in Fig. 10, to workaround Stan's lack of support for ragged arrays.<sup>27</sup> The second list is returned by a call to the function read\_json from the jsonlite package.\* For the JSON files discussed in Section 4, this function returns a list with named elements, where the names are the keys in the JSON files, and the values associated with those names are the values associated with the corresponding keys in the JSON files. The argument "simplifyVector = TRUE" ensures that the bracketed sequences of numbers in the JSON file are translated into vectors in R, rather than lists. This argument is needed because RStan requires that vector variables in a Stan specification file be specified with R vectors.

To run MCMC, one loads the rstan package, if one has not already done so, and then sets the mc.cores option so that chains can be generated in parallel as follows:

#### library(rstan)

<sup>\*</sup>If R has been installed according to the advice in Section 2, then the <code>jsonlite</code> package should already be installed. Otherwise, it may be installed via R's <code>install.packages</code> function.



Fig. 10 Storage of data for stress-strain curves in the Stan vectors epsilon\_p, sigma, T, and curve\_sizes

options(mc.cores = parallel::detectCores())

At this point, the Johnson-Cook model may be loaded into the R session, if it has not been loaded already, and the simulated data may be loaded as well:

Finally, one fits the model to the simulated data via the sampling function of RStan, as shown. For the sake of reproducibility, the seed for random number generation is set via the seed argument of the sampling function.

The MCMC results have been captured in a stanfit object named jc\_fit. One may obtain summary statistics from this object as follows:

```
print(jc_fit, digits_summary = 6)
```

The value of digits\_summary in the arguments of the above print function call indicates the number of digits shown after the decimal point in the printed statistics. The following is the output:

```
Inference for Stan model: jc.
4 chains, each with iter=2000; warmup=1000; thin=1;
post-warmup draws per chain=1000, total post-warmup draws=4000.
                              sd
                                        2.5%
                                                  25%
                                                              50%
               mean se mean
          781.150694 0.012297 0.777720 779.696602 780.629309 781.151375
Α
          779.601746 0.014175 0.896483 777.921287 778.998131 779.582458
В
           0.106358 0.000005 0.000297 0.105769 0.106162 0.106355
n
            0.004041 0.000001 0.000034 0.003972 0.004019
С
                                                         0.004043
           0.997633 0.000048 0.002614 0.992519 0.995850
                                                         0.997607
m
sd_sigma[1] 1.137823 0.001965 0.086759 0.982855 1.075615 1.131809
sd_sigma[2] 9.663087 0.010650 0.497499 8.748877 9.310305 9.652242
75%
                        97.5% n_eff
                                      Rhat
         781.653711 782.687873 4000 0.999645
Α
          780.196032 781.367302 4000 0.999574
В
           0.106561 0.106942 3320 0.999728
n
С
            0.004065
                    0.004106 2021 1.000398
           0.999389 1.002844 2950 0.999645
m
sd_sigma[1] 1.194667 1.314741 1950 0.999858
sd_sigma[2] 9.985599 10.678908 2182 0.999745
```

Samples were drawn using NUTS(diag\_e) at Wed Aug 1 09:55:27 2018. For each parameter, n\_eff is a crude measure of effective sample size, and Rhat is the potential scale reduction factor on split chains (at convergence, Rhat=1).

As one can see, the mean values of the parameters from the model fit are nearly the same as the parameter values in the list theta\_model that produced the simulated data. There are also other things worth noting. First, by default, RStan generates four chains, each with 2000 samples total, the first 1000 of which are discarded as "warmup" samples. This warmup is present because the first several samples in a chain may be a poor representation of the posterior distribution. Second, there is an additional "parameter" lp\_\_, which is not really a parameter, but rather the natural logarithm of the posterior probability density.<sup>27</sup> Third, there are a couple diagnostics printed. One is the effective sample size (n\_eff), which indicates how effectively the posterior has been sampled. The values of this range from about 1000 to 4000, which is reasonable. The other is the potential scale reduction factor (Rhat), which indicates if the distribution from which the samples are taken is close enough to the actual posterior distribution. Here, the diagnostics indicate that the MCMC sampling went well.

RStan may or may not print the time elapsed during MCMC sampling. If it has been run from a R command prompt or RStudio, it should print it. If run from a Jupyter notebook, it probably will not. If need be, the elapsed time in seconds can be obtained as follows:

```
get_elapsed_time(jc_fit)
```

The output from the previous statement is as follows:

```
warmup sample
chain:1 9.28 7.34
chain:2 8.92 7.83
chain:3 8.69 7.32
chain:4 10.21 7.18
```

One should note that the elapsed time may be affected by processes running in the background that do not relate to MCMC sampling.
### 5.3 Testing Zerilli-Armstrong (BCC) Model with Simulated Data

The process for compiling the Zerilli-Armstrong (BCC) model is nearly the same as the corresponding one for the Johnson-Cook model. One loads the RStan package, compiles the appropriate Stan specification file (i.e., za\_bcc.stan) into a stanmodel object, and then saves the resulting object to an RDS file. All this is shown in the following code:

Again, the RDS file for a stanmodel object (here za\_bcc.rds) only works with R sessions done on the same system used to generate the RDS file, or at least a system that is nearly identical.

Simulated stress-strain curves are to be created for several combinations of plastic strain rate (epsilon\_p\_dot) and initial sample temperature (T\_init), such as those in the R vectors presented:

```
epsilon_p_dot <- c(2500.0, 0.001, 0.001, 0.1, 3500.0, 7000.0,
3000.0, 3000.0, 3500.0)
T_init <- c( 77.0, 77.0, 298.0, 298.0, 298.0, 298.0,
473.0, 673.0, 873.0)
```

The values used are taken from Gray et al.<sup>8</sup> They are in units of 1/s and Kelvin, respectively. As with the Johnson-Cook model, to account for the temperature rise during deformation of the sample, the sample density  $\rho$  and the specific heat as a function of temperature c(T) are needed. Density  $\rho$  is again trivially represented by the R variable rho as follows. This time, the specific heat function is generated with the R function gen\_lin\_interp\_func from bayes-stress-strain-utils.R in Appendix C.

```
source("bayes-stress-strain-utils.R")
rho <- 7840.0 # kg/m^3
# Parent directory</pre>
```

```
parent_dir <- dirname(getwd())
# Conversion factor from MPa to Pa
MPa_to_Pa <- le6
c_func <- gen_lin_interp_func(
    file.path(parent_dir, "Other_data",
                          "Austin_Specific_Heat_BCC_Iron.csv"),
    conv_func_y = function(y) {y/MPa_to_Pa},
    sep = ","
)</pre>
```

The function gen\_lin\_interp\_func encapsulates most of the previous steps used to obtain c\_func in Section 5.2. To account for the simulated data being in units of megapascals, the argument conv\_func\_y is used to divide the second column of data in Austin\_Specific\_Heat\_BCC\_Iron.csv by the conversion factor from megapascals to pascals,  $10^6$ . The argument "sep = ", "" accounts for the specific heat data file being in CSV format and corresponds to the argument "sep = ", "" in the call to the read.table function in Section 5.2.\*

To create simulated data for the Zerilli-Armstrong (BCC) model, one needs an R function specifying this model. Rather than rewrite this function from scratch, the RStan function expose\_stan\_functions is again employed, this time to reuse the za\_bcc function that is already in the specification file za\_bcc.stan:

```
library(rstan)
za_bcc_model <- readRDS(file.path("compiled_stan_models", "za_bcc.rds"))
expose_stan_functions(za_bcc_model)</pre>
```

As has been done with the Johnson-Cook model, a wrapper function is used as the first argument to the function simulate\_data:

<sup>\*</sup>The function gen\_lin\_interp\_func actually passes "sep = ","" to the read.table function.

```
theta_model[["C1"]],
theta_model[["C3"]],
theta_model[["C4"]],
theta_model[["C5"]],
theta_model[["n"]]
))
```

The model parameters needed by sigma\_model\_func are as follows:

```
theta_model <- list(
    C0 = 50.0,    # MPa
    C1 = 1800.0,    # MPa
    C3 = 0.0015,
    C4 = 0.000045,
    C5 = 1200.0,    # MPa
    n = 0.62
)
```

The values of these parameters happen to be from Gray et al.,<sup>8</sup> but in principle, they could be set to any plausible values. At this point, nearly all the information needed for simulate\_data has been input to an R session. Just before generating the simulated data, the seed for the random number generator is set, so that the pseudo-random simulated data to be generated are reproducible. Also, the maximum value for  $\epsilon_p$  and values for  $SD_{\sigma,1}$  and  $SD_{\sigma,2}$  are set as well.

```
set.seed(12345)
epsilon_p_max <- 0.2
sd_sigma <- c(1.0, 10.0)</pre>
```

Finally, the simulated data can be generated as follows. Again, beta\_TQ, the Taylor-Quinney coefficient, is set to zero for low strain rates to simulate the lack of a temperature rise at those rates. Similarly, curr\_sd\_sigma is either  $SD_{\sigma,1}$  or  $SD_{\sigma,2}$ , depending on the strain rate. Also, the function rnorm is again used to add normally distributed noise to the simulated data.

```
# Initializing to empty lists
sigma <- list()
epsilon_p <- list()
temperature <- list()
min_curve_size <- 40
max_curve_size <- 50
for (i in 1:length(epsilon_p_dot)) {</pre>
```

```
if (epsilon_p_dot[i] <= 1.0) {</pre>
    beta_TQ <- 0.0</pre>
    curr_sd_sigma <- sd_sigma[1]</pre>
} else {
    beta_TQ <- 0.9</pre>
    curr_sd_sigma <- sd_sigma[2]</pre>
}
# Sets curve_size to a random integer between min_curve_size
# and max_curve_size
curve_size <- sample(min_curve_size:max_curve_size, 1)</pre>
curr_data <- simulate_data(</pre>
    sigma_model_func,
    epsilon_p_max,
    epsilon_p_dot[i],
    T_init[i],
    theta_model,
    beta_TQ,
    rho,
    c_func,
    curve_size
)
sigma[[i]] <- rnorm(n = curve_size,</pre>
                      mean = curr_data[["sigma"]],
                      sd = curr_sd_sigma)
epsilon_p[[i]] <- curr_data[["epsilon_p"]]</pre>
temperature[[i]] <- curr_data[["T"]]</pre>
```

Again, the simulated data is plotted to a ".pdf" file as a sanity check (via the function plot\_stress\_strain\_curves), and a plot of the simulated data is shown in Fig. 11.

The simulated data are saved to an RDS file in the directory rds\_data\_files. As with the simulated data for the Johnson-Cook model, these data are saved as a list that can be used by the sampling function in RStan, so variables pertaining to the priors, that is, C0\_guess\_mean, n\_alpha, and so on, are included in these data, using the JSON file ZA\_BCC\_priors.json discussed in Section 4:

```
library(jsonlite)
save_to_rds(
    c(
        list(
        num_curves = length(epsilon_p_dot),
        curve_sizes = sapply(sigma, length),
        epsilon_p_dot = epsilon_p_dot,
```

}



Fig. 11 Plot of simulated data used to test the Zerilli-Armstrong (BCC) RStan model

```
epsilon_p = unlist(epsilon_p),
sigma = unlist(sigma),
T = unlist(temperature)
),
read_json(file.path(parent_dir, "Other_data",
"ZA_BCC_priors.json"),
simplifyVector = TRUE)
),
file.path("rds_data_files", "za_bcc_simulated_data.rds")
```

Again, to run MCMC, one loads the rstan package if one has not already done so, and then sets the mc.cores option so that chains can be generated in parallel. If it has not been loaded already, the Zerilli-Armstrong (BCC) model may be loaded into the R session, and the simulated data may be loaded as well:

library(rstan)

)

Much as with the Johnson-Cook model, one fits the current model to the simulated data via the sampling function of RStan and prints summary statistics from the fit. Again, for the sake of reproducibility, the seed for random number generation is set via the seed argument of the sampling function:

print(za\_bcc\_fit, digits\_summary = 6)

### The following are the warnings and summary statistics from the MCMC run:

```
Warning message:
"There were 395 divergent transitions after warmup. Increasing adapt_delta above 0.8 may
help. See
http://mc-stan.org/misc/warnings.html#divergent-transitions-after-warmup"Warning message:
"There were 33 transitions after warmup that exceeded the maximum treedepth. Increase
max_treedepth above 10. See
http://mc-stan.org/misc/warnings.html#maximum-treedepth-exceeded"Warning message:
"There were 1 chains where the estimated Bayesian Fraction of Missing Information was low.
See
http://mc-stan.org/misc/warnings.html#bfmi-low"Warning message:
"Examine the pairs() plot to diagnose sampling problems
"
```

Inference for Stan model: za\_bcc.
4 chains, each with iter=2000; warmup=1000; thin=1;
post-warmup draws per chain=1000, total post-warmup draws=4000.

	mean	se_mean	sd	2.5%	25%
C0	3.583294e+01	1.479663e+01	2.198848e+01	1.36878e-01	1.487251e+01
C1	1.352065e+03	5.518025e+02	7.805874e+02	2.76663e-01	1.332188e+03
C3	2.640730e-01	3.215470e-01	4.548490e-01	1.47900e-03	1.494000e-03
C 4	3.558200e-02	4.351900e-02	6.156100e-02	4.40000e-05	4.500000e-05
C5	9.031110e+02	3.685885e+02	5.213954e+02	1.45693e-01	8.973687e+02
n	5.992710e-01	2.754600e-02	3.897800e-02	5.31797e-01	5.960140e-01
sd_sigma[1]	9.087730e-01	1.198420e-01	1.761600e-01	6.18715e-01	7.688540e-01
sd_sigma[2]	7.827480e+00	2.988042e+00	4.239705e+00	5.14714e-01	6.772682e+00
lp	-2.774392e+84	3.279273e+84	6.857107e+84	-1.31634e+85	-1.597769e+84
	50%	75%	97.5% n_ei	ff Rha	at
C0	43.991020	51.617534	54.112842	2 3.0628	80
C1	1798.972054 18	806.255105 181	18.237184	2 114.8874	51

С3	0.001503	0.264056	1.051832	2	29198.996483
C4	0.000045	0.035578	0.142215	2	7363.027577
C5	1203.235637	1204.932522	1207.826432	2	318.693626
n	0.621200	0.622333	0.624177	2	36.369721
sd_sigma[1]	0.976000	1.031423	1.130384	2	3.428795
sd_sigma[2]	10.059199	10.433392	11.109830	2	11.902843
lp	-886.240714	-884.554105	-882.828240	4	1.643783

Samples were drawn using NUTS(diag\_e) at Thu Aug 2 15:14:02 2018. For each parameter, n\_eff is a crude measure of effective sample size, and Rhat is the potential scale reduction factor on split chains (at convergence, Rhat=1).

Obviously, these results are poor. The mean values of most of the parameters are nowhere near what they should be, and the potential scale reduction factor indicates a lack of convergence to the correct posterior. While the warnings suggest increasing adapt\_delta, the low effective sample size suggests a different approach to correct these bad results. The effective sample size indicates that the posterior is hardly even sampled, which suggests a bad starting point for the sampling. Accordingly, the fix is to start the sampling from some reasonable initial values, such as the mean values of the priors shown in the following R list:

```
init_values <- list(
    C0 = my_data[["C0_guess_mean"]],
    C1 = my_data[["C1_guess_mean"]],
    C3 = my_data[["C3_guess_mean"]],
    C4 = my_data[["C4_guess_mean"]],
    C5 = my_data[["C5_guess_mean"]],
    n = my_data[["n_alpha"]]/
        (my_data[["n_alpha"]] + my_data[["n_beta"]])
)
```

However, if one looks at the reference documentation for RStan,<sup>2</sup> it says that the initial values should be either a list of lists, where each element in the outer list is a list of initial values for a chain, or a function that returns a list of initial values. Here, the first option is taken, but it is not as straightforward as it may first appear. The following is the first attempt to create the list of lists:

```
num_chains <- 4
init_values_list <- rep(init_values, num_chains)</pre>
```

print(init\_values\_list[[1]])

The output from printing the first element of init\_values\_list is as follows:

[1] 100

Clearly, the first element of this new list is not a list of initial values. Instead, the first argument to rep has to be list (init\_values):

```
init_values_list <- rep(list(init_values), num_chains)</pre>
```

```
print(init_values_list[[1]])
```

The output from printing the first element of init\_values\_list is now a list of Zerilli-Armstrong coefficients, as it should be:

\$C0 [1] 100 \$C1 [1] 1000 \$C3 [1] 0.001 \$C4 [1] 1e-05 \$C5 [1] 1000 \$n [1] 0.5

MCMC can now be rerun with initial values as follows. The number of chains is explicitly set to the length of init\_values\_list for the sake of consistency:

The output and summary statistics from the new MCMC run are presented:

```
Warning message:

"There were 55 transitions after warmup that exceeded the maximum treedepth. Increase

max_treedepth above 10. See

http://mc-stan.org/misc/warnings.html#maximum-treedepth-exceeded"Warning message:

"Examine the pairs() plot to diagnose sampling problems

"
```

Inference for Stan model: za\_bcc.
4 chains, each with iter=2000; warmup=1000; thin=1;
post-warmup draws per chain=1000, total post-warmup draws=4000.

	mean	se_mean	sd	2.5%	25%	50%
C0	48.137154	0.270503	8.789566	31.206072	42.146419	48.080893
C1	1802.272924	0.258247	8.380777	1786.019051	1796.643816	1802.352187
C3	0.001499	0.000000	0.000010	0.001479	0.001492	0.001499
C4	0.000045	0.000000	0.00000	0.000044	0.000045	0.000045
C5	1204.088827	0.039429	2.027830	1200.133062	1202.705399	1204.083906
n	0.621760	0.000027	0.001336	0.619190	0.620868	0.621754
sd_sigma[1]	1.007106	0.001283	0.060465	0.897269	0.965042	1.003927
sd_sigma[2]	10.290742	0.009084	0.456301	9.469835	9.962251	10.261916
lp	-885.726952	0.053781	2.033308	-890.579398	-886.847923	-885.372098
	75%	97	.5% n_eff	Rhat		
C0	54.066546	65.211	423 1056	1.000579		
C1	1807.972009	1818.8072	290 1053	1.000578		
C3	0.001506	0.001	519 1056	1.000601		
C4	0.000045	0.000	045 1100	1.000547		
C5	1205.449726	1208.058	938 2645	0.999622		
n	0.622680	0.6243	378 2418	0.999543		
sd_sigma[1]	1.045130	1.134	473 2222	1.000572		
sd_sigma[2]	10.595305	11.225	621 2523	1.001849		
lp	-884.248241	-882.7643	371 1429	1.004564		

Samples were drawn using NUTS(diag\_e) at Thu Aug 2 15:15:32 2018. For each parameter, n\_eff is a crude measure of effective sample size, and Rhat is the potential scale reduction factor on split chains (at convergence, Rhat=1).

As one can see, the mean values of the parameters from the model fit are nearly the same as the parameter values (in the list theta\_model) that produced the simulated data, and the effective sample sizes and potential scale reduction factors are reasonable. However, there is a warning about the maximum treedepth and advice on how fix the issue by increasing the parameter max\_treedepth. This advice is followed by using the control argument of the sampling function:

print(za\_bcc\_fit, digits\_summary = 6)

The following are the summary statistics from the MCMC run:

Inference for Stan model: za\_bcc.

4 chains, each with iter=2000; warmup=1000; thin=1; post-warmup draws per chain=1000, total post-warmup draws=4000.

	mean	se_mean	sd	2.5%	25%	50%
C0	47.378450	0.263997	8.827383	29.559070	41.576275	47.698178
C1	1803.004392	0.251489	8.413823	1786.766744	1797.322923	1802.711040
C3	0.001498	0.000000	0.000010	0.001477	0.001491	0.001498
C4	0.000045	0.000000	0.00000	0.000044	0.000045	0.000045
C5	1204.103150	0.037836	2.015789	1200.211823	1202.737726	1204.067380
n	0.621778	0.000025	0.001314	0.619135	0.620932	0.621770
sd_sigma[1]	1.006778	0.001233	0.062590	0.890767	0.964071	1.003357
sd_sigma[2]	10.265720	0.008421	0.446539	9.437021	9.956084	10.243541
lp	-885.755071	0.055410	2.104803	-890.815405	-886.870992	-885.381233
	75%	97	.5% n_eff	Rhat		
C0	53.361982	64.1669	921 1118	1.000861		
C1	1808.435729	1819.9025	520 1119	1.000945		
С3	0.001505	0.0015	518 1126	1.000854		
C4	0.000045	0.000	045 1166	1.001249		
C5	1205.435721	1208.1512	237 2838	1.000438		
n	0.622646	0.6243	372 2767	1.000655		
sd_sigma[1]	1.045253	1.1368	896 2577	1.001333		
sd_sigma[2]	10.551918	11.2111	138 2812	1.000345		
lp	-884.248920	-882.755	629 1443	1.000669		
Samples wer	e drawn usin	g NUTS(dia	ag_e) at 3	Thu Aug 2 1	5:17:03 2018	

For each parameter, n\_eff is a crude measure of effective sample size, and Rhat is the potential scale reduction factor on split chains (at convergence, Rhat=1).

RStan no longer produces the warning that it did before, and the mean values of the parameters, effective sample sizes, and potential scale reduction factors are still reasonable.

As with the Johnson-Cook model, the elapsed time in seconds can be obtained as follows:

get\_elapsed\_time(za\_bcc\_fit)

The output from the previous statement is as follows:

warmup sample chain:1 42.83 48.11 chain:2 35.15 47.57 chain:3 41.29 43.79 chain:4 36.59 45.41

# 6. Fitting Strength Models to Experimental Data

## 6.1 Functions for Fitting Models

Some of the custom functions in Section 5.1 are also used in the process of fitting models, in particular, gen\_lin\_interp\_func and save\_to\_rds. In addition to these are save\_stan\_fit\_to\_csv, a function that saves the summary statistics and MCMC samples from a stanfit object to CSV files, and calc\_temps, a function for estimating the temperatures at the points of a stress-strain curve. The former function involves details pertaining to the functionality of RStan, while the latter pertains to the physics of the sample problem. Accordingly, both of these functions are examined in more detail. The arguments to save\_stan\_fit\_to\_csv are as follows:

- fit, a stanfit object,
- summary\_csv\_filename, the name of CSV file to which summary statistics are written, and
- samples\_csv\_filename, the name of CSV file to which MCMC samples are written. If the file ends in ".gz", it is Gzip-compressed.<sup>28</sup>

The first two statements in the body of this function simply ensure that any directories in the paths <code>summary\_csv\_filename</code> and <code>samples\_csv\_filename</code> actually exist and creates them if they do not already exist:

```
ensure_path_to_file_exists (summary_csv_filename)
ensure_path_to_file_exists (samples_csv_filename)
```

The function used in the previous statements, ensure\_path\_to\_file\_exists, is defined in bayes-stress-strain-utils.R. The details of it may be mainly of interest to readers who are looking for example code to use as a reference.

The next R statement writes the summary statistics to the file named summary\_csv\_filename as follows:

```
write.csv(summary(fit)[["summary"]], summary_csv_filename)
```

The R expression summary(fit) yields a list with two named elements. One of these, named c\_summary, is a 3-D array that contains summary statistics for

each of the chains from an MCMC run, while the element simply named summary is a matrix (i.e., a 2-D array) that has statistics for all the chains merged together. The latter is what is of interest here. This matrix object contains the row labels and column headers seen in the summary statistics that have been shown so far (e.g., "mean", "sd", parameter names, and so on) and these are in the CSV file as well.

The next part of the function indicates whether to compress the file used to save the MCMC samples, since that file can potentially be quite large. The variable out\_file is to be an object representing a file. If gzfile(...) is assigned to it, then it represents a Gzip-compressed<sup>28</sup> file. Otherwise, it represents an ordinary text file. The function on.exit is used to ensure that out\_file is closed when the function save\_stan\_fit\_to\_csv finishes running, even if it finishes abnormally due to some problem in writing the samples to a file (such as running out of disk space).

```
if (endsWith(samples_csv_filename, ".gz")) {
    out_file <- gzfile(samples_csv_filename, "w")
} else {
    out_file <- file(samples_csv_filename, "w")
}
# Makes sure that out_file is closed, even if something goes wrong
# in write.csv.
on.exit(close(out_file))</pre>
```

Finally, the samples are written to a file as follows:

```
write.csv(as.matrix(fit), out_file, row.names = FALSE)
```

Here, the function as .matrix returns a matrix where each column is a sequence of MCMC samples for a model parameter. This matrix object contains the names of the columns, which correspond to the parameter names shown in the summary statistics output from print (fit, ...), such as A, B, and so on, for the Johnson-Cook model, or CO, C1, and so on, for the Zerilli-Armstrong (BCC) model, as well as nuisance parameters sd\_sigma[1] and sd\_sigma[2] and the pseudoparameter lp\_\_. These column names are to be the headers of the columns in the CSV file containing the MCMC samples. The argument "row.names = FALSE" means that there is not to be an unnecessary additional column that numbers the rows in the CSV file.

The arguments to calc\_temps are as follows:

- T\_init, the initial temperature of the sample
- epsilon\_p, a vector containing the sequence of plastic strains in a given stress-strain curve
- sigma, a vector containing the sequence of stresses in from the same stressstrain curve
- f\_area, the parameter *f*<sub>area</sub> from Eq. 2
- beta\_TQ, the Taylor-Quinney coefficient
- rho, the density of the sample
- specific\_heat\_func, a function that returns the specific heat for a given temperature (which can and later on is generated using gen\_lin\_interp\_func)

The first few lines of the body of this function are the following:

```
curve_size <- length(epsilon_p)
temperature <- numeric(curve_size)
temperature[1] <- T_init + beta_TQ*f_area*sigma[1]*epsilon_p[1]/
  (rho*specific_heat_func(T_init))</pre>
```

The first statement simply sets a descriptively named variable, curve\_size, to the length of the vector epsilon\_p, which is the number of data points in the stress-strain curve under consideration. The next statement initializes the vector of temperatures so that it has the correct length. The last statement corresponds to Eq. 2, but with  $T_{init}^{i_c}$  (i.e., T\_init) moved to the right-hand side. (No variable corresponding to index  $i_c$  appears in calc\_temps, since the value of  $i_c$  is effectively fixed by the choice of epsilon\_p and sigma.)

The rest of the function body is as follows:

```
for (i in 2:curve_size) {
    # Using trapezoid rule to estimate area under stress-strain
    # curve over interval [epsilon_p[i-1], epsilon_p[i]].
    area_under_curve <- 0.5*(sigma[i-1] + sigma[i])*
    (epsilon_p[i] - epsilon_p[i-1])
    T_rise <- beta_TQ*area_under_curve/</pre>
```

```
(rho*specific_heat_func(temperature[i-1]))
temperature[i] = temperature[i-1] + T_rise
}
return (temperature)
```

The body of the previous for loop corresponds to Eq. 1. The first statement estimates the integral in that equation (i.e., area\_under\_curve) via the trapezoid rule of numerical integration.<sup>29</sup> Once this integral is calculated, the temperature rise  $T_j^{i_c} - T_{j-1}^{i_c}$  (or T\_rise) may be determined. The temperature of the current data point  $T_j^{i_c}$  (or temperature[i], where i corresponds to index *j* in Eq. 1) is then the sum of the temperature rise and the temperature of the previous data point  $T_{j-1}^{i_c}$  (or temperature[i-1]).

The very last line of the function body, of course, returns the vector of temperatures from the function.

## 6.2 Preprocessing Experimental Data

Several of the data files from Section 4 are to be read into R and then processed into RDS files that are to be used in later analyses. First, the MIDAS data files are read in as follows:

```
<- c( "77", "77", "298", "298", "298", "298",
T init str
                        "473", "673", "873")
epsilon_p_dot_str <- c("0.001", "2500", "0.001", "0.1", "3500", "7000",</pre>
                       "3000", "3000", "3500")
epsilon_p <- list()</pre>
sigma <- list()</pre>
parent_dir <- dirname(getwd())</pre>
for (i in 1:length(T_init_str)) {
    csv_filename <- sprintf("T%sK_edot%s_per_s.csv",</pre>
                             T_init_str[i],
                              epsilon_p_dot_str[i])
    out_data <- read.csv(</pre>
        file.path(parent_dir, "MIDAS_data", csv_filename),
        header = FALSE
    )
    # The first column of out_data is the strain.
    epsilon_p[[i]] <- out_data[,1]</pre>
```

```
# The second column of out_data is the stress.
sigma[[i]] <- out_data[,2]
}</pre>
```

The previous R code is somewhat similar to the code used to generate simulated data in that initial temperatures and strain rates are specified, and lists of vectors containing strains and stresses are built up. Of course, in place of the call to the function simulate\_data is a call to read.csv, which reads experimental data from a CSV file. The argument "header = FALSE" in the call to read.csv prevents R from mistaking the first line of the CSV file for column headers.

To calculate temperatures, the density  $\rho$ , specific heat c(T), Taylor-Quinney coefficient  $\beta_{TQ}$ , and  $f_{area}$  are needed. The first two of these are determined from known data and can be specified as follows:

As pointed out in Section 1, the next two quantities are more uncertain, so temperature calculations are done for a few combinations of reasonable estimates of  $\beta_{TQ}$ and  $f_{area}$  (shown in Table 1):

```
temperature <- list()
beta_TQ_f_area_strs <- list(
    c("0.9", "0.75"),
    c("0.9", "0.55"),
    c("0.6", "0.55"),
    c("0.6", "0.95"),
    c("0.6", "0.95")
)
epsilon_p_dot <- as.numeric(epsilon_p_dot_str)
T_init <- as.numeric(T_init_str)
for (bTQ_fA in beta_TQ_f_area_strs) {
    bTQ_fA_str <- paste(bTQ_fA[1], bTQ_fA[2], sep = ",")</pre>
```

(For those unfamiliar with R, the function as.numeric converts its arguments to numeric values, so it converts a string to its corresponding number [e.g., the string "0.9" becomes the number 0.9] and converts a vector of strings to a vector of numbers [e.g., c("1", "2") becomes c(1, 2)]. The function paste concatenates its string arguments, separating each string token by the argument sep. For example, paste("0.9", "0.75", sep = ",") returns the string "0.9, 0.75".)

The variable temperature here is a list of named elements. The name of each element is a string such as "0.9, 0.75", where the part of the string before the comma is a value of  $\beta_{TQ}$  and the part after the comma is a value of  $f_{area}$ . Each element itself is a list of vectors of temperatures, with vector i corresponding to a strain rate epsilon\_p\_dot[i] and initial sample temperature T\_init[i]. For high strain rates, these vectors of temperatures are calculated by the function calc\_temps that is in bayes-stress-strain-utils.R in Appendix C and discussed in Section 6.1. For low strain rates, the stress-strain curves are taken to be isothermal, and the temperature for all data points in the curve is the initial sample temperature.

Plots of the calculated temperatures are shown in Fig. 12. For reference, the code for generating them is shown:

```
line_types <- rep(1:6, length.out = length(beta_TO_f_area_strs))
color_vals <- rep(palette(), length.out = length(beta_TO_f_area_strs))
legend_labels <- rep(NA, length(beta_TO_f_area_strs))</pre>
```

```
for (i in 1:length(beta_TQ_f_area_strs)) {
    bTQ_fA <- beta_TQ_f_area_strs[[i]]</pre>
    legend_labels[i] <- parse(</pre>
        text = sprintf("paste(beta[TQ], ' = ', %s, ', ', f[area], ' = ', %s)",
                        bTQ_fA[1], bTQ_fA[2])
    )
}
for (i in 1:length(epsilon_p_dot)) {
    if (epsilon_p_dot[i] > 1.0) {
        out_file <- sprintf("temps_for_T_init%sK_edot%s_per_s.pdf",</pre>
                             T_init_str[i], epsilon_p_dot_str[i])
        pdf(file = file.path("plot_files", out_file),
            title = out_file,
            pointsize = 10,
            width = 3.5, height = 4)
        xlim <- range(epsilon_p[[i]])</pre>
        ylim <- NULL
        for (bTQ_fA in beta_TQ_f_area_strs) {
            bTQ_fA_str <- paste(bTQ_fA[1], bTQ_fA[2], sep = ",")</pre>
            ylim <- range(</pre>
                c(ylim, range(temperature[[bTQ_fA_str]][[i]]))
            )
        }
        ylim[2] <- ylim[2] + 0.4*(ylim[2] - ylim[1])</pre>
        # This function is from bayes-stress-strain-utils.R
        make_empty_xy_plot(xlim, ylim)
        for (j in 1:length(beta_TQ_f_area_strs)) {
            bTQ_fA <- beta_TQ_f_area_strs[[j]]</pre>
            bTQ_fA_str <- paste(bTQ_fA[1], bTQ_fA[2], sep = ",")</pre>
            lines(epsilon_p[[i]],
                   temperature[[bTQ_fA_str]][[i]],
                   lty = line_types[j],
                  col = color_vals[j])
        }
        legend("topleft",
               legend = legend_labels,
               lty = line_types,
               col = color_vals)
        title(xlab = expression(epsilon[p]), ylab = "Temperature (K)")
```

dev.off()



}

library(jsonlite)



Fig. 12 Temperatures as estimated in R along stress-strain curves with the initial temperatures and strain rates shown, given the values of  $\beta_{TO}$  and  $f_{area}$  in Table 1

RDS files to be used in fitting the Johnson-Cook model are saved as shown:

Despite appearances, this R code is still fairly similar to the code used to save the simulated data for testing the Johnson-Cook model, but there are, of course, some significant differences:

- The use of so-called *logical indices*, which are stored in the vector variable  $logical_inds_JC$ . These indices are used to select the components of vectors and lists that correspond to initial temperatures no less than  $T_{room}$ , since the Johnson-Cook model cannot be used with such temperatures.
- Whereas the RDS file for the simulated data includes the temperatures for points along the stress-strain curve, here the calculated temperatures are saved to separate RDS files. The reason for this is that different fits are to be done for the different combinations of  $\beta_{TQ}$  and  $f_{area}$  in Table 1, so each fit combines data from Main\_data\_for\_JC.rds and the RDS file that corresponds to temperatures calculated for a particular combination of  $\beta_{TQ}$  and  $f_{area}$ .

Similarly, RDS files to be used in fitting the Zerilli-Armstrong (BCC) model are saved as follows. Since the Zerilli-Armstrong model can accept any absolute temperature, logical indices are not needed.

```
save_to_rds(
    c(
        list(
            num_curves = length(epsilon_p_dot),
            curve_sizes = sapply(sigma, length),
            epsilon_p_dot = epsilon_p_dot,
            epsilon_p = unlist(epsilon_p),
            sigma = unlist(sigma)
        ),
        read_json(file.path(parent_dir, "Other_data", "ZA_BCC_priors.json"),
```

### 6.3 Fitting Johnson-Cook Model to Experimental Data

After the RStan package is loaded and the mc.cores option is set to allow chains to be generated in parallel, the needed data for the  $\beta_{TQ} = 0.9$  and  $f_{area} = 0.75$  case, along with the Johnson-Cook RStan model that has been saved to an RDS file in Section 5.2, is read in as follows:

At this point, MCMC is attempted as shown in the following R code. For the sake of reproducibility, the seed for random number generation is set.

```
jc_fit <- sampling(jc_model, data = my_data, seed = 12345)
print(jc_fit, digits_summary = 6)
get_elapsed_time(jc_fit)</pre>
```

The output from this, including both summary statistics and elapsed time, is as follows:

```
Warning message:
"There were 469 transitions after warmup that exceeded the maximum treedepth. Increase
max_treedepth above 10. See
http://mc-stan.org/misc/warnings.html#maximum-treedepth-exceeded"Warning message:
"Examine the pairs() plot to diagnose sampling problems
"
```

Inference for Stan model: jc.
4 chains, each with iter=2000; warmup=1000; thin=1;
post-warmup draws per chain=1000, total post-warmup draws=4000.

	mean	se_mean	sd		2.5%	25%
A	571.672303	1.700301 5	6.159711	452.98	9203	535.131006
В	987.192605	1.620632 5	3.618838	894.48	1225	948.319195
n	0.076875	0.000173	0.005837	0.06	5780	0.072850
С	0.004512	0.000002	0.000079	0.00	4356	0.004459
m	1.048372	0.000081	0.003614	1.04	1409	1.045925
sd_sigma[1]	9.367243	0.007857	0.354569	8.71	2622	9.121096
sd_sigma[2]	32.600706	0.014175	0.669696	31.30	5457	32.140418
lp	-6807.307686	0.047835	1.919428	-6811.71	4616	-6808.402032
	50%	7	5%	97.5% n	_eff	Rhat
A	575.401206	612.4541	93 668	.537215	1091	1.006253
В	983.440584	1021.8196	55 1100	.312303	1095	1.006327
n	0.076763	0.0809	82 0	.088171	1137	1.005693
С	0.004511	0.0045	64 0.	.004665	1721	1.000290
m	1.048356	1.0507	68 1.	.055583	1996	1.000536
sd_sigma[1]	9.352832	9.6024	24 10	.090325	2037	1.000072
sd_sigma[2]	32.600814	33.0581	44 33	.875576	2232	0.999796
lp	-6806.967914	-6805.8849	31 -6804	.557093	1610	1.000887

Samples were drawn using NUTS(diag\_e) at Wed May 16 14:47:45 2018. For each parameter, n\_eff is a crude measure of effective sample size, and Rhat is the potential scale reduction factor on split chains (at convergence, Rhat=1).

warmup sample chain:1 330.49 379.11 chain:2 249.28 374.93 chain:3 304.57 337.48 chain:4 366.20 346.89

Here, MCMC has run significantly longer than in the testing run in Section 5.2, about 10 to 12 min. The potential scale reduction factors (i.e., Rhat) look reasonable, but there are warnings about tree depth, so MCMC is run again with max\_treedepth set to a higher value:

The following is the output for this new MCMC run:

Inference for Stan model: jc.
4 chains, each with iter=2000; warmup=1000; thin=1;
post-warmup draws per chain=1000, total post-warmup draws=4000.

	mean	se_mean	sd	2.	5% 25%
A	576.572779	1.524483	52.744813	464.5483	25 541.974215
В	982.583681	1.449278	50.288671	890.0563	10 947.580622
n	0.077363	0.000163	0.005634	0.0666	54 0.073417
С	0.004519	0.000002	0.000080	0.0043	63 0.004464
m	1.048066	0.000076	0.003670	1.0407	58 1.045618
sd_sigma[1]	9.388639	0.007881	0.355841	8.7169	9.139065
sd_sigma[2]	32.563166	0.014049	0.657904	31.2724	30 32.127749
lp	-6807.241852	0.046621	1.842613	-6811.5200	50 -6808.258301
	50%		75%	97.5% n_e:	ff Rhat
А	578.755978	613.313	848 674	.609965 11	97 1.001171
В	980.227301	1015.351	992 1089	.617743 12	04 1.001106
n	0.077211	0 0 0 1			
	0.077211	0.081	126 0	.089123 11	99 1.001481
С	0.004519	0.081			99 1.001481 04 1.000601
C m			574 0	.004669 18	
	0.004519	0.004	574 0 534 1	.004669 18 .055540 23	04 1.000601
m	0.004519 1.048030	0.004	574     0       534     1       061     10	.004669 180 .055540 233 .101541 203	04 1.000601 38 0.999288

Samples were drawn using NUTS(diag\_e) at Wed May 16 15:04:50 2018. For each parameter, n\_eff is a crude measure of effective sample size, and Rhat is the potential scale reduction factor on split chains (at convergence, Rhat=1).

```
warmup sample
chain:1 570.52 355.95
chain:2 300.54 316.61
chain:3 307.61 364.35
chain:4 530.86 295.82
```

The output shows no further warnings, and both the effective sample sizes and potential scale reduction factors still look reasonable. However, the mean value of A, which is supposed to be approximately the yield stress,<sup>6</sup> appears slightly low for RHA.

Nonetheless, the samples and summary from the MCMC run are saved for future examination, using the convenience function save\_stan\_fit\_to\_csv from the R source file bayes-stress-strain-utils.R in Appendix C. To save disk space, the samples are saved to a Gzip-compressed<sup>28</sup> CSV file:

```
source("bayes-stress-strain-utils.R")
```

The string "weak\_prior" in the names of the CSV files indicates that these MCMC results are obtained with weakly informative priors. The string "bTQ09" indicates that  $\beta_{TQ}$  is 0.9 (with "bTQ" referring to  $\beta_{TQ}$  and "09" referring to 0.9), while "fA075" indicates that  $f_{area}$  ("fA") is 0.75 ("075").

At this point, MCMC is about to be run with the strongly informative prior for A. If one starts from the same R session used for the MCMC runs with the weak prior, then only a small change to the my\_data variable is needed:

MCMC is then run just as before, with both the same seed and max\_treedepth values. The following is the output from this run:

Inference f	or Stan model	: jc.			
4 chains, e	ach with iter	=2000; warmu	up=1000;	thin=1;	
post-warmup	draws per ch	ain=1000, to	tal pos	t-warmup draw	rs=4000.
	mean	se_mean	sd	2.59	5 25%
A	699.842690	0.265203 10	.100359	680.444423	692.684045
В	866.224370	0.246305	.544459	847.425110	859.631635
n	0.092704	0.000043 0	.001700	0.089410	0.091528
С	0.004542	0.000002 0	.000081	0.004384	0.004486
m	1.047197	0.000073 0	.003576	1.040321	1.044715
sd_sigma[1]	9.645859	0.007541 0	.361472	8.958504	9.403342
sd_sigma[2]	32.346724	0.013953 0	.665879	31.058152	31.886120
lp	-6810.113182	0.045844 1	.856475	-6814.504070	-6811.167520
	50%	75	18	97.5% n_eft	Rhat
А	699.903023	706.61884	5 719	.906336 1450	1.000388
В	866.206256	872.89720	5 884	.762912 1502	2 1.000436
n	0.092673	0.09378	8 0	.096188 1589	9 1.000101
С	0.004543	0.00459	07 0	.004697 2035	5 1.000408
m	1.047209	1.04960	1 1	.054355 2390	1.000364
sd_sigma[1]	9.634547	9.88396	6 10	.375682 2298	3 1.000577
sd_sigma[2]	32.342439	32.79139	6 33	.659544 2278	3 1.000314
lp	-6809.777220	-6808.74461	2 -6807	.425879 1640	1.001625

Samples were drawn using NUTS(diag\_e) at Wed May 30 14:37:06 2018. For each parameter, n\_eff is a crude measure of effective sample size, and Rhat is the potential scale reduction factor on split chains (at

```
convergence, Rhat=1).
warmup sample
chain:1 614.65 59.46
chain:2 93.05 62.07
chain:3 141.20 60.01
chain:4 58.93 54.01
```

Both the effective sample sizes and potential scale reduction factors still look reasonable, and at this point, the mean of parameter A looks reasonable as well. The elapsed times are mostly shorter as well, though the warmup time for the first chain is substantially longer than it is for the other chains. At this point, the results need to be saved for further analysis. The samples and summary from MCMC may be saved as follows:

Here, the string "strong\_prior\_on\_A" indicates that a strongly informative prior is used for *A*.

Fits for the Johnson-Cook model have been done for the rest of the combinations of  $\beta_{TQ}$  and  $f_{area}$  in Table 1, for both strong and weak priors. Loading of the data for these fits proceeds much as before, with T\_beta0.9\_farea0.75\_JC.rds replaced with the file for a different pair of  $\beta_{TQ}$  and  $f_{area}$  values, such as T\_beta0.6\_farea0.95\_JC.rds for  $\beta_{TQ} = 0.6$  and  $f_{area} = 0.95$ . The means and standard deviations of the resulting fitted parameters are in Ramsey.<sup>3</sup>

#### 6.4 Fitting Zerilli-Armstrong (BCC) Model to Experimental Data

Much as with the Johnson-Cook model, after the RStan package has been loaded and the mc.cores option is set to allow chains to be generated in parallel, the needed data for the  $\beta_{TQ} = 0.9$  and  $f_{area} = 0.75$  case, along with the Zerilli-Armstrong (BCC) RStan model that has been saved to an RDS file in Section 5.3, are read in as follows:

library(rstan)

As the testing of the Zerilli-Armstrong model has showed, initial values for the model parameters are needed as well, and these are set to the means of the priors.

At this point, MCMC is about to be attempted. For the sake of reproducibility, the seed for random number generation is set. Also, this time, the initial values are set with a function rather than a list of lists.

The output from the previous code is shown. It shows no warnings from RStan, and the effective samples sizes and potential scale reduction factors are reasonable:

```
Inference for Stan model: za_bcc.
4 chains, each with iter=2000; warmup=1000; thin=1;
post-warmup draws per chain=1000, total post-warmup draws=4000.
                 mean se_mean sd
                                            2.5%
                                                          2.5%
CO
           107.709018 0.685993 25.574969 55.699931 90.337474
C1
          1529.202699 0.195793 8.735439 1512.606233 1523.212847
            0.002190 0.000001 0.000032
C3
                                        0.002127
                                                    0.002170
C4
             0.000041 0.000000 0.000001
                                         0.000040
                                                     0.000041
C5
           749.830746 0.608239 22.101167 708.437283 734.614539
             0.158095 0.000260 0.009704 0.140086
                                                     0.151324
n
sd_sigma[1] 31.615321 0.018083 0.933597 29.879019
                                                   30.980362
sd_sigma[2] 47.472144 0.015622 0.854084 45.812047 46.892056
```

lp	-9766.210035	0.054921 2.0	070180 -9771.1	47556	-9767.387094
	50%	75%	97.5%	n_eff	Rhat
C0	108.296876	125.361864	155.587964	1390	1.003307
C1	1528.928584	1534.829723	1546.922057	1991	1.000043
C3	0.002191	0.002211	0.002249	1715	0.999676
C4	0.000041	0.000042	0.000042	2480	1.000537
С5	749.101197	764.353075	794.479695	1320	1.002503
n	0.157975	0.164579	0.177945	1394	1.002920
sd_sigma[1]	31.593983	32.211505	33.508287	2666	1.000347
sd_sigma[2]	47.475156	48.049962	49.139706	2989	0.999948
lp	-9765.860023	-9764.699110	-9763.200214	1421	1.000447

Samples were drawn using NUTS(diag\_e) at Mon May 21 08:41:24 2018. For each parameter, n\_eff is a crude measure of effective sample size, and Rhat is the potential scale reduction factor on split chains (at convergence, Rhat=1).

warmup sample chain:1 63.76 76.19 chain:2 69.72 92.71 chain:3 68.68 75.47 chain:4 74.56 105.52

The samples and summary from the MCMC run are saved for future examination via the same save\_stan\_fit\_to\_csv function used to save the results of the Johnson-Cook model:

```
source("bayes-stress-strain-utils.R")
save_stan_fit_to_csv(
    za_bcc_fit,
    file.path("summaries",
        "za_bcc_MIDAS_rstan_summary_bTQ09_fA075.csv"),
    file.path("samples",
        "za_bcc_MIDAS_rstan_samples_bTQ09_fA075.csv.gz"))
```

In the previous fit for the Zerilli-Armstrong model, one may have observed that the  $SD_{\sigma,1}$  is only slightly less than  $SD_{\sigma,2}$ , whereas with the Johnson-Cook model, the former is about three times less than the latter. To see if this is due to the Zerilli-Armstrong model being fit to data not used in the fit for the Johnson-Cook model, a new fit is done, using only the data used in the latter fit. If one starts from the same R session used for the previous fit, then an MCMC run with the new data can be done:

#### The following are the results from the MCMC run:

```
Warning message:
"There were 1 divergent transitions after warmup. Increasing adapt_delta above 0.8 may
help. See
http://mc-stan.org/misc/warnings.html#divergent-transitions-after-warmup"Warning message:
"Examine the pairs() plot to diagnose sampling problems
"
```

```
Inference for Stan model: za_bcc.
4 chains, each with iter=2000; warmup=1000; thin=1;
post-warmup draws per chain=1000, total post-warmup draws=4000.
```

	mean	se_mean	sd		2.5%	25%
C0	1.856426	0.031029	1.827228	0.0	037432	0.518705
C1	1535.847623	0.343556	12.511540	1510.9	965623	1527.169404
С3	0.001399	0.000001	0.000022	0.0	01355	0.001384
C4	0.000026	0.000000	0.000001	0.0	00025	0.000026
C5	590.453587	0.299558	10.601781	569.2	280401	583.063049
n	0.178590	0.000224	0.007616	0.1	164242	0.173269
sd_sigma[1]	13.912729	0.013934	0.600692	12.7	782011	13.507633
sd_sigma[2]	43.241397	0.017583	0.905223	41.5	529256	42.615206
lp	-7348.632008	0.052106	2.064795	-7353.4	435594	-7349.825247
	50%		75%	97.5%	n_eff	Rhat
C0	50% 1.335665				_	
		2.592	077 6	.868329	3468	1.000775
	1.335665 1535.833308	2.592	077 6 900 1560	.868329 .242546		1.000775
C1	1.335665 1535.833308 0.001399	2.592 1544.301	077 6 900 1560 414 0	.868329 .242546 .001444	3468 1326 1178	1.000775 1.002346
C1 C3	1.335665 1535.833308 0.001399	2.592 1544.301 0.001 0.000	077 6 900 1560 414 0 027 0	.868329 .242546 .001444 .000028	3468 1326 1178 1701	1.000775 1.002346 0.999900
C1 C3 C4 C5	1.335665 1535.833308 0.001399 0.000026	2.592 1544.301 0.001 0.000	077 6 900 1560 414 0 027 0 277 611	.868329 .242546 .001444 .000028 .037302	3468 1326 1178 1701 1253	1.000775 1.002346 0.999900 0.999989
C1 C3 C4 C5 n	1.335665 1535.833308 0.001399 0.000026 590.286775	2.592 1544.301 0.001 0.000 597.801	077         6           900         1560           414         0           027         0           277         611           478         0	.868329 .242546 .001444 .000028 .037302 .194600	3468 1326 1178 1701 1253 1152	1.000775 1.002346 0.999900 0.999989 1.001070
C1 C3 C4 C5 n sd_sigma[1] sd_sigma[2]	1.335665 1535.833308 0.001399 0.000026 590.286775 0.178315	2.592 1544.301 0.001 0.000 597.801 0.183 14.324 43.839	077       6         900       1560         414       0         027       0         277       611         478       0         345       15         171       45	.868329 .242546 .001444 .000028 .037302 .194600 .133365 .089457	3468 1326 1178 1701 1253 1152 1858 2651	1.000775 1.002346 0.999900 0.999989 1.001070 1.000960

Samples were drawn using NUTS(diag\_e) at Fri Aug 3 10:05:17 2018. For each parameter, n\_eff is a crude measure of effective sample size,

and Rhat is the potential scale reduction factor on split chains (at convergence, Rhat=1).

warmup sample chain:1 44.01 46.59 chain:2 51.34 45.75 chain:3 46.18 40.33 chain:4 49.64 45.41

There is a warning about divergent transitions similar to the one seen in the initial test of the Zerilli-Armstrong (BCC) model in Section 5.3. However, that initial test also produced poor values for the effective sample sizes and potential scale reduction factors, something not seen in these results. Because the warning about divergences is not accompanied by indications of other problems, it is dealt with by simply following the advice shown in the warning, that is, increasing adapt\_delta, as shown in the following R code:

The following are the results from the MCMC run with an increased adapt\_delta value:

```
Inference for Stan model: za_bcc.
4 chains, each with iter=2000; warmup=1000; thin=1;
post-warmup draws per chain=1000, total post-warmup draws=4000.
                 mean se_mean
                              sd
                                           2.5%
                                                          2.5%
             1.838311 0.032837 1.852660 0.047391
                                                   0.526852
CO
C1
           1535.879297 0.347084 12.595803 1510.485234 1527.432886
            0.001398 0.000001 0.000023 0.001354 0.001383
C3
             0.000026 0.000000 0.000001 0.000025
C4
                                                    0.000026
           590.188913 0.310604 10.787786 568.976240 582.941115
C5
            0.178752 0.000229 0.007809 0.163999
                                                    0.173488
n
sd_sigma[1] 13.927820 0.013741 0.604718 12.786078
                                                  13.516595
sd_sigma[2] 43.257861 0.018189 0.880064
                                       41.578456
                                                   42.678689
lp______-7348.529108 0.049524 2.010771 -7353.231987 -7349.676342
                  50% 75% 97.5% n_eff
                                                     Rhat
C0
             1.267023 2.536462 6.963349 3183 1.000211
          1535.957295 1544.542748 1559.960558 1317 1.001718
C1
C3
            0.001397 0.001413 0.001445 972 1.000675
             0.000026 0.000027 0.000027 1762 1.000205
C4
```

 C5
 589.872530
 597.304725
 612.356929
 1206
 1.001071

 n
 0.178528
 0.183905
 0.195041
 1159
 1.001854

 sd\_sigma[1]
 13.909507
 14.338809
 15.164395
 1937
 1.002238

 sd\_sigma[2]
 43.238246
 43.810689
 45.077101
 2341
 1.001283

 lp\_
 -7348.221335
 -7347.047432
 -7345.618649
 1649
 1.002222

Samples were drawn using NUTS(diag\_e) at Fri Aug 3 10:07:09 2018. For each parameter, n\_eff is a crude measure of effective sample size, and Rhat is the potential scale reduction factor on split chains (at convergence, Rhat=1).

warmup sample chain:1 51.60 47.91 chain:2 44.17 42.49 chain:3 56.44 48.90 chain:4 56.78 52.11

The relationship of  $SD_{\sigma,1}$  to  $SD_{\sigma,2}$  is now similar to what it is for the Johnson-Cook model. The samples and summary from this MCMC run are saved for future examination:

```
save_stan_fit_to_csv(
    za_bcc_fit,
    file.path("summaries",
        "za_bcc_MIDAS_rstan_summary_JC_data_bTQ09_fA075.csv"),
    file.path("samples",
        "za_bcc_MIDAS_rstan_samples_JC_data_bTQ09_fA075.csv.qz"))
```

Fits for the Zerilli-Armstrong (BCC) model have been done for the rest of the combinations of  $\beta_{TQ}$  and  $f_{area}$  in Table 1, for both the case where all MIDAS data are included and the case where only the MIDAS data used to fit the Johnson-Cook model are included. The means and standard deviations of the resulting fitted parameters are in Ramsey.<sup>3</sup>

### 6.5 Applying Approximate Interval Predictor Model Approach

To do the constrained optimization for the IPM to find parameter bounds for the Johnson-Cook model, one needs to load not only the function specifying the flow stress according to that model, but also its *gradient*, which here is specified via the function jc\_grad:

```
# Loading the jc function
library(rstan)
jc_model <- readRDS(file.path("compiled_stan_models", "jc.rds"))
expose_stan_functions(jc_model)
```

```
# Definining the gradient
jc_grad <- function(epsilon_p, log_epsilon_p_dot, T_star,</pre>
                     A, B, n, C, m) {
    dJCdA <- (-T_star^m + 1) * (C*log_epsilon_p_dot + 1.0)</pre>
    dJCdB <- epsilon_p^n*(-T_star^m + 1)*(C*log_epsilon_p_dot + 1.0)
    dJCdn <- ifelse(epsilon_p == 0,</pre>
                   rep(0, length(epsilon_p)),
                   B*(epsilon_p^n)*(-T_star^m + 1)*
                   (C*log_epsilon_p_dot + 1.0)*
                     log(epsilon_p))
    dJCdC <- log_epsilon_p_dot*(A + B*epsilon_p^n)*(-T_star^m + 1)</pre>
    dJCdm <- ifelse(T_star == 0,</pre>
                   rep(0, length(T_star)),
                   -T_star^m*(A + B*epsilon_p^n)*(C*log_epsilon_p_dot + 1.0)*
                   log(T_star))
  return (rbind(dJCdA, dJCdB, dJCdn, dJCdC, dJCdm))
}
```

The derivatives in the function  $jc\_grad$  are calculated with the aid of a symbolic computation package (in this case, SymPy<sup>30</sup>). However, blindly using the derivative expressions from a symbolic computation would be a problem, since the expressions for the derivatives with respect to parameters *n* and *m* are undefined where  $\epsilon_p$ or  $T^*$  are zero, because of the presence of the factors  $\epsilon_p^n \ln \epsilon_p$  and  $(T^*)^m \ln T^*$ , respectively, in those expressions. Mathematically, though, as  $\epsilon_p \to 0$  and  $T^* \to 0$ , these factors approach zero, and the numerical calculation of the derivatives reflects that. Also, to allow the R variables epsilon\_p and T\_star to be arrays, ifelse is used rather than a raw if statement.

At this point, one can load in the needed data, as well as temperature data for  $\beta_{TQ} = 0.9$  and  $f_{area} = 0.75$ :

```
my_data <- readRDS(file.path("rds_data_files", "Main_data_for_JC.rds"))
my_data[["T"]] = readRDS(
    file.path("rds_data_files", "T_beta0.9_farea0.75_JC.rds"))
epsilon_p <- my_data[["epsilon_p"]]
epsilon_p_dot <- my_data[["epsilon_p_dot"]]
log_ep_dot <- log(epsilon_p_dot/my_data[["epsilon_p_dot_0"]])
T_room <- my_data[["T_room"]]
T_star <- (my_data[["T"]] - T_room)/(my_data[["T_melt"]] - T_room)</pre>
```

curve\_sizes <- my\_data[["curve\_sizes"]]</pre>

To make the constrained optimization more tractable, the flow stresses are converted from units of megapascals to gigapascals:

MPa\_to\_GPa <- 1e-3 sigma <- my\_data[["sigma"]]\*MPa\_to\_GPa

For the sake of array calculations that are to be needed, log\_ep\_dot\_vec is created from log\_ep\_dot as follows:

```
log_ep_dot_vec <- NULL
for (i in 1:length(curve_sizes)) {
    log_ep_dot_vec <- c(
        log_ep_dot_vec,
        rep(log_ep_dot[i], curve_sizes[i])
    )
}</pre>
```

To estimate  $\theta_0$  for the Johnson-Cook model, the mean of the MCMC samples from the fit with a strong prior on A (again, for  $\beta_{TQ} = 0.9$  and  $f_{area} = 0.75$ ) is used:

```
summary <- read.csv(
    file.path("summaries",
                "jc_MIDAS_rstan_summary_strong_prior_on_A_bTQ09_fA075.csv"),
    row.names = 1
)
theta_0 <- c(summary["A", "mean"]*MPa_to_GPa,
            summary["B", "mean"]*MPa_to_GPa,
            summary["n", "mean"],
            summary["C", "mean"],
            summary["m", "mean"])</pre>
```

At this point, one can begin to construct the inputs that will be needed for constrained minimization with the function lp from the lpSolve R package.<sup>31</sup> These inputs consist of (1) a vector whose elements are the coefficients of the elements of  $\Delta \theta'_{min}$  and  $\Delta \theta'_{max}$  in Eq. 14 and (2) a combination of an array and 2 vectors that together characterizes the inequalities in Eq. 11. To construct these inputs, first  $\mathbf{g}_{\sigma_{mdl}}$ from Eq. 14 is evaluated for  $\boldsymbol{\theta}_0$  and the strains, strain rates, and temperatures from my\_data:

The columns of the array g\_sigma\_mdl are gradient vectors, each evaluated at a given strain, strain rate, and temperature. To find the aforementioned coefficients of the elements of  $\Delta \theta'_{min}$  and  $\Delta \theta'_{max}$ , one needs the sum of the elementwise absolute values of these vectors, which can be done as shown:

```
g_sigma_mdl_abs <- abs(g_sigma_mdl)
g_sigma_mdl_abs_sum <- rowSums(g_sigma_mdl_abs)
```

The vector of coefficients, then is as follows:

coefficients <- c(g\_sigma\_mdl\_abs\_sum, g\_sigma\_mdl\_abs\_sum)</pre>

The first half of the vector coefficients is the coefficients for the elements of  $\Delta \theta'_{min}$ , while the second half is the coefficients for the elements of  $\Delta \theta'_{max}$ . In principle, since Eq. 14 is a function to be minimized, it can be multiplied by any nonzero prefactor without affecting the minimization. In practice, however, dividing it by the number of data points makes the numerical minimization more tractable. Accordingly,

num\_data\_pts <- length(epsilon\_p)
coefficients <- coefficients/num\_data\_pts</pre>

The inequalities in Eq. 14 need to be rearranged to fit the form needed by the function 1p, that is, **Au** *cmp* **b**. Here, **u** is a vector consisting of the elements of  $\Delta \theta_{min}$ followed by the elements of  $\Delta \theta_{max}$ , and **A** is a matrix whose rows are coefficients of the elements of **u**. **b** is a vector with the same number of rows as **A**. The operator *cmp* is really a vector of operators, one for each row of **A** and its corresponding element in **b**. Each element of *cmp* is one of <, ≤, =, ≥, or >. To fit this format, Eq. 14 can be combined with Eqs. 12 and 13 and rearranged to obtain

$$-\frac{1}{2} \left( \mathbf{g}_{\sigma_{mdl}}(\mathbf{e}_{j}^{i_{c}}) + |\mathbf{g}_{\sigma_{mdl}}(\mathbf{e}_{j}^{i_{c}})| \right)^{T} \Delta \boldsymbol{\theta}_{min} + \frac{1}{2} \left( \mathbf{g}_{\sigma_{mdl}}(\mathbf{e}_{j}^{i_{c}}) - |\mathbf{g}_{\sigma_{mdl}}(\mathbf{e}_{j}^{i_{c}})| \right)^{T} \Delta \boldsymbol{\theta}_{max} \leq \sigma_{j}^{i_{c}} - \sigma_{mdl}(\mathbf{e}_{j}^{i_{c}}, \boldsymbol{\theta}_{0}) - \frac{1}{2} \left( \mathbf{g}_{\sigma_{mdl}}(\mathbf{e}_{j}^{i_{c}}) - |\mathbf{g}_{\sigma_{mdl}}(\mathbf{e}_{j}^{i_{c}})| \right)^{T} \Delta \boldsymbol{\theta}_{min} + \frac{1}{2} \left( \mathbf{g}_{\sigma_{mdl}}(\mathbf{e}_{j}^{i_{c}}) + |\mathbf{g}_{\sigma_{mdl}}(\mathbf{e}_{j}^{i_{c}})| \right)^{T} \Delta \boldsymbol{\theta}_{max} \geq \sigma_{j}^{i_{c}} - \sigma_{mdl}(\mathbf{e}_{j}^{i_{c}}, \boldsymbol{\theta}_{0})$$
(15)

Accordingly, then, the matrix **A** and vectors **b** and *cmp* can be constructed in R as follows:

```
num_data_pts2 <- 2*num_data_pts</pre>
num_data_pts_p1 <- num_data_pts + 1</pre>
num_coeffs = length(coefficients)
A_mat <- matrix(nrow = num_data_pts2,</pre>
                 ncol = num_coeffs)
b_vec <- rep(NA, 2*num_data_pts)</pre>
g_gabs_half_sumT <- t(0.5*(g_sigma_mdl + g_sigma_mdl_abs))</pre>
g_gabs_half_diffT <- t(0.5*(g_sigma_mdl - g_sigma_mdl_abs))</pre>
half_num_coeffs <- num_coeffs/2</pre>
half_num_coeffs_p1 <- half_num_coeffs + 1</pre>
# Because jc is generated from expose_stan_functions, it doesn't
# vectorize, hence the need for mapply.
sigma_mdl <- mapply(jc, epsilon_p, log_ep_dot_vec, T_star,</pre>
                     MoreArgs = list(theta_0[1], theta_0[2], theta_0[3],
                                       theta_0[4], theta_0[5]))
sigma_minus_sigma_mdl <- sigma - sigma_mdl</pre>
A_mat[1:num_data_pts, 1:half_num_coeffs] <- -g_gabs_half_sumT</pre>
A_mat[1:num_data_pts, half_num_coeffs_p1:num_coeffs] <- g_gabs_half_diffT</pre>
cmp_vec <- rep("<=", num_data_pts)</pre>
b_vec[1:num_data_pts] <- sigma_minus_sigma_mdl</pre>
A_mat[num_data_pts_p1:num_data_pts2, 1:half_num_coeffs] <- -g_gabs_half_diffT</pre>
A_mat[num_data_pts_p1:num_data_pts2, half_num_coeffs_p1:num_coeffs] <-</pre>
    g_gabs_half_sumT
cmp_vec <- c(cmp_vec, rep(">=", num_data_pts))
b_vec[num_data_pts_p1:num_data_pts2] <- sigma_minus_sigma_mdl</pre>
```

#### At this point, the minimization can proceed:

The output of the minimization is as follows:

```
result[["status"]] = 0 (0 indicates success)
Est. spread for A = (699.843, 699.843)
Est. spread for B = (866.224, 866.224)
Est. spread for n = (0.0719028, 0.123226)
Est. spread for C = (0.0045419, 0.00710257)
Est. spread for m = (0.874261, 1.05123)
```

The resulting upper and lower bounds for the Johnson-Cook parameters (stored in the vectors JC\_param\_lb and JC\_param\_ub, respectively) have been estimated using a Taylor approximation. To see if these bounds are reasonable, the set  $\Theta$  will be taken to be the hyperrectangle with the corners JC\_param\_lb and JC\_param\_ub, and  $\sigma_{min}$  and  $\sigma_{max}$  will be estimated using Eqs. 8 and 9 (rather than the approximations in Eqs. 12 and 13). One can then determine how much of the flow stress data is actually bounded by  $\sigma_{min}$  and  $\sigma_{max}$ .

To do this, one first needs to create wrappers around the jc and jc\_grad functions that will work as objective and gradient functions for the optim function of R:

}

Since a *minimization* routine is used to find  $\sigma_{max}$ , jc\_for\_max is the negative of the Johnson-Cook flow stress. (Maximizing an objective function is the same as minimizing the negative of that function.)

One can then use the following for loop to generate estimates of  $\sigma_{min}$  and  $\sigma_{max}$  for each set of strain, strain rate, and temperature inputs, check how much of the data is within bounds, and then save the bounds to a RDS file (which can used to generate plots showing how much of the data are within bounds, such as those in Ramsey<sup>3</sup>):

```
num_data_pts_in_bounds <- 0</pre>
sigma_min <- rep(NA, num_data_pts)</pre>
sigma_max <- rep(NA, num_data_pts)</pre>
for (i in 1:num_data_pts) {
    result_min <- optim(theta_0, jc_for_min,</pre>
                         gr = jc_grad_for_min,
                         epsilon_p[i], log_ep_dot_vec[i], T_star[i],
                         method = "L-BFGS-B",
                         lower = JC_param_lb,
                         upper = JC_param_ub)
    if (result_min[["convergence"]] != 0) {
      cat(sprintf("Cannot find sigma_min for data point %s!", i))
    }
    result_max <- optim(theta_0, jc_for_max,</pre>
                         gr = jc_grad_for_max,
                         epsilon_p[i], log_ep_dot_vec[i], T_star[i],
                         method = "L-BFGS-B",
                         lower = JC_param_lb,
                         upper = JC_param_ub)
    if (result_max[["convergence"]] != 0) {
      cat(sprintf("Cannot find sigma_max for data point %s!", i))
    }
    sigma_min[i] = result_min[["value"]]
    sigma_max[i] = -result_max[["value"]]
    num_data_pts_in_bounds <- num_data_pts_in_bounds +</pre>
        as.numeric((sigma_min[i] <= sigma[i]) &&</pre>
                    (sigma[i] <= sigma_max[i]))</pre>
```

The resulting text output is as follows:

Fraction of data points in bounds = 0.999455

Almost 100% of the data points are within the bounds.

Similar constrained optimizations to estimate bounds of parameters in the Johnson-Cook have been done for the rest of the combinations of  $\beta_{TQ}$  and  $f_{area}$  in Table 1, all for the case with a strong prior on *A*. Bounds have also been estimated for the parameters of the Zerilli-Armstrong (BCC) model, for the case where only the MI-DAS data used to fit the Johnson-Cook model are included. Results for these cases are in Ramsey.<sup>3</sup>

## 7. Postprocessing of Model Fits

#### 7.1 Plotting Priors with Posteriors

As a sanity check, one may compare the priors for the model parameters to their corresponding posteriors. If a posterior largely resembles its corresponding prior, this suggests that the posterior has been largely determined by the prior rather than the likelihood, which is a problem if a prior is only weakly informative and little more than an educated guess.

First, one needs to read in the samples of the posterior from an MCMC run, such as samples in the CSV file from the MCMC run of the Johnson-Cook model with  $\beta_{TQ} = 0.9$ ,  $f_{area} = 0.75$  and weakly informative priors. This is done as follows, with the contents of the file being stored in the data frame jc\_samples:
The CSV file named previously has column headers corresponding to the names of model parameters ("A", "B", etc.), so the values in columns of this CSV file, which contain the MCMC samples for those parameters, can be accessed as  $jc\_samples[["A"]]$ ,  $jc\_samples[["B"]]$ , and so on. However, the vector of samples for model parameter  $SD_{\sigma,1}$  (or  $sd\_sigma[1]$ ) is  $jc\_samples[["sd\_sigma.1."]]$ , with a period (".") replacing the opening and closing brackets in the parameter name, or alternatively,  $jc\_samples[[make.names("sd\_sigma[1]")]]$ .

At this point, one may compute histograms that approximate the marginal PDFs of the parameters as follows:

The function call names(jc\_samples) returns a vector of the names of the columns in the data frame jc\_samples. The argument "breaks = "FD"" causes R to use the Freedman-Diaconis algorithm to determine the number of bins in the histogram. The argument "plot = FALSE" prevents R from actually plotting the histograms, since this is to be done in later steps. Instead, the boundaries of the bins in each histogram are stored in the vector jc\_hists[[param]][["breaks"]], the number of samples in each bin is stored in the vector jc\_hists[[param]][["counts"]], and jc\_hists[[param]][["density"]] is the result of dividing jc\_hists[[param]][["counts"]] by a normalizing factor such that the total area under the histogram is 1. A normalized histogram is more readily compared with a PDF, since the area under the whole PDF curve is also 1.

The R code for calculating the prior PDFs is shown:

```
library(jsonlite)
parent_dir <- dirname(getwd())</pre>
```

```
JC_priors <- read_json(file.path(parent_dir,</pre>
                                   "Other_data", "JC_priors.json"),
                         simplifyVector = TRUE)
prior_curves <- list()</pre>
for (param in names(jc_samples)) {
    if (param == "n") {
        # Parameter "n" has a beta distribution for a prior
        prior_x <- seq(0, 1, length.out = 100)</pre>
        prior_curves[[param]] <- list(</pre>
            x = prior_x,
             y = dbeta(prior_x,
                       JC_priors[["n_alpha"]], JC_priors[["n_beta"]])
        )
    } else if (param != "lp_") {
        # All other priors have an approximately normal distribution.
         # (It's approximate because it is truncated near zero.)
        if (param == "sd_sigma.1.") {
             guess_mean <- JC_priors[["sd_sigma_guess_mean"]][1]</pre>
             guess_sd <- JC_priors[["sd_sigma_guess_sd"]][1]</pre>
        } else if (param == "sd_sigma.2.") {
             guess_mean <- JC_priors[["sd_sigma_guess_mean"]][2]</pre>
             guess_sd <- JC_priors[["sd_sigma_guess_sd"]][2]</pre>
        } else {
             guess_mean <- JC_priors[[sprintf("%s_guess_mean", param)]]</pre>
             guess_sd <- JC_priors[[sprintf("%s_guess_sd", param)]]</pre>
        }
        hist_x <- jc_hists[[param]][["breaks"]]</pre>
        prior_x_min <- min(guess_mean - 3*guess_sd, hist_x[1])</pre>
        prior_x_max <- max(guess_mean + 3*guess_sd, hist_x[length(hist_x)])</pre>
        prior_x <- seq(prior_x_min, prior_x_max, length.out = 100)</pre>
        prior_curves[[param]] <- list(</pre>
            x = prior_x,
             y = dnorm(prior_x, guess_mean, guess_sd)
        )
    }
}
```

In this code, the function dbeta calculates the probability density for a beta distribution. Its first argument is a vector of values for which the probability density is to be calculated, and the next two arguments are the  $\alpha$  and  $\beta$  parameters of the distribution. The dnorm function is similar, except it calculates the probability density for a normal distribution, and its second and third arguments are the mean and stan-

dard deviation of the distribution. The list prior\_curves is used to store the *x*and *y*- coordinates of points along the probability density curve for each parameter.

The following code plots the histograms for the marginal posterior PDFs of the Johnson-Cook model parameters and nuisance parameters  $SD_{\sigma,1}$  and  $SD_{\sigma,2}$ , along with their corresponding priors (after setting up the labels for the *x*-axes and other details of the plots' appearance). In this code, the argument "freq = FALSE" is passed to the plot function so that the counts in the histogram bins are normalized such that the area under the histogram is 1. The resulting plots are shown in Fig. 13.

```
# Setting default values for x-axis labels and legend locations
x_labels <- list()</pre>
legend_locations <- list()</pre>
for (param in names(jc_samples)) {
    x_labels[[param]] <- param</pre>
    legend_locations[[param]] <- "topright"</pre>
}
# Modifying x-axis labels
for (param in c("A", "B")) {
    x_labels[[param]] <- sprintf("%s (MPa)", param)</pre>
}
x_labels[["sd_sigma.1."]] <- expression(paste(SD[list(sigma,1)], " (MPa)"))</pre>
x_labels[["sd_sigma.2."]] <- expression(paste(SD[list(sigma,2)], " (MPa)"))</pre>
# Changing legend position of parameter "C"
legend_locations[["C"]] <- "topleft"</pre>
# Setting up line types and colors for the histogram
# and prior
hist_lty <- 0
hist_col <- "blue"</pre>
prior_lty <- 1</pre>
prior_col <- "black"</pre>
# Plotting histograms with their associated priors
for (param in names(jc_samples)) {
    if (param != "lp__") {
        prior_x <- prior_curves[[param]][["x"]]</pre>
        prior_y <- prior_curves[[param]][["y"]]</pre>
        posterior_hist <- jc_hists[[param]]</pre>
        out_pdf_name <- sprintf(</pre>
             "jc_prior_vs_marg_posterior_for_%s_weak_prior_bTQ09_fA075.pdf",
             param)
```



Fig. 13 Histograms approximating the posterior marginal PDFs of Johnson-Cook model parameters and nuisance parameters  $SD_{\sigma,1}$  and  $SD_{\sigma,2}$ . These are generated from samples of an RStan MCMC run with  $\beta_{TQ} = 0.9$ ,  $f_{area} = 0.75$ , and weakly informative priors. Priors are superimposed over the histograms.

```
# Open ".pdf" file for plotting
    pdf(file = file.path("plot_files", out_pdf_name),
        title = out_pdf_name,
        pointsize = 10,
        width = 3, height = 3.5)
    # Margin adjustments
    par(mar = c(4, 5, 0.7, 0.7), oma = c(0, 0, 0, 0))
    plot (posterior_hist,
         freq = FALSE,
         xlim = range(c(prior_x, posterior_hist[["breaks"]])),
         ylim = range(c(prior_y, posterior_hist[["density"]])),
         xlab = x_labels[[param]],
         ylab = "Probability density",
         main = NULL,
         lty = hist_lty,
         col = hist_col)
    lines(prior_x, prior_y, lty = prior_lty, col = prior_col)
    # The use of "pch" here allow a colored box to be used
    # in the legend to indicate the histogram, while a line
    # indicates the prior.
    legend(legend_locations[[param]],
           legend = c("Post.", "Pri."),
           lty = c(hist_lty, prior_lty),
           col = c(hist_col, prior_col),
           pch = c(15, NA), pt.cex = 2)
    dev.off() # Close ".pdf" file
}
```

}

Further histograms of the marginal posteriors of the Johnson-Cook model parameters and nuisance parameters  $SD_{\sigma,1}$  and  $SD_{\sigma,2}$ , as well their corresponding priors, for the case of a strongly informative prior on A, are shown in Ramsey.<sup>3</sup> Similar histograms are also presented in Ramsey<sup>3</sup> for the parameters of the Zerilli-Armstrong (BCC) model (and associated nuisance parameters), for both the fit to all available MIDAS data and the fit to the data used to fit the Johnson-Cook model.

#### 7.2 Plotting Posteriors for Different Values of $\beta_{TQ}$ and $f_{area}$

To crudely attempt to quantify the uncertainty due to variations in  $\beta_{TQ}$  and  $f_{area}$ , the marginal posterior PDFs determined for different values of  $\beta_{TQ}$  and  $f_{area}$  are compared. First, MCMC samples associated with these values are read in as follows. These samples are for Johnson-Cook model fits with weakly informative priors.

```
bTQ_fA_strs <- list(
    c("0.9", "0.75"), c("0.9", "0.55"), c("0.9", "0.95"),
    c("0.6", "0.55"), c("0.6", "0.95")
)
jc_samples <- list()</pre>
for (bTQ_fA in bTQ_fA_strs) {
    bTQ \leftarrow bTQ_fA[1]
    fA <- bTQ_fA[2]</pre>
    # Removing the decimal points from bTQ and fA, i.e.
    # 0.9 and 0.75 become 09 and 075
    bTQ_no_decimal <- sub(".", "", bTQ, fixed = TRUE)</pre>
    fA_no_decimal <- sub(".", "", fA, fixed = TRUE)</pre>
    csv_file_name <- sprintf(</pre>
        "jc_MIDAS_rstan_samples_weak_prior_bTQ%s_fA%s.csv.gz",
        bTQ_no_decimal, fA_no_decimal)
    jc_samples[[paste(bTQ, fA, sep = ",")]] <-</pre>
        read.csv(file.path("samples", csv_file_name))
}
```

Here, jc\_samples is a list of data frames, where each frame is associated with a pair of  $\beta_{TQ}$  and  $f_{area}$  values. Next, histograms are computed. Again, the argument "breaks = "FD"" causes R to use the Freedman-Diaconis algorithm to determine the number of bins in the histogram, while the argument "plot = FALSE" prevents R from actually plotting the histograms:

```
}
jc_hists[[bTQ_fA_str]] <- hist_list
}</pre>
```

Here, the histograms are stored in a list of lists named hist\_list, where each element of the outer list is a list of histograms that has one histogram for each parameter. Each element of the outer list is associated with a pair of  $\beta_{TQ}$  and  $f_{area}$  values. These histograms are plotted as shown in the following R code. Since these histograms are to be overlapped, all but the ones for  $\beta_{TQ} = 0.9$  and  $f_{area} = 0.75$  are plotted not as bar charts, but rather as lines that show the outlines of the bars, via the hist\_outline function from the R source file bayes-stress-strain-utils.R in Appendix C. The resulting plots are shown in Fig. 14.

```
source("bayes-stress-strain-utils.R")
# Setting up x-axis labels, the line types and colors, and legend labels
# used in the plot.
params <- names(jc_hists[[1]])</pre>
x_labels <- list()</pre>
for (param in params) {
    x_labels[[param]] <- param</pre>
}
for (param in c("A", "B")) {
    x_labels[[param]] <- sprintf("%s (MPa)", param)</pre>
}
for (i in 1:2) {
    x_labels[[sprintf("sd_sigma.%d.",i)]] <- parse(</pre>
        text = sprintf("paste(SD[list(sigma,%d)], ' (MPa)')", i)
    )
}
line_types <- 2:5</pre>
hist col = "gray"
line_cols <- c("black", "red", "blue", "purple")</pre>
legend_labels <- rep(NA, length(bTQ_fA_strs))</pre>
for (i in 1:length(bTO_fA_strs)) {
    legend_labels[i] <- parse(</pre>
        text = sprintf("paste(beta[TQ], ' = %s, ', f[area], ' = %s')",
                        bTQ_fA_strs[[i]][1], bTQ_fA_strs[[i]][2]))
}
# Plotting the actual superimposed histograms
for (param in params) {
    out_pdf_name <- sprintf("jc_hists_for_%s_weak_prior.pdf",</pre>
```



Fig. 14 Histograms approximating the posterior marginal PDFs of Johnson-Cook model parameters and nuisance parameters  $SD_{\sigma,1}$  and  $SD_{\sigma,2}$ . These are generated from samples of RStan MCMC runs with the values of  $\beta_{TQ}$  and  $f_{area}$  in Table 1, and weakly informative priors.

```
pdf(file = file.path("plot_files", out_pdf_name),
    title = out_pdf_name,
    pointsize = 10,
    width = 3, height = 3.5)
\# Finding the range of x- and y-values to be shown in the plot
xlim <- NULL
ylim <- NULL
for (i in 1:length(bTQ_fA_strs)) {
    curr_hist <- jc_hists[[i]][[param]]</pre>
    xlim <- range(c(xlim, range(curr_hist[["breaks"]])))</pre>
    ylim <- range(c(ylim, range(curr_hist[["density"]])))</pre>
}
ylim[2] <- ylim[2] + 0.5*(ylim[2] - ylim[1])</pre>
# Margin adjustments
par(mar = c(4, 5, 0.7, 0.7), oma = c(0, 0, 0, 0))
plot(jc_hists[[1]][[param]],
     freq = FALSE,
     xlab = x_labels[[param]],
     ylab = "Probability density",
     main = NULL,
     xlim = xlim,
     ylim = ylim,
     lty = 0,
     col = hist_col
)
# Adding histograms to plot
for (i in 2:length(bTQ_fA_strs)) {
    hist_outline(jc_hists[[i]][[param]],
                 lty = line_types[i-1], col = line_cols[i-1])
}
# Adding legend to plot
legend("topright",
       legend = legend_labels,
       lty = c(0,line_types),
       col = c(hist_col, line_cols),
       pch = c(15, rep(NA, length(line_types))),
       pt.cex = 2,
       y.intersp = 0.9)
dev.off() # Closing plot window
```

param)

Further histograms of the marginal posteriors of the Johnson-Cook model parameters and nuisance parameters  $SD_{\sigma,1}$  and  $SD_{\sigma,2}$ , for the case of a strongly infor-

}

mative prior on A, are shown in Ramsey.<sup>3</sup> Similar histograms are also presented in Ramsey<sup>3</sup> for the parameters of the Zerilli-Armstrong (BCC) model (and associated nuisance parameters), for both the fits to all available MIDAS data and the fits to only the data used to fit the Johnson-Cook model.

### 7.3 Plotting PPDs and PFPs with Experimental Data

To generate PPDs and PFPs for the Johnson-Cook model, one needs the samples from an MCMC fit of the model. Accordingly, samples from the Johnson-Cook fits with weakly informative priors are to be loaded, as in Section 7.2, into a list of data frames named jc\_samples, where each frame is associated with a string such as "0.9, 0.75", which represents a pair of  $\beta_{TQ}$  and  $f_{area}$  values. One also needs the data and model used with the MCMC run that generated the samples. The data used to fit the Johnson-Cook model have been stored in RDS files (as discussed in Section 6.2), and these are loaded again as shown, with bTQ\_fA\_strs defined as in Section 7.2:

Again, the function expose\_stan\_functions from RStan is used to load a function jc representing the Johnson-Cook model into an R session. However, so that the procedure for generating PPDs and PFPs is nearly identical for both the Johnson-Cook and Zerilli-Armstrong models, a wrapper function named sigma\_model\_func is used, rather than directly using the jc and za\_bcc functions from their corresponding Stan models. In contrast to the previous instance of sigma\_model\_func from Section 5.2, where the strain is a vector and the model parameters are scalars, here the strain is a scalar, and the MCMC samples for each model parameter are passed as vectors:

```
library(rstan)
jc_model <- readRDS(file.path("compiled_stan_models", "jc.rds"))
expose_stan_functions(jc_model)</pre>
```

```
sigma_model_func <- function(epsilon_p,</pre>
                               epsilon_p_dot,
                               temperature,
                               theta_model) {
    log_epsilon_p_dot <-</pre>
        log(epsilon_p_dot/theta_model[["epsilon_p_dot_0"]])
    T_star <- (temperature - theta_model[["T_room"]])/</pre>
      (theta_model[["T_melt"]] - theta_model[["T_room"]])
    A <- theta_model[["A"]]</pre>
    B <- theta_model[["B"]]</pre>
    n <- theta_model[["n"]]</pre>
    C <- theta_model[["C"]]</pre>
    m <- theta_model[["m"]]</pre>
    sigma_samples <- numeric(length(A))</pre>
    # A "for" loop is needed because expose_stan_functions
    # generates the "jc" function in such a way that it cannot
    # accept vectors for the model parameter arguments A, B, n,
    \# C, and m.
    for (i in 1:length(A)) {
        sigma_samples[i] <- jc(epsilon_p, log_epsilon_p_dot,</pre>
                                 T_star,
                                 A[i], B[i], n[i], C[i], m[i])
    }
    return (sigma_samples)
}
```

Since the MCMC samples differ for different values of  $\beta_{TQ}$  and  $f_{area}$ , different values of theta\_model are needed for those values, so a list of the needed values is created. A list of samples of  $SD_{\sigma,1}$  and  $SD_{\sigma,2}$  is generated as well for those values of  $\beta_{TQ}$  and  $f_{area}$ :

```
theta_model_list <- list()
sd_sigma_list <- list()
for (bTQ_fA in bTQ_fA_strs) {
    bTQ <- bTQ_fA[1]
    fA <- bTQ_fA[2]
    bTQ_fA_str <- paste(bTQ, fA, sep = ",")
    curr_samples <- jc_samples[[bTQ_fA_str]]
    theta_model_list[[bTQ_fA_str]] <- list(
        A = curr_samples[["A"]],
        B = curr_samples[["B"]],
        n = curr_samples[["n"]],
        C = curr_samples[["C"]],</pre>
```

```
m = curr_samples[["m"]],
epsilon_p_dot_0 = main_data[["epsilon_p_dot_0"]],
T_room = main_data[["T_room"]],
T_melt = main_data[["T_melt"]]
)
sd_sigma_list[[bTQ_fA_str]] <- list(
curr_samples[["sd_sigma.1."]],
curr_samples[["sd_sigma.2."]]
)
```

At this point, one may proceed to generate samples of PPDs and PFPs with a loop that partly resembles the main loop in the model block of Stan specification file for the Johnson-Cook model, jc.stan.<sup>9</sup> However, rather than store the samples from all the PPDs and PFPs, which could use a significant amount of memory since there are 4000 samples for each of the roughly 2000 data points that make up the stressstrain curve data, select statistics from the PPDs and PFPs are kept instead. For each PPD, these statistics are the mean and the bounds of the 95% highest density interval (HDI), which is the interval such that 1) the probability that a value is in this interval is 95% and 2) the values within this interval all have higher probability densities than values outside of it.<sup>11</sup> The statistics of the PPDs are stored in lists of vectors ppd\_mean, ppd\_hdi\_min, and ppd\_hdi\_max. For each PFP, the bounds of the 95% HDI are computed and stored in the lists of vectors pfp\_hdi\_min and pfp\_hdi\_max. These lists of vectors are created via the following R code:

```
library(HDInterval)
```

}

```
ppd_mean <- list()
ppd_hdi_min <- list()
ppd_hdi_max <- list()
pfp_hdi_max <- list()
pfp_hdi_max <- list()
num_curves <- main_data[["num_curves"]]
curve_sizes <- main_data[["curve_sizes"]]
epsilon_p <- main_data[["epsilon_p"]]
epsilon_p_dot <- main_data[["epsilon_p_dot"]]
for (bTQ_fA in bTQ_fA_strs) {
    bTQ <- bTQ_fA[1]
    fA <- bTQ_fA[2]
    bTQ_fA_str <- paste(bTQ, fA, sep = ",")
    temperature <- temp_data[[bTQ_fA_str]]</pre>
```

```
theta_model <- theta_model_list[[bTQ_fA_str]]</pre>
sd_sigma <- sd_sigma_list[[bTQ_fA_str]]</pre>
curr_ppd_mean <- numeric(sum(curve_sizes))</pre>
curr_ppd_hdi_min <- numeric(sum(curve_sizes))</pre>
curr_ppd_hdi_max <- numeric(sum(curve_sizes))</pre>
curr_pfp_hdi_min <- numeric(sum(curve_sizes))</pre>
curr_pfp_hdi_max <- numeric(sum(curve_sizes))</pre>
start_ind <- 1</pre>
for (curve_ind in 1:num_curves) {
    end_ind <- start_ind + curve_sizes[curve_ind] - 1</pre>
    if (epsilon_p_dot[curve_ind] <= 1.0) {</pre>
         curr_sd_sigma <- sd_sigma[[1]]</pre>
    } else {
         curr_sd_sigma <- sd_sigma[[2]]</pre>
    }
    for (i in start_ind:end_ind) {
        curr_pfp <-
             sigma_model_func(epsilon_p[i],
                                epsilon_p_dot[curve_ind],
                                temperature[i],
                                theta_model)
         curr_ppd <- rnorm(length(curr_pfp),</pre>
                             curr_pfp, curr_sd_sigma)
        curr_ppd_mean[i] <- mean(curr_ppd)</pre>
         # By default, the hdi function computes the bounds
         # of the 95% HDI.
         curr_ppd_hdi_range <- hdi(curr_ppd)</pre>
         curr_ppd_hdi_min[i] <- curr_ppd_hdi_range[1]</pre>
        curr_ppd_hdi_max[i] <- curr_ppd_hdi_range[2]</pre>
         curr_pfp_hdi_range <- hdi(curr_pfp)</pre>
         curr_pfp_hdi_min[i] <- curr_pfp_hdi_range[1]</pre>
        curr_pfp_hdi_max[i] <- curr_pfp_hdi_range[2]</pre>
    }
    start_ind <- end_ind + 1</pre>
}
ppd_mean[[bTQ_fA_str]] <- curr_ppd_mean</pre>
ppd_hdi_min[[bTQ_fA_str]] <- curr_ppd_hdi_min</pre>
ppd_hdi_max[[bTQ_fA_str]] <- curr_ppd_hdi_max</pre>
pfp_hdi_min[[bTQ_fA_str]] <- curr_pfp_hdi_min</pre>
pfp_hdi_max[[bTQ_fA_str]] <- curr_pfp_hdi_max</pre>
```

}

The variable curr\_ppd shown previously is a vector of samples of the PPD associated with the strain and temperature values epsilon\_p[i] and temperature[i]. Each element of curr\_ppd corresponds to a value of  $\sigma_i^{i_c,pred}(\epsilon_i^{i_c},\dot{\epsilon}_p^{i_c},T_i^{i_c})$  from Eq. 6, where  $i_c$  and j are fixed for all of the elements of curr\_ppd. Element curr\_ppd[q] is determined from the q<sup>th</sup> MCMC sample of the model parameters. Each sample curr\_ppd[q] of the PPD is also drawn from a normal distribution. Here, the function rnorm performs such draws. Its first argument is the number of draws, and the remaining arguments are such that draw q comes from a distribution with mean curr\_pfp[q] and standard deviation curr\_sd\_sigma[q]. Element curr\_pfp[q] is, of course, a sample of the PFP determined from the q<sup>th</sup> MCMC sample of the model parameters. Given the previous code, provided that  $\beta_{TO} = 0.9$ and  $f_{area} = 0.75$ , the R expression ppd\_mean[["0.9,0.75"]][i] indicates the mean of the PPD of where the plastic strain is epsilon\_p[i], the temperature is temperature[i], and the plastic strain rate is epsilon\_p\_dot[curve\_ind], where curve\_ind determines the range of values for i. The R expressions ppd\_hdi\_min[["0.9,0.75"]][i] and ppd\_hdi\_max[["0.9,0.75"]][i] indicate the bounds of the 95% HDI of that PPD. The hdi function from the R package HDInterval\* is used to estimate the HDIs.

The code for plotting the means and 95% HDI bounds along with the experimental data is as follows:

```
source("bayes-stress-strain-utils.R")
T_init_str <- c("298", "298", "298", "298", "473", "673", "873")
sigma <- main_data[["sigma"]]
# Setting up line types and colors
# For beta_TQ = 0.9, f_area = 0.75
line_type_mean_only <- 1
col_val_hdi_only <- "skyblue"
col_val_mean_only <- "purple"
# For other beta_TQ and f_area pairs
line_types_mean_and_hdi <- 2:5
col_vals_mean_and_hdi <- c("red", "brown", "green", "blue")</pre>
```

<sup>\*</sup>If the advice in Section 2 has been followed, then this package should already have been installed.

```
# For experimental data
col_val_data <- "black"</pre>
# Setting up legend labels
bTQ_fA_legend_paste_strs <- sapply(bTQ_fA_strs,</pre>
                                     function(bTQ_fA) {
    sprintf("beta[TQ], ' = %s, ', f[area], ' = %s'",
             bTQ_fA[1], bTQ_fA[2])
})
legend_labels <- c(</pre>
    parse(text = sprintf("paste('95%% HDI, ', %s)",
                          bTQ_fA_legend_paste_strs[1])),
    parse(text = sprintf("paste('Mean, ', %s)",
                          bTQ_fA_legend_paste_strs[1])),
    sapply(bTQ_fA_legend_paste_strs[2:length(bTQ_fA_legend_paste_strs)],
            function(bTQ_fA_lgd_str) {
                parse(text = sprintf("paste('Mean & 95%% HDI, ', %s)",
                                      bTQ_fA_lgd_str))
    }),
    "Exp. Data"
)
# No extra space needed for the legend for low strain rates, only
# for plots with high-strain-rate data
space_for_legend <- c(rep(0.0, times = 2), rep(0.5, times = 5))</pre>
# Plotting HDI of PPDs
start_ind <- 1</pre>
for (curve_ind in 1:num_curves) {
    end_ind <- start_ind + curve_sizes[curve_ind] - 1</pre>
    out_pdf_name <- sprintf("jc_hdi_edot%g_T%s_weak_prior.pdf",</pre>
                              epsilon_p_dot[curve_ind],
                              T_init_str[curve_ind])
    pdf(file = file.path("plot_files", out_pdf_name),
        title = out_pdf_name,
        pointsize = 10,
        width = 4, height = 4)
    curr_epsilon_p <- epsilon_p[start_ind:end_ind]</pre>
    curr_sigma <- sigma[start_ind:end_ind]</pre>
    curr_hdi_min <- list()</pre>
    curr_hdi_max <- list()</pre>
    for (i in 1:length(bTQ_fA_strs)) {
        curr_hdi_min[[i]] <- ppd_hdi_min[[i]][start_ind:end_ind]</pre>
        curr_hdi_max[[i]] <- ppd_hdi_max[[i]][start_ind:end_ind]</pre>
    }
    ymin <- min(unlist(curr_hdi_min), curr_sigma)</pre>
    ymax <- max(unlist(curr_hdi_max), curr_sigma)</pre>
```

```
ymin <- ymin - space_for_legend[curve_ind]*(ymax - ymin)</pre>
par(mar = c(4, 4, 2, 0.7), oma = c(0, 0, 0, 0))
make_empty_xy_plot(range(curr_epsilon_p), c(ymin, ymax))
# Plot 95% HDI for beta_TQ = 0.9, f_area = 0.75 as shaded region
fill_between_curves(curr_epsilon_p,
                    curr_hdi_min[[1]], curr_hdi_max[[1]],
                    col = col_val_hdi_only)
# Plot mean for beta_TQ = 0.9, f_area = 0.75 as lines
lines(curr_epsilon_p, ppd_mean[[1]][start_ind:end_ind],
      lty = line_type_mean_only,
      col = col_val_mean_only)
# Plot mean and bounds of 95% HDI as lines
for (i in 2:length(bTQ_fA_strs)) {
    lines(curr_epsilon_p, curr_hdi_min[[i]],
          lty = line_types_mean_and_hdi[i-1],
          col = col_vals_mean_and_hdi[i-1])
    lines(curr_epsilon_p, ppd_mean[[i]][start_ind:end_ind],
          lty = line_types_mean_and_hdi[i-1],
          col = col_vals_mean_and_hdi[i-1])
    lines(curr_epsilon_p, curr_hdi_max[[i]],
          lty = line_types_mean_and_hdi[i-1],
          col = col_vals_mean_and_hdi[i-1])
}
# Plot experimental data
pt_type_data <- 46
points(curr_epsilon_p, curr_sigma,
       col = col_val_data,
       pch = pt_type_data)
title(xlab = expression(epsilon[p]),
      ylab = expression(paste(sigma, " (MPa)")),
      main = sprintf("%s K, %g/s",
                     T_init_str[curve_ind],
                     epsilon_p_dot[curve_ind]))
legend("bottomright",
       legend = legend_labels,
       lty = c(0,
               line_type_mean_only,
               line_types_mean_and_hdi,
               0),
       col = c(col_val_hdi_only,
               col_val_mean_only,
               col_vals_mean_and_hdi,
               col_val_data),
       pch = c(15,
```

This code employs two functions from the R source file bayes-stressstrain-utils.R. One of these is make\_empty\_xy\_plot, which creates an empty plot window with given ranges for the *x*- and *y*-coordinates. The other is fill\_between\_curves, which plots a shaded region between two curves. The resulting plots are shown in Figs. 15 and 16. Plots showing the 95% HDI bounds of the PFPs are shown in Figs. 17 and 18. The code to generate these plots is very similar to the code that plots the statistics of the PPDs, so it is not shown.

Estimates for the mean and bounds of the 95% HDIs of the PPDs and PFPs have also been done for the Johnson-Cook model with a strong prior on *A* and for the Zerilli-Armstrong (BCC) model fitted to all MIDAS data and the MIDAS data used to fit the Johnson-Cook model. These are shown in Ramsey.<sup>3</sup>

## 7.4 Determining Correlation Matrices

In addition to statistics for the marginal PDFs of the model parameters, one may also need information on how the PDFs of these parameters are correlated, especially if one intends to use these PDFs as input to uncertainty propagation analyses. For example, when the software Dakota is used for such analyses, it takes as input either a correlation or rank correlation matrix, depending on the method of uncertainty propagation used.<sup>32</sup> Both of these are fairly simple to calculate in R. For the Johnson-Cook model with weakly informative priors,  $\beta_{TQ} = 0.9$ , and  $f_{area} = 0.75$ , the correlation matrix may be evaluated as follows:

The following is the printed matrix:



Fig. 15 Stress-strain data for initial sample temperatures of 298 K, along with estimates of the mean and the 95% HDI for PPDs generated from samples of RStan MCMC runs for the Johnson-Cook model with weakly informative priors. The 95% HDI for  $\beta_{TQ} = 0.9$  and  $f_{area} = 0.75$  is plotted as a shaded region between the minimum and maximum of the HDI.



Fig. 16 Stress-strain data for high initial sample temperatures along with estimates of the mean and the 95% HDI for PPDs generated from samples of RStan MCMC runs for the Johnson-Cook model with weakly informative priors. The 95% HDI for  $\beta_{TQ} = 0.9$  and  $f_{area} = 0.75$  is plotted as a shaded region between the minimum and maximum of the HDI.



Fig. 17 Stress-strain data for initial sample temperatures of 298 K, along with estimates of the 95% HDI for PFPs generated from samples of RStan MCMC runs for the Johnson-Cook model with weakly informative priors. The 95% HDI for  $\beta_{TQ} = 0.9$  and  $f_{area} = 0.75$  is plotted as a shaded region between the minimum and maximum of the HDI.



Fig. 18 Stress-strain data for high initial sample temperatures along with estimates of the 95% HDI for PFPs generated from samples of RStan MCMC runs for the Johnson-Cook model with weakly informative priors. The 95% HDI for  $\beta_{TQ} = 0.9$  and  $f_{area} = 0.75$  is plotted as a shaded region between the minimum and maximum of the HDI.

	A	В	n	C	m
А	1.00000000	-0.99942647	0.98978369	0.06072992	-0.05456907
В	-0.99942647	1.00000000	-0.98561331	-0.04984533	0.04182829
n	0.98978369	-0.98561331	1.00000000	0.06632394	-0.06734621
С	0.06072992	-0.04984533	0.06632394	1.00000000	-0.68925783
m	-0.05456907	0.04182829	-0.06734621	-0.68925783	1.00000000
sd_sigma.1.	0.21133969	-0.19916422	0.24040565	0.29599499	-0.25753647
sd_sigma.2.	-0.11503380	0.10758398	-0.12803428	-0.25490191	0.21244271
lp	0.07519178	-0.07739372	0.04003585	-0.01119199	0.02399214
	sd_sigma.1.	sd_sigma.2.	lp		
A	0.2113397	-0.11503380	0.07519178		
В	-0.1991642	0.10758398	-0.07739372		
n	0.2404056	-0.12803428	0.04003585		
С	0.2959950	-0.25490191	-0.01119199		
m	-0.2575365	0.21244271	0.02399214		
sd_sigma.1.	1.0000000	-0.13027907	-0.10100763		
sd_sigma.2.	-0.1302791	1.00000000	-0.01832615		
lp	-0.1010076	-0.01832615	1.00000000		

The function cor calculates the correlation coefficient for each pair of columns in the data frame jc\_samples, and it returns a square matrix where each element is the correlation coefficient for each column pair. Since each column in jc\_samples (except for the column for  $lp_{}$ ) is a sequence of MCMC samples for each model parameter, each entry in the matrix represents the correlation between the random distributions of a pair of parameters.

The calculation of the rank correlation matrix is similarly trivial:

```
rcorr_mat_jc <- cor(jc_samples, method = "spearman")
print(rcorr_mat_jc)</pre>
```

The printed rank corrrelation matrix is as follows:

	A	В	n	C	m
А	1.00000000	-0.99935909	0.99420649	0.06798517	-0.06174925
В	-0.99935909	1.00000000	-0.99021729	-0.05803258	0.05000496
n	0.99420649	-0.99021729	1.00000000	0.06811196	-0.06898967
С	0.06798517	-0.05803258	0.06811196	1.00000000	-0.67384094
m	-0.06174925	0.05000496	-0.06898967	-0.67384094	1.00000000
sd_sigma.1.	0.20380761	-0.19250742	0.22506036	0.28823035	-0.25392727
sd_sigma.2.	-0.10558684	0.09837810	-0.11759043	-0.24229028	0.20593518
lp	0.03728332	-0.03789549	0.03514480	-0.01715314	0.02674573
	sd_sigma.1.	sd_sigma.2.	lp		
A	0.20380761	-0.10558684	0.03728332		
В	-0.19250742	0.09837810	-0.03789549		
n	0.22506036	-0.11759043	0.03514480		
С	0.28823035	-0.24229028	-0.01715314		
m	-0.25392727	0.20593518	0.02674573		

Again, the function cor is used. However, when the argument "method = "spearman"" is used, for each element of a column in jc\_samples, it assigns a rank, such that the lowest rank, 1, is assigned to the smallest number in the column, the rank of 2 to the next smallest number in the column, and so on. Each column, then, is associated with a sequence of integer ranks. When the Spearman rank correlation coefficient is applied to a pair of columns, it replaces each column with its corresponding sequence of ranks, and then applies the Pearson correlation coefficient to the sequences of ranks.<sup>1</sup>

Both the correlation matrix corr\_mat\_jc and the rank correlation matrix rcorr\_mat\_jc may be saved to CSV files using the write.csv function.

# 8. Conclusions

This report describes a workflow, based on RStan, lpSolve, and the R scripting language, that has been used to obtain information on strength model parameters in RHA that can be used in uncertainty propagation analyses. This workflow covers several issues:

- testing Bayesian models, which can uncover potential problems such as the need to provide explicit initial values in some cases (e.g., the Zerilli-Armstrong [BCC] model);
- approximating the temperature rise in the samples being deformed in stressstrain experiments, noting how some of the assumptions in the approximations may affect the estimated marginal PDFs of the model parameters;
- keeping track of warning messages and other diagnostics from RStan, noting what to do to address them;
- estimating bounds on model parameters via an approximate IPM approach;
- generating samples of a PPD or PFP, noting how to plot statistics of them in a way that can be used to evaluate the fit of a strength model to experimental data; and

• accounting for *correlations* in the random distributions of model parameters, especially in a form that can be used as input for software tools that do uncertainty propagation, such as Dakota.<sup>32</sup>

It is hoped that this workflow may serve as a source of example code for other ARL researchers who wish to obtain results that facilitate uncertainty quantification.

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Appendix A. Data Tables

These are tables of the data that have been used in Bayesian analyses of strength models of rolled homogeneous armor (RHA). Table A-1 contains values for the specific heat of body-centered cubic (BCC) iron—which is assumed to approximate the specific heat of RHA—as a function of temperature. In this table, the specific heat values are for constant volume, except for values for temperatures above 773 K, where only values for constant pressure are available. The specific heat values are converted from molar heat capacity values from Austin<sup>1</sup> using the molar mass of iron taken from the CRC Handbook,<sup>2</sup> 55.845 g/mol. Tables A-2 through A-10 contain the stress-strain data for RHA that comes from the Material Implementation, Database, and Analysis Source (MIDAS).<sup>3</sup> The original source for these data is Gray et al.,<sup>4</sup> who have obtained high-strain-rate data with a split Hopkinson pressure bar and low-strain-rate data (where the plastic strain rate is no greater than 1/s) with "either an Instron or an MTS testing system". However, the original published data are engineering stress and strain, while in the MIDAS database, it has been corrected to true stress and true plastic strain.<sup>5</sup>

Table A-1 Specific heat of BCC iron versus temperature

Temp. (K)	Spec. heat $(J/kg \cdot K)$								
20	4.123	200	382.356	323	454.329	573	565.287	1023	1154.566
30	11.246	225	400.349	333	457.328	623	583.281	1033	1341.245
40	27.515	250	419.092	343	459.577	673	602.773	1073	877.170
50	53.230	273.1	430.338	353	461.826	723	623.016	1123	812.694
75	134.949	283	436.336	363	464.825	773	647.756	1173	778.957
100	212.920	293	442.334	373	470.823	823	718.230		
125	272.148	298	444.583	423	494.814	873	790.203		
150	322.379	303	447.582	473	519.555	923	871.172		
175	356.866	313	451.330	523	541.296	973	962.638		

<sup>1</sup>Austin JB. Heat capacity of iron: a review. Industrial & Engineering Chemistry. 1932;24(11):1225–1235.

<sup>2</sup>Rumble J, editor. CRC handbook of chemistry and physics. 98th ed. Boca Raton (FL): CRC Press; 2017.

<sup>3</sup>Lawrence Livermore National Laboratory. MIDAS: Material implementation, database, and analysis source. c2018 [accessed 2018 Mar]. https://pls.llnl. gov/people/divisions/physics-division/condensed-matter-sciencesection/eos-and-materials-theory-group/projects/midas-materialimplementation-database-and-analysis-source.

<sup>4</sup>Gray GT III, Chen SR, Wright W, Lopez MF. Constitutive equations for annealed metals under compression at high strain rates and high temperatures. Los Alamos (NM): Los Alamos National Laboratory; 1994 Jan. Report No.: LA-12669-MS.

<sup>5</sup>Florando J. Lawrence Livermore National Laboratory, Livermore, CA. Personal communication, 2017.

Strain	Stress (MPa)								
0.000062	1552.7	0.032648	1764.6	0.067995	1854.4	0.104813	1930	0.143498	1986.7
0.00022	1566.5	0.033594	1765	0.068757	1855.3	0.105444	1931.3	0.144944	1987.5
0.000535	1582.5	0.034303	1768	0.069571	1857.4	0.107179	1930.5	0.145548	1989.3
0.000903	1597.1	0.035066	1770.6	0.070412	1857.9	0.108177	1933.9	0.146337	1989.3
0.001376	1609.2	0.035828	1771.9	0.071385	1859.2	0.109045	1934.4	0.147125	1991
0.001875	1621.7	0.036563	1774.1	0.072199	1862.2	0.109912	1938.7	0.147835	1991.4
0.002453	1631.6	0.037483	1776.2	0.072856	1864.3	0.110779	1940.8	0.149359	1994.5
0.003294	1642.4	0.039034	1779.7	0.07375	1865.2	0.111699	1943	0.150568	1995.8
0.003872	1650.2	0.03977	1781	0.074486	1867.4	0.112881	1944.3	0.151093	1995.8
0.004712	1659.2	0.040427	1782.7	0.075248	1870	0.113565	1945.2	0.151882	1996.6
0.005475	1664	0.041136	1785.3	0.076036	1872.1	0.114669	1946	0.152749	1997.9
0.006184	1669.2	0.041872	1787	0.076956	1875.1	0.115378	1946.9	0.153643	2000.5
0.007209	1676.5	0.042818	1790.1	0.077928	1875.1	0.116456	1948.6	0.15451	2001
0.008076	1681.7	0.043659	1793.5	0.079295	1876.9	0.117402	1949.1	0.155666	2002.3
0.00897	1685.1	0.044605	1794.4	0.080057	1879.5	0.118505	1951.2	0.156428	2003.1
0.009889	1689.4	0.045315	1795.7	0.08074	1881.6	0.119268	1952.1	0.157296	2003.1
0.010835	1693.8	0.046445	1800	0.081529	1882.9	0.120266	1953.4	0.158373	2004
0.011808	1698.5	0.047338	1800.9	0.08237	1884.7	0.121081	1954.2	0.159477	2006.6
0.012675	1702.4	0.048048	1803	0.083316	1887.2	0.122237	1956.8	0.16087	2007.9
0.013437	1705.8	0.048941	1805.6	0.084446	1889.8	0.123341	1958.1	0.162	2010.5
0.014199	1709.7	0.049756	1807.3	0.085287	1891.6	0.124471	1958.6	0.162604	2010.5
0.014961	1713.6	0.050492	1808.6	0.086128	1893.7	0.12526	1960.3	0.163971	2010.5
0.01575	1715.3	0.051569	1810.4	0.0876	1897.2	0.126232	1961.6	0.164838	2011.3
0.01638	1716.2	0.052332	1812.5	0.088335	1898.9	0.127204	1962.5	0.165863	2012.2
0.017064	1717.9	0.053173	1816	0.08936	1900.2	0.128098	1963.8	0.167361	2013.9
0.017852	1722.3	0.05383	1817.7	0.090438	1902.8	0.129202	1965.5	0.168255	2013.5
0.019114	1725.3	0.054618	1820.7	0.090858	1903.7	0.130279	1967.2	0.169306	2015.2
0.020086	1727.4	0.055748	1825	0.091726	1905	0.13091	1968.9	0.170252	2016.5
0.020874	1730.5	0.056799	1825.9	0.092619	1905.8	0.131725	1971.1	0.171067	2018.3
0.021584	1733.5	0.057456	1826.8	0.093513	1906.7	0.132618	1972.4	0.171881	2020.4
0.022504	1736.5	0.05835	1828.5	0.094538	1908.4	0.133538	1974.6	0.172591	2020.4
0.023266	1739.5	0.059086	1831.1	0.095773	1911.9	0.134353	1974.6	0.173564	2020
0.024159	1741.7	0.060137	1833.2	0.097218	1914	0.135167	1975.9	0.174378	2020.9
0.024869	1743.8	0.061057	1835	0.097823	1914.9	0.136035	1975.9	0.175745	2022.2
0.026051	1747.7	0.06195	1836.7	0.098795	1917.9	0.136797	1978	0.176691	2022.2
0.027234	1750.3	0.062712	1838.9	0.09961	1920.1	0.138216	1980.6	0.177479	2023.9
0.027996	1752	0.063842	1841	0.100398	1924.4	0.139083	1981.9	0.178136	2024.3
0.028653	1755.1	0.064815	1844.9	0.101292	1924.8	0.13995	1982.8	0.178583	2024.3
0.029678	1757.2	0.065524	1847.9	0.102054	1925.7	0.140844	1983.2		
0.030466	1759	0.066181	1849.7	0.102816	1926.1	0.141659	1984.9		
0.031229	1762	0.067154	1852.2	0.103657	1926.6	0.142631	1985.8		

Table A-2 Flow stress versus plastic strain of RHA for initial temperature 77 K and plastic strain rate 0.001/s

Strain	Stress (MPa)	Strain	Stress (MPa)	Strain	Stress (MPa)	Strain	Stress (MPa)	Strain	Stress (MPa)
0.019951	1791.9	0.042917	1817.4	0.065427	1787.8	0.088992	1780.1	0.116886	1739.6
0.020109	1794.6	0.043495	1816.8	0.065901	1789.4	0.089491	1778.7	0.117385	1738.7
0.02032	1797.1	0.043915	1816.8	0.066295	1789.4	0.090043	1777	0.117884	1737.8
0.020504	1801	0.044336	1816.9	0.066663	1790.8	0.090673	1775	0.118331	1737
0.020742	1805.7	0.044808	1813.9	0.066873	1791.2	0.091224	1774.4	0.118804	1737
0.021005	1809.9	0.045176	1812.8	0.067188	1790.2	0.091776	1772.6	0.119277	1736.9
0.021242	1813.7	0.045517	1810.5	0.067477	1788.1	0.092301	1769.7	0.119881	1737
0.021505	1817.4	0.045937	1808.5	0.068002	1785.1	0.092957	1768.1	0.120432	1734.1
0.021664	1820.1	0.046436	1806.3	0.06829	1783.8	0.093561	1767.4	0.12101	1731.9
0.021979	1823.8	0.046829	1801.9	0.068605	1782.4	0.094271	1768.1	0.121587	1730.9
0.022426	1824.6	0.047249	1797.4	0.069156	1778.5	0.09477	1769.2	0.122086	1729.2
0.022768	1824.8	0.047747	1792.4	0.069603	1777.3	0.095401	1769.2	0.122428	1728.2
0.023162	1826.6	0.048245	1788.5	0.070049	1774.9	0.0959	1771.1	0.123347	1727.4
0.02353	1826.4	0.048665	1784.9	0.070469	1774.9	0.096347	1772.6	0.123872	1726.4
0.024055	1827.1	0.049085	1780.8	0.070864	1775.8	0.097109	1773.6	0.124608	1725.5
0.024529	1828.3	0.049557	1777.1	0.071232	1776.8	0.097793	1773.9	0.125238	1726.3
0.024949	1828.4	0.050003	1773.8	0.071626	1778.9	0.098475	1772.3	0.125817	1727.2
0.025868	1827.9	0.050476	1772.1	0.0721	1782.1	0.099105	1768.8	0.126237	1728
0.026341	1828.7	0.051053	1769.8	0.072441	1783.1	0.099683	1767.3	0.126736	1728.6
0.026893	1827.7	0.051474	1769.1	0.072993	1785.7	0.100155	1764.9	0.127393	1727.5
0.027629	1828	0.051841	1769.6	0.073309	1788.7	0.100759	1763.1	0.127944	1726.1
0.028207	1827.7	0.052262	1769.3	0.073625	1791.4	0.101022	1762.2	0.128496	1722.9
0.028653	1827.3	0.052709	1771.8	0.073862	1792.5	0.101758	1762.4	0.129046	1718.8
0.029231	1827.1	0.053129	1773.5	0.074282	1793.4	0.102152	1763.3	0.129414	1715.9
0.02973	1826.6	0.053445	1774.9	0.074781	1794.1	0.102651	1764.7	0.129886	1711.3
0.030177	1825.7	0.053787	1777.2	0.075202	1794.5	0.103151	1765.4	0.130647	1707.3
0.030702	1823.9	0.054155	1780.2	0.075911	1794.4	0.103703	1768.3	0.131145	1703.4
0.031174	1821.4	0.05455	1784.4	0.076226	1793.4	0.104019	1770.5	0.131644	1701.4
0.031699	1819.5	0.054919	1788.3	0.077014	1792	0.104308	1771.5	0.132117	1701.3
0.032198	1818.2	0.055129	1789.9	0.077618	1790.5	0.104834	1774.8	0.132432	1700.4
0.032645	1818.6	0.055629	1793.9	0.078091	1790	0.105044	1775.6	0.1328	1699.7
0.03346	1819.2	0.056076	1797.6	0.078511	1789.2	0.105465	1778.4 1779.8	0.133168	1699.9
0.033985 0.034327	1822 1824.3	0.056497 0.056813	1800.9 1803.2	0.079089 0.079483	1788.2 1787.9	0.105964 0.10649	1779.8	0.133509 0.133982	1699.6 1700.3
0.034327	1824.3	0.050813	1805.2	0.079483	1787.9	0.107015	1780	0.133982	1700.3
0.034748	1827.3	0.057207	1808.9	0.080507	1785.6	0.107013	1775.8	0.134570	1701.2
0.035537	1829.8	0.057707	1808.9	0.080507	1784.5	0.107724	1773.2	0.134000	1701.8
0.035905	1833.5	0.058627	1808.9	0.081611	1784.5	0.108564	1771.2	0.1359	1702.2
0.036273	1834.9	0.059047	1807.7	0.082057	1783.9	0.100304	1767.3	0.136504	1697.8
0.036667	1833.7	0.059388	1806.2	0.082556	1783.4	0.109482	1764.9	0.137081	1692.7
0.037087	1832.5	0.059755	1803.5	0.08295	1783.5	0.109928	1760.2	0.137553	1687.3
0.03756	1830.8	0.06028	1800.5	0.083633	1783.1	0.110295	1756.4	0.137841	1681.6
0.038137	1827.2	0.060727	1798.5	0.084264	1782.7	0.110846	1751.9	0.138102	1675.8
0.03861	1824.6	0.061304	1794.1	0.084711	1783.5	0.111476	1748.1	0.138548	1669.8
0.039161	1822.3	0.06175	1791.3	0.08521	1783	0.112342	1746.2	0.139072	1662.8
0.039686	1818.9	0.062406	1787.6	0.085551	1783.5	0.112972	1743.5	0.139386	1658.2
0.040185	1817.9	0.063063	1786	0.086287	1784.2	0.11397	1741.7	0.139911	1652.8
0.040632	1817.5	0.06343	1786.2	0.086839	1783.2	0.114364	1741.4	0.140409	1648.5
0.041236	1818.1	0.063851	1787	0.087285	1783.2	0.115126	1741.2		
0.041682	1816.8	0.064403	1786.4	0.087889	1782.1	0.115573	1740.4		
0.042208	1816.3	0.065007	1786.9	0.088441	1781.1	0.116387	1739.7		

Table A-3 Flow stress versus plastic strain of RHA for initial temperature 77 K and plastic strain rate 2500/s

Strain	Stress (MPa)								
0.000028	1064.6	0.031693	1298.4	0.068066	1346	0.103861	1362.6	0.1416	1374.4
0.000291	1081	0.032377	1299.3	0.069038	1346	0.104307	1362.6	0.142573	1375.7
0.000527	1094.4	0.033612	1302.3	0.069774	1346.9	0.105017	1362.2	0.144018	1375.7
0.000816	1107.3	0.034321	1304.1	0.070379	1346.5	0.105621	1361.7	0.144649	1375.3
0.001105	1120.7	0.035084	1305.8	0.071062	1346.9	0.1062	1361.7	0.145542	1375.3
0.001525	1135.3	0.03603	1307.1	0.071955	1347.3	0.106936	1362.2	0.14641	1374.4
0.001946	1140.9	0.036713	1309.3	0.072639	1346.9	0.107592	1362.2	0.147172	1376.6
0.00276	1152.2	0.037317	1310.1	0.073401	1348.2	0.108696	1362.6	0.148039	1376.6
0.003338	1159.5	0.038027	1311.8	0.073953	1348.2	0.109406	1363	0.148959	1376.6
0.00389	1166.4	0.03871	1312.3	0.074715	1347.4	0.110299	1363.9	0.149984	1378.3
0.004415	1173.3	0.039472	1313.1	0.075477	1348.7	0.110983	1365.6	0.150799	1379.2
0.005072	1180.2	0.040208	1314.4	0.076265	1350.4	0.111587	1365.2	0.151377	1378.7
0.005729	1185.8	0.041207	1317.9	0.077606	1350.4	0.112323	1364.8	0.153032	1377.4
0.00636	1192.3	0.041864	1317.5	0.078421	1350.4	0.113033	1364.8	0.154189	1376.2
0.007148	1197.4	0.042784	1319.2	0.079104	1350	0.113663	1365.2	0.154846	1377
0.007858	1203.1	0.04352	1321.4	0.079629	1350.8	0.114452	1365.6	0.155582	1377.5
0.008462	1206.5	0.044203	1320.9	0.080418	1351.3	0.115661	1364.4	0.156554	1377.5
0.009014	1210.8	0.044755	1320.9	0.081233	1351.7	0.116791	1365.7	0.157264	1378.3
0.009592	1215.6	0.045543	1323.1	0.081679	1352.6	0.117658	1365.2	0.158236	1379.2
0.010197	1218.2	0.046594	1325.7	0.082415	1352.6	0.118447	1365.2	0.159103	1378.8
0.010749	1220.7	0.047173	1326.1	0.082993	1353.4	0.119288	1365.7	0.159734	1379.6
0.011353	1225.9	0.048014	1326.5	0.083598	1353	0.119997	1366.5	0.160654	1378.8
0.011957	1228.9	0.048986	1328.3	0.084202	1354.3	0.120733	1368.3	0.161732	1378.3
0.012641	1232	0.049879	1329.1	0.085122	1354.7	0.121653	1368.7	0.162625	1378.4
0.013376	1236.3	0.050747	1330.9	0.086095	1354.7	0.122573	1367	0.163335	1378.4
0.014664	1241	0.05164	1332.2	0.086699	1355.6	0.124255	1367.4	0.164255	1380.1
0.015426	1246.6	0.052297	1331.7	0.08754	1356	0.124938	1366.5	0.165201	1381.4
0.016346	1249.7	0.052954	1332.2	0.088145	1356.5	0.125937	1365.3	0.165936	1381.8
0.016977	1252.3	0.053717	1331.7	0.088959	1356.9	0.126489	1365.7	0.166593	1381.8
0.017581	1254.8	0.054531	1332.6	0.089721	1358.6	0.127119	1366.1	0.167303	1380.5
0.018317	1258.3	0.055293	1333	0.090352	1359.1	0.127855	1366.1	0.169169	1381
0.019158	1260.9	0.056187	1334.8	0.091167	1358.2	0.12867	1367.9	0.169958	1381
0.019841	1263.9	0.056713	1334.3	0.091666	1359.1	0.129038	1367.9	0.170825	1381.4
0.02063	1265.6	0.057527	1335.6	0.092849	1358.6	0.129406	1368.3	0.171587	1381
0.021365	1269.1	0.058684	1337.4	0.09348	1357.4	0.130352	1369.2	0.172375	1381.4
0.022338	1271.2	0.05963	1339.1	0.094531	1358.7	0.131035	1369.6	0.173348	1382.3
0.023179	1274.3	0.060392	1338.7	0.095319	1356.9	0.132165	1370.5	0.17453	1382.3
0.023941	1277.3	0.061233	1339.1	0.096187	1359.5	0.133138	1370.9	0.175582	1381.4
0.025018	1281.2	0.061916	1340	0.097317	1359.1	0.134793	1371.8	0.176607	1383.2
0.025938	1283.8	0.062442	1340	0.098552	1359.5	0.135687	1371.3	0.177421	1383.2
0.026726	1285.5	0.063178	1340.4	0.099656	1360	0.136922	1372.2	0.178262	1384
0.027567	1287.2	0.063913	1341.3	0.100628	1360	0.1375	1373.5	0.179182	1384.5
0.028697	1291.5	0.064754	1341.7	0.10097	1360	0.138446	1373.5	0.180023	1384.5
0.029696	1293.3	0.065517	1341.7	0.101732	1360.4	0.139314	1373.5		
0.030511	1295.4	0.066226	1342.6	0.102468	1360.8	0.140155	1374.4		
0.031089	1297.6	0.066857	1342.2	0.103177	1362.1	0.140786	1374.8		

Table A-4 Flow stress versus plastic strain of RHA for initial temperature 298 K and plastic strain rate 0.001/s

Strain	Stress (MPa)								
0.000212	1068.9	0.028986	1310.9	0.067382	1363.7	0.104517	1381.6	0.14499	1398.1
0.000475	1087.9	0.029801	1310.9	0.06825	1364.1	0.105017	1382	0.145489	1397.7
0.000606	1099.5	0.030458	1312.7	0.069196	1365	0.106173	1383.7	0.146698	1397.7
0.000842	1116.4	0.031036	1315.3	0.070037	1366.3	0.106856	1383.7	0.147723	1398.1
0.000973	1133.2	0.031824	1316.6	0.07093	1368.5	0.107592	1383.7	0.148275	1398.6
0.001315	1149.1	0.032955	1319.2	0.071771	1368.5	0.108617	1384.2	0.148827	1399
0.001604	1159.9	0.033769	1320	0.072744	1369.3	0.109905	1384.6	0.149642	1400.3
0.001945	1171.6	0.034453	1320.9	0.073795	1370.2	0.111035	1385.5	0.150351	1398.6
0.002339	1178.9	0.035819	1324.3	0.074741	1370.2	0.111692	1384.2	0.151587	1399
0.002681	1185.4	0.036397	1324.3	0.07574	1371.1	0.112481	1385	0.152769	1398.1
0.003259	1193.1	0.036897	1326.1	0.076502	1372.4	0.113348	1385.9	0.153715	1398.1
0.0036	1195.7	0.038184	1330.4	0.077605	1373.7	0.114268	1386.8	0.154609	1399
0.004415	1206.5	0.039183	1333.4	0.078499	1373.2	0.115082	1387.2	0.155135	1399
0.005151	1211.2	0.039787	1333.8	0.079445	1373.7	0.116055	1388.1	0.155897	1399.5
0.005703	1216.8	0.040523	1335.1	0.080286	1373.3	0.116817	1387.6	0.156344	1400.3
0.006281	1221.2	0.0421	1336.9	0.08118	1374.1	0.117842	1388.5	0.157447	1399.9
0.006754	1224.6	0.043046	1337.7	0.082205	1375.8	0.118551	1387.2	0.158499	1401.2
0.007411	1230.2	0.043624	1339	0.083177	1374.6	0.119471	1387.2	0.158919	1400.8
0.00791	1234.1	0.044571	1340.3	0.084176	1374.6	0.120391	1386.4	0.159313	1400.3
0.008567	1238.4	0.04599	1341.2	0.085017	1375.4	0.121127	1385.5	0.160916	1400.3
0.009119	1243.2	0.046515	1340.8	0.0857	1376.3	0.121863	1387.2	0.16181	1401.2
0.009671	1246.2	0.047251	1342.5	0.086462	1376.3	0.122861	1386.8	0.162414	1401.6
0.010222	1250.1	0.047856	1342.5	0.087145	1376.7	0.124018	1386.4	0.163623	1402.9
0.011431	1254.8	0.048539	1344.7	0.087934	1376.7	0.124649	1388.5	0.164517	1402.5
0.012299	1257.8	0.049722	1345.5	0.088775	1377.2	0.125411	1388.5	0.165595	1402.5
0.012982	1261.3	0.050352	1346.8	0.089642	1376.7	0.126698	1390.7	0.166383	1402.9
0.013691	1263.4	0.051272	1349.4	0.090142	1377.2	0.127671	1389.8	0.167355	1403.4
0.014322	1265.6	0.051903	1349.4	0.091061	1378.5	0.128459	1390.7	0.168223	1404.2
0.01511	1266.9	0.052639	1351.1	0.091902	1379.3	0.129484	1391.6	0.169195	1403.8
0.01603	1270.8	0.053427	1350.7	0.092796	1378.5	0.130772	1391.6	0.169931	1403.8
0.016766	1273.8	0.054216	1352.9	0.093689	1378.9	0.131193	1391.6	0.17093	1403.8
0.017528	1277.7	0.055162	1352.4	0.094636	1378.5	0.132112	1392.4	0.172007	1404.3
0.018763	1282	0.056029	1355.5	0.095214	1378.9	0.132848	1392.9	0.172795	1405.1
0.019815	1285	0.056686	1356.8	0.095897	1378.5	0.134451	1393.8	0.173558	1404.3
0.020393	1286.8	0.057947	1357.6	0.096659	1378.9	0.135292	1393.3	0.174372	1404.7
0.021391	1287.6	0.058736	1359.4	0.097264	1380.2	0.136659	1395.1	0.175318	1405.1
0.022311	1291.9	0.059656	1359.4	0.098026	1380.2	0.13771	1394.2	0.175897	1405.6
0.023231	1296.3	0.060549	1360.2	0.099209	1382	0.13863	1394.6	0.17679	1406.4
0.023967	1298.8	0.061679	1359.8	0.100102	1379.4	0.13955	1395.1	0.177657	1406
0.024703	1298.9	0.062573	1360.7	0.100864	1380.2	0.140259	1395.5	0.178446	1406.4
0.025859	1302.3	0.063519	1359.8	0.101889	1381.1	0.141153	1395.9	0.179155	1406.9
0.026595	1304.5	0.064307	1362.8	0.102599	1382	0.142204	1397.2	0.179786	1406.9
0.027672	1306.2	0.065332	1363.7	0.103282	1381.1	0.142809	1396.8	0.180233	1408.2
0.028277	1310.1	0.066278	1362.8	0.103887	1380.7	0.144149	1397.7		

Table A-5 Flow stress versus plastic strain of RHA for initial temperature 298 K and plastic strain rate 0.1/s

Strain	Stress (MPa)								
0.028009	1290.9	0.057762	1379.4	0.093368	1429.5	0.123083	1441.7	0.158363	1430.6
0.02843	1295	0.058157	1380.5	0.09371	1429.8	0.123398	1442.7	0.158626	1433.9
0.028772	1298.3	0.05863	1381.4	0.094235	1431	0.123793	1444.4	0.158916	1437.9
0.029141	1302.1	0.059024	1382.1	0.094787	1432.6	0.124187	1445.8	0.1591	1439.5
0.02943	1305.9	0.059418	1383.6	0.095339	1434.1	0.125448	1444.6	0.159521	1443.7
0.029694	1309.3 1310.4	0.059944	1386.1 1389	0.096023	1436.3	0.125868	1445.9 1442.9	0.159916 0.160232	1446.8
0.030088 0.030535	1310.4	0.060759 0.061573	1389	0.096469 0.097389	1436.4 1438.6	0.127365 0.127733	1442.9 1441.6	0.160232	1448.5 1451.2
0.030333	1309.5	0.062204	1389.4	0.097389	1438.7	0.128337	1439.2	0.161441	1452.3
0.03148	1307.7	0.063386	1390.1	0.098676	1437.8	0.129098	1436.2	0.161887	1451.2
0.0319	1307.2	0.063885	1389.8	0.099307	1437.3	0.129702	1435.3	0.162517	1448.1
0.03232	1306.6	0.064279	1390.5	0.099753	1435.1	0.130227	1433	0.163147	1444.2
0.032662	1306.1	0.064936	1390.4	0.100278	1432.7	0.130962	1430.8	0.163567	1440.7
0.033318	1306.9	0.06554	1390.7	0.100803	1429.2	0.131382	1429	0.164039	1436.3
0.033765	1306.7	0.066355	1390.8	0.101721	1420.8	0.131986	1427.4	0.164327	1432.5
0.034264	1308.2	0.067143	1391.3	0.10193	1418.6	0.132459	1426.3	0.164825	1428.9
0.034659	1308.9	0.067695	1390.9	0.10235	1414.8	0.133693	1421.5	0.165324	1425.6
0.035079	1310	0.068457	1391.4	0.102874	1409.4	0.134796	1422.5	0.165822	1422.3
0.035447	1311.2	0.069087	1391.7	0.10332	1404	0.135716	1425.3	0.166295	1421.9
0.035868 0.036577	1312.3 1313.8	0.069639 0.070375	1392.5 1393.9	0.103845 0.104396	1399.8 1398.6	0.136505 0.136926	1428.3 1430.7	0.16682 0.167346	1420.8 1419.7
0.030377	1313.8	0.0709	1395.2	0.104390	1401.2	0.130920	1430.7	0.168055	1419.7
0.037864	1313.7	0.0703	1396.1	0.105027	1402.8	0.137557	1436.2	0.16858	1420.1
0.038469	1314.6	0.072083	1397.5	0.105764	1406.7	0.138004	1439.3	0.168948	1420.3
0.039126	1315.8	0.07274	1398.6	0.106264	1413	0.138504	1443.1	0.1695	1420.9
0.039625	1315.9	0.07337	1398.9	0.10658	1416.9	0.138689	1444.7	0.17021	1422.1
0.04015	1316.4	0.074054	1400.3	0.106896	1421.8	0.138925	1447	0.170709	1423.2
0.040728	1316.9	0.074527	1400.4	0.107476	1430.7	0.139372	1449.8	0.171549	1422
0.041096	1318.5	0.075105	1402	0.107634	1432.8	0.139767	1451.4	0.171917	1422.5
0.0422	1321.6	0.075736	1403.9	0.107818	1435.7	0.140293	1454	0.172364	1421.9
0.04249	1323.7	0.076156	1404.1	0.108003	1438.9	0.14116	1457.2	0.172915	1418.9
0.042937	1325.5	0.076603	1405.4	0.108292	1442.4	0.142184	1453.8	0.173308	1416.1
0.043331 0.043778	1328.3 1331.4	0.077102 0.077628	1407.1 1408	0.108845 0.109108	1447.4 1450.9	0.142815 0.143287	1452.9 1449.2	0.173728 0.174306	1413 1410.7
0.043778	1331.4	0.077028	1408	0.109108	1456.6	0.143287	1449.2	0.174300	1410.7
0.044646	1335.2	0.078811	1410.7	0.110029	1459.6	0.14500	1443.5	0.175172	1409.7
0.045145	1337.8	0.079047	1411.9	0.110371	1460.6	0.144651	1439.7	0.175698	1411.2
0.045593	1341.4	0.079416	1415	0.111028	1462.7	0.145229	1437.7	0.175909	1412.3
0.046223	1343.8	0.079705	1417.4	0.111527	1460.8	0.145649	1435.9	0.176356	1415.3
0.046539	1346.3	0.080152	1420.7	0.11221	1459.4	0.146174	1433.7	0.17654	1418
0.046934	1349	0.080547	1422.9	0.112656	1455.6	0.146646	1431.8	0.176777	1420.5
0.047407	1351.2	0.080994	1424.7	0.113128	1450.7	0.147093	1431.6	0.177041	1427
0.047985	1354.2	0.081493	1427.5	0.113521	1446.2	0.148353	1429	0.177226	1431.8
0.048275	1357.1	0.081861	1429	0.114019	1439.3	0.149246	1426.2	0.177411	1435.9
0.048722	1359.7	0.082361	1430.7	0.114465	1435.2	0.150244	1425.1	0.177753	1441.6
0.049011 0.04951	1361.8 1362.3	0.082939 0.083938	1432.2 1433.9	0.114937 0.115435	1430.6 1425.6	0.150822 0.151321	1423.5 1421.6	0.178043 0.178307	1445.5 1449.5
0.04931	1362.8	0.083938	1433.9	0.115455	1423.0	0.151321	1421.0	0.178518	1449.3
0.050088	1365.2	0.085303	1432.3	0.115881	1417.4	0.152025	1420.1	0.178597	1454.8
0.050877	1366.2	0.085934	1432.6	0.117298	1412.5	0.153263	1414	0.178887	1459.1
0.051403	1369.6	0.086669	1430.7	0.118348	1412.1	0.153867	1411.6	0.179308	1465.7
0.052191	1369.7	0.087352	1430.5	0.118769	1413	0.154392	1410.5	0.179624	1468.5
0.052822	1370.4	0.087851	1430.5	0.119426	1415.6	0.154838	1408	0.180255	1470.4
0.053374	1372.1	0.088508	1429.2	0.119768	1418.1	0.155574	1408.8	0.180676	1472
0.053847	1372.7	0.089007	1428.8	0.120031	1419.7	0.155784	1409.6	0.18107	1472.1
0.054477	1372.6	0.090031	1428.1	0.120558	1424.7	0.15631	1411.4	0.1817	1469.7
0.054977	1373.5	0.090793	1427.8	0.121057	1427.4	0.156494	1412.6	0.182277	1466.3
0.055423	1374.5	0.09145	1427.6	0.121426	1430.3	0.157125	1415.8	0.182775	1460.5
0.055975	1375.8	0.091739	1428.4	0.121899	1433.4	0.157467	1418.6	0.183116	1456.8
0.056737 0.057263	1376 1377.3	0.092265 0.092921	1428.8 1428.6	0.122346 0.122846	1436.6 1440.5	0.157783 0.157941	1421.8 1423.8	0.183351 0.183586	1448.8 1443.4
0.057203	13/7.3	0.092921	1428.0	0.122840	1440.3	0.15/941	1423.8	0.100000	1443.4

Table A-6 Flow stress versus plastic strain of RHA for initial temperature 298 K and plastic strain rate 3500/s

Strain Stress Strain Stress Strain Stress Strain Stress Strain Stress (MPa) (MPa) (MPa) (MPa) (MPa) 0.03648 1359 0.058189 1417.2 0.08481 1462.9 0.116208 1484.7 0.154932 1485 0.036769 1360.7 0.058662 1417.8 0.08531 1465.7 0.116418 1485.5 0.155746 1483 0.037032 1364.1 0.058925 1416.6 0.085862 1468.5 0.117022 1485.7 0.156297 1479.6 0.037427 1366.5 0.059424 1416.2 0.086177 1470.4 0.117601 1486 0.157164 1477.6 0.037821 1369.3 0.059975 1415.4 0.086546 1472.6 0.118179 1487.8 0.157689 1477.5 0.038216 1372.5 0.060474 1414.7 0.087072 1476.6 0.119362 1491.8 0.158293 1477.7 0.03869 1377.2 0.061079 1414.2 0.087466 1479.1 0.119913 1491.3 0.159003 1477.4 0.039111 0.159397 1380.4 1413.2 1480.9 0.120334 1491.8 1477.1 0.061499 0.087861 0.039532 1383.9 0.061945 1412.6 0.088124 1482.9 0.120991 1492.4 0.159764 1477.7 1493.1 0.039874 1387.2 0.062313 0.088623 1484.9 0.121516 0.16029 1479.1 1412.4 0.040268 1390.4 0.063154 1411.6 0.089359 1488.5 0.121989 1493.5 0.160869 1481.5 0.040715 1393.9 0.06381 1412.7 0.0902 1490.4 0.122646 1494.4 0.161184 1482.2 0.041136 1396.6 0.064284 1414 0.090779 1491.9 0.123356 1494.5 0.16171 1483.9 0.0414 1400.4 0.065046 1415.8 0.091567 1492.7 0.124643 1494.4 0.162183 1485.4 0.041715 1404.1 0.091882 1491.8 0.125588 1492.6 0.06544 1417.2 0.162788 1487.8 0.042005 1492.9 1407.4 0.065808 1419.5 0.092565 1491.5 0.126376 0.163602 1489.2 0.042347 0.126954 1411.1 0.06615 1420.7 0.093011 1489.3 1492.4 0.164181 1490.5 0.042742 1413 0.066518 1421.7 0.093458 1487.8 0.127453 1491.3 0.164943 1491.2 0.043084 1415.4 0.066807 1422.5 0.093983 1485.8 0.128267 1490.4 0.166178 1493.7 0.043636 1420.4 1425 0.094586 1483.7 0.128767 1490.8 0.166414 1492.2 0.067307 0.044031 0.068043 0.095059 1482.6 0.129213 1489.7 0.167228 1424 1428 1491.3 0.044768 1432 0.068437 1429.9 0.095374 1480.2 0.129844 1489.9 0.16778 1489.8 0.045215 1434.6 0.0687 1431.1 0.095794 1477.5 0.13079 1490.7 0.168699 1490.2 0.045557 1437.9 0.069147 1432.9 0.096476 1474.9 0.13121 1491.6 0.169198 1488.3 0.045899 1440.3 0.069462 1434 0.097054 1472.1 0.13234 1493.3 0.169933 1486.1 0.046267 1441.4 0.069857 1435.9 0.097868 1468.5 0.133812 1494.8 0.170458 1484.2 1494.7 0.046766 1443.9 0.070199 1437 0.098419 1466.9 0.1346 0.171246 1480.4 0.047134 1444.2 0.070593 1438 0.098682 1466.1 0.135204 1493.4 0.171824 1479.6 0.047554 1443.2 0.071066 1439.3 0.09918 1463.4 0.135651 1494.3 0.172296 1477 0.048105 1439.9 0.071749 1440.7 0.099758 1462.5 0.136202 1493.8 0.172847 1474.4 0.048499 1437.2 0.072143 1440.9 0.100467 1462.2 0.136727 1493.4 0.173451 1471.3 0.048761 1432.7 0.072853 1441.9 0.100887 1462 0.137253 1493 0.174028 1468.6 0.049207 1428.3 0.073457 1441.4 0.101387 1460.9 0.137831 1493.6 0.174632 1466.9 0.049548 0.139013 1493.6 0.175183 1424.5 0.074271 1440.2 0.101859 1461.3 1464.7 0.049888 1420.3 0.074718 1439.5 0.102622 1462.1 0.139407 1493.8 0.175866 1464.4 0.075742 0.176864 0.050203 1416.6 1438 0.103383 1461.8 0.140432 1493.4 1462.1 0.050544 1492.2 1412.4 0.076267 1437.2 0.104119 1463.8 0.141193 0.177521 1461.9 0.050832 0.076687 0.104513 1464 0.141771 1490.6 0.177915 1460.9 1408.2 1436.6 1465.7 0.142349 0.178466 0.051173 1403.6 0.077265 1436.2 0.105722 1490.4 1459.4 0.142848 0.051671 1398.8 0.078027 1435.8 0.10609 1465.9 1491.5 0.178887 1459.1 0.052091 1397.4 0.078579 1435.4 0.106537 1466 0.143505 1490.5 0.179464 1458.6 0.052537 1395.9 1466.4 0.144372 1489.6 0.180147 0.078841 1435.4 0.107299 1457.2 0.053089 1394.3 0.079314 1435.1 0.107588 1466.7 0.145423 1490.6 0.18104 1453.4 0.053457 1394.6 0.079761 1436.5 0.108113 1467.5 0.146158 1490.6 0.181512 1450.8 0.053904 1490.3 1396.4 0.080024 1437.3 0.108691 1468.6 0.146605 0.182115 1446.3 0.054193 1398.9 0.080313 1437.7 0.1099 1471 0.147735 1491.5 0.182798 1442.8 0.054798 1401.3 0.080707 1438.7 0.1104 1473 0.148444 1492.3 0.183349 1439.5 0.055166 1404 0.080996 1440.3 0.111451 1474.9 0.149101 1493.2 0.183899 1433.6 1475.8 0.055482 1406 0.081549 1444.6 0.112371 0.149758 1492.2 0.184476 1428.8 0.055823 1408.4 0.082154 1446.5 0.11287 1477 0.150415 1492.3 0.184975 1424.8 1449.9 1492.6 0.185578 0.056244 1410.2 0.082863 0.113527 1477.5 0.151439 1420.3 0.056665 1411.7 0.083153 1451.8 0.114 1479.1 0.151912 1491.5 0.057059 1413.6 0.083495 1453.7 0.114526 1480.7 0.152779 1489.4 1487.4 0.057453 1415 0.083968 1458.2 0.11513 1482 1 0.153619 0.057795 1416.6 0.084494 1460.6 0.115525 1483 0.15417 1486.2

 Table A-7 Flow stress versus plastic strain of RHA for initial temperature 298 K and plastic strain rate 7000/s

Strain	Stress (MPa)	Strain	Stress (MPa)	Strain	Stress (MPa)	Strain	Stress (MPa)	Strain	Stress (MPa)
0.030984	1177.8	0.05687	1235.8	0.087142	1287	0.118271	1280.2	0.148874	1270.6
0.031406	1185.6	0.057501	1237.4	0.087667	1286.7	0.118928	1280.9	0.149452	1271.4
0.031722	1192	0.058105	1240.3	0.088193	1286.4	0.119769	1281.7	0.149873	1272.3
0.032065	1200.8	0.05871	1244.5	0.088718	1285.3	0.120347	1282.4	0.150503	1272.6
0.03246	1205.3	0.058974	1247	0.089243	1285	0.120846	1281.8	0.150871	1274
0.032829	1209	0.0595	1251.6	0.089742	1281.6	0.121424	1281.8	0.151581	1276
0.033407	1211.7	0.059895	1256	0.091055	1278.7	0.122028	1282.5	0.151897	1276.7
0.033986	1214.7	0.060421	1259	0.092158	1277.2	0.122501	1283.1	0.152265	1277.7
0.034328	1217.6	0.060841	1262.1	0.092579	1279	0.123132	1284.5	0.152843	1280.3
0.034774	1219.6	0.061367	1265.1	0.093472	1281.8	0.1235	1285.2	0.153737	1283.2
0.0353	1221.8	0.062392	1265.8	0.094051	1283.8	0.124315	1287.5	0.154736	1286
0.035852	1224.1	0.06276	1266.9	0.09476	1285.6	0.124788	1289.2	0.155708	1287.3
0.036509	1226.2	0.063102	1267.3	0.09497	1286.1	0.124867	1289.1	0.155997	1287.7
0.037088	1227.5	0.063889	1266.3	0.095707	1289.5	0.125366	1290.7	0.156444	1287.8
0.037744	1228.8	0.064625	1265.3	0.096259	1290.7	0.126206	1284.6	0.156785	1287.7
0.038165	1229.9	0.065097	1263.5	0.096653	1292.1	0.126888	1281.5	0.157573	1286.7
0.038717	1230.9	0.065754	1262.2	0.097047	1292.4	0.127492	1278.4	0.158203	1284.4
0.039479	1233.6	0.066279	1261.5	0.097546	1291	0.128043	1277.3	0.158833	1281.7
0.040031	1233.4	0.066647	1260.2	0.098203	1290.9	0.128831	1275.5	0.159463	1279.8
0.04053	1234.8	0.067251	1260.2	0.098597	1291.5	0.129356	1274.8	0.159988	1276.5
0.04103	1237.5	0.067671	1259.7	0.099306	1289.4	0.129645	1274.8	0.16046	1272.9
0.041529	1238.9	0.068302	1261.3	0.100172	1288.2	0.130355	1275.7	0.160854	1270.9
0.042081	1240.7	0.068722	1262.4	0.101196	1286.1	0.130749	1276	0.161457	1266.4
0.042686	1243.3	0.069143	1264.3	0.102405	1285.7	0.131143	1276.2	0.162034	1263.8
0.043264	1245	0.069643	1267.2	0.10293	1285.8	0.131458	1277.3	0.162612	1261.3
0.043764	1248.1	0.070563	1269.7	0.103298	1285.3	0.132247	1281.2	0.163085	1260.3
0.044316	1250.7	0.070799	1271	0.103902	1285.2	0.132589	1282.3	0.163767	1259.4
0.044999	1252.7	0.071641	1274.7	0.104375	1284.2	0.133062	1285.8	0.16424	1260.2
0.045525	1254.9	0.072114	1277.7	0.104821	1284	0.133404	1288	0.165134	1261.6
0.046103	1256.2	0.072482	1278.8	0.105373	1284.1	0.133851	1289.3	0.166081	1267.4
0.046734	1257.5	0.073113	1281.6	0.10582	1283.9	0.134456	1291.2	0.166712	1270.6
0.047076	1259.1	0.073586	1283.4	0.106266	1284.8	0.13527	1290.1	0.16758	1274.2
0.047523	1261.8	0.073928	1283.8	0.106897	1286.7	0.135953	1290.3	0.167921	1276
0.047943	1263.8	0.074821	1285.8	0.107187	1287.9	0.137004	1289.7	0.16829	1278.1
0.048364	1265.3	0.075767	1287	0.10766	1291.2	0.137686	1285.4	0.169131	1283.2
0.048837	1267.1	0.076686	1284.9	0.107923	1292.8	0.138421	1284	0.169446	1283.1
0.049284	1268.2	0.077737	1283.9	0.108528	1295.5	0.139209	1283	0.170103	1284.1
0.049809	1269.6	0.078341	1282.7	0.109867	1292.1	0.13976	1280.8	0.170629	1283.4
0.050283	1271.8	0.078787	1280.5	0.110261	1290.4	0.140469	1279.8	0.171548	1280.3
0.050598	1272.7	0.079575	1279.5	0.110812	1288.1	0.140942	1278.1	0.172545	1277.9
0.051071	1272.4	0.080284	1277.8	0.111337	1285.1	0.14152	1276.9	0.172992	1276.6
0.051622	1270.5	0.080993	1276.4	0.112072	1283	0.141888	1277.2	0.173516	1273
0.052147	1266.9	0.08165	1275.2	0.112518	1282	0.14257	1275.9	0.174199	1269.9
0.05275	1260.4	0.082044	1275.1	0.113227	1279.1	0.14328	1275.7	0.175354	1264.4
0.053301	1257.2	0.082858	1275.2	0.113936	1279.4	0.143726	1275.2	0.17601	1260.3
0.053878	1251.9	0.083515	1276.3	0.114436	1279.9	0.144304	1274	0.176901	1253.3
0.05435	1246.4 1240.1	0.084172	1277.4	0.114882	1280.8	0.145013	1272.3	0.177478	1246.1
0.054795 0.055215		0.084671	1280 1285.1	0.115539	1280.7	0.146116	1272.4 1271.8	0.178002	1239.2
0.055215	1236.7 1234.8	0.085592 0.086433	1285.1 1286.4	0.11588 0.116695	1279.9 1280.3	0.146878 0.147535	1271.8	0.178657 0.179181	1232.2 1224
0.05574 0.056344	1234.8	0.086433	1286.4 1287	0.116695	1280.3	0.14/555	1271.3	0.179101	1224
0.050544	1234.0	0.000033	1207	0.11/43/	1219.3	0.140139	12/0.9		

Table A-8 Flow stress versus plastic strain of RHA for initial temperature 473 K and plastic strain rate 3000/s
Strain	Stress (MPa)								
0.020894	1007.3	0.045945	1103.7	0.077839	1123.5	0.117924	1113.9	0.155884	1111.3
0.021262	1009.8	0.046575	1102.6	0.07868	1124.1	0.118791	1112.9	0.156435	1112.2
0.021499	1013	0.047126	1100.3	0.079547	1126.3	0.119579	1110.1	0.156961	1112.7
0.021736	1016.6	0.047625	1099.3	0.079757	1126	0.120261	1108.5	0.157512	1112.1
0.022052	1020.5	0.048124	1098.8	0.080755	1123.7	0.120944	1107	0.158064	1111.4
0.022395	1025.2	0.048571	1099.5	0.081596	1122.7	0.121496	1107.9	0.158616	1111.9
0.02271	1028.2	0.049176	1102.1	0.082541	1120.4	0.1221	1108.3	0.159535	1110.9
0.023078	1030.8	0.049754	1102.3	0.082961	1120.3	0.122915	1109.7	0.160506	1108.3
0.02342 0.023788	1032.1 1033	0.050463	1103.1 1105.4	0.083355 0.084012	1118.3	0.123572 0.123888	1110.5 1112.8	0.160717	1108.4 1109.3
0.023788	1035	0.050963 0.051752	1103.4	0.084012	1118.3 1116.6	0.123888	1112.8	0.16119 0.161716	1109.3
0.024234	1028.1	0.051752	1111.5	0.085824	1121	0.124282	1114.0	0.162137	1112.4
0.024012	1023.8	0.052619	1113.3	0.087427	1119.5	0.12557	1120.4	0.162953	1125
0.025258	1025.3	0.052015	1114.2	0.08811	1121.9	0.126517	1120.4	0.163295	1123
0.026333	1017.1	0.053618	1117.2	0.088925	1125.3	0.120017	1125.9	0.163716	1120.2
0.026857	1007.3	0.054038	1118.7	0.089293	1125.7	0.128014	1126.3	0.164163	1136.1
0.027461	1002.5	0.054853	1119.8	0.089635	1126.4	0.128881	1126.8	0.164873	1139.6
0.027933	1000.7	0.05551	1120.3	0.090134	1128.2	0.129564	1123	0.165713	1135.3
0.02838	1002.8	0.056166	1119.1	0.09087	1130.1	0.130115	1119.3	0.166369	1130.2
0.028748	1004.9	0.056797	1118	0.091475	1132.2	0.130771	1116.7	0.166815	1125.2
0.029116	1008.3	0.057506	1115.9	0.092132	1135.8	0.131453	1113.6	0.167313	1119.9
0.029485	1013.8	0.058136	1113.6	0.092894	1135.7	0.132136	1112.4	0.167574	1111.9
0.029933	1018.8	0.058713	1110.9	0.093997	1133.2	0.132582	1111.2	0.168229	1102.4
0.030117	1023.3	0.059107	1107.9	0.094968	1128.5	0.133108	1111.7	0.168648	1096.8
0.030328	1028.5	0.059657	1103.6	0.095466	1125.6	0.133712	1113.3	0.169146	1091.4
0.030592	1033.7	0.060104	1101.9	0.096149	1121.2	0.134212	1115.7	0.169828	1087.7
0.030829	1038.9	0.060944	1098.9	0.096778	1117	0.134554	1117.6	0.170484	1086.3
0.03104	1042	0.061363	1095.6	0.097618	1114.4	0.135027	1120.5	0.171089	1088.9
0.031356	1047.5	0.062046	1092.8	0.098301	1113.6	0.135816	1121.8	0.171563	1092.7
0.031724	1051.3	0.062781	1091.5 1089.3	0.098958	1115.6	0.136525	1124.4 1126.9	0.172168	1099.7
0.03204 0.032382	1054.8 1059	0.063333 0.063779	1089.3	0.099589 0.100326	1118.8 1121.9	0.137314 0.137865	1126.9	0.172617 0.172775	1108.3 1111.8
0.032382	1059	0.064173	1088.3	0.100320	1121.9	0.137805	1122.4	0.172775	1111.8
0.033093	1064.1	0.064856	1088.9	0.100820	1120.0	0.139545	11122.4	0.173333	1134.6
0.033644	1064.7	0.065408	1089.4	0.101852	1136.1	0.140227	1111.1	0.174383	1138.3
0.034275	1065.7	0.065671	1090.7	0.102561	1136.5	0.141171	1105.1	0.175146	1146.1
0.034853	1066.3	0.066302	1094	0.103192	1138.5	0.141828	1103.9	0.17533	1145.9
0.035405	1067.8	0.066538	1095	0.104085	1139	0.142458	1103.6	0.176144	1144.6
0.035904	1068.1	0.066801	1096.7	0.10482	1135.8	0.142879	1105	0.176878	1133.9
0.036482	1070.8	0.067327	1099.3	0.105608	1133.3	0.143668	1109	0.177244	1125.9
0.037139	1071.7	0.067774	1101.7	0.106106	1130.7	0.14422	1112.1	0.177558	1119.7
0.037691	1072.8	0.068142	1102.9	0.106788	1124.1	0.144957	1118.7	0.178108	1110.7
0.038033	1074.5	0.068484	1103.7	0.107418	1117.9	0.145352	1122.8	0.178553	1103.3
0.038533	1077.7	0.068825	1104.1	0.108205	1112.4	0.145799	1126	0.179156	1097.9
0.03898	1080.9	0.069903	1106.5	0.108861	1110.8	0.14643	1130.5	0.179733	1094
0.039401	1084.2	0.070848	1105.4	0.109491	1109.7	0.146956	1132.9	0.180206	1093.9
0.0399	1087	0.07203	1104.9	0.110148	1109.7	0.147612	1129.9	0.180758	1095.8
0.040216	1089.9	0.072556	1103.9	0.11091	1110.4	0.148584	1128.4	0.1811	1097.5
0.040742	1093.5	0.073107	1103.6	0.111436	1112.1	0.149266	1122.7	0.181495	1101.8
0.041163	1096.8	0.073449	1103.6	0.11183	1113.6	0.149764	1118.4	0.18189	1107.1
0.041504	1097.6	0.074079	1104.5	0.112698	1117.6 1119.3	0.150367	1114	0.182232	1113.2
0.041846 0.042293	1099.7 1101.7	0.074657	1105.9	0.113276		0.150945	1110.3	0.182522	1117.2
0.042293	1101.7	0.074999 0.075394	1107.9 1109.5	0.113959 0.114406	1121.2 1121.8	0.151863 0.152414	1105.6 1102.3	0.182917 0.183154	1122.9 1123.7
0.042661	1103	0.075394	1109.5	0.114406	1121.8	0.152414 0.153124	1102.3	0.183134 0.183521	1123.7
0.043161	1104.5 1106	0.075815	1112.0	0.115030	1121.1	0.153124	1103	0.185521	1122.2
0.04300	1100	0.07684	1110.4 1117	0.115798	1120.2	0.153912	1104.5	0.184123	1118.5
0.044212	1107	0.07084	1120.1	0.116244	1119.7	0.154228	1100.2	0.184023	110.8
0.04542	1107.5	0.077497	1120.1	0.117531	1117.5	0.15541	1110.4	0.101997	1100.0

Table A-9 Flow stress versus plastic strain of RHA for initial temperature 673 K and plastic strain rate 3000/s

Strain	Stress (MPa)								
0.024813	728.8	0.048262	834.8	0.08079	880.2	0.116274	859.3	0.151119	925.7
0.025024	734.6	0.048892	833.8	0.081551	879.5	0.116852	861.1	0.151592	926.4
0.025314	737.7	0.049286	833.9	0.08205	876.3	0.117694	864.2	0.152643	928.7
0.025577	740.5	0.04989	833.8	0.082496	874.7	0.118299	869	0.153405	927.3
0.025761	744.6	0.050573	833.2	0.083179	870.9	0.118746	872.5	0.154376	926.3
0.026051	749	0.051151	831.4	0.084071	866.1	0.119325	876.2	0.155112	924.5
0.026341	753.8	0.051597	831	0.084938	865.7	0.120219	881.5	0.155978	922.6
0.026604	757.4	0.052595	830.2	0.085831	864.9	0.120587	885	0.156503	921.7
0.02692	760.5	0.053121	830.1	0.086566	866.2	0.121455	892.6	0.157291	920.5
0.02742	764.3	0.053594	830.1	0.087328	867.5	0.121876	895.3	0.157685	920.2
0.027709	768.3	0.05425	830.8	0.08788	868.2	0.122323	898.7	0.158499	917.9
0.027946	771.9	0.054828	830.7	0.088958	871.6	0.122876	904	0.159103	917.3
0.028262	775	0.055196	831.6	0.089693	873.3	0.123192	906.4	0.159734	917.5
0.028499	777.8	0.055853	832.3	0.09014	873.2	0.123902	913	0.160496	919.3
0.028893	780.8	0.056431	833.4	0.090692	874.7	0.124823	919	0.161021	918.8
0.029235	782.7	0.056852	834.7	0.09148	877.2	0.125664	919.7	0.161941	920.5
0.029577	782.8	0.057351	836.3	0.092085	879.8	0.126189	919.9	0.162545	920.3
0.030023	781.6	0.057693	836.8	0.0929	880.6	0.127003	916.9	0.163438	921.7
0.030443	779.1	0.058611	833.5	0.093425	882.1	0.127895	914.9	0.163911	922.3
0.030968	778.3	0.059294	831.7	0.094108	881.9	0.128762	912.5	0.164568	922.8
0.031651	775.6	0.059819	829.6	0.09466	882.9	0.129497	908.8	0.16533	923.2
0.032123	773.1	0.060213	828	0.095475	885.3	0.130442	906.7	0.16596	923.6
0.032543	771.1	0.061237	825.5	0.095895	885.7	0.131125	905.7	0.166512	923.1
0.033147	769.8	0.062234	823.4	0.096394	887.2	0.131597	905.3	0.167011	922.6
0.033541	769.5	0.062944	825.3	0.096946	888.3	0.132307	905	0.16772	922
0.033987	769.9	0.06368	827.9	0.097577	890.1	0.132727	906.1	0.168245	921.5
0.034461	773.7	0.063995	828.8	0.098312	888.2	0.133515	906.9	0.169033	920
0.034777	776.8	0.064548	833.2	0.098916	885.3	0.133909	906.1	0.169663	918.6
0.035119	780.7	0.064969	836.1	0.099598	882.3	0.134698	909.2	0.170189	918.5
0.035409	785.9	0.065416	840.2	0.100044	878.7	0.135434	910.4	0.170609	917.7
0.035751	792.3	0.06589	845.4	0.100621	875.5	0.135933	910.6	0.17116	917.9
0.036093	796.2	0.066442	850.2	0.101356	870.9	0.136432	912.4	0.172369	919.3
0.036383	799.7	0.066942	855.4	0.10196	867.2	0.137195	915.9	0.173341	918.4
0.036962	806.8	0.067152	856.5	0.102721	864.2	0.137799	916	0.173604	918.5
0.037225	809.9	0.067757	860	0.103482	861.5	0.138351	916.3	0.174418	918.8
0.03762	813.3	0.068335	860.6	0.104165	860.9	0.139402	920.5	0.175048	918.6
0.037909	816	0.06915	864.8	0.104875	864.2	0.139848	919	0.175652	917.6
0.038225	818.6	0.070359	868.9	0.1054	864.5	0.140321	918.9	0.17623	916
0.038488	821.3	0.071279	868.1	0.106005	868.6	0.141215	923.7	0.17686	915.8
0.038883	824.3	0.071725	867.3	0.106689	875.4	0.142108	920.2	0.177754	917.4
0.039303	826.4	0.072277	868	0.107058	877.8	0.142843	919.1	0.178253	918.1
0.03975	827.7	0.073144	868	0.107215	878	0.143394	917.8	0.179015	920.2
0.040276	828.5	0.073538	867.6	0.107847	882.9	0.144051	918	0.179646	922.2
0.040906	829.6	0.073984	868.4	0.108635	882.1	0.144813	918.7	0.180198	923.8
0.041642	830.2	0.074615	868.7	0.10937	880.7	0.145443	918.5	0.18075	925.8
0.04222	830.6	0.074851	869.3	0.109895	878.7	0.146153	918.2	0.181223	927.2
0.042798	831.3	0.075771	871.2	0.110787	876.3	0.146547	917.4	0.181985	929.3
0.04356	831.9	0.076244	872.4	0.11147	872.9	0.14744	917.7	0.182589	928
0.044269	832.9	0.076796	873.1	0.112336	867.9	0.147912	916.9	0.183352	930.1
0.045162	833	0.077532	874.8	0.11307	863.7	0.148649	919.5	0.184402	930.6
0.045793	833.7	0.078215	876.2	0.113701	862.8	0.149358	921.5		
0.046265	832.8	0.079082	877.8	0.114252	860	0.149726	923		
0.046659	833.7	0.079345	878.8	0.115066	858.1	0.149936	923.5		
0.047343	834.4	0.079949	880.2	0.11588	857.7	0.150567	924.8		

Table A-10 Flow stress versus plastic strain of RHA for initial temperature 873 K and plastic strain rate 3500/s

Appendix B. Brief Introduction to R

R is the name of a software suite used for statistics and data analysis, as well as the name of the scripting language that underlies that suite.<sup>1</sup> A few of its key features are discussed.

#### **B.1** Basic Syntax

The basic arithmetic operators used in R are typical of most scripting and programming languages, so "+" and "-" are of course used for addition and subtraction, and "\*" and "/" are used for multiplication and division. The exponentiation operator is "^". The default precedence of these arithmetic operations also follows the conventions typical in both mathematical notation and other programming languages, that is, exponentiation is done before multiplication and division, which are in turn done before addition and subtraction. Parentheses are used for grouping operations together.

Identifiers in R, such as variables and function names, consist of a combination of letters, numbers, underscores ("\_"), and—unlike most programming languages— also *periods* ("."). Identifiers, though, cannot start with a number or underscore, nor can they be certain keywords in R, such as if, while, and so on.

Numbers in R are represented mostly straightforwardly, such that, for example, 2.3 represents the number 2.3, and either 1 or 1.0 represents the number 1. Scientific notation is represented such that 1.23456e7 means  $1.23456\times10^7$ . Complex numbers can be represented as well; the complex number 1.3 + 2.1i is expressed simply as 1.3 + 2.1i. (However, the imaginary unit *i* is represented as 11, not 1. The latter is just a variable name.) Whereas some other programming languages would treat 1 or 1.0 differently, with the former being treated as an integer and the latter as a floating-point number,<sup>2</sup> both are treated as floating-point numbers in R. If one must indicate an integer literal specifically, one can use the suffix L (e.g., 1L for the integer 1); this is seldom needed, though.

Other literal quantities in R are character strings and Boolean values. Character strings in R are delimited by either single or double quotes. For example, either 'a

<sup>&</sup>lt;sup>1</sup>R Foundation. R: The R project for statistical computing. c2018 [accessed 2018 May]. https://www.r-project.org/.

<sup>&</sup>lt;sup>2</sup>In many programming languages, real numbers are represented approximately via floatingpoint numbers; see Goldberg D. What every computer scientist should know about floating-point arithmetic. ACM Comput. Surv. 1991;23(1):5–48.

string' or "a string" represents a string consisting of the characters "a", a space, "s", "t", "r", "i", "n", and "g". Certain sequences in strings that begin with a backslash ("\") are interpreted specially. In particular, "\n" indicates a newline, and "\\" indicates a literal backslash. There are two Boolean values, TRUE or FALSE, which are keywords in R. R also predefines two variables T and F, which by default have the values TRUE and FALSE, respectively. However, unlike the keywords TRUE and FALSE, variables T and F can be set to other values, though doing so may cause confusion in practice.

R has a few other special characters and character sequences. One of these is the character "#", which indicates the start of comment text. Everything from this character to the end of the line is ignored by the R language interpreter. Another is the operator "<-", which is used to assign values to variables, much in the same way that other programming languages use "=". The following are examples of assignment statements, with comments indicating what the assignment statement is doing:

x <- 1</th># Assigning 1 to the variable xy <- 2e5</td># Assigning 200000 to the variable yz <- 6.3e-2</td># Assigning 0.063 to the variable z

In general, statements in R are terminated at the end of a line, *provided that they are complete statements*. For example,

a <- x + y + z

is a complete statement on a single line that adds the variables x, y, and z together and assigns the resulting sum to a, while

a <- x + y +

is not complete. However, the R interpreter does not treat the previous statement as an error. Rather it looks to subsequent lines to try to complete the statement. Accordingly, the following R code is correct:

a <- x + y + z

The following is also correct:

a **<**x + y + z Relational and logical operators are usually used with the control structures discussed in Section B.7. The relational operators "<", ">", "<=", ">=", "==", and "!=" mean what they do in most programming languages, so for example, the expression "x < y" tests if x is less than y, "x  $\geq y$ " tests if x is greater than or equal to y, "x == y" tests if x equals y, and "x != y" tests if x is not equal to y. The logical operator "!" indicates negation, so that !TRUE is FALSE and vice versa. The logical operators "&" and "& &" both represent a Boolean "and" operation (i.e., x & y is TRUE only if both x and y are TRUE), but they are not entirely synonymous. Unlike the "&" operator, "& &" short-circuits; if its first operand evaluates to FALSE, the second operand is never evaluated. Short-circuiting, though, does not work with vectors or arrays (data structures discussed in more detail in Sections B.5.1 and B.5.3). The logical operators "|" and "||", which both represent a Boolean "or" operation (i.e., x + y is FALSE only if both x and y are FALSE), work similarly. The operator "||" short-circuits (only evaluating its second operand if its first operand is FALSE), and "|" is to be used with vectors and arrays.

# **B.2 Format Strings with sprintf**

Format strings are a kind of template. They contain placeholders that begin with "%" and end with a character, such as "%s", which can be substituted by other expressions. An example format string would be "Real part of z =%g, imaginary part of z =%g". To use a format string, one uses the sprintf function, as shown in the following example code:

cat (out\_string)

The function sprintf creates the string "Real part of z = 2e+06, imaginary part of  $z = 3.2 \ln$ ." This string is then assigned to the variable out\_string. and the function cat prints out\_string. The string "%g" is a placeholder for a real number that may cause the number to be displayed in scientific notation (using the "1.23e4" syntax discussed in Section B.1) if it is large enough. Other placeholders include "%d", for integers, and "%s", for strings. The function sprintf replaces the doubled percent sign ("%%") in a format string with a literal "%".

# **B.3** Function Definition and Invocation

In R, functions take arguments, perform some sequence of operations with those arguments, and return a value. In some case, such as with functions that plot graphs or write to files, the return value is immaterial and usually ignored, but is technically always there. R has several built-in functions, and users can define their own functions as well. A somewhat contrived example of this is shown:

This creates a function named qd\_formula, which implements the quadratic formula  $x = (-b \pm \sqrt{b^2 - 4ac})/2a$ . The built-in R function sqrt implements the square root. The function as.complex ensures that the value of its argument is treated as a complex number, so that sqrt returns an imaginary value if  $b^2 - 4 \star a \star c$  is negative. The variable x is set to a vector whose two elements are the two possible values of the quadratic formula. (More about vectors and the function c that creates them is discussed in Section B.5.) Finally, the value of x is returned by the function. Strictly speaking, a return statement is not needed. The function could have been written as

```
qd_formula <- function(a, b = 0, c = 0) {
    sqrt_b2_minus_4ac <- sqrt(as.complex(b^2 - 4*a*c))
    two_a <- 2*a
    c((-b - sqrt_b2_minus_4ac)/two_a,
        (-b + sqrt_b2_minus_4ac)/two_a)
}</pre>
```

and the last line of the function would have caused the same values to be returned. However, for functions that consist of more than a single statement, a return statement is usually more readable.

This function can be invoked several different ways. For example, it could be invoked simply as  $qd_formula(1, 2, 2)$ , which returns the complex values  $-1\pm i$ . It could also be invoked as  $qd_formula(a = 1, b = 2, c = 2)$  or even

qd\_formula (b = 2, c = 2, a = 1), and the same result would be obtained. These latter ways of invoking the function involve so-called *keyword arguments*. This function also has default values for arguments b and c, so that arguments that are not explicitly passed are assigned these values. For example, qd\_formula (1, 2) means the same thing as qd\_formula (1, 2, 0), and qd\_formula (1, c = 2) means the same thing as qd\_formula (1, 0, c = 2) or qd\_formula (1, 0, 2). While this example is contrived, the use of keyword and default arguments is not. Several built-in R functions have a large number of arguments, and to make that large number of arguments that need to be supplied explicitly are then usually supplied by keyword for the sake of readability.

# B.4 Cross-platform File Path Functions

R runs on several platforms, including Windows, MacOS, and Linux. In general, various platforms have different ways of specifying paths to files. For example, on Windows, typically a path to a file is specified as path\to\file, while on MacOS and Linux, it is specified as path/to/file. Now, technically, on Windows, path/to/file works as well, at least for use in R. However, to ensure portability to any platform, it is best to specify the file path in R as file.path("path", "to", "file").

There are a few other R functions that pertain to file paths. One of them is getwd, which takes no arguments and returns the current working directory as an absolute path. Any relative paths used in R are interpreted with respect to this directory, so that, for example, the path file.path("path", "to", "file") is taken to be within this directory. Two other functions are basename and dirname. The first function returns the final component of a path, so basename ("path/to/file") returns "file". The other function returns the directory that contains the final component of the path, so dirname("path/to/file") returns "path/to". These functions can be used, for example, to obtain the parent of the current working directory as follows:

parent\_dir <- dirname(getwd())</pre>

# **B.5** Data Structures

In practical cases, variables in R often refer to more than just ordinary numbers, and are often used to store sometimes complicated data structures. Some of the common data structures are discussed in this section.

# **B.5.1 Vectors**

The simplest data structure in R is a vector, which is a sequence of values. For example, a vector named x, with the elements 130.7, 4,  $3 \times 10^2$ , 7.1,  $2.4 \times 10^3$ , and  $1.2 \times 10^{-5}$ , may be created as follows:

x <- c(130.7, 4, 3e2, 7.1, 2.4e3, 1.2e-5)

One can loosely describe c(x1, x2, ...) as a notation for expressing a vector that has the elements x1, x2, and so on. However, technically what is happening here is that the function c is returning a value that is a concatenation of all its arguments, where the type of value it returns depends on the type of its arguments. In the previous R code, the arguments of c are actually vectors—since in R, numbers are just numeric vectors with only one element—so what the function c returns is another vector. In the following code,

y <- c(x, c(5, 4))

the function c creates a new vector (assigned to y) whose elements are 130.7, 4,  $3 \times 10^2$ , 7.1,  $2.4 \times 10^3$ ,  $1.2 \times 10^{-5}$ , 5, and 4, where all but the last two elements are the same as the elements of x.

To access parts of a vector, one may use the "[...]" operator. For example, x[3] is the third element of x,  $3 \times 10^2$ . Here, the value of 3 between the "[" and "]" is called an *index*. The expression x[2:4] is a vector containing the second through the fourth elements, that is, 4,  $3 \times 10^2$ , and 7.1. Vectors can be accessed by more than just numeric indices. For example, if one executes the following R statement,

```
names(x) <- c("one", "two", "fish", "A", "B", "C")</pre>
```

then one can use x ["fish"] access the third element of x. The previous R statement also showcases an instance where the elements of a vector are character strings rather than numbers. In general, the only restriction on the types of elements in a vector is that they be all of the same type. Also, if one creates the following vector of Boolean values

logical\_inds <- c(TRUE, TRUE, FALSE, FALSE, FALSE, TRUE)</pre>

then  $x[logical_inds]$  is a vector consisting of the first, second, and last elements x, that is, the elements of x that correspond to the elements of logical\_inds that are TRUE. This is called logical indexing. Typically, logical indices are created with relational and/or logical operators. For example, if logical\_inds2 is defined such that

logical\_inds2 <- (x > 100) & (x < 1000)

then logical\_inds2 is the vector c(TRUE, FALSE, TRUE, FALSE, FALSE, FALSE), and  $x[logical_inds2]$  returns a vector of the elements of x that are greater than 100 and less than 1000. When defining logical indices, the short-circuiting logical operators ("&&" and "||") tend to produce wrong results. For example, if the operator "&&" were used instead of "&" in the previous R code, then logical\_inds2 would just be the value TRUE, and  $x[logical_inds2]$  would return *all* the elements of x, which is unlikely to be what one intended.

Arithmetic operations done on vectors typically operate elementwise, so for example, c(a, b) \* c(d, e) is the same as c(a\*d, b\*e). They also work in cases where one operand is a vector and the other operand is an ordinary number, so that, for example c(a,b) + d is c(a+d, b+d), and  $c(a,b)^{d}$  is  $c(a^{d}, b^{d})$ . These arithmetic operators even return values in cases where the vector operands are of different sizes, although the results may not be mathematically useful. The logical operators "&" and "|" also operate elementwise on vectors. Functions that are built into R often operate elementwise on vectors as well. For example, log(c(a,b)) is equivalent to c(log(a), log(b)).

There are a few functions that are used to get properties of vectors. One of them, the names, has been shown before. In the use shown previously, one adds labels to a vector x by assigning to names (x). However, in the reverse case, where

names\_x <- names(x)

the function names is used to retrieve the labels of x and store them (as a vector of strings) in the variable names\_x. Another function, and one far more commonly

used, is length, which returns the number of elements in a vector.

There are also functions and expressions that can be used to create certain kinds of vectors. For example, in the expression  $seq(x_start, x_end, length.out = 100)$ , the function seq creates a vector with 100 elements, where the first element is x\_start, the last element is x\_end, and the rest of the elements are evenly spaced between those two values, that is, the difference between successive elements is  $(x_end - x_start)/(100 - 1)$ . The expression seq(1, 10, 2) creates a sequence of integers that starts at 1 and continues in increments of 2 until it reaches the largest possible number that is no greater than 10 (i.e., the odd numbers 1, 3, 5, 7, and 9). The expression i\_start:i\_end, where i\_start and i\_end are integer values, is the sequence of integers from i\_start through i\_end. For example, 2:5 is the sequence 2, 3, 4, and 5. Such an expression is often used in the for loops described in Section B.7.

# B.5.2 Lists

Whereas the elements of vectors must all be of the same type, the elements of lists can be different types of objects. A simple example of this is

simple\_list <- list("XXX", c(3.2, 7.1, 9), 42i)</pre>

where the elements are, of course, the string "XXX", the vector c(3.2, 7.1, 9), and the imaginary number 42i. The elements of lists can be named as well, as in the following example:

my\_list <- list(x = "XXX", y = c(3.2, 7.1, 9), z = 42i)</pre>

The components of this list can be accessed by numeric index or name. The first element my\_list [[1]] (i.e., ""XXX"") can also be accessed as my\_list [["x"]]. Here, double brackets rather than single brackets are used instead. This is because single brackets can result in unexpected results when used with lists. For example, if one were to execute the following statement,

my\_list[1] <- c(3,4,5)</pre>

this would produce the warning message "number of items to replace is not a multiple of replacement length" and replace the first element of my\_list with 3, *not* the vector c(3, 4, 5). In contrast,

my\_list[[1]] <- c(3,4,5)</pre>

would do the intended operation of assigning the first element of  $my_list$  to the intended replacement value of c(3, 4, 5).

One can use assignment to add elements to a list. For example,

```
new_list <- list()
new_list[[1]] <- 5
new_list[[2]] <- "trout"</pre>
```

creates a list with the elements 5 and "trout".

Logical indexing can also be applied to lists. For example, my\_list[c(FALSE, TRUE, TRUE)], where my\_list is as previously defined, returns a list containing the second and third elements of my\_list. Double brackets do *not* work with logical indices, however; single brackets must be used.

The function c also works on lists as well as vectors. As mentioned before, this function returns a value that is a concatenation of all its arguments, with the type of value it returns depending on the type of its arguments. If the arguments are lists, then the return value is a list. For example, given the following R statements,

```
list1 <- list(x = 42, y = c(1,3,2))
list2 <- list(abc = 123)</pre>
```

the expression c(list1, list2) is a list equivalent to the expression list (x = 42, y = c(1, 3, 2), abc = 123).

There are a few functions that are used to get properties of lists. The function names is used to retrieve the labels of a list. For example, names (my\_list), where my\_list is as previously defined, returns c("x", "y", "z"). The function length returns the number of elements in a list. Other functions are used to invoke other functions on the elements of lists. For example, lapply (my\_list, length) applies the function length to the elements of my\_list, returning the list list (x = 1, y = 3, z = 1), where the labels are the same as those of my\_list, and the values associated with those labels are the lengths of the corresponding components of my\_list. The function sapply (my\_list, length) returns a vector rather than a list. The elements of this vector, of course, are still the lengths of the corresponding components of my\_list.

#### **B.5.3** Arrays

An array in R may be considered a generalization of a vector in some sense. Whereas a vector contains a sequence of values, an array contains an *n*-dimensional grid of values. The values in an array must all have the same type. A 2-D array that represents a  $2 \times 3$  grid of values may be constructed as follows:

```
A \leftarrow array(c(1,2,3,4,5,6), dim = c(2,3))
```

The second argument of the array function, dim, indicates the dimensions of this array, which is  $2 \times 3$ . The first argument indicates the values stored in the array, which may be visually represented as the following table of values:

Accessing elements of an array is similar to accessing elements of a vector. For example, A[1,2] is the element in the first row and second column in the previous arrangement of numbers (i.e., 3). The expression A[2,2:3] indicates the second and third elements of the second row (i.e., 4 and 6). Also, A[2,] is the whole second row (i.e., 2, 4, and 6), and A[,3] is the third column (i.e., 5 and 6). Like vectors, array elements may be accessed via string labels. For example, if one executes the following R statement,

dimnames(A) <- list(c("one", "two"), c("A", "B", "C"))</pre>

then the rows and columns of the above table of values are labeled as follows:

Accordingly, A["one", "B"] is the same as A[1,2], and A[, "C"] is the same as A[,3].

Logical indexing applies to arrays as well. For example, A[c(TRUE, FALSE), c(TRUE, TRUE, FALSE)] returns the first and second elements of the first row (1 and 3). This is because the logical index vector for the rows, c(TRUE, FALSE), marks the first row as TRUE, while the logical index vector for the columns, c(TRUE, TRUE, FALSE), has the first and second columns marked as TRUE.

Again, usually logical indexing is used with relational and/or logical operators. For example, the expression A[1, A[1, ] > 2] returns the elements from the first row that are greater than 2.

Arithmetic operators also work with arrays mostly as they do with vectors. For example, if A1 and A2 both have dimensions  $n_1 \times n_2 \times n_3$ , then A1 \*A2 is such that its elements are A1 [1, 1, 1] \*A2 [1, 1, 1], A1 [1, 1, 2] \*A2 [1, 1, 2], and so on. If b is an ordinary number, then A1^b is such that its elements are A1 [1, 1, 1]^b, A1 [1, 1, 2]^b, and so on. The logical operators "&" and "|" also operate elementwise on arrays. Functions that are built into R often operate elementwise on arrays as well, just as they do on vectors.

There are a few functions that are used to get properties of arrays. One of them, the dimnames, has been shown before. In the use shown previously, one adds labels to an array A by assigning to dimnames (A). However, in the reverse case, where

dimnames\_A <- dimnames(A)

the function dimnames is used to retrieve the labels of A and store them in the variable dimnames\_A. The function dim obtains the size and shape of an array. For example dim(A), where A is defined as shown previously, returns c(2, 3). For 2-D arrays (which in R are also called matrices), the functions nrow and ncol return the number of rows and columns, respectively, and the functions rownames and colnames return the labels of rows and columns, respectively, as vectors of character strings.

# B.5.4 Data Frames

Data frames are table-like data structures. The data in a given column of a data frame must be of the same type, but different columns may have different types of data. Often, data frames are created by reading in external data. For example, given a CSV file named my\_data.csv with the following contents,

AA, BB, Test
1.8, 2, 44.5
3.1, 2, 32.1
0.5, 1, 55.3
0.4, 6, 66.3

a data frame may be created as follows:

df <- read.table("my\_data.csv", sep = ",", header = TRUE)</pre>

Here, the argument "sep = ", "" indicates that a comma should be taken as the separator between two elements of a row, and "header = TRUE" indicates that the first line of the file represents column headers. Equivalently, the data frame may be read in the following way:

df <- read.csv("my\_data.csv")</pre>

The elements in a data frame can be accessed using the same indexing methods used for 2-D arrays shown in Section B.5.3. Columns of a data frame can also be accessed much like the named elements of a list. For example, df [["AA"]] is a vector with the components 1.8, 3.1, 0.5, and 0.4.

There are a few functions that are used to get properties of data frames, and many of these are effectively the same as those used on 2-D arrays, such as dimnames, dim, nrow, ncol, rownames, and colnames. For data frames—but *not* arrays—the function names does the same thing as colnames.

# **B.6** Plotting Basics

The capabilities and limitations of R's plotting functionality may be shown with some simple examples. Suppose, for instance, that one wished to plot the following variables:

x <- 1:10 x\_sq <- x^2

This may be done simply with the following R code:

```
pdf(file = file.path("plot_files", "plot_example1.pdf"),
    title = "Example plot 1", width = 3.0, height = 3.0,
    pointsize = 10)
plot(x, x_sq, xlab = "x", ylab = expression(x^2),
    type = "l", lty = 1, col = "blue")
dev.off()
```

The function pdf opens the ".pdf" file to which the plot is to be written. The file argument specifies the name of the file. (In the previous example, the directory plot\_files is assumed to already exist.) The title argument specifies the title string that is embedded in the resulting ".pdf" file. While this string is mostly

arbitrary, it is often shown in the titlebar of the window showing the ".pdf" file. The width and height arguments set the width and height of the plot to 3 inches, and the pointsize argument sets the default font size of the text in the plot to 10 points. In the call to the plot function, the xlab and ylab arguments indicate the labels for the *x*- and *y*-axes, and ylab is set to an R expression rather than a string in order to allow the y-axis label to have a superscript. The argument "type = "l"" indicates that a line rather than points are plotted; the argument "lty = 1" indicates that the line is solid (as opposed to dashed or dotted), and the argument "col = "blue"" indicates that the line is colored blue. Finally dev.off() closes the ".pdf" file. The resulting plot is shown in Fig. B-1a.

Suppose one wishes to plot the following variable as well:

```
two_x_sq <- 2*x_sq
```

One might first attempt to plot  $two_x_sq$  and the previous variables x and  $x_sq$  on the same graph as follows:

```
pdf(file = file.path("plot_files", "plot_example2.pdf"),
    title = "Example plot 2", width = 3.0, height = 3.0,
    pointsize = 10)
plot(x, x_sq, xlab = "x", ylab = expression(paste(x^2, ", ", 2*x^2)),
    type = "l", lty = 1, col = "blue")
lines(x, two_x_sq, lty = 2, col = "red")
dev.off()
```

Here, the function lines is used to add an additional curve to the plot. The argument "lty = 2" indicates that this curve is plotted as a dashed line, and "col = "red" indicates that the color of this curve is red. In the plot function, the ylab argument has become more complicated, with the paste function used to present the expressions  $x^2$  and  $2*x^2$  side-by-side, separated by a comma.

The resulting plot, shown in Fig. B-1b, has a couple problems. First, it is not clear which line belongs to which variable. Second, not all of the second line is plotted. The limits of the *y*-axis are based only on the range of  $x_sq$ , and are *not* readjusted when new curves are added to the plot. To fix the first problem, a legend is added in the following example. To fix the second problem, the limits of the *y*-axis are explicitly supplied:





(c)

Fig. B-1 Example plots used to illustrate the plotting features of R

```
pdf(file = file.path("plot_files", "plot_example3.pdf"),
    title = "Example plot 3", width = 3.0, height = 3.0,
    pointsize = 10)
line_types <- 1:2
line_colors <- c("blue", "red")
plot(x, x_sq,
    xlab = "x", ylab = "y", type = "l",
    lty = line_types[1], col = line_colors[1],
    ylim = range(c(x_sq, two_x_sq)))
lines(x, two_x_sq, lty = line_types[2], col = line_colors[2])
legend("topleft",
    legend = c(expression(x^2), expression(2*x^2)),
    lty = line_types, col = line_colors)
dev.off()
```

There are a couple things to note about the previous code, which results in the plot shown in Fig. B-1c. First, the limits for the y-axis are set with the ylim argument of the plot function. These limits are found via the function range, which returns a vector containing the minimum and maximum elements of its argument. Here, this argument is simply the concatenation of  $x_sq$  and  $two_x_sq$ . Second, the legend function *has* to have the line types and colors explicitly supplied to it, unlike the corresponding functions in MATLAB<sup>3</sup> and Matplotlib.<sup>4</sup>

# **B.7** Control Structures

Control structures allow for more complicated logic to be used in R scripts. A few of these structures are shown in this section.

# B.7.1 Branching: if and else

The if/else structure is as follows:

```
if (condition) {
    # Statements executed if condition is TRUE
} else if (other_condition) {
    # Statements executed if other_condition is TRUE
} else {
    # Statements executed if none of the conditions are true
}
```

<sup>&</sup>lt;sup>3</sup>MathWorks, Inc. c2018 [accessed 2018 May]. https://www.mathworks.com/ products/matlab.html.

<sup>&</sup>lt;sup>4</sup>Matplotlib development team. c2018 [accessed 2018 May]. https://matplotlib.org/.

Here, the comments substitute for statements that would be used in an if/else structure in practice. The variables condition and other\_condition stand in for expressions that may evaluate to either TRUE or FALSE, such as, for example,  $x \le x_{threshold}$ . Both the "else if (...) {...}" and "else {...}" clauses are optional. However, if either clause is used, then the closing brace "}" *must* precede the keyword else, or else R produces the error message "unexpected 'else' in "else"".

# B.7.2 Iteration: while and for

The while loop is as follows:

```
while (condition) {
    # Statements executed so long as condition is TRUE
}
```

Here, the comment substitutes for statements that would be used in a practical while loop, and the variable condition stands in for an expression that may evaluate to either TRUE or FALSE, such as err > threshold. The statements in the brackets after while(...) are iterated (i.e., repeatedly executed) until condition becomes FALSE, so these statements should include some statement that would alter condition, or else the while loop iterates forever (or more realistically, until someone kills or interrupts the R session). A trivial example of a terminating while loop (i.e., one that stops iterating eventually) is as follows:

```
x <- 10
while (x > 0) {
    x <- x - 1
}</pre>
```

Since the body of the while loop keeps decrementing x by 1, the loop condition x > 0 eventually becomes false. One may also force a while loop to stop iterating after a fixed number of iterations as follows:

```
threshold <- 1e-6
err <- 2*threshold
i <- 0
while (err > threshold) {
    estimate_and_err <- do_estimate(A,B,C)
    err <- estimate_and_err[2]
    i <- i + 1
    if (i > 1000) {
        break
    }
}
```

}

The break statement causes the loop to terminate once i exceeds 1000, even if the condition err > threshold has not yet been reached. This might be done, for example, in case the (made up) function do\_estimate does not successfully reduce err as much as it should.

Alternatively, the logic of the above while loop can be rewritten with a for loop:

```
threshold <- 1e-6
for (i in 1:1000) {
    estimate_and_err <- do_estimate(A,B,C)
    err <- estimate_and_err[2]
    if (err <= threshold) {
        break
    }
}</pre>
```

A for loop is largely intended to execute a fixed number of iterations. Provided that err always exceeds threshold, this loop iterates 1000 times. Of course, here the break statement can cause the for loop to terminate before 1000 iterations have completed, provided that the condition err <= threshold is reached. Also, at each iteration of the loop, the loop variable i takes on a different value, 1 for the first iteration, 2 for the second, and so on, until i reaches the value of 1000. Since the value of i is not used in the body of this particular loop, the change in its value does not appear to matter, but in a loop such as the following,

```
for (i in 1:length(vec1)) {
    vec2[i] <- do_something(vec1[i])
}</pre>
```

the loop variable i is used to access successive values of the vectors vec1 and vec2.

A for loop does not necessarily have to involve an expression such as 1:1000 or 1:length(vec1). Indeed, as indicated in Section B.5.1, 1:1000 is simply a vector consisting of the integers from 1 to 1000. Rather, one may iterate over any vector. For example, the following R code,

```
list_abD <- list(a = 2, b = 5, D = 7)
for (curr_name in names(list_abD)) {</pre>
```

```
print (curr_name)
}
```

simply prints out the labels of the elements of list\_abD, that is, "a", "b", and "D".

#### **B.8 External Sources and Packages**

Suppose that one has written an R script with a set of functions that one would like to use in another script. The simplest solution, aside from just copying and pasting those functions into the next script, is to cut and paste those functions into a new file called, for example, my-functions.R, and then in both the old and new scripts, use the following statement,

```
source("my-functions.R")
```

in place of where those functions would be defined. This allows both scripts to use those functions, provided that they are in the same directory as my-functions.R.

Other developers and researchers have created suites of R functions to be used by other people, but rather than put them in an R file to be read in by the source function, these suites are organized into R *packages*. Several of these packages are available through Anaconda<sup>5</sup> or through the package manager of a Linux distribution. Most of these packages are also available via the Comprehensive R Archive Network (CRAN).<sup>6</sup> To install a package from CRAN, one may execute the statement

#### install.packages("name\_of\_package")

where "name\_of\_package" is a string with the name of the package. Once the package is loaded, it may be loaded with the following statement,

#### library(name\_of\_package)

In practice, R packages often not only contain R code but also code in compiled languages, such as C, C++, or Fortran, that is used internally by the R functions in the package. This code is compiled when the install.packages is used.

<sup>&</sup>lt;sup>5</sup>Anaconda, Inc. Anaconda. c2018 [accessed 2018 Mar]. https://anaconda.com.

<sup>&</sup>lt;sup>6</sup>R Foundation. Comprehensive R Archive Network. c2018 [accessed 2018 May]. https:// cran.r-project.org/

#### **B.9** Saving R Objects to Files

An object in R, such as one of the data structures described in Section B.5, can often be saved to a special file, called an R Data Serialization (RDS) file, that can be used to read in the object into another R session. The following code shows an example of saving an object:

```
xyz <- list(x = c(3,4,2), y = 3 + 2i, z = "string_val")
saveRDS(xyz, "xyz.rds")</pre>
```

The function saveRDS saves the list xyz to a file named xyz.rds. In another R session, the list can be read in with the readRDS function and printed as follows:

```
xyz_take_2 <- readRDS("xyz.rds")
print(xyz_take_2[["x"]])
print(xyz_take_2[["y"]])
print(xyz_take_2[["z"]])</pre>
```

As can be seen from the previous example, when an object is read from a file and stored in a variable, this variable need not have the same name as the variable that stored the object in a previous session.

If the type of an object is part of the base R language, then the object can generally be saved to an RDS file. However, this is not necessarily true of objects whose types are defined in external packages. For example, if one attempts to save an object of the type big.matrix from the bigmemory package, the resulting RDS file just contains a pointer to the memory used by the big.matrix object, rather than the contents of that memory. The pointer becomes invalid once the R session ends, so the RDS file is useless.<sup>7</sup> Objects whose types are defined in other packages may have different limitations on whether they can be successfully stored in RDS files.

Also, in general, RDS files are meant for short-term storage, since there is no guarantee that the internal format of the RDS file will stay the same from one version of R to the next.

<sup>&</sup>lt;sup>7</sup>How can I save and load a bigmemory::big.matrix object in R? c2015 [accessed 2018 May].https://stackoverflow.com/questions/32873859/how-cani-save-and-load-a-bigmemorybig-matrix-object-in-r

Appendix C. R Code for Bayesian Analysis

The following is the contents of bayes-stress-strain-utils.R, a source file containing R functions that have been written for Bayesian analyses of strength models. Comments in the file of the form  $#! \{ ... \}$  can be ignored, since they are meant to be read by tools that extract source code fragments. Documentation of the parameters and return values of functions follows the guidelines of the tidyverse style guide.<sup>1</sup>

```
#' Ensures that path to a file exists, and if not creates it.
# '
#' @param file_name String containing name of file
#' @return file_name, invisibly
ensure_path_to_file_exists <- function(file_name) {</pre>
 file_dir <- dirname(file_name)</pre>
  # Creating the directory that will contain the file, if that
  # directory does not yet exist.
 if (!dir.exists(file_dir)) {
    # If "recursive = TRUE" were not used here, dir.create would only
    # attempt to create the last directory component of file_dir.
    dir.create(file_dir, recursive = TRUE)
  }
 invisible(file_name)
}
#' Create simulated data to test a flow stress model
# '
#' This function creates data points for a stress-strain curve while
#' accounting for the temperature rise as the strain increases.
# '
#' @param sigma_model_func Function representing a strength model that
# '
    returns the flow stress and takes four arguments: plastic strain,
#' plastic strain rate, temperature, and some data structure
# '
    containing the model parameters (such as an R list with named
# '
    components)
#' @param epsilon_p_max Largest plastic strain for which stresses will
#' be calculated
#' @param epsilon_p_dot Plastic strain rate
#' @param T_init Initial temperature of the sample being deformed
#' @param theta_model Model parameters of the strength model
#' @param beta_TQ Taylor-Quinney coefficient
#' @param rho Density of sample being deformed
#' @param specific_heat_func Function that returns the specific heat
# '
  for a given temperature
#' @param curve_size Number of data points in the
# '
    stress-strain curve
#' @return A list with the following named components:
# '
```

<sup>1</sup>Wickham H. The tidyverse style guide: code documentation. c2018 [accessed 2018 May]. http://style.tidyverse.org/code-documentation.html

```
\#' * `T`, a vector of the temperatures for the data points in the
# '
       stress-strain curve
# '
#' * `epsilon_p`, the plastic strains for the data points in the
# '
        stress-strain curve
# '
#' * `sigma`, the stresses for the data points in the stress-strain
# '
        curve
# '
simulate_data <- function(sigma_model_func,</pre>
                           epsilon_p_max,
                           epsilon_p_dot,
                           T_init,
                           theta_model,
                           beta_TQ,
                            rho,
                           specific_heat_func,
                           curve_size) {
  #! {sndepstart}
  epsilon_p <- seq(0.0, epsilon_p_max, length.out = curve_size)</pre>
  #! {sndepend}
  #! {sndinitzerostart}
  temperature <- numeric(curve_size)</pre>
  sigma <- numeric(curve_size)</pre>
  #! {sndinitzeroend}
  #! {sndsetfirstelemstart}
  temperature[1] <- T_init</pre>
  sigma[1] <- sigma_model_func(</pre>
   epsilon_p[1],
    epsilon_p_dot,
    temperature[1],
    theta_model
  )
  #! {sndsetfirstelemend}
  #! {sndsetotherelemsstart}
  for (i in 2:curve_size) {
    # Estimate of area under stress-strain curve from
    # epsilon_p[i-1] to epsilon_p[i].
    area_under_curve <- sigma[i-1]*(epsilon_p[i] - epsilon_p[i-1])</pre>
    temp_rise <- beta_TQ*area_under_curve/</pre>
      (rho*specific_heat_func(temperature[i-1]))
    temperature[i] <- temperature[i-1] + temp_rise</pre>
    sigma[i] <- sigma_model_func(</pre>
      epsilon_p[i],
      epsilon_p_dot,
      temperature[i],
```

```
theta_model
    )
  }
  #! {sndsetotherelemsend}
  #! {sndreturnstart}
  return (list(
      T = temperature,
     epsilon_p = epsilon_p,
     sigma = sigma
 ))
  #! {sndreturnend}
}
#' Make an empty plot with x and y axes
# '
#' This generates an empty plot window that can be drawn upon by
#' commands such as `lines()`, `points()`, etc. This is useful if
#' there are multiple superimposed plots.
# '
#' @param xlim Two-element numeric vector with the minimum and maximum
#' of x values in plot
#' @param ylim Two-element numeric vector with the minimum and maximum
#' of y values in plot
# '
make_empty_xy_plot <- function(xlim, ylim) {</pre>
 plot.new() # This creates an empty plot "window". If the plot is
              # not being written to a file, this creates an actual
              # window in a graphical user interface.
  # This sets the ranges of x- and y-values shown in the plot.
  plot.window(xlim = xlim, ylim = ylim)
  axis(1) # Adding x-axis
  axis(2) # Adding y-axis
 box() # Adding a box that contains the actual contents of the plot
}
#' Plots stress-strain curves
# '
#' @param output_pdf String containing the name of the ".pdf" file
#' containing the plots
# '
#' @param epsilon_p_dot Vector where element `i` contains the strain
#' rate for curve `i`
# '
#' @param T_init Vector of where element `i` contains the initial
# '
    sample temperature for curve `i`, must have same length as
# '
    `epsilon_p_dot`
# '
#' @param epsilon_p List of vectors of strain values for all curves,
# '
    where `epsilon_p[[1]]` contains the strain values for the first
#' curve, `epsilon_p[[2]]` contains the strain values for the second
```

```
# '
    curve, etc.
# '
#' @param sigma List of vectors of stress values for all curves, where
# '
     `sigma[[1]]` contains the stress values for the first curve,
# '
    `sigma[[2]]` contains the stress values for the second curve,
# '
    etc.
# '
#' @param space_for_legend Number between zero and one indicating the
# '
    amount of space in the plot for the legend, such that, for
# '
    example, space_for_legend = 0.2 increases the vertical size of
# '
    the plot by 20%
# '
#' Oparam point_period integer value indicating what data points will
#' be plotted. If the data points are so densely spaced as to
# '
    overlap with each other, then one may wish to set `point_period`
# '
   to a value larger than 1 in order to reduce the number of points
# '
    shown.
# '
#' @param sigma_unit String specifying the units of stress, e.g.,
# '
    "MPa"
# '
#' @param epsilon_p_dot_time_unit String (to be converted to R
#' expression) specifying the units of time used in the strain rate,
# '
    e.q., "s" or "sec" for a strain per second.
# '
#' @param T_init_unit String (to be converted to R expression)
    specifying the units of the initial sample temperature, e.g., "K"
# '
#' for Kelvin
# '
#' @param epsilon_p_label String (to be converted to R expression)
# '
    specifying symbol used for strain
# '
#' @param sigma_label String (to be converted to R expression)
# '
  specifying symbol used for stress
# '
#' @param epsilon_p_dot_label String (to be converted to R expression)
# '
    specifying symbol used for strain rate
# '
#' @param T_init_label String (to be converted to R expression)
# '
    specifying symbol used for temperature
# '
#' @return The string `output_pdf`, invisibly
plot_stress_strain_curves <- function(output_pdf,</pre>
                                       epsilon_p_dot,
                                      T_init,
                                       epsilon_p, sigma,
                                       space_for_legend = 0.2,
                                       point_period = 1,
                                       sigma_unit = "MPa",
                                       epsilon_p_dot_time_unit = "s",
                                       T_init_unit = "K",
                                       epsilon_p_label = "epsilon[p]",
                                       sigma_label = "sigma",
                                       epsilon_p_dot_label = "dot(epsilon)[p]",
```

```
T_init_label = "T[init]") {
```

```
# same as the number of elements in epsilon_p_dot.
num_curves <- length(epsilon_p_dot)</pre>
# Setting up line types, point types, color ------
# values and legend labels to be used in the -----
# actual plot. ------
# R has six possible line types, numbered 1 to 6. (There is also a
# "invisible" line type, i.e. no line at all, numbered as 0, but it's
# not used here.)
poss_line_types <- 1:6</pre>
# These are the line types used. Note that if num_curves is greater
# than max(poss_line_types), some line types will be recycled.
line_types <- rep(poss_line_types, length.out = num_curves)</pre>
# R has several numbered point types. Here, point types 0 through 20
# are used. NA is the invisible point type, i.e., no point plotted.
poss_pt_types <- c(NA, 0:20)</pre>
#
# (Note: numbered point types 21 through 25 aren't used here because
# they are nearly identical to some of the previous point types, but
# require an additional plotting parameter to set their color.)
# This tiles the possible point types in a particular
# fashion. First, the first point type (i.e., NA) is repeated up to
# the number of possible line types, then the second point type
# (i.e., 0) is repeated up to the number of possible line types,
# etc., until a vector of length num_curves is created.
pt_types <- rep(</pre>
  poss_pt_types,
 each = length(poss_line_types),
 length.out = num_curves
)
# This creates a vector of length num_curves, containing color
# values from the current palette.
color_vals <- rep(palette(), length.out = num_curves)</pre>
# This initializes the vector that will contain the text and math
# expressions in the legend. Each element of this vector contains
# the label for each curve in the legend.
legend_labels <- rep(NA, num_curves)</pre>
# Creating the actual plots -----
ensure_path_to_file_exists(output_pdf)
# This causes the plots to be written to a ".pdf" file.
pdf(
  file = output_pdf, # This indicates that the name of the ".pdf"
```

# The number of stress-strain curves, num\_curves, should be the

```
# file will be "output_pdf".
  title = basename(output_pdf) # This indicates the title that
                               # will be embedded in the resulting
                               # ".pdf" file. While this is mostly
                               # arbitrary, the title will often
                               # be shown in the titlebar of the
                               # window showing the ".pdf" file.
)
# The variables xrange and yrange will be used to set the ranges of
# x- and y-values shown in the plot. The function "unlist" takes a
# list of vectors and returns one long vector containing all the
# elements of the vectors in the list. The function "range" returns
# the minimum and maximum elements of its vector argument.
xrange <- range(unlist(epsilon_p))</pre>
yrange <- range(unlist(sigma))</pre>
# Making room for the legend at the bottom of the plot
yrange[1] <- yrange[1] - space_for_legend*(yrange[2] - yrange[1])</pre>
# Initializing the plot window ------
make_empty_xy_plot(xrange, yrange)
# Adding line plots to the plot window ------
for (curve_ind in 1:num_curves) {
  # This adds a stress-strain curve to the plot with the line type
  # indicated by "lty" and the color indicated by "col".
  lines(
   epsilon_p[[curve_ind]],
   sigma[[curve_ind]],
   lty = line_types[curve_ind],
   col = color_vals[curve_ind]
  )
  # This causes a point to be printed every "point_period" along the
  # stress-strain curve, with point style pt_types[curve_ind] and
  # color color_vals[curve_ind]. If there are so many data points
  # that the points would overlap if point_period = 1, then
  # point_period can be set to a higher value to reduce the number
  # of points printed.
  curve_size <- length(epsilon_p[[curve_ind]])</pre>
  points(
   epsilon_p[[curve_ind]][seq(1, curve_size, point_period)],
    sigma[[curve_ind]][seq(1, curve_size, point_period)],
   pch = pt_types[curve_ind],
    col = color_vals[curve_ind]
  )
  # This creates a label to be used in the legend for this curve.
  legend_labels[curve_ind] <- parse( # For what "parse" means, see</pre>
                                     # the comment below.
```

```
text = sprintf(
      "paste(%s, ' = %g ', %s, ', ', %s, ' = %g/', %s)",
      T_init_label,
     T_init[curve_ind], T_init_unit,
     epsilon_p_dot_label,
     epsilon_p_dot[curve_ind], epsilon_p_dot_time_unit
    )
  # The function "parse" used above actually creates an R
  # expression. The content of this R expression follows a syntax
  \# that is found in the section "Mathematical Annotation in R" of
  # the R reference documentation. Type "help(plotmath)" without the
  # quotes to see this documentation.
}
# Adding the legend and title -----
# This addes the legend to the plot. The first argument to this
# function is the position of the legend in the plot. Next to the
# label legend_labels[i] in the legend will be a segment of the line
# with line type line_types[i], with a point of type pt_types[i],
# and color color_vals[i].
legend(
  "bottomright",
  legend = legend_labels,
  lty = line_types,
 pch = pt_types,
  col = color_vals,
  ncol = ifelse(num_curves > 4, 2, 1) # This indicates that the
                                     # legend will be shown with
                                     # two columns if there are
                                     # more than four stress-strain
                                     # curves.
)
# This adds labels to the x- and y- axes.
title(
  xlab = parse(text = epsilon_p_label),
 ylab = parse( # For what "parse" means, see the comment below.
   text = sprintf("paste(%s, ' (%s)')", sigma_label, sigma_unit)
  )
)
# The function "parse" used above actually creates an R
# expression. The content of this R expression follows a syntax that
\# is found in the section "Mathematical Annotation in R" of the R
# reference documentation. Type "help(plotmath)" without the quotes
# to see this documentation.
# Final "clean up" -------
dev.off() # Closing the plot "window"
# This returns the name of the ".pdf" file. Without this, this
# function would return the output of "dev.off()", which would be
```

```
# mostly harmless but look wrong, especially in a Jupyter notebook.
 invisible(output_pdf)
}
#' Generate a function that linearly interpolates tabular data
# '
#' @param tab_data_file File with tabular data
#' @param x_col Column of the tabular data that contains the x-values,
# '
  defaults to 1
#' @param y_col Column of the tabular data that contains the y-values,
# '
   defaults to 2
#' @param conv_func_x Function applied to all x-values in the tabular
#' data, defaults to the identity function
#' @param conv_func_y Function applied to all y-values in the tabular
#' data, defaults to the identity function
#' @param ... Keyword arguments for the `read.table` function, which
#' is used to read the tabular data
#' @return A function that returns a linear interpolation of y-values
#' given an x-value
# '
gen_lin_interp_func <- function(tab_data_file,</pre>
                                x_{col} = 1,
                                y_col = 2,
                                conv_func_x = function(x) \{x\},\
                                conv_func_y = function(y) {y},
                                ...) {
 xydata <- read.table(tab_data_file, ...)</pre>
 return (approxfun(conv_func_x(xydata[,x_col]),
                    conv_func_y(xydata[,y_col]), rule = 2))
}
#' Wrapper for saveRDS
# '
#' This wrapper ensures that if there are any directory components in
#' the path to the RDS file to be written, these components will
#' exist. If they do not yet exist, they will be created.
# '
#' @param obj Object to be written to an RDS file
#' @param rds_file_name Path of the RDS file to be written
#' @return `NULL`, invisibly
# '
save_to_rds <- function(obj, rds_file_name) {</pre>
 ensure_path_to_file_exists(rds_file_name)
 saveRDS(obj, rds_file_name)
}
#' Save summary statistics and MCMC samples to CSV files
# '
#' @param fit `stanfit` object
#' @param summary_csv_filename Name of CSV file to which summary
#' statistics will be written
#' @param samples_csv_filename Name of CSV file to which MCMC samples
```

```
# '
    will be written. If the file ends in ".gz", it will be
# '
    Gzip-compressed.
# '
save_stan_fit_to_csv <- function(fit,</pre>
                                  summary_csv_filename,
                                  samples_csv_filename) {
  #! {ssftcpathstart}
  ensure_path_to_file_exists(summary_csv_filename)
  ensure_path_to_file_exists(samples_csv_filename)
  #! {ssftcpathend}
  #! {ssftcpathsumwritestart}
 write.csv(summary(fit)[["summary"]], summary_csv_filename)
  #! {ssftcpathsumwriteend}
  #! {ssftcpathgzipstart}
 if (endsWith(samples_csv_filename, ".gz")) {
    out_file <- gzfile(samples_csv_filename, "w")</pre>
  } else {
    out_file <- file(samples_csv_filename, "w")</pre>
  }
  # Makes sure that out_file is closed, even if something goes wrong
  # in write.csv.
  on.exit(close(out_file))
  #! {ssftcpathgzipend}
 #! {ssftcpathsampwritestart}
 write.csv(as.matrix(fit), out_file, row.names = FALSE)
  #! {ssftcpathsampwriteend}
}
#' Calculate the temperatures for the data points along a stress-strain curve
# '
#' Oparam T_init Initial temperature
#' @param epsilon_p Sequence of plastic strains
#' @param sigma Sequence of stresses the same length as epsilon_p
#' Oparam f_area A fraction such that f_area*sigma[1]*epsilon_p[1] is
# '
    a reasonable estimate of the area under the missing part of the
#' stress-strain curve over the interval [0, epsilon_p[1]].
#' Generally, f_area should be greater than 0.5, but if epsilon_p[1]
#' is zero, then f_area should be set to zero.
#' @param beta_TQ Taylor-Quinney coefficient
#' @param rho Density of sample being deformed to obtain stress-strain
# '
    curve
#' @param specific_heat_func A function accepts a temperature and
   returns a specific heat
# '
#' @return Sequence of temperatures such that T[i] is the temperature
# '
   for data point (epsilon_p[i], sigma[i])
# '
calc_temps <- function(T_init, epsilon_p, sigma,</pre>
                       f_area, beta_TQ, rho, specific_heat_func) {
```

```
#! {ctinitstart }
  curve_size <- length(epsilon_p)</pre>
  temperature <- numeric(curve_size)</pre>
  temperature[1] <- T_init + beta_TQ*f_area*sigma[1]*epsilon_p[1]/</pre>
    (rho*specific_heat_func(T_init))
  #! {ctinitend}
  #! {ctcalcstart }
  for (i in 2:curve_size) {
    # Using trapezoid rule to estimate area under stress-strain
    # curve over interval [epsilon_p[i-1], epsilon_p[i]].
    area_under_curve <- 0.5*(sigma[i-1] + sigma[i])*</pre>
      (epsilon_p[i] - epsilon_p[i-1])
    T_rise <- beta_TQ*area_under_curve/</pre>
      (rho*specific_heat_func(temperature[i-1]))
    temperature[i] = temperature[i-1] + T_rise
  }
  return (temperature)
  #! {ctcalcend}
}
#' Plot histogram (on pre-existing plot window) with segments that
#' outline the shapes of the bars that would normally be shown in a
#' histogram
# '
#' @param hist_obj object of class "histogram" returned by `hist()`
#' @param freq Same meaning as the `freq` argument of `hist()`
#' @param ... Keyword arguments passed to `segments` function used to
   draw steps, such as `col`, `lty`, etc.
# '
# '
#' @examples
# '
#' x_hist <- hist(x, plot = FALSE)</pre>
#' make_empty_xy_plot(range(x_hist[["breaks"]]), range(x_hist[["density"]]))
#' hist_outline(x_hist)
hist_outline <- function(hist_obj, freq = FALSE, ...) {</pre>
  if (freq) {
    hist_vals <- hist_obj[["counts"]]</pre>
  } else {
    hist_vals <- hist_obj[["density"]]</pre>
  }
  num_bins <- length(hist_vals)</pre>
  # Horizontal histogram segments
  segments (
    hist_obj[["breaks"]][1:num_bins],
    hist_vals,
    hist_obj[["breaks"]][2:(num_bins + 1)],
```

```
hist_vals,
   • • •
 )
  # Vertical histogram segments
 segments (
  hist_obj[["breaks"]],
   c(0.0, hist_vals),
   hist_obj[["breaks"]],
  c(hist_vals, 0.0),
    . . .
 )
}
#' Plot a region of color betwen two curves
# '
#' @param x x-coordinates of each curve
#' @param y1 y-coordinates of the first curve
#' @param y2 y-coordinates of the second curve
#' @param col Color of filled region
# '
#' @examples
# '
#' x <- 1:9
#' y1 <- x^2
#' y2 <- 2★y1
#' make_empty_xy_plot(range(x), range(c(y1, y2)))
#' fill_between_curves(x, y1, y2)
fill_between_curves <- function(x, y1, y2, col = "gray") {</pre>
 x_poly <- c(x, rev(x))</pre>
 y_poly <- c(y1, rev(y2))</pre>
 polygon(x_poly, y_poly, border = NA, col = col)
}
```

Appendix D. Stan Specification Files

These are the Stan specification files that have been used for Bayesian analyses of the Johnson-Cook<sup>1</sup> and the Zerilli-Armstrong model for body-centered cubic materials.<sup>2</sup> Comments in these files of the form  $//! \{ ... \}$  can be ignored, since they are meant to be read by tools that extract source code fragments.

# D.1 Specification File jc.stan

```
//!{funcstart}
functions {
 vector jc(vector epsilon_p, real log_epsilon_p_dot, vector T_star,
            real A, real B, real n, real C, real m) {
    int length_epsilon_p = num_elements(epsilon_p);
    vector[length_epsilon_p] sigma;
    real edot_factor = (1.0 + C*log_epsilon_p_dot);
    // The exponentiation operator "^" doesn't vectorize, so I need a
    // "for" loop here.
    for (i in 1:length_epsilon_p) {
      sigma[i] = (A + B*(epsilon_p[i])^n)*edot_factor*
        (1.0 - (T_star[i])^m);
    }
    return sigma;
  }
//!{funcend}
//!{datastart}
data {
 int<lower=1> num_curves;
 int<lower=0> curve_sizes[num_curves];
  vector[num_curves] epsilon_p_dot;
 vector[sum(curve_sizes)] epsilon_p;
  vector[sum(curve_sizes)] sigma;
  vector[sum(curve_sizes)] T;
  real<lower=0.0> T_melt;
  real<lower=0.0> T room;
  real<lower=0.0> epsilon_p_dot_0;
```

<sup>&</sup>lt;sup>1</sup>Johnson GR, Cook WH. A constitutive model and data for metals subjected to large strains, high strain rates and high temperatures. In: Seventh international symposium on ballistics: Proceedings; 1983 Apr; The Hague (Netherlands). American Defense Preparedness Association; 1983. p. 541–547.

<sup>&</sup>lt;sup>2</sup>Zerilli FJ, Armstrong RW. Dislocation-mechanics-based constitutive relations for material dynamics calculations. Journal of Applied Physics. 1987;61(5):1816–1825.

```
real<lower=0.0> A_guess_mean; real<lower=0.0> A_guess_sd;
  real<lower=0.0> B_guess_mean; real<lower=0.0> B_guess_sd;
  real<lower=0.0> C_guess_mean; real<lower=0.0> C_guess_sd;
  real<lower=0.0> m_guess_mean; real<lower=0.0> m_guess_sd;
  real<lower=0.0> n_alpha; real<lower=0.0> n_beta;
  vector<lower=0.0>[2] sd_sigma_guess_mean;
  vector<lower=0.0>[2] sd_sigma_guess_sd;
}
//!{dataend}
//!{transdatastart}
transformed data {
  vector[num_curves] log_epsilon_p_dot = log(epsilon_p_dot/epsilon_p_dot_0);
  vector[sum(curve_sizes)] T_star = (T - T_room)/(T_melt - T_room);
//!{transdataend}
//!{paramstart}
parameters {
  real<lower=0.0> A;
  real<lower=0.0> B;
  real<lower=0.0, upper=1.0> n;
  real<lower=0.0> C;
  real<lower=0.0> m;
  real<lower=0.0> sd_sigma[2];
}
//!{paramend}
//!{modelstart}
model {
  A ~ normal(A_guess_mean, A_guess_sd)T[0.0,];
  B ~ normal(B_guess_mean, B_guess_sd)T[0.0,];
 n ~ beta(n_alpha, n_beta);
  C ~ normal(C_guess_mean, C_guess_sd)T[0.0,];
  m ~ normal(m_guess_mean, m_guess_sd)T[0.0,];
  for (i in 1:2) {
    sd_sigma[i] ~
      normal(sd_sigma_guess_mean[i],
             sd_sigma_guess_sd[i])T[0.0,];
  }
  {
    int start_ind = 1;
    for (curve_ind in 1:num_curves) {
      int end_ind = start_ind + curve_sizes[curve_ind] - 1;
      real curr_sd_sigma = (epsilon_p_dot[curve_ind] <= 1.0</pre>
                             ? sd_sigma[1]
                             : sd_sigma[2]);
```

# D.2 Specification File za\_bcc.stan

#### functions {

```
vector za_bcc(vector epsilon_p, real log_epsilon_p_dot, vector T,
               real C0, real C1, real C3, real C4, real C5, real n) {
   int length_epsilon_p = num_elements(epsilon_p);
   vector[length_epsilon_p] sigma;
   real C3_C4_fac = -C3 + C4*log_epsilon_p_dot;
   // The exponentiation operator "^" doesn't vectorize, so I need a
   // "for" loop here.
   for (i in 1:length_epsilon_p) {
     sigma[i] = C0 + C1*exp(C3_C4_fac*(T[i])) + C5*(epsilon_p[i])^n;
   }
   return sigma;
  }
}
data {
 int<lower=1> num_curves;
 int<lower=0> curve_sizes[num_curves];
 vector[num_curves] epsilon_p_dot;
 vector[sum(curve_sizes)] epsilon_p;
 vector[sum(curve_sizes)] sigma;
 vector[sum(curve_sizes)] T;
 real<lower=0.0> C0_guess_mean;
  real<lower=0.0> C0_guess_sd;
 real<lower=0.0> C1_guess_mean;
 real<lower=0.0> C1_guess_sd;
 real<lower=0.0> C3_guess_mean;
 real<lower=0.0> C3_guess_sd;
```

```
real<lower=0.0> C4_guess_mean;
  real<lower=0.0> C4_guess_sd;
  real<lower=0.0> C5_guess_mean;
  real<lower=0.0> C5_guess_sd;
  real<lower=0.0> n_alpha;
  real<lower=0.0> n_beta;
  real<lower=0.0> sd_sigma_guess_mean[2];
  real<lower=0.0> sd_sigma_guess_sd[2];
}
transformed data {
  vector[num_curves] log_epsilon_p_dot = log(epsilon_p_dot);
}
parameters {
  real<lower=0.0> C0;
  real<lower=0.0> C1;
  real<lower=0.0> C3;
  real<lower=0.0> C4;
  real<lower=0.0> C5;
  real<lower=0.0, upper=1.0> n;
  real<lower=0.0> sd_sigma[2];
}
model {
  C0 ~ normal(C0_guess_mean, C0_guess_sd)T[0.0,];
  C1 ~ normal(C1_guess_mean, C1_guess_sd)T[0.0,];
  C3 ~ normal(C3_guess_mean, C3_guess_sd)T[0.0,];
  C4 ~ normal(C4_guess_mean, C4_guess_sd)T[0.0,];
  C5 ~ normal(C5_guess_mean, C5_guess_sd)T[0.0,];
  n ~ beta(n_alpha, n_beta);
  for (i in 1:2) {
    sd_sigma[i] ~
      normal(sd_sigma_guess_mean[i],
             sd_sigma_guess_sd[i])T[0.0,];
  }
  {
    int start_ind = 1;
    for (curve_ind in 1:num_curves) {
      int end_ind = start_ind + curve_sizes[curve_ind] - 1;
      real curr_sd_sigma = (epsilon_p_dot[curve_ind] <= 1.0</pre>
                            ? sd_sigma[1]
                             : sd_sigma[2]);
      sigma[start_ind:end_ind] ~ normal(za_bcc(epsilon_p[start_ind:end_ind],
                                                log_epsilon_p_dot[curve_ind],
```

T[start\_ind:end\_ind], C0, C1, C3, C4, C5, n), curr\_sd\_sigma);

```
start_ind = end_ind + 1;
}
}
```

$\beta_{TQ}$	Taylor-Quinney coefficient
$\dot{\epsilon}_p$	plastic strain rate
$\dot{\epsilon}_{p0}$	reference plastic strain rate, 1/s
$\epsilon_p$	plastic strain
ρ	density
Α	fitting parameter of Johnson-Cook model that represents yield strength at reference strain rate and room temperature
В	fitting parameter of Johnson-Cook model that represents strain hardening prefactor at reference strain rate and room temperature
С	fitting parameter of Johnson-Cook model that represents strain hardening effects due to strain rate
c(T)	specific heat as function of temperature
$C_i$	fitting parameter of Zerilli-Armstrong (BCC) model, where $i \in \{0, 1, 3, 4, 5\}$
m	fitting parameter of Johnson-Cook model that represents thermal softening exponent
n	fitting parameter of Johnson-Cook and Zerilli-Armstrong models that represents strain hardening exponent
Т	temperature
$T^*$	normalized temperature in Johnson-Cook model
T <sub>melt</sub>	melting temperature
Troom	room temperature
2-D	two-dimensional
3-D	three-dimensional

# List of Symbols, Abbreviations, and Acronyms

- ARL CCDC Army Research Laboratory
- BCC body-centered cubic
- CRAN Comprehensive R Archive Network
- CSV comma-separated value
- HDI highest density interval
- IPM interval predictor model
- JSON JavaScript Object Notation
- MCMC Markov Chain Monte Carlo
- MIDAS Material Implementation, Database, and Analysis Source
- NUTS no-U-turn sampler
- PFP pushed forward posterior
- PPD posterior predictive distribution
- RDS R Data Serialization
- RHA rolled homogeneous armor

1	DEFENSE TECHNICAL
(PDF)	INFORMATION CTR
	DTIC OCA

- 1 DIR CCDC ARL
- (PDF) FCDD RLD CL TECH LIB
- 1 GOVT PRINTG OFC
- (PDF) A MALHOTRA